

For the IceCube Collaboration



# New Measurement of Muon Neutrino Disappearance from the IceCube Experiment

Shiqi Yu

Michigan State University

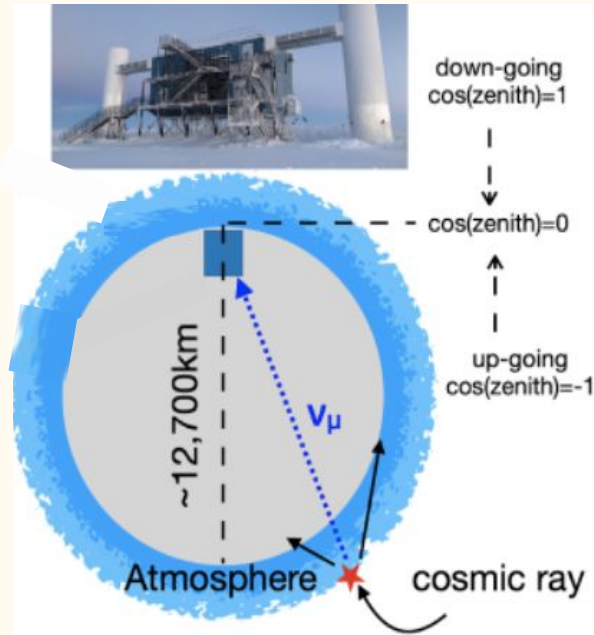


MORIOND EW, March 19th, 2023

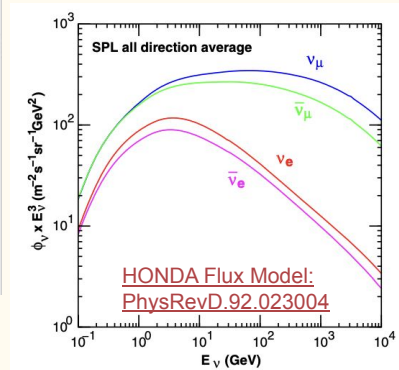
# Presentation Outline

- Introduction
- Reconstruction: Convolutional neural networks
- Atmospheric  $\nu_{\mu}$  disappearance measurements

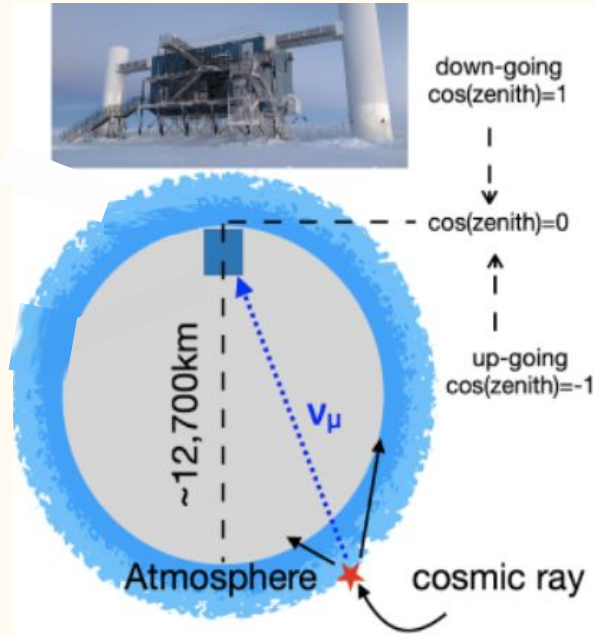
# $\nu_\mu$ Disappearance with IceCube



- **Atmospheric muon neutrinos** from cosmic ray interactions:
  - Wide ranges of both energy ( $E$ ) and baseline ( $L$ ), and largest values.

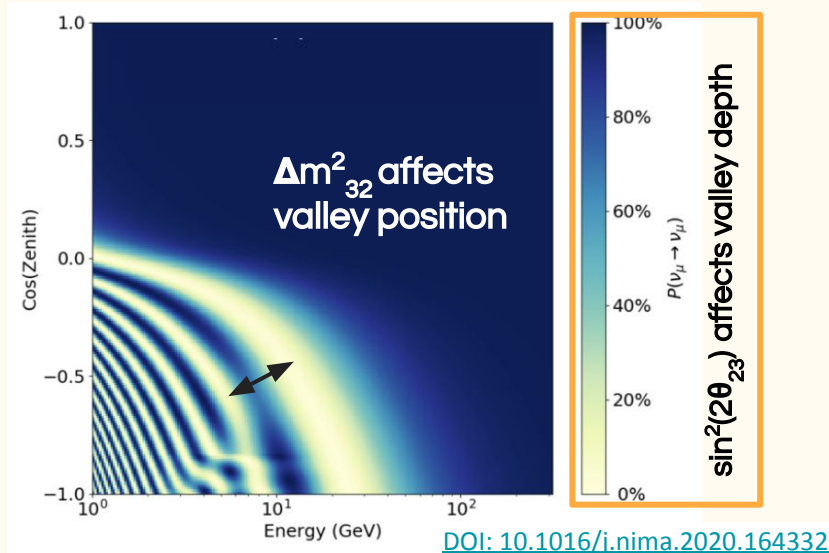


# $\nu_{\mu}$ Disappearance with IceCube



- **Atmospheric muon neutrinos** from cosmic ray interactions:
  - Wide ranges of both energy (E) and baseline (L), and largest values.
- Neutrino **distance of travel (L)** calculated using **arrival direction (zenith)**.

# $\nu_\mu$ Disappearance with IceCube

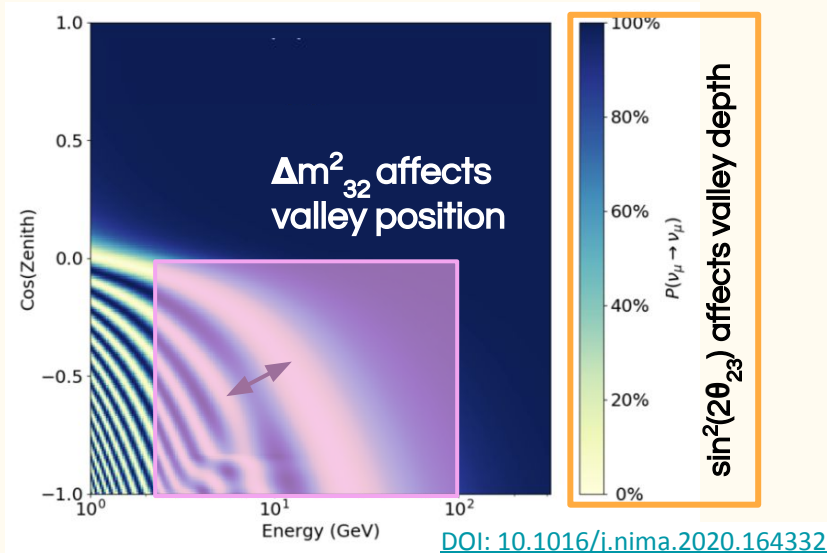


- **Atmospheric muon neutrinos** from cosmic ray interactions:
  - Wide ranges of both energy (E) and baseline (L), and largest values.
- Neutrino **distance of travel** (L) calculated using **arrival direction (zenith)**.

$\nu_\mu$  survival probability (two flavor approx.):

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2(2\theta_{23}) \sin^2\left(\frac{1.27 \Delta m_{32}^2 L}{E}\right)$$

# $\nu_\mu$ Disappearance with IceCube



- **Atmospheric muon neutrinos** from cosmic ray interactions:
  - Wide ranges of both energy (E) and baseline (L), and largest values.
- Neutrino **distance of travel** (L) calculated using **arrival direction (zenith)**.

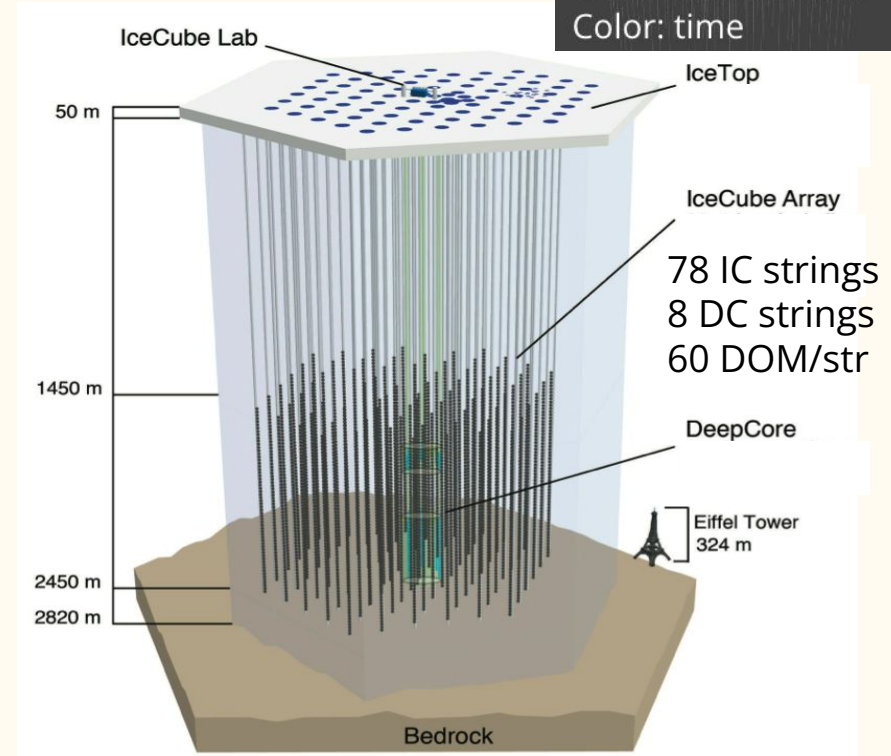
$\nu_\mu$  survival probability (two flavor approx.):

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2(2\theta_{23}) \sin^2\left(\frac{1.27 \Delta m_{32}^2 L}{E}\right)$$

- Low-energy (< 100 GeV) reconstruction is critical to oscillation analysis

# IceCube Neutrino Observatory

- 1 km<sup>3</sup> neutrino detector deep under South Pole ice;
- 5160 digital optical modules (DOMs) detect Cherenkov photons emitted during neutrino interactions;
- DOMs record pulse charges & times;
- **DeepCore**: denser configured sub-detector, can observe **GeV-scale neutrinos**.



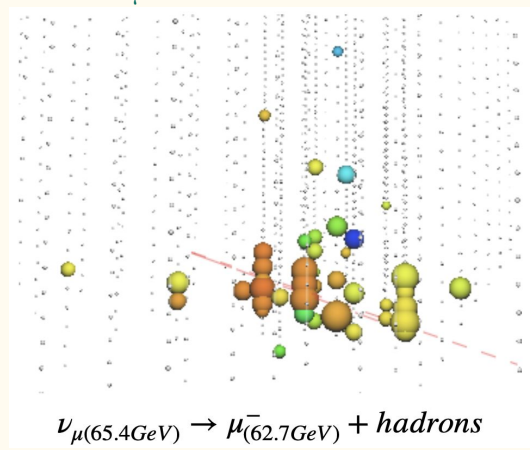
# Reconstruction

Machine learning techniques reconstruct:

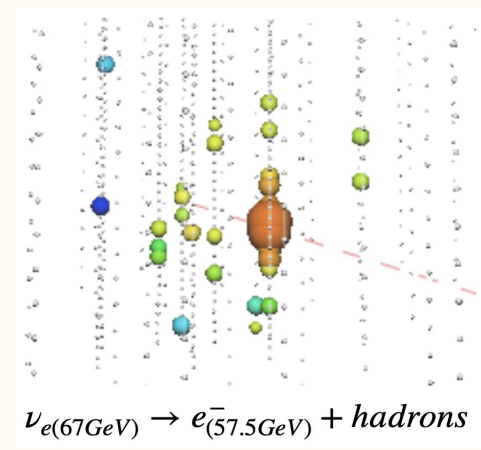
- Energy
  - Direction (L)
  - PID:  $\nu_\mu$  CC vs. others
  - Interaction vertex
  - Atm. muon classifier
- Analysis binning
- Selections



Track-like events:  
 $\nu_\mu$  CC, 17%  $\nu_\tau$  CC



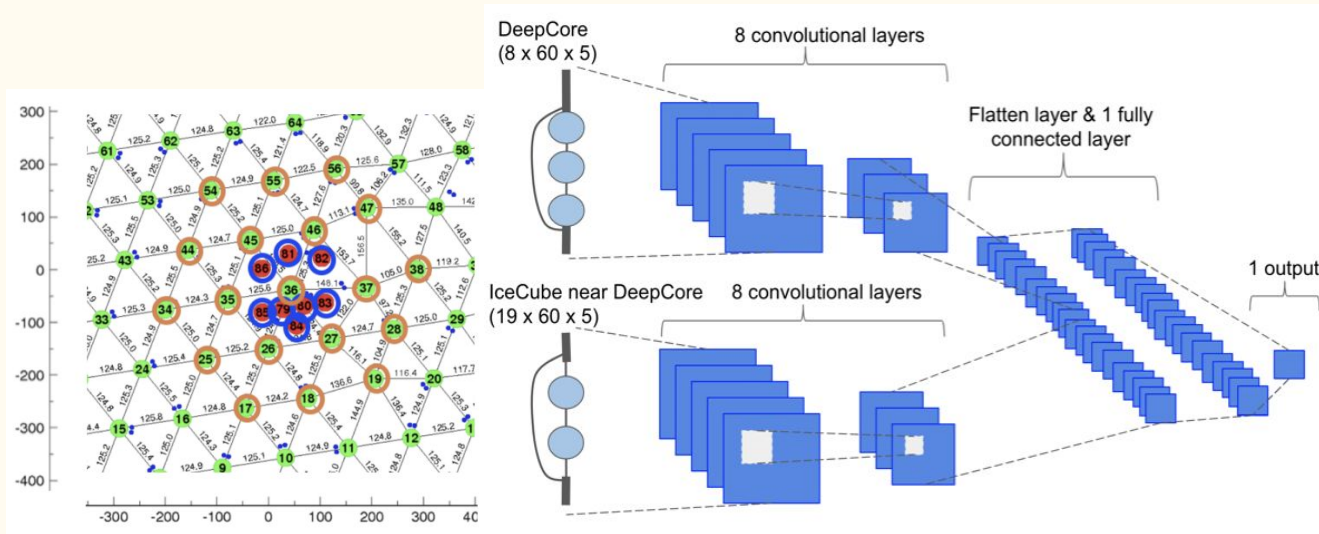
Cascade-like events:  
 $\nu_e$  CC, NC,  $\nu_\tau$  CC





# Convolutional Neural Networks (CNNs)

- Only use DeepCore & nearby IceCube strings;
- Five CNNs trained on balanced MC samples: optimized for different variables.

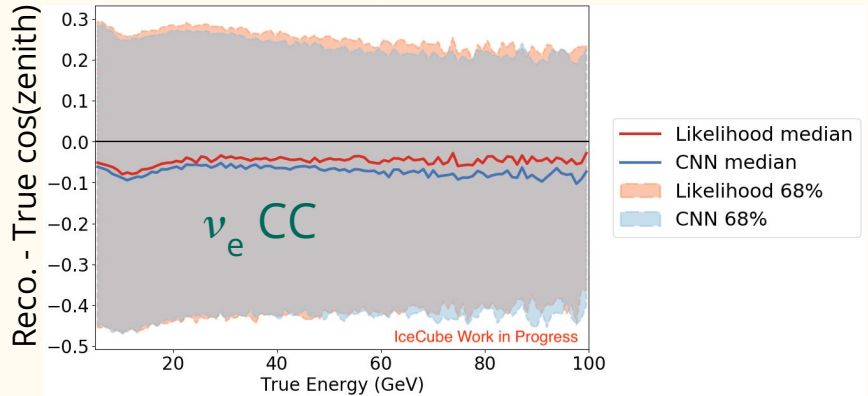
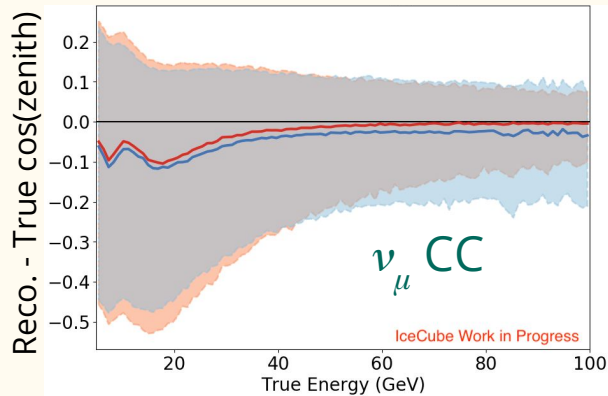


5 summarized variables per DOM:

- sum of charges
- time of first (last) pulse
- charge weighted mean (std.) of times of pulses

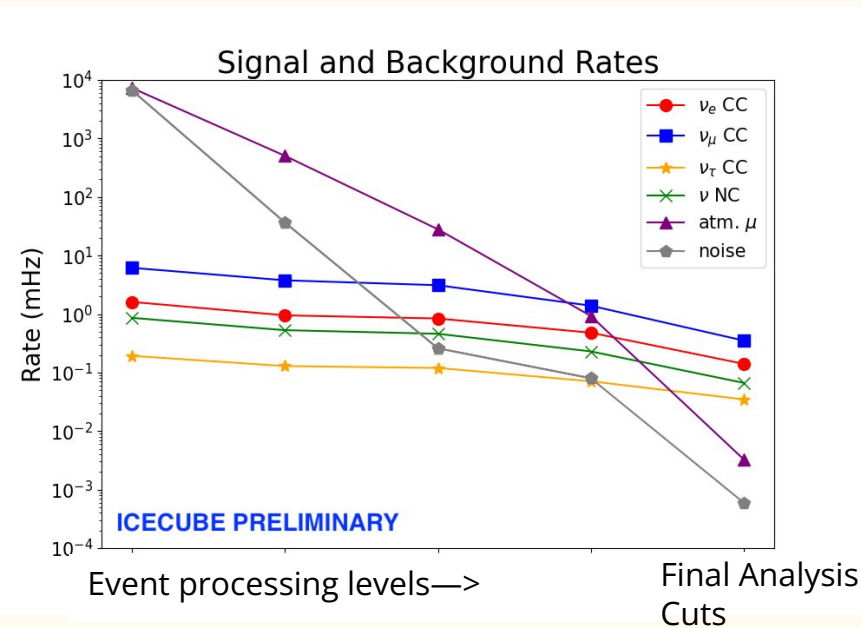
# Reconstruction Performance

- Nominal MC with analysis cuts and flux, xsec, and oscillation weights applied;
- Comparable resolution to current (likelihood-based) method;
- *~3,000 times faster in runtime*: big advantage for full MC production of atmospheric neutrino datasets.



# Preliminary Analysis Sample

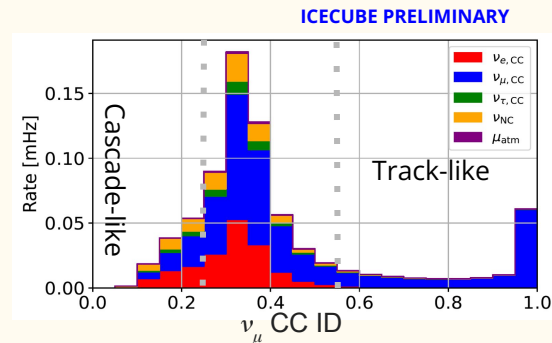
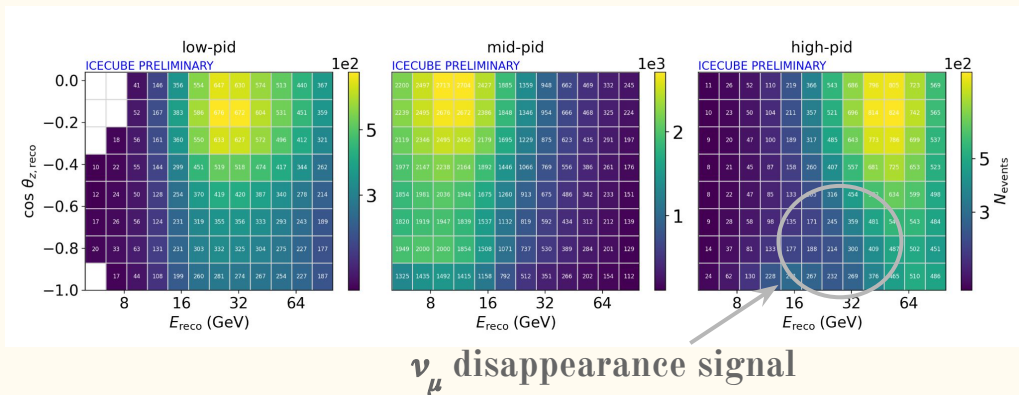
- Data taken over 3,390 days between 2012-2021;
- Total of 150,257 events;
- High signal ( $\nu_\mu$  CC) and low background (noise and atm. muon) rates ( $\sim 0.6\%$ ):
  - Several levels of selection are applied to eliminate the primary atm. muons and noise backgrounds.



# 3D Binned Analysis Sample

Measure 3D distortions in reconstructed [energy,  $\cos(\text{zenith})$ , PID]:

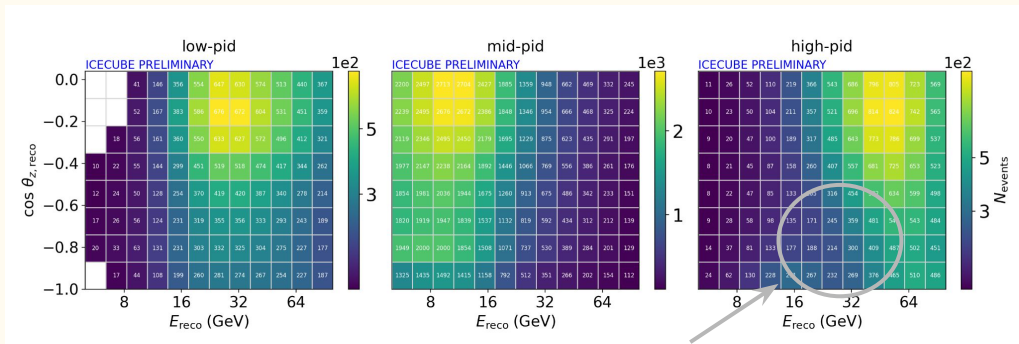
- PID discriminates  $\nu_\mu$  CC vs. neutrino bkg;
  - 27,352 tracks; 22,963 cascades.
- Robust against systematic uncertainties.



# 3D Binned Analysis Sample

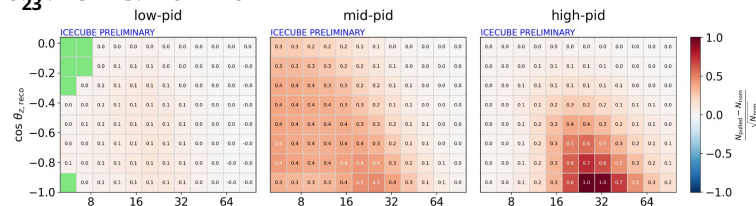
Measure 3D distortions in reconstructed [energy,  $\cos(\text{zenith})$ , PID]:

- PID discriminates  $\nu_\mu$  CC vs. neutrino bkg;
  - 27,352 tracks; 22,963 cascades.
- Robust against systematic uncertainties.

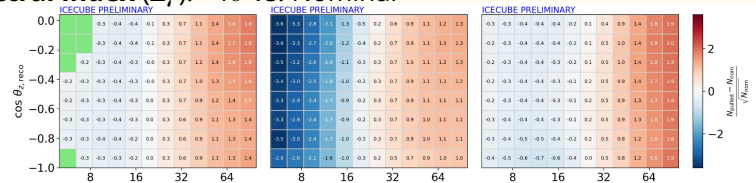


$\nu_\mu$  disappearance signal

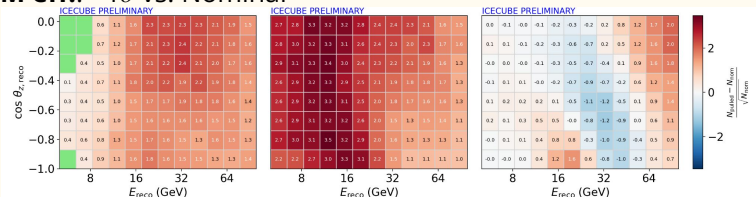
$\theta_{23} : +5^\circ$  vs. Nominal



Spectral index ( $\Delta\gamma$ ):  $+1\sigma$  vs. Nominal

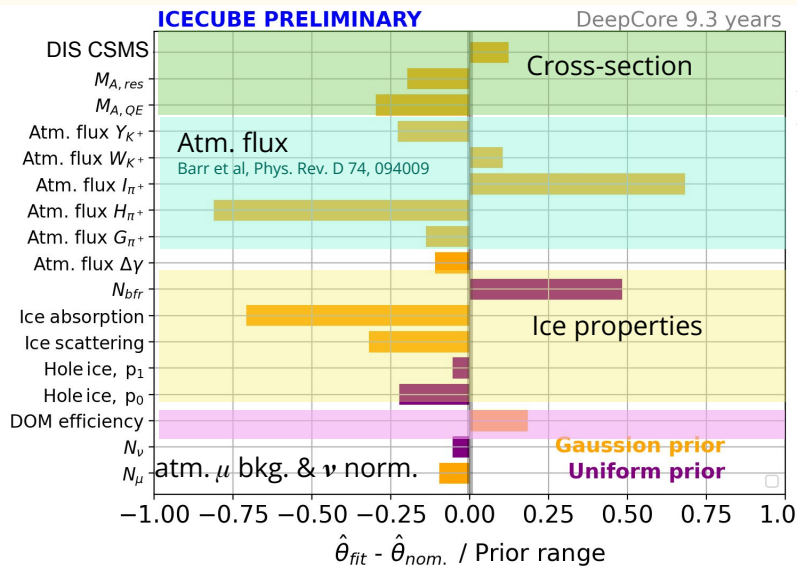


DOM eff.:  $+1\sigma$  vs. Nominal



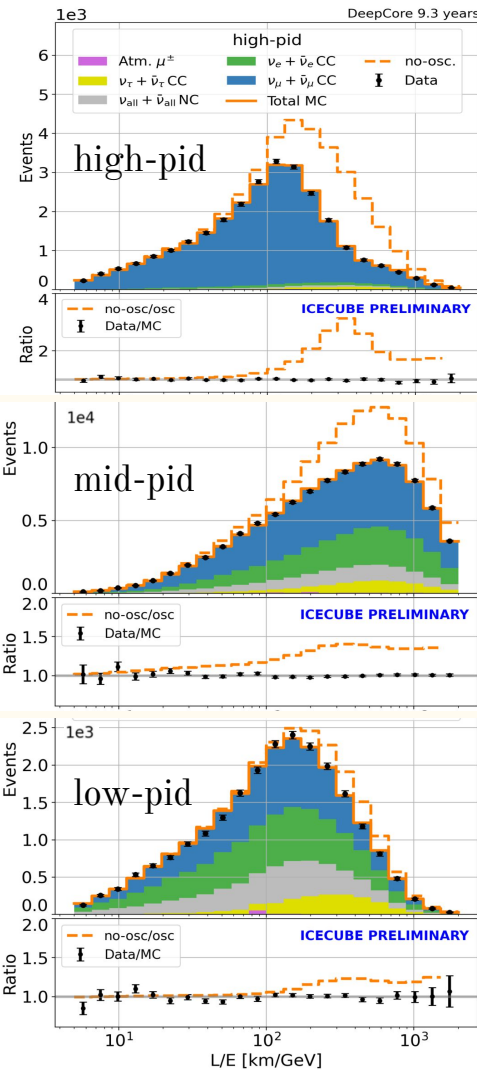
# $\nu_\mu$ Disappearance Analysis

- Systematic uncertainty pulls within expectations;
- Same treatments with DeepCore 8-year results:
  - A publication with details coming soon.



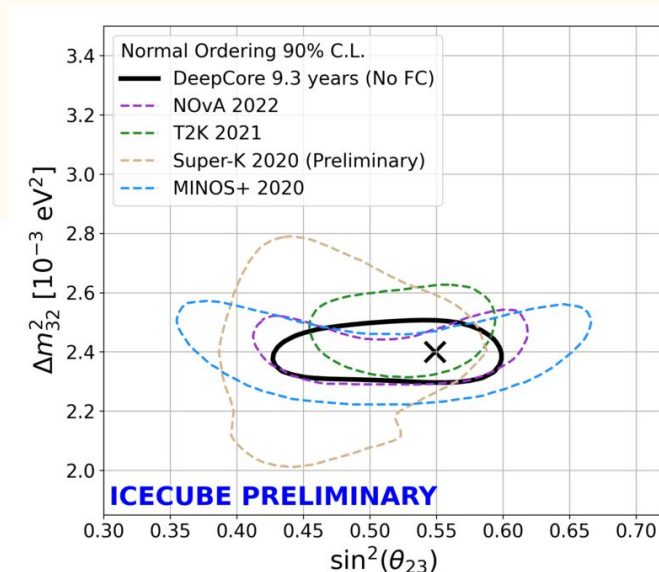
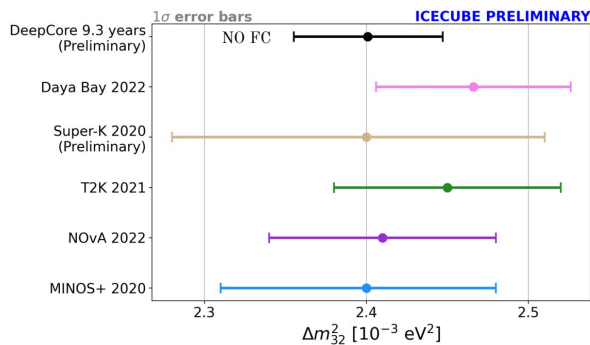
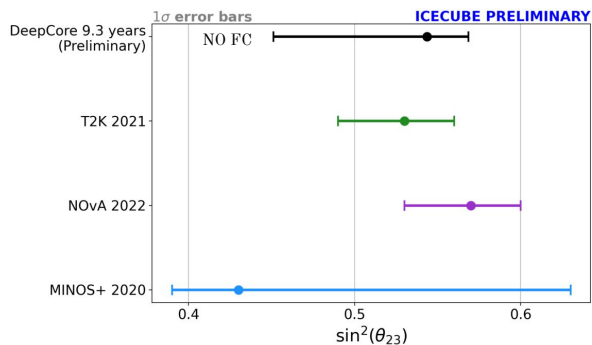
## Future improvements:

- Reduce correlations among flux uncertainties: PCA;
- Further MC improvements underway.



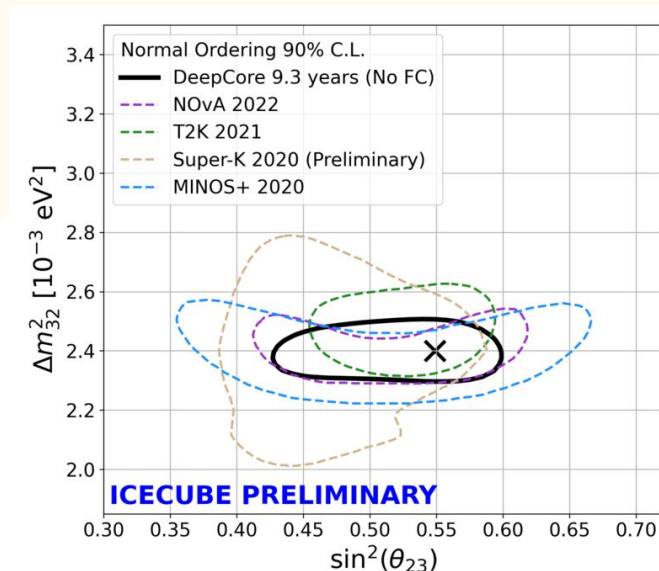
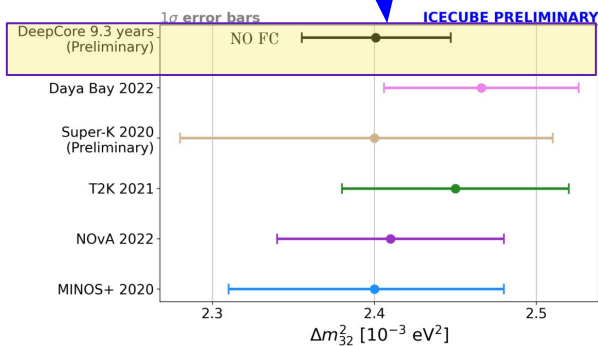
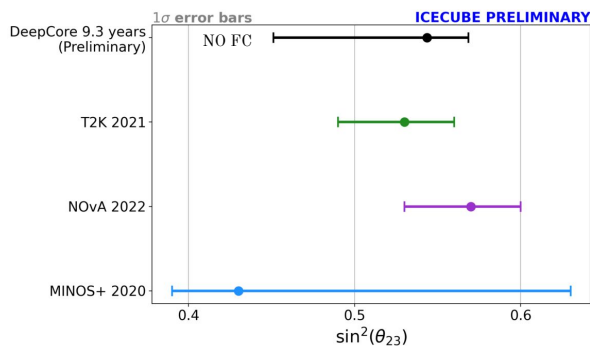
# Oscillation Results

- The new result is compatible and complementary with the existing measurements:
  - Different sample and facing different systematics.
- Big updates on MC models and calibration since last publications (DeepCore 3-year).



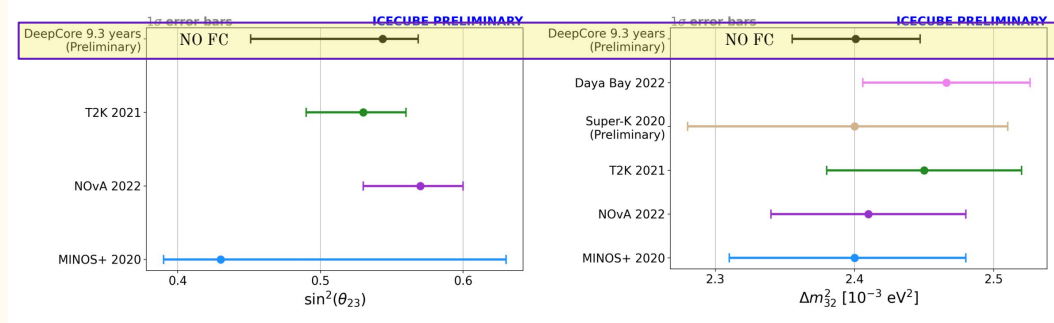
# Oscillation Results

- The new result is compatible and complementary with the existing measurements.
- **Competitive on  $\Delta m^2_{32}$  measurement.**
- Room for future improvements!
  - Flux model; particle modeling; calibration, etc





# Conclusion



- First-time using the highest-statistic (9.3yr) DeepCore atmospheric neutrino dataset for oscillation measurements:
  - Machine learning tools (including CNNs) are used for multi-purpose reconstruction.
- Compatible, complementary results with the existing measurements:
  - Different sample and facing different systematics;
  - Competitive constraint on  $\Delta m^2_{32}$ .
- A lot of room for future improvements!
  - MC models, detector calibration, reconstruction, uncertainty modeling...
- More oscillation results using this new sample on the way!
  - Neutrino mass ordering, non-standard interactions...

Stay tuned!

# Thank you for your attention!



Hey I'm a D-Egg

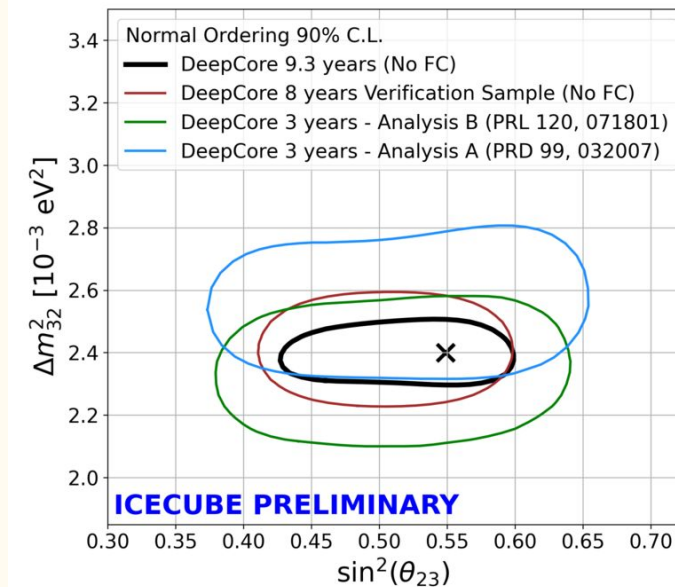


Hey, hooman!  
I'm an mDOM

# Backup

# Oscillation Results

- Consistent with the previous IceCube results.
- Big updates on MC models and calibration since last publication (DeepCore 3-year).
- Compared to DeepCore 8-year result: New reconstruction, including mixed- and low-pid bins into analysis.

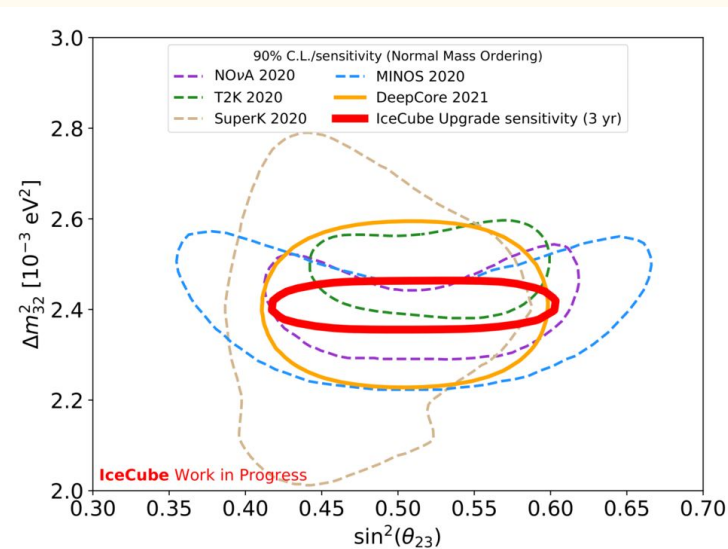
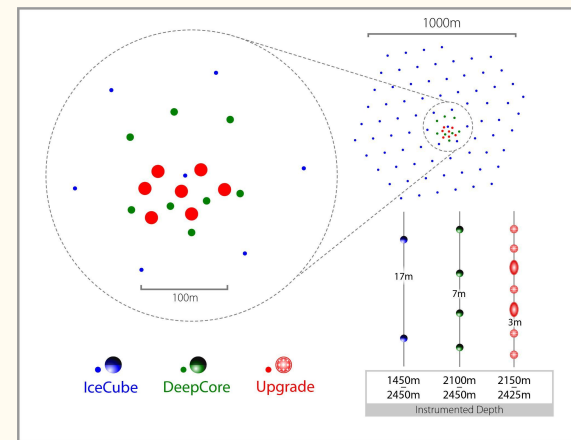
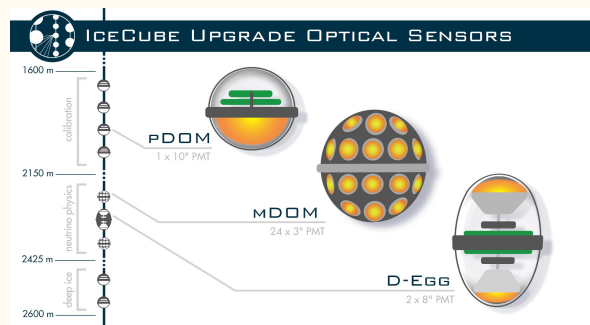


# Future

## The Upgrade detector:

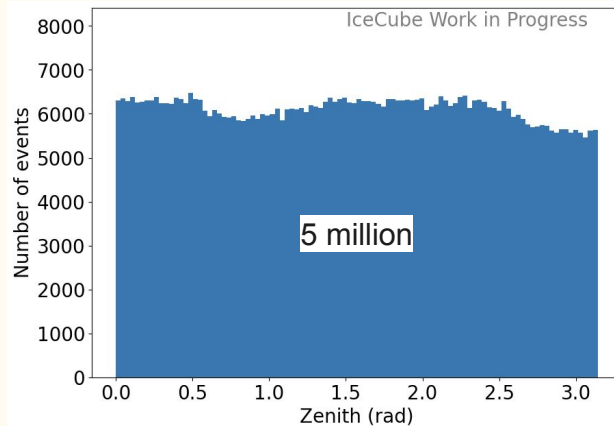
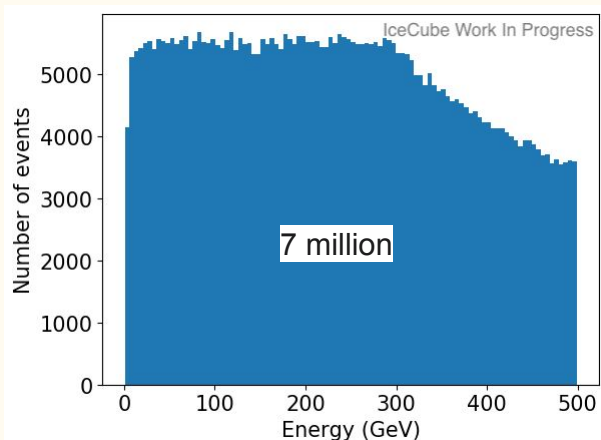
- More densely instrumented strings in the center
  - Better energy resolution!
- DOM: multiple PMT designs
  - Great for calibration studies!
- **Target deploying 2024/25**

New sensitivity of Upgrade expected in summer!



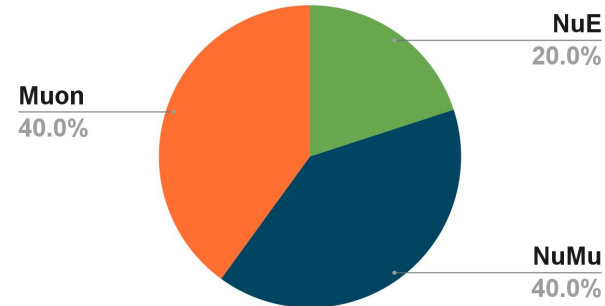
# Training Samples

- Balanced MC samples;
- Energy, direction, interaction vertex are trained on  $\nu_\mu$  CC events (signal).



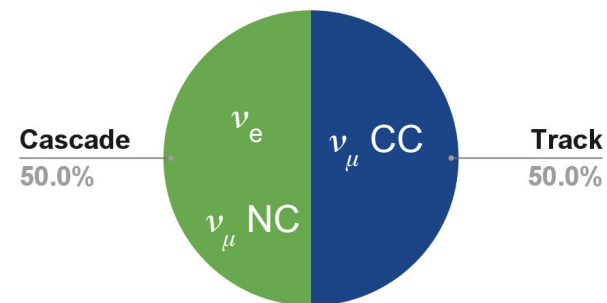
## Muon Classifier

4.2 million in total



## PID: $\nu_\mu$ CC

6 million in total



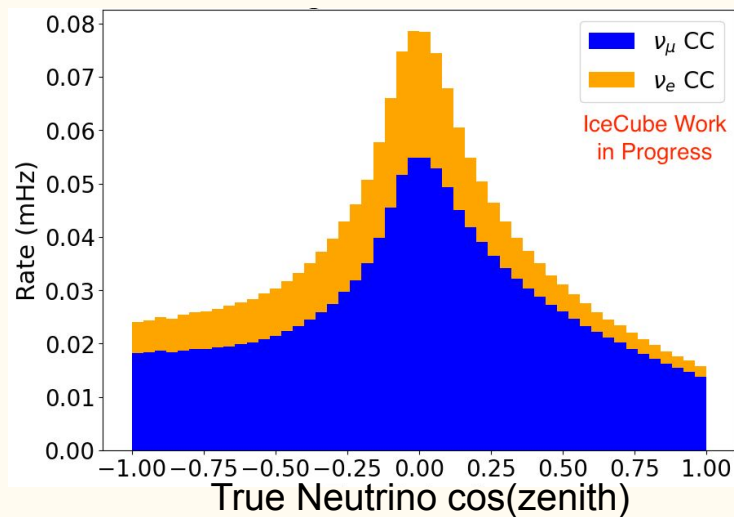
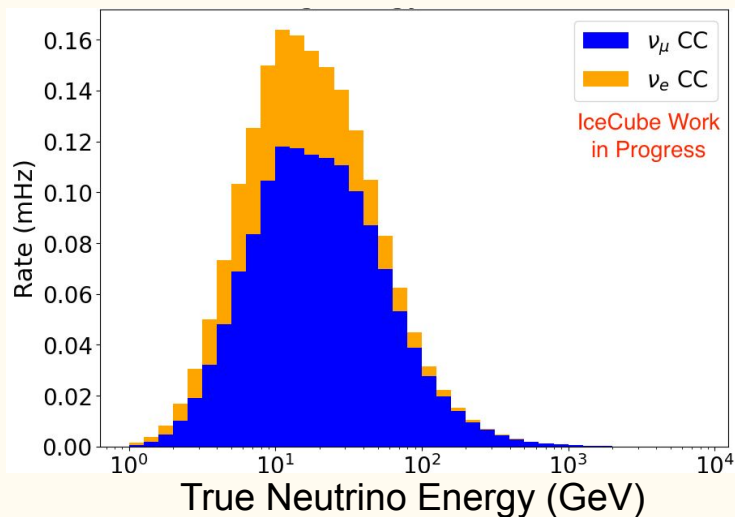
# Performance: Speed

	Second per file (~3k events)	Time for full sample assuming 1000 cores
CNN on GPU	21	~ 13 minutes
CNN on CPU	45	~ 7.5 hours
Current Likelihood-based method (CPU only)	120,000	~ 46 days

- CNN runtime improvement: ~3,000 times faster;
  - CNNs are able to process in parallelize with clusters → can be even faster!
- Big advantage: large production of full Monte Carlo simulations  $\sim O(10^8)$ .

# Testing Samples

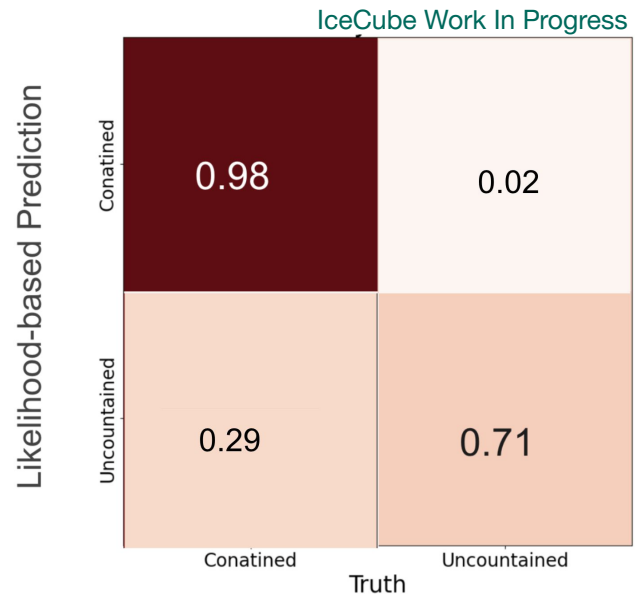
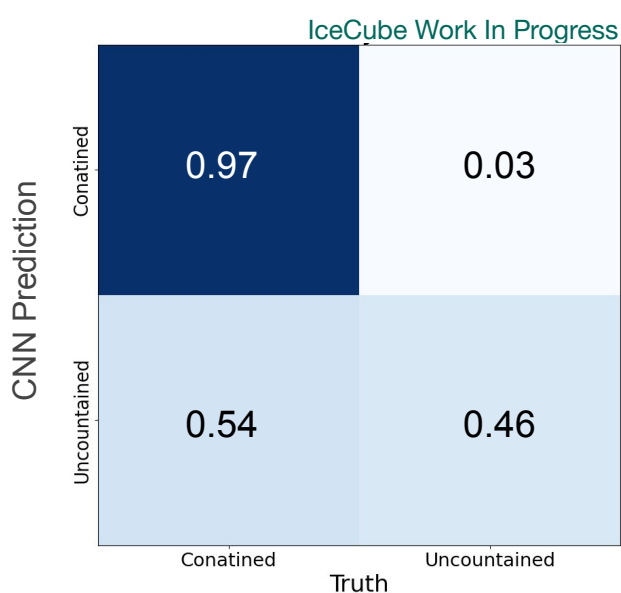
- Nominal MC sample with flux, xsec, and oscillation weights applied;
- Testing on signal ( $\nu_\mu$  CC) and major background ( $\nu_e$  CC);
- Baseline: current reconstruction method (likelihood-based)





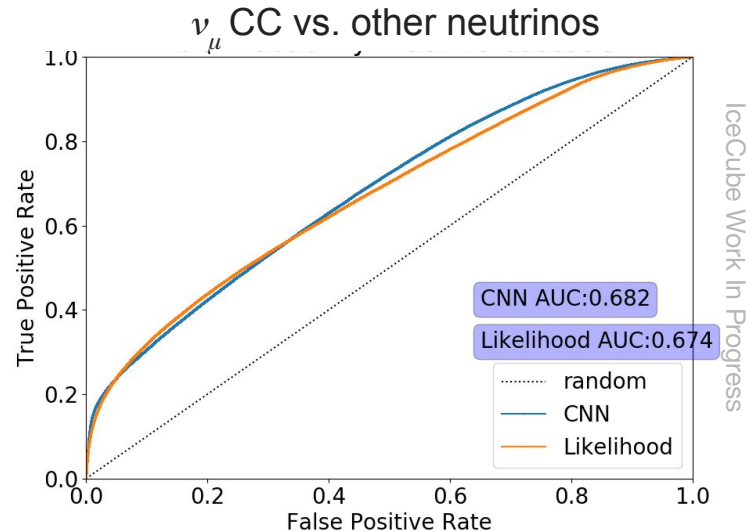
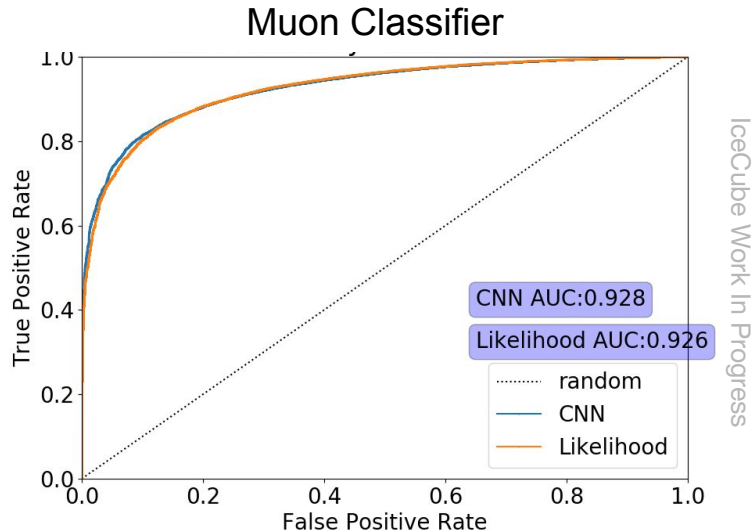
# Performance: Vertex

- Selecting events starting near DeepCore;
- Comparable purities in selected  $\nu_{\mu}$  CC samples.



# Performance: Muon and PID Classifiers

- Comparable performance to the current methods:
  - Similar AUC values.
- Hard to identify track from cascades at low energy  $\rightarrow$  less DOMs see photons.



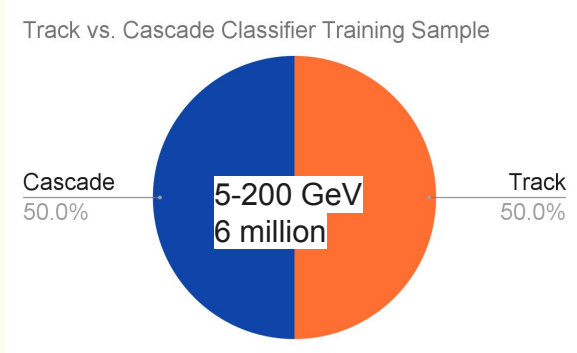
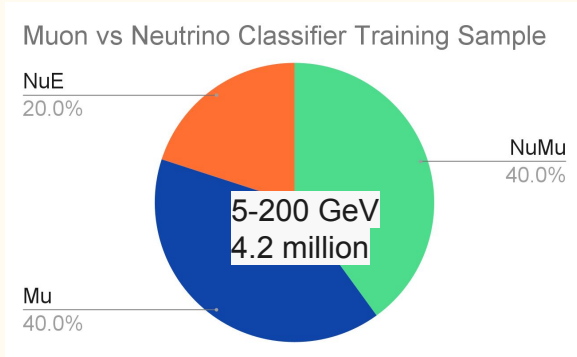
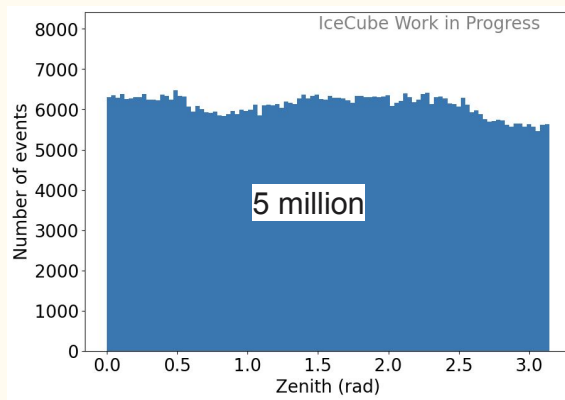
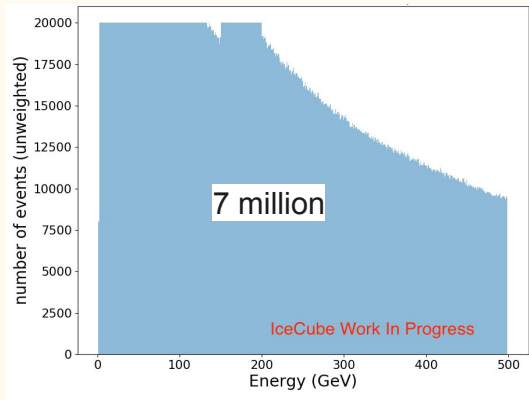
# Training Samples

Energy:  $n\text{DOM} \geq 7$

Muon :  $n\text{DOM} \geq 4$ ; 5-200 GeV

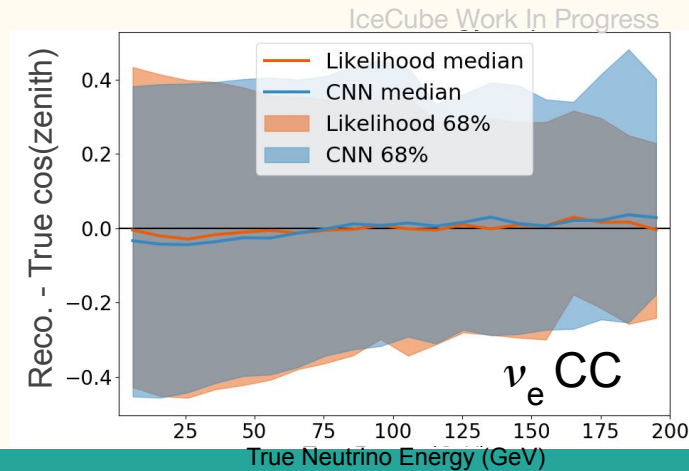
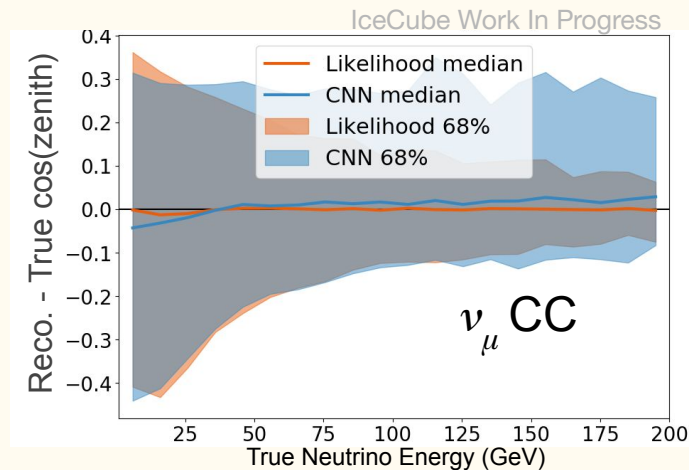
Muon, PID, Vertex:  $n\text{hits} \geq 8$  hit 5-200 GeV

Zenith: full containment cut on true vertexes, 5-300GeV



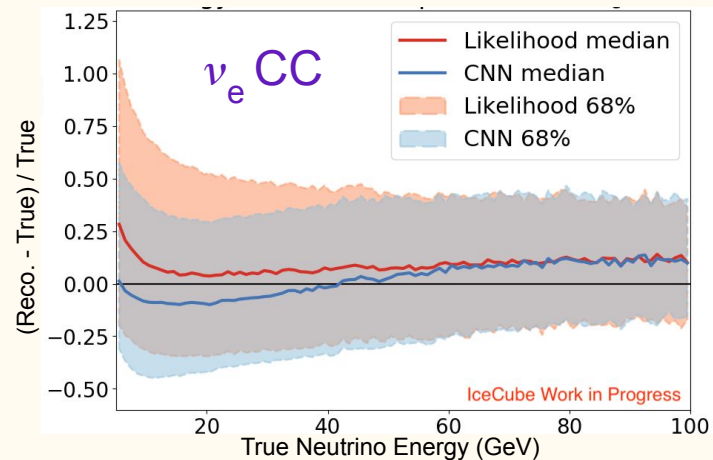
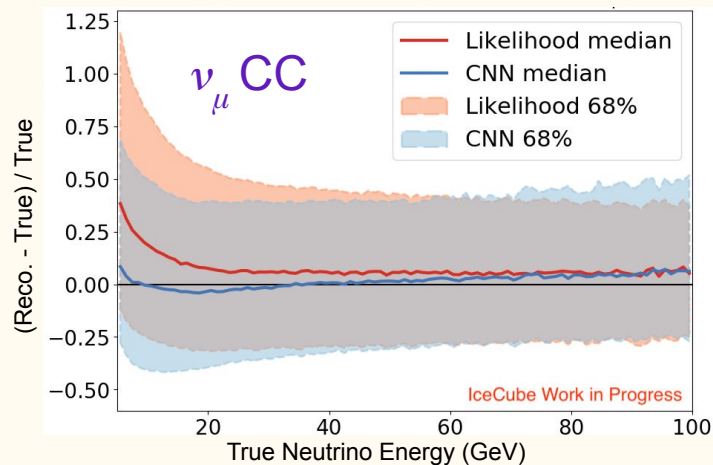
# Performance: Direction

- Direction bias flat against true energy;
- Comparable to current method;
- Better resolution for  $\nu_{\mu}$  CC (signal);
- High energy (>100 GeV) neutrinos leaving DeepCore
  - Need containment cut: interaction vertex reconstruction.



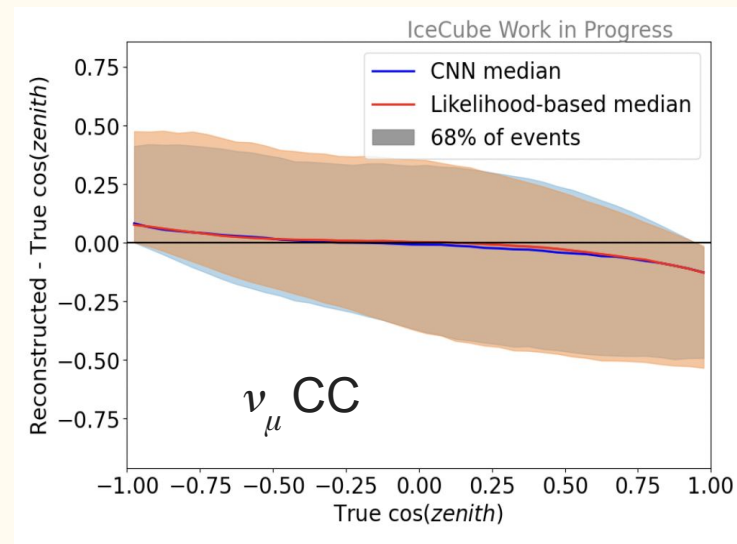
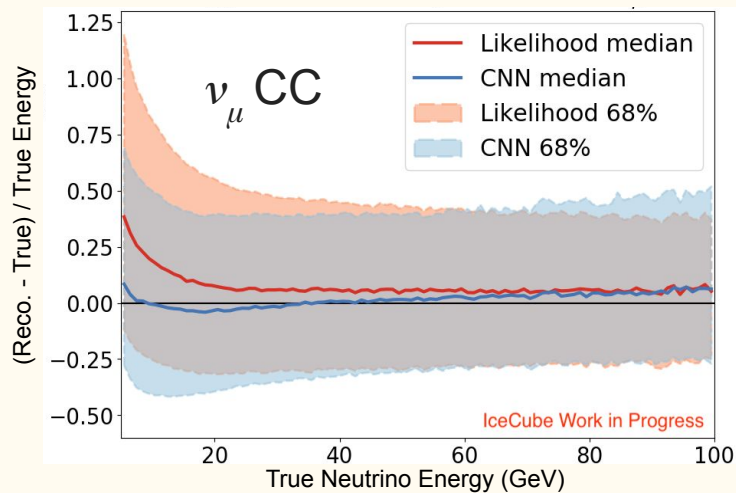
# Performance: Energy

- Flat median against true neutrino energy;
  - CNN has better resolution at low energy (majority of sample)
- Comparable performance to current method at higher energy and in background;



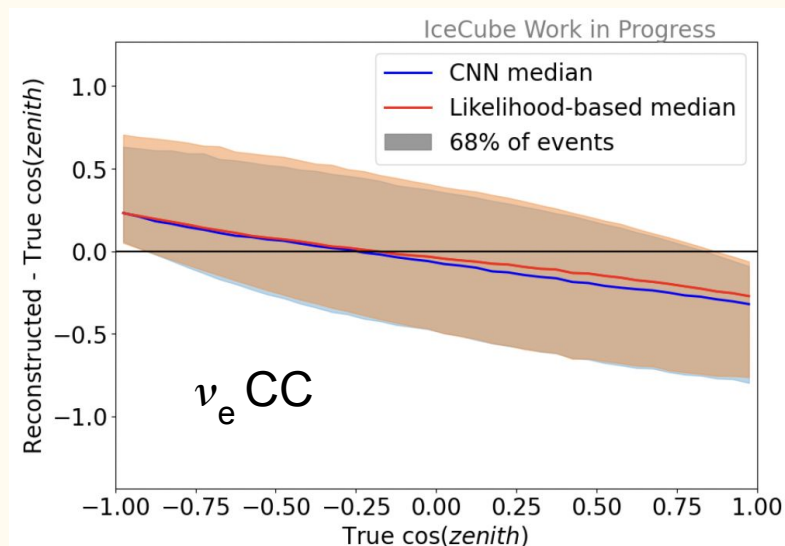
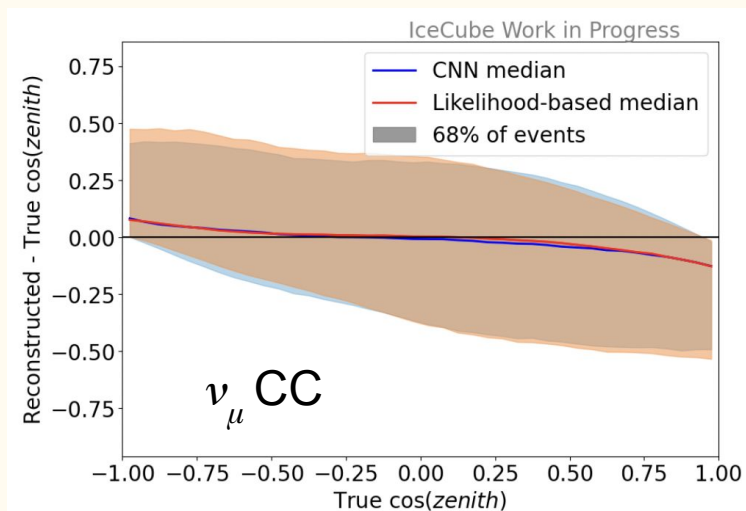
# Reconstruction Performance

- Flat median against true neutrino energy and zenith;
- CNN has comparable resolution to current method, and better at low energy (majority of sample)

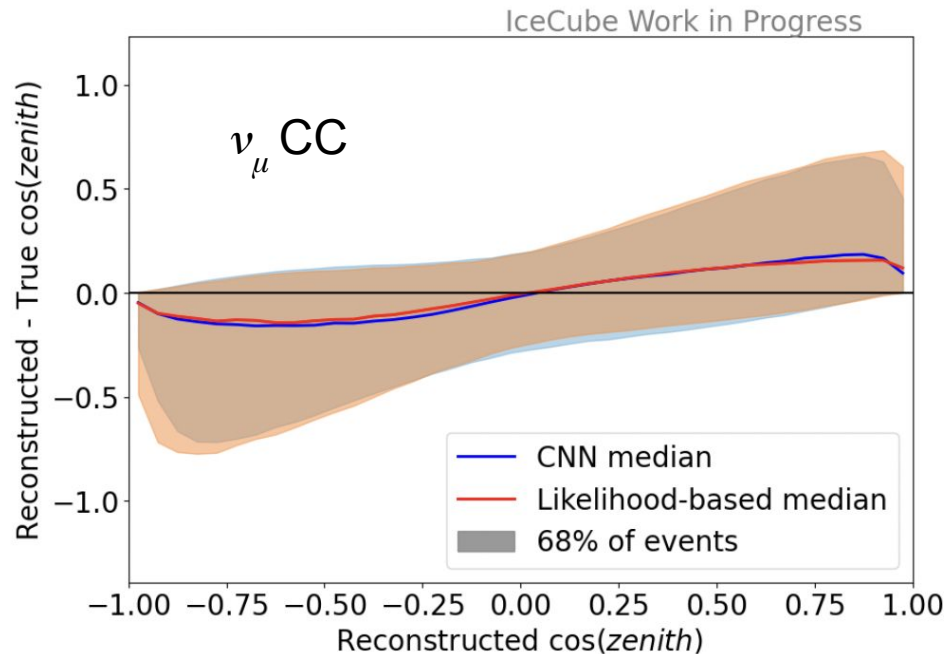
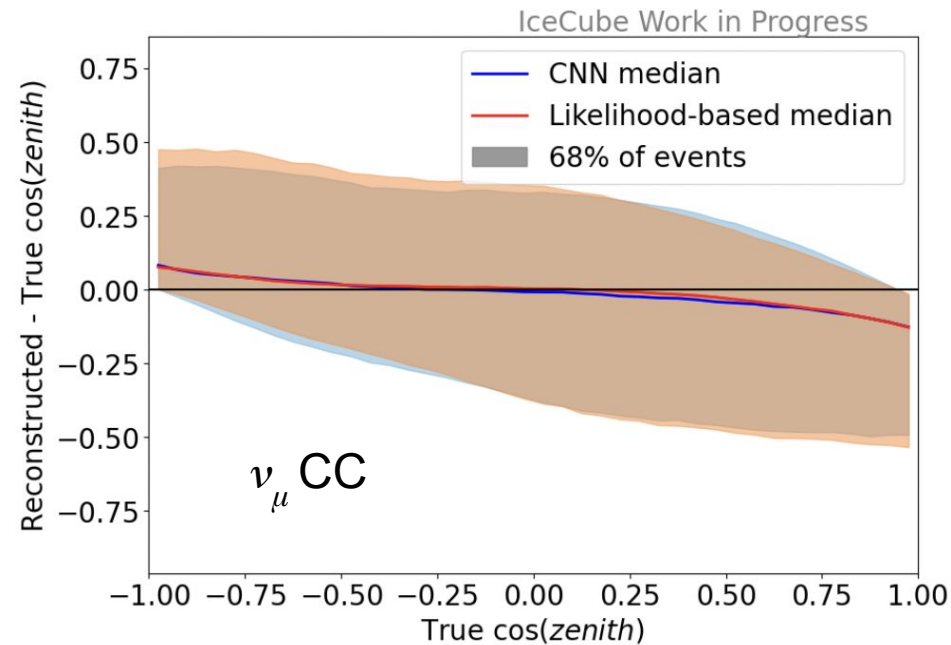


# Performance: Zenith

- Flat median against true direction;
- Comparable to current method in both signal and background.



# Performance: Zenith (Contained, 5-300 GeV Sample)

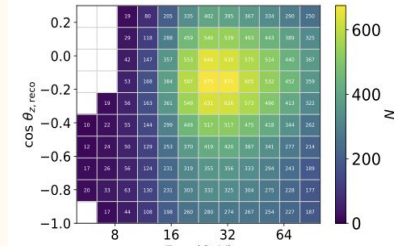




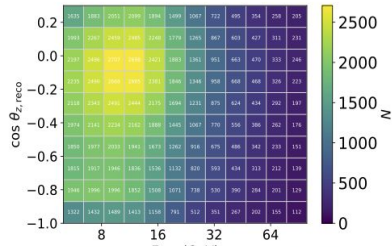
# Systematic Effect: Neutrino Flux Model

Neutrino flux spectral index variation has different signature to expected oscillation signal

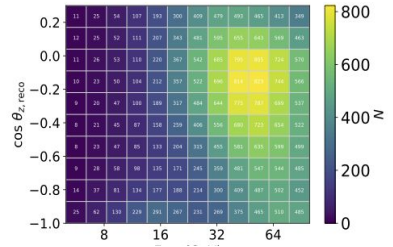
Cascade-like



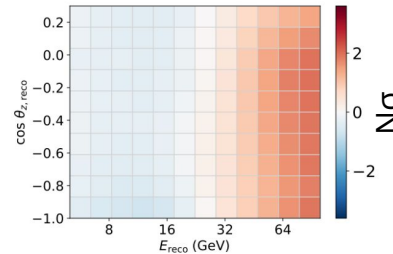
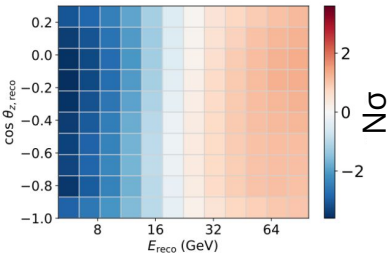
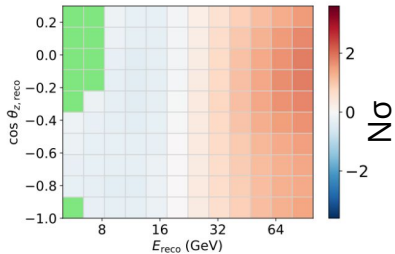
Mixed



Track-like



Fit for spectral index among other model systematics



IceCube Work in Progress

Flux model systematic: Neutrino flux spectral index changed by  $+1\sigma$

$$N_{\sigma} = \frac{N_{\text{pulled}} - N_{\text{nominal}}}{\sqrt{N_{\text{nominal}}}}$$

# Systematic Uncertainty Consideration

- Flux uncertainty
  - Pion & Kaon production uncertainties

$E_i$ (GeV)	Pions			Kaons		
<8	10%		30%	40%		
8-15	30%	10%	30%	40%		
15-30	30%	10%	5%	10%	30%	
30-500	30%	15%			40%	30%
>500	30%	15%+Energy dep.			40%	30%+Energy dep.

Barr et al, Phys. Rev. D 74, 094009