

# *Kaluza-Klein truncations of gravity to two dimensions*

***Franz Ciceri*** *ENS, Lyon*

Based on works with G. Bossard, G. Inverso, A. Kleinschmidt and H. Samtleben

Anly workshop, April 11th 2024

# $d=2$ ?

- $d=2$  gravity models are usually simpler than in higher-dimensions.

Einstein-Hilbert  $S_{\text{EH}} \sim \int d^2x \sqrt{-g} R = \text{total derivative}$

*Simplest non-trivial models:*  
dilaton gravity  $S \sim \int d^2x \sqrt{-g} [\rho R - V(\rho)]$   $V(\rho) \propto \rho$  : Jackiw-Teitelboim model

and  $D=2$  is the lowest possible dimension for:

- Riemann curvature
- Black holes
- Boundaries with dynamics

- There are also models which are much harder to study than in higher-dimensions...

*For the past years:* interested in  $d=2$  (maximal) supergravities.

(in particular, those that arise as Kaluza-Klein truncations of 'string theory').

*Motivation:* holographic duality between string theory on  $AdS_2$  backgrounds and various supersymmetric matrix quantum mechanics.

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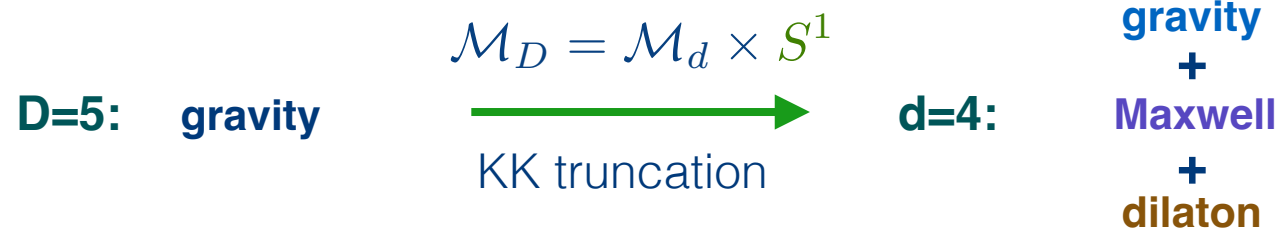
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## Early works:



### On the Unification Problem in Physics\*

from TH. KALUZA<sup>†</sup>  
in Königsberg

(Submitted by Mr. Einstein on December 8, 1921; s. above, p. 859.)

In the general theory of relativity, in order to characterize world events, the fundamental metric tensor  $g_{\mu\nu}$  of the 4-dimensional world manifold, interpreted as the the tensor potential of gravitation must be introduced separately from the electromagnetic four-potential  $q_\mu$ .

The dualistic nature of gravitation and electricity still remaining here does not actually destroy the ensnaring beauty of either theory but rather affords a new challenge towards their triumph through an entirely unified picture of the world.

Kaluza 1921  
Klein 1926

What is meant exactly by consistent Kaluza-Klein truncation...?



# Toy model

Scalar field  $\Phi$  on a D-dimensional spacetime  $\mathcal{M}_D = \mathcal{M}_d \times S_1$  with coordinates  $X^M = (x^\mu, y)$

Expand on a Fourier basis:  $\Phi(x, y) = \sum_{n \in \mathbb{Z}} \phi_n(x) e^{i \frac{n}{R} y}$   
circle radius

Dynamics:  $\hat{\square} \Phi(x, y) = 0$   $\longrightarrow$   $\square \phi_n(x) - \frac{n^2}{R^2} \phi_n(x) = 0$   
 $\square + \partial_y^2$

Free massless scalar  $\longrightarrow$

$n = 0$  : massless mode  
+  
 $n \neq 0$  : Infinite tower of massive modes with  $m_n = \frac{|n|}{R}$

**Consistent Kaluza-Klein truncations:** only keep a finite subset of Kaluza-Klein modes that do not source the truncated ones.

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- Always possible on a circle (or torus): truncate all massive modes  $\Phi(x, y) = \phi_0(x)$

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- Generally possible when internal space is a group manifold G:

Isometry of the internal metric:  $\tilde{G} = G_L \times G_R$  — Keep only singlets

DeWitt 1963

Scherk, Schwarz 1979

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**Consistent Kaluza-Klein truncations:** only keep a finite subset of Kaluza-Klein modes that do not source the truncated ones.

Consistent Kaluza-Klein truncations are **few** and **difficult to construct**. Powerful tools:

- Allow to uplift all solutions of the lower-dimensional theory.
- Useful for holography (sphere truncations of gravity).

# KK truncation of gravity on $T^q$

Consider gravity on a D-dimensional manifold  $\mathcal{M}_D$  with coordinates  $X^M = (x^\mu, y^m)$

d-dimensional spacetime     q-dimensional internal space  
|     /

and make the following **gauge choice** for the D-dimensional vielbein:

$$E_M^A(x) = \begin{pmatrix} e_\mu^\alpha & \rho^{1/q} A_\mu^m V_m^a \\ 0 & \rho^{1/q} V_m^a \end{pmatrix}$$

dilaton     Unimodular matrix  $\in SL(q)$

- Breaks D-dimensional local Lorentz symmetry

$$SO(D-1, 1) \longrightarrow SO(d-1, 1) \times SO(q)$$

d-dimensional Lorentz     internal symmetry

- Decomposes into d-dimensional:

vielbein  $e_\mu^\alpha$  + vectors  $A_\mu^m$  + scalars  $\rho, V_m^a$

Fix  $\mathcal{M}_D = \mathcal{M}_d \times T^q$  and perform a KK truncation on  $T^q$   $\longrightarrow$

All fields independent of  $y^m$

**What are the symmetries inherited by the truncated theory?**

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*D-dimensional diffeomorphisms decompose into:*

$$\xi^M(x, y) \xrightarrow{\text{Compatibility with } T^q} \begin{array}{l} \text{d-dimensional diffeo. :} \\ \text{internal diffeo. :} \end{array} \left\{ \begin{array}{l} \xi^\mu(x, y) = \xi(x) \\ \xi^m(x, y) = L^m(x) + \Lambda_n^m y^n + \lambda y^m \end{array} \right.$$

$U(1)^q$  gauge parameter     constant  $SL(q)$  matrix     constant rescaling

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Internal diffeomorphisms generate:

- Local abelian symmetry  $U(1)^q$

$$A_\mu^m \longrightarrow A_\mu^m + \partial_\mu L^m(x)$$

- Rigid symmetry  $R^+ \times SL(q)$

$$A_\mu^m \longrightarrow \lambda^{-1/q} \Lambda_n^m A_\mu^n \quad \rho \longrightarrow \lambda \rho \quad V_m^a \longrightarrow \Lambda_m^n V_n^a$$

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$$V_m^a \longrightarrow \Lambda_m^n V_n^a$$

$$V_m^a \longrightarrow V_m^b K(x)_b^a$$

**What about the dynamics?**

$SL(q)/SO(q)$   
coset space



# Dynamics of KK reduced gravity

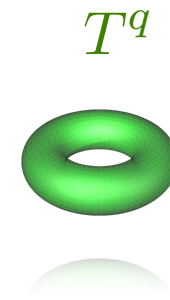
$$D = d + q$$

Gravity in  $D=d+q$  dimensions:

$$S_D = \frac{1}{\kappa_D^2} \int d^D X E R^{(D)}$$

Substitute KK  
truncation Ansatz

$$E_M^A(x) = \begin{pmatrix} \bullet & \bullet \\ 0 & \bullet \end{pmatrix}$$



$$S_d = \frac{1}{\kappa_d^2} \int d^d x e \rho \left[ R^{(d)} - \frac{1}{4} \rho^{2/q} (VV^T)_{mn} F_{\mu\nu}^m F^{n\mu\nu} + \frac{q-1}{q} \rho^{-2} \partial_\mu \rho \partial^\mu \rho - \text{tr}(P_\mu P^\mu) \right]$$

Dynamics in  
d dimensions:

**d-dimensional  
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+

$U(1)^q$  **Maxwell**

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**sigma model**

$$F_{\mu\nu}^m = 2 \partial_{[\mu} A_{\nu]}^m$$

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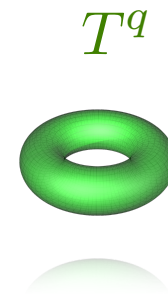
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- Standard kinetic term for the sigma model in terms of the current:  $\underline{V^{-1} \partial_\mu V = P_\mu + Q_\mu} \in \mathfrak{sl}(q)$

$$\text{tr}(P_\mu P^\mu) = \eta_{\alpha\beta} P_\mu^\alpha P^{\alpha\mu}$$

$\mathfrak{sl}(q)$   
Cartan-Killing  
metric

Invariant under rigid  $SL(q)$  and  
local  $SO(q)$  transformations  
with parameters:

$$\Lambda \in SL(q) \quad K(x) \in SO(q)$$

$$V \rightarrow \Lambda V - V K(x)$$

$$P_\mu \rightarrow K^{-1} P_\mu K$$

$$Q_\mu \rightarrow K^{-1} \partial_\mu K + K^{-1} Q_\mu K$$

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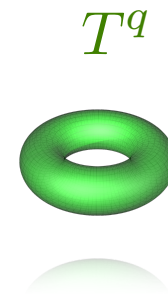
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Rigid symmetry from  
KK truncation on  $T^q$  :

$$R^+ \times SL(q)$$

- 'Free' massless theory: *no non-abelian gauge interactions, no scalar potential.*  
 → Deformations can be obtained from certain KK truncations on compact manifolds.

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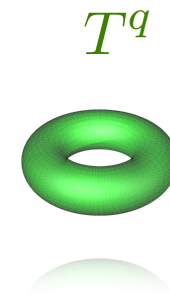
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- *Weyl rescaling:*  $e_\mu^\alpha \longrightarrow \rho^{\frac{1}{d-2}} e_\mu^\alpha$

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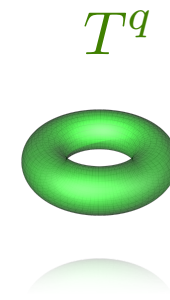
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**D=4:**

General relativity

**d=3:**

Rigid  
symmetry

$$SL(2)_E$$

*Ehlers 1956*

**d=2:**

$\infty$  - dimensional

*Geroch 1987*



# KK reduction of GR to d=3

$$\mathcal{M}_4 = \mathcal{M}_3 \times S^1$$

Coordinates:  $X^M = (x^\mu, y)$

Following the procedure described previously, one finds:

$$\begin{array}{ccc}
 \begin{array}{c} S_{\text{EH}}[E] \\ \swarrow \\ \text{vierbein} \end{array} & \xrightarrow{\text{KK truncation}} & S_{d=3} = \int d^3x e \left[ R^{(3)} - \frac{1}{4} \varphi^4 \underbrace{F_{\mu\nu} F^{\mu\nu}}_{= \partial_{[\mu} A_{\nu]}} - 2 \varphi^{-2} \partial_\mu \varphi \partial^\mu \varphi \right] \\
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In d=3, the vector  $A_\mu$  can be dualized into a scalar  $\psi$  via the duality equation:

$$\varphi^4 F^{\mu\nu} = e^{-1} \epsilon^{\mu\nu\rho} \partial_\rho \psi$$

Integrability/consistency condition given by the vector field equation:

$$\partial_\mu (e \varphi^4 F^{\mu\nu}) = 0$$

The d=3 action can then be rewritten without the vector field as:

$$S_{d=3} = \int d^3x e \left[ R^{(3)} - \frac{1}{2} \varphi^{-4} \partial_\mu \psi \partial^\mu \psi - 2 \varphi^{-2} \partial_\mu \varphi \partial^\mu \varphi \right]$$

Rigid symmetries: scaling  $\mathbb{R}^+$  :  $\varphi \longrightarrow \lambda \varphi$       +      shift     $\psi \longrightarrow \psi + \text{cst}$   
 $\psi \longrightarrow \lambda^2 \psi$

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Rigid **symmetry enhanced** to  $SL(2)_E$  : scaling + shift + hidden sym. generator

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The d=3 action can then be rewritten without the vector field as:

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$$= \int d^3x e \left[ R^{(3)} - \text{tr}[(P_\mu P^\mu)] \right] \quad \begin{array}{c} \text{current: } \underbrace{V^{-1} \partial_\mu V}_{\in \mathfrak{sl}(2)_E} = P_\mu + Q_\mu \quad \text{with} \quad V = \begin{pmatrix} \varphi^{-1} & 0 \\ \varphi^{-1} \psi & \varphi \end{pmatrix} \end{array}$$



# KK reduction: from $d=3$ to $d=2$

$$\mu = 0, 1$$

$$e_\mu^\alpha = \text{zweibein}$$

Reducing further on  $S^1$  leads to:

$$S_{d=2} = \int d^2x e^\rho \left[ R^{(2)} - \text{tr}(P_\mu P^\mu) \right]$$

dilaton **d=2**  $SL(2)_E/SO(2)$   
gravity **sigma model**

with the current:

$$\underbrace{V^{-1} \partial_\mu V}_{\in \mathfrak{sl}(2)_E} = P_\mu + \underbrace{Q_\mu}_{\in \mathfrak{so}(2)}$$

## Specificities of $d=2$ :

- The vector field is non-dynamical and has been integrated out.
- Constant rescaling of the zweibein is a symmetry.  
→ The dilaton cannot be removed.

The action can be simplified by going to the conformal gauge:

$$S_{d=2} = \int d^2x \left[ \partial_\mu \sigma \partial^\mu \rho - \rho \text{tr}(P_\mu P^\mu) \right]$$

Conformal gauge

$$e_\mu^\alpha = e^\sigma \delta_\mu^\alpha$$

$\sigma$  : conformal factor

Rigid symmetries:  $SL(2)_E$  and Weyl rescaling  $\sigma \rightarrow \sigma + \text{cst}$

# On-shell symmetries in $d=2$

Consider the field equation for the coset scalars:

$$\partial_\mu(\rho V P_\mu V^{-1}) = \partial^\mu I_{(1)}^\mu = 0 \quad \xrightarrow{\text{dualisation}} \quad I_{(1)}^\mu = \rho V P^\mu V^{-1} = \epsilon^{\mu\nu} \partial_\nu Y_1$$

$\swarrow \in \mathfrak{sl}(2)$ 

 $\searrow \in \mathfrak{sl}(2)$

$$\longrightarrow I_{(2)}^\mu = (\rho \tilde{\rho} \delta_\nu^\mu + \epsilon^{\mu\lambda} \eta_{\lambda\nu} \rho^2) V P^\nu V^{-1} - \frac{1}{2} [Y_1, \partial^\mu Y_1] = \epsilon^{\mu\nu} \partial_\nu Y_2$$

$\longrightarrow \dots \longrightarrow$  infinite tower of  $\mathfrak{sl}(2)$ -valued dual scalar fields  $Y_n$ .

Integrability conditions given by the conservation of the currents  $I_{(n)}^\mu$ .

$\longrightarrow$  consistency of the tower relies on the field equation.

*Infinite number of duality relations encoded in:*

- a generating function known as the *linear system*

*Belinsky, Zakarov 1978*

*Breitenlohner, Maison 1986*

or equivalently

- a *twisted self-duality equation*

*Julia, Nicolai 1996*

*Paulot 2004*

# On-shell symmetries in $d=2$

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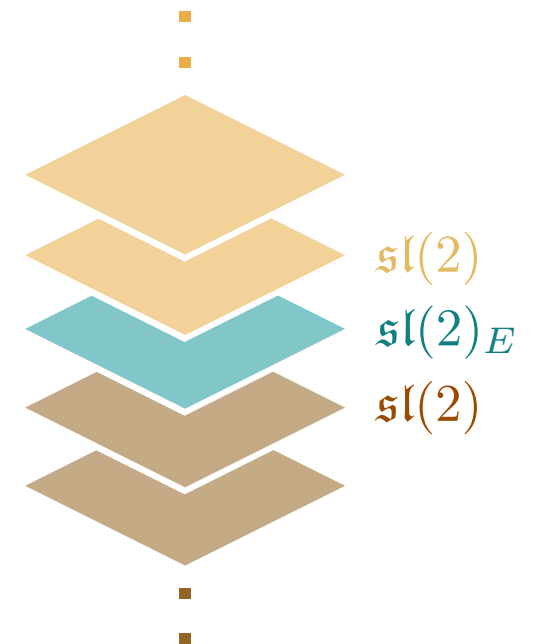
$$\longrightarrow I_{(2)}^\mu = (\rho \tilde{\rho} \delta_\nu^\mu + \epsilon^{\mu\lambda} \eta_{\lambda\nu} \rho^2) V P^\nu V^{-1} - \frac{1}{2} [Y_1, \partial^\mu Y_1] = \epsilon^{\mu\nu} \partial_\nu Y_2$$

$\longrightarrow \dots \longrightarrow$  infinite tower of  $\mathfrak{sl}(2)$ -valued dual scalar fields  $Y_n$ .

Extra rigid symmetries of the field equations:

- $Y_n \longrightarrow Y_n + \frac{C_n}{\mathfrak{sl}(2)}$  infinite number of shifts
- Non-linearly realised ('hidden') symmetries...

$\widehat{SL}(2)$   
loop group



**Classical integrability**

# On-shell symmetries in $d=2$

Consider the field equation for the coset scalars:

$$\partial_\mu(\rho V P_\mu V^{-1}) = \partial^\mu I_{(1)}^\mu = 0 \quad \xrightarrow{\text{dualisation}} \quad I_{(1)}^\mu = \rho V P^\mu V^{-1} = \epsilon^{\mu\nu} \partial_\nu Y_1$$

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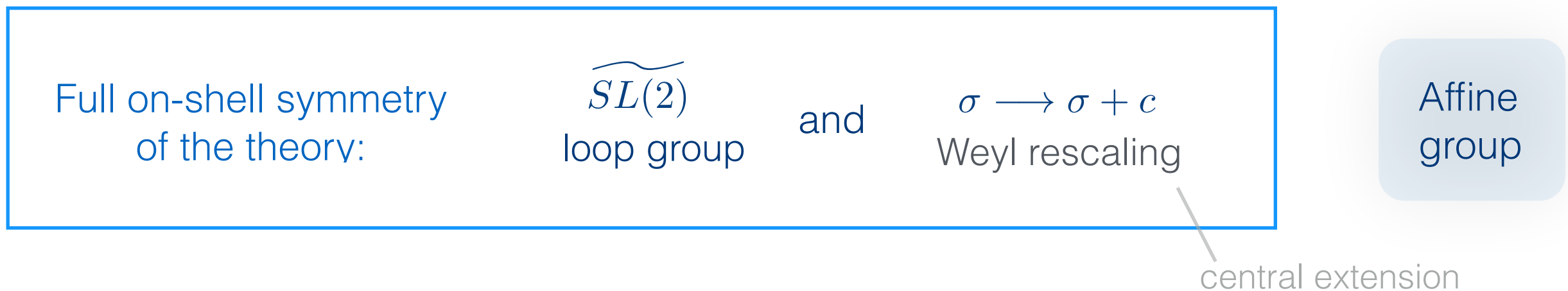
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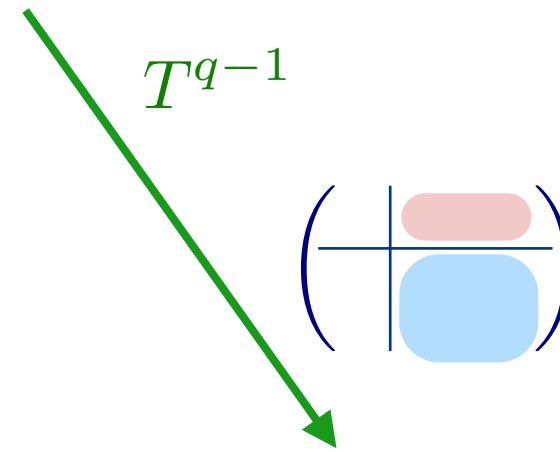


- Symmetry of space of stationary axisymmetric solutions of GR.

# Always two paths to $d=2$

$D=2+q$ :

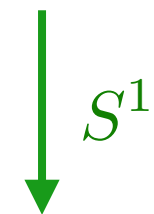
Gravity



**d=3:**  $R^+ \times SL(q-1)$  symmetry

*Dualisation* of the  $(d-1)$  KK vectors  
into scalars

$\implies SL(q)_E$  symmetry



$R^+ \times SL(q)_E$  symmetry

**d=2:**

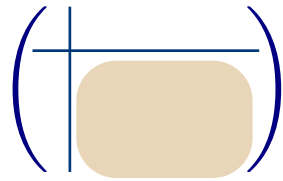
# Always two paths to $d=2$

**D=2+q:**

Gravity

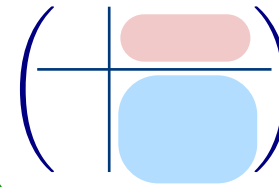
We took  
this path

$T^q$



**d=2:**  $R^+ \times SL(q)_M$  symmetry

$T^{q-1}$



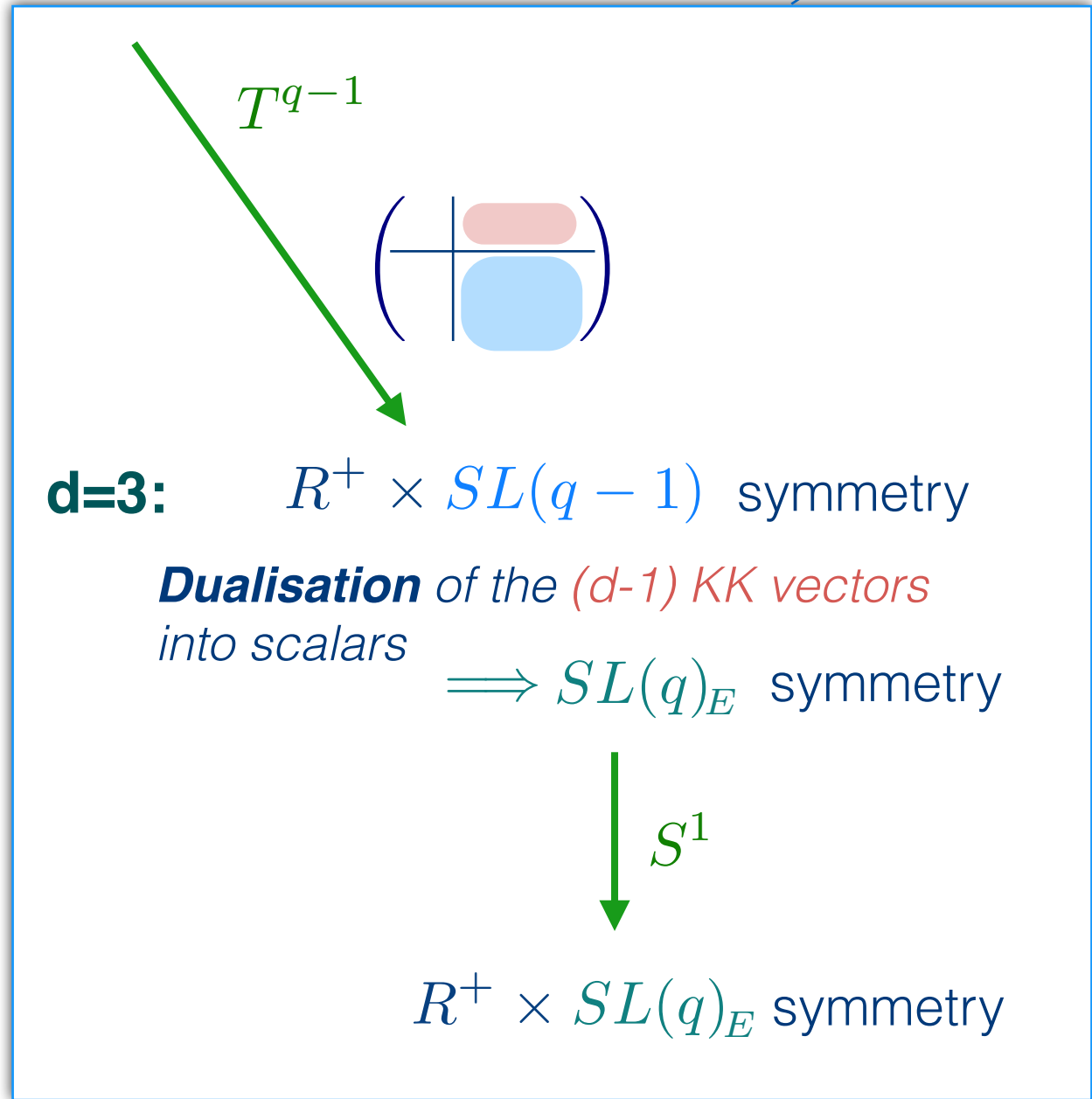
**d=3:**  $R^+ \times SL(q-1)$  symmetry

**Dualisation** of the  $(d-1)$  KK vectors  
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$\implies SL(q)_E$  symmetry

$S^1$

$R^+ \times SL(q)_E$  symmetry



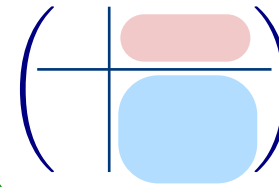
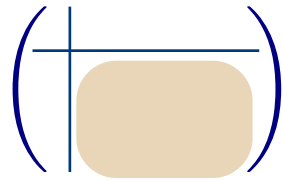
# Always two paths to $d=2$

$D=2+q$ :

Gravity

$T^q$

$T^{q-1}$



$d=3$ :  $R^+ \times SL(q-1)$  symmetry

**Dualisation** of the  $(d-1)$  KK vectors  
into scalars

$\implies SL(q)_E$  symmetry

$S^1$

$d=2$ :  $R^+ \times SL(q)_M$  symmetry



$R^+ \times SL(q)_E$  symmetry

Realised on scalars dual to each other

Two (on-shell) equivalent versions of the  $D=2$  theory

Realising the two  $SL(q)$  simultaneously  
requires an infinite number of dual scalars

"  $SL(q)_M \times SL(q)_E = \widetilde{SL(q)}$  " — loop group

# Consistent sphere truncations

Necessary condition:

$$SO(q + 1) \subset \mathcal{G}$$

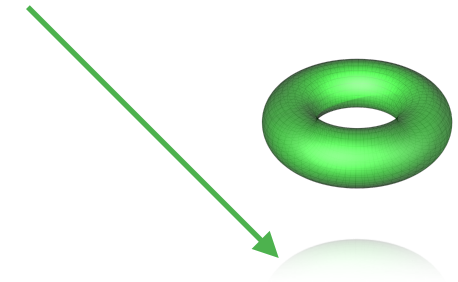
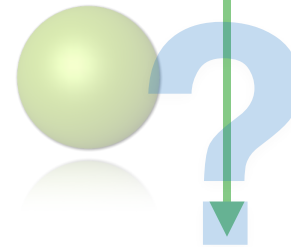
→ Requires symmetry enhancement of  $\mathcal{G}$ .

*Cvetic, Gibbons, Lü, Pope 2003*

**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

Theory with  $SO(q + 1)$  gauge symmetry

Theory with rigid symmetry  $\mathcal{G}$

Only rare examples: must start from matter-coupled gravity



# Consistent sphere truncations

Necessary condition:

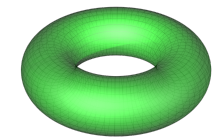
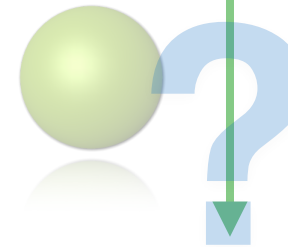
$$SO(q + 1) \subset \mathcal{G}$$

→ Requires symmetry enhancement of  $\mathcal{G}$ .

**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

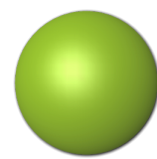
Theory with  $SO(q + 1)$  gauge symmetry

Theory with rigid symmetry  $\mathcal{G}$

Only rare examples: must start from matter-coupled gravity

**D=10**

Gravity + 3-form



$S^7$

**d=5**

Gravity +  $SO(8)$  YM + scalars

Maximal SUSY context:

$AdS_4 \times S^7$



M2-branes

Prototypical examples of AdS/CFT

# Consistent sphere truncations

Necessary condition:

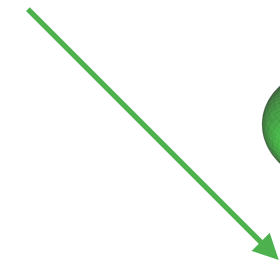
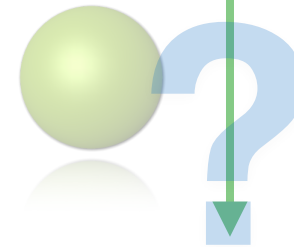
$$SO(q+1) \subset \mathcal{G}$$

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**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

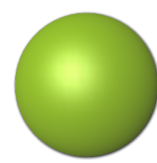
Theory with  $SO(q+1)$  gauge symmetry

Theory with rigid symmetry  $\mathcal{G}$

Only rare examples: must start from matter-coupled gravity

**D=11**

Gravity + 3-form



$S^4$



**d=7**

Gravity +  $SO(5)$  YM + scalars+...

Maximal SUSY context:

$AdS_4 \times S^7$



M2-branes

$AdS_7 \times S^4$



M5-branes

Prototypical examples of AdS/CFT

# Consistent sphere truncations

Necessary condition:

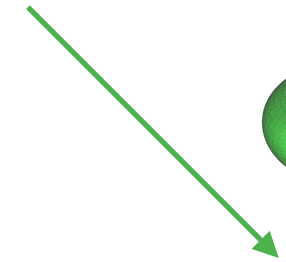
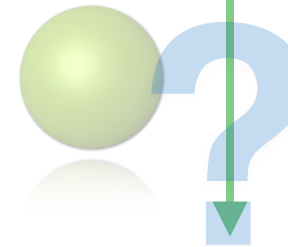
$$SO(q + 1) \subset \mathcal{G}$$

→ Requires symmetry enhancement of  $\mathcal{G}$ .

**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

Theory with  $SO(q + 1)$  gauge symmetry

Theory with rigid symmetry  $\mathcal{G}$

Only rare examples: must start from matter-coupled gravity

**D=11**

Gravity + 5-form



$S^5$

**d=4**

Gravity +  $SO(6)$  YM + scalars

Maximal SUSY context:

$AdS_4 \times S^7$  ↔ M2-branes

$AdS_7 \times S^4$  ↔ M5-branes

$AdS_5 \times S^5$  ↔ D3-branes

Prototypical examples of AdS/CFT

# Consistent sphere truncations

Necessary condition:

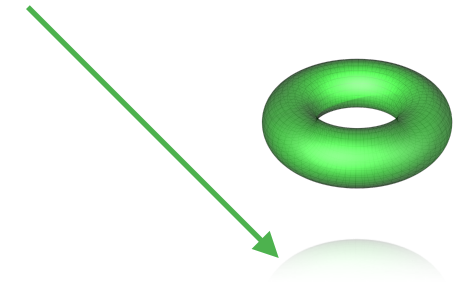
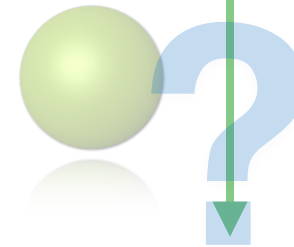
$$SO(q+1) \subset \mathcal{G}$$

→ Requires symmetry enhancement of  $\mathcal{G}$ .

**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

Theory with  $SO(q+1)$  gauge symmetry

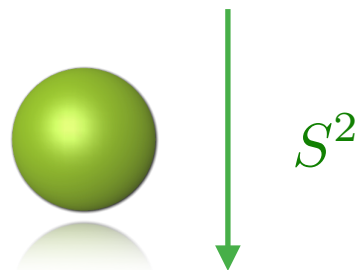
Theory with rigid symmetry  $\mathcal{G}$

Only rare examples: must start from matter-coupled gravity

**D:**

Gravity + Maxwell + dilaton

$D \neq 4$



+ 2 other examples

**d=D-2:**

Gravity +  $SO(3)$  YM + scalars

*Cvetič, Lü, Pope 2000*

# Consistent sphere truncations

Necessary condition:

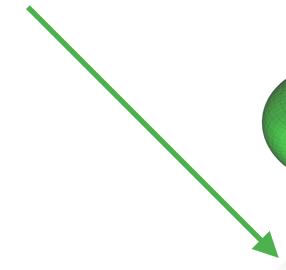
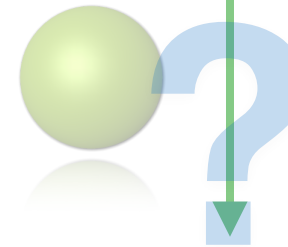
$$SO(q + 1) \subset \mathcal{G}$$

→ Requires symmetry enhancement of  $\mathcal{G}$ .

**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

Theory with  $SO(q + 1)$  gauge symmetry

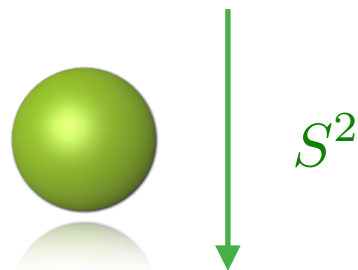
Theory with rigid symmetry  $\mathcal{G}$

Only rare examples: must start from matter-coupled gravity

**D:**

Gravity + Maxwell + dilaton

$D \neq 4$



$S^2$

**d=D-2:**

Gravity +  $SO(3)$  YM + scalars

**D:**

Gravity + Maxwell + dilaton



$S^{D-2}$

**d=2:**

Gravity +  $SO(D - 1)$  YM + scalars

# Consistent sphere truncations

Necessary condition:

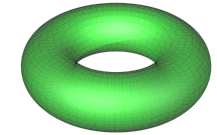
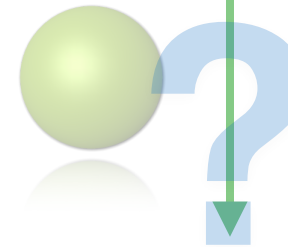
$$SO(q + 1) \subset \mathcal{G}$$

→ Requires symmetry enhancement of  $\mathcal{G}$ .

**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

Theory with  $SO(q + 1)$  gauge symmetry

Theory with rigid symmetry  $\mathcal{G}$

Only rare examples: must start from matter-coupled gravity

**D+1:**

Gravity



**D:**

Gravity + Maxwell + dilaton

$D \neq 4$



**d=D-2:**

Gravity +  $SO(3)$  YM + scalars

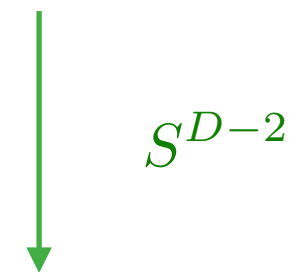
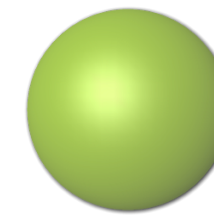
**D+1:**

Gravity



**D:**

Gravity + Maxwell + dilaton



**d=2:**

Gravity +  $SO(D - 1)$  YM + scalars

# Consistent sphere truncations

Necessary condition:

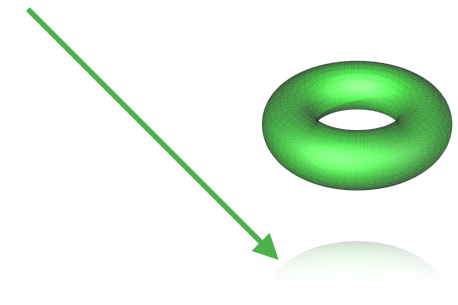
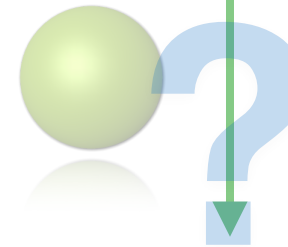
$$SO(q + 1) \subset \mathcal{G}$$

→ Requires symmetry enhancement of  $\mathcal{G}$ .

**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

Theory with  $SO(q + 1)$  gauge symmetry

Theory with rigid symmetry  $\mathcal{G}$

Only rare examples: must start from matter-coupled gravity

**D+1:**

Gravity



**D:**

Gravity + Maxwell + dilaton

$D \neq 4$



**d=D-2:**

Gravity +  $SO(3)$  YM + scalars

Group manifold reduction on  $SU(2) \simeq S^3$

*Cvetič, Gibbons, Lü, Pope 2003*

# Consistent sphere truncations

Necessary condition:

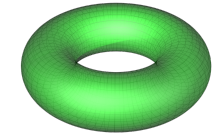
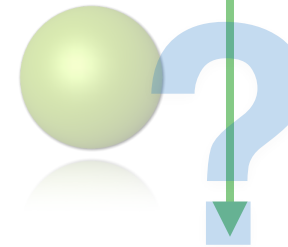
$$SO(q + 1) \subset \mathcal{G}$$

→ Requires symmetry enhancement of  $\mathcal{G}$ .

**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

Theory with  $SO(q + 1)$  gauge symmetry

Theory with rigid symmetry  $\mathcal{G}$

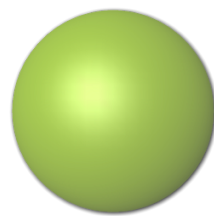
Only rare examples: must start from matter-coupled gravity

Affine ExFT

$T^\infty$

**D:**

Gravity + Maxwell + dilaton



$S^{D-2}$

'Simple' truncation Ansatz

**d=2:**

Gravity +  $SO(D - 1)$  YM + scalars



# Consistent sphere truncations

Necessary condition:

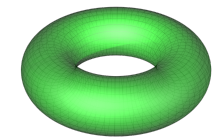
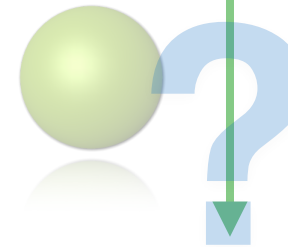
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**D:**

Gravity theory

$S^q$



$T^q$

**d=D-q:**

Theory with  $SO(q + 1)$  gauge symmetry

Theory with rigid symmetry  $\mathcal{G}$

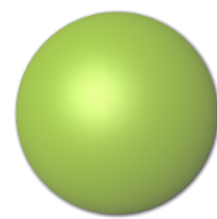
Only rare examples: must start from matter-coupled gravity

D=11 SUGRA



IIA SUGRA

**D=10:**



**d=2:**

Maximal  $SO(9)$  gauged SUGRA

Maximal SUSY context:

$'AdS_2 \times S^8'$  ↔ D0-branes

Holographic description of BFSS matrix quantum mechanics

*Bossard, Ciceri, Inverso, Kleinschmidt, Samtleben 2021*

*Bossard, Ciceri, Inverso, Kleinschmidt 2023*

**Thank you for your attention**

**and**

**congratulations Anamaria.**