

$b \rightarrow s\ell\ell$ and Lepton Flavour Universality at LHCb



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on behalf of the LHCb collaboration
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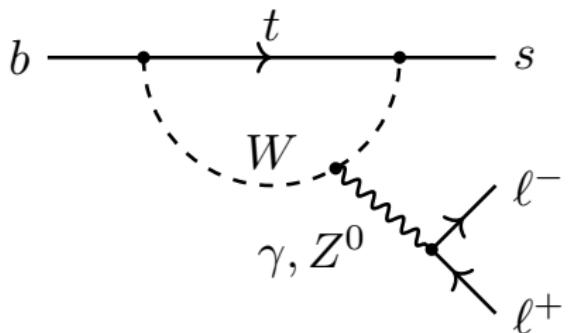


Moriond EW 2023
March 20th 2023

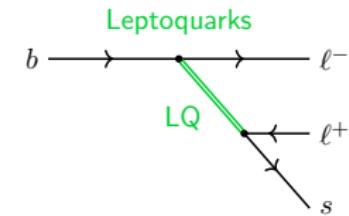
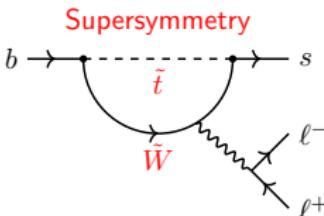


$b \rightarrow s\ell\ell$ decays as sensitive probes for New Physics

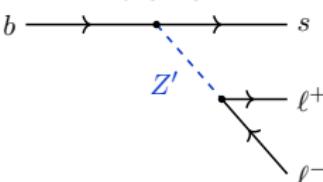
$b \rightarrow s\ell\ell$ decays in the SM



Possible contributions from NP



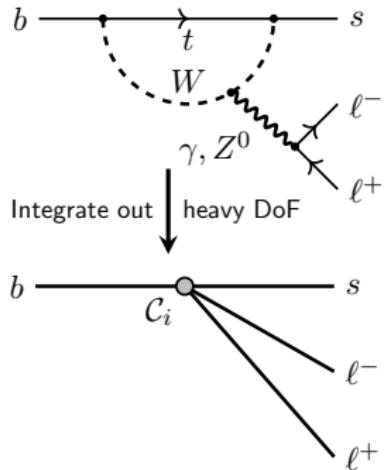
New heavy gauge bosons



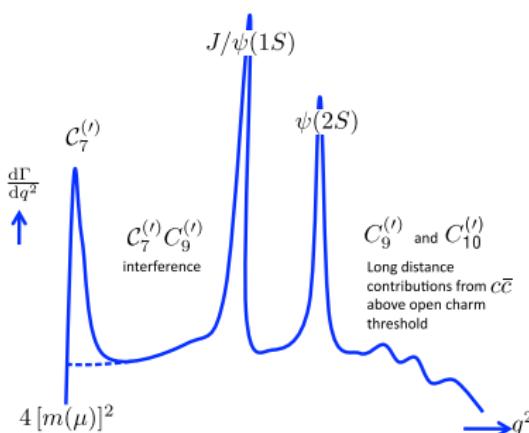
- $b \rightarrow s\ell\ell$ decays heavily (loop-)suppressed in the SM
- New heavy particles can significantly contribute and affect decay rates, angular distributions, and rate asymmetries

Effective field theory for $b \rightarrow s\ell\ell$ decays

Full theory



Effective description



- $b \rightarrow s\ell\ell$ transitions described model-independently in effective theory

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} \sum_i C_i \mathcal{O}_i$$

Local operator

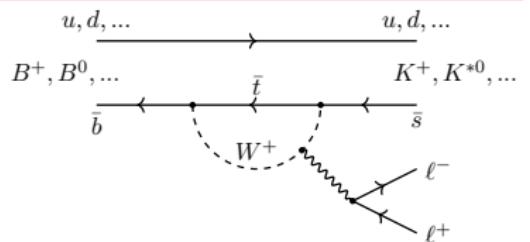
Wilson coefficient ("effective coupling")

Effective couplings in $b \rightarrow s\ell\ell$ transitions		
Wilson coefficient	Operator	
γ -penguin	$C_7^{(I)}$	$\frac{e}{g^2} m_b (\bar{s}\sigma_{\mu\nu} P_{R(L)} b) F^{\mu\nu}$
ew. penguin	$C_9^{(I)}$	$\frac{e^2}{g^2} (\bar{s}\gamma_\mu P_{L(R)} b)(\bar{\mu}\gamma^\mu \mu)$
	$C_{10}^{(I)}$	$\frac{e^2}{g^2} (\bar{s}\gamma_\mu P_{L(R)} b)(\bar{\mu}\gamma^\mu \gamma_5 \mu)$
scalar	$C_S^{(I)}$	$\frac{e^2}{16\pi^2} m_b (\bar{s}P_{R(L)} b)(\bar{\mu}\mu)$
pseudoscalar	$C_P^{(I)}$	$\frac{e}{16\pi^2} m_b (\bar{s}P_{R(L)} b)(\bar{\mu}\gamma_5 \mu)$

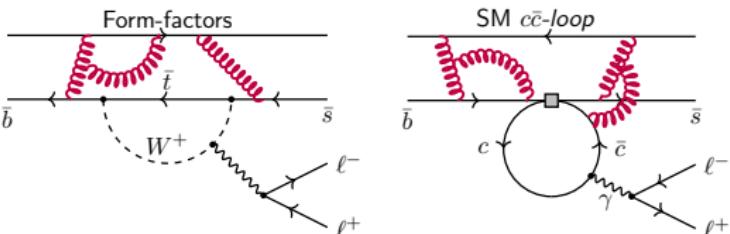
- Different $q^2 = m^2(\ell^+\ell^-)$ regions probe different operator combinations

Observables in $b \rightarrow s\ell\ell$ decays and their cleanliness

Quarks bound in hadrons, e.g.

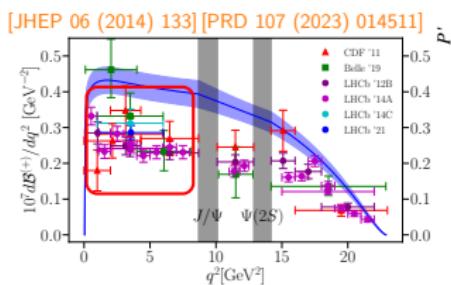


Hadronic uncertainties

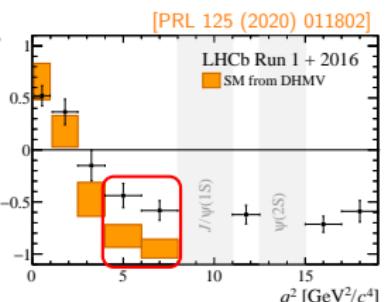


$b \rightarrow s\ell\ell$ Observables

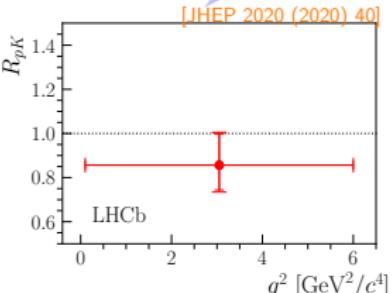
Increasing precision of SM prediction



Branching fractions
affected by form-factors
and $c\bar{c}$ -loop

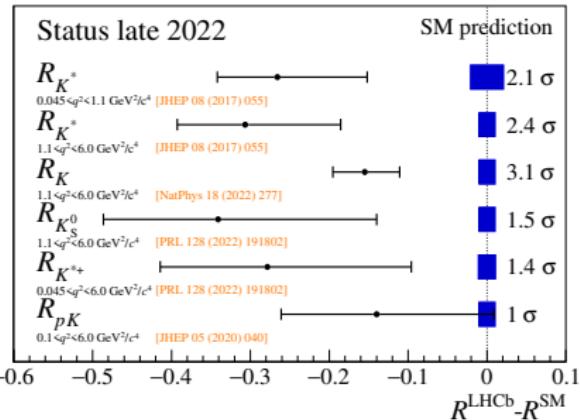
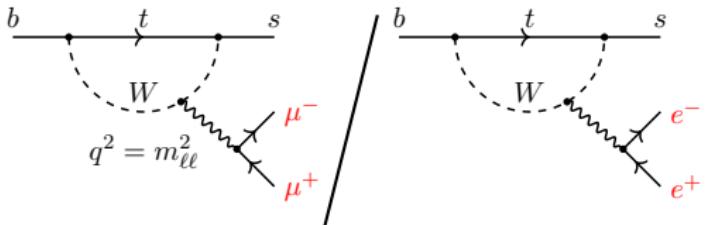


Angular observables
affected by $c\bar{c}$ -loop



Lepton Universality Tests
clean

Lepton Flavour Universality tests in $b \rightarrow s\ell^+\ell^-$ decays

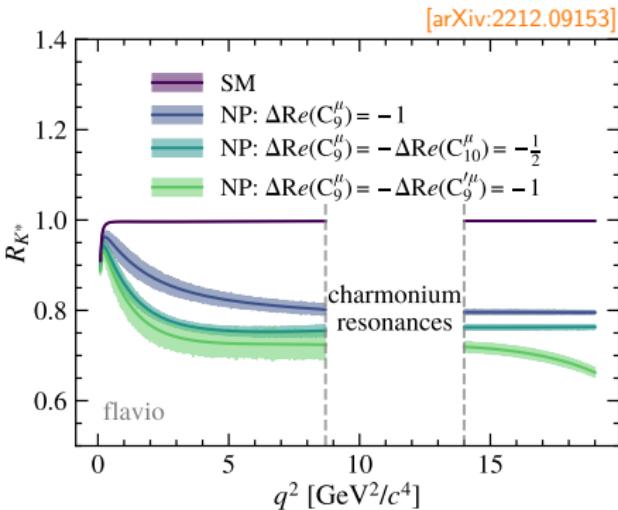
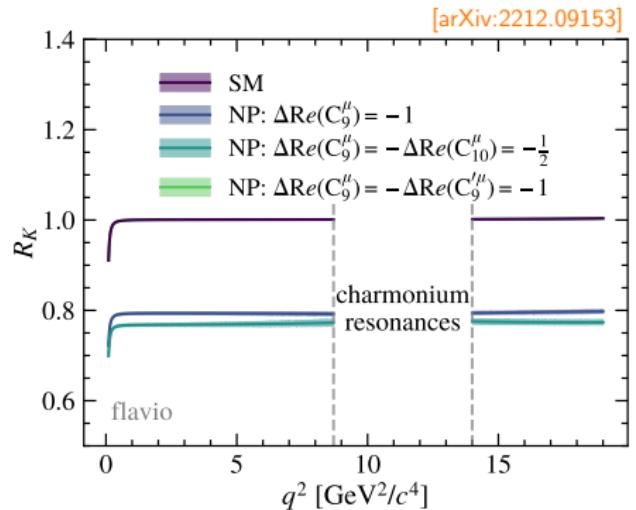


- Lepton flavour universality central property of SM
- Testable using ratios of branching fractions of rare $b \rightarrow s\ell^+\ell^-$ decays:

$$R_{K,K^*} = \frac{\mathcal{B}(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{\mathcal{B}(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}$$

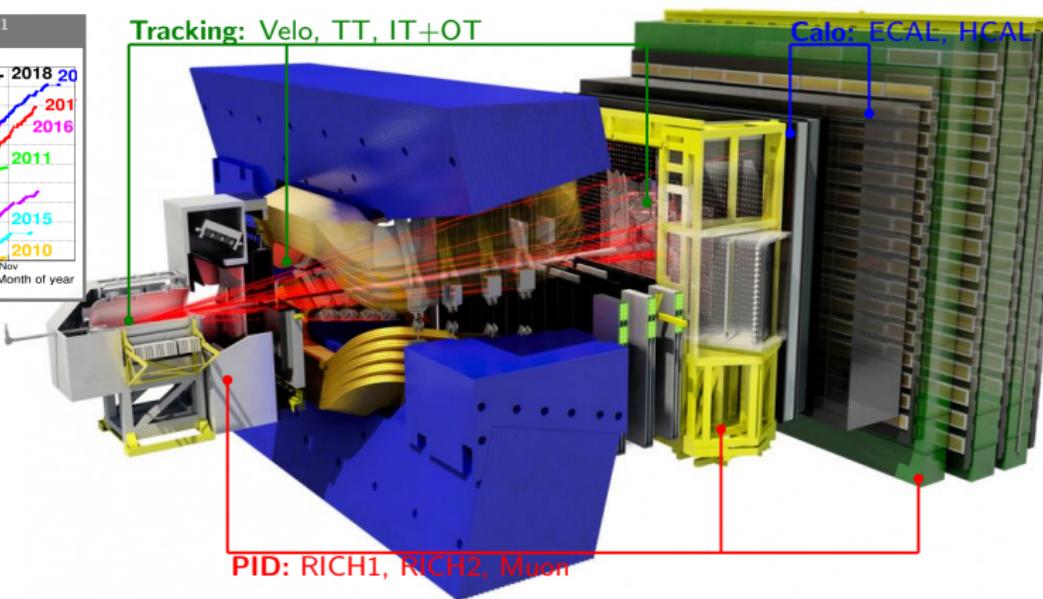
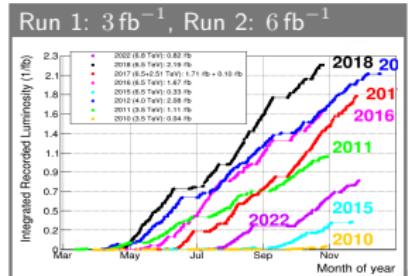
- Exactly unity in SM, differences only through lepton mass effects
- QED corrections $\mathcal{O}(1\%)$ [EPJC 76 (2016) 440]
- Hadronic uncertainties (form-factors and $c\bar{c}$ -loop) cancel in the ratio

R_K and R_{K^*} in different NP scenarios



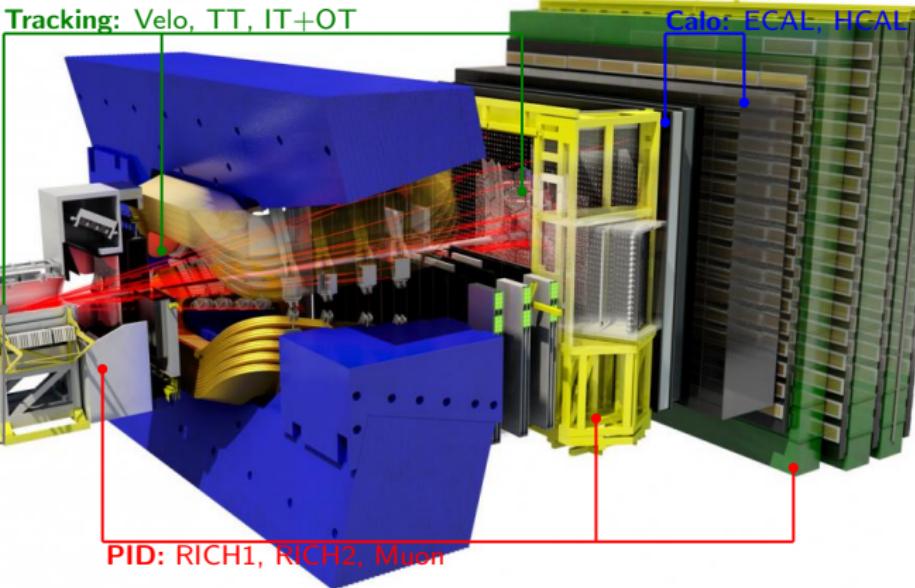
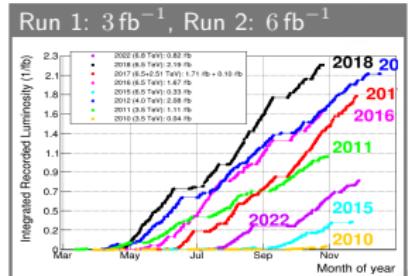
- Example NP models assuming NP only in muons
- Some ability to disentangle different scenarios with R_K and R_{K^*}
- Simultaneous R_K and R_{K^*} determination with 9 fb^{-1} Run 1+2 data
 - low- q^2 : $q^2 \in [0.1, 1.0] \text{ GeV}^2/\text{c}^4$
 - central- q^2 : $q^2 \in [1.1, 6.0] \text{ GeV}^2/\text{c}^4$

The LHCb experiment: Optimized for heavy flavour



- Large $\sigma_{b\bar{b}}$: $(284 \pm 53) \mu\text{b}$ at 7 TeV and $(495 \pm 52) \mu\text{b}$ at 13 TeV [PLB 694 (2010) 209-216, JHEP 10 (2015) 172]
- Excellent IP resolution $\sim 20 \mu\text{m}$ to identify B decay vertices, $\Delta p/p = 0.5 - 1\%$
- Particle identification: $\epsilon_{K \rightarrow K} \sim 95\%$, $\epsilon_{\pi \rightarrow K} \sim 5\%$ and $\epsilon_{\mu \rightarrow \mu} \sim 97\%$, $\epsilon_{\pi \rightarrow \mu} \sim 1 - 3\%$
- Low trigger thresholds: $p_T(\mu) > 1.8 \text{ GeV}$, $E_T(e) > 3.0 \text{ GeV}$

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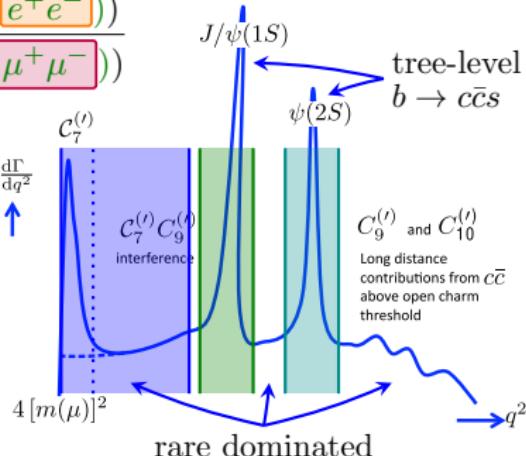
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Analysis strategy: Double ratio (Example: R_K)

- Analysis strategy: Double ratio of rare modes $B^+ \rightarrow K^+ \ell^+ \ell^-$ with resonant decays $B^+ \rightarrow K^+ J/\psi (\rightarrow \ell^+ \ell^-)$:

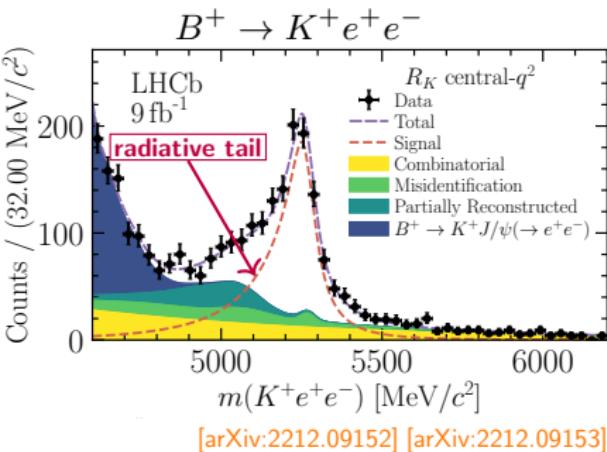
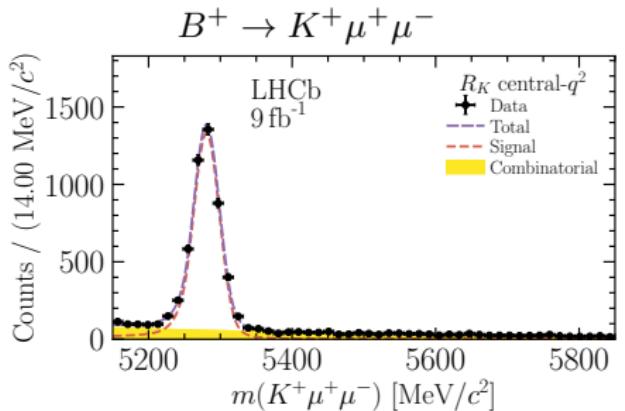
$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)} \times \overbrace{\frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-))}}^{r_{J/\psi}^{-1} = 1 \text{ [PRD 88 (2013) 3]}}$$

- Electron and Muon reconstruction very different at LHCb
- Efficiencies from corrected simulation
- Double ratio cancels most experimental systematic effects in efficiency ratios



- Important cross-checks: $r_{J/\psi} = \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-))}$ and
- $$R_{\psi(2S)} = \frac{\mathcal{B}(B^+ \rightarrow K^+ \psi(2S) (\rightarrow \mu^+ \mu^-))}{\mathcal{B}(B^+ \rightarrow K^+ \psi(2S) (\rightarrow e^+ e^-))} \times \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-))}$$

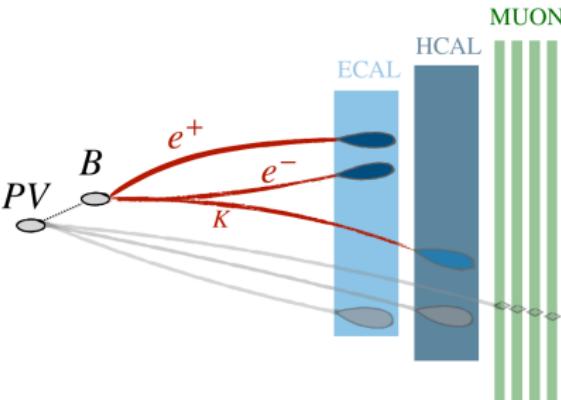
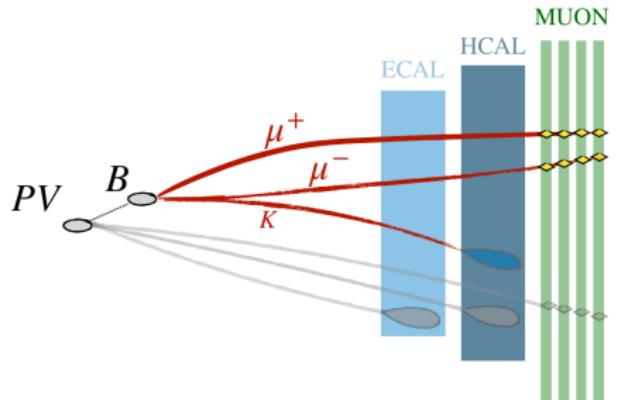
Experimental challenges for electron modes at LHCb



Experimental Challenges for electron modes:

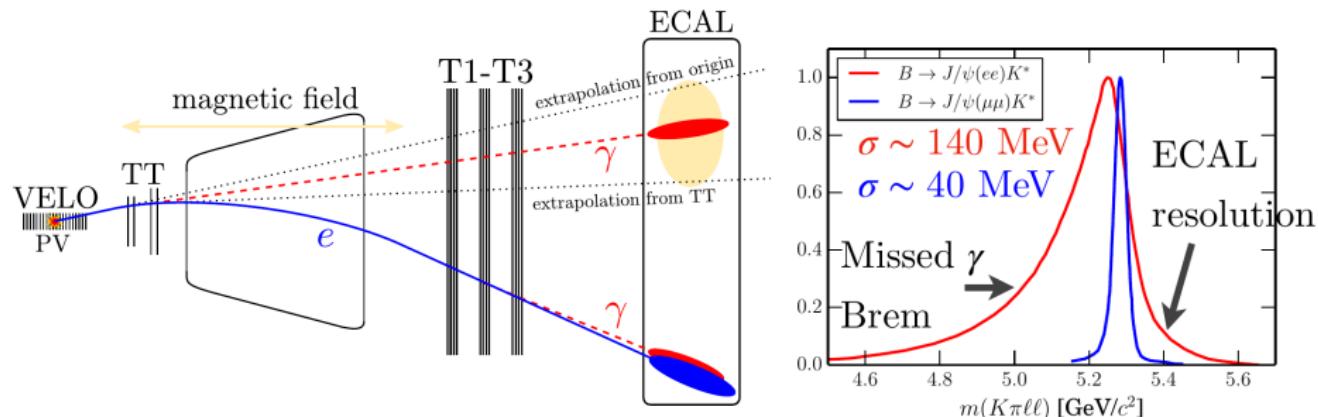
- 1 Low e trigger efficiencies due to higher thresholds compared to muons
- 2 Electrons strongly emit **Bremsstrahlung** traversing material
- 3 Contribution from several background sources, bkg. modeling critical

Experimental challenge: 1. Electron trigger



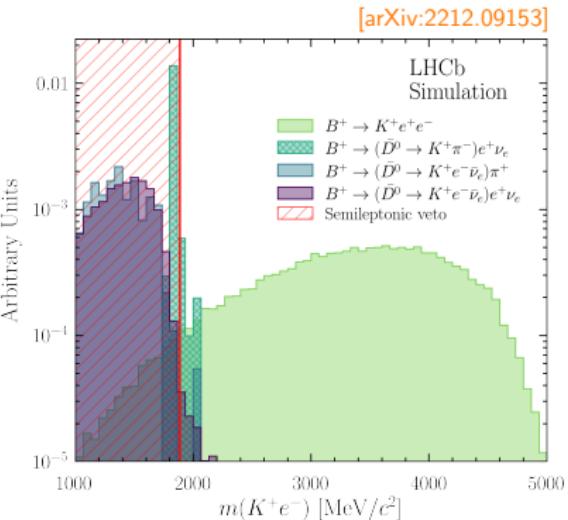
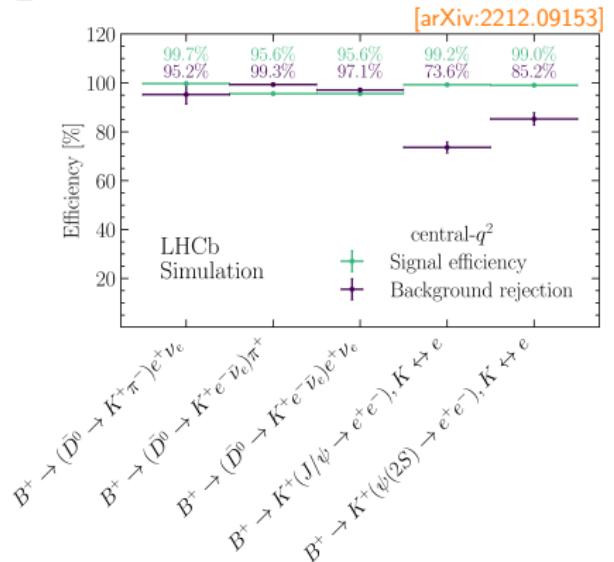
- Trigger signatures for muon and electron modes very different
- Lower L0 p_T thresholds for muons (1.5–1.8 GeV/c) compared to electrons (2.5–3.0 GeV) → challenging for e^+e^- modes
- Combine exclusive trigger categories to improve ϵ for electron modes:
 - 1 Trigger on rest of event (independent of signal)
 - 2 Trigger on e/μ from signal

Experimental challenge: 2. Bremsstrahlung



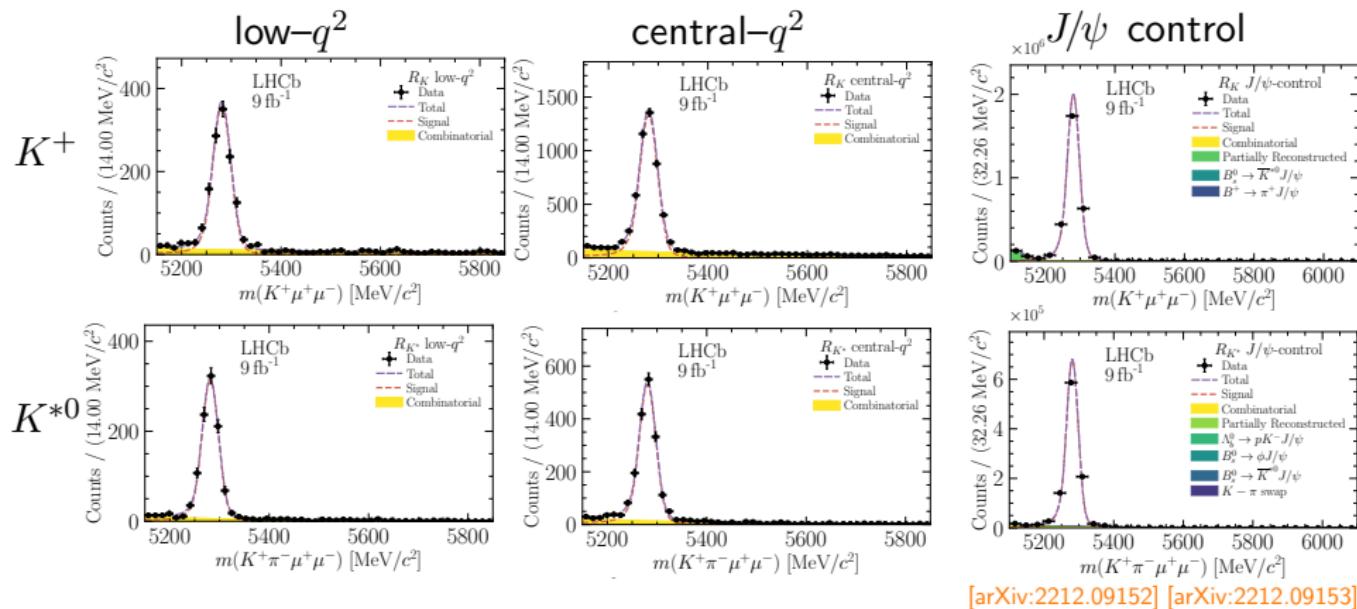
- Correct electron momentum by adding matching photons ($E_T > 75 \text{ MeV}/c^2$) reconstructed in the ECAL
- Bremsstrahlung recovery $\sim 50\%$ efficient, well simulated
- Bremsstrahlung reconstruction impacts momentum resolution
→ higher background pollution and more sensitive to bkg. modeling

Experimental challenge: 3. Background suppression



- Combinatorial: multivariate classifier using kinematic quantities and vertex quality information
- Partially reconstructed: multivariate classifier in electron mode and corrected mass exploiting PV/SV reconstruction
- Misidentification: Lepton and hadron particle identification
Residual backgrounds from misidentification explicitly modeled [\[see backup\]](#)

Muon mode fits

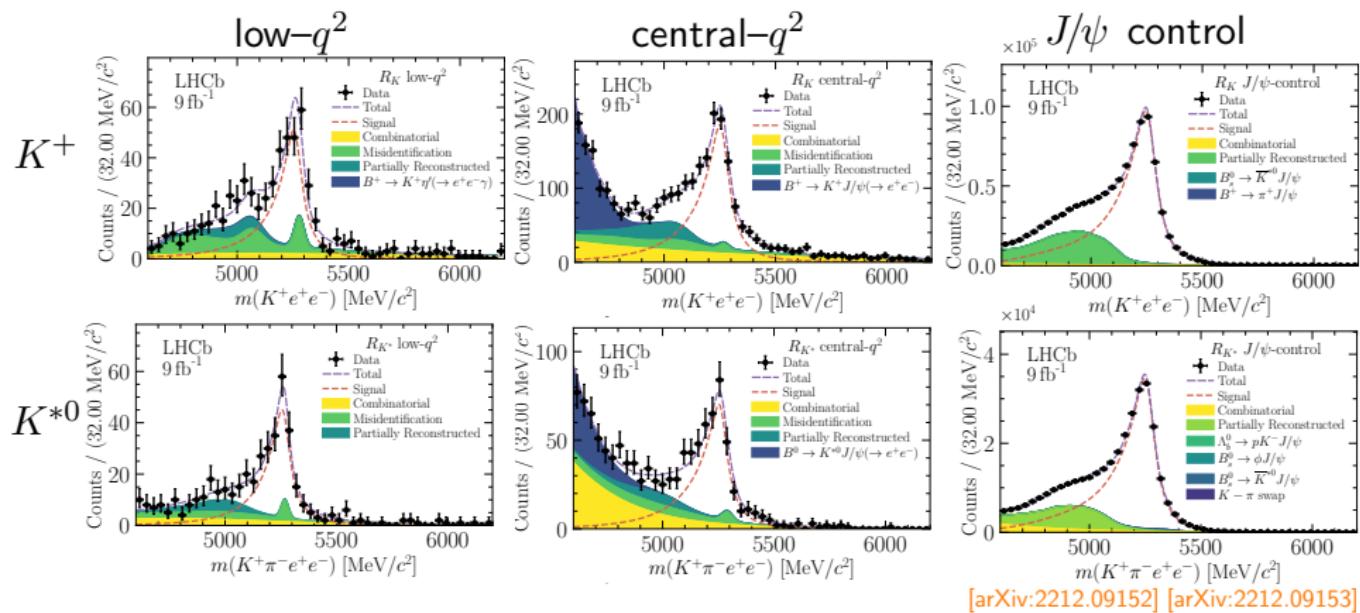


[arXiv:2212.09152] [arXiv:2212.09153]

- Muon mode is very clean!
- Muon branching fraction compatible with published results

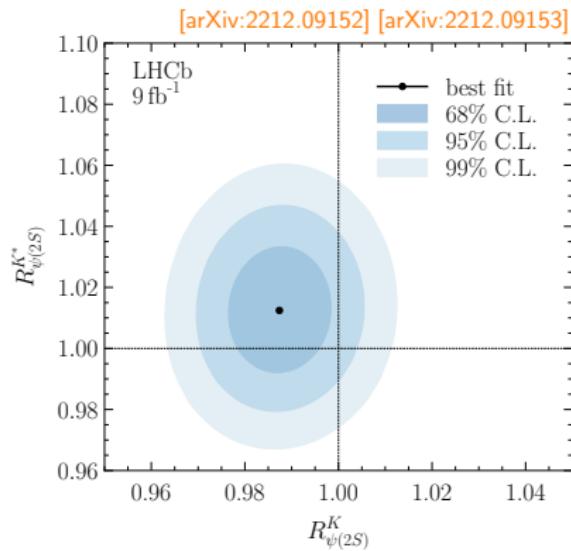
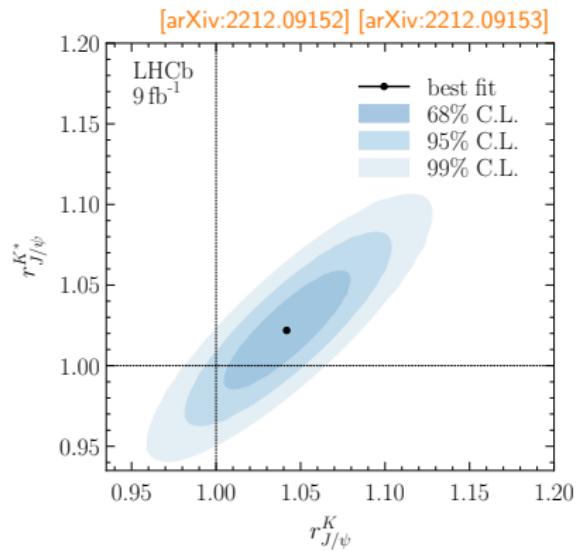
[JHEP 06 (2014) 133] [JHEP 11 (2016) 047]

Electron mode fits



- Brems. tails from J/ψ entering rare modes constrained in sim. fit
- Partially reconstructed bgk. from $K^{*0}e^+e^-$ constrained in $K^+e^+e^-$

Crosschecks $r_{J/\psi}$ and $R_{\psi(2S)}$



- Both $r_{J/\psi}$ and $R_{\psi(2S)}$ compatible with unity at better than 2σ



R_K and R_{K^*} results

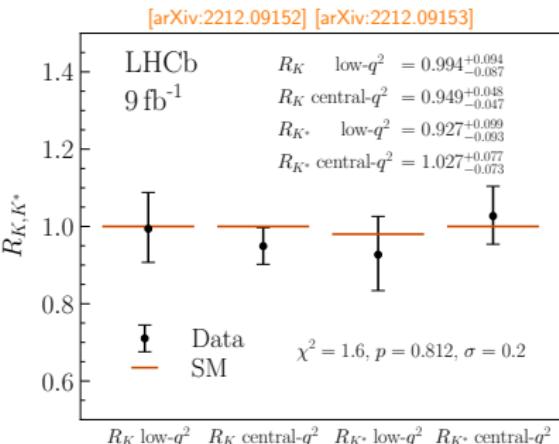
- Most precise test of Lepton Flavour Universality in $b \rightarrow s\ell^+\ell^-$ transitions
- Supersedes previous results
- Compatible with the SM at 0.2σ using a simple χ^2 test
- Statistical uncertainty dominates
- Scaling R_{K,K^*} with measured muon \mathcal{B} :
[JHEP 06 (2014) 133] [JHEP 11 (2016) 047]

$$\frac{d\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)}{dq^2} = (25.5^{+1.3}_{-1.2} \pm 1.1) \times 10^{-9} \text{ GeV}^{-2}$$

$$\frac{d\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)}{dq^2} = (33.3^{+2.7}_{-2.6} \pm 2.2) \times 10^{-9} \text{ GeV}^{-2}$$

- Dedicated \mathcal{B} measurements of ee modes and angular analyses ongoing

see also [talk by A. Snoch]



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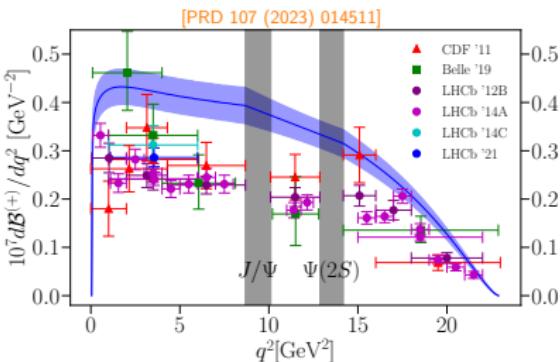
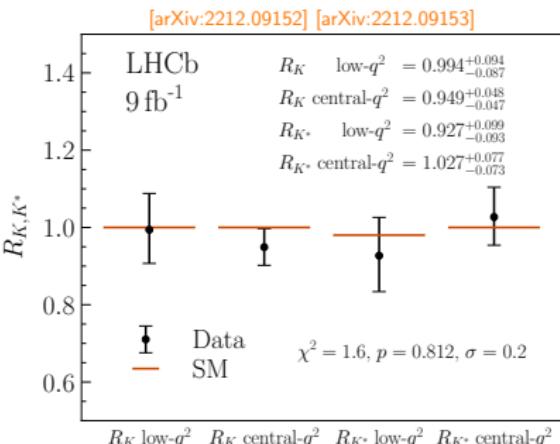
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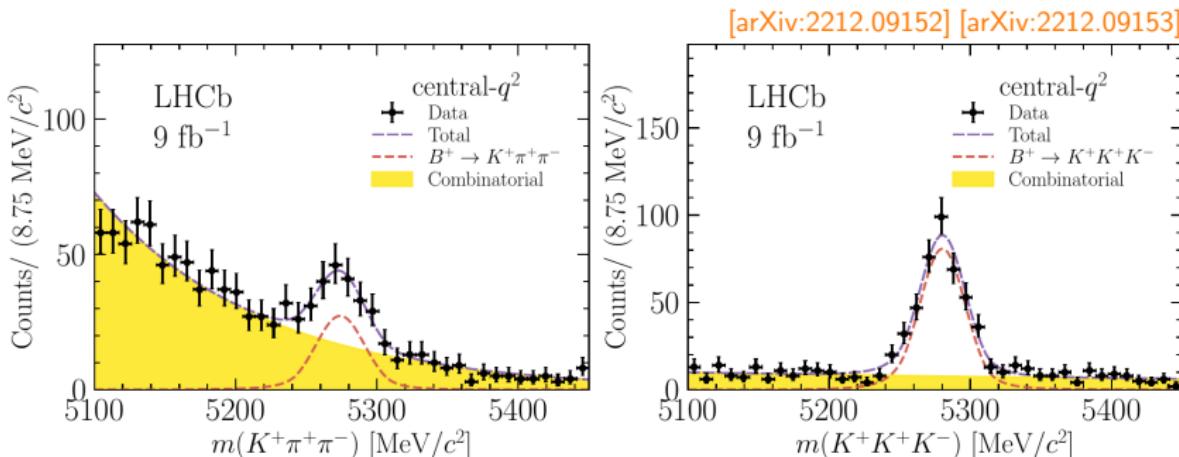
Conclusions

- $b \rightarrow s\ell\ell$ decays powerful probes of the SM
- Lepton Flavour Universality tests exhibit the most precise SM prediction in this area
- Data in excellent agreement with lepton flavour universality
- Tensions in muon branching fractions and angular analyses remain
- Measurement statistically limited
→ More precision needed
- Run 3 just started with brand new LHCb detector
- Will increase $\int \mathcal{L} dt$ by more than factor 5 during Runs 3–4, allowing for unprecedented reach with precision flavour observables



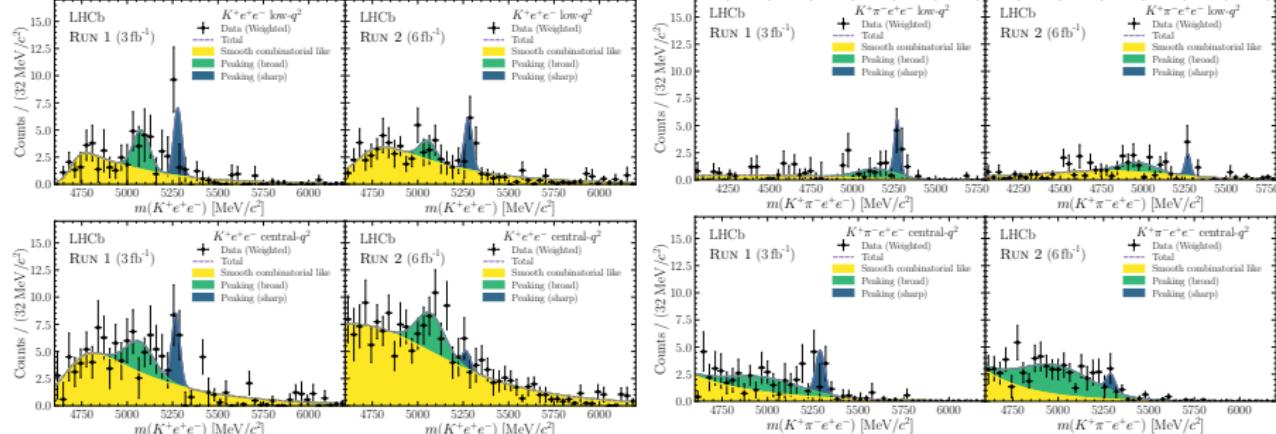
Backup

Residual backgrounds from misidentification



- Misidentified backgrounds can be isolated by inverting particle ID cuts:
Examples are (left) $B^+ \rightarrow K^+\pi^+\pi^-$ and (right) $B^+ \rightarrow K^+K^+K^-$
- Similar backgrounds for $K^{*0}e^+e^-$, however Dalitz structure not well known
- Backgrounds from single misidentification less well known, complex shape
- Developed new inclusive data-driven treatment of misidentified residual backgrounds

Residual backgrounds from misidentification



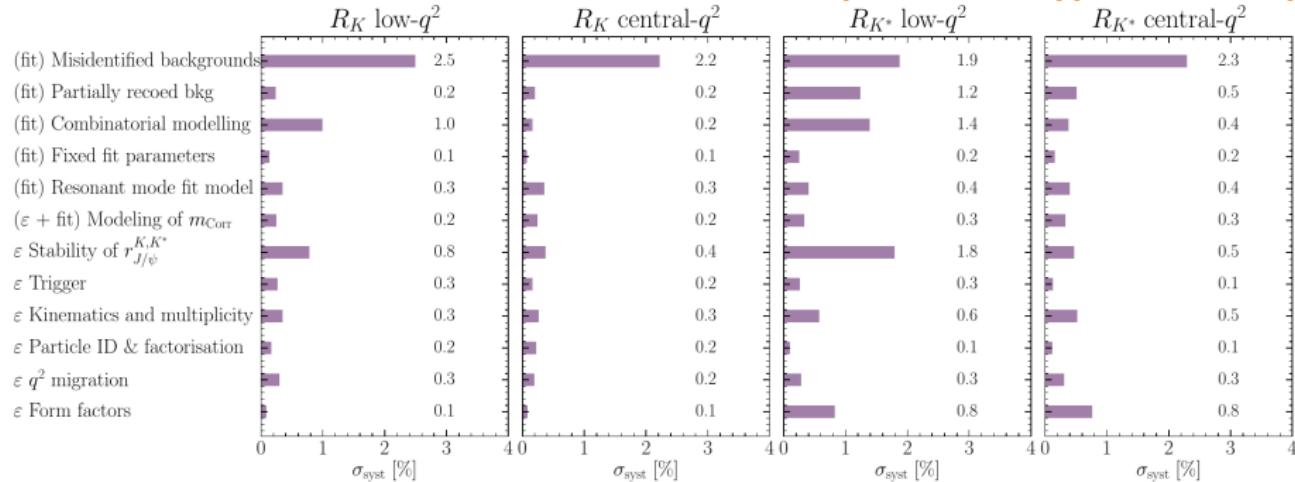
[arXiv:2212.09152] [arXiv:2212.09153]

- Invert electron PID selection to obtain control region
- Use control samples from data to weight control region events according to their misidentification probability $w_e = \epsilon_{\text{pass PID}} / \epsilon_{\text{fail PID}}$
- Resulting distribution and expected background yield used in nominal rare electron mode fit



Systematic uncertainties

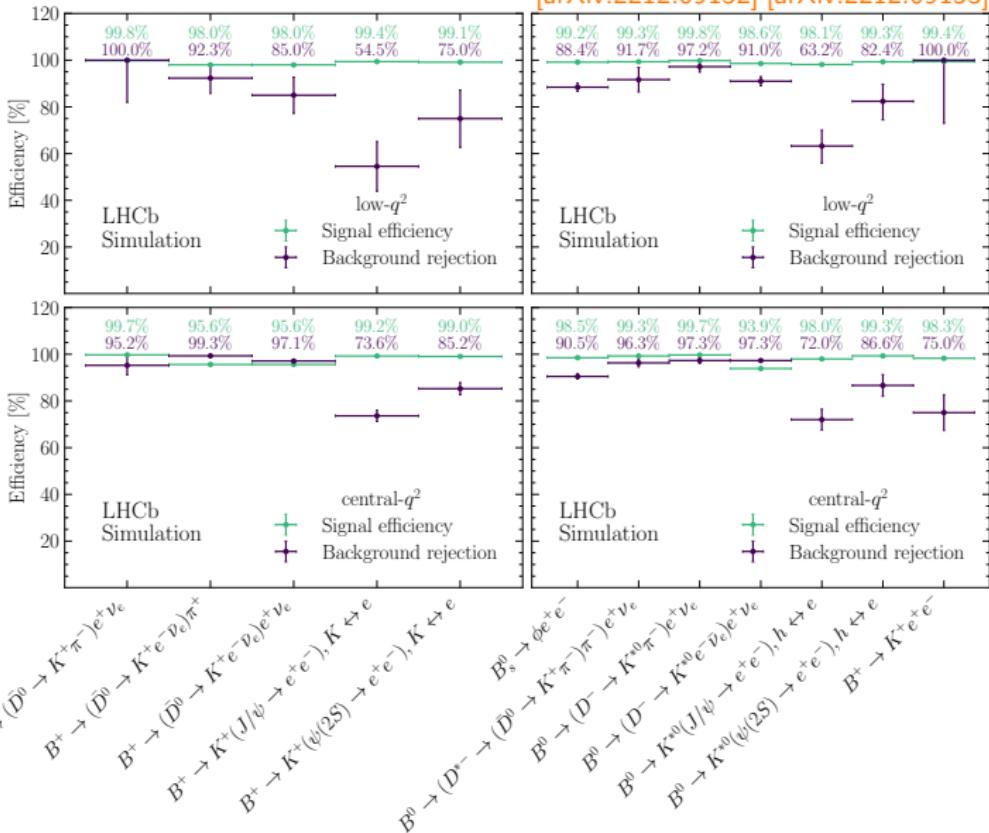
[arXiv:2212.09152] [arXiv:2212.09153]



- Dominant systematic: Modeling of residual misidentified bgks.
- Measurement statistically limited

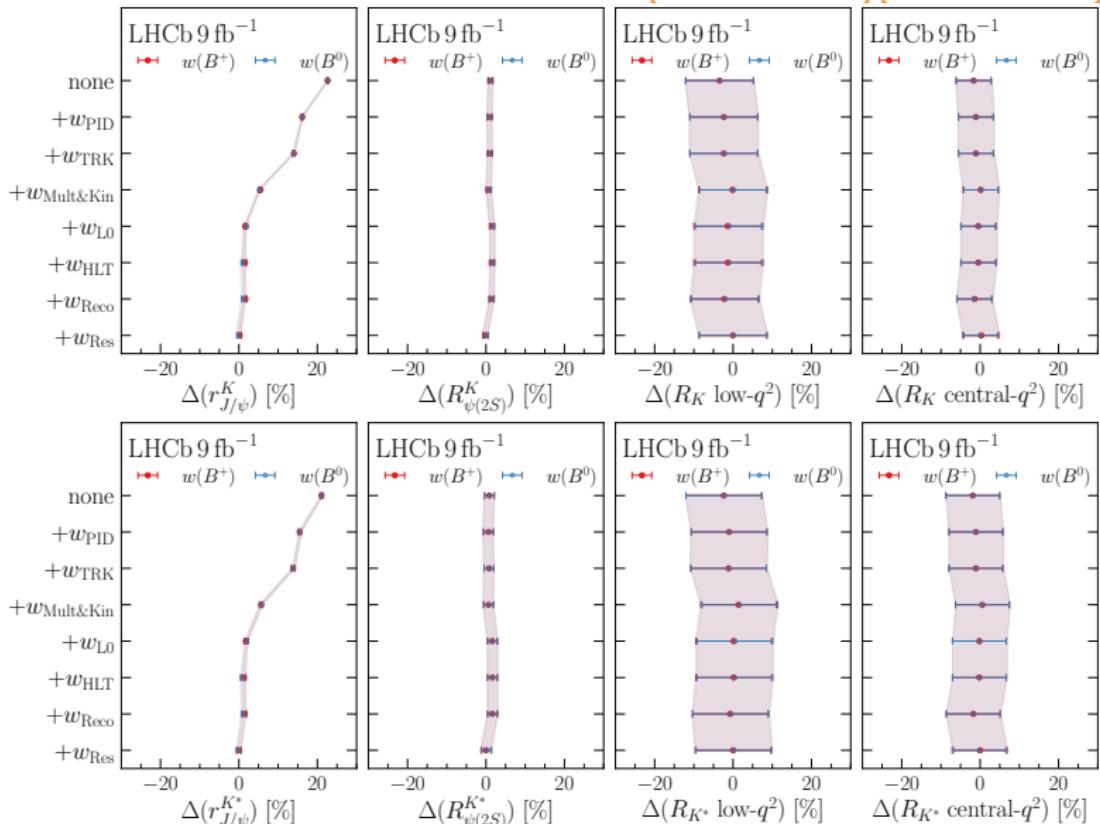
R_{K,K^*} specific background vetos

[arXiv:2212.09152] [arXiv:2212.09153]



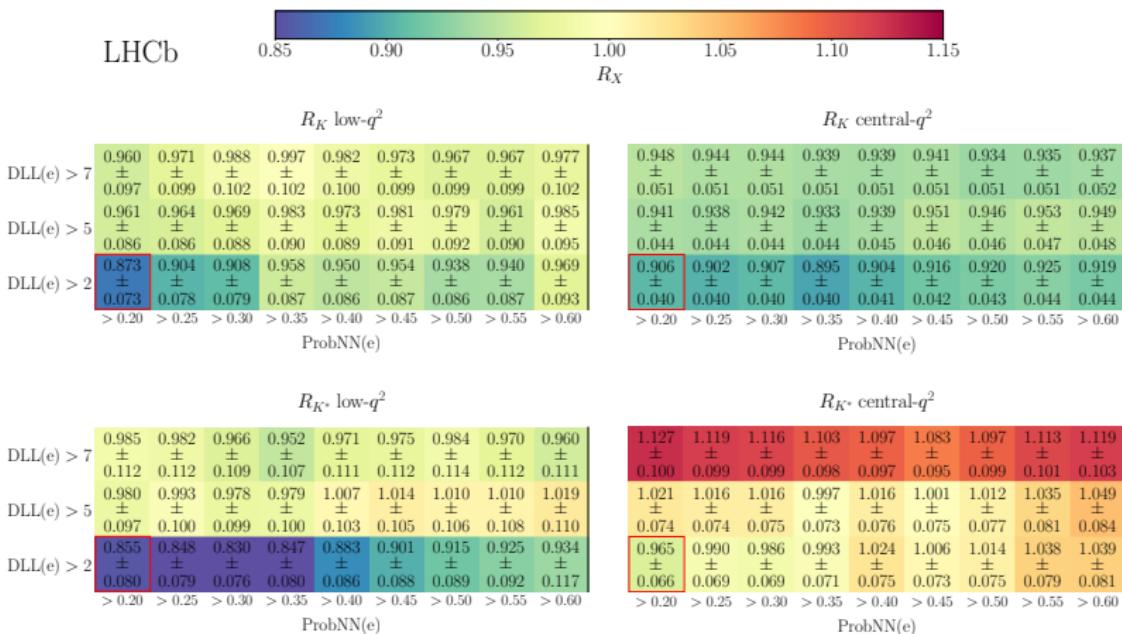
R_{K,K^*} efficiency corrected ratios

[arXiv:2212.09152] [arXiv:2212.09153]



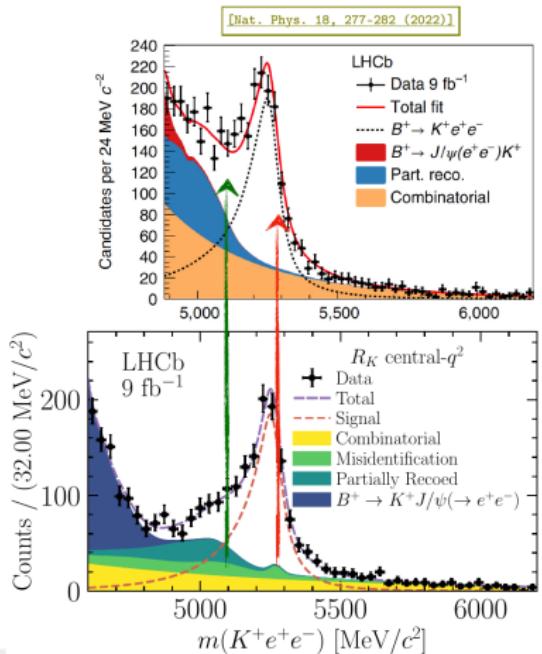


R_{K,K^*} PID dependence



[arXiv:2212.09152] [arXiv:2212.09153]

R_K comparison with previous measurement (credit R. Quagliani)



- ◆ Different PID cut used → Allowed σ_{stat} : ± 0.033
- ◆ Mis-ID rate from $D^{*-} \rightarrow D^0(K\pi)\pi$
- ◆ With new(previous) analysis requirements

	Sample	$\pi \rightarrow e$	$K \rightarrow e$
(11+12)	RUN 1	1.78 (1.70) %	0.69 (1.24) %
(15+16)	RUN 2P1	0.83 (1.51) %	0.18 (1.25) %
(17+18)	RUN 2P2	0.80 (1.50) %	0.16 (1.23) %
	single-misID	$\times 1$ (Run1) $\times 2$ (Run2)	$\times 2$ (Run1) $\times 7$ (Run2)
	double-misID	$\times 1^2$ (Run1) $\times 2^2$ (Run2)	$\times 2^2$ (Run1) $\times 7^2$ (Run2)

- ◆ Shift due to contamination at looser working point : **+0.064**
- ◆ Shift due to not inclusion of background in mass fit: **+0.038**

Adds linearly



LHCb presentation at ICHEP 2022

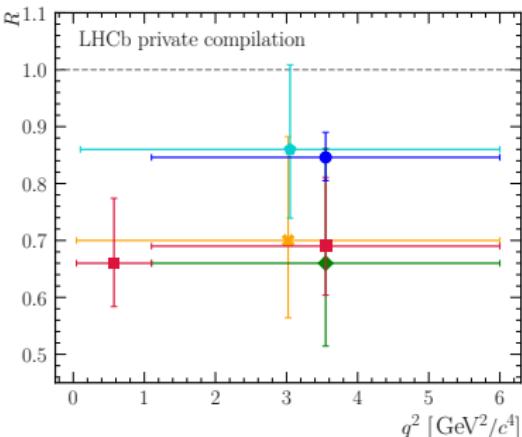


On the R_K and $R_{K^{*0}}$ Update

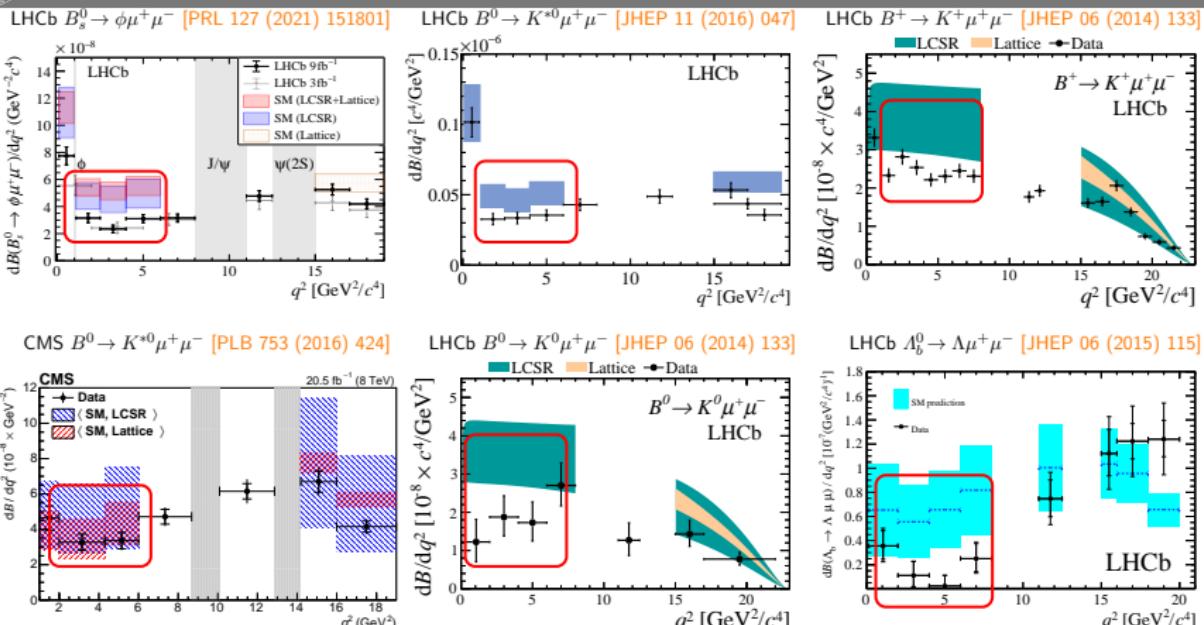
ICHEP 2022
BOLOGNA

- ▶ Working on **unified analysis** of R_K and $R_{K^{*0}}$
- ▶ Will provide **final** Run 1 and Run 2 results
- ▶ Efforts lead to a deeper understanding of the LFU measurements
- ▶ This will be reflected in the results
- ▶ Work is **high priority** for the collaboration
- ▶ We appreciate your patience until the results become available

■ R_K [Nat. Phys. 18, 277–282 (2022)]
■ $R_{K_S^0}$ [PRL 128, No. 19]
■ $R_{K^{*+}}$ [PRL 128, No. 19]
■ R_{pK} [JHEP 05 (2020) 040]
■ $R_{K^{*0}}$ [JHEP 08 (2017) 055]

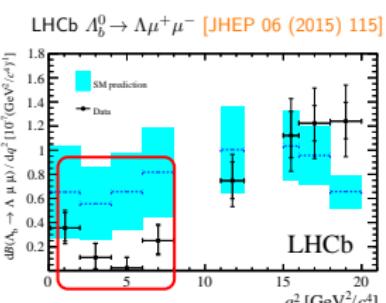
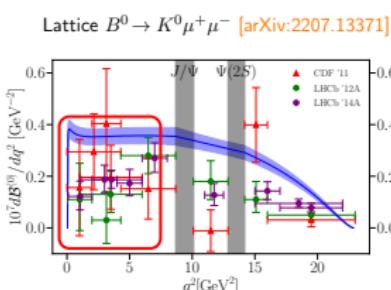
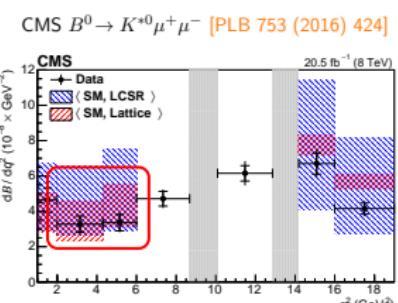
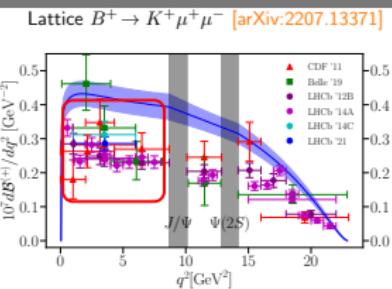
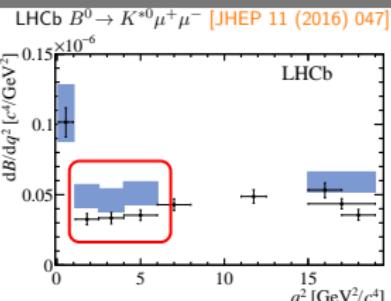
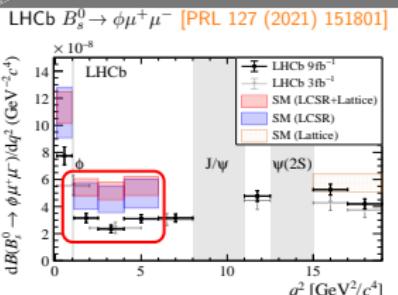


Low \mathcal{B} also found for other $b \rightarrow s\mu^+\mu^-$ decays



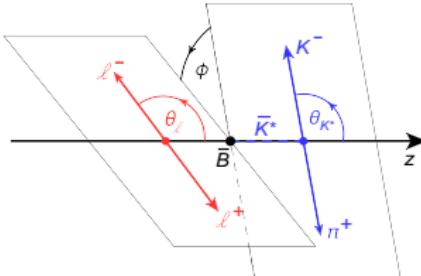
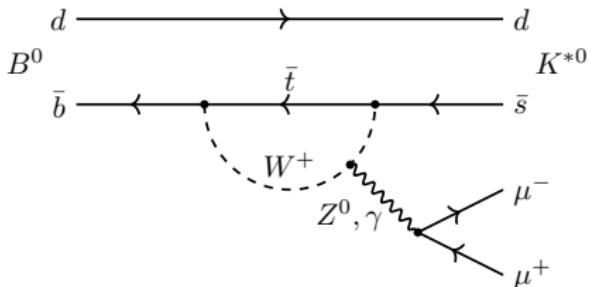
- Data consistently below SM predictions (particularly at low q^2)
- Tensions at $1-3\sigma$ level, SM predictions exhibit sizeable had. uncertainties
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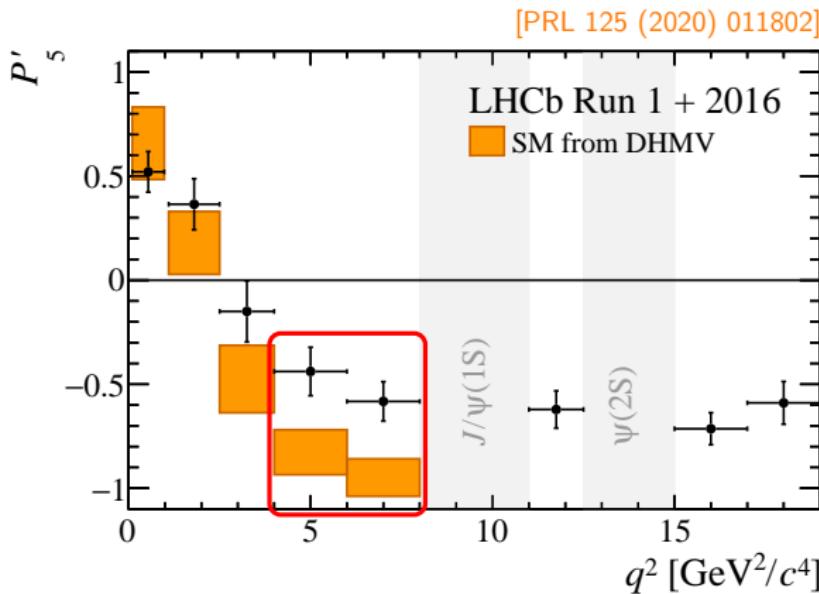
Angular analysis of $B^0 \rightarrow K^{*0}[\rightarrow K^+\pi^-]\mu^+\mu^-$



- Decay fully described by three helicity angles $\vec{\Omega} = (\theta_\ell, \theta_K, \phi)$ and $q^2 = m_{\mu\mu}^2$
- $$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^3(\Gamma + \bar{\Gamma})}{d\vec{\Omega}} = \frac{9}{32\pi} \left[\frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi + \frac{4}{3}A_{FB} \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right]$$

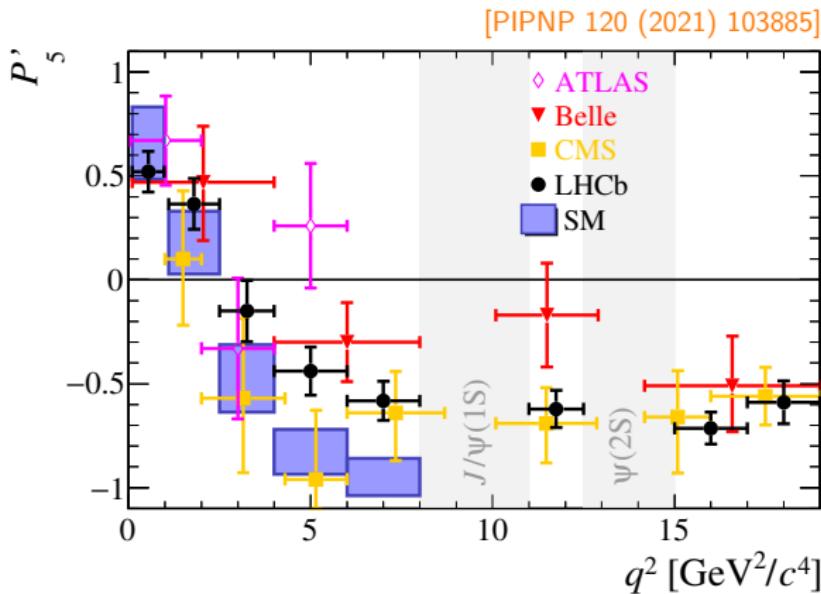
- Angular observables F_L, A_{FB}, S_i sensitive to NP contributions
- Perform ratios of observables where form factors cancel at leading order
Example: $P'_5 = \frac{S_5}{\sqrt{F_L(1-F_L)}}$ [S. Descotes-Genon et al., JHEP, 05 (2013) 137]

Angular observable P'_5 from $B^0 \rightarrow K^{*0} \mu^+ \mu^-$

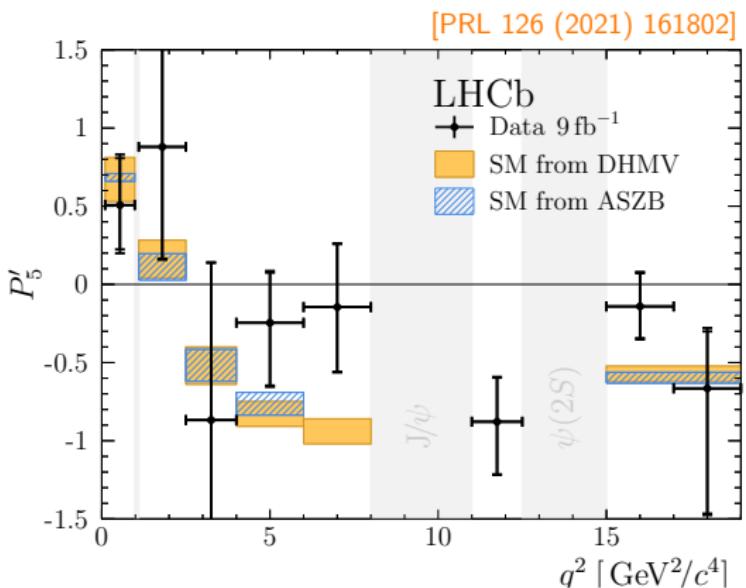


- In q^2 bins $[4.0, 6.0]$ and $[6.0, 8.0]$ GeV^2/c^4 local tensions of 2.5σ and 2.9σ
- Global $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ analysis finds deviation corresponding to 3.3σ
- [LHCb, PRL 125 (2020) 011802] consistent with [Belle, PRL 118 (2017) 111801]
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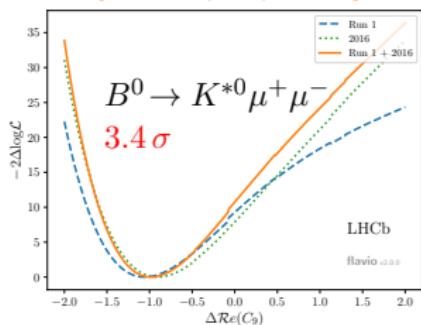
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Angular observable P'_5 from $B^+ \rightarrow K^{*+}(\rightarrow K_s^0\pi^+)\mu^+\mu^-$ 

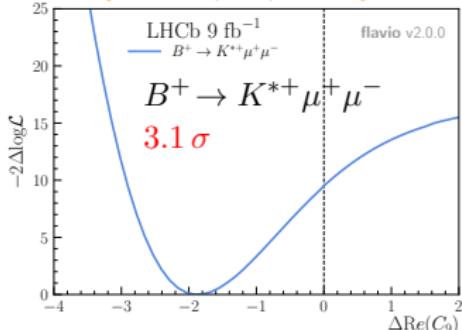
- Recent LHCb measurement using Run 1+2 data [PRL 126 (2021) 161802]
- Global tension corresponding to 3.1σ , consistent with $B^0 \rightarrow K^{*0}\mu^+\mu^-$
- Angular analysis ($F_L + A_{FB}$) also by CMS [JHEP 04 (2021) 124]

Consistency of $b \rightarrow s\mu^+\mu^-$ angular analyses

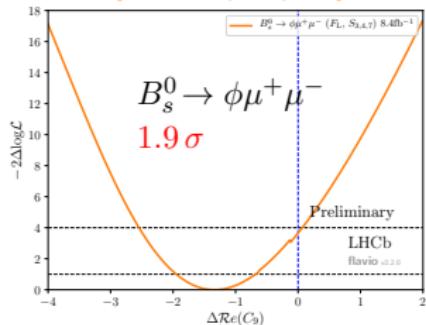
[PRL 125 (2020) 011802]



[PRL 126 (2021) 161802]

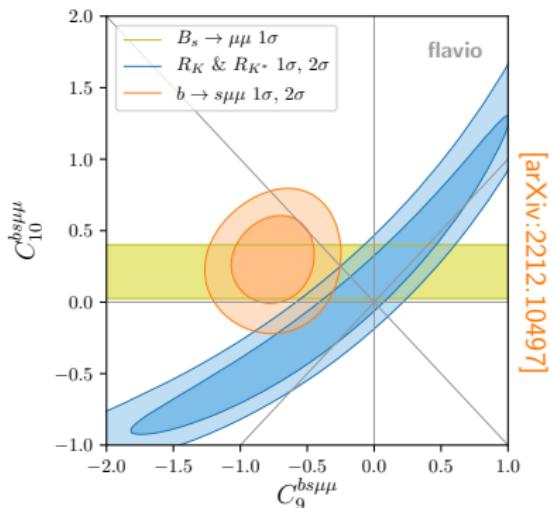


[JHEP 11 (2021) 043]



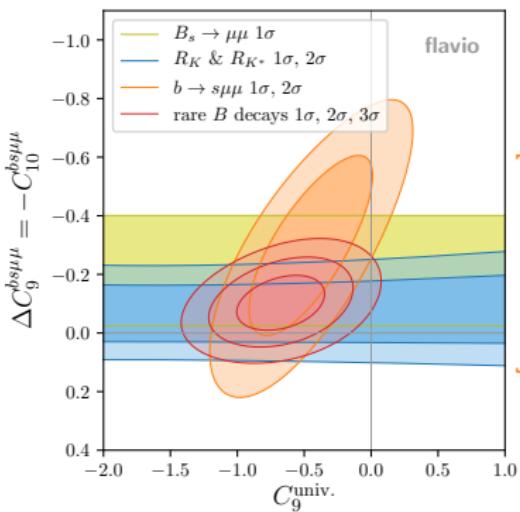
- Use **flavio** [arXiv:1810.08132] to determine tension with SM hypothesis
- Variation of vector coupling $\mathcal{R}\text{e}(\mathcal{C}_9)$ results in improved description of data
- Consistent trend for $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ [PRL 125 (2020) 011802], $B^+ \rightarrow K^{*+} \mu^+ \mu^-$ [PRL 126 (2021) 161802] and $B_s^0 \rightarrow \phi \mu^+ \mu^-$ [JHEP 11 (2021) 043] angular observables
- However, significant hadronic theory uncertainties, charm-loop effect?

Interpretation in global fits

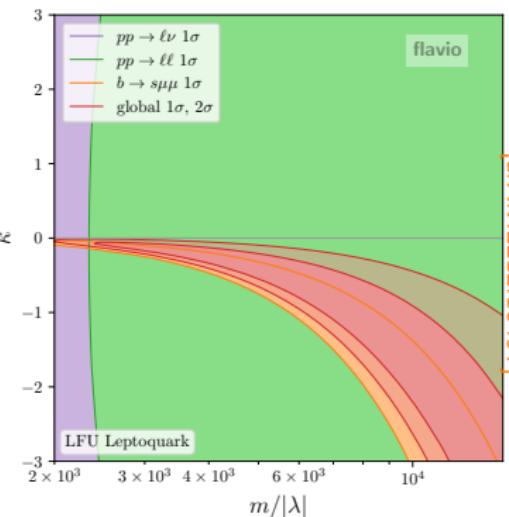


- $b \rightarrow s\ell^+\ell^-$ data can be interpreted using *global fits* of Wilson coefficients
- Assuming NP only in muon-sector ($\mathcal{R}e(C_9^{bs\mu\mu})$ and $\mathcal{R}e(C_{10}^{bs\mu\mu})$) reveals tension between $b \rightarrow s\mu^+\mu^-$ angular and \mathcal{B} measurements and R_{K,K^*}
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[arXiv:2212.10497]



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