

# REVIEW TALK: DE SITTER VACUA AND BEYOND

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*L2C, Montpellier*

**Deconstructing the String Landscape**

**IPhT, CEA Saclay, Nov 30, 2023**

# LITERATURE

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- I. Bena, M. Graña, T. van Riet (2023):  
“Trustworthy de Sitter compactifications of string theory: a comprehensive review”

**THANK YOU!**

# LITERATURE

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- U. Danielsson, T. van Riet (2018):  
“What if string theory has no de Sitter vacua?”
- M. Cicoli et al. (2023):  
“String Cosmology: from the Early Universe to Today”
- T. van Riet, G. Zoccarato (2023):  
“Beginners lectures on flux compactifications and related Swampland topics”
- L. McAllister, F. Quevedo (2023):  
“Moduli Stabilisation in String Theory”
- M. Graña (2005):  
“Flux compactifications in string theory: a comprehensive review”
- F. Denef (2008):  
“Lectures on constructing string vacua”

# REALISTIC STRING VACUA

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*Any realistic string theory vacuum should have:*

- four macroscopic spacetime dimensions (obviously)
- broken / no supersymmetry
- dark energy / positive cosmological constant
- and many other properties

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# KNOWN STRING VACUA

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*String vacua that we understand well have:*

- extended ( $\mathcal{N} \geq 2$ ) supersymmetry
- negative or vanishing cosmological constant (AdS or Mink.)

*side note:*

*SUSY breaking and positive vacuum energy (e.g. de Sitter) are related  
(no SUSY algebra with unitary representations in de Sitter)*

Unknown whether string theory has stable  $\left\{ \begin{array}{l} \text{non-SUSY vacua!} \\ \text{de Sitter vacua!} \end{array} \right.$

# NON-SUPERSYMMETRIC STRING THEORY

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➤ Bosonic string

- Target space **tachyon!**

➤ Type 0 string

- Target space **tachyon!**

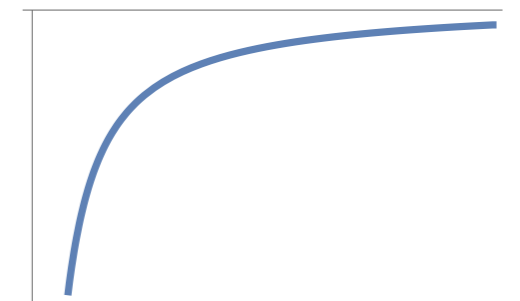
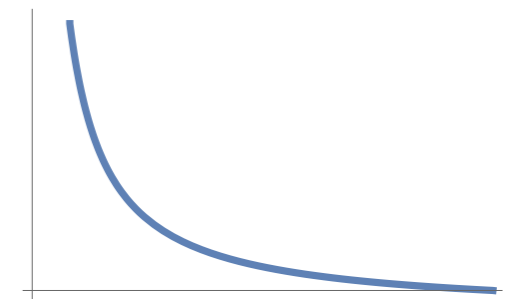
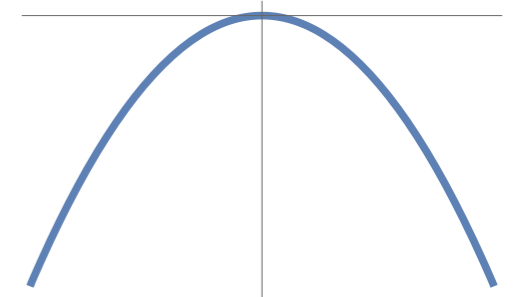
➤  $O(16) \times O(16)$  Heterotic string

- String frame: positive cosmological constant
- Einstein frame:  $V \sim e^{-5\phi/2}$  (**run-away!**)

➤ Scherk-Schwarz supersymmetry breaking

- anti-periodic fermion boundary conditions on circle

- Potential for radius:  $V \sim -\frac{1}{R^\alpha}$  (**run-away!**)





# DINE-SEIBERG PROBLEM

[Dine, Seiberg '85]

- .....
- Fundamental problem of string compactifications:

Moduli!  
(e.g. dilaton, comp. volume, ...)

=

*massless scalar fields  
at tree (classical) level*

Broken Supersymmetry:

- Quantum effects: generate a potential for moduli!

*assume:*

$\phi \rightarrow \infty$ :

*weakly coupled regime, SUSY restored,  
effective tree-level description valid*



$\lim_{\phi \rightarrow \infty} V = 0$

*(see also recent swampland efforts)*

# DINE-SEIBERG PROBLEM

[Dine, Seiberg '85]

.....

potential from first order quantum corrections:

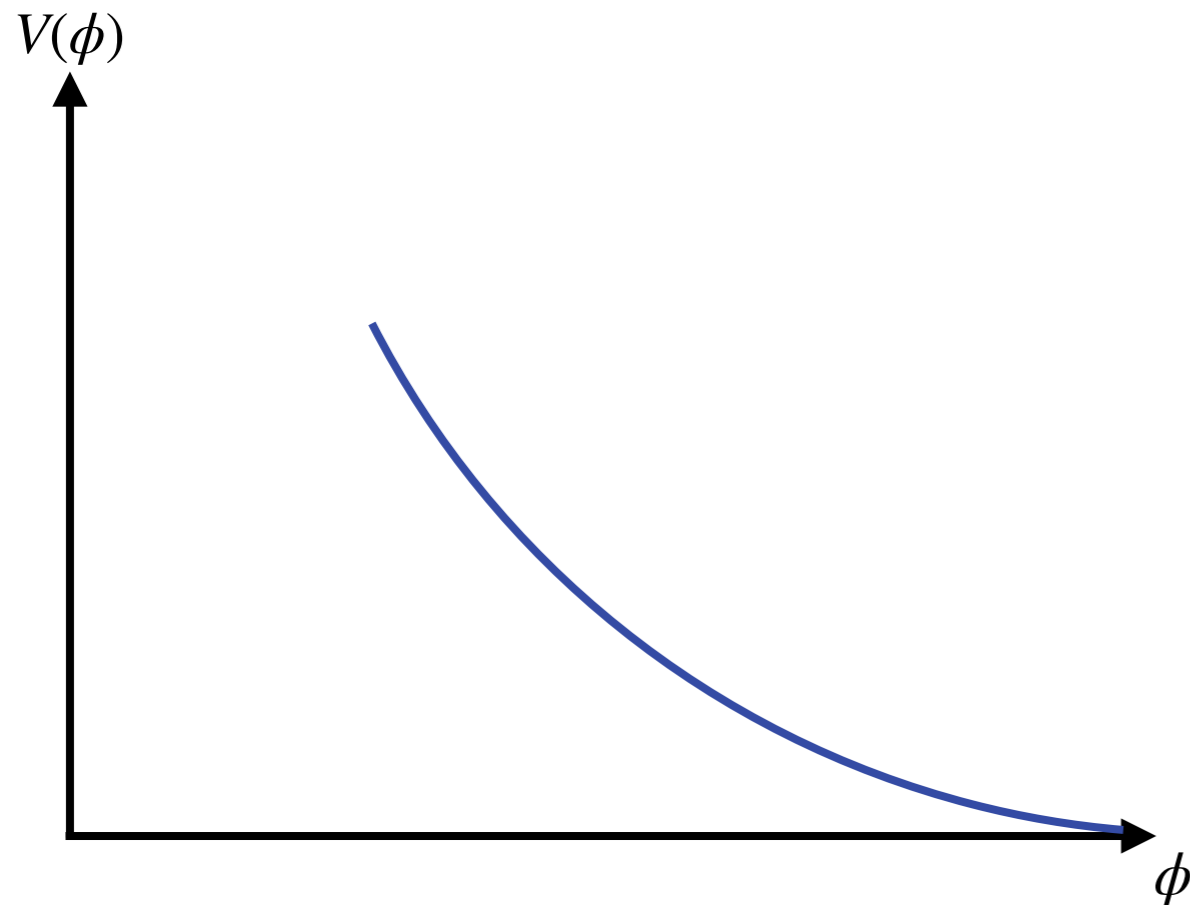
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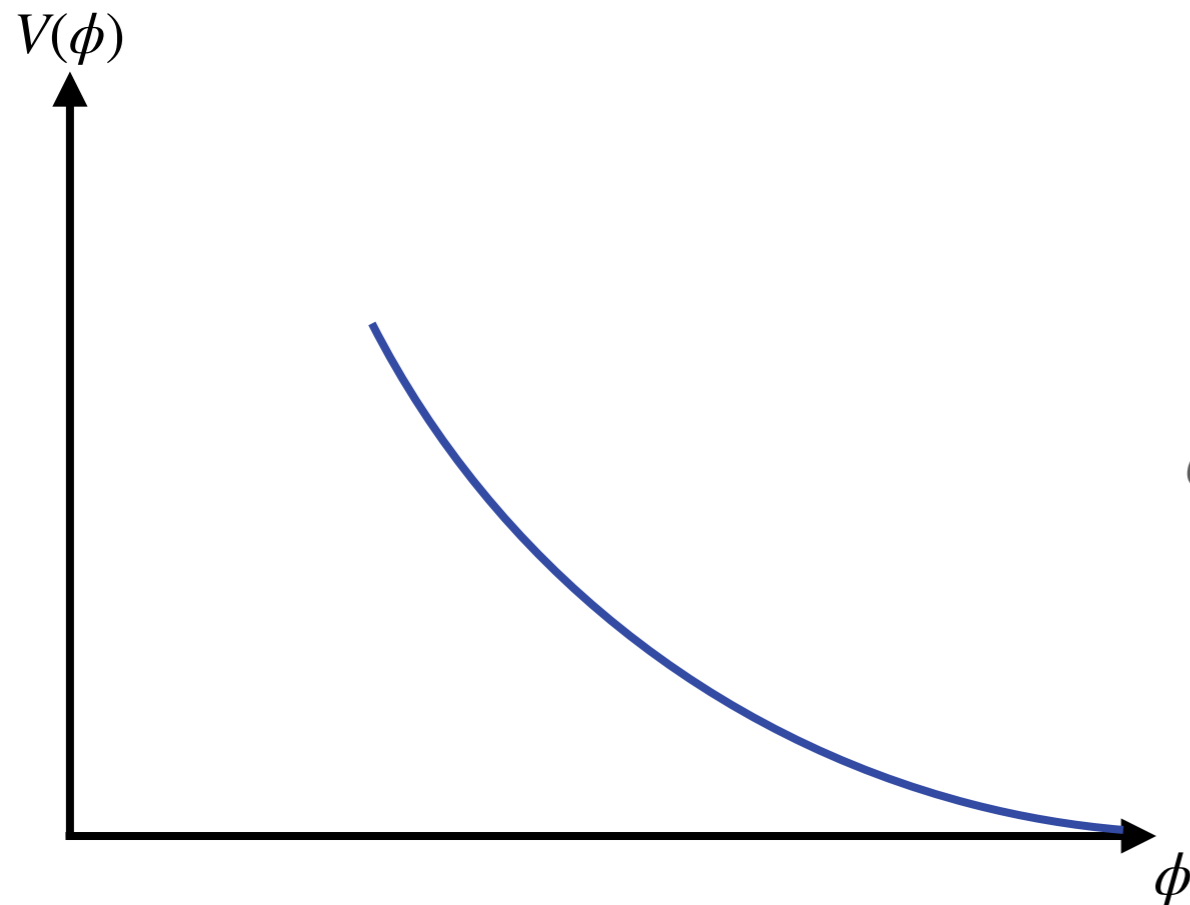


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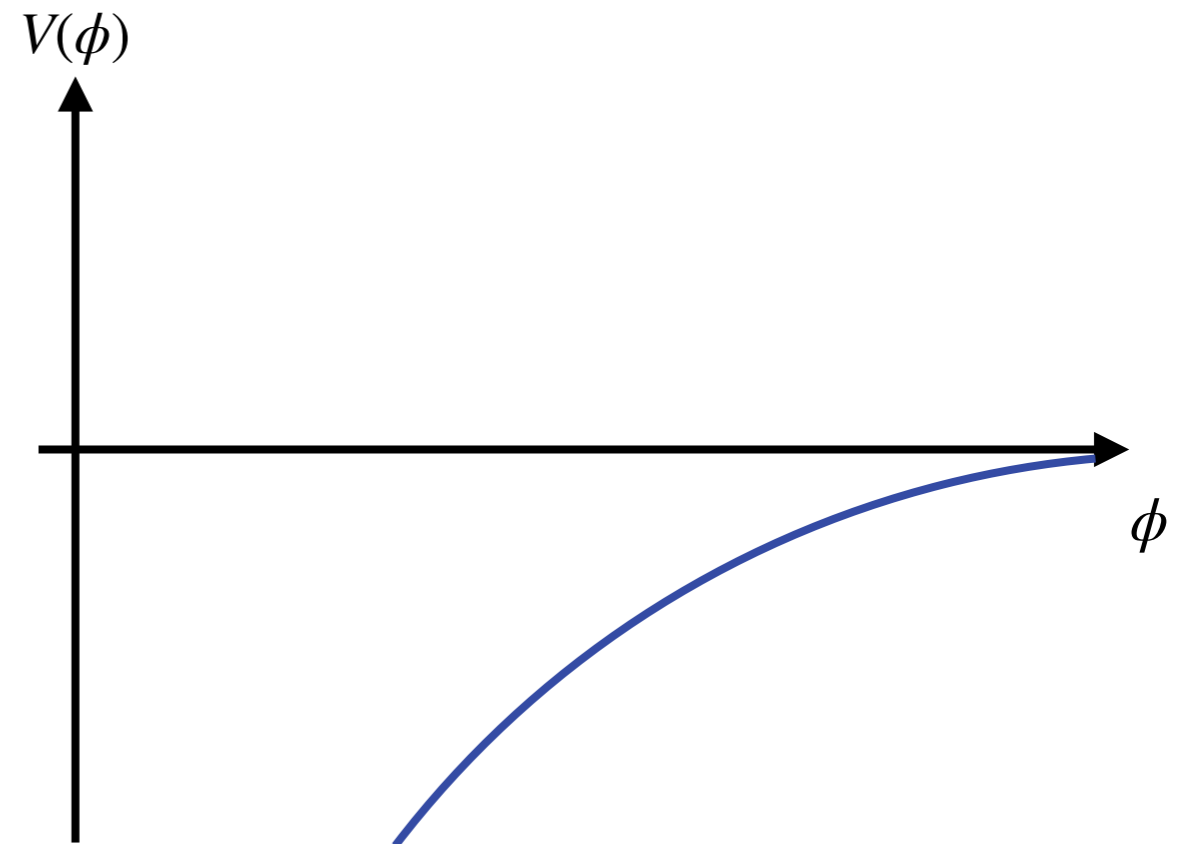
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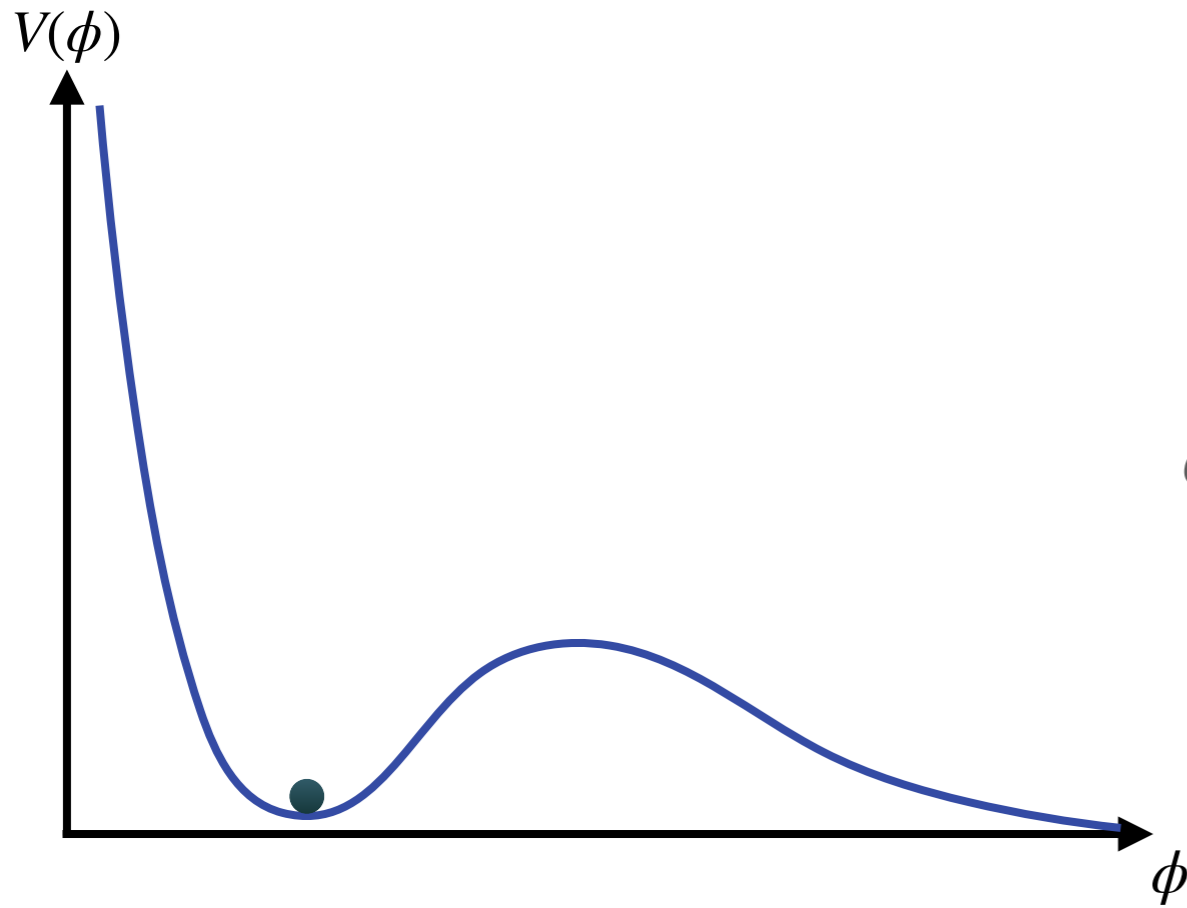


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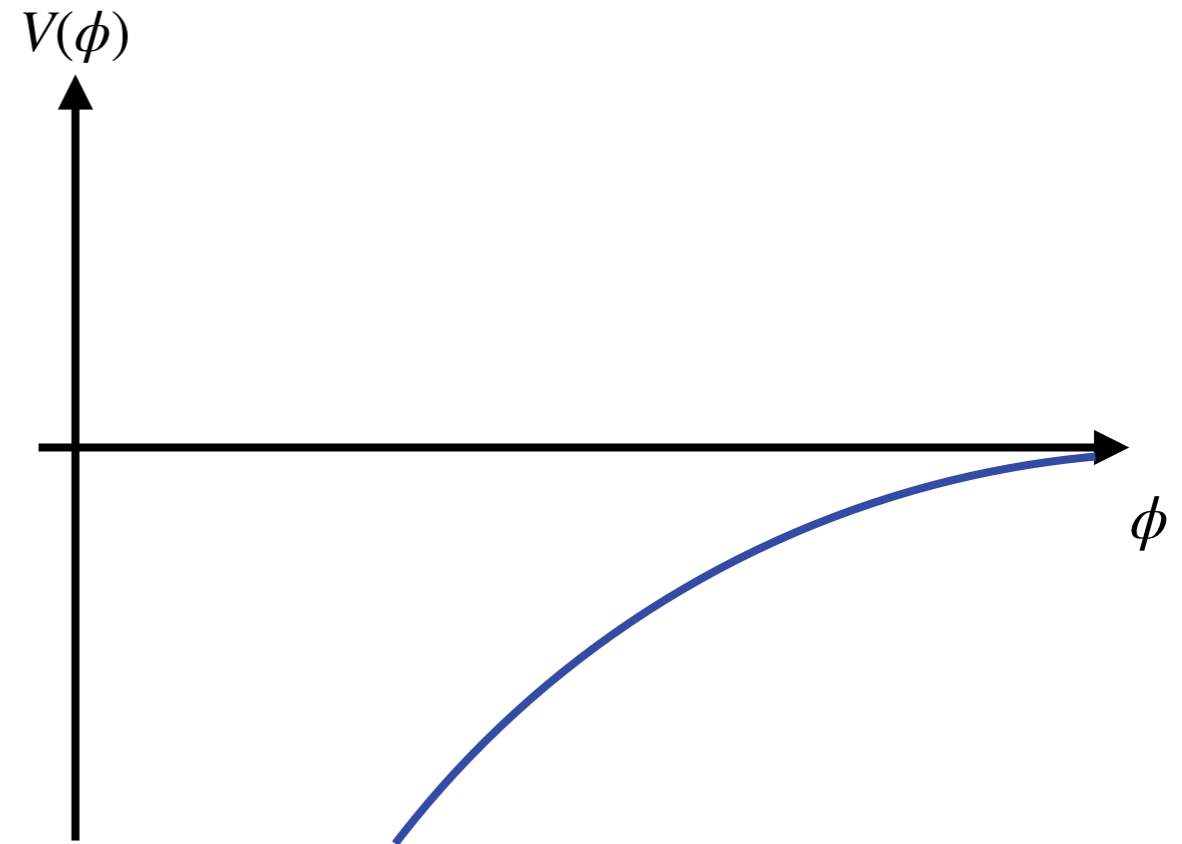
[Dine, Seiberg '85]

take higher order corrections into account:

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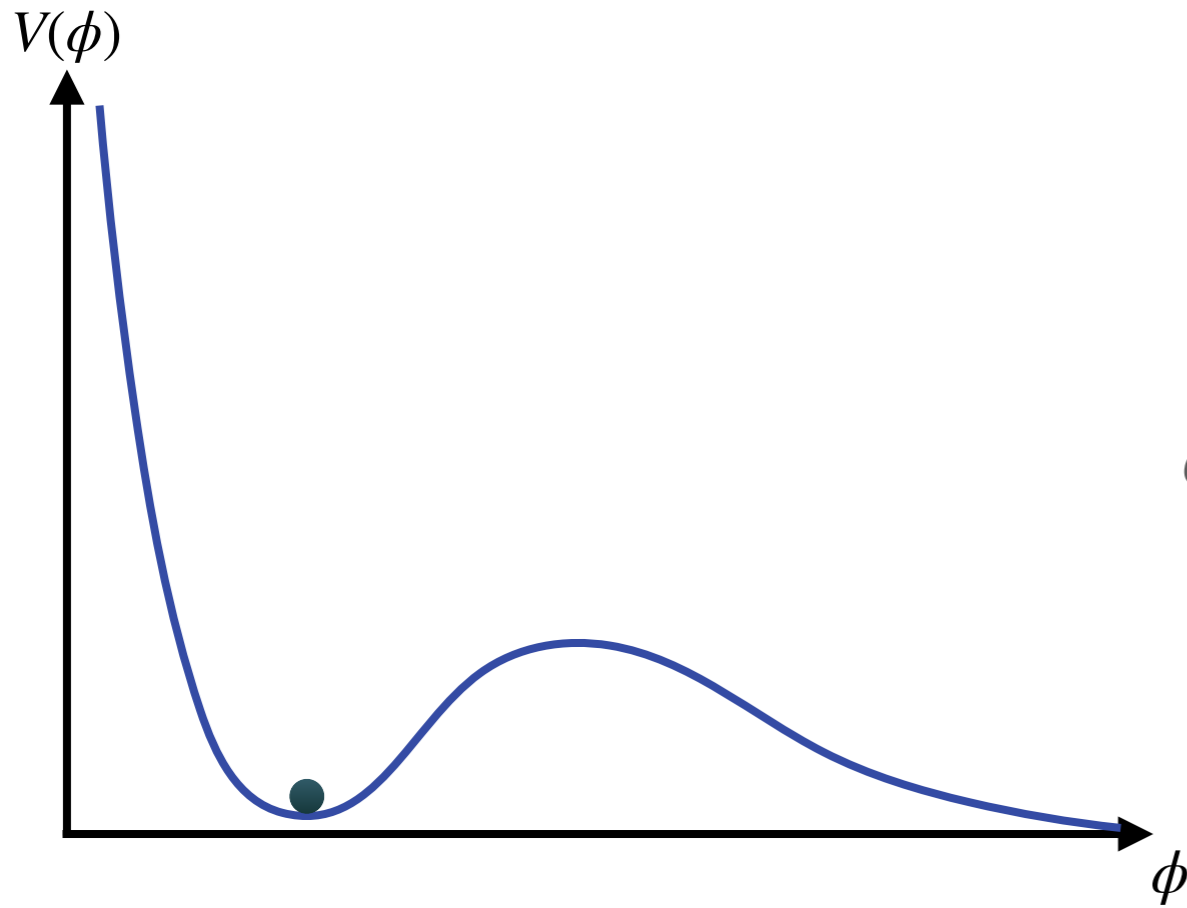


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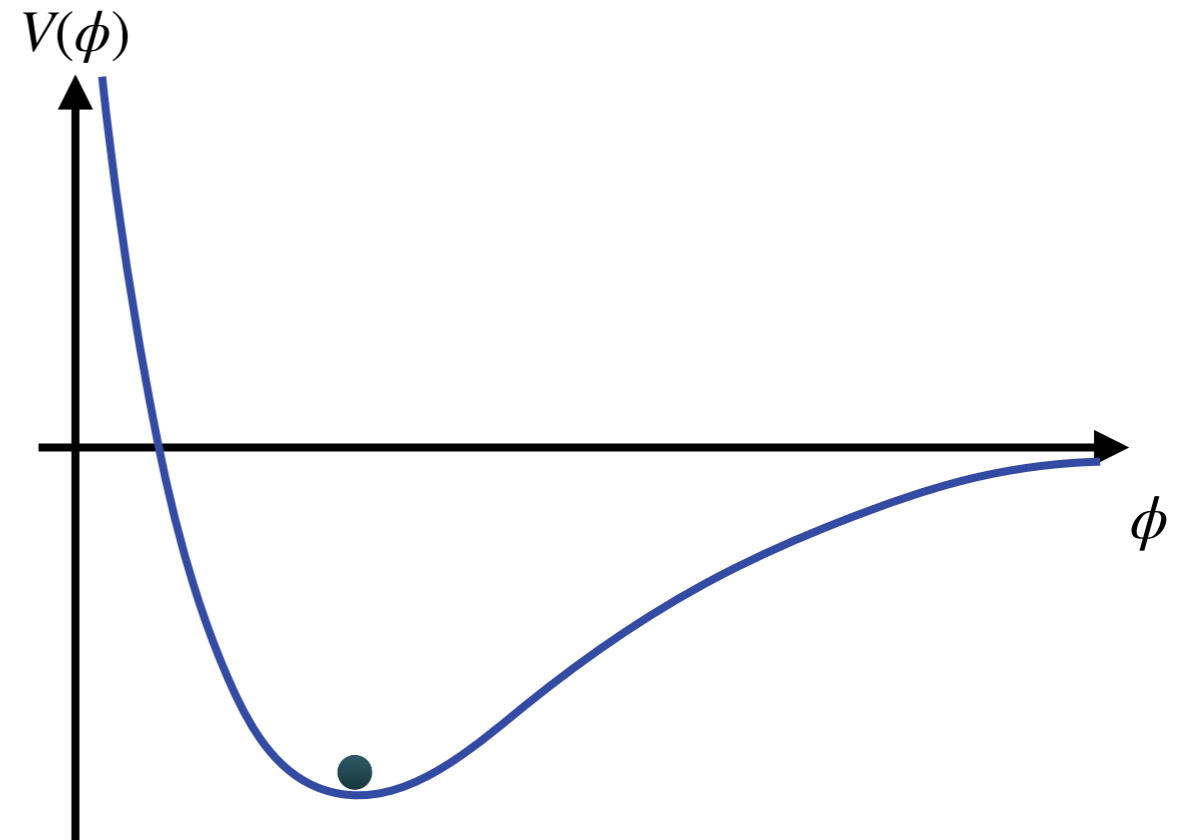
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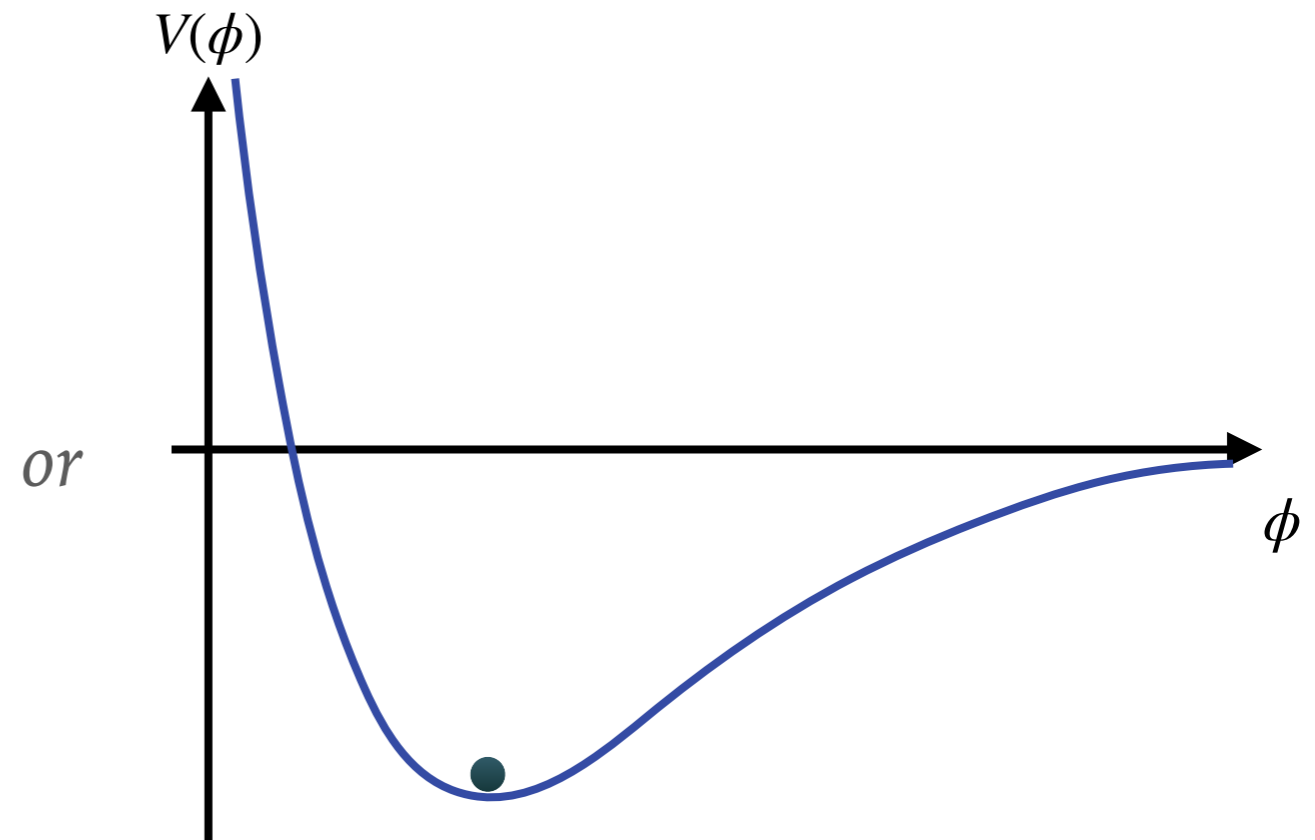
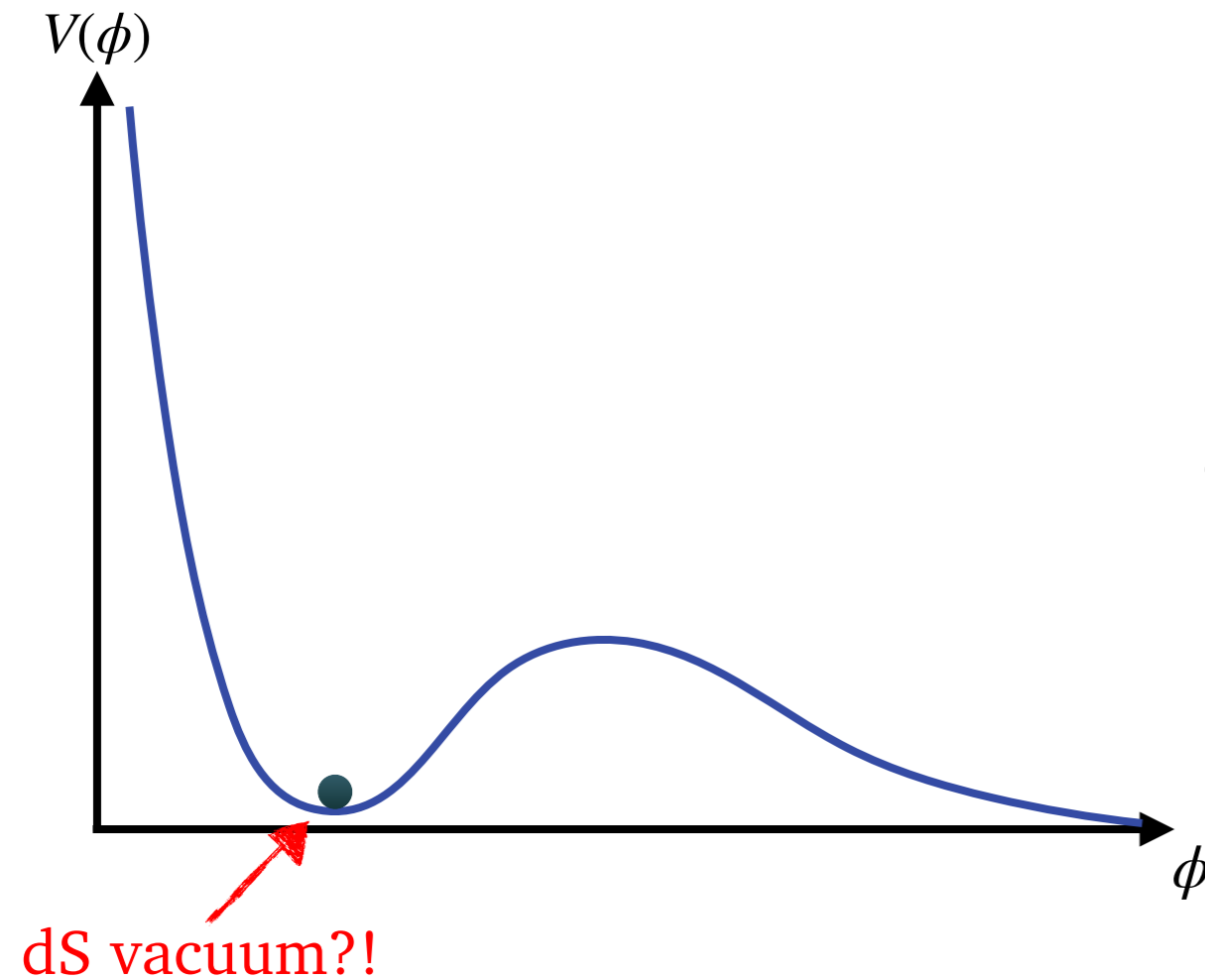


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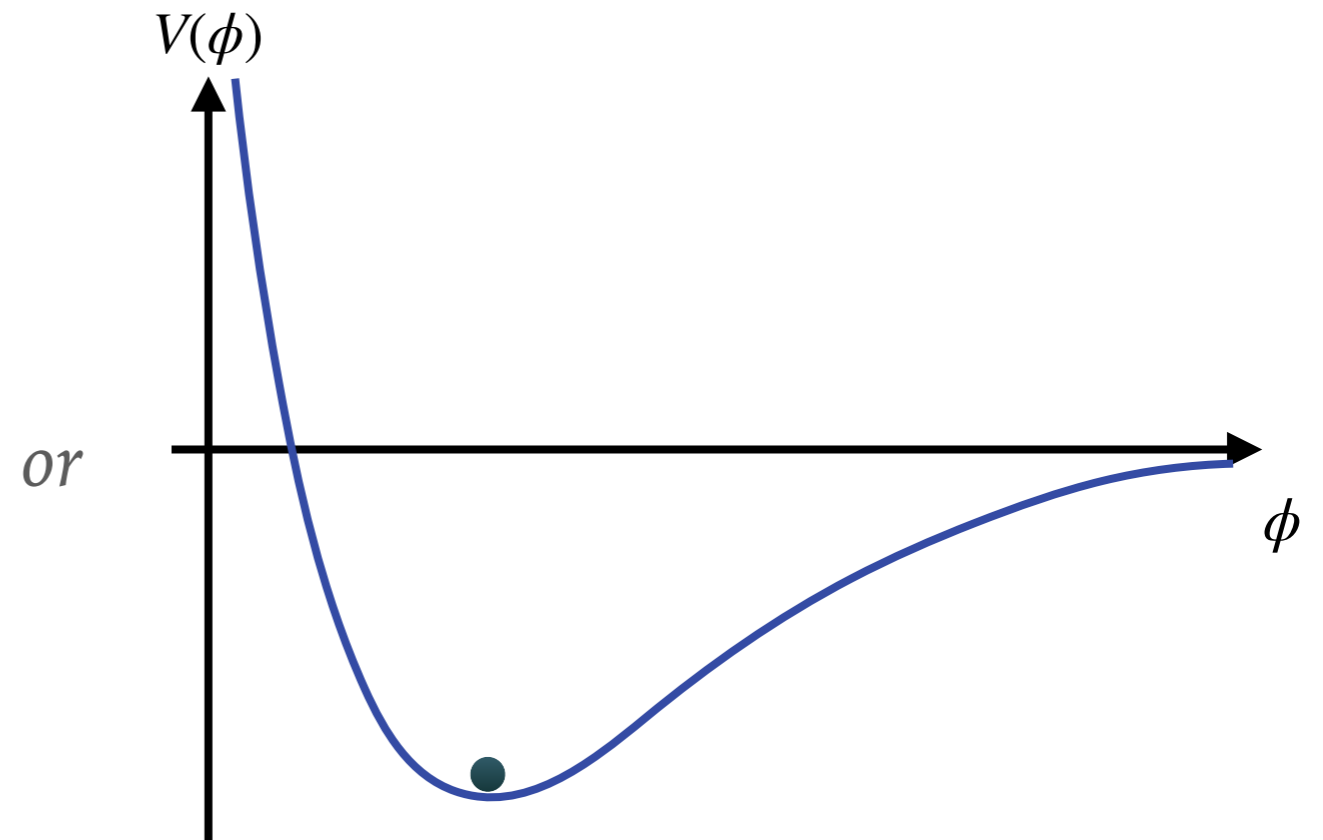
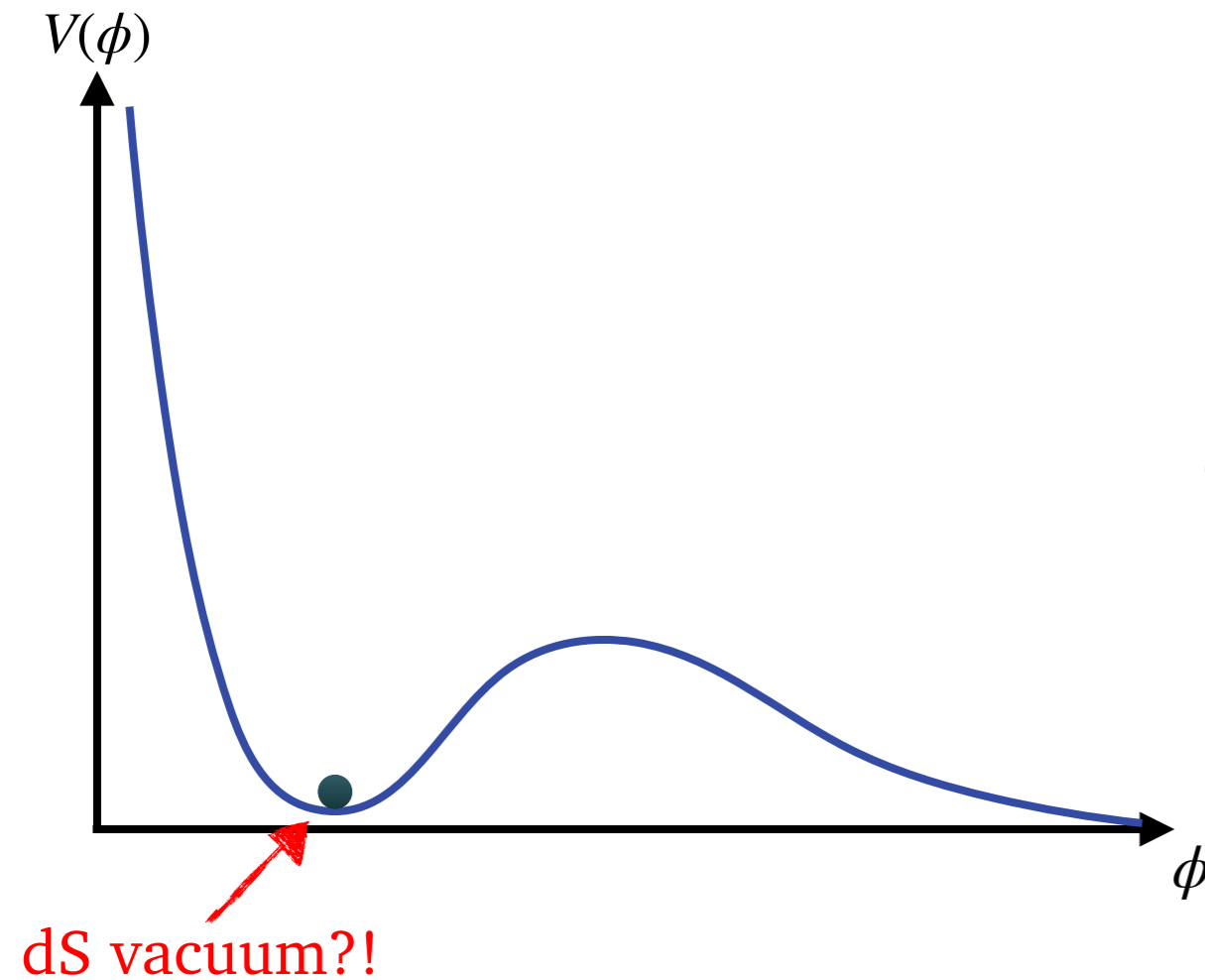


# DINE-SEIBERG PROBLEM

[Dine, Seiberg '85]

take higher order corrections into account:

$$\lim_{\phi \rightarrow \infty} V = 0$$



at minimum of  $V$ :

higher order  
corrections

$\approx$

first order  
corrections

$\rightarrow$

*strong coupling!*

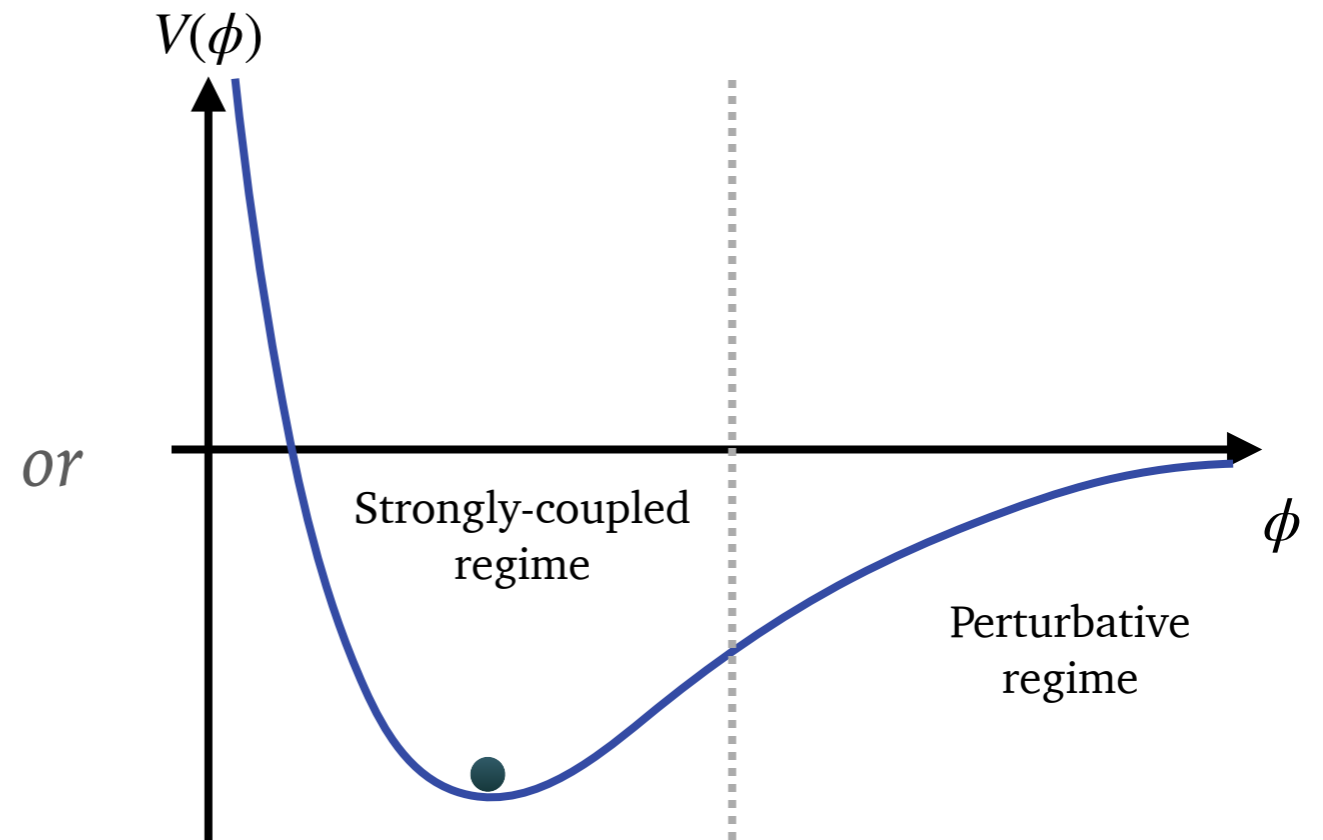
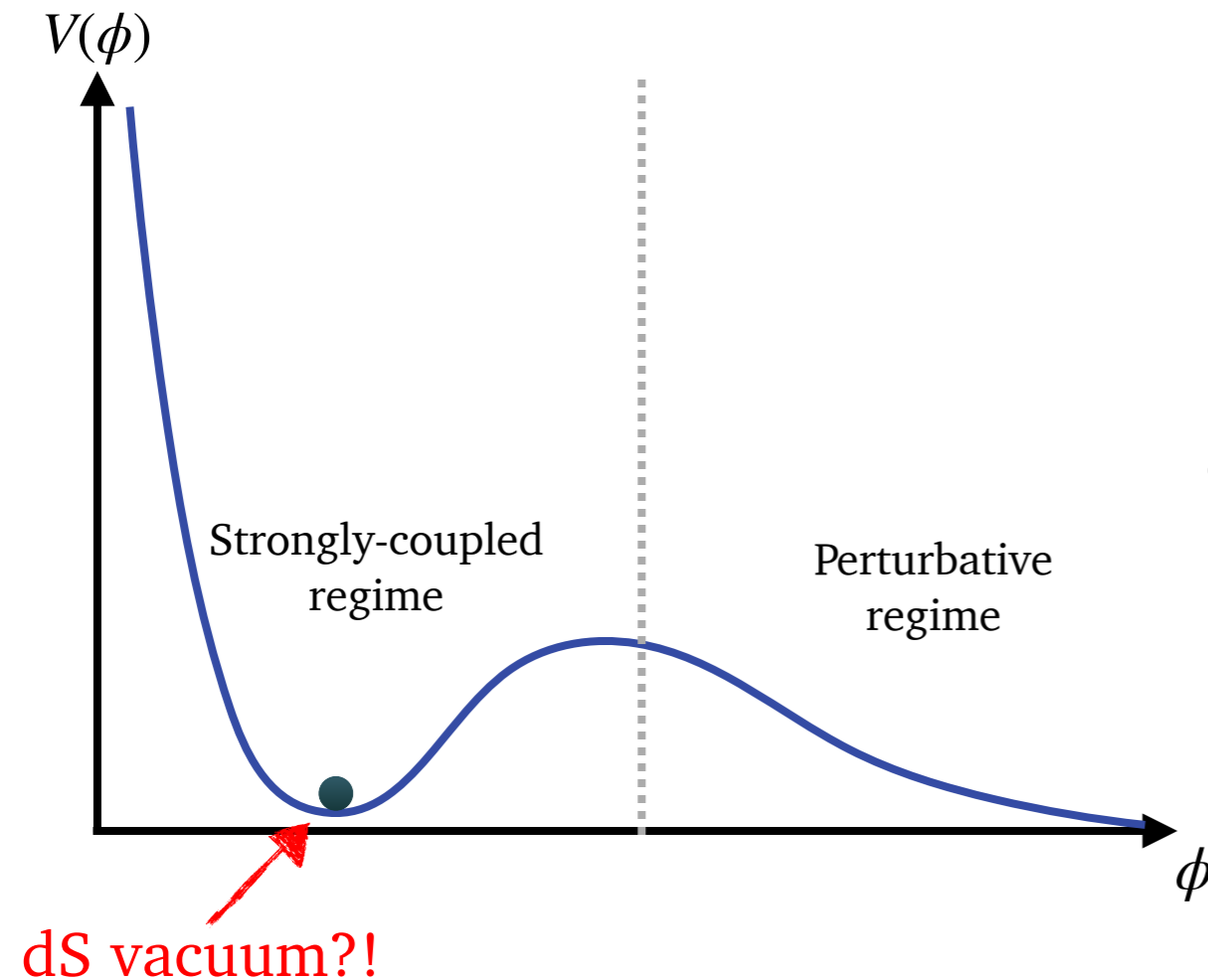


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at minimum of  $V$ :

higher order corrections  $\approx$  first order corrections  $\rightarrow$  *strong coupling!*

# DINE-SEIBERG PROBLEM

---

de Sitter vacua from quantum corrections only at strong coupling!

higher order corrections:  
mostly unknown

*“when corrections can be computed, they are not important,  
and when they are important, they cannot be computed”*

*F. Denef, Les Houches Lecture, 2008*

# FLUX COMPACTIFICATION:

---

- Alternative strategy:

Stabilize moduli at the classical level!

➔ *Fluxes!*

*non-vanishing p-form field strengths  $F_{m_1\dots m_p} \neq 0$   
along cycles of the internal geometry*

- Fluxes generate a **potential**:

$$V_F \sim \int \sqrt{g} g^{m_1 n_1} \dots g^{m_p n_p} F_{m_1 \dots m_p} F_{n_1 \dots n_p}$$

- Dependence on volume  $V \sim r^d$ :

$$V_F \sim r^{-d-2p} \int F^2 \quad \rightarrow \quad \textit{runaway towards decompactification!}$$

# FLUX COMPACTIFICATION AND DE SITTER NO-GO

---

- Balance against potential from internal curvature:

$$V_R \sim r^{-2-d} \int R$$

- Schematic form of the overall **potential** (fluxes + curvature):

$$V = \sum_p r^{-2p-d} \int F_p^2 - r^{-2-d} \int R$$

- For  $V > 0$  (and  $p \geq 1$ ) this potential satisfies

$$\frac{|V'|}{V} \geq \frac{d+2}{\phi} \quad \rightarrow \text{no de Sitter minima!}$$

(AdS minima are easily possible, e.g. Freund-Rubin type  $AdS_{D-d} \times S^d$ )

# DE SITTER NO-GO

---

- [Maldacena, Nuñez '00] (and many others):

*From any two-derivative supergravity there  
is no smooth de Sitter compactification!*

- de Sitter vacua from String Theory must involve:

a) *quantum effects*

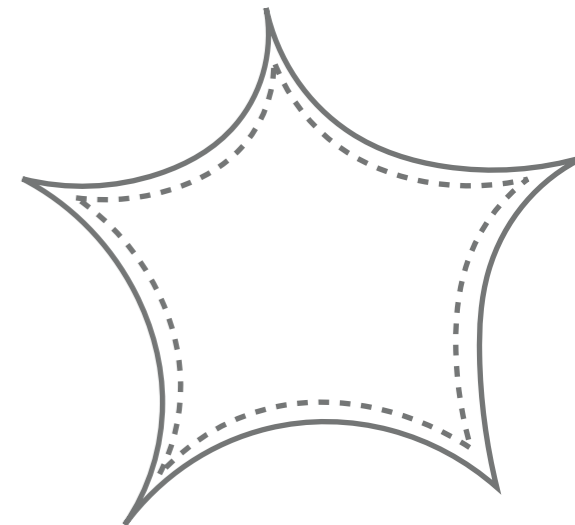
*or*

b) *stringy ingredients* (higher-derivative terms, O-planes, ...)

➔ Danger of Dine-Seiberg like control issues!

- most promising strategy:

*combine different effects (classical + corrections)  
to avoid Dine-Seiberg!*

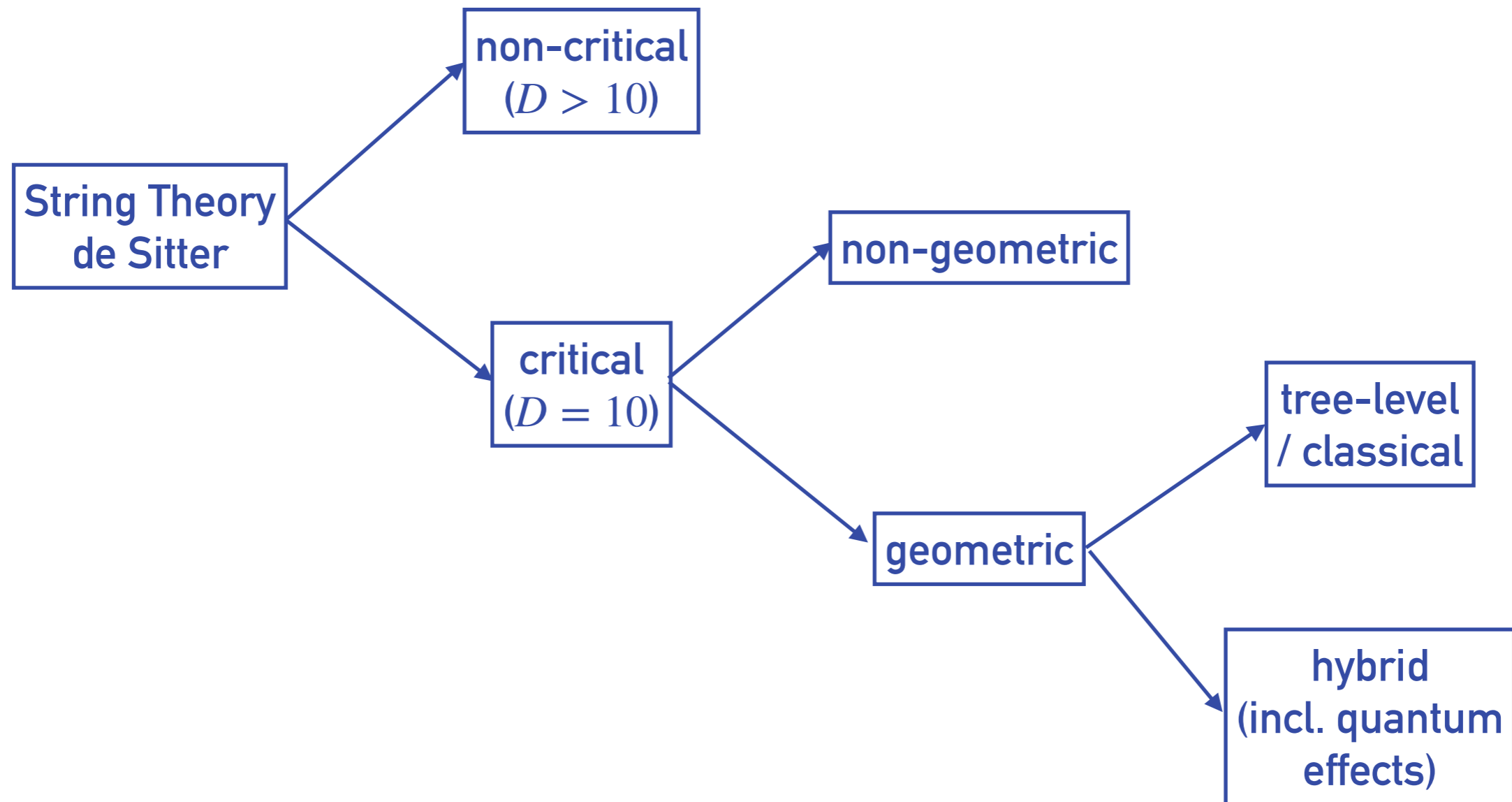


**STRING THEORY  
DE SITTER  
CONSTRUCTIONS**

# SYSTEMATICS OF DE SITTER CONSTRUCTIONS

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[Danielsson, van Riet '18]:



# NON-CRITICAL STRING THEORY

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- Effective action of **non-critical** string theory ( $D \neq D_c$ ):

$$S = \frac{1}{2\kappa_D^2} \int d^D x \sqrt{-g} e^{-2\phi} \left( R - \frac{D - D_c}{\alpha'} + \dots \right)$$

*positive for  $D \geq D_c$*

*→ facilitates compactification to de Sitter*

- Early models:

[Silverstein '01][Maloney, Silverstein, Strominger '02]

- Recent critical analysis: [Junghans '23]

- generalisation of Maldacena-Nuñez like no-go theorems
- no parametric control over O-plane backreaction (and higher derivative corrections) in the large  $D$  limit



# NON-GEOMETRIC CONSTRUCTIONS

---

- Applying (chains) of string dualities (e.g. T-duality) allows for the construction of **non-geometric** backgrounds / fluxes.
- Resulting four-dimensional potentials often have de Sitter minima.

see e.g. [Shelton, Taylor, Wecht '05][Dibitetto et al. '12];  
for a recent review: [Plauschinn '18]

- However:
  - *intrinsically string theoretical*
  - *unclear if treatment in supergravity is justified*
  - *difficult to define a notion of (perturbative) control*

# CLASSICAL DE SITTER VACUA

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- Find a solution to the classical, 10D supergravity EOMs with 4D de Sitter
  - must contain O-plane / D-brane singularities
  - breaks SUSY at the level of the classical geometry

*reduced control, unclear if actually string backgrounds*
- extensive body of literature  
(see e.g. [Silverstein '08], [Danielsson et al.], [Andriot et al.] and many others)
- existing solutions:
  - all are classically unstable / tachyonic
  - not under perturbative control (e.g. small volume, large  $g_s$ , ...)
  - contain O-planes in smeared approximation

# NON-SUPERSYMMETRIC STRING THEORY

---

➤ perturbative worksheet CFT:

Problem: Run-away for dilaton!  
(→ Dine-Seiberg Problem)

➤ Powerful no-go theorems for heterotic string

[Kutasov, Maxfield, Melnikov, Sethi '15]

➤ Stabilisation of other moduli also challenging

[Fraiman, Graña, Parra de Freitas, Sethi '20], talk by H. Parra de Freitas

➤ Target space perspective:

- positive dilaton potential: similar to non-critical strings
- no-go theorems [Basile, Lanza '20]

# HYBRID MODELS

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➤ most promising strategy:

*combine different effects (fluxes + quantum corrections)  
to avoid no-go theorems  
while maintaining maximal control*

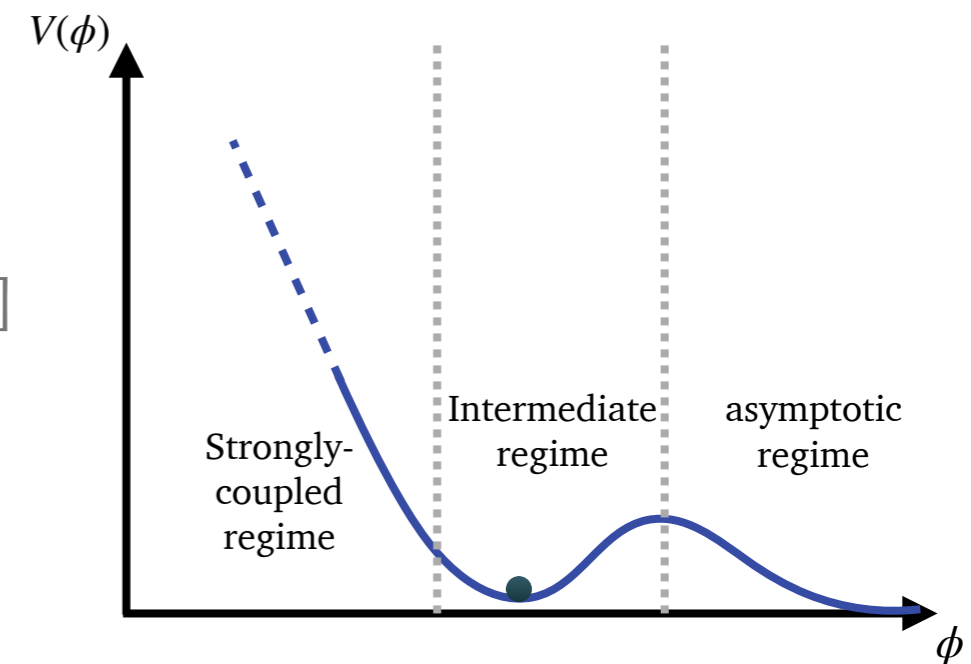
➤ two main competitors (both in IIB or F-theory):

- *KKLT* [Kachru, Kallosh, Linde, Trivedi '03]
- *Large Volume Scenario (LVS)*  
[Balasubramanian, Berglund, Conlon, Quevedo '05]

*start from well understood Calabi-Yau*

*compactifications  $\mathcal{N} = 2$*

*→ break SUSY gradually*



➤ see also: M-theory on hyperbolic manifolds + Casimir energies

[Bruno de Luca, Silverstein, Torroba '21], talk by G. Bruno De Luca

# IIB DE SITTER VACUA

---

Three step procedure [KKLT '03]

1. Calabi-Yau orientifold with **complex structure-moduli** stabilized by three-form **fluxes**
2. Stabilize **Kähler moduli** by
  - a) **non-perturbative** quantum effects (KKLT)
  - b) **+  $\alpha'$  corrections** (LVS)  
→ (supersymmetric) AdS-vacuum
3. Supersymmetry breaking by an **anti-D3-brane** at the bottom of a warped throat  
→ exp. suppressed **uplift to dS** due to strong warping

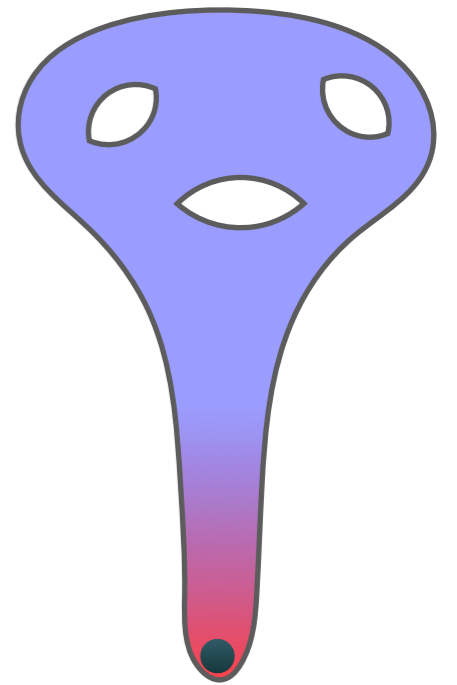
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# THE FLUX LANDSCAPE (STEP 1)

---

- Huge flux landscape:  
required for sufficiently high statistical probability to find meta-stable KKLT / LVS de Sitter vacua (e.g.  $|W_0| \ll 1$ )

until recently:

- few concrete realizations but statistical arguments  
[Ashok, Douglas '03], [Denef, Douglas '04]

now:

- systematic construction of flux vacua with  $|W_0| \ll 1$   
[Demirtas, Kim, McAllister, Moritz '21, '20] (see talk by J. Moritz)  
[Álvarez-Garcia, Blumenhagen, Brinkmann, Schlechter '20]
- Tadpole conjecture [Bena, Blåbäck, Graña, SL '20]  
[Marchesano, Prieto, Wiesner '21][Plauschinn '21][Graña, Grimm, van de Heisteeg, Herraez, Plauschinn '22][Becker, Gonzalo, Walcher, Wrase '22][Grimm, Monnee '23][Becker, Brady, Sengupta '23] (see also talk by N. Brady, A. Sengupta)
- Relations to asymptotic Hodge theory [Grimm et al.]
- advances in automatized (computer aided) constructions  
[Cole, Schachner, Shiu '19][Bena, Blåbäck, Graña, SL '21][McAllister et al.][Dubey, Krippendorf, Schachner '23][Plauschinn, Schlechter '23] and many more (see also talk by J. Halverson)

# SUPERSYMMETRIC ADS VACUA (STEP 2)

---

- Kähler moduli stabilization by non-perturbative effects:

$$W = \int G_3 \wedge \Omega + \sum_{\mathbf{k}} \mathcal{A}_{\mathbf{k}}(z^i, G_3) e^{-2\pi k^\alpha T_\alpha}$$

- Gaugino condensation in 10D

[Moritz, Retolaza, Westphal '17][Gautason, Van Hemelryck, Van Riet, Venken '19][Hamada, Hebecker, Soler '18-21][Graña, Kovensky, (Retolaza), (Toulikas) '19-'22]

- Corrections on top of classically running solution? [Sethi '17]

- highly explicit constructions with large  $h^{1,1}$

[Demirtas, Kim, McAllister, Moritz, Rios-Tascon '21], see talk by J. Moritz

- no systematic understanding of genuine  $\mathcal{N} = 1$  compactifications / corrections (see talk by M. Wiesner)

- holographically dual brane CFT:

incompatible with  $|\Lambda_{\text{AdS}}| \ll 1$ ? [SL, Vafa, Wiesner, Xu '22]



# ANTIBRANE UPLIFT / BACKREACTION EFFECTS (STEP 3)

---

- antibrane uplift requires **strongly warped** geometry (e.g. **Klebanov-Strassler** throat)
- **backreaction** effects of **antibrane** in KS throat  
[Bena, Graña, (Halmagyi), (Kuperstein, Massai) '09-'12][Blåbäck, Danielsson, Van Riet, '12-'14]  
[Gautason, Junghans, Zagermann '13][Michel, Mintun, Polchinski, Puhm, Saad '14][Cohen-Maldonado, Diaz, Van Riet, Vercoocke '15][Bena, Dudas, Graña, SL, '18][SL, Randall, '22]
- curvature corrections to KPV? [(Hebecker), Schreyer, Venken '22]
- **singular bulk problem:**  
no control over **O-plane backreaction** unless  $h^{1,1} \gg 1$   
(throat too large to fit into Calabi-Yau)  
[Gao, Hebecker, Junghans '20][Carta, Moritz, Westphal '18]  
see also [Carta, Moritz '20]
- control over mass scales?  
[Blumenhagen, Kläwer, Schlechter '19][Dudas, SL '19][Blumenhagen, Gligovic, Kaddachi '22]  
[SL, Wiesner '22]
- What about uplift by fluxes (non-ISD fluxes)?  
[Saltman, Silverstein '04][Krippendorf, Schachner '23]

# LARGE VOLUME SCENARIO (LVS)

---

- Kähler potential with leading  $\alpha'$ -correction:

$$K = -2 \log \left( \mathcal{V} + \frac{\xi}{2g_s^{3/2}} \right)$$

➔ non-supersymmetric AdS vacuum  
at exponentially large volume  $\mathcal{V}$

- control over corrections requires  $g_s \ll 1, \mathcal{V} \gg 1$   
(no SUSY: not all corrections explicitly known!)

see e.g. [Cicoli, Quevedo, Savelli, Schachner, Valandro '21]

- Systematic estimate of size of corrections:  
no control unless large tadpole!

[Junghans '22 (2x)][Gao, Hebecker, Schreyer, Venken '22]

- Holographic duality (and Swampland)

[de Alwis, Gupta, Quevedo, Valandro '15][Conlon, Quevedo '18]

[Conlon, (Ning), Revello '20, '21]

# DE SITTER AND QUANTUM GRAVITY

# DE SITTER AND QUANTUM GRAVITY

---

The difficulty of realising meta-stable de Sitter vacua is

- a) a computational / control problem.
- b) a conceptual problem.

If b)

What is the fundamental reason why String Theory / Quantum Gravity and de Sitter vacua are incompatible?

If a)

How can we access de Sitter vacua in the context of String Theory / Quantum Gravity?

# THE SWAMPLAND POINT OF VIEW

---

- **de Sitter conjecture** [Obied, Ooguri, Spodyneiko, Vafa '18]:

$$|\nabla V| \gtrsim cV \quad (\mathcal{O}(10^3) \text{ follow up papers})$$

- **Trans-Planckian Censorship conjecture (TCC)** [Bedroya, Vafa '19]:

*no sub-Planckian mode grows larger than the Hubble radius*

→ implies the de Sitter conjecture in the asymptotic

- **species scale** [Dvali '07]: satisfies similar bounds

[van de Heisteeg, Vafa, Wiesner, Wu '22, '23][Cribiori, D. Lüst '23]

[(Caldéron-Infante), Castellano, Ruiz, Valenzuela '23]

- **scale separation** and (A)dS distance conjecture (see talk by T. Van Riet)

- **Festina-Lente**: lower bound on mass of charged particles in dS

[Montero, Van Riet, Venken '19][Montero, Vafa, Van Riet, Venken '21]

# QUANTUM CONSISTENCY OF DE SITTER

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- finite **quantum break-time** of de Sitter might put severe constraints on consistency of de Sitter quantum gravity  
[Dvali, Gómez, Zell '17, '18]
- absence of **S-matrix** in de Sitter see e.g. [Buosso '05]  
see e.g. also holographic argument for **TCC** by [Bedroya '23]
- **de Sitter Holography?** [Strominger '01] and many others
  - dual to Euclidean CFTs
  - higher spin theory
  - matrix models

**BEYOND DE SITTER**

# DARK ENERGY BEYOND DE SITTER

---

## ➤ **Dark Dimension** scenario [Montero, Vafa, Valenzuela '22]

*tiny cosmological constant / (asymptotic) dark energy  
implies a large extra dimension*

[Anchordoqui, Antoniadis, D. Lüst '22, '23][Gonzalo, Montero, Obied, Vafa '22]

[Blumenhagen, Brinkmann, Makridou '22] and many others

## ➤ What about other forms of **Dark Energy** **/ Accelerated Expansion?**

- In **asymptotic** regions?
- As difficult as de Sitter vacua?

[Dasgupta, Emelin, Faruk, Tatar 19'] [Rudelius '22] [Calderón-Infante, Ruiz, Valenzuela '22]

[Marconnet, Tsimpis '22] [Shiu, Tonioni, Tran '23] [Cremonini, Gonzalo, Rajaguru, Tang, Wrase '23]

[Hebecker, Schreyer, Venken '23] [Andriot, Tsimpis, Wars '23] [Revello '23]

[Gomes, Hardy, Parameswaran '23] (see also talk by S. Parameswaran)



**THANK YOU!**