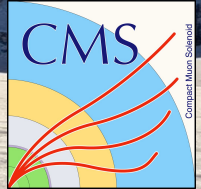


# Search for EFT in associated top production



**Sergio Sánchez Cruz** for the CMS Collaboration  
57<sup>th</sup> Rencontres de Moriond (electroweak session)

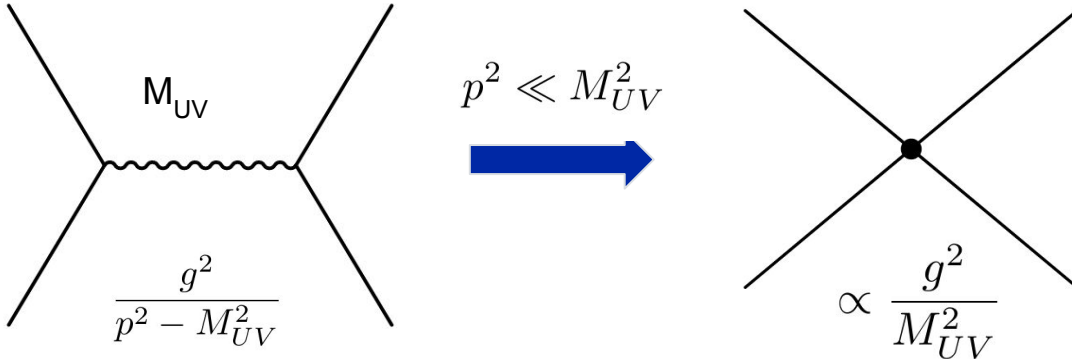
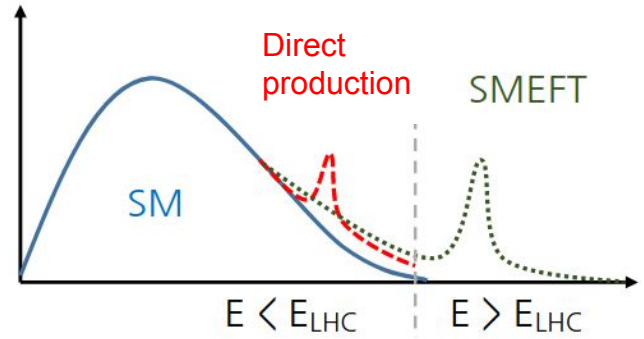
24/03/2023 [sergio.sanchez.cruz@cern.ch](mailto:sergio.sanchez.cruz@cern.ch)



**University of  
Zurich** <sup>UZH</sup>

# EFT introduction

- Lack of clear evidence of new physics at the LHC
- New physics may lie above the experimental energy scale
  - Indirect searches may provide hints!
- SMEFT extends the SM Lagrangian



Wilson coefficient

$$\mathcal{L} = \mathcal{L}_{SM} + \sum_i \frac{C_i}{\Lambda^2} O_i^{(6)}$$

UV scale      EFT operator

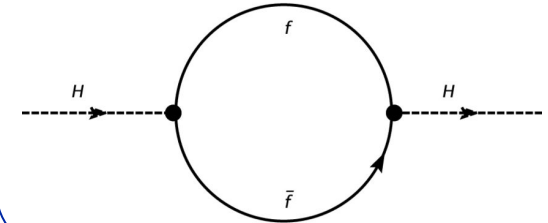
# The central role of the top quark

Natural coupling to the Higgs

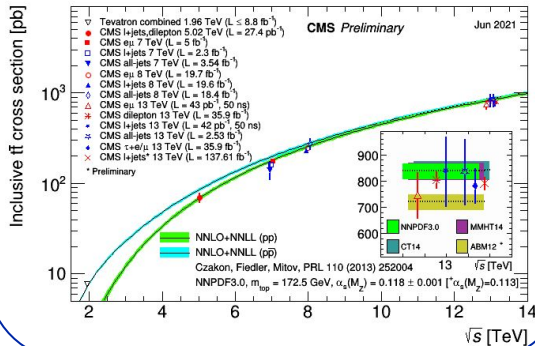
$$\chi = y_{ij} \chi_j \phi$$

Large mass  
Similar mass to a gold atom

SM naturalness



Study of perturbative QCD



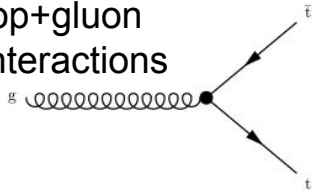
Proton pdfs

More precious than gold  
1 top quark (HL-LHC prices)  $\rightarrow$  O(5 CHF)  
1 atom of gold  $\rightarrow$  O( $10^{-23}$  CHF)

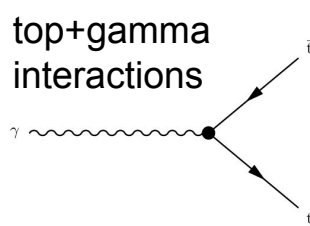
# EFT in top physics

- EFT operators can contribute to many top quark production modes
- They affect differently each process
- The nature of the true UV theory is unknown
  - **Need to be comprehensive** → **consider all operators simultaneously**

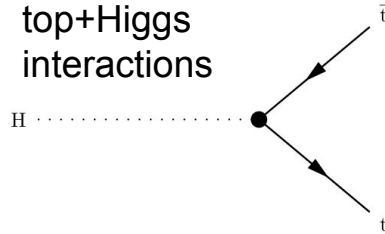
top+gluon interactions



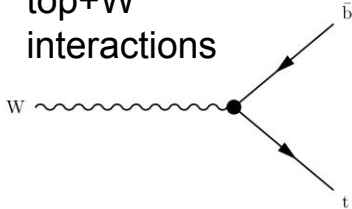
top+gamma interactions



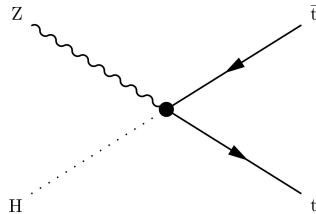
top+Higgs interactions



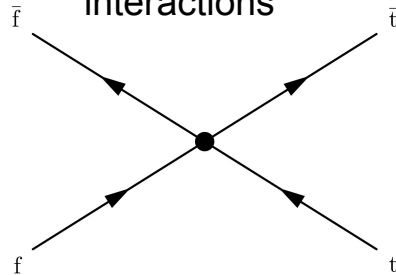
top+W interactions



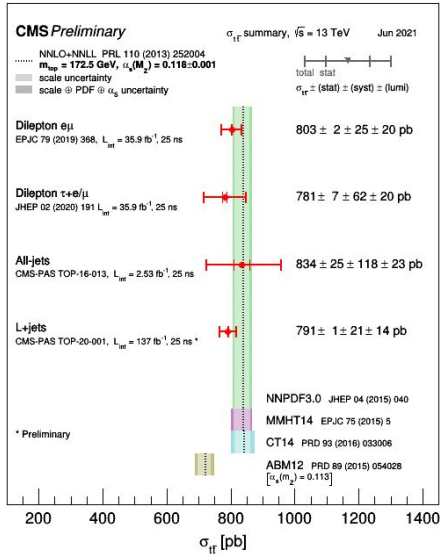
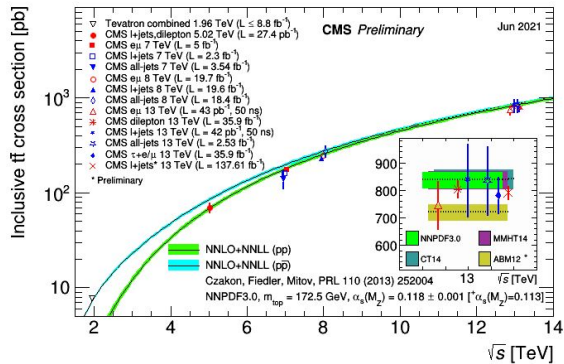
top+Z/H interactions



4-fermion interactions

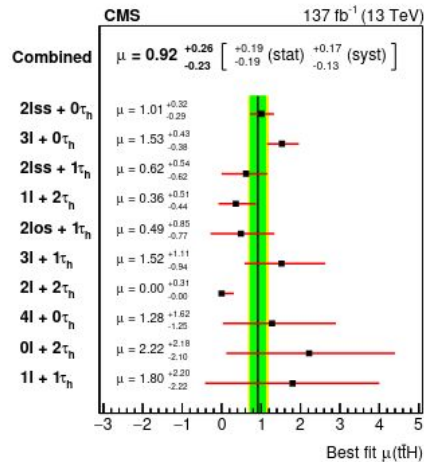


# CMS top measurements



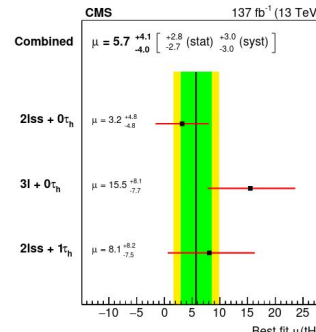
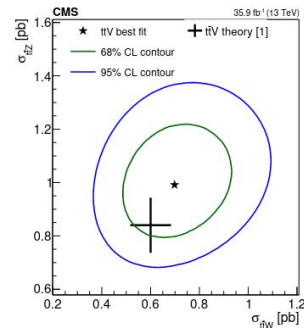
## Top pair production

## Associated top production



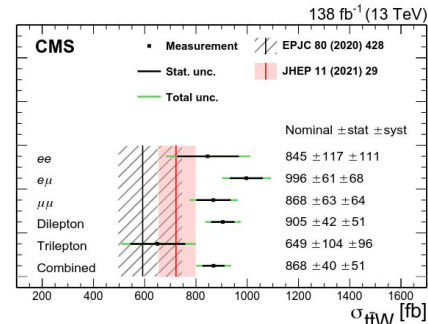
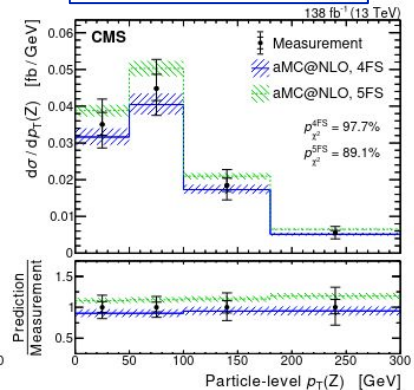
## ttH production

## ttZ production



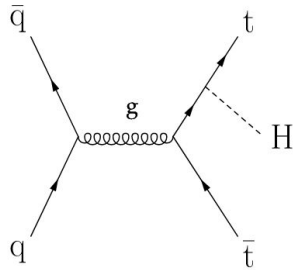
## ttHq production

## tZq production

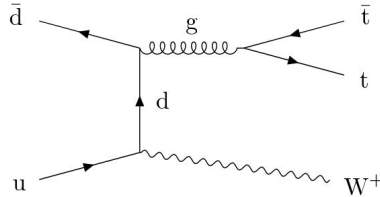


## ttW production

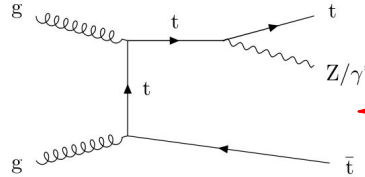
# Exploring the top from all angles - associated top production



ttH

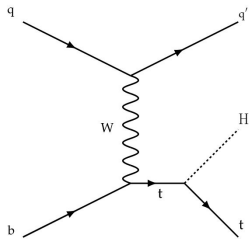


ttW

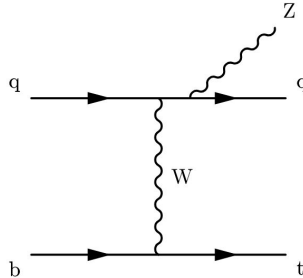


ttZ

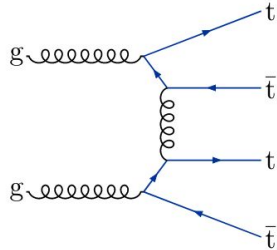
**NEW result studying  
all these processes  
simultaneously  
CMS-PAS-TOP-22-006**



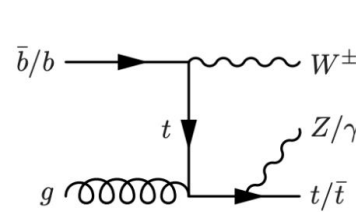
tHq



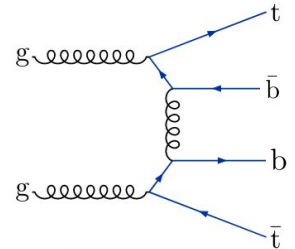
tZq



tttt



tWZ

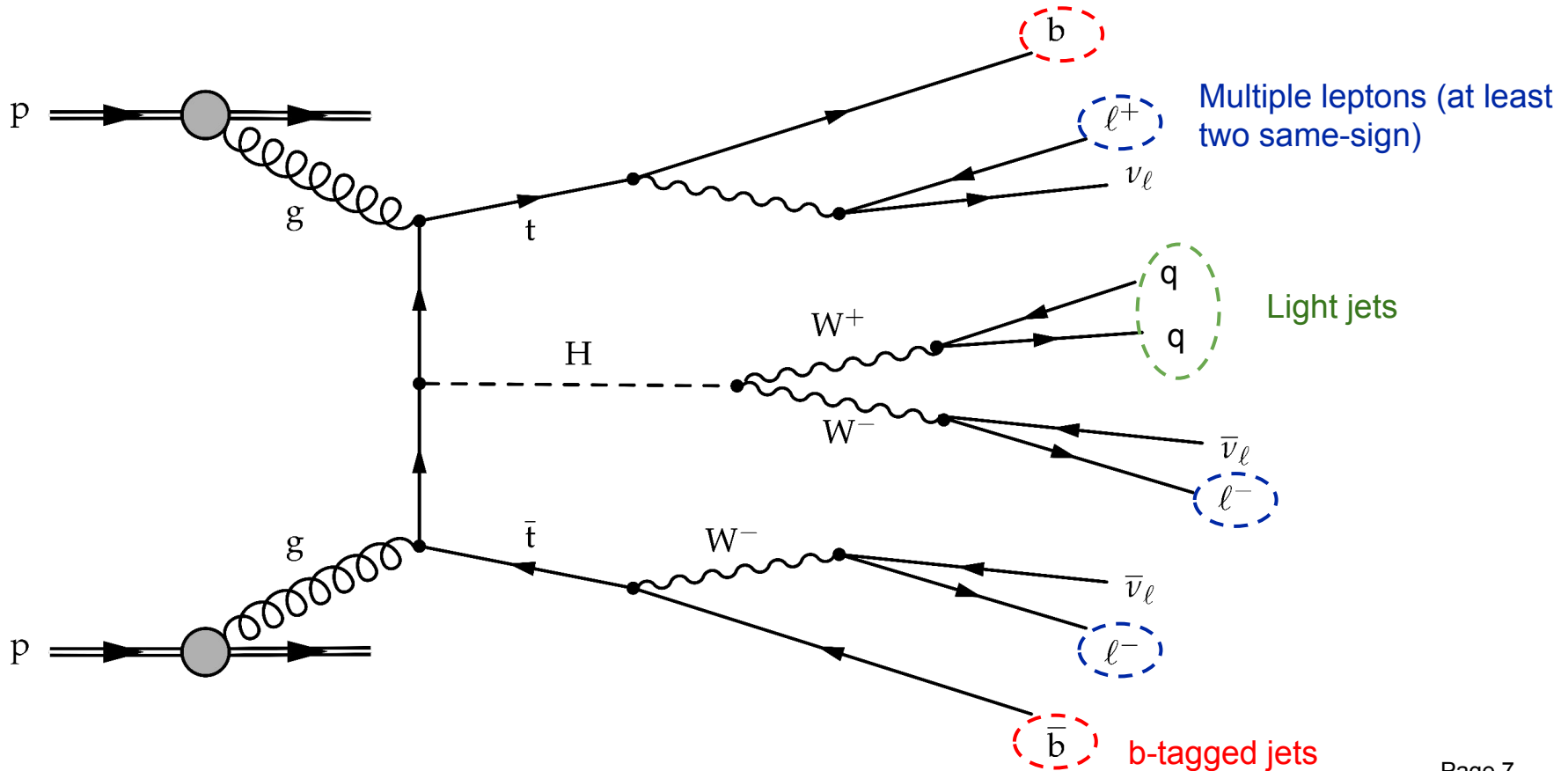


ttbb

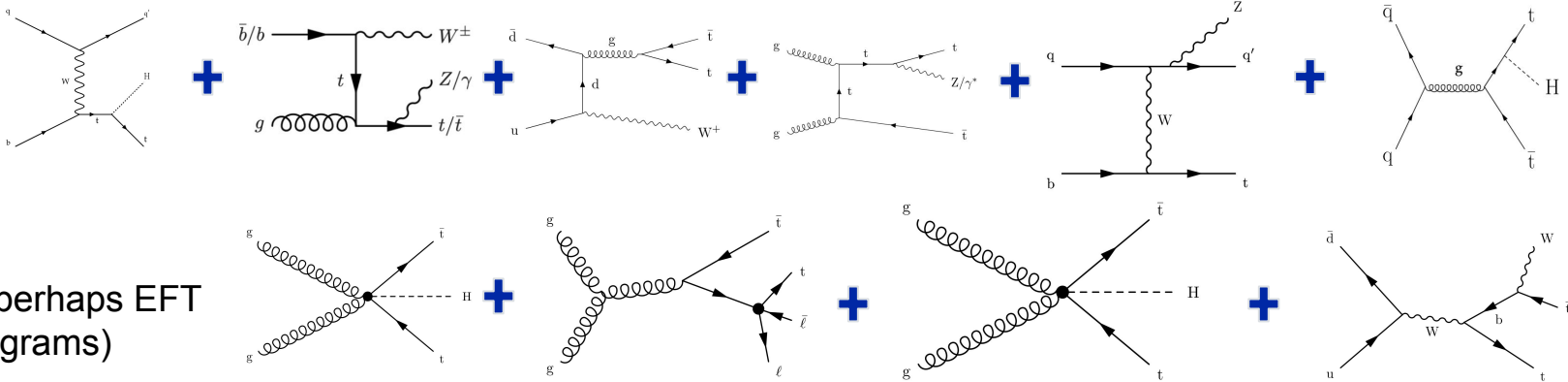
See Soureek's talk for many dedicated measurements

See Jack's talk for more exotic interactions with LFV

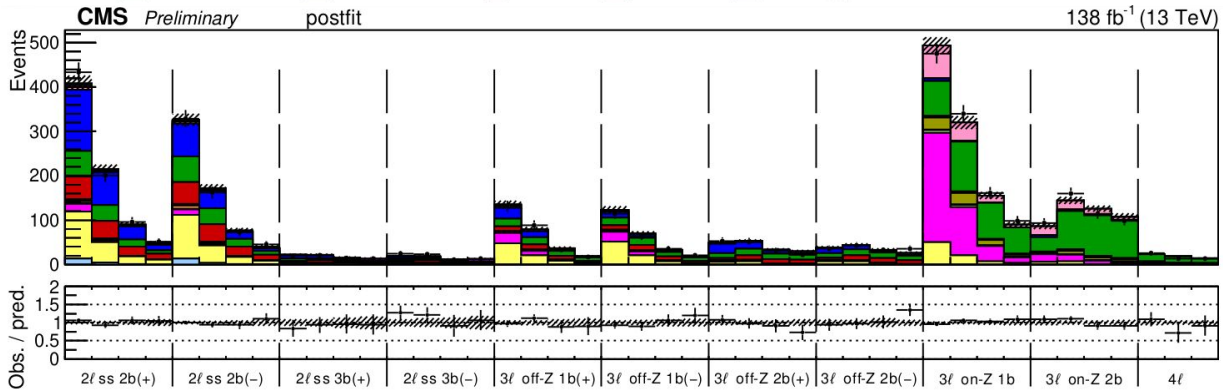
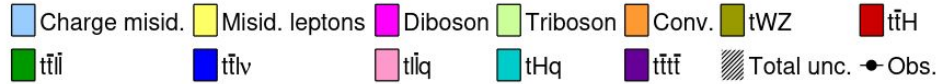
# Experimental signatures



# The multilepton final state



+ (perhaps EFT diagrams)





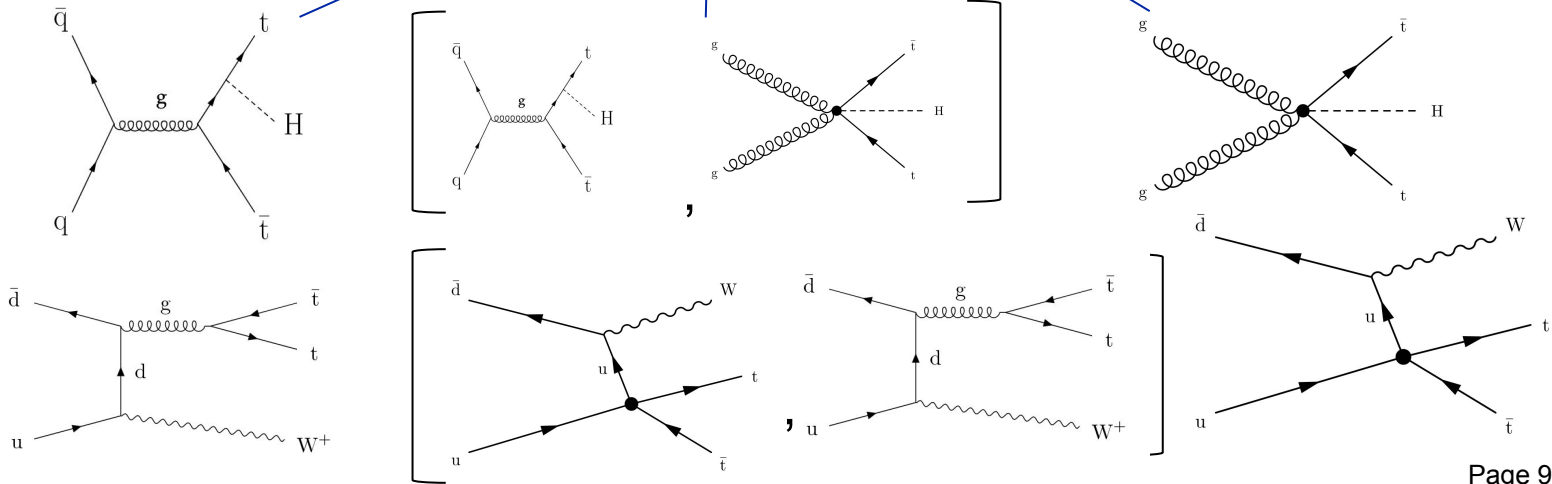
# EFT parametrization

- SM and BSM contribution to the total yield is estimated with simulations
  - Observed events depend quadratically on the Wilson coefficients (WCs)
- The effect of all operators is taken into account for all processes simultaneously

$$\mathcal{M} = \mathcal{M}_{\text{SM}} + \sum \frac{c_i}{\Lambda^2} \mathcal{M}_i$$



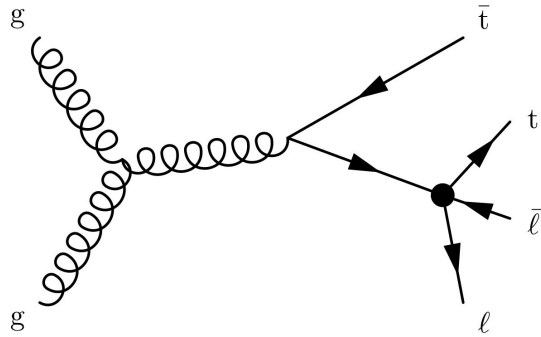
$$n = n_{\text{SM}} + \sum_i L_i \frac{c_i}{\Lambda^2} + \sum_i Q_{ij} \frac{c_i c_j}{\Lambda^4}$$



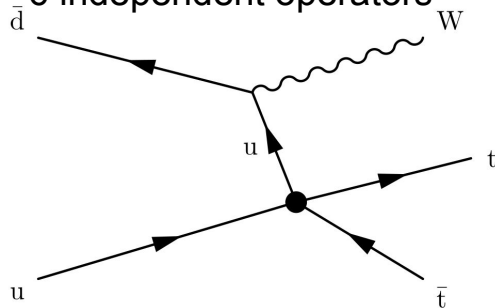
# EFT operators of interest

We consider a total of **26 independent operators**

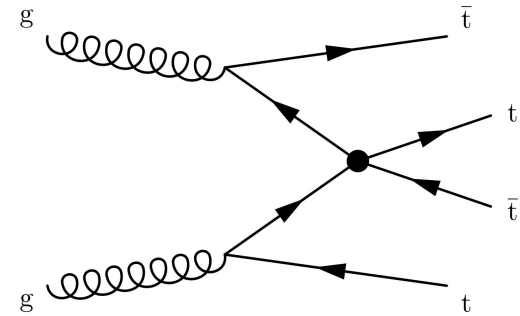
2-top-2-lepton operators  
7 independent operators



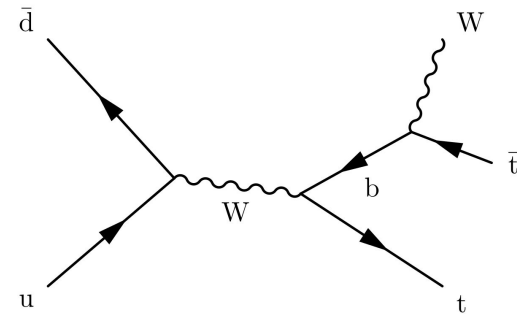
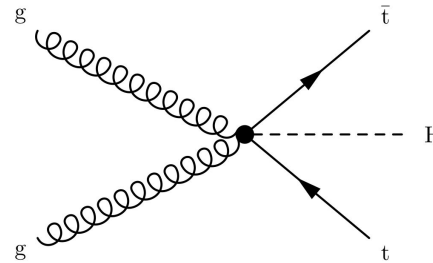
2-heavy-2-light-quark operators  
6 independent operators



4-heavy-quark operators  
4 independent operators

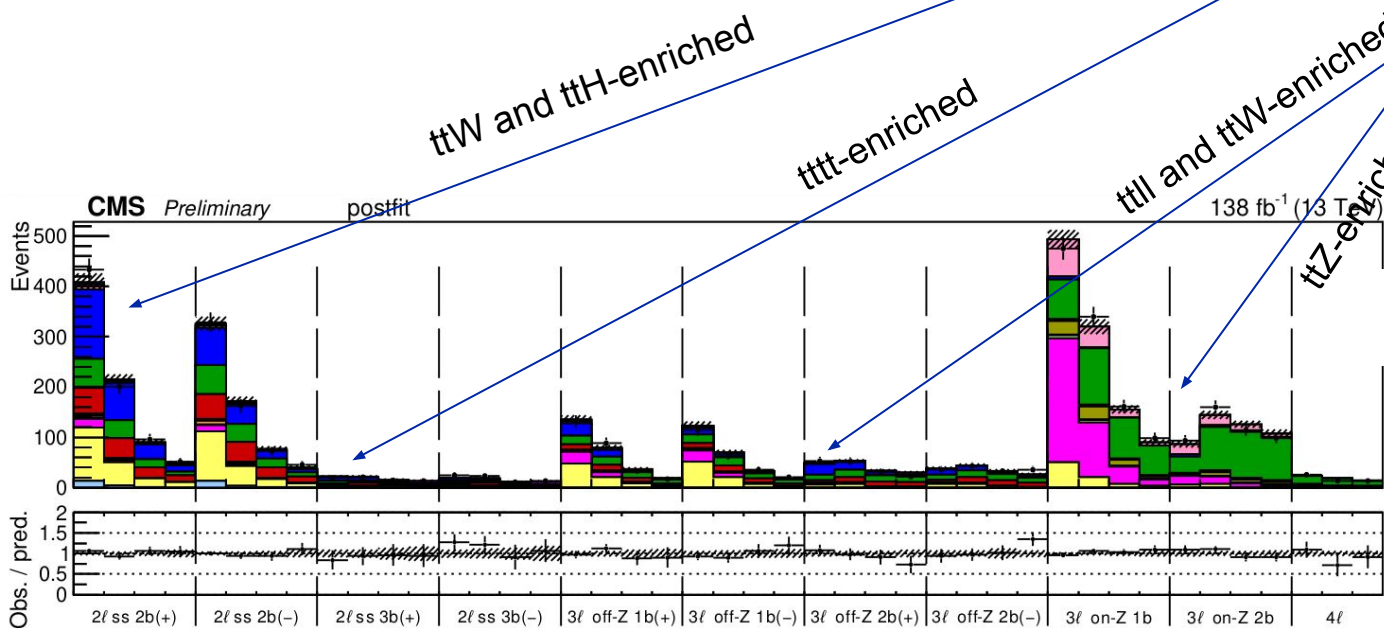
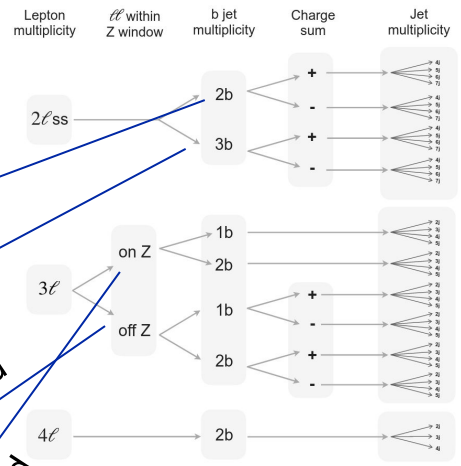


top+boson operators  
9 operators

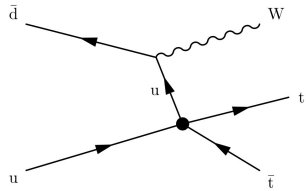


# Observables considered

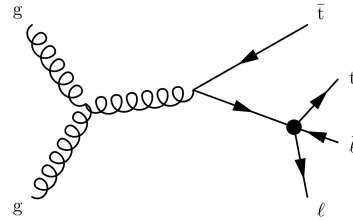
- We consider the number of observed events in a set of signal regions
- Regions are defined based on lepton/jet multiplicity and event kinematics to separate different processes



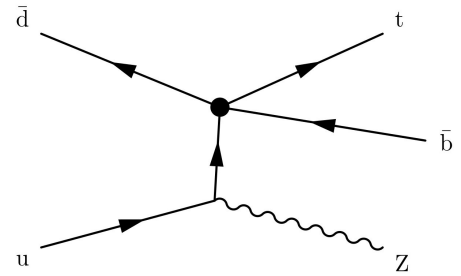
# Observables considered



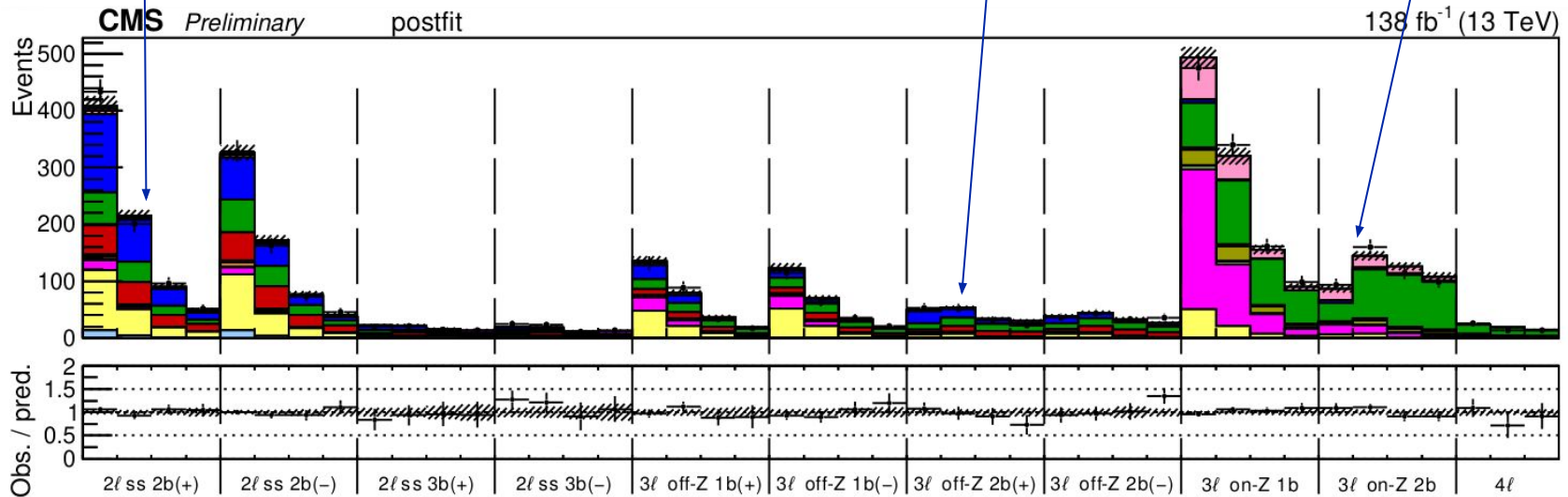
2-heavy-2-light quarks op.



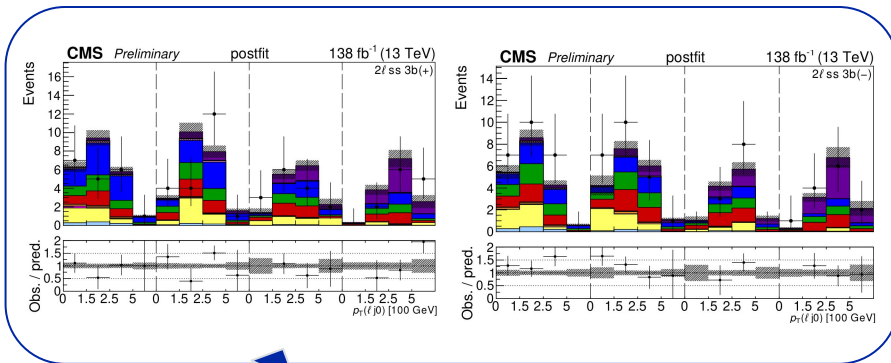
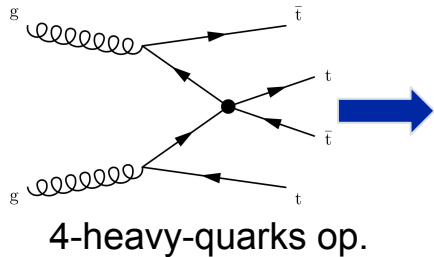
2-top-2-lepton op.



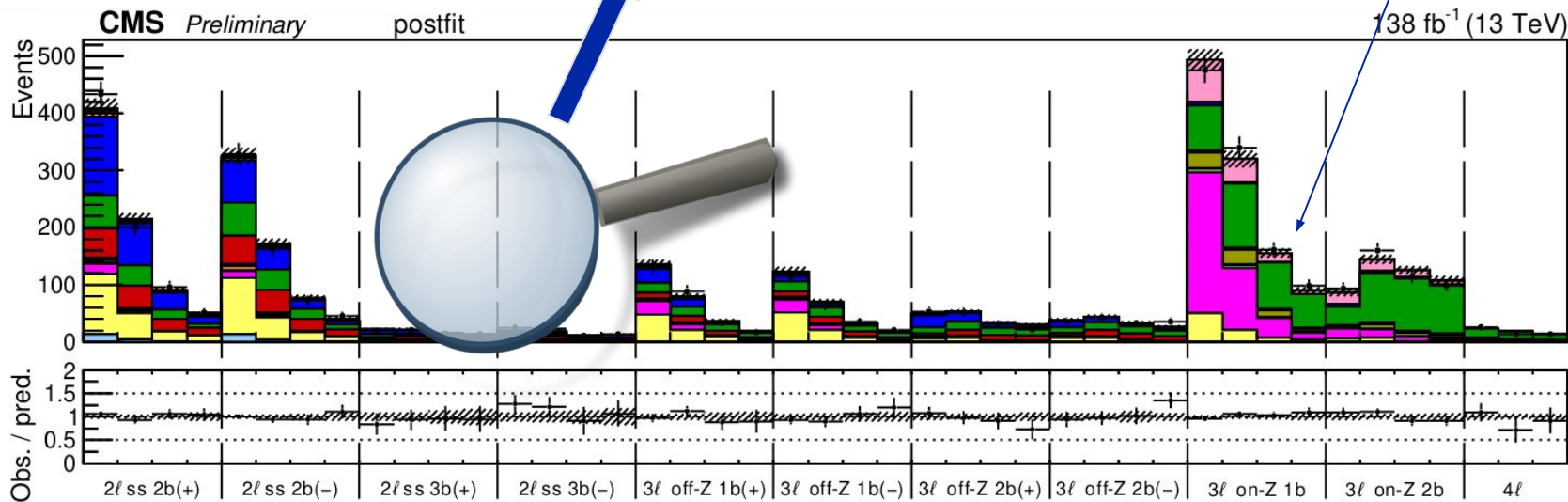
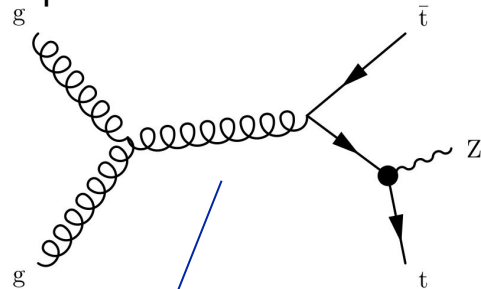
2-heavy-2-light quarks op.



# Observables considered



ttZ top+boson operators

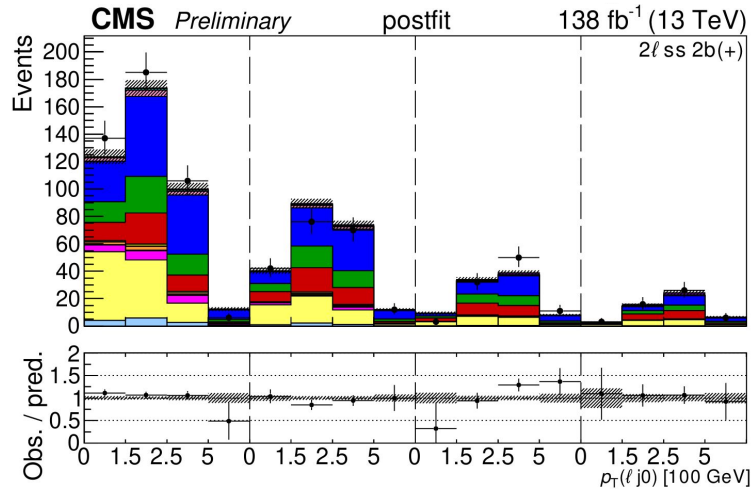
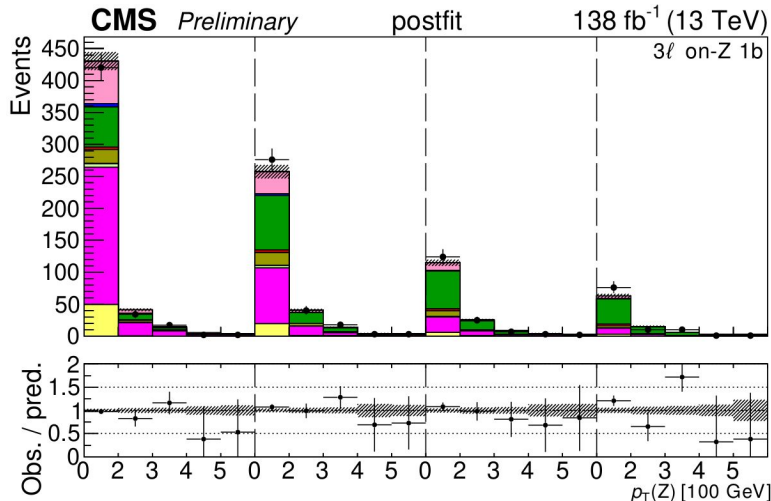
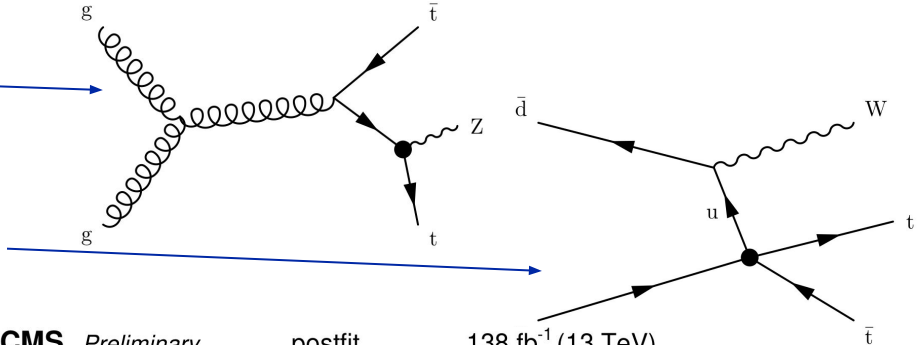


# EFT kinematic dependence

- Sensitivity is enhanced by exploiting the kinematic dependence of EFT vertices
- Different variable used in each category depending on the targeted operators

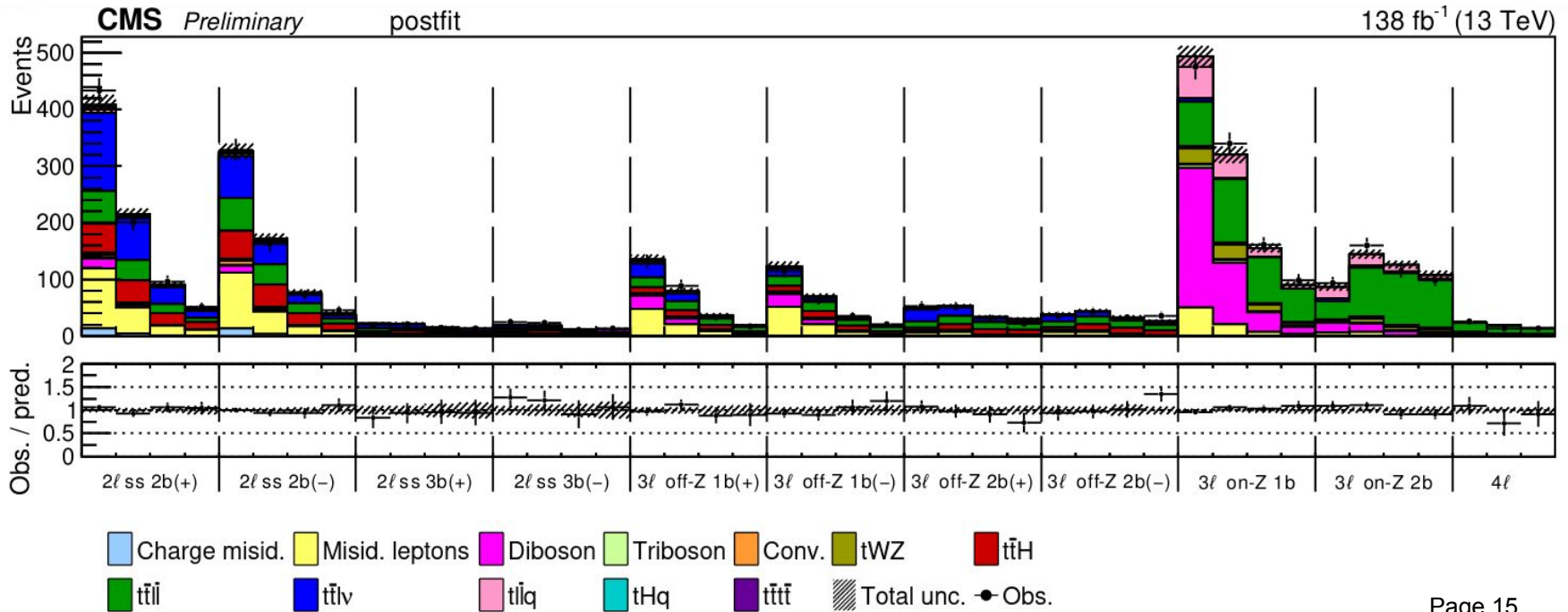
Regions sensitive to ttZ (most of 3l on-Z)  
Using the  $p_T$  of the Z boson candidate

Other regions  
Using the  $p_T$  of the most energetic pair of lepton and jet



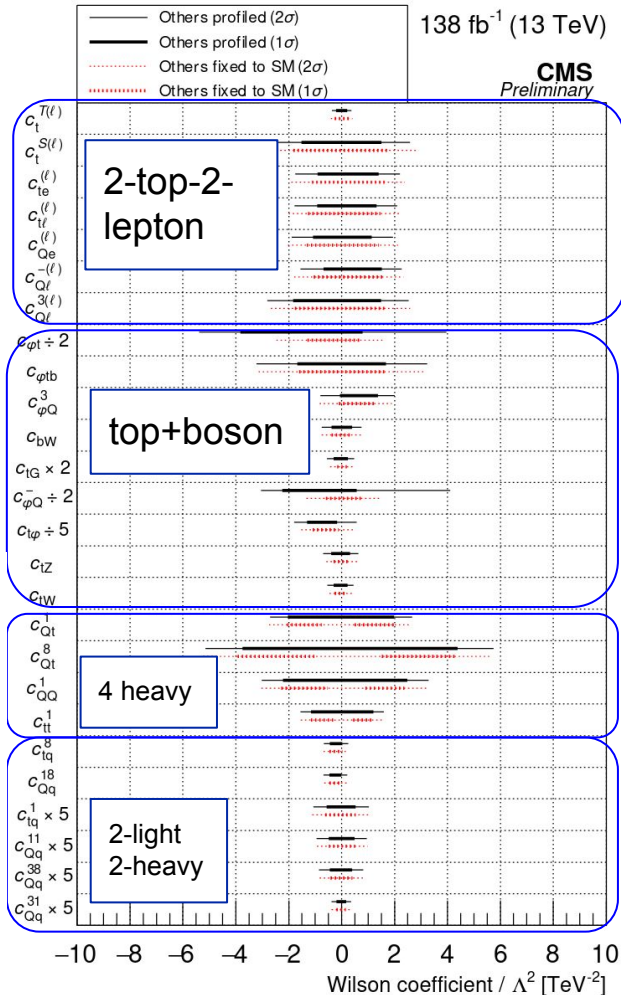
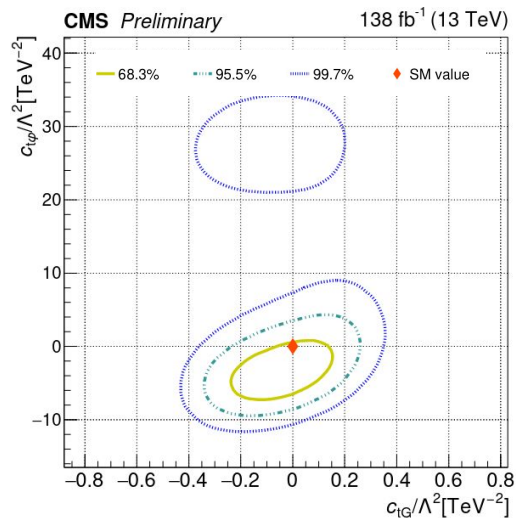
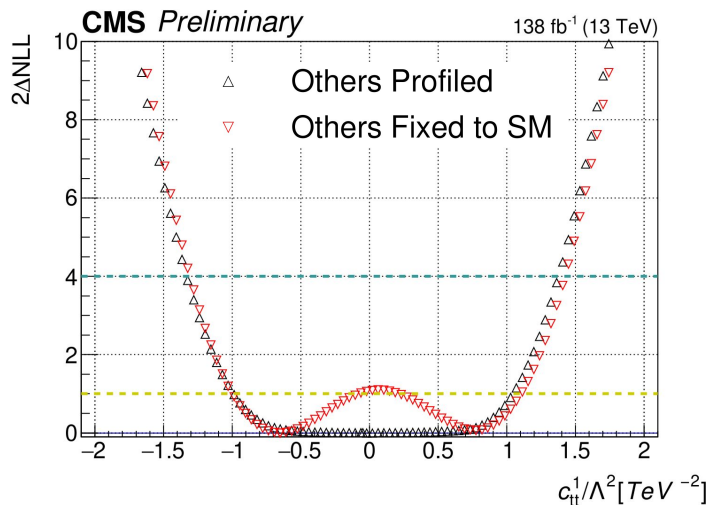
# Background estimation

- Background dominated by WZ production → estimated with simulations and validated in control regions
- Nonprompt leptons have also a sizable contribution → estimated using data driven methods



# Results

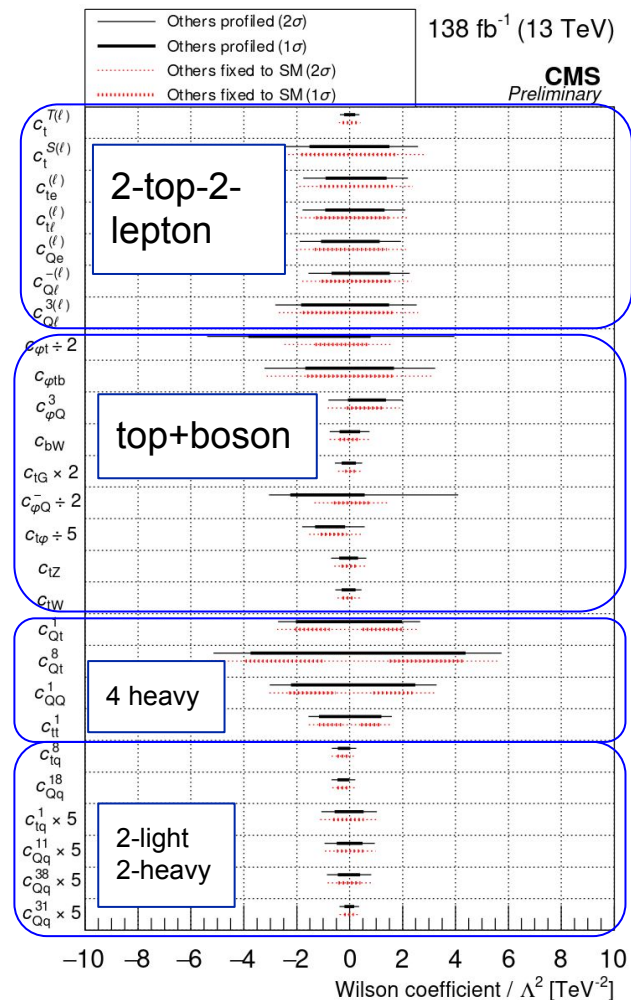
- No significant deviations from SM observed
- Setting constraints on Wilson coefficients
  - Measuring a single coefficient at a time
  - Measuring all of them simultaneously





# Results

- Limits on WC are obtained assuming  $\Lambda = 1$  TeV
- Assuming WCs  $\sim 1$ , we can set limits on the scale of the UV theory
  - 2-top-2-lepton operators:  $\Lambda > \mathcal{O}(800 \text{ GeV}) - \mathcal{O}(1 \text{ TeV})$
  - top+boson operators:  $\Lambda > \mathcal{O}(300 \text{ GeV}) - \mathcal{O}(1 \text{ TeV})$
  - 4-heavy-quark-operators:  $\Lambda > \mathcal{O}(700 \text{ GeV}) - \mathcal{O}(1 \text{ TeV})$
  - 2-light-2-heavy operators:  $\Lambda > \mathcal{O}(1 - 3 \text{ TeV})$

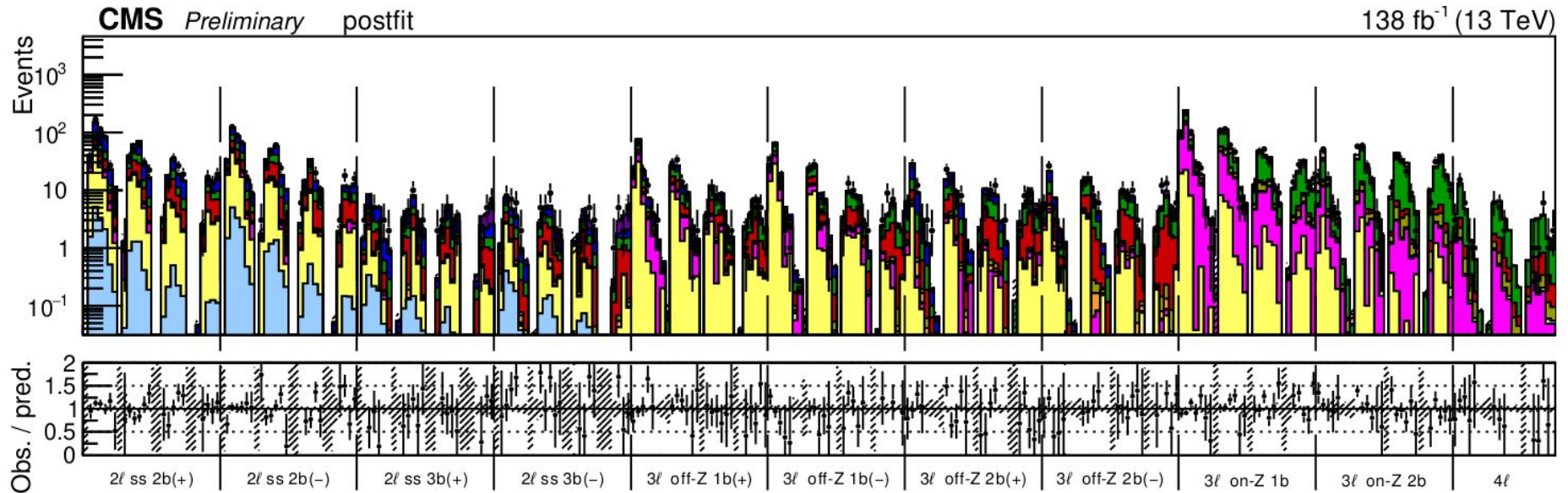


# Summary and outlook

- CMS is developing a comprehensive program of measurements and searches involving top production
- We have searched for new physics in selections enriched in  $t\bar{t}+X$ ,  $t+X$  and  $t\bar{t}\bar{t}\bar{t}$  production
  - Interesting set of processes, sensitive to interactions of the top with other particles
  - We have set limits on 26 different operators
- Long (and interesting) future ahead for EFT searches:
  - Considering more top quark-related processes and more operators
  - Improving EFT modeling
  - More data will allow us to probe rarer processes

# Back-up

# Fitted variable



# Operators considered

## Operators involving two quarks and one or more bosons

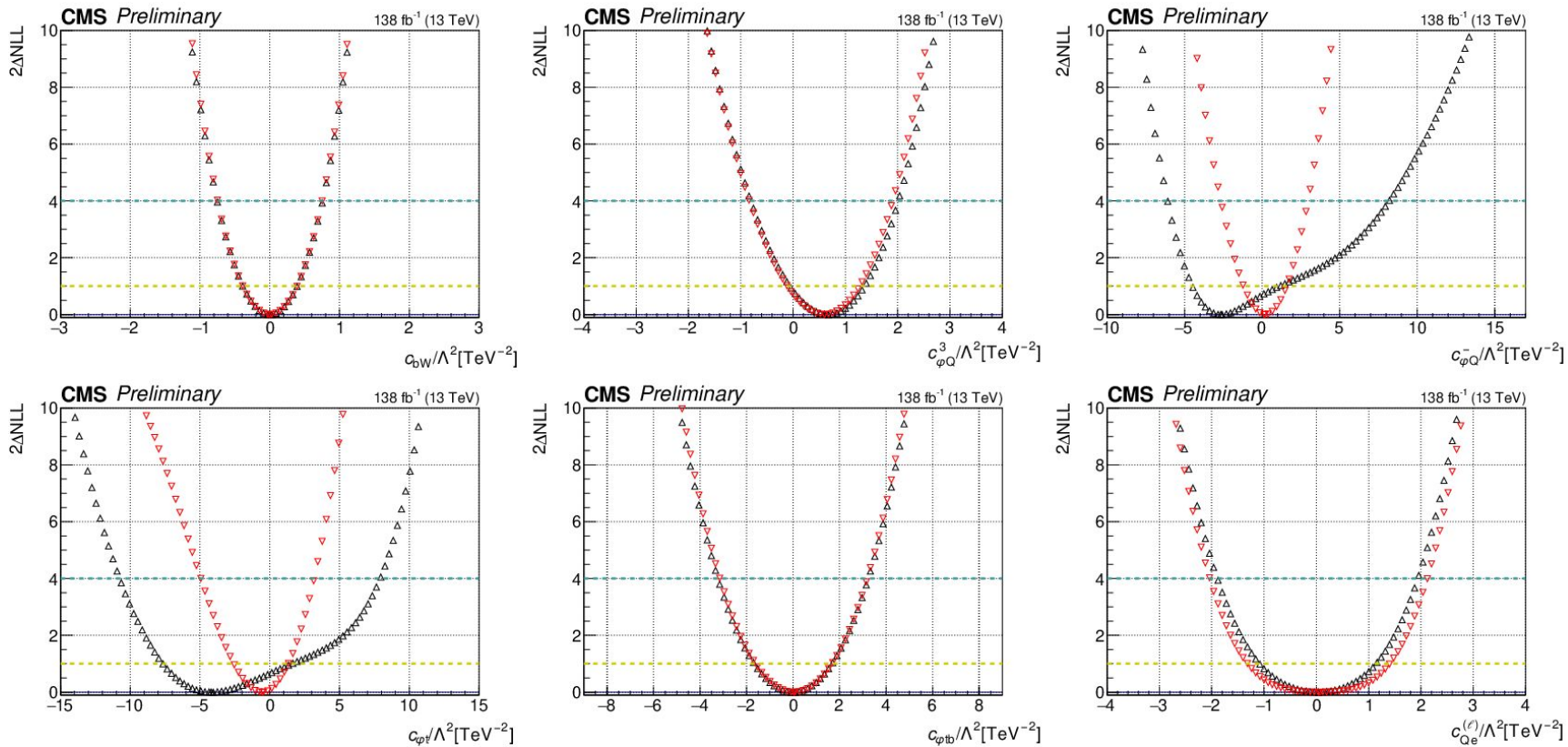
Operator	Definition	WC	Lead processes affected
$\dagger O_{u\varphi}^{(ij)}$	$\bar{q}_i u_j \tilde{\varphi} (\varphi^\dagger \varphi)$	$c_{t\varphi} + ic_{t\varphi}^I$	$t\bar{t}H, tHq$
$O_{\varphi q}^{1(ij)}$	$(\varphi^\dagger i\overleftrightarrow{D}_\mu \varphi)(\bar{q}_i \gamma^\mu q_j)$	$c_{\varphi Q}^- + c_{\varphi Q}^3$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$O_{\varphi q}^{3(ij)}$	$(\varphi^\dagger i\overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_i \gamma^\mu \tau^I q_j)$	$c_{\varphi Q}^3$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$O_{\varphi u}^{(ij)}$	$(\varphi^\dagger i\overleftrightarrow{D}_\mu \varphi)(\bar{u}_i \gamma^\mu u_j)$	$c_{\varphi t}$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, t\bar{l}q$
$\dagger O_{\varphi ud}^{(ij)}$	$(\tilde{\varphi}^\dagger iD_\mu \varphi)(\bar{u}_i \gamma^\mu d_j)$	$c_{\varphi tb} + ic_{\varphi tb}^I$	$t\bar{t}H, t\bar{l}q, tHq$
$\dagger O_{uW}^{(ij)}$	$(\bar{q}_i \sigma^{\mu\nu} \tau^I u_j) \tilde{\varphi} W_{\mu\nu}^I$	$c_{tW} + ic_{tW}^I$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$\dagger O_{dW}^{(ij)}$	$(\bar{q}_i \sigma^{\mu\nu} \tau^I d_j) \varphi W_{\mu\nu}^I$	$c_{bW} + ic_{bW}^I$	$t\bar{t}H, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$\dagger O_{uB}^{(ij)}$	$(\bar{q}_i \sigma^{\mu\nu} u_j) \tilde{\varphi} B_{\mu\nu}$	$(c_{tW} c_{tW} - c_{tZ})/s_W + i(c_{tW} c_{tW}^I - c_{tZ}^I)/s_W$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$\dagger O_{uG}^{(ij)}$	$(\bar{q}_i \sigma^{\mu\nu} T^A u_j) \tilde{\varphi} G_{\mu\nu}^A$	$g_s(c_{tG} + ic_{tG}^I)$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$

## Operators involving two quarks and two leptons

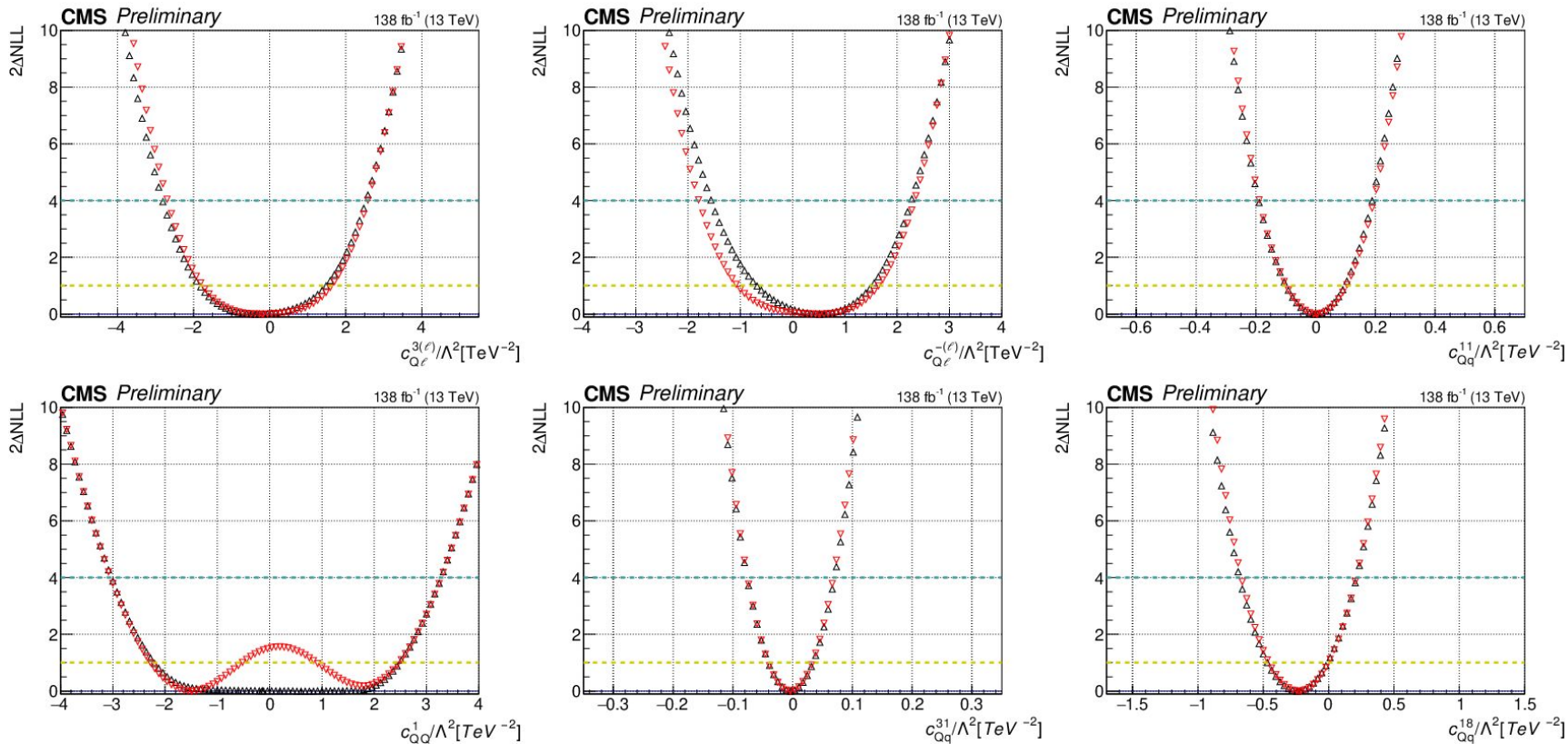
Operator	Definition	WC	Lead processes affected
$O_{\ell q}^{1(ijkl)}$	$(\bar{\ell}_i \gamma^\mu \ell_j)(\bar{q}_k \gamma^\mu q_\ell)$	$c_{Q\ell}^{-(\ell)} + c_{Q\ell}^{3(\ell)}$	$t\bar{t}l\nu, t\bar{t}l\bar{l}, t\bar{l}q$
$O_{\ell q}^{3(ijkl)}$	$(\bar{\ell}_i \gamma^\mu \tau^I \ell_j)(\bar{q}_k \gamma^\mu \tau^I q_\ell)$	$c_{Q\ell}^{3(\ell)}$	$t\bar{t}l\nu, t\bar{t}l\bar{l}, t\bar{l}q$
$O_{\ell u}^{(ijkl)}$	$(\bar{\ell}_i \gamma^\mu \ell_j)(\bar{u}_k \gamma^\mu u_\ell)$	$c_{t\ell}^{(\ell)}$	$t\bar{t}l\bar{l}$
$O_{e\bar{q}}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j)(\bar{q}_k \gamma^\mu q_\ell)$	$c_{Qe}^{(\ell)}$	$t\bar{t}l\bar{l}, t\bar{l}q$
$O_{eu}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j)(\bar{u}_k \gamma^\mu u_\ell)$	$c_{te}^{(\ell)}$	$t\bar{t}l\bar{l}$
$\dagger O_{\ell equ}^{1(ijkl)}$	$(\bar{\ell}_i e_j) \varepsilon (\bar{q}_k u_\ell)$	$c_t^{S(\ell)} + ic_t^{SI(\ell)}$	$t\bar{t}l\bar{l}, t\bar{l}q$
$\dagger O_{\ell equ}^{3(ijkl)}$	$(\bar{\ell}_i \sigma^{\mu\nu} e_j) \varepsilon (\bar{q}_k \sigma_{\mu\nu} u_\ell)$	$c_t^{T(\ell)} + ic_t^{TI(\ell)}$	$t\bar{t}l\nu, t\bar{t}l\bar{l}, t\bar{l}q$

Operator category	WCs
Two heavy quarks	$c_{t\varphi}, c_{\varphi Q}^-, c_{\varphi Q}^3, c_{\varphi t}, c_{\varphi tb}, c_{tW}, c_{tZ}, c_{bW}, c_{tG}$
Two heavy quarks two leptons	$c_{Q\ell}^{3(\ell)}, c_{Q\ell}^{-\ell}, c_{Qe}^{(\ell)}, c_{t\ell}^{(\ell)}, c_{te}^{(\ell)}, c_t^{S(\ell)}, c_t^{T(\ell)}$
Two light quarks two heavy quarks	$c_{Qq}^{31}, c_{Qq}^{38}, c_{Qq}^{11}, c_{Qq}^{18}, c_{tq}^1, c_{tq}^8$
Four heavy quarks	$c_{QQ}^1, c_{Qt}^1, c_{Qt}^8, c_{tt}^1$

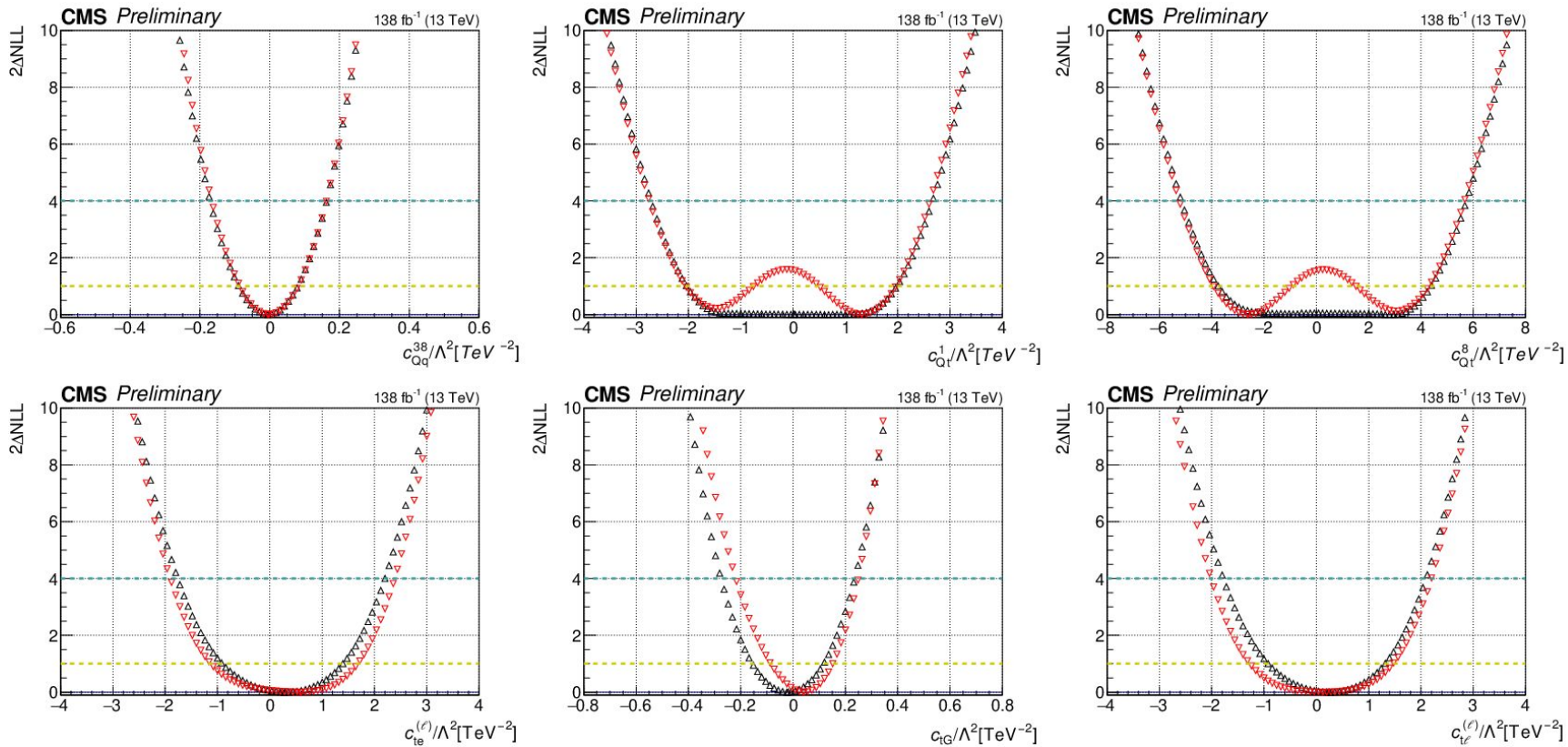
# Results per operator (I)



# Results per operator (II)

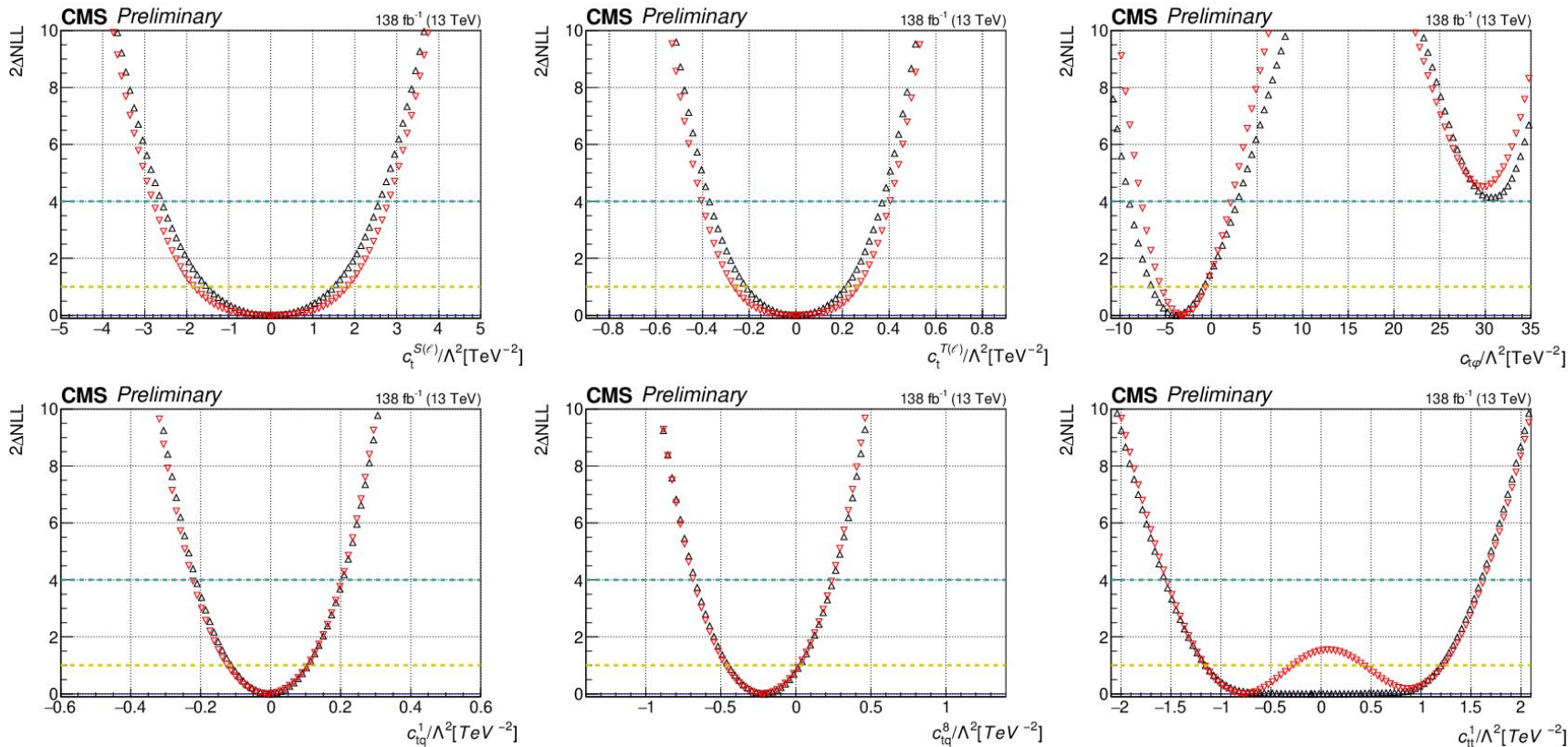


# Results per operator (III)

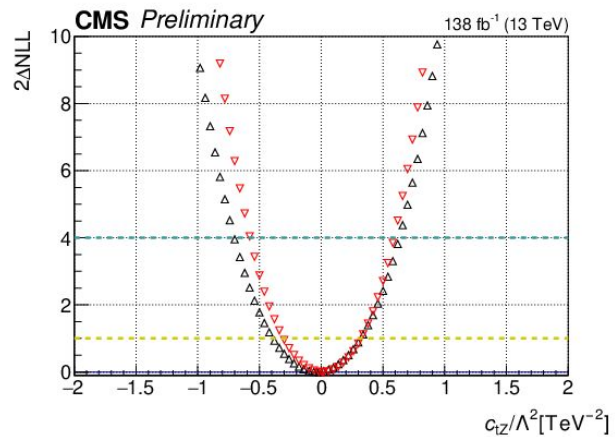
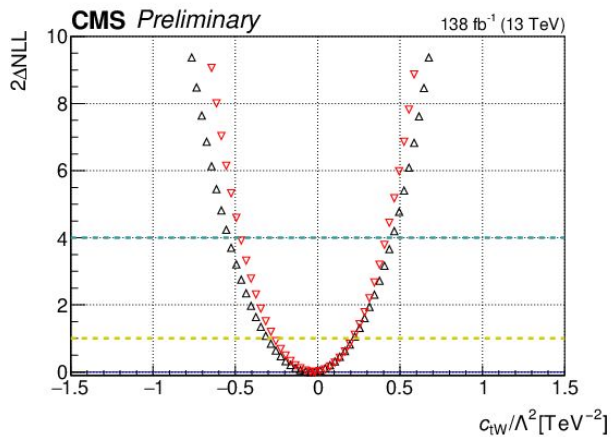




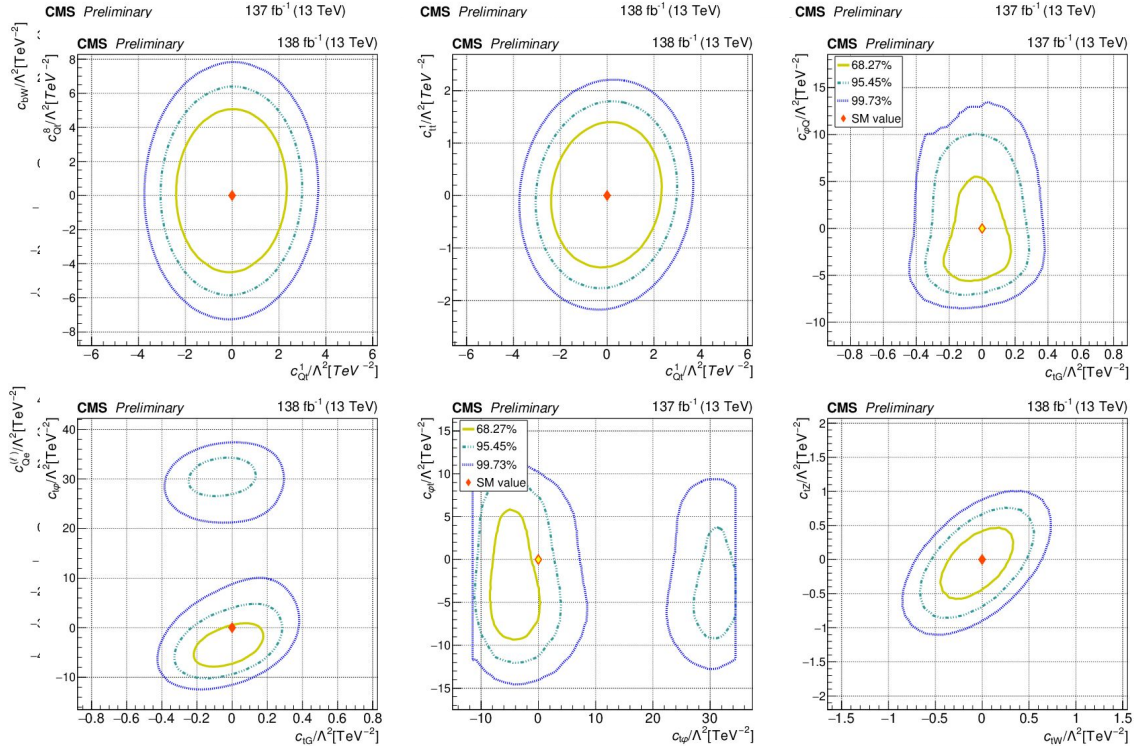
# Results per operator (IV)



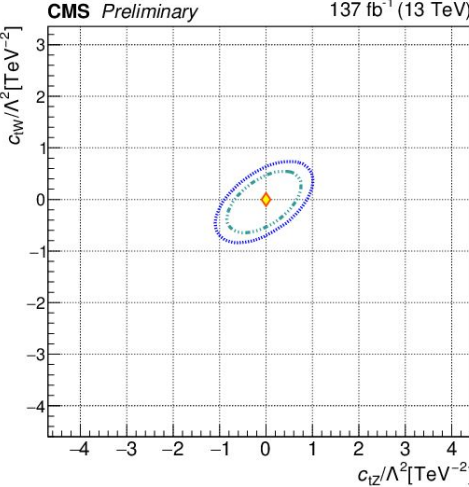
# Results per operator (V)



# Results per pairs (I)

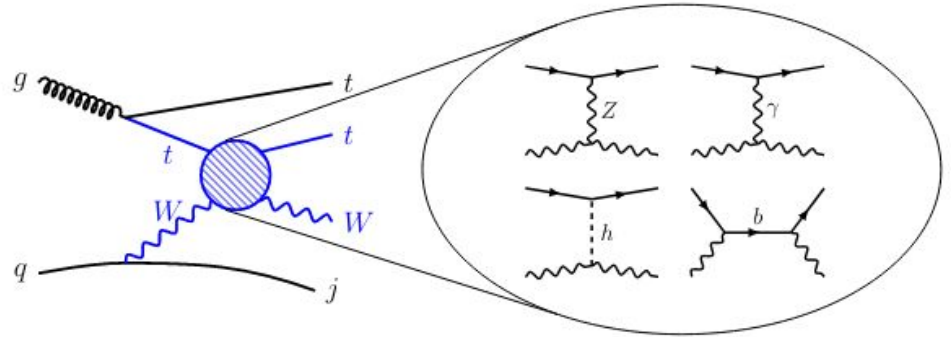


# Results per pairs (II)



# ttW modeling

- ttW is modeled using LO samples with an extra parton
- We assume a cross section of
- We have observed a good agreement between LO and NLO samples
- LO ttW+1jet samples include
- ttW receives contribution from
  - Two-light-two-heavy-quark operators
  - Top+lepton operators inducing charged currents
  - Top+boson operators



# Detailed event selection

Event category	Leptons	$m_{\ell\ell}$	b-tags	Lepton charge sum	Jets	Differential variable
2lss 2b	2	No requirement	2	$> 0, < 0$	4,5,6, $\geq 7$	$p_T(\ell j_0)$
2lss 3b	2	No requirement	$\geq 3$	$> 0, < 0$	4,5,6, $\geq 7$	$p_T(\ell j_0)$
3l off-Z 1b	3	$ m_Z - m_{\ell\ell}  > 10 \text{ GeV}$	1	$> 0, < 0$	2,3,4, $\geq 5$	$p_T(\ell j_0)$
3l off-Z 2b	3	$ m_Z - m_{\ell\ell}  > 10 \text{ GeV}$	$\geq 2$	$> 0, < 0$	2,3,4, $\geq 5$	$p_T(\ell j_0)$
3l on-Z 1b	3	$ m_Z - m_{\ell\ell}  \leq 10 \text{ GeV}$	1	No requirement	2,3,4, $\geq 5$	$p_T(Z)$
3l on-Z 2b	3	$ m_Z - m_{\ell\ell}  \leq 10 \text{ GeV}$	$\geq 2$	No requirement	2,3,4, $\geq 5$	$p_T(Z)$ or $p_T(\ell j_0)$
4l	$\geq 4$	No requirement	$\geq 2$	No requirement	2,3, $\geq 4$	$p_T(\ell j_0)$

# Flavor assumptions

- Using flavor assumptions from arXiv:1802.07237
- Lepton sector:  $[SU(3)]^3$ 
  - Further restricted: top+lepton couplings assumed to be the same in three generations
- Quark sector:  $U(2)_q \times U(2)_u \times U(2)_d$  among the first and second generations
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