

Exploring the Interior of $N=1$ Field Spaces



Max Wiesner
Harvard University

Based on: 2210.14238 + WIP

Deconstructing the Landscape a.k.a. “Landscapia” — Saclay
November 29, 2023

Introduction

String Theory (and its compactifications) come with a number of **scalar fields** whose vacuum expectation values determine the **properties of the effective theory**

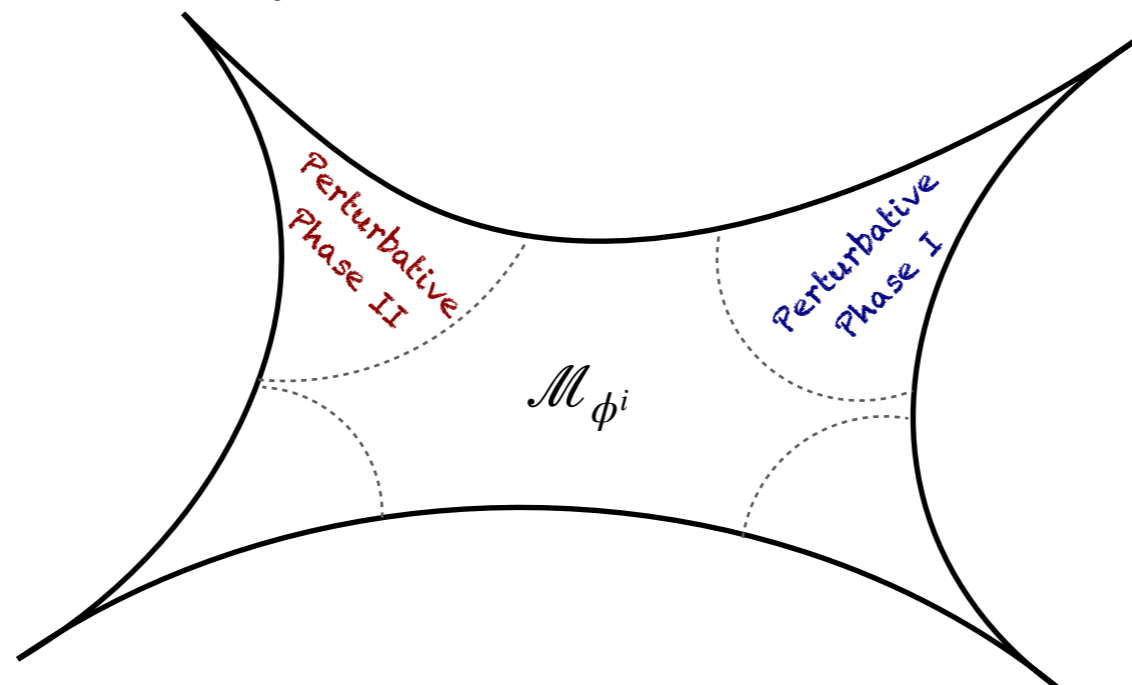
→ values of couplings, masses of states, value of the EFT cut-off ...

Families of EFTs from string theory parametrized by the values of the scalar fields

→ scalar field space \mathcal{M}_{ϕ^i}

Structure of \mathcal{M}_{ϕ^i} gives information about general properties of the theory

→ allowed values for ϕ^i , different perturbative descriptions, dualities



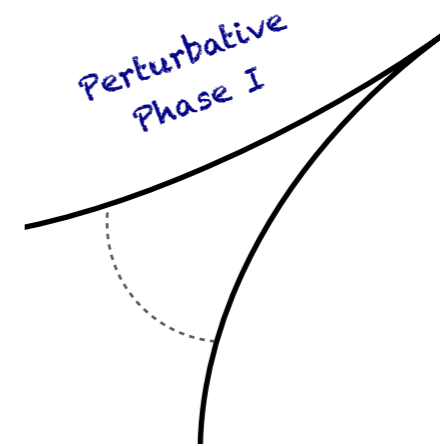
Introduction

What do we know about the structure of \mathcal{M} ?

→ comes equipped with a metric which can be computed in a perturbative limit of the theory
(e.g. *perturbative string theory regime*)

In case of perturbative, supersymmetric string theory:

→ can match with expectation from gravity and obtain metric in perturbative Phase.



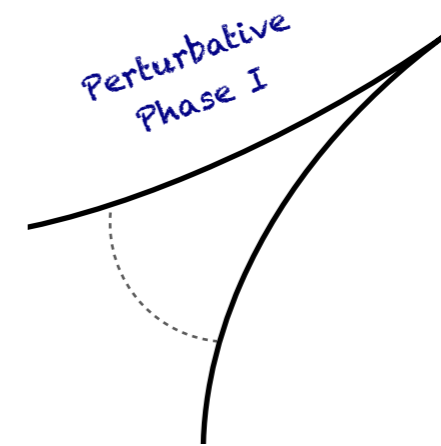
Introduction

What do we know about the structure of \mathcal{M} ?

- comes equipped with a metric which can be computed in a perturbative limit of the theory
(e.g. *perturbative string theory regime*)

In case of perturbative, supersymmetric string theory:

- can match with expectation from gravity and obtain metric in perturbative Phase.



With **enough supersymmetry**, moduli space geometry exactly known!

- metric can be evaluated at any point in moduli space.

With **less supersymmetry** can sometimes rely on **non-renormalization theorems** to describe moduli space away from perturbative limits:

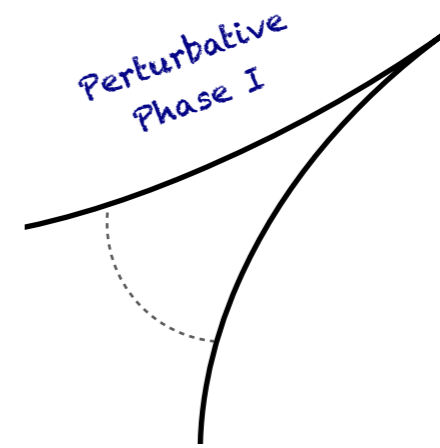
Introduction

What do we know about the structure of \mathcal{M} ?

- comes equipped with a metric which can be computed in a perturbative limit of the theory
(e.g. *perturbative string theory regime*)

In case of perturbative, supersymmetric string theory:

- can match with expectation from gravity and obtain metric in perturbative Phase.



With **enough supersymmetry**, moduli space geometry exactly known!

- metric can be evaluated at any point in moduli space.

With **less supersymmetry** can sometimes rely on **non-renormalization theorems** to describe moduli space away from perturbative limits:

*Example 4d N=2: Moduli space factorizes into vector- and hypermultiplet sector
and only one factor contains the string coupling → tree-level exact.*

Question: What about the more realistic cases in 4d with minimal (or no) supersymmetry?

Warm-up: Type IIA Calabi–Yau compactifications

Question: What about the more realistic cases in 4d with minimal (or no) supersymmetry?

Warm-up: Type IIA Calabi–Yau compactifications

Question: What about the more realistic cases in 4d with minimal (or no) supersymmetry?

Gather some intuition from 4d $N=2$ first — Specifically Type IIA Compactifications on CY 3-fold X_3

- Moduli space spanned by:
 - *Type II dilaton + axionic partner* hypermultiplets
 - *Complex structure moduli of X_3 + axionic partners*
 - *(complexified) Kähler moduli of X_3* vector multiplets

Warm-up: Type IIA Calabi–Yau compactifications

Question: What about the more realistic cases in 4d with minimal (or no) supersymmetry?

Gather some intuition from 4d N=2 first — Specifically Type IIA Compactifications on CY 3-fold X_3

- Moduli space spanned by:
 - *Type II dilaton + axionic partner* hypermultiplets
 - *Complex structure moduli of X_3 + axionic partners*
 - *(complexified) Kähler moduli of X_3* vector multiplets
- N=2 supersymmetry ensures factorization $\mathcal{M} = \mathcal{M}_{\text{HM}} \times \mathcal{M}_{\text{VM}}$.
 - vector multiplet moduli space is **tree-level exact**.
 - can trust the structure derived from string CFT
 - ↔ mirror symmetry to complex structure moduli of \tilde{X}_3

Warm-up: Type IIA Calabi–Yau compactifications

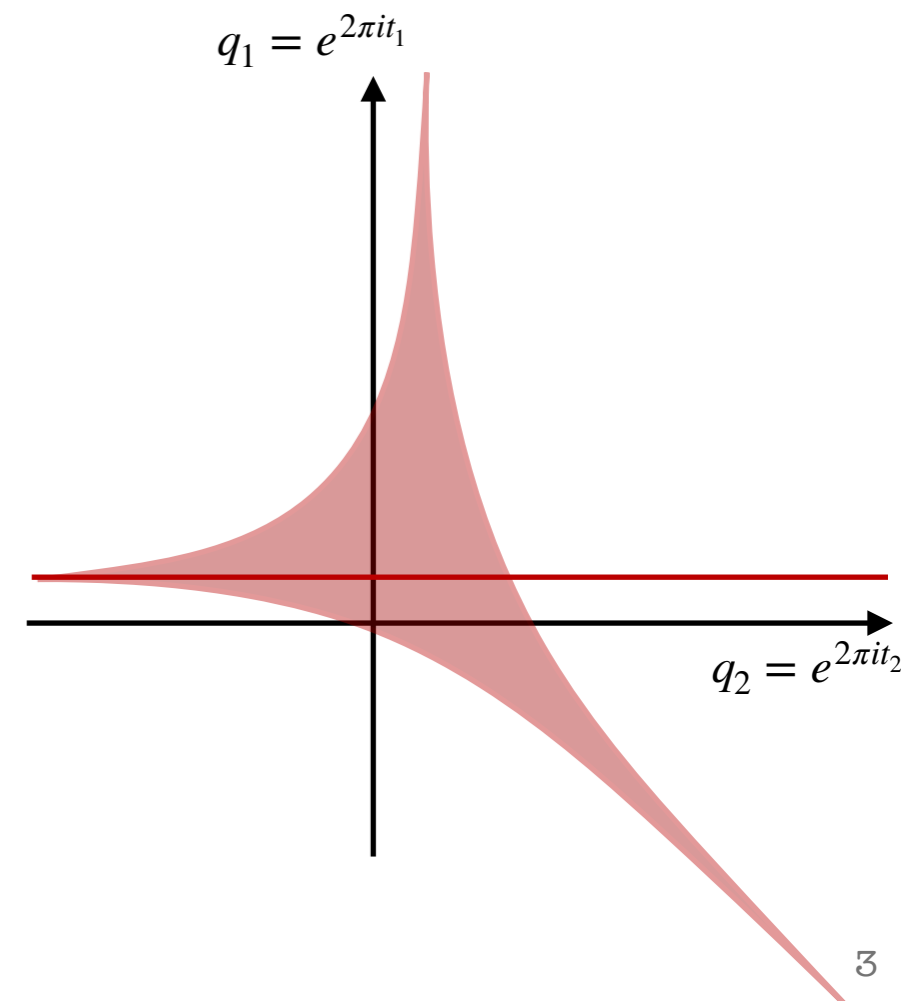
Question: What about the more realistic cases in 4d with minimal (or no) supersymmetry?

Gather some intuition from 4d N=2 first — Specifically Type IIA Compactifications on CY 3-fold X_3

- Moduli space spanned by:
 - Type II dilaton + axionic partner
 - Complex structure moduli of X_3 + axionic partners
 - (complexified) Kähler moduli of X_3
- N=2 supersymmetry ensures factorization $\mathcal{M} = \mathcal{M}_{\text{HM}} \times \mathcal{M}_{\text{VM}}$.
 - vector multiplet moduli space is **tree-level exact**.
 - can trust the structure derived from string CFT
 - ↔ mirror symmetry to complex structure moduli of \tilde{X}_3
- Thanks to factorization can describe small volume regime of \mathcal{M}_{VM}
 - can infer **singularity structure** from mirror

hypermultiplets

vector multiplets



Warm-up: Type IIA Calabi–Yau compactifications

Question: What about the more realistic cases in 4d with minimal (or no) supersymmetry?

Gather some intuition from 4d N=2 first — Specifically Type IIA Compactifications on CY 3-fold X_3

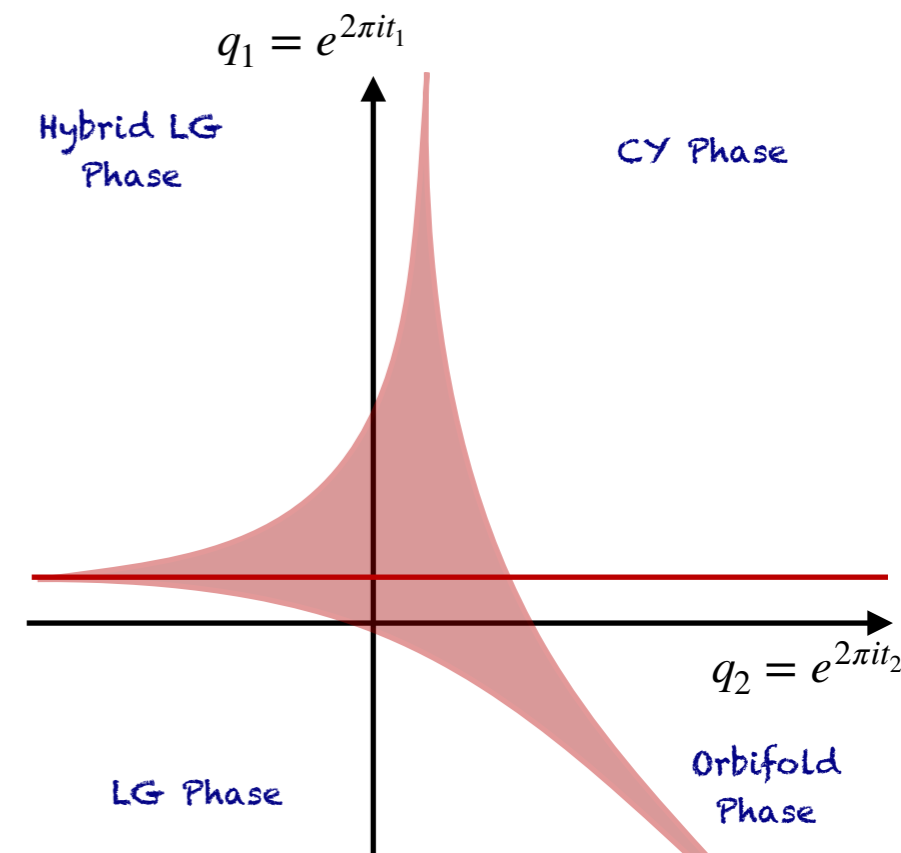
- Moduli space spanned by:
 - Type II dilaton + axionic partner
 - Complex structure moduli of X_3 + axionic partners
 - (complexified) Kähler moduli of X_3

hypermultiplets

vector multiplets

- N=2 supersymmetry ensures factorization $\mathcal{M} = \mathcal{M}_{\text{HM}} \times \mathcal{M}_{\text{VM}}$.
 - vector multiplet moduli space is **tree-level exact**.
 - can trust the structure derived from string CFT
 - ↔ mirror symmetry to complex structure moduli of \tilde{X}_3

- Thanks to factorization can describe small volume regime of \mathcal{M}_{VM}
 - can infer **singularity structure** from mirror
 - at small volume get phases different from CY phase, e.g. orbifold phases, Landau-Ginzburg or hybrid phases.



What about genuine $N=1$ theories?

Question: What remains of this in genuine $N=1$ theories?

What about genuine N=1 theories?

Question: What remains of this in genuine N=1 theories?

- Take e.g. F-theory on elliptically fibered Calabi-Yau fourfold $X_4 : T^2 \rightarrow B_3$
- Scalar field space spanned by [\[Grimm '10\]](#)
 - complex structure moduli of X_4
 - complexified **volumes of divisors of B_3**

$$T_i = \frac{1}{2} \int_{D_a} J \wedge J + i \int_{D_a} C_4$$

J : Kähler form on B_3

D_a : Generators of $\text{Eff}^1(B_3)$

C_4 : Type IIB RR four-form

What about genuine N=1 theories?

Question: What remains of this in genuine N=1 theories?

- Take e.g. F-theory on elliptically fibered Calabi-Yau fourfold $X_4 : T^2 \rightarrow B_3$
- Scalar field space spanned by [\[Grimm '10\]](#)
 - complex structure moduli of X_4
 - complexified **volumes of divisors of B_3**

$$T_i = \frac{1}{2} \int_{D_a} J \wedge J + i \int_{D_a} C_4$$

J : Kähler form on B_3
 D_a : Generators of $\text{Eff}^1(B_3)$
 C_4 : Type IIB RR four-form

- In large volume regime ($\mathcal{V}_{B_3} \rightarrow \infty$): supersymmetry breaking effects are diluted
(... \mathcal{V}_{B_3} plays the role of 4d dilaton)

- In this limit the moduli space is described by
$$K = -\log \int_{X_4} \Omega \wedge \bar{\Omega} - \log \int_{B_3} J_{B_3}^3$$

What about genuine N=1 theories?

Question: What remains of this in genuine N=1 theories?

- Take e.g. F-theory on elliptically fibered Calabi-Yau fourfold $X_4 : T^2 \rightarrow B_3$
- Scalar field space spanned by [\[Grimm '10\]](#)
 - complex structure moduli of X_4
 - complexified **volumes of divisors of B_3**

$$T_i = \frac{1}{2} \int_{D_a} J \wedge J + i \int_{D_a} C_4$$

J : Kähler form on B_3
 D_a : Generators of $\text{Eff}^1(B_3)$
 C_4 : Type IIB RR four-form

- In large volume regime ($\mathcal{V}_{B_3} \rightarrow \infty$): supersymmetry breaking effects are diluted
(... \mathcal{V}_{B_3} plays the role of 4d dilaton)

- In this limit the moduli space is described by
$$K = -\log \int_{X_4} \Omega \wedge \bar{\Omega} - \log \int_{B_3} J_{B_3}^3$$

- What happens away from the **overall large volume limit**?
 1. *small curve limit for some curves in B_3*
 2. *Mixing between c.s. and Kähler sector*

Structure of Kähler field space

- Consider first small curve limits in B_3 .
- Naively might expect a similar pattern as in Type IIA \rightarrow shrinking genus-0 curves also fall in three classes??

**IIA on
CY3:**

[Witten '96]

- $\mathcal{N}_{C|B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(-1)$

only curve shrinks \rightarrow can trust classical geometry

- $\mathcal{N}_{C|B_3} = \mathcal{O}(-2) \oplus \mathcal{O}(0)$

divisor shrinks to curve \rightarrow classical geometry trustable due to enhanced supersymmetry

- $\mathcal{N}_{C|B_3} = \mathcal{O}(-3) \oplus \mathcal{O}(1)$

divisor shrinks to point \rightarrow orbifold phase

Structure of Kähler field space

- Consider first small curve limits in B_3 .
- Naively might expect a similar pattern as in Type IIA \rightarrow shrinking genus-0 curves also fall in three classes??

IIA on CY3:

[Witten '96]

$$- \mathcal{N}_{C|B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(-1)$$

only curve shrinks \rightarrow can trust classical geometry

$$- \mathcal{N}_{C|B_3} = \mathcal{O}(-2) \oplus \mathcal{O}(0)$$

divisor shrinks to curve \rightarrow classical geometry trustable due to enhanced supersymmetry

$$- \mathcal{N}_{C|B_3} = \mathcal{O}(-3) \oplus \mathcal{O}(1)$$

divisor shrinks to point \rightarrow orbifold phase

- For F-theory on $T^2 \rightarrow B_3$ can at best be true for curves **not intersecting the anti-canonical divisor \bar{K}_{B_3}** (curves that do not 'see' the breaking of supersymmetry " **$\mathcal{N} = 2$ curves**")
- Interesting case: what happens if we shrink a curve C such that $\bar{K} \cdot C > 0$?
 \rightarrow can we **trust the classical geometric picture** and the Kähler potential derived from it?

Genuine N=1 effects — Case I

Focus on curves with $\bar{K} \cdot C > 0$:

- Possibilities genus 0 curve with $\bar{K} \cdot C = 1$

$$\mathcal{N}_{C|B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(0)$$

divisor shrinks to curve

$$\mathcal{N}_{C|B_3} = \mathcal{O}(-2) \oplus \mathcal{O}(1)$$

divisor shrinks to point

- Take first case: Can the **geometric description still be trusted?**
→ look at corrections to effective action

Genuine N=1 effects — Case I

Focus on curves with $\bar{K} \cdot C > 0$:

- Possibilities genus 0 curve with $\bar{K} \cdot C = 1$

$$\mathcal{N}_{C|B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(0) \quad \text{divisor shrinks to curve}$$

$$\mathcal{N}_{C|B_3} = \mathcal{O}(-2) \oplus \mathcal{O}(1) \quad \text{divisor shrinks to point}$$

- Take first case: Can the **geometric description still be trusted?**

→ look at corrections to effective action

- For a curve with normal bundle $\mathcal{N}_{C|B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(0)$ there needs to exist a divisor $D \subset B_3$ such that

$$\mathcal{V}_D = t_C (t_{\tilde{C}} + \dots) \quad t_C := \mathcal{V}_C$$

Genuine N=1 effects – Case I

Focus on curves with $\bar{K} \cdot C > 0$:

- Possibilities genus 0 curve with $\bar{K} \cdot C = 1$

$$\mathcal{N}_{C|B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(0)$$

divisor shrinks to curve

$$\mathcal{N}_{C|B_3} = \mathcal{O}(-2) \oplus \mathcal{O}(1)$$

divisor shrinks to point

- Take first case: Can the **geometric description still be trusted?**
→ look at corrections to effective action

- For a curve with normal bundle $\mathcal{N}_{C|B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(0)$ there needs to exist a divisor $D \subset B_3$ such that

$$\mathcal{V}_D = t_C (t_{\tilde{C}} + \dots) \quad t_C := \mathcal{V}_C$$

- \mathcal{V}_D receives corrections at $\mathcal{O}(\alpha^2)$: [\[Grimm, Keitel, Mayer, Pugh, Savelli, Weissenbacher '13-'19\]](#)

$$\mathcal{V}_D^{\text{corr.}} = \mathcal{V}_D \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{L}}{\mathcal{V}_{B_3}} \right) \right] + \alpha^2 \left(\tilde{\mathcal{L}}_i \log \mathcal{V}_{B_3}^{(0)} + \kappa_7 \mathcal{L}_D \right). \quad \mathcal{L}_D = \int_{X_4} c_3(X_4) \wedge \pi^*(D)$$

Genuine N=1 effects – Case I

Focus on curves with $\bar{K} \cdot C > 0$:

- Possibilities genus 0 curve with $\bar{K} \cdot C = 1$

$$\mathcal{N}_{C|B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(0) \quad \text{divisor shrinks to curve}$$

$$\mathcal{N}_{C|B_3} = \mathcal{O}(-2) \oplus \mathcal{O}(1) \quad \text{divisor shrinks to point}$$

- Take first case: Can the **geometric description still be trusted?**
→ look at corrections to effective action

- For a curve with normal bundle $\mathcal{N}_{C|B_3} = \mathcal{O}(-1) \oplus \mathcal{O}(0)$ there needs to exist a divisor $D \subset B_3$ such that

$$\mathcal{V}_D = t_C (t_{\tilde{C}} + \dots) \quad t_C := \mathcal{V}_C$$

- \mathcal{V}_D receives corrections at $\mathcal{O}(\alpha^2)$: [\[Grimm, Keitel, Mayer, Pugh, Savelli, Weissenbacher '13-'19\]](#)

$$\mathcal{V}_D^{\text{corr.}} = \mathcal{V}_D \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{L}}{\mathcal{V}_{B_3}} \right) \right] + \alpha^2 \left(\tilde{\mathcal{L}}_i \log \mathcal{V}_{B_3}^{(0)} + \kappa_7 \mathcal{L}_D \right). \quad \mathcal{L}_D = \int_{X_4} c_3(X_4) \wedge \pi^*(D)$$

$\mathcal{V}_D \rightarrow 0$ for $t_C \rightarrow 0$ (green arrow pointing to \mathcal{V}_D)
 Suppressed at sufficiently large \mathcal{V}_{B_3} (green arrow pointing to $\frac{\mathcal{L}}{\mathcal{V}_{B_3}}$)
 Relevant correction (red arrow pointing to $\kappa_7 \mathcal{L}_D$)

Genuine N=1 effects – Case I

- \mathcal{V}_D receives corrections at $\mathcal{O}(\alpha^2)$: [Grimm, Keitel, Mayer, Pugh, Savelli, Weissenbacher '13-'19]

$$\mathcal{V}_D^{\text{corr.}} = \mathcal{V}_D \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{L}}{\mathcal{V}_{B_3}} \right) \right] + \alpha^2 \left(\tilde{\mathcal{L}}_i \log \mathcal{V}_{B_3}^{(0)} + \kappa_7 \mathcal{L}_D \right). \quad \mathcal{L}_D = \int_{X_4} c_3(X_4) \wedge \pi^*(D)$$

$\mathcal{V}_D \rightarrow 0$
 for $t_C \rightarrow 0$

Suppressed at sufficiently large \mathcal{V}_{B_3}

Relevant correction

- Does \mathcal{L}_D vanish for curve with $\bar{K} \cdot C = 1$?

Genuine N=1 effects – Case I

- \mathcal{V}_D receives corrections at $\mathcal{O}(\alpha^2)$: [Grimm, Keitel, Mayer, Pugh, Savelli, Weissenbacher '13-'19]

$$\mathcal{V}_D^{\text{corr.}} = \mathcal{V}_D \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{L}}{\mathcal{V}_{B_3}} \right) \right] + \alpha^2 \left(\tilde{\mathcal{L}}_i \log \mathcal{V}_{B_3}^{(0)} + \kappa_7 \mathcal{L}_D \right). \quad \mathcal{L}_D = \int_{X_4} c_3(X_4) \wedge \pi^*(D)$$

$\mathcal{V}_D \rightarrow 0$
 for $t_C \rightarrow 0$

Suppressed at sufficiently large \mathcal{V}_{B_3}

Relevant correction

- Does \mathcal{L}_D vanish for curve with $\bar{K} \cdot C = 1$?
- Consider smooth Weierstrass model over $B_3 : \mathbb{P}^1 \rightarrow B_2$ and curve $C \subset B_2$, then

$$\mathcal{L}_D = c_3(X_4) \cdot_{X_4} \pi^*(D) = c_1(B_3)^2 \cdot_{B_3} D = \dots = 4 c_1(B_3) \cdot_{B_3} C$$

Genuine N=1 effects – Case I

- \mathcal{V}_D receives corrections at $\mathcal{O}(\alpha^2)$: [Grimm, Keitel, Mayer, Pugh, Savelli, Weissenbacher '13-'19]

$$\mathcal{V}_D^{\text{corr.}} = \mathcal{V}_D \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{L}}{\mathcal{V}_{B_3}} \right) \right] + \alpha^2 \left(\tilde{\mathcal{L}}_i \log \mathcal{V}_{B_3}^{(0)} + \kappa_7 \mathcal{L}_D \right). \quad \mathcal{L}_D = \int_{X_4} c_3(X_4) \wedge \pi^*(D)$$

$\mathcal{V}_D \rightarrow 0$
 for $t_C \rightarrow 0$

Suppressed at sufficiently large \mathcal{V}_{B_3}

Relevant correction

- Does \mathcal{L}_D vanish for curve with $\bar{K} \cdot C = 1$?
- Consider smooth Weierstrass model over $B_3 : \mathbb{P}^1 \rightarrow B_2$ and curve $C \subset B_2$, then

$$\mathcal{L}_D = c_3(X_4) \cdot_{X_4} \pi^*(D) = c_1(B_3)^2 \cdot_{B_3} D = \dots = 4 c_1(B_3) \cdot_{B_3} C$$

- For curve with $\bar{K} \cdot_{B_3} C \neq 0$ dominates \rightarrow cannot trust the classical field space geometry for $\mathcal{V}_C \rightarrow 0$!

Genuine N=1 effects – Case I

- \mathcal{V}_D receives corrections at $\mathcal{O}(\alpha^2)$: [Grimm, Keitel, Mayer, Pugh, Savelli, Weissenbacher '13-'19]

$$\mathcal{V}_D^{\text{corr.}} = \mathcal{V}_D \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{L}}{\mathcal{V}_{B_3}} \right) \right] + \alpha^2 \left(\tilde{\mathcal{L}}_i \log \mathcal{V}_{B_3}^{(0)} + \kappa_7 \mathcal{L}_D \right). \quad \mathcal{L}_D = \int_{X_4} c_3(X_4) \wedge \pi^*(D)$$

$\mathcal{V}_D \rightarrow 0$
 for $t_C \rightarrow 0$

Suppressed at sufficiently large \mathcal{V}_{B_3}

Relevant correction

- Does \mathcal{L}_D vanish for curve with $\bar{K} \cdot C = 1$?
- Consider smooth Weierstrass model over $B_3 : \mathbb{P}^1 \rightarrow B_2$ and curve $C \subset B_2$, then

$$\mathcal{L}_D = c_3(X_4) \cdot_{X_4} \pi^*(D) = c_1(B_3)^2 \cdot_{B_3} D = \dots = 4 c_1(B_3) \cdot_{B_3} C$$

- For curve with $\bar{K} \cdot_{B_3} C \neq 0$ dominates \rightarrow cannot trust the classical field space geometry for $\mathcal{V}_C \rightarrow 0$!
- *Consistency check:* for curve with $\mathcal{N} = \mathcal{O}(-2) \oplus \mathcal{O}(0)$ correction vanish and we can still trust the geometric picture.

Genuine N=1 effects — Case II

[MW '22]

Consider now $\bar{K}_{B_3} \cdot C = 2$ and $\mathcal{N}_{C|B_3} = \mathcal{O}(0) \oplus \mathcal{O}(0)$.

→ C is fiber of rationally-fibered $B_3 : C \rightarrow B_2 \leftrightarrow$ theory dual to heterotic string on CY3.

[Morrison, Vafa '97; Lee, Lerche, Weigand '19]

Genuine N=1 effects – Case II

[MW '22]

Consider now $\bar{K}_{B_3} \cdot C = 2$ and $\mathcal{N}_{C|B_3} = \mathcal{O}(0) \oplus \mathcal{O}(0)$.

→ C is fiber of rationally-fibered $B_3 : C \rightarrow B_2 \leftrightarrow$ theory dual to heterotic string on CY3.

[Morrison, Vafa '97; Lee, Lerche, Weigand '19]

What happens in the limit of small C at constant volume \mathcal{V}_{B_3} ?

- All divisor volumes receive corrections as

$$\mathcal{V}_D^{\text{corr.}} = \mathcal{V}_D \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{L}}{\mathcal{V}_{B_3}} \right) \right] + \alpha^2 \left(\tilde{\mathcal{L}}_i \log \mathcal{V}_{B_3}^{(0)} + \kappa_7 \mathcal{L}_D \right).$$

Diverges in the limit [Klaewer, Lee, Weigand, MW '20]

- Via **duality** can argue that (at least in simple cases) a **strong coupling singularity** is reached for gauge theory on $D = B_2$.

$$\mathcal{V}_{B_2}^{\text{corr.}} = \mathcal{V}_{B_2}^{(0)} (1 + \alpha^2(\dots)) + \alpha^2 \tilde{Z}_0 \log \mathcal{V}_{B_3} + \alpha^2 \text{const.}$$

→ vanishes along the singularity

Genuine N=1 effects – Case II

[MW '22]

Consider now $\bar{K}_{B_3} \cdot C = 2$ and $\mathcal{N}_{C|B_3} = \mathcal{O}(0) \oplus \mathcal{O}(0)$.

→ C is fiber of rationally-fibered $B_3 : C \rightarrow B_2 \leftrightarrow$ theory dual to heterotic string on CY3.

[Morrison, Vafa '97; Lee, Lerche, Weigand '19]

What happens in the limit of small C at constant volume \mathcal{V}_{B_3} ?

- All divisor volumes receive corrections as

$$\mathcal{V}_D^{\text{corr.}} = \mathcal{V}_D \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{L}}{\mathcal{V}_{B_3}} \right) \right] + \alpha^2 \left(\tilde{\mathcal{L}}_i \log \mathcal{V}_{B_3}^{(0)} + \kappa_7 \mathcal{L}_D \right).$$

Diverges in the limit [Klaewer, Lee, Weigand, MW '20]

- Via **duality** can argue that (at least in simple cases) a **strong coupling singularity** is reached for gauge theory on $D = B_2$.

$$\mathcal{V}_{B_2}^{\text{corr.}} = \mathcal{V}_{B_2}^{(0)} (1 + \alpha^2(\dots)) + \alpha^2 \tilde{Z}_0 \log \mathcal{V}_{B_3} + \alpha^2 \text{const.}$$

→ vanishes along the singularity

- All other (vertical) divisors have minimal quantum volume:

$$\frac{1}{\alpha^2} \text{Re } T_a \Big|_{\text{sing.}} = - \frac{\text{Re } T_a^{(0)}}{\mathcal{V}_{B_2}^{(0)}} \left(\frac{b}{8\pi} \log \xi + \text{const.} \right) + \text{Re } T_a^*$$

ξ : Complex structure parameter of X_4

Genuine N=1 effects – Case II

[MW '22]

Shrinking of curve with $\mathcal{N} = \mathcal{O}(0) \oplus \mathcal{O}(0)$ is even worse than for $\vec{K} \cdot_{B_3} C = 1$.

- Get a strong coupling singularity at finite distance.
- Mixing between complex structure sector and Kähler sector $\rightarrow \mathcal{M} \neq \mathcal{M}_{\text{c.s.}} \times \mathcal{M}_{\text{Kähler}}$

$$\frac{1}{\alpha^2} \text{Re } T_a \Big|_{\text{sing.}} = - \frac{\text{Re } T_a^{(0)}}{\mathcal{V}_{B_2}^{(0)}} \left(\frac{b}{8\pi} \log \xi + \text{const.} \right) + \text{Re } T_a^*$$

- $\mathcal{N} = 1$ theory behaves significantly different from $\mathcal{N} = 2$ counterpart
 \rightarrow Cannot view it as “ $\mathcal{N} = 2$ + small corrections”

Genuine N=1 effects — Case II

[MW '22]

Shrinking of curve with $\mathcal{N} = \mathcal{O}(0) \oplus \mathcal{O}(0)$ is even worse than for $\vec{K} \cdot_{B_3} C = 1$.

- Get a strong coupling singularity at finite distance.
- Mixing between complex structure sector and Kähler sector $\rightarrow \mathcal{M} \neq \mathcal{M}_{\text{c.s.}} \times \mathcal{M}_{\text{Kähler}}$

$$\frac{1}{\alpha^2} \text{Re } T_a \Big|_{\text{sing.}} = - \frac{\text{Re } T_a^{(0)}}{\mathcal{V}_{B_2}^{(0)}} \left(\frac{b}{8\pi} \log \xi + \text{const.} \right) + \text{Re } T_a^*$$

- $\mathcal{N} = 1$ theory behaves significantly different from $\mathcal{N} = 2$ counterpart
 \rightarrow Cannot view it as “ $\mathcal{N} = 2$ + small corrections”

In general: Field space geometry for small genuine $\mathcal{N} = 1$ curves not describable by classical geometry
 \rightarrow corrections are big and field space does not necessarily factorize anymore.

Genuine N=1 effects – Case II

[MW '22]

Shrinking of curve with $\mathcal{N} = \mathcal{O}(0) \oplus \mathcal{O}(0)$ is even worse than for $\vec{K} \cdot_{B_3} C = 1$.

- Get a strong coupling singularity at finite distance.
- Mixing between complex structure sector and Kähler sector $\rightarrow \mathcal{M} \neq \mathcal{M}_{\text{c.s.}} \times \mathcal{M}_{\text{Kähler}}$

$$\frac{1}{\alpha^2} \text{Re } T_a \Big|_{\text{sing.}} = - \frac{\text{Re } T_a^{(0)}}{\mathcal{V}_{B_2}^{(0)}} \left(\frac{b}{8\pi} \log \xi + \text{const.} \right) + \text{Re } T_a^*$$

- $\mathcal{N} = 1$ theory behaves significantly different from $\mathcal{N} = 2$ counterpart
 \rightarrow Cannot view it as “ $\mathcal{N} = 2 +$ small corrections”

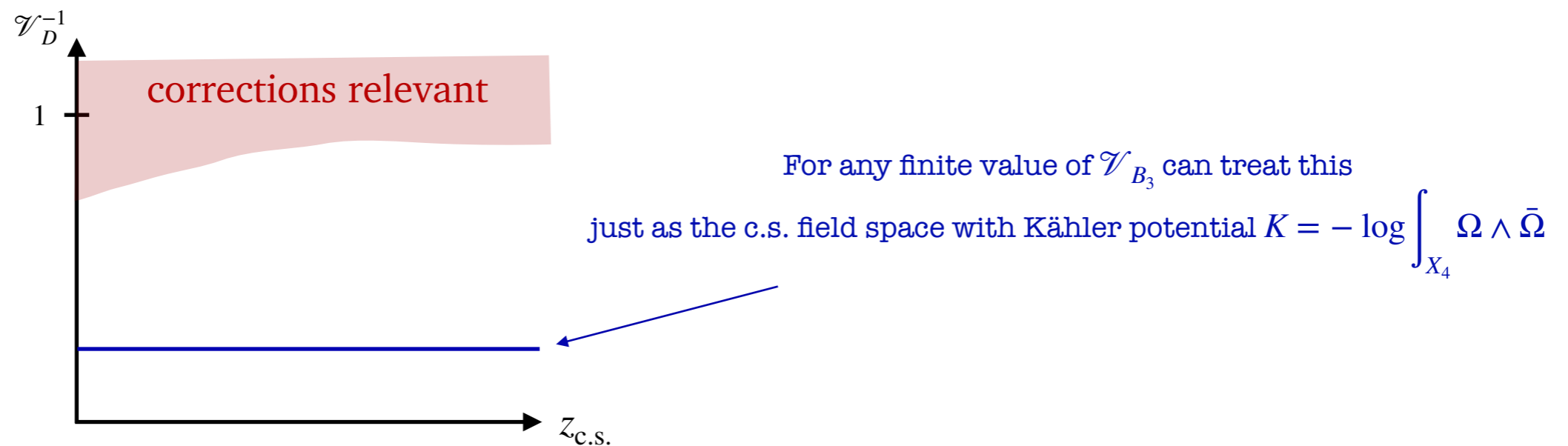
In general: Field space geometry for small genuine $\mathcal{N} = 1$ curves not describable by classical geometry
 \rightarrow corrections are big and field space does not necessarily factorize anymore.

Question: Away from small curve limits can I still trust the classical field space structure?

- \rightarrow does $\mathcal{M} \simeq \mathcal{M}_{\text{c.s.}} \times \mathcal{M}_{\text{Kähler}}$ only break down for very small volumes?
- \rightarrow or corrections **important for large complex structure?**

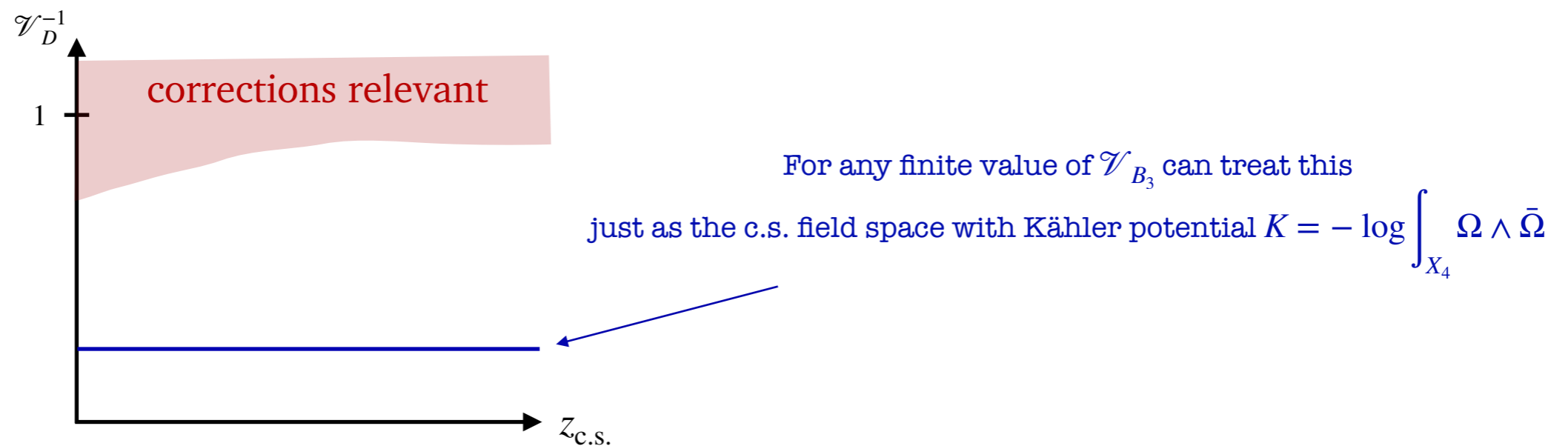
Mixing in the Complex Structure Sector

Might expect that the **mixing** between Kähler and complex structure sectors **is sufficiently suppressed** as long as divisor volumes $\mathcal{V}_D \gg 1$:



Mixing in the Complex Structure Sector

Might expect that the **mixing** between Kähler and complex structure sectors is **sufficiently suppressed** as long as divisor volumes $\mathcal{V}_D \gg 1$:



Motivated by viewing F-theory via IIB orientifolds:

- For Type IIB CY compactifications the complex structure is classically exact.
- Can evaluate periods of X_4 reliably to infer structure of $\mathcal{M}_{c.s.}$.
- Period integrals simplify close to boundaries of $\mathcal{M}_{c.s.} \Rightarrow$ good setting for e.g. searches for flux vacua.

Is this picture correct?

A simple Calabi–Yau fourfold

Consider a **very simple** elliptically-fibered Calabi-Yau fourfold

$$X_4 = (T^2 \rightarrow B_2) \times T^2 \quad \Longrightarrow \quad B_3 = B_2 \times T^2$$

↑
Elliptically-fibered Calabi-Yau
threefold

F-theory on X_4 leads to a four-dimensional theory with $\mathcal{N} = 2$ supersymmetry.

A simple Calabi–Yau fourfold

Consider a **very simple** elliptically-fibered Calabi-Yau fourfold

$$X_4 = (T^2 \rightarrow B_2) \times T^2 \quad \Longrightarrow \quad B_3 = B_2 \times T^2$$

↑
Elliptically-fibered Calabi-Yau
threefold

F-theory on X_4 leads to a four-dimensional theory with $\mathcal{N} = 2$ supersymmetry.

Question: Can we already see in this theory what to expect got the mixing between complex structure sector and \mathcal{V}_{B_3} ?

Therefore consider vector- and hypermultiplet sector of this F-theory compactification:

- *complex structure moduli of $(T^2 \rightarrow B_2)$ and overall volume of B_2 + axionic partners* *hypermultiplets*
- *(complexified) Kähler moduli of B_2 + moduli of T^2* *vector multiplets*

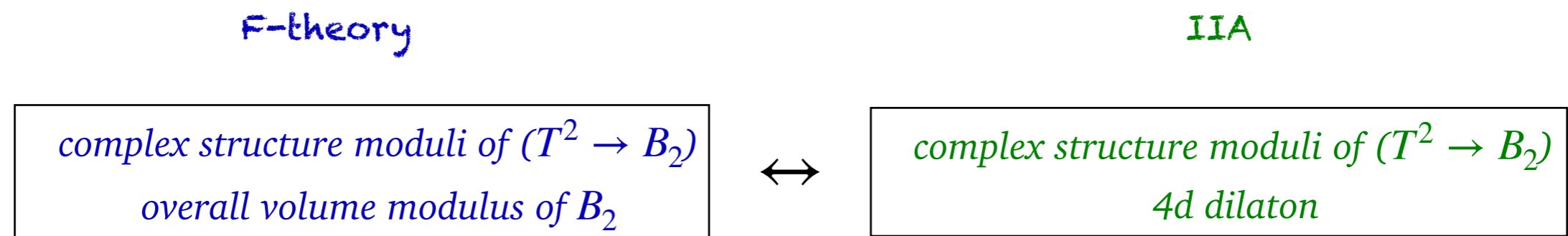
Hypermultiplet Corrections to CY3 x T2

Focus on hypermultiplet sector of F-theory on $(T^2 \rightarrow B_2) \times T^2$

→ contains precisely the **volume modulus** and (part of) the **complex structure sector** of X_4 .

F-theory on $(T^2 \rightarrow B_2) \times T^2$ dual to Type IIA on $T^2 \rightarrow B_2$.

→ hypermultiplet moduli spaces can be identified via



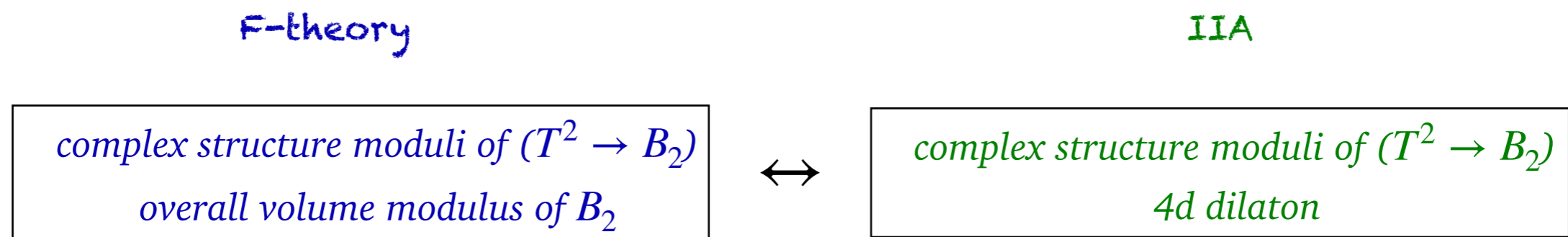
Hypermultiplet Corrections to CY3 x T2

Focus on hypermultiplet sector of F-theory on $(T^2 \rightarrow B_2) \times T^2$

→ contains precisely the **volume modulus** and (part of) the **complex structure sector** of X_4 .

F-theory on $(T^2 \rightarrow B_2) \times T^2$ dual to Type IIA on $T^2 \rightarrow B_2$.

→ hypermultiplet moduli spaces can be identified via



- **Type IIA hypermultiplet sector** receives corrections due to D2-brane instantons
- **D2-brane instanton contributions** to moduli space metric have been computed in

[Alexandrov, Banerjee '14]; see [Robes-Llana, M. Roček, F. Saueressig, U. Theis, S. Vandoren, '06] for mirror dual Type IIB.

$$S_{4d}^{\text{corr.}} = S_{4d}^{(0)} + \sum \text{D2-instantons}$$

- effect on (mirror dual of) large complex structure limit moduli space has been investigated in
[(Baume), Marchesano, MW '19]; see also [Alvarez-Garcia, Klaewer, Weigand '21]

→ **effectively obstruct large complex structure limits!**

Consequence for N=1 Theories

- Can break supersymmetry to N=1 e.g. through non-trivial fibration $X_4 : X_3 \rightarrow \mathbb{P}^1$ $B_3 = B_2 \rightarrow \mathbb{P}^1$
→ classically $\mathcal{M}_{c.s.}(X_3) \subset \mathcal{M}_{c.s.}(X_4)$
- Expectation: corrections present in N=2 also correct N=1 theory
→ asymptotic regimes in $\mathcal{M}_{c.s.}(X_4)$ also receive corrections at finite \mathcal{V}_{B_2} due to corrections to action of D3-brane instantons on $D = B_2 \subset B_3$

$$S_{4d}^{\text{corr.}} = S_{4d}^{(0)} + \sum \text{D2-instantons} \quad \longrightarrow \quad \mathcal{S}_{D3|_{D=B_2}} = \mathcal{V}_{D=B_2} - f(z_{c.s.}) \int_{D=B_2} c_1(B_3)^2$$

Consequence for N=1 Theories

- Can break supersymmetry to N=1 e.g. through non-trivial fibration $X_4 : X_3 \rightarrow \mathbb{P}^1$ $B_3 = B_2 \rightarrow \mathbb{P}^1$
→ classically $\mathcal{M}_{c.s.}(X_3) \subset \mathcal{M}_{c.s.}(X_4)$

- Expectation: corrections present in N=2 also correct N=1 theory

→ asymptotic regimes in $\mathcal{M}_{c.s.}(X_4)$ also receive corrections at finite \mathcal{V}_{B_2} due to corrections to action of D3-brane instantons on $D = B_2 \subset B_3$

$$S_{4d}^{\text{corr.}} = S_{4d}^{(0)} + \sum \text{D2-instantons} \quad \longrightarrow \quad \mathcal{S}_{D3|_{D=B_2}} = \mathcal{V}_{D=B_2} - f(z_{c.s.}) \int_{D=B_2} c_1(B_3)^2$$

- $f(z_{c.s.}) \rightarrow \infty$ close to borders of $\mathcal{M}_{c.s.}(X_4)$.
- Consequence: can never treat $\mathcal{M}_{c.s.}(X_4)$ as decoupled from Kähler sector → apart from at $\mathcal{V}_{B_2} = \infty$.

Consequence for N=1 Theories

- Can break supersymmetry to N=1 e.g. through non-trivial fibration $X_4 : X_3 \rightarrow \mathbb{P}^1$ $B_3 = B_2 \rightarrow \mathbb{P}^1$
 \rightarrow classically $\mathcal{M}_{c.s.}(X_3) \subset \mathcal{M}_{c.s.}(X_4)$

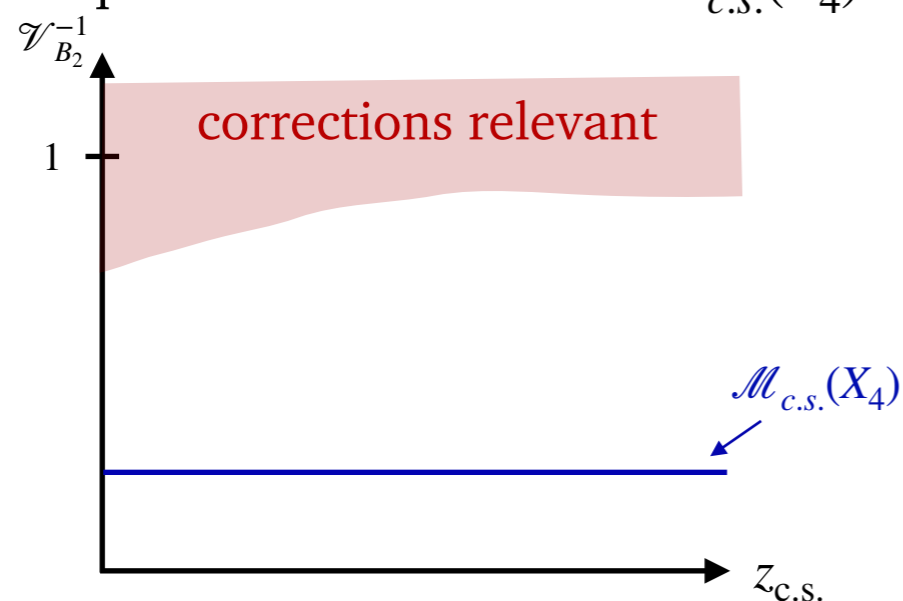
- Expectation: corrections present in N=2 also correct N=1 theory

\rightarrow asymptotic regimes in $\mathcal{M}_{c.s.}(X_4)$ also receive corrections at finite \mathcal{V}_{B_2} due to corrections to action of D3-brane instantons on $D = B_2 \subset B_3$

$$S_{4d}^{\text{corr.}} = S_{4d}^{(0)} + \sum \text{D2-instantons} \quad \longrightarrow \quad \mathcal{S}_{D3|_{D=B_2}} = \mathcal{V}_{D=B_2} - f(z_{c.s.}) \int_{D=B_2} c_1(B_3)^2$$

- $f(z_{c.s.}) \rightarrow \infty$ close to borders of $\mathcal{M}_{c.s.}(X_4)$.

- Consequence: can never treat $\mathcal{M}_{c.s.}(X_4)$ as decoupled from Kähler sector \rightarrow apart from at $\mathcal{V}_{B_2} = \infty$.



Consequence for N=1 Theories

- Can break supersymmetry to N=1 e.g. through non-trivial fibration $X_4 : X_3 \rightarrow \mathbb{P}^1$ $B_3 = B_2 \rightarrow \mathbb{P}^1$
 \rightarrow classically $\mathcal{M}_{c.s.}(X_3) \subset \mathcal{M}_{c.s.}(X_4)$

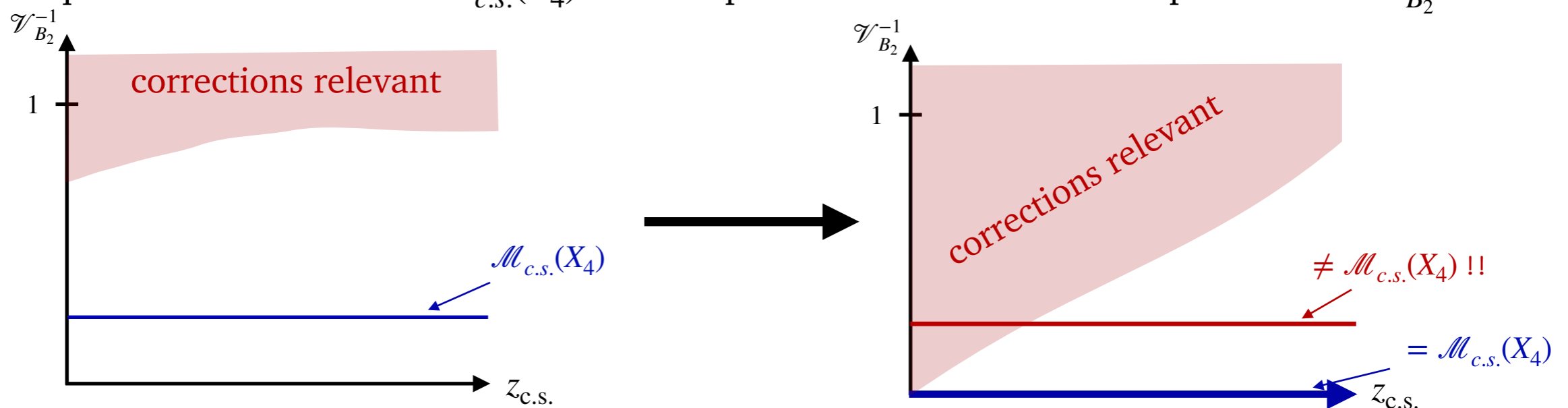
- Expectation: corrections present in N=2 also correct N=1 theory

\rightarrow asymptotic regimes in $\mathcal{M}_{c.s.}(X_4)$ also receive corrections at finite \mathcal{V}_{B_2} due to corrections to action of D3-brane instantons on $D = B_2 \subset B_3$

$$S_{4d}^{\text{corr.}} = S_{4d}^{(0)} + \sum \text{D2-instantons} \quad \longrightarrow \quad \mathcal{S}_{D3|_{D=B_2}} = \mathcal{V}_{D=B_2} - f(z_{c.s.}) \int_{D=B_2} c_1(B_3)^2$$

- $f(z_{c.s.}) \rightarrow \infty$ close to borders of $\mathcal{M}_{c.s.}(X_4)$.

- Consequence: can never treat $\mathcal{M}_{c.s.}(X_4)$ as decoupled from Kähler sector \rightarrow apart from at $\mathcal{V}_{B_2} = \infty$.



Conclusions

- **Goal:** Explore the interior of the $N=1$ field space \rightarrow focus on genuine $N=1$ effects.

Conclusions

- **Goal:** Explore the interior of the $N=1$ field space \rightarrow focus on genuine $N=1$ effects.
- Can use $N=2$ intuition only if $N=2 \rightarrow N=1$ breaking effects are infinitely diluted!
 \rightarrow otherwise $N=1$ breaking effects of $O(1)$!

Conclusions

- **Goal:** Explore the interior of the $N=1$ field space \rightarrow focus on genuine $N=1$ effects.
- Can use $N=2$ intuition only if $N=2 \rightarrow N=1$ breaking effects are infinitely diluted!
 \rightarrow otherwise $N=1$ breaking effects of $O(1)$!
- Explicitly considered F-theory compactifications on four-folds

Conclusions

- **Goal:** Explore the interior of the $N=1$ field space \rightarrow focus on genuine $N=1$ effects.
- Can use $N=2$ intuition only if $N=2 \rightarrow N=1$ breaking effects are infinitely diluted!
 \rightarrow otherwise $N=1$ breaking effects of $O(1)$!
- Explicitly considered F-theory compactifications on four-folds
 - genuine $N=1$ effects become large if curves intersected by anti-canonical divisor become small
 $\rightarrow N=2$ breaking not diluted.
 - Mixing between complex structure and Kähler sector becomes important away from $\mathcal{V}_D = \infty$.
 - asymptotic regions in c.s. sector only describable through classical geometry in double-scaling limit
(where $N=2$ supersymmetry is restored...)
 \rightarrow similar effects to $N=2$ hypermultiplet sector at finite string coupling ...
- ... what happens in general $N=1$ cases?

Thank you!!