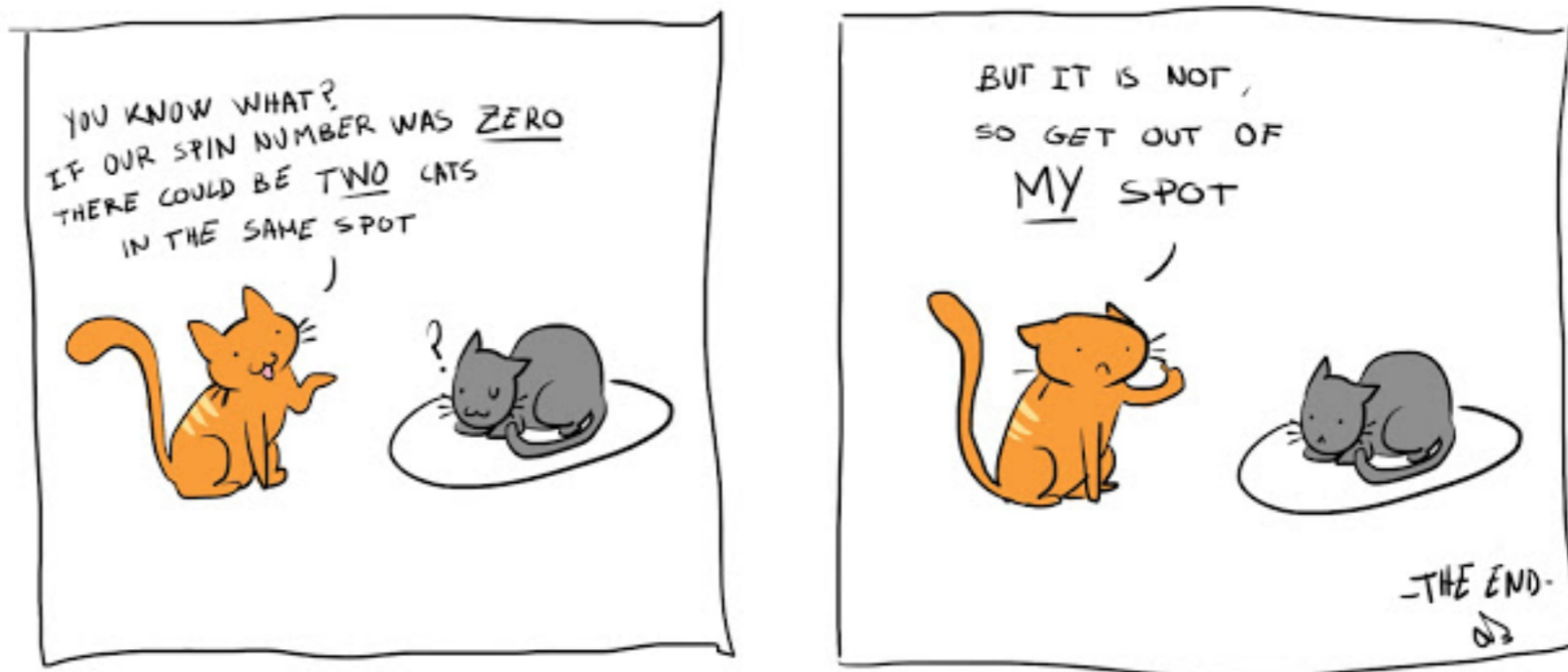


# Dark Matter scattering in low threshold detectors

Simon Knapen

LBNL



<http://dingercatadventures.blogspot.com/2012/08/>

SK, J. Kozaczuk, T. Lin: arXiv 2104.12786, 2101.08275, 2011.09496

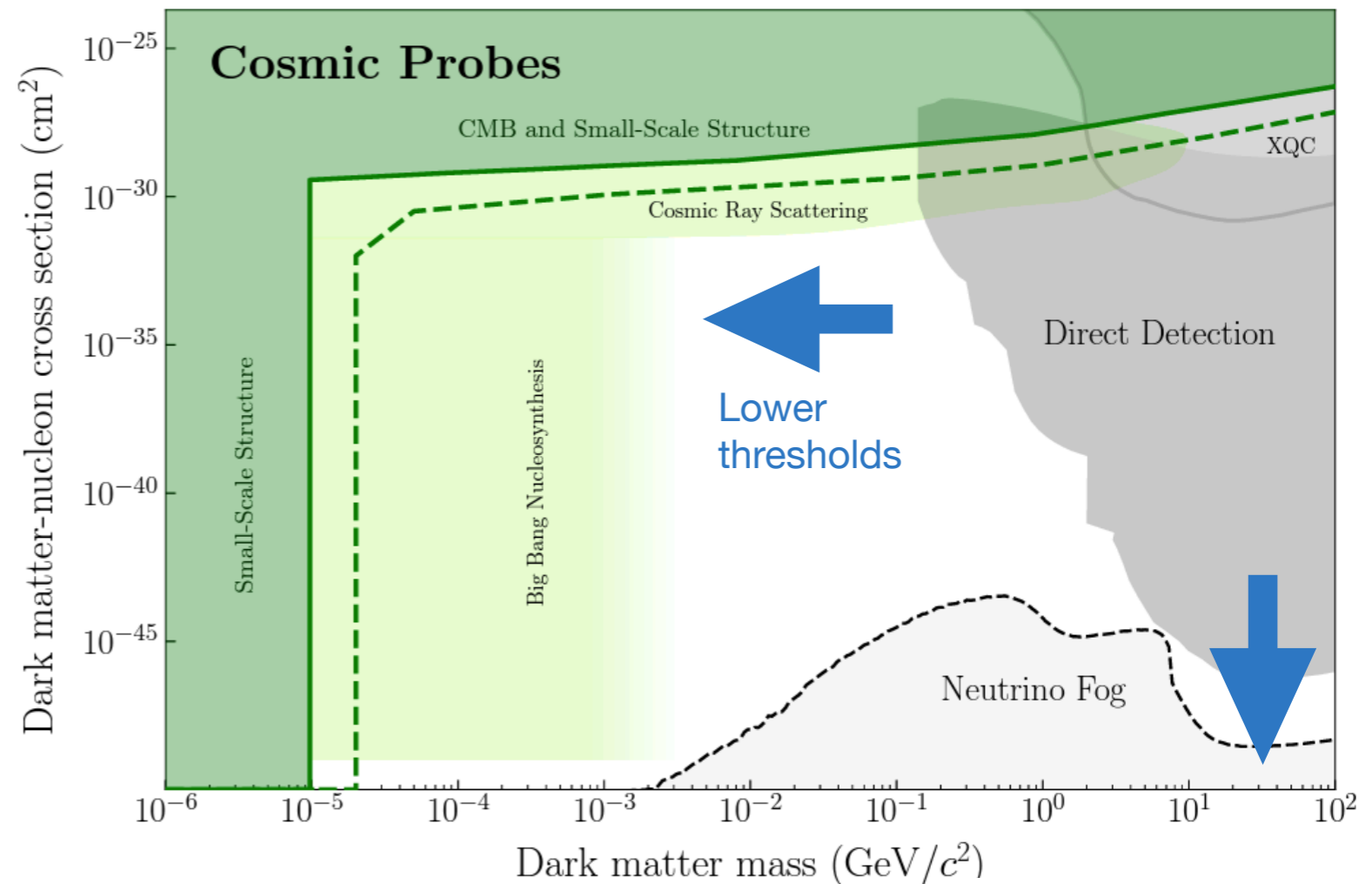
B. Campbell-Deem, SK, T. Lin, E. Villarama: arXiv 2205.02250

# Light dark matter direct detection

What do we need?

Experiment:\*

1. Ultra-low threshold calorimeters (Spice/HeRALD, ...)
2. Single electron detectors (SENSEI, DAMIC, superCDMS, ...)



**MORE XENON!**



\* Gross oversimplification, but focusing on theory for this talk  
More on experiment & references in back-up slides

Plot from arXiv 2211.09978

# Light dark matter direct detection

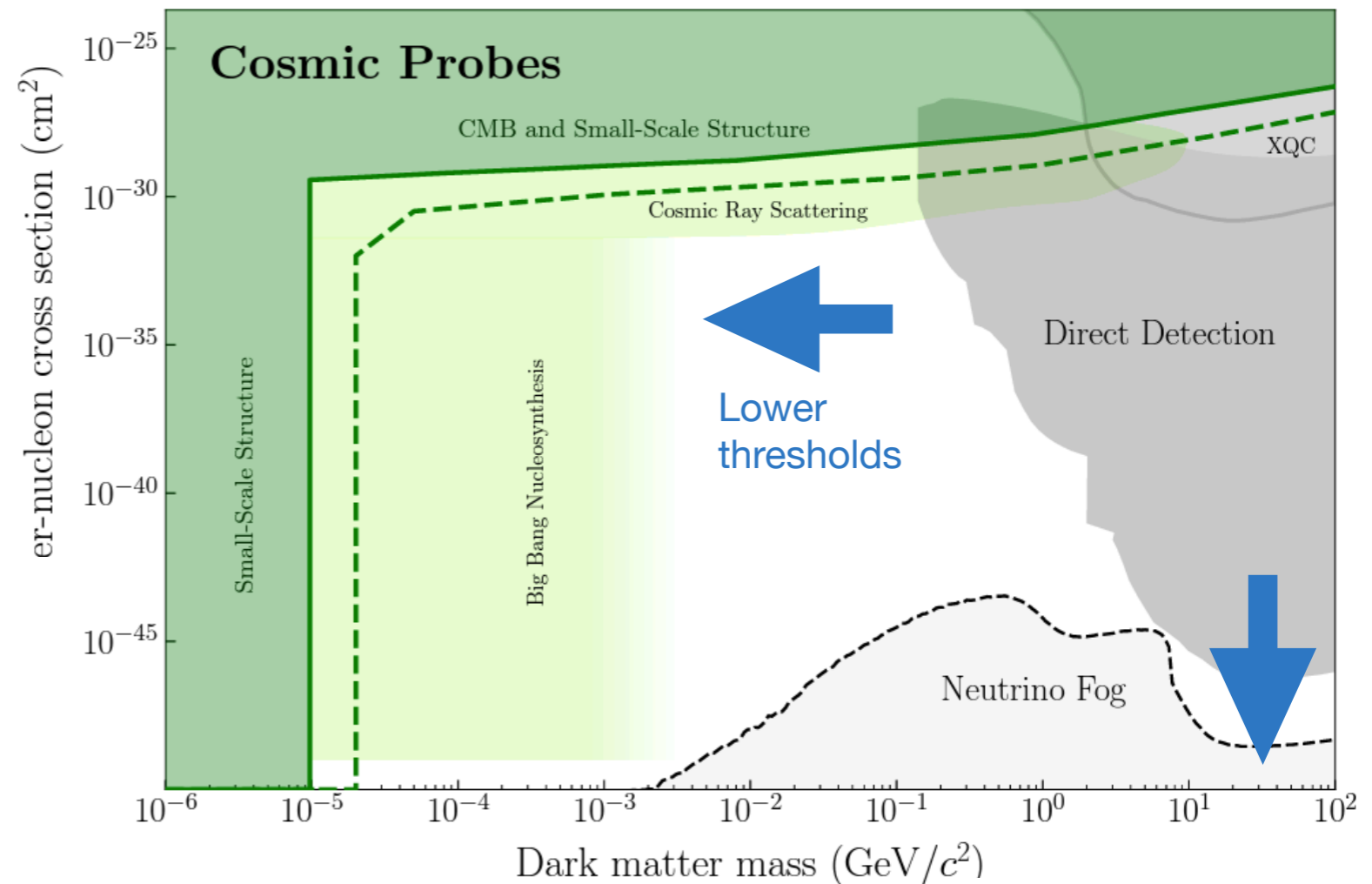
What do we need?

Experiment:\*

1. Ultra-low threshold calorimeters (Spice/HeRALD, ...)
2. Single electron detectors (SENSEI, DAMIC, superCDMS, ...)

Theory:

1. Models (constraints are complicated)
2. Rate calculations (Collective effects important)
3. Background predictions



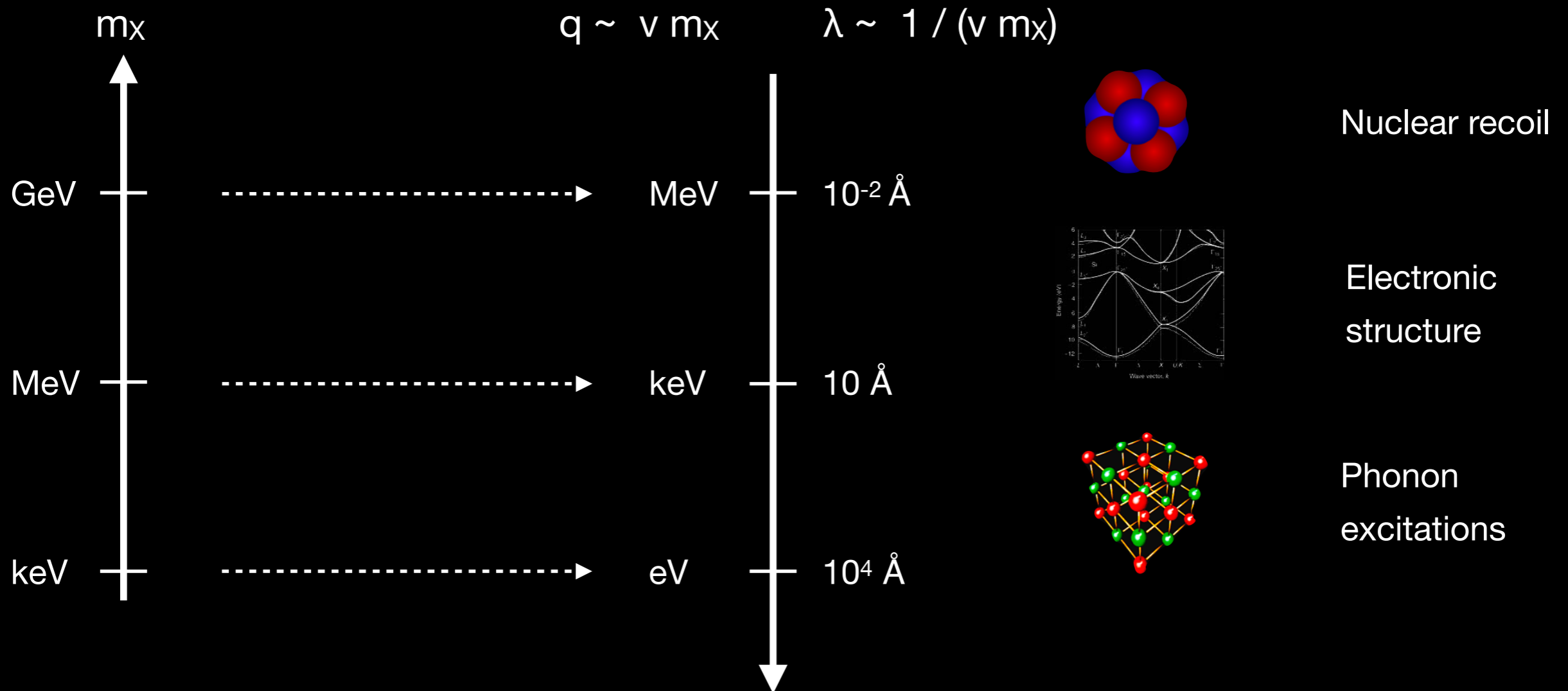
**MORE XENON!**



\* Gross oversimplification, but focusing on theory for this talk  
More on experiment & references in back-up slides

Plot from arXiv 2211.09978

# The need for theory



For light DM deBroglie wavelength  $\gg$  interatomic spacing

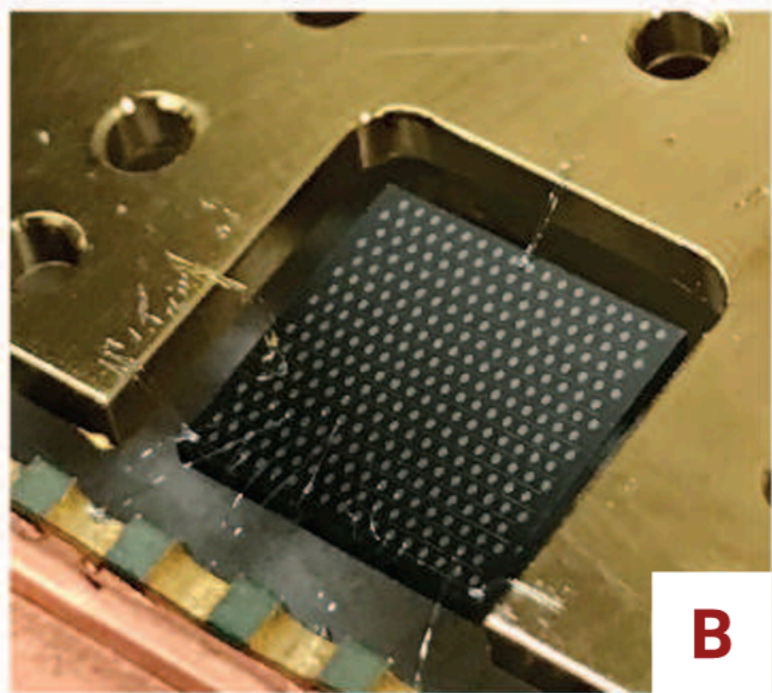


“Billiard ball” nuclear recoil not applicable!



# Calculations needed

Phonon signals



Electronic signals



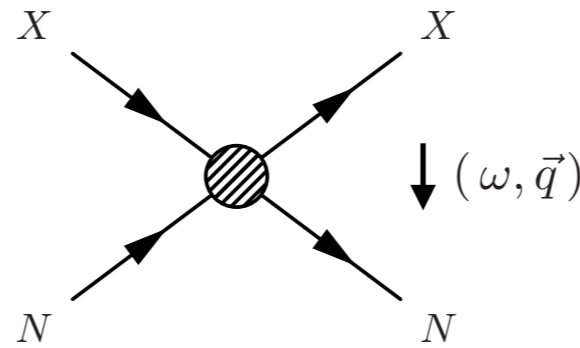
SPICE prototype detector  
R. Anthony-Petersen et. al. arXiv 2208.02790

SENSEI detector  
L. Barak et. al. arXiv 2004.11378

# Phonon Effective Theory

## Nuclear recoil

$$\omega = \frac{q^2}{2m_N}$$



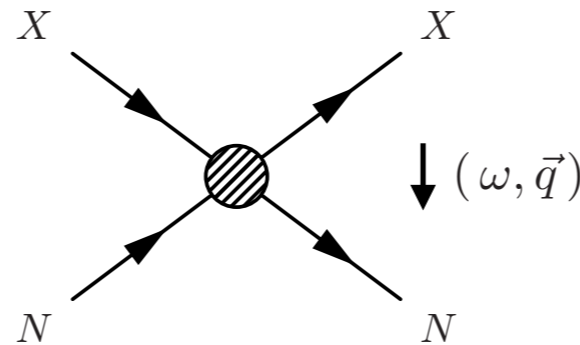
SK, T. Lin, M. Pyle, K. Zurek: arXiv 1712.06598

S. Griffin, SK, T. Lin, M. Pyle, K. Zurek: arXiv 1807.10291

# Phonon Effective Theory

## Nuclear recoil

$$\omega = \frac{q^2}{2m_N}$$

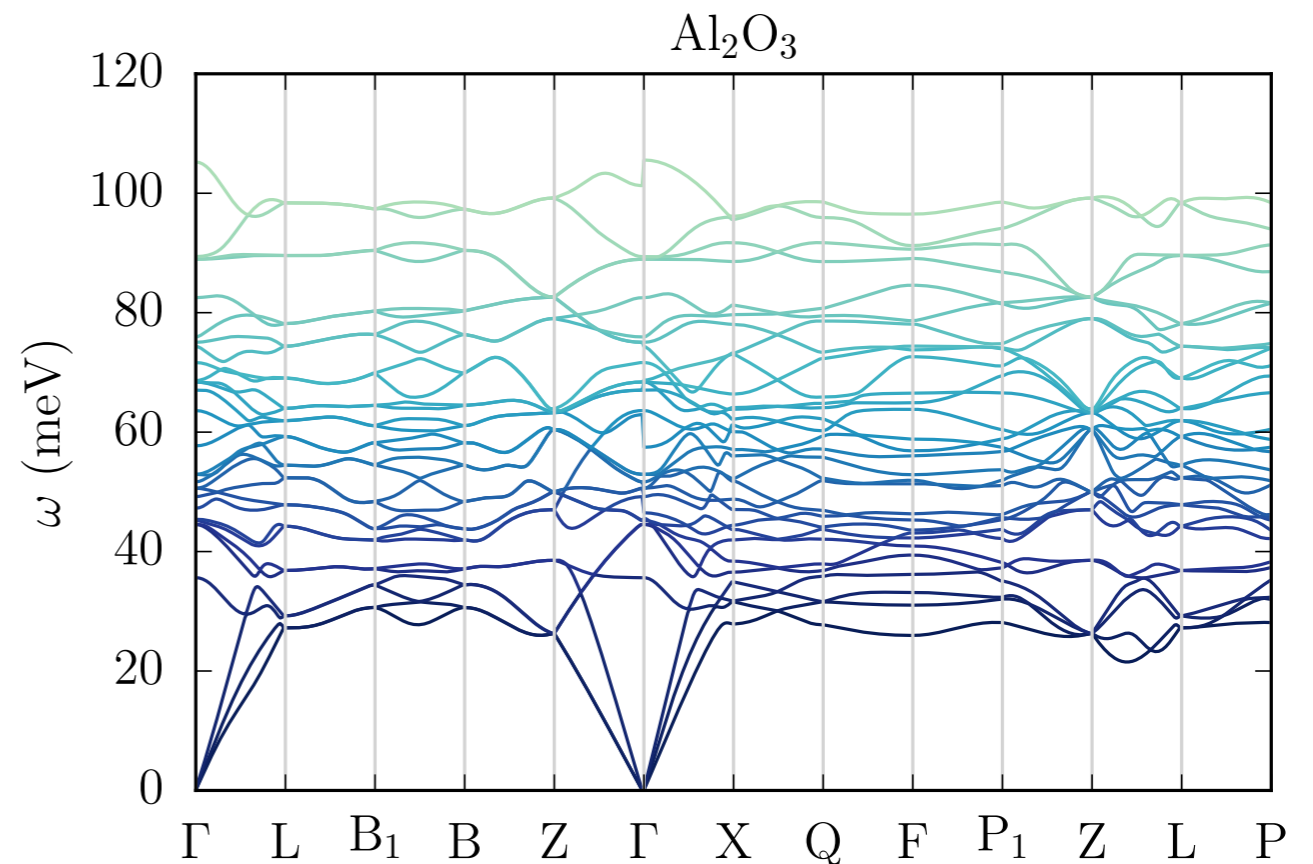


## Phonon regime

$$q \ll \sqrt{2m_N\omega}$$



Momentum exchange is a good expansion parameter  
(phonons are goldstones, similar to chiral perturbation theory)



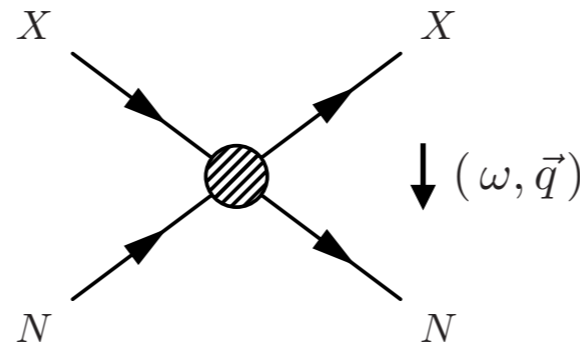
SK, T. Lin, M. Pyle, K. Zurek: arXiv 1712.06598

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# Phonon Effective Theory

## Nuclear recoil

$$\omega = \frac{q^2}{2m_N}$$



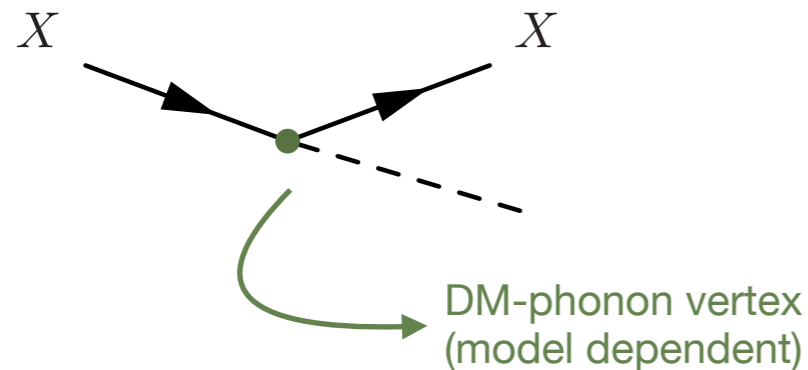
## Phonon regime

$$q \ll \sqrt{2m_N\omega}$$



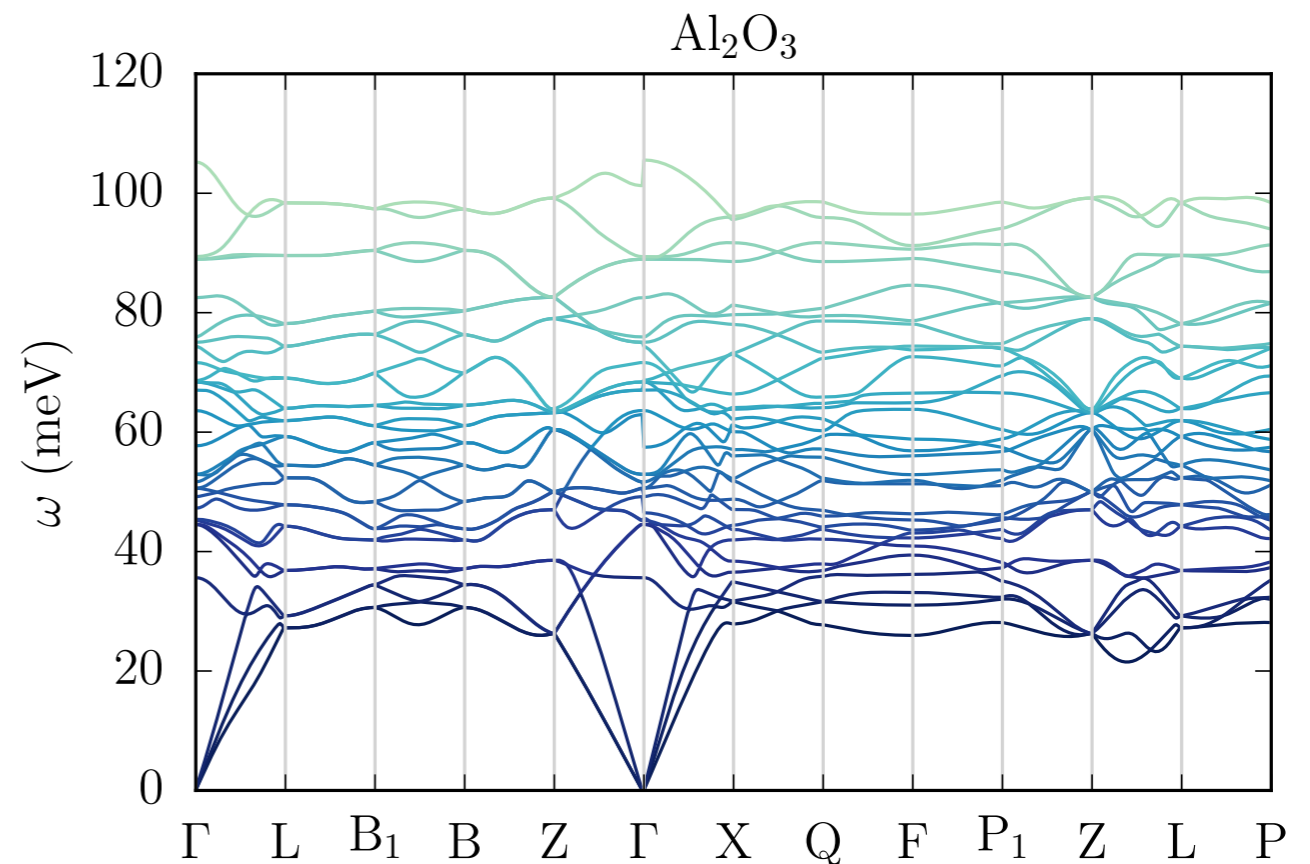
Momentum exchange is a good expansion parameter  
(phonons are goldstones, similar to chiral perturbation theory)

## Leading Order



$$\mathcal{O}(q), \mathcal{O}(q^2) \text{ or } \mathcal{O}(q^4)$$

(Depends on DM model & phonon branch)



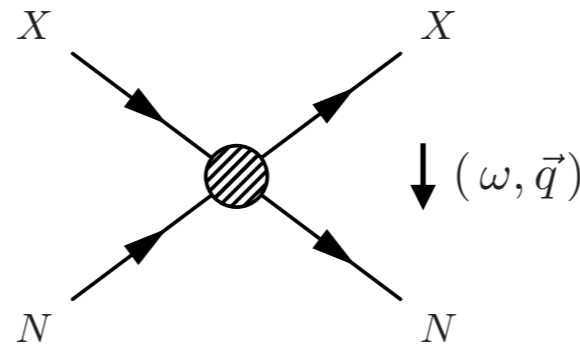
SK, T. Lin, M. Pyle, K. Zurek: arXiv 1712.06598

S. Griffin, SK, T. Lin, M. Pyle, K. Zurek: arXiv 1807.10291

# Phonon Effective Theory

## Nuclear recoil

$$\omega = \frac{q^2}{2m_N}$$



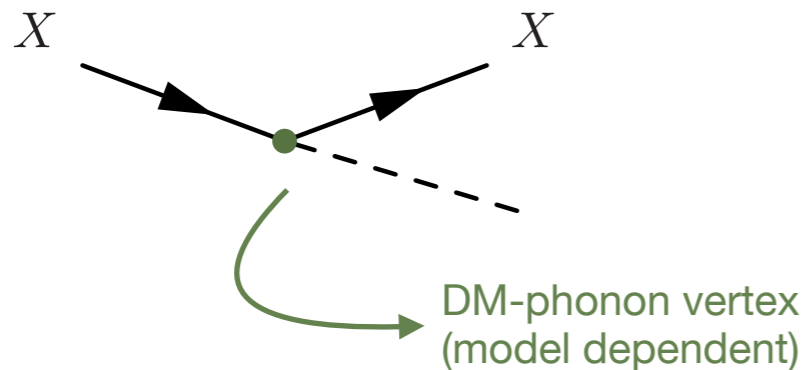
## Phonon regime

$$q \ll \sqrt{2m_N\omega}$$



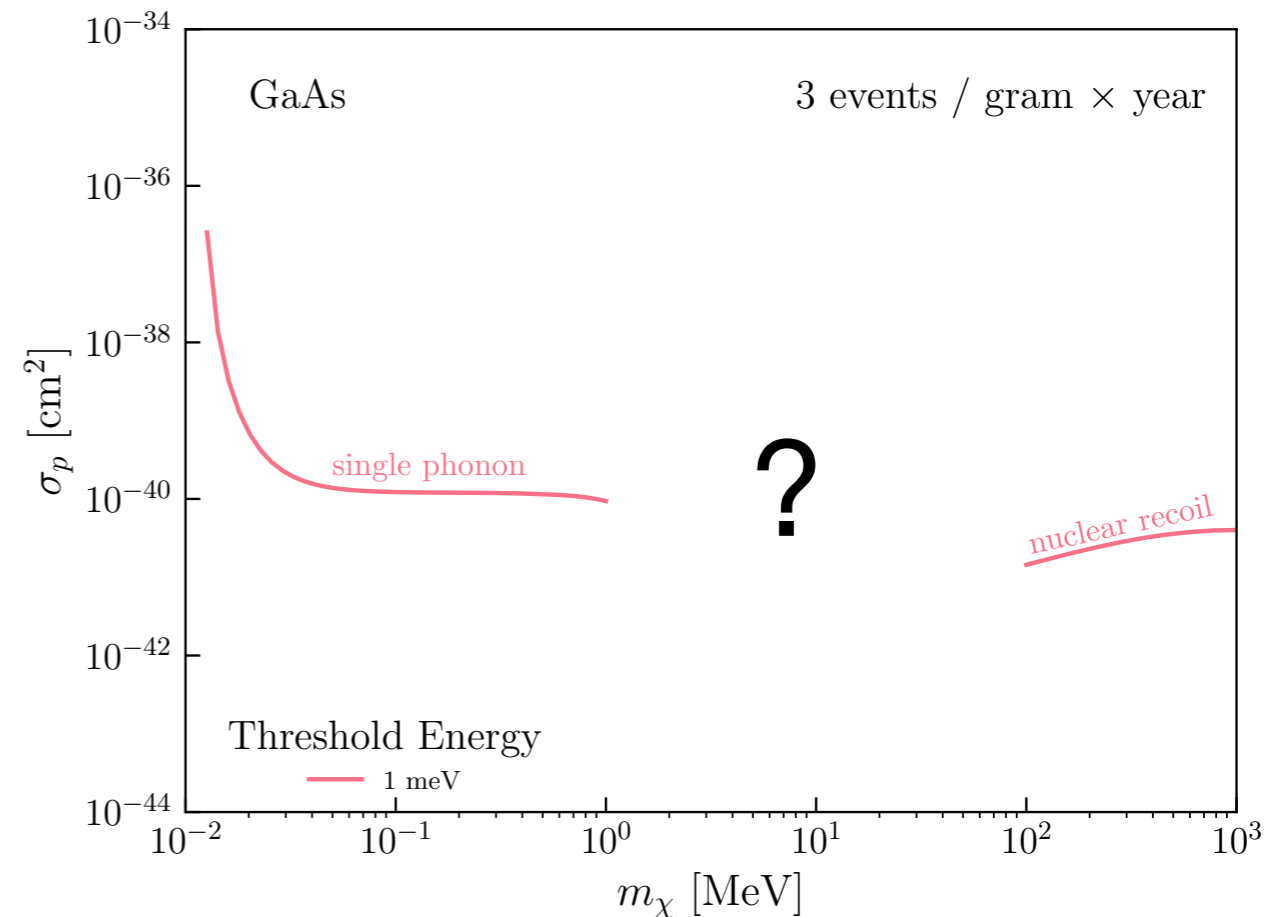
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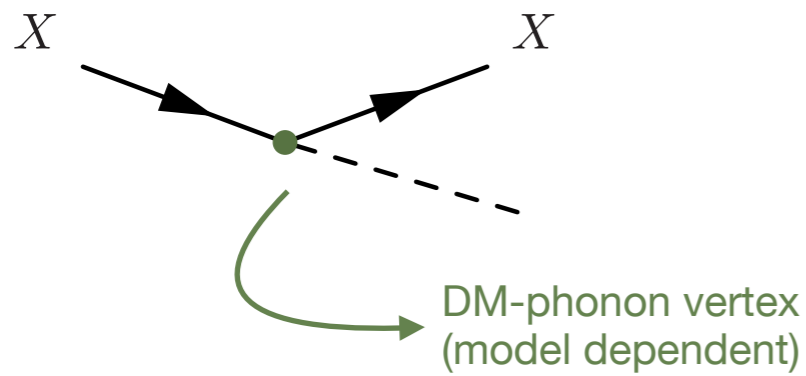
SK, T. Lin, M. Pyle, K. Zurek: arXiv 1712.06598

S. Griffin, SK, T. Lin, M. Pyle, K. Zurek: arXiv 1807.10291

LO insufficient for  $m_\chi > 1$  MeV!

# Phonon Effective Theory

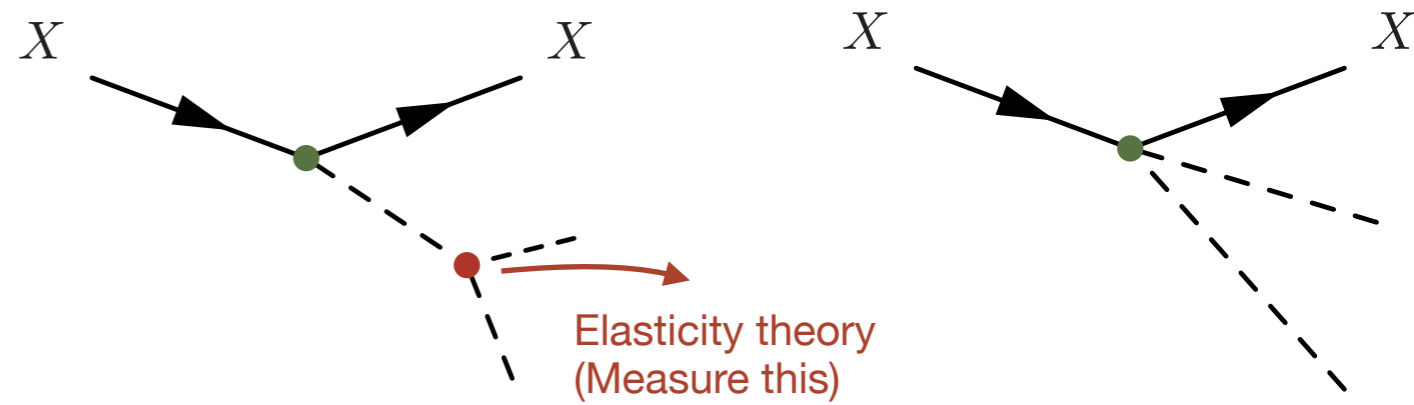
LO



$$\mathcal{O}(q), \mathcal{O}(q^2) \text{ or } \mathcal{O}(q^4)$$

(Depends on DM model & phonon branch)

NLO

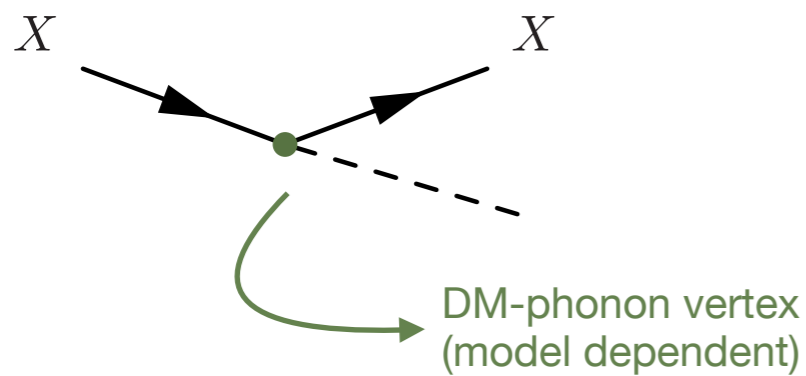


$$\mathcal{O}(q^4)$$

B. Campbell-Deem, P. Cox, SK, T. Lin, T. Melia : 1911.03482

# Phonon Effective Theory

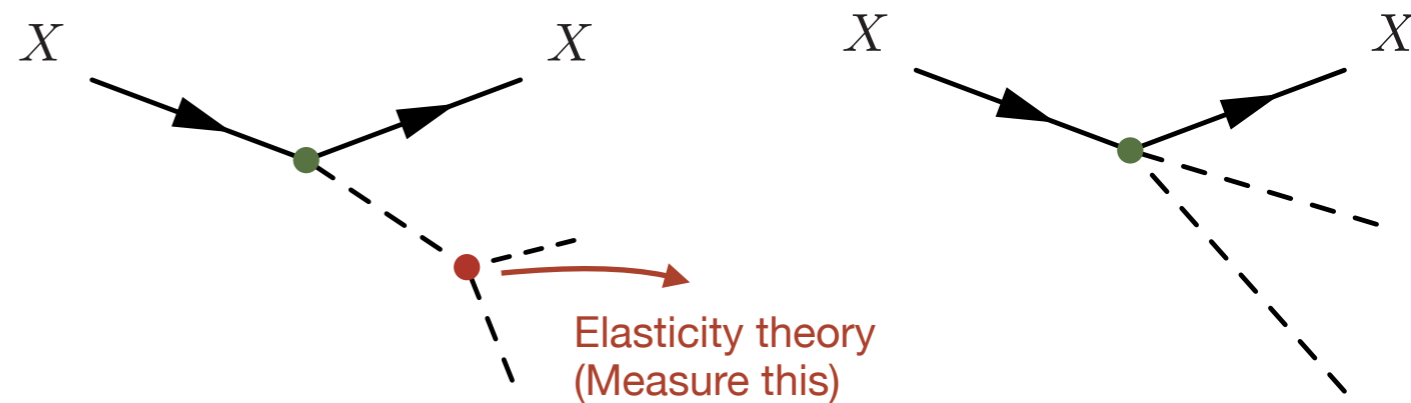
LO



$$\mathcal{O}(q), \mathcal{O}(q^2) \text{ or } \mathcal{O}(q^4)$$

(Depends on DM model & phonon branch)

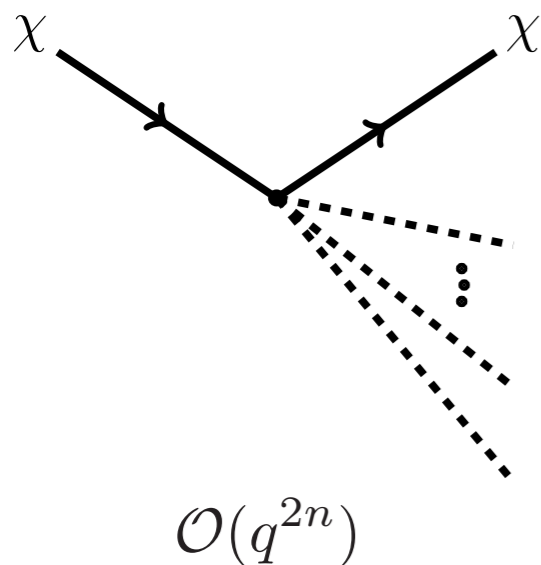
NLO



$$\mathcal{O}(q^4)$$

B. Campbell-Deem, P. Cox, SK, T. Lin, T. Melia : 1911.03482

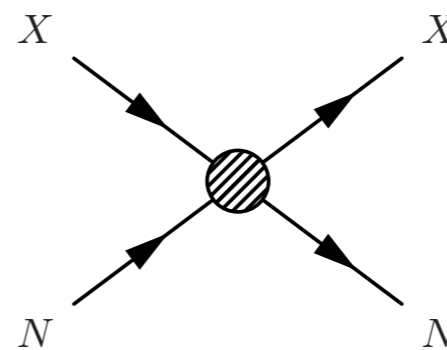
N<sup>n</sup>LO



$$\mathcal{O}(q^{2n})$$

B. Campbell-Deem, SK, T. Lin, E. Villarama: arXiv 2205.02250

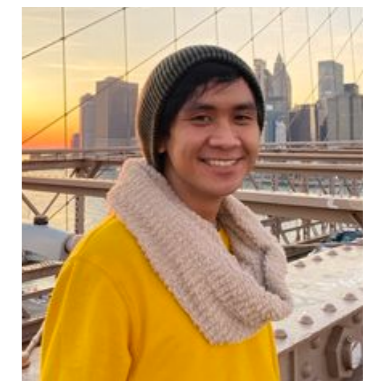
N<sup>∞</sup>LO = nuclear recoil



$$\sim \delta\left(\omega - \frac{q^2}{2m_N}\right)$$



Brian Campbell-Deem (UCSD)



Ethan Villarama (UCSD)



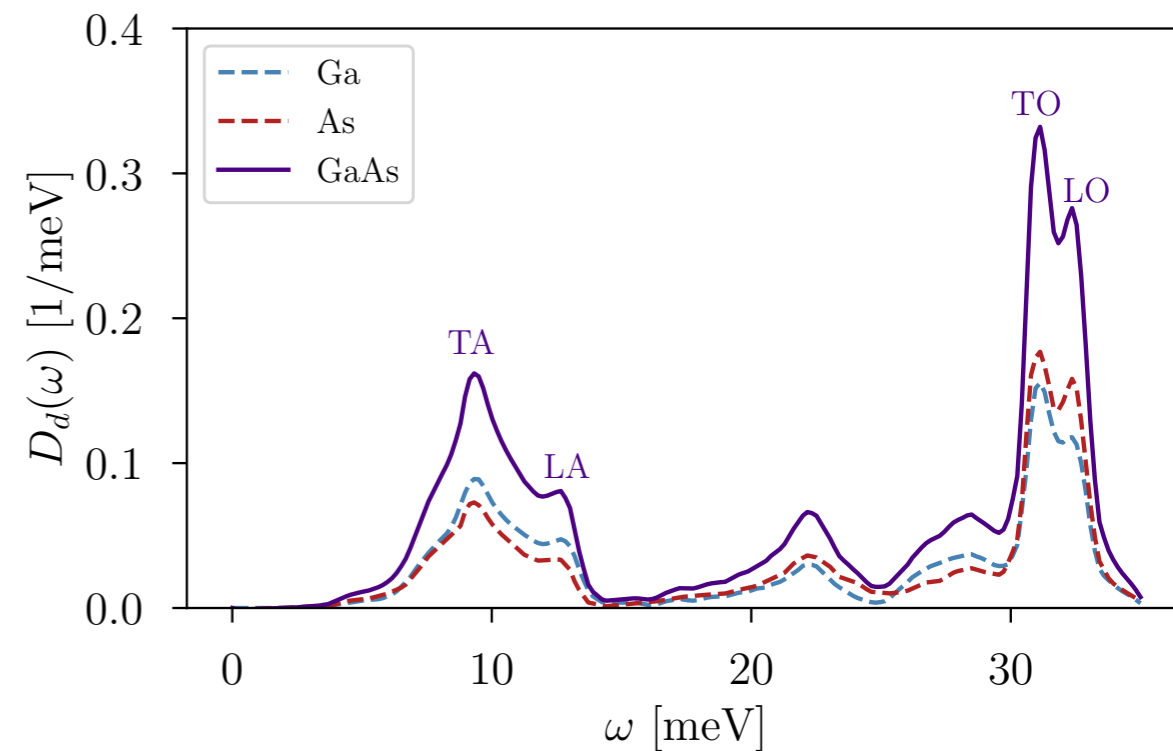
# Results

Inclusive all orders result

d: labels atoms (e.g. Ga and As)  
n: number of phonons

$$\frac{d\sigma}{d^3\mathbf{q}d\omega} \sim \sum_d^n A_d^2 e^{-2W_d(\mathbf{q})} \sum_n \left( \frac{q^2}{2m_d} \right)^n \frac{1}{n!} \left( \prod_{i=1}^n \int d\omega_i \frac{D_d(\omega_i)}{\omega_i} \right) \delta \left( \sum_j \omega_j - \omega \right).$$

↓  
Partial density of states



# Results

Inclusive all orders result

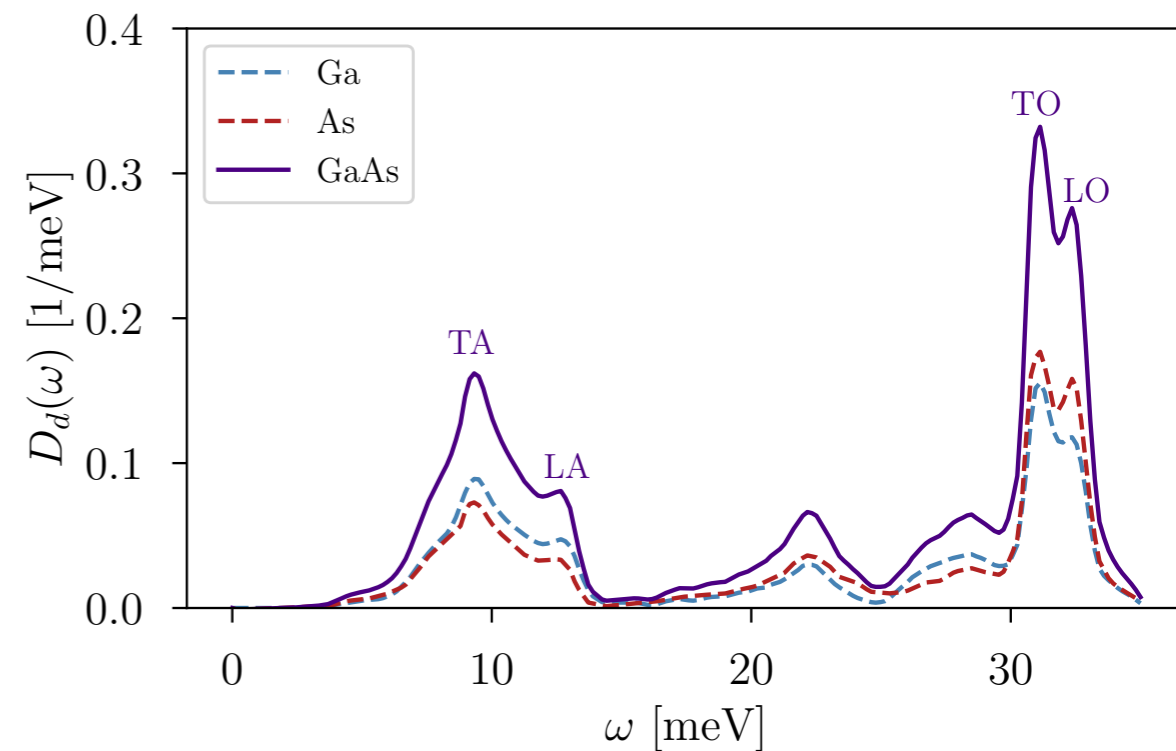
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Partial density of states

$$q \gg \sqrt{2\omega m_d}$$

$$\frac{d\sigma}{d^3\mathbf{q}d\omega} \sim \sum_d A_d^2 \sqrt{\frac{2\pi}{\Delta_d^2}} \exp\left(-\frac{\left(\omega - \frac{q^2}{2m_d}\right)^2}{2\Delta_d^2}\right)$$



# Results

Inclusive all orders result

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$$\frac{d\sigma}{d^3\mathbf{q}d\omega} \sim \sum_d A_d^2 e^{-2W_d(\mathbf{q})} \sum_n \left(\frac{q^2}{2m_d}\right)^n \frac{1}{n!} \left( \prod_{i=1}^n \int d\omega_i \frac{D_d(\omega_i)}{\omega_i} \right) \delta\left(\sum_j \omega_j - \omega\right).$$

Partial density of states

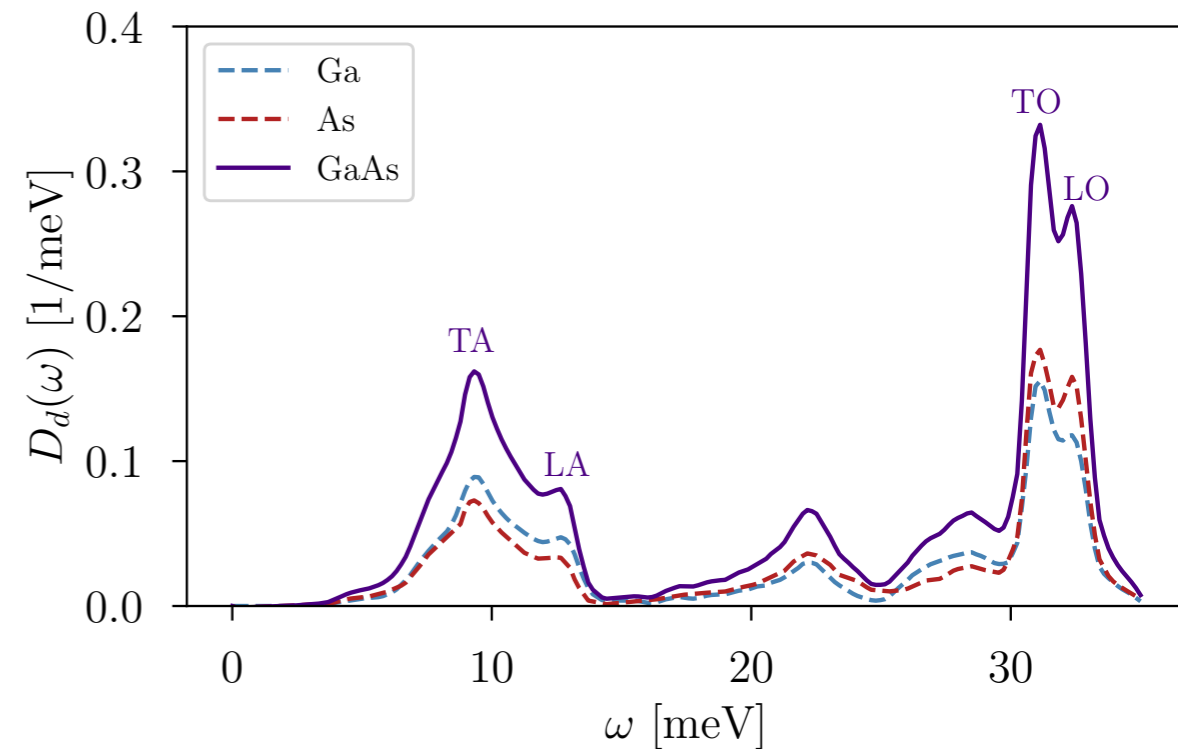
$$q \gg \sqrt{2\omega m_d}$$

$$\frac{d\sigma}{d^3\mathbf{q}d\omega} \sim \sum_d A_d^2 \sqrt{\frac{2\pi}{\Delta_d^2}} \exp\left(-\frac{\left(\omega - \frac{q^2}{2m_d}\right)^2}{2\Delta_d^2}\right)$$

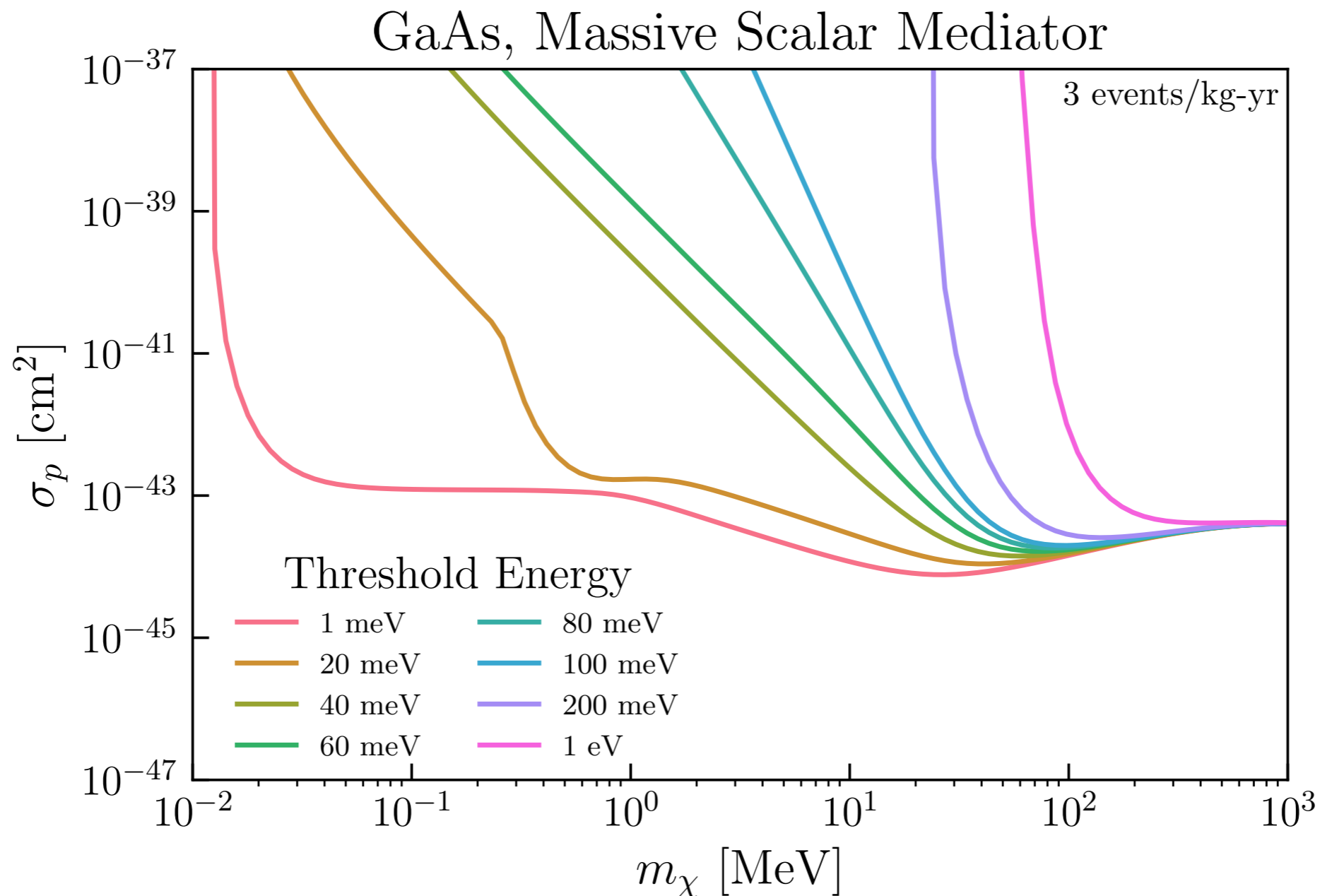
$$q \gg \gg \sqrt{2\omega m_d}$$

$$\frac{d\sigma}{d^3\mathbf{q}d\omega} \sim \sum_d A_d^2 \times \delta\left(\omega - \frac{q^2}{2m_d}\right)$$

Free nuclear recoil limit



# Results

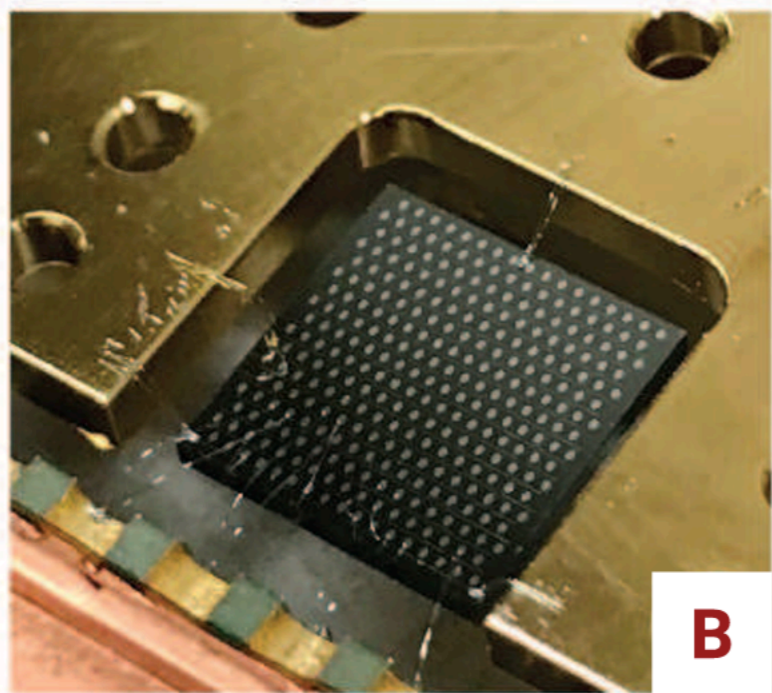


First calculation for all  $m_\chi$  and arbitrary energy threshold!

(Just an example plot, have results for most relevant models and target materials)

# Calculations needed

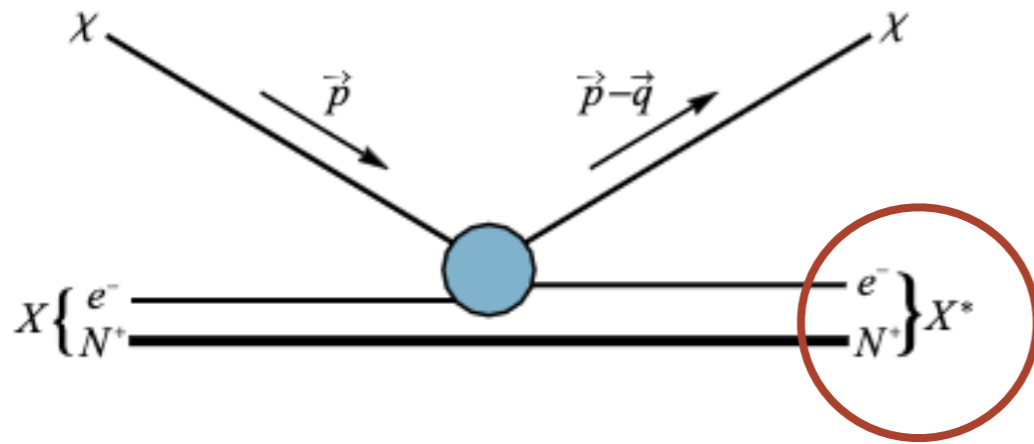
Phonon signals



Electronic signals



# Electrons are complicated



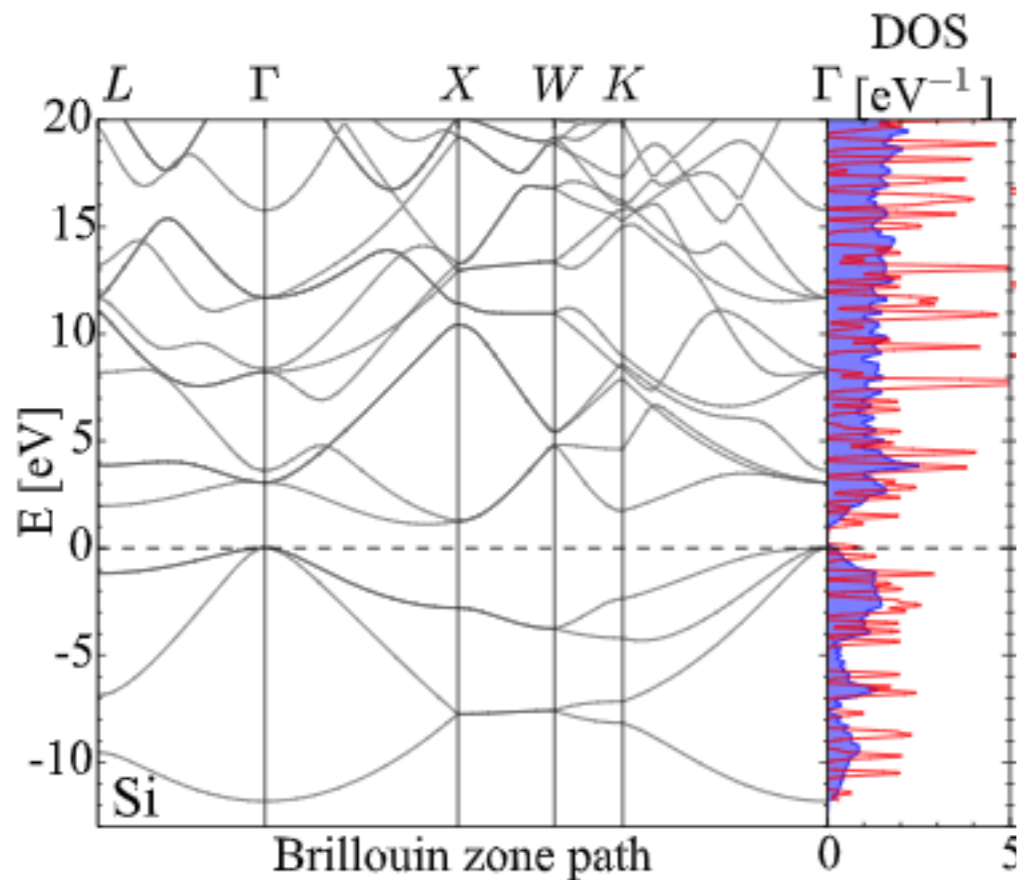
$e^-$  are not free

$e^-$  are not at rest

$e^-$  are not localized

$e^-$  are not alone

→ screening

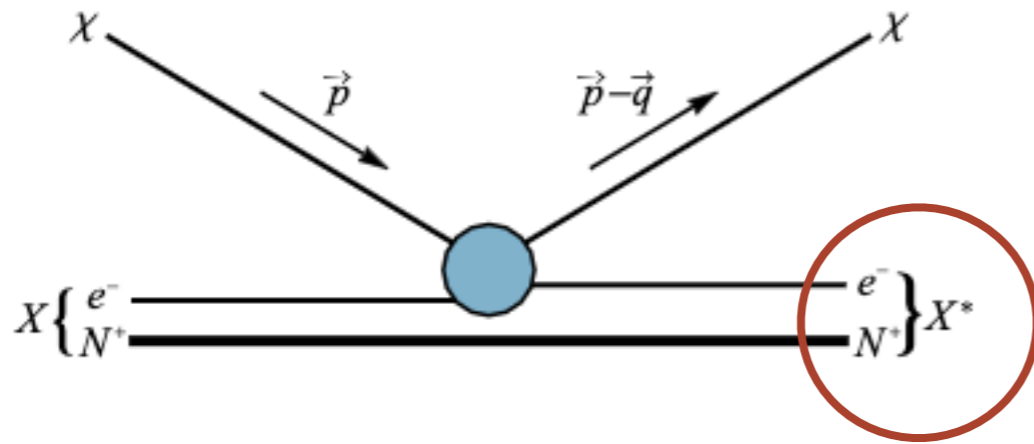


Essig et. al. arXiv 1509.01598

Problem: Calculate wave functions & stick them into matrix element calculation

Essig et. al. arXiv 1509.01598

# Electrons are complicated



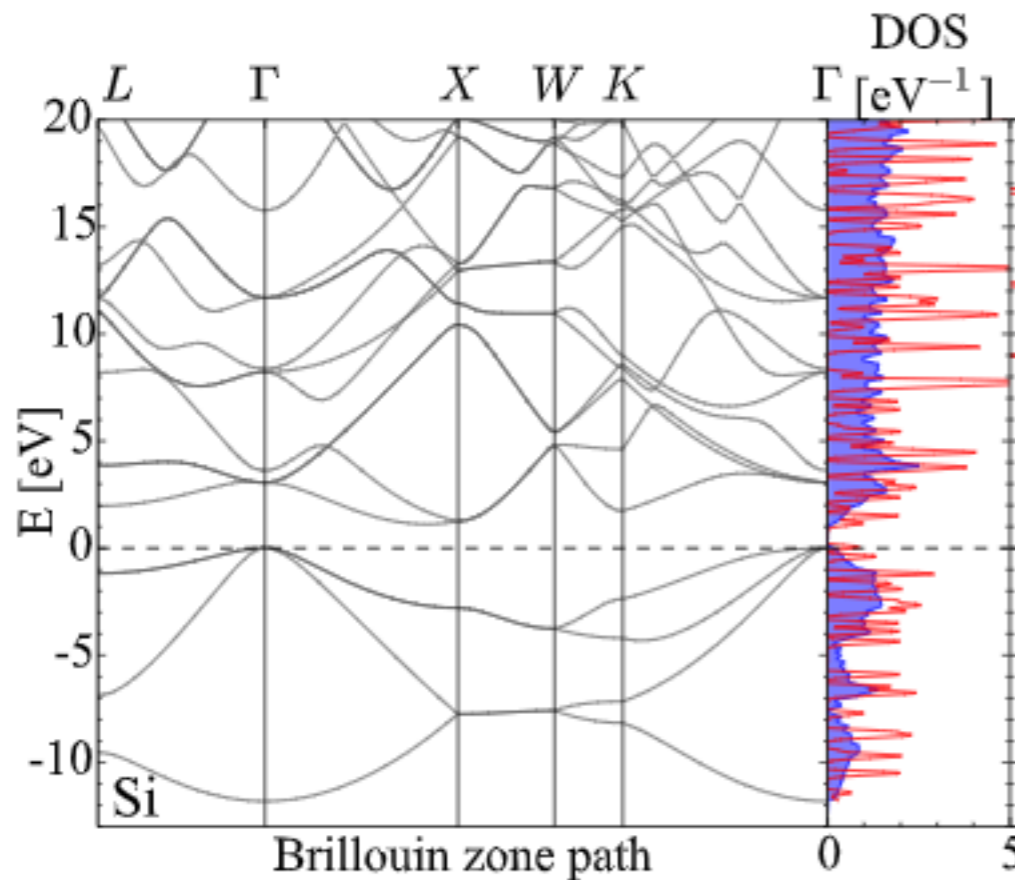
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$e^-$  are not alone

→ screening



Essig et. al. arXiv 1509.01598

Problem: Calculate wave functions & stick them into matrix element calculation

Essig et. al. arXiv 1509.01598

Equivalent problem: Calculate rate of energy dissipation in the crystal

SK, J. Kozaczuk, T. Lin: arXiv 2101.08275

Y. Hochberg et. al.: arXiv 2101.08263

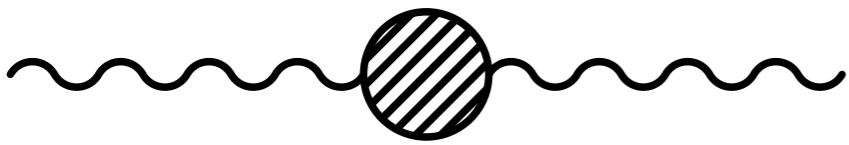


# Schematic argument

Coulomb potential in a dielectric:

$$H = eQ_\chi \int \frac{d^3\mathbf{k}}{(2\pi)^2} \frac{1}{\epsilon(\mathbf{k}, \omega)} \frac{e^{i\mathbf{k}\cdot\mathbf{x}}}{k^2}$$

In QFT language:



$$\sim \frac{1}{\epsilon(\mathbf{k}, \omega)} \frac{1}{k^2} \quad (\text{Non-relativistic limit})$$

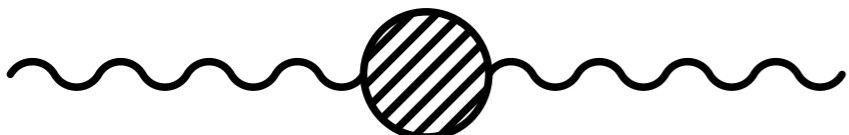
(Exact derivation in the back-up slides)

# Schematic argument

Coulomb potential in a dielectric:

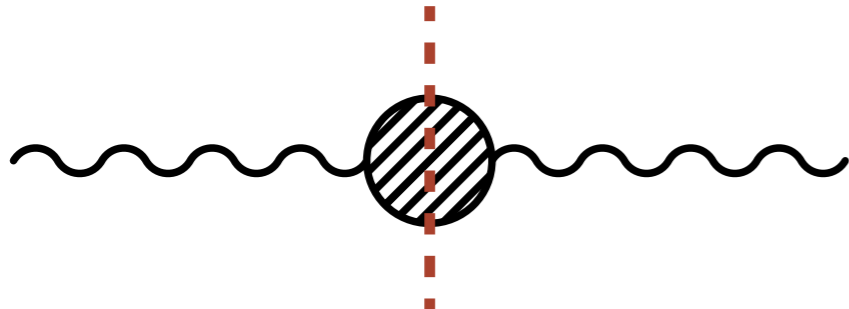
$$H = eQ_\chi \int \frac{d^3\mathbf{k}}{(2\pi)^2} \frac{1}{\epsilon(\mathbf{k}, \omega)} \frac{e^{i\mathbf{k}\cdot\mathbf{x}}}{k^2}$$

In QFT language:



$$\sim \frac{1}{\epsilon(\mathbf{k}, \omega)} \frac{1}{k^2} \quad \text{(Non-relativistic limit)}$$

We are interested in energy dissipation:



$$\sim \text{Im} \left[ \frac{-1}{\epsilon(\mathbf{k}, \omega)} \right]$$

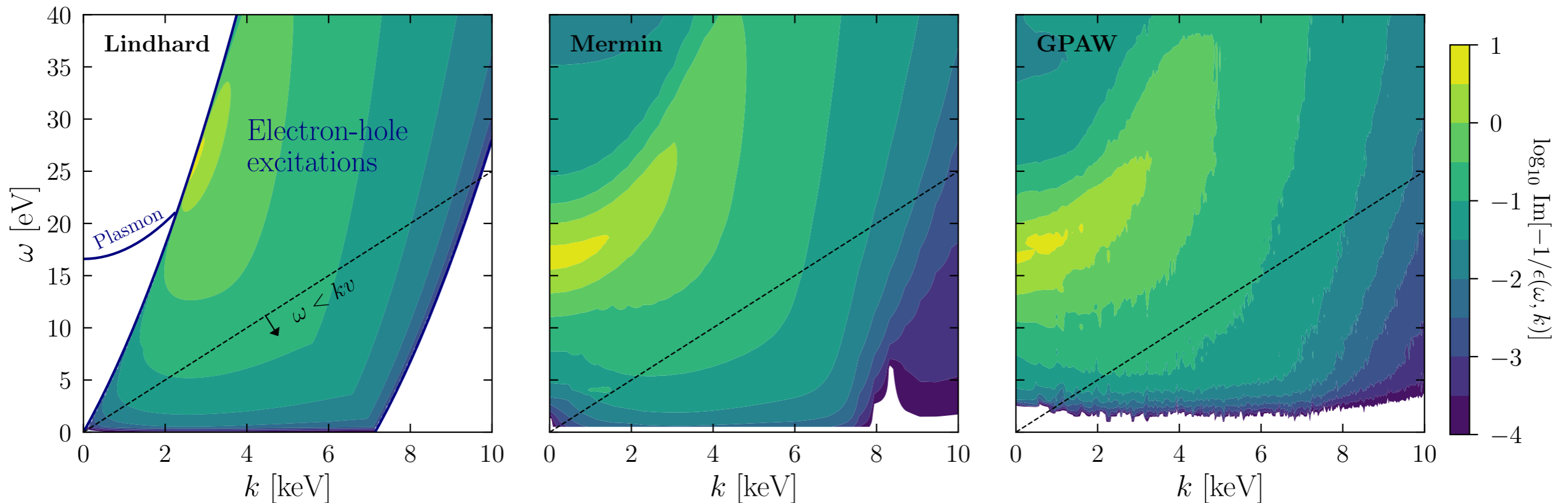
“Energy Loss Function” (ELF)

(Exact derivation in the back-up slides)

# Calculating the ELF

Simple

Sophisticated



Free electron gas  
approximation

100% analytic

Phenomenological  
model fit to data

semi-analytic

First principles DFT  
calculation

fully numerical

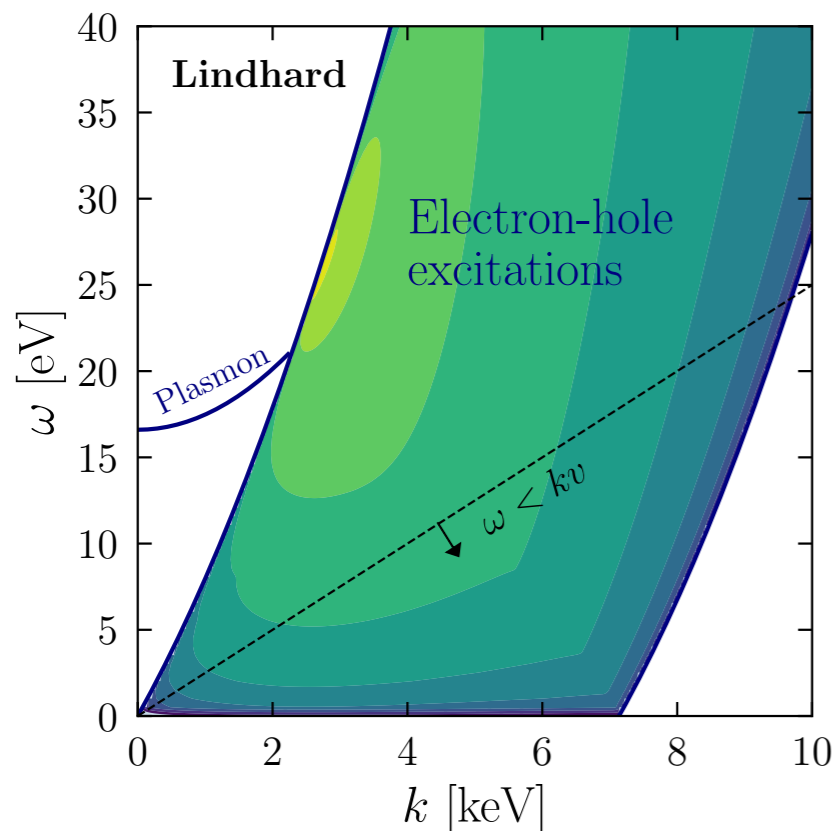
(Details in back-up slides)

SK, J. Kozaczuk, T. Lin: arXiv 2101.08275, 2104.12786

# Calculating the ELF

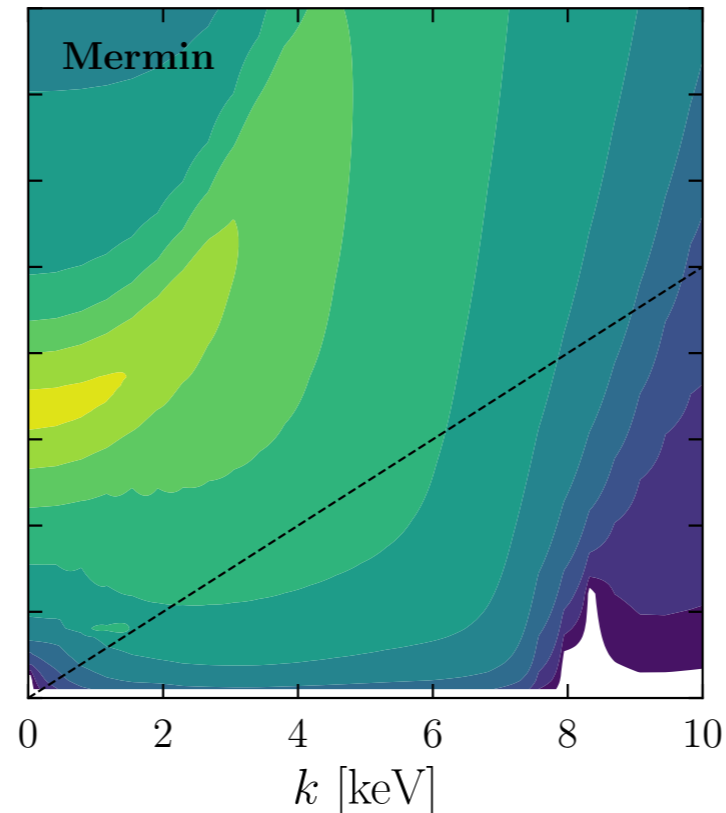
Simple

Sophisticated



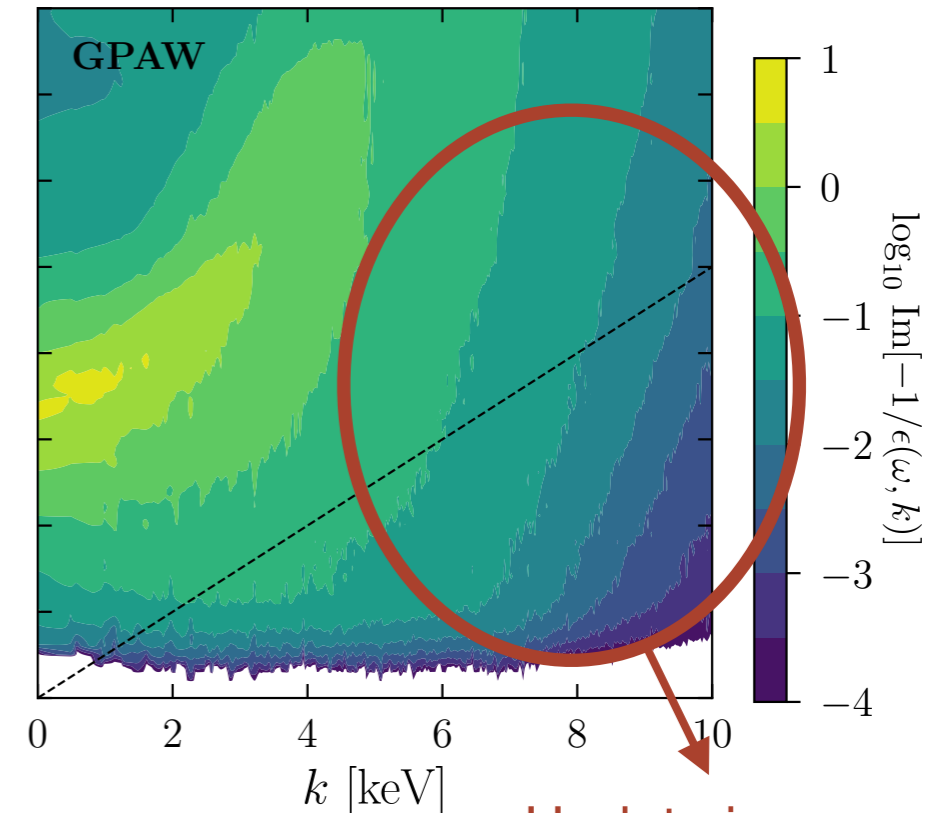
Free electron gas approximation

100% analytic



Phenomenological model fit to data

semi-analytic



First principles DFT calculation

fully numerical



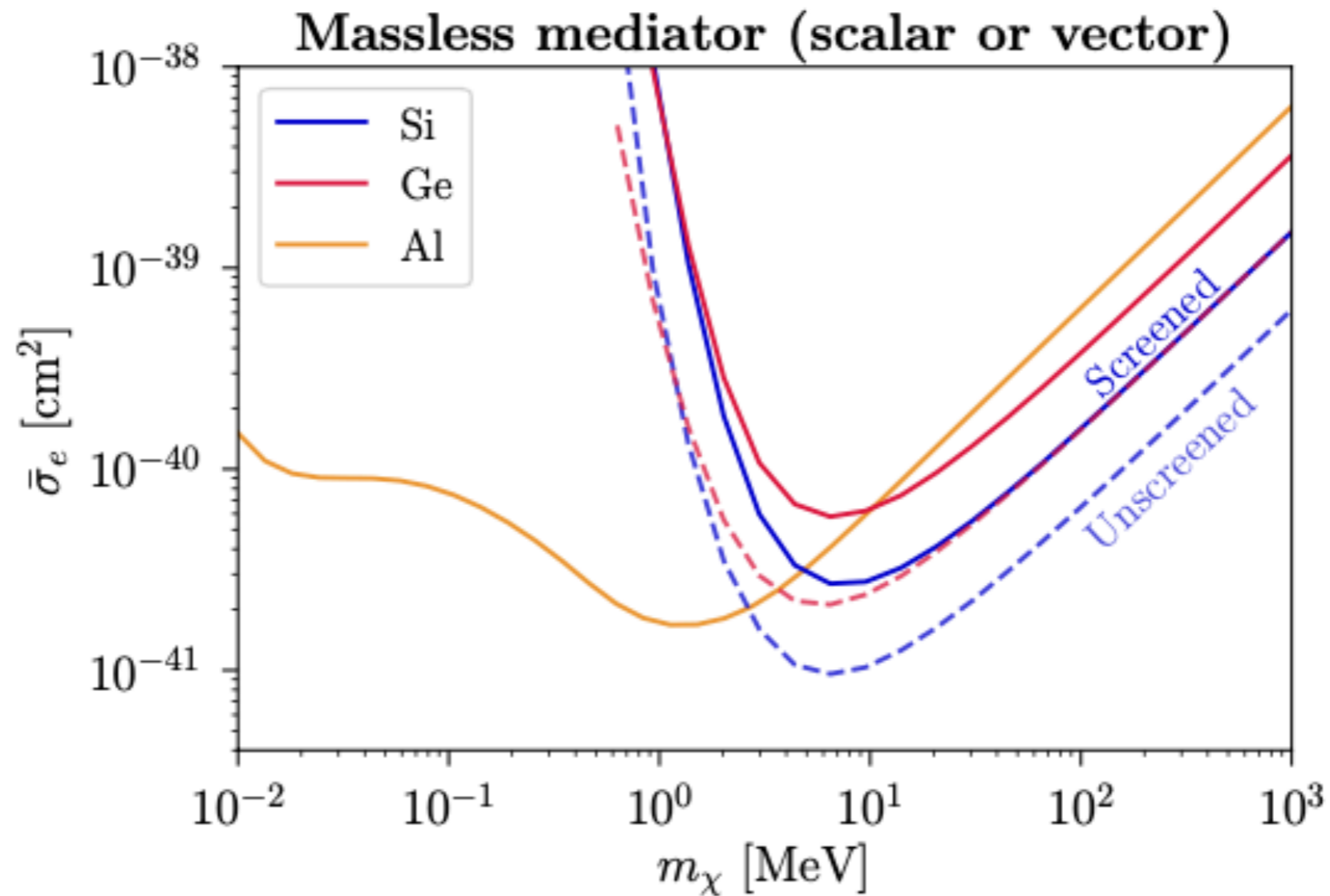
Bashi Mandava  
UC Berkeley

(Details in back-up slides)

SK, J. Kozaczuk, T. Lin: arXiv 2101.08275, 2104.12786

# Results

Screening has O(1) effect on integrated rate

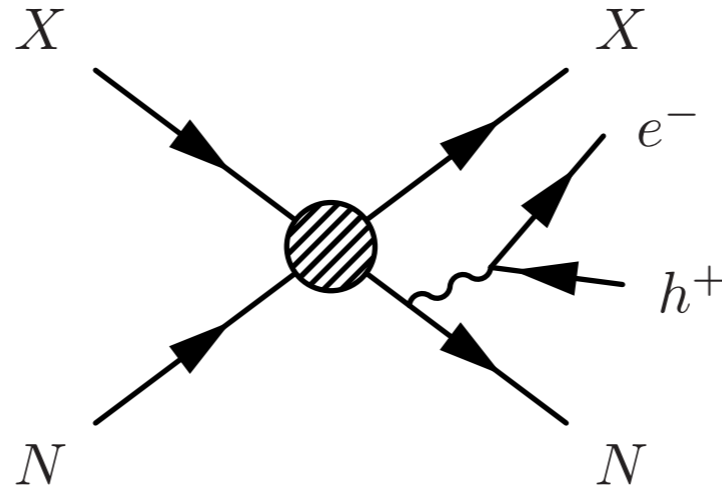


Applicable to *any* mediator that couples to  $e^-$  density  
(e.g. scalar mediator and dark photon mediator yield *identical* scattering rate)

SK, J. Kozaczuk, T. Lin: arXiv 2101.08275, 2104.12786

# Bonus: The Migdal effect

A **hard nuclear recoil** can shake some electrons into the conduction band



(Analogous to internal conversion process in collider physics)

Had been calculated for isolated atoms, but not yet for realistic crystals

Ibe et. al. arXiv 1707.07258

In a real material, spectator ions must be accounted for!

SK, J. Kozaczuk, T. Lin: arXiv 2011.09496

Liang et.al. : arXiv 2011.13352

# Bonus: The Migdal effect

Result:

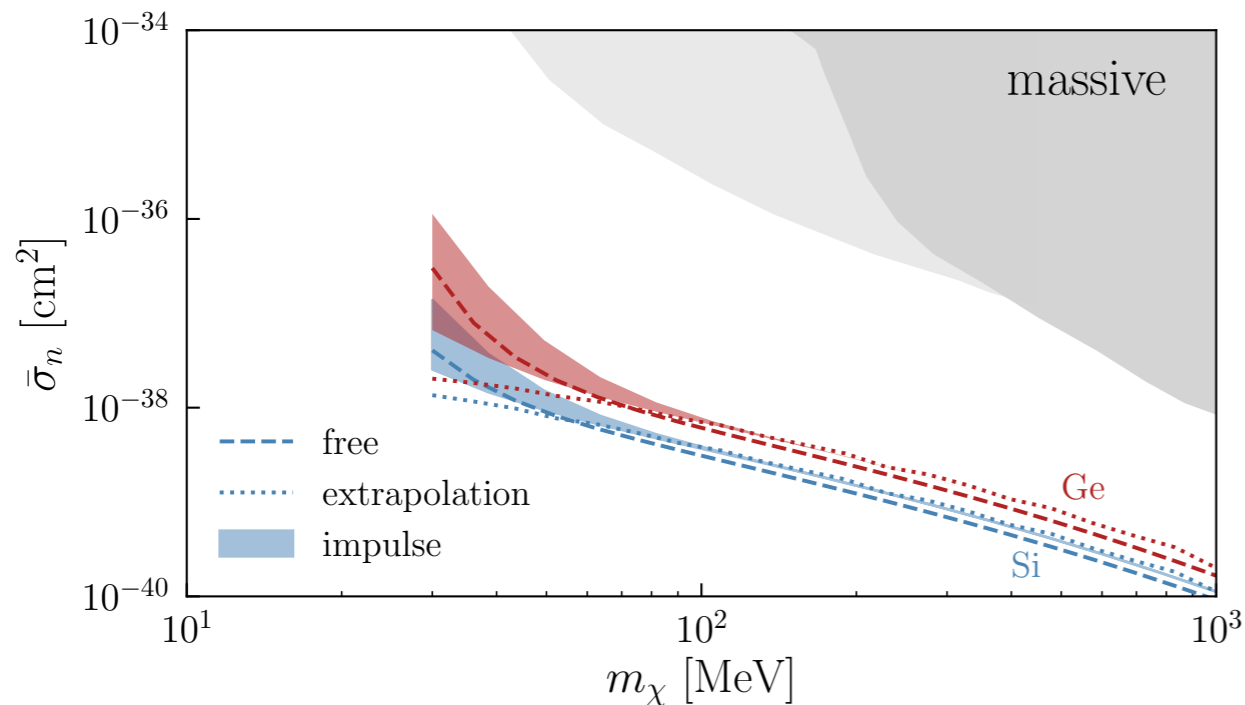
$$R = \frac{8\pi^2 Z_{\text{ion}}^2 \alpha A^2 \rho_\chi \bar{\sigma}_n}{m_N m_\chi \mu_{\chi n}^2} \int d^3 v f_\chi(v) \int d\omega \int \frac{d^3 \mathbf{q}_N}{(2\pi)^3} \int \frac{d^3 \mathbf{p}_f}{(2\pi)^3} \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{1}{k^2} \text{Im} \left[ \frac{-1}{\epsilon(\mathbf{k}, \omega)} \right] \left[ \frac{1}{\omega - \frac{\mathbf{q}_N \cdot \mathbf{k}}{m_N}} - \frac{1}{\omega} \right]^2$$

DM form factor
Crystal form factor
ELF
Nucleus propagator

$$\times |F_{DM}(\mathbf{p}_i - \mathbf{p}_f)|^2 |F(\mathbf{p}_i - \mathbf{p}_f - \mathbf{q}_N - \mathbf{k})|^2 \delta(E_i - E_f - E_N - \omega).$$

DM form factor
Crystal form factor
 $\sim \frac{1}{\omega^4}$

Nucleus is not a free particle!



SK, J. Kozaczuk, T. Lin: arXiv 2011.09496



# Bonus: The Migdal effect

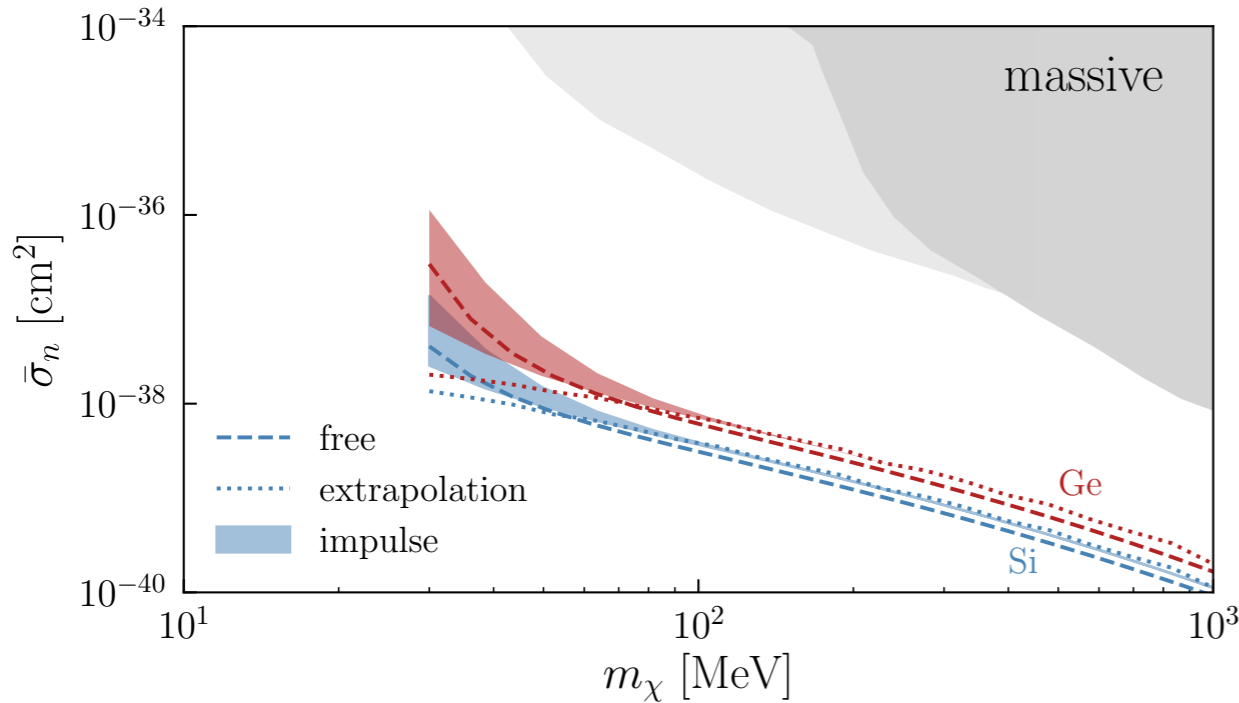
Result:

$$R = \frac{8\pi^2 Z_{\text{ion}}^2 \alpha A^2 \rho_\chi \bar{\sigma}_n}{m_N m_\chi \mu_{\chi n}^2} \int d^3 v f_\chi(v) \int d\omega \int \frac{d^3 \mathbf{q}_N}{(2\pi)^3} \int \frac{d^3 \mathbf{p}_f}{(2\pi)^3} \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{1}{k^2} \text{Im} \left[ \frac{-1}{\epsilon(\mathbf{k}, \omega)} \right] \left[ \frac{1}{\omega - \frac{\mathbf{q}_N \cdot \mathbf{k}}{m_N}} - \frac{1}{\omega} \right]^2$$

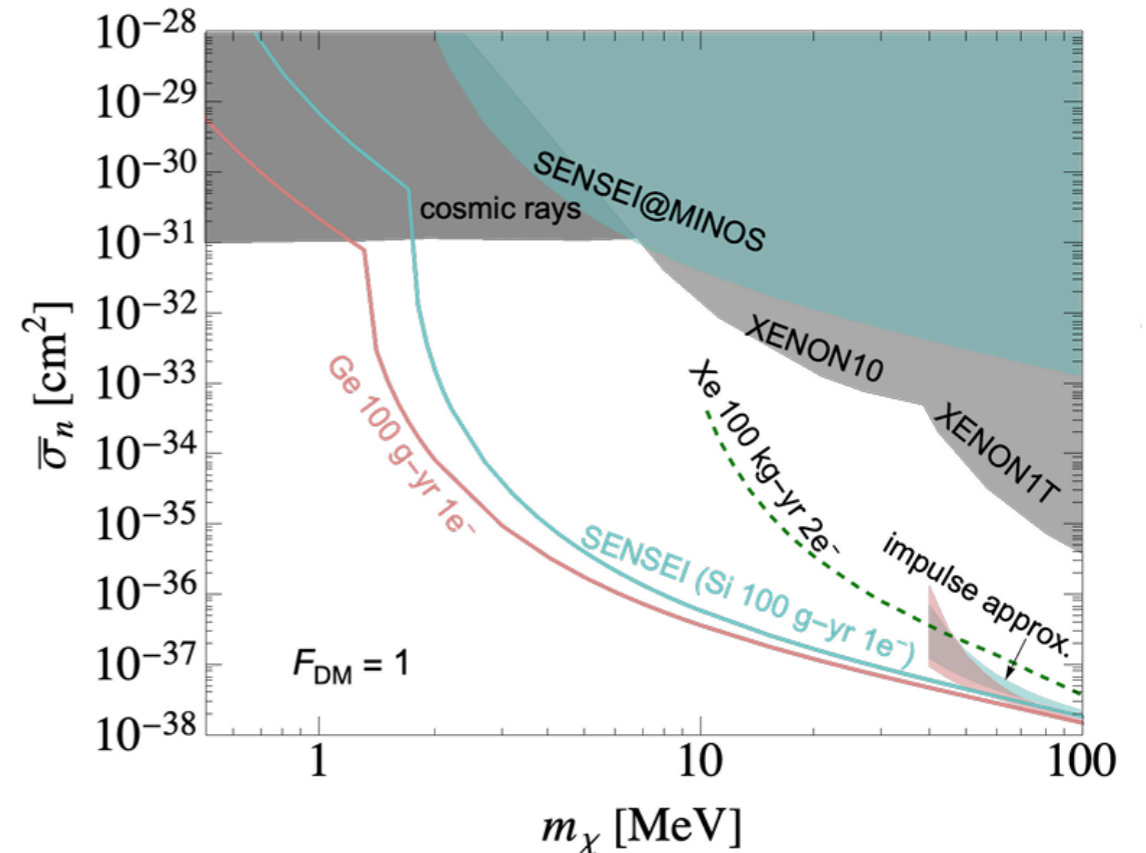
$$\times |F_{DM}(\mathbf{p}_i - \mathbf{p}_f)|^2 |F(\mathbf{p}_i - \mathbf{p}_f - \mathbf{q}_N - \mathbf{k})|^2 \delta(E_i - E_f - E_N - \omega).$$

DM form factor
Crystal form factor
 $\sim \frac{1}{\omega^4}$

↑  
Nucleus is not a free particle!



SK, J. Kozaczuk, T. Lin: arXiv 2011.09496



K. Berghaus et.al. : arXiv 2210.06490

# DarkELF



You'd like to quickly calculate DM scattering rates, but don't want to learn Density Functional Theory?

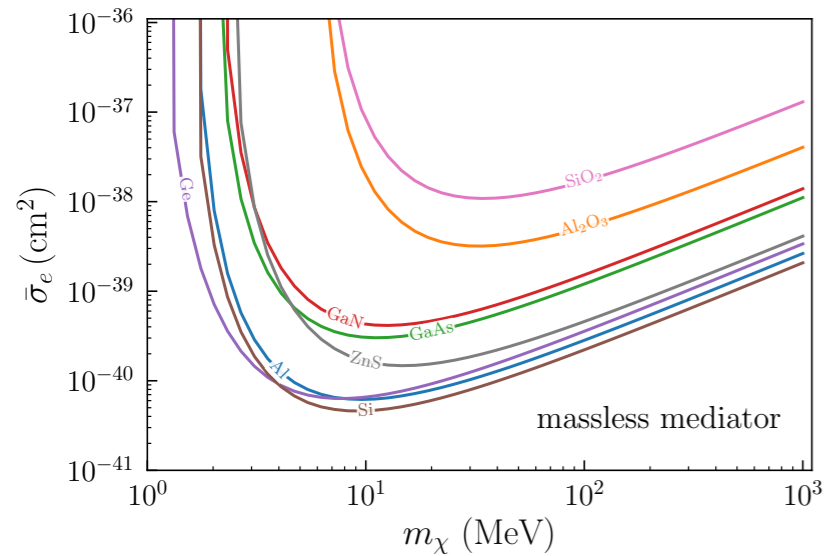
Then DarkELF is the answer for you!

<https://github.com/tongylin/DarkELF>

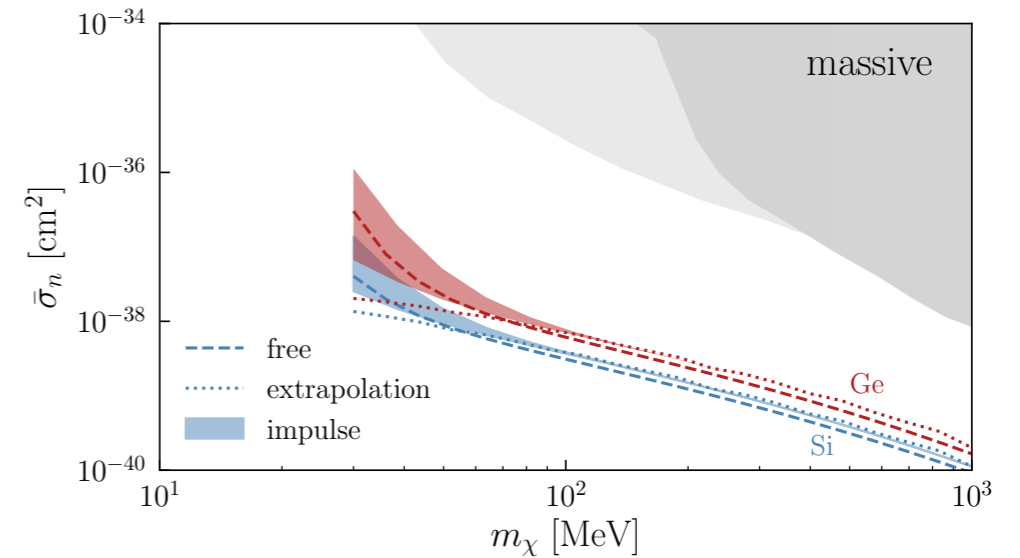
- Python 3 package with lots of example Jupyter notebooks
- No dependencies other than numpy, scipy and Vegas
- All DFT results included as look-up tables, no DFT code necessary
- Library of most common materials (Si, Ge, GaAs, diamond, sapphire, etc etc)

# DarkELF processes

## DM - electron scattering

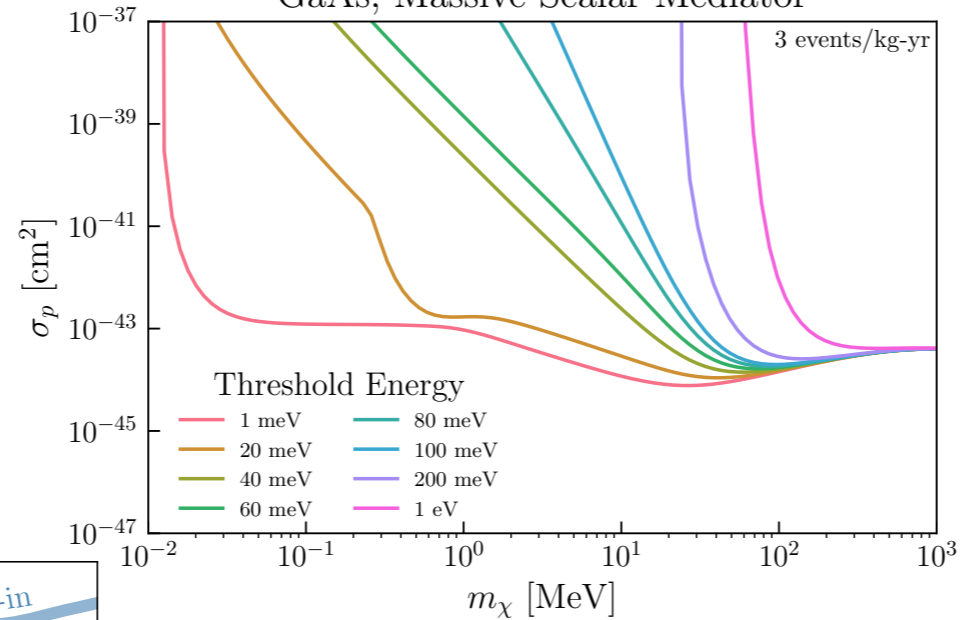


## Migdal effect

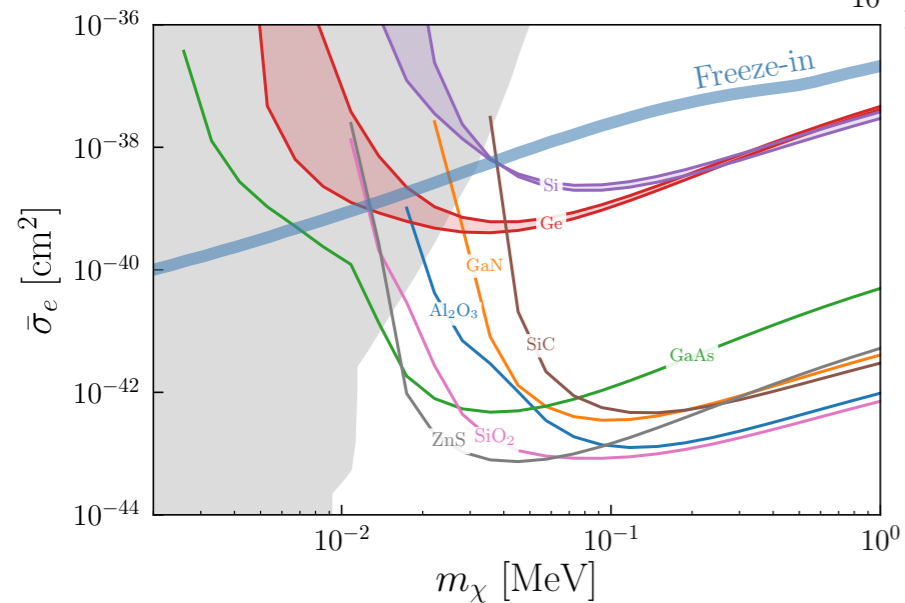


## DM - multiphonon scattering

### GaAs, Massive Scalar Mediator

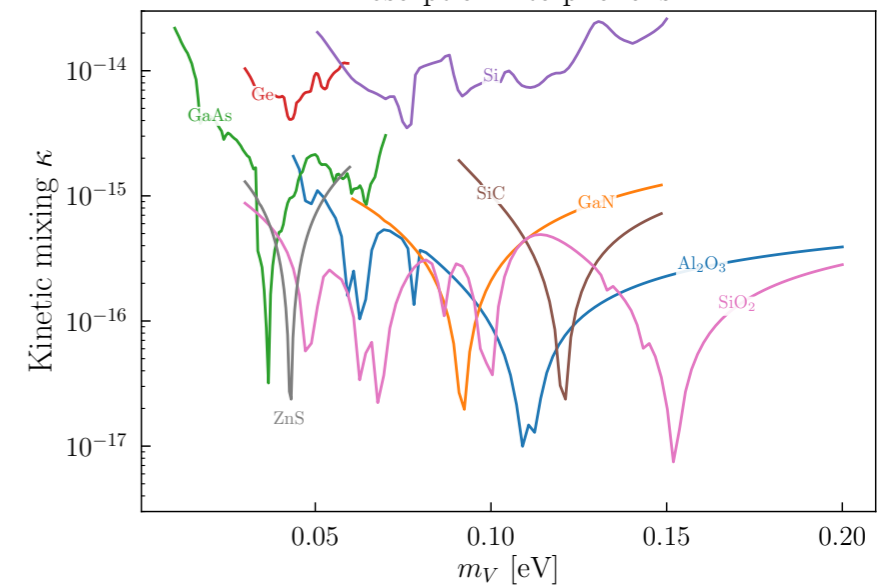


## DM - phonon scattering



## Dark photon absorption

### Absorption into phonons



# Summary

We calculated:

- DM-phonon scattering to *all orders* in the multiphonon expansion
- DM-electron scattering, *including screening*
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All calculations publicly available in our DarkELF package

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Some future / ongoing work:

- Background processes, e.g. Frenkel pair recombination (ongoing)
- Include core and semi-core electrons in our DFT calculations (ongoing)
- Quenching factor calculation for low energy recoils (very hard)
- Going beyond the isotropic limit (future)

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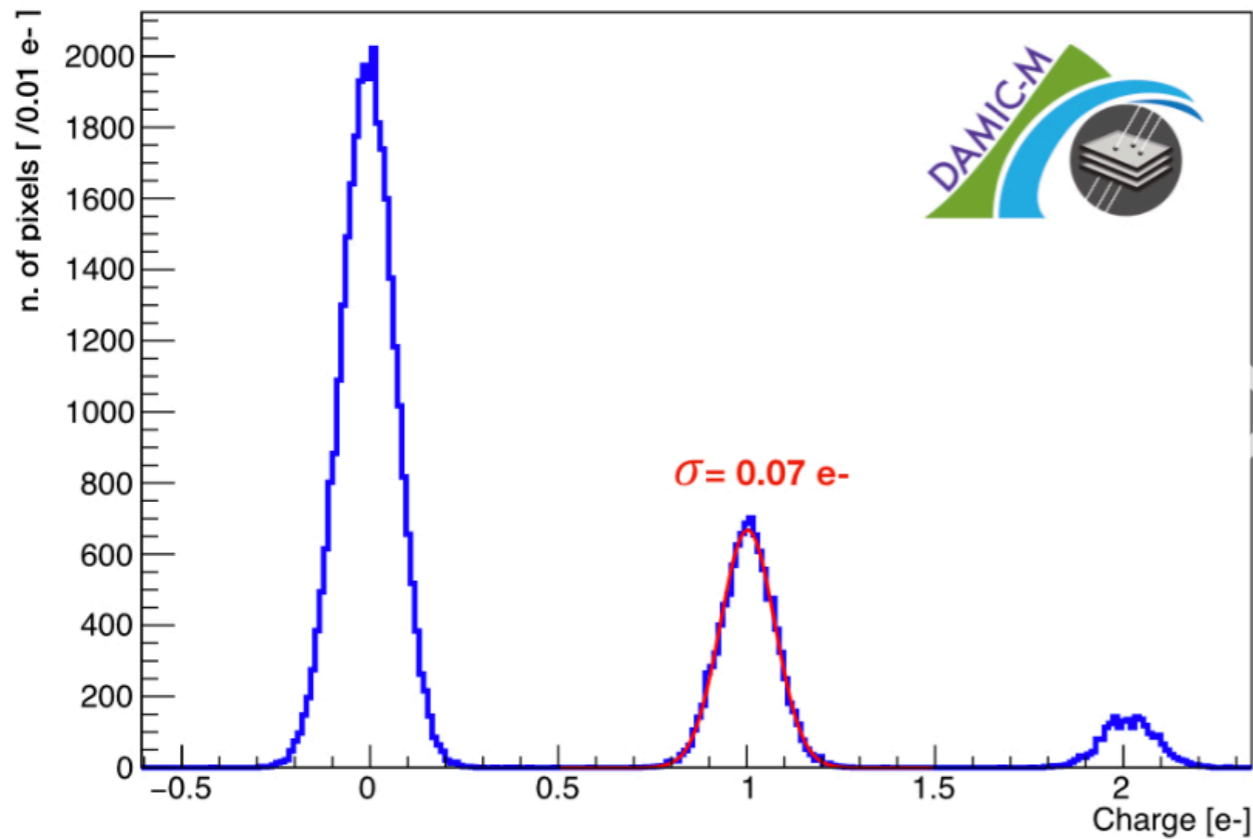


# Extra slides

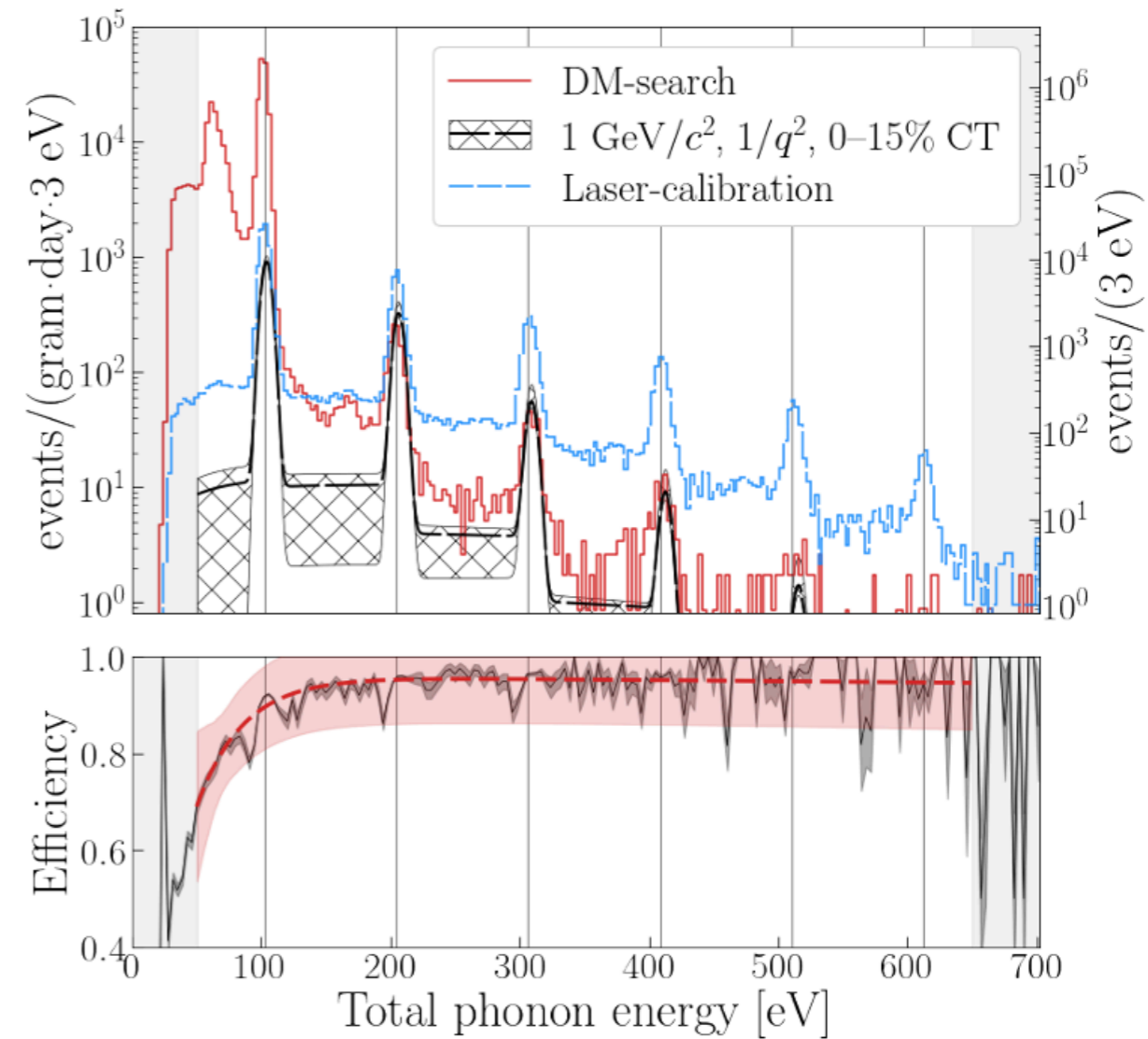


# Electron Detectors

Sensitivity to single  $e^-$  excitations has already been demonstrated



Skipper CCDs  
(SENSEI, DAMIC)

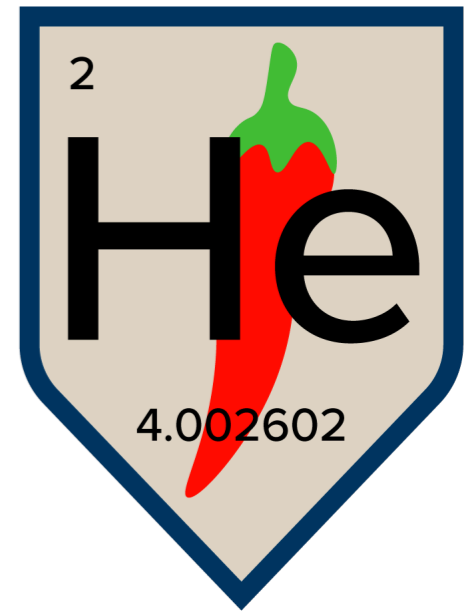
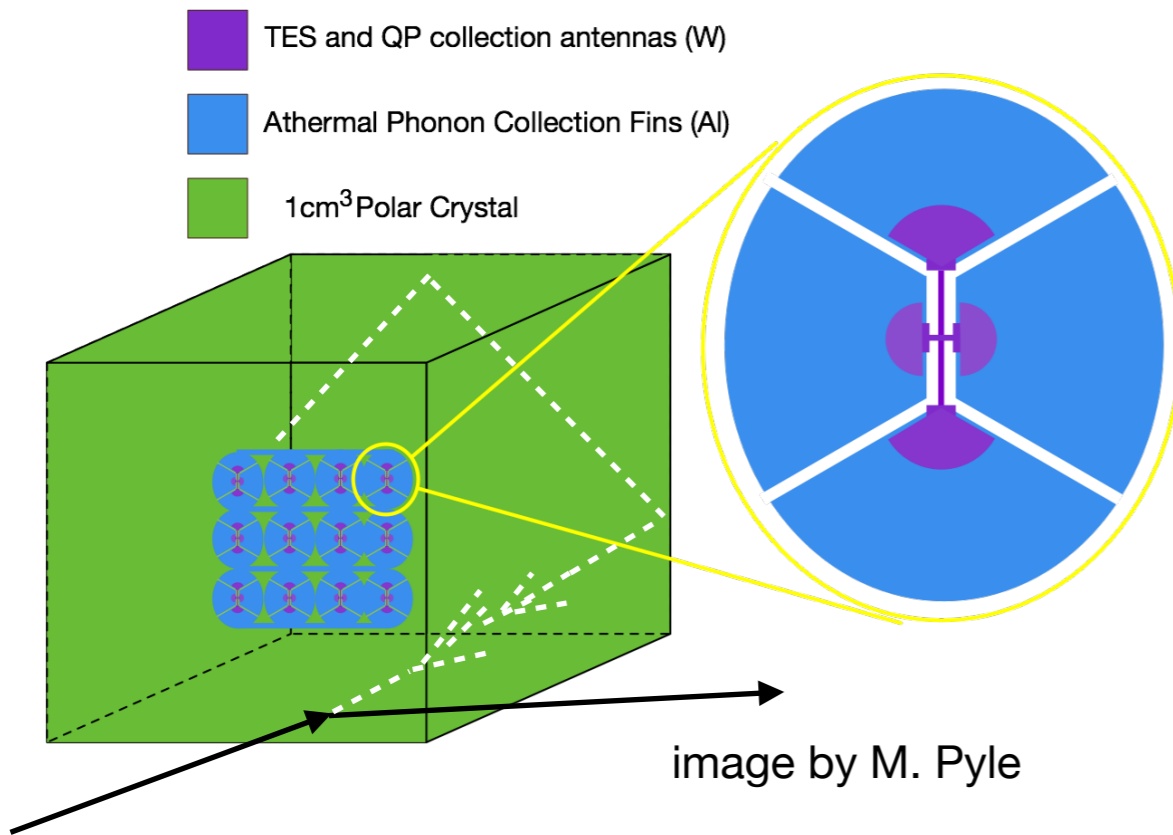


Luke-Neganov effect  
(superCDMS)

SENSEI already has 50g-day exposure in shallow underground site

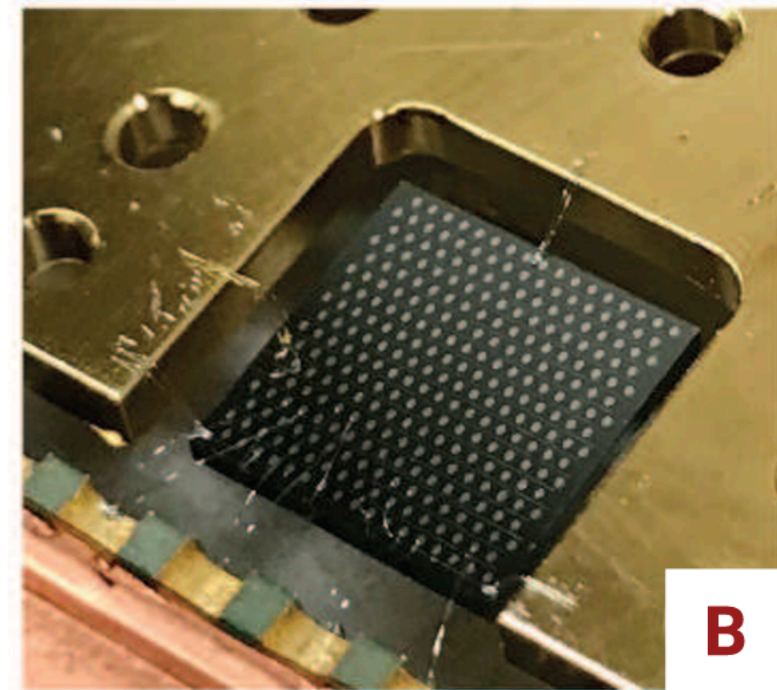
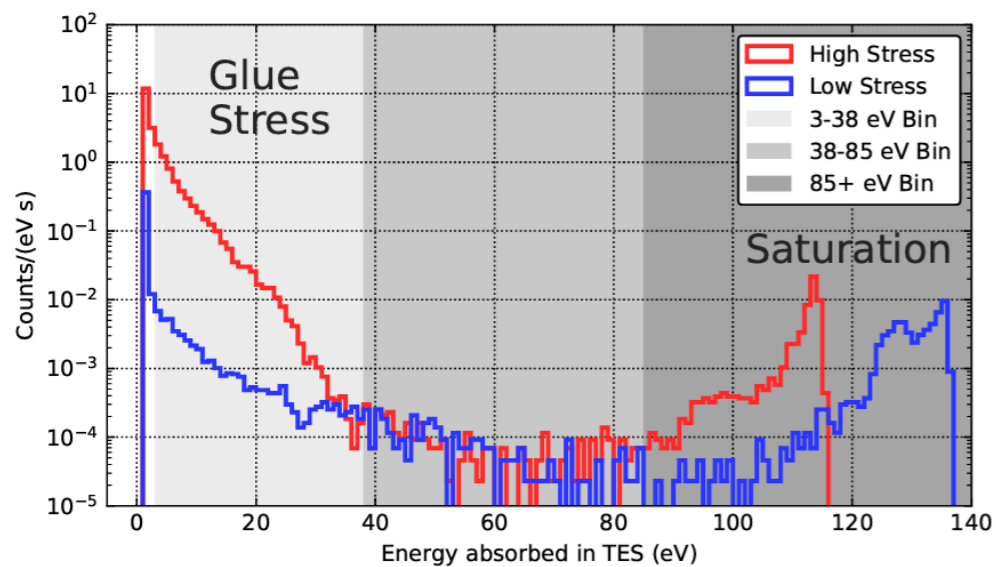
# Phonon Detectors

## SPICE conceptual design



SPICE / HeRALD

## Qualitative progress in background mitigation

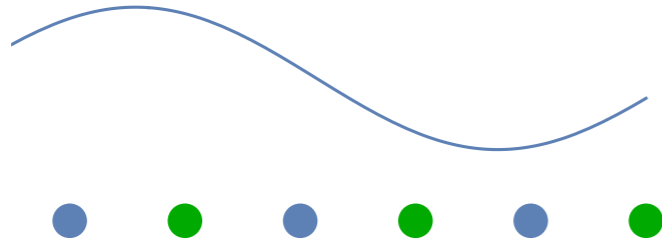


detector hanging on aluminium wire bonds

# Approximations

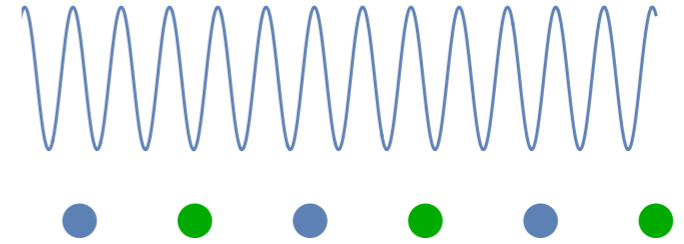
## 1. Incoherent approximation

Low  $q$



Interference is critical  
(e.g. Bragg diffraction)

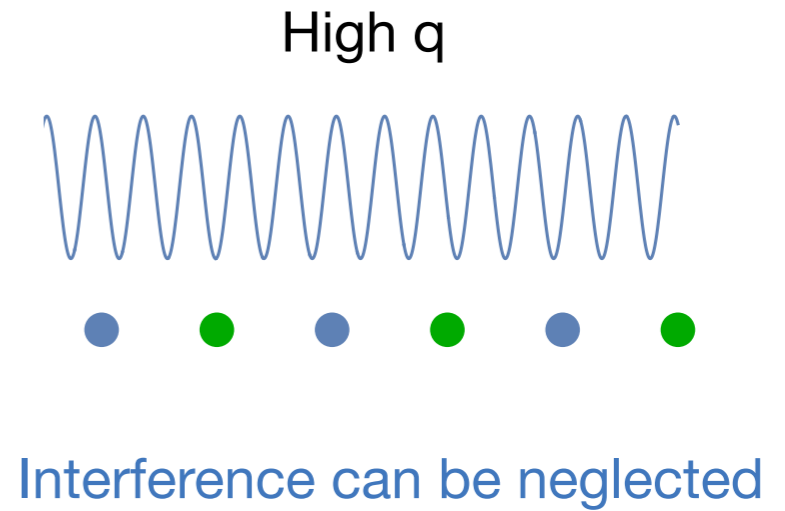
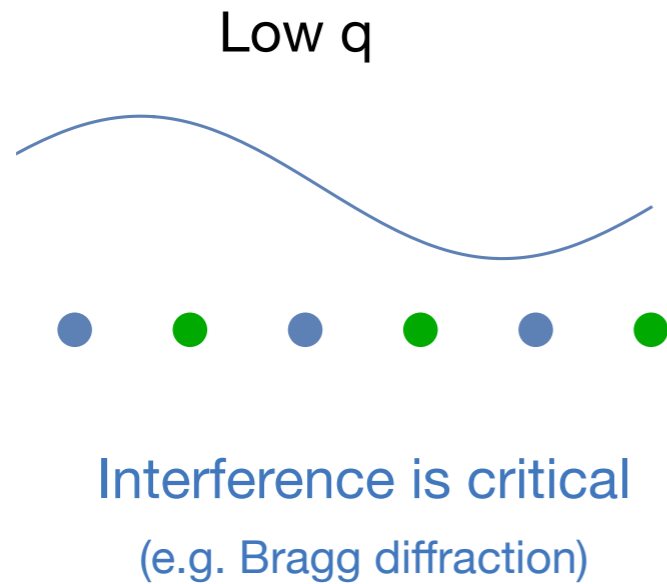
High  $q$



Interference can be neglected

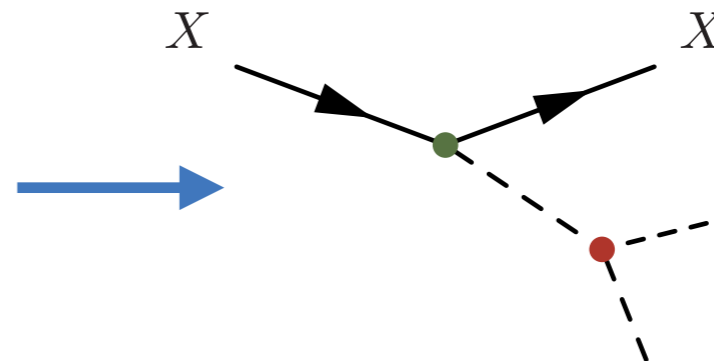
# Approximations

## 1. Incoherent approximation



## 2. Anharmonic approximation

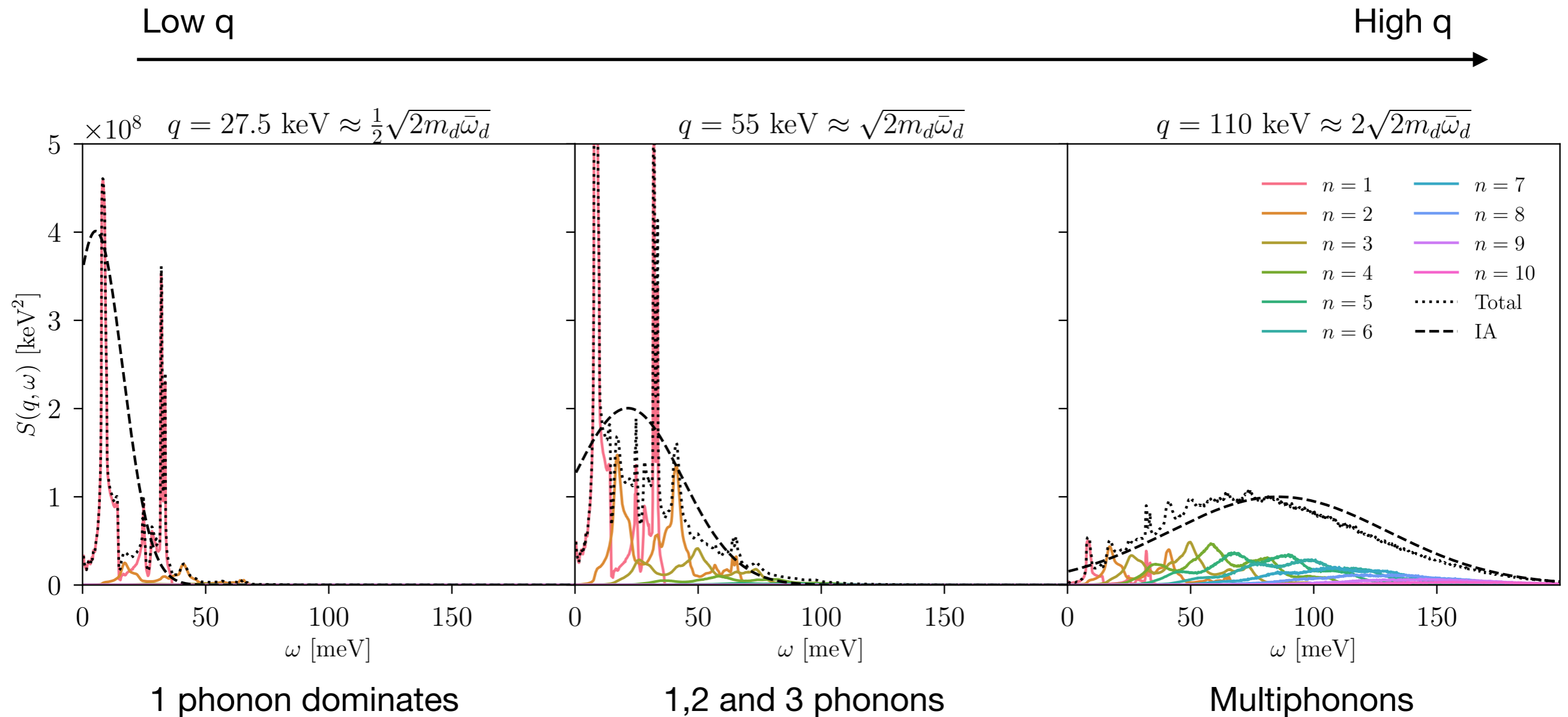
Neglect these beyond leading order



## 3. Isotropic approximation

# Multiphonon results

## Numerics



# ELF Derivation (I)

Need to use *linear response theory*, essentially non-relativistic QFT

Susceptibility: how does the crystal respond to a density perturbation?

$$\chi(\omega, \mathbf{k}) = -\frac{i}{V} \int_0^\infty dt e^{i\omega t} \langle [n_{\mathbf{k}}(t), n_{-\mathbf{k}}(0)] \rangle$$

↓

Crystal  
volume

↓

Electron number  
density operator

*This is the non-relativistic, retarded Green's function (fully dressed)*

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$$\text{Im}\chi(\omega, \mathbf{k}) = -\frac{1}{2}(1 - e^{-\beta\omega})S(\omega, \mathbf{k}) \quad \beta \equiv \frac{1}{k_B T}$$

With the dynamical structure factor defined as

$$S(\omega, \mathbf{k}) \equiv \frac{2\pi}{V} \sum_{i,f} \frac{e^{-\beta E_i}}{Z} |\langle f | n_{-\mathbf{k}} | i \rangle|^2 \delta(\omega + E_i - E_f)$$

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Fermi's golden rule



# ELF Derivation (II)

Now consider the response to an external electromagnetic perturbation.

The induced electron number density is

$$\begin{aligned}\langle \delta n(\mathbf{k}, \omega) \rangle &= \langle n(\mathbf{k}, \omega) H_{coul} \rangle \\ &= -\frac{e}{k^2} \chi(\mathbf{k}, \omega) \rho_{ext}(\mathbf{k}, \omega)\end{aligned}$$

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$$H_{coul} = -e \int \frac{d^3 \mathbf{k}}{(2\pi)^2} \frac{e^{i\mathbf{k}\cdot\mathbf{x}}}{k^2} n(-\mathbf{k}, \omega) \rho_{ext}(\mathbf{k}, \omega)$$

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Using Maxwell's equations

$$i\mathbf{k} \cdot \mathbf{D}(\mathbf{k}, \omega) = 4\pi \rho_{ext}(\mathbf{k}, \omega)$$

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Now plugging this into the fluctuation-dissipation theorem

$$S(\omega, \mathbf{k}) = \frac{k^2}{2\pi\alpha_{em}} \frac{1}{1 - e^{-\beta\omega}} \text{Im} \left[ \frac{-1}{\epsilon(\omega, \mathbf{k})} \right]$$

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# DM-electron scattering rate

Full formula

$$R = \frac{1}{\rho_T} \frac{\rho_\chi}{m_\chi} \frac{\bar{\sigma}_e}{\mu_{\chi e}^2} \frac{\pi}{\alpha_{em}} \int d^3v \boxed{f_\chi(v)} \int \frac{d^3\mathbf{k}}{(2\pi)^3} k^2 \boxed{|F_{DM}(k)|^2} \int \frac{d\omega}{2\pi} \frac{1}{1 - e^{-\beta\omega}} \boxed{\text{Im} \left[ \frac{-1}{\epsilon(\omega, \mathbf{k})} \right]} \delta \left( \omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right).$$

↓
↓
↓

DM velocity distribution
DM form factor
ELF

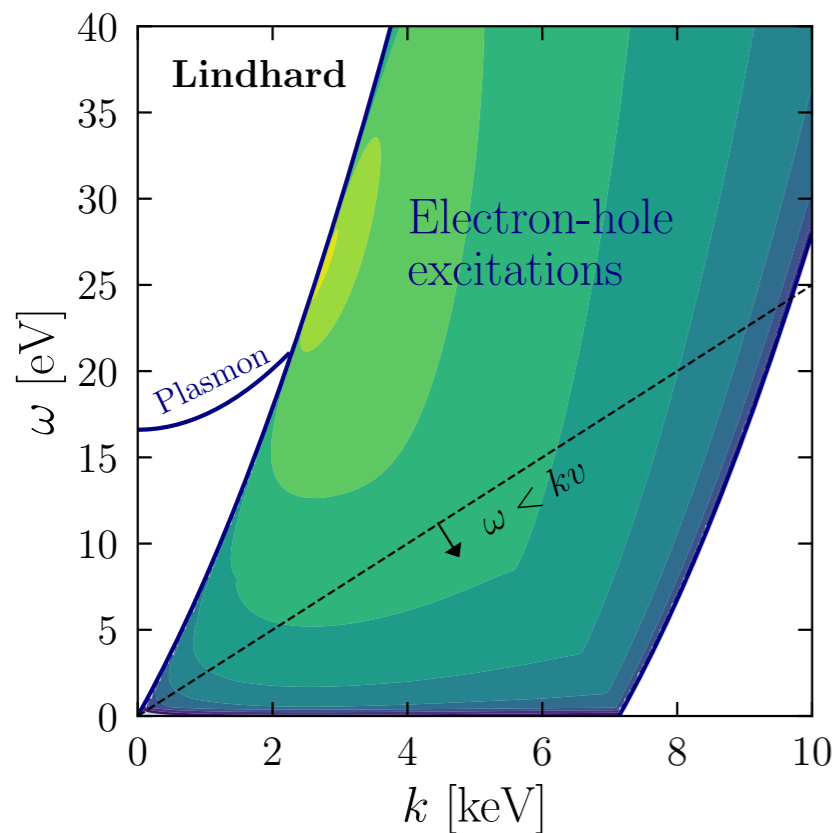
Advantages of using the ELF:

- Screening included automatically
- ELF has been measured and calculated extensively in the condensed matter literature

Applicable to *any* mediator that couples to  $e^-$  density

(e.g. scalar mediator and dark photon mediator yield *identical* scattering rate)

# Lindhard model



Homogenous, free electron gas:

$$\epsilon_{\text{Lin}}(\omega, k) = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \lim_{\eta \rightarrow 0} \left[ f \left( \frac{\omega + i\eta}{k v_F}, \frac{k}{2m_e v_F} \right) \right]$$

with

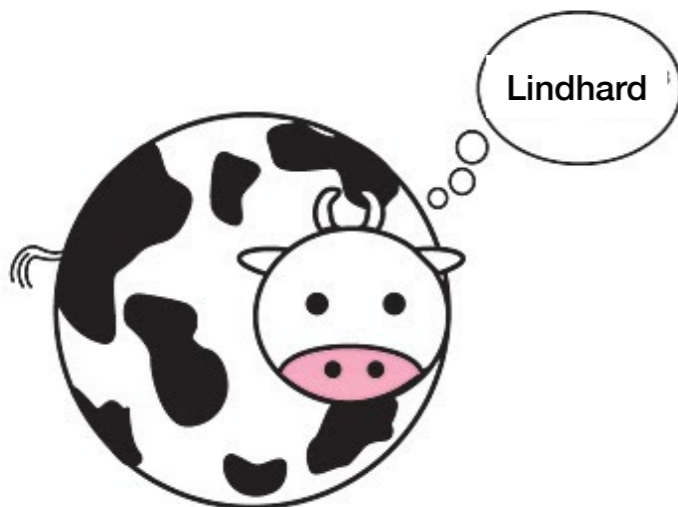
$$v_F = \left( \frac{3\pi\omega_p^2}{4\alpha m_e^2} \right)^{1/3} \text{ Plasmon frequency}$$

$$f(u, z) = \frac{1}{2} + \frac{1}{8z} [g(z - u) + g(z + u)]$$

$$g(x) = (1 - x^2) \log \left( \frac{1 + x}{1 - x} \right)$$

Features:

- Pauli blocking
- e-h pair continuum
- Plasmon width
- Low k region
- Bandgap



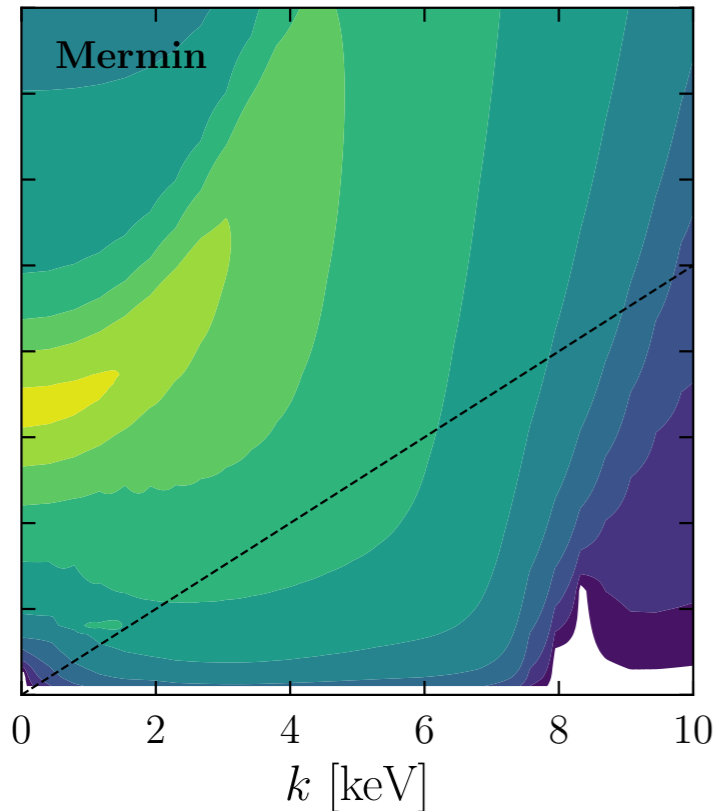
# Mermin model

Homogenous, free electron gas with dissipation ( $\Gamma$ )

$$\epsilon_{\text{Mer}}(\omega, k) = 1 + \frac{(1 + i\frac{\Gamma}{\omega})(\epsilon_{\text{Lin}}(\omega + i\Gamma, k) - 1)}{1 + (i\frac{\Gamma}{\omega})\frac{\epsilon_{\text{Lin}}(\omega + i\Gamma, k) - 1}{\epsilon_{\text{Lin}}(0, k) - 1}}$$

Fit a linear combination of Mermin oscillators to optical data:

$$\text{Im} \left[ \frac{-1}{\epsilon(\omega, k)} \right] = \sum_i A_i(k) \text{Im} \left[ \frac{-1}{\epsilon_{\text{Mer}}(\omega, k; \omega_{p,i}, \Gamma_i)} \right]$$

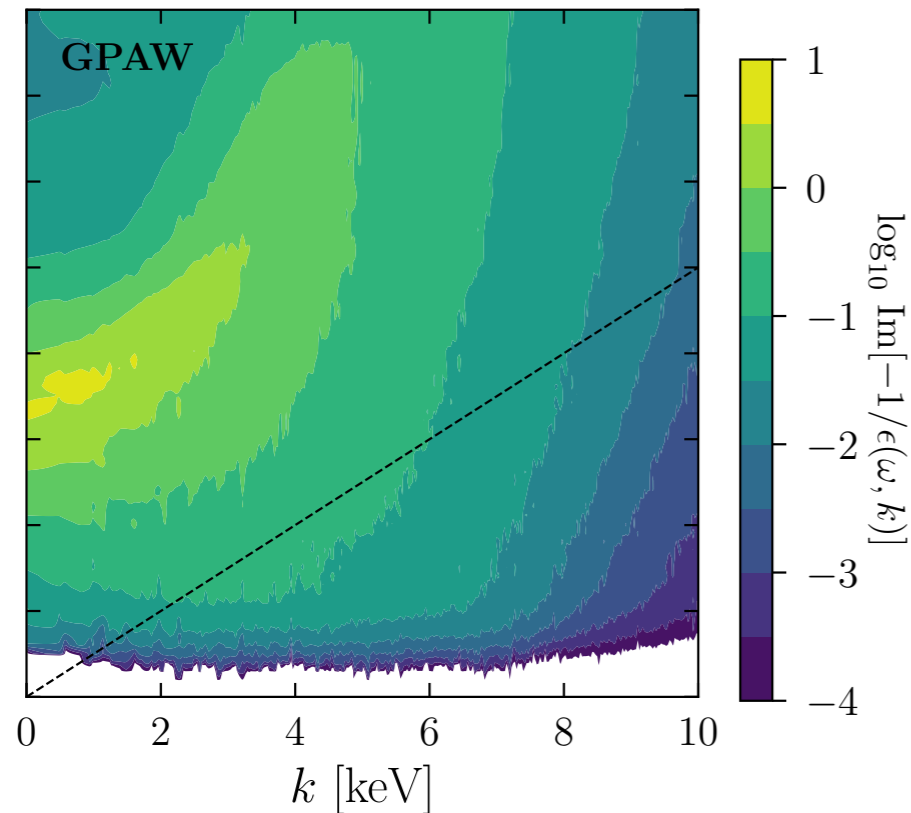


M. Vos, P. Grande: chapidif package  
Data from Y. Sun et. al. Chinese Journal of  
Chemical Physics 9, 663 (2016)

## Features:

- Pauli blocking
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# GPAW method



Compute the ELF from first principles with time-dependent Density Functional Theory methods (TD-DFT)

Puts atoms on periodic lattice and model interacting  $e^-$  as non-interacting  $e^-$  + effective external potential (Kohn-Sham method)

Inner shell  $e^-$  are treated as part of the ion (frozen core approximation)

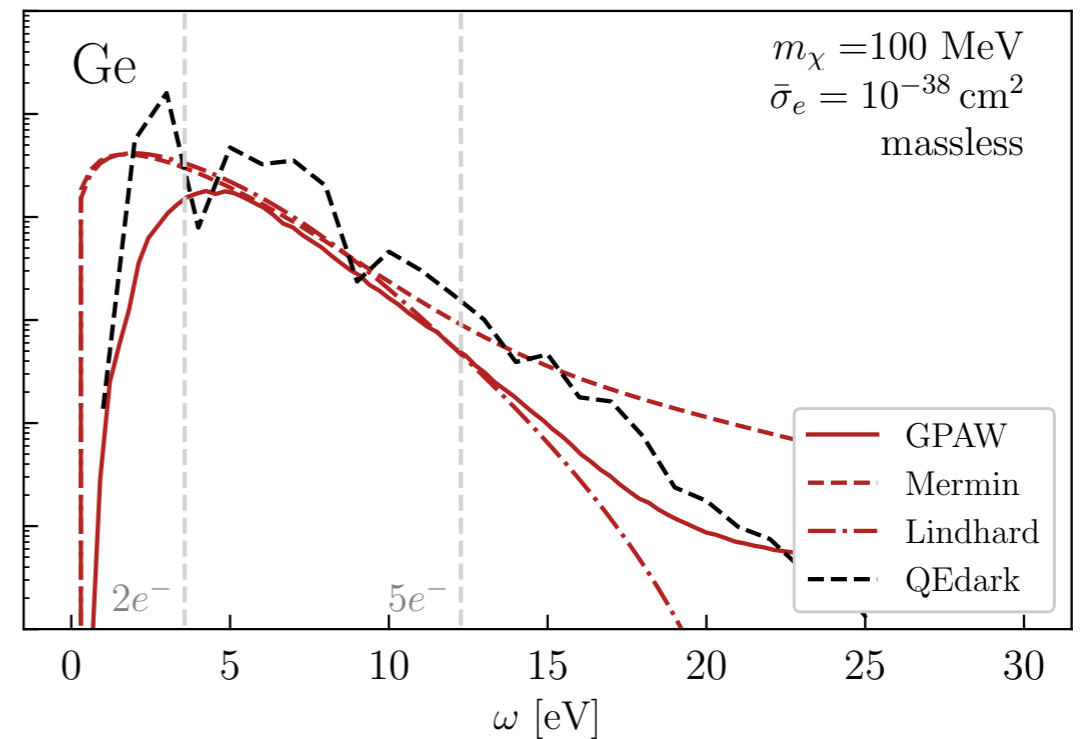
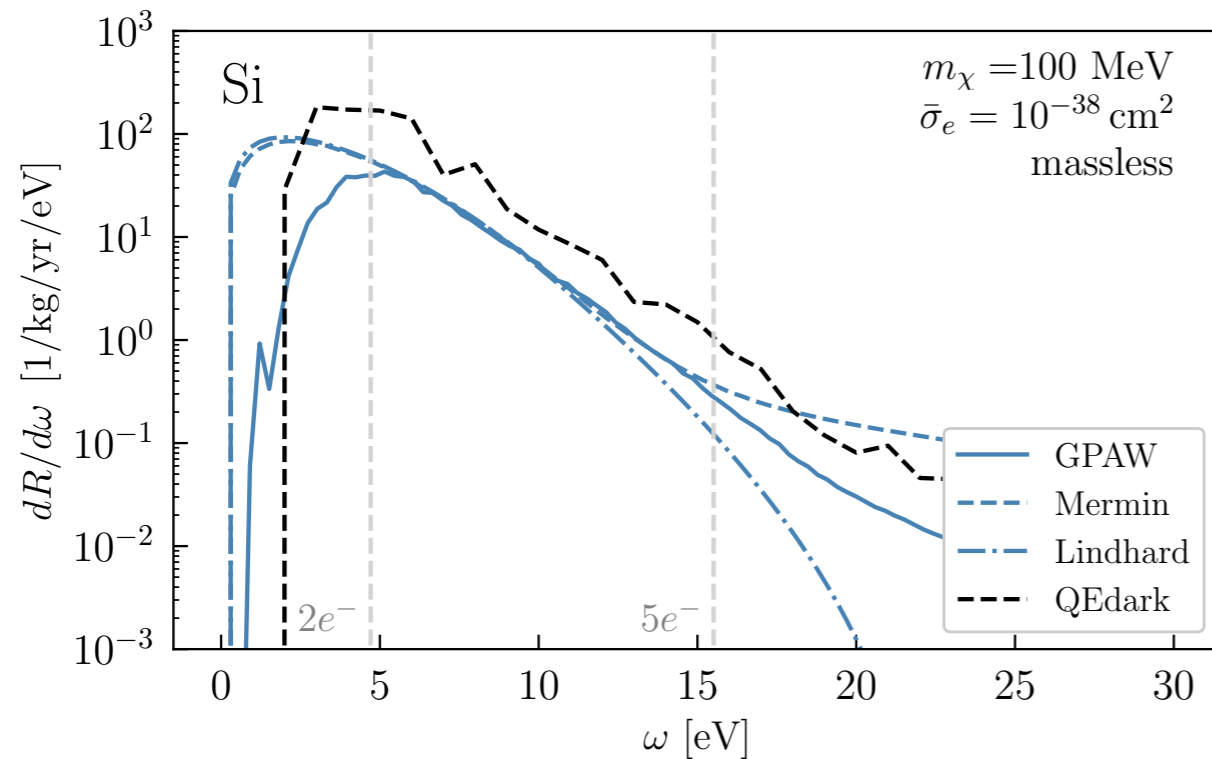
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# Differential rate

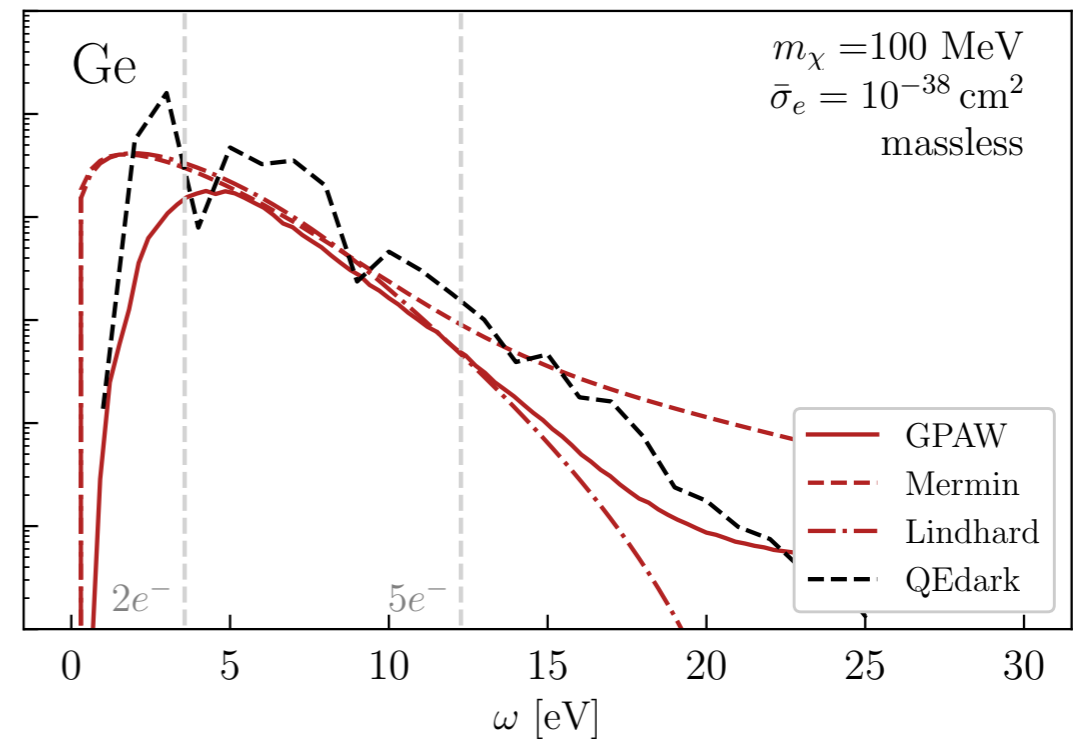
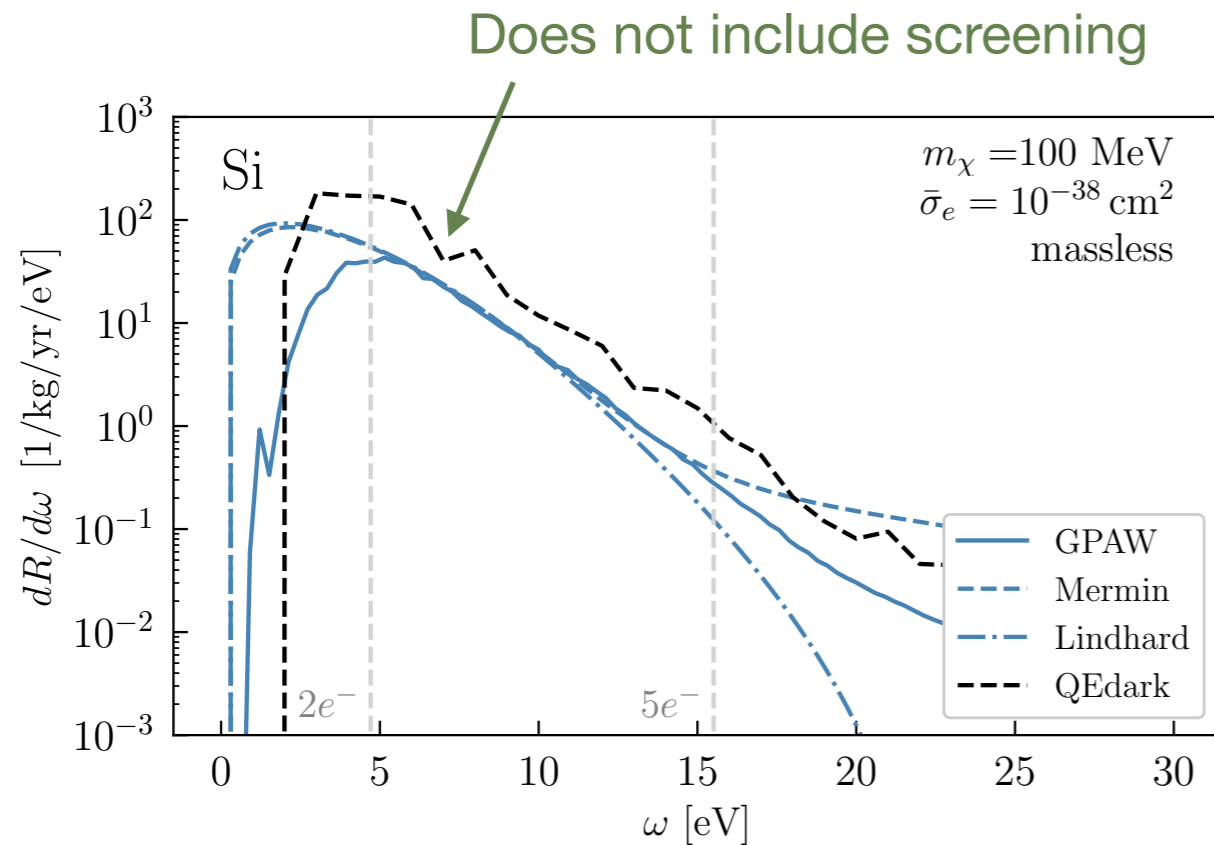


Mermin & GPAW in very good agreement except:

- Single ionization e<sup>-</sup> region (background dominated)
- High energy region (subdominant)

(Agreement is less good in massive mediator case; work in progress)

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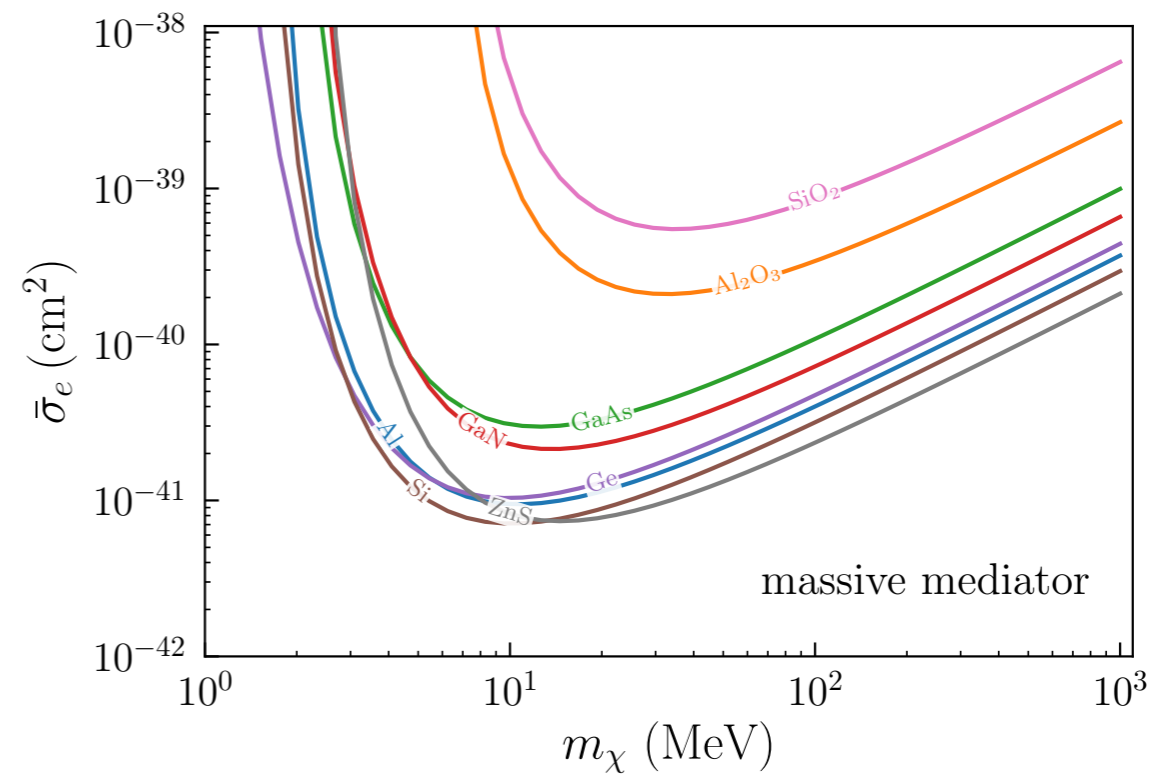
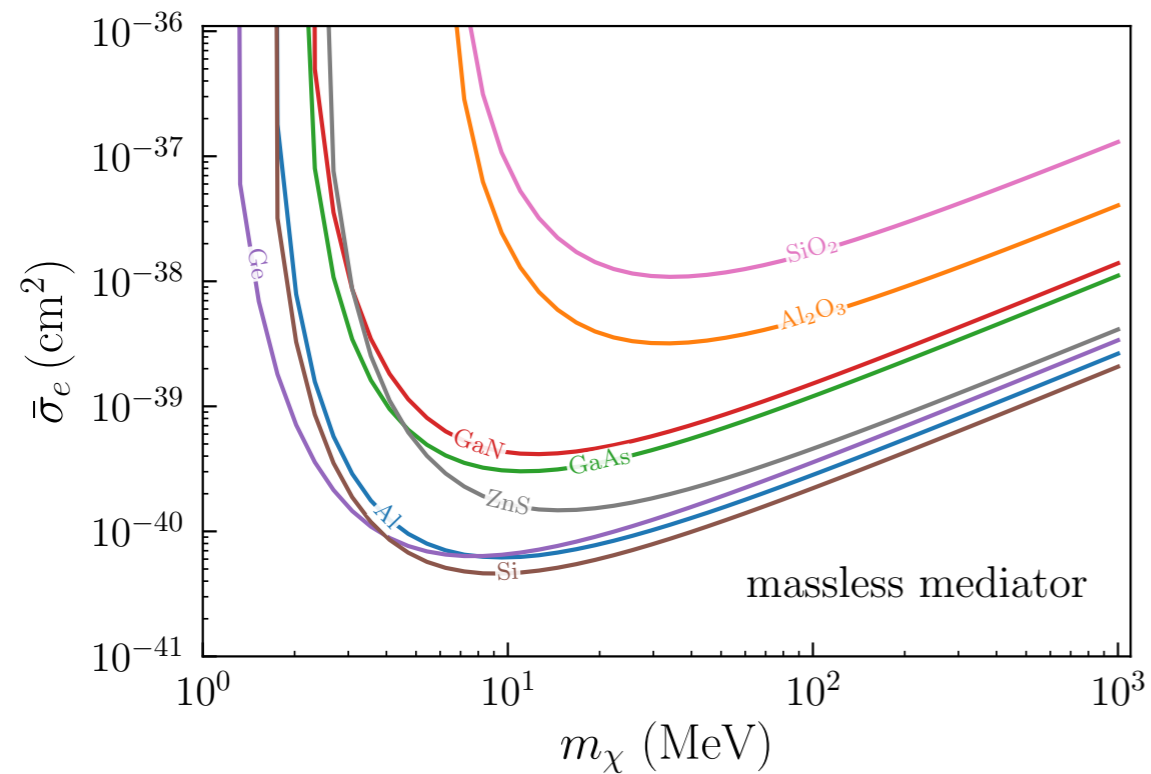
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# Integrated rate: Other materials

Using the *Mermin* method we can easily scan over many possible targets:



So far only *GPAW* results for Ge and Si, other materials are work in progress