



cnrs

HIDDe   
Hunting Invisibles: Dark sectors, Dark matter and Neutrinos



iJC Lab  
Irène Joliot-Curie  
Laboratoire de Physique  
des 2 Infinis

# Understanding $B \rightarrow K \nu \bar{\nu}$

## Theoretical perspective

Based on [2301.06990] & [2309.02246],  
in collaboration with L. Allwicher, D. Becirevic, G. Piazza & O. Sumensari

GDR-InF, Strasbourg

Salvador Rosauero-Alcaraz, 06/11/2023



INTENSITY

frontier

GDR-InF

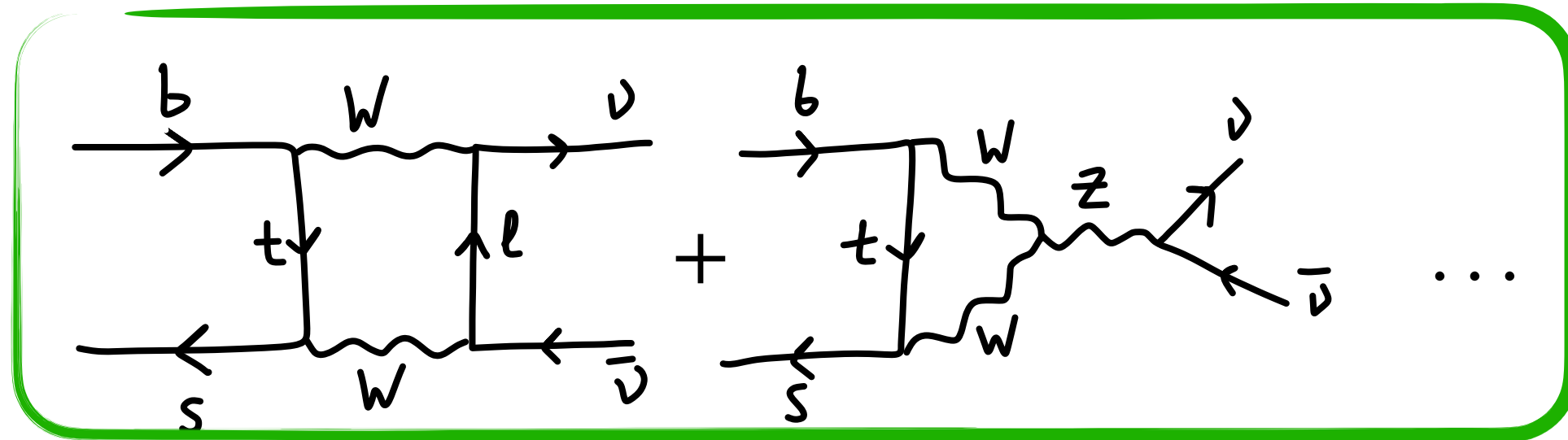
# Plan for the talks

- $B \rightarrow K^{(*)} \nu \bar{\nu}$  in the SM and theoretical uncertainties SRA
- Search for the rare decay  $B^+ \rightarrow K^+ \nu \bar{\nu}$  decay at Belle II Jacopo Cerasoli & Lucas Martel
- Consequences for New Physics of the Belle-II measurement SRA

# Introduction

## FCNC processes as probes of NP

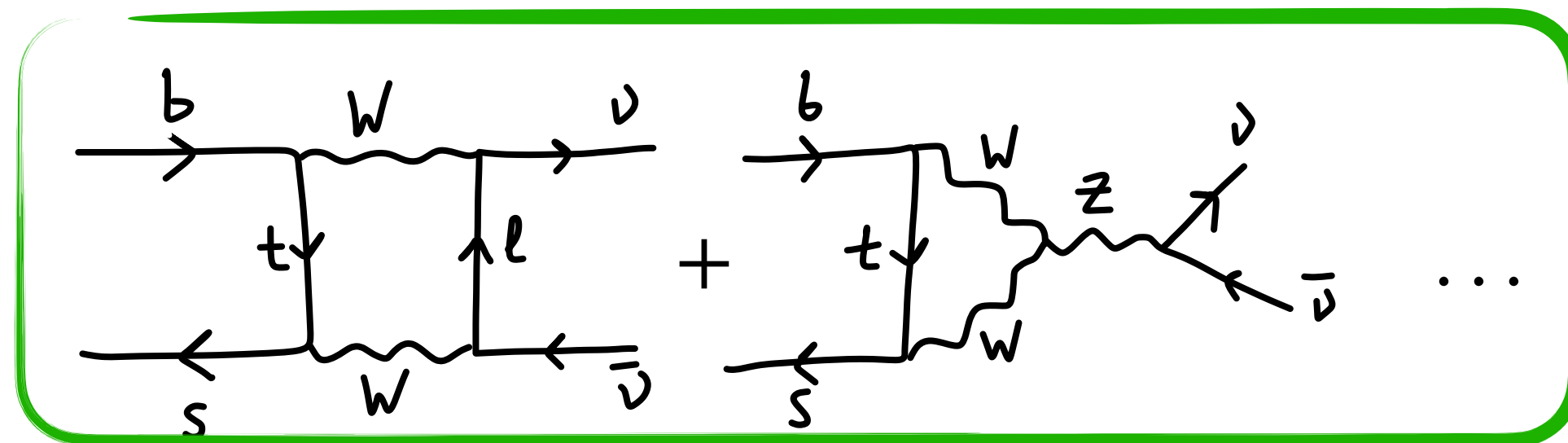
Flavour Changing Neutral Current (FCNC) processes are good probes of New Physics as they are loop and CKM suppressed in the SM



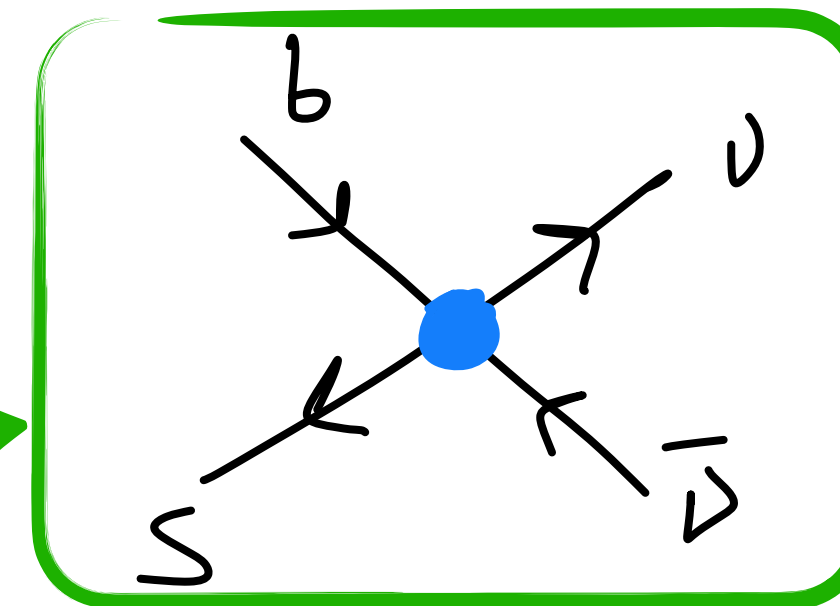
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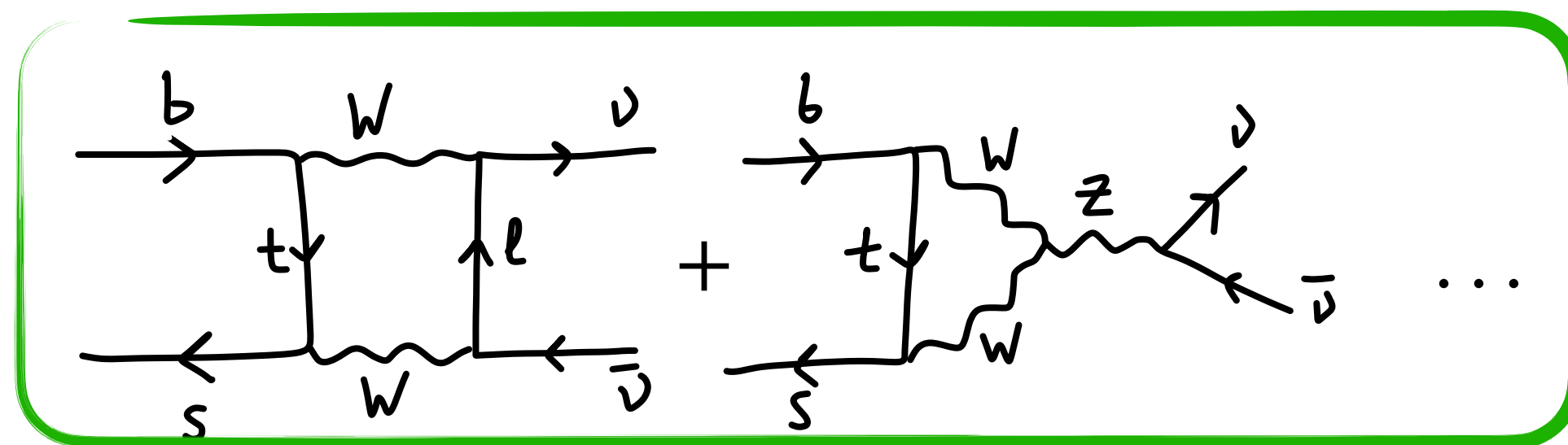
At low energies  
use an EFT



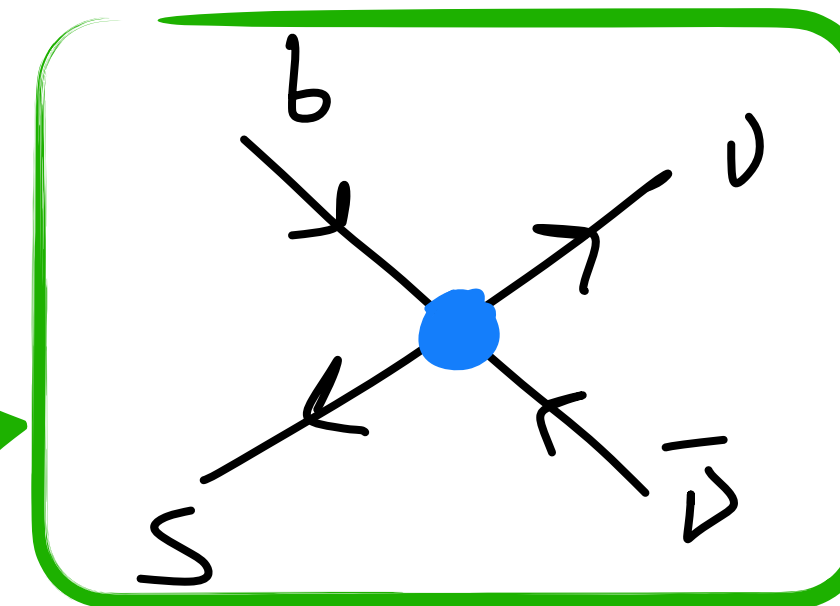
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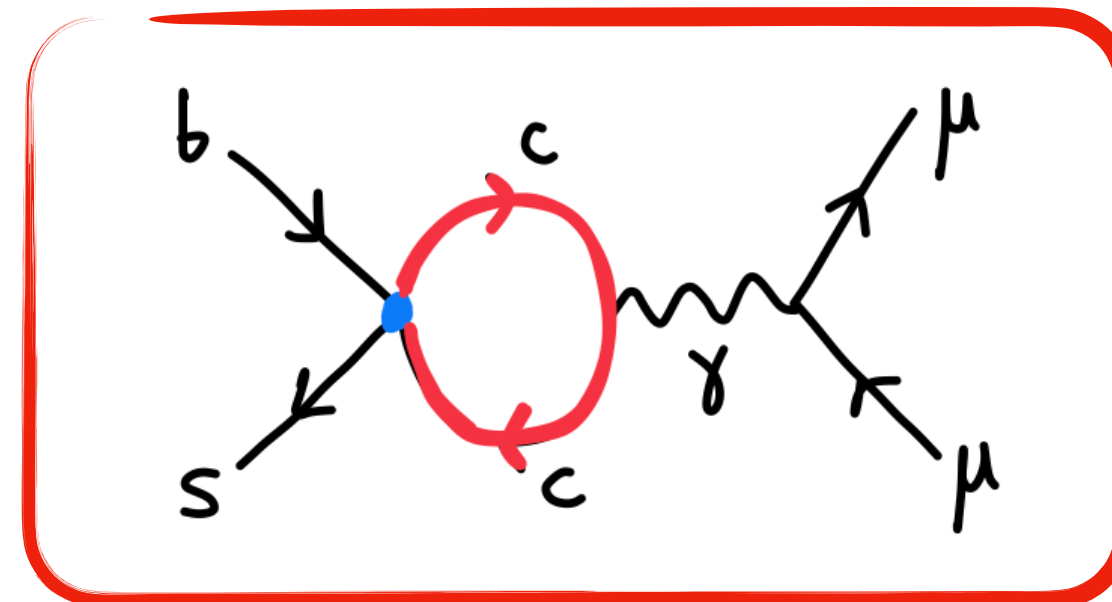


At low energies  
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Hadronic uncertainties might hinder their precise determination:

$b \rightarrow s\nu\nu$  is theoretically cleaner than  $b \rightarrow s\mu\mu$ , not affected by  $c\bar{c}$ -loops



$B \rightarrow K^{(*)} \nu \nu$  in the SM

# Effective lagrangian

$$b \rightarrow s\nu\nu$$

## Effective description in the (B)SM

See e.g. A. Buras et al., 1409.4557

$$\mathcal{L}^{b \rightarrow s\nu\nu} = \frac{4G_F}{\sqrt{2}} \lambda_t \sum_a C_a \mathcal{O}_a + h.c.$$


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$$C_L^{\text{SM}} = -6.32(7)$$

Flavor diagonal

NLO QCD & 2-loop  
EW corrections

G. Buchalla & A. Buras, Nucl. Phys. B (1993)  
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Only operators present even with NP (w/o  $\nu_R$ )

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# Sources of uncertainty

## CKM matrix element determination

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Inclusive vs exclusive

$$\lambda_t \times 10^3 = \begin{cases} 41.4 \pm 0.8, & B \rightarrow X_c \ell \bar{\nu} & \text{HFLAV, arXiv:2206.07501} \\ 39.3 \pm 1.0, & B \rightarrow D \ell \bar{\nu} & \text{FLAG, arXiv:2111.09849} \\ 37.8 \pm 0.7, & B \rightarrow D^{(*)} \ell \bar{\nu} & \text{HFLAV, arXiv:2206.07501} \end{cases}$$

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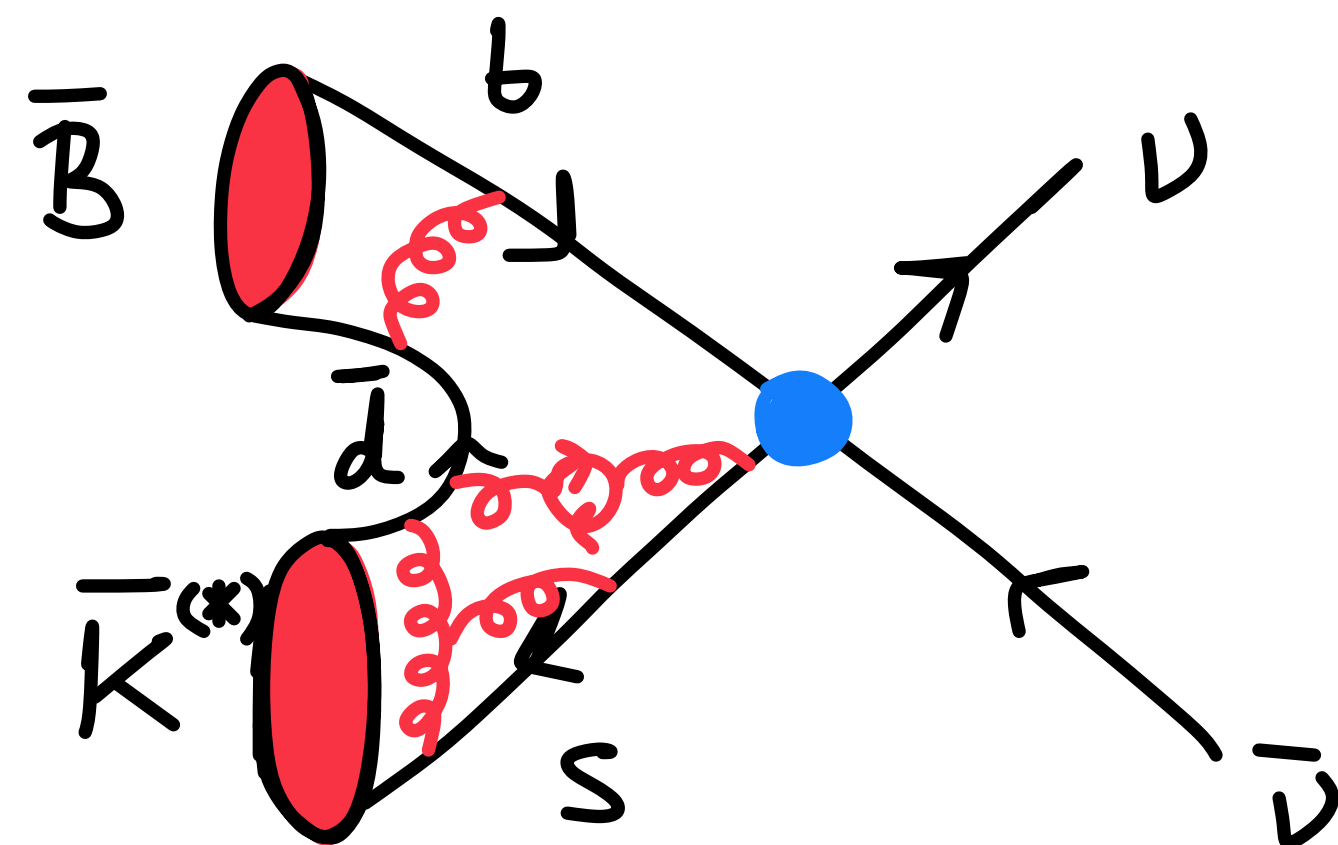
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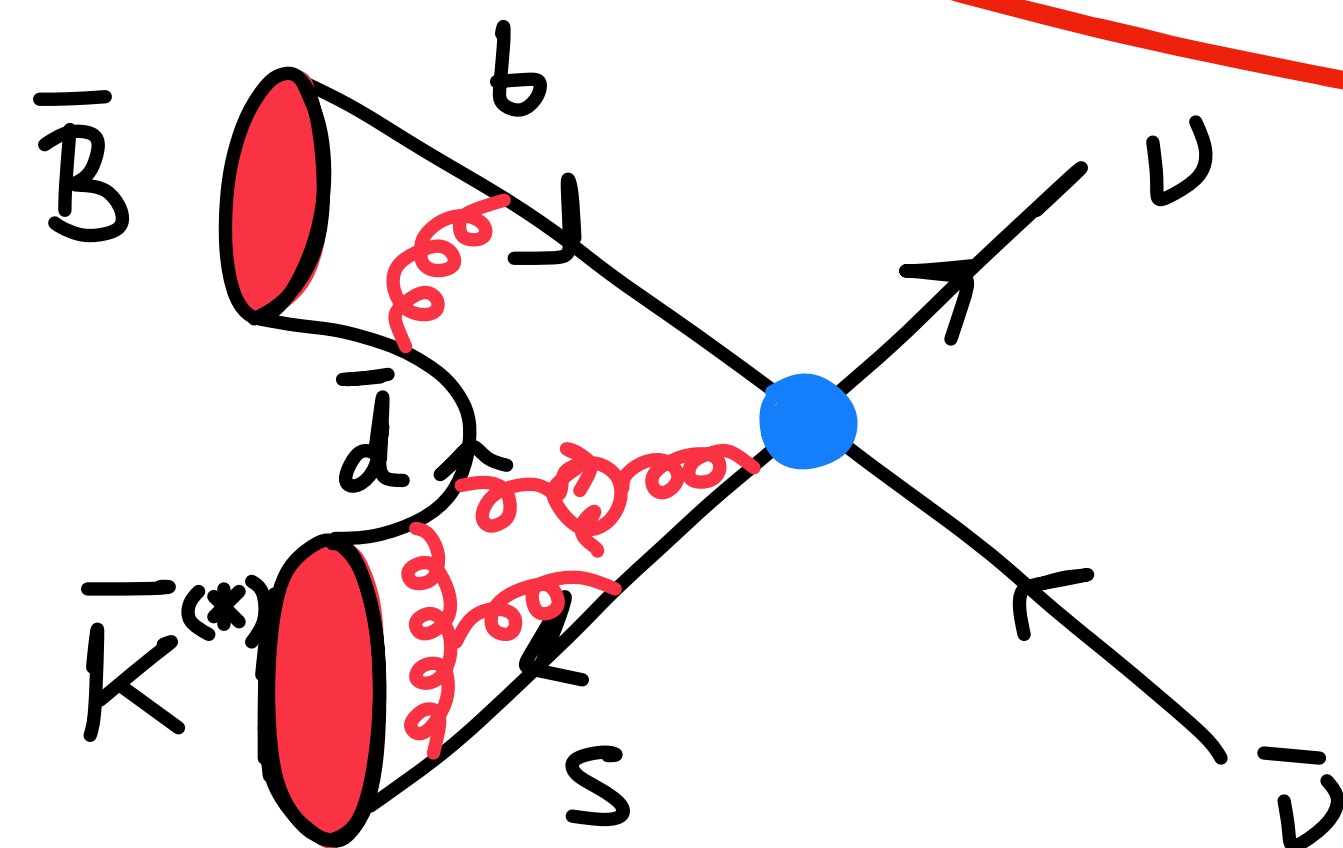
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Hadronic matrix element

CKM determination

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Inclusive vs exclusive?

Lorentz structure

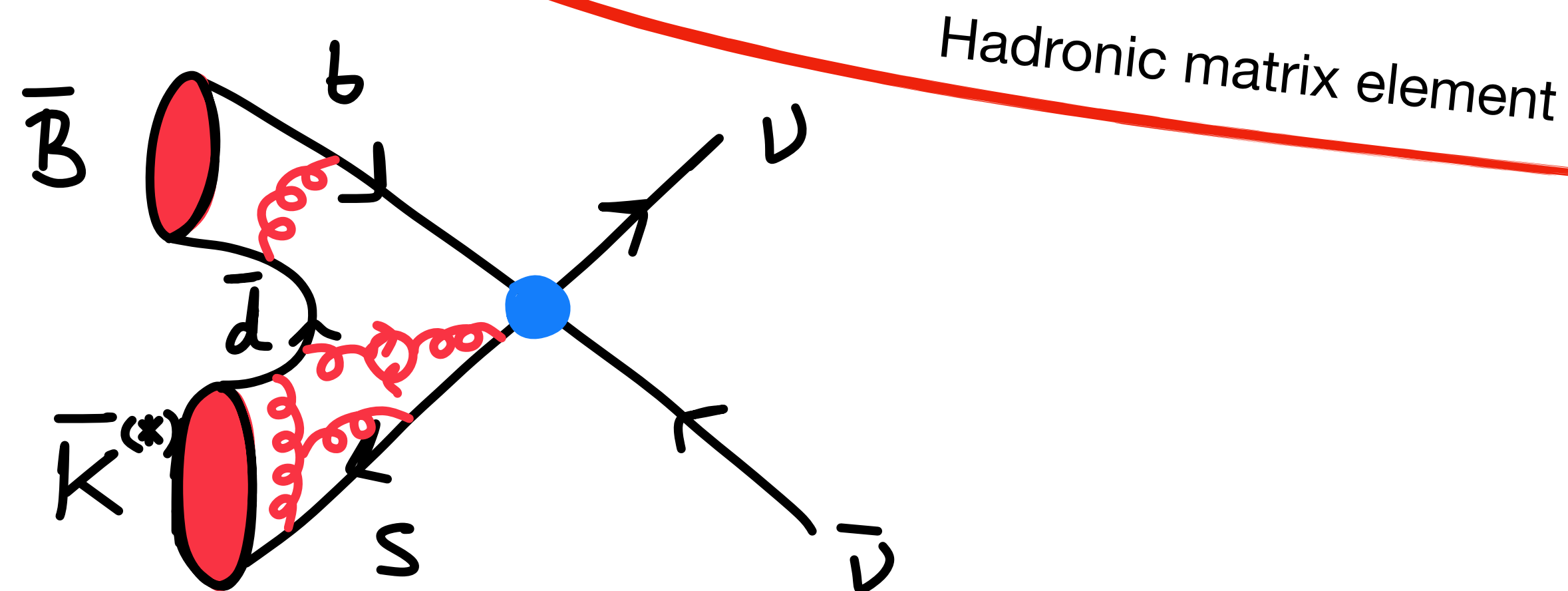
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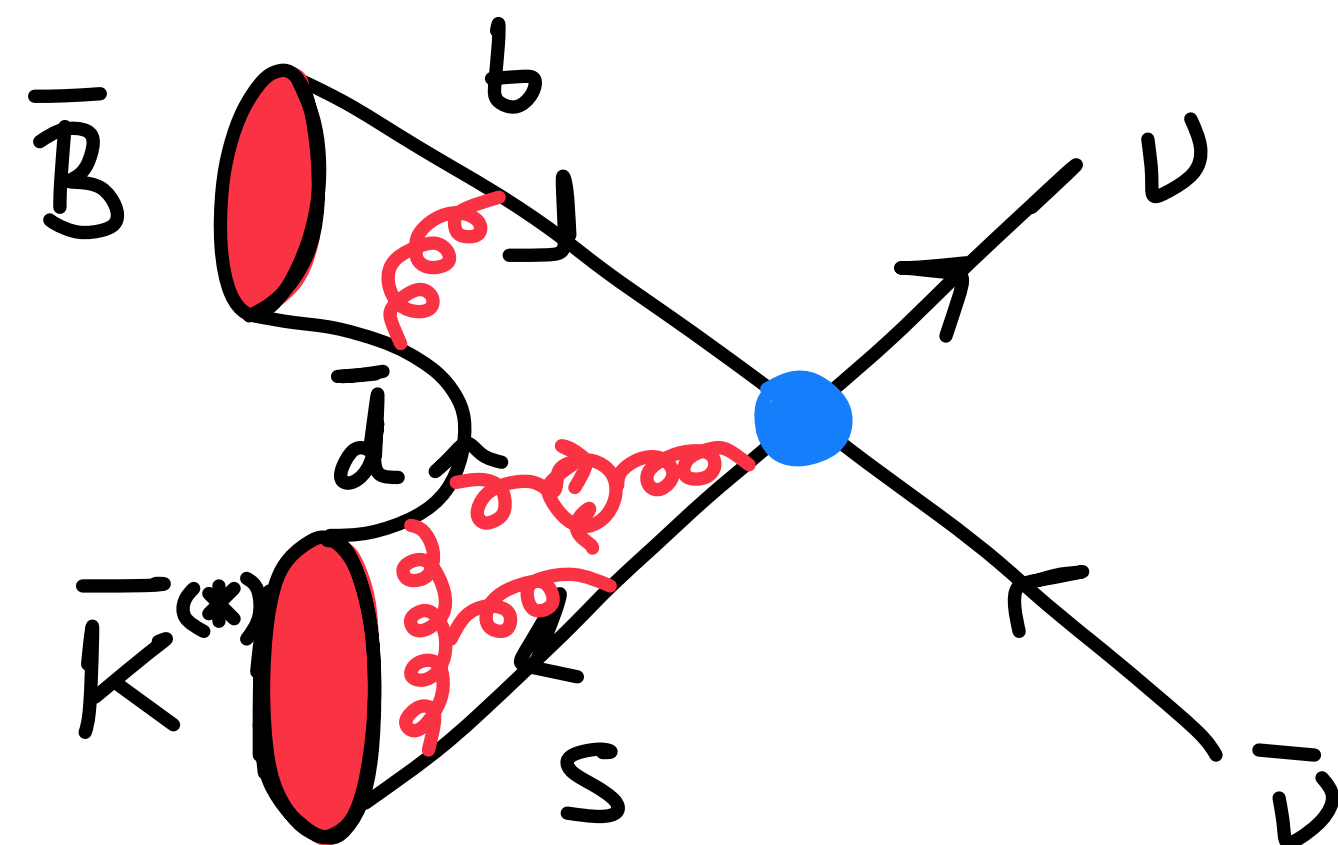
(Lattice QCD, LCSR...)

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# Form factors

$$B \rightarrow K \nu \bar{\nu}$$

HPQCD, arXiv:2207.12468  
FNAL/MILC, arXiv:1509.06235

Lattice determinations of the form factors (FF)

$$\langle \bar{K}(k) \bar{s} \gamma^\mu b \bar{B}(p) \rangle = \left[ (p+k)^\mu - \frac{m_B^2 - m_K^2}{q^2} q^\mu \right] f_+(q^2) + q^\mu \frac{m_B^2 - m_K^2}{q^2} f_0(q^2)$$

Only FF entering  $\mathcal{B} (B \rightarrow K \nu \bar{\nu})$

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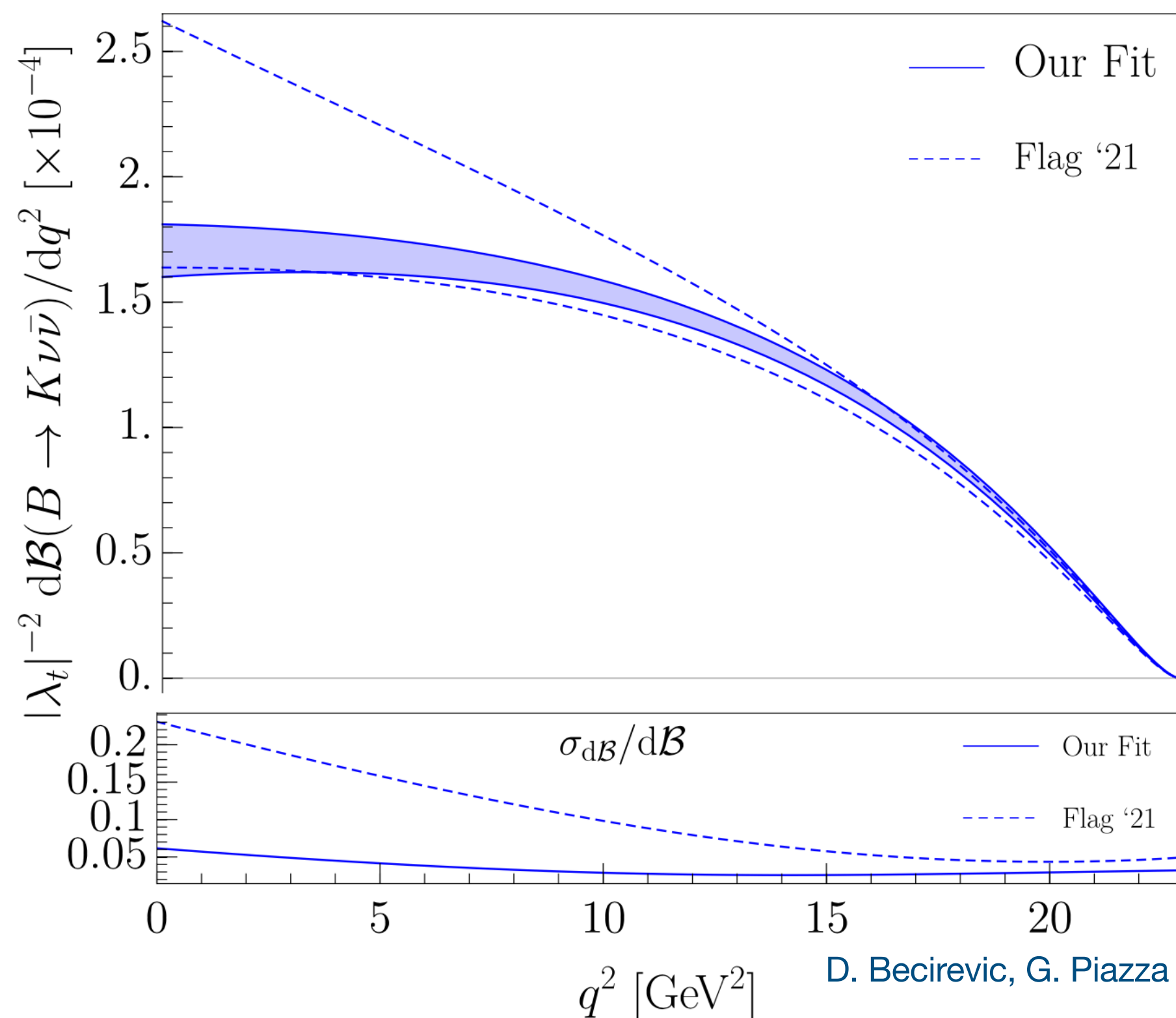
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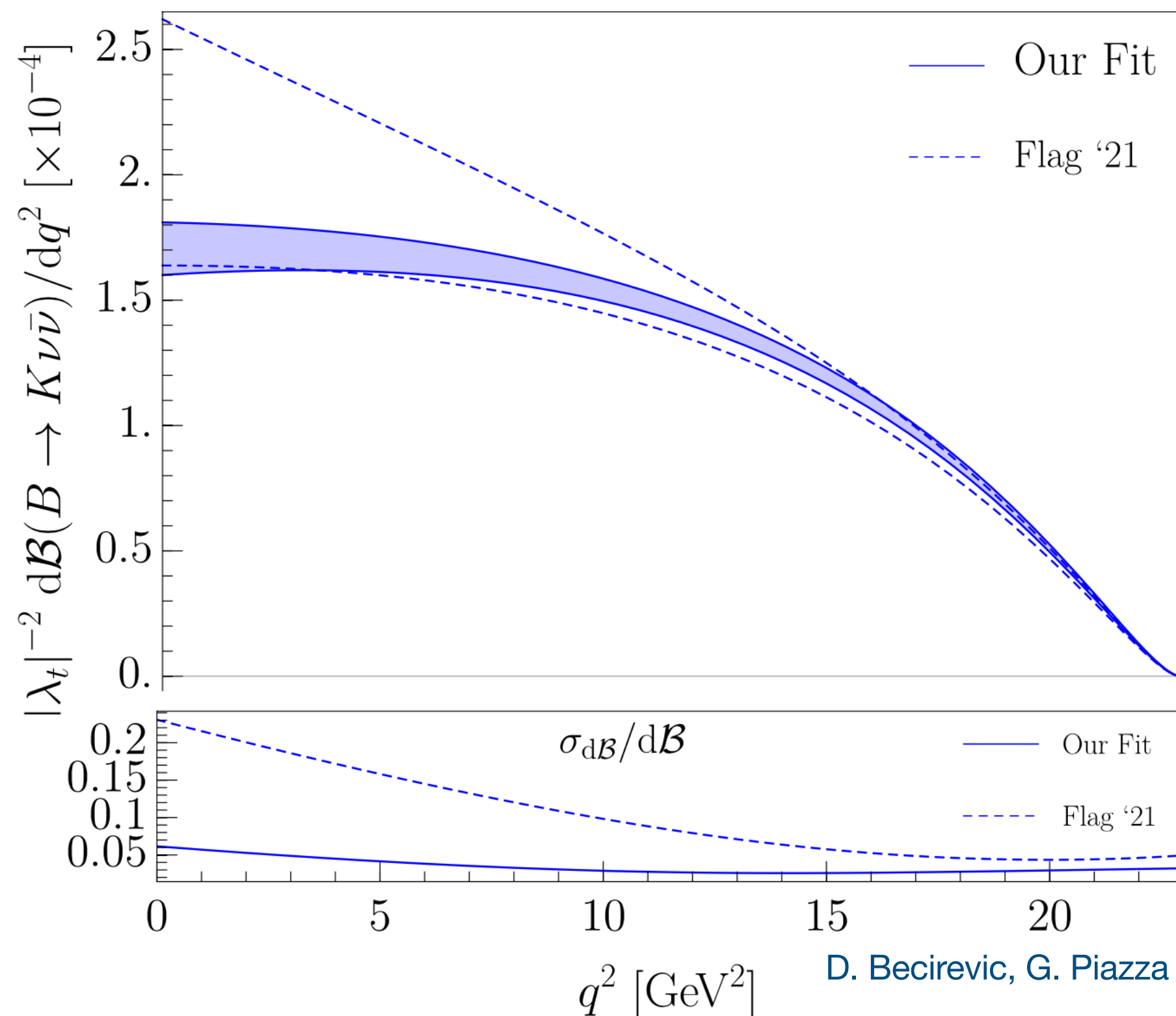
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Relative error related to FF determination  $\lesssim \mathcal{O}(5\%)$

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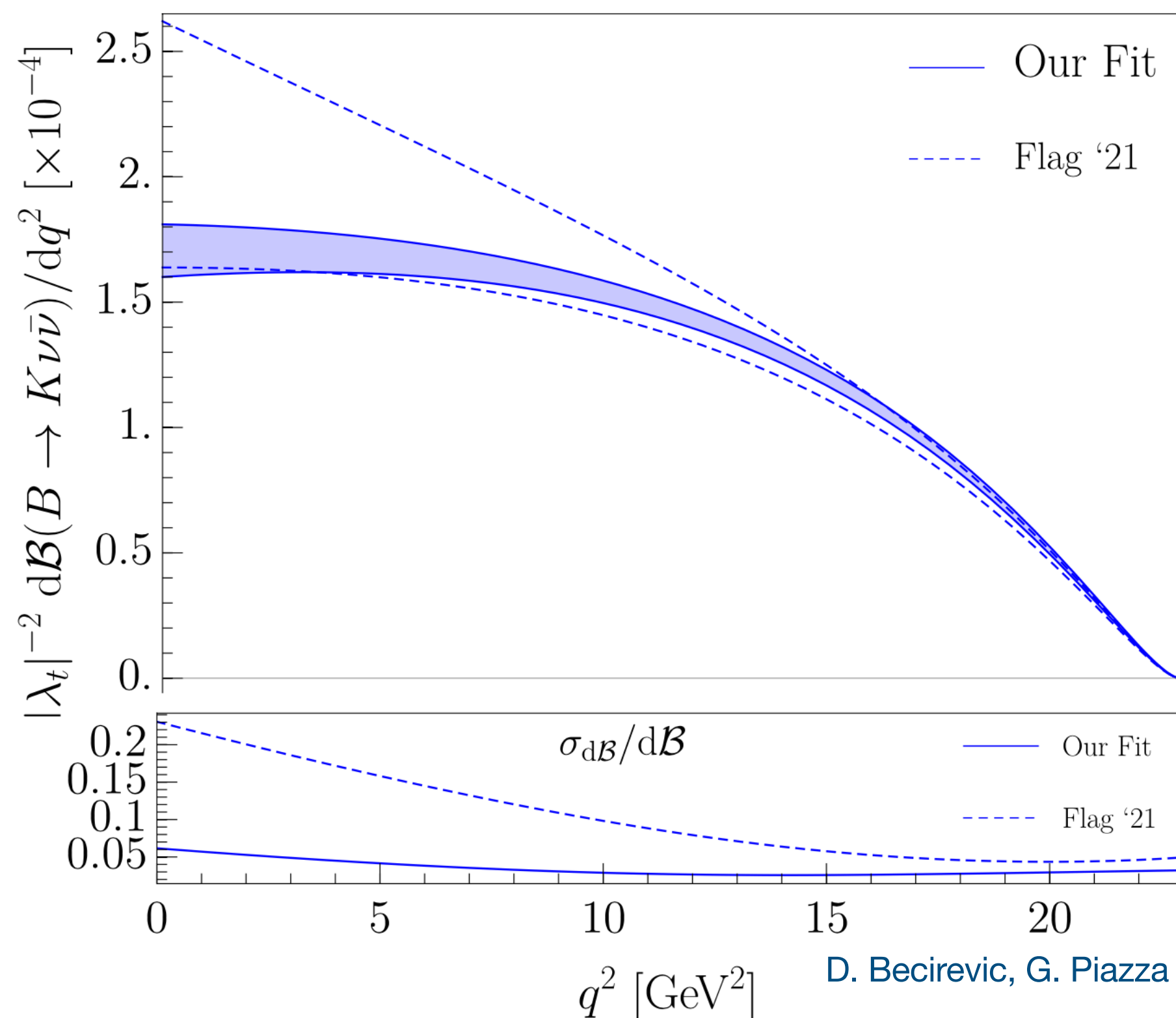
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Relative error related to FF determination  $\lesssim \mathcal{O}(5\%)$

Final prediction

$$\mathcal{B} (B^\pm \rightarrow K^\pm \nu \bar{\nu}) = (4.44 \pm 0.30) \times 10^{-6}$$

$\mathcal{O}(7\%)$  error

\*Only loop contribution

# Form factors

$$B \rightarrow K^* \nu \bar{\nu}$$

Several FF enter into the decay rate, determined through the combination of a Lattice QCD result & LCSR

R. R. Horgan *et al.*, arXiv:1310.3722  
A. Bharucha, D. M. Straub & R. Zwicky, arXiv:1503.05534

$$\begin{aligned} \langle \bar{K}^*(k) \bar{s}_L \gamma^\mu b_L \bar{B}(p) \rangle = & \epsilon_{\mu\nu\rho\sigma} \varepsilon^{*\nu} p^\rho k^\sigma \frac{2V(q^2)}{m_B + m_{K^*}} - i\varepsilon_\mu^* (m_B + m_{K^*}) A_1(q^2) \\ & + i(p+k)_\mu (\varepsilon^* \cdot q) \frac{A_2(q^2)}{m_B + m_{K^*}} + iq_\mu (\varepsilon^* \cdot q) \frac{2m_{K^*}}{q^2} [A_3(q^2) - A_0(q^2)] \end{aligned}$$

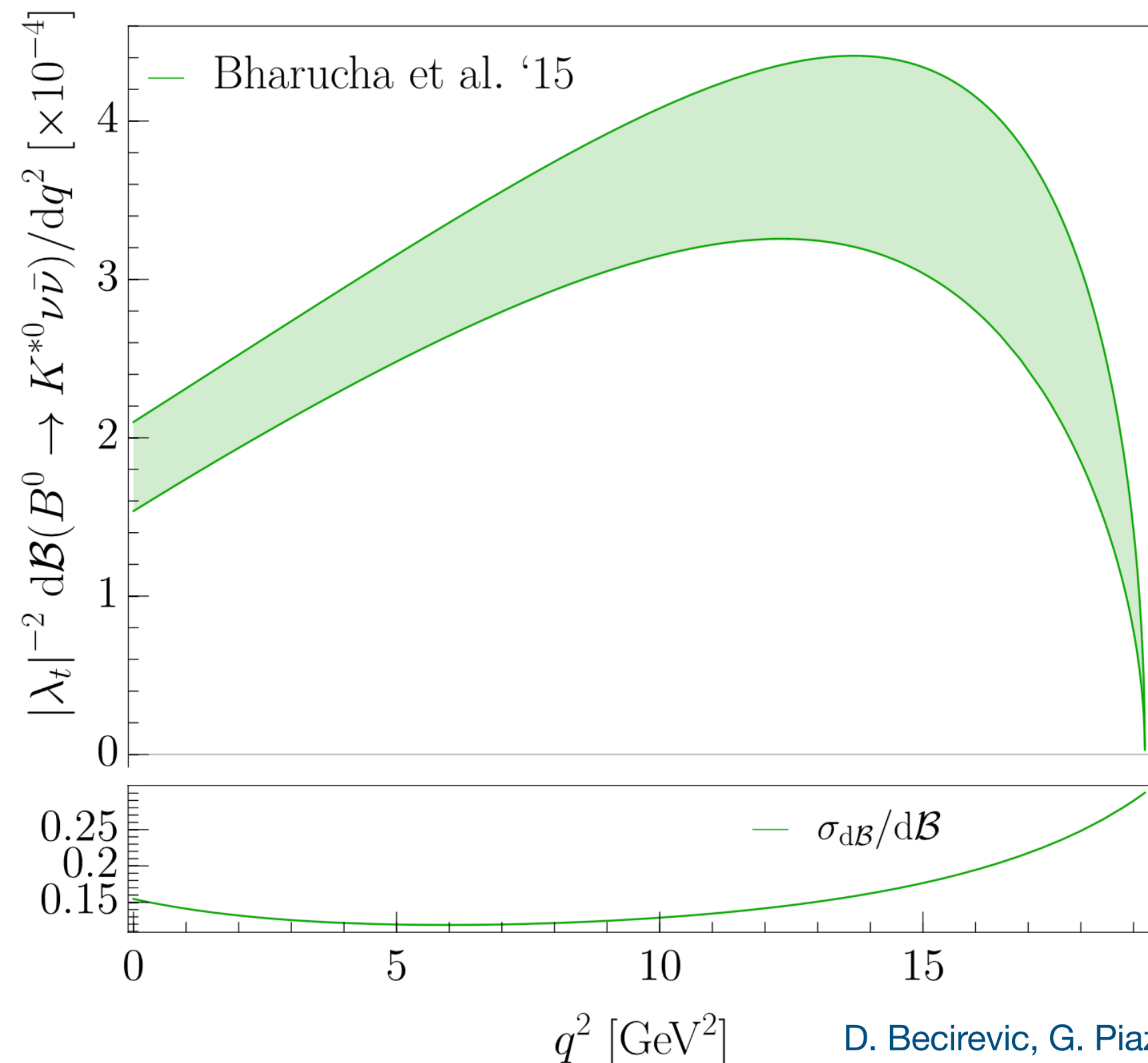
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Relative error related to FF determination  $\sim \mathcal{O}(15\%)$

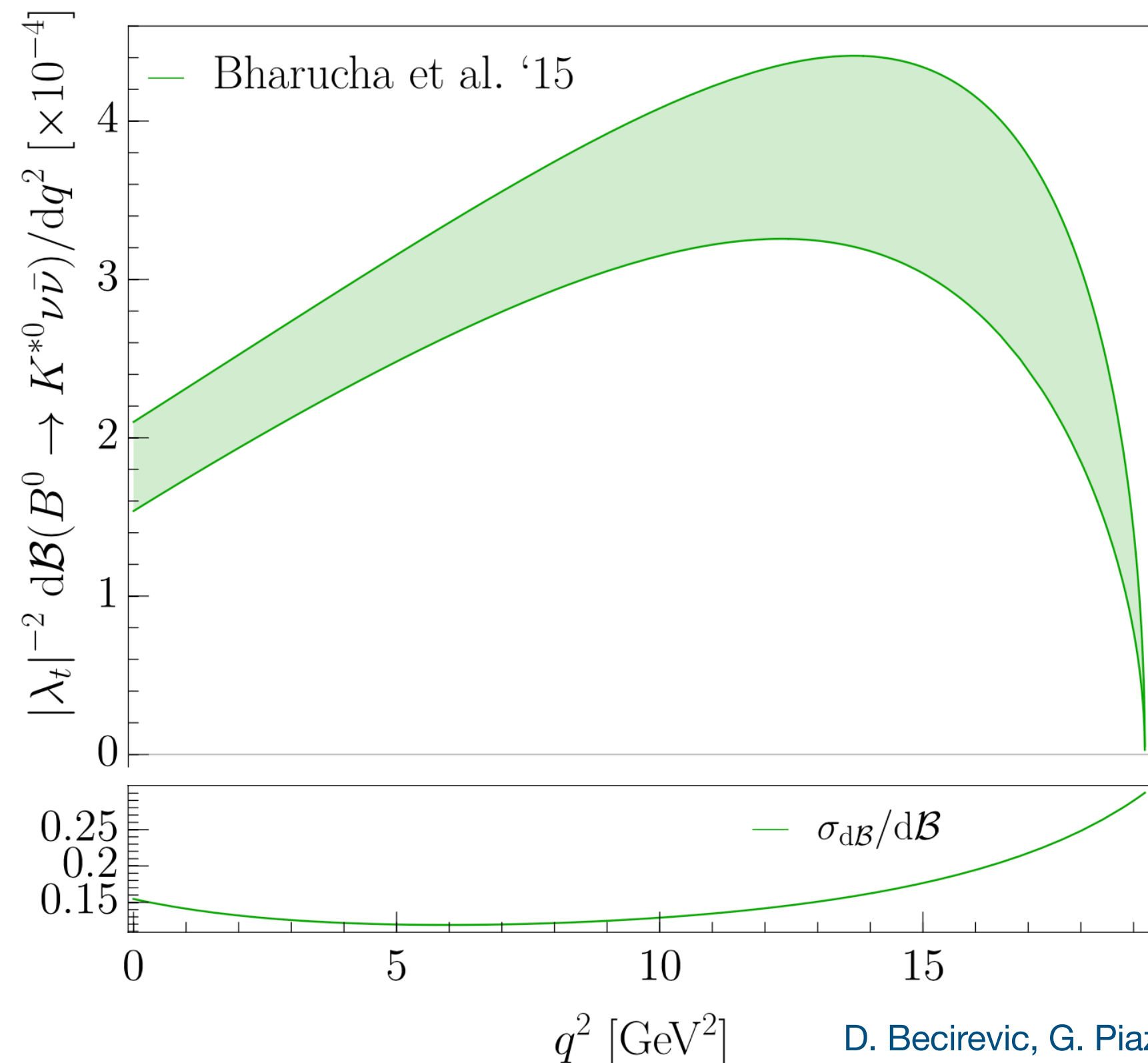
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**Final prediction**

$$\mathcal{B}(B^\pm \rightarrow K^{\pm*} \nu \bar{\nu}) = (9.8 \pm 1.4) \times 10^{-6}$$

$\mathcal{O}(15\%)$  error

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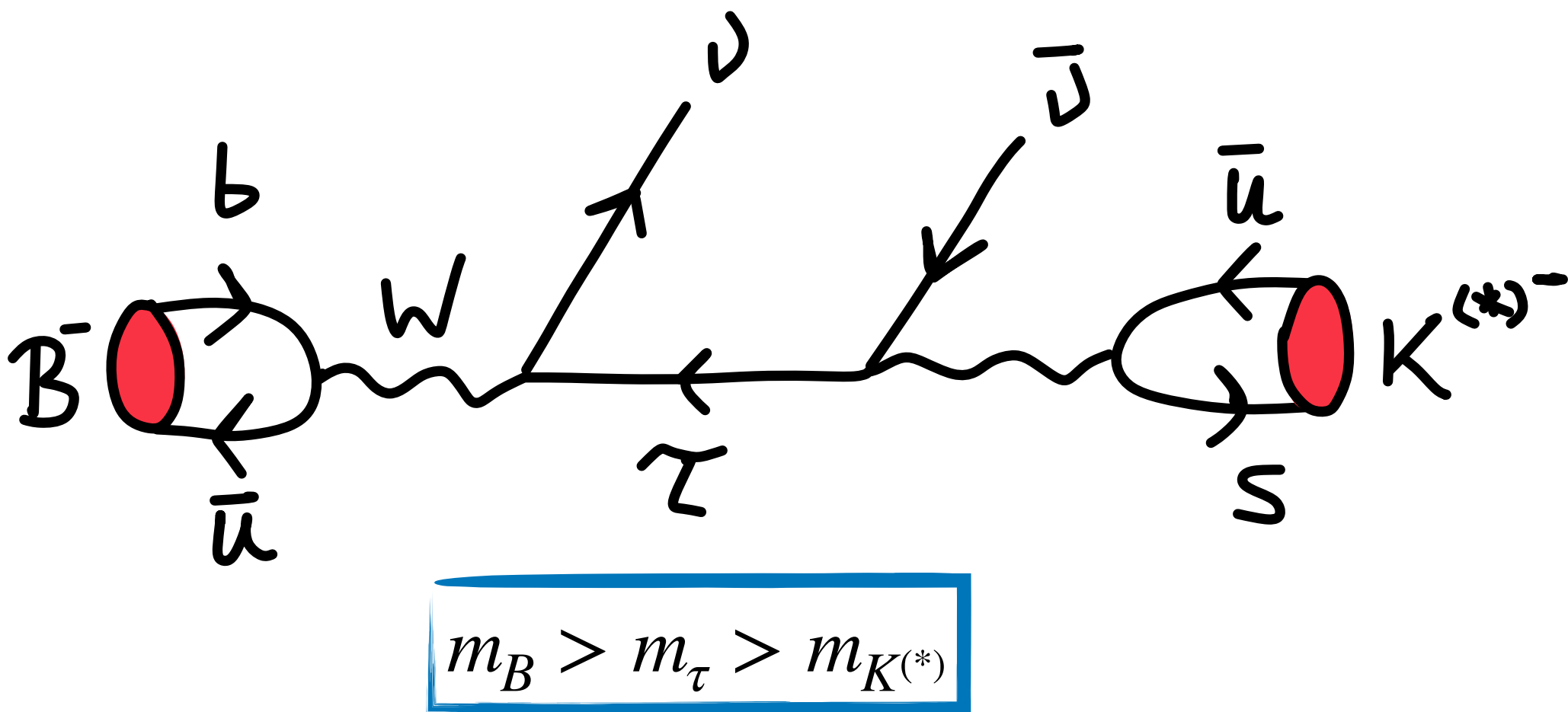
D. Becirevic, G. Piazza & O. Sumensari, arXiv:2301.06990

# Tree-level contribution

$$B^{\pm} \rightarrow K^{\pm(*)} \nu \bar{\nu}$$

J. F. Kamenik & C. Smith, arXiv:0908.1174

Charged meson decay modes have a tree-level contribution from the annihilation to an intermediate  $\tau$



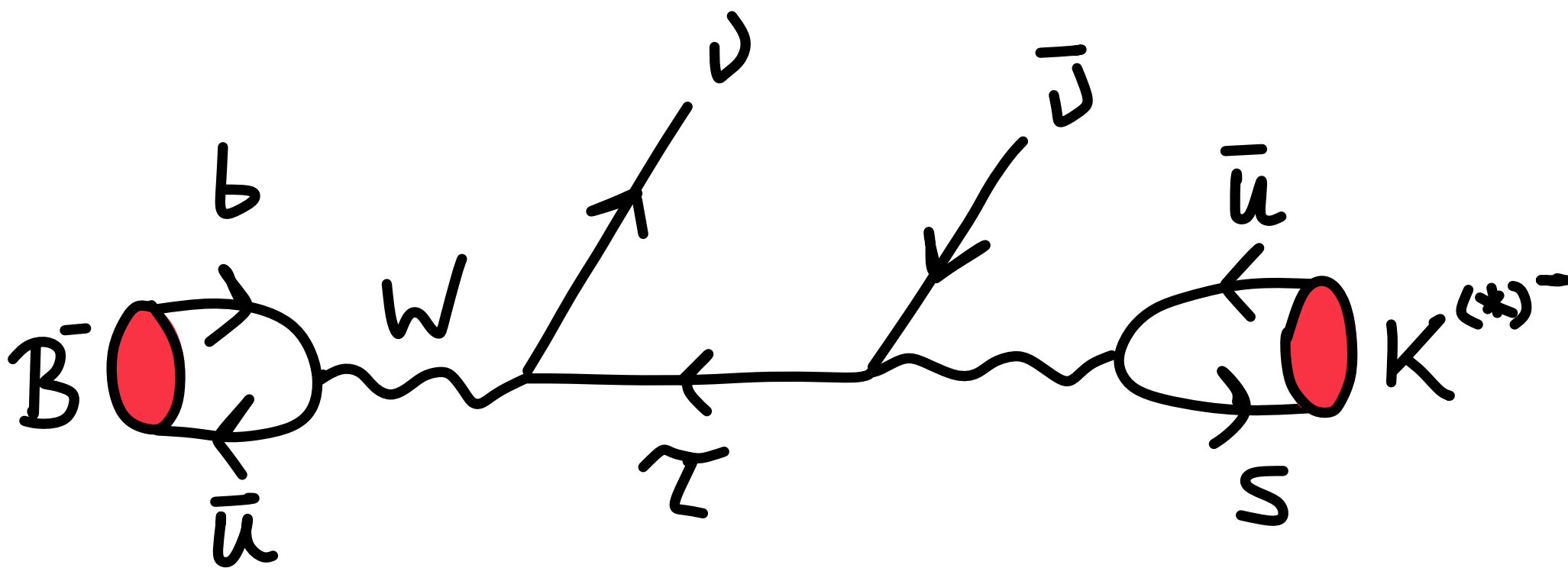


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$$m_B > m_\tau > m_{K^{(*)}}$$

Using the narrow width approximation

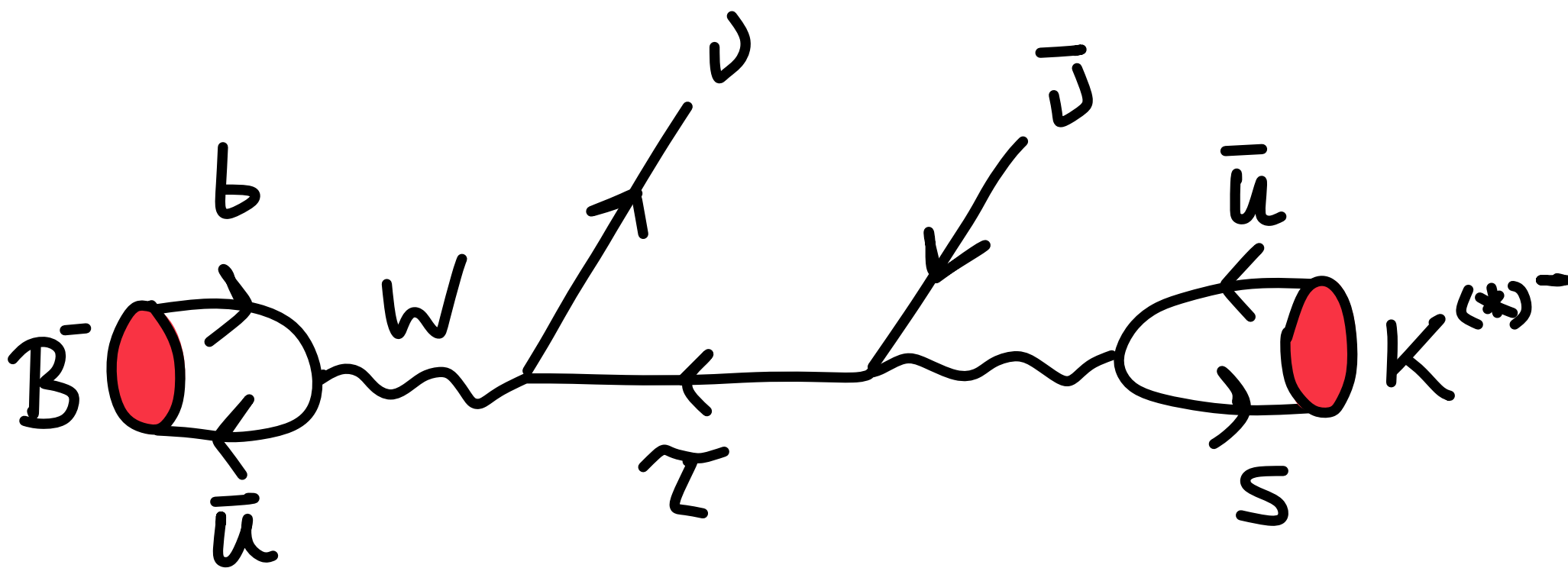
$$\mathcal{B}(B^+ \rightarrow K^{(*)+} \nu \bar{\nu}) \sim \mathcal{B}(B^+ \rightarrow \tau^+ \nu) \mathcal{B}(\tau^+ \rightarrow K^{(*)+} \bar{\nu})$$

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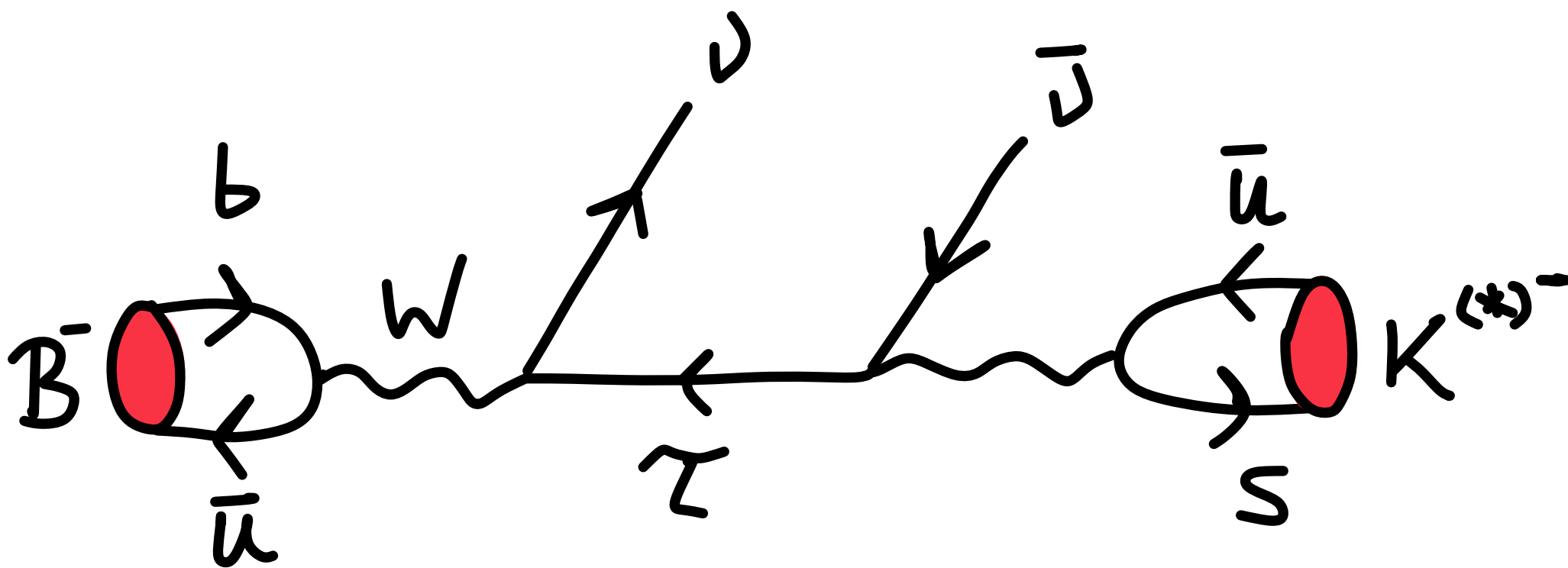
Non negligible contribution!

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Non negligible contribution!

Belle-II can in principle disentangle these two contributions

# Reduction of uncertainties

## Ratio between low and high- $q^2$ regions

D. Becirevic, G. Piazza & O. Sumensari, arXiv:2301.06990

Binned information would allow one to study the following CKM-free ratio

$$r_{\text{low/high}} \equiv \frac{\mathcal{B} (B \rightarrow K^{(*)} \nu \bar{\nu})_{\text{low-}q^2}}{\mathcal{B} (B \rightarrow K^{(*)} \ell \ell)_{\text{high-}q^2}}$$

Test of the extrapolated Lattice QCD form factors

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Test of the extrapolated Lattice QCD form factors

Independent of FF normalization and NP contributions (w/o  $\nu_R$ )

Take bins  $(0, q_{\text{max}}^2/2)$  and  $(q_{\text{max}}^2/2, q_{\text{max}}^2)$ :

$$r_{\text{low/high}} = 1.91 \pm 0.06$$

Using previous FLAG average

$$r_{\text{low/high}} = 2.15 \pm 0.26$$

# Summary

## $B \rightarrow K^{(*)} \nu \bar{\nu}$ in the SM

Two main sources of uncertainty

Form factor determination

$$\langle K^{(*)} \bar{s}_L \gamma^\mu b_L B \rangle = \sum_i K_i^\mu \mathcal{F}_i(q^2)$$

Form factors (Lattice QCD, LCSR...)

CKM determination

$$\text{CKM unitarity } \lambda_t \sim V_{cb} (1 + \mathcal{O}(\lambda^2))$$

Inclusive vs exclusive?

Expected BF in the SM using exclusive  $B \rightarrow D \ell \nu$  decays and available FF determinations as inputs

$$\mathcal{B} (B^\pm \rightarrow K^\pm \nu \bar{\nu}) = (4.44 \pm 0.30) \times 10^{-6}$$

$$\mathcal{B} (B^\pm \rightarrow K^{\pm*} \nu \bar{\nu}) = (9.8 \pm 1.4) \times 10^{-6}$$

Possible improvements/checks

Ratio of BFs at low and high  $q^2$  bins

$$r_{\text{low/high}} = 1.91 \pm 0.06$$

Use high- $q^2$  bins to reduce FF uncertainty

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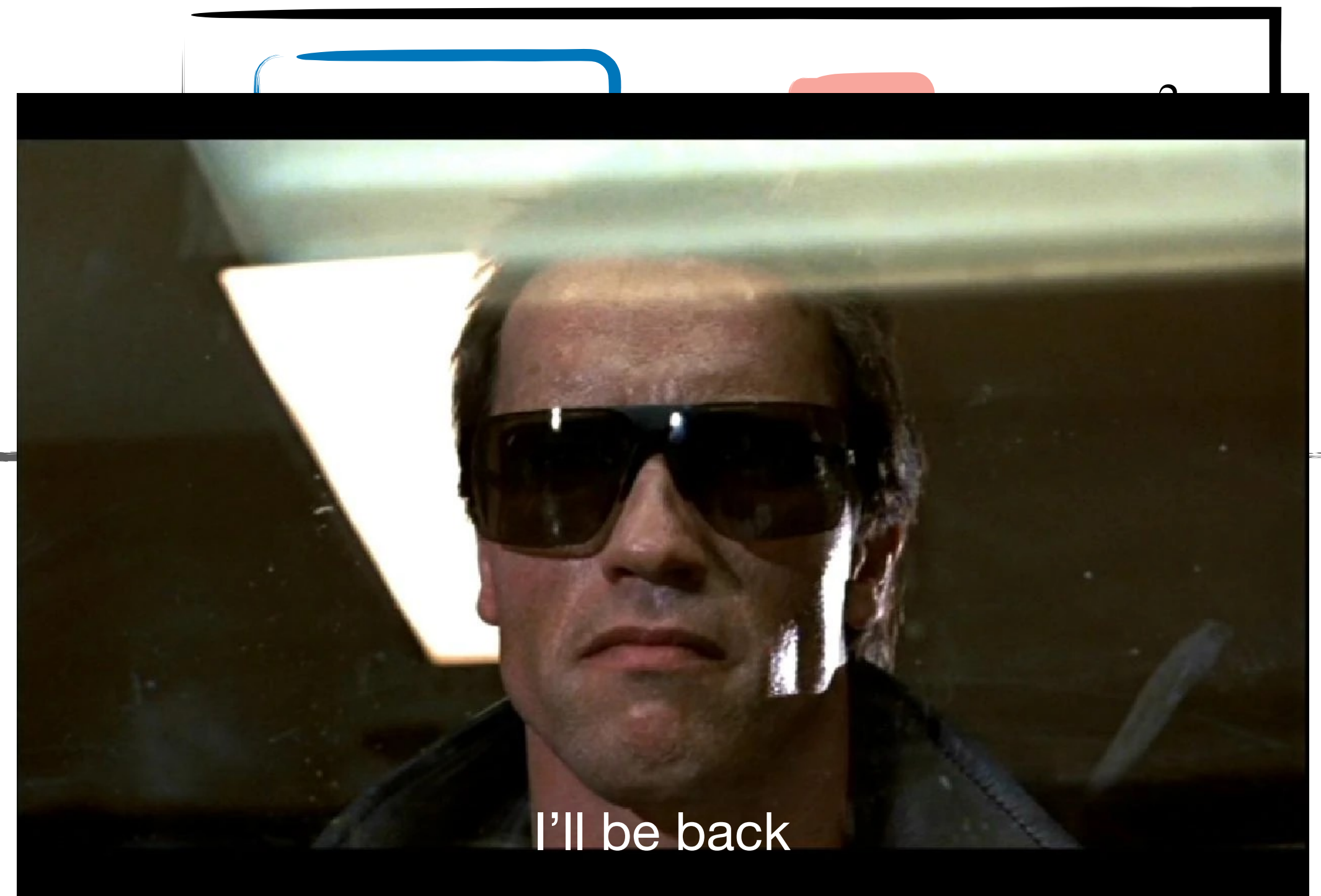
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$B \rightarrow K^{(*)} \nu \bar{\nu}$  after Belle-II results

# Measurement of $B \rightarrow K\nu\bar{\nu}$

## BSM contributions

E. Ganiev @ EPS

$$\mathcal{B} (B^+ \rightarrow K^+ \nu \bar{\nu}) \Big|_{\text{Belle-II}} = (2.4 \pm 0.7) \times 10^{-5}$$

Talk by J. Cerasoli & L. Martel

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Including **BSM** contributions we can write (w/o  $\nu_{R^*}$ )

$$\mathcal{L}^{b \rightarrow s\nu\nu} = \frac{4G_F}{\sqrt{2}} \lambda_t \sum_{i,j} \left( C_L^{\nu_i\nu_j} \mathcal{O}_L^{\nu_i\nu_j} + C_R^{\nu_i\nu_j} \mathcal{O}_R^{\nu_i\nu_j} \right) + h.c.$$

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$$C_L^{\nu_i\nu_j} = C_L^{\text{SM}} \delta_{ij} + \delta C_L^{\nu_i\nu_j}$$

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R. Bause, G. Hisbert & G. Hiller,  
arXiv:2309.00075  
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$$\mathcal{B} (B \rightarrow K^{(*)} \nu \bar{\nu}) = \mathcal{B} (B \rightarrow K^{(*)} \nu \bar{\nu}) \Big|_{\text{SM}} (1 + \delta \mathcal{B}_{K^{(*)}})$$

All **BSM** contributions are contained here

# Measurement of $B \rightarrow K\nu\bar{\nu}$

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$$\mathcal{B}(B \rightarrow K^{(*)}\nu\bar{\nu}) = \mathcal{B}(B \rightarrow K^{(*)}\nu\bar{\nu}) \Big|_{\text{SM}} (1 + \delta\mathcal{B}_{K^{(*)}})$$

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$$\delta\mathcal{B}_{K^{(*)}} = \sum_i \frac{2\text{Re}[C_L^{\text{SM}}(\delta C_L^{\nu\nu_i} + \delta C_R^{\nu\nu_i})]}{3 C_L^{\text{SM}^2}} + \sum_{i,j} \frac{\delta C_L^{\nu\nu_j} + \delta C_R^{\nu\nu_j}}{3 C_L^{\text{SM}^2}} - \eta_{K^{(*)}} \sum_{i,j} \frac{\text{Re}[\delta C_R^{\nu\nu_j}(C_L^{\text{SM}}\delta_{ij} + \delta C_L^{\nu\nu_j})]}{3 C_L^{\text{SM}^2}}$$

$$\eta_K = 0$$

$$\eta_{K^*} = 3.33 \pm 0.07$$

# $B \rightarrow K^{(*)} \nu \bar{\nu}$ with NP

## Correlations between $B \rightarrow K \nu \bar{\nu}$ and $B \rightarrow K^* \nu \bar{\nu}$

We can find a lower bound for the validity of the EFT

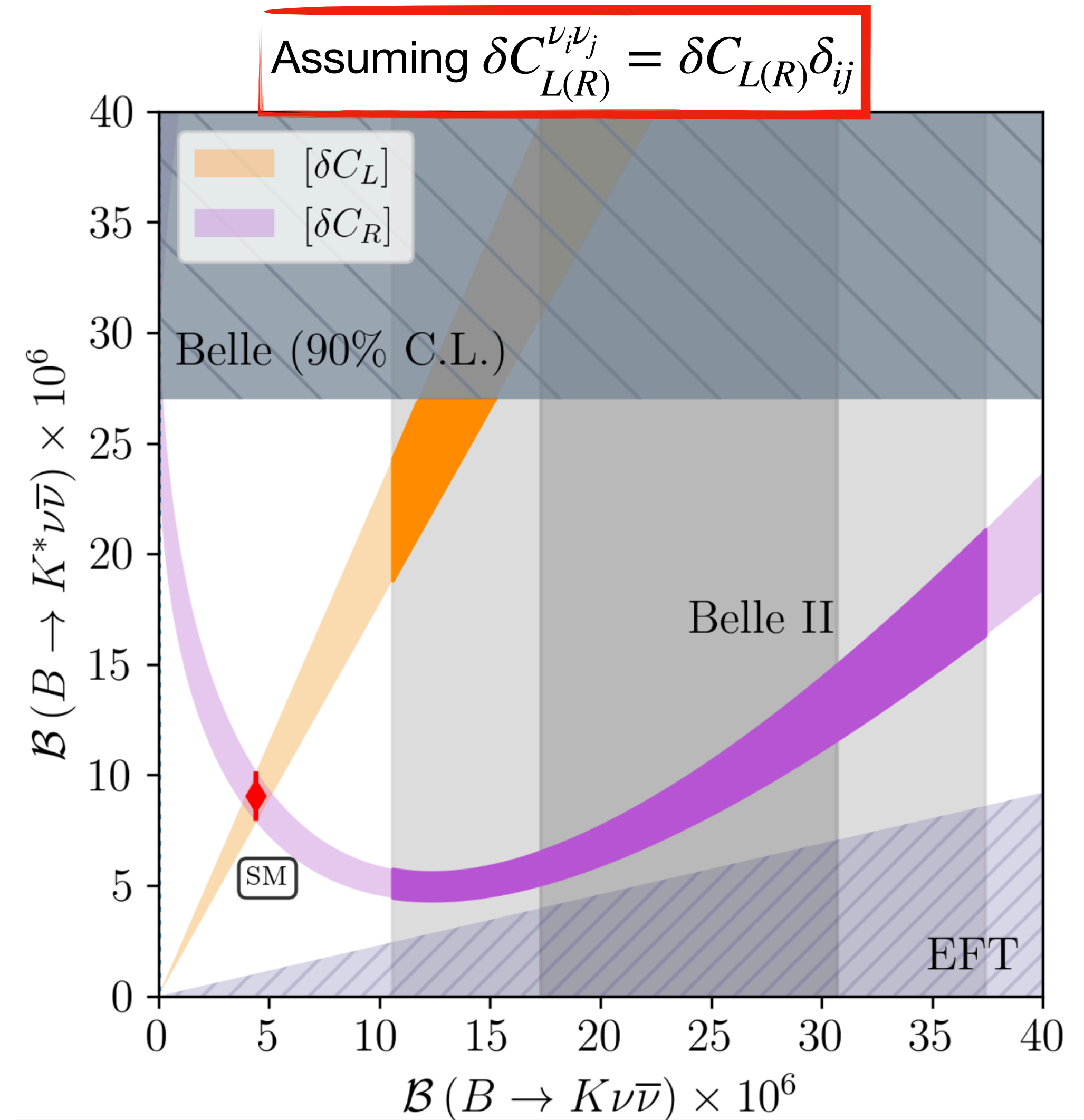
$$\frac{\mathcal{B}(B \rightarrow K^* \nu \bar{\nu})_{\text{BSM}}}{\mathcal{B}(B \rightarrow K^* \nu \bar{\nu})_{\text{SM}}} \geq \frac{\mathcal{B}(B \rightarrow K \nu \bar{\nu})_{\text{BSM}}}{\mathcal{B}(B \rightarrow K \nu \bar{\nu})_{\text{SM}}} \left( 1 - \frac{\eta_{K^*}}{4} \right)$$

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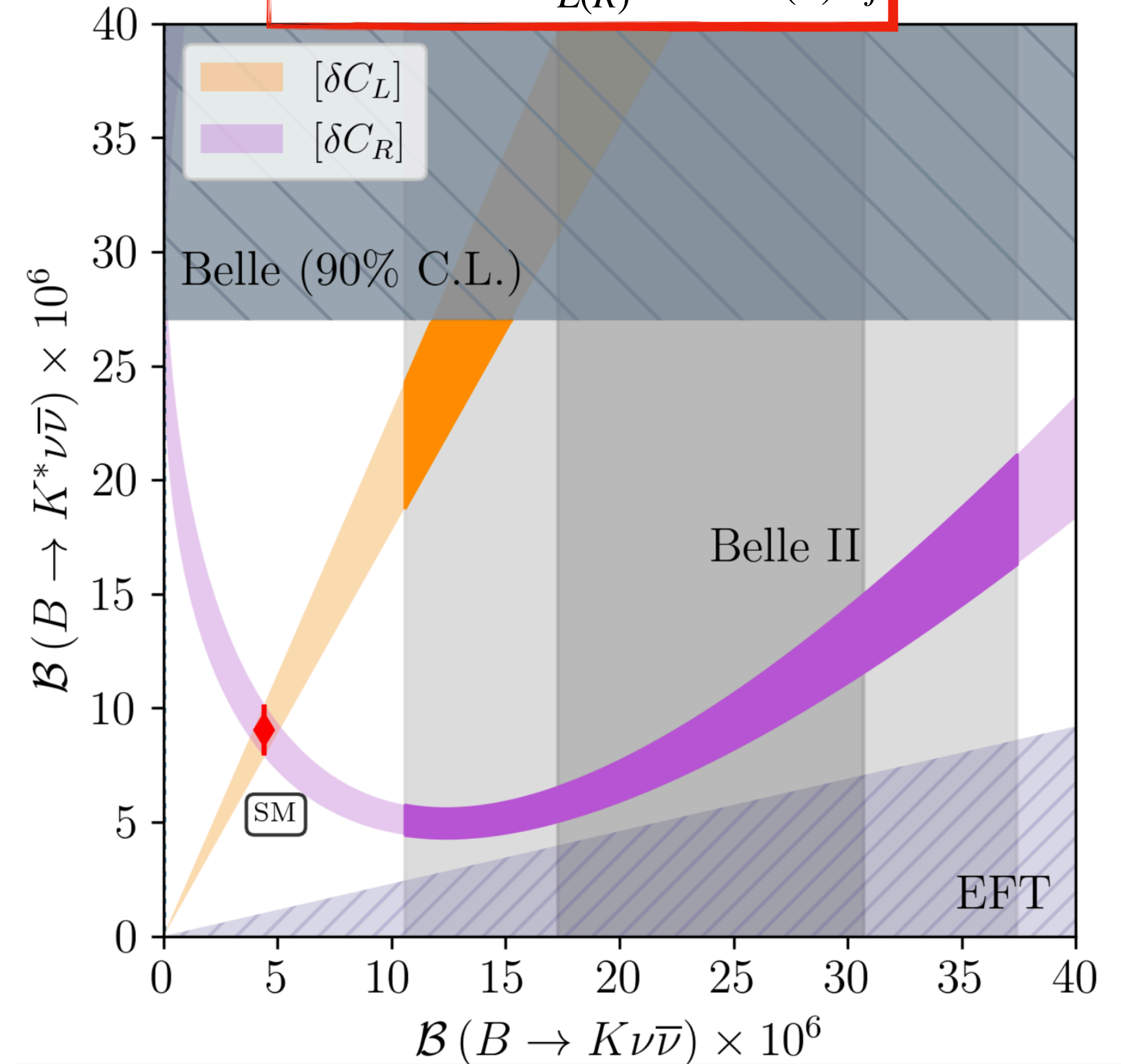
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Belle bounds  $\mathcal{B}(B \rightarrow K^* \nu \bar{\nu}) < 2.7 \times 10^{-5}$   
constraining a solution **only** in terms of  $\delta C_L$

Assuming  $\delta C_{L(R)}^{\nu_i \nu_j} = \delta C_{L(R)} \delta_{ij}$



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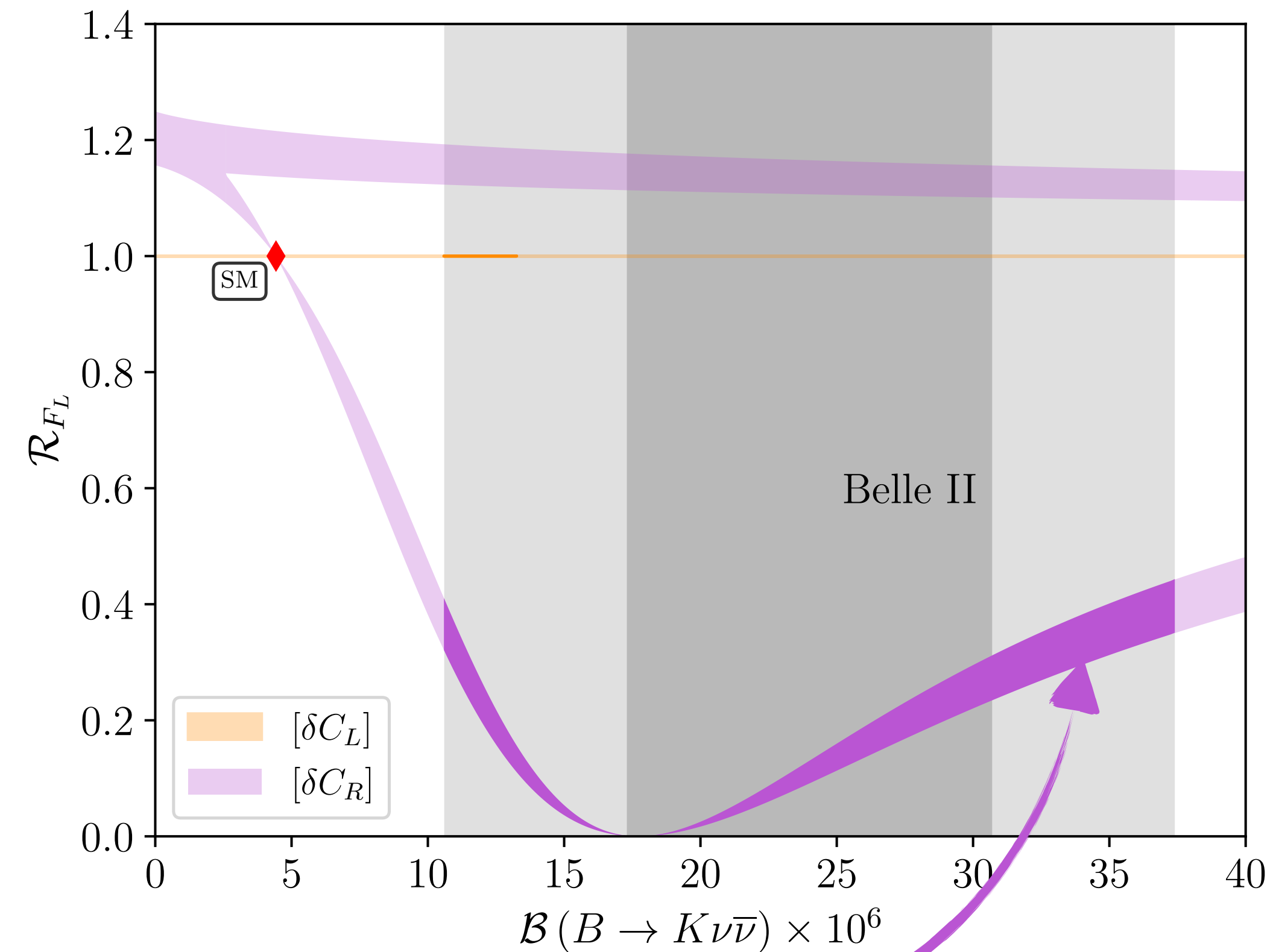
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Look for the fraction of longitudinally polarized  $K^*$ ,  $F_L$

$$\mathcal{R}_{F_L} = \frac{F_L}{F_L^{\text{SM}}}$$

Assuming  $\delta C_{L(R)}^{\nu_i \nu_j} = \delta C_{L(R)} \delta_{ij}$



After imposing the Belle bound, we find  $F_L \in [0, 0.21]$  for  $\delta C_R$

# $B \rightarrow K^{(*)} \nu \bar{\nu}$ in the SMEFT

## Four fermion operators

If the NP contribution is heavy enough,  $\Lambda > \nu$ , we can work in the SMEFT

$$\mathcal{L}_{\text{SMEFT}}^{(6)} \supset \frac{1}{\Lambda^2} \left\{ \left( \mathcal{C}_{lq}^{(1)} + \mathcal{C}_{lq}^{(3)} \right)_{ij} (\bar{s}_L \gamma^\mu b_L) (\bar{e}_{Li} \gamma_\mu e_{Lj}) + \left( \mathcal{C}_{lq}^{(1)} - \mathcal{C}_{lq}^{(3)} \right)_{ij} (\bar{s}_L \gamma^\mu b_L) (\bar{\nu}_{Li} \gamma_\mu \nu_{Lj}) \right. \\ \left. + 2 V_{cs} \left[ \mathcal{C}_{lq}^{(3)} \right]_{ij} (\bar{c}_L \gamma^\mu b_L) (\bar{e}_{Li} \gamma_\mu \nu_{Lj}) + [\mathcal{C}_{ld}]_{ij} (\bar{s}_R \gamma^\mu b_R) [(\bar{\nu}_{Li} \gamma_\mu \nu_{Lj}) + (\bar{e}_{Li} \gamma_\mu e_{Lj})] + \text{h.c.} \right\}$$

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Matching to the low-energy NP couplings

$$\delta C_L^{\nu_i \nu_j} = \frac{\pi}{\alpha_{\text{em}} \lambda_t} \frac{v^2}{\Lambda^2} \left\{ [\mathcal{C}_{lq}^{(1)}]_{ij} - [\mathcal{C}_{lq}^{(3)}]_{ij} \right\}$$

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Contributions to  $B \rightarrow K \nu \bar{\nu}$  will have an impact on observables with charged leptons!

# Correlations between observables

## Coupling to muons only

One can relate  $B \rightarrow K\nu\bar{\nu}$  with  $B_s \rightarrow \mu\mu$

$$\mathcal{B}(B_s \rightarrow \mu\mu) = (3.35 \pm 0.27) \times 10^{-9}$$

ATLAS, arXiv:1812.03017  
LHCb, arXiv:2108.09283  
CMS, arXiv:2212.10311

# Correlations between observables

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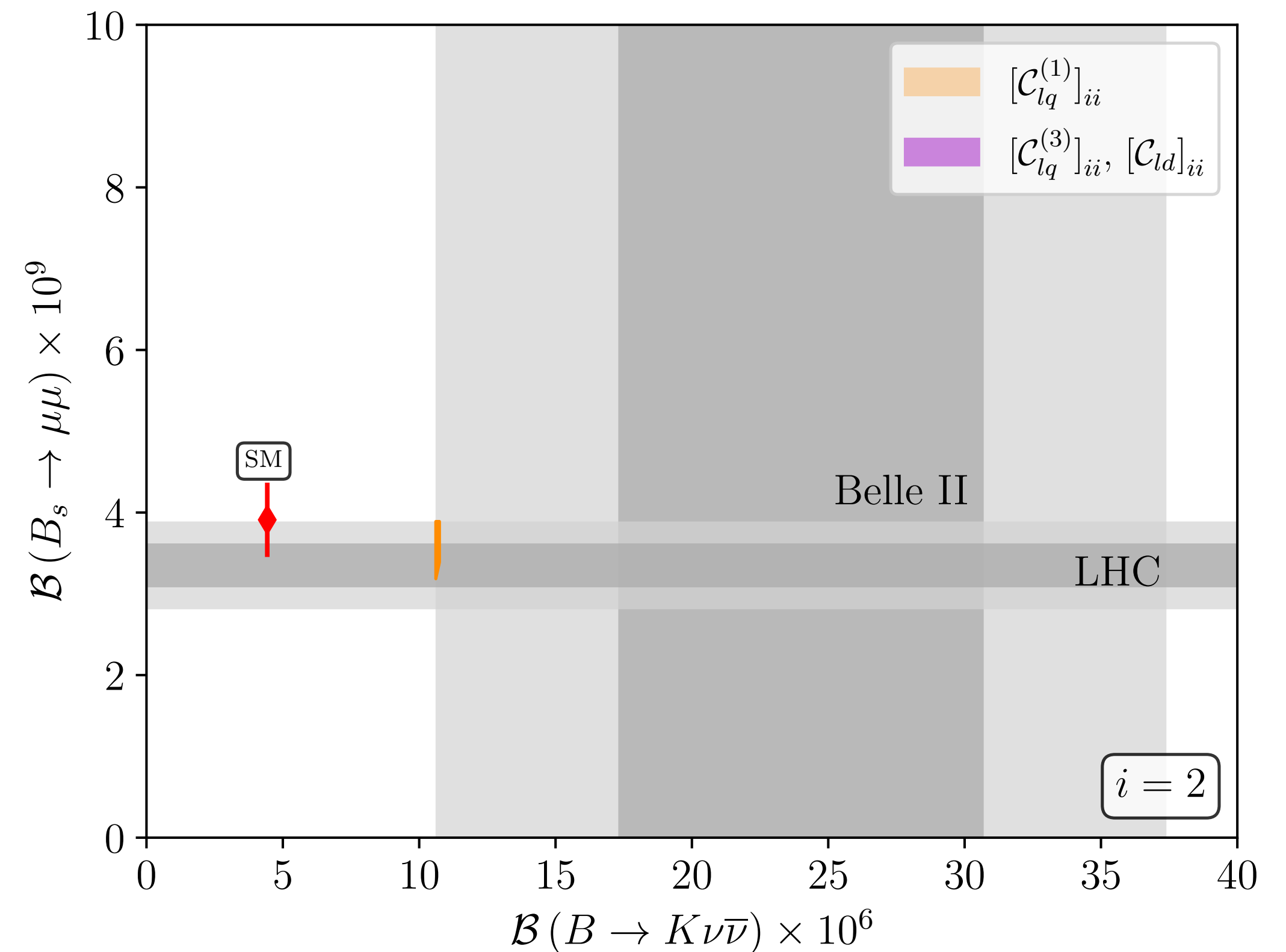
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$$\mathcal{O}_{10} = \frac{e^2}{(4\pi)^2} (\bar{s}_L \gamma^\mu b_L) (\bar{\ell} \gamma_\mu \gamma_5 \ell)$$

$$\delta C_{10}^{l_i l_i} = \frac{\pi}{\alpha_{\text{em}} \lambda_t} \frac{v^2}{\Lambda^2} \left\{ [C_{ld}]_{ii} - [C_{lq}^{(1)}]_{ii} - [C_{lq}^{(3)}]_{ii} \right\}$$



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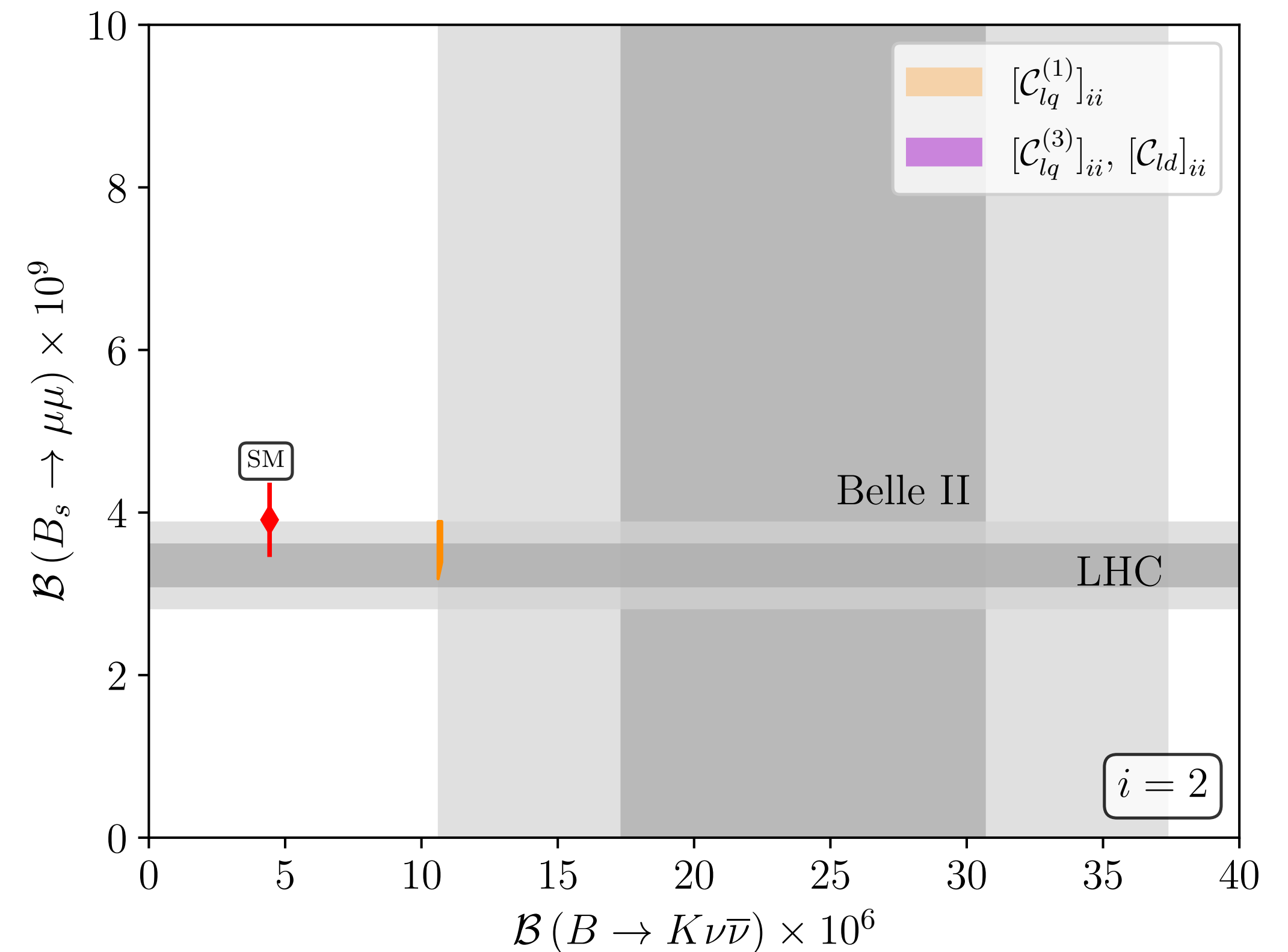
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Note that one could also use  $R_{K^{(*)}}$  now as well as a constrain

$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu\mu)}{\mathcal{B}(B \rightarrow K^{(*)} ee)}$$



**NP coupled to muons cannot explain Belle-II**



# Correlations between observables

## Coupling to tau leptons

Can we introduce NP to simultaneously explain the Belle-II result and  $R_D^{(*)}$ ?

$$R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)}\tau\bar{\nu})}{\mathcal{B}(B \rightarrow D^{(*)}\ell\bar{\nu})}, \text{ with } \ell = e, \mu$$

HFLAV, arXiv:2206.07501

$$R_{D^{(*)}}^{\text{exp}}/R_{D^{(*)}}^{\text{SM}} = 1.16 \pm 0.05$$

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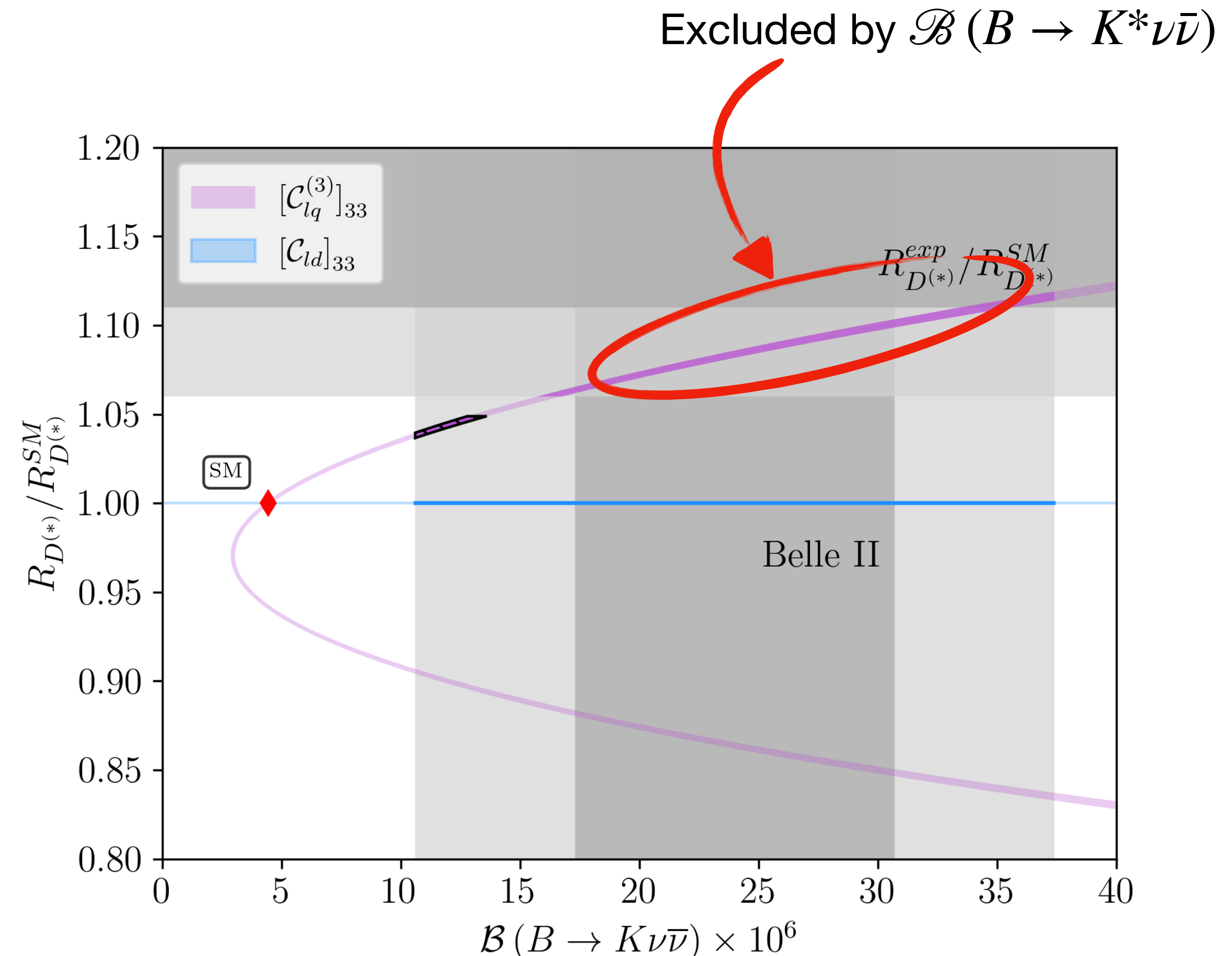
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BSM contributions to this process given by

$$\frac{R_{D^{(*)}}}{R_{D^{(*)}}^{\text{SM}}} = \left( 1 - \frac{v^2}{\Lambda^2} \frac{V_{cs}}{V_{cb}} \mathcal{C}_{lq}^{(3)} \right)^2$$



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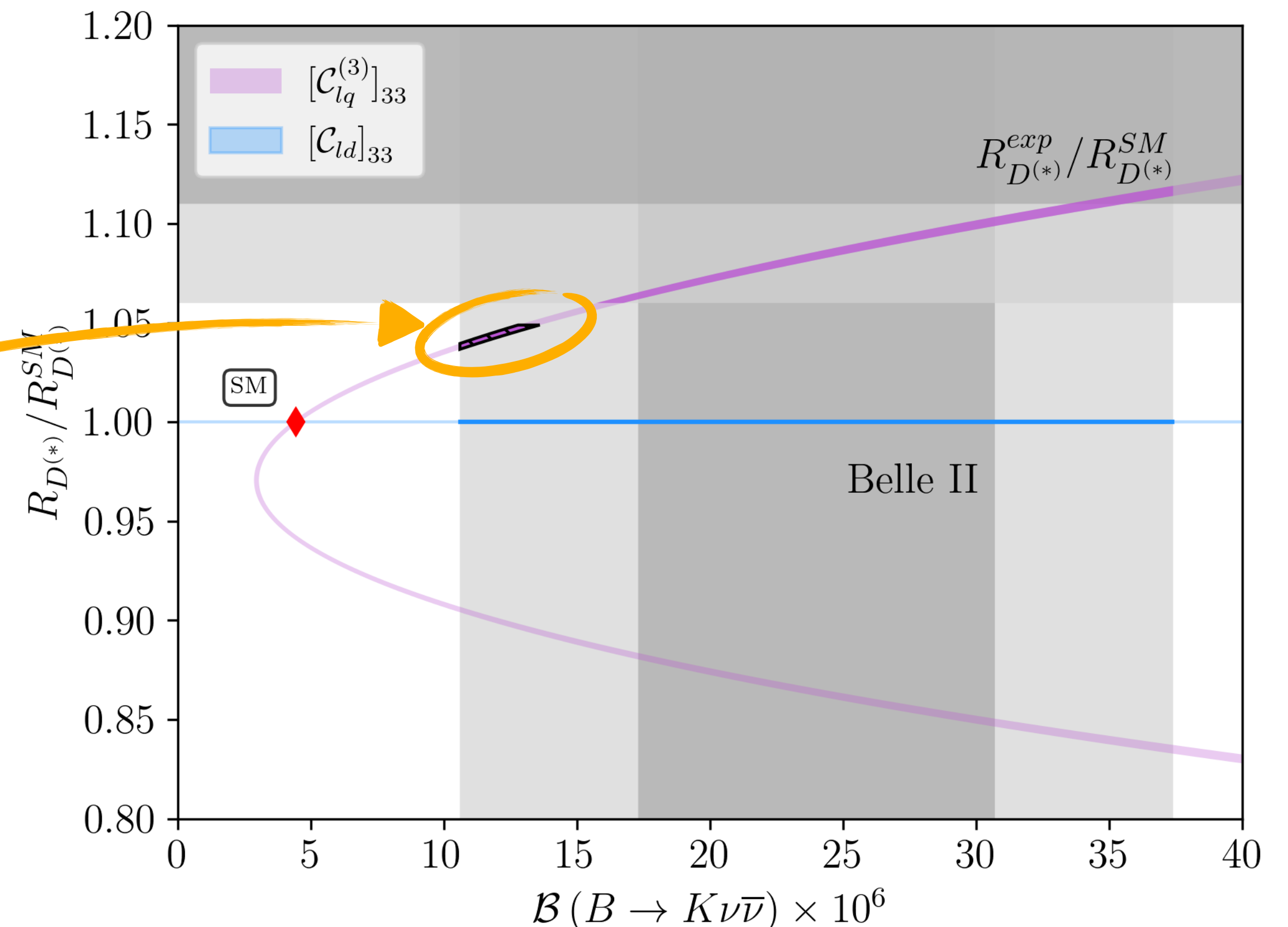
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HFLAV, arXiv:2206.07501

$$R_{D^{(*)}}^{exp}/R_{D^{(*)}}^{SM} = 1.16 \pm 0.05$$

In this region  $\mathcal{B}(B \rightarrow K^* \nu \bar{\nu})$  is ok and we expect for example

$$\frac{\mathcal{B}(B_s \rightarrow \tau \tau)_{BSM}}{\mathcal{B}(B_s \rightarrow \tau \tau)_{SM}} \in [44, 157]$$



# Conclusions

## SM predictions

$$\mathcal{B} (B^\pm \rightarrow K^\pm \nu \bar{\nu}) \Big|_{\text{SM}} = (4.44 \pm 0.30) \times 10^{-6}$$

Two main uncertainties from the theory side:

- CKM matrix element determination: **Inclusive vs exclusive**  $V_{cb}$

Can change prediction by  $\mathcal{O}(10\%)$

# Conclusions

## SM predictions

$$\mathcal{B} (B^\pm \rightarrow K^\pm \nu \bar{\nu}) \Big|_{\text{SM}} = (4.44 \pm 0.30) \times 10^{-6}$$

Two main uncertainties from the theory side:

- CKM matrix element determination: **Inclusive vs exclusive**  $V_{cb}$

Can change prediction by  $\mathcal{O}(10\%)$

- Form factor determination:

$B \rightarrow K \nu \bar{\nu}$  has several Lattice determinations

Error  $\mathcal{O}(5\%)$

$B \rightarrow K^* \nu \bar{\nu}$  with one Lattice determination + LCSR

Error  $\mathcal{O}(15\%)$

Eventually need to match expected sensitivity by Belle-II

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$$\mathcal{B}(B^+ \rightarrow K^+ \nu \bar{\nu}) \Big|_{\text{Belle-II}} = (2.4 \pm 0.7) \times 10^{-5}$$

$$\mathcal{L}^{b \rightarrow s \nu \nu} = \frac{4G_F}{\sqrt{2}} \lambda_t \sum_{i,j} (C_L^{\nu_i \nu_j} \mathcal{O}_L^{\nu_i \nu_j} + C_R^{\nu_i \nu_j} \mathcal{O}_R^{\nu_i \nu_j}) + h.c.$$

Contributions from **only**  $C_L^{\nu_i \nu_j}$  is tightly **constrained by Belle**

Contributions from **only**  $C_R^{\nu_i \nu_j}$  can explain  $B \rightarrow K \nu \bar{\nu}$ , **correlated with**  $\mathcal{B}(B \rightarrow K^* \nu \bar{\nu})$  compared to SM

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In the context of SMEFT

Correlation between neutrino decay modes and those involving charged leptons

**NP** coupled to **muons only fail** to explain **Belle-II** taking into account  $\mathcal{B}(B_s \rightarrow \mu\mu)$

**NP** coupled to 3rd generation explain Belle-II, but **additional operators** would be needed to explain  $R_{D^{(*)}}$

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**Thank you!**

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**Back-up slides**

# Reduction of uncertainties

## Combination with $B \rightarrow K^{(*)}\mu\mu$

D. Becirevic, G. Piazza & O. Sumensari, arXiv:2301.06990

Binned information would allow one to study the following CKM-free ratio

$$\mathcal{R}_{K^{(*)}}^{(\nu/\ell)}[q_0^2, q_1^2] \equiv \frac{\mathcal{B}(B \rightarrow K^{(*)}\nu\bar{\nu})}{\mathcal{B}(B \rightarrow K^{(*)}\ell\ell)} \Bigg|_{[q_0^2, q_1^2]}$$

Partial branching fractions  
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Choosing the  $q^2$  region away from  $c\bar{c}$ -resonances,  $[q_0^2, q_1^2] \rightarrow [1.1, 6] \text{ GeV}^2$

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Using perturbative calculations for the  $c\bar{c}$ -loops one finds

$$\mathcal{R}_K^{(\nu/\mu)}[1.1, 6] = 7.58 \pm 0.04$$

$\lesssim \mathcal{O}(1\%)$  uncertainty

$$\mathcal{R}_{K^*}^{(\nu/\mu)}[1.1, 6] = 8.6 \pm 0.3$$

$\lesssim \mathcal{O}(5\%)$  uncertainty

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But we can use this ratio to extract  $C_9$ !

$$\frac{1}{\mathcal{R}_{K^{(*)}}^{\nu/\mu}[1.1, 6]} \Bigg|_{\text{SM}} \simeq \left[ 7.5 - 0.45 C_9^{\text{eff}} + 0.42 \cdot (C_9^{\text{eff}})^2 \right]$$