

# Effective masses in Relativistic Brueckner-Hartree-Fock Theory

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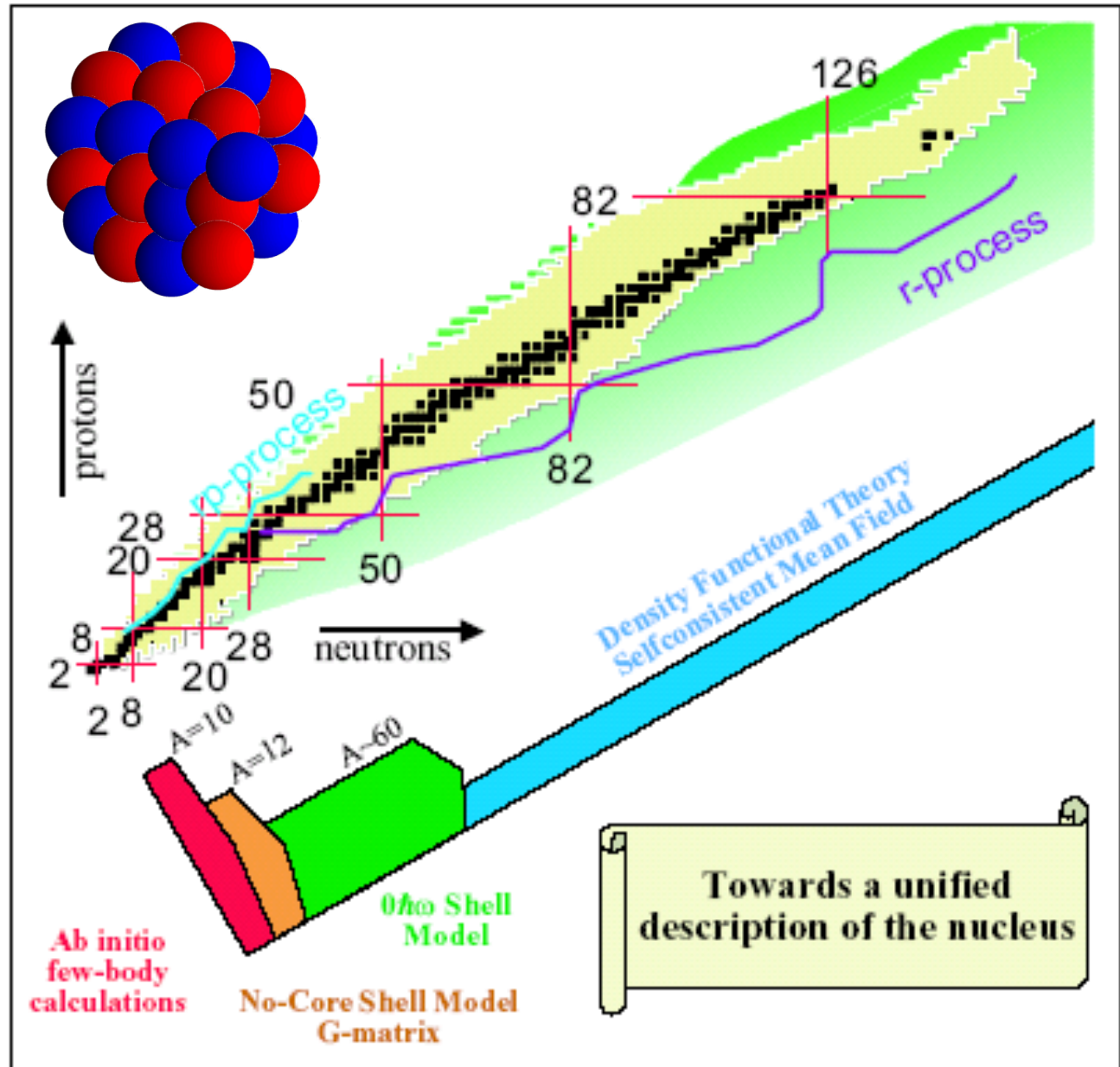


# Content

- Motivation
- Relativistic Brueckner-Hartree-Fock Theory
- Applications in finite systems
- Full solution for nuclear matter
- Effective masses and their isospin dependence

# Motivation

the nuclear  
many-body  
problem:



- **Light nuclei:**
  - exact solution by config. mixing
  - ab-initio possible
  - complicated results
  - non-relativistic
- **Heavy, superheavy nuclei, fission etc.**
  - density functional theory
  - simple universal description
  - easy to visualize
  - phenomenological
- **Goal: Ab-initio derivation of density functional**

- **Ab-initio derivation of density functional theory**  
 first attempts of ab-initio go back to the fifties:  
 Brueckner theory:  
     based on the mean-field concept  
     effective density-dep. interaction:  $G[\rho]$   
     mother of nuclear density functional theory
- **Non-relativistic BHF fails: Three-body forces**
- **1980: Relativistic BHF: no NNN necessary**  
 problems:
  - a) no exact solution of RBHF in nuclear matter  
 many different approximations
  - b) no solution of RBHF in finite nuclei (tensor?)

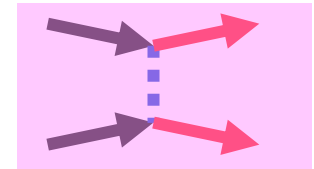
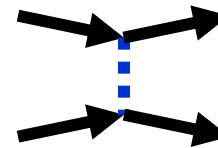
# Why covariant ?

- 1) Large fields  $V \approx 350 \text{ MeV}$  ,  $S \approx -400 \text{ MeV}$
- 2) Large **spin-orbit splittings** in nuclei
- 3) Success of **relativistic Brueckner calculations**
- 4) Success of **intermediate energy proton scattering**
- 5) Relativistic **saturation mechanism**
- 6) Consistent **treatment of time-odd fields**
- 7) Natural explanation of **pseudospin symmetry**
- 8) Connection to **underlying theories ?**
- 9) Use as **many symmetries as possible** in phenomenology

# Brueckner theory (1958):

Brueckner, Gammel, Phys. Rev. 109, 1023 (1958)

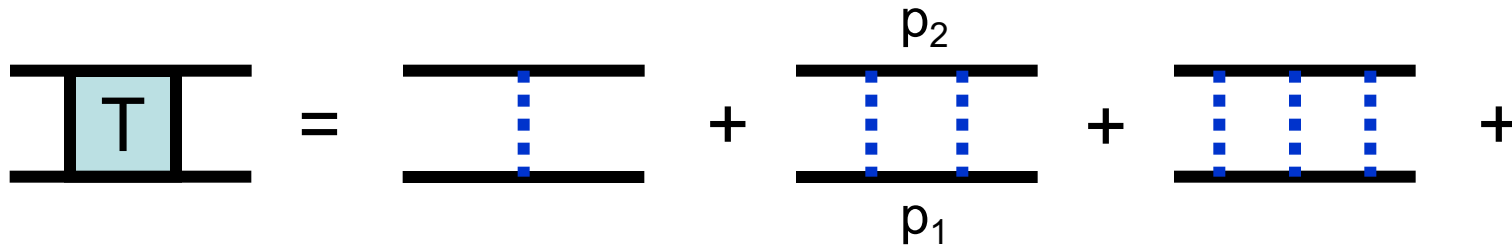
- The nucleons in the interior of the nuclear medium do not feel the same **bare force  $V$** , as the nucleons feel in free space.
- They feel an **effective force  $G$** .
- The **Pauli principle** prohibits the scattering into states, which are already occupied in the medium.
- Therefore this force  **$G(\rho)$**  depends on the **density**
- This force  **$G$**  is **much weaker** than the bare force  **$V$** .
- Nucleons move **nearly free** in the nuclear medium and feel only a strong attraction **at the surface** (shell model)



# Free nucleon-nucleon scattering:

Lippmann-Schwinger-Eq.

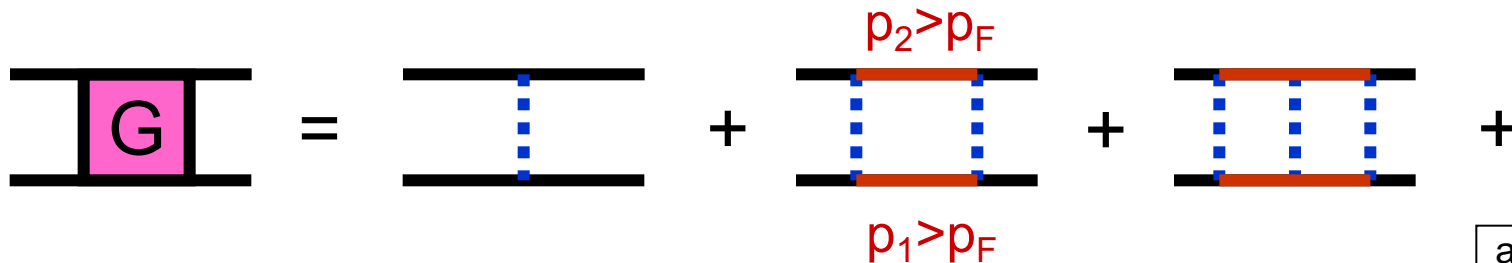
$$\langle \mathbf{k}_1 \mathbf{k}_2 | T | \mathbf{k}'_1 \mathbf{k}'_2 \rangle = \langle \mathbf{k}_1 \mathbf{k}_2 | V | \mathbf{k}'_1 \mathbf{k}'_2 \rangle + \sum_{\mathbf{p}_1 \mathbf{p}_2} \langle \mathbf{k}_1 \mathbf{k}_2 | V | \mathbf{p}_1 \mathbf{p}_2 \rangle \frac{1}{E - \frac{\mathbf{p}_1^2}{2m} - \frac{\mathbf{p}_2^2}{2m} + i\eta} \langle \mathbf{p}_1 \mathbf{p}_2 | T | \mathbf{k}'_1 \mathbf{k}'_2 \rangle$$



exact

# Scattering in the nuclear medium:

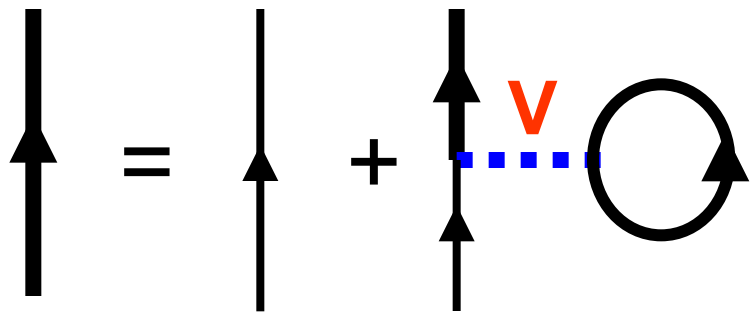
Bethe-Goldstone-Eq.



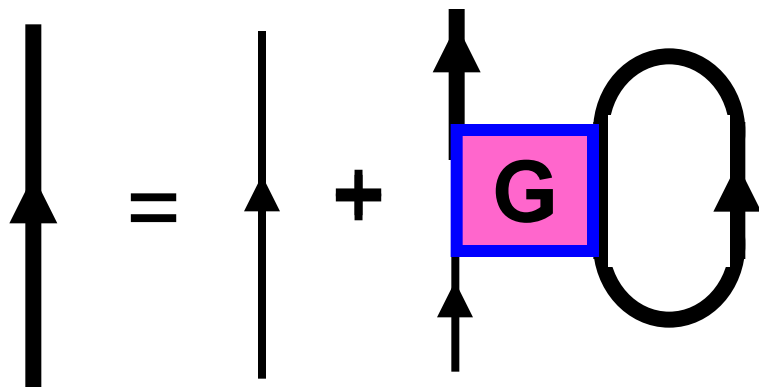
approximation



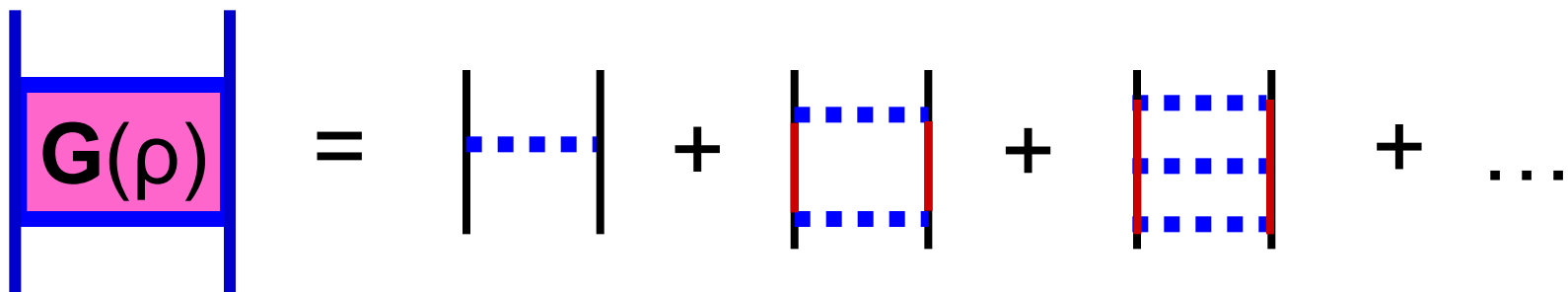
# Ab-initio: Relativistic Brueckner Hartree-Fock:



Hartree-Fock

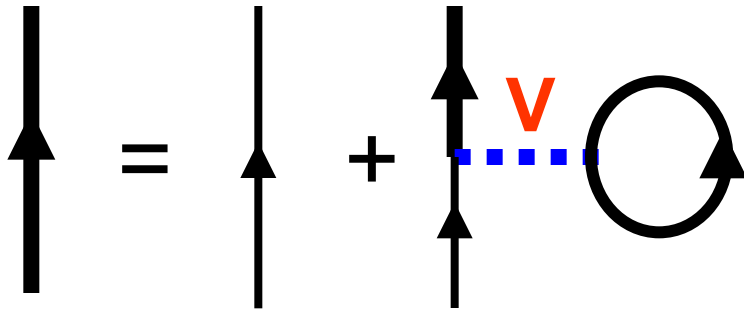


Brueckner Hartree-Fock

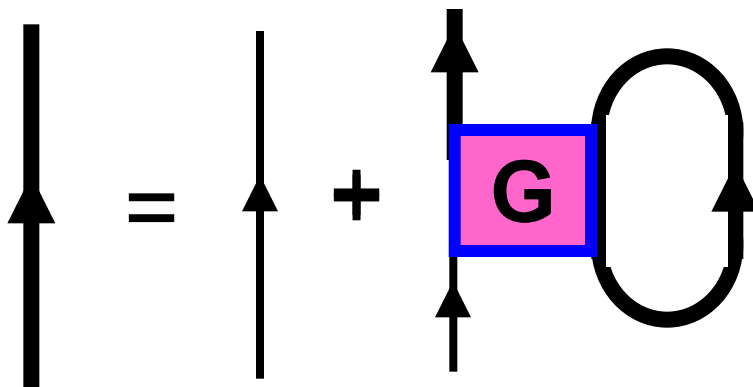


Summing up all ladder diagramms

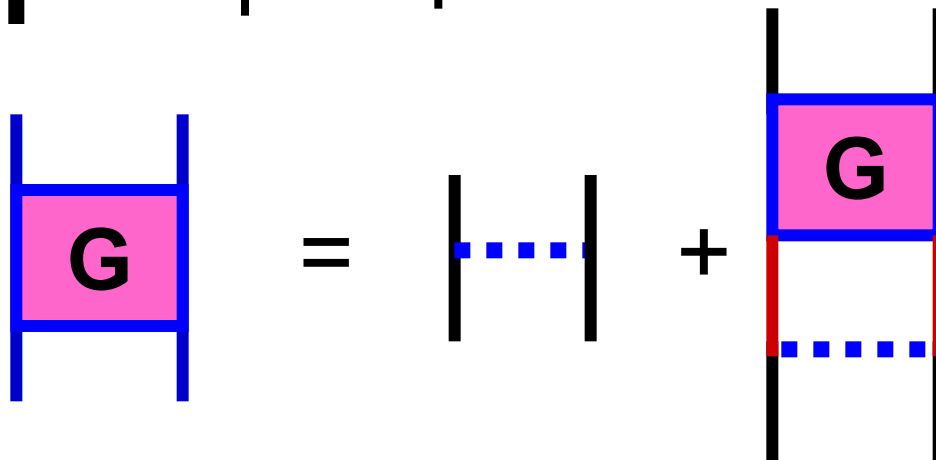
# Ab-initio: Relativistic Brueckner Hartree-Fock:



Hartree-Fock



Brueckner Hartree-Fock



Bethe-Goldstone

# Bethe-Goldstone equation:

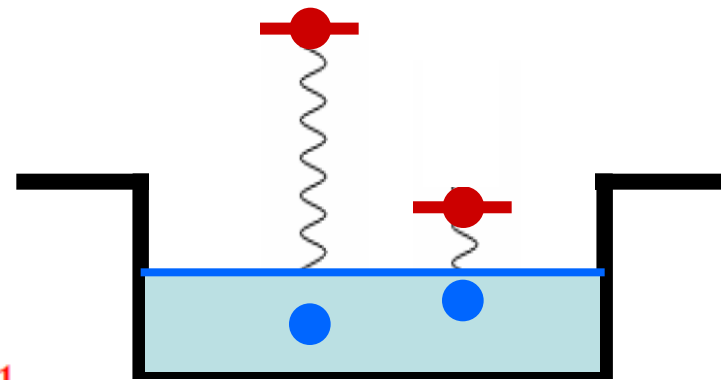
- $\omega$  is the starting energy
- $V$  is realistic interaction
- $Q_F$  is the Pauli operator

$$G(\omega) = V + VQ_F \frac{1}{\omega - H_{HF}} Q_F G(\omega)$$

$$G(\omega) = V + VP_F(\omega)G(\omega)$$

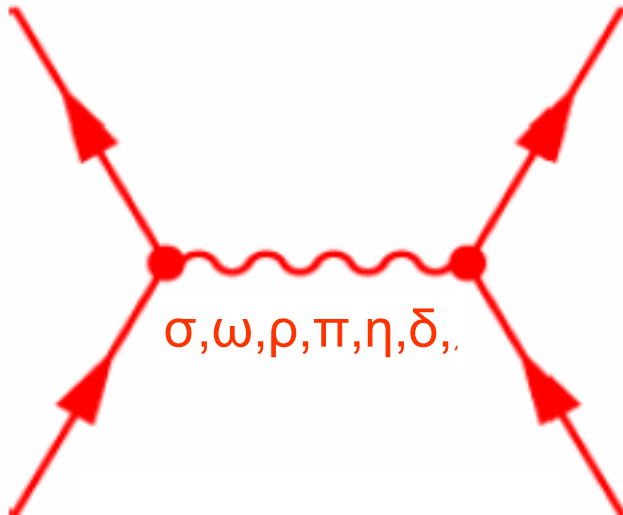
$$P_F(\omega) = \sum_{m_1 m_2 > \epsilon_F} |m_1 m_2\rangle \frac{1}{\omega - \epsilon_{m_1} - \epsilon_{m_2}} \langle m_1 m_2|$$

$$G(\omega) = \frac{1}{1 - VP_F(\omega)} V$$



Is solved in each step of the iteration

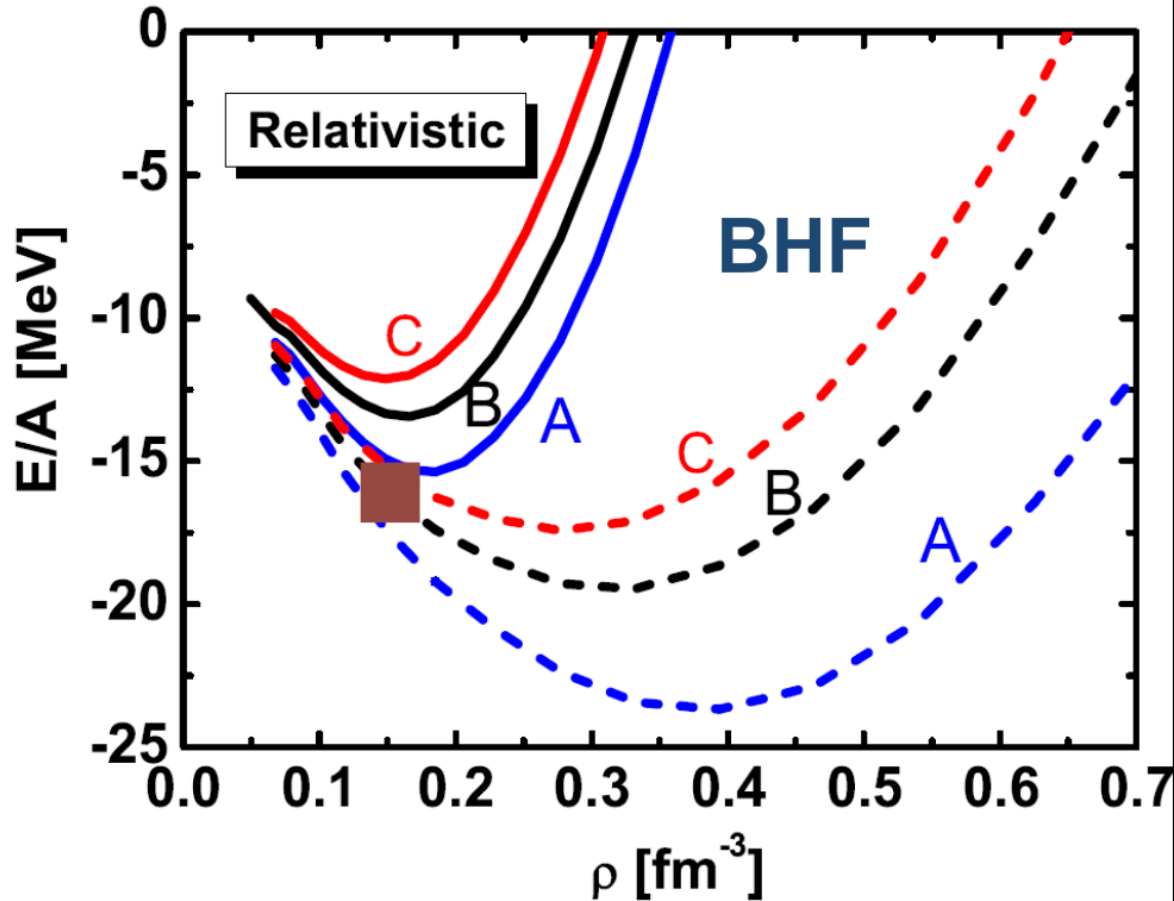
# Bare nucleon-nucleon force:



Brockmann and Machleidt, PRC **42**, 1965 (1990).

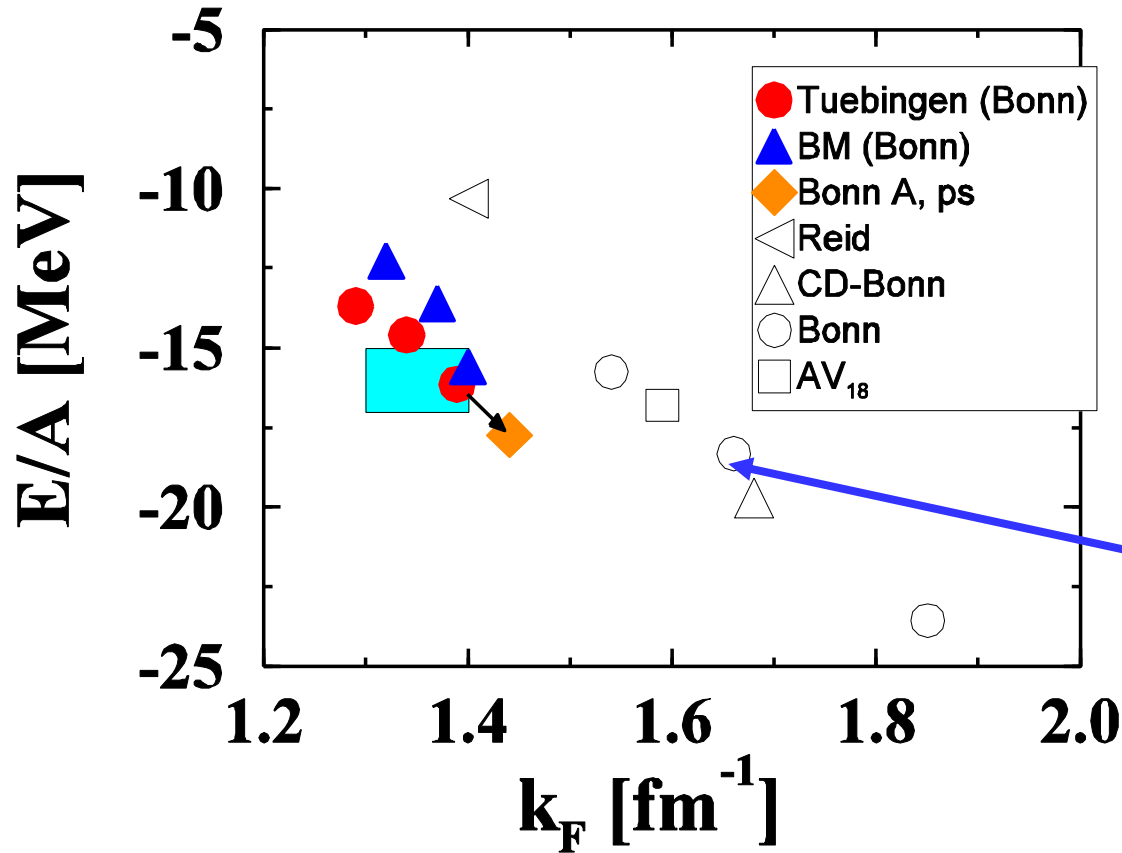
Meson Parameters	$m_\alpha$ (MeV)	Potential A		Potential B		Potential C	
		$g_\alpha^2/4\pi$	$\Lambda_\alpha$ (GeV)	$g_\alpha^2/4\pi$	$\Lambda_\alpha$ (GeV)	$g_\alpha^2/4\pi$	$\Lambda_\alpha$ (GeV)
$\pi$	138.03	14.9	1.05	14.6	1.2	14.6	1.3
$\eta$	548.8	7	1.5	5	1.5	3	1.5
$\rho$	769	0.99	1.3	0.95	1.3	0.95	1.3
$\omega$	782.6	20	1.5	20	1.5	20	1.5
$\delta$	983	0.7709	2.0	3.1155	1.5	5.0742	1.5
$\sigma$	550	8.3141	2.0	8.0769	2.0	8.0279	1.8

# Dirac-Brueckner-Hartree-Fock in nuclear matter



Brockmann and Machleidt, PRC 42, 1965 (1990).

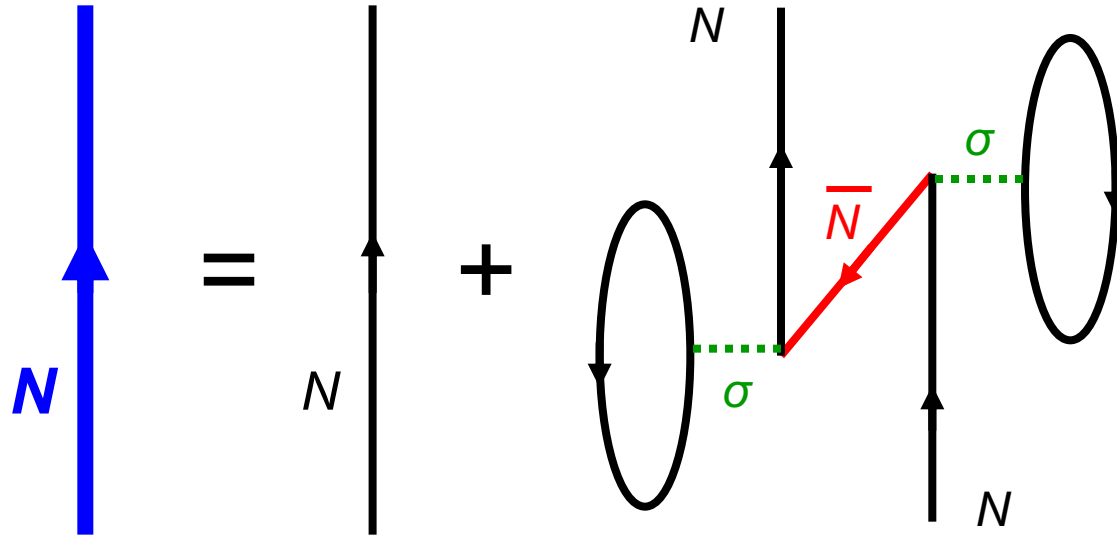
# Dirac-Brueckner-Hartree-Fock in nuclear matter



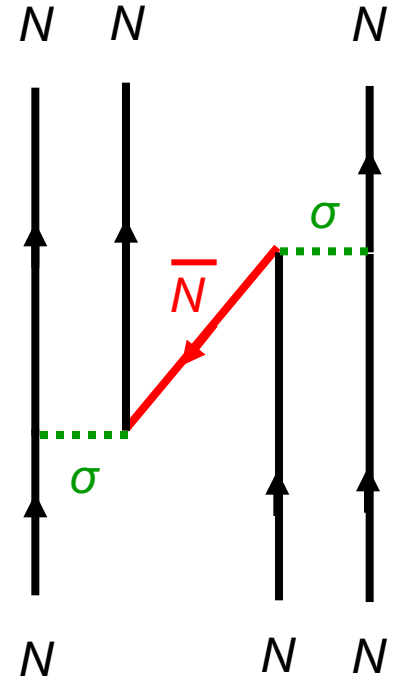
C. Fuchs, LNP (2004)

Coester-line

# Non-rel. 3-body-forces and relativistic effects



eff. 3-body force



$$|u(\mathbf{k}, \lambda, m^*)\rangle = \alpha(m^*) |u(\mathbf{k}, \lambda, m)\rangle + \beta(m^*) |v(-\mathbf{k}, -\lambda, m)\rangle$$

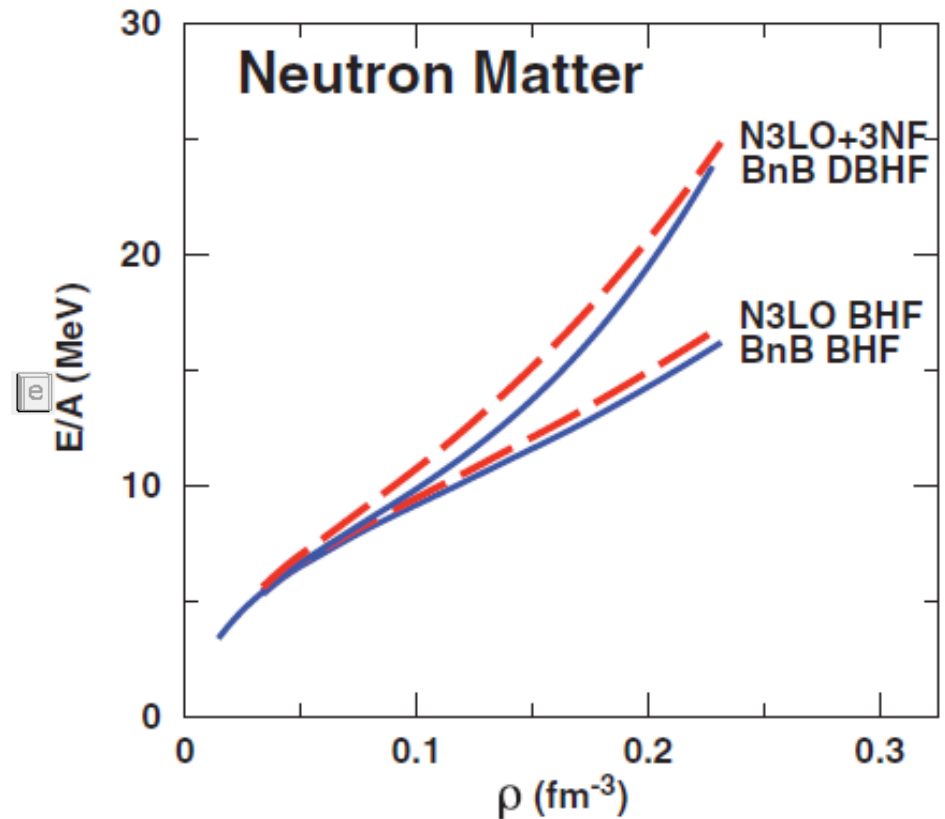
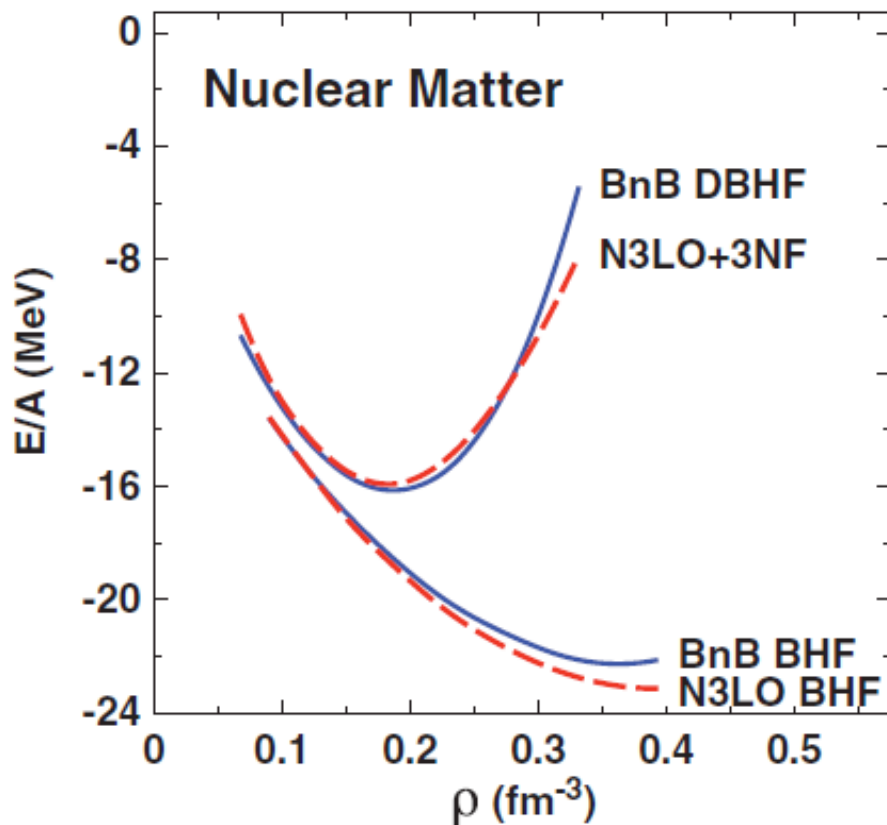
Dressed spinor

free spinor  $E > 0$

free spinor  $E < 0$

$$\longrightarrow \frac{\Delta E}{A} \approx 4.2 \text{ MeV} \left( \frac{\rho}{\rho_0} \right)^{\frac{8}{3}}$$

non-relativistic calculations with 3-body-forces vs.  
relativistic calculations without 3-body forces



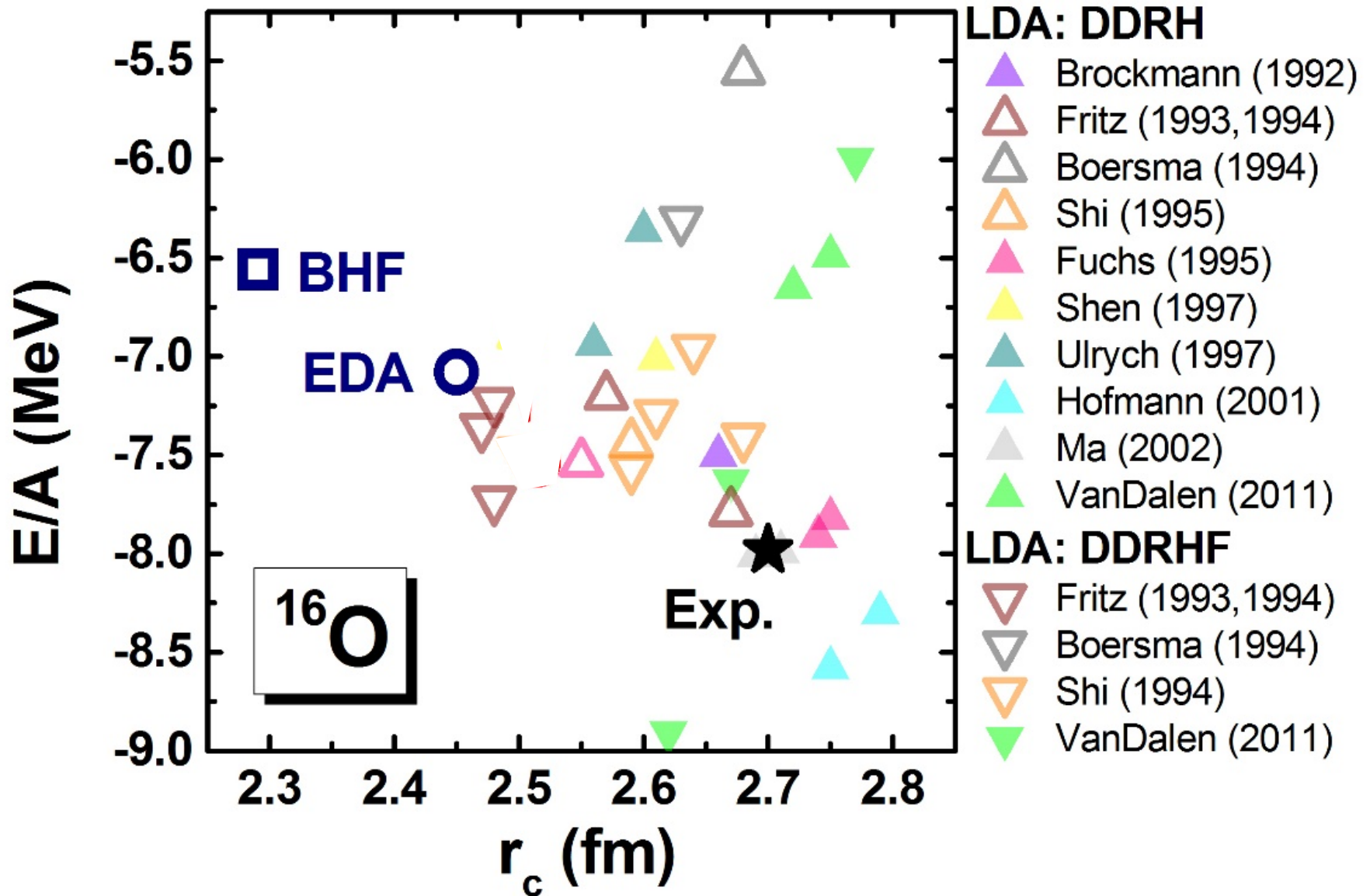
Sammarruca, Chen, Coraggio, Itaco, Machleidt, PRC 86 , 054317 (2012)

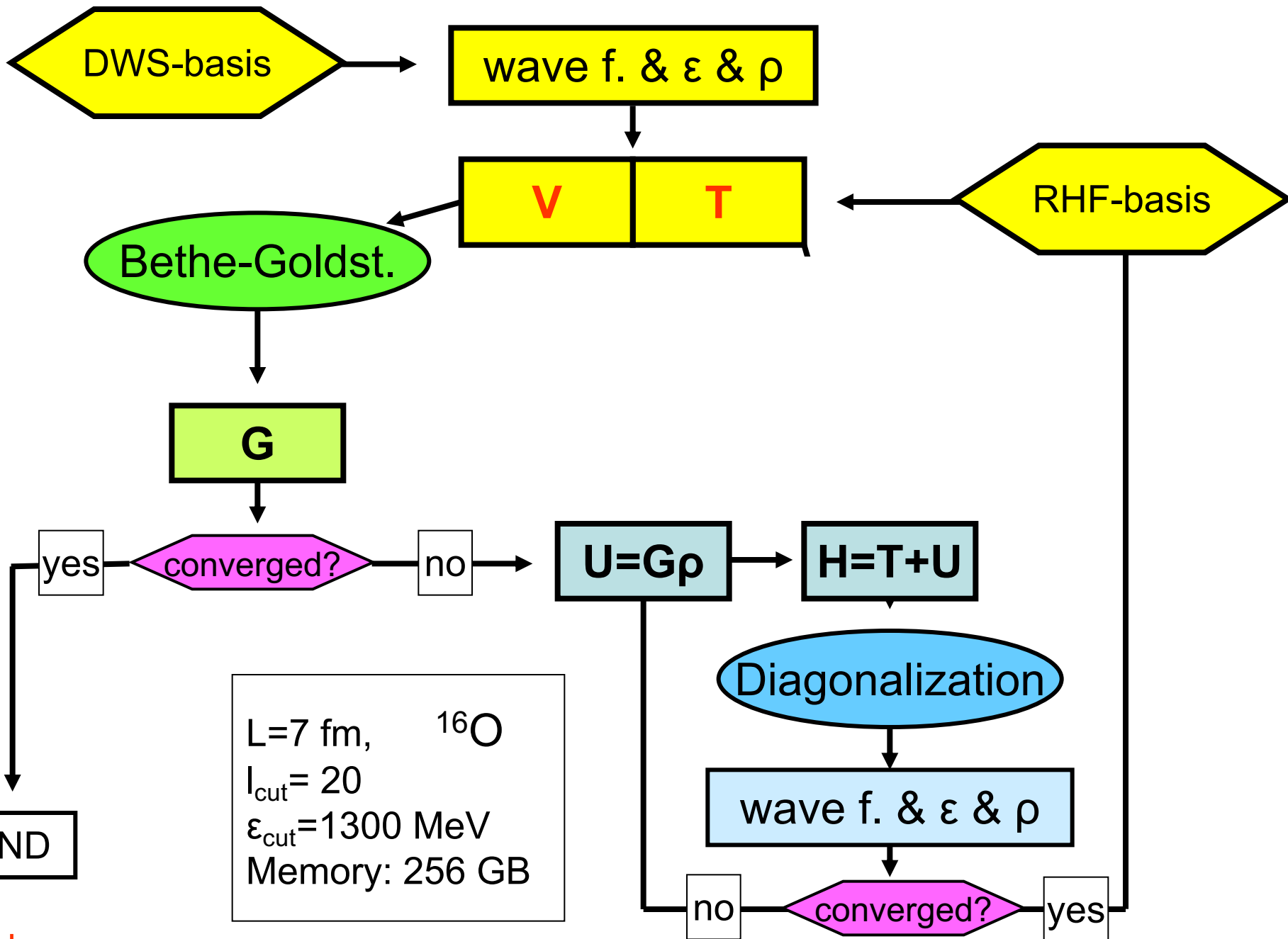


## Finite Nuclei: Local density approximation (LDA):

1. solve the Brueckner-Hartree-Fock equations in nuclear matter at various densities  $\rho$
2. map the density dependent results on a Walecka model with density dependent couplings
3. this yields  $\longrightarrow$   $g_\sigma(\rho), g_\omega(\rho), \dots$
4. but: **this mapping is not unique !**

# Relativistic BHf for finite nuclei:

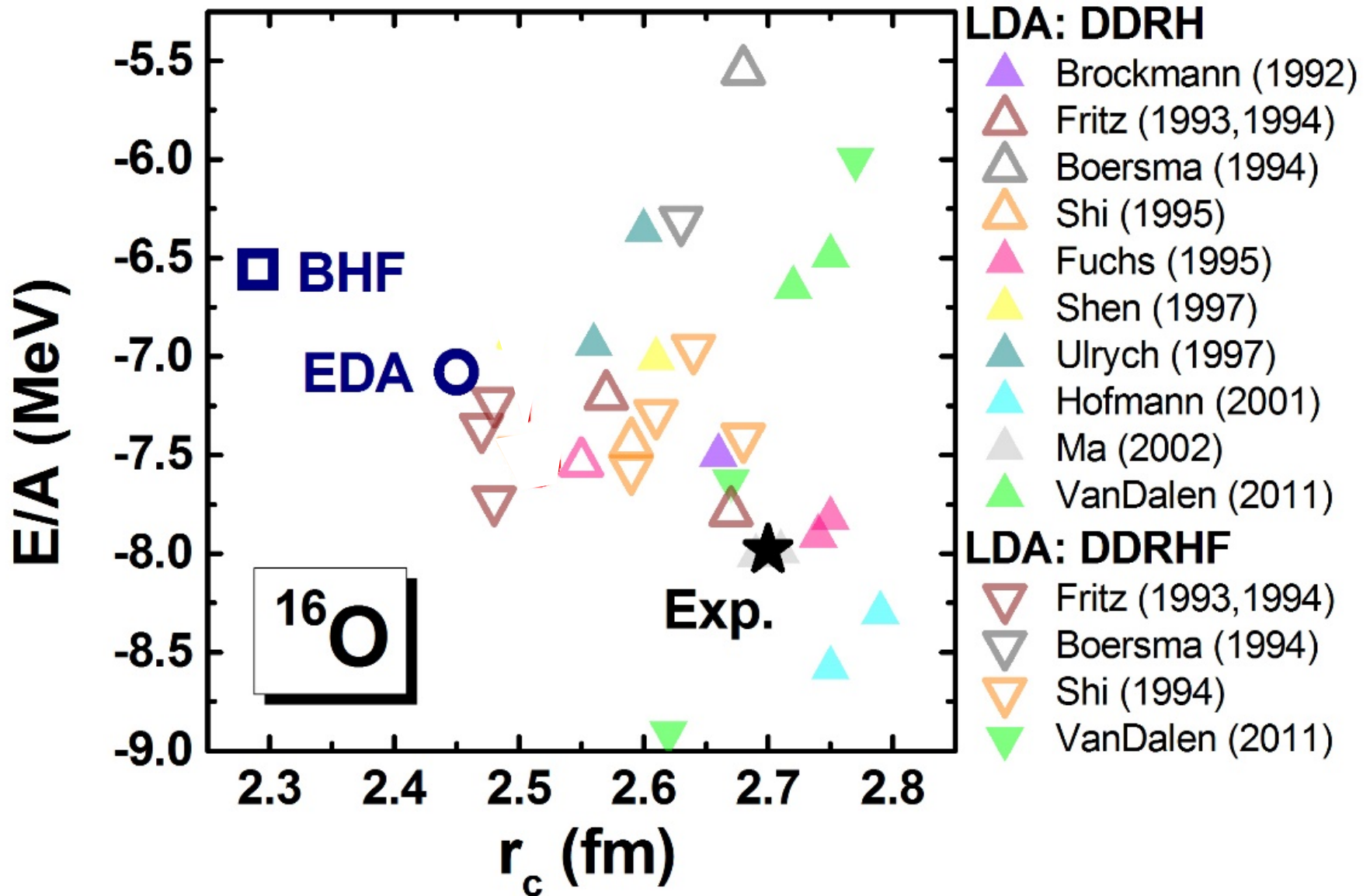




224 hours Intel(R) Xeon(R) CPU E5-4627 v2 @ 3.30GHz

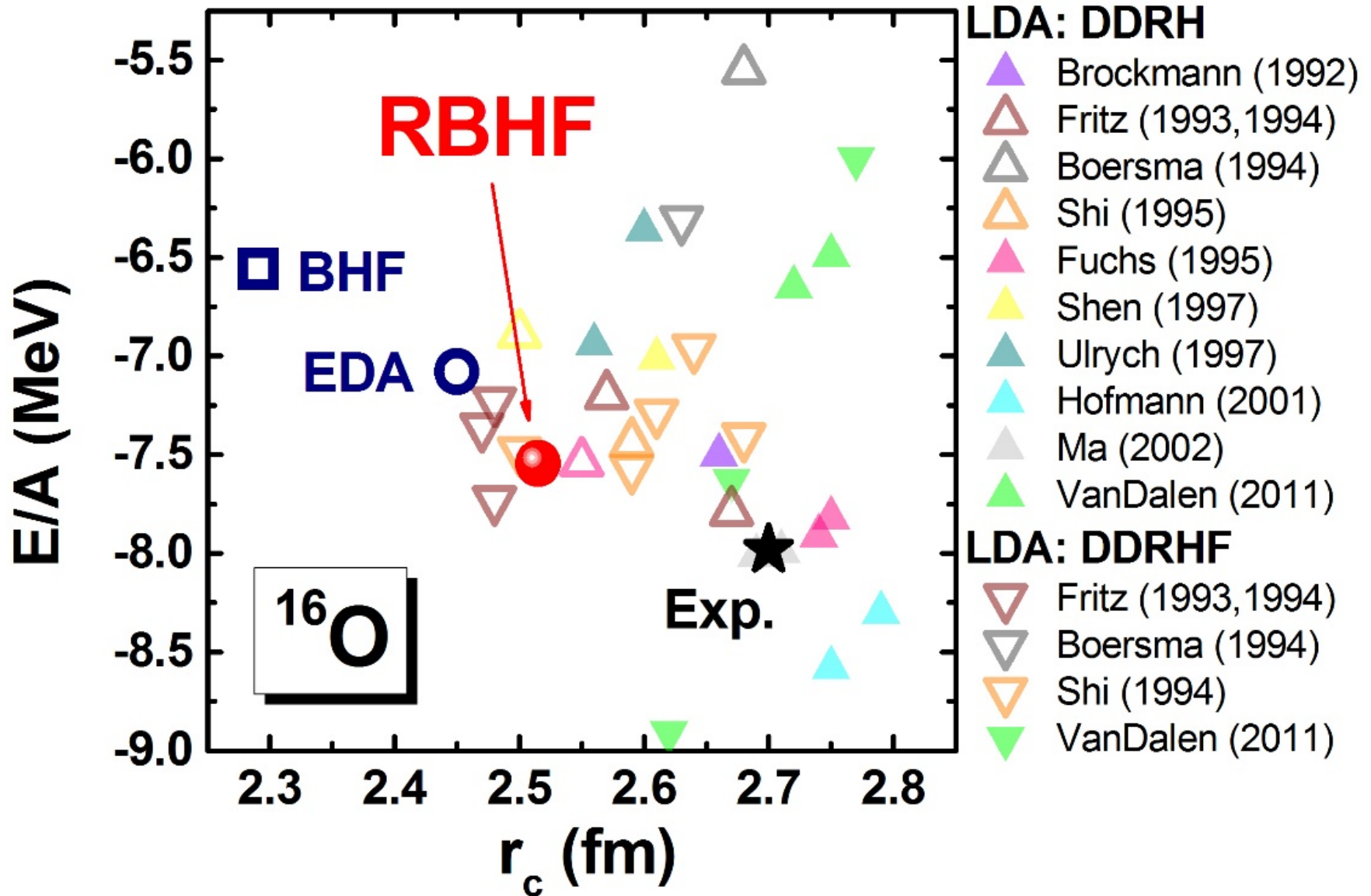
1 min

# Relativistic BHf for finite nuclei:



# Relativistic BHF for finite nuclei:

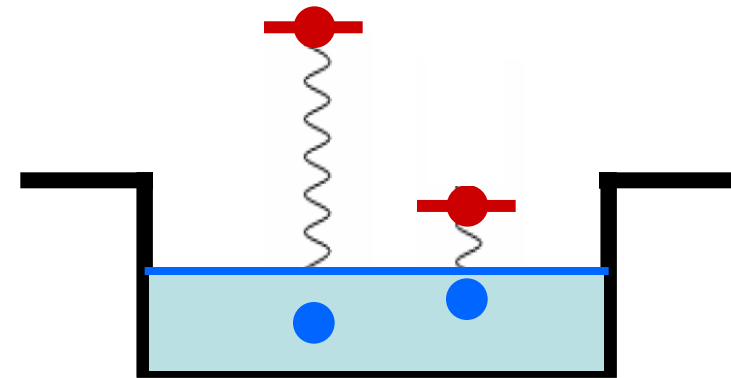
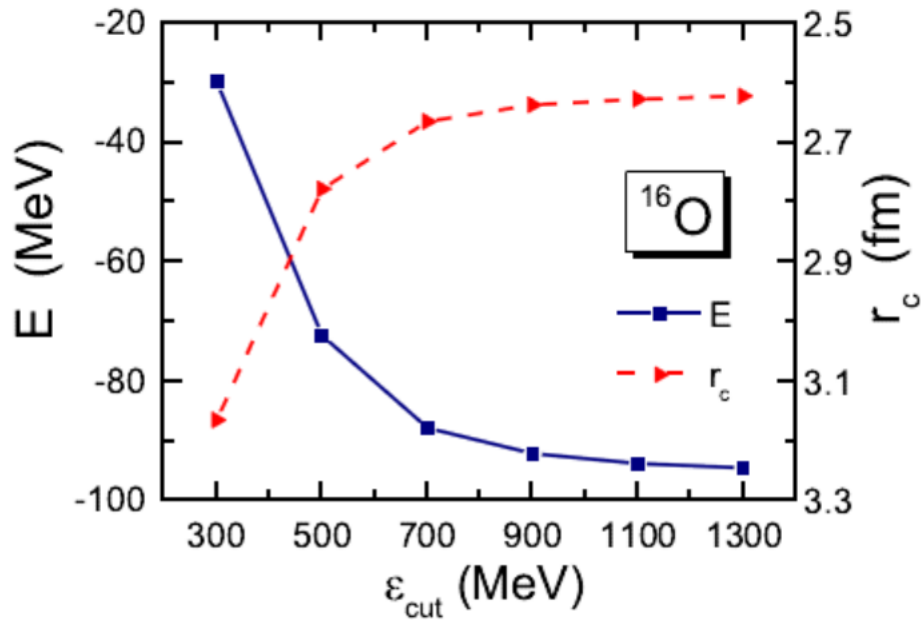
S.H. Shen et al (2017).



# Results for $^{16}\text{O}$

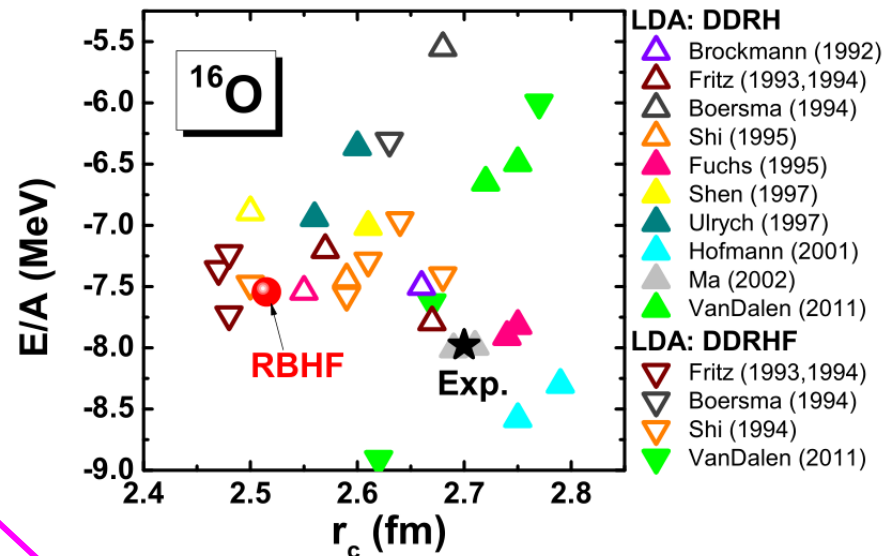
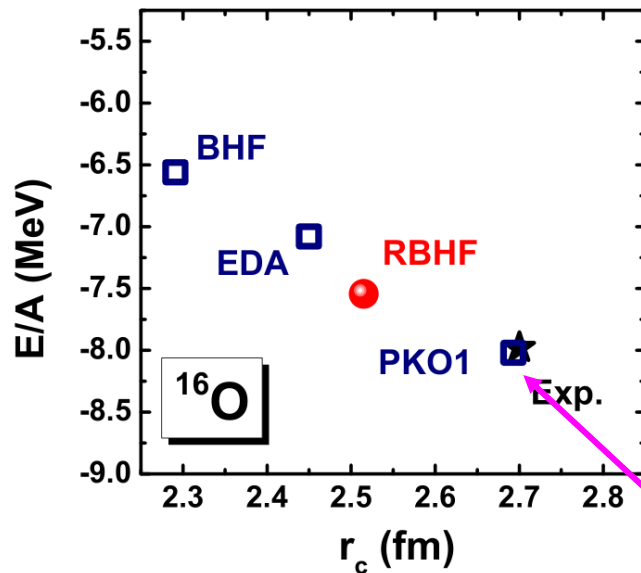
S.H. Shen et al, PRC 96, 014316 (2017)

Convergence with the cut-off in single particle energy:



# Bulk properties of $^{16}\text{O}$ :

- Energy per particle and charge radius of  $^{16}\text{O}$  calculated by RBHF, non-relativistic BHF, BHF with EDA Müther1990PRC, RHF with PKO1 Long2010PLB (left); and RBHF with LDA (right) :



fitted

- Relativistic effect is very important to improve the description.
- There is a big uncertainty between different LDA calculations.

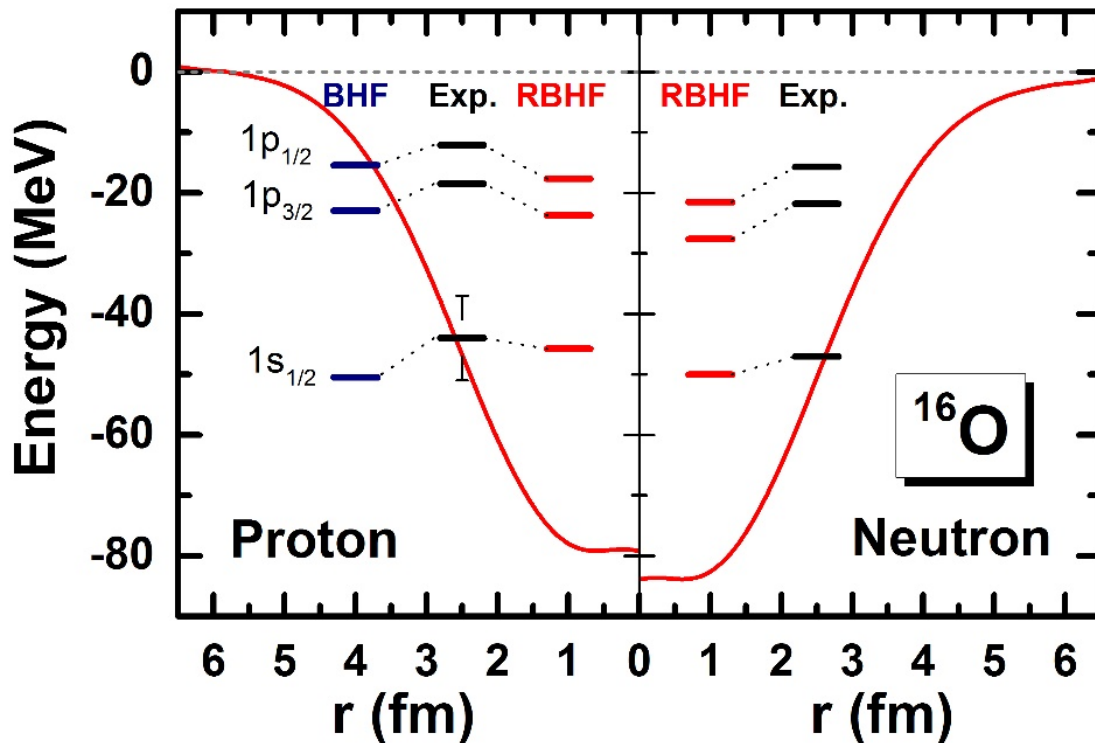


## Results for $^{16}\text{O}$ :

	$E$ (MeV)	$r_c$ (fm)	$r_m$ (fm)	$\Delta E_{\pi 1p}^{ls}$ (MeV)	
Exp.	-127.6	2.70	2.54	6.3	
DDRHF, PKO1	-128.3	2.68	2.54	6.4	Long et al, (2006)
DDRHF, PKA1	-127.0	2.80	2.67	6.0	Long et al, (2007)
<b>RBHF, Bonn A</b>	<b>-120.2</b>	<b>2.53</b>	<b>2.39</b>	<b>5.3</b>	S.H. Shen et al (2017).
<b>RBHF (DWS)</b>	<b>-120.7</b>	<b>2.52</b>	<b>2.38</b>	<b>6.0</b>	
BHF, AV18	-134.2	—	1.95	13.0	Hu et al, (2017)
CC, N <sup>3</sup> LO	-120.9	—	2.30	—	Hagen et al, (2009)
IM-SRG, N <sup>3</sup> LO	-122.9	—	—	—	Hergert et al, (2013)
NCSM, N <sup>3</sup> LO	-119.7	—	—	—	Roth et al, (2011)
SCGF, N <sup>3</sup> LO	-122.0	—	—	—	Cipollone et al, (2013)
NLEFT, N <sup>2</sup> LO	-121.4	—	—	—	Laehde et al, (2014)
QMC, N <sup>2</sup> LO	-87.0	2.76	—	—	Lonardonì et al, (2018)



# Single particle spectrum:



Shen, Hu, HZL, Meng, Ring, Zhang,  
*Chin. Phys. Lett.* **33**, 102103 (2016)

- a first *ab initio* calculations for finite nuclei in the **relativistic** scheme
- **Spin-orbit** splitting is reproduced well from the bare interaction
- **benchmark** for various LDA calculations

# Different ab initio Methods for $^{40}\text{Ca}$ and $^{48}\text{Ca}$

- Energies, charge radii, matter radii, and  $\pi 1d$  spin-orbit splittings of  $^{40}\text{Ca}$  and  $^{48}\text{Ca}$  calculated by **RBHF** with Bonn A, comparing with data, **CC** with  $\text{N}^3\text{LO}$  G. Hagen, et al., *PRC* **82**, 034330 (2010) and with **AV18** G. Hagen, et al., *PRC* **76**, 044305 (2007), **BHF** B. Hu, et al., *PRC* **95**, 034321 (2017), **NCSM** R. Roth, et al., *PRL* **99**, 092501 (2007).

	$^{40}\text{Ca}$				$^{48}\text{Ca}$		
	E (MeV)	$r_c$ (fm)	$r_m$ (fm)	$\Delta E_{\pi 1d}^{1s}$ (MeV)	E (MeV)	$r_c$ (fm)	$\Delta E_{\pi 1d}^{1s}$ (MeV)
<b>Exp.</b>	<b>-342.1</b>	<b>3.48</b>	-	<b><math>6.6 \pm 2.5</math></b>	<b>-416.1</b>	<b>3.48</b>	<b>4.7</b>
<b>RBHF, Bonn A</b>	<b>-306.1</b>	<b>3.22</b>	<b>3.10</b>	<b>5.9</b>	<b>-357.3</b>	<b>3.25</b>	<b>2.7</b>
CC, $\text{N}^3\text{LO}$	-345.2	-	-	-	-396.5	-	-
CC, AV18	-502.9	-	-	-	-	-	-
BHF, AV18	-552.1	-	2.20	24.9	-	-	-
NCSM, AV18	-461.8	-	2.27	-	-	-	-

For RBHF      Storage: 1100 GB  
 CPU time: 1720 h (=72d)

Storage: 1800 GB  
 CPU time: 4900 h (=204 d)

- Results for  $^{40}\text{Ca}$  and  $^{48}\text{Ca}$  given by RBHF are similar as for  $^{16}\text{O}$ .
- CC with  $\text{N}^3\text{LO}$  reproduce the binding energy well, while other non-relativistic calculations give too much binding and too small radii.

## Problems of RBHF in finite nuclei:

1. Limitation to light spherical nuclei ( $^{16}\text{O}$ , Ca, ...)  
limitation in memory  
limitation in time (no parallelization for inversion)
2. Future goal: Softening of the bare relativistic force  
relativistic  $V_{\text{lowk}}$  (derived in nuclear matter)
3. Problem (since 40 years):  
**There is no full solution of RBHF in nuclear matter !**

## Relativistic Hartree-Fock in nucl. matter

$$H = H_0 + \Sigma = \beta M + \vec{\alpha} \vec{k} + \Sigma$$

Self-energy  $\Sigma$  in the Walecka model:

$$\Sigma = \beta S + V_0 + \vec{\alpha} \vec{V} = \begin{pmatrix} S + V_0 & \vec{\sigma} \vec{V} \\ \vec{\sigma} \vec{V} & -S + V_0 \end{pmatrix}$$

Self-energy  $\Sigma$  in BHF:

$$\Sigma_{12} = \sum_{34} G[\rho]_{1324} \rho_{43} = \begin{pmatrix} \Sigma^{++} & \Sigma^{+-} \\ \Sigma^{-+} & \Sigma^{--} \end{pmatrix}$$

## Conventional solution of RBHF in nucl. Matter:

Thompson-equation: (3D reduction of the Bethe-Salpeter Equation)

$$T^{++++}(E) = V^{++++} + V^{++++} \frac{1}{E - E_{kin}} T^{++++}(E)$$

Bethe-Goldstone equation

$$G^{++++}(W) = V^{++++} + V^{++++} \frac{Q}{W - E_{56}} G^{++++}(W)$$

Self energy:

$$\Sigma_{12}^{++} = \sum_{34} G_{1324}^{++++} \rho_{43}^{++} \quad \Sigma^{-+} = ???, \quad \Sigma^{--} = ???$$

# Approximations for $\Sigma^{*+}, \Sigma^{*-}$ ...

Perturbation theory:

Anastasio et al, PRC 23 (1981)

Projection onto Lorentz invariants: Horowitz et al NPA 464 (1987)

Greens-function techniques:

Weigel et al, PRC 38 (1988)

Momentum dependence of  $\Sigma^{*+}(p)$  is used to determine S and  $V_0$   
Brockmann et al, PRC 42 (1990)

Effective DBHF-method,

Schiller et al, EPJA 11 (2001)

Full solution ?????,

Katayama et al, PLB 747 (2015)

Full solution Spectator-Method

de Jong, Lenske, PRC 890 (1998)

# Full solution for $G^{++++}$ , $G^{+---}$ , $G^{--++}$ , ...

$$G^{-+++}(W) = V^{-+++} + V^{-+++} \frac{Q}{W - E_{56}} G^{++++}(W)$$

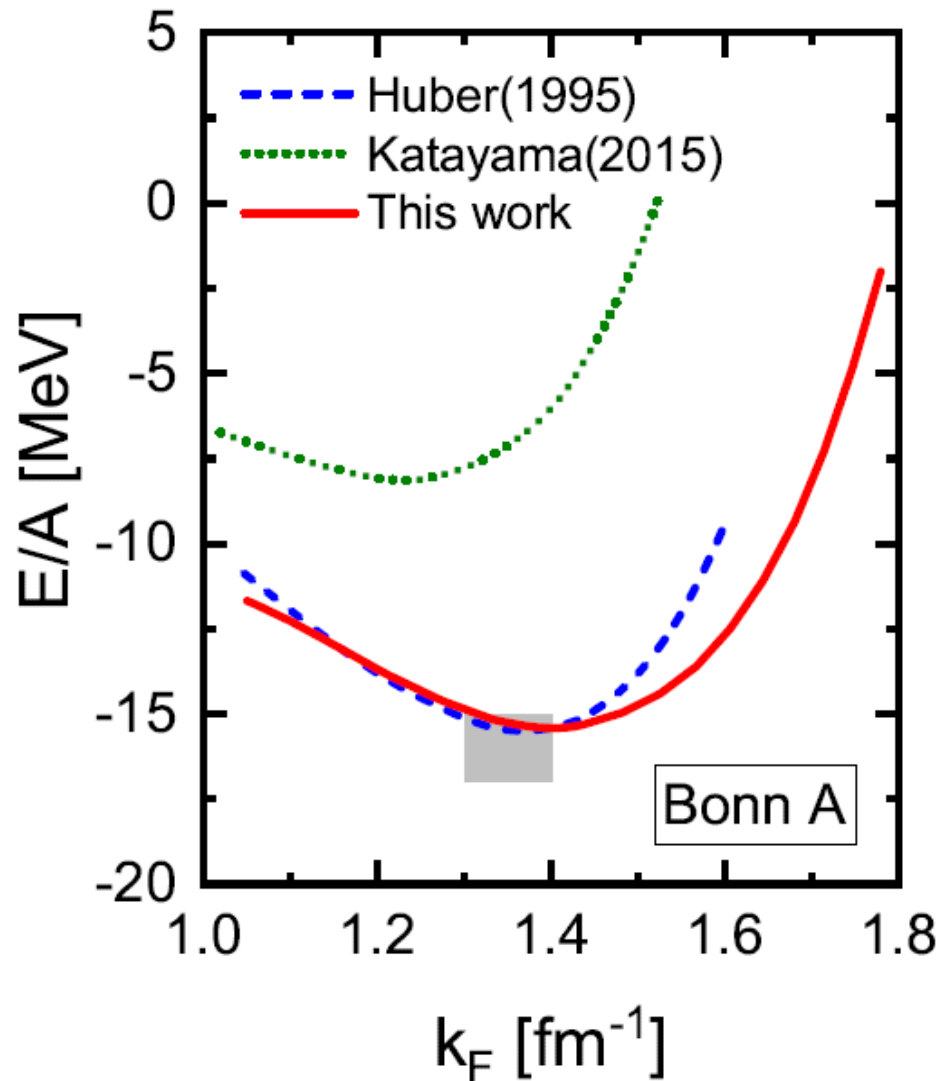
$${}^0G_J^{-+++} = {}^0V_J^{-+++} + \int \frac{M_{av}^{*2}}{E_{av}^{*2}} \frac{Q_{av}}{W - 2E_{av}} [{}^0V_J^{-+++} \cdot {}^0G_J^{++++} + {}^2V_J^{-+++} \cdot {}^3G_J^{++++}],$$

$${}^1G_J^{-+++} = {}^1V_J^{-+++} + \int \frac{M_{av}^{*2}}{E_{av}^{*2}} \frac{Q_{av}}{W - 2E_{av}} [{}^3V_J^{-+++} \cdot {}^2G_J^{++++} + {}^1V_J^{-+++} \cdot {}^1G_J^{++++}],$$

$${}^2G_J^{-+++} = {}^2V_J^{-+++} + \int \frac{M_{av}^{*2}}{E_{av}^{*2}} \frac{Q_{av}}{W - 2E_{av}} [{}^0V_J^{-+++} \cdot {}^2G_J^{++++} + {}^2V_J^{-+++} \cdot {}^1G_J^{++++}],$$

$${}^3G_J^{-+++} = {}^3V_J^{-+++} + \int \frac{M_{av}^{*2}}{E_{av}^{*2}} \frac{Q_{av}}{W - 2E_{av}} [{}^3V_J^{-+++} \cdot {}^0G_J^{++++} + {}^1V_J^{-+++} \cdot {}^3G_J^{++++}],$$

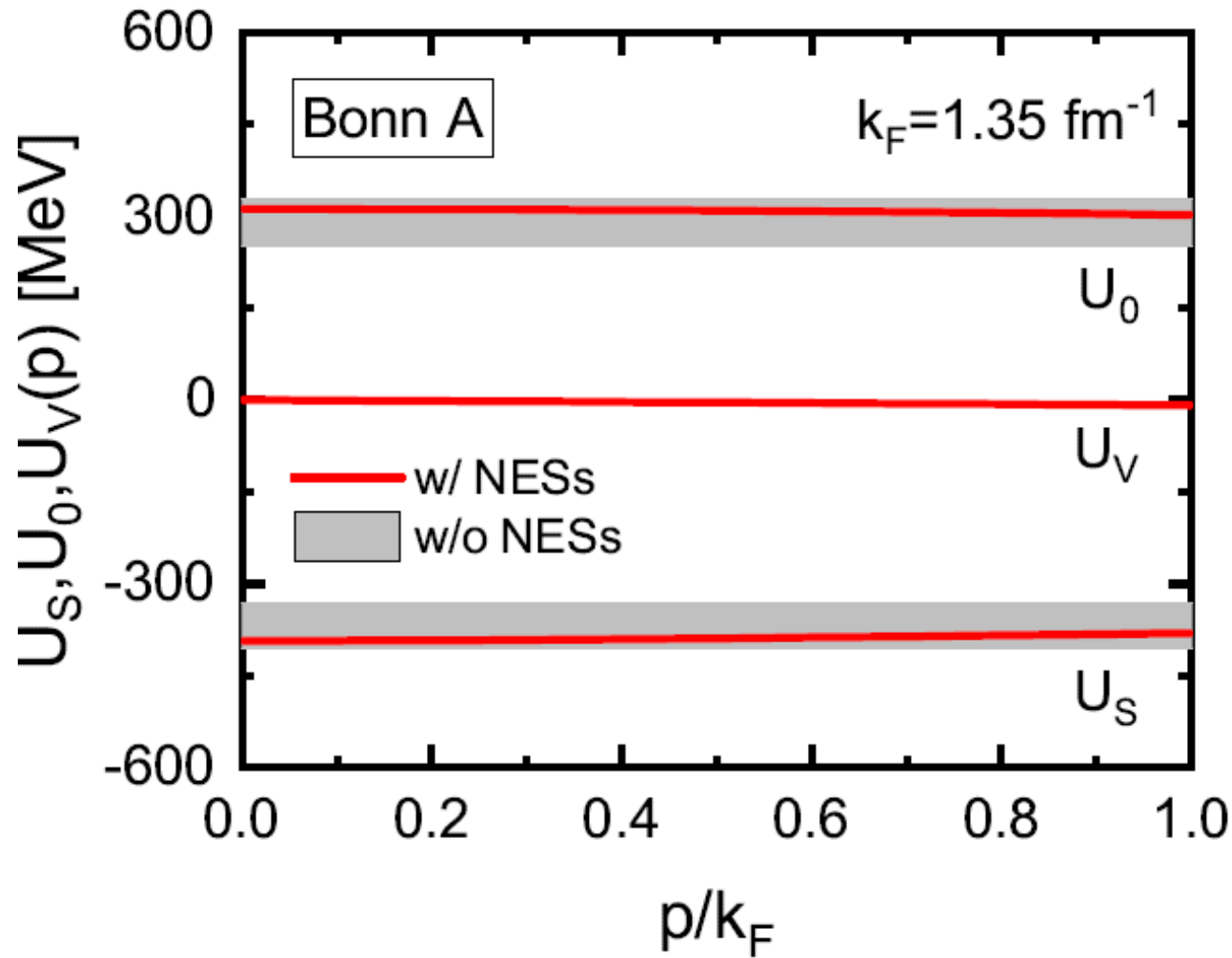
## Results for symmetric nuclear matter:



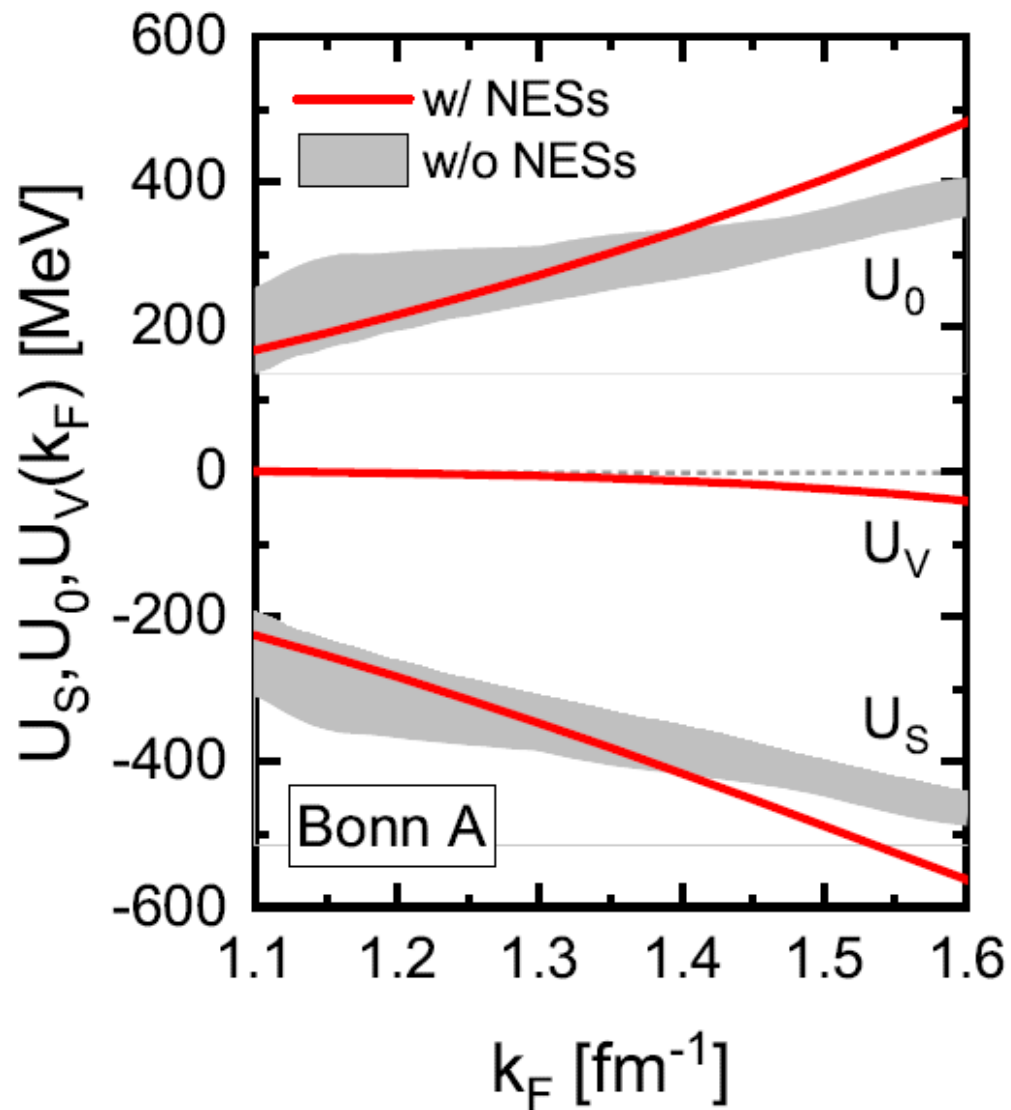


# Momentum dependence of the effective mass:

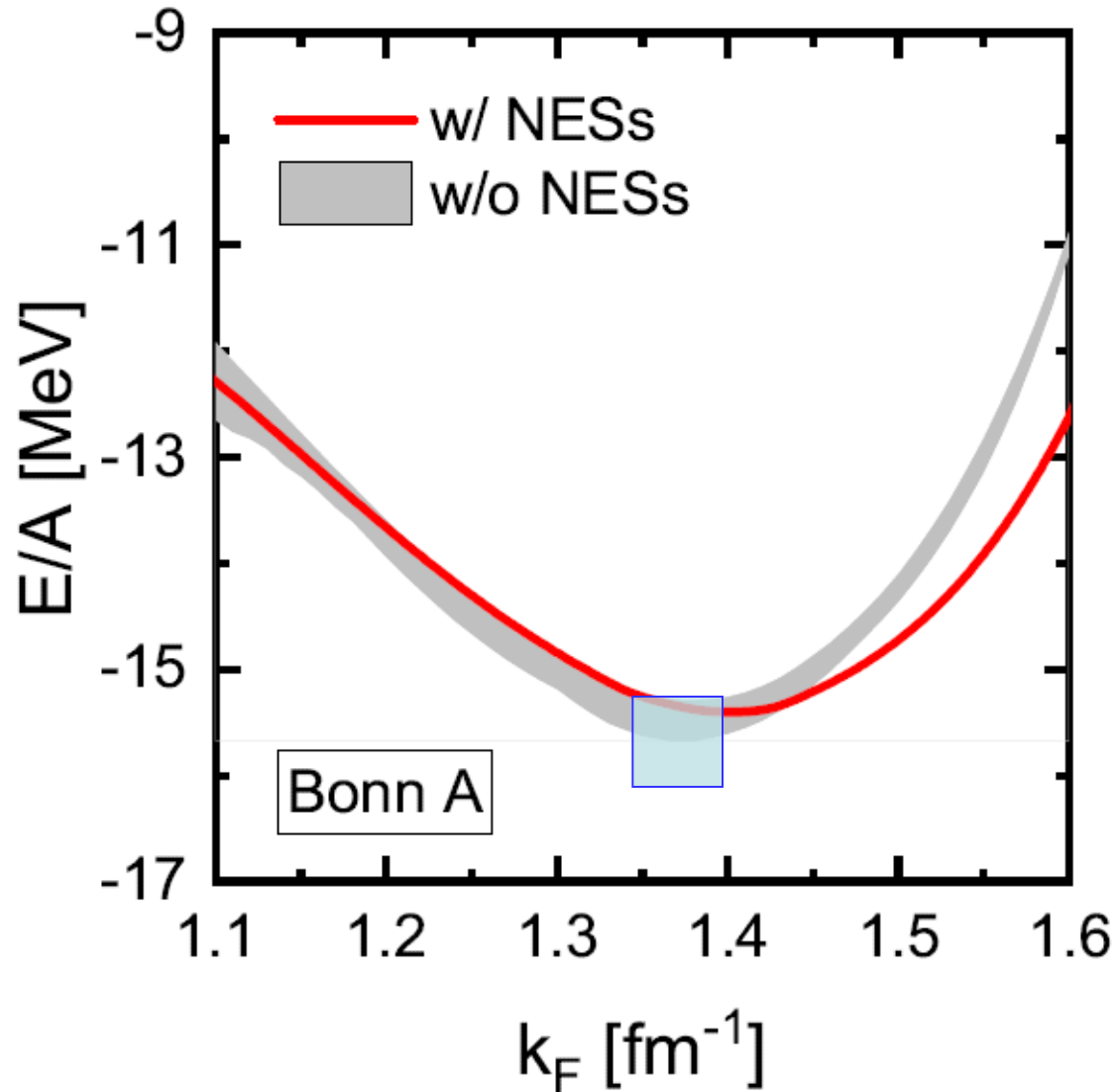
$$\Sigma(p) = \beta U_S(p) + U_0(p) + \vec{\alpha} \hat{p} U_V(p) \dots$$



## Density dependence:



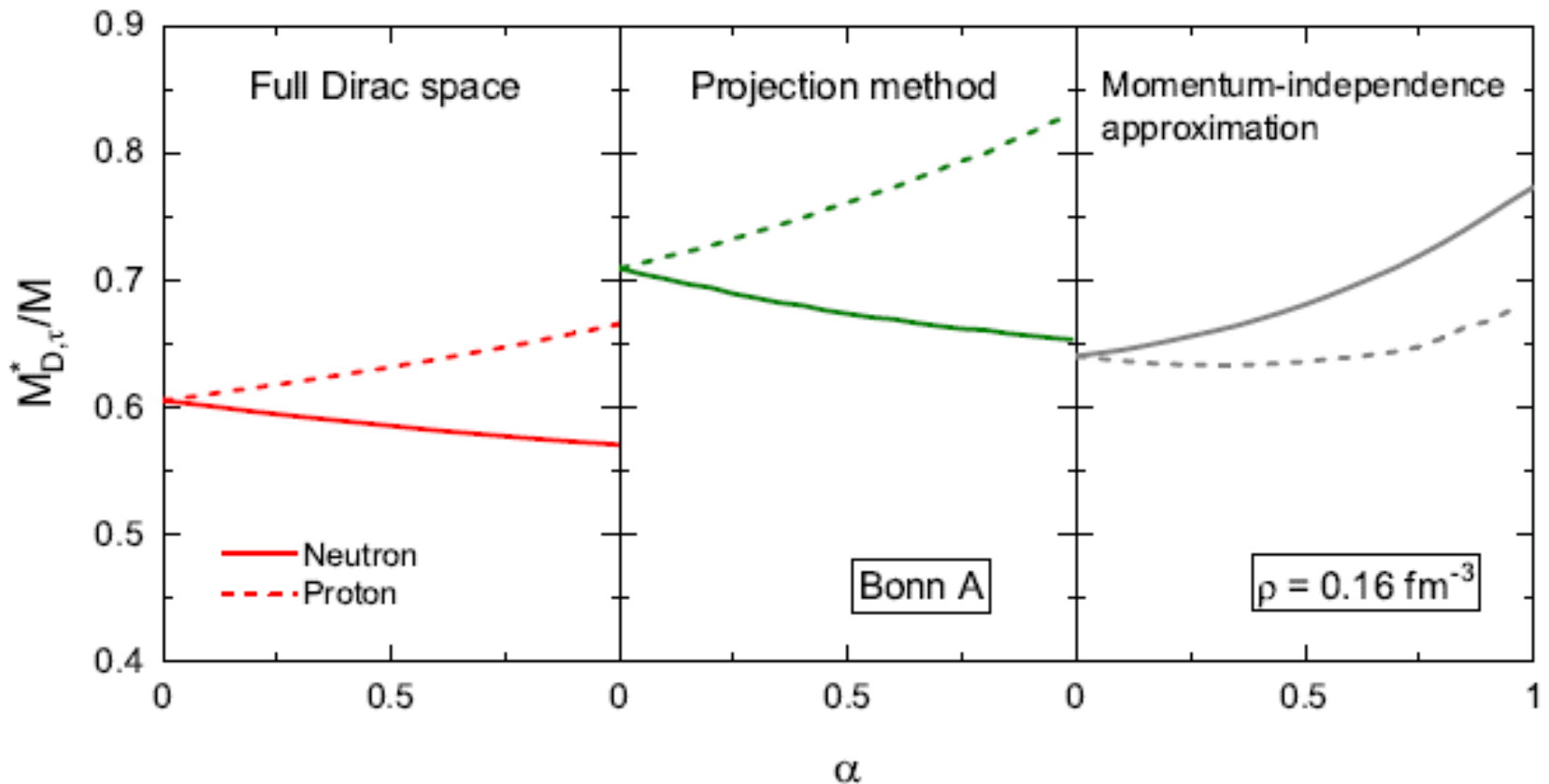
# Equation of state:



## Properties of symmetric nuclear matter:

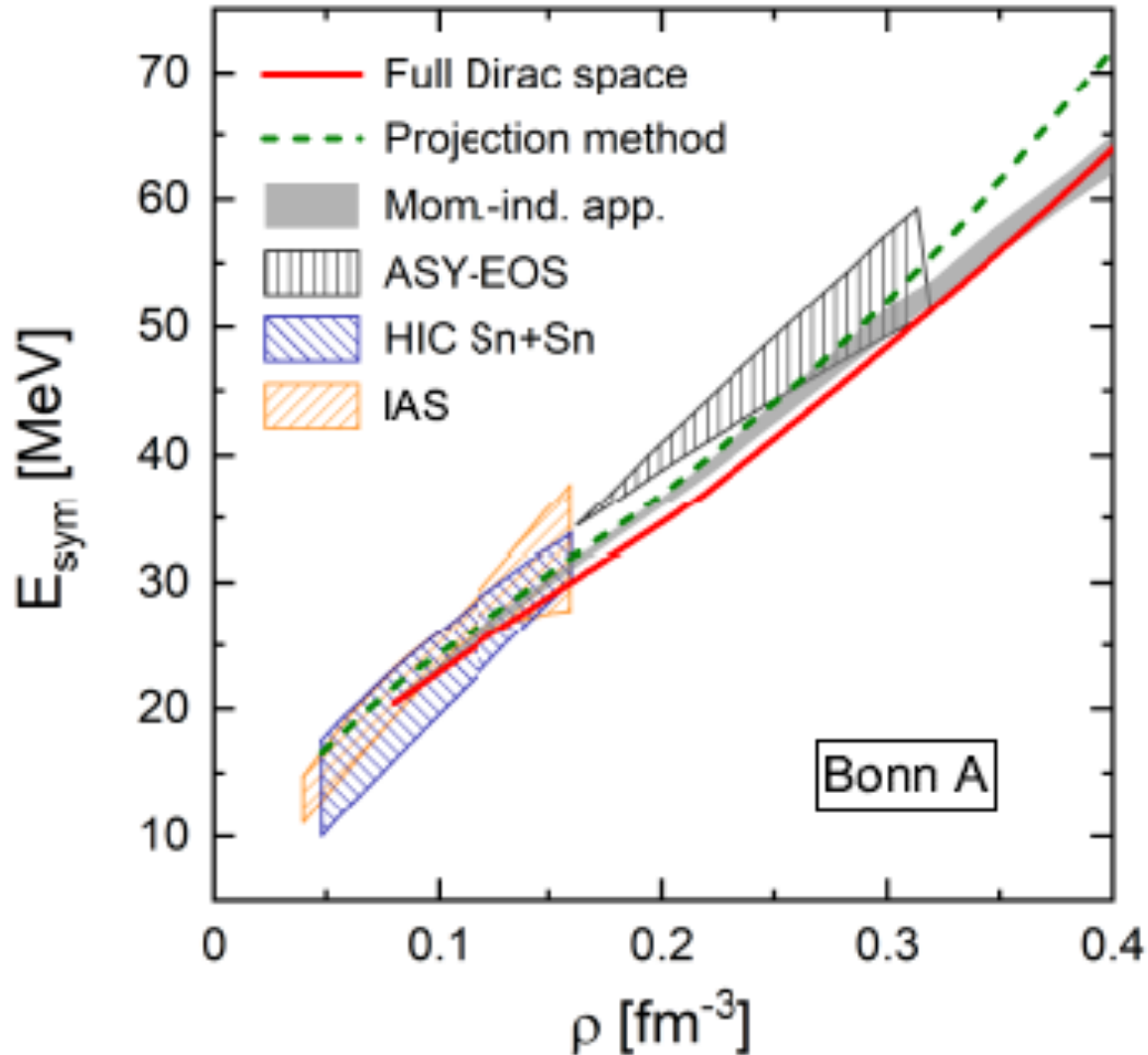
Potential	$\rho_0$ [fm <sup>-3</sup> ]	$E/A$ [MeV]	$K_\infty$ [MeV]	$M_D^*/M$
RBHF Bonn A	0.188	-15.40	258	0.55
RBHF Bonn B	0.164	-13.36	206	0.61
RBHF Bonn C	0.144	-12.09	150	0.65
BHF Bonn A	0.428	-23.55	204	
BHF Bonn B	0.309	-18.30	160	
BHF Bonn C	0.247	-15.75	103	
NL3	0.148	-16.30	272	0.60
DD-ME2	0.152	-16.14	251	0.57
DD-PC1	0.152	-16.06	230	0.58
PC-PK1	0.154	-16.12	238	0.59
PKO1	0.152	-16.00	250	0.59
Empirical	0.16 ±0.01	-16±1	240±20	

# Isospin dependence of the effective Dirac mass:

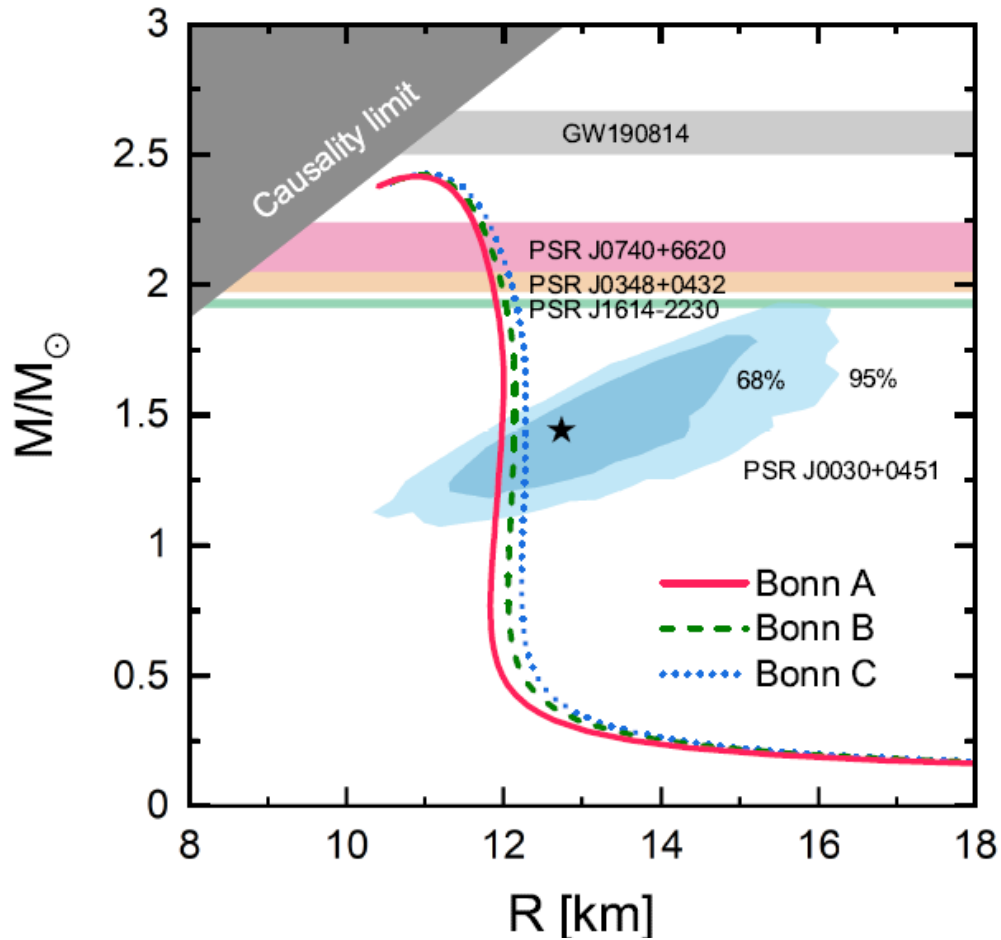


asymmetry parameter:  $\alpha = (\rho_n - \rho_p)/\rho$  at saturation

# Symmetry energy:



# mass-radius relations for neutron stars:



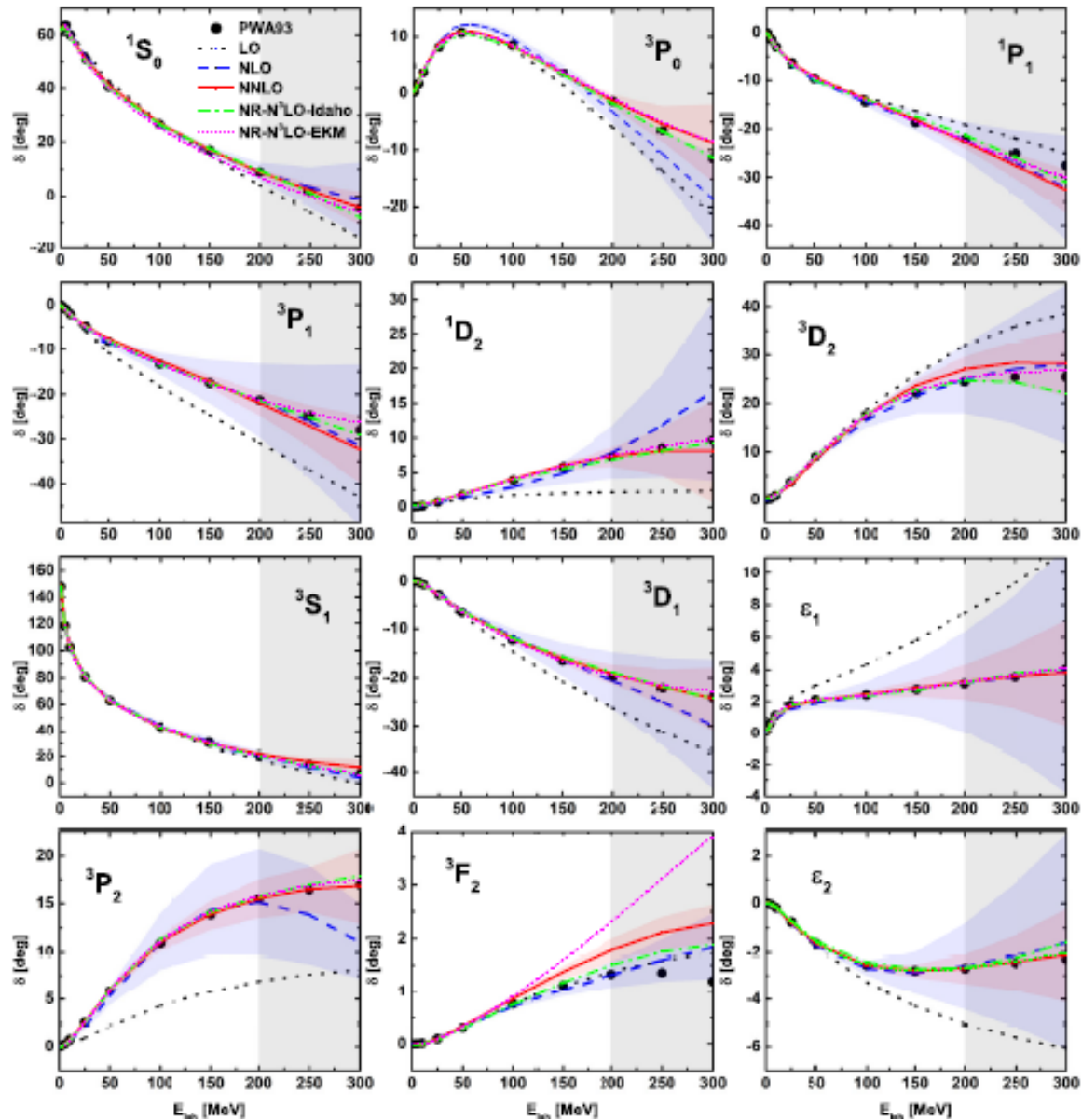
## Conclusions:

- RBHF is a successful **microscopic tool**
- Full solution in nuclear matter was missing **since 40 years**  
This gap is now solved  
Exact results are in agreement with earlier approximations

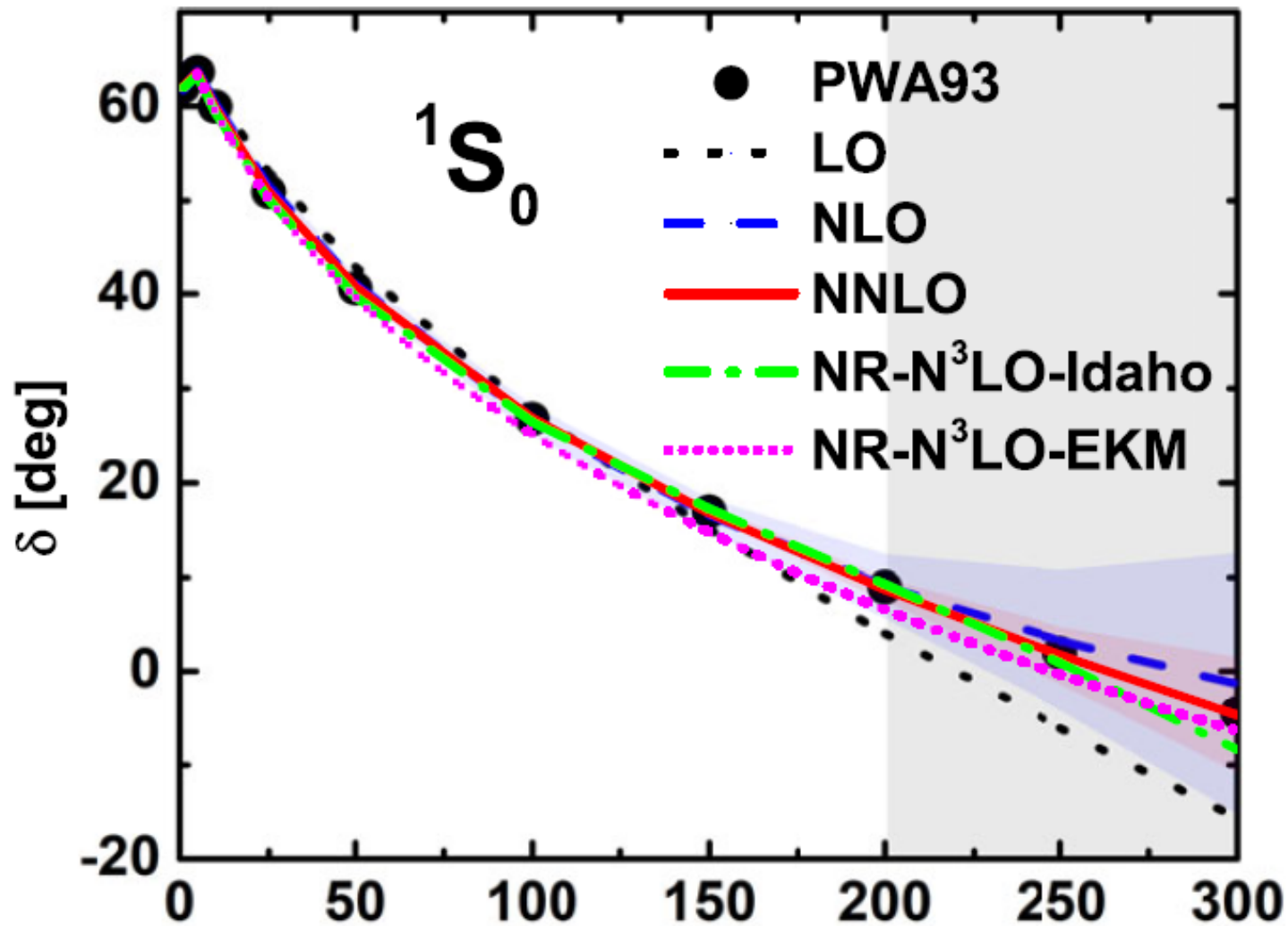
## How to improve the results?

- Relativistic  $V_{\text{low}k}$  ?
- Other relativistic NN-forces ?
- Relativistic **NNN-forces** ?
- Extended Brueckner theory (**3 hole lines ...**)?





# Chiral rel. NNLO force:



## Outlook for the future:

- simplify the calculations:
  - Brueckner theory with renormalized forces ( $V_{\text{low } k}$ ) ...
  - Local density approximation under control
- heavy nuclei and the tensor force
- open shell nuclei: pairing, deformation
- optical potential
- short range correlations

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*Thank  
you*