



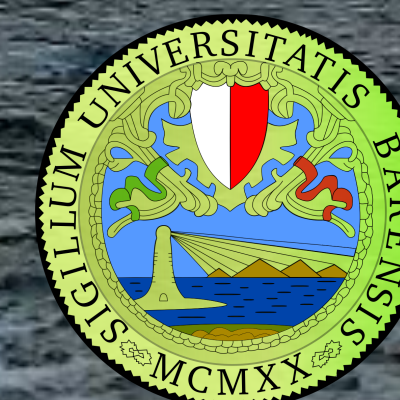
# ALICE upgrades for LHC Run 4 and beyond



ALICE

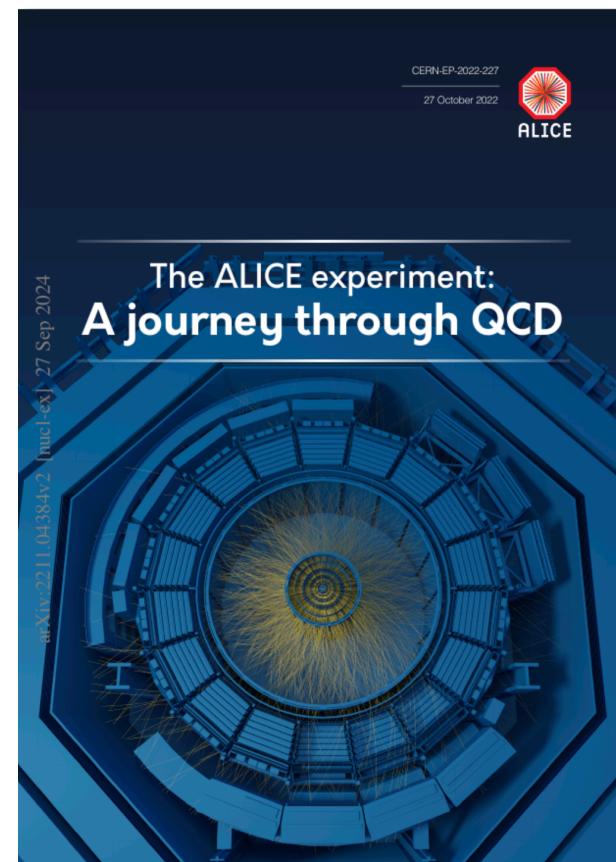
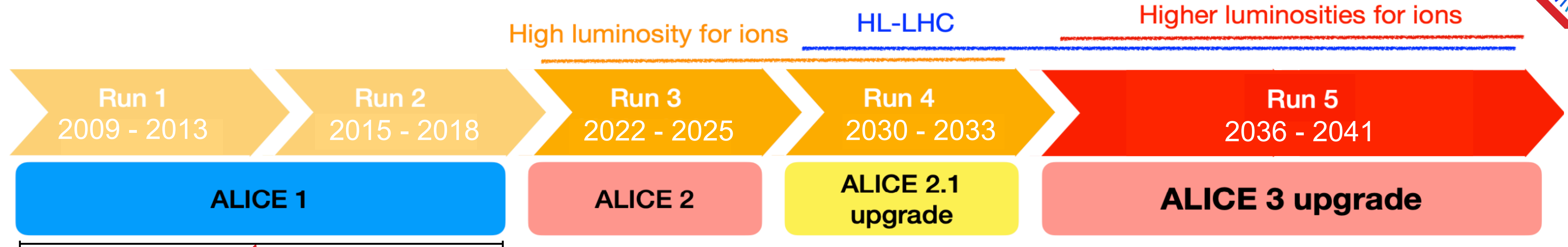


Domenico Colella  
University and INFN Bari  
on behalf of  
ALICE Collaboration





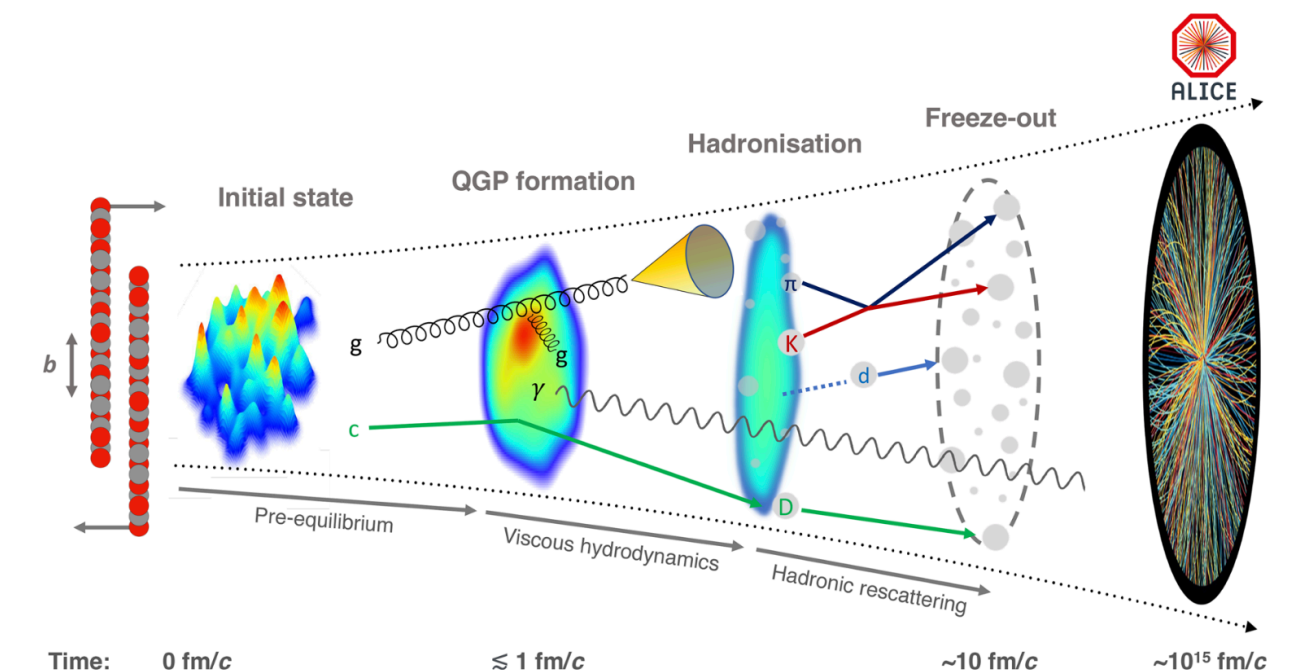
# A journey through QCD



“The ALICE experiment: a journey through QCD”  
Eur. Phys. J. C (2024) 84:813

## List of key scientific questions concerning QGP/QCD:

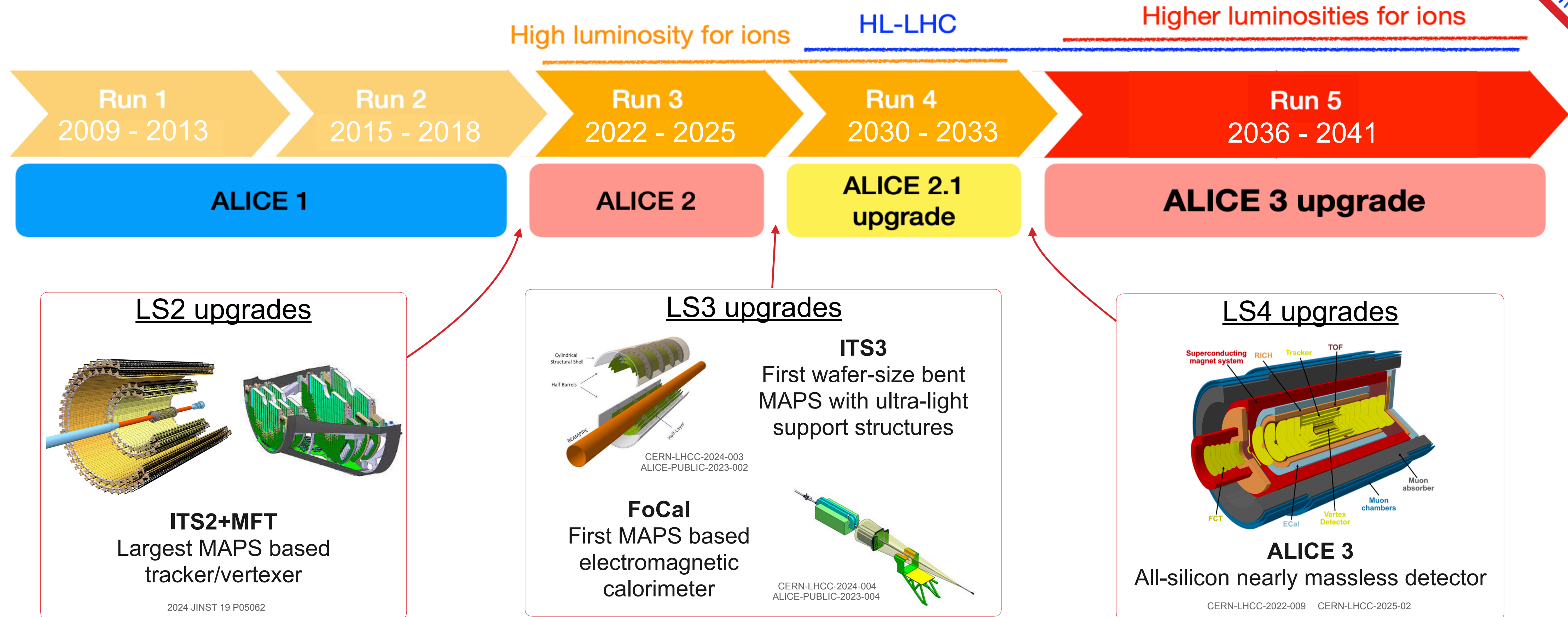
- What are the **thermodynamic** properties of the QGP?
- What are the **hydrodynamic** and **transport** properties of the QGP?
- How does the QGP affect the **formation** of hadrons?
- How does the QGP affect the **propagation** of energetic partons?
- How does deconfinement in the QGP **affect** the QCD force?
- Can the QGP lead to discovery of **novel** QCD effects?
- What are the **minimal conditions** of QGP formation?
- What is the nature of the **initial state** of heavy-ion collisions?
- What is the nature of **hadron-hadron** interactions?



Evolution of a heavy-ion collision



# A journey through QCD - ALICE R&D roadmap



Paola La Rocca, Monday 22/9, 17:20:  
“ITS3 in ALICE: pioneering bendable wafer-scale sensors for LHC Run 4”

David Chinellato, Thursday 25/9, 9:00:  
“Future physics programme and facilities for relativistic heavy-ion collisions”

A long R&D journey toward a compact detector featuring a very low-mass, all-silicon tracker with excellent low- $p_T$  performance, while also advancing innovative technologies relevant to future accelerator experiments (e.g., FCC).



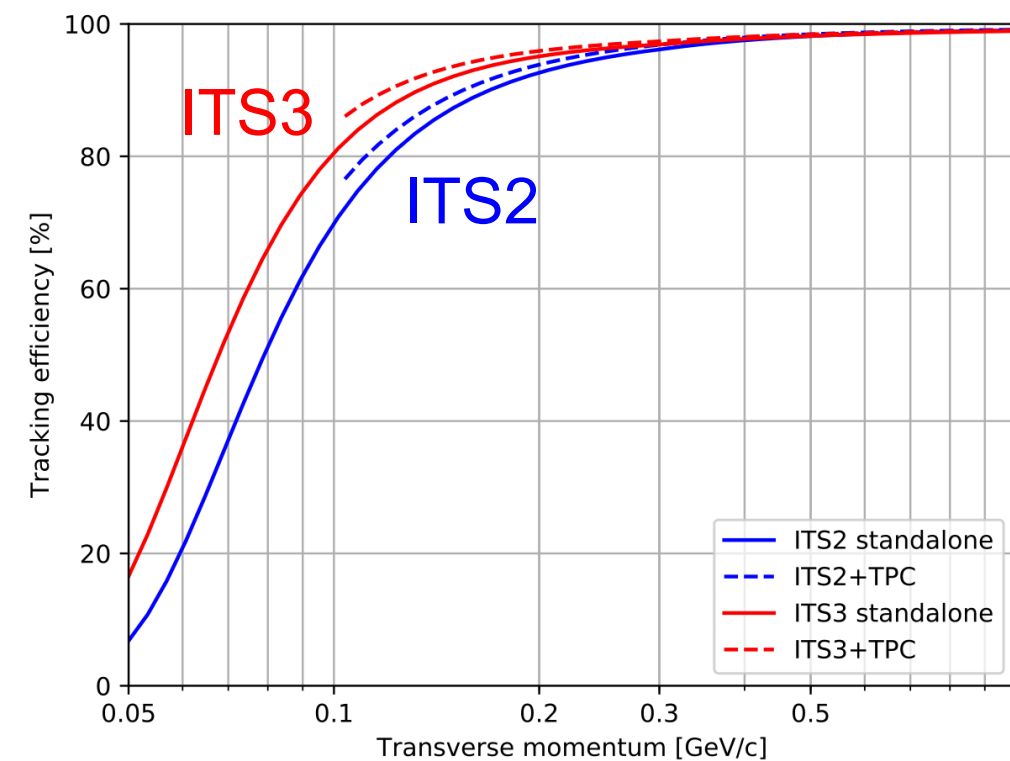
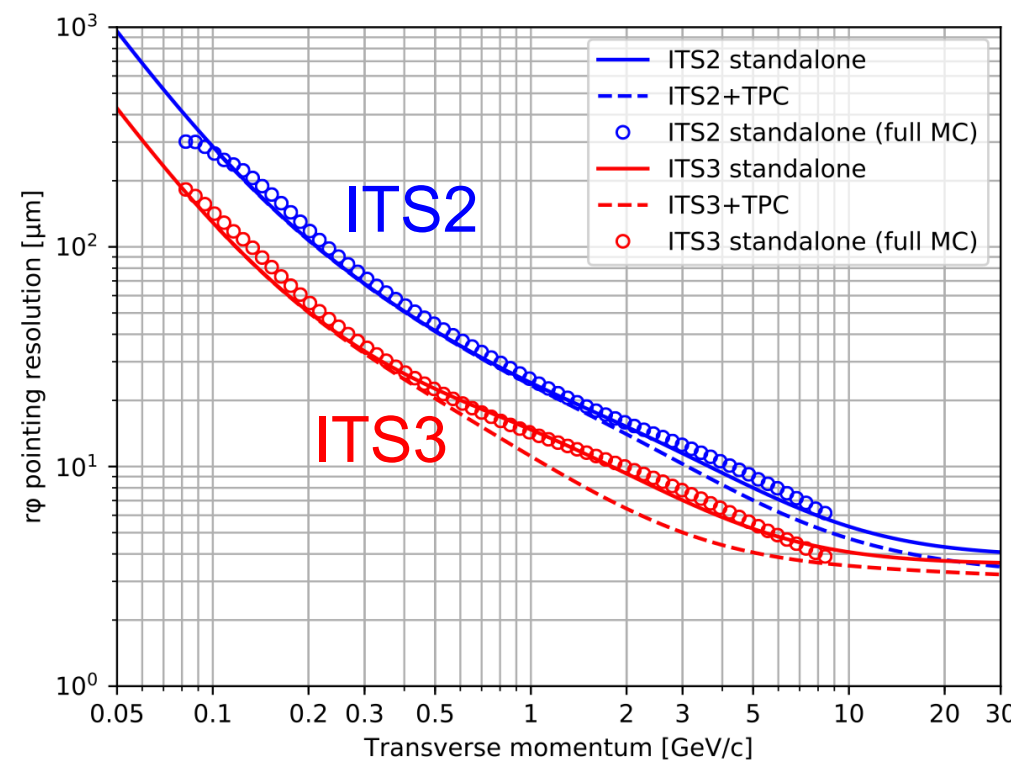
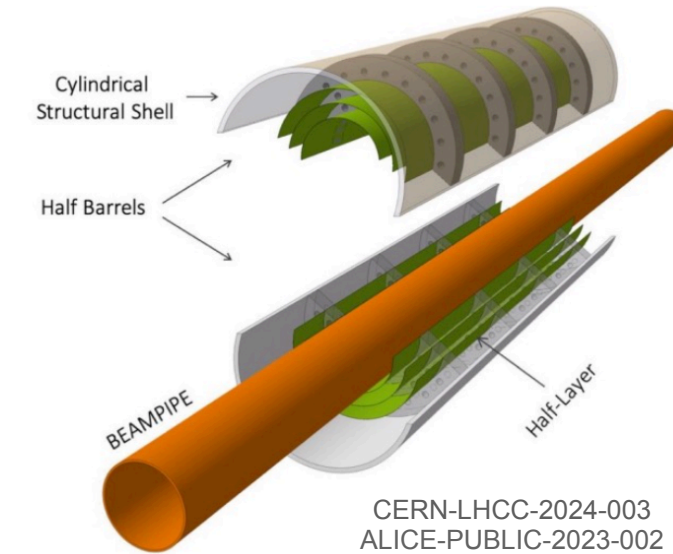


## ITS3

Truly cylindrical, wafer-size sensors for homogeneous inner tracker with ultra-low material budget

### Main goals

- Significantly extend the range of physics channels that can be measured in ALICE, especially at **very low transverse momentum**.
  - heavy-quark hadrons ( $\Lambda_c^+$ ,  $\Xi_c^+$ ,  $\Lambda_b^0$ ,  $B_s^0$ )
  - strangeness tracking, boosting identification of  $\Omega_c^0$  and  $\Xi_c$
  - hypernuclei, c-deuteron
  - dielectrons

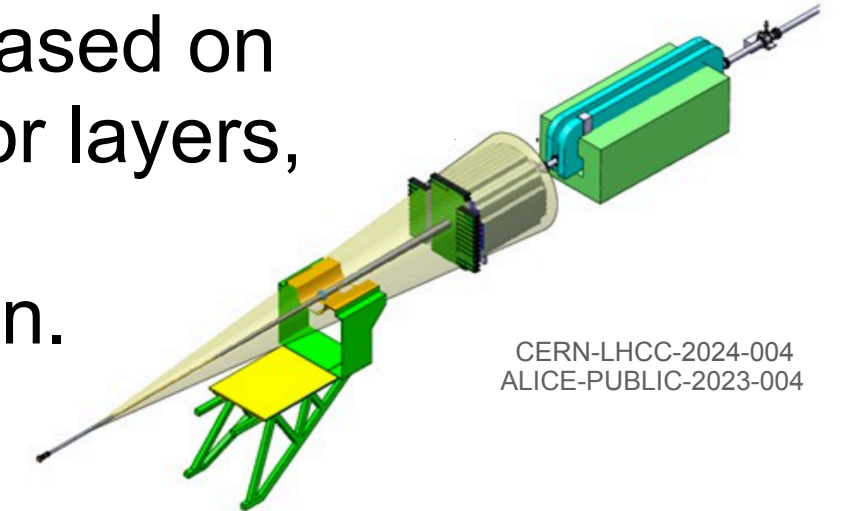


Paola La Rocca, Monday 22/9, 17:20:

“ITS3 in ALICE: pioneering bendable wafer-scale sensors for LHC Run 4”

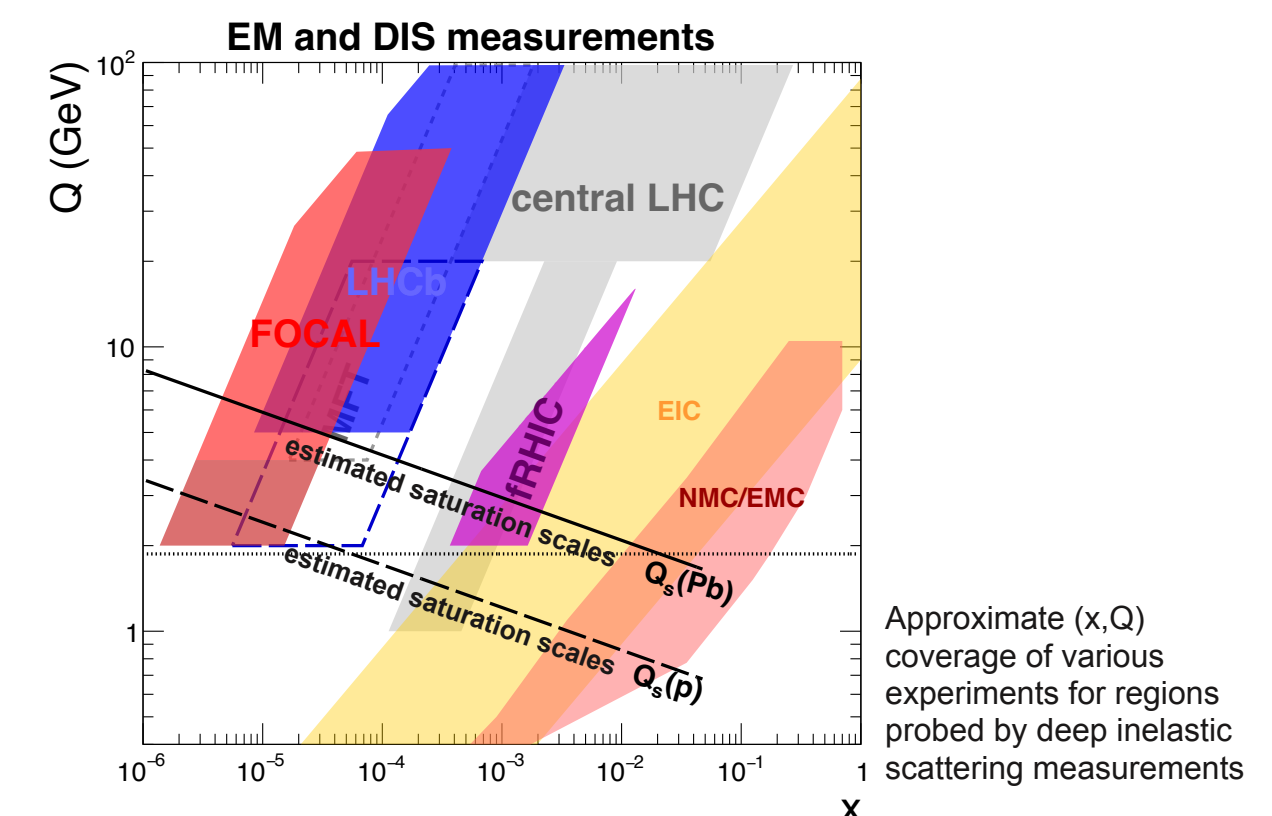
## Forward Calorimeter (FoCal)

Highly compact sampling calorimeter based on extremely high-granularity silicon sensor layers, designed to provide precise shower reconstruction in the very forward region.



### Main goals

- Constrain gluon nuclear PDF at small Bjorken-x
  - Isolated photons at forward rapidity
- Investigate non-linear QCD evolution
  - Azimuthal  $\pi^0$ – $\pi^0$  correlations and isolated  $\gamma$ – $\pi^0$  correlations
- Quantify parton energy loss at forward rapidity
  - High- $p_T$  neutral pion production





# ALICE 2.1

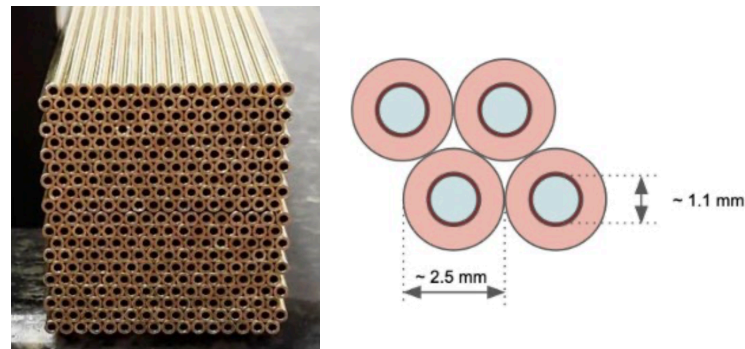
## Forward Calorimeter (FoCal)



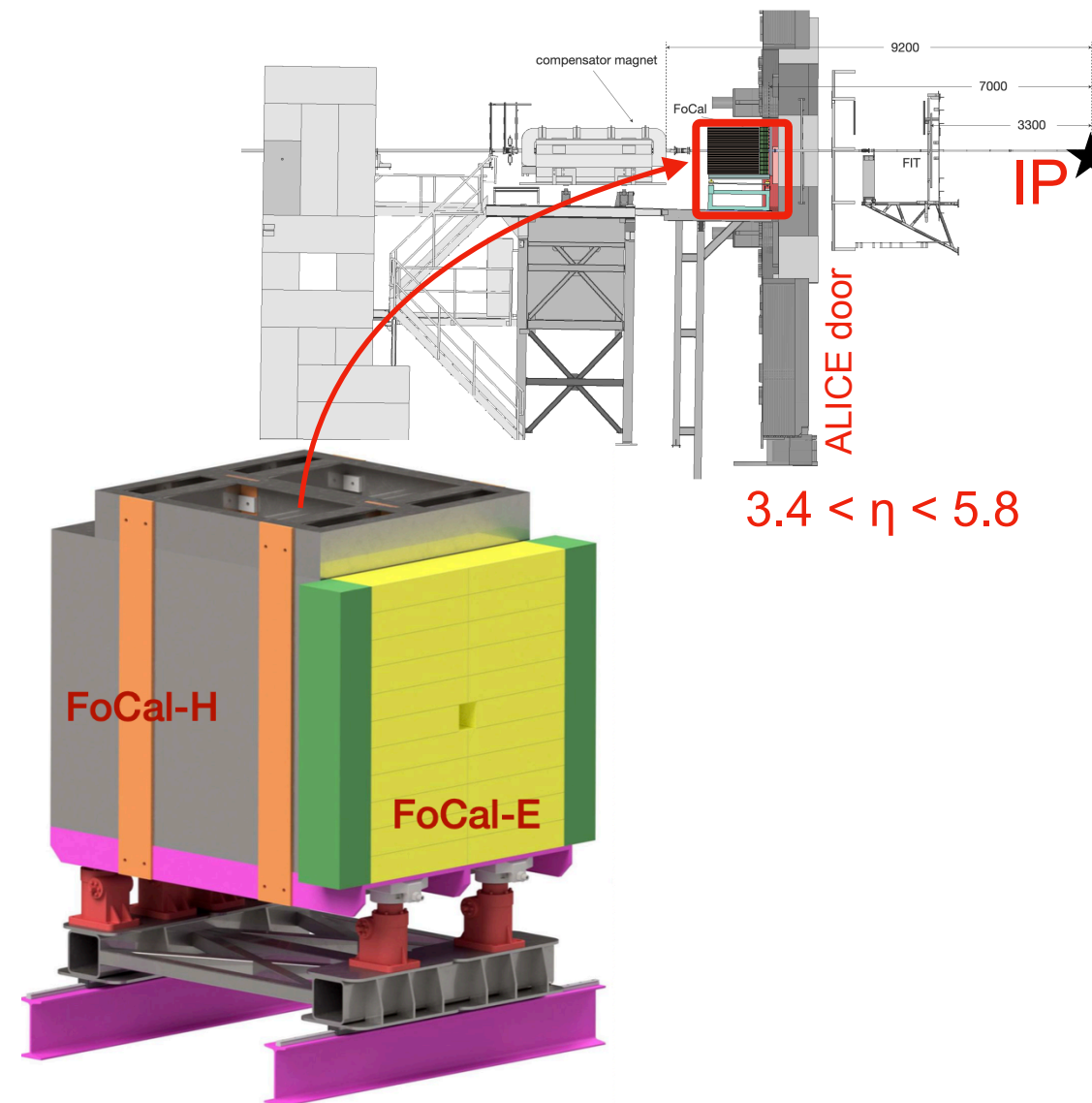
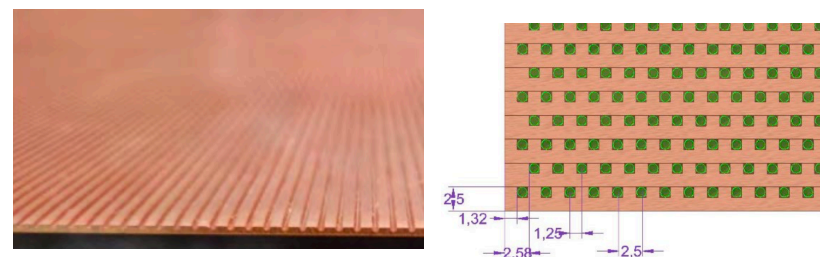
### FoCal-H - Conventional metal-scintillator sampling hadronic calorimeter

Two designs under evaluation:

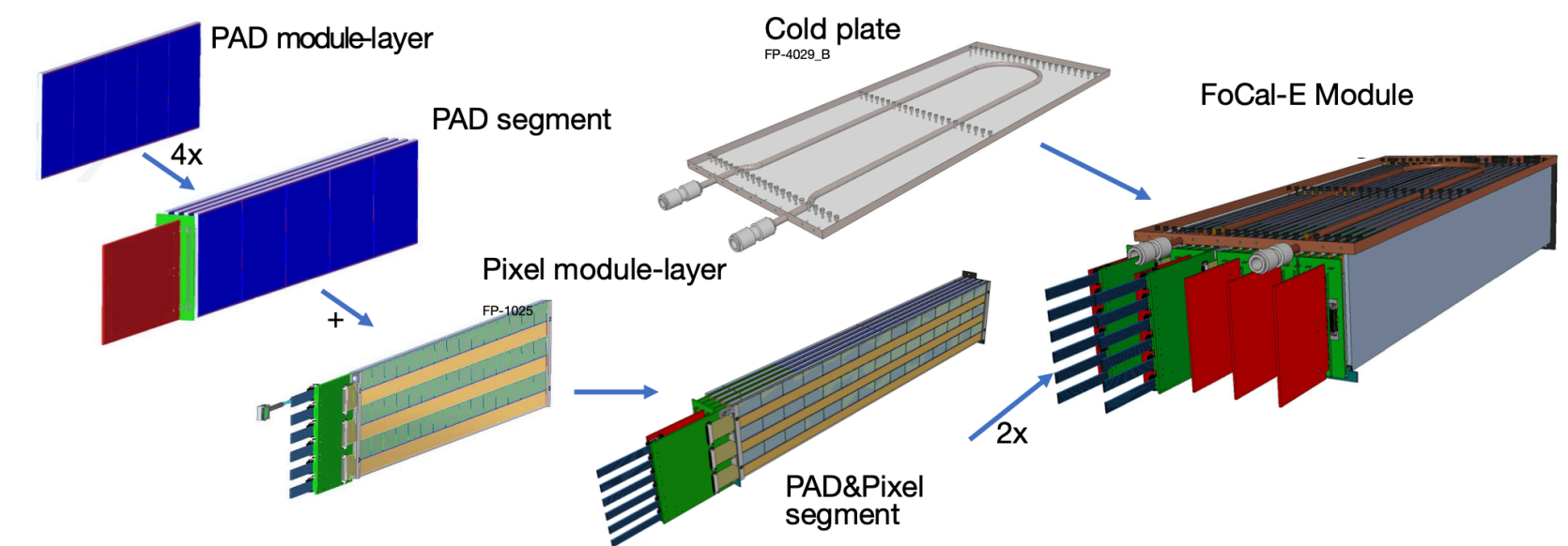
A) Cu capillary-tubes enclosing BCF scintillating fibers



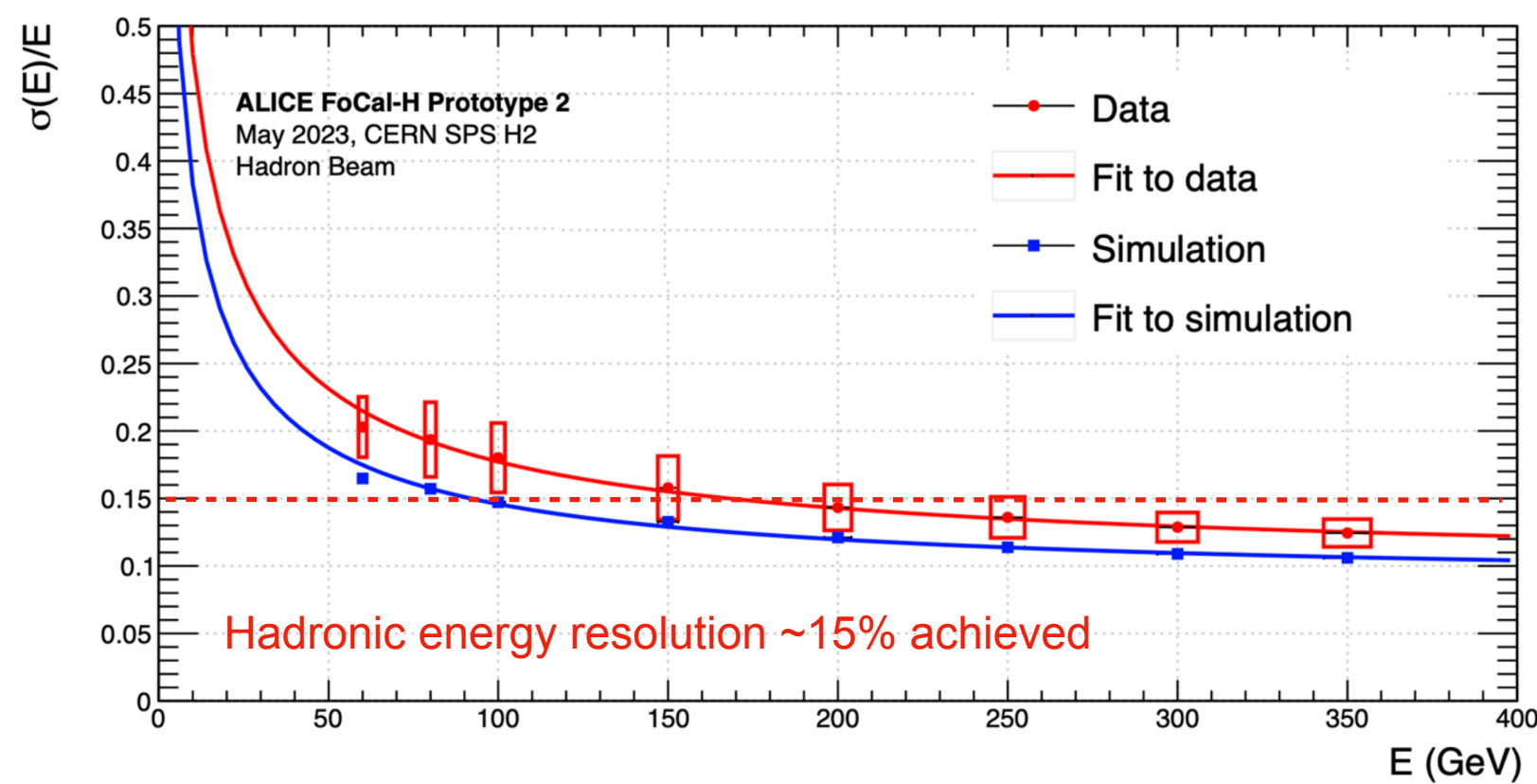
B) Cu grooved plates enclosing BCF scintillating fibers



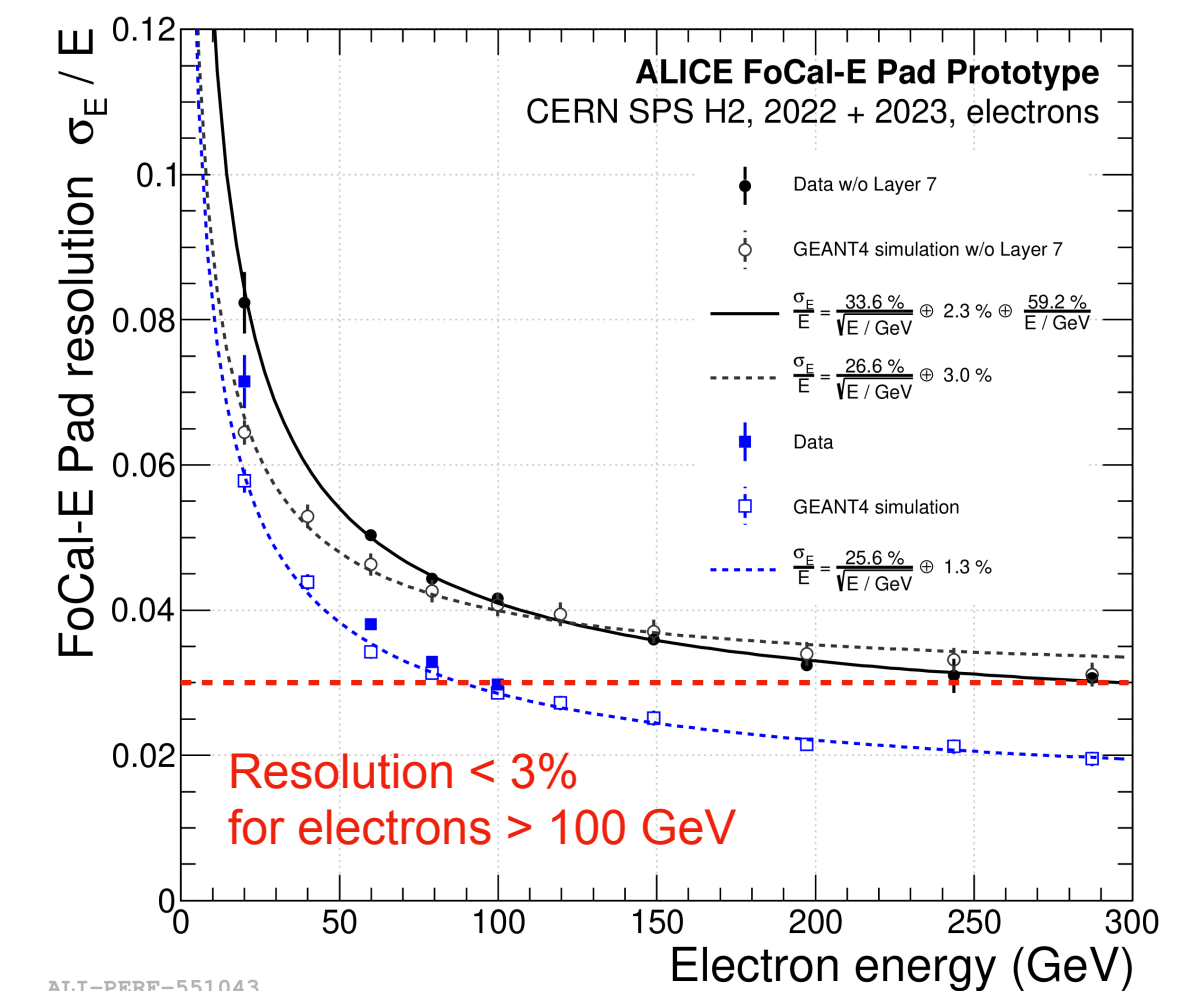
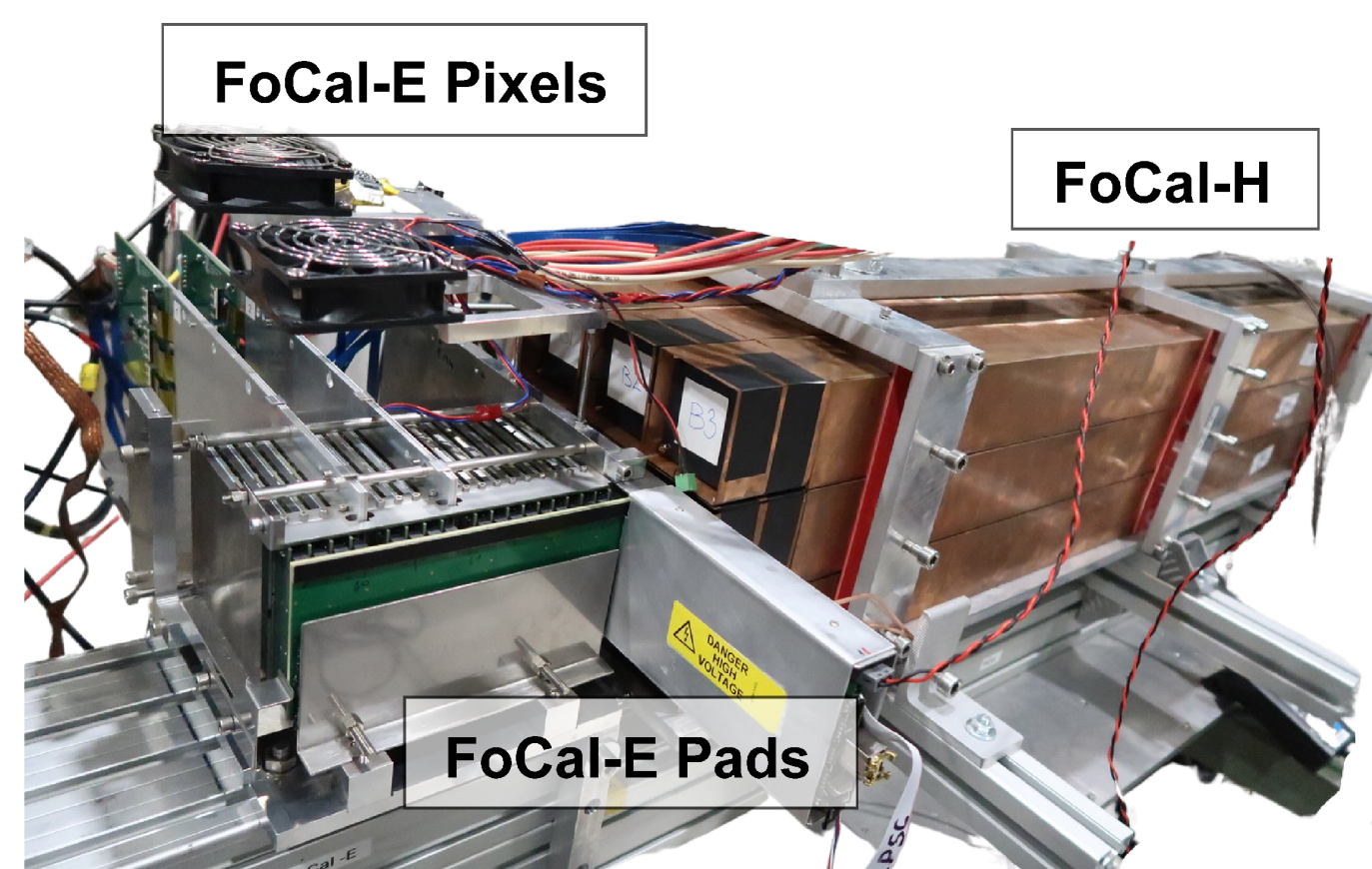
### FoCal-E - High granularity Si-W sampling electromagnetic calorimeter



Longitudinally segmented in low granularity analog PAD layers and high granularity digital MAPS layers



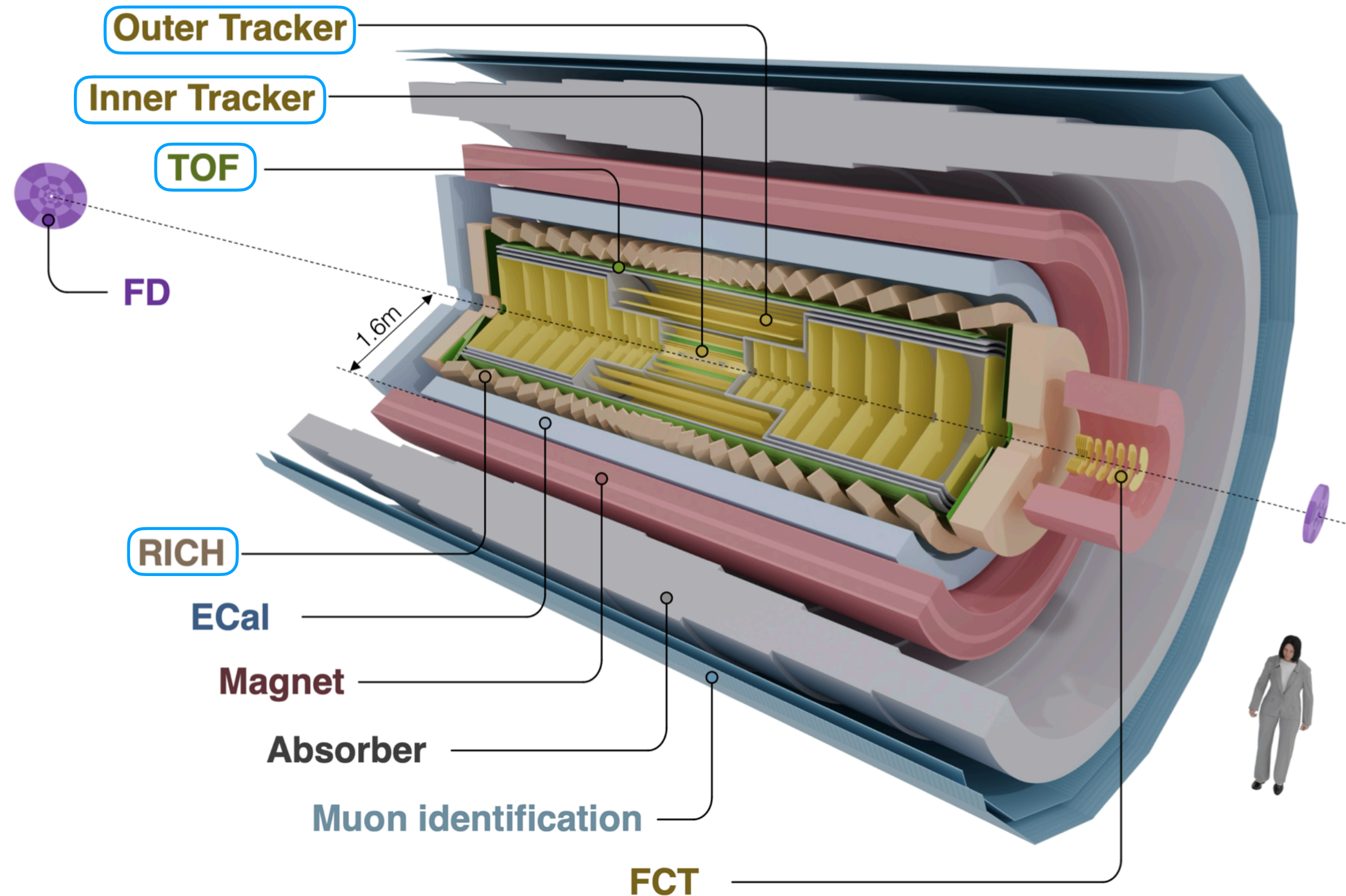
FoCal Test Beam setup at H2 (May 2023)





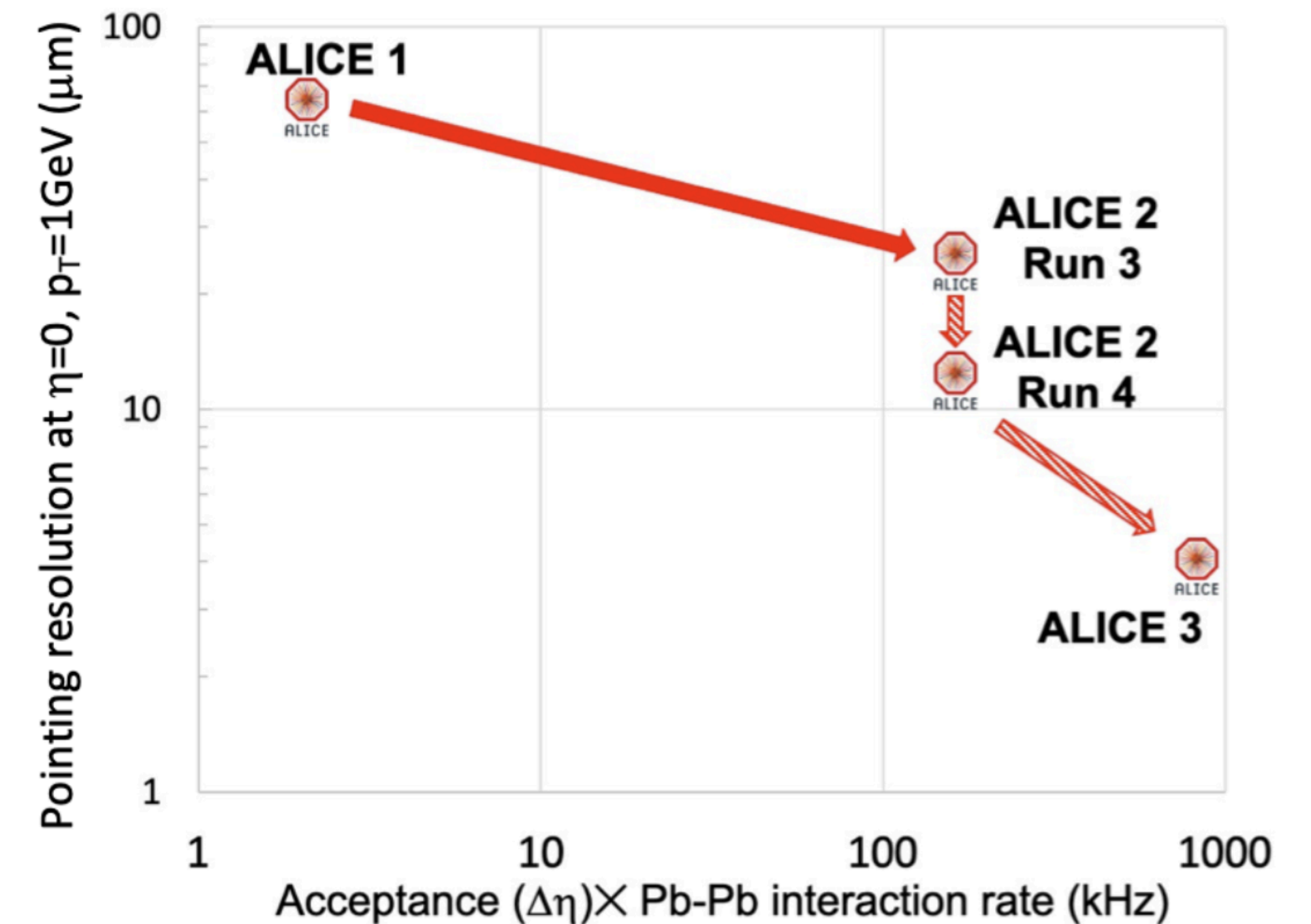
# ALICE 3

## Detector concept

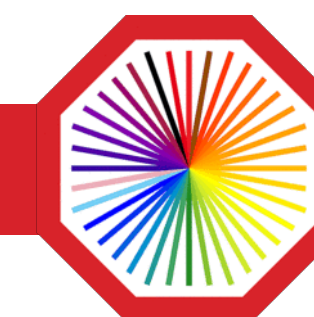


### Key features

- Compact **all-silicon tracker** with **high-resolution** retractable vertex detector
- Superconducting solenoidal magnet system at 2 T
- **Particle Identification** over large acceptance: muons, electrons, hadrons, photons
- Large acceptance:  $\eta < 4$
- **Fast read-out** and online processing







### Requirements

- Pointing resolution
  - $\sim 10 \mu\text{m}$  @  $p_T = 200 \text{ MeV}/c$
  - $\propto r_0 \cdot X/X_0 \rightarrow r_0 = 5 \text{ mm} \text{ \& } X/X_0 \sim 0.1\% / \text{layer}$

- Spatial resolution  $2.5 \mu\text{m} \rightarrow 10 \mu\text{m}$  pixel pitch

- Time resolution  $\sim 100 \text{ ns}$

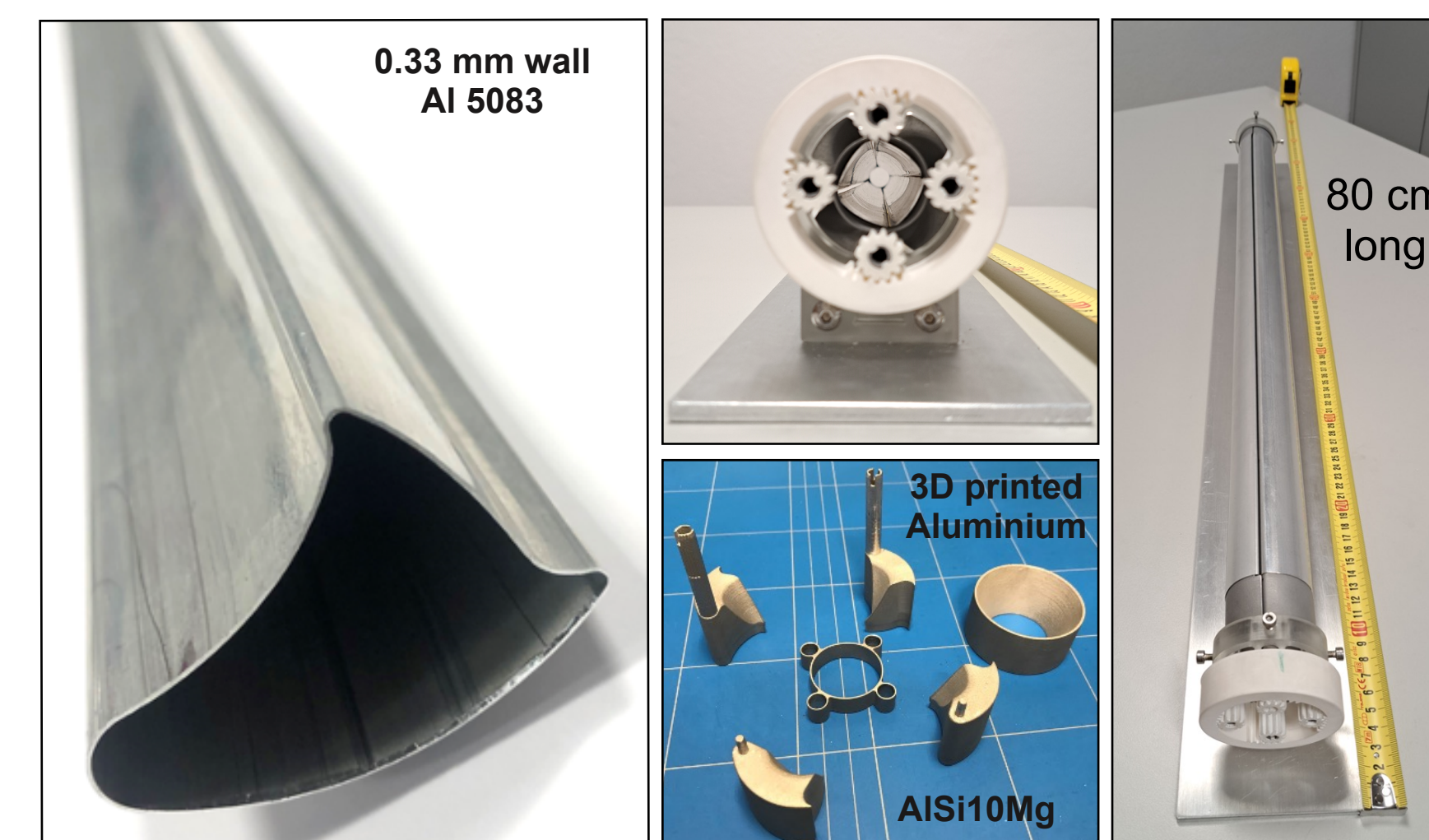
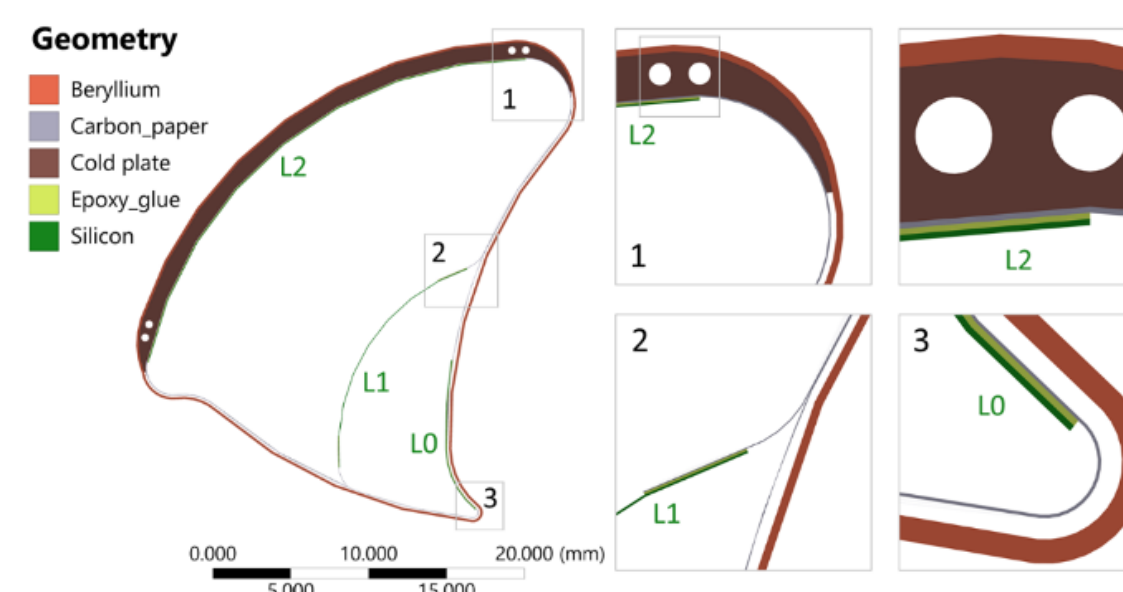
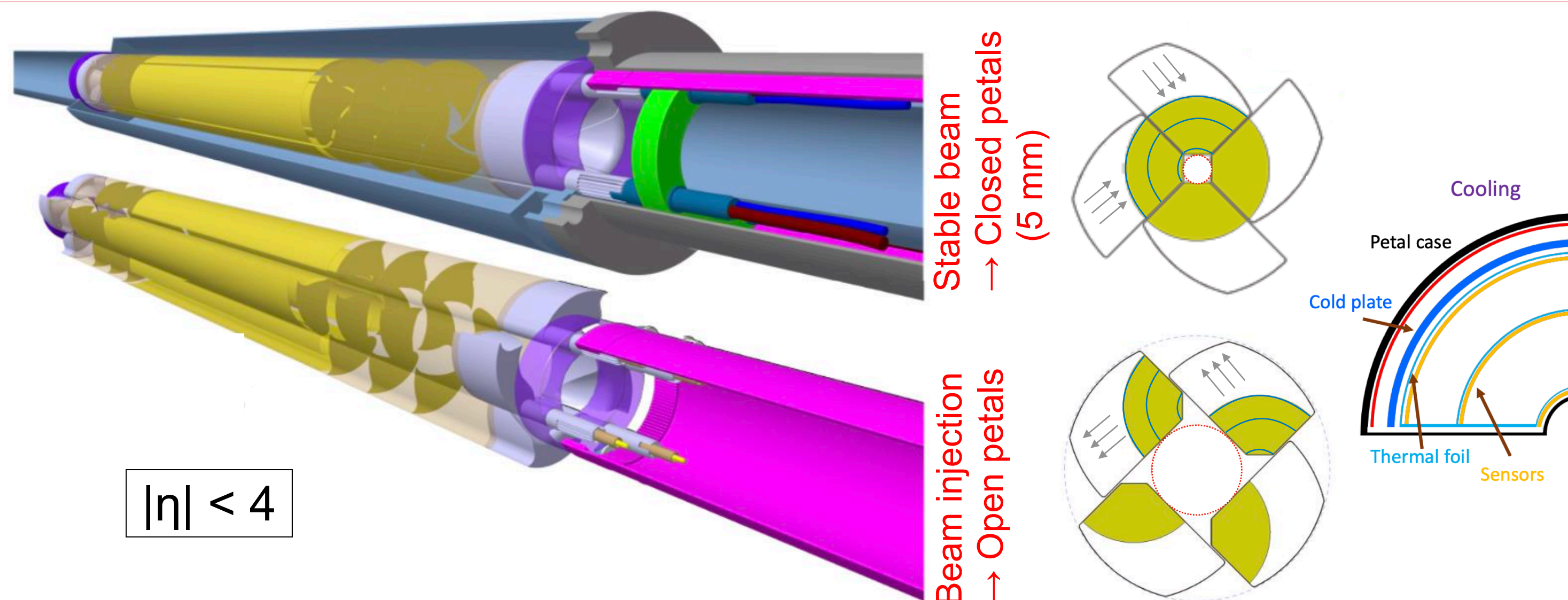
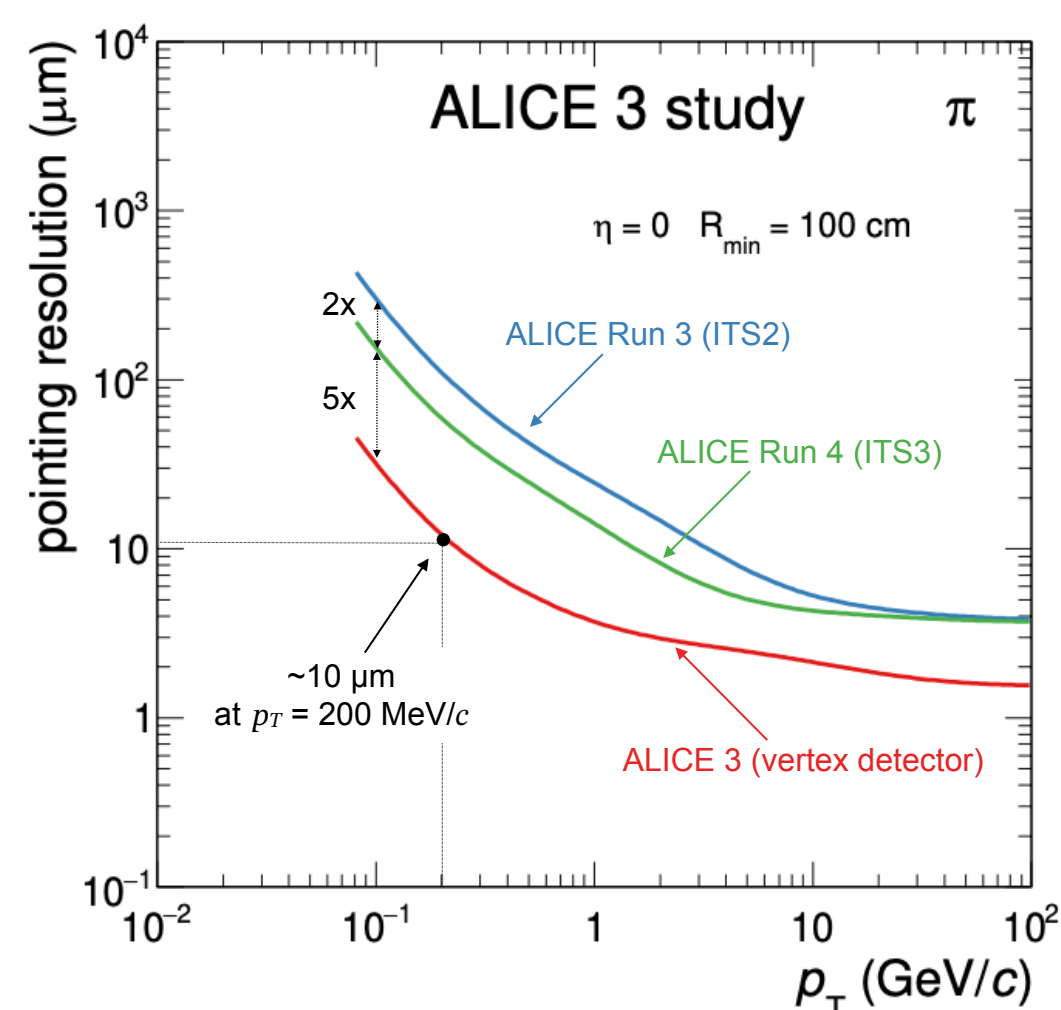
### Implementation

- Wafer-sized, bent MAPS (leveraging ITS3 R&D)
- Retractable detector: 3 (barrel) + 3·2(disk) layers in secondary vacuum within the beam pipe

### Challenges

- Mechanics
- Integration in vacuum
- Radiation tolerance

NIEL (MeV neq/cm <sup>2</sup> )	TID (Mrad)
$10^{16}$	300







# Tracker middle and outer layers

## Requirements

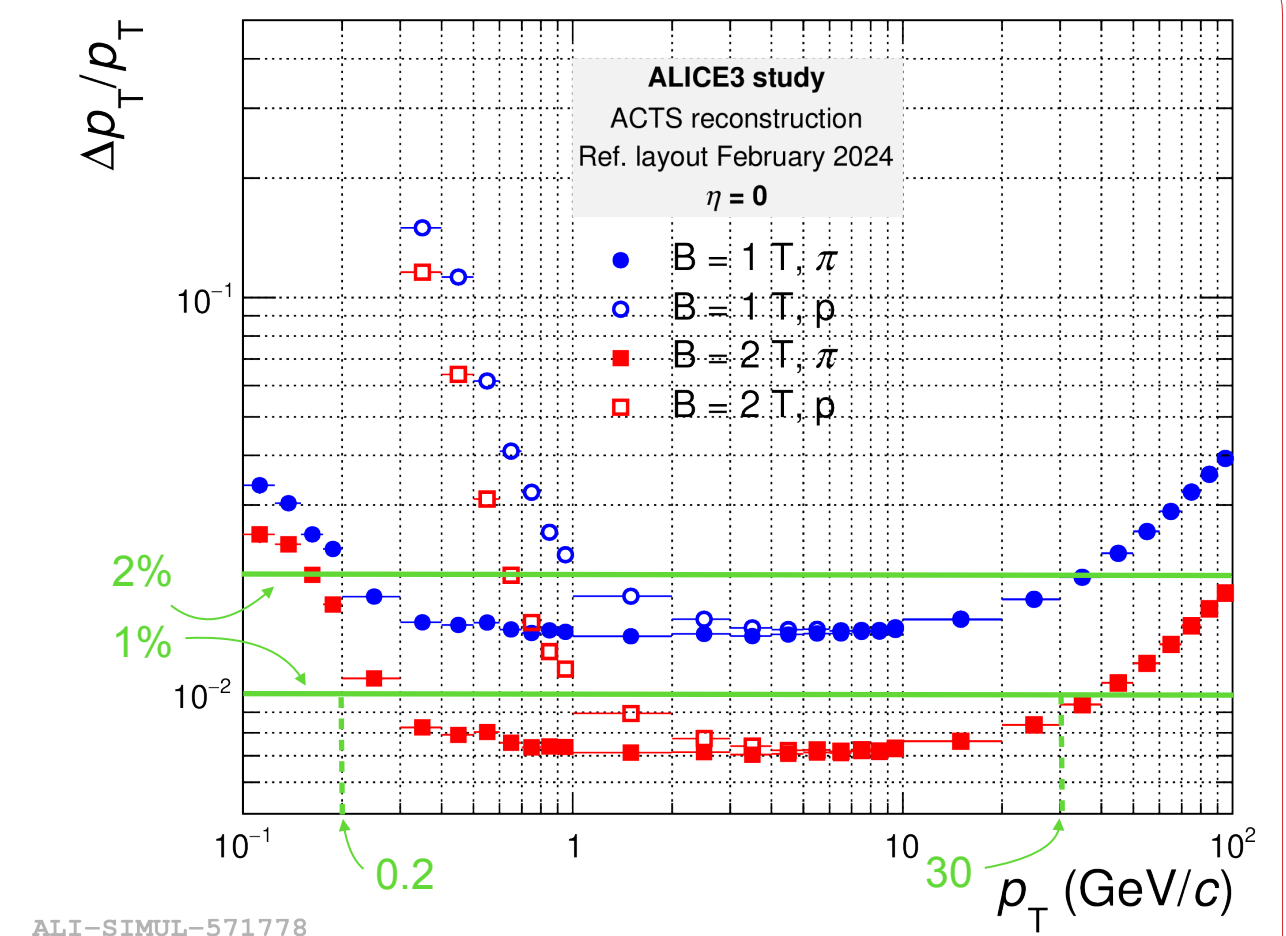
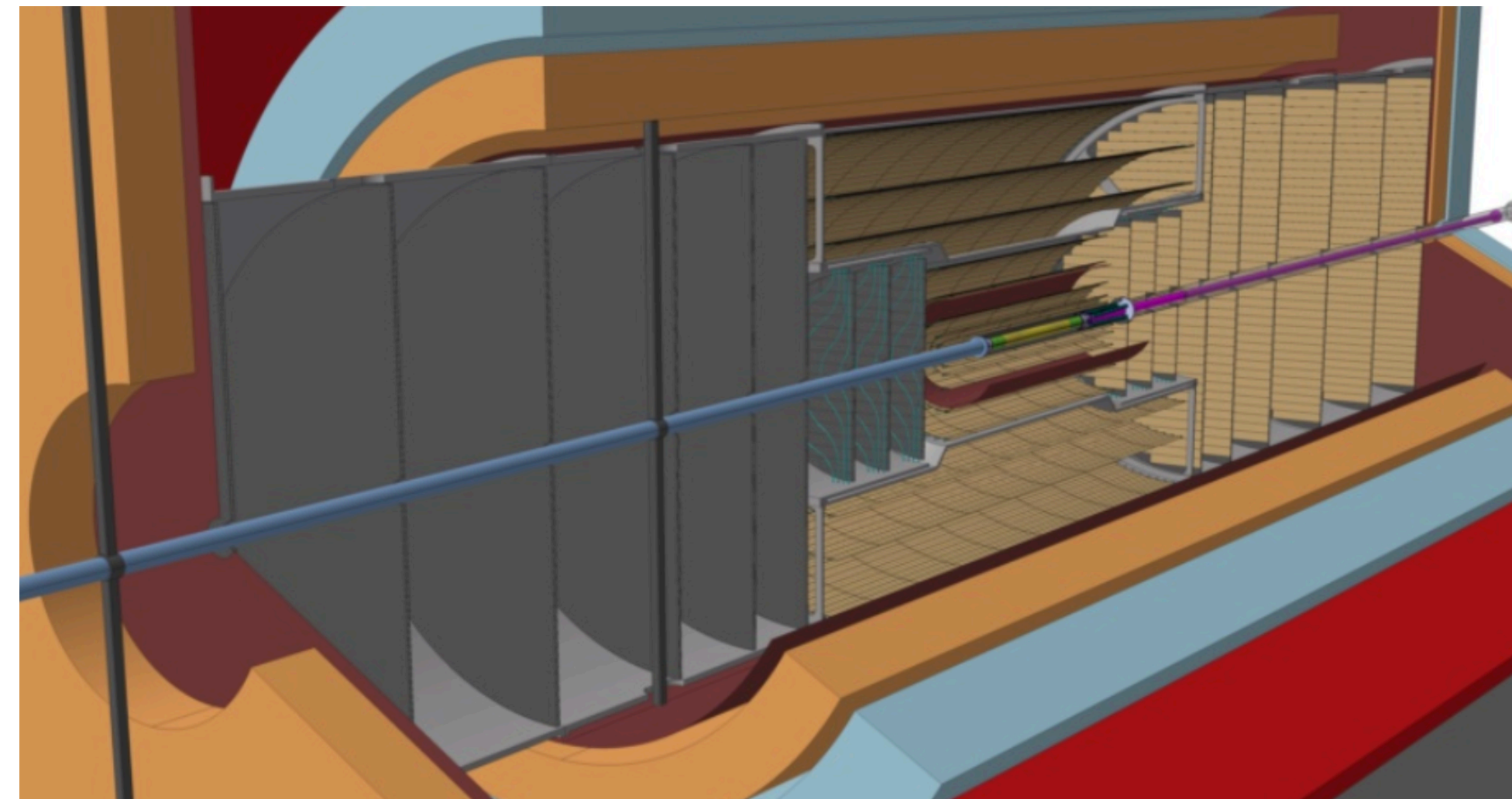
- Transverse momentum resolution
  - $\sim 1\%$  up to  $|\eta| = 4$
  - $\propto X/X_0 \rightarrow X/X_0 \sim 1\% / \text{layer}$
- Position resolution  $\sim 10 \mu\text{m} \rightarrow 50 \mu\text{m}$  pitch
- Time resolution  $\sim 100 \text{ ns}$

## Implementation

- MAPS  $\sim 60 \text{ m}^2$  active area
- 8 (barrel) + 9·2 (disk) layers
- $R_{\text{out}} \sim 80 \text{ cm}$ ,  $z_{\text{disc}} < 3.5 \text{ m}$

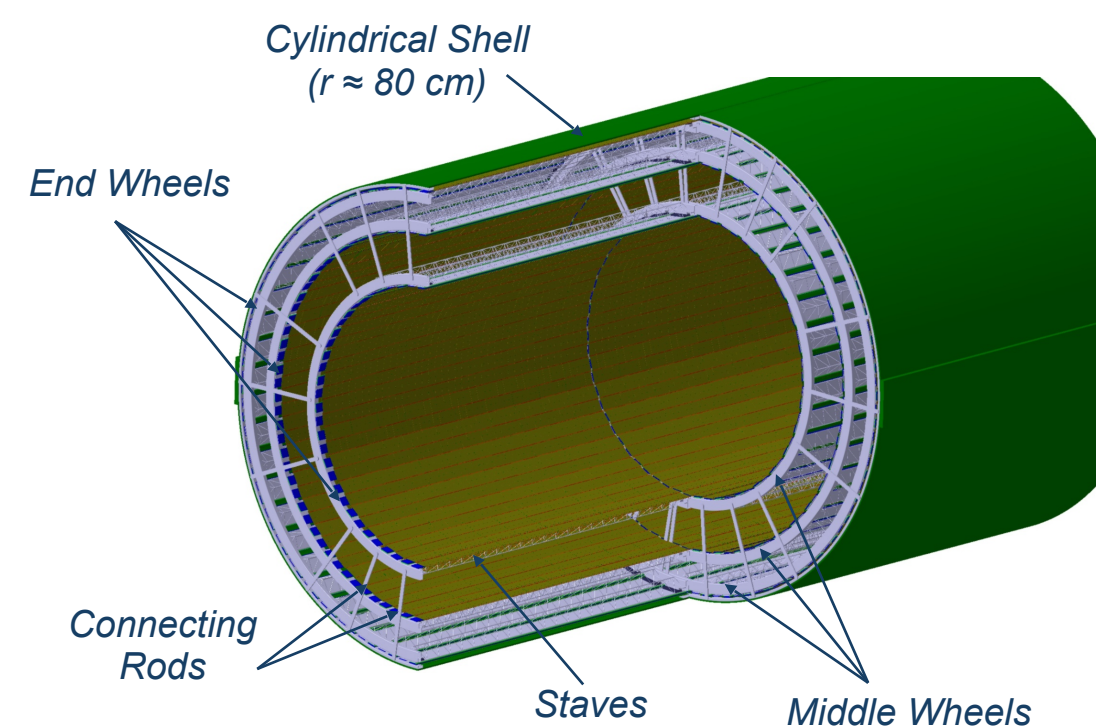
## Challenges

- Industrialization of the module assembly
- power consumption

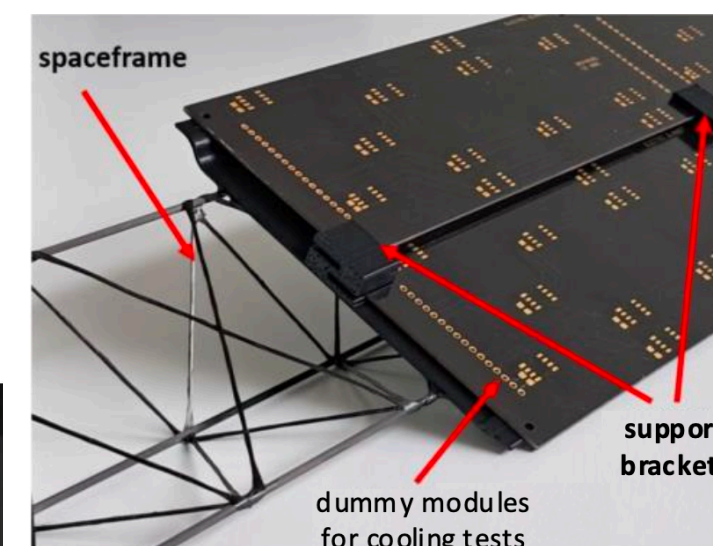
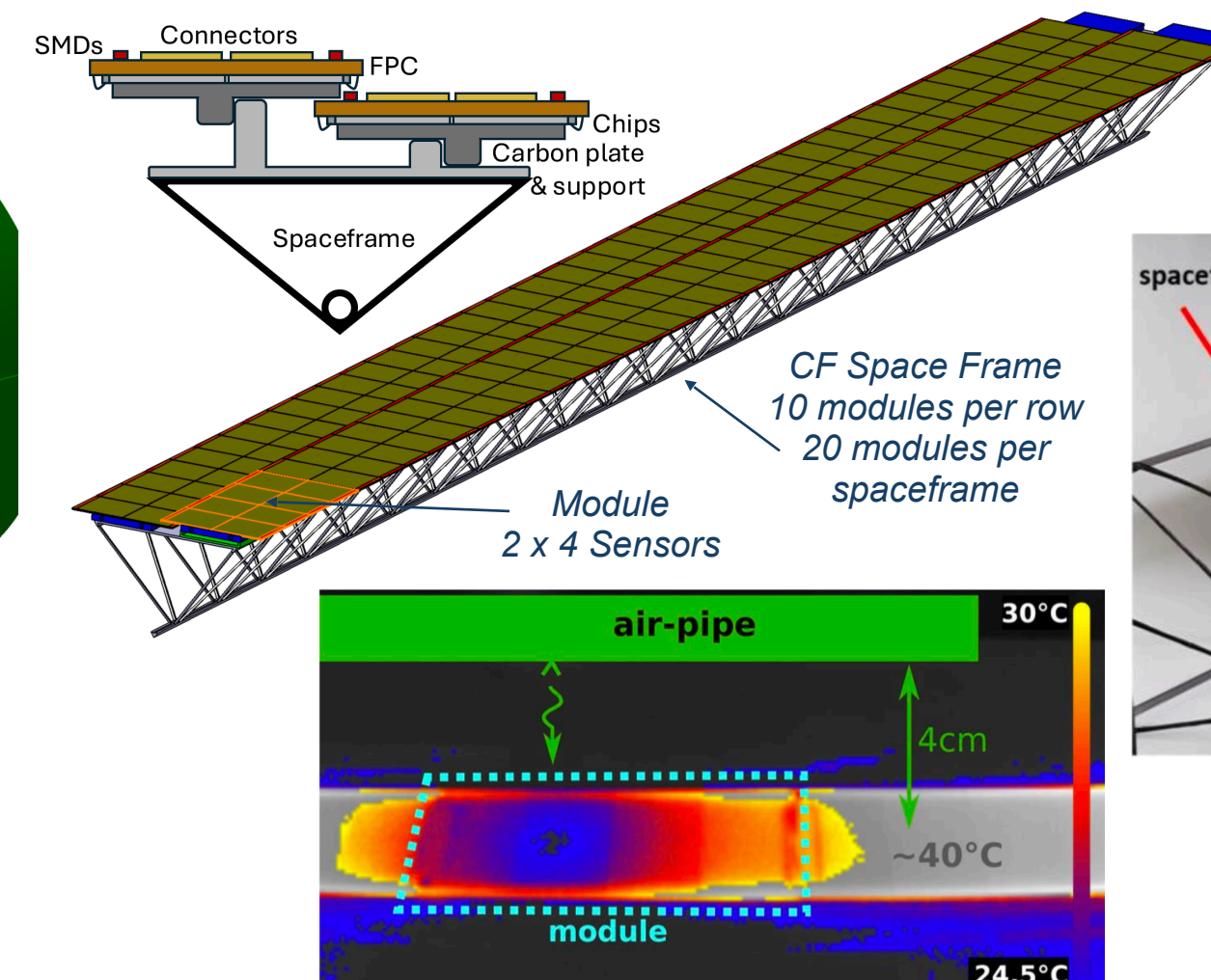


$p_T$  resolution  $< 2\%$  for mid-rapidity  
in the pion  $p_T$  range  $[0.2; 30] \text{ GeV/c}$

## Outer layers



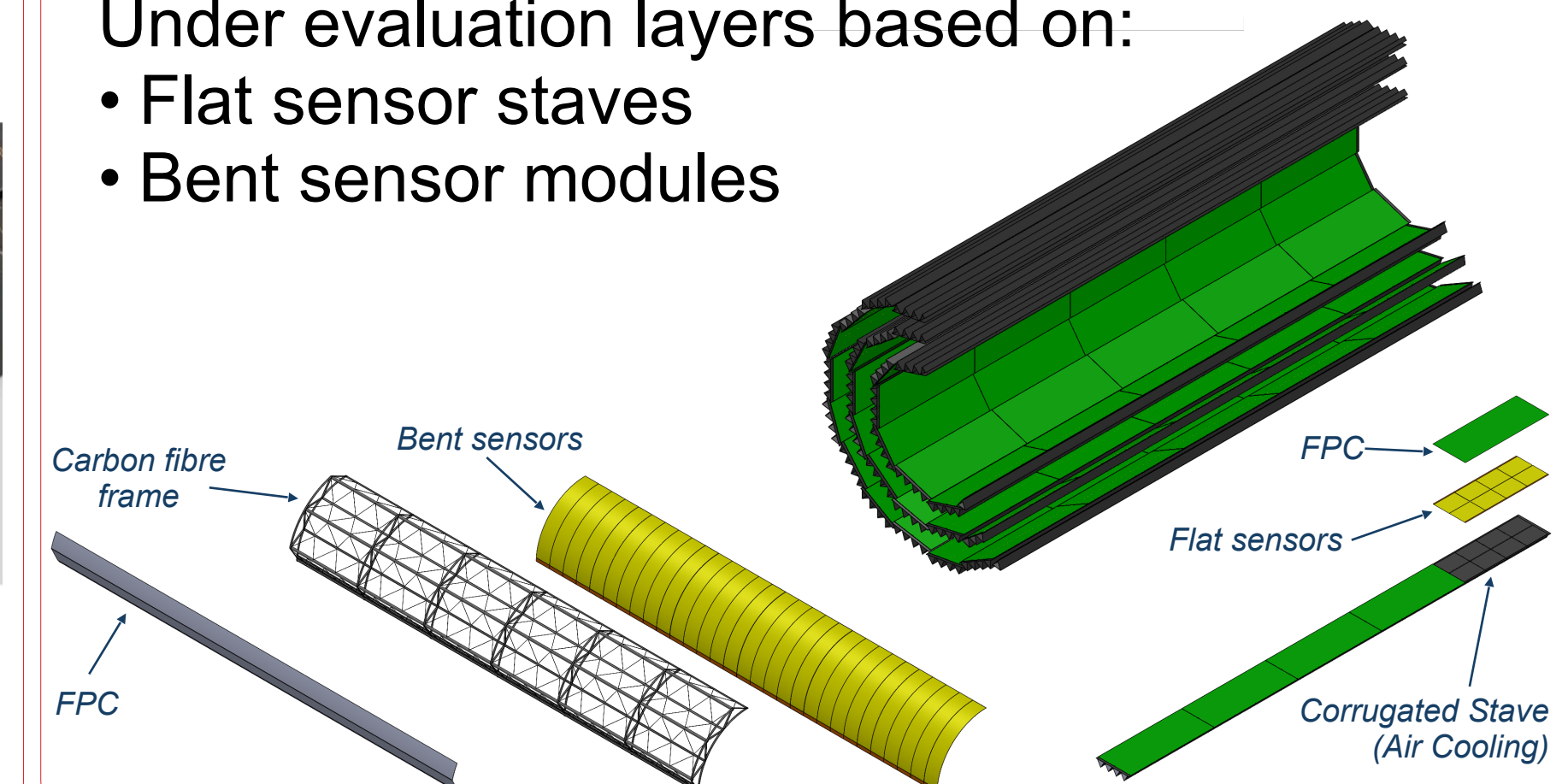
Stave based layers,  
cooled by air-flow



## Middle layers

Under evaluation layers based on:

- Flat sensor staves
- Bent sensor modules







# Particle Identification - Time Of Flight (TOF)

## Requirements

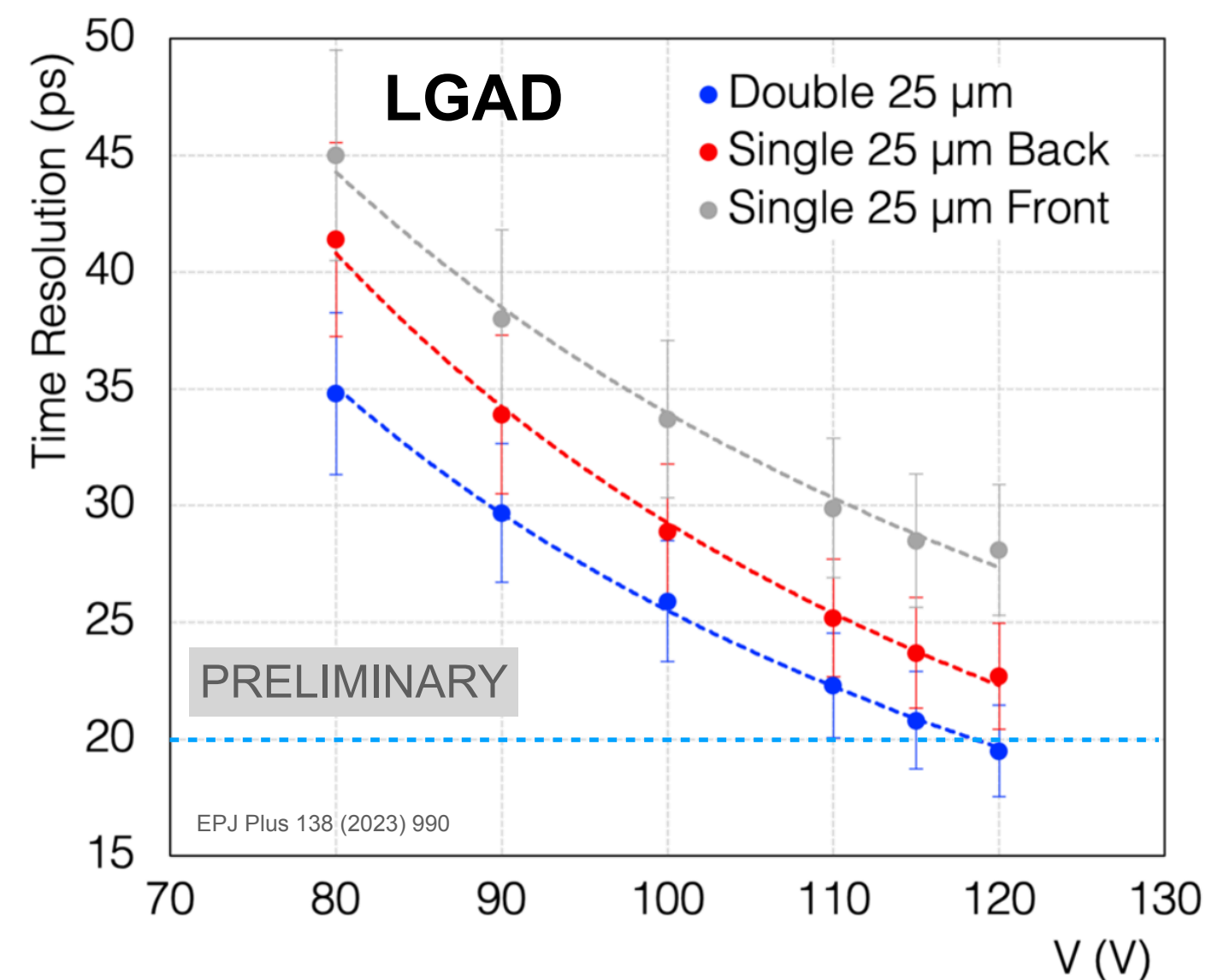
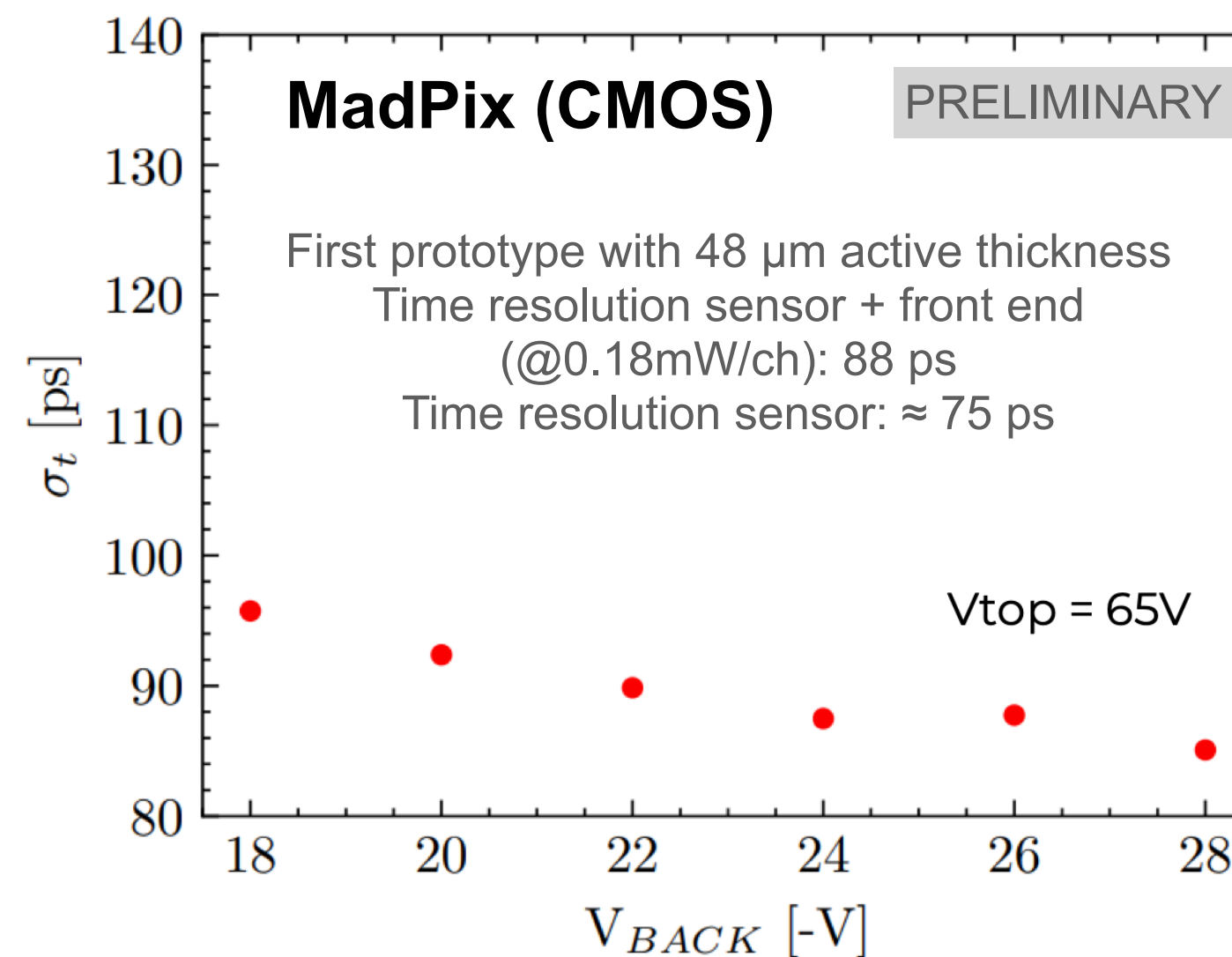
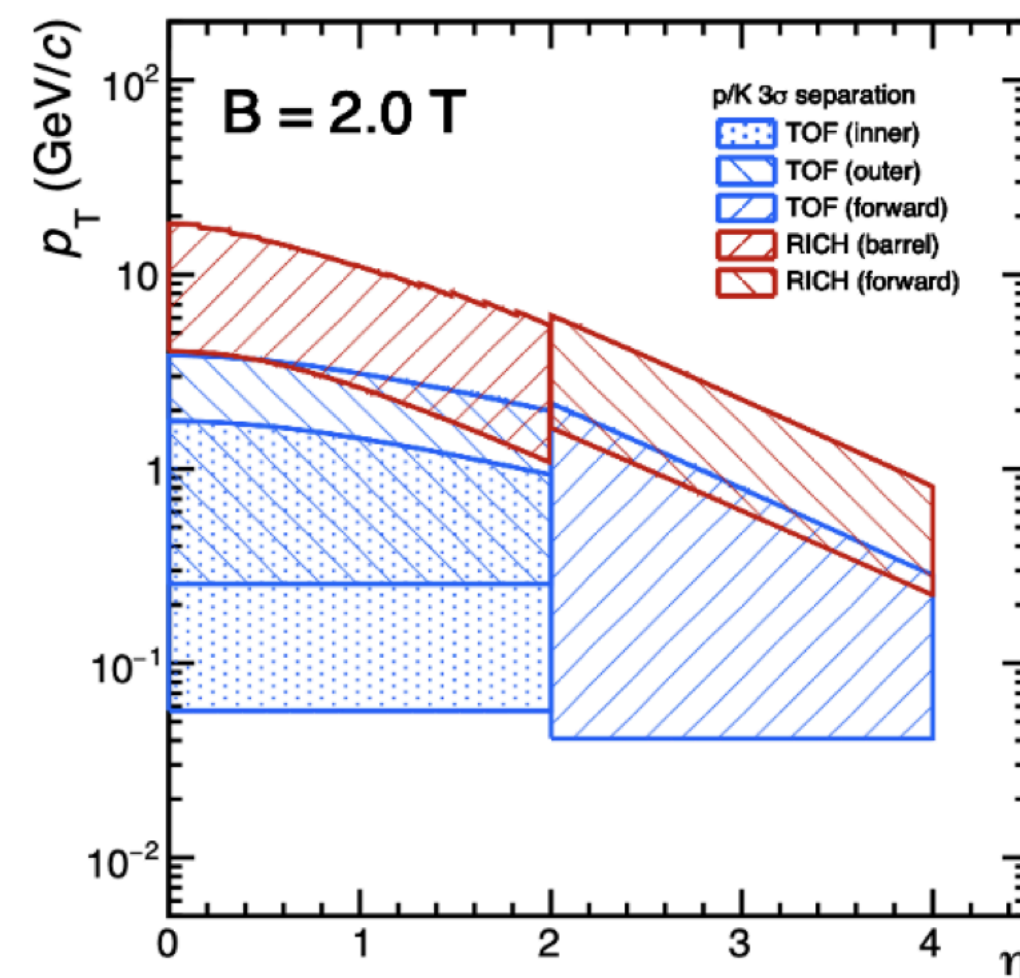
- $e/\pi$ ,  $\pi/K$  and  $K/p$  separation up to  $\sim 0.5$ , 2 and 4 GeV/c, respectively
  - $\sim 1\%$  up to  $|\eta| = 4$
  - $\propto L/\sigma_{\text{TOF}} \rightarrow \sigma_{\text{TOF}} \sim 20$  ps

## Implementation

- 2 barrel + 1 forward layers, 45 m<sup>2</sup>

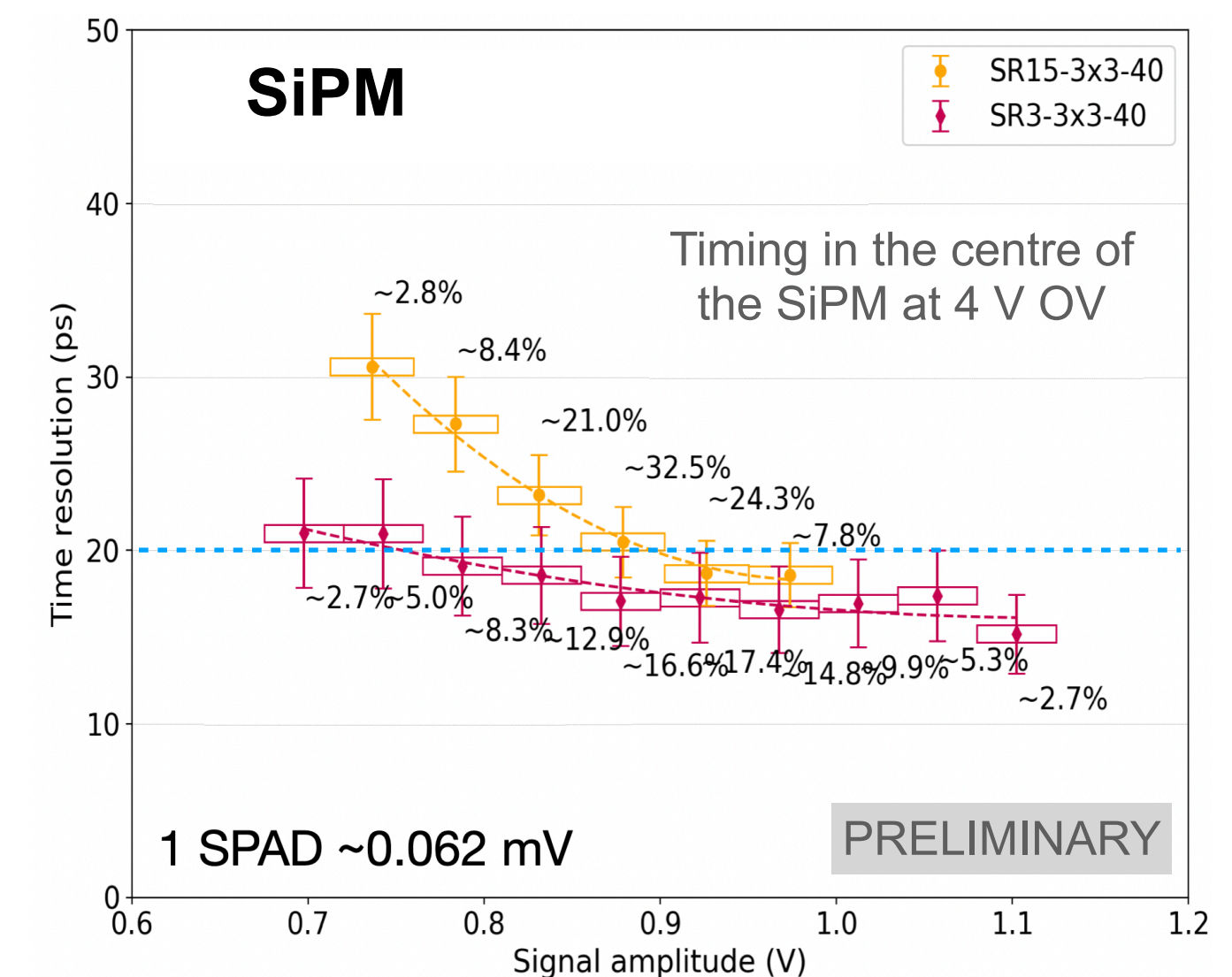
## Challenges

- Achievement of the target 20 ps time resolution at “full-system” level



## Three technology options

- **CMOS**: promising 20-ps prospects with:
  - new sensor layout (with final gain)
  - thinning to 15  $\mu\text{m}$
- **LGAD**: double-LGAD with signals of both layers sum up using a *single* front-end amplifier shown consistent improvement
- **SiPM**: direct response to charged particles due to Cherenkov light firing up to 4-5 SPADs  $\rightarrow$  Large number of firing SPADs improves significantly  $\sigma_t$







# Particle Identification - Ring Imaging Cherenkov (RICH)

## Requirements

- Extended PID beyond TOF limits
  - $e/\pi$ ,  $\pi/K$  and  $K/p$  separation up to  $\sim 2$ , 10 and 16 GeV/c, respectively

- $\sigma_{\text{RICH}} \sim 1.5$  grad at saturation

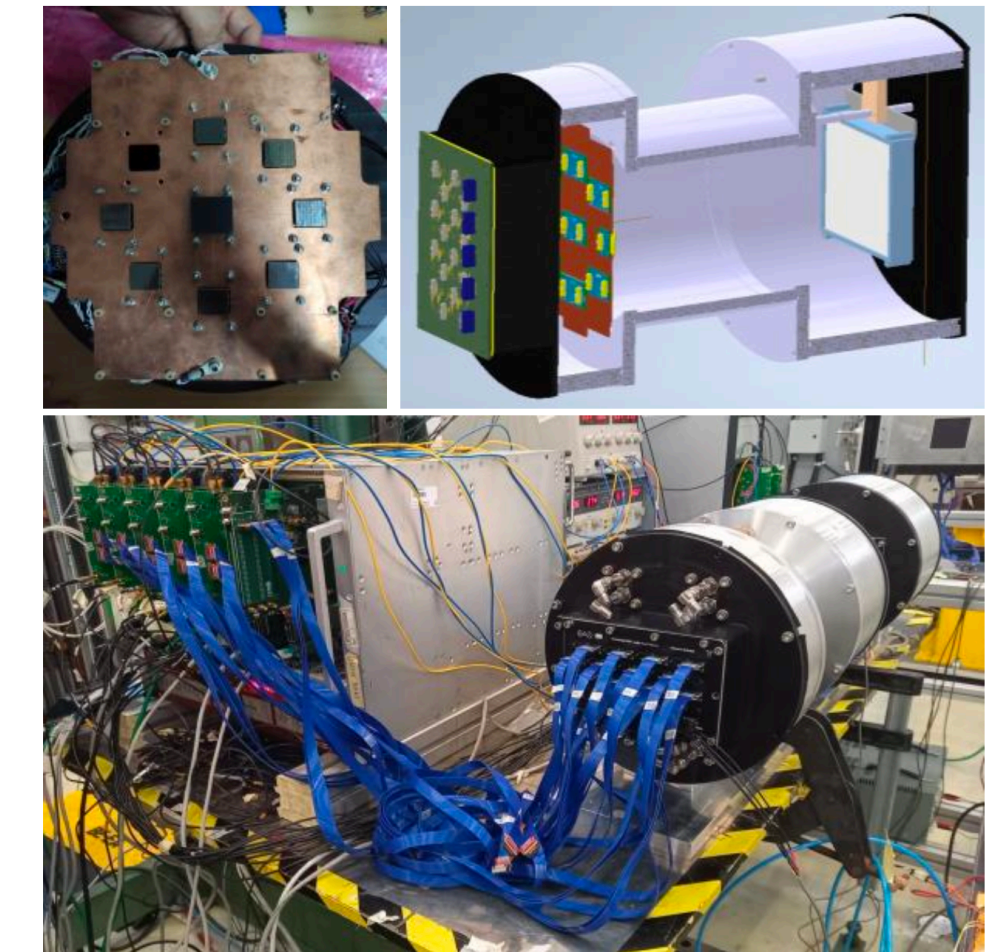
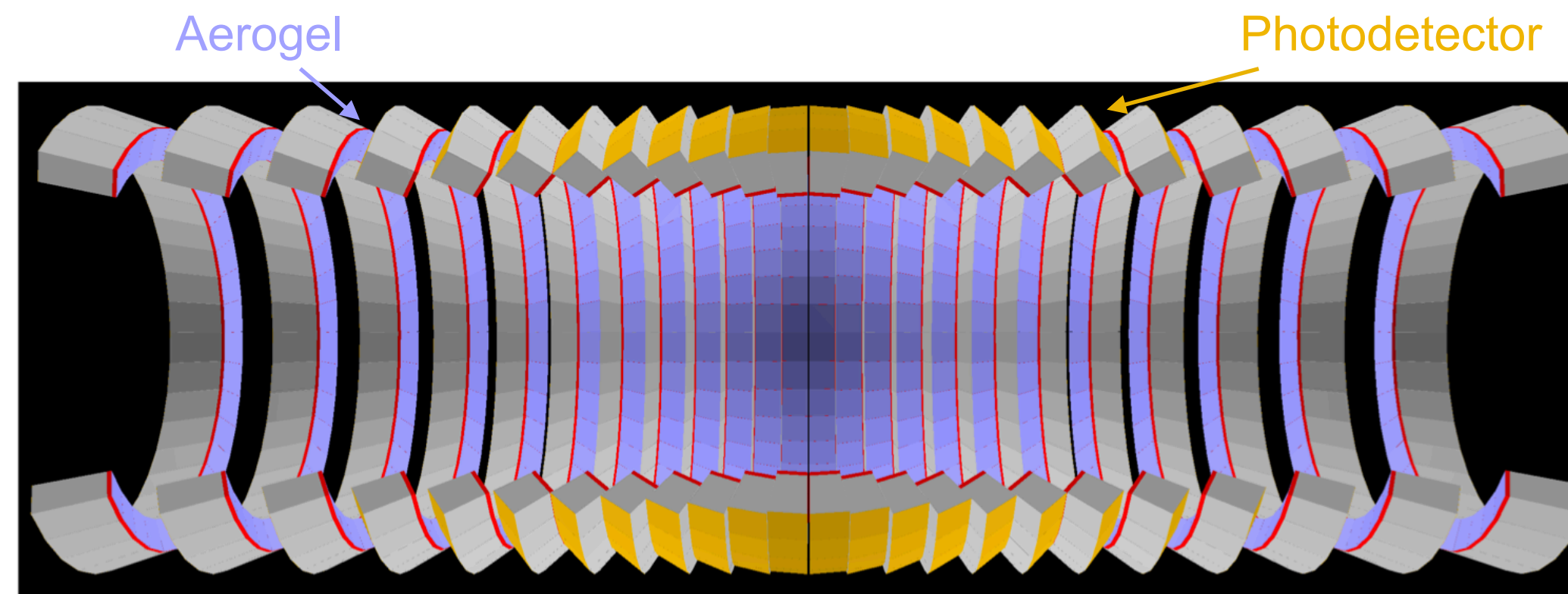
## Implementation

- bRICH:
  - Aerogel ( $n=1.03$ ) + SiPMs ( $\sim 30 \text{ m}^2$ )
- fRICH:
  - Aerogel ( $n=1.015$ ) + HRPPDs ( $\sim 8 \text{ m}^2$ )

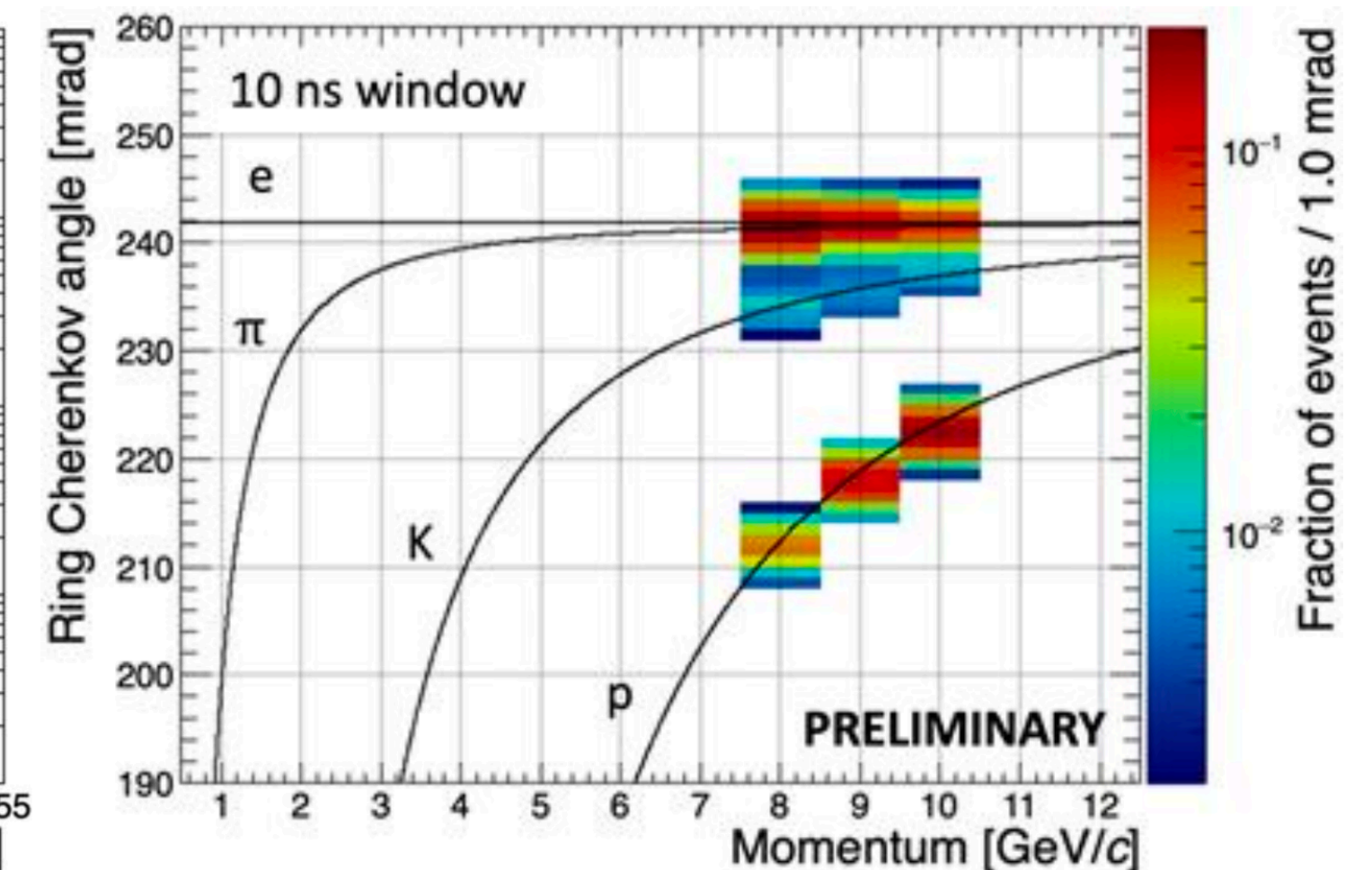
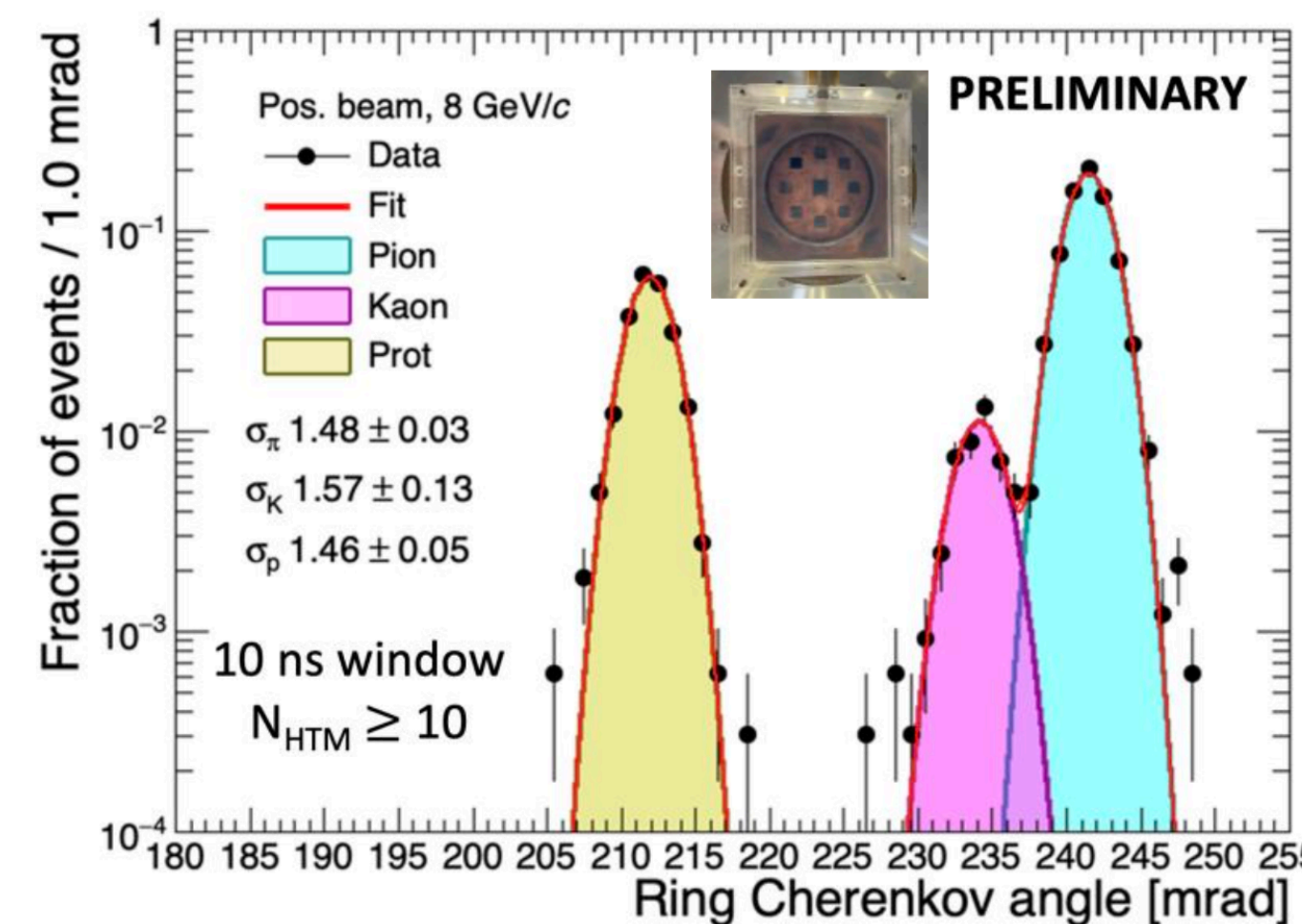
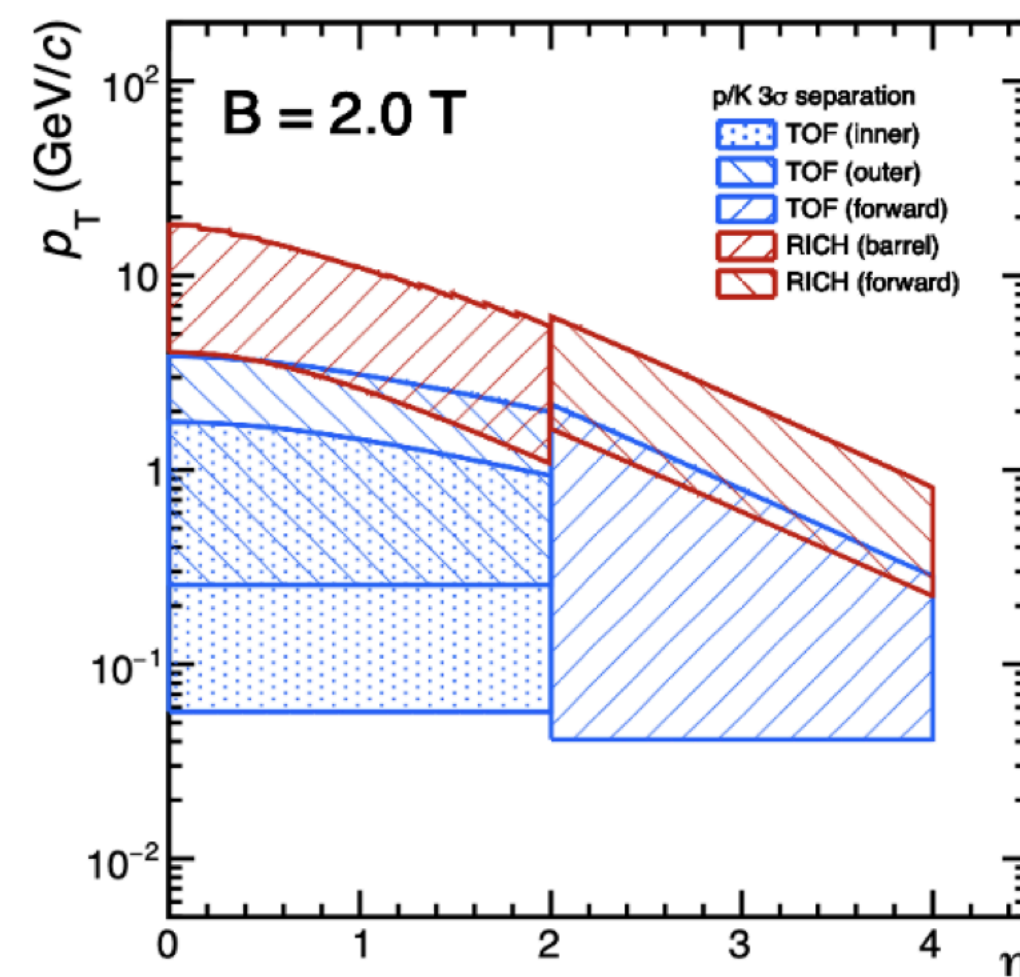
## Challenges

- SiPM radiation tolerance and DCR mitigation with cooling + annealing

## Projective bRICH layout



## 2024 beam test results using Radioroc + PicoTDC





# CONCLUSIONS

- The **ALICE experiment** was conceived to determine the properties of the quark–gluon plasma (QGP) and is preparing to further investigate in the coming years how these properties emerge from the fundamental interactions described by quantum chromodynamics.
- The upgrade to **ALICE 2.1** is extending the present capabilities of the experiment, especially at very low transverse momentum, and is paving the technology for the future vertexes.
- The substantial **R&D** effort is advancing the state of the art in detector technologies, aiming at unprecedented spatial and temporal resolution while maintaining an exceptionally low material budget.
- The **ALICE 3** schedule foresees the selection of technologies during 2025–2026, followed by the production of large-scale prototypes and the preparation of the Technical Design Reports in 2026–2027.



ITS3 and ALICE 3 trackers have similar requirements as the FCC-ee tracker

	ITS3	ALICE 3 VTX	ALICE 3 TRK	ePIC	FCC-ee
Single-point res. ( $\mu\text{m}$ )	5	2.5	10	5	3
Time res. (ns RMS)	2000	100	100	2000	20
In-pixel hit rate (Hz)	54	96	42		few 100
Fake-hit rate (/pixel/event)	$10^{-7}$	$10^{-7}$	$10^{-7}$		
Power cons. (mW / $\text{cm}^2$ )	35	70	20	<40	50
Hit density (MHz/ $\text{cm}^2$ )	8.5	96	0.6		200
NIEL (1 MeV $n_{\text{eq}}/\text{cm}^2$ )	$4 \cdot 10^{12}$	$1 \cdot 10^{16}$	$2 \cdot 10^{14}$	few $10^{12}$	$10^{14}$ (/year)
TID (Mrad)	0.3	300	5	few 0.1	10 (/year)
Material budget ( $X_0/\text{layer}$ )	0.09%	0.1%	1%	0.05%	$\sim 0.3\%$
Pixel size ( $\mu\text{m}$ )	20	10	50	20	15-20

Input to ESPP2026 "Frontier sensor R&D for the ALICE 3 apparatus":  
<https://indico.cern.ch/event/1439855/contributions/6461481/>

ALICE R&D is really a stepping stone  
toward the future experiments



