

# Modelling self-consistently beyond general relativity

Pau Figueras

School of Mathematical Sciences  
Queen Mary University of London

w/[Libert Aresté Saló](#), Katy Clough, Phys. Rev. Lett 129 (2022) 261104, *to appear*  
w/[Ramiro Cayuso](#), [Tiago França](#) and Luis Lehner, arXiv:2303.07246

*Journées Relativistes de Tours*  
*Institute Denis Poisson, Tours, France*  
*Wednesday 31st of May 2023*



# Motivation

- Era of gravitational wave astronomy:
  - ➔ New tests of the strong field regime of gravity
  - ➔ Understanding of fundamental nature of gravity
- Current GWs data indicates that deviations from GR are small

# Motivation

- Some issues in carrying out this program:
  - Predictions from (the strong field regime of) alternative theories of gravity are needed
  - No preferred alternative theory
    - ➔ So what should we be looking for?
  - Many such alternative theories are not known to be well-posed
    - ➔ How do we extract their predictions?

# Motivation

- Possible ways forward:
  - Linearise around GR [Okounkova et al; Witek et al;...]
  - Find a well-posed formulation of the desired theory [Barausse et al.; Kovacs; Kovacs and Reall; East, Ripley; Bezares et al.; Aresté-Saló, Clough and PF]
  - “Fix” the theory à la Müller-Israel-Stewart (MIS) [Lehner et al...; Cayuso, PF, França, Lehner]

# Motivation:

## understand the fundamental nature of gravity

- Consider higher derivative theories: well-motivated from microscopic theories of quantum gravity (analogous to hydrodynamics)
- Effects may be enhanced in the strong field regime
- **Focus on black holes.** Other gravitational objects (e.g., neutron stars,...) typically require other (non-gravitational) physics

# Gravity as an EFT

- Add all possible terms (in a derivative expansion) to the Einstein-Hilbert Lagrangian, consistent with the symmetries

$$I = \frac{1}{16\pi G} \int d^4x \sqrt{|g|} \left[ R + \frac{1}{\Lambda_{UV}^2} (\alpha_1 \cancel{R^2} + \alpha_2 \cancel{R_{\mu\nu} R^{\mu\nu}}) + \frac{\beta}{\Lambda_{UV}^3} \mathbf{Riem}^3 \dots \right]$$

- Some terms can be removed by field redefinitions and using the lower order eoms
- The coefficients in the expansion are determined by the microscopic theory
- The EFT is only reliable at distances  $L \gg \Lambda_{UV}^{-1}$

# Outline

- Well-posedness of the initial value problem in gravity
- 4 derivative scalar-tensor theory ( $4\partial$ ST)
- Müller-Israel-Stewart (MIS) for gravity: 8 derivative theory
- Conclusions

**Well-posedness of the initial value problem**



# Well-posedness

- Given suitable initial data, the solution exists, is unique and it depends continuously on the initial data
  - ➔ Predictive power
  - ➔ Control of the “size” of the solution from the initial data (for small times)
- ⇒ Essential to hope to solve the equation(s) numerically
- In GR, establishing well-posedness depends on finding a suitable gauge and on the initial data

# Well-posedness: GR

- Generalised harmonic coordinates:  $C^\mu = \square_g x^\mu - H^\mu = 0$  [Choquet-Bruhat]

$$\frac{1}{2}g^{\alpha\beta}g_{\mu\nu,\alpha\beta} + g^{\alpha\beta}{}_{,(\mu}g_{\nu)\alpha,\beta} + H_{(\mu,\nu)} - H_\alpha\Gamma^\alpha_{\mu\nu} + \Gamma^\alpha_{\mu\beta}\Gamma^\beta_{\nu\alpha} = 0$$

- ➔ Manifest wave-like nature of the Einstein equations
- ➔ Requires excision of singularities
- ➔ All modes propagate at the speed of light

# Well-posedness: GR

- ADM-like formulations (BSSN/CCZ4) in singularity avoiding coordinates  
[Baumgarte, Shapiro, Shibata, Nakamura; Baker et al., Campanelli et al.]:

- Decompose the spacetime metric into space and time:

$$ds^2 = -\alpha^2 dt^2 + \gamma_{ij}(dx^i + \beta^i dt)(dx^j + \beta^j dt)$$

- Evolve the induced metric  $\gamma_{ij}$  and its “velocity”  $\partial_t \gamma_{ij} \sim K_{ij}$  (i.e., extrinsic curvature)

- Coordinate freedom: choice of  $\alpha$  and  $\beta^i \rightarrow$  equivalent to choosing  $H^\mu$

- But it is a bit more complicated: rescale  $\gamma_{ij}$ ,  $K_{ij}$ , use the constraints in the eoms, find “good” evolution equations for  $\alpha$  and  $\beta^i$

$$\partial_t \tilde{\gamma}_{ij} = \dots$$

$$\partial_t \chi = \dots$$

$$\partial_t K = \dots$$

$$\partial_t \tilde{A}_{ij} = \dots$$

$$\partial_t \tilde{\Gamma}^i = \dots$$

$$\partial_t \alpha = \dots$$

$$\partial_t \beta^i = \dots$$

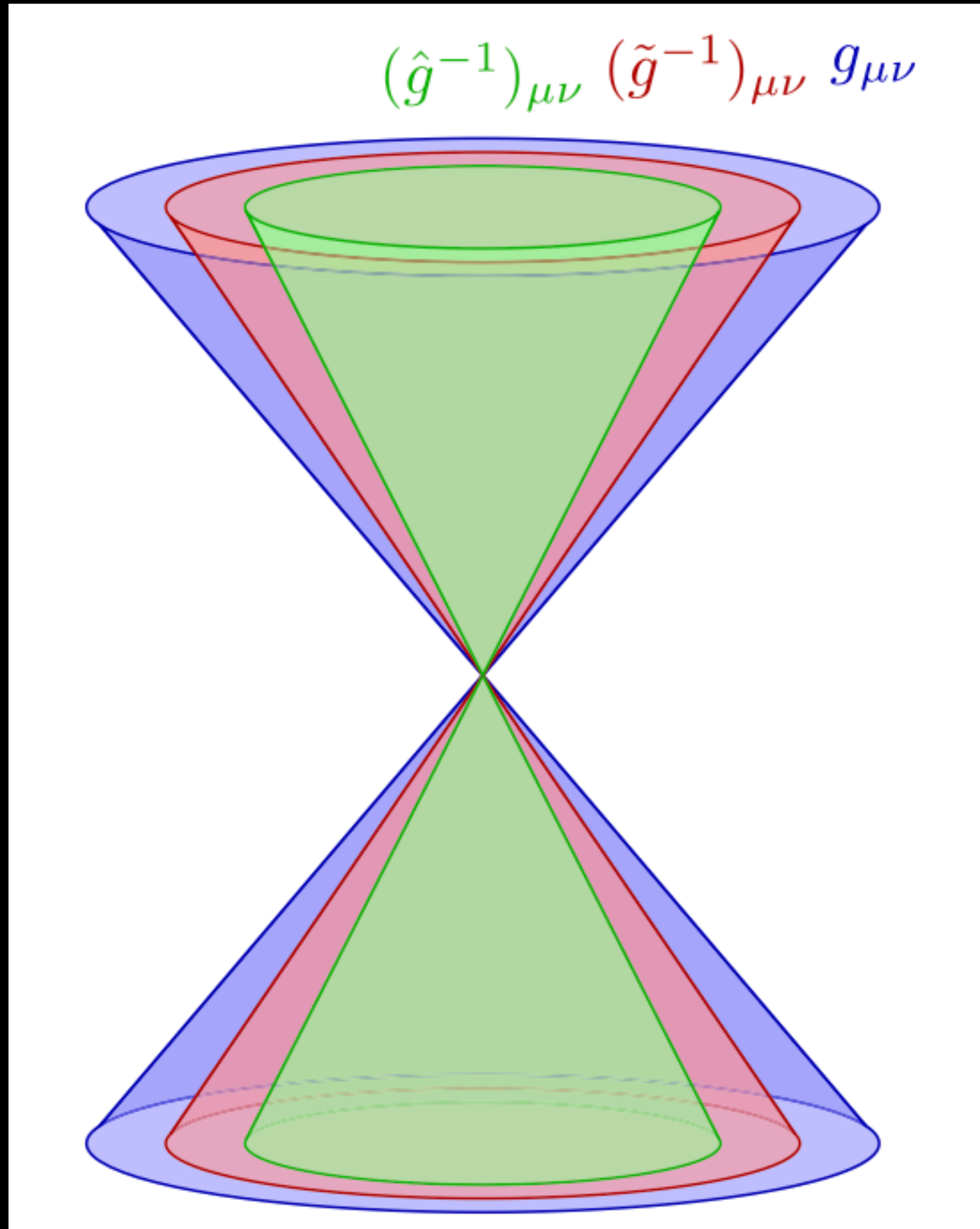
➡ Wave-like nature of the Einstein equations obscured

➡ No singularities in the computational domain

➡ Not all modes propagate at the speed of light (issues with constraint preserving BCs)

# Well-posedness: beyond GR

- Horndeski and Lovelock theories are not well posed in harmonic gauge due to degeneracies [Papallo and Reall]
  - Solution: break the degeneracies → modified harmonic gauge [Kovacs and Reall]
- ➡ Introduce auxiliary metrics so that different modes propagate on the light cone of a different metric



$$R^{\mu\nu} - \frac{1}{2}Rg^{\mu\nu} - \hat{P}_{\alpha}^{\beta\mu\nu}\nabla_{\beta}C^{\alpha} = 0$$

$$C^{\mu} = H^{\mu} + \tilde{g}^{\rho\sigma}\Gamma_{\rho\sigma}^{\mu}$$

$$\hat{P}_{\alpha}^{\beta\mu\nu} = \delta_{\alpha}^{(\mu}\hat{g}^{\nu)\beta} - \frac{1}{2}\delta_{\alpha}^{\beta}\hat{g}^{\mu\nu}$$

- Modified harmonic gauge:  $\tilde{g}^{\alpha\beta}\Gamma_{\alpha\beta}^{\mu} = H^{\mu}$
- Modified BSSN/CCZ4: find suitable  $H^{\mu}$  that generalise the usual evolution equations for the lapse and the shift (1+log slicing and Gamma driver) [Aresté-Saló, Clough, PF]
- $4\partial$ ST, Einstein-Gauss-Bonnet, etc., are well-posed in the modified CCZ4 formulation

Most general 4-derivative scalar-tensor ( $4\partial$ ST) theory of gravity

w/ **Llibert Aresté Saló**, Katy Clough



# Most general scalar-tensor theory of gravity up to 4 derivatives

- Most general general scalar-tensor theory of gravity up to 4 derivatives [Weinberg]:

$$I = \frac{1}{16\pi} \int d^4x \sqrt{-g} \left[ R + X - V(\phi) + g_2(\phi) X^2 + \lambda(\phi) \mathcal{L}_{GB} \right]$$

$$X = -\frac{1}{2} (\nabla_\mu \phi) (\nabla^\mu \phi) \quad \mathcal{L}_{GB} = R^2 - 4 R_{\mu\nu} R^{\mu\nu} + R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma}$$

- EFT of inflation
- 2nd order eoms!
- Our choices:

$$V(\phi) = 0, \quad g_2(\phi) = g_2 \quad \lambda(\phi) = \frac{\lambda^{GB}}{4} \phi \quad \text{or} \quad \lambda(\phi) = \frac{\lambda^{GB}}{\gamma} (1 - e^{-\gamma\phi^2})$$

# Weak vs Strong coupling

- We take an EFT approach:
  - ➔ We consider the full theory but in a regime where the higher derivative terms in the eoms are small at all times
  - ➔ Compatible with non-linearities being important and consistent to neglect higher derivative terms in the action
  - ➔ Well-posedness holds
- In practice we monitor that the weak coupling condition is satisfied

$$|g_2 L^{-2}| \ll 1, \quad |\lambda'(\phi) L^{-2}| \ll 1, \quad L^{-1} = \mathbf{sup}\{ |R_{\mu\nu\rho\sigma}|^{\frac{1}{2}}, |\nabla_\mu \phi|, |\nabla_\mu \nabla_\nu \phi|^{\frac{1}{2}} \}$$

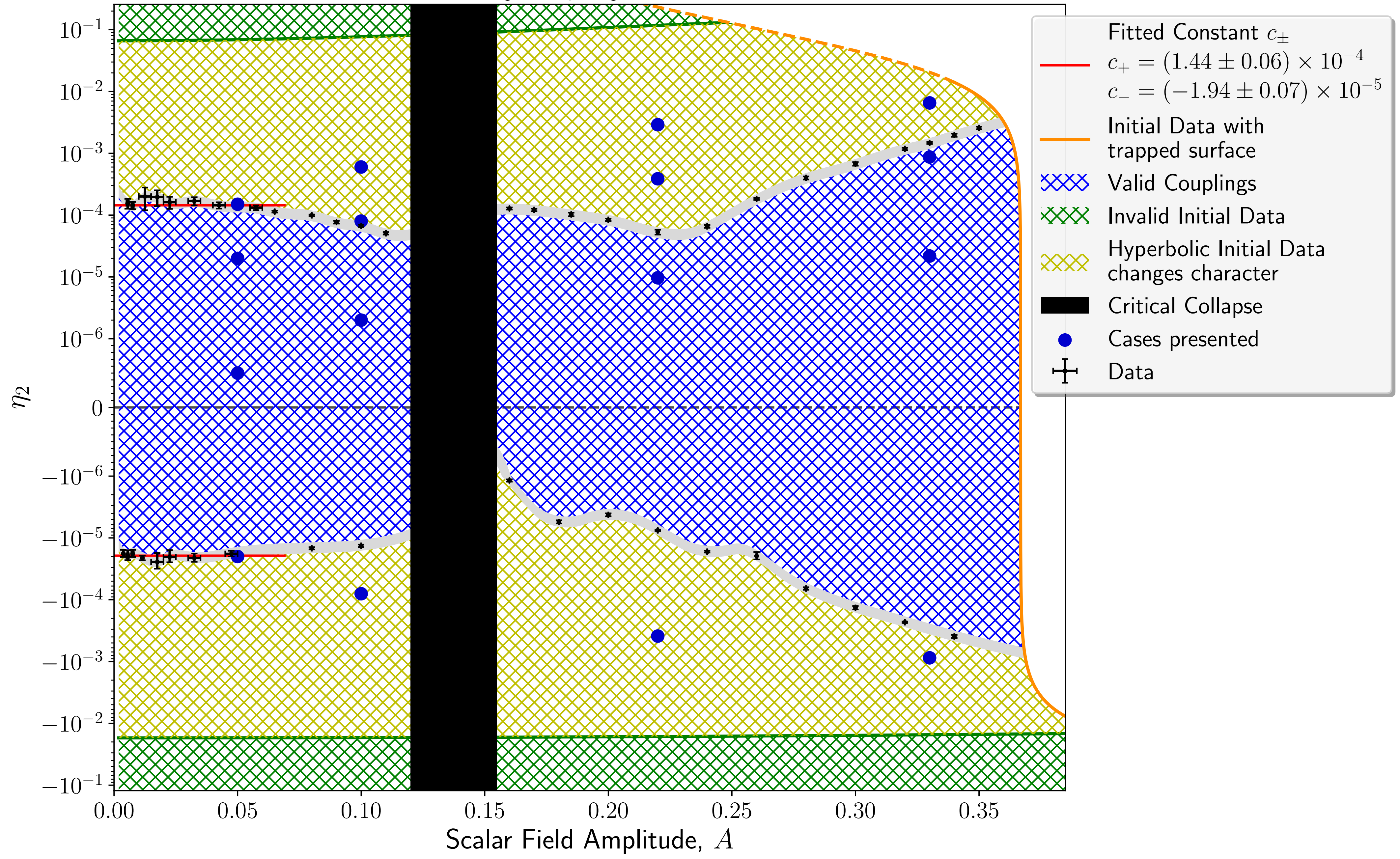
# Weak vs Strong coupling

- Cases:  $h^{\mu\nu} \nabla_\mu \nabla_\nu \phi = \lambda'(\phi) \mathcal{L}_{GB}$ 
  - $\lambda(\phi) = \frac{\lambda^{GB}}{4} \phi$ : shift-symmetric case  $\rightarrow$  Kerr is not a solution, only hairy black holes
  - $\lambda(\phi) = \lambda^{GB} \gamma^{-1} (1 - e^{-\gamma \phi^2})$ : Kerr and hairy black holes are solutions
- The evolution of the scalar field is controlled by an effective metric:

$$h^{\mu\nu} = g^{\mu\nu} (1 + g_2 X) - 2g_2 (\nabla^\mu \phi)(\nabla^\nu \phi)$$

- $\Rightarrow$  Hyperbolicity can break down in the strongly coupled regime
- $\Rightarrow$  Shocks can form from smooth initial data

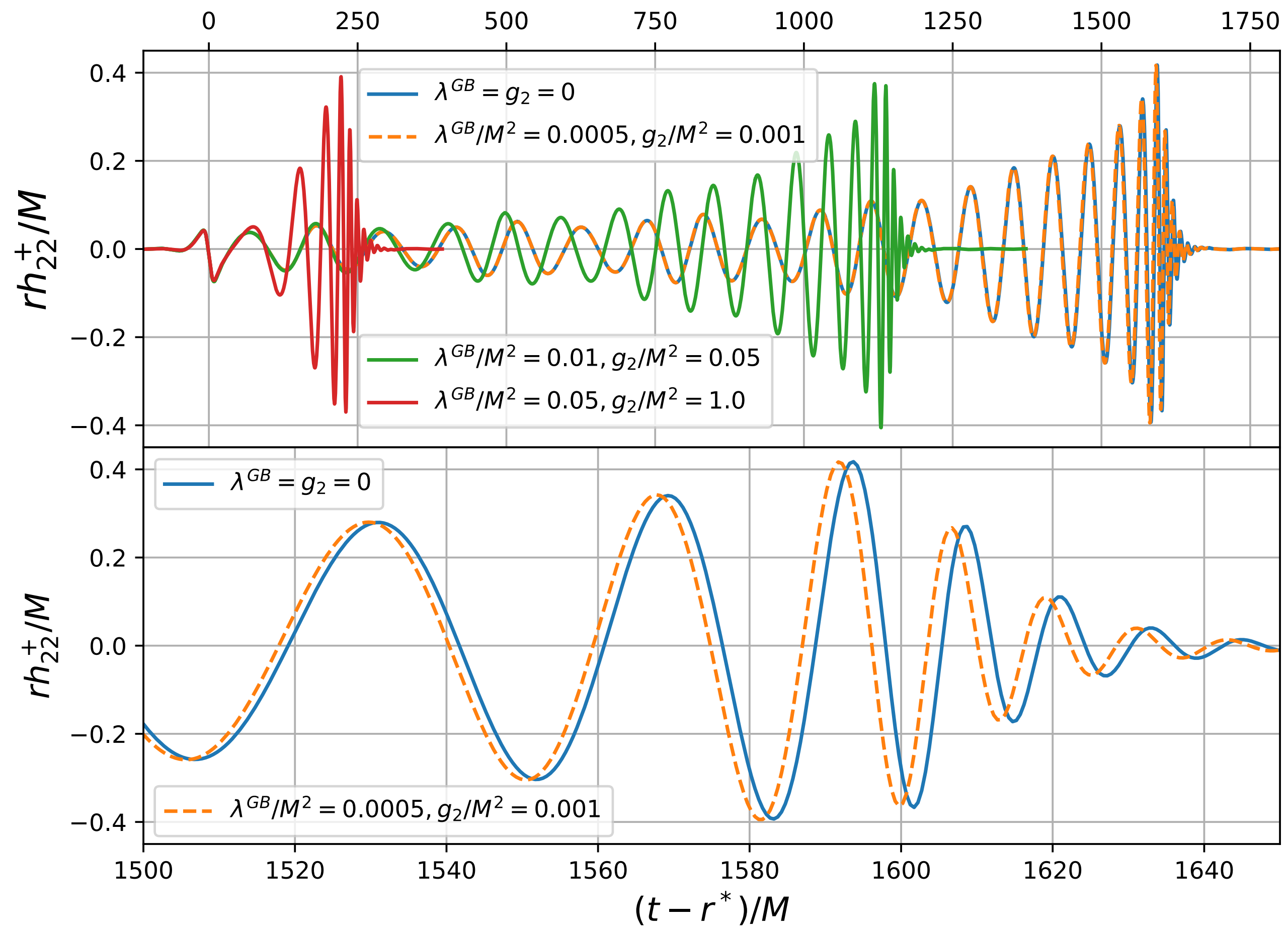
# Strong Coupling Threshold



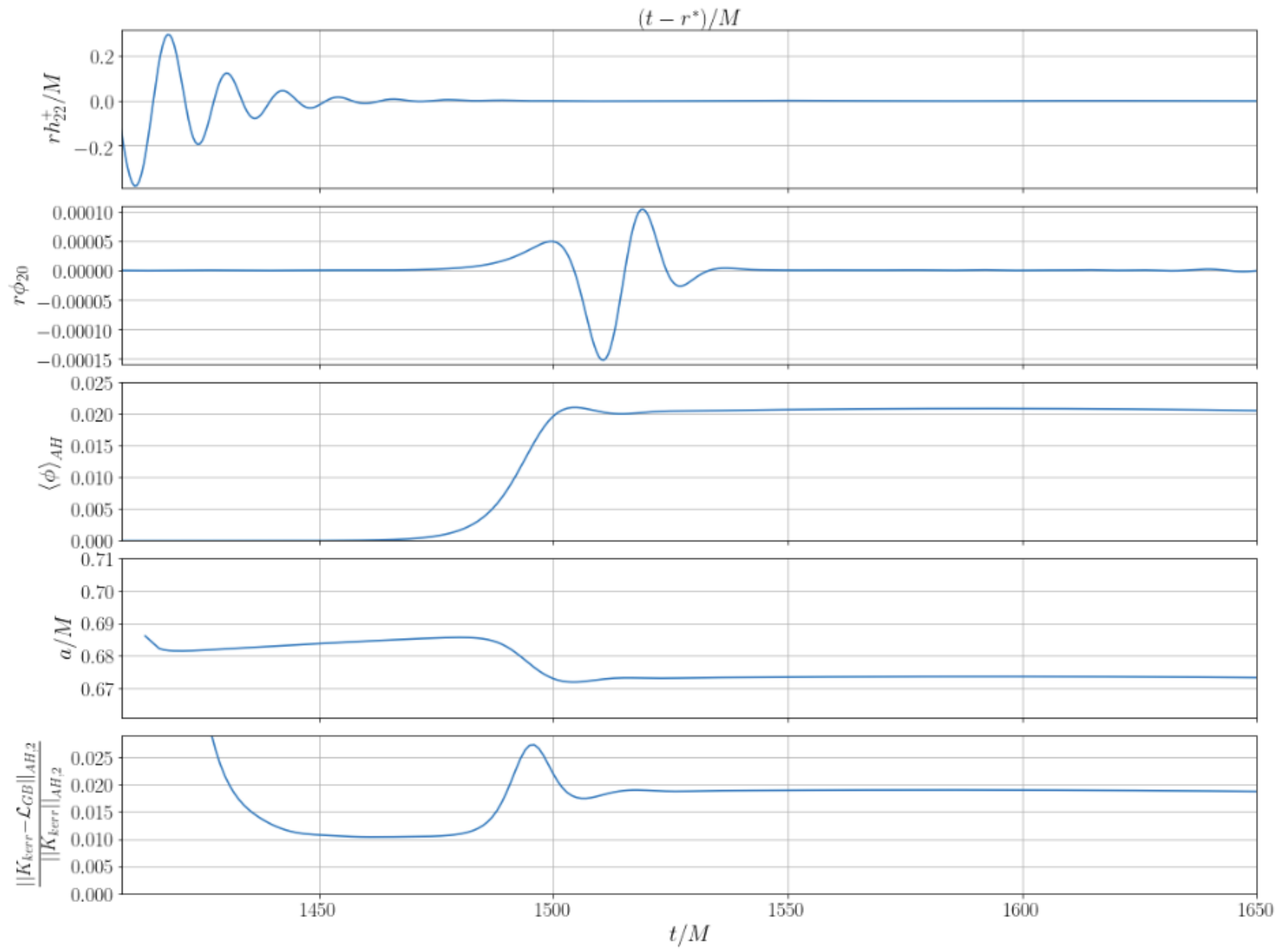
# Black hole binaries

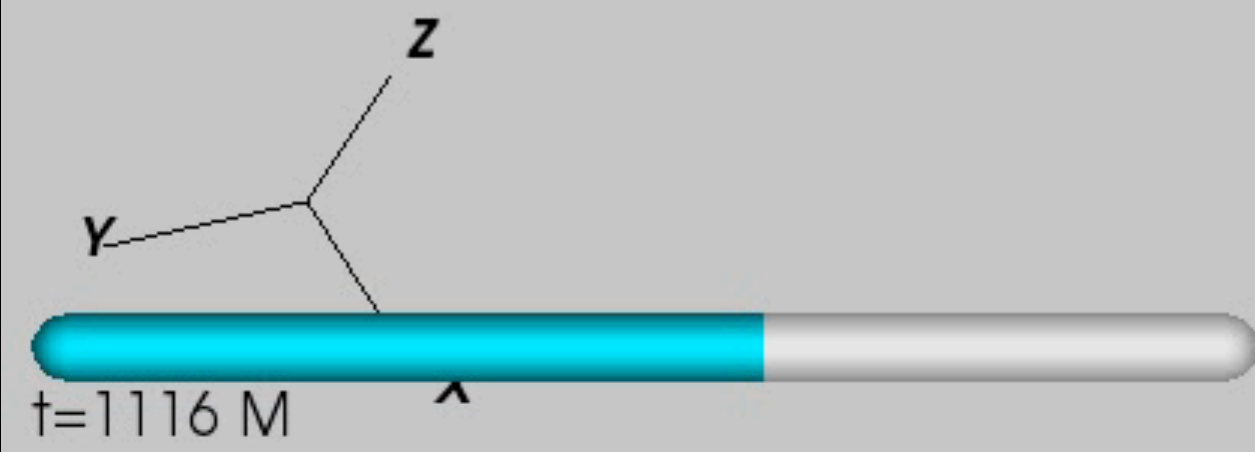
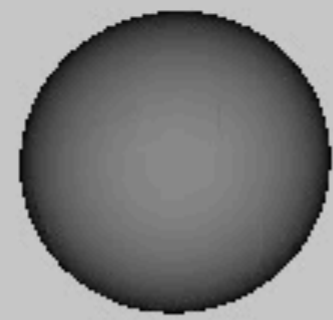
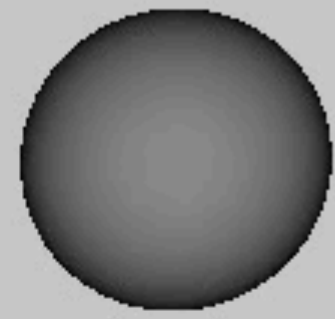
- Initial data corresponding to two superposed non-spinning GR black holes  
→ small initial constraint violations
- Initial configuration is in the weakly coupled regime
- ~11 quasi-circular orbits
- Monitor the weak coupling condition
- “Excise” a portion of the interior of the AH

$$\lambda(\phi) = \frac{\lambda^{GB}}{4} \phi \quad \text{theory}$$

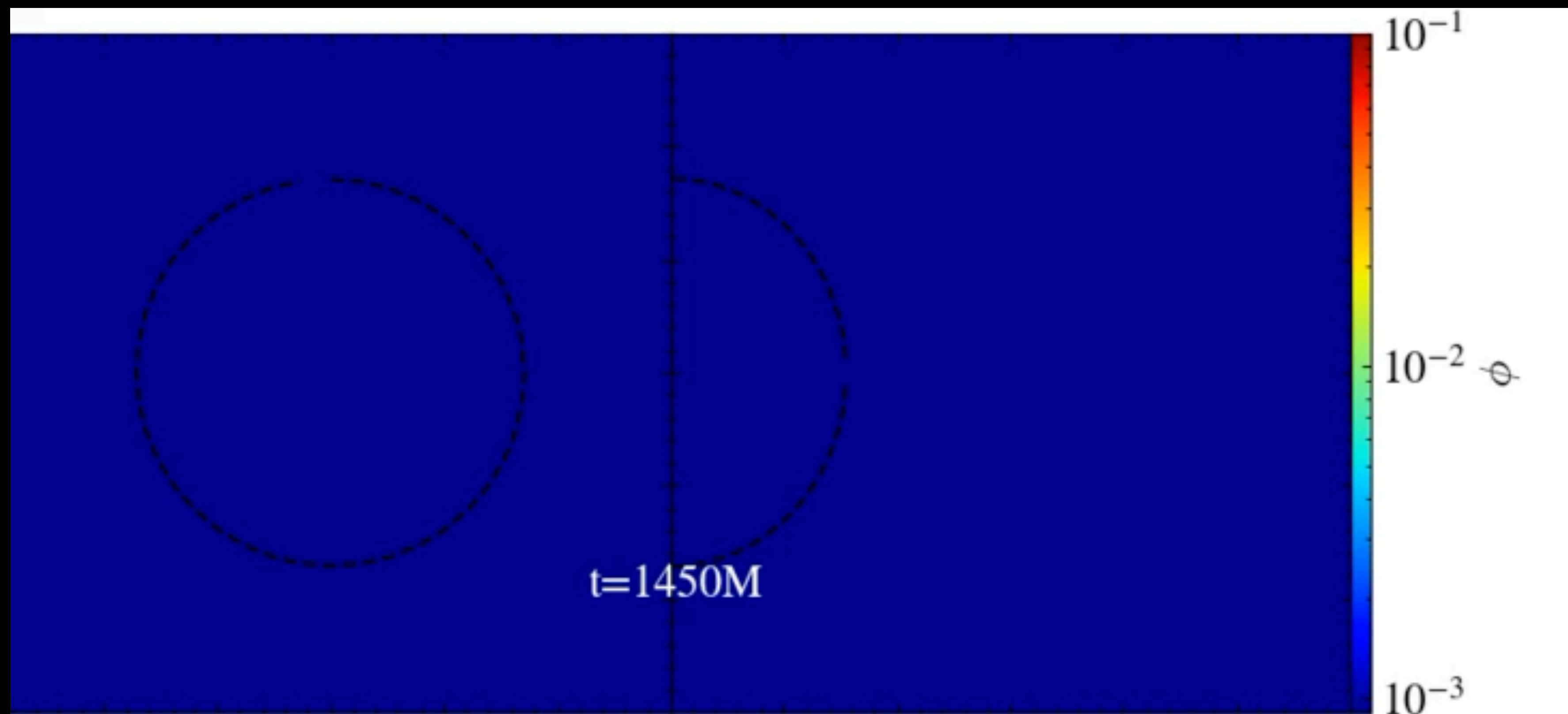


$$\lambda(\phi) = \frac{\lambda^{GB}}{\gamma} (1 - e^{-\gamma\phi^2}) \text{ theory}$$









**Müller-Israel-Stewart (MIS) for an 8-derivative theory of gravity**

w/ **Ramiro Cayuso, Tiago França** and Luis Lehner

# Eight derivative theory of gravity

- Most general higher derivative theory of gravity (in vacuum) up to 8 derivatives:

$$I = \int dx^4 \sqrt{-g} \left( R - \frac{1}{\Lambda^6} \mathcal{C}^2 - \frac{1}{\tilde{\Lambda}^6} \mathcal{C}^2 - \frac{1}{\Lambda^6} \mathcal{C} \tilde{\mathcal{C}} \right)$$

$$\mathcal{C} = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma}, \quad \tilde{\mathcal{C}} = R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma}, \quad \tilde{R}^{\mu\nu\rho\sigma} = \frac{1}{2} \epsilon_{\mu\nu}^{\alpha\beta} R_{\alpha\beta\rho\sigma}$$

- ➔ EOMs with 4th order derivatives ( $\epsilon \equiv \Lambda^{-6}$ ):

$$G_{\mu\nu} = 8\epsilon \left\{ \mathcal{C} \left[ \square R_{\mu\nu} - \frac{1}{2} \nabla_{\mu} \nabla_{\nu} R - \frac{1}{16} \mathcal{C} g_{\mu\nu} - R_{\mu\lambda} R^{\lambda}_{\nu} \right. \right. \\ \left. \left. + R^{\alpha\beta} R_{\mu\alpha\nu\beta} + \frac{1}{2} R_{\mu\sigma\rho\lambda} R_{\nu}^{\sigma\rho\lambda} \right] \right. \\ \left. + 2(\nabla^{\alpha} \mathcal{C}) \left[ \nabla_{\alpha} R_{\mu\nu} - \nabla_{(\mu} R_{\nu)\alpha} \right] + R_{\mu}^{\alpha}{}_{\nu}{}^{\beta} \nabla_{\alpha} \nabla_{\beta} \mathcal{C} \right\}$$

- No mathematical theory for general higher than 2nd order PDEs
- *How is one to approach the study of this theory and its physical predictions?*

# MIS: relativistic viscous hydrodynamics

- 2nd order stress tensor of a relativistic viscous (conformal) fluid:

$$T_{\mu\nu} = \frac{\rho}{d-1}(d u_\mu u_\nu + \eta_{\mu\nu}) + \Pi_{\mu\nu}$$

$$\Pi_{\mu\nu} = -2\eta\sigma_{\mu\nu} + 2\eta\tau_\Pi \left( \langle u^\alpha \partial_\alpha \sigma_{\mu\nu} \rangle + \frac{1}{d-1} \sigma_{\mu\nu} \partial_\alpha u^\alpha \right) + \langle \lambda_1 \sigma_{\mu\alpha} \sigma_\nu^\alpha + \lambda_2 \sigma_{\mu\alpha} \omega_\nu^\alpha + \lambda_3 \omega_{\mu\alpha} \omega_\nu^\alpha \rangle$$

➔  $\partial_\mu T^{\mu\nu} = 0$  are third order PDEs. How does one solve them?

- MIS formulation: promote  $\Pi_{\mu\nu}$  to a new dynamical variable with eom

$$\Pi_{\mu\nu} = -2\eta\sigma_{\mu\nu} - \tau_\Pi \left( \langle u^\alpha \partial_\alpha \Pi_{\mu\nu} \rangle + \frac{d}{d-1} \Pi_{\mu\nu} \partial_\alpha u^\alpha \right) + \left\langle \frac{\lambda_1}{\eta^2} \Pi_{\mu\alpha} \Pi_\nu^\alpha - \frac{\lambda_2}{\eta} \Pi_{\mu\alpha} \omega_\nu^\alpha + \lambda_3 \omega_{\mu\alpha} \omega_\nu^\alpha \right\rangle$$

➔ the eoms are 1st order and  $\Pi_{\mu\nu} \rightarrow -2\eta\sigma_{\mu\nu}$  on a timescale set by  $\tau_\Pi$

# MIS for gravity

- Order reduction:  $\text{Ric} \sim \mathcal{O}(\epsilon) \Rightarrow$  keep only the  $\mathcal{O}(\epsilon)$  terms in the EOMs

$$G_{\mu\nu} = \epsilon \left( 4 \mathcal{C} W_{\mu}^{\alpha\beta\gamma} W_{\nu\alpha\beta\gamma} - \frac{1}{2} g_{\mu\nu} \mathcal{C}^2 + 8 W_{\mu}^{\alpha}{}_{\nu}{}^{\beta} \nabla_{\alpha} \nabla_{\beta} \mathcal{C} \right), \quad \mathcal{C} = W_{\mu\nu\rho\sigma} W^{\mu\nu\rho\sigma}$$

- Want:
  - Well-posed, 2nd order (in time) equations
  - Consistently incorporate the small corrections at long wavelengths whilst controlling to flow of energy to the UV
  - Capture non-linearities whilst remaining in the regime of validity of EFT

# MIS for gravity

- Model problem:  $\square \phi = -\epsilon \partial_t^4 \phi$ 
  - Option A:  $\partial_t^4 \phi = \partial_t^2 C(\phi)$  with  $C(\phi) = \partial_x^2 \phi$
  - Option B:  $\partial_t^4 \phi = \partial_x^4 \phi$

➔ Both options lead to blow up

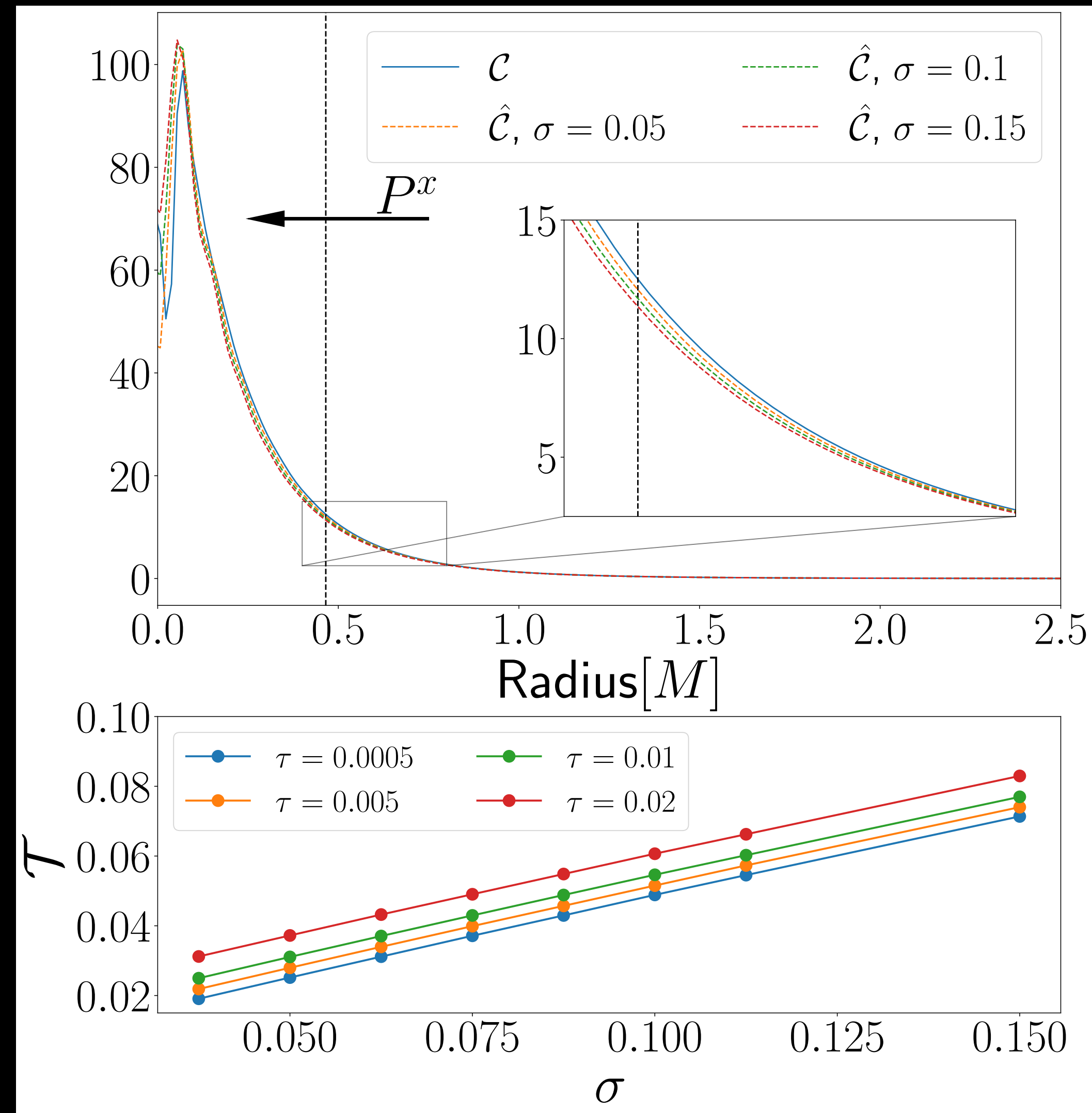
- Solution for the model problem:  $\square \phi = -\epsilon \partial_t^2 \hat{C}$ 
$$\tau \partial_0 \hat{C} + \sigma (\partial_t^2 - 2\beta^i \partial_{ti} + \beta^i \beta^j \partial_{ij}) \hat{C} = C(\phi) - \hat{C}$$
  - $\hat{C} \rightarrow C(\phi)$  on a timescale  $\sigma/\tau$
  - $\sigma$  and  $\tau$  can be chosen to minimise the difference and dependence of the solution on them while preserving numerical stability

# MIS for gravity

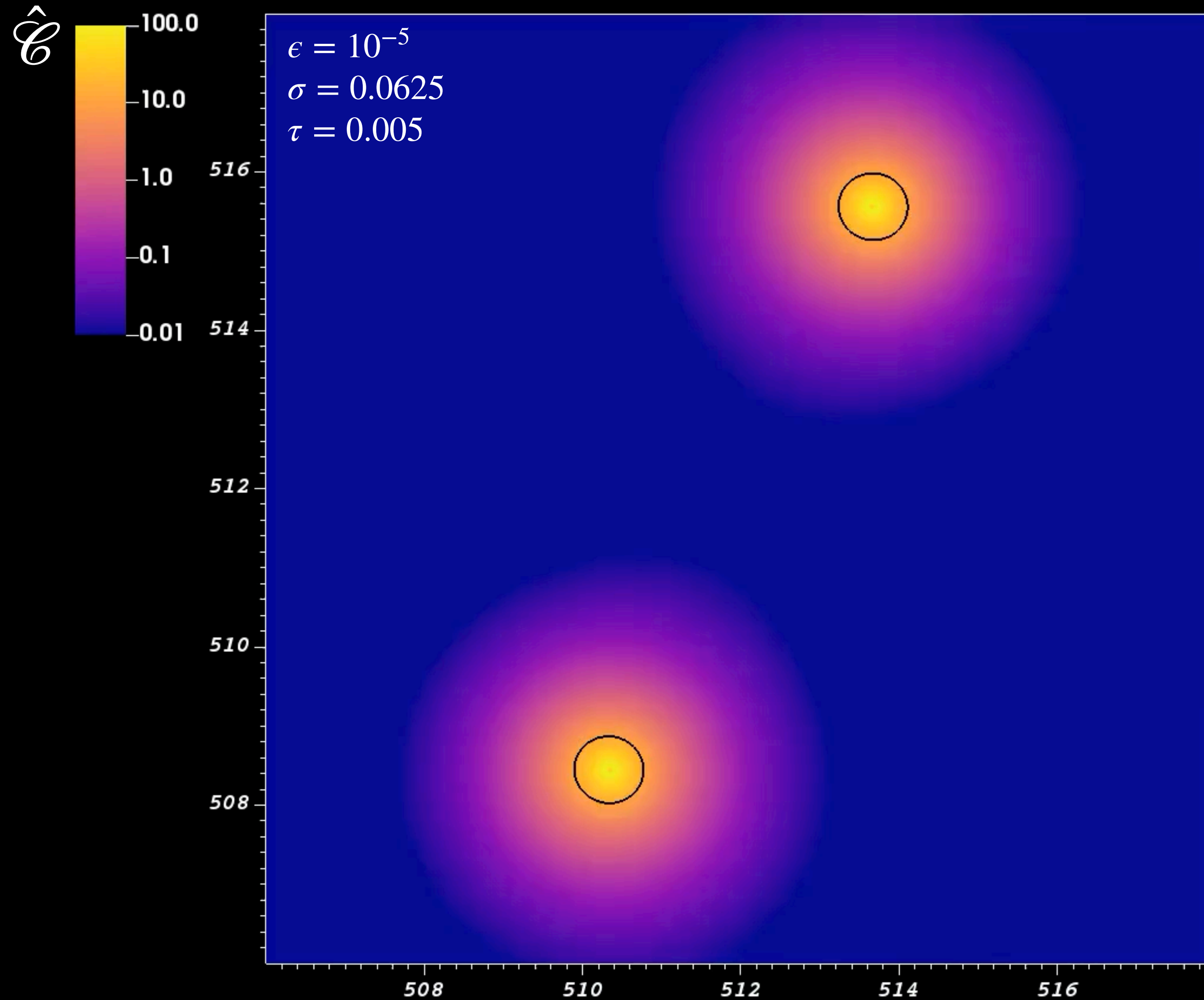
- Our solution: 
$$G_{\mu\nu} = \epsilon \left( 4 \hat{\mathcal{C}} W_{\mu}^{\alpha\beta\gamma} W_{\nu\alpha\beta\gamma} - \frac{1}{2} g_{\mu\nu} \hat{\mathcal{C}}^2 + 8 W_{\mu}^{\alpha}{}_{\nu}{}^{\beta} \nabla_{\alpha} \nabla_{\beta} \hat{\mathcal{C}} \right)$$
$$(\partial_t^2 - 2\beta^i \partial_{ti} + \beta^i \beta^j \partial_{ij}) \hat{\mathcal{C}} = \frac{1}{\sigma} \left( \mathcal{C} - \hat{\mathcal{C}} - \tau \partial_0 \hat{\mathcal{C}} \right)$$
- Reduction of order to replace time derivatives on the RHS
- $\hat{\mathcal{C}} \rightarrow \mathcal{C}$  on a time scale set by  $\sigma/\tau$



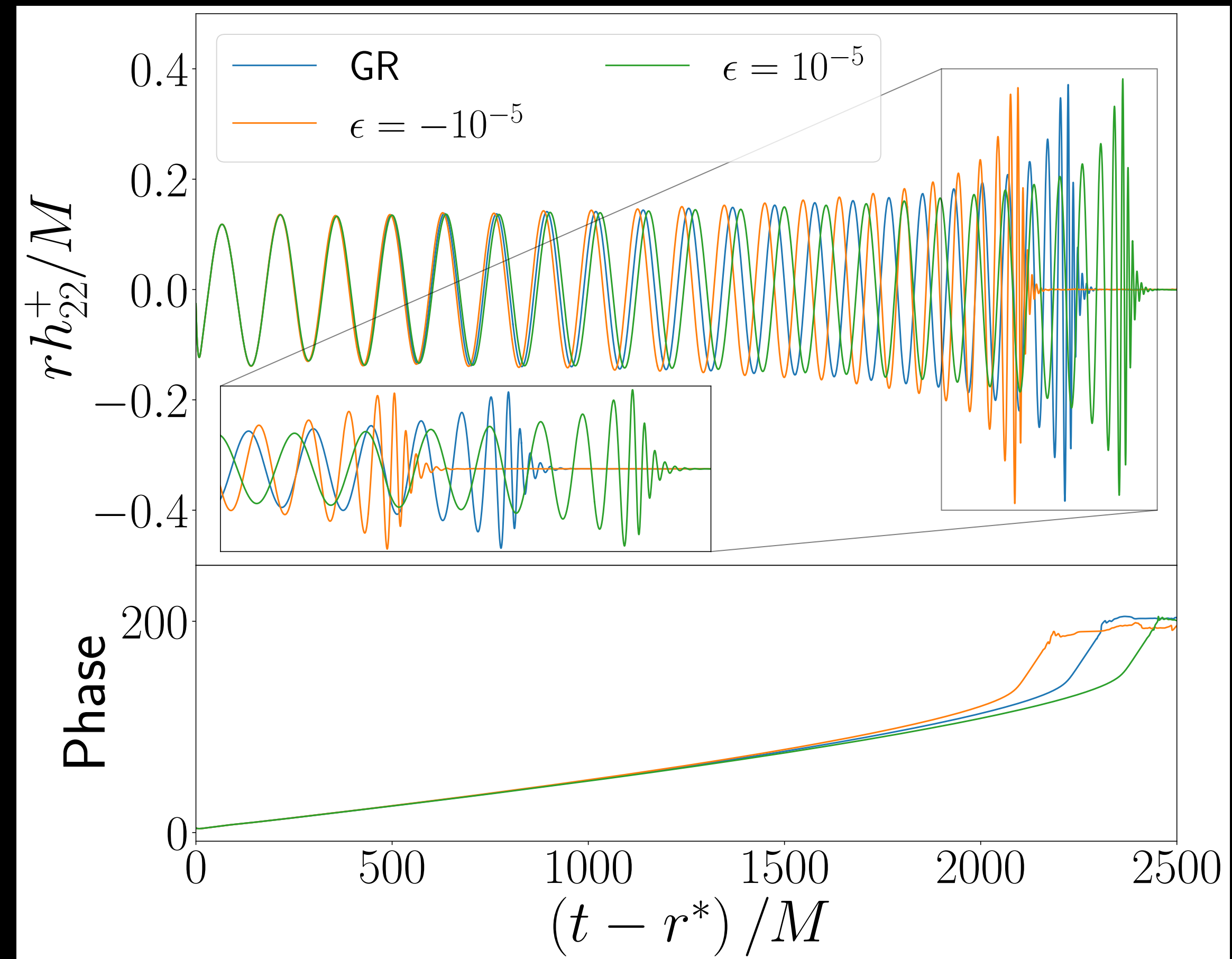
# MIS for gravity: results



# MIS for gravity: results



# MIS for gravity: results



## Conclusions and outlook

# Conclusions

- We have performed simulations of black hole binary mergers in the  $4\partial$ ST and higher derivative theories of gravity treating them fully non-linearly
- Physically relevant initial data can be found such that the theory remains weakly coupled throughout the evolutions
- The waveforms exhibit a generic  $O(1)$  de-phasing compared to the GR
- Applications: compact binary mergers, EFT of inflation, endpoint of the GL instability of black strings, finite coupling effects in holography

**Thank you for your attention!**