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Review of Matching Effective Field Theories

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In recent years, there has been a distinct trend in the community:



Jargon warning

HEFT

SM + higher-dimension operators made from SM fields in complete gauge multiplets (respects gauge symmetries). Usually up to dimension 6, contains 2499 operators in Warsaw basis

Generalisation of the SMEFT such that the Higgs is written as a real scalar and the underlying electroweak symmetry is explicitly broken.

Theory below the W/Z/higgs/top mass consisting of four-fermion operators and dipole operators. Generalisation of Fermi theory.

WET/

LEFT

SMEFT

Example: Dipole operator

WE

The lepton vertex function is responsible for a lot of physics:

 $(-ie\mathbf{q}_{e}) \bar{u}(p')\Gamma^{\mu}(p,p')u(p)$

$$\Gamma^{\mu}(p,p') = \gamma^{\mu}F_{E}(k^{2}) + i\frac{\sigma^{\mu\nu}k_{\nu}}{2m_{\ell}}F_{M}(k^{2}) + \frac{\sigma^{\mu\nu}k_{\nu}}{2m_{\ell}}\gamma_{5}F_{D}(k^{2}) + \frac{k^{2}\gamma^{\mu} - k^{\mu}k}{m_{\ell}^{2}}\gamma_{5}F_{A}(k^{2})$$
Gauge coupling Anomalous
renormalisation magnetic moment Electric Dipole Anapole
moment $i\varepsilon_{\mu}(k)\sigma^{\mu\nu}k_{\nu} \sim \partial_{0}A_{i}\sigma^{0i} + \partial_{i}A_{j}\sigma^{ij} \sim \mathbf{E} \cdot \sigma\gamma_{5} + i\mathbf{B} \cdot \sigma$

$$\mathbf{SMEFT} \longrightarrow (\bar{l}_{p}\sigma^{\mu\nu}e_{r})\tau^{I}\varphi W^{I}_{\mu\nu}$$

$$(\bar{l}_{p}\sigma^{\mu\nu}e_{r})\varphi B_{\mu\nu}$$

$$\mathbf{HEFT} \longrightarrow \bar{e}_{Lp}\sigma^{\mu\nu}e_{Rr}F_{\mu\nu}$$

Then within the study of EFTs we have a huge number of 'bottom-up' activities:

- Construction of bases: SMEFT up to high dimensions of operators, WET/LEFT with N flavours, HEFT vs SMEFT, ...
- EFT RGEs
- Flavour constraints
- EWPT
- Global fits
- Building ALP EFTs (e.g. arXiv:2112.00553 and many many more)
- Building DM EFTs
- Positivity constraints
- Reinterpretation of LHC limits
- ...

In absence of a choice of model, can in principle be model agnostic and interpret all data in terms of EFTs

These are not the subject of this talk

BUT if you do have a favourite model, it can be useful to match onto an EFT

This has also attracted a lot of interest recently, in particular:

- Functional matching at one loop: arXiv:1412.1837 + many afterwards
- ... e.g. 1706.07765, 1810.06994 (gauge bosons), 2006.16260 (fermions) ...
- CoDEx: arXiv:1808.04403
- Implementation in Mathematica: SuperTracer, arXiv:2012.07851, STrEAM, arXiv:2012.08506
- MatchMaker, arXiv:2112.10787
- Matchete, arXiv:2212.04510
- Fierz identities at one loop: arXiv:2208.10513, arXiv:2306.16449
- Using on-shell methods: arXiv:2308.00035, arXiv:2309.10851
- Functional matching at two loops: arXiv:2311.13630

This review is to decrypt what is going on and why

Why should you match?

- Simplest reason: to take advantage of the technology developed for EFTs! E.g. flavour constraints such as $\mu \to e\gamma, \quad \mu \to 3e$
- Most important: precision! In the EFT we resum large logarithms. BSM models could consist of a tower of widely separated scales. No other way to treat them (modulo some ideas about multiscale renormalisation ...)
- Comparison with LHC information ... especially once bounds on operators become more important than direct searches.
- Comparison of explicit model with positivity bounds etc.



From 1412.1837

Yes, there may (still) be motivation for light (electroweak-charged) particles!



So ideally need to be flexible about the EFT above the weak scale

Typically BSM models are either:

From some UV framework (SUSY, composite Higgs, Randall-Sundrum, ...)

- May be heavy and leave only SM at low energies
- > SUSY \rightarrow interested in matching to the SMEFT, or SMEFT+DM
- > Composite Higgs \rightarrow HEFT, or vector-like quark models

or

Simplified models (add one/few particle, minimal DM, vector-like quarks, ...)

- May already include effective operators as a portal
- Should be built in to an EFT if particles are light

Flexible about choice of EFT \rightarrow genericity

Only a handful of SMEFT operators are important for lepton g-2:

$$\Delta a_{\rm exp} = 41 \times 10^{-11}$$
$$|a_{\rm exp} - a_{\rm R-ratio}| = 251 \times 10^{-11}$$

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Nowadays if we can compute the SMEFT coefficients, can include running effects equivalent to the leading logs of 2-loop fixedorder ...

Muon g-2

$$\begin{split} \Delta a_{\ell}^{250 \ \text{GeV}} &= \frac{m_{\ell}}{m_{\mu}} \text{Re} \left[\begin{array}{c} 2.9_{\mu} \times 10^{-3} \widetilde{C}_{eB} &= \frac{1.6_{\mu}}{1.5_{e}} \times 10^{-3} \widetilde{C}_{eW} & \text{Dipoles, contribute} \\ a \ \text{tleading order} \\ (\text{one loop}) \\ &- \frac{4.3_{\mu}}{4.1_{e}} \times 10^{-5} \widetilde{C}_{lequ}^{(3)} - \left(2.6 + 0.37 c_{T}^{(c)} \right) \times 10^{-6} \widetilde{C}_{lequ}^{(3)} \\ &\neq \ell \ell 3 \end{array} \right] \\ \hline \\ &= 11 \\ &- 7.9 \times 10^{-8} \widetilde{C}_{\ell eq}^{\ \ell e} + \left(5.7 c_{T} - \frac{0.49_{\mu}}{0.48_{e}} \right) \times 10^{-8} \widetilde{C}_{\ell equ}^{(3)} \\ &+ \left(\frac{10_{\mu}}{9.8_{e}} + 2.5 c_{T}^{(c)} \right) \times 10^{-9} \widetilde{C}_{\ell equ}^{(1)} \\ &+ \left(\frac{10_{\mu}}{9.8_{e}} + 2.5 c_{T}^{(c)} \right) \times 10^{-9} \widetilde{C}_{\ell equ}^{(1)} \\ &+ \frac{m_{\ell}}{m_{\mu}} \left\{ \frac{2.5_{\mu}}{2.4_{e}} \times 10^{-8} \left(\widetilde{C}_{HWB} + i \widetilde{C}_{H \widetilde{W}B} \right) - \frac{1.8_{\mu}}{1.7_{e}} \times 10^{-8} \left(\widetilde{C}_{HB} + i \widetilde{C}_{H \widetilde{B}} \right) \\ &- \frac{6.0_{\mu}}{5.7_{e}} \times 10^{-9} \left(\widetilde{C}_{HW} + i \widetilde{C}_{H \widetilde{W}} \right) + 3.8 \times 10^{-9} \widetilde{C}_{\ell \ell} \\ &+ \frac{3.6_{\mu}}{3.3_{e}} \times 10^{-9} \widetilde{C}_{\ell \ell}^{(1)} \\ &+ \frac{3.6_{\mu}}{3.3_{e}} \times 10^{-9} \widetilde{C}_{\ell \ell}^{(1)} \\ &+ \frac{1.1 \times 10^{-9} \widetilde{C}_{\widetilde{W}}^{(3)}}{\left\{ \right\} } \\ \end{bmatrix} \\ \end{array}$$

$$EDMS \qquad d_{\ell} = -\frac{e_{\text{QED}}q_{e}}{2m_{\ell}}F_{D}(0)$$
$$d_{\ell}^{SM} \lesssim 10^{-38}e \text{ cm}$$
$$|d_{e}| < 1.1 \times 10^{-29} e - \text{cm} \qquad @ 90\% \text{ CL}$$
$$|d_{u}| < 1.5 \times 10^{-19} e - \text{cm} \qquad @ 90\% \text{ CL}$$

Yet key contributions to EDMs only appear at two loops

Lepton EDMs have very tight constraints from experiments:



Explicit calculations only for a couple of models (here THDM 2009.01258)

(see also gluino phase in MSSM)

W boson mass





Could be explained by heavy particles, either at EW scale or much higher (multi-TeV) via tree-level effect

Models to enhance the W mass

Currently > 500 citations to the CDF paper!

Explanations generally one or more of:

- EFT fits
- Models with extra SU(2) reps (e.g. triplet) with a vev
- Models with light EW states (loops)
- Models with a Z' that mixes with the Z (we fix the Z mass and the weak mixing angles from observations)

$$\rho \equiv 1 + \Delta \rho_{\text{tree}} + \Delta \rho = 1 + 4 \frac{v_T^2}{v^2} + \Delta \rho.$$

$$\Delta_{\text{tree}} M_W^2 = \frac{c_W^2}{c_W^2 - s_W^2} (M_W^2)_{\text{SM}} \Delta \rho_{\text{tree}},$$

$$\Delta_{\text{tree}} s_W^2 = -\frac{s_W^2 c_W^2}{c_W^2 - s_W^2} \Delta \rho_{\text{tree}},$$

$$\Delta\rho\equiv \frac{M_W^2}{c_W^2 M_Z^2}-1$$

Traditionally in a fixed order computation (expanding to one loop) we use:

$$\begin{split} s_W^2 c_W^2 = & \frac{\pi \alpha(0)}{\sqrt{2} G_F M_Z^2} (1 + \Delta \alpha - \frac{\Pi_{ZZ}(M_Z^2)}{M_Z^2} + \frac{\Pi_{WW}(0)}{M_W^2} + \delta_{VB}) & \text{To extract the weak} \\ \alpha(0) = & \frac{g_2^2(M_Z) g_Y^2(M_Z)}{4\pi [g_2^2(M_Z) + g_Y^2(M_Z)]} \left[1 - \Delta \alpha_{\text{had}}^{(5)}(M_Z) - \Delta \alpha_{\text{pert}} \right] \equiv \alpha(M_Z) [1 - \Delta \alpha] & \text{For the EM} \\ gauge \\ coupling \end{split}$$

Typically this is done iteratively (which breaks perturbation theory ...)

Then we compute the W mass from $M_W^2 = \hat{M}_W^2(Q) - \mathcal{R}e \Pi_{WW}^T(M_W^2)$

In the SM the **two loop computation** is required to reach the experimental precision!

In BSM models typically only one loop computation is available

W mass using the SMEFT

Corrections to the SM value can be conveniently parametrised in the SMEFT:

Ideally, would extract all the operators at the matching scale and run, this would resum large logs, even if the result is of form

$$\Delta \mathcal{O}_6 \sim \frac{1}{M^2} \log M^2 / Q^2$$

This should be the approach when the matching is actually available!

$$M_W^2 = (M_W^2)_{SM} \left(1 + \frac{s_W^2}{c_W^2 - s_W^2} \left[\frac{c_W^2}{s_W^2} (\Delta \rho_{\text{tree}} + \Delta \rho) - \Delta r_W - \Delta \alpha \right] \right)$$

Can make a 1-1 mapping between this and combinations of SMEFT coefficients at the matching scale! Tree-level part

e.g.
$$C_{HD} = \frac{2}{v^2} \left[-\Delta \rho_0 (1 + \frac{\Pi_{WW}(0)}{M_W^2}) + \frac{\Pi_{WW}(0)}{M_W^2} - \frac{\Pi_{ZZ}(0)}{M_Z^2} \right]$$
Just need to separate out
$$\delta_{VB} = \frac{1}{16\pi^2} \left[\frac{V}{g_2} - \frac{2c_W^2 M_Z^2}{g_2^2} \operatorname{Re}(B) \right]$$

$$C_{H\ell}^{(3)}$$

For now, we just match the total combination and neglect running in the SMEFT

Example procedure:



and W masses in the SM wih the above correction

We use

$$\Delta \rho_0 = \frac{M_W^2(\text{tree})}{M_Z^2(\text{tree})} \frac{g_1^2 + g_2^2}{g_2^2}$$

The tree-level relations are determined in the high-energy theory



Or shifts from Z' mixing with the Z boson ... such as from heterotic-inspired Z' models

e.g. A. Faraggi & MDG, 2312.13411

Although in this model the Z' has to be too heavy to affect the W mass in any substantial way, enhancements come through quantum corrections



Matching of renormalisable operators

Integrating out heavy fields changes the SM couplings – especially the Higgs quartic!



From 1807.07546

The classic example is SUSY, which predicts the Higgs quartic coupling at tree-level

$$\lambda_{\rm SM}^{\rm tree}(M_S) = \frac{1}{4} \left(g^2 + g'^2\right) \cos^2 2\beta$$

 $(2 \kappa v_s) \sin 2\beta$

Scalar trilinears (e.g. in the NMSSM) change this at tree-level:

$$\lambda_{\rm SM}^{\rm tree}(M_S) = \frac{1}{4} \left(g^2 + g'^2\right) \cos^2 2\beta + \frac{\lambda^2}{2} \sin^2 2\beta - \frac{\left[2\lambda^2 v_s - \lambda \left(A_\lambda + 2\kappa v_s\right)s\right]}{2\kappa v_s \left(A_\kappa + 4\kappa v_s\right)}$$

Loop corrections can be very important, and corrections up to two-loop order are known

See e.g. 2012.15629 for a review of the literature



2206.04618

How do you match?



Bing, Microsoft. "An image to demonstrate how to match."

Generated by Bing chat mode using graphic_art tool, 2023.

Two main approaches



Functional matching @ 1 loop

Recall Coleman-Weinberg effective potential:

$$V_{\text{eff}} = V_0 - \frac{i}{2} \text{tr} \int \frac{d^d k}{(2\pi)^d} \log[k^2 - m_{eff}^2(\phi_{cl})] \qquad V_{\text{eff}}^{(1)} = \sum_i (-1)^{2s_i} (2s_i + 1) \left[\frac{m_i^4}{64\pi^2} \left(\log \frac{m_i^2}{\mu^2} - c_i \right) \right] \\ \equiv \text{STr} \left[\frac{m_{eff}^4}{64\pi^2} \left(\log \frac{m_{eff}^2}{\mu^2} - c \right) \right],$$

The mass depends on the classical fields, so we can expand the above to obtain the effective potential as a power series in them.

To find the effective operators in an EFT, we integrate over the 'heavy' fields

$$\exp\left\{i\mathcal{L}_{W}[\phi_{L}]\right\} = \int \mathcal{D}\phi_{H} \exp\left\{i\mathcal{L}[\phi_{L},\phi_{H}]\right\}$$

... which is equivalent to solving this equation for the heavy fields:

$$\frac{\delta\Gamma[\phi_{cl,L},\phi_{cl,H}]}{\delta\phi_H} = 0,$$

Functional matching @ 1 loop

 $K_i =$

Generalise the one-loop effective potential to include derivative operators, gauge boson and fermion background fields: compute effective operators by expanding:

$$\int d^{d}x \, \mathcal{L}_{\text{EFT}}^{(1\text{-loop})}[\phi] = \frac{i}{2} \operatorname{STr} \log \mathbf{K} \Big|_{\text{hard}} - \frac{i}{2} \sum_{n=1}^{\infty} \frac{1}{n} \operatorname{STr} \left[\left(\mathbf{K}^{-1} \mathbf{X} \right)^{n} \right] \Big|_{\text{hard}}$$

Kinetic term

$$\mathcal{L}_{\text{UV}} \supset \frac{1}{2} \bar{\varphi} \, \mathbf{K} \, \varphi = \frac{1}{2} \sum_{i} \bar{\varphi}_{i} \, K_{i} \, \varphi_{i} ,$$

$$\delta^{2} \mathcal{L}_{\text{UV}} = 2 \, \mathcal{L}_{\text{UV}}[\varphi + \delta \varphi] \Big|_{\mathcal{O}(\delta \varphi^{2})} \equiv \delta \bar{\varphi} \left(\mathbf{K} - \mathbf{X}_{\text{UV}} \right) \delta \varphi = \delta \varphi^{T} \mathbf{R} \left(\mathbf{K} - \mathbf{X}_{\text{UV}} \right) \delta \varphi$$

$$\delta^{2} \mathcal{L}_{\text{UV}} = 2 \, \mathcal{L}_{\text{UV}}[\varphi + \delta \varphi] \Big|_{\mathcal{O}(\delta \varphi^{2})} \equiv \delta \bar{\varphi} \left(\mathbf{K} - \mathbf{X}_{\text{UV}} \right) \delta \varphi = \delta \varphi^{T} \mathbf{R} \left(\mathbf{K} - \mathbf{X}_{\text{UV}} \right) \delta \varphi$$

$$K_{i} = \begin{cases} P^{2} - m_{i}^{2} & (\text{spin-0}) \\ \mathcal{P} - m_{i} & (\text{spin-1}) \end{cases}$$

$$\mathbf{X} \equiv \mathbf{X}_{\text{UV}} \Big|_{\Phi = \Phi_{c}[\phi]} = i D_{\mu} \end{cases}$$

First subtlety: redundancies

Once we have generated all possible operators consisting of 'light' fields, either through functional matching or computing diagrams, find that they are not independent:

Integration by parts \rightarrow conservation of momentum $\phi_L^2 \Box \phi_L^2 = 2\phi_L^2 (\partial_\mu \phi_L)^2 + 2\phi_L^3 \Box \phi_L$

Field redefinitions
$$\phi \rightarrow N\phi + F(\phi)$$

Equations of motion $(D^{\mu}D_{\mu}\varphi)^{j} = m^{2}\varphi^{j} - \lambda \left(\varphi^{\dagger}\varphi\right)\varphi^{j} - \bar{e}\Gamma_{e}^{\dagger}l^{j} + \varepsilon_{jk}\bar{q}^{k}\Gamma_{u}u - \bar{d}\Gamma_{d}^{\dagger}q^{j}$ Fierz identies $(P_{L/R})[P_{R/L}] = \frac{1}{2}(\gamma^{\rho}P_{R/L}][\gamma_{\rho}P_{L/R})$ (more on these in a minute)

Second subtlety: infra-red divergences

Integration of loops involving light fields leads to divergences when the light-field masses $\rightarrow 0$

$$\int d^d q \frac{1}{(q^2 - M^2)(q^2 - m^2)(q^2 - m^2)} \sim -\frac{1}{\epsilon_{IR}} \frac{1}{M^2} + \text{finite}$$

 $\sim \frac{1}{m_{\mu}^2} \log \frac{m^2}{M^2} + \text{finite}$

These should cancel out in the matching!

- Same divergence should be present in loops of just the EFT subtract them off!
- Can use the method of regions to handle this directly:

$$\int \frac{1}{16\pi^2} = \frac{i}{16\pi^2} 45 \frac{\kappa \lambda^2}{M^2} \bigg|_{\text{hard}} + \frac{i}{16\pi^2} 45 \frac{\kappa \lambda^2}{M^2} \ln\left(\frac{m^2}{M^2}\right) \bigg|_{\text{soft}} + \mathcal{O}(M^{-4})$$

See e.g. 1607.02142

Third subtlety: evanescent operators

Not all four-fermion operators are independent:

$$\mathcal{O}_{lequ}^{(3)} = (\bar{L}_L^a \sigma_{\mu\nu} e_R) \varepsilon_{ab} (\bar{Q}_L^b \sigma^{\mu\nu} u_R) \rightarrow \mathcal{O}_{luqe} = (\bar{L}_L^a u_R) \varepsilon_{ab} (\bar{Q}_L^b e_R),$$
$$\mathcal{O}_{le} = (\bar{L}_L \gamma^{\mu} L'_L) (\bar{e}'_R \gamma_{\mu} e_R) \rightarrow \mathcal{O}_{le\bar{e}'\bar{l}'} = (\bar{L}_L e_R) (\bar{e}'_R L'_L),$$

Fierz identities arise from completeness relation on Dirac operators

$$1 = \sum |e_i\rangle\langle e_i| = \sum |N_A|^2 (\Gamma^A)^*_{ij} (\Gamma^A)_{kl}, \qquad \Gamma_A = \{\delta_{ij}, \gamma^\mu, \sigma^{\mu\nu}, \gamma^\mu\gamma^5, \gamma^5\}$$

But this is only true in integral dimensions: in dimensional regularisation have

$$X_1 \otimes X_2 = \sum_i b_i(X_1, X_2) \Gamma_i \otimes \tilde{\Gamma}_i + E(X_1, X_2)$$
Any Lorentz product
$$Any \text{ Lorentz product}$$

$$Coefficient \\ of \text{ Fierz ID}$$

$$Evanescent operator, \\ vanishes when d=4.$$



1) Construct a *complete* basis of operators in the EFT that are *not independent* under normalisation and equations of motion, only integration by parts/commutation of derivatives/Fierz identities. This is called a *Green basis*.

- 2)Match Green functions of the high energy theory (with IR singularities subtracted) onto this basis in the EFT.
- Canonically normalise fields, remove redundancies by applying equations of motion and field redefinitions.
- 4) Evanescent operators can be dealt with either by:
 - a) Including them in the Green basis,
 - b)Choosing a renormalisation scheme that removes them,
 - c) Redefining Fierz identities at the appropriate loop (e.g. arXiv:2306.16449)

In practice: codes

Computing matching by hand for thousands of operators would be tedious or impossible \rightarrow need automation by codes

- Mathematica codes for computing supertraces: SuperTracer, arXiv:2012.07851; STrEAM, arXiv:2012.08506
- CoDEx: arXiv:1808.04403 computes the same and matches to example bases.
- MatchMaker, arXiv:2112.10787 takes models defined in FeynRules files in background gauge and unbroken phase, and generates automatically using diagrammatic method via QGRAF and FORM.
- Matchete, arXiv:2212.04510 uses SuperTracer to compute effective operators, partly automates the solution of redundancies.



E.g. Matchete roadmap:

Still incomplete – claim is that functional approach should ultimately be more efficient than diagrammatic.



Outlook

- There is lots of activity on complementarity between top-down and bottom-up approaches even in the context of EFTs.
- Full automation of the one-loop matching process to the SMEFT is not yet available via functional methods: still work in progress.
- From my point of view, full integration of top-down model construction to matching is a key goal.
- Automation of basis generation to matching would be ideal.
- Matching computations can also be used to compute RGEs.
- Groups have started looking at two-loop matching (relevant for EW, rare processes, **EDMs**, ...)