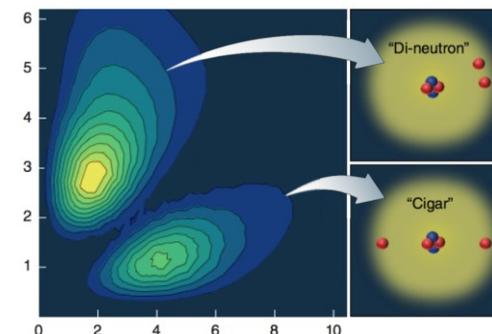
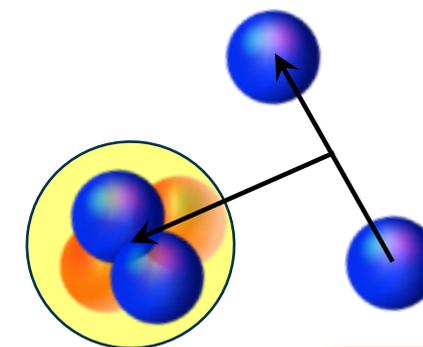
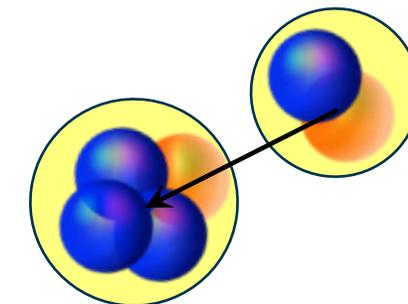
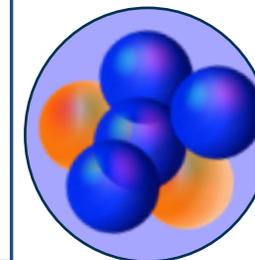
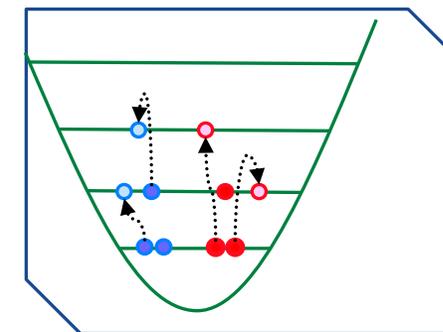


Guillaume Hupin, CNRS IJClab

Collaborators

S. Quaglioni (LLNL)
 K. Kravvaris (LLNL)
 P. Navratil (TRIUMF)
 M. Aliotta (UoE)
 and many other
 distinguished colleagues!

Ab initio framework for nuclear fusion reactions

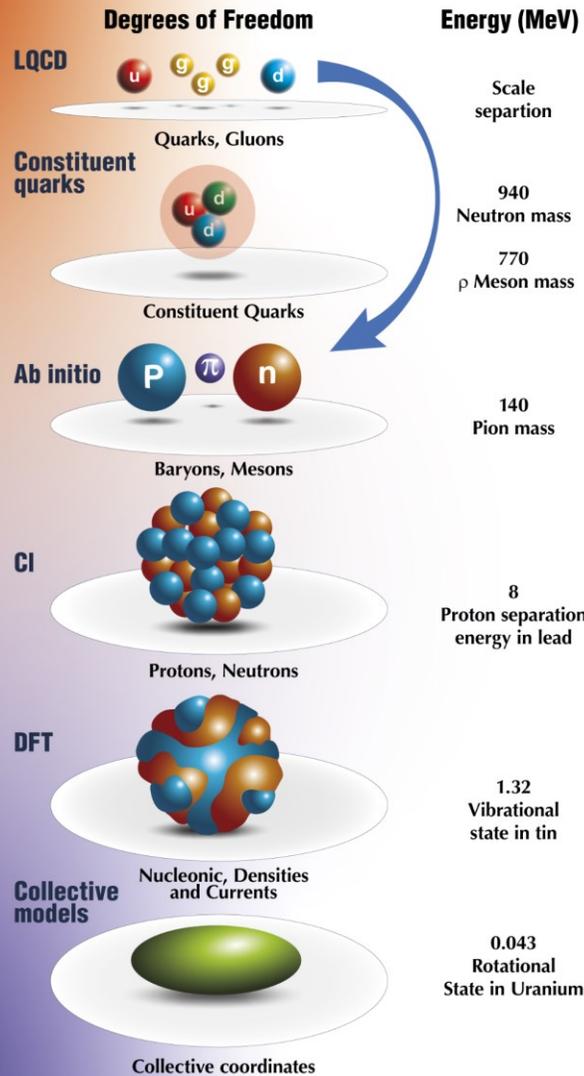




A story of multiple scale

Physics of Hadrons

Physics of Nuclei

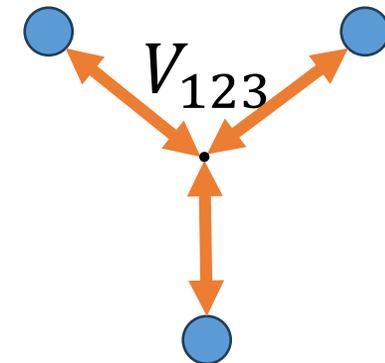
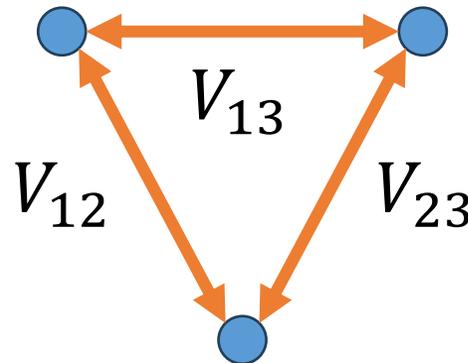


Resolution

- Goal: Solving the Schrodinger equation (SE) for an A-body system:

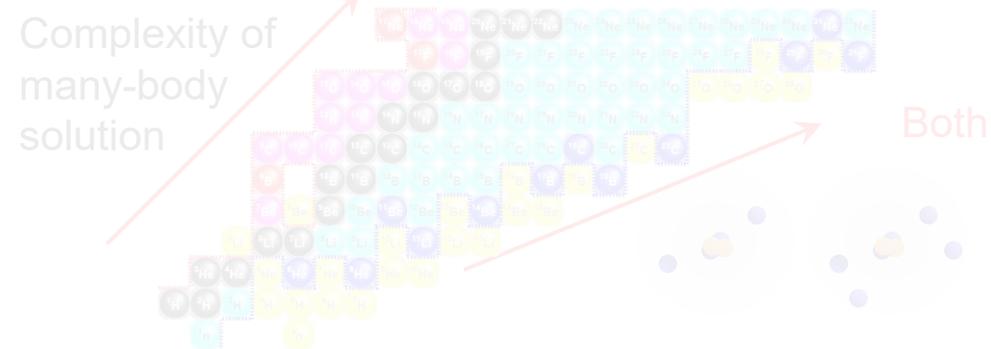
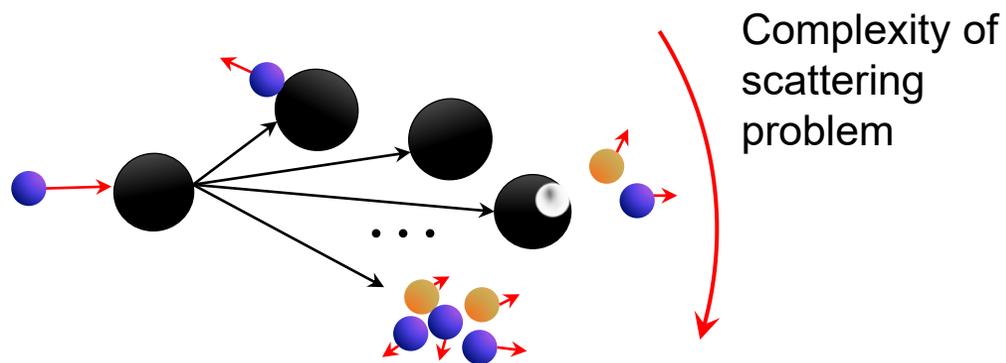
$$H|\psi^{J^{\pi T}}\rangle = E|\psi^{J^{\pi T}}\rangle$$

- Nucleons are considered as point-like particles.
- The SE is solved by considering two and many-body interactions between nucleons





(ii) Research directions



$\neq n, p$ particles interacting with strong force ($M_h \gg M_{n,p}$)

$$M_h \leq M_{n,p}$$



- ☹ Nuclear theory is **data driven**.
- ☹ Few-body techniques scale **very bad** with the number of constituents in the continuum.

Credits H. Lenske



One way to solve the many-body problem

$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^{\pi} t_z\rangle$$

Mixing coefficients (unknown)

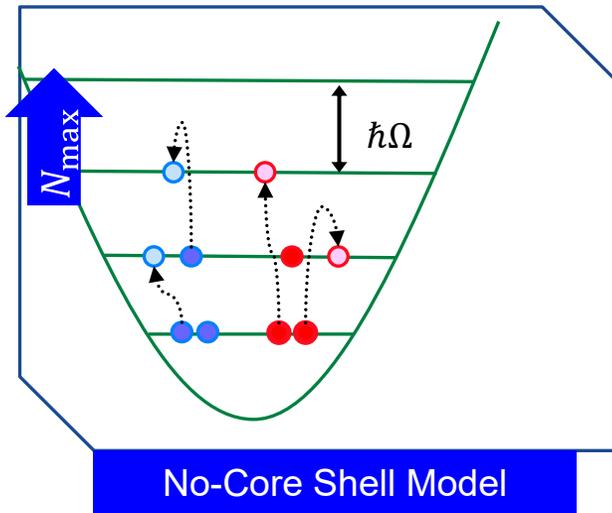
A-body harmonic oscillator states



$$|A\lambda J^\pi T\rangle_{SD} \phi_{00}(\vec{R}_{c.m.}^A)$$

Second quantization

Can address bound and low-lying resonances (short range correlations)



Advantage of HO CI methods:

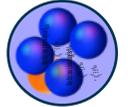
1. Center of mass is factorized.
2. Mathematically possible to derived s.p. to Jacobi coordinates transformation.
3. Fourier transform is trivial: NCSM, RGM with HO CI is equivalent in momentum or position space.



- One way to solve the many-body problem when two scales appear

$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^\pi t_z\rangle$$

Mixing coefficients (unknown) A-body harmonic oscillator states

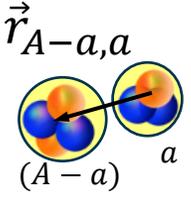


 $|A\lambda J^\pi T\rangle_{SD} \phi_{00}(\vec{R}_{c.m.}^A)$

 Second quantization

$$\Psi_{RGM}^{(A)} = \sum_v \int d\vec{r} g_v(\vec{r}) \hat{A}_v |\Phi_{v\vec{r}}^{(A-a,a)}\rangle$$

Relative wave function (unknown) Antisymmetrizer Channel basis



 $\vec{r}_{A-a,a}$

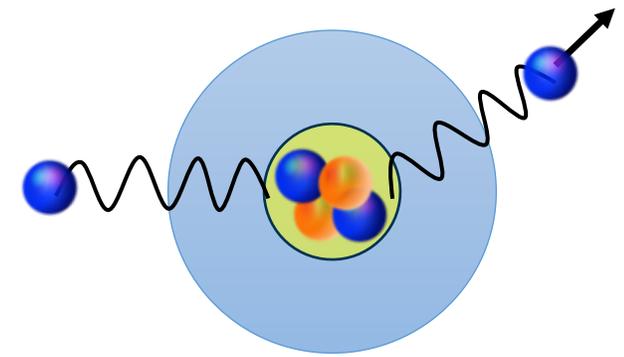
 $\psi_{\alpha_1}^{(A-a)} \psi_{\alpha_2}^{(a)} \delta(\vec{r} - \vec{r}_{A-a,a})$

 Cluster expansion technique

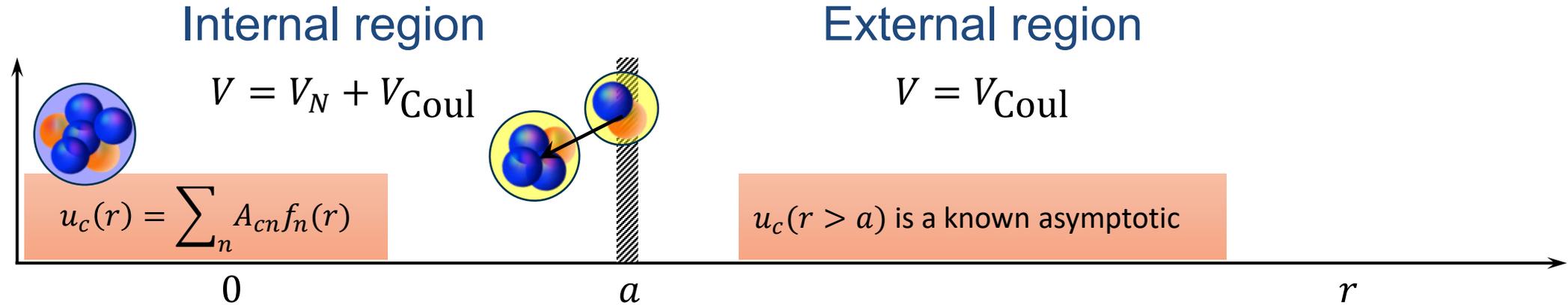
Many-body basis is twice as large as Ψ_{NCSM}

- $\psi_{\alpha_1}^{(A-a)} \in \mathcal{H}^{N_{\max}}$
- $\psi_{\alpha_2}^{(a)} \in \mathcal{H}^{N_{\max}}$

Can address bound and low-lying resonances (short range correlations)



NCSM/RGM
Cluster formalism for elastic/inelastic



Decomposition on a Lagrange mesh.

NCSMC can be cast as Bloch-Schrödinger equation:

$$(C - EI)\vec{X} = Q(B)$$

And solved using R-matrix, which in the eigen basis of $C - EI$ reads:

$$R_{cc'} = \sum_{\lambda} \frac{\gamma_{\lambda c} \gamma_{\lambda c'}}{E_{\lambda} - E}$$

Simple for binary reacting system, more involved for neutral ternary system and extremely challenging for charged breakup.



$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^\pi t_z\rangle$$

Mixing coefficients (unknown) \rightarrow A-body harmonic oscillator states

$$\Psi_{RGM}^{(A)} = \sum_v \int d\vec{r} g_v(\vec{r}) \hat{A}_v |\Phi_{v\vec{r}}^{(A-a,a)}\rangle$$

Relative wave function (unknown) \rightarrow Antisymmetrizer \rightarrow Channel basis

Configuration Interaction (CI):

- Eigen-value problem \rightarrow Matrix diagonalization:
 $\hat{H}\phi_n = \varepsilon_n\phi_n$

No Core Shell Model (NCSM):

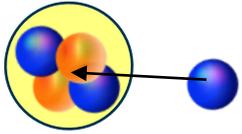
- HO wavefunctions;
- Single particle basis;
- Jacobi basis.

NCSM with continuum (NCSMC):

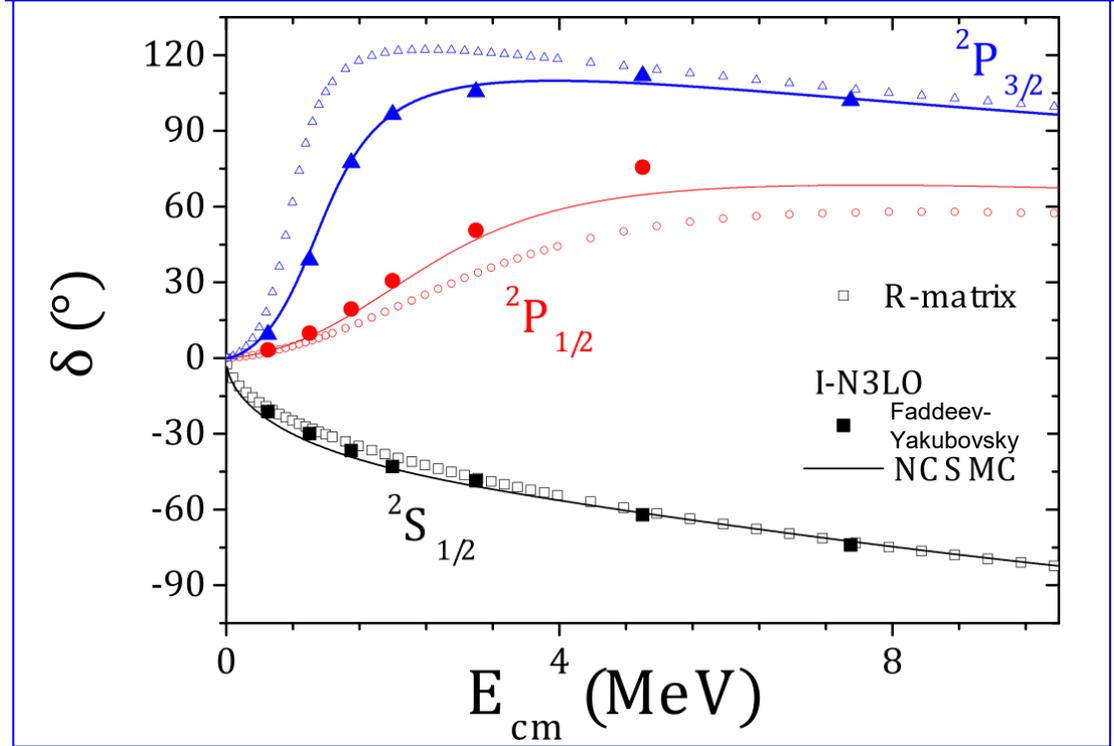
- For computing reactions and exotic nuclei.

Limitations:

- Resonance properties cannot be accessed directly.
- Reaction channels must be introduced manually.

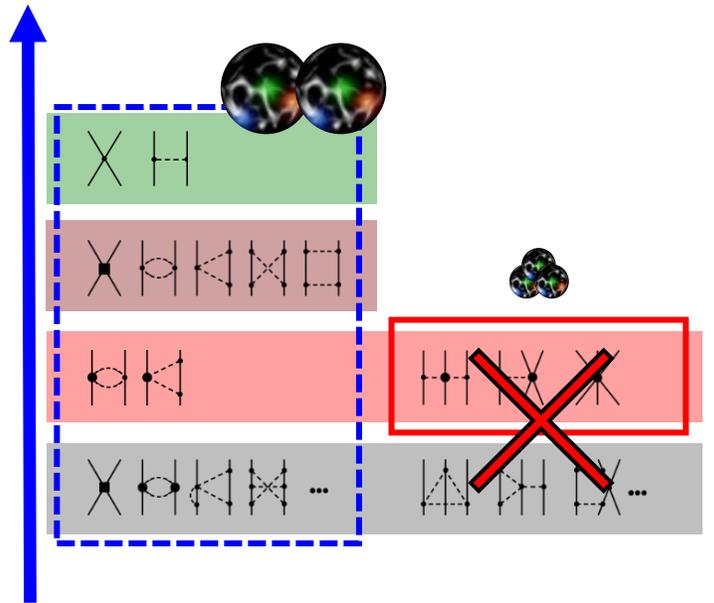


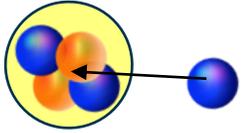
Benchmark: scattering phase shifts NCSMC/FY



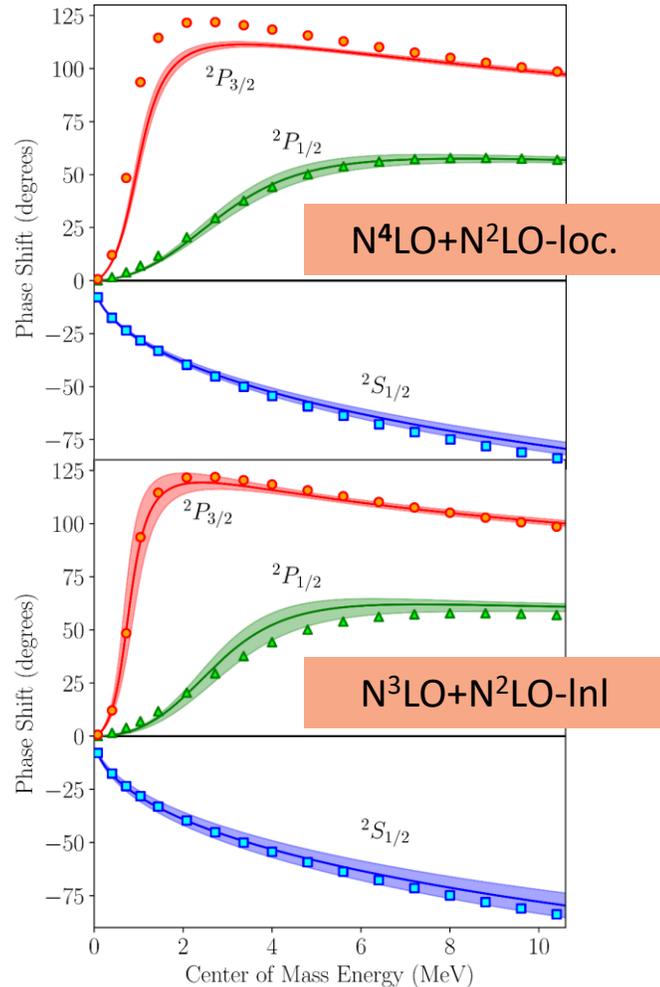
R. Lazauskas, PRC 97 (2018).*
*there is a more recent paper with 3N force.

• **Good agreement between the two methods.**

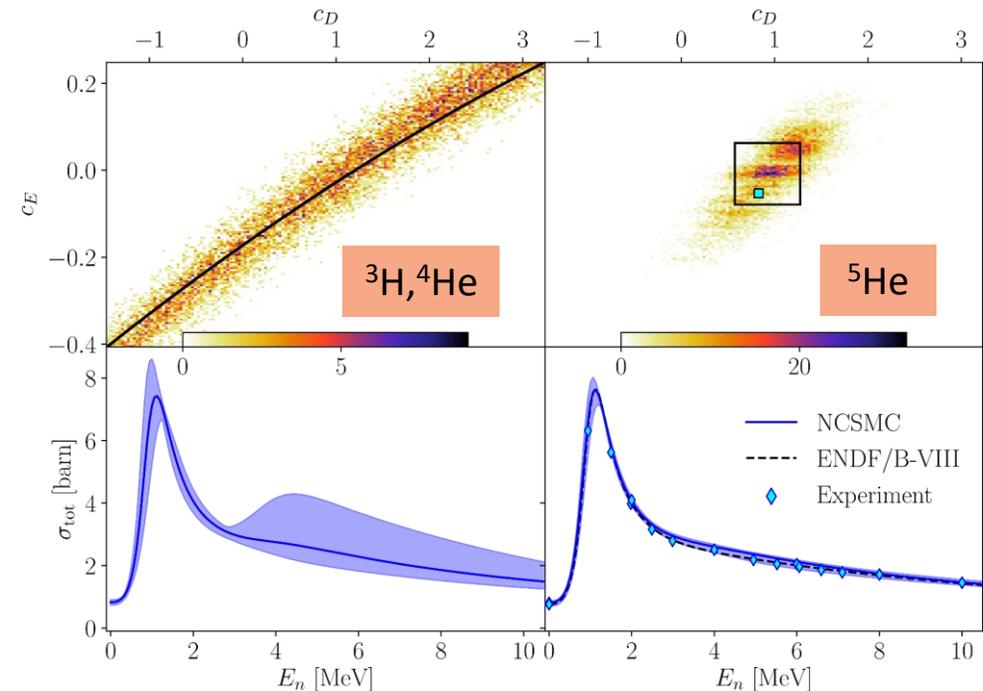




Sensitivity to c_D and c_E



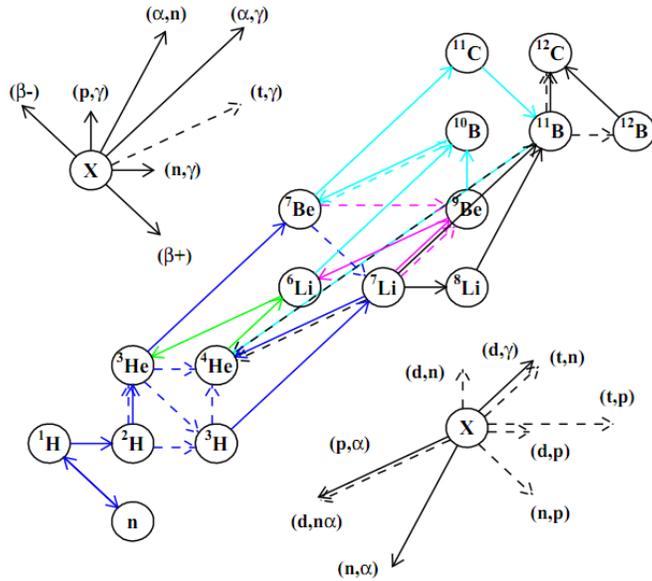
- NN-N4LO + 3N-N2LO **cannot reproduce** the p-wave splitting.
- Tighter posterior distribution if the properties of the ^5He are included in the fit of 3N LEC.





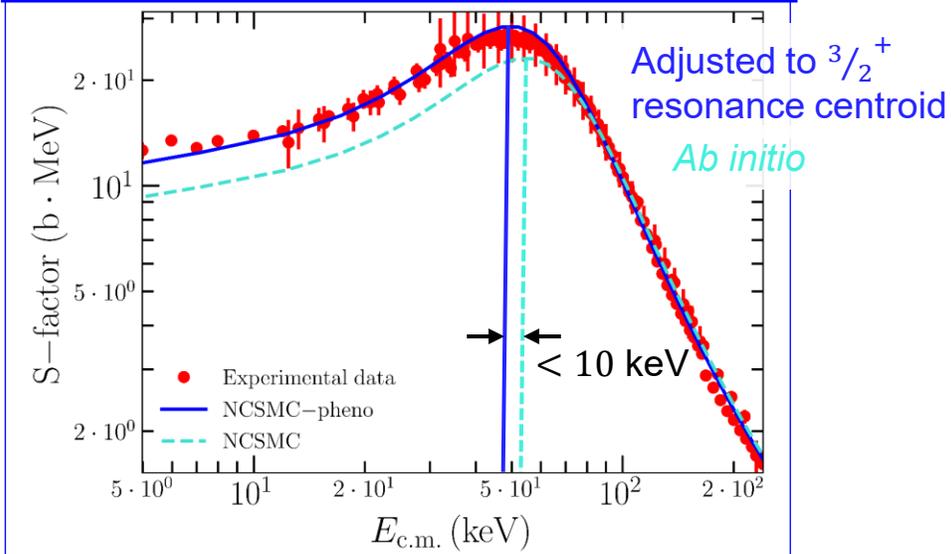
Low-energy Transfer reactions (d,N)

Primordial Nucleosynthesis (blue)

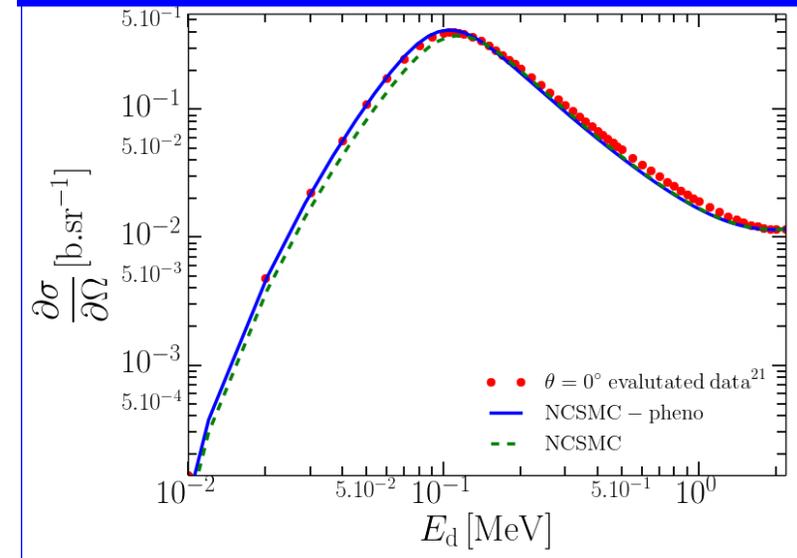




S-factor: computed and data



Angular distribution at $\theta = 0^\circ$

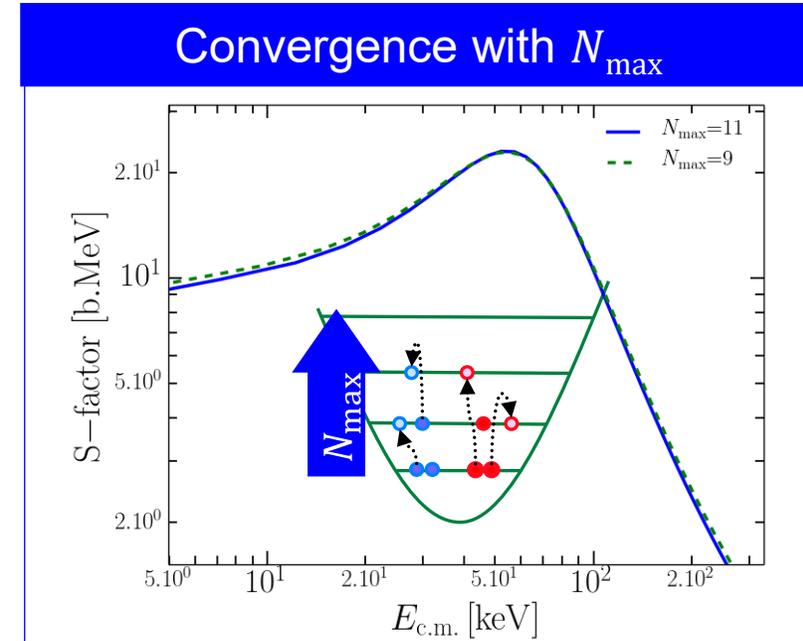
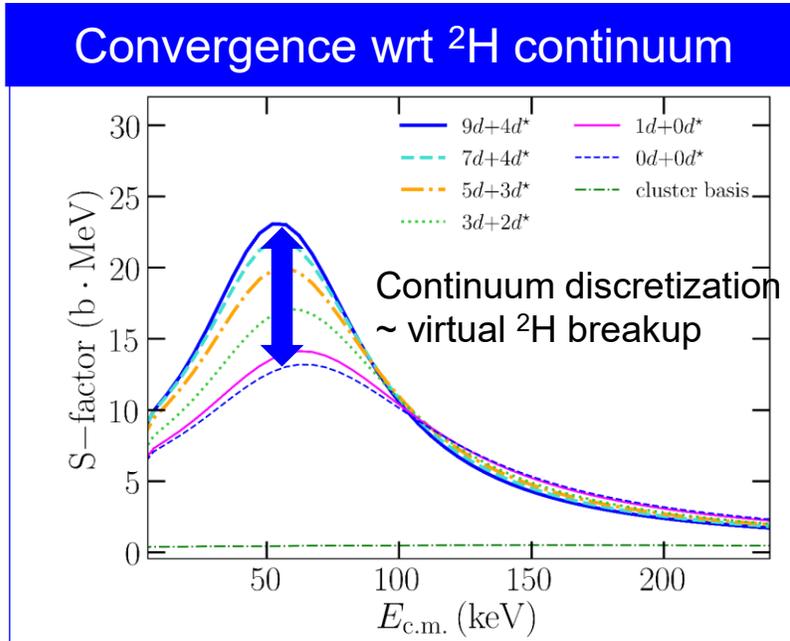


M. Drog and N. Otuka, INDC(AUS)-0019 (2015).

- The S-factor is globally well reproduced.
- The accurate **reproduction** (of the order of keV) of the **resonance position/width** is **essential**.
- Shape of the angular distribution **agrees** with recent **evaluation**.



$^3\text{H}(d,n)^4\text{He}$ fusion reaction: Model convergence

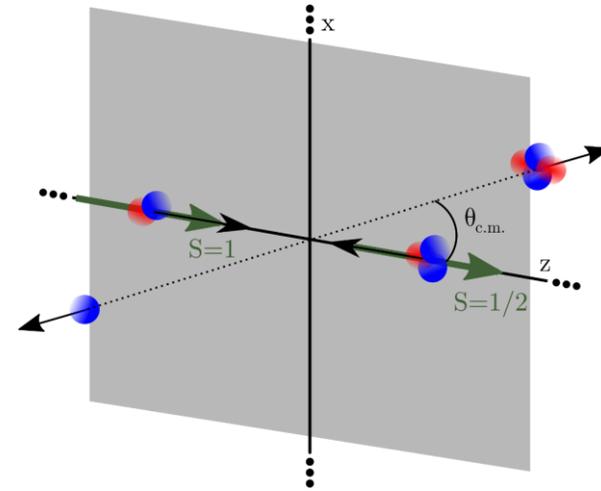
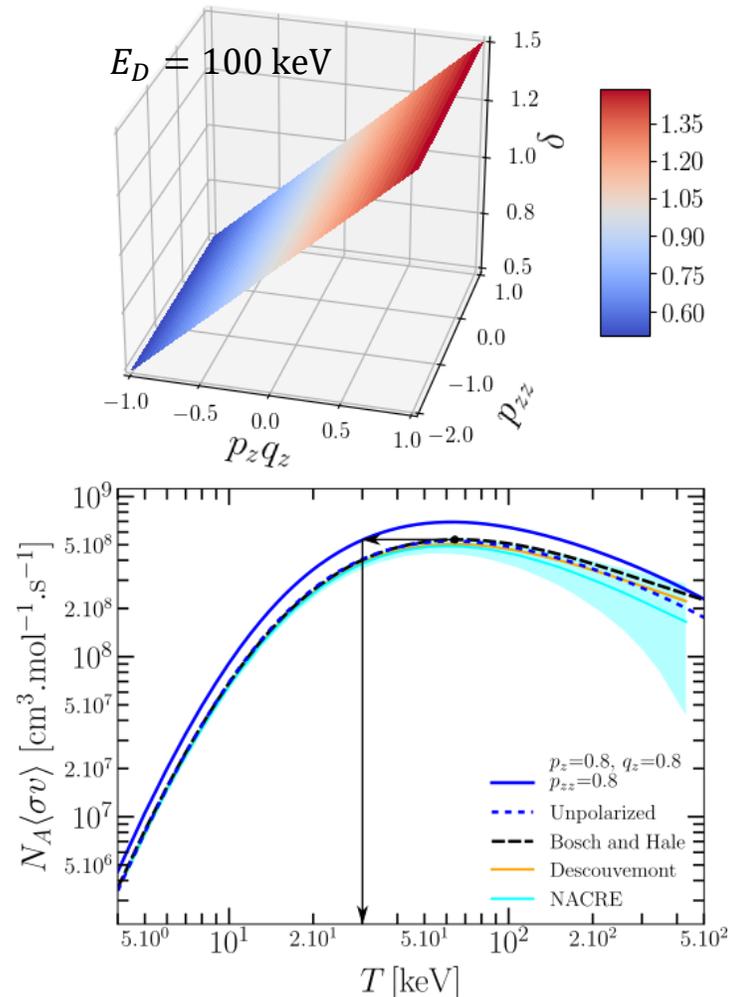


$^5\text{He} (^4S_{3/2})$	E_r (keV)	Γ_r (keV)
Cluster basis (D g.s. only)	105	1100
Cluster basis	120	570
NCSMC (D g.s. only)	65	160
NCSMC	55	110
NCSMC-pheno	50	98
R-matrix <small>G.M. Hale, et al. PRL 59 (1987).</small>	48	74

correlated

- Discretization of ^2H is **essential** for the reproduction of the S-factor.
- Stable behavior with respect to the number of ^2H pseudo states.
- Converged with N_{max} .

Enhancement factor and reaction rate



Reactant spins are prepared in a configuration

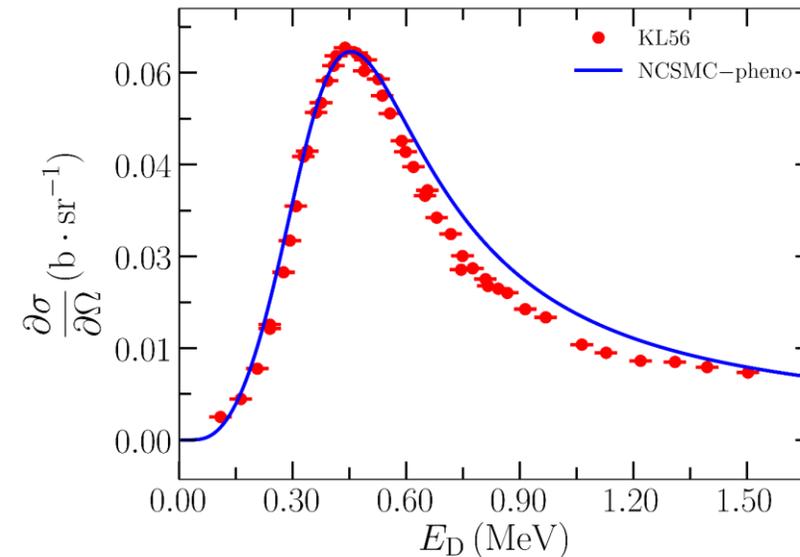
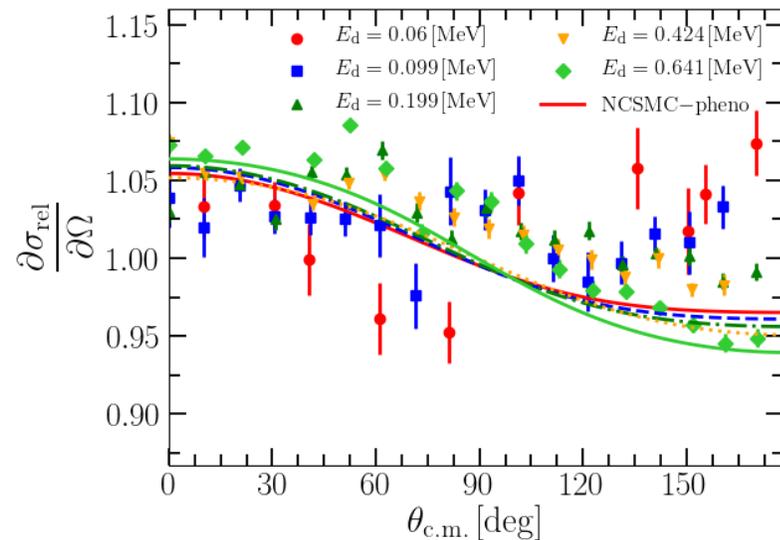
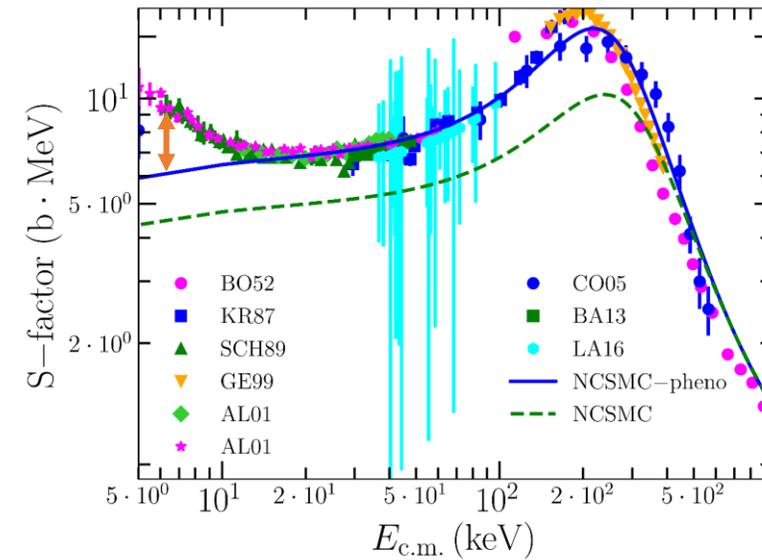
$$\frac{\partial \sigma^{\text{polar}}}{\partial \Omega}(\theta) = \frac{\partial \sigma}{\partial \Omega}(\theta) \left(1 + \frac{1}{2} p_{zz} A_{zz}(\theta) + \frac{3}{2} p_z q_z C_{z,z}(\theta) \right)$$

- **Predictions** for polarized ${}^3\vec{\text{H}}(\vec{d}, n){}^4\text{He}$ enhancement factor and reaction rate.
- **Confirmation** of maximum enhancement ($\delta = 1.5$) scenario.
- *Ab initio* calculation shows that $\delta = 1.38$ can be achieved in lab.



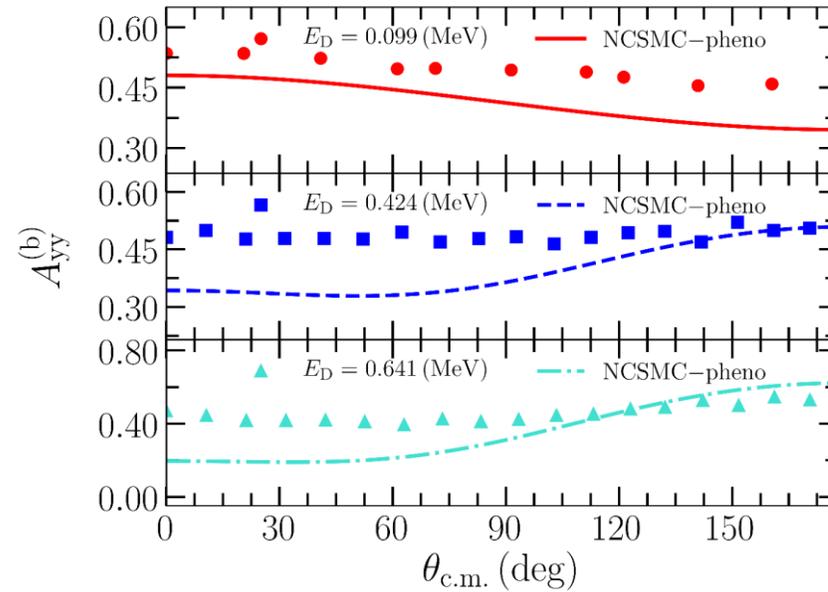
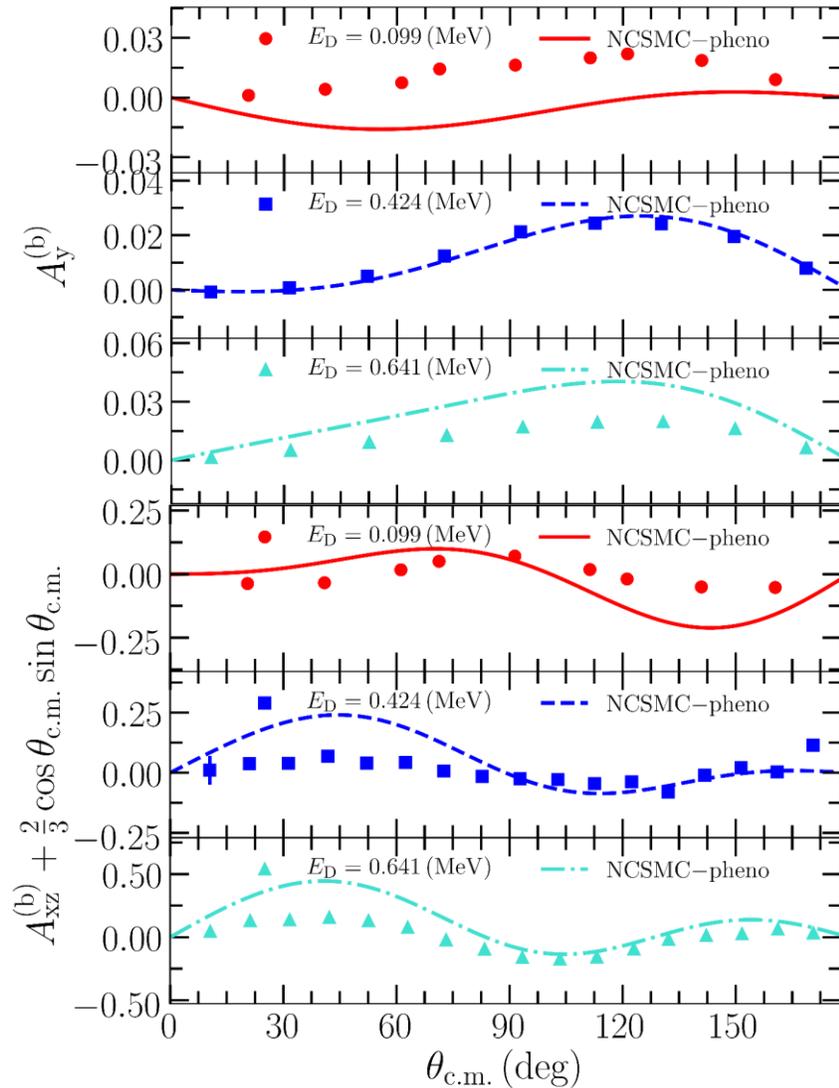
${}^3\text{He}(d,p){}^4\text{He}$ fusion reaction: mirror reaction, globally similar to DT

- The S-factor is globally well reproduced.
- However, there are **discrepancies** between data sets around the peak of the S-factor.
- Influence of p- and d-waves in agreement with data.





${}^3\text{He}(\vec{d},\rho){}^4\text{He}$: analyzing tensors

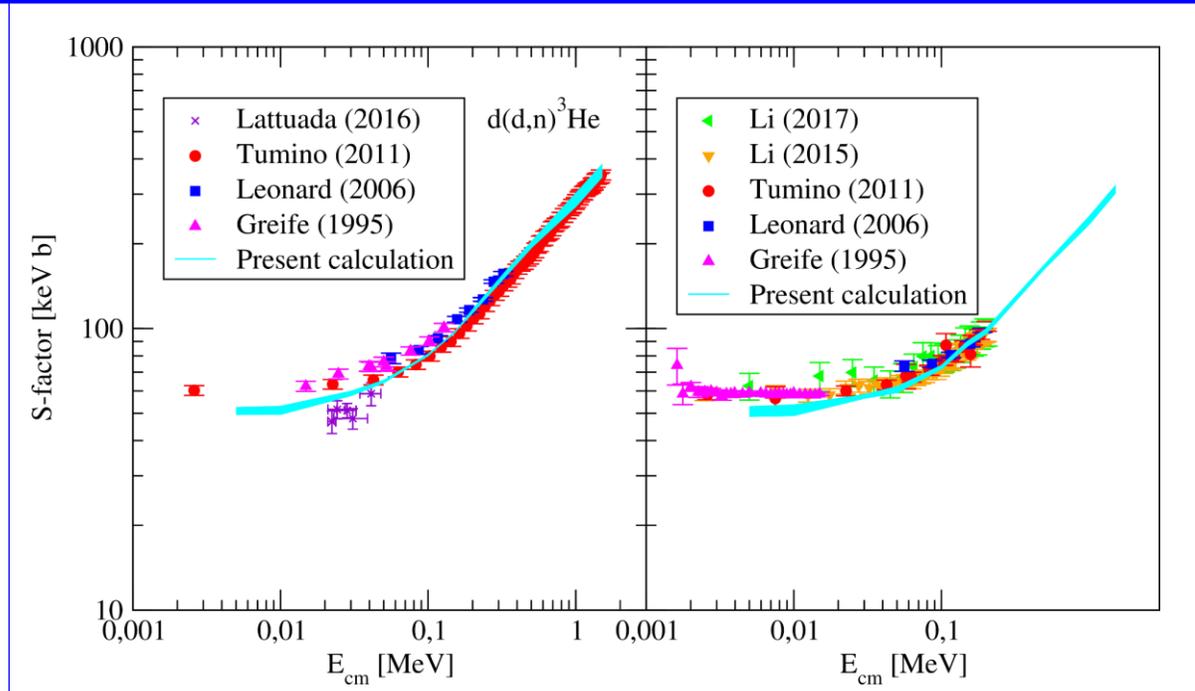


W.H. Geist, et al. PRC60 (1999).

Deviations from a pure s-wave of the analyzing tensors are globally reproduced in shape but their amplitude is not.



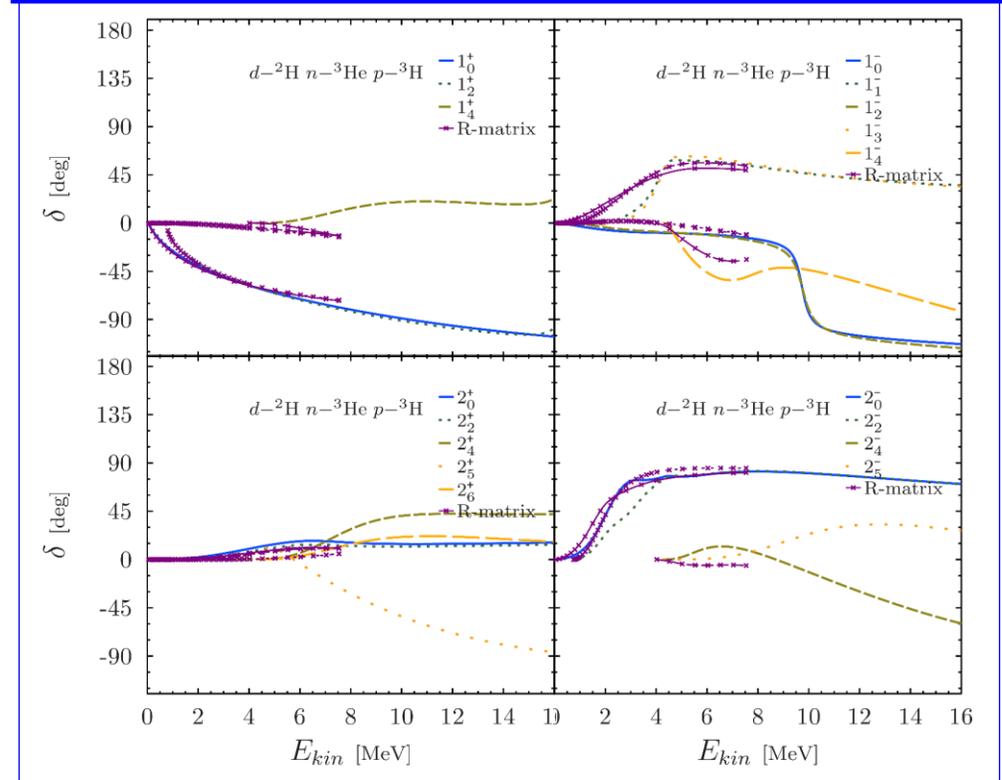
HH and Kohn variational principle for DD fusion



M. Viviani, L. Girlanda *et al.* PRL **130** 122501 (2023).

Excellent agreement with data for the low-energy fusion.

NCSMC phase shifts NN+3N-LNL



Reasonable agreement with R-matrix analysis of data over large energy range.

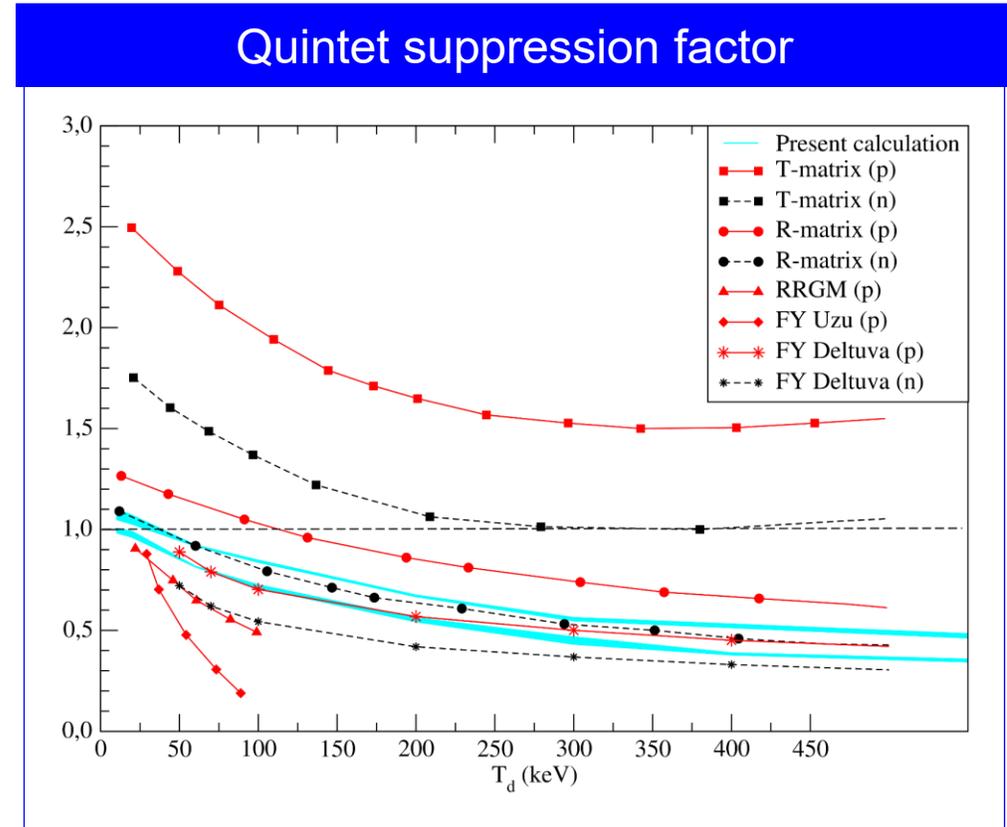
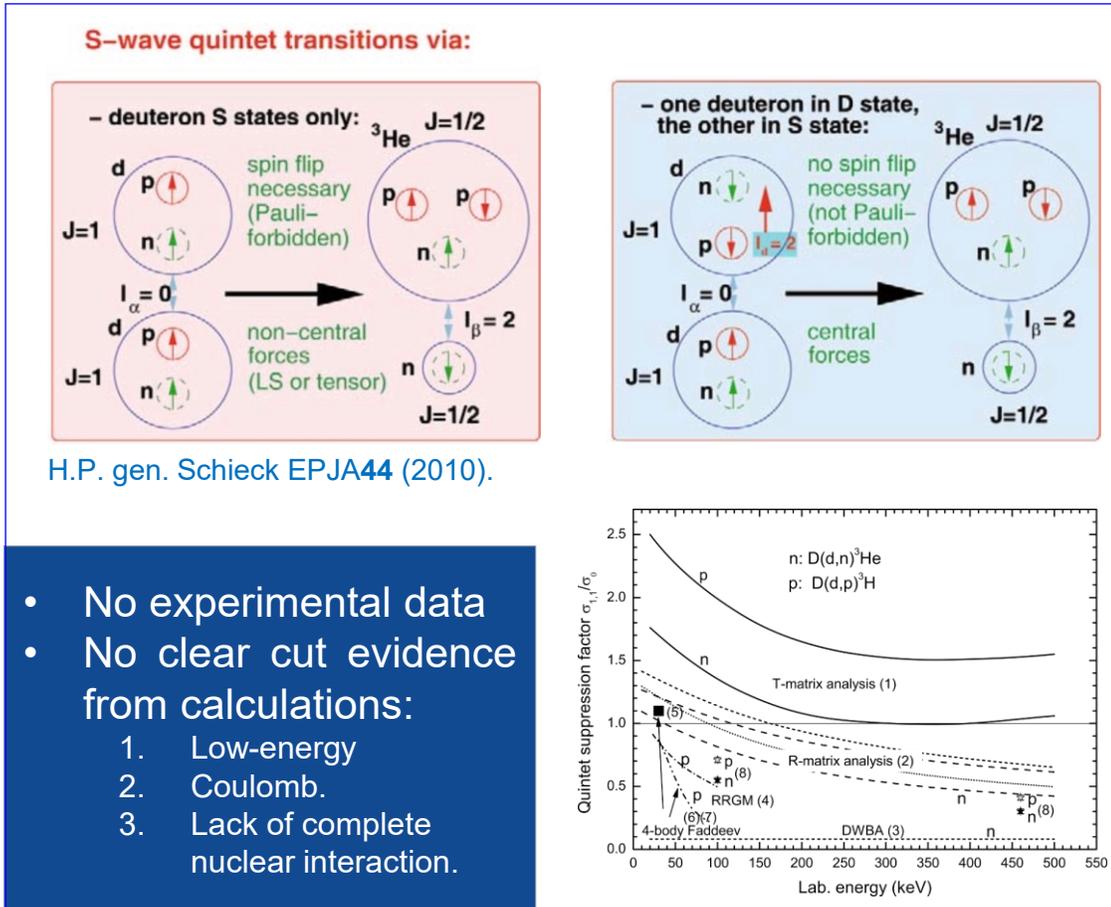


DD fusion: quintet suppression for fusion

Advanced design for an aneutronic reactor..

$$\sigma_{tot} = \frac{1}{9} (2\sigma_{1,1} + 4\sigma_{1,0} + \sigma_{0,0} + \sigma_{1,-1})$$

quintet
triplet
singlets



M. Viviani, L. Giralanda *et al.* PRL130 122501 (2023).



One way to solve the many-body problem

$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^\pi t_z\rangle$$

Mixing coefficients (unknown) A-body harmonic oscillator states



$$|A\lambda J^\pi T\rangle_{SD} \phi_{00}(\vec{R}_{c.m.}^A)$$

Second quantization

“Trivial” to create a **basis of boosted NCSM wave functions**

Advantage of HO CI methods:

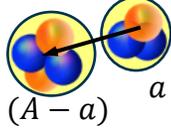
1. Center of mass is factorized.



$$|A\lambda J^\pi T\rangle_{SD} \phi_{nl}(\vec{R}_{c.m.}^A)$$

Second quantization

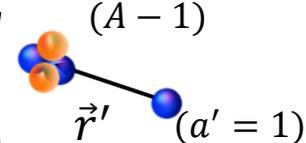
Span the same basis as



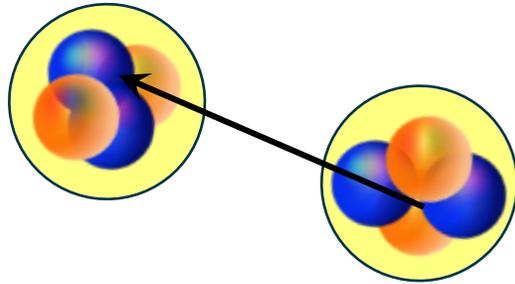
$$\psi_{\alpha_1}^{(A-a)} \psi_{\alpha_2}^{(a)} \delta(\vec{r} - \vec{r}_{A-a,a})$$



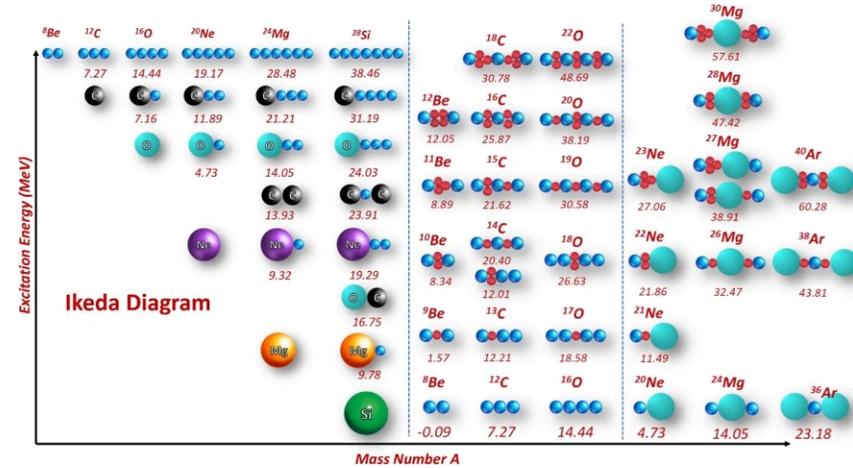
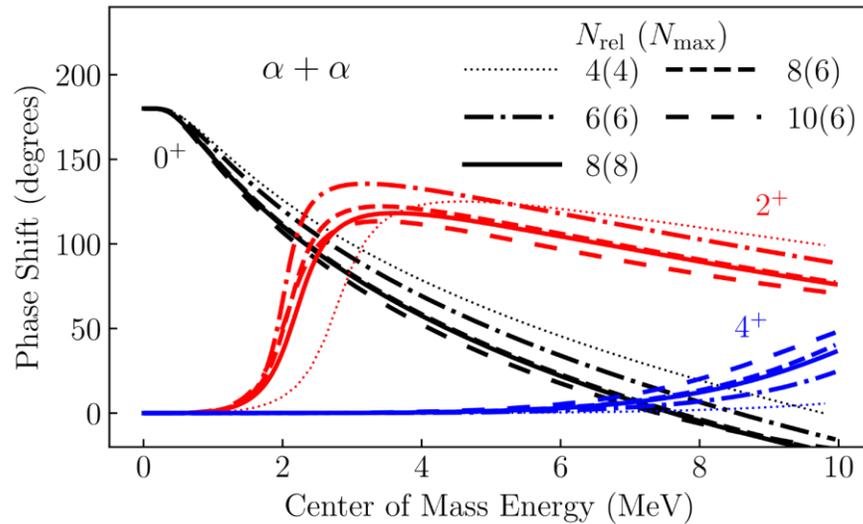
$$\phi_n^A = \frac{1}{\sqrt{A!}} \begin{vmatrix} \phi_i(\vec{r}_1) & \dots & \phi_i(\vec{r}_A) \\ \vdots & \ddots & \vdots \\ \phi_l(\vec{r}_1) & \dots & \phi_l(\vec{r}_A) \end{vmatrix} = a_l^\dagger \dots a_i^\dagger |0\rangle$$



$$\left\langle \begin{matrix} (A-1) \\ \vec{r}' \\ (a'=1) \end{matrix} \middle| \mathcal{AHA} \middle| \begin{matrix} (A-1) \\ \vec{r} \\ (a=1) \end{matrix} \right\rangle$$



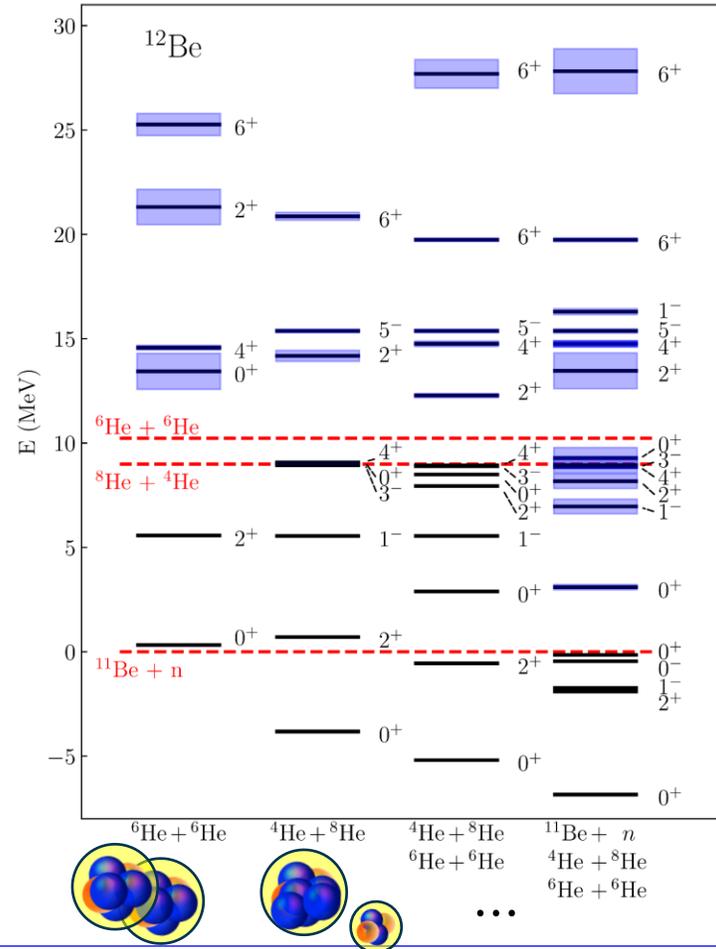
$\alpha+\alpha$ scattering phase-shift



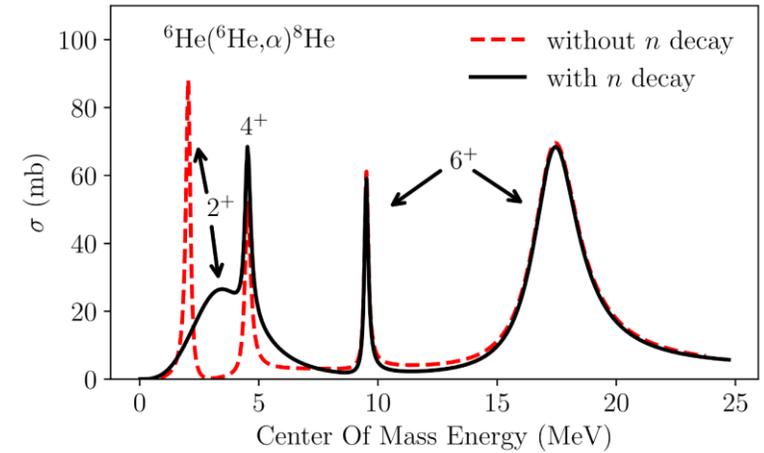
I. Lombardo *et al.* Riv. Nuovo Cim. **46** (2023)

- *Ab initio* NCSM/RGM calculation of $\alpha-\alpha$ scattering using chiral NN+3N;
- **3N regulator** choice strongly affected resonance positions;
- **NN+3Nnl** give best agreement with experimental data.

Evolution of ^{12}Be with cluster structure



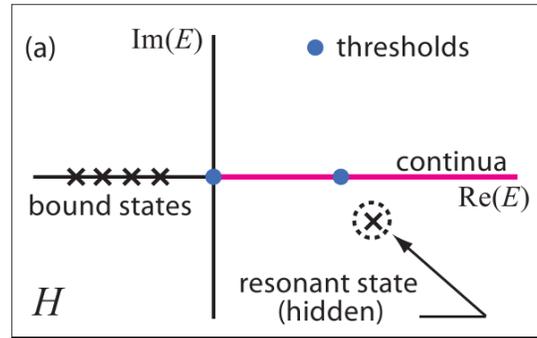
Evidence of channels selectivity



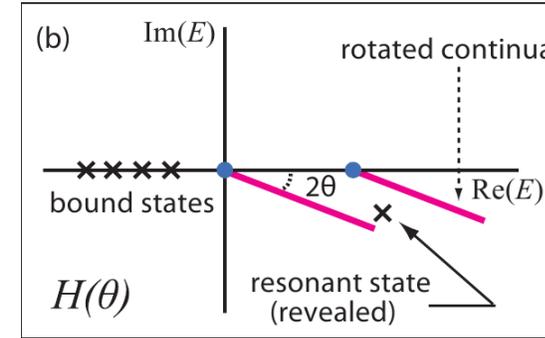
- Coupling to $^{11}\text{Be}+n$ channel reshapes ^{12}Be spectrum.
- Neutron decay strongly influences $^6\text{He}(^6\text{He},\alpha)^8\text{He}$ cross section.
- **Helium clustering survives** high above decay thresholds.



Asymptotically vanishing equivalent problem



Complex scaling



Kruppa et al. PRC89 (2014)

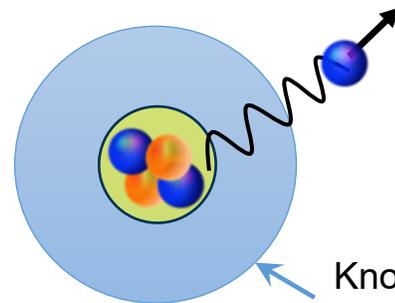
“A” definition of a resonance is that it corresponds to a pole in the S-matrix at the complex energy associated with the resonance location.

$$\hat{H}(r) = \hat{T} + \hat{V}(r)$$



$$\hat{H}(\theta) = e^{-2i\theta}\hat{T} + \hat{V}(re^{i\theta})$$

$$\hat{H}(r) = \hat{U}(\theta)\hat{H}(r)\hat{U}^\dagger(\theta)$$

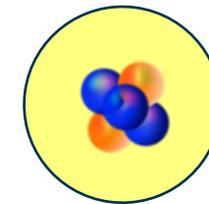


Known asymptotic

$$U(\theta)H(r)U(\theta)^\dagger$$



$$\psi(r, \theta) \underset{\infty}{\sim} e^{-kr \sin \theta}$$



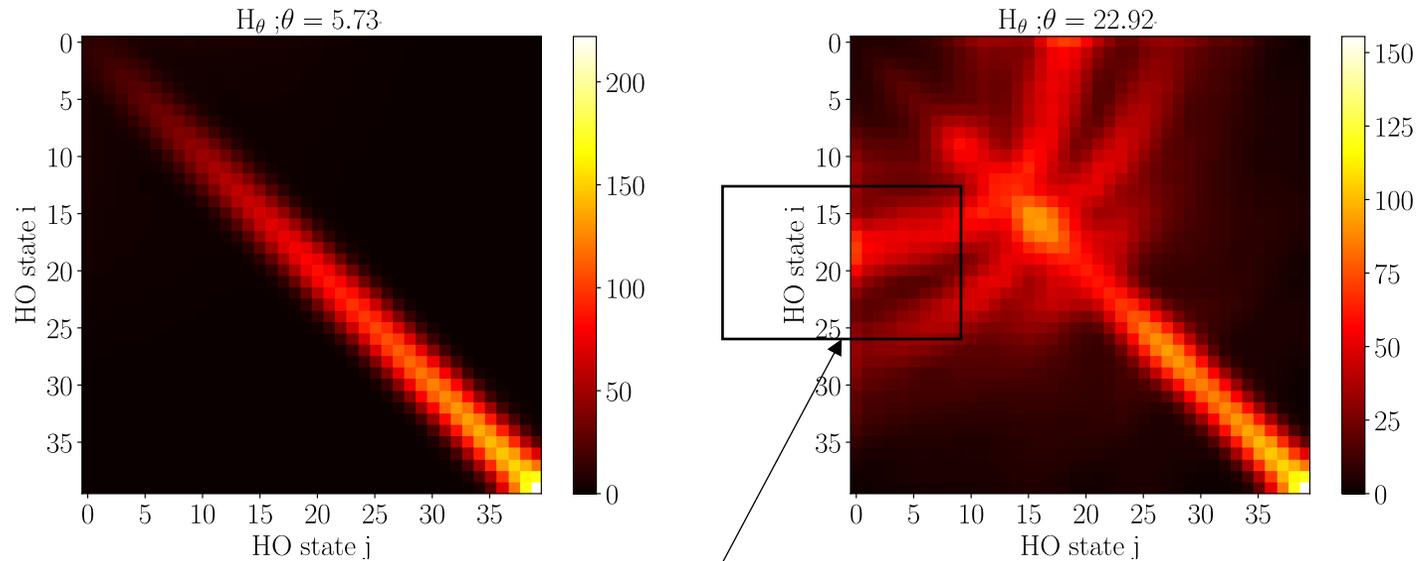
Spatially extended but exponential fall off

Boundary limit problem

Bound state problem



A=2 Hamiltonian matrix elements with complex values*



Large off-diagonal coupling m.e.s

Maximum model space achievable
 $N_{\max} \sim 200$ (100 nodes a box in excess of 20 fm)

- The contour deformation from complex scaling induces a large off-diagonal couplings;
- The latter is a no-go theorem for many-body practitioner as it implies slow UV convergence.

* The absolute value of the elements are shown



In configuration interaction methods we need to soften interaction to address the hard core. We use the Similarity-Renormalization-Group (SRG) method

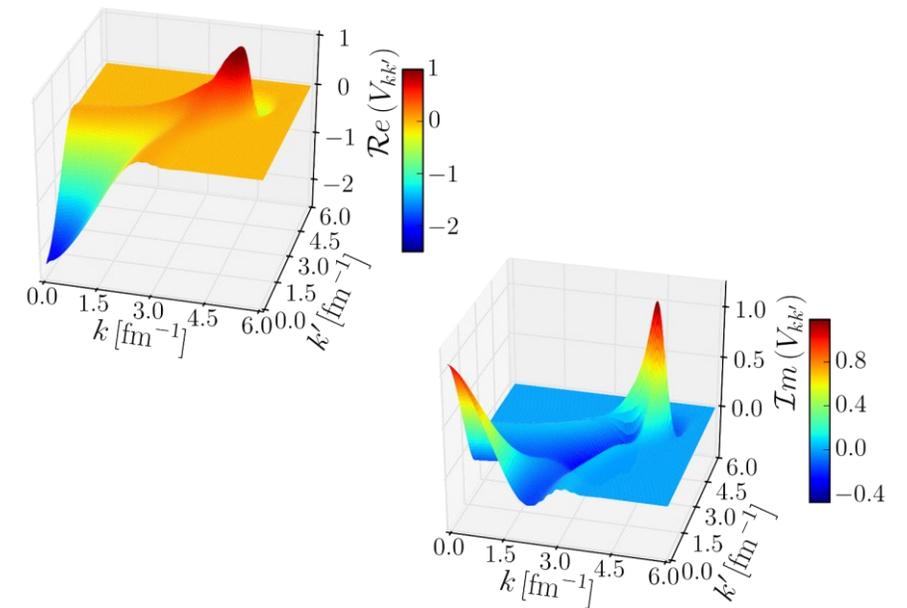
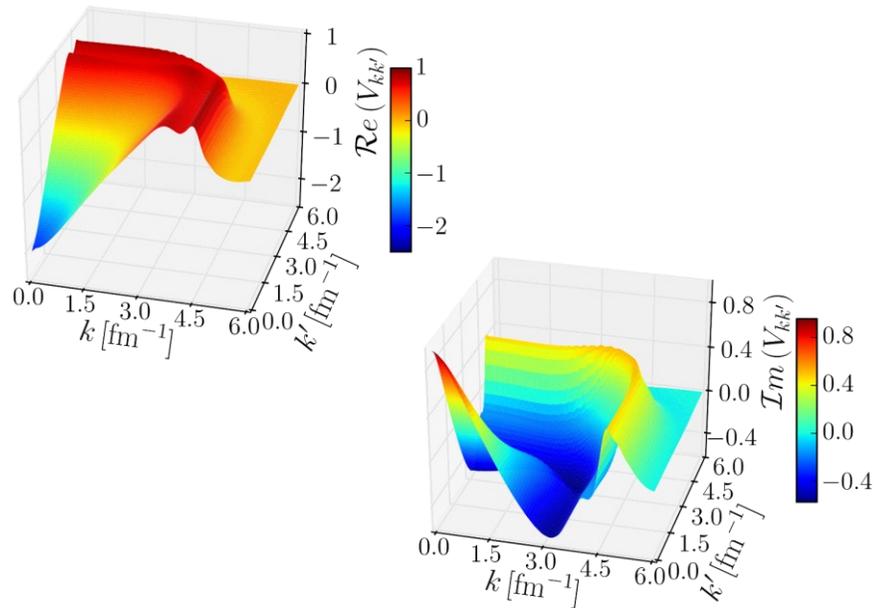
$$H_\lambda(\theta) = U_\lambda H(\theta) U_\lambda^T$$

Similarity Transformation

$$\begin{cases} \frac{dH_\lambda(\theta)}{d\lambda} = -\frac{4}{\lambda^5} [\eta(\lambda), H_\lambda(\theta)] \\ \eta(\lambda) = \frac{dU_\lambda}{d\lambda} U_\lambda^T \end{cases}$$

Evolution with flow parameter λ

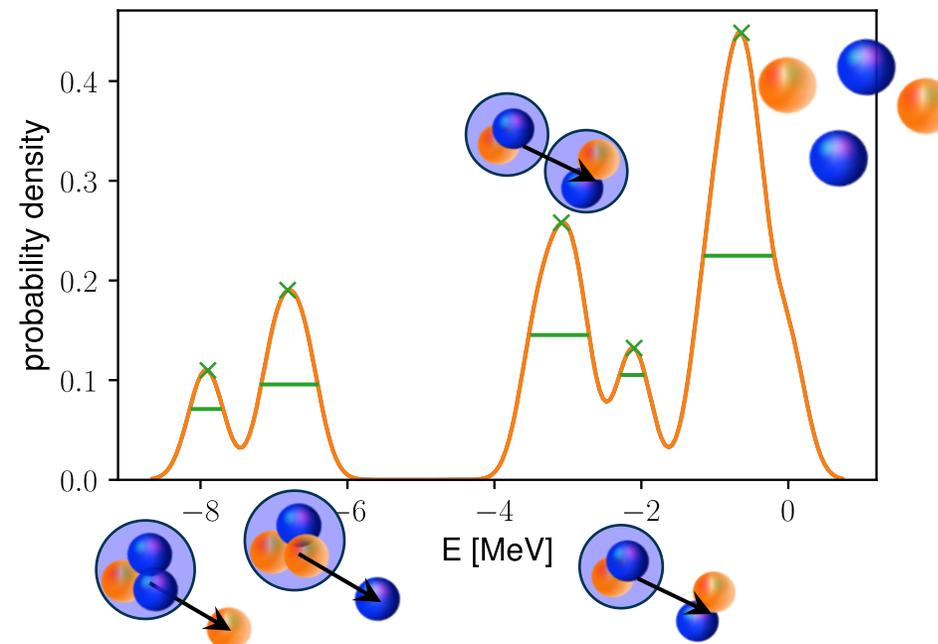
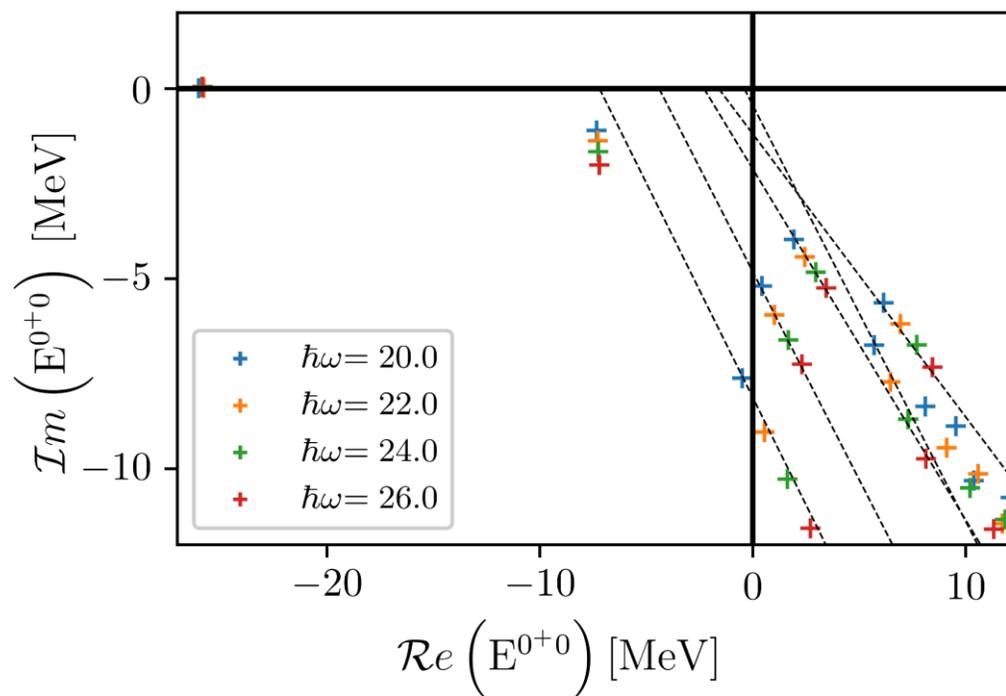
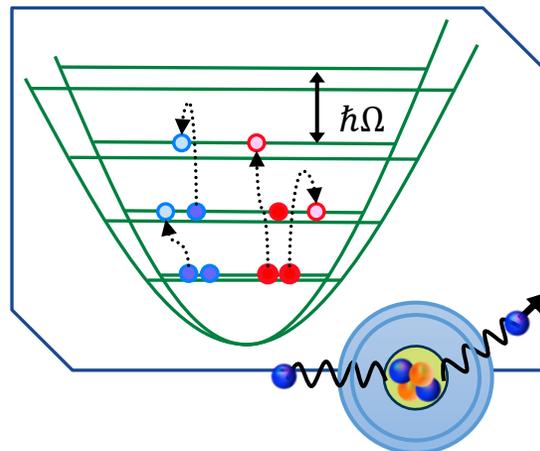
Consistent evolution of the imaginary part





How to extract the thresholds ?

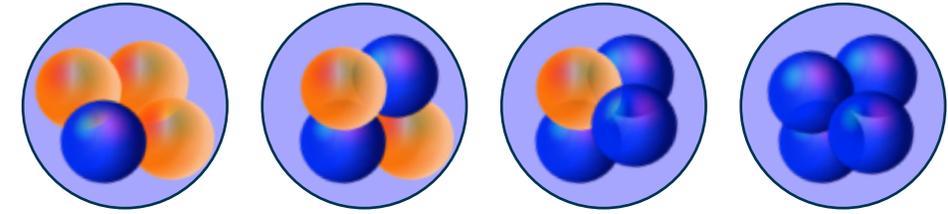
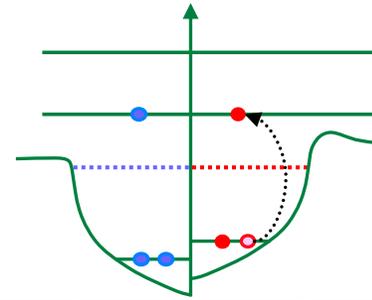
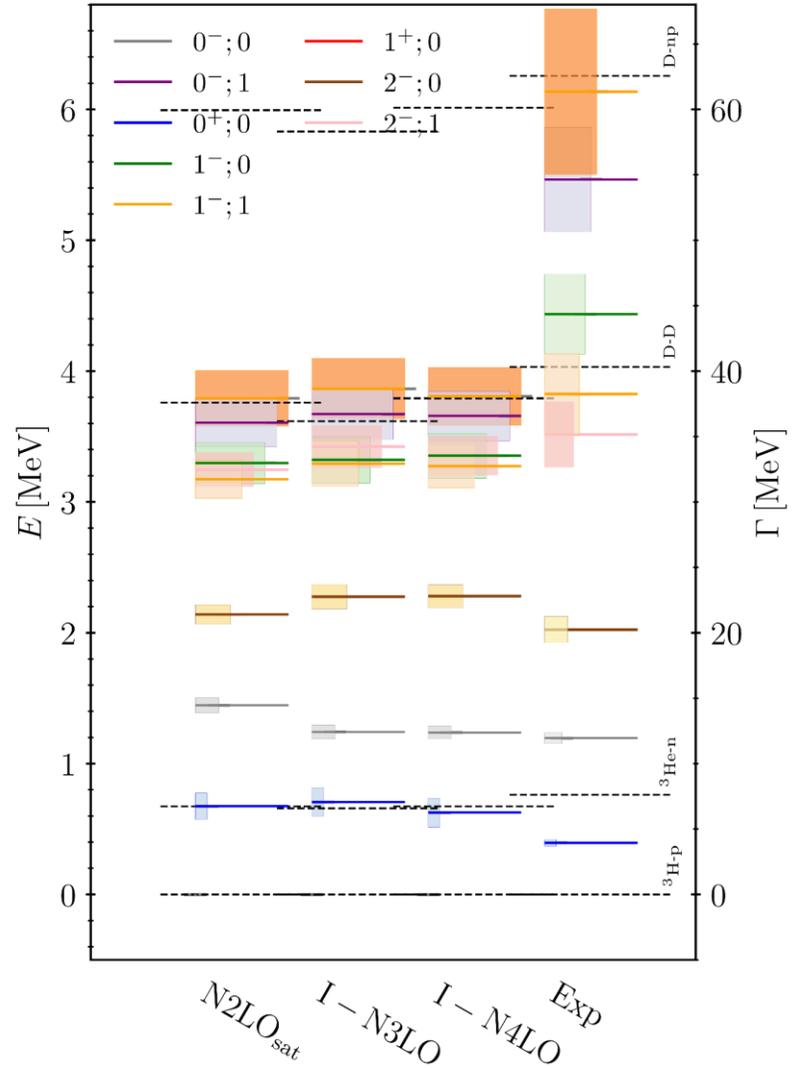
This analysis is made without the induced 3bdy force because of the numerical cost.



- The expected threshold are somewhat recovered w.r.t. experiments;
- Threshold energies do not converge at the same pace as the g.s.



Wrap-up on the spectrum after analysis of the results



${}^4\text{Li}$, ${}^4\text{He}$, ${}^4\text{H}$ and $4n$

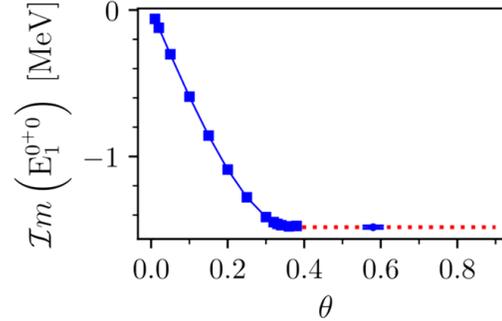
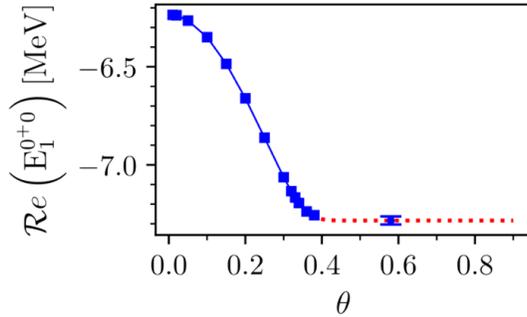
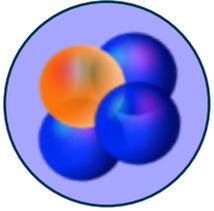
$J^\pi; T$	E_r [MeV]	$\epsilon_{N_{\max}}$	ϵ_θ	Γ_r	$\epsilon_{N_{\max}}$	ϵ_θ	R-matrix		Δ
							E_r	Γ_r	
$0^+; 0$	0.40	-0.04	-0.43	2.00	-0.79	-0.80	0.391	0.50	0.75
$0^-; 0$	1.31	+0.03	-0.52	1.20	-0.38	+0.02	1.199	0.84	0.85
$2^-; 0$	1.98	+0.02	-0.28	1.60	-0.45	+0.04	2.09	2.01	2.25
$1^-; 1$	2.93	-0.16	≈ -1	3.36	-0.80	+0.52	3.829	6.20	3.66
$1^-; 0$	3.21	-0.11	≈ -1	3.94					
$2^-; 1$	3.02	-0.31	≈ -1	3.00					
$0^-; 1$	3.37	-0.15	≈ -1	4.20					
$1^-; 1$	3.45	-0.48	≈ -1	4.89					

Careful extrapolation techniques need to be designed;
 Proof of principle that the CS-Hamiltonian is accurate and can be used in NCSM calculation up to $A \sim 16$;

- Discrepancies with experiments too large to be corrected by 3N forces;



^4H system: a benchmark with Faddeev calculation

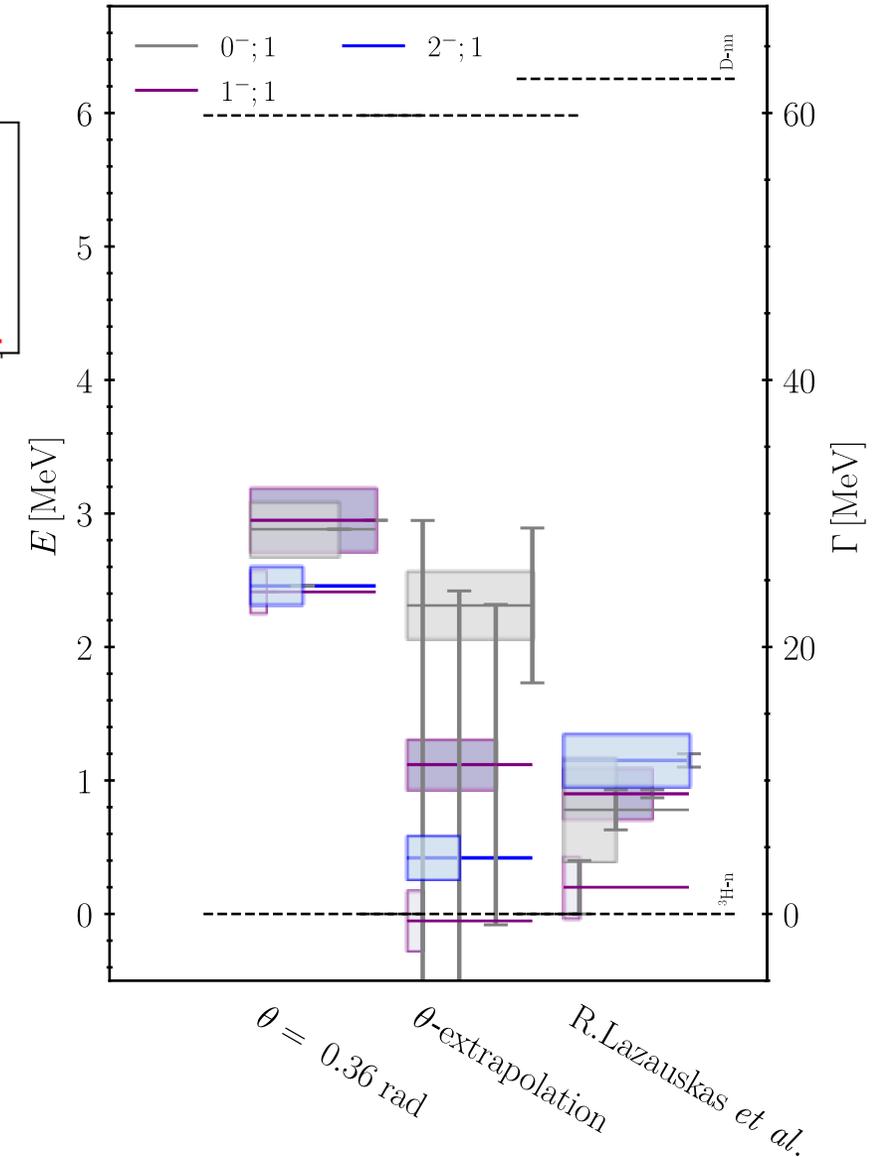


We compare with a calculation based on solving the Faddeev equations.

Deltuva & Lazauskas, 2019, PRC

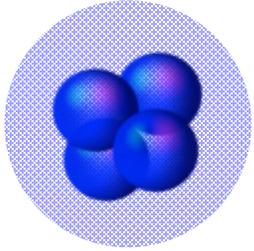
We perform a naïve extrapolation wrt to the CS rotation angle θ .

We find an overall agreement of the calculating with the exact solution (up to 500 keV bias due to the extrapolation).

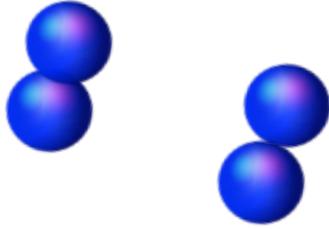




4-neutron: a resonance ?



or



Claimed by experiment

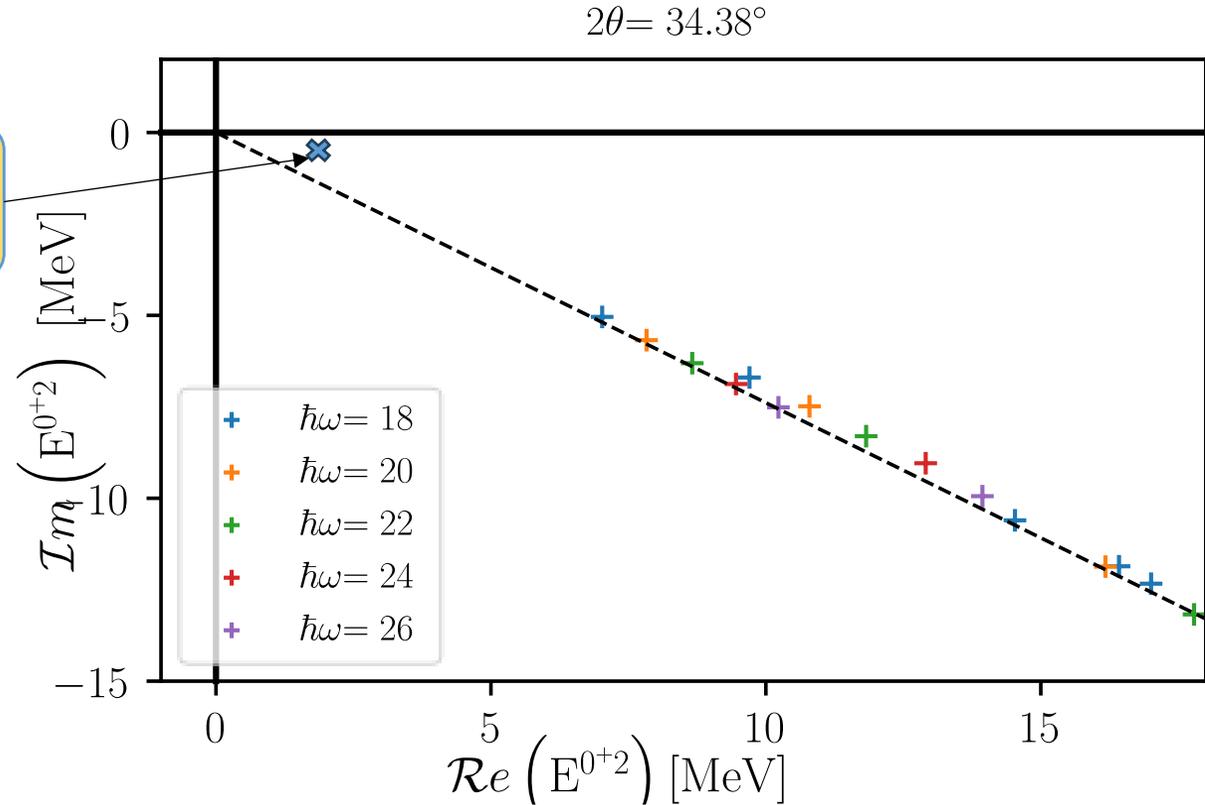
CS shows no indication of such a resonance in 1^+ , 1^- , 0^+ or 0^- .

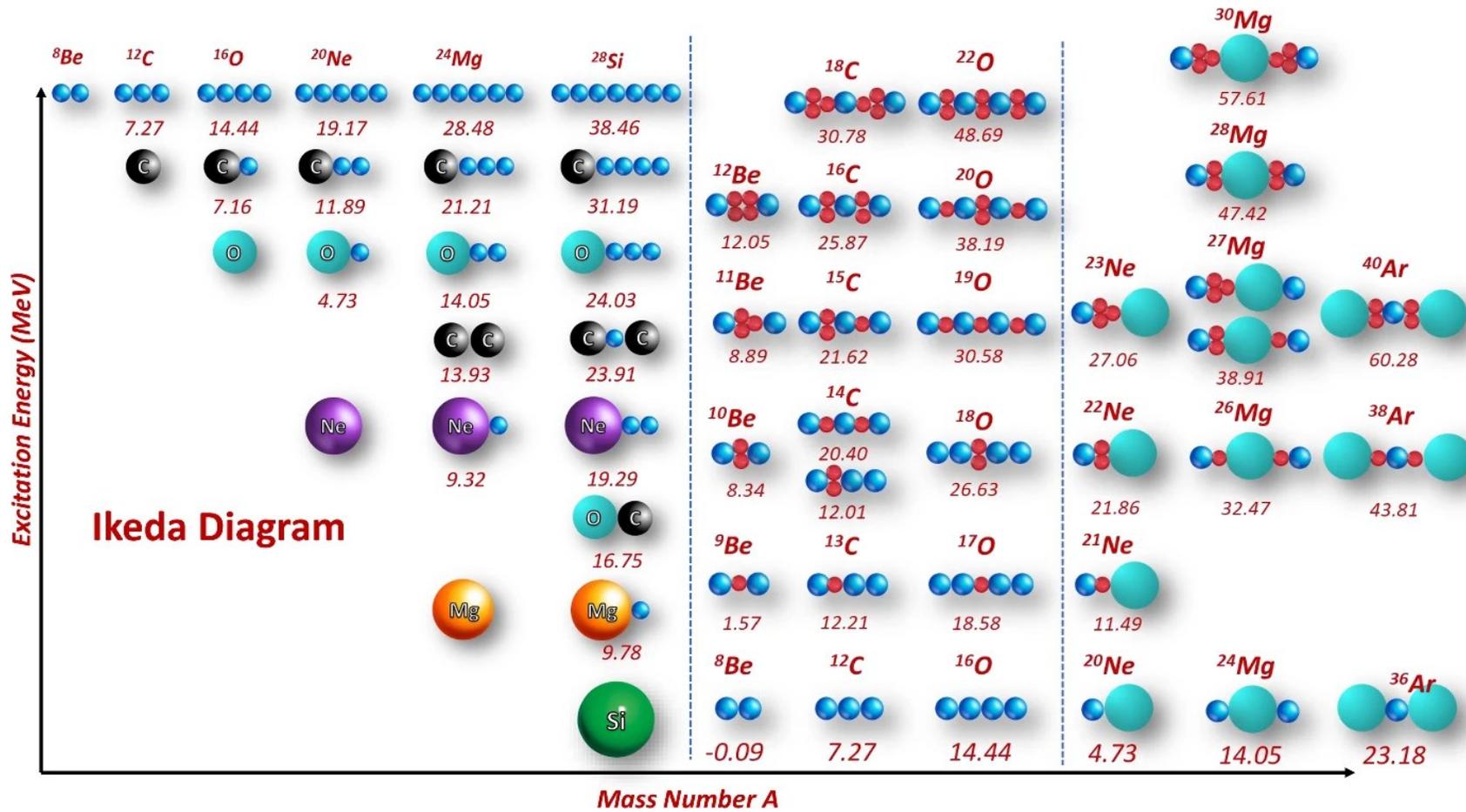
Lower bound of :

$$\Gamma_r = 1.9 E_r$$

or :

$$\Gamma_r = 4.5 \text{ MeV}$$





Thank you !