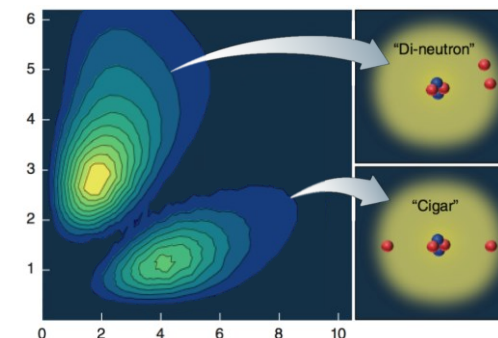
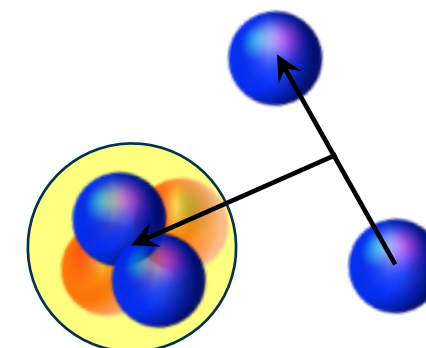
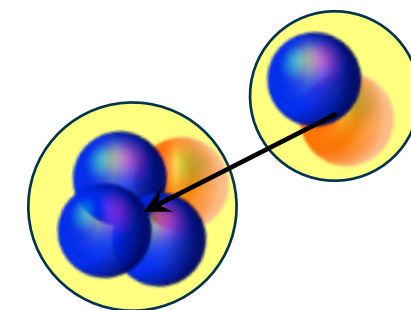
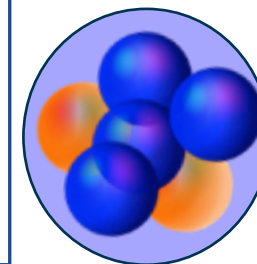
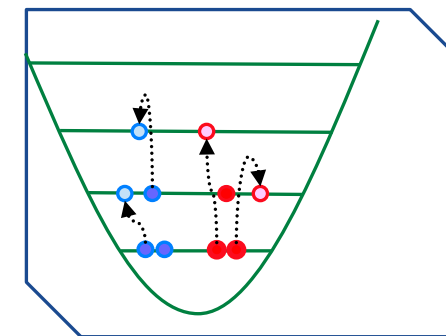


Guillaume Hupin, CNRS IJClab

## Collaborators

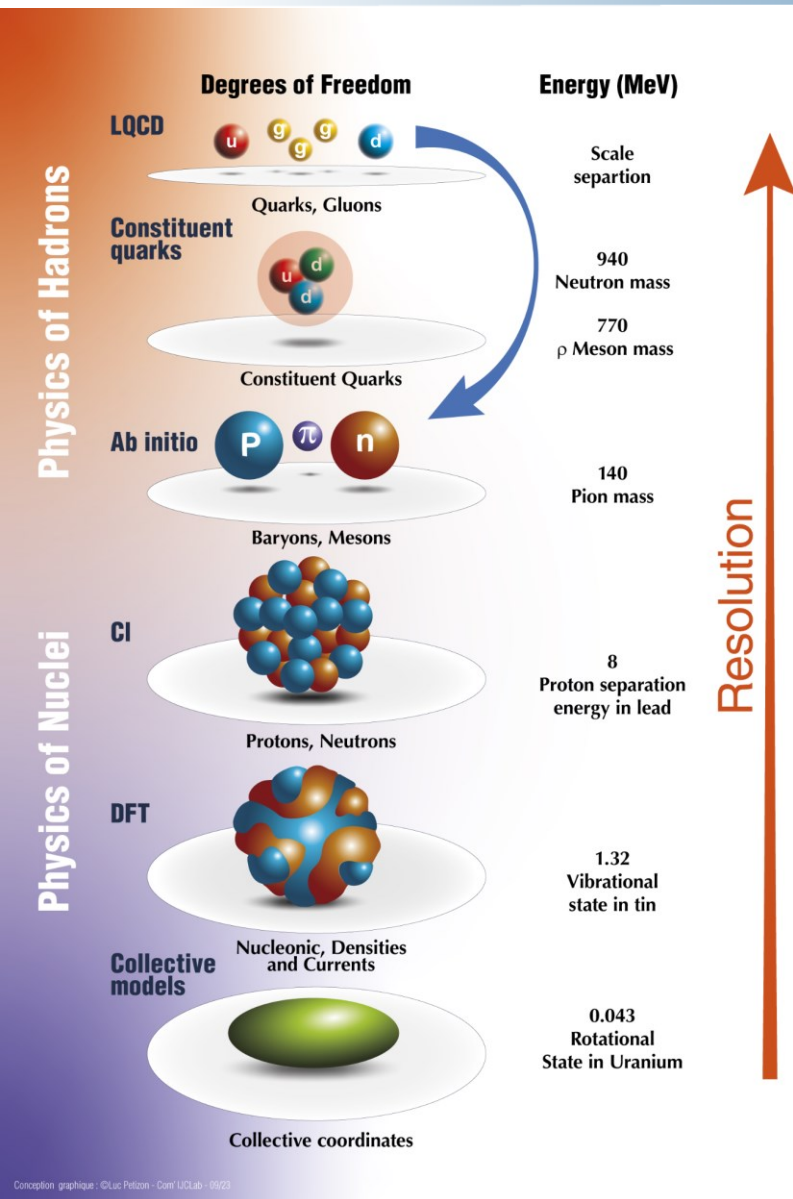
S. Quaglioni (LLNL)  
K. Kravvaris (LLNL)  
P. Navratil (TRIUMF)  
M. Aliotta (UoE)  
and many other  
distinguished colleagues!

# *Ab initio framework for nuclear fusion reactions*





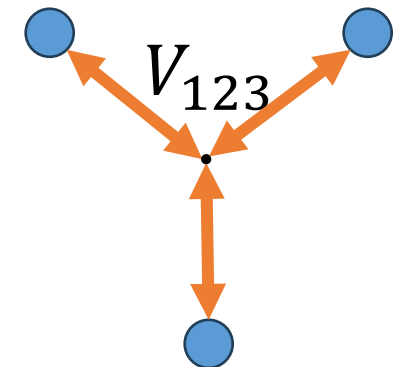
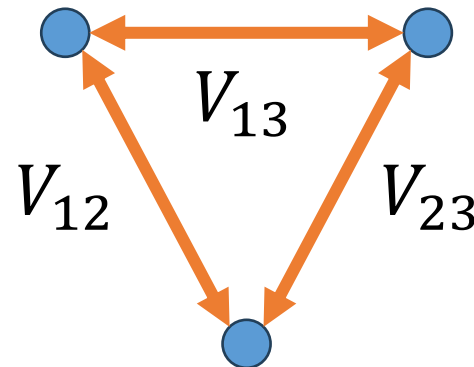
# A story of multiple scale



- Goal: Solving the Schrodinger equation (SE) for an A-body system:

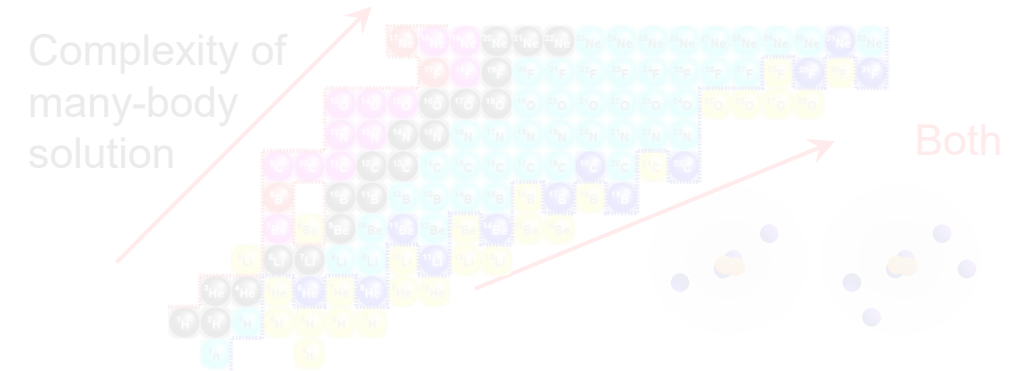
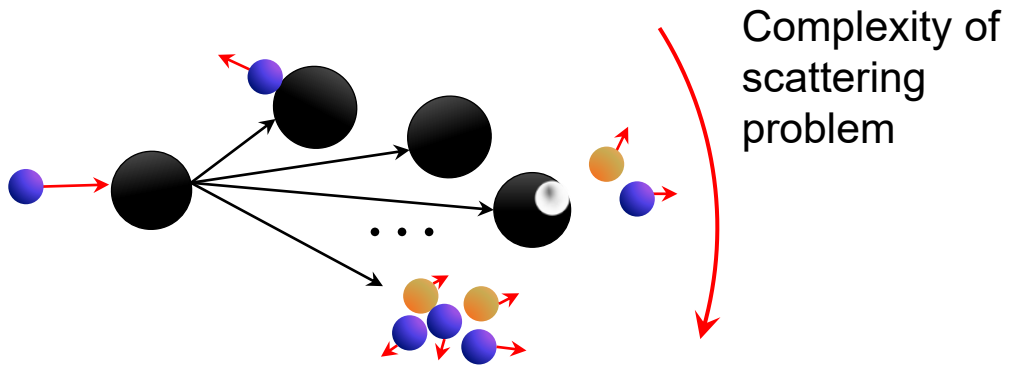
$$H|\psi^{J^{\pi T}}\rangle = E|\psi^{J^{\pi T}}\rangle$$

- Nucleons are considered as point-like particles.
- The SE is solved by considering two and many-body interactions between nucleons





## (ii) Research directions



$\neq n, p$  particles  
interacting with strong  
force ( $M_h \gg M_{n,p}$ )

$$M_h \leq M_{n,p}$$

- ☹ Nuclear theory is **data driven**.
- ☹ Few-body techniques scale **very bad** with the number of constituents in the continuum.

Credits H. Lenske

## One way to solve the many-body problem

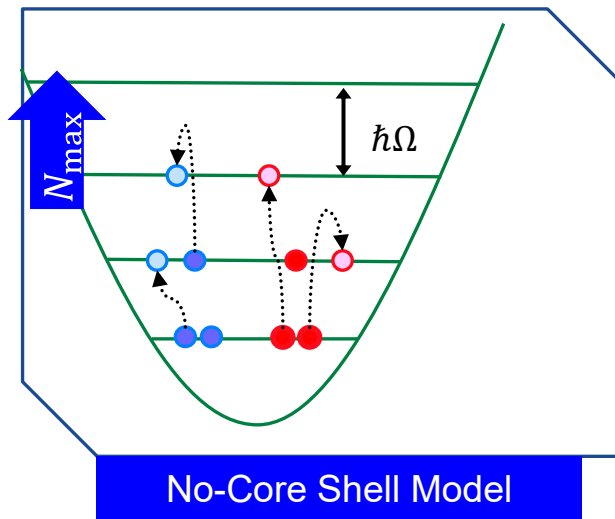
$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^\pi t_z\rangle$$

Mixing coefficients (unknown)      A-body harmonic oscillator states

$$\leftrightarrow |A\lambda J^\pi T\rangle_{SD} \phi_{00}(\vec{R}_{c.m.}^A)$$

Second quantization

Can address bound and low-lying resonances (short range correlations)



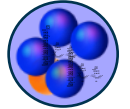
### Advantage of HO CI methods:

1. Center of mass is factorized.
2. Mathematically possible to derived s.p. to Jacobi coordinates transformation.
3. Fourier transform is trivial: NCSM, RGM with HO CI is equivalent in momentum or position space.

- One way to solve the many-body problem when two scales appear

$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^\pi t_z\rangle$$

Mixing coefficients (unknown) A-body harmonic oscillator states



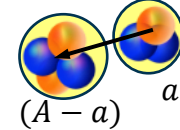
$$|A\lambda J^\pi T\rangle_{SD} \phi_{00}(\vec{R}_{c.m.}^A)$$

Second quantization

Can address bound and low-lying resonances (short range correlations)

$$\Psi_{RGM}^{(A)} = \sum_v \int d\vec{r} g_v(\vec{r}) \hat{A}_v |\Phi_{v\vec{r}}^{(A-a,a)}\rangle$$

Relative wave function (unknown) Antisymmetrizer Channel basis

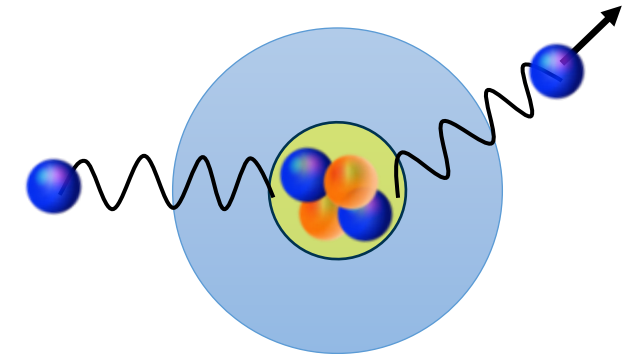


$$\psi_{\alpha_1}^{(A-a)} \psi_{\alpha_2}^{(a)} \delta(\vec{r} - \vec{r}_{A-a,a})$$

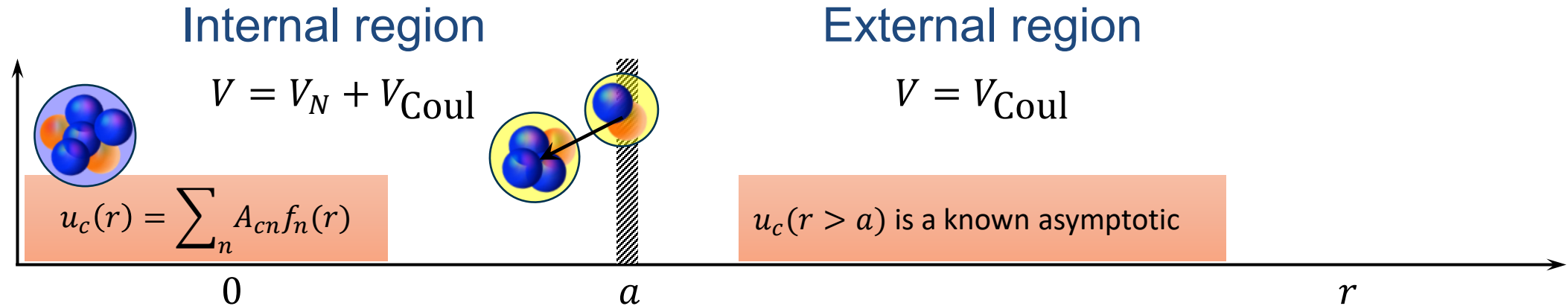
Cluster expansion technique

Many-body basis is twice as large as  $\Psi_{NCSM}$

- $\psi_{\alpha_1}^{(A-a)} \in \mathcal{H}^{N_{\max}}$
- $\psi_{\alpha_2}^{(a)} \in \mathcal{H}^{N_{\max}}$



NCSM/RGM  
Cluster formalism for  
elastic/inelastic



Decomposition on a Lagrange mesh.

NCSMC can be cast as Bloch-Schrödinger equation:

$$(C - EI)\vec{X} = Q(B)$$

And solved using R-matrix, which in the eigen basis of  $C - EI$  reads:

$$R_{cc'} = \sum_{\lambda} \frac{\gamma_{\lambda c} \gamma_{\lambda c'}}{E_{\lambda} - E}$$

Simple for binary reacting system, more involved for neutral ternary system and extremely challenging for charged breakup.



$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^\pi t_z\rangle$$

Mixing coefficients (unknown)  $\rightarrow$  A-body harmonic oscillator states

$$\Psi_{RGM}^{(A)} = \sum_v \int d\vec{r} g_v(\vec{r}) \hat{A}_v |\Phi_{v\vec{r}}^{(A-a,a)}\rangle$$

Relative wave function (unknown)  $\rightarrow$  Antisymmetrizer  $\rightarrow$  Channel basis

## Configuration Interaction (CI):

- Eigen-value problem  $\rightarrow$  Matrix diagonalization:  
 $\hat{H}\phi_n = \varepsilon_n\phi_n$

## No Core Shell Model (NCSM):

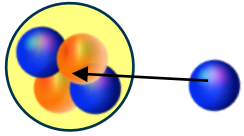
- HO wavefunctions;
- Single particle basis;
- Jacobi basis.

## NCSM with continuum (NCSMC):

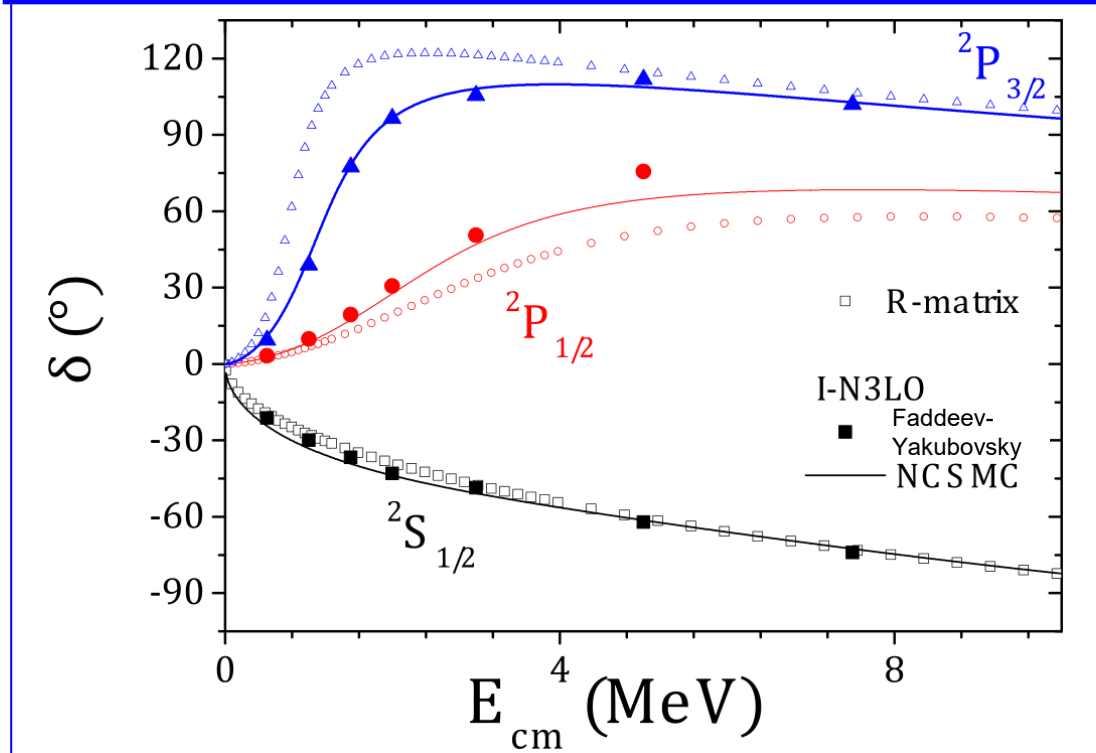
- For computing reactions and exotic nuclei.

## Limitations:

- Resonance properties cannot be accessed directly.
- Reaction channels must be introduced manually.

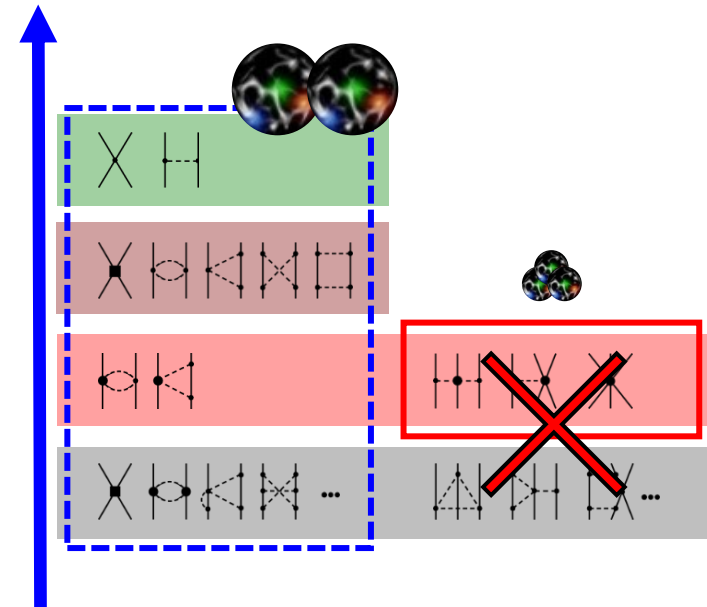


## Benchmark: scattering phase shifts NCSMC/FY

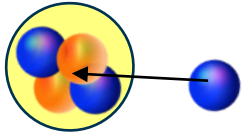


R. Lazauskas, PRC **97** (2018).\*  
\*there is a more recent paper with 3N force.

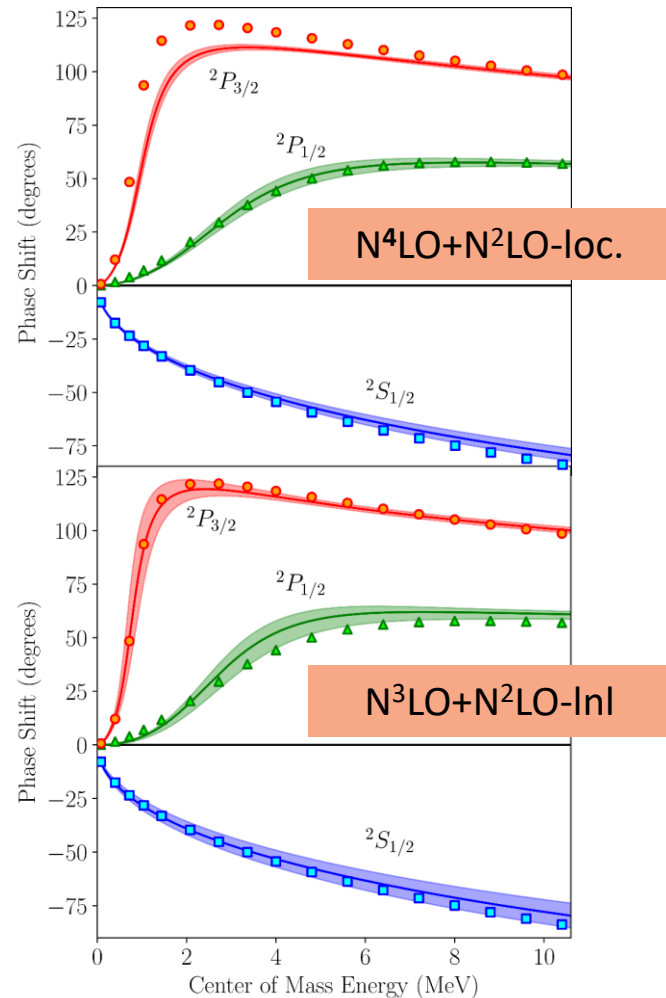
- **Good agreement between the two methods.**



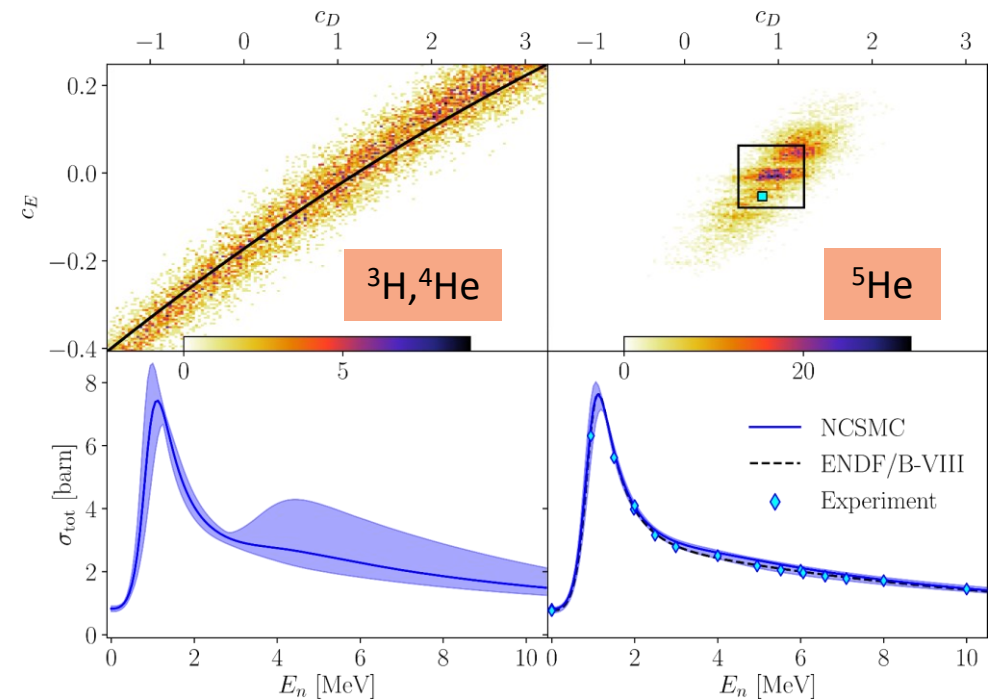




## Sensitivity to $c_D$ and $c_E$



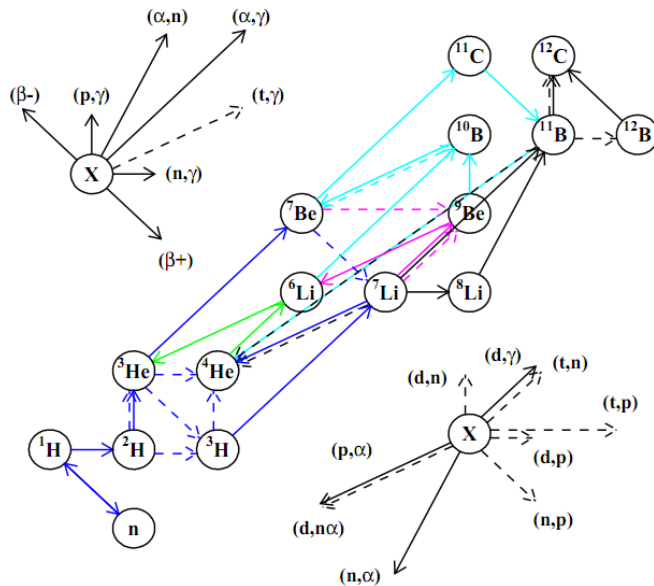
- NN-N4LO + 3N-N2LO **cannot reproduce** the p-wave splitting.
- Tighter posterior distribution if the properties of the  $^5He$  are included in the fit of 3N LEC.





# Low-energy Transfer reactions ( $d, N$ )

## Primordial Nucleosynthesis (blue)

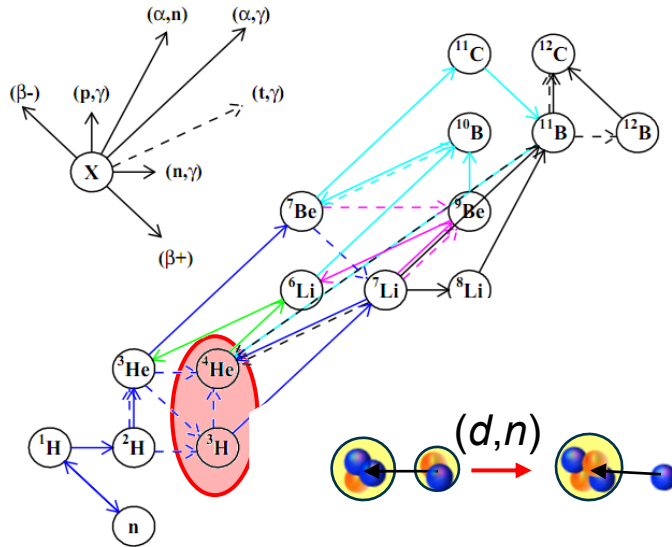


H 1	He 2	Big Bang fusion	Dying low-mass stars	Exploding massive stars	Human synthesis No stable isotopes
Li 3	Be 4	Cosmic ray fission	Merging neutron stars	Exploding white dwarfs	
Na 11	Mg 12				B 5
K 19	Ca 20				C 6
Rb 37	Sr 38				N 7
Cs 55	Ba 56				O 8
					F 9
					Ne 10
					Al 13
					Si 14
					P 15
					S 16
					Cl 17
					Ar 18
					Kr 36
					Br 35
					Se 34
					As 33
					Ge 32
					Ga 31
					Zn 30
					Cu 29
					Ni 28
					Co 27
					Fe 26
					Mn 25
					Cr 24
					V 23
					Ti 22
					Sc 21
					Ca 20
					K 19
					Na 11
					Li 3
					H 1

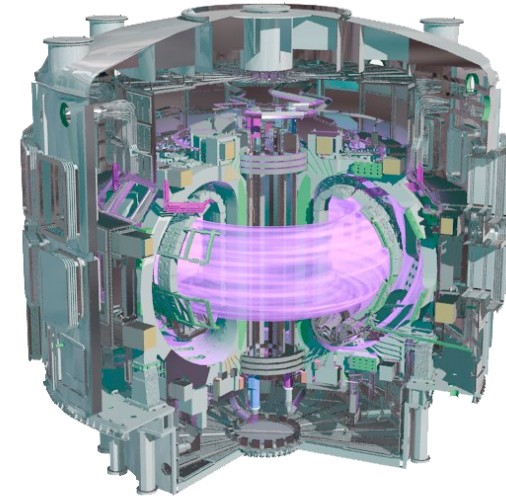


# Low-energy Transfer reactions ( $d, N$ )

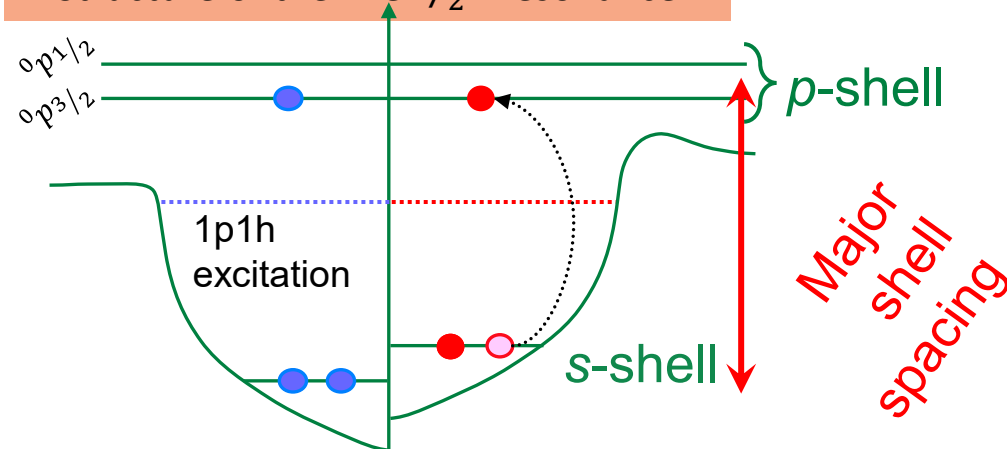
## Primordial Nucleosynthesis (blue)



## ITER design (Cadarache, France)



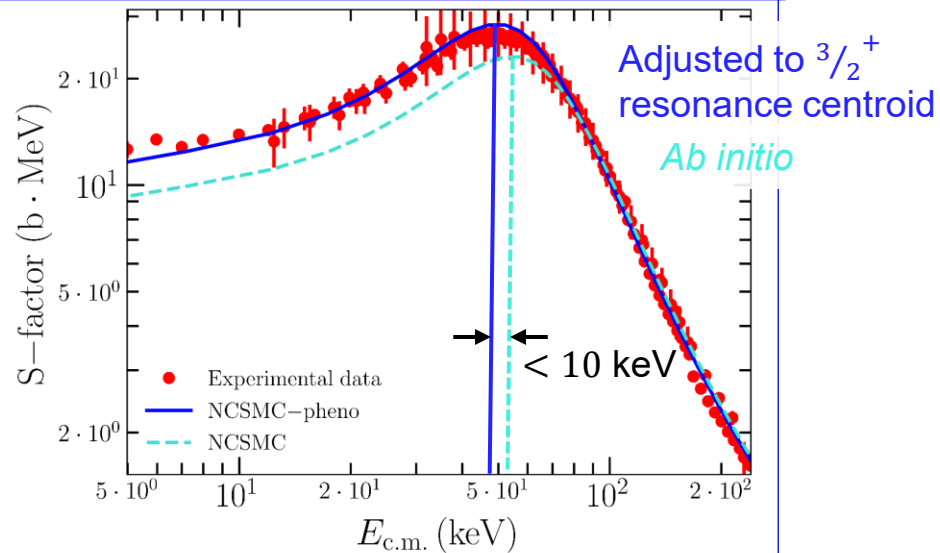
## Structure of the $^5\text{He } 3/2^+$ resonance



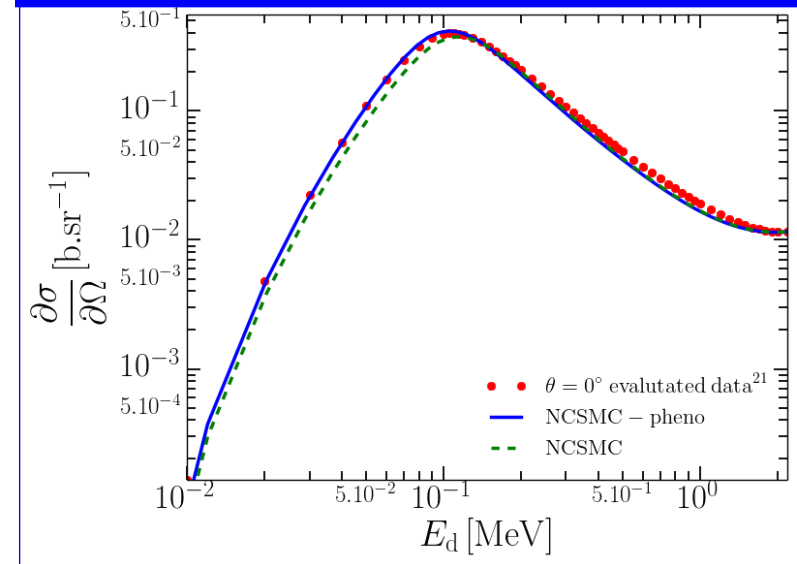


## ${}^3\text{H}(d,n){}^4\text{He}$ fusion reaction: benchmark to data and evaluation

### S-factor: computed and data



### Angular distribution at $\theta = 0^\circ$



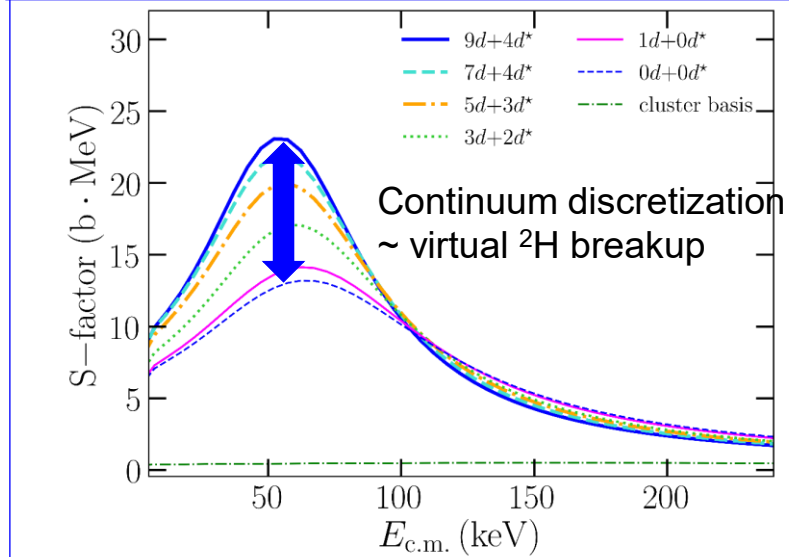
M. Drosch and N. Otuka, INDC(AUS)-0019 (2015).

- The S-factor is globally well reproduced.
- The accurate **reproduction** (of the order of keV) of the **resonance position/width** is **essential**.
- Shape of the angular distribution **agrees** with recent **evaluation**.

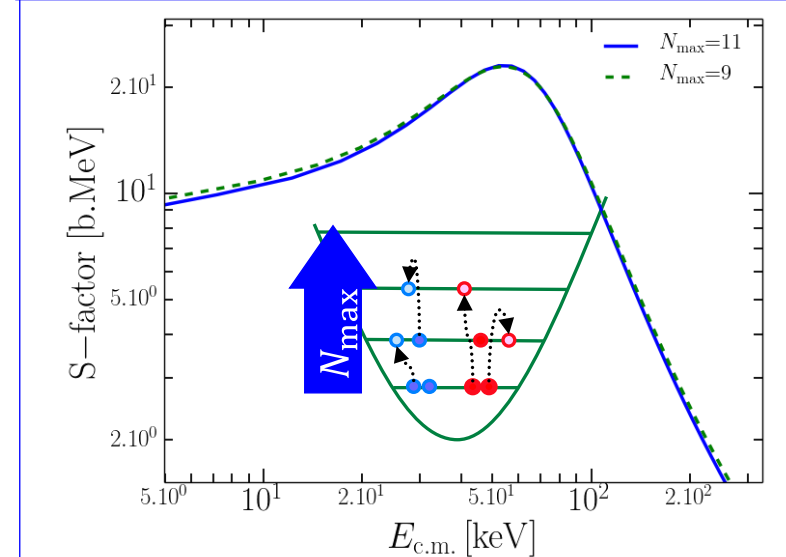


## $^3\text{H}(d,n)^4\text{He}$ fusion reaction: Model convergence

### Convergence wrt $^2\text{H}$ continuum



### Convergence with $N_{\text{max}}$

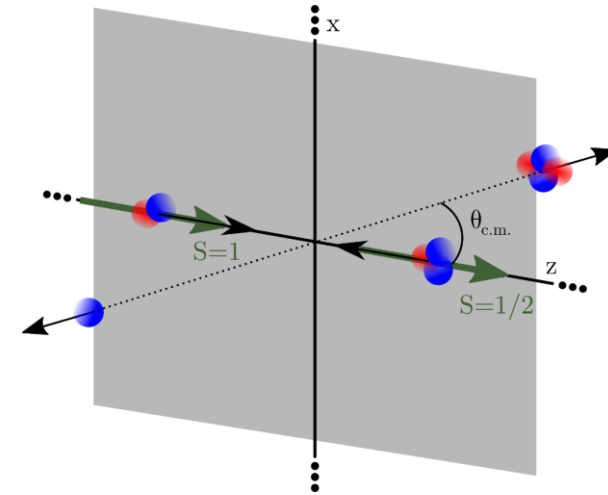
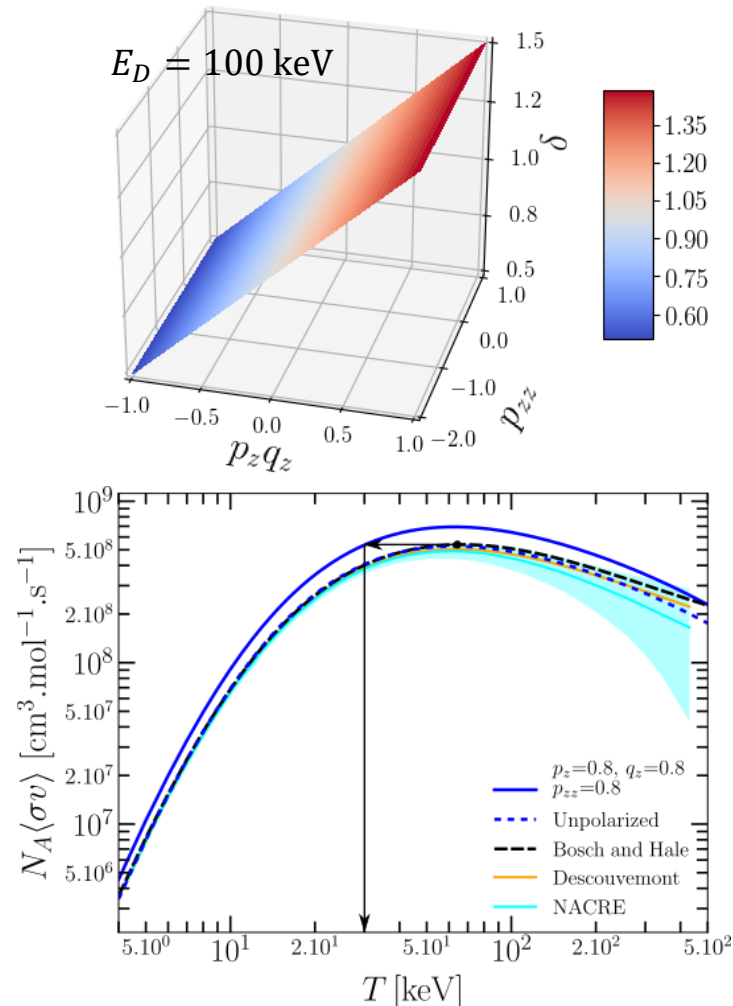


$^5\text{He}(^4S_{3/2})$	$E_r$ (keV)	$\Gamma_r$ (keV)
Cluster basis (D g.s. only)	105	1100
Cluster basis	120	570
NCSMC (D g.s. only)	65	160
NCSMC	55	110
NCSMC-pheno	50	98
R-matrix <small>G.M. Hale, et al. PRL 59 (1987).</small>	48	74

- Discretization of  $^2\text{H}$  is **essential** for the reproduction of the S-factor.
- Stable behavior with respect to the number of  $^2\text{H}$  pseudo states.
- Converged with  $N_{\text{max}}$ .

correlated

## Enhancement factor and reaction rate



Reactant spins are prepared in a configuration

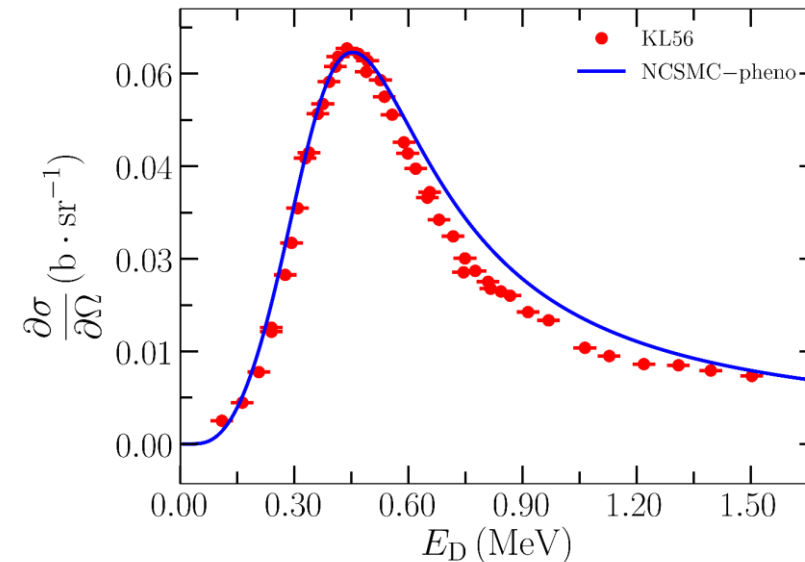
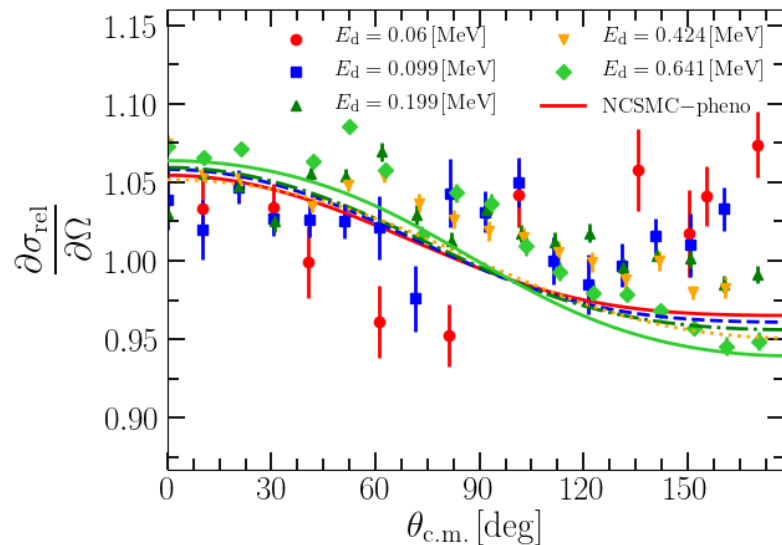
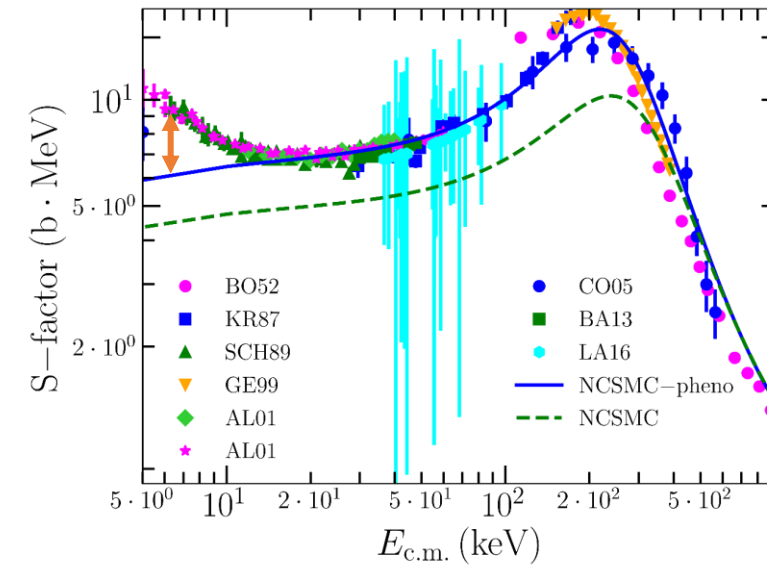
$$\frac{\partial \sigma^{\text{polar}}}{\partial \Omega}(\theta) = \frac{\partial \sigma}{\partial \Omega}(\theta) \left( 1 + \frac{1}{2} p_{zz} A_{zz}(\theta) + \frac{3}{2} p_z q_z C_{z,z}(\theta) \right)$$

- Predictions** for polarized  ${}^3\vec{\text{H}}(\vec{d}, n){}^4\text{He}$  enhancement factor and reaction rate.
- Confirmation** of maximum enhancement ( $\delta = 1.5$ ) scenario.
- Ab initio* calculation shows that  $\delta = 1.38$  can be achieved in lab.



## $^3\text{He}(d,p)^4\text{He}$ fusion reaction: mirror reaction, globally similar to DT

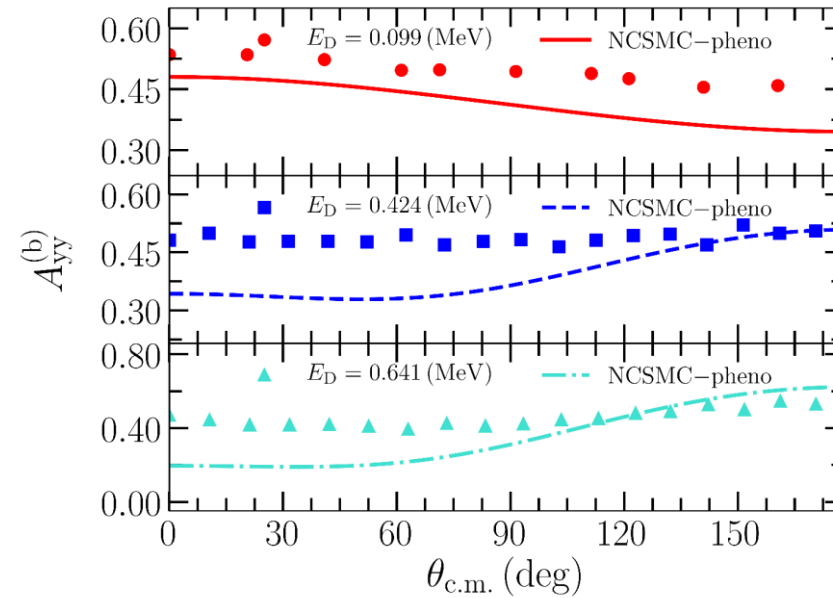
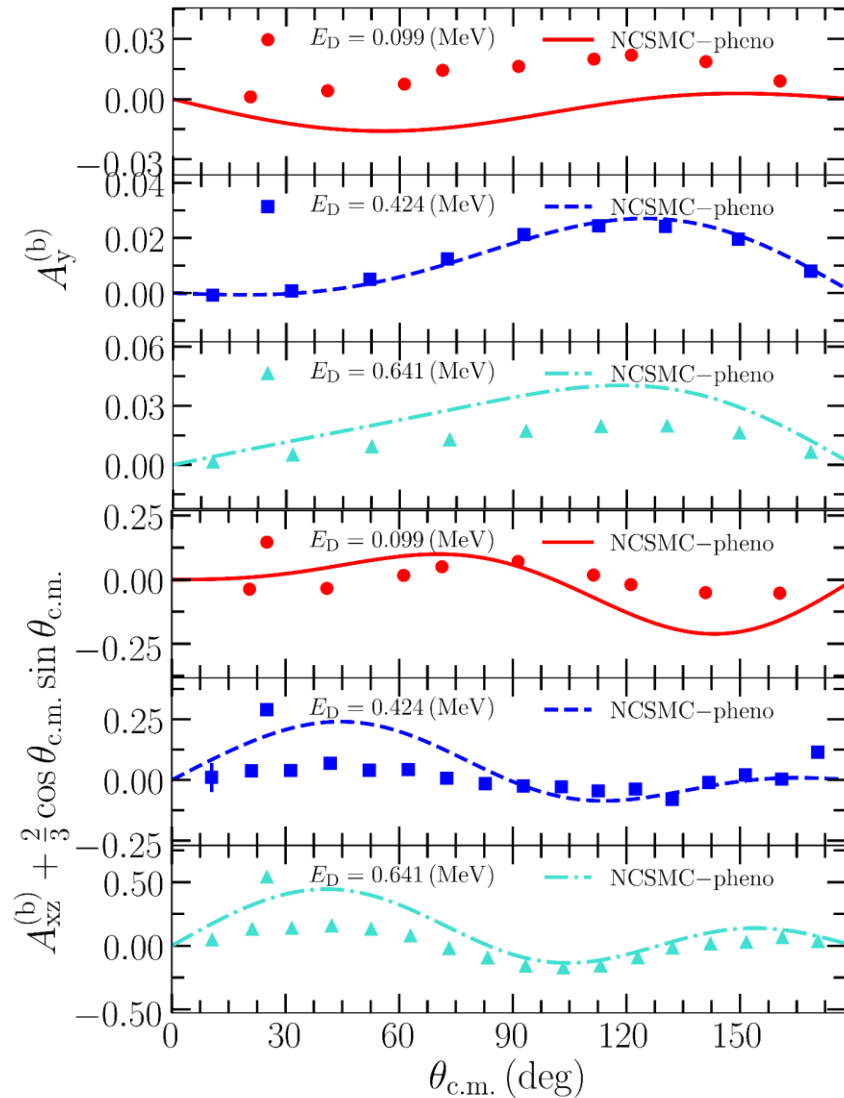
- The S-factor is globally well reproduced.
- However, there are **discrepancies** between data sets around the peak of the S-factor.
- Influence of p- and d-waves in agreement with data.







## $^3\text{He}(\vec{d}, p)^4\text{He}$ : analyzing tensors

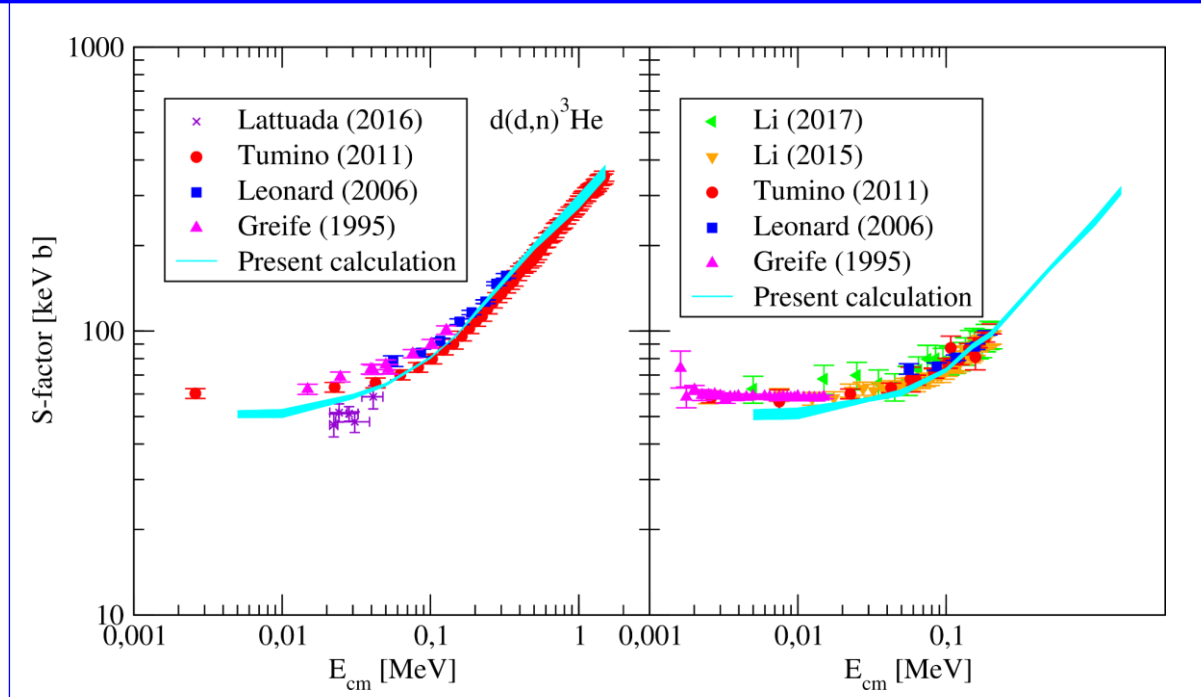


W.H. Geist, et al. PRC60 (1999).

**Deviations** from a pure s-wave of the analyzing tensors are globally reproduced in shape but their amplitude is not.



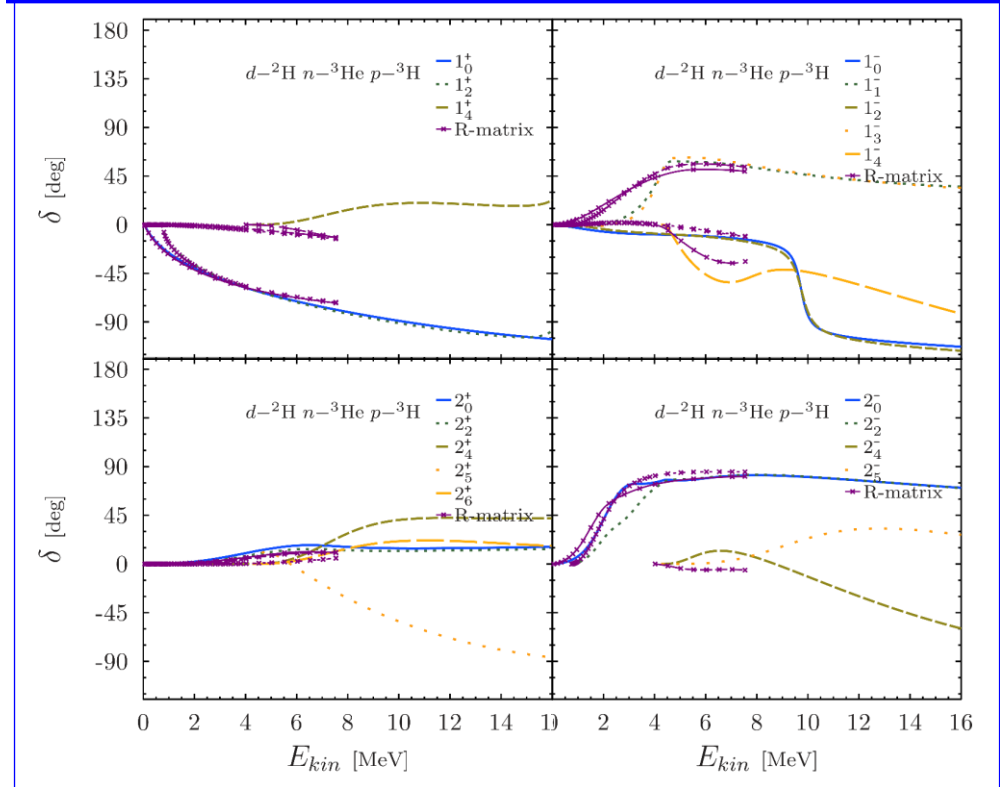
## HH and Kohn variational principle for DD fusion



M. Viviani, L. Girlanda *et al.* PRL**130** 122501 (2023).

Excellent agreement with data for the low-energy fusion.

## NCSMC phase shifts NN+3N-LNL



Reasonable agreement with R-matrix analysis of data over large energy range.

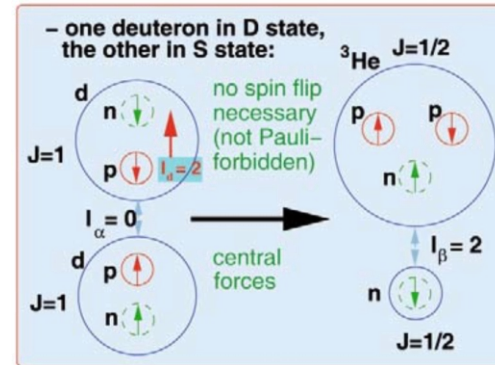
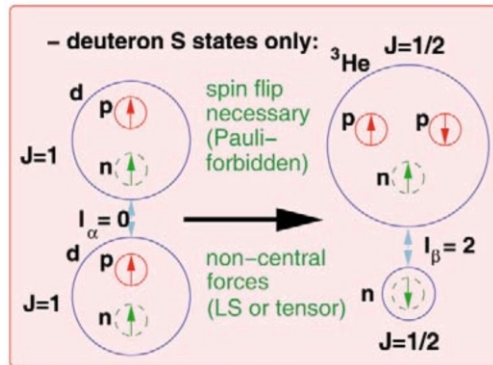


## DD fusion: quintet suppression for fusion

Advanced design for an aneutronic reactor..

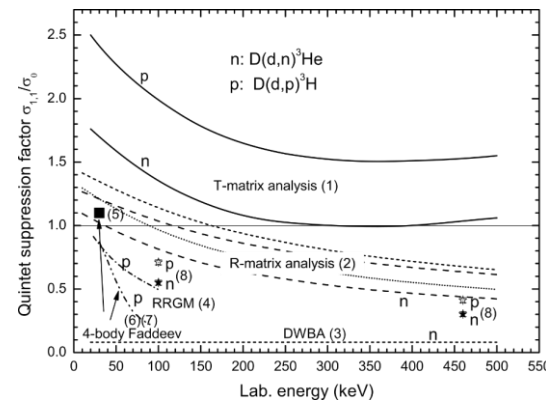
$$\sigma_{tot} = \frac{1}{9} \left( \underset{\text{quintet}}{2\sigma_{1,1}} + \underset{\text{triplet}}{4\sigma_{1,0}} + \underset{\text{singlets}}{\sigma_{0,0} + \sigma_{1,-1}} \right)$$

S-wave quintet transitions via:

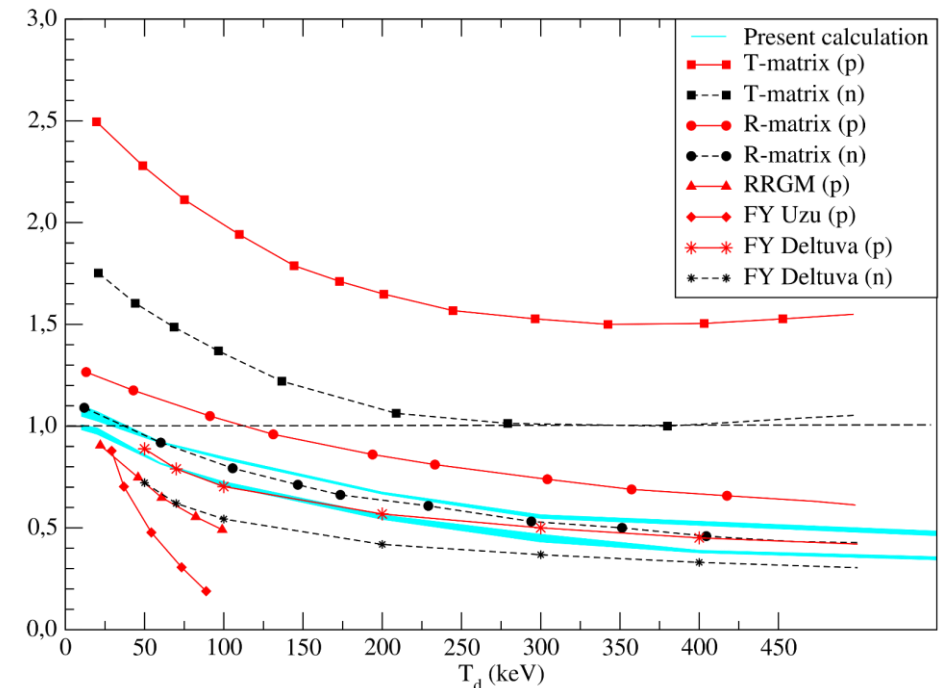


H.P. gen. Schieck EPJA44 (2010).

- No experimental data
- No clear cut evidence from calculations:
  1. Low-energy
  2. Coulomb.
  3. Lack of complete nuclear interaction.



## Quintet suppression factor




M. Viviani, L. Girlanda *et al.* PRL130 122501 (2023).

One way to solve the many-body problem

$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^\pi t_z\rangle$$

Mixing coefficients (unknown)      A-body harmonic oscillator states




$$|A\lambda J^\pi T\rangle_{SD} \phi_{00}(\vec{R}_{c.m.}^A)$$

Second quantization

“Trivial” to create a **basis of boosted NCSM** wave functions

Advantage of HO CI methods:

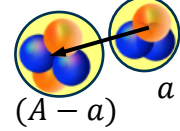
1. Center of mass is factorized.



$$|A\lambda J^\pi T\rangle_{SD} \phi_{nl}(\vec{R}_{c.m.}^A)$$

Second quantization

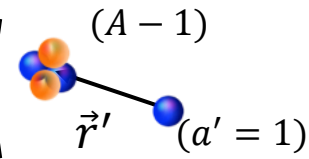
Span the same basis as



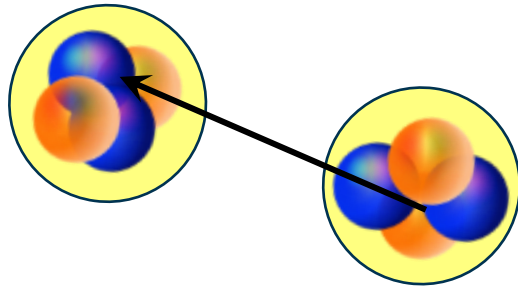
$$\psi_{\alpha_1}^{(A-a)} \psi_{\alpha_2}^{(a)} \delta(\vec{r} - \vec{r}_{A-a,a})$$



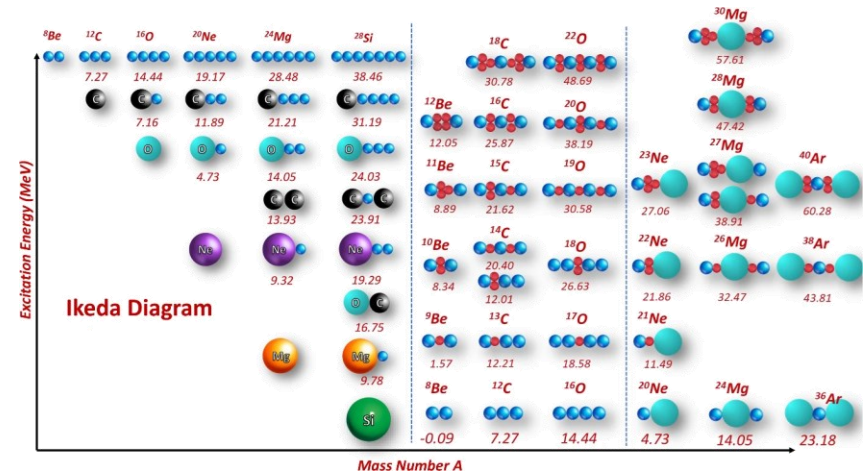
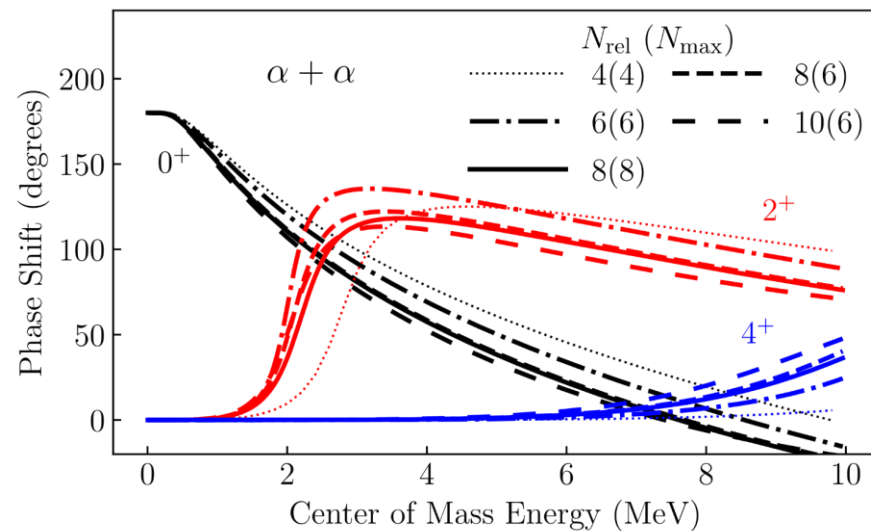
$$\phi_n^A = \frac{1}{\sqrt{A!}} \begin{vmatrix} \phi_i(\vec{r}_1) & \dots & \phi_i(\vec{r}_A) \\ \vdots & \ddots & \vdots \\ \phi_l(\vec{r}_1) & \dots & \phi_l(\vec{r}_A) \end{vmatrix} = a_l^\dagger \dots a_i^\dagger |0\rangle$$



$$\left\langle \begin{matrix} (A-1) \\ \vec{r}' \end{matrix} \right| \mathcal{A} H \mathcal{A} \left| \begin{matrix} (A-1) \\ \vec{r} \end{matrix} \right\rangle$$

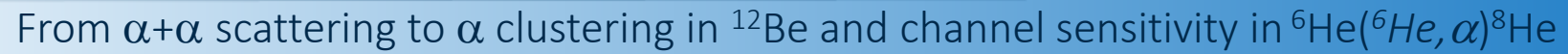


## $\alpha+\alpha$ scattering phase-shift

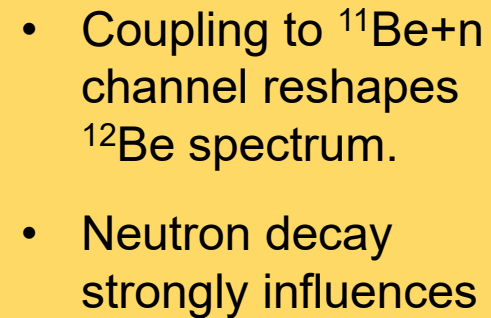


I. Lombardo *et al.* Riv. Nuovo Cim. **46** (2023)

- *Ab initio* NCSM/RGM calculation of  $\alpha-\alpha$  scattering using chiral NN+3N;
- **3N regulator** choice strongly affected resonance positions;
- **NN+3Nnl** give best agreement with experimental data.



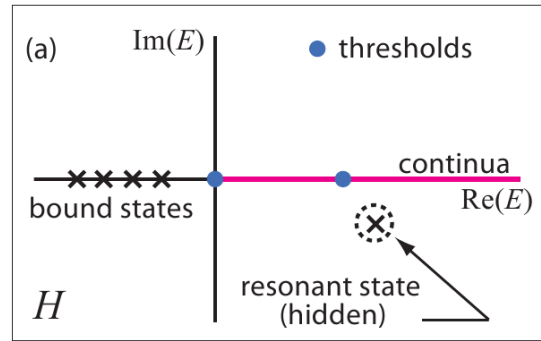
## Evolution of $^{12}\text{Be}$ with cluster structure



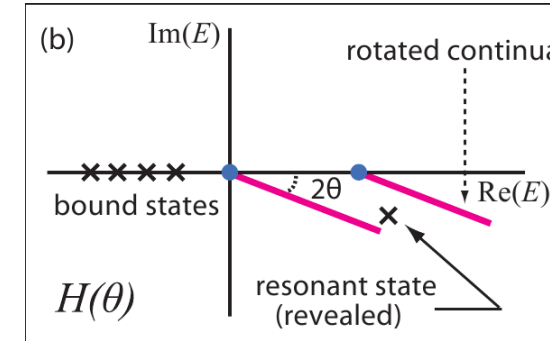
- **Helium clustering survives** high above decay thresholds.



# Asymptotically vanishing equivalent problem



Complex scaling



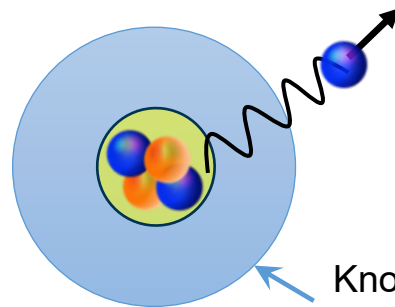
Kruppa et al. PRC89 (2014)

“A” definition of a resonance is that it corresponds to a pole in the S-matrix at the complex energy associated with the resonance location.

$$\hat{H}(r) = \hat{T} + \hat{V}(r)$$



$$\begin{aligned}\hat{H}(\theta) &= e^{-2i\theta}\hat{T} + \hat{V}(re^{i\theta}) \\ \hat{H}(r) &= \hat{U}(\theta)\hat{H}(\theta)\hat{U}^\dagger(\theta)\end{aligned}$$

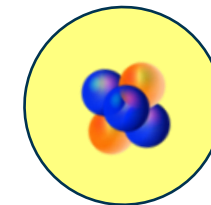


Known asymptotic

$$U(\theta)H(r)U(\theta)^\dagger$$



$$\psi(r, \theta) \underset{\infty}{\sim} e^{-kr \sin \theta}$$



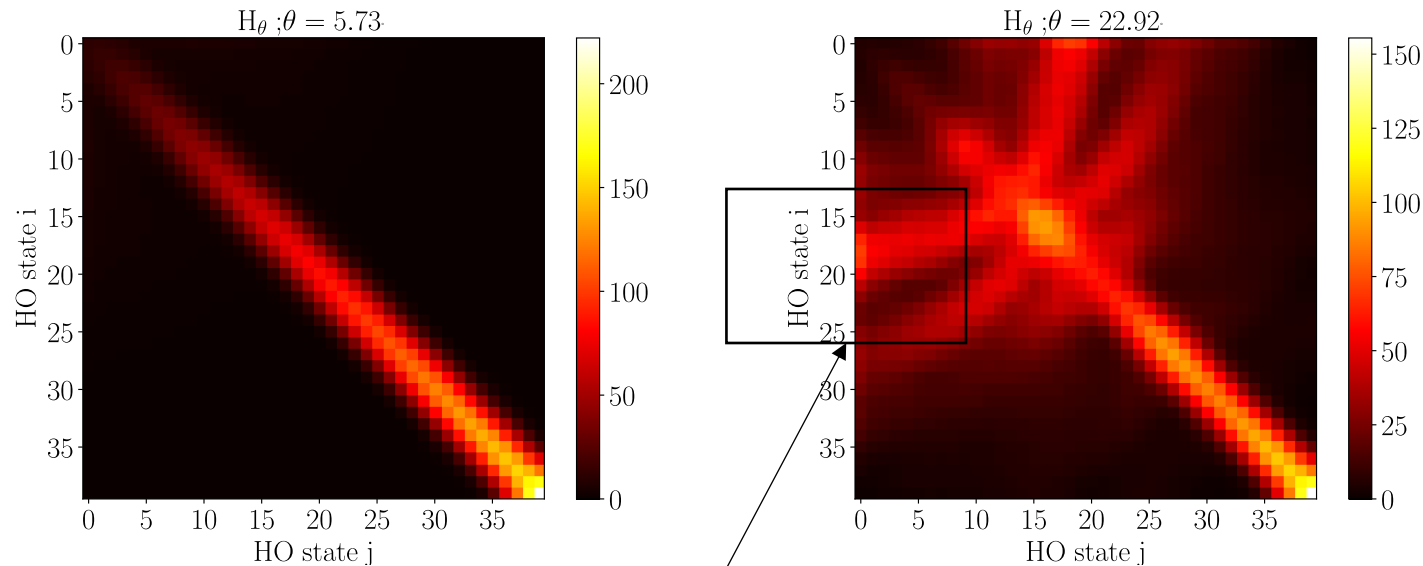
Spatially extended but exponential fall off

Boundary limit problem

Bound state problem



## A=2 Hamiltonian matrix elements with complex values\*



Large off-diagonal coupling m.e.s

Maximum model space achievable  
 $N_{\max} \sim 200$  (100 nodes a box in excess of 20 fm)

- The contour deformation from complex scaling induces a large off-diagonal couplings;
- The latter is a no-go theorem for many-body practitioner as it implies slow UV convergence.

\* The absolute value of the elements are shown

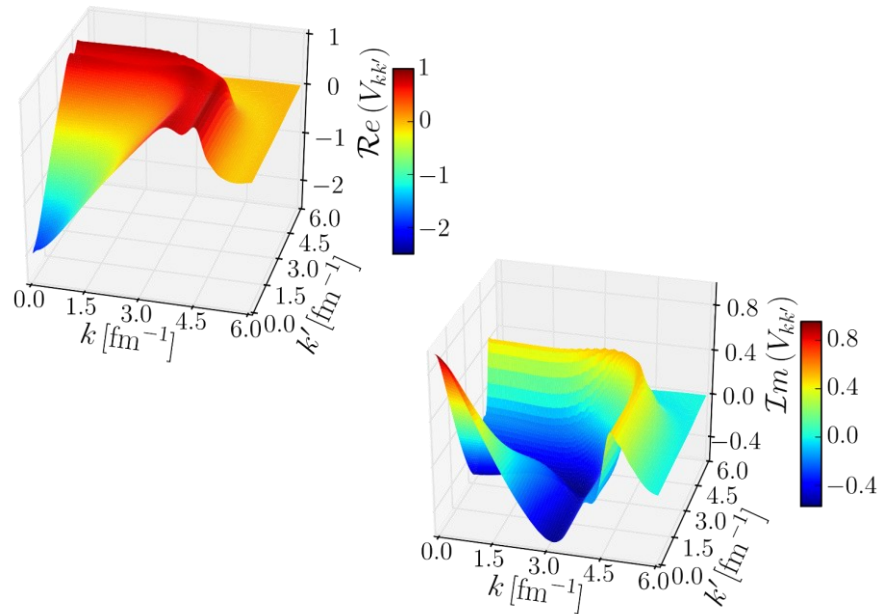


In configuration interaction methods we need to soften interaction to address the hard core. We use the Similarity-Renormalization-Group (SRG) method

$$H_\lambda(\theta) = U_\lambda H(\theta) U_\lambda^T$$

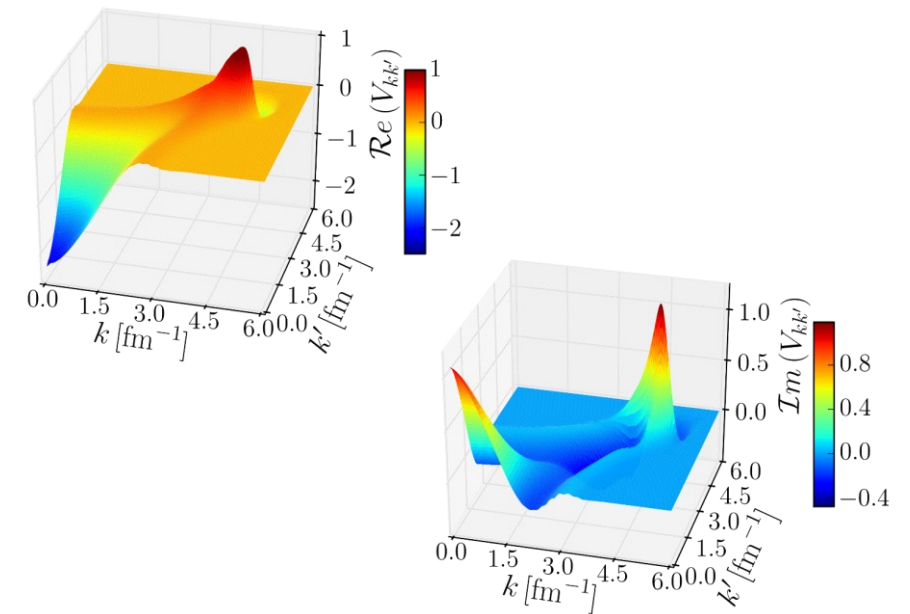
Similarity  
Transformation

$$\begin{cases} \frac{dH_\lambda(\theta)}{d\lambda} = -\frac{4}{\lambda^5} [\eta(\lambda), H_\lambda(\theta)] \\ \eta(\lambda) = \frac{dU_\lambda}{d\lambda} U_\lambda^T \end{cases}$$



Evolution with  
flow parameter  $\lambda$

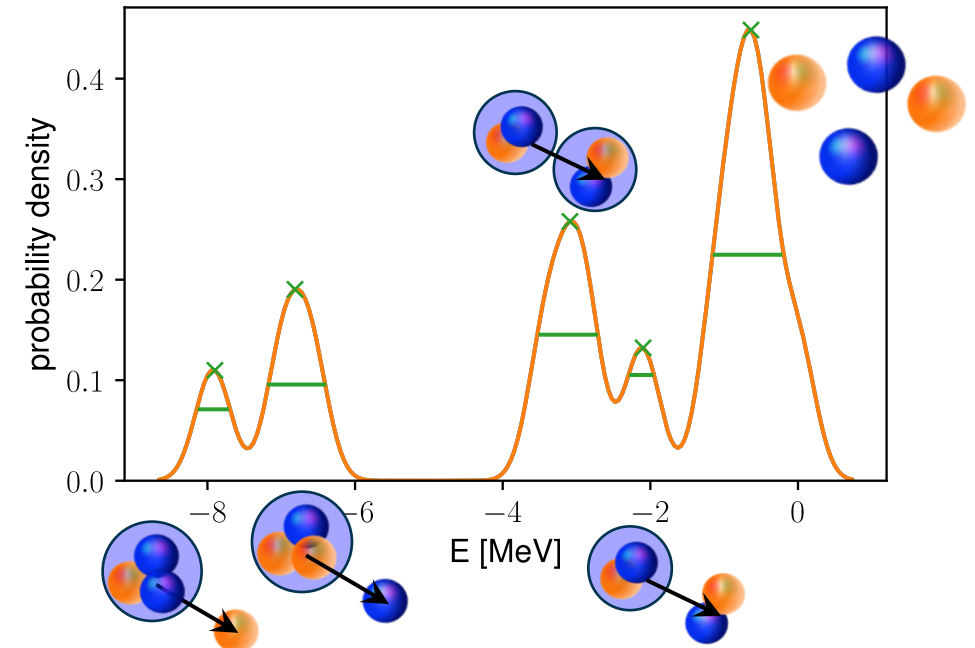
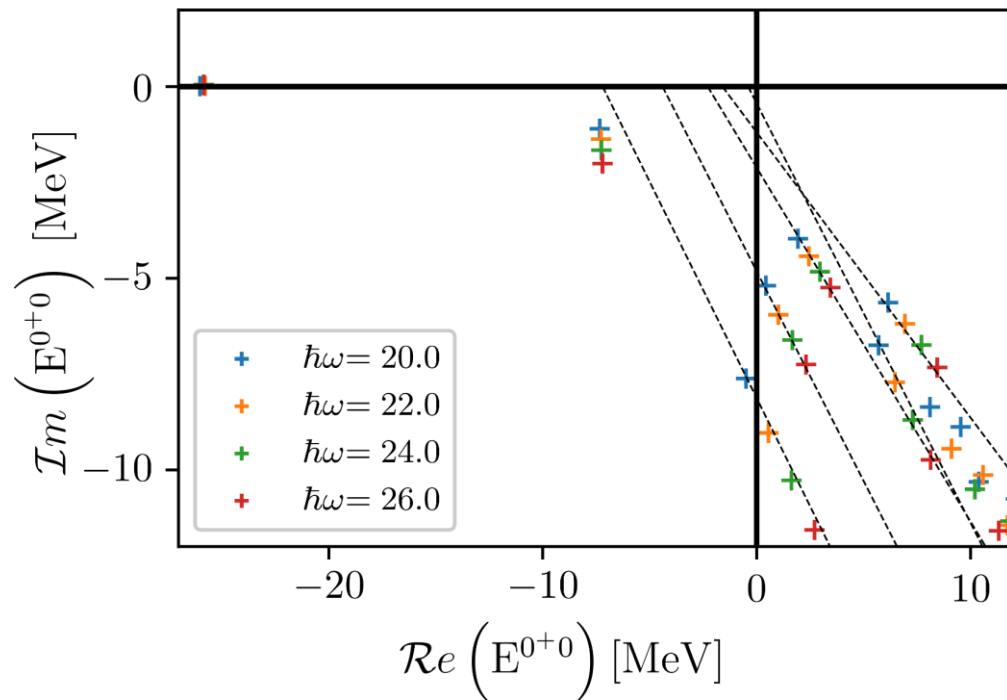
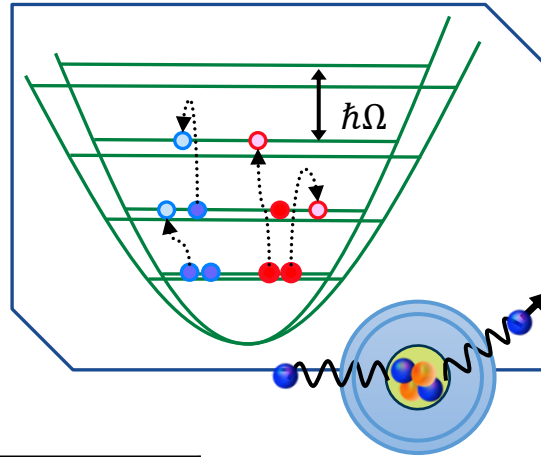
Consistent evolution of  
the imaginary part





# How to extract the thresholds ?

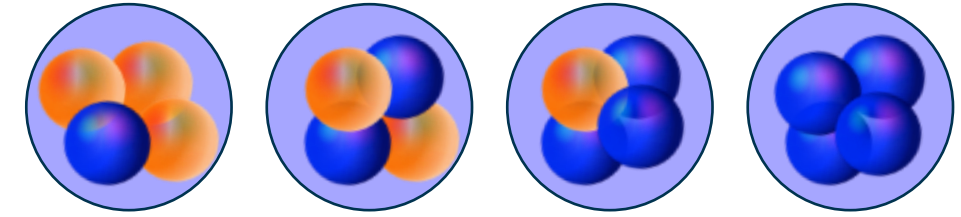
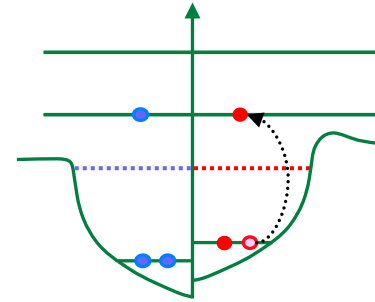
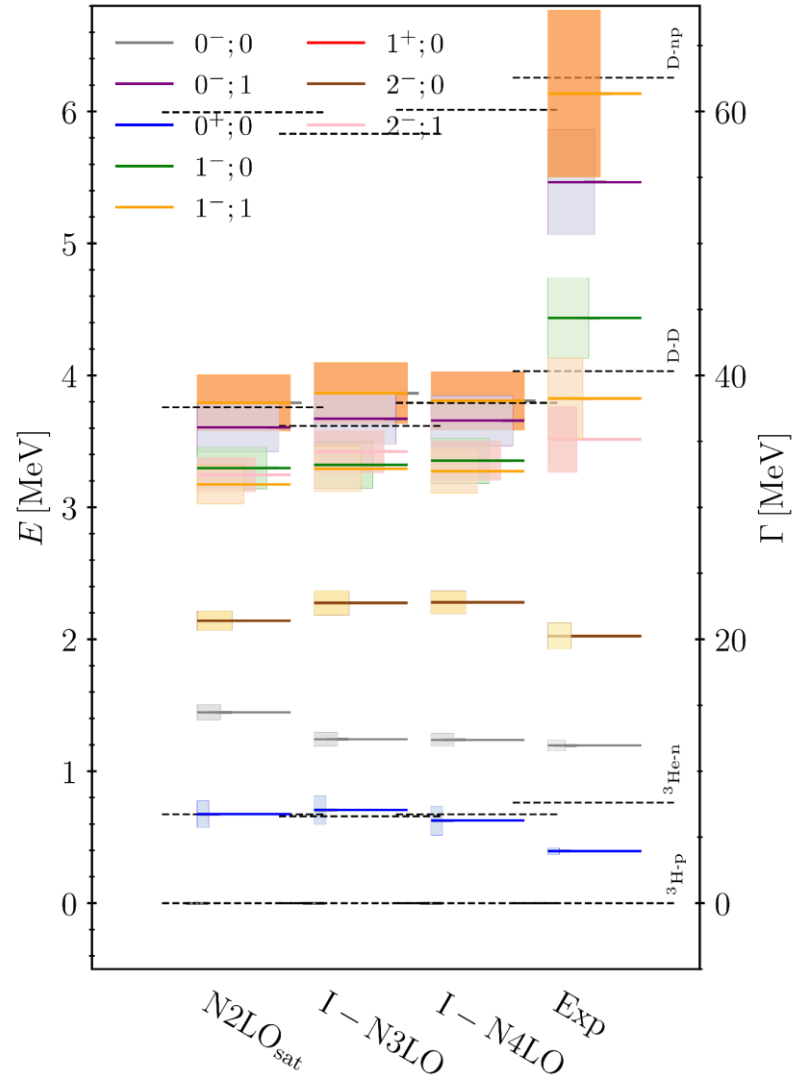
This analysis is made without the induced 3bdy force because of the numerical cost.



- The expected threshold are somewhat recovered w.r.t. experiments;
- Threshold energies do not converge at the same pace as the g.s.



## Wrap-up on the spectrum after analysis of the results



${}^4\text{Li}$ ,  ${}^4\text{He}$ ,  ${}^4\text{H}$  and  $4n$

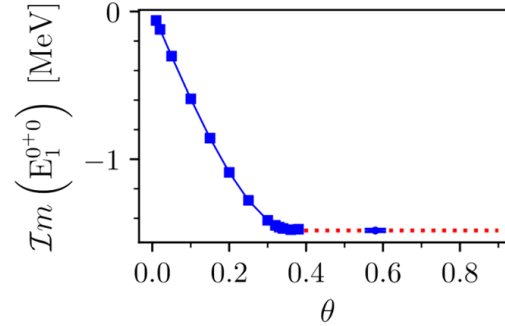
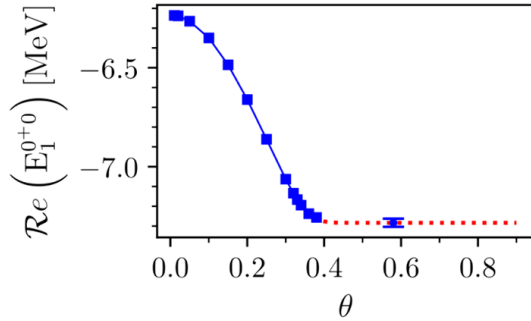
$J^\pi; T$	$E_r$ [MeV]	$\epsilon_{N_{\max}}$	$\epsilon_\theta$	$\Gamma_r$	$\epsilon_{N_{\max}}$	$\epsilon_\theta$	R-matrix		$\Delta$
							$E_r$	$\Gamma_r$	
$0^+; 0$	0.40	-0.04	-0.43	2.00	-0.79	-0.80	0.391	0.50	0.75
$0^-; 0$	1.31	+0.03	-0.52	1.20	-0.38	+0.02	1.199	0.84	0.85
$2^-; 0$	1.98	+0.02	-0.28	1.60	-0.45	+0.04	2.09	2.01	2.25
$1^-; 1$	2.93	-0.16	$\gtrsim -1$	3.36	-0.80	+0.52	3.829	6.20	3.66
$1^-; 0$	3.21	-0.11	$\gtrsim -1$	3.94					
$2^-; 1$	3.02	-0.31	$\gtrsim -1$	3.00					
$0^-; 1$	3.37	-0.15	$\gtrsim -1$	4.20					
$1^-; 1$	3.45	-0.48	$\gtrsim -1$	4.89					

Careful extrapolation techniques need to be designed;  
Proof of principle that the CS-Hamiltonian is accurate and can be used in NCSM calculation up to  $A \sim 16$ ;

- Discrepancies with experiments too large to be corrected by 3N forces;



## $^4\text{H}$ system: a benchmark with Faddeev calculation

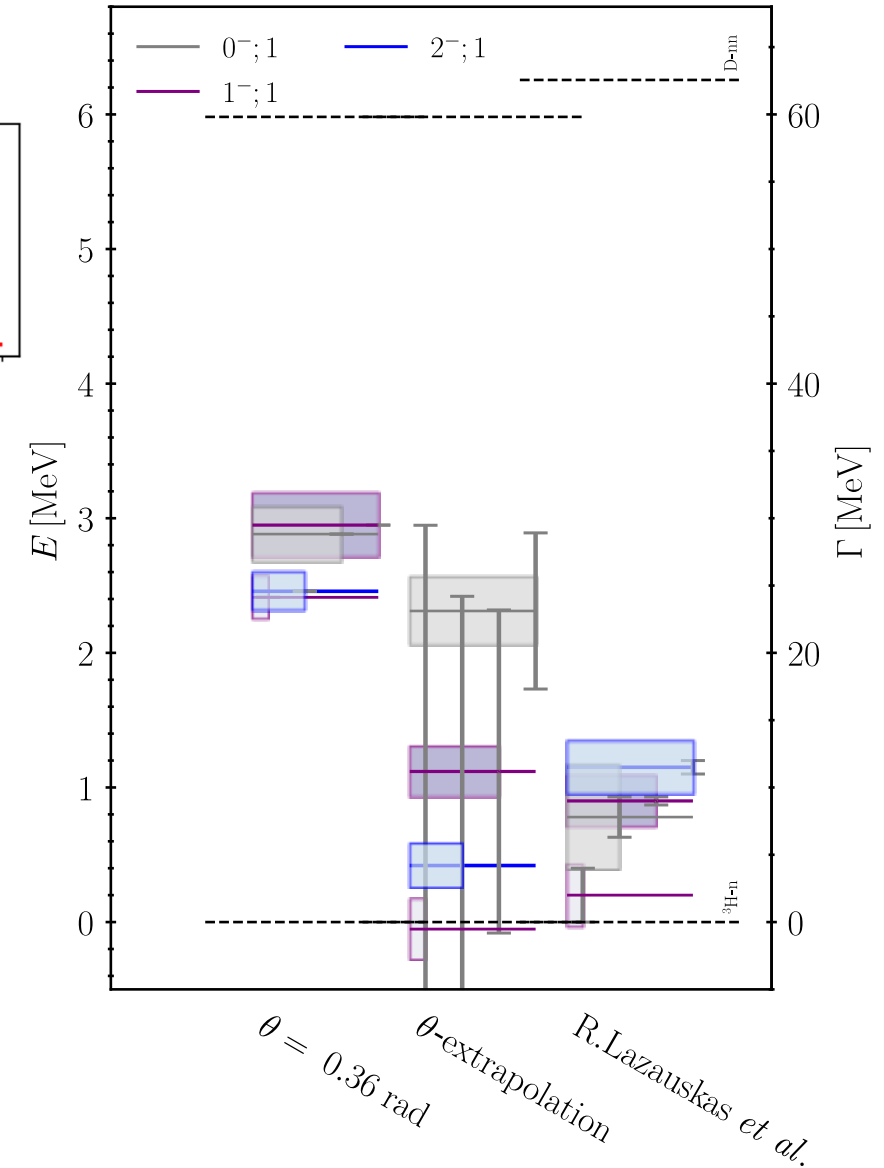


We compare with a calculation based on solving the Faddeev equations.

Deltuva & Lazauskas, 2019, PRC

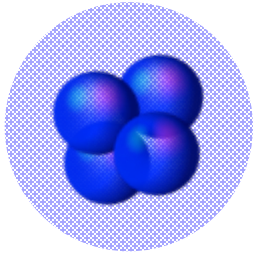
We perform a naïve extrapolation wrt to the CS rotation angle  $\theta$ .

We find an overall agreement of the calculating with the exact solution (up to 500 keV bias due to the extrapolation).

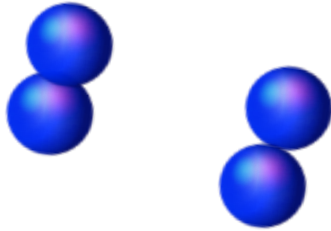




## 4-neutron: a resonance ?



or



Claimed by  
experiment

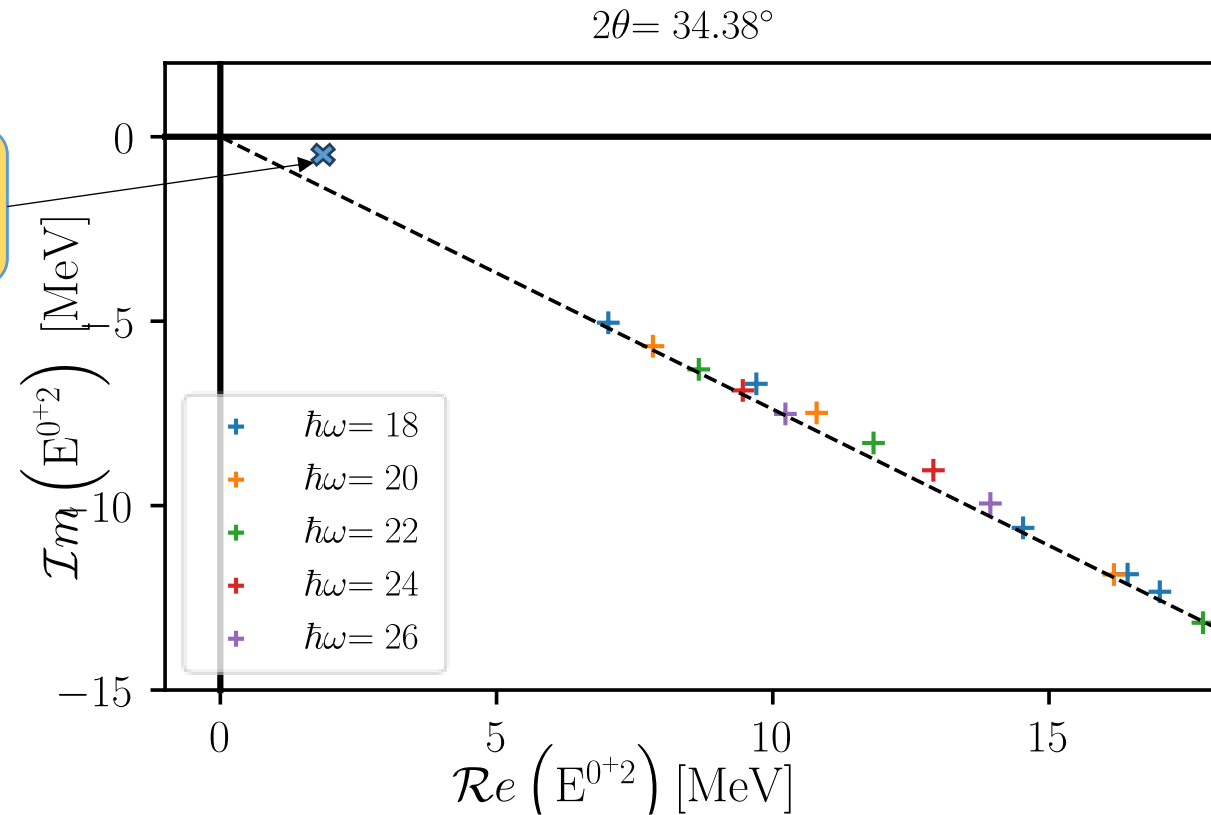
CS shows no indication of such a resonance in  $1^+$ ,  $1^-$ ,  $0^+$  or  $0^-$ .

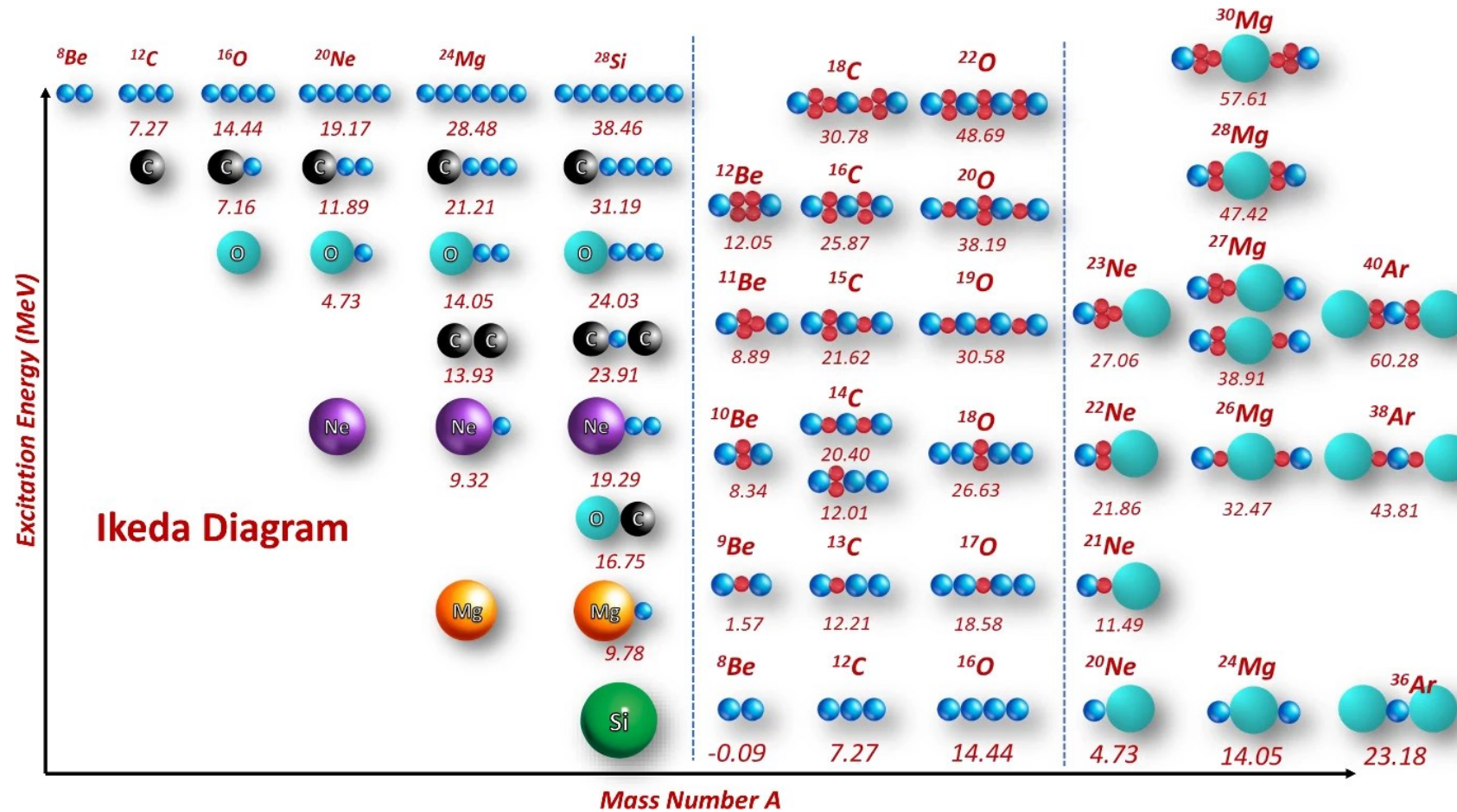
Lower bound of :

$$\Gamma_r = 1.9 E_r$$

or :

$$\Gamma_r = 4.5 \text{ MeV}$$





Thank you !