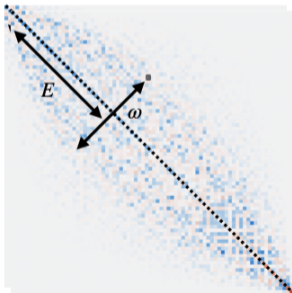


# Eigenstate thermalization in quantum many-body systems

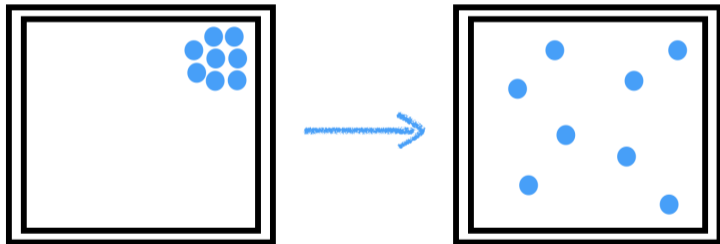


Laura Foini  
IPhT

November 10, 2023  
60 ans de l'IPhT

# Context

ETH explains equilibration of *isolated quantum many-body* systems



In our work: need to characterise better ETH ansatz, all about *dynamics at equilibrium*

# Dynamics and ETH

Heisenberg picture (evolution of the operators):

$$A(t) = e^{iHt} A e^{-iHt} = \sum_{ij} e^{i(E_i - E_j)t} A_{ij} |E_i\rangle \langle E_j|$$

$$A_{ij} = \langle E_i | A | E_j \rangle$$

Look at matrix elements of observables in the basis of the energy

Characterise them "statistically"

# Toy ETH

(Diagonal) matrix  $A$  (observable) of size  $\mathcal{N}$

Matrix elements in a random basis

$$\overline{A_{ii}} = \frac{1}{\mathcal{N}} \sum_i \lambda_i = m_1 = \kappa_1$$

$$\overline{A_{ij}} = 0 \quad i \neq j$$

$$\overline{A_{ij}^2} = \frac{1}{\mathcal{N}} \left[ \frac{1}{\mathcal{N}} \sum_i \lambda_i^2 - \left( \frac{1}{\mathcal{N}} \sum_i \lambda_i \right)^2 \right] = \frac{1}{\mathcal{N}} [m_2 - m_1^2] = \frac{1}{\mathcal{N}} \kappa_2$$

## Toy ETH: ansatz

$$A_{ij} = \kappa_1 \delta_{ij} + \sqrt{\frac{\kappa_2}{\mathcal{N}}} R_{ij}$$
$$\overline{R_{ij}} = 0 \quad \overline{R_{ij}^2} = 1 \quad (1)$$

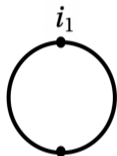
No info about correlations

$$\overline{A_{i_1 i_2} A_{i_2 i_3} \dots A_{i_p i_1}} \simeq \frac{1}{\mathcal{N}^{(p-1)}} \kappa_p \quad i_1 \neq i_2 \neq \dots \neq i_p \quad (2)$$

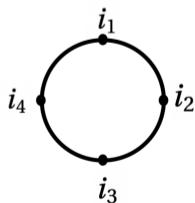
# Toy ETH: diagrams



$$\overline{A_{i_1 i_1}} = \kappa_1$$



$$\overline{A_{i_1 i_2}}^2 = \frac{1}{\mathcal{N}} \kappa_2$$



$$\overline{A_{i_1 i_2} A_{i_2 i_3} A_{i_3 i_4} A_{i_4 i_1}} = \frac{1}{\mathcal{N}^{(p-1)}} \kappa_p \quad p = 4$$

# The many-body problem

E.g.  $H$  spin chain, physical observable:

$$A = \frac{1}{N} \sum_{i=1}^N \sigma_i^z$$

$H$  and  $A$  matrices of size  $\mathcal{N} = 2^N$

$$\rho(E = Ne) = \sum_{\alpha=1}^{\mathcal{N}} \delta(E - E_i) \propto e^{S(E)} \simeq e^{Ns(e)}$$

# Eigenstate thermalization

Single eigenstates provide equilibrium statistical averages

$\langle E_i | A | E_i \rangle$  varies smoothly with the energy  $E_i$

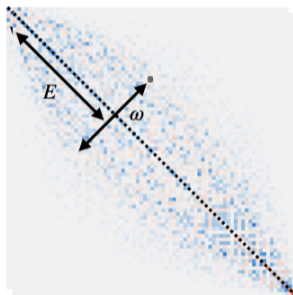
For dynamics necessary off-diagonal matrix elements

J. Deutsch (1991), M. Srednicki (1994)

Review: D'Alessio, Kafri, Polkovnikov, Rigol (2016)



# Eigenstate thermalization ansatz



$$A_{ij} = \mathcal{A}(e)\delta_{ij} + e^{-Ns(e)/2} f_e(\omega) R_{ij}$$

$$E = (E_i + E_j)/2 \quad e = E/N \quad \omega = E_i - E_j$$

$R_{ij}$  (pseudo)-random numbers

$$\overline{R_{ij}} = 0 \quad \overline{R_{ij}^2} = 1$$

M. Srednicki (1999)

# Fictitious ensemble

$A_{ij} \rightarrow$  random matrix element

Ensemble ?

- ▶ Small energy windows
- ▶ Perturb with small Hamiltonian  $H \rightarrow H + \epsilon V$  (Deutsch (1991)).  
Nearby eigenvectors extremely sensitive even to small perturbations. Physics unchanged

# One and two-time correlation functions

$$\langle A \rangle_{\beta} \xrightarrow{N \rightarrow \infty} \mathcal{A}(e_{\beta})$$

$$\langle A(t)A(0) \rangle_{\beta} - \langle A \rangle_{\beta}^2 \xrightarrow{N \rightarrow \infty} \int d\omega e^{-\beta\omega/2} e^{i\omega t} |f_{e_{\beta}}(\omega)|^2$$

One and two-point function **independent of correlations** between different matrix elements

# Multi-point correlation functions

$$C_4^\beta(t_1, t_2, t_3) = \text{Tr} [\rho_\beta A(t_1) A(t_2) A(t_3) A(0)]$$

$C_4^\beta(t, 0, t)$  Out-of-Time-Order Correlator  
“quantum Lyapunov exponent”

Larkin and Ovchinnikov (1969)

Kitaev (2015)

Maldacena, Shenker and Stanford (2016)

# Multi-point correlation functions

In the energy eigenbasis

$$C_p^\beta(t_1, \dots, t_{p-1}) = \sum_{i_1, \dots, i_p} \left[ \frac{e^{-\beta E_{i_1}}}{Z} A_{i_1 i_2}(t_1) A_{i_2 i_3}(t_2) \dots A_{i_p i_1}(0) \right]$$

For any  $p > 2$  products of different matrix elements!

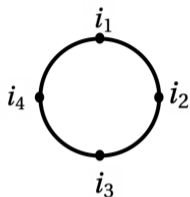
# Argument for correlations

$|f_e(\omega)|^2$  Fourier transform of  $C_2^\beta(t)$

$A_{ij}$  independent variables  $\rightarrow$  all multi-point functions determined solely by  $f_e(\omega)$ , i.e. by  $C_2^\beta(t)$

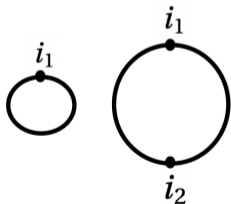
Unreasonable in general

# Beyond independent matrix elements



Multipoint functions

$$\overline{A_{i_1 i_2} A_{i_2 i_3} A_{i_3 i_4} A_{i_4 i_1}} \quad \text{for } i_1 \neq i_2 \dots \neq i_n$$
$$\propto f_e^{(4)}(\omega_1, \omega_2, \omega_3) \rightarrow C_4^\beta(t_1, t_2, t_3)$$



Same spirit as usual ETH (and toy ETH)

$$f_e^{(1)} = \mathcal{A}(e) \quad f_e^{(2)}(\omega) = |f_e(\omega)|^2$$

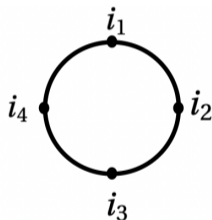
# Generalized ETH

$$\overline{A_{i_1 i_2} A_{i_2 i_3} \dots A_{i_n i_1}} \simeq e^{-(n-1)Ns(e)} f_e^{(n)}(\omega_1, \dots, \omega_{n-1})$$

for  $i_1 \neq i_2 \dots \neq i_n$

$$e = \frac{1}{n} \sum_{k=1}^n e_{i_k} \quad \omega_k = E_{i_k} - E_{i_{k+1}}$$

+ other assumptions



Foini and Kurchan (2019)



# Free probability

Random matrix elements of one operator at different times

One matrix vs Infinitely many matrices!

$$\begin{aligned}\kappa_n^\beta(t_1, \dots, t_{n-1}, 0) &= \frac{1}{Z} \sum_{i_1 \neq i_2 \neq \dots \neq i_n} e^{-\beta E_{i_1}} A(t_1)_{i_1 i_2} \dots A(0)_{i_n i_1} \\ &= \int d\omega_1 \dots d\omega_{n-1} e^{i\vec{\omega} \cdot \vec{t}} e^{-\beta \vec{\omega} \cdot \vec{l}_n} f_{\epsilon_\beta}^{(n)}(\omega_1, \dots, \omega_{n-1})\end{aligned}$$

$$\vec{l}_n = \left( \frac{n-1}{n}, \dots, \frac{1}{n} \right)$$

Pappalardi, Foini and Kurchan (2022)

## In summary ...

- Propose a (simple) ansatz able to account for correlations between matrix elements. Relevant for multi-point functions
- Recognise importance of free probability in connection with quantum statistical mechanics

## In summary ...

- Propose a (simple) ansatz able to account for correlations between matrix elements. Relevant for multi-point functions
- Recognise importance of free probability in connection with quantum statistical mechanics

Thank you!

## Temporary page!

$\text{\LaTeX}$  was unable to guess the total number of pages correctly. As there was some unprocessed data that should have been added to the final page this extra page has been added to receive it.

If you rerun the document (without altering it) this surplus page will go away, because  $\text{\LaTeX}$  now knows how many pages to expect for this document