# Twin Pati-Salam theory for new and old puzzles in $B$-physics and the SM 

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\text { Based on JHEP } 02 \text { (2023) 188, [2209.00276] [hep-ph] in }
$$ collaboration with Steve King

## An old puzzle in the flavour sector of the SM



- Suggests BSM dynamics behind the origin of Yukawa couplings.


## A new puzzle in $B$-physics

- Theoretically clean observables:

Deviations in the $R_{K^{(*)}}$ ratios are gone LHCb [2212.09152], and the combination of $\mathcal{B}\left(B_{s} \rightarrow \mu \mu\right)$ measurements (see talk by Ben Allanach!) is closer to the SM after new data by CMS [CMS PAS BPH-21-006].

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- However, now an important tension exists between the $R_{K^{(*)}}$ ratios and the remaining $b \rightarrow s \mu \mu$ data (caveat: hadronic uncertainties Gubernari et al, [2206.03797] and fit mostly driven by LHCb).


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- Measurements by meson factories reinforced by LHCb in late 2022 (plus new LHCb update on $R_{D^{*}}$ coming tomorrow!).
- BSM interpretation? Strong NP coupled to the third family, competing with SM charged currents.


## $U_{1}$ vector leptoquark?

- $U_{1}(\mathbf{3}, \mathbf{1}, 2 / 3)$ can explain $R_{D^{(*)}}$ at tree-level, and provides an important LFU vector contribution to $b \rightarrow$ sl at 1-loop ( $C_{9}^{U}$ ) Crivellin et al, [1807.02068]



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- Gauge origin of $U_{1}$ at the TeV scale? UV completion?
$\Rightarrow$ perfect opportunity for model builders
$\Rightarrow$ Relate $B$-physics with theoretical questions like quark-lepton unification or the origin of flavour hierarchies.


## 4321 embedding and beyond

$S U(4) \times S U(3)^{\prime} \times S U(2)_{L} \times U(1)_{Y^{\prime}} \Rightarrow U_{1}, g^{\prime}, Z^{\prime}+$ vector-like fermions
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- The third family transforms under $S U(4)$ (the rest are singlets)

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- We will present here a theory of flavour featuring a fermiophobic 4321 at TeV scale, with extended phenomenology


## Twin Pati-Salam theory of flavour



| Field | $S U(4)_{P S}^{\prime}$ | $S U(2)_{L}^{l}$ | $S \cup(2)_{R}^{l}$ | $S U(4)_{P S}^{\prime \prime}$ | $S U(2)_{L}^{\prime \prime}$ | $S U(2)_{R}^{\prime \prime}$ | 3 SM-like chiral fermion families |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\Psi_{L(1,2,3)}$ | 1 | 1 | 1 | 4 | 2 | 1 |  |
| $\psi_{R(1,2,3)}$ | 1 | 1 | 1 | 4 | 1 | 2 |  |
| $\Psi_{L(4,5,6)}$ | 4 | 2 | 1 | 1 | 1 | 1 | 3 vector-like complete fermion families |
| $\tilde{\sim}_{\sim}^{\sim}(4,5,6)$ | 4 | 2 | 1 | 1 | 1 | 1 |  |
| $\tilde{\psi}_{L(4,5,6}$ | 4 | 1 | 2 | 1 | 1 | 1 |  |
| $\psi_{R(4,5,6)}$ | 4 | 1 | 2 | 1 | 1 | 1 |  |
| $\phi$ | $\overline{4}$ | $\overline{2}$ | 1 | 4 | 2 | 1 | Break 4321 to SM and mix VL-chiral fermions |
| $\bar{\phi}$ | 4 | 1 | 2 | $\overline{4}$ | 1 | $\overline{2}$ |  |
| H | 4 | 2 | 1 | $\overline{4}$ | 1 | $\overline{2}$ | Break EW symmetry |
| $\bar{H}$ | 4 | 1 | $\overline{2}$ | 4 | 2 | 1 |  |
| $\Omega_{15}$ | 15 | 1 | 1 | 1 | 1 | 1 | Splits VL quark-lepton |
| (plus shaping discrete symmetry $Z_{4}$, extra content for high scale SSB and 1st family masses) |  |  |  |  |  |  | masses |

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- High scale PS for chiral (SM-like) fermions: Quark-lepton unification, crucial universality constraints for a predictive framework


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- Low scale PS for vector-like fermions: TeV scale $U_{1}$ coupled to vector-like fermions
- Choice of the scalar sector and twin PS symmetry forbids SM-like Yukawa couplings
- Scalar sector is a link between PS groups, generates VL-chiral fermion mixing $\Rightarrow$ Leads to 2nd-3rd family SM Yukawas, also to $U_{1}$ couplings for $B$-anomalies


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i, j=2,3
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y_{t} \sim \frac{\left\langle\phi_{3}\right\rangle}{M_{4}^{Q}} \sim 1
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- Extended Higgs sector not to be discussed here (but feel free to ask!)
- Predicting the flavour hierarchies naturally leads to dominantly left-handed $U_{1}$ couplings!


## GIM-like mechanism and FCNCs

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\frac{g_{4}}{\sqrt{2}}\left(\begin{array}{lll}
\bar{Q}_{L 4} & \bar{Q}_{L 5} & \bar{Q}_{L 6}
\end{array}\right) \gamma_{\mu}\left(\begin{array}{ccc}
c_{\theta_{L Q}} & -s_{\theta_{L Q}} & 0 \\
s_{\theta_{L Q}} & c_{\theta_{L Q}} & 0 \\
0 & 0 & 1
\end{array}\right)\left(\begin{array}{l}
L_{L 4} \\
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\end{array}\right) U_{1}^{\mu}+\text { h.c. }
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Looks familiar? CKM-like matrix in (VL quark-lepton) flavour space.

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Looks familiar? CKM-like matrix in (VL quark-lepton) flavour space.

- Each VL family mixes with only one chiral family, i.e. we have only (3-4), (2-5) and (1-6) VL-chiral mixing

$$
\frac{g_{4}}{\sqrt{2}}\left(\begin{array}{ccc}
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s_{16}^{Q} s_{26}^{Q} & 0 & 0 \\
0 & c_{\theta_{L Q}} s_{25}^{Q} s_{25}^{Q} & s_{\theta_{L Q}} s_{25}^{Q} s_{34}^{L} \\
0 & -s_{\theta_{L Q}} s_{34}^{Q} s_{25}^{L} & c_{\theta_{L Q}} s_{34}^{Q} s_{34}^{Q}
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but $g^{\prime}, Z^{\prime}$ currents remain flavour diagonal, up to chiral fermion mixing (CKM and leptons)

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\end{array}\right) \gamma_{\mu}\left(\begin{array}{ccc}
c_{\theta_{L Q}} & -s_{\theta_{L Q}} & 0 \\
s_{\theta_{L Q}} & c_{\theta_{L Q}} & 0 \\
0 & 0 & 1
\end{array}\right)\left(\begin{array}{l}
L_{L 4} \\
L_{L 5} \\
L_{L 6}
\end{array}\right) U_{1}^{\mu}+\text { h.c. }
$$

Looks familiar? CKM-like matrix in (VL quark-lepton) flavour space.

- Each VL family mixes with only one chiral family, i.e. we have only (3-4), (2-5) and (1-6) VL-chiral mixing

$$
\frac{g_{4}}{\sqrt{2}}\left(\begin{array}{ccc}
\bar{Q}_{L 1} & \bar{Q}_{L 2} & \bar{Q}_{L 3}
\end{array}\right) \gamma_{\mu}\left(\begin{array}{ccc}
s_{16}^{Q} s_{26}^{Q} & 0 & 0 \\
0 & c_{\theta_{L Q}} s_{25}^{Q} s_{25}^{Q} & s_{\theta_{L Q}} s_{25}^{Q} s_{34}^{L} \\
0 & -s_{\theta_{L Q} s_{34}^{Q} s_{25}^{L}} c_{\theta_{L Q}} s_{34}^{Q} s_{34}^{Q}
\end{array}\right)\left(\begin{array}{c}
L_{L 1} \\
L_{L 2} \\
L_{L 3}
\end{array}\right) U_{1}^{\mu}+\text { h.c. }
$$

but $g^{\prime}, Z^{\prime}$ currents remain flavour diagonal, up to chiral fermion mixing (CKM and leptons)

$$
\left.\begin{array}{c}
\beta_{b \tau}=c_{\theta_{L Q}} s_{34}^{Q} s_{34}^{L} \\
\beta_{s \tau}=s_{\theta_{L Q}} s_{25}^{Q} s_{34}^{L} \approx \beta_{c \nu_{\tau}}
\end{array}\right\} \Rightarrow R_{D^{(*)}} \propto \beta_{b \tau}^{*} \beta_{s \tau}
$$

$s_{\theta_{L Q}} \approx 1 / \sqrt{2}$ allows for the largest contribution to $R_{D^{(*)}}$
$\Rightarrow \beta_{b \tau} \approx 1 / \sqrt{2}<1$ protects from tight constraints at high- $p_{T}$.

## GIM-like mechanism and FCNCs

$$
\mathcal{L}_{g^{\prime}} \approx g_{4}\left(\begin{array}{lll}
\bar{Q}_{L 1} & \bar{Q}_{L 2} & \bar{Q}_{L 3}
\end{array}\right) \gamma^{\mu} T^{2}\left(\begin{array}{ccc}
\left(s_{16}^{Q}\right)^{2} & 0 & 0 \\
0 & \left(s_{25}^{Q}\right)^{2} & 0 \\
0 & 0 & \left(s_{34}^{Q}\right)^{2}
\end{array}\right)\left(\begin{array}{l}
Q_{L 1} \\
Q_{L 2} \\
Q_{L 3}
\end{array}\right) g_{\mu}^{\prime \beta},
$$

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Q_{L 2} \\
Q_{L 3}
\end{array}\right) g_{\mu}^{\prime a},
$$

- If $s_{34}^{Q, L}=s_{25}^{Q, L}=s_{16}^{Q, L}$ then all tree-level FCNCs forbidden, but angles either too small to explain anomalies or coloron production is left unsuppressed.


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\left(s_{10}^{Q}\right)^{2} & 0 & 0 \\
0 & \left(s_{25}^{Q}\right)^{2} & 0 \\
0 & 0 & \left(s_{34}^{\mathrm{Q}}\right)^{2}
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Q_{L 2} \\
Q_{L 3}
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$$

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$$
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$$

- We now fix all VEVs and VL masses to explore the parameter space of $s_{34}^{Q, L} \propto x_{34}^{\psi}$ and $s_{25}^{Q, L} \propto x_{25}^{\psi}$.


## $R_{K^{(\cdot)}}$ and $R_{D^{(\cdot)}}, B_{s}-\bar{B}_{s}$ mixing



- $R_{D}=R_{D^{*}}$ predicted, $R_{D^{(*)}} \propto\left(x_{34}^{\psi}\right)^{3}\left(x_{25}^{\psi}\right)$.


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- Light VL lepton required to relax $\Delta M_{s}, 2.8 \sigma$ excess at CMS searches of a VL lepton with these features [2208.09700]


## Universal contribution to $b \rightarrow$ sll




- LFU contribution to $C_{9}^{U} \propto\left(x_{34}^{\psi}\right)^{3}\left(x_{25}^{\psi}\right)$ correlated to $R_{D^{(*)}} \propto\left(x_{34}^{\psi}\right)^{3}\left(x_{25}^{\psi}\right)$ and $R_{K^{(*)}} \propto\left(x_{34}^{\psi}\right)\left(x_{25}^{\psi}\right)^{3}$, agreement with the latter imposes $C_{9}^{U} \approx-0.4$.


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- Reduces the tension in $b \rightarrow$ sll data, but cannot reach the values preferred by the global fits.
- However, the fit to $b \rightarrow s \ell \ell$ data can change in the future (hadronic uncertainties and/or experimental numbers can shift).


## LFV processes



- General 4321 models predict only the smaller $U_{1}$ signal.

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- $Z^{\prime}$ signal is intrinsic to the twin PS model due to the $\mu-\tau$ mixing predicted: a consequence of the mechanism explaining flavour hierarchies.
- Signals predicted also in $\tau \rightarrow \mu \gamma B_{s} \rightarrow \tau \mu, B \rightarrow K \tau \mu, \tau \rightarrow \mu \phi$ for LHCb and Belle II searches.


## Rare decays



$$
\delta \mathcal{B}\left(B \rightarrow K^{(*)} \nu \bar{\nu}\right)=\frac{\mathcal{B}\left(B \rightarrow K^{(*)} \nu \bar{\nu}\right)}{\mathcal{B}\left(B \rightarrow K^{(*)} \nu \bar{\nu}\right)_{\mathrm{SM}}}-1
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- $B \rightarrow K^{(*)} \nu \nu$ enhanced, all parameter space whithin the reach of Belle II.


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- $R_{D}=R_{D^{*}}$ is predicted and correlated to LFU $b \rightarrow s \ell \ell, R_{K^{(*)}}$ is suppressed (but expect to see small deviations from 1 eventually).
- Tree-level LFV via $Z^{\prime}$ associated to charged lepton mixing, $B \rightarrow K^{(*)} \nu \nu$ to be tested in Belle II, naturally light VL leptons below TeV (and more in the paper).


## Acknowledgements

The author acknowledges support from the European Union's Horizon 2020 Research and Innovation programme under the Marie Skłodowska-Curie grant agreement No. 860881-HIDDeN.

Hunting Invisibles: Dark sectors, Dark matter and Neutrinos

## Backup: Gauge boson masses

$$
\begin{gathered}
M_{U_{1}}=\frac{1}{2} g_{4} \sqrt{3 v_{1}^{2}+3 v_{3}^{2}+\frac{4}{3} v_{15}^{2}}, \\
M_{g^{\prime}}=\frac{\sqrt{3}}{\sqrt{2}} \sqrt{g_{4}^{2}+g_{3}^{2}} v_{3}, \\
M_{Z^{\prime}}=\frac{1}{2} \sqrt{\frac{3}{2}} \sqrt{g_{4}^{2}+\frac{2}{3} g_{1}^{2}} \sqrt{3 v_{1}^{2}+v_{3}^{2}} .
\end{gathered}
$$

with

$$
\left\langle\phi_{3}\right\rangle=\left(\begin{array}{ccc}
\frac{v_{3}}{\sqrt{2}} & 0 & 0 \\
0 & \frac{v_{3}}{\sqrt{2}} & 0 \\
0 & 0 & \frac{v_{3}}{\sqrt{2}} \\
0 & 0 & 0
\end{array}\right), \quad\left\langle\phi_{1}\right\rangle=\left(\begin{array}{c}
0 \\
0 \\
0 \\
\frac{v_{1}}{\sqrt{2}}
\end{array}\right), \quad\left\langle\Omega_{15}\right\rangle=\frac{1}{2 \sqrt{6}} \operatorname{diag}(1,1,1,-3) v_{15}
$$

The choice $v_{3} \gg v_{1}$ leads to $M_{g^{\prime}} \approx \sqrt{2} M_{U_{1}}$ (phenomenologically motivated).

## Backup: Personal Higgses

$$
\begin{aligned}
& H(\overline{4}, \overline{2}, 1 ; 4,2,1) \rightarrow H_{t}(\overline{4}, 3, \overline{2}, 2 / 3), H_{b}(\overline{4}, 3, \overline{2},-1 / 3), H_{\tau}(\overline{4}, 1, \overline{2},-1), H_{\nu_{\tau}}(\overline{4}, 1, \overline{2}, 0), \\
& H(\overline{4}, \overline{2}, 1 ; \overline{4}, 1, \overline{2}) \rightarrow H_{c}(4, \overline{3}, \overline{2}, 1 / 3), H_{s}(4, \overline{3}, \overline{2},-2 / 3), H_{\mu}(\overline{4}, 1, \overline{2}, 0), H_{\nu_{\mu}}(\overline{4}, 1, \overline{2}, 1),
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\end{aligned}
$$

- FCNCs in the Higgs basis? $\Rightarrow$ we assume that only one pair of Higgs doublets, $H_{u}$ and $H_{d}$ are light, given by linear combinations of the personal Higgs,

$$
H_{u}=\widetilde{\alpha}_{u} H_{t}+\widetilde{\beta}_{u} H_{c}+\widetilde{\gamma}_{u} H_{\nu_{\tau}}+\widetilde{\delta}_{u} H_{\nu_{\mu}}, H_{d}=\widetilde{\alpha}_{d} H_{b}+\widetilde{\beta}_{d} H_{s}+\widetilde{\gamma}_{d} H_{\tau}+\widetilde{\delta}_{d} H_{\mu}
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- The orthogonal linear combinations are very heavy, only the light Higgs doublets get VEVs for EW SSB, $\left\langle H_{u}\right\rangle=v_{u},\left\langle H_{d}\right\rangle=v_{d}$,
- Familiar from $S O(10)$ models, where 6 Higgs doublets arise as $H_{10}, H_{120}, H_{126}$, two from each, but below the $S O(10)$ scale only two Higgs are light. We invert the unitary transformations

$$
\begin{array}{llll}
H_{t}=\alpha_{u} H_{u}+\ldots, & H_{b}=\alpha_{d} H_{d}+\ldots, & H_{\tau}=\gamma_{d} H_{d}+\ldots, & H_{\nu_{\tau}}=\gamma_{u} H_{u}+\ldots \\
H_{c}=\beta_{u} H_{u}+\ldots, & H_{s}=\beta_{d} H_{d}+\ldots, & H_{\mu}=\delta_{d} H_{d}+\ldots, & H_{\nu_{\mu}}=\delta_{u} H_{u}+\ldots,
\end{array}
$$

the personal Higgses in the original basis can be thought of as gaining VEVs $\left\langle H_{t}\right\rangle=\alpha_{u} v_{u}=v_{S M} / \sqrt{2}$, etc and $\left\langle H_{b, s, \tau, \mu}\right\rangle \sim \mathcal{O}(\mathrm{GeV}),\left\langle H_{t, c}\right\rangle \sim v_{S M} / \sqrt{2}$

## High- $p_{T}$ signatures



| Particle | Decay mode | $\mathcal{B}$ (benchmark) |
| :---: | :---: | :---: |
|  | $Q_{3} L_{5}+Q_{5} L_{3}$ | $\sim 0.47$ |
| $U_{1}$ | $Q_{3} L_{3}$ | $\sim 0.22$ |
|  | $Q_{5} L_{5}$ | $\sim 0.24$ |
|  | $Q_{i} L_{2}+Q_{3} L_{i}$ | $\sim 0.07$ |
|  | $Q_{3} Q_{3}$ | $\sim 0.3$ |
| $g^{\prime}$ | $Q_{5} Q_{5}$ | $\sim 0.3$ |
|  | $Q_{6} Q_{6}$ | $\sim 0.3$ |
|  | $Q_{1} Q_{6}+Q_{2} Q_{5}+Q_{3} Q_{4}$ | $\sim 0.1$ |
|  | $L_{5} L_{5}$ | $\sim 0.29$ |
| $Z^{\prime}$ | $L_{6} L_{6}$ | $\sim 0.29$ |
|  | $L_{3} L_{3}$ | $\sim 0.27$ |
|  | $Q_{3} Q_{3}+Q_{5} Q_{5}+Q_{6} Q_{6}$ | $\sim 0.09$ |
|  | $L_{1} L_{6}+L_{2} L_{5}+L_{3} L_{4}$ | $\sim 0.06$ |

- VL fermions with physical masses

$$
\tilde{M}_{a}^{Q}=\sqrt{\left(x_{i a}^{\psi}\left\langle\phi_{3}\right\rangle\right)^{2}+\left(M_{a}^{Q}\right)^{2}}, \quad \tilde{M}_{a}^{L}=\sqrt{\left(x_{i a}^{\psi}\left\langle\phi_{1}\right\rangle\right)^{2}+\left(M_{a}^{L}\right)^{2}},
$$

with VL leptons naturally predicted below 1 TeV (excess at CMS [2208.09700]).

## Backup: VL-chiral mixing

$$
\begin{array}{cc}
s_{34}^{Q}=\frac{x_{34}^{\psi}\left\langle\phi_{3}\right\rangle}{\sqrt{\left(x_{34}^{\psi}\left\langle\phi_{3}\right\rangle\right)^{2}+\left(M_{44}^{Q}\right)^{2}},}, s_{34}^{L}=\frac{x_{34}^{\psi}\left\langle\phi_{1}\right\rangle}{\sqrt{\left(x_{34}^{\psi}\left\langle\phi_{1}\right\rangle\right)^{2}+\left(M_{44}^{L}\right)^{2}}}, \\
s_{25}^{Q}=\frac{x_{25}^{\psi}\left\langle\phi_{3}\right\rangle}{\sqrt{\left(x_{25}^{\psi}\left\langle\phi_{3}\right\rangle\right)^{2}+\left(M_{55}^{Q}\right)^{2}},} \quad s_{25}^{L}=\frac{x_{25}^{\psi}\left\langle\phi_{1}\right\rangle}{\sqrt{\left(x_{25}^{\psi}\left\langle\phi_{1}\right\rangle\right)^{2}+\left(M_{55}^{L}\right)^{2}},} \\
s_{16}^{Q}=\frac{x_{16}^{\psi}\left\langle\phi_{3}\right\rangle}{\sqrt{\left(x_{16}^{\psi}\left\langle\phi_{3}\right\rangle\right)^{2}+\left(M_{66}^{Q}\right)^{2}},} \quad s_{16}^{L}=\frac{x_{16}^{\psi}\left\langle\phi_{1}\right\rangle}{\sqrt{\left(x_{16}^{\psi}\left\langle\phi_{1}\right\rangle\right)^{2}+\left(M_{66}^{L}\right)^{2}},} \\
\tilde{M}_{5}^{Q}=\sqrt{\left(x_{25}^{\psi}\left\langle\phi_{3}\right\rangle\right)^{2}+\left(x_{35}^{\psi}\left\langle\phi_{3}\right\rangle\right)^{2}+\left(M_{55}^{Q}\right)^{2}}, & \tilde{M}_{5}^{L}=\sqrt{\left(x_{25}^{\psi}\left\langle\phi_{1}\right\rangle\right)^{2}+\left(x_{35}^{\psi}\left\langle\phi_{1}\right\rangle\right)^{2}+\left(M_{55}^{L}\right)^{2}}, \\
\tilde{M}_{6}^{Q}=\sqrt{\left(x_{16}^{\psi}\left\langle\phi_{3}\right\rangle\right)^{2}+\left(M_{66}^{Q}\right)^{2}}, & \tilde{M}_{6}^{L}=\sqrt{\left(x_{16}^{\psi}\left\langle\phi_{1}\right\rangle\right)^{2}+\left(M_{66}^{L}\right)^{2}} .
\end{array}
$$

## Backup: Mass matrix, block-diagonalisation

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Block-diagonalised via (effective mass matrices arise):

$$
\begin{aligned}
& V_{\psi}=V_{16}^{\psi} V_{35}^{\psi} V_{25}^{\psi} V_{34}^{\psi} V_{45}^{\psi} V_{45}^{\overline{\psi^{c}}}, \\
& V_{\psi^{c}}=V_{16}^{\psi^{c}} V_{35}^{\psi^{c}} V_{25}^{\psi^{c}} V_{34}^{\psi^{c}} V_{24}^{\psi^{c}} V_{45}^{\psi^{c}} V_{45}^{\bar{\psi}} .
\end{aligned}
$$

## Backup: Effective Yukawa couplings (mass matrices)

- Zeroes enforced by $Z_{4}$ :

$$
\begin{aligned}
& M_{\text {eff }}^{u}=\left(\begin{array}{cccc} 
& u_{1}^{c^{\prime}} & u_{2}^{c^{\prime}} & u_{3}^{c^{\prime}} \\
Q_{1}^{\prime} \mid & 0 & 0 & 0 \\
Q_{2}^{\prime} \mid & 0 & 0 & s_{25}^{Q} y_{53}^{\psi} \\
Q_{3}^{\prime} \mid & 0 & 0 & s_{34}^{Q} y_{43}^{\psi}
\end{array}\right)\left\langle H_{t}\right\rangle+\left(\begin{array}{cccc} 
& u_{1}^{c^{\prime}} & u_{2}^{c^{\prime}} & u_{3}^{c^{\prime}} \\
\begin{array}{c}
0 \\
Q_{1}^{\prime} \mid \\
Q_{2}^{\prime} \mid \\
0
\end{array} & c_{25}^{Q} s_{24}^{c^{c}} y_{24}^{\psi} & c_{25}^{Q} q_{34}^{c} y_{24}^{\psi} \\
Q_{3}^{\prime} \mid & 0 & c_{34}^{Q} s_{24}^{c} y_{34}^{\psi} & c_{34}^{Q} q_{34}^{c} y_{34}^{\psi}
\end{array}\right)\left\langle H_{c}\right\rangle+\text { h.c. }, \\
& M_{\text {eff }}^{d}=\left(\begin{array}{cccc} 
& d_{1}^{c^{c^{\prime}}} & d_{2}^{c^{\prime}} & d_{3}^{c^{\prime}} \\
\hline Q_{1}^{\prime} \mid & 0 & 0 & 0 \\
Q_{2}^{\prime} \mid & 0 & 0 & s_{25}^{Q} y_{53}^{\psi} \\
Q_{3}^{\prime} \mid & 0 & 0 & s_{34}^{Q} y_{43}^{\psi}
\end{array}\right)\left\langle H_{b}\right\rangle+\left(\begin{array}{ccc} 
& d_{1}^{c^{\prime}} & d_{2}^{c^{\prime}} \\
\hline 0 & 0 & d_{3}^{c^{\prime}} \\
Q_{1}^{\prime} \mid & 0 \\
Q_{2}^{\prime} \mid & 0 & c_{25}^{Q} s_{24}^{q^{c}} y_{24}^{\psi} \\
Q_{3}^{\prime} \mid & c_{25}^{Q} s_{34}^{q^{c}} y_{24}^{\psi} \\
0 & c_{34}^{Q} s_{24}^{q^{c}} y_{34}^{\psi} & c_{34}^{Q} s_{34}^{q^{c}} y_{34}^{\psi}
\end{array}\right) \\
& M_{\text {eff }}^{e}=\left(\begin{array}{cccc} 
& e_{1}^{c^{\prime}} & e_{2}^{c^{\prime}} & e_{3}^{c^{\prime}} \\
\cline { 2 - 7 } \\
L_{1}^{\prime} \mid & 0 & 0 & 0 \\
L_{2}^{\prime} \mid & 0 & 0 & s_{25}^{L} y_{53}^{\psi} \\
L_{3}^{\prime} \mid & 0 & 0 & s_{34}^{L} y_{43}^{\psi}
\end{array}\right)\left\langle H_{\tau}\right\rangle+\left(\begin{array}{cccc} 
& e_{1}^{c^{\prime}} & e_{2}^{c^{\prime}} & u_{3}^{c^{\prime}} \\
\left.\begin{array}{ll}
L_{1}^{\prime} \mid & 0 \\
L_{2}^{\prime} \mid & 0 \\
c_{25}^{L} s_{24}^{c^{c}} y_{24}^{\psi} & c_{25}^{L} s_{34}^{c^{c}} y_{24}^{\psi} \\
L_{3}^{\prime} \mid & 0 \\
c_{34}^{L} s_{24}^{e^{c}} y_{34}^{\psi} & c_{34}^{L} s_{34}^{c^{c}} y_{34}^{\psi}
\end{array}\right)\left\langle H_{\mu}\right\rangle+\text { h.c. }, ~
\end{array}\right.
\end{aligned}
$$

- CKM down alligned if

$$
\begin{aligned}
& s_{25}^{Q} y_{53}^{\psi}\left\langle H_{b}\right\rangle+c_{25}^{Q} s_{34}^{q^{c}} y_{24}^{\psi}\left\langle H_{s}\right\rangle \approx 0 \Rightarrow y_{53}^{\psi} \approx(-) \mathcal{O}(0.1-0.5) \Rightarrow \theta_{23}^{d} \approx 0 \\
& \quad \Rightarrow\left\{\begin{array}{c}
\theta_{23}^{u} \approx \frac{-s_{25}^{Q}\left|y_{53}^{\psi}\right|\left\langle H_{t}\right\rangle+c_{25}^{Q} s_{34}^{q^{c}} y_{24}^{\psi}\left\langle H_{c}\right\rangle}{s_{34}^{Q} y_{43}^{\psi}\left\langle H_{t}\right\rangle} \approx s_{25}^{Q}\left|y_{53}^{\psi}\right| \approx \mathcal{O}\left(V_{c b}\right), \\
\theta_{23}^{e} \approx \frac{-s_{25}^{L}\left|y_{53}^{\psi}\right|\left\langle H_{\tau}\right\rangle+c_{25}^{L} s_{34}^{c} y_{24}^{\psi}\left\langle H_{\mu}\right\rangle}{s_{34}^{L} y_{43}^{\psi}\left\langle H_{\tau}\right\rangle} \approx \mathcal{O}\left(V_{c b}-4 V_{c b}\right)
\end{array}\right.
\end{aligned}
$$

## Backup: Top mass

In good approximation, the mass of the top quark is given by the $(3,3)$ entry in $M_{\text {eff }}^{u}$, i.e.

$$
m_{t} \approx s_{34}^{Q} y_{43}^{\psi}\left\langle H_{t}\right\rangle=s_{34}^{Q} y_{43}^{\psi} \alpha_{u} \frac{1}{\sqrt{1+\tan ^{-2} \beta}} \frac{v_{\mathrm{SM}}}{\sqrt{2}},
$$

where $v_{\mathrm{SM}}=246 \mathrm{GeV}$ and we have applied $\left\langle H_{t}\right\rangle=\alpha_{u} v_{u}$, where

$$
v_{u}=\frac{v_{\mathrm{SM}}}{\sqrt{2}} \sin \beta=\frac{1}{\sqrt{1+\tan ^{-2} \beta}} \frac{v_{\mathrm{SM}}}{\sqrt{2}}
$$

as in usual 2 HDM. If we consider $\tan \beta \approx 10$ and $\alpha_{u} \approx 1^{1}$, then we obtain

$$
m_{t} \approx s_{34}^{Q} y_{43}^{\psi} \frac{v_{\mathrm{SM}}}{\sqrt{2}} \equiv y_{t} \frac{v_{\mathrm{SM}}}{\sqrt{2}} .
$$

[^0]
## Backup: 1st family masses

- Add one VL family split across both PS groups, take advantage of scalars performing high scale breaking, $Z_{4}$ still provides flavour structure
- VL masses splitted via $\Omega_{15}$. Texture zero and up-alligned structure in the (1-2) CKM sector.


## Backup: Neutrino masses

Single right-handed neutrino dominance

$$
\begin{gather*}
M_{\nu}^{M}=\left(\begin{array}{ccc}
\tilde{\xi}^{2} & \tilde{\xi}^{5} & \tilde{\xi}_{4}^{4} \\
\tilde{\xi}^{5} & \tilde{\xi}_{2}^{2} & \tilde{\xi}^{2} \\
\tilde{\xi}^{4} & \tilde{\xi} & 1
\end{array}\right) \frac{\left\langle H^{\prime}\right\rangle\left\langle H^{\prime}\right\rangle}{\Lambda} \simeq\left(\begin{array}{ccc}
M_{1}^{M} & 0 & 0 \\
0 & M_{2}^{M} & \tilde{\xi} \\
0 & \xi & M_{3}^{M}
\end{array}\right)  \tag{1}\\
M_{1}^{M} \simeq M_{2}^{M} \simeq \tilde{\xi}^{2} M_{3}^{M}  \tag{2}\\
M_{3}^{M}=\frac{\left\langle H^{\prime}\right\rangle\left\langle H^{\prime}\right\rangle}{\Lambda}  \tag{3}\\
M_{\nu}^{D}=\left(\begin{array}{ccc}
0 & a & a^{\prime} \\
e & b & b^{\prime} \\
f & c & c^{\prime}
\end{array}\right) \tag{4}
\end{gather*}
$$

Now we apply the seesaw formula:

$$
\begin{equation*}
m_{\nu}=M_{\nu}^{D}\left(M_{\nu}^{M}\right)^{-1}\left(M_{\nu}^{D}\right)^{T} \tag{5}
\end{equation*}
$$

If we neglect the off-diagonal $\tilde{\xi}$ terms

$$
m_{\nu}=\left(\begin{array}{ccc}
0 & 0 & 0  \tag{6}\\
0 & e^{2} & e f \\
0 & e f & f^{2}
\end{array}\right) \frac{1}{M_{1}^{M}}+\left(\begin{array}{ccc}
a^{2} & a b & a c \\
a b & b^{2} & b c \\
a c & b c & c^{2}
\end{array}\right) \frac{1}{M_{2}^{M}}+\left(\begin{array}{ccc}
a^{\prime 2} & a^{\prime} b^{\prime} & a^{\prime} c^{\prime} \\
a^{\prime} b^{\prime} & b^{\prime 2} & b^{\prime} c^{\prime} \\
a^{\prime} c^{\prime} & b^{\prime} c^{\prime} & c^{\prime 2}
\end{array}\right) \frac{1}{M_{3}^{M}} .
$$

## Backup: $R_{K^{(*)}}$ and $R_{D^{(*)}}$

$$
\begin{gathered}
\mathcal{L}_{\text {eff }} \supset C_{b s \mu \mu}^{U_{1}}\left(\bar{s}_{L} \gamma_{\mu} b_{L}\right)\left(\bar{\mu}_{L} \gamma^{\mu} \mu_{L}\right)+C_{b c \tau \nu}^{U_{1}}\left(\bar{c}_{L} \gamma_{\mu} b_{L}\right)\left(\bar{\tau}_{L} \gamma^{\mu} \nu_{\tau L}\right)+\text { h.c. } \\
C_{b s \mu \mu}^{U_{1}}=-\frac{g_{4}^{2}}{2 M_{U_{1}}^{2}} \beta_{b \mu}^{*} \beta_{s \mu}=\frac{g_{4}^{2}}{2 M_{U_{1}}^{2}} c_{\theta_{L Q}} s_{\theta_{L Q}} s_{25}^{Q} s_{34}^{Q}\left(s_{25}^{L}\right)^{2}, \\
C_{b c \tau \nu_{\tau}}^{U_{1}}=-\frac{g_{4}^{2}}{2 M_{U_{1}}^{2}} \beta_{b \tau}^{*} \beta_{c \nu_{\tau}}=-\frac{g_{4}^{2}}{2 M_{U_{1}}^{2}} c_{\theta_{L Q}} s_{\theta_{L Q}} s_{25}^{Q} s_{34}^{Q}\left(s_{34}^{L}\right)^{2}
\end{gathered}
$$

in order to fit

$$
\begin{gathered}
C_{b s \mu \mu}^{U_{1}}=\frac{4 G_{F}}{\sqrt{2}} V_{t b} V_{t s}^{*} \frac{\alpha}{4 \pi} 2 \delta C_{L}^{\mu}, \quad \delta C_{L}^{\mu}=-0.7_{-0.07}^{+0.07}, \\
C_{c b \tau \nu_{\tau}}^{U_{1}}=-2 \sqrt{2} G_{F} V_{c b} g_{V_{L}}, \quad g V_{L}=0.07 \pm 0.02
\end{gathered}
$$

## Backup: $\Delta M_{s}$

$$
\begin{gather*}
\delta\left(\Delta M_{s}\right) \equiv \frac{\Delta M_{s}-\Delta M_{s}^{\mathrm{SM}}}{\Delta M_{s}^{S \mathrm{M}}}=\left|1+\frac{C_{b s}^{\mathrm{NP}}}{C_{b s}^{\mathrm{SM}}}\right|-1=\frac{C_{b s}^{\mathrm{NP}}}{C_{b s}^{\mathrm{SM}}} \lesssim 0.11  \tag{7}\\
C_{b s}^{\mathrm{NP}-\text { loop }}=\frac{g_{4}^{4}}{\left(8 \pi M_{U_{1}}\right)^{2}} \sum_{\alpha, \beta}\left(\beta_{s \alpha}^{*} \beta_{b \alpha}\right)\left(\beta_{s \beta}^{*} \beta_{b \beta}\right) F\left(x_{\alpha}, x_{\beta}\right) \tag{8}
\end{gather*}
$$

where $\alpha, \beta=\mu, \tau, E_{4}, E_{5}$ run for all charged leptons, including the vector-like partners, and $x_{\alpha}=\left(m_{\alpha} / M_{U}\right)^{2}$. We have generalised the loop function in [Fuentes-Martin et al, 2009.11296] to the case of more than one VL families,

$$
\begin{equation*}
F\left(x_{\alpha}, x_{\beta}\right)=\left(1+\frac{x_{\alpha} x_{\beta}}{4}\right) B\left(x_{\alpha}, x_{\beta}\right) \tag{9}
\end{equation*}
$$

where

$$
\begin{equation*}
B\left(x_{\alpha}, x_{\beta}\right)=\frac{1}{\left(1-x_{\alpha}\right)\left(1-x_{\beta}\right)}+\frac{x_{\alpha}^{2} \log x_{\alpha}}{\left(x_{\beta}-x_{\alpha}\right)\left(1-x_{\alpha}^{2}\right)}+\frac{x_{\beta}^{2} \log x_{\beta}}{\left(x_{\alpha}-x_{\beta}\right)\left(1-x_{\beta}^{2}\right)} \tag{10}
\end{equation*}
$$

The product of couplings $\beta_{s \alpha}^{*} \beta_{b \alpha}$ has the fundamental property

$$
\begin{equation*}
\sum_{\alpha} \beta_{s \alpha}^{*} \beta_{b \alpha}=0 \tag{11}
\end{equation*}
$$

## Backup: Perturbativity

The low-energy 4321 theory must remain perturbative until the high scale of the twin Pati-Salam symmetry.



## Backup: Gauge bosons couplings

$$
\begin{aligned}
& \mathcal{L}_{U_{1}}^{\text {gauge }}=\frac{g_{4}}{\sqrt{2}} Q_{i}^{\dagger^{\prime}} \gamma_{\mu}\left(\begin{array}{ccc}
s_{16}^{Q} s_{16}^{L} \epsilon & 0 & 0 \\
0 & c_{\theta_{L Q}} s_{25}^{Q} s_{25}^{L} & s_{\theta_{L Q}} s_{25}^{Q} s_{34}^{L} \\
0 & -s_{\theta_{L Q}} s_{34}^{Q} s_{25}^{L} & c_{\theta_{L Q}} s_{34}^{Q} s_{34}^{L}
\end{array}\right) L_{j}^{\prime} U_{1}^{\mu}+\text { h.c. }, \\
& \mathcal{L}_{g^{\prime}}^{\text {gauge }}=\frac{g_{4} g_{s}}{g_{3}} Q_{i}^{\dagger^{\prime}} \gamma^{\mu} T^{a}\left(\begin{array}{ccc}
\left(s_{16}^{Q}\right)^{2}-\left(c_{16}^{Q}\right)^{2} \frac{g_{3}^{2}}{g_{4}^{2}} & 0 & 0 \\
0 & \left(s_{25}^{Q}\right)^{2}-\left(c_{25}^{Q}\right)^{2} \frac{g_{3}^{2}}{g_{4}^{2}} & 0 \\
0 & 0 & \left(s_{34}^{Q}\right)^{2}-\left(c_{34}^{Q}\right)^{2} \frac{g_{3}^{2}}{g_{4}^{2}}
\end{array}\right) Q_{j}^{\prime} g_{\mu}^{a^{\prime}} . \\
& \mathcal{L}_{Z^{\prime}, \ell}^{\text {gauge }}=-\frac{\sqrt{3}}{\sqrt{2}} \frac{g_{4} g_{Y}}{g_{1}} L_{i}^{\dagger^{\prime}} \gamma^{\mu}\left(\begin{array}{ccc}
\frac{1}{2}\left(s_{16}^{L}\right)^{2}-\left(c_{16}^{L}\right)^{2} \frac{g_{1}^{2}}{3 g_{4}^{2}} & 0 & 0 \\
0 & \frac{1}{2}\left(s_{25}^{L}\right)^{2}-\left(c_{25}^{L}\right)^{2} \frac{g_{1}^{2}}{3 g_{4}^{2}} & 0 \\
0 & 0 & \frac{1}{2}\left(s_{34}^{L}\right)^{2}-\left(c_{34}^{L}\right)^{2} \frac{g_{1}^{2}}{3 g_{4}^{2}}
\end{array}\right) L_{j}^{\prime} Z_{\mu}^{\prime} .
\end{aligned}
$$

## Backup: $B \rightarrow K \nu \bar{\nu}$

$$
\mathcal{L}_{b \rightarrow s \nu \nu}=-C_{\nu}^{\tau \tau}\left(\bar{s}_{L} \gamma_{\mu} b_{L}\right)\left(\bar{\nu}_{L \tau} \gamma^{\mu} \nu_{L \tau}\right), C_{\nu}^{\tau \tau}=C_{\nu, \mathrm{NP}}^{\tau}+C_{\nu, \mathrm{SM}} .
$$

We parameterise corrections to the SM branching fraction as

$$
\delta \mathcal{B}\left(B \rightarrow K^{(*)} \nu \bar{\nu}\right)=\frac{\mathcal{B}\left(B \rightarrow K^{(*)} \nu \bar{\nu}\right)}{\mathcal{B}\left(B \rightarrow K^{(*)} \nu \bar{\nu}\right)_{\mathrm{SM}}}-1 \approx \frac{1}{3}\left|\frac{C_{\nu \nu}^{\mathrm{NP}}-C_{\nu \nu}^{\mathrm{SM}}}{C_{\nu \nu}^{\mathrm{SM}}}\right|^{2}-\frac{1}{3} .
$$

We split the NP effects into $Z^{\prime}$-mediated and $U_{1}$-mediated contributions as follows

$$
C_{\nu, \mathrm{NP}}^{\tau \tau}=C_{\nu, Z^{\prime}}^{\tau \tau}+C_{\nu, U}^{\tau \tau} .
$$

The $U_{1}$ contribution at NLO accuracy reads

$$
C_{\nu, U}^{\tau \tau} \approx C_{\nu, U}^{\mathrm{RGE}}+\frac{g_{4}^{4}}{32 \pi^{2} M_{U_{1}}^{2}} \sum_{\alpha, j}\left(\beta_{s \alpha}^{*} \beta_{b \alpha}\right)\left(\beta_{j \nu \tau}\right)^{2} F\left(x_{\alpha}, x_{j}\right),
$$

where the second term arises from the semileptonic box diagram and the first term encodes the RGE-induced contribution from the tree-level leptoquark-mediated operator $\left(\bar{s}_{L} \gamma_{\mu} b_{L}\right)\left(\bar{\tau}_{L} \gamma^{\mu} \tau_{L}\right)$,

$$
\begin{gathered}
C_{\nu, U}^{\mathrm{RGE}}=-0.047 \frac{g_{4}^{2}}{2 M_{U_{1}}^{2}} \beta_{b \tau} \beta_{s \tau} \\
C_{\nu, Z^{\prime}}^{\tau \tau} \approx \frac{3 g_{4}^{2}}{2 M_{Z^{\prime}}^{2}}\left[\xi_{b s} \xi_{\nu_{\tau} \nu_{\tau}}\left(1+\frac{3}{2} \frac{g_{4}^{2}}{16 \pi^{2}} \xi_{\nu_{\tau} \nu_{\tau}}^{2}\right)+\frac{g_{4}^{2}}{16 \pi^{2}} \beta_{s E_{5}}^{*} \beta_{b E_{5}} G_{\Delta Q=1}\left(x_{E_{5}}, x_{Z^{\prime}}, x_{R}\right)\right]
\end{gathered}
$$

where $x_{E_{5}} \equiv\left(M_{5}^{L}\right)^{2} / M_{U}^{2}, x_{Z^{\prime}} \equiv M_{Z^{\prime}}^{2} / M_{U}^{2}$ and $x_{R} \equiv M_{R}^{2} / M_{U}^{2}$ with $M_{R}$ being a scale associated to the radial mode $h_{U}(3,1,2 / 3)$ arising from $\phi_{3,1}$. The loop function [Fuentes-Martin et al, 2009.11296]

$$
G_{\Delta Q=1}\left(x_{1}, x_{2}, x_{3}\right) \approx \frac{5}{4} x_{1}+\frac{x_{1}}{2}\left(x_{2}-\frac{3}{2}\right)\left(\ln x_{3}-\frac{5}{2}\right),
$$

## Backup: Tests of universality in leptonic $\tau$ decays

$$
\begin{align*}
& \left(\frac{g_{\tau}}{g_{\mu}}\right)_{\ell}=1+\frac{9}{12} C_{Z^{\prime}}\left(\left|\xi_{\tau e}\right|^{2}-\left|\xi_{\mu e}\right|^{2}\right)-\eta C_{U}\left(\left|\beta_{b \tau}\right|^{2}-\left|\beta_{b \mu}\right|^{2}\right)  \tag{12}\\
& \left(\frac{g_{\tau}}{g_{e}}\right)_{\ell}=1+\frac{9}{12} C_{Z^{\prime}}\left(\left|\xi_{\tau \mu}\right|^{2}-\left|\xi_{\mu e}\right|^{2}\right)-\eta C_{U}\left(\left|\beta_{b \tau}\right|^{2}-\left|\beta_{b e}\right|^{2}\right) \tag{13}
\end{align*}
$$

where $\eta=0.079$ parameterises the running from $\Lambda=2 \mathrm{TeV}$. Due to the hierarchy in leptoquark couplings, we find $\beta_{b \tau} \gg \beta_{b \mu}$ and $\beta_{b e} \approx 0$, hence in good approximation both ratios receive the same contribution proportional to $\beta_{b \tau}$, so we can approximate

$$
\begin{equation*}
\left(\frac{g_{\tau}}{g_{\mu, e}}\right)_{\ell+\pi+K} \approx 1-\eta C_{U}\left|\beta_{b \tau}\right|^{2} \tag{14}
\end{equation*}
$$



## Backup: $K_{L} \rightarrow \mu e$

The LFV process $K_{L} \rightarrow \mu$ e sets a strong constraint over all models featuring a vector leptoquark $U_{1}$ with first and second family couplings,

$$
\mathcal{B}\left(K_{L} \rightarrow \mu e\right)=\frac{\tau_{K_{L}} G_{\digamma}^{2} f_{K}^{2} m_{\mu}^{2} m_{K}}{8 \pi}\left(1-\frac{m_{\mu}^{2}}{m_{K}^{2}}\right)^{2} C_{U}^{2}\left|\beta_{d e} \beta_{s \mu}^{*}\right|^{2}
$$

The first family coupling $\beta_{d e}$ can be diluted via mixing with vector-like fermions, which we parameterised via the effective parameter $\epsilon$, so that $\beta_{s e} \approx s_{16}^{Q} s_{16}^{L} \epsilon$.


## Backup: $K_{L} \rightarrow \mu e$ (cont.)

$$
\begin{align*}
& \mathcal{L}_{\text {mix }}=x_{66} \chi \bar{\psi}_{6} \psi_{6}^{\prime}+x_{66}^{\prime} \chi^{*} \bar{\psi}_{6}^{\prime} \psi_{6}+\text { h.c. }  \tag{15}\\
& \mathcal{L}_{\text {mass }}=\left(M_{66}^{\psi}+\lambda_{15}^{66} T_{15} \Omega_{15}\right) \bar{\psi}_{6} \psi_{6}+\left(M_{66^{\prime}}^{\psi}+\lambda_{15}^{66^{\prime}} T_{15} \Omega_{15}\right) \bar{\psi}_{6}^{\prime} \psi_{6}^{\prime}+\text { h.c. } \tag{16}
\end{align*}
$$

Then for LQ couplings

$$
\mathcal{L}_{U_{1}}=\frac{g_{4}}{\sqrt{2}}\left(\begin{array}{cc}
Q_{6}^{\dagger} & Q_{6}^{\dagger^{\prime}} \tag{17}
\end{array}\right) \gamma_{\mu} V_{66^{\prime}}^{Q} \operatorname{diag}(1,1) V_{66^{\prime}}^{L^{\prime}}\binom{L_{6}}{L_{6}^{\prime}} U_{1}^{\mu}+\text { h.c. }
$$

If we define

$$
v_{66^{\prime}}^{Q} v_{66^{\prime}}^{L \dagger} \equiv\left(\begin{array}{cc}
\cos \theta_{6} & \sin \theta_{6}  \tag{18}\\
-\sin \theta_{6} & \cos \theta_{6}
\end{array}\right),
$$

then the $Q_{6}^{\dagger} L_{6} U_{1}$ coupling receives a suppression via $\cos \theta_{6}$ as

$$
\begin{equation*}
\beta_{d e}=s_{16}^{Q} s_{16}^{L} \cos \theta_{6} . \tag{19}
\end{equation*}
$$

which is identified with the suppression parameter $\epsilon$,

$$
\begin{equation*}
\epsilon \equiv \cos \theta_{6} \tag{20}
\end{equation*}
$$


[^0]:    ${ }^{1}$ This choice preserves $\left\langle H_{t}\right\rangle$ at the EW scale, larger values would break the decoupling approximation that we have assumed during the diagonalisation of the full mass matrix.

