



中国科学院近代物理研究所  
Institute of Modern Physics, Chinese Academy of Sciences

# Towards a Hamiltonian First Principle Approach for Baryon

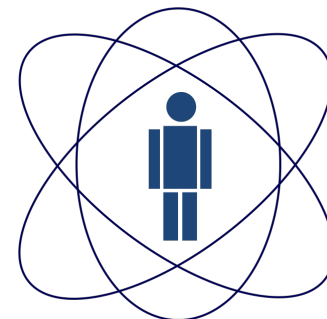
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With

Xingbo Zhao, Chandan Mondal,  
Sreeraj Nair, Yang Li, James Vary

Light-Cone 2023: Hadrons and Symmetries

Sep 18 – 22, 2023 Rio de Janeiro, Brazil



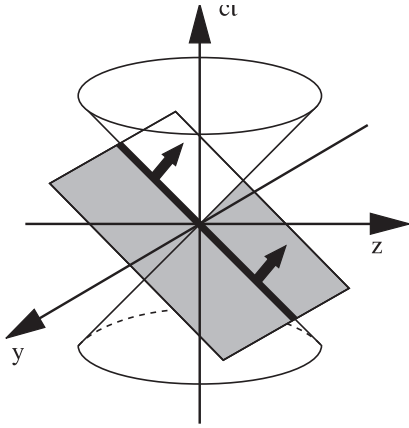
**CBPF**

# Outline

- **Basis Light-Front Quantization (BLFQ)**
- Our Current Study
- Conclusion
- Future

# Light-Front Field Theory

## ➤ Light-Front Coordinates



Light-front variables:

$$x^+ = x^0 + x^3 \text{ (light-front time),}$$

$$x^- = x^0 - x^3, \quad x^\perp = x^{1,2}$$

$$P^- = P^0 - P^3 \text{ (light-front Hamiltonian),}$$

$$P^+ = P^0 + P^3, \quad P^\perp = P^{1,2}$$

Dispersion relation: 
$$P^- = \frac{m^2 + P_\perp^2}{P^+}$$
 Light-cone gauge:  $A^+ = 0$

## ➤ Eigenvalue equation:

$$P^- |\beta\rangle = P_\beta^- |\beta\rangle$$

- $P^-$ : Light-Front Hamiltonian
- $|\beta\rangle$ : Eigenstates
- $P_\beta^-$ : Eigenvalues for eigenstates

Light-front wave functions

Baryon Structure

Form Factors (FFs), Parton distribution functions (PDFs) ...

- Frame-independent light-front wave functions
- Observables are defined on the light-front
- Light-front wave functions carry parton interpretation

# Basis Light-Front Quantization

- Hamiltonian eigenvalue equation:

[Vary, et.al, Phys.Rev.C '10]

$$P^- |\beta\rangle = P_\beta^- |\beta\rangle$$

- $P^-$ : Light-Front Hamiltonian
- $|\beta\rangle$ : Eigenstates
- $P_\beta^-$ : Eigenvalues for eigenstates

- Basis setup:

Fock sector expansion:  $|\beta_{\text{nucleon}}\rangle = |qqq\rangle + |qqqg\rangle + |qqq q\bar{q}\rangle + \dots$

Single particle basis  $|\alpha\rangle = |n_1, m_1, n_2, m_2, n_3, m_3\rangle \otimes |k_1^+, k_2^+, k_3^+\rangle \otimes |\lambda_1, \lambda_2, \lambda_3, C\rangle$   
in  $|qqq\rangle$ :

2-dimension harmonic oscillator

Discretized longitudinal momentum

Helicity and color

$$\sum_i (2n_i + |m_i| + 1) \leq N_{\text{max}}$$

$$\sum_i k_i^+ = K_{\text{max}}$$

$$\Lambda = \sum_i (\lambda_i + m_i)$$

- Advantages:

1. Rotational symmetry in transverse plane
2. Exact factorization between center-of-mass motion and intrinsic motion
3. Harmonic oscillator basis supplies correct infrared behavior for hadrons

# Light-front Hamiltonian

➤ QCD light-front Hamiltonian can be derived from QCD Lagrangian:

$$\mathcal{L}_{QCD} = \bar{\psi}(i\not{\partial} - m)\psi - \frac{1}{4}G_{\mu\nu}^{\alpha}G_{\alpha}^{\mu\nu} \quad \longrightarrow \quad P_{QCD}^{-} = H_K + H_I$$

$$H_K = \frac{1}{2} \int d^3x \bar{\psi}\gamma^+ \frac{(i\partial^{\perp})^2 + m^2}{i\partial^+} \psi - \frac{1}{2} \int d^3x A_a^i (i\partial^{\perp})^2 A_a^i$$

$$H_I = +g \int d^3x \bar{\psi}\gamma_{\mu} A^{\mu} \psi$$

$$+ \frac{1}{2}g^2 \int d^3x \bar{\psi}\gamma_{\mu} A^{\mu} \frac{\gamma^+}{i\partial^+} \gamma_{\nu} A^{\nu} \psi$$

$$- ig^2 \int d^3x f^{abc} \bar{\psi}\gamma^+ T^c \psi \frac{1}{(i\partial^+)^2} (i\partial^+ A_a^{\mu} A_{\mu b})$$

$$+ \frac{1}{2}g^2 \int d^3x \bar{\psi}\gamma^+ T^a \psi \frac{1}{(i\partial^+)^2} \bar{\psi}\gamma^+ T^a \psi$$

$$+ ig \int d^3x f^{abc} i\partial^{\mu} A^{\nu a} A_{\mu}^b A_{\nu}^c$$

$$- \frac{1}{2}g^2 \int d^3x f^{abc} f^{ade} i\partial^+ A_b^{\mu} A_{\mu c} \frac{1}{(i\partial^+)^2} (i\partial^+ A_d^{\nu} A_{\nu e})$$

$$+ \frac{1}{4}g^2 \int d^3x f^{abc} f^{ade} A_b^{\mu} A_c^{\nu} A_{\mu d} A_{\nu e}.$$

- Interaction terms are simple to evaluate
- No more than three-body interaction
- Advantage to numerical approach

$\psi$ : quark field operator

$A_{\mu}^a$ : gluon field operator

# Publication

## ➤ $|qqq\rangle$ :

Proton: [Mondal et al., Phys.Rev.D,102.016008] (2020)

[Xu et al., Phys.Rev.D,104.094036] (2021)

GPD: [Liu et al., Phys.Rev.D,105.094018] (2022)

[Kaur et al., arXiv:2307.09869 [hep-ph]] (2023)

TMD: [Hu et al., Phys.Lett.B,2022.137360] (2022)

$\Lambda$ ,  $\Lambda_c$ , PDF : [Peng et al., Phys.Rev.D, 106.114040] (2022)

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## ➤ $|qqq\rangle + |qqqg\rangle$ :

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Talk: Chandan Mondal Sept. 19<sup>th</sup> 11:00-11:30

Sreeraj Nair Sept. 19<sup>th</sup> 16:00-16:30

## ➤ $|qqq\rangle + |qqqg\rangle + |qqqq\bar{q}\rangle$ :

Talk: Glue and sea inside proton: A light-front Hamiltonian approach

– Chandan Mondal Sept. 19<sup>th</sup> 11:00-11:30

# Light-Front Hamiltonian

$$P^- = H_{K.E.} + H_{trans} + H_{longi} + H_{OGE}$$

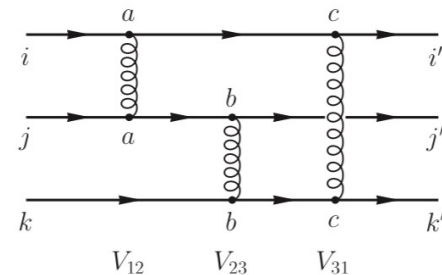
$$H_{K.E.} = \sum_i \frac{p_i^2 + m_q^2}{p_i^+}$$

$$H_{trans} \sim \kappa_T^4 r^2 \quad \text{-- Brodsky, Teramond arXiv: 1203.4025}$$

$$H_{longi} \sim - \sum_{ij} \kappa_L^4 \partial_{x_i} (x_i x_j \partial_{x_j}) \quad \text{---Y Li, X Zhao, P Maris, J Vary, PLB 758(2016)}$$

$$H_{OGE} = - \frac{C_F 4\pi\alpha_s}{Q^2} \sum_{i,j(i<j)} \bar{u}_{s'_i}(k'_i) \gamma^\mu u_{s_i}(k_i) \bar{u}_{s'_j}(k'_j) \gamma_\mu u_{s_j}(k_j) \quad \text{Color factor : } C_F = -\frac{2}{3}$$

$$|P_{baryon}\rangle = |qqq\rangle$$



**Three active-quark approach**

# Nucleon Radii and Axial Charges

- The magnetic moment of the proton and neutron

Quantity	BLFQ	Measurement <sup>a</sup>	Lattice
$\mu_p$	$2.443 \pm 0.027$	2.79	2.43(9)
$\mu_n$	$-1.405 \pm 0.026$	-1.91	-1.54(6)

- The radii of the proton and neutron

Quantity	BLFQ	Measurement	Lattice
$r_E^p$ [fm]	$0.802^{+0.042}_{-0.040}$	$0.833 \pm 0.010$	0.742(13)
$r_M^p$ [fm]	$0.834^{+0.029}_{-0.029}$	$0.851 \pm 0.026$	0.710(26)
$\langle r_E^2 \rangle^n$ [fm <sup>2</sup> ]	$-0.033 \pm 0.198$	$-0.1161 \pm 0.0022$	-0.074(16)
$r_M^n$ [fm]	$0.861^{+0.021}_{-0.019}$	$0.864^{+0.009}_{-0.008}$	0.716(29)

- The axial charge and axial radius

Quantity	BLFQ	Extracted data	Lattice
$g_A^u$	$1.16 \pm 0.04$	$0.82 \pm 0.07$	0.830(26)
$g_A^d$	$-0.248 \pm 0.027$	$-0.45 \pm 0.07$	-0.386(16)
$g_A^{u-d}$	$1.41 \pm 0.06$	$1.2723 \pm 0.0023$	1.237(74)
$\sqrt{\langle r_A^2 \rangle}$ fm	$0.680^{+0.070}_{-0.073}$	$0.667 \pm 0.12$	0.512(34)



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# Light-Front Hamiltonian

$$|P_{baryon}\rangle = \Psi_1 |qqq\rangle + \Psi_2 |qqqg\rangle$$

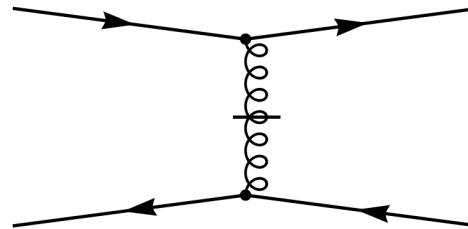
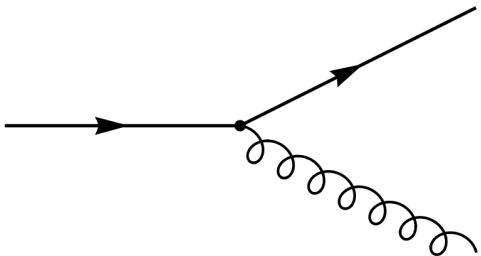
$$P^- = H_{K.E.} + H_{trans} + H_{longi} + H_{Interact}$$

$$H_{K.E.} = \sum_i \frac{p_i^2 + m_q^2}{p_i^+} \quad H_{trans} \sim \kappa_T^4 r^2 \quad H_{longi} \sim - \sum_{ij} \kappa_L^4 \partial_{x_i} (x_i x_j \partial_{x_j})$$

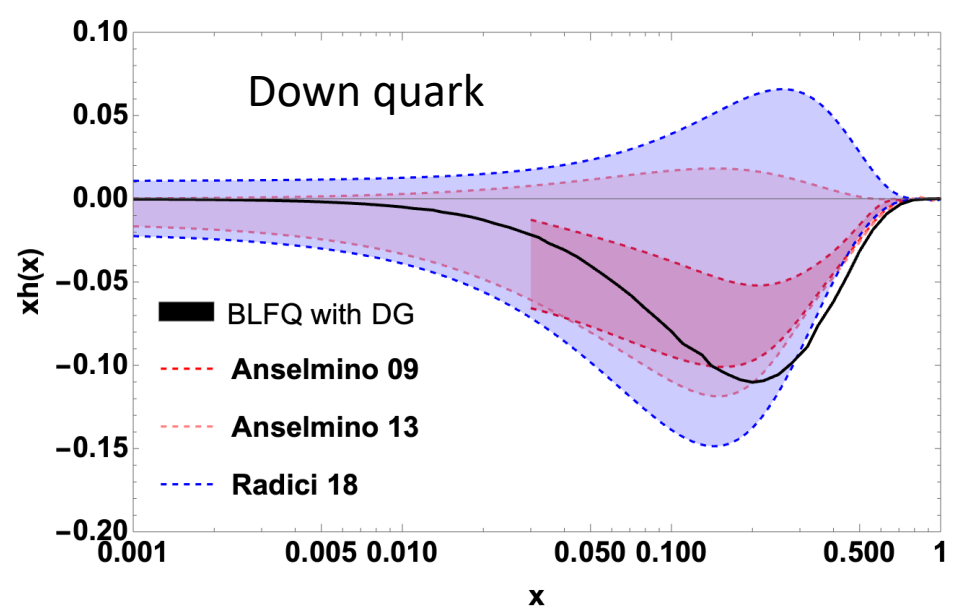
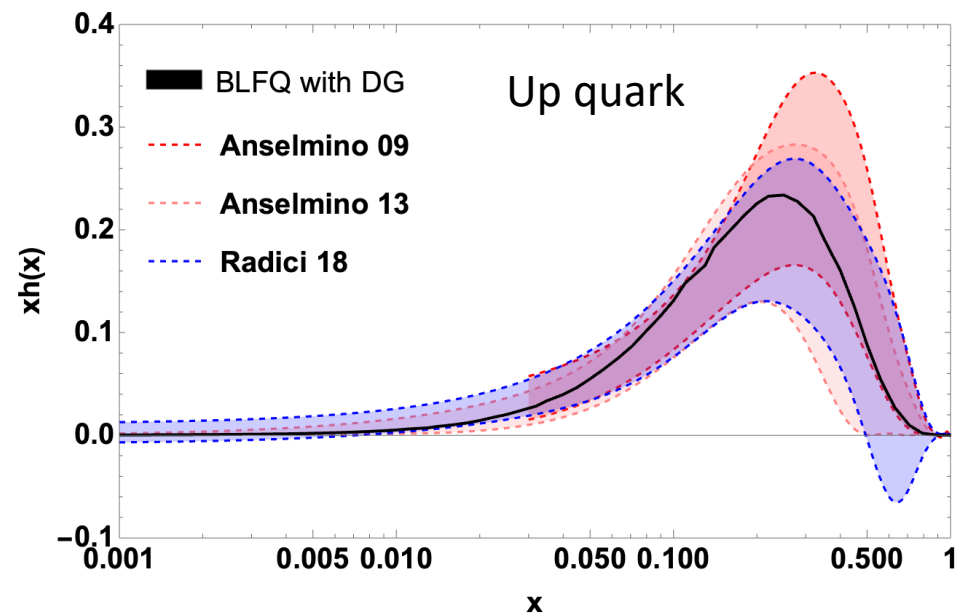
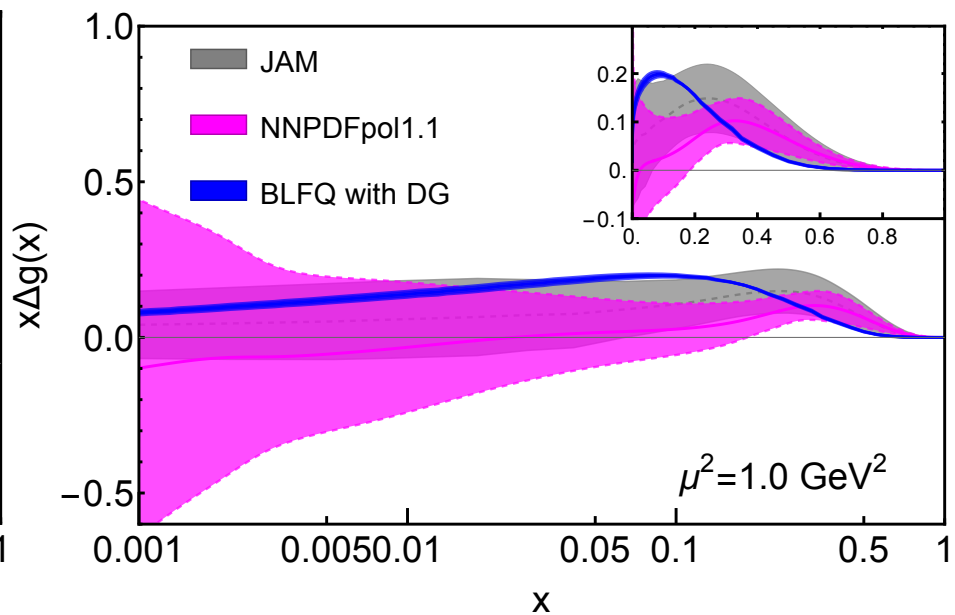
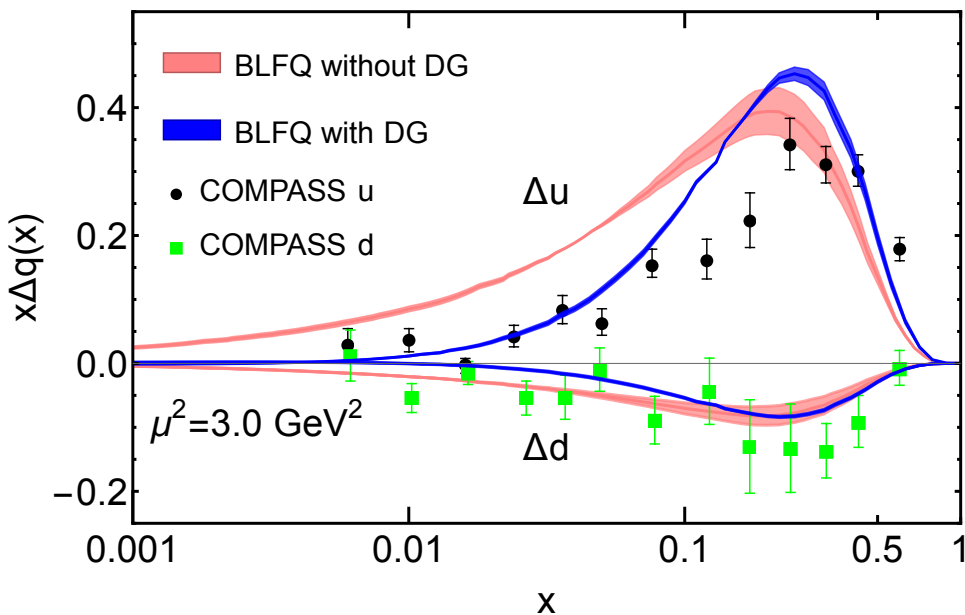
$$H_{OGE} = - \frac{C_F 4\pi\alpha_s}{Q^2} \sum_{i,j(i<j)} \bar{u}_{s'_i}(k'_i) \gamma^\mu u_{s_i}(k_i) \bar{u}_{s'_j}(k'_j) \gamma_\mu u_{s_j}(k_j)$$



$$H_{Interact} = H_{Vertex} + H_{inst} = g \bar{\psi} \gamma^\mu T^a \psi A_\mu^a + \frac{g^2 C_F}{2} j^+ \frac{1}{(i\partial^+)^2} j^+$$



# Parton distribution function



a lofty aspiration

From Now

# Publication

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## ➤ $|qqq\rangle + |qqqg\rangle + |qqqq\bar{q}\rangle + |qqqgg\rangle$ :

# Light-Front QCD Hamiltonian

$$|P_{baryon}\rangle = \Psi_1|qqq\rangle + \Psi_2|qqqg\rangle + \Psi_{31}|qqq u\bar{u}\rangle + \Psi_{32}|qqq d\bar{d}\rangle + \Psi_{33}|qqq s\bar{s}\rangle$$

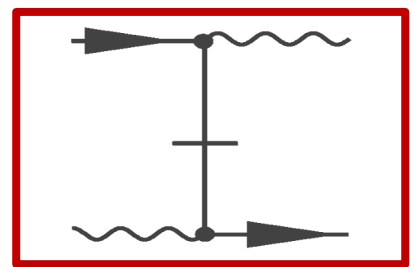
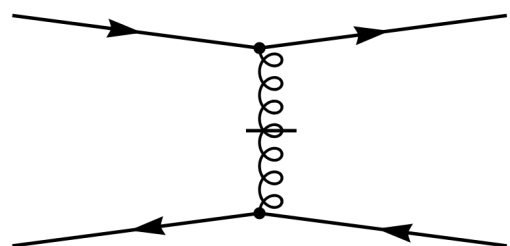
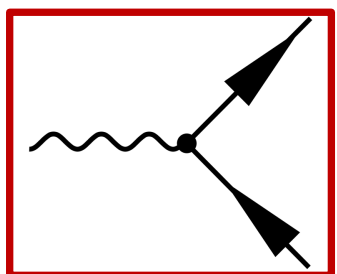
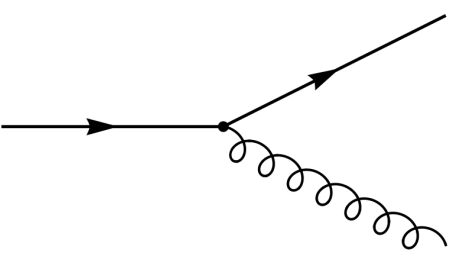
$$P^- = H_{K.E.} + \cancel{H_{trans}} + \cancel{H_{longi}} + H_{Interact}$$

$$H_{K.E.} = \sum_i \frac{p_i^2 + m_q^2}{p_i^+}$$

$$H_{Interact} = g\bar{\psi} \gamma^\mu T^a \psi A_\mu^a + \frac{g^2 C_F}{2} j^+ \frac{1}{(i\partial^+)^2} j^+$$



$$H_{Interact} = g\bar{\psi} \gamma^\mu T^a \psi A_\mu^a + \frac{g^2 C_F}{2} j^+ \frac{1}{(i\partial^+)^2} j^+ + \frac{g^2 C_F}{2} \bar{\psi} \gamma^\mu A_\mu \frac{\gamma^+}{i\partial^+} A_\nu \gamma^\nu \psi$$



# Full BLFQ

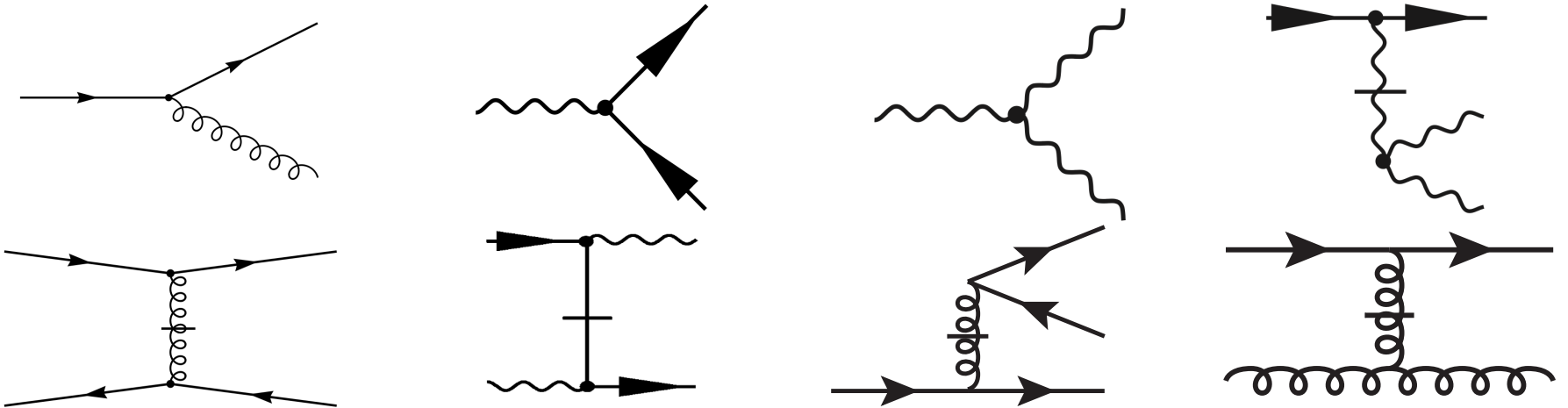
$$|P_{baryon}\rangle \rightarrow |qqq\rangle + |qqqg\rangle + |qqqu\bar{u}\rangle + |qqqd\bar{d}\rangle + |qqqs\bar{s}\rangle + |qqqgg\rangle$$

$$P^- = H_{K.E.} + H_{Interact}$$

$$H_{K.E.} = \sum_i \frac{p_i^2 + m_q^2}{p_i^+}$$

$$H_{Interact} = g\bar{\psi}\gamma^\mu T^a \psi A_\mu^a + \frac{g^2 C_F}{2} j^+ \frac{1}{(i\partial^+)^2} j^+ + \frac{g^2 C_F}{2} \bar{\psi}\gamma^\mu A_\mu \frac{\gamma^+}{i\partial^+} A_\nu \gamma^\nu \psi$$

$$-g^2 C_F \bar{\psi}\gamma^+ \psi \frac{1}{(i\partial^+)^2} i\partial^+ A_\mu^a A_b^\mu + igf^{abc} i\partial^\mu A_a^\nu A_\mu^b A_\nu^c$$



# Fock Sector Decomposition

$$|P_{baryon}\rangle \rightarrow |qqq\rangle + |qqqg\rangle + |qqqu\bar{u}\rangle + |qqqd\bar{d}\rangle + |qqqs\bar{s}\rangle + |qqqgg\rangle$$

$|qqq q\bar{q}\rangle \sim 3$  color singlet state

1 singlet  $\otimes$  singlet

2 octet  $\otimes$  octet

$|qqq gg\rangle \sim 6$  color singlet state

1 singlet  $\otimes$  singlet

4 octet  $\otimes$  octet

1 decuplet  $\otimes$  octet  $\otimes$  octet

Next next leading  
Fock sectors

$|qqq u\bar{u}\rangle \sim 0.02\%$

$|qqq d\bar{d}\rangle \sim 0.02\%$

$|qqq s\bar{s}\rangle \sim 0.01\%$

$|qqq gg\rangle \sim 0.36\%$

Leading Fock  
sector  
 $|qqq\rangle \sim 90.52\%$

Next leading Fock  
sector  
 $|qqqg\rangle \sim 9.07\%$

Fock  
sectors

$m_u$	$m_d$	$m_s$	$g$	$b$
0.5 GeV	0.45 GeV	0.6 GeV	2.5	0.6 GeV

Truncation parameter:  $N_{\max} = 7$  and  $K_{\max} = 10$



# Parton Distribution Function

## ➤ Parton distribution functions with five Fock sectors

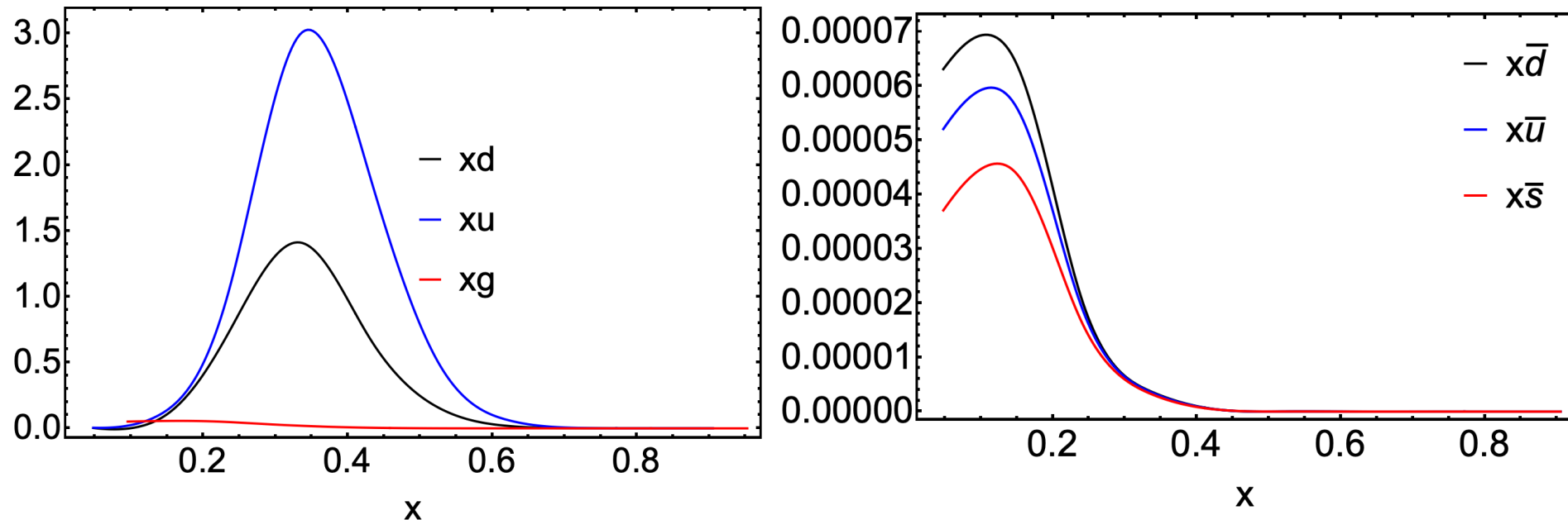
As we include  $qqqgg$  Fock sector, the endpoint behavior can be improved

Due to Fock sector truncation (no  $qqq q\bar{q} g, qqq ggg$ ), our five-particle contribution too small

Our results qualitative agree with experimental results

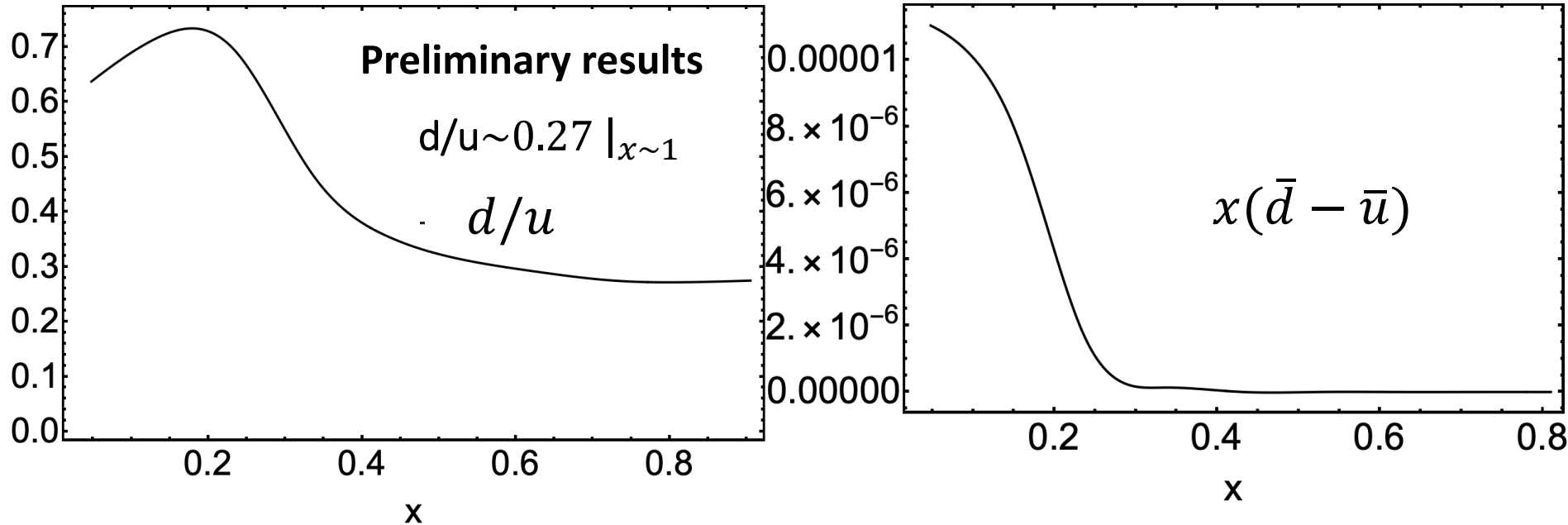
### Preliminary results

All results at the initial scale



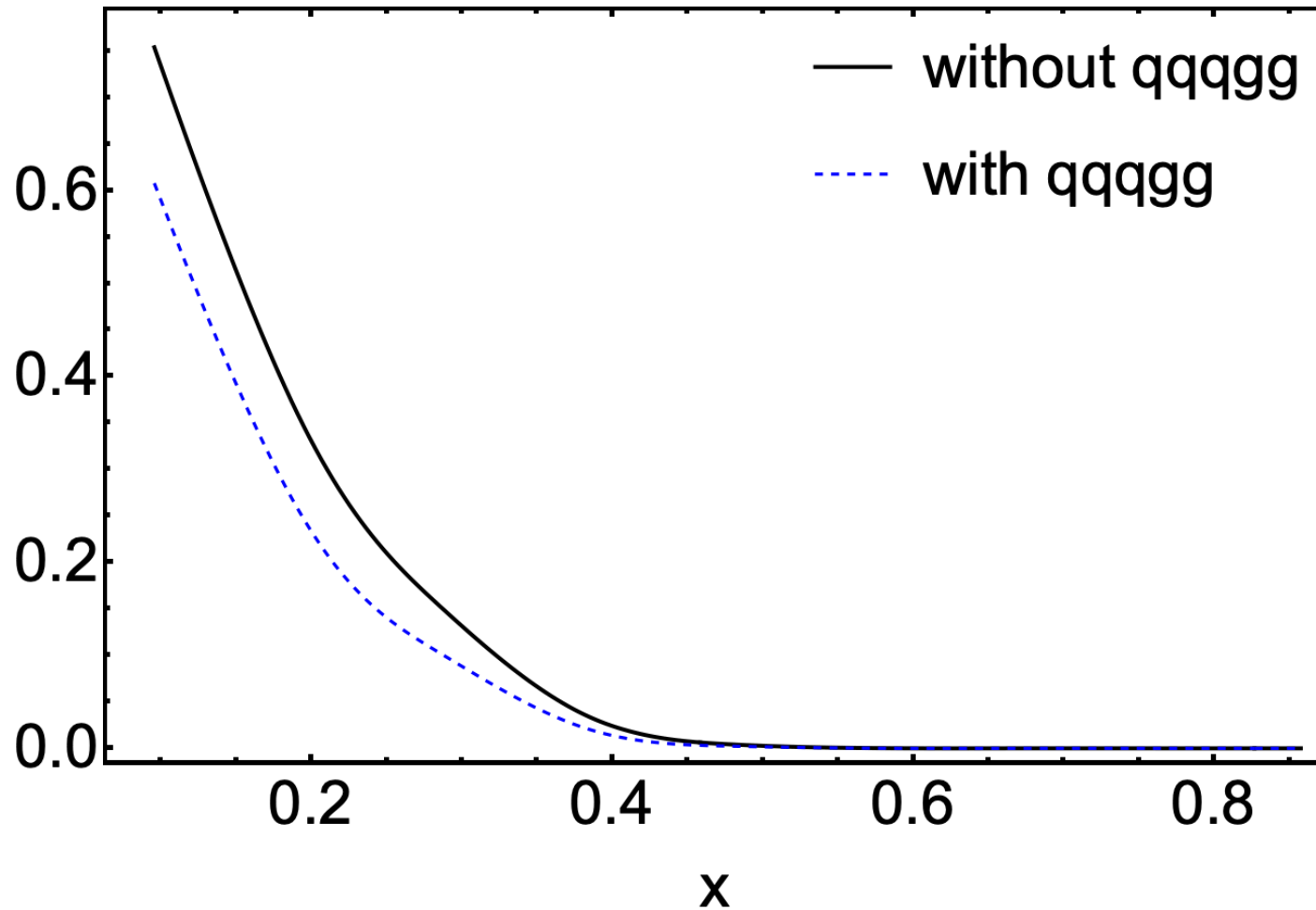
# Parton Distribution Function

➤ Parton distribution functions with five Fock sectors



- One wave function → distributions of **valence quark**, **sea quark**, and **gluon**
- The **ratio of  $\bar{d}$  to  $\bar{u}$**  → sea d is large than sea u
- BLFQ preliminary results qualitatively agree with Global fitting results

# The Difference



As we include the  $|qqq gg\rangle$  Fock sector, introduce the new interaction, the gluon distribution is suppressed.

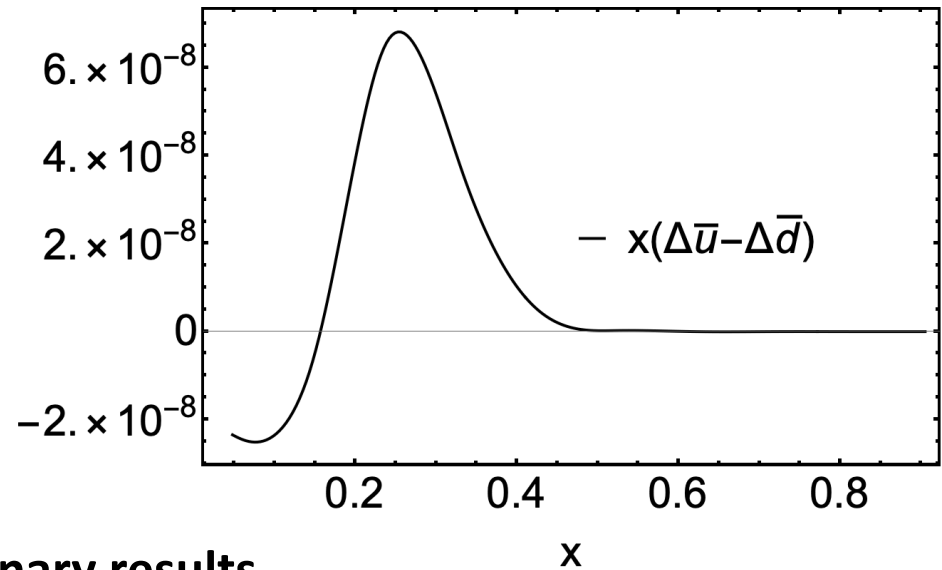
# Helicity Parton Distribution Functions

- Helicity PDFs with five particle parton distribution

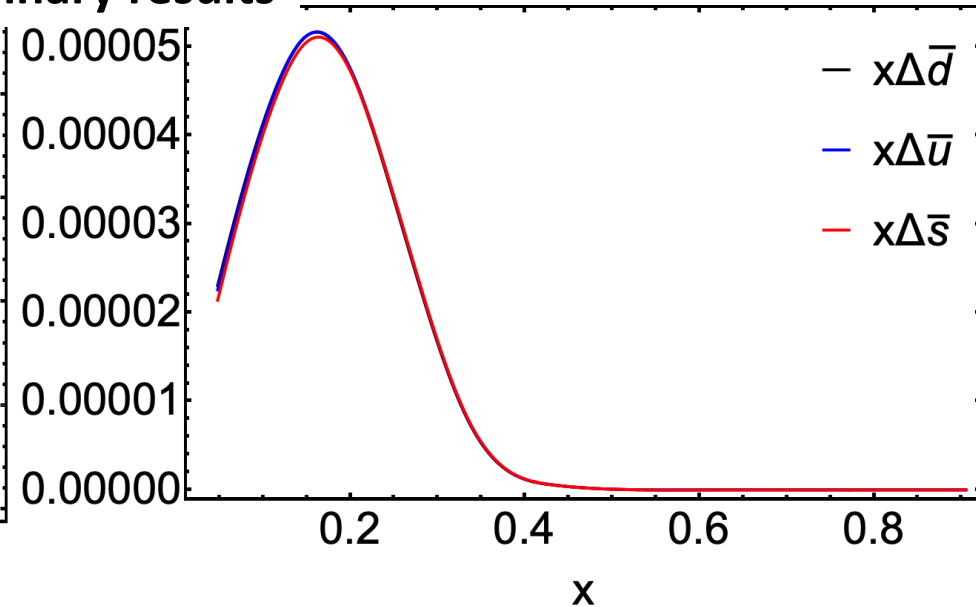
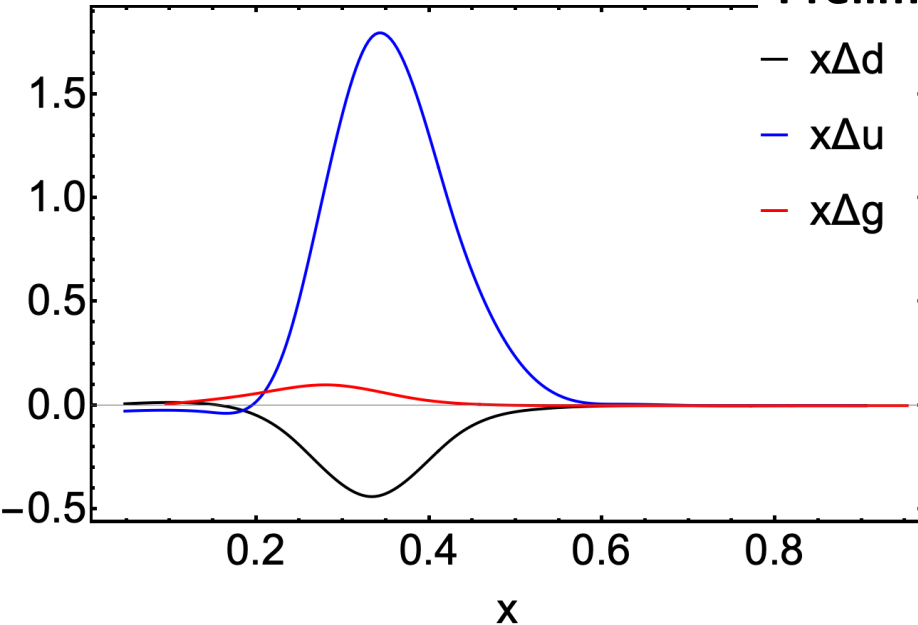
The behavior of sea asymmetry qualitatively agrees with JAM results

$$\Delta\Sigma_u = 0.953 \quad \Delta\Sigma = 0.743$$

$$\Delta\Sigma_d = -0.210 \quad \Delta G = 0.081$$



## Preliminary results



# Transversity Parton Distribution Functions

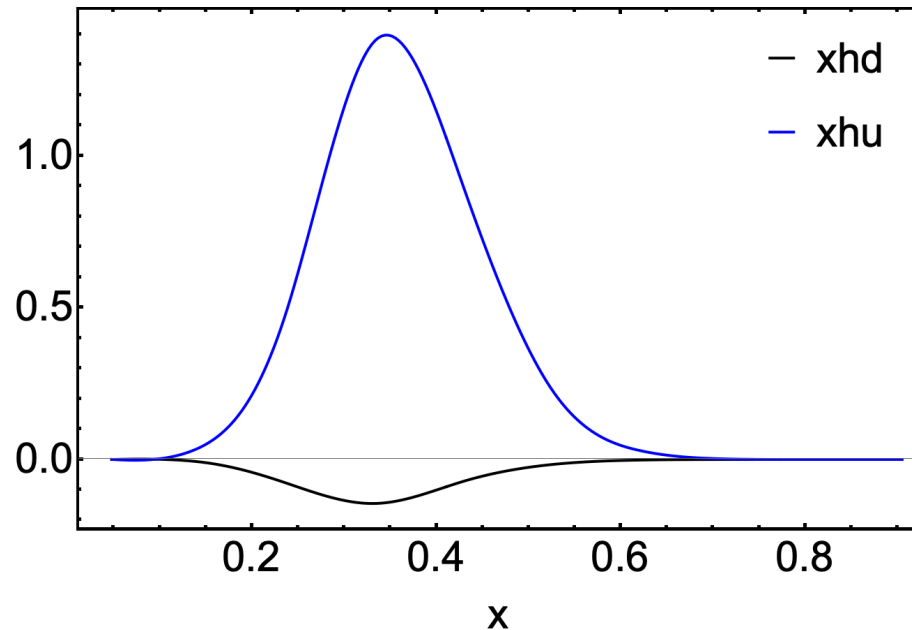
Transversity PDF of u and d has an opposite sign

According to current calculations, there is an asymmetry between  $\bar{u}$ ,  $\bar{d}$ , and  $\bar{s}$

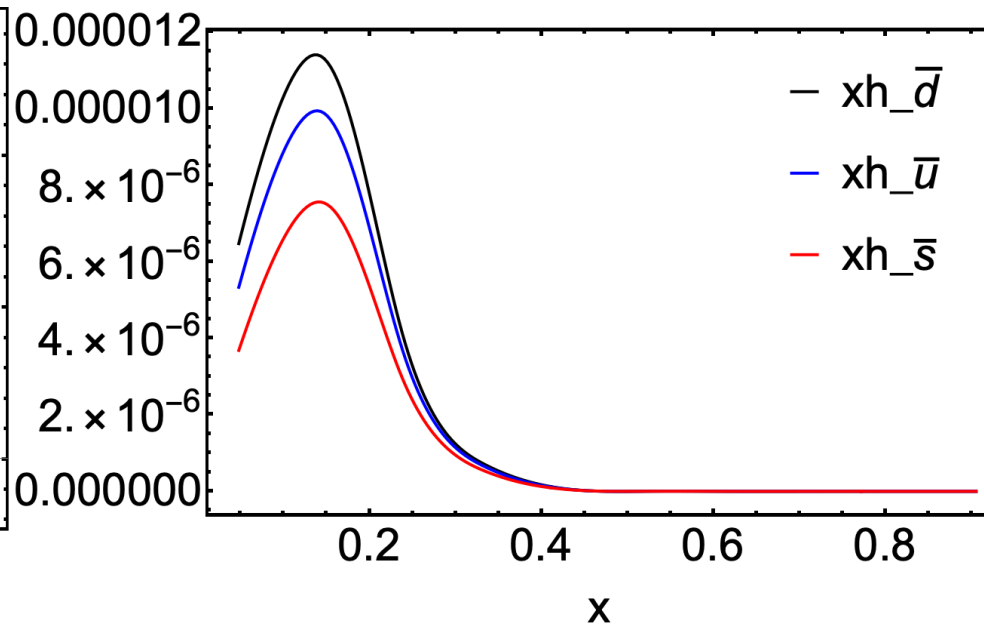
As we increase the truncation parameter, our results approach the experimental data.

Tensor Charge:  $\delta u = 0.91$ ,  $\delta d = -0.10$  At initial scale

**Preliminary results**

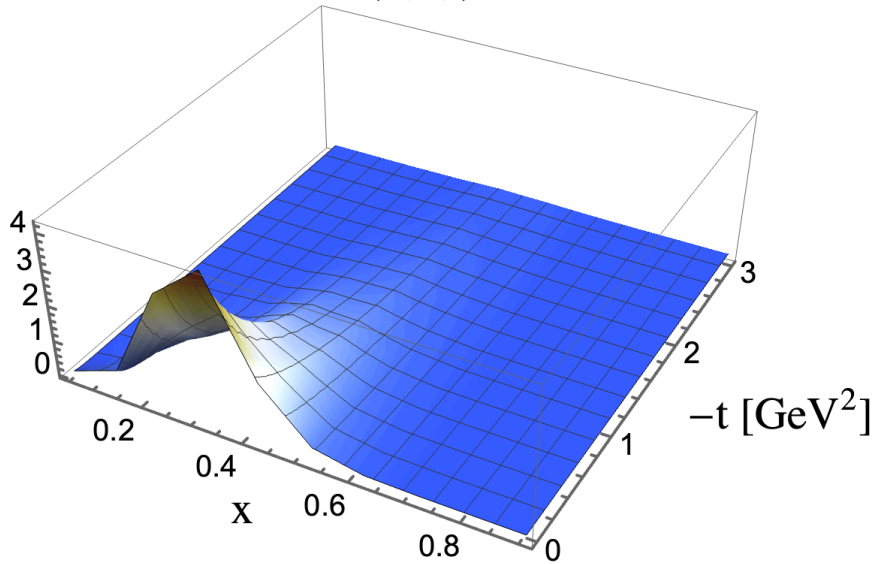


**Preliminary results**

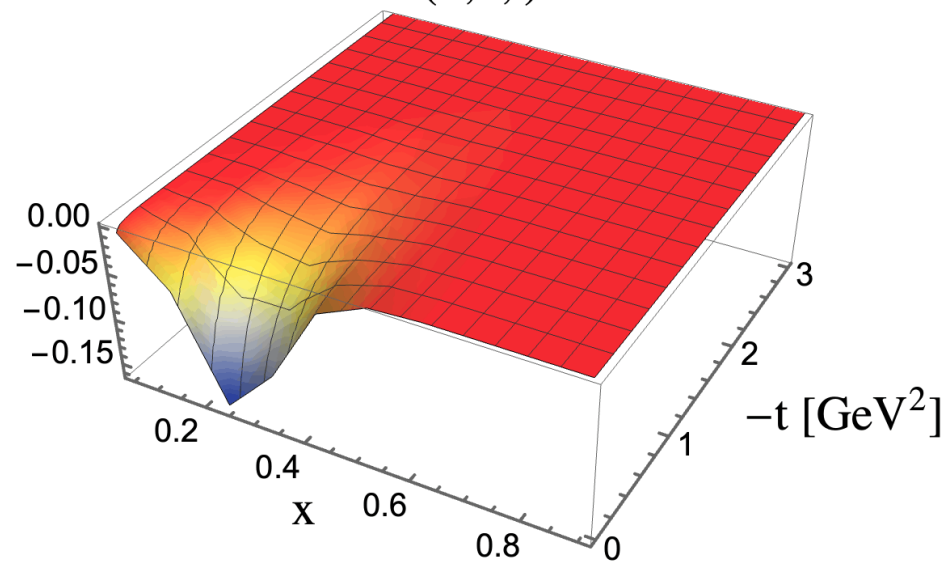


# Generalized Parton Distribution

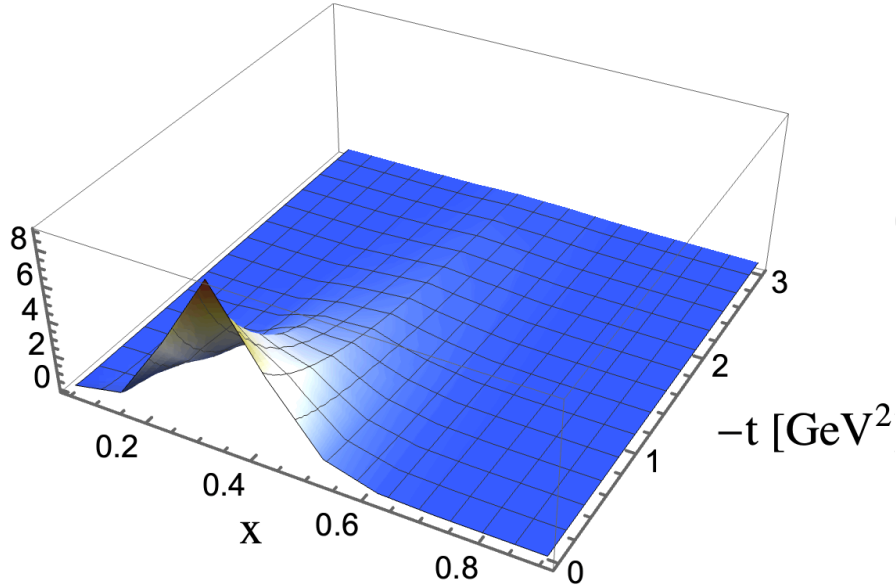
$$H^d(x,0,t)$$



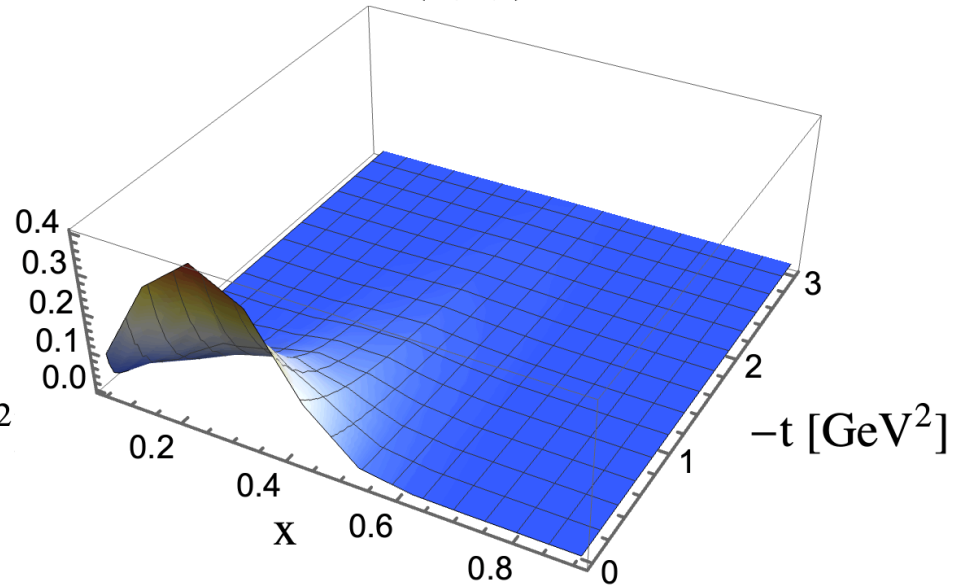
$$E^d(x,0,t)$$



$$H^u(x,0,t)$$

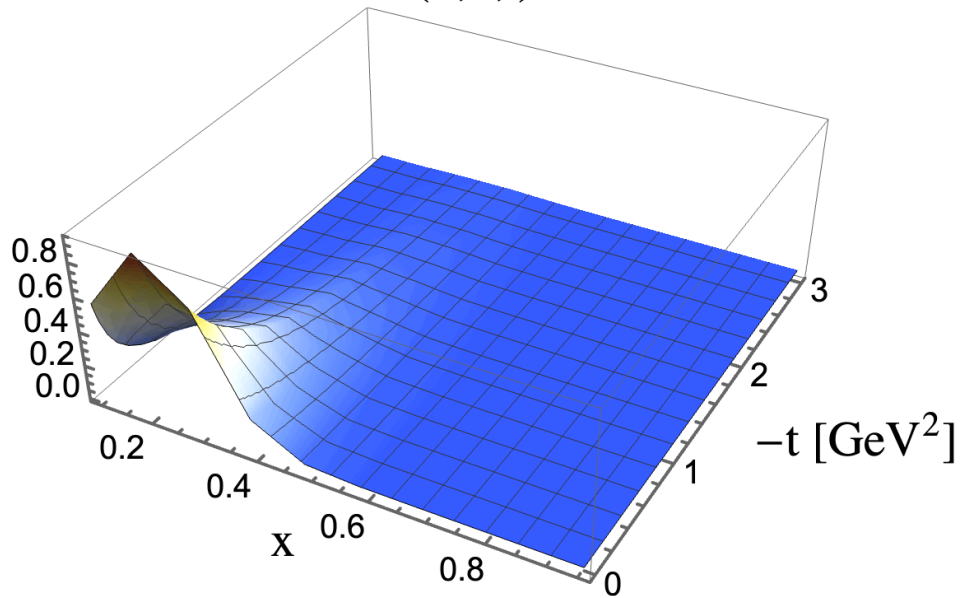


$$E^u(x,0,t)$$

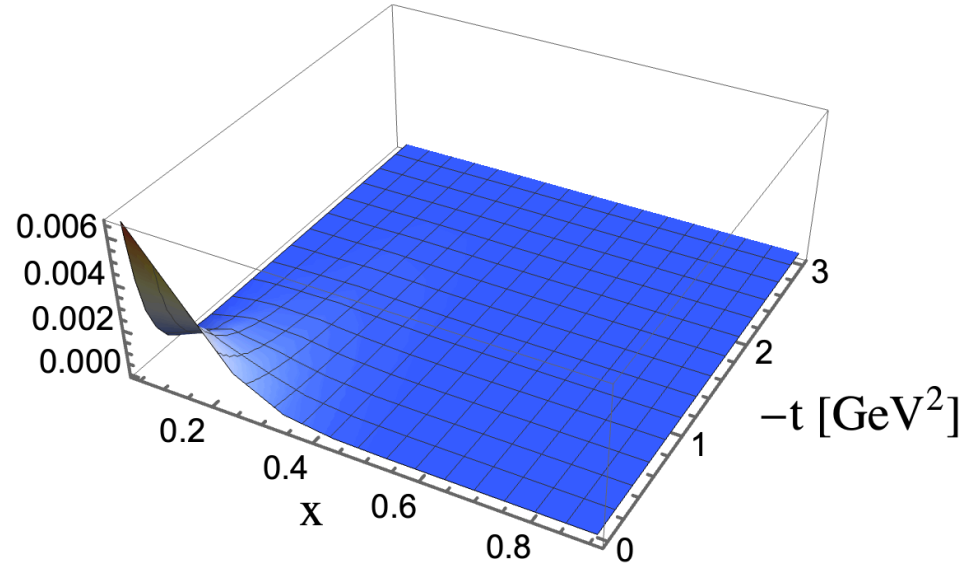


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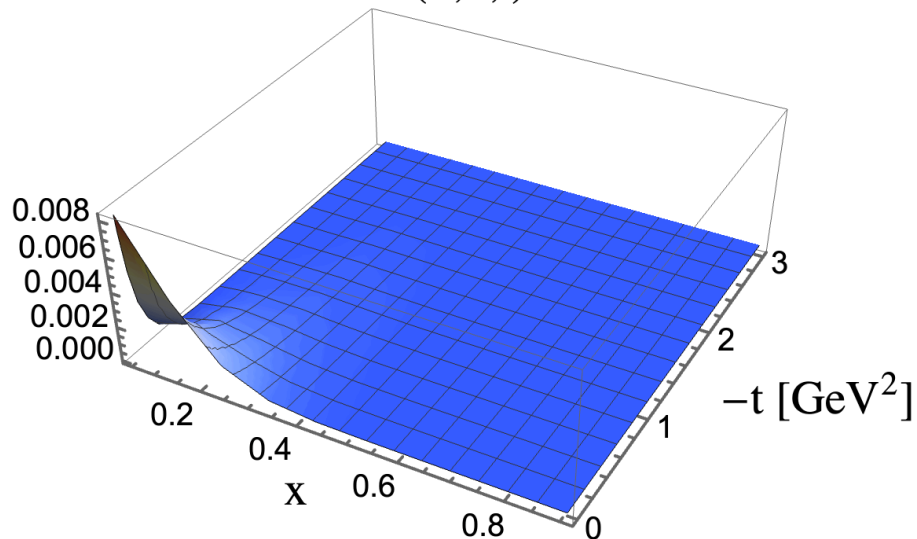
$$H^g(x,0,t)$$



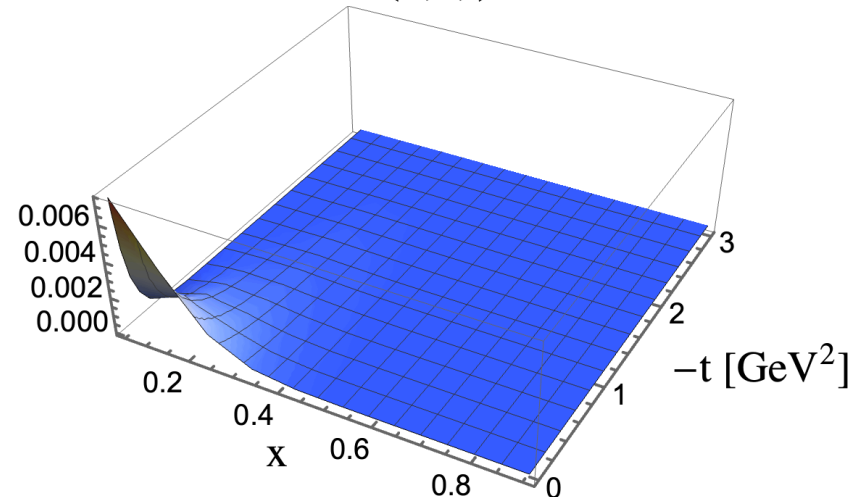
$$H^{s,\bar{s}}(x,0,t)$$



$$H^d(x,0,t)$$



$$H^u(x,0,t)$$



# Conclusion

- BLFQ: a non-perturbative approach based on QCD Light-front Hamiltonian
- $|qqq\rangle + |qqqg\rangle + |qqq q\bar{q}\rangle + |qqq gg\rangle$  Fock sectors have been included
- Remove the effective interaction and Include the three-gluon vertex and relevant instantaneous interaction in QCD
- BLFQ results qualitatively agree with the global fitting
- Establish a platform to study the QCD from the first principle way in the light-front frame
- Welcome collaboration



# Future

- Continue to expand the Fock sector:  $|qqq q\bar{q} g\rangle$  and  $|qqq ggg\rangle$ .....
- Include all QCD interaction
- Consider renormalization scheme
- Study the intrinsic charm, sea asymmetry
- Study the spin and mass decomposition
- Study the nucleus structure:

$$|deuterium\rangle = |qqq qqq\rangle + |qqq qqq g\rangle + |qqq qqq q\bar{q}\rangle + \dots$$

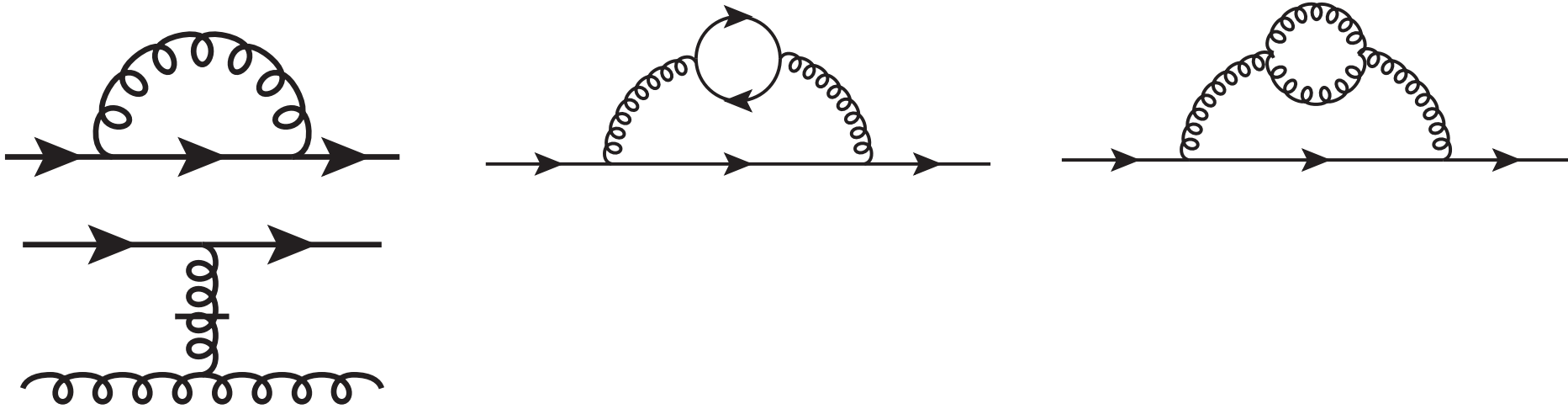
It's when you follow the rain clouds that you find out  
where the rainbows are hiding.

# FSDR Scheme

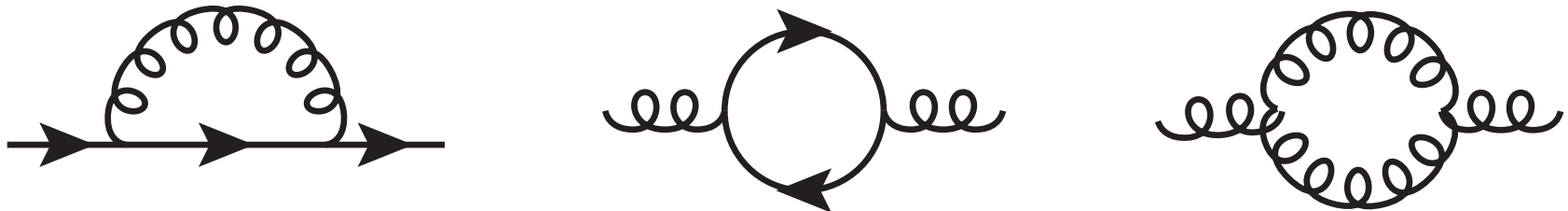
$$|P_{baryon}\rangle \rightarrow |qqq\rangle + |qqqg\rangle + |qqqu\bar{u}\rangle + |qqqd\bar{d}\rangle + |qqqs\bar{s}\rangle + |qqqgg\rangle$$

According to the Fock sector dependent renormalization:

- The mass counter term in  $|qqq\rangle$ :

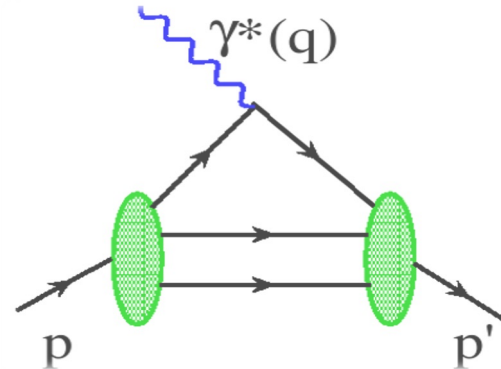


- The mass counter term in  $|qqq g\rangle$ :



# Nucleon Form Factor

$$\langle N(p') | J^\mu(0) | N(p) \rangle = \bar{u}(p') \left[ \gamma^\mu \underbrace{F_1(q^2)} + \frac{i\sigma^{\mu\nu}}{2m_N} q_\nu \underbrace{F_2(q^2)} \right] u(p)$$



As we include the FSDR:

the P-wave contribution  $\rightarrow$  Significant Increase

Preliminary results

