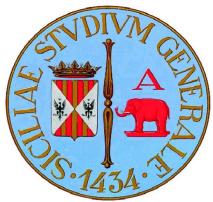
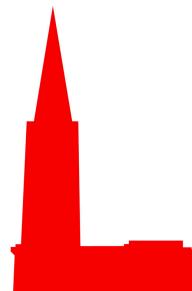


Hadronisation Mechanism



Vincenzo Greco –
University of Catania/INFN-LNS



The 21st International Conference on Strangeness in Quark Matter
3–7 June 2024, Strasbourg, France



Focus of this presentation

- ✧ **Focus on heavy flavor hadronization:**
 - Surprises!?: $\Lambda_C/D^0 \sim 0.5-1$ not only in AA but even in pp, strong enhancement wrt e^+e^-

- **Studying charm dynamics and production:**

Coalescence+Fragm.: Coal-Catania, RR-TAMU(AA), PHSD-Frankfurt, CCNU-Duke,
Coal-TAMU-CCNU, QCM, EPOS4HQ-Nantes

String Fragm./Reconn.: PYTHIA (LC → CR), POWLANG-HERWIG... AGANTYR

Statistical Hadronization Model: SHM-Heidelberg (AA), SHM-TAMU(pp)]

→ Overview Similarities/Differences and some open questions

- quite a multiple Hazardous self-imposed Task!!

A lot of material and discussion in Altmann, Dubla, Greco, Rossi & Skands, [arXiv:2405.19137](https://arxiv.org/abs/2405.19137)

Relevance of HF Hadronization

✧ Hadronization is very relevant:

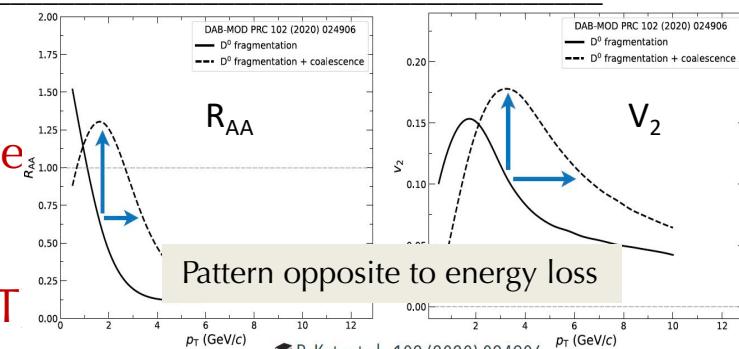
- in itself: how hadron are produced? Is it a universal process in e^+e^- , e^-p , pp , pA and AA ?
- HQ transport properties --> estimate $2\pi TD_s(T)$ vs LQCD:
both $R_{AA}(p_T)$ & $v_n(p_T)$ affected
- QGP droplets: infer properties of medium created in pp and/or pA
- Polarization: underlying the interpretation for light hadrons,
open HF and quarkonia., $\rho_{00}^{coal} = \frac{1-P_qP_{\bar{q}}}{3+P_qP_{\bar{q}}}$, $\rho_{00}^{frag} \cong \frac{1+0.5 P_q^2}{3-0.5P_q^2}$
[Xu-Guang Huang, previous talk]
- ALICE 3: predictions for multi-charm production $PbPb$ vs $KrKr$ vs $ArAr$

[Plumari, Tue 4, 9:10]

Relevance of HF Hadronization

✧ Hadronization is very relevant:

- in itself: how hadrons are produced? Is it a universal process? e⁺e⁻, e⁻p, pp, pA and AA?
- HQ transport properties --> estimate $2\pi T D_s(T)$
both $R_{AA}(p_T)$ & $v_n(p_T)$ affected
- QGP droplets: infer properties of medium created in pp and/or pA
- Polarization: underlying the interpretation for light hadrons,
open HF and quarkonia., $\rho_{00}^{coal} = \frac{1-P_q P_{\bar{q}}}{3+P_q P_{\bar{q}}}$, $\rho_{00}^{frag} \cong \frac{1+0.5 P_q^2}{3-0.5 P_q^2}$
[Xu-Guang Huang, previous talk]
- ALICE 3: predictions for multi-charm production PbPb vs KrKr vs ArAr



[Plumari, Tue 4, 9:10]

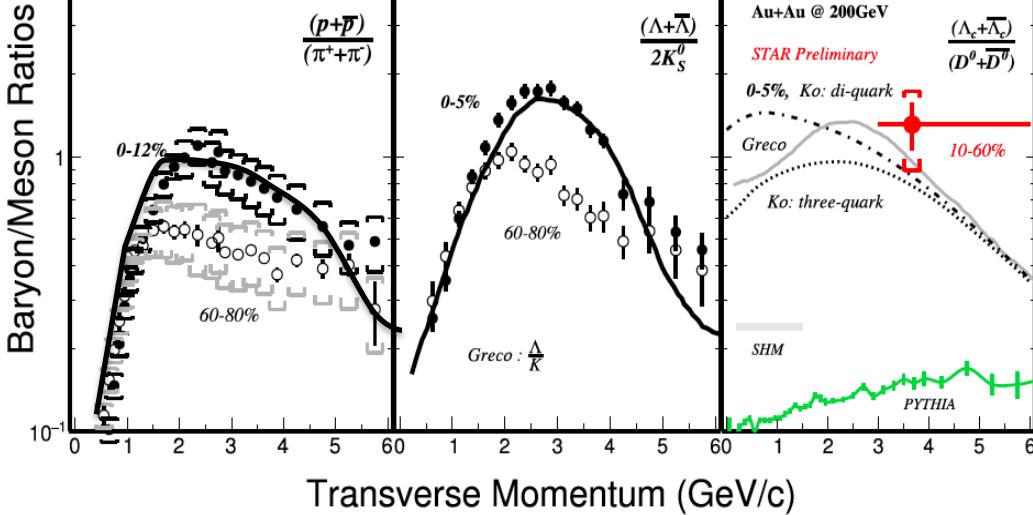
Relevance of HF Hadronization

✧ Hadronization is very relevant:

- in itself: how hadron are produced? Is it a universal process in e^+e^- , e^-p , pp , pA and AA ?
- HQ transport properties --> estimate $2\pi TD_s(T)$ vs LQCD:
both $R_{AA}(p_T)$ & $v_n(p_T)$ affected
- QGP droplets: infer properties of medium created in pp and/or pA
- Polarization: underlying the interpretation for light hadrons,
open HF and quarkonia., $\rho_{00}^{coal} = \frac{1-P_qP_{\bar{q}}}{3+P_qP_{\bar{q}}}$, $\rho_{00}^{frag} \cong \frac{1+0.5 P_q^2}{3-0.5P_q^2}$
[Xu-Guang Huang, previous talk]
- ALICE 3: predictions for multi-charm production $PbPb$ vs $KrKr$ vs $ArAr$

[Plumari, Tue 4, 9:10]

Hadronization in the light and strange sector

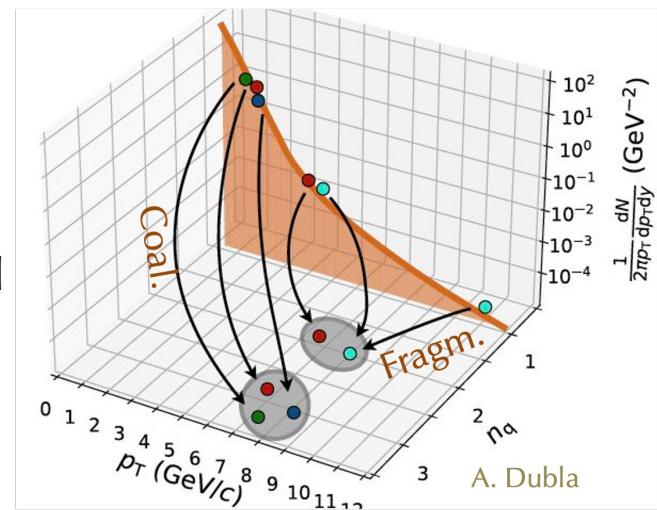


Dong-Greco, Prog.Part.Nucl.Phys. 104(2019)

- ❖ Yields ratios ok in SHM-Heidelberg: $\pi, p, K, \Lambda, \dots$
- ❖ I^0 prediction of Ko PRC(2009) of a very large Λ_c/D^0 even at low p_T
 \gg SHM[PDG] \gg e^+e^- (\sim PYTHIA)

In 2002-2004 large p/π , Λ/K at intermediate p_T :

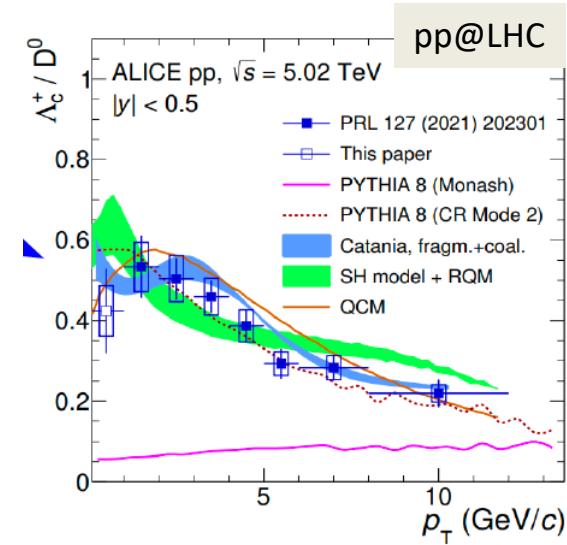
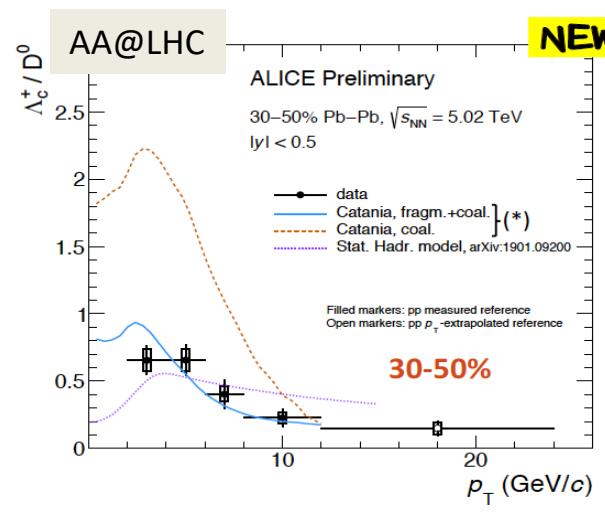
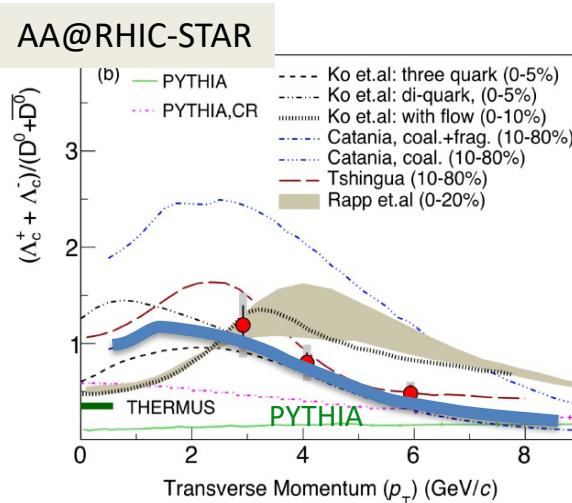
- development of coalescence + fragm. in AA collisions
 $f_M \approx f_q \otimes f_{\bar{q}} \otimes \Phi_M$ [G-Ko-Levai & Fries-Muller-Nonaka-Bass PRL90(03)]
- v_2 quark number scaling (\sim also for $\phi \dots$)
- HF $m_{b,c} \gg \Lambda_{QCD}, T$: not created at hadronization
+ close to energy conservation with constituents quarks



HF Baryon enhancement

❖ Heavy Flavor hadronization coal.+fragm. applied to pp and AA:

- in AA: a peak in $\Lambda_c/D^0 \approx O(1)$ (STAR and ALICE) predicted by Coal.+Fragm.
- but even pp: $\Lambda_c/D^0 \approx 0.6$, $\Xi_c/D^0 \approx 0.25$ ALICE data

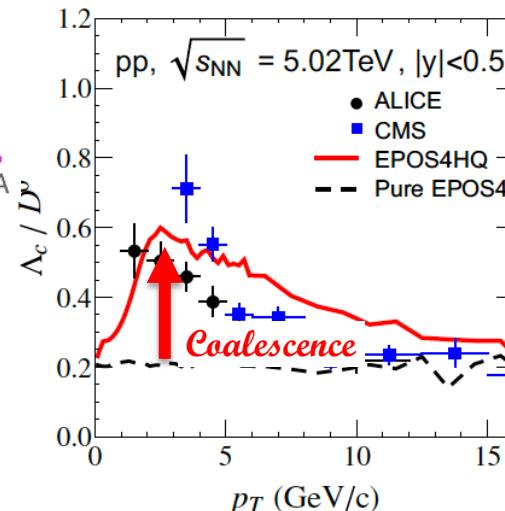
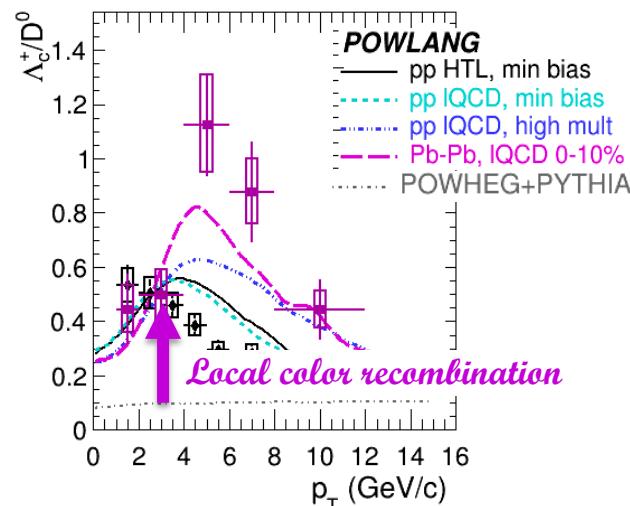
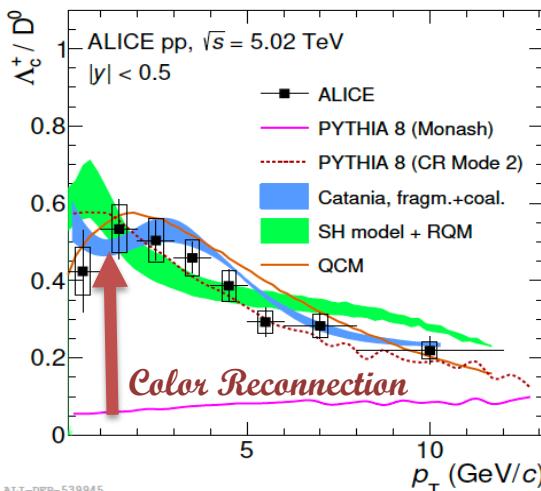


- ❖ $|t|^0$ prediction in coalescence by Ko PRC(2009) of a very large Λ_c/D^0 even at low p_T
=> SHM[PDG] >> e⁺e⁻ (~ PYTHIA)

HF Baryon enhancement impact

➤ HF hadronization have stimulated developments:

- PYHTIA beyond Leading Color (LC) → Color Reconnection (CR) in pp
- Coalescence+Fragmentation approach applied to pp
- Local color recombination: POWLANG in AA and in pp
- Inclusion of HF Coalescence+ Fragmentation in EPOS (pp &AA)



See also: Fionda 3 Mon [11:05], J. Cho, 4 Tue [09:30]

Let's fix some points starting from the Catania model...

Basic features of Catania coal.+fragm. in AA

Statistical factor colour-spin-isospin

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^{N_q} p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3}$$

Parton Distribution function

$$f_q(x_i, p_i) C_H(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

Coalescence function \sim Hadron Wigner function

For u,d,s Thermal+flow ($p_T < 2.5$ GeV)

$$f_q(p) \sim \frac{dN_{q,\bar{q}}}{d^2 p_T} \sim \exp\left(-\frac{\gamma_T(m_T - p_T \cdot \beta_T \mp \mu_q)}{T}\right)$$

Same fireball of the predictions for
p/ π , Λ/K , p/ ϕ and their v_n QNS scaling
at RHIC and LHC [Greco-Ko-Levai, PRL(2003)]

Volume $\sim V(\text{SHMc})$, Andronic et al., PLB 797 (2019)

+ quenched minijets for u,d,s ($p_T > 2.5$ GeV)

Basic features of Catania coal.+fragm. in AA

Statistical factor colour-spin-isospin

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^{N_q} p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i)$$

Parton Distribution function

$$C_H(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

Coalescence function \sim Hadron Wigner function

For u,d,s Thermal+flow ($p_T < 2.5$ GeV)

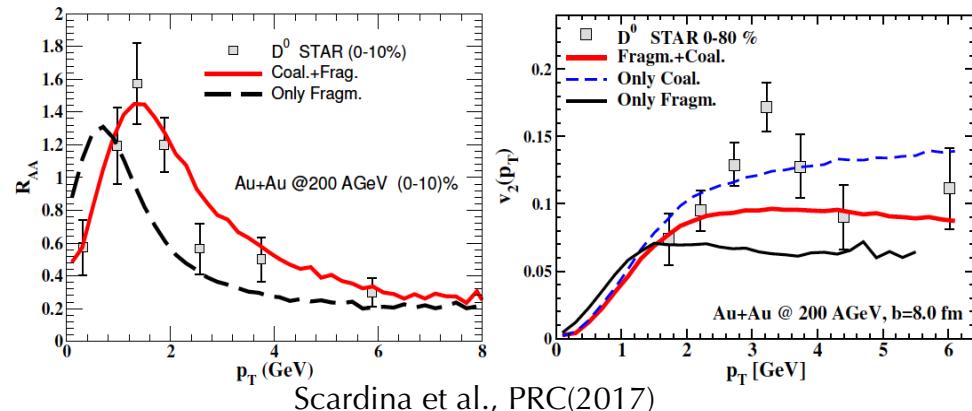
$$f_q(p) \sim \frac{dN_{q,\bar{q}}}{d^2 p_T} \sim \exp\left(-\frac{\gamma_T(m_T - p_T \cdot \beta_T \mp \mu_q)}{T}\right)$$

Same fireball of the predictions for
p/ π , Λ/K , p/ ϕ and their v_n QNS scaling
at RHIC and LHC [Greco-Ko-Levai, PRL(2003)]

Volume $\sim V(\text{SHMc})$, Andronic et al., PLB 797 (2019)

+ quenched minijets for u,d,s ($p_T > 2.5$ GeV)

For Charm $f_c(x,p)$ in AA from the studies of R_{AA} and v_2 of D-meson to determine the Space Diffusion coeff.: simulations solving Relativistic Boltzmann transport eq.



Scardina et al., PRC(2017)

Coalescence approach in phase space

Statistical factor colour-spin-isospin

Parton Distribution function

Hadron Wigner function

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^{N_q} p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i) C_H(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

Coalescence function \leftrightarrow Wigner function

$$C_H(\dots) = C^{N_q-1} f_H(x_1 \dots x_{N_q}; p_1 \dots p_{N_q})$$

Wigner function width σ_r fixed by root-mean-square charge radius from **relativistic quark model**

C.-W. Hwang, EPJ C23, 585 (2002);
C. Albertus et al., NPA 740, 333 (2004)

Wigner function $f_H \leftrightarrow$ Wave function φ_M

$\varphi_M(\mathbf{r})$ meson wave function: gaussian

$$f_H(x_i; p_i) = \prod_{i=1}^{N_q-1} 8 \exp\left(-\frac{x_{r,i}^2}{\sigma_{r,i}^2} - p_{r,i}^2 \sigma_{r,i}^2\right)$$

Meson $N_q=2$, baryon $N_q=3$

Coalescence approach in phase space

Statistical factor colour-spin-isospin	Parton Distribution function	Hadron Wigner function
$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^{N_q} p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i) C_H(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$		

Coalescence function <-> Wigner function

$$C_H(\dots) = C^{N_q-1} f_H(x_1 \dots x_{N_q}; p_1 \dots p_{N_q})$$

Wigner function f_H <-> Wave function φ_M

$\varphi_M(\mathbf{r})$ meson wave function: gaussian

$$f_H(x_i,; p_i) = \prod_{i=1}^{N_q-1} 8 \exp\left(-\frac{x_{r,i}^2}{\sigma_{r,i}^2} - p_{r,i}^2 \sigma_{r,i}^2\right)$$

Meson $N_q=2$, baryon $N_q=3$

Wigner function **width σ_r** fixed by root-mean-square charge radius from **relativistic quark model**

C.-W. Hwang, EPJ C23, 585 (2002);
C. Albertus et al., NPA 740, 333 (2004)

- Employing $\langle r^2 \rangle$ from RQM there are **no free parameters!** Even if modification of $\langle r^2 \rangle$ can be envisaged at $T=155$ MeV
- Resonances ($D^*, \Lambda_c, \Sigma_c \dots$) yields rescaled according to SHM (thermal suppression)

Coalescence + fragmentation in phase space

Statistical factor colour-spin-isospin Parton Distribution function

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^{N_q} p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i) \mathbb{C}_H(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

Coalescence function ~ Hadron Wigner function

Coalescence function <-> Wigner function

$$\mathbb{C}_H(\dots) = C^{N_q-1} f_H(x_1 \dots x_{N_q}; p_1 \dots p_{N_q})$$

- ◊ C^{N_q-1} fixed by requiring summing on all hadrons
 $P_{coal}(p \rightarrow 0) = 1$ not in standard coalescence....
affects quadratically baryon production, has a quite physical motivation

- ◊ The charm not “coalescing” undergo fragmentation:

$$\frac{dN_{had}}{d^2 p_T dy} = \sum \int dz \frac{dN_{fragm}}{d^2 p_T dy} \frac{D_{had/c}(z, Q^2)}{z^2}$$

Peterson or Kartvelishvili et al.

Coalescence + fragmentation in phase space

Statistical factor colour-spin-isospin

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^{N_q} p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i) C_H(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

Parton Distribution function

Coalescence function ~ Hadron Wigner function

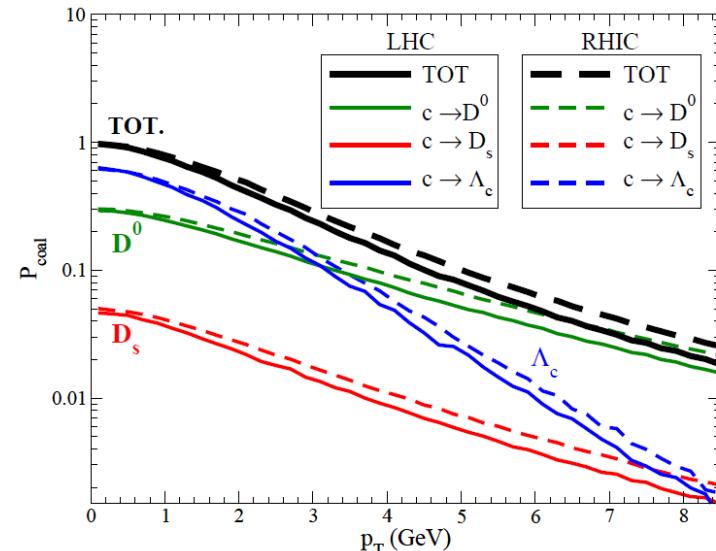
Coalescence function <-> Wigner function

$$C_H(\dots) = C^{N_q-1} f_H(x_1 \dots x_{N_q}; p_1 \dots p_{N_q})$$

- ◊ C^{N_q-1} fixed by requiring summing on all hadrons
 $P_{coal}(p \rightarrow 0) = 1$ not in standard coalescence
 affects quadratically baryon production, has a quite physical motivation
- ◊ The charm not “coalescing” undergo fragmentation:

$$\frac{dN_{had}}{d^2 p_T dy} = \sum \int dz \frac{dN_{fragm}}{d^2 p_T dy} \frac{D_{had/c}(z, Q^2)}{z^2}$$

Peterson or Kartvelishvili et al.



Coalescence in pp?

Daring to assume a small fireball according
viscous hydro applied to pp as in AA, but
size, time, flow given by hydro for pp

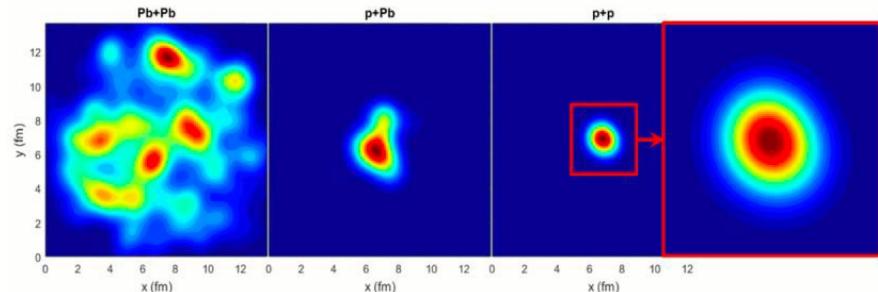
p+p @ 5 TeV

- $t_{pp} = 1.7 \text{ fm/c}$
- $\beta_0 = 0.4$
- $R = 2.5 \text{ fm}$
- $V \sim 30 \text{ fm}^3$
- + $f_c(p)$ from **FONNL distribution**

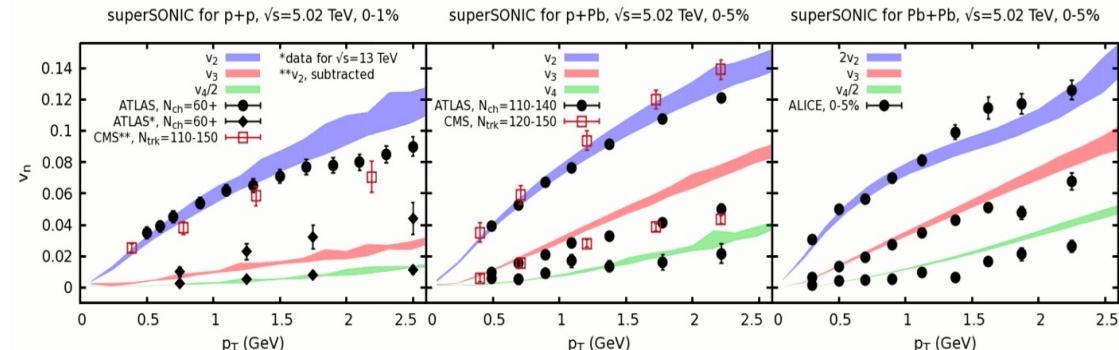
$$f_q(p) \sim \frac{dN_{q,\bar{q}}}{d^2 p_T} \sim \exp\left(-\frac{\gamma_T(m_T - p_T \cdot \beta_T \mp \mu_q)}{T}\right)$$

+ same Wigner function widths $\sigma_{r,i}$
of hadrons in AA

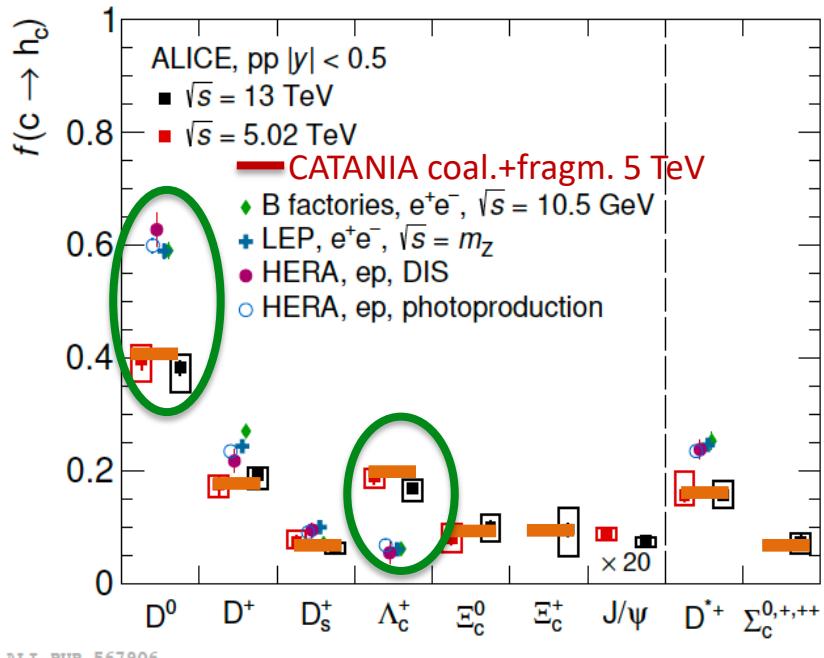
$$f_H(x_i; p_i) = \prod_{i=1}^{N_q-1} 8 \exp\left(-\frac{x_{r,i}^2}{\sigma_{r,i}^2} - p_{r,i}^2 \sigma_{r,i}^2\right)$$



R. D. Weller, P. Romatschke, PLB 774 (2017) 351-356

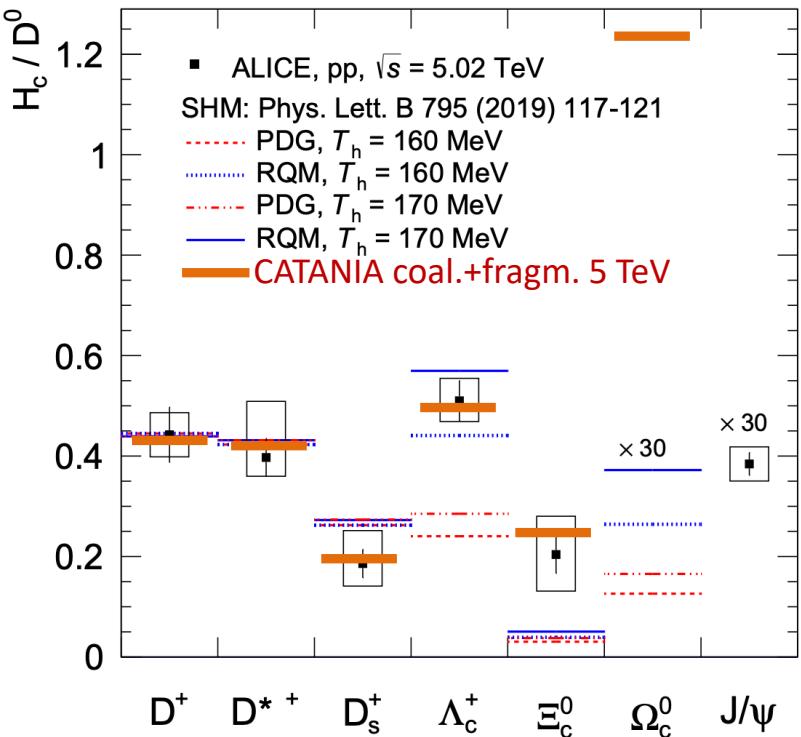


“Fragmentation” Fractions in pp Catania Coalescence



- Evidence of different “Fragmentation” Fractions in pp at LHC wrt $e^+e^- (e^-p)$ collisions.
- Catania Coal+Fragm. very close to pp FF
- SHM+RQM baryon resonances would have a similar agreement ($T \sim 160\text{-}170 \text{ MeV}$)... except for Ξ_c

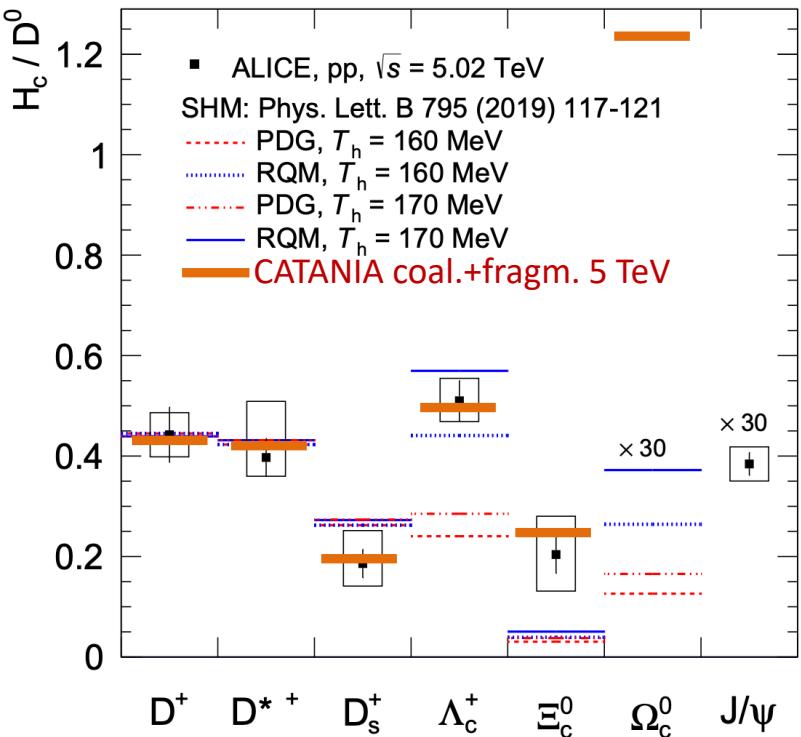
Ratio to D^0 in pp



- Catania Coal+Fragm. very close to pp FF
- SHM+RQM baryon resonances would have a similar agreement ($T \sim 160-170$ MeV)
... except for Ξ_c , Ω_c

Similar for AA with SHM_C[Andronic et al., *JHEP* 07 (2021)]

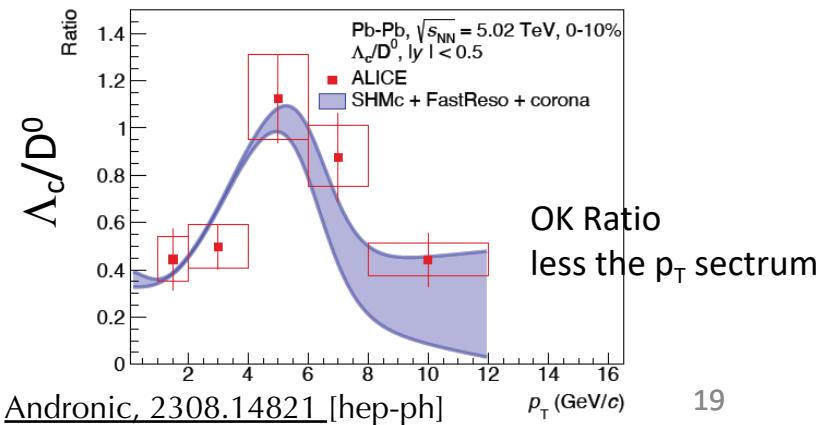
Fractions to D^0 in pp Catania Coalescence



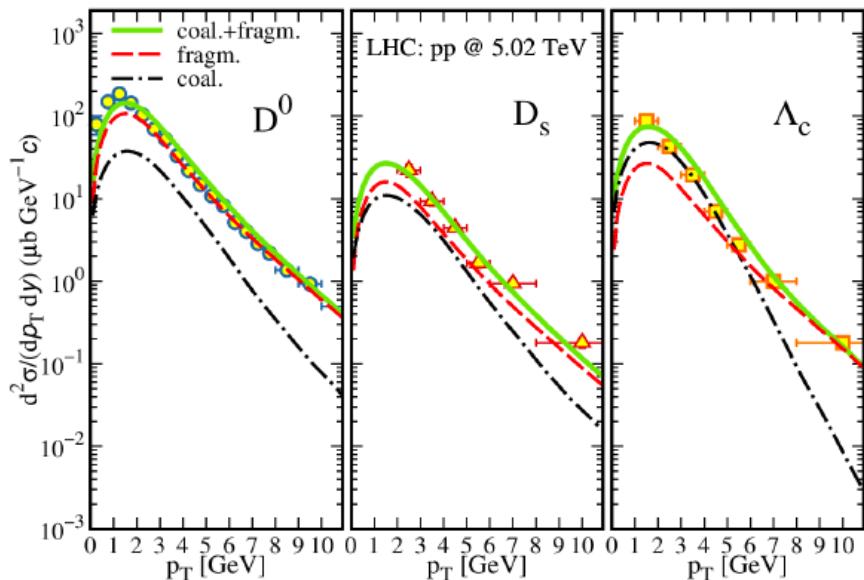
- Catania Coal+Fragm. very close to pp FF
- SHM+RQM baryon resonances would have a similar agreement ($T \sim 160-170$ MeV)
... except for Ξ_c , Ω_c

Similar for AA SHM_C[Andronic et al., *JHEP* 07 (2021)]

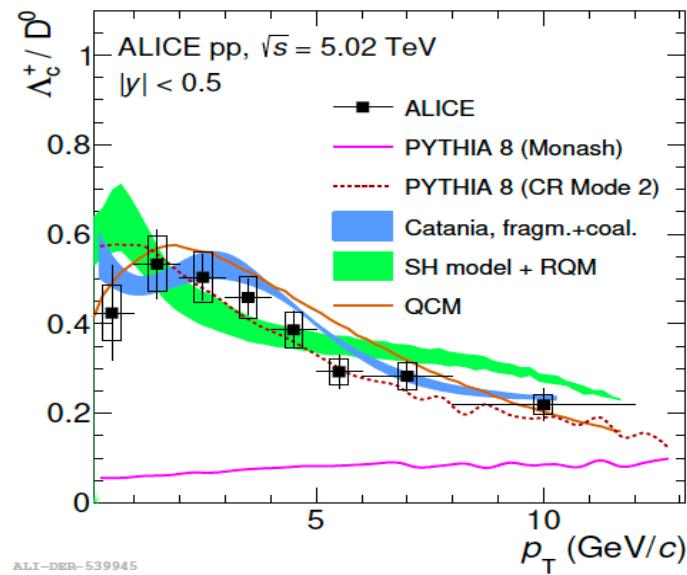
Coupling SHMc-RQM in AA to visco hydro



Coalescence in pp baryon/meson vs p_T

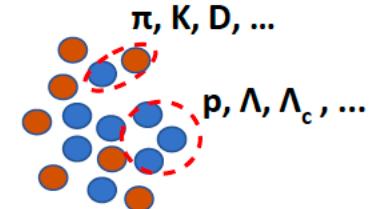
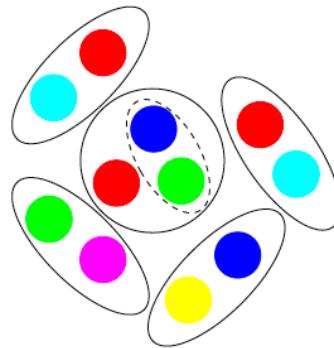
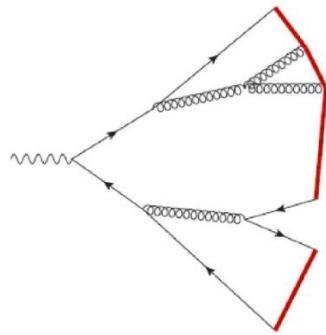
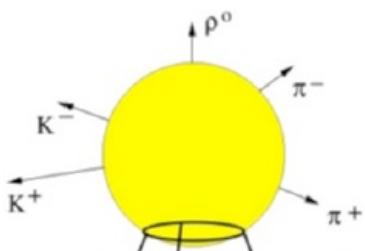


Minissale et al., PLB821(2021)



- Coalescence does not affect significantly D^0 , but is dominant for baryons Λ_c and Ξ_c
- More abundant the coalescence contribution for B even in pp, Minissale et al., [2405.19244](#)

Let's go through the different approaches to HF Hadronization



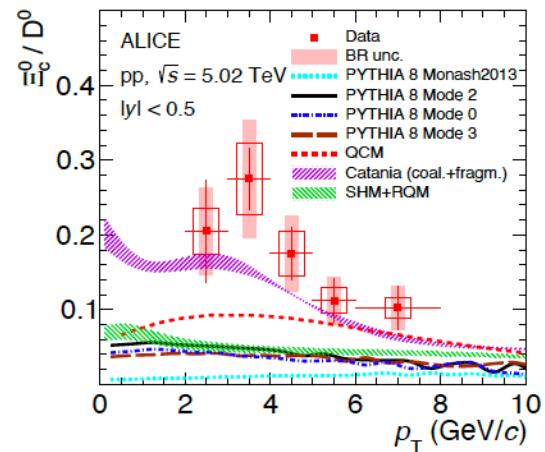
SHM+RQM (TAMU for pp)

M. He, R. Rapp, PLB795(2019) [charm]
 M. He, R. Rapp, PRL131(2023) [bottom]

$$n_i \cong g_i \frac{T_H m_i^2}{2\pi^2} K_2 \left(\frac{m_i}{T_H} \right) \quad i = D^0, D^+, D^{*+}, D_s, \Lambda_c, \Sigma_c, \Xi_c, \dots$$

Needs additional set of c-baryon state according to RQM(*) (and \sim LQCD)

- Very good Λ_c/D^0 vs data [$T_H=170$ MeV, flavor hierarchy?!]
- RQM Resonances not yet seen in e^+e^- , e^-p
- For the yield assumes a thermal distribution, but for comparing data vs p_T a fragmentation function is exploited
- Other ratios like Ξ_c/D^0 still lack yields
- Extended to bottom in pp: an explanation of Λ_b/B^0 evolution from e^+e^- to pp → Canonical Suppression
[but assuming V_{corr} values and a linear evolution with N_{tracks}]



(*)-Increased set of baryons for the Λ_c production:
 PDG: $5\Lambda_c, 3\Sigma_c, 8\Xi_c, 2\Omega_c$
 RQM: $18\Lambda_c, 42\Sigma_c, 62\Xi_c, 34\Omega_c$

Effective thermal yield
 $g_{\Lambda_c}^{RQM} \sim 2g_{\Lambda_c}^{PDG}$

SHM+RQM (TAMU for pp)

M. He, R. Rapp, PLB795(2019) [charm]
 M. He, R. Rapp, PRL131(2023) [bottom]

$$n_i \cong g_i \frac{T_H m_i^2}{2\pi^2} K_2 \left(\frac{m_i}{T_H} \right) \quad i = D^0, D^+, D^{*+}, D_s, \Lambda_c, \Sigma_c, \Xi_c, \dots$$

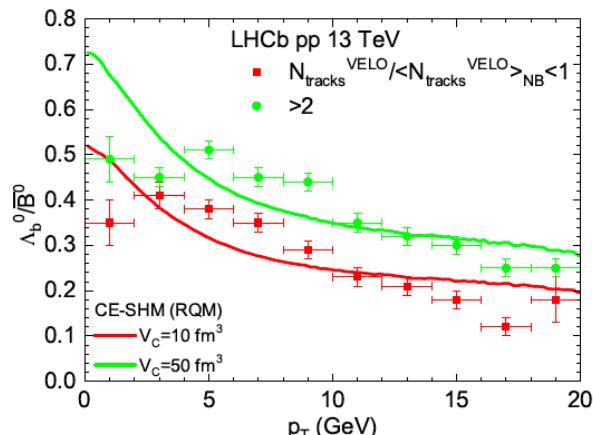
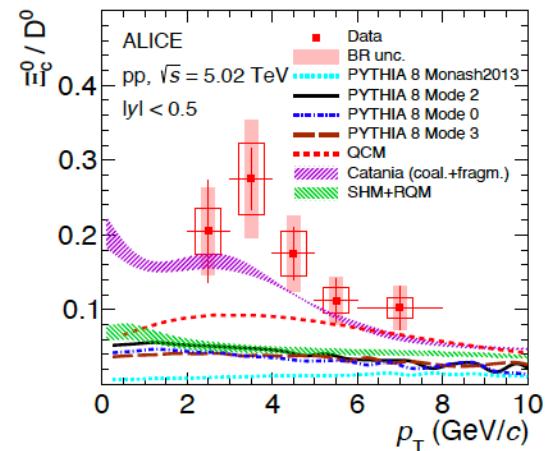
Needs additional set of c-baryon state according to RQM(*) (and \sim LQCD)

- Very good Λ_c/D^0 vs data [$T_H=170$ MeV, flavor hierarchy?!!]
- RQM Resonances not yet seen in e^+e^- , e^-p
- For the yield assumes a thermal distribution, but for comparing data vs p_T a fragmentation function is exploited
- Other ratios like Ξ_c/D^0 still lack yields
- Extended to bottom in pp: an explanation of Λ_b/B^0 evolution from e^+e^- to pp → Canonical Suppression
 $[but assuming V_{corr} values and a linear evolution with N_{tracks}]$

(*)-Increased set of baryons for the Λ_c production:
 PDG: $5\Lambda_c, 3\Sigma_c, 8\Xi_c, 2\Omega_c$
 RQM: $18\Lambda_c, 42\Sigma_c, 62\Xi_c, 34\Omega_c$

Effective thermal yield

$$g_{\Lambda_c}^{RQM} \sim 2g_{\Lambda_c}^{PDG}$$



SHM+RQM (TAMU for pp)

M. He, R. Rapp, PLB795(2019) [charm]
 M. He, R. Rapp, PRL131(2023) [bottom]

$$n_i \cong g_i \frac{T_H m_i^2}{2\pi^2} K_2 \left(\frac{m_i}{T_H} \right) \quad i = D^0, D^+, D^{*+}, D_s, \Lambda_c, \Sigma_c, \Xi_c, \dots$$

Needs additional set of c-baryon state according to RQM(*) (and \sim LQCD)

- Very good Λ_c/D^0 vs data [$T_H=170$ MeV, flavor hierarchy?!]
- RQM Resonances not yet seen in e^+e^- , e^-p
- For the yield assumes a thermal distribution, but for comparing data vs p_T a fragmentation function is exploited
- Other ratios like Ξ_c/D^0 still lack yields
- Extended to bottom in pp: an explanation of Λ_b/B^0 evolution from e^+e^- to pp → Canonical Suppression
 $[but assuming V_{corr} values and a linear evolution with N_{tracks}]$

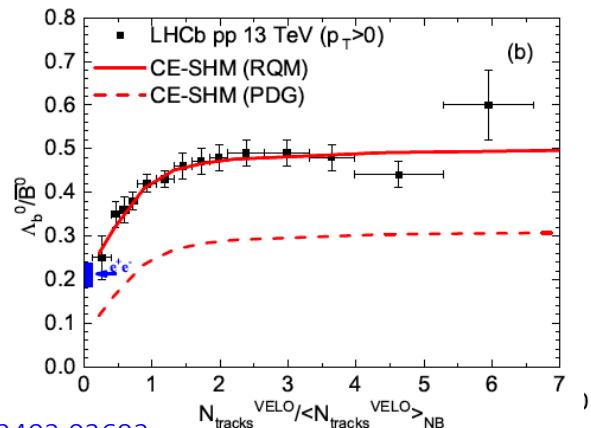
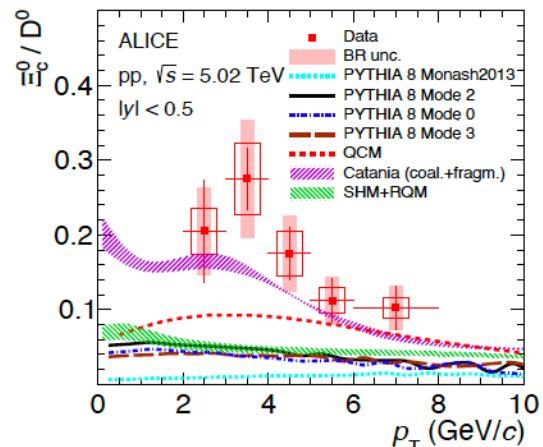
(*)-Increased set of baryons for the Λ_c production:

PDG: $5\Lambda_c, 3\Sigma_c, 8\Xi_c, 2\Omega_c$

RQM: $18\Lambda_c, 42\Sigma_c, 62\Xi_c, 34\Omega_c$

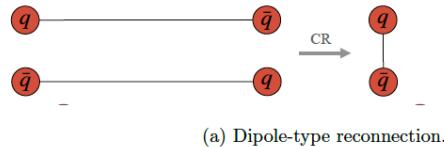
Effective thermal yield

$$g_{\Lambda_c}^{RQM} \sim 2g_{\Lambda_c}^{PDG}$$

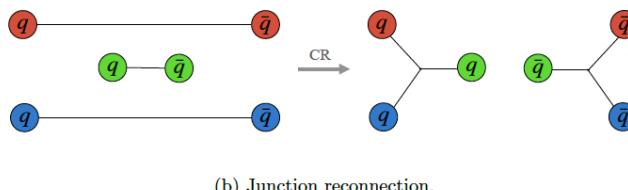


PYTHIA Color Reconnection

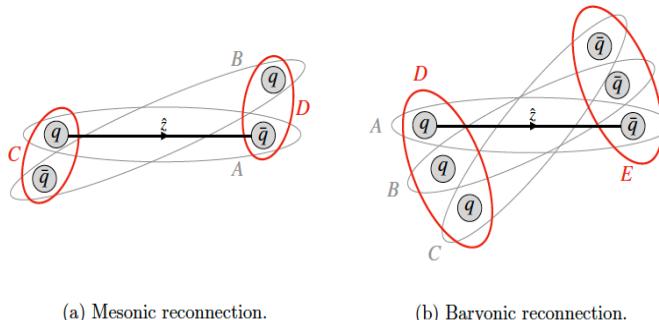
Altmann et al., arXiv 2405.19137



(a) Dipole-type reconnection.



(b) Junction reconnection.



(a) Mesonic reconnection.

(b) Baryonic reconnection.

Leading Color ($N_c \rightarrow \infty$): Prob. of Local Color neutralization $\rightarrow 0$



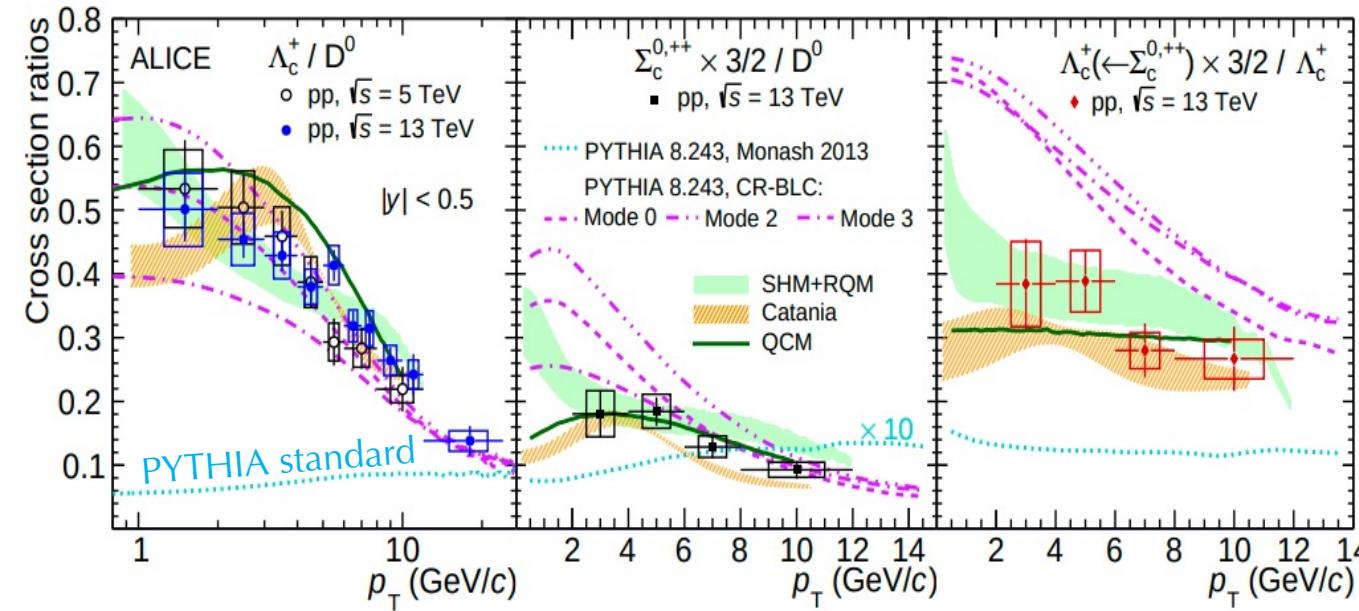
- In LC HF baryon only by [di-quark+HF] with HF as string end point [c from string $\exp(-\pi m^2 c/k) \lesssim 10^{-11}$]

- When string color reconnection is switched-on in pp according to SU(3) counting:
 - Very large baryon Λ_c , Σ_c enhancement
 - not that relevant for D, ~ coalescence+fragmentation

- ✓ Not independent strings - **Local reconnection \rightarrow string energy minimization** \rightarrow smaller invariant mass and breaking of long y correlation
- ✓ Not so different qualitatively wrt Coalescence and POWLANG Local color recombination

Going deeper into Λ_c enhancement

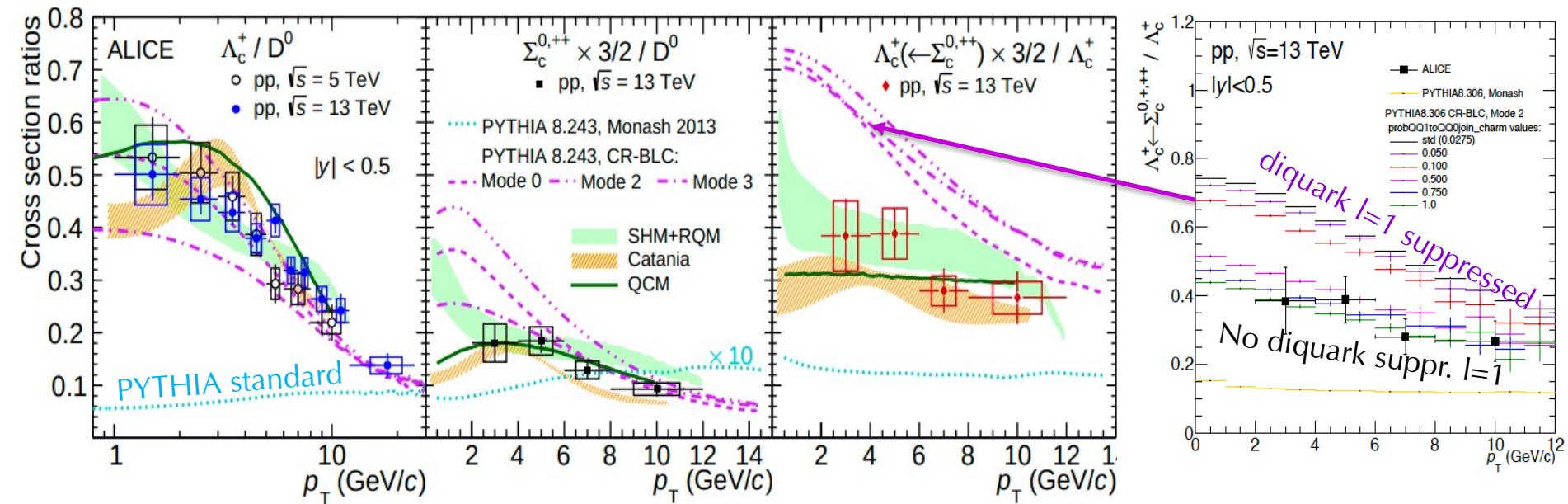
Altmann et al., arXiv 2405.19137



- Catania-coal & SHM-RQM/QCM natural good description of Σ_c/D^0 and $\Lambda_c \leftarrow \Sigma_c$
- PYTHIA-CR too many $\Sigma_c \rightarrow \Lambda_c/D^0$

Going deeper into Λ_c enhancement

Altmann et al., arXiv 2405.19137

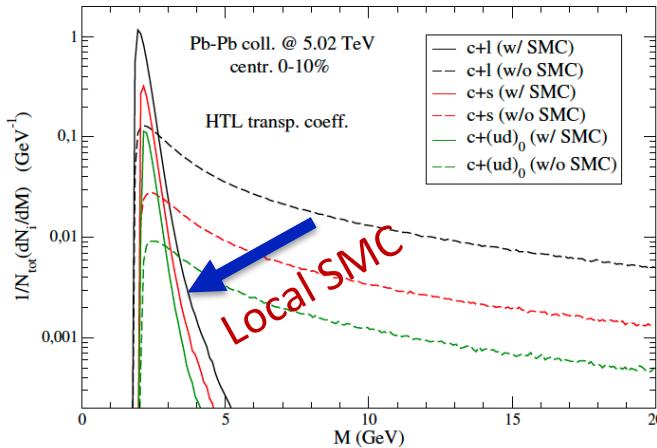


- Catania-coal & SHM-RQM/QCM natural good description of Σ_c / D^0 and $\Lambda_c \leftarrow \Sigma_c$
 - PYTHIA-CR too many $\Sigma_c \rightarrow \Lambda_c / D^0$; associated to a suppression of junction **diquark $I=1$** (set $\sim e^+e^-$ for string di-quark). *Removing it* \rightarrow Agreement to data of $\Lambda_c \leftarrow \Sigma_c$
- It goes in the direction of simply recombine according to $SU(3) \sim$ simple coalescence

POWLANG Local Color Neutralization

A. Beraudo et al., EPJC82(2022) [AA]

A. Beraudo et al., PRD109(2024) [pp]



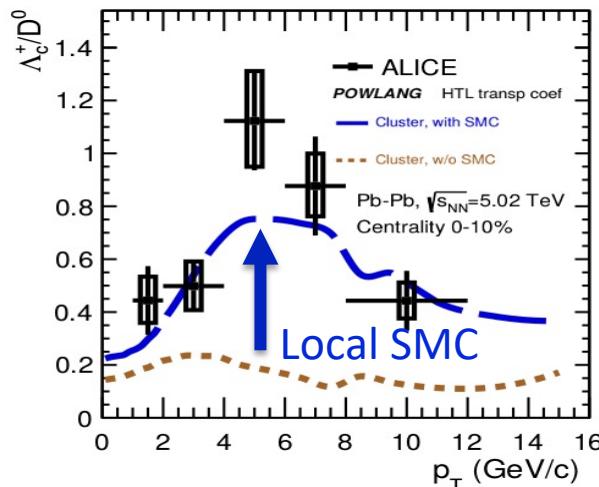
Charm recombine ***locally*** with quarks & diquarks assumed thermally distributed + radial flow :

$$n_l \cong g_s g_I \frac{T_H m_l^2}{2\pi^2} K_2 \left(\frac{m_l}{T_H} \right) \quad l = q, \bar{q}, s, \bar{s}, (ud)_0, (sq)_0, (sq)_1, \dots$$

Narrow invariant M distribution → Space Momentum Corr. :

If $\mathcal{M} > \mathcal{M}_H$ 2-body decay into g.s charm hadrons

If $\mathcal{M} > \mathcal{M}_{\max} = 4$ GeV string fragmentation (~PYTHIA/HERWIG)

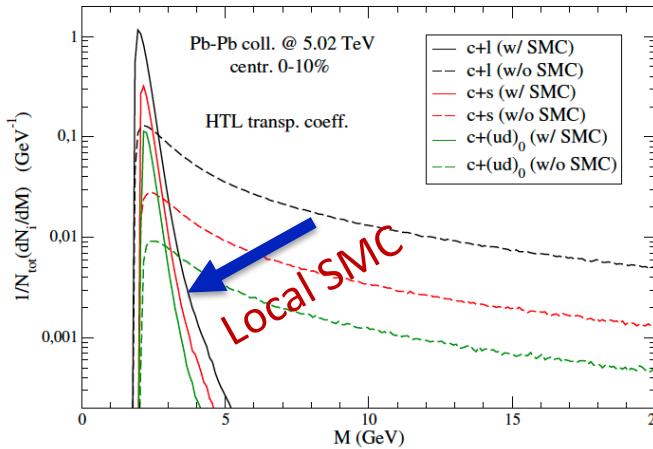


Dense medium (pp &AA) → local color statistical neutralization, qualitatively similar to PYTHIA with local CR → smaller \mathcal{M}
- again ~ Coalescence & Resonance Recombination(TAMU)

POWLANG Local Color Neutralization

A. Beraudo et al., EPJC82(2022) [AA]

A. Beraudo et al., PRD109(2024) [pp]



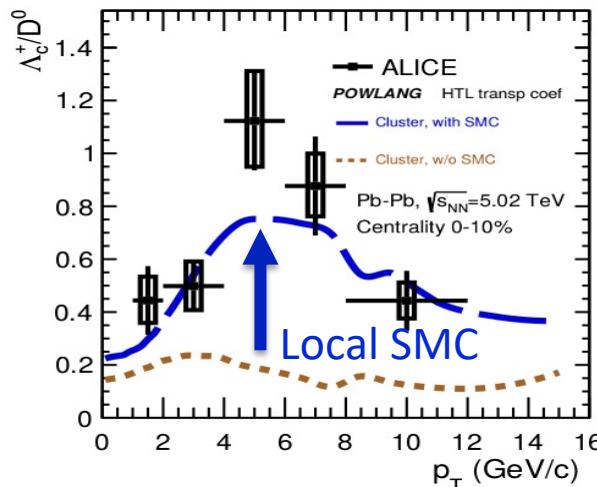
Charm recombine ***locally*** with quarks & diquarks assumed thermally distributed + radial flow :

$$n_l \cong g_s g_I \frac{T_H m_l^2}{2\pi^2} K_2 \left(\frac{m_l}{T_H} \right) \quad l = q, \bar{q}, s, \bar{s}, (ud)_0, (sq)_0, (sq)_1, \dots$$

Narrow invariant M distribution → Space Momentum Corr. :

If $\mathcal{M} > \mathcal{M}_H$ 2-body decay into g.s charm hadrons

If $\mathcal{M} > \mathcal{M}_{\max} = 4$ GeV string fragmentation (~PYTHIA/HERWIG)



Dense medium (pp &AA) → local color statistical neutralization, qualitatively similar to PYTHIA with local CR → smaller \mathcal{M}
 - again ~ Coalescence & Resonance Recombination(TAMU)

Specific of the approach:

- Existence of thermal flowing diquarks
- Very strong impact on $v_2(p_T)$ from $c \rightarrow D, \Lambda_c$ (all recomb.)
- Large D_s^+ production already in pp

Resonance Recombination (TAMU for AA)

M. He, R. Rapp, PRL124 (2020)

$$\frac{dN_M}{d^2p_T dy} = \int \frac{d^3\vec{p}_1 d^3\vec{p}_2}{(2\pi)^3} f_q(\vec{x}, \vec{p}_1) f_{\bar{q}}(\vec{x}, \vec{p}_2) \frac{\sigma_M(s)}{M\Gamma} v_{rel}(\vec{p}_1, \vec{p}_2) \delta^3(\vec{p} - \vec{p}_1 - \vec{p}_2)$$

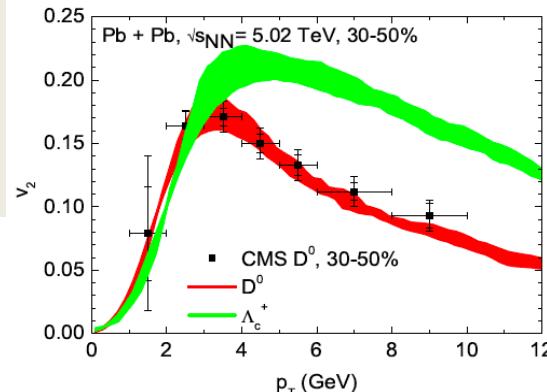
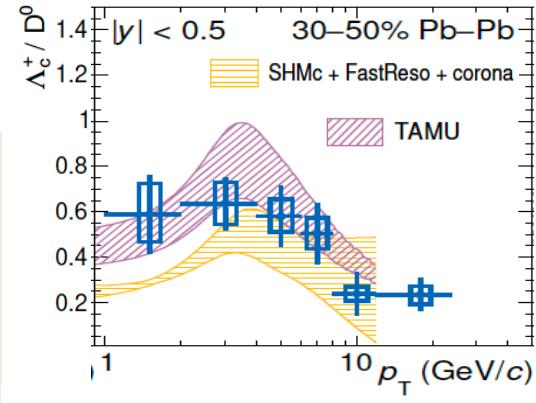
Coalescence $f_M \approx f_q \otimes f_{\bar{q}} \otimes \Phi_M \cdot \delta(\vec{p}_M - \vec{p}_q - \vec{p}_{\bar{q}})$

Recomb. according **not to a w.f. but to a Breit-Wigner** cross section
 (still a closeness in phase space constrained by $\Gamma_{M-B} \sim 100-300$ MeV):

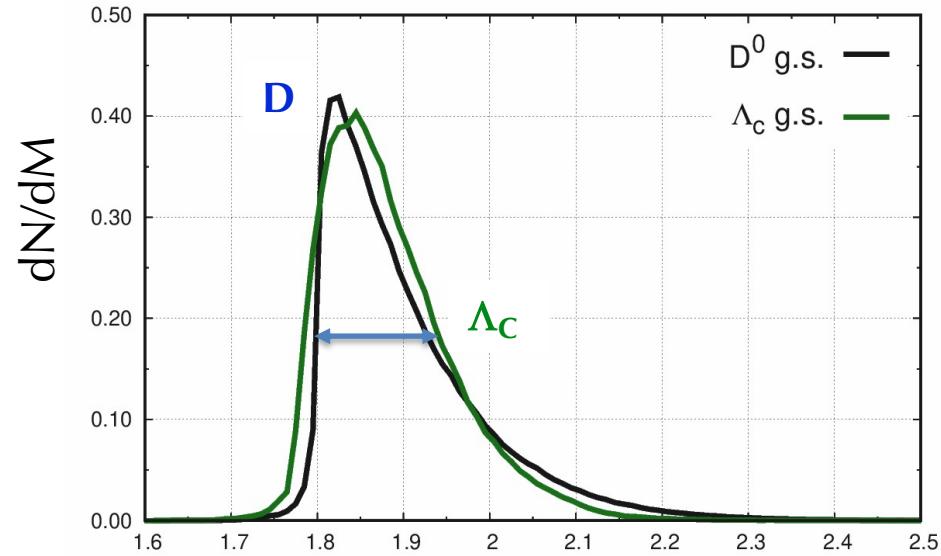
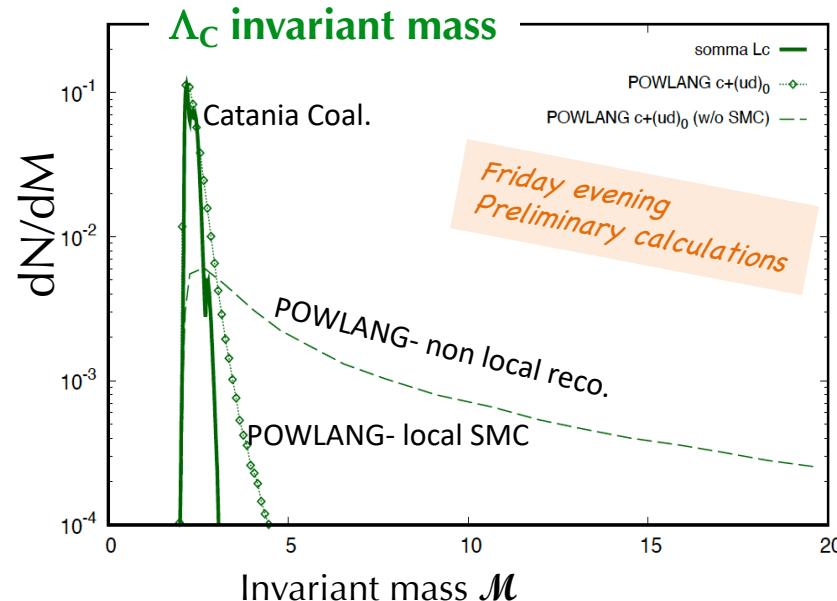
- Assumed a set of additional RQMc-baryons(*)[as in SHM]
- Similar effects to coalescence on R_{AA} and v_2 of D & Λ_c because $f_M \approx f_q \otimes f_{\bar{q}}$, $f_B \approx f_q \otimes f_q \otimes f_q \rightarrow$ quark v_2 enhanced with n_q (QNS)
- Again is a local phase-space recombination with strong Space-Momentum-Correlation → small \mathcal{M} objects

(*) $g_{\Lambda_c}^{RQM} \sim 2 g_{\Lambda_c}^{PDG}$

$$\sigma(s) = g_\sigma \frac{4\pi}{k^2} \frac{(\Gamma m)^2}{(s - m^2)^2 + (\Gamma m)^2}$$



Coalescence and invariant mass distribution



- POWLANG local recombination \rightarrow small $M \sim$ coalescence [non local \rightarrow large $M \rightarrow$ small Λ_c/D]
- Coalescence invariant mass objects with $\Gamma \sim \sqrt{m_q T} \sim 0.15 - 0.20 \text{ GeV}$
- RR-TAMU Breit-Wigner resonance with $\Gamma_{M-B} \sim 0.1 - 0.3 \text{ GeV}$ (like T-matrix in medium resonances)

Many Coalescence[+Fragmentations] model:

Catania, Coal-TAMU(KO), Ko-Cao, CCNU-Duke,
[QCM], PHSD, RRM-TAMU, Nantes-EPOS4HQ,...

Many, different and in contradiction?

Many coalescence models? Many & different?

Coal-Catania, Ko-TAMU, Ko-Cao-LBT → good Λ_c/D^0 with PDG states

SHM-TAMU and RR (pp&AA), SHM_c needs to add baryon states according to RQM, **who is right?**

Not orthogonal approaches: one can include additional RQM baryon states in Coal. →

this modifies the coeff. enforcing $P_{coal}(p_T \rightarrow 0) = 1$ in *Catania or Ko-Cao-LBT*

The two should tend to compensate... but to be done!

Many coalescence models? Many & different?

Gossiaux, 4 Tue [9:30]

Coal-Catania, Ko-TAMU, Ko-Cao-LBT → good Λ_c/D^0 with PDG states

TAMU-SHM/RR (pp&AA) needs to add baryon states according to RQM, **who is right?**

Not orthogonal approaches: one can include additional baryon states in Coal. →
this modifies the coeff. enforcing $P_{\text{coal}}(p_T \rightarrow 0) = 1$ in *Catania* or *Ko-Cao-LBT*
The two should tend to compensate... but to be done!

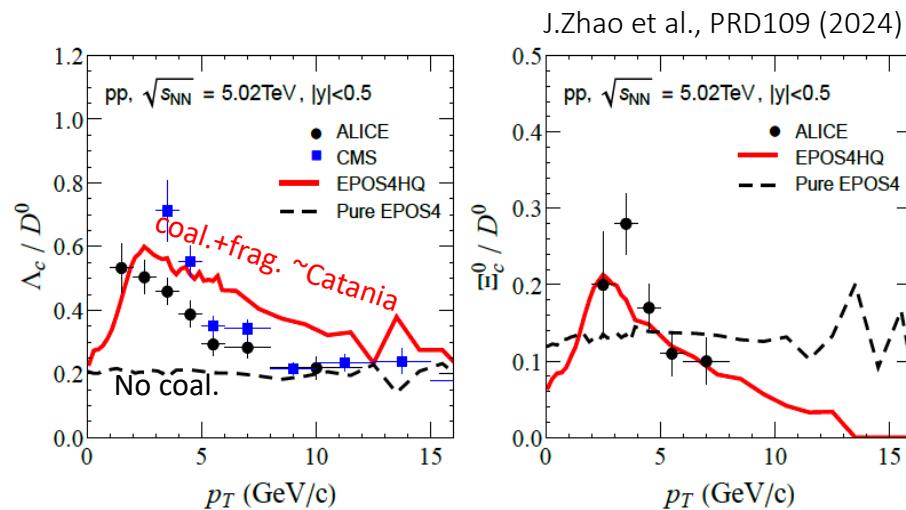
Implicitly done now with EPOS4HQ!

To describe HF spectra & ratios needs Coalescence
in phase space ~Catania

Only difference wrt Catania:

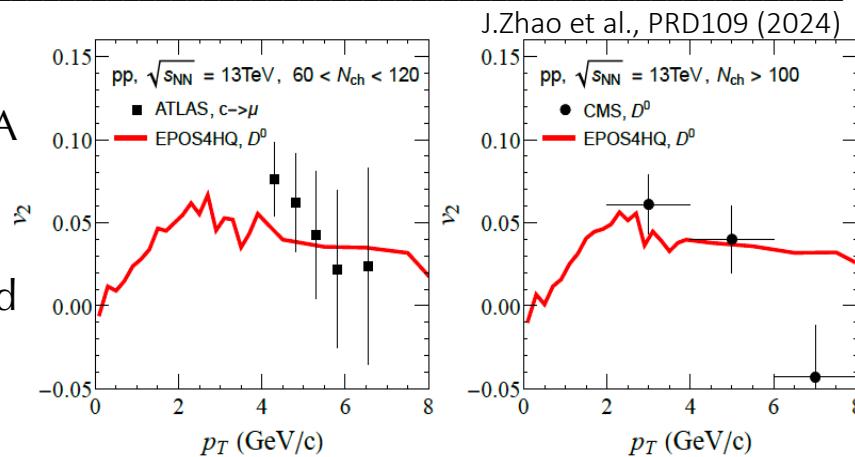
- Assume RQM states like in SHM

* II only difference: $m_q = 0.1$ GeV → longer p_T tail
[$m_q \sim 0.3$ GeV in Catania, Cao-Ko, Duke, PHSD]

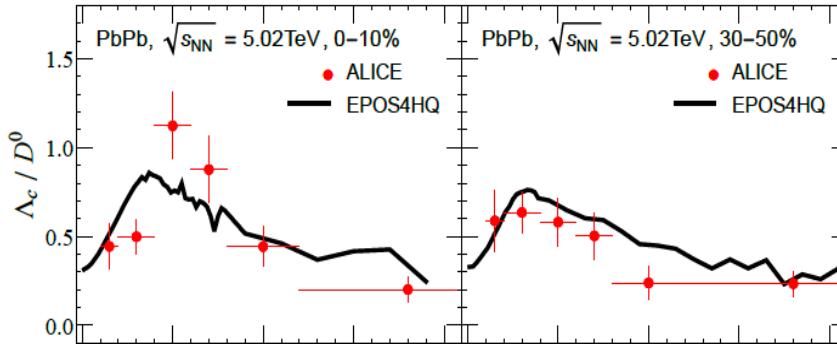


HF coalescence in EPOS4HQ

- Advantages of implementing coal. in EPOS4:
 - Full dynamical realistic dynamics from ep, pp to AA
 - **Able to predict also a sizeable elliptic flows**
 - more solid constraints to hadronization and the properties of the pp QCD matter created
 - $v_2(\Lambda_c)/v_2(D^0)$ would give more insight into coal.
- Would PYHTIA-CR predict finite v_2 of D , Λ_c in pp?
String shoving?



Extension to AA and bottom,
J. Zhao et al. arXiv:2401.11275



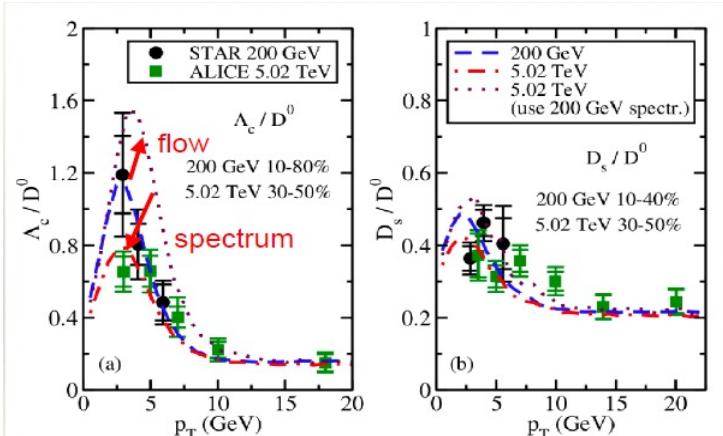
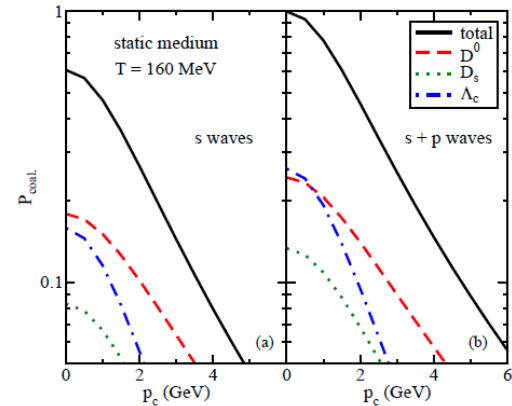
Coalescence Ko-Cao(LBT) vs Catania

S. Cao, et al. et C. Ko, PLB 807 (2020)

As already mentioned wrt to Ko-Cao both enforce $P_{\text{coal}}(p_T \rightarrow 0) = 1$ [but with a different procedure]

- A difference Ko-Cao(LBT) wrt to Catania, EPOS4HQ,QCM:
for Resonances with $l=1$ it is considered the proper
Wigner transform from harm. osc. wave function:

$$W_P(p_r) = g_h \frac{(2\sqrt{\pi}\sigma)^3}{V} \frac{2}{3} \sigma^2 p_r^2 e^{-\sigma^2 p_r^2} \quad \text{for } l=1 \text{ but integrated over } r$$



Coalescence Ko-Cao(LBT) vs Catania

S. Cao, et al. et C. Ko, PLB 807 (2020)

As already mentioned wrt to Ko-Cao both enforce $P_{\text{coal}}(p_T \rightarrow 0) = 1$ [but with a different procedure]

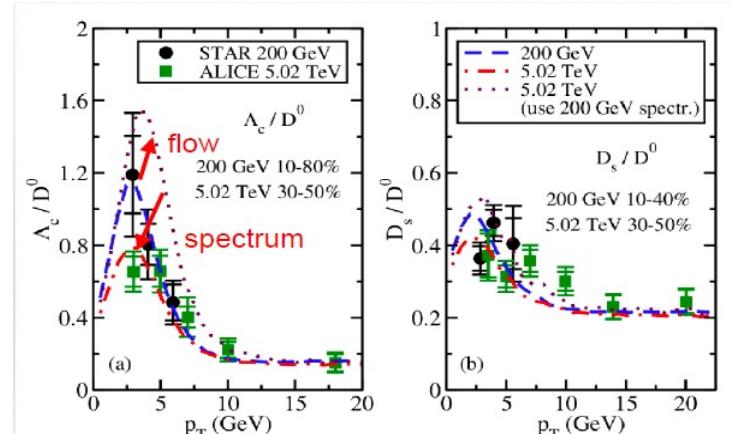
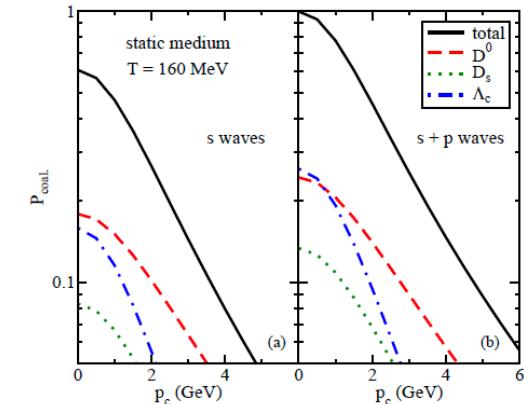
- A difference Ko-Cao(LBT) wrt to Catania, EPOS4HQ,QCM:
for Resonances with $l=1$ it is considered the proper
Wigner transform from harm. osc. wave function:

$$W_P(p_r) = g_h \frac{(2\sqrt{\pi}\sigma)^3}{V} \frac{2}{3} \sigma^2 p_r^2 e^{-\sigma^2 p_r^2} \quad \text{for } l=1 \text{ but integrated over } r$$

- It should be studied how this compares to
resonances according a thermal suppression
[Catania, RR & SHM TAMU, QCM, EPOS4HQ,...]
- **Phase-space coalescence with $l=0,1$** Wigner functions
are being developed also **for quarkonia** in both pp
and AA [Frankfurt-Nantes with PHSD medium]

[Song, Tue 4, 14:00]

[Zhao, this afternoon]



Impact of diquark?

QCD challenges from pp to AA, EPJC 84(2024)

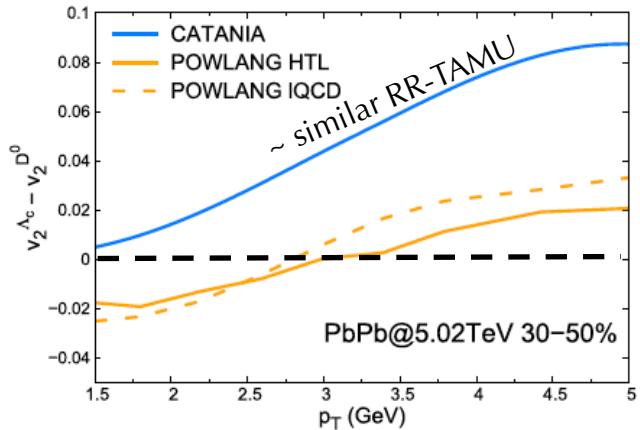
- Coal. Approaches (*Catania, LBT, EPOS4HQ... RR-TAMU*)

→ $v_2(\Lambda_c) > v_2(D^0)$ at $p_T > 2$ GeV

because Λ_c gets flow from 2 light quarks, D^0 from 1+fragm.

- POWLANG assume diquark hydrodynamical flow and

$\Lambda_c = (qq) + c \rightarrow v_2(\Lambda_c) \sim v_2(D^0)$ at intermediate p_T



Impact of diquark?

QCD challenges from pp to AA, EPJC 84(2024)

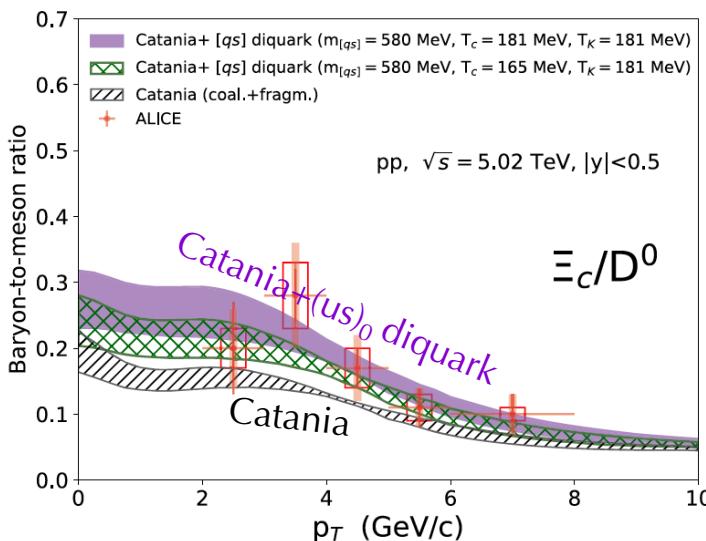
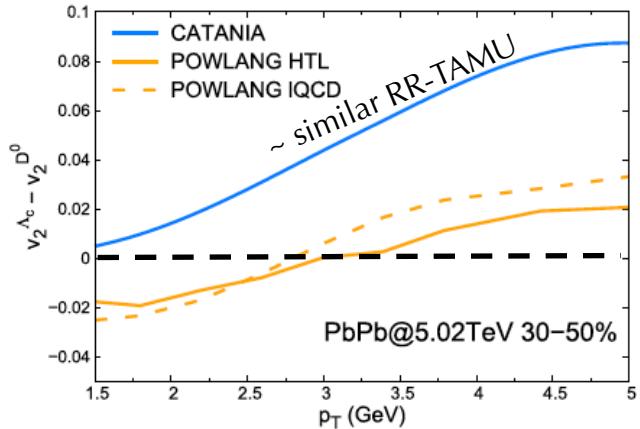
- Coal. Approaches (*Catania, LBT, EPOS4HQ... RR-TAMU*)

→ $v_2(\Lambda_c) > v_2(D^0)$ at $p_T > 2$ GeV

because Λ_c gets flow from 2 light quarks, D^0 from 1+fragm.

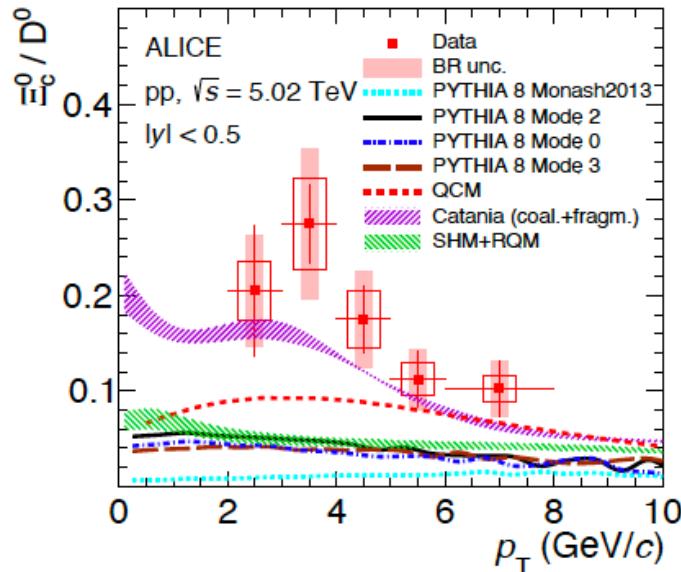
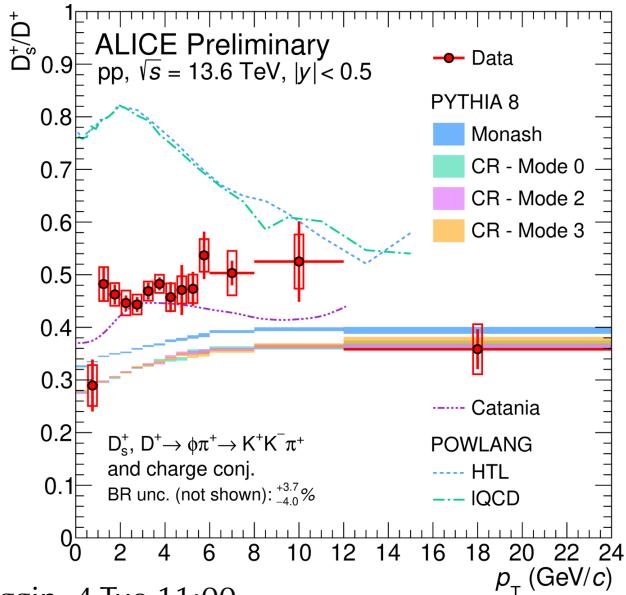
- POWLNG assume diquark hydrodynamical flow and

$\Lambda_c = (qq) + c \rightarrow v_2(\Lambda_c) \sim v_2(D^0)$ at intermediate p_T



- Quark model gives $(us)_0$ large binding energy → small mass.
If $V(r, T)$ potential at finite T with large $m_D \sim$ LQCD
Assumption:
 - Again $(us)_0$ thermal yield flowing with the medium
 - * More precise data needed to draw any conclusion

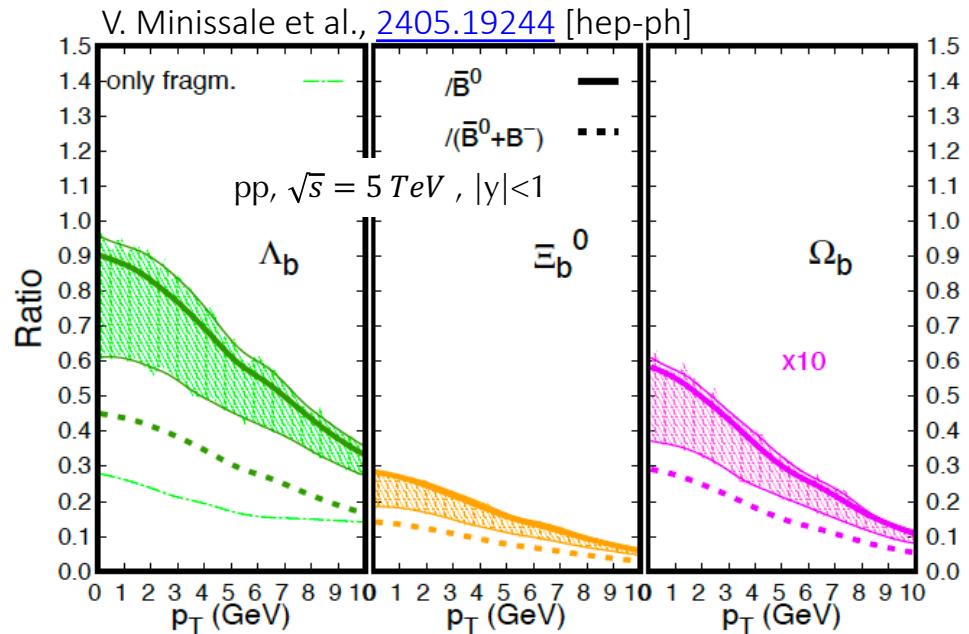
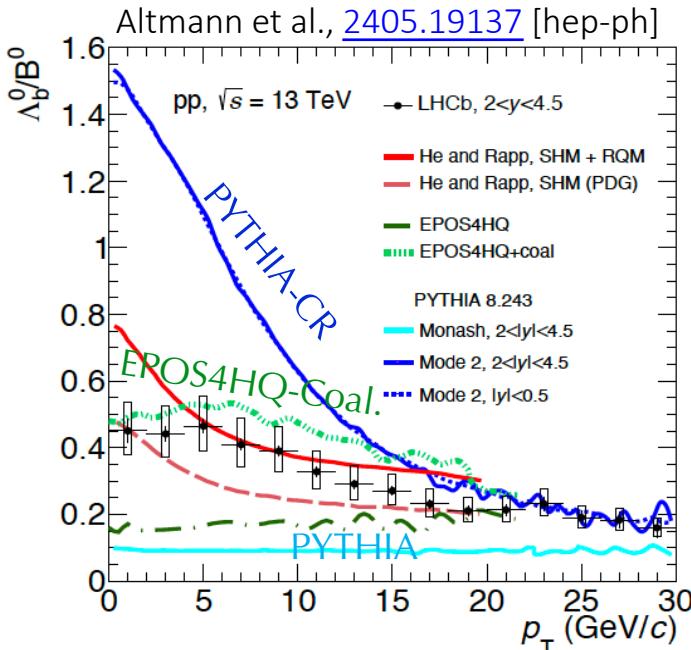
Strangeness in pp for HF sector



ALICE - Faggin, 4 Tue 11:00

- Catania Coalesc.+Frag. quite ok, but it is large the fragmentation contribution
- POWLANG/LCN too high, but the approach has only recombination also for mesons
- PYTHIA-CR seems to have a lack of strangeness [see also Ξ_c]

Early results and predictions for Bottom in pp



Plumari, Tue 4-[9:10]

- Again Need CR in PYTHIA → seems too strong at forward (no rapidity dependence)
- EPOS4HQ+coal close to data (rapidity dependence?). At $y=0$ Catania results
- SHM +RQM about close, less the p_T shape (Frag.-Function)
- Coal./Fragm. ratio in pp larger for B than D

Common features, some open question and next

Emerging from **Coalescence** a common framework for HF hadronization from pp to AA.

Other approaches (*PYTHIA-CR, POWLANG-LCN, RR-TAMU...*) point anyway to:

- In **medium local recombination** → small (reduced) invariant mass widths
- Large evolution from $e^+e^- (e^- p)$ to pp (@TeV), while reshuffling in p_T from pp to AA
- Several imply resonances (or diquark) yields and/or yields close to thermal equilibrium (SHM)

Common features, some open question and next

Emerging from **Coalescence** a common framework for HF hadronization from pp to AA.

Other approaches (*PYTHIA-CR, POWLANG-LCN, RR-TAMU...*) point anyway to:

- In **medium local recombination** → small (reduced) invariant mass widths
- Large evolution from $e^+e^- (e^- p)$ to pp (@TeV), while reshuffling in p_T from pp to AA
- Several imply resonances (or diquark) yields and/or yields close to thermal equilibrium (SHM)

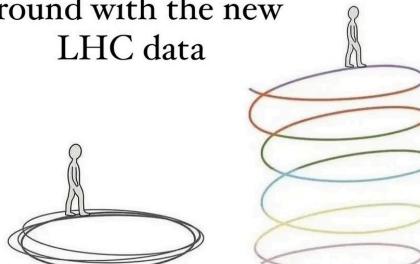


quarktastic

:

A suggestion from Instagram....

POV: you are playing
around with the new
LHC data



What we think our
progress looks like

How it actually is

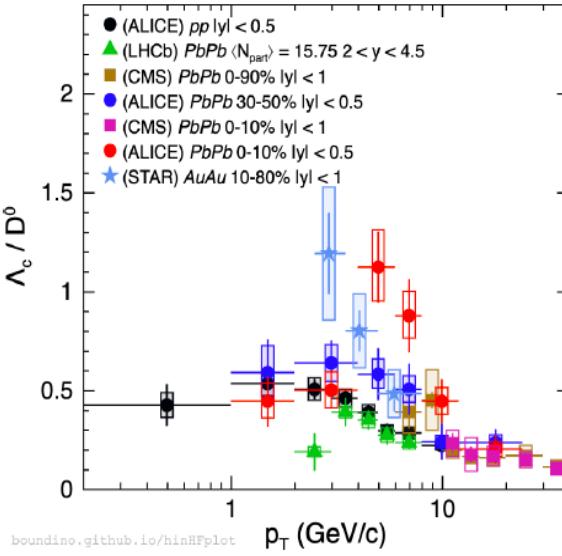
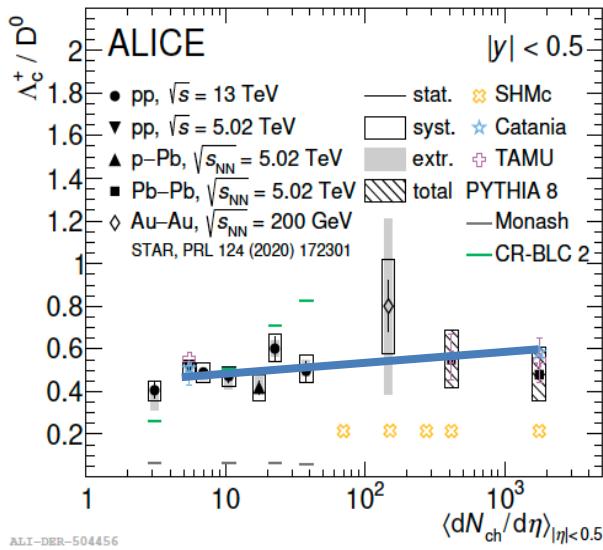
Common features, some open question and next

- **Assessment of open issues** and several microscopic mechanism/ "details"(?)
 - Rapidity evolution of baryon/meson [most work at $y \sim 0$]
 - Extension to bottom + reduced data error bars, will show similar agreement?
 - Coal./Fragm. dominance of coal. in Λ_c ? → a probe large $v_{2D}/v_{2\Lambda C}$ vs p_T
 - di-quark role in Ξ_c/D^0 ? need smaller error at low p_T
 - hydro flowing diquarks? ← $v_2(\Lambda_c) - v_2(D)$ at intermediat p_T
 - strength SpaceMomentumCorrelation, PDG/RQM resonances ...
- **Multicharm baryon** production (ALICE3): Ω_{ccc} yield large sensitivity to charm kinetic equilibration and its wave function width [$\sigma_r^2 < (2\mu T)^{-1}$]

Plumari, Tue 4 [9:10]

Back-up

Strong system size/multiplicity dependence



Main change is from e^+e^- and e^-p to pp (TeV)

- Once coalescence sets in Baryon/Meson yields ratio expected to have a **weak dependence** on the yield: because very similar local density or T_H .
 - Effects: - small size (corona) → baryon are more suppressed when $V_{fireball} \sim V_H$
 - High-multiplicity → more radial flow (SMC) → mainly reshuffle in p_T (peak shift)
- On this would agree Catania Coal, EPOS4HQ, POWLANG [HQ biased towards hot spots in pp], LBT, RR-TAMU ... to be scrutinized/modified in PYTHIA (strong multiplicity dependence → new version)

Coalescence approach: impact of widths

Statistical factor colour-spin-isospin
Parton Distribution function
Hadron Wigner function

$$\frac{dN_{Hadron}}{d^2 p_T} = g_H \int \prod_{i=1}^{N_q} p_i \cdot d\sigma_i \frac{d^3 p_i}{(2\pi)^3} f_q(x_i, p_i) C_H(x_1, \dots, x_n; p_1, \dots, p_n) \delta(p_T - \sum_i p_{iT})$$

In non-relativistic approx. for Gaussian w.f. + therm. distr + no flow

$$N_M \sim \frac{\sigma_r^2}{\sigma_r^2 + (2\mu T)^{-1}}$$

$\sigma_r^2 \gg (2\mu T)^{-1}$ large width or large T → sensitivity off
 $m_q \approx 0.2$ $m_c \approx 2T \approx 1.6 \text{ fm}^{-1}$

D⁺: $\sigma_r^2 \approx (0.7)^2 \approx 0.5 \text{ fm}^2$ and $(2\mu T)^{-1} \sim 0.46 \text{ fm}^2$

$\sigma_r \rightarrow \infty$ yield increase a factor of 2
increasing $\langle r^2 \rangle$ by a factor 2 → 30% more

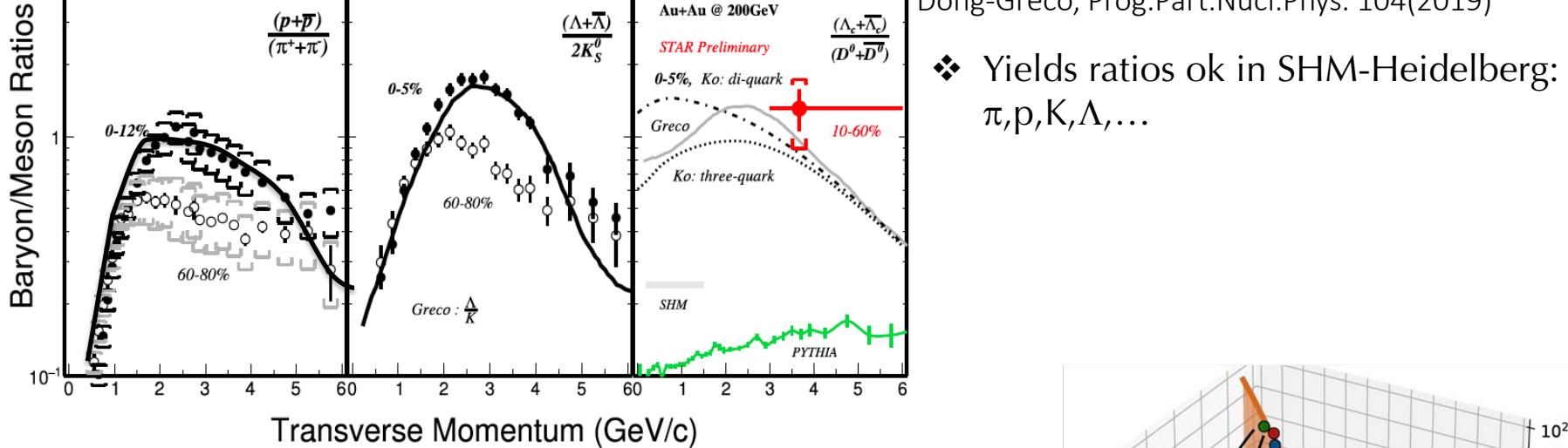
$\Omega_c : \sigma_{r1}^2 \approx (0.58)^2 \approx 0.34 \text{ fm}^2$ $\sigma_{r2}^2 \approx (0.35)^2 \approx 0.12 \text{ fm}^2$
 $(2\mu_{ss} T)^{-1} \approx 0.6 \text{ fm}^2$ $(2\mu_{(ss)c} T)^{-1} \approx 0.22 \text{ fm}^2$

$\sigma_r^2 < (2\mu T)^{-1}$ more sensitivity widths
Increasing $\langle r^2 \rangle$ by a factor of 2
→ 2.2 more Ω_c

$$f_M(x_1, x_2; p_1, p_2) = A_W \exp \left(-\frac{x_{r1}^2}{\sigma_r^2} - p_{r1}^2 \sigma_r^2 \right)$$

Meson	$\langle r^2 \rangle_{ch}$	σ_{p1}	σ_{p2}
$D^+ = [c\bar{d}]$	0.184	0.282	—
$D_s^+ = [\bar{s}c]$	0.083	0.404	—
Baryon	$\langle r^2 \rangle_{ch}$	σ_{p1}	σ_{p2}
$\Lambda_c^+ = [udc]$	0.15	0.251	0.424
$\Xi_c^+ = [usc]$	0.2	0.242	0.406
$\Omega_c^0 = [ssc]$	-0.12	0.337	0.53

Hadronization in the light and strange sector

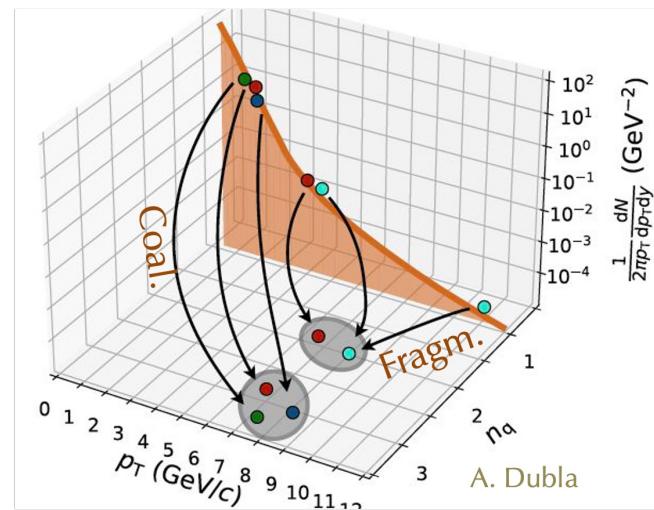


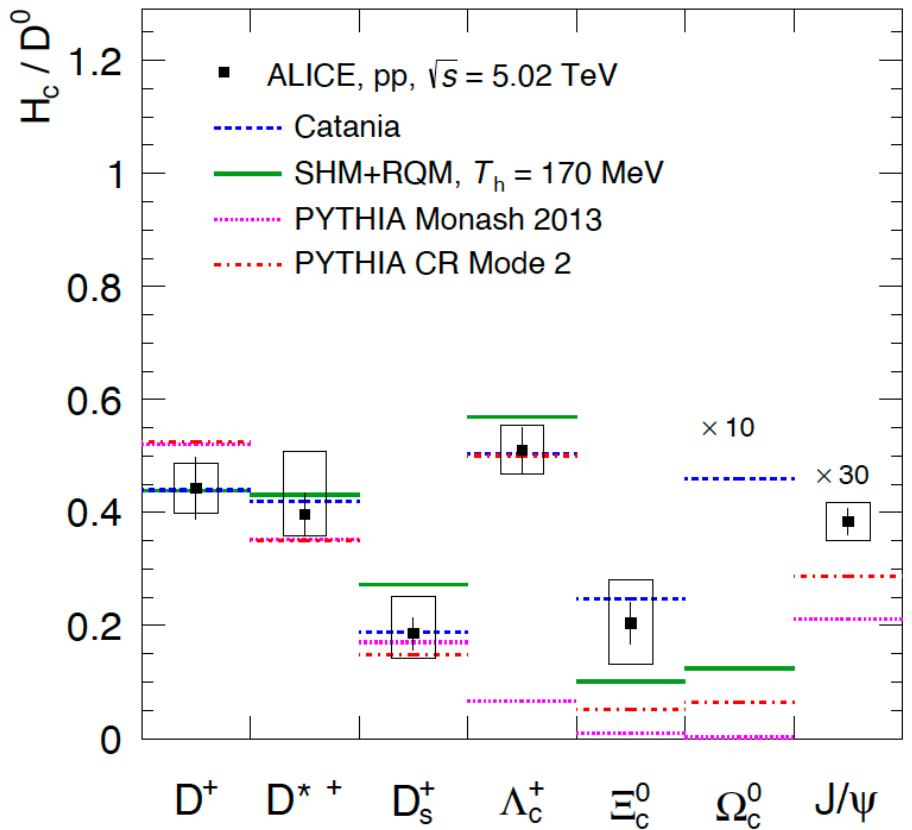
Dong-Greco, Prog.Part.Nucl.Phys. 104(2019)

- ❖ Yields ratios ok in SHM-Heidelberg: $\pi, p, K, \Lambda, \dots$

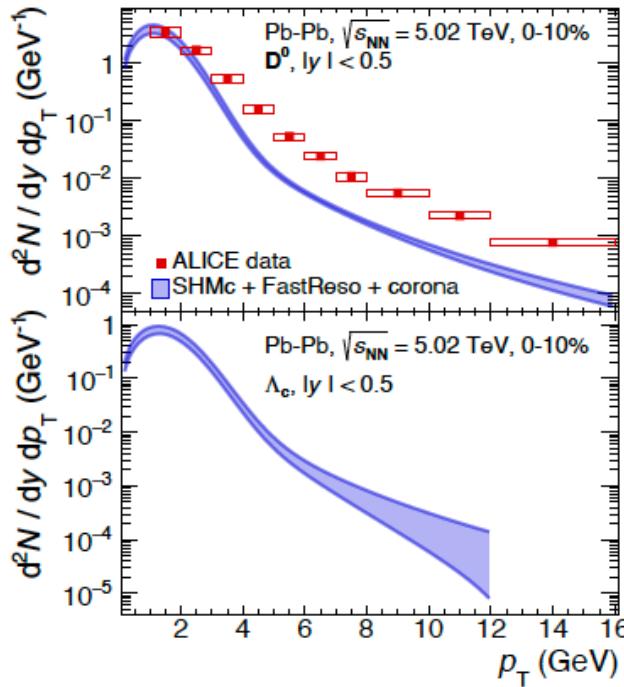
In 2002-2004 large p/π , Λ/K at intermediate p_T :

- development of coalescence + fragm. in AA collisions
 $f_M \approx f_q \otimes f_{\bar{q}} \otimes \Phi_M$ [GKL and FMNB PRL90(2003)]
- v_2 quark number scaling (~ also for $\phi \dots$)

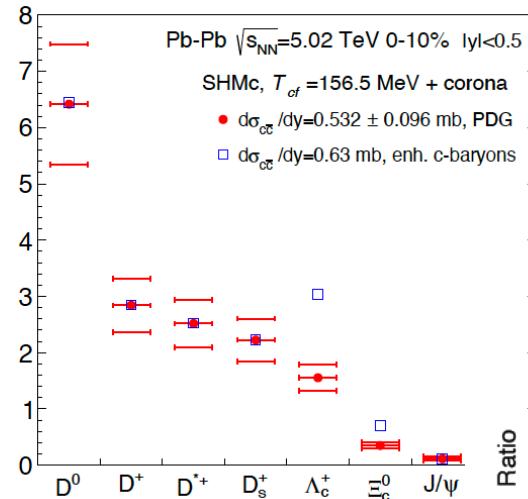




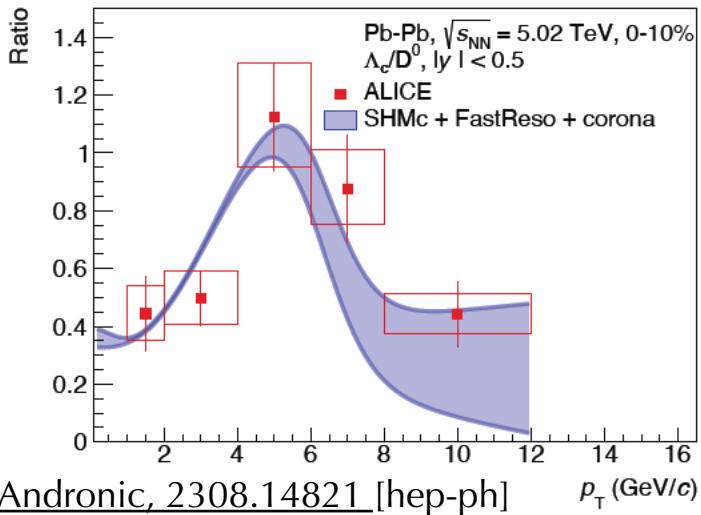
CSHMc coupled to blast-wave+ corona



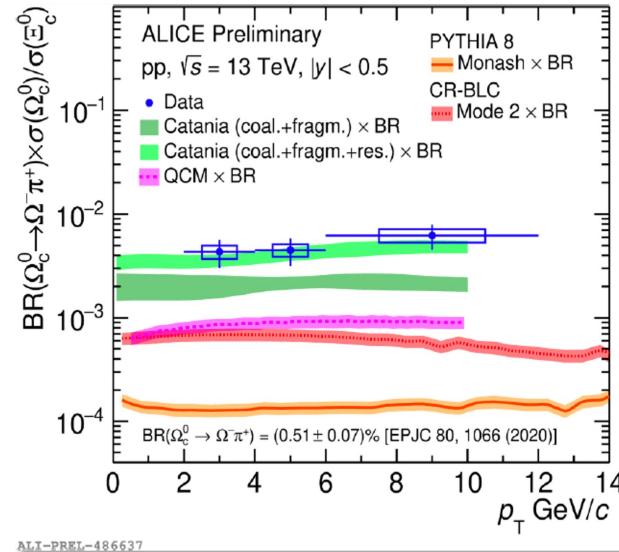
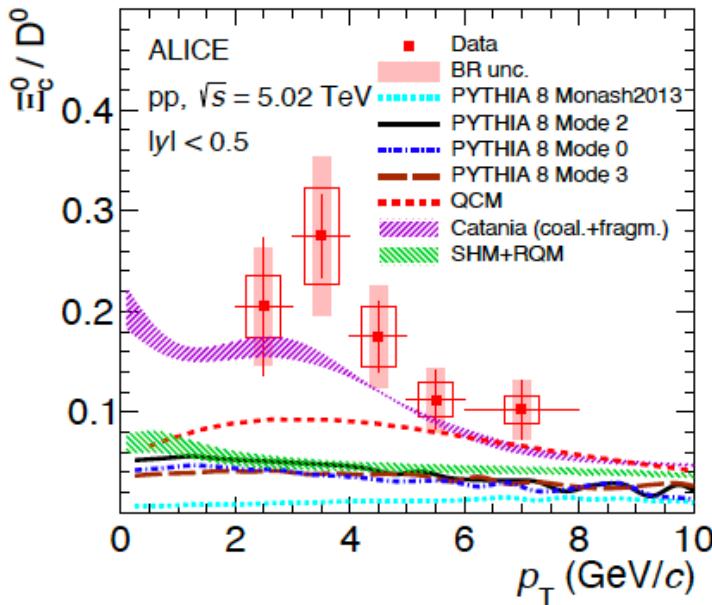
A. Andronic, JHEP 07 (2021) 035



Coupling SHMc to visco hydro



HF strangeness in pp



- Catania-Coal the closest to Ξ_c/D^0 not QCM/SHM-RQM/PYTHIA –CR.
- In Ξ_c there could be effects of diquark [S.H. Lee]
- Also Ω_c of Catania is the closest, but large uncertainties on excited states and BR

Multicharm production PbPb and KrKr

$\Xi_{cc}^{+,++}, \Omega_{scc}, \Omega_{ccc}$

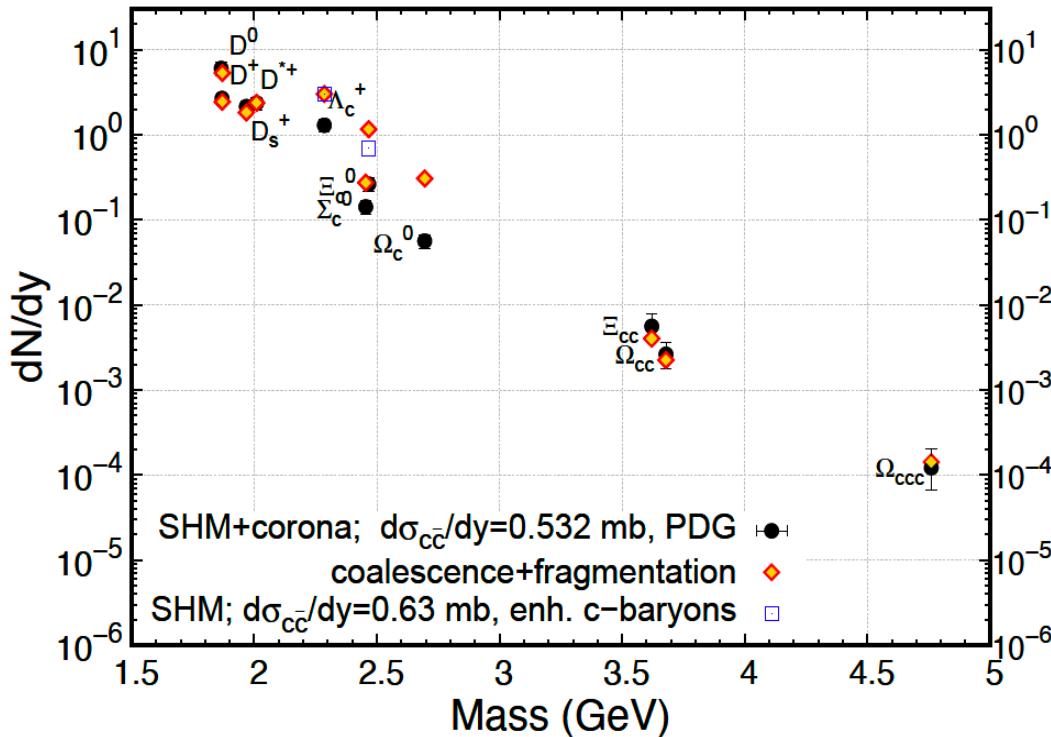
Baryon			
$\Xi_{cc}^{+,++} = dcc, ucc$	3621	$\frac{1}{2} (\frac{1}{2})$	
$\Omega_{scc}^+ = scc$	3679	$0 (\frac{1}{2})$	
$\Omega_{ccc}^{++} = ccc$	4761	$0 (\frac{3}{2})$	
Resonances			
Ξ_{cc}^*	3648	$\frac{1}{2} (\frac{3}{2})$	$1.71 \times g.s$
Ω_{scc}^*	3765	$0 (\frac{3}{2})$	$1.23 \times g.s$

like S.Cho and S.H. Lee, PRC101 (2020)
from R.A. Briceno et al., PRD 86(2012)

Strengths of the approach:

- Does not rely on distribution in equilibrium for charm
→ useful for small AA down to pp collisions and at $p_T > 3\text{-}4 \text{ GeV}$
- Provide a p_T dependence of spectra and their ratios vs p_T

Yields in PbPb from coalescence vs SHM



A standard harmonic oscillator scaling as for Ω_c^0
 would give $\Omega_{ccc} \approx 10^{-5}$

Obtained starting for D from the $\langle r^2 \rangle$
 of the quark model, but for Λ_c we
 have reduce it by 20%.

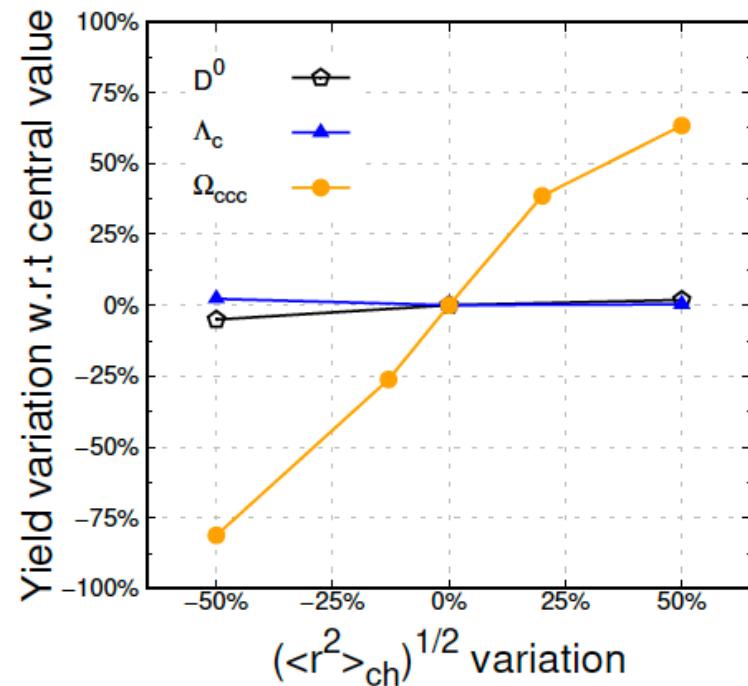
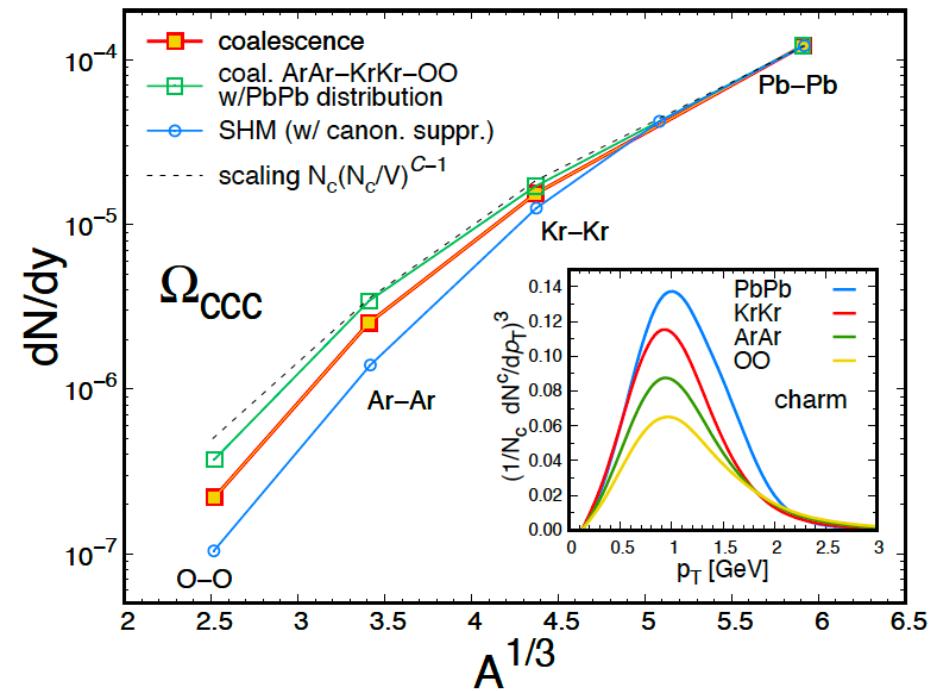
$\Sigma_c^0, \Xi_c^0, \Omega_c^0$ from quark model

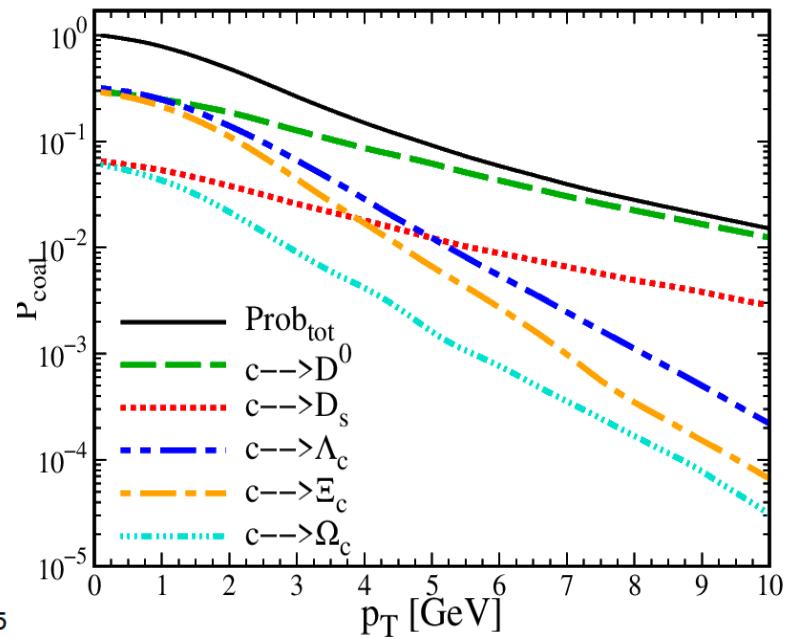
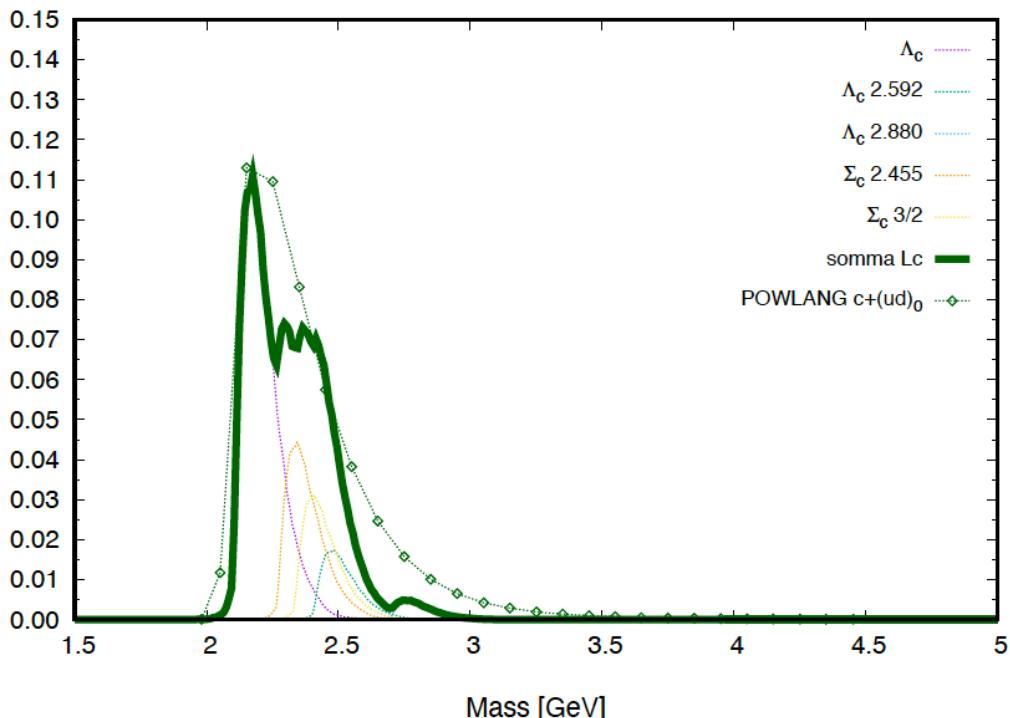
$\Xi_{cc}^{++,++}, \Omega_{cc}$ obtained rescaling the width
 according to the harmonic oscillator relations

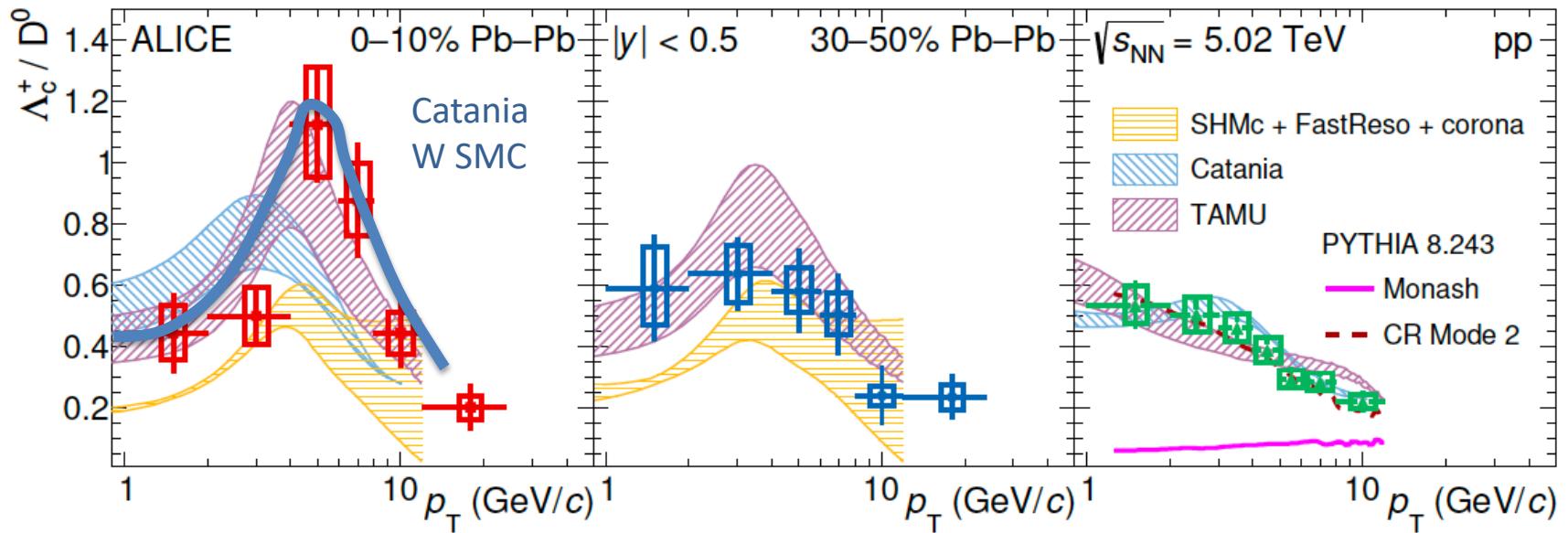
$$\sigma_{ri} = 1/\sqrt{\mu_i \omega} \quad \mu_1 = \frac{m_1 m_2}{m_1 + m_2}, \quad \mu_2 = \frac{(m_1 + m_2)m_3}{m_1 + m_2 + m_3}.$$

Ω_{ccc} fixing $\langle r \rangle = 0.5$ fm and $\sigma_r \cdot \sigma_p \approx 1.5$
 according to Tsinghua PLB746 (2015)

[Solution of Schrödinger eq. under $V(r)$]





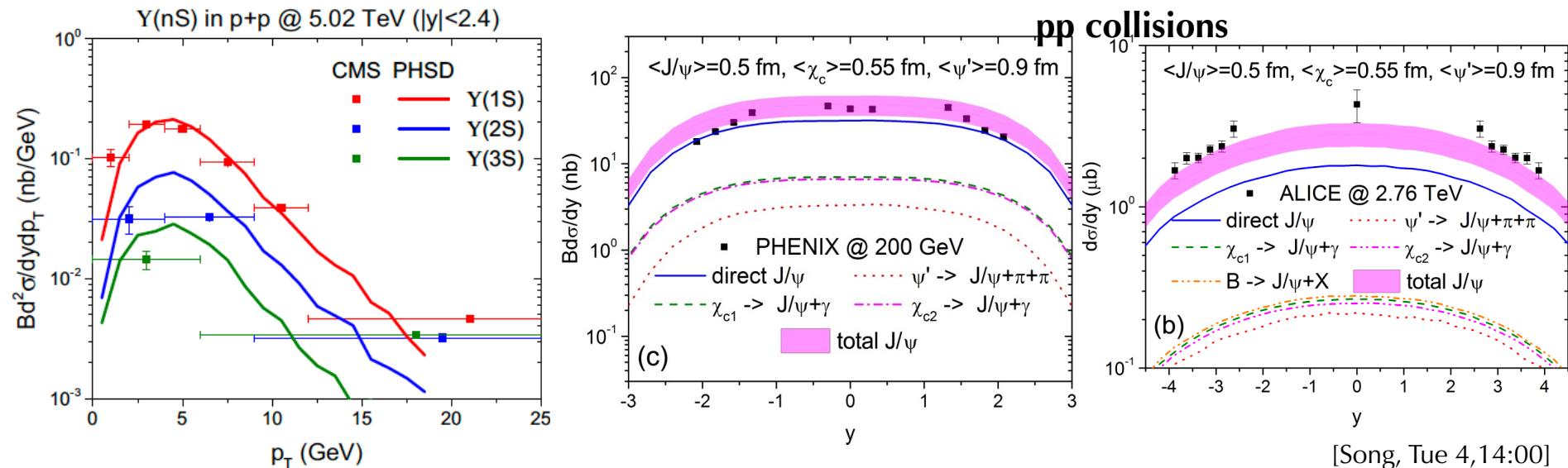


PRELIMINARY Catania coal.+fragm. With SMC similarly to TAMU
 TAMU is SHM+RQM Reso in pp and RR in PbPb
 SHMc suffers from baryon states in PDG

Quarkonium?

Song et al., PRC108(2023)
 Song-Aichelin-Bratkovskaya, PRC96(2017)

Recombination as by decades been recognized as an hadronization mechanism in AA
 Now developments with same **Wigner phase-space approach** as for open HF **both in pp and AA**



- Unified framework between pp and AA for the hadronization
- In AA if one neglects quarkonium suppression → yield J/Y quite large

These development could/should couple also to those on the polarization in AA

[Song, Tue 4, 14:00]

[Zhao, this afternoon]