

On the fate of the Light Ring instability

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Based on

2207.13713 with P. Cunha, E. Radu, N. Sanchis-Gual
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“In my entire scientific life, extending over forty-five years, the most shattering experience has been the realization that an exact solution of Einstein’s field equations of general relativity, discovered by the New Zealand mathematician, Roy Kerr, provides the absolutely exact representation of untold numbers of massive black holes that populate the Universe.”

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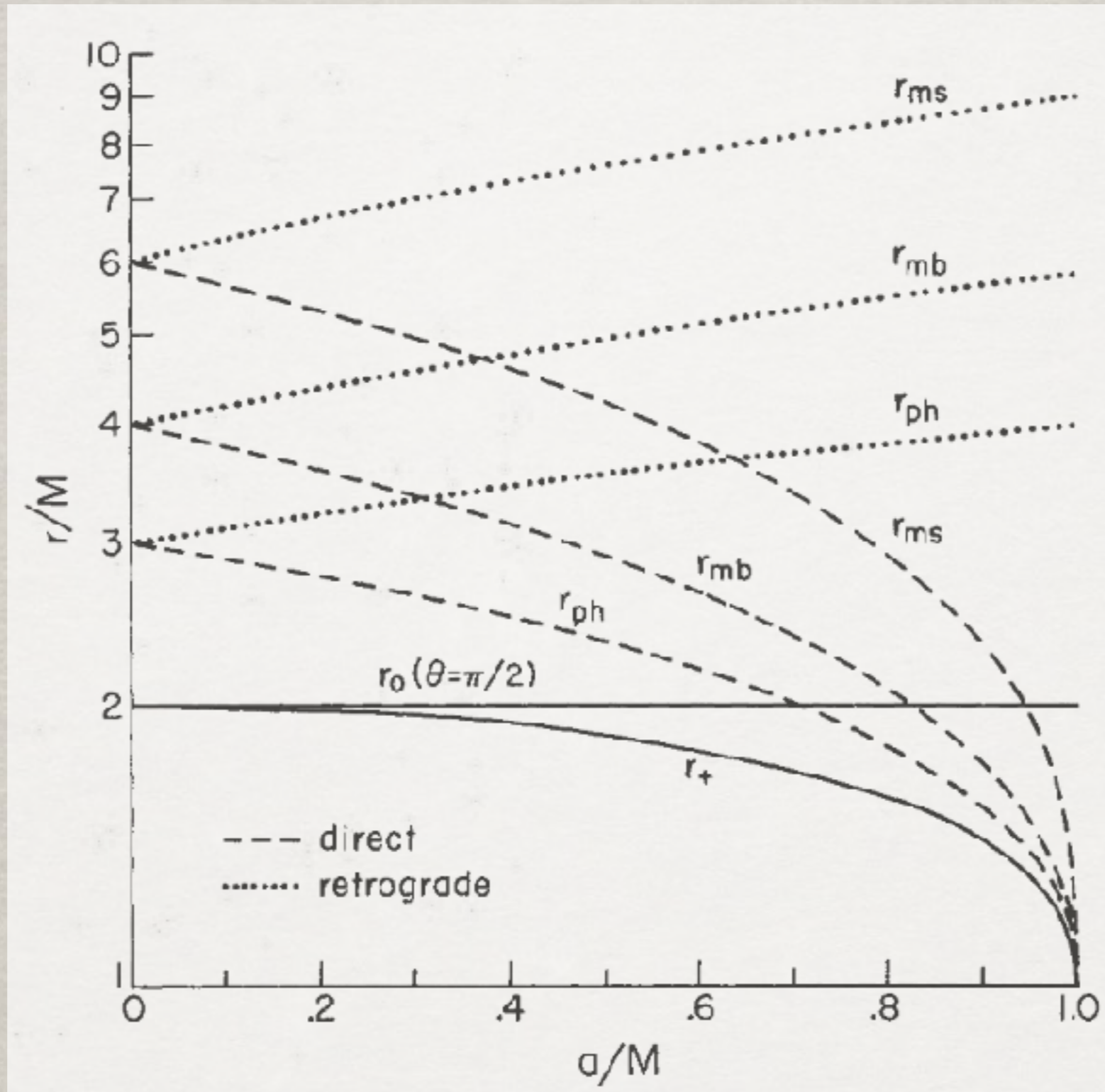
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Today!

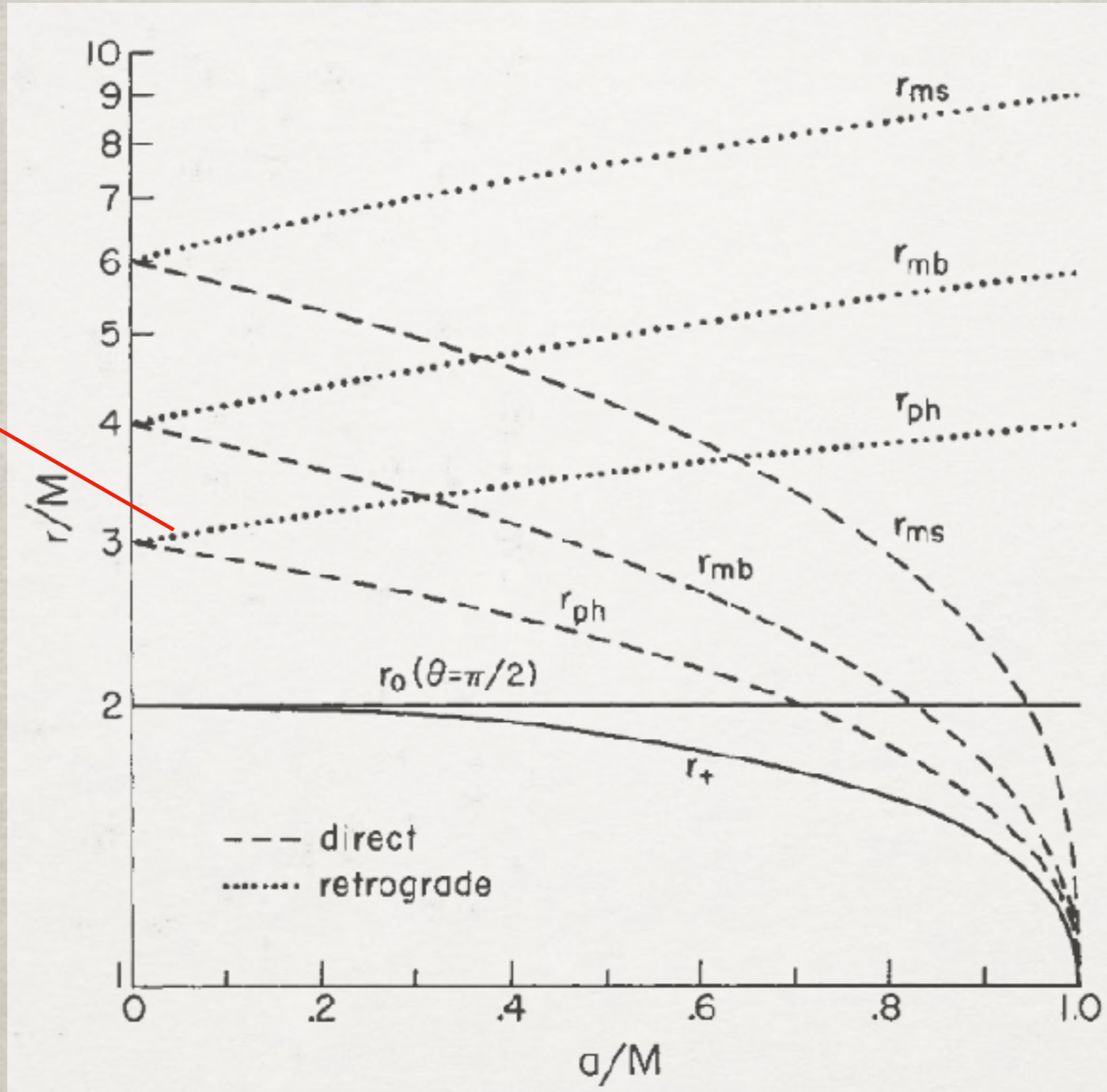
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Bardeen, Press, Teukolsky, *Ap. J.* 178 (1972) 347

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Counter-rotating
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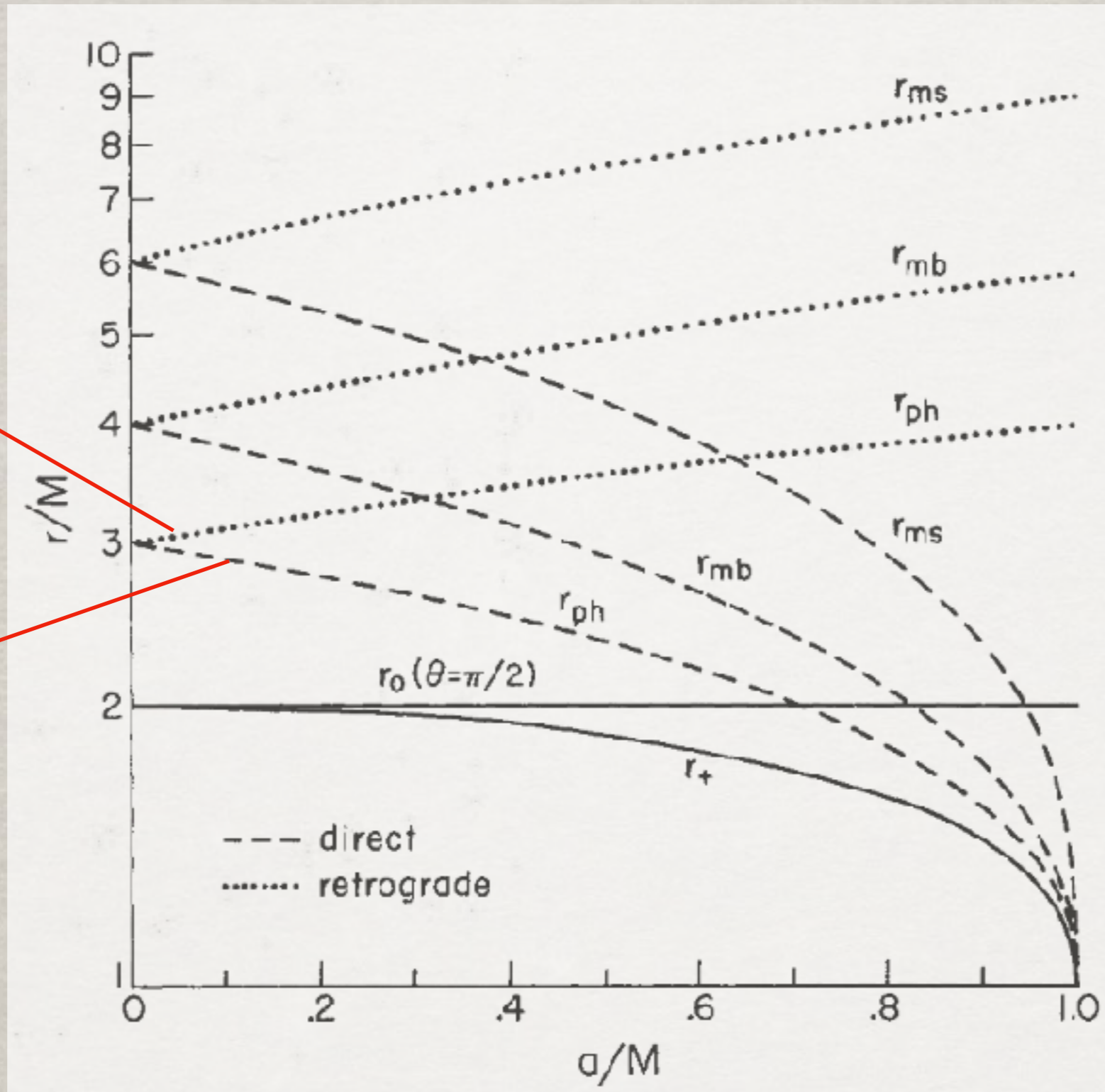


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Equilibrium black holes, in general have Light Rings (LRs)

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PHYSICAL REVIEW LETTERS 124, 181101 (2020)


Editors' Suggestion

Stationary Black Holes and Light Rings

Pedro V. P. Cunha¹ and Carlos A. R. Herdeiro²

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 (Received 19 March 2020; accepted 15 April 2020; published 8 May 2020)

The ringdown and shadow of the astrophysically significant Kerr black hole (BH) are both intimately connected to a special set of bound null orbits known as light rings (LRs). Does it hold that a *generic* equilibrium BH *must* possess such orbits? In this Letter we prove the following theorem. A stationary, axisymmetric, asymptotically flat black hole spacetime in $1+3$ dimensions, with a nonextremal, topologically spherical, Killing horizon admits, at least, one standard LR outside the horizon for each rotation sense. The proof relies on a topological argument and assumes C^2 smoothness and circularity, but makes no use of the field equations. The argument is also adapted to recover a previous theorem establishing that a horizonless ultracompact object must admit an even number of nondegenerate LRs, one of which is stable.

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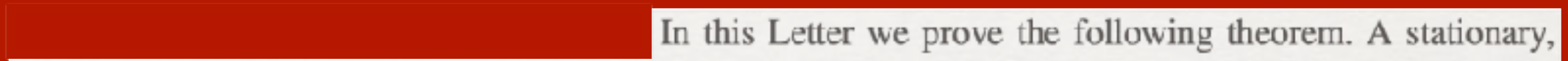

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Central idea:
LRs are *critical points*
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- determined by the Hamiltonian $\mathcal{H} = \frac{1}{2}g^{\mu\nu}p_\mu p_\nu = 0$.
- $2\mathcal{H} = (g^{ij}p_i p_j) + (g^{ab}p_a p_b), \quad i \in \{r, \theta\}, \quad a \in \{t, \varphi\}.$
 $= K + U(r, \theta).$

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- Can be factorized as $U = (L^2 g^{tt})(\sigma - H_+)(\sigma - H_-)$, $\sigma \equiv E/L$.

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At a LR: \implies $\boxed{\nabla H_{\pm} = 0}$ (critical point of $H_{\pm}(r, \theta)$)

For Schwarzschild:

$$H_{\pm} = \pm \frac{\sqrt{1 - \frac{2M}{r}}}{r \sin \theta}$$

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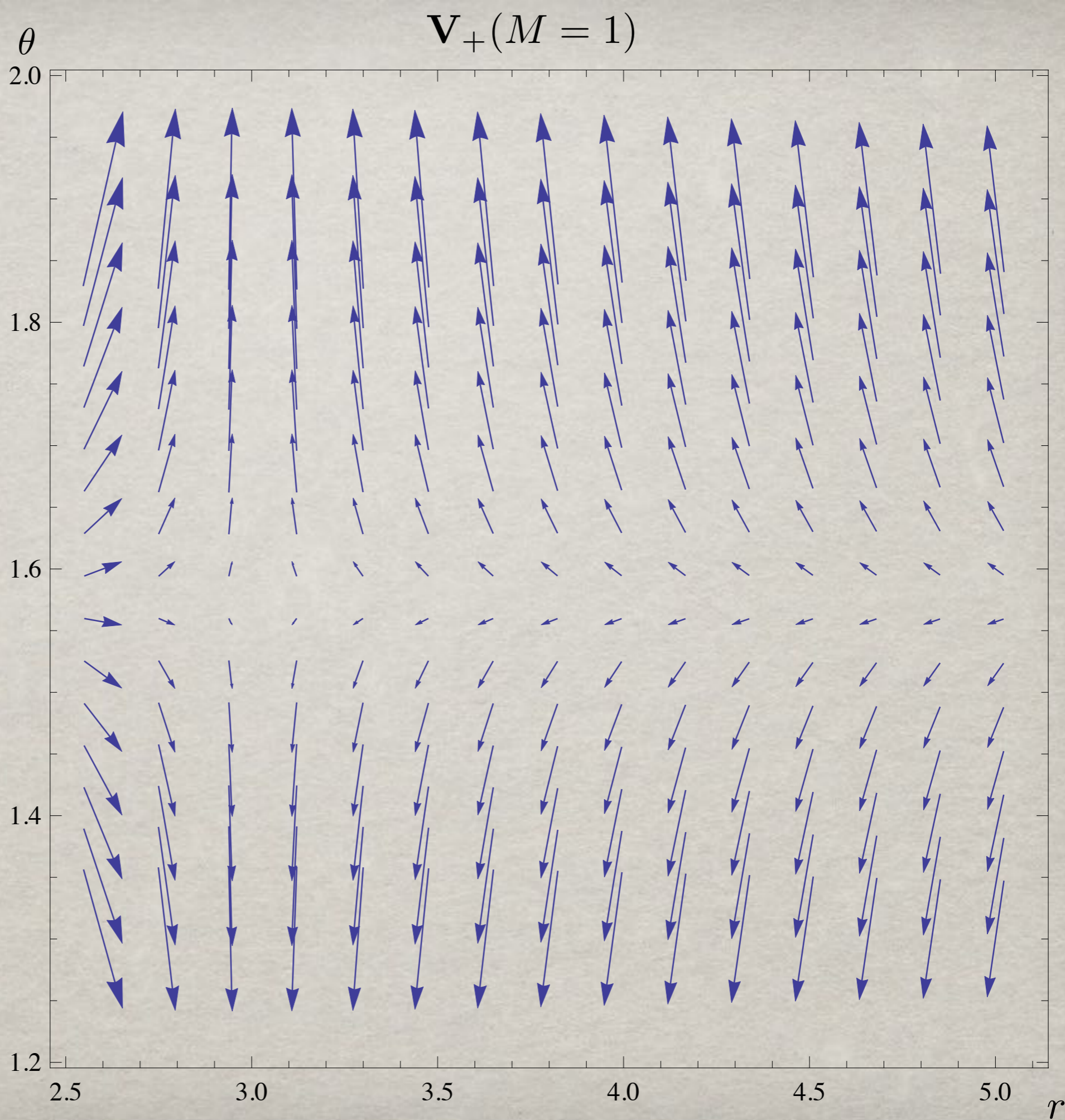
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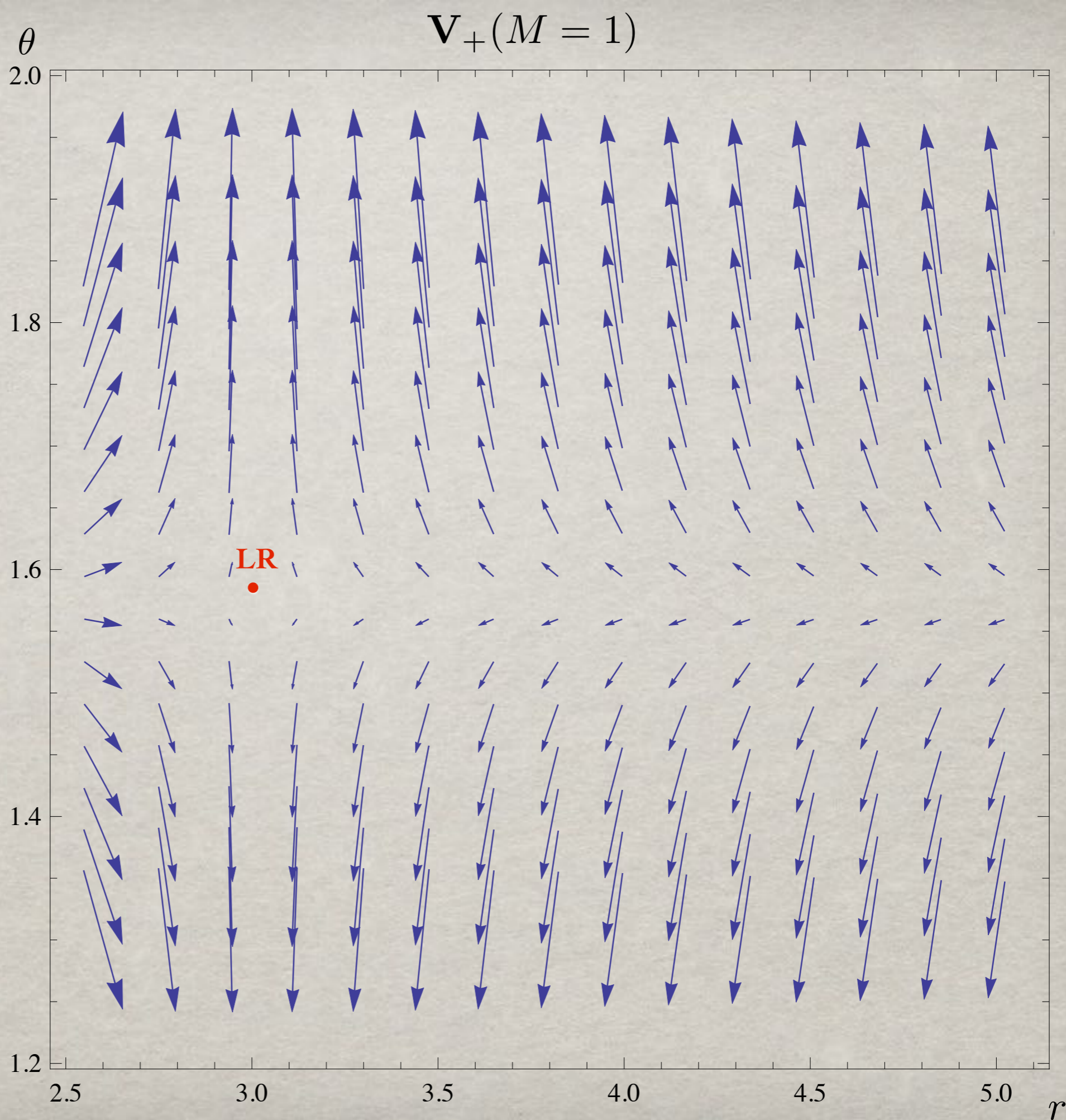
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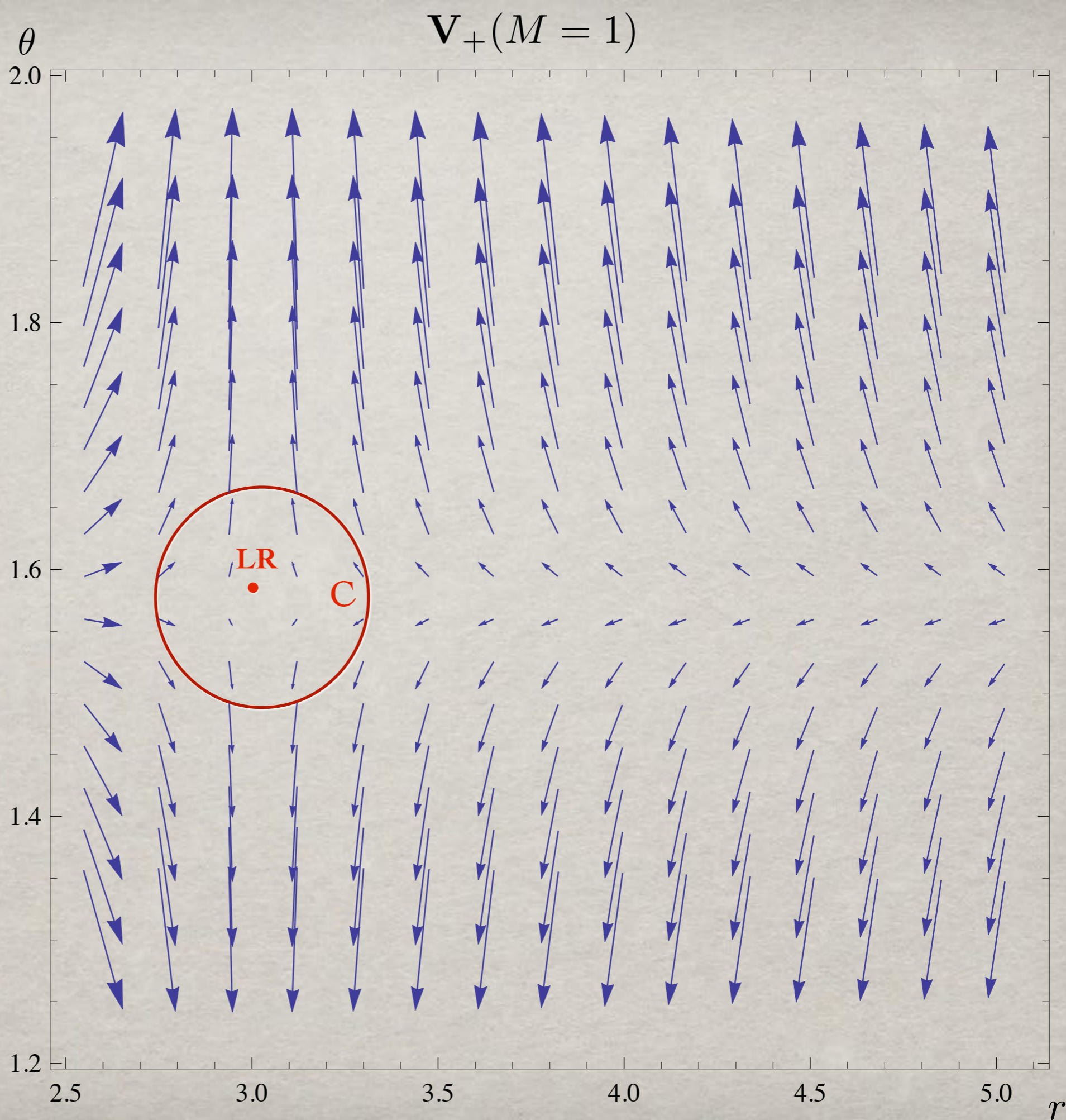
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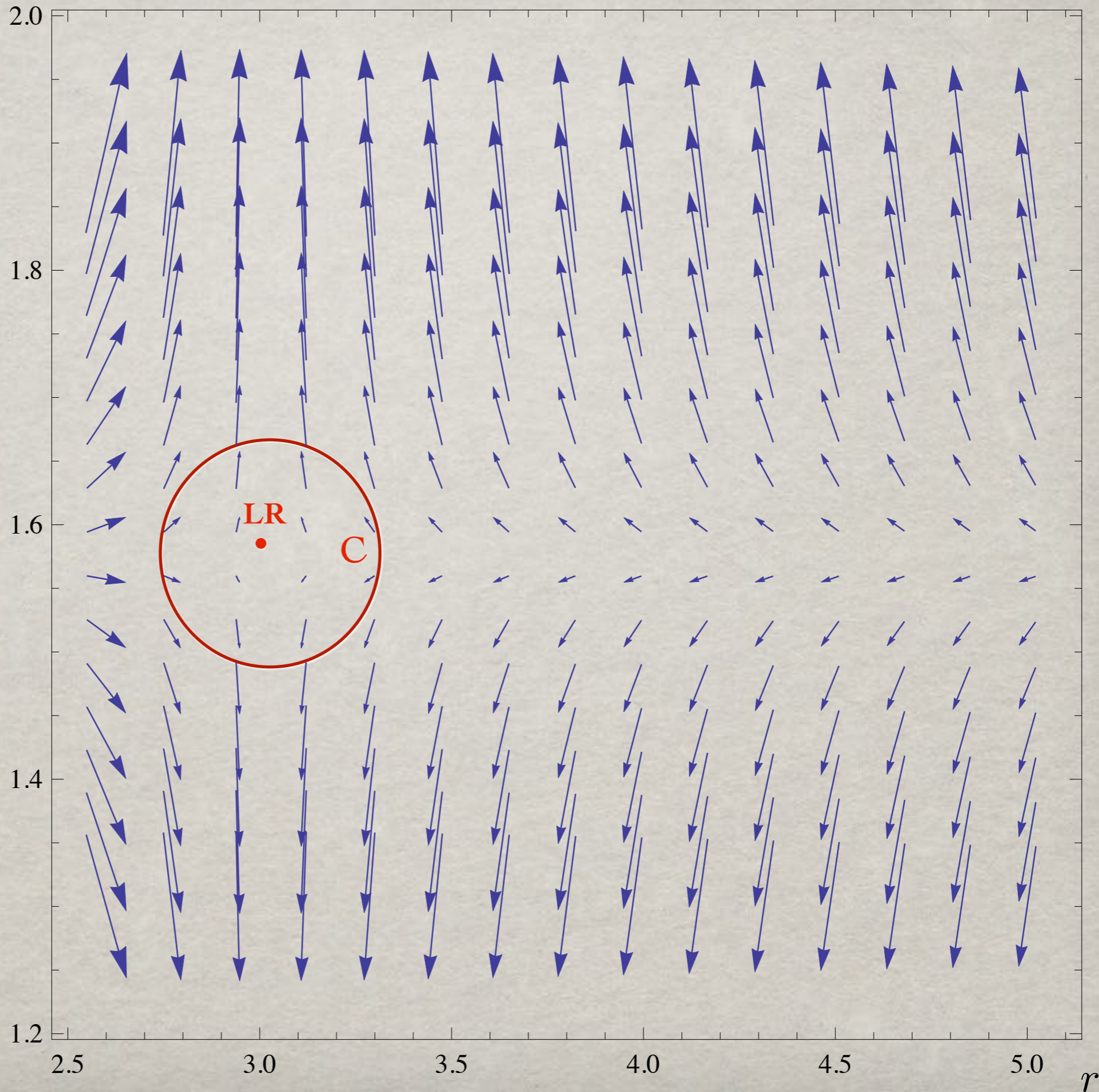
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Winding of
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$\mathbf{V}_{+}(M = 1)$

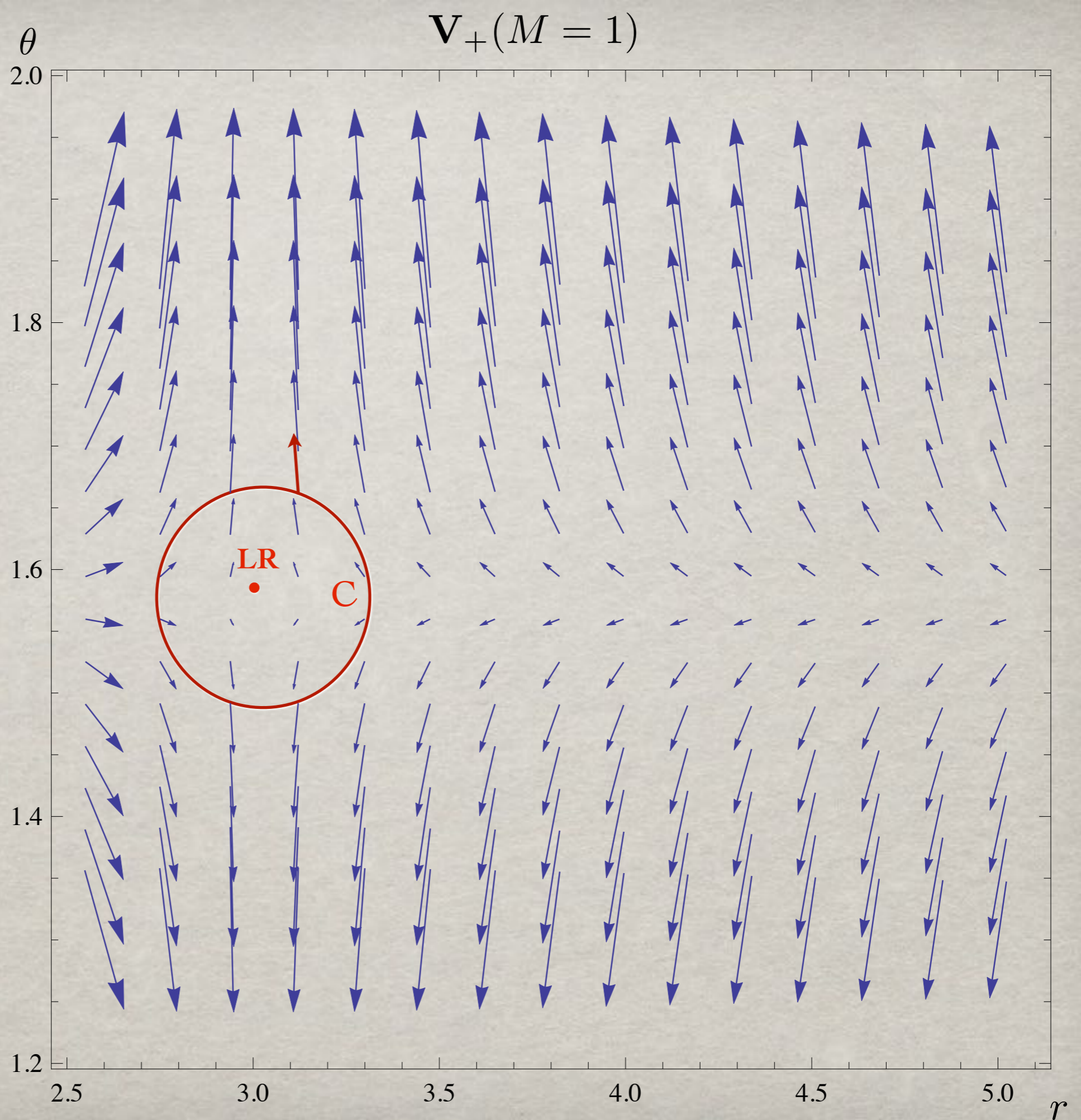


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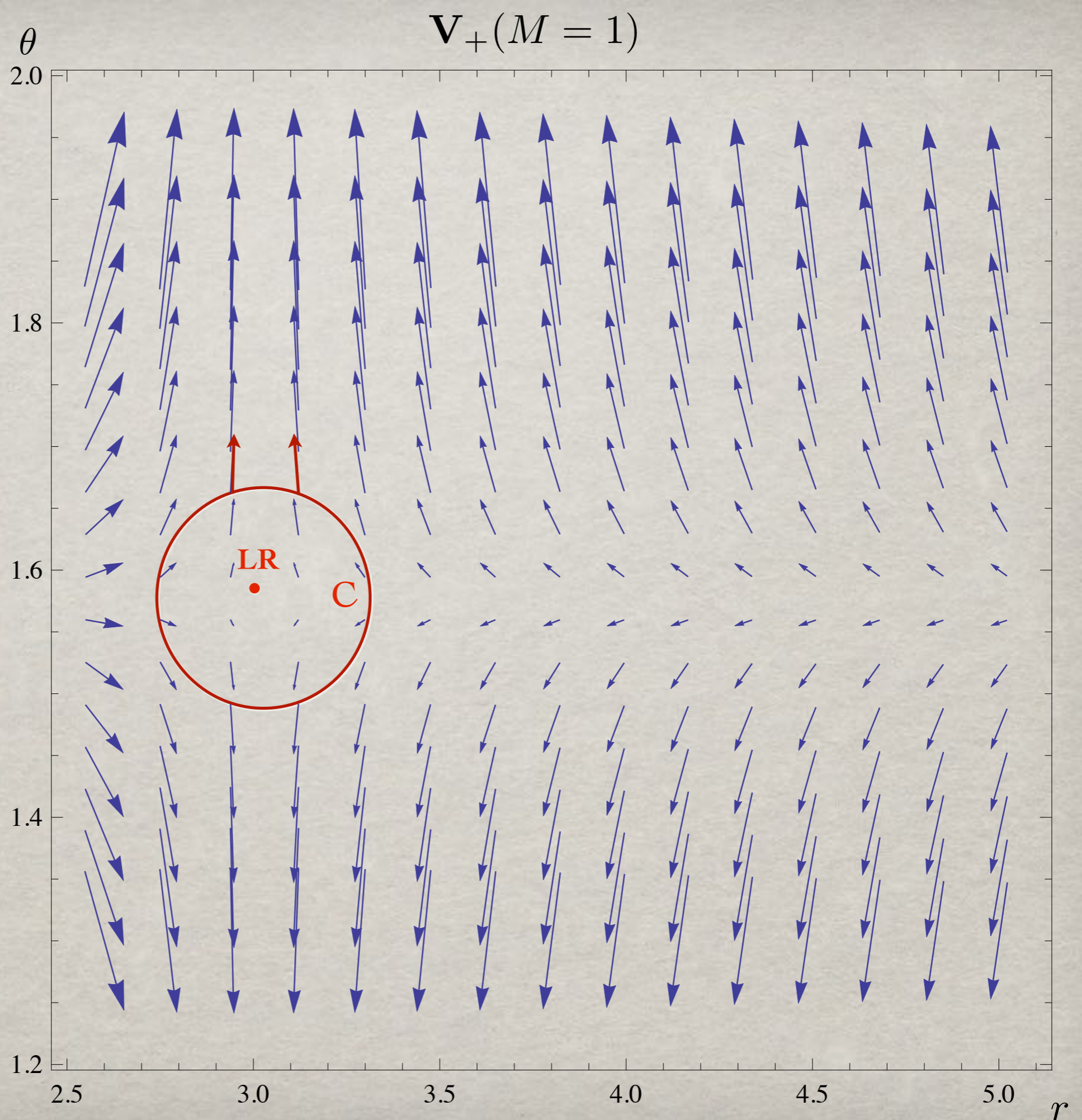
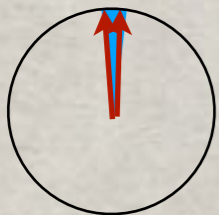


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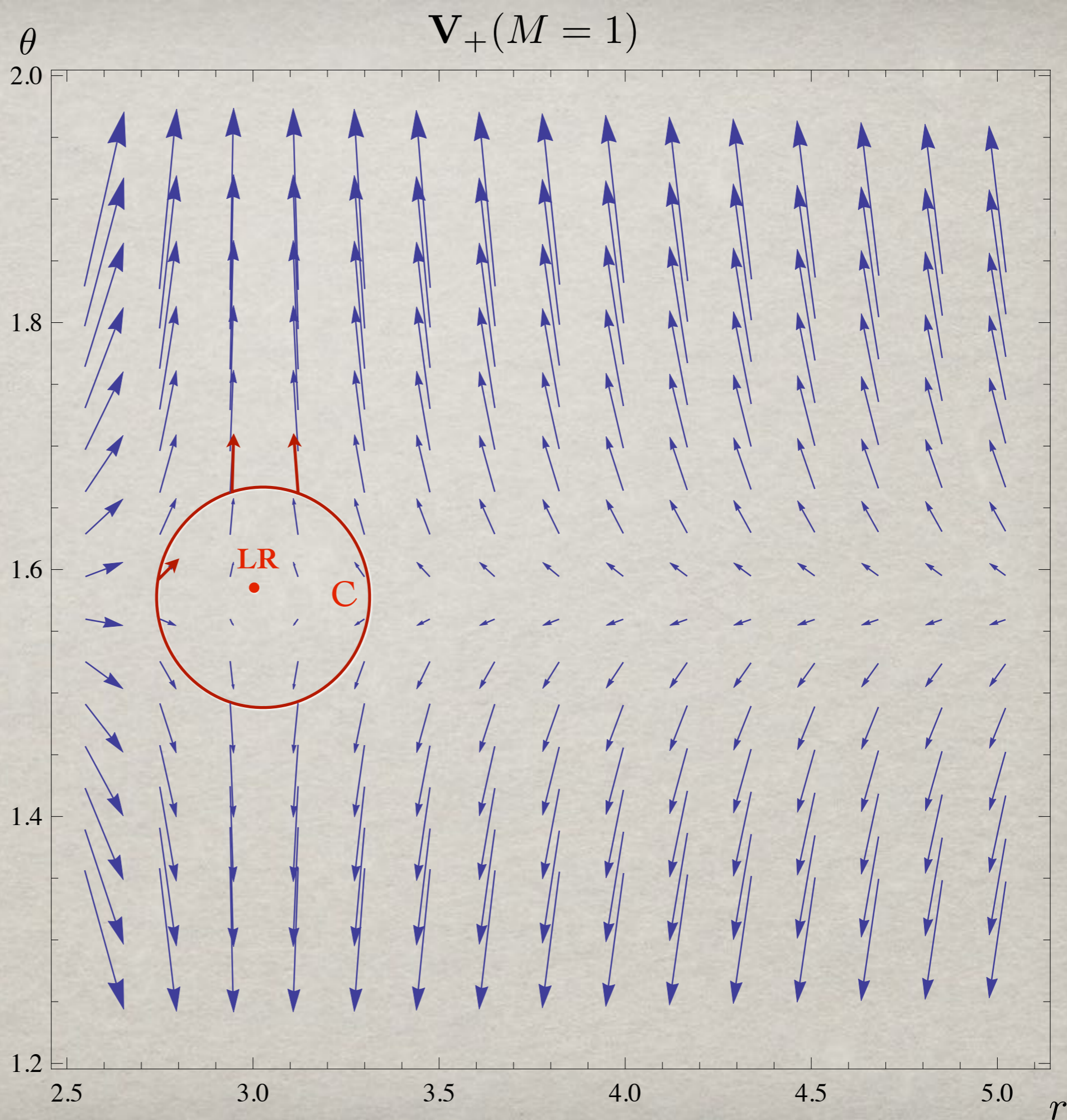
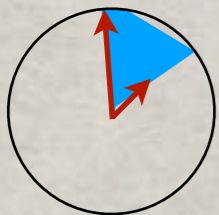


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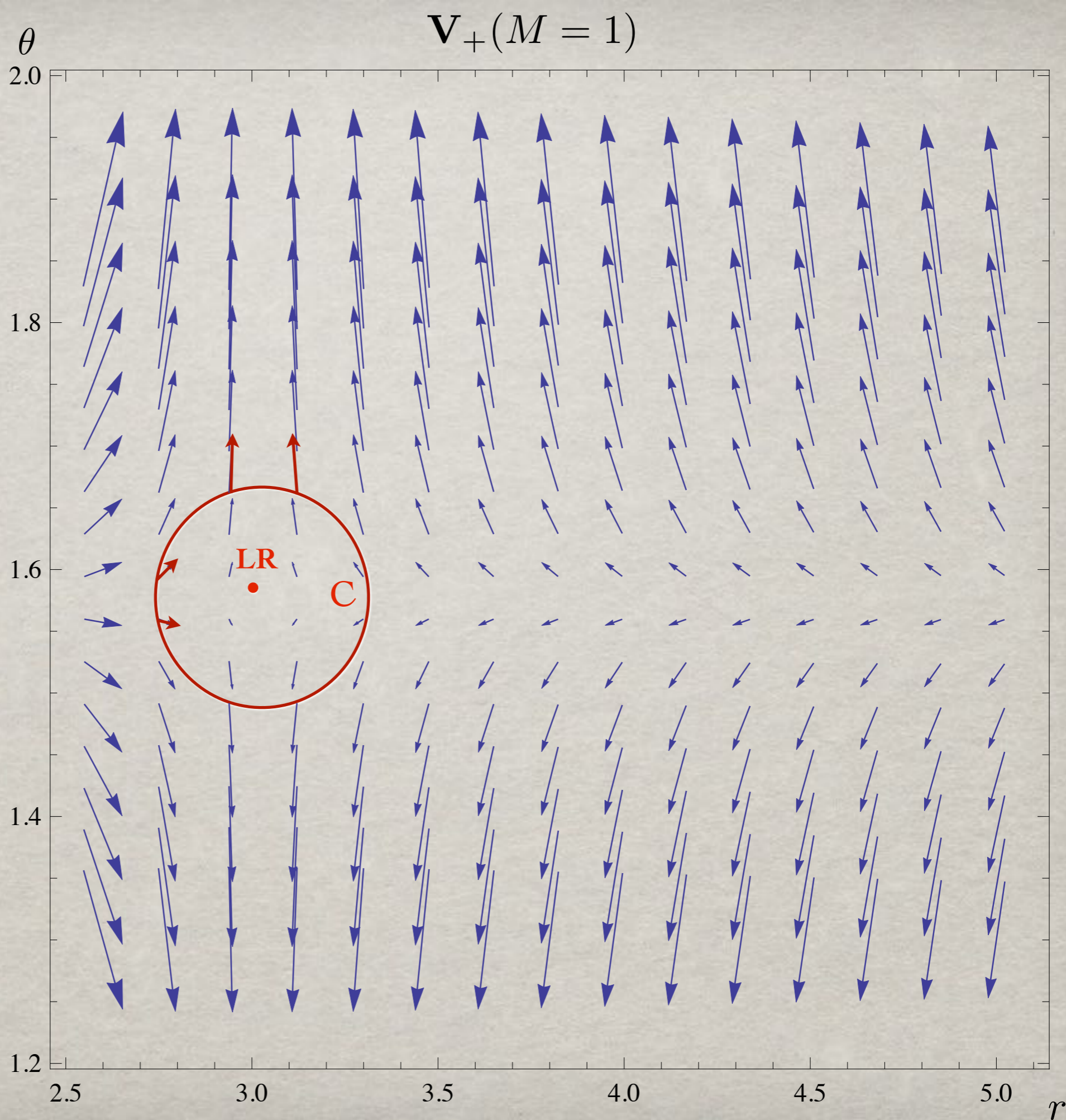
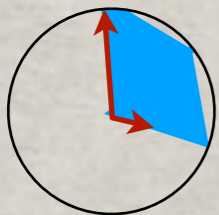


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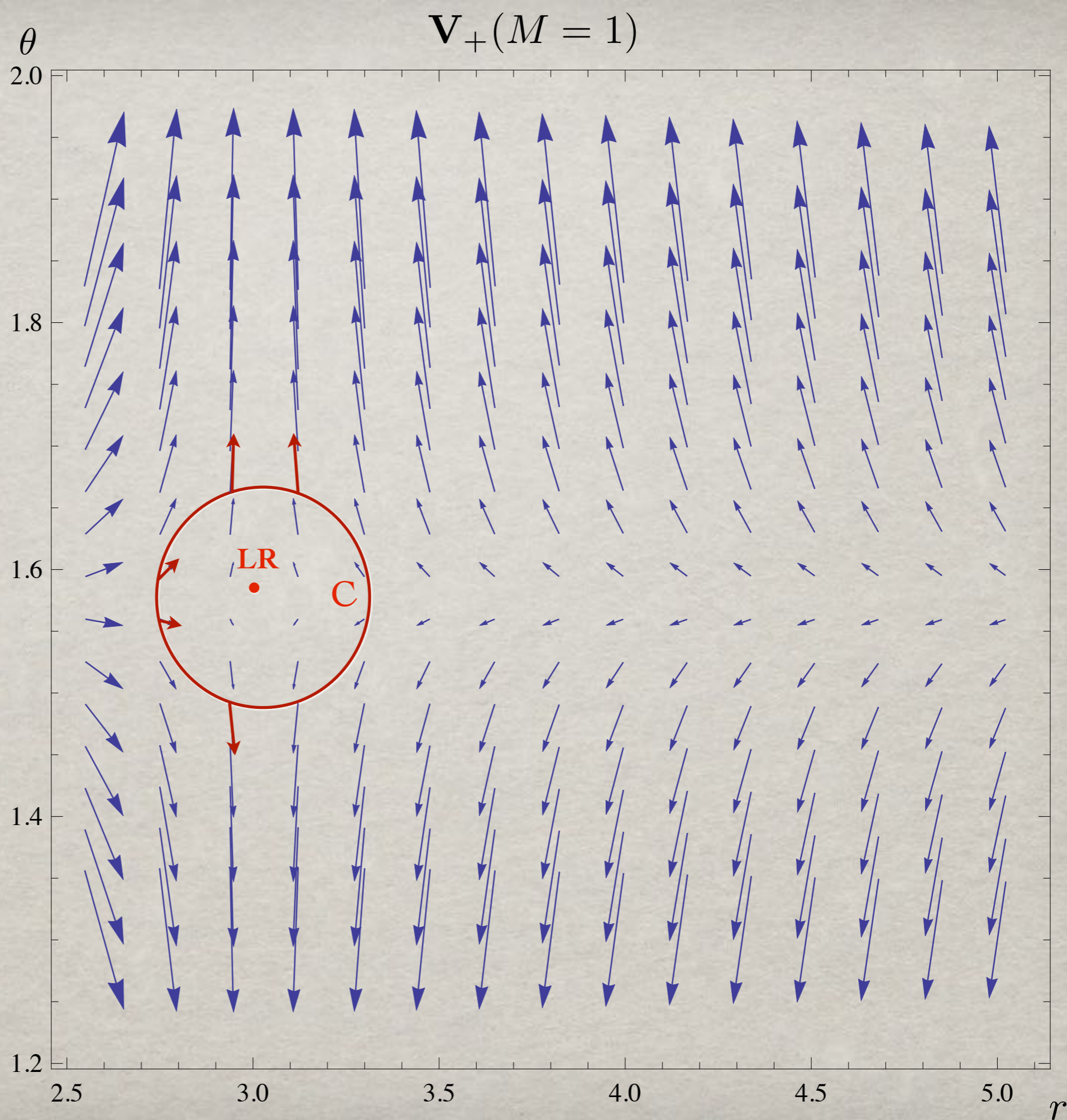
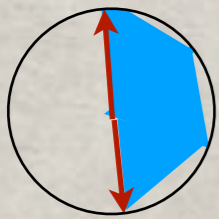


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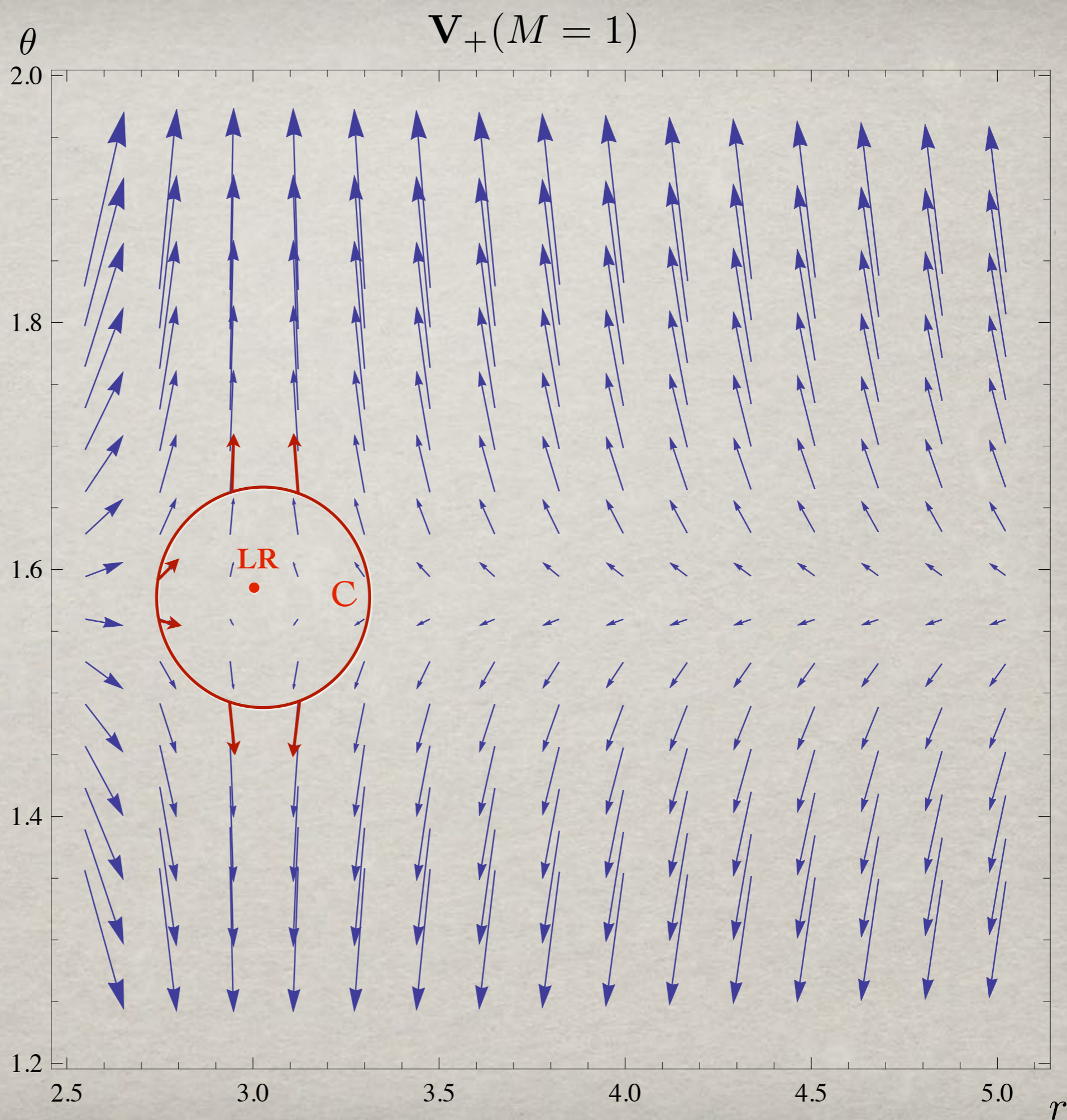
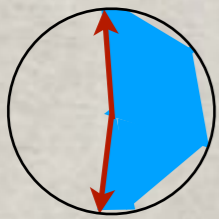


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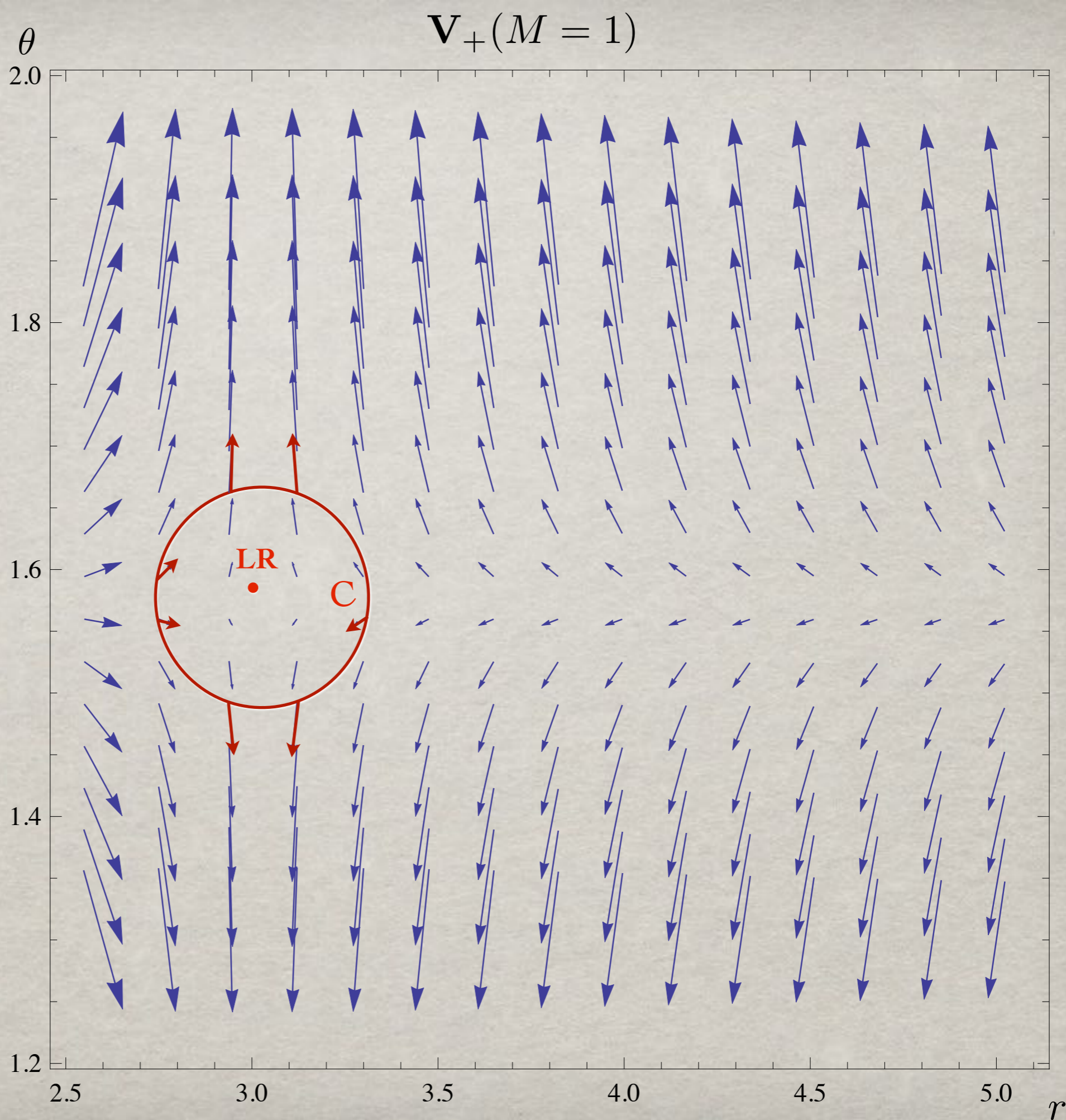
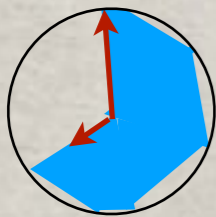


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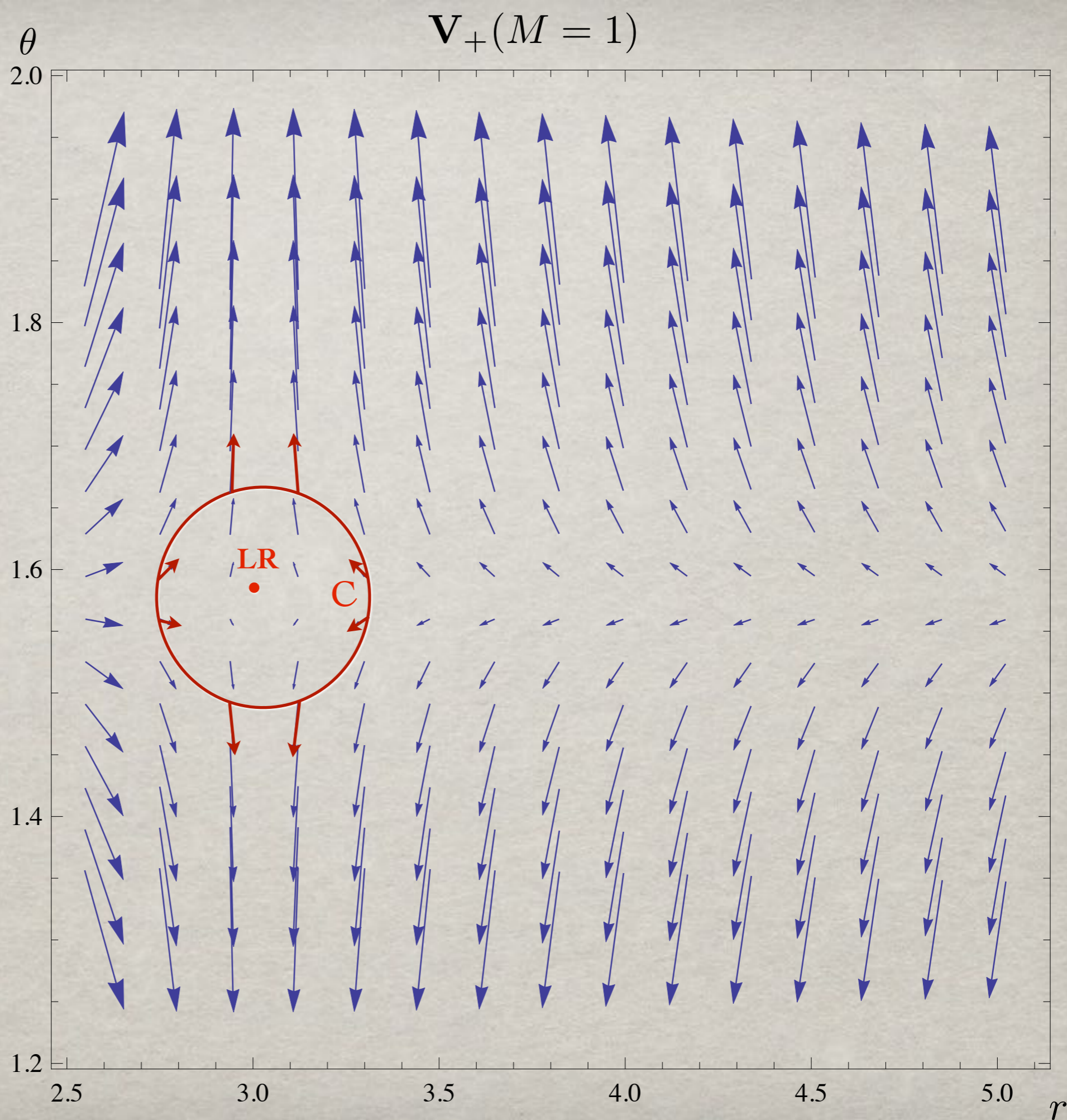


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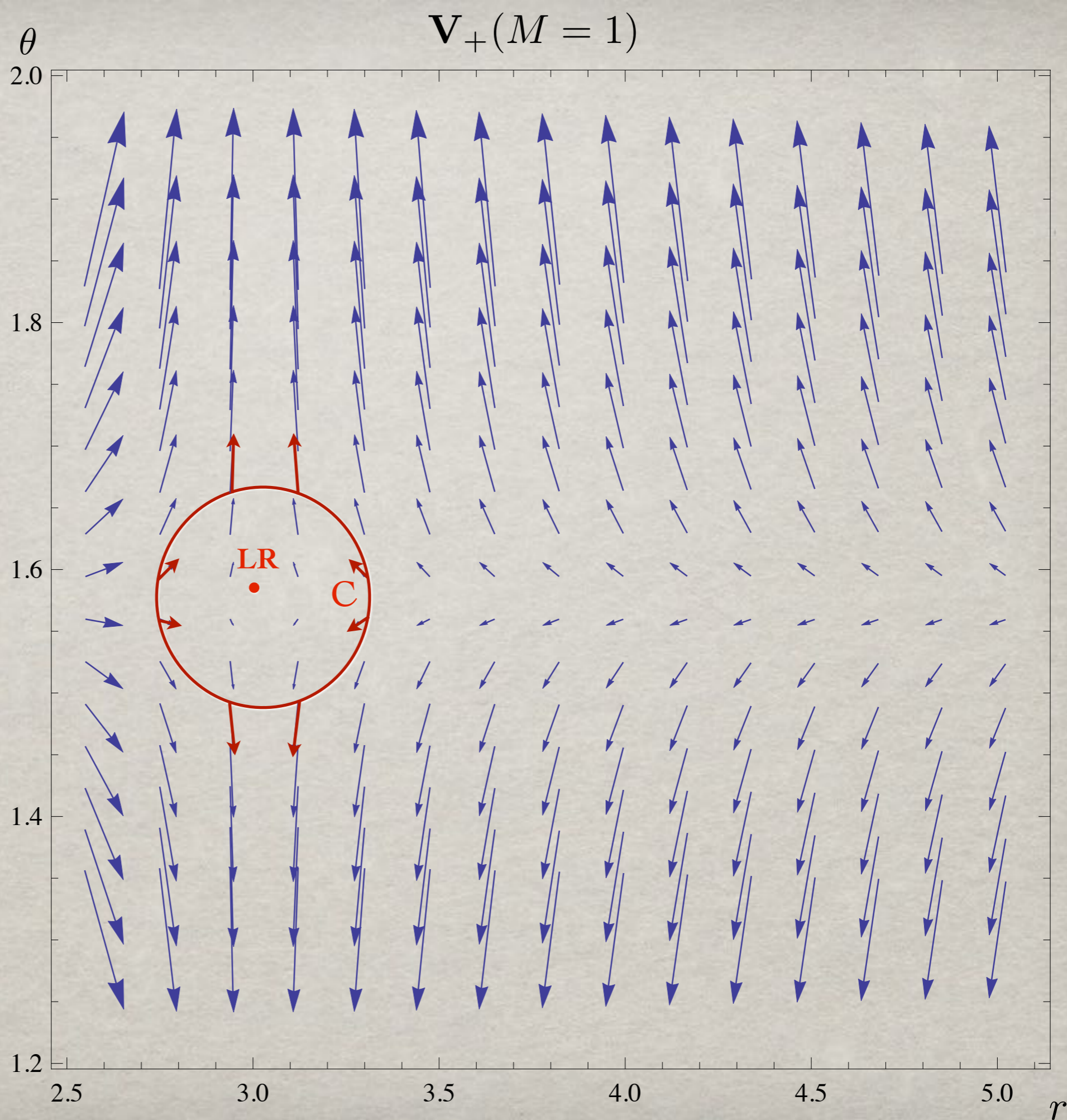
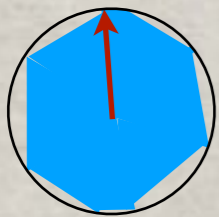


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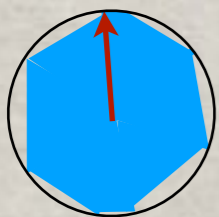


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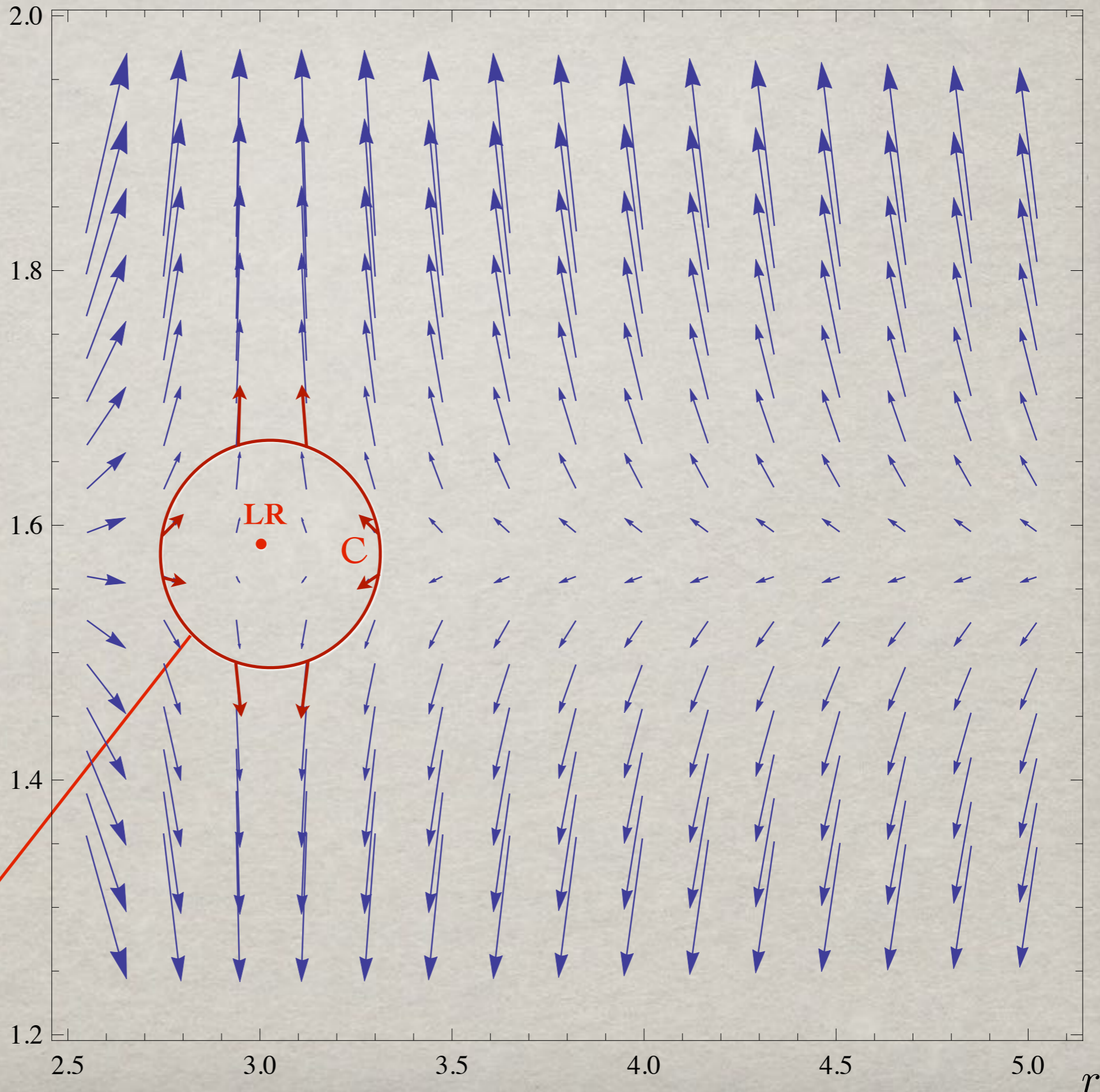
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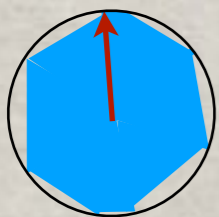


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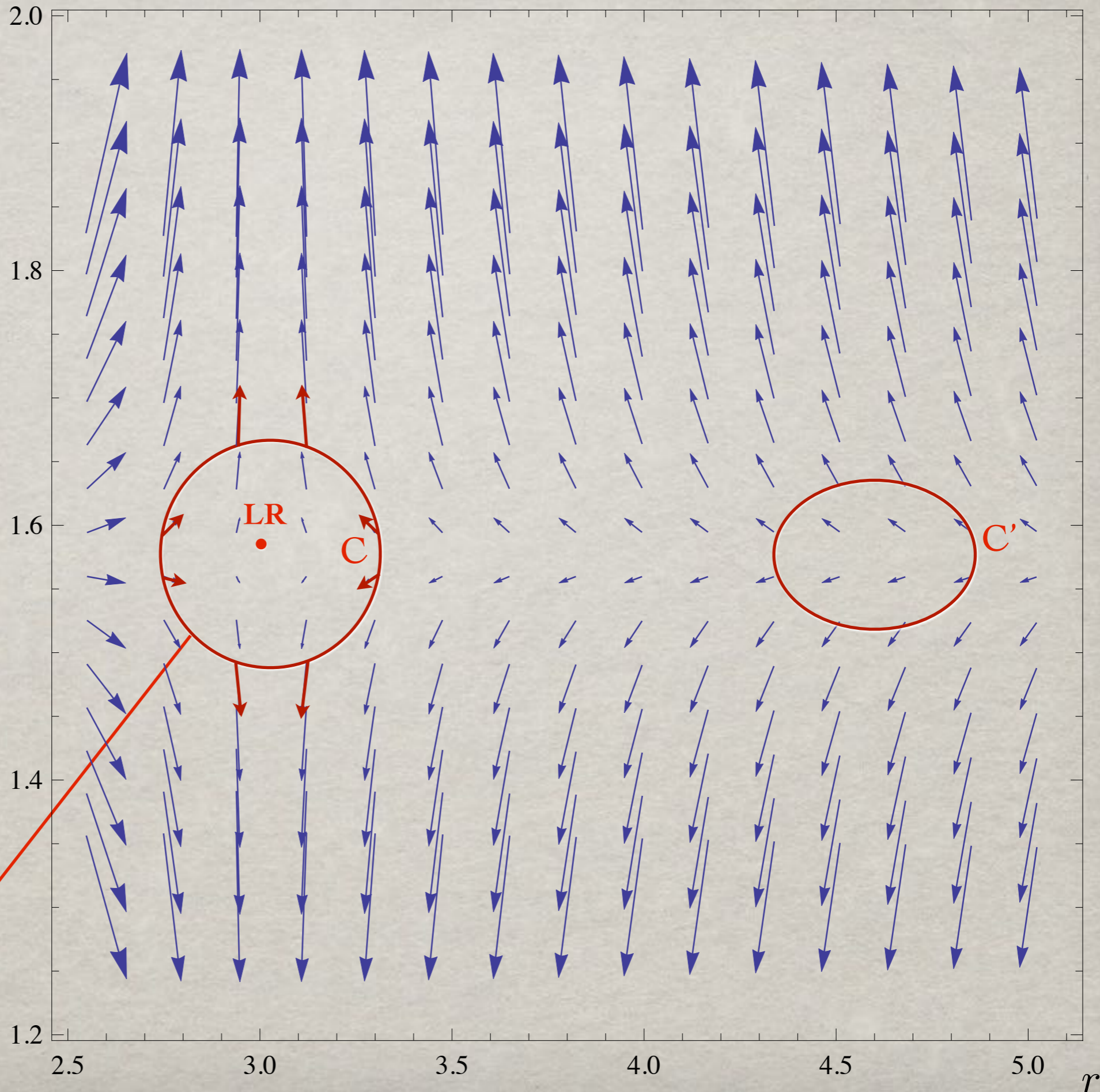
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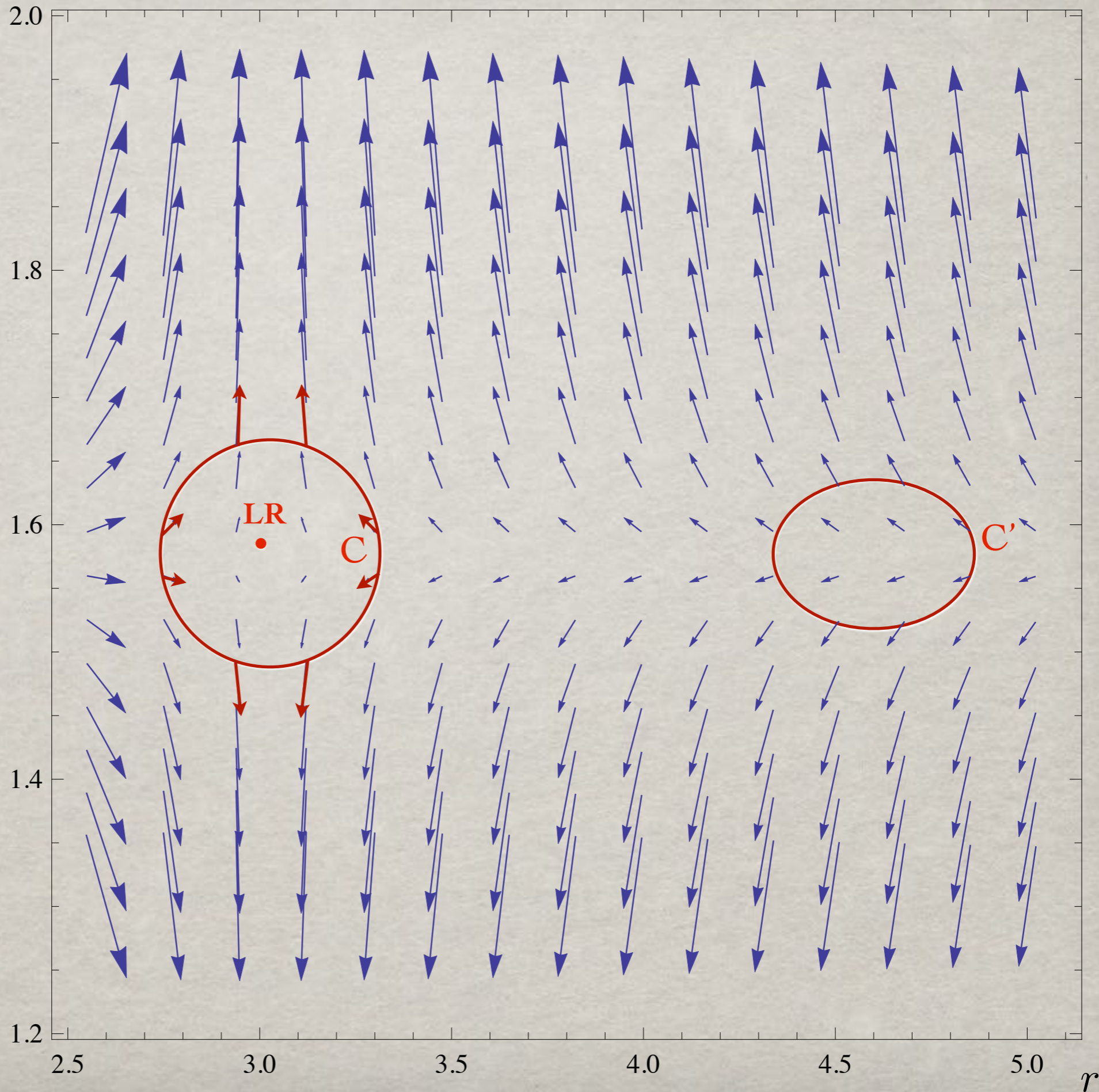
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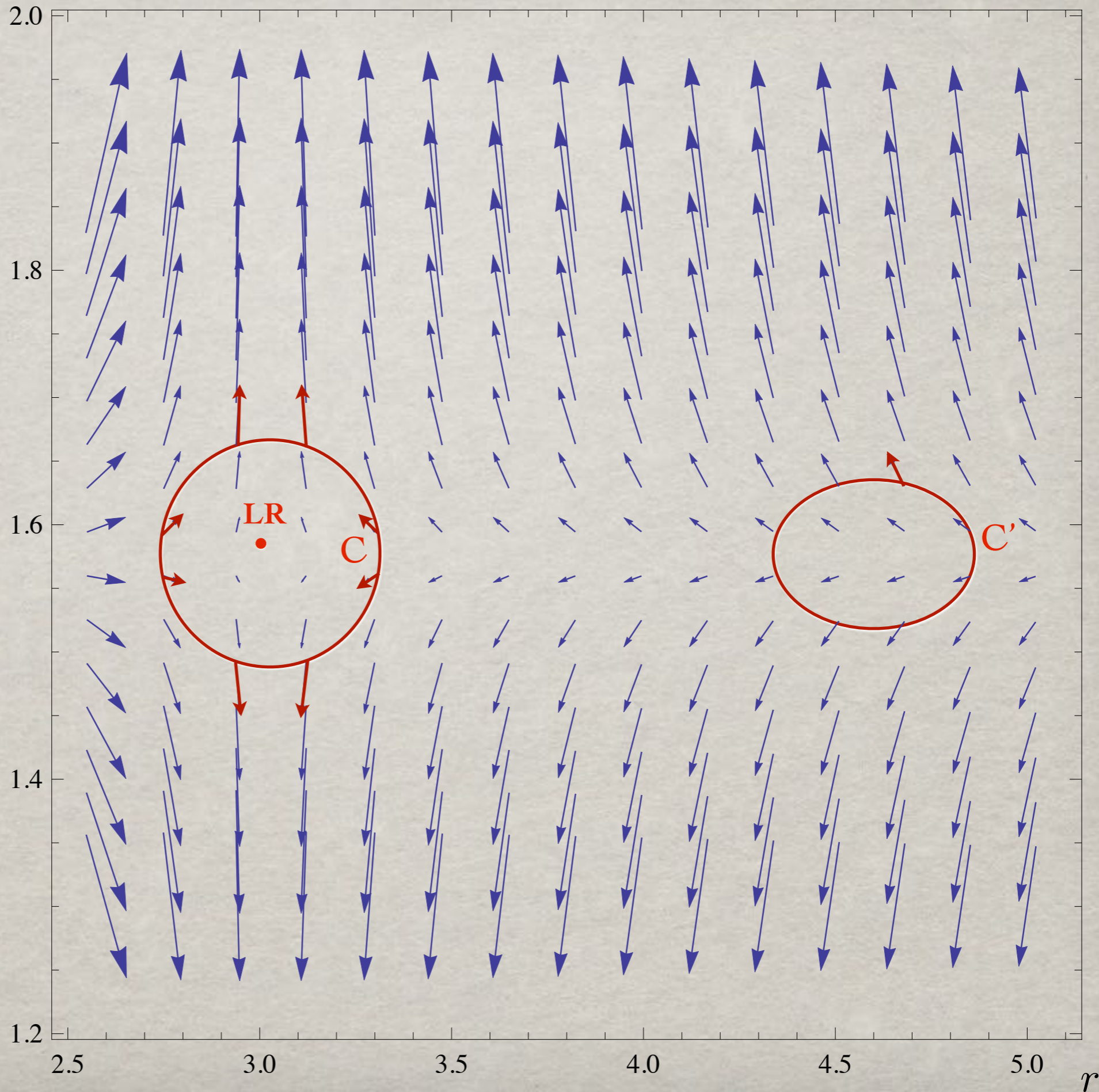
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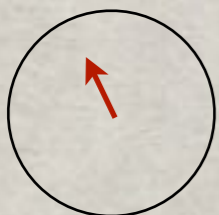


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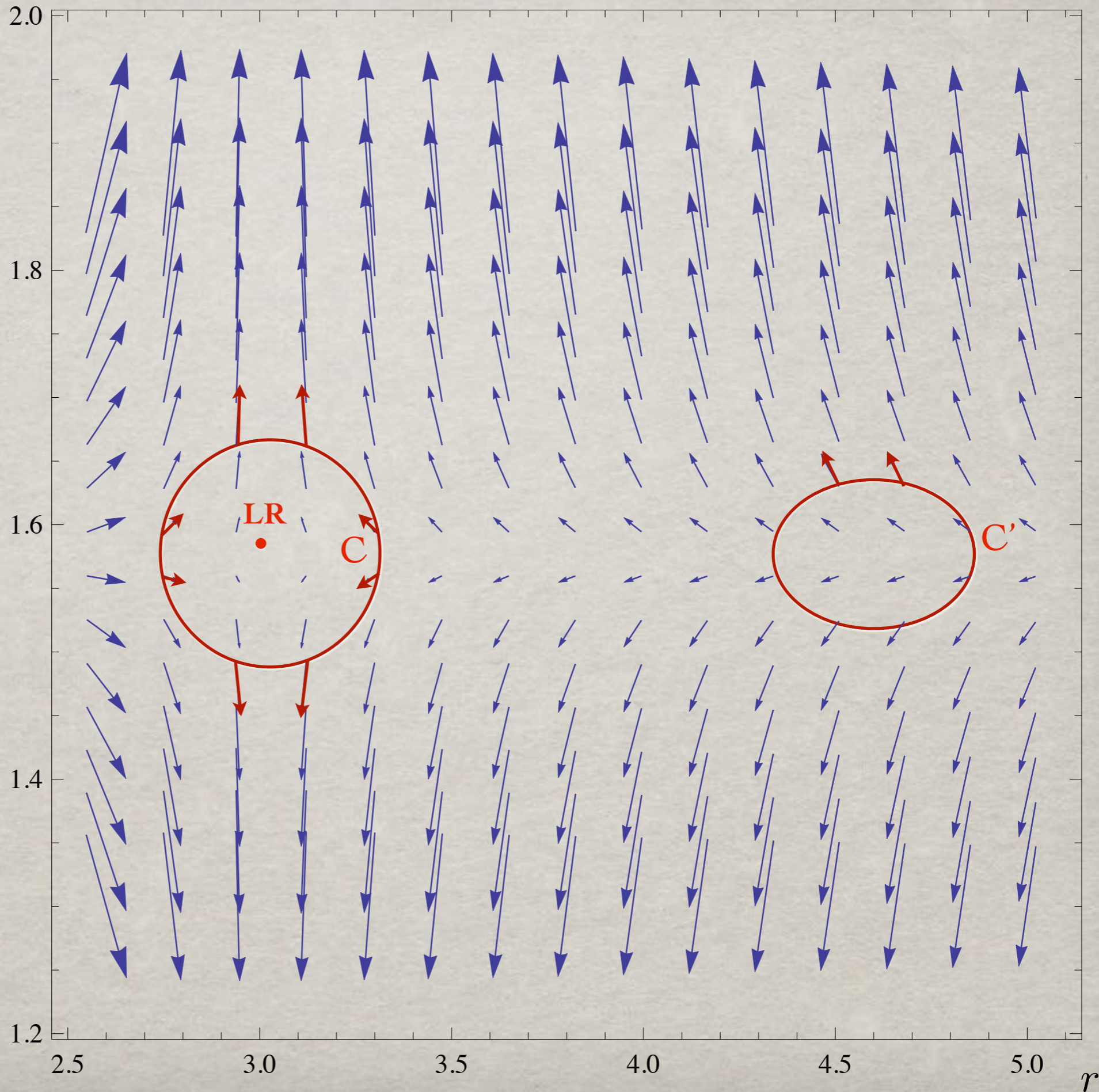
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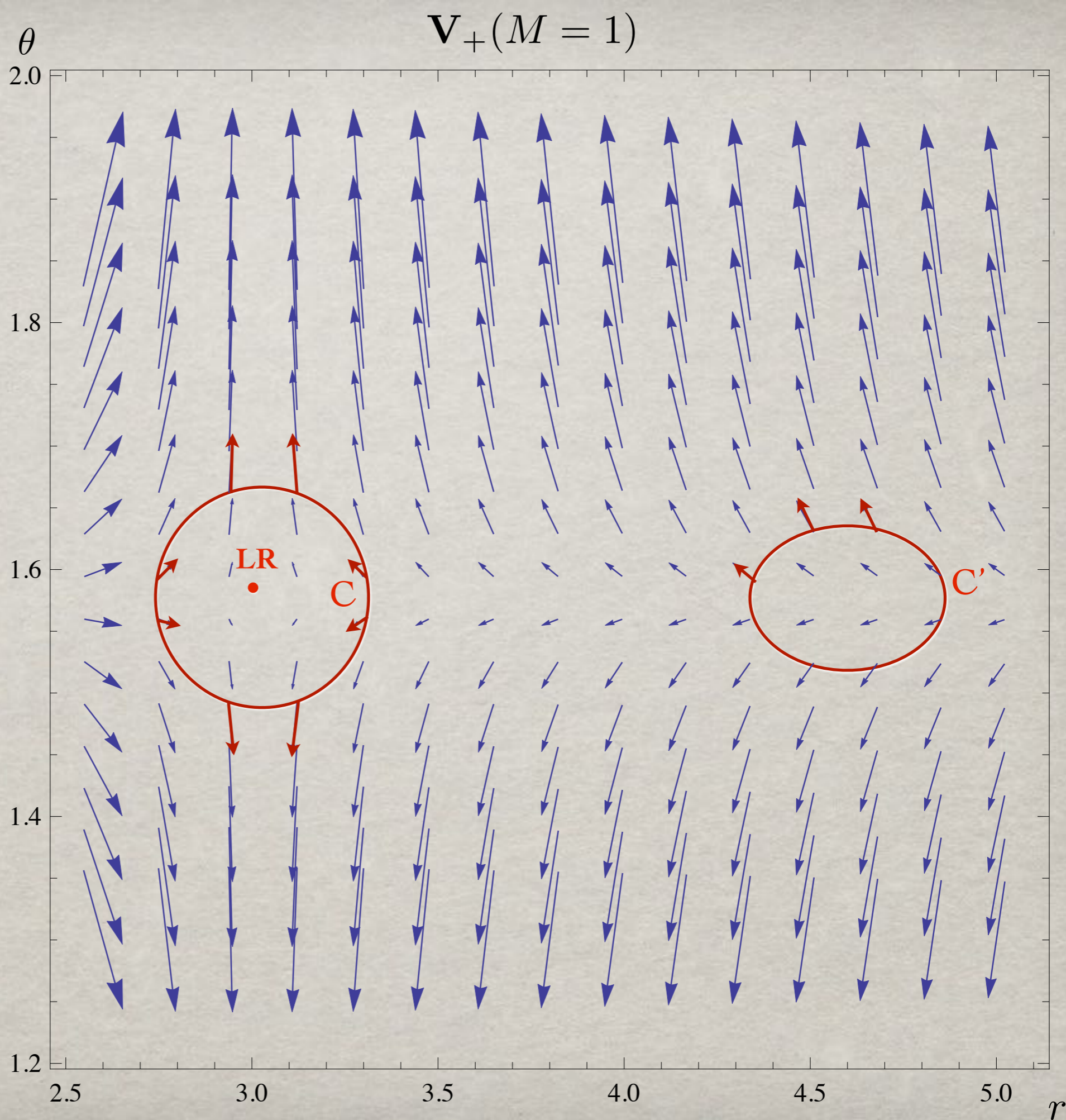
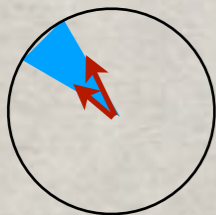


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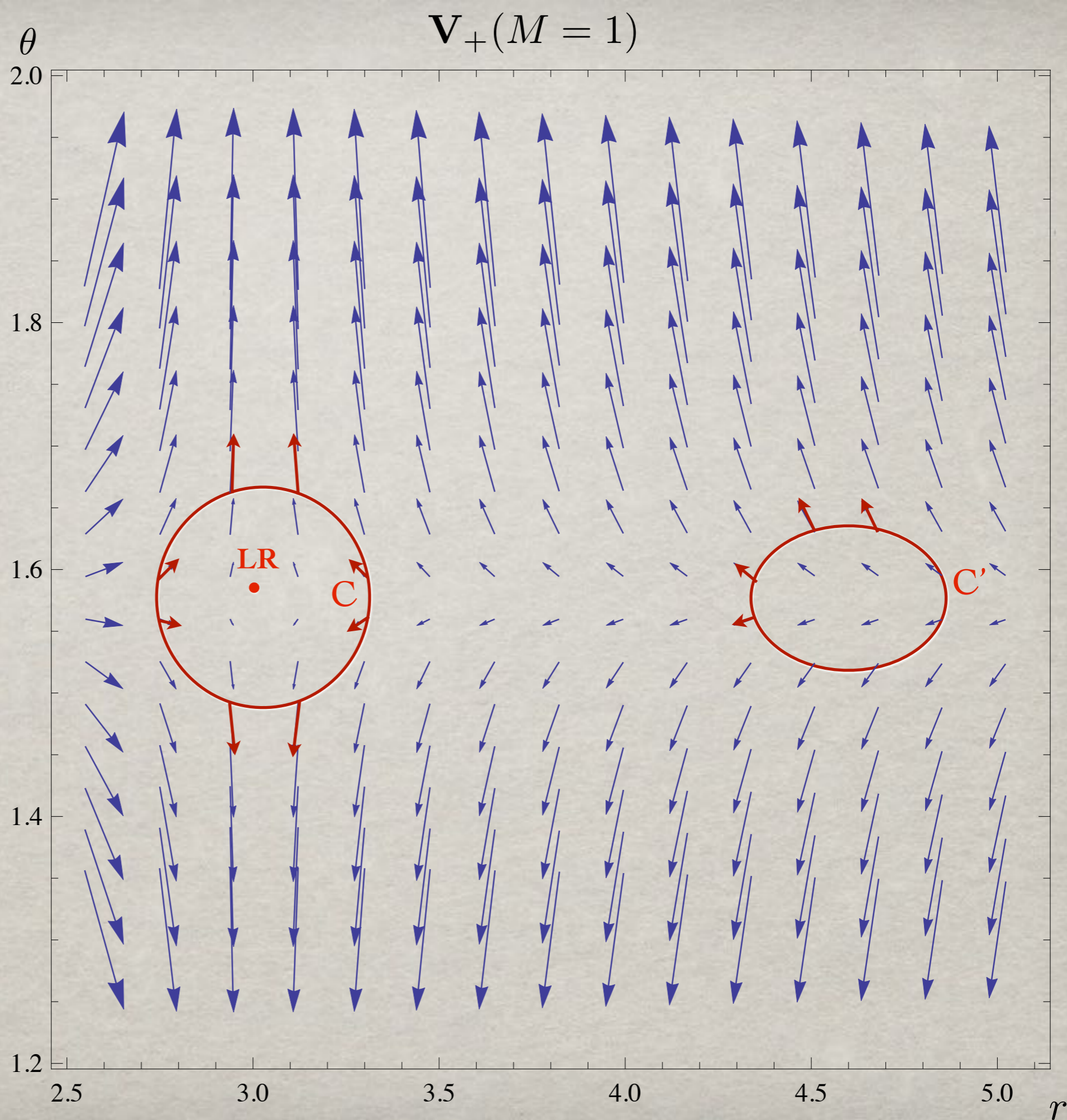
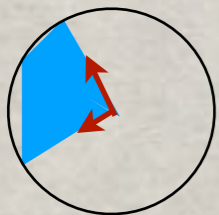


For Schwarzschild:

$$H_{\pm} = \pm \frac{\sqrt{1 - \frac{2M}{r}}}{r \sin \theta}$$

$$\mathbf{V}_{\pm} = \nabla H_{\pm}$$

Winding of
the vector field

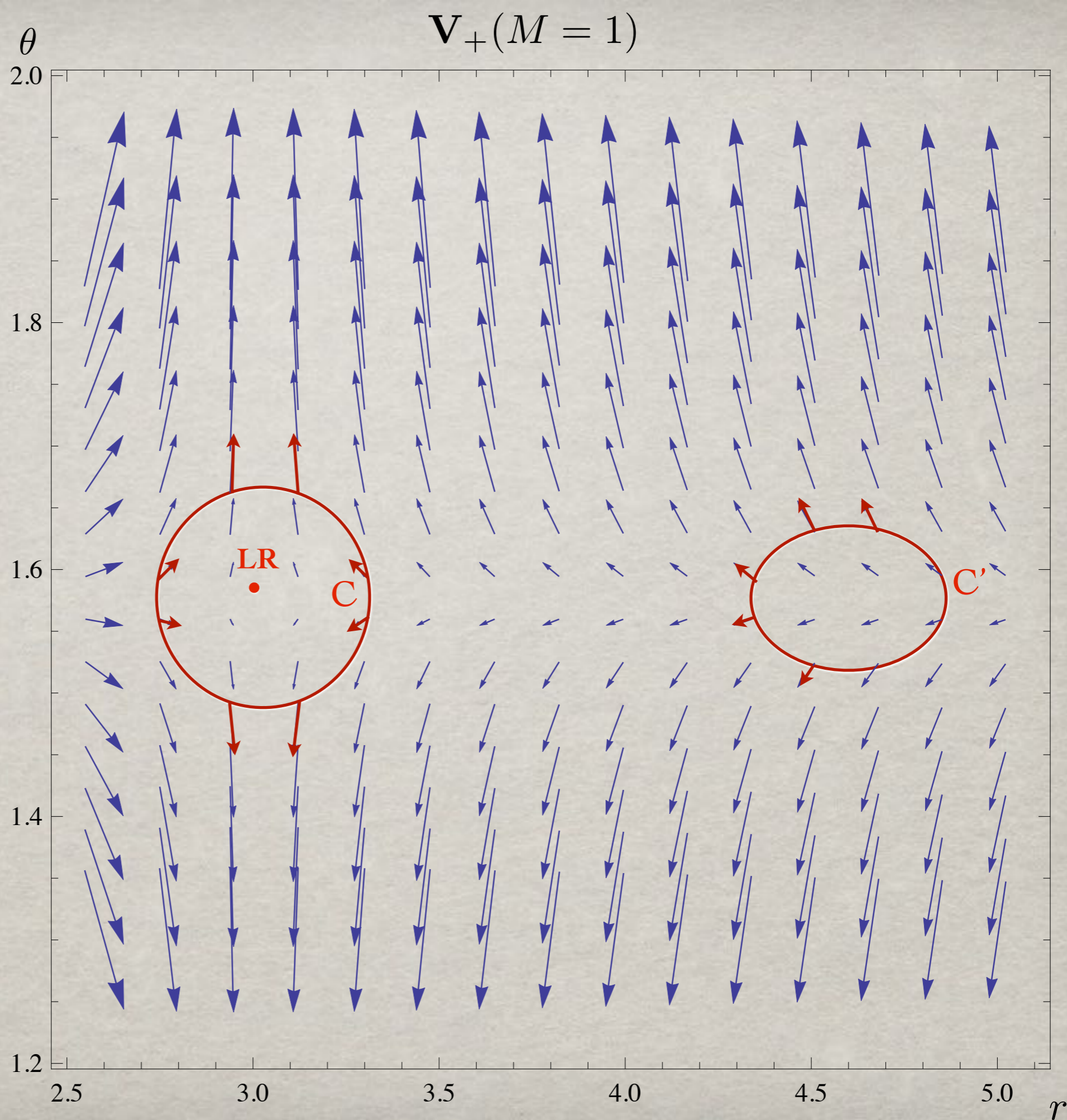
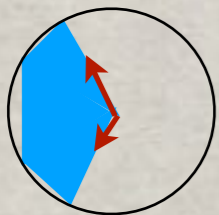


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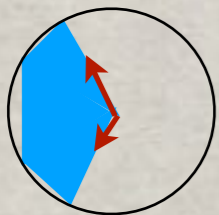


For Schwarzschild:

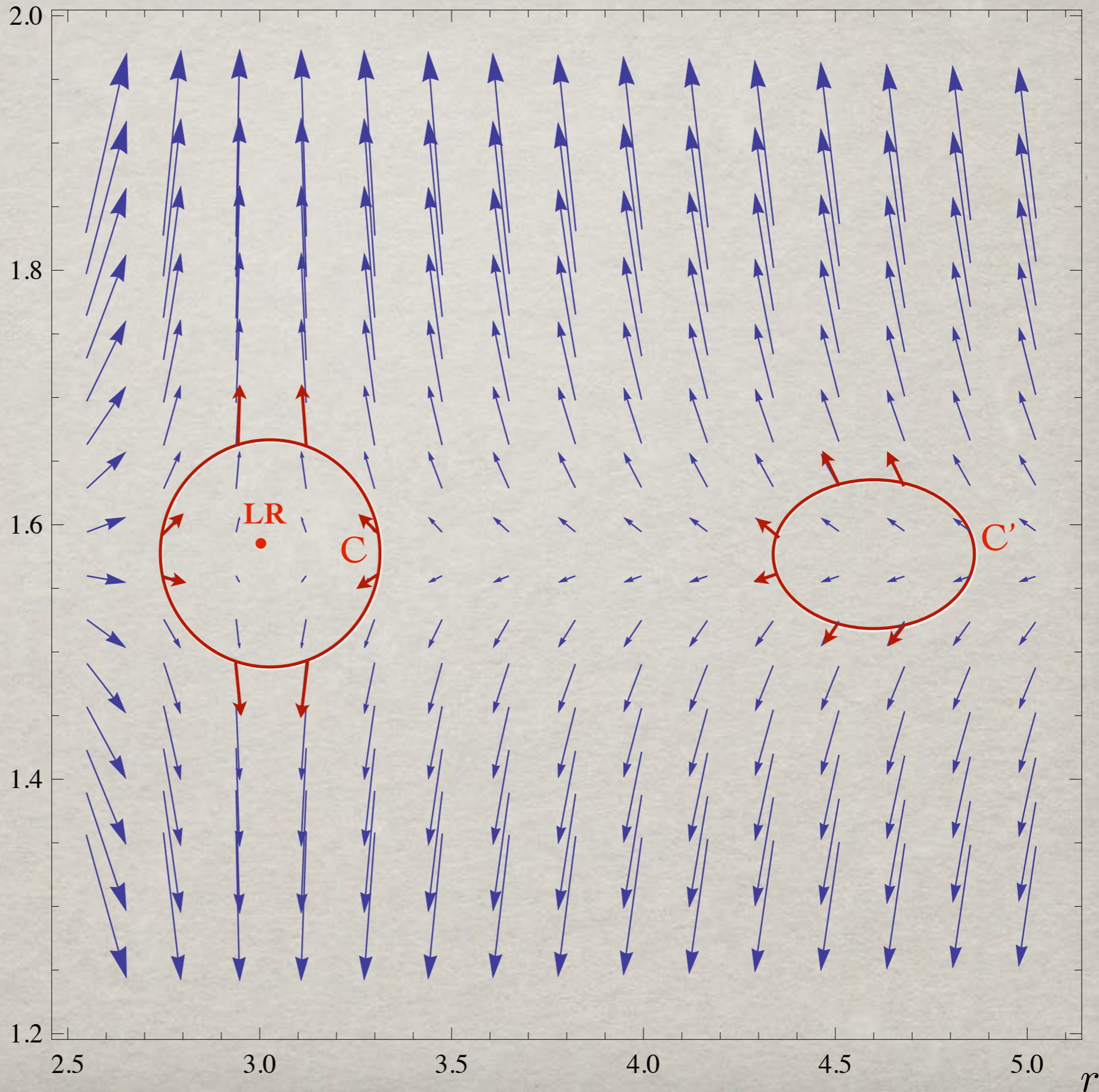
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Winding of
the vector field



$\mathbf{V}_{+}(M = 1)$

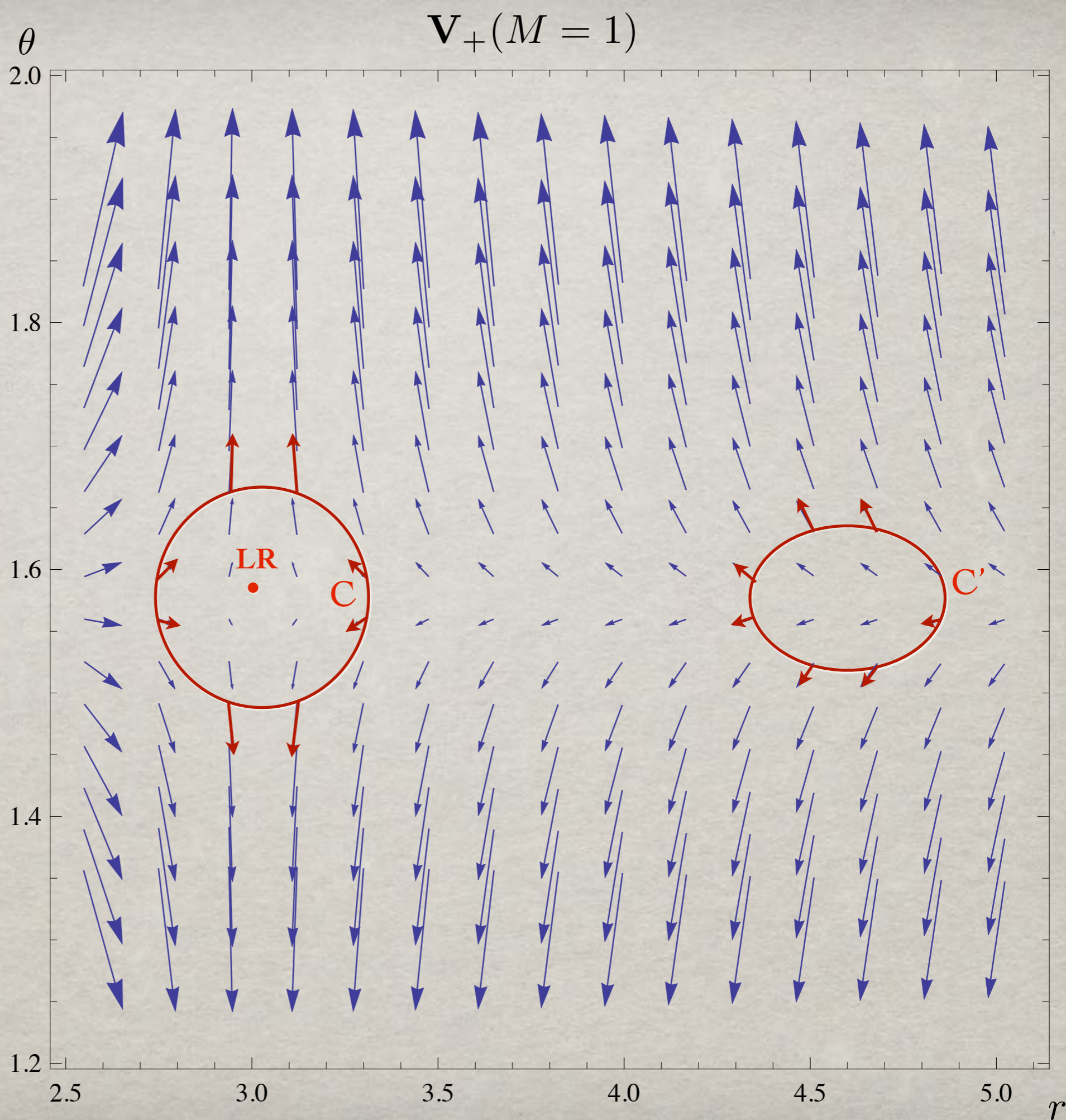
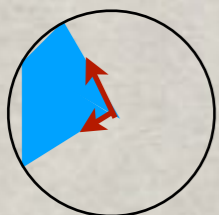


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$$\mathbf{V}_{\pm} = \nabla H_{\pm}$$

Winding of
the vector field

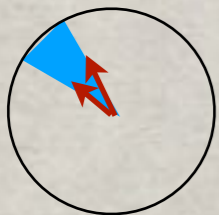


For Schwarzschild:

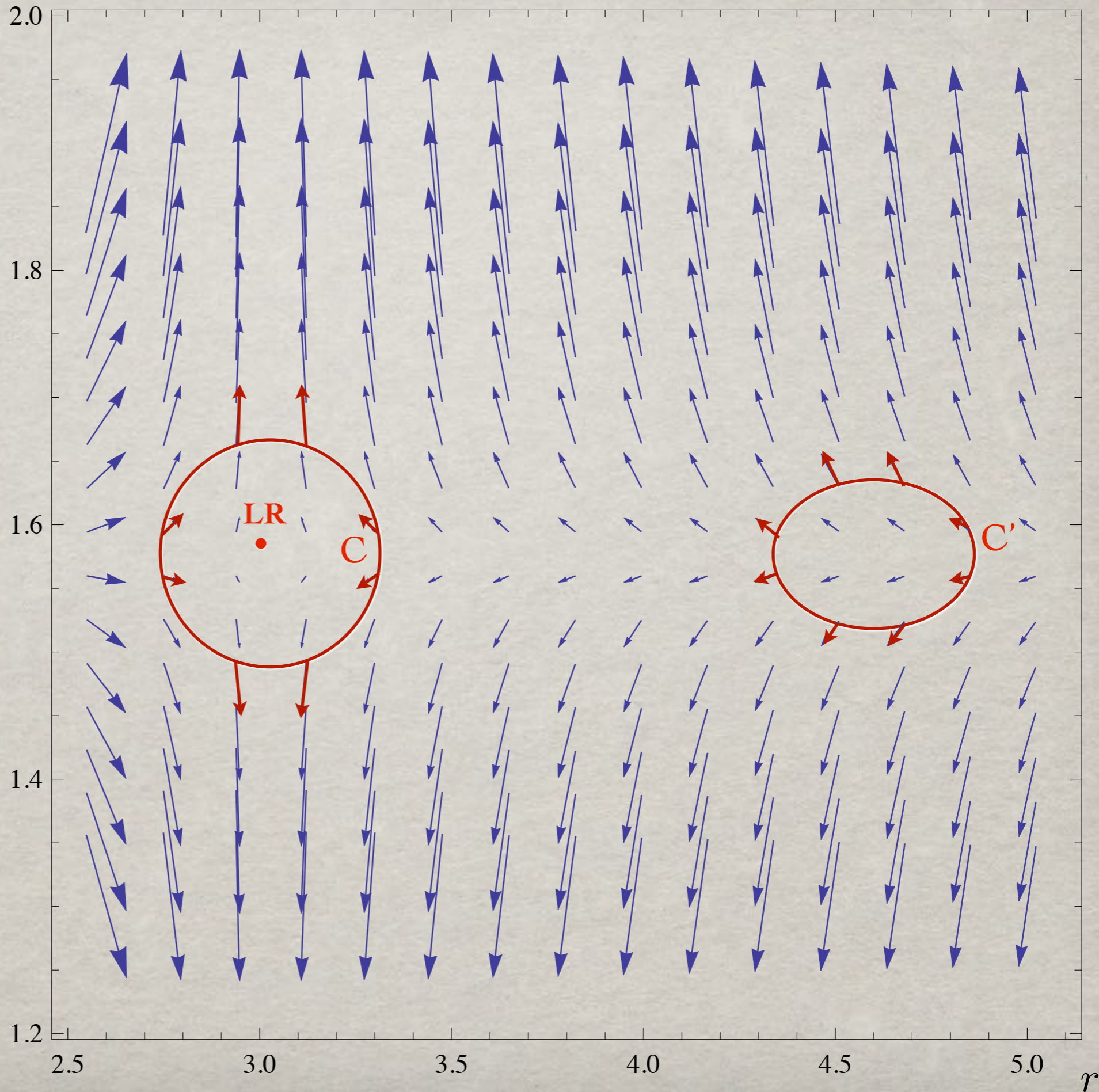
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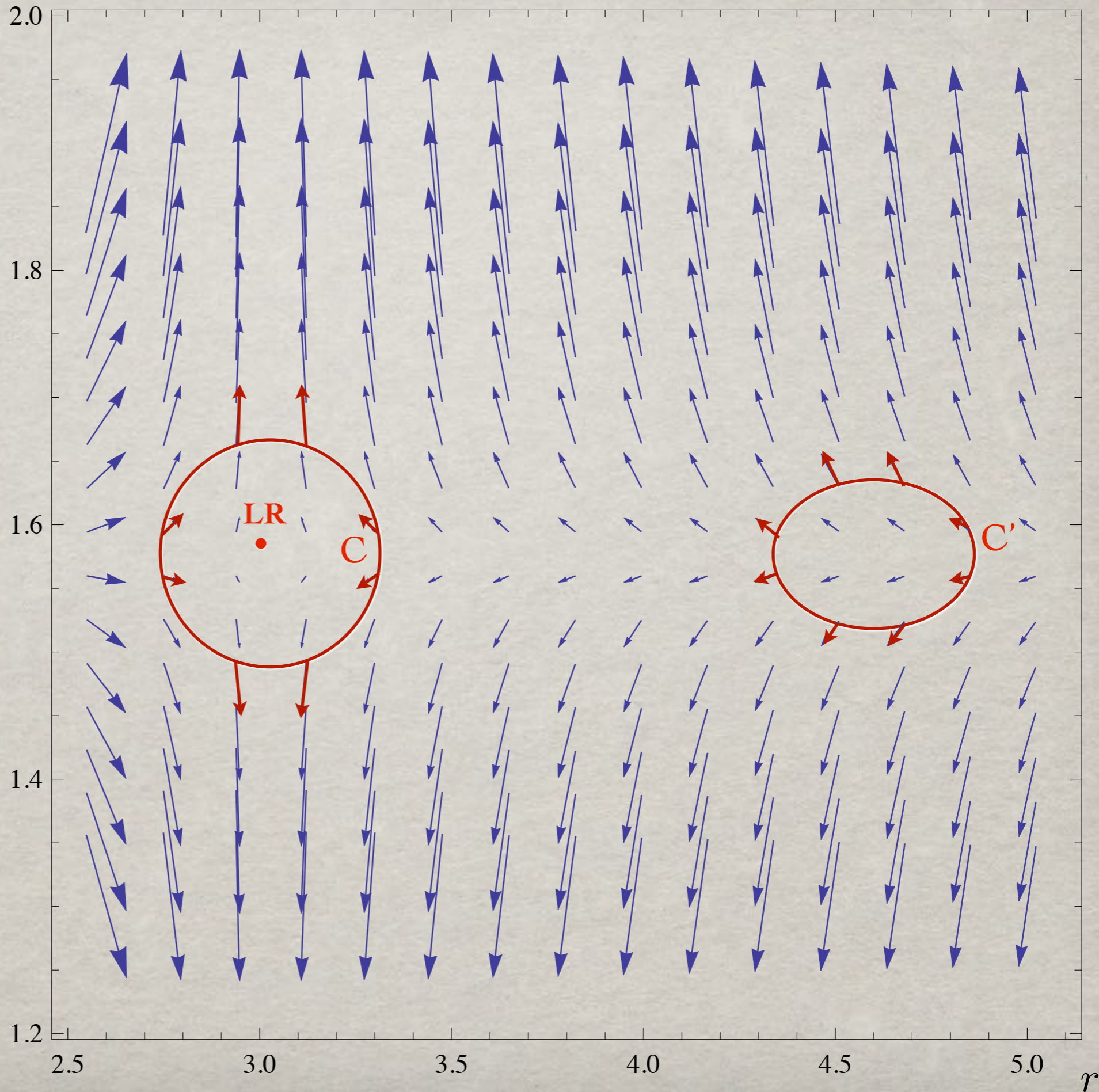
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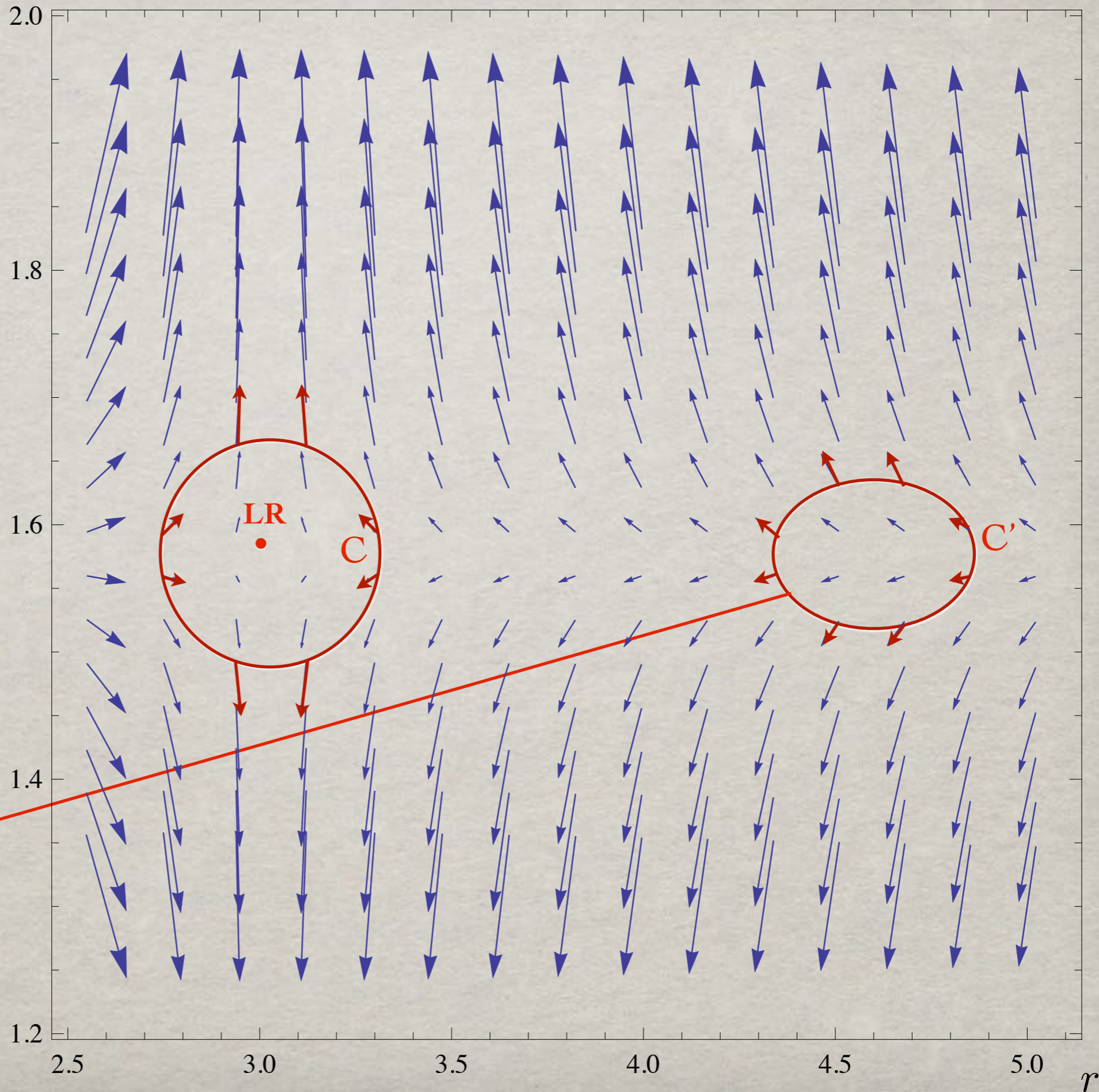
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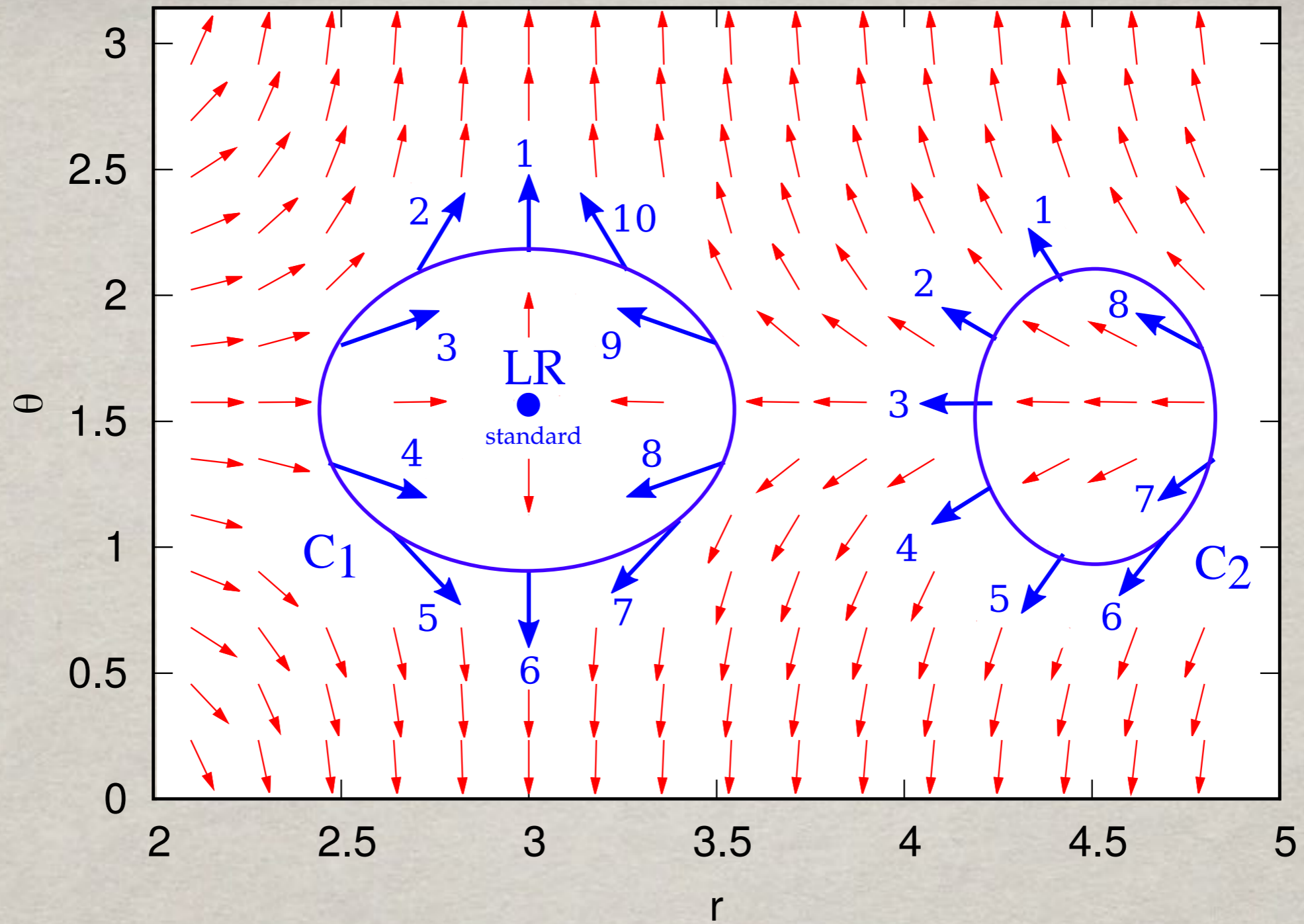
Winding of
the vector field

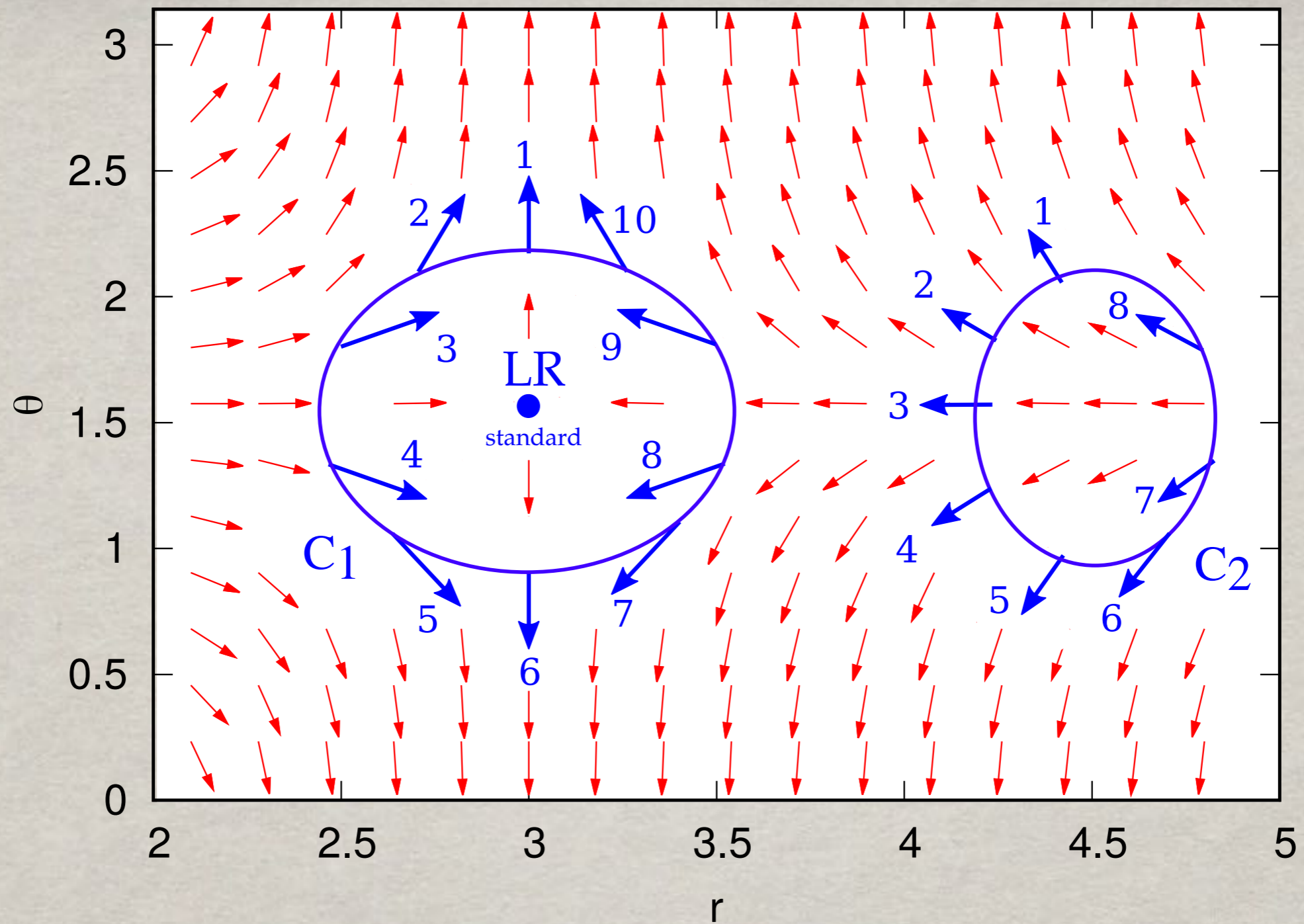


$\mathbf{V}_{+}(M = 1)$



The winding # of V
circulating around is
 $w=0$



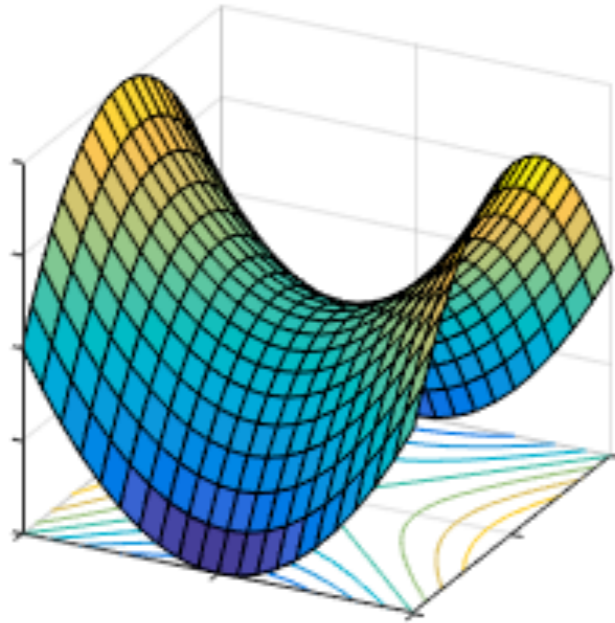


$$\oint_C d\Omega = 2\pi w, \quad w \in \mathbb{Z}.$$

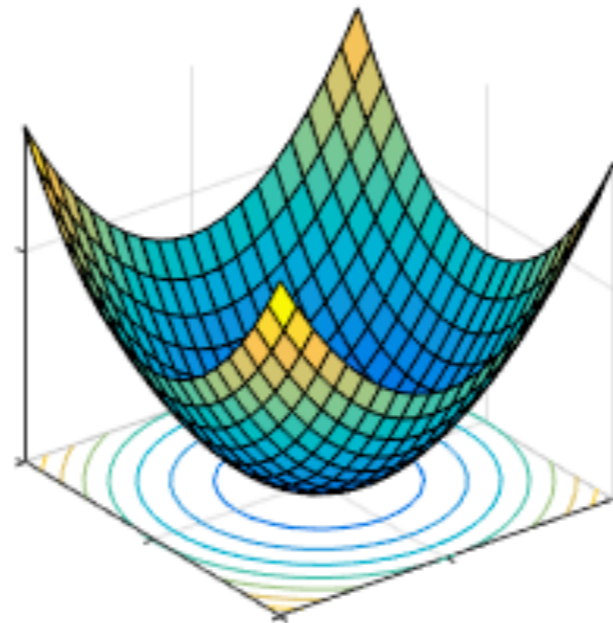
Light ring types

Light ring types

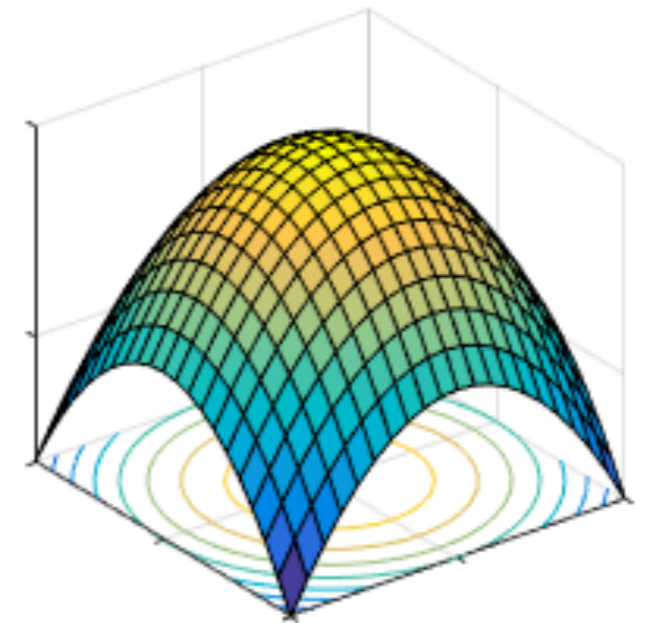
saddle point



local min

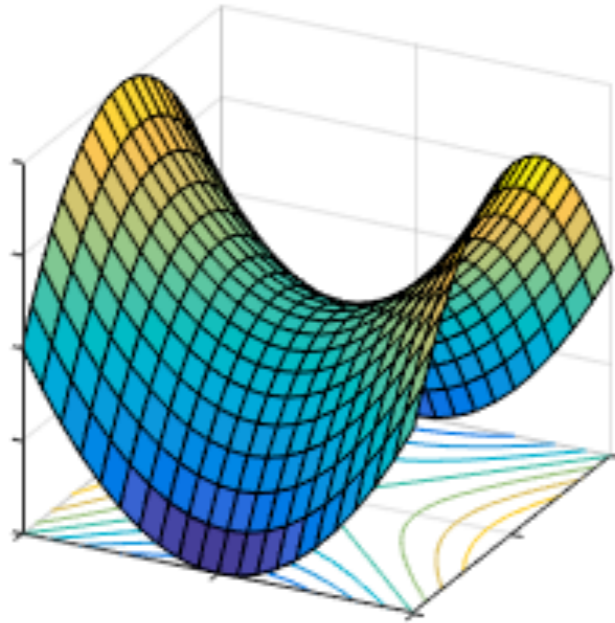


local max

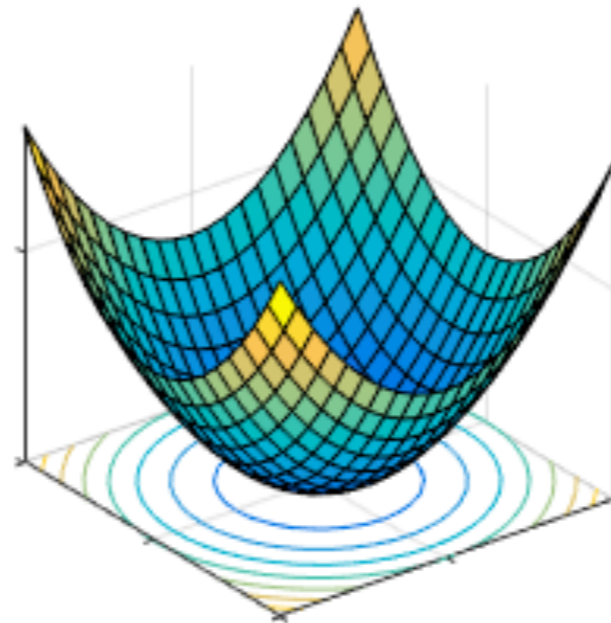


Light ring types

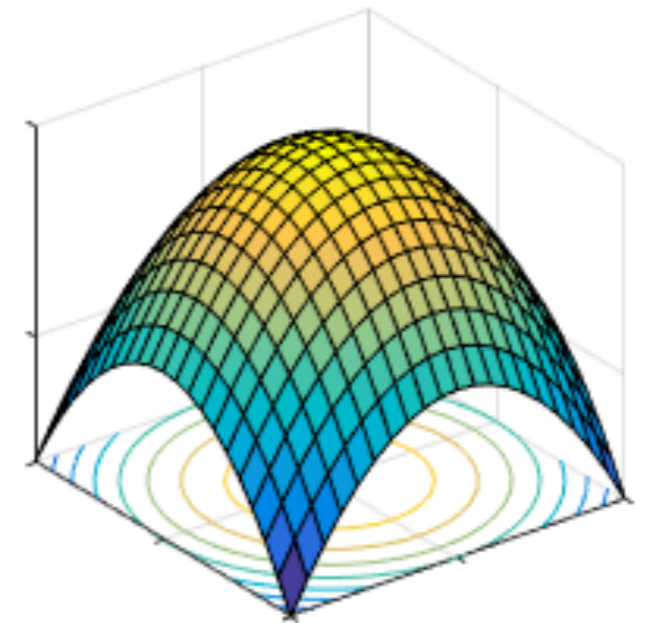
saddle point



local min



local max

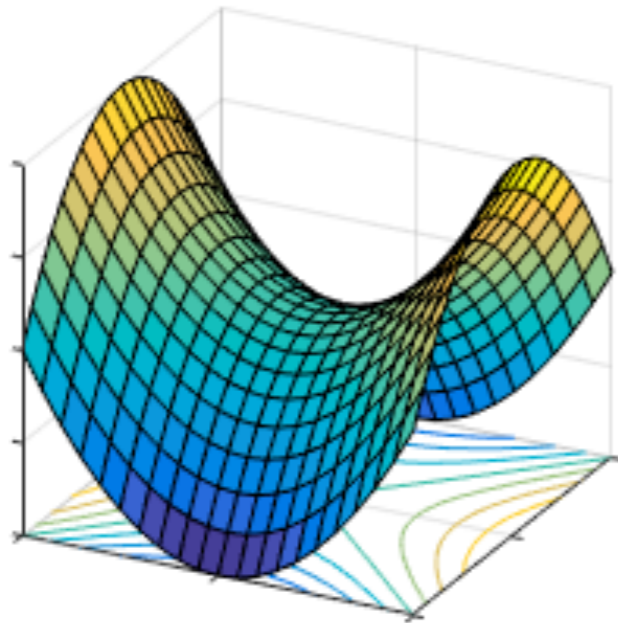


$$w = -1$$

Standard LRs
(Schwarzschild/Kerr like)

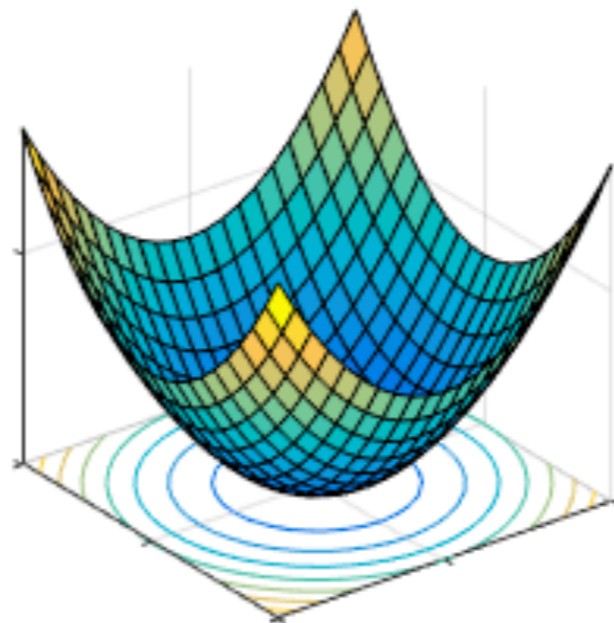
Light ring types

saddle point



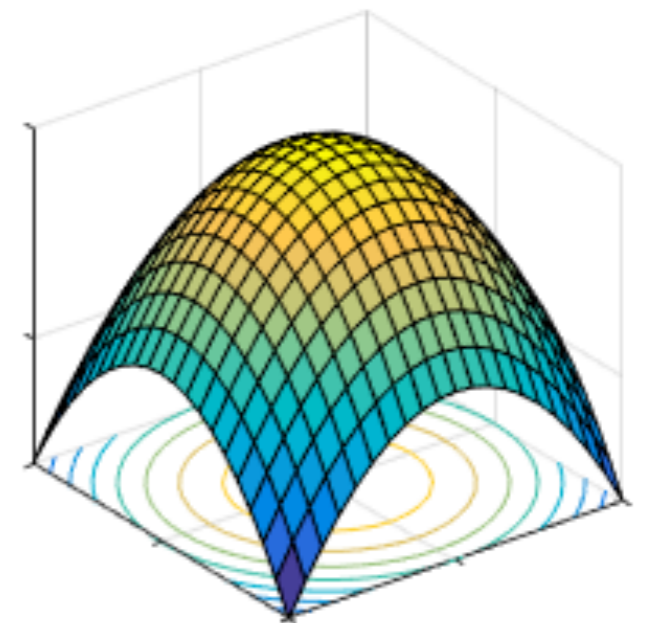
$$w = -1$$

local min



$$w = +1$$

local max



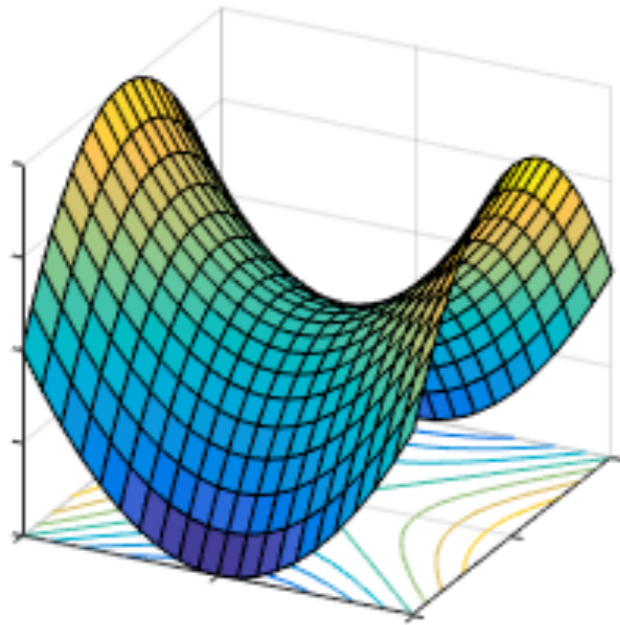
$$w = +1$$

Standard LRs
(Schwarzschild/Kerr like)

Exotic LRs
(Schwarzschild/Kerr unlike)

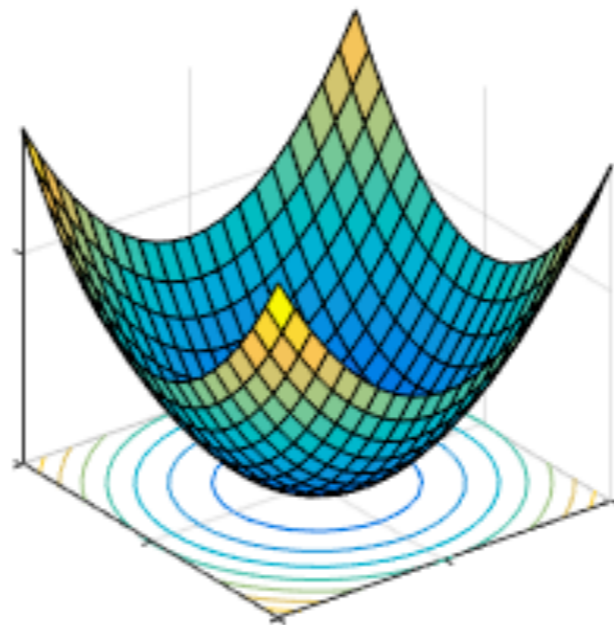
Light ring types

saddle point



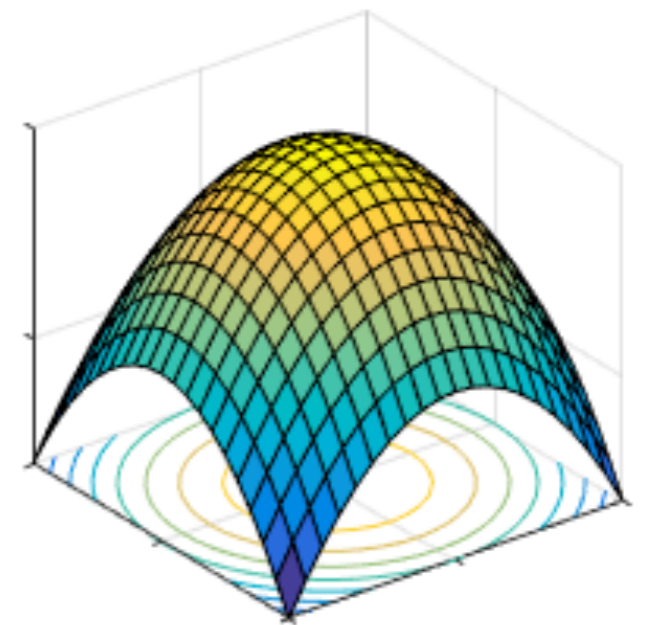
$$w = -1$$

local min



$$w = +1$$

local max



$$w = +1$$

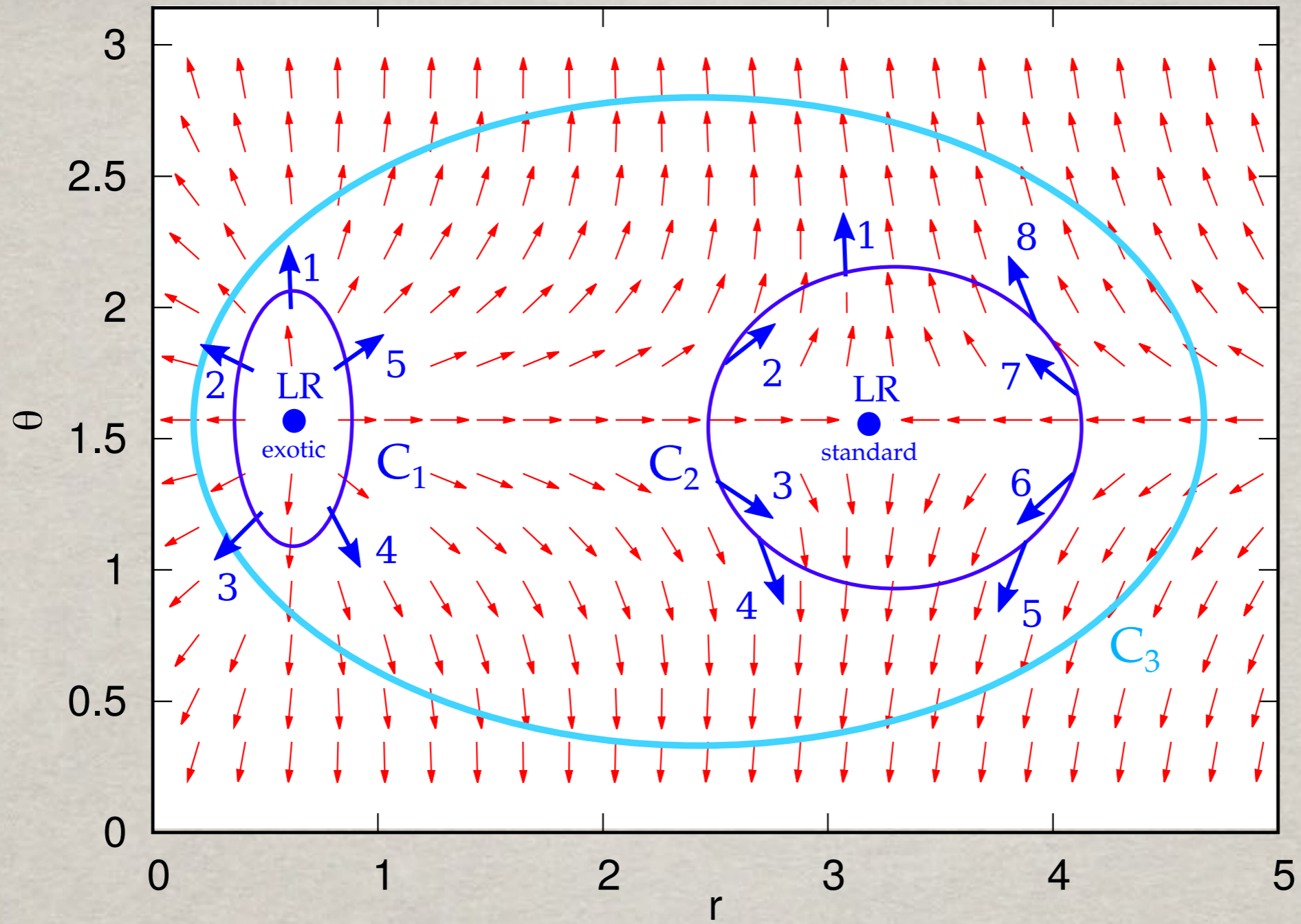
Standard LRs

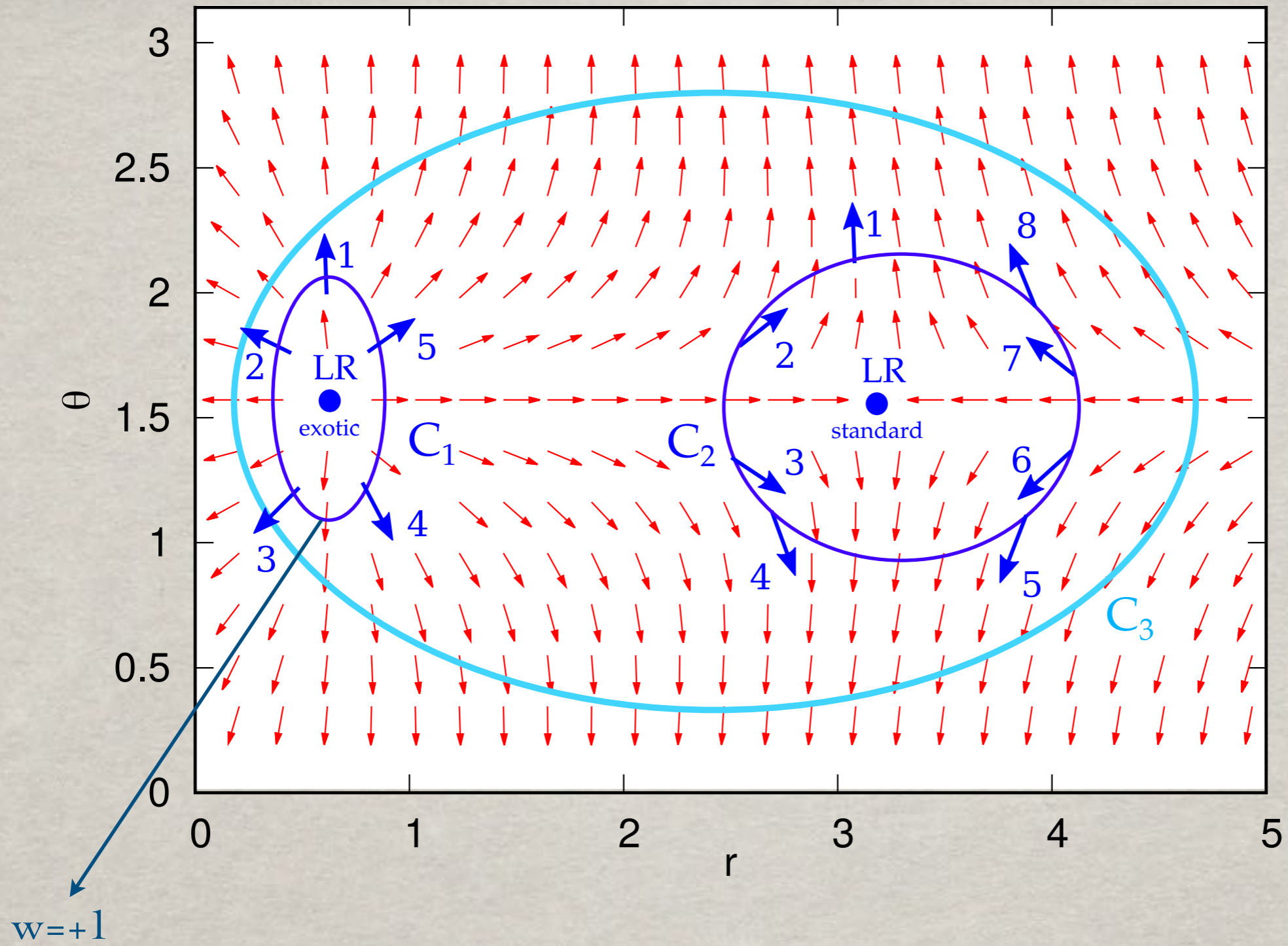
(Schwarzschild/Kerr like)

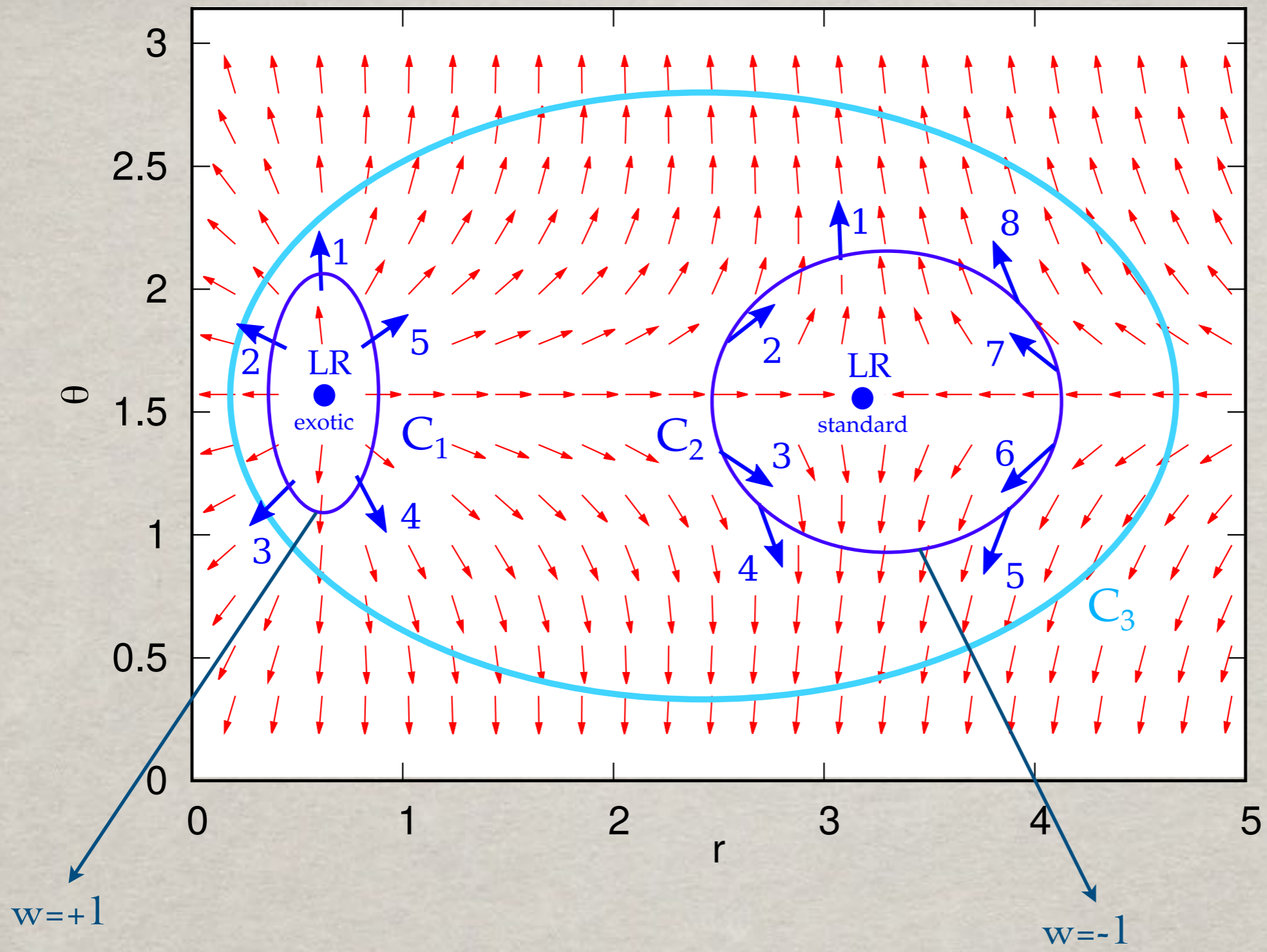
Exotic LRs

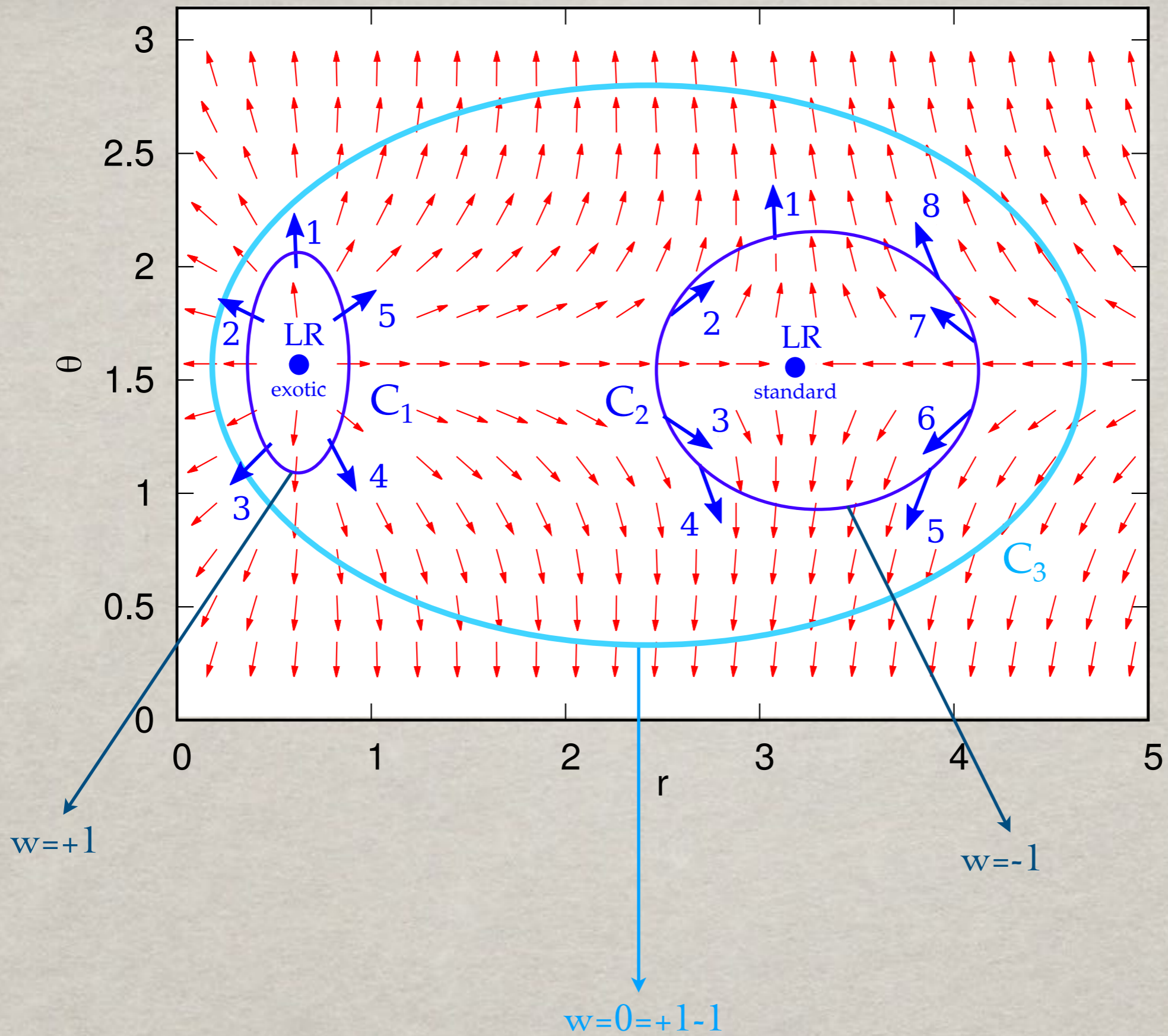
(Schwarzschild/Kerr unlike)

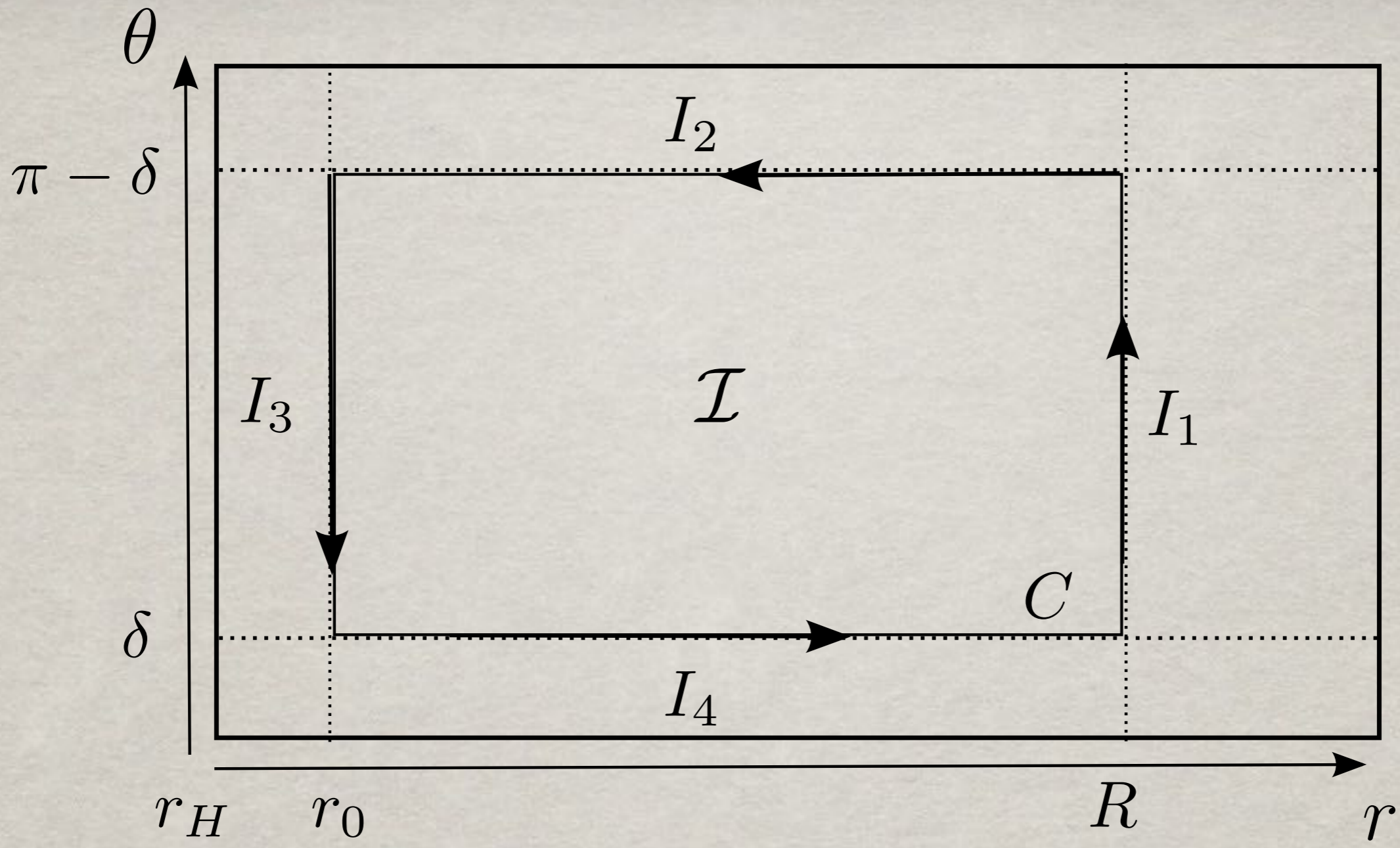
$$\oint_C d\Omega = 2\pi \sum_i w_i, \quad w_i = -1, 1.$$

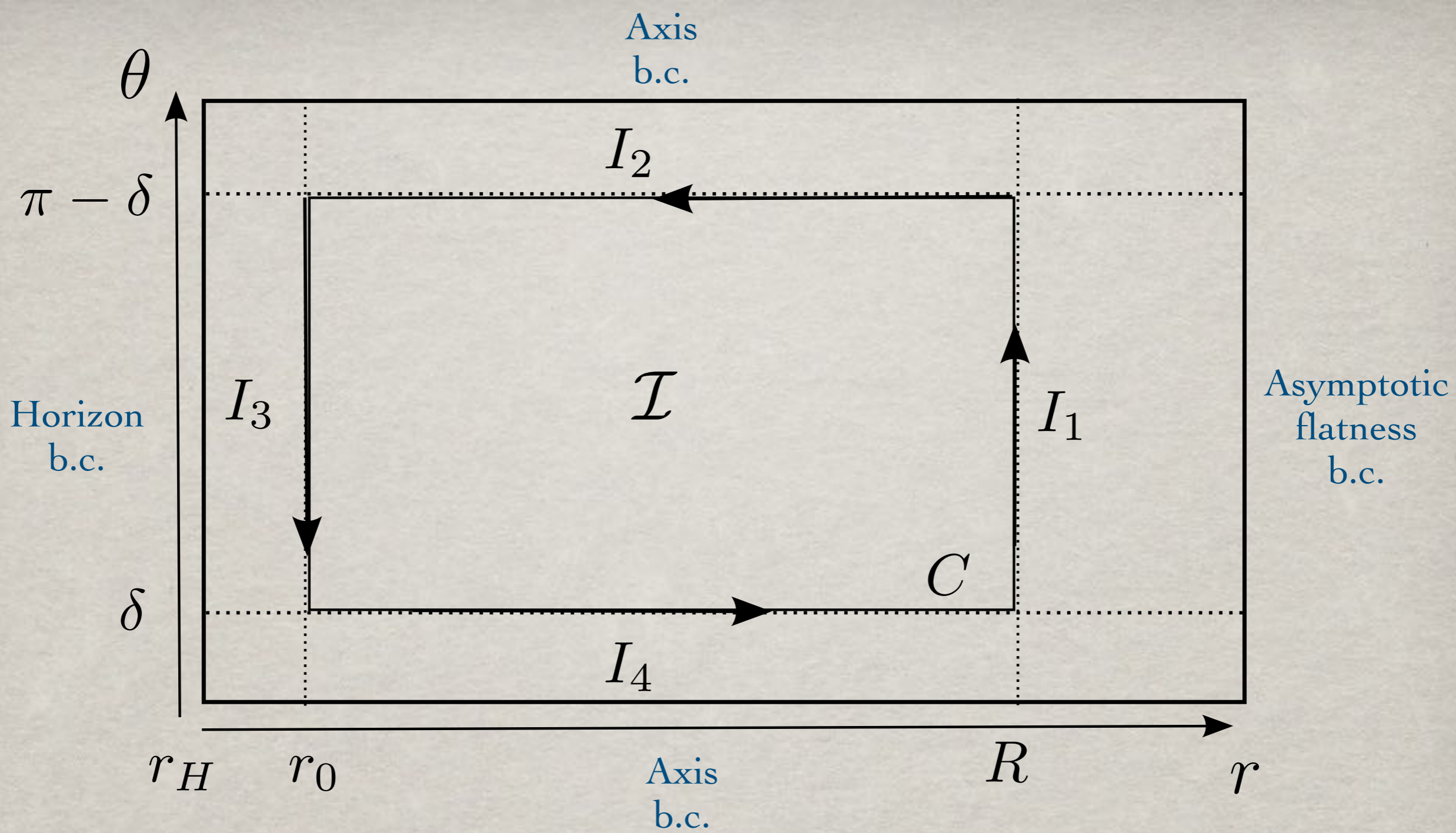


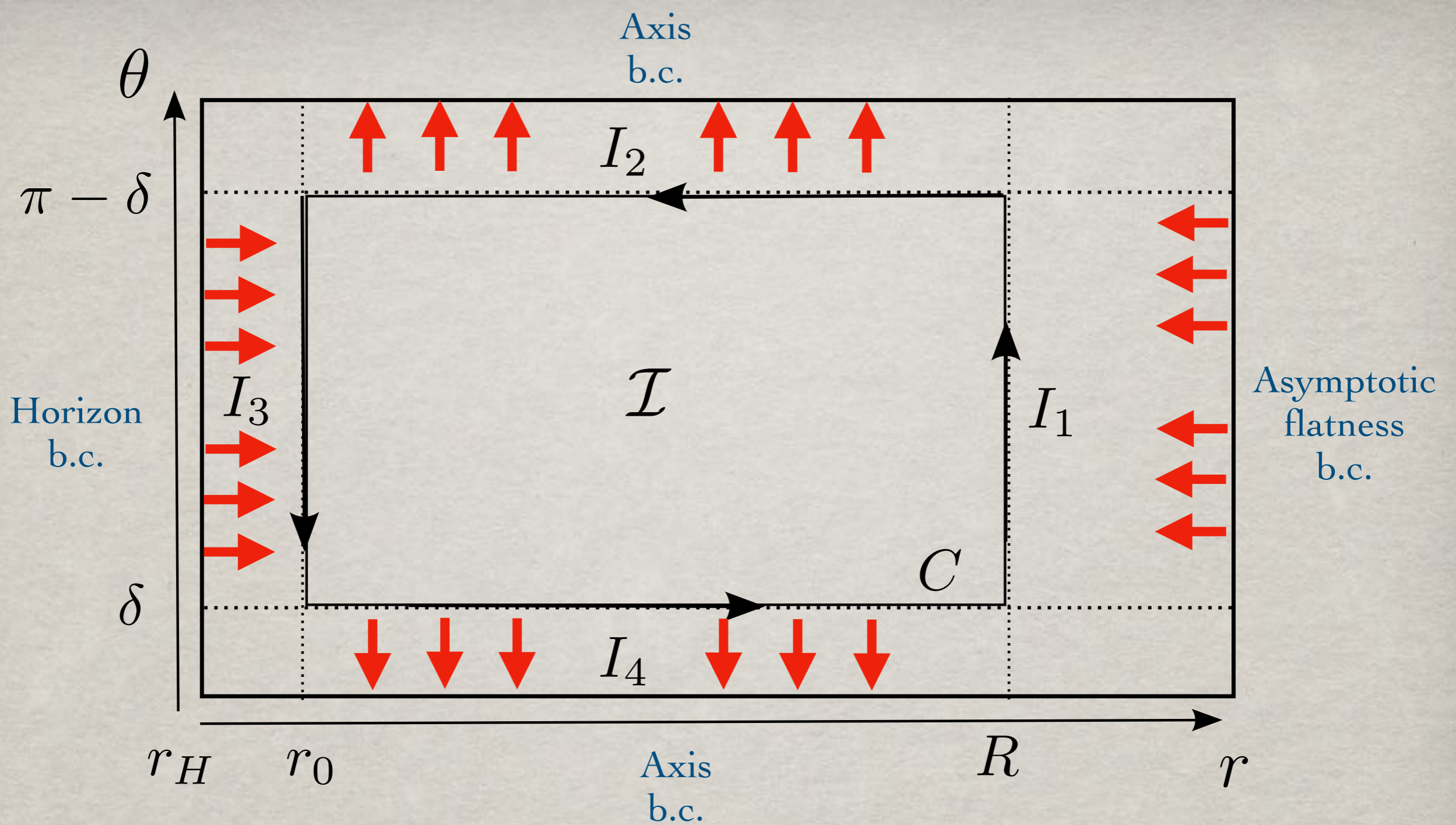




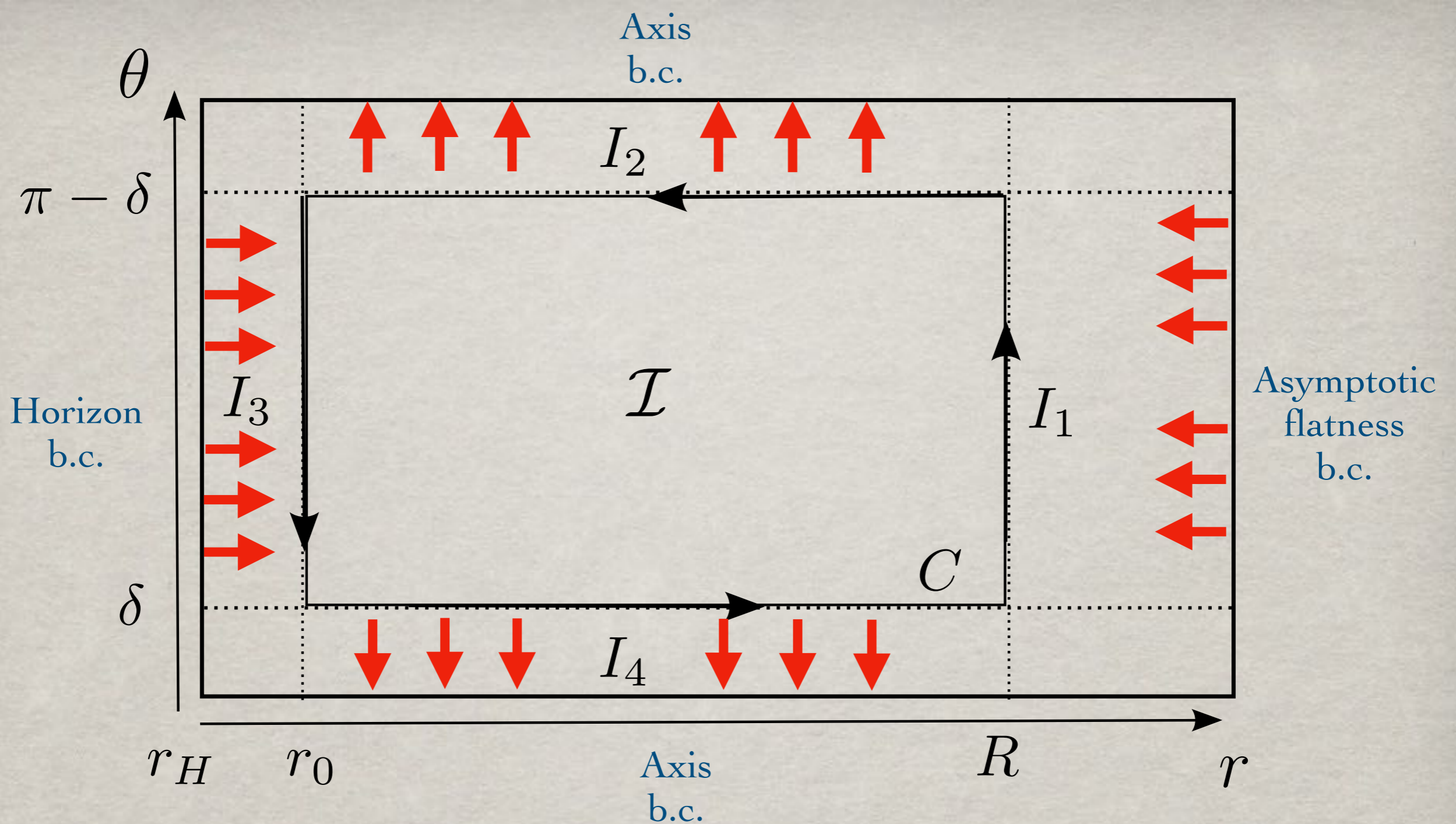






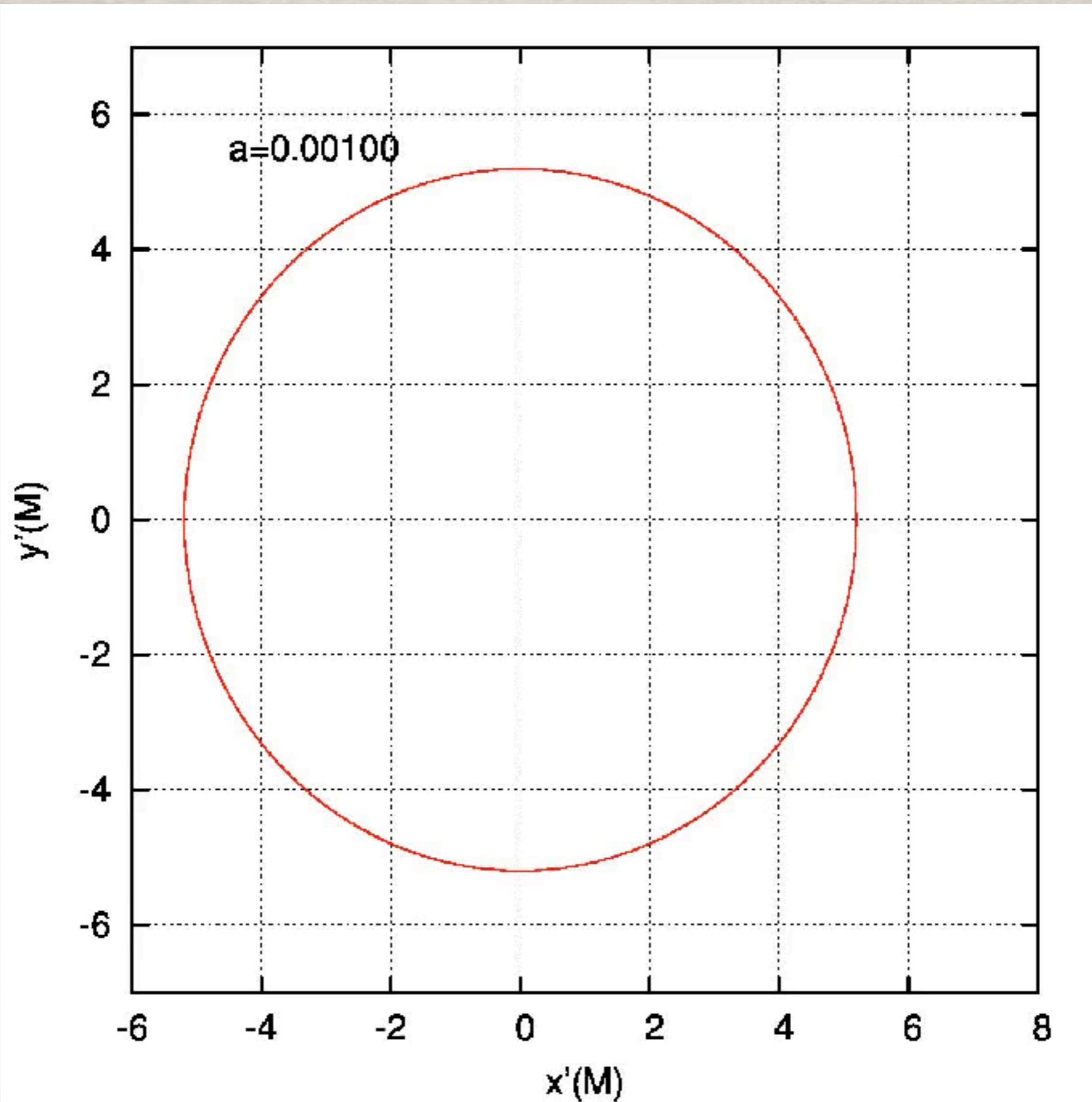


$$w = \lim_{R \rightarrow +\infty} \lim_{r_0 \rightarrow r_H} \left(\lim_{\delta \rightarrow 0} \oint_C d\Omega \right) = -1$$

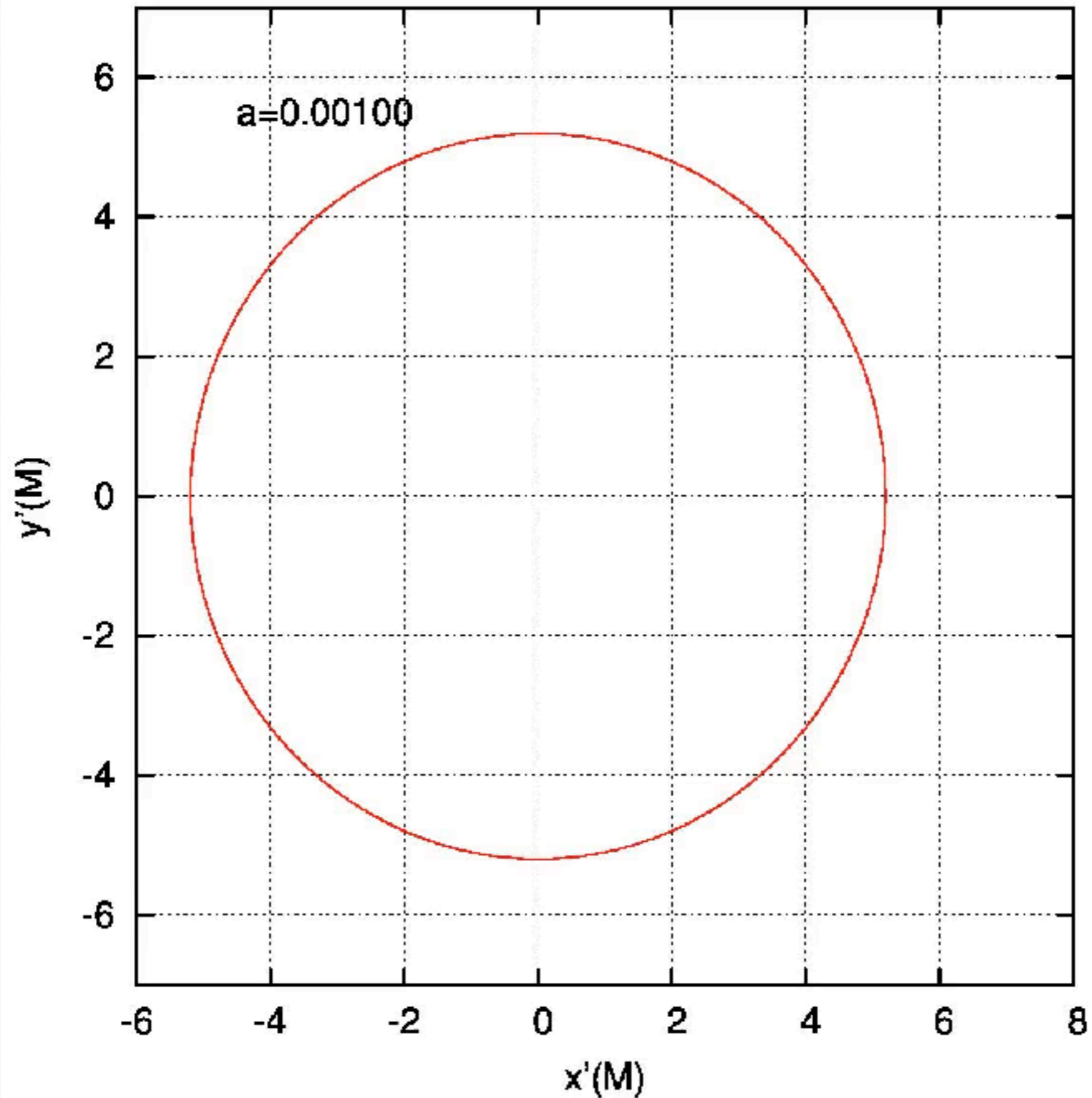


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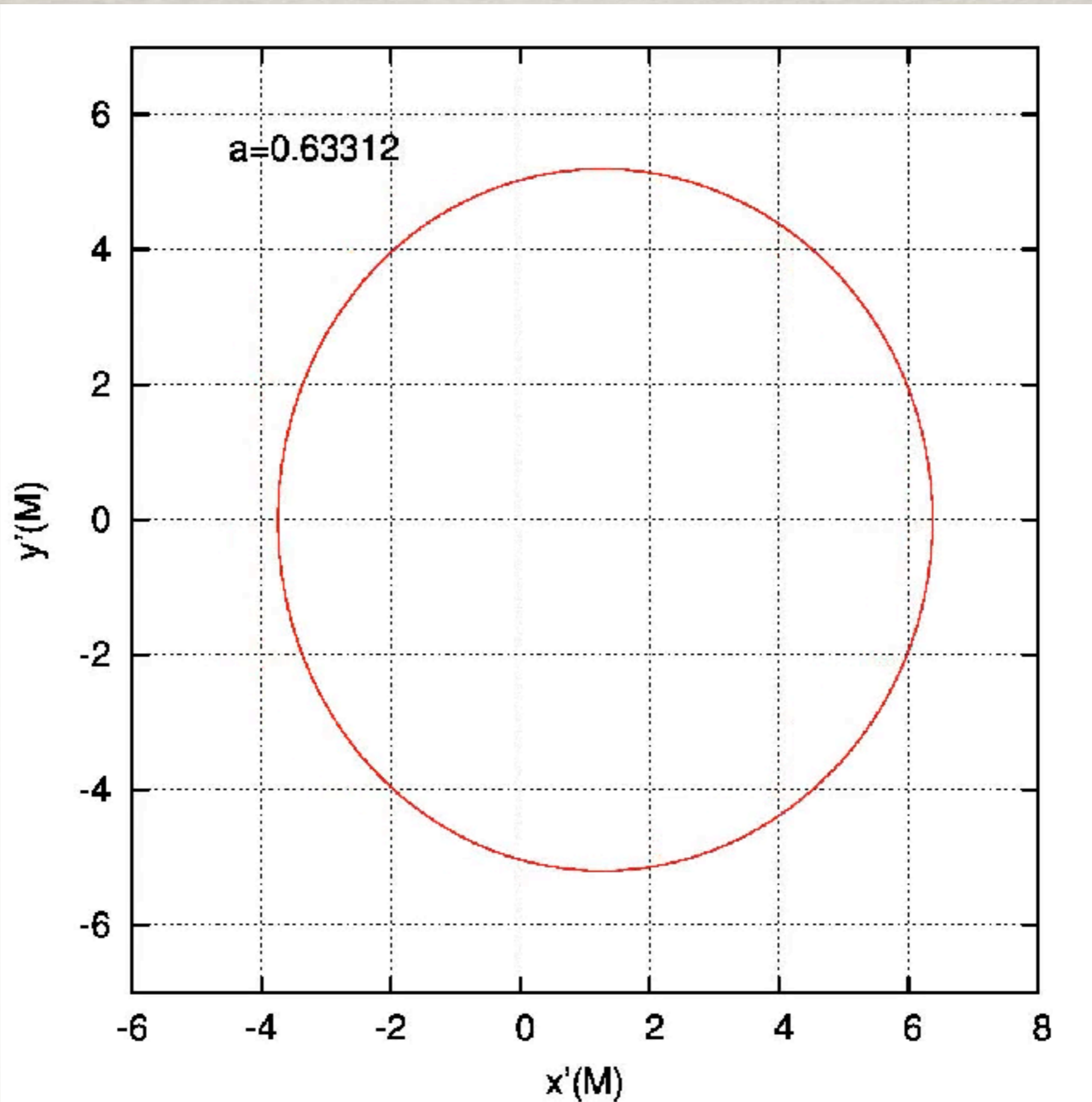
Shadow edge of a Kerr BH (equatorial observation)



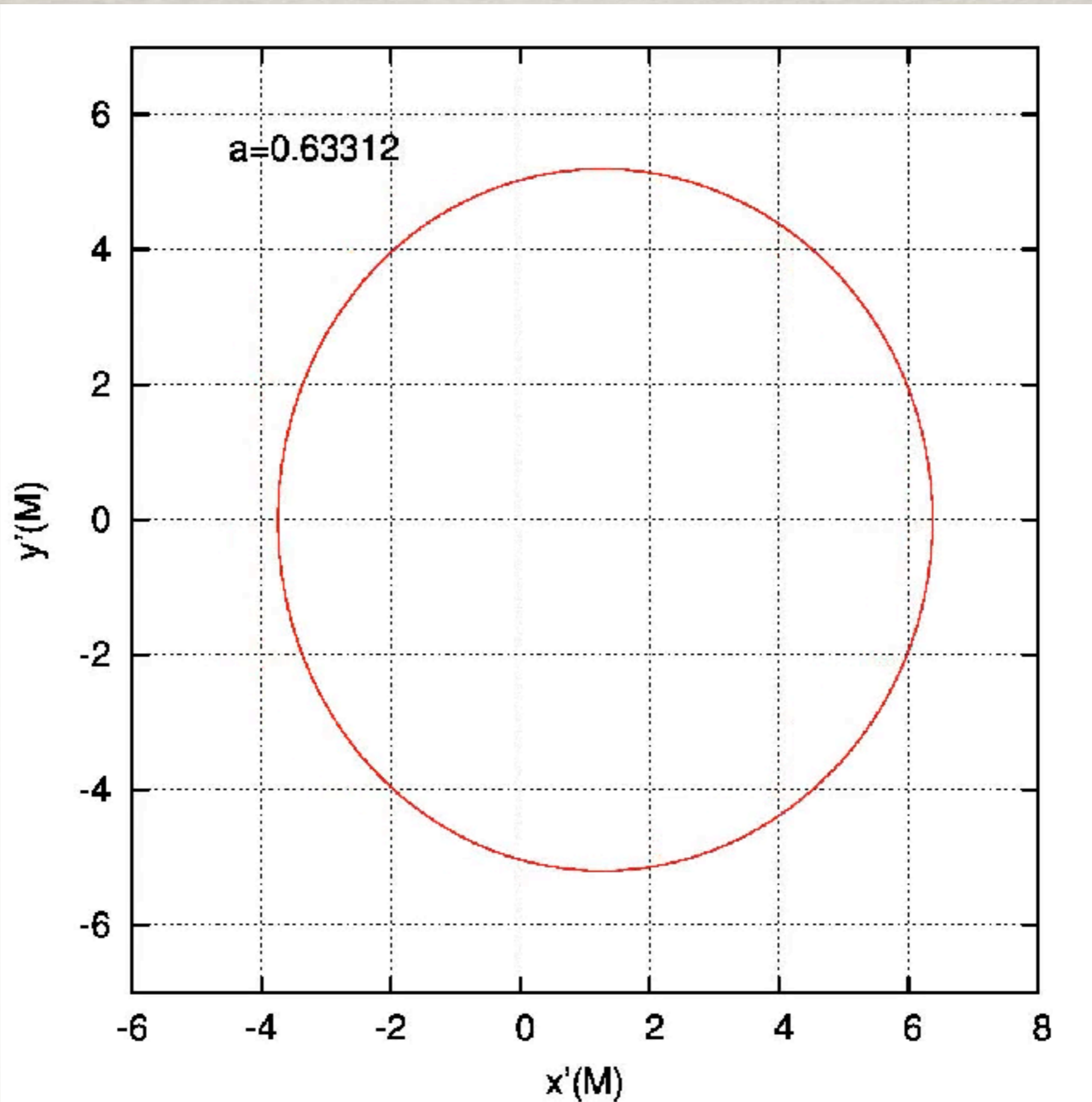
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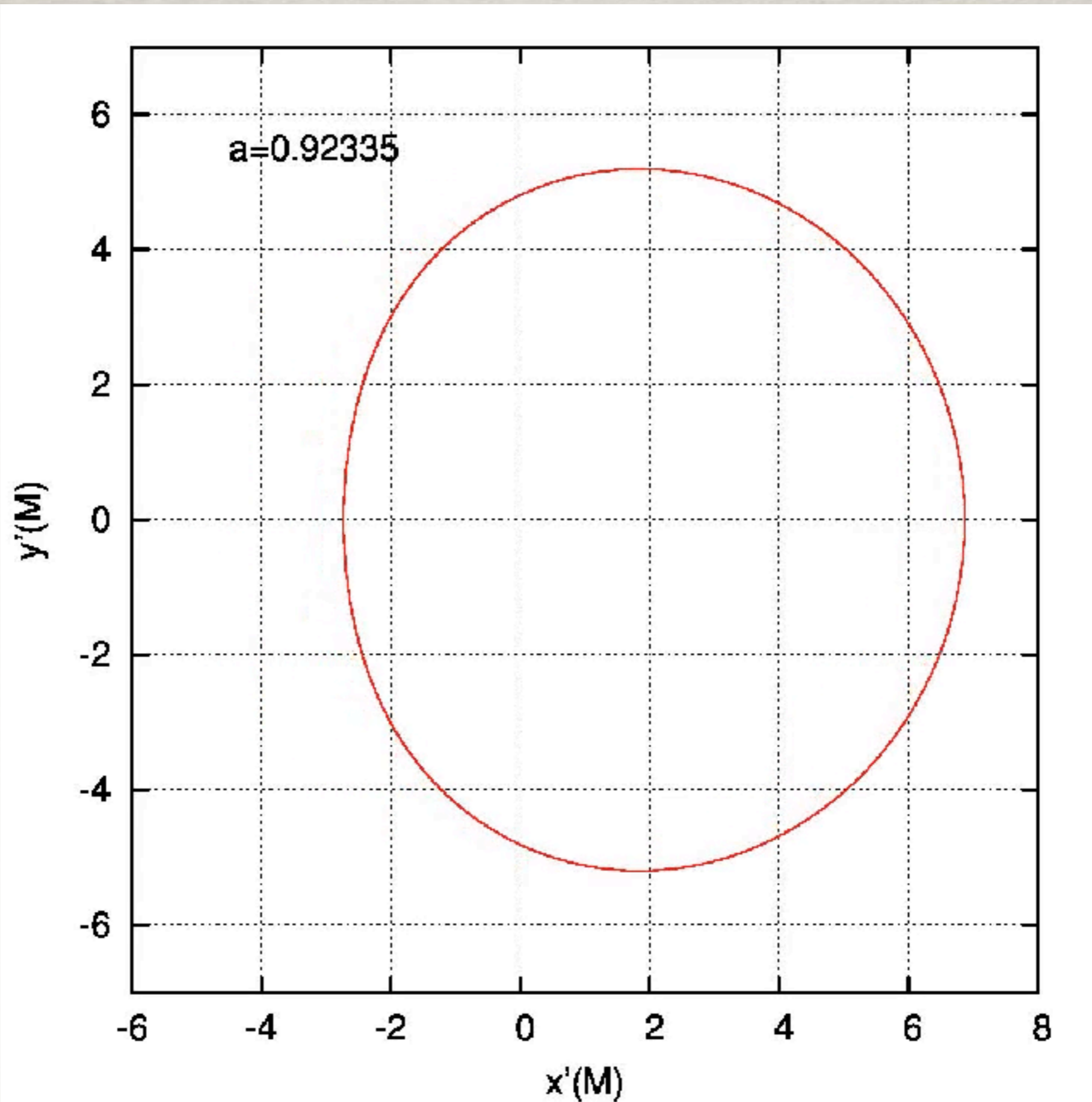
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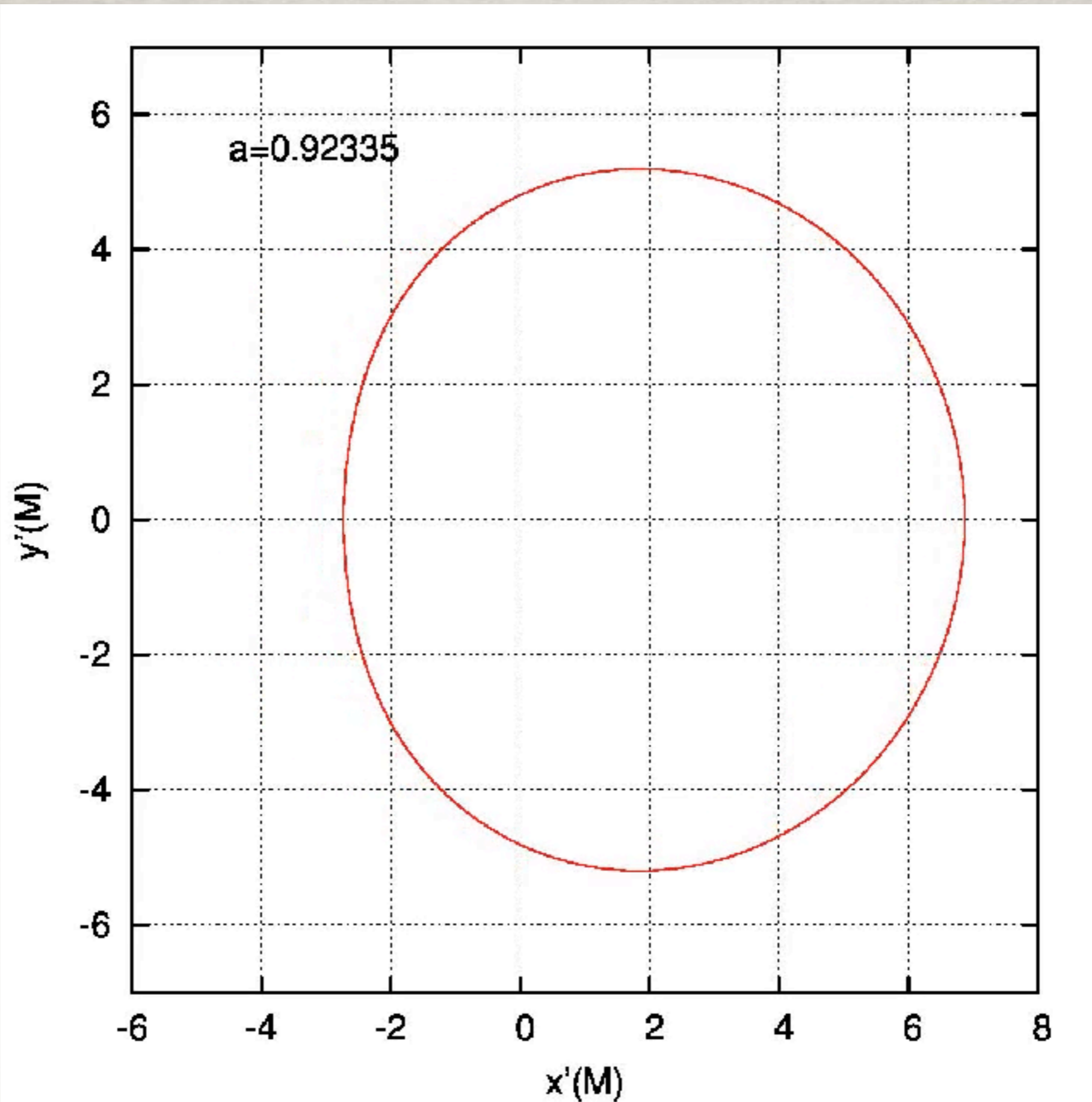
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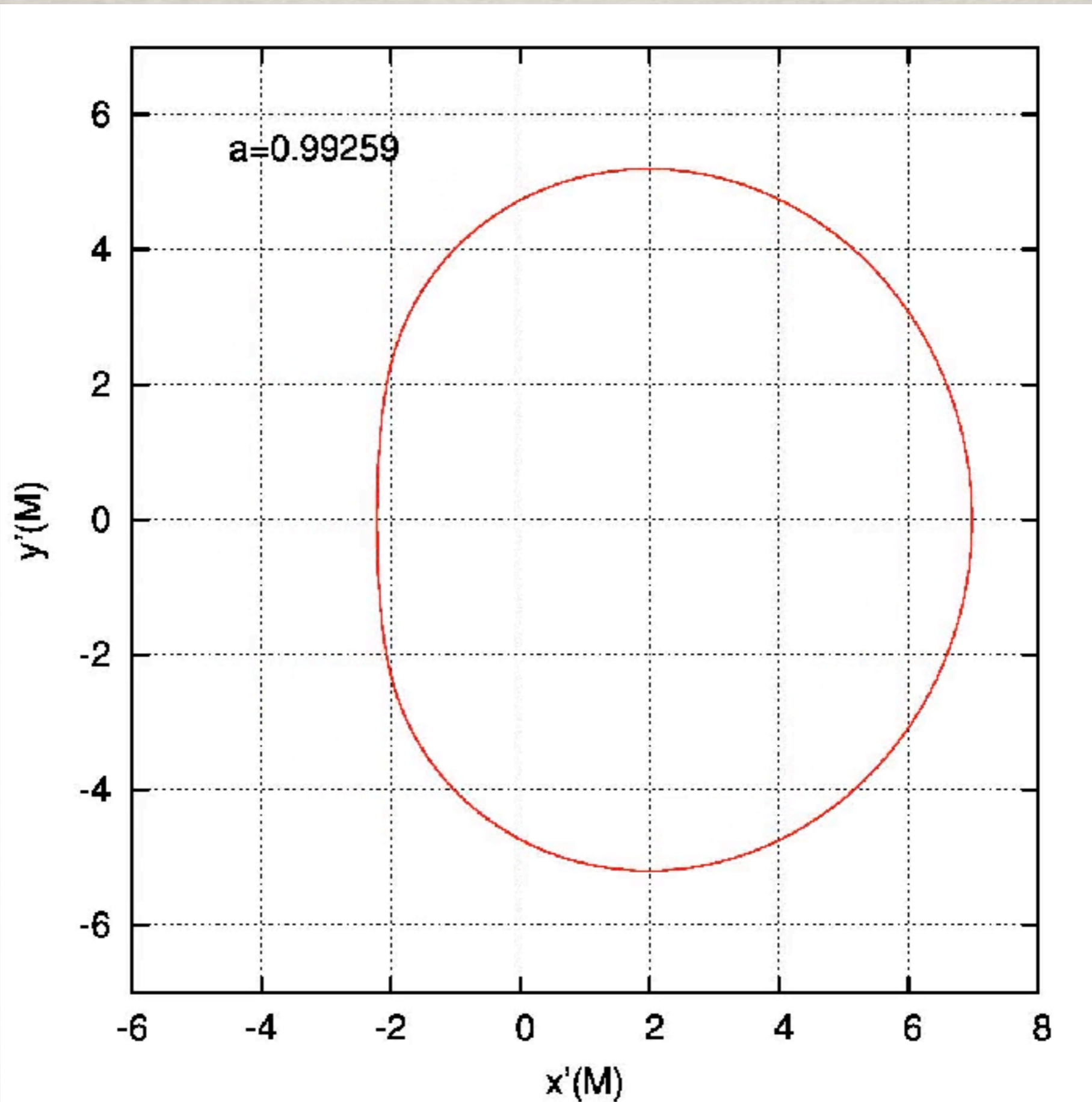
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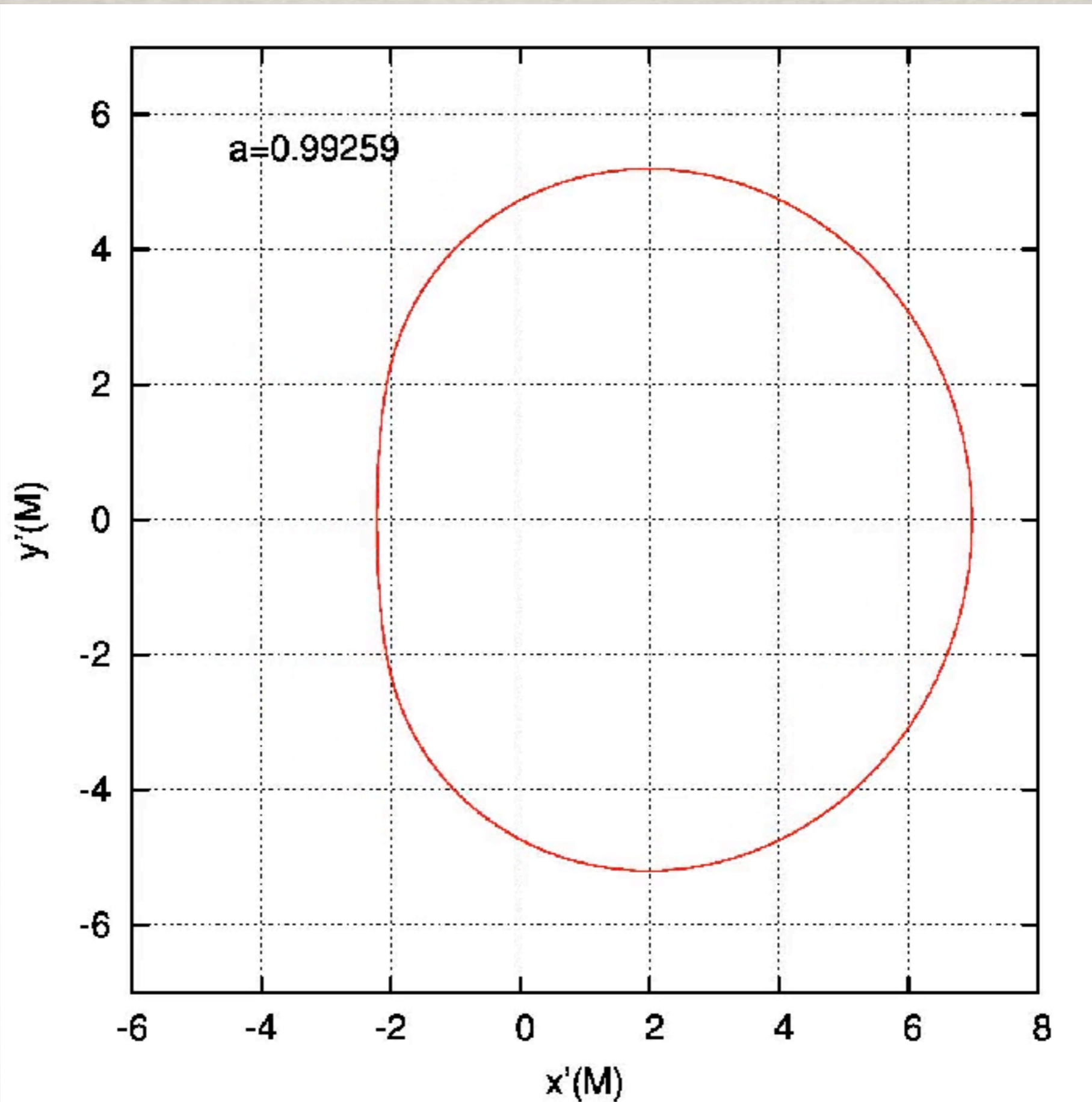
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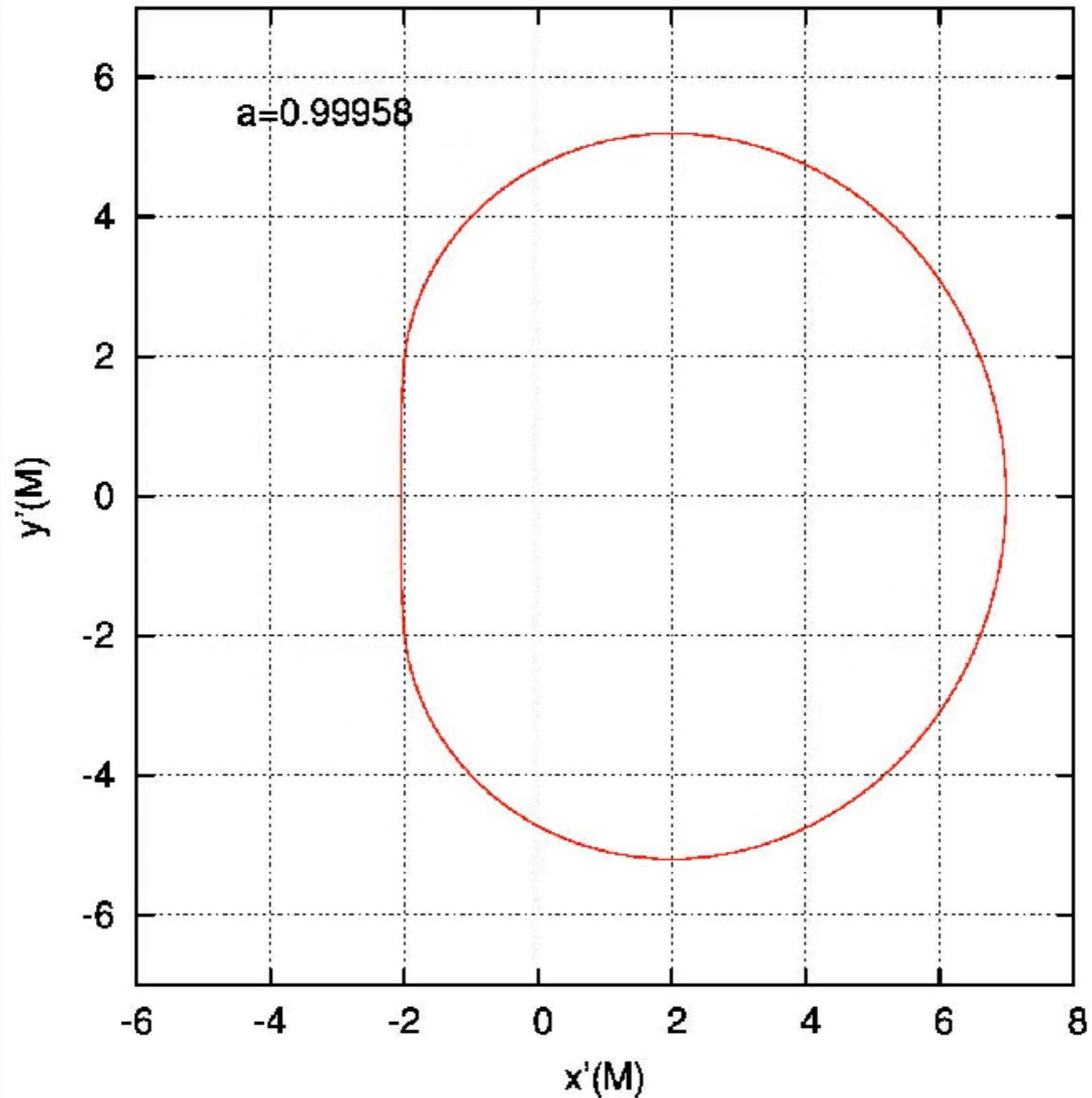
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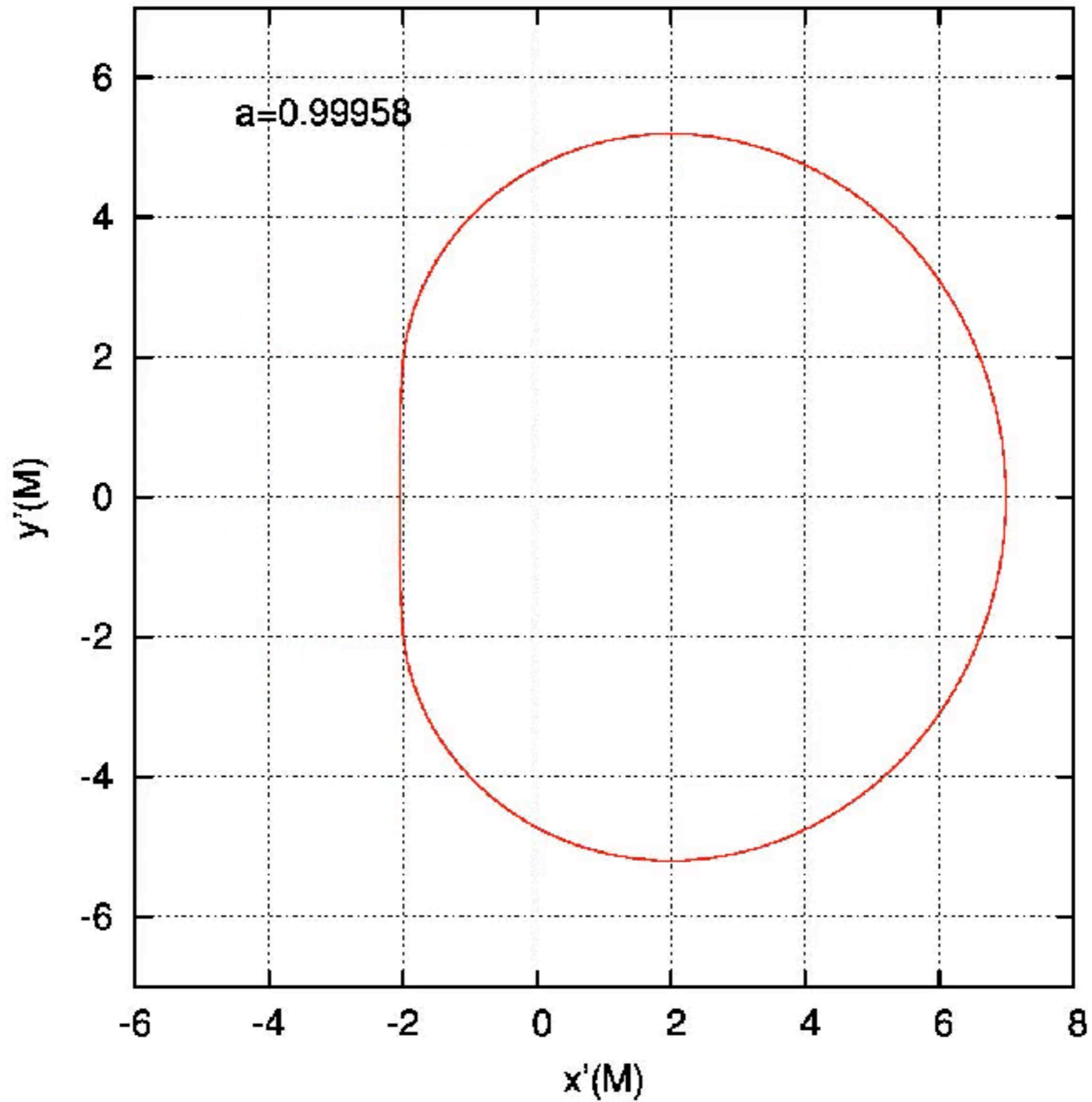
Shadow edge of a Kerr BH (equatorial observation)



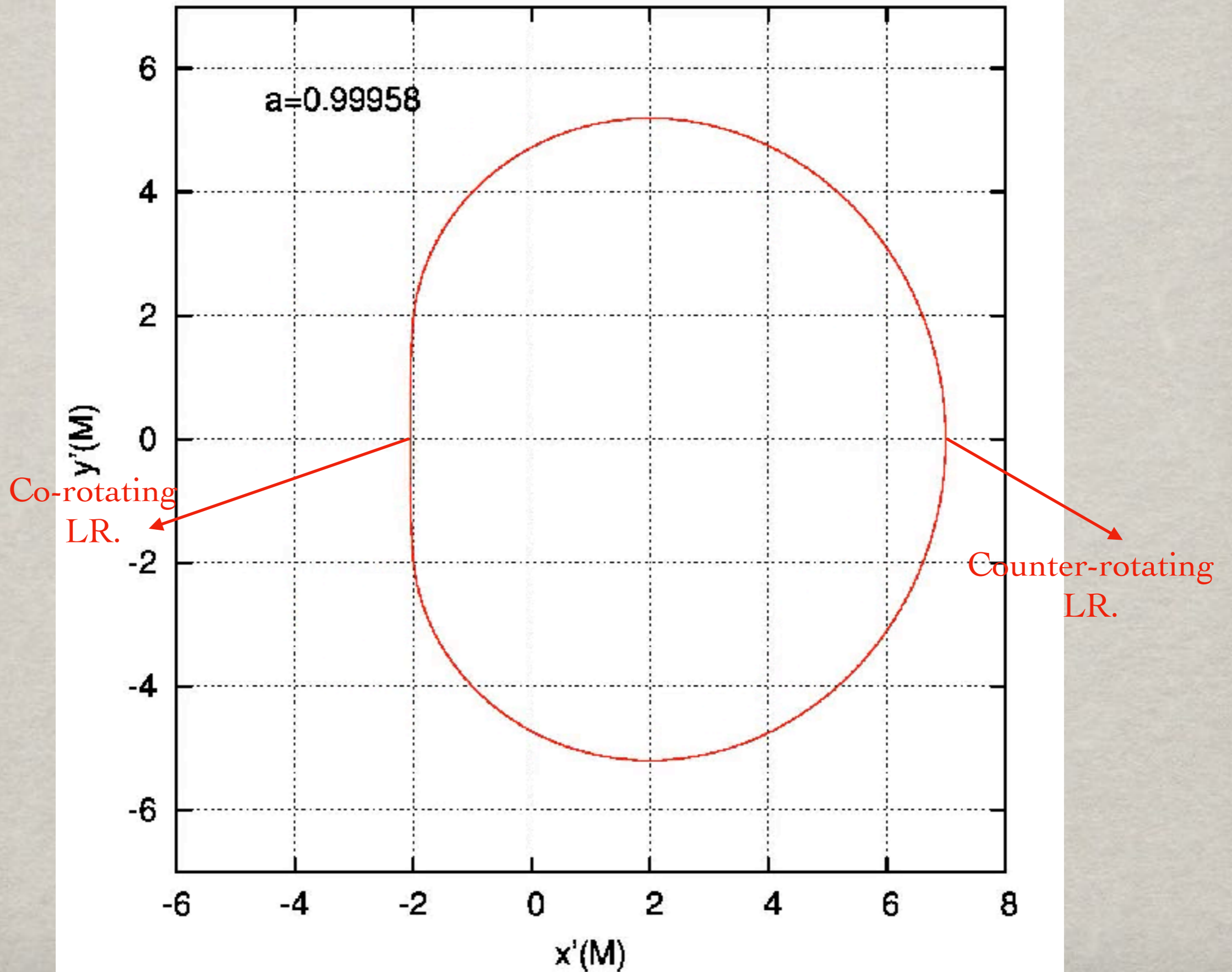
Shadow edge of a Kerr BH (equatorial observation)



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Shadow edge of a Kerr BH (equatorial observation)



The ringdown is associated to the light ring:

THE ASTROPHYSICAL JOURNAL, 172:L95–L96, 1972 March 15

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COMMENTS ON THE “VIBRATIONS” OF A BLACK HOLE

C. J. GOEBEL

University of Wisconsin, Physics Department, Madison

Received 1972 January 4; revised 1972 January 27

ABSTRACT

It is shown that the “vibrations of a black hole” of Press are gravitational waves in spiral orbits close to the well-known unstable circular orbit at $r = 3M$. The corresponding “vibrations” of a spinning black hole are discussed. It is emphasized that these “vibrations” provide, not a source, but only a temporary storage, of high-frequency gravitational radiation.

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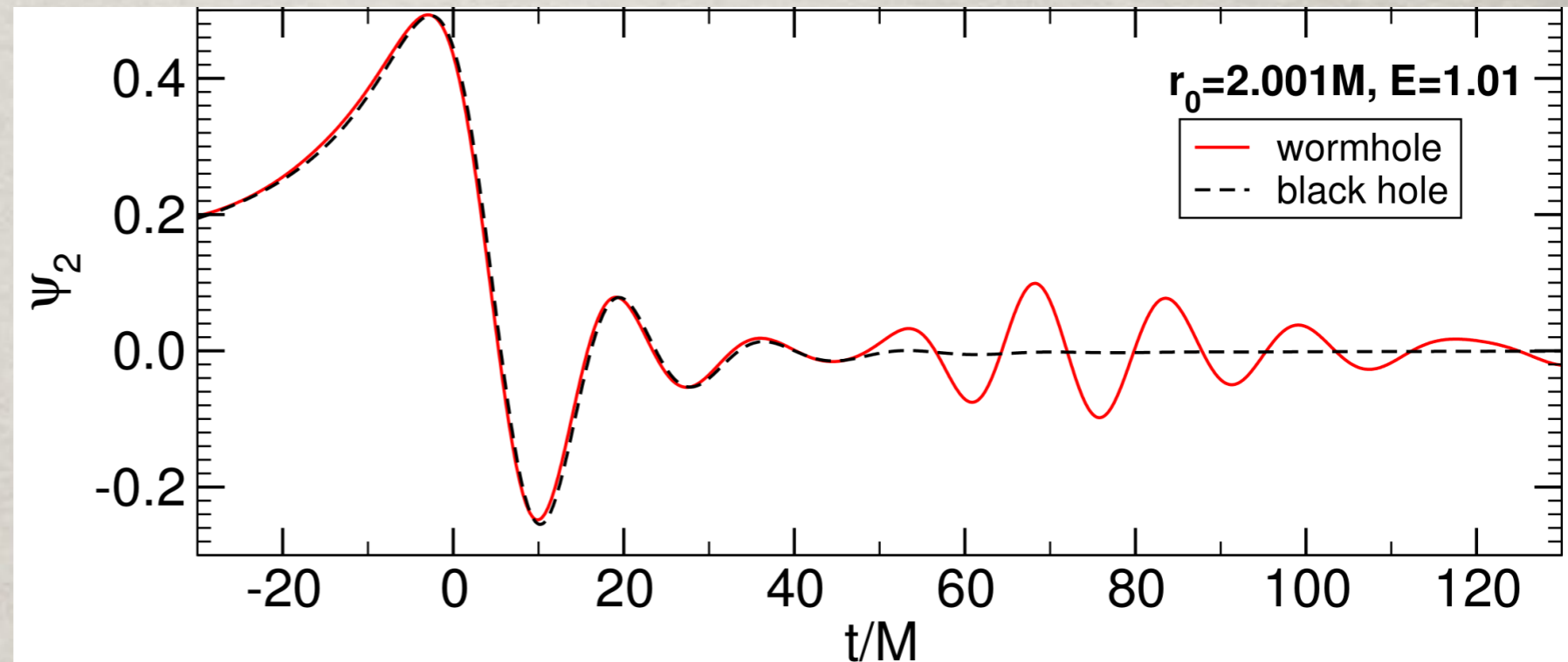
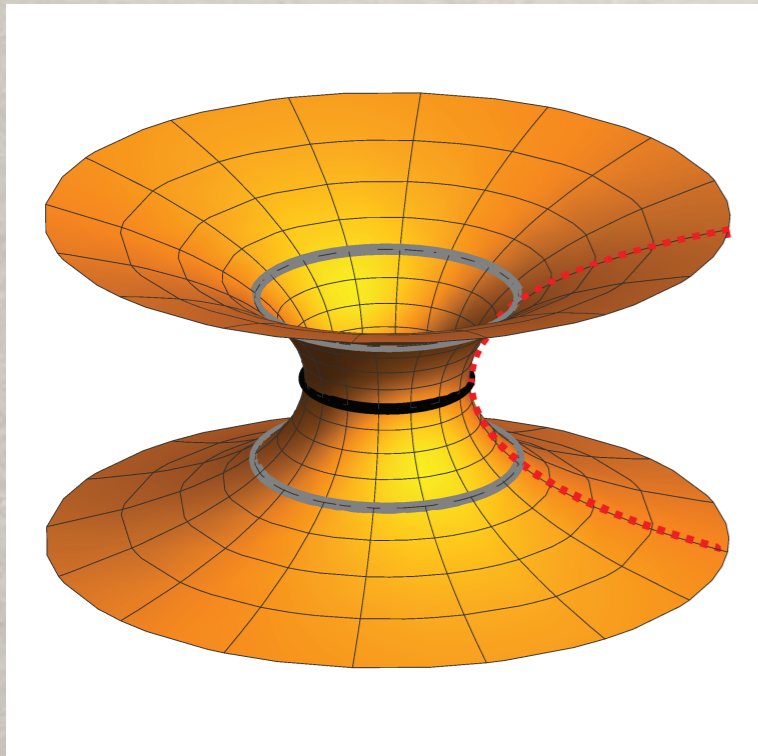
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Cardoso, Miranda, Berti, Witek and Zanchin, Phys. Rev. D 79 (2009) 064016

$$\omega_{QNM} = \Omega_c \ell - i \left(n + \frac{1}{2} \right) |\lambda|$$

So, a hypothetical horizonless ultracompact ECO
(i.e. with a similar light ring)
could vibrate similarly, initially...



Cardoso, Franzin, Pani, PRL 117 (2016) 089902

Are there black hole mimickers with similar light rings
to black holes but no “event horizon”
they could mimic the black hole phenomenology.

Can there be such speculative ultra-compact ECOs?

On the fate of the Light Ring instability

Plan:

- 1) The “*black hole (BH) hypothesis*”: BHs and light rings (LRs)
- 2) The “*exotic compact object (ECO) hypothesis*”: ECOs and LRs
- 3) The LR instability and an explicit test of its fate
- 4) Discussion and final thoughts

The Exotic Compact Object (ECO) hypothesis

Many models of horizonless compact objects (black hole mimickers) without horizons or singularities have been proposed.

- a) “geons”, realized by Boson stars ([Schunck, Mielke, CQG 20 \(2003\) R301](#); [Jetzer, Phys. Rept. 220 \(1992\) 163](#)) and Proca stars ([Brito, Cardoso, CH, Radu, PLB 752 \(2016\) 291](#)); can form dynamically ([Seidel, Suen, PRL 72 \(1994\) 2516](#)); Perturbatively stable [Gleiser and Watkins, NPB 319 \(1989\) 733](#); [Lee and Pang, NPB 315 \(1989\) 477](#); Can be studied dynamically in binaries ([Liebling and Palenzuela LRR 20 \(2017\) 5](#))
- b) wormholes ([Morris and Thorne, Am. J. Phys. 56 \(1988\) 395-412](#))
- c) gravastars ([Mazur and Mottola, gr-qc/0109035](#))
- d) fuzzballs ([Mathur, Fortsch. Phys. 53 \(2005\) 793](#))
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Can they explain the observations?
Are they good theoretically?

A theorem for ultracompact ECOs that form from incomplete gravitational collapse

PRL **119**, 251102 (2017)

PHYSICAL REVIEW LETTERS

week ending
22 DECEMBER 2017

Light-Ring Stability for Ultracompact Objects

Pedro V. P. Cunha,^{1,2} Emanuele Berti,^{3,2} and Carlos A. R. Herdeiro¹

¹*Departamento de Física da Universidade de Aveiro and CIDMA, Campus de Santiago, 3810-183 Aveiro, Portugal*

²*CENTRA, Departamento de Física, Instituto Superior Técnico, Universidade de Lisboa, Avenida Rovisco Pais 1, 1049 Lisboa, Portugal*

³*Department of Physics and Astronomy, The University of Mississippi, University, Mississippi 38677, USA*

(Received 3 August 2017; revised manuscript received 18 October 2017; published 18 December 2017)

We prove the following theorem: axisymmetric, stationary solutions of the Einstein field equations formed from classical gravitational collapse of matter obeying the null energy condition, that are everywhere smooth and ultracompact (i.e., they have a light ring) must have at least *two* light rings, and one of them is *stable*. It has been argued that stable light rings generally lead to nonlinear spacetime instabilities. Our result implies that smooth, physically and dynamically reasonable ultracompact objects are not viable as observational alternatives to black holes whenever these instabilities occur on astrophysically short time scales. The proof of the theorem has two parts: (i) We show that light rings always come in pairs, one being a saddle point and the other a local extremum of an effective potential. This result follows from a topological argument based on the Brouwer degree of a continuous map, with no assumptions on the spacetime dynamics, and, hence, it is applicable to any metric gravity theory where photons follow null geodesics. (ii) Assuming Einstein's equations, we show that the extremum is a local minimum of the potential (i.e., a stable light ring) if the energy-momentum tensor satisfies the null energy condition.

DOI: [10.1103/PhysRevLett.119.251102](https://doi.org/10.1103/PhysRevLett.119.251102)

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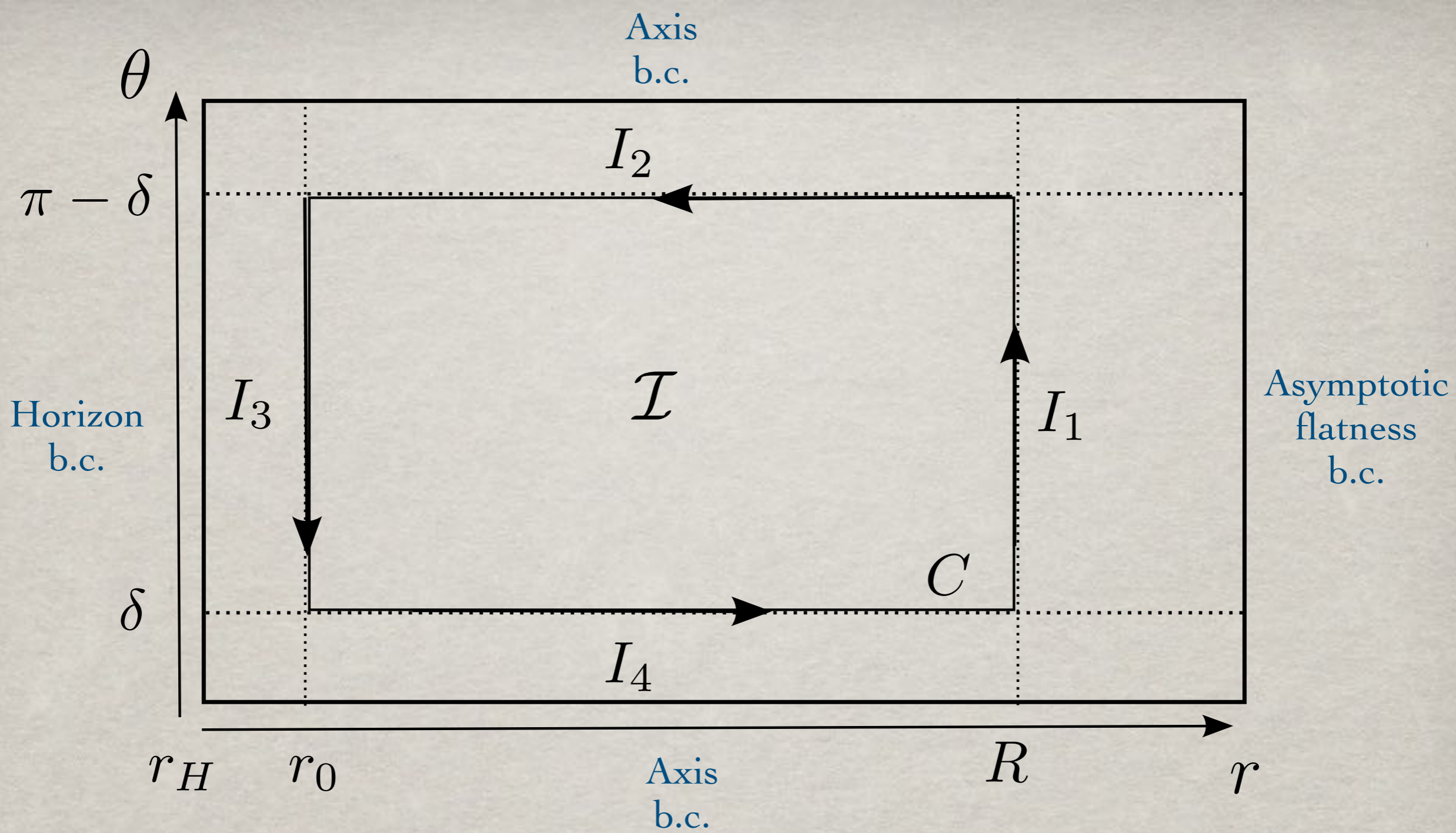
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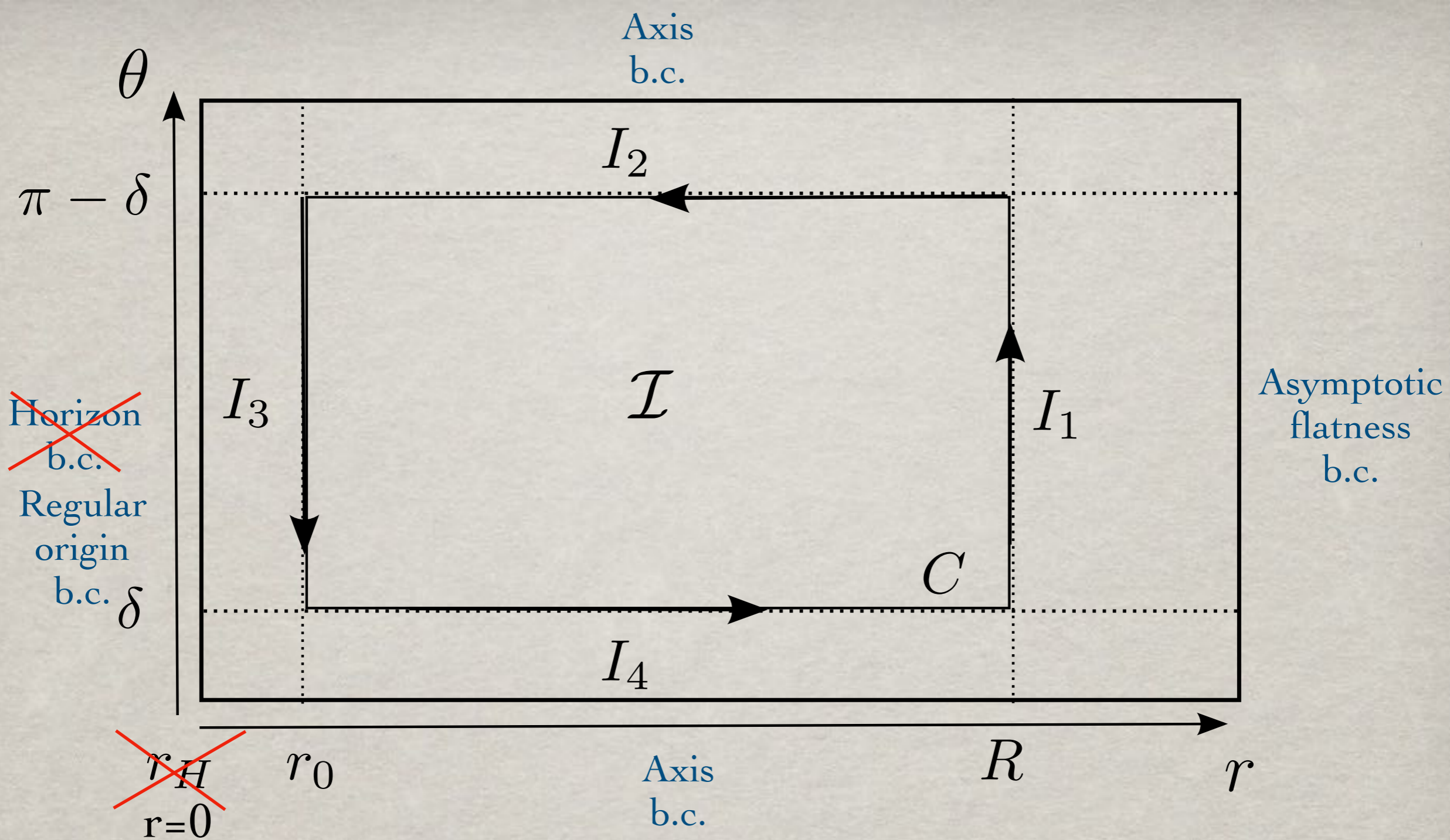
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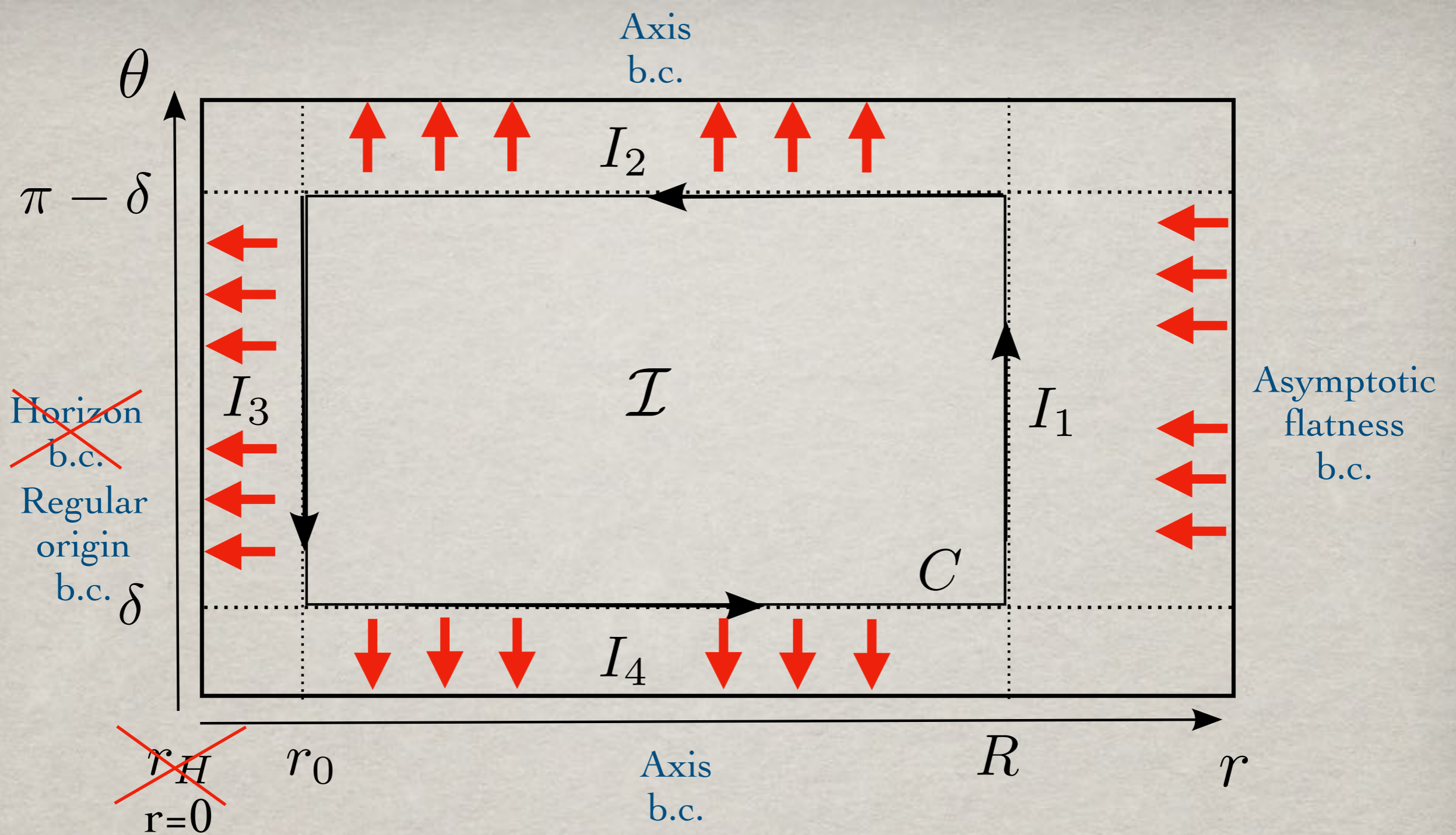
axisymmetric, stationary solutions of the Einstein field equations formed from classical gravitational collapse of matter obeying the null energy condition, that are everywhere smooth and ultracompact (i.e., they have a light ring) must have at least *two* light rings, and one of them is *stable*.

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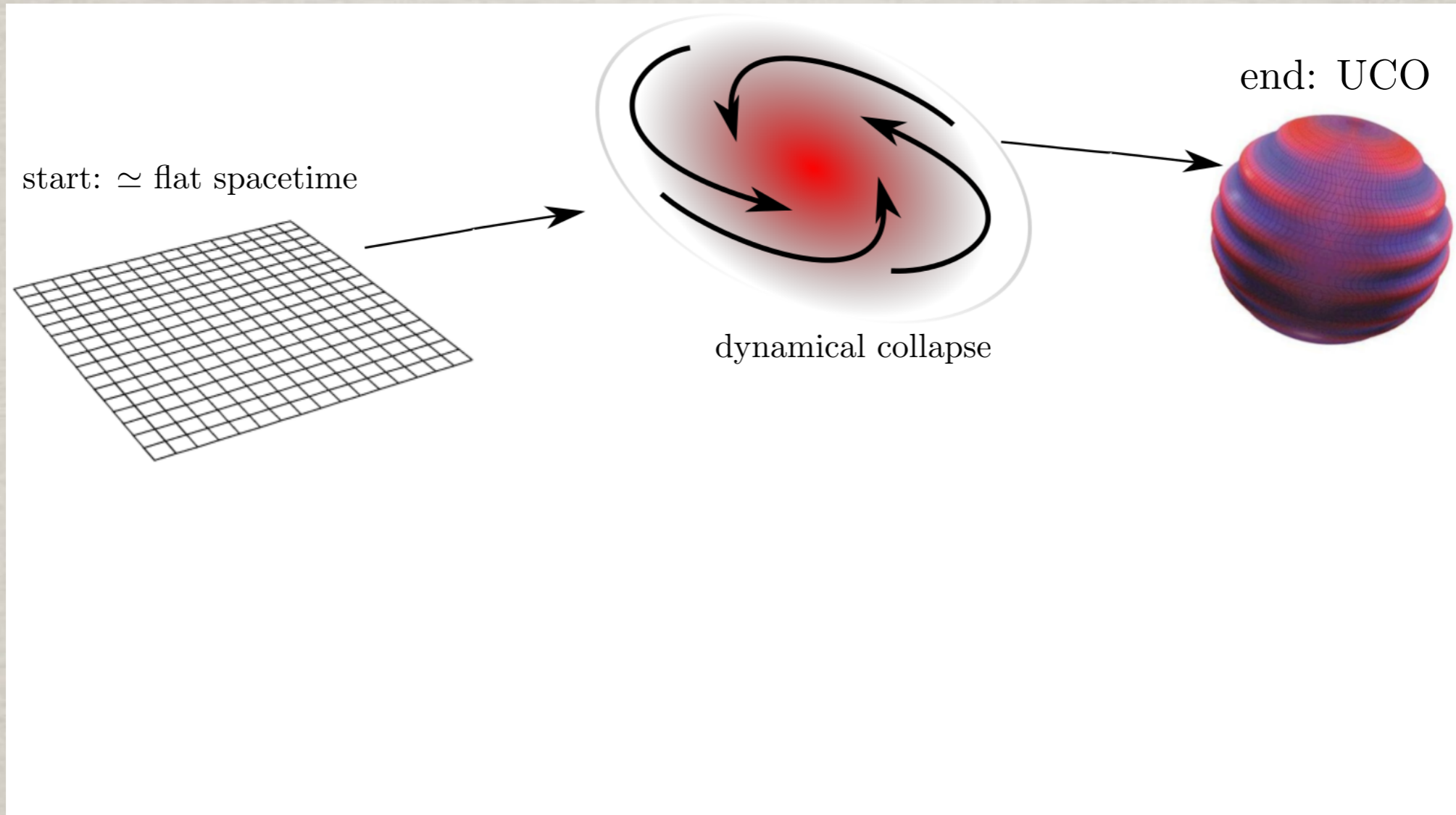




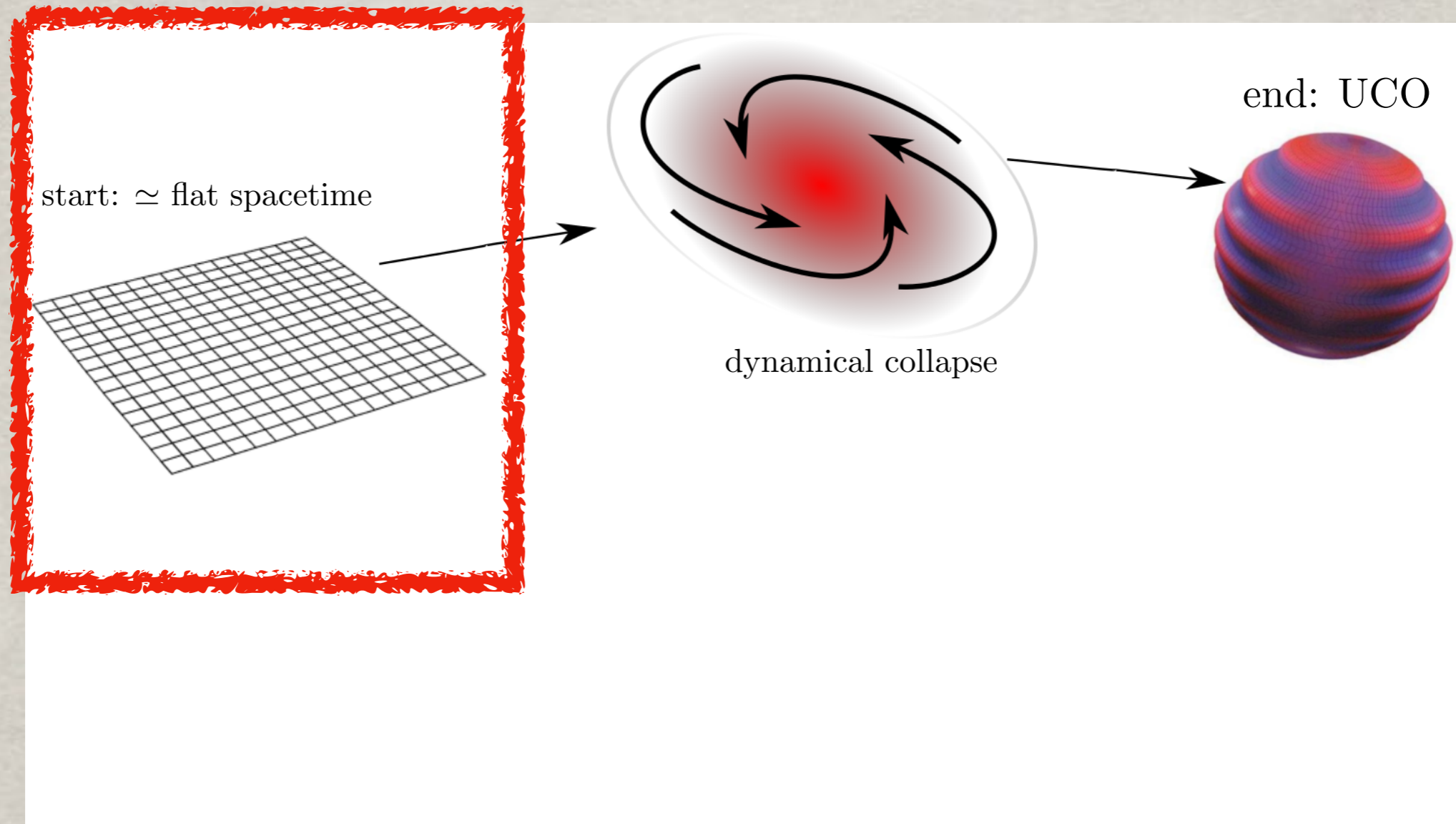


$$w = \lim_{R \rightarrow +\infty} \lim_{r_0 \rightarrow 0} \left(\lim_{\delta \rightarrow 0} \oint_C d\Omega \right) = 0$$

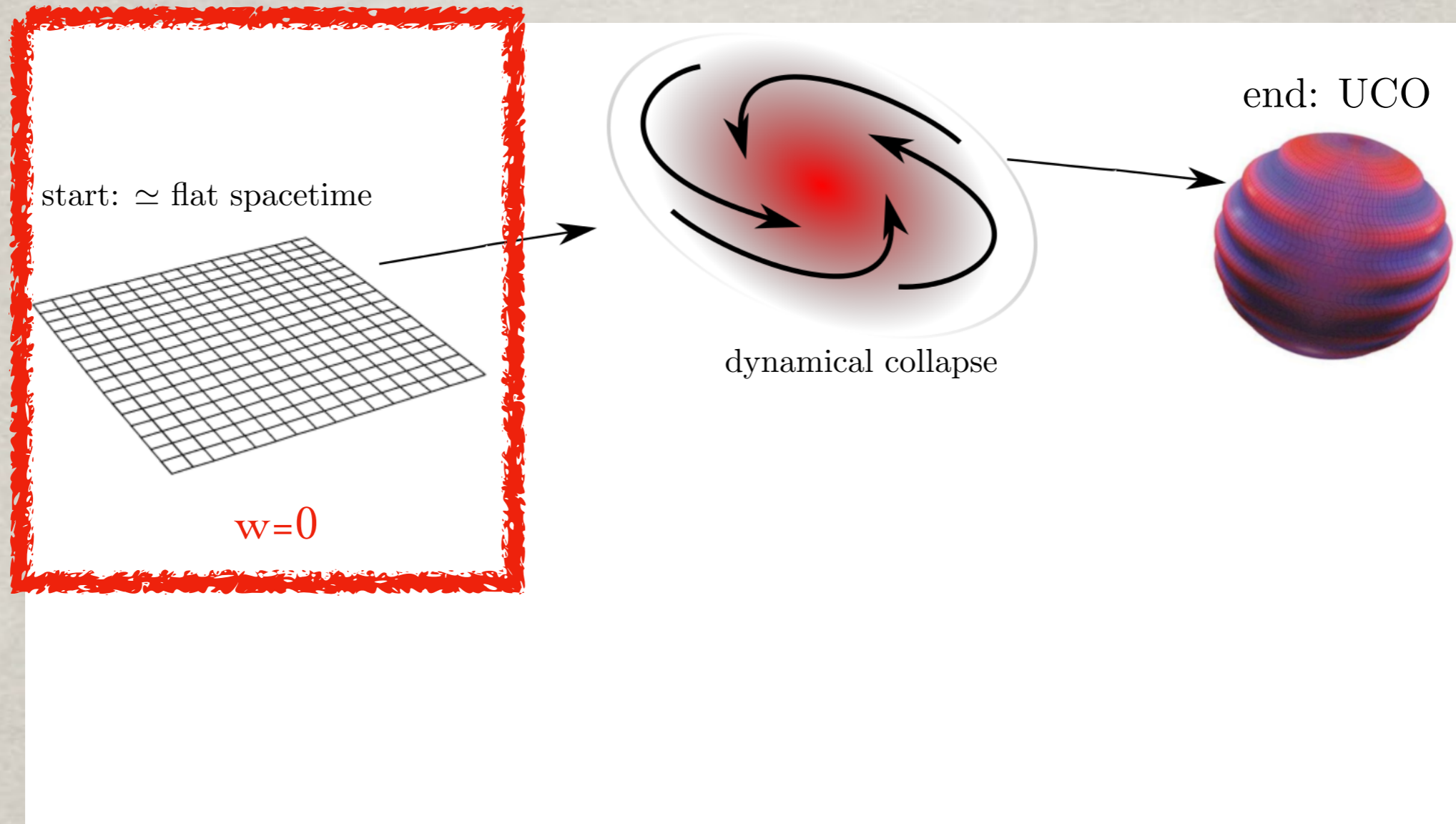
A generic dynamical picture



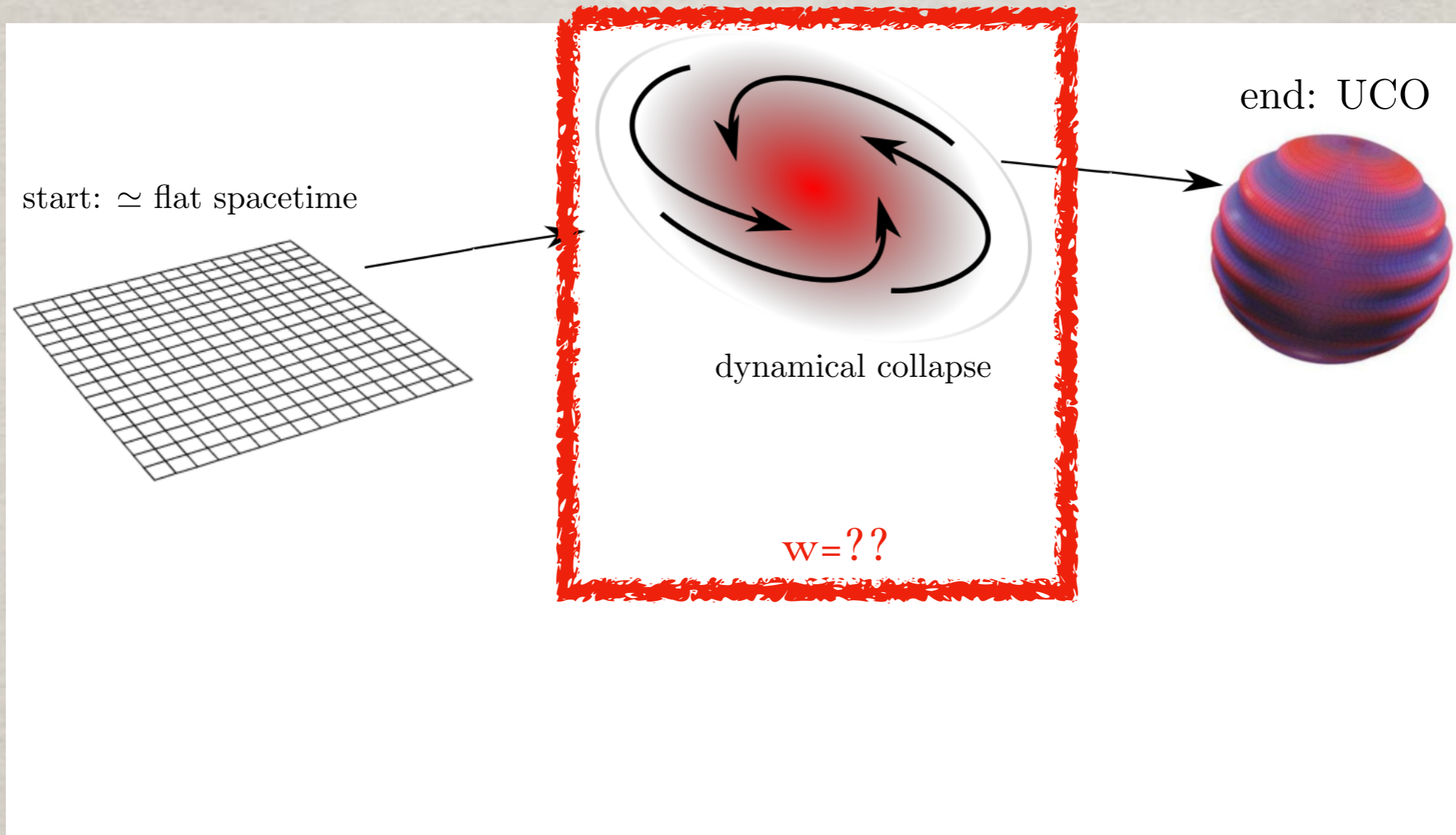
A generic dynamical picture



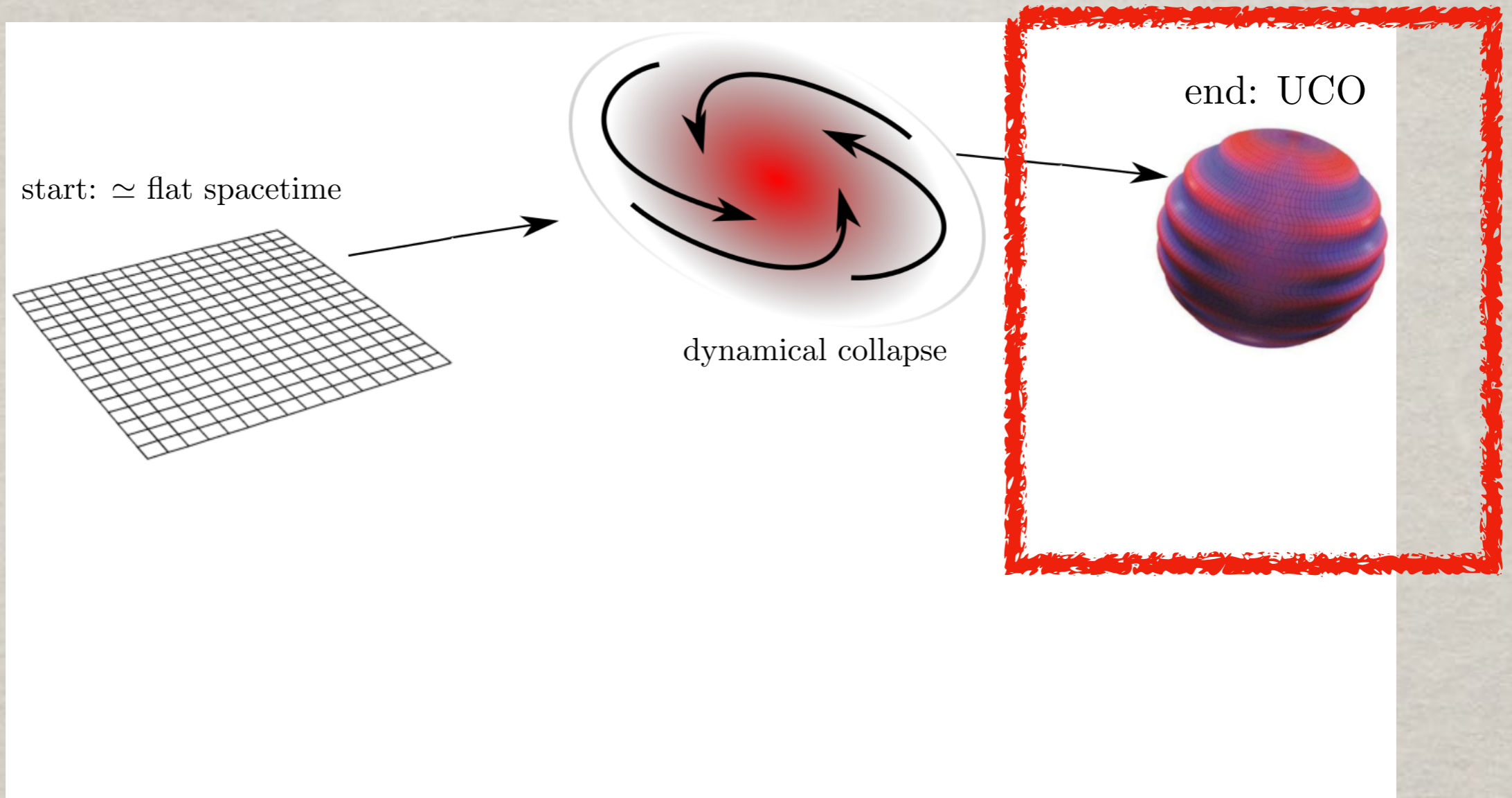
A generic dynamical picture



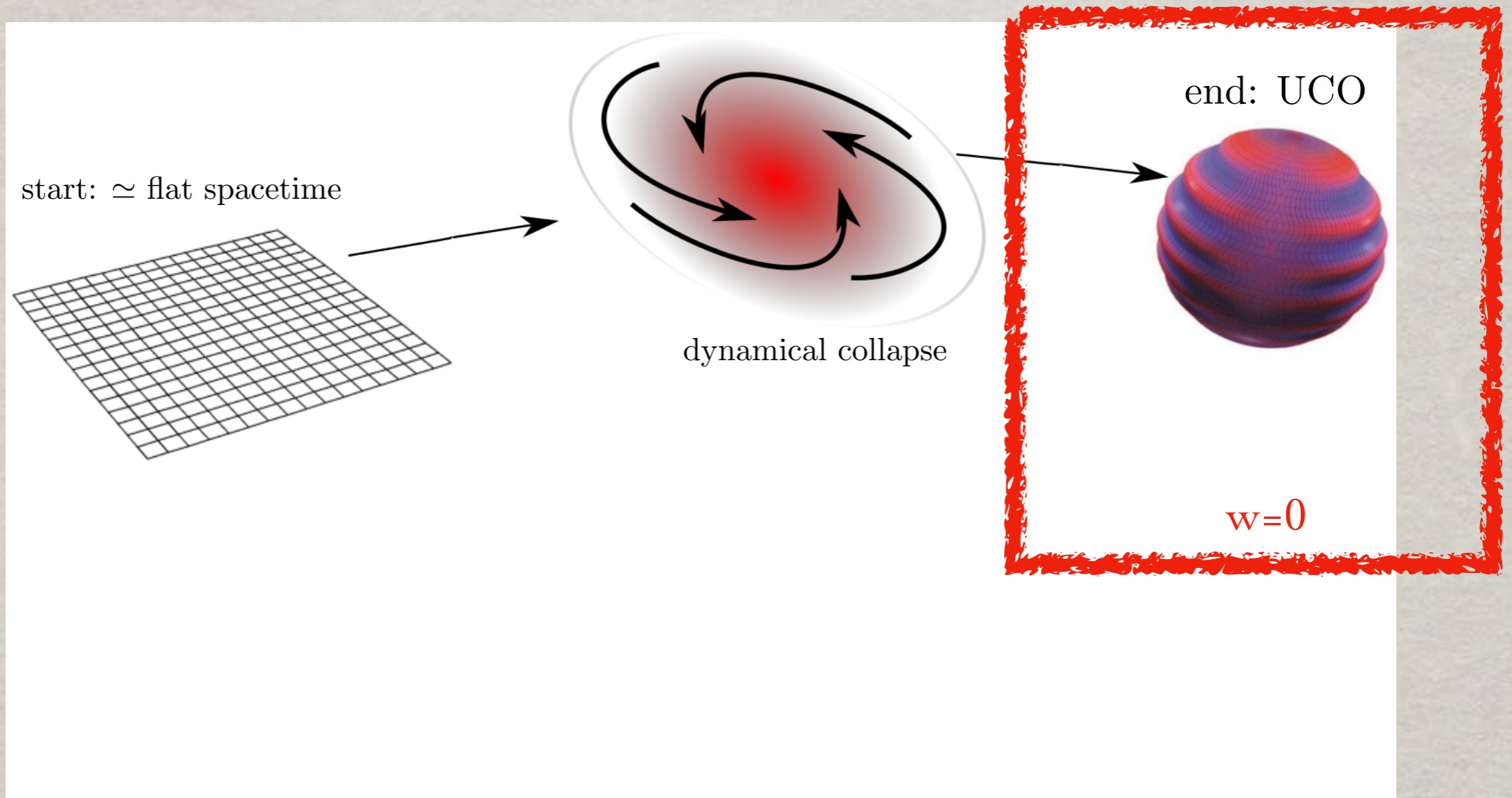
A generic dynamical picture



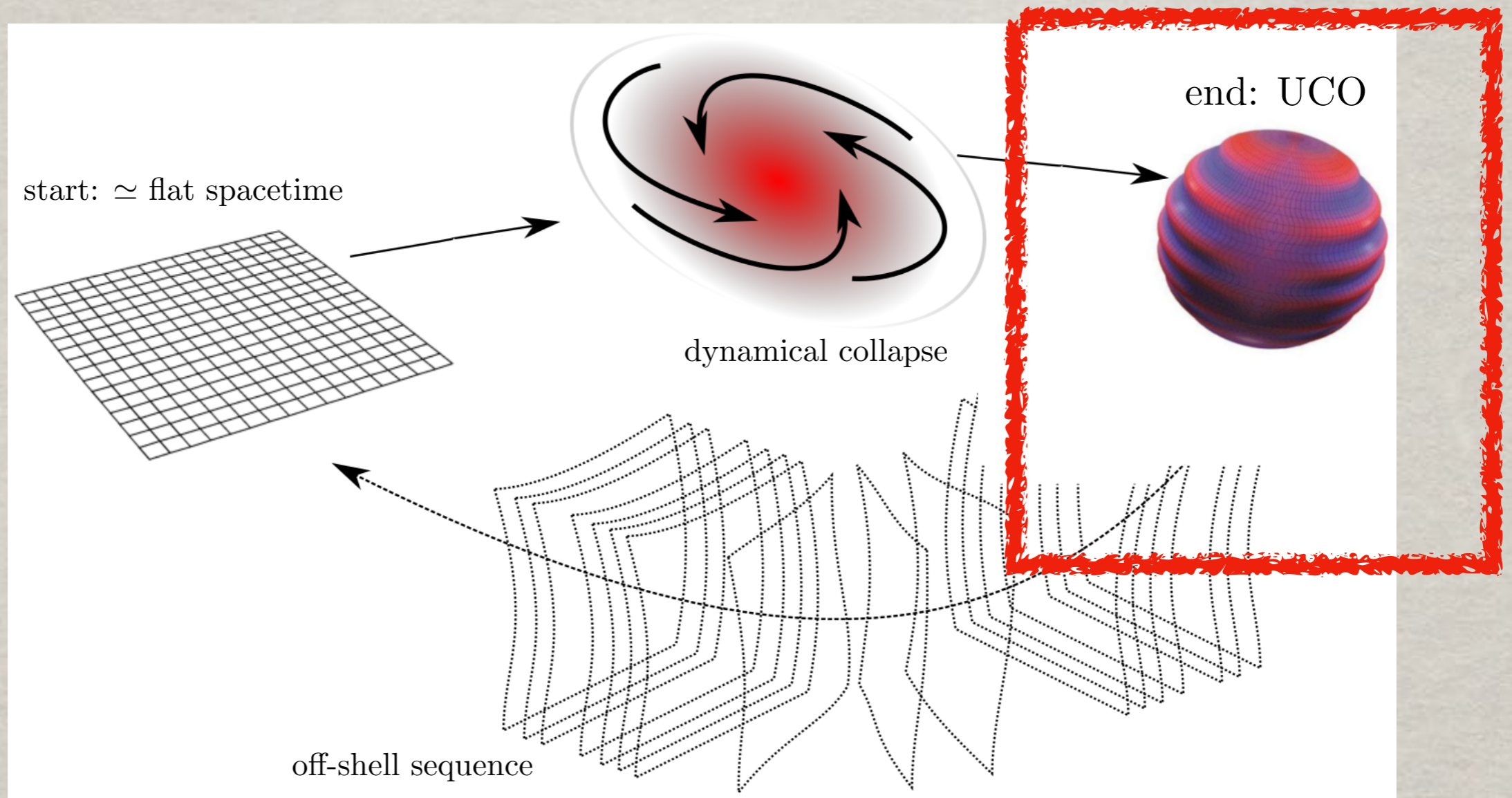
A generic dynamical picture



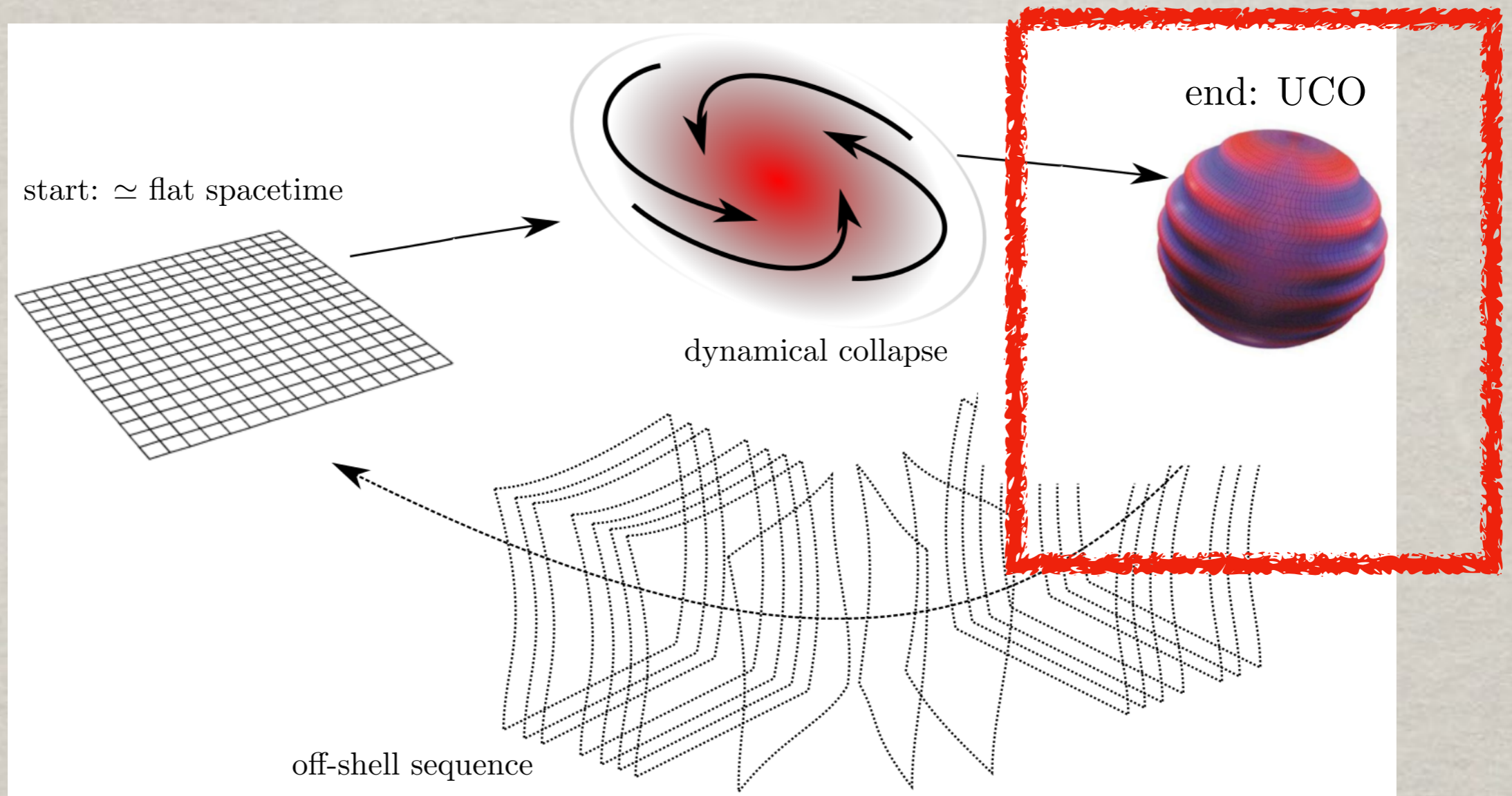
A generic dynamical picture



A generic dynamical picture

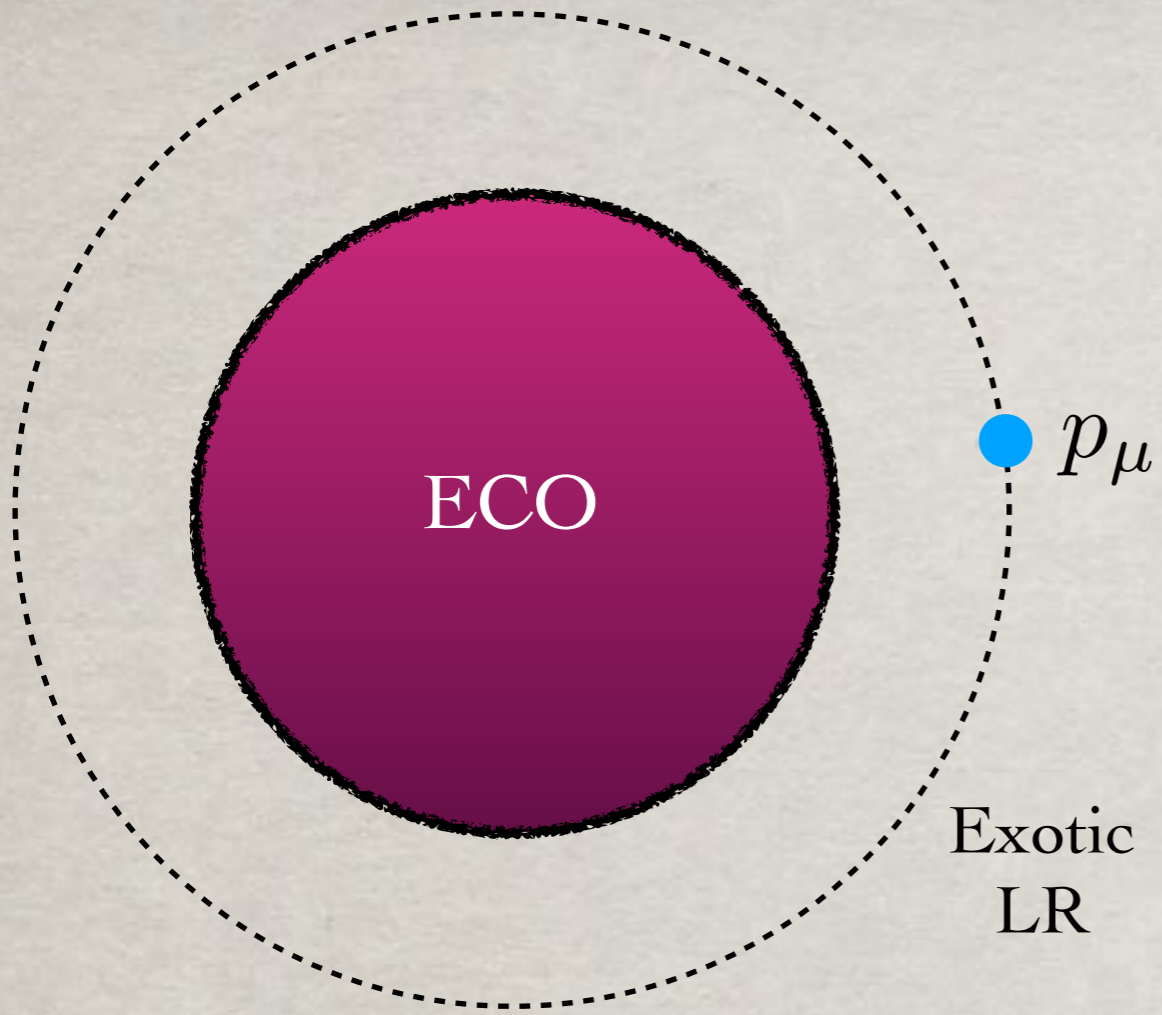


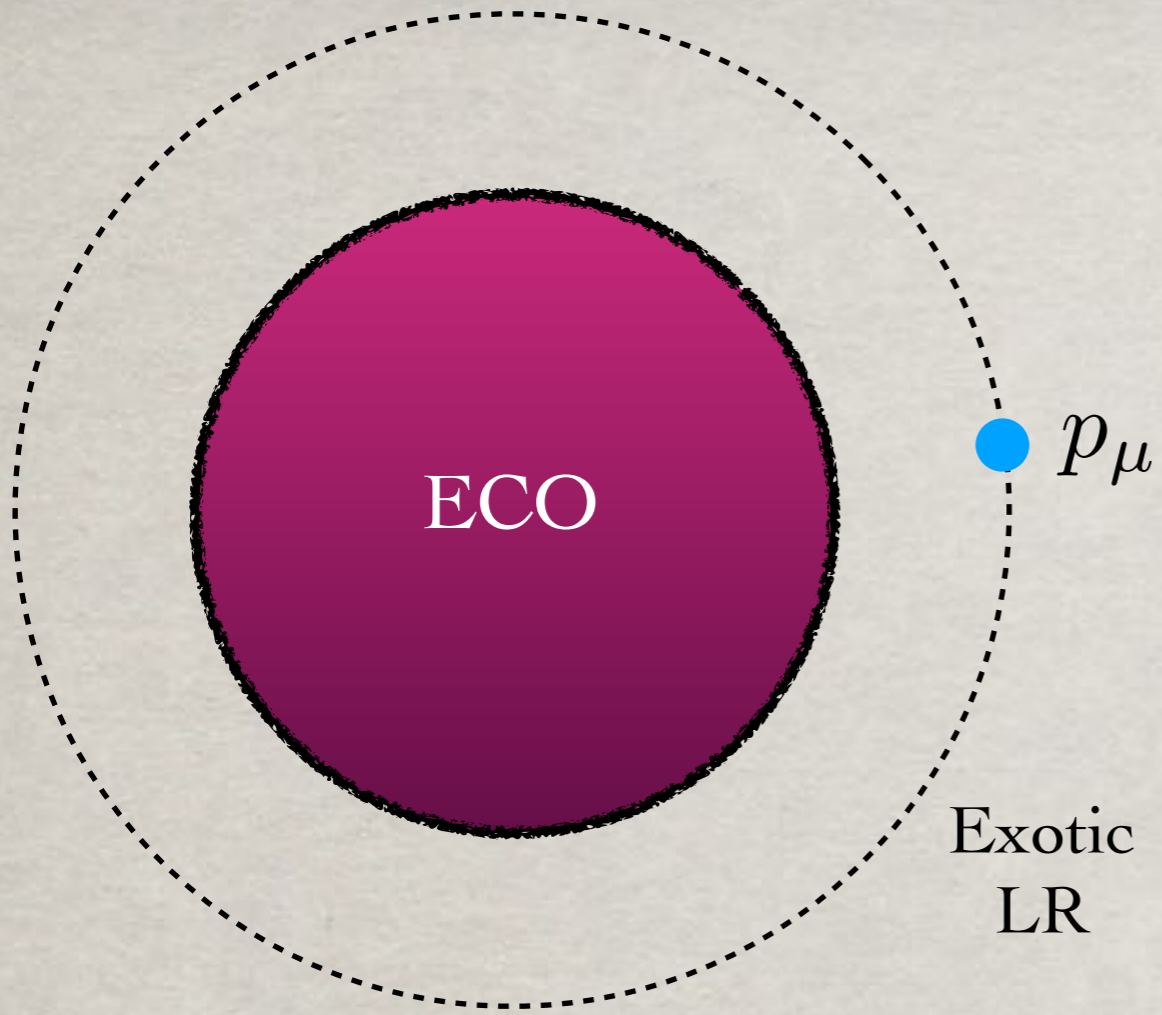
A generic dynamical picture

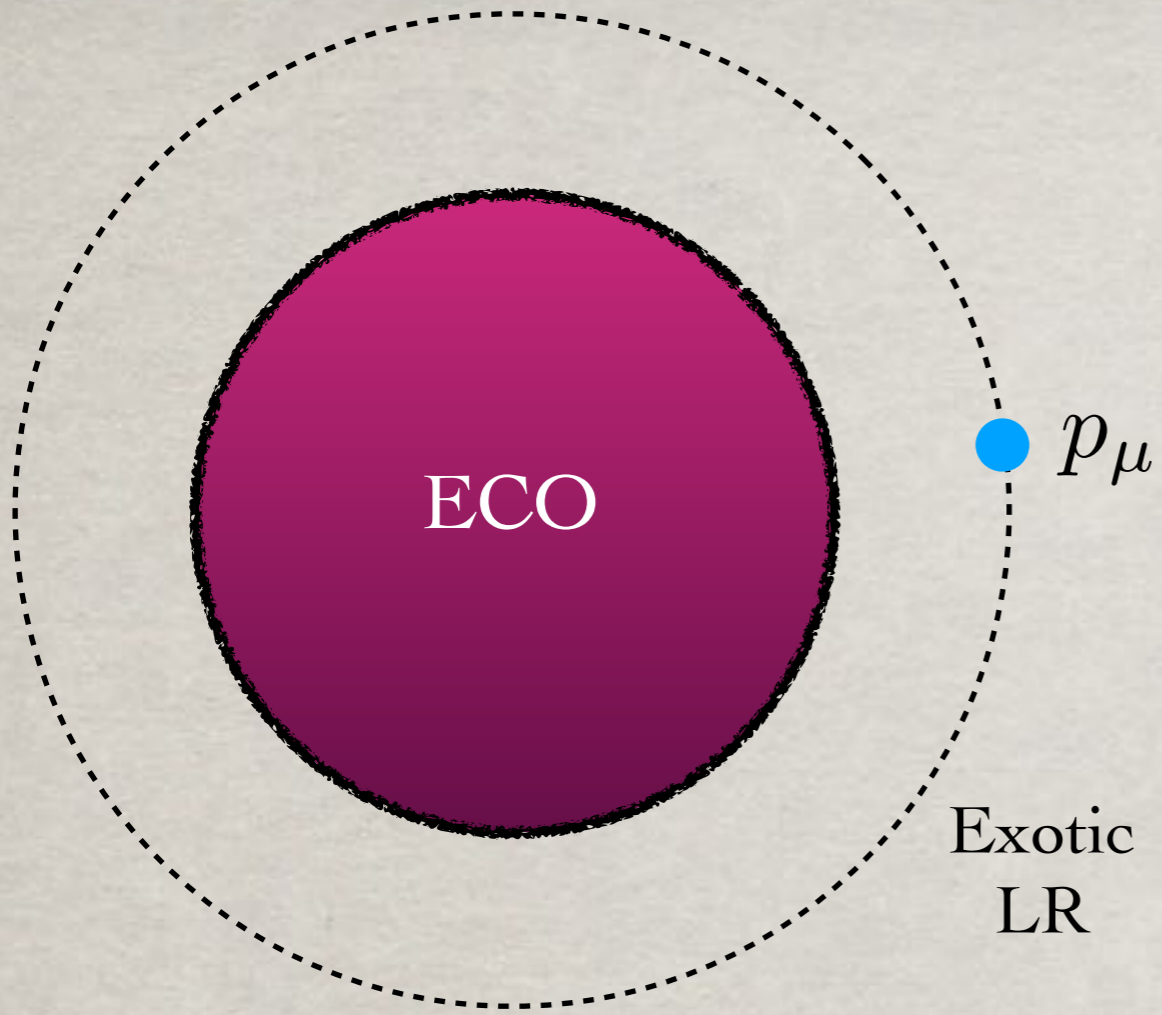


Punch line:

any (stationary, axi-symmetric, circular, topologically trivial) ECO that forms from an incomplete gravitational collapse which has a standard LR, must have an exotic one as well.

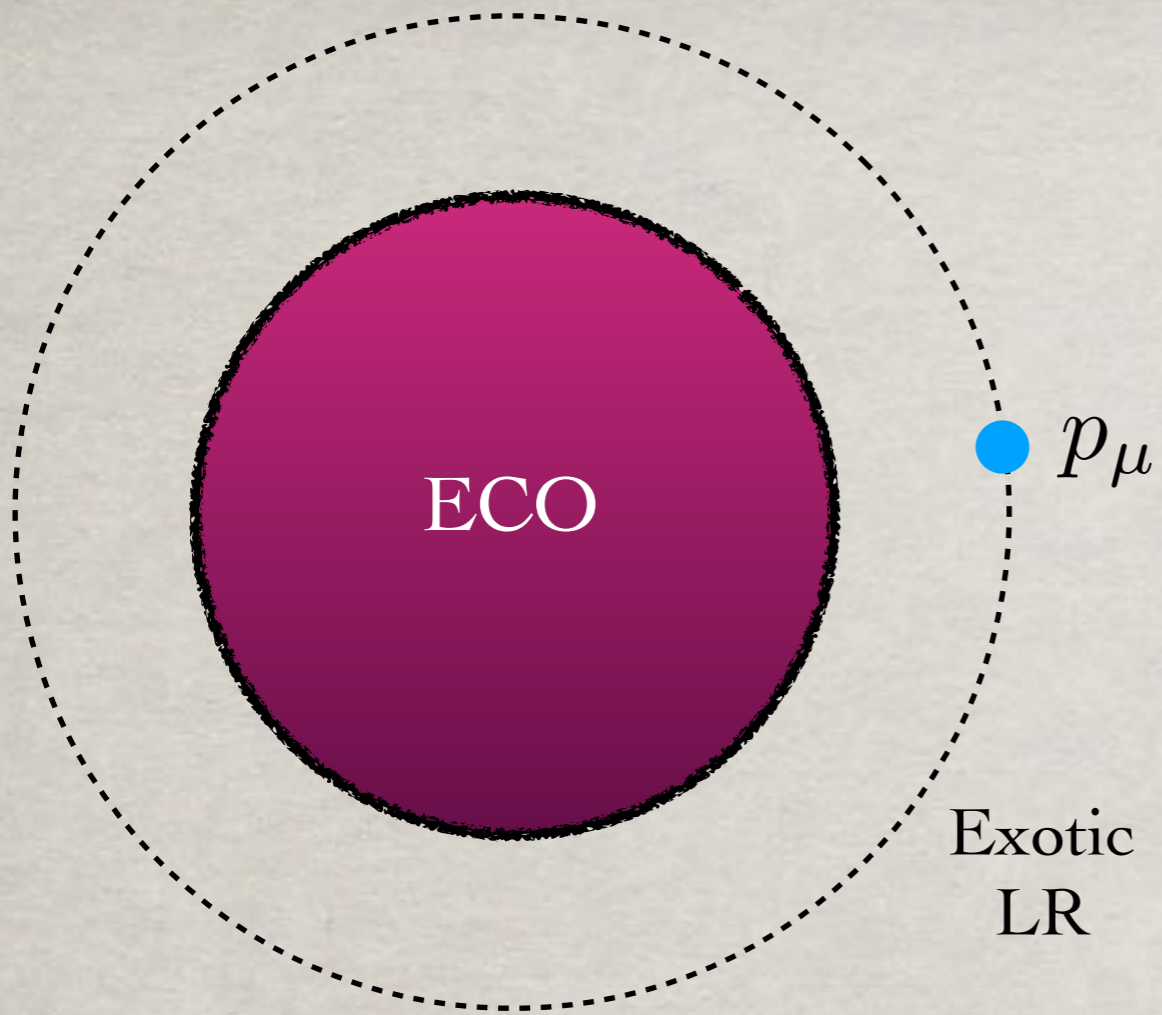






At the LR:

$$G^{\mu\nu} p_\mu p_\nu = \frac{1}{2} \partial_i \partial^i U$$

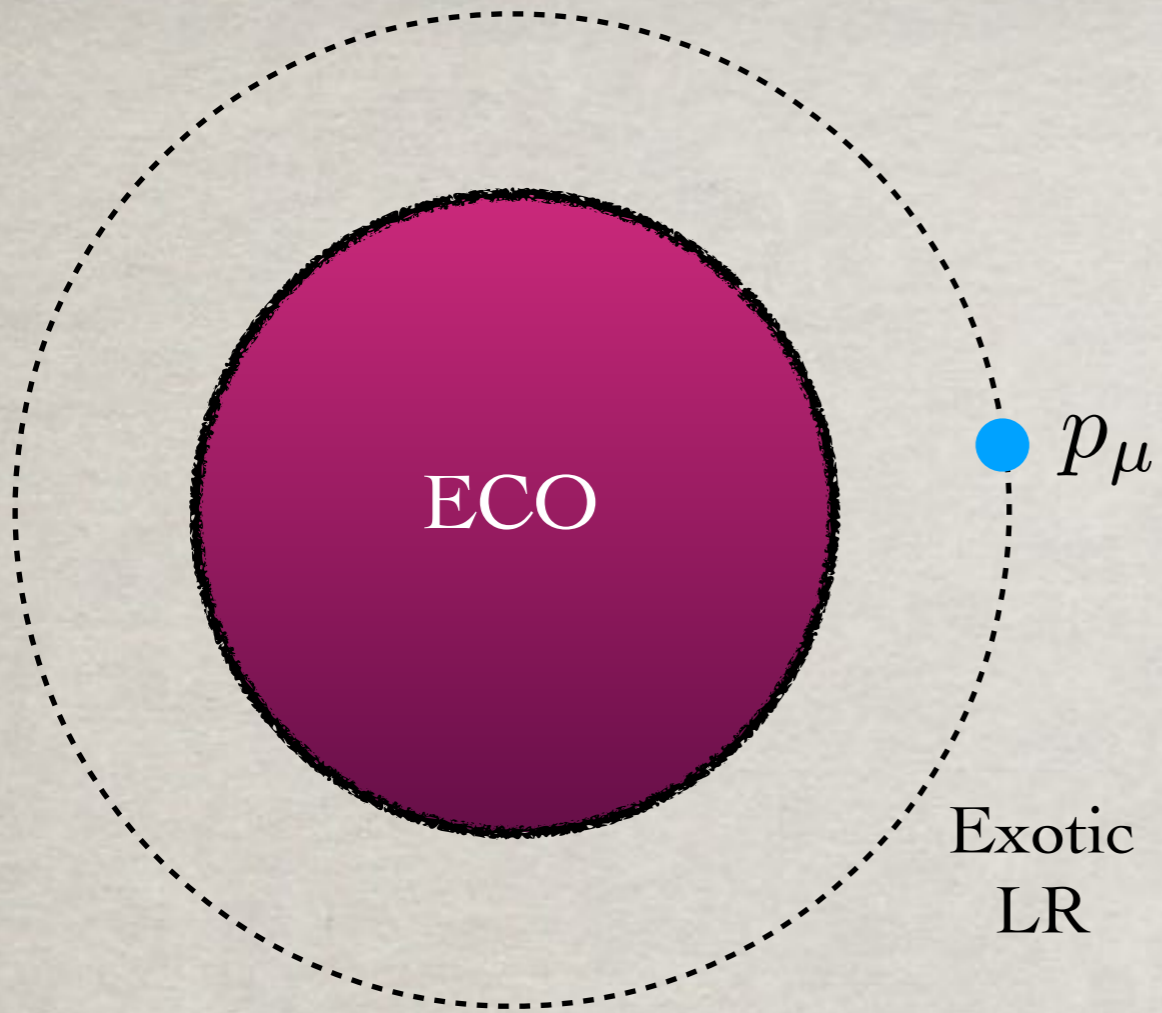


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Assuming GR:

$$T^{\mu\nu} p_\mu p_\nu = \frac{1}{16\pi} \partial_i \partial^i U$$



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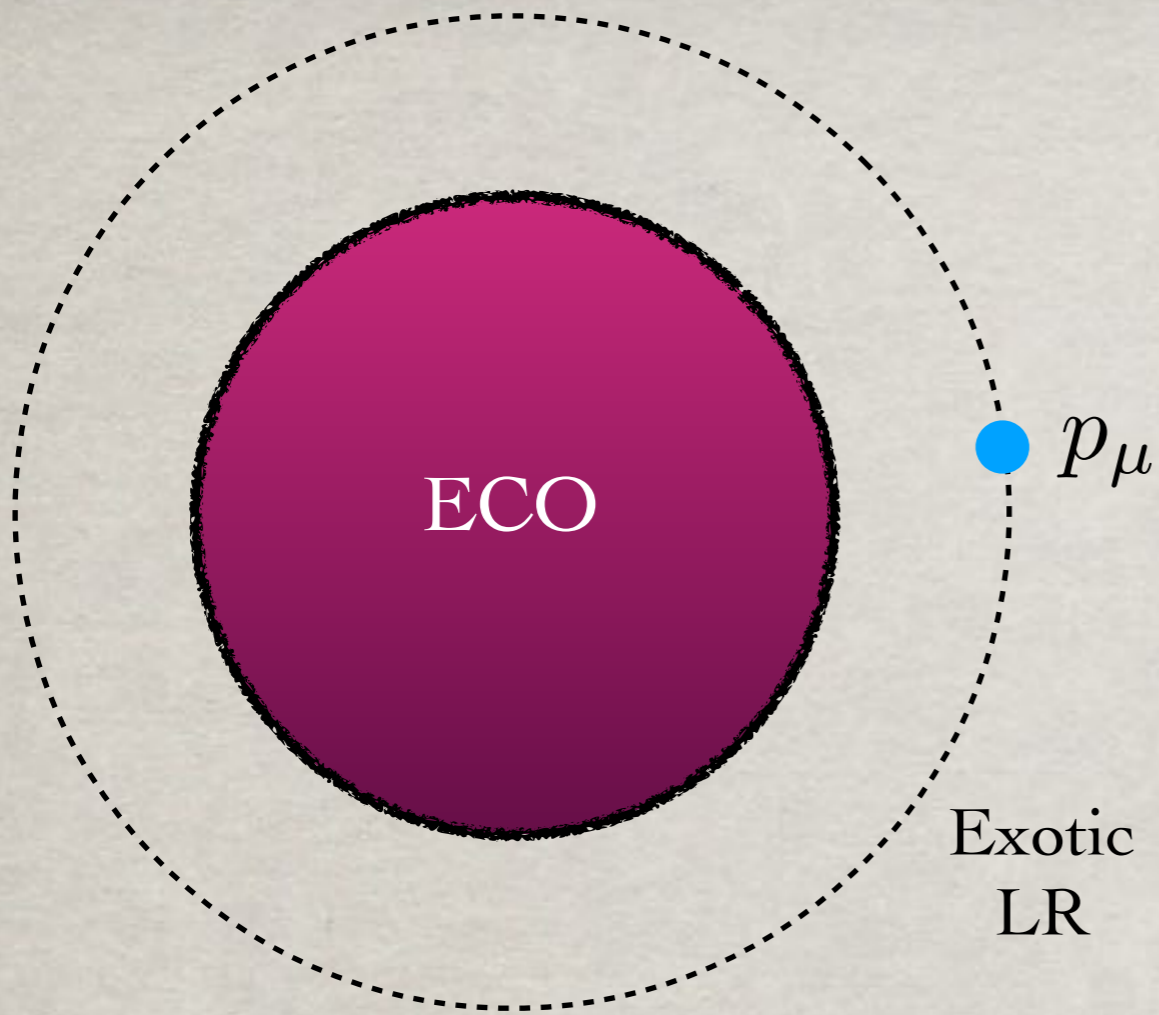
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If the LR is a local maximum of U , then the NEC is violated:

$$\partial_i \partial^i U < 0 \implies T^{\mu\nu} p_\mu p_\nu < 0$$



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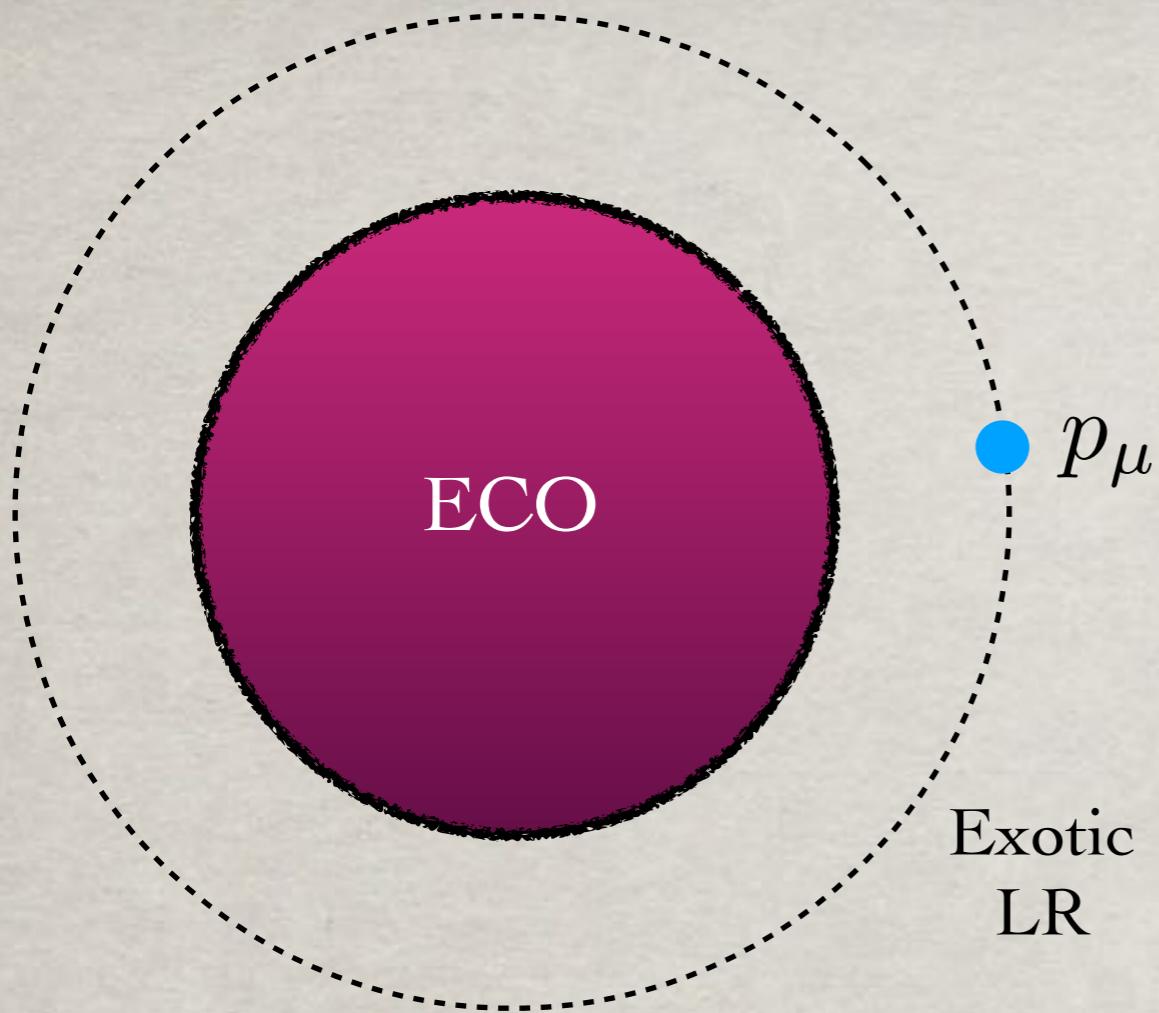
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If one imposes the null energy condition, the exotic one must be fully stable.



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If one imposes the null energy condition, the exotic one must be fully stable.

In modified gravity one may proceed similarly in terms of an effective energy-momentum tensor.

On the fate of the Light Ring instability

Plan:

- 1) The “*black hole (BH) hypothesis*”: BHs and light rings (LRs)
- 2) The “*exotic compact object (ECO) hypothesis*”: ECOs and LRs
- 3) The LR instability and an explicit test of its fate
- 4) Discussion and final thoughts

*“There is a crack in everything,
that is how the light gets in”*

L. Cohen

*“There is a crack in everything,
that is how the light gets in”*

L. Cohen

It has been suggested: [J. Keir, Class.Quant.Grav. 33 \(2016\) no.13, 135009](#); [Benomio, arXiv:1809.07795](#)

- Treating scalar linear waves as a model for nonlinear perturbations.
- Considering spherically symmetric spacetimes exhibiting stable Light Rings.
- Showing that linear waves cannot (uniformly) decay faster than logarithmically.
- Such slow decay is highly suggestive of a *nonlinear instability*.

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- Such slow decay is highly suggestive of a *nonlinear instability*.

The existence of a stable light ring is a (potentially) generic obstruction for any ultracompact ECO that can form from classical GR dynamics.

A good testing ground is an ECO model that:

- Is free of other instabilities (perturbative, ergoregion, ...)
- Is dynamically robust (prone to non-linear evolutions)

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- Is free of other instabilities (perturbative, ergoregion, ...)
- Is dynamically robust (prone to non-linear evolutions)

Bosonic stars



An artists impression of the collision of two bosonic stars

Credits: Nicolás Sanchis-Gual y Rocío García Souto

Bosonic stars

Bosonic stars

Check list:

1) Appear in a well motivated and consistent physical model;

Boson stars: General Relativity minimally coupled to massive bosonic fields

2) Have a dynamical formation mechanism;

Gravitational cooling

3) Be (sufficiently) stable.

Some solutions are stable

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Check list:

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2) Have a dynamical formation mechanism;

Gravitational cooling

3) Be (sufficiently) stable.

Some solutions are stable

Subtleties:

- scalar rotating stars are unstable in the simplest model;

Sanchis-Gual, Di Giovanni, Zilhão, CH, P. Cerda-Duran, Font and Radu, Phys. Rev. Lett. 123 (2019) 221101

- The initial value problem may become ill-defined for self-interacting Proca fields.

Clough et al. ArXiv:2204.10868; Coates and Ramazanoglu, ArXiv:2205.07784; You and Zhang ArXiv:2204.11324

A testing ground for the LR (trapping) instability

PHYSICAL REVIEW LETTERS **130**, 061401 (2023)

Editors' Suggestion


Featured in Physics

Exotic Compact Objects and the Fate of the Light-Ring Instability

Pedro V. P. Cunha¹, Carlos Herdeiro¹, Eugen Radu¹, and Nicolas Sanchis-Gual^{2,1}

¹*Departamento de Matemática da Universidade de Aveiro and Centre for Research and Development in Mathematics and Applications (CIDMA), Campus de Santiago, 3810-183 Aveiro, Portugal*

²*Departamento de Astronomía y Astrofísica, Universitat de València, Dr. Moliner 50, 46100 Burjassot (València), Spain*

 (Received 12 August 2022; accepted 6 December 2022; published 7 February 2023)

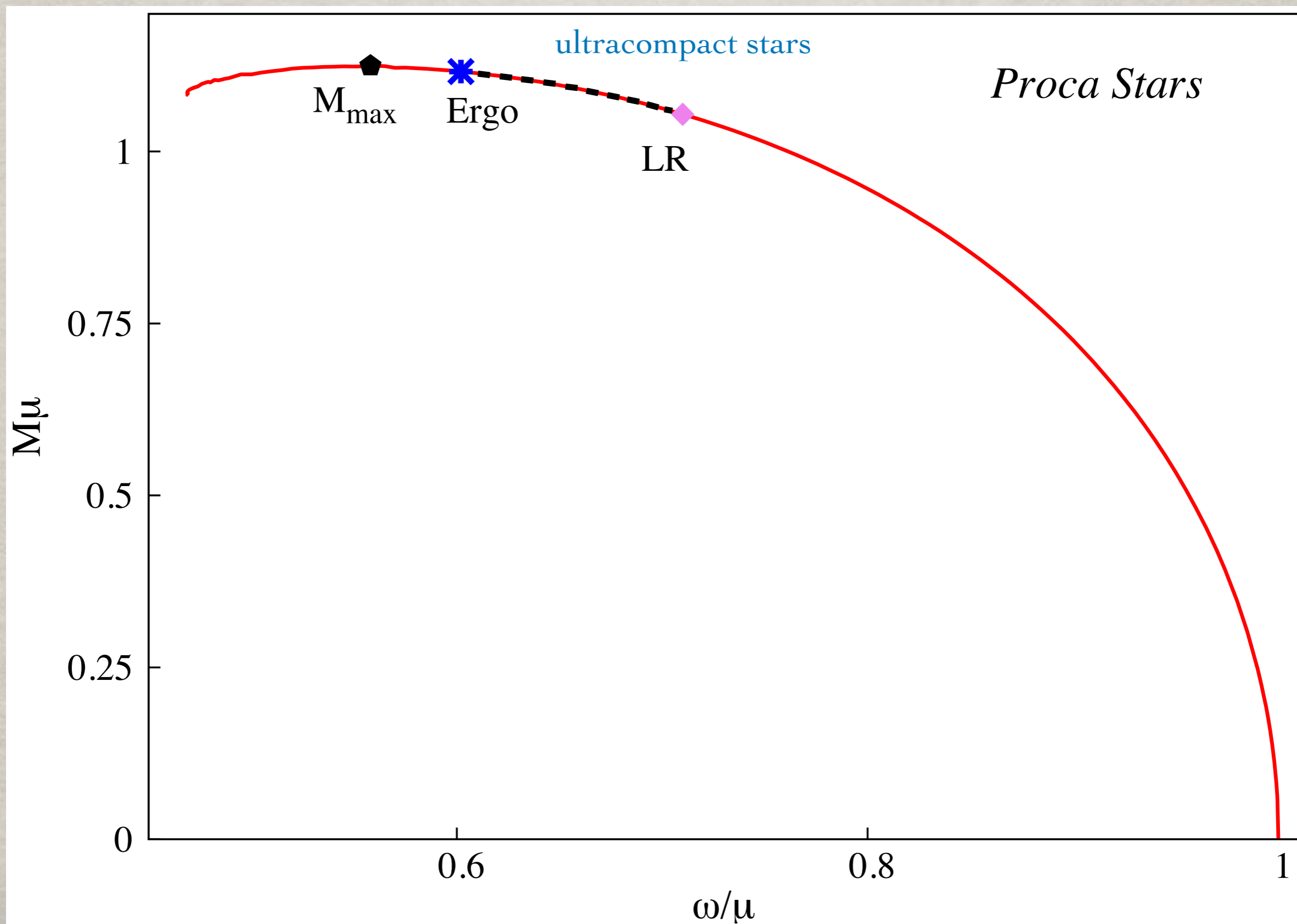
Ultracompact objects with light rings (LRs) but without an event horizon could mimic black holes (BHs) in their strong gravity phenomenology. But are such objects dynamically viable? Stationary and axisymmetric ultracompact objects that can form from smooth, quasi-Minkowski initial data must have at least one *stable* LR, which has been argued to trigger a spacetime *instability*; but its development and fate have been unknown. Using fully nonlinear numerical evolutions of ultracompact bosonic stars free of any other known instabilities and introducing a novel adiabatic effective potential technique, we confirm the LRs triggered instability, identifying two possible fates: migration to nonultracompact configurations or collapse to BHs. In concrete examples we show that typical migration (collapse) timescales are not larger than $\sim 10^3$ light-crossing times, unless the stable LR potential well is very shallow. Our results show that the LR instability is effective in destroying horizonless ultracompact objects that could be plausible BH imitators.

DOI: [10.1103/PhysRevLett.130.061401](https://doi.org/10.1103/PhysRevLett.130.061401)

$$\mathcal{L} = \frac{R}{16\pi G} + \mathcal{L}_m$$

$$\mathcal{L}_m = -\frac{1}{4}\mathcal{F}_{\alpha\beta}\bar{\mathcal{F}}^{\alpha\beta} - \frac{1}{2}\mu^2\mathcal{A}_\alpha\bar{\mathcal{A}}^\alpha$$

Spinning (mini)-Proca stars



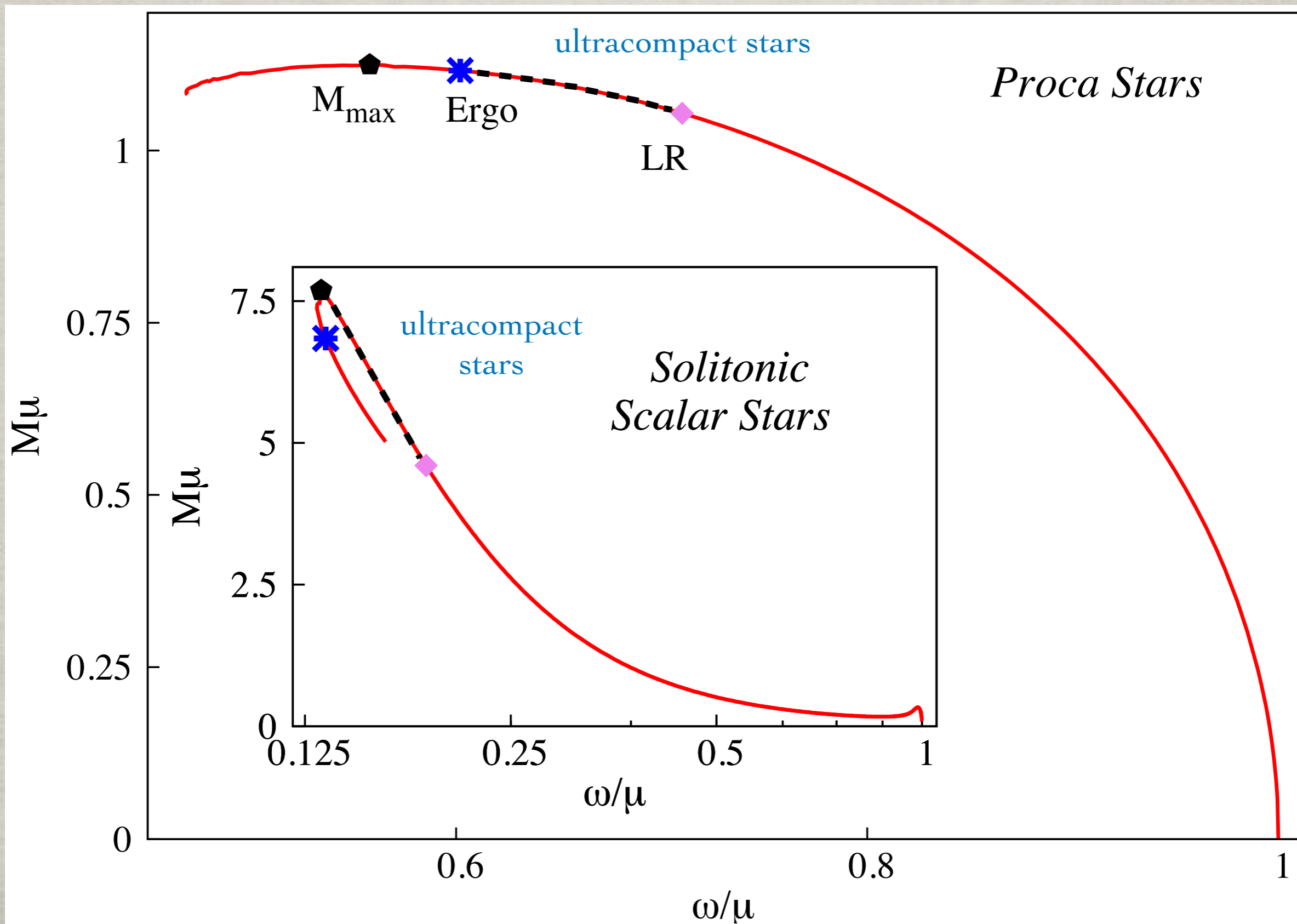
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$$\mathcal{L}_m = -\partial_\alpha\Phi\partial^\alpha\bar{\Phi} - \mu^2|\Phi|^2\left[1 - \frac{2|\Phi|^2}{\sigma_0^2}\right]^2$$

Spinning solitonic scalar stars



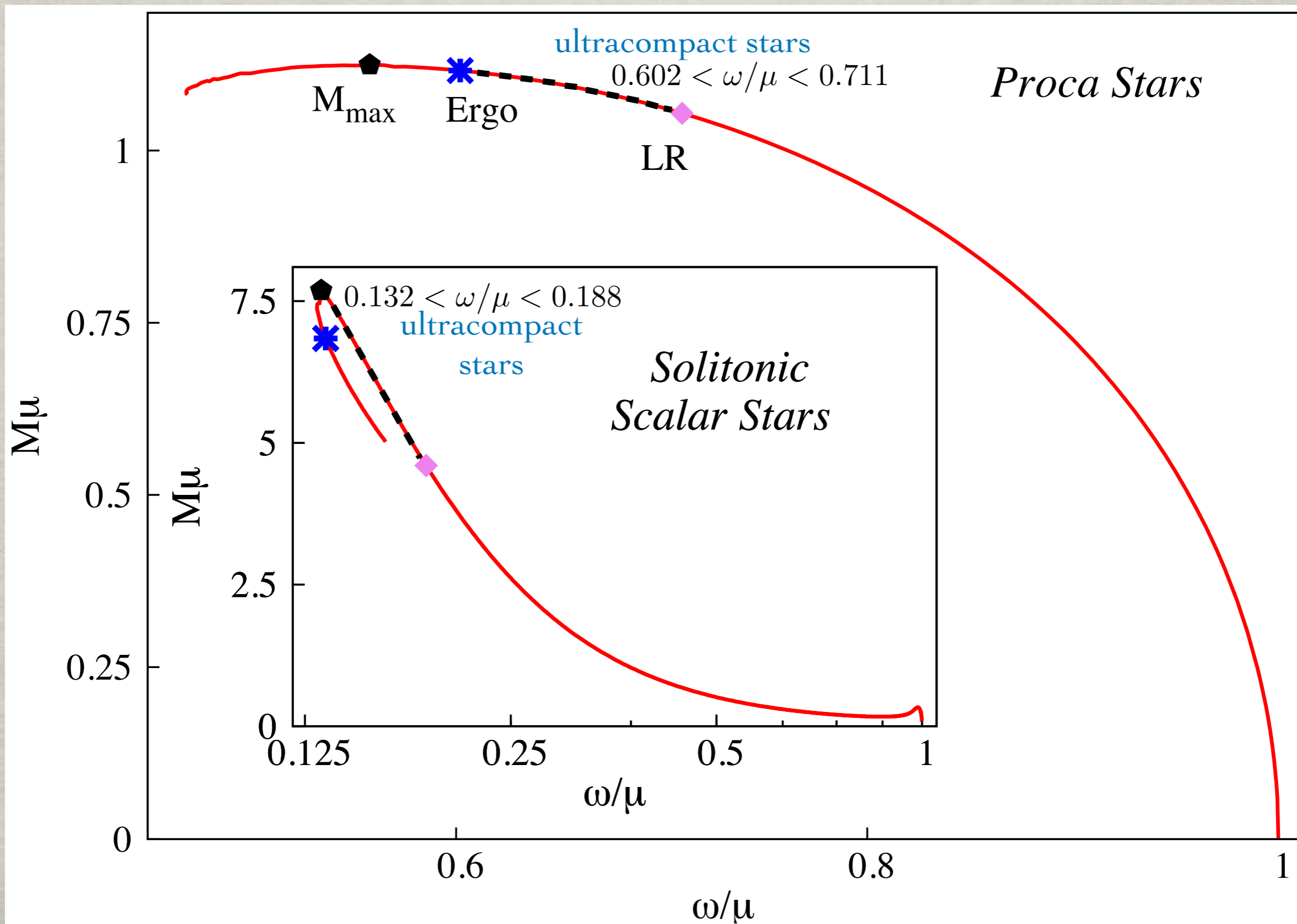
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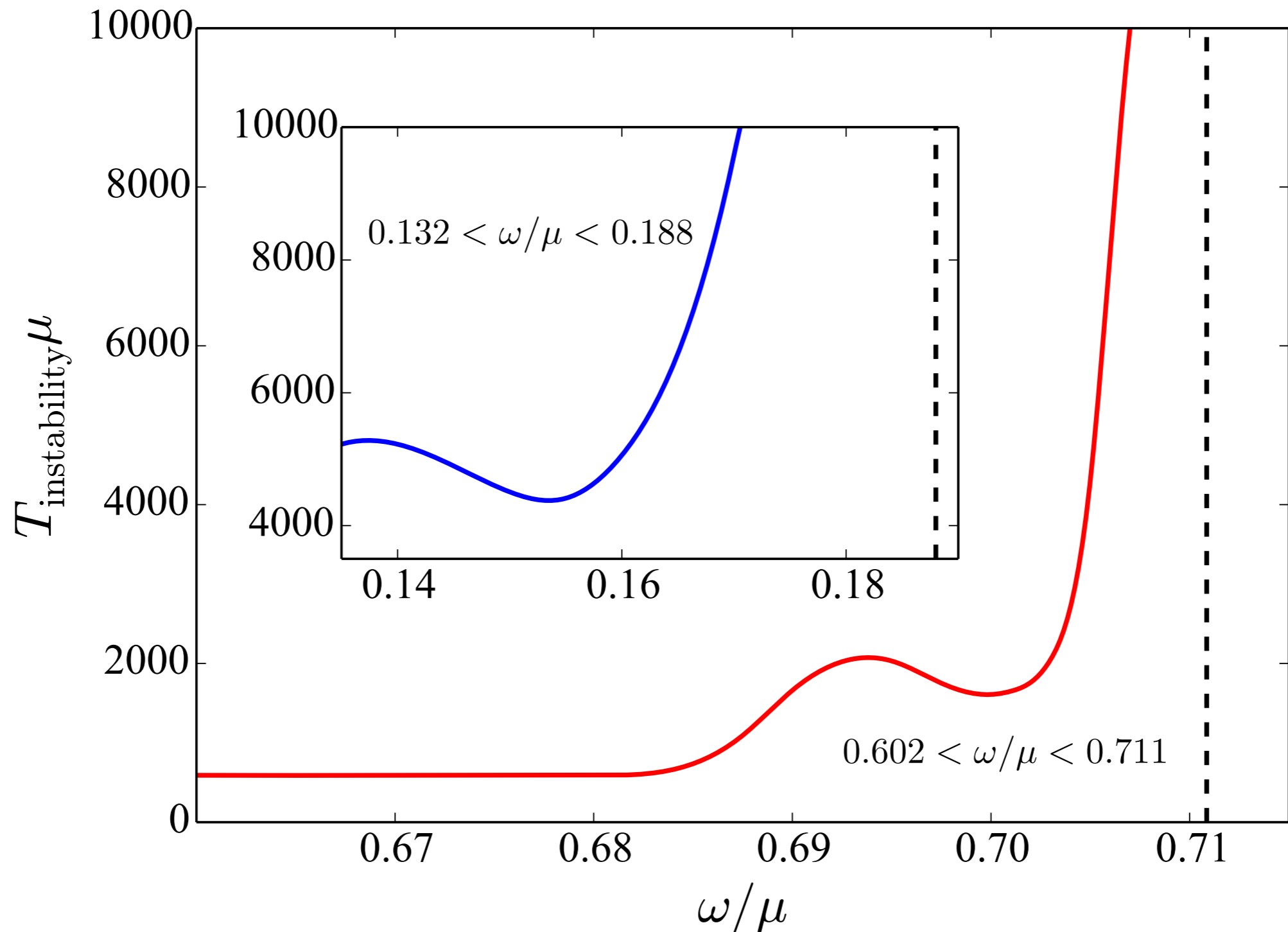
Spinning solitonic scalar stars



Now we perform fully non-linear numerical relativity evolutions

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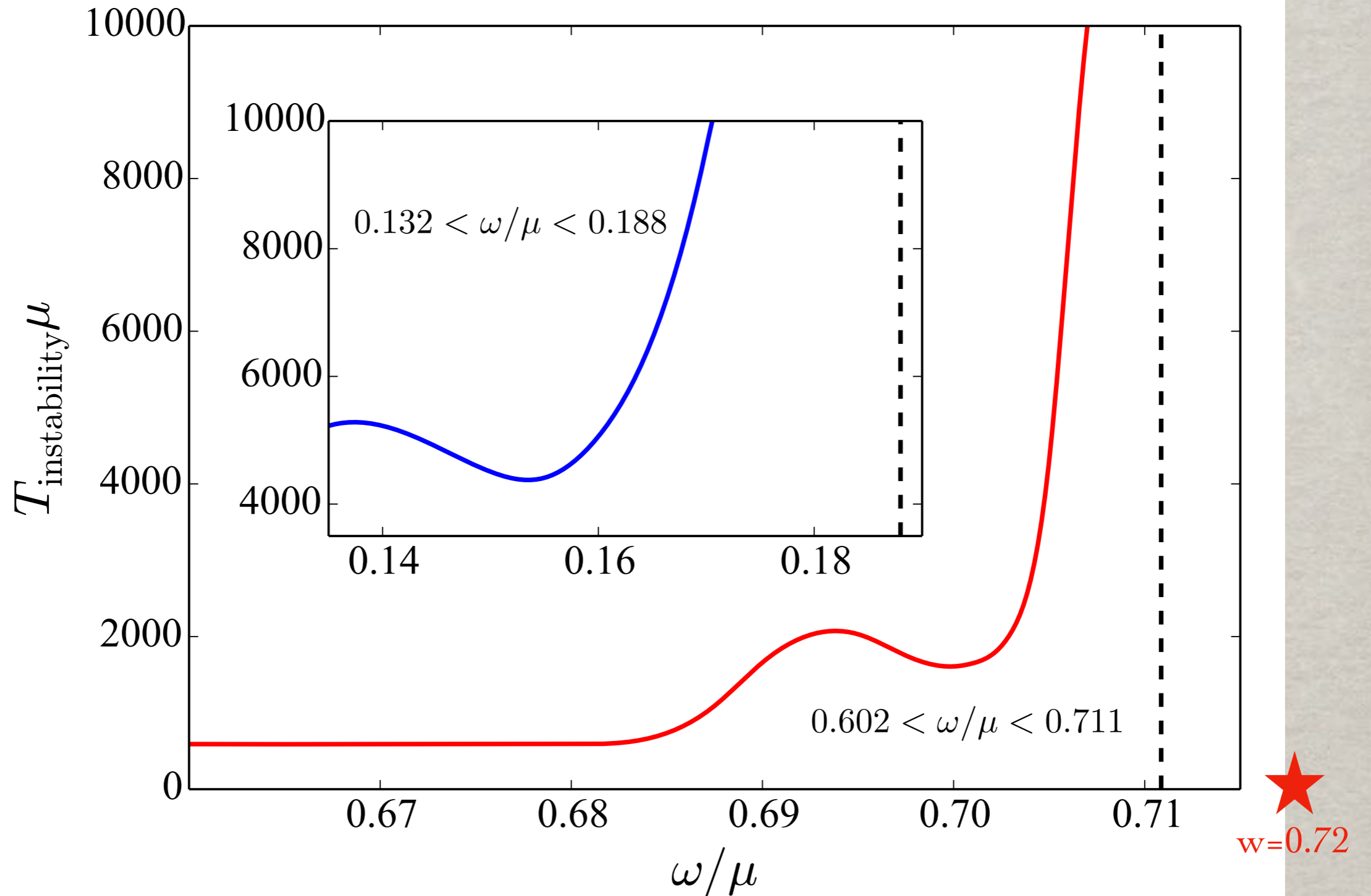
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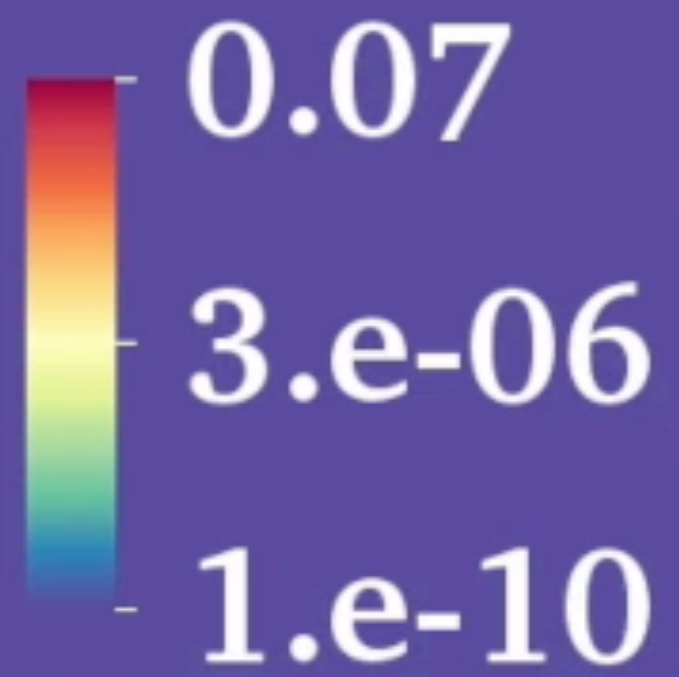
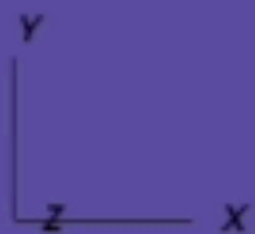
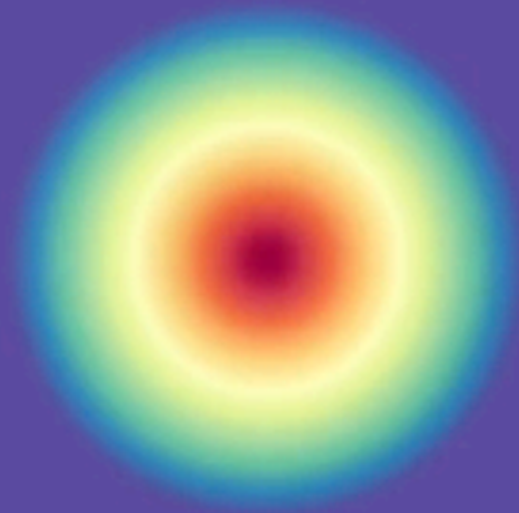
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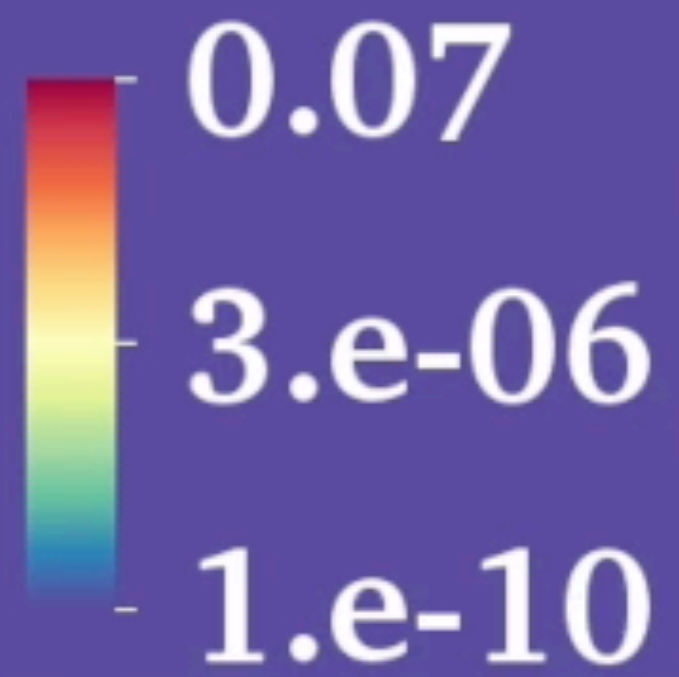
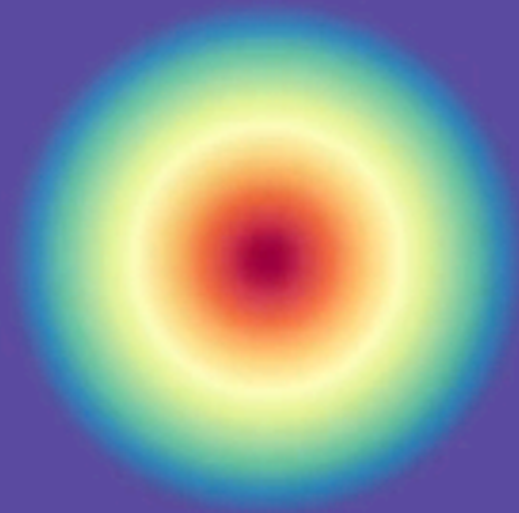
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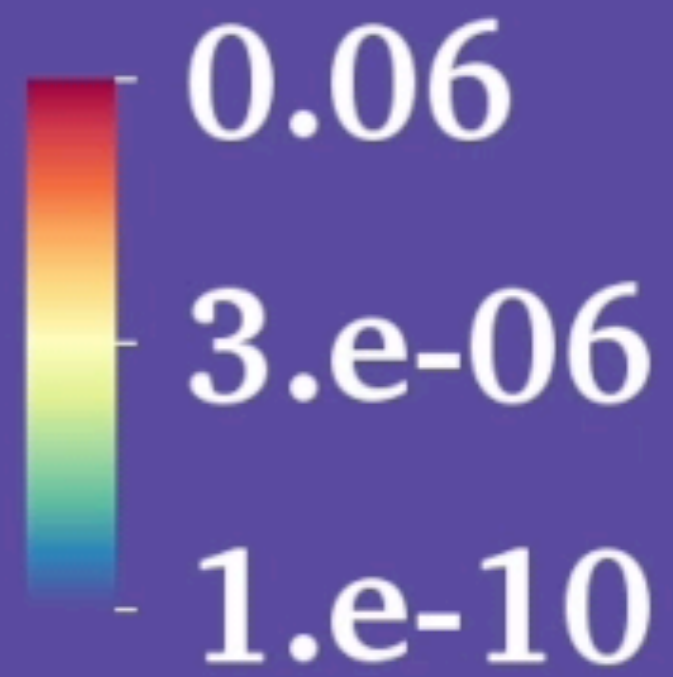
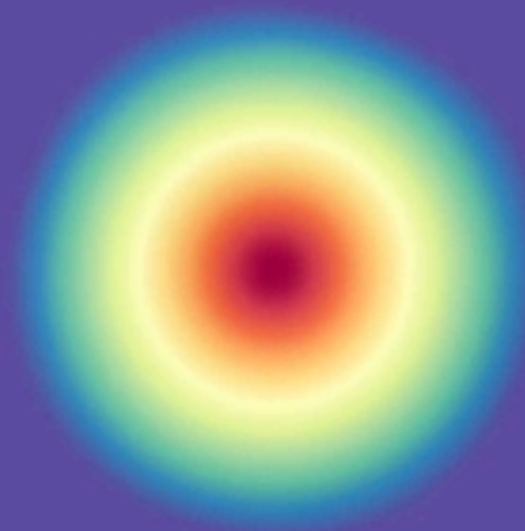
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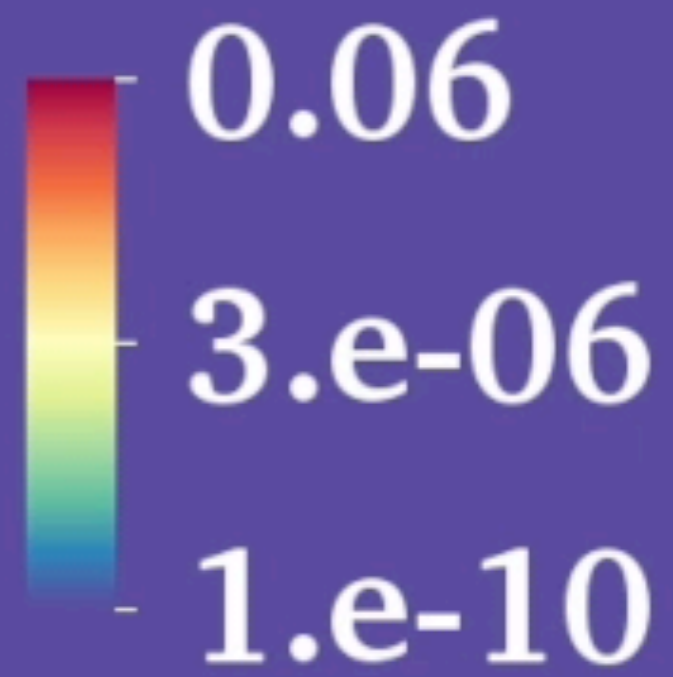
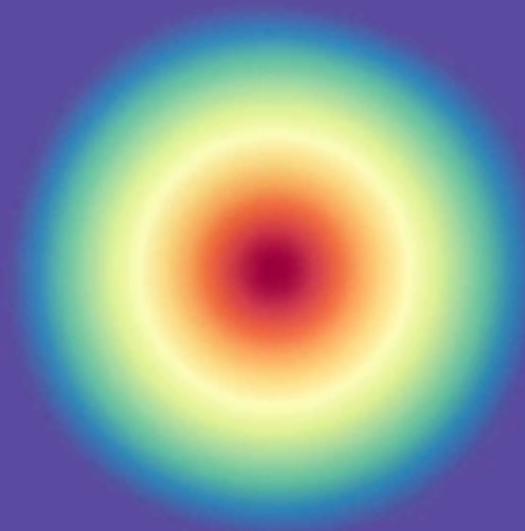
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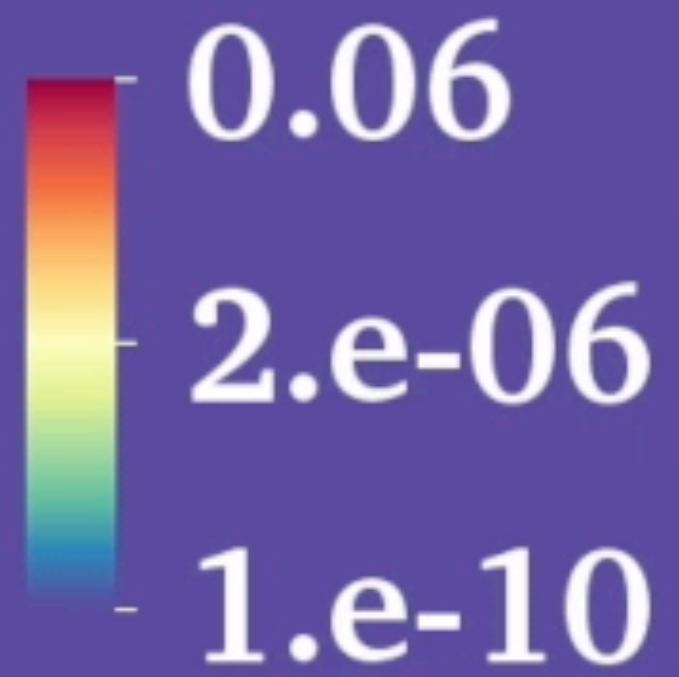
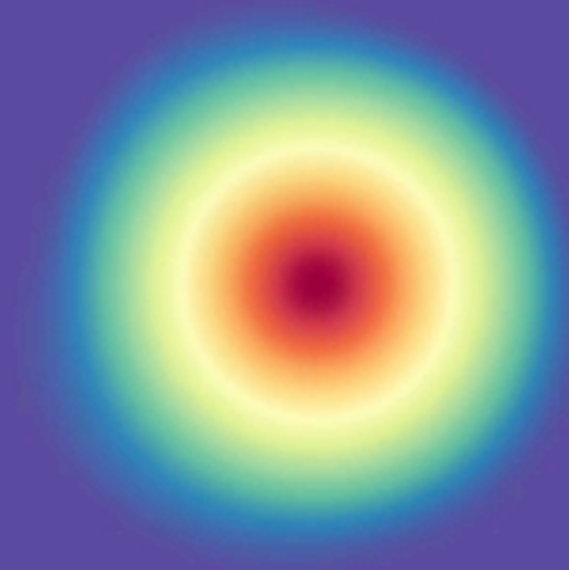
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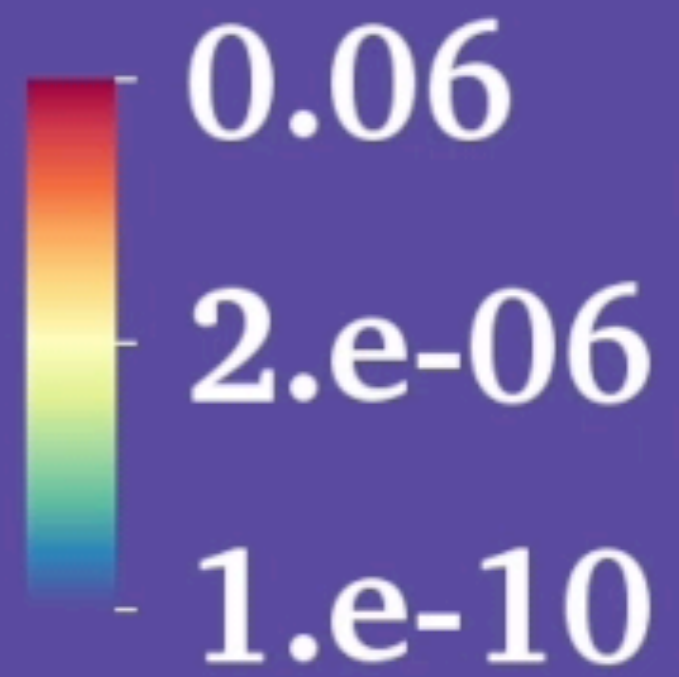
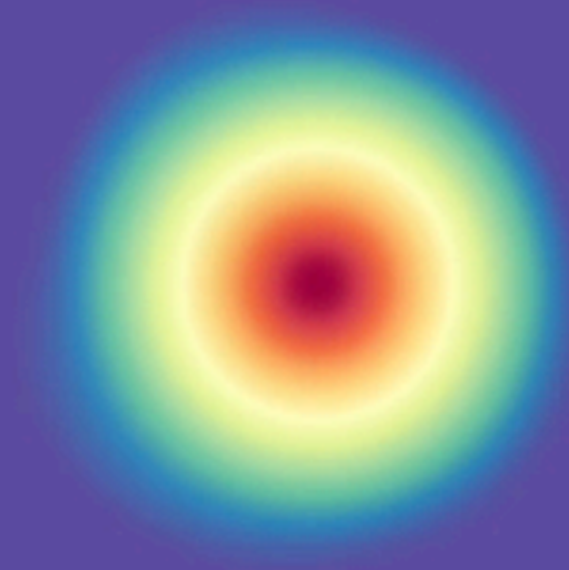
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Time = 5836.8



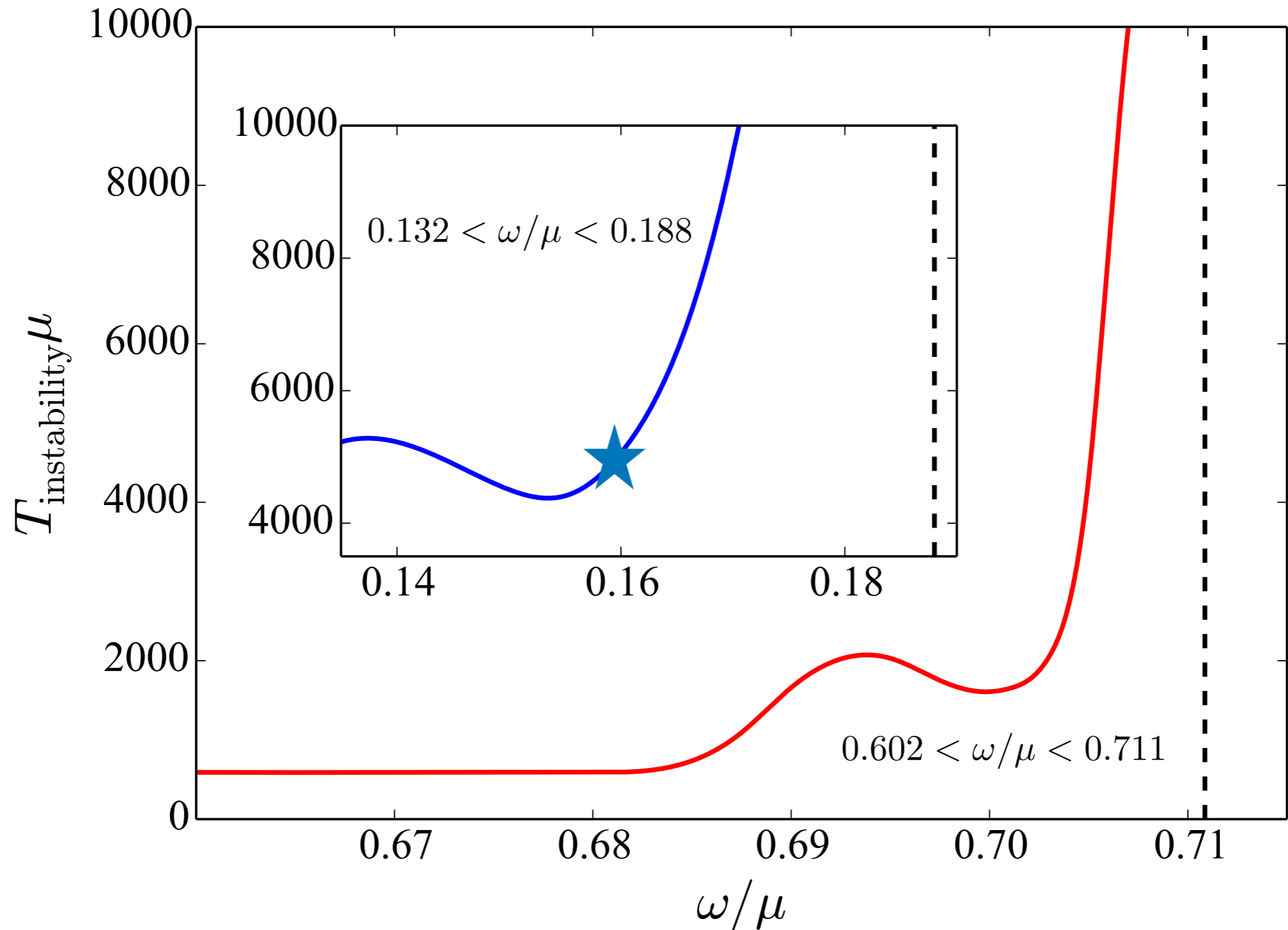
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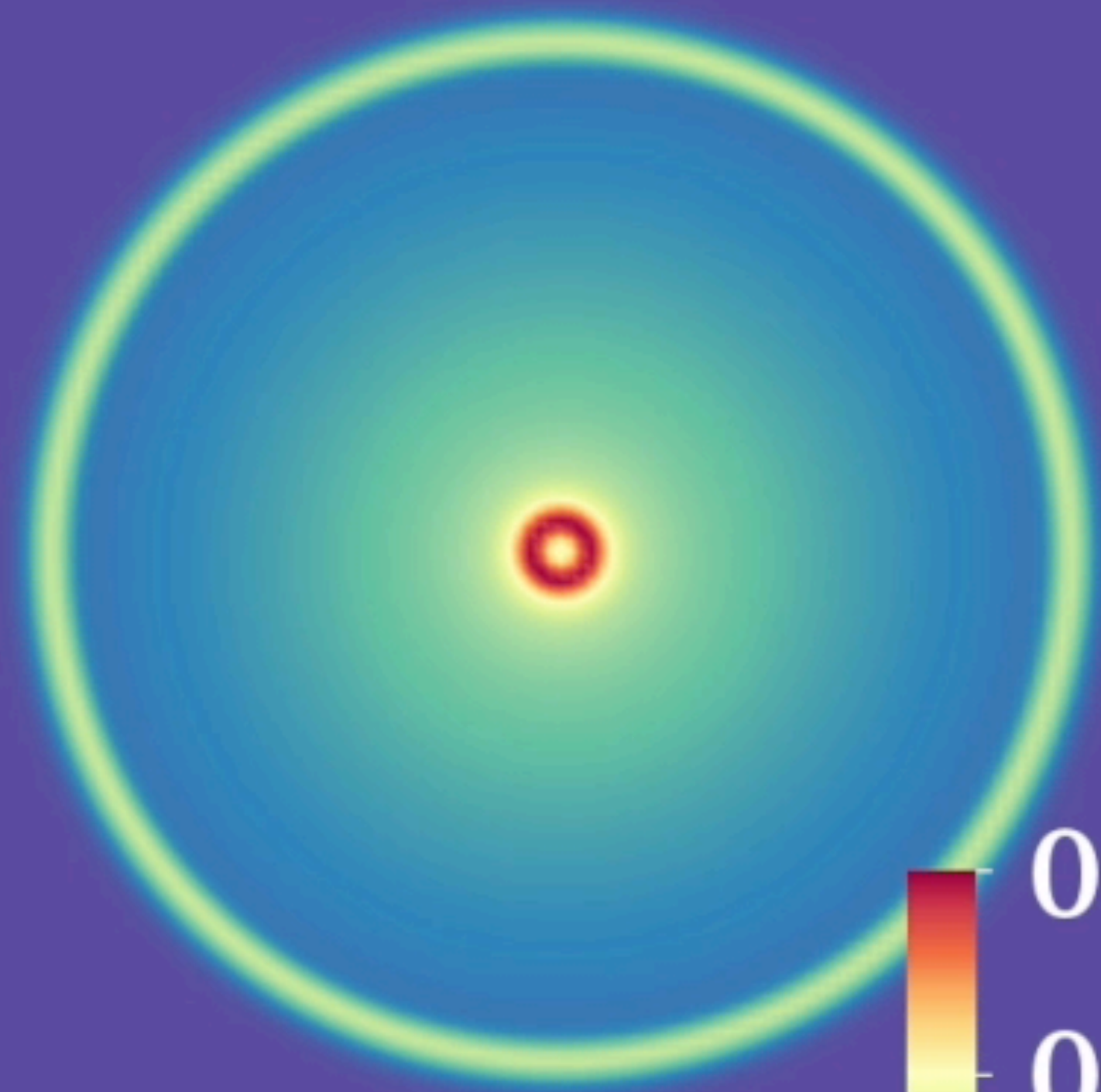
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<http://gravitation.web.ua.pt/node/1740>



Time = 61.44



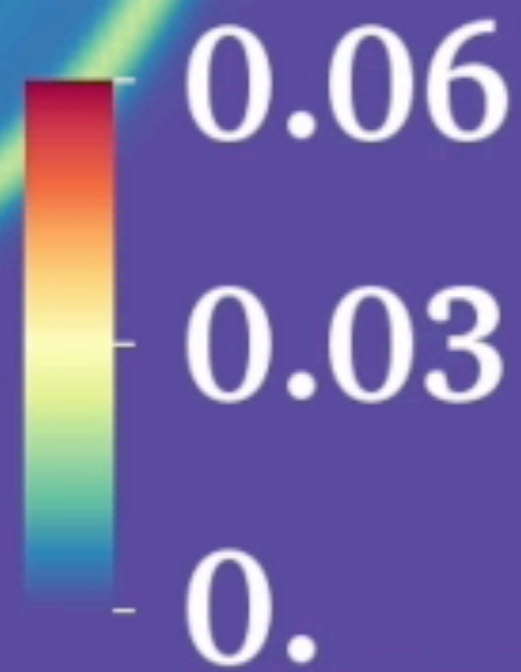
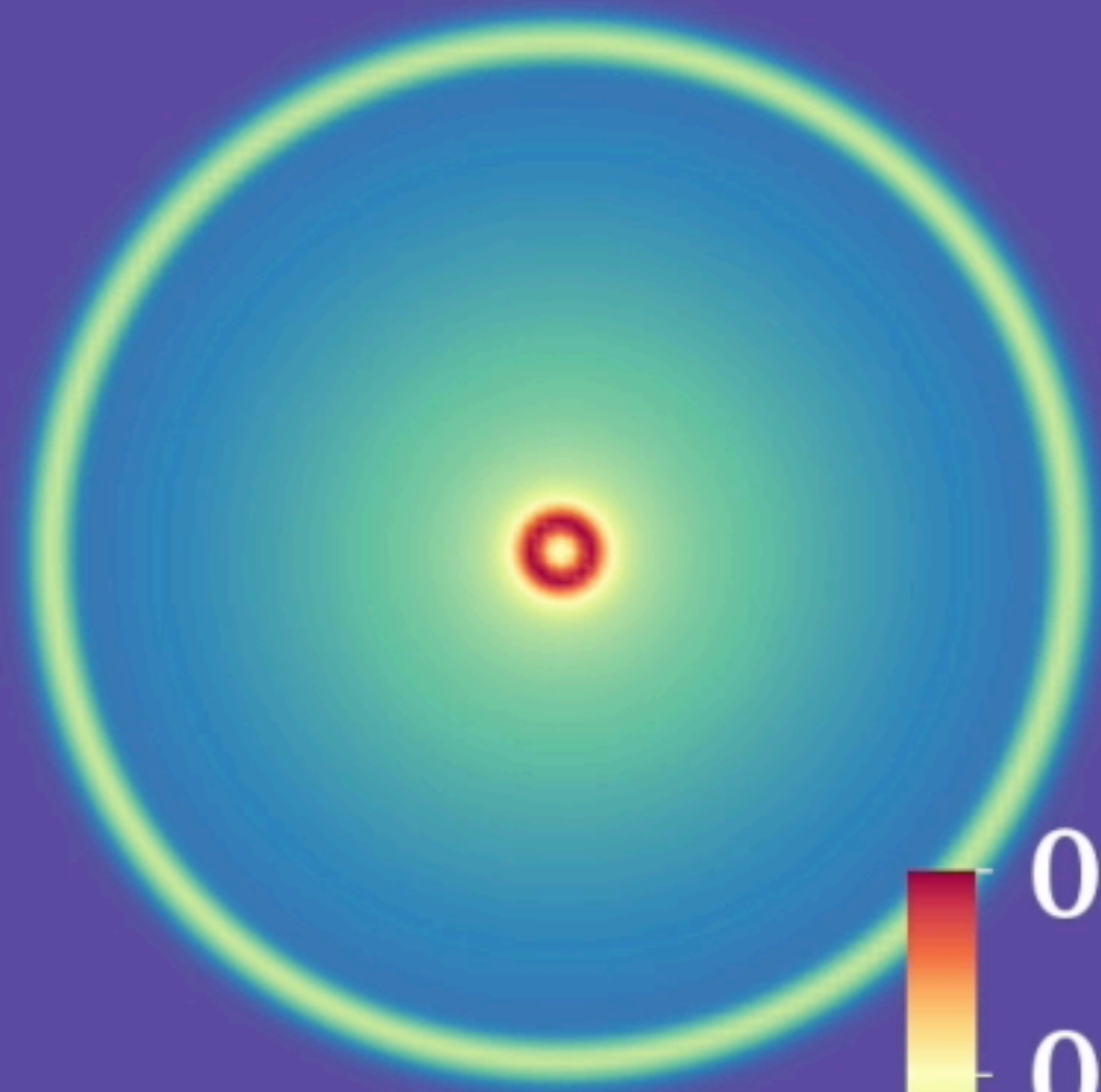
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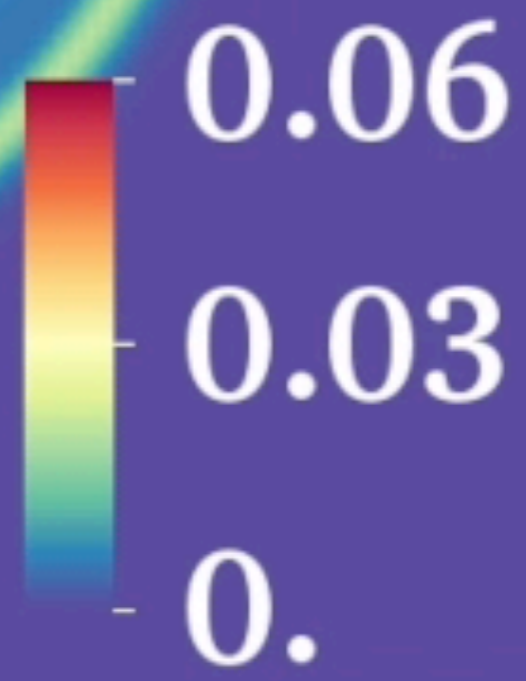
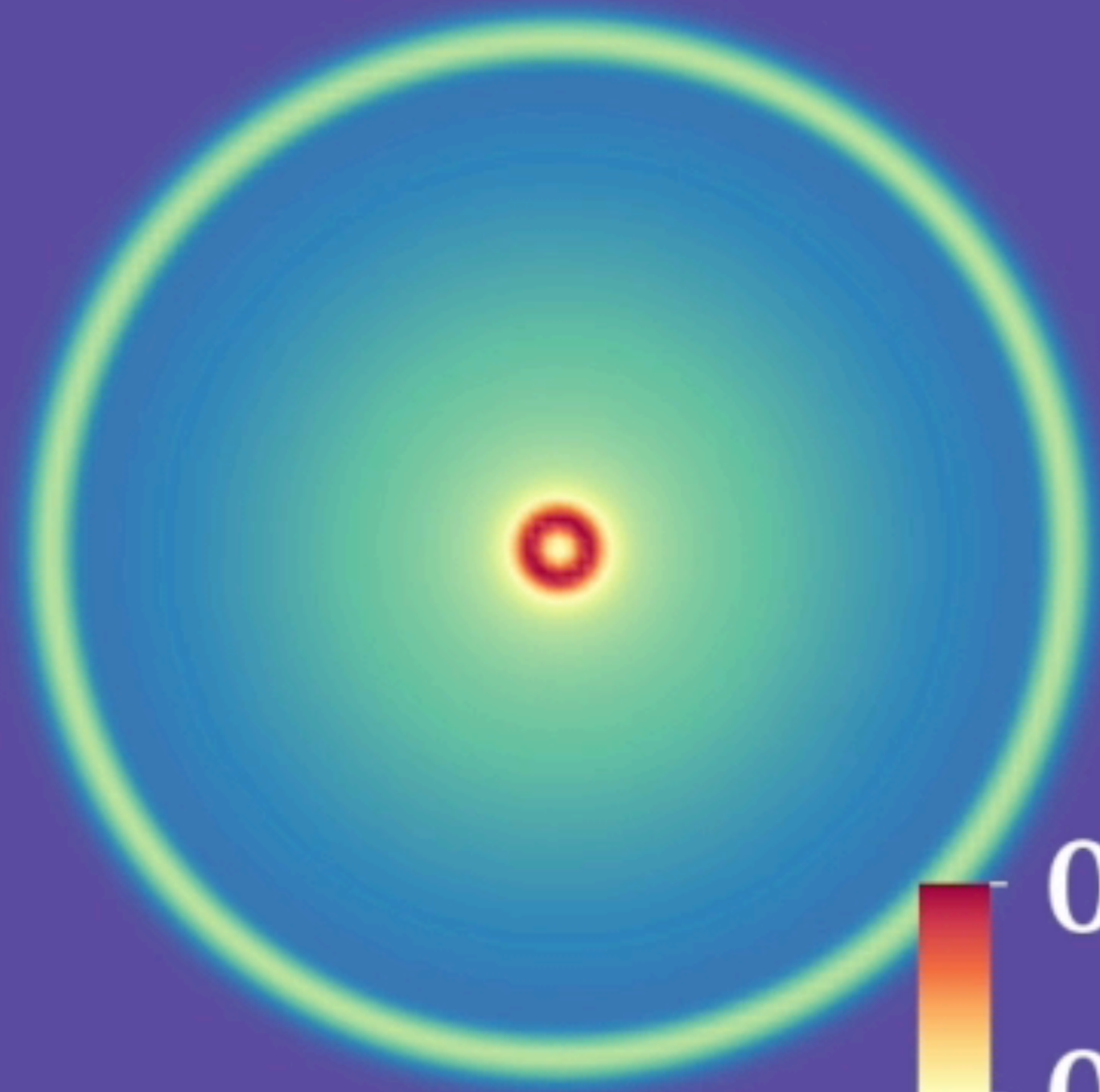
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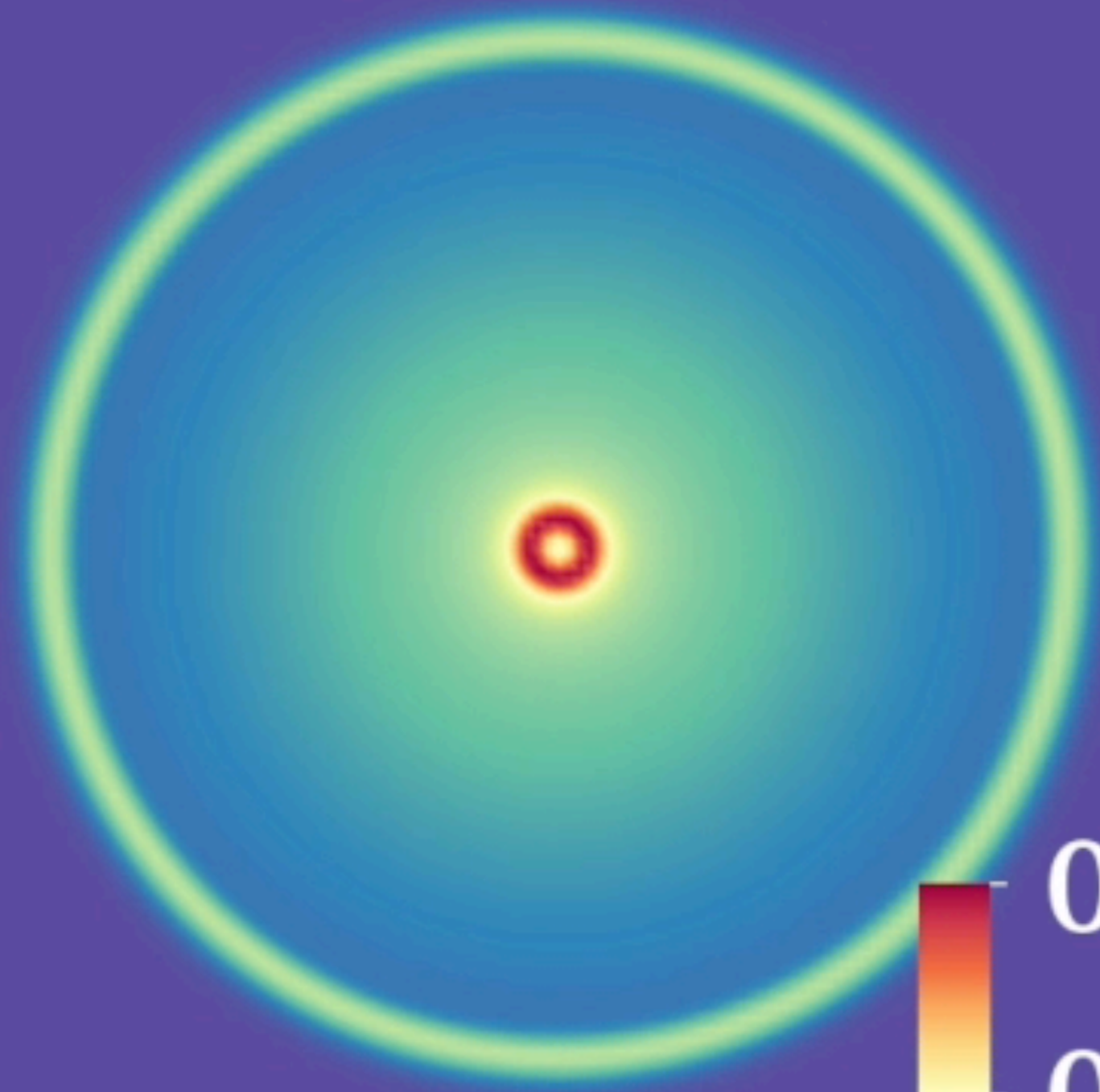
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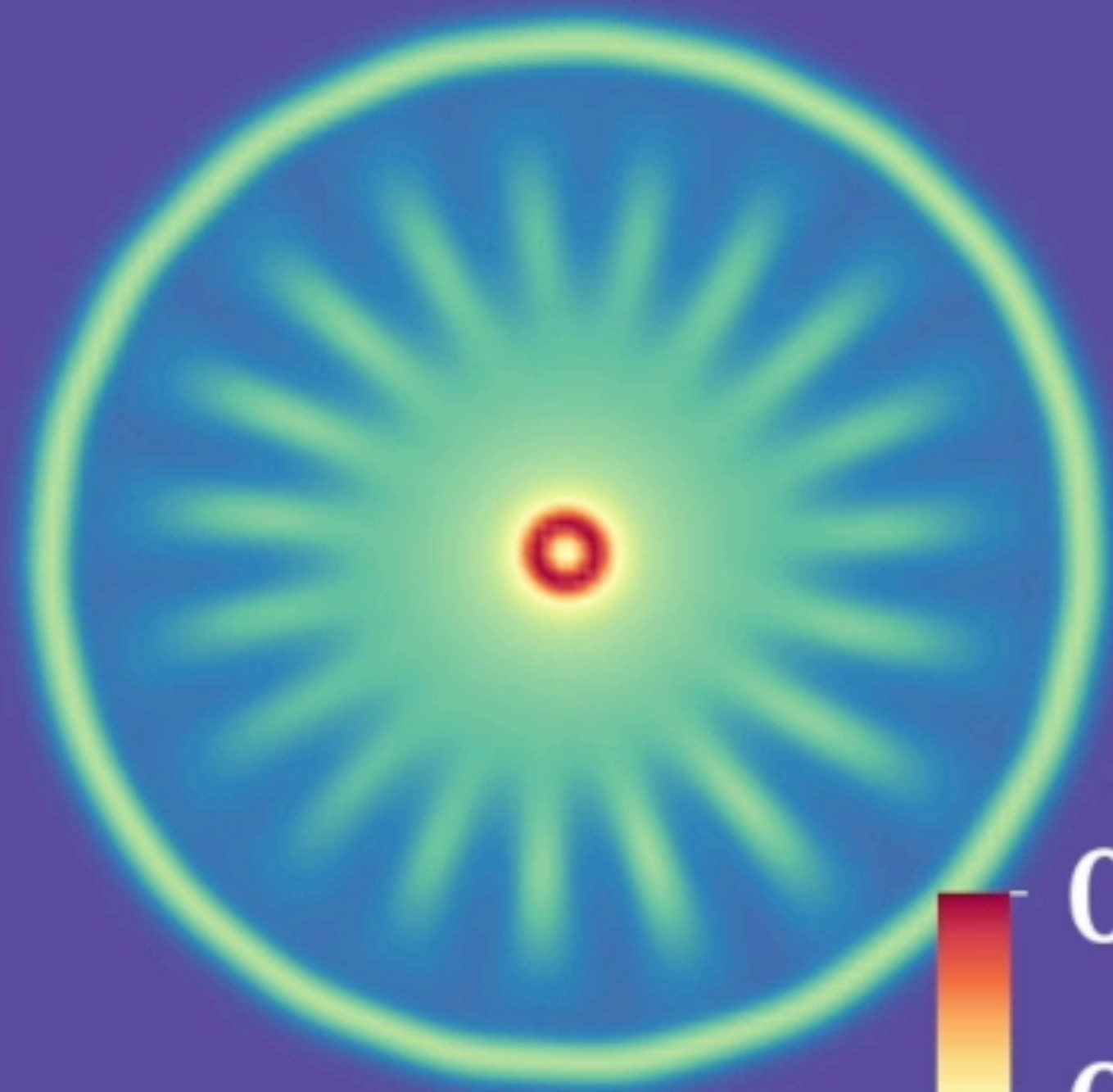
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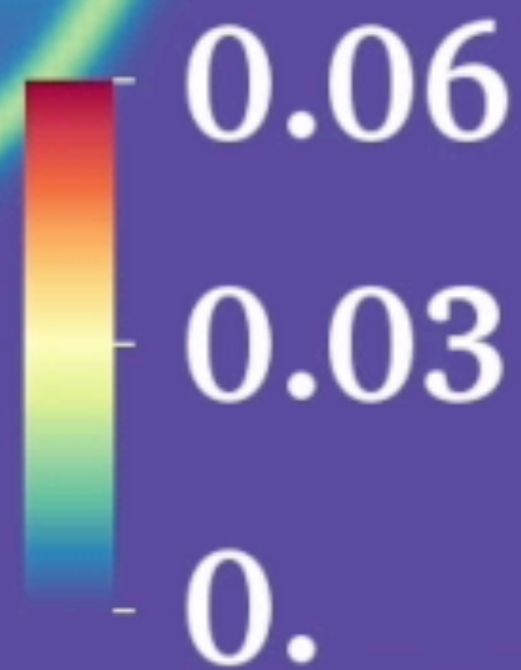
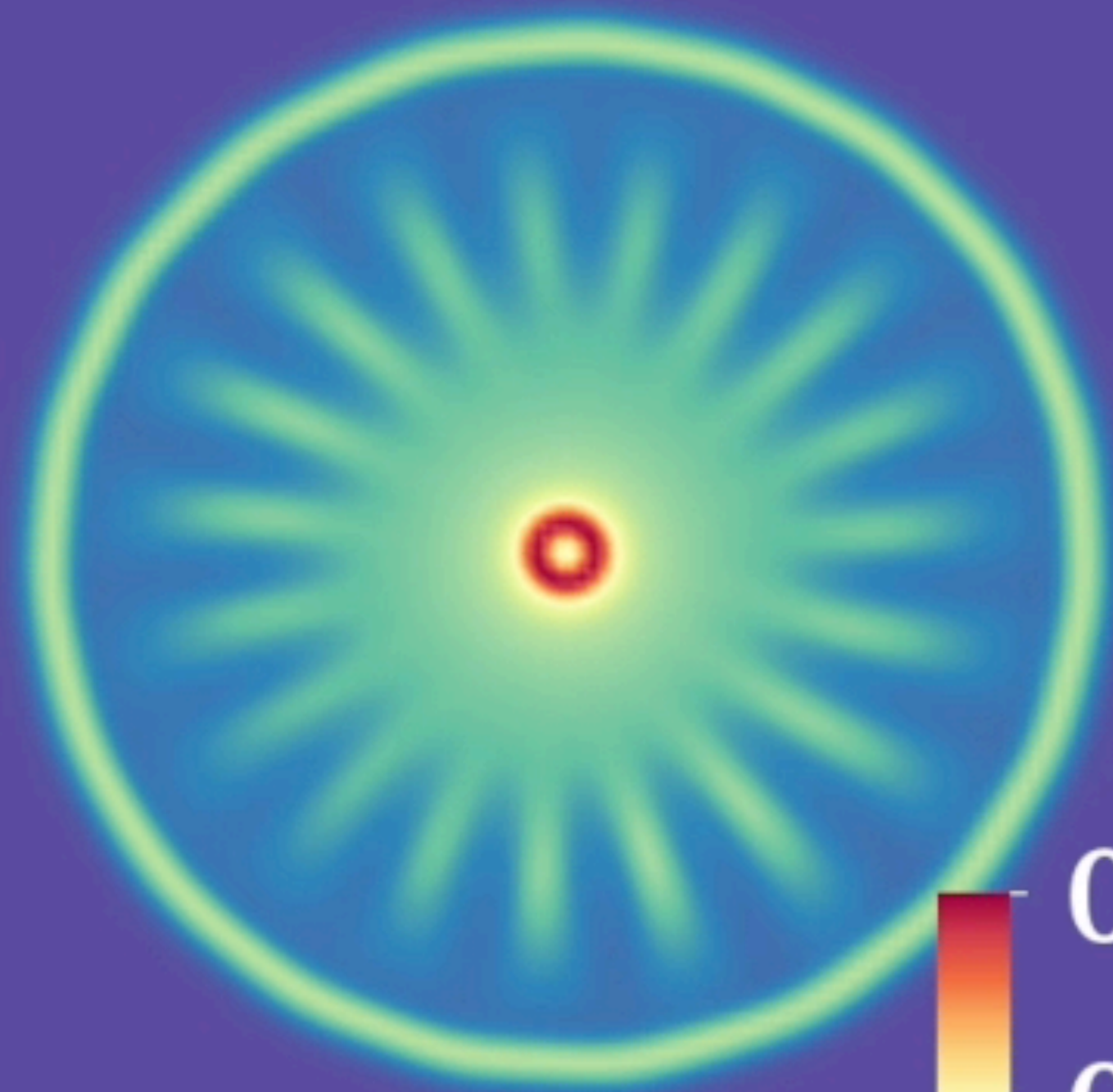
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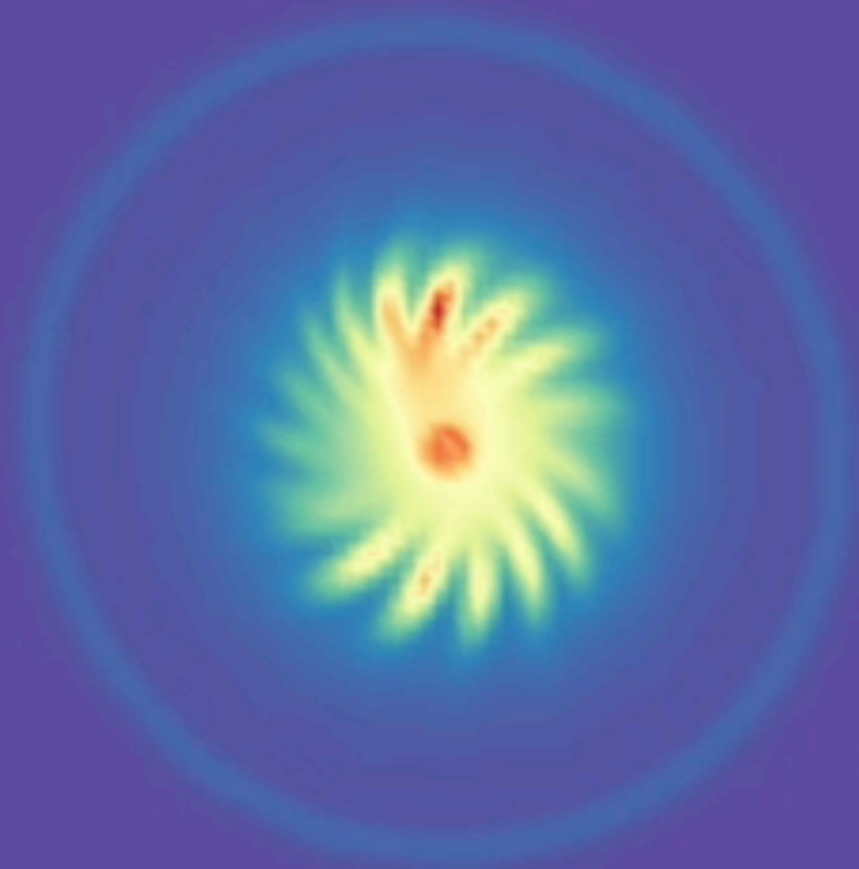
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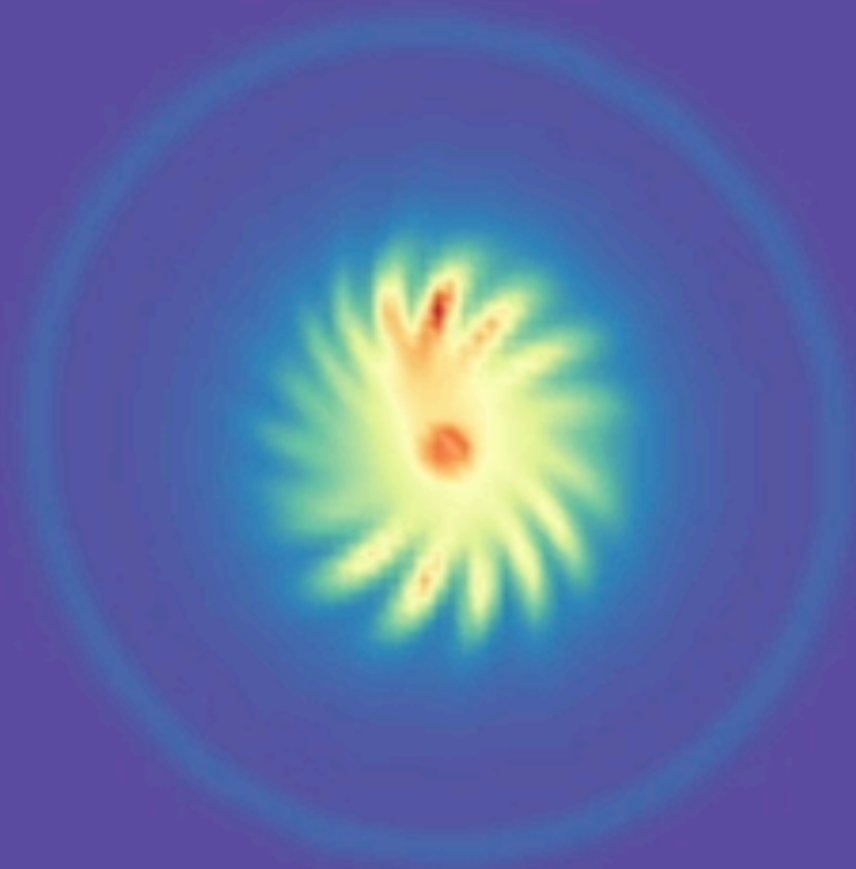
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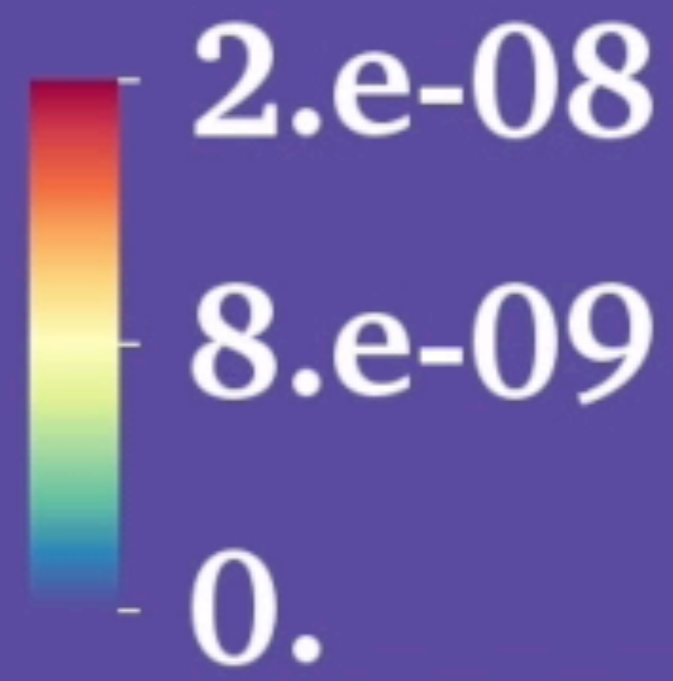
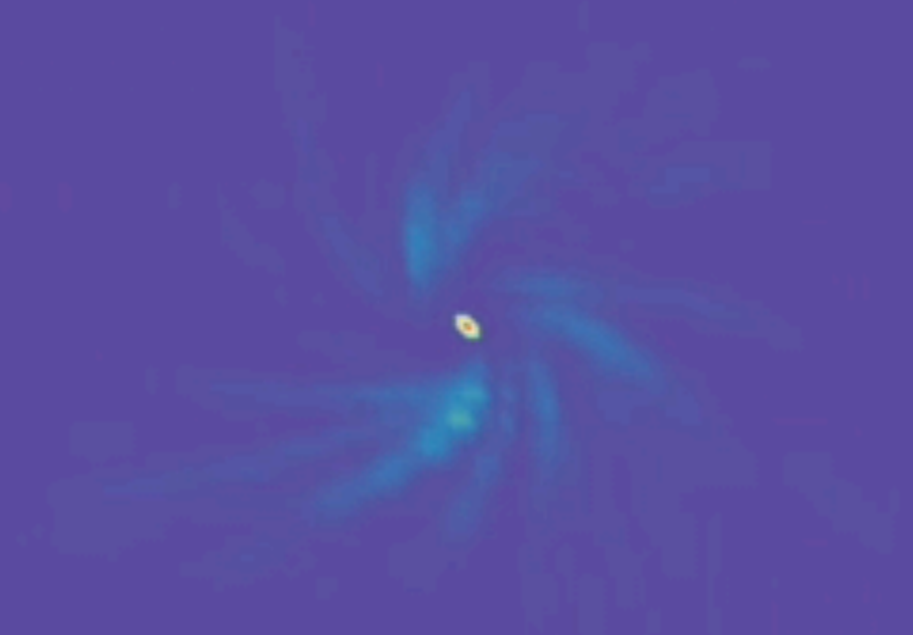
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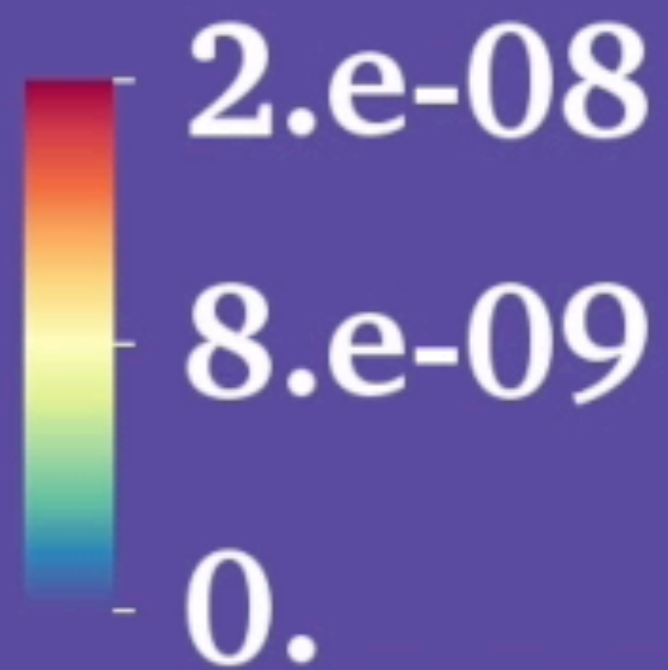
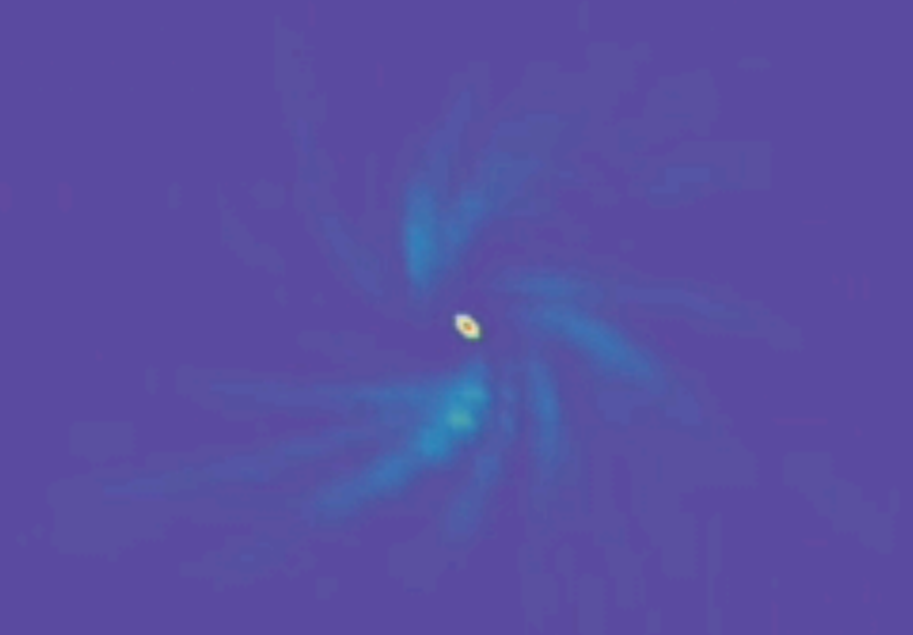
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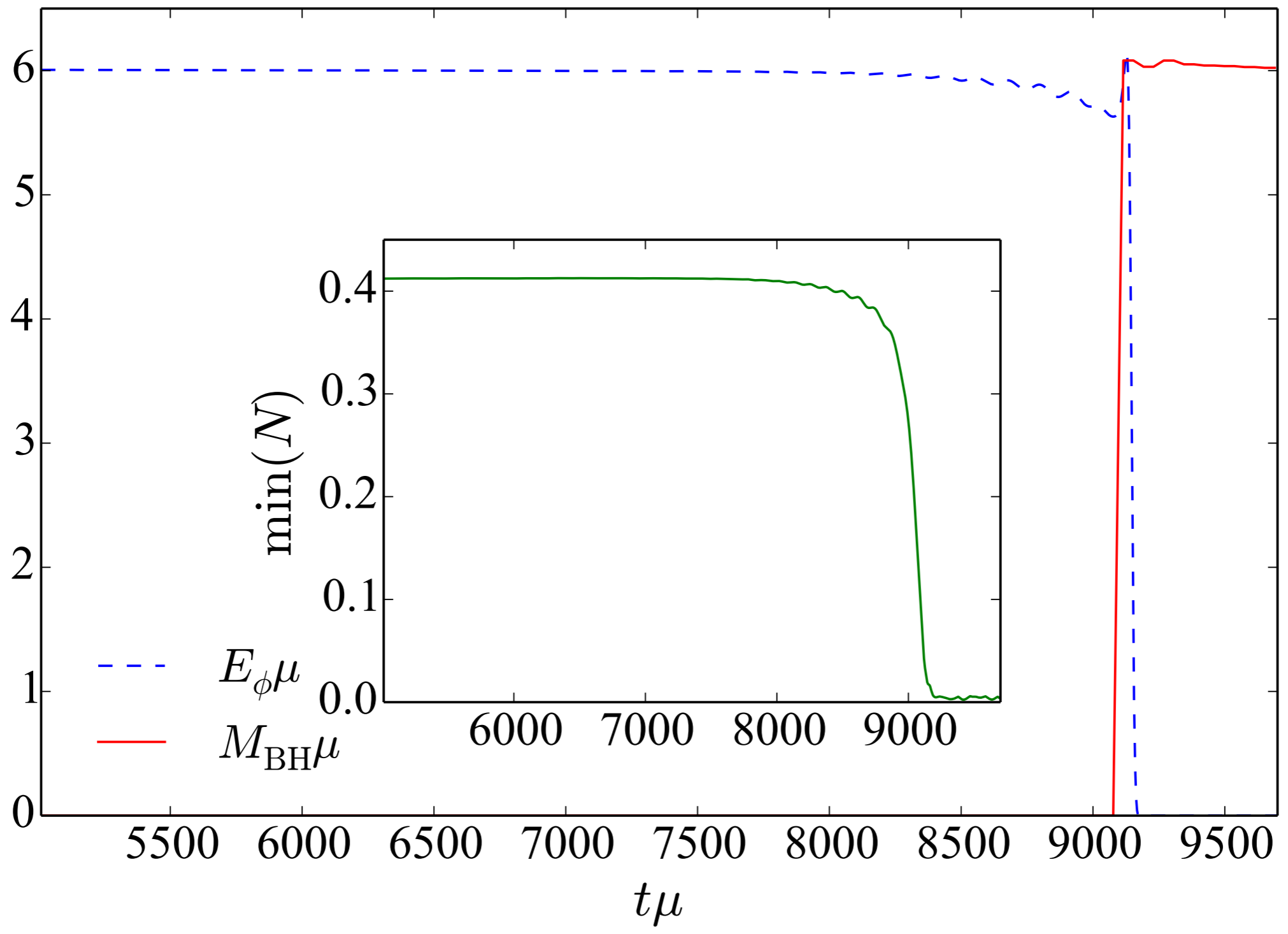


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Time = 2334.72

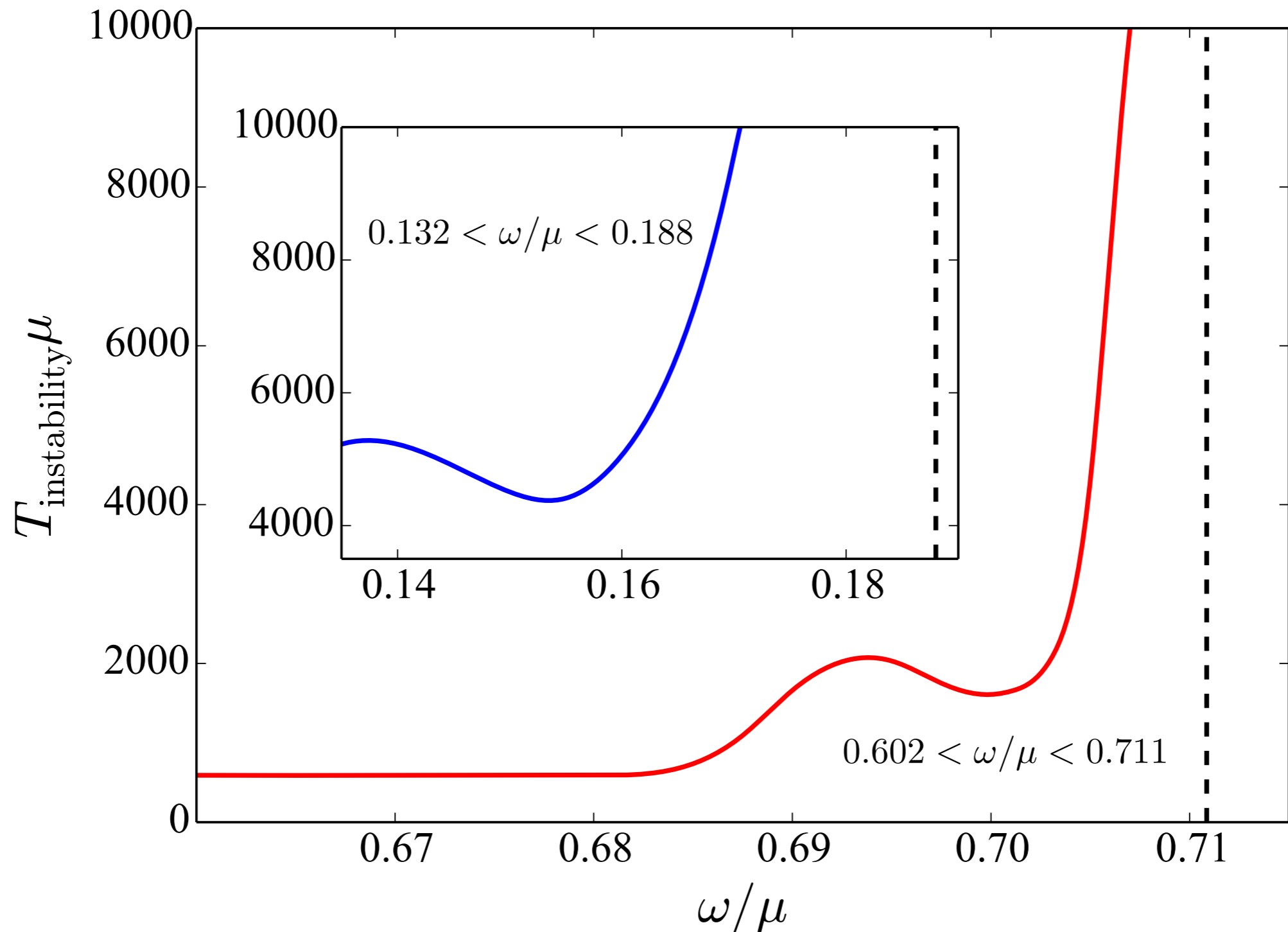




Now we perform fully non-linear numerical relativity evolutions

Sanchis-Gual, Di Giovanni, Zilhão, CH, P. Cerda-Duran, Font and Radu, Phys. Rev. Lett. 123 (2019) 221101

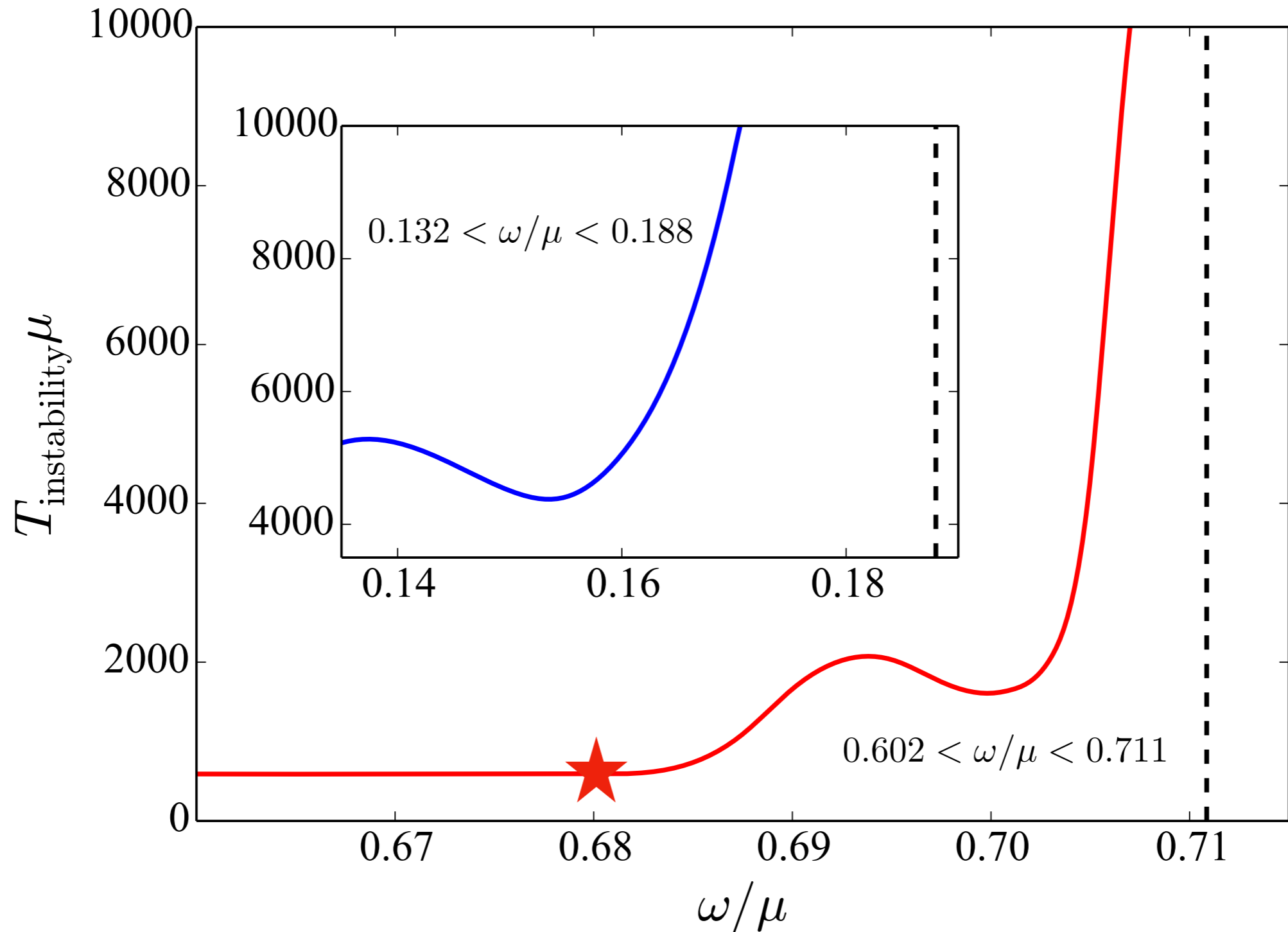
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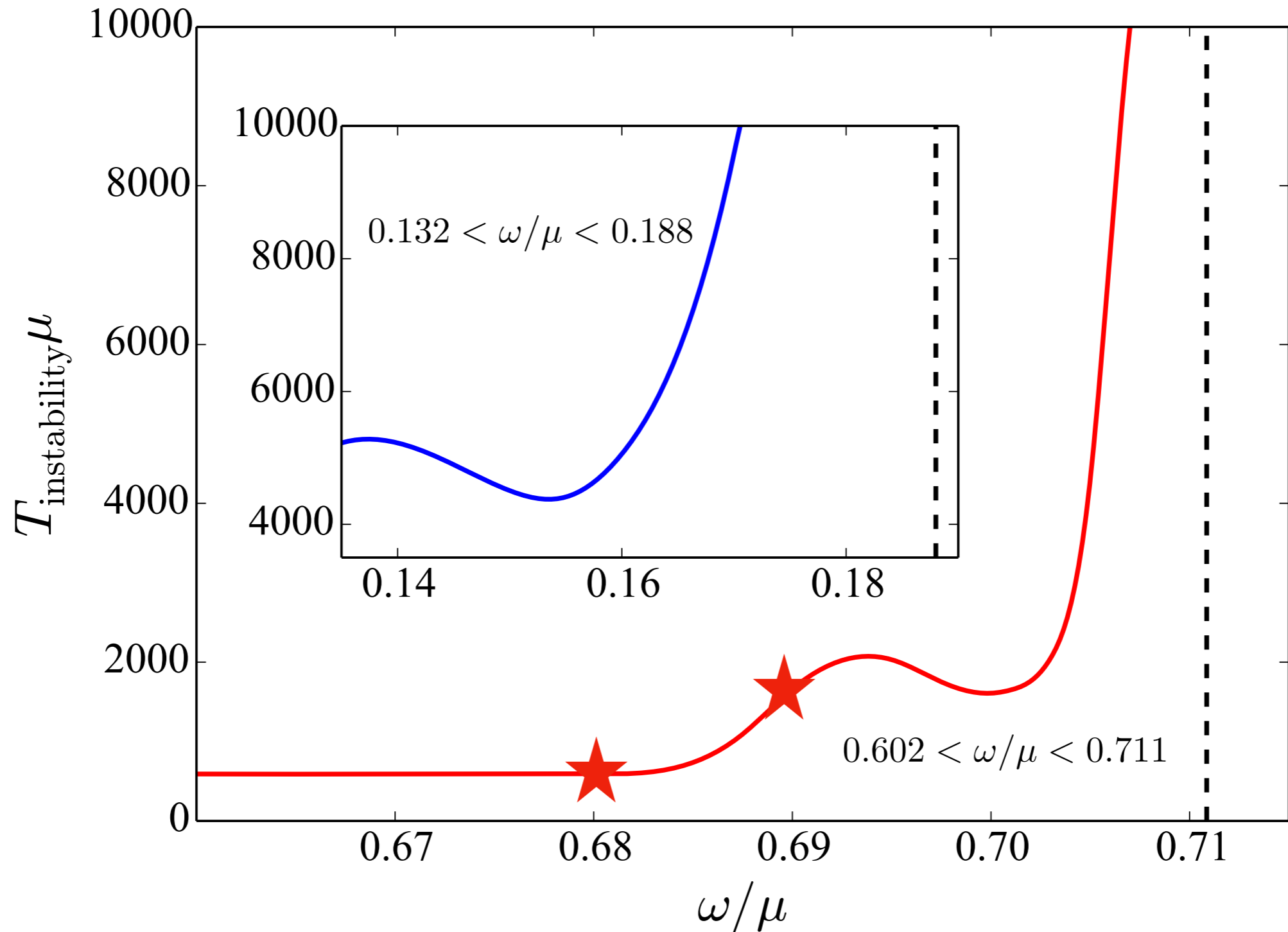
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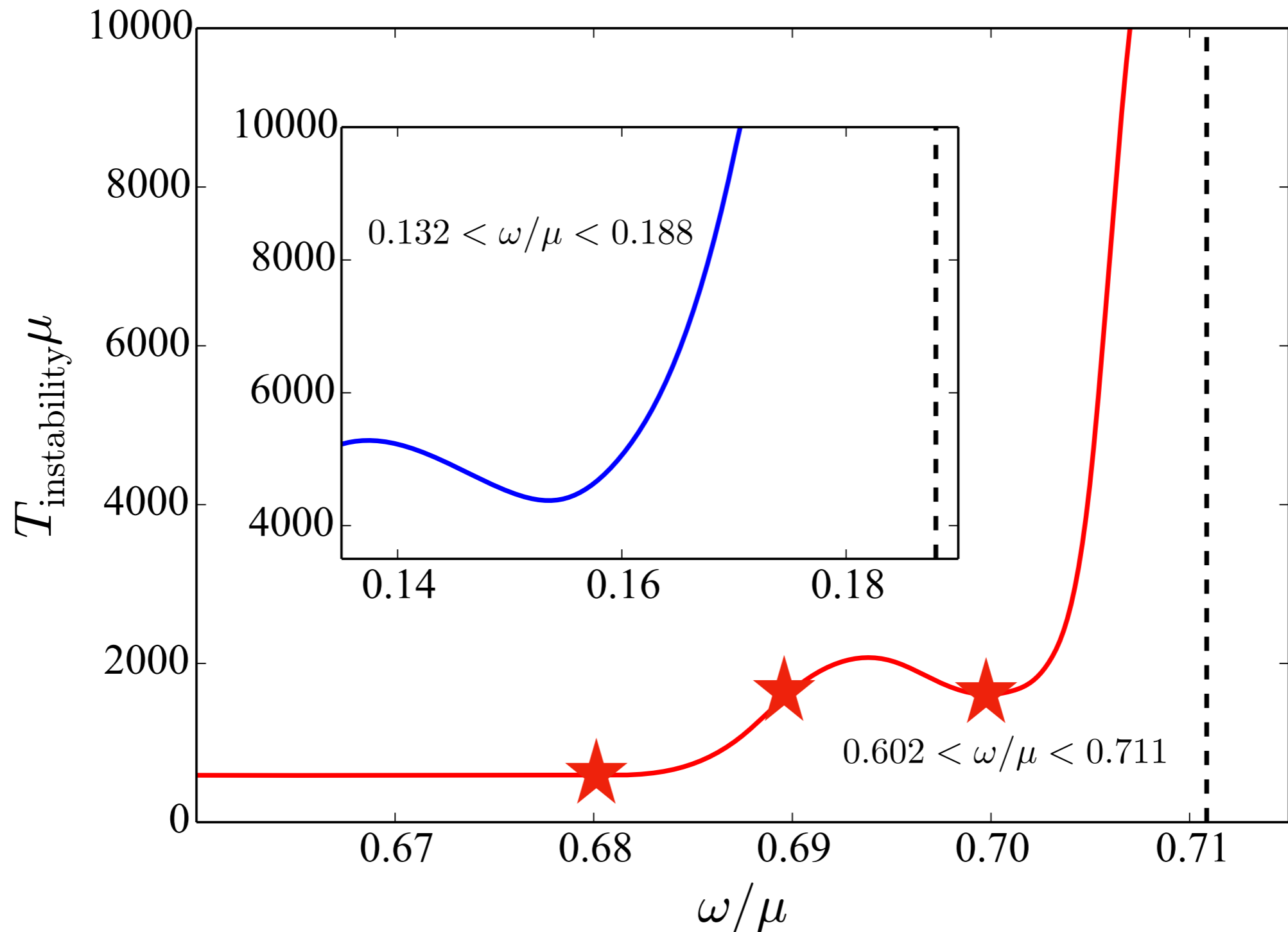
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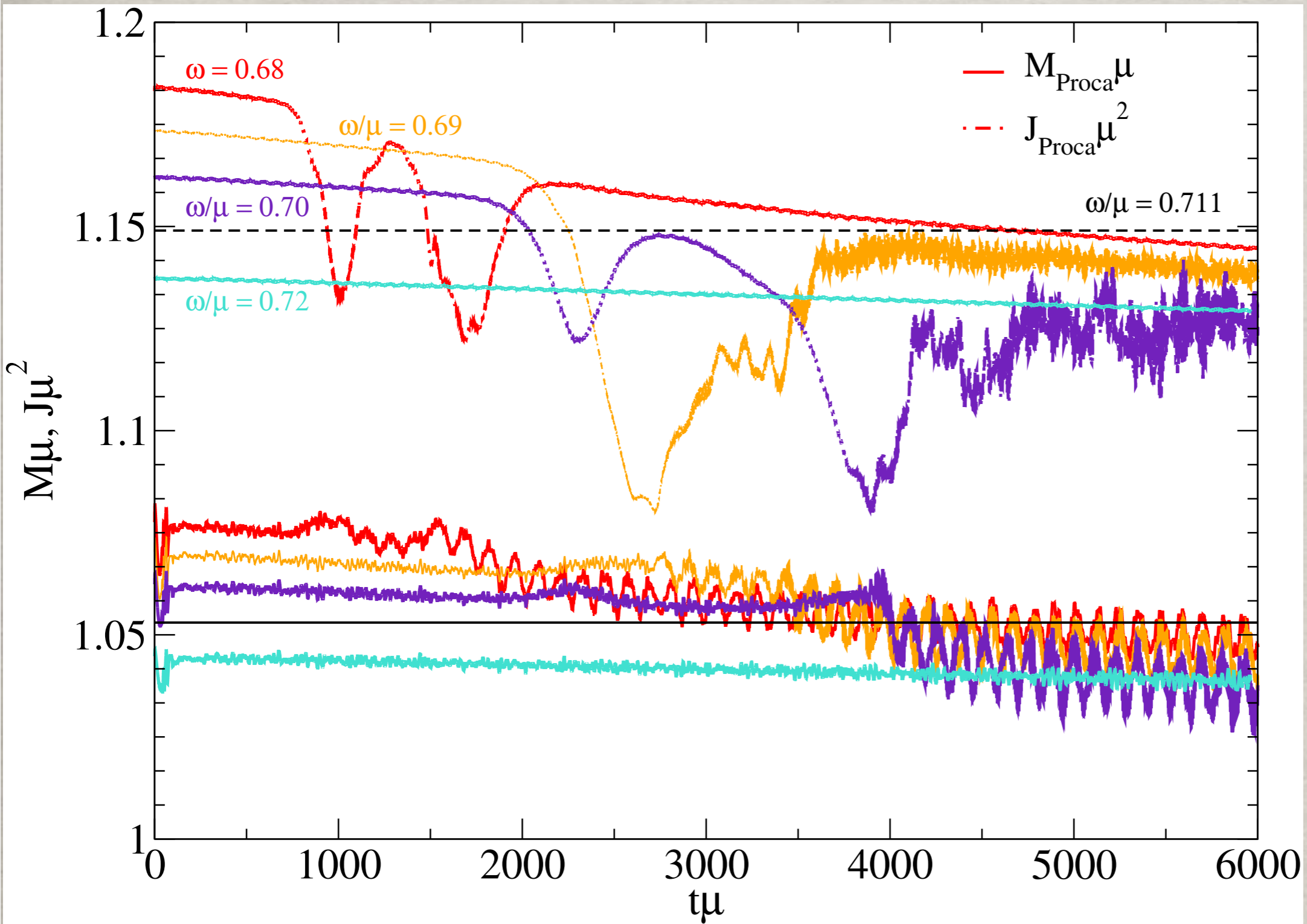


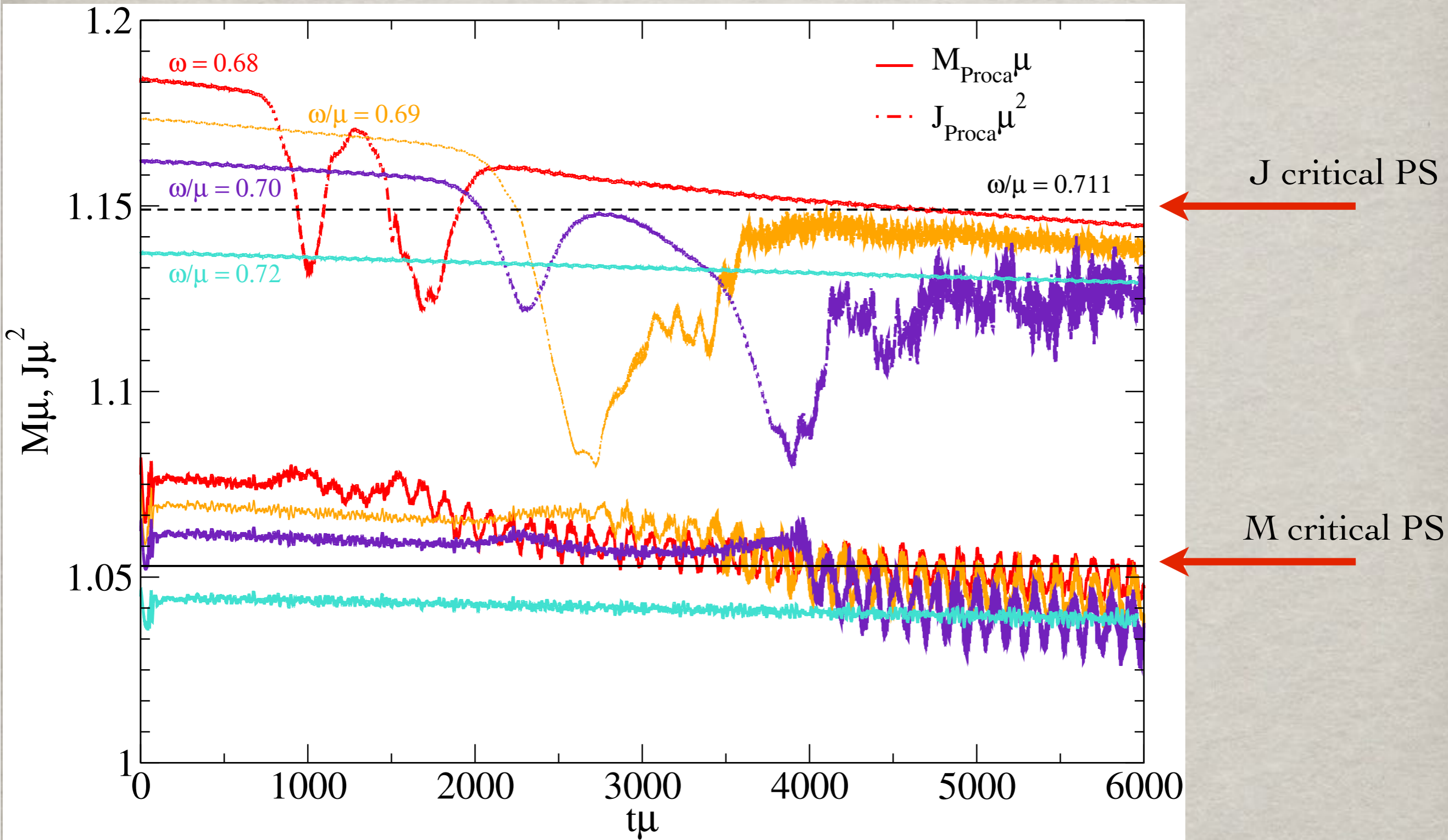
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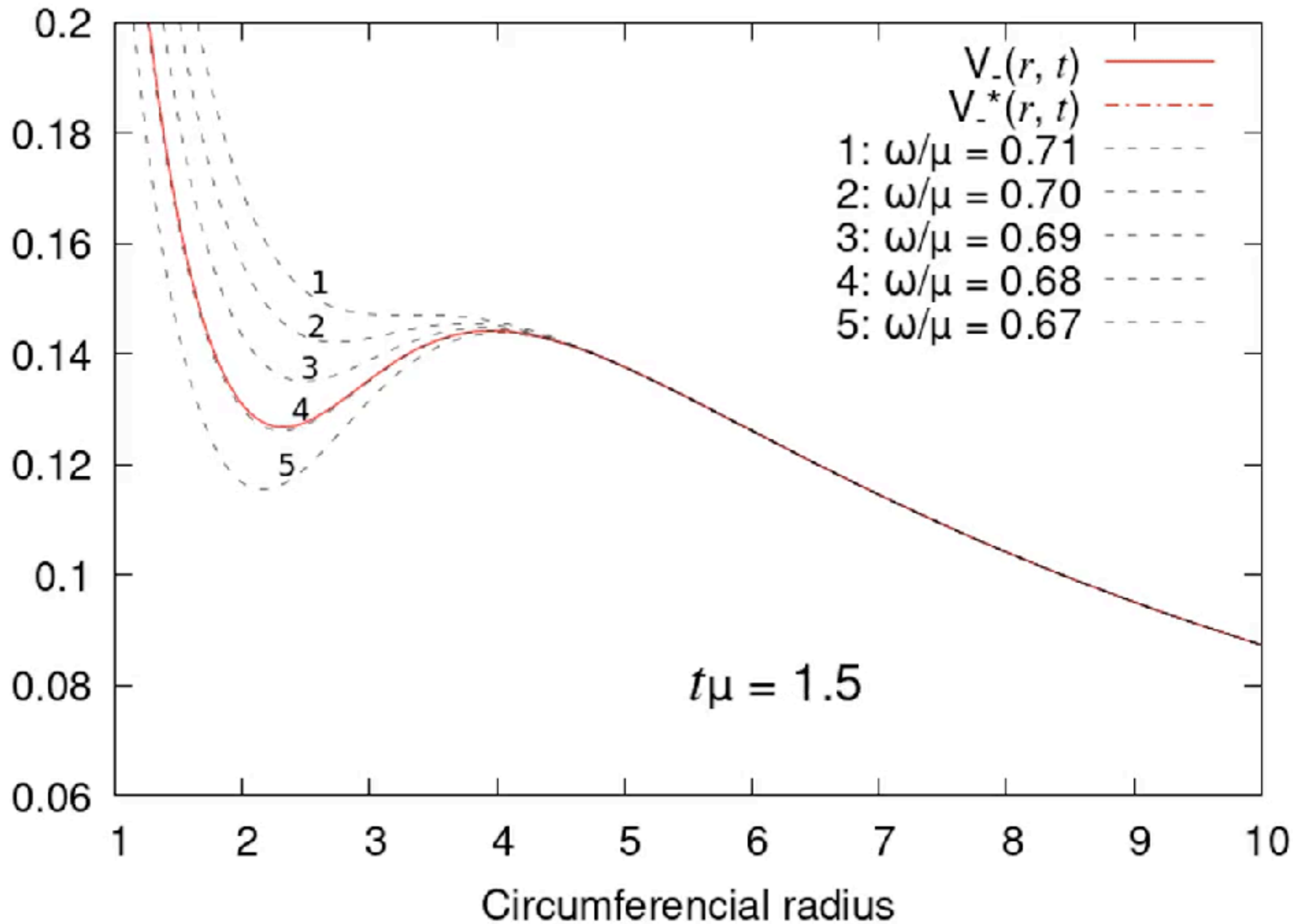
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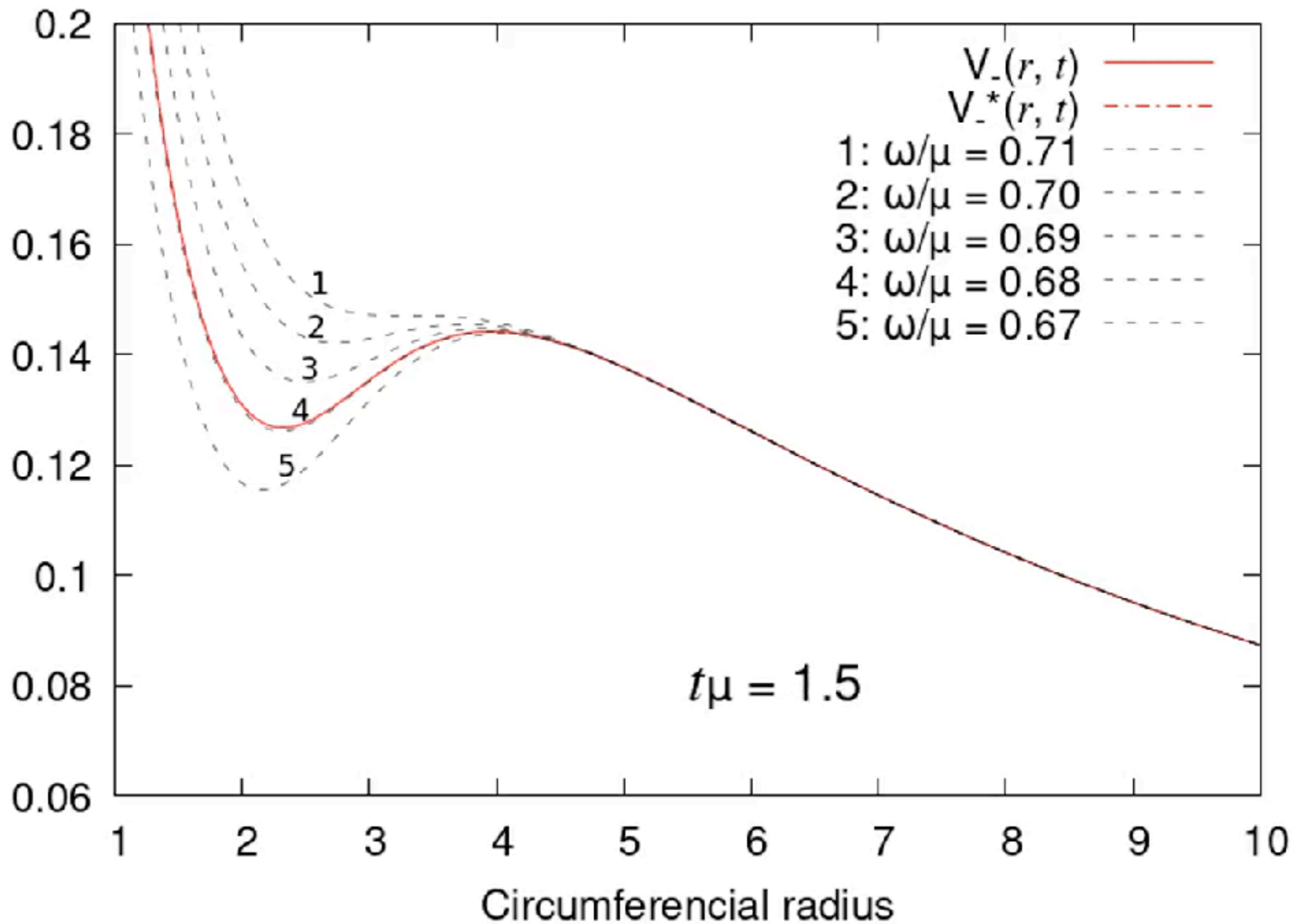




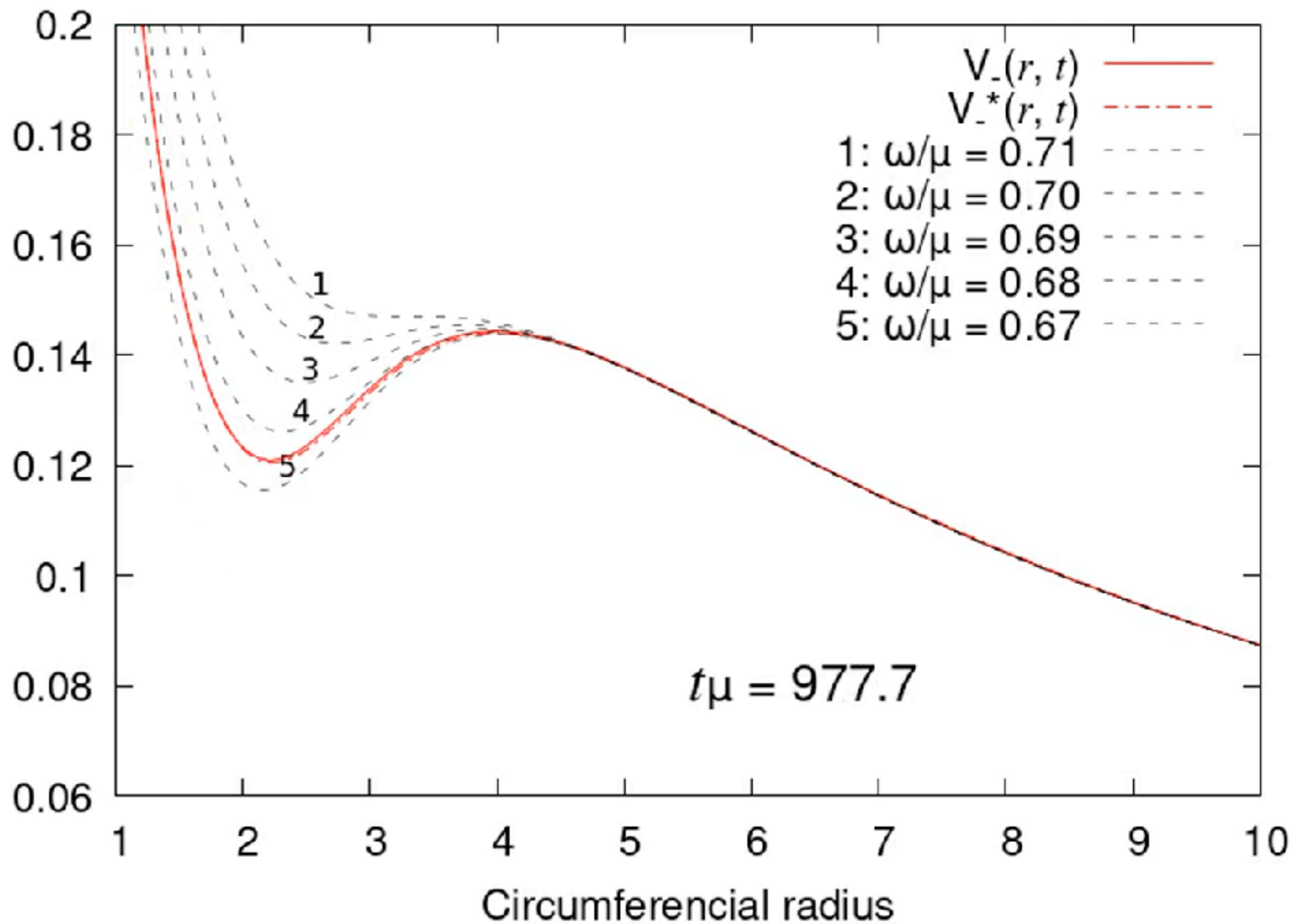
Proca Star time evolution $\omega/\mu = 0.68$



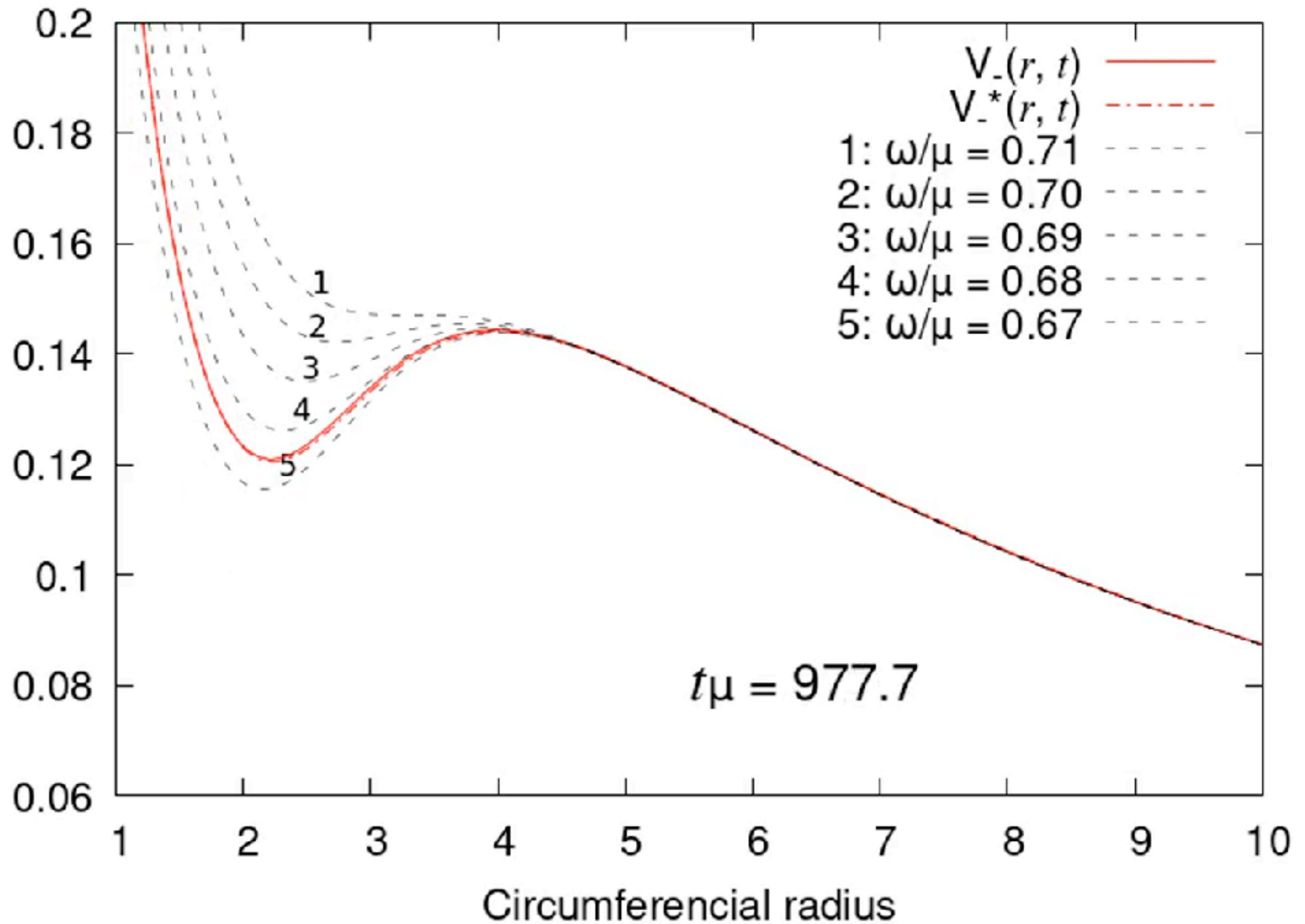
Proca Star time evolution $\omega/\mu = 0.68$



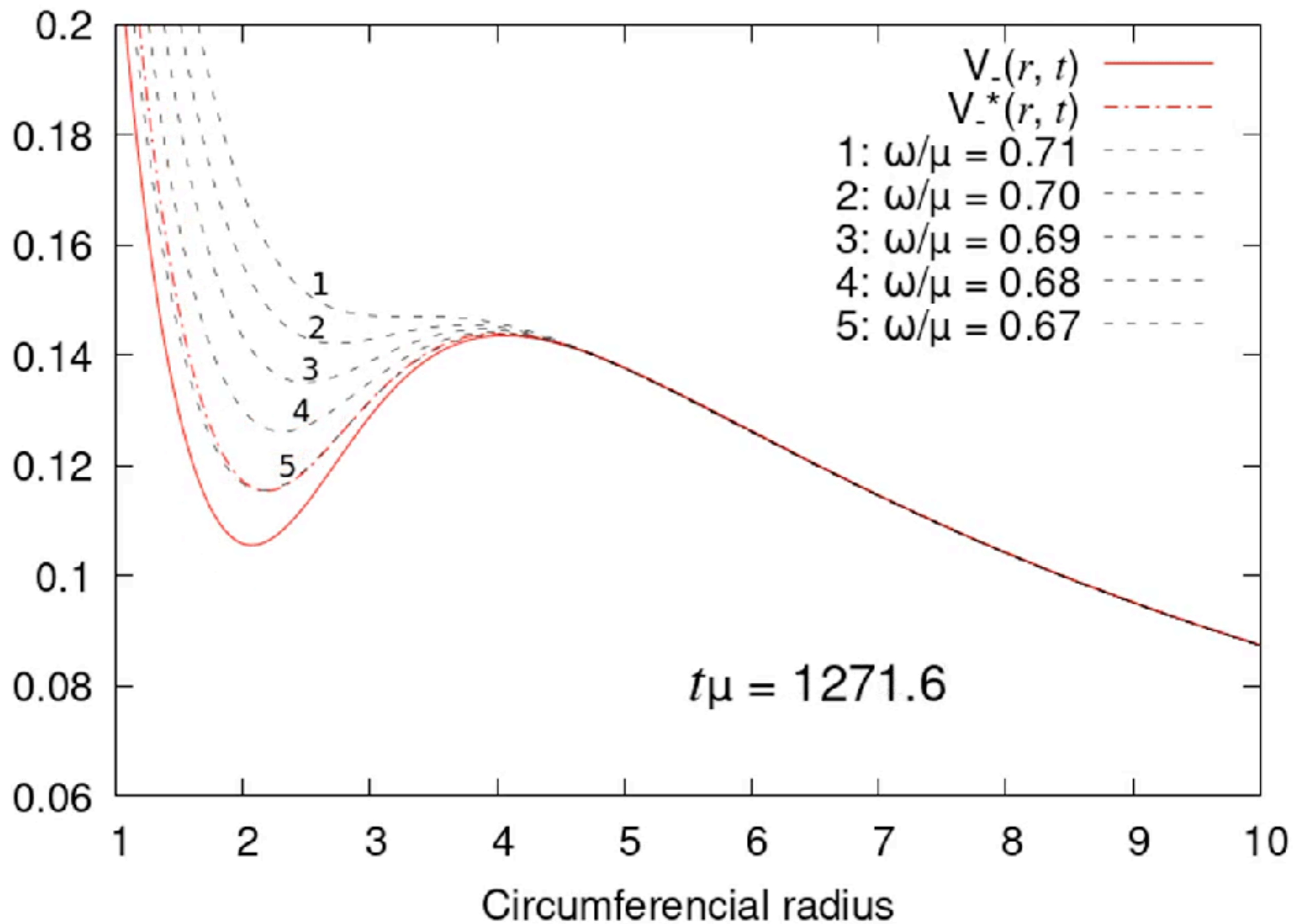
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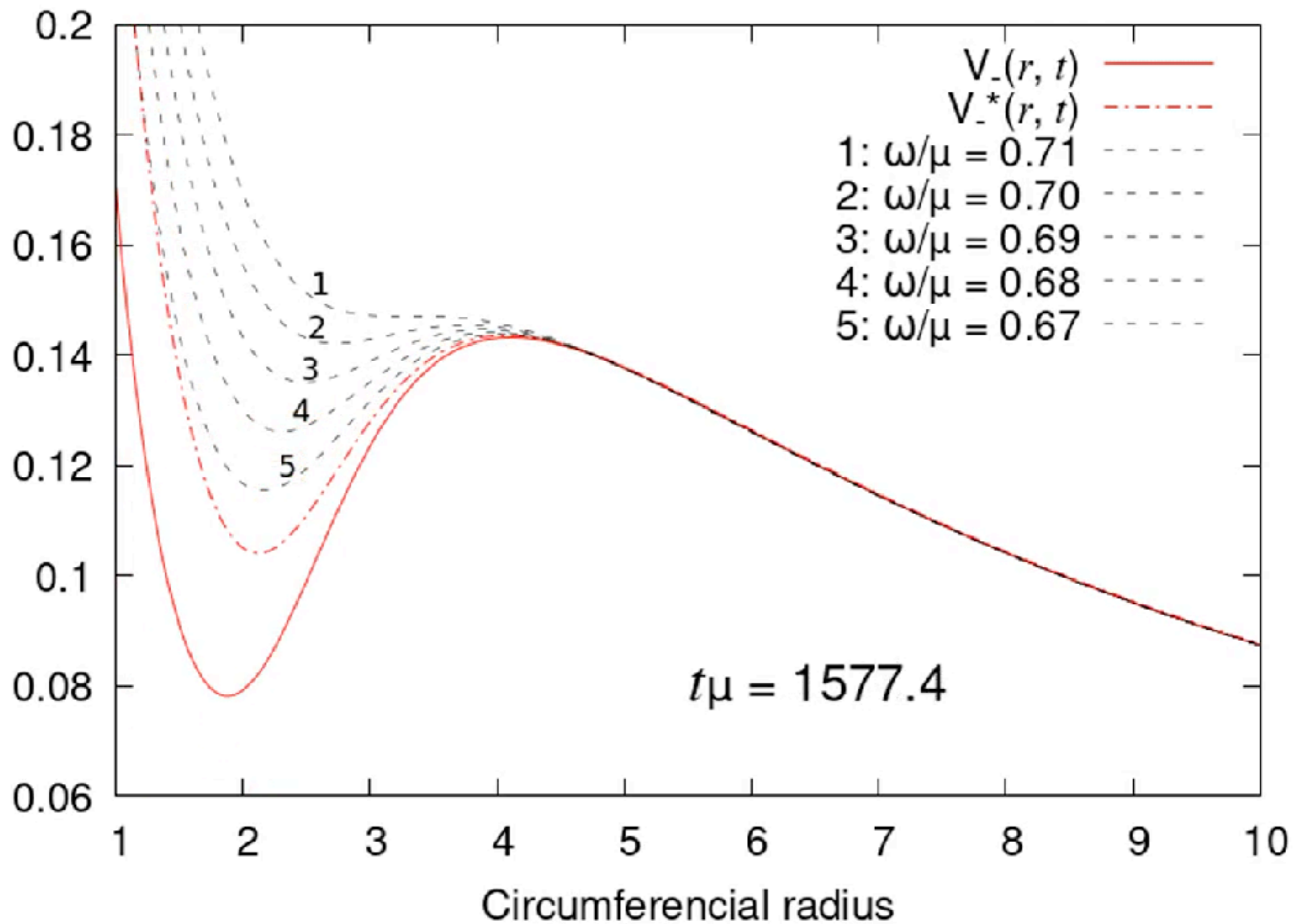
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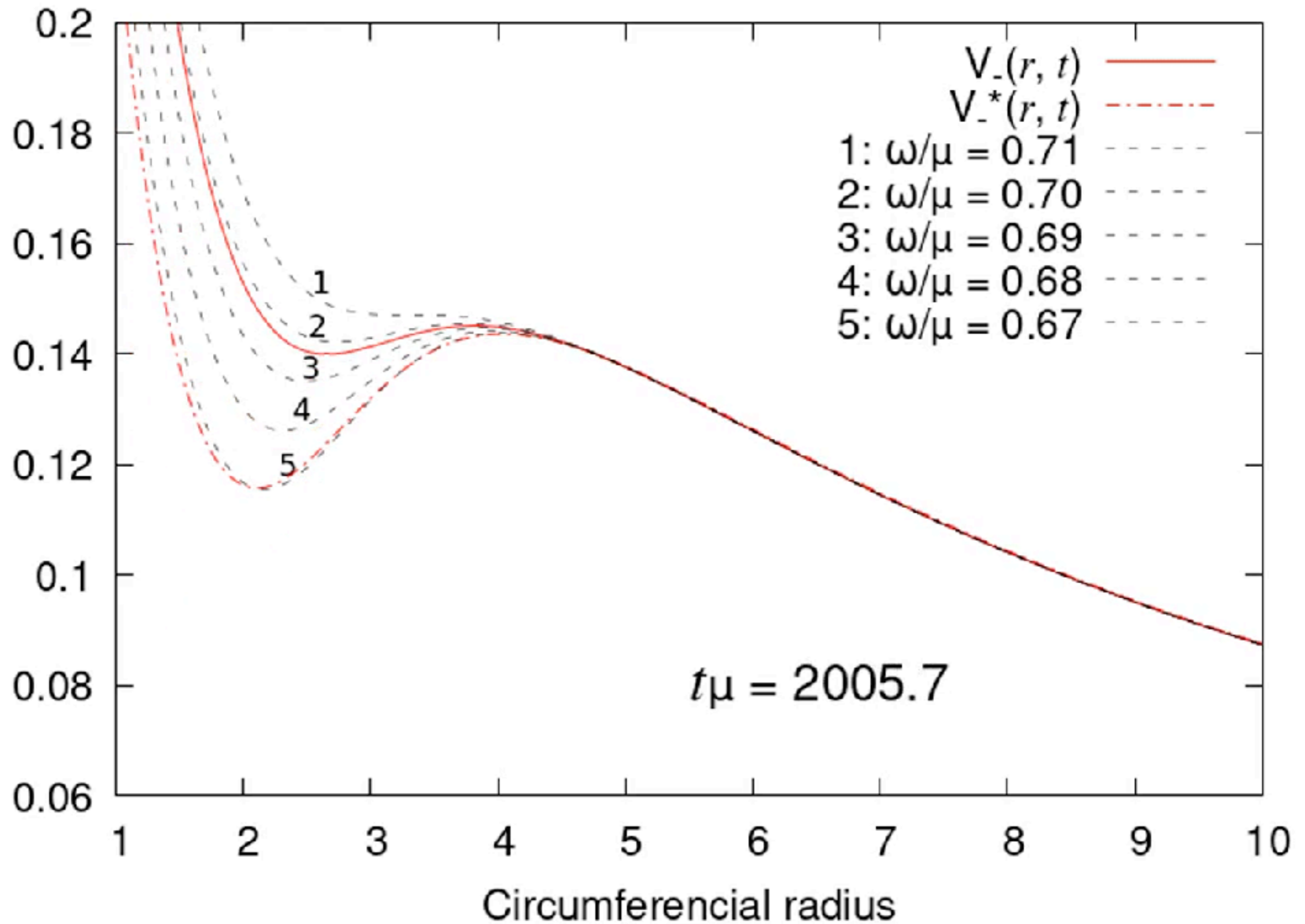
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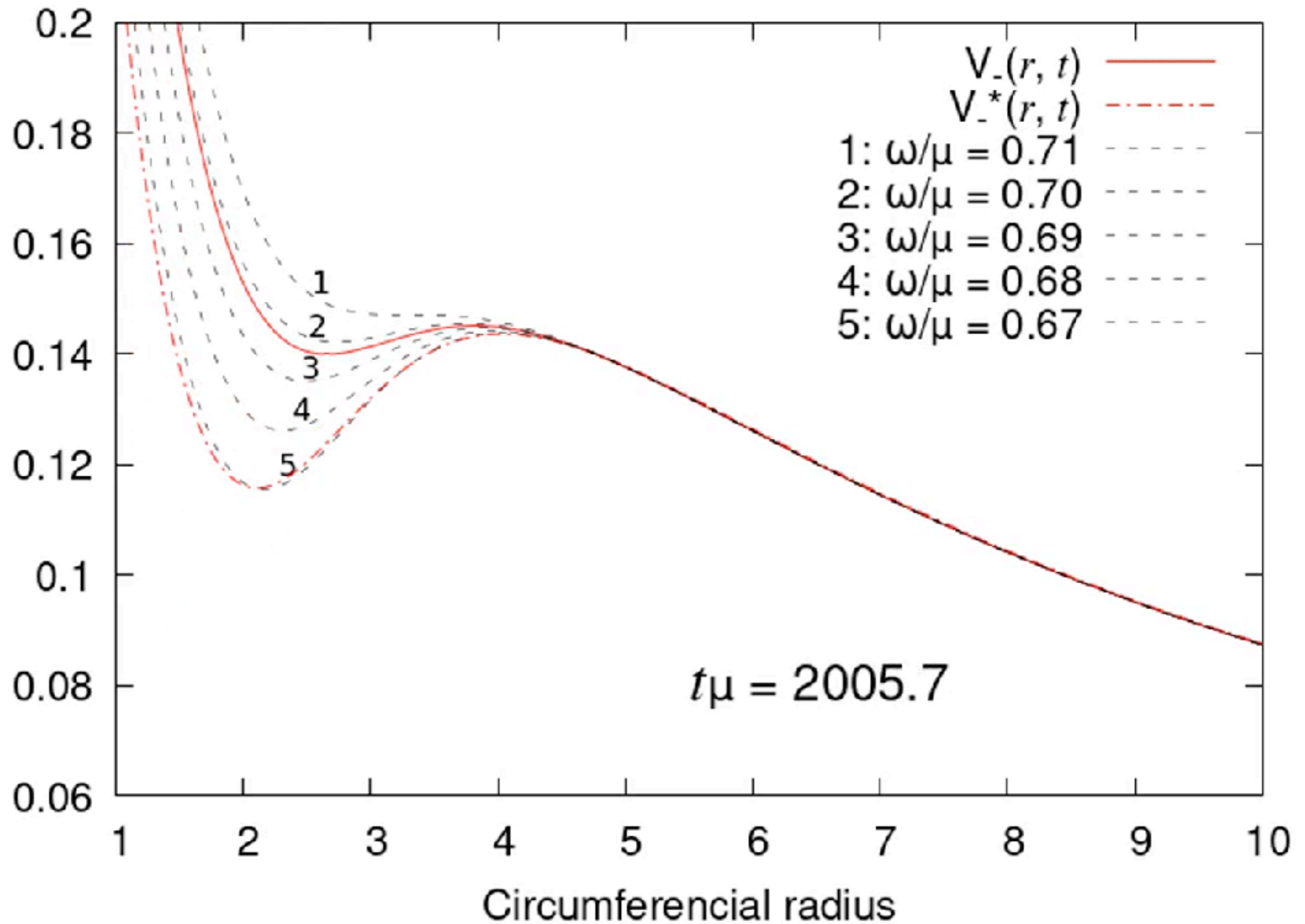
Proca Star time evolution $\omega/\mu = 0.68$



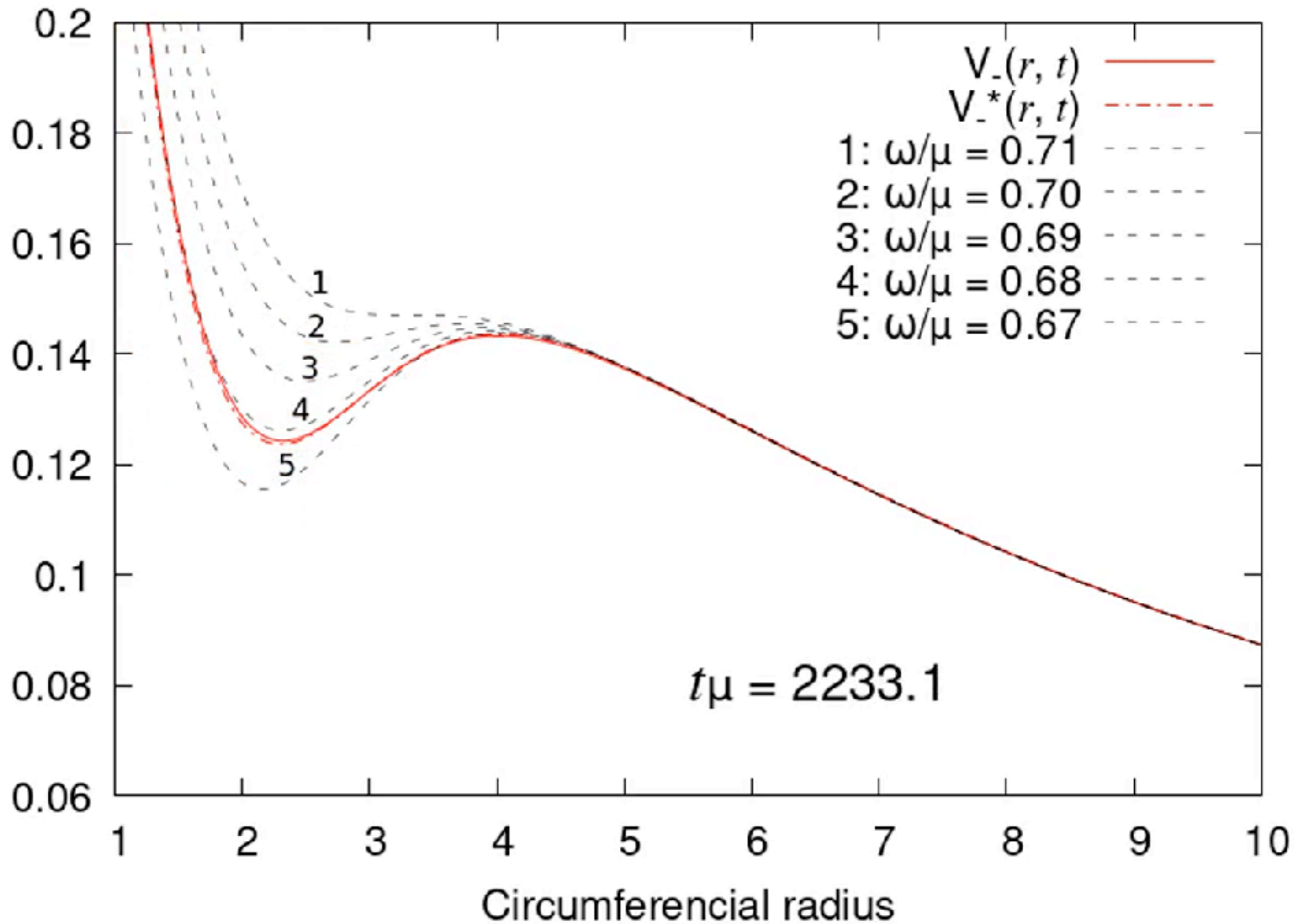
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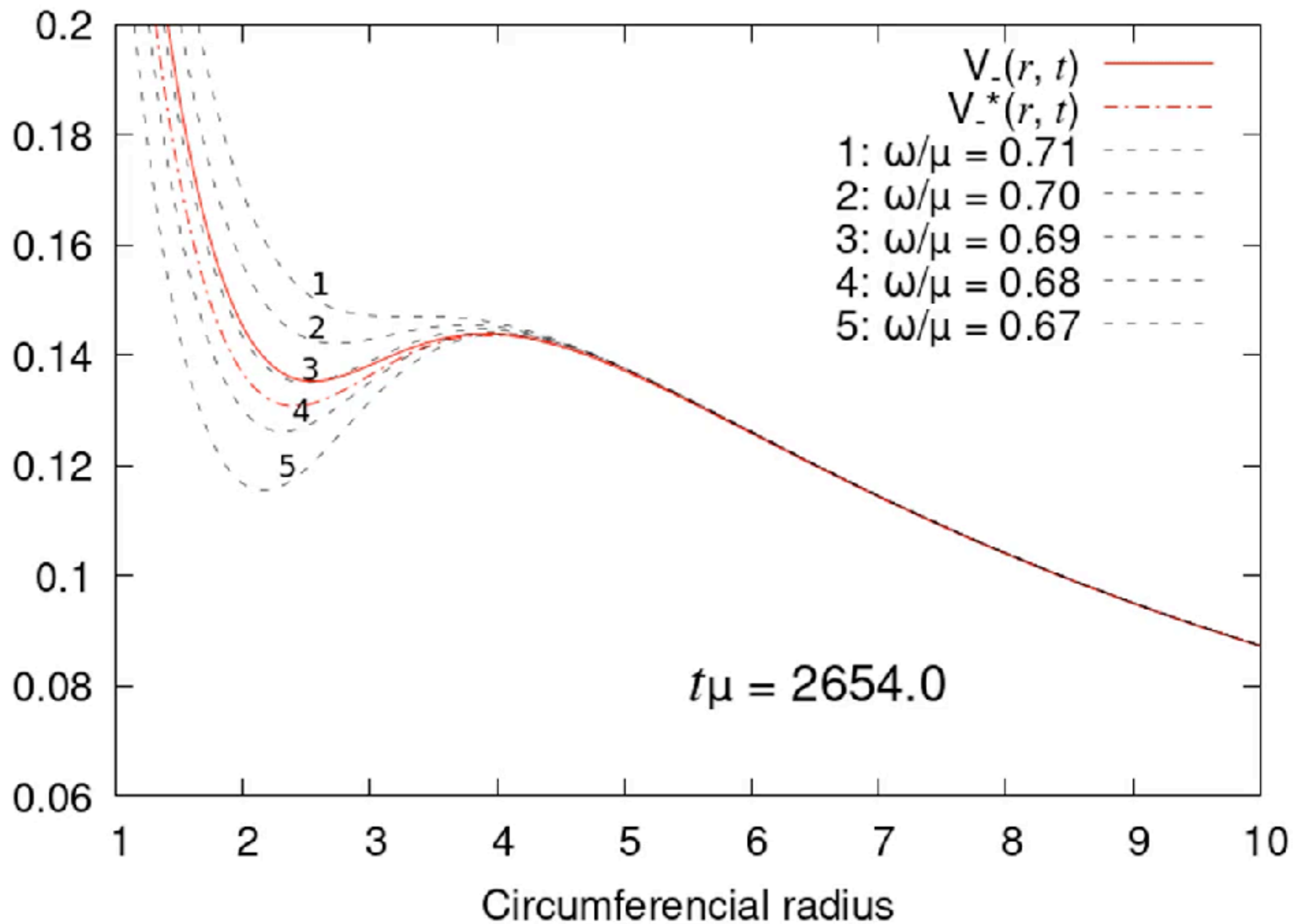
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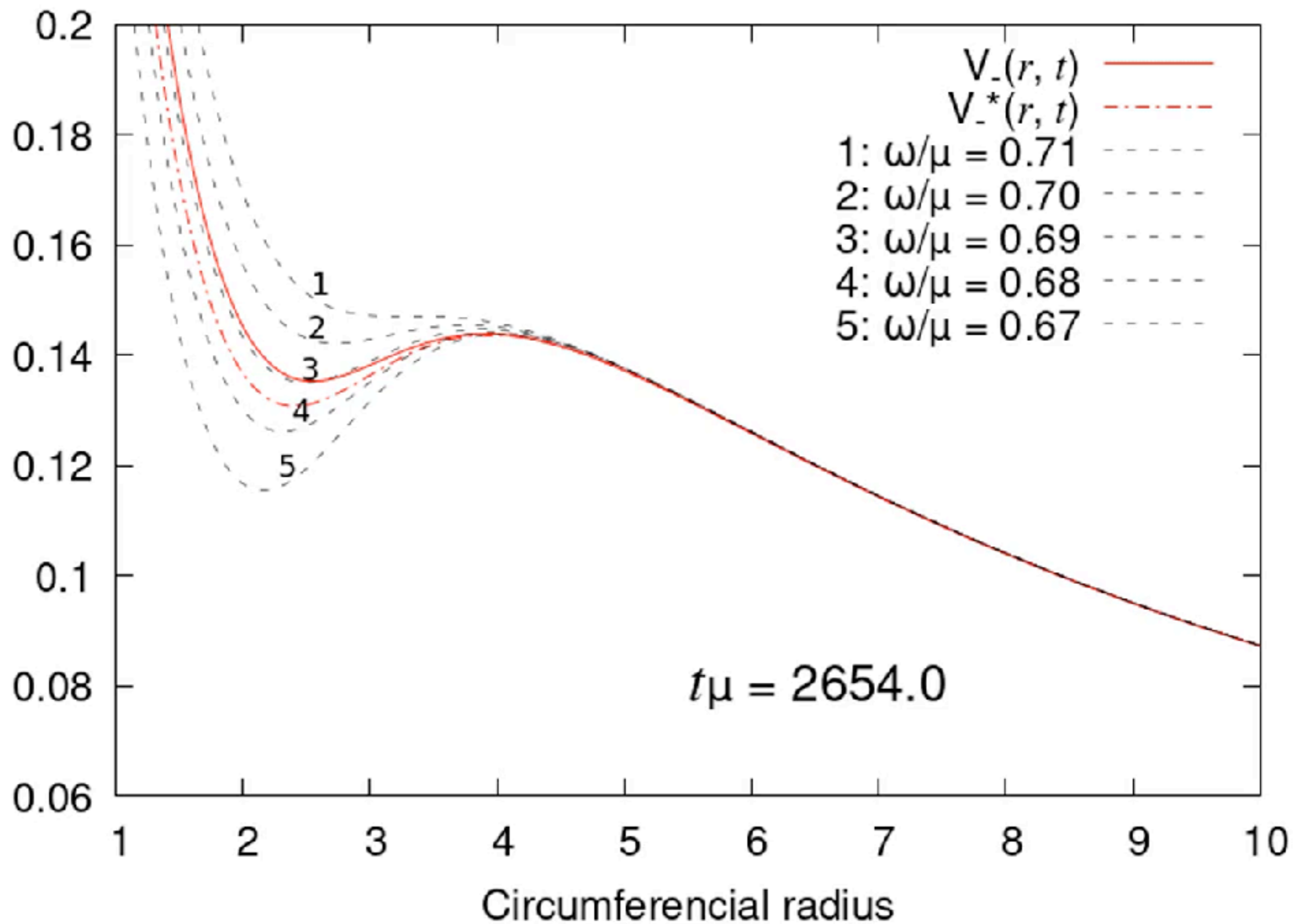
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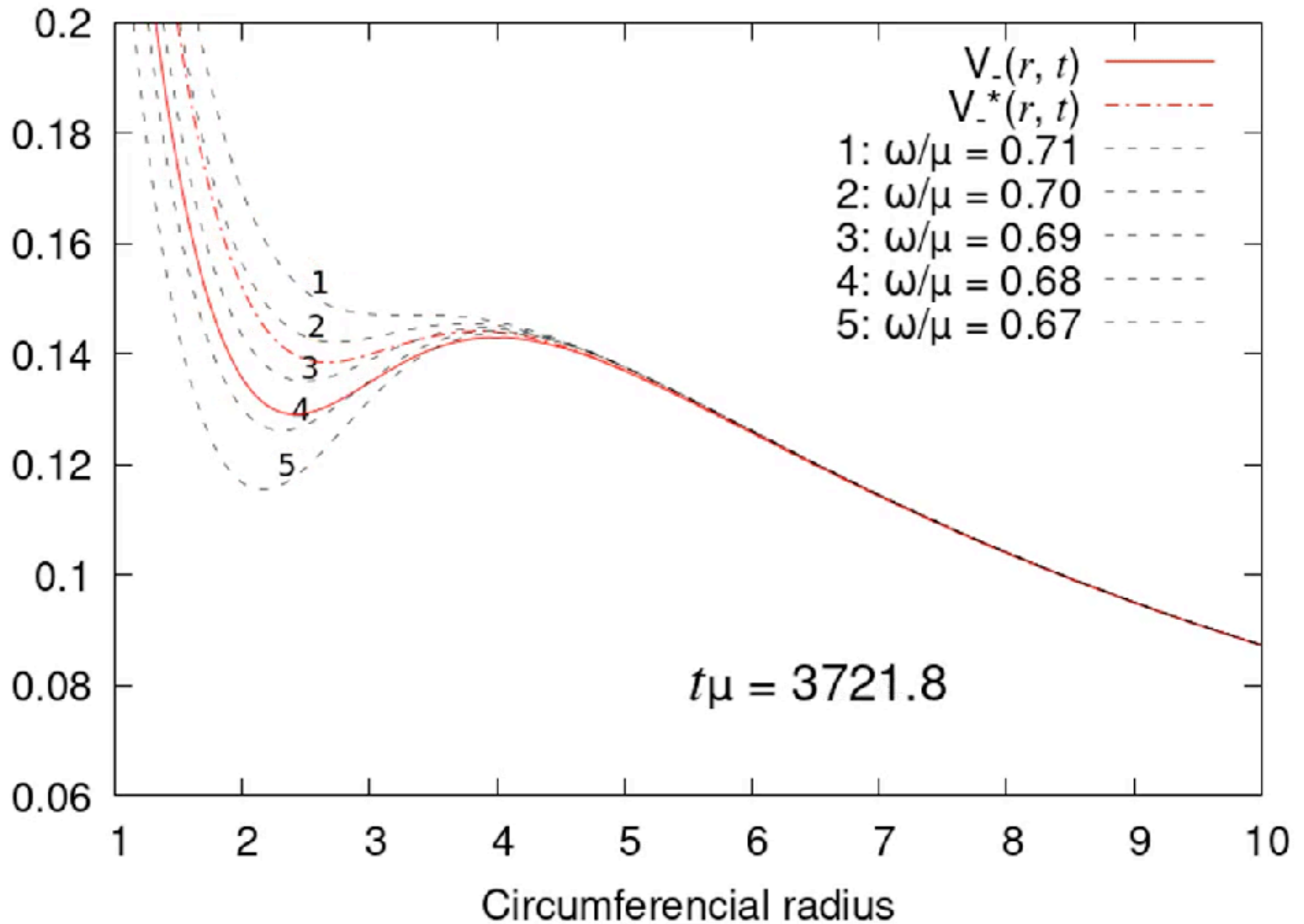
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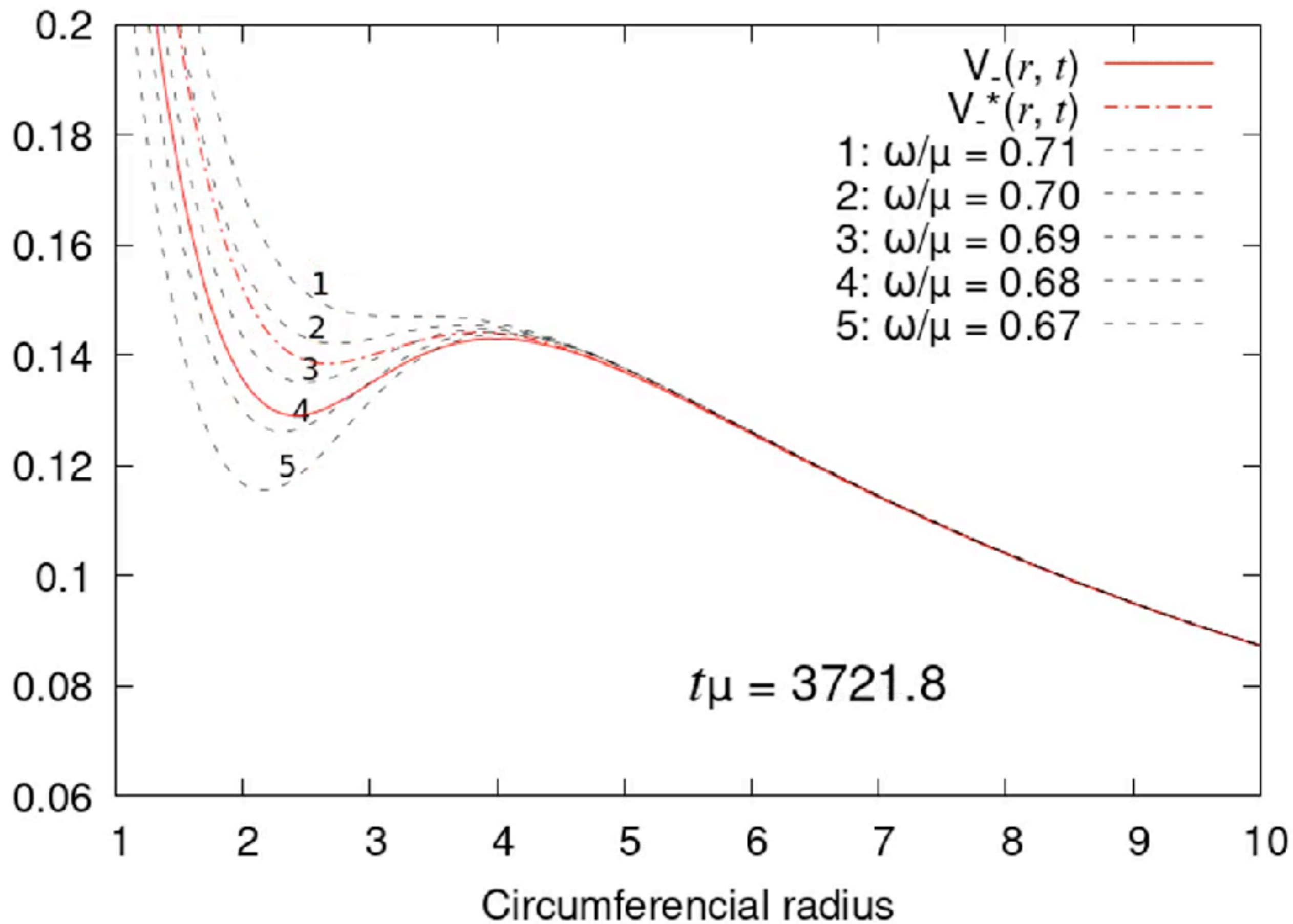
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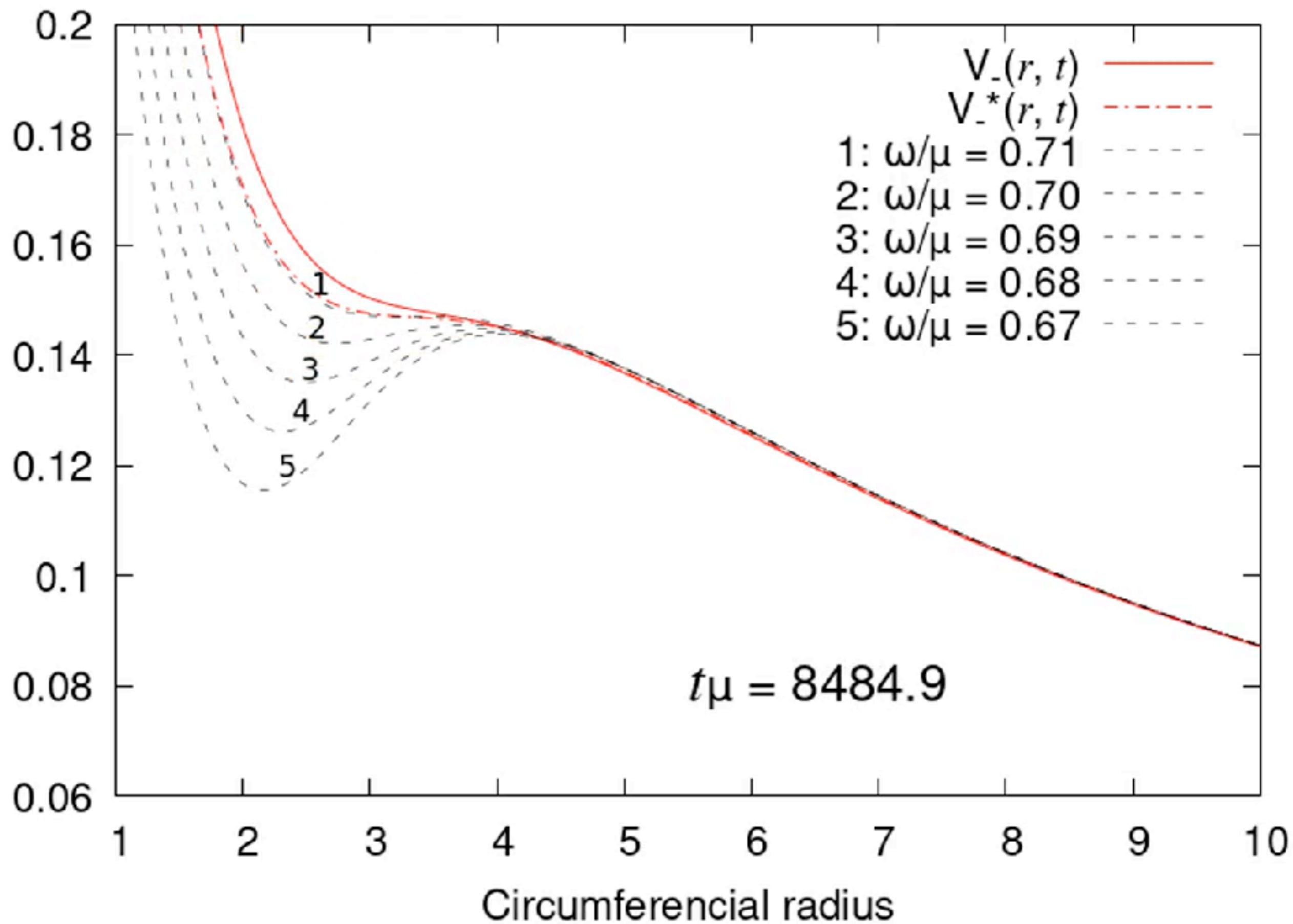
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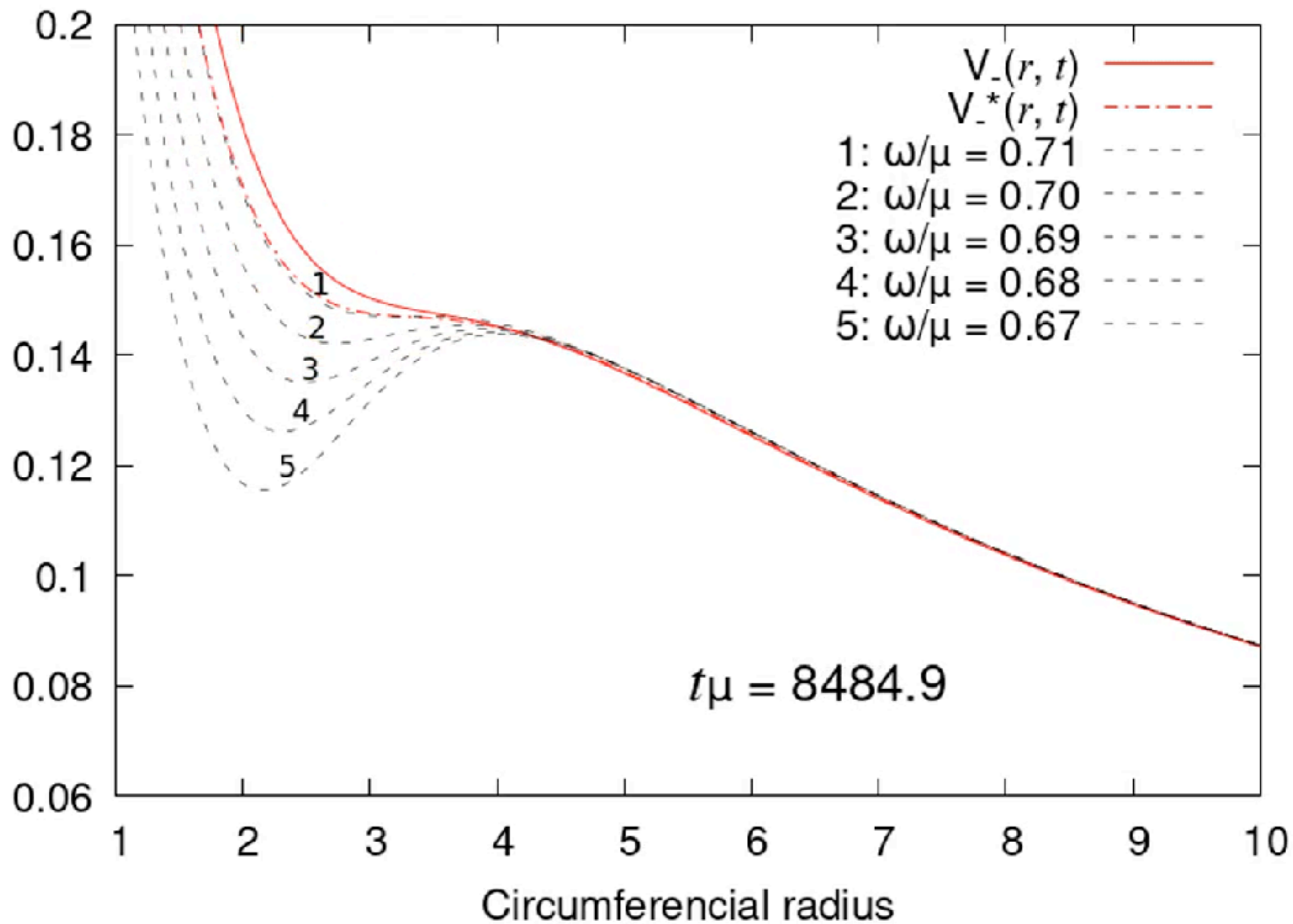
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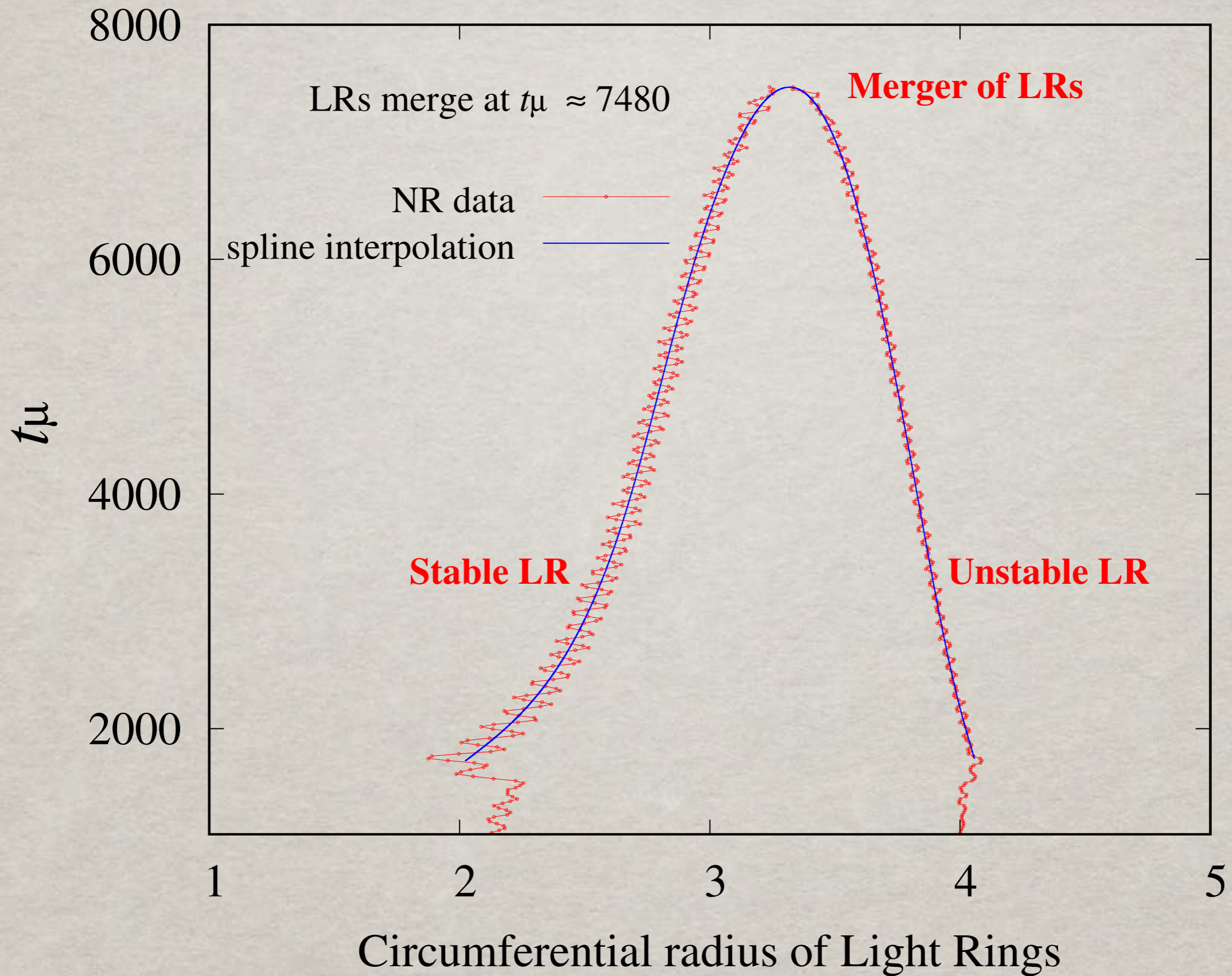


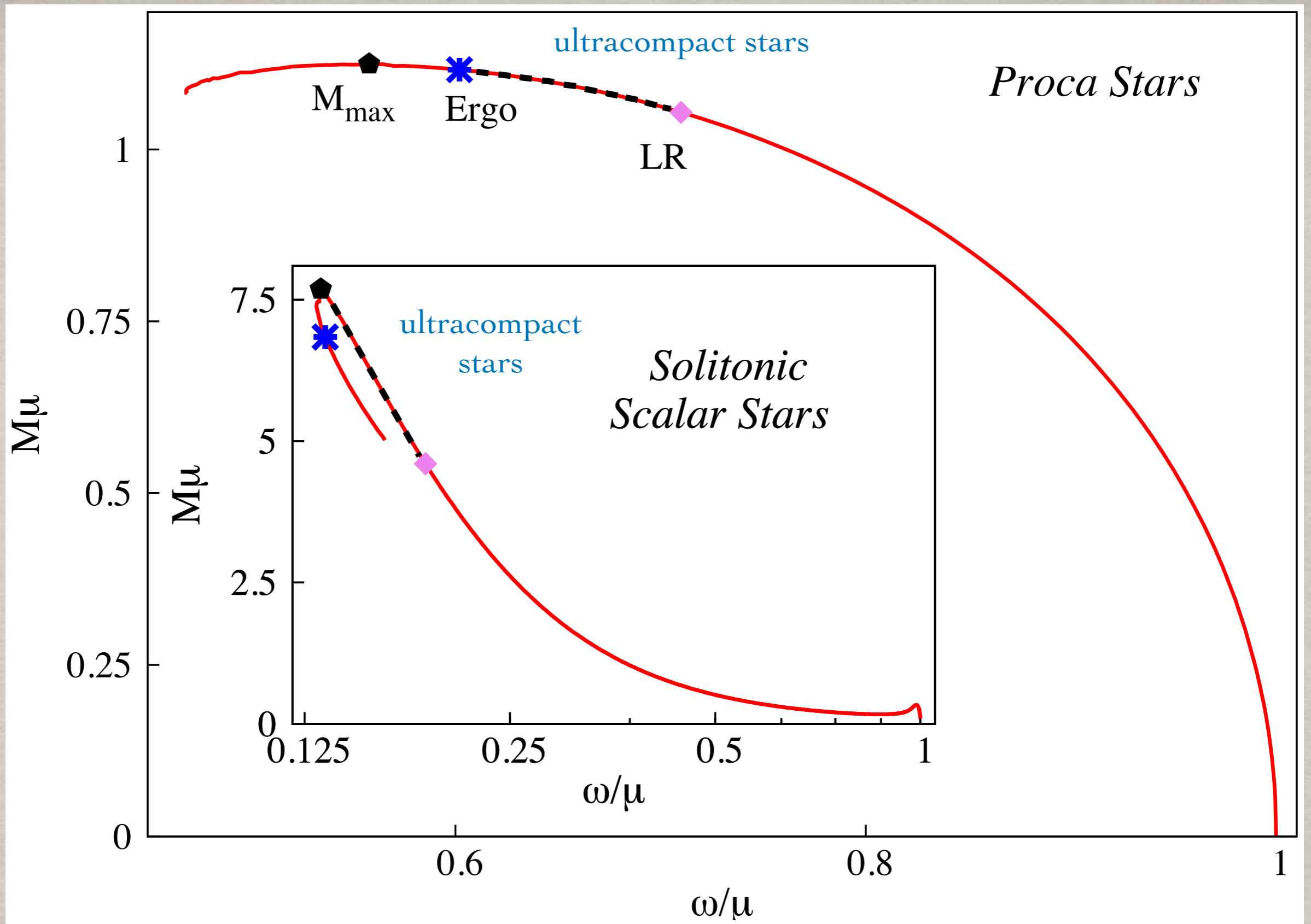
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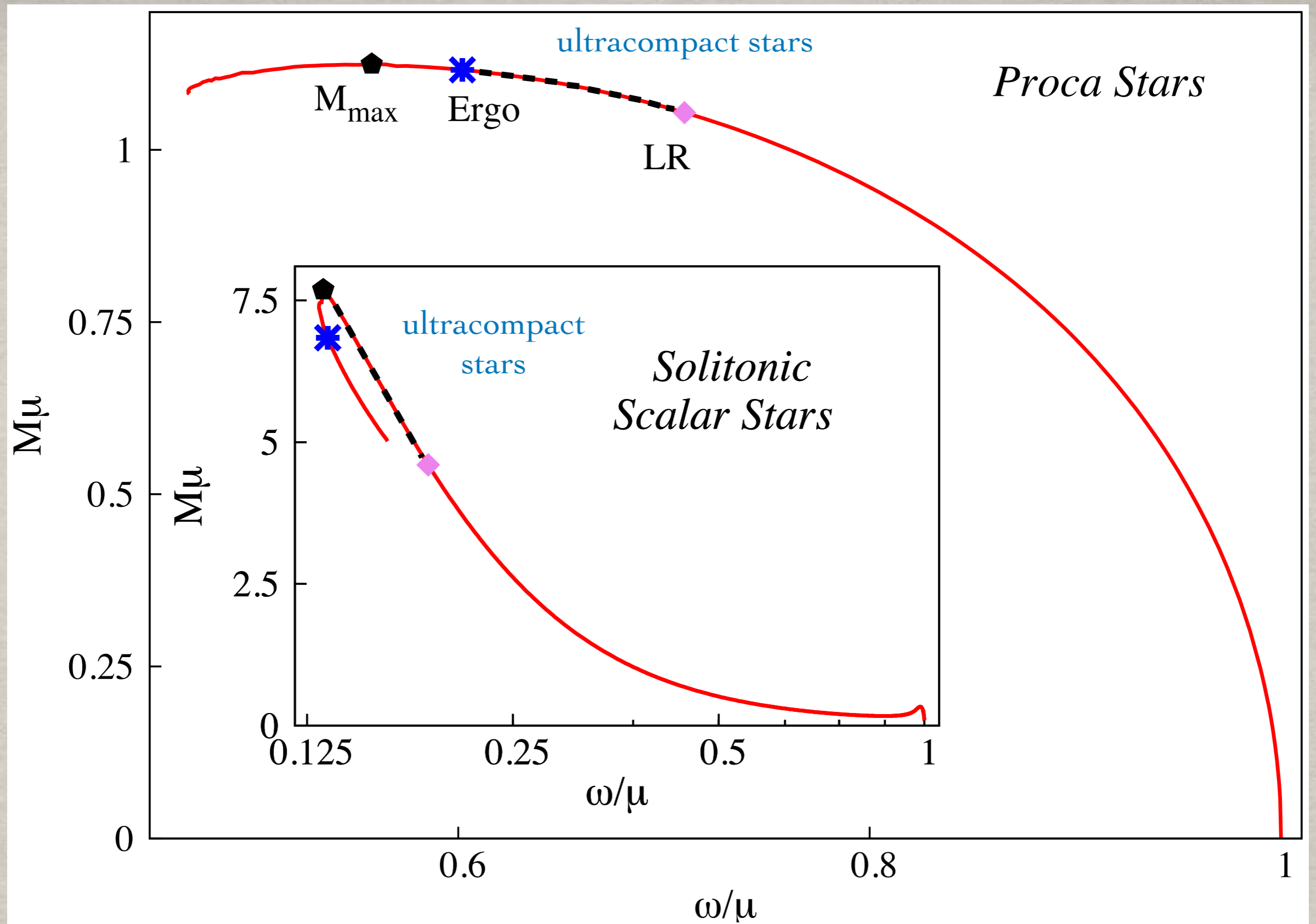


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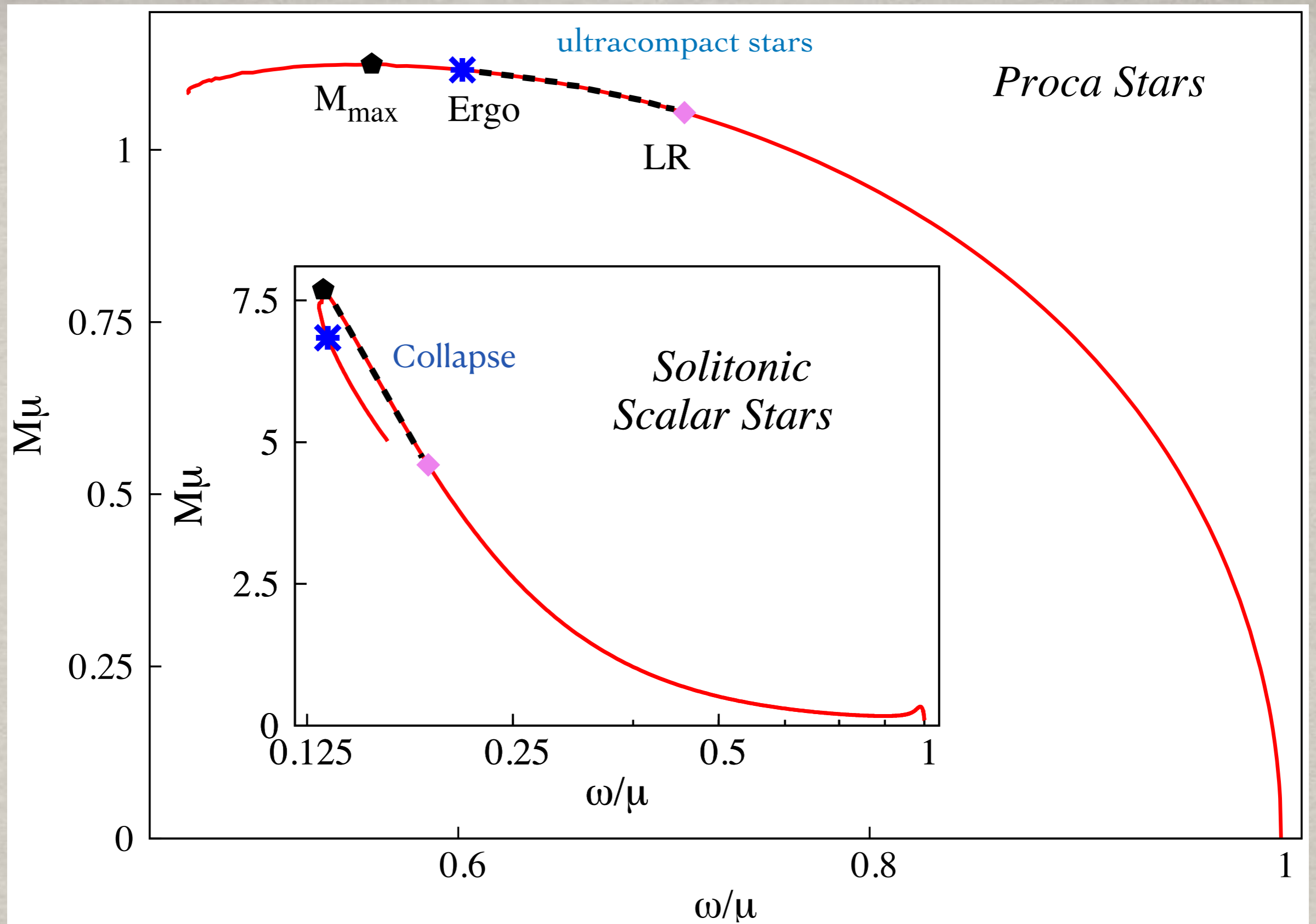




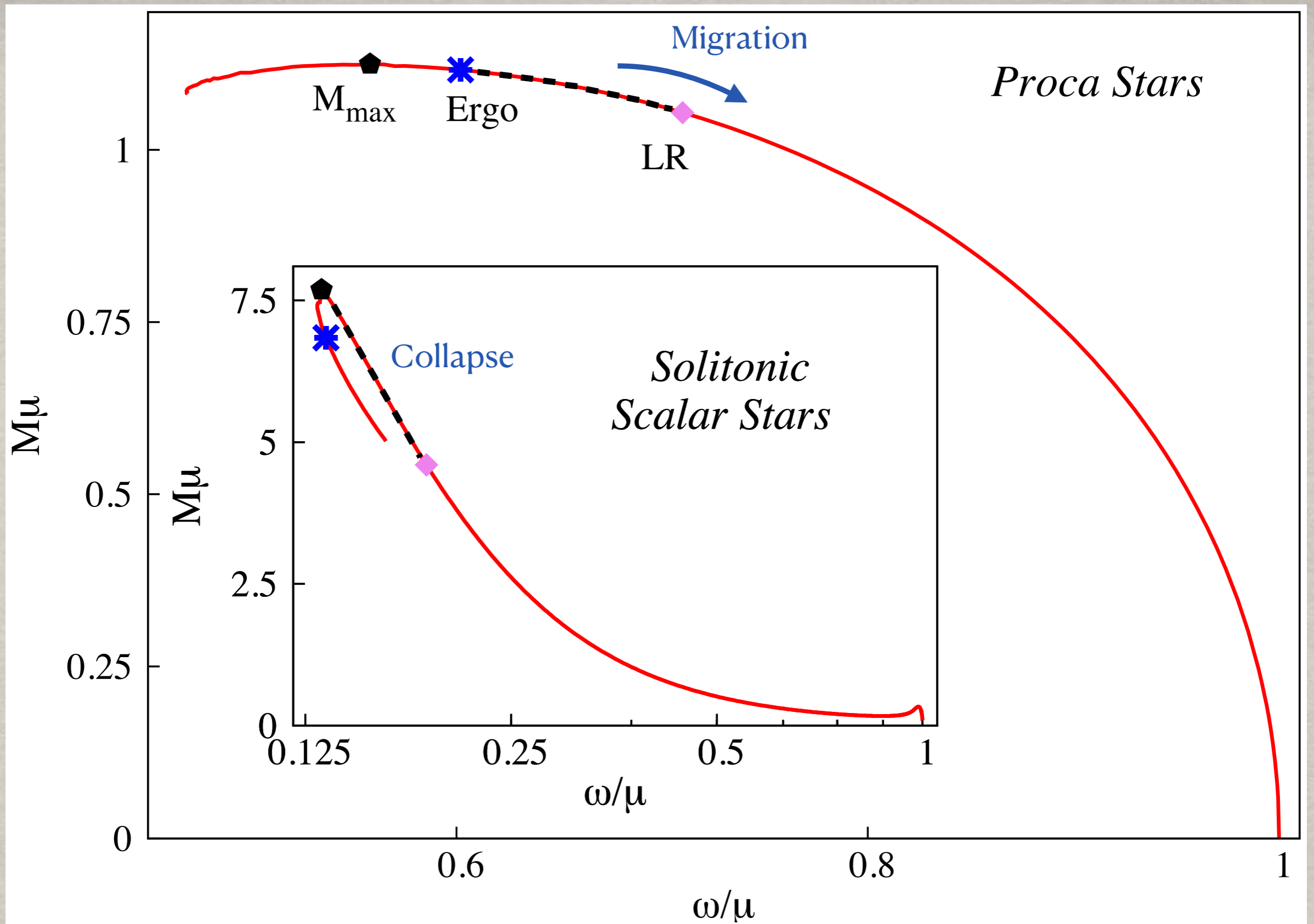




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- 2) Its time scale is astrophysically short, except if close to the critical solution
- 3) There are two possible fates - collapse and migration



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On the fate of the Light Ring instability

Plan:

- 1) The “*black hole (BH) hypothesis*”: BHs and light rings (LRs)
- 2) The “*exotic compact object (ECO) hypothesis*”: ECOs and LRs
- 3) The LR instability and an explicit test of its fate
- 4) Discussion and final thoughts

Can there be ultracompact ECOs?

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Our results provide evidence against them:

- The LR instability is real and it needs not be too long lived, except near the critical solution, leading (at least) to collapse or migration.
- This questions the plausibility of ultracompact ECOs, that have a plausible formation mechanism within GR.

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But:

- These are just a couple of families of examples; are we missing some generality?
- There details in the analysis for which a deeper understanding would be necessary (non-monotonic instability time scale, loss of axi-symmetry, non-linear character of the instability, spatial correlation with stable LR,...).
- Are there reasonable ultracompact ECOs without a stable LR? (Drop the assumptions: circularity, axi-symmetry; topological triviality)
- Can ECOs mimic BHs even if they are not ultracompact?

On the fate of the Light Ring instability

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Journées Relativistes de Tours,
Institut Denis Poisson, Tours, France
June 1st 2023



Thank you for your attention
Merci pour votre attention

