GiBUU: Theory and Generator

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Oscillation Signals as F(E_{v})



From: Diwan et al, Ann. Rev. Nucl. Part. Sci 66 (2016)

HyperK (T2K) 295 km **DUNE, 1300 km** Energies have to be known within 100 MeV (DUNE) or 50 MeV (T2K) Ratios of event rates to about 10% Institut für Theoretische Physik KIT 11/2023



Oscillation signal in T2K δ_{CP} sensitivity of appearance exps



O. Lalakulich et al, Phys.Rev. C86 (2012) 054606

Reconstruction error as large as δ_{CP} dependence

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Problem: Neutrino Energy

- The incoming neutrino energy on the abscissa of all such plots is not known, but must be reconstructed from an only partially observed final state (detector limitations!), backwards' to the initial state
- This reconstruction requires (targets are nuclei):
 - I. Knowledge of initial neutrino-nucleon -> neutrino-nucleus cross sections (particle or hadronphysics) (nuclear physics)
 - 2. Transport of initially produced hadrons through the nuclear volume, needs good knowledge of hadron-hadron FSI cross sections





Neutrino-Nucleon Cross Sections



Experimental error-bars directly enter into neutrino-nuclear cross sections and limit accuracy of energy reconstruction, most of these data ~ 35 years old

All modern long-baseline experiments







Generators describe vA interactions?

Take your favorite neutrino generator (GENIE, ...): "a good generator does not have to be right, provided it can be tuned to fit the data"

All of these ,standard' generators neglect from the outset:

- Nuclear binding
- Same ground states for different processes
- Final state interactions in nuclear potential

Generators use outdated physics: e.g.

- Rein-Sehgal for resonances
- hN, hA models for FSI

vA reaction needs reliable FSI description

Kadanoff-Baym equation (1960s)

- full equation not (yet) feasible for real world problems
- Boltzmann-Uehling-Uhlenbeck (BUU) models (1990): GiBUU
- Boltzmann equation as gradient expansion of Kadanoff-Baym equations, in Botermans-Malfliet representation (1990s) with off-shell transport
- Cascade models (1970 ->)

Simplicity

- (typical event generators, GENIE, NEUT, NuWro, ...)
 - Nuclei not bound, no mean-fields, primary interactions and FSI not consistent, frozen nuclear configuration,

Purely absorptive Cascade: Glauber

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Correctness



Institut für Theoretische Physik, JLU Giessen

Gibuu

The Giessen Boltzmann-Uehling-Uhlenbeck Project

Giessen Model implemented in the generator GiBUU
GiBUU : Quantum-Kinetic Theory and Event Generator
based on a BM solution of Kadanoff-Baym equations

GiBUU propagates phase-space distributions, not particles

Physics content and details of implementation in: Buss et al, Phys. Rept. 512 (2012) 1-124

Code available from gibuu.hepforge.org, new version GiBUU 2023





Quantum-kinetic Transport Theory

On-shell drift term

BM off-shell transport term

Collision term

$$\mathcal{D}F(x,p) - \operatorname{tr}\left\{\Gamma f, \operatorname{Re}S^{\operatorname{ret}}(x,p)\right\}_{\operatorname{PB}} = C(x,p) \ .$$

$$\mathcal{D}F(x,p) = \{p_0 - H, F\}_{\rm PB} = \frac{\partial(p_0 - H)}{\partial x} \frac{\partial F}{\partial p} - \frac{\partial(p_0 - H)}{\partial p} \frac{\partial F}{\partial x}$$

H contains mean-field potentials

Describes time-evolution of F(x,p)

 $F(x,p) = 2\pi g f(x,p) \mathcal{P}(x,p)$

Spectral function

Phase space distribution

One such equation for each particle: neutrino, nucleon, resonance, meson,... All coupled through mean field potential in *H* and collision term *C*





Gibuu

- Theory and Code for simulation of nuclear reactions
- degrees of freedom: Hadrons (Baryons, Mesons)
- propagation and collisions of particles in mean fields
- approx. Kadanoff-Baym and Boltzmann-Uehling-Uhlenbeck equations solved

Code Applications:
A+A (~ 1990) up to 10 – 20 AGeV
hadron+A (p+A, π+A) (~ 1995) up to 20 GeV
γ+A (~ 1998) up to 1 GeV
e+A (~ 2000 -) up to 300 GeV (energy transfer > 50 MeV)
v+A (~ 2005 -) up to 1 TeV (energy transfer > 50 MeV)

Widely tested

Giessen Model: Theory and Generator

Initial State Interactions

- Nucleons are bound in a momentum-dep mean-field potential
- Treats all ISI processes: QE, RES, 2p2h, DIS (switch to DIS = PYTHIA at W ~ 2 - 3 GeV)
- Contains large number of explicit N* resonances and mesons, up to charm

Final State Interactions

- Contains elastic and inelastic FSI, tries to respect time-reversal invariance
- Fully relativistic transport in potential, trajectories numerically integrated
- Relativistically correct collision criteria for FSI
- Allows for off-shell transport of broad spectral functions
- Contains modeling of color transparency, formation times
- ISI and FSI are both parts of the transport theory!!







Reaction Types (from GiBUU)



From: Leo Aliaga (UT Arlington





A wake-up call for the high-energy physics community:



"Wake up, Dr. Erskine—you're being transferred to low energy physics."

Wake up, Dr. ..., you're being transferred to low energy physics

Cartoon by S. Harris

Timelike photon (= dilepton) production



Dilepton spectrum in the HADES experiment: Test for many final state processes

Electromagnetic Processes



Theory: Effenberger et al, **1997** Data: Metag et al, TAPS





Theory: Lehr et al, **1999** Data: Sealock et al

eA





Check: pions, protons

(Leitner et al, https://inspirehep.net/literature/819969 (2009))

1999



Proton transparency







- Pion reaction Xsect.
- --- no potential
- --- Coulomb only
- --- Coulomb + nuclear

2006





Electron-Nucleus X-sections

Electron-nucleus reactions necessary test

New in GiBUU v2023:

e-A cross sections obtained by sampling the spectral function and then Lorentz-boost into the restframe of the nucleon.

Then evaluate the e-N cross section in that restframe by using parametrization of e-N X-sections from Bosted-Christy
Finally transform the X-section back to the target (nucleus) rest-frame.



Gibuu vs Genie



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GENIE



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,ab initio' vs quasiclassical



Quasiclassical models work well enough (need models for MEC contribs)





SIDIS: Pions at 5 GeV@JLAB

Attenuation ratios



Data: Moran et al, JLAB *Phys.Rev.C* 105 (2022) 1 Theory: GiBUU





Electron -> Neutrino Transition

, Transform' the structure functions from electrons to neutrinos:

$$W_{1}^{\nu} = \left[1 + \left(\frac{2m}{q}\right)^{2} \left(\frac{G_{A}(Q^{2})}{G_{M}(Q^{2})}\right)^{2}\right] 2(\mathcal{T}+1) W_{1}^{e} \qquad \mathsf{V}^{2} + \mathsf{A}^{2}$$
$$W_{3} = 2 \left(\frac{2m}{q}\right)^{2} \frac{G_{A}(Q^{2})}{G_{M}(Q^{2})} 2(\mathcal{T}+1) W_{1}^{e} . \qquad \mathsf{V} \mathsf{A}$$

D. Walecka, 1975

The kinematical factor 2m/q appears in the relation between vector and axial sp current





MicroBooNE



PHYS. REV. D 103, 113003 (2021)





MicroBooNE



arXiv:2310.06082 [nucl-ex] Kinematic imbalance variables





T2K Inclusives and 0π X-sections



Gallmeister et al, Phys.Rev.C 94 (2016) 3, 035502

incl



 0π incl Green : QE+2p2h Red: 0π from QE + 2p2h + π prod + π abs



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T2K

Electron Neutrinos



Gallmeister et al, Phys.Rev.C 94 (2016) 3, 035502







MINERvA incl X-sections

LE <E> = 3.5 GeV

ME $\langle E \rangle = 6 \text{ GeV}$







W-distributions







FASERv: I TeV neutrinos



arXiv:2201.04008v1 [hep-ph]

First neutrinos seen at CERN in 2023

DIS process is dominant. Experiments with nuclear targets may clarify problem with neutrino EMC effect.







Summary

- GiBUU is a generator for many different reaction types, from heavy-ion collisions to neutrino-nucleus interactions
- GiBUU source code is freely available from gibuu.hepforge.org
- GiBUU tries to implement consistent nuclear theory descriptions for all the primary interactions (not so in GENIE, ...)
- GiBUU is unique in its description of final state interactions, widely tested in different reaction types and energy regimes
- Version 2023 was released a few months ago, a first patch will appear within the next ~ 10 days.

