

STOCHASTIC SOURCES FOR PRIMORDIAL PERTURBATIONS

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OUTLINE

Based on 2208.14978¹ and 2304.05978²:

1. Review of stochastic differential equations
2. Dissipative inflation
 - 2.1 Fluctuation dissipation theorem
 - 2.2 Stochastic equations of motion
 - 2.3 Inflaton perturbations and phenomenology
3. Another example: stochastic inflation
 - 3.1 Basic formalism
 - 3.2 General remarks

¹In collaboration in G. Ballesteros, M.A.G. García, M. Pierre and J. Rey

²In collaboration in G. Ballesteros and M. Pierre

1. STOCHASTIC DES | Introduction

☞ Appendix C1 in [Ballesteros, Garcia, APR, Pierre, Rey 2022]

Langevin equation: continuum limit of the *stochastic difference equation*

$$\Delta\phi = \mathbf{f}(\Phi, t) \Delta t + \mathbf{g}(\Phi, t) \Delta W_t$$

The *Wiener increment* ΔW_t is a **gaussian** random variable with

$$\langle \Delta W_t \rangle = 0, \quad \langle \Delta W_t^2 \rangle = \Delta t$$

Discrete case: **numerical solution** + **Montecarlo average**

1. STOCHASTIC DES | Fokker-Planck equation

Alternatively, **continuum limit** provides a PDE for the PDF $P(\Phi, t)$:
Fokker-Planck equation

$$\dot{\Phi} = \mathbf{f}(\Phi, t) + \mathbf{g}(\Phi, t) \xi(t), \quad \langle \xi(t) \rangle = 0, \quad \langle \xi(t) \xi(t') \rangle = \delta(t - t')$$
$$\frac{\partial P(\Phi, t)}{\partial t} = \underbrace{- \sum_i \frac{\partial}{\partial \Phi_i} [P(\Phi, t) f_i(\Phi, t)]}_{\text{Drift (deterministic)}} + \underbrace{\sum_{i,j} \frac{\partial^2}{\partial \Phi_i \partial \Phi_j} [P(\Phi, t) D_{ij}(\Phi, t)]}_{\text{Diffusion (stochastic)}}, \quad D = \frac{1}{2} \mathbf{g} \mathbf{g}^T$$

The Fokker-Planck equation can be

- Solved as a PDE
- Used to construct system of ODEs for n -th moment of $\Phi(t)$

2. DISSIPATIVE INFLATION | Fluctuation-dissipation theorem

See [Calzetta, Hu 2008] for a rigorous description. Schematically,

- A **dissipative** process induces **fluctuations** around equilibrium
- The **variance of the fluctuations** is proportional to the **strength of dissipation** and the **temperature** of the system

Toy model: particle coupled to harmonic oscillators

[Calzetta, Hu 2008] [Ballesteros, Garcia, APR, Pierre, Rey 2022]

$$H = \underbrace{\frac{p^2}{2M} + V(q)}_{\text{heavy particle}} + \underbrace{\sum_{i=1}^N \left(\frac{p_i^2}{2m_i} + \frac{1}{2} m_i \omega_i^2 x_i^2 \right)}_{\text{oscillators (environment)}} - \underbrace{q \sum_{i=1}^N g_i x_i + q^2 \sum_{i=1}^N \frac{g_i^2}{2m_i \omega_i^2}}_{\text{interaction Hamiltonian}}.$$

EOM of heavy particle: $M\ddot{q} + V'(q) + \int_0^t ds \gamma(t-s)\dot{q}(s) = \xi(t)$,

$$\gamma = \sum_i \frac{g_i^2}{m_i \omega_i^2} \cos(\omega_i t), \quad (\text{dissipation, "microphysics"});$$

$$\xi(t) = \sum_i g_i \left[\left(x_i(0) - \frac{g_i}{m_i \omega_i^2} q(0) \right) \cos(\omega_i t) + \frac{p_i(0)}{m_i \omega_i} \sin(\omega_i t) \right] \quad (\text{fluctuation, "unknown d.o.f."}).$$

Stochastic information on $x_i(0)$, $p_i(0)$ can be obtained from equipartition theorem (assuming thermal equilibrium):

$$m_i \omega_i^2 \langle x_i(0) x_j(0) \rangle = \frac{1}{m_i} \langle p_i(0) p_j(0) \rangle = \delta_{ij} \langle E_i \rangle = T \delta_{ij}, \quad \langle x_i(0) p_j(0) \rangle = 0$$

In consequence, $\langle \xi(t) \xi(t') \rangle \propto \gamma T$ (details in [Ballesteros, Garcia, APR, Pierre, Rey 2022]).

2. DISSIPATIVE INFLATION | Energy-momentum transfer

Inflation driven by inflaton coupled to thermalised radiation:

$$T^{\mu\nu} = T_{(\phi)}^{\mu\nu} + T_{(r)}^{\mu\nu}, \quad \text{Cf. } \textit{warm inflation}$$

Continuity equation: [Bastero-Gil, Berera, Moss, Ramos 2014]

$$\nabla_{\nu} T_{(\phi)}^{\mu\nu} = Q^{\mu} = -\nabla_{\nu} T_{(r)}^{\mu\nu}, \quad Q_{\mu} = \underbrace{-\Gamma u^{\nu} \nabla_{\nu} \phi \nabla_{\mu} \phi}_{\text{dissipation}} + \underbrace{\sqrt{\frac{2\Gamma T}{a^3}} \nabla_{\mu} \phi \overbrace{\xi(\mathbf{x}, t)}^{\text{stoch.}}}_{\text{fluctuation}},$$

$$\langle \xi(\mathbf{x}, t) \xi(\mathbf{x}', t') \rangle = \delta(\mathbf{x} - \mathbf{x}') \delta(t - t')$$

- Q^0 : **energy** transfer; Q^i , $i = 1, 2, 3$: **momentum** flux
- **Dissipation** coefficient depends on microphysics (Lagrangian)
- **Fluctuation** term follows **fluctuation-dissipation theorem**

$$T^{\mu\nu} = T_{(\phi)}^{\mu\nu} + T_{(r)}^{\mu\nu}$$

$$\nabla_\nu T_{(\phi)}^{\mu\nu} = Q^\mu = -\nabla_\nu T_{(r)}^{\mu\nu}, \quad Q_\mu = \underbrace{-\Gamma(\phi, T) u^\nu \nabla_\nu \phi \nabla_\mu \phi}_{\text{dissipation}} + \underbrace{\sqrt{\frac{2\Gamma T}{a^3}} \nabla_\mu \phi \xi(x, t)}_{\text{fluctuation}}$$

- Unperturbed FLRW metric
- Perfect fluid: $\rho = \rho_\phi + \rho_r = (\dot{\phi}^2/2 + V) + \rho_r$; $p = p_\phi + p_r = (\dot{\phi}^2/2 - V) + 4\rho_r/3$
- **Fluctuation:** $\xi(x, t)$ perturbatively small \implies **no background fluctuation**
- **Dissipation:** $-\Gamma u^\nu \nabla_\nu \phi \nabla_\mu \phi = -\Gamma \dot{\phi}^2 \rightarrow$ “friction term”

$$\ddot{\phi} + 3H(1 + Q)\dot{\phi} + V_{,\phi} = 0, \quad Q = \frac{\Gamma}{3H}$$

$$\dot{\rho}_r + 4H\rho_r = \Gamma\dot{\phi}^2$$

2. DISSIPATIVE INFLATION | Linear perturbations

$$T^{\mu\nu} = T_{(\phi)}^{\mu\nu} + T_{(r)}^{\mu\nu}$$

$$\nabla_\nu T_{(\phi)}^{\mu\nu} = Q^\mu = -\nabla_\nu T_{(r)}^{\mu\nu}, \quad Q_\mu = -\Gamma u^\nu \nabla_\nu \phi \nabla_\mu \phi + \sqrt{\frac{2\Gamma T}{a^3}} \nabla_\mu \phi \xi(x, t)$$

- Perturbed metric (newtonian gauge)
- Perturbed $T_{\mu\nu}$: $\delta\phi$, $\delta\dot{\phi}$, $\delta\rho_r$, δq_r
- **Fluctuation** $\xi(x, t)$ is space dependent, therefore **sources perturbations** with a variance given by the FDT $\propto \Gamma T$.
- **Dissipation** term $-\Gamma u^\nu \nabla_\nu \phi \nabla_\mu \phi$ is perturbed:
 - Time component: perturbed version of $-\Gamma(\phi, T)\dot{\phi}^2$ (depends on $\Gamma_{,\phi}$, $\Gamma_{,T}$)
 - Space components: perturbed momentum transfer

2. DISSIPATIVE INFLATION | Linear perturbations

Combining **perturbed Einstein** and **continuity** equations in Fourier space yields as system of SDEs

$$\begin{aligned}\dot{\Phi}_{\mathbf{k}} + A \Phi_{\mathbf{k}} &= B \xi_{\mathbf{k}}, & \Phi_{\mathbf{k}} &= (\delta\phi_{\mathbf{k}}, \delta\dot{\phi}_{\mathbf{k}}, \delta\rho_{r,\mathbf{k}}, \psi_{\mathbf{k}}) \\ \mathcal{R}_{\mathbf{k}} &= C^T \Phi_{\mathbf{k}},\end{aligned}$$

where A , B and C are a **time dependent** matrices which **only depend on background quantities**. To compute the power spectrum $\mathcal{P}_{\mathcal{R}}(k)$, one can:

- Solve $\mathcal{O}(1000)$ times the Langevin equation,
- Use Fokker-Planck to construct ODE for the covariance matrix

$$U \equiv \langle \Phi^{(i)} [\Phi^{(i)}]^\dagger \rangle \longrightarrow \dot{U} = -AU - UA^T + \mathbf{B}\mathbf{B}^T \longrightarrow \langle |\mathcal{R}_k^{(i)}|^2 \rangle = \mathbf{C}^T U \mathbf{C} .$$

Details in [\[Ballesteros, Garcia, APR, Pierre, Rey 2021\]](#) ↗ Guillermo Ballesteros' talk.

2. DISSIPATIVE INFLATION

Example: inflaton perturbations

$$\delta\ddot{\phi}_{\mathbf{k}} + (3H + \Gamma)\delta\dot{\phi}_{\mathbf{k}} + \left(\frac{k^2}{a^2} + V_{\phi\phi} + \dot{\phi}\Gamma_{,\phi}\right)\delta\phi_{\mathbf{k}} + \underbrace{\Gamma_{,T}\frac{\dot{\phi}T}{4\rho_r}\delta\rho_{r,\mathbf{k}} - 4\dot{\psi}_{\mathbf{k}}\dot{\phi} + (2V_{\phi} + \Gamma\dot{\phi})\psi_{\mathbf{k}}}_{\text{coupling to other perturbations}} = \sqrt{\frac{2\Gamma T}{a^3}}\xi_{\mathbf{k}}(t)$$

Notice influence of transfer term $Q_{\mu} = -\Gamma u^{\nu}\nabla_{\nu}\phi\nabla_{\mu}\phi + \sqrt{\frac{2\Gamma T}{a^3}}\nabla_{\mu}\phi\xi(x,t)$

- **Dissipation** transfer term: unperturbed (Γ), perturbed ($\Gamma_{,\phi}$; $\Gamma_{,T}$)
- **Fluctuation** transfer term: **classical source** for otherwise **quantum** perturbations

$$\mathcal{P}_{\mathcal{R}}(k) = \frac{k^3}{2\pi^2} \left(|\mathcal{R}_k^{(h)}|^2 + \langle |\mathcal{R}_k^{(i)}|^2 \rangle \right)$$

$|\mathcal{R}_k^{(h)}|^2$ dominates for $Q \ll 1$. $\langle |\mathcal{R}_k^{(i)}|^2 \rangle$ dominates for $Q \gg 1$, **enhances** overall $\mathcal{P}_{\mathcal{R}}(k)$.

Transient dissipation \rightarrow local enhancement of thermal (classical, FDT induced) component of $\mathcal{P}_{\mathcal{R}}(k)$, **peak in the spectrum** \rightsquigarrow Guillermo Ballesteros' talk

3. STOCHASTIC (COLD) INFLATION | Basics

Main idea: splitting field on coarse-graining scales $k_\sigma = \sigma aH$, $\sigma \ll 1$:

$$\bar{\phi}(\mathbf{x}, N) = \int \frac{d^3k}{(2\pi)^{3/2}} W(k_\sigma - k) \left(\phi_k \hat{a}_{\mathbf{k}} + \phi_k^* \hat{a}_{\mathbf{k}}^\dagger \right) e^{i\mathbf{k}\cdot\mathbf{x}},$$

$$\phi_q(\mathbf{x}, N) = \int \frac{d^3k}{(2\pi)^{3/2}} W(k - k_\sigma) \left(\phi_k \hat{a}_{\mathbf{k}} + \phi_k^* \hat{a}_{\mathbf{k}}^\dagger \right) e^{i\mathbf{k}\cdot\mathbf{x}}$$

Define $\xi_\phi^{(Q)} = - \int \frac{d^3k}{(2\pi)^{3/2}} W'(k - k_\sigma) (\phi_k \hat{a}_{\mathbf{k}} + \text{h.c.}) e^{i\mathbf{k}\cdot\mathbf{x}}$. **System of SDEs:**

$$\begin{aligned} \bar{\phi}' &= \bar{\pi} + \xi_\phi^{(Q)}, \\ \bar{\pi}' &= -(3 - \epsilon)\bar{\pi} - \frac{V_{,\phi}|_{\bar{\phi}}}{H^2} + \xi_\pi^{(Q)}, \end{aligned}$$

where $\langle \xi_\phi^{(Q)}(N) \xi_\phi^{(Q)}(N') \rangle \propto \mathcal{P}_{\delta\phi}(k_\sigma, N) \delta(N - N')$, etc.

3. STOCHASTIC (COLD) INFLATION | Remarks

- Equivalence quantum fields - classical noise. The commutator $[\xi_\phi^{(Q)}, \xi_\pi^{(Q)}] \rightarrow 0$ as $\sigma \rightarrow 0$ (cf. “squeezing” [Kiefer, Polarski 1998])
 - The power spectrum **coincides** with the one computed in linear perturbation theory [Vennin, Starobinsky 2015], [Ballesteros, Rey, Taoso, Urbano 2020]
 - Beyond power spectrum: non-gaussian PDF of $\mathcal{R} \rightarrow$ stoch. δN formalism
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- $\xi_\phi^{(Q)}, \xi_\pi^{(Q)}$ are **no classical source**; they account for quantum fluctuations. Cf. dissipative case:
quantum fluctuations \leftrightarrow **noiseless** eq. *vs.* **thermal** fluctuations \leftrightarrow **noisy** eq.
 - Stochastic warm inflation [Ballesteros, APR, Pierre 2023], also early work in [Ramos, da Silva 2013]. **No departure** from pert. theory power spectrum.

CONCLUSIONS

1. **Langevin equations** arise when studying inflationary dynamics beyond single-field inflation in linear perturbation theory
2. Noise describes **thermal** fluctuations associated to dissipation (**fluctuation-dissipation theorem**)
 - Noise source in EOMs accounts for unknown degrees of freedom of the background
 - Its variance depends on T and strength of dissipation
 - Thermal noise is an extra source for $\mathcal{P}_{\mathcal{R}}$ (on top of quantum fluctuations)
3. Noise describes **backreaction of (quantum) fluctuations** on homogeneous background
 - Formally different from thermal case
 - Power spectrum in this formalism coincides with the one in perturbation theory