# First evidence for neutrino tagging

#### **Bianca De Martino**

On behalf of the NA62 collaboration

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IRN Neutrino 2023, Karlsruhe



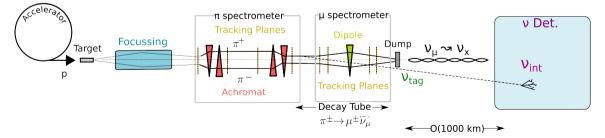
#### 2 The NA62 experiment

3 Proof of principle of Neutrino Tagging

- Analysis strategy
- Offline selection
- Event yield background and signal
- Revealing signal region content

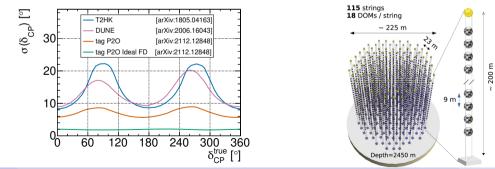
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- Neutrino Tagging: new paradigm for accelerator based neutrino experiments
- Instrument a beam line with spectrometers
- **Kinematically reconstruct each** *v* originating from a  $\pi^+ \rightarrow \mu^+ v_\mu$  decay  $\rightarrow$  *tagged v*
- Associate *interacting* v at Far Detector to its tagged v
- Main advantages:
  - energy resolution < 1% (VS 15% when measured with interaction), no energy scale
  - improved beam knowledge



## Physics potential

- At a tagged Short Baseline Experiment:
  - precise flux knowledge  $\rightarrow$  measure at 1% level  $v_e$  x-sec and  $v_{\mu}$  differential x-sec
  - tagged v energy determined independently of its interaction  $\rightarrow$  refine interaction models
- These measurements would strongly improve the physics potential of upcoming LBE:
- At a tagged Long Baseline Experiment:
  - setup with a natural water Cherenkov detector (like KM3NeT/ORCA) would allow to measure  $\delta_{CP}$  with unprecedented precision.
  - case study: P2O (Protvino to KM3NeT/ORCA)



#### 2 The NA62 experiment

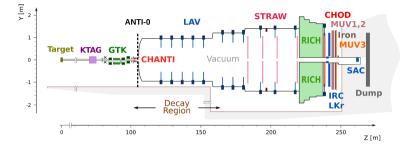
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# The NA62 experiment

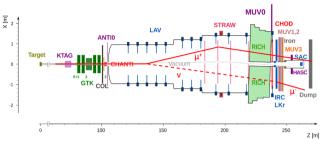


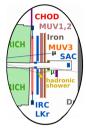
#### NA62 features



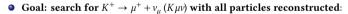
- NA62 is a fixed-target experiment in the North Area of the SPS at CERN
- NA62's main purpose is the measurement of  $\Re r(K^+ \to \pi^+ v \bar{v})$  (SM signal  $\Re r = (8.4 \pm 1.0) \cdot 10^{-11}$ )
- NA62's high intensity kaon beam at 75 GeV/c delivers a nominal rate of  $\mathcal{O}(10^{12})K^+$  decays per year
- Beam composition: **6%**  $K^+$ , 70%  $\pi^+$ , 23% p, 750MHz over **3s spills**
- Can be exploited as miniature tagged experiment

# Tagging proof of principle at NA62

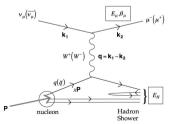




• Exploit  $K^+$  main decay channel:  $K^+ \rightarrow \mu^+ + \nu_{\mu}$ 

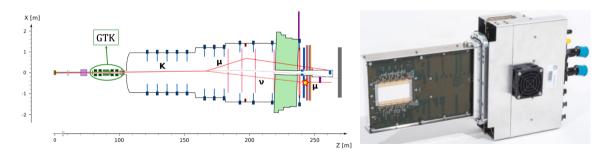


- $K^+$  reconstructed by beam spectrometer
- $\mu^+$  reconstructed by downstream spectrometer
- v interacting in the EM calorimeter (20ton LKr)
- Interaction channel: CC-DIS:  $\nu \rightarrow$  shower +  $\mu^-$
- Exploit μ<sup>+</sup>, shower and μ<sup>-</sup> for triggering strategy

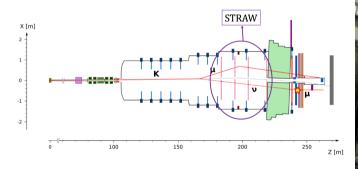


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- GigaTracKer (GTK): silicon pixel spectrometer, reconstructs time and 4-momentum of incoming beam particles
- 130 ps hit time resolution
- $\sigma_p/p = 0.2\%$ ,  $\sigma_{\theta} = 16$  mrad
- 60.8 × 27 mm silicon sensor

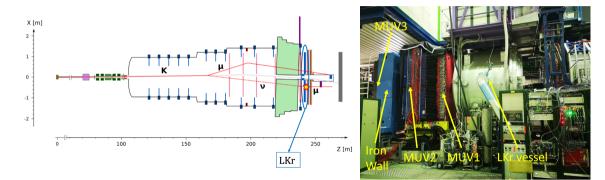


• STRAW: straw tube spectrometer that reconstructs the properties of charged particles produced in K decays

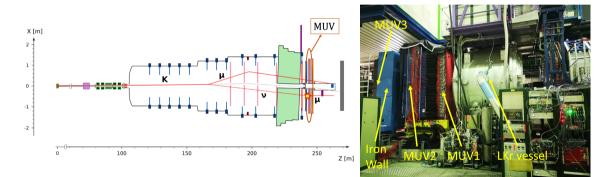




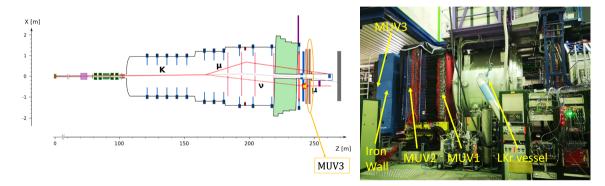
• Liquid Krypton calorimeter (LKr): electromagnetic calorimeter filled with about 9000 l of liquid Krypton at 120K



• MUon Veto (MUV) 1 and 2: 66 ton hadron calorimeter



• MUon Veto 3 (MUV3): 50 mm thick scintillator tiles, placed behind LKr, MUV1 and 2, and an iron wall, used for muon identification



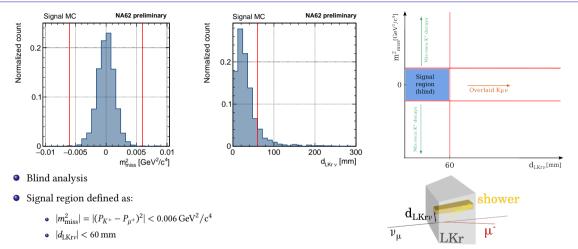
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Analysis strategy

## Analysis strategy



- Backgrounds assessed with data driven method on side bands; 2 background sources:
  - Overlaid  $K\mu\nu$ :  $K \to \mu\nu$  with extra in-time activity  $\to$  studied in side bands of  $|d_{LKr\nu}|$
  - Mis-reconstructed kaon decays  $\rightarrow$  studied in side bands of  $m_{\text{miss}}^2$ .

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### Analysis strategy

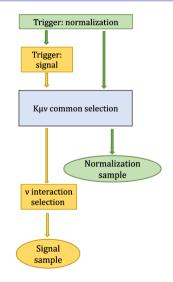
- Data sample:  $5 \cdot 10^{12}$  effective  $K^+$  decays, collected in 2022
- Expected event rate:

$$N^{exp}_{\text{signal}} = N_{K^+} \cdot \mathscr{B}(K^+ \to \mu^+ \nu_{\mu}) \cdot P_{\text{int,LKr}} \cdot \epsilon_{\text{signal}}$$

• Use  $K^+ \rightarrow \mu^+ v_{\mu}$  (no *v* interaction) decays as normalization sample:

$$\begin{split} N_{K^+} &= \frac{N_{\rm norm}}{\epsilon_{\rm norm}} \cdot \mathscr{B}(K^+ \to \mu^+ \nu_{\mu}) \\ N_{\rm signal}^{exp} &= N_{\rm norm} \cdot \frac{\epsilon_{\rm signal}}{\epsilon_{\rm signal}} \cdot P_{\rm int,LKr} \end{split}$$

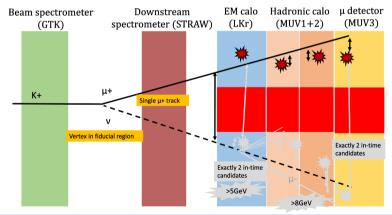
- As many common selection and trigger criteria as possible to signal and normalization
- Signal and normalization common efficiency terms cancel in the ratio
- Signal efficiency estimated thanks to a MC sample (GENIE)



Offline selection

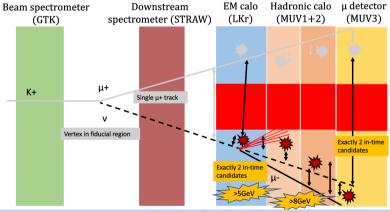
### Common selection - signal and normalization

- Single positively charged track matched to LKr, MUV1, MUV2 and MUV3 candidates
- $\mu^+$  particle identification
- photon rejection
- *v* extrapolated position inside LKr acceptance



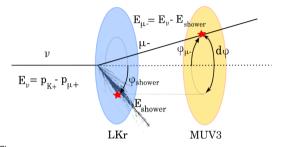
#### v interaction offline selection

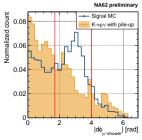
- Step 1: *v* interaction associated to activity in LKr, MUV1, MUV2, MUV3 in time and space
- Step 2: Extra activity rejection
- Step 3: Energy requirements
- Step 4: Interaction topology



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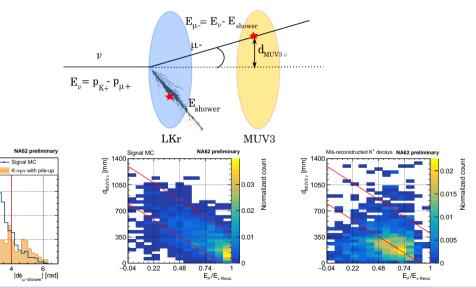
### Interaction topology





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### Interaction topology



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Normalized count

0.08

0.06

0.04

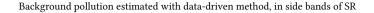
0.02

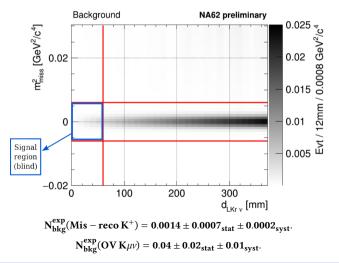
0

2

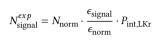
First evidence for neutrino tagging

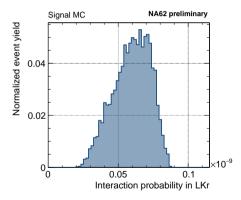
Signal and background yields





### Variables for signal yield computation





• 
$$P_{int,LKr} = (6.0 \pm 0.1_{syst}) \cdot 10^{-11}$$

• 
$$N_{norm} = (1.49 \pm 0.02_{syst}) \cdot 10^{11}$$
 from  $K \mu v$  event yield

• 
$$\frac{\epsilon_{\text{signal}}}{\epsilon_{norm}} = \epsilon_{\text{signal only}}^{\text{trig}} \cdot \epsilon_{\text{signal only}}^{\text{interaction}} = (2.55 \pm 0.15_{stat} \pm 0.04_{syst})\%$$

$$N_{\text{signal}}^{exp} = 0.228 \pm 0.014_{stat} \pm 0.011_{syst}$$

#### Summary

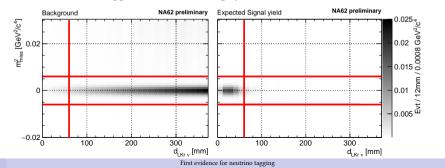
• In 2022 data sample  $(5 \cdot 10^{12} K^+ \text{ decays})$ :

 $N_{\text{signal}}^{exp} = 0.228 \pm 0.014_{stat} \pm 0.011_{syst},$ 

$$\begin{split} N_{bkg}^{exp}(Mis - recoK^+) &= 0.0014 \pm 0.0007_{stat} \pm 0.0002_{syst}, \\ N_{bkg}^{exp}(OVK\mu\nu) &= 0.04 \pm 0.02_{stat} \pm 0.01_{syst}. \end{split}$$

• Signal-to-noise: 5.5

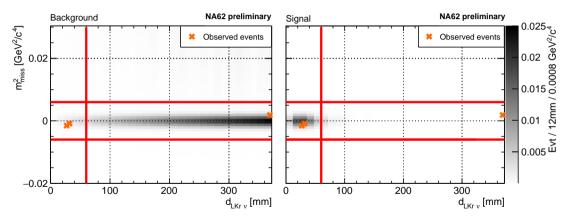
- Probability for total expected event yield  $N_{events}^{exp} = 0.2694$ 
  - for 0 data events p = 0.7638
  - for 1 data event p = 0.2058
  - for 2 data events p = 0.0277.



#### Results approved for unblinding by the NA62 collaboration

Revealing signal region content

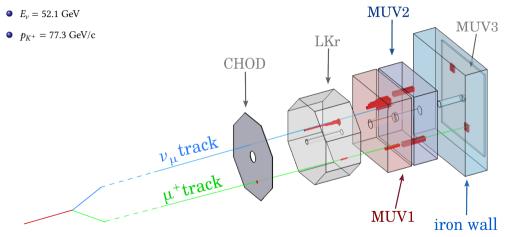
#### Two events are found in signal region!



Corresponds to probability p = 0.0277 for total expected event yield  $N_{events}^{exp} = 0.2694$ 

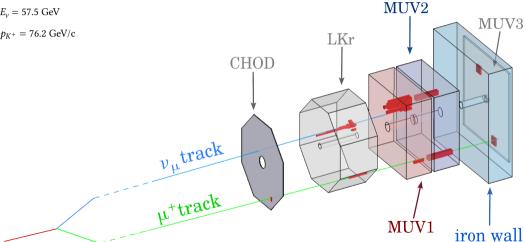
# Event Display - Event A

•  $p_{\mu^+} = 25.25 \text{ GeV/c}$ 



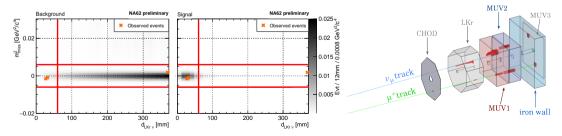
# Event Display - Event B

- $p_{\mu^+} = 18.74 \text{ GeV/c}$
- $E_{\nu} = 57.5 \text{ GeV}$
- $p_{K^+} = 76.2 \text{ GeV/c}$



#### Conclusions

- NA62 experiment has been exploited as a miniature tagged experiment to perform proof of principle of the neutrino tagging technique
- Reconstruct  $K^+ \rightarrow \mu^+ v_\mu$  decay with all particles detected
- Blind analysis performed, expected  $N_{signal}^{exp} = 0.228 \pm 0.014_{stat} \pm 0.011_{syst}$  signal events
- Signal-to-noise ratio 5.5
- 2 events found in signal region upon opening the box
- First tagged neutrino candidates in history!
- Achieved crucial first step towards establishment of tagging as effective paradigm



Thank you for your attention!



Signal yield

$$N_{\text{signal}}^{exp} = N_{K\mu\nu} \cdot A_{K\mu\nu\star}^{int} \cdot \epsilon^{RV} \cdot \epsilon_{E5}^{sel} \cdot \epsilon_{MOQX}^{sel} \cdot \epsilon_{HLT}^{sel} \cdot P_{int,LKr}$$
$$= 0.228 \pm 0.014_{stat} \pm 0.011_{syst}$$

Contribution	Value and uncertainty	
P <sub>int,LKr</sub>	$(6.0 \pm 0.1_{syst}) \cdot 10^{-11}$	
$N_{K\mu u}$	$(1.49 \pm 0.02_{syst}) \cdot 10^{11}$	
$A_{K\mu\nu*}^{int}$	$0.0421 \pm 0.0025_{stat} \pm 0.0015_{syst}$	
$\epsilon^{RV}$	$0.816 \pm 0.014_{syst}$	
$\epsilon^{MOQX}_{K\mu\nu*}$	$0.976 \pm 0.007_{stat} \pm 0.001_{syst}$	
$\epsilon^{E5}_{K\mu ust}$	$0.82 \pm 0.01_{stat} \pm 0.01_{syst}$	
$\epsilon_{K\mu\nu*}^{HLT/sel}$	$0.932 \pm 0.002_{stat}$	

(1)

# Signal candidates properties

Variable	Event A	Event B
d <sub>LKrv</sub>	31.9 mm	27.0 mm
$m_{miss}^2$	$-0.00088{ m GeV}^2/{ m c}^4$	$-0.0015{ m GeV}^2/{ m c}^4$
$d\phi_{LKr-MUV3}$	3.29 rad	3.24 rad
$E_{\nu}$	52.1 GeV	57.5 GeV
$p_{\mu^+}$	25.25 GeV/c	18.74 GeV/c
$p_{K^+}$	77.3 GeV/c	76.2107 GeV/c
$E_{LKrintime}$	13.36 GeV	7.67 GeV
$E_{MUV1  in  time}$	9.85 GeV	10.90 GeV
$E_{MUV2 in time}$	2.48 GeV	2.80 GeV
$E_{\mu^-}/E_{\nu}$	0.68	0.78
n <sub>KTAG</sub>	28	17
$z_{vtx}$	161.2 m	157.7 m
x, y at MUV3 $\mu^-$	(550, 770) mm	(330, 770) mm
x, y at MUV3 $\mu^+$	(-330, -770) mm	(-550, -990) mm

Table: Features of the two signal candidates found in the signal region.

#### LBNE limitations: systematic uncertainties

• Oscillation parameters inferred from event spectra as a function of reconstructed neutrino energy:

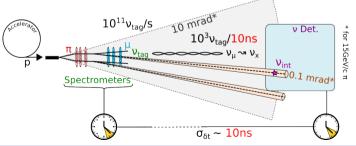
$$N_{\nu_{\beta}}^{FD}(E_{\nu}^{reco}) = \Phi_{\nu_{\beta}}^{FD}(E_{\nu}^{true}) \times \epsilon^{FD}(E_{\nu}^{true}) \times \sigma_{\nu_{\beta}}^{FD}(E_{\nu}^{true}) \times S(E_{\nu}^{reco}, E_{\nu}^{true}) \times P(\nu_{\alpha} \to \nu_{\beta})(E_{\nu}^{true})$$

- Constrain systematic with ND that measures initial flux
- Heavily relay on models to predict near-to-far detector extrapolation: they see different fluxes due to
  - Oscillations
  - Acceptance
  - Solid angle coverage
- Heavily rely on  $\sigma(E_v^{true})$  models and measurements
- Near and far detectors have energy scale uncertainty

## Unambiguous matching of *v*-tag to *v*-int

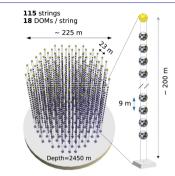
- Time coincidence:
  - Next generation Si trackers will have  $\sigma_t \sim 10 \text{ ps}$
  - Typically *v* detectors have  $\sigma_t \sim 10$  ns
- $\rightarrow$  1000  $v_{tag}$  per  $v_{int}$ 
  - Angular coincidence:
    - Dominant contribution: resolution on  $v_{tag}$  is  $\mathcal{O}(0.1)$  mrad for thickness of 0.5%  $X_0$
    - $\nu$  beam divergence  $\sim \frac{1}{\nu} \rightarrow \sim 10$  mrad for 15 GeV  $\pi^{\pm}$
    - $\rightarrow$  accidental matches reduced by a factor 10<sup>4</sup>

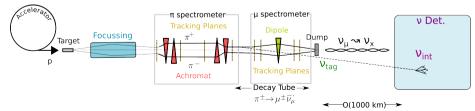
 $\rightarrow 0.1 \; v_{tag} \; \text{per} \; v_{int} \rightarrow$  unambiguous pairing possible in 90% of cases!



# Adapted beamline for Tagging

- $\bullet~$  Main challenge: intense particle flux in neutrino beam line  $\mathcal{O}(10^{18})$  particles/s
- Upcoming tracker capabilities: O(10<sup>12</sup>) particles/s
- Handles to **limit particle flux**:
  - slow extraction (few seconds instead of  $\mu$ s)
  - narrow band ( $\pi$  momentum selection)
  - increase beam transverse size (around  $0.1m^2$ )
- Limitation: low *v* flux → **compensate with large FD** e.g. KM3NeT/ORCA (6.8 Mton)
- Win-win: tagging compensates for FD granularity, FD compensates for low v flux
- Case study: Tagged P2O (Protvino to KM3NeT/ORCA), L = 2595km,  $E_v = 5$  GeV





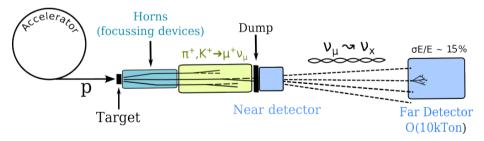
#### Perspectives overview

- A lot is left to do before implementing the Tagging at a tagged experiment
  - Beamline simulation and design (narrow-band, slowly extracted beam)
  - Development in field of silicon trackers ongoing
- Building a full scale tagged experiment involves operating Silicon trackers in neutrino beamline
- Very harsh environment, particle rate  $\sim 10^{12}$  particles/s
- Need performing detectors, specs similar to HL-LHC
- Time resolution is a crucial element: need to be able to separate the beam particles
- $\rightarrow$  study the timing performances of Silicon detectors and understand the elements that affect their time resolution.

Feature	NA62 GTK	HL-LHC	Nu Tagging
Flux [MHz/mm <sup>2</sup> ]	2	$\mathcal{O}(10-100)$	O(10 - 100)
Hit Time Reso [ps]	130	<50	<20
Efficiency (%)	>99	>99	>99
Thickness (% of $X_0$ )	< 0.5	<0.9	<0.5

### Long Baseline Experiments (LBE)

- LBE suited to search for CP violation in the lepton sector and study oscillations
- Very intense hadron beams  $(\pi^{\pm} \rightarrow \mu^{\pm} \overleftarrow{v}_{\mu})$  produced by impinging protons on target for  $\nu$  beams production
- *v*s oscillate over  $\mathcal{O}(10^3)$  km in matter
- Near detector: characterize initial *v* flux
- Far detector: very large neutrino detectors, characterize v flux after oscillation



- Oscillation studies limited by systematic uncertainties stemming from:
  - interaction models and x-section measurements
  - energy scale uncertainties
  - near-to-far detector extrapolation models
- Need a new method to refine our knowledge!

