

Investigation of charm-quark hadronization into baryons in hadronic collisions with ALICE

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on behalf of the ALICE Collaboration

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ALICE

Heavy-flavour hadronization

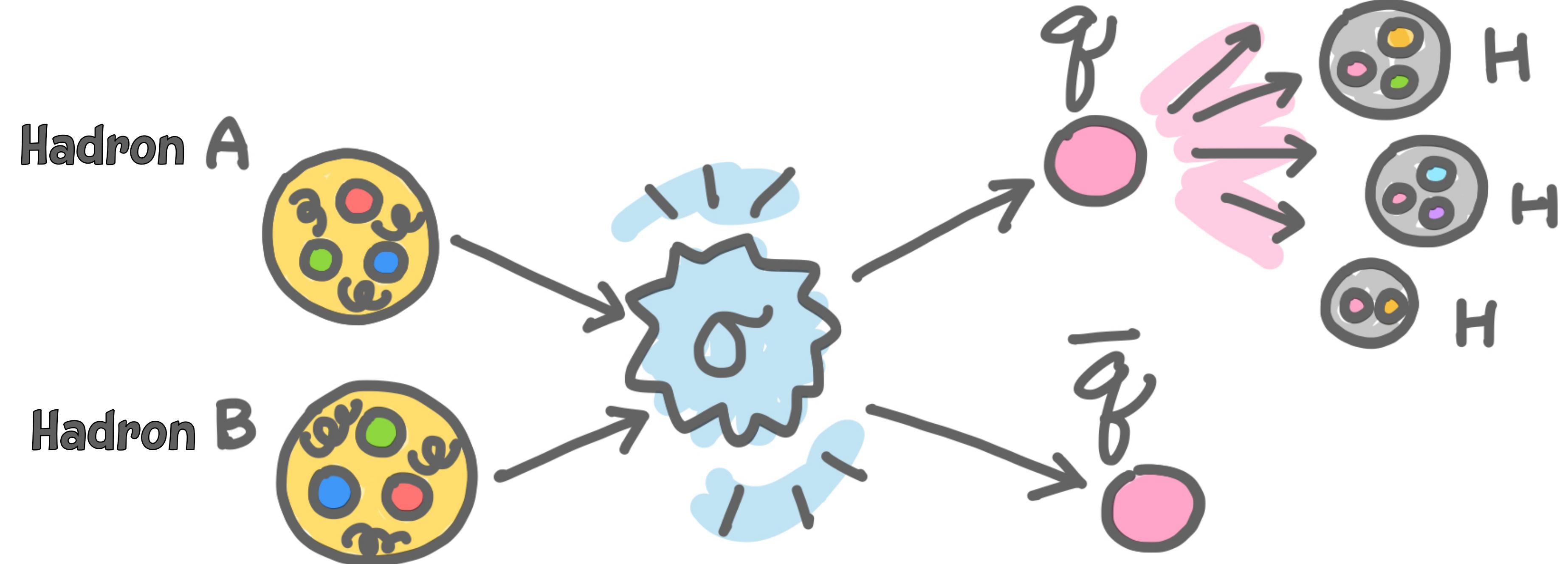
$$d\sigma_{AB \rightarrow h} = f_{i/A}(x_i, Q^2) \otimes f_{j/B}(x_j, Q^2) \otimes d\sigma_{ij \rightarrow q\bar{q}}(x_i x_j, Q^2) \otimes D_{q \rightarrow h}(z, Q^2)$$

Production cross
section of HF
hadrons

Parton distribution
functions

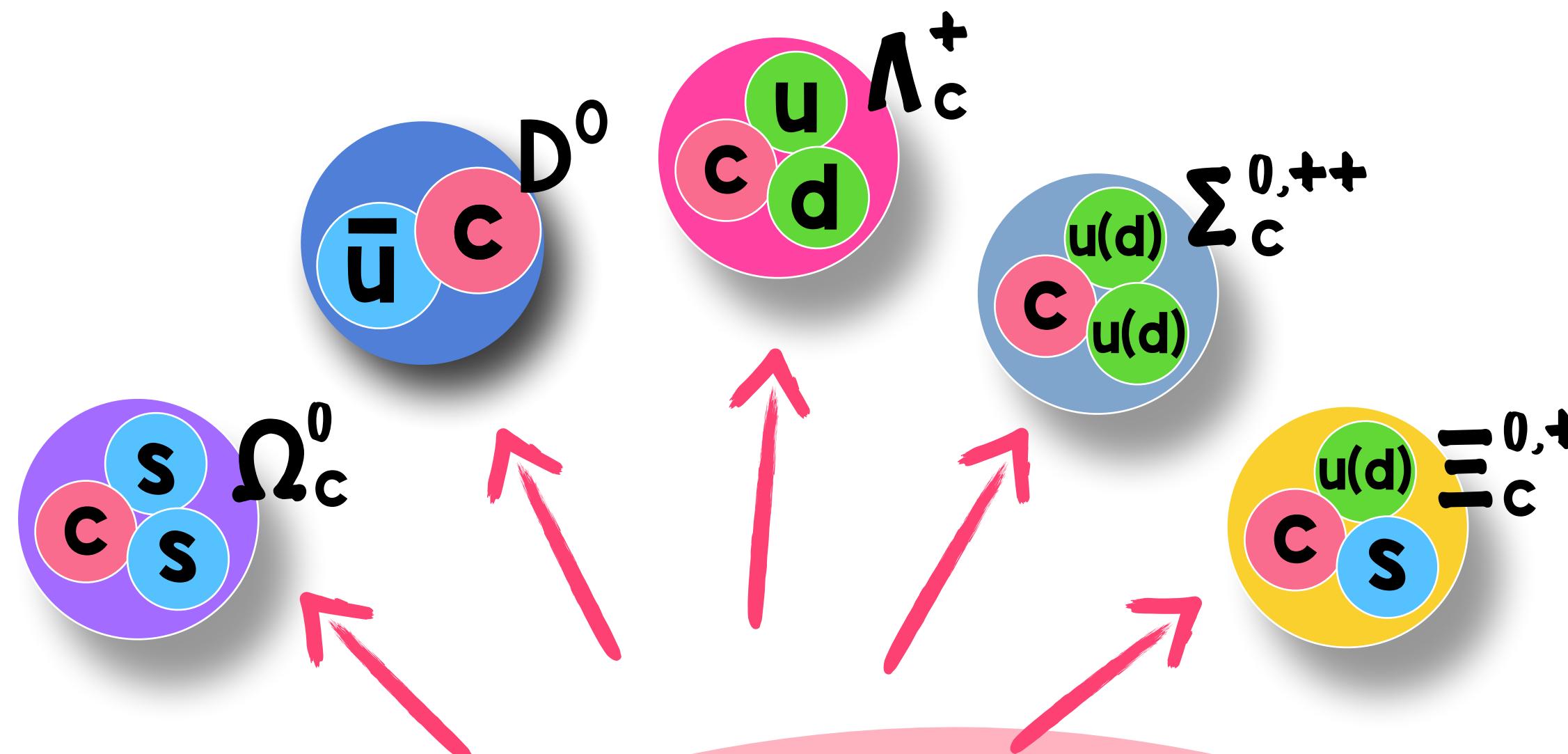
Hard-scattering
cross section

Fragmentation
function (Hadronization)



Heavy-flavour hadronization

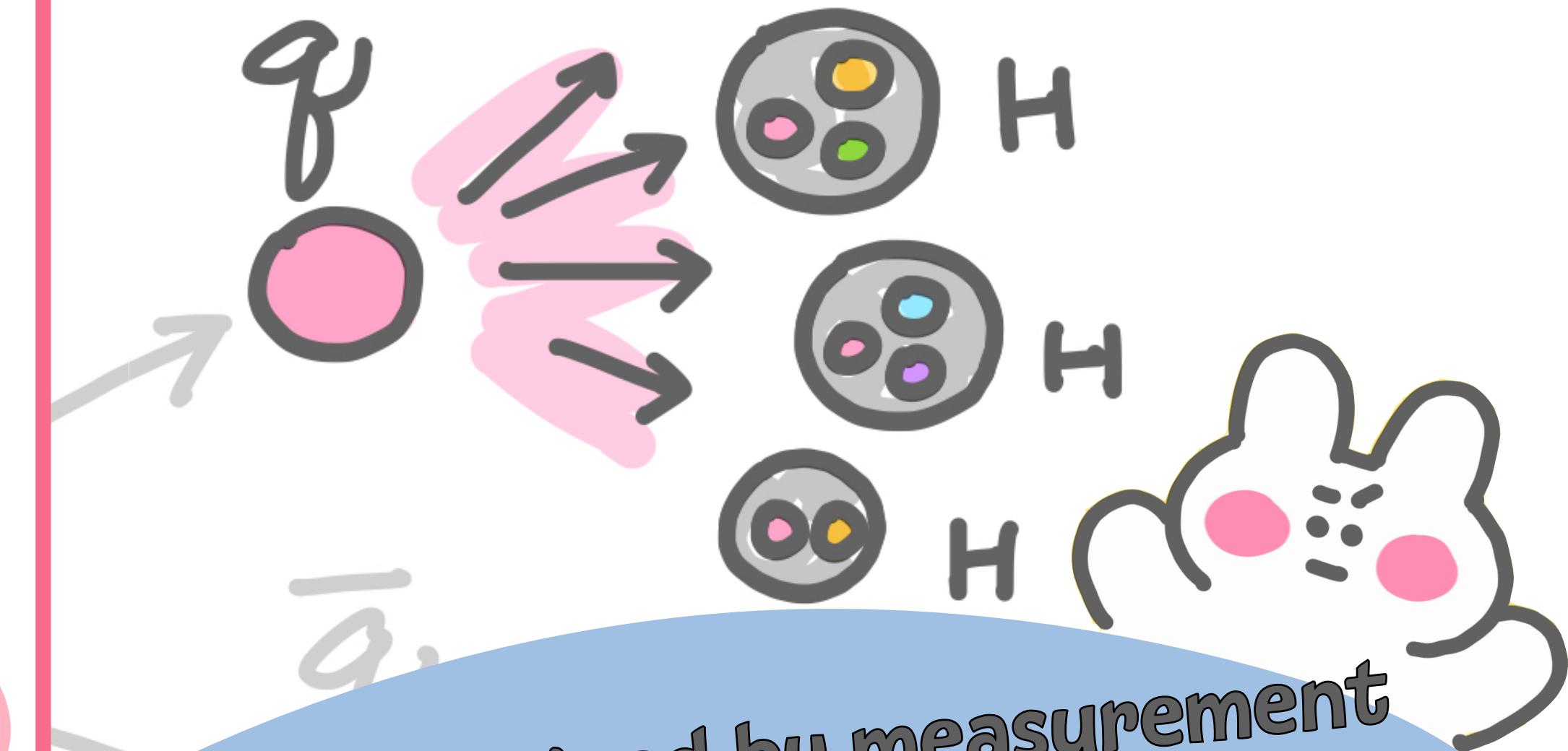
Experimental observable



$\rightarrow q\bar{q}(x_i x_j, Q^2) \otimes D_{q \rightarrow h}(z, Q^2)$

scattering
section

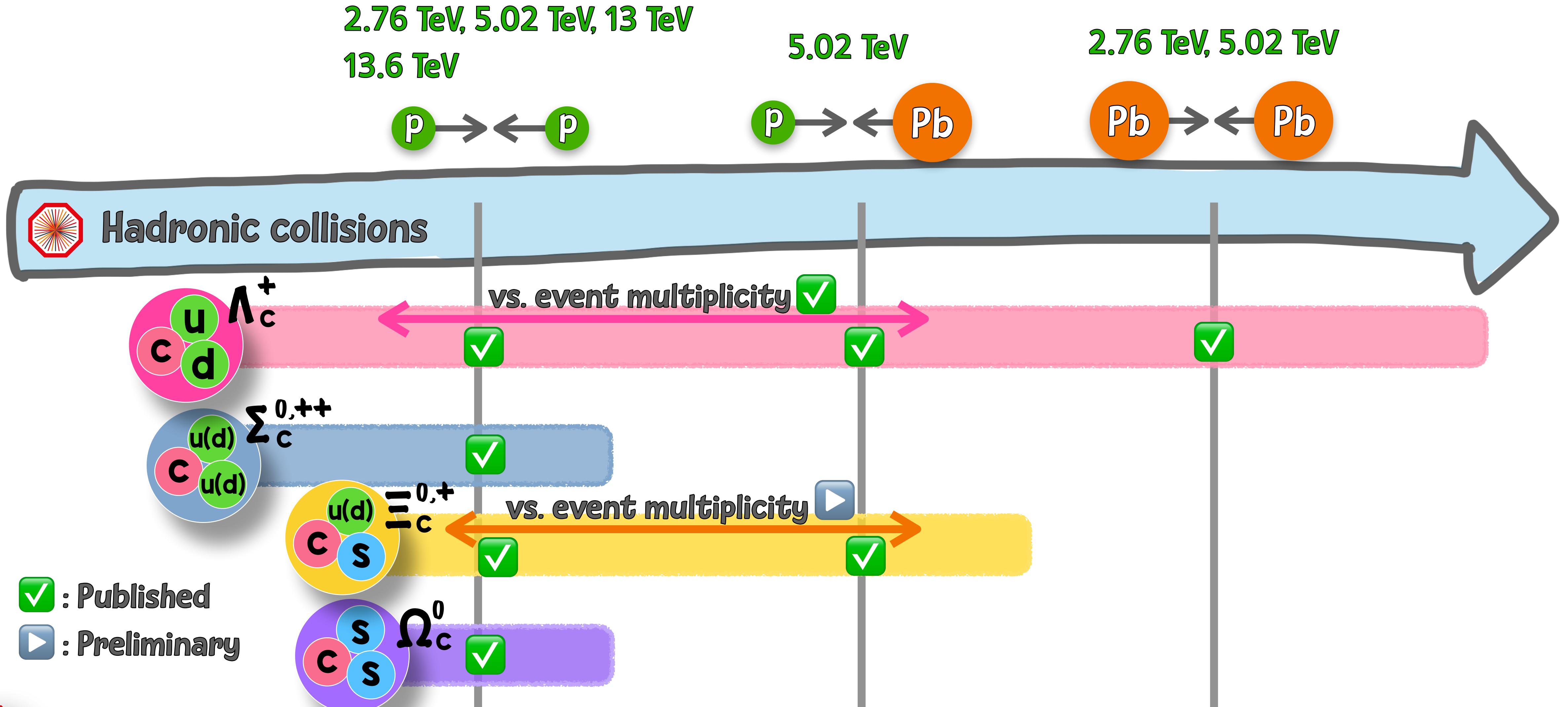
Fragmentation function (Hadronization)



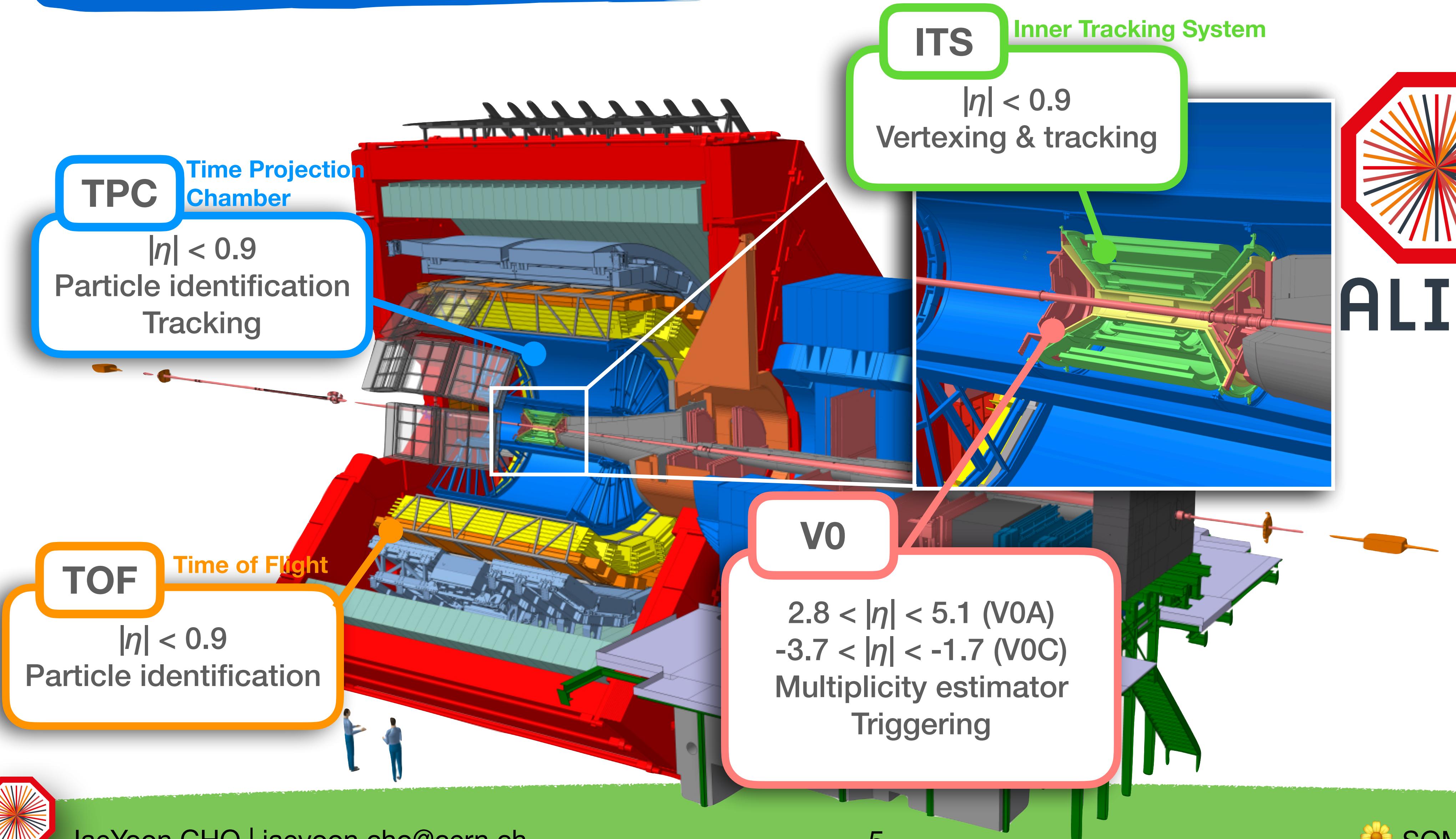
FF is determined by measurement
from leptonic collisions.
Is the hadronization universal
among the collision systems?



ALICE charm baryon measurements



The ALICE experiment

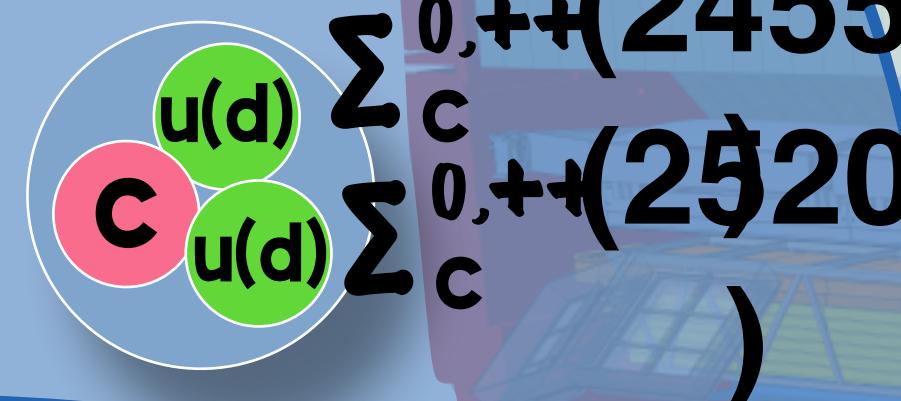


Charm baryon reconstruction

Charge conj. included for all measured hadrons

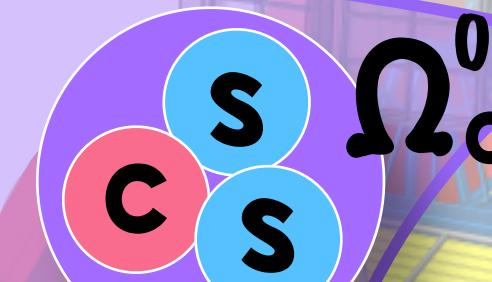
Hadronic decays

$$\star \Sigma_c^{0,++} \rightarrow \Lambda_c^+ \pi^{-,+}$$



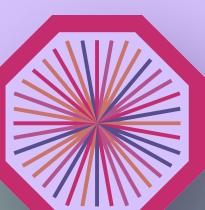
Hadronic decays

$$\star \Omega_c^0 \rightarrow \Omega^- \pi^+$$



Semileptonic decays

$$\star \Omega_c^0 \rightarrow \Omega^- e^+ \nu_e$$



Hadronic decays

$$\star \Lambda_c^+ \rightarrow p K^- \pi^+$$

$$\star \Lambda_c^+ \rightarrow p K_s^0$$

Semileptonic decays

$$\star \Lambda_c^+ \rightarrow \Lambda e^+ \nu_e$$

Hadronic decays

$$\star \Xi_c^+ \rightarrow \Xi^- \pi^+ \pi^+$$

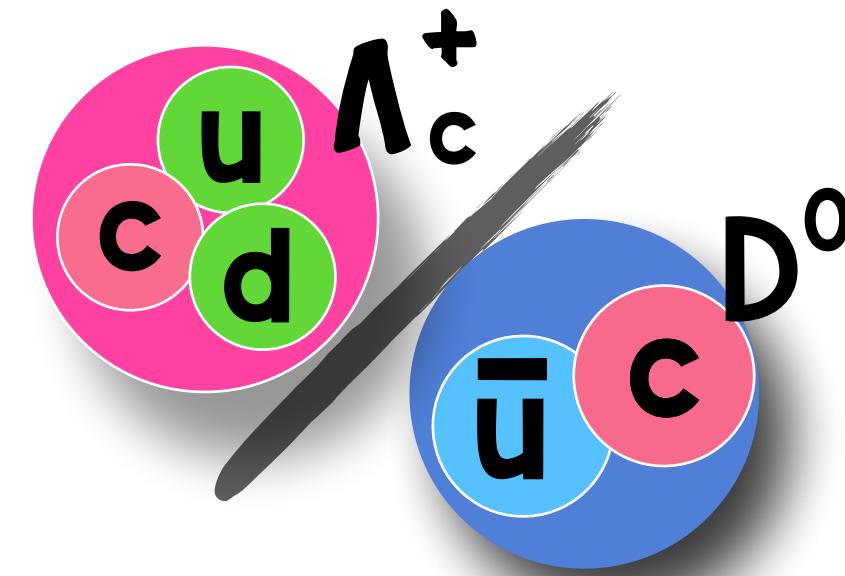
$$\star \Xi_c^0 \rightarrow \Xi^- \pi^+$$

Semileptonic decays

$$\star \Xi_c^0 \rightarrow \Xi^- e^+ \nu_e$$

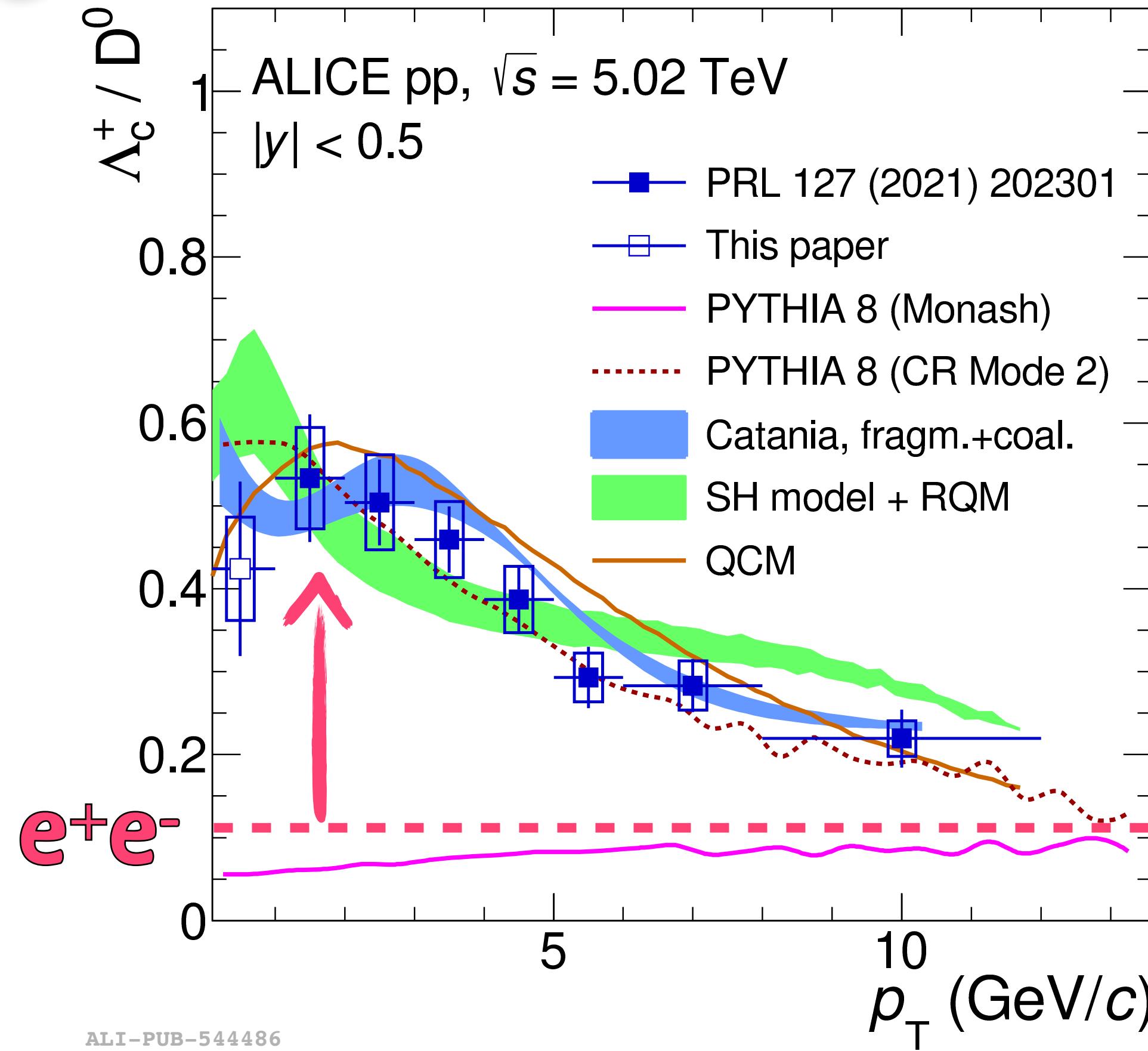
Λ_c^+ / D^0 in pp collisions

down to $p_T = 0$



5.02 TeV

Phys.Rev.C 107 (2023) 064901



Measurements from e⁺e⁻ collisions : [Phys.Rev.D 43 \(1991\) 3599](#)

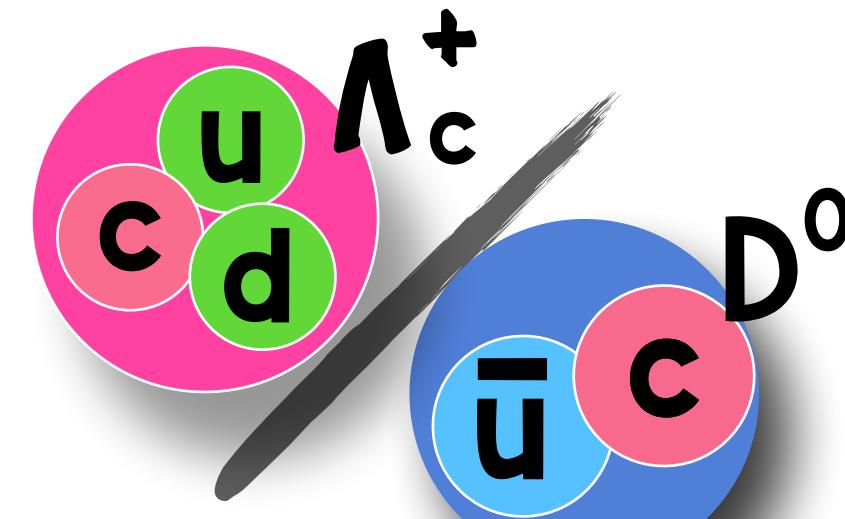
Comparing to e⁺e⁻ collisions

- ★ Significantly larger baryon-to-meson ratio at low and intermediate p_T and strong p_T dependence in pp collisions

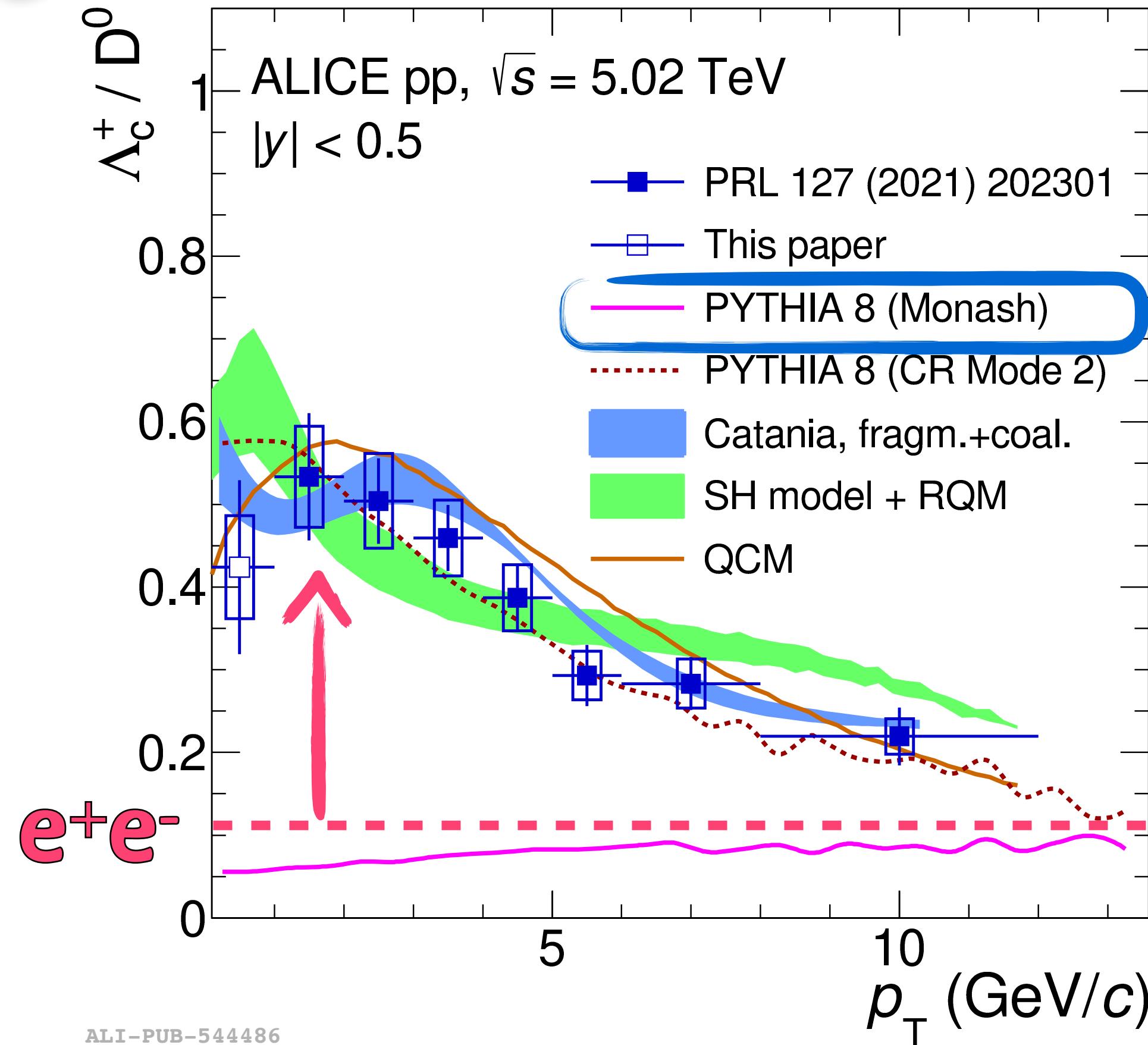


Λ_c^+ / D^0 in pp collisions

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Phys.Rev.C 107 (2023) 064901



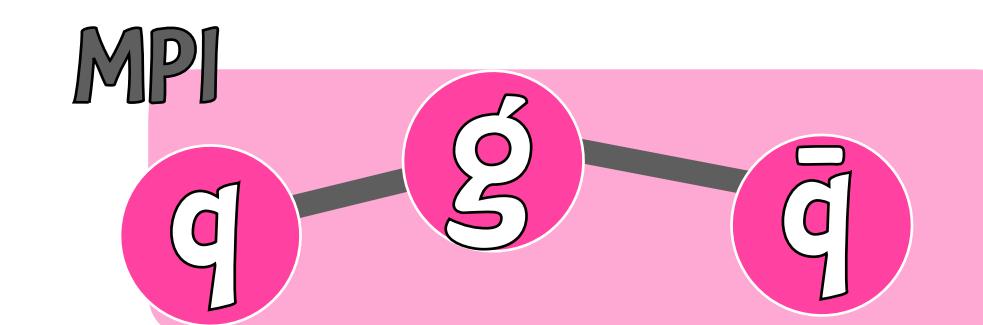
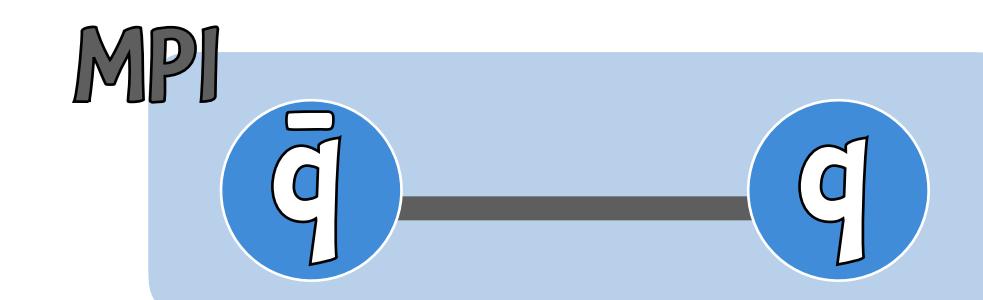
Model comparison

Monash : Eur.Phys.J.C 74 (2014) 3024

PYTHIA 8

Allows colour reconnection (CR) between partons from different multiparton interactions (MPIs) to minimize the string length.

Tuned to e^+e^- and ep measurements



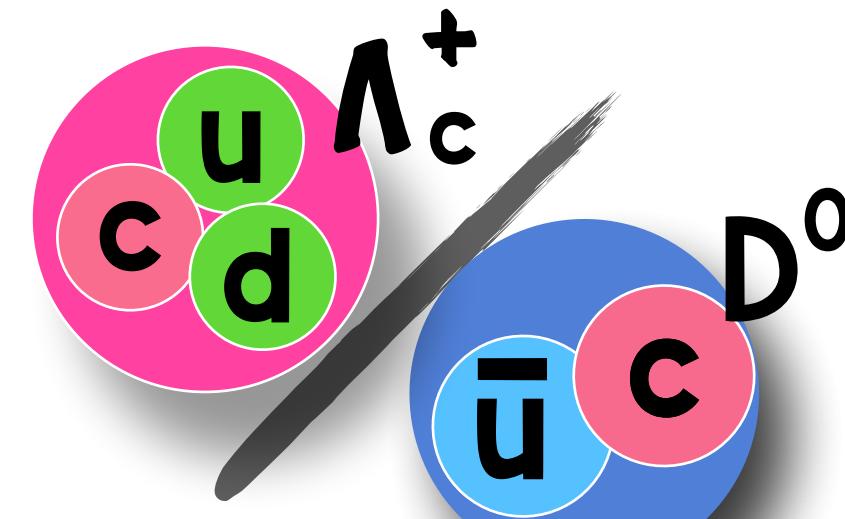
No CR

Monash



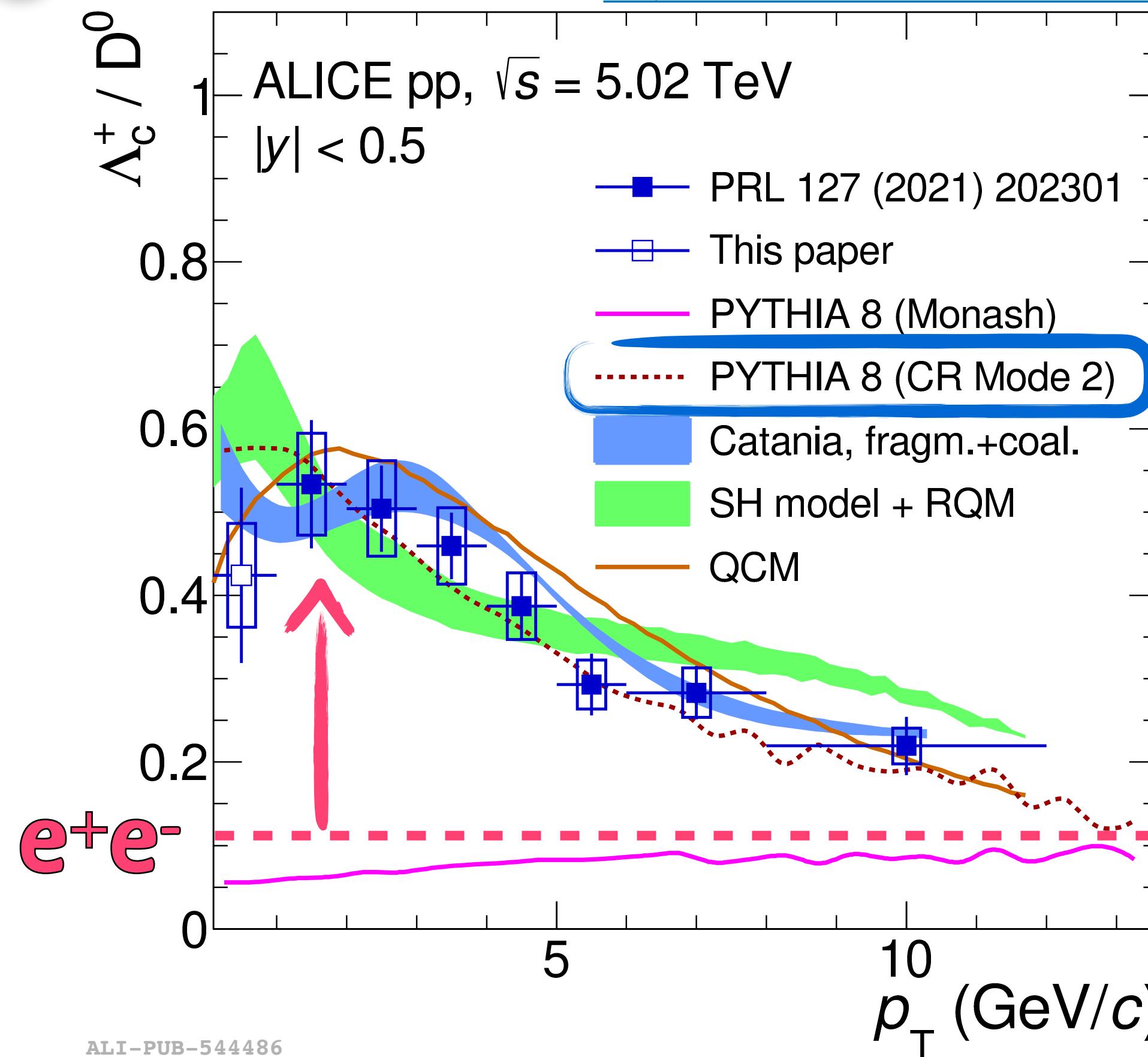
Λ_c^+ / D^0 in pp collisions

down to $p_T = 0$



A diagram illustrating a particle interaction. Two green circles, each containing a white letter 'p', are connected by a thick grey double-headed arrow pointing between them. Above the circles, the text '5.02 TeV' is written in large, bold, green, slightly slanted letters.

Phys.Rev.C 107 (2023) 06490

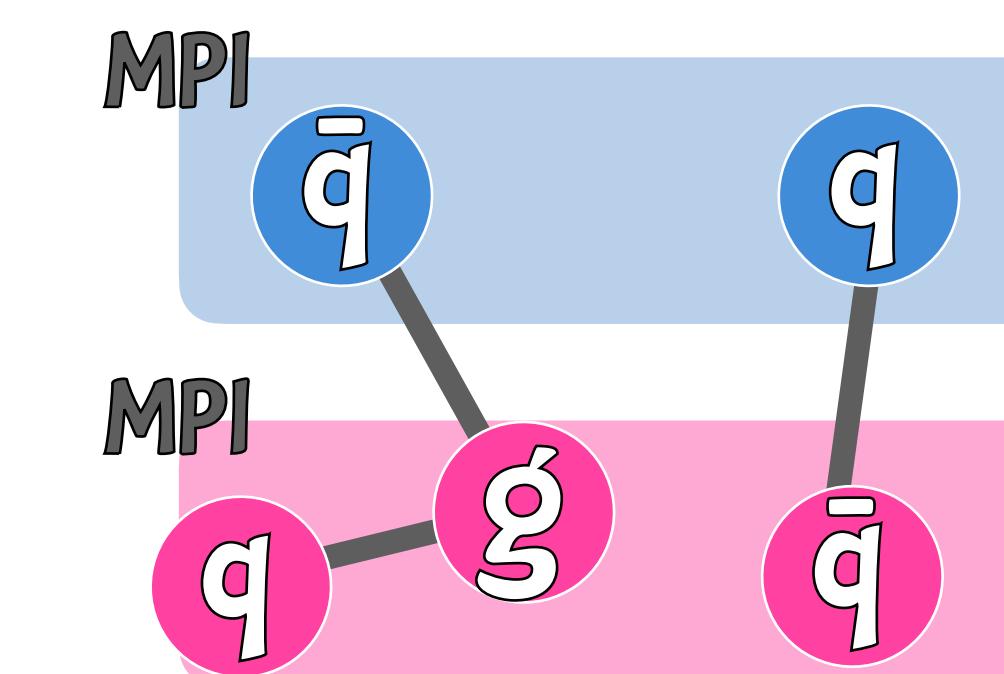


Model comparison

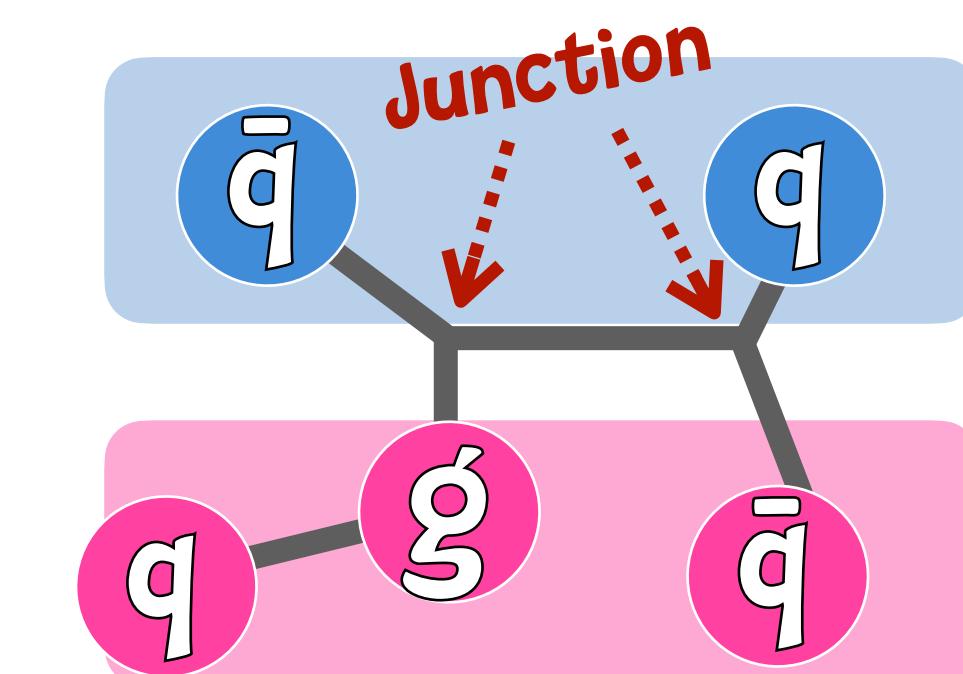
PYTHIA 8

CR Mode 2 : JHEP 08 (2015) 003

Allows CR for configurations beyond leading-colour approximation introducing *junctions*, to minimize string length, which **increase baryon production**



Monash

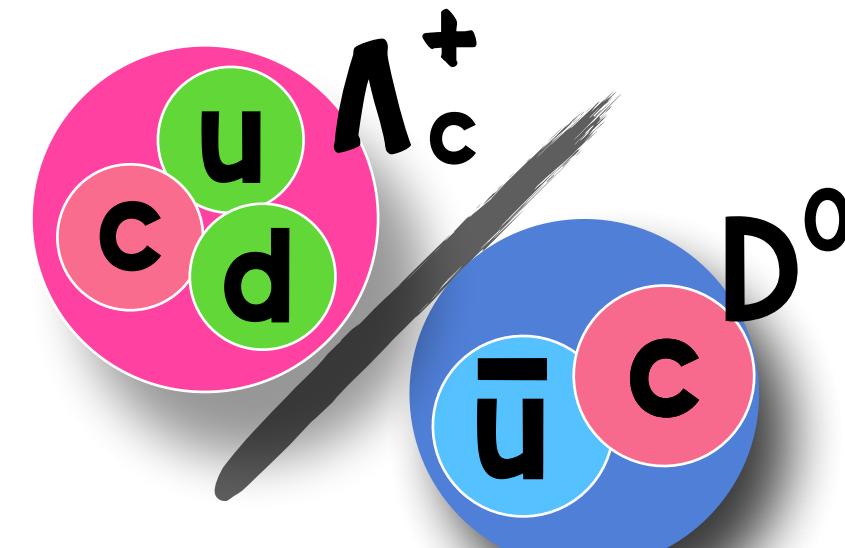


CR Mode

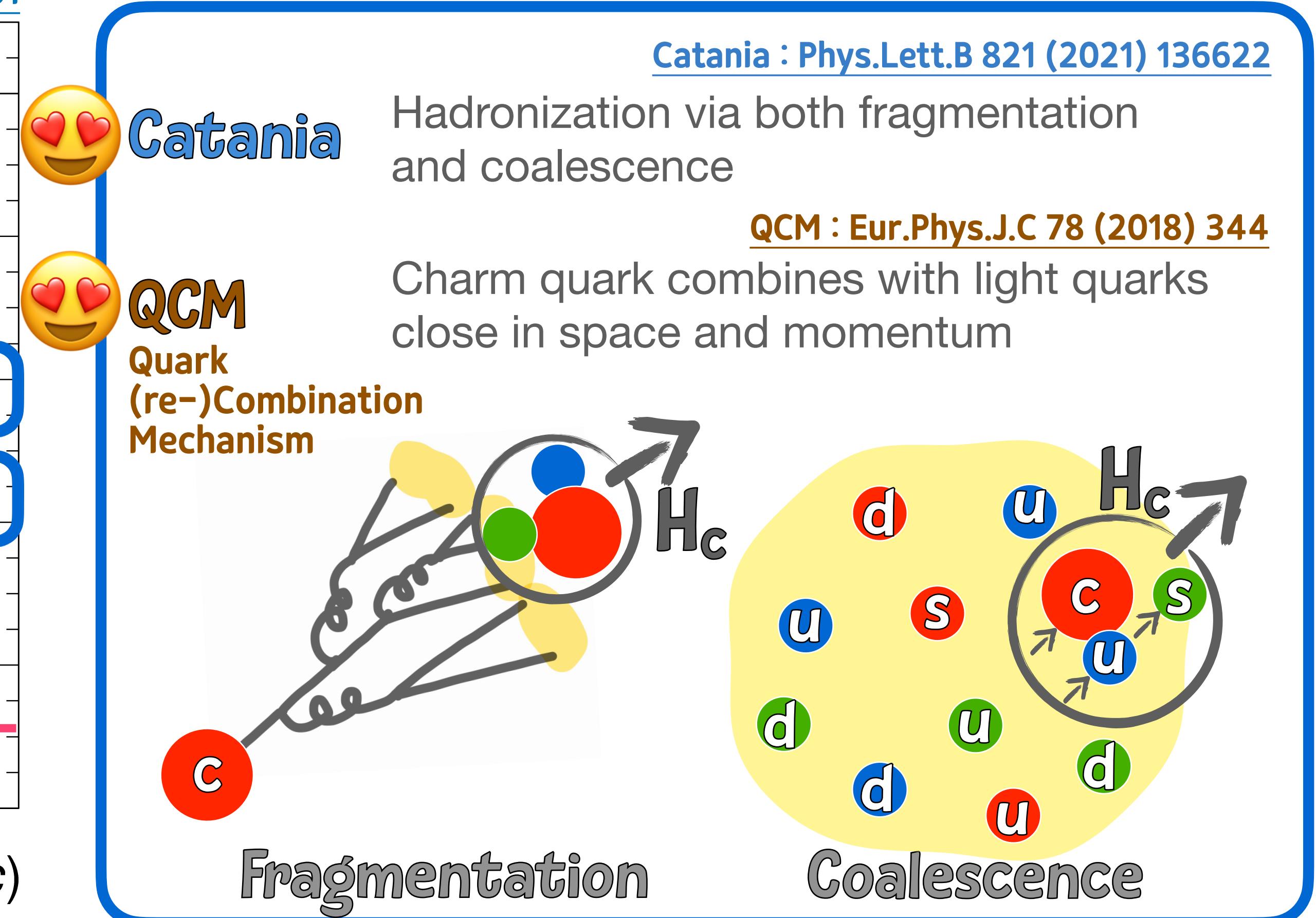
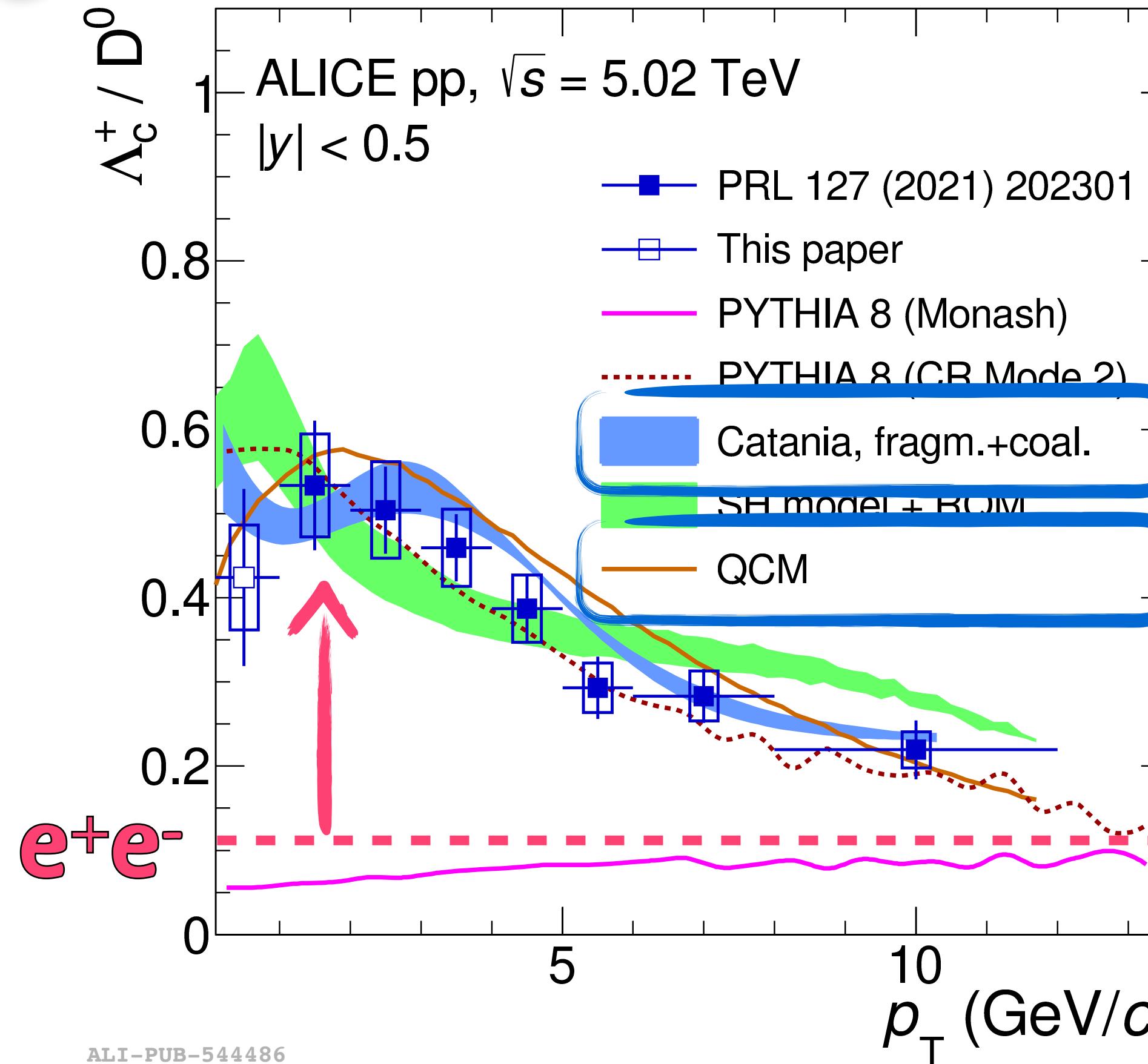


Λ_c^+ / D^0 in pp collisions

down to $p_T = 0$

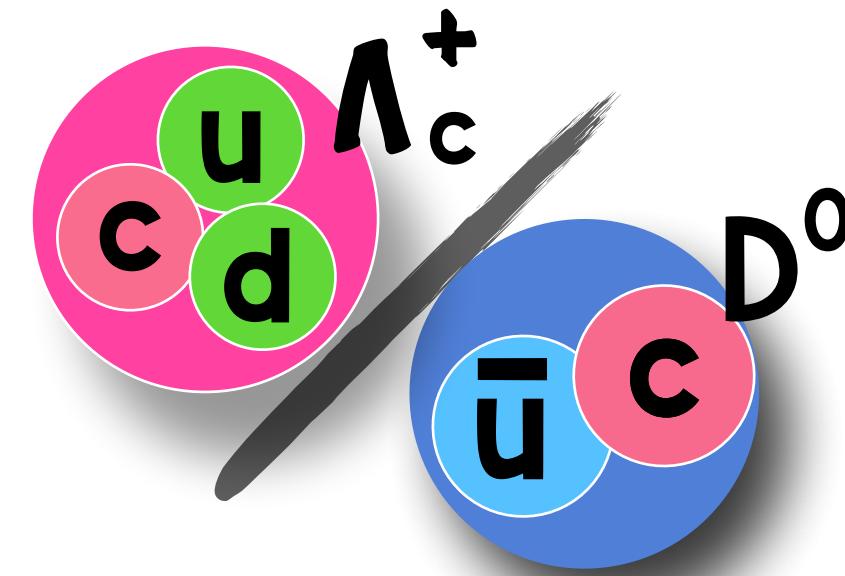


Phys.Rev.C 107 (2023) 064901



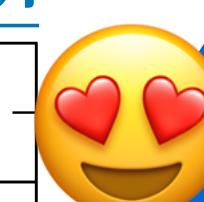
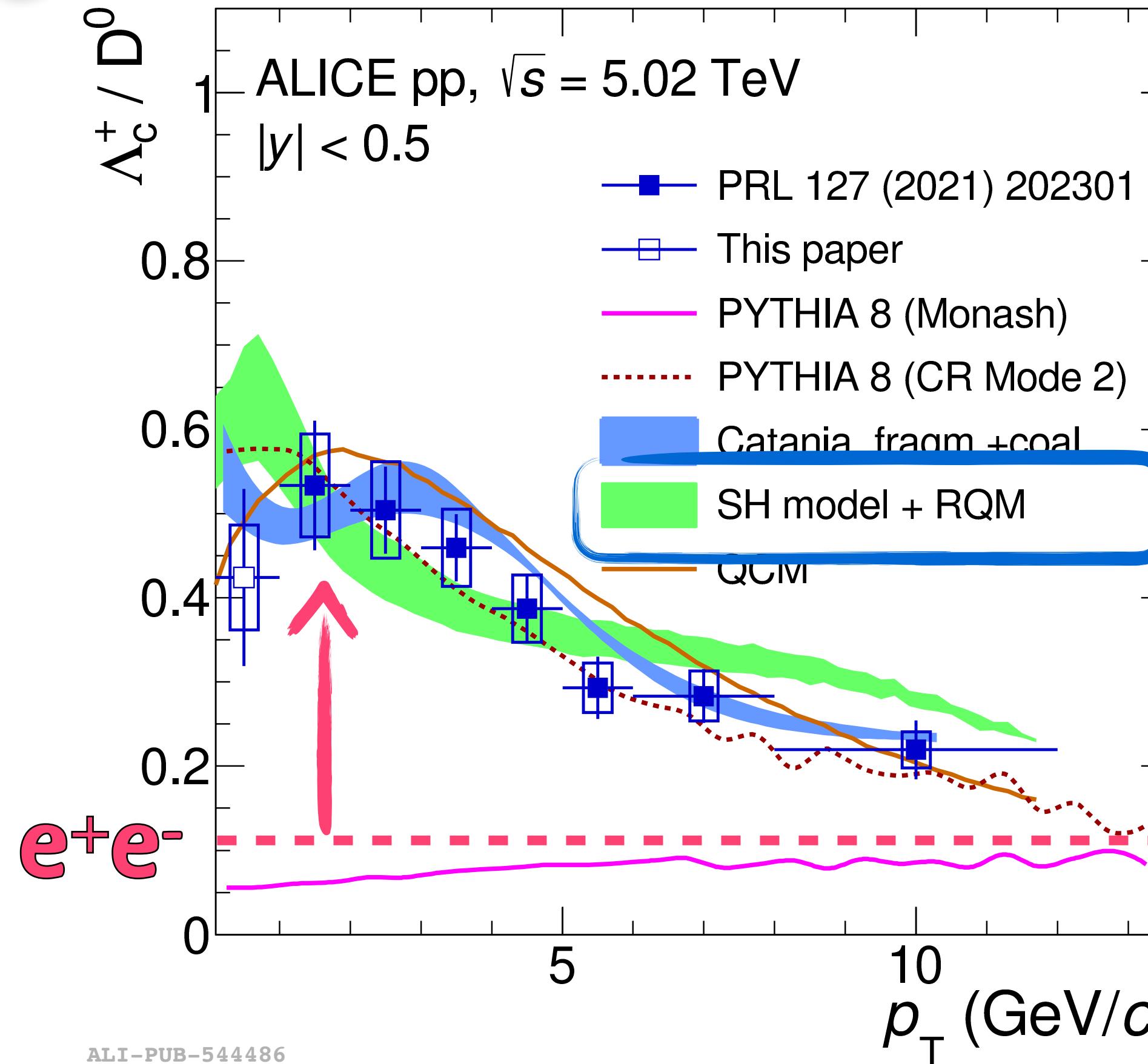
Λ_c^+ / D^0 in pp collisions

down to $p_T = 0$



5.02 TeV

Phys.Rev.C 107 (2023) 064901



Statistical model + RQM

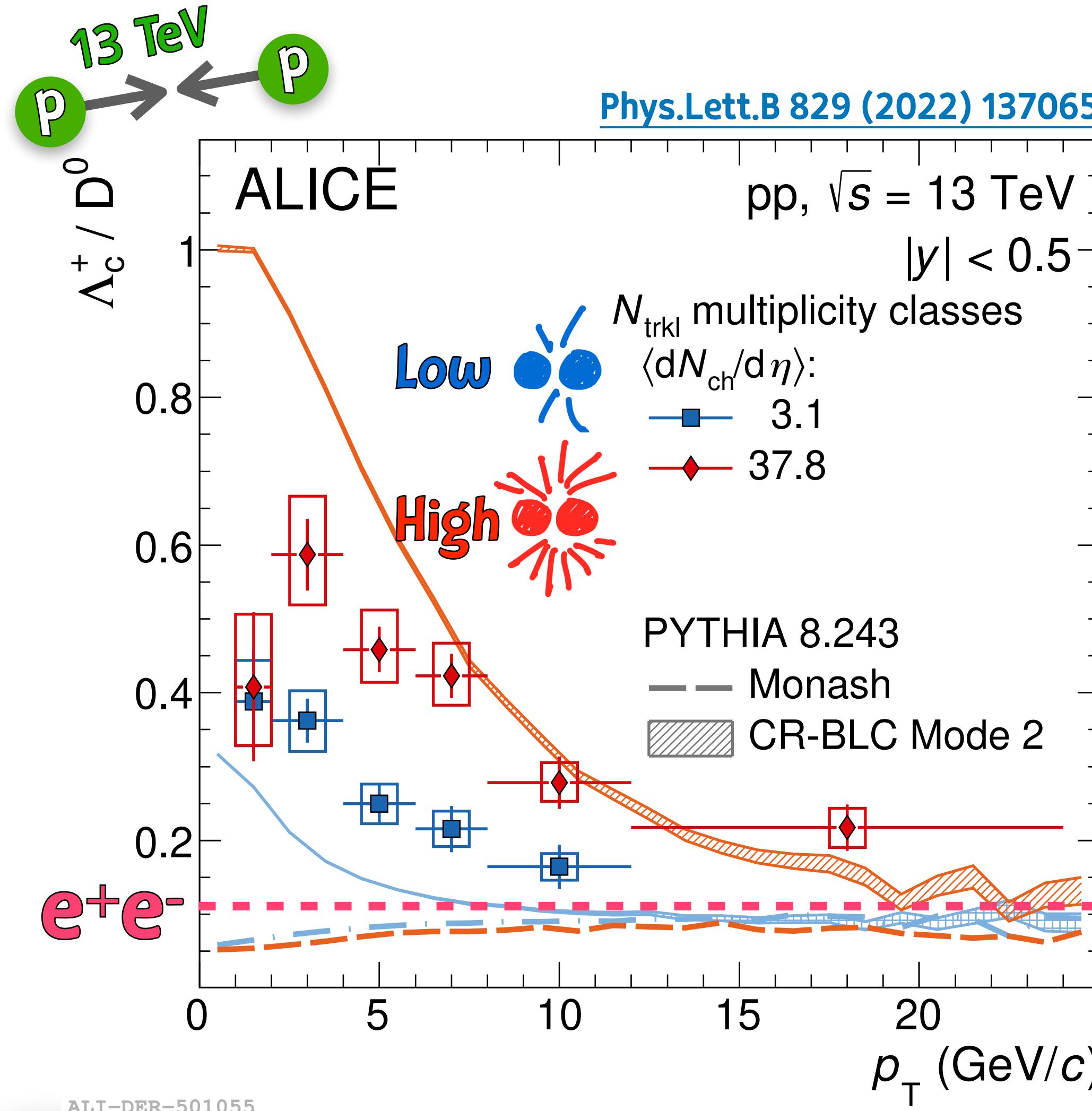
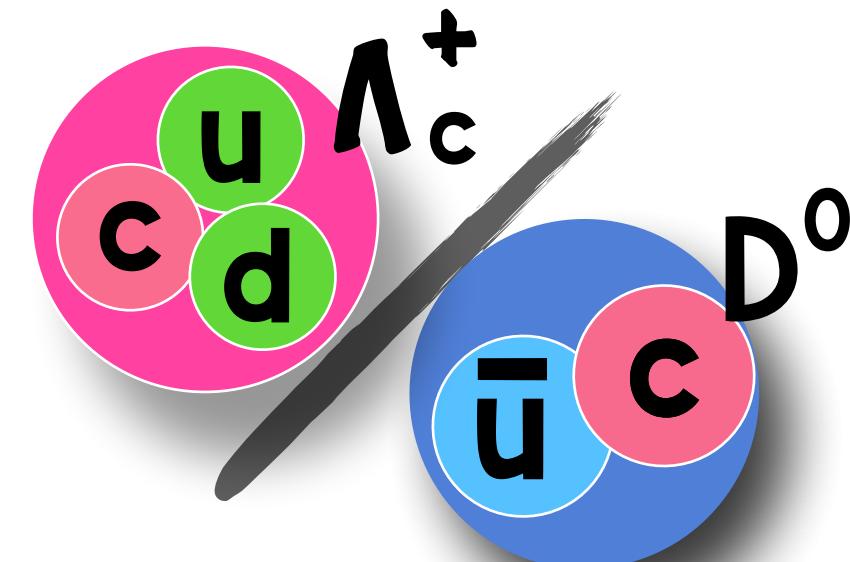
SHM : Phys.Lett.B 795 (2019) 117-121

RQM : Phys.Rev.D 84 (2011) 014025

- Hadronization driven by the species statistical weight
 - Weights are governed by the masses of hadron states at hadronization temperature
- Feed-down from (not yet measured) charm baryon states
 - Takes a large enhanced set of charm baryon state beyond the current list of PDG into account
 - PDG : 5 Λ_c , 3 Σ_c , 8 Ξ_c , 2 Ω_c states
 - RQM : Additional 18 Λ_c , 42 Σ_c , 62 Ξ_c , 34 Ω_c states



Λ_c^+ / D^0 vs. event multiplicity in pp collisions

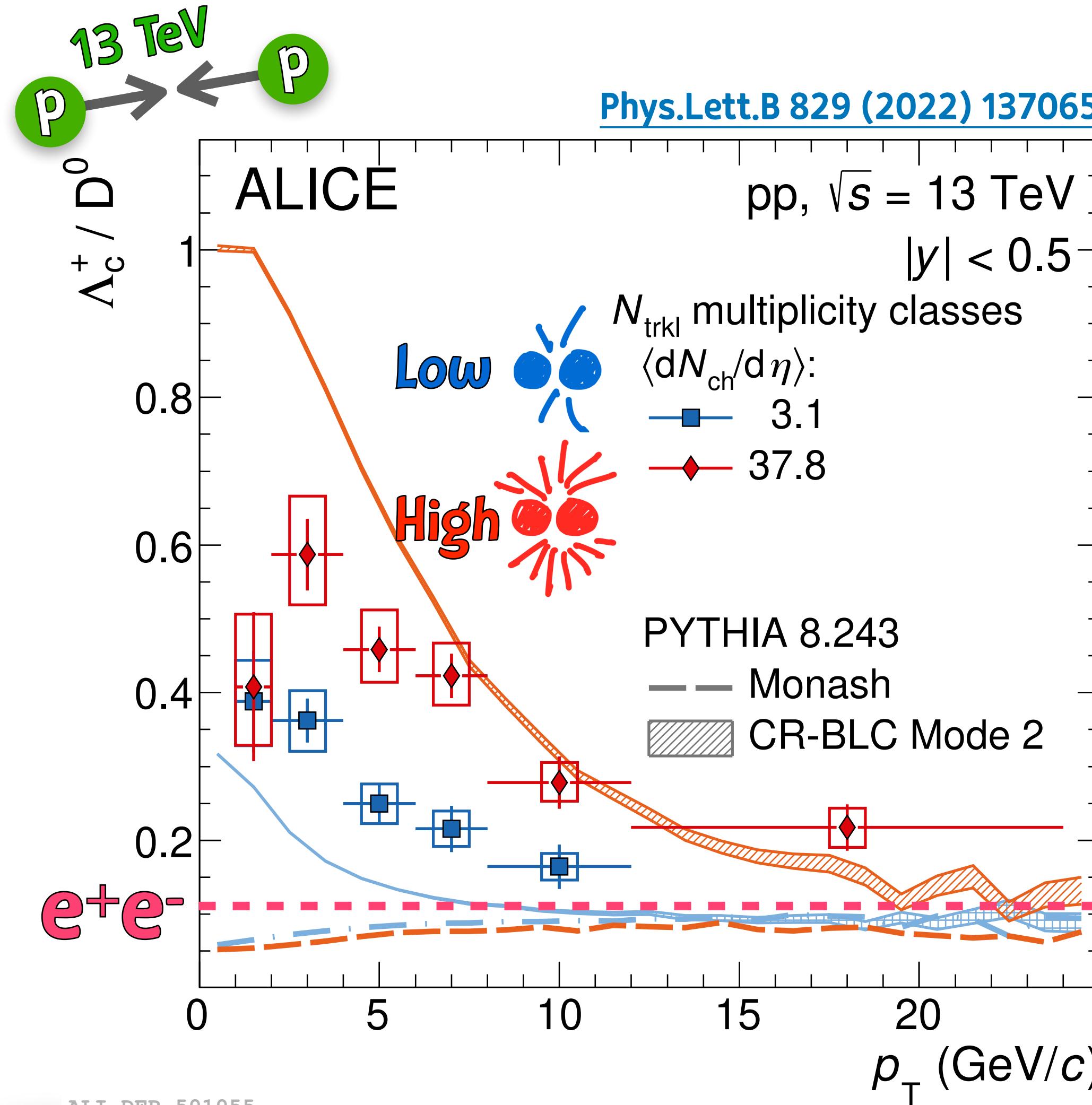
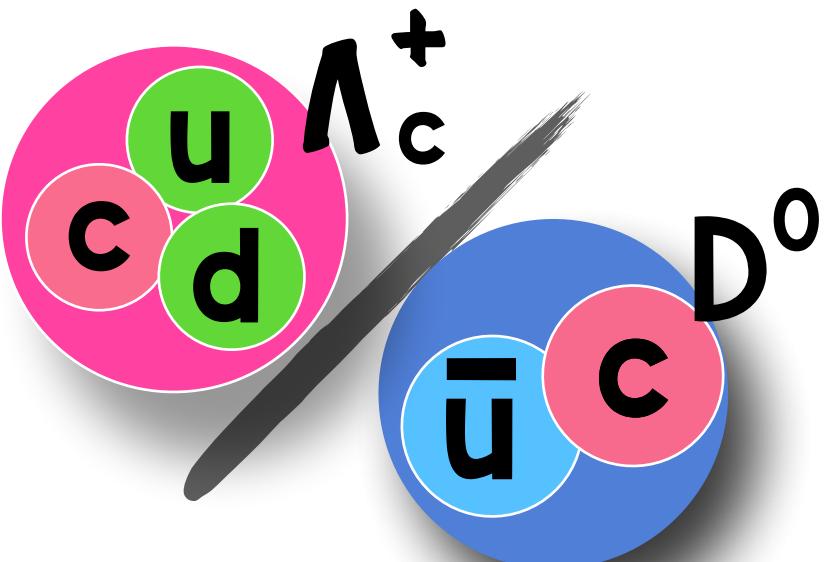


Multiplicity dependence in baryon-to-meson ratio

- ★ Significant multiplicity dependence (5.3σ) for $p_T < 12 \text{ GeV}/c$ region going from lowest to highest multiplicity class
- ★ Even in lowest multiplicity class, Λ_c^+ / D^0 is much higher than e^+e^- collisions



Λ_c^+ / D^0 vs. event multiplicity in pp collisions



Multiplicity dependence in baryon-to-meson ratio

- ★ Significant multiplicity dependence (5.3σ) for $p_T < 12 \text{ GeV}/c$ region going from lowest to highest multiplicity class
- ★ Even in lowest multiplicity class, Λ_c^+ / D^0 is much higher than e^+e^- collisions

Model comparison

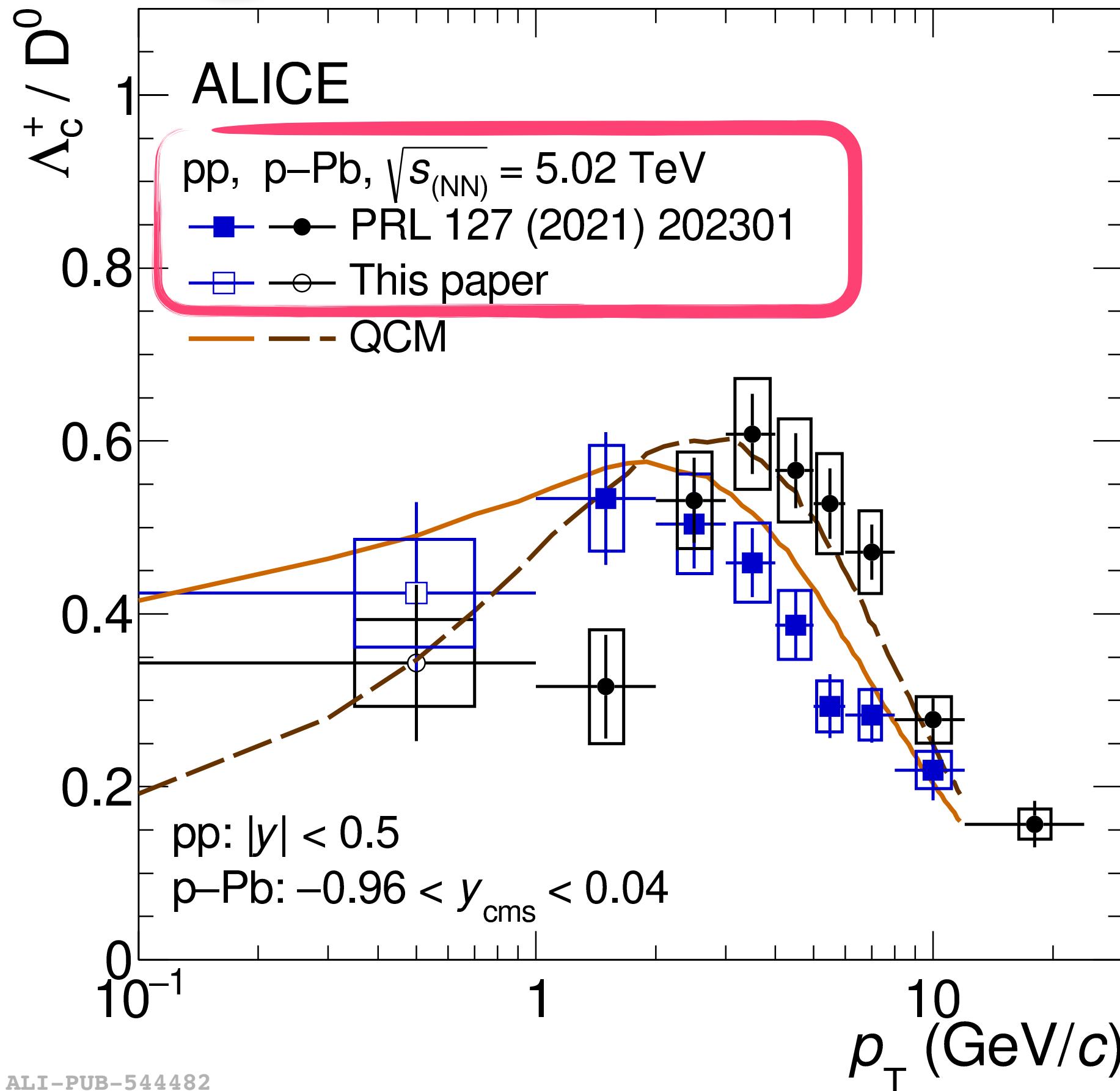
- ★ PYTHIA 8 Monash doesn't reproduce neither magnitude nor multiplicity dependence
- ★ PYTHIA 8 Mode 2 provides better description than Monash

Λ_c^+ / D^0 in p-Pb collisions

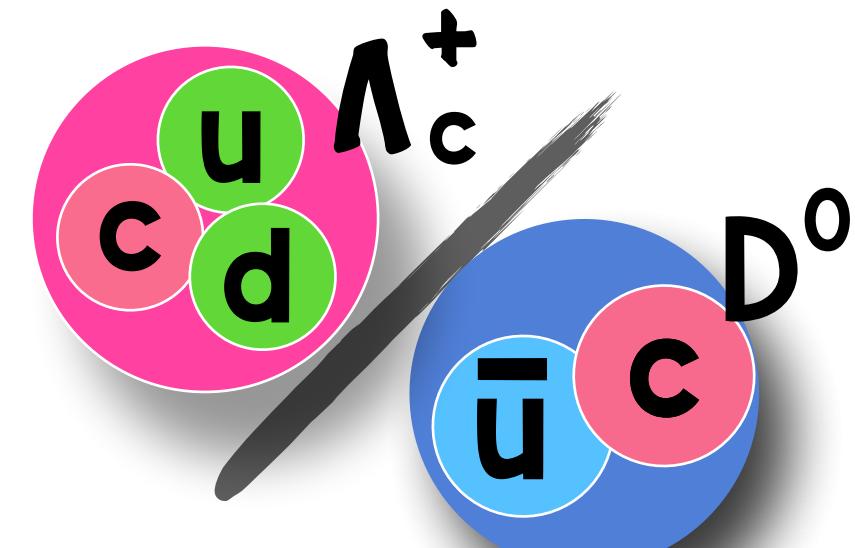
down to $p_T = 0$



[Phys.Rev.C 107 \(2023\) 064901](#)



ALI-PUB-544482



Collision system dependence

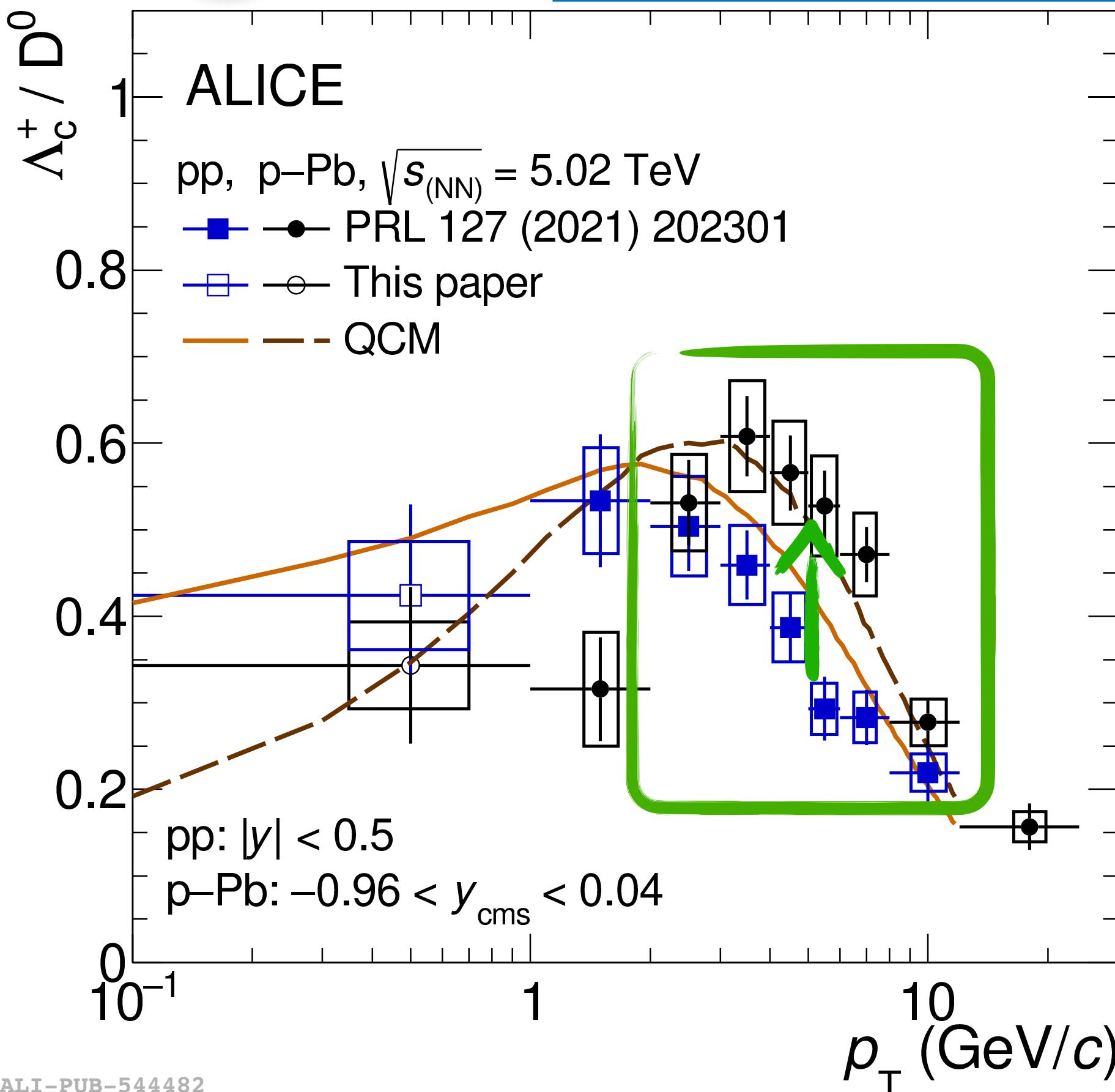
★ The overall magnitude of enhancement in Λ_c^+ / D^0 ratios with respect to e^+e^- collisions is similar between pp and p—Pb collisions within uncertainties

Λ_c^+ / D^0 in p-Pb collisions

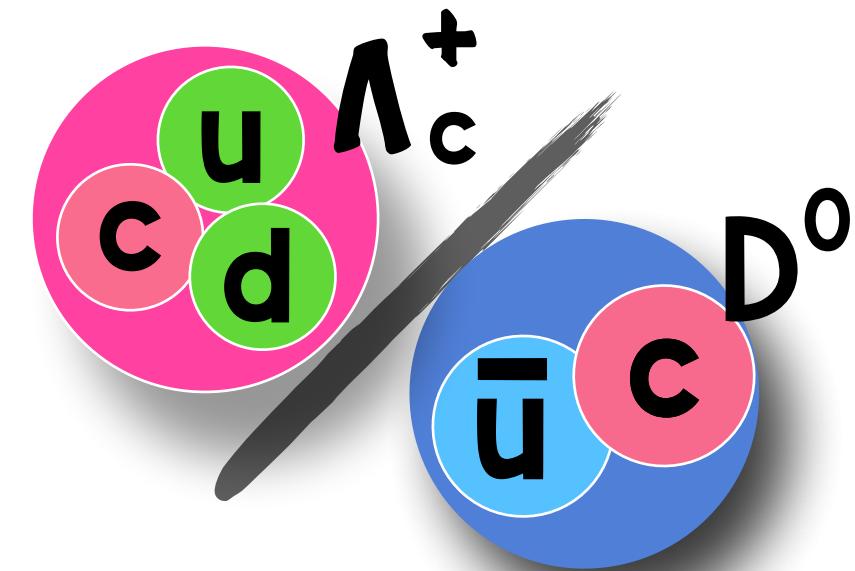
down to $p_T = 0$



[Phys.Rev.C 107 \(2023\) 064901](#)



ALI-PUB-544482



Collision system dependence

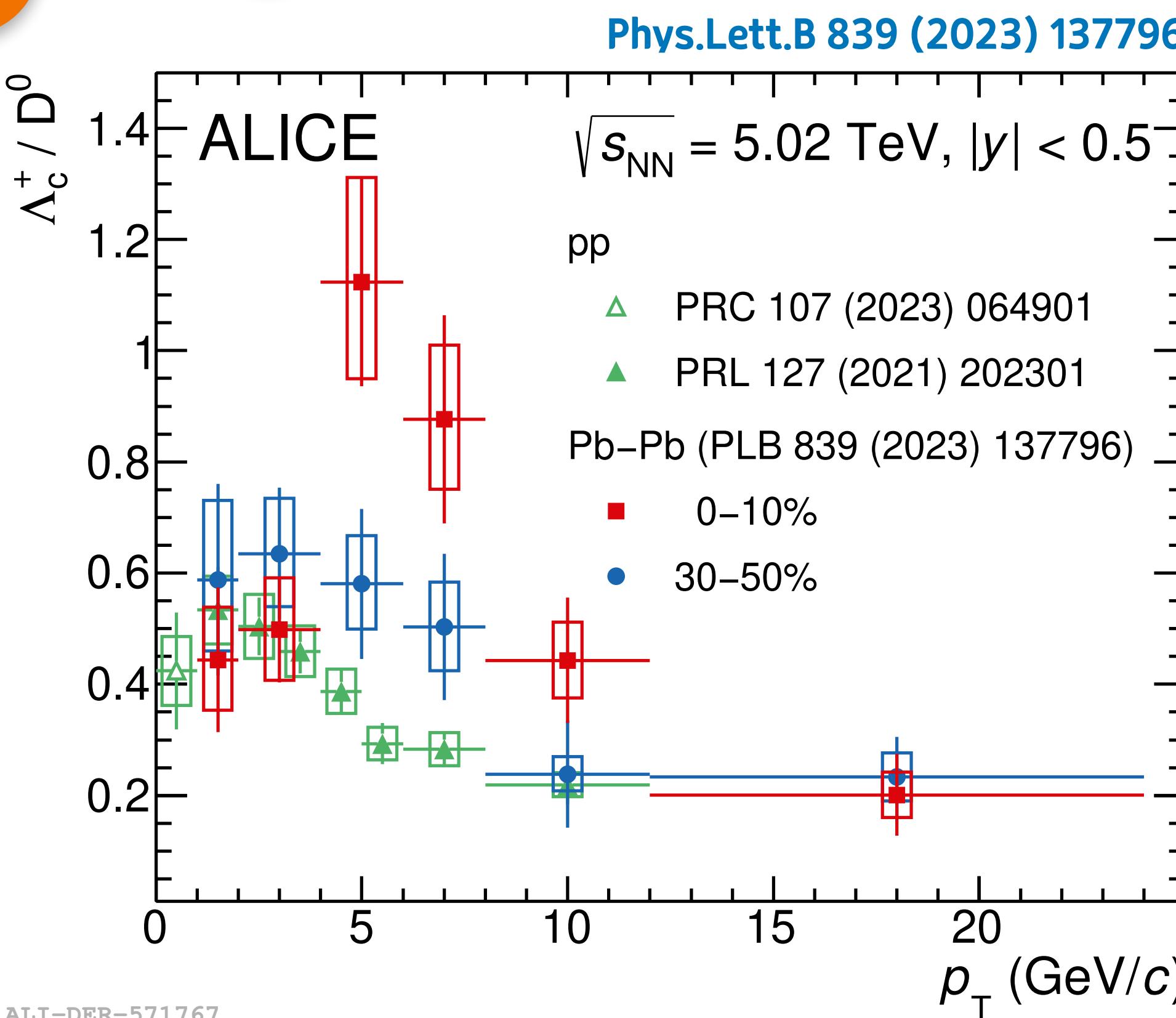
- ★ The overall magnitude of enhancement in Λ_c^+ / D^0 ratios with respect to e^+e^- collisions is similar between pp and p—Pb collisions within uncertainties

Modification of p_T spectra?

- ★ The Λ_c^+ / D^0 in p—Pb collisions is higher Λ_c^+ / D^0 than that in pp collisions for $p_T > 3$ GeV/c
- ★ Contribution from radial flow or different hadronization process?

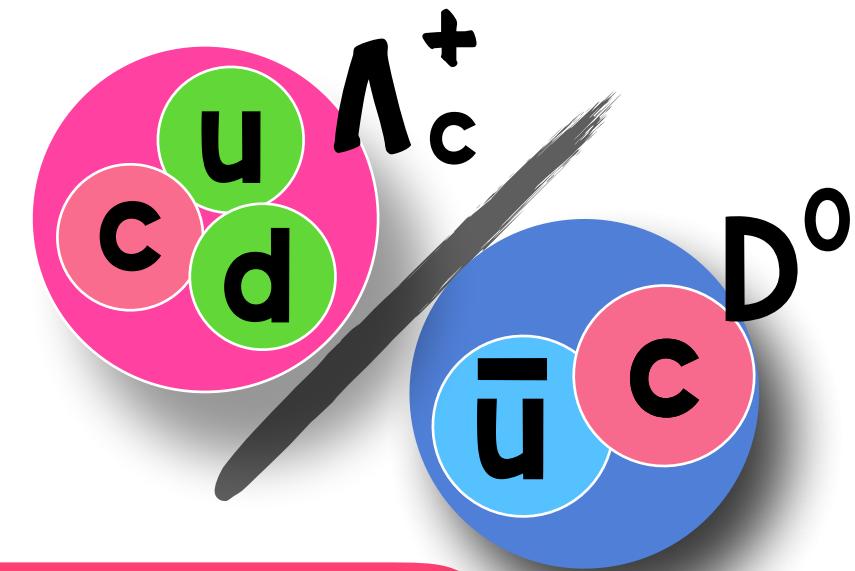


Λ_c^+ / D^0 in Pb-Pb collisions

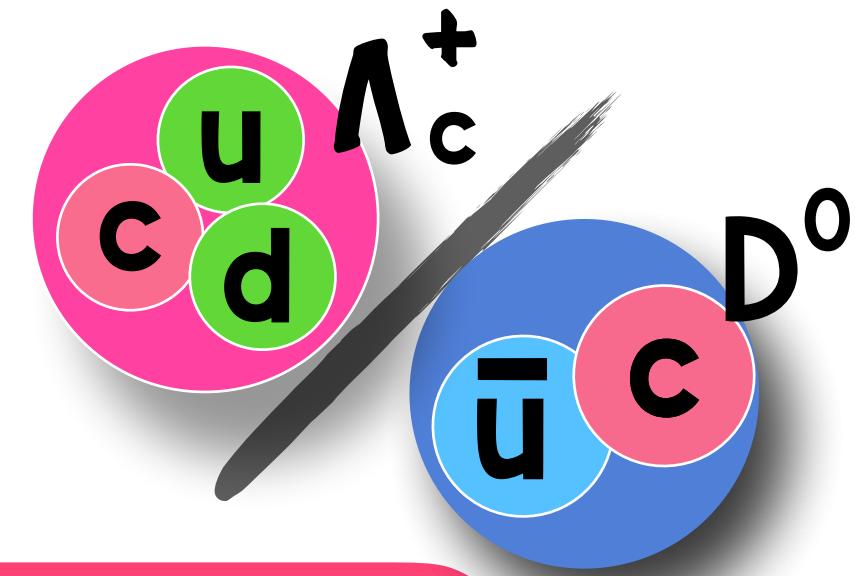


Collision system dependence

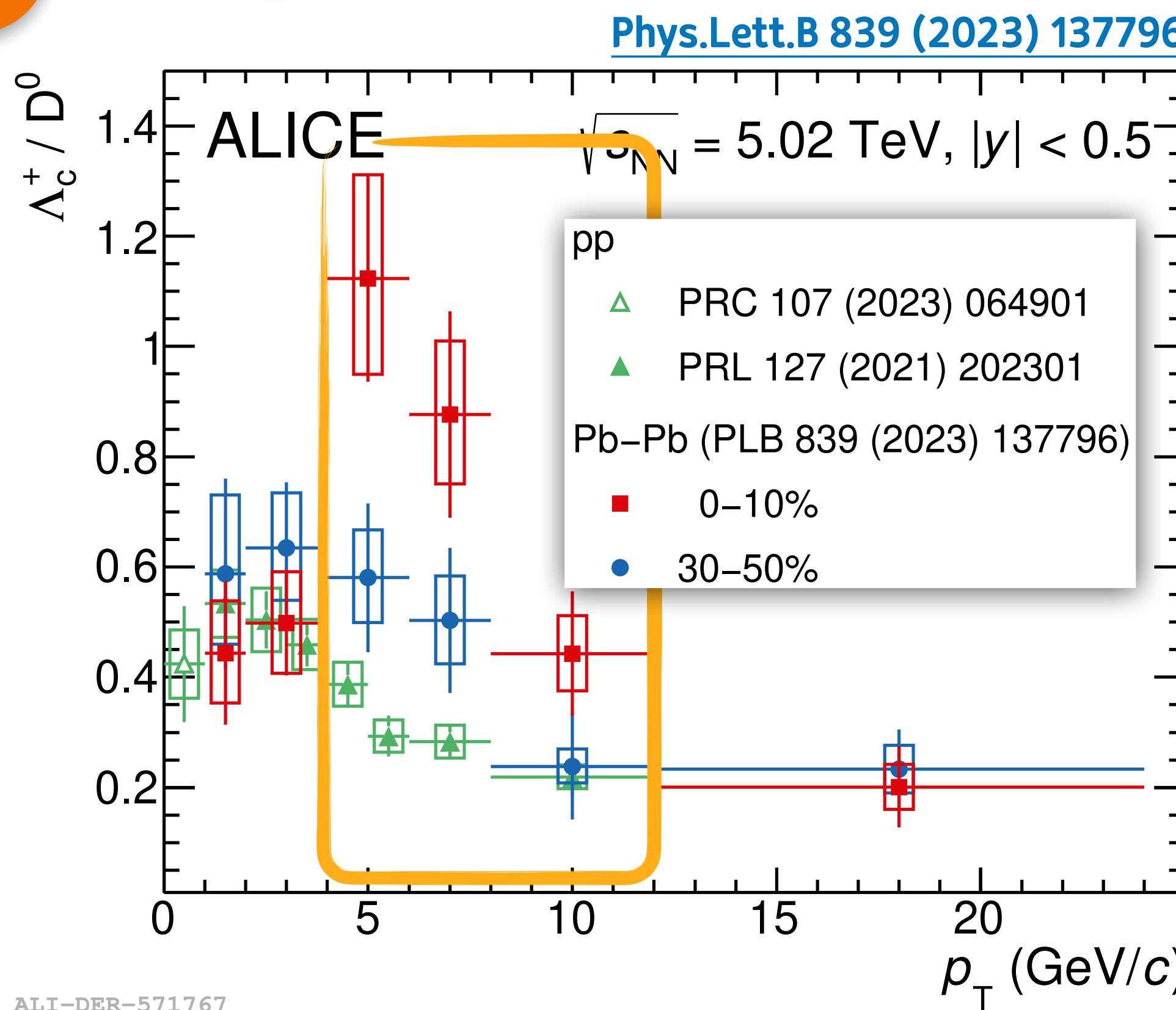
★ Indication for enhancement of Λ_c^+ / D^0 at intermediate p_T in Pb–Pb collisions with respect to pp collisions



Λ_c^+ / D^0 in Pb-Pb collisions



5.02 TeV
Pb → Pb



Collision system dependence

- ★ Indication for enhancement of Λ_c^+ / D^0 at intermediate p_T in Pb–Pb collisions with respect to pp collisions

Modification of p_T spectra?

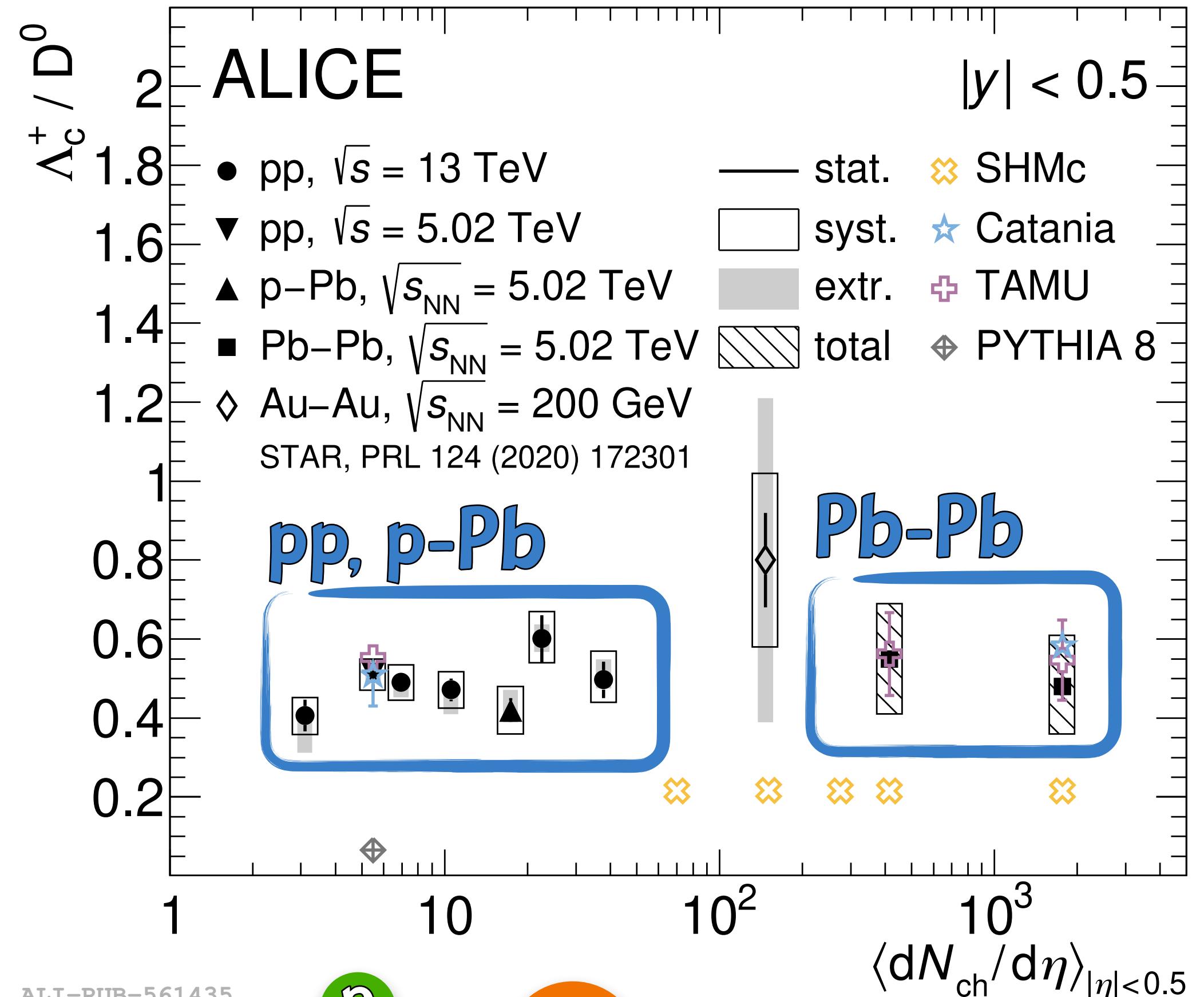
- ★ In intermediate p_T region, the Λ_c^+ / D^0 in Pb–Pb is higher than that in pp collisions
 - By 3.7σ for Pb–Pb 0-10% and by 2.0σ for Pb–Pb 30-50%
- ★ Due to recombination? Or radial flow?



Λ_c^+ / D^0 in hadronic collisions

p_T integrated

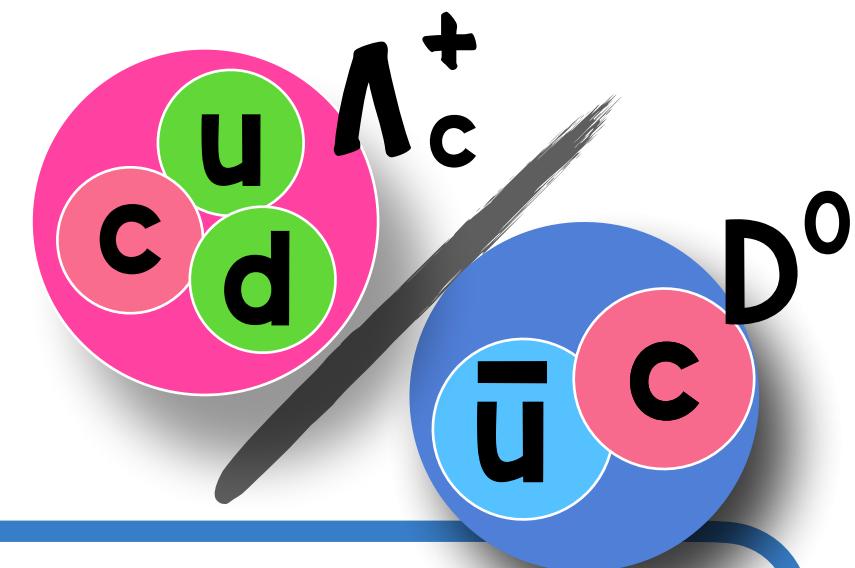
[Phys.Lett.B 839 \(2023\) 137796](#)



Among hadronic collisions

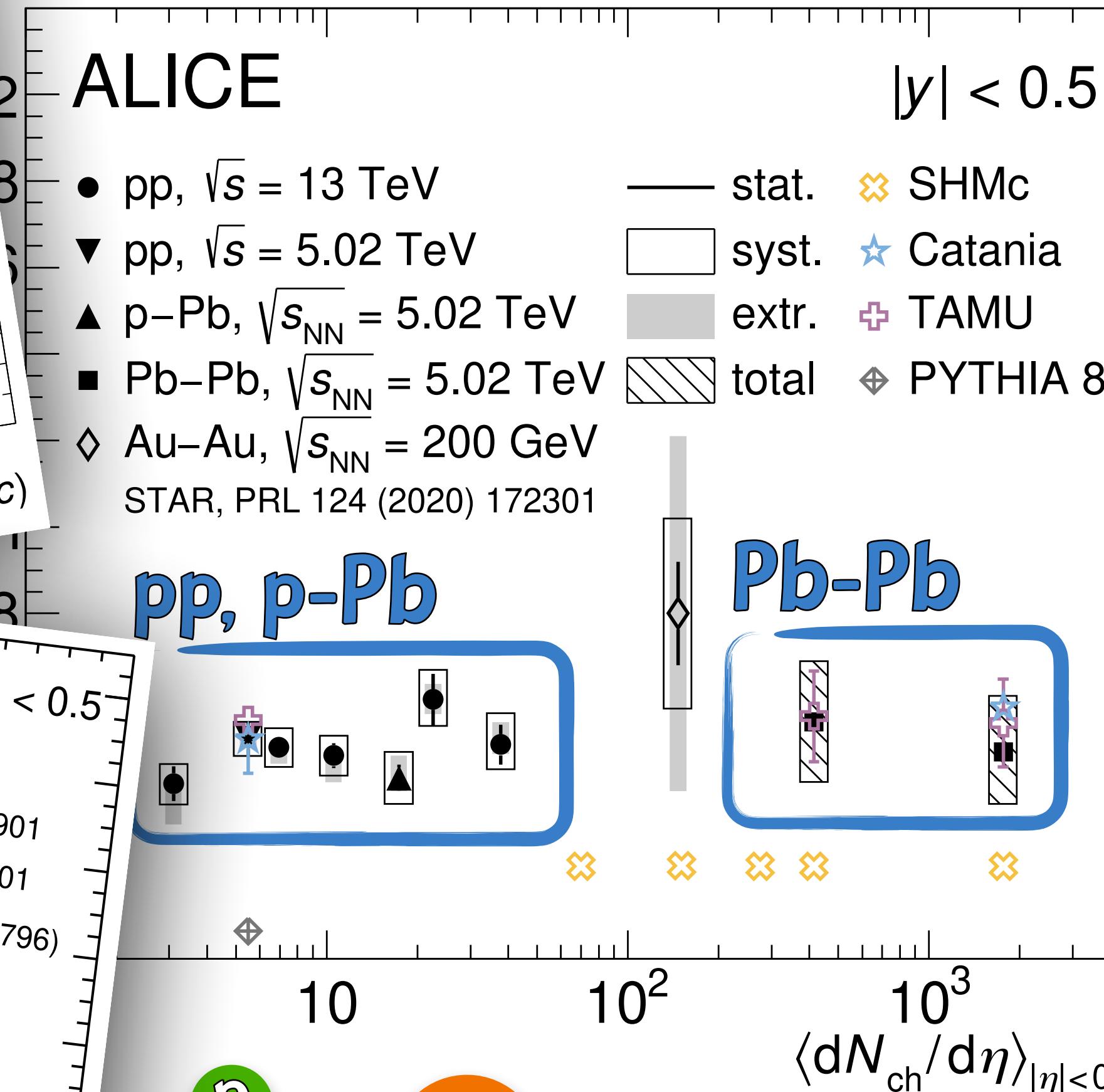
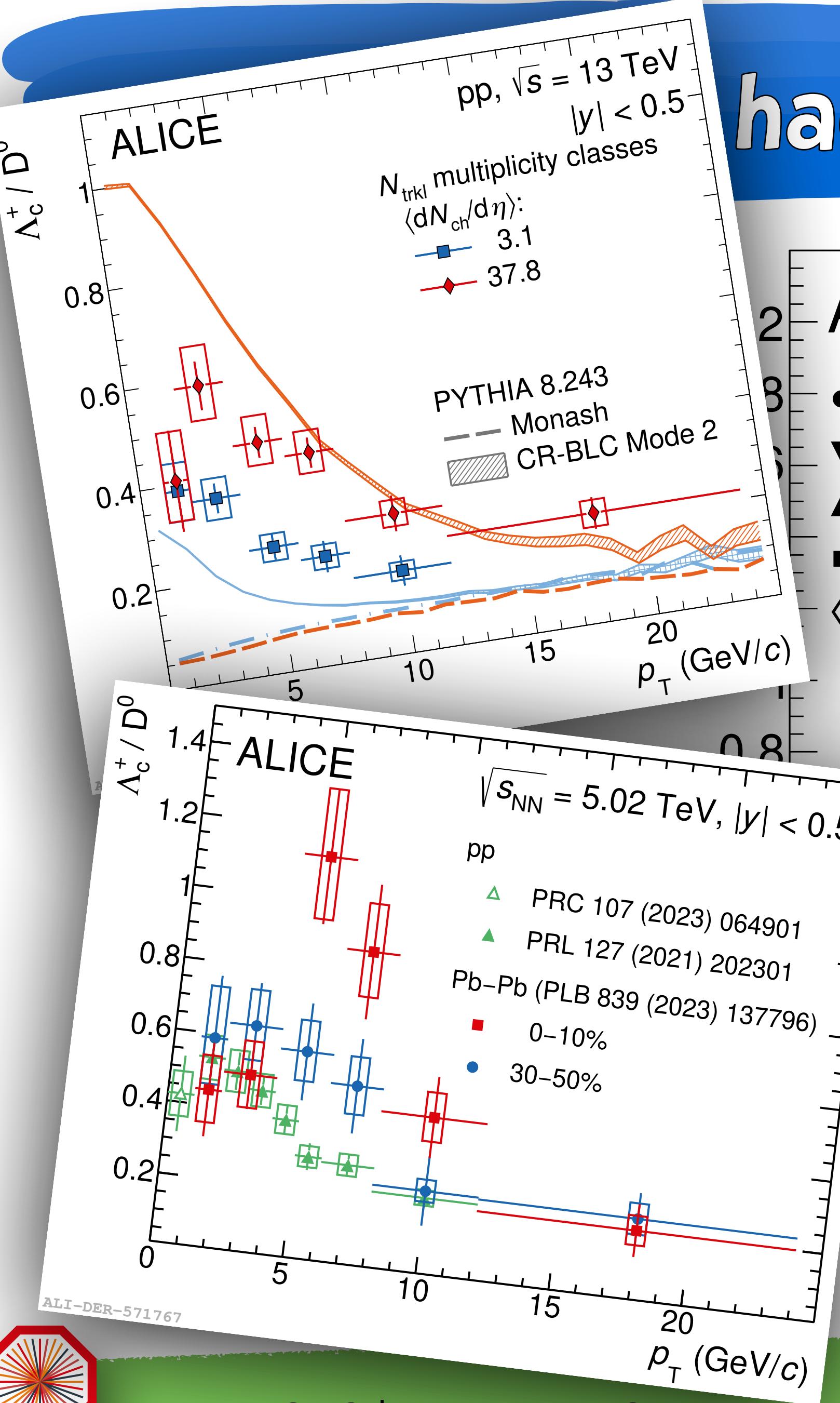
★ NO multiplicity dependence in p_T integrated Λ_c^+ / D^0 ratios within the uncertainty





hadronic collisions

[Phys.Lett.B 839 \(2023\) 137796](#)



Among hadronic collisions

- ★ NO multiplicity dependence in p_T integrated Λ_c^+ / D^0 ratios within the uncertainty
- ★ Observed multiplicity dependence in p_T differential Λ_c^+ / D^0 ratios
 - Due to different p_T redistribution for baryons and mesons rather than multiplicity dependence in hadronization process itself?



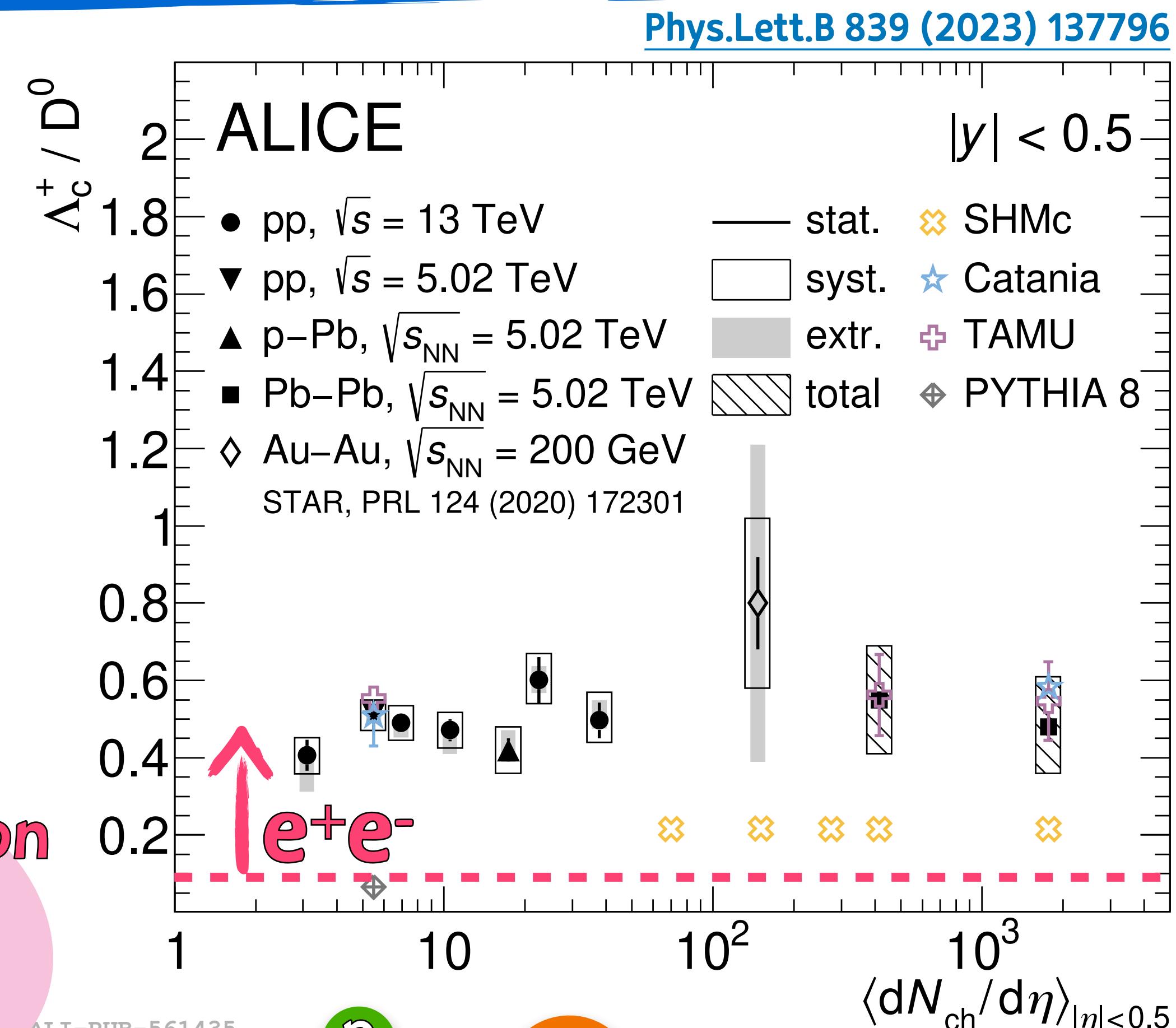
Λ_c^+ / D^0 in hadronic collisions

p_T integrated

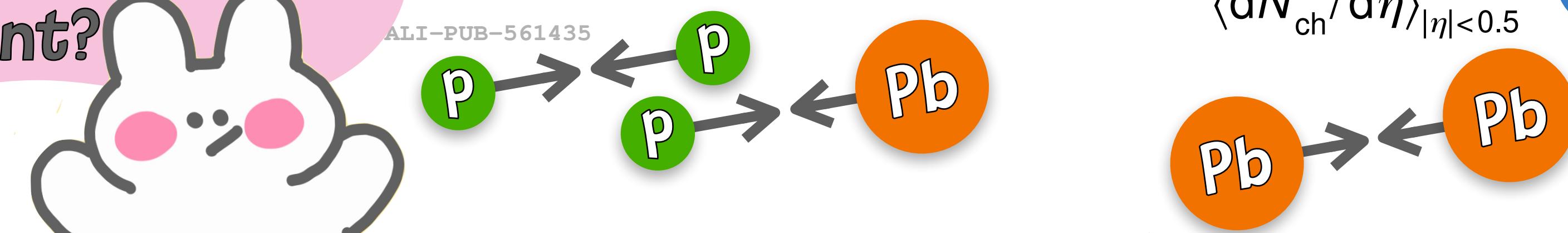
Comparing to e^+e^- collisions

★ Significant difference between leptonic collisions and hadronic collisions

Different hadronization process in parton rich environment?



ALI-PUB-561435

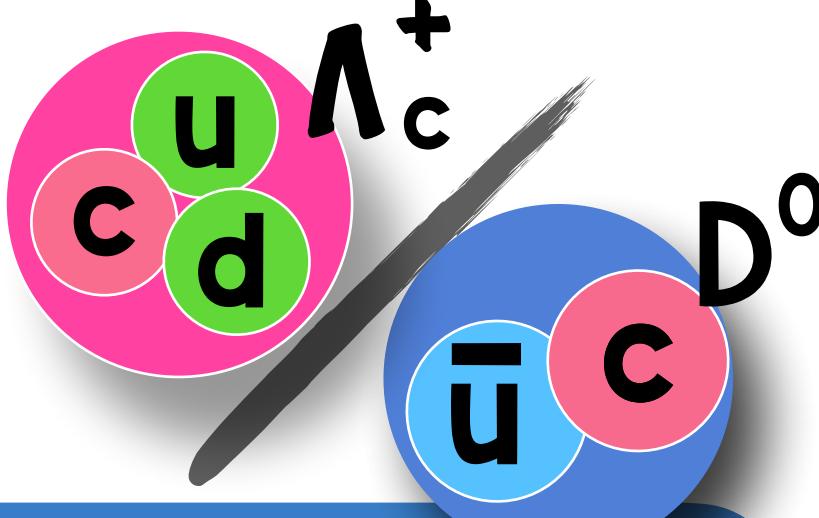


Among hadronic collisions

★ NO multiplicity dependence in p_T integrated Λ_c^+ / D^0 ratios within the uncertainty

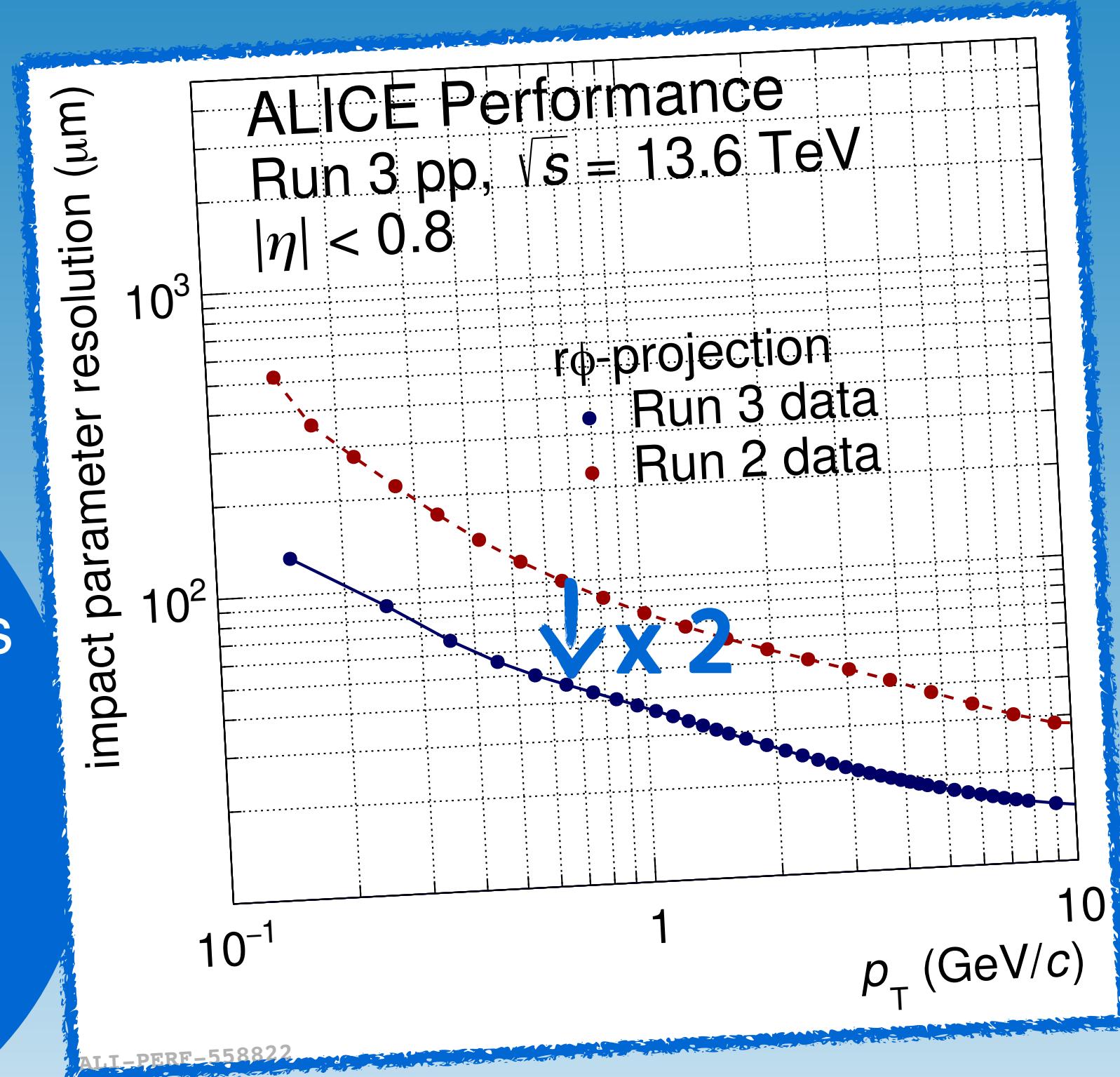
★ Observed multiplicity dependence in p_T differential Λ_c^+ / D^0 ratios

- Due to different p_T redistribution for baryons and mesons rather than multiplicity dependence in hadronization process itself?



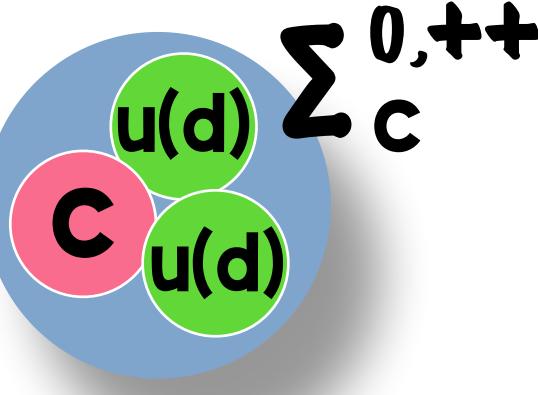
Comparing to Run 2 data,

- ★ Larger data sample thanks to TPC continuous readout
- ★ Improved resolution thanks to upgraded tracking detector during Long Shutdown 2



$\Sigma_c^{0,++}$ in pp collisions

From Run 3 data NEW!

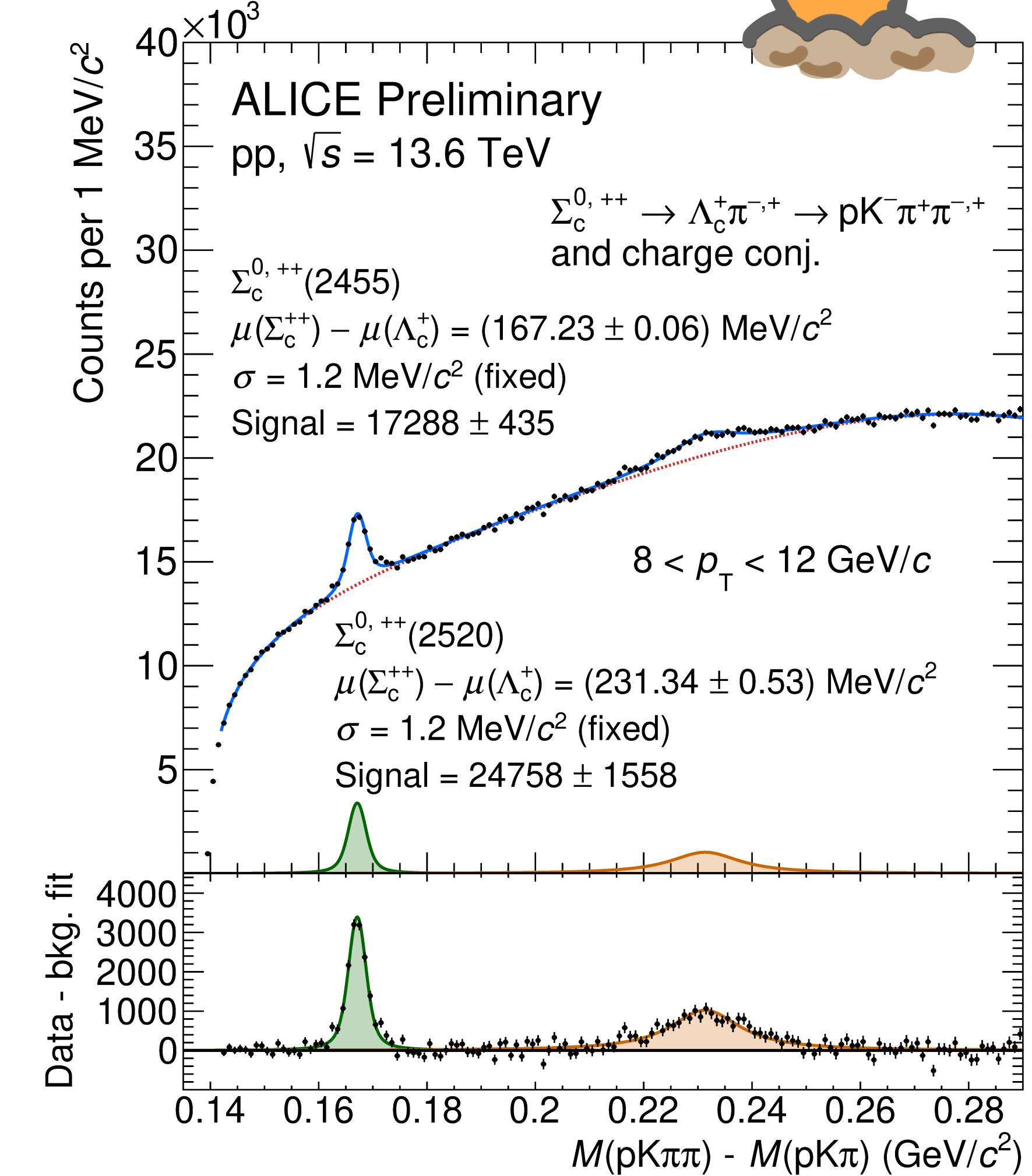


$\Sigma_c^{0,++}(2520)/\Sigma_c^{0,++}(2455)$ yield ratio

★ First measurement of the $\Sigma_c^{0,++}(2520)$ relative production at the LHC



Fresh results
from Run 3 data!



ALI-PREL-571534



$\Sigma_c^{0,++}$ in pp collisions

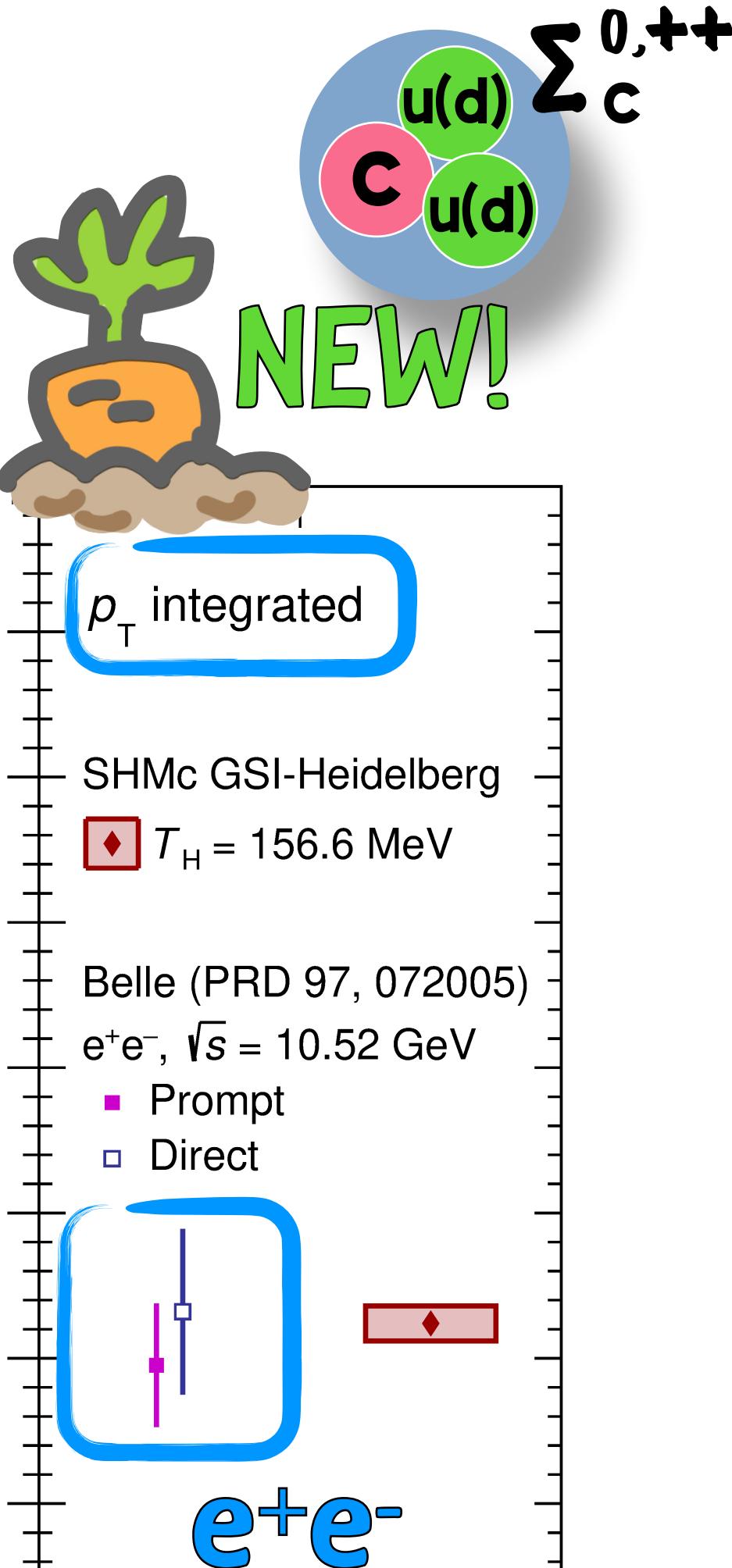
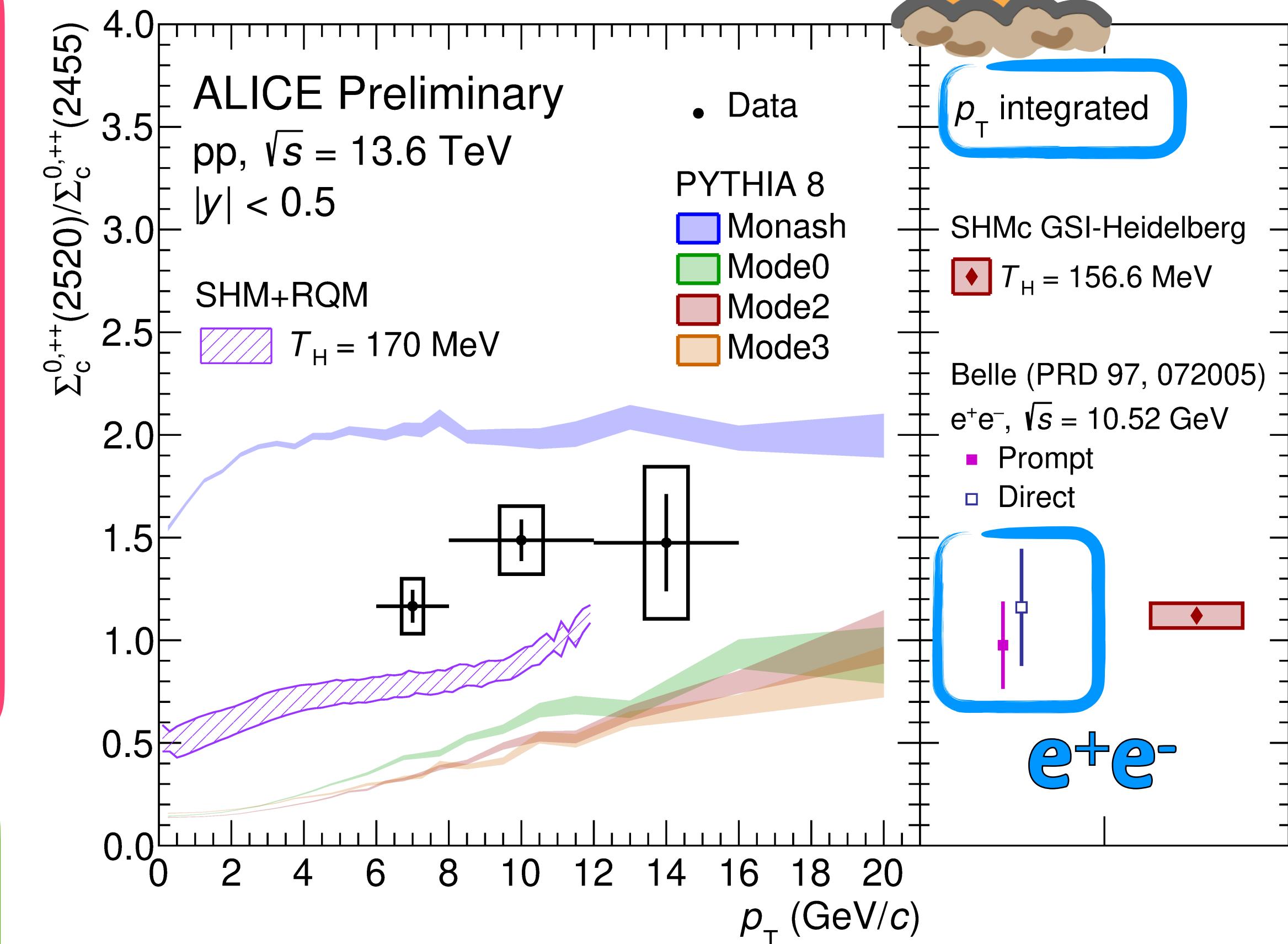
From Run 3 data

$\Sigma_c^{0,++}(2520)/\Sigma_c^{0,++}(2455)$ yield ratio

- ★ First measurement of the $\Sigma_c^{0,++}(2520)$ relative production at the LHC
- ★ In the measured p_T region, the ratios between two $\Sigma_c^{0,++}$ states in **p_T integrated e⁺e⁻ collisions** and pp collisions are consistent with each others within the uncertainties

Model comparison

- ★ PYTHIA 8 **Monash** overestimate data
- ★ PYTHIA 8 **Mode 0, 2, 3** and **SHM+RQM** underestimate data



$\Sigma_c^{0,++}$ in pp collisions

From Run 3 data

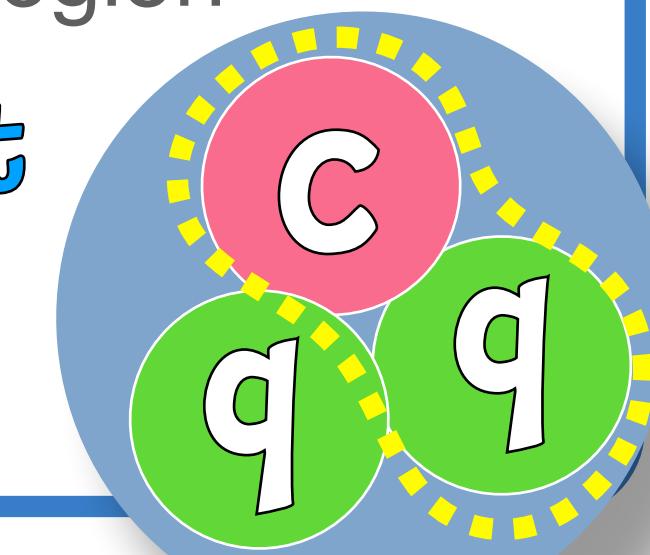
[probQQ1toQQ0join : arXiv : 2404.12040](#) and [arXiv : 2405.19137](#)

Tune on parameter?

★ PYTHIA 8 Mode 2 tune with modified parameter related to amount of suppression for heavy diquark spin 1 state with respect to spin 0 can catch the data in measured p_T region



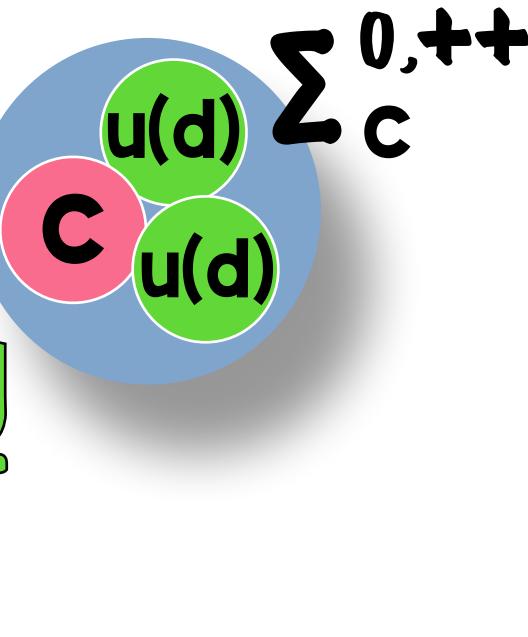
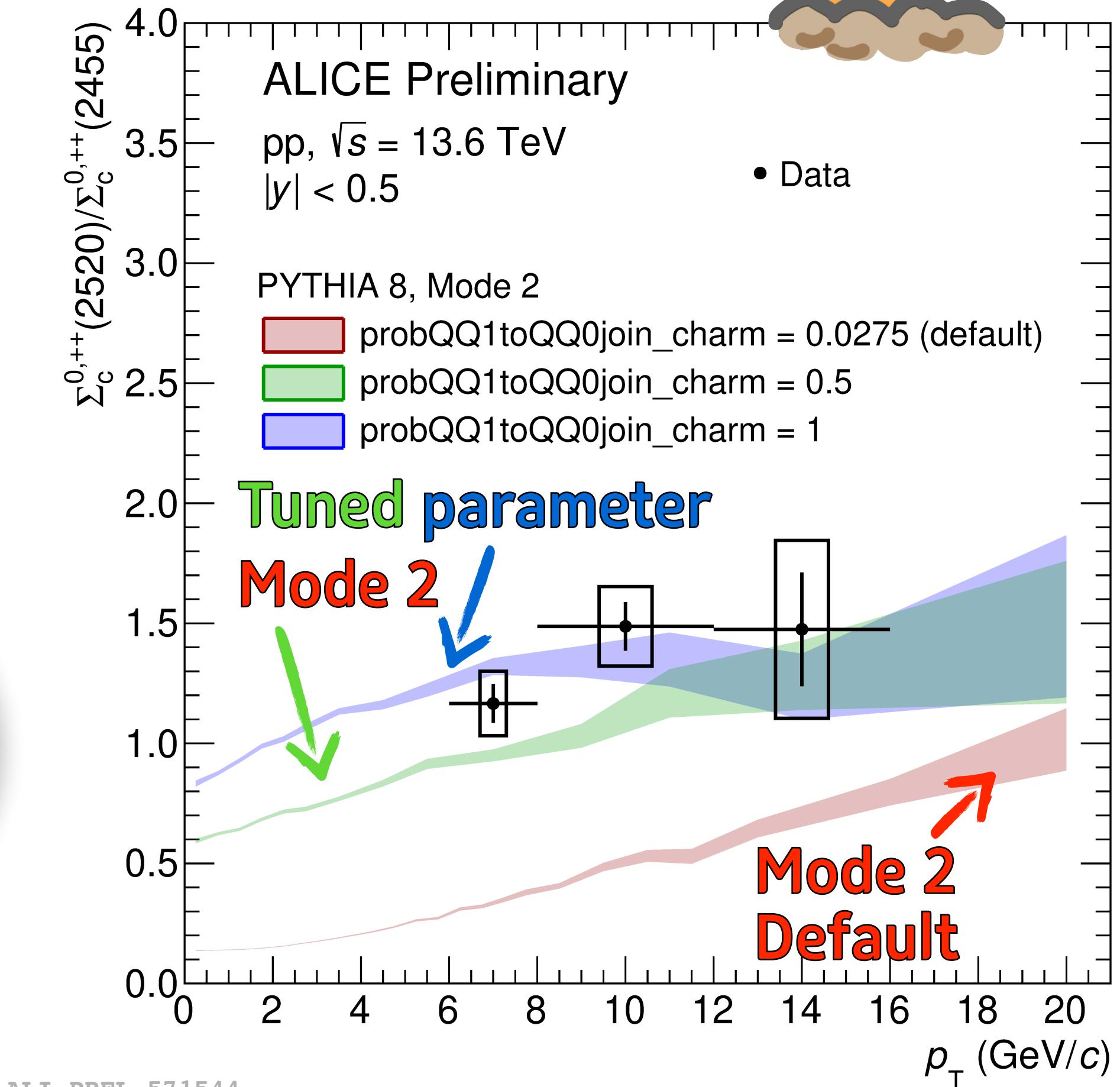
We have constraint power for model!



Model comparison

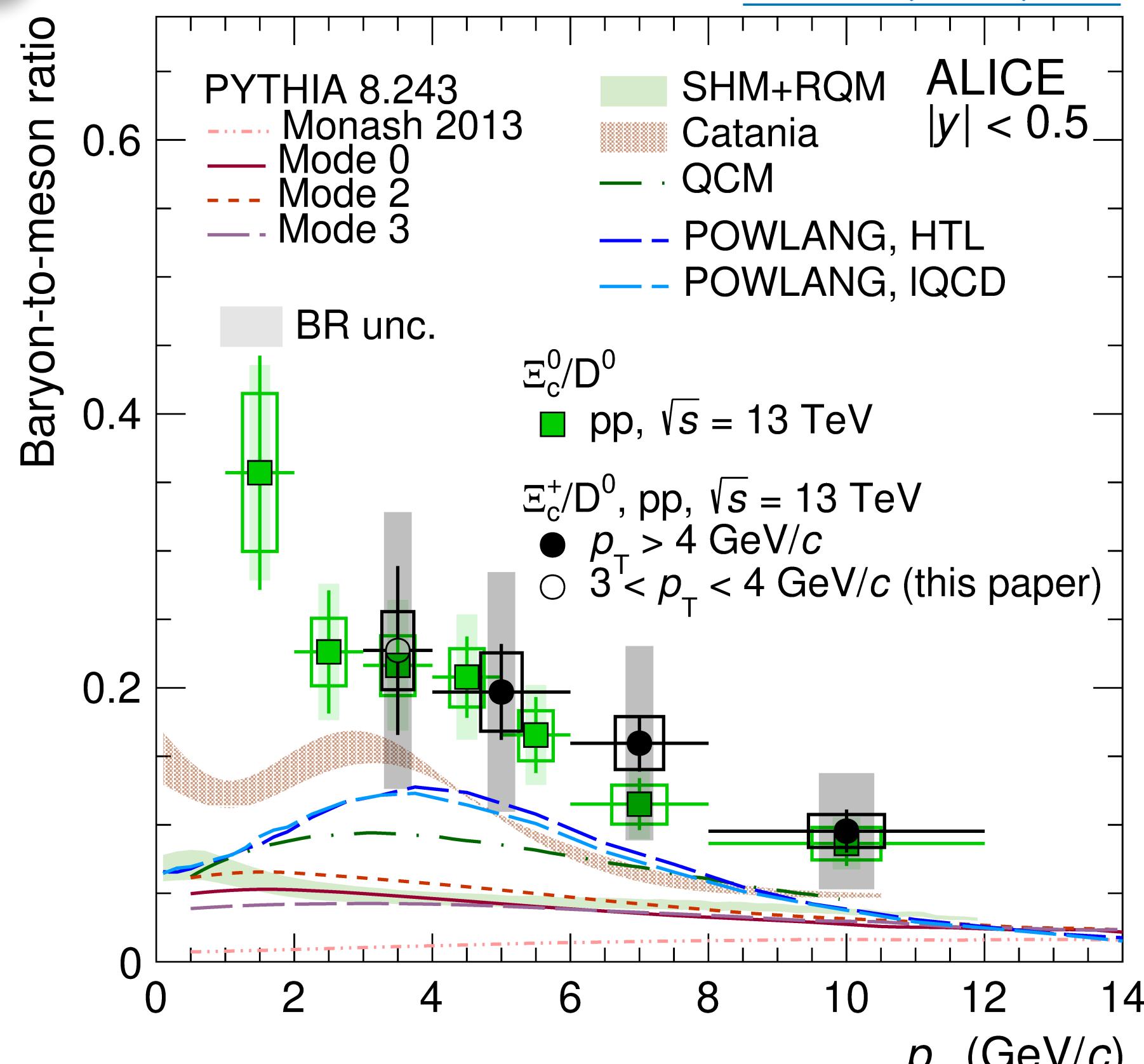
★ PYTHIA 8 **Monash** overestimate data

★ PYTHIA 8 **Mode 0, 2, 3** and **SHM+RQM** underestimate data



$\Xi_c^{0,+}/D^0$ in pp collisions

13 TeV
p → p



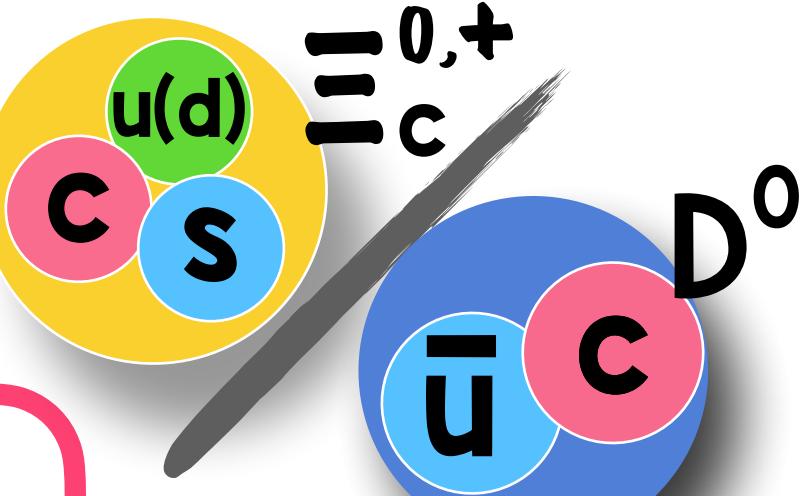
JHEP 12 (2023) 086

Comparing to e^+e^- collisions

- ★ Enhancement in $\Xi_c^{+,0}/D^0$ ratio in pp collisions

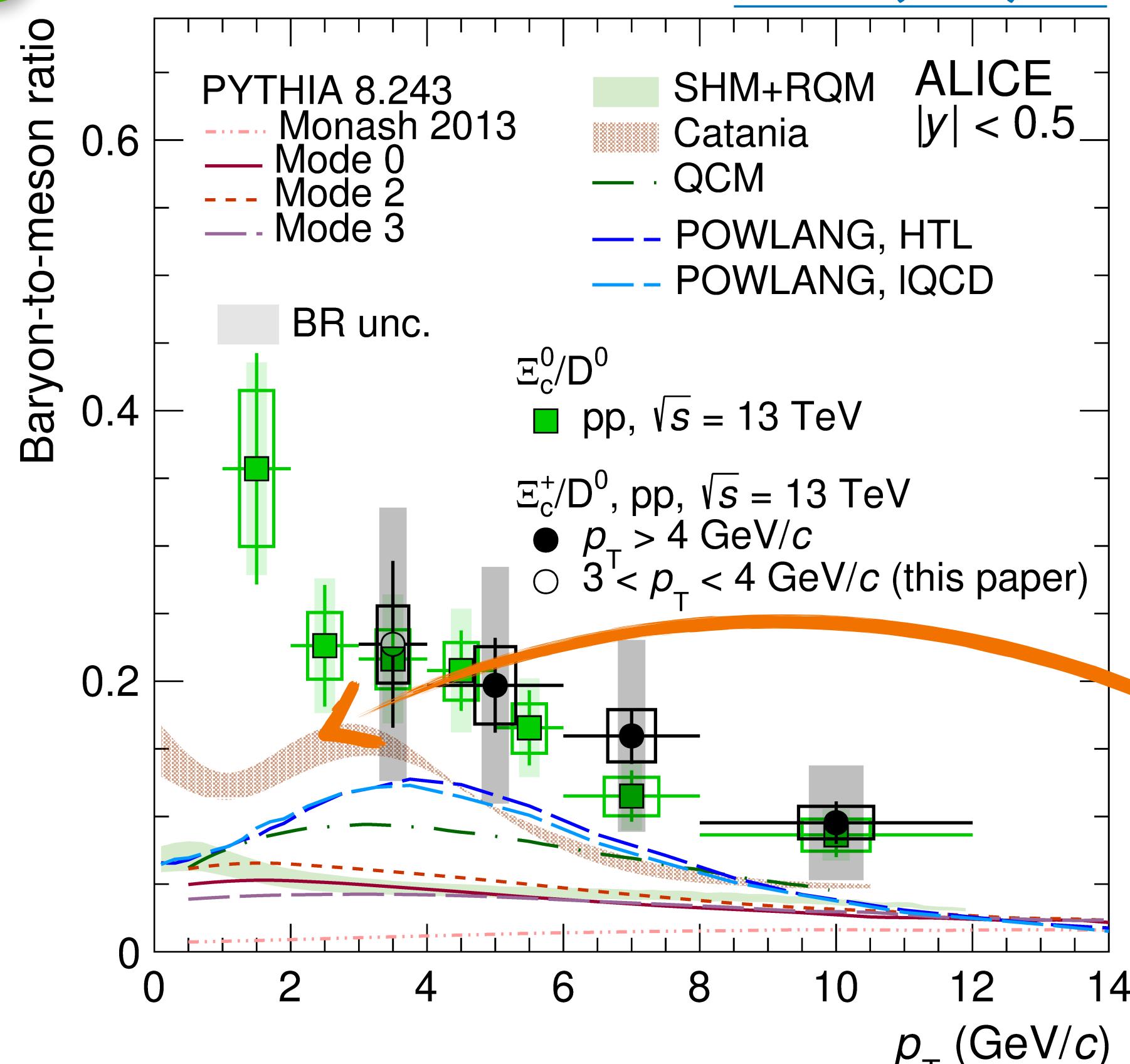
Model comparisons

- ★ Poor description from models 🤔 which describe well the Λ_c^+/D^0 ratio
 - Much larger enhancement than for non-strange baryons?



$\Xi_c^{0,+}/D^0$ in pp collisions

13 TeV
p → p

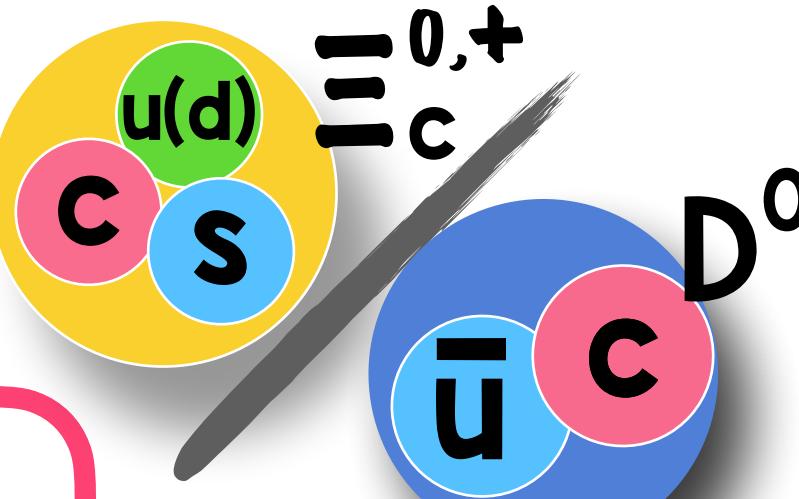


Comparing to e^+e^- collisions

- ★ Enhancement in $\Xi_c^{+,0}/D^0$ ratio in pp collisions

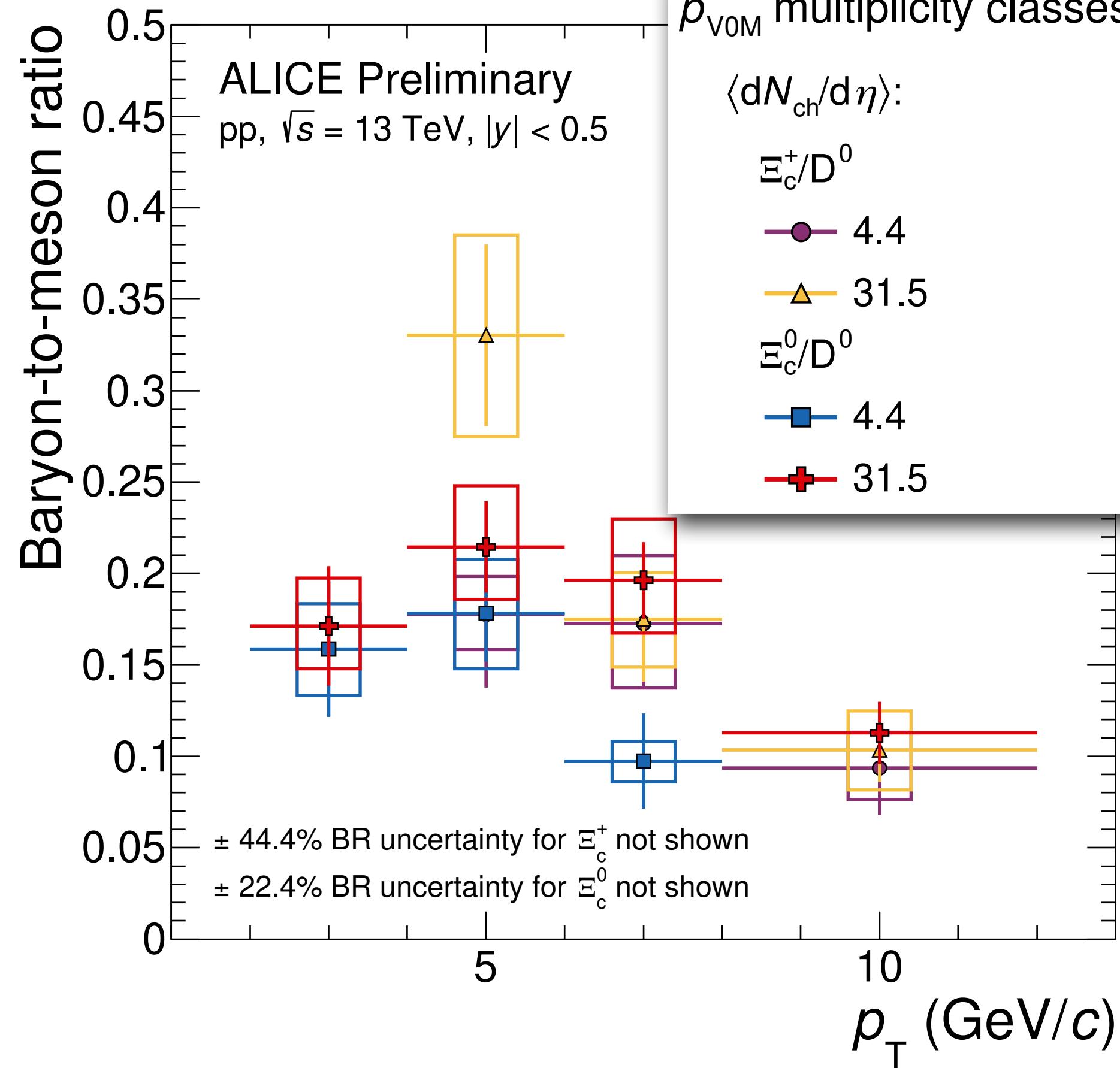
Model comparisons

- ★ Poor description from models 🤔 which describe well the Λ_c^+/D^0 ratio
 - Much larger enhancement than for non-strange baryons?
- ★ Catania gets closer to data
 - Both coalescence and fragmentation in hadronization process even in pp collisions?



$\Xi_c^{0,+}/D^0$ vs. event multiplicity in pp collisions

13 TeV



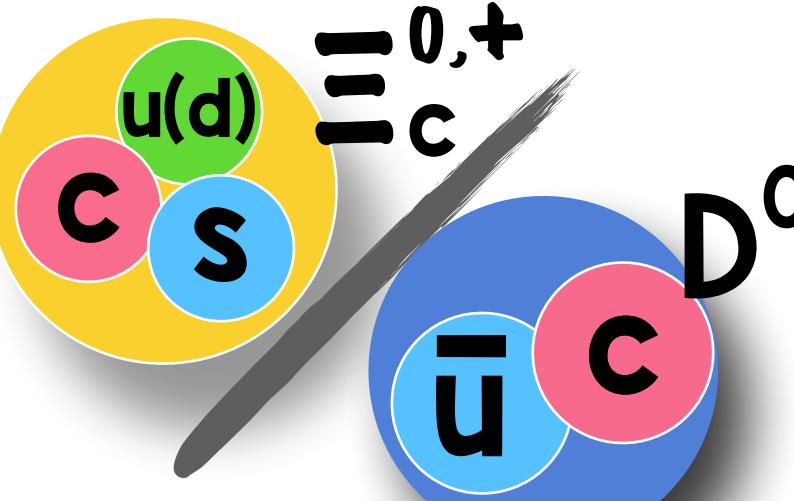
Multiplicity dependence?

- ★ No strong multiplicity dependence in $\Xi_c^{0,+}/D^0$ ratio with in the current uncertainty

Poster

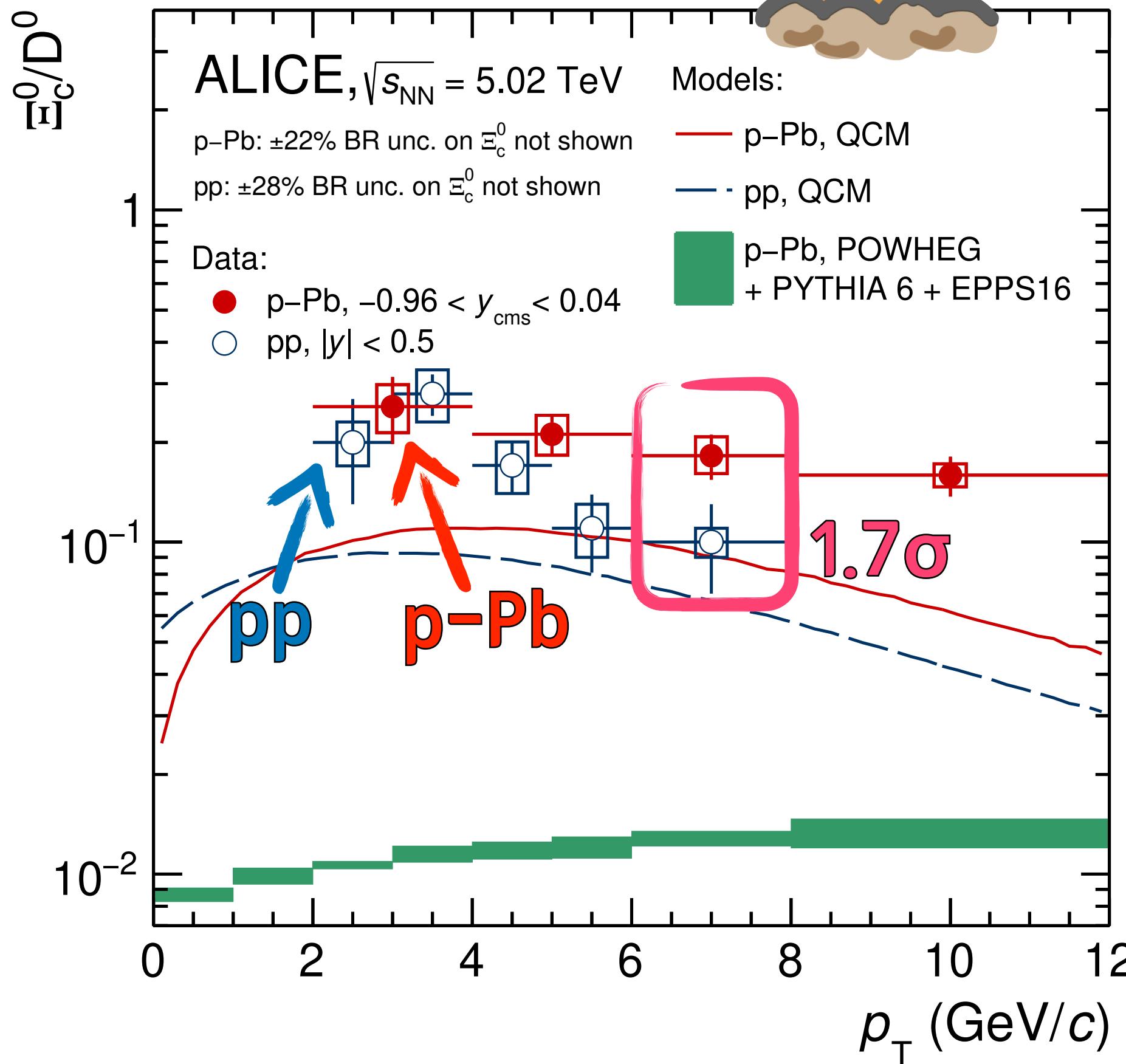
200. Jaeyoon Cho

Ξ_c^+ vs. multiplicity in pp collisions



Ξ_c^0/D^0 in p-Pb collisions

5.02 TeV
Pb
arXiv : 2405.14538



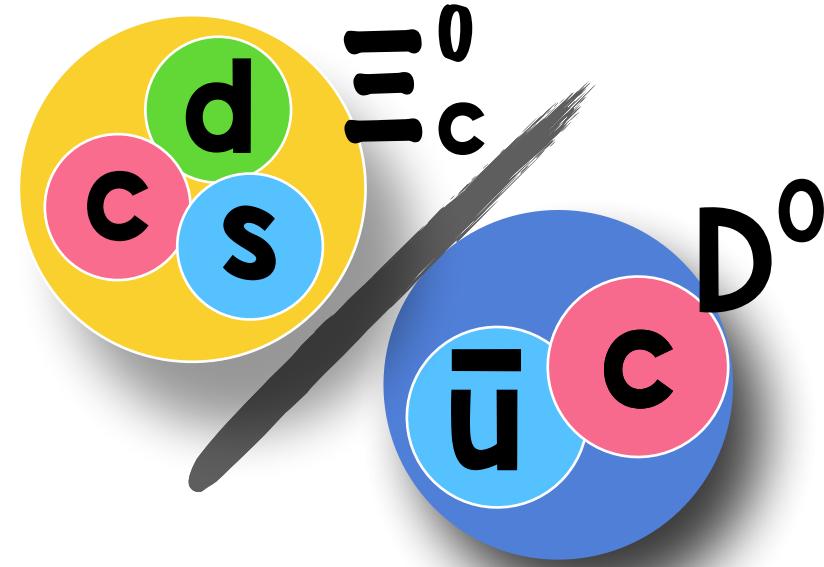
ALI-PUB-571011

Modification of p_T spectra?

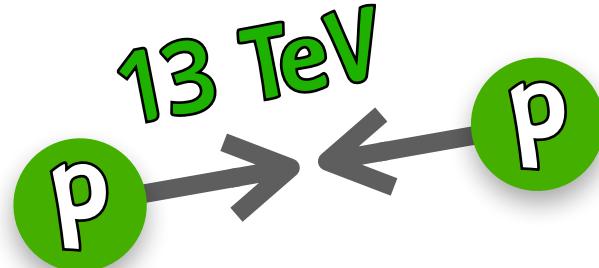
- ★ Hint of enhanced Ξ_c^0/D^0 ratio in p-Pb collisions than that in pp collisions?
- ★ Precision is not enough to conclude possible effect as shown for Λ_c^+/D^0 in p-Pb collisions

Model comparison

- ★ Underestimated by QCM in both pp and p-Pb collisions



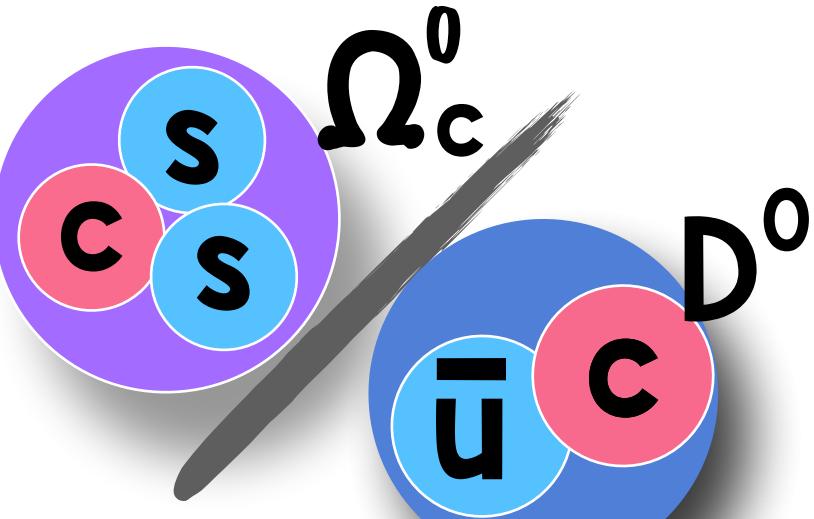
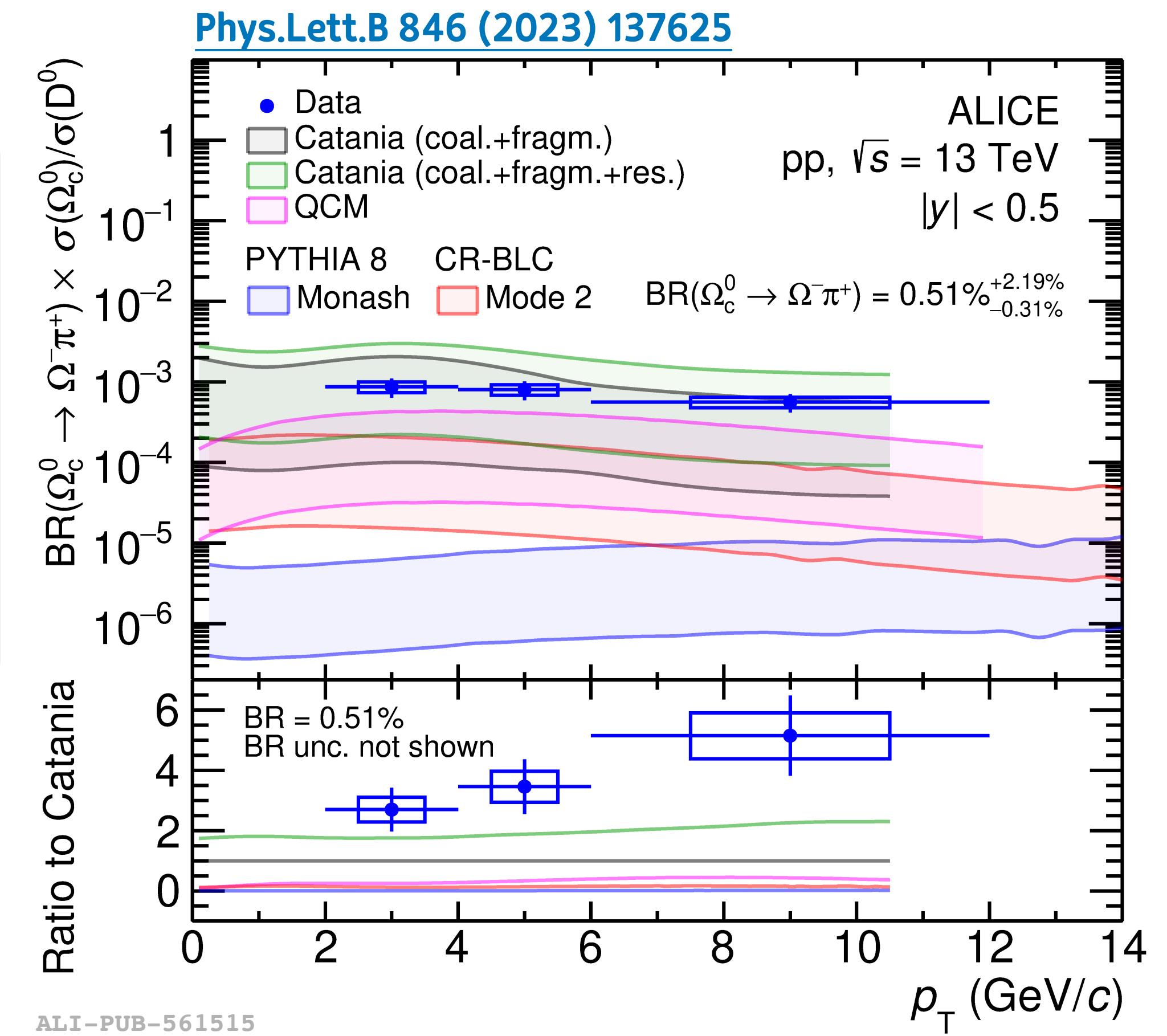
Ω_c^0/D^0 in pp collisions



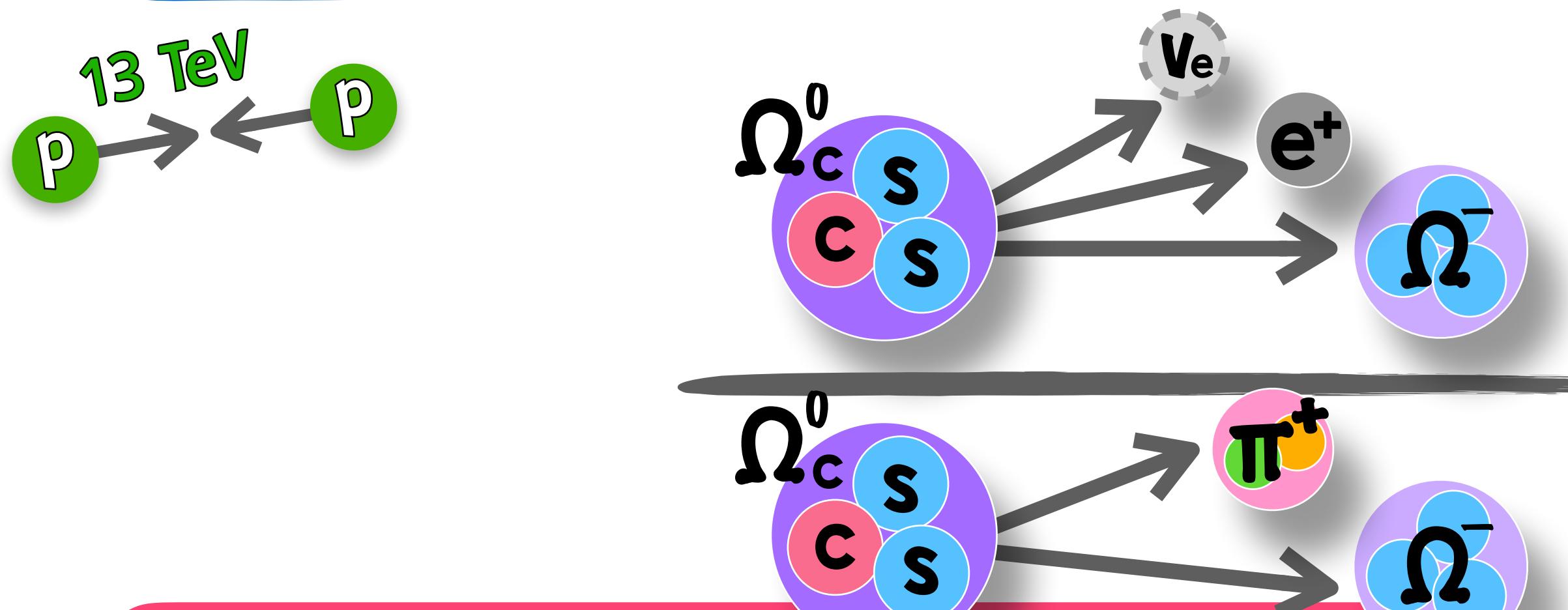
$BR \times \Omega_c^0/D^0$ and model comparison

- ★ No measurement of $BR(\Omega_c^0 \rightarrow \Omega^- \pi^+)$
- $BR(\Omega_c^0 \rightarrow \Omega^- \pi^+) = (0.51^{+2.19}_{-0.31})\%$ from theory calculations
- ★ Catania (+resonance states) is closer to data
- ★ BR measurement is needed!

Theoretical calculation for BR : [Y.Hisao et al. EPJC 80, 1066 \(2020\)](#)



Ω_c^0 in pp collisions



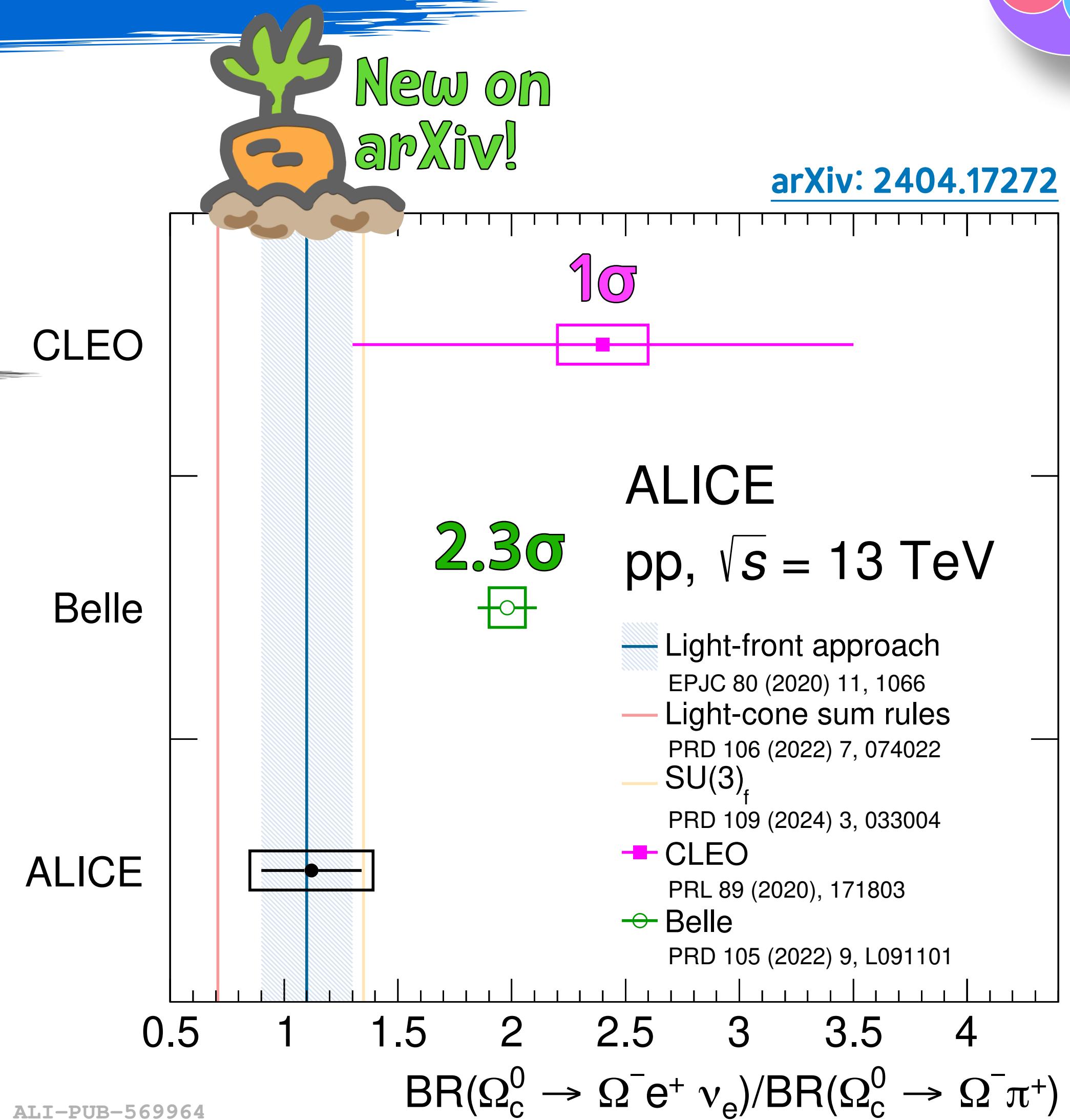
Branching fraction

★ Measured ratio is

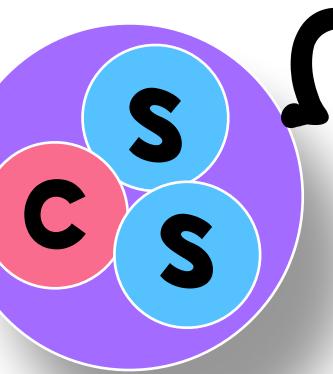
$$\begin{aligned} \text{BR}(\Omega_c^0 \rightarrow \Omega^- e^+ \bar{\nu}_e) / \text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) \\ = 1.12 \pm 0.22 \text{ (stat.)} \pm 0.27 \text{ (syst.)} \end{aligned}$$

★ Agreement with measurement from CLEO

Collaboration and model calculations within 1σ
and within 2.3σ from BELLE measurement



Ω_c^0

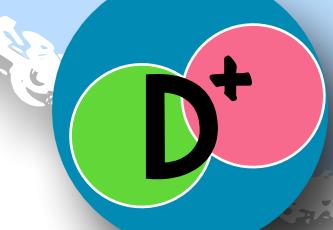
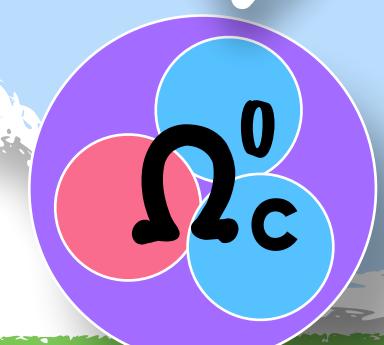
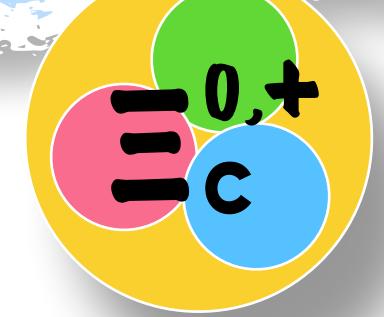
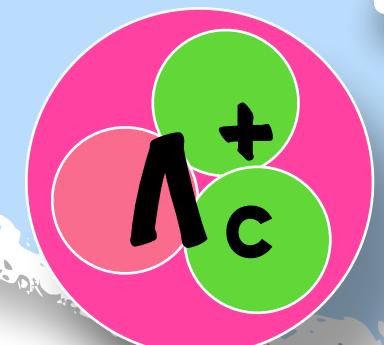


Charm fragmentation fraction

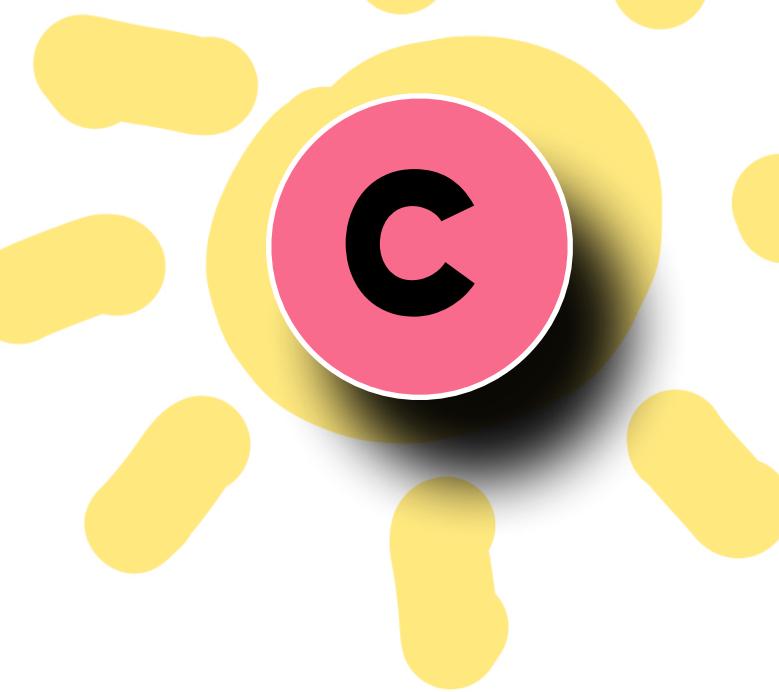


Probability of a charm quark
to produce a hadron h_c

$$f(c \rightarrow h_c)$$

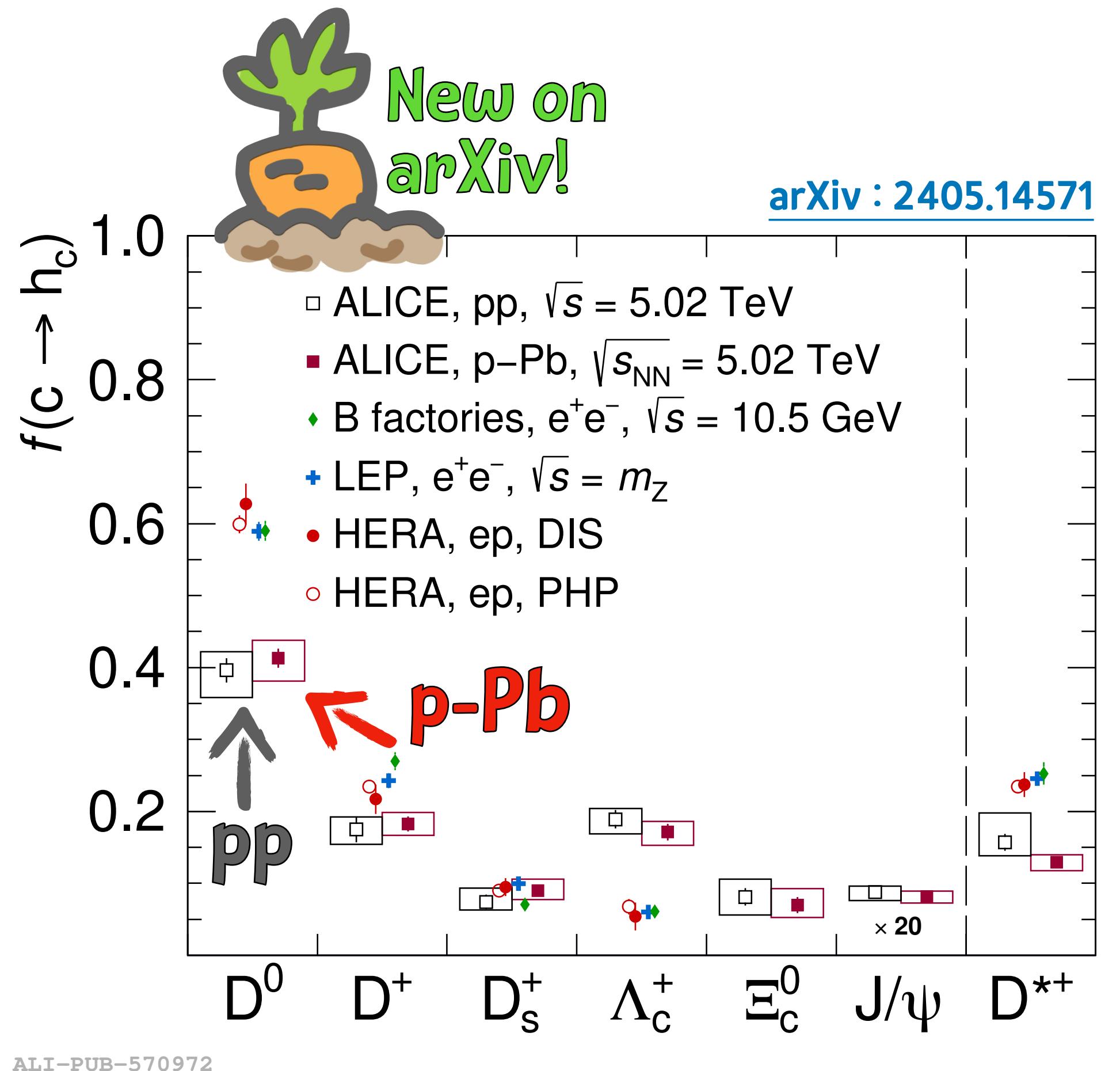


Charm fragmentation fraction

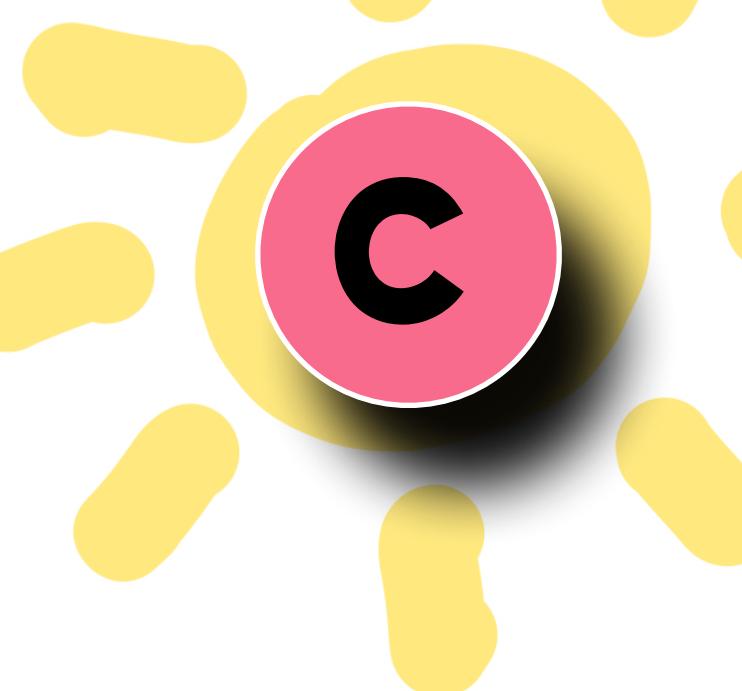


In hadronic collisions

★ Fragmentation fractions in pp and p–Pb collisions are consistent with each others

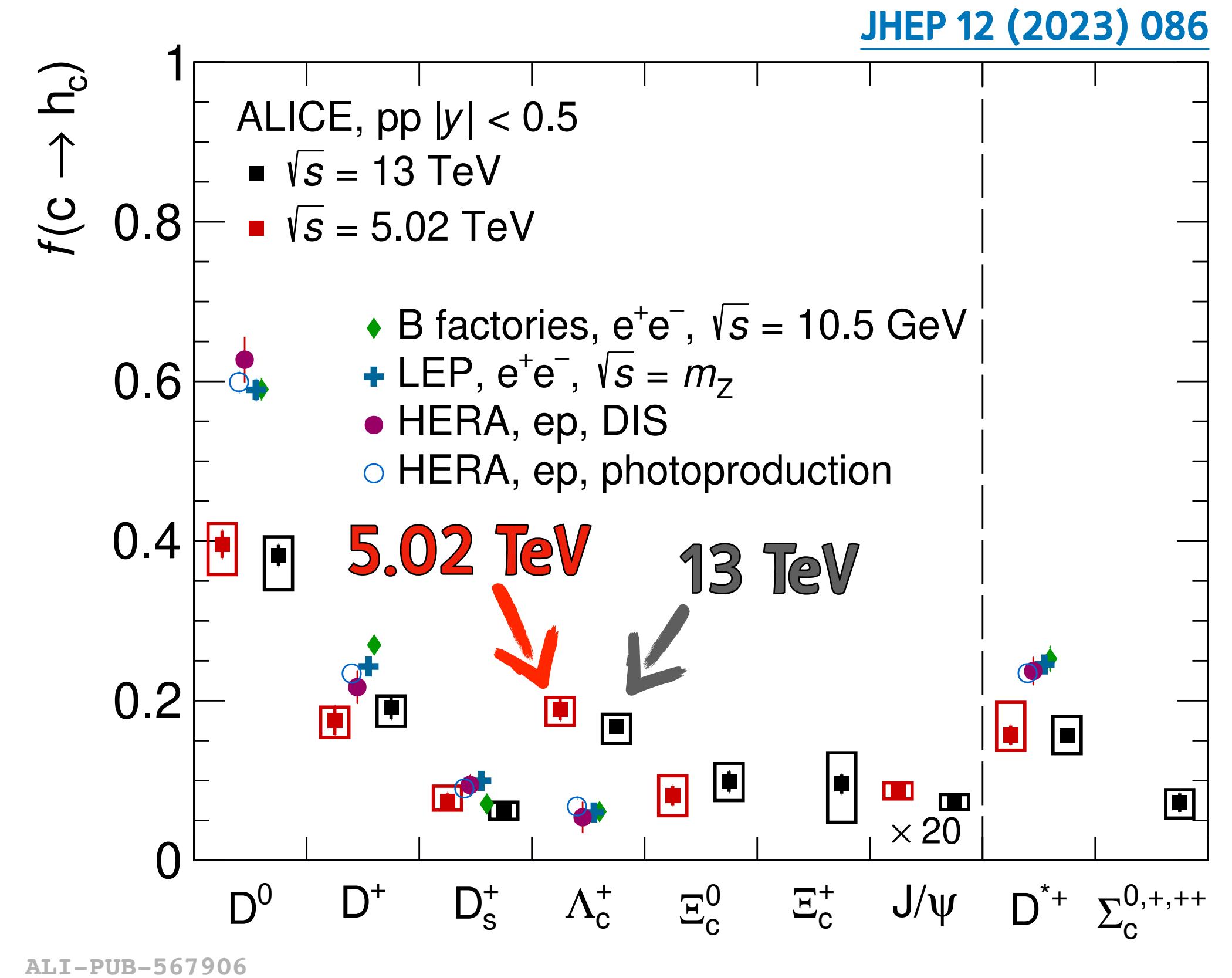


Charm fragmentation fraction



For different collisions energy

★ No energy dependence within the uncertainties



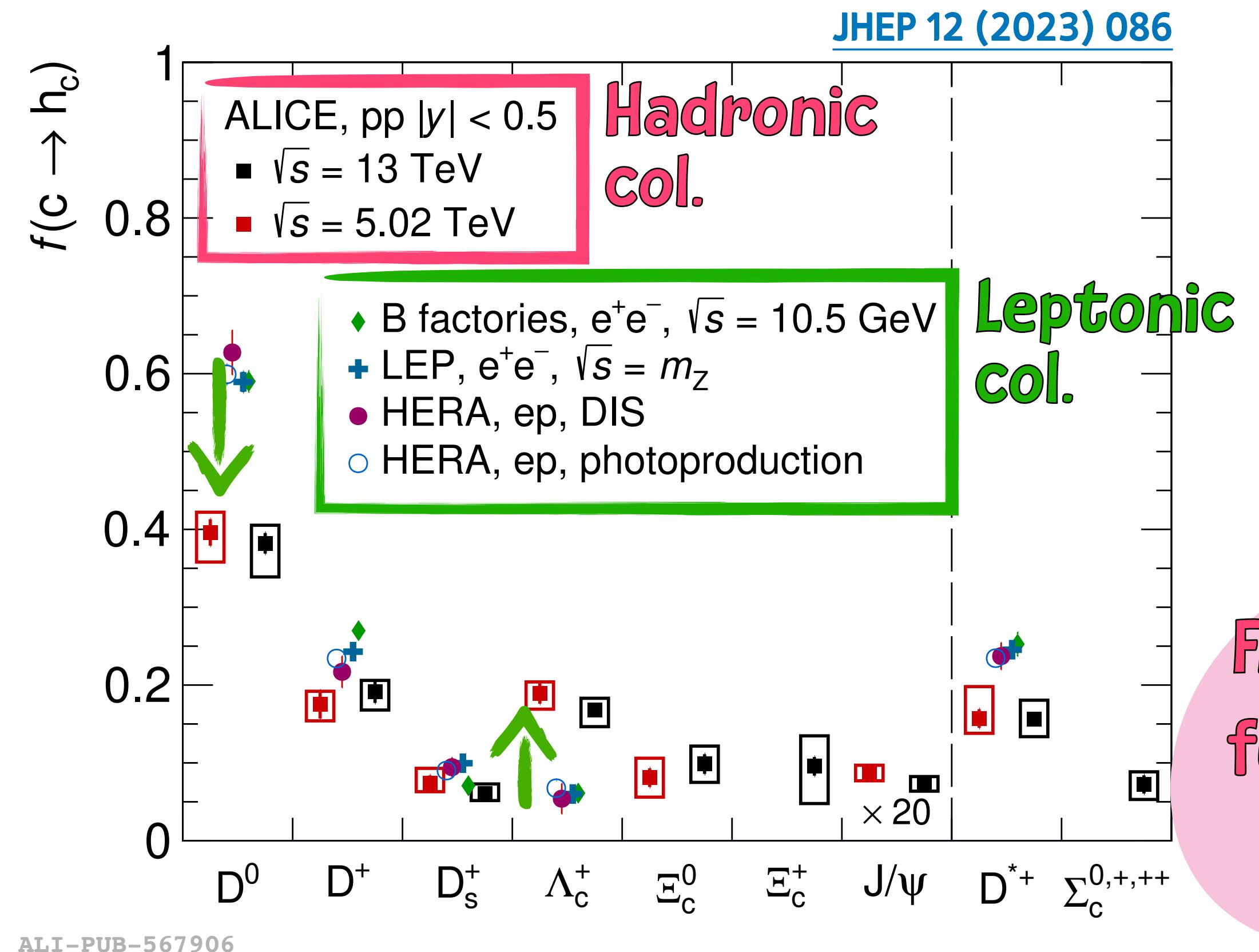
Charm fragmentation fraction

In different collisions energy

- ★ No energy dependence within the uncertainties

Comparing to e^+e^- collisions

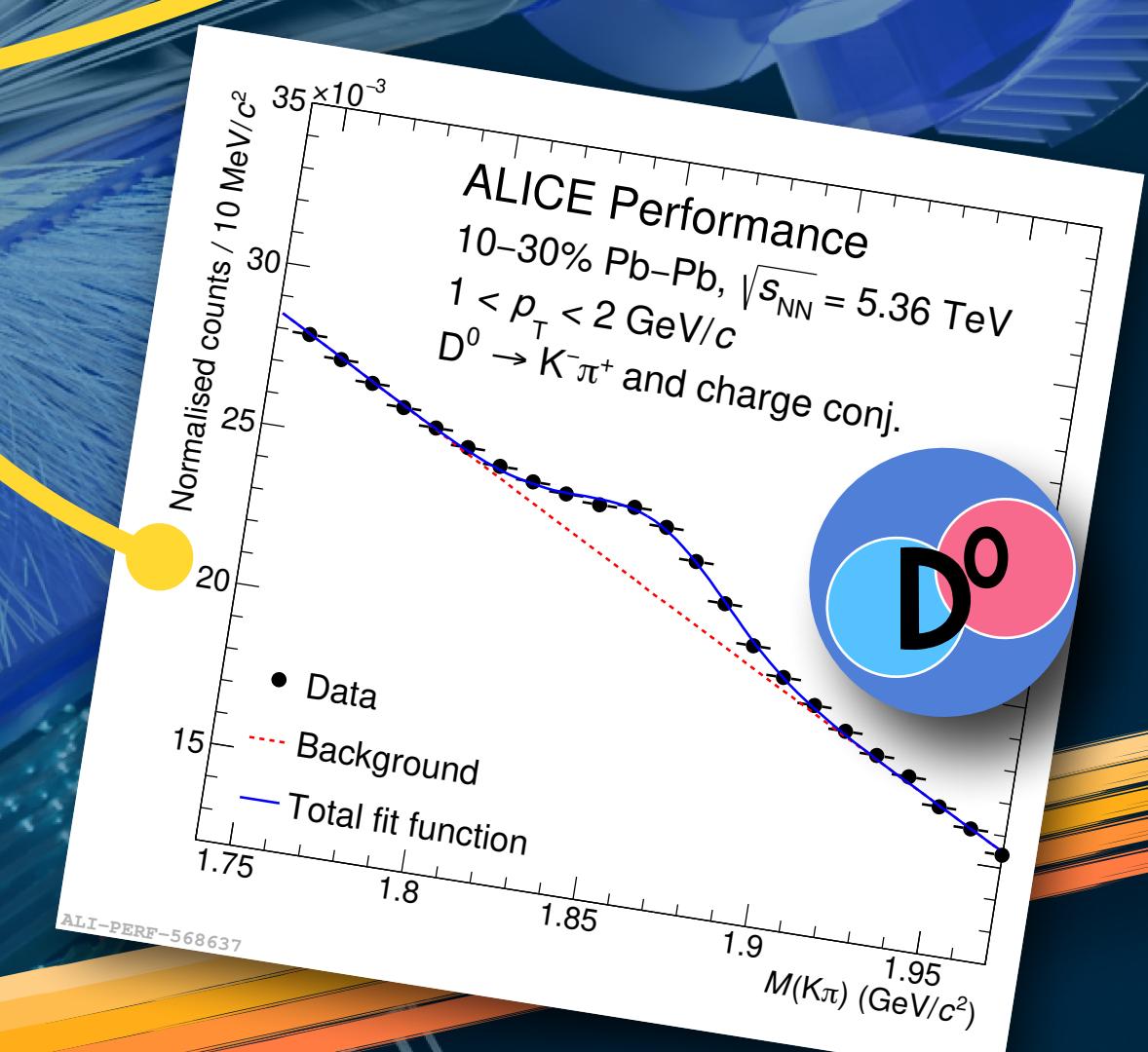
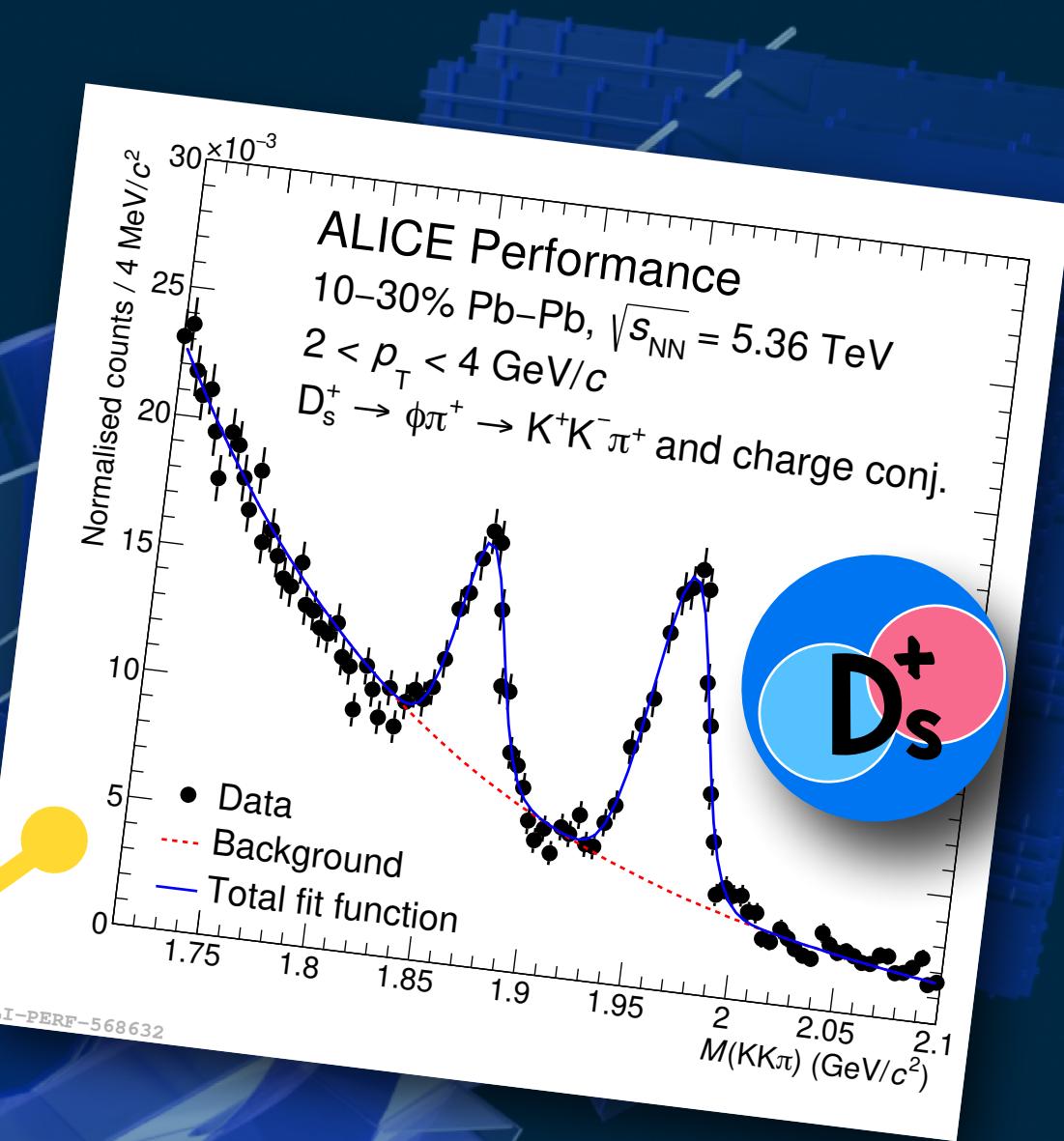
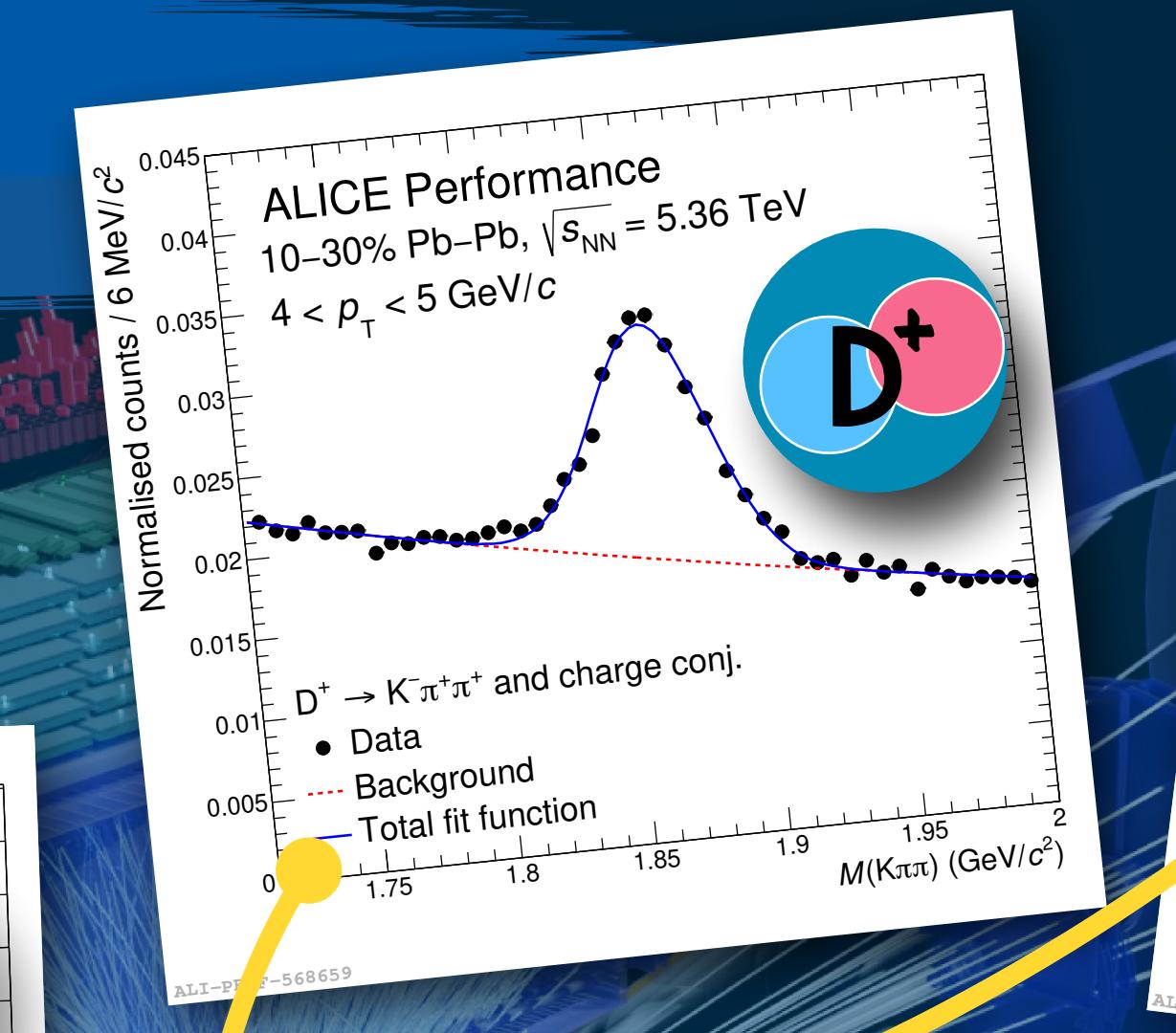
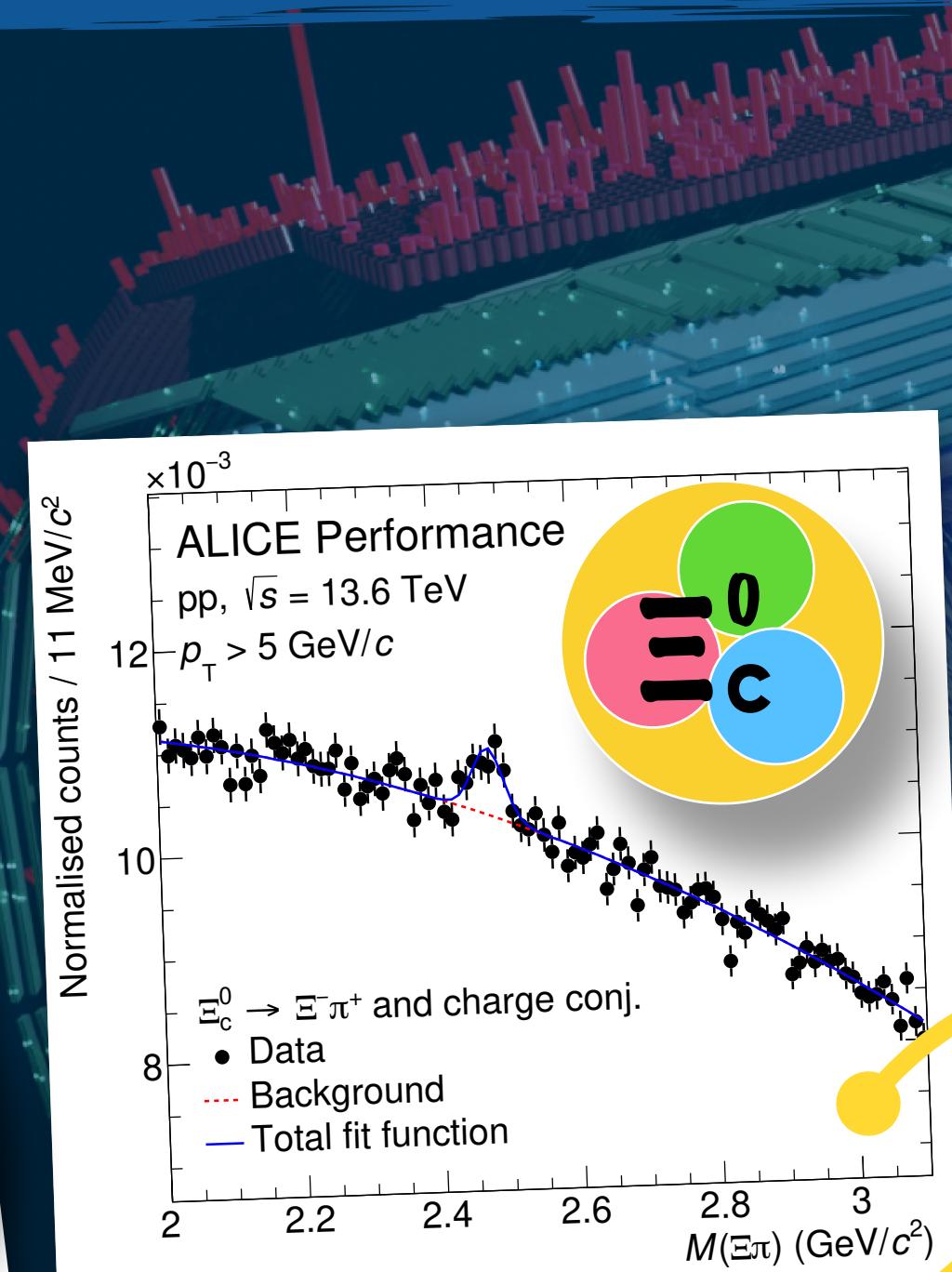
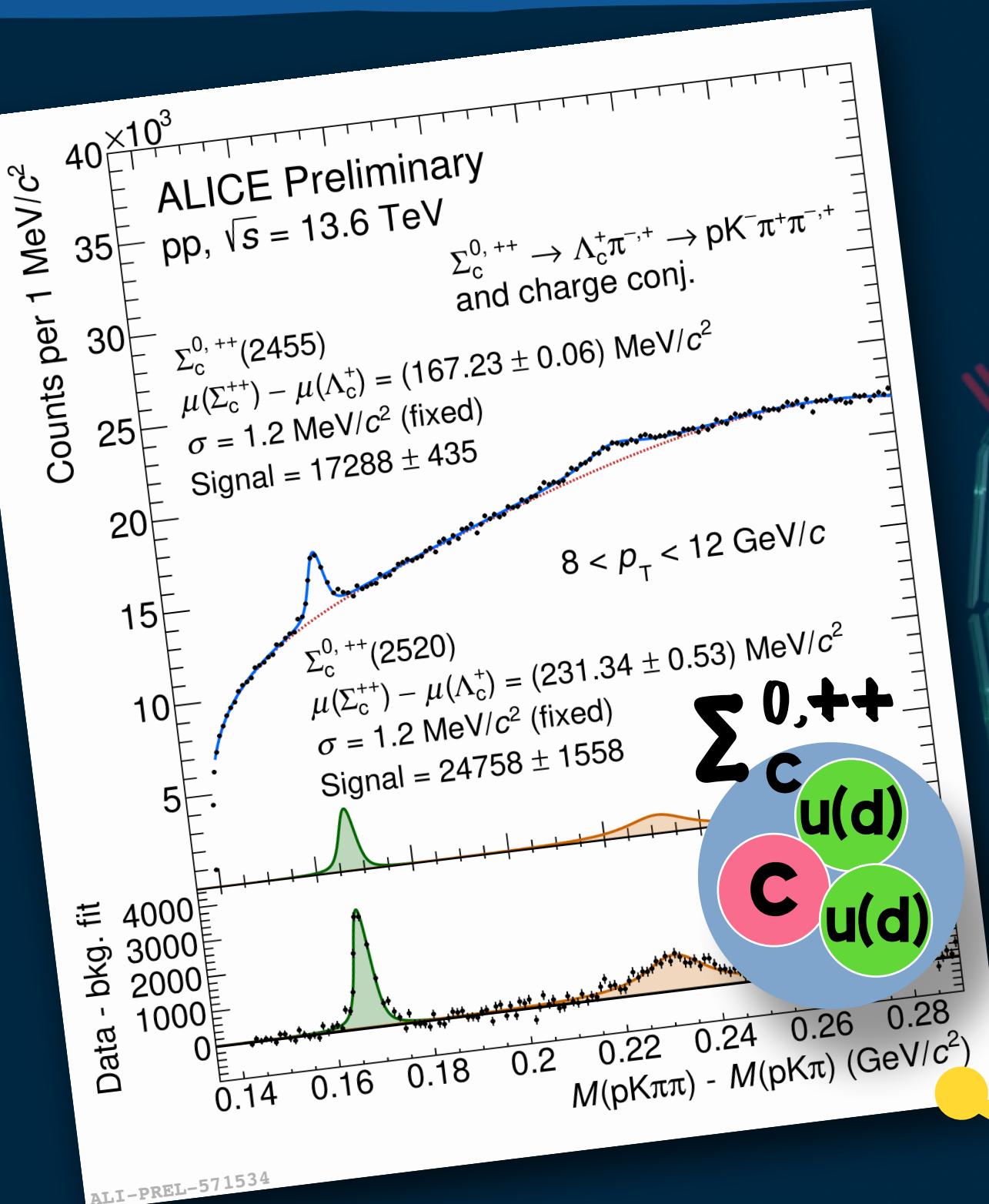
- ★ Significantly increased baryon production, decreased meson production
- ★ Indicate different hadronization mechanism in hadronic collisions with respect to leptonic collisions



Fragmentation function is NOT universal



Outlook : HF in ALICE Run 3



Successfully done!

Run 2
 2015-2018

Long Shutdown 2

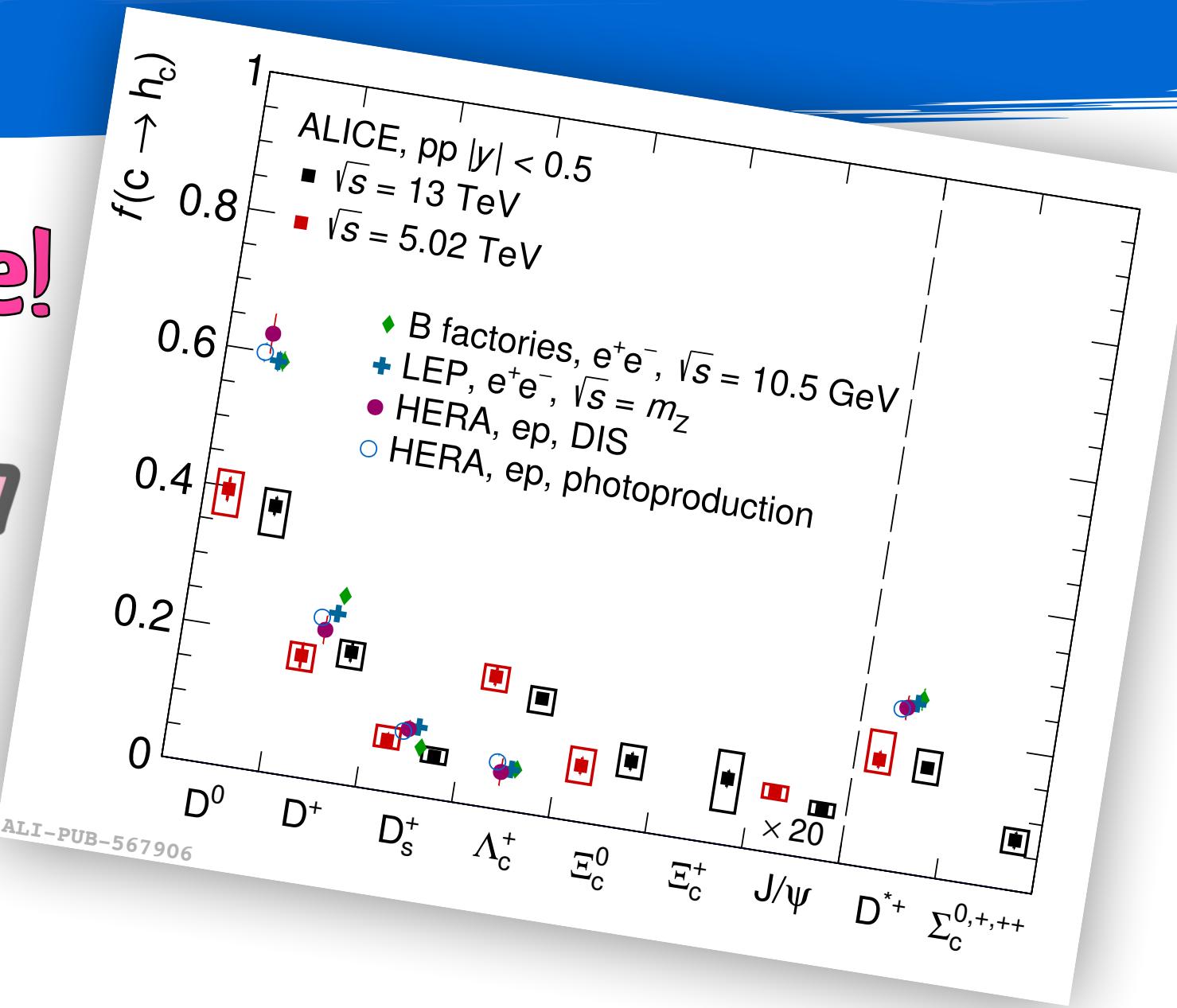
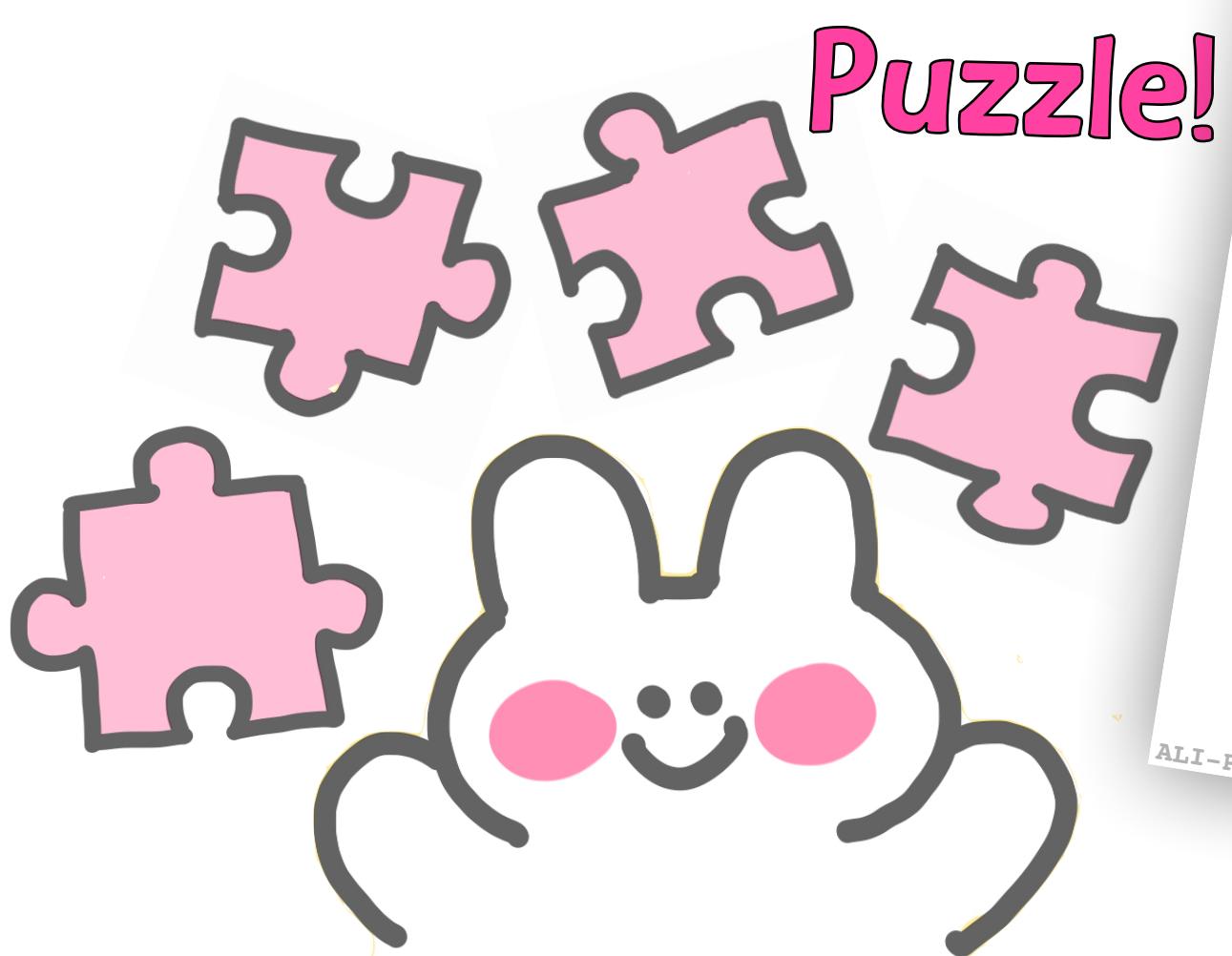
ALICE is here!

Run 3
 2022-2025

ALICE

Run 3 Pb-Pb
 $\sqrt{s_{NN}} = 5.36 \text{ TeV}$

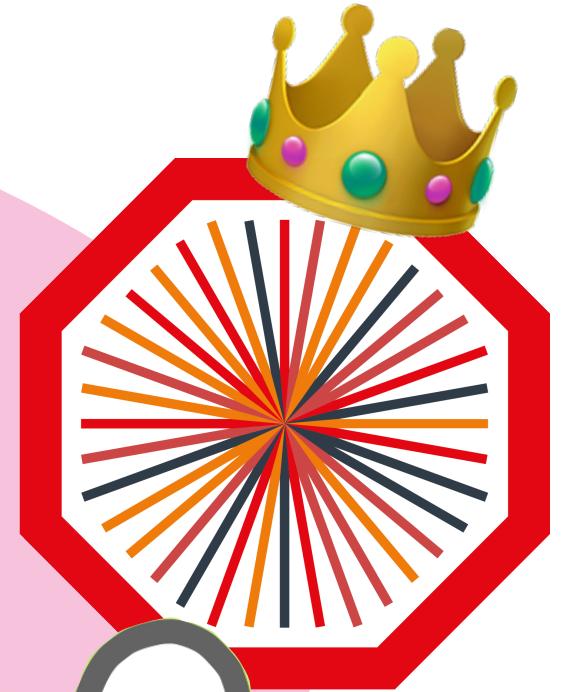
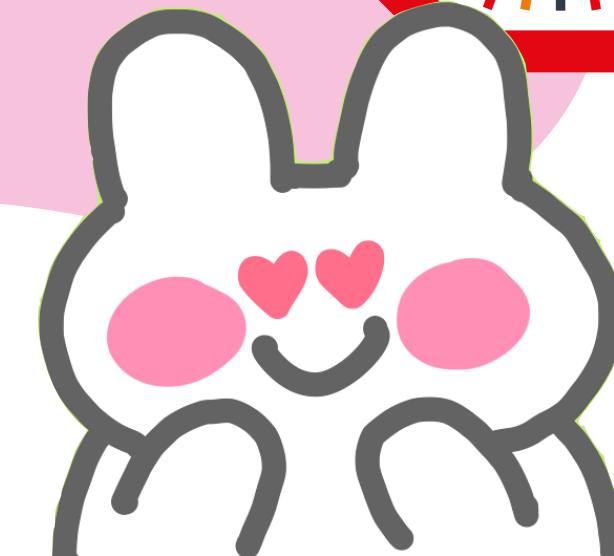
Summary



Charm baryon measurement with Run 2 data

- ★ Charm baryons were measured in various collision system with Run 2 data
- ★ Significantly enhanced charm baryon-to-meson ratio in hadronic collisions with respect to leptonic collisions
- ★ Charm fragmentation function is not universal!

Larger dataset
with improved
quality!



Charm baryon measurement with Run 3 data

- ★ Precise measurement
- ★ More differential measurement and extended p_T reach
- ★ Better understanding of charm hadronization!

Stay tuned!



BACK UP

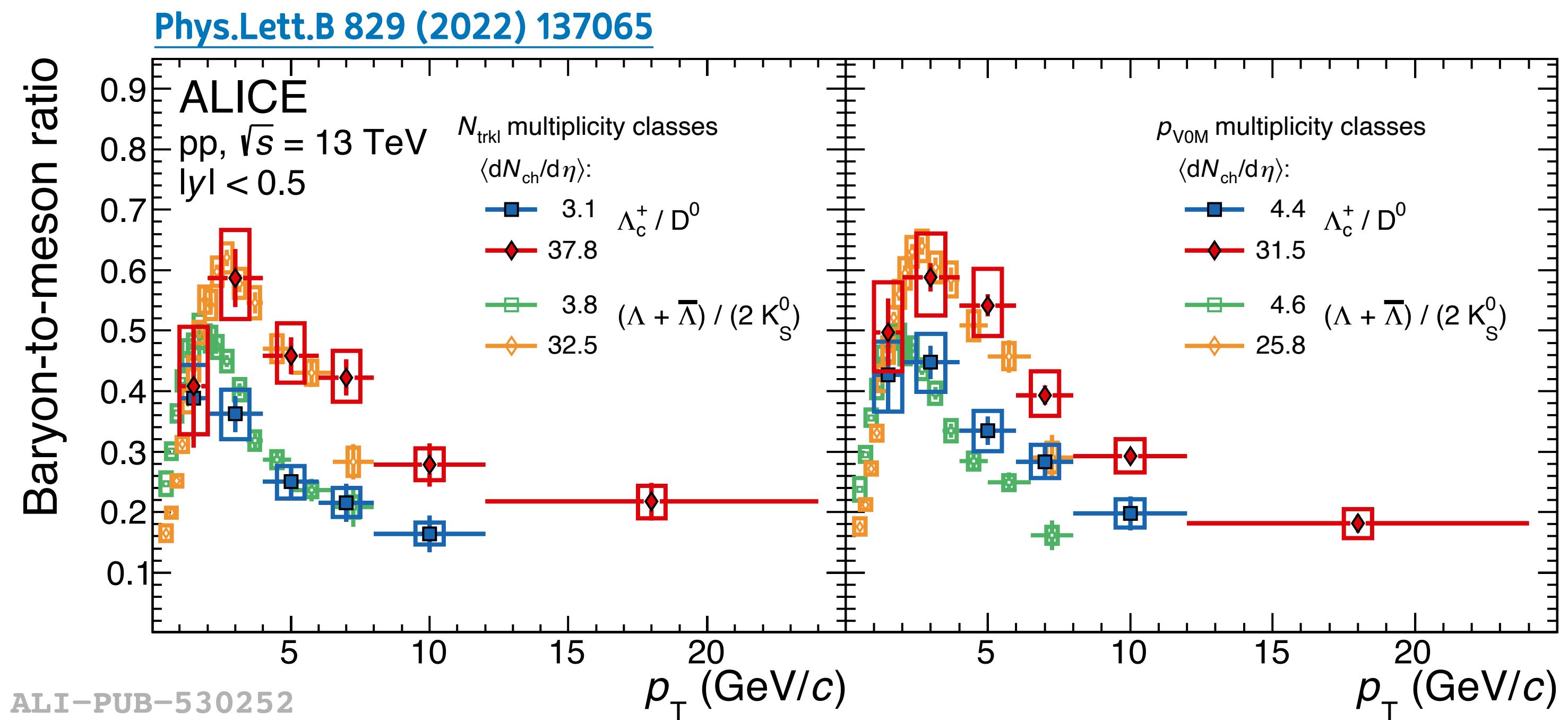
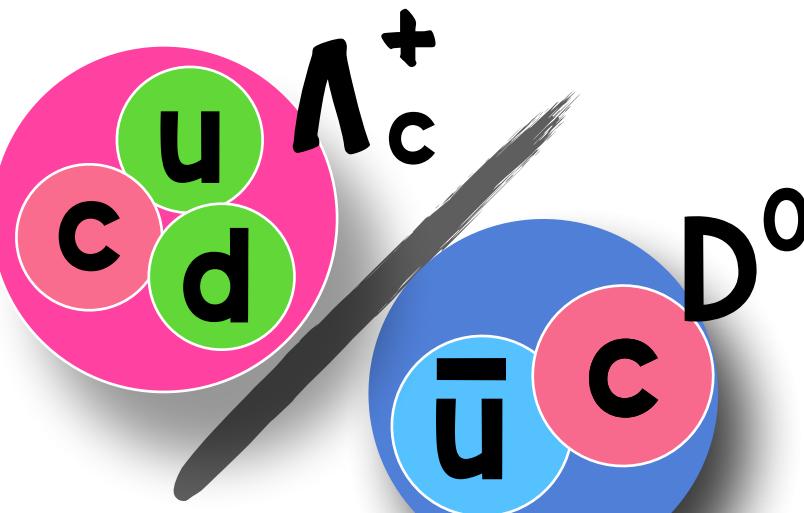


ALICE

Λ_c^+ / D^0 vs. event multiplicity in pp collisions

13 TeV

p p

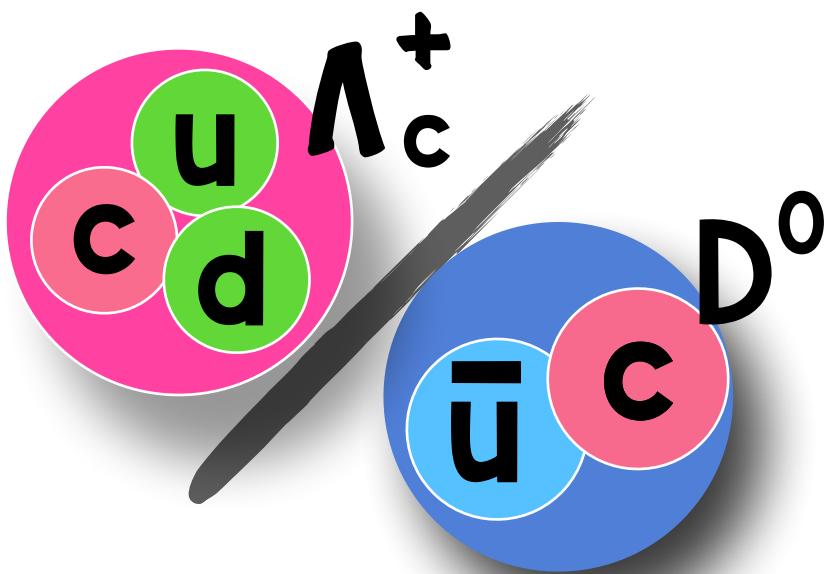


Comparing to baryon-to-meson ratio of light-flavour hadrons

- ★ Similar p_T shape and magnitude of the ratios
- ★ Similar hadron production mechanism in light- and heavy-flavour hadrons?



Λ_c^+ / D^0 in Pb-Pb collisions



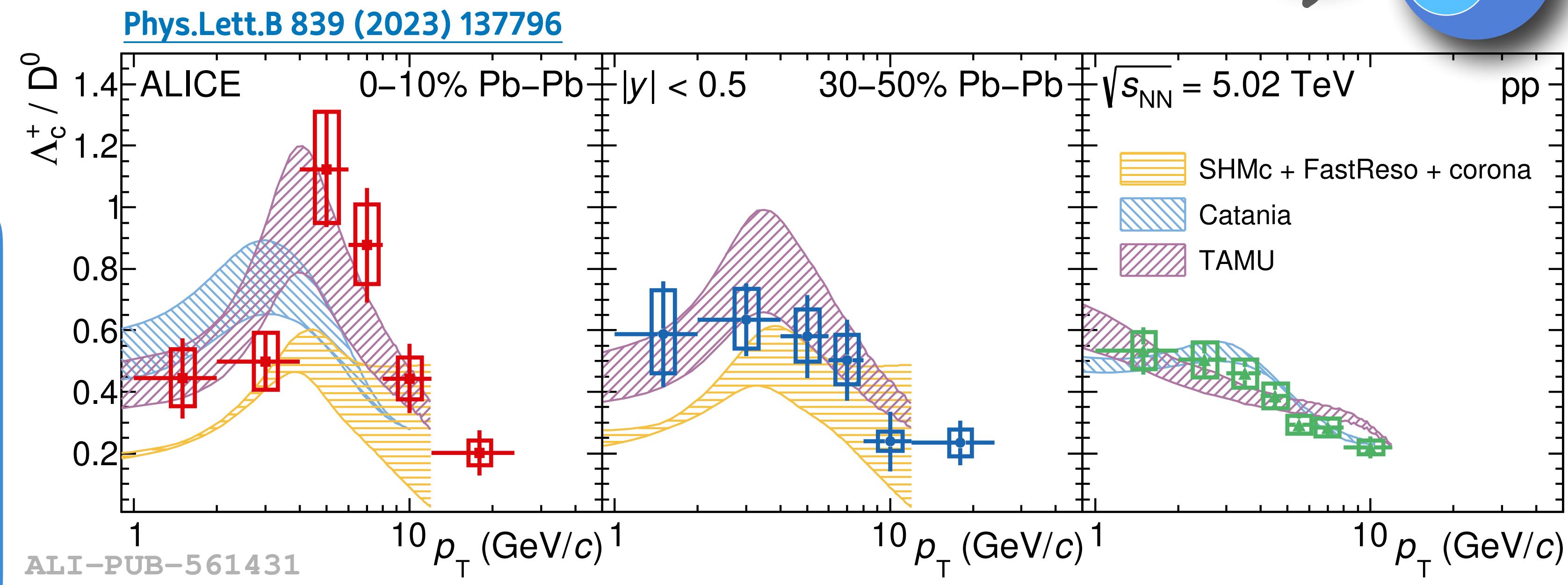
5.02 TeV
Pb → Pb

Catania

- ★ QGP formation in both pp and Pb–Pb collisions
- ★ Consider both coalescence and fragmentation for hadronization

SHMc

- ★ Consider only charm meson and charm baryon
- ★ Core-corona approach

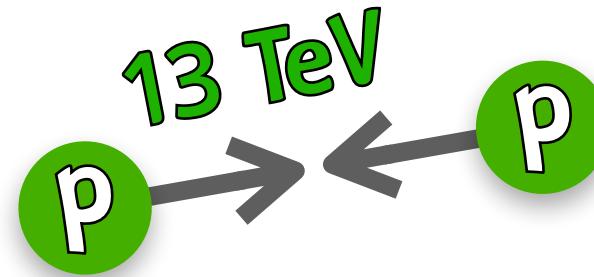


TAMU

- ★ Exploit SHM for pp collisions
- ★ Consider both coalescence and fragmentation for hadronization for Pb–Pb collisions
- ★ Consider excited state baryon from RQM for both pp and Pb-Pb collisions

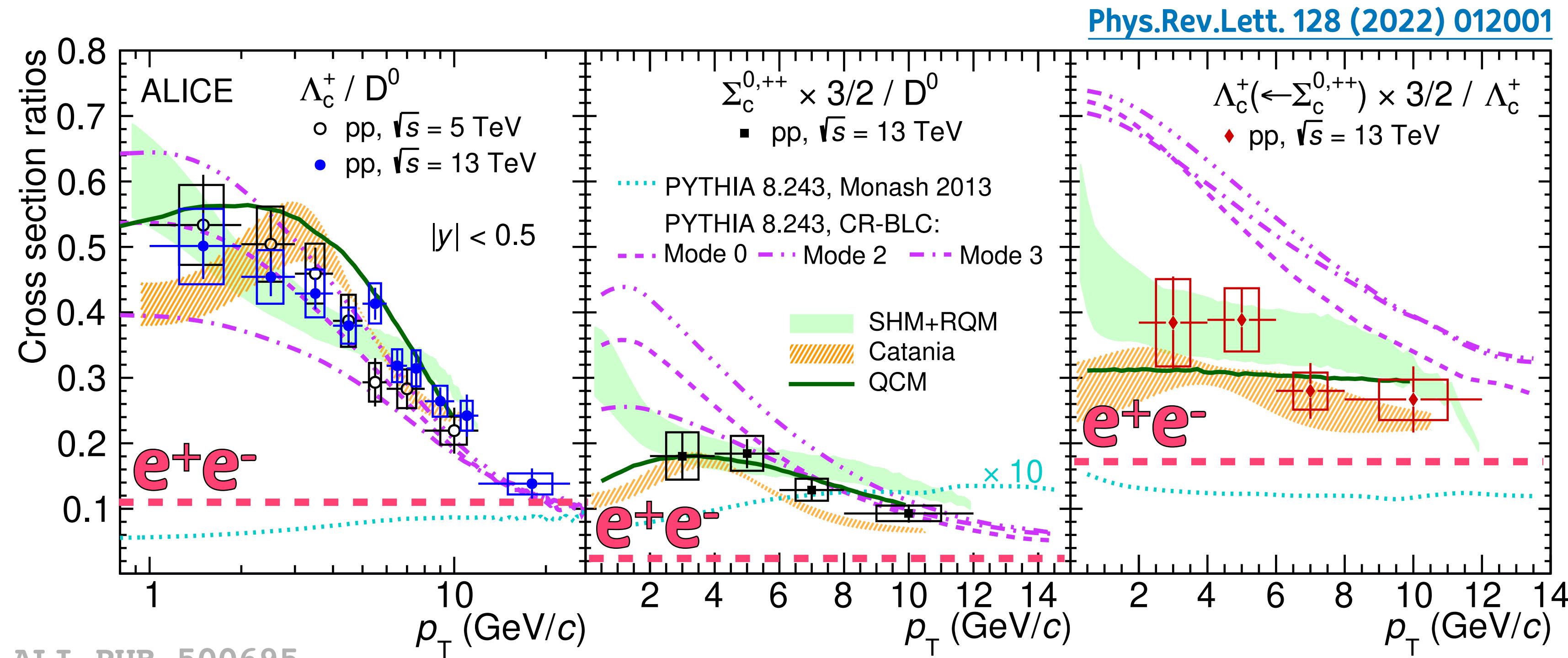
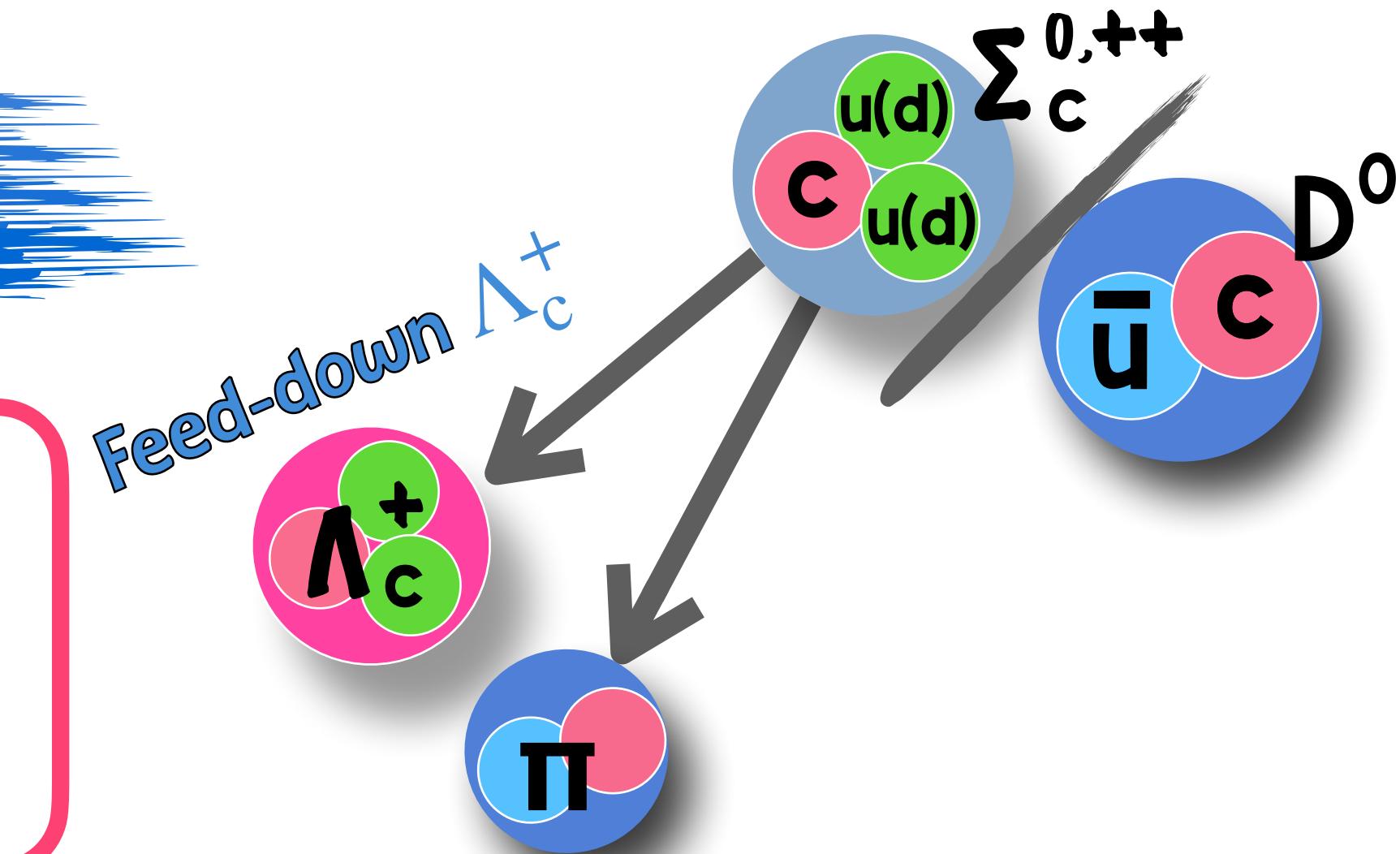


$\Sigma_c^{0,++}/D^0$ in pp collisions



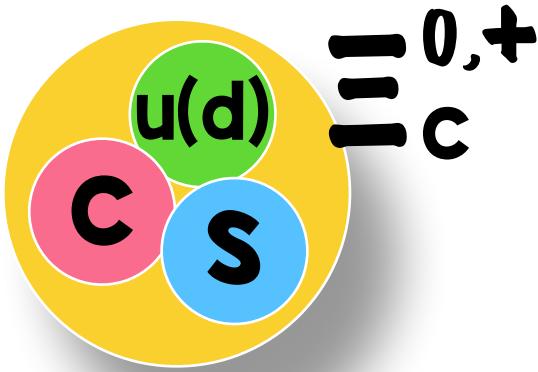
Comparing to e^+e^- collisions

- ★ Remarkably enhanced baryon-to-meson ratio and strong p_T dependence in pp collisions

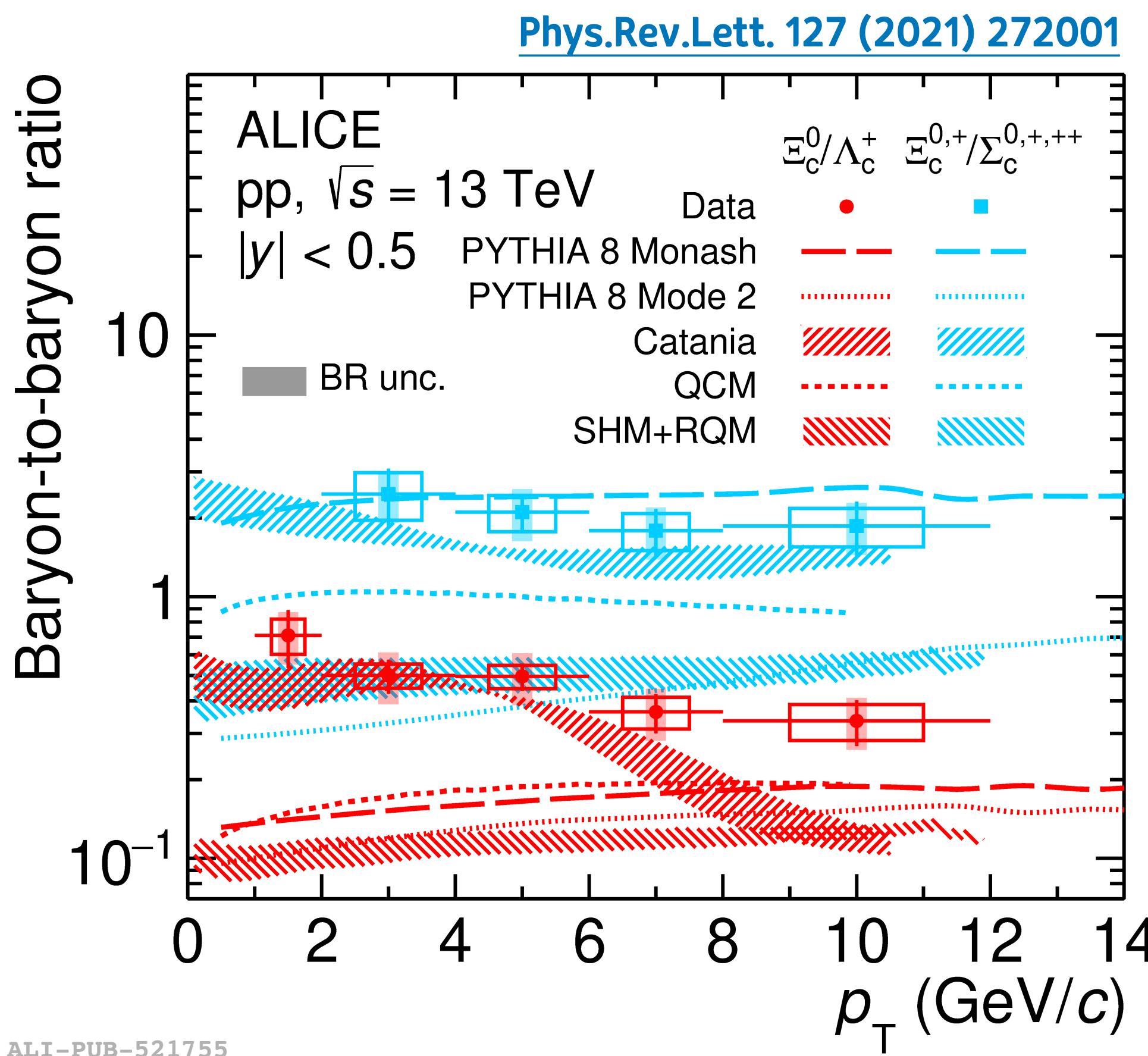


- ★ Λ_c^+ from $\Sigma_c^{0,++}$
- ★ $\sim 40\%$ of Λ_c^+ from $\Sigma_c^{0,++}$ decay
- ★ Enhanced feed-down partially contribute to enhancement in Λ_c^+/\bar{D}^0 in pp collisions

$\Xi_c^{0,+}$ in pp collisions



13 TeV
p → p



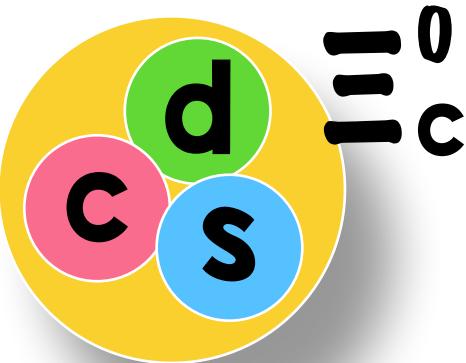
Production yield ratio to Λ_c^+

- ★ No strong p_T dependence
- ★ Models underestimate data

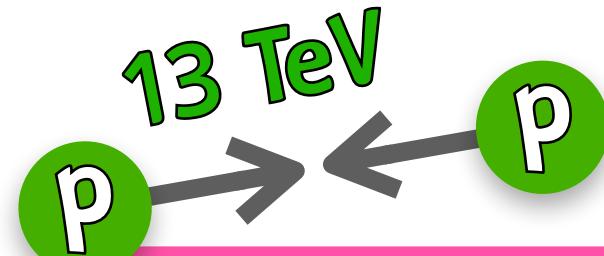
Production yield ratio to $\Sigma_c^{0,++,+}$

- ★ No strong p_T dependence
- ★ Catania and PYTHIA 8 Monash describe the data
 - Both Catania and PYTHIA 8 Monash underestimate the $\Xi_c^{0,+}/D^0$ ratio
 - Similar amount of suppression for $\Xi_c^{0,+}$ and $\Sigma_c^{0,++,+}$?





Ξ_c^0 vs. event multiplicity in pp collisions

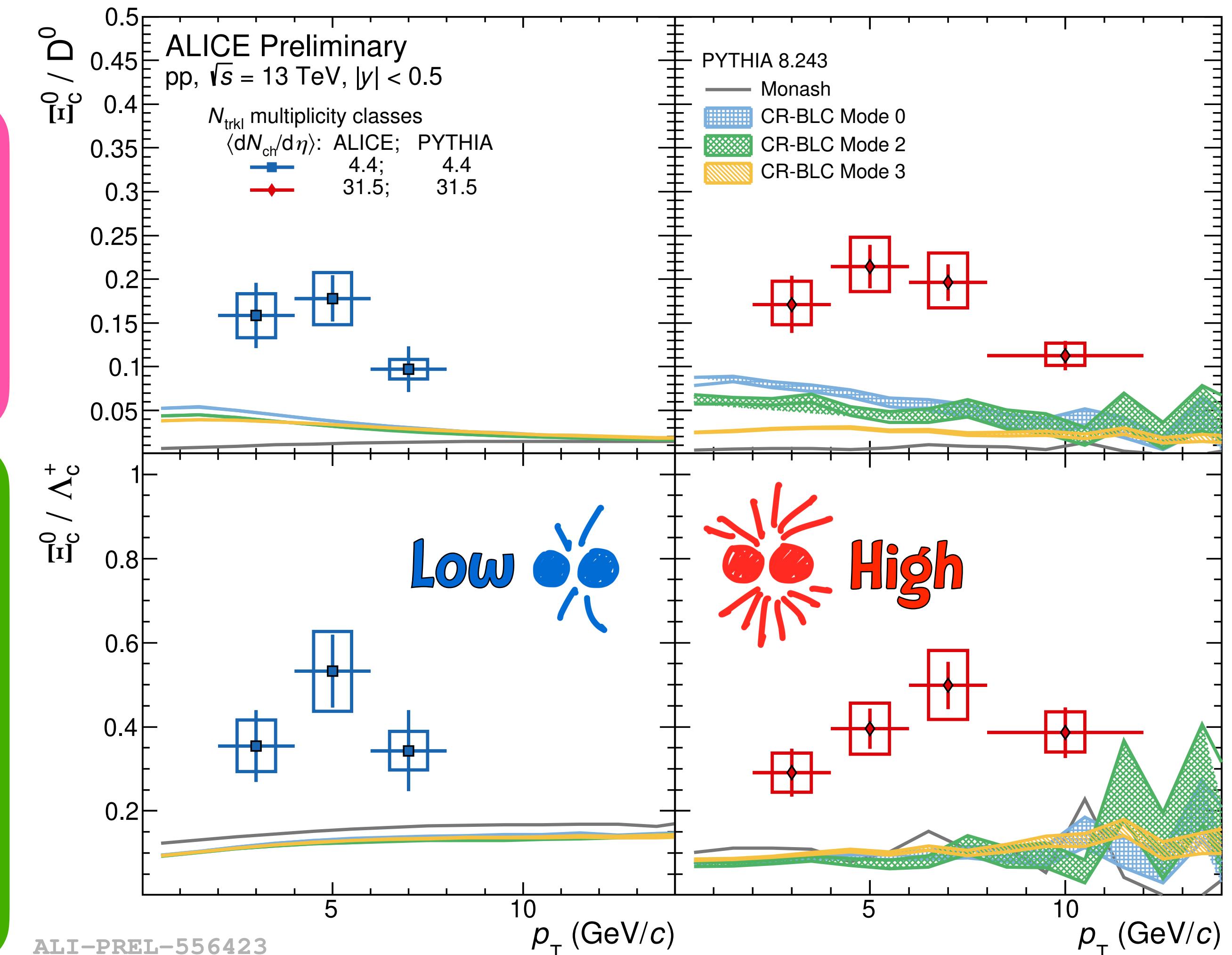


Production yield ratio to D^0 and Λ_c^+

- ★ No strong multiplicity dependence in baryon-to-meson and baryon-to-baryon ratio within the uncertainties

Model comparison

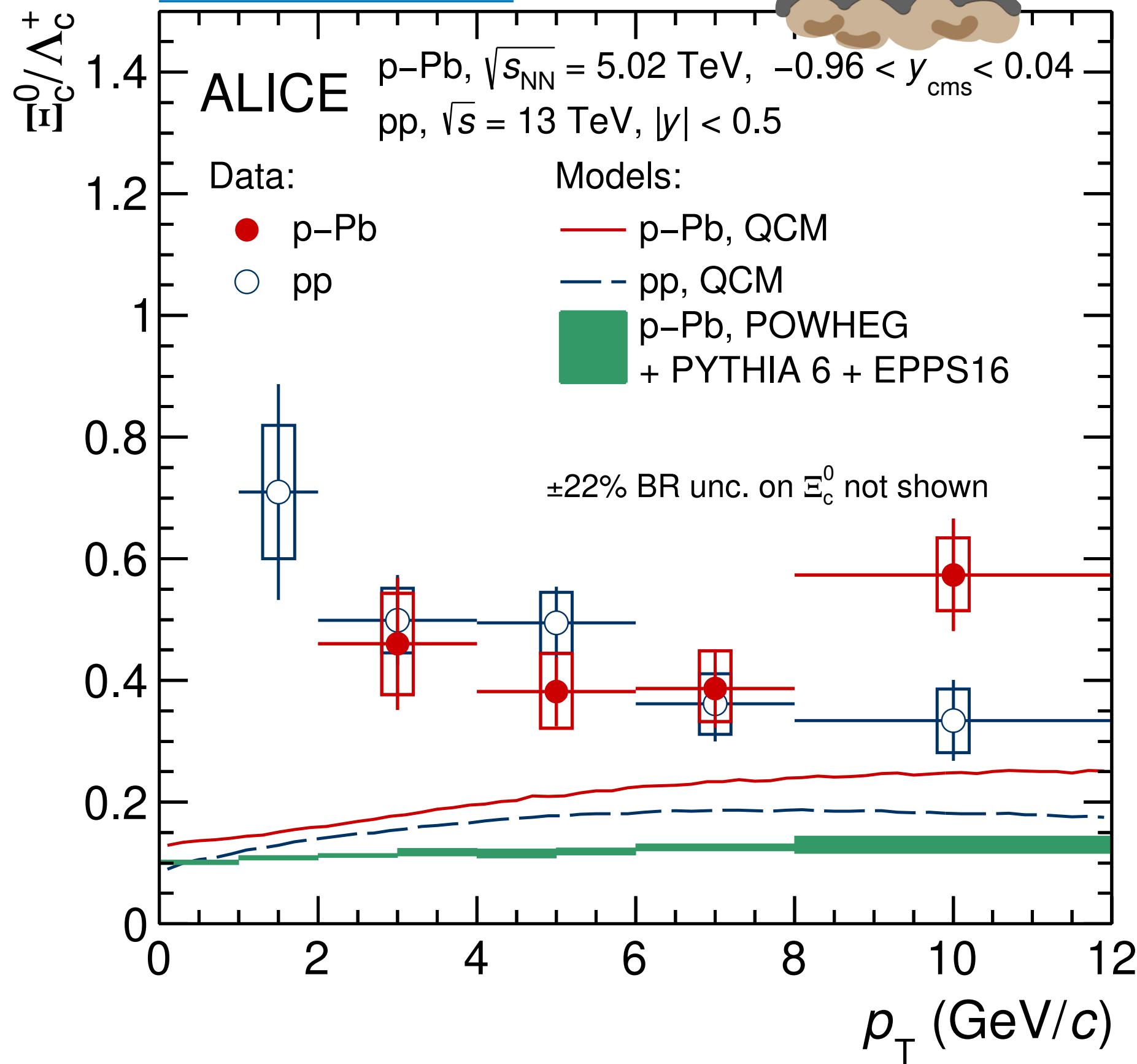
- ★ PYTHIA 8 Monash doesn't reproduce neither magnitude nor multiplicity dependence
- ★ PYTHIA 8 CR-BLC tunes, which describe the Λ_c^+/Ξ_c^0 ratio significantly underestimate the data



Ξ_c^0/Λ_c^+ in p-Pb collisions



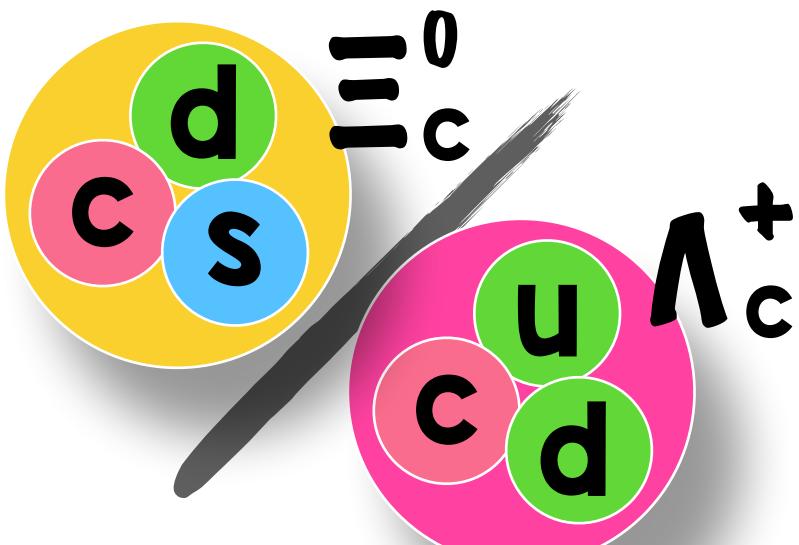
arXiv : 2405.14538



ALI-PUB-571015



New on
arXiv!



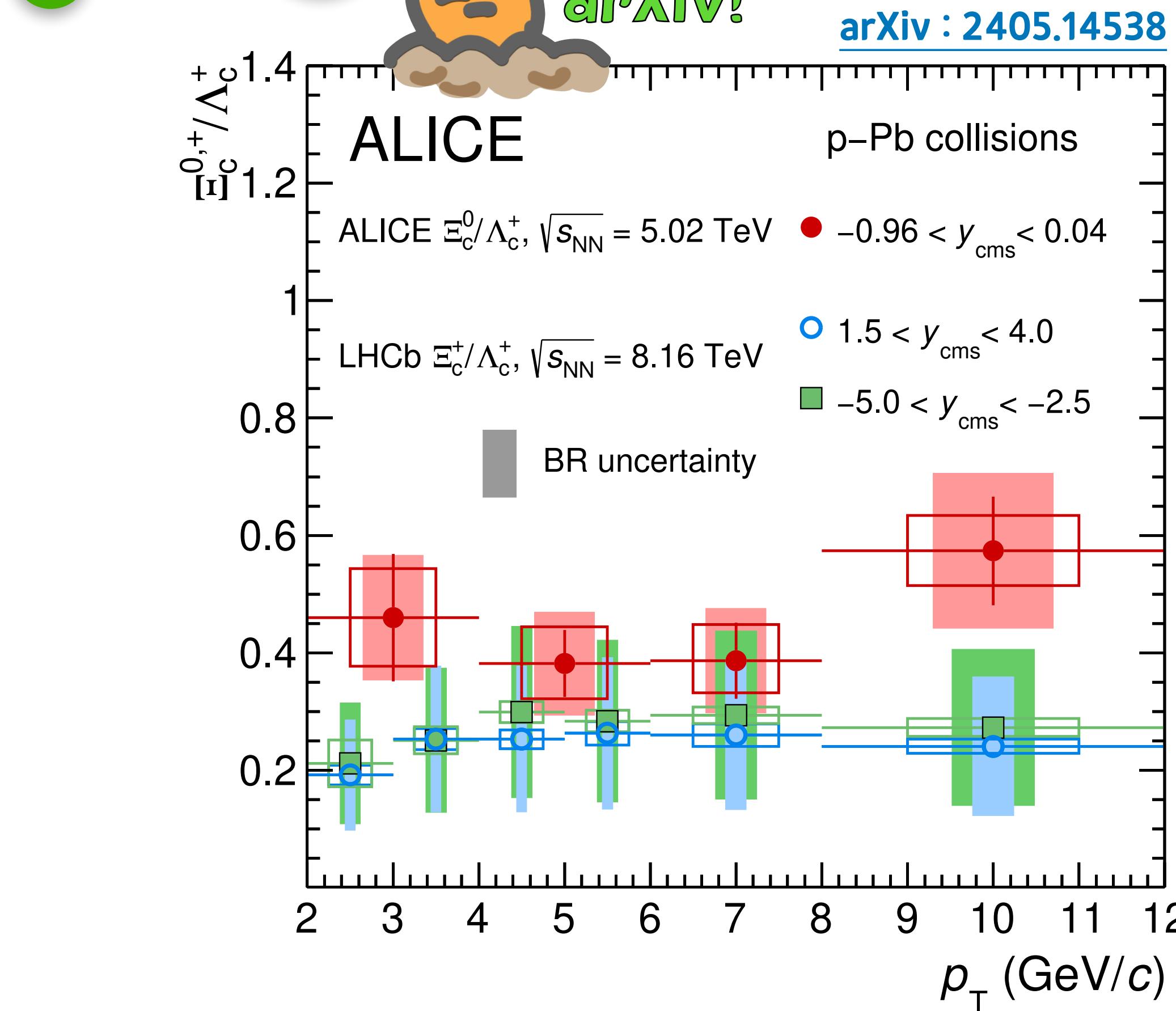
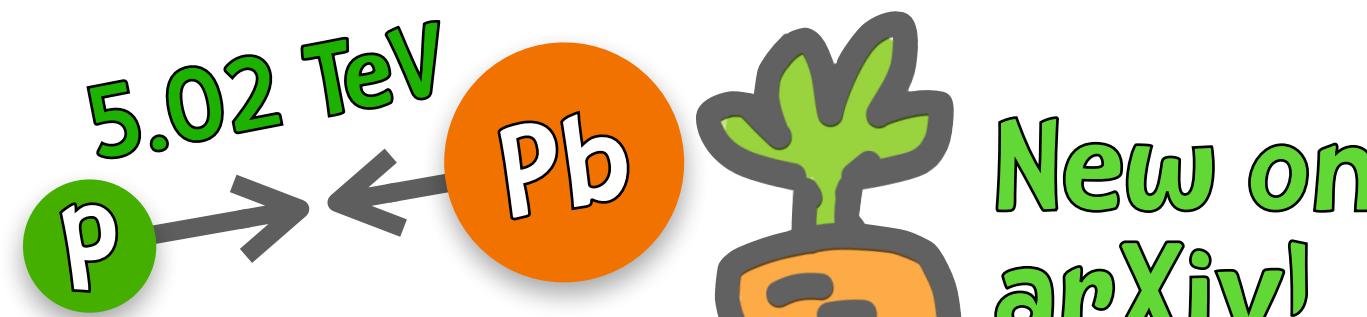
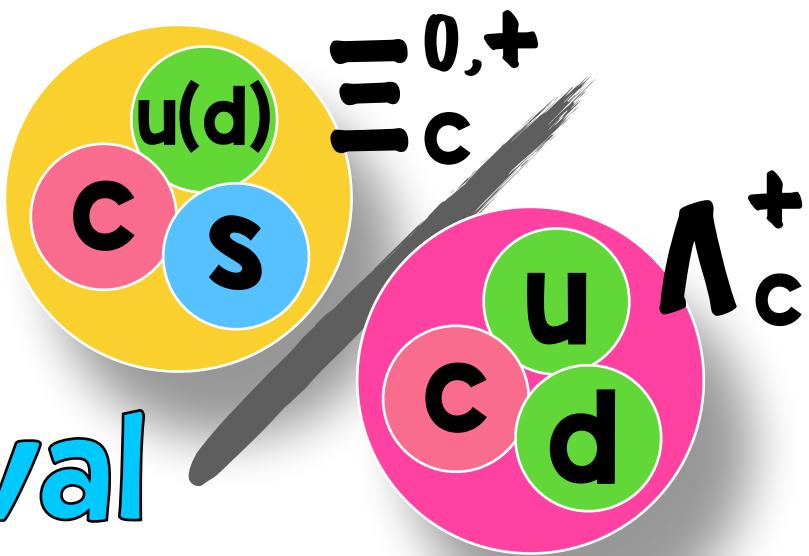
Production yield ratio to Λ_c^+

- ★ No strong p_T dependence in both pp and p—Pb collisions
- ★ Similar magnitude of Ξ_c^0/Λ_c^+ in p-Pb collisions with respect to pp collisions
 - No appreciable additional modification of the hadronization process going from pp to p-Pb collisions
- ★ Underestimated by both **QCM** and **POWHEG+PYTHIA 6**

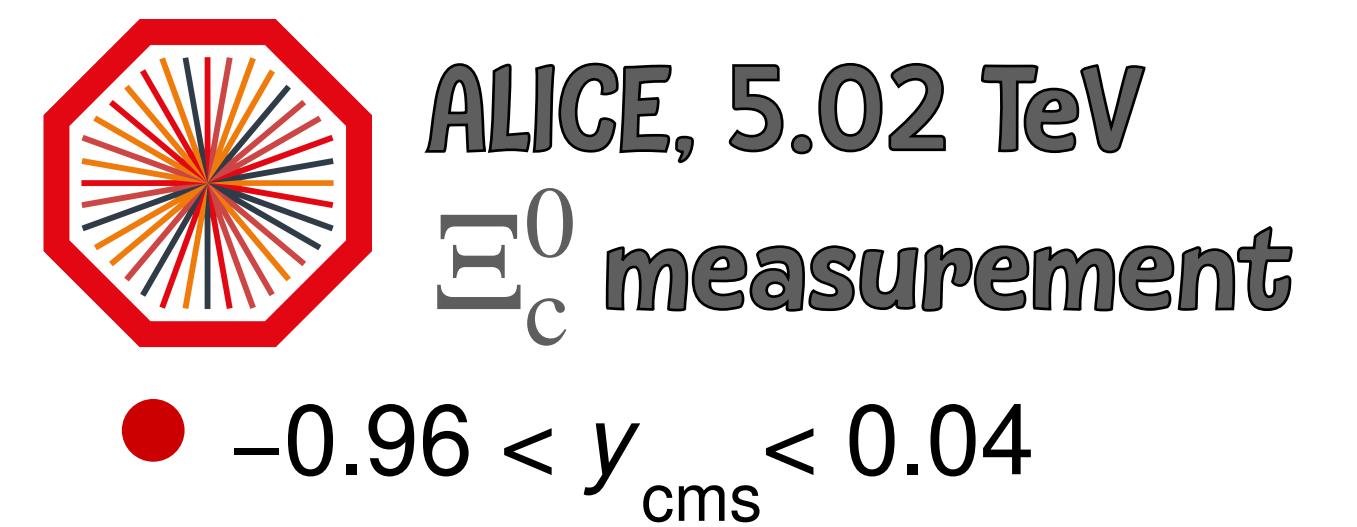


Ξ_c^0/Λ_c^+ in p-Pb collisions

in different rapidity interval



ALI-PUB-571023



- 1.5 < y_{cms} < 4.0
- -5.0 < y_{cms} < -2.5

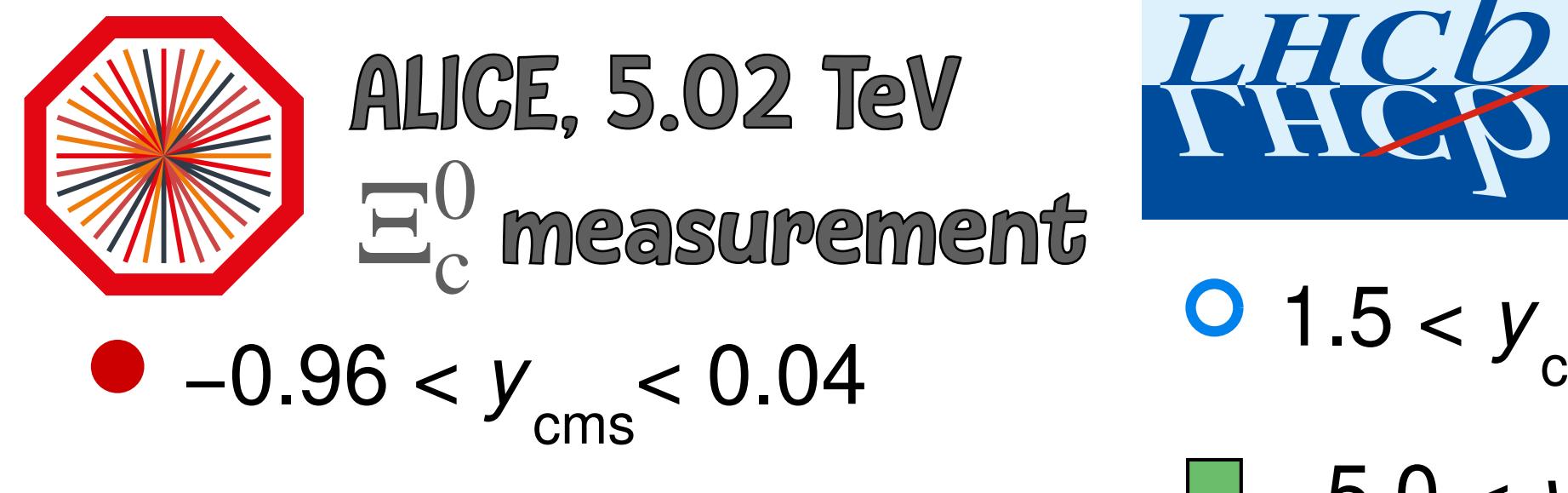
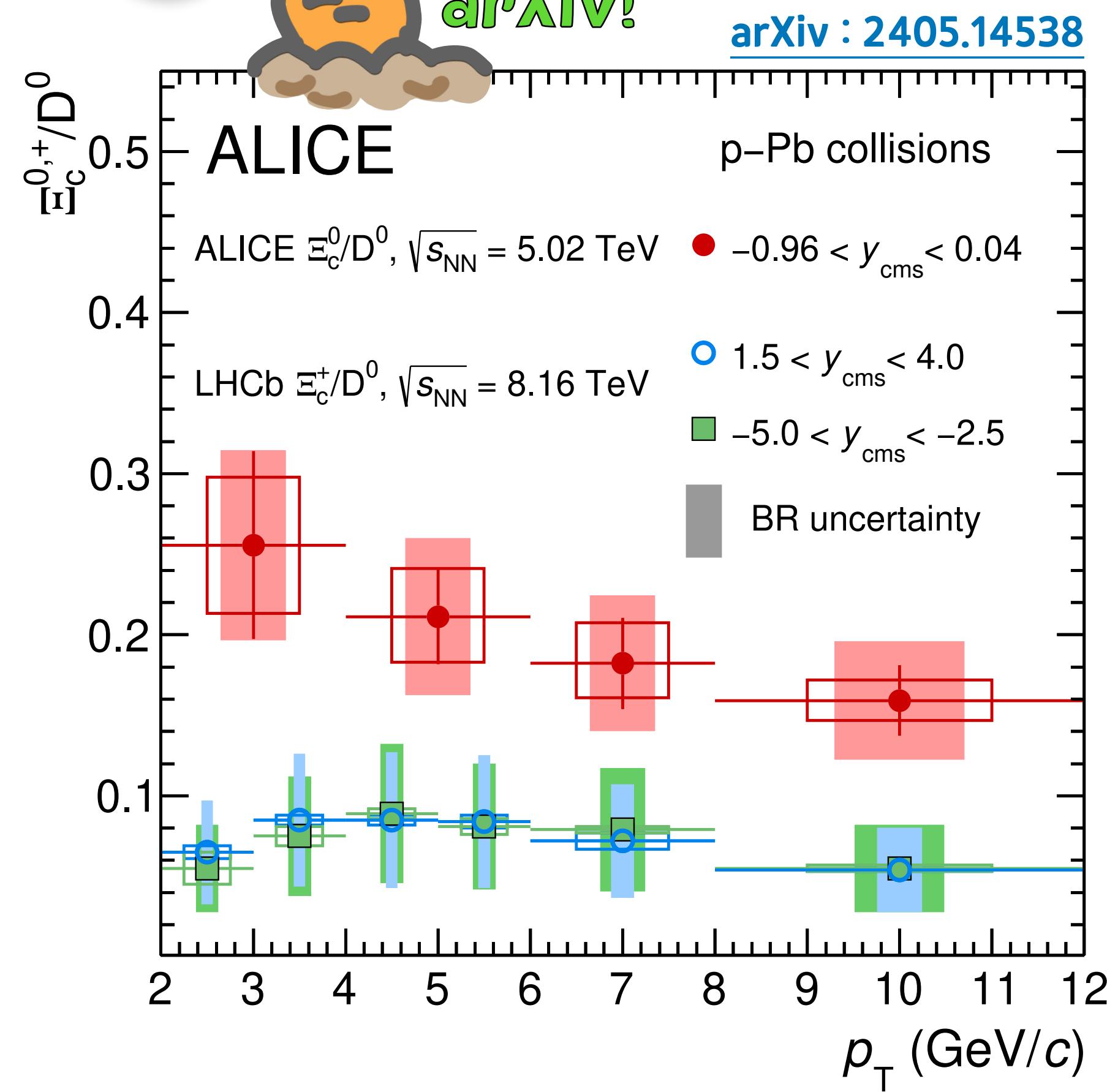
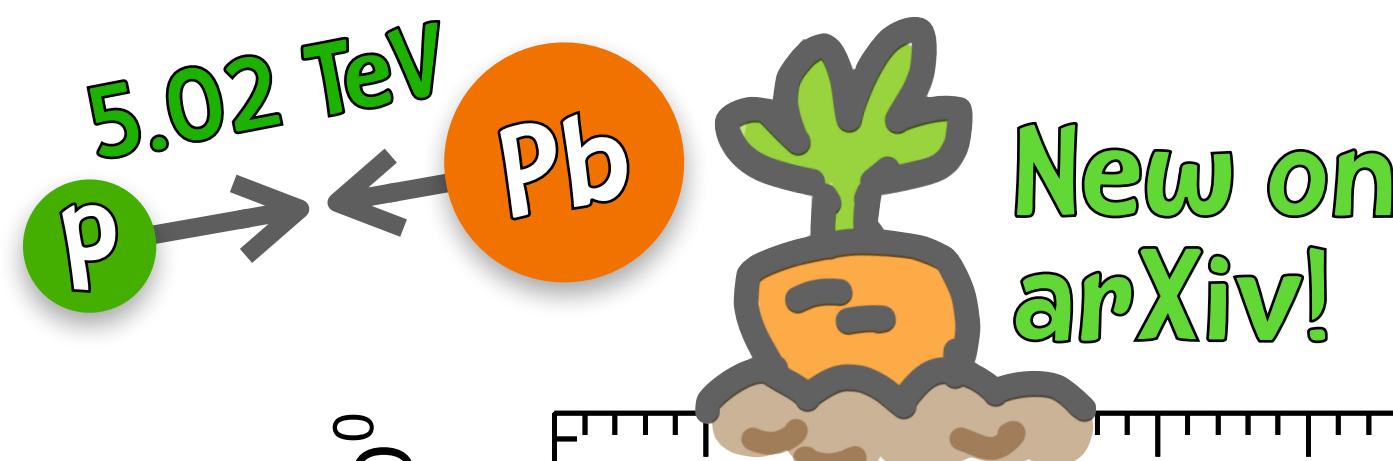
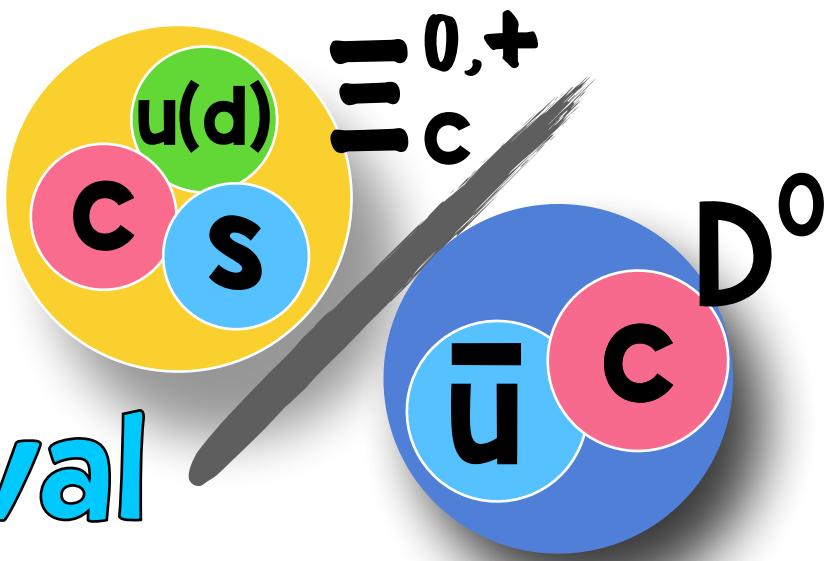
Production yield ratio to Λ_c^+

- ★ Ratios are compatible at mid, forward and backward rapidity within the uncertainties
- 1.1 σ difference at 2-4 GeV/c p_T interval



Ξ_c^0/Λ_c^+ in p-Pb collisions

in different rapidity interval



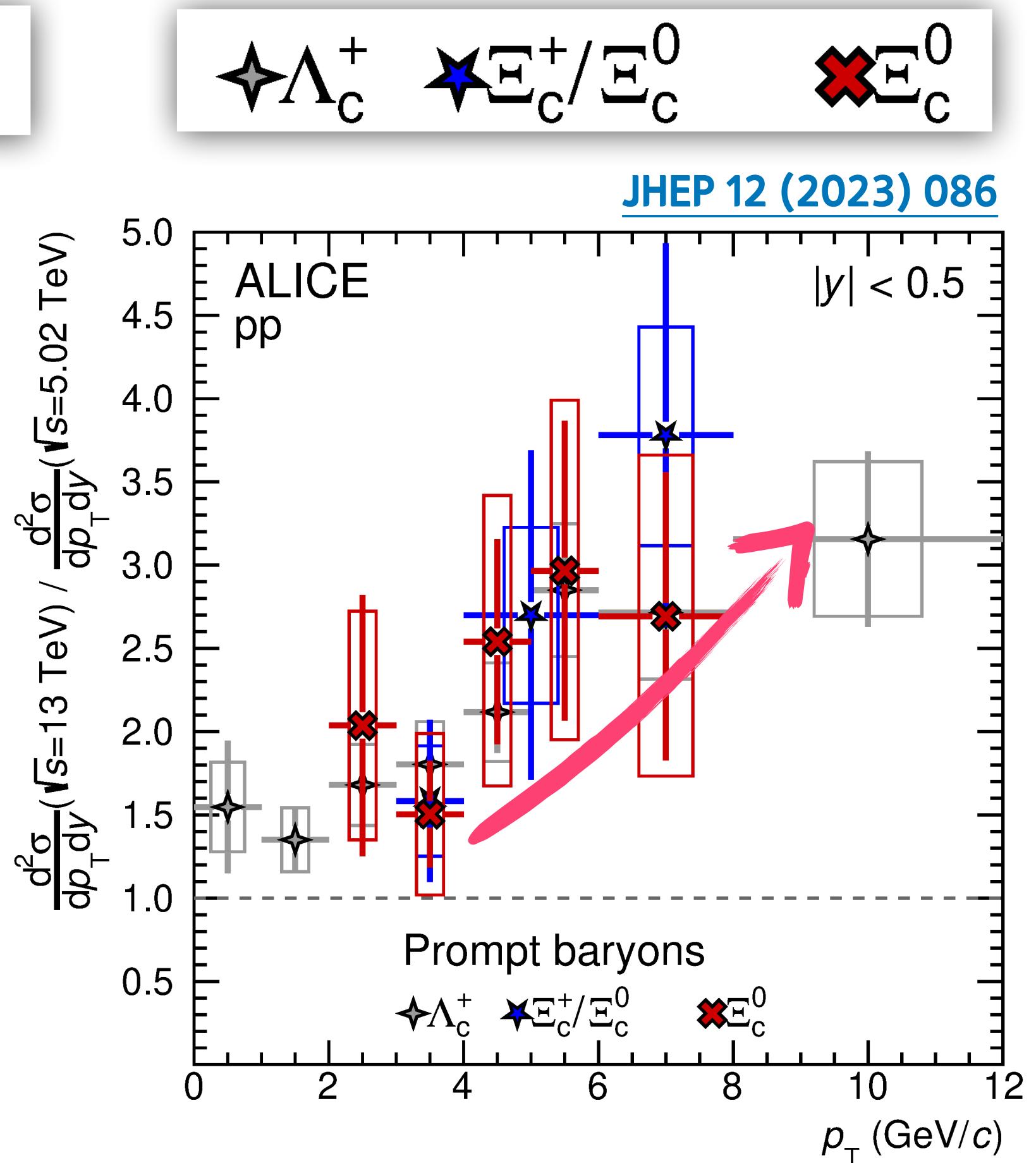
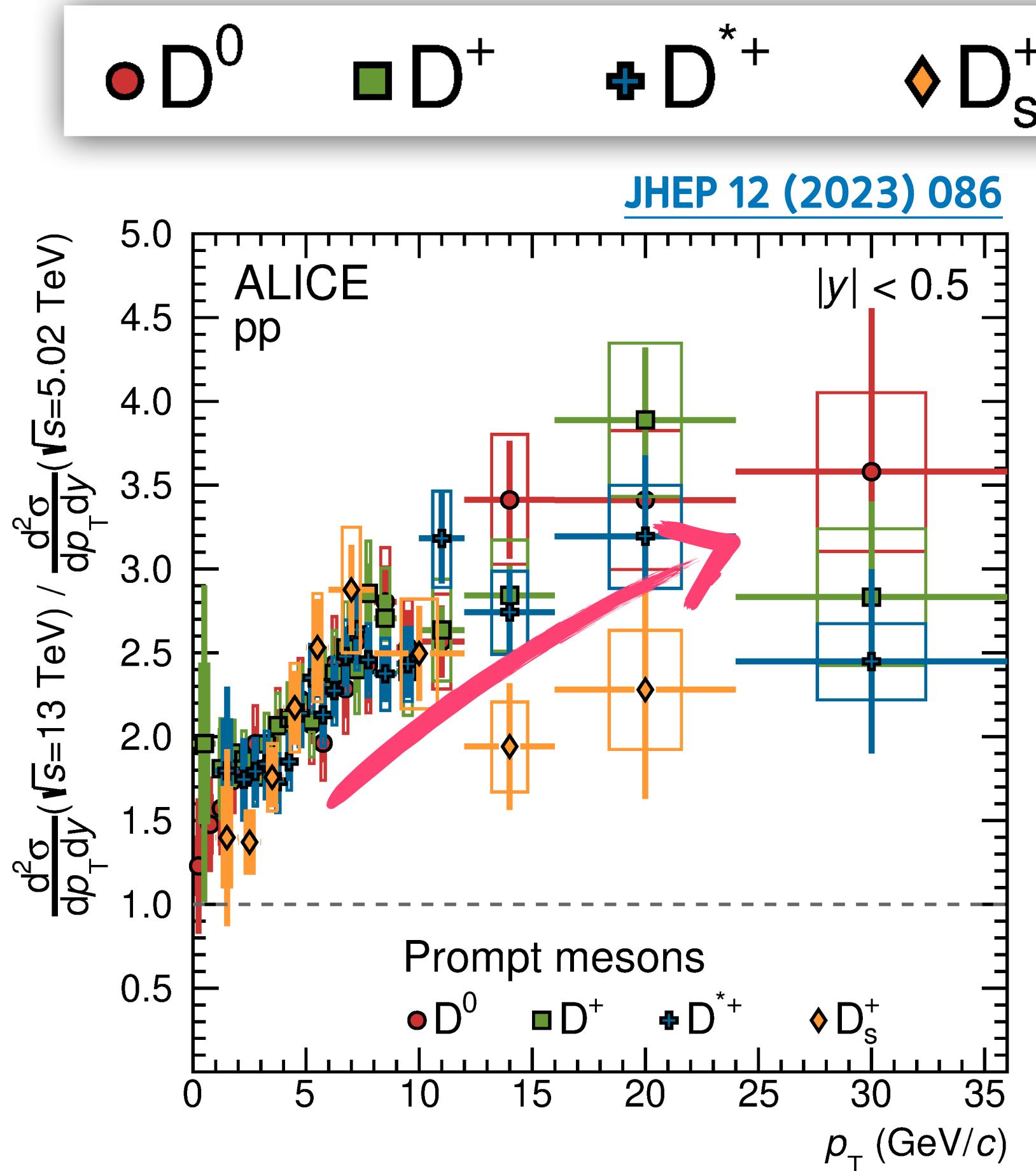
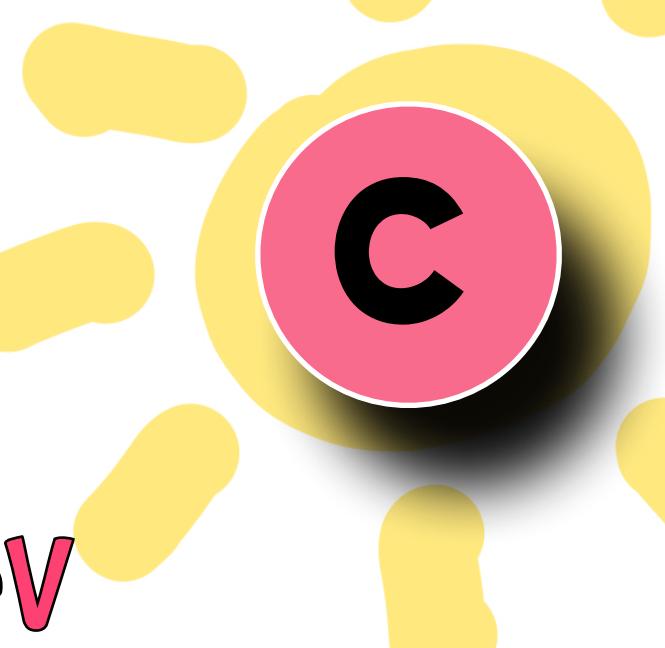
Production yield ratio to D^0

★ Hint of rapidity dependence?

- 1.5 σ to 2.0 σ difference across the different p_T interval



Energy dependence in hadron production



13 TeV / 5.02 TeV

Production cross section ratio between different collision energy

- ★ increasing trend going from low to high p_T region for given hadron species
- ★ Similar energy dependence in baryon and meson production
→ No energy dependence in baryon-to-meson ratios

