

# (Recent measurements on) Open charm and beauty production

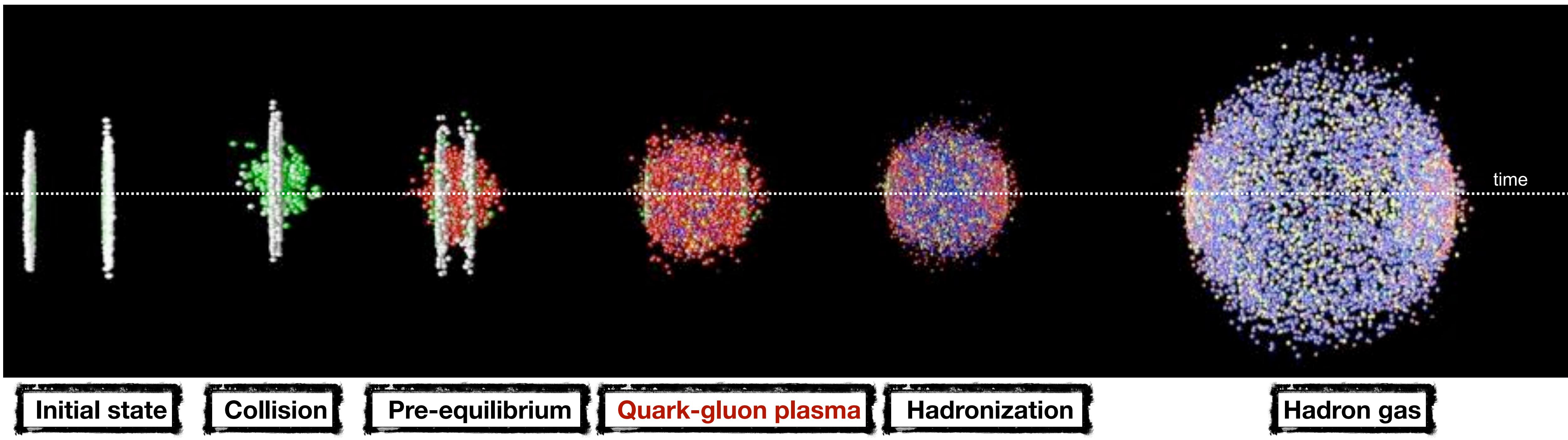
Zaida Conesa del Valle  
(Université Paris-Saclay, CNRS/IN2P3, IJCLab, Orsay, France)

Disclaimer: only a selection of the results could be shown (time limit)

Strange Quark Matter 2024, Strasbourg (France)



# Why heavy flavours?



Initial state

Collision

Pre-equilibrium

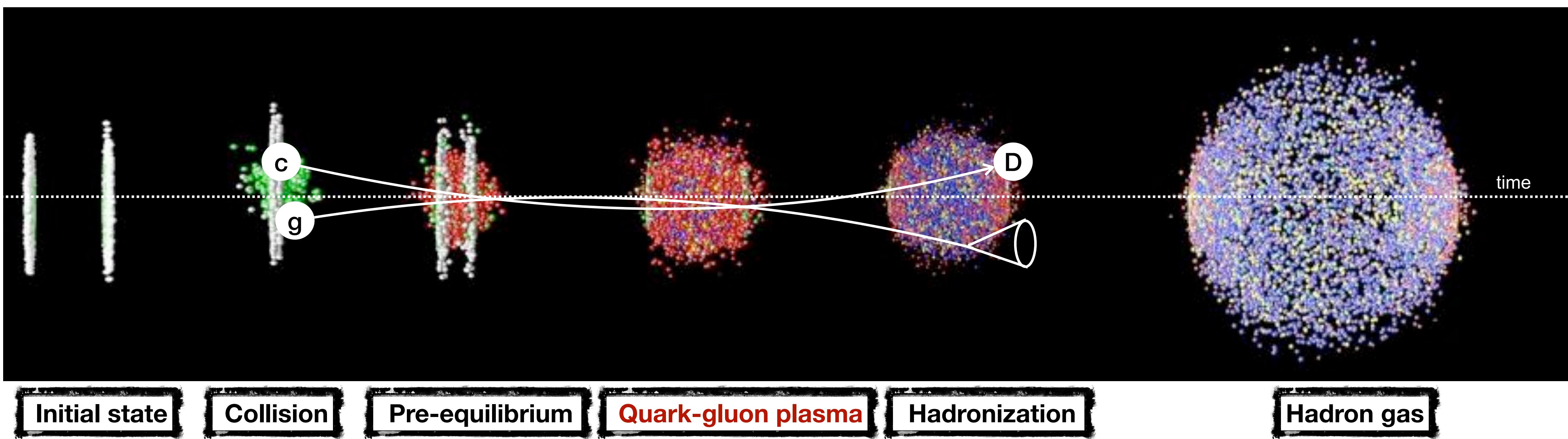
Quark-gluon plasma

Hadronization

Hadron gas

# Why heavy flavours?

- Heavy quarks are produced in initial hard scatterings with **large  $Q^2 \rightarrow$  calculable with pQCD.**
- Large masses  $m_b > m_c \gg \Lambda_{\text{QCD}}$   $\rightarrow$  short formation time (<QGP lifetime)  $\rightarrow$  **experience whole medium evolution**
- Interactions with the medium don't change the flavour, but can modify the phase-space distribution.  
Thermal production rate in the QGP is expected to be 'small'.  
 $\rightarrow$  **destruction or creation in the medium is difficult**



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Thermal production rate in the QGP is expected to be 'small'.  
→ **destruction or creation in the medium is difficult**
- Factorization approach:

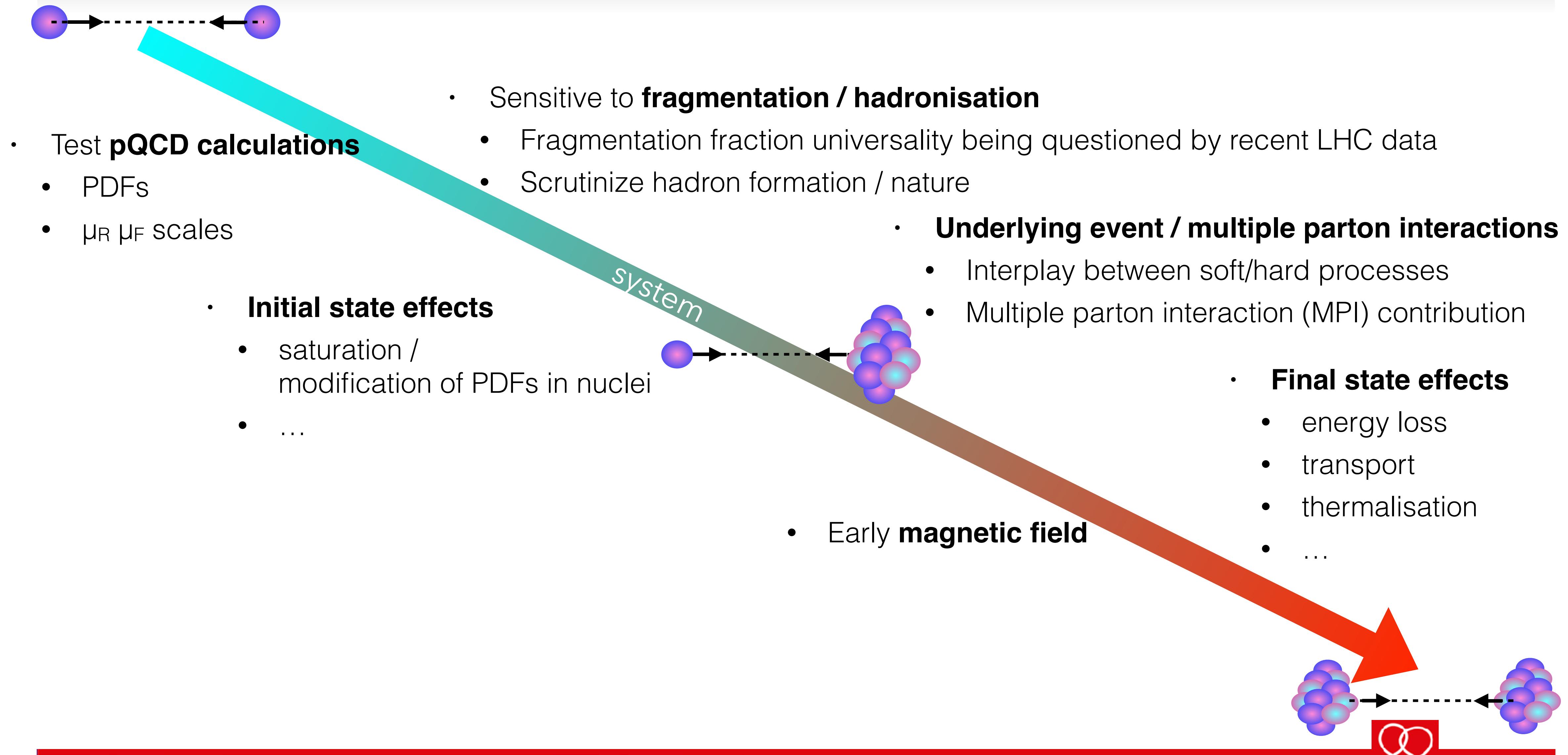
$$\frac{d^2\sigma}{dp_T dy}(AB \rightarrow CX) \propto \sum_{abcd} \int_0^1 dx_a \int_0^1 dx_b f_A^a(x_a, Q^2) f_B^b(x_b, Q^2) \frac{d\sigma}{dt}(ab \rightarrow cd) D_c^C(z_c, Q^2)$$

Parton distribution functions	Partonic cross section	Fragmentation function
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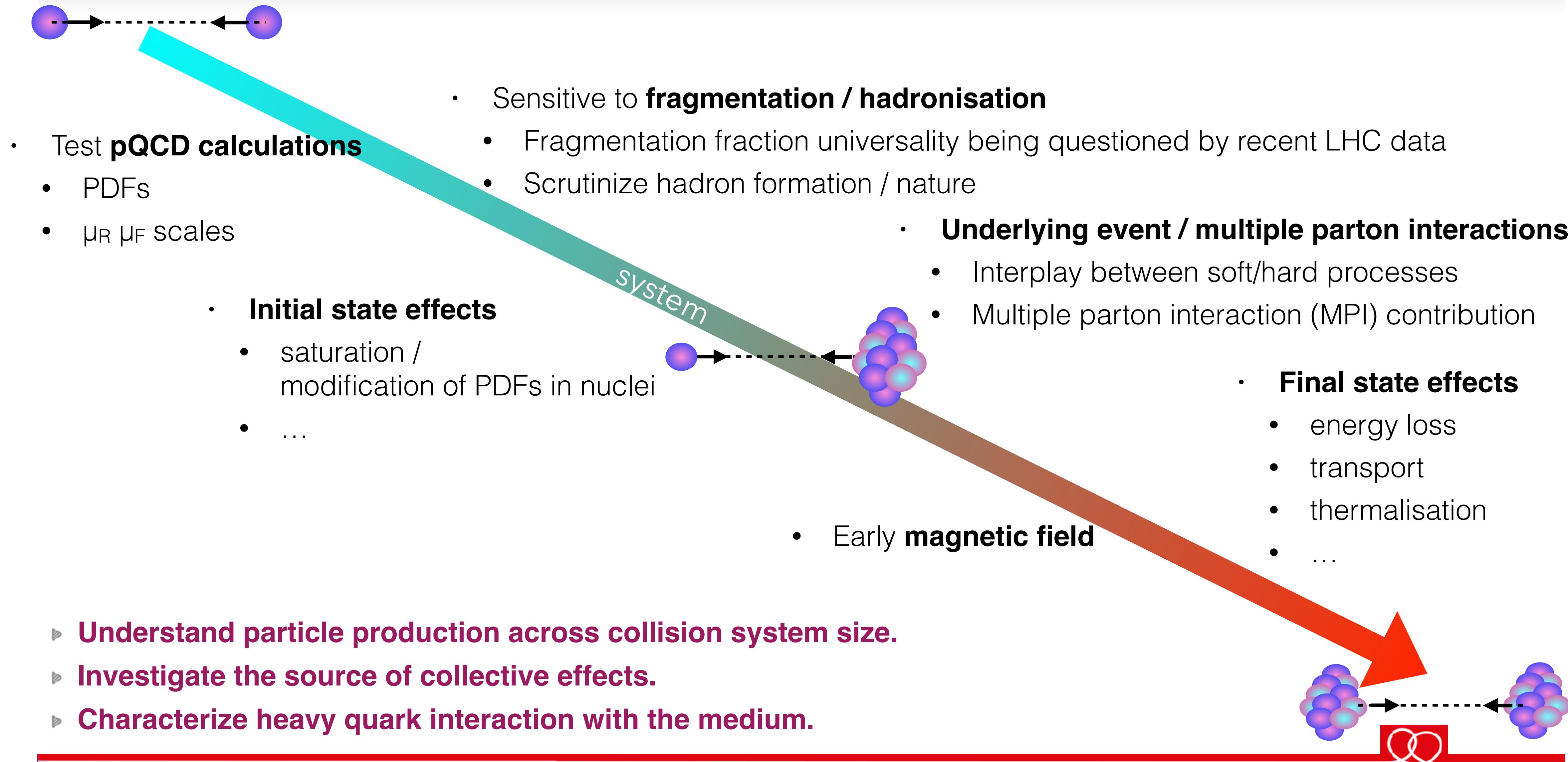
- **Fragmentation functions assumed to be universal** across collision systems.
- For the quarkonium case, the binding of the quark pair involves soft scales, non-perturbative nature.



# What can we learn?

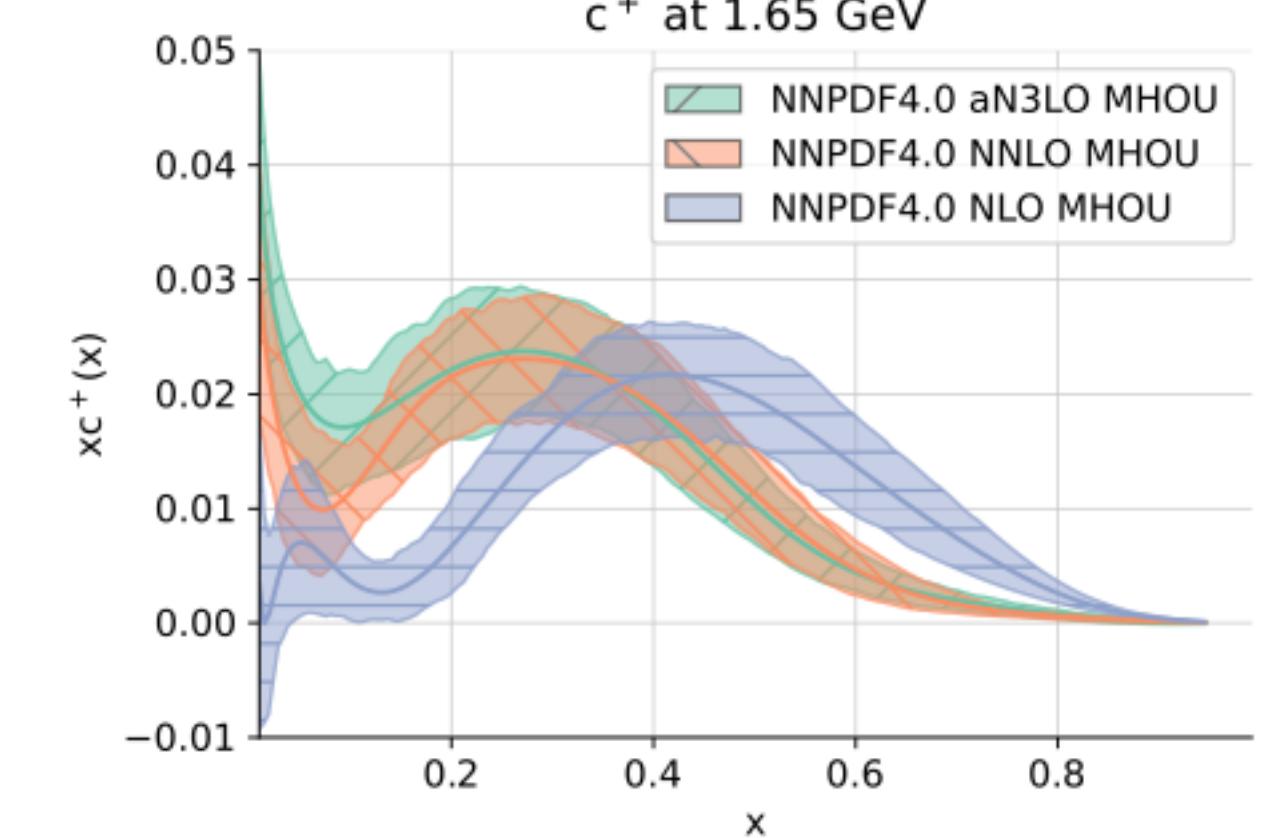


# What can we learn?

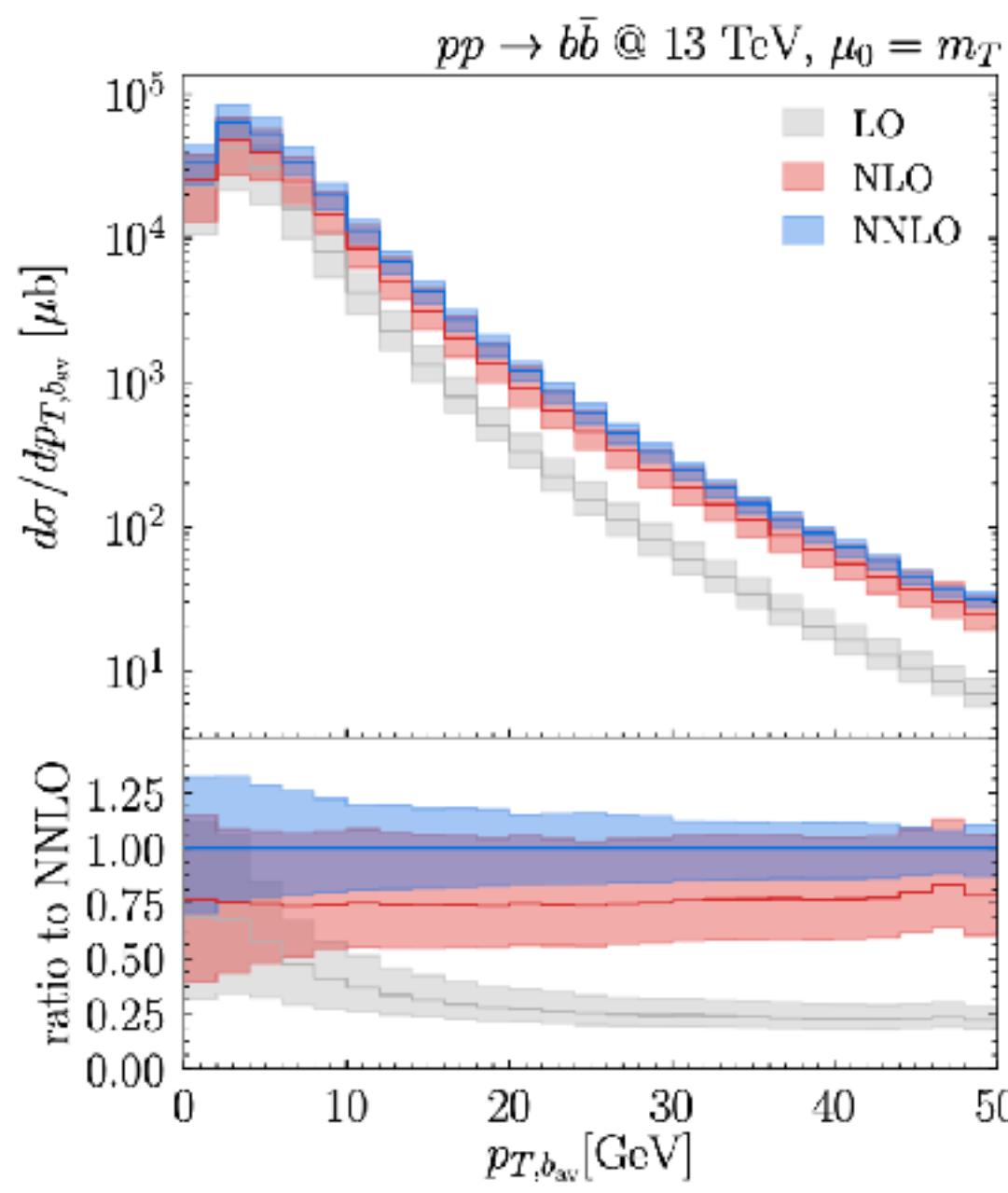




Talk. J. Wang  
Talk. M. Faggin  
Talk. A. Baty  
Talk. J. Cho

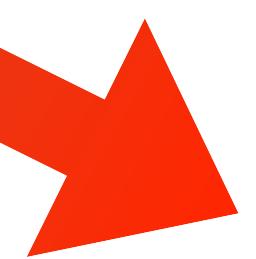


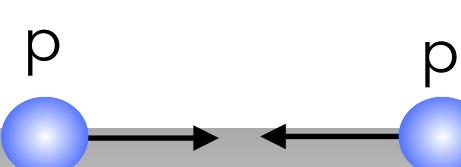
N3LO PDF, NNPDF Coll.; [arXiv:2402.18635](https://arxiv.org/abs/2402.18635)



NNLO, Catani et al. [JHEP 03 \(2021\) 029](https://doi.org/10.1007/JHEP03(2021)029)

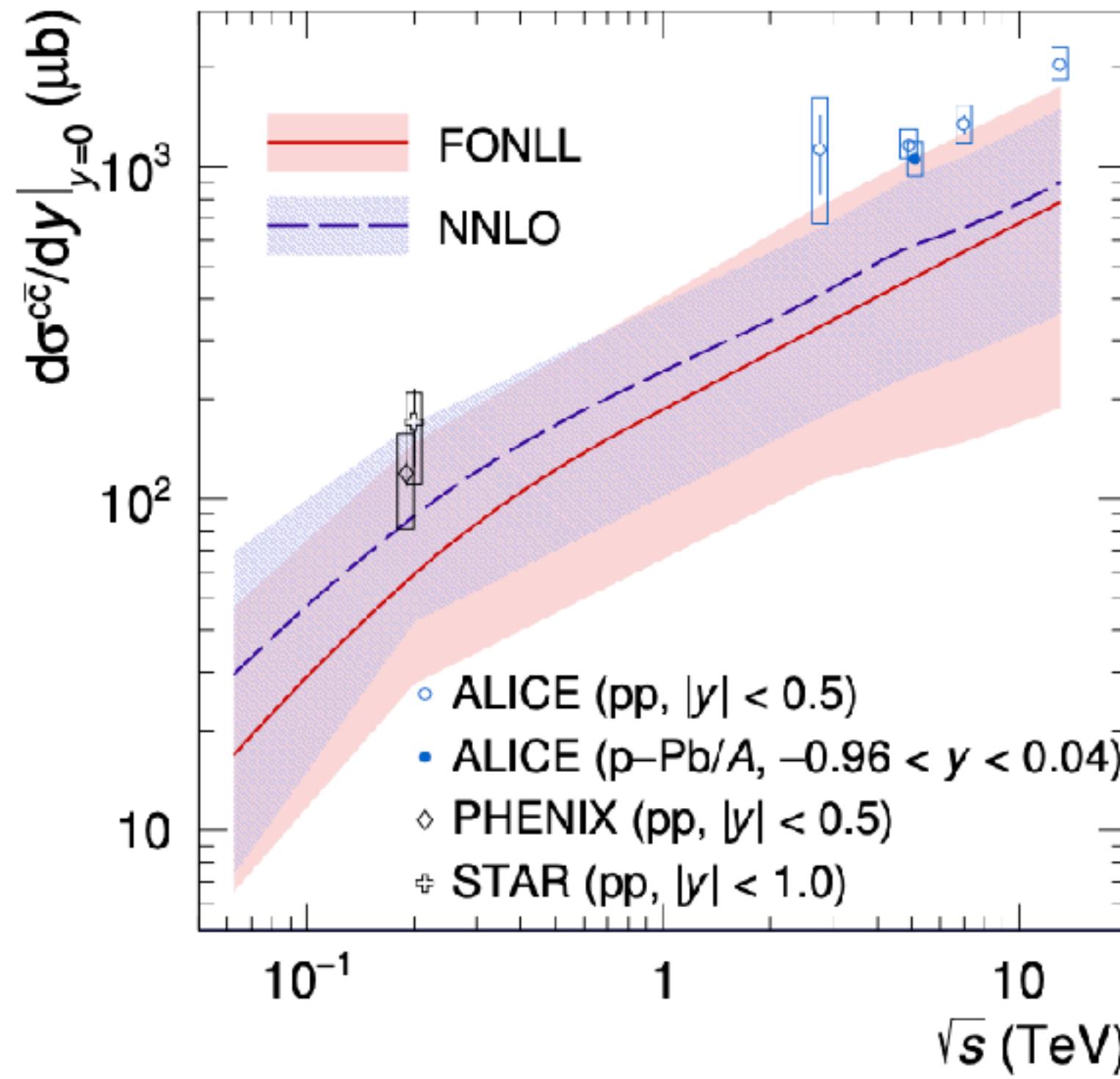
# Testing pQCD (on yields)



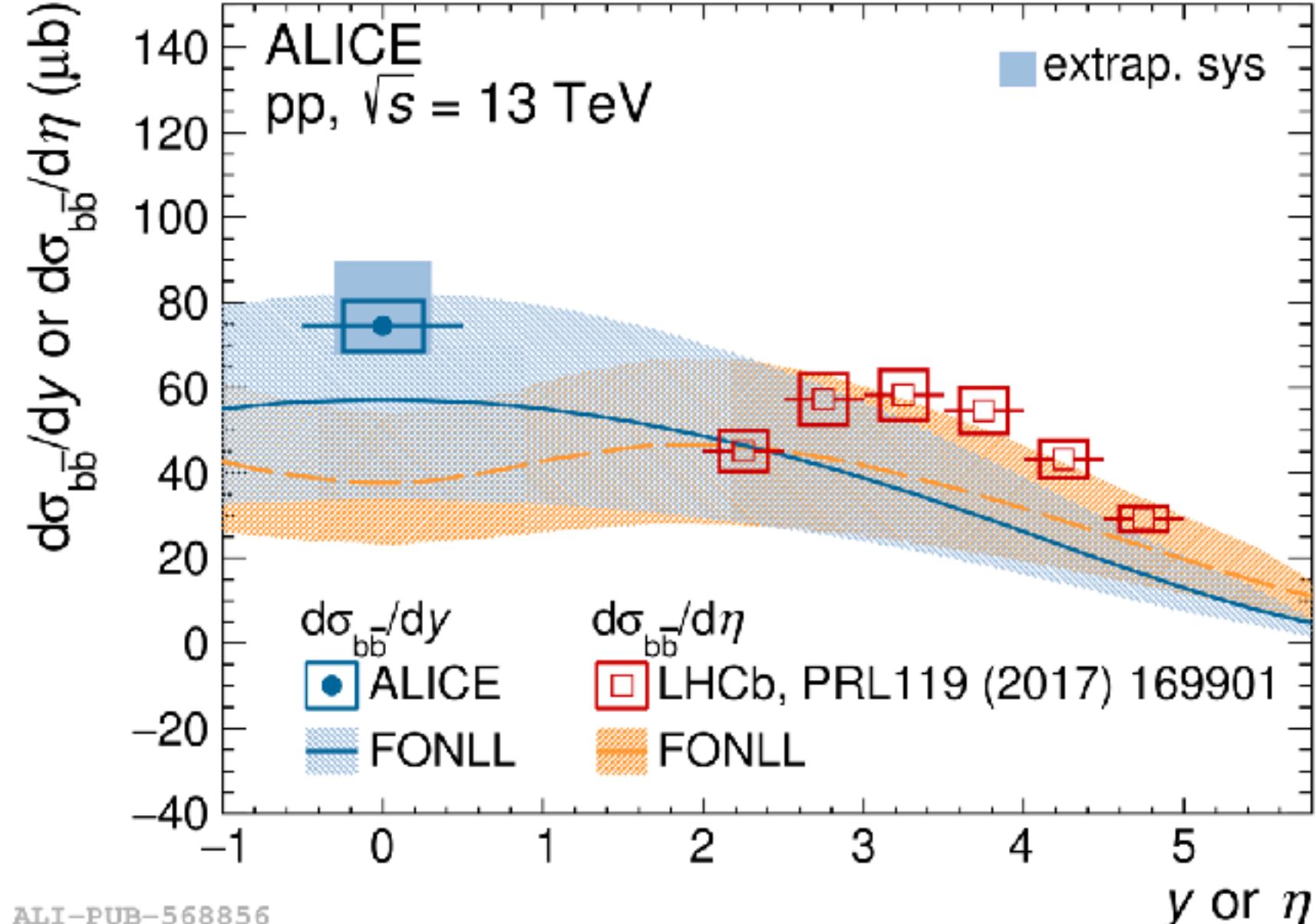


# Recent cross section measurements in pp

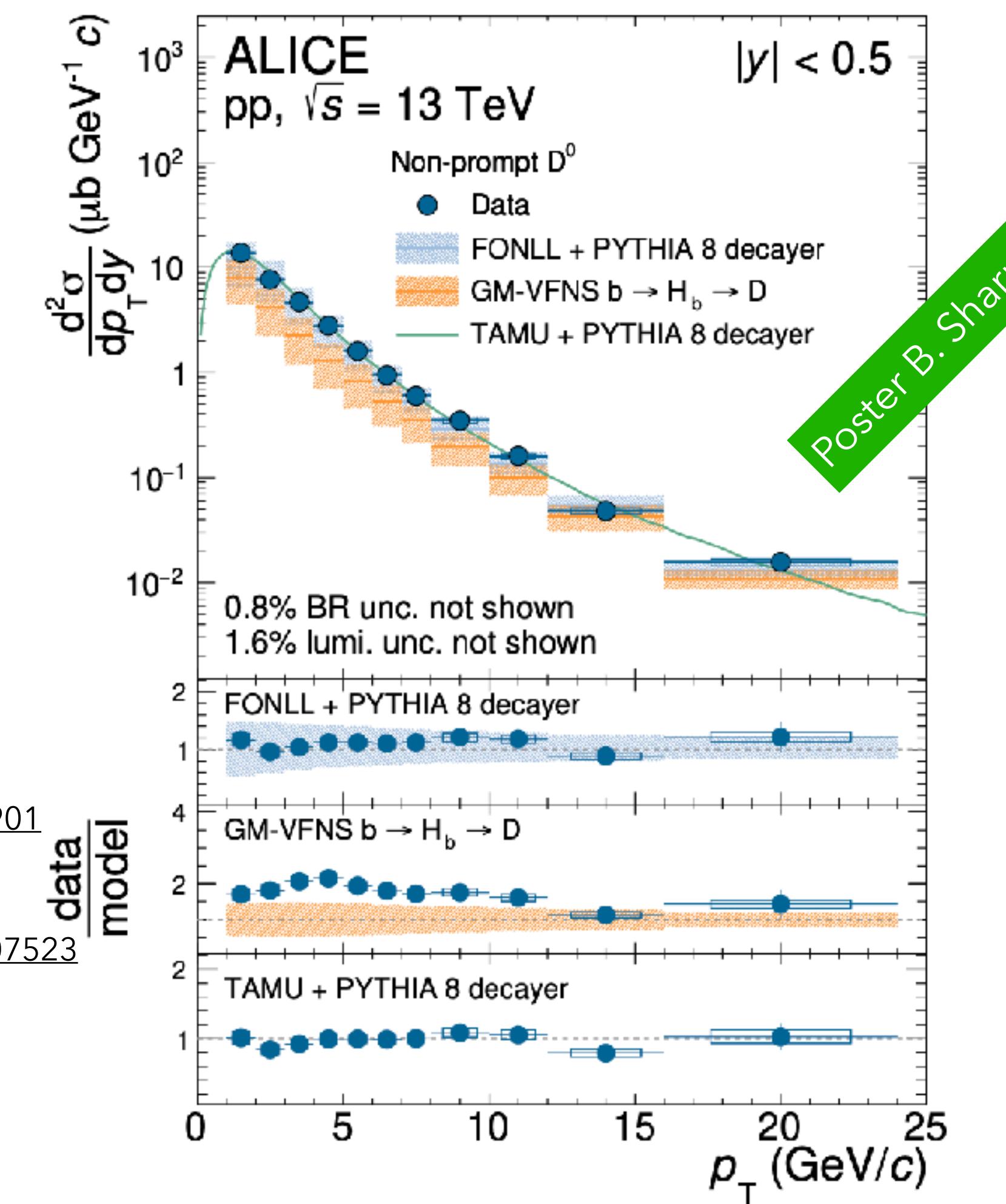
Charm cross section vs.  $\sqrt{s}$



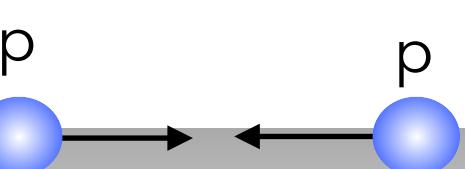
Beauty cross section vs.  $\eta$  or  $y$



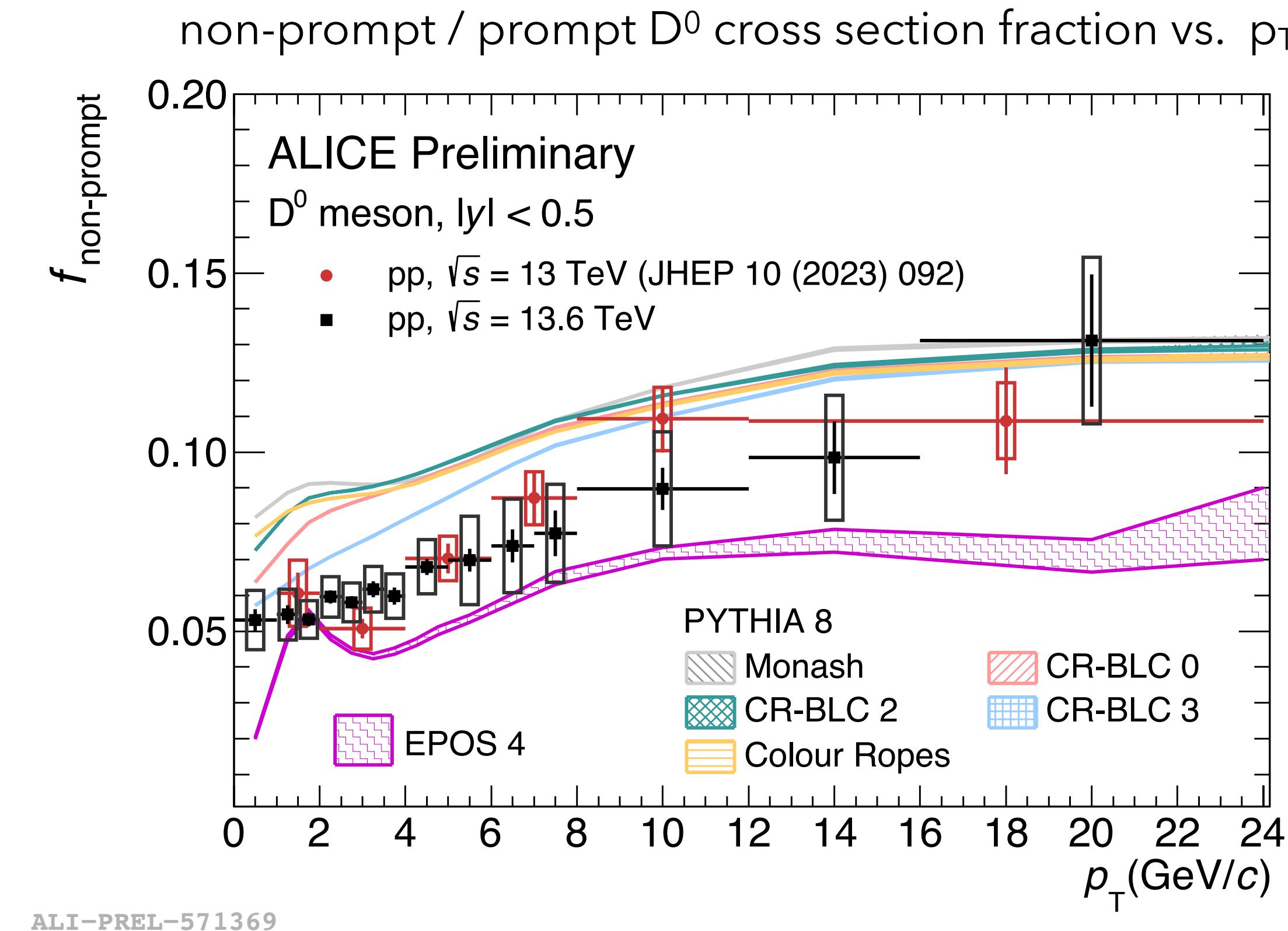
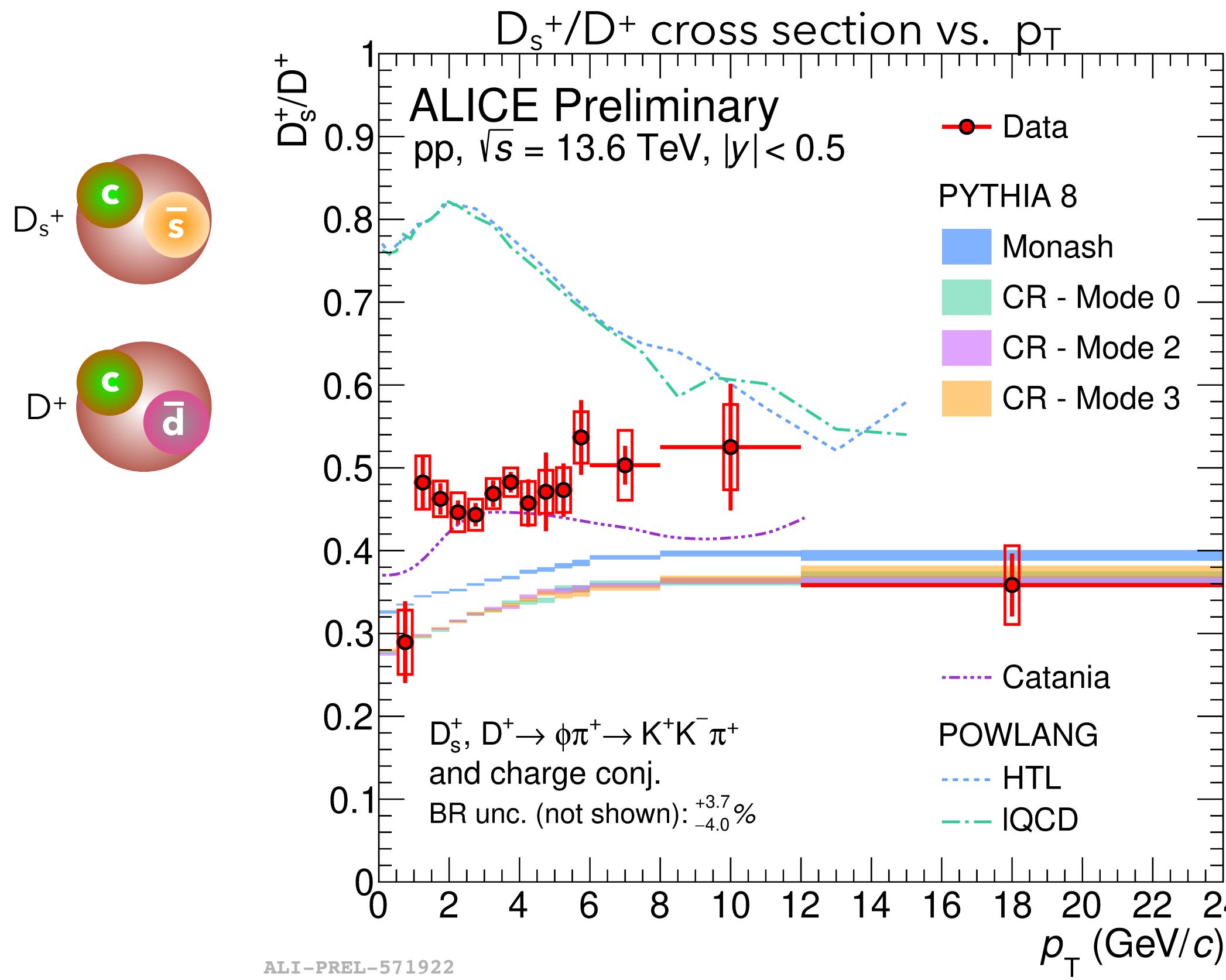
Non-prompt  $D^0$  cross section vs  $p_T$



- Significant experimental progress:  
improved precision and more differential measurements → **precision era**
- Time to move to NNLO or NNLO+NNLL calculation accuracy?  
Refinement of the non-perturbative hadronisation model would help to better describe the hadron kinematics.



# Glimpse on particle ratios from pp $\sqrt{s} = 13.6$ TeV data



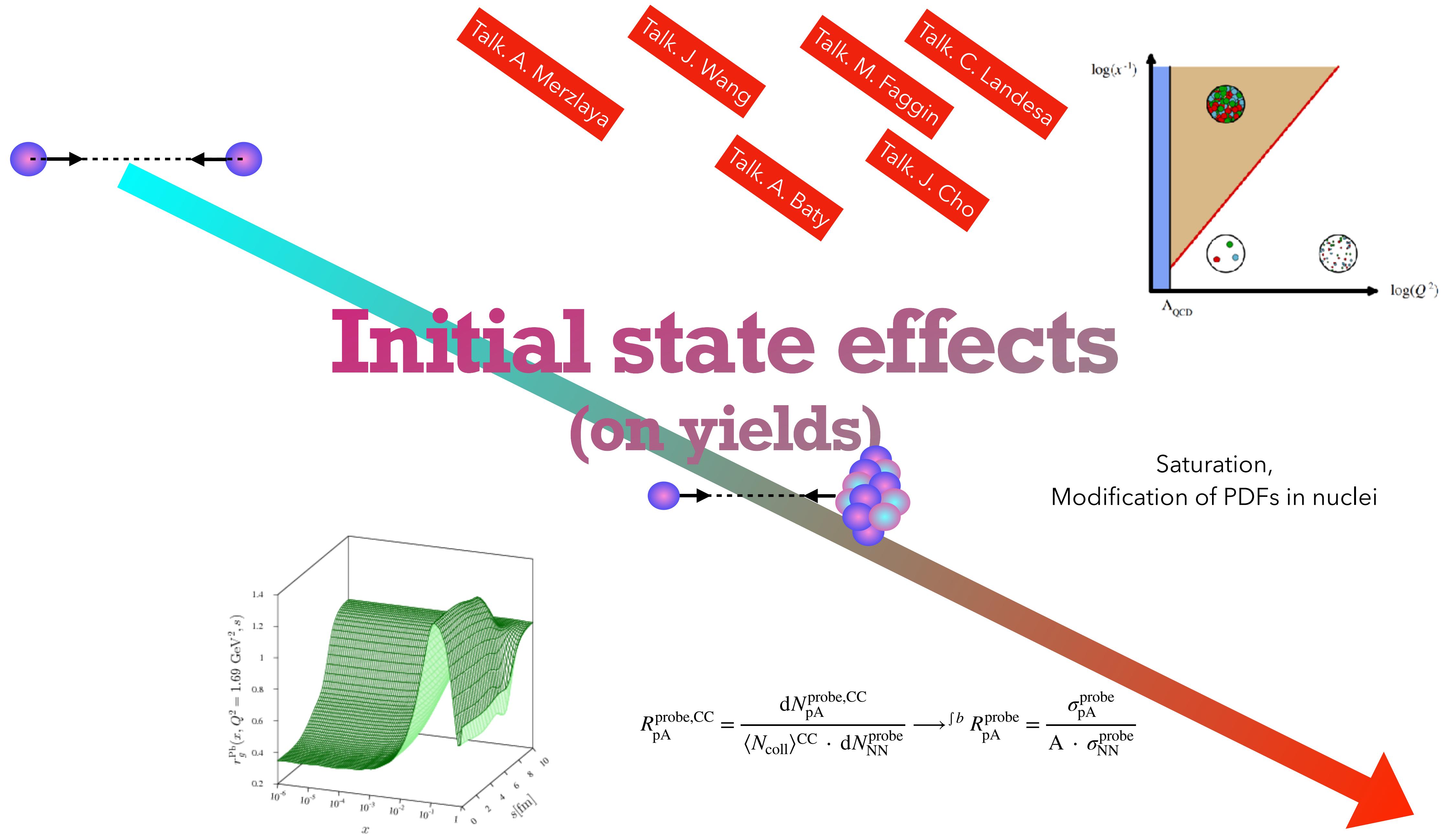
Talk. M. Faggin

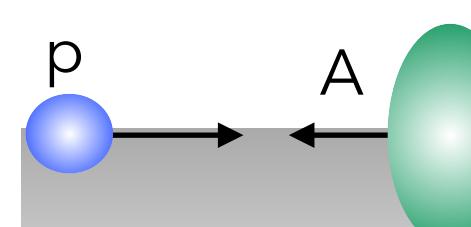
Poster M. Zhang

- Particle ratios and non-prompt fraction present **no significant energy dependence**
- Promising more differential and precise measurements with Run3 data
- Both models including initial or final state effects have difficulties to reproduce data.  
Possible influence of the under prediction of charm cross section, PDFs, hadronisation, ...?

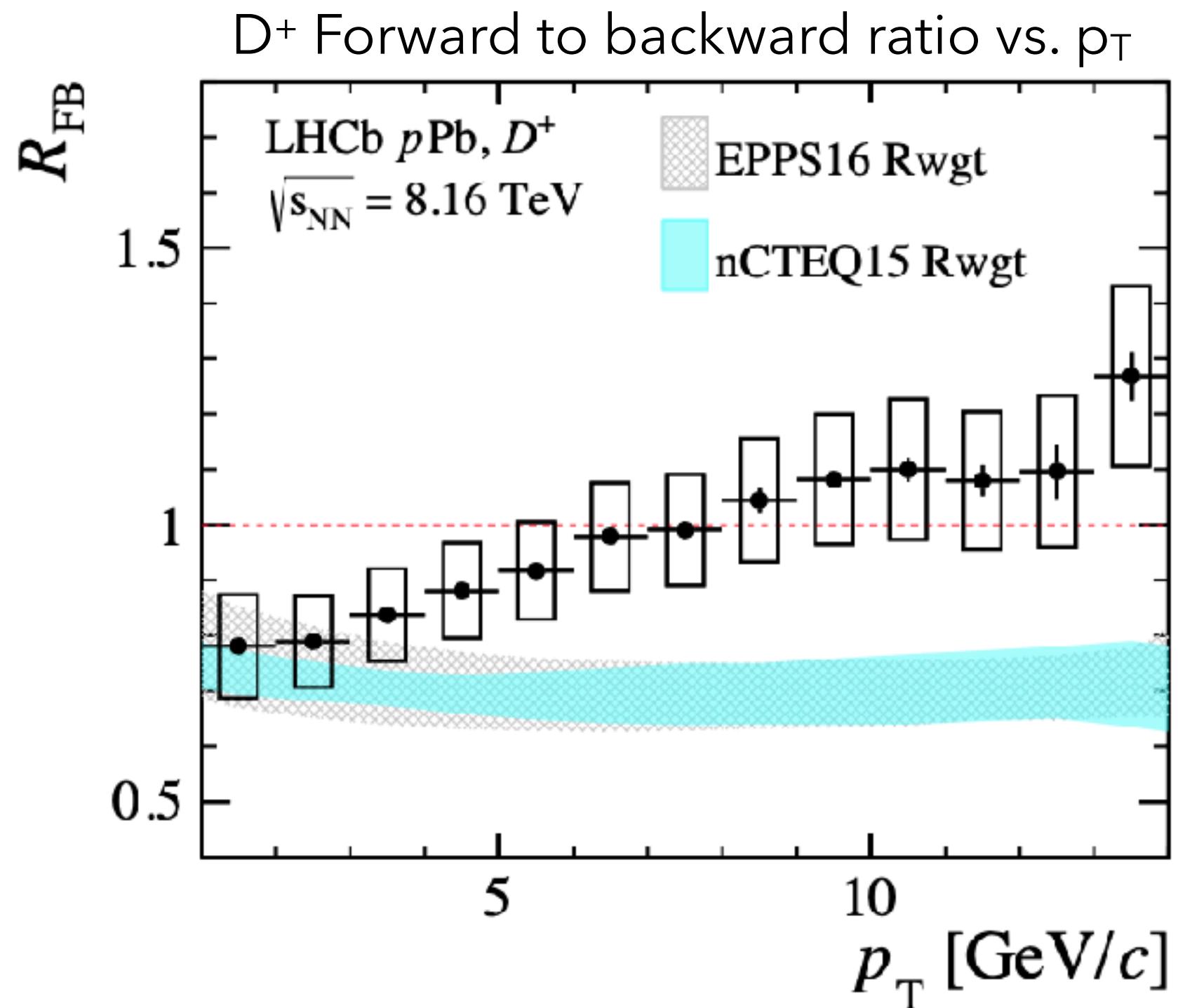
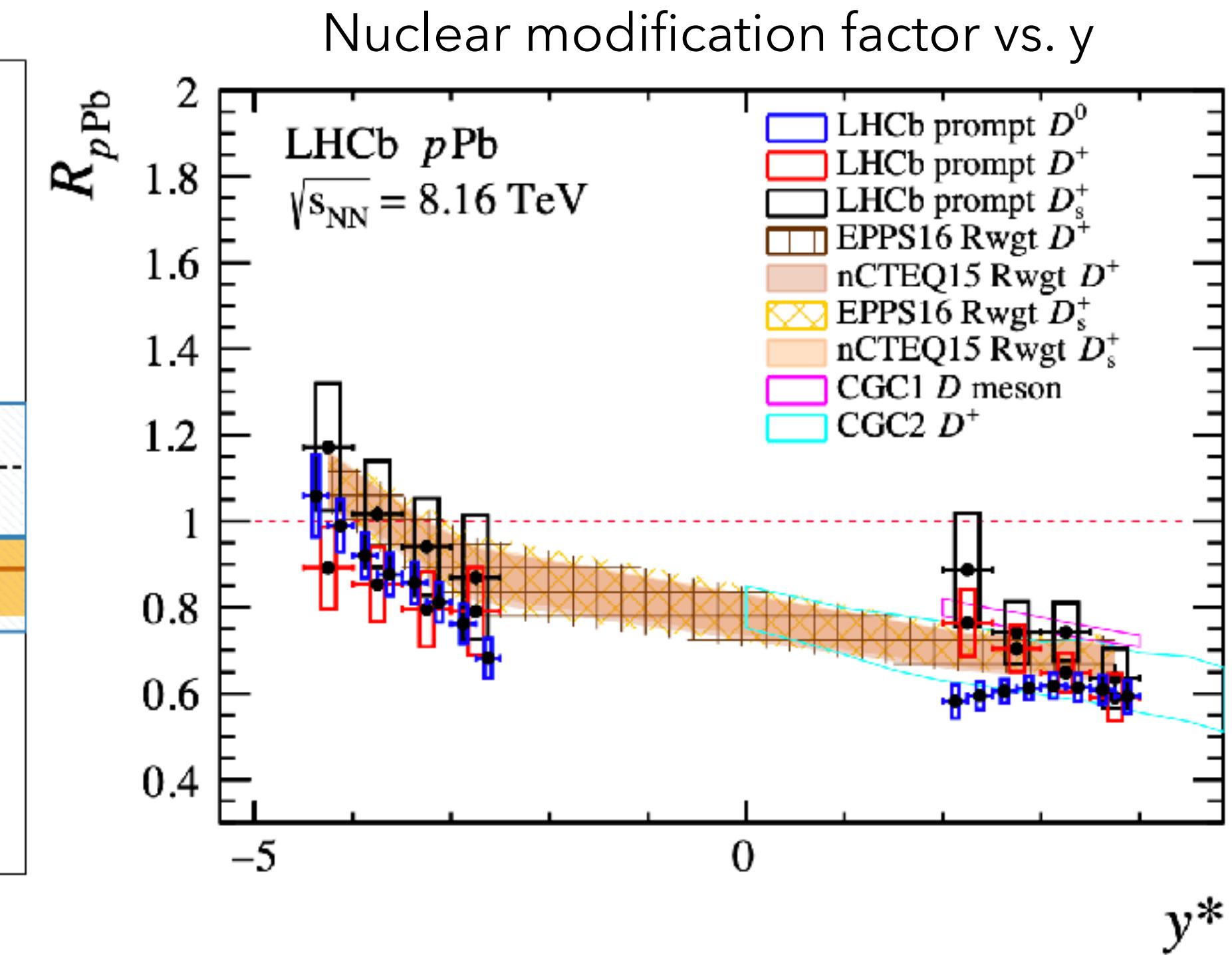
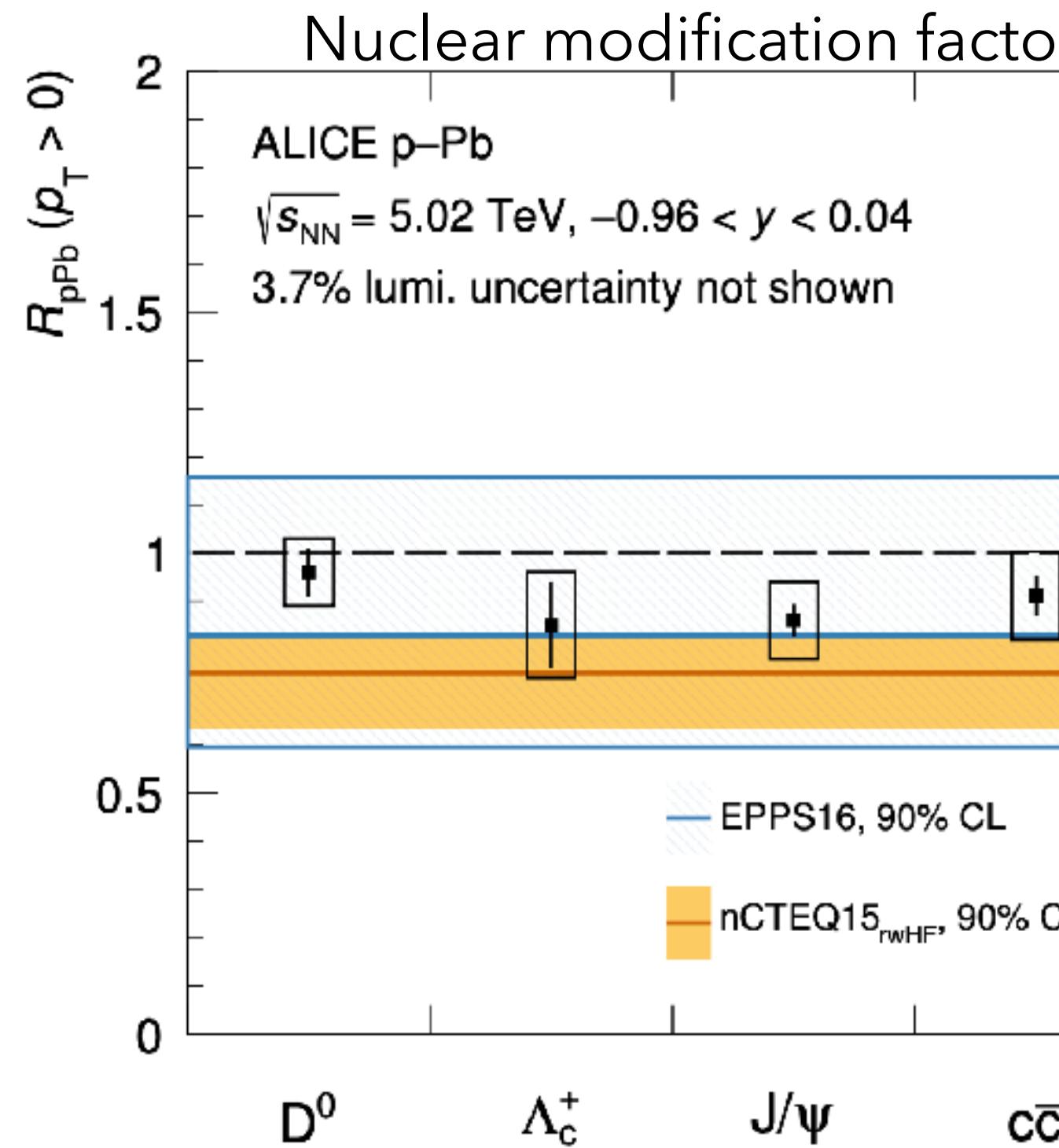
ALICE Preliminary  
ALICE, arXiv:2402.16417  
PYTHIA 8: JHEP 08 (2015) 003  
POWLANG: arXiv:2306.02152  
EPOS3: NPA 967 (2017) 672-675  
EPOS4: PRC 108 (2023) 034904







# Constraining the initial state with pPb data



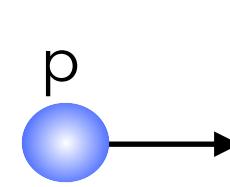
- $p_T$ -integrated quantities show a suppression at forward rapidity  
Effects consistent with model (CGC, nPDF) calculations
- $D^+$ ,  $D_s^+$   $y$  and  $p_T$  differential measurements present **deviations from nPDF** calculations
- Weaker anti-shadowing or final state effects at play?

ALICE, arXiv:2405.14571  
LHCb, arXiv:2311.08490

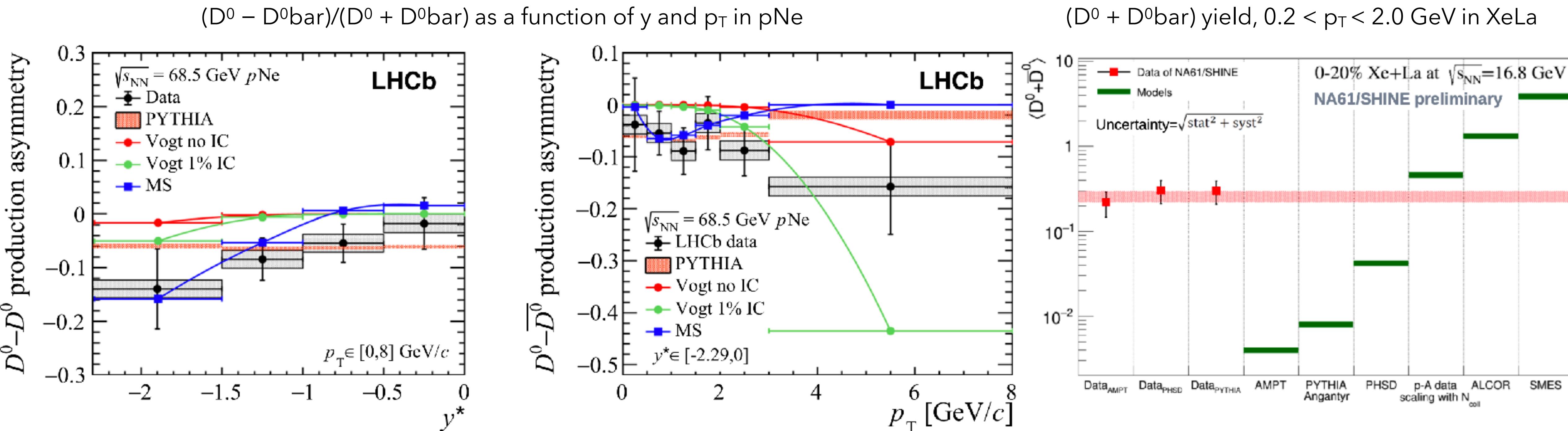
CGC1: Ducloué et al., PRD 91 (2015) 114005  
CGC2: Ma et al., PRD 98 (2018) 074025

HELAC-Onia: Shao et al., CPC 184 (2013) 2562  
EPPS16: Eskola et al., EPJC 77 (2017) 163  
nCTEQ15: Kovaric et al., PRD 93 (2016) 085037

Talk. J. Wang  
Talk. C. Landesa



# Constraining the initial state with pNe & XeLa data

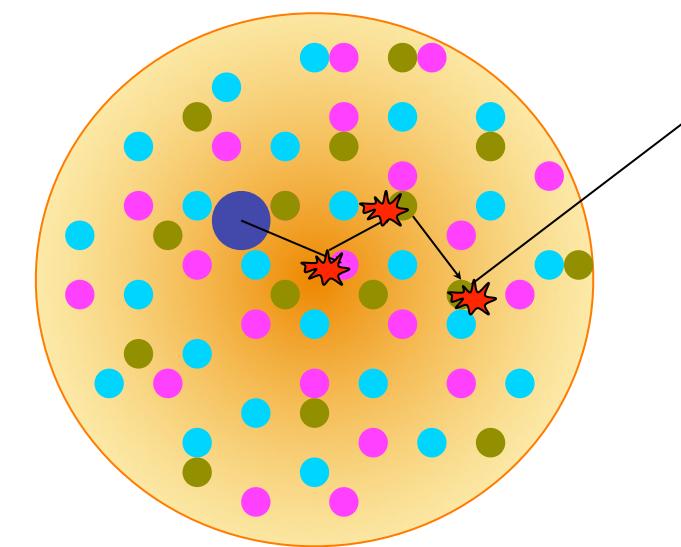


- D<sup>0</sup>–D<sup>0</sup>bar production asymmetry y and p<sub>T</sub> dependence in pNe data (LHCb) might hint at effects not included in PYTHIA. Contribution of intrinsic charm and/or recombination?
- Promising first measurements of D<sup>0</sup> mesons in XeLa data by NA61/SHINE

LHCb, EPJC83 (2023) 541  
Vogt, PRC103, 035204 (2021).  
Maciula, PLB835, 137530 (2022).

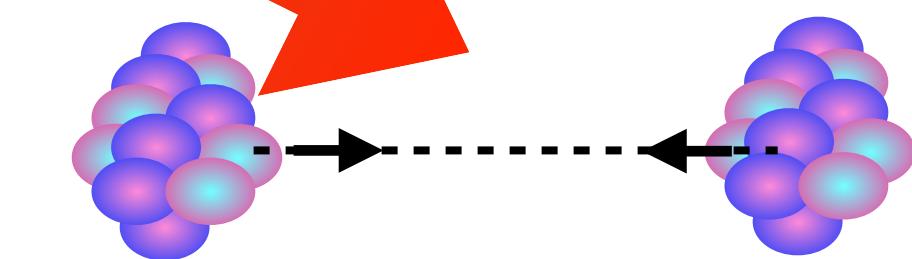
Talk. A. Merzlaya  
Talk. J. Wang  
Z. Conesa del Valle

# Energy loss



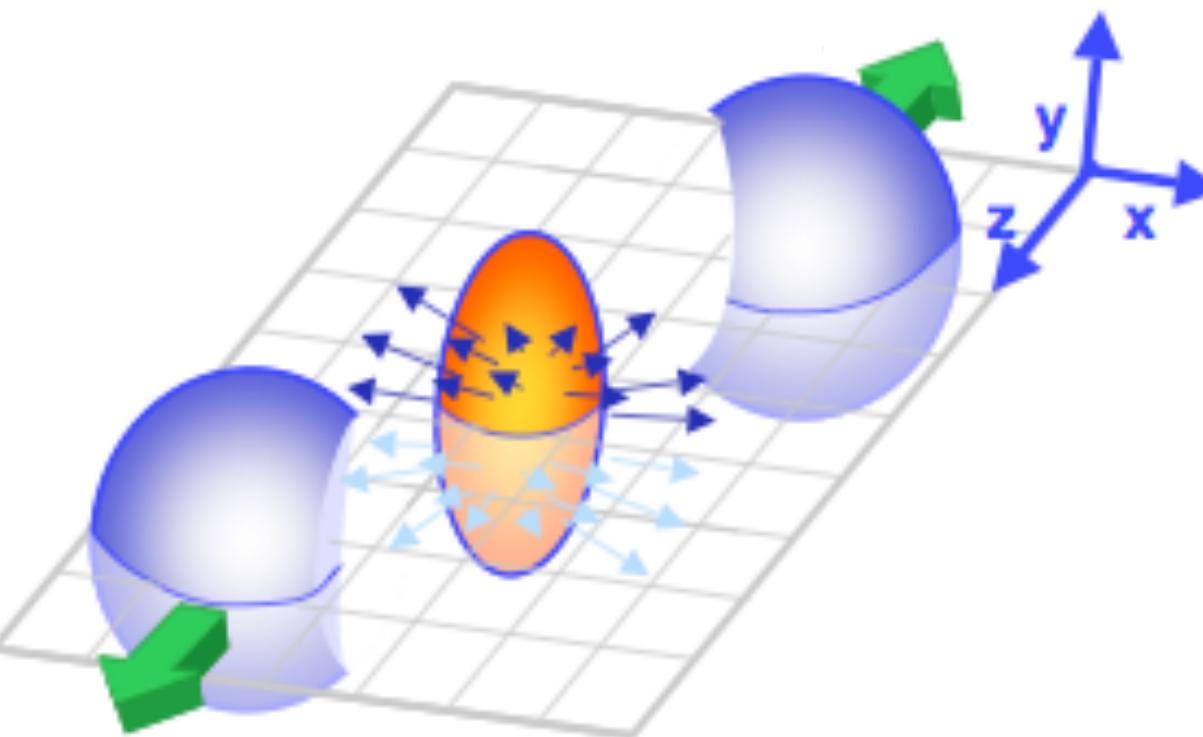
$$R_{AB}^{\text{probe,CC}} = \frac{dN_{AB}^{\text{probe,CC}}}{\langle N_{\text{coll}} \rangle^{\text{CC}} \cdot dN_{NN}^{\text{probe}}} \rightarrow \int b R_{AB}^{\text{probe}} = \frac{\sigma_{AB}^{\text{probe}}}{AB \cdot \sigma_{NN}^{\text{probe}}}$$

Energy loss:  $R_{AA}$   
Interaction of heavy quarks with the medium  
Colour charge and parton mass dependence.



Talk. Chowdhury  
Talk. W.J. Xing  
Talk. S. Chandra  
Talk. S. Floerchinger  
Talk. M. Zhang  
Talk. O. Lomicky

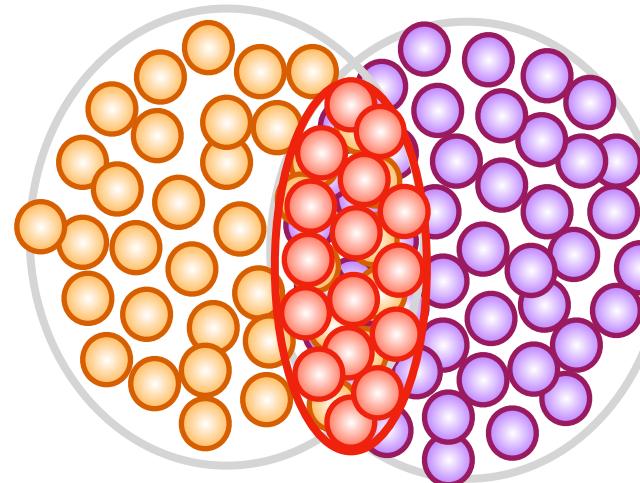
# and collectivity



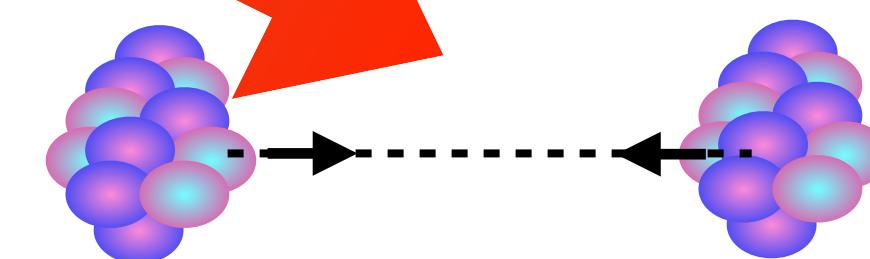
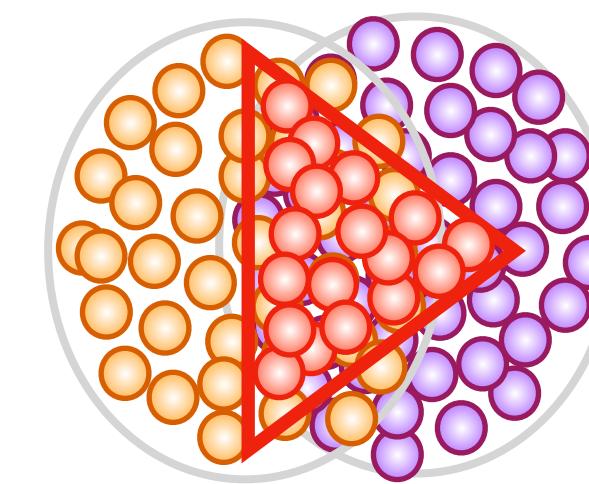
$$\frac{d^3 N}{d^3 \vec{p}} = \frac{1}{2\pi} \frac{d^2 N}{p_T dp_T dy} \left[ 1 + \sum_{n=1}^{\infty} 2v_n \cos(n(\phi - \Psi_R)) \right]$$

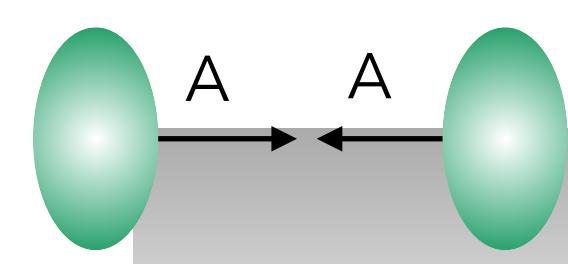
Talk. M. Zhang  
Talk. L. Bichon  
Talk. S. Chandra

Elliptic flow  $v_2$   
Initial spatial anisotropy  
and re-interactions

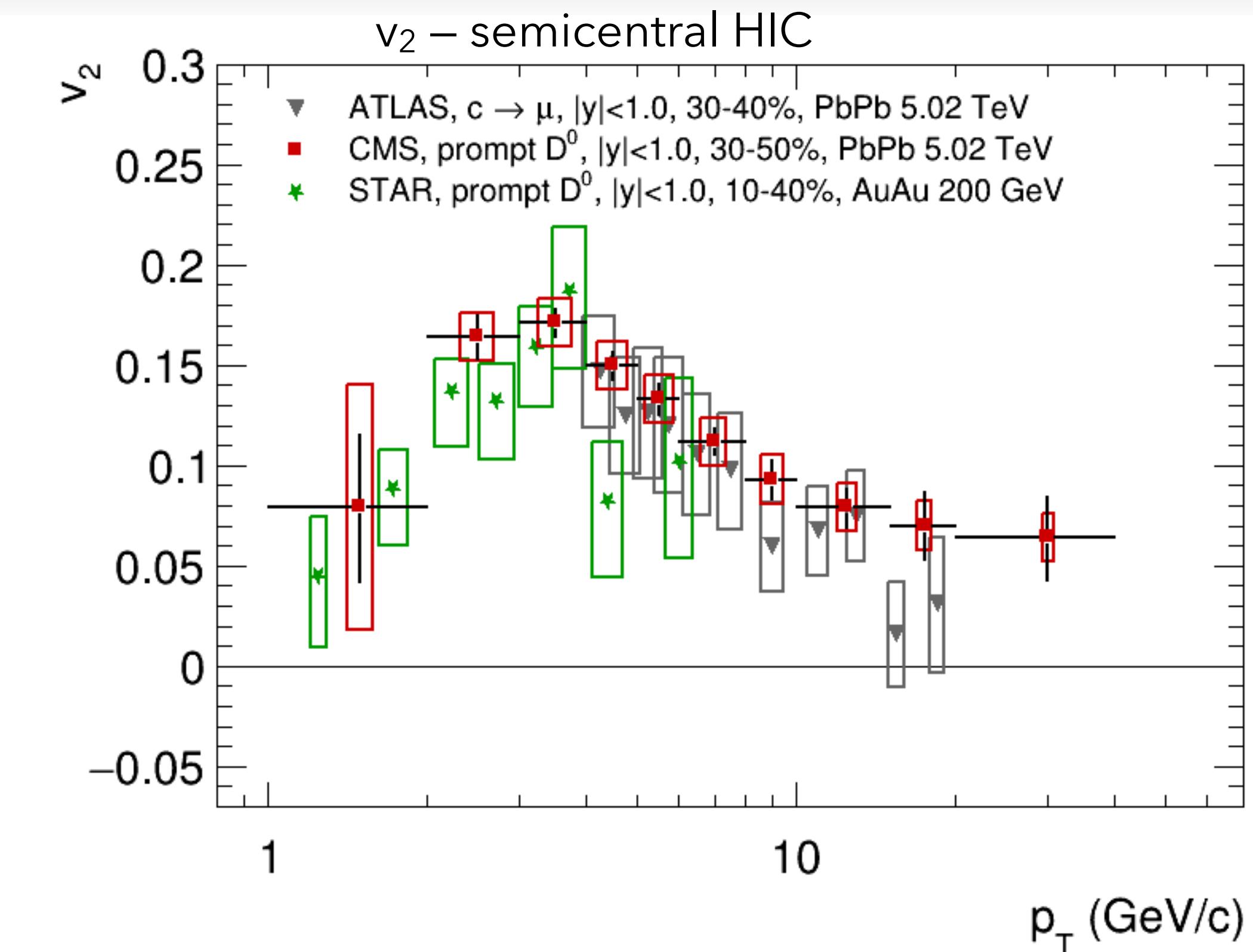
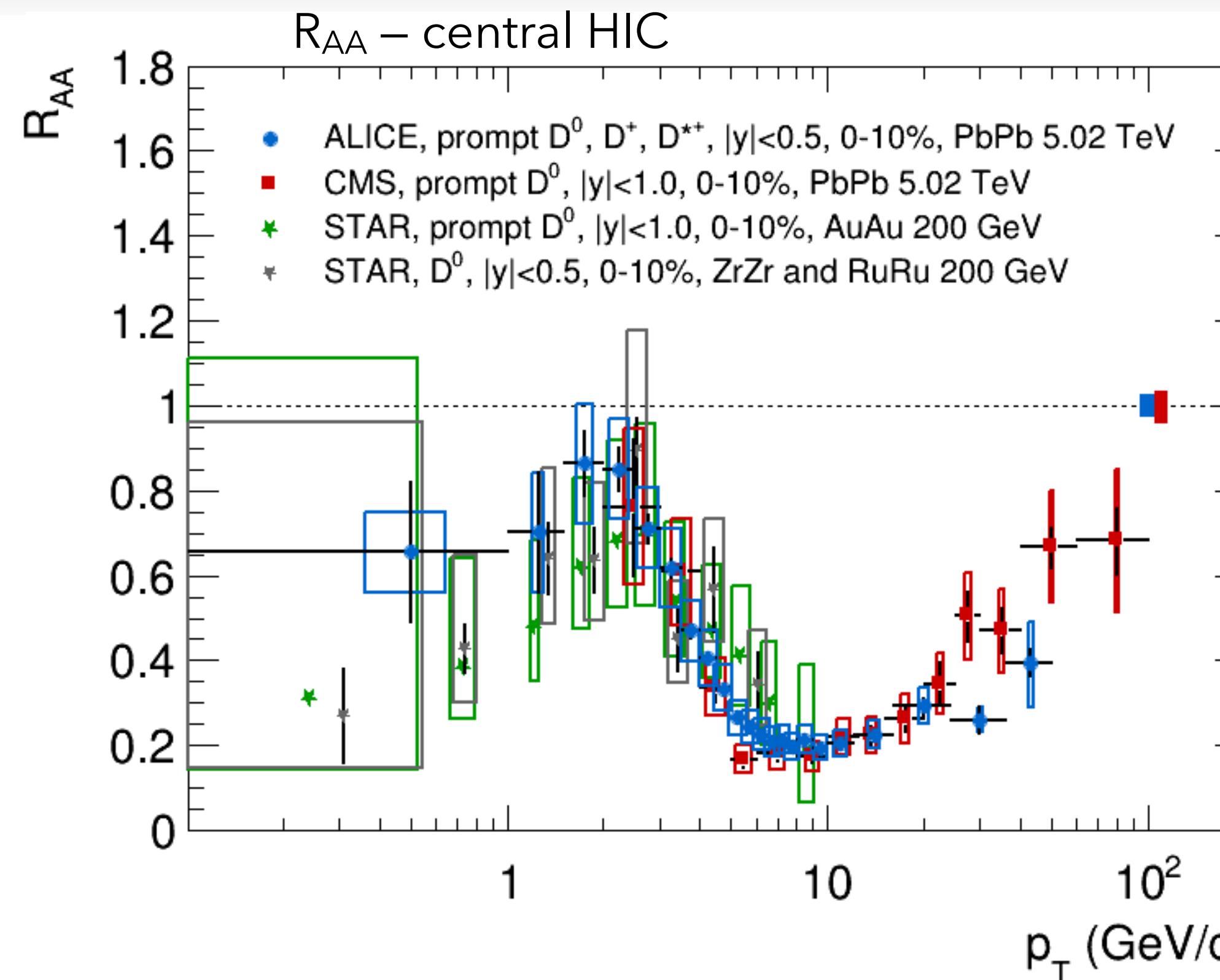


Triangular flow  $v_3$   
Fluctuations in the  
initial state





# Charm hadrons in medium

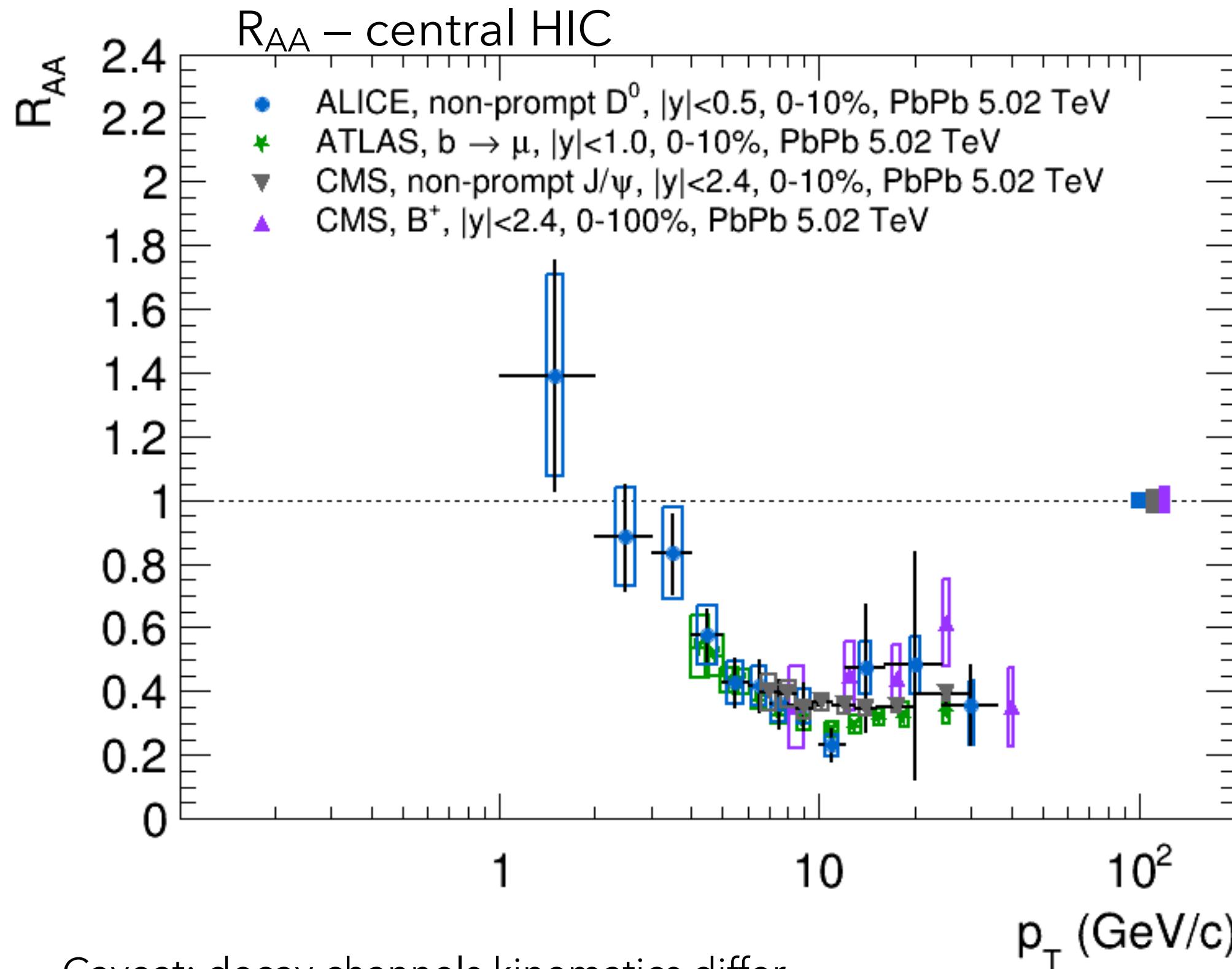


- Precise measurements of  $R_{AA}$  and  $v_2$  in a wide  $p_T$  interval
- Similar results at RHIC and at the LHC despite different kinematics
- **Significant energy loss** of charm in medium
- **Positive  $v_2$** : participation to the collective motion

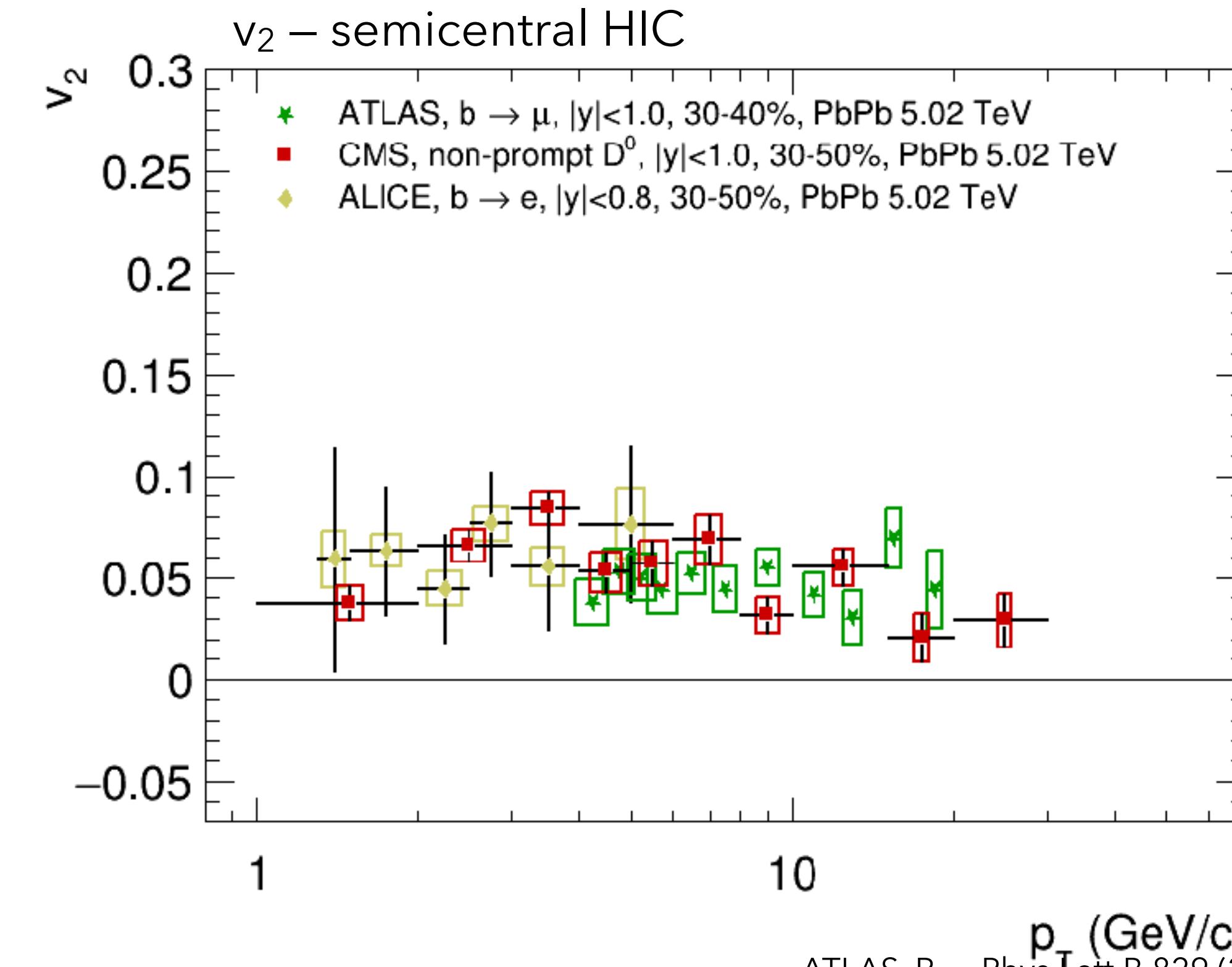
ALICE,  $R_{AA}$ , [JHEP 01 \(2022\) 174](#)  
CMS,  $R_{AA}$ , [PLB 782 \(2018\) 474](#)  
STAR,  $R_{AA}$ , [Phys.Rev.C 99 \(2019\) 3, 034908](#)  
STAR Preliminary  
ALICE,  $v_2$ , [PLB 813 \(2021\) 136054](#)  
CMS,  $v_2$ , [PRL 120 \(2018\) 202301](#)  
ATLAS,  $v_2$ , [Phys.Lett.B 807 \(2020\) 135595](#)  
STAR,  $v_2$ , [PRL 118 \(2017\) 21](#)



# Beauty hadrons in medium



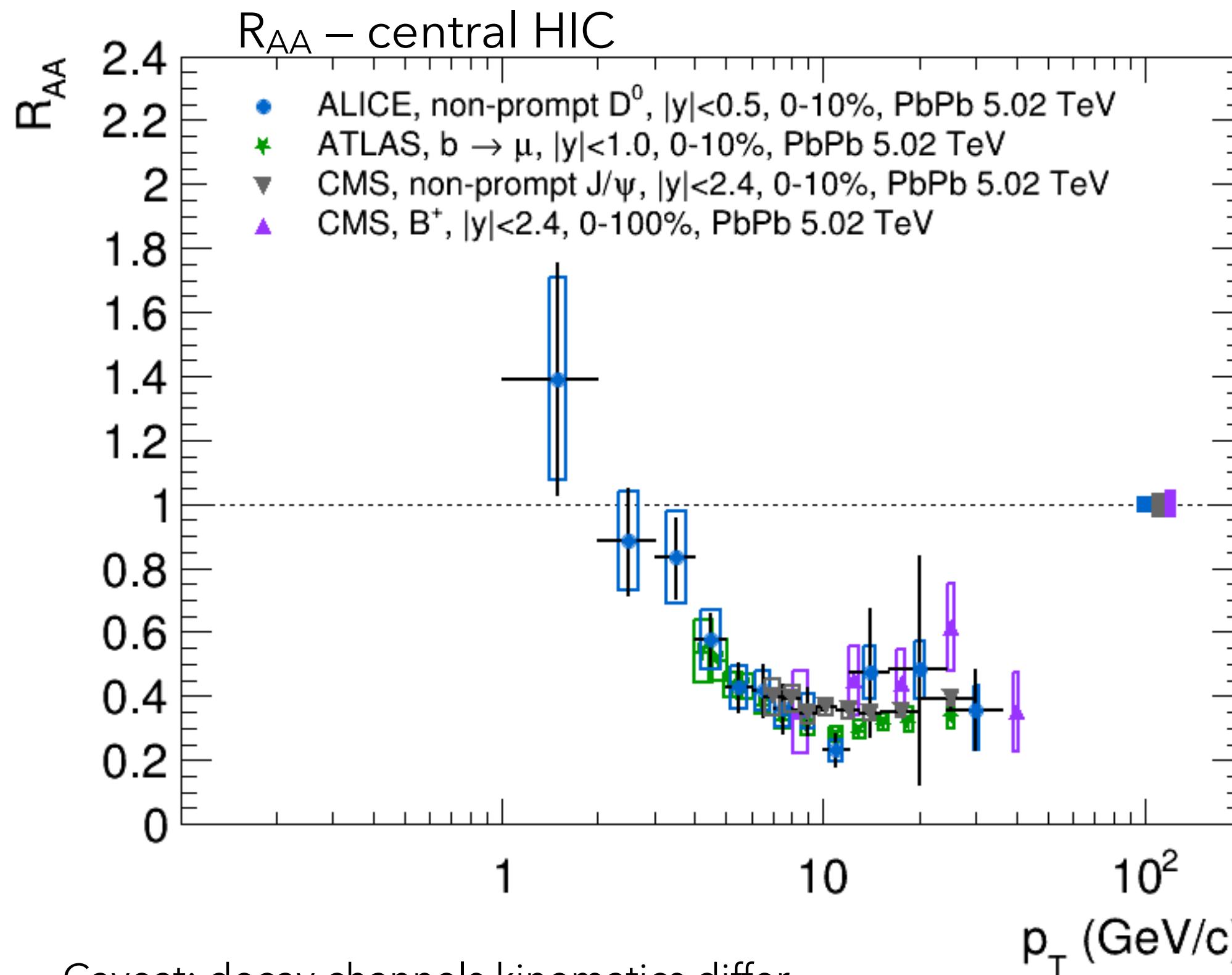
Caveat: decay channels kinematics differ



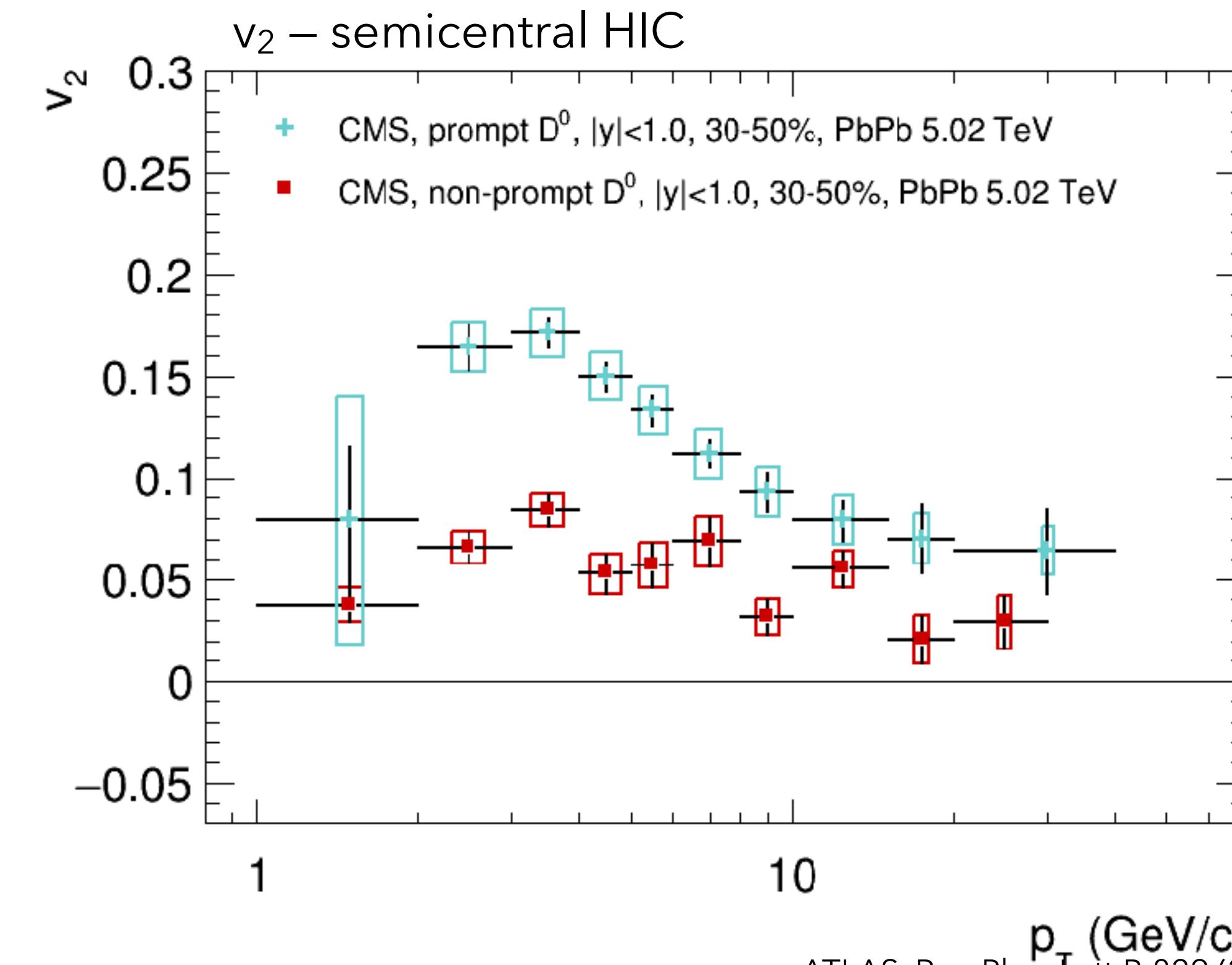
- Plenty of decay channels being investigated, increasing reach and precision
- Significant energy loss** of beauty in medium
- Positive v<sub>2</sub>** for p<sub>T</sub> > 2-3 GeV, **lower values for beauty than for charm hadrons**

ATLAS, R<sub>AA</sub>, [Phys.Lett.B 829 \(2022\) 137077](#)  
 ALICE, R<sub>AA</sub>, non-prompt D<sup>0</sup>, [JHEP 12 \(2022\) 126](#)  
 ALICE, R<sub>AA</sub>, b to e, [arXiv: 2211.13985](#)  
 CMS, R<sub>AA</sub>, B+, [PRL 119 \(2017\) 15, 152301](#)  
 CMS, R<sub>AA</sub>, non-prompt D<sup>0</sup>, [PRL 123 \(2019\) 022001](#)  
 ATLAS, v<sub>2</sub>, b to mu, [Phys.Lett.B 807 \(2020\) 135595](#)  
 ALICE, non-prompt D v<sub>2</sub>, [EPJ.C 83 \(2023\) 1123](#)  
 ALICE, v<sub>2</sub>, b to e, [Phys.Rev.Lett. 126 \(2021\) 16, 16200](#)  
 CMS, v<sub>2</sub>, non-prompt D<sup>0</sup>, [arXiv: 2212.01636](#)

# Beauty hadrons in medium

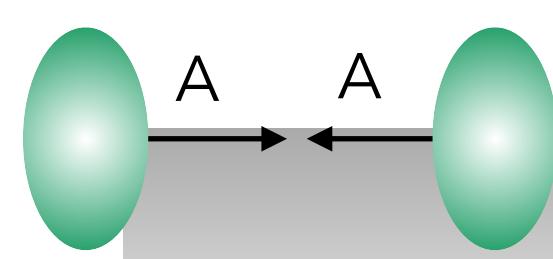


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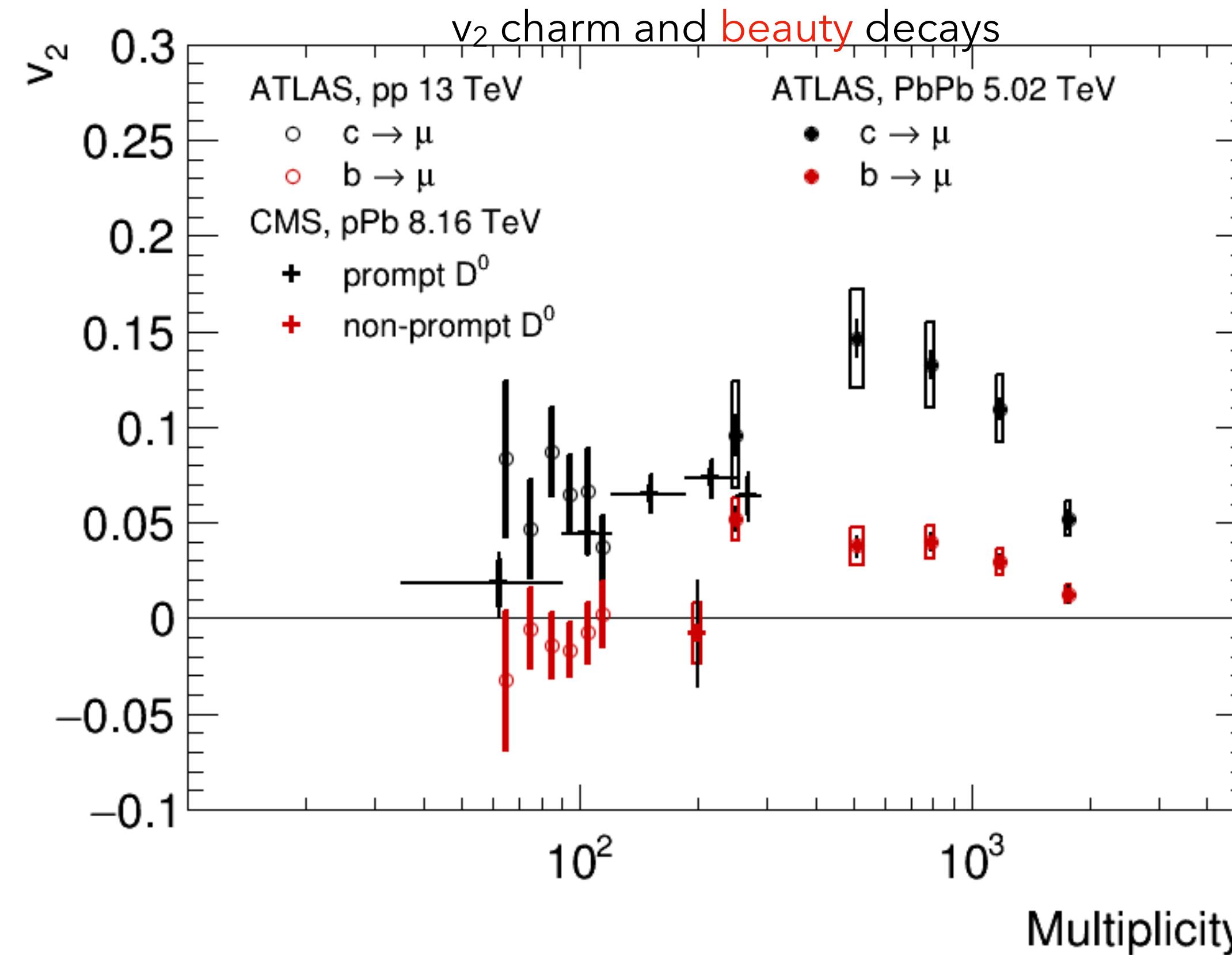


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ATLAS, R<sub>AA</sub>, [Phys.Lett.B 829 \(2022\) 137077](#)  
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 CMS, R<sub>AA</sub>, B+, [PRL 119 \(2017\) 15, 152301](#)  
 CMS, R<sub>AA</sub>, non-prompt D<sup>0</sup>, [PRL 123 \(2019\) 022001](#)  
 ATLAS, v<sub>2</sub>, b to mu, [Phys.Lett.B 807 \(2020\) 135595](#)  
 ALICE, non-prompt D v<sub>2</sub>, [EPJ.C 83 \(2023\) 1123](#)  
 ALICE, v<sub>2</sub>, b to e, [Phys.Rev.Lett. 126 \(2021\) 16, 162000](#)  
 CMS, v<sub>2</sub>, non-prompt D<sup>0</sup>, [arXiv: 2212.01636](#)



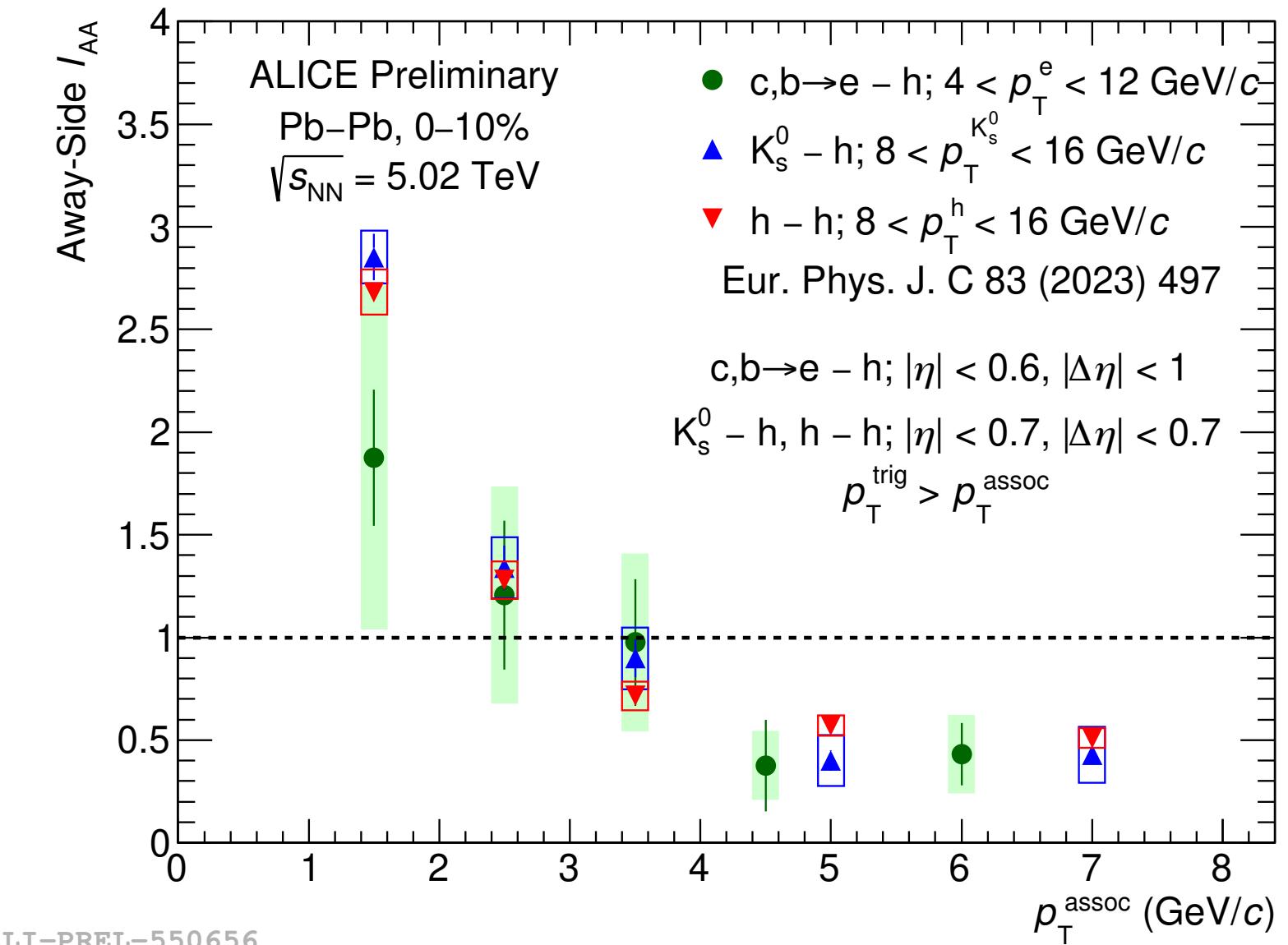
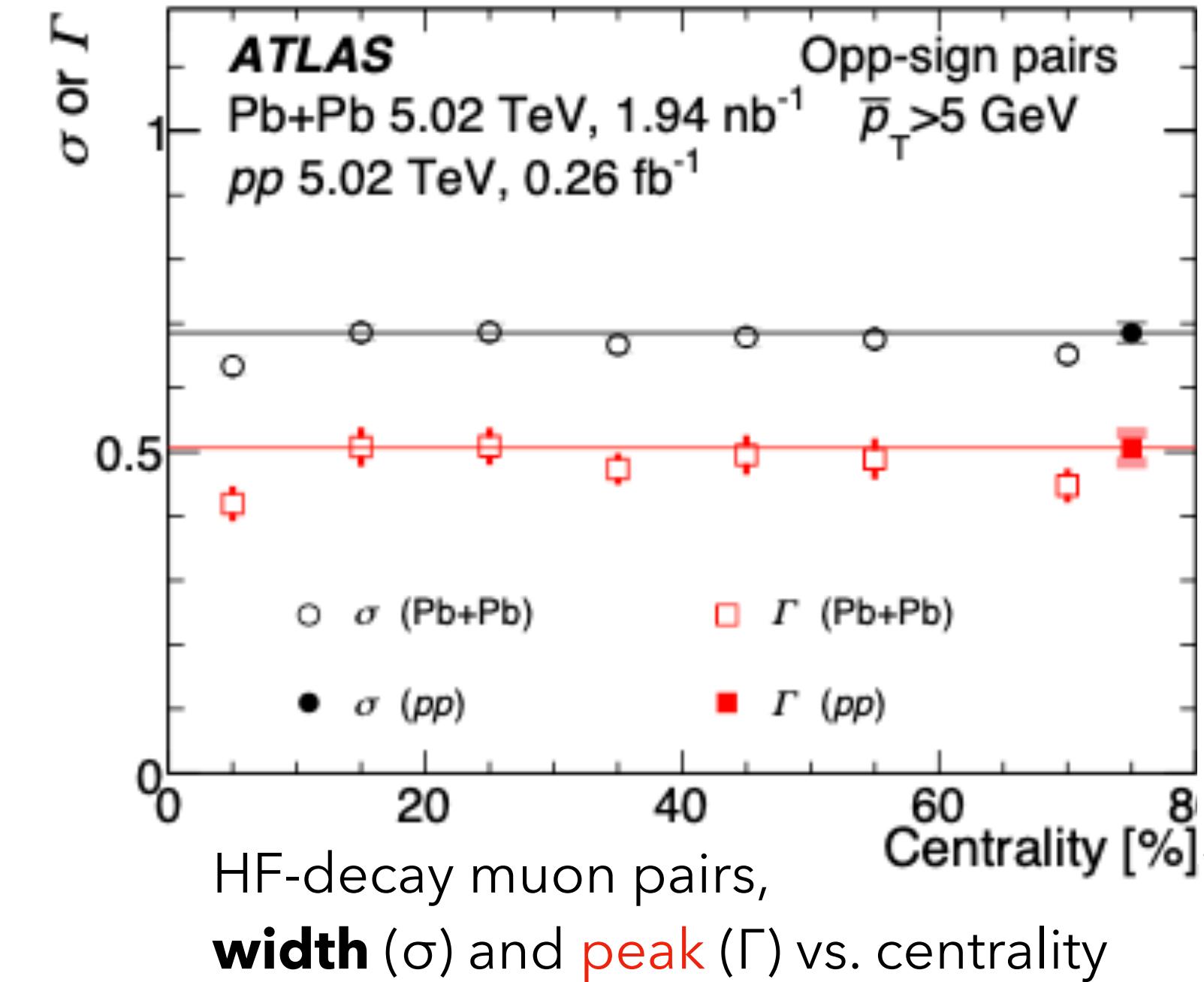
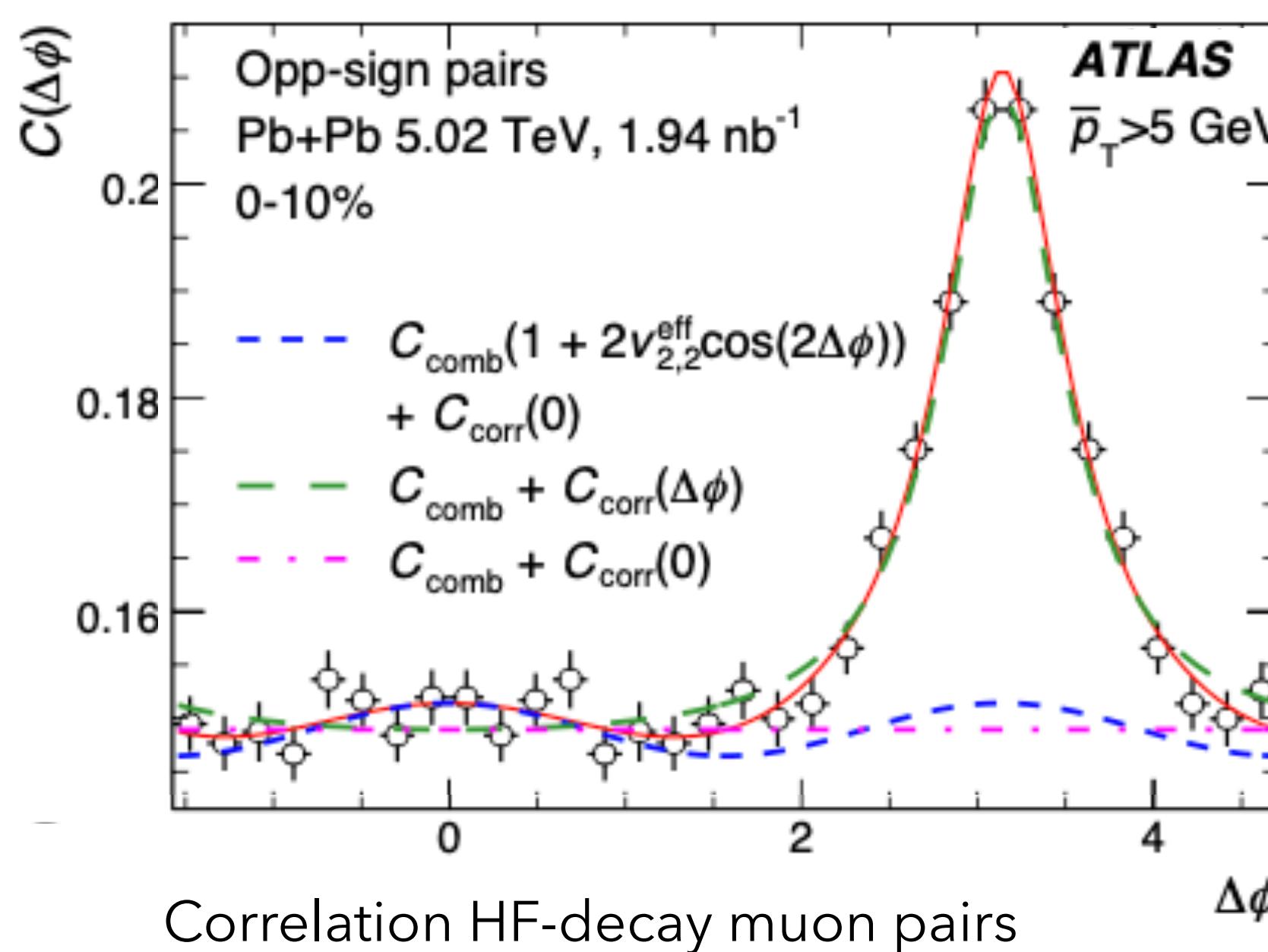
# Zooming on $v_2$ across system size



STAR, [PLB 844 \(2023\) 138071](#)  
ATLAS, pp, [PRL 124 \(2020\) 082301](#)  
ATLAS, PbPb, [PLB 807 \(2020\) 135595](#)  
CMS, pPb, prompt  $D^0$ , [PRL 121 \(2018\) 8, 082301](#)  
CMS, pPb, non-prompt  $D^0$ , [PRL 813 \(2021\) 136036](#)  
ALICE, pPb, [JHEP 2019 \(2019\) 92](#)  
LHCb,  $D^0$ , [arXiv:2205.03936](#)

- Heavy flavour  $v_2$  follows a smooth evolution with charged-particle multiplicity
  - non-zero values for charm in pp and pPb collisions
  - important role of initial state effects and/or influence of final state effects?
- Crucial to quantify beauty  $v_2$  in small systems

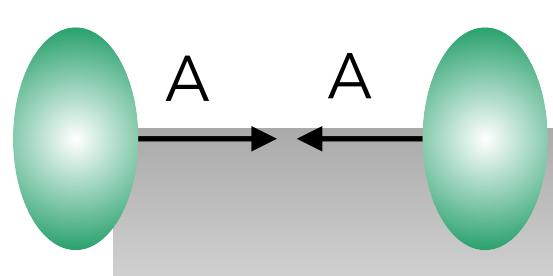
# Study of jet-quenching via angular correlations



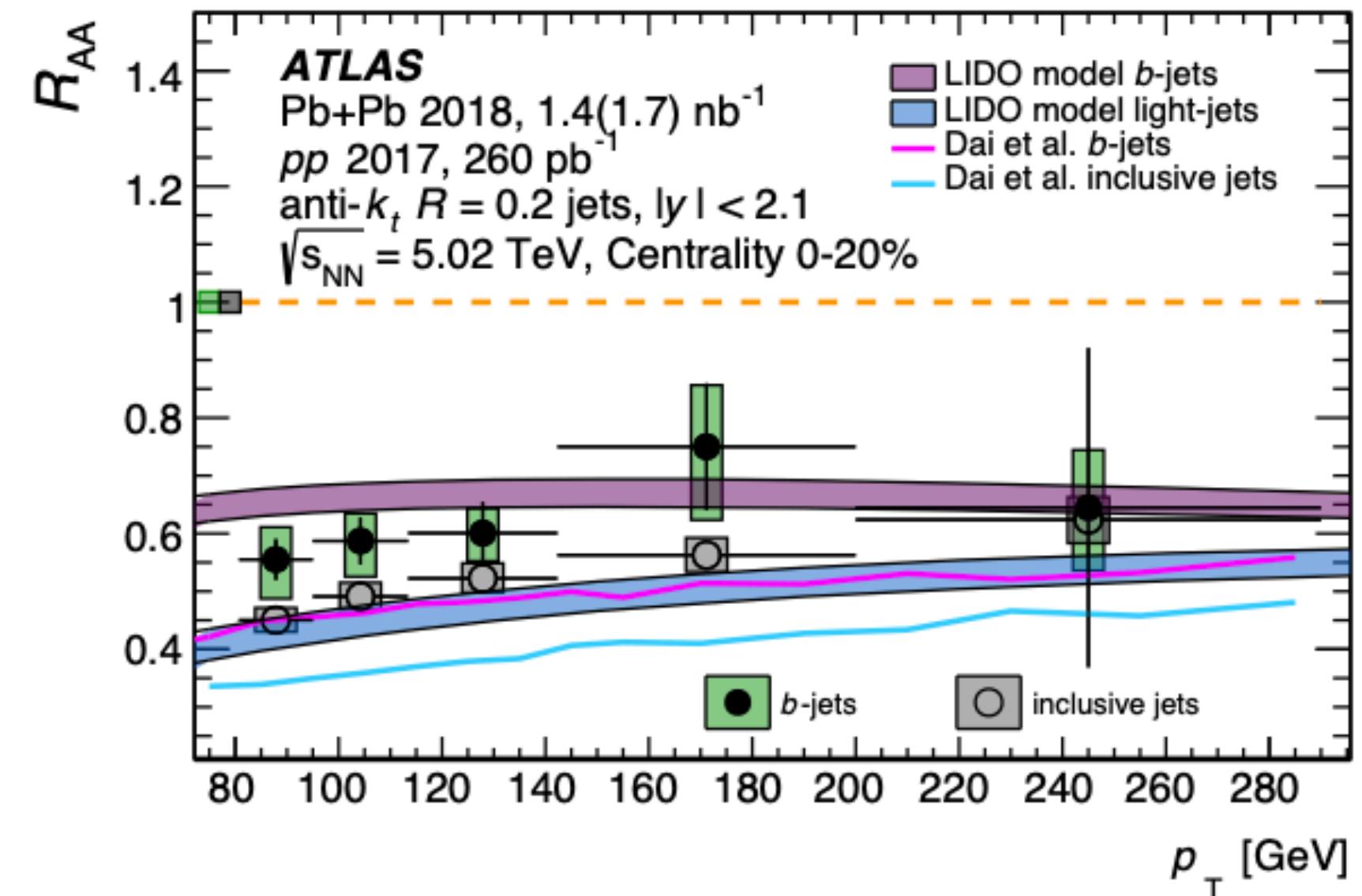
- Heavy-flavour angular correlations at low  $p_T$  are expected to be sensitive to the relative importance of collisional and radiative scatterings
- No significant modification (broadening) of the away-side peak width** vs. centrality, apart from a narrowing in the 0-10% interval ( $2\sigma$ )
- Suppression of the associated-particle yield at high  $p_T$  suggestive of jet quenching

ALICE Preliminary  
ATLAS, [PRL 132 \(2024\) 202301](#)  
M. Nahrgang et al., [PRC 90 \(2014\) 024907](#)

Poster J. Wright



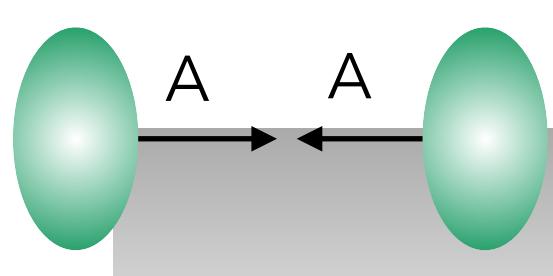
# Moving to higher $p_T$ ? b-jets in medium



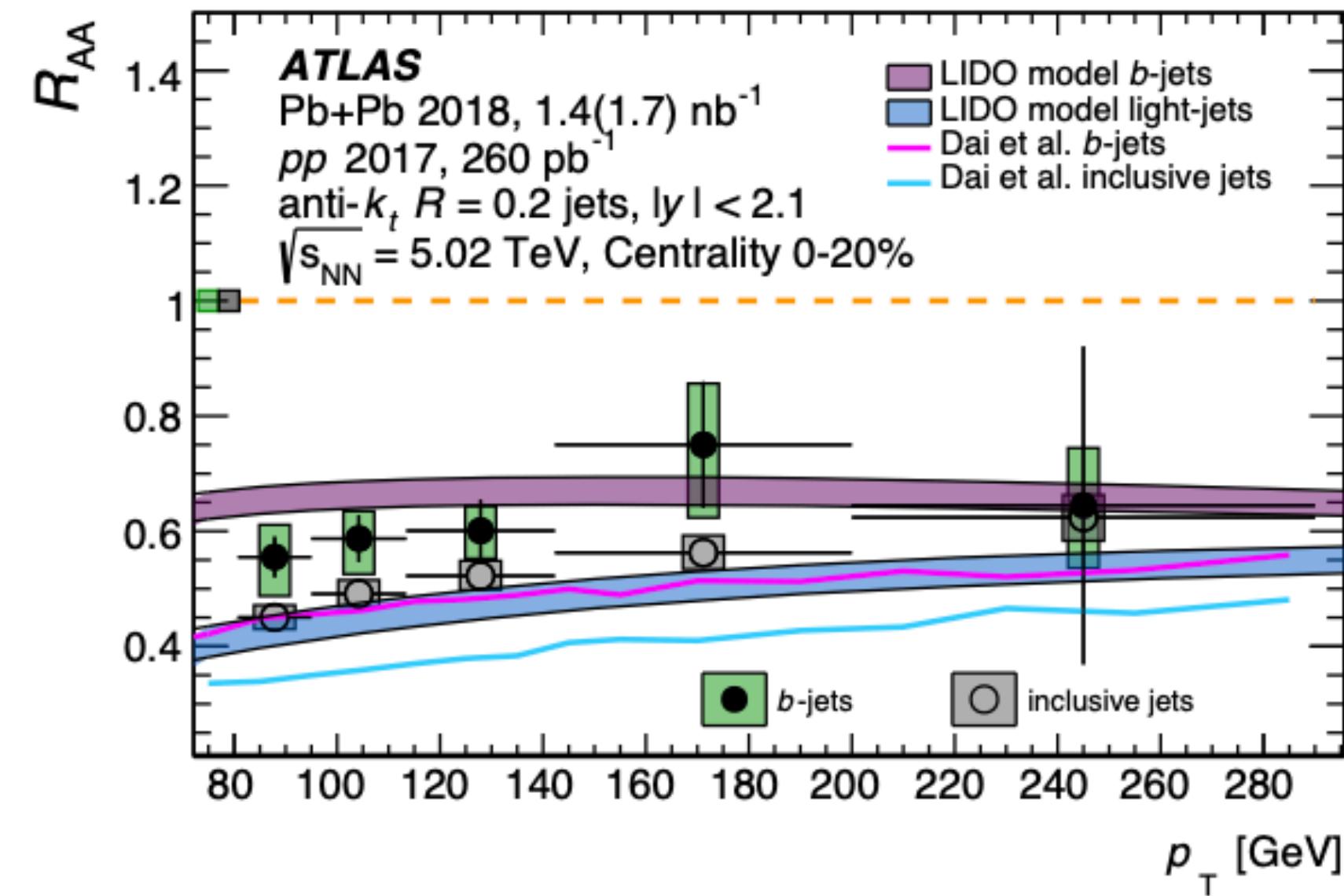
$R_{\text{AA}}$  central collisions

ATLAS, EPJC (2023) 83:438  
CMS, PLB 844 (2023) 137849

LIDO: W. Ke et al, Phys. Rev. C 98, 064901 (2018)  
Phys. Rev. C 100, 064911 (2019),  
Dai et al: Chinese Phys. C 2020, 44:104105



# Moving to higher $p_T$ ? b-jets in medium



$R_{AA}$  central collisions

- Suggest  $R_{AA}$  values for **b-jets higher than for inclusive jets** in central collisions

ATLAS, EPJC (2023) 83:438

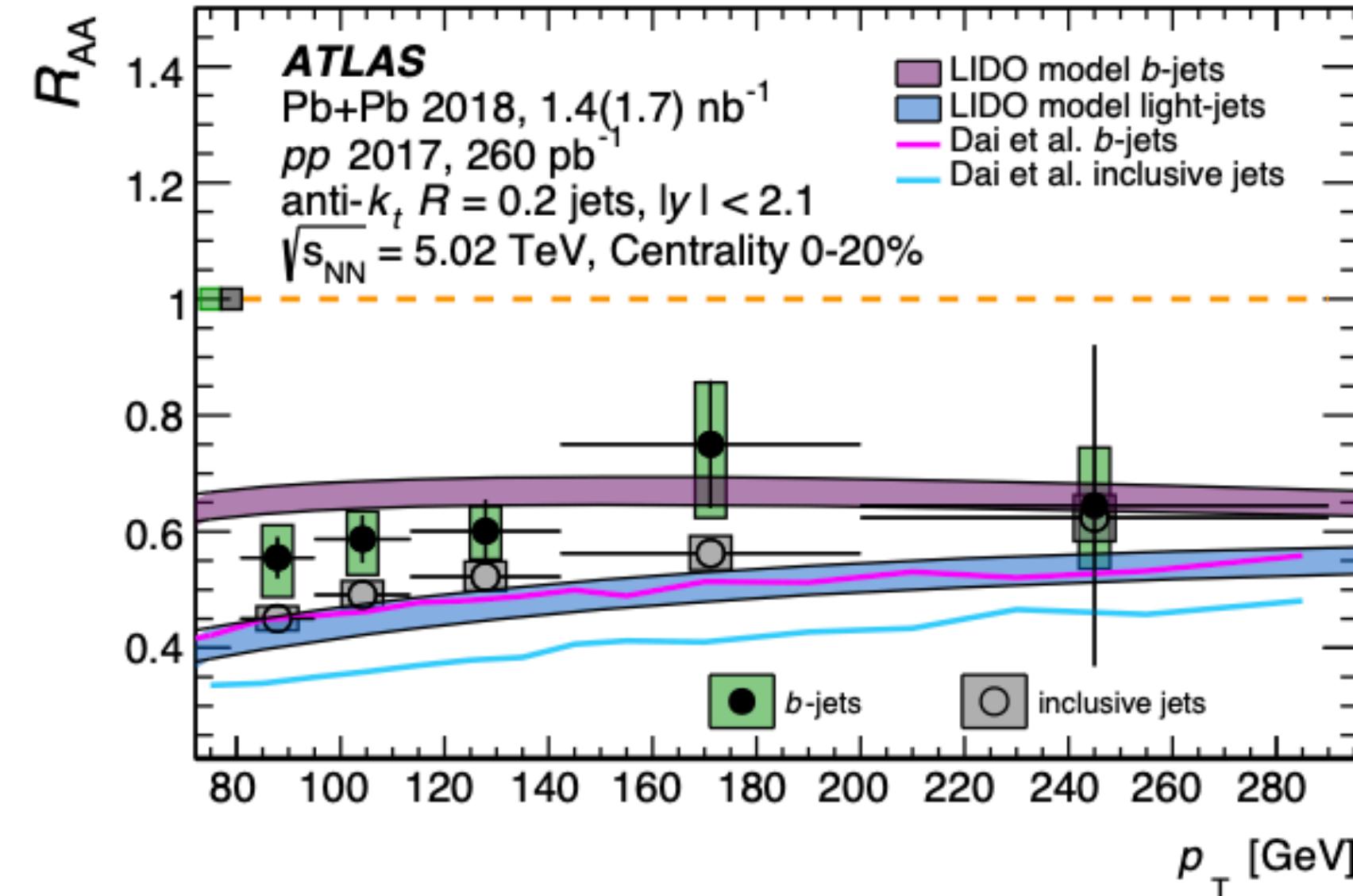
CMS, PLB 844 (2023) 137849

LIDO: W. Ke et al, Phys. Rev. C 98, 064901 (2018)

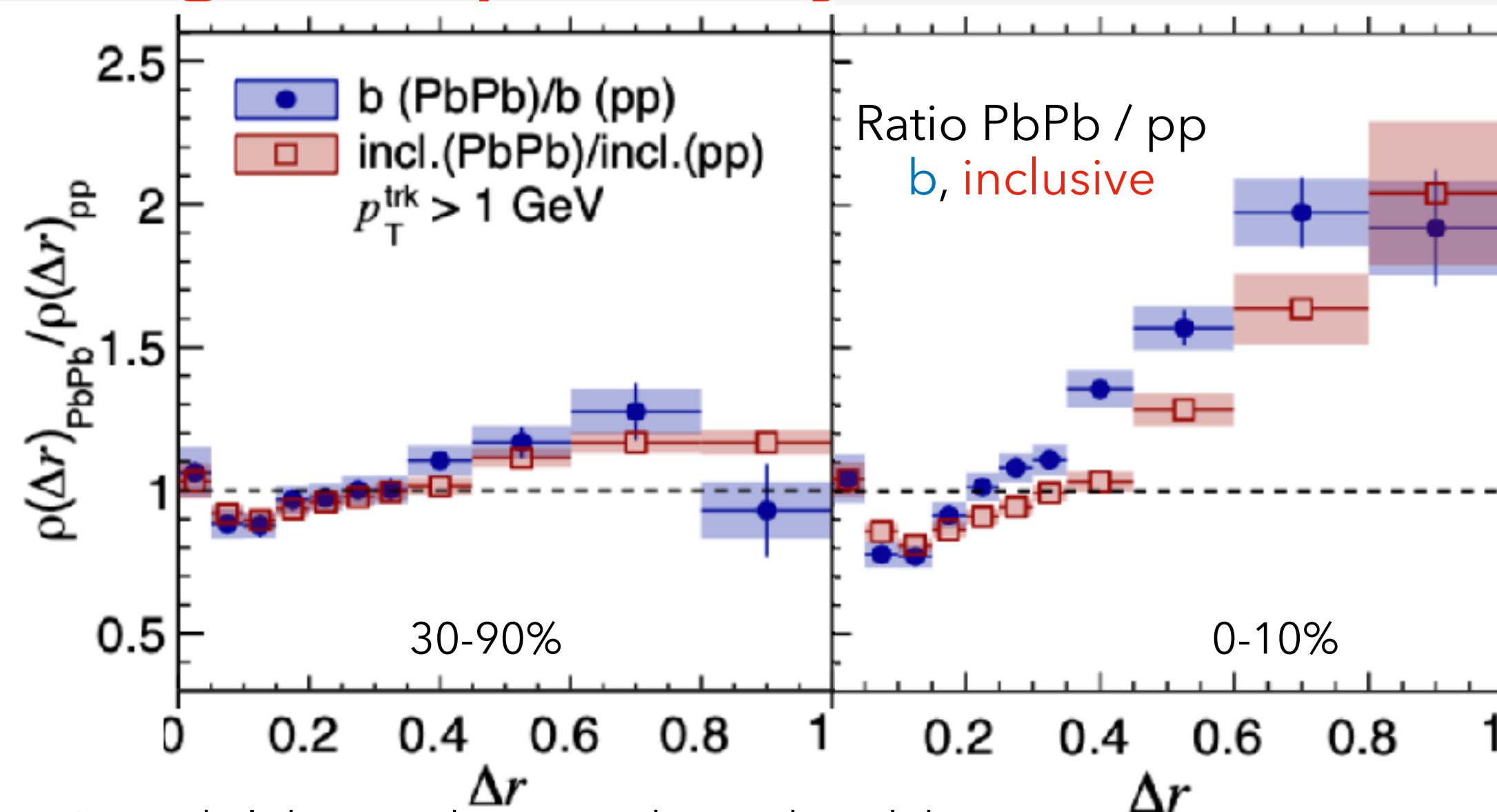
Phys. Rev. C 100, 064911 (2019),

Dai et al: Chinese Phys. C 2020, 44:104105

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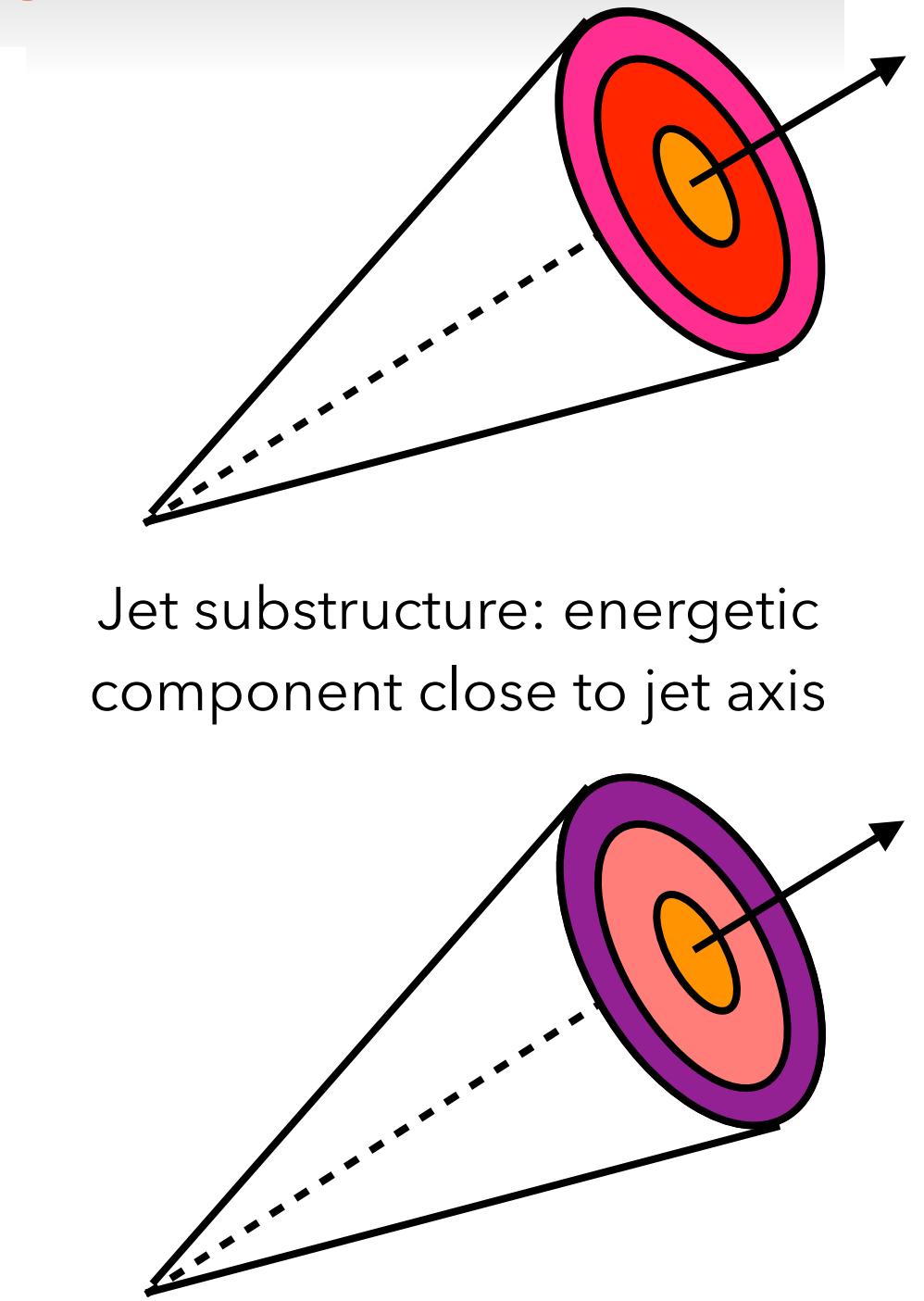


$R_{\text{AA}}$  central collisions



$\Delta r$ : radial distance between the track and the jet axis  
 $\rho$ : normalised profile of charged particles in jets  
 Jet-track correlation  $p_T$  jet > 120 GeV,  $p_T$  track > 1 GeV

- Suggest  $R_{\text{AA}}$  values for **b-jets higher than for inclusive jets** in central collisions
- Energetic core (close to jet axis) stays intact, intermediate part is reduced, and enhancement of the activity on the surface/edges and far away from the jet.



ATLAS, EPJC (2023) 83:438

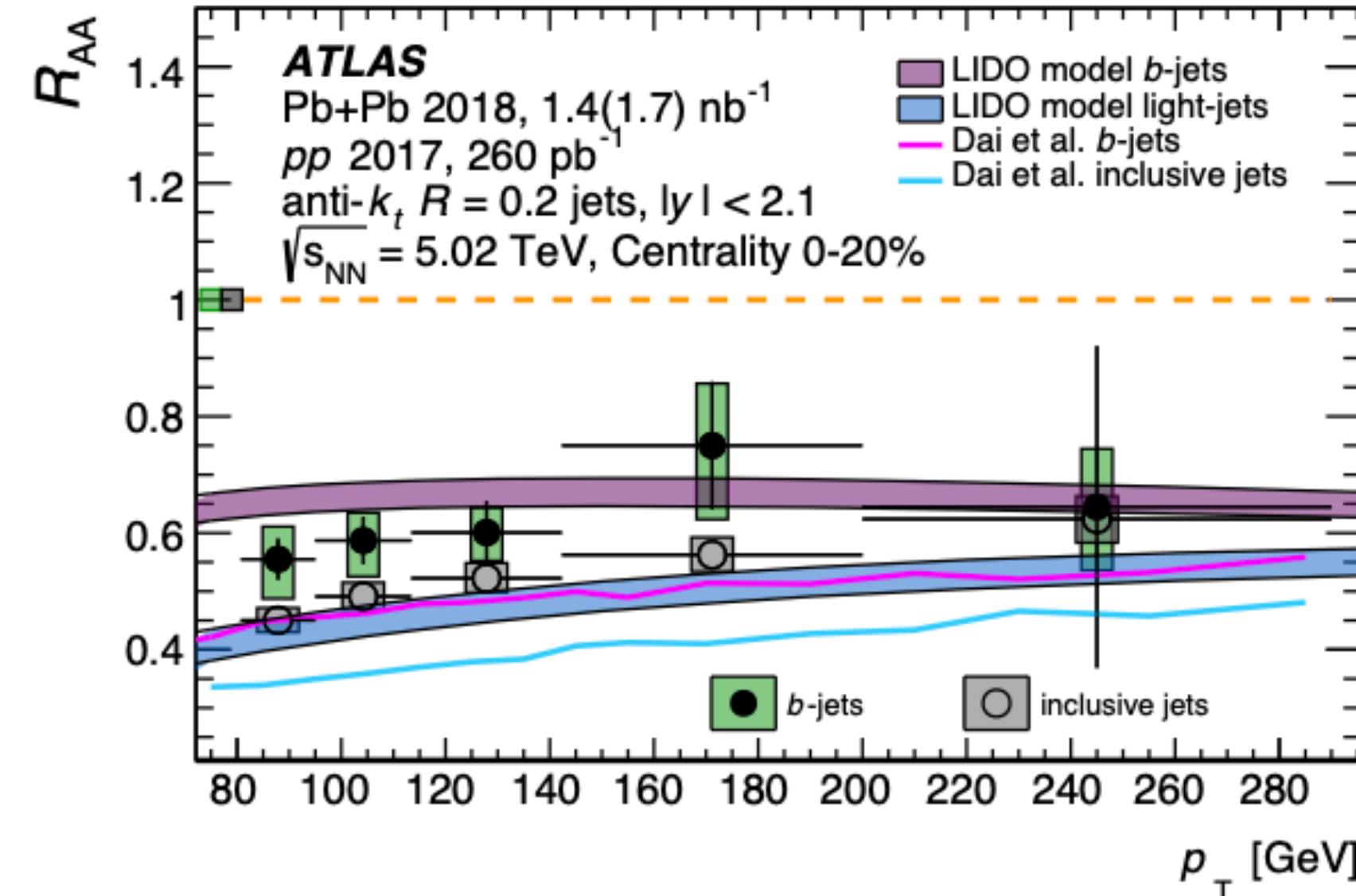
CMS, PLB 844 (2023) 137849

LIDO: W. Ke et al, Phys. Rev. C 98, 064901 (2018)

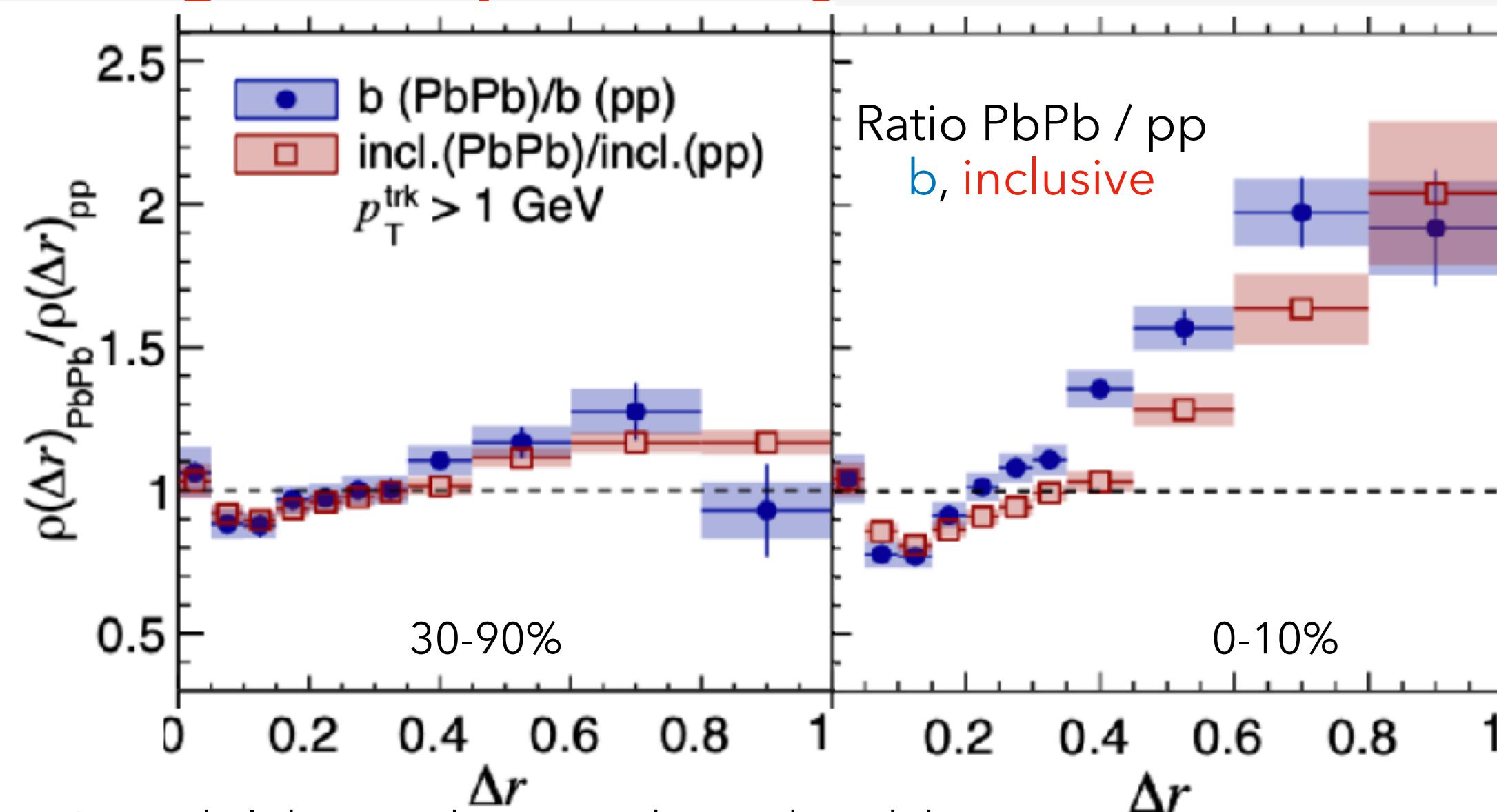
Phys. Rev. C 100, 064911 (2019),

Dai et al: Chinese. Phys. C 2020, 44:104105

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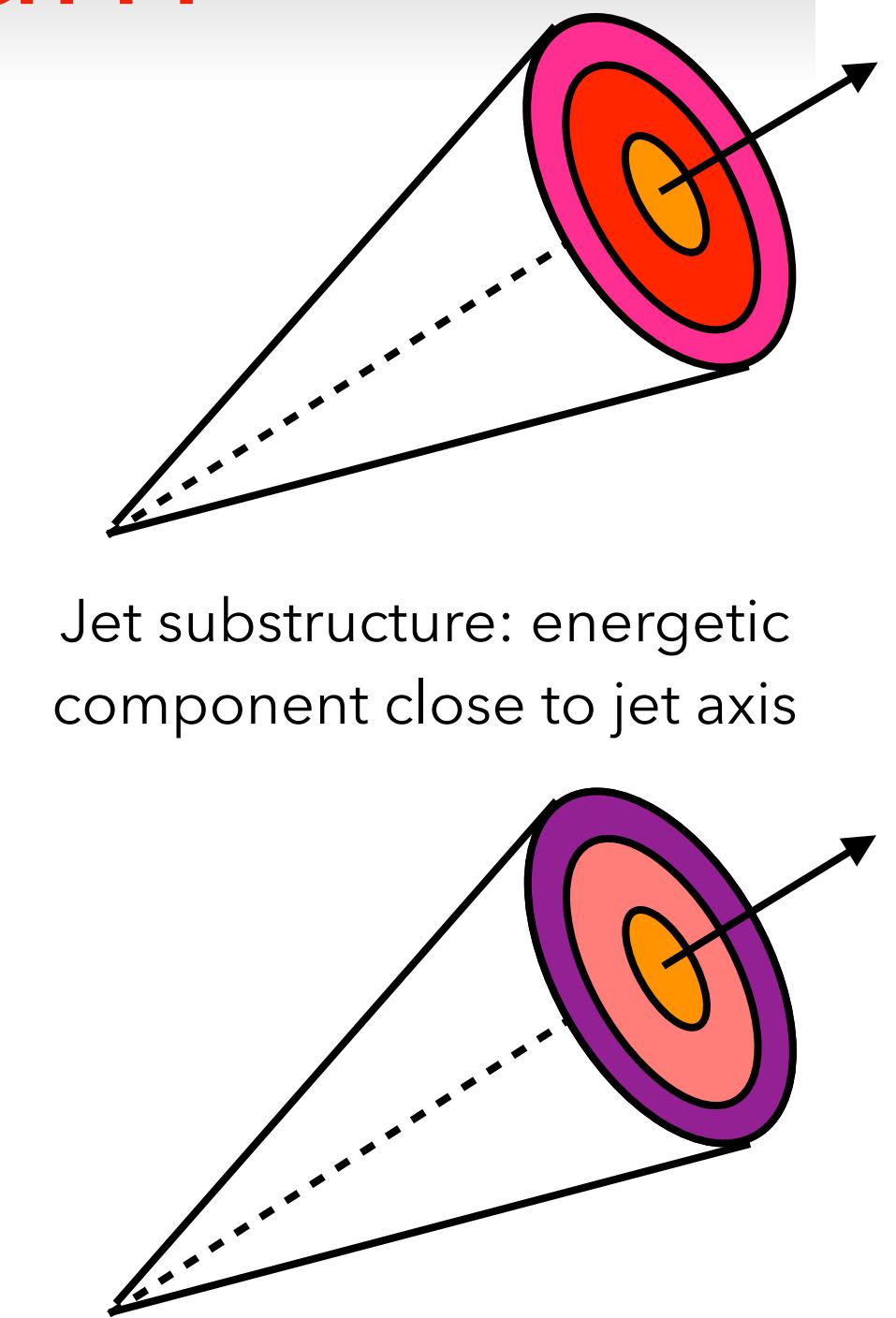


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- Energetic core (close to jet axis) stays intact, intermediate part is reduced, and enhancement of the activity on the surface/edges and far away from the jet.
- The **modification is more pronounced for b-jets** than for inclusive jets, and is already present in pp.
- Possible influence of b-jet fragmentation, mass effect and/or color (b/g) charge of parton energy loss?



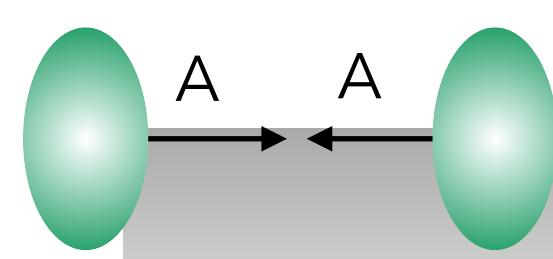
ATLAS, EPJC (2023) 83:438

CMS, PLB 844 (2023) 137849

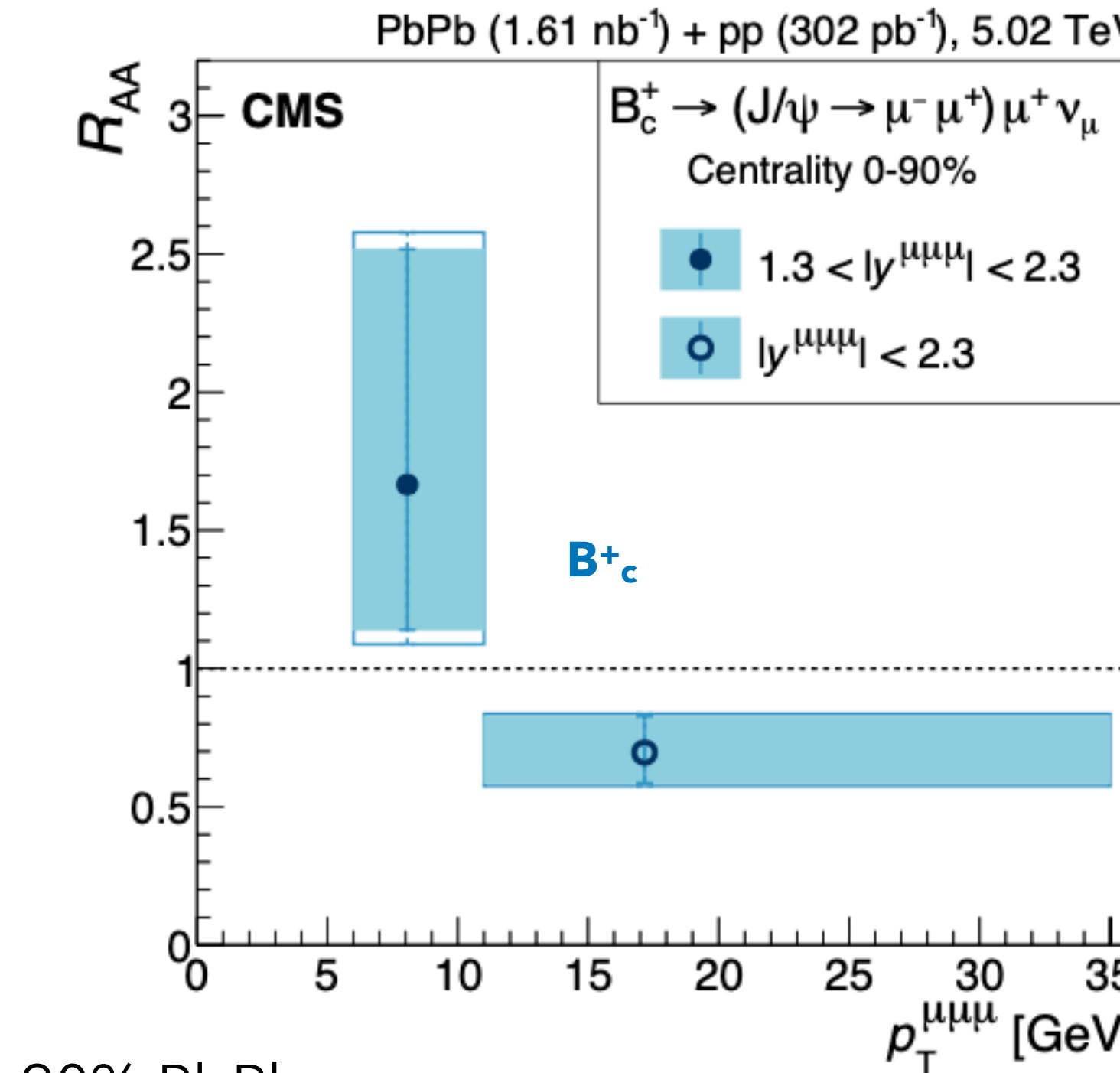
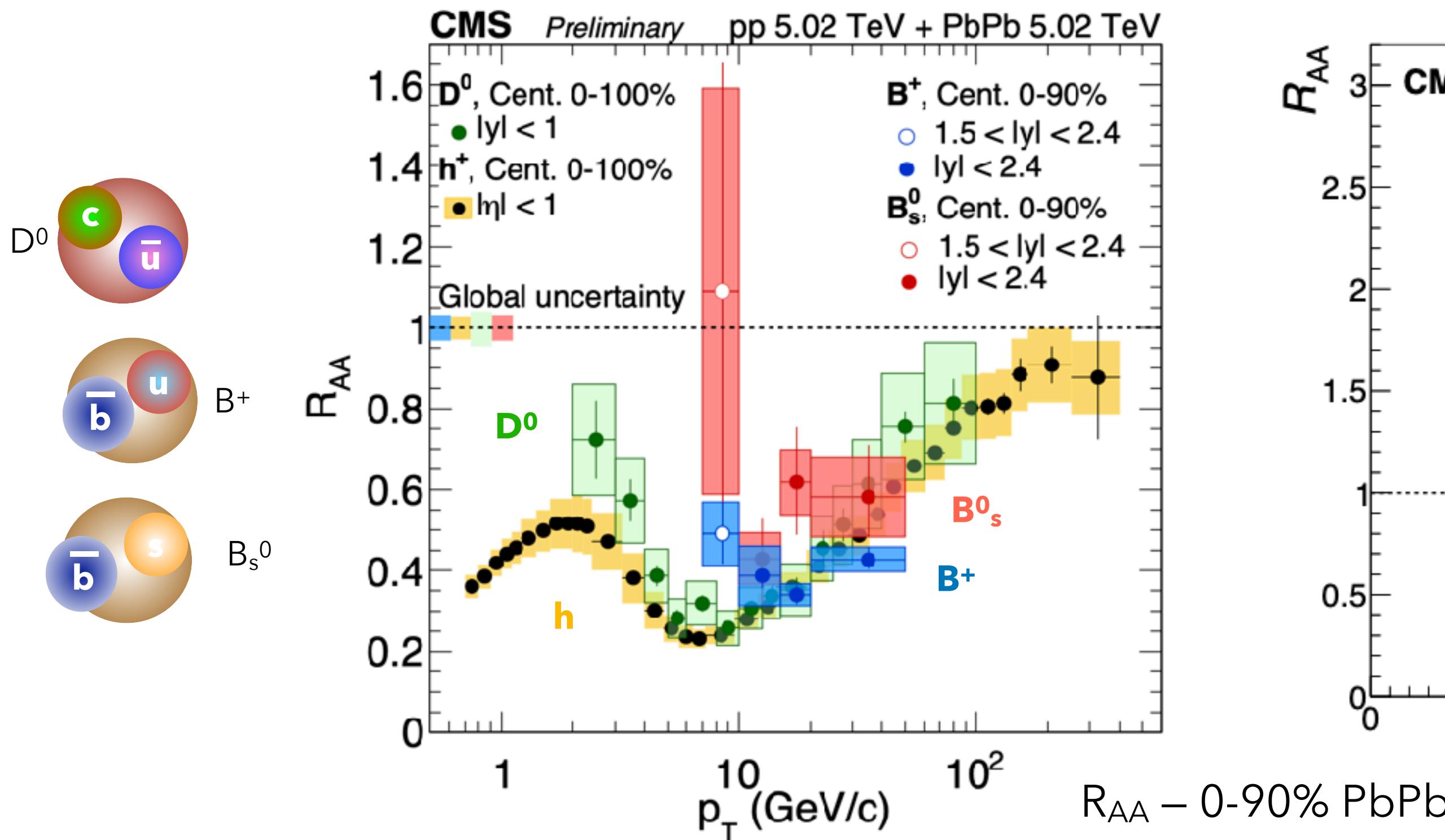
LIDO: W. Ke et al, Phys. Rev. C 98, 064901 (2018)

Phys. Rev. C 100, 064911 (2019),

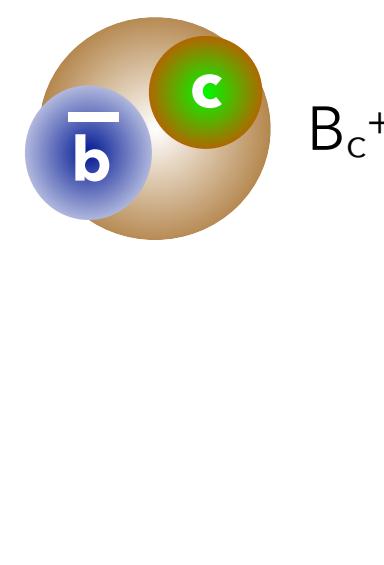
Dai et al: Chinese Phys. C 2020, 44:104105



# Going rarer? $B_s^0$ and $B_c^+$ in PbPb

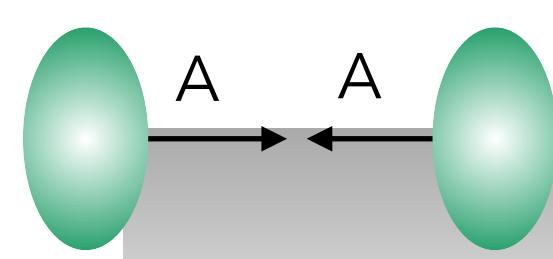


Talk: JA Mejia Guisao

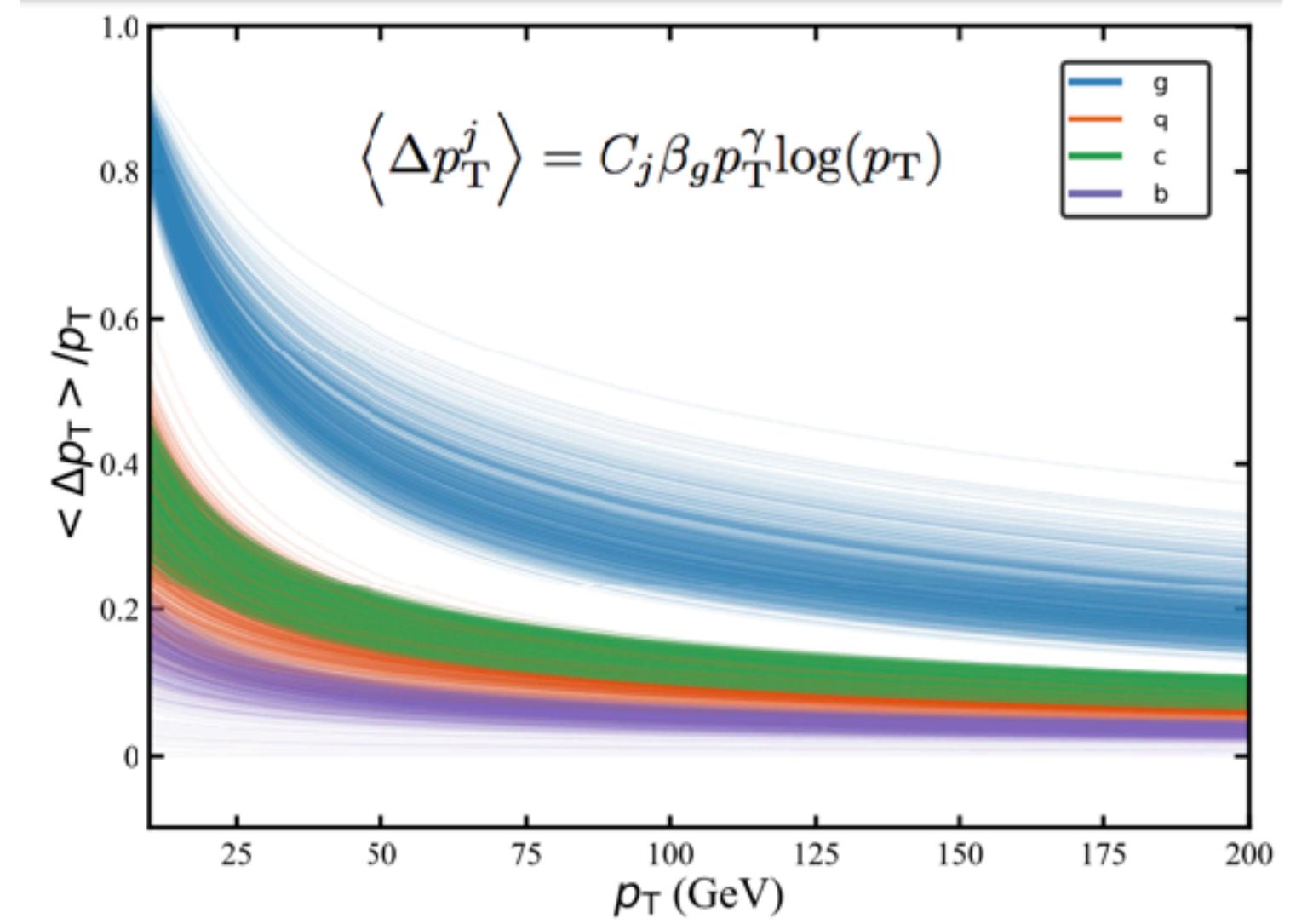
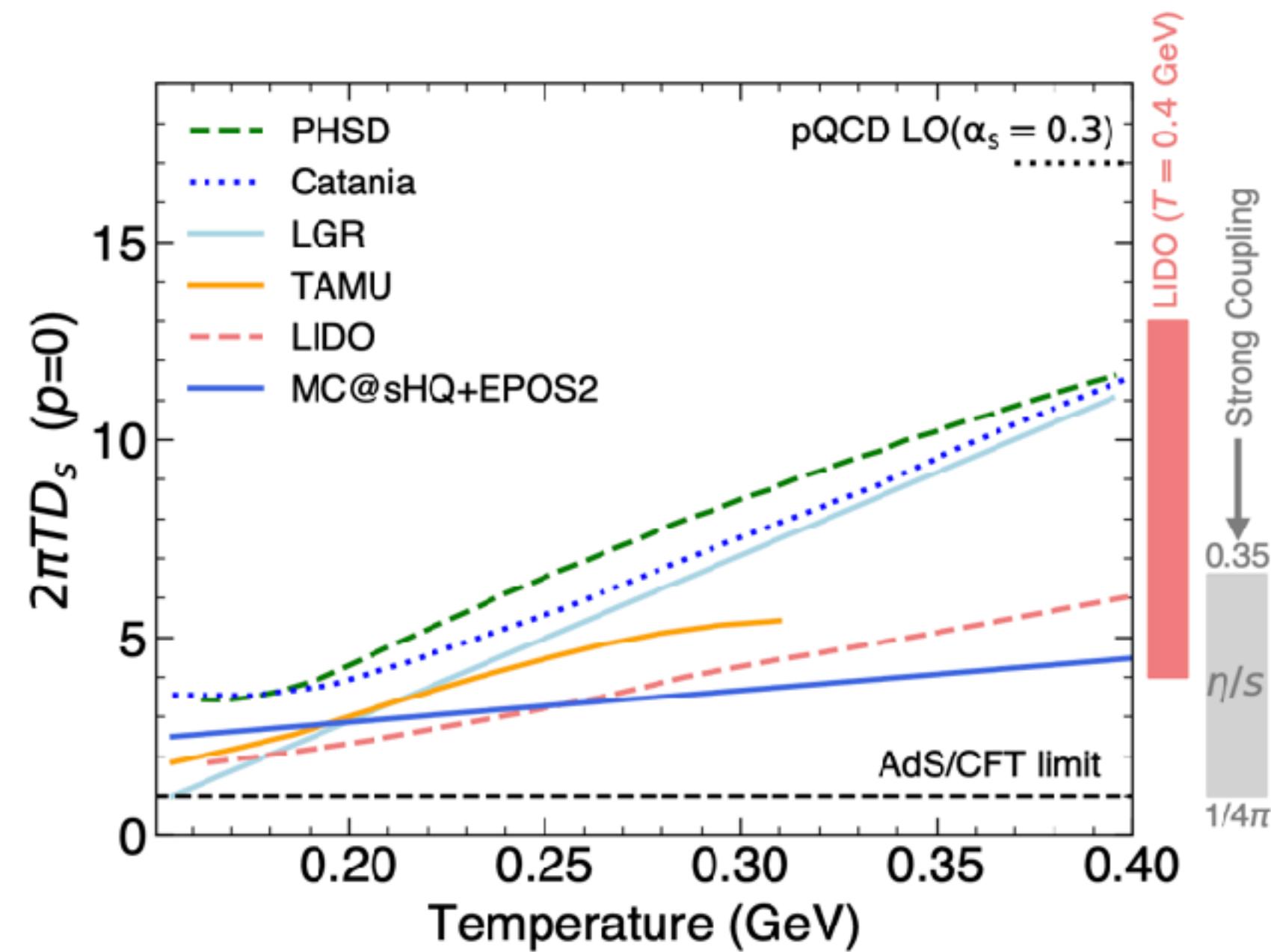
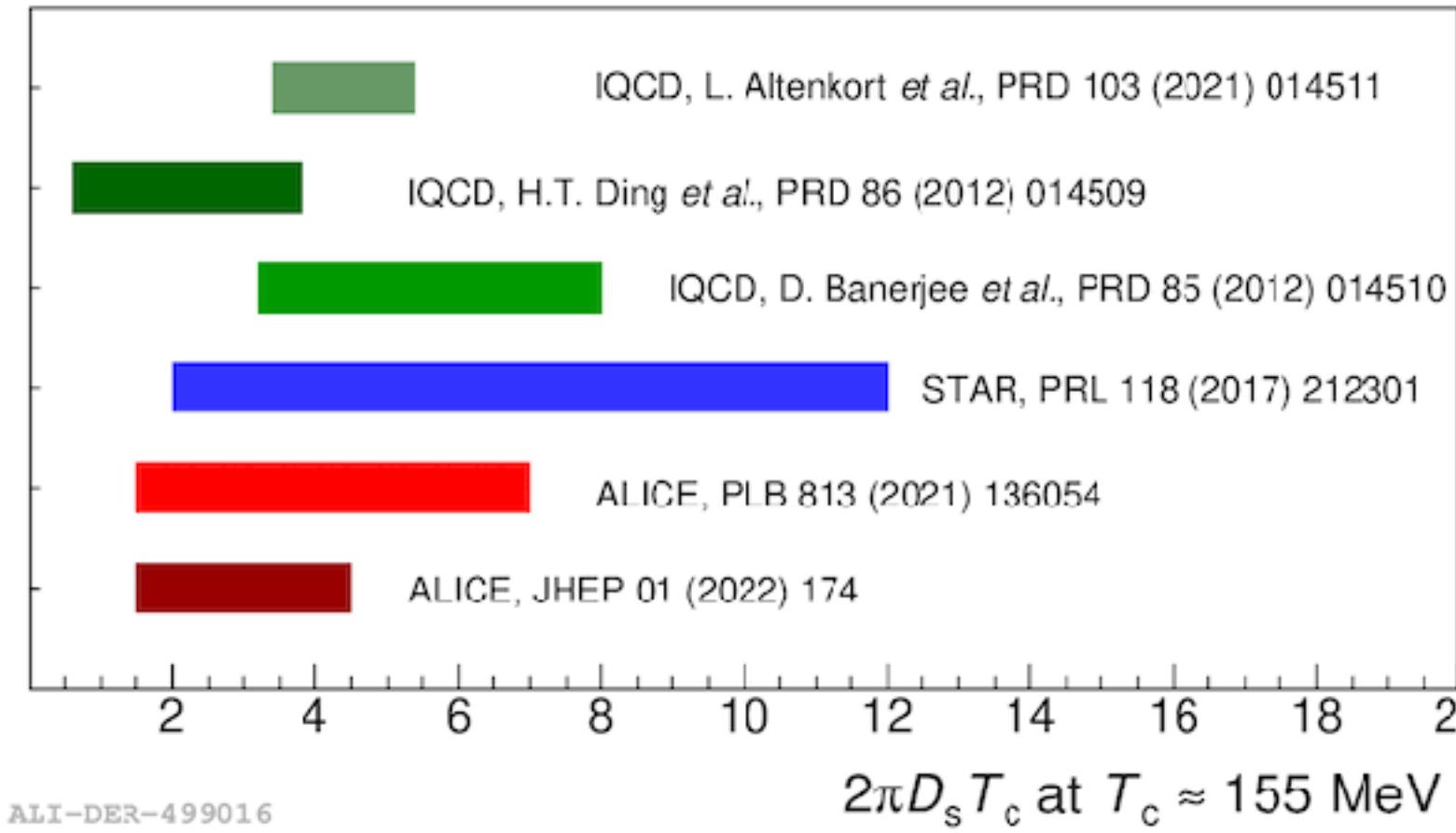


- Similar suppression of beauty hadrons ( $B^+$ ,  $B_s^+$ ) for  $p_T \gtrsim 10$  GeV
  - $B_c^+$  is a unique charm-bottom state  
→ sensitive to both energy loss (suppression) and recombination
- Moderate suppression at high  $p_T$ .

CMS Preliminary  
CMS, [PRL 128, 252301 \(2022\)](#)  
CMS, [CMS-PAS-HIN-21-014 \(2023\)](#)



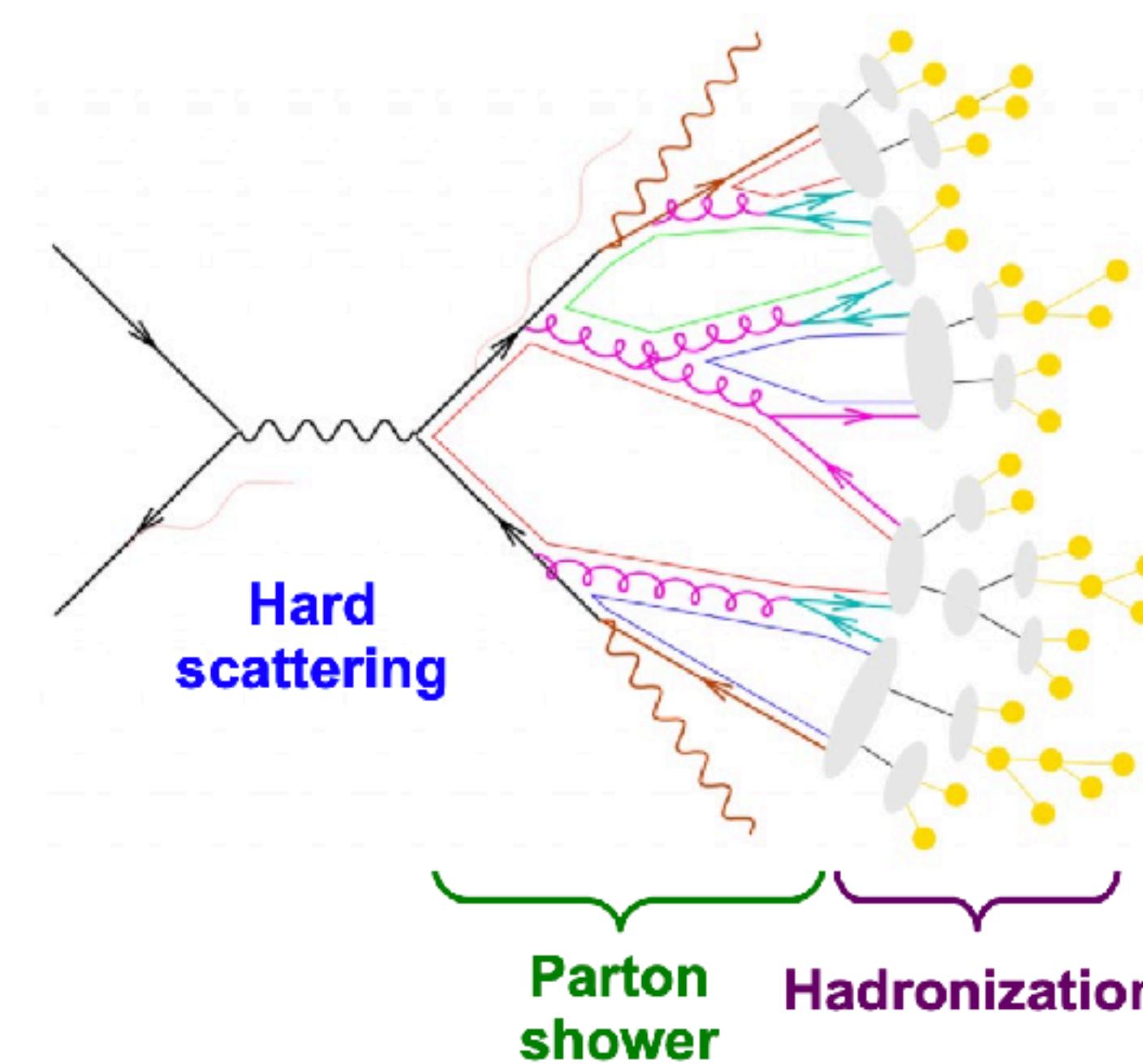
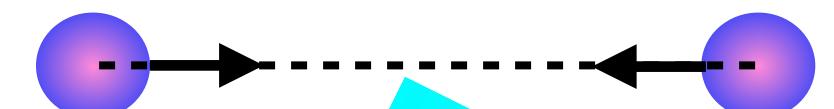
# Wrapping all these up? Model comparison



- Data-model comparison of prompt  $D^0 R_{AA}$  and  $v_2$  set **constraints on heavy quark spatial diffusion** coefficient  $1.5 < 2\pi D_s T_c < 4.5$  at  $T_{pc} = 155$  MeV  
 $\rightarrow T_{charm} = (m_{charm}/T)D_s(T) \approx 3-9$  fm/c for  $m_c = 1.5$  GeV  
Values consistent with a **strongly-coupled QGP!**
- D, B hadron & b-jet results consistent with expectations based on energy loss parton **flavour hierarchy**

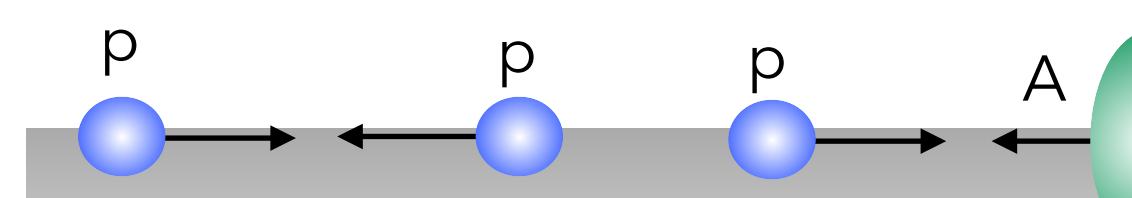
*Talk W.J. Xing*

ALICE, arXiv: 2211.04384  
ALICE prompt  $D^0$ , JHEP 01 (2022) 174  
CMS, PRL 128, 252301 (2022)  
CMS, CMS-PAS-HIN-21-014 (2023)  
WJ, Xing et al., Phys.Lett.B 850 (2024) 138523  
TAMU, He et al., PLB 735 (2014) 445  
CUJET3.0, Xu et al, JHEP 02 (2016) 169  
DRENA-A, Zigic et al., PRC 106 (2022) 044909  
AdS/CFT, Horowitz, PRD 91 (2015) 085019



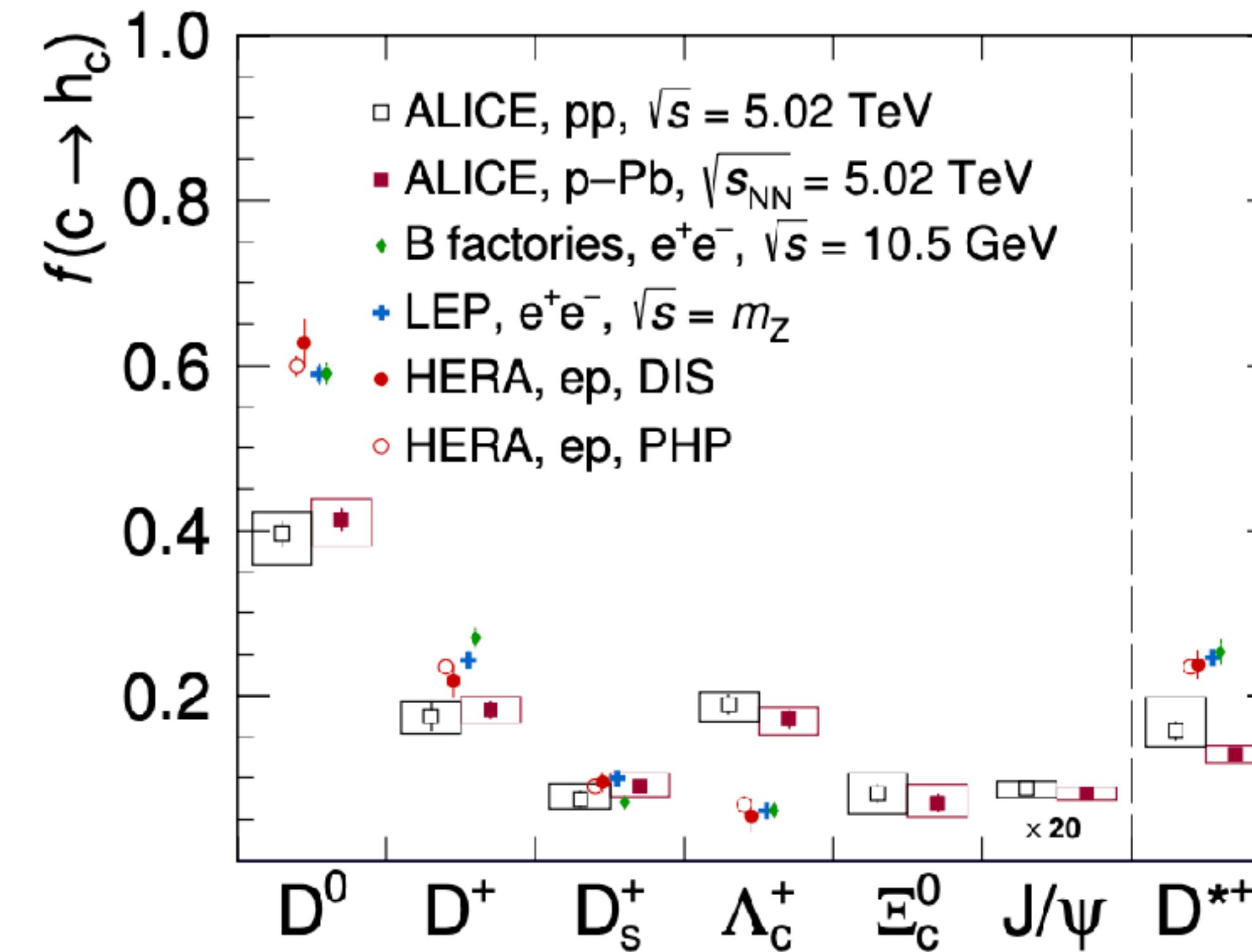
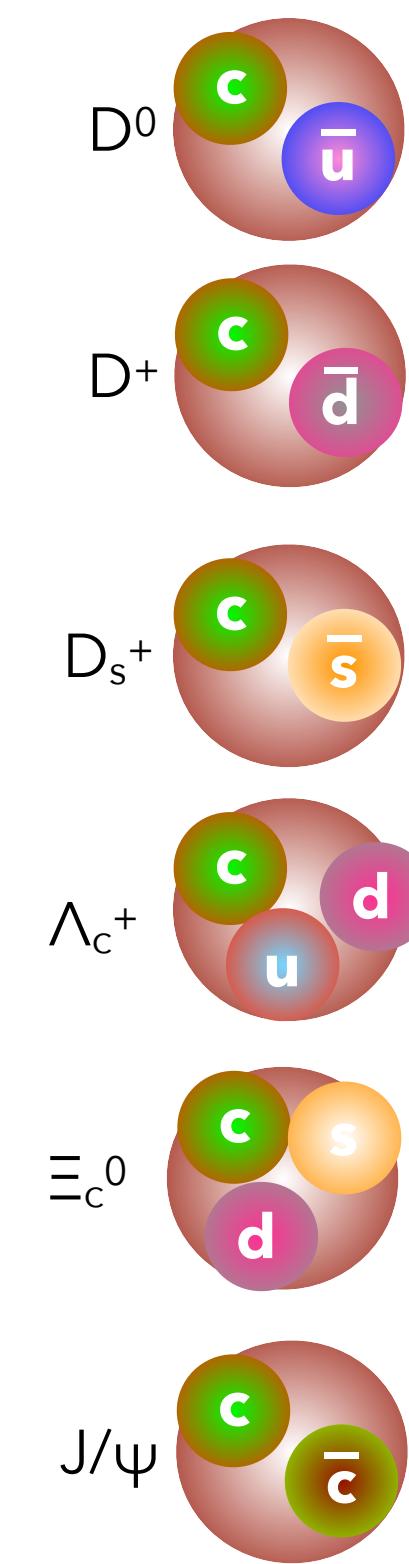
# Fragmentation and hadronisation

Talk. V. Greco  
Talk. J Cho  
Talk. O. Lomicky  
Talk. S. Chandra  
Talk. J. Wang  
Talk. A. Palasciano  
Talk. A. Baty  
Talk. M. Faggin



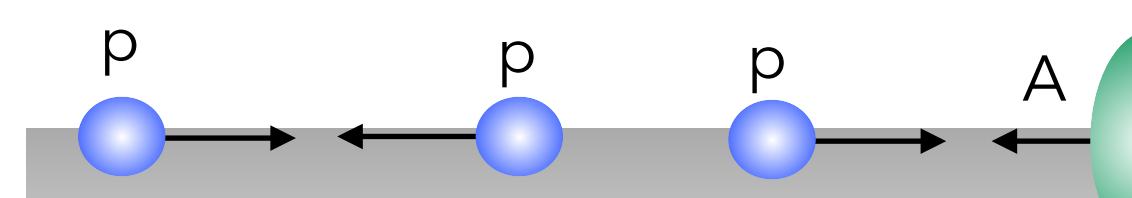
# Unexpected charm fragmentation fractions in pp & pPb

Talk: J Cho



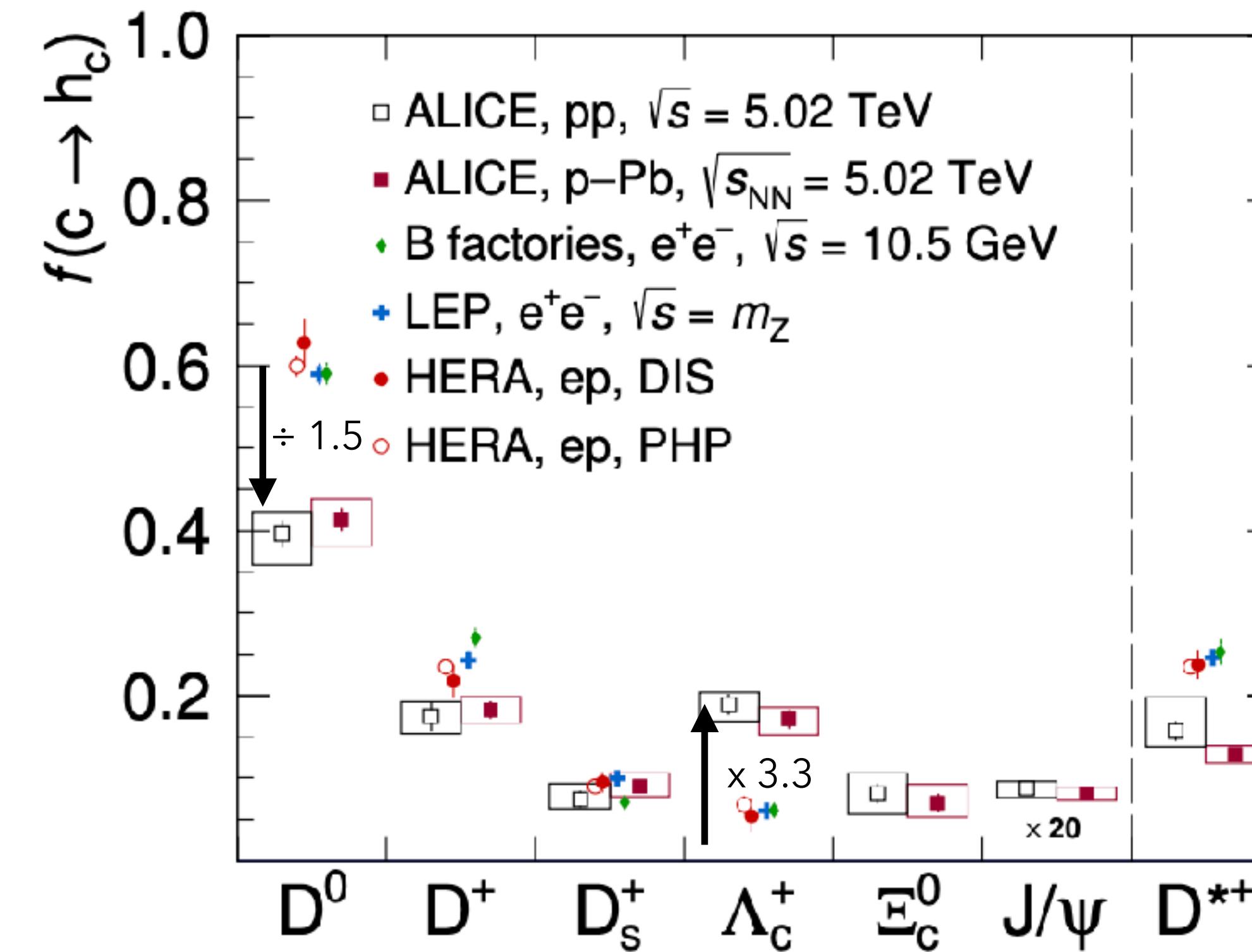
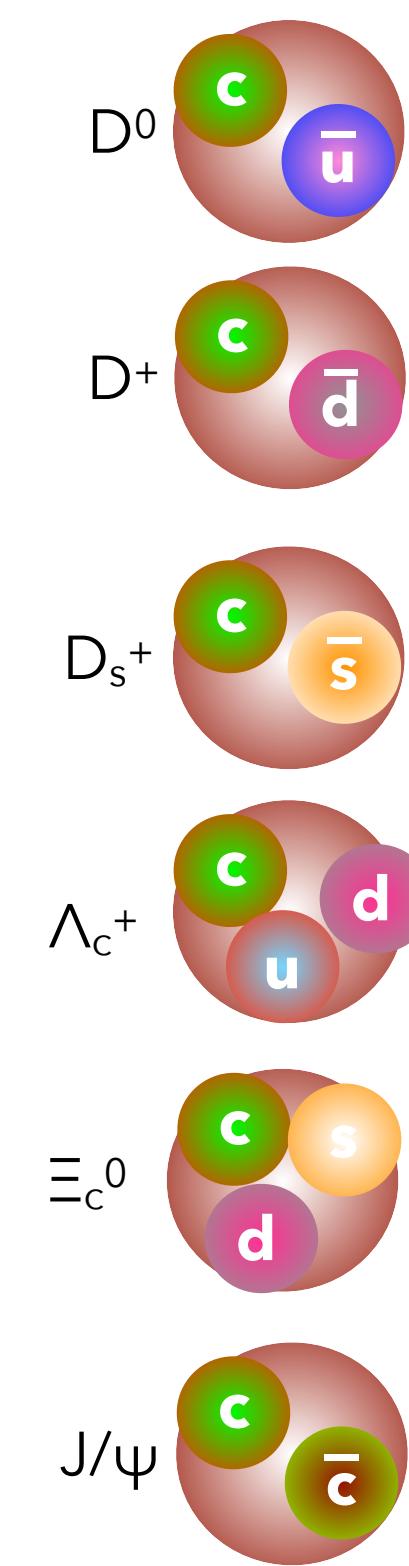
ALICE, arXiv: 2405.14571  
ALICE, Phys. Rev. D 105 (2022) L011103

- Significant difference of the charm fragmentation fractions in pp vs. e+e- and ep collisions.**  
Evidence of the dependence of the parton-to-hadron fragmentation fractions on the collision system. Universality?
- Similar results in pp and pPb collisions.  
No significant modification of charm fragmentation fractions due to the system size.



# Unexpected charm fragmentation fractions in pp & pPb

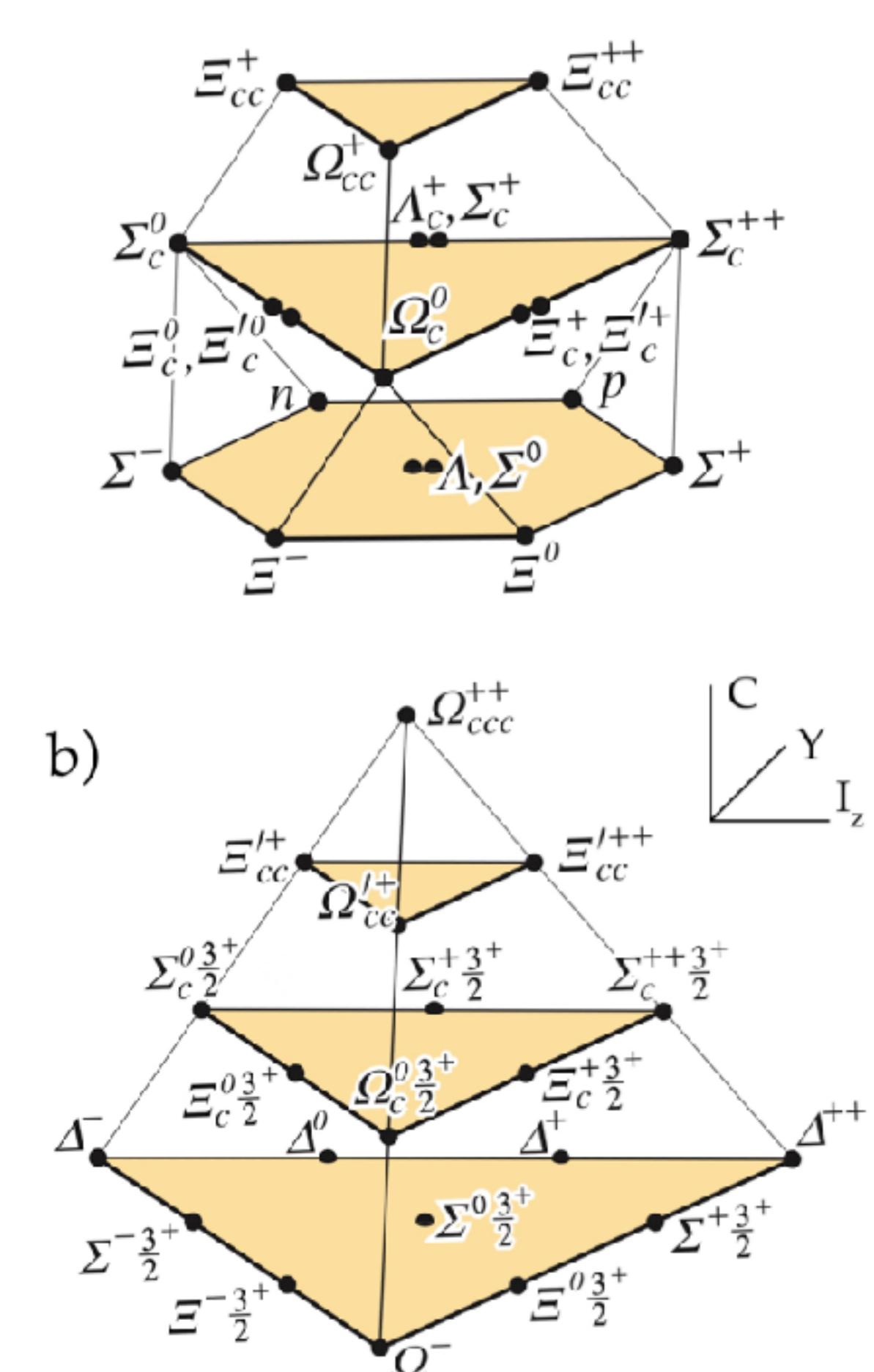
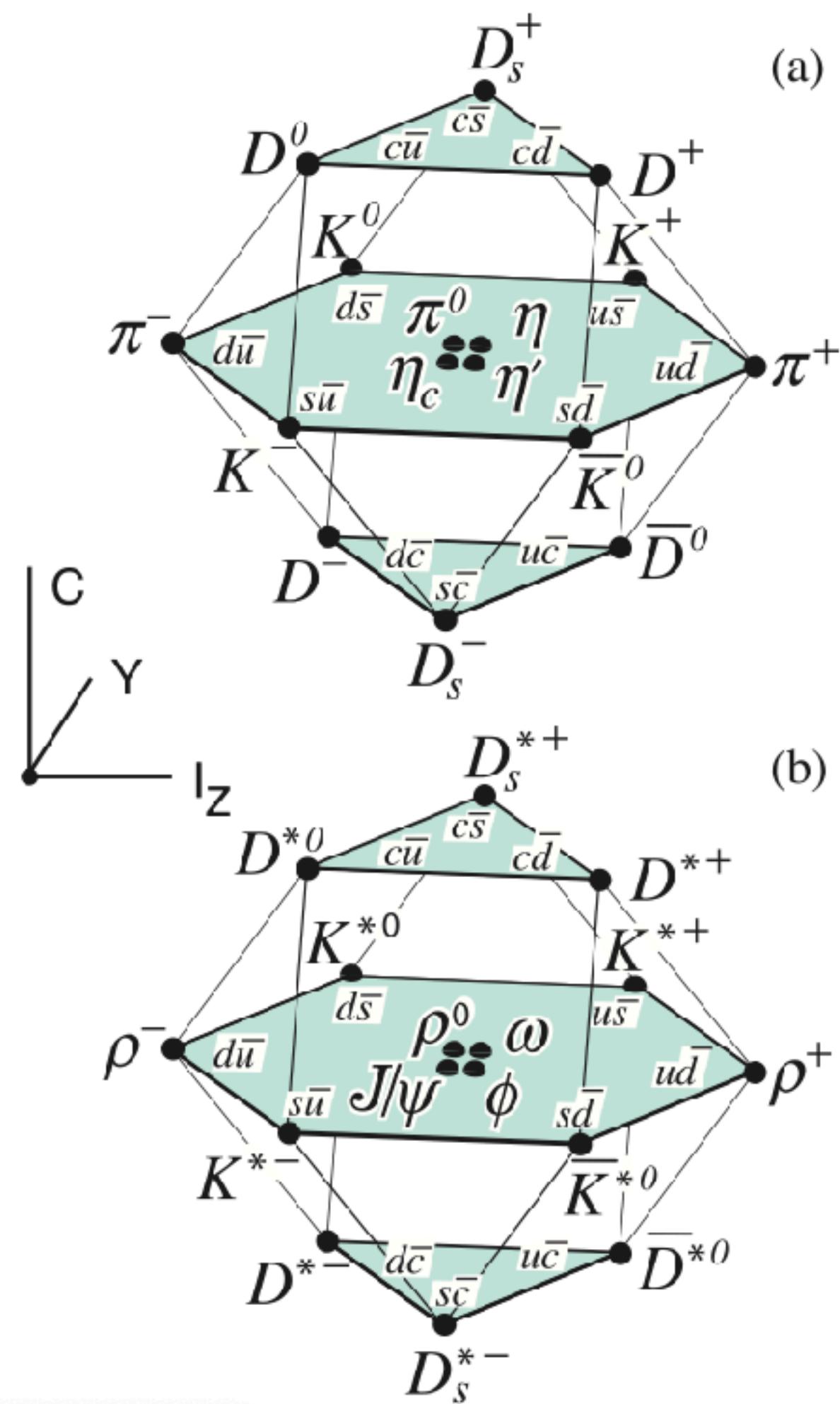
Talk: J Cho



ALICE, arXiv: 2405.14571  
ALICE, Phys. Rev. D 105 (2022) L011103

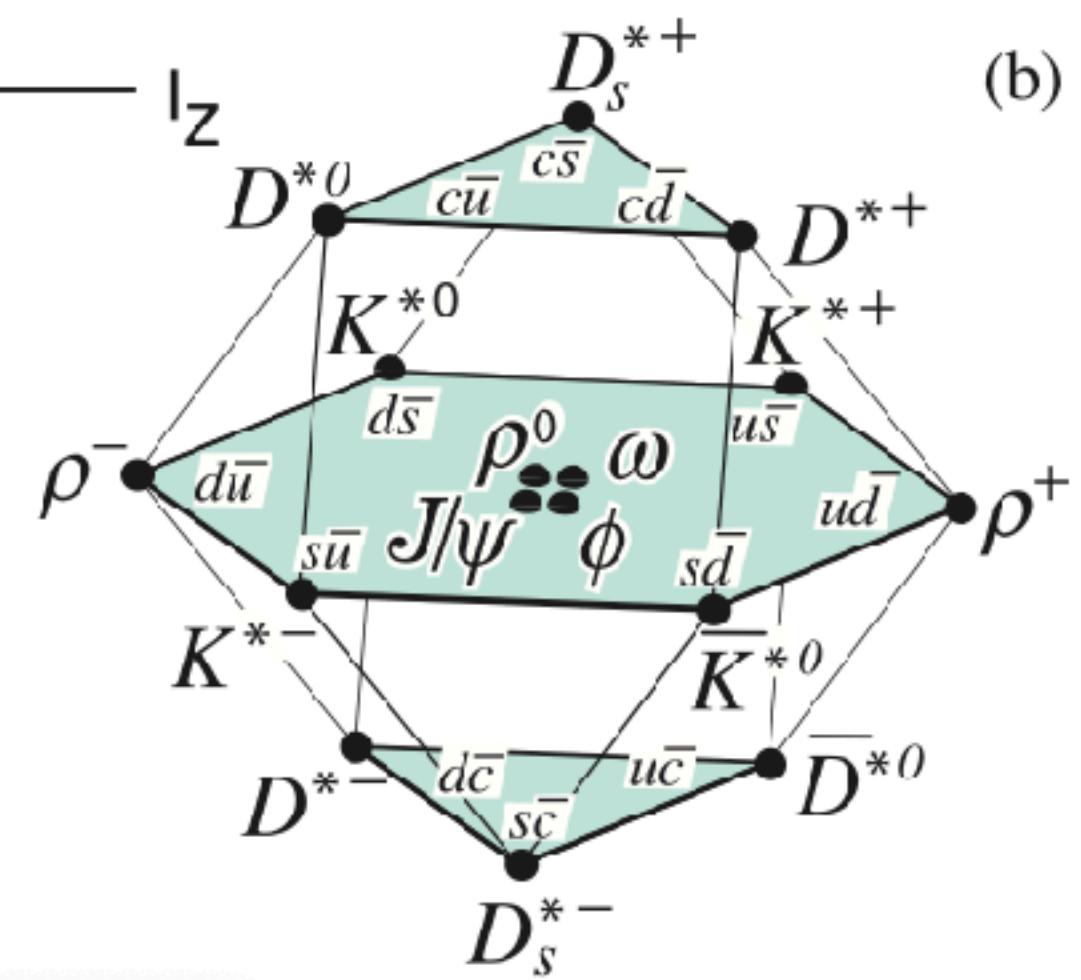
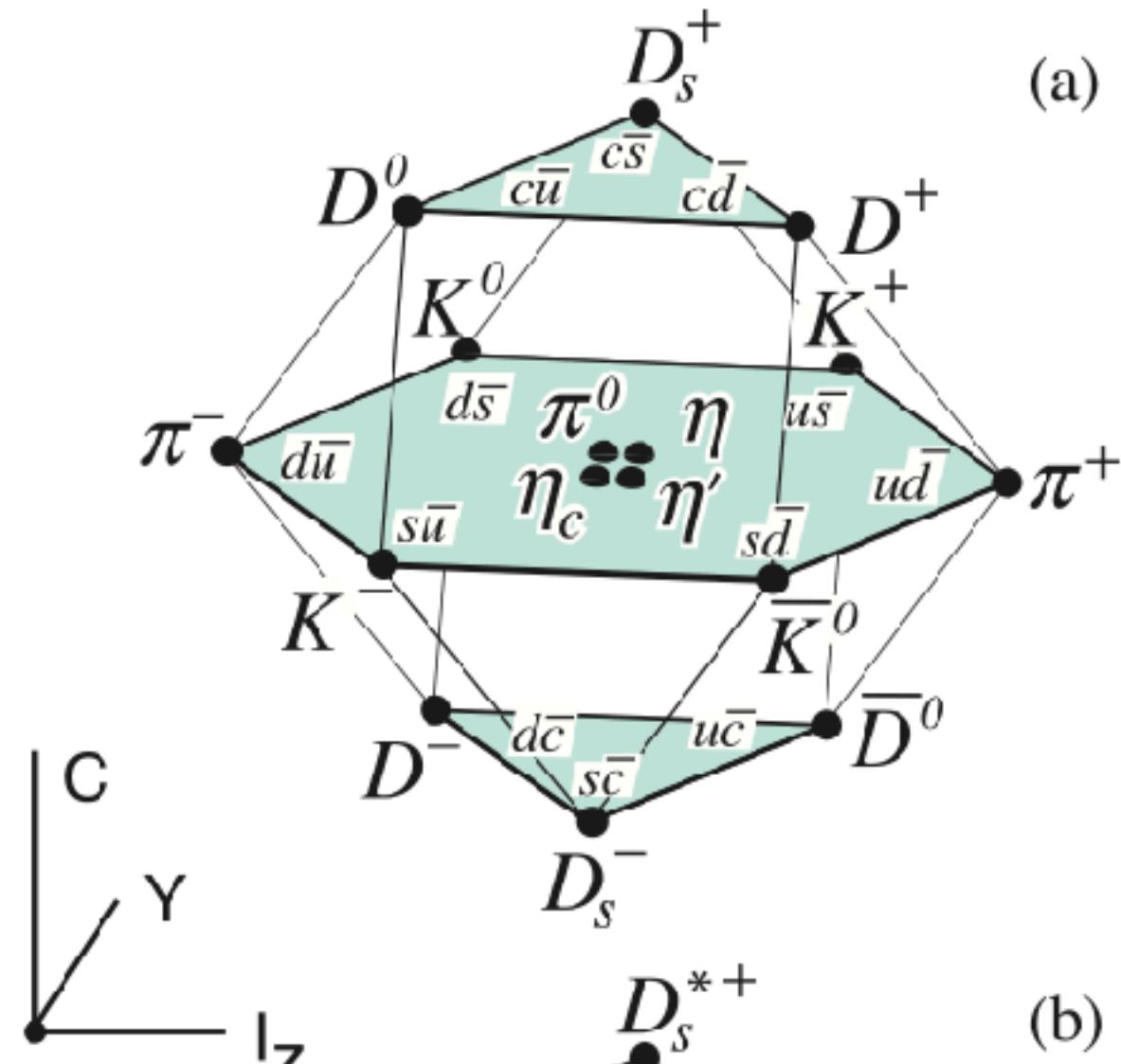
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# How to study fragmentation and hadronisation?

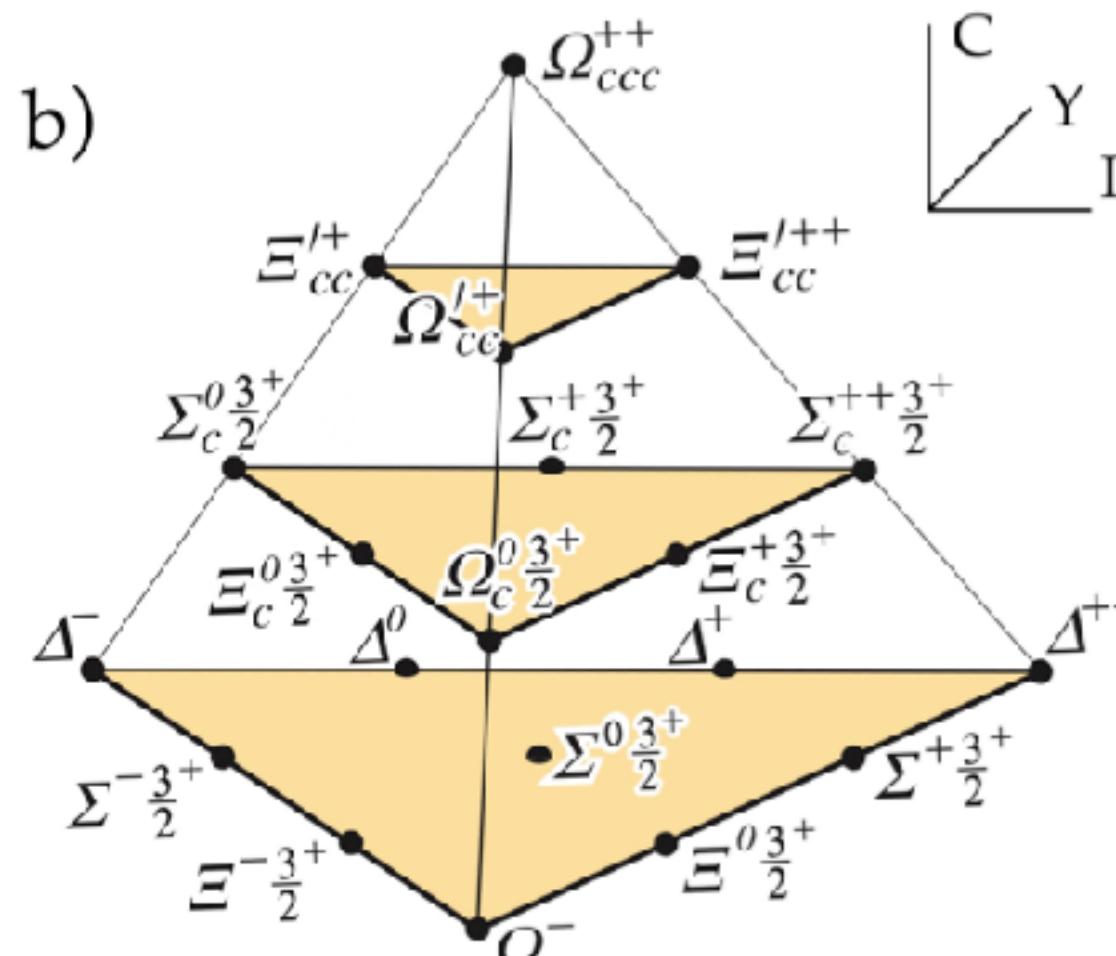
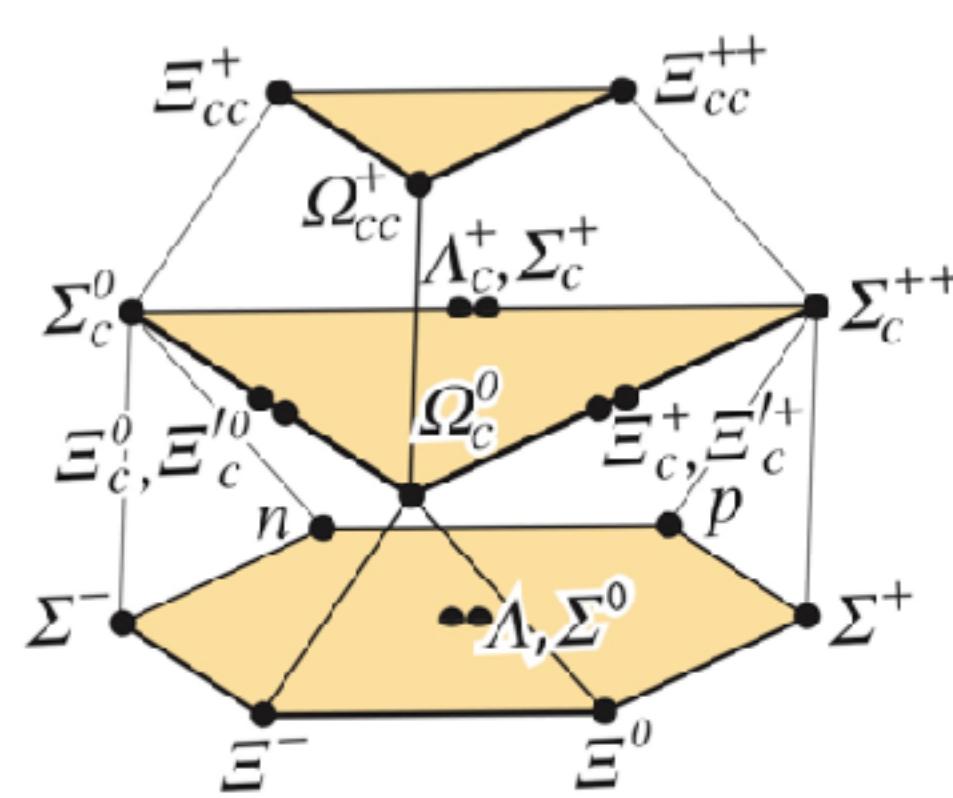


# PDG quark model multiplets of charm hadrons C (charm content), Y (hypercharge), I<sub>z</sub> (isospin)

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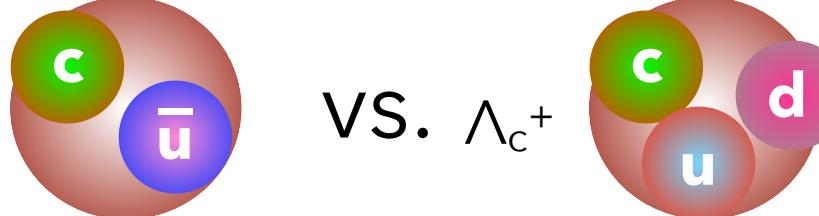


PDG quark model multiplets of charm hadrons  
C (charm content), Y (hypercharge),  $I_z$  (isospin)

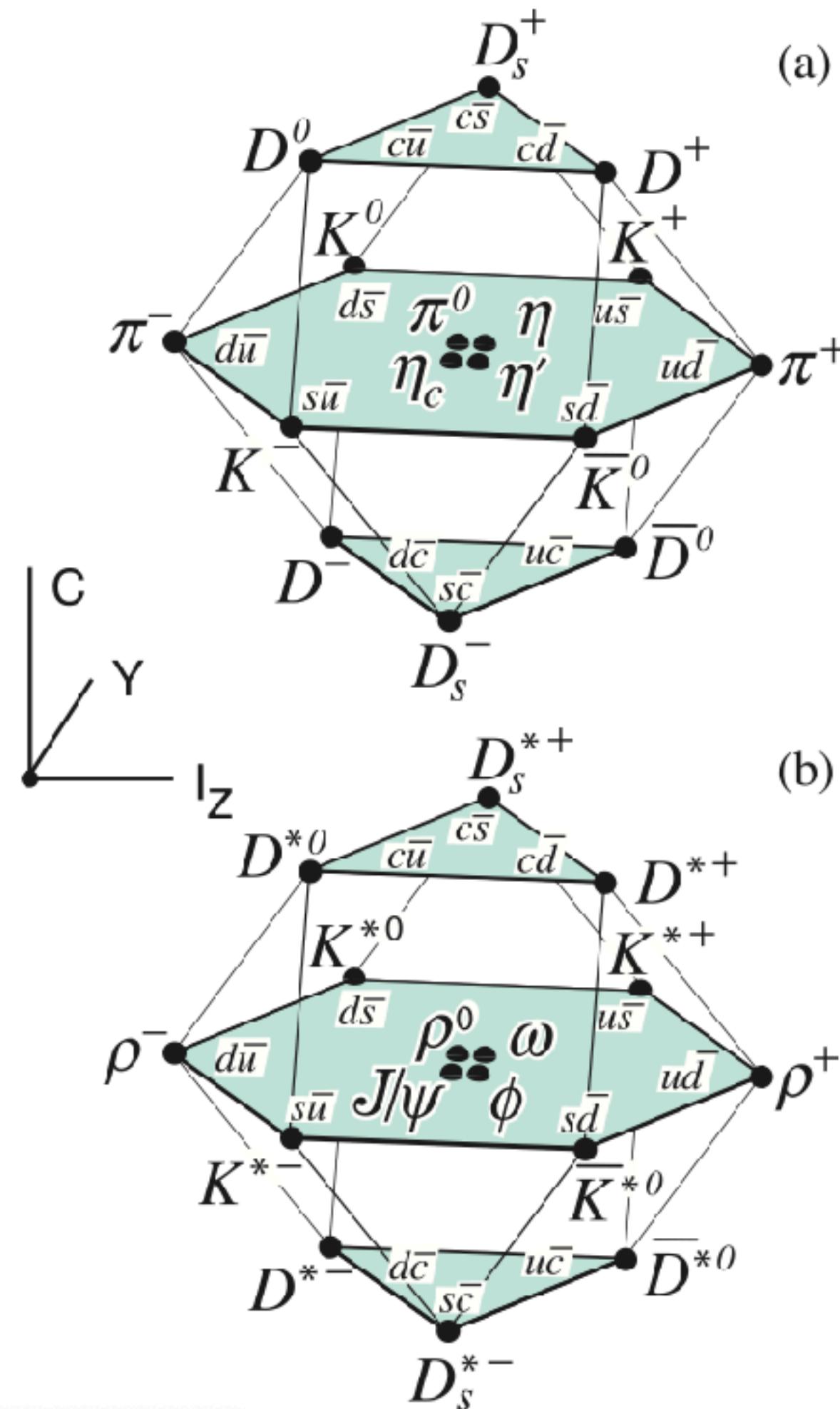


- Similar process for **mesons and baryons**?

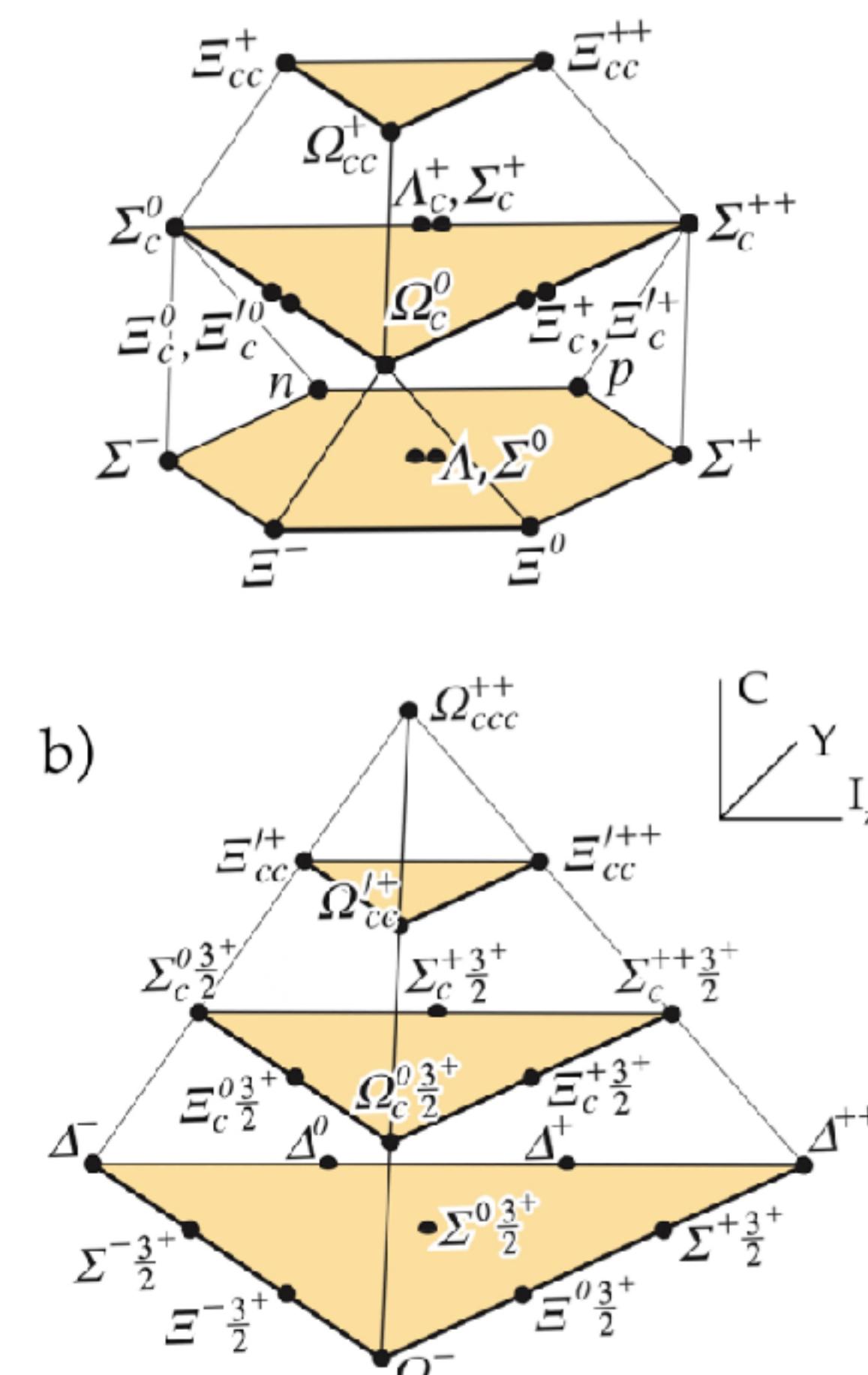
e.g.  $D^0$  vs.  $\Lambda_c^+$  ?



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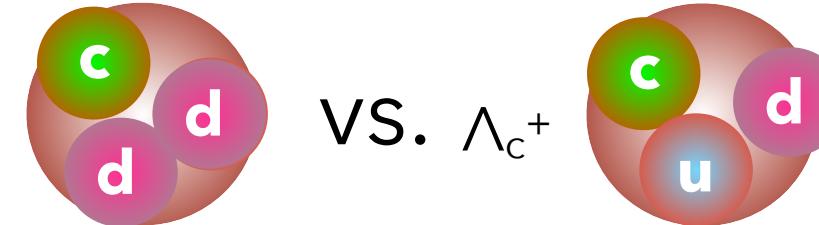


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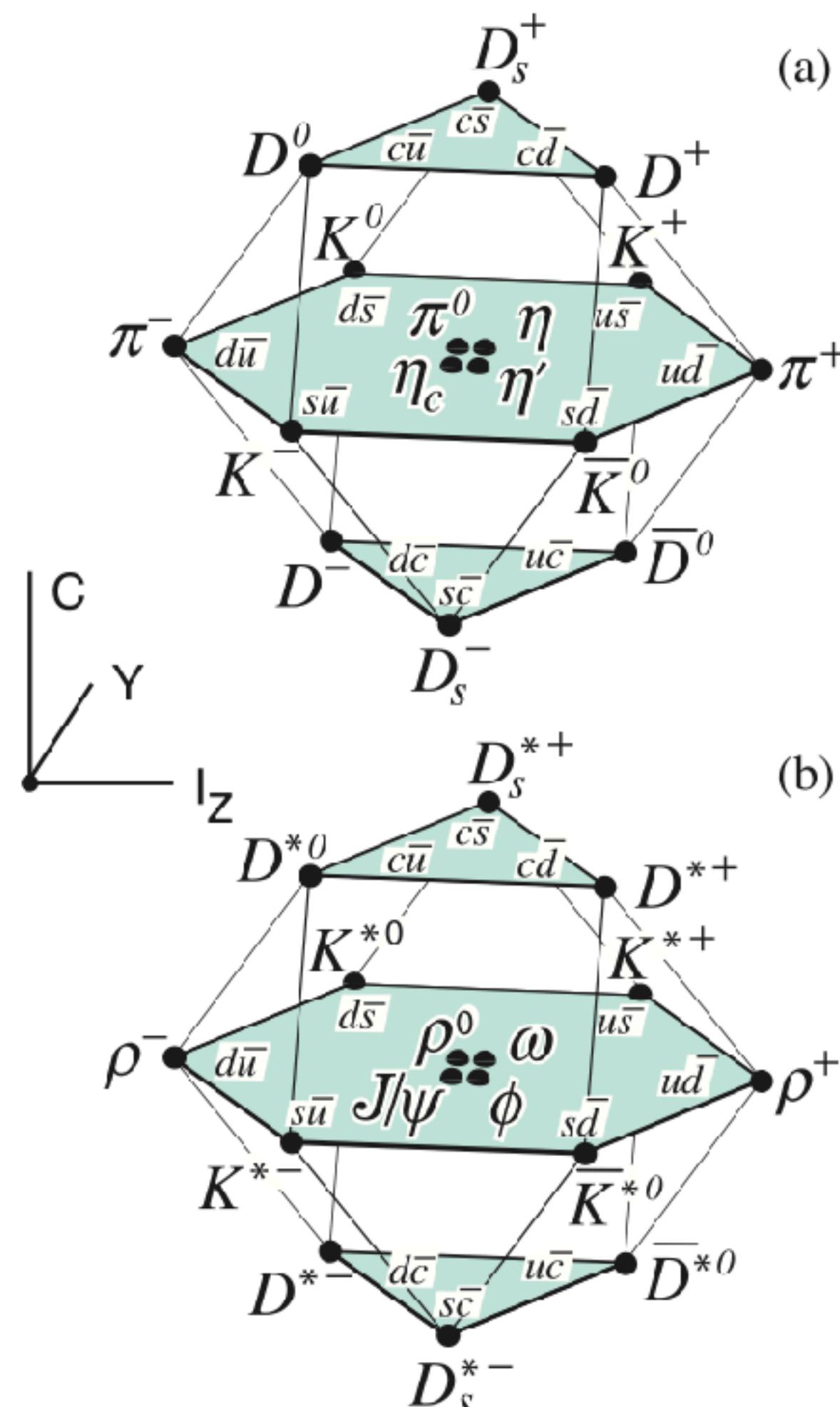


- Similar process for **mesons and baryons?**
  - What about **excited states?**

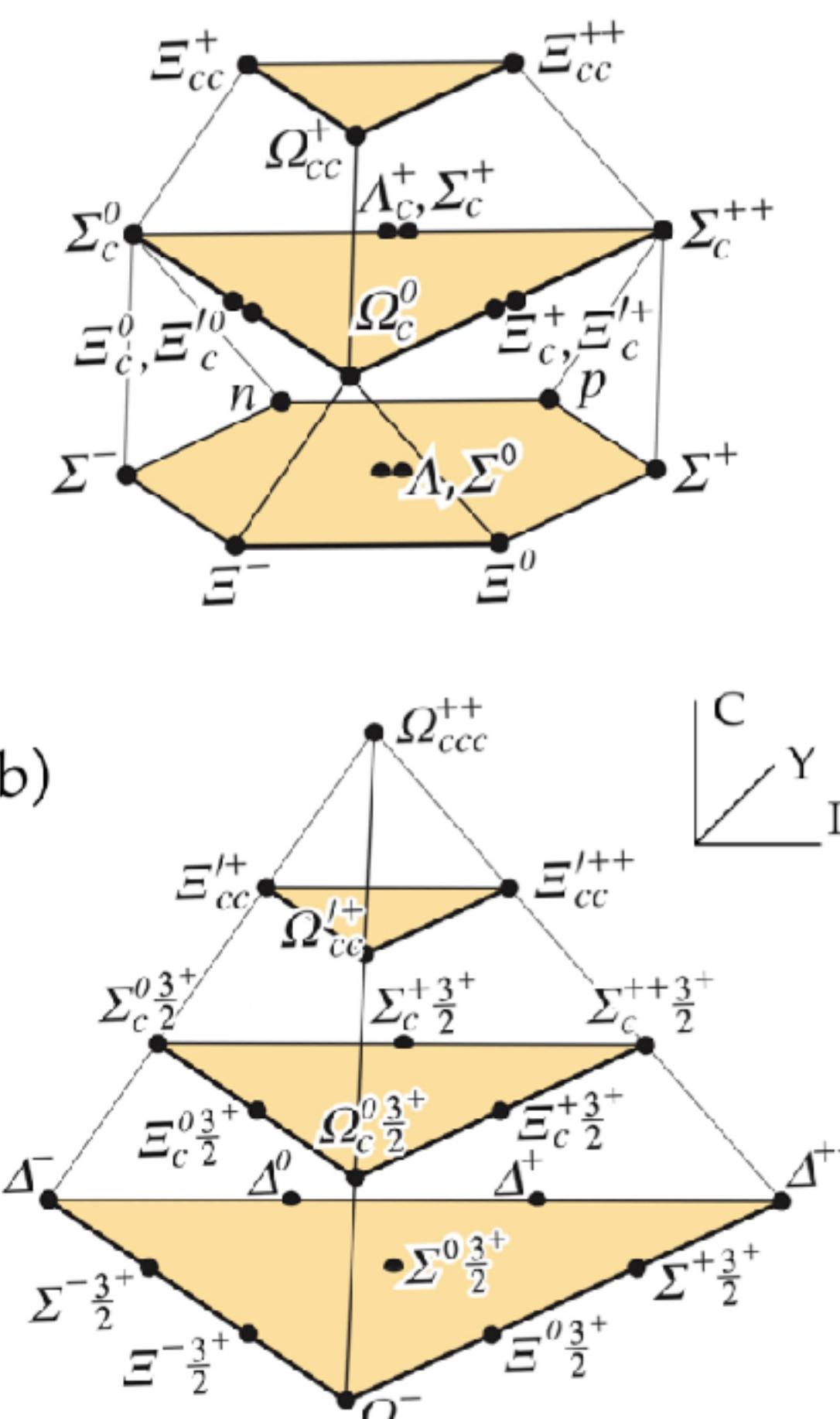
e.g.  $\Sigma_c^0$  vs.  $\Lambda_c^+$  ?



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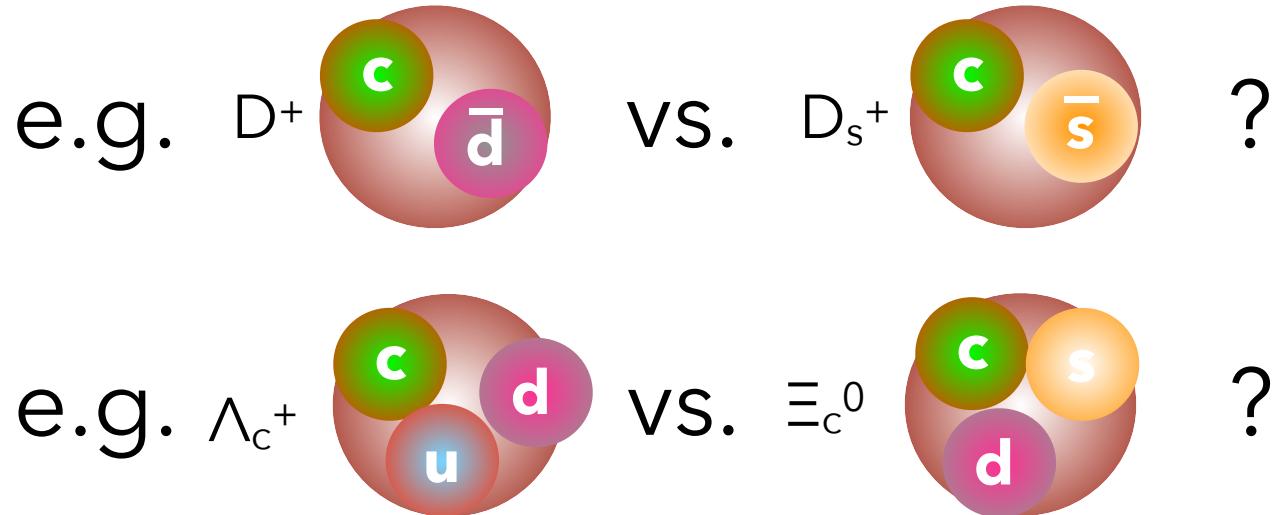


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C (charm content), Y (hypercharge),  $I_z$  (isospin)

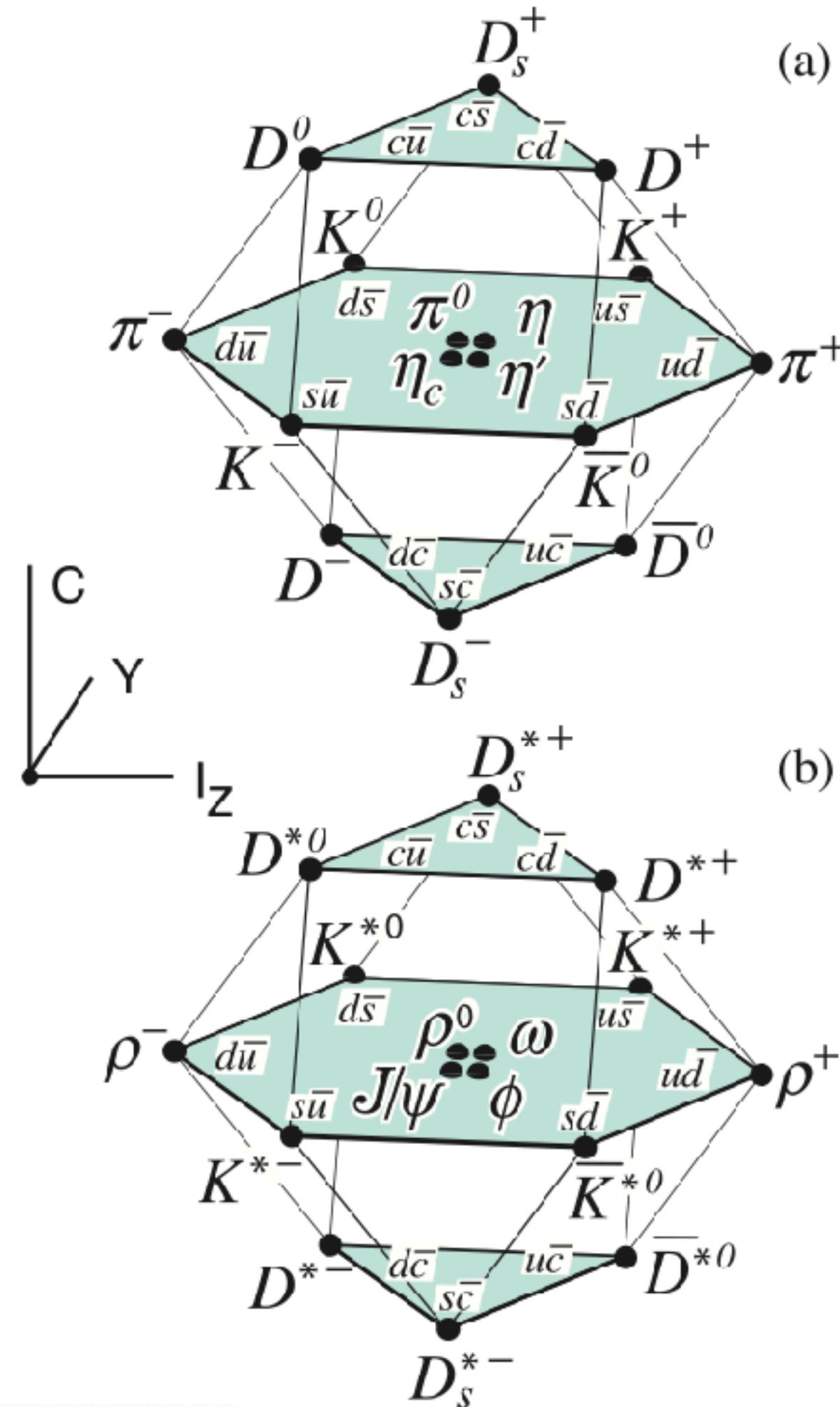


- Similar process for **mesons and baryons?**
  - What about **excited states?**
  - **Strange** quark content influence?

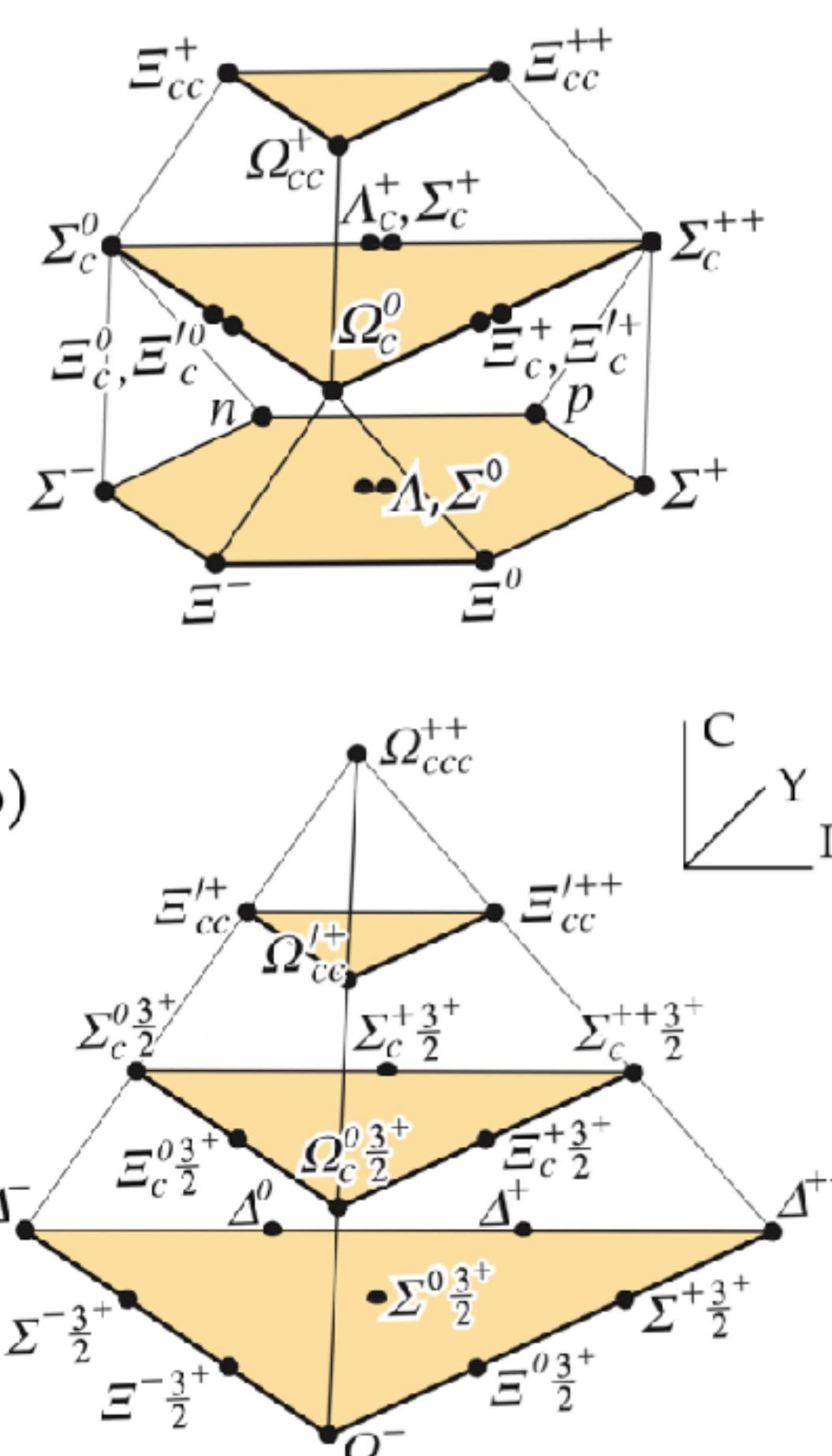
e.g.  $D^+$   VS.  $D_s^+$   ?



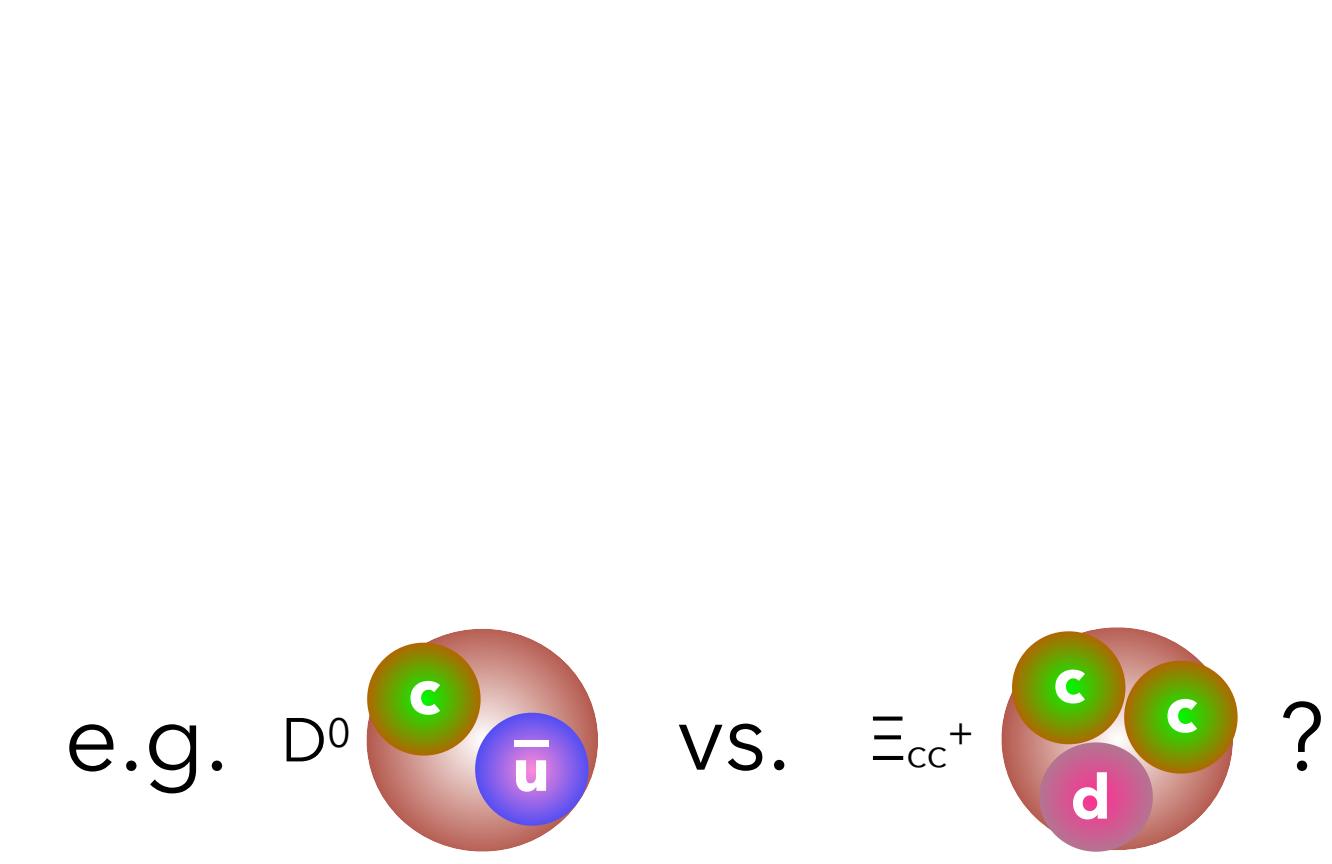
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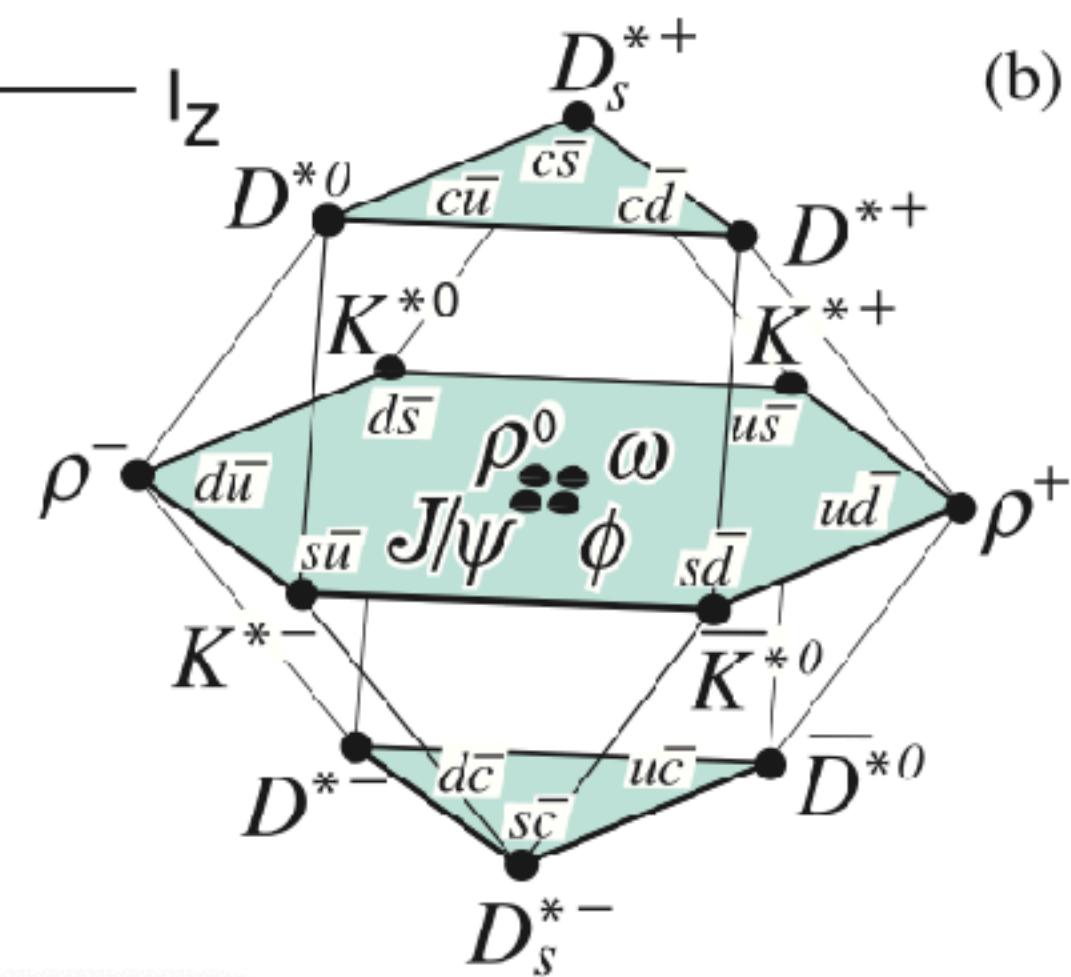
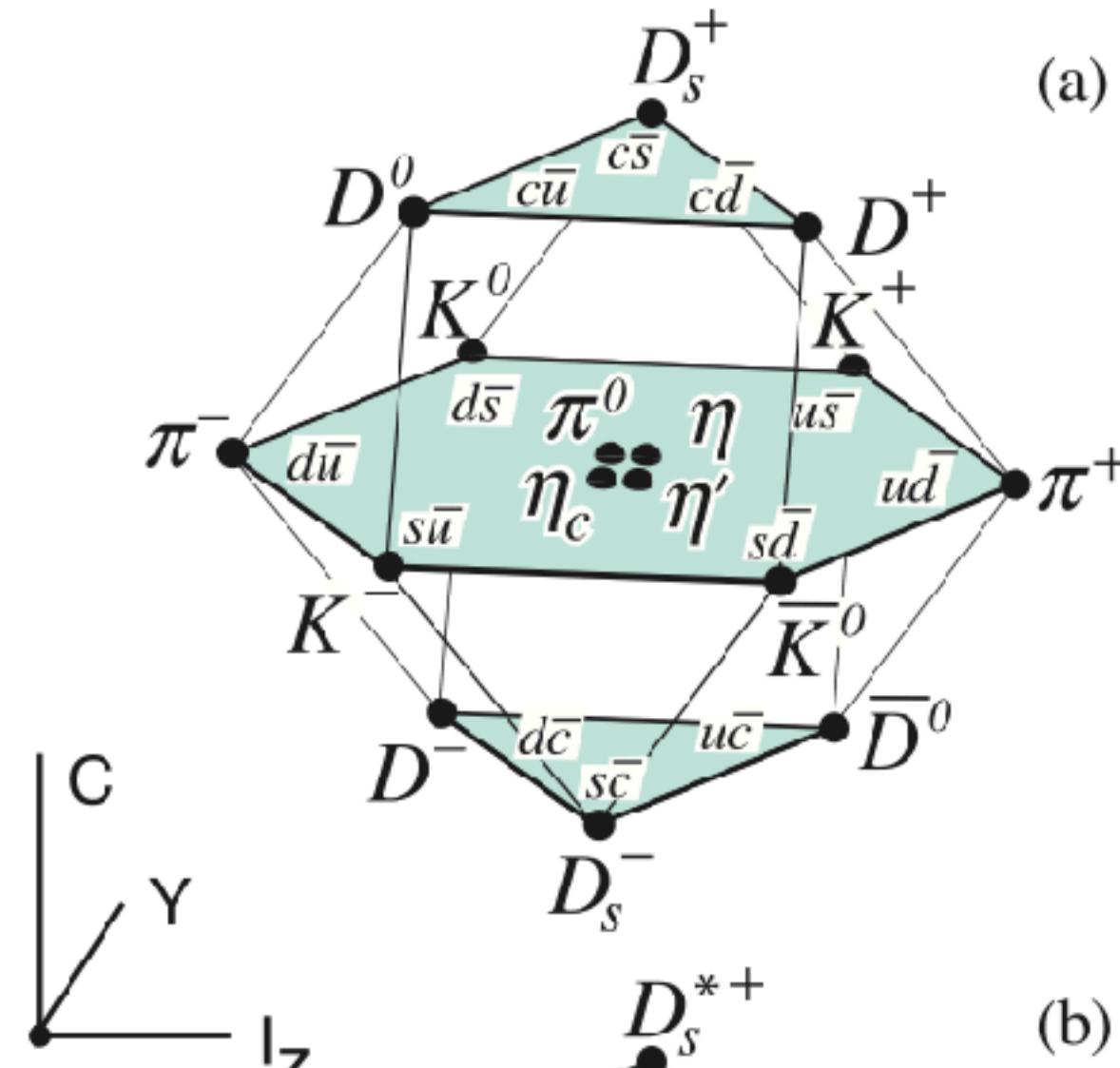
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C (charm content), Y (hypercharge),  $I_z$  (isospin)



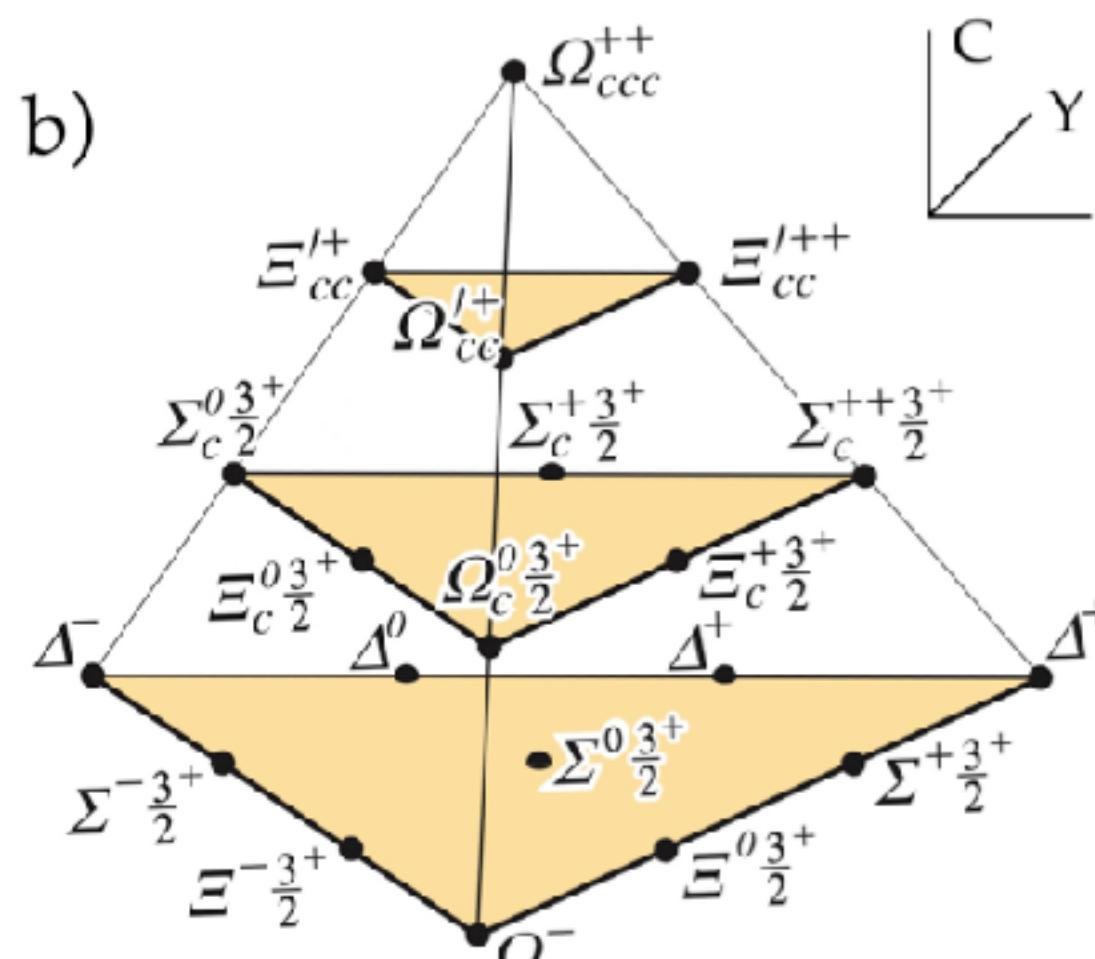
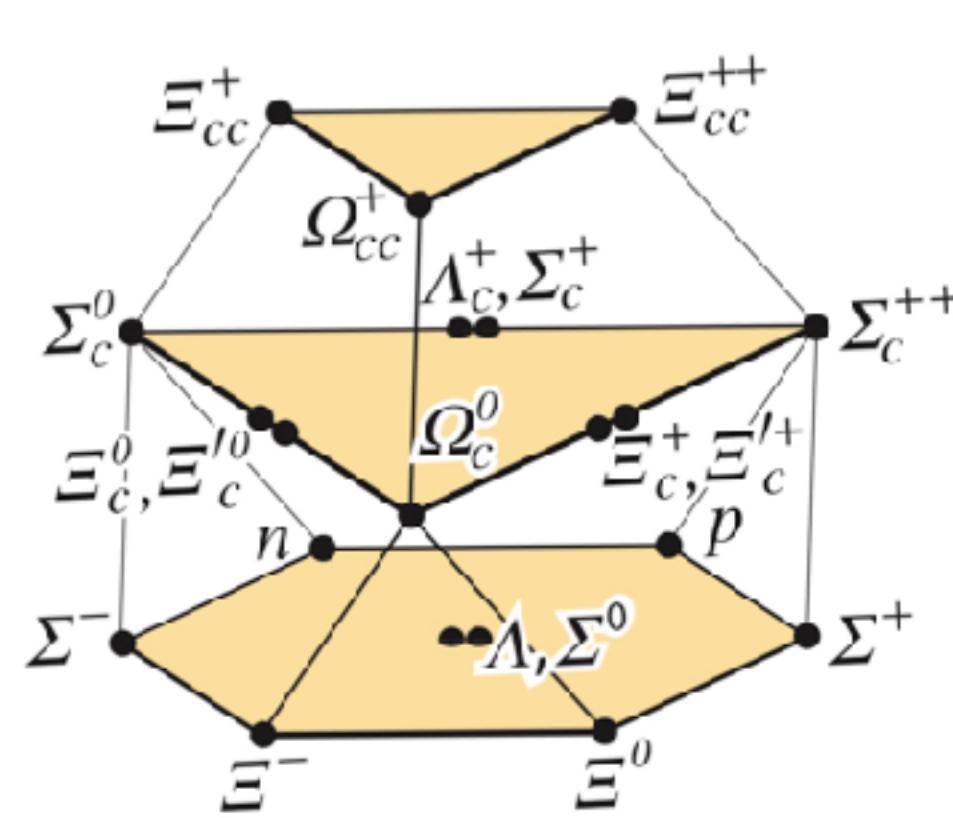
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- Measure **states with two or more heavy quarks**?



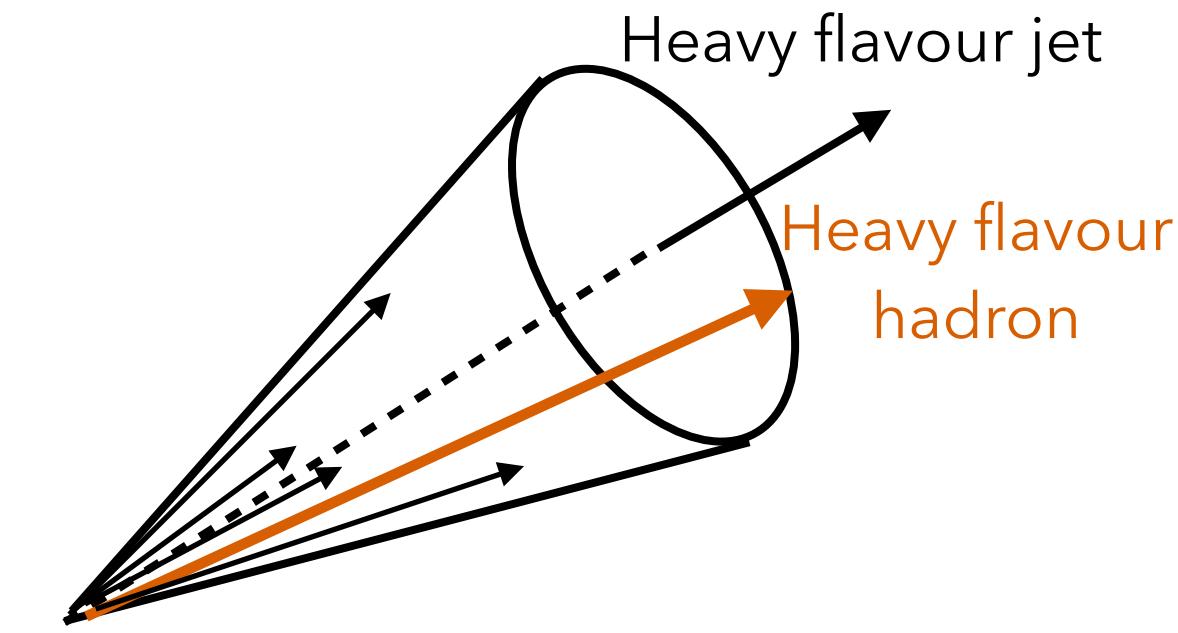
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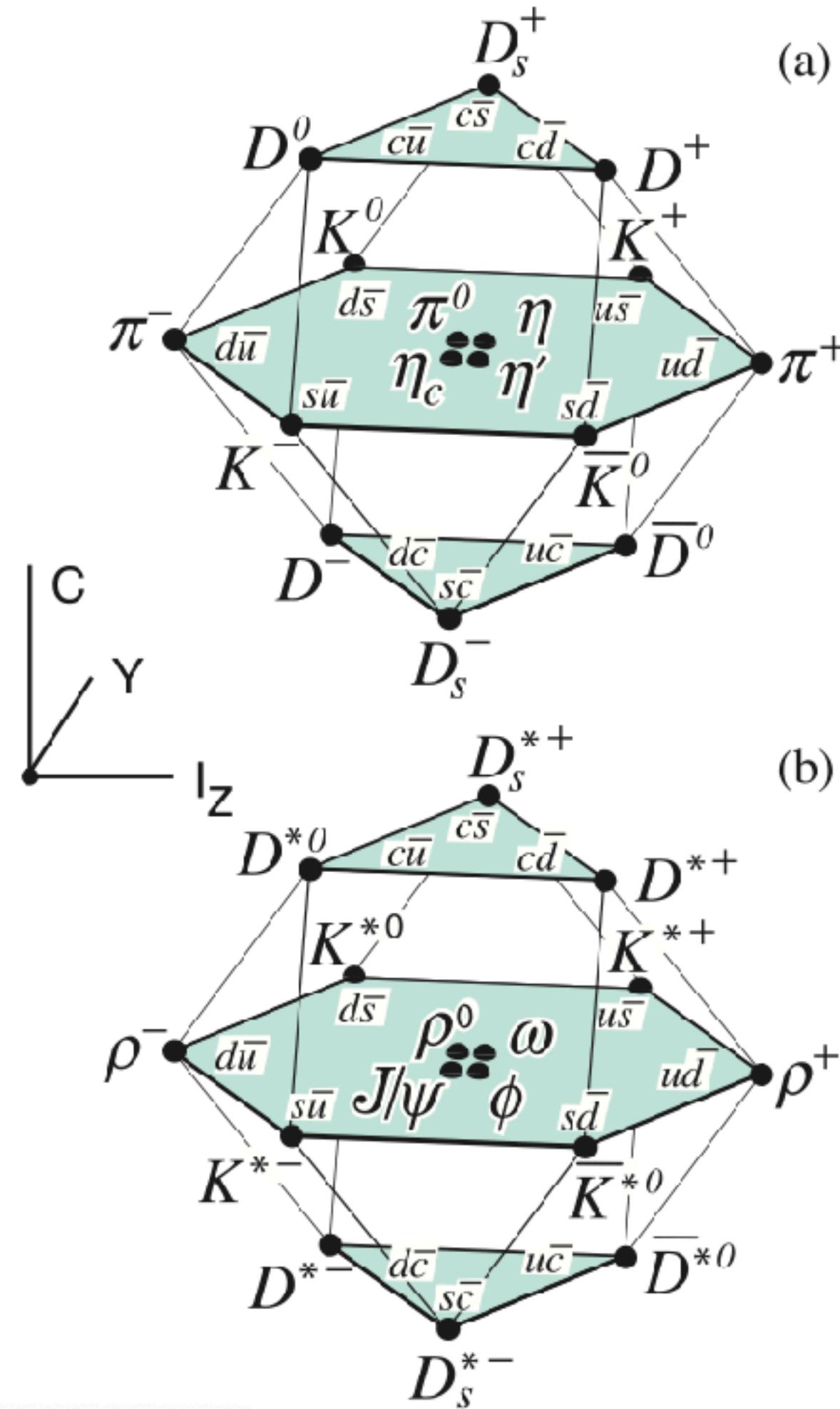
PDG quark model multiplets of charm hadrons  
 $C$  (charm content),  $Y$  (hypercharge),  $I_z$  (isospin)



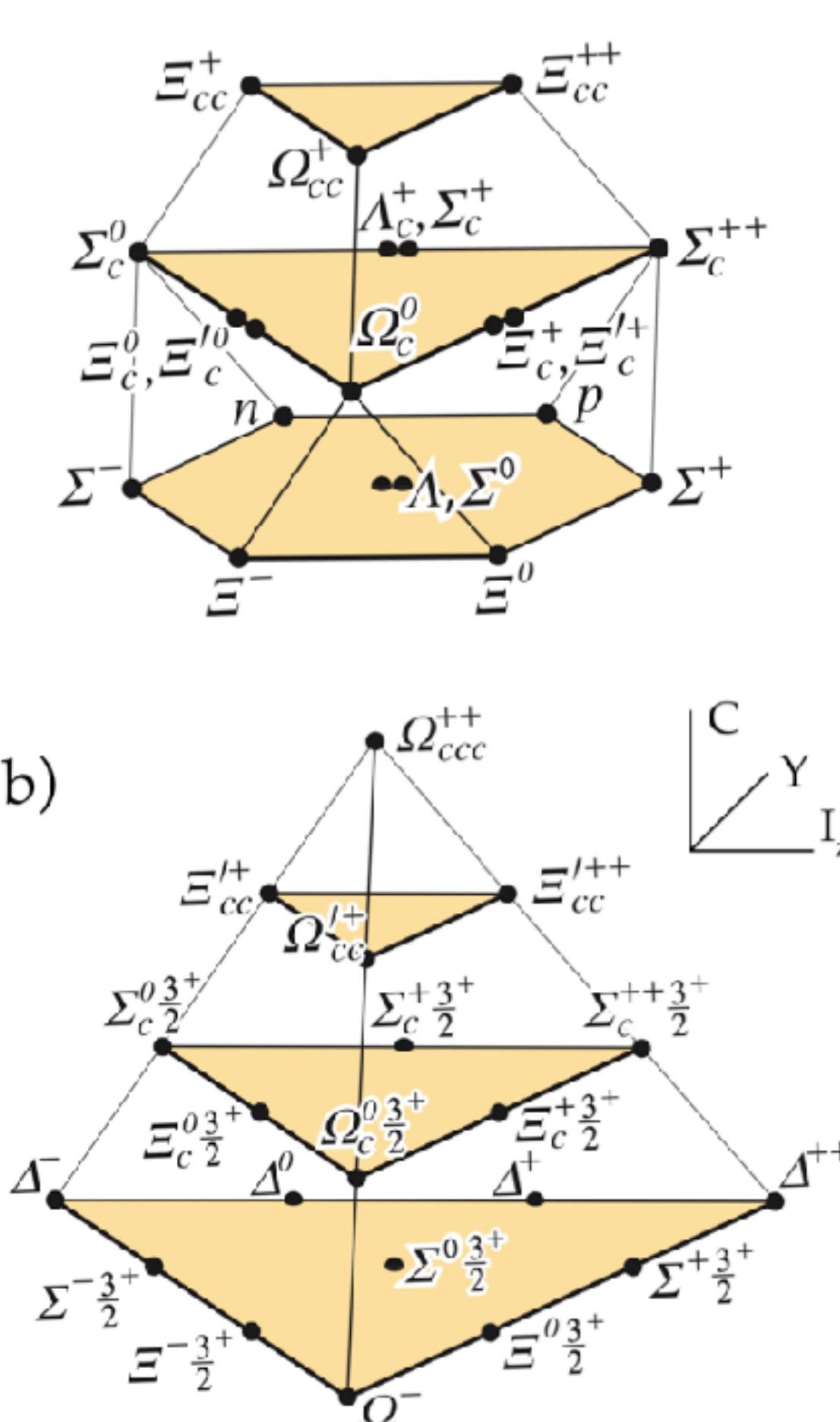
- Similar process for **mesons and baryons**?
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- Measure **states with two or more heavy quarks**?
- Angular **correlations** and heavy-flavour–jet studies?



# How to study fragmentation and hadronisation?

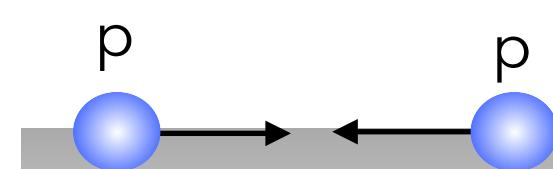


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C (charm content), Y (hypercharge),  $I_z$  (isospin)



- Similar process for **mesons and baryons**?
- What about **excited states**?
- **Strange** quark content influence?
- Measure **states with two or more heavy quarks**?
- Angular **correlations** and heavy-flavour–**jet** studies?
- Perform such studies as a function of the **system size** (from pp to pA and AA) and the charged-particle **multiplicity** (collision centrality) as differentially as possible ( $y$ ,  $p_T$ )

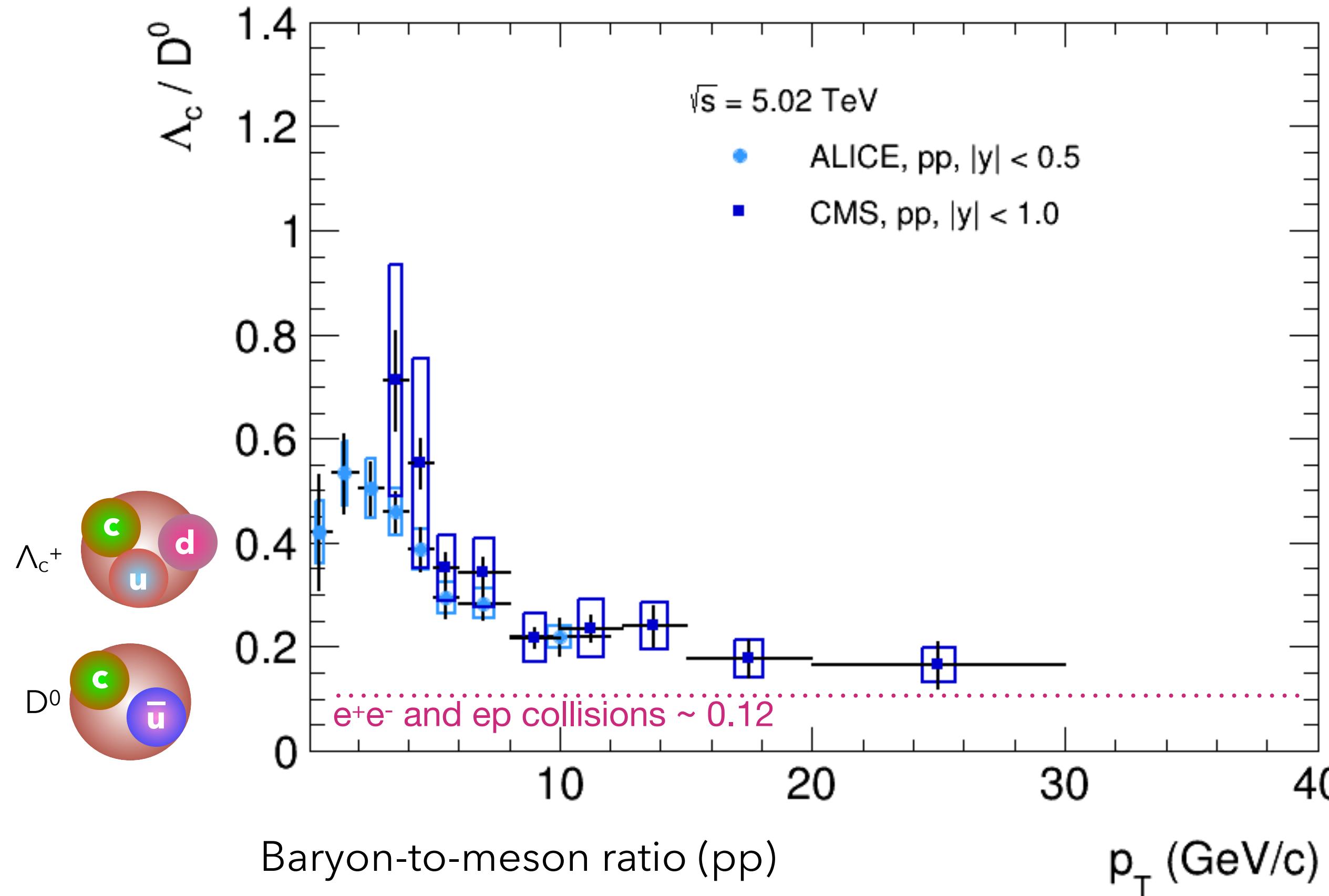




# Baryons vs. mesons: charm hadron results (pp)?

Talk. J Cho

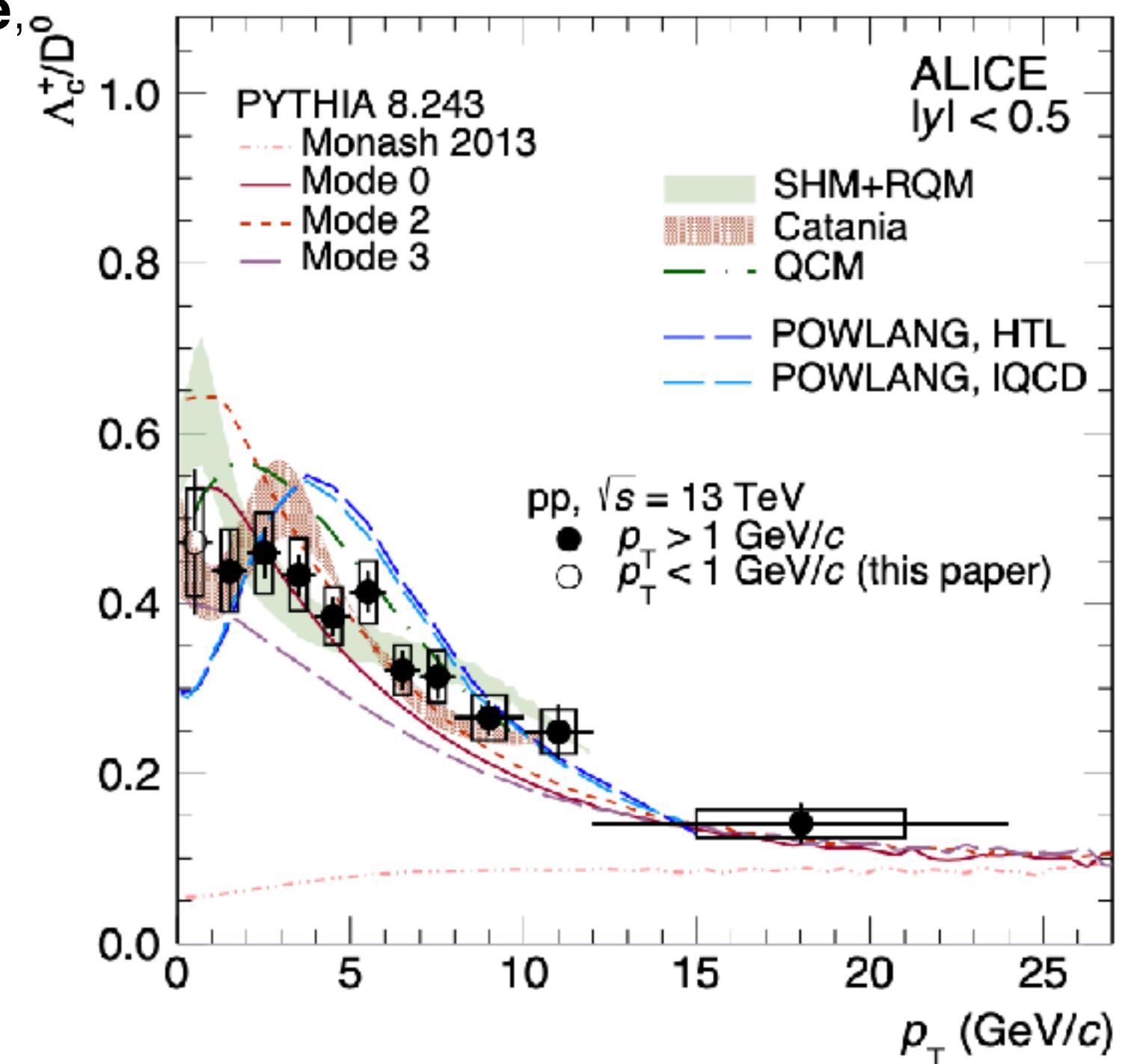
Talk. S. Chandra

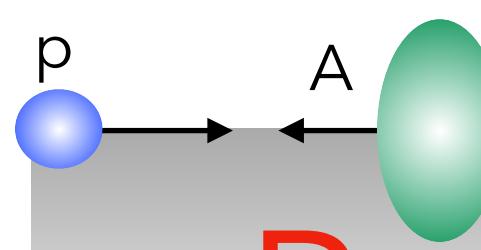


ALICE, pp, pPb, [PRC 107 \(2023\) 064901](#)  
 ALICE, pp, [JHEP 12 \(2023\) 086](#)  
 CMS, pp, PbPb, [JHEP 01 \(2024\) 128](#)

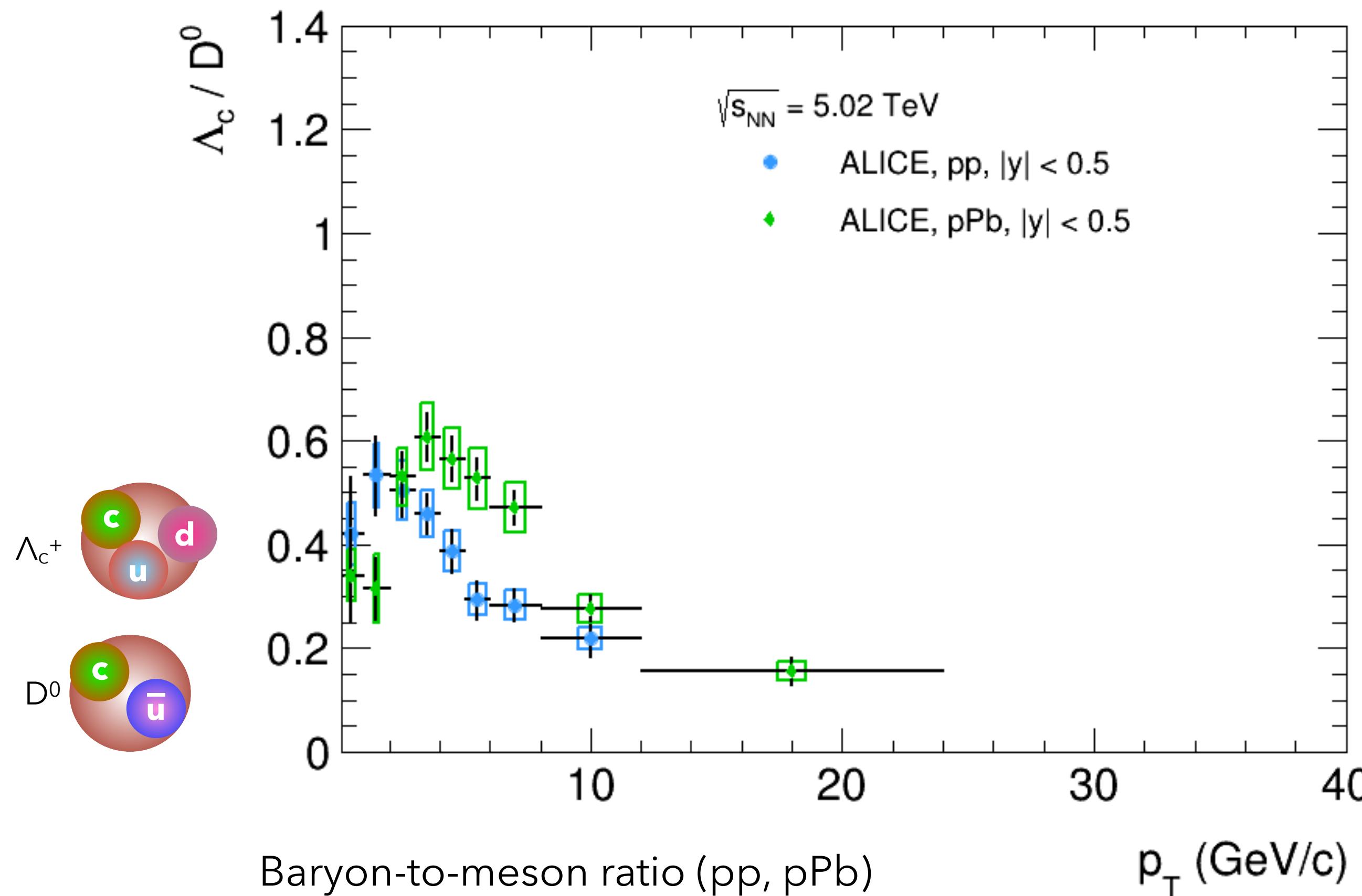
PYTHIA8: Skands et al., [EPJC 74 \(2014\) 3024](#)  
 POWLANG: Beraudo et al., [arXiv:2306.02152](#)  
 SHM+RQM: He et al., [PLB 795 \(2019\) 117-121](#)  
 QCM: Song et al., [EPJC 78 \(2018\) 344](#)  
 Catania: Scardina et al., [PRC96 \(2017\) 044905](#), [PLB 821 \(2021\) 136622](#)

- Observed a **strong  $p_T$  dependence** of the baryon-to-meson ratios in the charm sector, similar to that observed in the light-flavour sector.
- Described by models considering **additional mechanisms/interactions at play in a high-density environment wrt the independent fragmentation picture**:
  - colour reconnection** beyond leading colour,
  - quark **coalescence**,
  - statistical hadronisation model (**SHM**)





# Baryons vs. mesons: charm hadron results (pPb)?



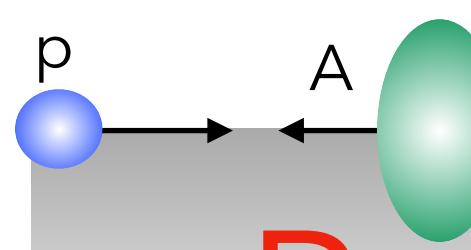
- Observed a **strong  $p_T$  dependence of the baryon-to-meson ratios in the charm sector**, similar to that observed in the light-flavour sector.
- Ratio **modified in pPb** w.r.t. pp (intermediate  $p_T$ ). Possible influence of recombination or radial flow?
- No significant  $p_T$  dependence observed at large rapidity in pPb. Possible **rapidity dependence**?

ALICE, pp, pPb, [PRC 107 \(2023\) 064901](#)

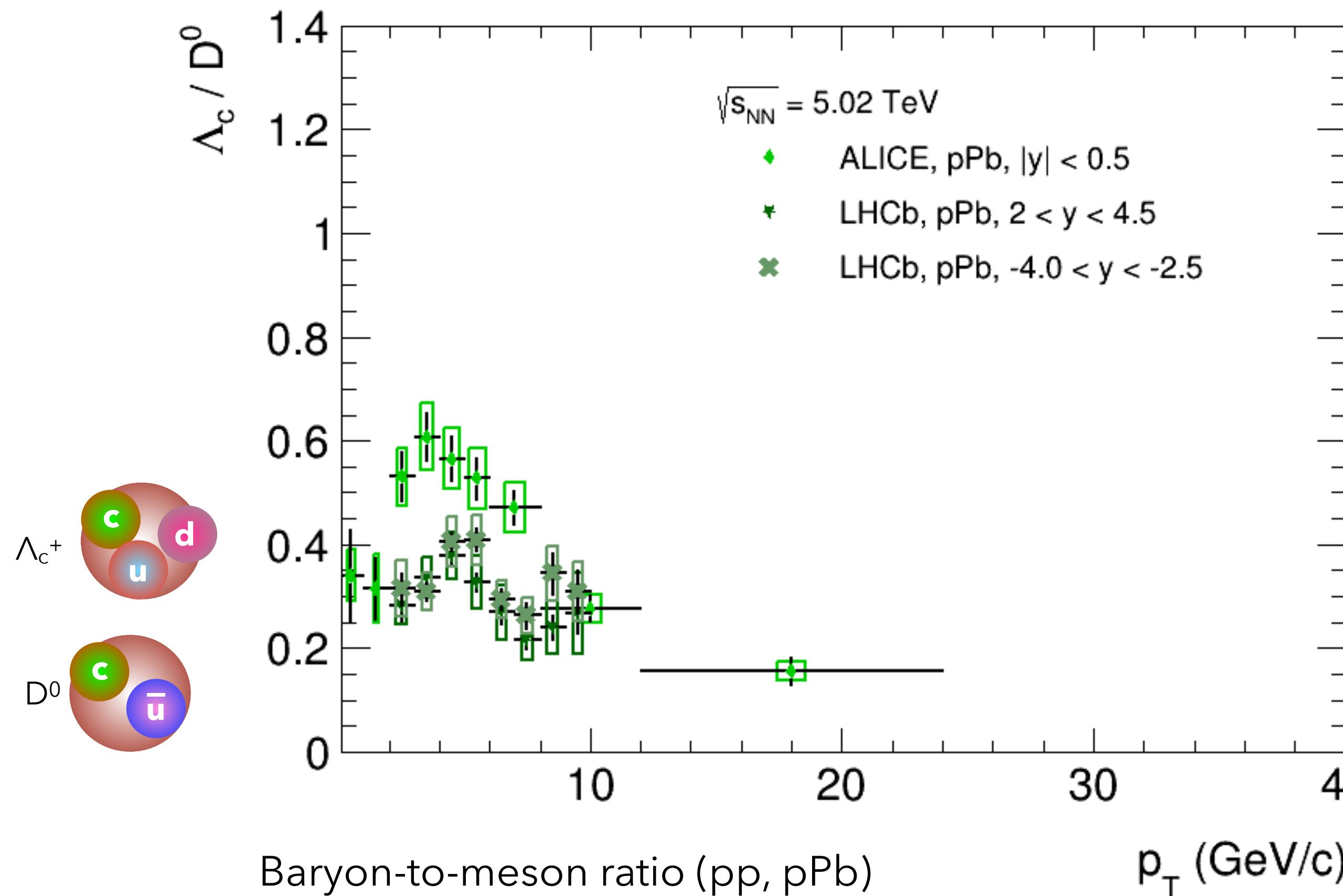
CMS, pp, PbPb, [JHEP 01 \(2024\) 128](#)

LHCb, pPb, [JHEP 02 \(2019\) 102](#)

LHCb, PbPb, [JHEP06 \(2023\) 132](#)



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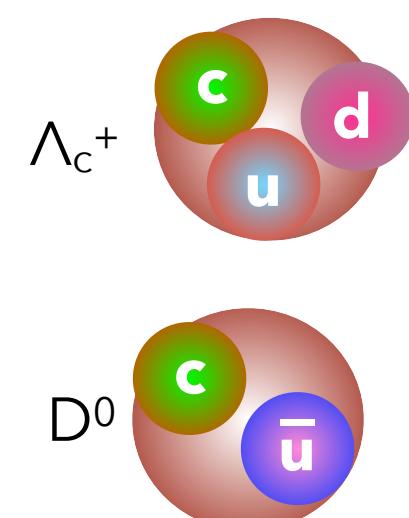
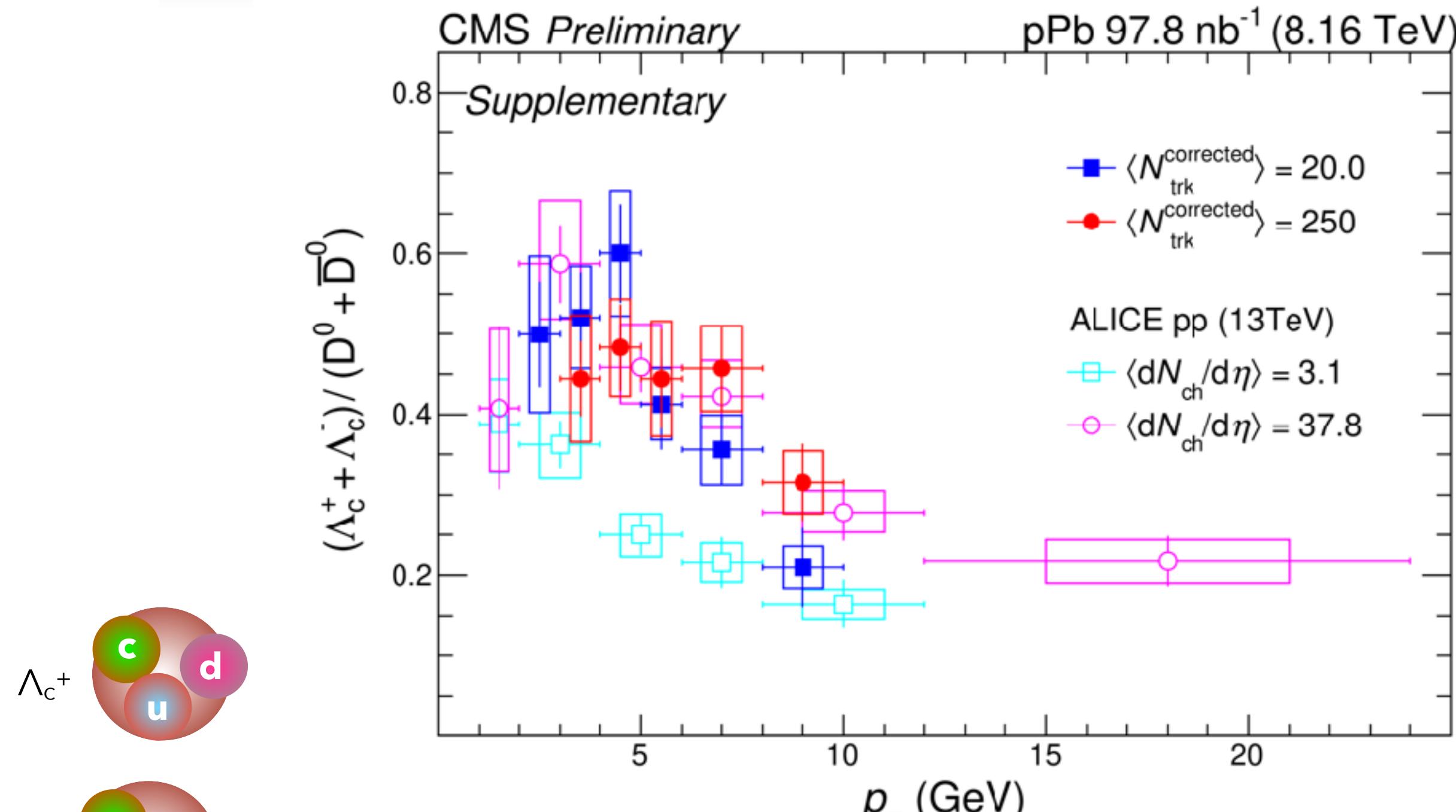
ALICE, pp, pPb, [PRC 107 \(2023\) 064901](#)

CMS, pp, PbPb, [JHEP 01 \(2024\) 128](#)

LHCb, pPb, [JHEP 02 \(2019\) 102](#)

LHCb, PbPb, [JHEP06 \(2023\) 132](#)

# Baryons vs. mesons: charm hadrons vs. multiplicity?



SHMc: Andronic et al, [JHEP 07 \(2021\) 35](#)

Catania: Scardina et al, [PRC96 \(2017\) 044905](#)

TAMU: He et al, [PRL 124 \(2020\) 042301](#)

Monash; P. Skands, et al, [Eur.Phys.J.C74 n.8 \(2014\) 3024](#)

CR-BLC; JR Christiansen, et al, [JHEP 08 \(2015\) 003](#)

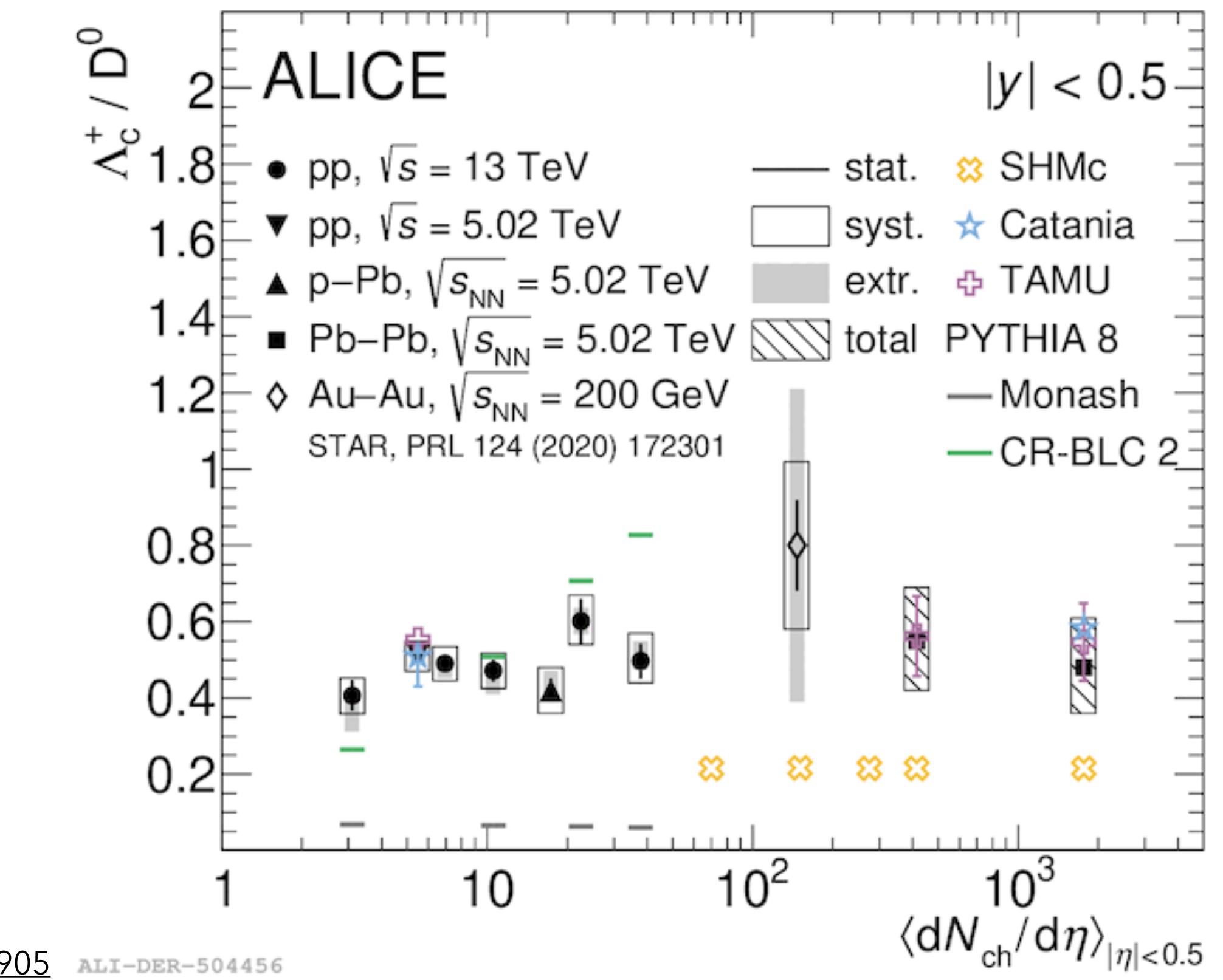
CMS, pPb, [CMS-PAS-HIN-21-016 \(2023\)](#)

ALICE, pp, [PLB 829 \(2022\) 137065](#)

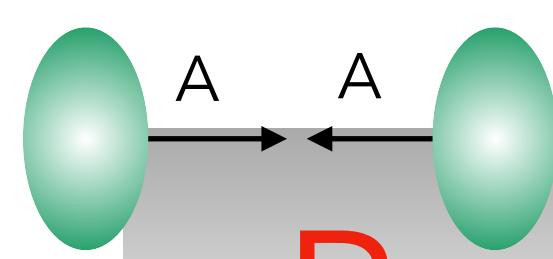
STAR, AuAu, [PRL 124 \(2020\) 172301](#)

ALICE pp pPb 5 TeV, [PRC 104 \(2021\) 054905](#)

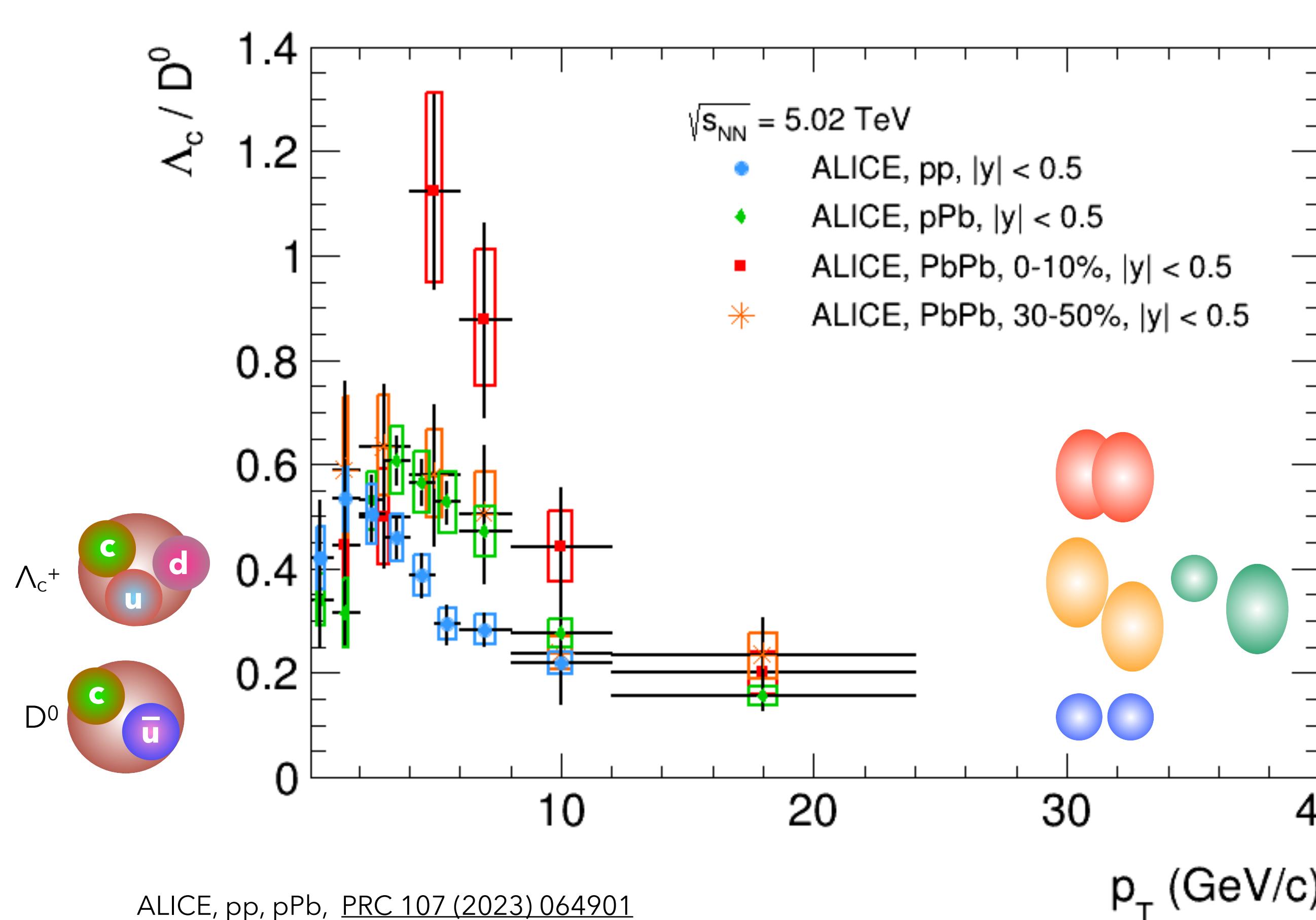
ALICE, PbPb, [PLB 839 \(2023\) 137796](#)



- Observed **evolution of the  $p_T$  distribution** with charged-particle multiplicity
- **No significant effect for the  $p_T$ -integrated ratio** vs. charged-particle multiplicity
- **Likely due to a redistribution of momentum**, rather than to an overall enhancement of baryon yield.



# Baryons vs. mesons: charm hadron results (PbPb)?



ALICE, pp, pPb, [PRC 107 \(2023\) 064901](#)

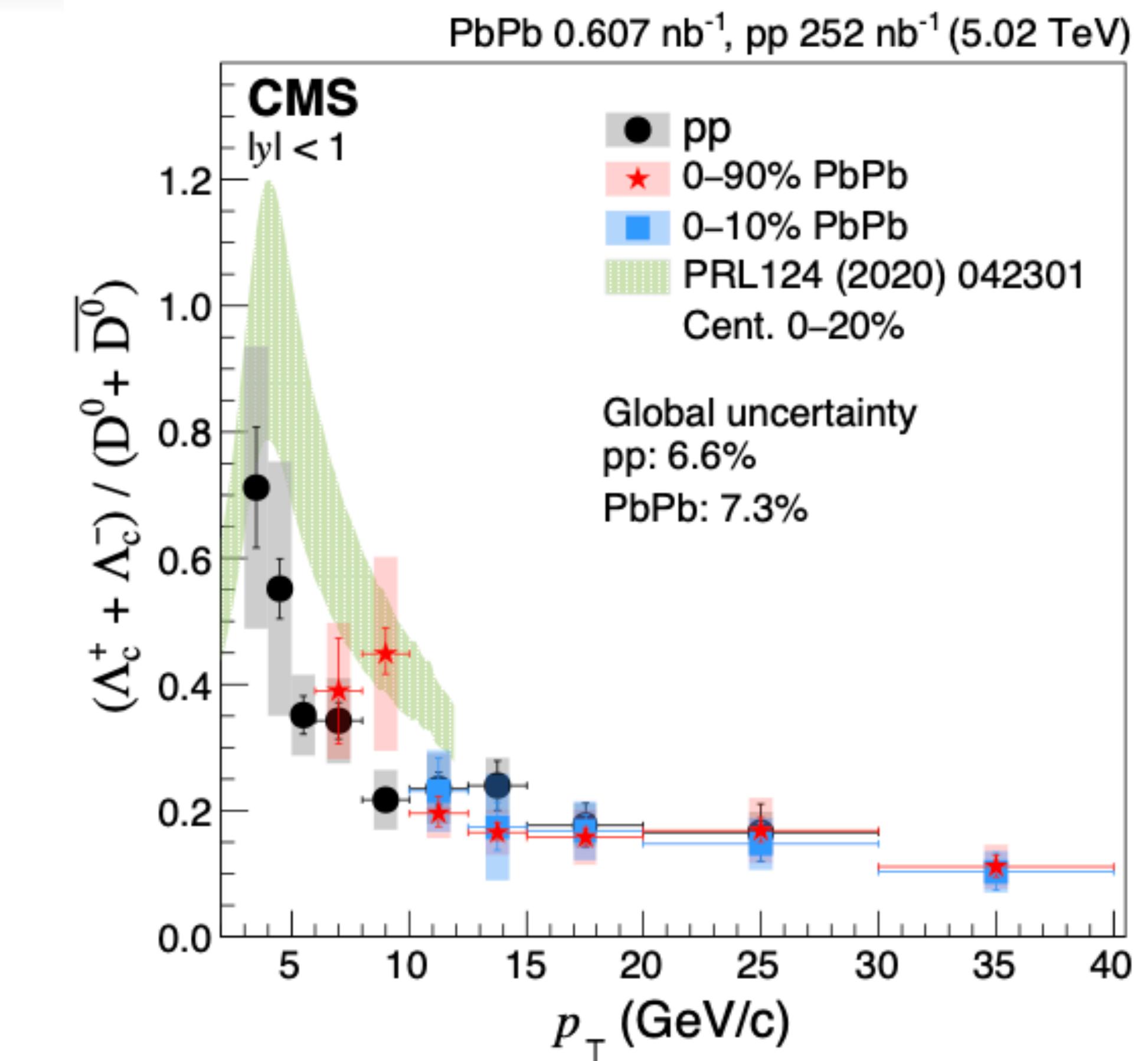
ALICE, PbPb, [PLB 839 \(2023\) 137796](#)

CMS, pp, PbPb, [JHEP 01 \(2024\) 128](#)

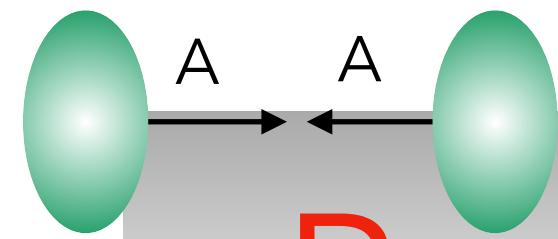
LHCb, pPb, [JHEP 02 \(2019\) 102](#)

LHCb, PbPb, [JHEP06 \(2023\) 132](#)

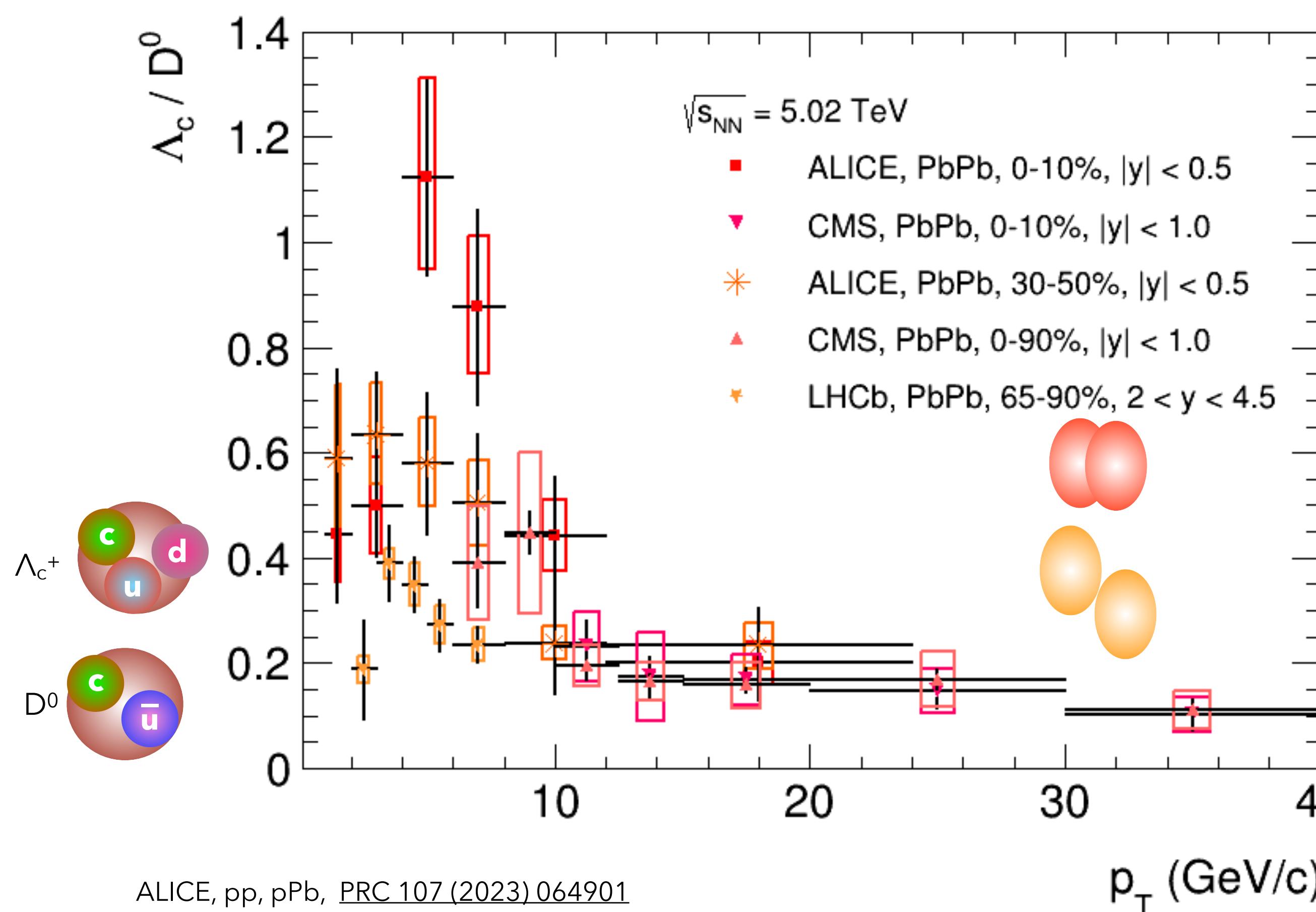
TAMU: He et al, [PRL 124 \(2020\) 042301](#)



- **Larger modification** of the  $p_T$  distribution for the **most central** collisions
- Qualitative agreement with model calculation



# Baryons vs. mesons: charm hadron results (PbPb)?



ALICE, pp, pPb, [PRC 107 \(2023\) 064901](#)

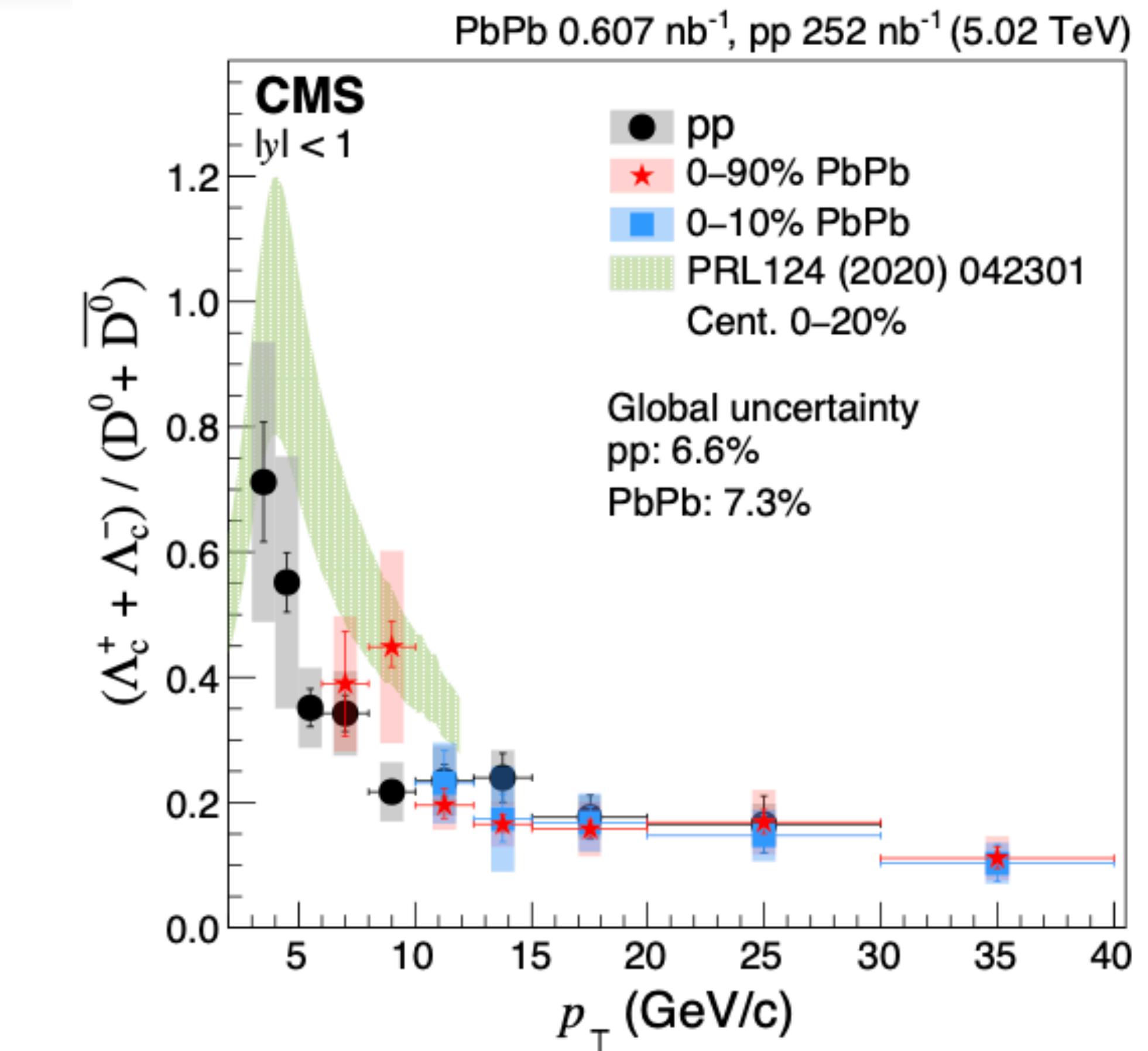
ALICE, PbPb, [PLB 839 \(2023\) 137796](#)

CMS, pp, PbPb, [JHEP 01 \(2024\) 128](#)

LHCb, pPb, [JHEP 02 \(2019\) 102](#)

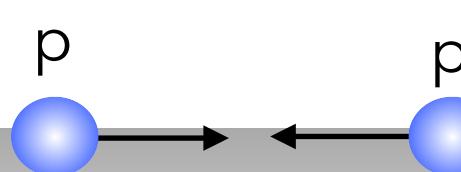
LHCb, PbPb, [JHEP06 \(2023\) 132](#)

TAMU: He et al, [PRL 124 \(2020\) 042301](#)

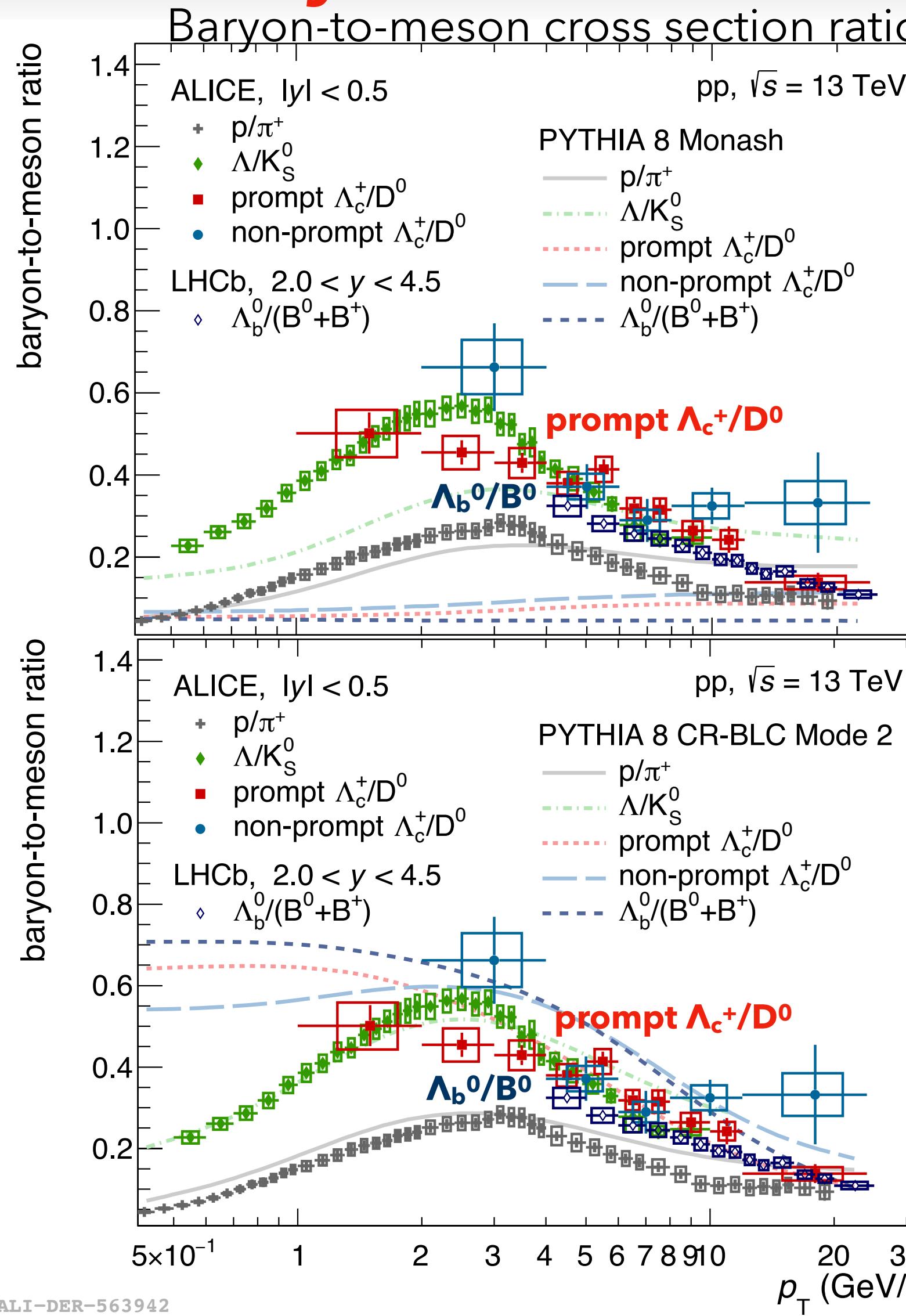


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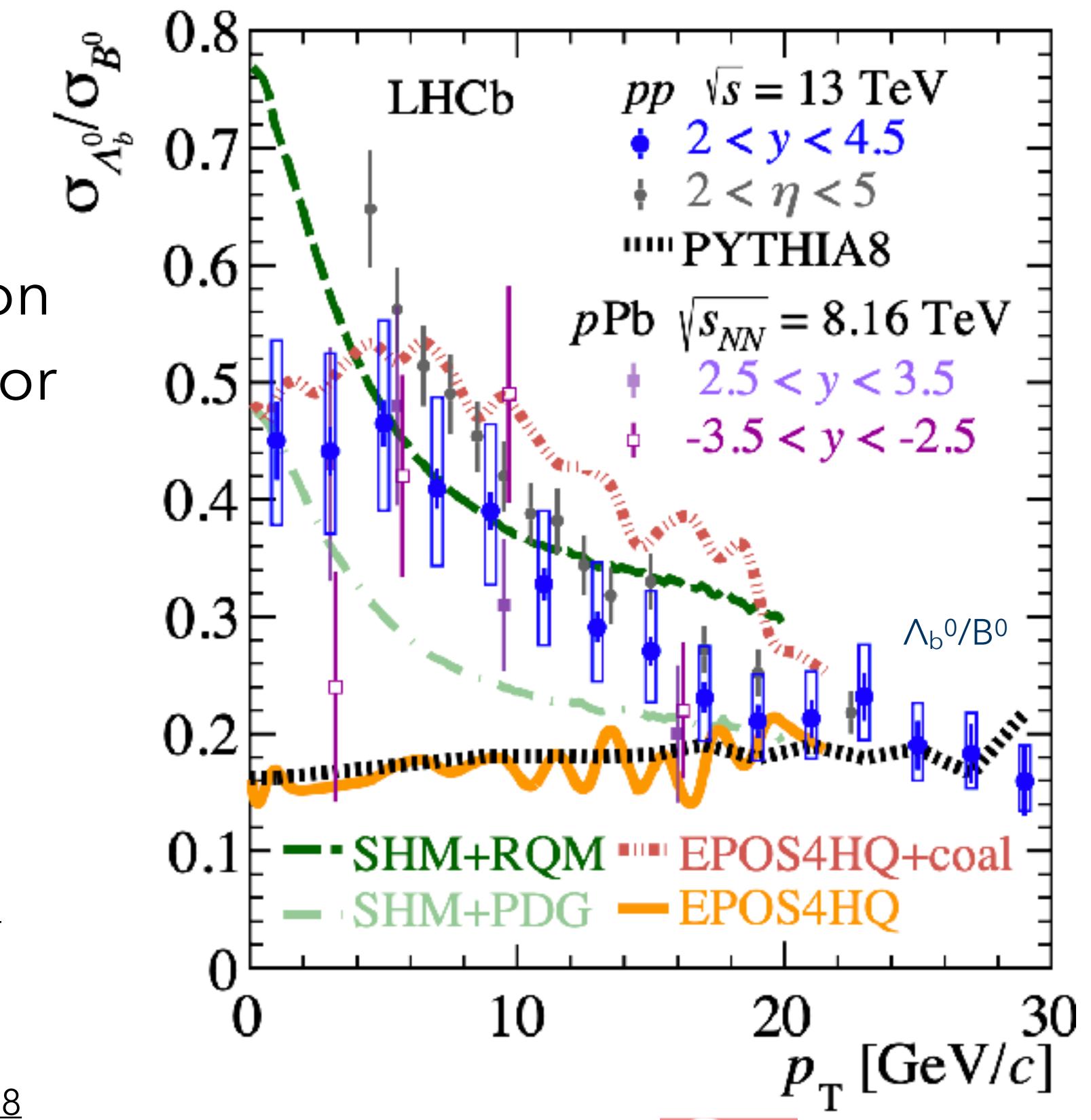


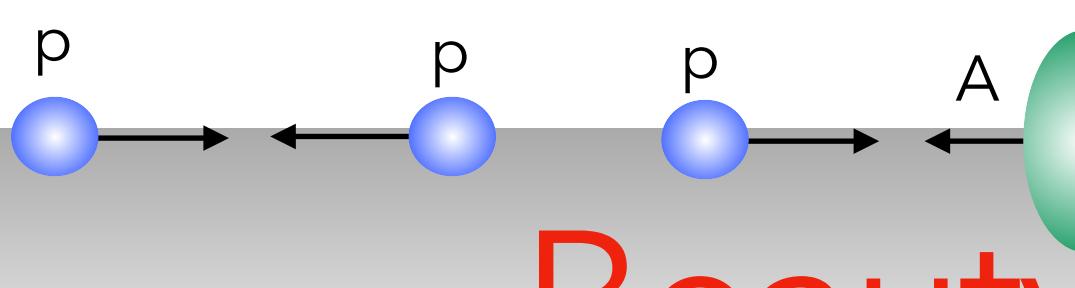
# Baryons vs. mesons in the beauty sector (pp)



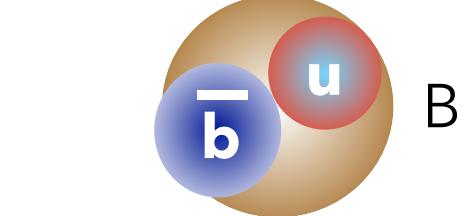
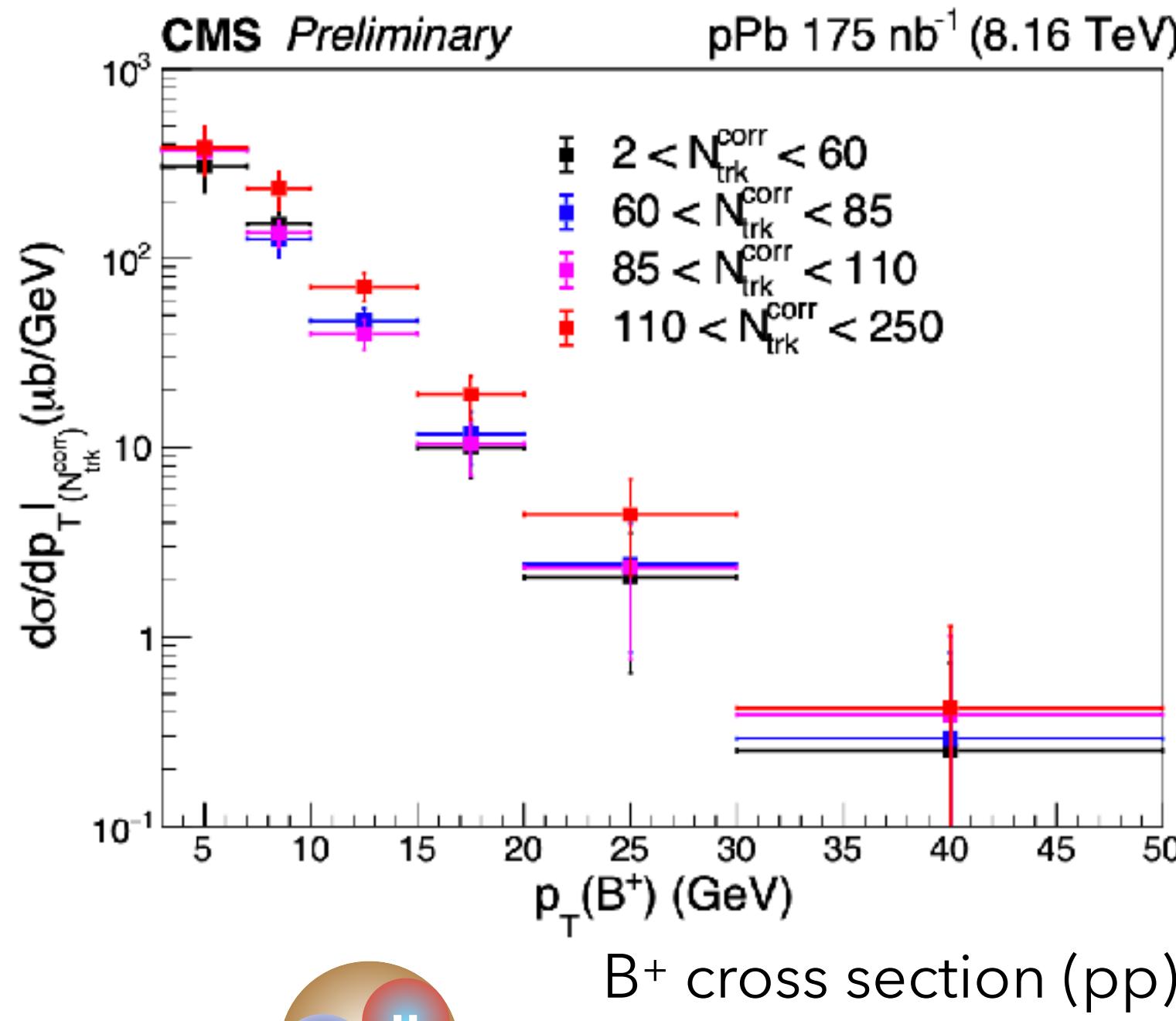
- **Similar findings observed in the beauty sector**  
( $\Lambda_b^0/B^0$  and non-prompt  $\Lambda_c^0/D^0$ )
- Strong  $p_T$  dependence of the beauty baryon-to-meson ratios
- Results described by models considering additional effects to the independent fragmentation picture, e.g. **coalescence** or **colour-reconnection** mechanisms

ALICE, PRD 108 (2023) 112003  
LHCb, PRD 100 (2019) 031102  
ALICE, PRL 128 (2022) 012001  
ALICE, EPJC 81 (2021) 256  
CDF, Phys.Rev.D77:072003,2008





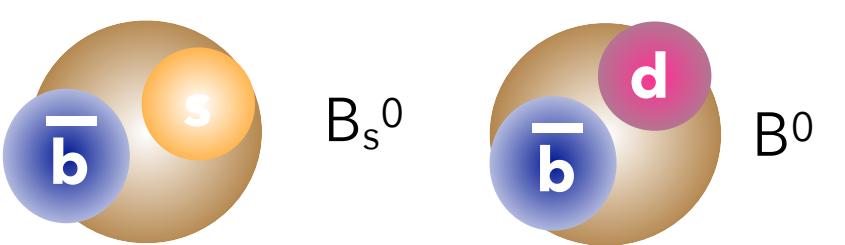
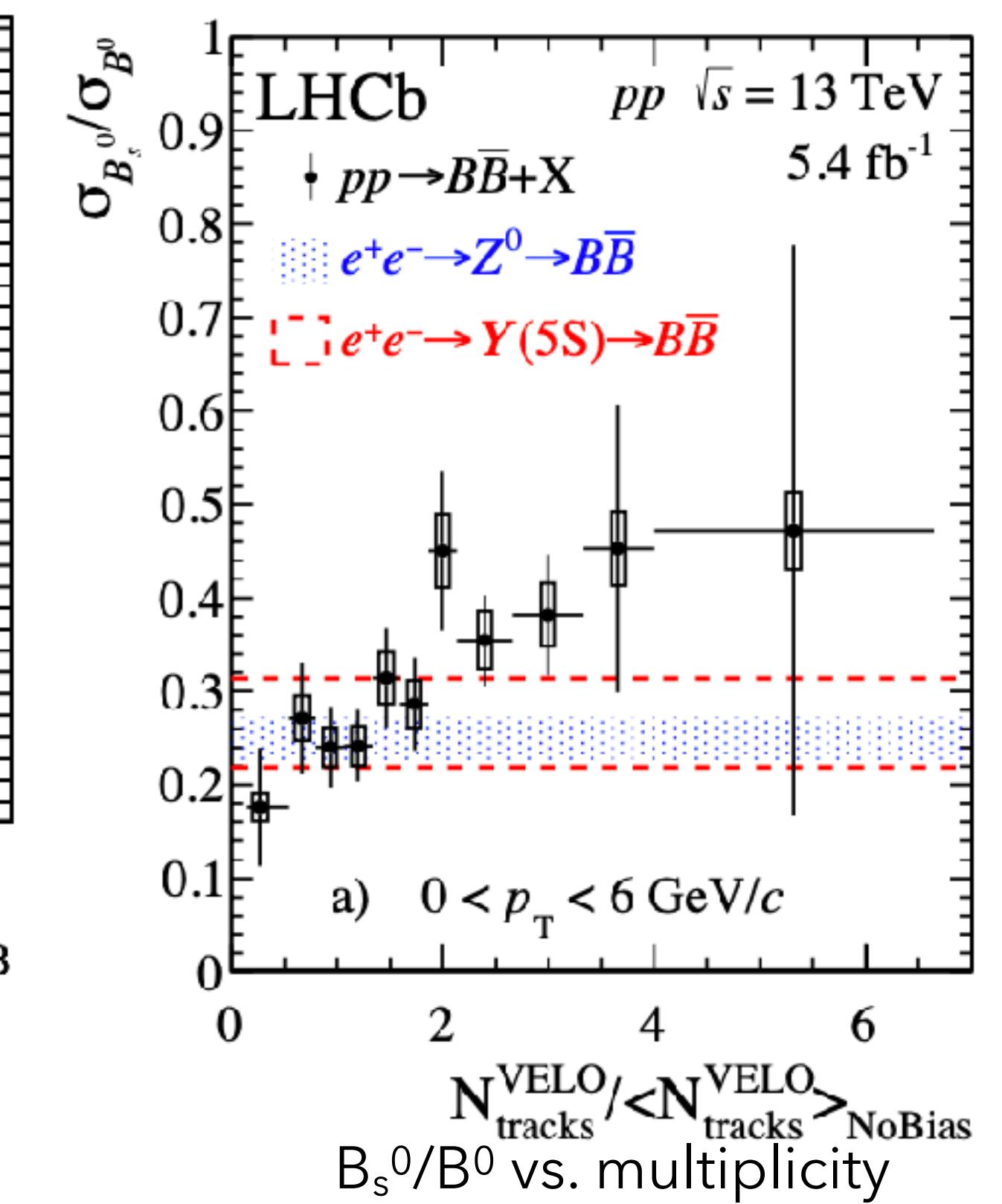
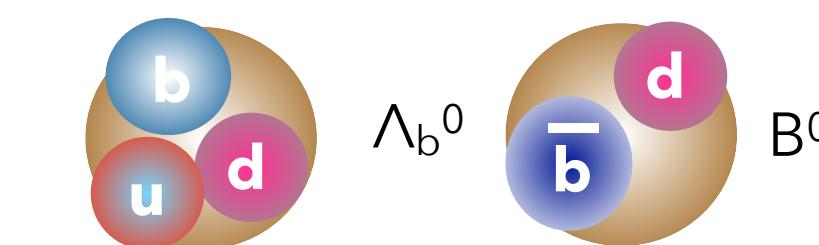
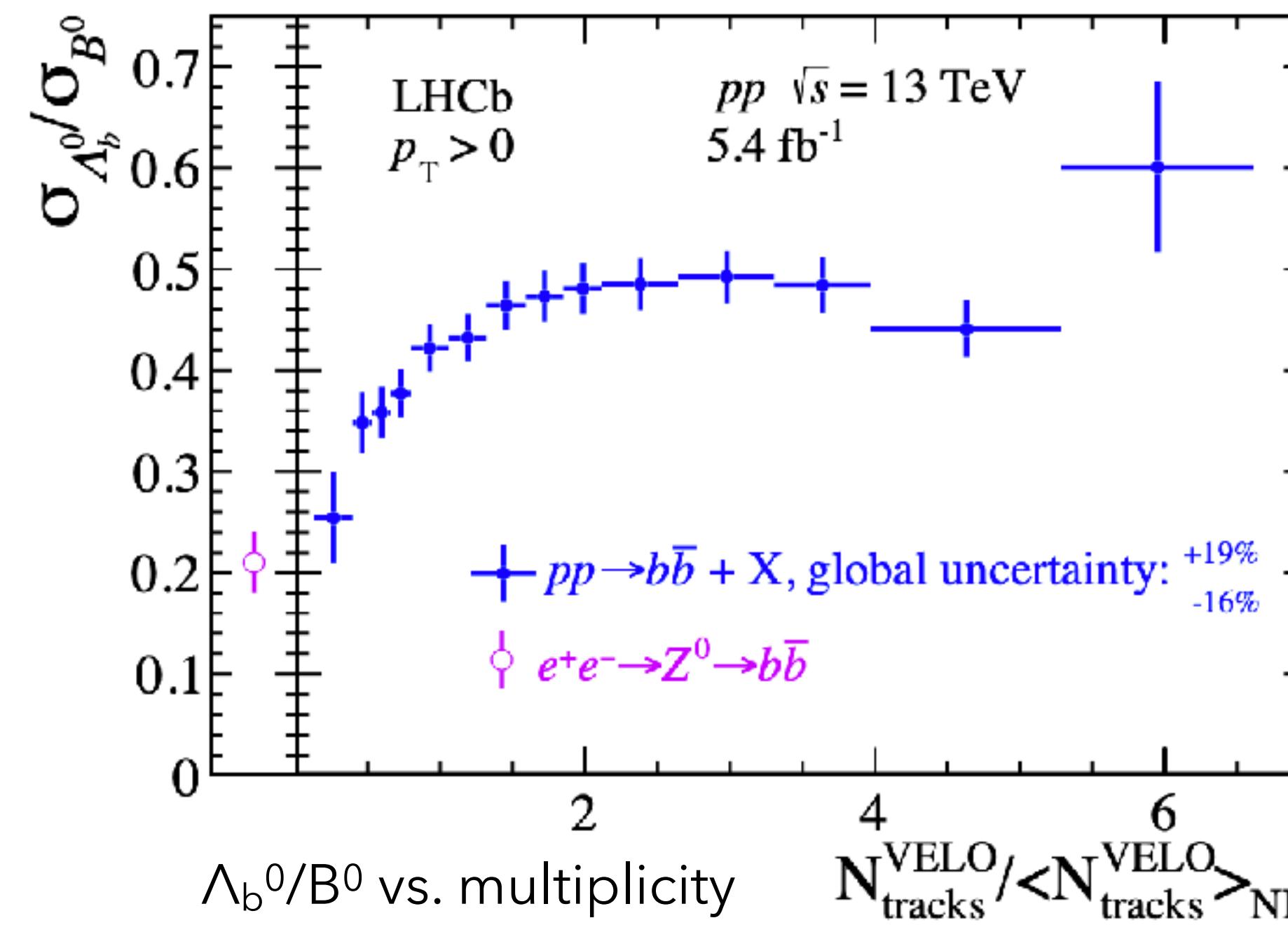
# Beauty hadrons multiplicity dependence



LHCb, arXiv:2310.12278

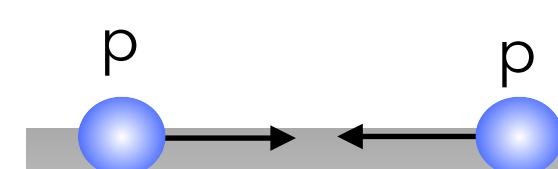
LHCb-PAPER-2022-001, arXiv: 2204.13042

CMS, CMS-PAS-HIN-22-001

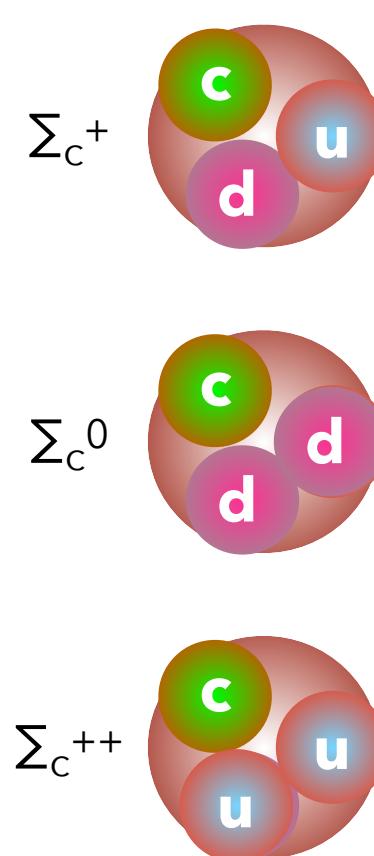


- **Evolution of the ratios with charged-particle multiplicity**

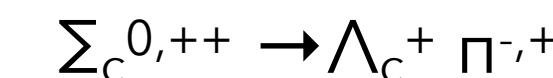
- Interplay of **coalescence** (dominant at low  $p_T$ ) and vacuum **fragmentation** (dominant at high  $p_T$ )?
- Complementary studies introducing a y-gap between the observable and the multiplicity?



# Excited states: charm baryons from pp $\sqrt{s} = 13.6$ TeV data

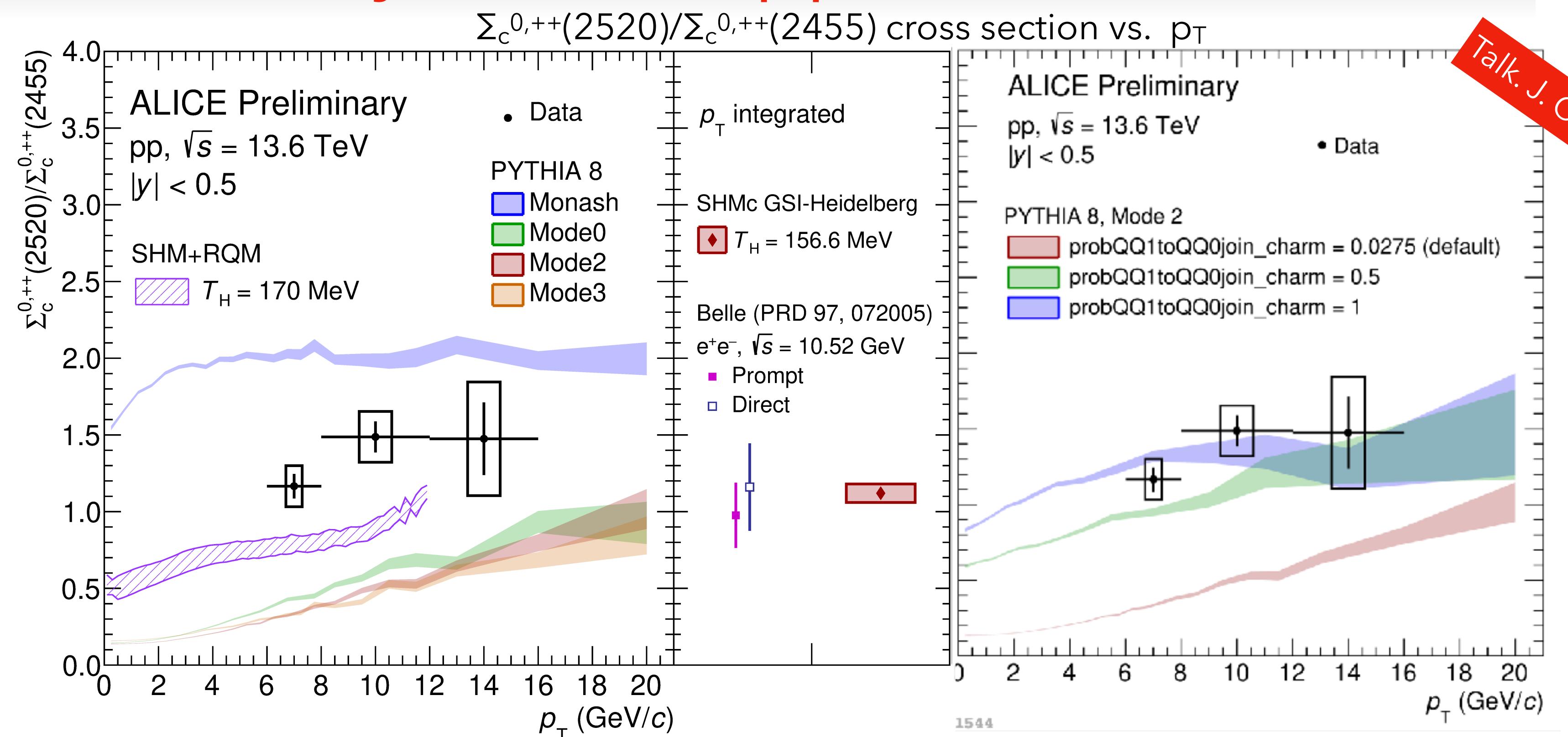


Belle, PRD 97, 072005 (2018)  
ALICE Preliminary



State	$J^P$
$\Sigma_c^+(2520)$	$3/2^+$
$\Sigma_c^+(2455)$	$1/2^+$

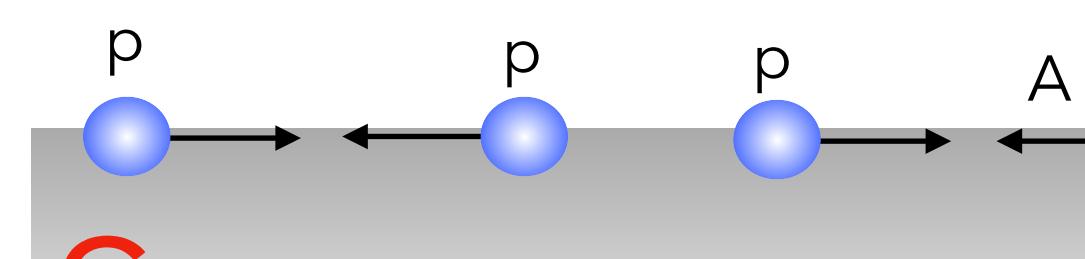
PYTHIA 8: JHEP 08 (2015) 003  
SHMc: JHEP 07 (2021) 035  
Altman et al: arXiv: 2405.19137



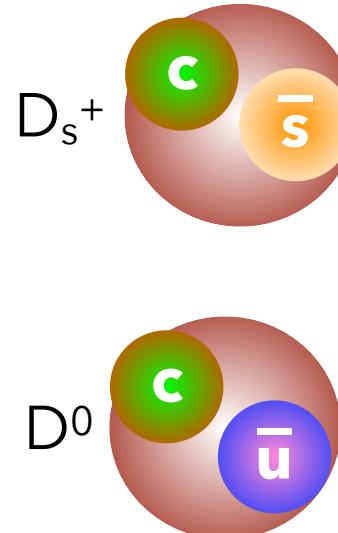
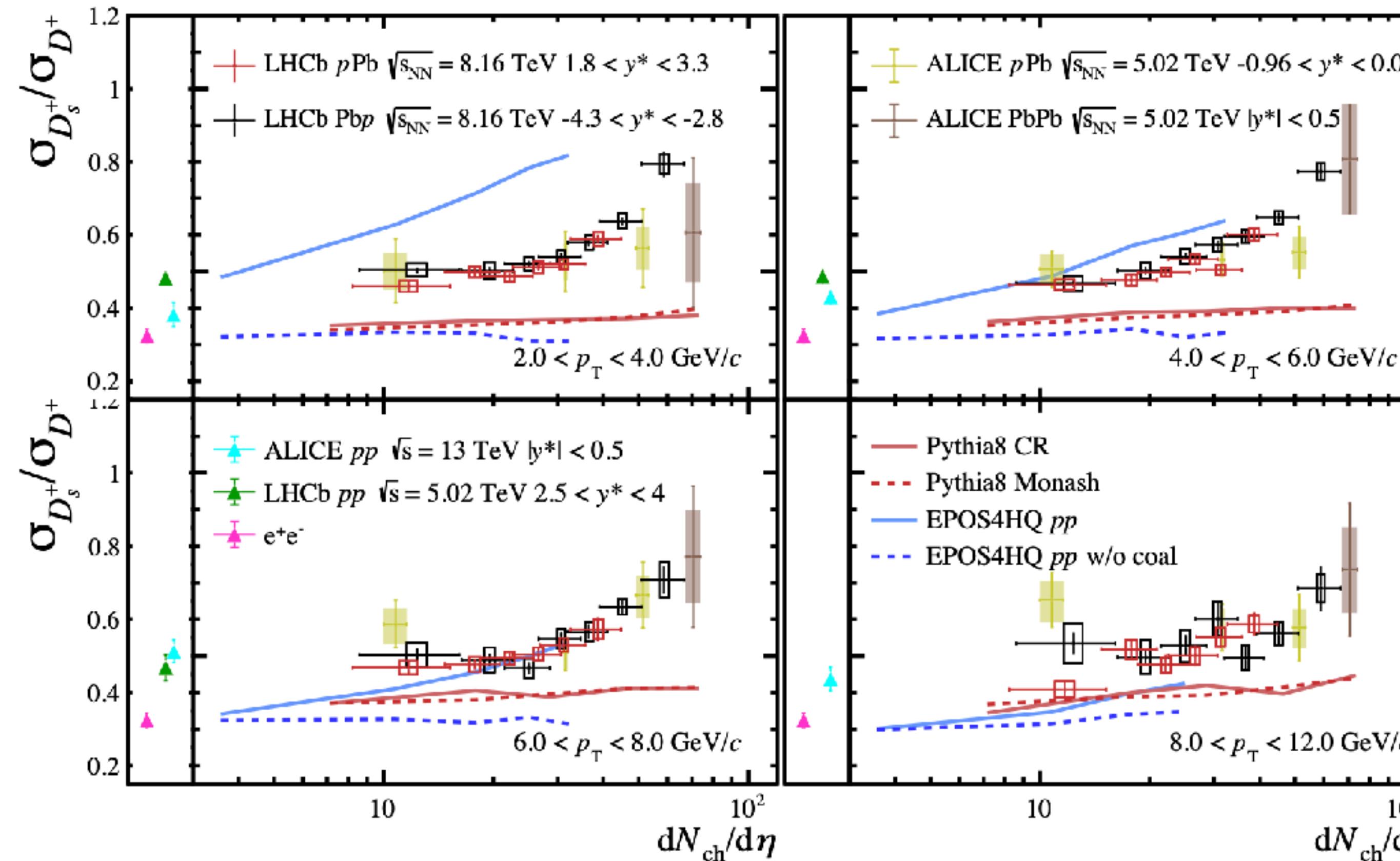
- Ratio (pp) larger than unity and of similar magnitude than Belle's ( $e^+e^-$ ) results
- Comparison to models:
  - PYTHIA 8 CR (Monash) tunes under-(over-)estimate data, CR-BLC tune (on  $\Lambda_c \leftarrow \Sigma_c / \Lambda_c$  data) performs better
  - SHM ( $T_H=156.6$  MeV) reproduces data
- Role of diquarks in hadronisation modelling. **Data constrains hadronisation models!**

Talk: J. Cho





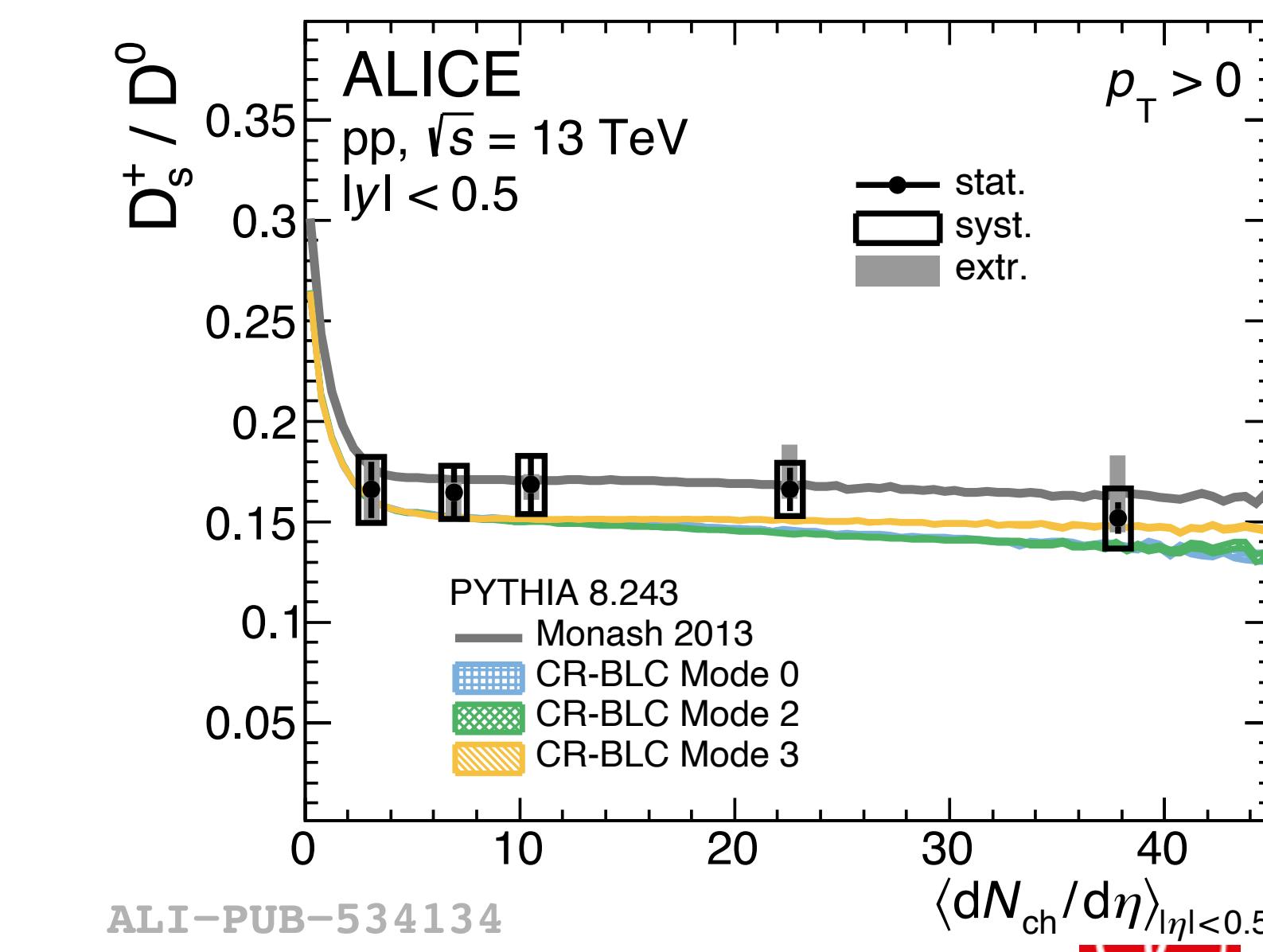
# Strangeness: What about mesons with s-quark content?



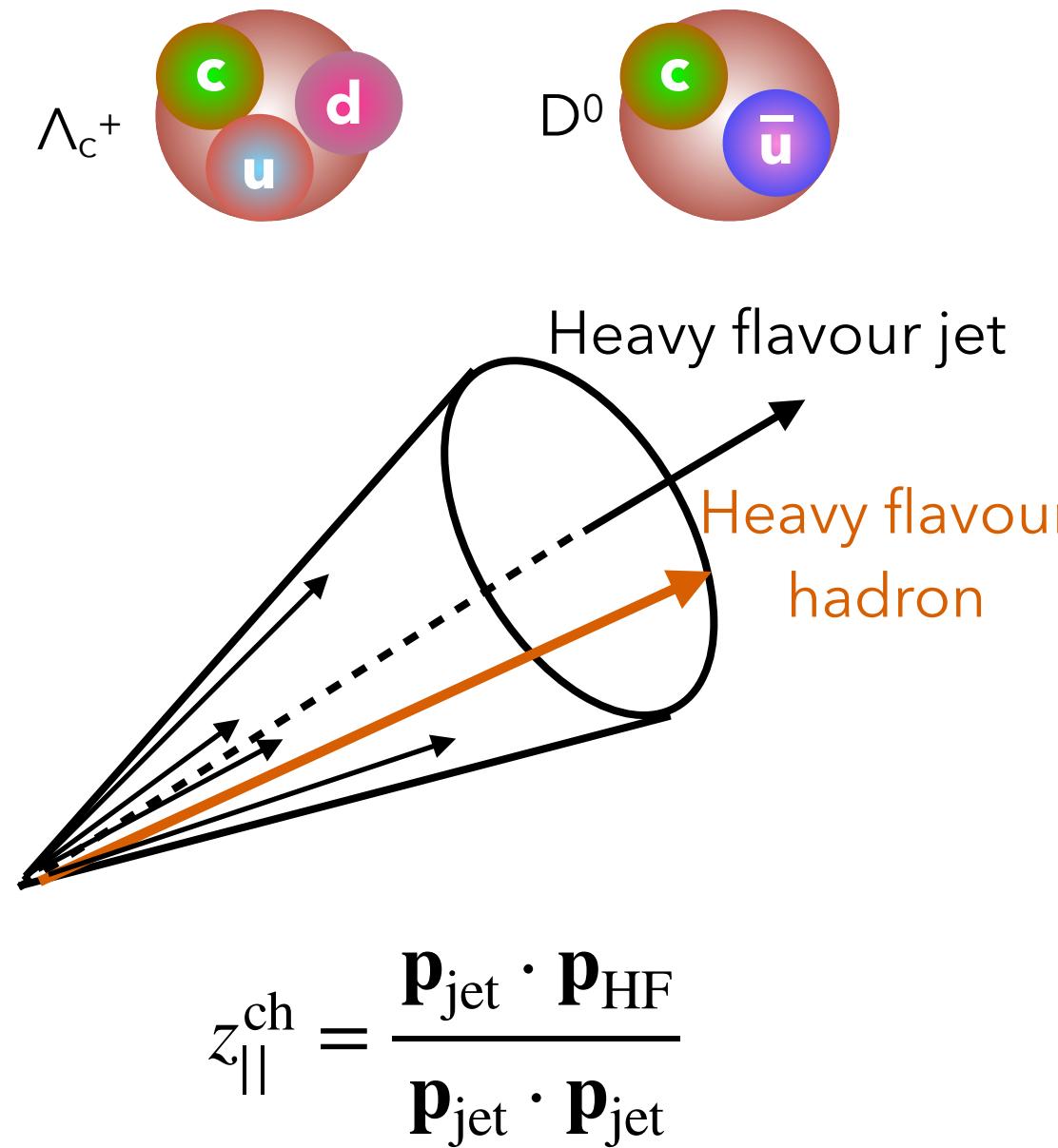
LHCb, arXiv:2311.08490  
ALICE, JHEP 12 (2019) 092  
ALICE, PLB 829 (2022) 137065  
STAR, PRL 127 (2021) 092301

Talk. C. Landesa  
Talk. M. Faggin

- Observation of an **increase of the  $D_s^+/\bar{D}^+$  ratio as a function of charged-particle multiplicity in pPb collisions** at large rapidity.
- Qualitatively consistent with the quark coalescence picture?



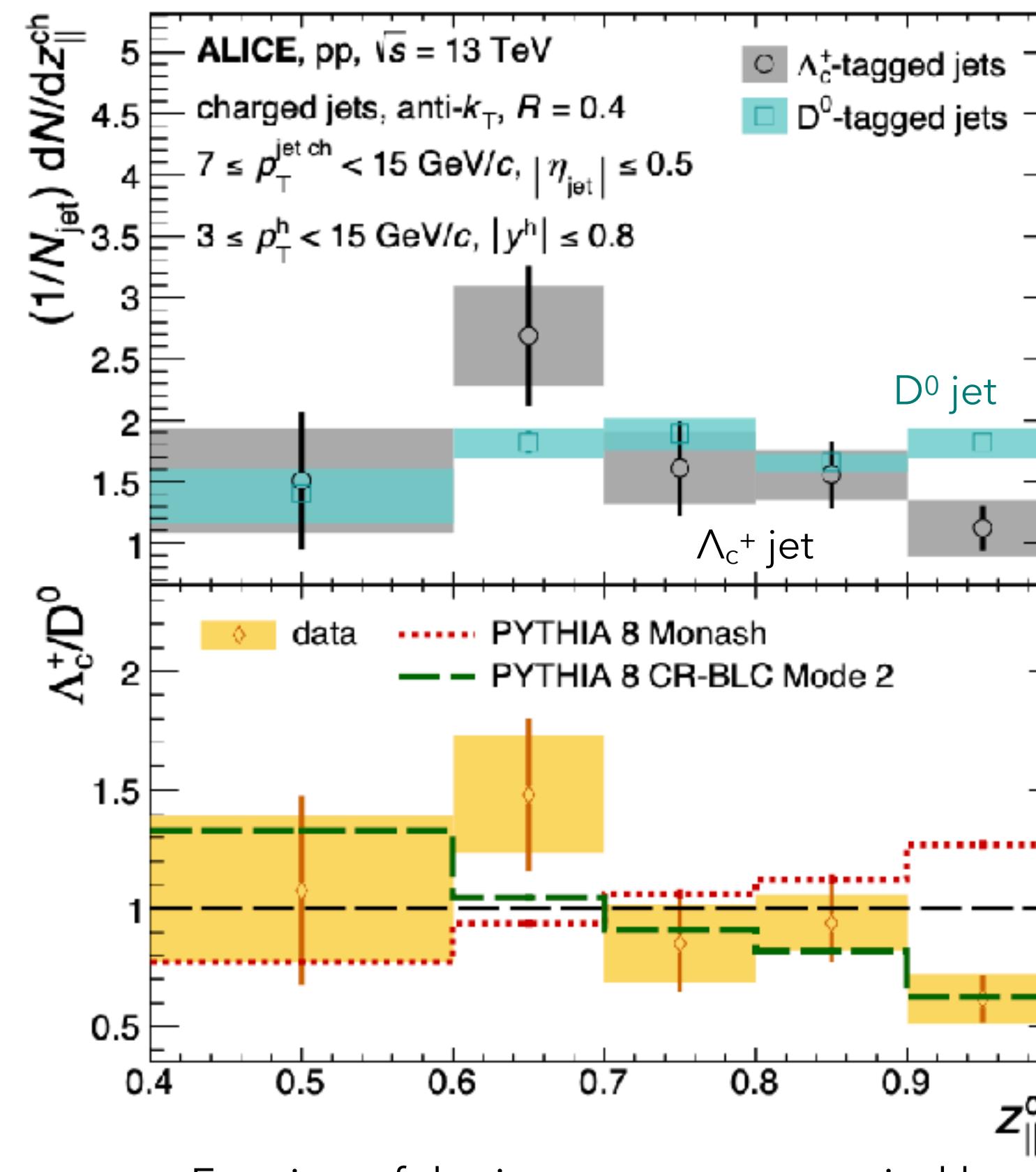
# Jets: Charm-jet studies to constrain hadronisation?



ALICE, arXiv: 2301.13798

ALICE, arXiv: 2204.10167

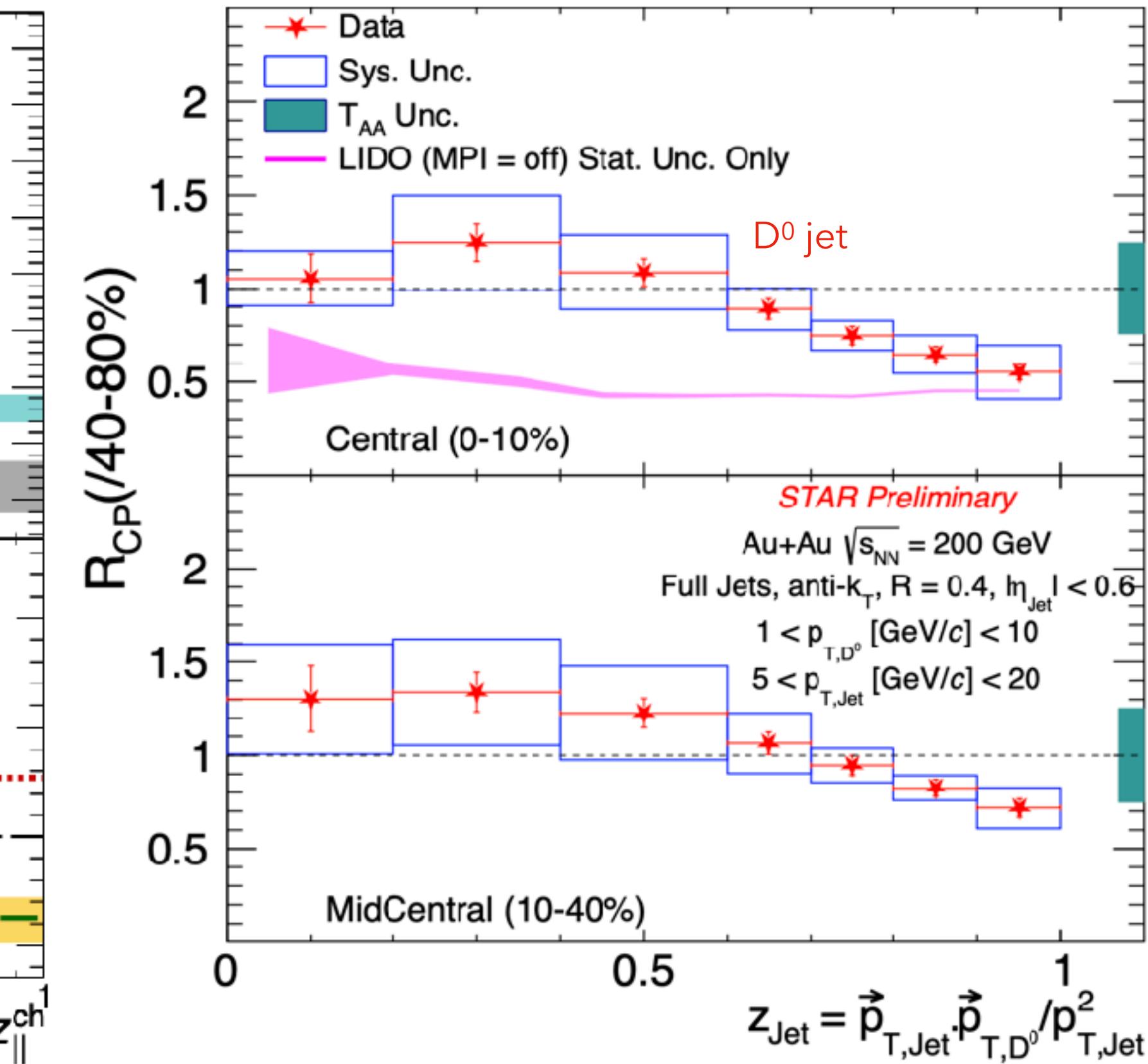
STAR Preliminary



Fraction of the jet momentum carried by the particle along the direction of the jet axis

- Hint of different (softer) fragmentation for  $\Lambda_c$  than  $D^0$  in pp.  
PYTHIA 8 calculation with colour-reconnection seems to describe the trend.
- Hint of larger suppression for  $D^0$ -jets carrying a larger jet momentum fraction in AuAu

Monash; P. Skands, et al, [Eur.Phys.J.C74 n.8 \(2014\) 3024](#)  
CR-BLC; JR Christiansen, et al, [JHEP 08 \(2015\) 003](#)  
LIDO, [PRC 98 \(2018\) 064901](#)

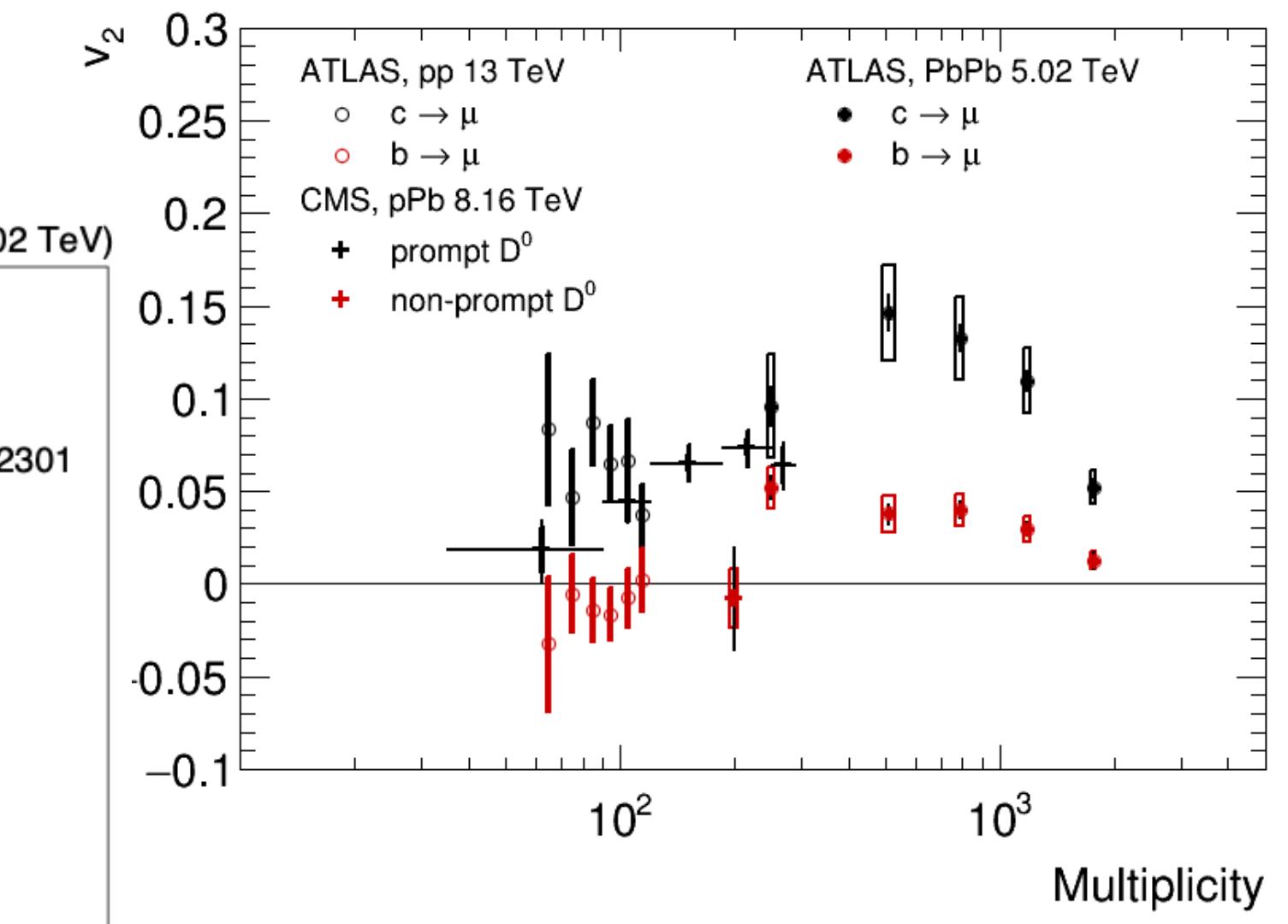
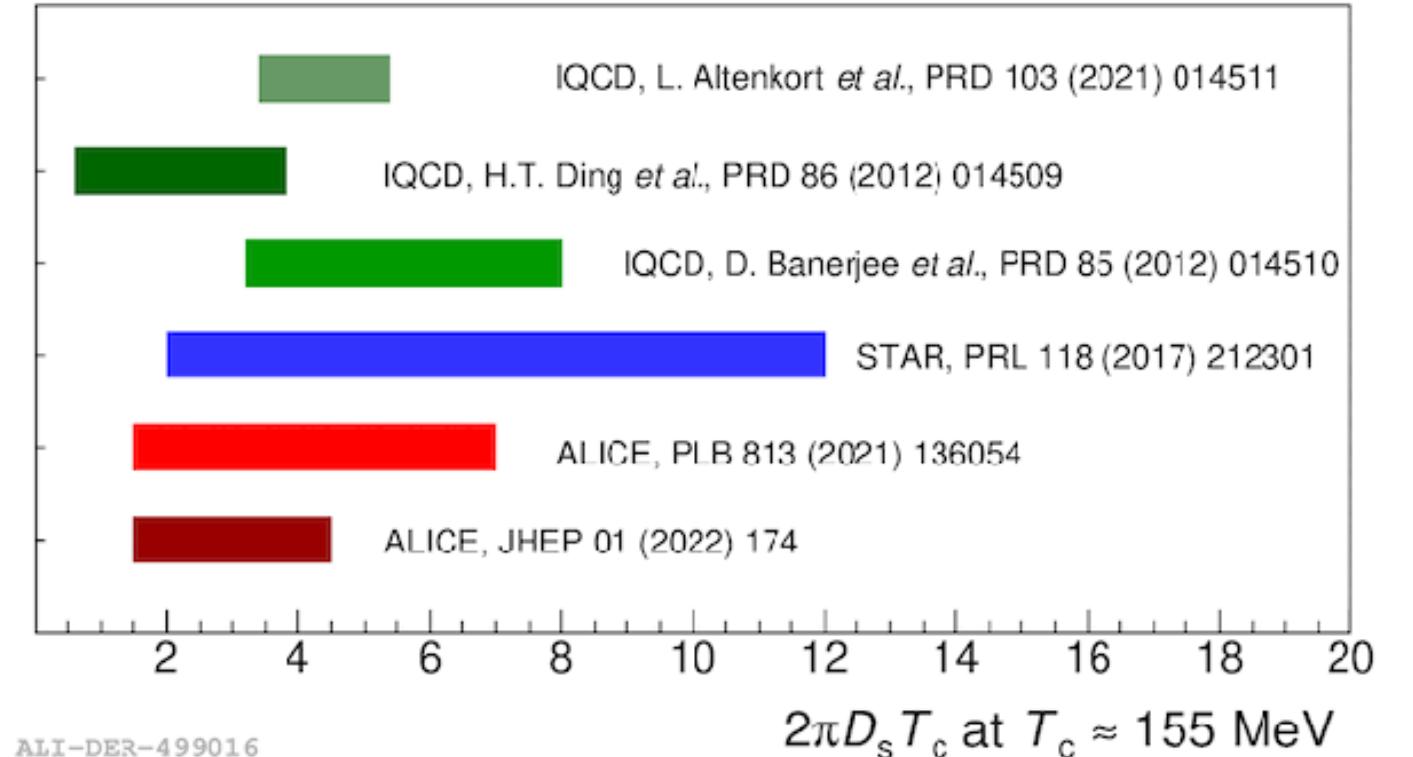
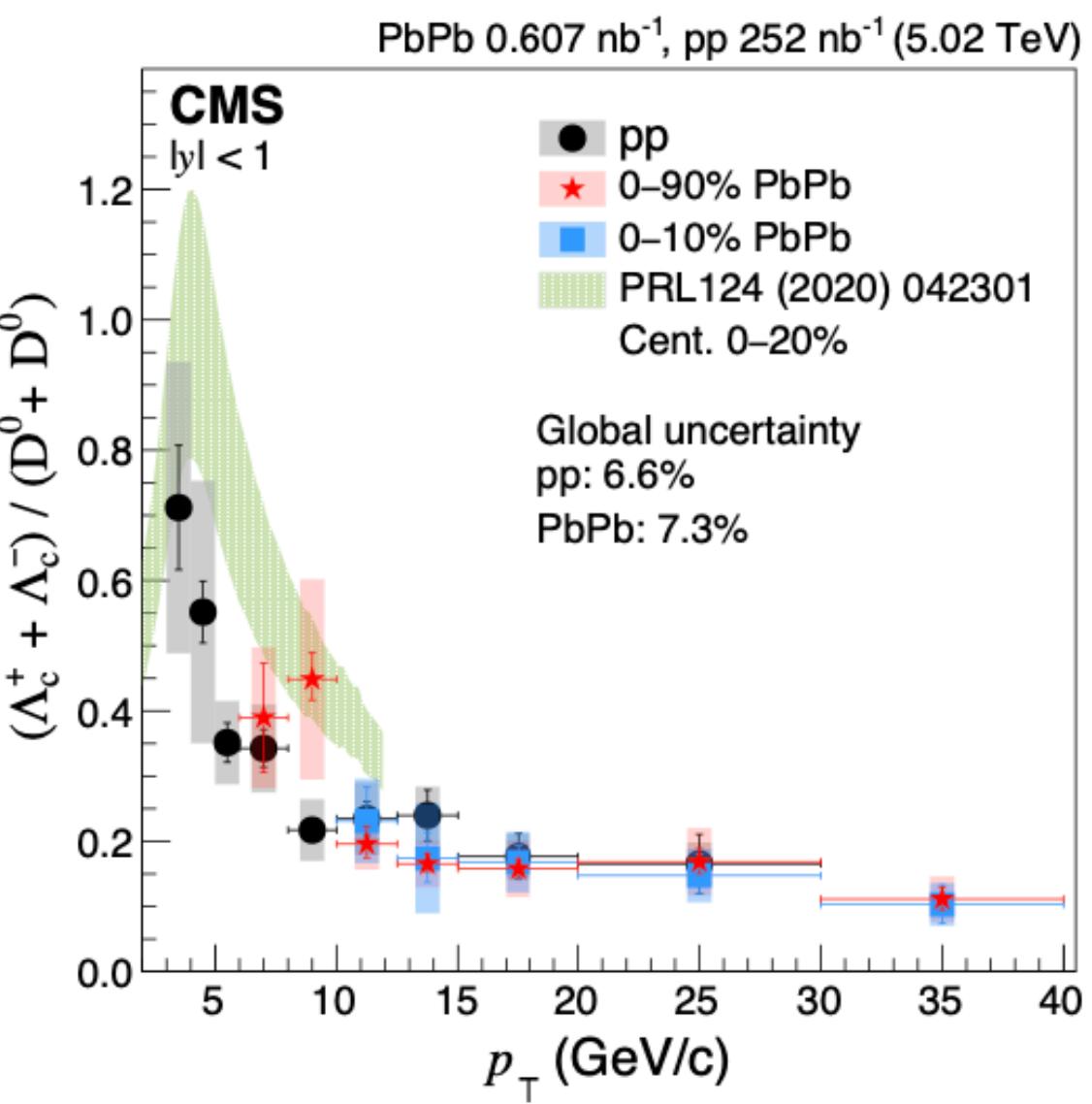
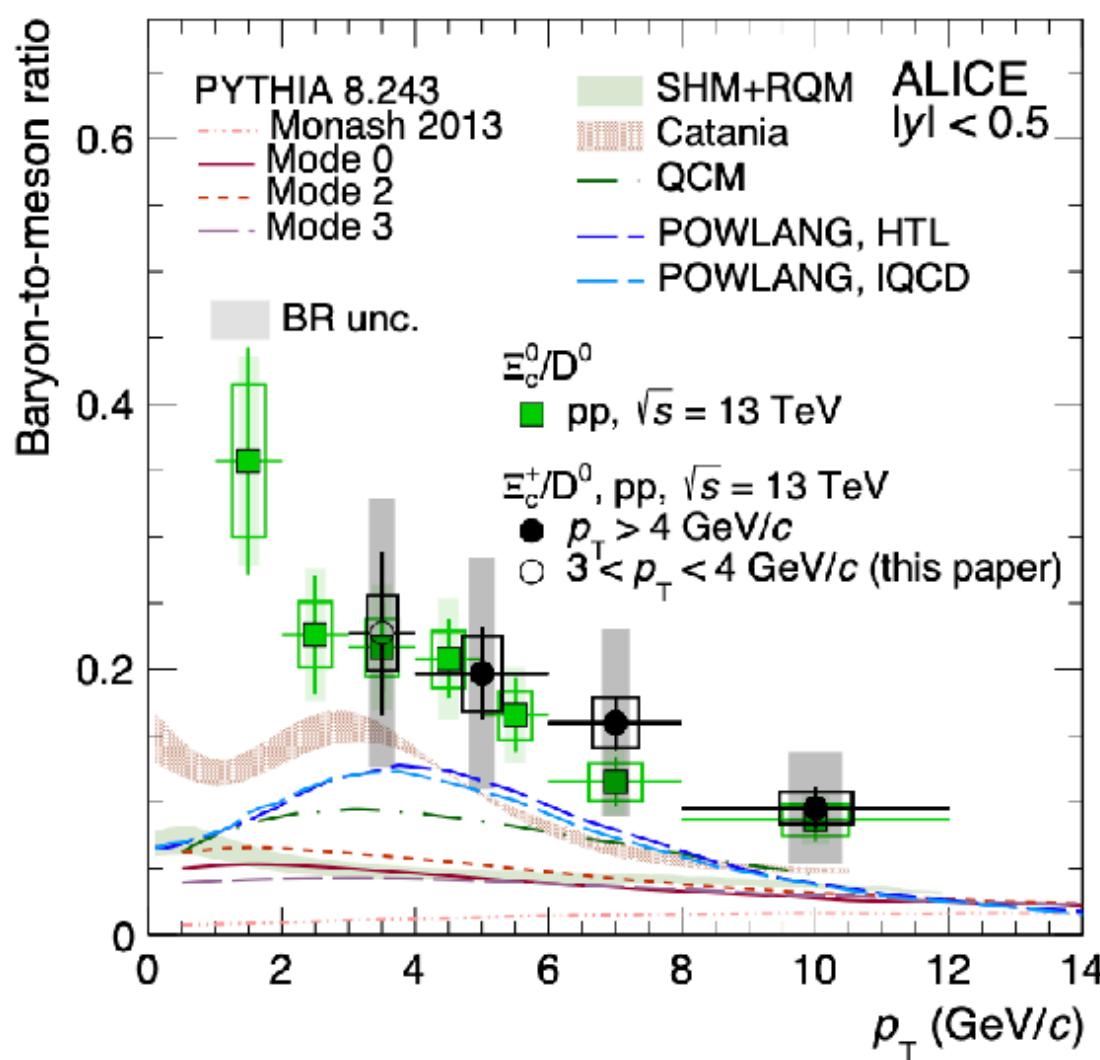


Talk. A. Palasciano  
Talk. O. Lomicky

# Executive summary

- Entering the heavy flavour precision era
- Simultaneous comparison of ( $R_{AA}$ ,  $v_n$ , jet shapes...) measurements with model calculations improves our understanding of heavy quark interaction with the medium.
- The origin of the collective motion in small systems is still under debate.  
Important role of initial state effects and/or influence of final state effects ?
- Role of fragmentation and hadronisation is under scrutiny,  
both in medium and in vacuum.
- Data provides constraints to model calculations.

Apologies for all those results  
I could not present, e.g. spin alignment,  
non-prompt/prompt  $D^0$  vs mult,  
correlations, femtoscopy,....



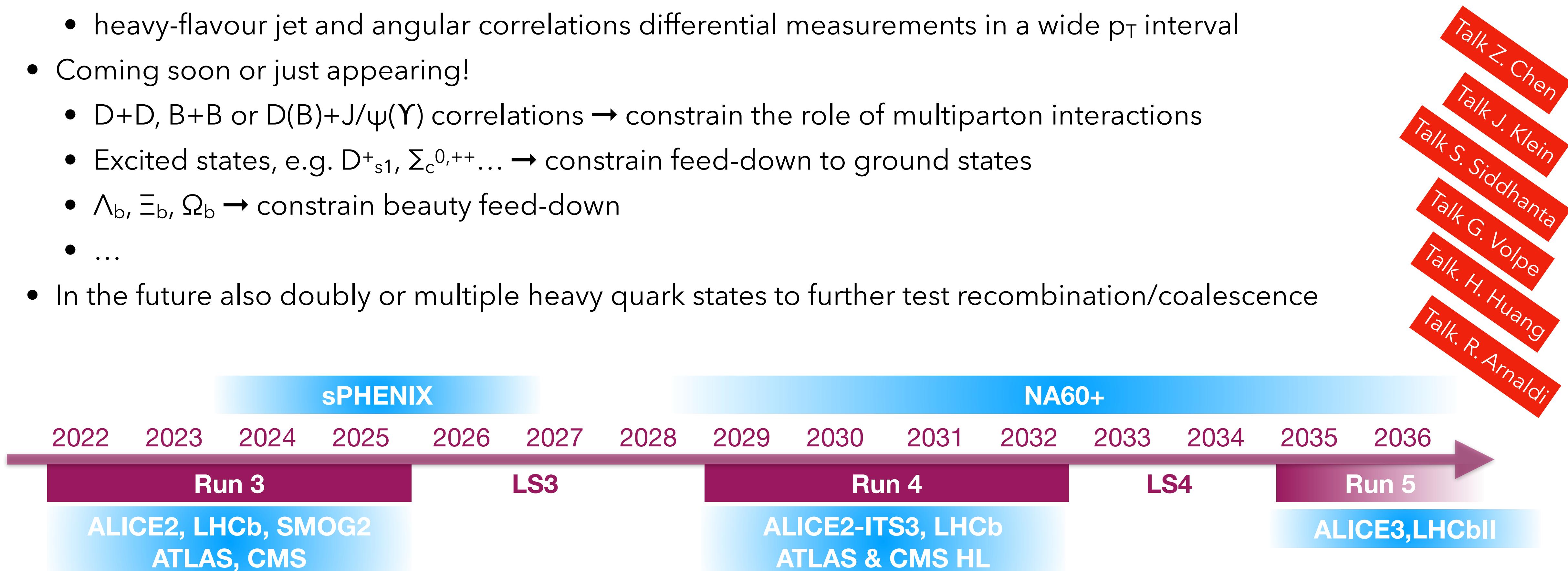
# Executive summary: wish list

- Precise measurements in a wide  $p_T$  interval in all colliding systems (from pp to pA and AA):
  - charm and beauty hadron measurements down to  $p_T=0$
  - heavy-flavour jet and angular correlations differential measurements in a wide  $p_T$  interval
- Coming soon or just appearing!
  - D+D, B+B or D(B)+J/ $\psi$ (Y) correlations → constrain the role of multiparton interactions
  - Excited states, e.g.  $D_{s1}^{+}, \Sigma_c^{0,++} \dots$  → constrain feed-down to ground states
  - $\Lambda_b, \Xi_b, \Omega_b$  → constrain beauty feed-down
  - ...
- In the future also doubly or multiple heavy quark states to further test recombination/coalescence



# Executive summary: wish list

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  - ...
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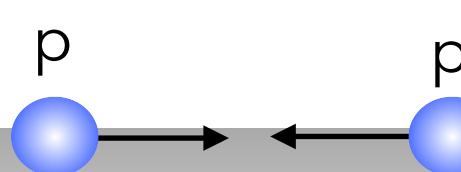


Big thank you to the organisers!

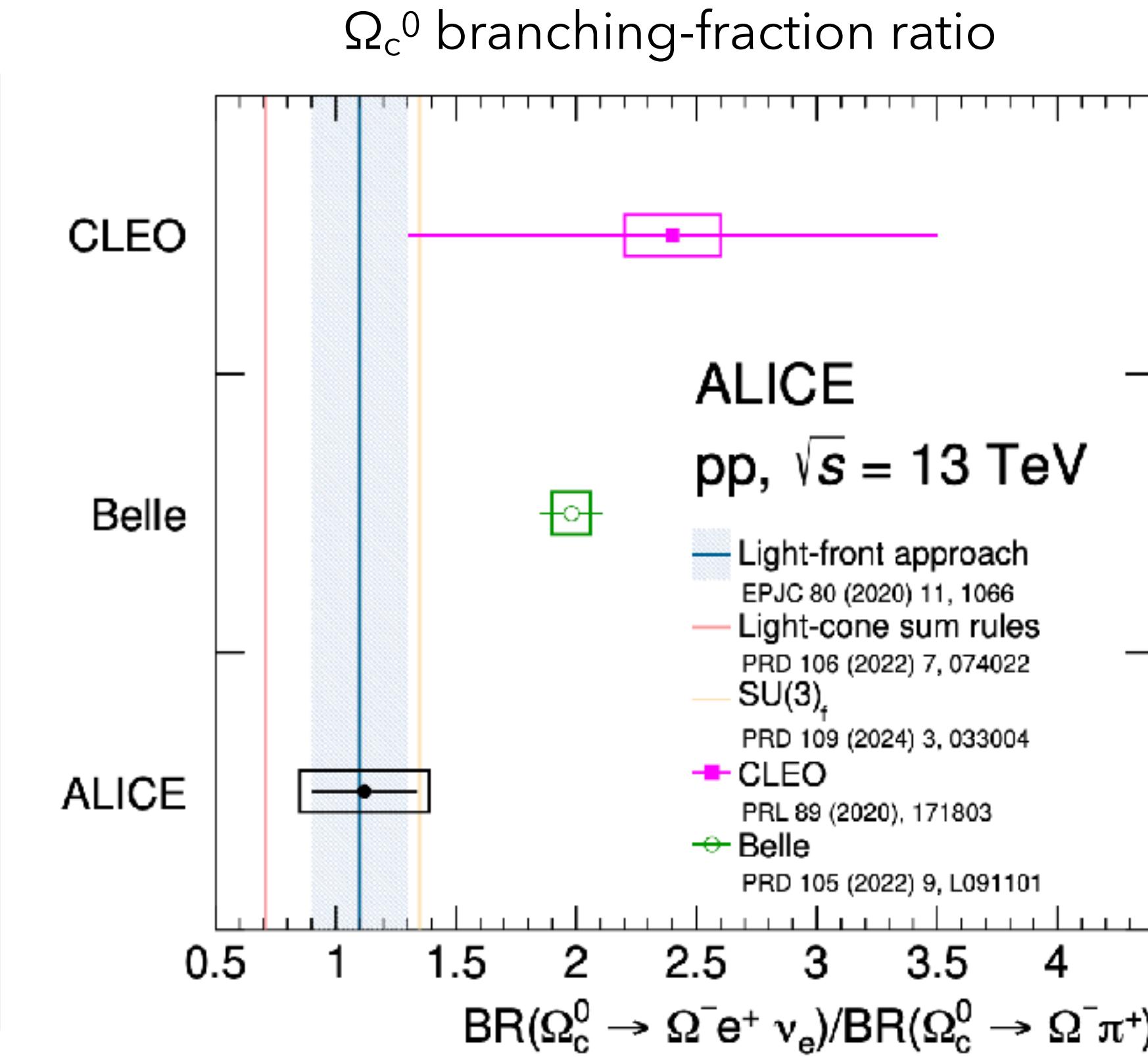
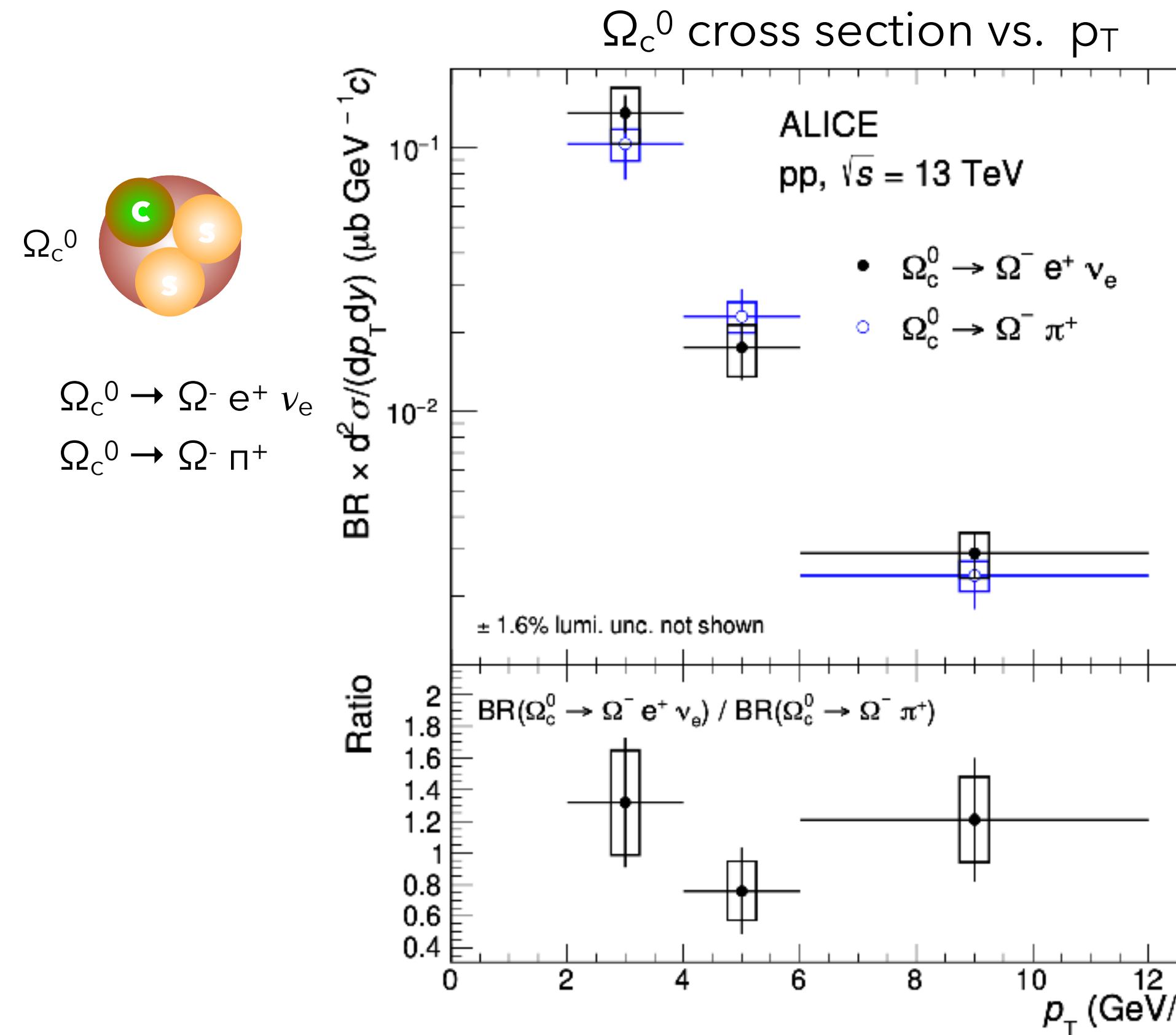
Special thanks to F. Colamaria, A. Dainese, F. Grosa,  
F. Prino, A. Rossi for fruitful discussions and suggestions



# Additional material



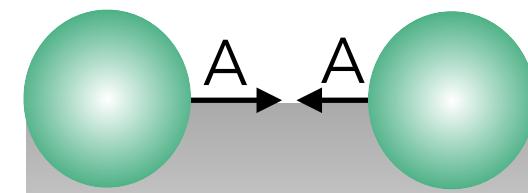
# Constraining charm strange baryon measurements in pp



Talk: J. Cho

ALICE, arXiv:2404.17272  
 Belle, PRD 105 (2022) L091101  
 CLEO, PRL 89 (2002) 171803  
 LFA: EPJC 80 (2020) 11, 1066  
 LCS: PRD 106 (2022) 7, 074022  
 SU(3)f: PRD 109 (2024) 3, 033004

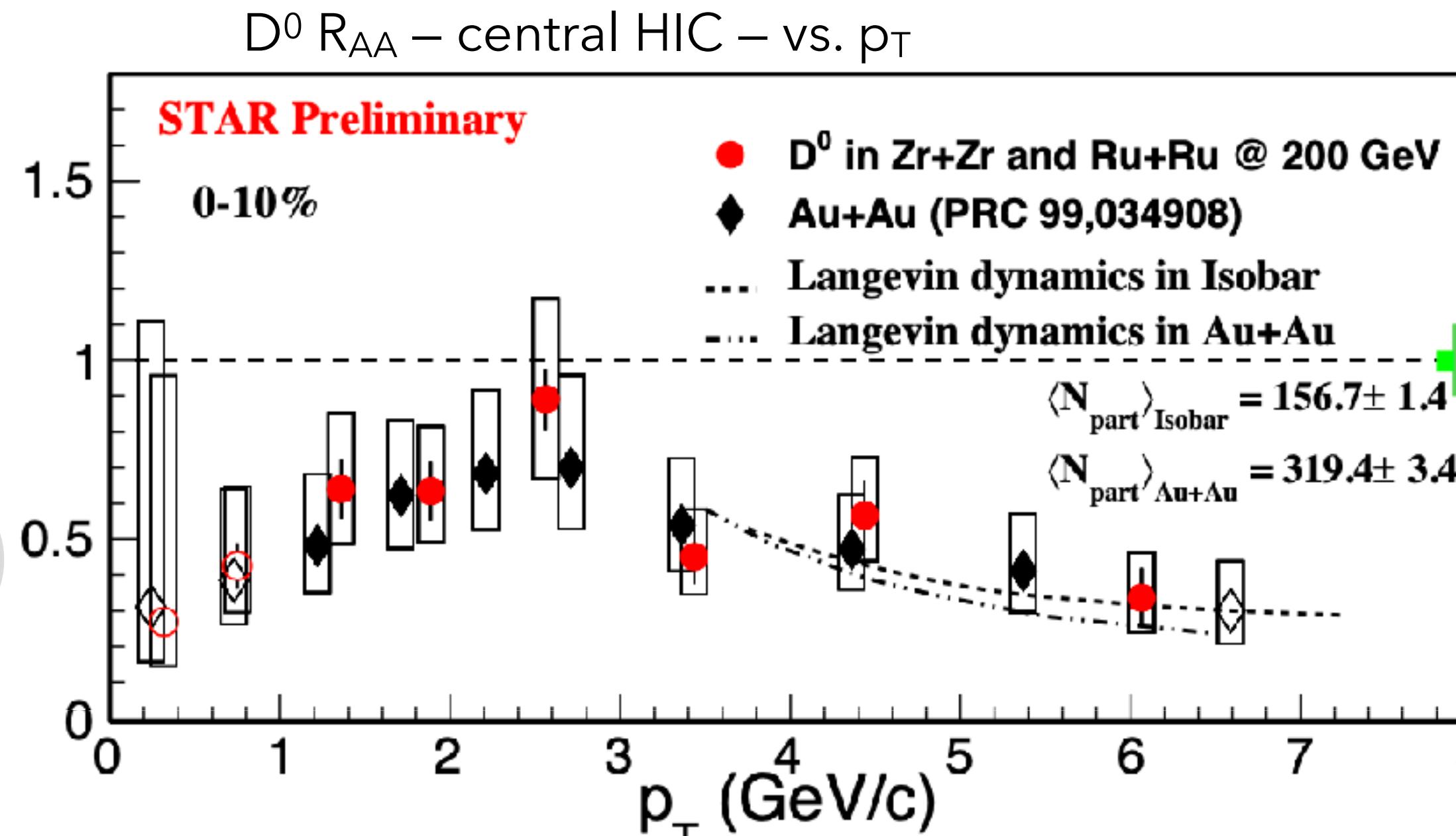
- Results interpretation limited by the **absence of precise branching ratio measurements**.
- ALICE branching-fraction ratio value consistent with model calculations and  $2.3\sigma$  lower than Belle's
- More charm states are becoming accessible. Future studies of hadronisation in PbPb?



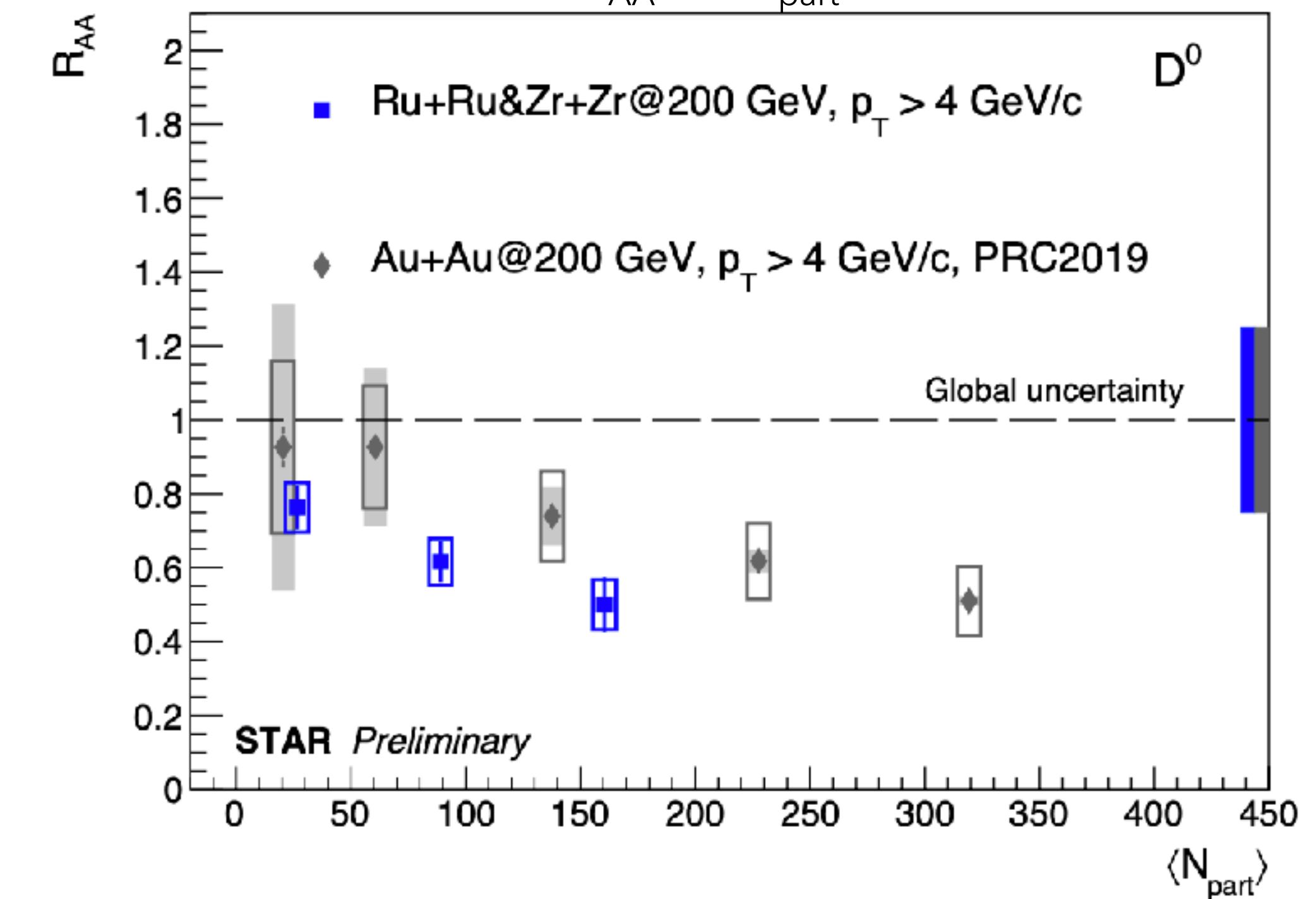
# Recent studies of charm hadrons vs. system size

$D^0 R_{AA}$  – central HIC – vs.  $p_T$

$^{96}_{40}\text{Zr}$   
 $^{96}_{40}\text{Ru}$   
 $^{197}_{79}\text{Au}$



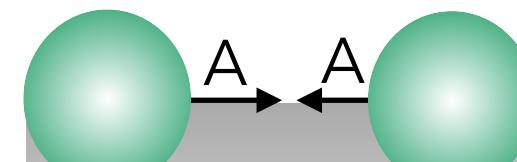
$D^0 R_{AA}$  vs.  $N_{\text{part}}$



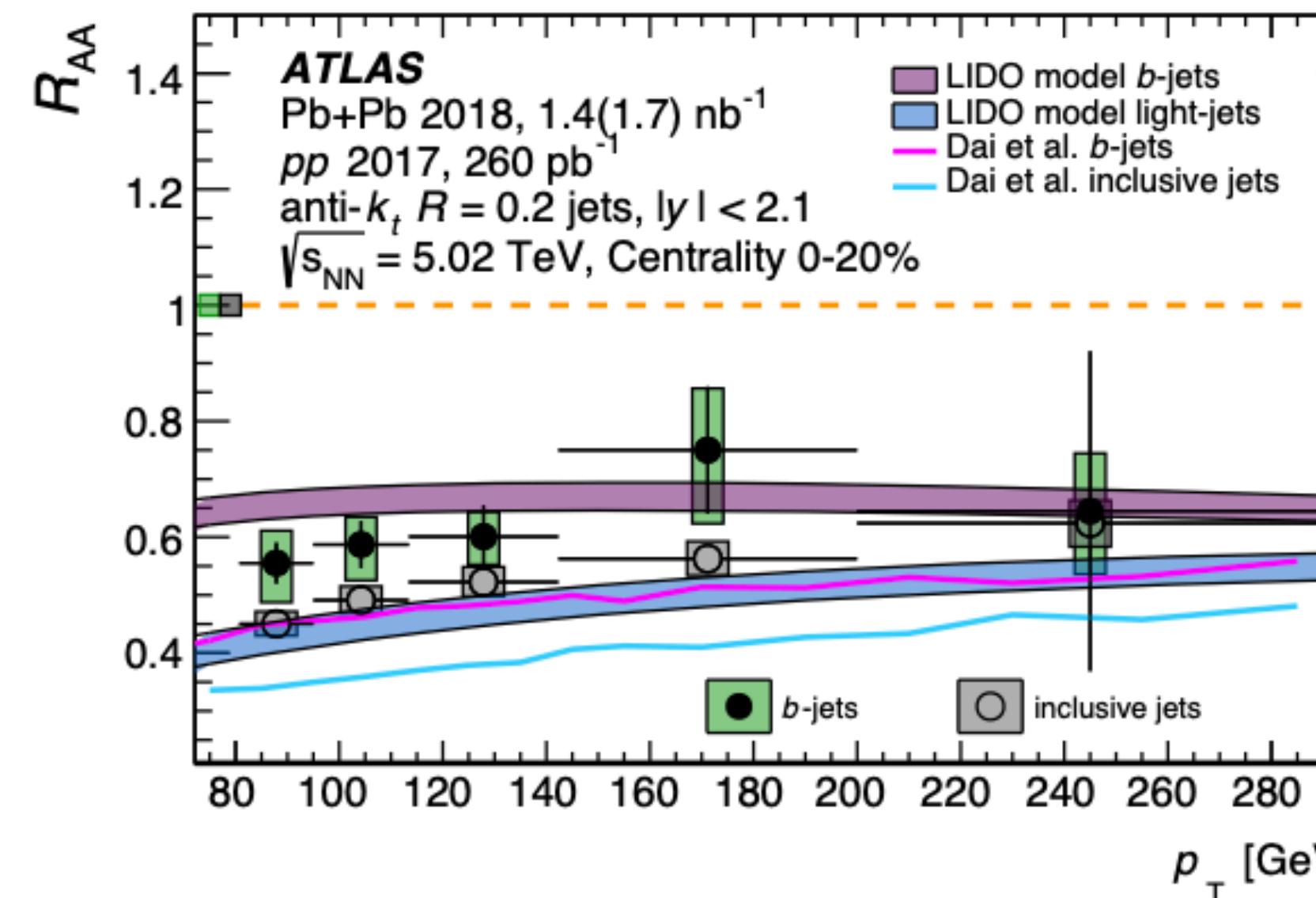
- Similar  $D^0 R_{AA}$  in isobar (moderate system size) collisions
- **Similar behaviour of charm in moderate collision's system size**

STAR Preliminary  
STAR, PRC 99 034908

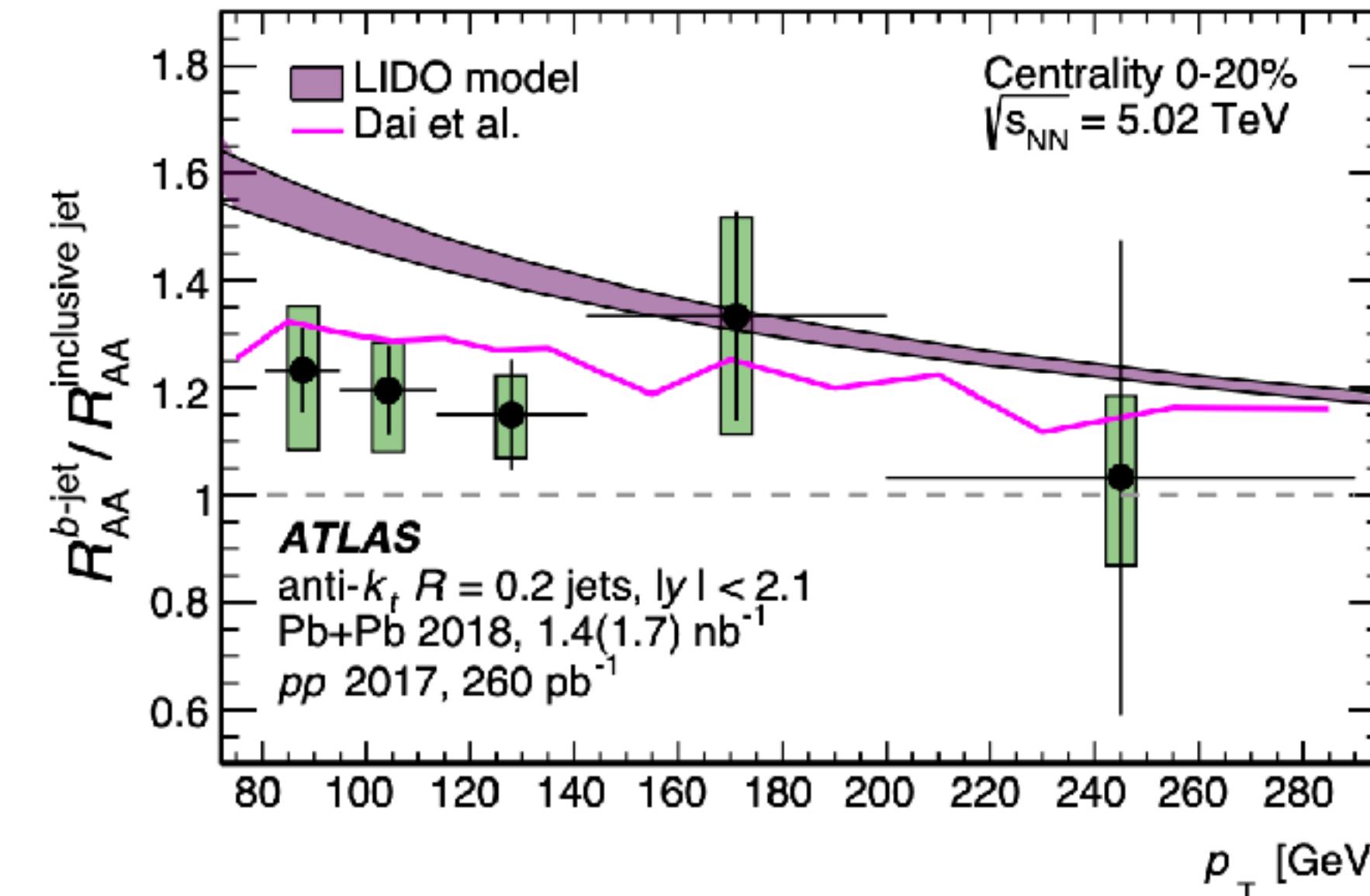
Poster V. Dmitrijevna



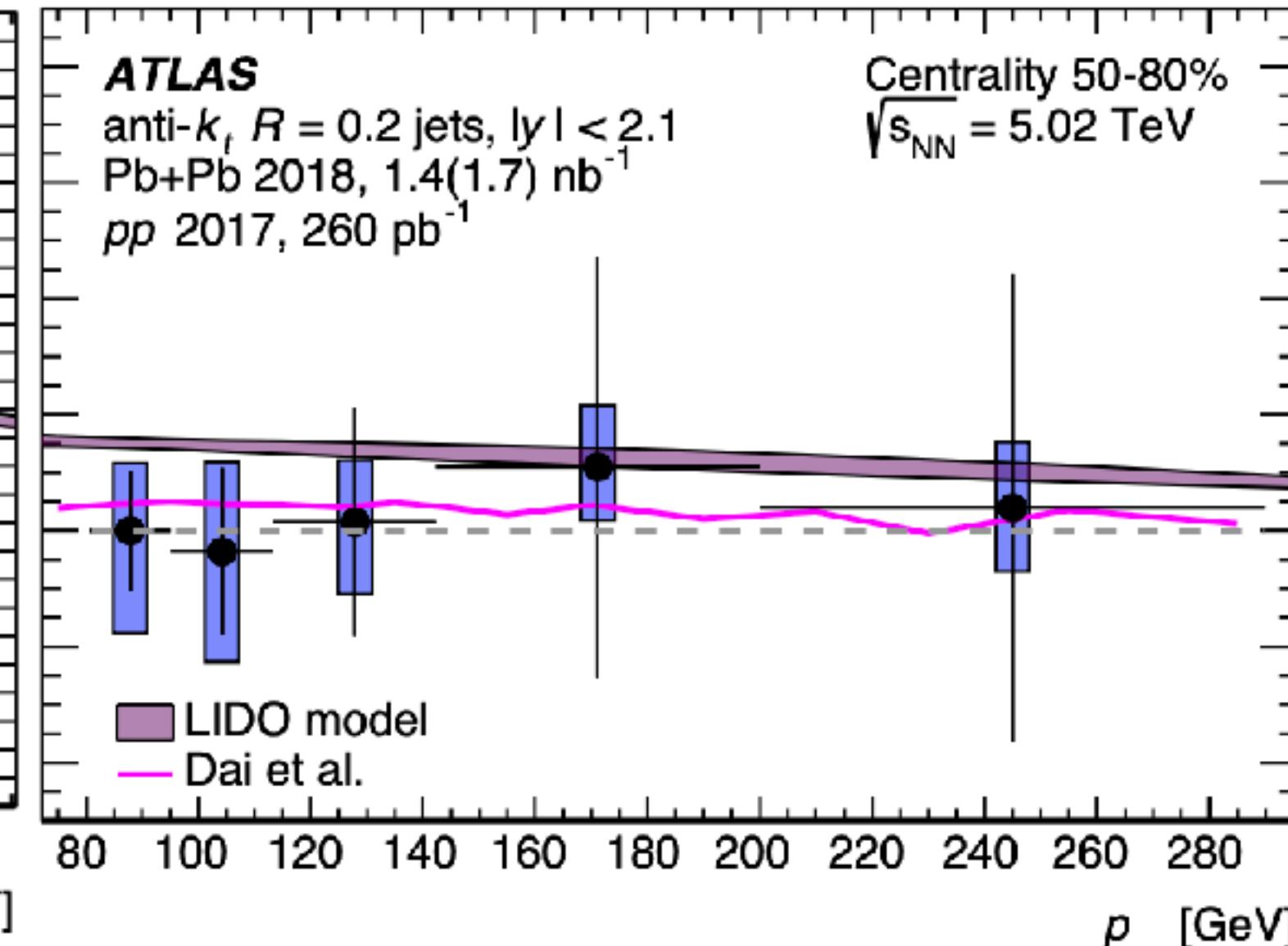
# Moving to higher $p_T$ ? b-jets in medium



$R_{\text{AA}}$  central collisions



Ratio b-jet/inclusive-jet  $R_{\text{AA}}$  central

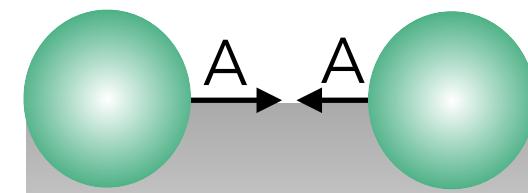


Ratio b-jet/inclusive-jet  $R_{\text{AA}}$  peripheral

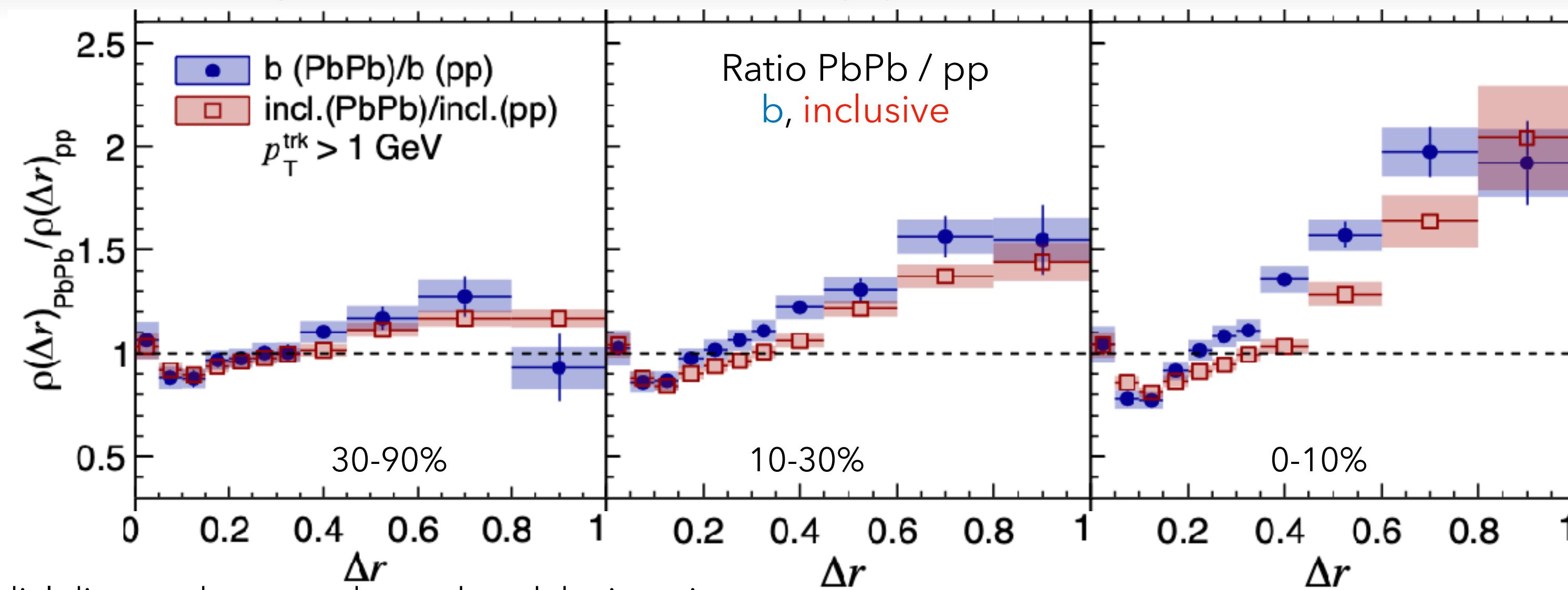
- Suggest  $R_{\text{AA}}$  values for **b-jets higher than for inclusive jets** in central collisions
- Similar trend for peripheral collisions.
- Possible influence of b-jet fragmentation and/or mass effect on parton energy loss (expected to be small at large  $p_T$ )?  
color (b/g) charge of parton energy loss?

ATLAS, EPJC (2023) 83:438

LIDO: W. Ke et al, [Phys. Rev. C 98, 064901 \(2018\)](#), [Phys. Rev. C 100, 064911 \(2019\)](#),  
 Dai et al: [Chinese. Phys. C 2020, 44:104105](#)



# Looking at the energy distribution? b-jet shape



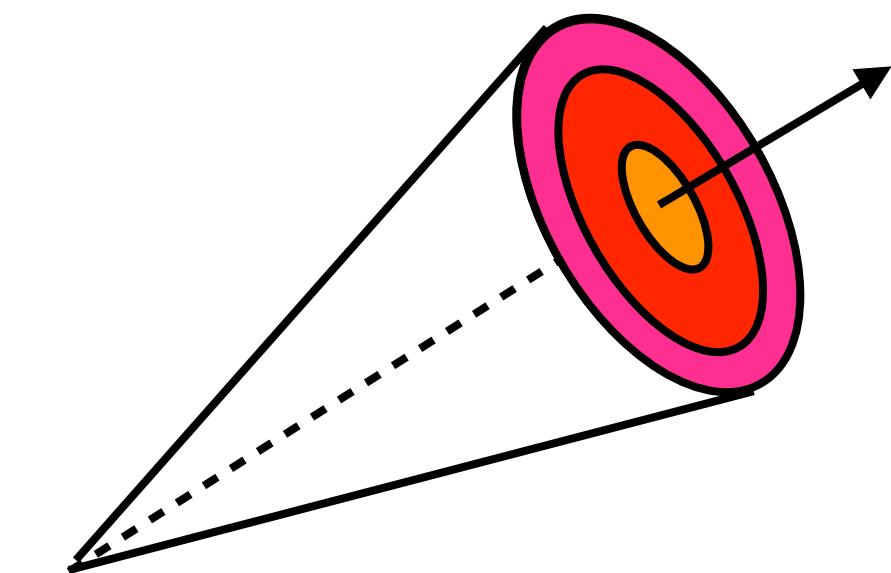
$\Delta r$  : radial distance between the track and the jet axis

$\rho$  : normalised profile of charged particles in jets

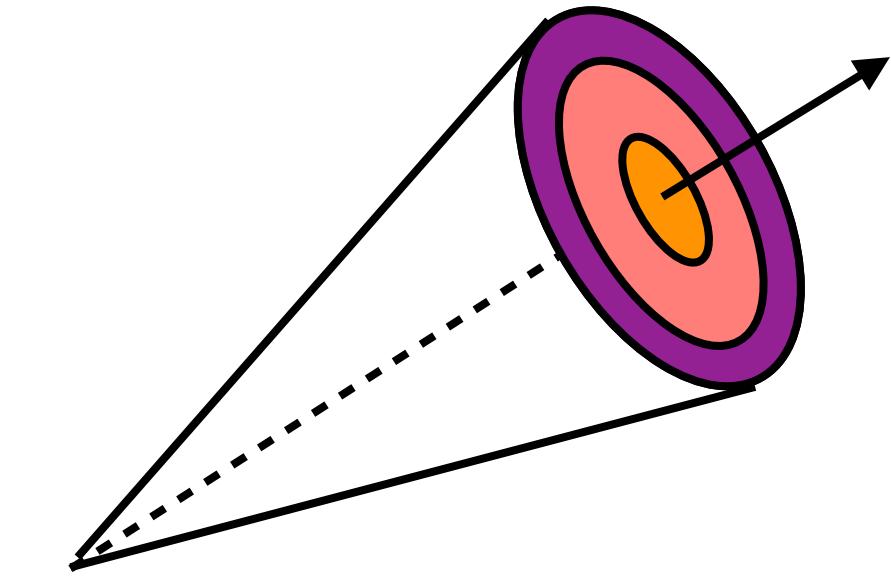
Jet-track correlation  $p_T$  jet > 120 GeV,  $p_T$  track > 1 GeV

- Energetic core (close to jet axis) stays intact, intermediate part is reduced, and enhancement of the activity on the surface/edges and far away from the jet.
- The **modification is more pronounced for b-jets** than for inclusive jets, and is already present in pp.  
b-jet fragmentation?  
Dead-cone effect (mass effect expected to be small at large pT)?  
Increased medium response to heavy quark propagation?

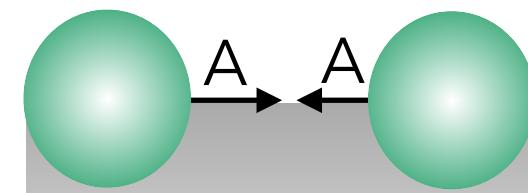
CMS, PLB 844 (2023) 137849



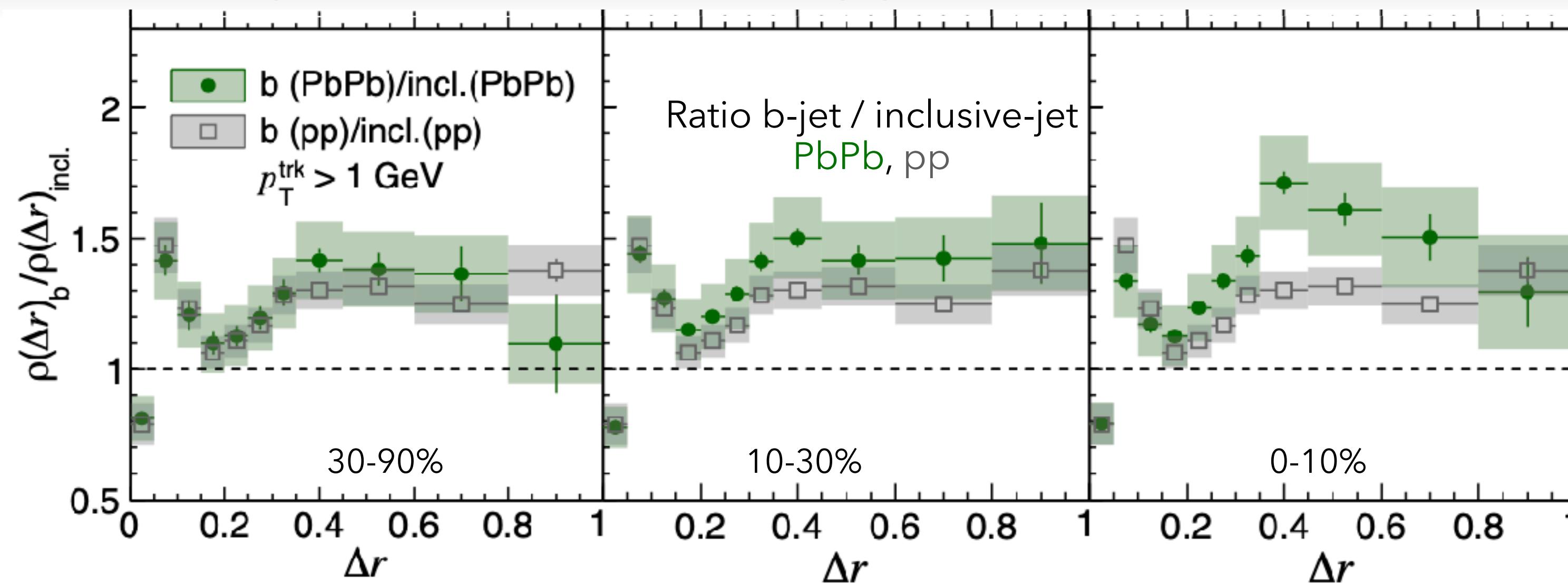
Jet substructure: energetic component close to jet axis



Jet substructure modified:  
core stays intact,  
intermediate part is reduced,  
larger activity in the border and far away



# Looking at the energy distribution? b-jet shape



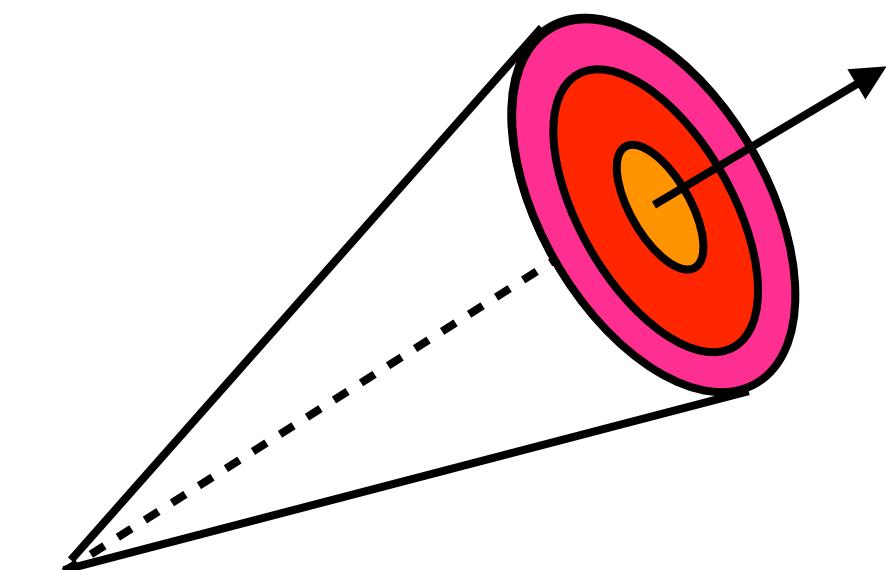
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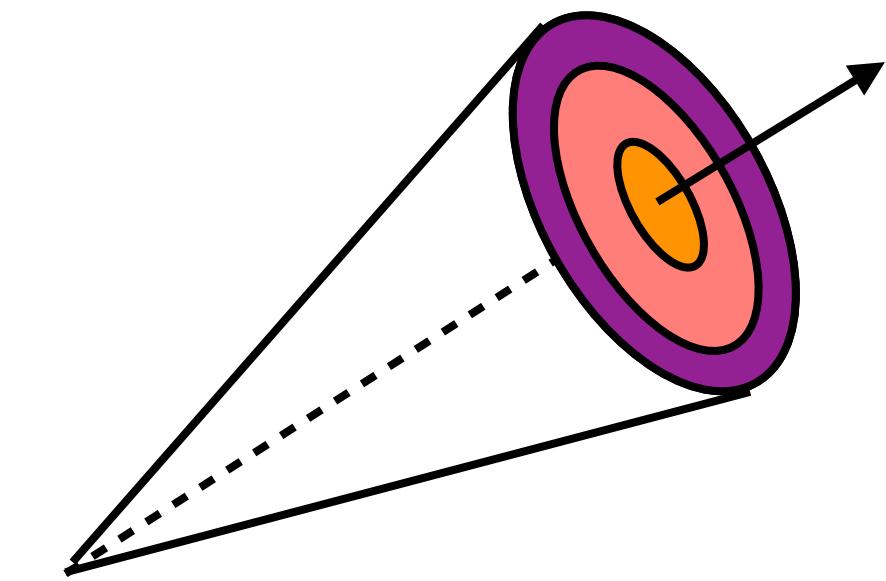
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CMS, PLB 844 (2023) 137849



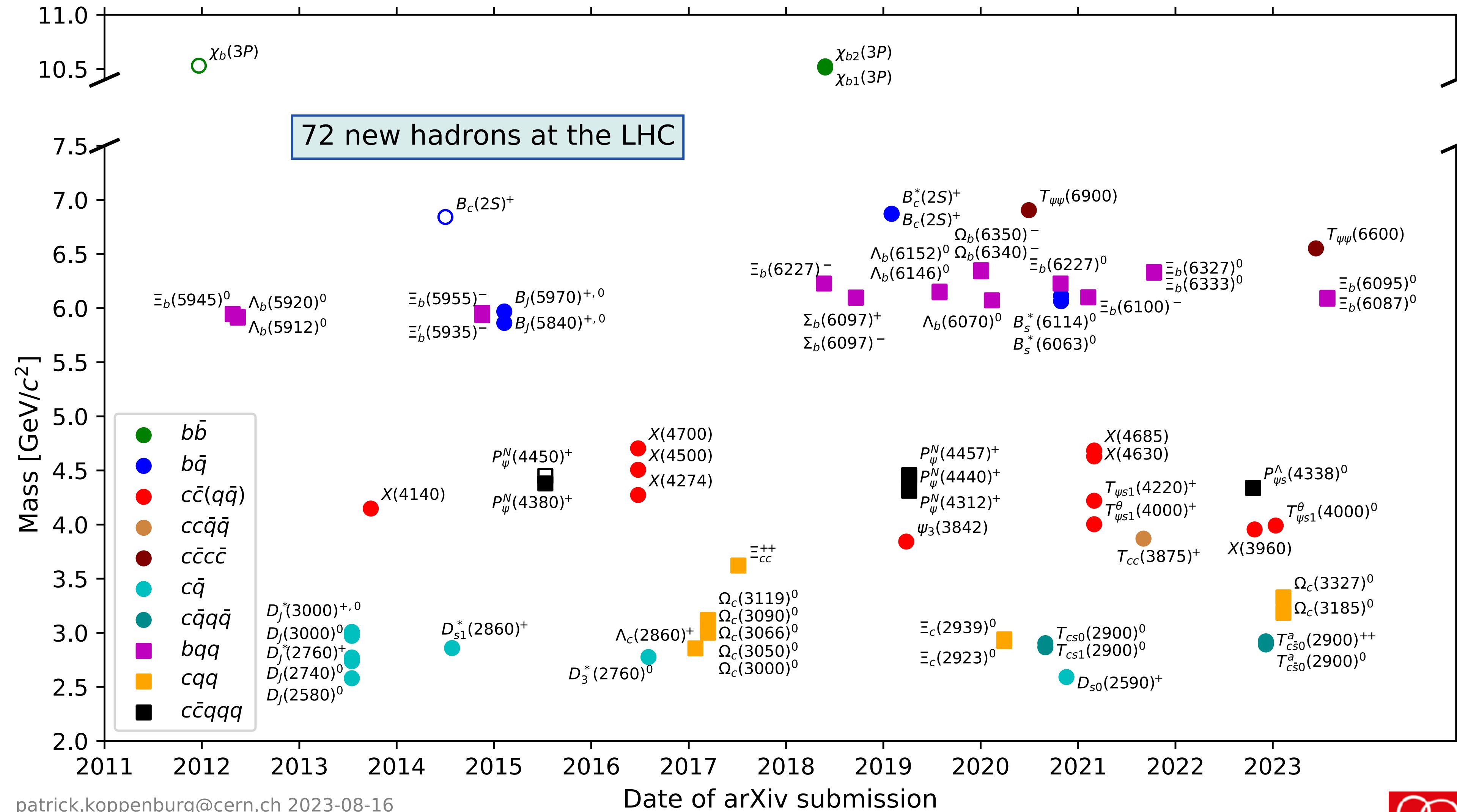
Jet substructure: energetic component close to jet axis



Jet substructure modified:  
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intermediate part is reduced,  
larger activity in the border and far away



# Masses and discovery date for states observed at the LHC



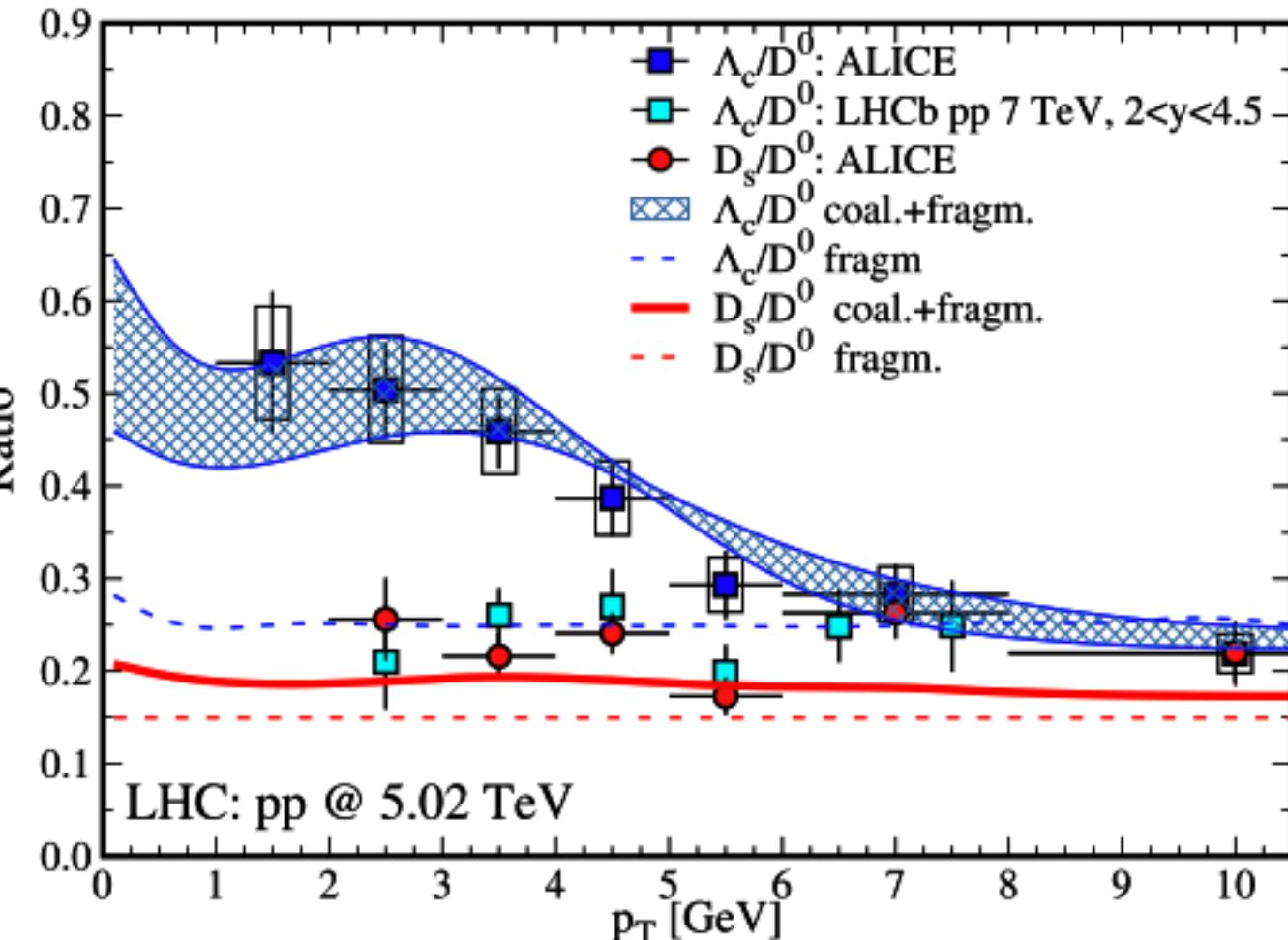
patrick.koppenburg@cern.ch 2023-08-16

# Charm hadrons in coalescence+fragmentation model

**Table 2**

Ground states of charmed mesons and baryons as well as their first excited states including their decay modes with their corresponding branching ratios as given in Particle Data Group [34,54].

Meson	Mass(MeV)	I (J)	Decay modes	B.R.
$D^+ = \bar{d}c$	1869	$\frac{1}{2}(0)$		
$D^0 = \bar{u}c$	1865	$\frac{1}{2}(0)$		
$D_s^+ = \bar{s}c$	2011	0(0)		
<b>Resonances</b>				
$D^{*+}$	2010	$\frac{1}{2}(1)$	$D^0\pi^+; D^+X$	68%,32%
$D^{*0}$	2007	$\frac{1}{2}(1)$	$D^0\pi^0; D^0\gamma$	62%,38%
$D_s^{*+}$	2112	0(1)	$D_s^+X$	100%
<b>Baryon</b>				
$\Lambda_c^+ = udc$	2286	$0(\frac{1}{2})$		
$\Xi_c^+ = usc$	2467	$\frac{1}{2}(\frac{1}{2})$		
$\Xi_c^0 = dsc$	2470	$\frac{1}{2}(\frac{1}{2})$		
$\Omega_c^0 = ssc$	2695	$0(\frac{1}{2})$		
<b>Resonances</b>				
$\Lambda_c^+$	2595	$0(\frac{1}{2})$	$\Lambda_c^+\pi^+\pi^-$	100%
$\Lambda_c^+$	2625	$0(\frac{3}{2})$	$\Lambda_c^+\pi^+\pi^-$	100%
$\Sigma_c^+$	2455	$1(\frac{1}{2})$	$\Lambda_c^+\pi$	100%
$\Sigma_c^+$	2520	$1(\frac{3}{2})$	$\Lambda_c^+\pi$	100%
$\Xi_c'^{+,0}$	2578	$\frac{1}{2}(\frac{1}{2})$	$\Xi_c^{+,0}\gamma$	100%
$\Xi_c^+$	2645	$\frac{1}{2}(\frac{3}{2})$	$\Xi_c^+\pi^-$ ,	100%
$\Xi_c^+$	2790	$\frac{1}{2}(\frac{1}{2})$	$\Xi_c'\pi$ ,	100%
$\Xi_c^+$	2815	$\frac{1}{2}(\frac{3}{2})$	$\Xi_c'\pi$ ,	100%
$\Omega_c^0$	2770	$0(\frac{3}{2})$	$\Omega_c^0\gamma$ ,	100%

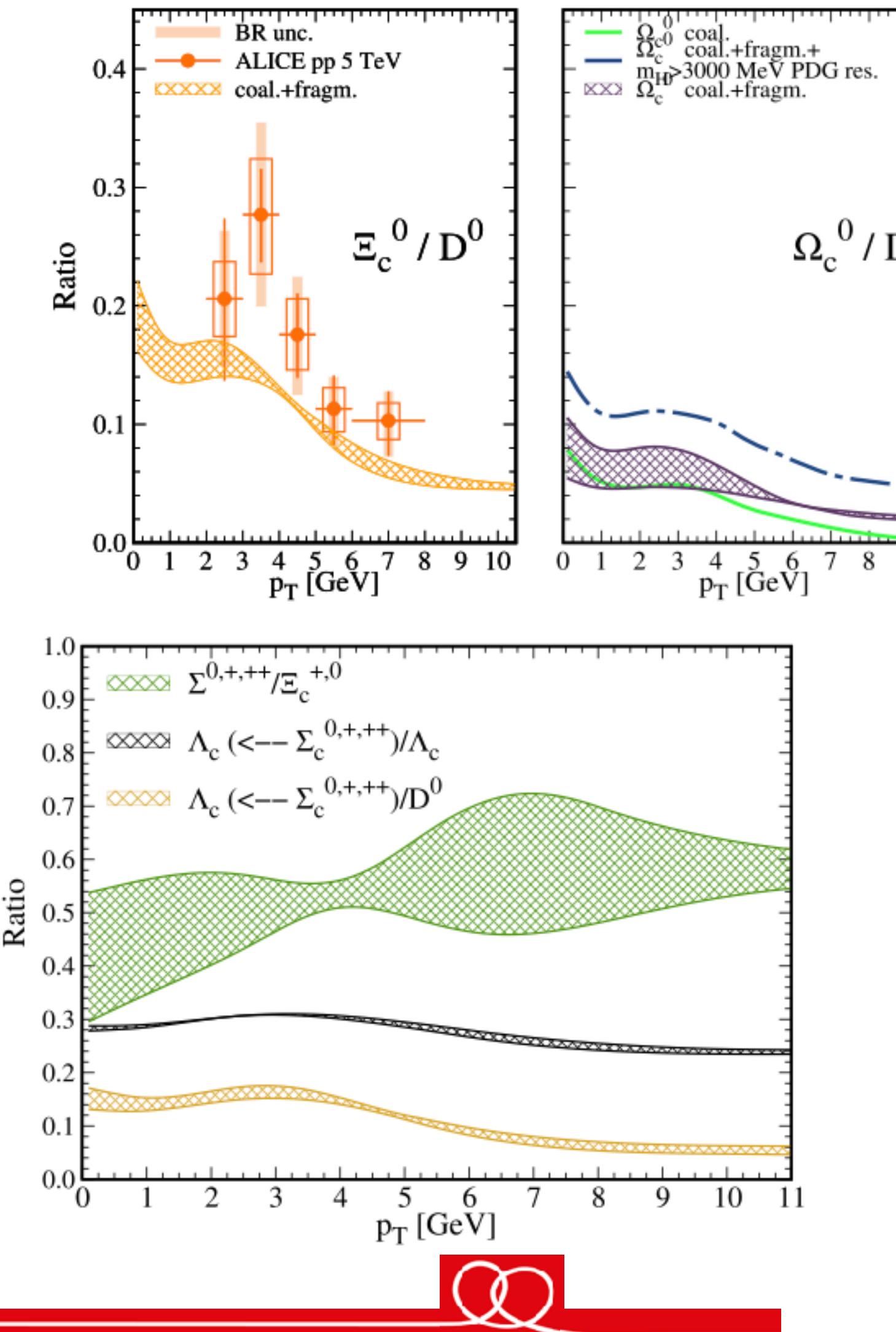


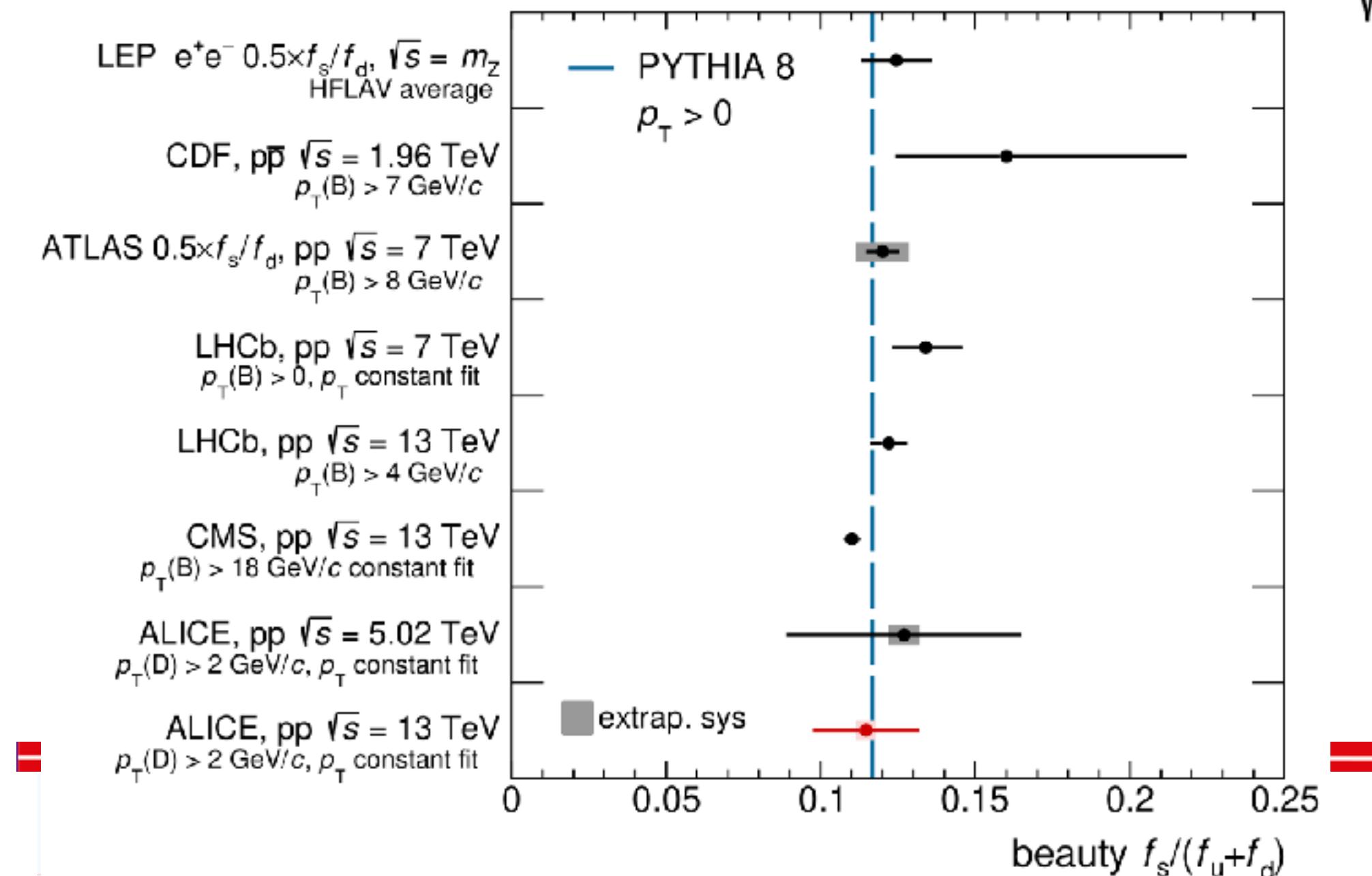
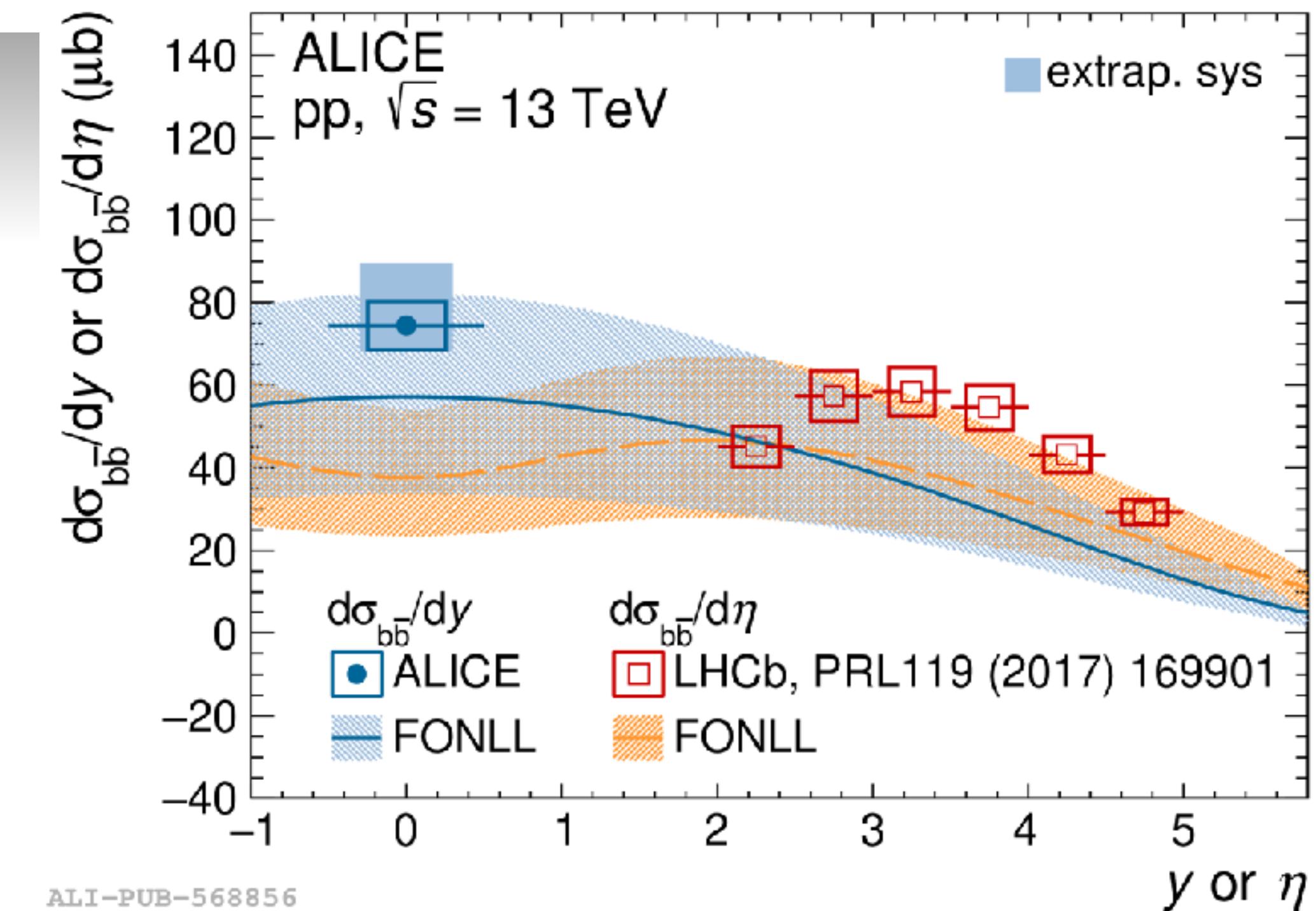
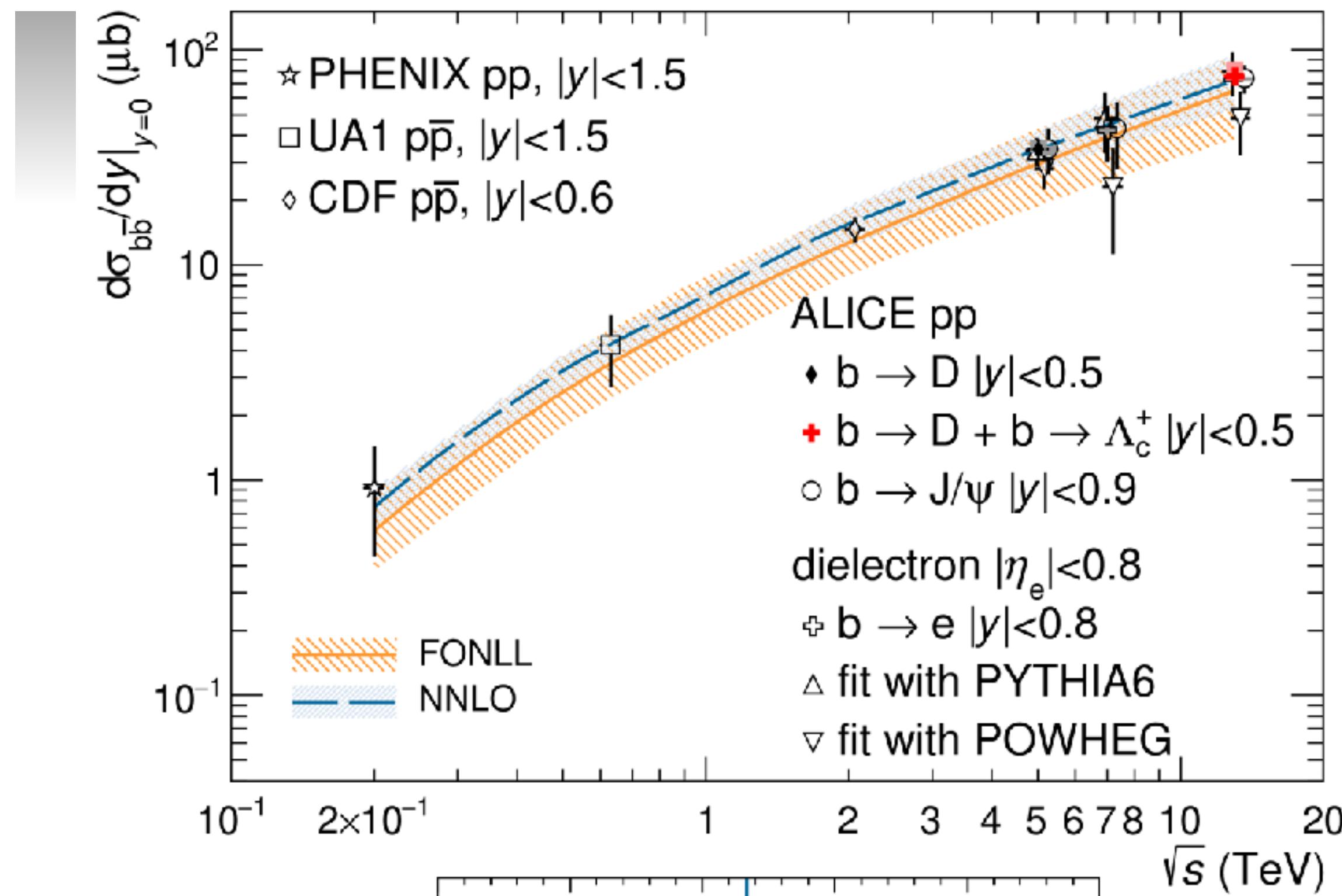
**Table 1**

Mean square charge radius  $\langle r^2 \rangle_{ch}$  in  $fm^2$  and the widths parameters  $\sigma_{pi}$  in GeV. The mean square charge radius is taken quark model [46,47].

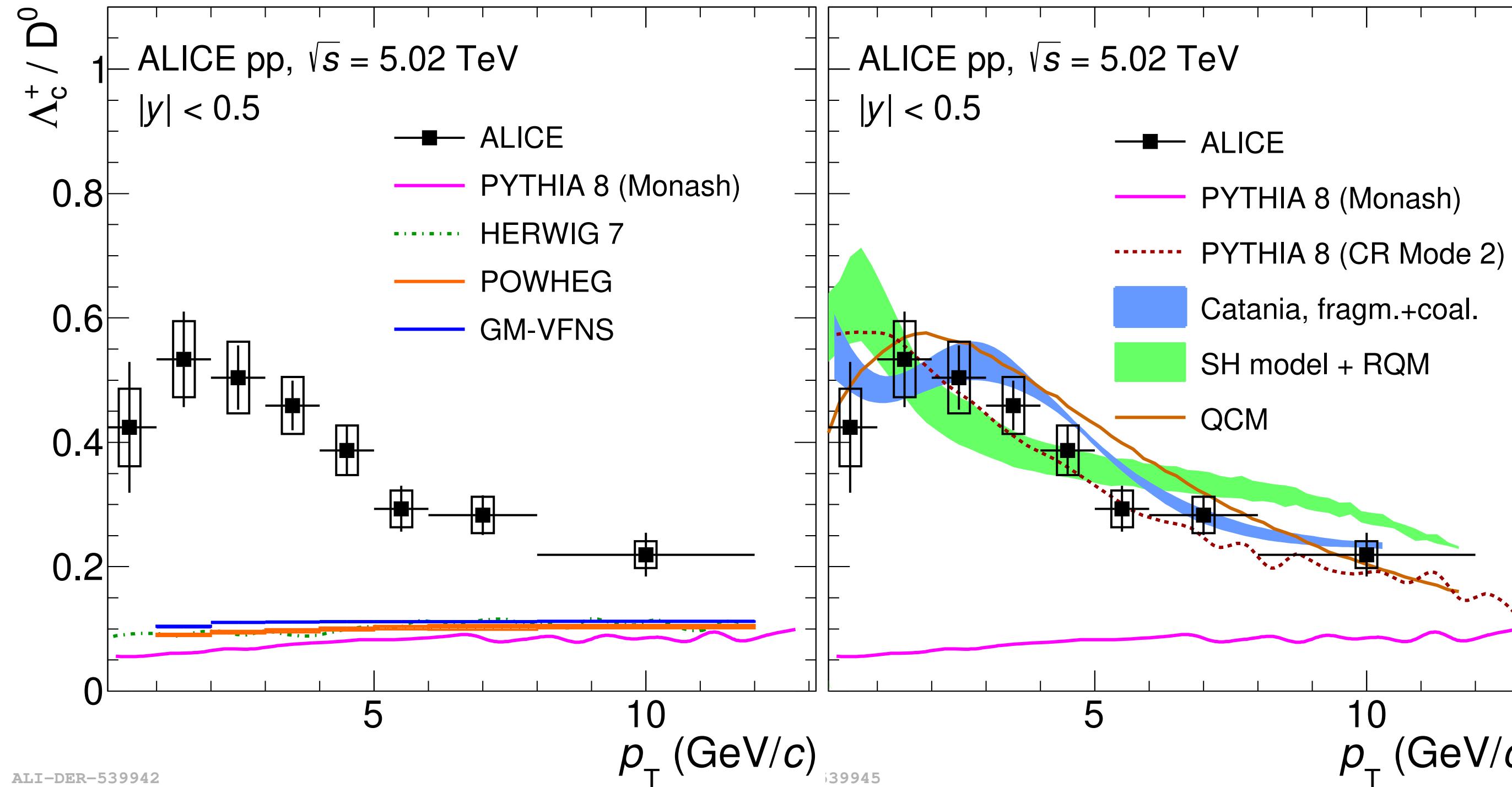
Meson	$\langle r^2 \rangle_{ch}$	$\sigma_{p1}$	$\sigma_{p2}$
$D^+ = [\bar{d}c]$	0.184	0.282	—
$D_s^+ = [\bar{s}c]$	0.083	0.404	—
Baryon	$\langle r^2 \rangle_{ch}$	$\sigma_{p1}$	$\sigma_{p2}$
$\Lambda_c^+ = [udc]$	0.15	0.251	0.424
$\Xi_c^+ = [usc]$	0.2	0.242	0.406
$\Omega_c^0 = [ssc]$	-0.12	0.337	0.53

Minissale et al., PLB 821 (2021) 136622





# $\Lambda_c^+/\bar{D}^0$ ratio in pp vs. models



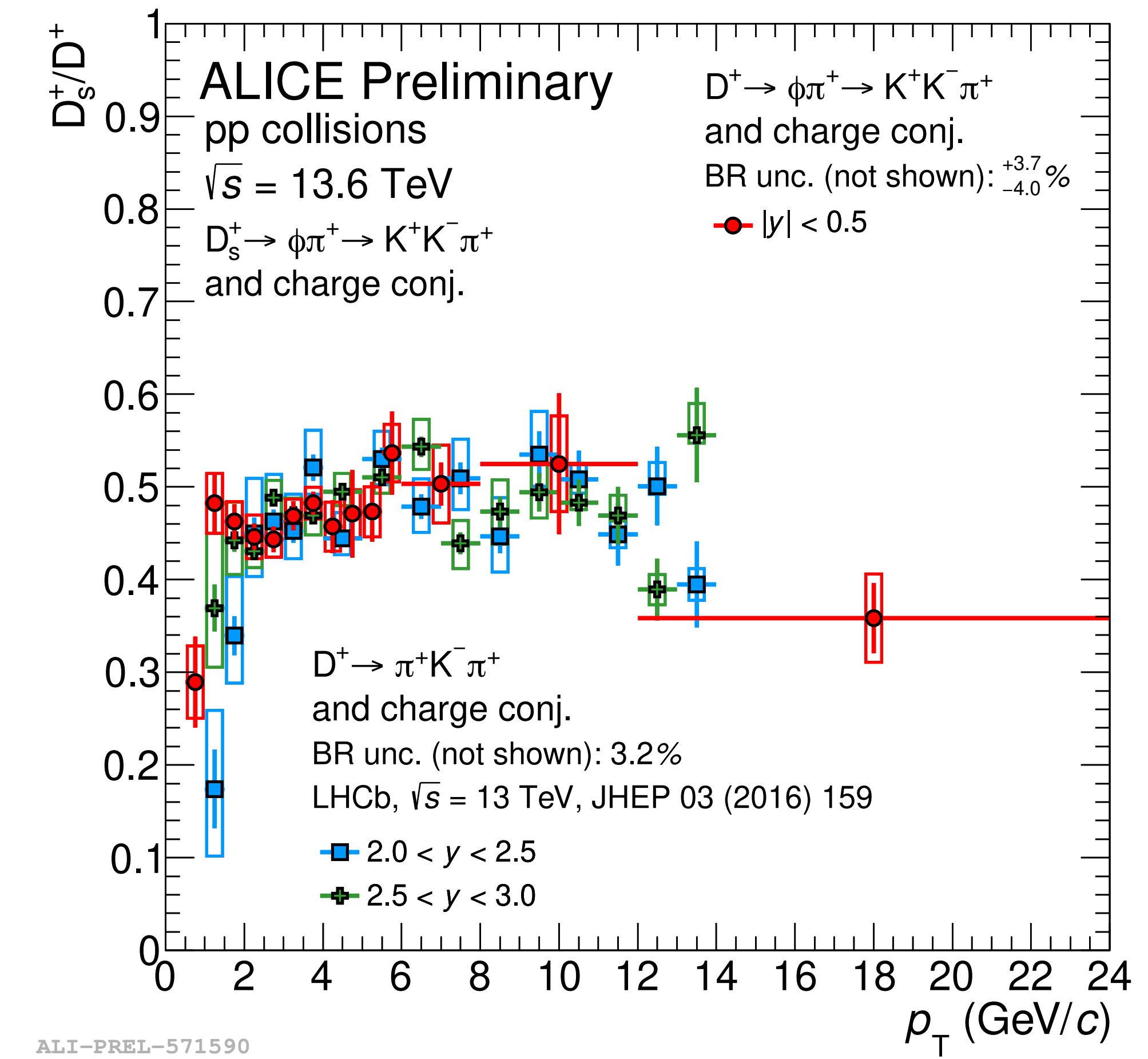
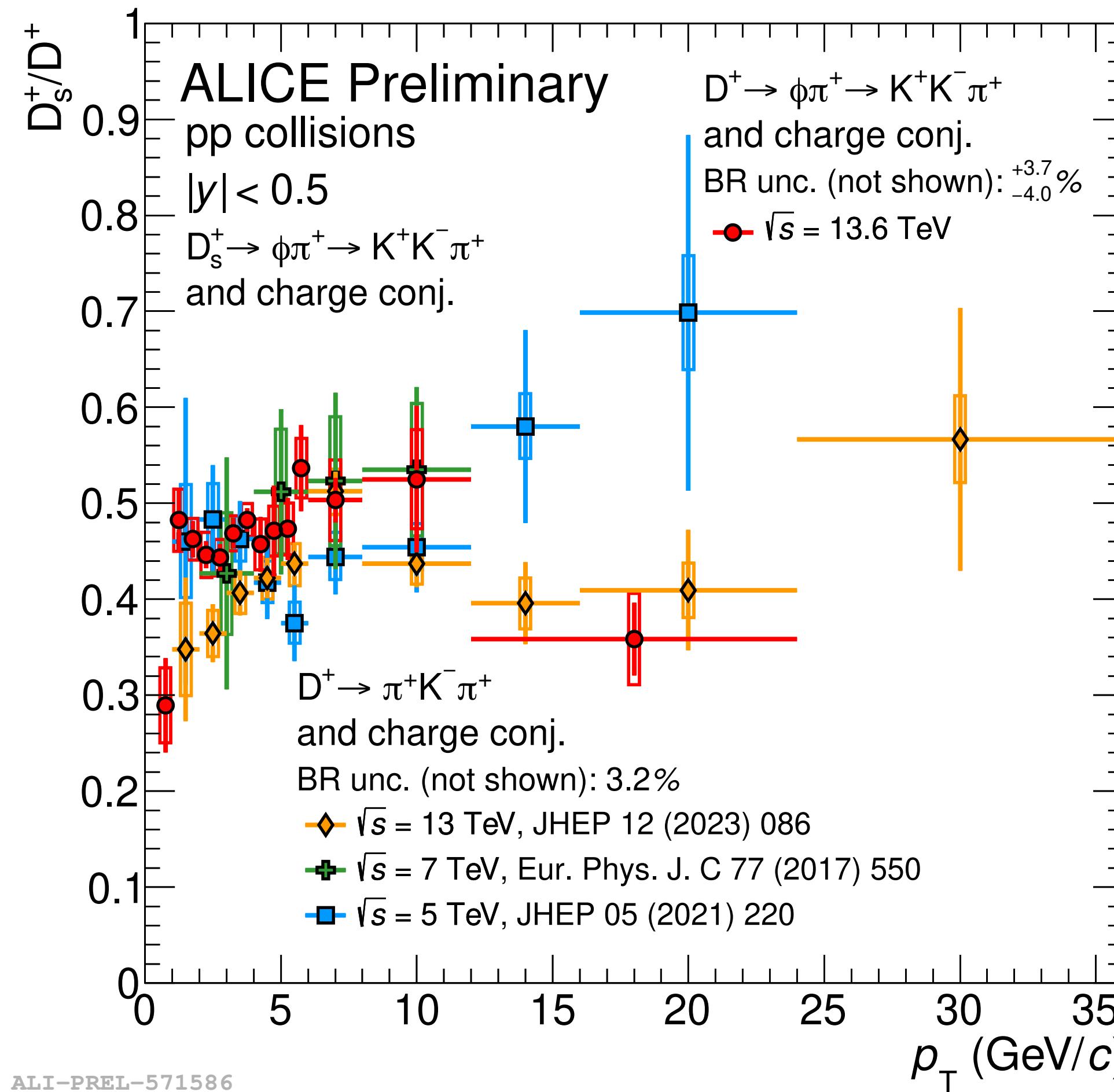
- **GM-VFNS**: pQCD calculation based on factorisation approach
- **PYTHIA8 (Monash)**: standard Lund string fragmentation. Independent hadronisation of different MPI products
- **HERWIG7**: cluster hadronisation
- **POWHEG**:

- **PYTHIA8 (CR mode 2)**: with string formation beyond leading colour (colour reconnection). Including junction reconnection topologies that enhance baryons.
- **Catania**: coalescence + vacuum fragmentation  
Expanding system of thermalised partons followed by coalescence at a fixed temperature
- **QCM**: recombination model based on statistical weights
- **SH+RQM**: statistical hadronisation model with increased feed down from charm-baryon states

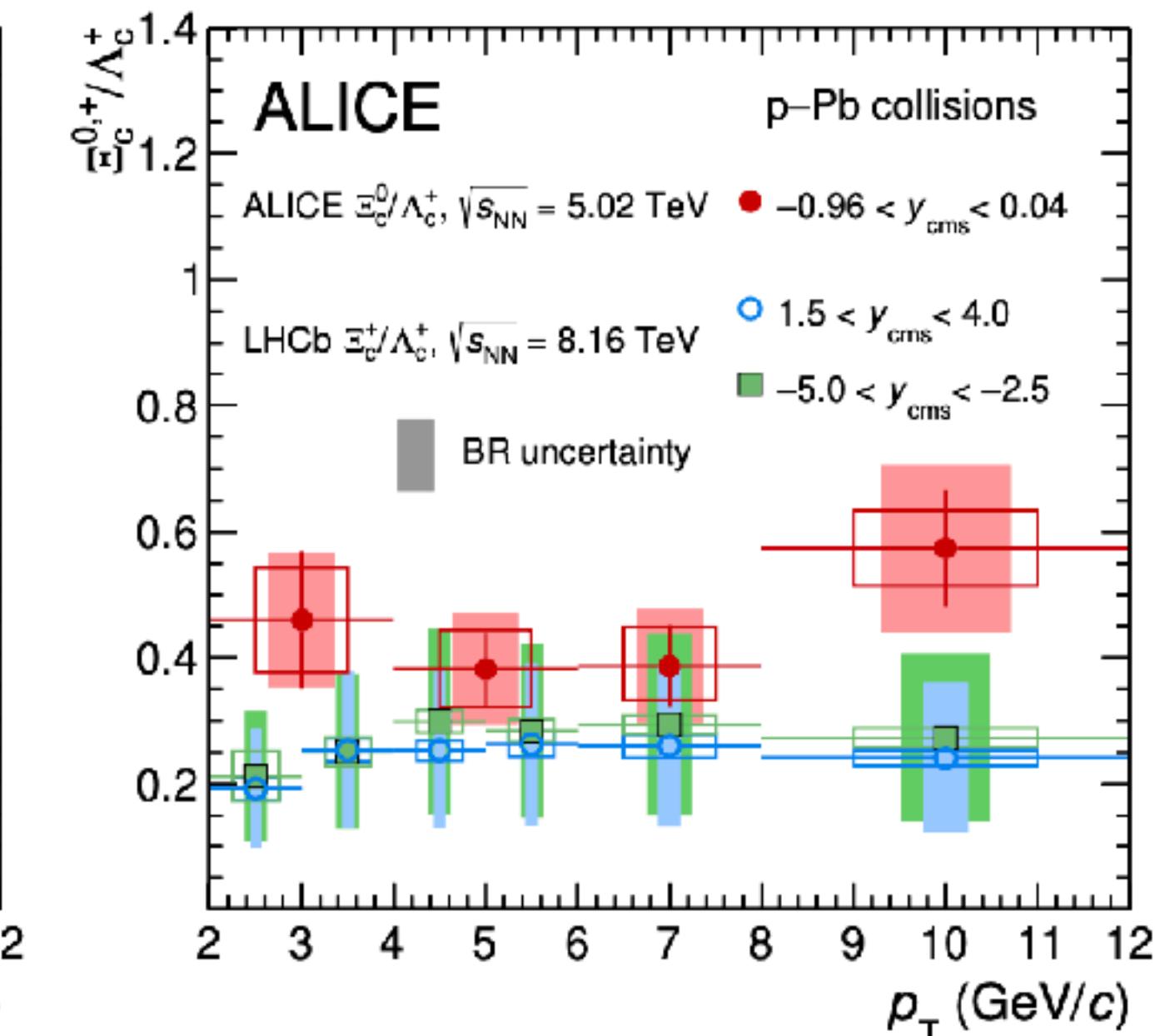
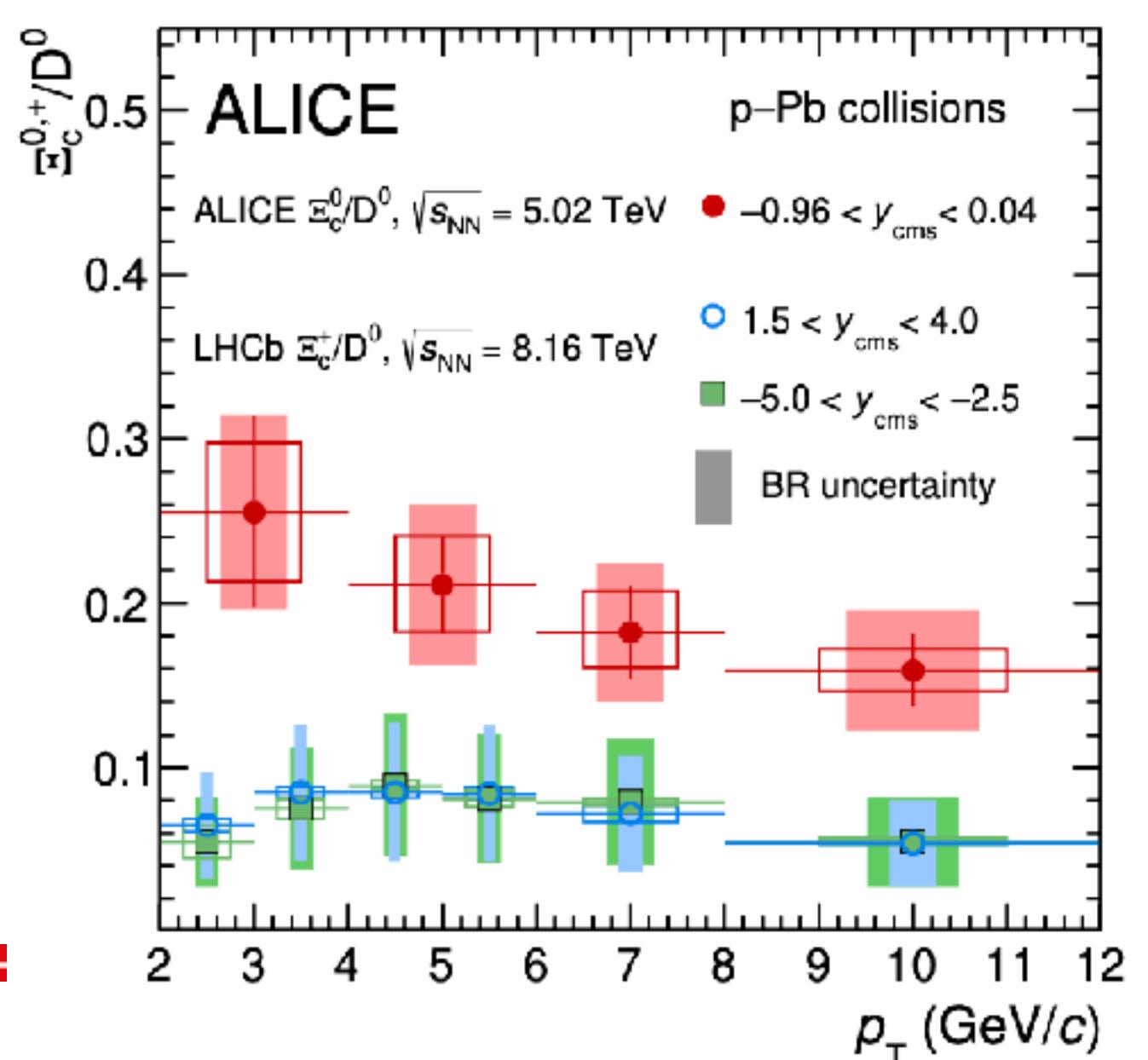
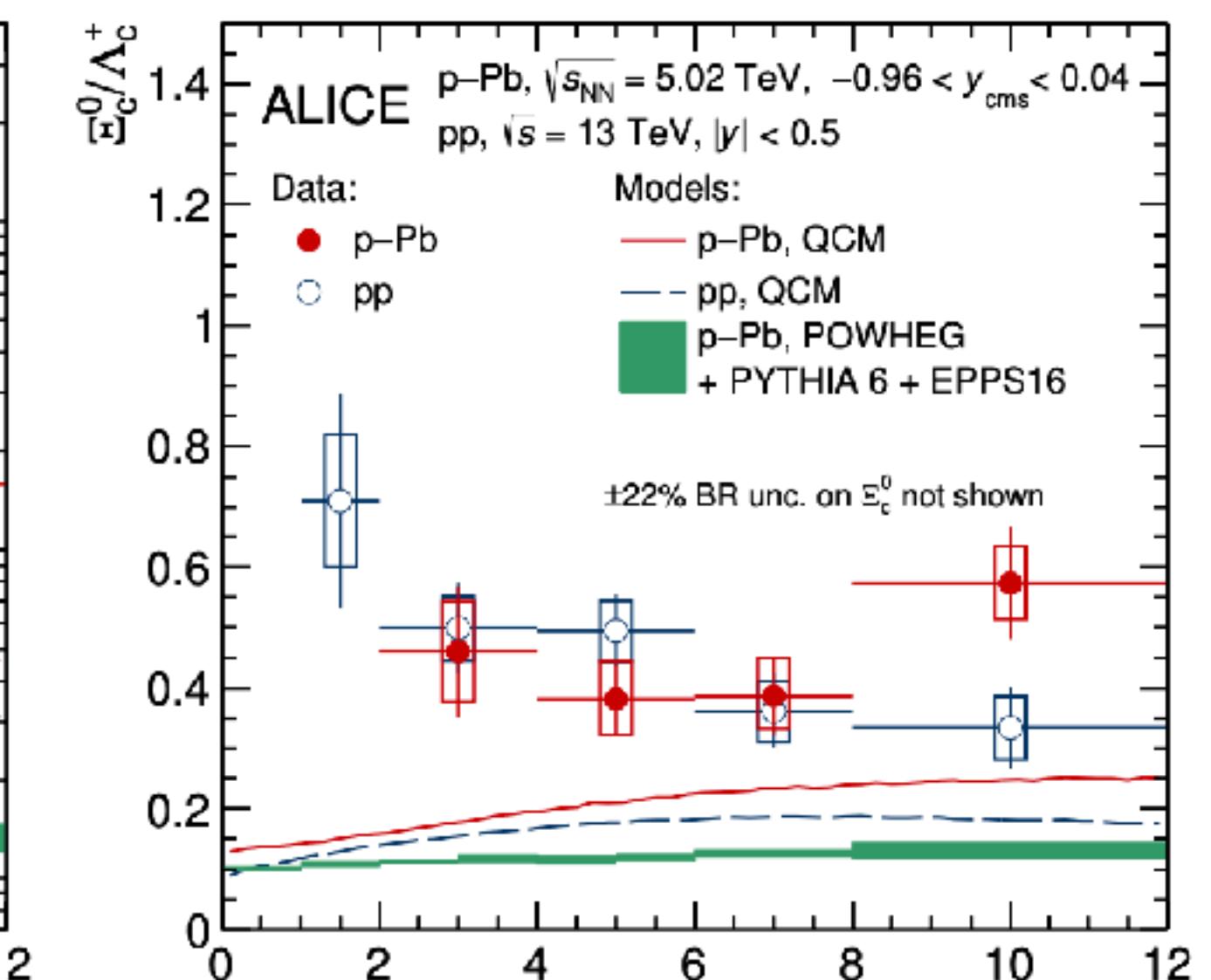
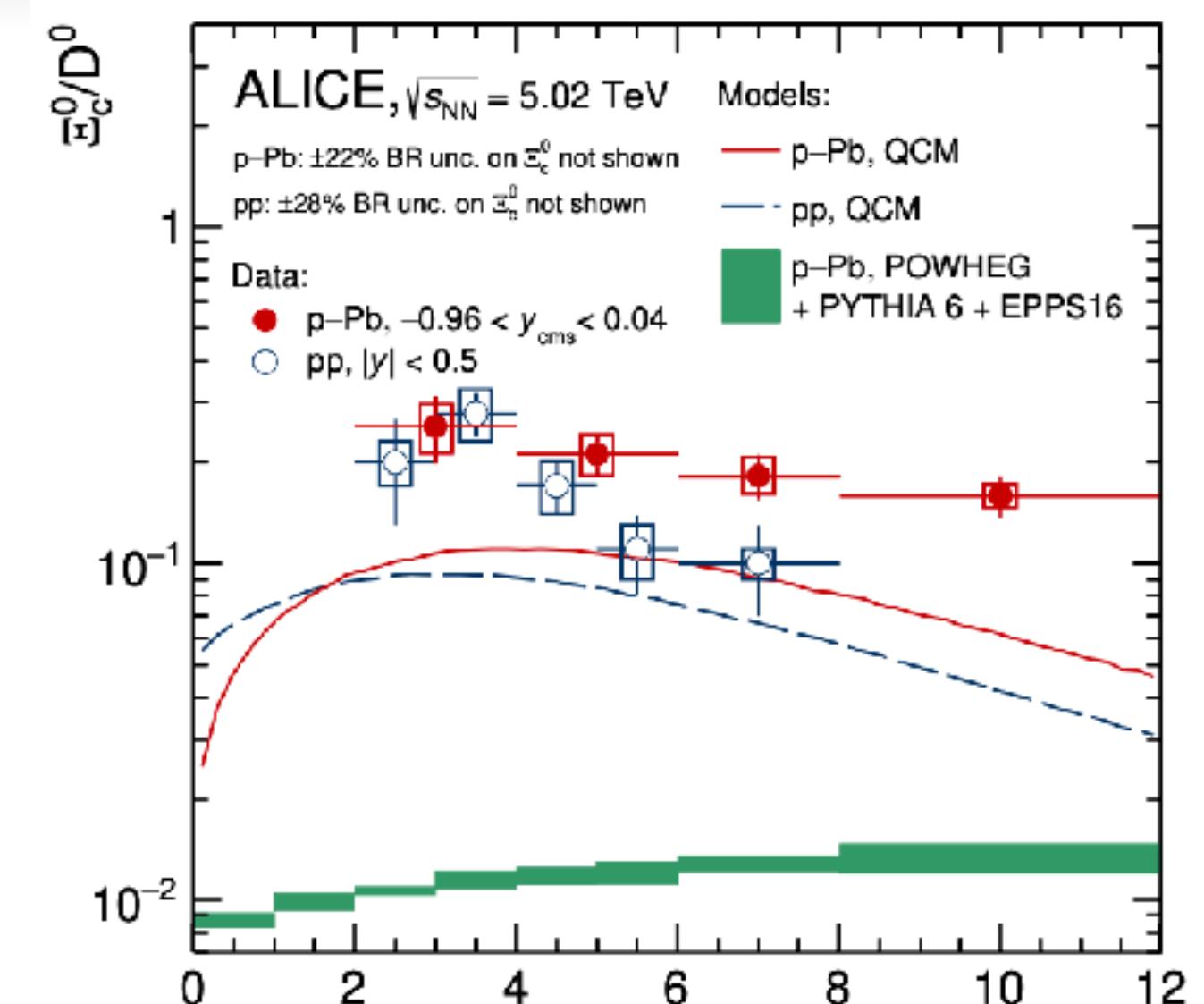
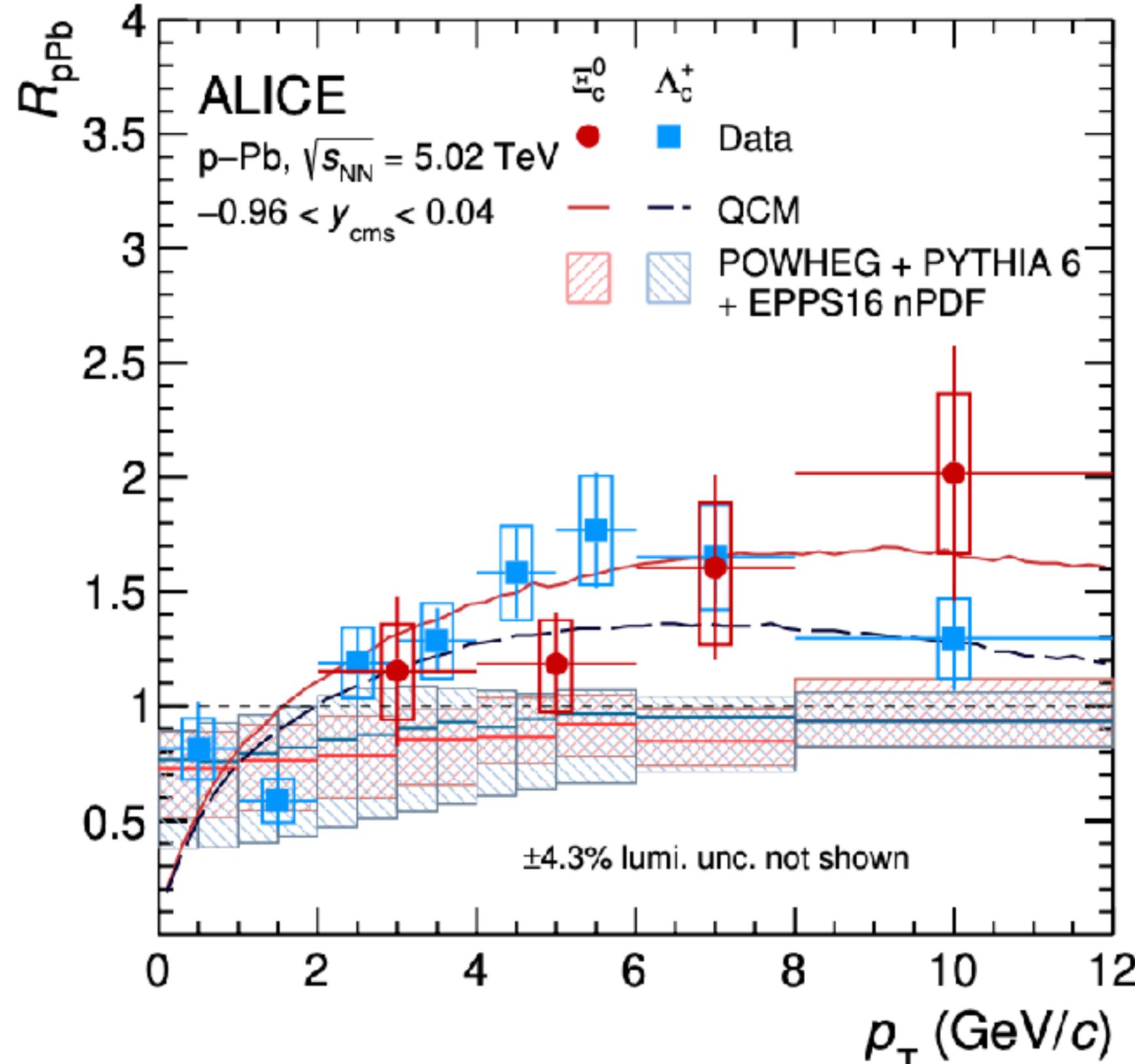


# ALICE & LHCb $D_s^+/D^+$ in pp

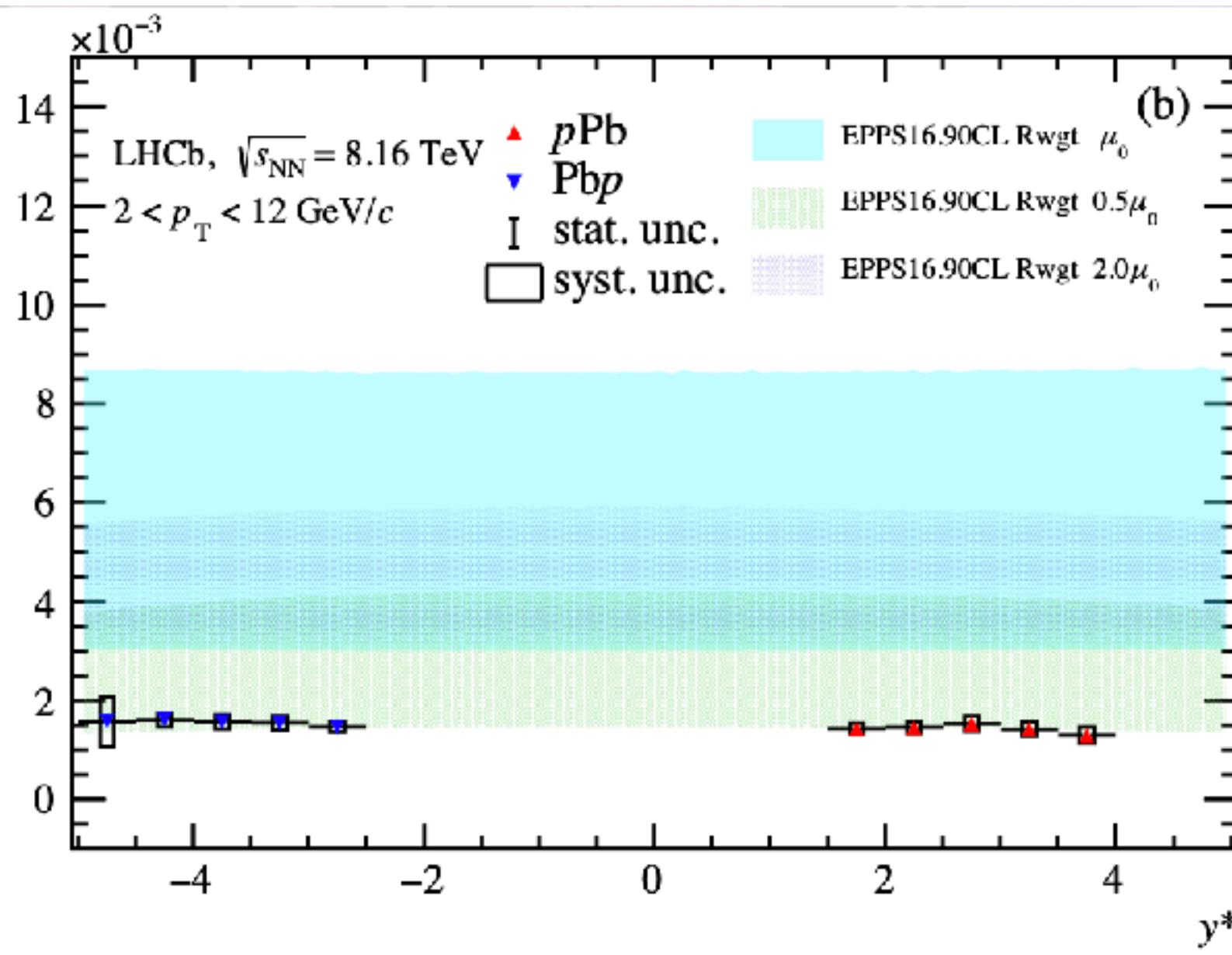
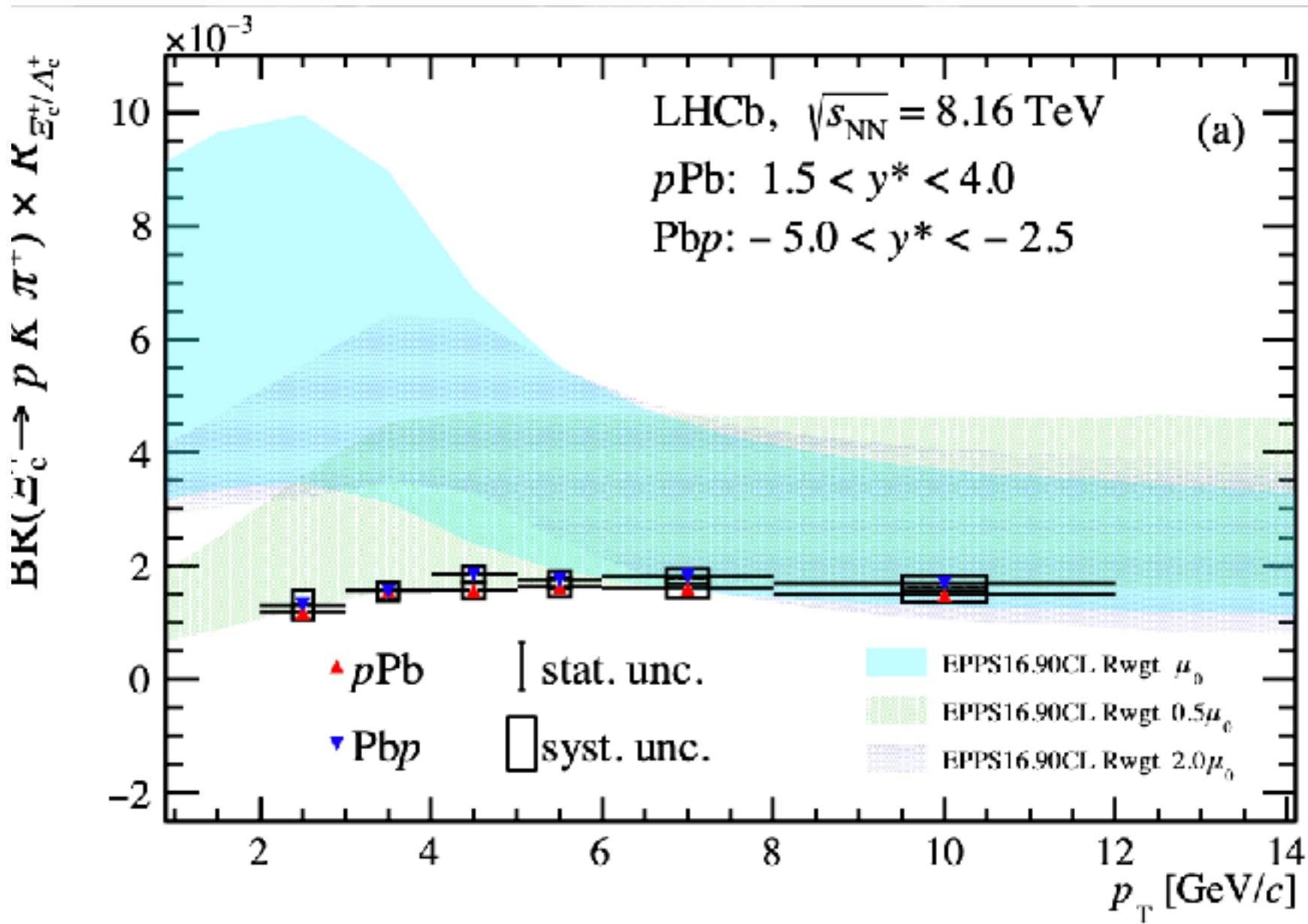
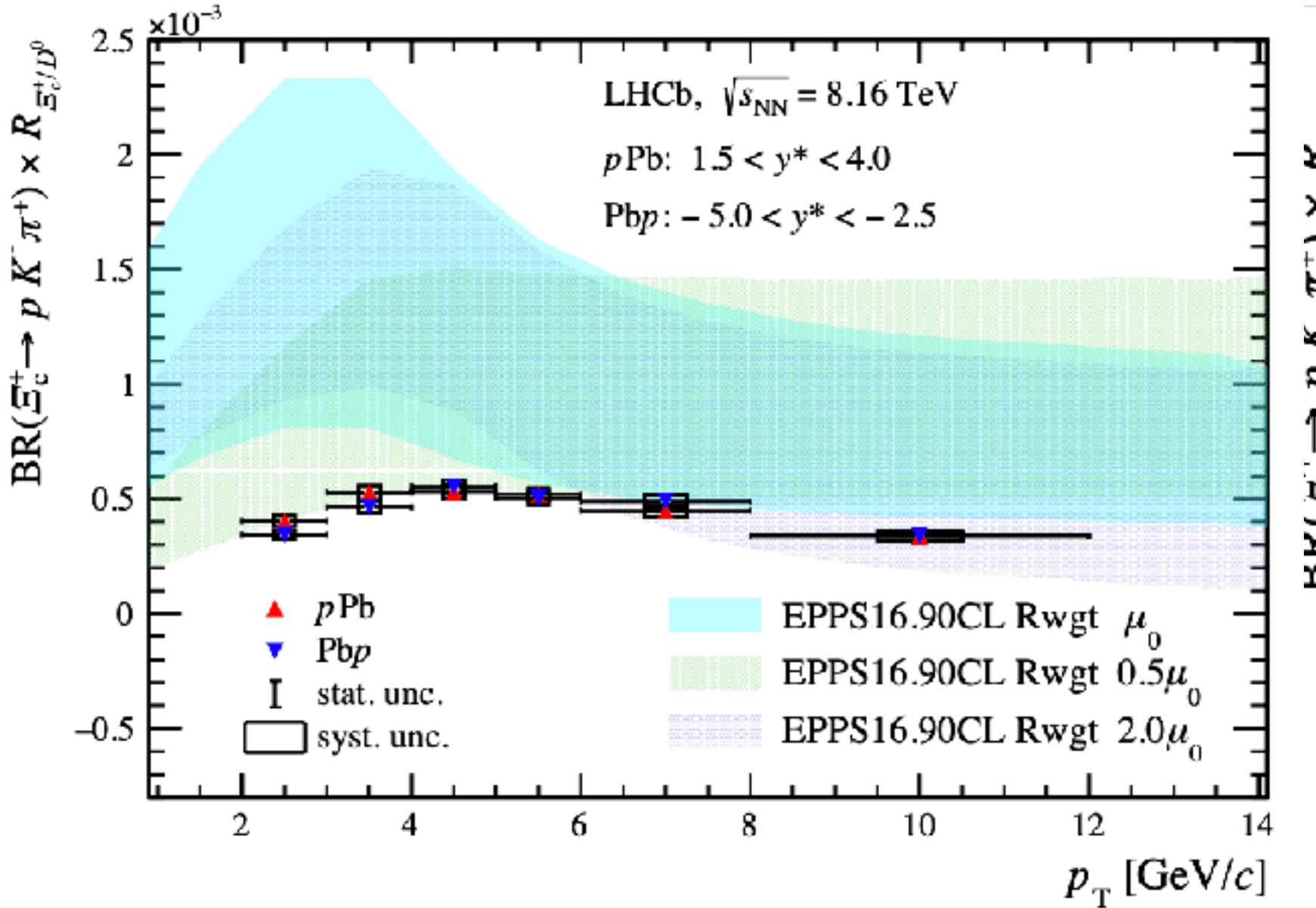
- No rapidity nor energy dependence observed



# $\Xi_c^0/D^0, \Xi_c^0/\Lambda_c^+$ in pPb collisions

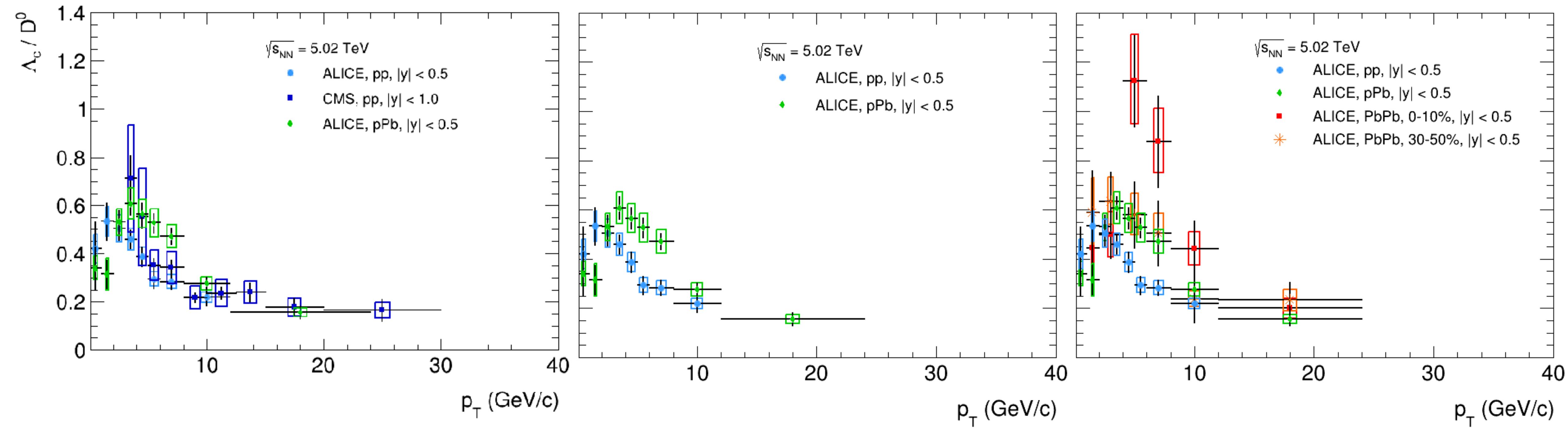


# $\Xi_c^{0,+}/D^0, \Xi_c^{0,+}/\Lambda_c^+$ in pPb collisions



LHCb; arXiv:2305.06711  
LHCb, arXiv:2012.11462





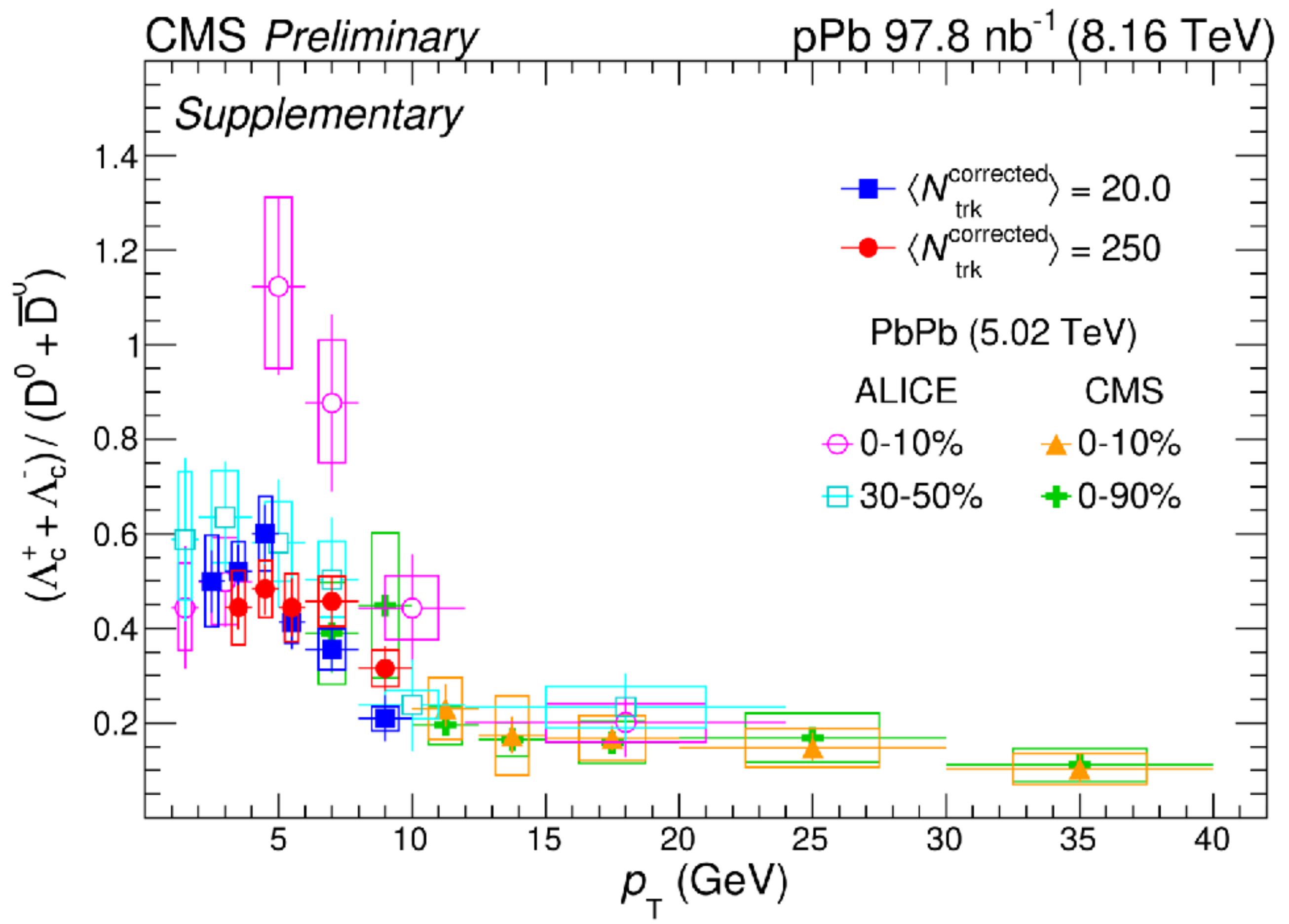
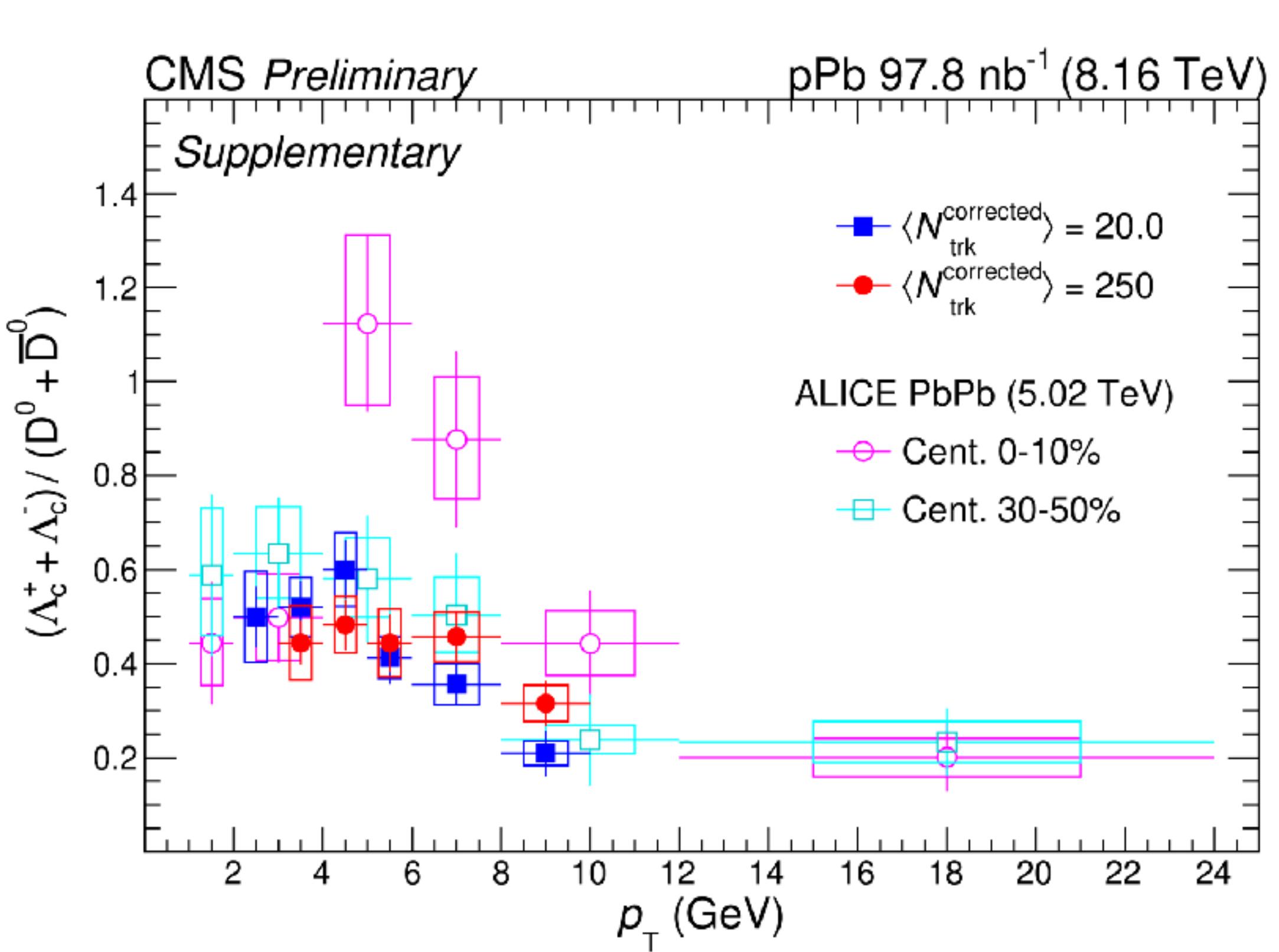
ALICE, pp, pPb, [PRC 107 \(2023\) 064901](#)

ALICE, PbPb, [PLB 839 \(2023\) 137796](#)

CMS, pp, PbPb, [JHEP 01 \(2024\) 128](#)

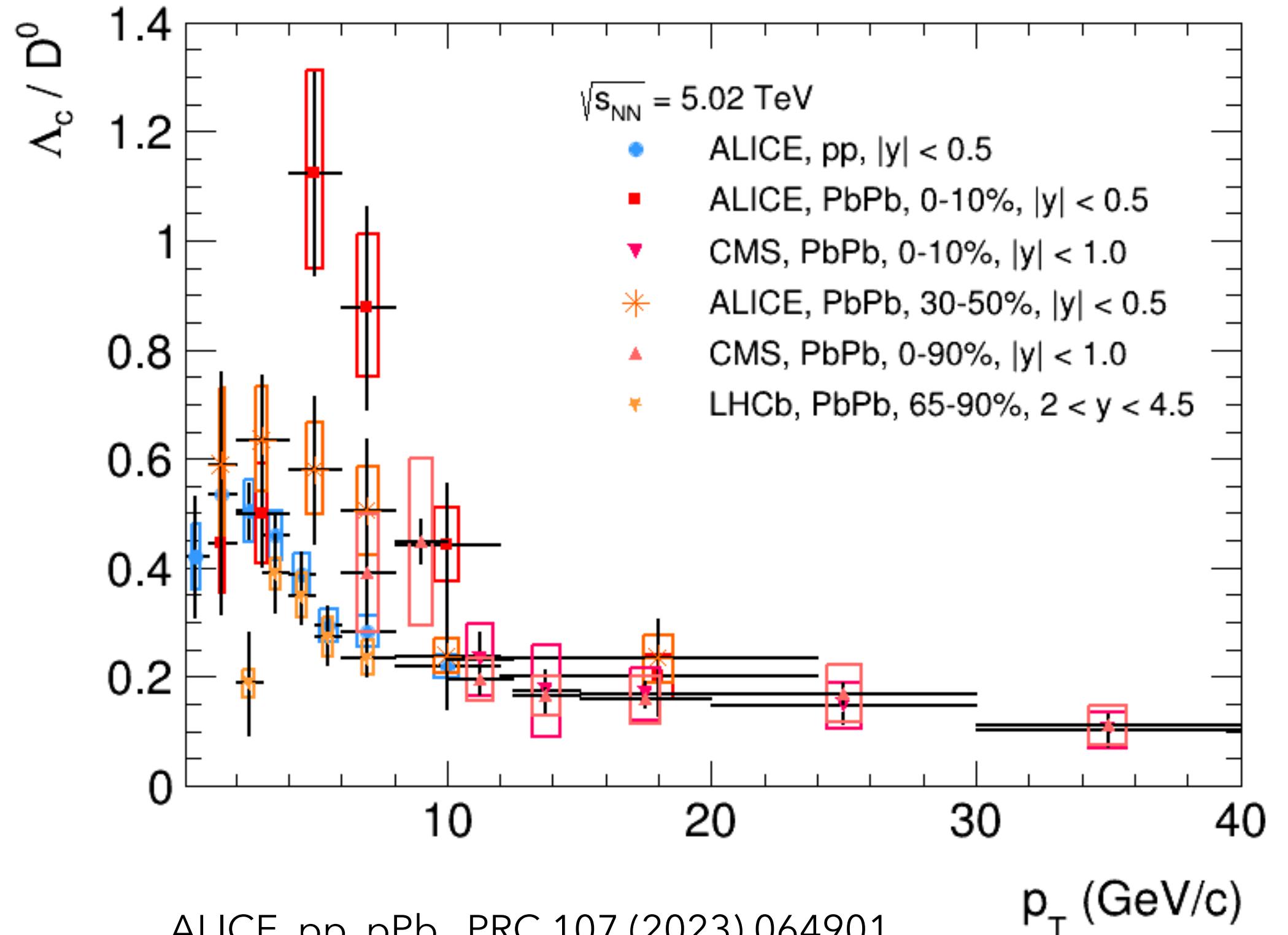
LHCb, pPb, [JHEP 02 \(2019\) 102](#)

LHCb, PbPb, [JHEP06 \(2023\) 132](#)

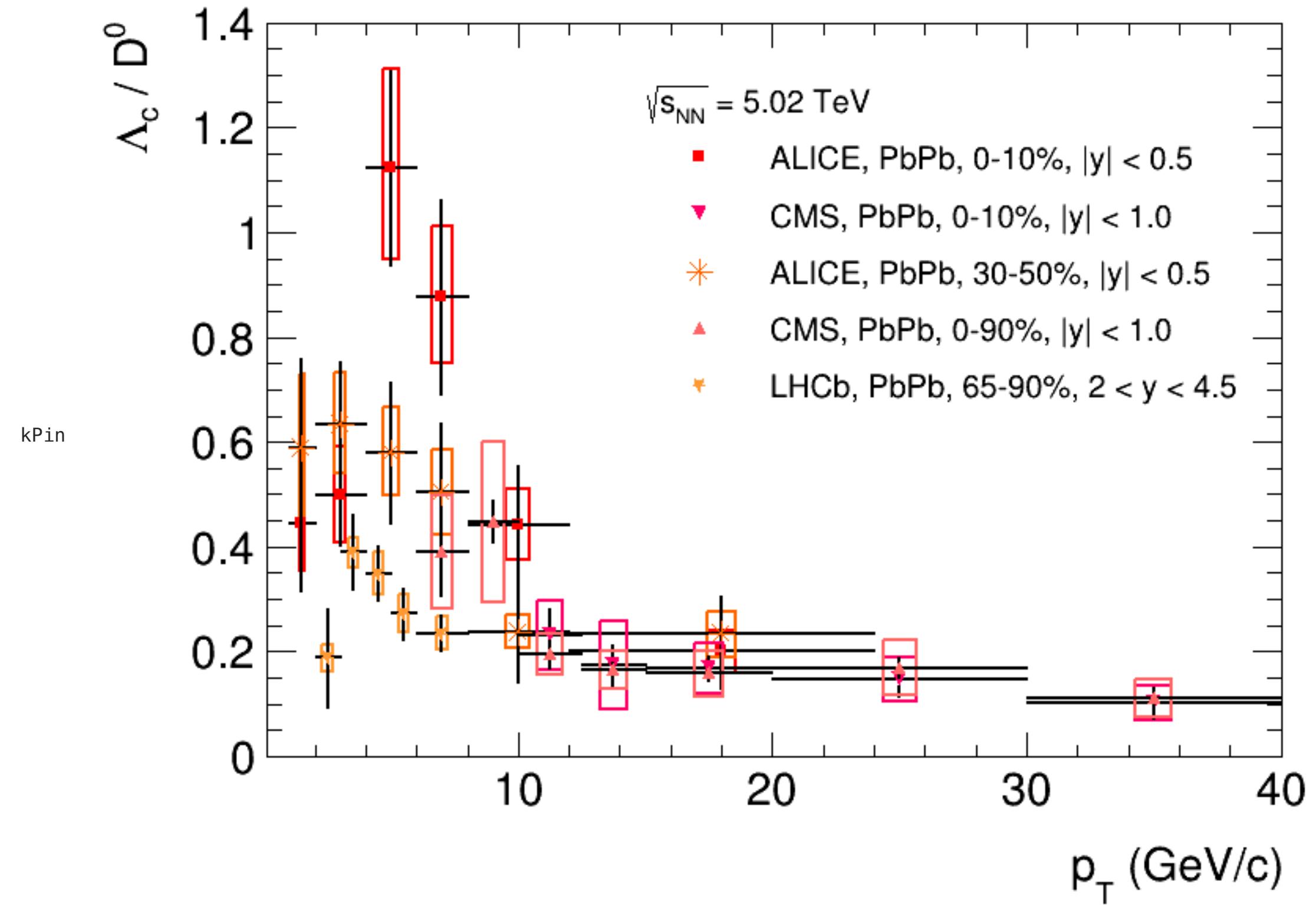


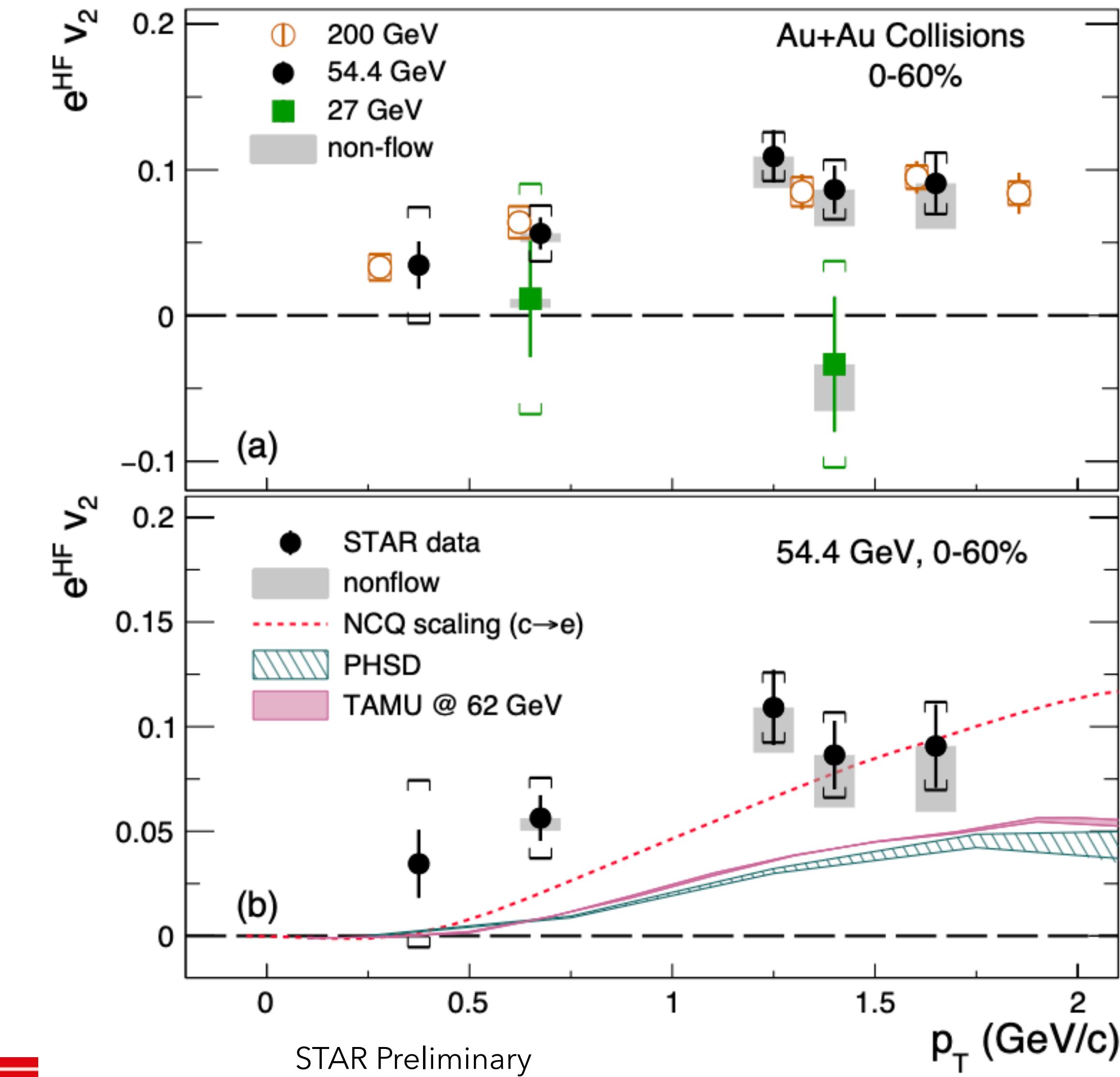
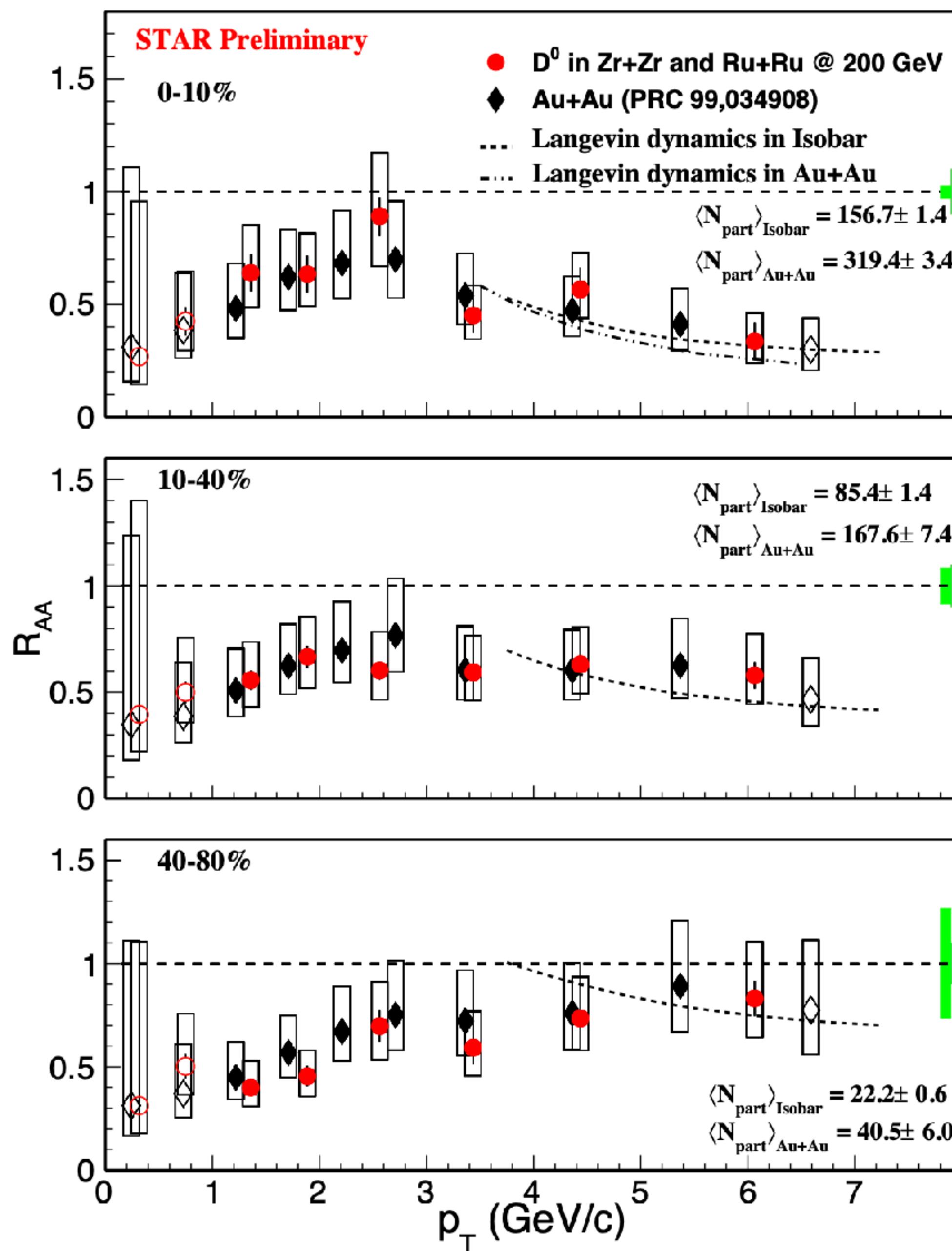
CMS, pPb, [CMS-PAS-HIN-21-016 \(2023\)](#)  
 ALICE, pp, [PLB 829 \(2022\) 137065](#)

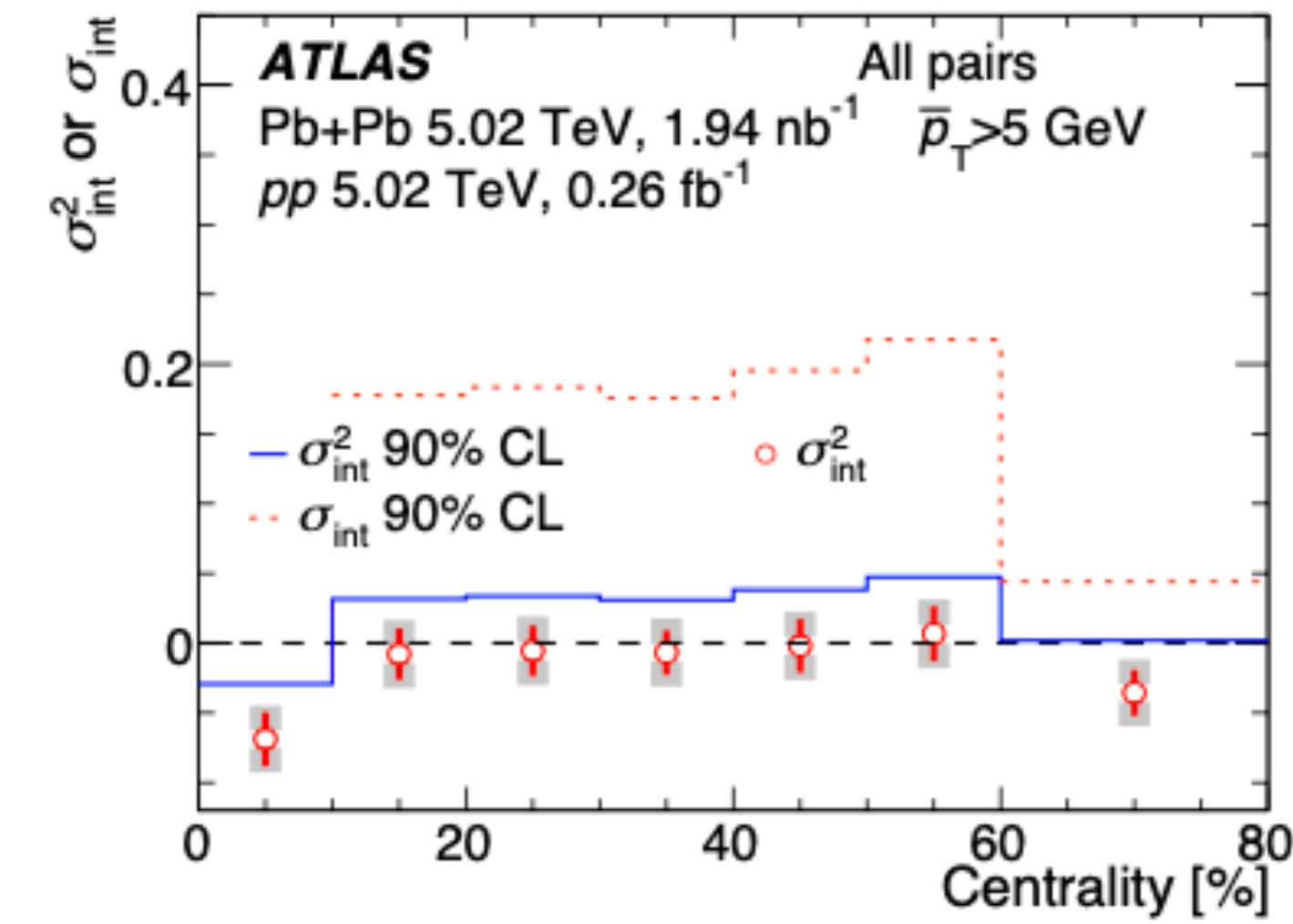
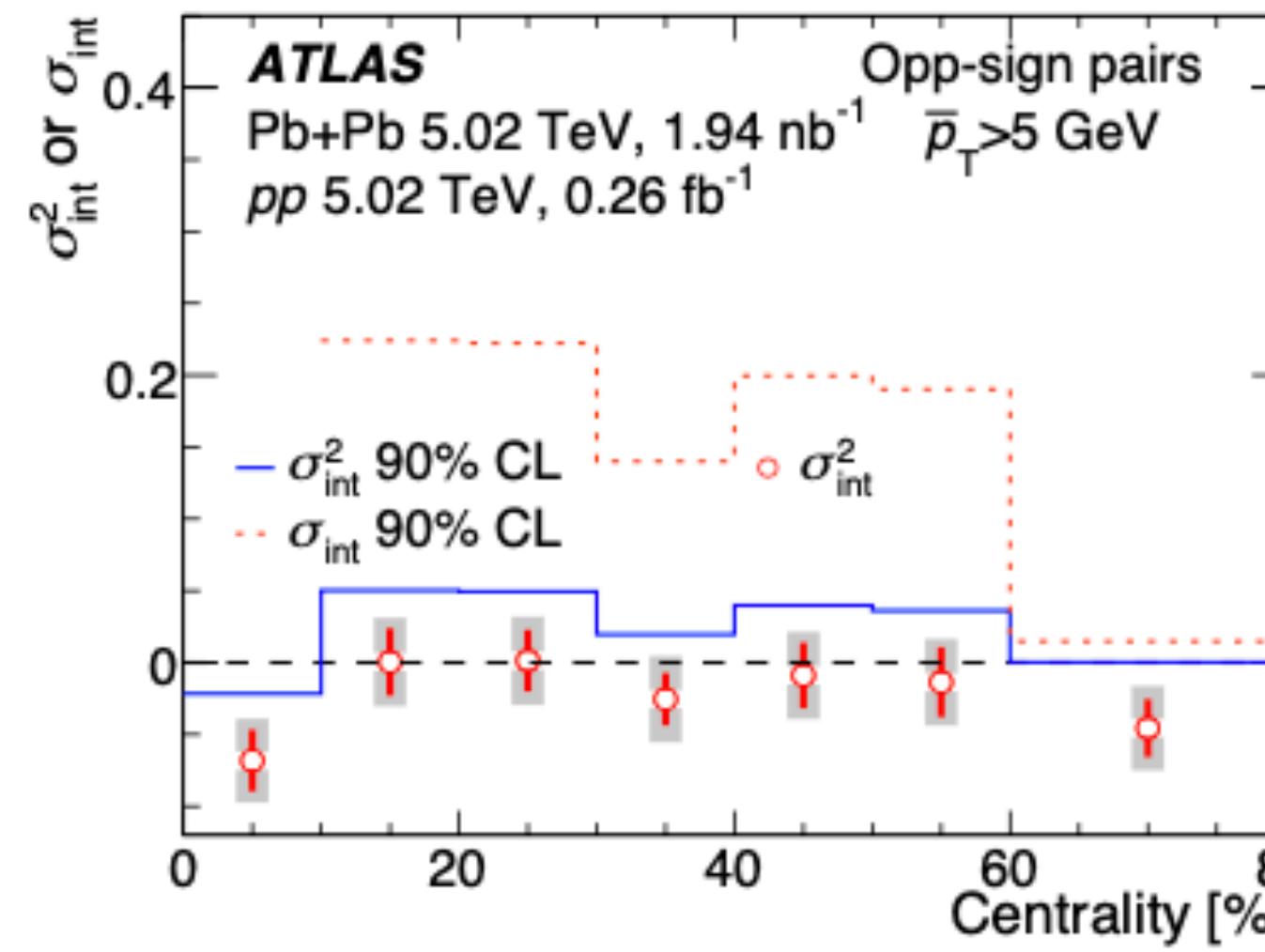
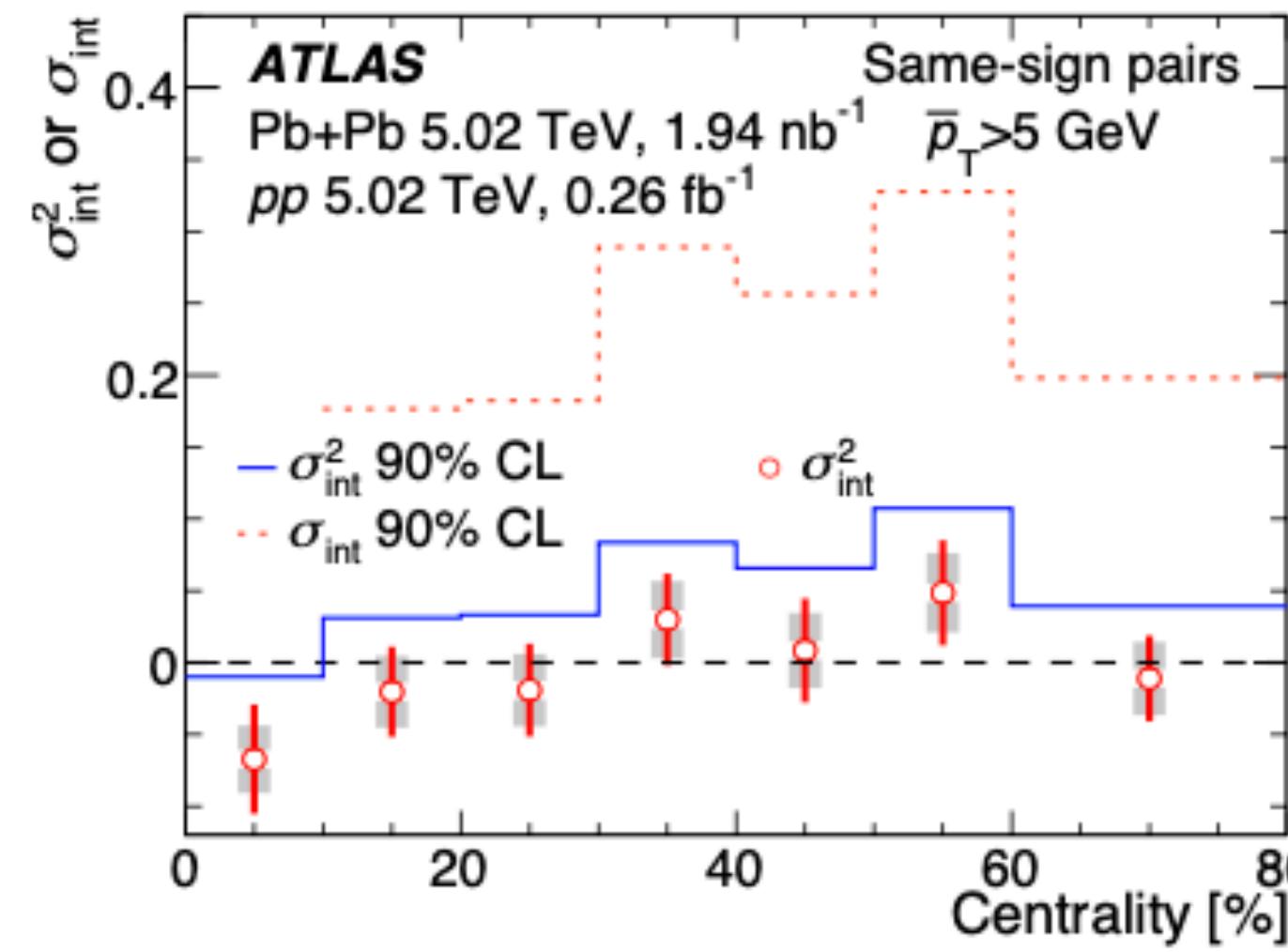
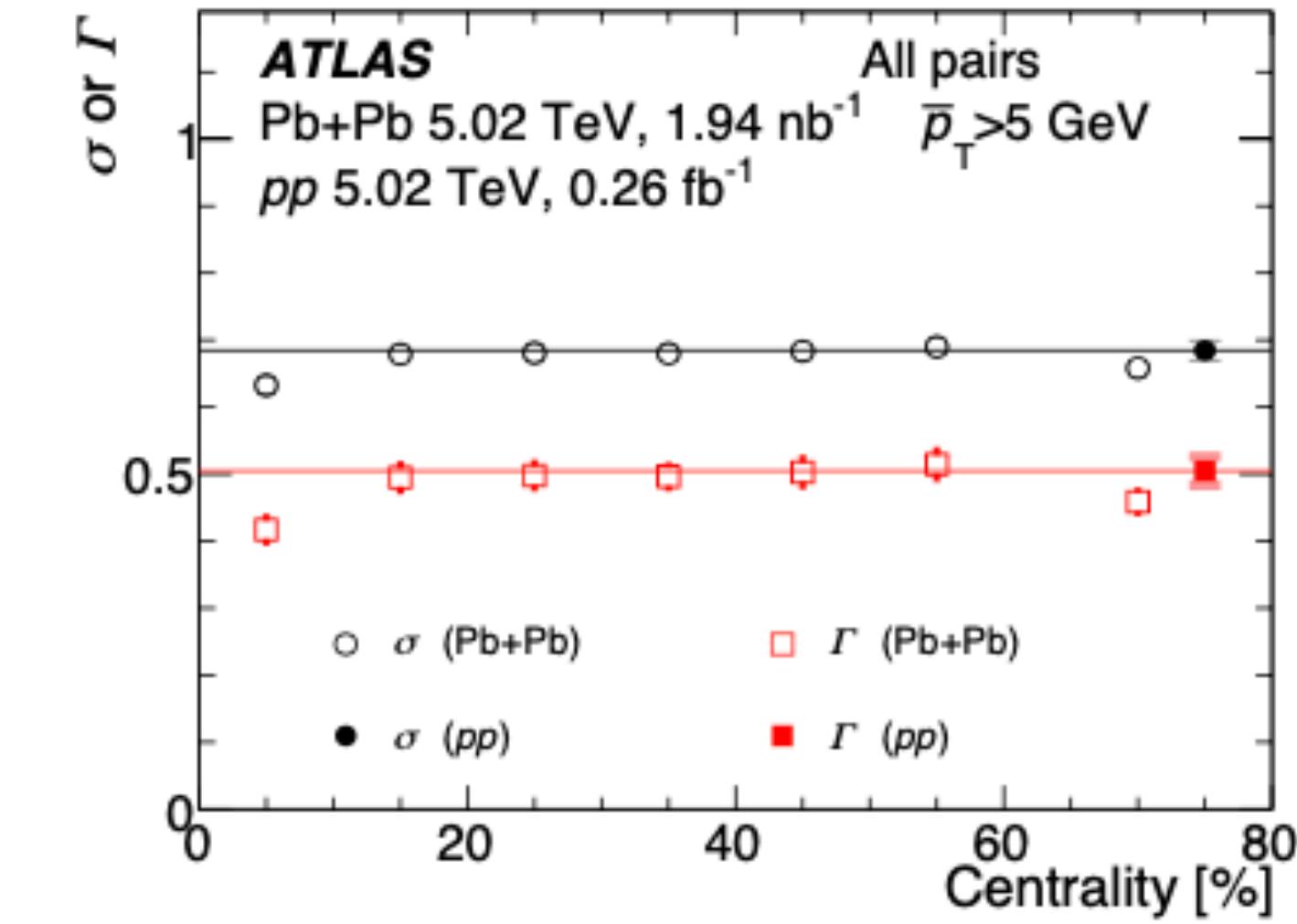
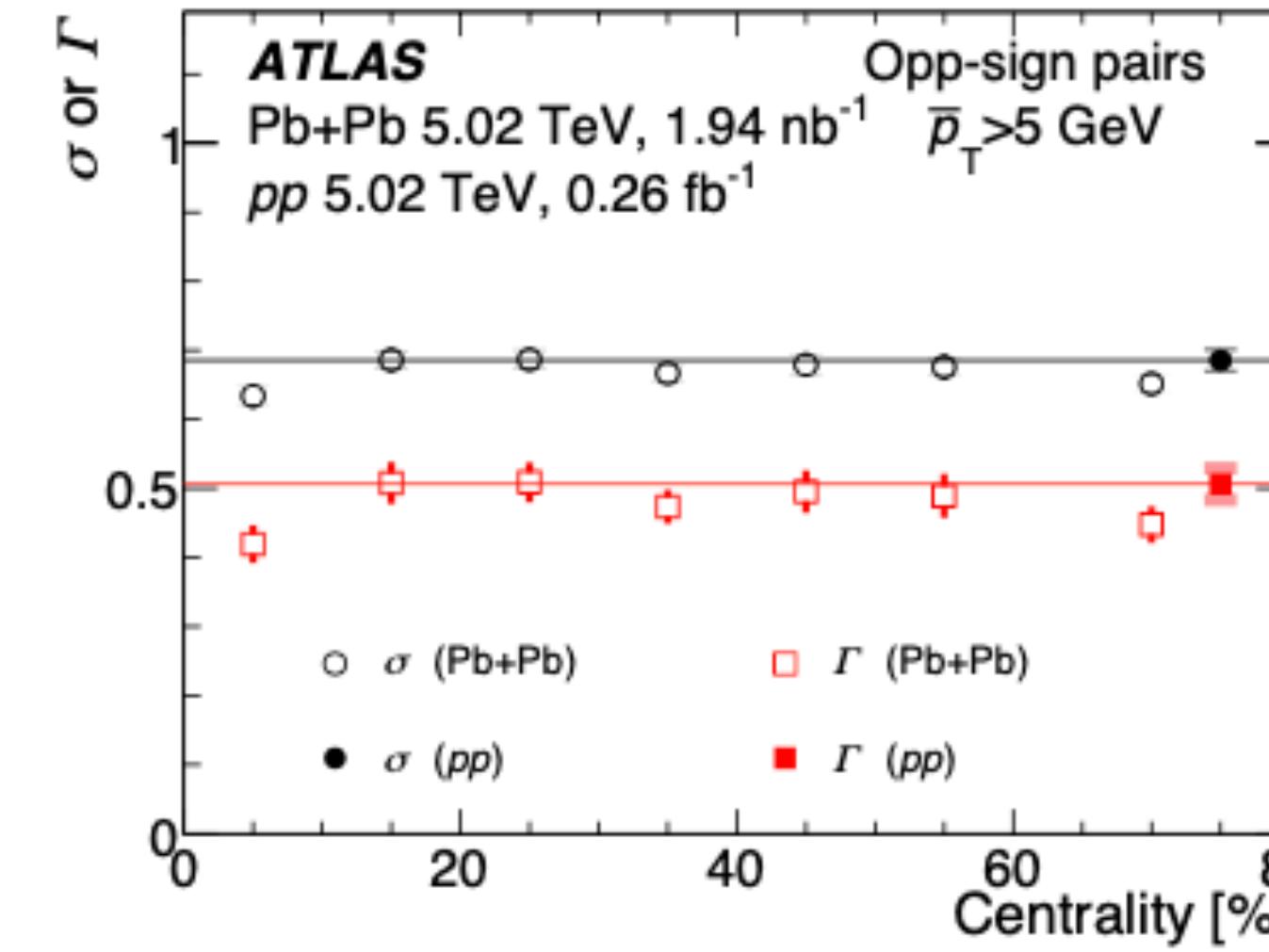
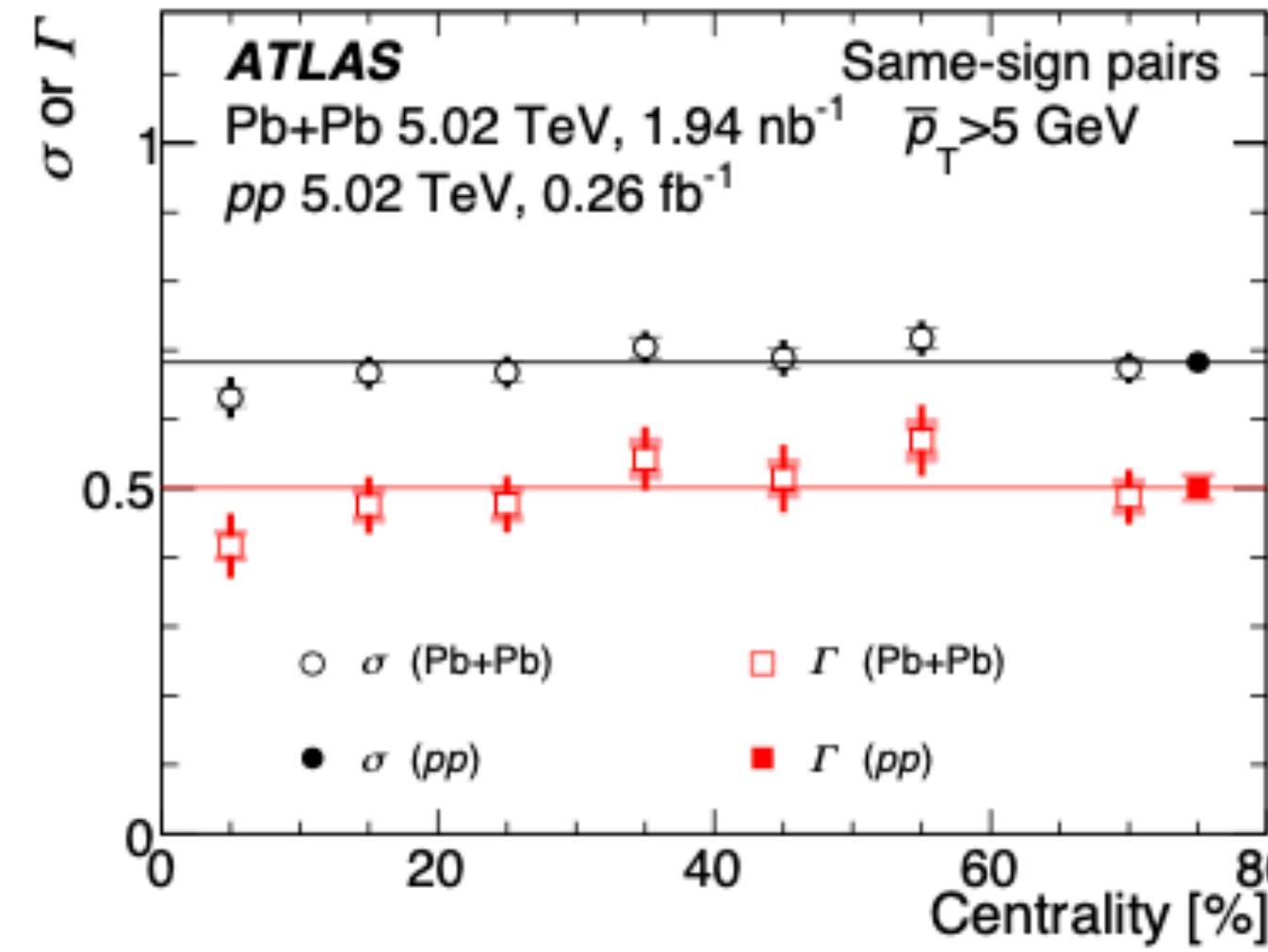
# Charm baryon-to-meson ratio in PbPb



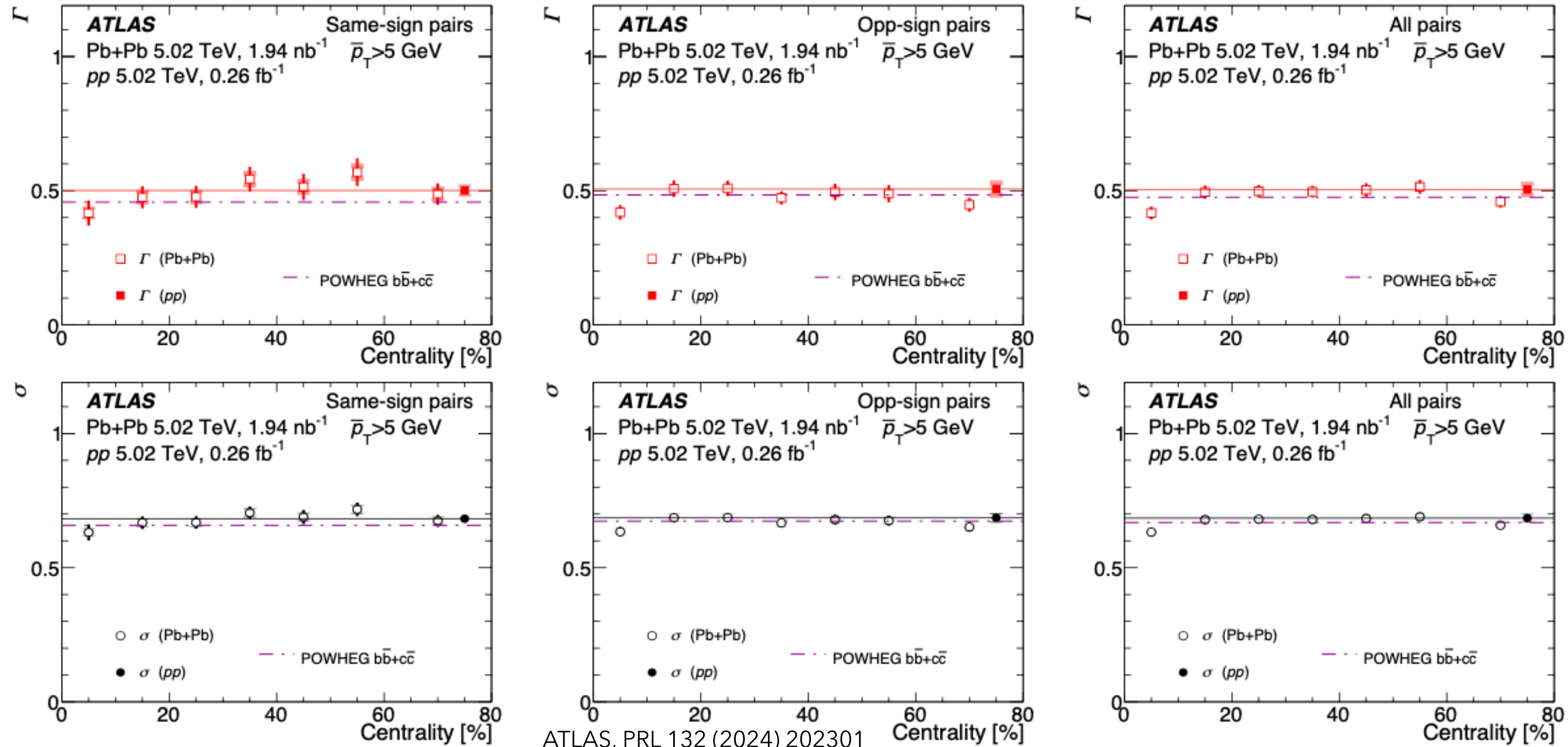
ALICE, pp, pPb, [PRC 107 \(2023\) 064901](#)  
 ALICE, PbPb, [PLB 839 \(2023\) 137796](#)  
 CMS, pp, PbPb, [JHEP 01 \(2024\) 128](#)  
 LHCb, pPb, [JHEP 02 \(2019\) 102](#)  
 LHCb, PbPb, [JHEP06 \(2023\) 132](#)



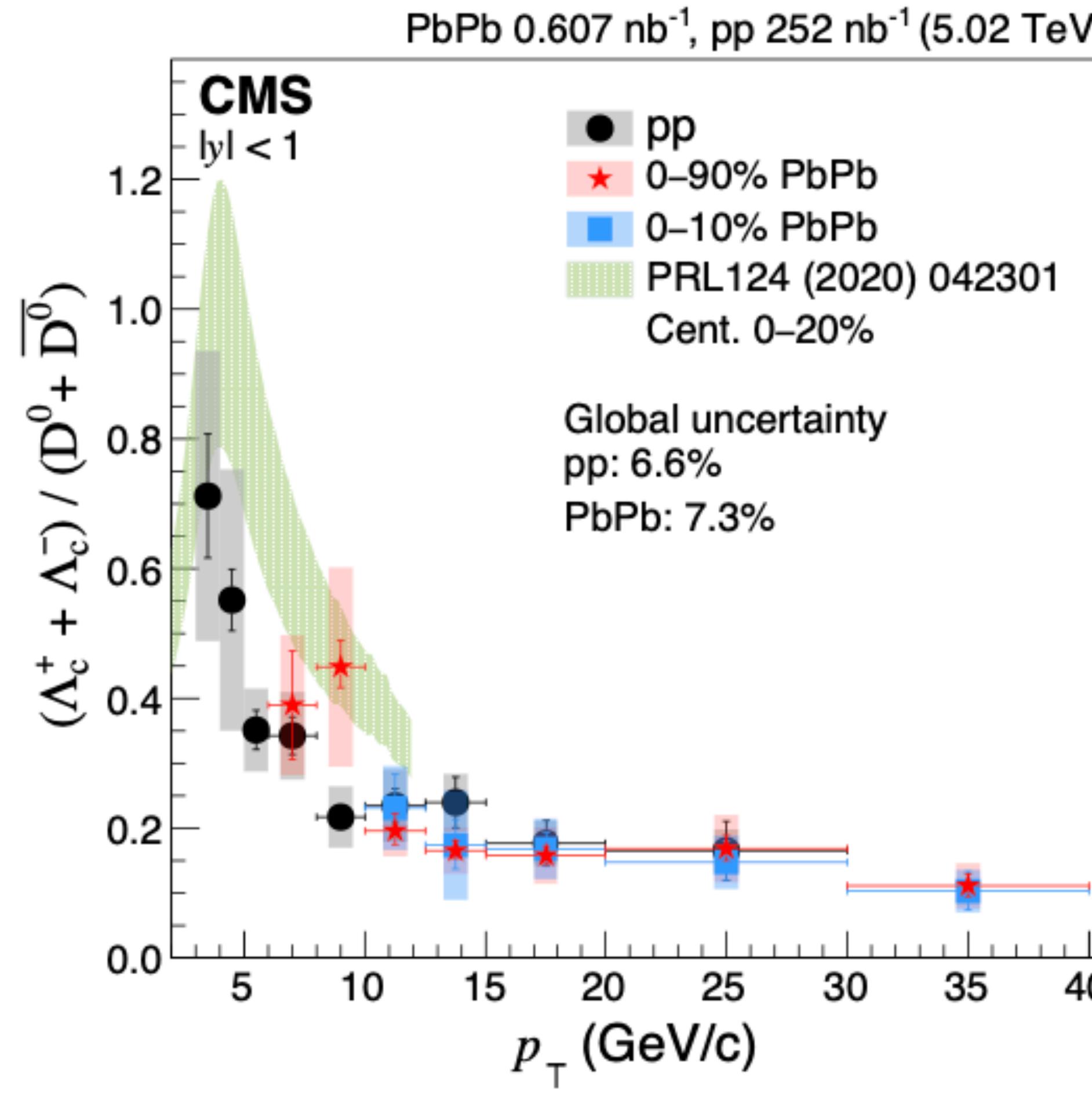
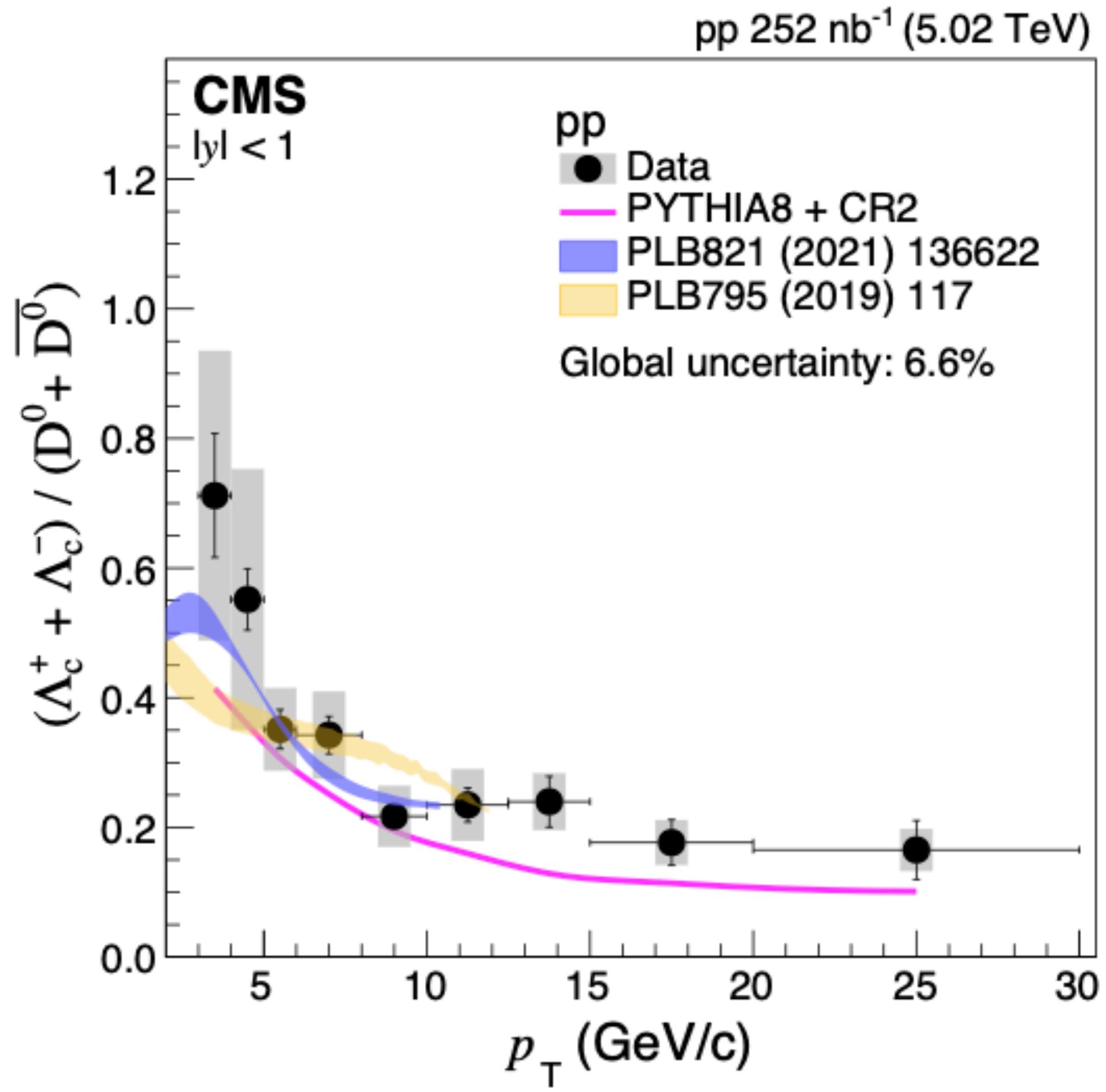




ATLAS, PRL 132 (2024) 202301

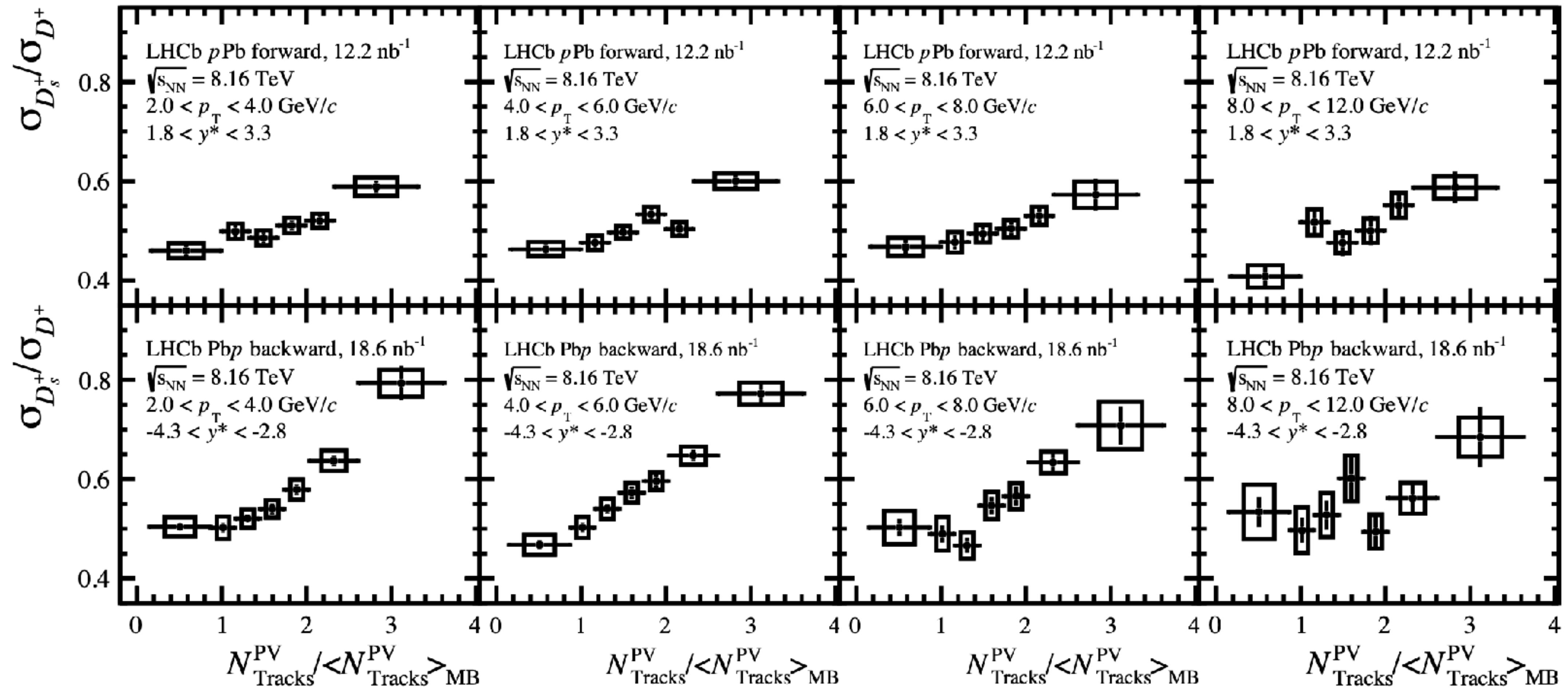


# Charm baryon-to-meson ratio vs. models



CMS, [JHEP 01 \(2024\) 128](#)

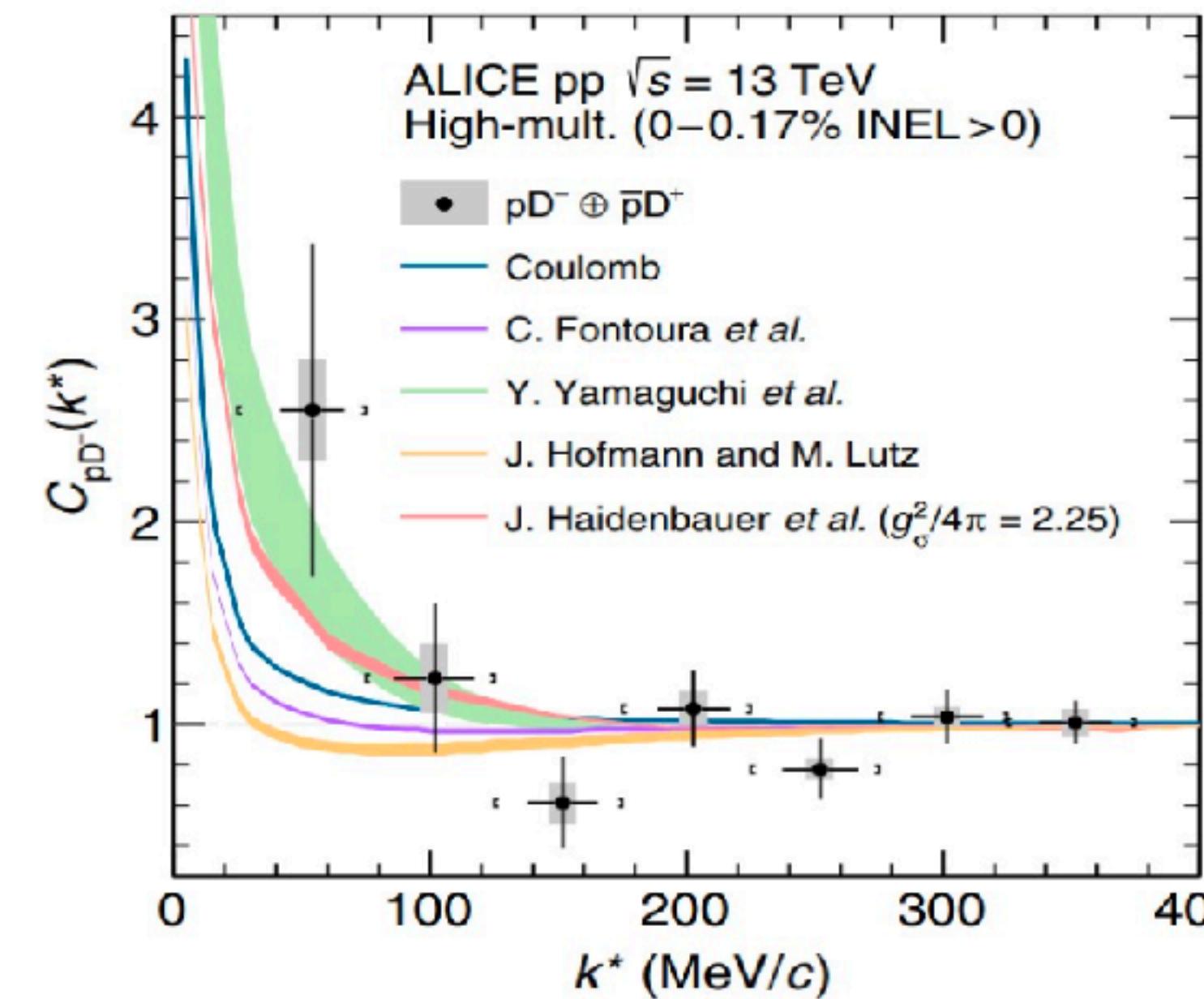
# Charm strange hadrons: $D_s^+/\bar{D}^+$ ratio vs. multiplicity



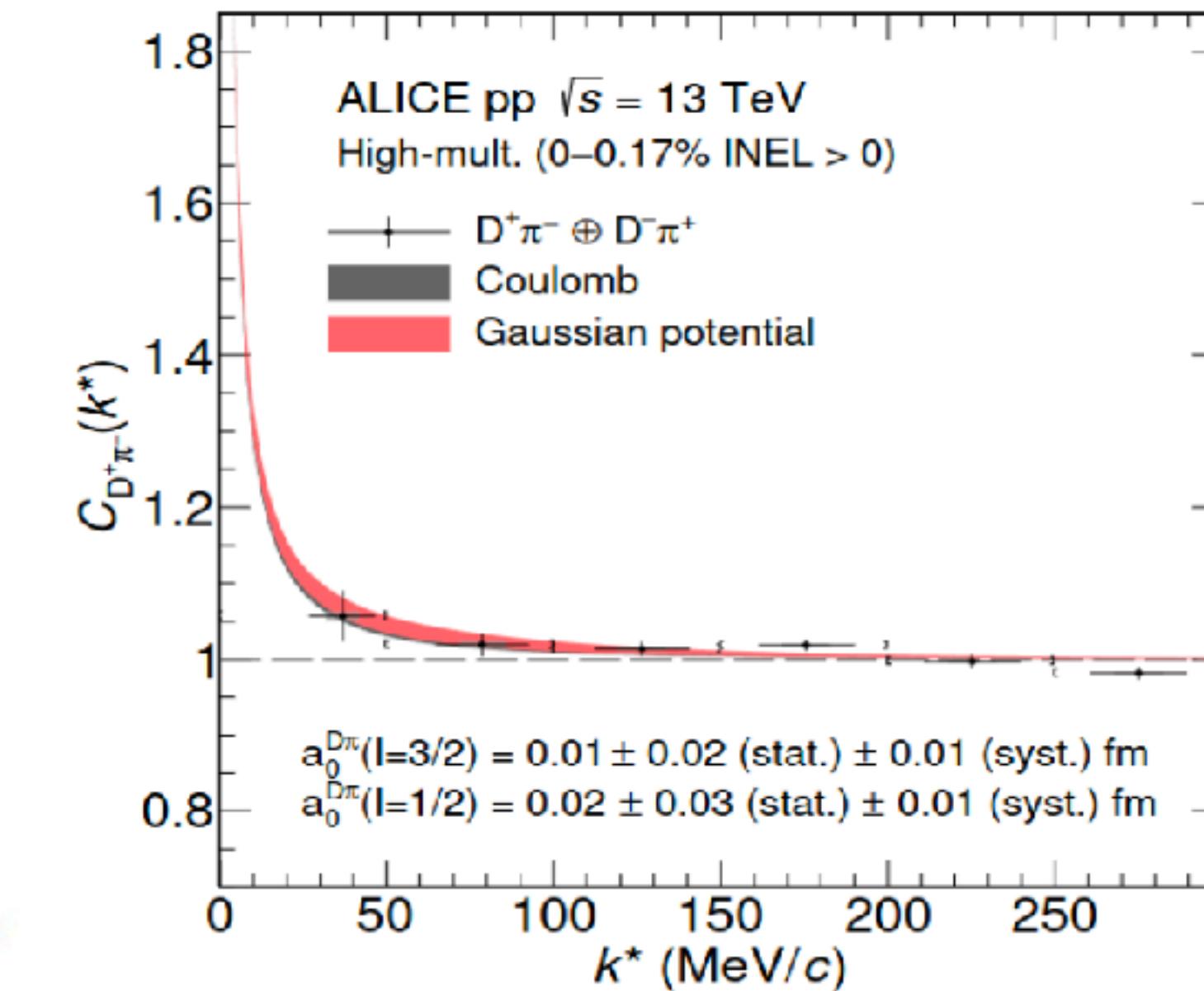
LHCb, arXiv:2311.08490

# D-h femtoscopy at the LHC

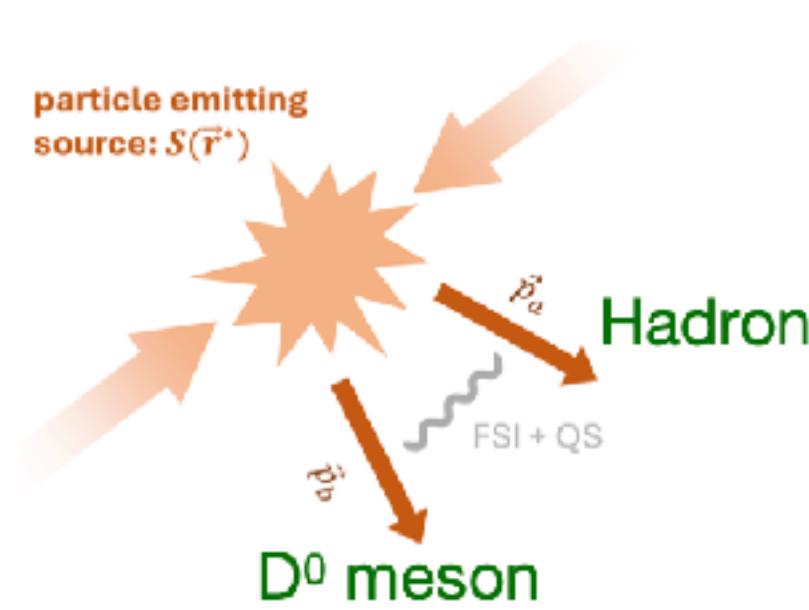
ALICE, Phys. Rev. D 106, 052010



ALICE, <https://doi.org/10.48550/arXiv.2401.13541>



# D-h femtoscopy at RHIC



- Only strong interaction contribution to  $D^0/\bar{D}^0 - h^\pm$  femtoscopy

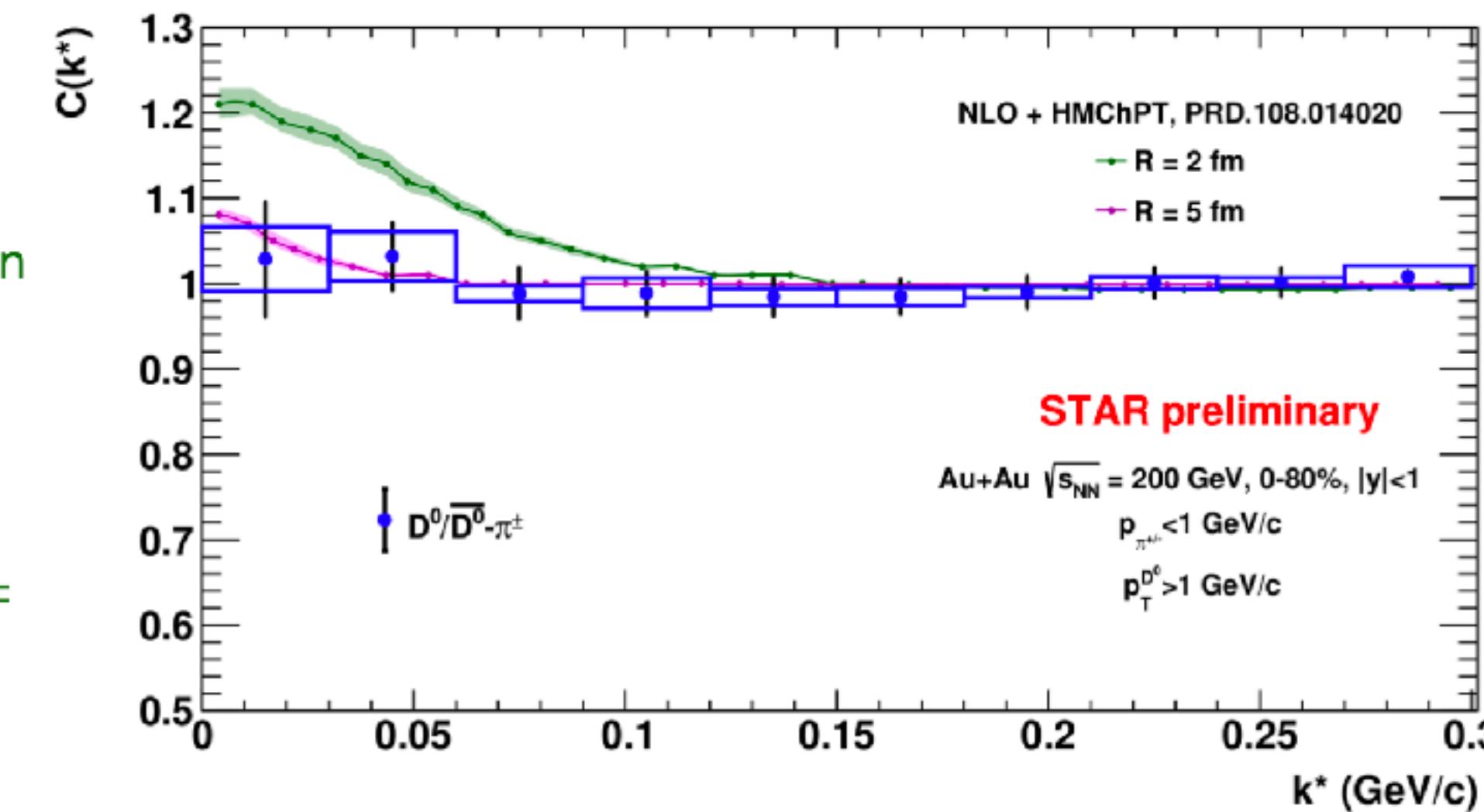


Figure 11:  $C(k^*)$  for  $D^0$ - $\pi$  pairs with systematic uncertainties (boxes). Green and pink bands are theory predictions of  $C(k^*)$  for  $D$ - $\pi$  channel using source radii of 2 fm and 5 fm respectively

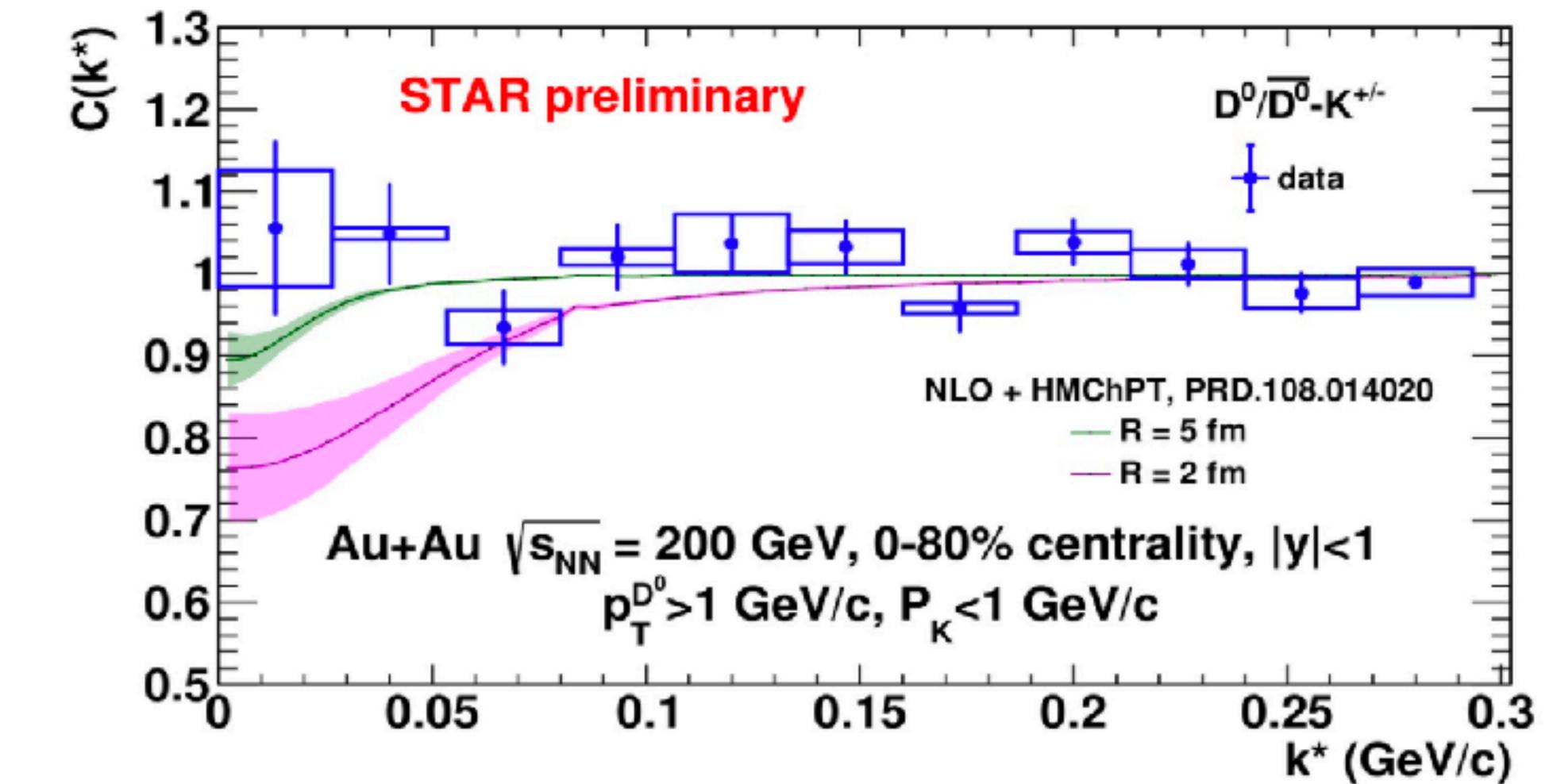


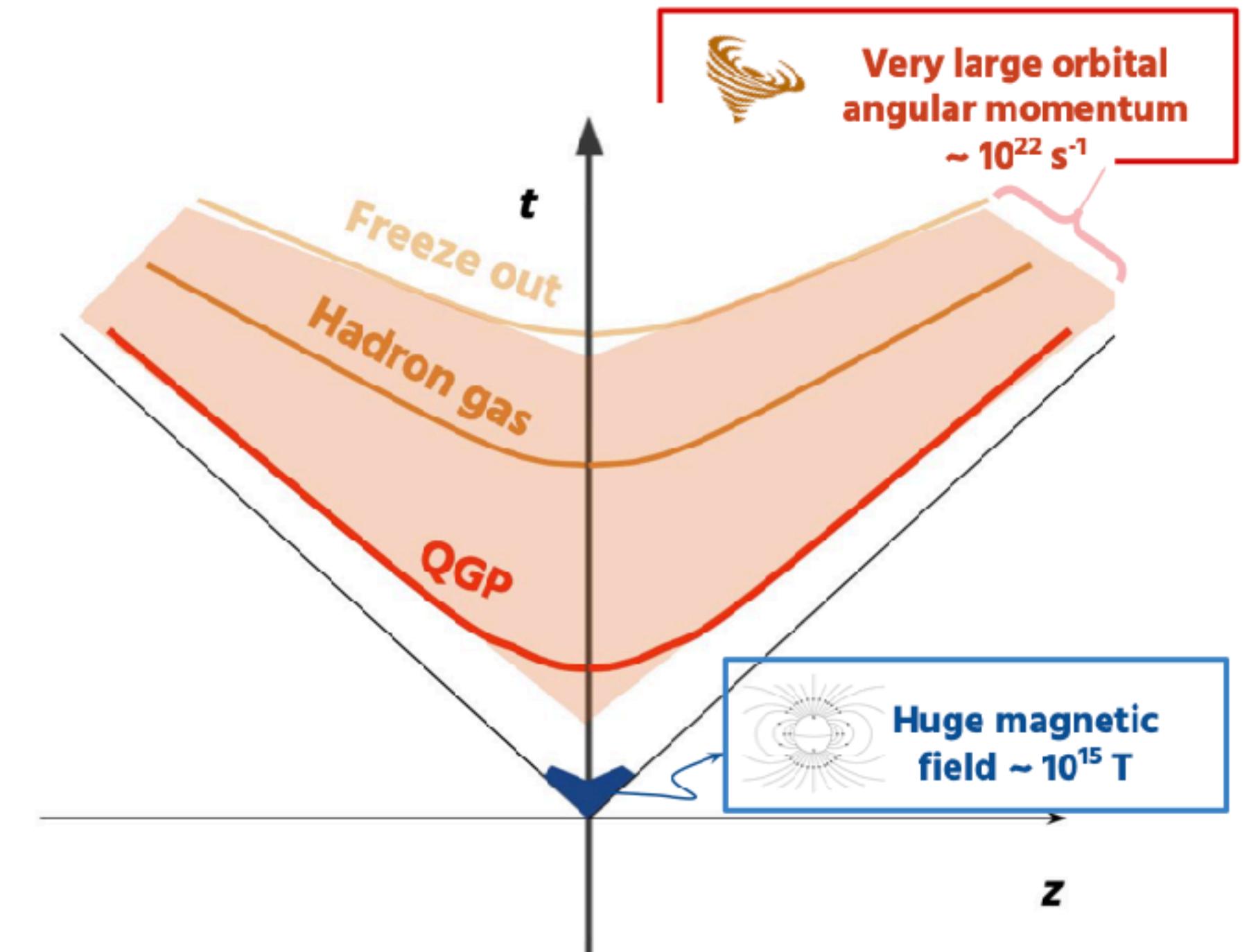
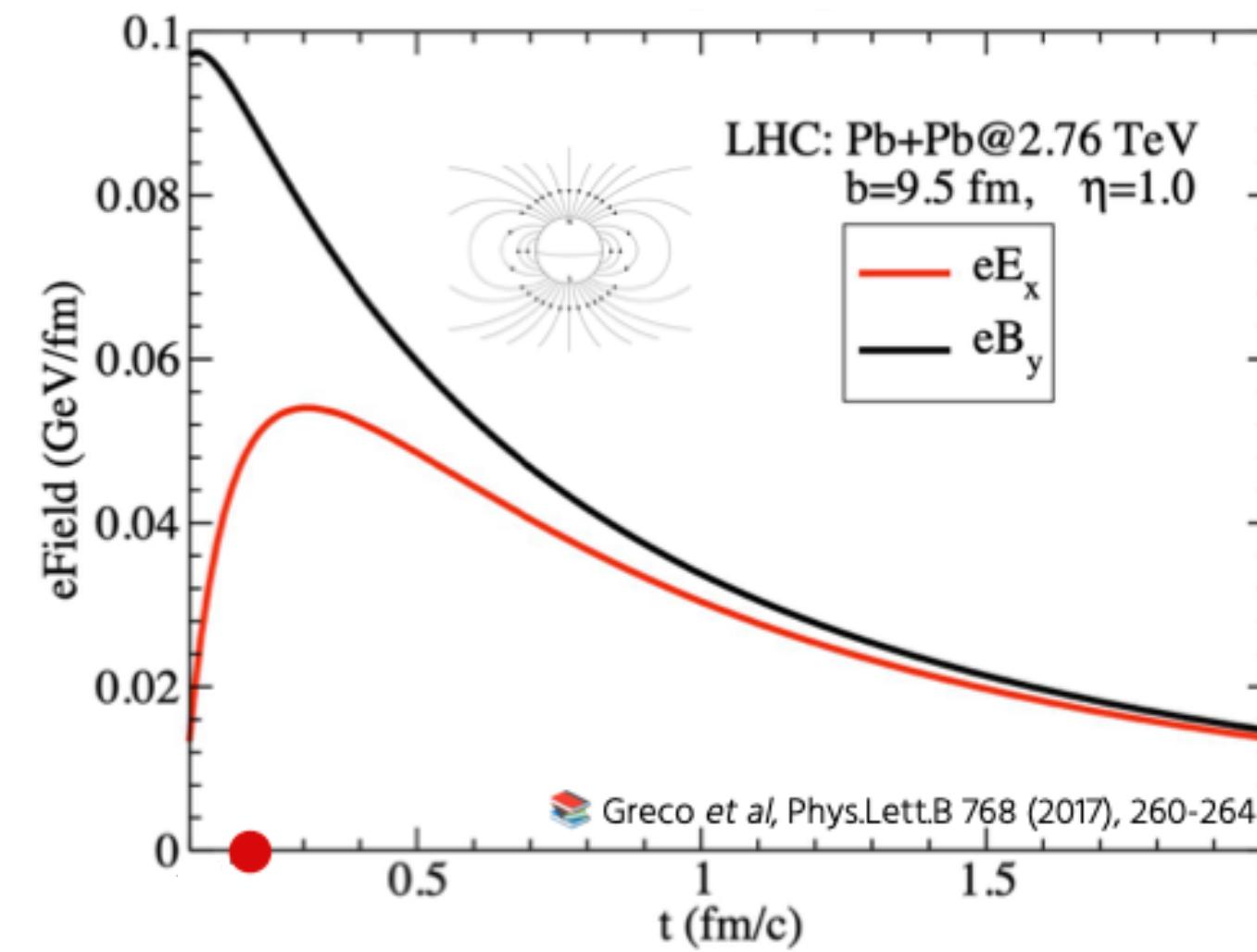
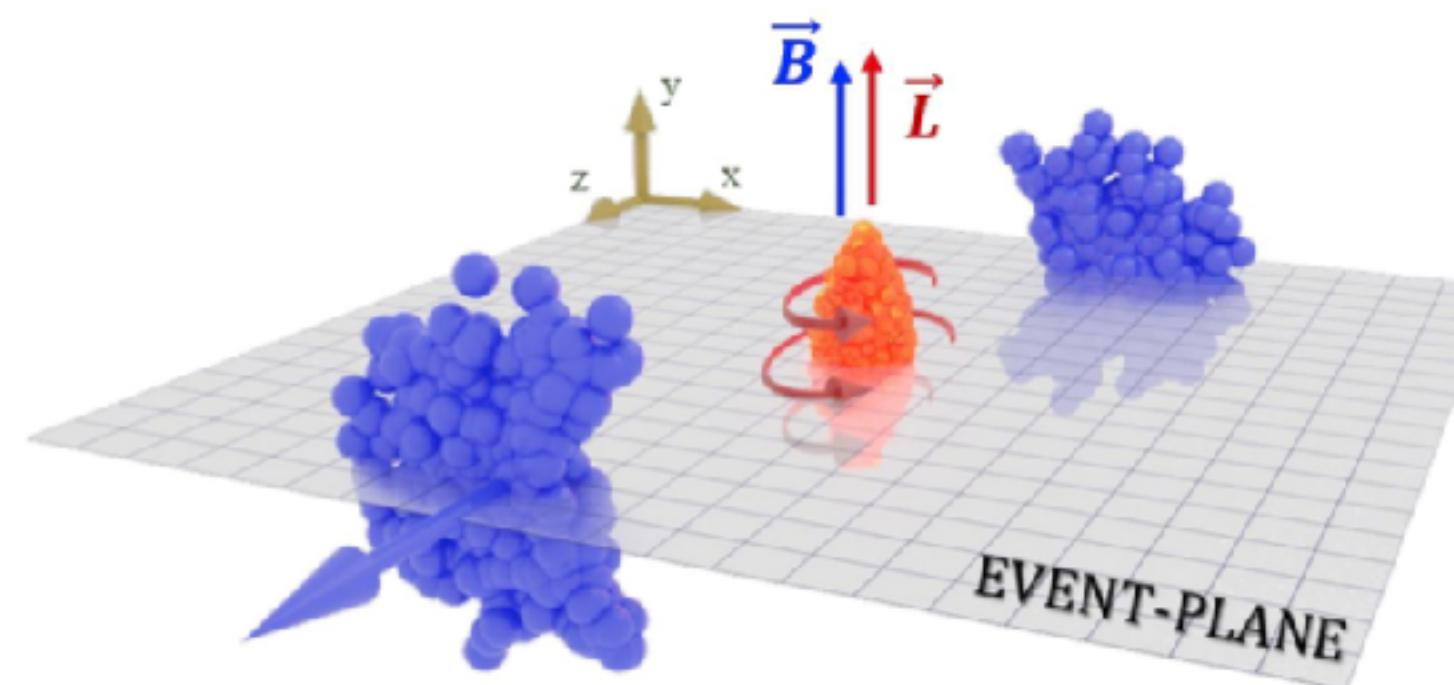
Figure 10:  $C(k^*)$  for  $D^0$ -K pairs with systematic uncertainties (boxes). Green and pink bands are theory predictions of  $C(k^*)$  for  $D^0$ -K<sup>+</sup> channel using source radii of 5 fm and 2 fm respectively

- STAR data does not observe significant correlations. It is consistent with theoretical model predictions with emission source size of 5 fm or large

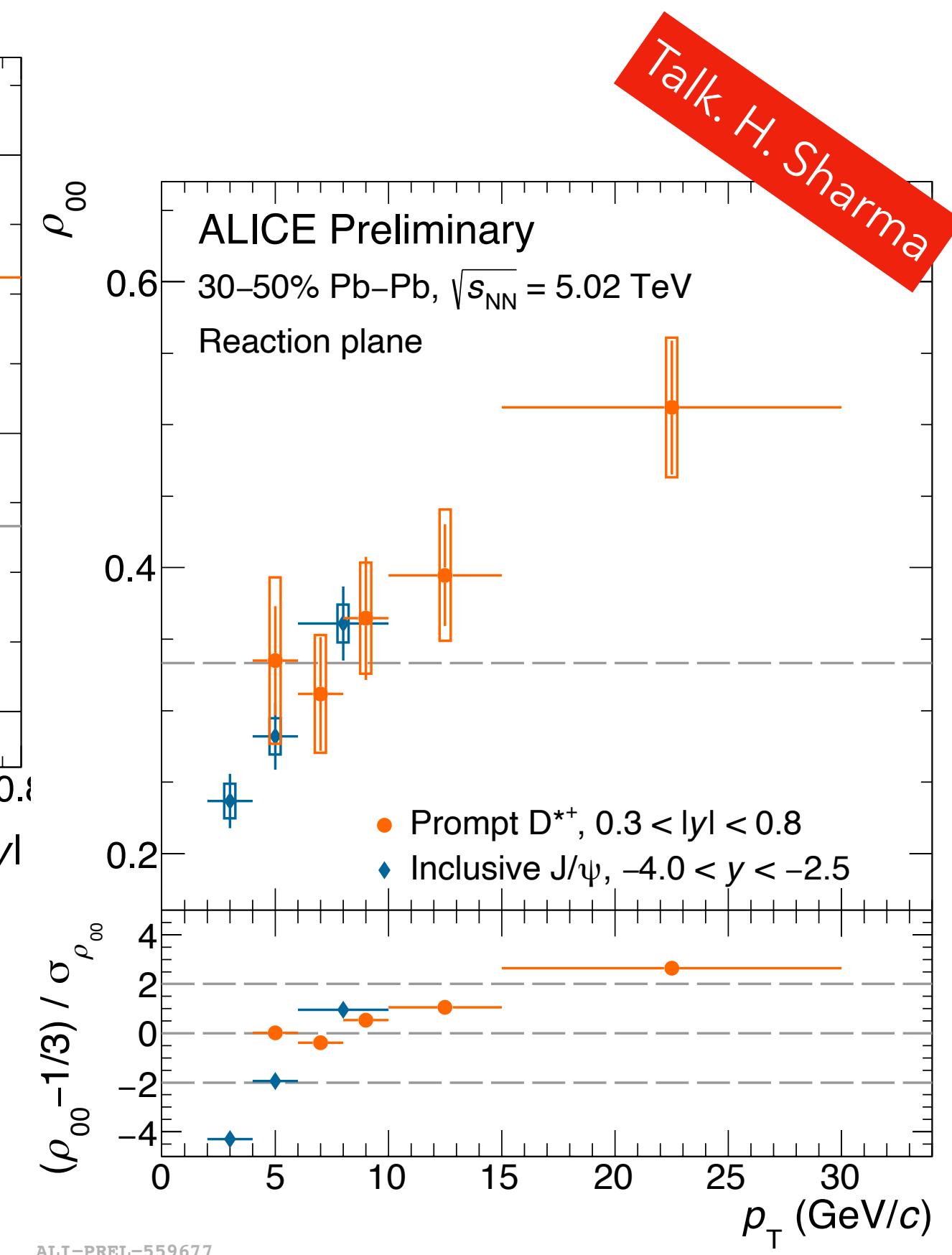
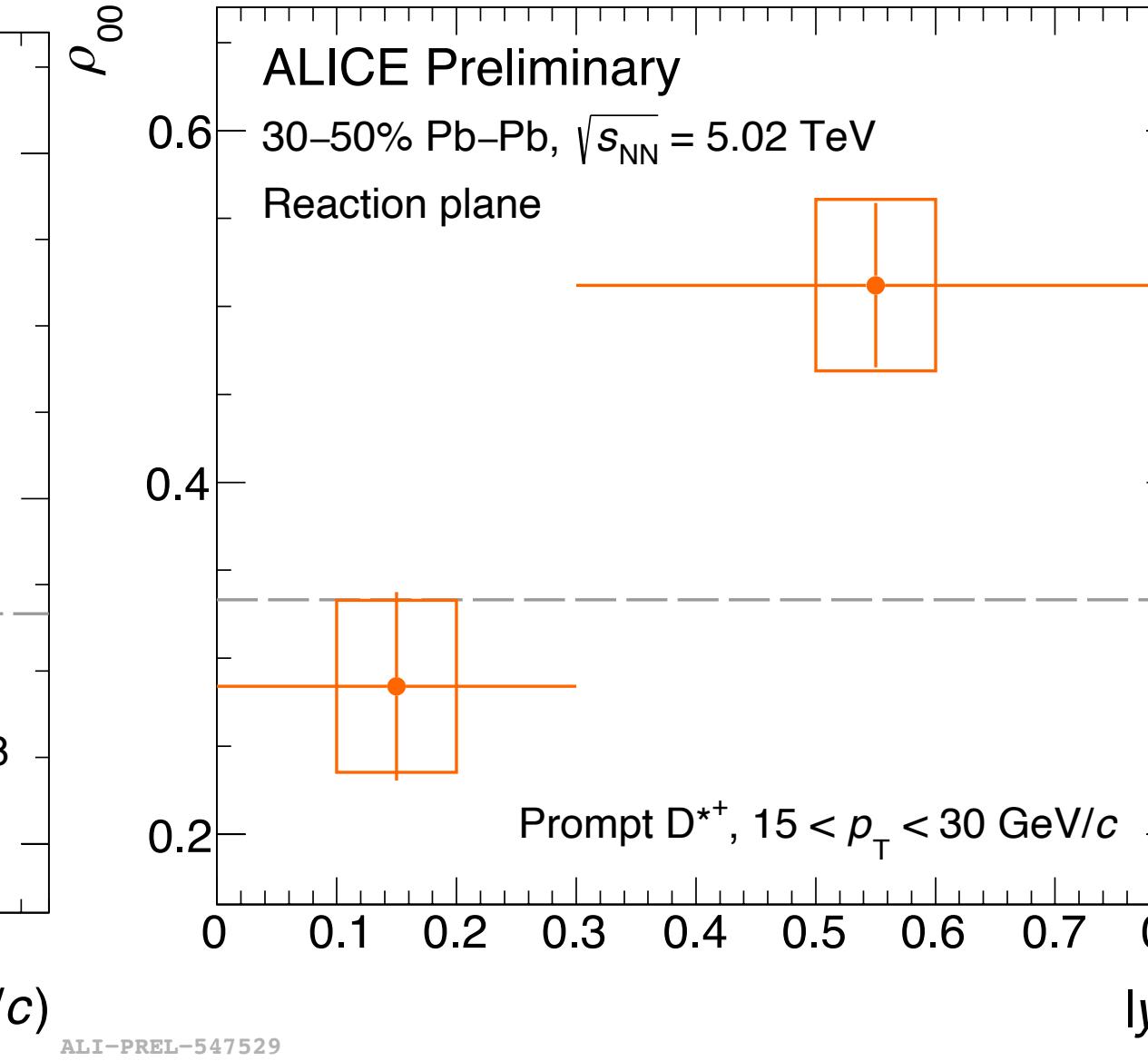
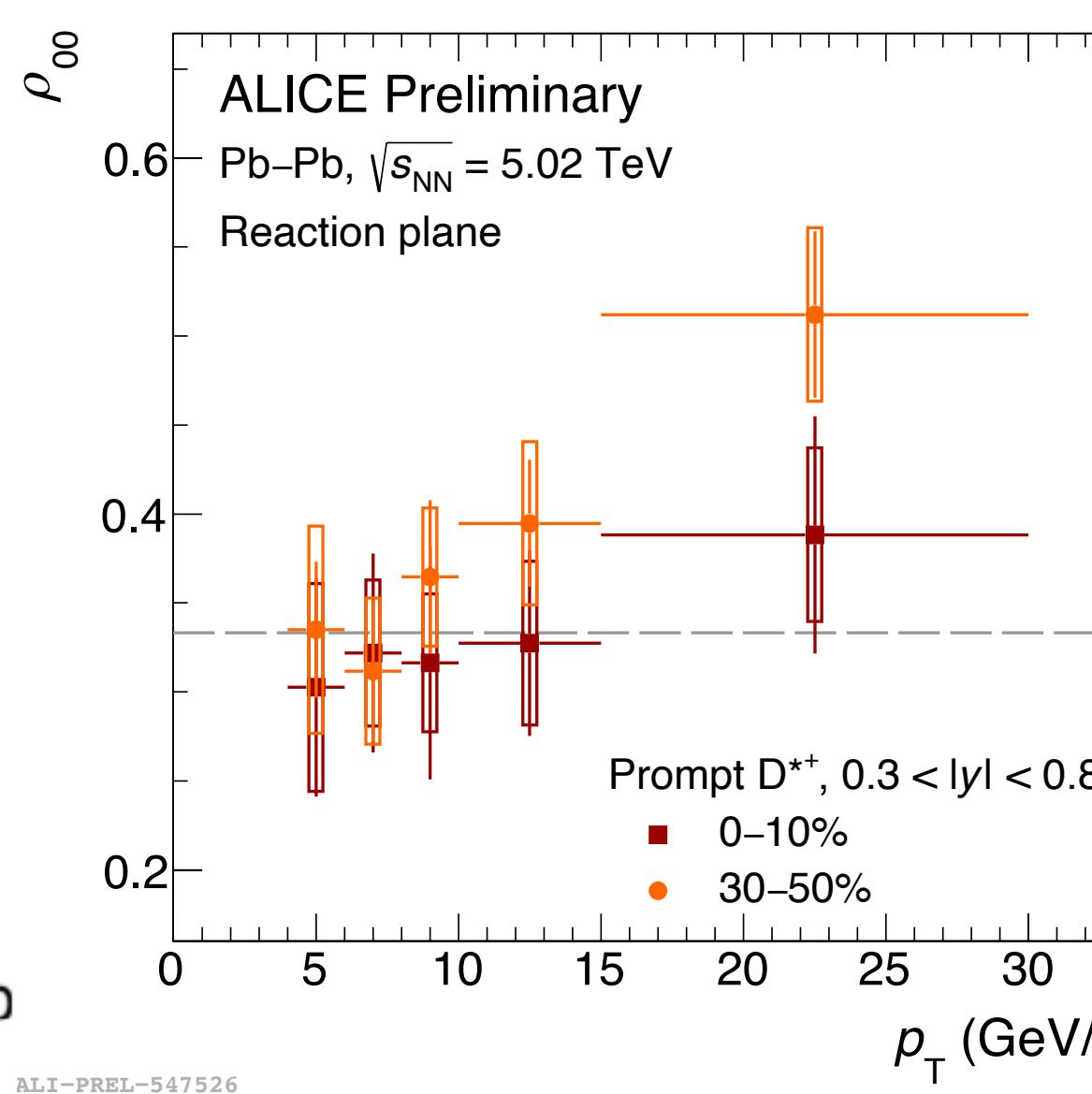
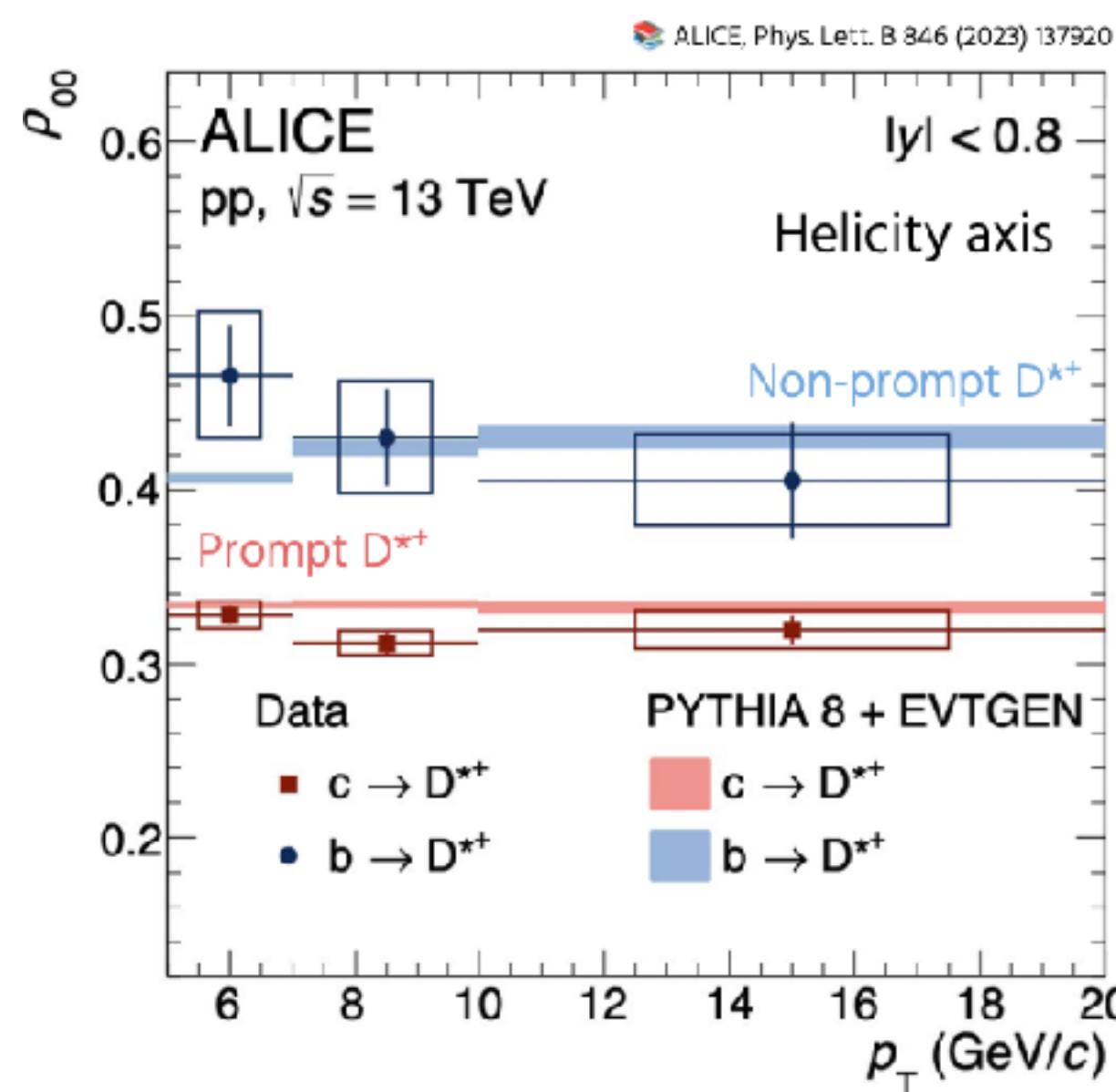
# Early magnetic field and angular momentum

- In non-central collisions:
  - Charged spectator motion produces magnetic field ( $B$ )  $\sim 10^{15}$  T that decreases with time
  - A highly vortical system with orbital angular momentum ( $L$ )  $\sim 10^{22}$  s $^{-1}$
- $L$  can align a particle's spin projection along the spin quantization axis through spin-orbit coupling
- Charm quark being produced in the early stages ( $t \sim 1/m \sim 0.1$  fm/c) is more sensitive than light quarks to the EM field

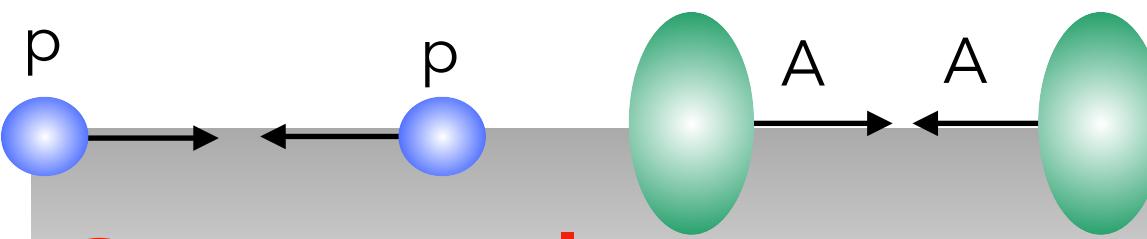
Talk. H. Sharma



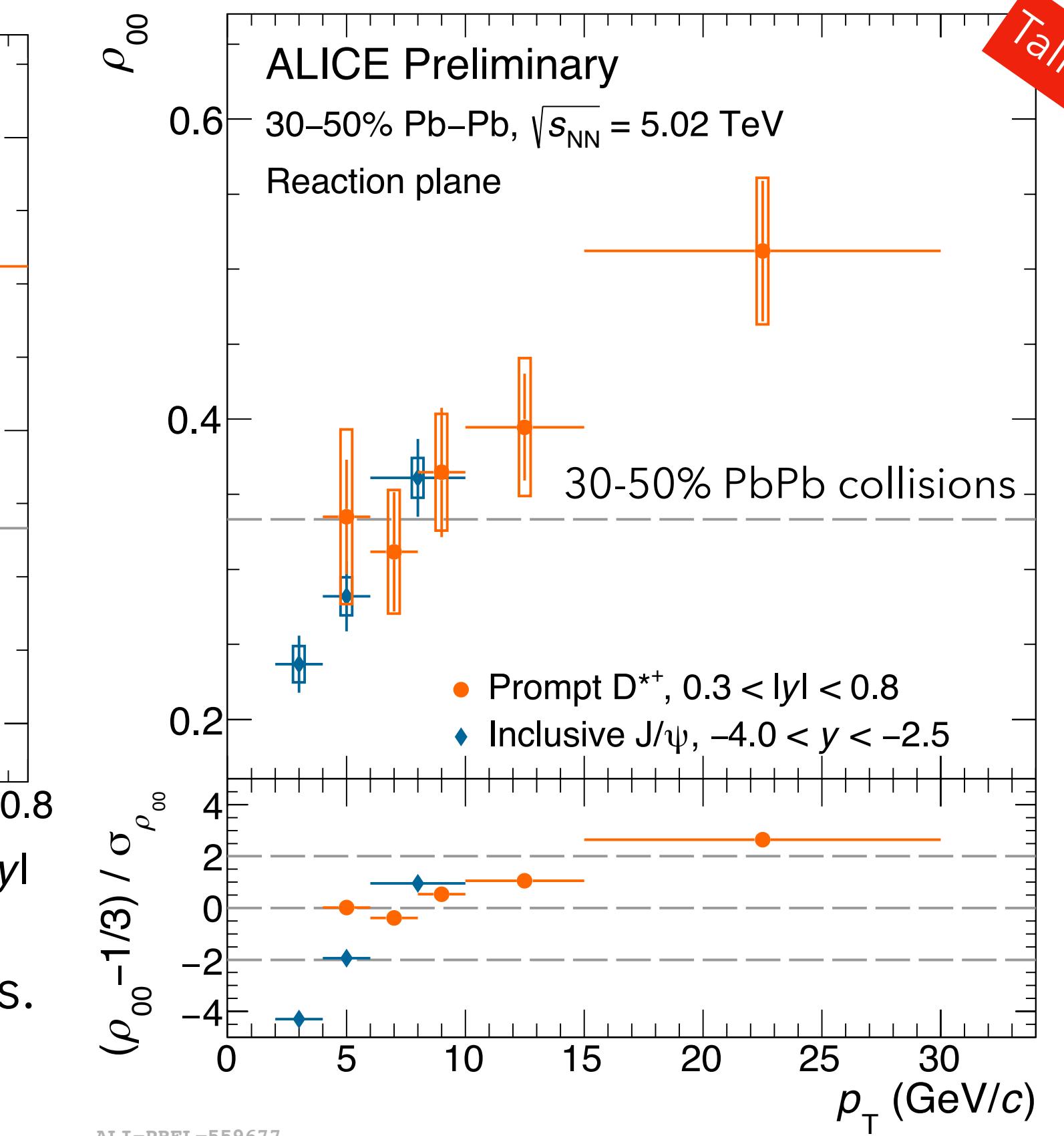
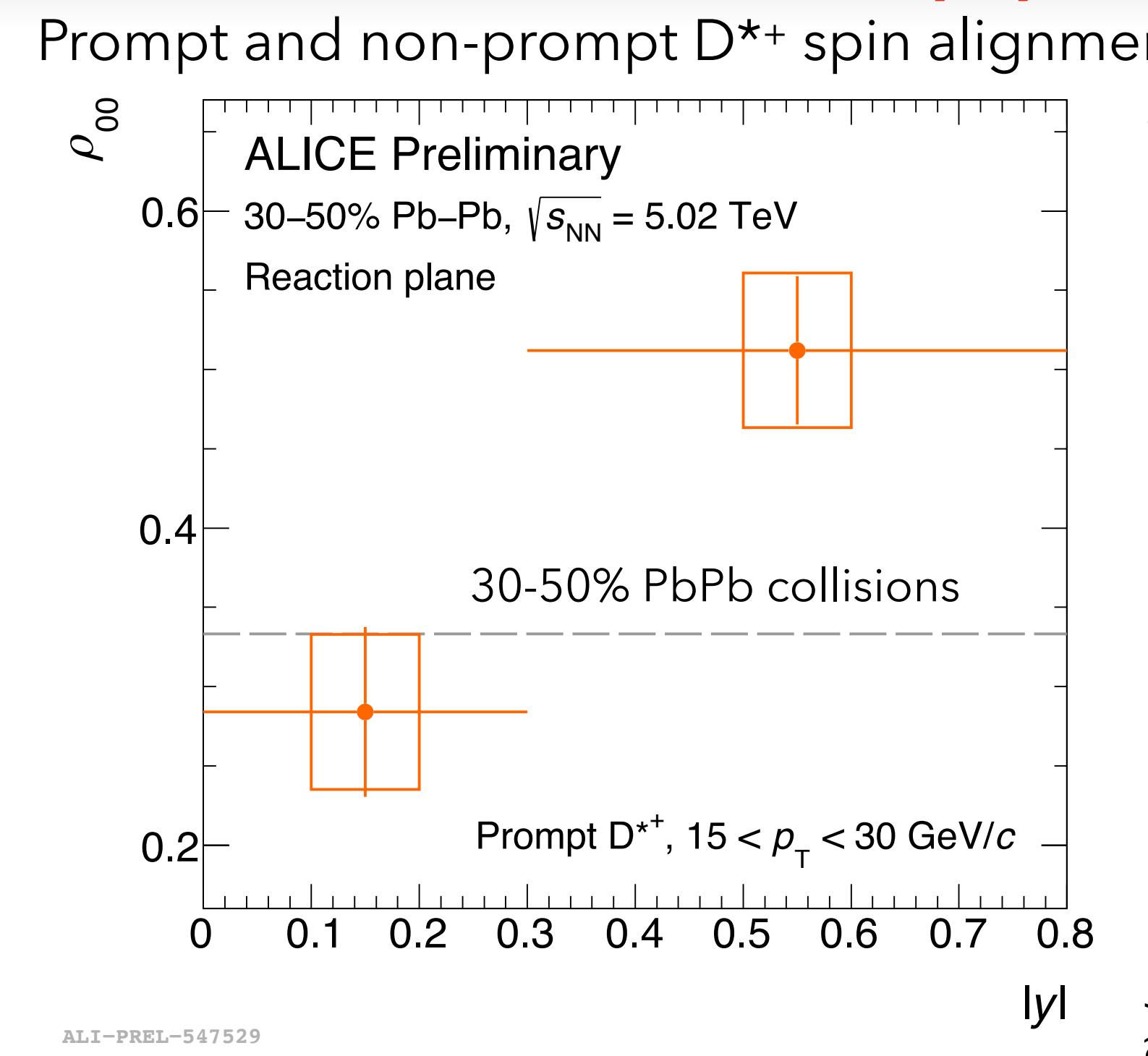
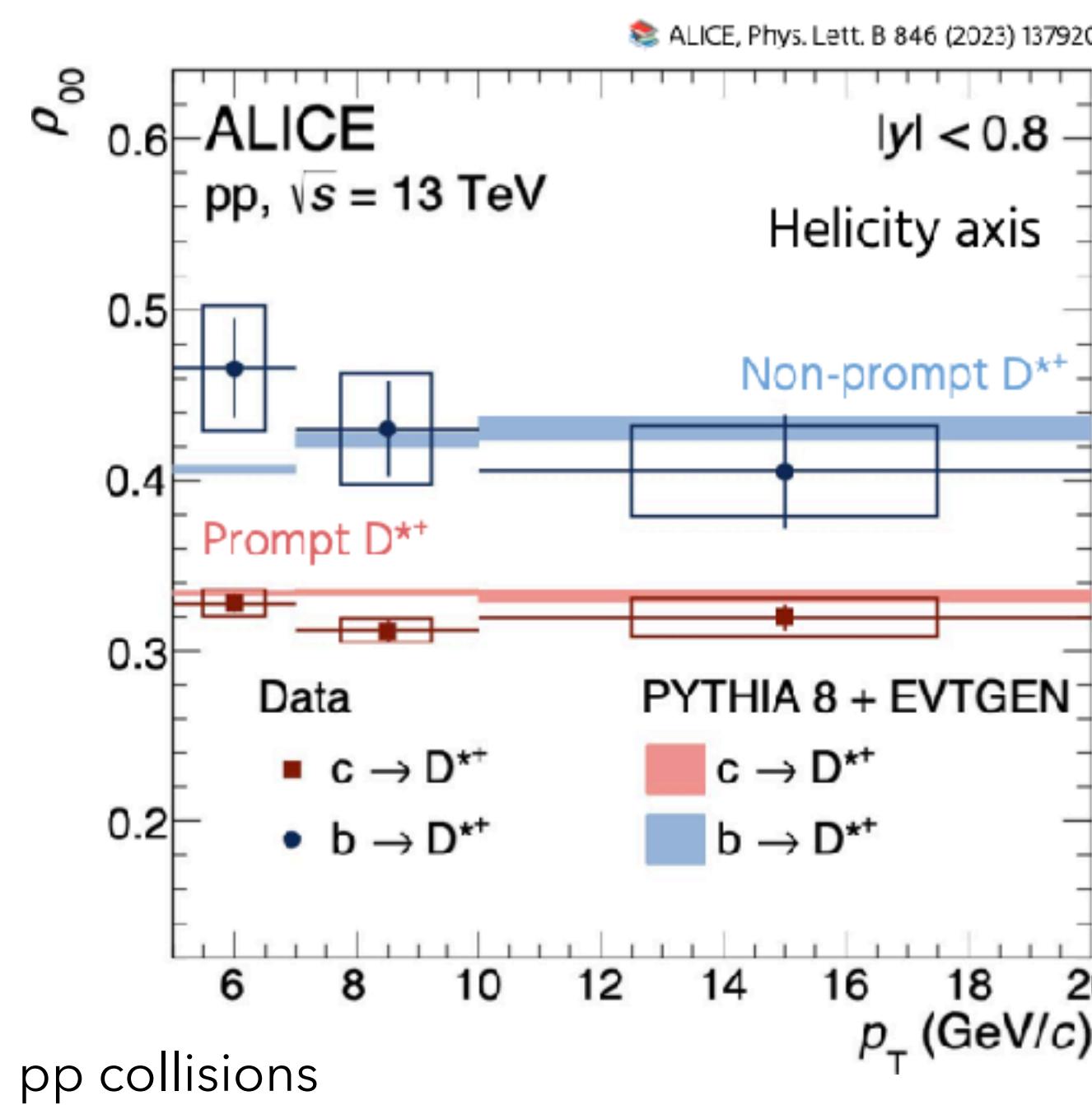
# Spin alignment measurements in pp and PbPb collisions



- Spin alignment ( $\rho_{00} > 1/3$ ) observed for non-prompt  $D^{*+}$  in pp collisions.  
Consistent with PYTHIA+EVTGEN calculations.
- Hint of spin alignment ( $\rho_{00} > 1/3$ ) at high  $p_T$  in 30-50% PbPb collisions, more pronounced at larger rapidity.  
Consistent with picture that B field decreases slowly at large rapidity.
- Similar results observed for  $J/\psi$  and  $D^{*+}$ .  
Low  $p_T$   $J/\psi$  production affected by recombination. Interplay of recombination and fragmentation?



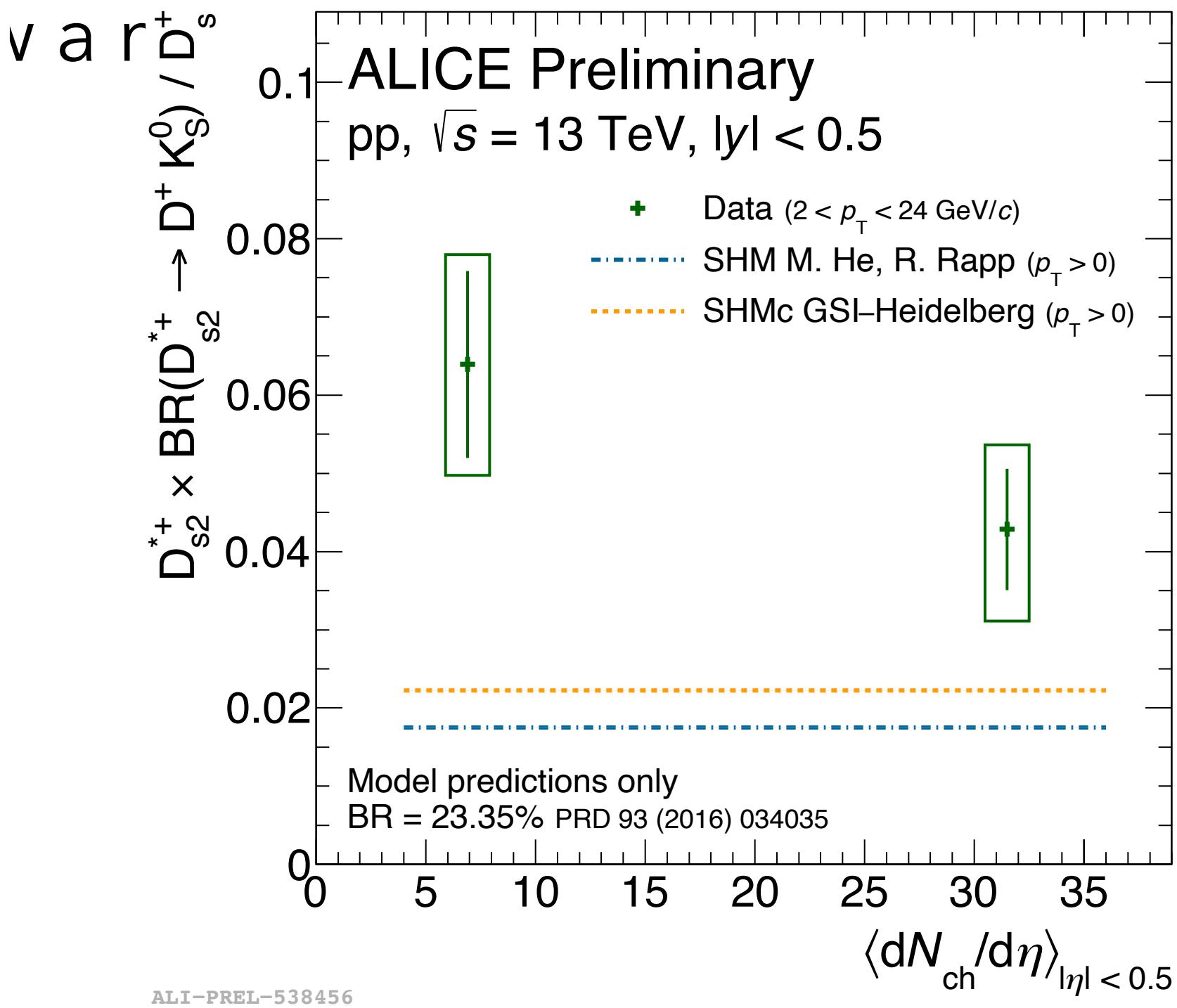
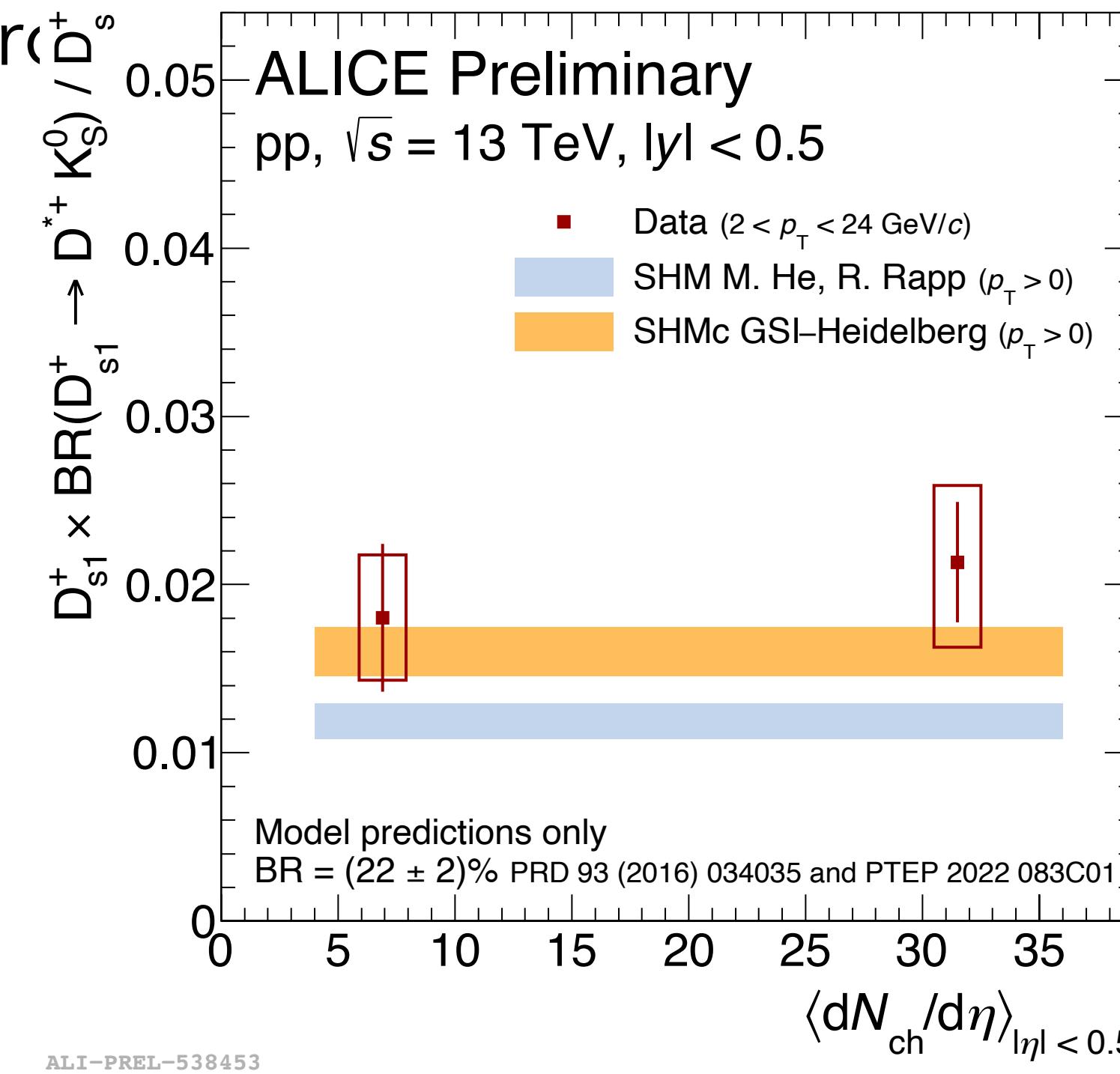
# Spin alignment measurements in pp and PbPb collisions



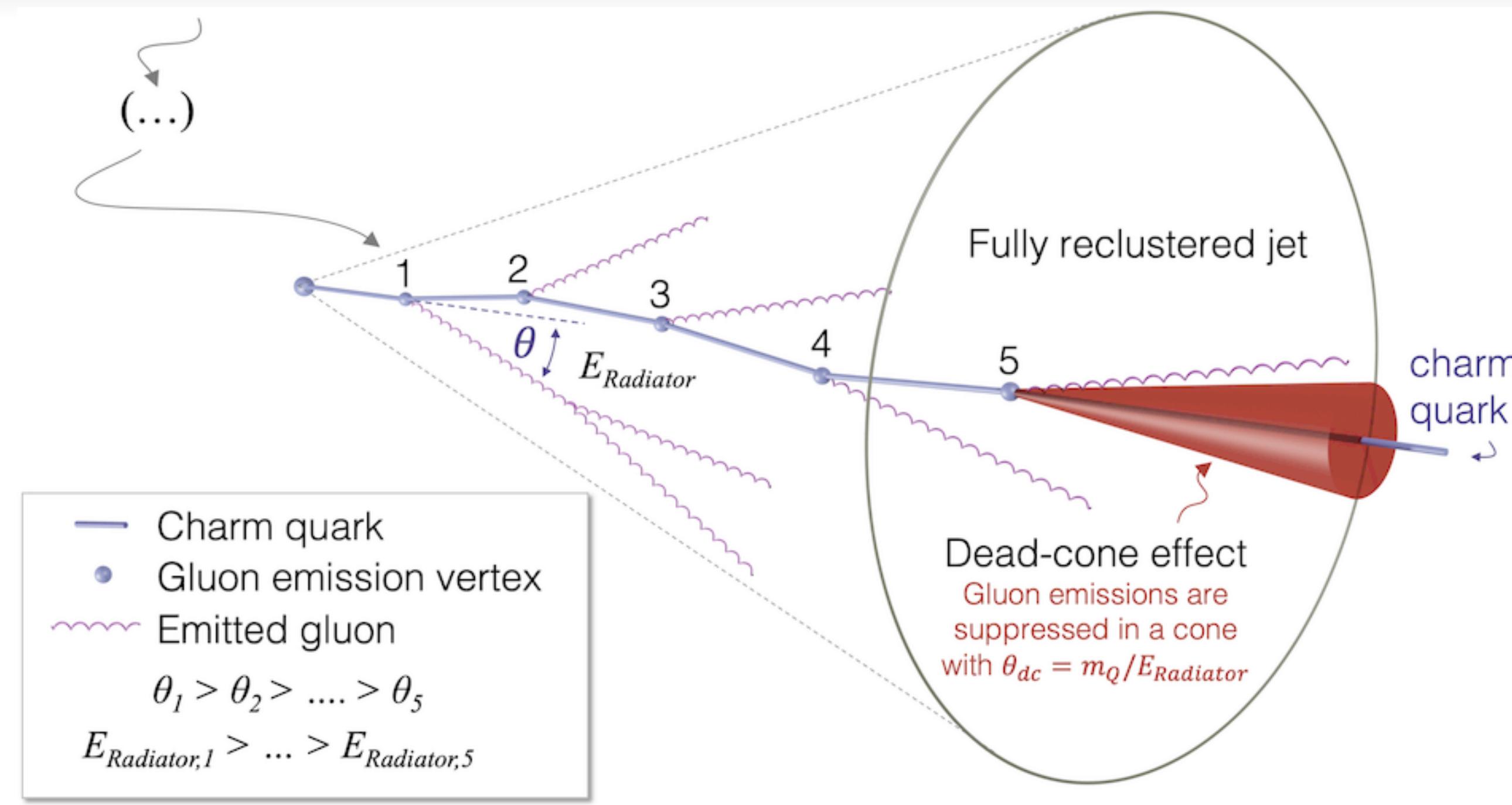
- Spin alignment ( $\rho_{00} > 1/3$ ) observed for non-prompt  $D^{*+}$  in pp collisions. Consistent with PYTHIA+EVTGEN calculations.
- **Hint of spin alignment ( $\rho_{00} > 1/3$ ) at high  $p_T$  in 30-50% PbPb collisions.**
- Similar results observed for  $J/\psi$  and  $D^{*+}$ . Low  $p_T$   $J/\psi$  production affected by recombination. Interplay of recombination and fragmentation?
- **Sensitive to the early magnetic field?**

# D<sub>s</sub> excited states

- Excited to ground state



# Gluon radiation in vacuum



Sketch detailing the reconstruction of the showering charm quark, using iterative declustering.

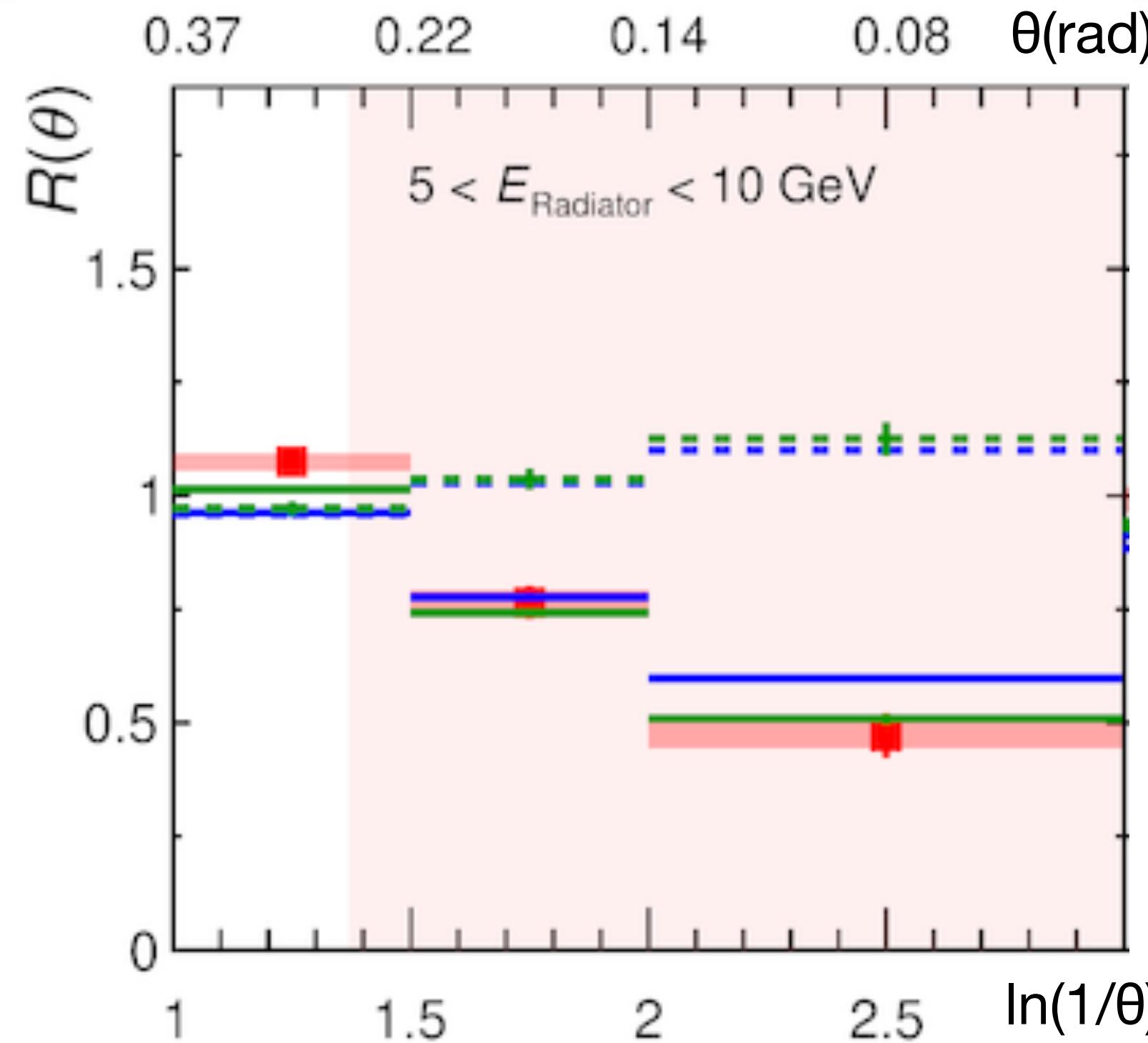
- In vacuum, gluon radiation from heavy quarks is suppressed at small angles  $< m_Q/E_{\text{radiator}}$  (pQCD).
- Reconstruction of parton shower of charm quarks possible via iterative declustering techniques according to the Cambridge-Aachen algorithm (based on angular distance).

L. Cunqueiro, M. Ploskon, Phys. Rev. D 99, 074027 (2019)  
Y. Dokshitzer et al, JHEP 08 (1997) 001

ALICE, arXiv:2106.05713



# Gluon radiation from heavy quarks in pp collisions



$$R(\theta) = \frac{1}{N^{\text{D}^0 \text{ jets}}} \frac{dn^{\text{D}^0 \text{ jets}}}{d \ln(1/\theta)} \Bigg/ \frac{1}{N^{\text{inclusive jets}}} \frac{dn^{\text{inclusive jets}}}{d \ln(1/\theta)} \Bigg|_{k_T, E_{\text{radiator}}}$$

Legend:

- ALICE Data
- PYTHIA 8
- SHERPA
- PYTHIA 8 LQ / inclusive no dead-cone limit
- SHERPA LQ / inclusive no dead-cone limit

pp  $\sqrt{s} = 13 \text{ TeV}$

$p_{T, \text{inclusive jet}}^{\text{ch, leading track}} \geq 2.8 \text{ GeV}/c$

charged jets, anti- $k_T$ ,  $R=0.4$

$k_T > \Lambda_{\text{QCD}}$ ,  $\Lambda_{\text{QCD}} = 200 \text{ MeV}/c$

C/A reclustering

$|\eta_{\text{lab}}| < 0.5$

$\theta_{\text{dead-cone}} < m_c/E_{\text{radiator}}$

ALICE, arXiv:2106.05713

ALI-PUB-493419

- Significant suppression of small-angle splittings for  $D^0$ -tagged jets.
- **Direct observation of dead-cone effect in QCD.**
  - insight into the influence of mass effects on jet properties and constraints for MC.
  - possibility to constrain quark masses experimentally ?

