



北京大學
PEKING UNIVERSITY

SSNET 2024

Nov 4 - 9, 2024, Orsay

Emergence of high-order deformation in atomic nuclei

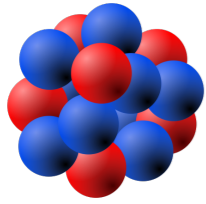
Pengwei Zhao 赵鹏巍

School of Physics, Peking University

Outline

- High-order deformation parameters
- Non-axial octupole deformation
- High-order deformation in superheavy nuclei
- Summary

Nuclear deformation



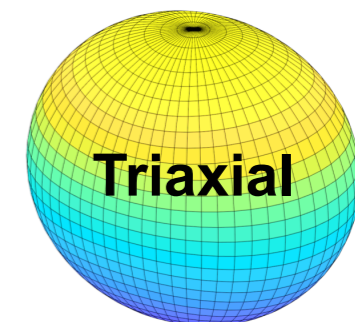
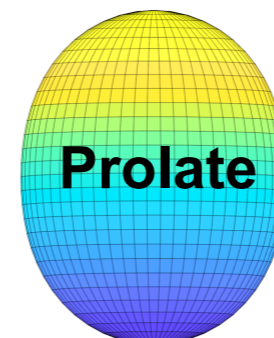
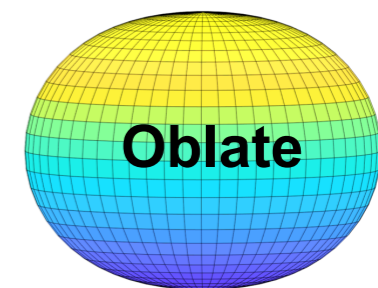
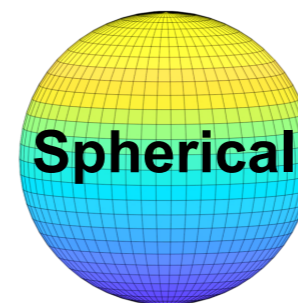
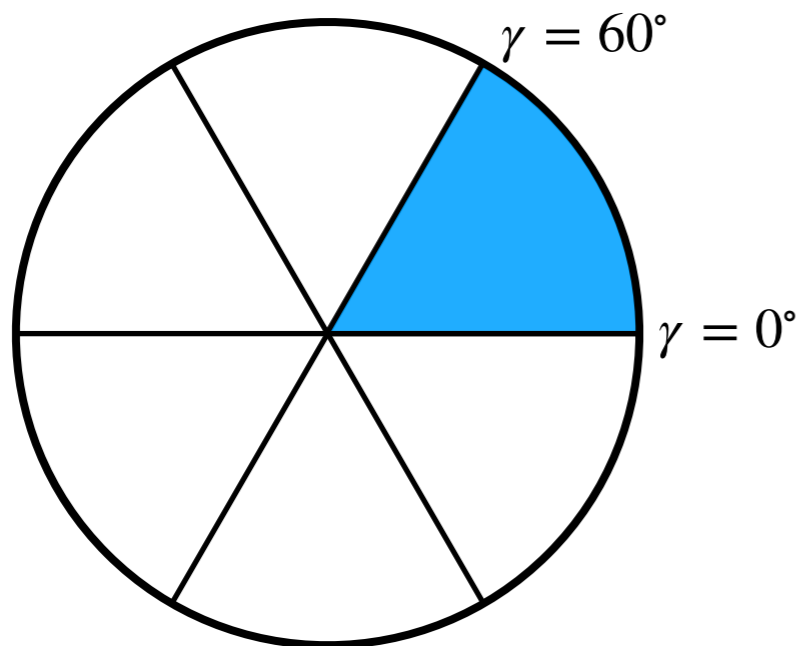
Nuclear shape:
$$R(\theta, \varphi) = R_0 \left[1 + \sum_{\lambda=1}^{\infty} \sum_{\mu=-\lambda}^{\lambda} \alpha_{\lambda\mu} Y_{\lambda\mu}^*(\theta, \varphi) \right]$$

Quadrupole deformation

$$R(\theta, \varphi) = R_0 \left[1 + \sum_{\mu=-2}^2 \alpha_{2\mu} Y_{2\mu}^*(\theta, \varphi) \right]$$

$$5 - 3 = 2$$

Deformation parameters β and γ , defined in the **intrinsic frame**, which diagonalizes the inertia tensor.



uniquely covers all possible quadrupole shapes

Nuclear octupole deformation

Octupole deformation

$$R(\theta, \varphi) = R_0 \left[1 + \sum_{\mu=-3}^3 \alpha_{3\mu} Y_{3\mu}^*(\theta, \varphi) \right] \quad 7 - 3 = 4$$

Deformation parameters,
defined in the **intrinsic frame**,
which cannot be uniquely determined.

- Parametrized with the **irreducible representations of the O_h group**

$$R = R_0 \left[1 + \varepsilon_0 A_2 + \sum_{i=1}^3 \varepsilon_1(i) F_1(i) + \sum_{i=1}^3 \varepsilon_2(i) F_2(i) \right] \quad \text{Hamamoto, Zhang, Xie, PLB 257, 1 (1991).}$$

- The intrinsic frame can be defined by fixing $\varepsilon_2(i) = 0, \quad i = 1, 2, 3$

A certain Euler rotation between the intrinsic and laboratory frame is defined.

- The remain **4** parameters $\varepsilon_0, \varepsilon_1(i)$ are used to describe the octupole shapes.

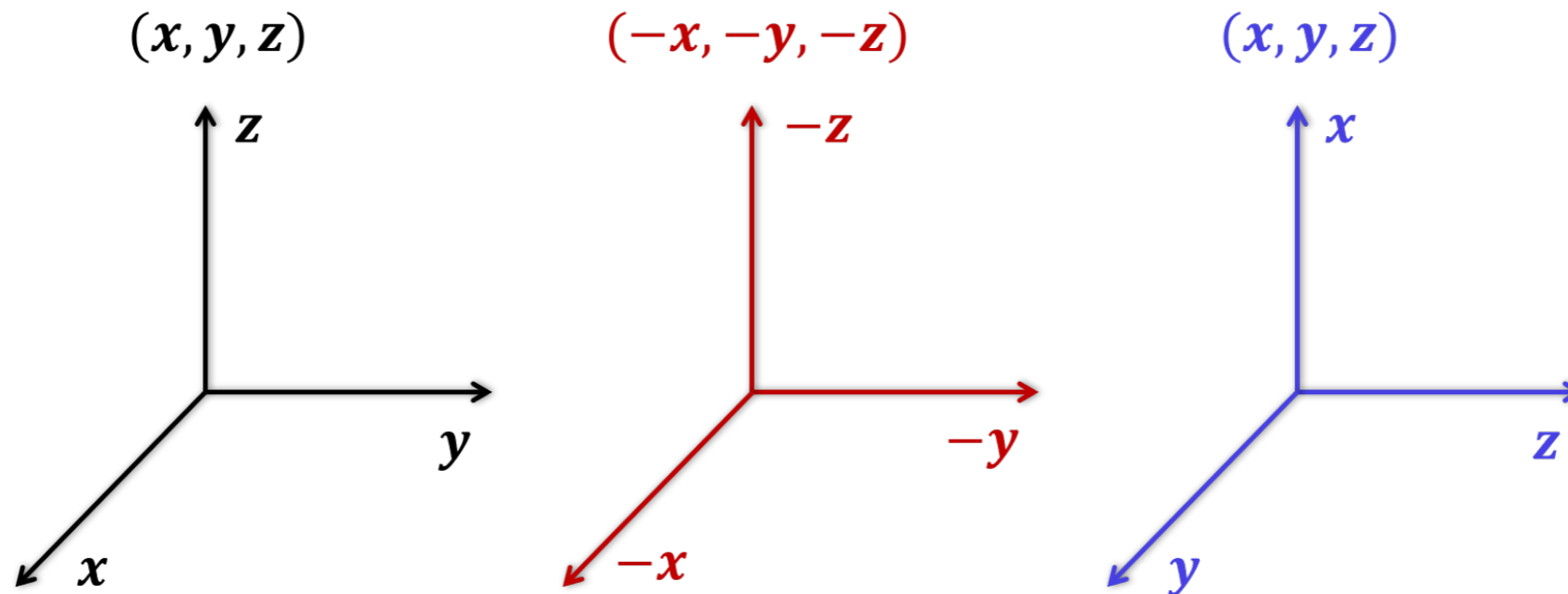
How about the range of these parameters ?

The range of octupole deformation parameters

- Restrict the range to uniquely cover all possible shapes without repeat.
- An intrinsic shape should be unchanged under the 48 transformations of coordinate system, i.e., the 48 elements of the $O_h [D_{2h} \otimes S_3]$ group.

D_{2h} : Change the signs of the x , y , and z axes \rightarrow 8

S_3 : Change the names of the x , y , and z axes \rightarrow 6



Xu, Li, Ren, **PWZ**, Phys. Rev. C 109, 014311 (2024).

The range of the four parameters: $\varepsilon_0 \geq 0$, $\varepsilon_1(1) \geq \varepsilon_1(2) \geq \varepsilon_1(3) \geq 0$

Definition of octupole deformation parameters

- Four octupole deformation parameters can be obtained by

$$a_{32} = \epsilon_0 = \frac{4\pi}{3AR^3} \int d^3r \rho_v(\mathbf{r}) r^3 A_2,$$

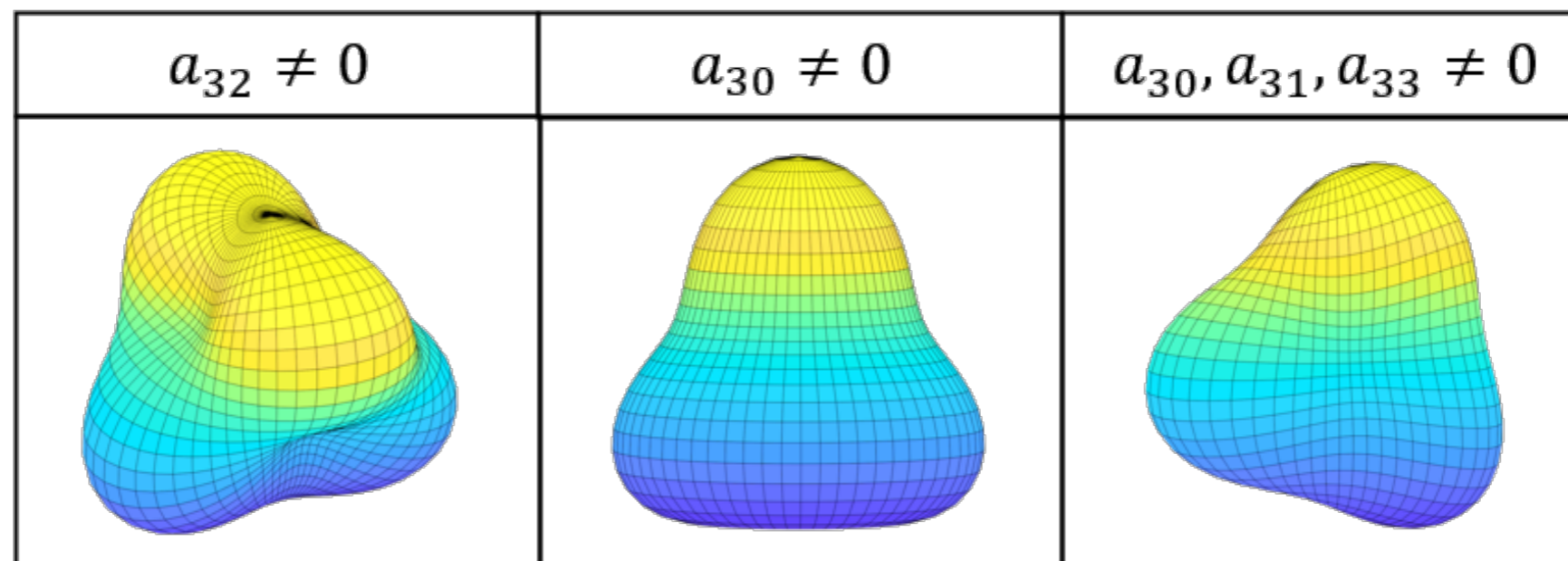
$$a_{30} = \epsilon_1(1) = \frac{4\pi}{3AR^3} \int d^3r \rho_v(\mathbf{r}) r^3 F_1(1),$$

$$a_{31} = \epsilon_1(2) = \frac{4\pi}{3AR^3} \int d^3r \rho_v(\mathbf{r}) r^3 F_1(2),$$

$$a_{33} = \epsilon_1(3) = \frac{4\pi}{3AR^3} \int d^3r \rho_v(\mathbf{r}) r^3 F_1(3).$$

$$a_{32} \geq 0, a_{30} \geq a_{31} \geq a_{33} \geq 0.$$

Xu, Li, Ren, **PWZ**, Phys. Rev. C 109, 014311 (2024).



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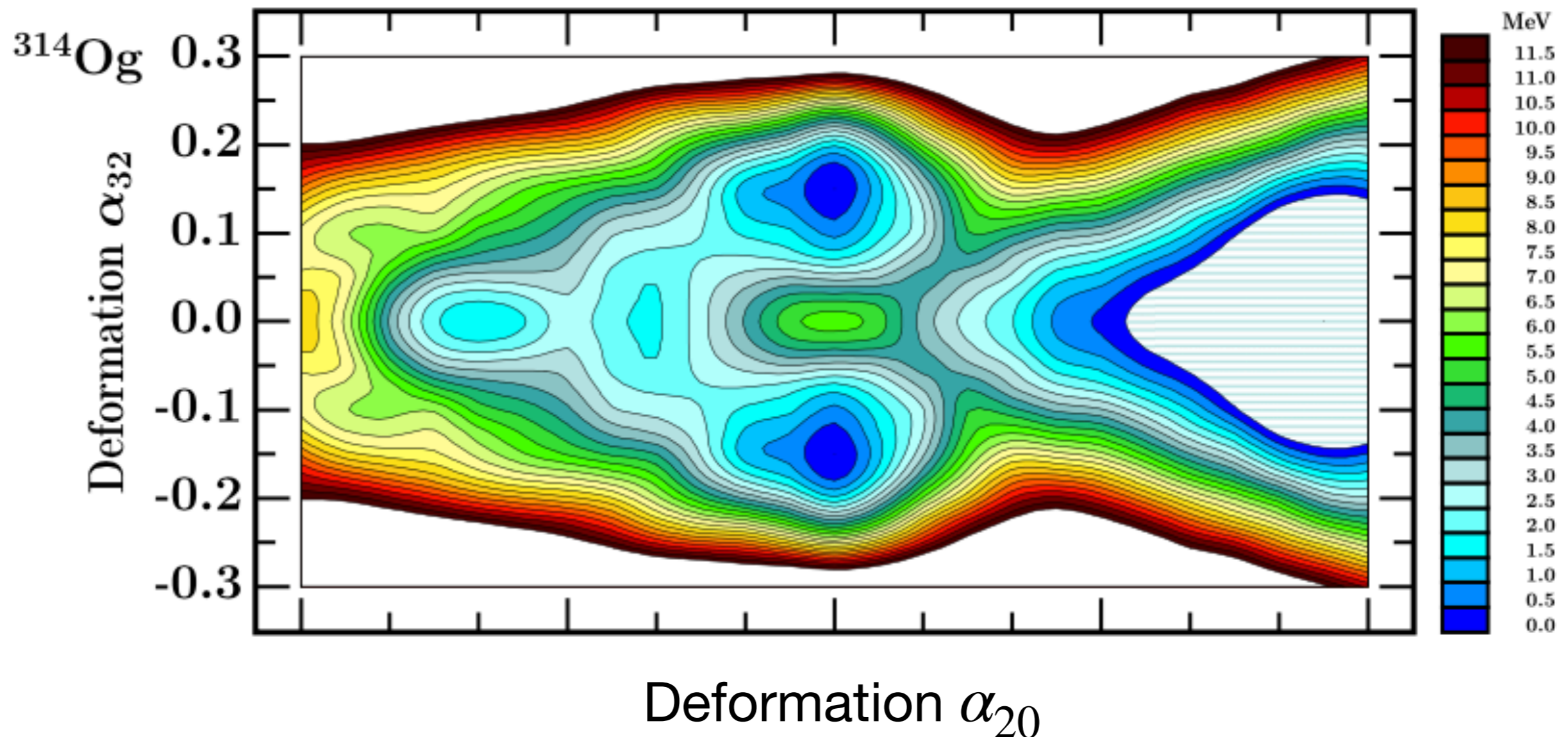
Prediction of octupole shapes within the Mac-mic method

Strong shell closures are predicted in the actinide (N=136) and superheavy regions (N=196) with **nonvanishing all four octupole deformations**.

Yang, Dudek, Dedes, et al., PRC 105, 034348 (2022); 106, 054314 (2022); 107, 054304 (2023)

[Mac-mic method with Woods-Saxon potential](#)

See talks by Dedes and Yang



Density functional theory

The many-body problem is mapped onto a one-body problem

Hohenberg-Kohn Theorem

The **exact ground-state energy** of a quantum mechanical many-body system is a **universal functional** of the **local density**.

$$E[\rho] = T[\rho] + U[\rho] + \int V(\mathbf{r})\rho(\mathbf{r}) d^3\mathbf{r}$$

Kohn-Sham DFT

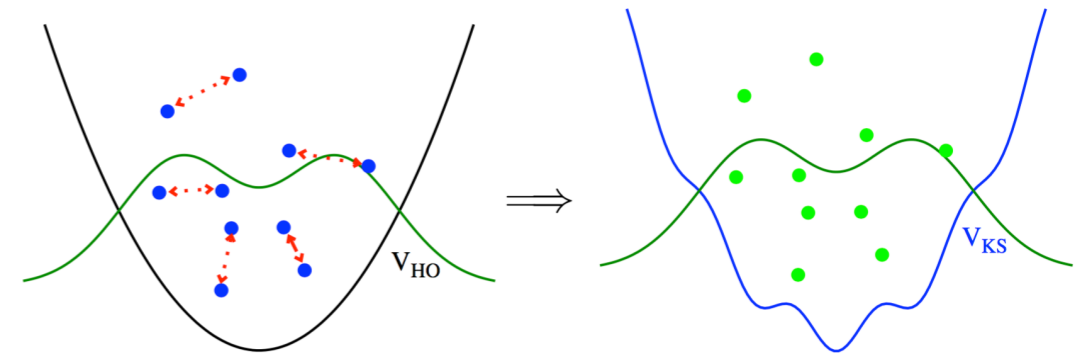


Figure from Drut PPNP 2010

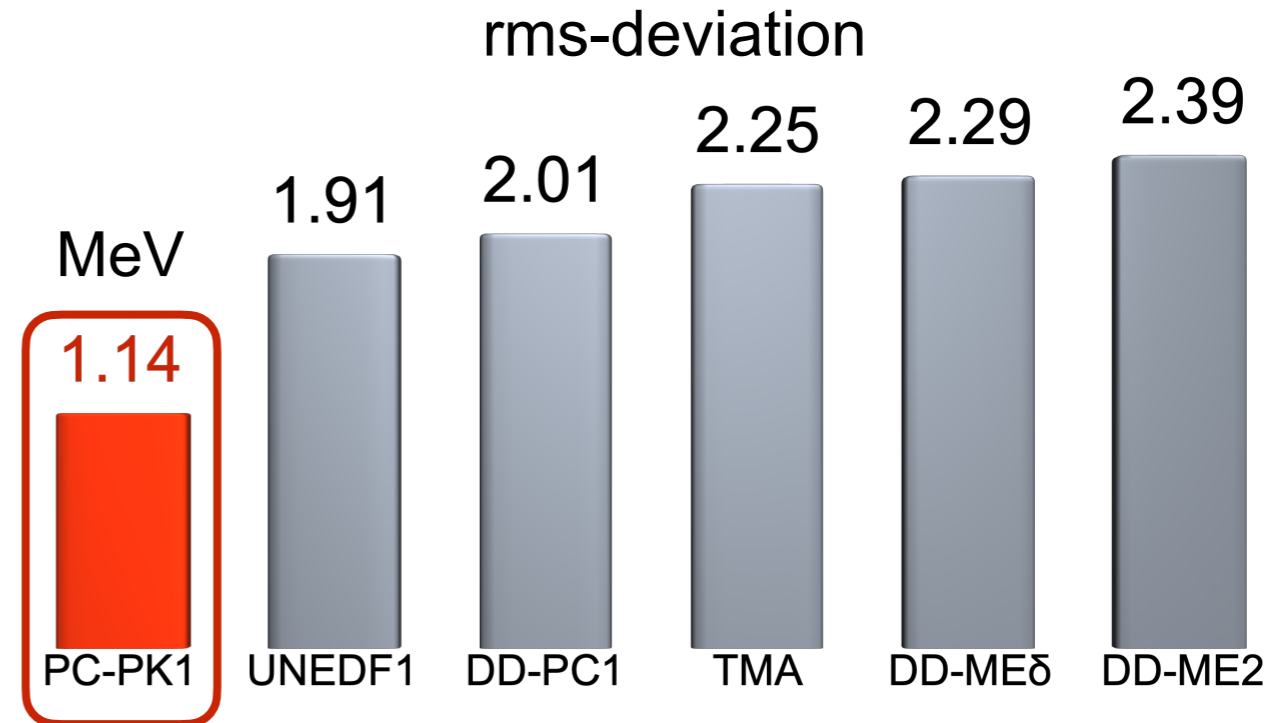
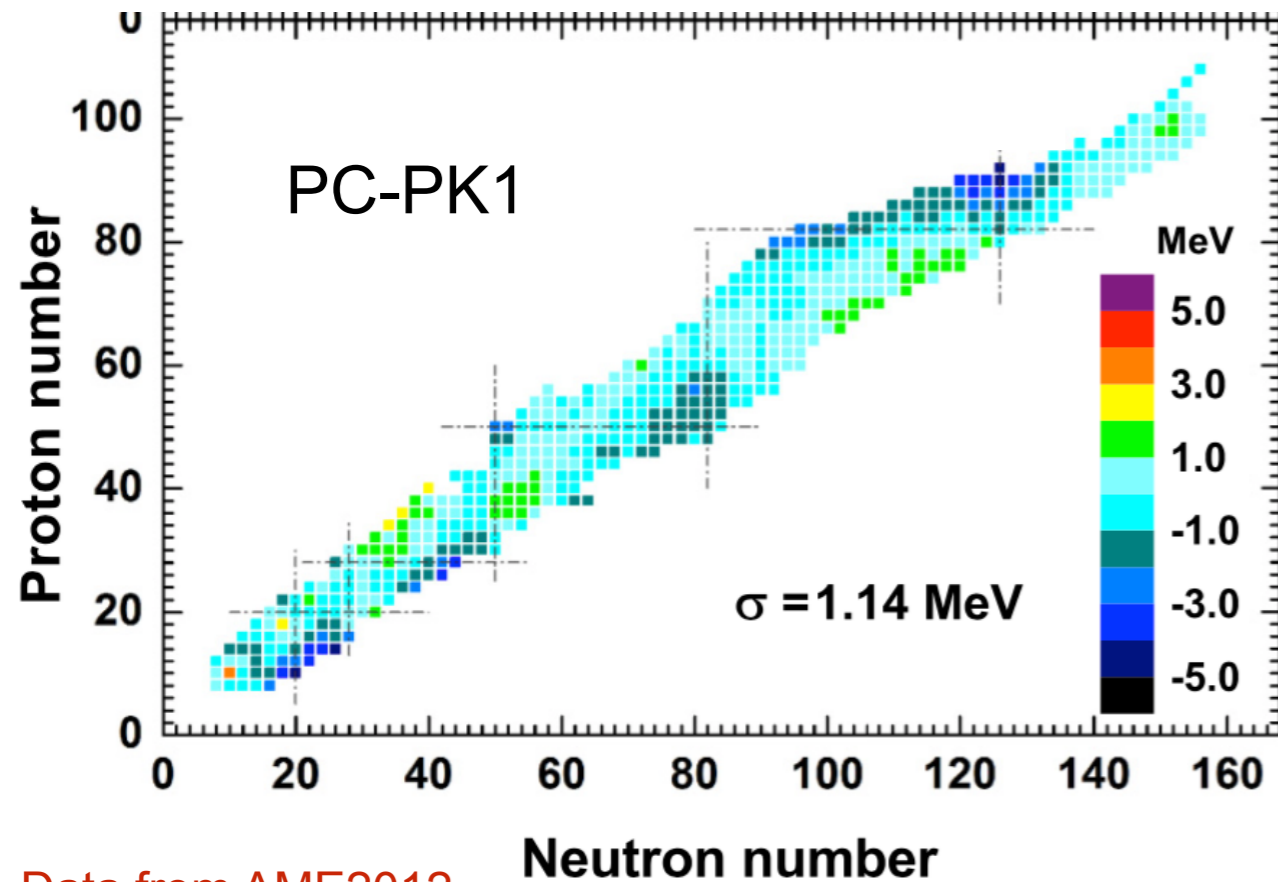
$$T[\rho] \doteq \sum_{i=1}^N \left\langle \varphi_i \left| -\frac{\hbar^2}{2m} \nabla^2 \right| \varphi_i \right\rangle$$

$$E[\rho] \Rightarrow \hat{h} = \frac{\delta E}{\delta \rho} \Rightarrow \hat{h}\varphi_i = \varepsilon_i\varphi_i \Rightarrow \rho = \sum_{i=1}^A |\varphi_i|^2$$

The practical usefulness of the Kohn-Sham theory depends entirely on whether an **Accurate Energy Density Functional** can be found!

Covariant density functional: PC-PK1

Mass Differences: $M_{\text{cal}} - M_{\text{exp}}$



Data from AME2012

PWZ, Li, Yao, Meng, PRC 82, 054319 (2010)

Lu, Li, Li, Yao, Meng, PRC 91, 027304 (2015)



<http://nuclearmap.jcnp.org>

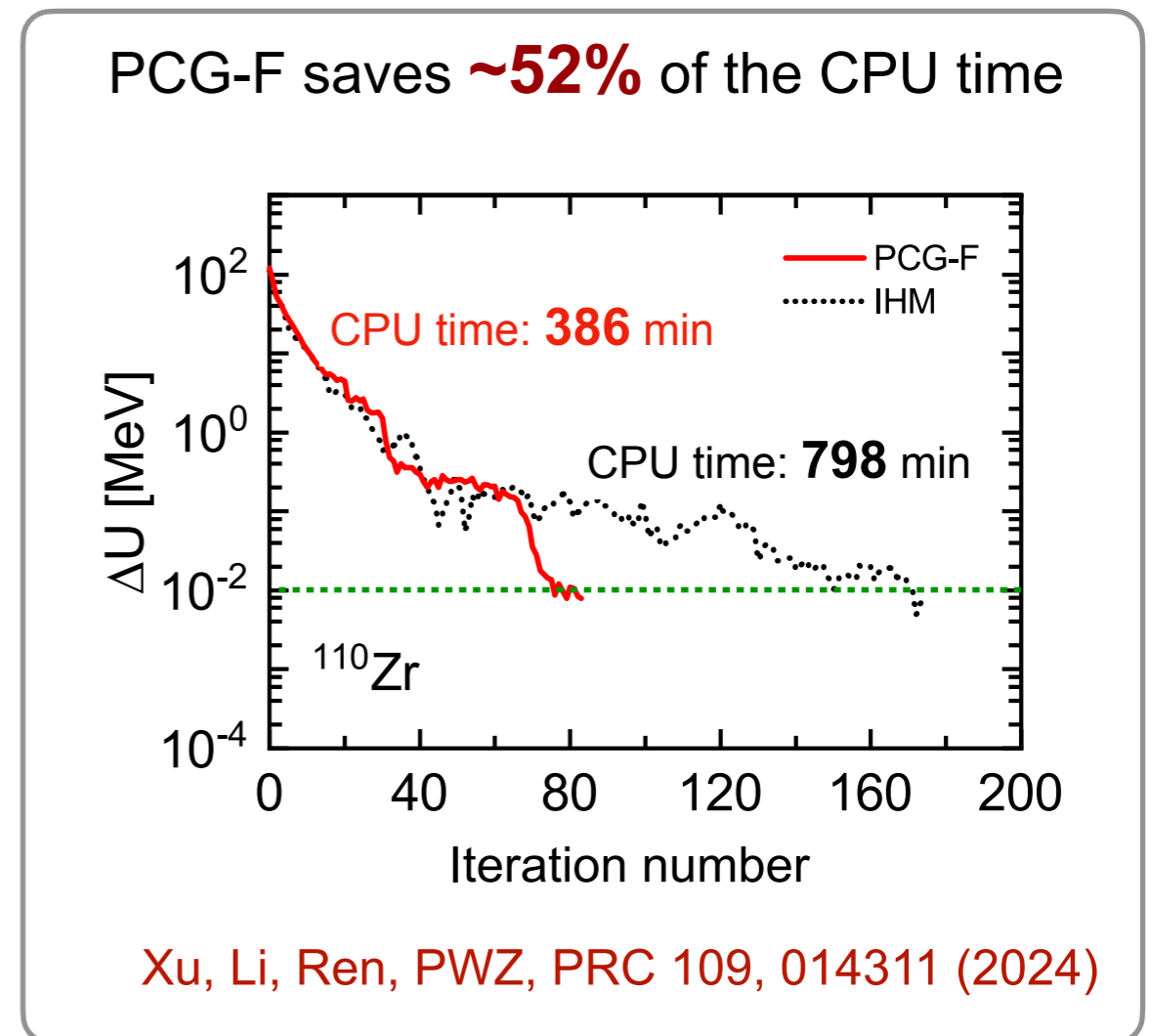
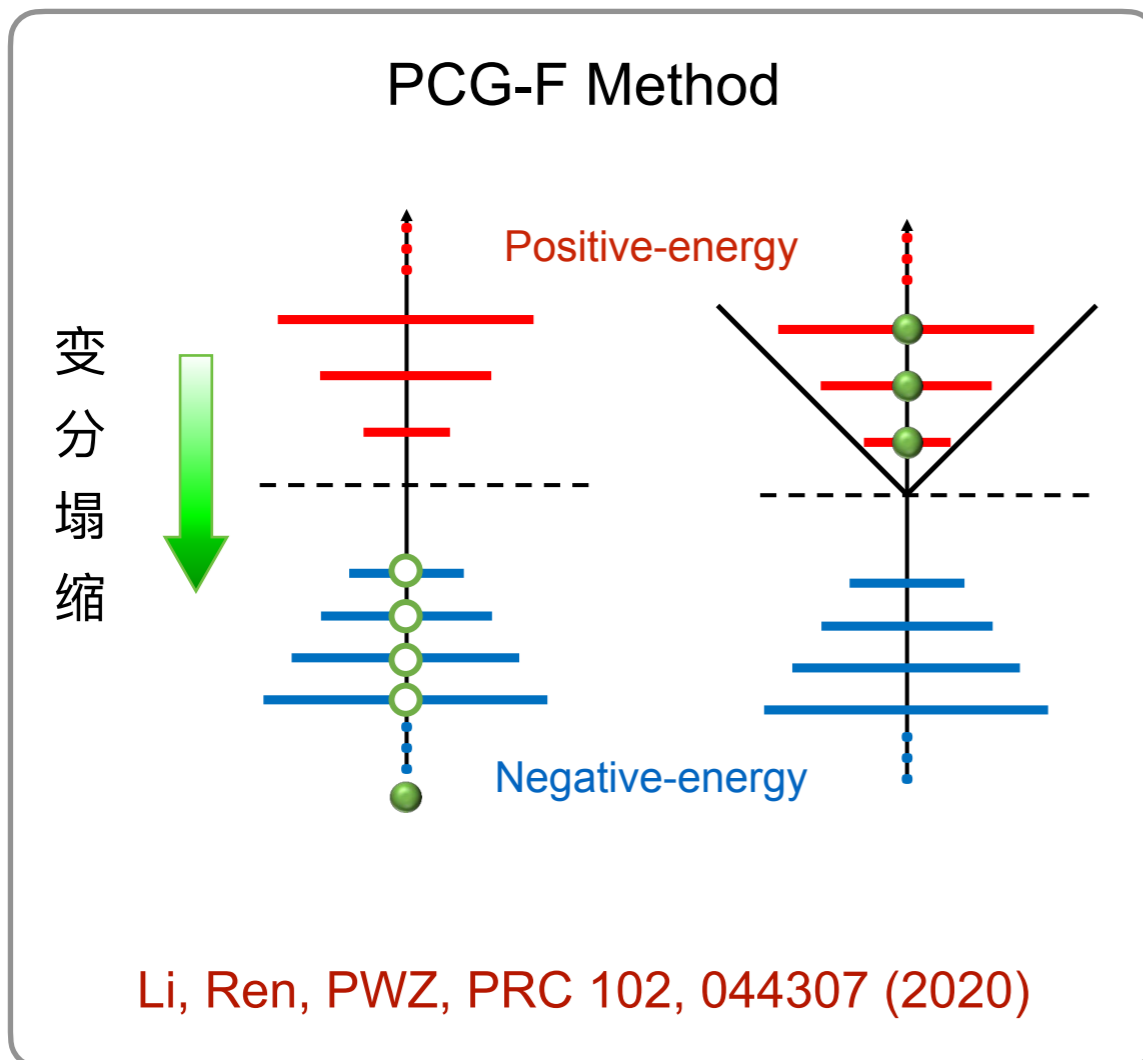
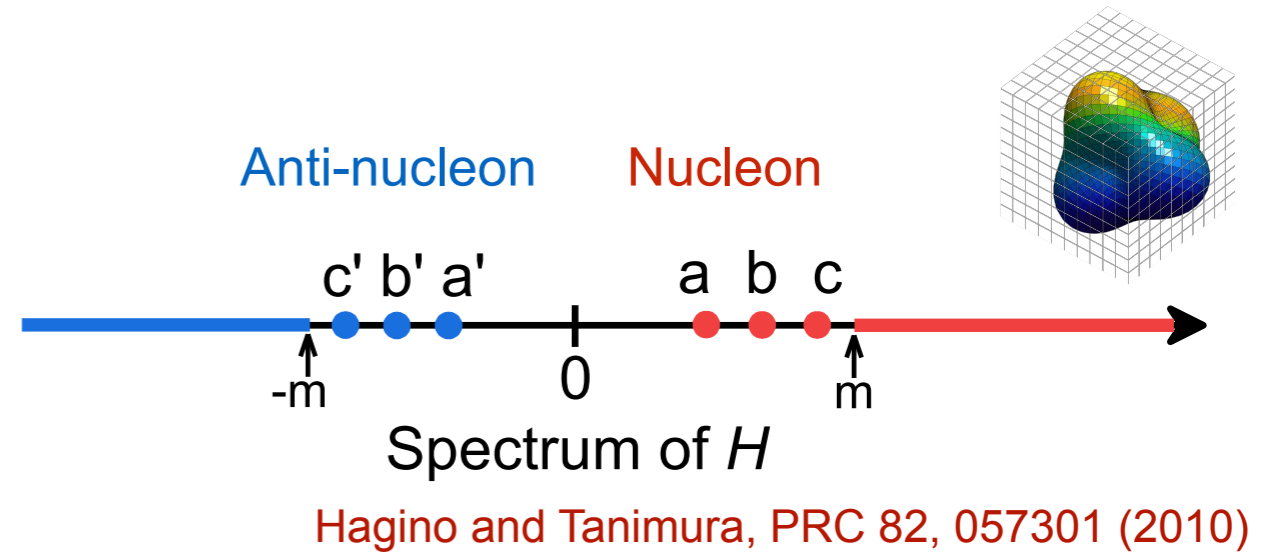
Yang, Wang, PWZ, Li, PRC 104, 054312 (2021)

Yang, PWZ, Li, PRC 107, 024308 (2023)

Among the best density-functional description for nuclear masses!

Lattice CDFT

- ✓ No spatial symmetry restriction
- ✓ A long-term challenge due to
 - variational collapse problem
 - fermion doubling problem



Applications of the TD-CDFT

3D Lattice: no spatial symmetry restriction

✓ Applications include:

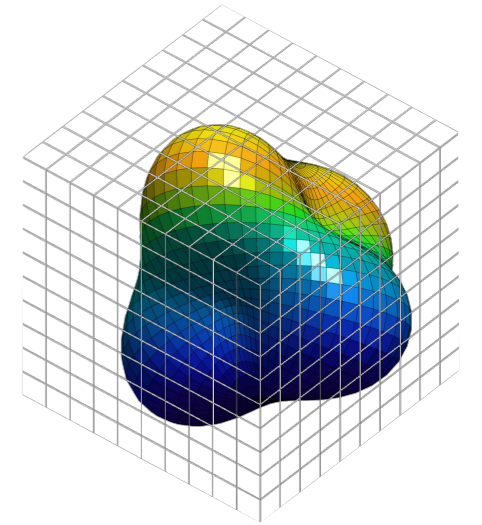
Linear alpha-chain PRL 115, 022501 (2015) PLB 801,135194 (2020)

Nuclear fission PRL 128, 172501 (2022) PRC 105, 044313 (2022) PRC 107, 014303 (2023)

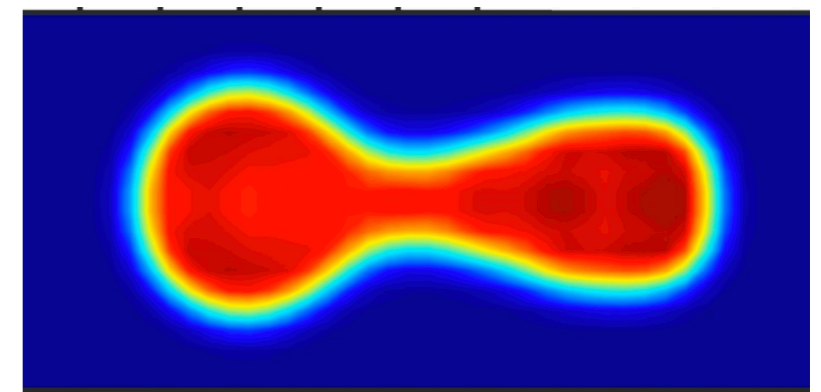
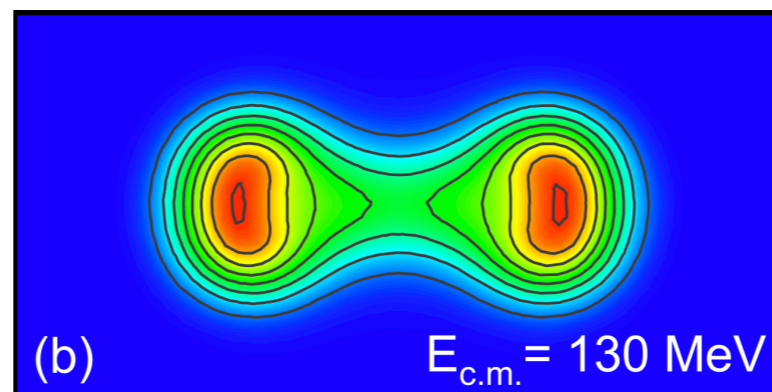
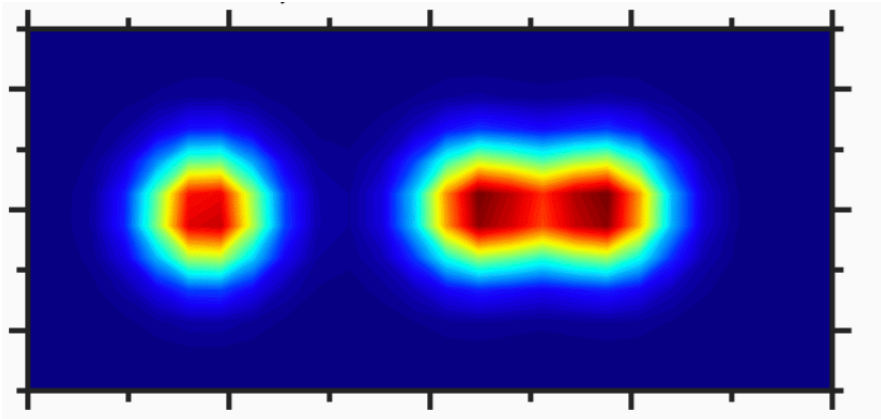
Chiral dynamics PRC 105, L011301 (2022) PLB 856, 138877 (2024)

Nuclear reaction PRC 102, 044603 (2020) PRC 109, 024614 (2024) PRC 109, 024316 (2024)

...



Editors' Suggestion



Tetrahedral shape

The tetrahedral shape with a pure Y_{32} -type deformation is of particular interest and has been investigated extensively.

- Macroscopic-microscopic (MM) model

Li and Dudek, PRC 49, 1250(R) (1994)
Dudek, et al., PRL 88, 252502 (2002)
Dudek, et al., PRL 97, 072501 (2006)

- Algebraic cluster model

Bijker and Iachello, PRL 112, 152501 (2014)

- Lattice EFT

Epelbaum, Krebs, Lähde, Lee, Meißner, Rupak, PRL 112, 102501 (2014)

- Non-relativistic density functional theories (DFTs)

Schunck, Dudek, Goźdź, Regan, PRC 69, 061305(R) (2004)
Zborecki, Magierski, Heenen, Schunck, PRC 74, 051302(R) (2006)
Tagami, Shimizu, Dudek, PRC 87, 054306 (2013)
Miyahara, Nakada, PRC 98, 064318 (2018)

- Covariant density functional theories (CDFTs)

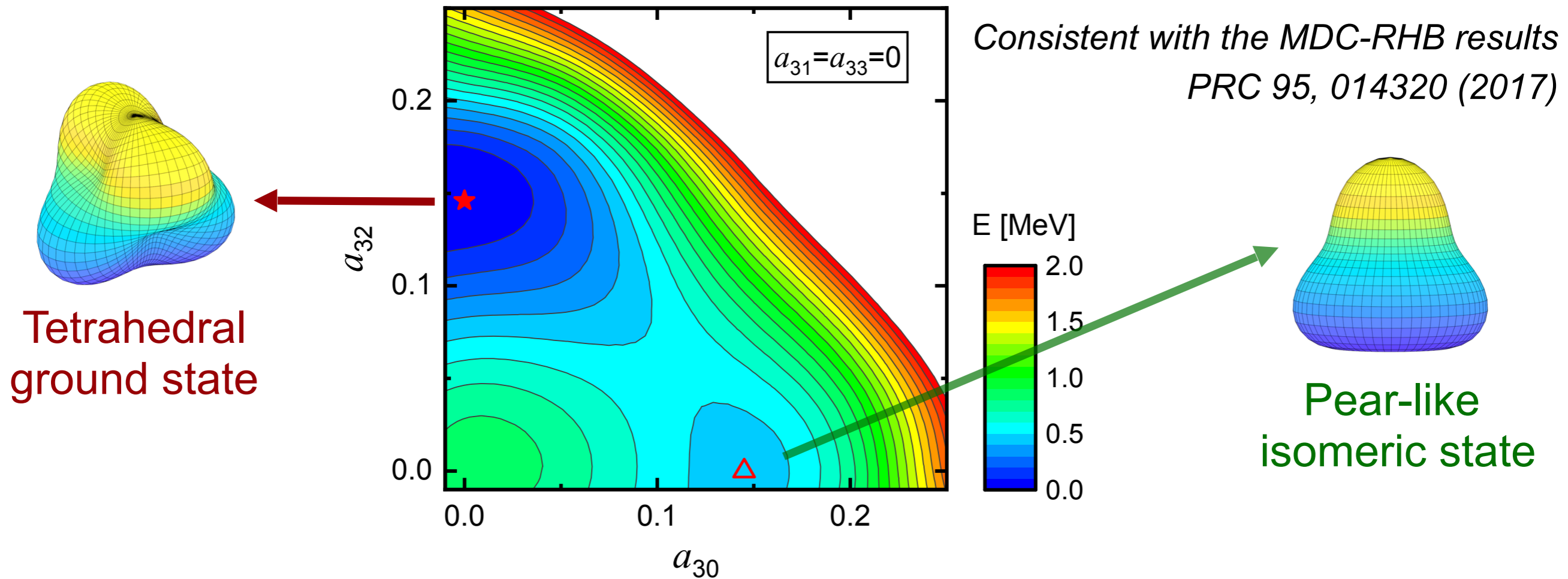
Zhao, Lu, Zhao, Zhou, PRC 95, 014320 (2017)
Rong, Wu, Lu, Yao, PLB 840, 137896 (2023)

V_4 symmetry/partial shape space assumed in CDFT calculations ...

Potential energy surface (PES)

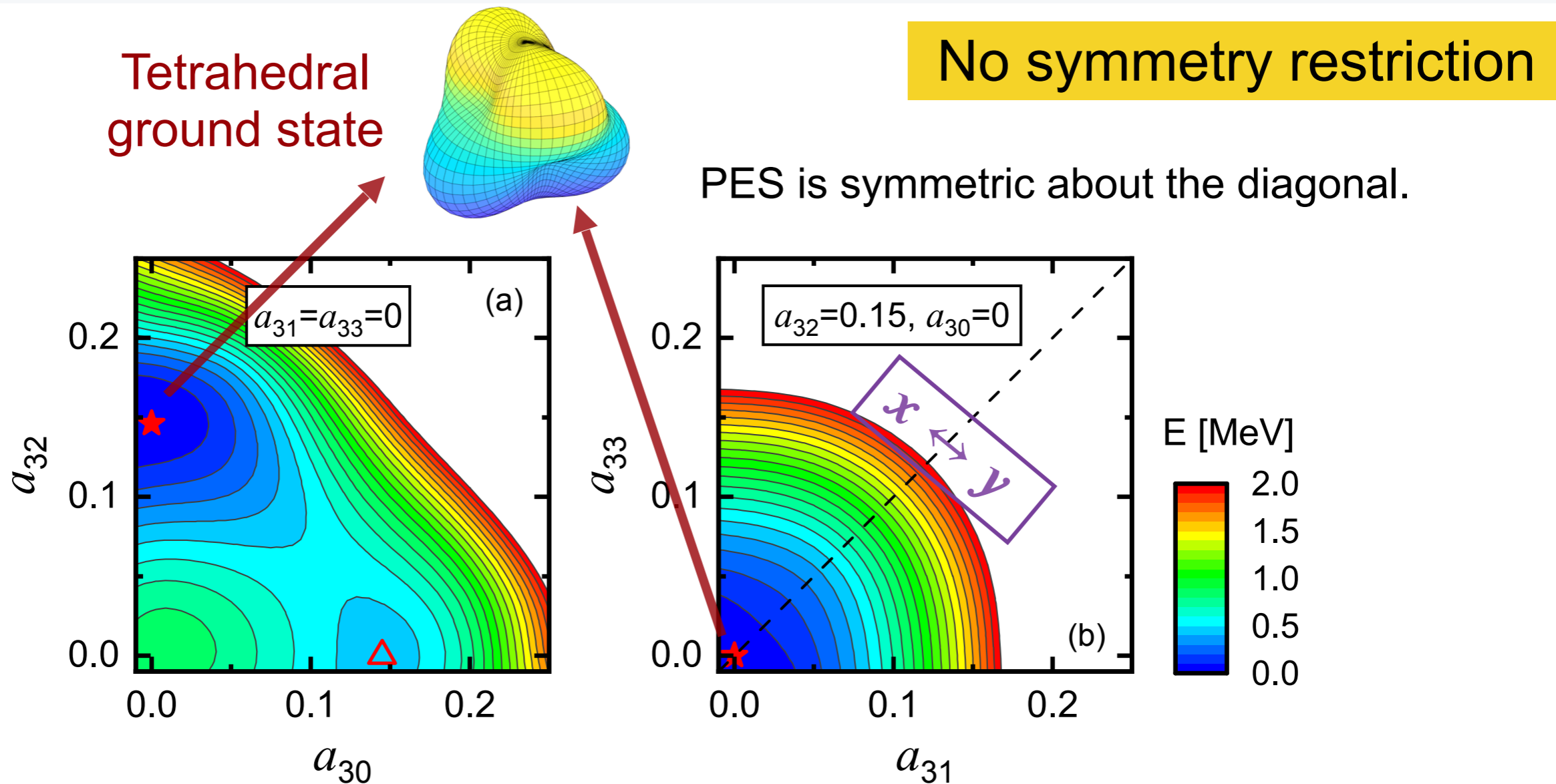
^{110}Zr

Lattice CDFT calculations with V_4 symmetry



| Energy minimum | a_{30} | a_{31} | a_{32} | a_{33} | E [MeV] |
|----------------|----------|----------|----------|----------|---------|
| Ground state | 0.00 | 0.00 | 0.15 | 0.00 | -902.49 |
| Isomeric state | 0.15 | 0.00 | 0.00 | 0.00 | -902.02 |

The tetrahedral ground state

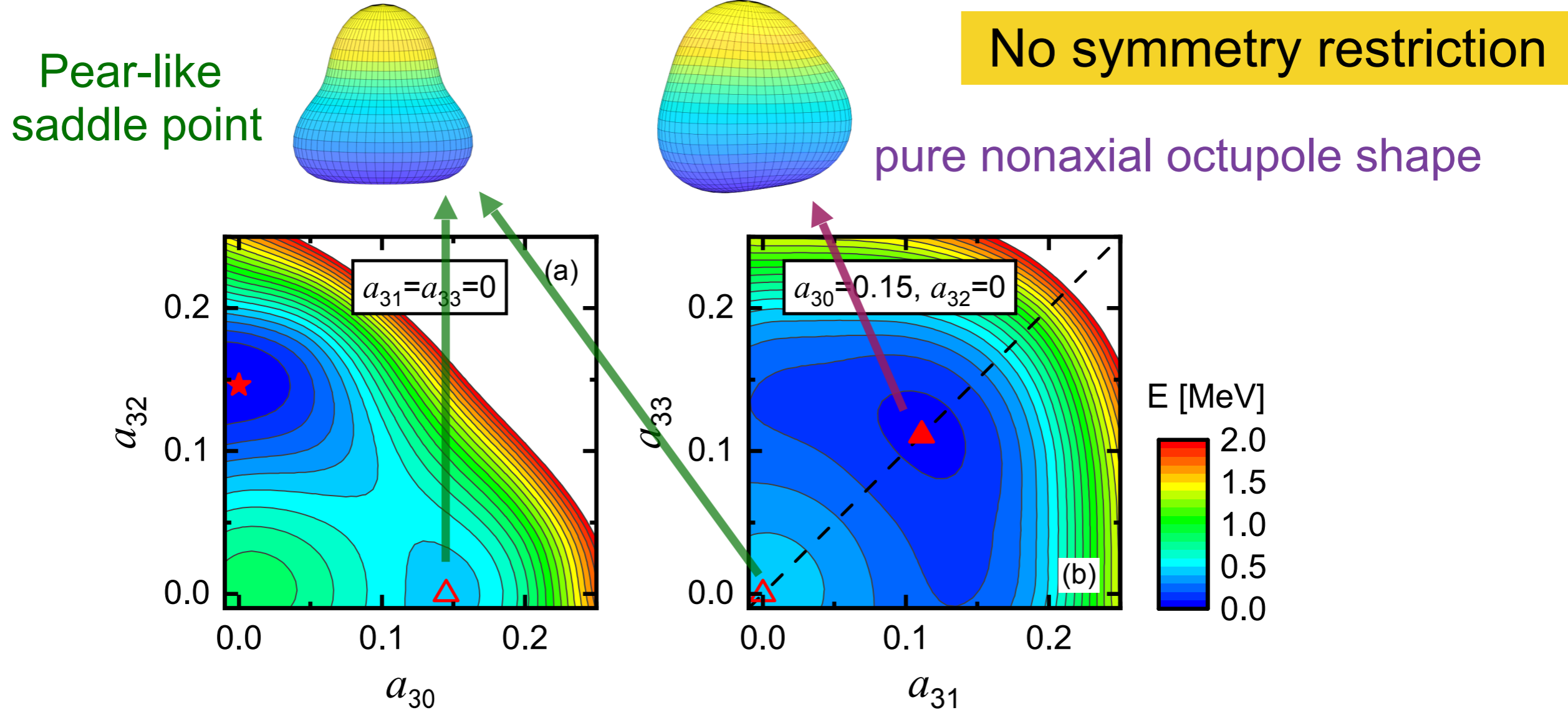


| Energy minimum | a_{30} | a_{31} | a_{32} | a_{33} | E [MeV] |
|----------------|----------|----------|----------|----------|---------|
| Ground state | 0.00 | 0.00 | 0.15 | 0.00 | -902.49 |

Xu, Li, Ren, **PWZ**, Phys. Rev. C 109, 014311 (2024)

The ground state of ^{110}Zr has a tetrahedral shape.

Nonaxial octupole isometric state



| Energy minimum | a_{30} | a_{31} | a_{32} | a_{33} | E [MeV] |
|----------------|----------|----------|----------|----------|---------|
| Ground state | 0.00 | 0.00 | 0.15 | 0.00 | -902.49 |
| Isomeric state | 0.15 | 0.11 | 0.00 | 0.11 | -902.42 |

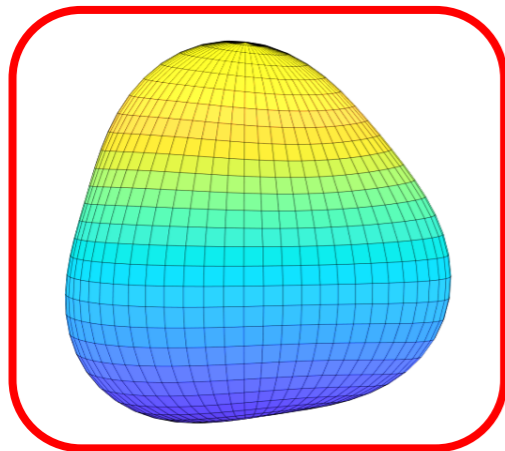
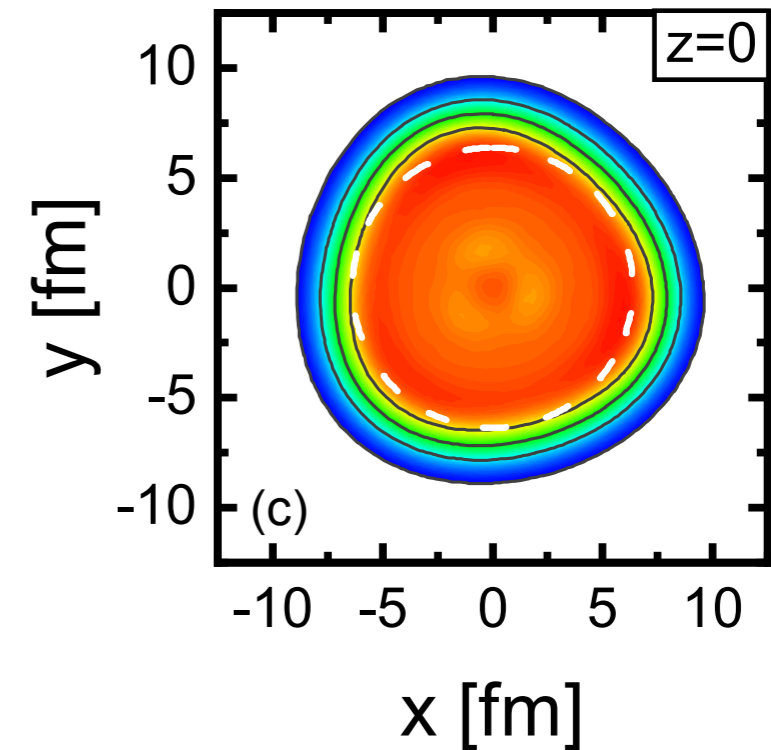
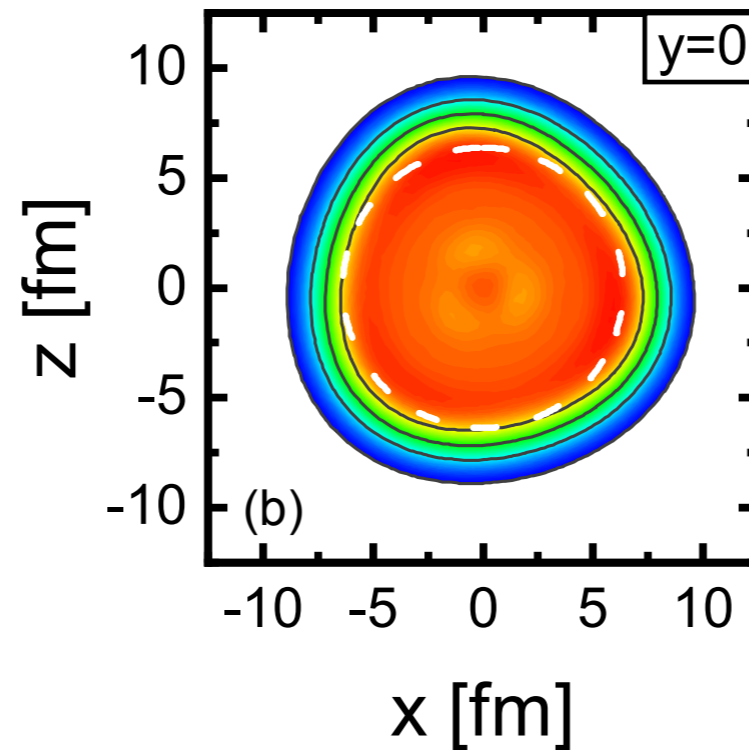
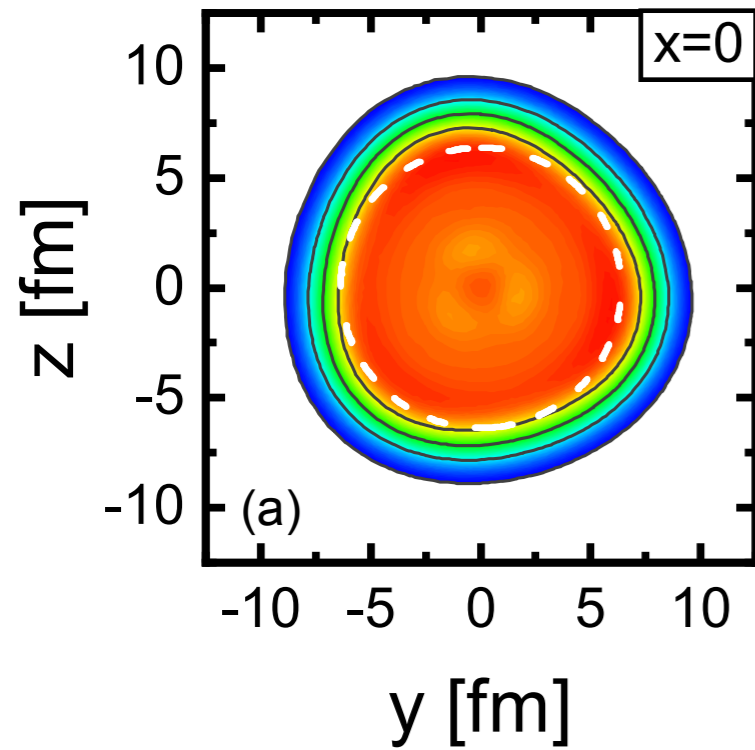
Xu, Li, Ren, **PWZ**, Phys. Rev. C 109, 014311 (2024)

The isomeric state of ^{110}Zr has a pure non-axial octupole shape.

Y_{31} and Y_{33} deformations

^{286}No Ground-state density profiles

No symmetry restriction



| a_{30} | a_{31} | a_{32} | a_{33} | E [MeV] |
|----------|----------|----------|----------|----------|
| 0.07 | 0.07 | 0.01 | 0.07 | -2046.65 |

Xu, Li, Ring, **PWZ**, PLB 856, 138893 (2024)

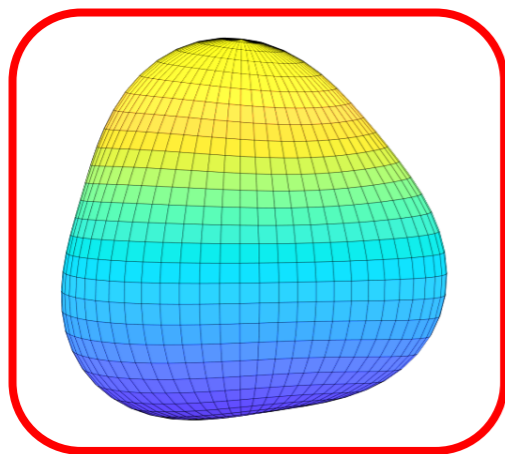
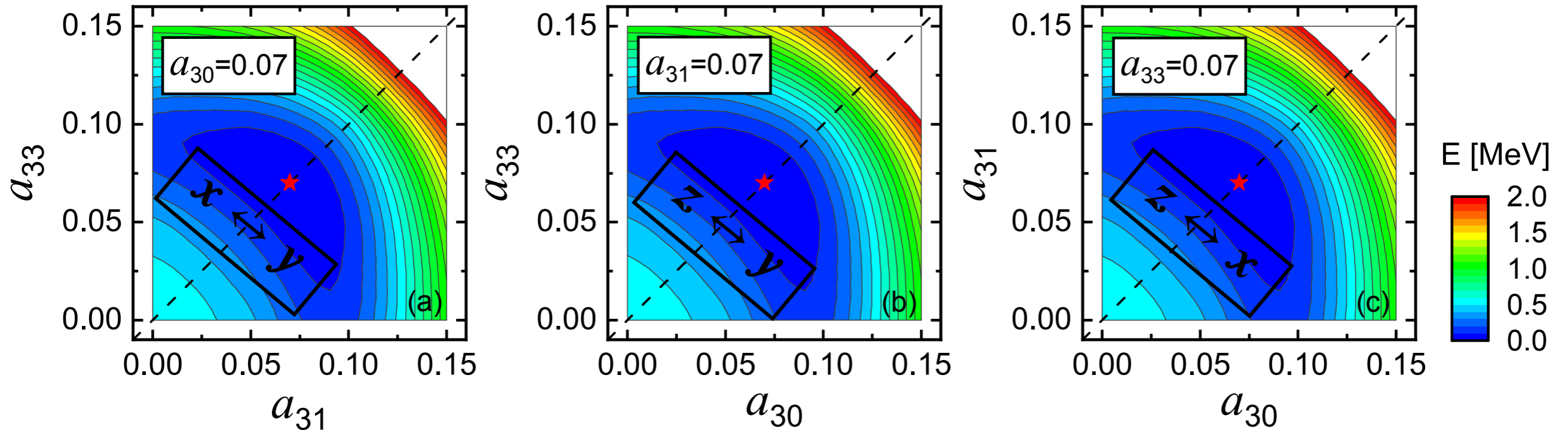
The ground state of ^{286}No has a pure non-axial octupole shape.

Softness of the ground state

^{286}No

No symmetry restriction

PES is symmetric about the diagonal.



| a_{30} | a_{31} | a_{32} | a_{33} | E [MeV] |
|----------|----------|----------|----------|----------|
| 0.07 | 0.07 | 0.01 | 0.07 | -2046.65 |

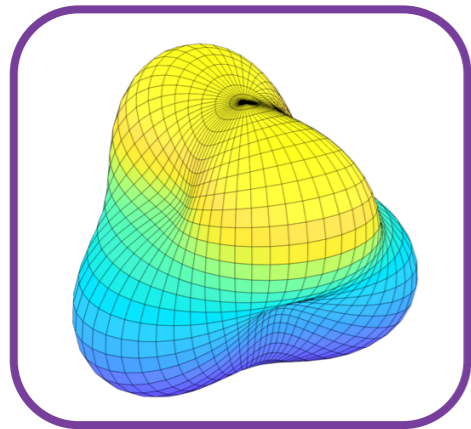
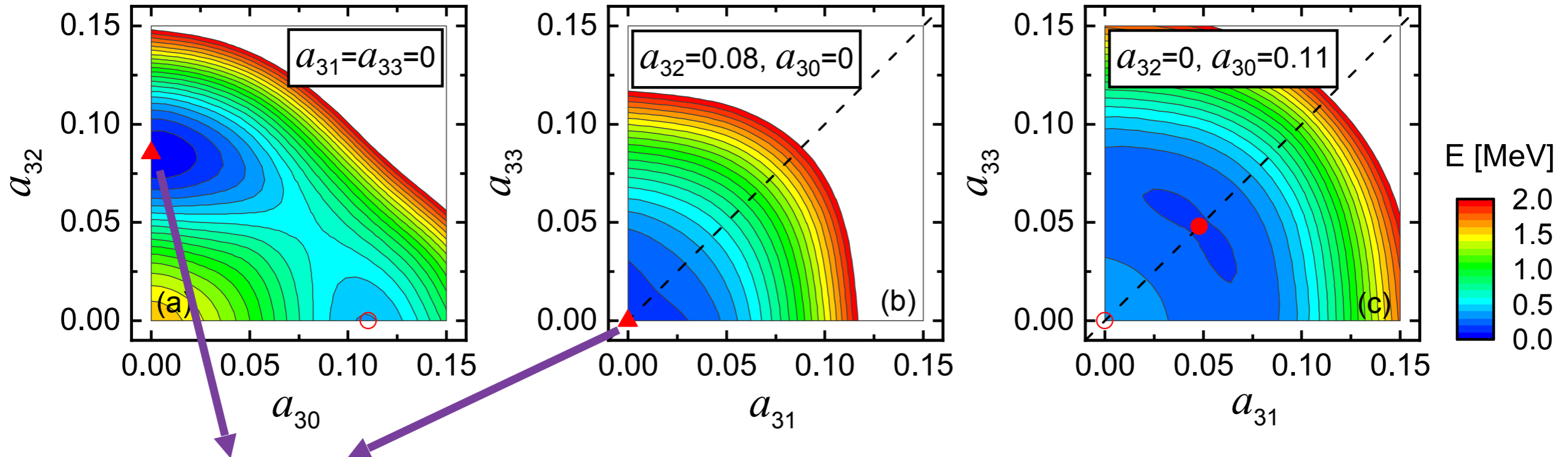
Xu, Li, Ring, **PWZ**, PLB 856, 138893 (2024)

The ground state of ^{286}No is soft in the octupole directions.

Potential energy surface for ^{286}No

^{286}No

Lattice-CDFT results



| a_{30} | a_{31} | a_{32} | a_{33} | E [MeV] |
|----------|----------|----------|----------|----------|
| 0.07 | 0.07 | 0.01 | 0.07 | -2046.65 |
| 0.00 | 0.00 | 0.08 | 0.00 | -2046.53 |

Xu, Li, Ring, **PWZ**, PLB 856, 138893 (2024)

The isomeric state of ^{286}No has a tetrahedral shape.

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- Non-axial octupole deformation
- High-order deformation in superheavy nuclei
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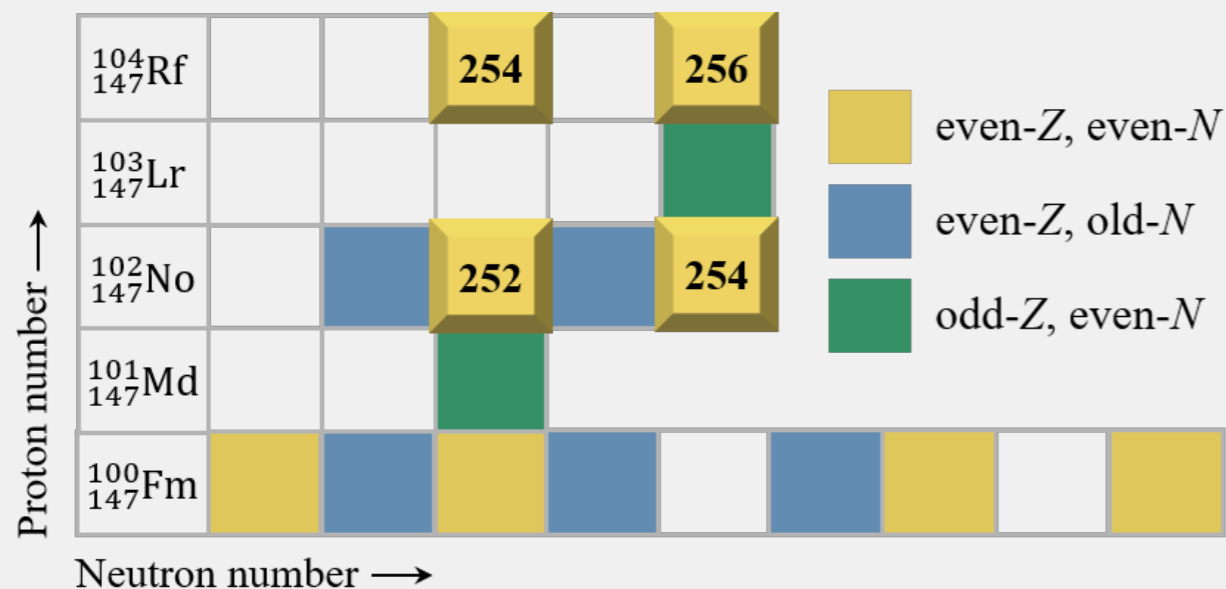
Rotating transfermium nuclei

- **Transfermium nuclei** are the heaviest ones, whose rotational spectra have been measured experimentally; important for the location of the **“island of stability”**.

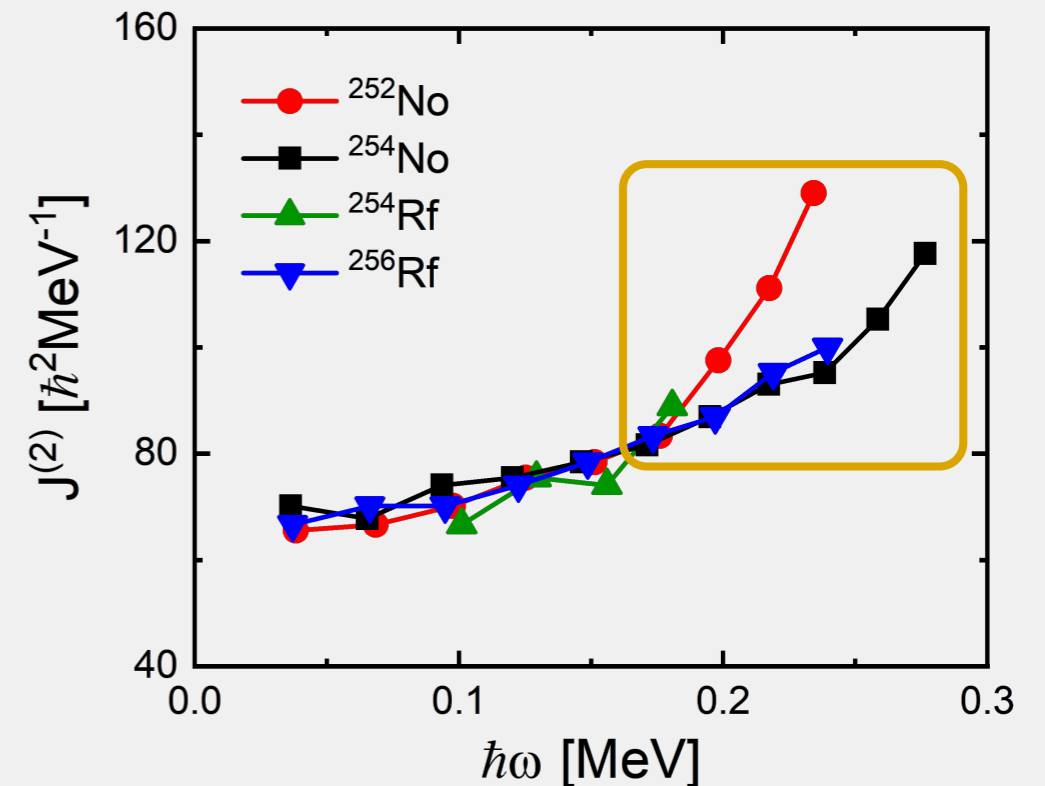
Herzberg, Greenlees, Prog. Part. Nucl. Phys. 61, 674 (2008); Ackermann, Theisen, Phys. Scr. 92, 083002 (2017)

ANL, FLNR, GANIL, GSI, JAEA, JYFL ...

Dariusz's talk



PRL 82, 509 (1999) PRL 85, 1198 (2000) PRL 89, 202501 (2002)
 PRL 95, 102502 (2005) PRL 95, 032501 (2005)
 PRL 97, 082502 (2006) PRL 98, 132503 (2007)
 PRL 102, 212501 (2009) PRL 109, 102501 (2012)
 ...



PRL 82, 509 (1999) PRL 109, 102501 (2012)
 PRC 65, 014303 (2001) PRC 107, L061302 (2023)

The physical mechanism of the abnormal behavior in ^{252}No and ^{254}No ?

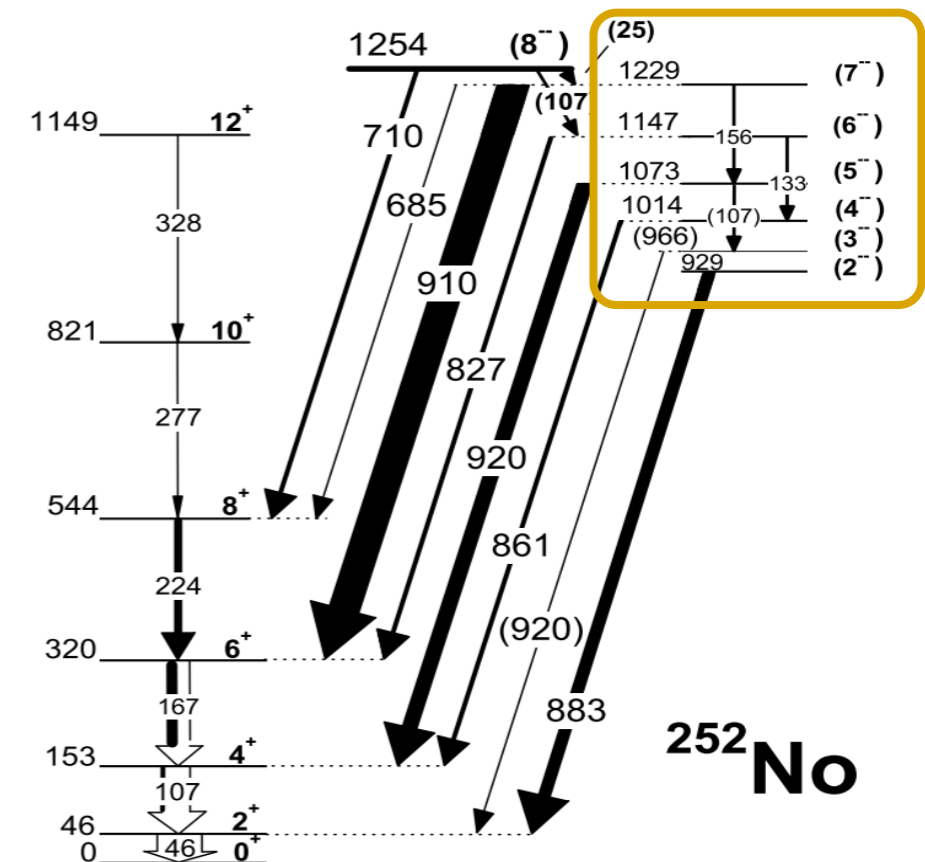
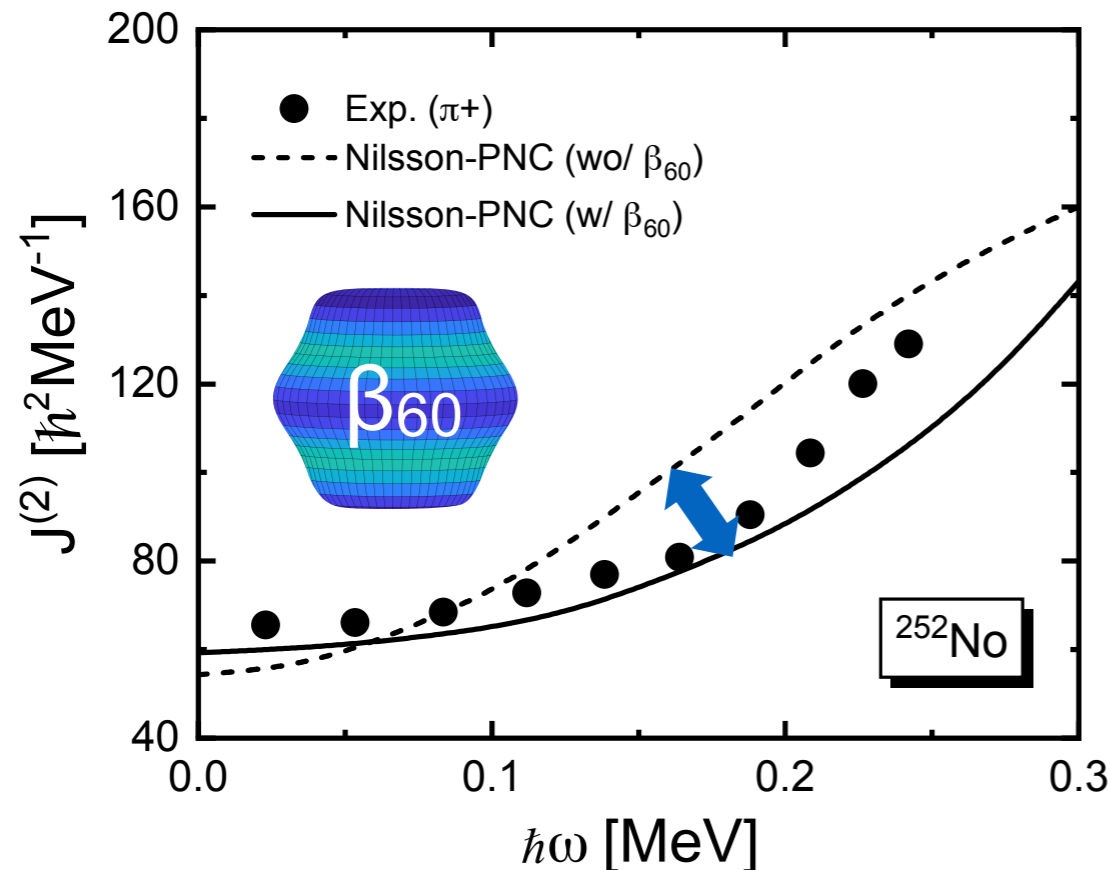
Theoretical investigations

- Theoretical studies on the rotational properties of ^{252}No and ^{254}No include:

- ✓ Density functional theories
- ✓ Projected shell model
- ✓ Reflection asymmetric shell model
- ✓ Total Routhian surface (TRS) method
- ✓ PNC cranked shell model (PNC-CSM)
- ✓ ...

Duguet NPA (2001); Afanasjev PRC (2003); Sun PRC (2008); Chen PRC (2008); Liu PRC (2012); Zhang PRC (2013) ...

- β_{60} deformation is considered to be reason for the **upbending** of the moments of inertia in ^{252}No , but the **octupole deformation** is ignored.



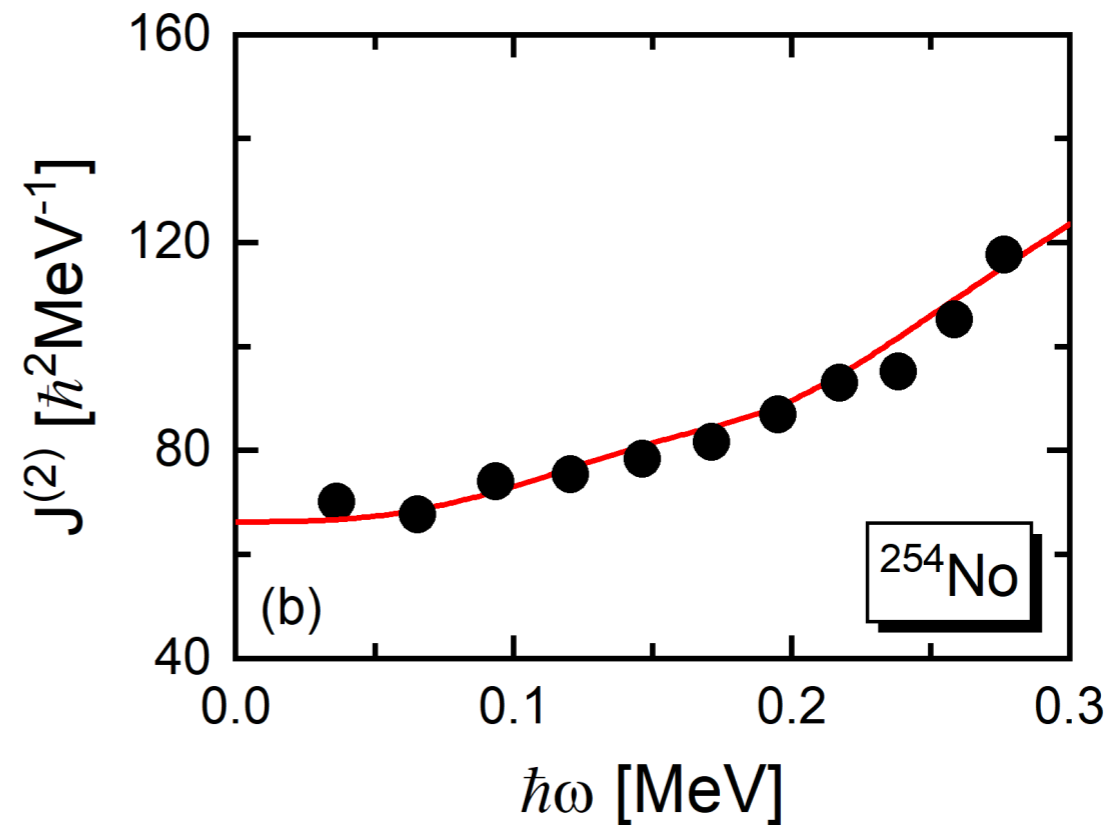
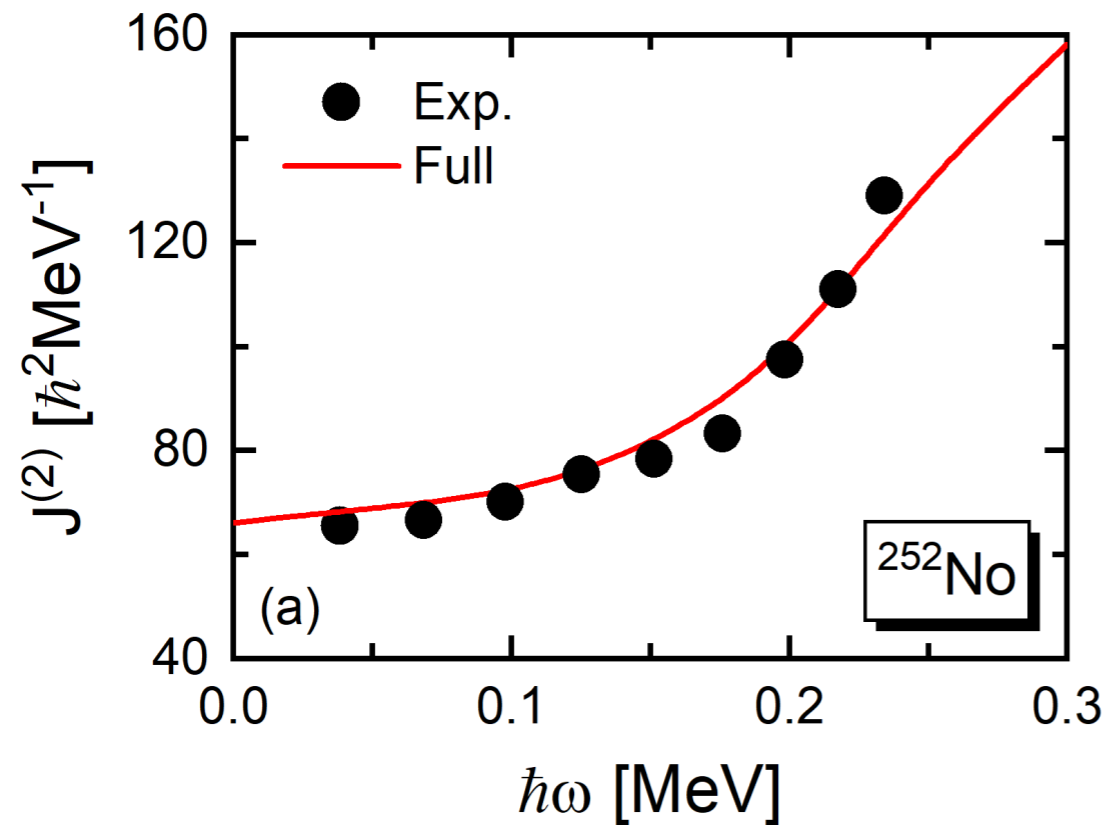
Zhang, Meng, Zhao, Zhou, Phys. Rev. C 87, 054308 (2013).

Sulignano, et al., Eur. Phys. J. A 33, 327 (2007).

Dynamic moments of inertia

Cranking CDFT in 3D lattice with a shell-model-like approach (SLAP):

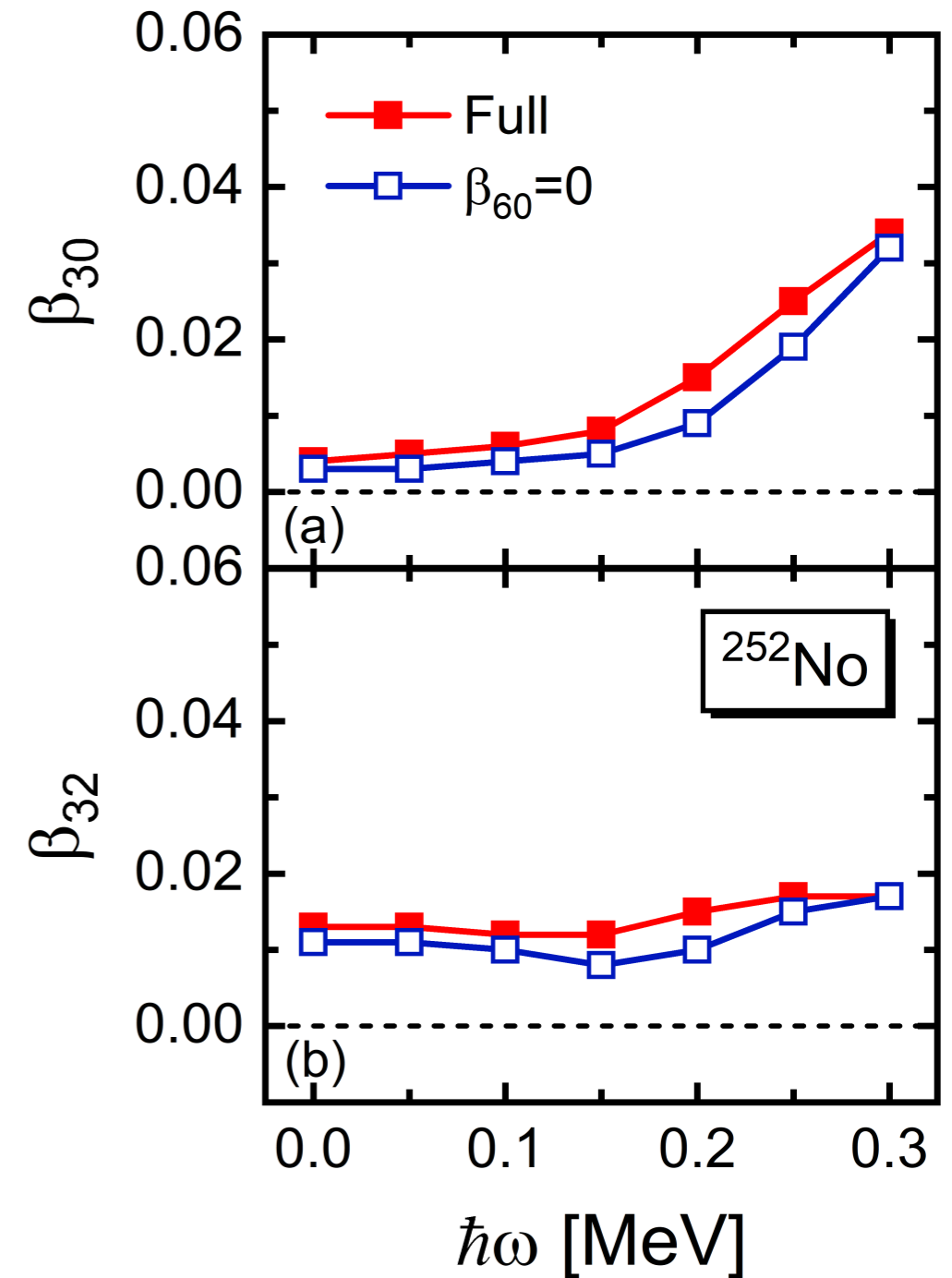
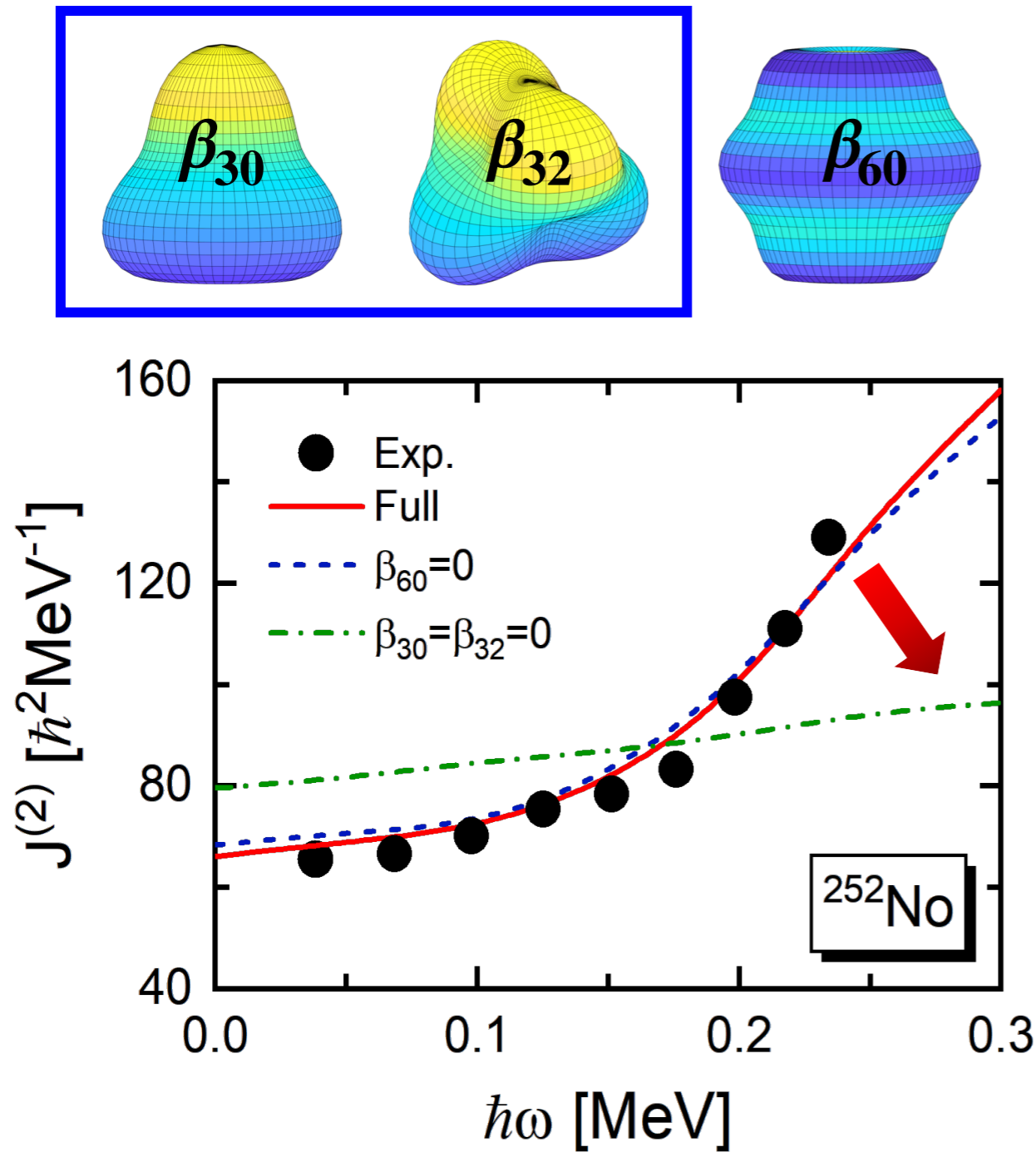
- ✓ self-consistent and microscopic description
- ✓ no adjustable parameter beyond a well-determined functional
- ✓ no spatial symmetry restriction
- ✓ pairing correlations is treated with particle number conservation



Xu, Wang, Wang, Ring, **PWZ**, PRL 133, 022501 (2024)

The experimental moments of inertia are well reproduced.

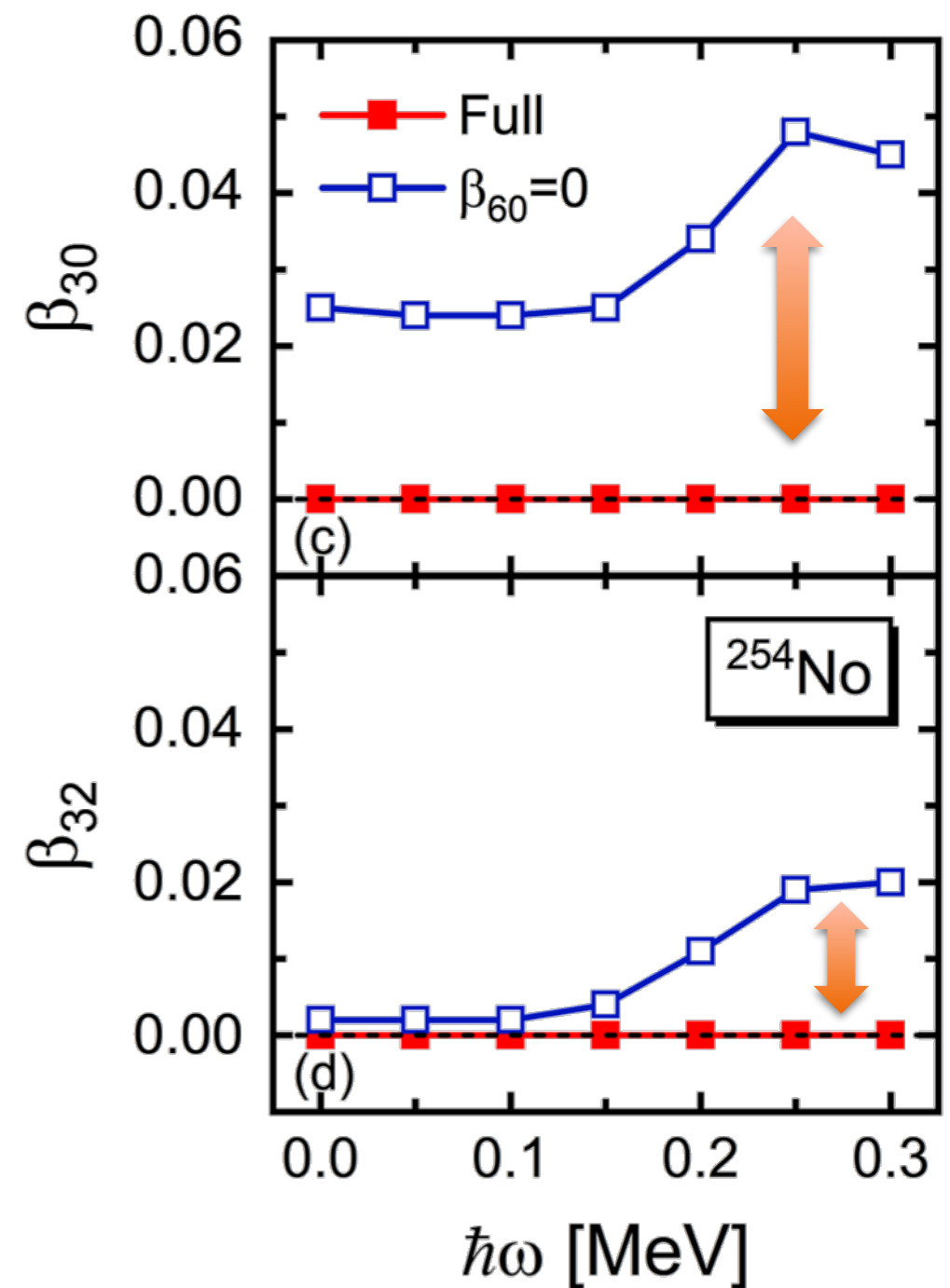
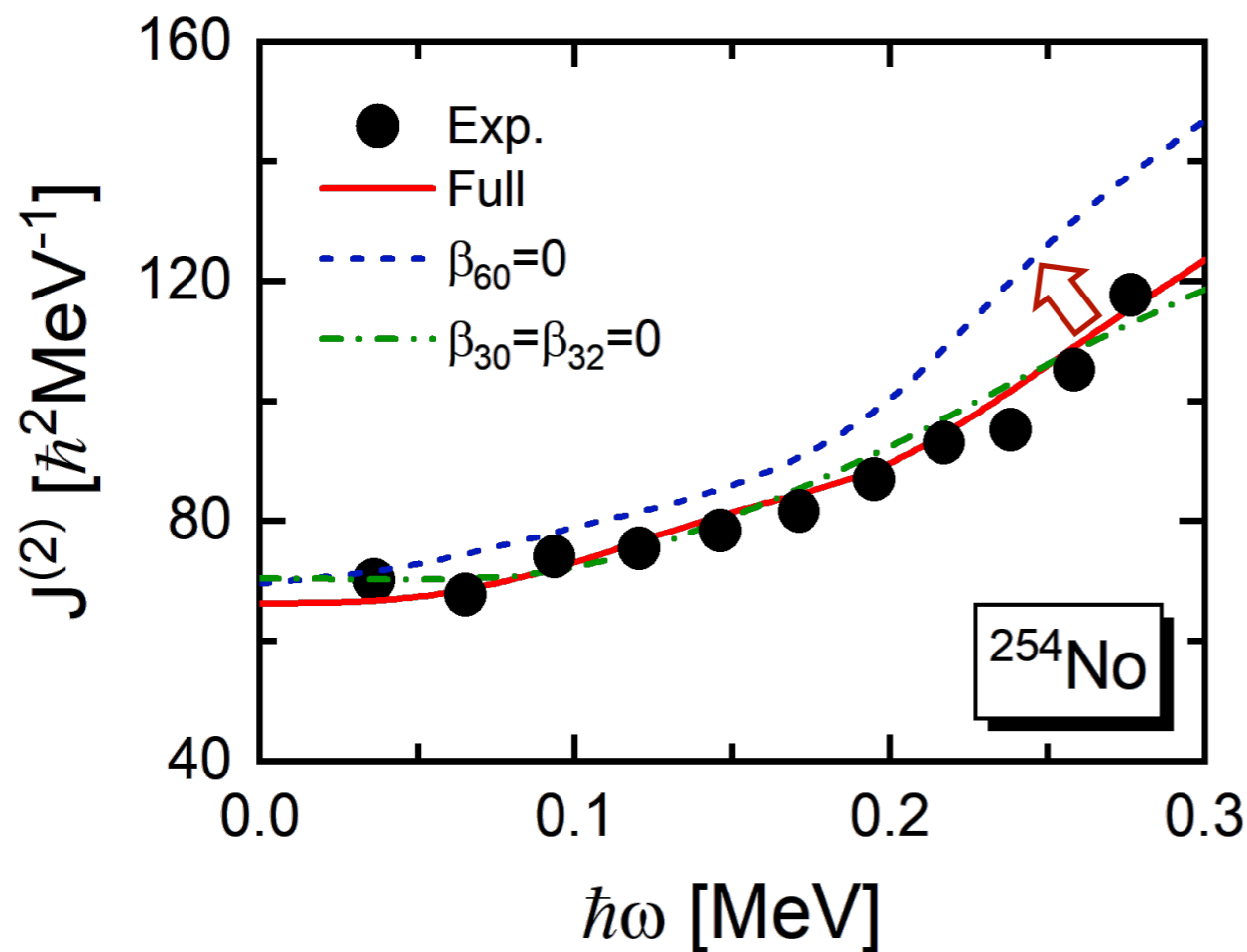
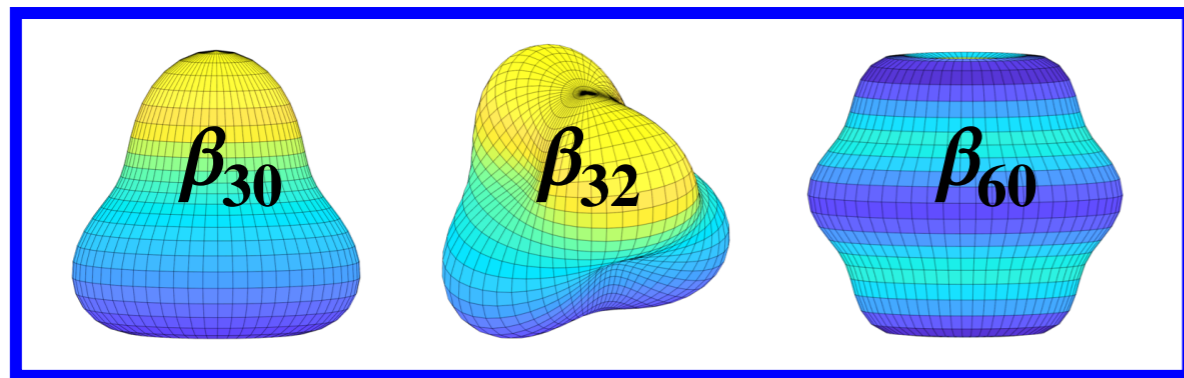
High-order deformation in ^{252}No



Xu, Wang, Wang, Ring, **PWZ**, PRL 133, 022501 (2024)

Octupole deformation is responsible for the upbending in ^{252}No .

High-order deformation in ^{254}No



Xu, Wang, Wang, Ring, **PWZ**, PRL 133, 022501 (2024)

Octupole and β_{60} deformations are coupled with each other in ^{254}No .

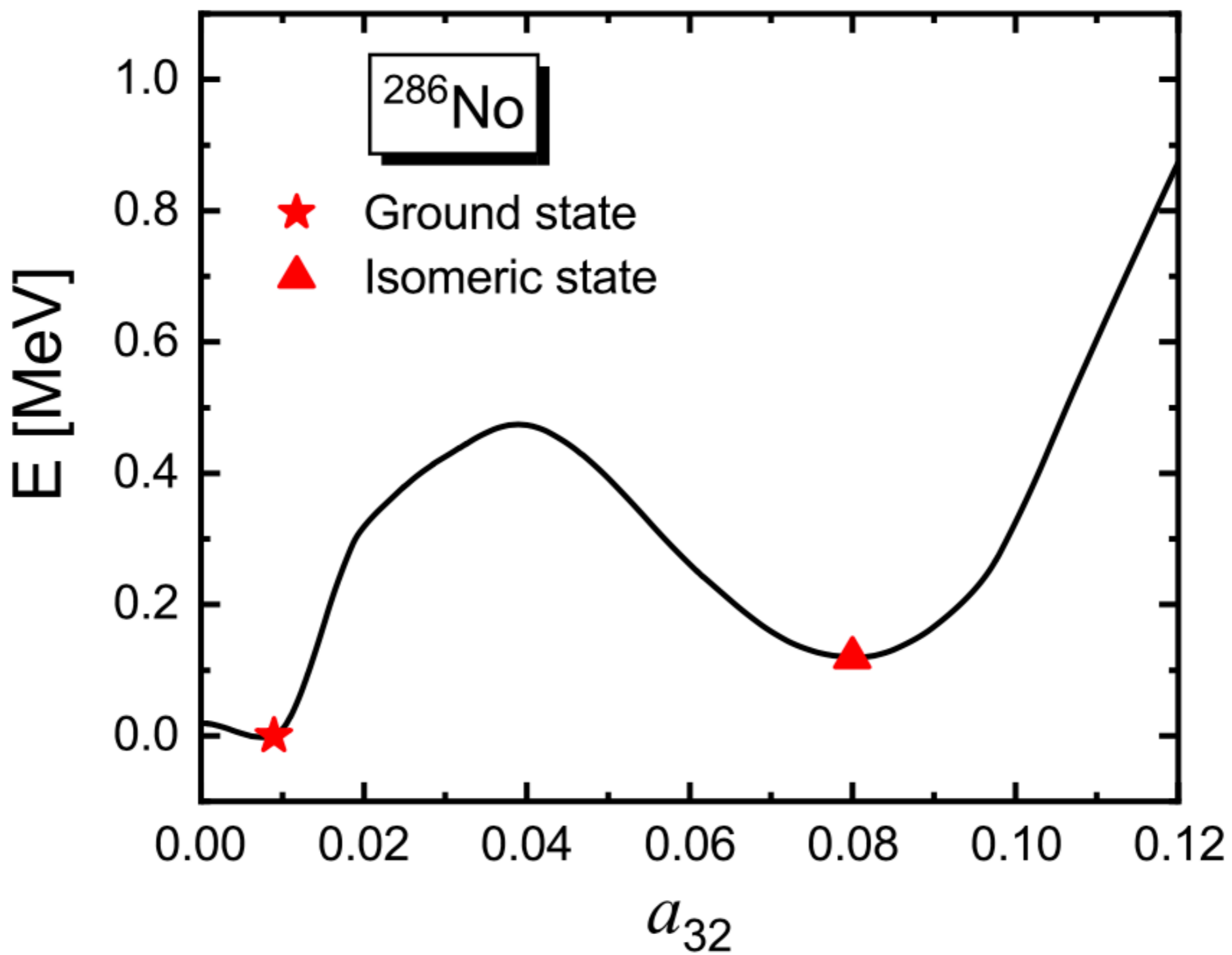
Summary

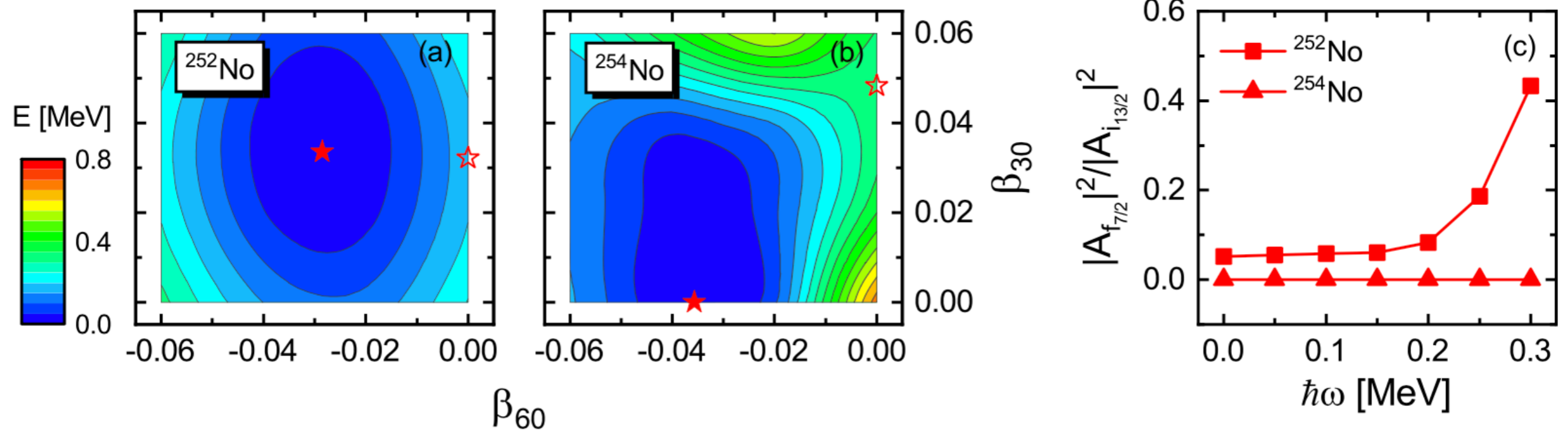
Covariant density functional theory has been solved in 3D lattice and used to study high-order deformations in nuclei.

- CDFT has been solved in 3D lattice by PCG-F
more efficient in computation
- Tetrahedral, Y_{31} , and Y_{33} deformations in ^{110}Zr and ^{286}No
a tetrahedral state and a pure non-axial octupole state
shape softness / coexistence
- Cranking CDFT+SLAP has been developed in 3D lattice
no spatial symmetry restriction
particle number conservation
- High-order deformation in superheavy nuclei
octupole deformation effects
coupling between the octupole and β_{60} deformation

A scenic view of a lake with ducks, trees, and a pagoda. The lake is in the foreground, with several ducks swimming. The background features a dense line of trees with autumn foliage in shades of green, yellow, and orange. In the distance, a traditional Chinese pagoda with multiple tiers is visible against a clear blue sky. The overall atmosphere is peaceful and serene.

Thank you.

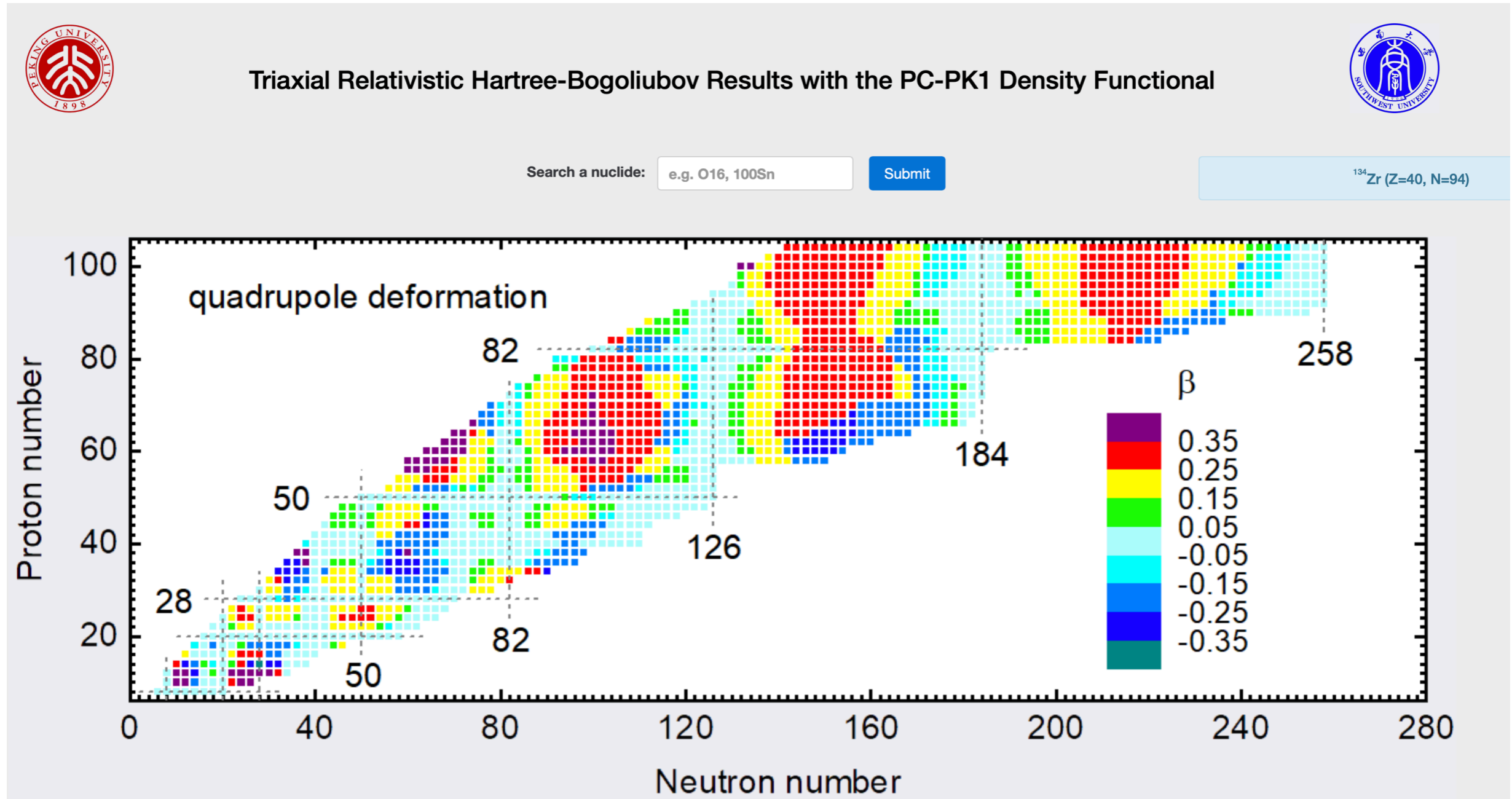




How many nuclei are bound?

<http://nuclearmap.jcnp.org/index.html>

Triaxial RHB + 5DCH



Yang, Wang, PWZ, Li, Phys. Rev. C 104, 054312 (2021)

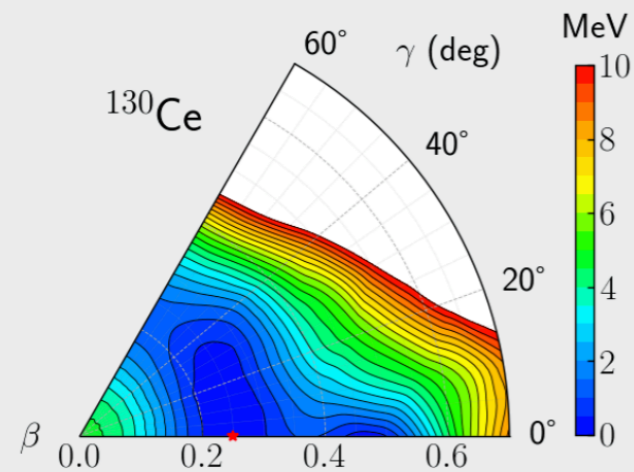
Yang, PWZ, Li, Phys. Rev. C 107, 024308 (2023)

How many nuclei are bound?

<http://nuclearmap.jcnp.org/index.html>

Triaxial RHB + 5DCH

Results for Cerium 130 (Z=58, N=72)



Potential energy surface calculated by RHB theory with PC-PK1 density functional. All energies are normalized with respect to the binding energy of the absolute minimum. The contours join points on the surface with the same energy, and the energy difference between adjacent contours is 0.5 MeV.

Ground-state properties

Spectroscopy (coming soon)

$$E_{\text{RHB}} = -1079.46 \text{ MeV}$$

$$E_{5\text{DCH}} = -1083.50 \text{ MeV}$$

$$E_{\text{exp}} = -1083.32 \text{ MeV}$$

$$\beta = 0.25$$

$$\gamma = 0^\circ$$

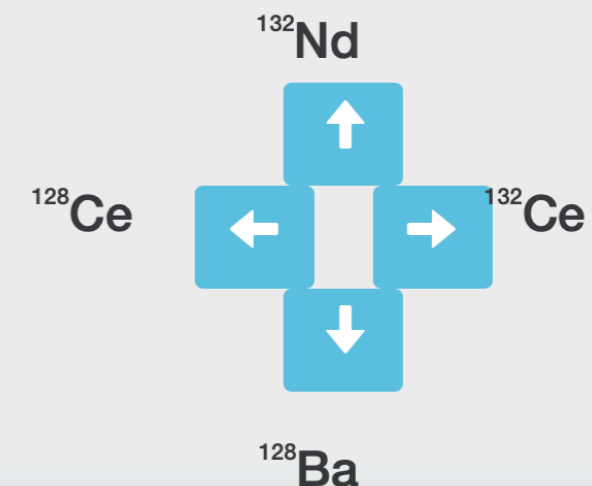
To know the meaning of a quantity, please hold the mouse still on it.

Select a nuclide

Proton number

Neutron number

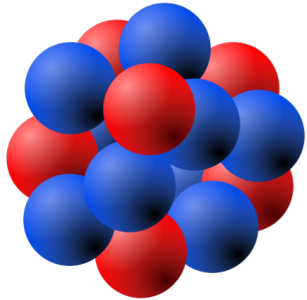
Submit



Yang, Wang, PWZ, Li, Phys. Rev. C 104, 054312 (2021)

Yang, PWZ, Li, Phys. Rev. C 107, 024308 (2023)

Relativistic nuclear many-body problem



Schrödinger Equation

$$H|\psi\rangle = (T + V)|\psi\rangle$$

Relativistic QFT

$$L = L_N + L_\sigma + L_\omega + L_{\text{int}}$$

Walecka, *Ann. Phys.*, **83**, 491 (1974)

Mean-field approximation

1. Mean-field approximation works **surprisingly good** !
2. **Large mean fields** $S \approx -400 \text{ MeV}$, $V \approx 350 \text{ MeV}$
3. **Large spin-orbit splitting** *predicts nuclear shell model, no adjustments to spin-orbit force*
4. Relativistic **Saturation** *non-relativistic calculations lead to a collapse*

A Theory of Highly Condensed Matter*

J. D. WALECKA

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Stanford University, Stanford, California 94305*

A covariant formulation provides an efficient and comprehensive explanation of observed bulk and single-particle systematics.