# Machine Learning in the String Landscape

Landscapia @ CEA Saclay



Jim Halverson

# Connectinc in this area.

# NSF AI Institute for Artificial Intelligence and Fundamental Interactions (IAIFI /aɪ-faɪ/)

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# Jobs @ Northeastern

### Northeastern University, Department of Physics (CoS)

Position ID: Position Title: Position Type:	Northeastern-Department of Physics (CoS)-PRA_IAIFI [#25427] Northeastern IAIFI Postdoctoral Research Associate Postdoctoral	
Position Location:	Boston, Massachusetts 02115, United States [map] 🏶	AIN
Subject Areas:	Physics / hep-th, HEP-Theory (hep-th), High Energy Physics, high energy physics or mathematical physics, High Energy Theory, Particle Theory, Quantum Field Theory, quantum gravity, String Theory, Theoretical Particle Physics, Theoretical Physics	
Appl Deadline:	2023/12/15 11:59PM 🔮 (posted 2023/08/23, listed until 2024/02/23)	
Position Description:	Apply	

### Northeastern University, Department of Physics (CoS)

Position ID: Position Title: Position Type:	Northeastern-Department of Physics (CoS)-PRA_NEU [#25670] Northeastern Postdoctoral Research Associate Postdoctoral				
Position Location:	Boston, Massachusetts 02115, United States [map] 🏶				
Subject Areas:	Physics / Elementary Particle Physics, Elementary Particle Theory, Field Theory, hep-th, HEP-Theory (hep-th), High Energy Theory, Machine Learning, Mathematical Physics, Particle Theory, Physics - Mathematical Physics, Quantum Field Theory, String Theory, String Theory/Quantum Gravity/Field Theory, string-math, Theoretical high energy physics				
Appl Deadline:	2023/12/15 11:59PM 🔮 (posted 2023/09/12, listed until 2024/03/12)				
Position Description:	Арріу				

## https://academicjobsonline.org/ajo/jobs/25427

IAIFI (ML + strings) postdoctoral research associate, with me and Fabian Ruehle.

## https://academicjobsonline.org/ajo/jobs/25670

2 jobs, postdoctoral research associates, with Fabian Ruehle and Sarah Harrison.



# **Upcoming Meetings**



Mathematics and Machine Learning 2023 Dec 10-12, 2023, @ Caltech <u>https://mathml2023.caltech.edu/</u>

String Data 2023 Dec 13-15, 2023, @ Caltech https://stringdata2023.caltech.edu/



Jan 14–19, 2024 Aspen Center for Physics Strings, Fields, and Deep Learning January 14-19, 2023, @ Aspen Center for Physics <u>https://indico.cern.ch/event/1299185/</u>

come join us! send me an e-mail.

# String Theory,

# OGare Hard.

# Ask an Oracle

1. Complete non-pert. definition of M-theory?

2. All the vacua? Decay rates, channels?

3. What is the cosmo measure?

4. How to make statistical predictions tractable?

# Need Forma

# Theory.

# Need Smart

# Computation.

## **Caveats and Comments from the Modern Era**

- a number string pheno folks working on ML have interesting non-string-pheno off-shoots. will cite some refs!
- using LLMs for research, ML or otherwise, is cool! I won't talk about it, but feel free to ask me questions anyways.

## • to those working in this area:

my sincere apologies if I didn't adequately cite / cover / present your work. Please *briefly* raise your exciting results in Q&A. • stats language: "sampling", "drawn from", etc.

sounds gross, but if you've ever done a compuation in an actual string compactification, you yourself have sampled string data.

• numerical techniques can be rigorous.

"rigor" is loaded. I don't mean statistical convergence, I mean zero-error results, applied ML or *from ML theory*.

see upcoming article with Gukov, Ruehle.

# **Outline:**

## **ML** Preliminaries

- 1) Neural Networks
- 2) Universal Approximation
- 3) Architectures
- 4) Famous Examples and Verbs

## **In-Depth Looks**

Auto-diff everything: Flux Vacua
 Flow: CY-metrics and NN theory

## **Cursory Glances**

- 1) Prediction: Supervised Learning
- 2) Rigor: Conjecture Gen. + Theorems
- 3) Structure: Persistent Homology
- 4) Search: Reinforcement Learning, Gen. Algs, Quantum Annealers

## Dreams

1) Generative Models: Simulating String Theory and Statistical Inference

# Preliminaries

1. Neural Networks

2. Universal Approximation and Network Architectures

3. Famous Examples and their Verbs

# **Neural Networks**

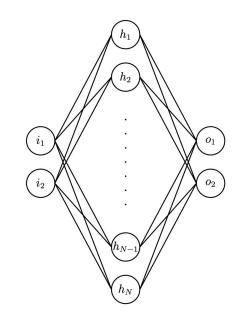
A **neural network** is a parameterized function, typically a "big" function composed out of many other simpler functions, with *many* parameters.

Therefore, map from param space to function space.

Parameters θ drawn θ ~ P(θ) at init.
Puts stats on NN function space,
defines a field theory, compute correlators, etc.

for FT, 2307 of [Demirtas, J.H., Maiti, Schwartz, Stoner] + refs therein

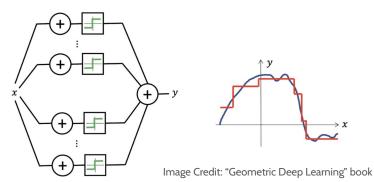
State of the art NNs have 500 billion parameters, cost millions of dollars to train.



e.g. the perceptron, building block for deep FFNN.

# **Universal Approximation and Architectures**

## **Universal Approximation Theorems:**



**Figure 1:** Multilayer Perceptrons (Rosenblatt, 1958), the simplest feed-forward neural networks, are universal approximators: with just one hidden layer, they can represent combinations of step functions, allowing to approximate any continuous function with arbitrary precision.

**essence:** approximation any function, error O(1/N), N = width.

Many versions, see Wiki.

## Architecture:

How you stitch simple functions into complex ones. Architecture choice depends on data structure.

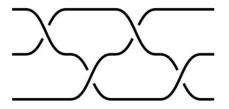
e.g. from before, FFNN. but there are others:

## **Convolutional Net**



image data, identifies local features with conv kernels.

Transformer



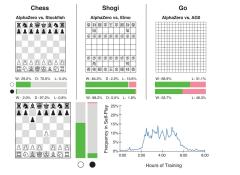
language data, attention mechanism, "T" in GPT.

knots app [Gukov, J.H, Ruehle, Sulkowski]

## Famous Examples, Quantified with Verbs



**Predict:** Supervised Learning, e.g. MNIST classification.



Search / Play: Reinforcement Learning, e.g. AlphaZero.



Generate / Simulate: Generative Models. e.g. Text2lmg, GPT-4.



### ChatGPT

In Paris, threads weave,

String theory's vast landscape,

Eiffel echoes deep.

e.g. Text2Text. GPT-4. Prompt was iteration on strings and Paris.

# Cursory

# Glances

## **Prediction: Supervised Learning**

- **Data:** a set of input-output pairs,  $(x,y) \in X \times Y$
- **Parameterized Model:**  $f_{\theta}: X \to Y$ e.g., lin. reg, neural net.
- Loss Function: L: Y x Y  $\rightarrow$  R measures quality of preds of  $f_{\theta}(x)$  rel. true labels  $y_i$ . e.g.  $L_{MSE} = \Sigma (y_i - f_{\theta}(x_i))^2$
- Optimize: change θ to minimize L on data.
   e.g. gradient descent.

#### Deep-Learning the Landscape

Yang-Hui He

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Abstract

We propose a paradigm to deep-learn the ever-expanding database which have emerged in mathematical physics and particle pheremotody, as diverse as the statistics of string vacua or combinatorial and algebraic geometry. As concrete examples, we stabilish multi-layer neural networks as both classifiers and predictors and train them with a host of svaliable data ranging from Calabi-Yan manifolds and vector bundles, to quiver representations for gauge theories. We find that even a relatively simple neural networks can bear many significant quantities to astounding accuracy in a matter of minutes and can also predict hithertofore unencountered results. This paradigm should prove a valuable tool in various investigations in landscapes in physics as well as pure mathematics.

### Evolving neural networks with genetic algorithms to study the String Landscape

#### FABIAN RUEHLE<sup>1</sup>

Rudolf Peierls Centre for Theoretical Physics, Oxford University, 1 Keble Road, Oxford, OX1 3NP, UK

#### Abstract

We study possible applications of artificial neural networks to examine the string landscape. Since the field of application is rather versatile, we propose to dynamically evolve these networks via genetic algorithms. This means that we start from basic building blocks and combine them such that the neural network performs best for the application we are interested in. We study three areas in which neural networks can be applied: to classify models according to a fixed set of (physically) appealing features, to find a concrete realization for a computation for which the precise algorithm is known in principle but very tedious to actually implement, and to predict or approximate the outcome of some involved mathematical computation which performs too inefficient to apply it, e.g. im model scans within the string landscape. We present simple examples that arise in string phenomenology for all three types of problems and discuss how they can be addiressed by evolving neural networks from genetic algorithms.

#### Machine Learning in the String Landscape

#### Jonathan Carifio,<sup>a</sup> James Halverson,<sup>a</sup> Dmitri Krioukov,<sup>a,b,c</sup> and Brent D. Nelson

<sup>a</sup>Department of Physics, Northanstern University, Boston, MA OUTIS, USA <sup>b</sup>Department of Mathematics, Northanstern University, Boston, MA OUTIS, USA <sup>c</sup>Department of Electrical and Computer Engineering, Northanstern University, Boston, MA OUTIS, USA

#### Abstract:

We utilise machine learning to study the string innolespe. Deep data dives and conjectures generation are proposed as useful firmworks for utilizing machine learning in the landscape, and examples of each are presented. A decision tree accurately predicts the number of weak Fano toric threfolds arising from reflective polytops, each of which determinies a smooth F-theory compactification, and linear regression generates a previously proven conjecture for the gauge group rank in an ensemble of  $\frac{1}{3} \times 20.6$  s  $10^{150}$  F-theory compactifications. Logistic regression generates a new conjecture for when  $E_{\rm g}$  arises in the large ensemble of theory compactifications, which is then figurously prover. This result may be relevant for the appearance of visible sectors in the ensemble. Through conjecture generation, machine learning is useful not only for marriers, but als for rigroups resons results.

Early String / ML papers were usually supervised

#### Machine Learning of Calabi-Yau Volumes

Daniel Kreff<sup>a</sup> and Rak-Kyeong Seong<sup>b</sup> <sup>a</sup> Theoretical Physics Department, CERN, Geneva 23, CH-1211 Switzerland <sup>b</sup> Department of Physics and Astronomy, Uppsal University, 52–751 08 Uppsala, Sweden

We employ machine learning techniques to investigate the volume minimum of Sasal-Einstein base manifolds of non-compact toric Calabi-Yau 3-406. We find that the minimum volume can be approximated via a second order multiple linear regression on standard topological quantities obtained from the corresponding toric diagram. The approximation improves further after invoking a convolutional neural network with the full toric diagram. The three conversions of the transpire pervisors that after after invoking a convolutional neural network with the full toric diagram. To the Calabi-Yau 3-folds as the input. We are thereby able to circumvent any minimization procedure that was previously necessary and find an explicit mapping between the minimum volumes and the topological quantities of the toric diagram. Under the AdS/CPT correspondence, the minimum volumes of Sasaki-Einstein manifolds correspond to central charges of a tass of 4M = 1 superconformal field theories. We therefore find empirical evidence for a function that gives values of central charges of a function that gives values of central charges without the usual extremization procedure.

# **Rigor: Conjecture Generation, My Path Into ML**

## [Submitted on 3 Jul 2017] Machine Learning in the String Landscape

### Jonathan Carifio, James Halverson, Dmitri Krioukov, Brent D. Nelson

We utilize machine learning to study the string landscape. Deep data dives and conjecture generation are proposed as useful frameworks for utilizing machine learning in the landscape, and examples of each are presented. A decision tree accurately predicts the number of weak Fano toric threefolds arising from reflexive polytopes, each of which determines a smooth F-theory compactification, and linear regression generates a previously proven conjecture for the gauge group rank in an ensemble of  $\frac{4}{3} \times 2.96 \times 10^{755}$  Ftheory compactifications. Logistic regression generates a new conjecture for when  $E_6$  arises in the large ensemble of F-theory compactifications, which is then rigorously proven. This result may be relevant for the appearance of visible sectors in the ensemble. Through conjecture generation, machine learning is useful not only for numerics, but also for rigorous results.

**Theorem:** related to prevalence of E<sub>6</sub> grand unification in ensemble of 10<sup>755</sup> string geometries.

Big Data: there, 10<sup>755</sup> < # geoms < ∞. [J.H., Long, Sung] [Di Cerbo, Svaldi] The Idea:

1) use ML, e.g. supervised, on interesting problem.

- 2) "open the box" to discover key decision variables.e.g. decision tree, automatice.g. gradient saliency in NNs (see DeepMind results)
- **3)** bring human expert into the ML loop. think hard. conjecture. maybe iterate.

4) prove theorem.

## More Conjecture Generation and Theorems in Strings

Machine Learning Line Bundle Cohomology

Callum R. Brodie<sup>1</sup>, Andrei Constantin<sup>2</sup>, Rehan Deen<sup>1</sup>, Andre Lukas<sup>1</sup>

arXiv: 1906.08730

Machine Learning and Algebraic Approaches towards Complete Matter Spectra in 4d F-theory

> Martin Bies<sup>1</sup>, Mirjam Cvetič<sup>2,3,4</sup>, Ron Donagi<sup>3,2</sup>, Ling Lin<sup>5</sup>, Muyang Liu<sup>2</sup>, Fabian Ruehle<sup>5,6</sup>

> > arXiv: 2007.00009

We investigate different approaches to machine learning of line bundle cohomology on complex surfaces as well as on Calabi-Yau three-folds. Standard function learning based on simple fully connected networks with logistic sigmoids is reviewed and its main features and shortcomings are discussed. It has been observed recently that line bundle cohomology can be described by dividing the Picard lattice into certain regions in each of which the cohomology dimension is described by a polynomial formula. Based on this structure, we set up a network capable of identifying the regions and their associated polynomials, thereby effectively generating a conjecture for the correct cohomology formula. For complex surfaces, we also set up a network which learns certain rigid divisors which appear in a recently discovered master formula for cohomology dimensions.

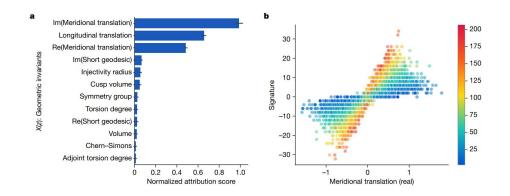
### piecewise polynomials for LB Hodge numbers

Motivated by engineering vector-like (Higgs) pairs in the spectrum of 4d F-theory compactifications, we combine machine learning and algebraic geometry techniques to analyze **line bundle cohomologies** on families of holomorphic curves. To quantify **jumps of these cohomologies**, we first generate 1.8 million pairs of line bundles and curves embedded in dP3, for which we compute the cohomologies. A white-box machine learning approach trained on this data provides intuition for jumps due to **curve splittings**, which we use to construct additional vector-like Higgs-pairs in an F-Theory toy model. We also find that, in order to explain quantitatively the full dataset, further tools from algebraic geometry, in particular Brill--Noether theory, are required. Using these ingredients, we introduce a diagrammatic way to express cohomology jumps across the parameter space of each family of matter curves, which reflects a stratification of the F-theory complex structure moduli space in terms of the vector-like spectrum. Furthermore, these insights provide an algorithmically efficient way to estimate the possible cohomology dimensions across the entire parameter space.

## ML-inspired intuition for vector pairs.

# **Conjecture Generation in Knot Theory: DeepMind Results**

z: Knot		X(z): Geomet	ric invariants	Y(z): Algebraic invariants			
	Volume	Chern-Simons	Meridional translation		Signature	Jones polynomial	
8	2.0299	0	i		0	$t^{-2} - t^{-1} + 1 - t + t^2$	
$\otimes$	2.8281	-0.1532	0.7381 + 0.8831 <i>i</i>		-2	$t - t^2 + 2t^3 - t^4 + t^5 - t^6$	
8	3.1640	0.1560	–0.7237 + 1.0160 <i>i</i>		0	$t^{-2} - t^{-1} + 2 - 2t + t^2 - t^3 + t^4$	



- predict knot sig. from geom invariants.
- interpreted with "attribution" via gradient saliency.

importance of  $x_i \propto \frac{\partial f(x)}{\partial x_i}$ 

- some features clearly correlated with sig.
- given knowledge of crucial features, made conjecture and proved that:

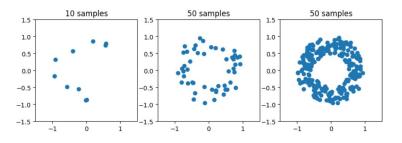
Theorem: There exists a constant c such that, for any hyperbolic knot K,

$$|2\sigma(K) - \operatorname{slope}(K)| \le \operatorname{cvol}(K)\operatorname{inj}(K)^{-3}$$
(2)

[Davies et al] Nature 2021. Also have representation theory results!

## **Structure: Persistent Homology**

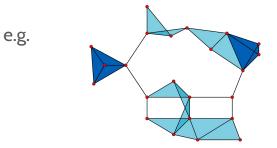
- Data manifold. M in ambient space A. e.g. Calabi-Yau manifolds e.g. stabilized vacua in moduli space.
- Structure. M has geometry, topology, e tc.
- Samples. Xs ~ P(M) yield point cloud in A.
- **Question:** topology of M from samples?



• Persistent Homology.

A one-parameter family of simplicial complexes yields homology family  $H_k(Xs; \delta)$ .

• Vietoris-Rips Complex. To each k-point subset of Xs within δ-ball, a (k-1)-simplex.



 Life and death. Cycles are born and die. Real cycles persist, have δ<sub>death</sub> >> δ<sub>birth</sub>.

## **Structure: Persistent Homology**

Im  $\phi$ 

Vdeath 30. 0.10 0.08 0.06 0.04 0.02 Re Ø -04 \_0.2 04 0.005 0.010 0.015 0.020 0.025 0.030

Axiodilaton values, weak IIB on rigid CY, with flux cutoff.

Persistent homology detects topology, birth and death of cycles.

also great [Shiu et. al.] on TDA for CMB data! see also: [Cirafici] for early TDA and strings

### **Topological Data Analysis for the String Landscape**

Alex Cole and Gary Shiu

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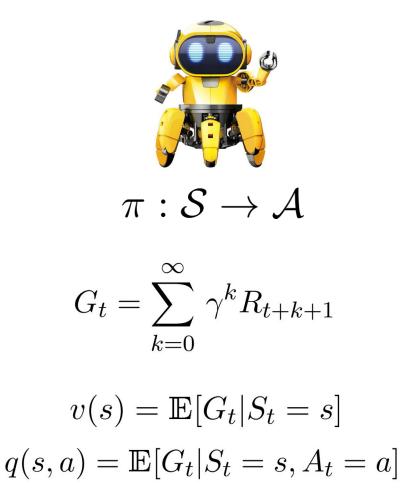
Department of Physics, University of Wisconsin, Madison, WI 53706, USA

#### Abstract

Persistent homology computes the multiscale topology of a data set by using a sequence of discrete complexes. In this paper, we propose that persistent homology may be a useful tool for studying the structure of the landscape of string vacua. As a scaleddown version of the program, we use persistent homology to characterize distributions of Type IIB flux vacua on moduli space for three examples: the rigid Calabi-Yau, a hypersurface in weighted projective space, and the symmetric six-torus  $T^6 = (T^2)^3$ . These examples suggest that persistence pairing and multiparameter persistence contain useful information for characterization of the landscape in addition to the usual information contained in standard persistent homology. We also study how restricting to special vacua with phenomenologically interesting low-energy properties affects the topology of a distribution.

# **Search: Reinforcement Learning**

- **key idea:** "winning the game" is an exact solution to problem. Zero error.
- an *agent* interacts in an *environment*.
- it perceives a *state* from state space.
- its *policy* picks an action, given state.
- arrives in new state, receives *reward*.
- successive rewards accum. to *return*. future rewards penalized by *discount*.
- state- and action-value functions.



## **Search: Reinforcement Learning**

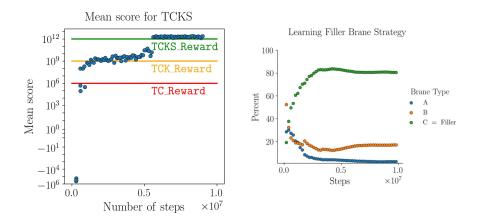
Branes with Brains: Exploring String Vacua with Deep Reinforcement Learning

#### James Halverson,<sup>a</sup> Brent Nelson,<sup>a</sup> Fabian Ruehle<sup>b,c</sup>

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ABSTRACT: We propose deep reinforcement learning as a model-free method for exploring the landscape of string vacua. As a concrete application, we utilize an artificial intelligence agent known as an asynchronous advantage actor-critic to explore type IIA compactifications with intersecting D6-branes. As different string background configurations are explored by changing D6-brane configurations, the agent receives rewards and punishments related to string consistency conditions and proximity to Standard Model vacua. These are in turn utilized to update the agent's policy and value neural networks to improve its behavior. By reinforcement learning, the agent of  $\mathcal{O}(200)$  more solutions than a random walker. In one case, we demonstrate that the agent learns a human-derived strategy for finding consistent string models. In another case, where no human-derived strategy exists, the agent learns a genuinely new strategy that achieves the same goal twice as efficiently per unit time. Our results demonstrate that the agent learns to solve various string theory consistency conditions simultaneously, which are phrased in terms of non-linear, coupled Diophantine equations. see also, [Gukov, J.H., Manolescu, Ruehle] studied knots, ruled out > 800 potential counterexamples to smooth 4d Poincare conjecture.



- IIA, intersecting D6.
- learning realizes punctuated equilibria in search of tadpole, K-theory, SUSY reward.
- learned filled brane strategy, but also a better one.

# **Search: Genetic Algorithms and Quantum Annealers**

## **Genetic Algorithms:**

Simulate natural selection by evolve solutions using mutation, crossover, selection. *Survival of the fittest.* 

## Quantum Annealers: a.k.a. use DWave

exploit quantum superposition and tunneling for specific optimization problems.

different from general quantum computers.

# **Right:** early literature in SUSY (2004) and strings (2014).

Genetic Algorithms and Experimental Discrimination of SUSY Models

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## Genetic Algorithms and the Search for Viable String Vacua

#### Steven Abel<sup>¢</sup> and John Rizos

<sup>◊</sup> IPPP, Durham University, South Road, Durham, DH1 3LE, UK
<sup>†</sup>Physics Department, University of Ioannina, GR45110, Greece

ABSTRACT: Genetic Algorithms are introduced as a search method for finding string vacua with viable phenomenological properties. It is shown, by testing them against a class of Free Fermionic models, that they are orders of magnitude more efficient than a randomised search. As an example, three generation, exophobic, Pati-Salam models with a top Yukawa occur once in every 10<sup>10</sup> models, and yet a Genetic Algorithm can find them after constructing only 10<sup>5</sup> examples. Such non-deterministic search methods may be the only means to search for Standard Model string vacua with detailed phenomenological requirements.

## **Search: Genetic Algorithms and Quantum Annealers**

Manifold	h	$ \Gamma $	Range	GA	Scan	Found	Explored
7862	4	2	[-7,8]	5	5	100%	$10^{-10}$
7862	4	4	[-7,8]	30	31	97%	$10^{-10}$
7447	5	2	[-7,8]	38	38	100%	$10^{-14}$
7447	5	4	[-7,8]	139	154	90%	$10^{-14}$
5302	6	2	[-7,8]	403	442	93%	$10^{-19}$
5302	6	4	[-7,8]	722	897	80%	$10^{-19}$
4071	7	2	[-3,4]	11,937	N/A	N/A	$10^{-14}$

Decoding Nature with Nature's Tools: Heterotic Line Bundle Models of Particle Physics with Genetic Algorithms and Quantum Annealing

Steve A. Abel <sup>0</sup>,<sup>1,2,\*</sup> Andrei Constantin <sup>0</sup>,<sup>3,†</sup> Thomas R. Harvey <sup>0</sup>,<sup>3,‡</sup> Andre Lukas <sup>0</sup>,<sup>3,§</sup> and Luca A. Nutricati <sup>0</sup>, <sup>¶</sup>

<sup>1</sup> IPPP, Durham University, Durham, DH1 3LE UK Department of Mathematical Sciences, Durham University, Durham DH1 3LE, UK <sup>2</sup> CERN, Theoretical Physics Department, CH 1211 Geneva 23 Switzerland <sup>3</sup> Rudolf Peierls Centre for Theoretical Physics, University of Oxford, Parks Road, Oxford OX1 3PU, UK

The string theory landscape may include a multitude of ultraviolet embeddings of the Standard Model, but identifying these has proven difficult due to the enormous number of available string compactifications. Genetic Algorithms (GAs) represent a powerful class of discrete optimisation techniques that can efficiently deal with the immensity of the string landscape, especially when enhanced with input from quantum annealers. In this letter we focus on geometric compactifications of the  $E_8 \times E_8$  heterotic string theory compactified on smooth Calabi-Yau threefolds with Abelian bundles. We make use of analytic formulae for bundle-valued cohomology to impose the entire range of spectrum requirements, something that has not been possible so far. For manifolds with a relatively low number of Kähler parameters we compare the GA search results with results from previous systematic scans, showing that GAs can find nearly all the viable solutions while visiting only a tiny fraction of the solution space. Moreover, we carry out GA searches on manifolds with a larger numbers of Kähler parameters where systematic searches are not feasible.

Heterotic E<sub>8</sub> x E<sub>8</sub> + physics constraints, (anomaly cancellation, spectrum, poly-stability, etc).

# realize most found via systematic scans, **searched a fraction of the space**.

## GA vs RL, e.g.:

Evolving Heterotic Gauge Backgrounds: Genetic Algorithms versus Reinforcement Learning

Steven Abel<sup>a,1</sup>, Andrei Constantin<sup>b,2</sup>, Thomas R. Harvey<sup>b,3</sup>, Andre Lukas<sup>b</sup>

<sup>a</sup> IPPP, Durham University, Durham DH1 3LE, UK

<sup>b</sup>Rudolf Peierls Centre for Theoretical Physics, University of Oxford Parks Road, Oxford OX1 3PU, UK Probing the Structure of String Theory Vacua with Genetic Algorithms and Reinforcement Learning

Alex Cole University of Amsterdam a.e.cole@uva.nl Sven Krippendorf Arnold Sommerfeld Center for Theoretical Physics LMU Munich sven.krippendorf@physik.uni-muenchen.de

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# GA + Tadpoles: Our Gracious Hosts!

#### Algorithmically solving the Tadpole Problem

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## String Field Theory and ML

Characterizing 4-string contact interaction using machine learning

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#### Abstract

The extensive computer-aided search applied in [1] to find the minimal charge sourced by the fluxes that stabilize all the (flux-stabilizable) moduli of a smooth K3×K3 compactification uses differential evolutionary algorithms supplemented by local searches. We present these algorithms in detail and show that they can also solve our minimization problem for other lattices. <u>Our results support the Tadpole Conjecture</u>: The minimal charge grows linearly with the dimension of the lattice and, for K3×K3, this charge is larger than allowed by tadpole cancelation.

Even if we are faced with an NP-hard lattice-reduction problem at every step in the minimization process, we find that differential evolution is a good technique for identifying the regions of the landscape where the fluxes with the lowest tadpole can be found. We then design a "Spider Algorithm," which is very efficient at exploring these regions and producing large numbers of minimal-tadpole configurations.

#### evidence that average-case is better than worst-case complexity here?

#### Abstract

The geometry of 4-string contact interaction of closed string field theory is characterized using machine learning. We obtain Strebel quadratic differentials on 4-punctured spheres as a neural network by performing unsupervised learning with a custom-built loss function. This allows us to solve for local coordinates and compute their associated mapping radii numerically. We also train a <u>neural network distinguishing vertex from Feynman region</u>. As a check, 4-tachyon contact term in the tachyon potential is computed and a good agreement with the results in the literature is observed. We argue that our algorithm is manifestly independent of number of punctures and scaling it to characterize the geometry of *n*-string contact interaction is feasible.

# Deeper Looks at some recent progress.

1. Autodiff Everything: Flux Vacua 2. Flows: CY Metrics and Neural Network Theory

# Autodiff Everything: A Slogan for Differentiable Science

A theme in ML, since differentiability opens up new algorithms, analyses.

Here: a pipeline for IIB flux vacua.

# **Autodiff Everything**

### You

write me 2 sentences explaining the basics of the slogan "Autodiff everything" in the context of differentiable science. be clear on why this is interesting and important.

#### ChatGPT

The slogan "Autodiff everything" in differentiable science advocates for the widespread use of automatic differentiation, a method that computationally derives precise gradients of complex functions. This approach is crucial as it enables more efficient and accurate modeling in various scientific and engineering fields, significantly advancing research and development through improved optimization and analysis techniques.

**Big idea:** many more things are diff'able than you think, e.g. certain complex simulations, deep NNs. really any computational graph with diff'able comps

# **e.g.** differentiate through numerical ODE solutions, with Diffrax, built on JAX.

#### JAX, M.D. A Framework for Differentiable Physics

Samuel S. Schoenholz Google Research: Brain Team schsam@google.com Ekin D. Cubuk Google Research: Brain Team cubuk@google.com

#### Abstract

We introduce JAX MD, a software package for performing differentiable physics simulations with a focus on molecular dynamics. JAX MD includes a number of physics simulation environments, as well as interaction potentials and neural networks that can be integrated into these environments without writing any additional code. Since the simulations themselves are differentiable functions, entire trajectories can be differentiated to perform meta-optimization. These features are built on primitive operations, such as spatial partitioning, that allow simulations to scale to hundreds-of-thousands of particles on a single GPU. These primitives are flexible enough that they can be used to scale up workloads outside of molecular dynamics. We present several examples that highlight the features of JAX MD including: integration of graph neural networks into traditional simulations, metaoptimization through minimization of particle packings, and a multi-agent flocking simulation. JAX MD is available at www.github.com/google/jax-md.

## JAX MD: differentiable molecular dynamics simuls.

### Differentiable Cosmological Stasis: WIP with Pandya.

# **Autodiff IIB Flux Vacua with JAXVacua**

**JAX:** a Google package, diff'able numpy, NN library extensions sit on top.

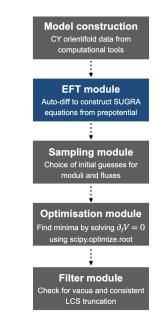
A number of nice features (other libs too), including:

- autodiff. what we've been talking about.

- **jit.** just-in-time compilation, converts functions to machine code at run-time, gives speedups.
- **vmap**, **pmap**. automatic vectorization allows for clean implementation across many CPU cores.

## **JAXVacua:** pipeline for finding vacua with JAX.

[Dubey, Krippendorf, Schachner]

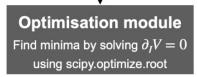




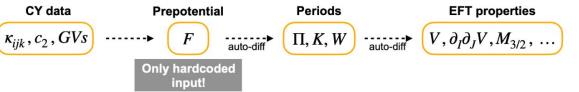
JAXvacua EFT module

## **EFT module** Auto-diff to construct SUGRA equations from prepotential

Sampling module Choice of initial guesses for moduli and fluxes



Filter module Check for vacua and consistent LCS truncation • Flexible code (i.e. re-use for different CY data) for EFT properties with JAX



 Auto-diff: machine precision derivatives; easy to implement and adapt to different properties.

## Code example:

def prepot(moduli):
 return np.einsum("ijk,i,j,k",kappa,moduli,moduli,moduli) + ...
gradient\_prepot = jax.grad(prepot,holomorphic=True)(moduli)

**On paper:** 
$$F = -\frac{1}{6} \kappa_{ijk} Z^{i} Z^{j} Z^{k} + \dots , \quad \partial_{i} F = -\frac{1}{2} \kappa_{ijk} Z^{j} Z^{k} + \dots$$

thanks @ Sven for sharing slides.

## **JAXvacua** Numerical results - $h^{1,2} \le 25$

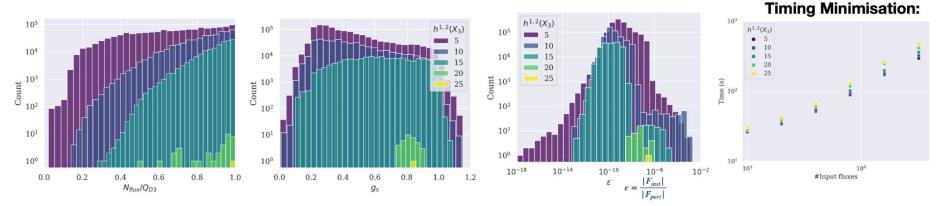
## Scaling behaviour at larger $h^{1,2}$

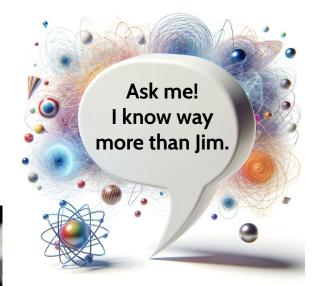
$h^{1,1}$	$h^{1,2}$	$Q_{D3}$	success rate	‡vacua
213	5	220	50%	$1,\!370,\!842$
244	10	256	16%	498,545
399	15	416	7%	168,291
350	20	372	< 1%	36
245	25	272	< 1%	1

### Success rate decreases rapidly because

- high dimensionality means slower evaluation time
- · harder to perform numerical optimisation
- phase of Kähler cone becomes narrower [Demirtas et al. 1808.01282]

Important to stress: sampling with  $N_{flux} \leq Q_{D3}$ much harder than allowing  $N_{flux} \rightarrow \infty$ . We actually looked at examples with  $h^{1,2} > 100$ and found solutions with  $N_{flux} \gg Q_{D3}$ 







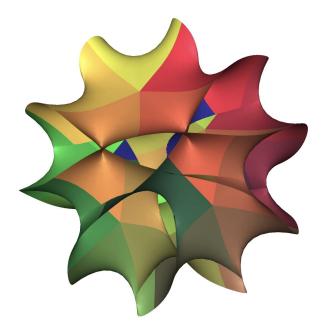
# Flows: Calabi-Yau Metrics and Neural Network Theory

we know *zero* (non-trivial) compact CY metrics. modeling them with NNs gives SOTA.

Training corresponds to *geometric flows* and encompasses famous results in mathematical physics.

Similar apps. in cosmology! [Krippendorf, Spannowsky]

## Calabi-Yau Manifolds: A Fact We Don't Advertise



**CY manifold:** solutions of string theory, low energy physics depends on geometry and topology.

## Yau's Miraculous Theorem:

let X be a complex (Kahler) manifold,



then if certain *topological* feature holds (c<sub>1</sub> = 0), you get *geometry*, a unique Ricci-flat metric.

 $R_{ij}=0$  (Ricci curvature tensor)

## Conditions often satisfied?

 $10^{755} < #$  elliptic CY 4-fold topological types <  $\infty$ .  $10^{200?} < #$  Kreuzer-Skarke CY3 <  $\infty$ .

## How many metrics are known?

The proof of metric existence is non-constructive. For X compact, *zero* are known. Need approximation.

## **Neural Network Calabi-Yau Metrics**

"Let the neural network be the metric!"

- above authors.

Neural network depends on parameters  $\theta$ , which provide a variational ansatz:

 $g_{ij}(x) \mapsto g_{ij}(x,\theta)$ 

optimize parameters to minimize some objective, e.g. to drive the metric towards Ricci-flatness.

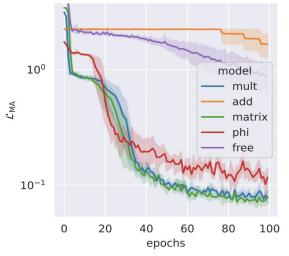
See also: NN as variational ansatz for quantum many-body wavefunction! Minimize energy, e.g. [Carleo, Troyer] 2017 Infinite NN Context: [J.H., Luo]

[Anderson, Gerdes, Krippendorf, Raghuram, Ruehle] [Douglas, Lakshminarasimhan, Qi] [Jejjala, Mayorga Pena, Mishra]

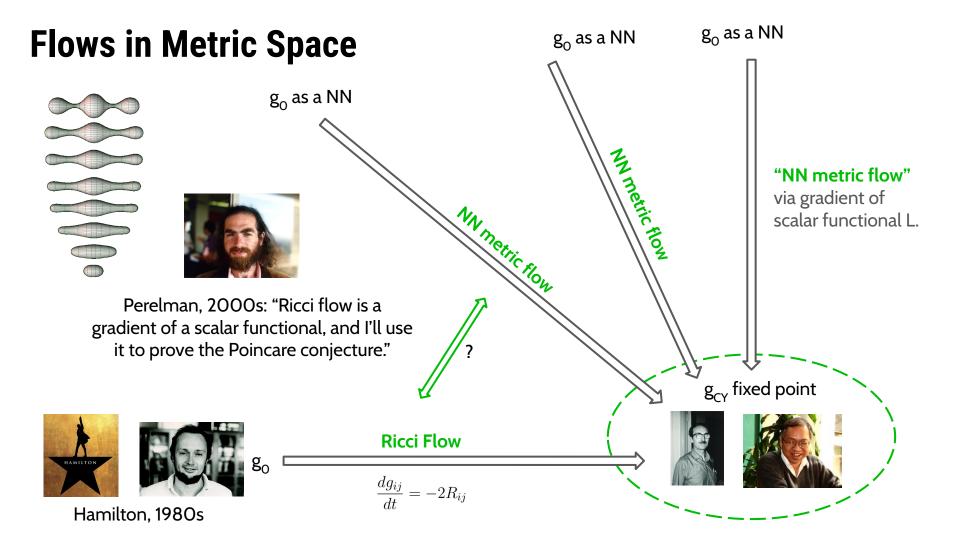
see also: [Ashmore, He, Ovrut] [Larfors, Lukas, Ruehle, Schneider][Krippendorf, Gerdes]

Why this is a good idea: NN's are powerful, universal approximation theorems, etc.

#### Evidence this is a good idea:



15 mins NN = 30 years w/ conventional techniques.



## **Theory of Neural Network Metric Flows**

[J.H., Ruehle] 2310.19870

Network Dynamics: Neural Tangent Kernel [Jacot et. al.] 2018

Let f be a NN with parameters θ. Train via gradient descent of scalar loss.

$$\frac{df(x)}{dt} = \frac{d\theta_I}{dt} \frac{df(x)}{d\theta_I}$$

$$\stackrel{\text{G.D.}}{=} -\sum_{\text{data } x'} \frac{df(x)}{d\theta_I} \frac{df(x')}{d\theta_I} \frac{\delta \mathcal{L}}{\delta f(x')}$$

$$= -\sum_{\text{data } x'} \Theta(x, x') \frac{\delta \mathcal{L}}{\delta f(x')}$$

#### Neural Tangent Kernel:

$$\Theta(x, x') := \frac{df(x)}{d\theta_I} \frac{df(x')}{d\theta_I}$$

in infinite limit, becomes

deterministic and t-independent, a fixed function.

#### **Neural Network Metric Flows:**

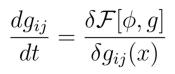
$$\frac{dg_{ij}(x)}{dt} = -\int_X d\mu(x')\,\Theta_{ijkl}(x,x')\frac{\delta l(x')}{\delta g_{kl}(x')}$$

(continuous version. there is discrete, too)

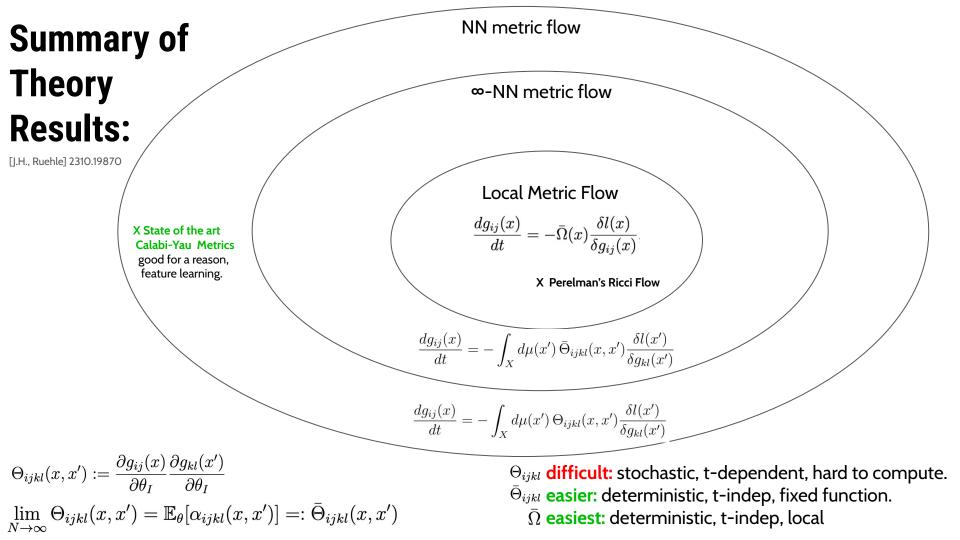
**Metric-NTK:**  $\Theta_{ijkl}(x, x') := \frac{\partial g_{ij}(x)}{\partial \theta_I} \frac{\partial g_{kl}(x')}{\partial \theta_I}$ non-local, t-dependent, mixes components.

#### Perelman's Formulation:

local, no component mixing. **F:** Einstein-dilaton theory.



**Question:** do some architectures yield Perelman? must overcome these differences. **Yes!** 



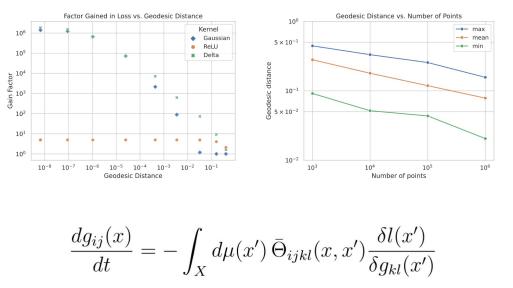
## **Kernel Methods: Failing for Deep Reasons**

We trained infinite NNs for CY-metrics.

Results not great! Great on test, bad on train.

#### Kernel Learning and CY-Theorem:

- NNs in frozen-NTK regime don't learn features.
- therefore, different infinite NNs have different metric updates, due to different fixed kernels.
- the plethora of kernels and updates at odds with the uniqueness of the CY metric.
- key: finite-NNs have *evolving* kernels that can in principle learn the right kernel for the job. This idea is borne out in experiments.



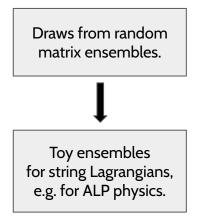
## Dreams

come back to the oracle. what might we really want?

how should we do statistics in string theory?

## **Generative Models for String Theory**

#### Random Matrix Theory for Strings:



#### A Global View on The Search for de-Sitter Vacua in (Type IIA) String Theory

Xingang Chen<sup>1</sup>, Gary Shiu<sup>2,3</sup>, Yoske Sumitomo<sup>3</sup>, and S.-H. Henry Tye<sup>3,4</sup>

<sup>1</sup> Center for Theoretical Cosmology, Department of Applied Mathematics and Theoretical Physics, University of Cambridge, Cambridge, CB3 09M, AU <sup>2</sup> Department of Physics, University of Wisconian, Madison, WI 53706, USA <sup>3</sup> Institute for Advanced Study, Hong Kong University 1de Science and Technology, Hong Kong <sup>4</sup> Laboratory for Elementary-Penticle Physics, Cornel University, Hase, NY 14853, USA

Abstract

The search for classically stable Type IIA deSilter vacua typically starts with an assatc that gives Anti-GSttre supersymmetric vacua and then raises the cosmological constant by modifying the compactification. As one raises the cosmological constant, the complicity typically destable half with the supersonal will lead to a classically stable vacuum, so the probability that this approach will lead to a classically stable deSitter vacuum is dissussing supersoner. This suggests that classically stable deSitter vacuum is theory (at least in the Type IIA region), especially those with relatively high cosmological constants, are very rare. The probability that a typical de-Sitter extremum is classically stable (i.e., tardyon-free) is argued to be Gaussianly suppressed as a function of the number of moduli.

#### The Wasteland of Random Supergravities

David Marsh, Liam McAllister, and Timm Wrase

Department of Physics, Cornell University, Ithaca, NY 14853

We show that in a general M = 1 supergravity with  $N \gg 1$  scalar fields, an exponentially small fraction of the de Sitter critical points are metastable vacua. Taking the superpotential and Kähler potential to be atom fractions, we construct a random matrix model for the Hessian matrix, which is well-approximated by the sum of a Wigner matrix and two Wishart matrices. We compute the eigenvalues pectrum analytically from the free corolution of the constituent spectra and find that in typical configurations, a significant fraction of the eigenvalues, we determine the probability P of a large fluctuation in which all the eigenvalues for extense disputations, we find P = 1.5, while for approximately-super spectra constants. For generic critical points we find  $P \approx \exp(-cN^p)$ , with c, p being constants. For generic critical points we significant implications for the counting of de Sitter vacua in string theory, but the number of vacua remains wast.

Pro: RMT universality results can be utilized.Con: may make assumps not reflected by string data.

**ML Perspective:** an untrained generative model, it generates samples, but wasn't trained on data.

Alternative Question: can we use string data to learn a generative model that simulates string theory?

Use those samples to understand statistical preds?

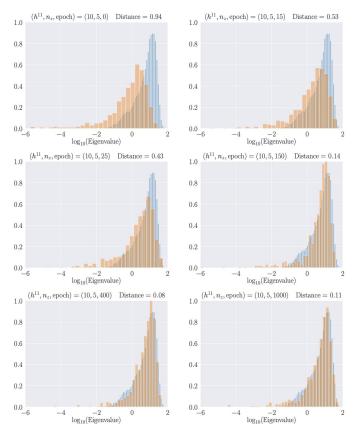
## A Baby Step: Learning Random Matrices of String Data

Statistical Predictions in String Theory and Deep Generative Models

James Halverson and Cody Long Department of Physics, Northeastern University Boston, MA 02115-5000 USA (Dated: January 3, 2020)

Generative models in deep learning allow for sampling probability distributions that approximate data distributions. We propose using generative models for making approximate statistical predictions in the string theory landscape. For vacua admitting a Lagrangian description this can be thought of as learning random tensor approximations of couplings. As a concrete proof-of-principle, we demonstrate in a large ensemble of Calabi-Yau manifolds that Kähler metrics evaluated at points in Kähler moduli space are well-approximated by ensembles of matrices produced by a deep convolutional Wasserstein GAN. Accurate approximations of the Kähler metric eigenspectra are achieved with far fewer than  $h^{11}$  Gaussian draws. Accurate extrapolation to values of  $h^{11}$  outside the training set are achieved via a conditional GAN. Together, these results implicitly suggest the existence of strong correlations in the data, as might be expected if Reid's fantasy is correct.

- idea: stat predictions by simulating string theory, i.e. samples from generative models.
- **data:** ensembles of Kahler metrics of KS CY3s at tip of stretched Kahler cone.
- **result:** learned random matrix approximation of the string data, see converging spectrum.
- extrap / interp analysis to other h<sup>11</sup>.



## Bayesian Inference: The Good, the Bad, and the Ugly

The method by which we use data to update our belief in a model has a name: **statistical inference**.

see e.g. [James, Witten, Hastie, Tibshirani]

Statistical Inference and String Theory

Jonathan J. Heckman\*

Jefferson Physical Laboratory, Harvard University, Cambridge, MA 02138, USA

A Statistical Pipeline for Strings: (akin to ML-stat pipelines in other fields)
1) ML-based methods to draw from approximate distribution on string data (ideally cosmo measure . . .)
2) use string techniques to compute observables.
3) use so-called simulation-based inference to approximate the posterior conditioned on all observed low energy data.

 $p(\theta|D) = \frac{p(D|\theta) \ p(\theta)}{p(D)}$ 

4) make predictions in the posterior.

#### Abstract

In this note we expose some surprising connections between string theory and statistical inference. We consider a large collective of agents sweeping out a family of nearby statistical models for an M-dimensional manifold of statistical fitting parameters. When the agents making nearby inferences align along a d-dimensional grid, we find that the pooled probability that the collective reaches a correct inference is the partition function of a non-linear sigma model in d dimensions. Stability under perturbations to the original inference scheme requires the agents of the collective to distribute along two dimensions. Conformal invariance of the sigma model corresponds to the condition of a stable inference scheme directly leading to the Einstein field equations for classical gravity. By summing over all possible arrangements of the agents in the collective, we reach a string theory. We also use this perspective to quantify how much an observer can hope to learn about the internal geometry of a superstring compactification. Finally, we present some brief speculative remarks on applications to the AdS/CFT correspondence and Lorentzian signature spacetimes.

**Concern:** fundamental statistical learning theory constraint how much we can learn about UV data from IR experiments?

# Image: Construction of the second state of the second s

- 1) Neural Networks
- 2) Universal Approximation
- 3) Architectures
- 4) Famous Examples and Verbs

## In-Depth Looks

Flow: CY-metrics and NN theory
 Auto-diff everything: Flux Vacua

1) Prediction: Supervised Learning

- 2) Rigor: Conjecture Gen. + Theorems
- 3) Structure: Persistent Homology
- 4) Search: Reinforcement Learning, Gen. Algs, Quantum Annealers

## Dreams

1) Generative Models: Simulating String Theory and Statistical Inference

# In Conclusion

String theory and quantum gravity are extremely hard.

If we get to a final answer, we need formal theory, sophisticated compute, and all of us.

New compute in *this* era: ML in the String Landscape.

Questions?

### Feel free to get in touch:

e-mail: <u>jhh@neu.edu</u> Twitter: @jhhalverson web: <u>www.jhhalverson.com</u>