

Review on S-matrix bootstrap

Yifei He

CNRS & LPENS, Paris

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Snowmass White Paper: S-matrix Bootstrap

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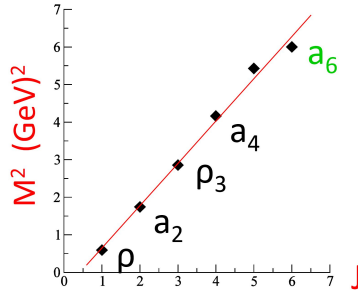
Balt C. van Rees

*CPHT, CNRS, Ecole Polytechnique,
Institut Polytechnique de Paris,
Route de Saclay, 91128 Palaiseau, France*



Old S-matrix bootstrap

before quark model: ideas developed for understanding strong interaction



Regge trajectory

$$A(s, t) = \frac{\Gamma(-\alpha(s))\Gamma(-\alpha(t))}{\Gamma(-\alpha(s) - \alpha(t))}$$

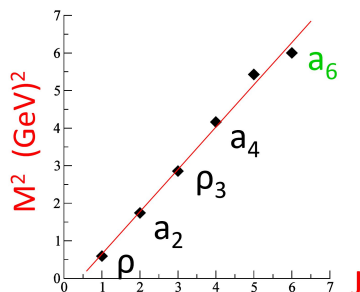
Veneziano amplitude



string theory

Old S-matrix bootstrap

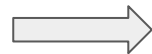
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Regge trajectory

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string theory

S-matrix bootstrap: solve strong interaction in a self-consistent way
symmetries+analyticity+crossing+unitarity

hindsight: too optimistic

infinitely many consistent QFTs compatible with bootstrap principles

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infinitely many consistent QFTs compatible with bootstrap principles

Modern perspective:

symmetries+analyticity+crossing+unitarity

+

convex optimization

hindsight: too optimistic

infinitely many consistent QFTs compatible with bootstrap principles

Modern perspective:

symmetries+analyticity+crossing+unitarity

+

convex optimization

- explore the landscape of QFTs non-perturbatively
- bound physical quantities
- extremal theories

2-to-2 S-matrix

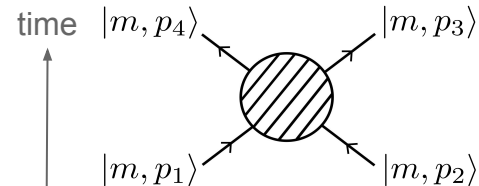
$$\mathbf{S} = U(+\infty, -\infty) \quad \mathbf{S}^\dagger \mathbf{S} = \mathbb{I}$$

2-to-2 S-matrix

$$\mathbf{S} = U(+\infty, -\infty) \quad \mathbf{S}^\dagger \mathbf{S} = \mathbb{I}$$

2-to-2 scattering
lightest particle in
massive QFT

$$s = (p_1 + p_2)^2 \quad t = (p_1 - p_3)^2 \quad u = (p_1 - p_4)^2$$
$$p_i^2 = m^2 \quad s + t + u = 4m^2$$



$$\langle p_3, p_4 | \mathbf{S} | p_1, p_2 \rangle = \boxed{S(s, t, u)} (2\pi)^d \delta^{(d)}(p_3 + p_4 - p_1 - p_2)$$

scattering amplitude

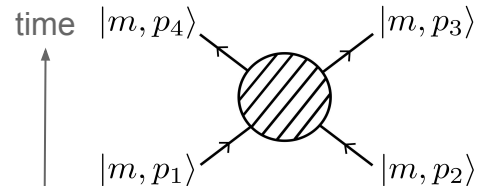
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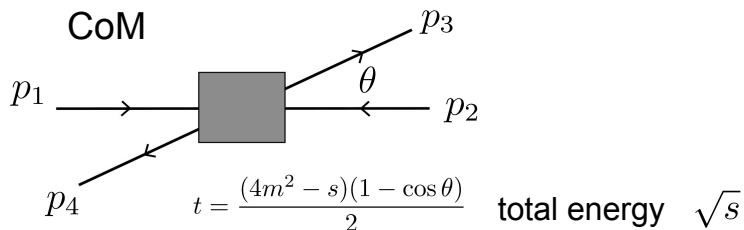
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scattering amplitude



physical kinematics: $s > 4m^2 \quad 4m^2 - s < t < 0$

2-particle irreps states $|p_1, p_2\rangle \rightarrow |p_1, \ell\rangle$

$$\langle p', \ell | \mathbf{S} | p, \ell \rangle = \boxed{S_\ell(s)} \delta_{\ell\ell'} (2\pi)^d \delta^{(d)}(p - p')$$

partial amplitude

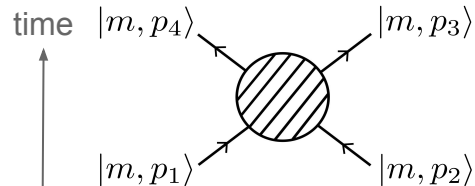
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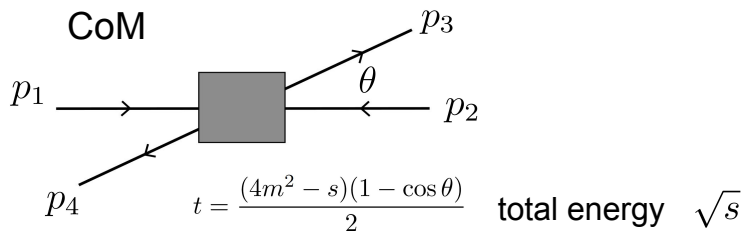
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scattering amplitude



$$\text{3+1d: } S_\ell(s) = \frac{\pi}{4} \sqrt{\frac{s-4}{s}} \int_{-1}^1 d\cos\theta P_\ell(\cos\theta) S(s^+, t)$$

2-particle irreps states $|p_1, p_2\rangle \rightarrow |p_1, \ell\rangle$

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Physical constraints: analyticity and crossing

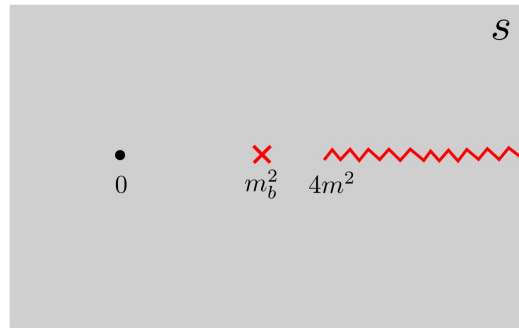
analyticity:

$S(s, t, u)$ analytic function in s, t, u

singularities \longleftrightarrow on-shell processes

poles: bound states

cuts: multiple particle states



Physical constraints: analyticity and crossing

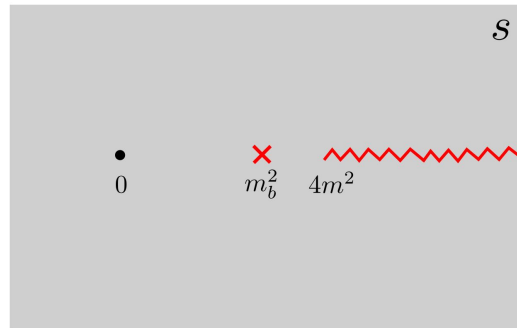
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crossing:

$$S(s, t, u) = S(s, u, t) = S(u, t, s)$$


e.g. single flavor case

Physical constraints: unitarity

$$\mathbf{S}^\dagger \mathbf{S} = \mathbb{I}$$

take a subsector D of state space, and a state $|\alpha\rangle \in D$

$$\langle \alpha | \mathbf{S}^\dagger \mathbf{S} | \alpha \rangle = \langle \alpha | \alpha \rangle$$

 *insert complete basis*


$$\sum_{|\beta\rangle \in D} \langle \alpha | \mathbf{S}^\dagger | \beta \rangle \langle \beta | \mathbf{S} | \alpha \rangle + \sum_{|\beta\rangle \notin D} \langle \alpha | \mathbf{S}^\dagger | \beta \rangle \langle \beta | \mathbf{S} | \alpha \rangle = \langle \alpha | \alpha \rangle$$

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 take $D \rightarrow$ subsector of two particle states $|p, \ell\rangle$


$$S_\ell^*(s) S_\ell(s) + (\text{positive stuff}) = 1$$

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unitarity:

$$|S_\ell(s)|^2 \leq 1 \quad s > 4m^2 \quad \forall \ell$$

$$\begin{pmatrix} 1 & S_\ell(s) \\ S_\ell^*(s) & 1 \end{pmatrix} \succeq 0$$

positive semidefinite \rightarrow convex space

1+1 d

no scattering angle $u = 0$ $t = 4m^2 - s$ *one independent Mandelstam variable* s $S(s)$

1+1 d

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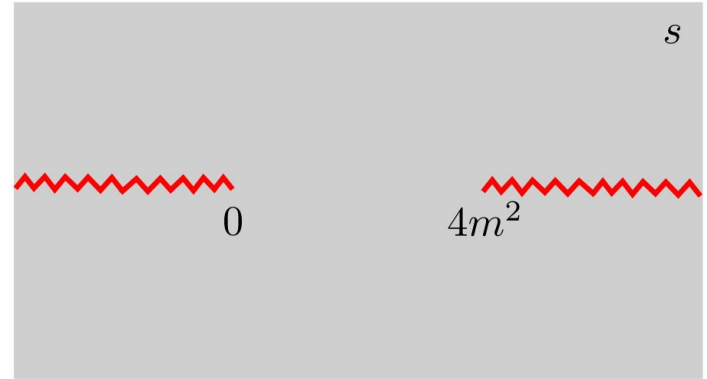
analyticity: analytic function $S(s^*) = S^*(s)$

cut $s > 4m^2$ *and possible poles*

crossing: $S(s) = S(t = 4m^2 - s)$

e.g. single flavor case

unitarity: $|S(s)| \leq 1$ $s > 4m^2$



1+1 d

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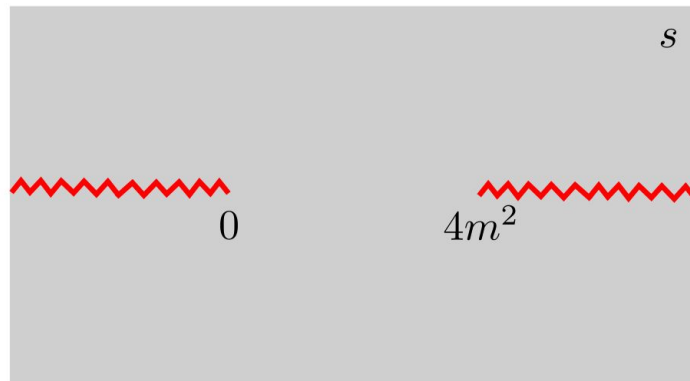
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specialty in 1+1d:

(Zamolodchikov ×2, 1970s)

analyticity+crossing+unitarity+factorization \longrightarrow exactly solvable integrable S-matrices

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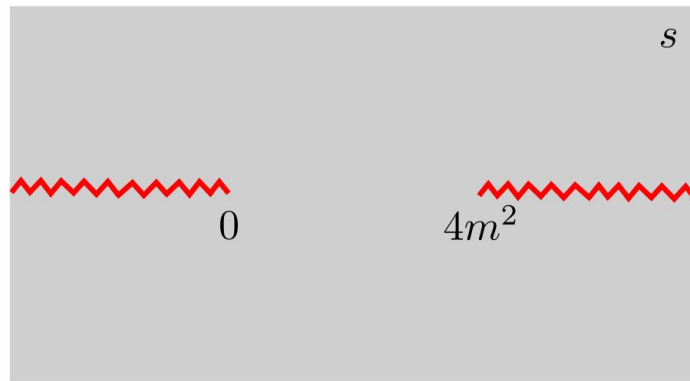
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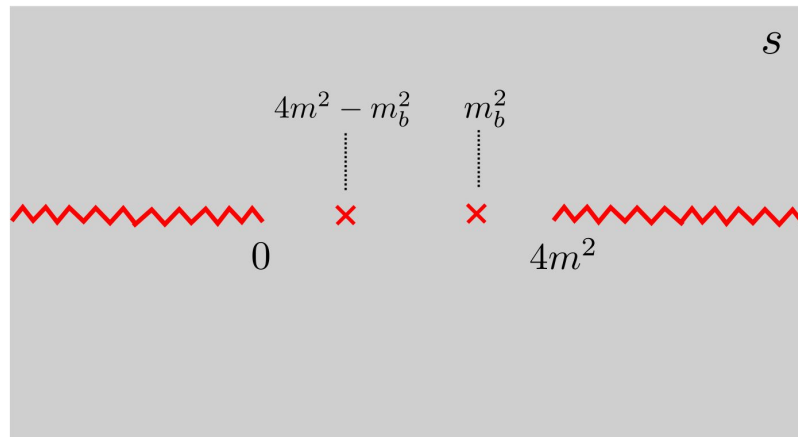
test ground for developing modern S-matrix bootstrap program

Modern S-matrix bootstrap program

[Paulos, Penedones, Toledo, van Rees, Vieira, 2016]

1+1d, single flavor, one bound state

$$S(s) = -\frac{g}{s - m_b^2} - \frac{g}{4m^2 - s - m_b^2} + \tilde{S}(s)$$



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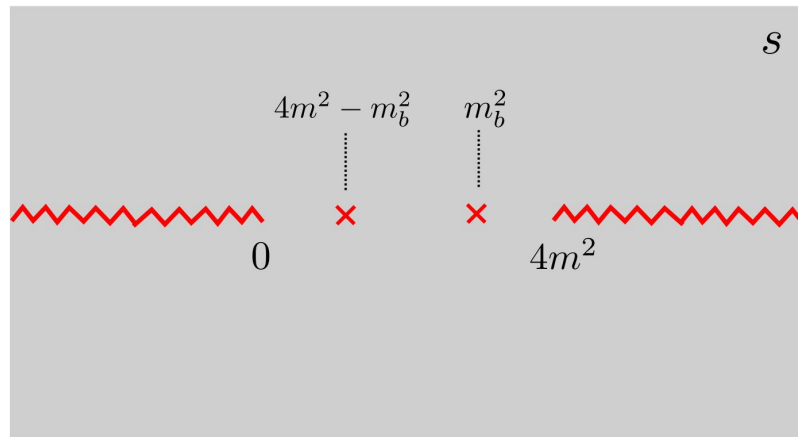
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unitarity

$$|S(s)| \leq 1, \quad s \geq 4m^2$$



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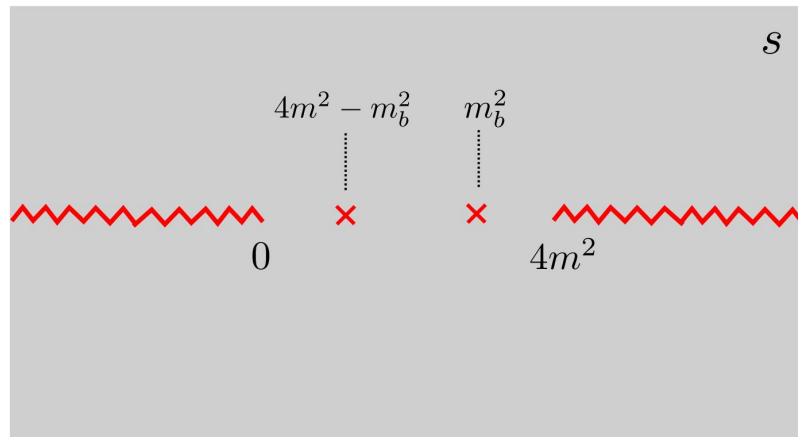
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fix $m_b, g^{\max} = ?$

bounding the cubic coupling



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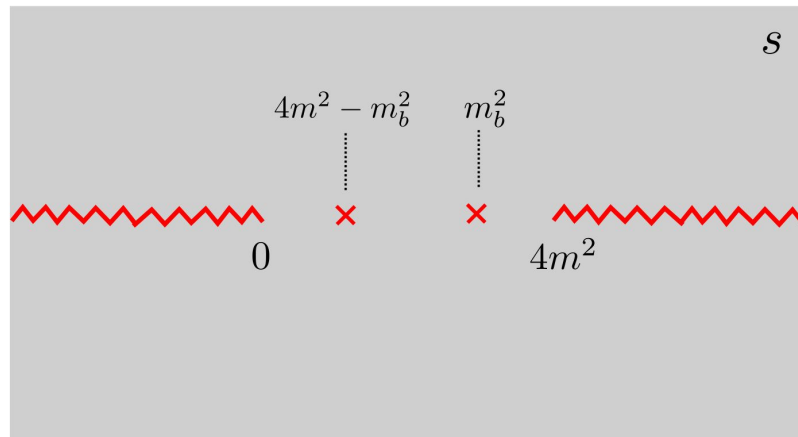
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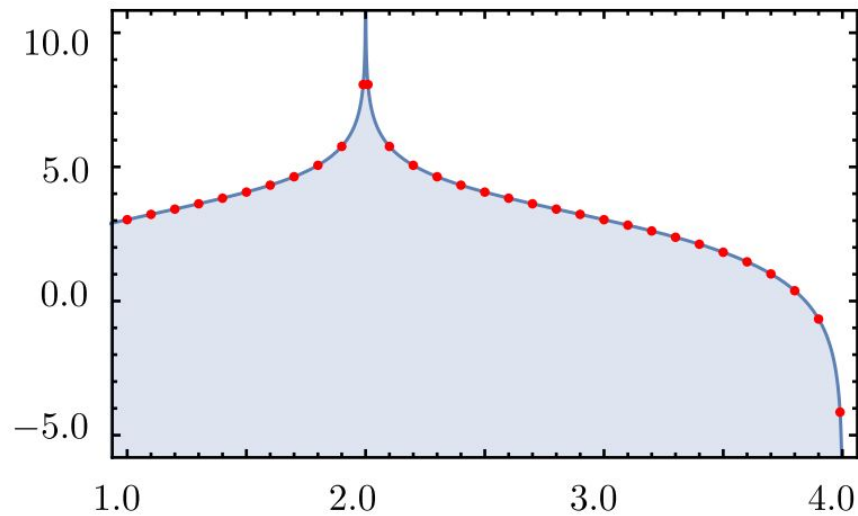
fix $m_b, g^{\max} = ?$

bounding the cubic coupling



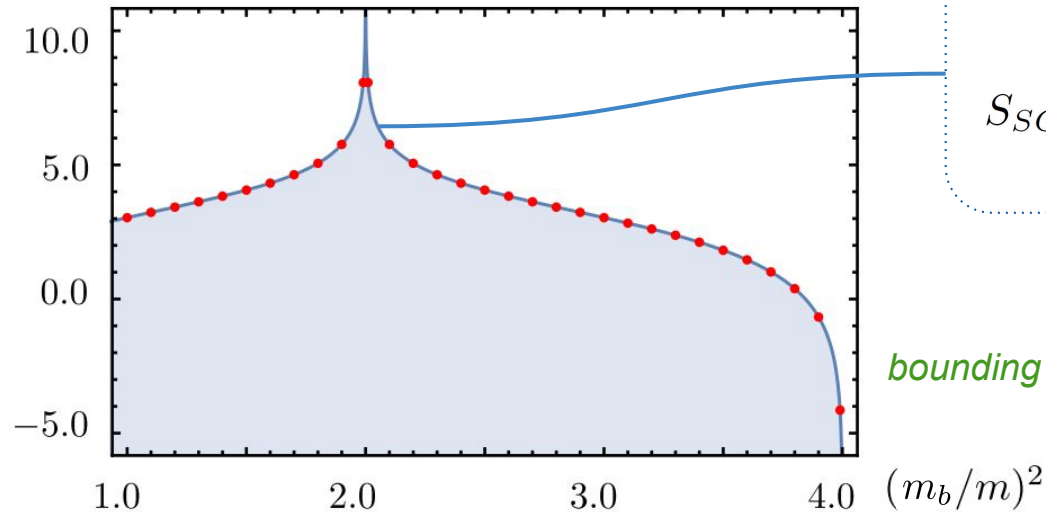
once the spectrum of states is given, it may not be possible to make a particular coupling constant too large without introducing new “bound” states

$$\log (g^{\max})^2$$



bounding physical quantity

$\log (g^{\max})^2$



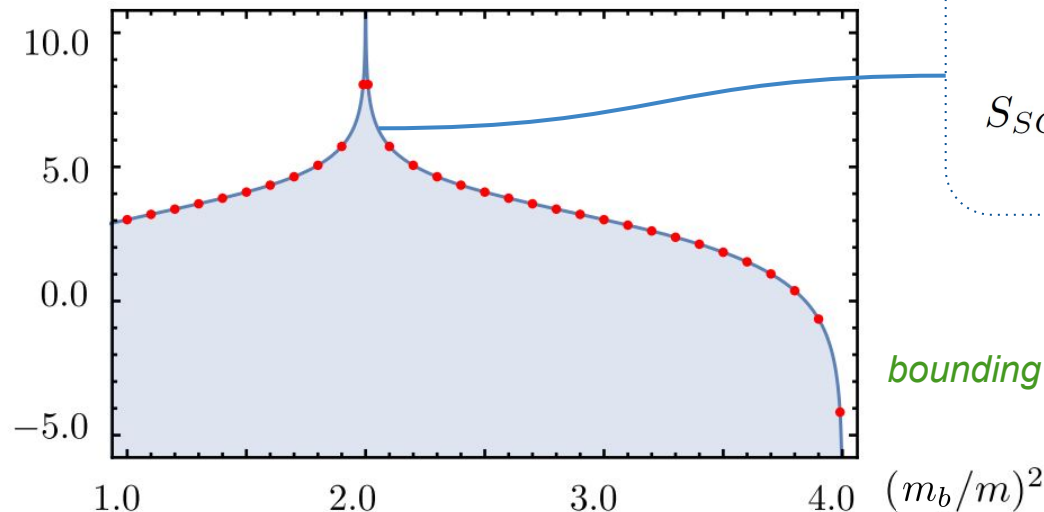
Sine-Gordon breather S-matrix

$$S_{SG}(s) = \frac{\sqrt{s}\sqrt{4m^2 - s} + m_b\sqrt{4m^2 - m_b^2}}{\sqrt{s}\sqrt{4m^2 - s} - m_b\sqrt{4m^2 - m_b^2}}$$

obtaining extremal amplitude

bounding physical quantity can be obtained analytically

$\log (g^{\max})^2$



Sine-Gordon breather S-matrix

$$S_{SG}(s) = \frac{\sqrt{s}\sqrt{4m^2 - s} + m_b\sqrt{4m^2 - m_b^2}}{\sqrt{s}\sqrt{4m^2 - s} - m_b\sqrt{4m^2 - m_b^2}}$$

obtaining extremal amplitude

bounding physical quantity can be obtained analytically

PHYSICAL REVIEW D

VOLUME 6, NUMBER 10

15 NOVEMBER 1972

Rigorous Bounds on Coupling Constants in Two-Dimensional Field Theories*

Michael Creutz

*Center for Theoretical Physics, Department of Physics and Astronomy,
University of Maryland, College Park, Maryland 20742*

(Received 13 July 1972)

We show that renormalized three-particle coupling constants in a field theory with one space and one time dimension are bounded. This bound depends on the particle spectrum and assumes only analyticity, crossing, unitarity, and polynomial boundedness of the S matrix at infinity.

Bounding the space of S-matrices

analyticity+crossing+unitarity

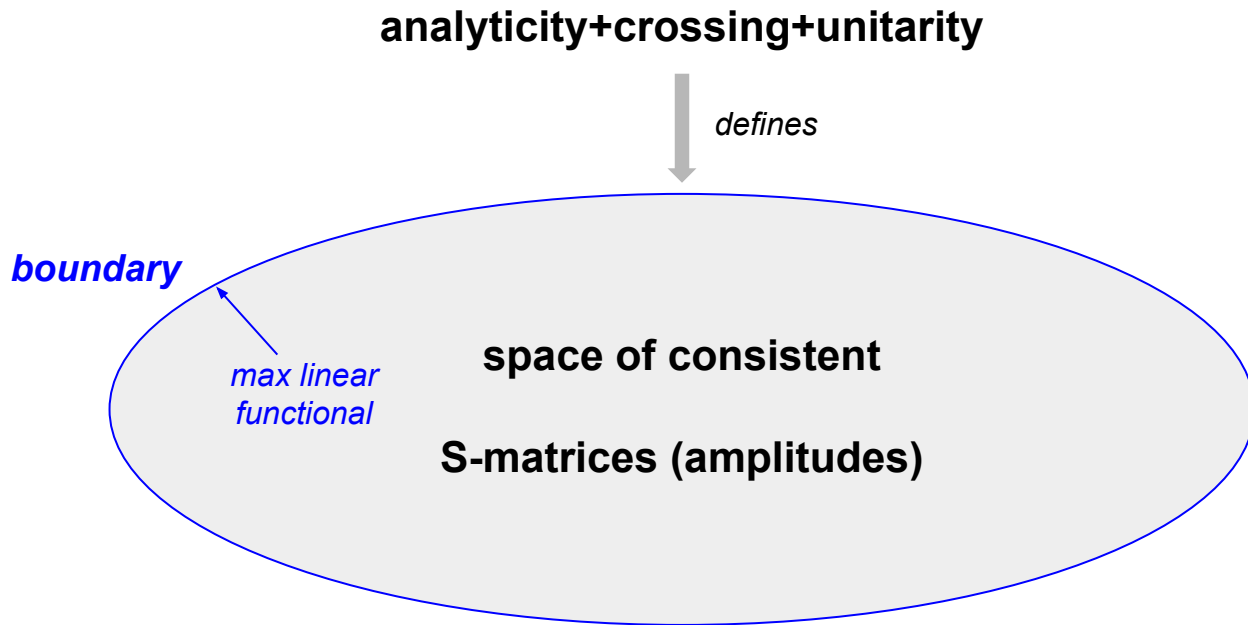


defines

space of consistent

S-matrices (amplitudes)

Bounding the space of S-matrices



Bounding the space of S-matrices

analyticity+crossing+unitarity

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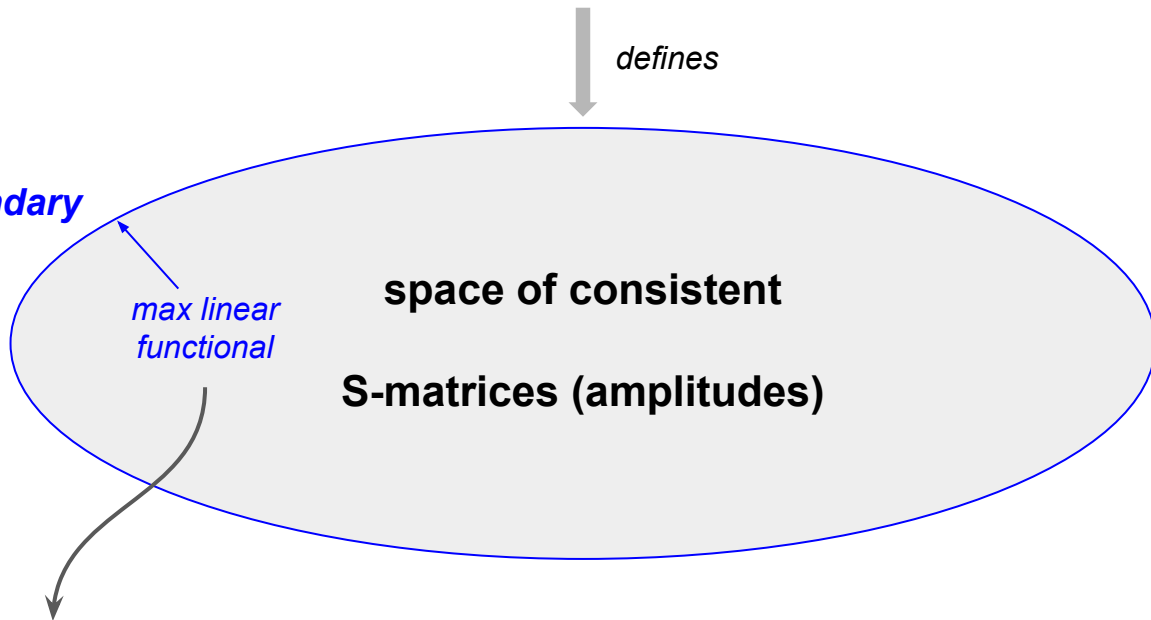
boundary

*max linear
functional*

**space of consistent
S-matrices (amplitudes)**

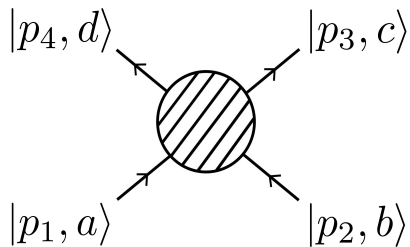
obtain the amplitude non-perturbatively

*bound the physical quantities and obtain
non-perturbative amplitudes at the same time*



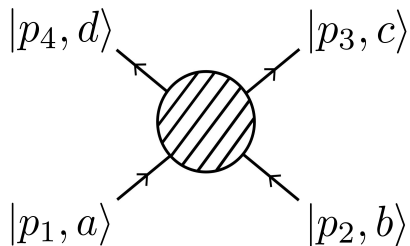
$O(N)$ global symmetry

tension between crossing and unitarity



O(N) global symmetry

tension between crossing and unitarity

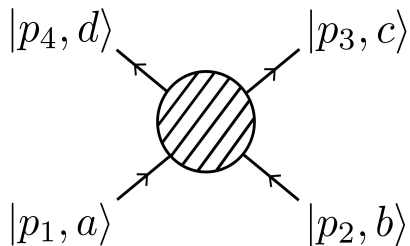


$$\begin{aligned} \langle p_3, c; p_4, d | \mathbf{S} | p_1, a; p_2, b \rangle &= (2\pi)^2 \delta^{(2)}(p_3 + p_4 - p_1 - p_2) \\ &\times \left\{ S_{\text{singlet}}(s) \frac{\delta_{ab} \delta_{cd}}{N} + S_{\text{sym}}(s) \left(\frac{\delta_{ac} \delta_{bd} + \delta_{ad} \delta_{bc}}{2} - \frac{\delta_{ab} \delta_{cd}}{N} \right) + S_{\text{antisym}}(s) \frac{\delta_{ac} \delta_{bd} - \delta_{ad} \delta_{bc}}{2} \right\} \end{aligned}$$

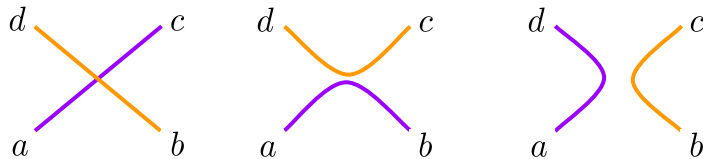
unitarity: $|S_a(s + i\epsilon)| \leq 1, \quad s \geq 4m^2 \quad a = \text{singlet, sym, antisym}$

O(N) global symmetry

tension between crossing and unitarity



crossing: $S_T(s) = S_T(4m^2 - s) \quad S_A(s) = S_R(4m^2 - s)$



$$\times \left(S_T(s) \delta_{ac} \delta_{bd} + S_A(s) \delta_{ab} \delta_{cd} + S_R(s) \delta_{ad} \delta_{bc} \right)$$

$$\langle p_3, c; p_4, d | \mathbf{S} | p_1, a; p_2, b \rangle = (2\pi)^2 \delta^{(2)}(p_3 + p_4 - p_1 - p_2)$$

$$\times \left\{ S_{\text{singlet}}(s) \frac{\delta_{ab} \delta_{cd}}{N} + S_{\text{sym}}(s) \left(\frac{\delta_{ac} \delta_{bd} + \delta_{ad} \delta_{bc}}{2} - \frac{\delta_{ab} \delta_{cd}}{N} \right) + S_{\text{antisym}}(s) \frac{\delta_{ac} \delta_{bd} - \delta_{ad} \delta_{bc}}{2} \right\}$$

unitarity: $|S_a(s + i\epsilon)| \leq 1, \quad s \geq 4m^2 \quad a = \text{singlet, sym, antisym}$

O(N) S-matrix bootstrap

[YH, Irrgang, Kruczenski; Cordova, Vieira; Paulos, Zheng, 2018]

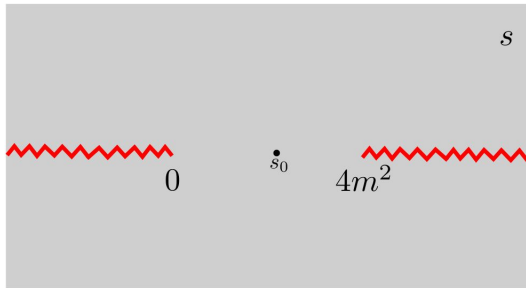
three analytic functions $S_a(s)$ $a = \text{singlet, sym, antisym}$

assume no bound states

parametrized by boundary values

numerics: discretize

$$S_a(s_i), \quad i = 1, \dots, M$$



O(N) S-matrix bootstrap

[YH, Irrgang, Kruczenski; Cordova, Vieira; Paulos, Zheng, 2018]

three analytic functions

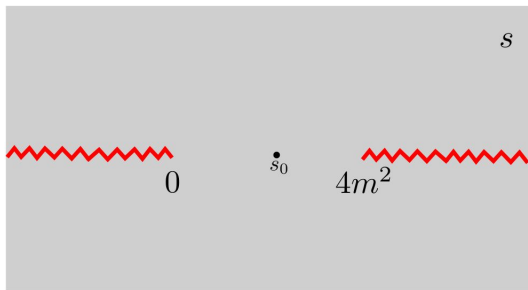
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parametrized by boundary values

numerics: discretize

$S_a(s_i), \quad i = 1, \dots, M$



$$S_a(4m^2 - s) = C_{ab} S_b(s) \quad C_{ab} = \begin{pmatrix} \frac{1}{N} & -\frac{N}{2} + \frac{1}{2} & \frac{N}{2} + \frac{1}{2} - \frac{1}{N} \\ -\frac{1}{N} & \frac{1}{2} & \frac{1}{2} + \frac{1}{N} \\ \frac{1}{N} & \frac{1}{2} & \frac{1}{2} - \frac{1}{N} \end{pmatrix}$$

crossing

linear constraints

*convex
space*

$$\begin{pmatrix} 1 & S_a(s) \\ S_a^*(s) & 1 \end{pmatrix} \succeq 0 \quad s \geq 4m^2$$

unitarity

O(N) S-matrix bootstrap

[YH, Irrgang, Kruczenski; Cordova, Vieira; Paulos, Zheng, 2018]

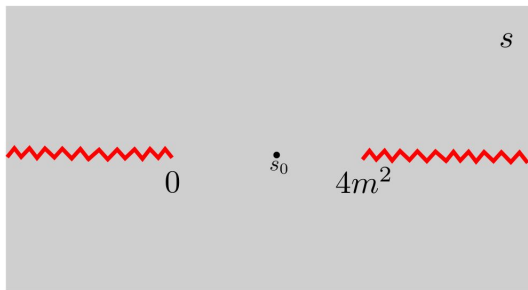
three analytic functions

assume no bound states

parametrized by boundary values

numerics: discretize

$$S_a(s_i), \quad i = 1, \dots, M$$



$$S_a(s) \quad a = \text{singlet, sym, antisym}$$

$$S_a(4m^2 - s) = C_{ab} S_b(s) \quad C_{ab} = \begin{pmatrix} \frac{1}{N} & -\frac{N}{2} + \frac{1}{2} & \frac{N}{2} + \frac{1}{2} - \frac{1}{N} \\ -\frac{1}{N} & \frac{1}{2} & \frac{1}{2} + \frac{1}{N} \\ \frac{1}{N} & \frac{1}{2} & \frac{1}{2} - \frac{1}{N} \end{pmatrix}$$

crossing

linear constraints

**convex
optimization**

*convex
space*

$$\begin{pmatrix} 1 & S_a(s) \\ S_a^*(s) & 1 \end{pmatrix} \succeq 0 \quad s \geq 4m^2$$

unitarity

*linear
functional*

maximize $\mathcal{F}[S_a(s)]$

NLSM

O(N) nonlinear sigma model

$$\mathcal{L} = \frac{1}{g_0} \sum_{i=1}^N (\partial_\mu n_i)^2 \quad \vec{n}^2 = 1$$

N scalar particles with mass m

no bound states

no free parameters

asymptotic freedom

[Polyakov, 1975]

exact S-matrix obtained using integrability

[Zamolodchikov x2, 1979]

NLSM @ a vertex

O(N) nonlinear sigma model

$$\mathcal{L} = \frac{1}{g_0} \sum_{i=1}^N (\partial_\mu n_i)^2 \quad \vec{n}^2 = 1$$

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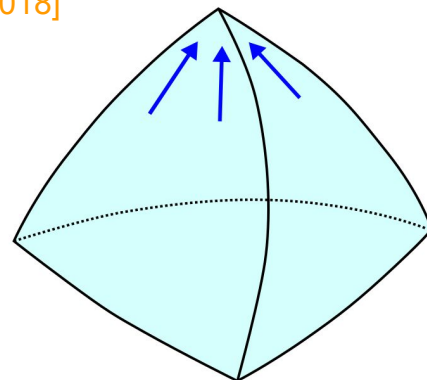
[Zamolodchikov ×2, 1979]

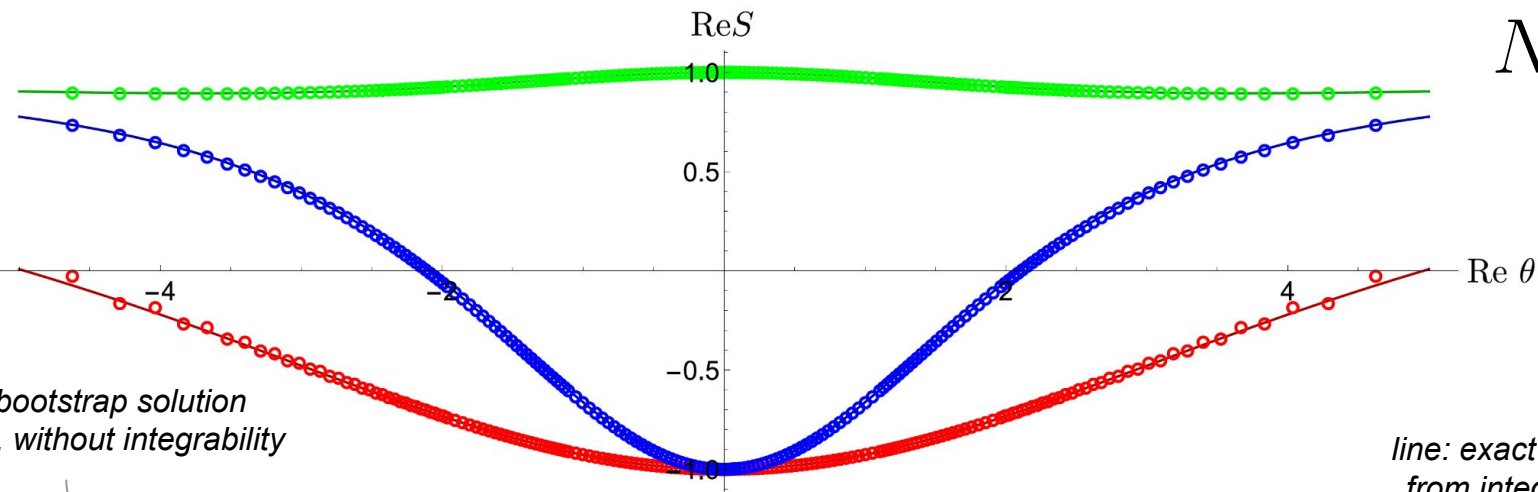
NLSM @ a vertex [YH, Irrgang, Kruczenski, 2018]

unitarity saturation \longrightarrow boundary of the space

no free parameters \longrightarrow rigid point \rightarrow vertex

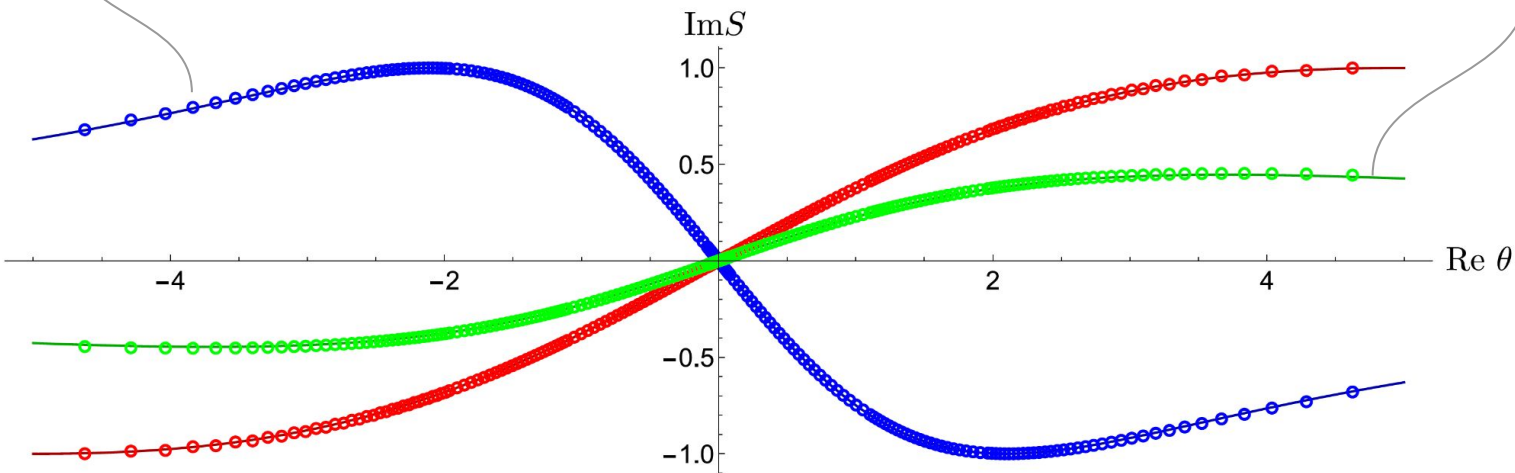
numerical evidence: many functionals lead to it



$N = 4$ $S^{I=0}$ 

*circles: bootstrap solution
find vertex, without integrability*

*line: exact solution
from integrability*

 $S^{I=2}$  $S^{I=1}$

Map out the space of $O(N)$ theories

rich geometrical structure

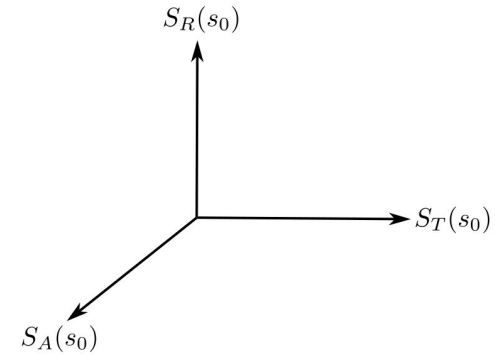
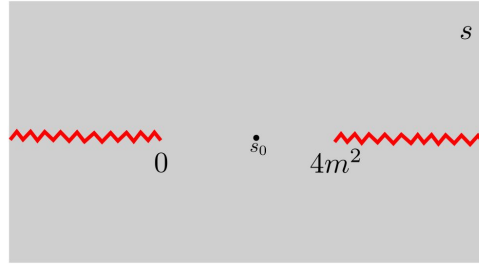
infinite dimensional space of functions $S_a(s)$

Map out the space of $O(N)$ theories

rich geometrical structure

infinite dimensional space of functions $S_a(s)$

3-dimensional projection: $(S_A(s_0), S_T(s_0), S_R(s_0))$

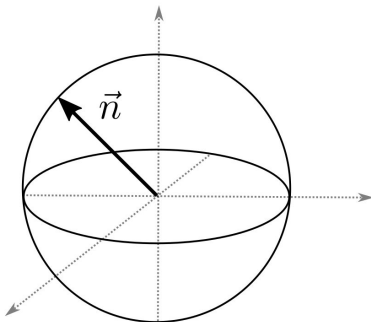
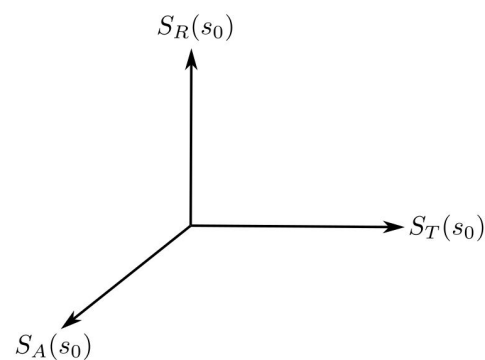
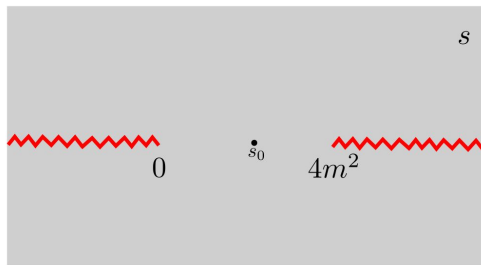


Map out the space of $O(N)$ theories

rich geometrical structure

infinite dimensional space of functions $S_a(s)$

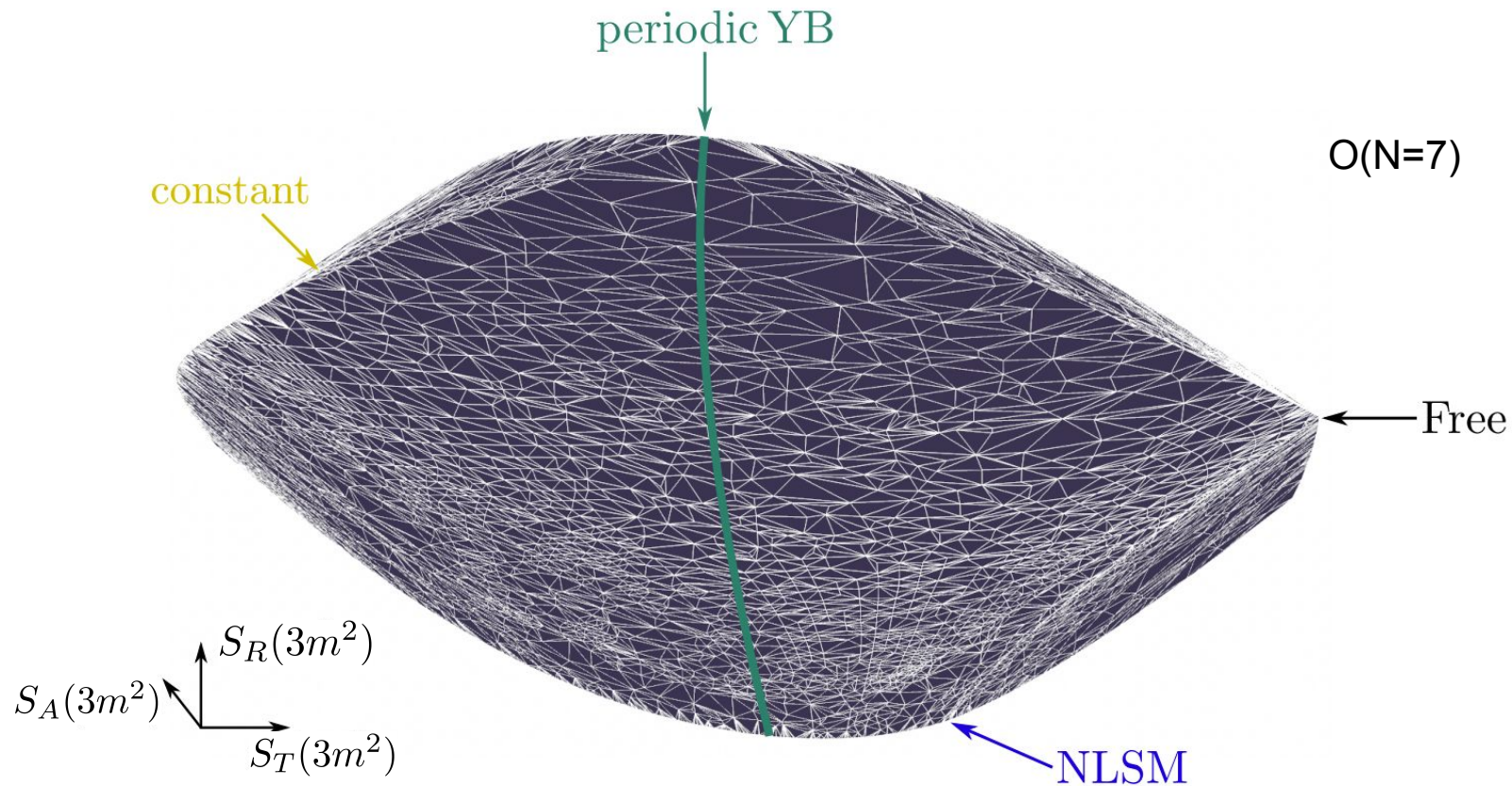
3-dimensional projection: $(S_A(s_0), S_T(s_0), S_R(s_0))$



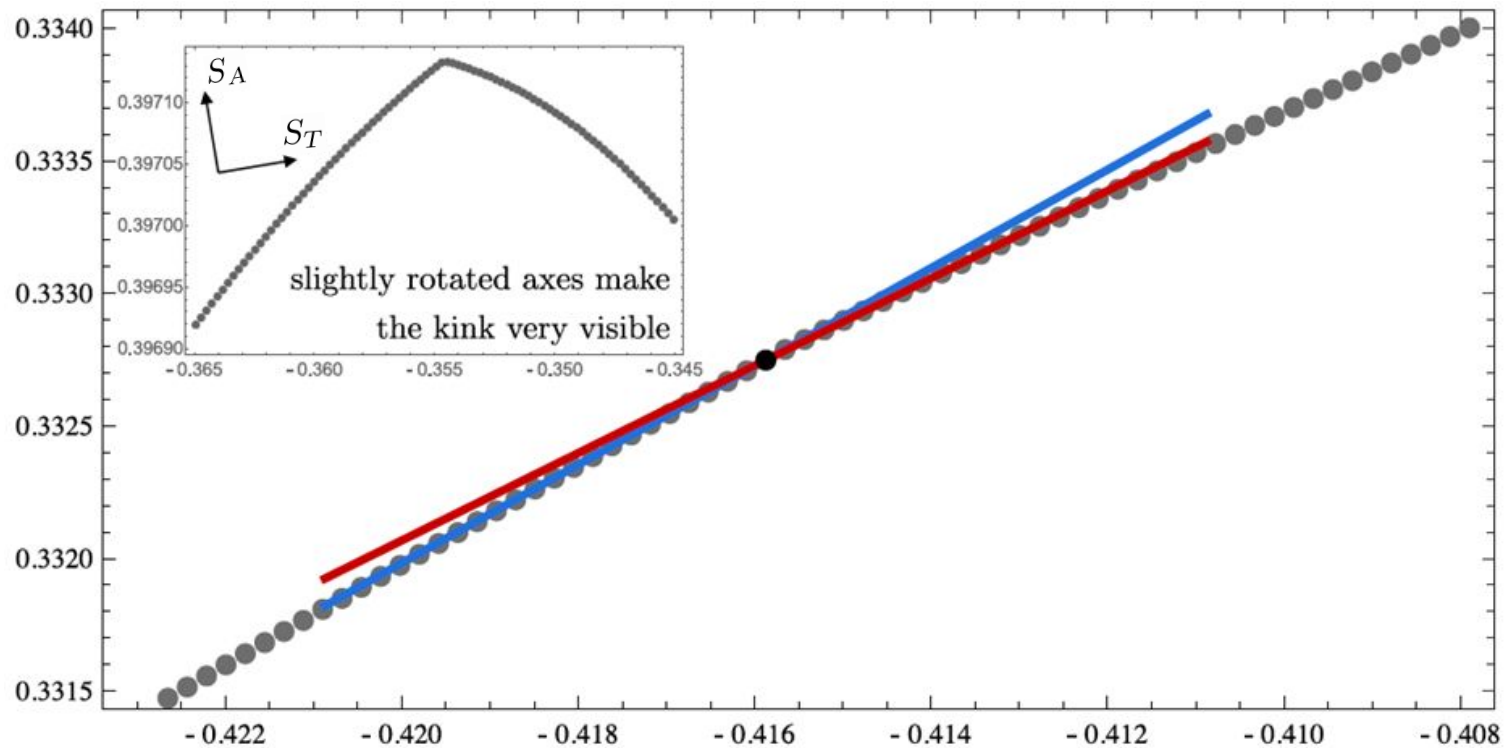
$$\mathcal{F}[S_a(s)] = \sum_a n_a S_a(s_0)$$

choose the vector $\vec{n} = (n_A, n_T, n_R)$
uniformly distributed on a unit sphere and scan

1+1d $O(N)$ monolith



The NLSM kink



Dual S-matrix bootstrap

explicitly construct scattering amplitudes satisfying all the constraints

parameter space $S(s)$



Dual S-matrix bootstrap

explicitly construct scattering amplitudes satisfying all the constraints

parameter space $S(s)$

space of Lagrange multipliers $K(s)$

Dual S-matrix bootstrap

explicitly construct scattering amplitudes satisfying all the constraints

parameter space $S(s)$ **Lagrangian formulation** space of Lagrange multipliers $K(s)$

$$\max_{\{S(s)\}} \mathcal{F}_P \leq \min_{\{K(s)\}} \mathcal{F}_D$$

Dual S-matrix bootstrap

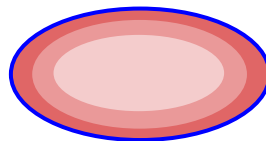
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primal approach

*solve constraints
increase parameter space
approach the boundary from inside*



Dual S-matrix bootstrap

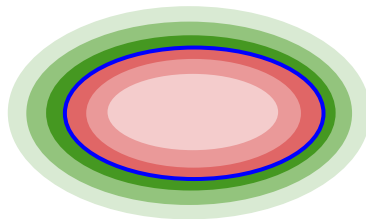
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primal approach

*solve constraints
increase parameter space
approach the boundary from inside*

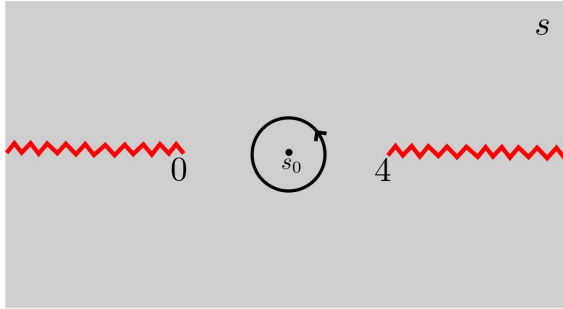


dual approach

*increase space of Lagrange multipliers
impose more and more constraints
approach the boundary from outside*

strict bounds

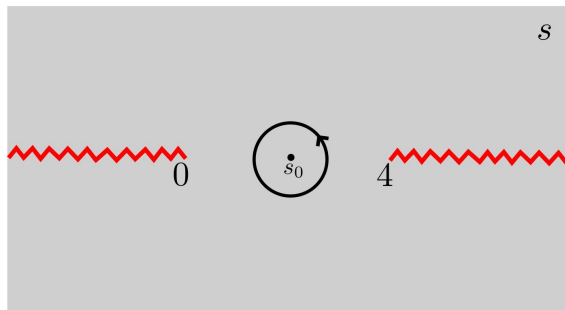
1+1d dual problem



$$S(s_0) = \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{S(s)}{s - s_0} ds = \frac{1}{2\pi i} \oint_{\mathcal{C}} S(s) K(s) ds$$

$K(s)$ has pole at s_0 with residue 1

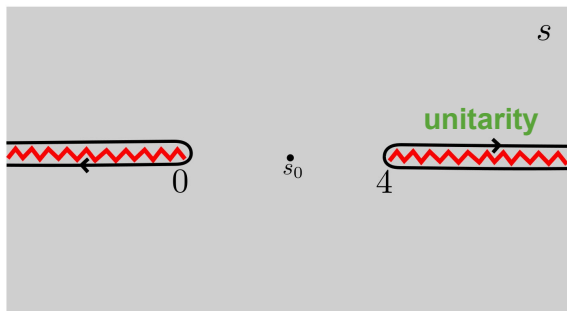
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blow up contour
drop infinity
assume properties

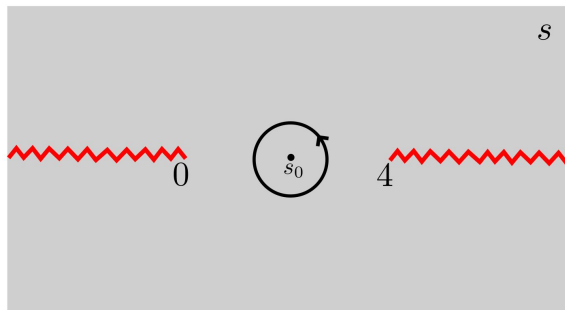
$K(s)$ has pole at s_0 with residue 1



$$= \frac{2}{\pi} \int_4^\infty \text{Im} [S(s) K(s)] ds$$

physical region integral

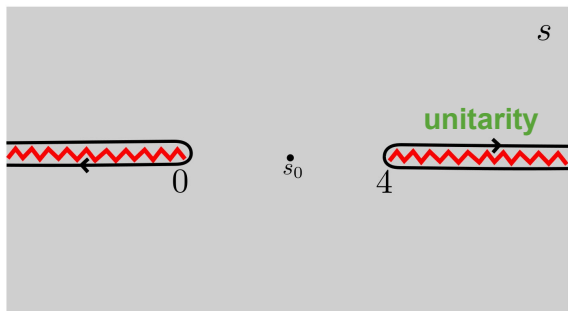
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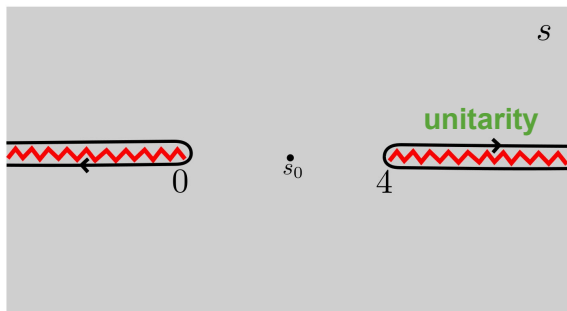
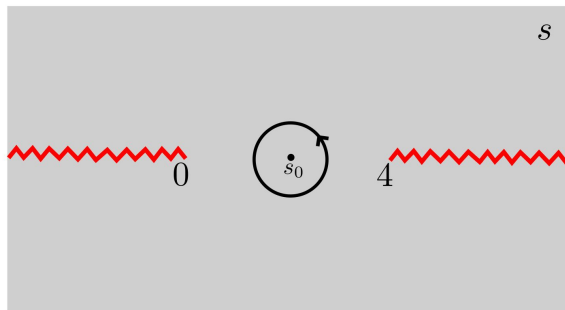


$$= \frac{2}{\pi} \int_4^\infty \text{Im} [S(s) K(s)] ds \leq \frac{2}{\pi} \int_4^\infty |S(s^+) K(s^+)| ds \leq \frac{2}{\pi} \int_4^\infty |K(s^+)| ds$$

physical region integral

unitarity

1+1d dual problem



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blow up contour
drop infinity
assume properties

$K(s)$ has pole at s_0 with residue 1

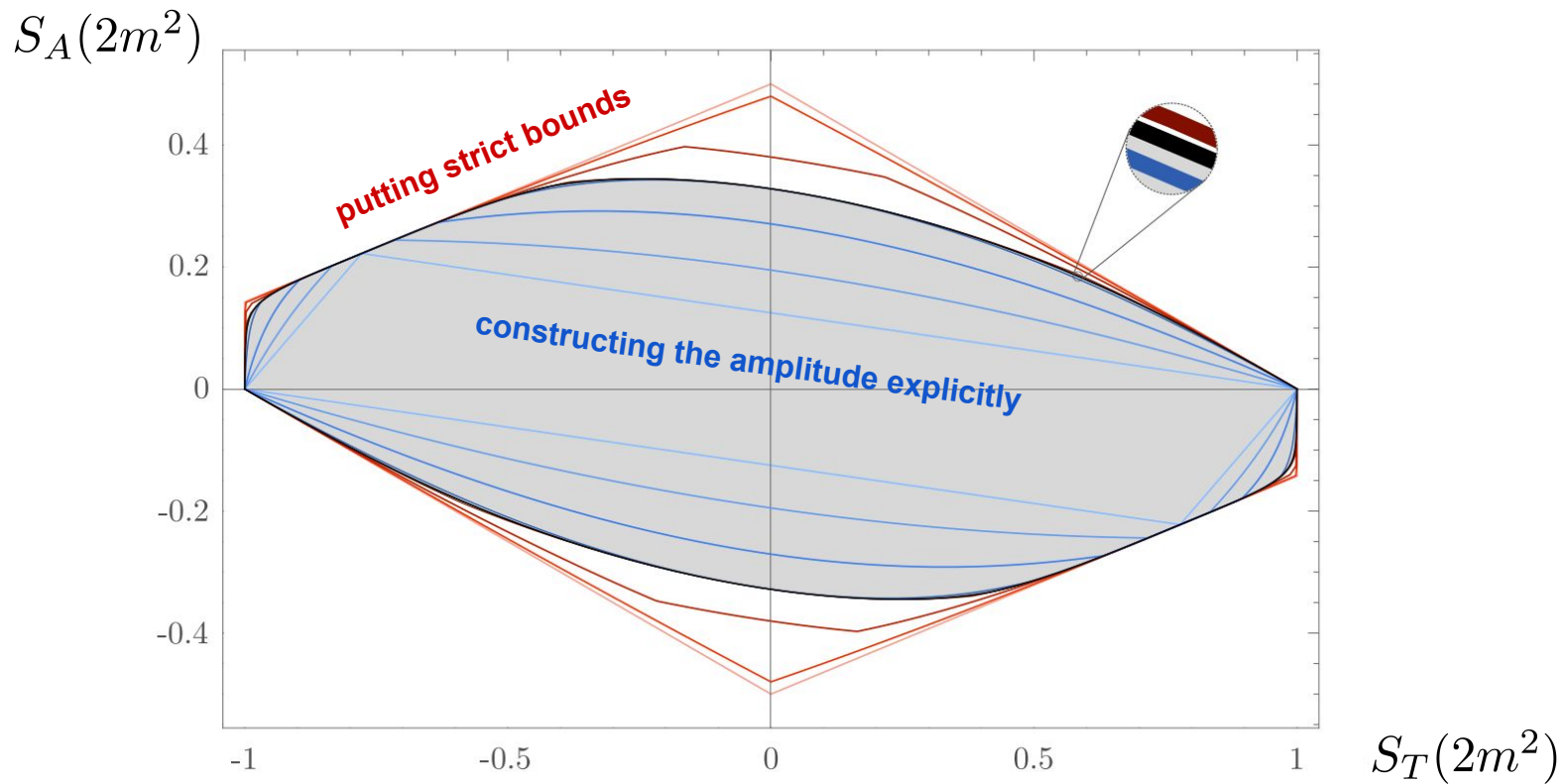
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physical region integral

unitarity

optimal bound by minimizing with given properties

Primal vs Dual



3+1d amplitudes

single flavor pion scattering: identical scalar particles, no bound state

$$\pi(p_1) + \pi(p_2) \rightarrow \pi(p_3) + \pi(p_4)$$

$$\mathbf{S} = \mathbb{I} + i\mathbf{T}$$

$$\langle p_3, p_4 | \mathbf{T} | p_1, p_2 \rangle = \boxed{T(s, t, u)} (2\pi)^4 \delta^{(4)}(p_3 + p_4 - p_1 - p_2)$$

interacting amplitude

3+1d amplitudes

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interacting amplitude

analyticity: $T(s, t, u)$ cuts $s > 4m^2, t > 4m^2, u > 4m^2$ recall $s + t + u = 4m^2$
analytic functions of two variables

crossing: $T(s, t, u) = T(s, u, t) = T(u, t, s)$

unitarity: $|S_\ell(s)|^2 \leq 1 \quad s > 4m^2 \quad \forall \ell$

3+1d amplitudes

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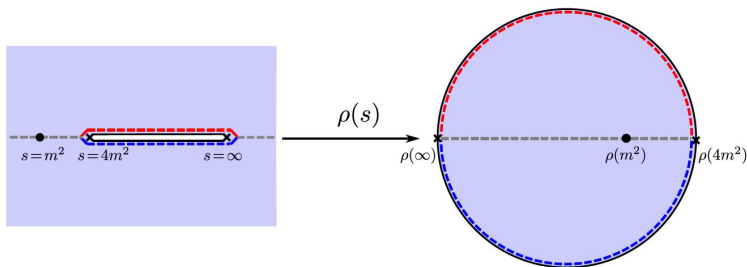
unitarity: $|S_\ell(s)|^2 \leq 1 \quad s > 4m^2 \quad \forall \ell$

*more severe tension between
crossing and unitarity*

$$S_\ell(s) = \frac{\pi}{4} \sqrt{\frac{s-4}{s}} \int_{-1}^1 d\cos\theta P_\ell(\cos\theta) S(s^+, t)$$

3+1d primal bootstrap

[Paulos, Penedones, Toledo, van Rees, Vieira, 2017]



$$\rho_s = \frac{\sqrt{4m^2 - s_0} - \sqrt{4m^2 - s}}{\sqrt{4m^2 - s_0} + \sqrt{4m^2 - s}}$$

2, unitarity

1, analyticity+crossing

$$T = \text{poles} + \sum_{a+b+c \leq N} \alpha_{(abc)} \rho_s^a \rho_t^b \rho_u^c$$

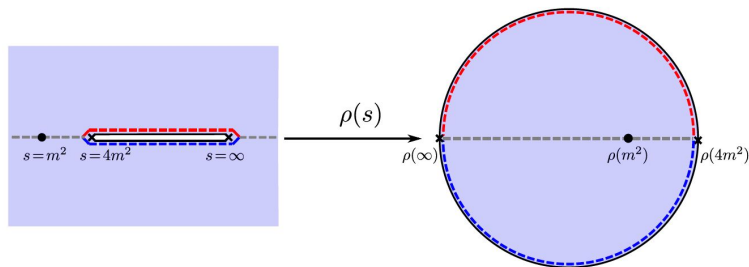
$$S_\ell(s) = 1 + i \frac{\pi}{4} \sqrt{\frac{s-4}{s}} \int_{-1}^1 d \cos \theta P_\ell(\cos \theta) T(s^+, t, u)$$

$$|S_\ell(s)|^2 \leq 1 \quad s > 4m^2 \quad \forall \ell$$

3, maximize a linear functional, extrapolate the results, bounds

3+1d primal bootstrap

[Paulos, Penedones, Toledo, van Rees, Vieira, 2017]



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3, maximize a linear functional, extrapolate the results, bounds

generalize to various contexts:

isospin [Guerrieri, Penedones, Vieira, 2018]

spinning particles [Hebbar, Karateev, Penedones, 2020]

...

Semi-phenomenological pion bootstrap

pion: pseudo-Goldstone boson of chiral symmetry breaking

O(3) setup: $T^{(0)}$, $T^{(1)}$, $T^{(2)}$

Semi-phenomenological pion bootstrap

pion: pseudo-Goldstone boson of chiral symmetry breaking

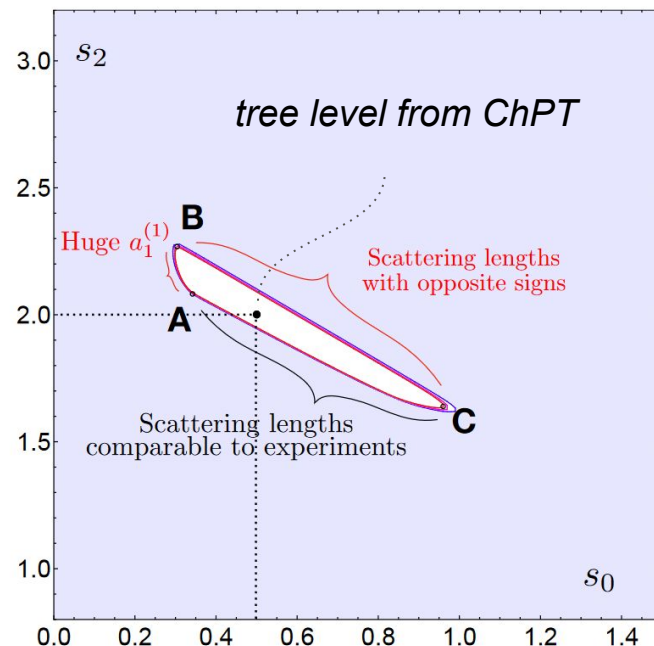
O(3) setup: $T^{(0)}$, $T^{(1)}$, $T^{(2)}$

e.g.

imposing rho resonance
from experimental data: $S_{\ell=1}^{(1)}(m_\rho^2) = 0$

explore the allowed space of Adler zeros:

$$S_{\ell=0}^{(0)}(s_0) = 1 \quad S_{\ell=0}^{(2)}(s_2) = 1$$



Bounding non-perturbative coupling

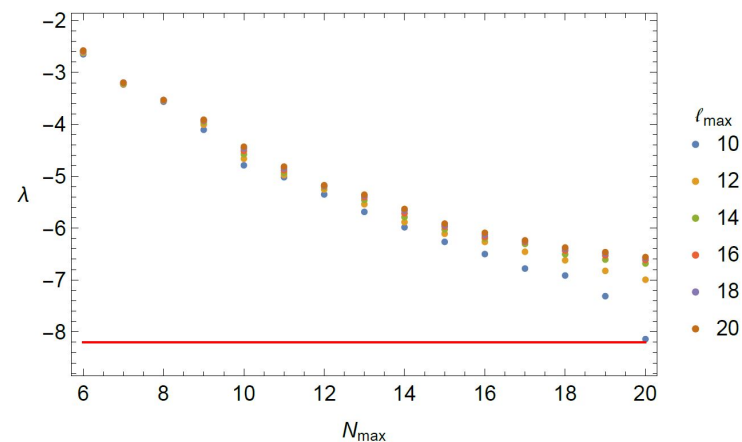
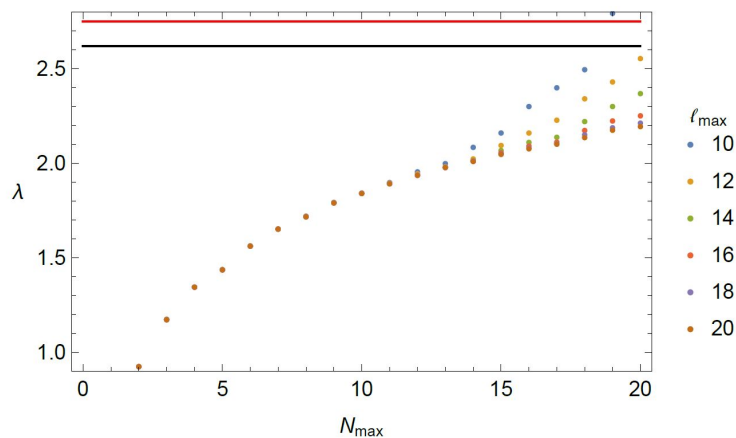
[Paulos, Penedones, Toledo, van Rees, Vieira, 2017]

analyticity+crossing+unitarity max/min $\lambda \equiv T\left(\frac{4}{3}m^2, \frac{4}{3}m^2, \frac{4}{3}m^2\right)$ *quartic coupling of single pion scattering*

Bounding non-perturbative coupling

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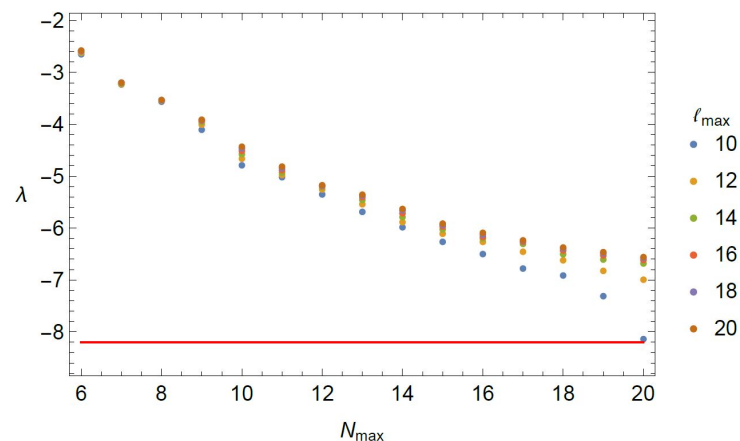
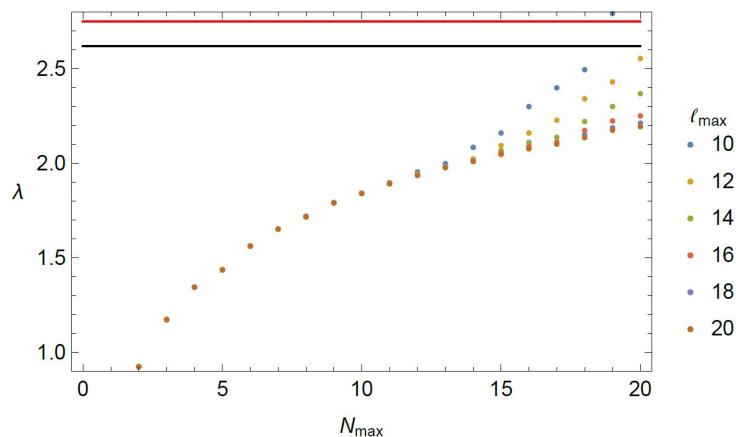
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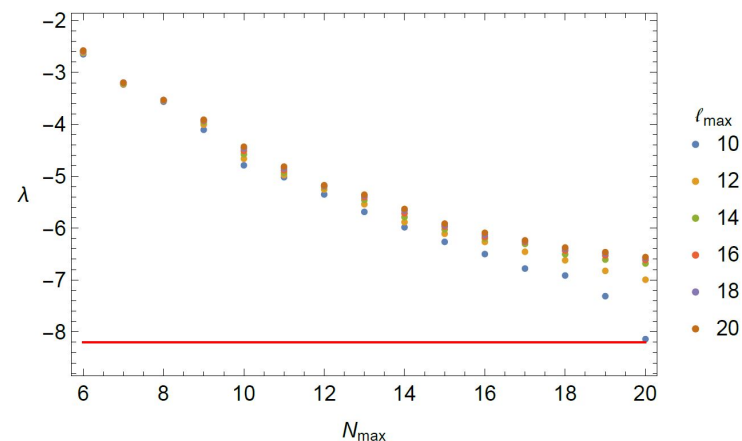
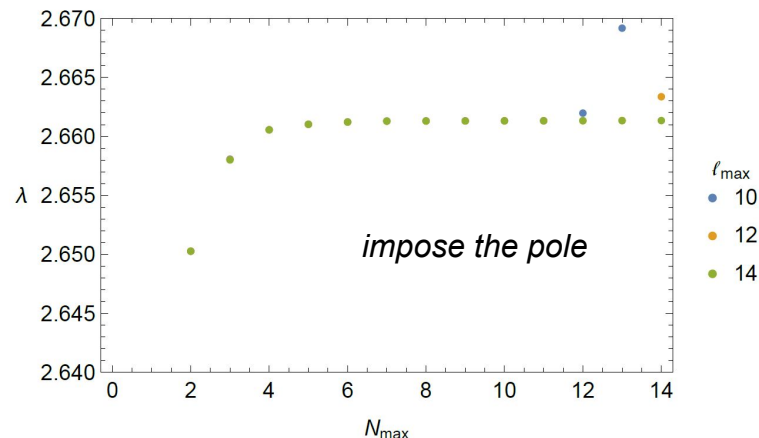


*extremal amplitudes has a pole at
multiple particle threshold*

Bounding non-perturbative coupling

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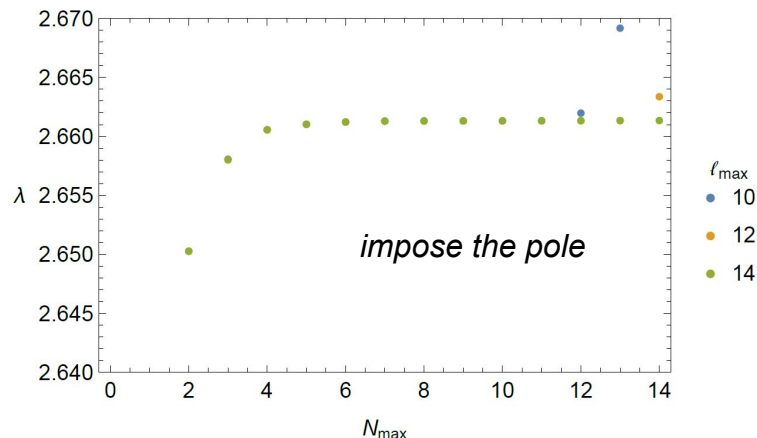


extremal amplitudes has a pole at multiple particle threshold

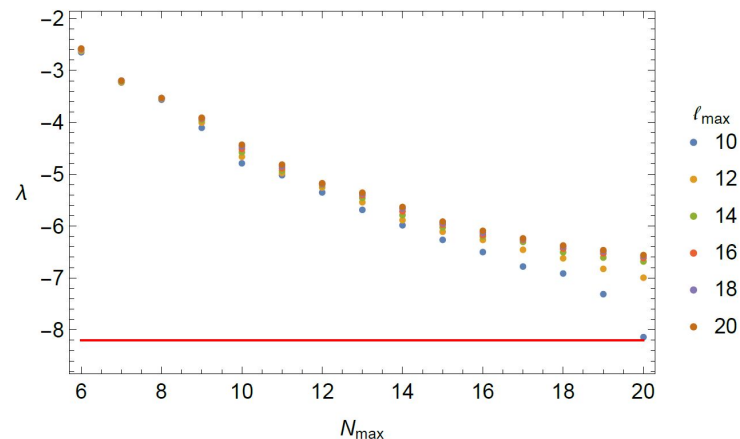
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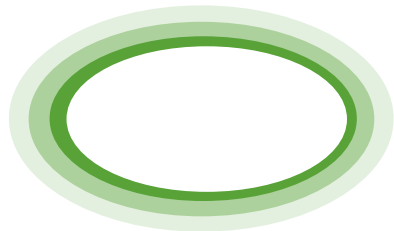


*explore the space of theories not assuming such dynamical structure
→ non-constructive dual approach*

3+1d dual approach

[YH, Kruczenski, 2021]

dual amplitude $K(s, t) = -\frac{1}{(s - s_0)(t - t_0)} + \frac{i}{\pi^2} \int_{4m^2}^{\infty} dx \int_{4m^2 - x}^0 dy \frac{\bar{k}(x, y)}{(s - x)(t - y)}$



dual partial waves: $k_{\ell}(s) = \frac{(2\ell + 1)}{\pi^3} \sqrt{s(s - 4m^2)} \int_{-1}^1 d\cos\theta P_{\ell}(\cos\theta) k(s, t)$

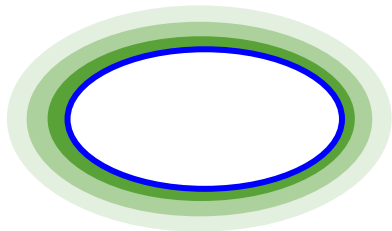
“Lagrange multipliers”, space of constraints, parametrize the dual problem

*dual partial waves encodes the
information about 2-to-2 scattering*

3+1d dual approach

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“Lagrange multipliers”, space of constraints, parametrize the dual problem

3+1d dual

$$\min_{\{k_{\ell}(s)\}} \mathcal{F}_D = \sum_{\ell \text{ even}} \int_{4m^2}^{\infty} ds \left(|k_{\ell}(s)| - \text{Re} k_{\ell}(s) \right) + M_{\text{reg}} ||\text{Re} K||$$

boundary of the space

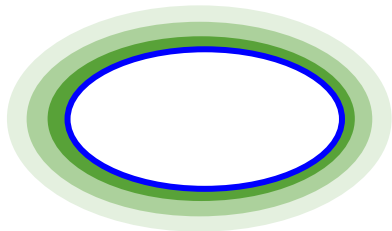
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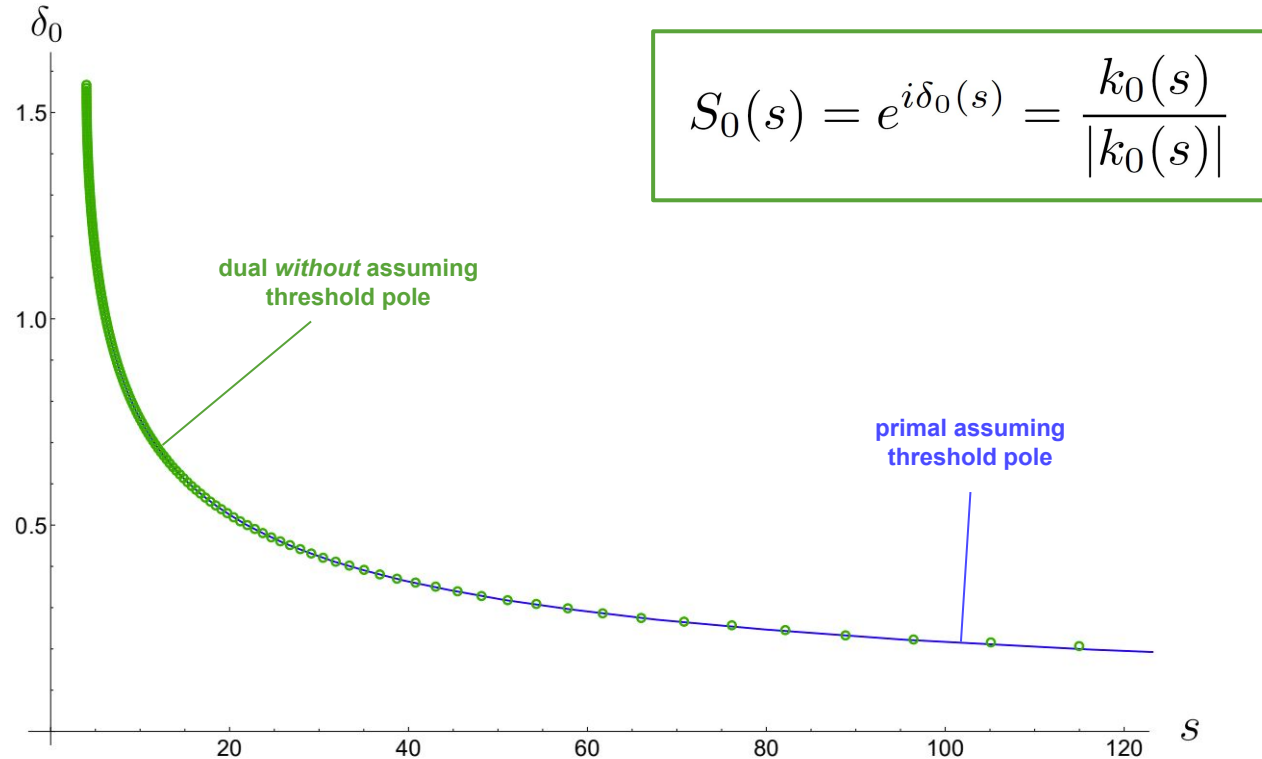
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dual partial waves encodes the information about 2-to-2 scattering

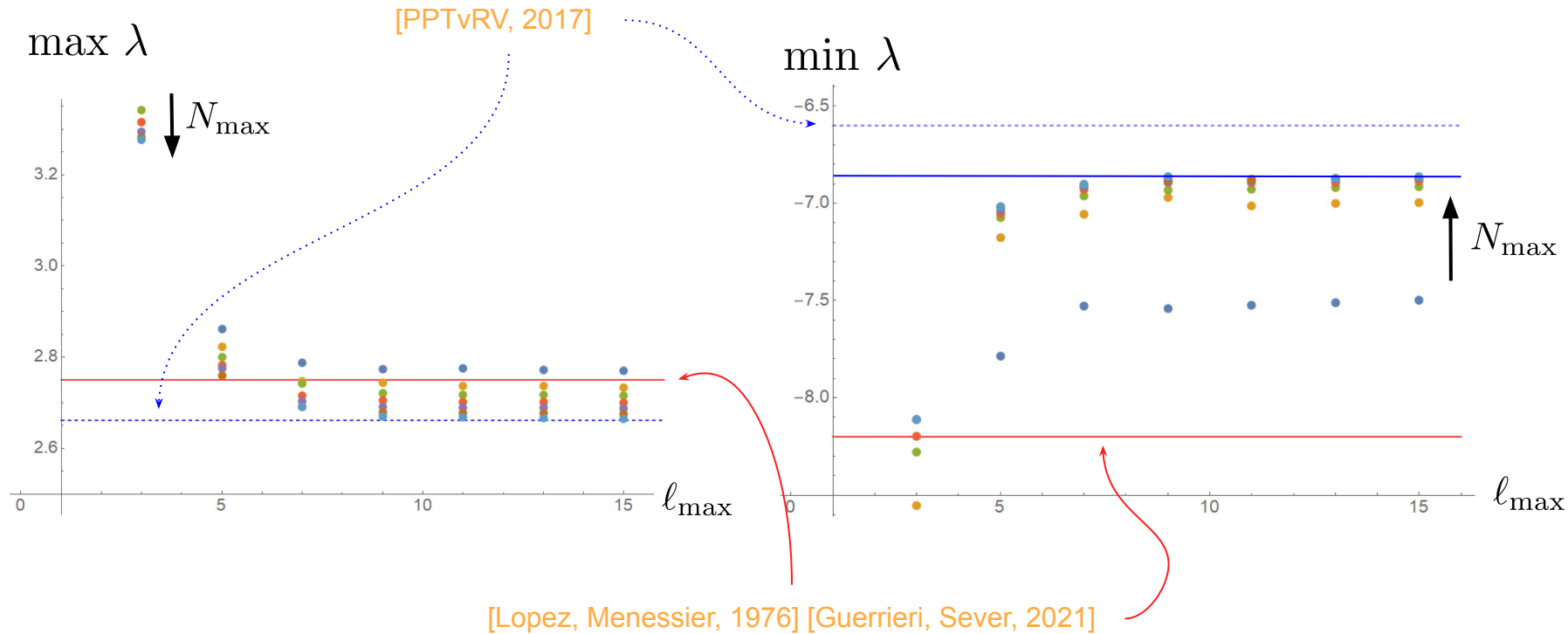
*dual partial waves are constraints free
after optimization, recover the analyticity+crossing+unitarity of physical amplitudes*

S-wave: phase shift

$$\max \quad \lambda \equiv T\left(\frac{4}{3}m^2, \frac{4}{3}m^2, \frac{4}{3}m^2\right)$$



Quartic coupling bounds – primal vs dual



Expanding the space of theories

1+1 d

$$\Lambda \equiv T(s = 2m^2)$$

quartic coupling bounds
max/min extremal amplitudes

higher d

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$$\Lambda^{(n)} \equiv \lim_{s \rightarrow 2m^2} \partial_s^n T(s)$$

expansion coeff around symmetric point

$$m^{d-4}T(s, t) = \sum_{k,l=0}^{\infty} \lambda_{k,l} m^{-2(k+l)} (s - 4m^2/3)^k (t - 4m^2/3)^l$$

Expanding the space of theories

1+1 d

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quartic coupling bounds

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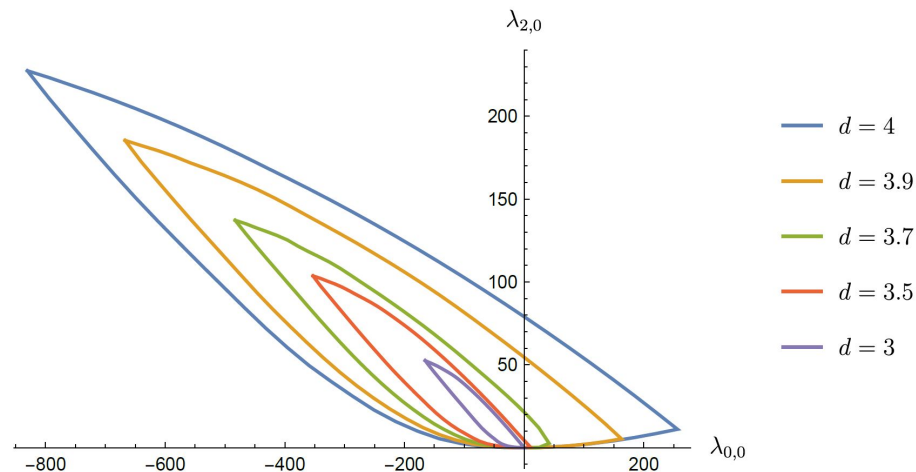
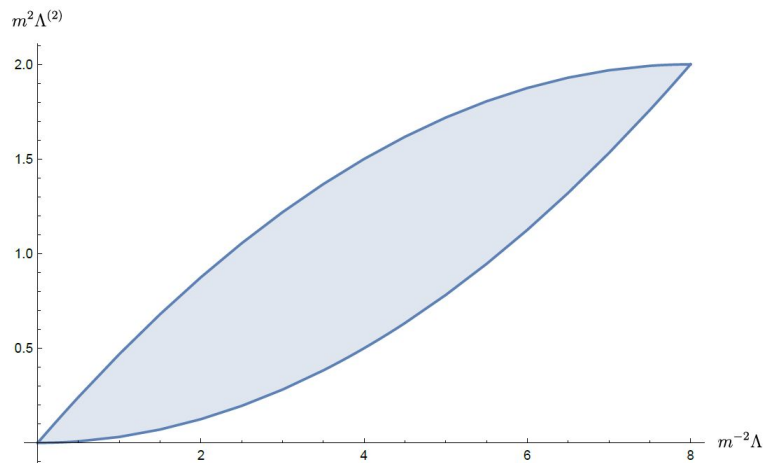
higher d

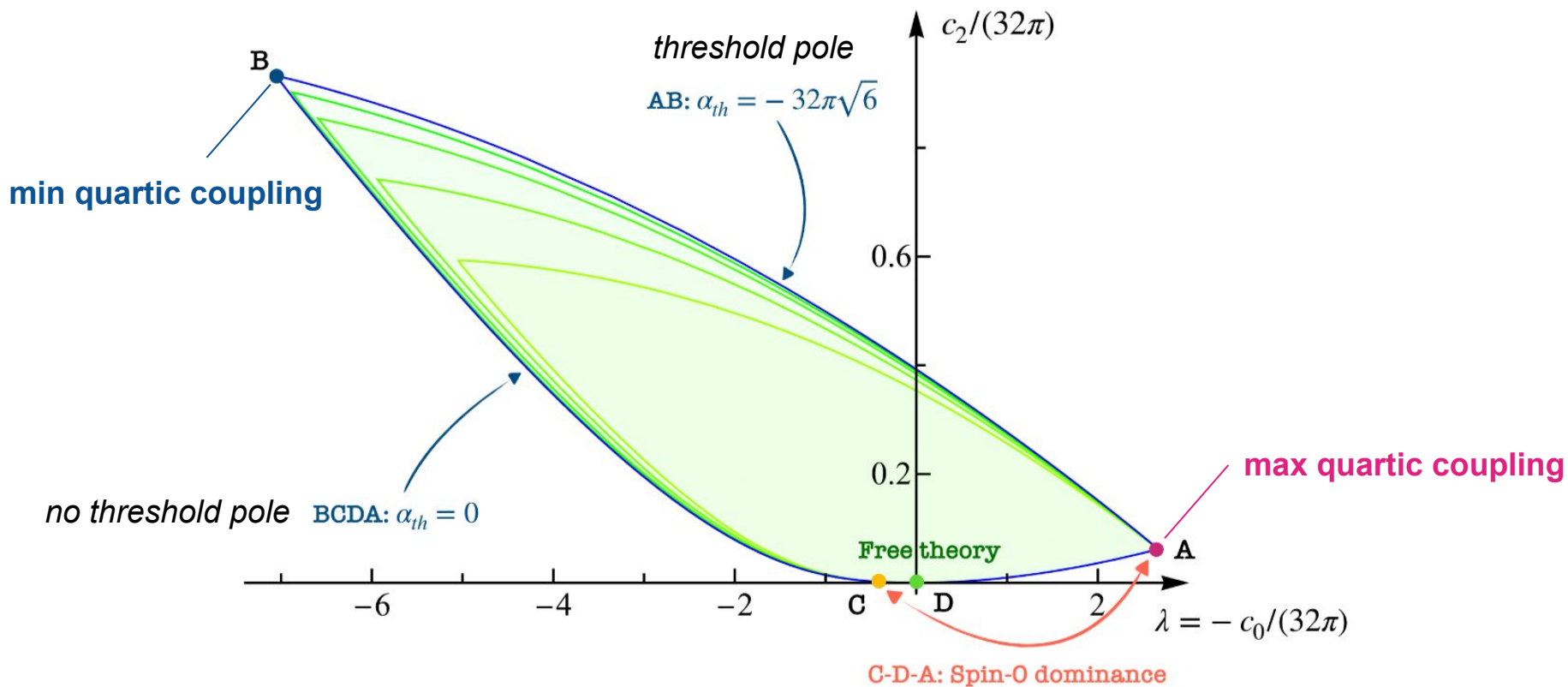
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examine details of extremal amplitudes

Connect with UV: form factor bootstrap

[Karateev, Kuhn, Penedones, 2019]

nonperturbative definition of QFT as RG flow from UV to IR fixed point

$$|\psi_1\rangle = |p_1, p_2\rangle_{in}, \quad |\psi_2\rangle = |p_1, p_2\rangle_{out}, \quad |\psi_3\rangle = \int dx e^{i(p_1+p_2)\cdot x} \mathcal{O}(x) |0\rangle$$

local operator, connect to the UV

Connect with UV: form factor bootstrap

[Karateev, Kuhn, Penedones, 2019]

nonperturbative definition of QFT as RG flow from UV to IR fixed point

$$|\psi_1\rangle = |p_1, p_2\rangle_{in}, \quad |\psi_2\rangle = |p_1, p_2\rangle_{out}, \quad |\psi_3\rangle = \int dx e^{i(p_1+p_2)\cdot x} \mathcal{O}(x)|0\rangle$$

local operator, connect to the UV

positive semidefinite condition:

$$\langle \psi_a | \psi_b \rangle = \begin{pmatrix} 1 & \mathcal{S}^* & \mathcal{F}_2^* \\ \mathcal{S} & 1 & \mathcal{F}_2 \\ \mathcal{F}_2 & \mathcal{F}_2^* & \rho \end{pmatrix} \succeq 0$$

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form factor: ${}_{out}\langle \mathbf{n} | \mathcal{O}(x) | 0 \rangle = e^{-ip\cdot x} \mathcal{F}_n(p_1, \dots, p_n)$

two-particle form factor: $\mathcal{F}_2(p_1, p_2) = \mathcal{F}_2(s)$

$$\mathcal{F}_2(s) = -\frac{g^* \mathcal{F}_1^*}{s - m^2} + \dots$$

analytic function in cut plane $s \geq 4m^2$

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spectral density

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$$\int d^d x e^{-ik \cdot x} \langle 0 | \mathcal{O}^\dagger(x) \mathcal{O}(0) | 0 \rangle = (2\pi) \theta(k^0) \rho(-k^2)$$

$$\mathcal{F}_2(s) = -\frac{g^* \mathcal{F}_1^*}{s - m^2} + \dots$$

analytic function in cut plane $s \geq 4m^2$

$$\rho(s) = \rho_1(s) + \rho_2(s) + \dots$$

$$\delta(s - m^2)$$

$$\theta(s - 4m^2)$$

with this setup, one can ask questions relating UV and IR

1+1d, trace of stress tensor: $\Theta(z, \bar{z}) \equiv 4T_{z\bar{z}}(z, \bar{z})$

$$c_{UV} - c_{IR} = (2\pi)^2 \times \frac{3}{4\pi} \int d^2x_E x_E^2 \langle 0 | \Theta(x_E) \Theta(0) | 0 \rangle_T \quad \text{massive IR} \quad c_{IR} = 0$$

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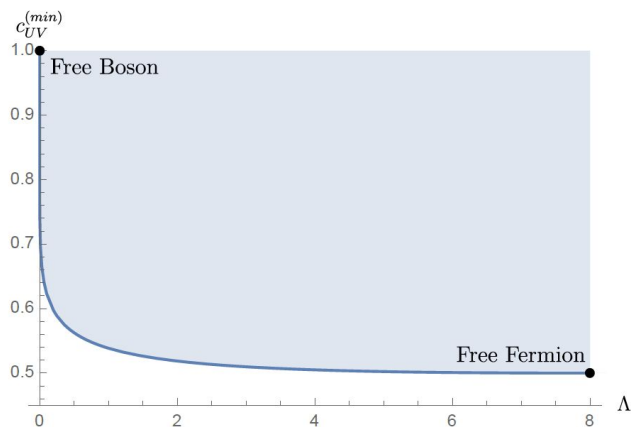
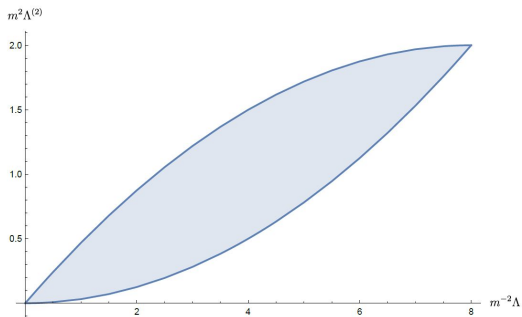
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e.g. single flavor no bound states in 1+1d



[Correia, Penedones, Vuignier, 2022]

Explorations in Ising Field Theory

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IFT: 2d Ising model near critical point: magnetic deformation

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fix $c_{UV} = 1/2$ consider the regime with one stable particle with cubic self-interaction (pole)

$$T(s) = -\frac{g^2}{s - m^2} + \dots \quad \mathcal{F}(s) = -\frac{\mathcal{F}_1^\Theta g}{s - m^2} + \dots \quad S(m^2(1 - x)) = 0 \quad \text{related to strength of magnetic field}$$

tune the location of the zero \mathcal{X}

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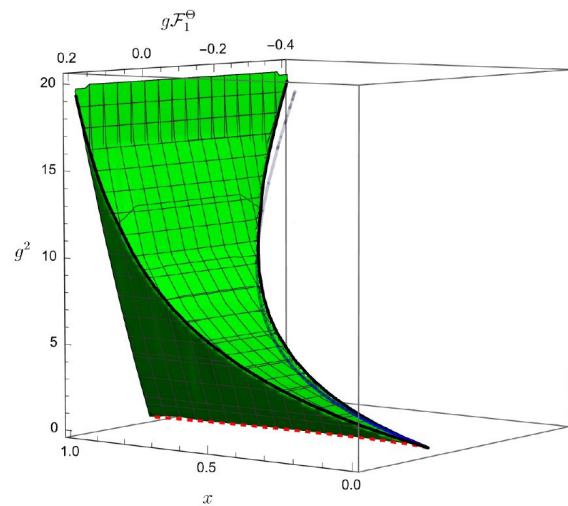
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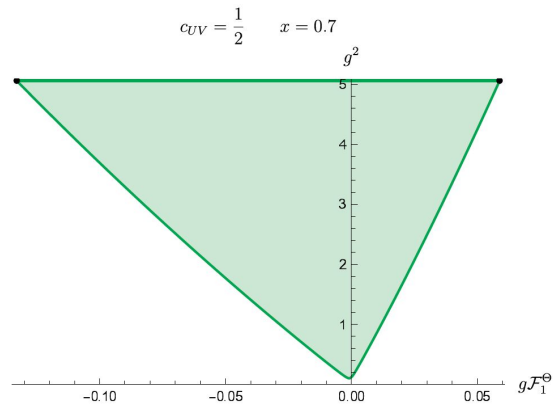
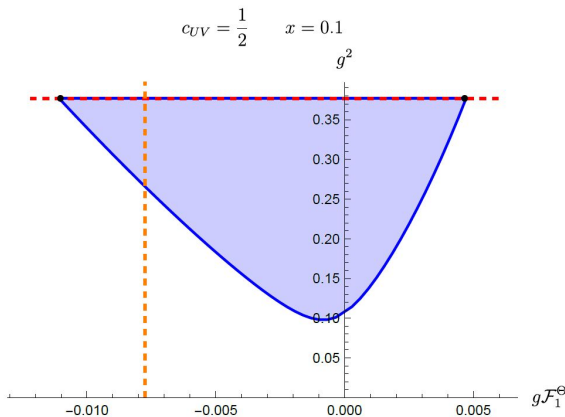
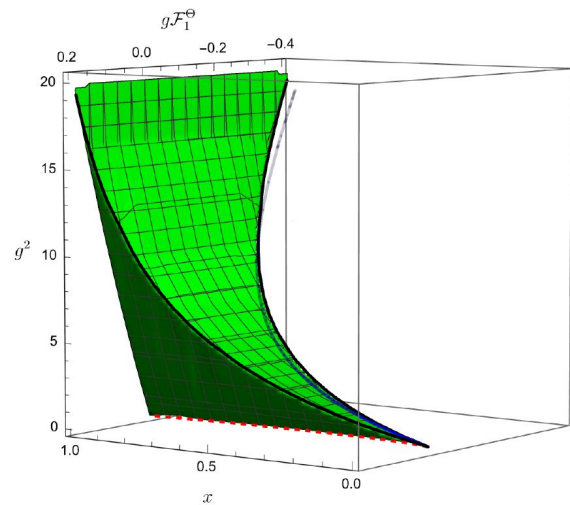
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Bootstrap with Hamiltonian truncation data

[Chen, Fitzpatrick, Karateev, 2021]

isolate a specific theory, instead of constructing generic bounds

massive QFT = UV CFT + relevant deformation

compute observables along the RG from truncated Hilbert space

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data from Hamiltonian truncation:

spectral density $s \in [4m^2, s_{\max}] :$ $\rho_{\Theta}^{\text{LCT}}(s)$

form factor $s \in [s_{\min}, 0] :$ $\mathcal{F}_2^{\Theta\text{LCT}}(s)$

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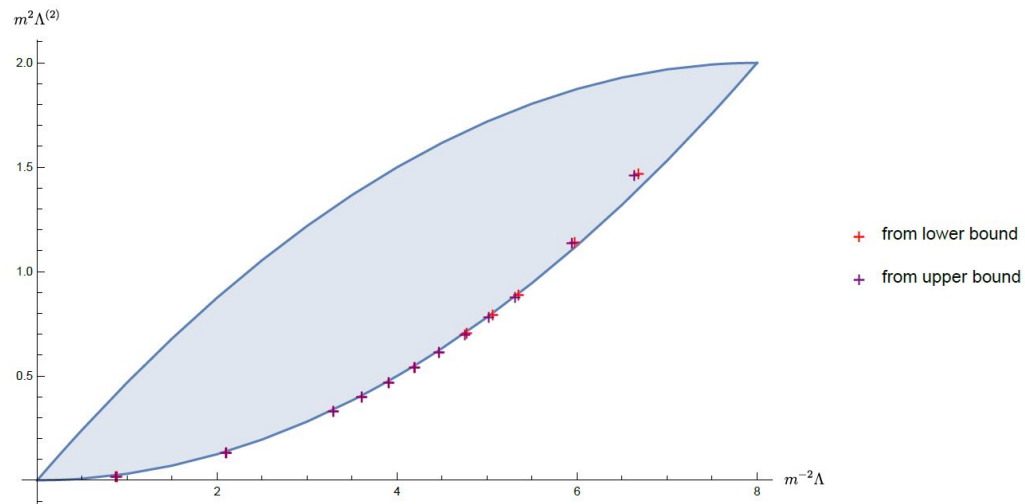
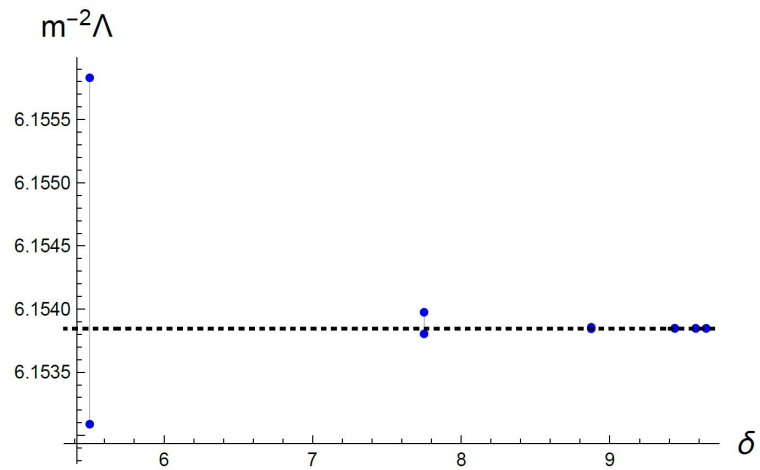
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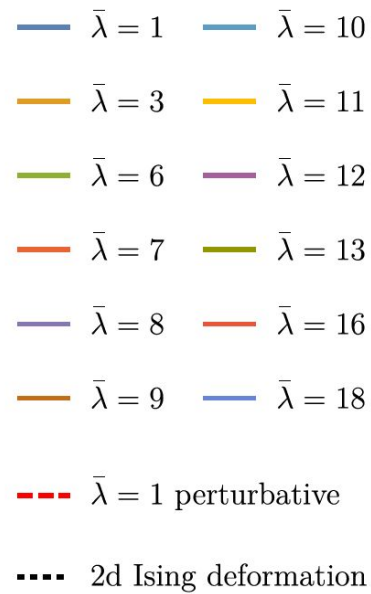
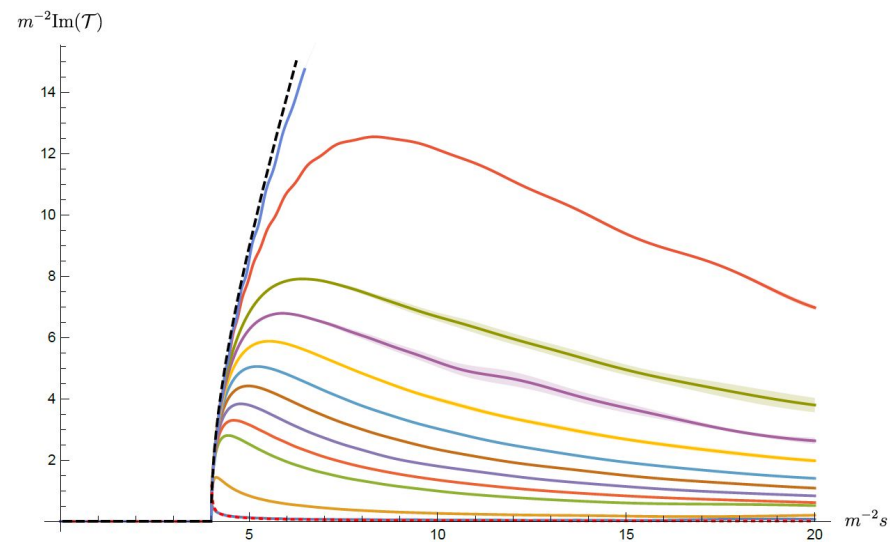
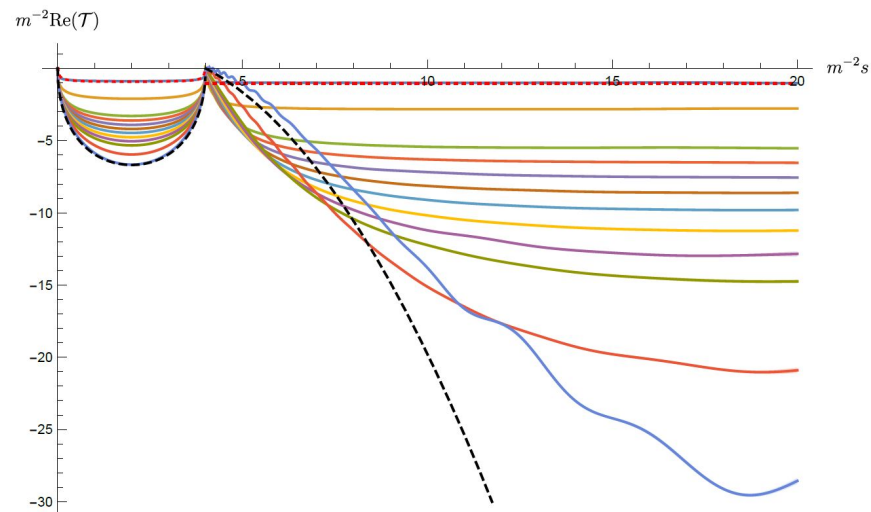
form factor $s \in [s_{\min}, 0] :$ $\mathcal{F}_2^{\Theta \text{LCT}}(s)$ *input*

S-matrix/form-factor bootstrap: $\begin{pmatrix} 1 & \mathcal{S}^* \\ \mathcal{S} & 1 \end{pmatrix} \succeq 0$ *match δ* $\begin{pmatrix} 1 & \mathcal{S}^* & \mathcal{F}_2^{*\Theta} \\ \mathcal{S} & 1 & \mathcal{F}_2^{\Theta} \\ \mathcal{F}_2^{\Theta} & \mathcal{F}_2^{*\Theta} & \rho \end{pmatrix} \succeq 0$

2d ϕ^4

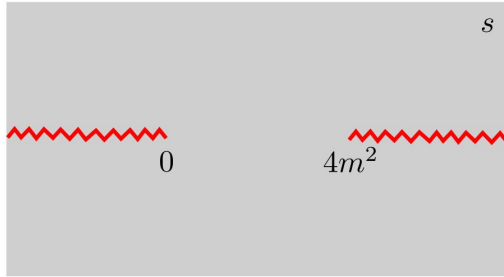
maximize/minimize Λ



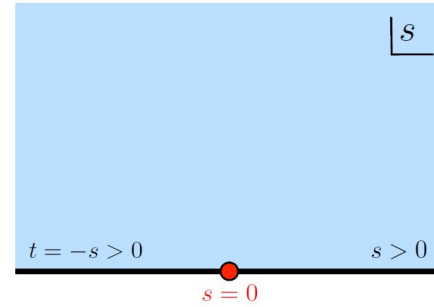


Massless scattering

1+1d:



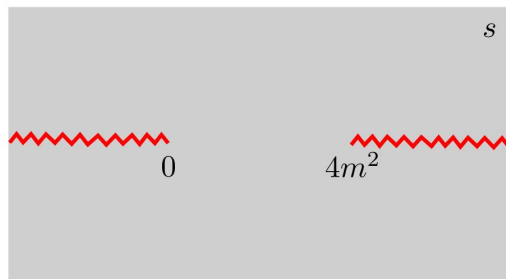
$$m \rightarrow 0$$



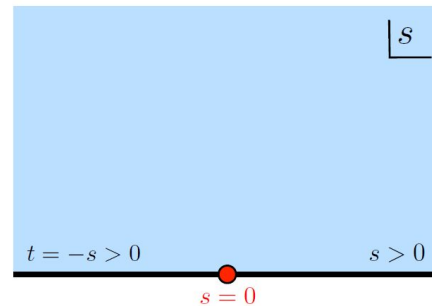
[Elias Miro, Guerrieri, Hebbar, Penedones, Vieira, 2019]

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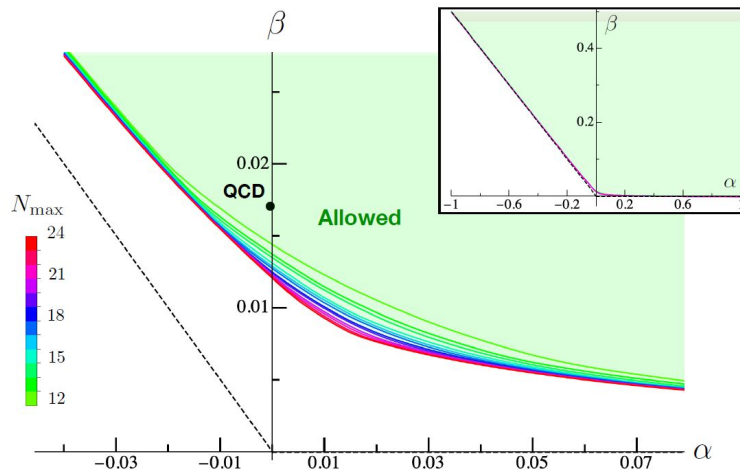


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3+1d:



$$A(s|t, u) = \frac{s}{f_\pi^2} + \frac{1}{f_\pi^4} \left[\alpha s^2 + \beta(t^2 + u^2) - \frac{s^2}{32\pi^2} \log \frac{-s}{f_\pi^2} \right. \\ \left. - \frac{t-u}{96\pi^2} \left(t \log \frac{-t}{f_\pi^2} - u \log \frac{-u}{f_\pi^2} \right) \right] + \dots$$

[Guerrieri, Penedones, Vieira, 2020]

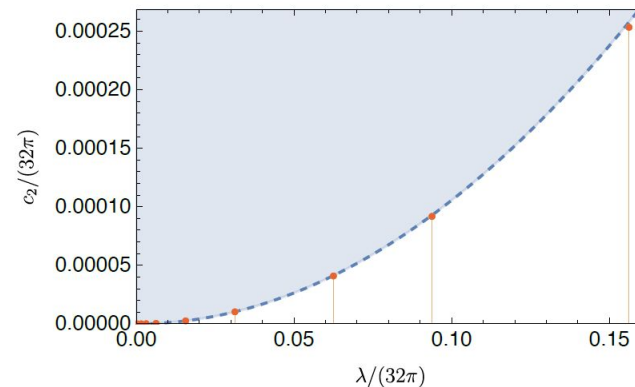
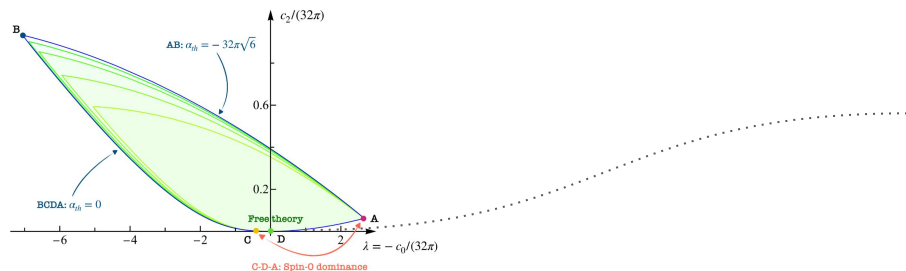
Other related approaches

- scattering from production: [\[Tourkine, Zhiboedov, 2021 & 2023\]](#)
Atkinson's method to construct amplitudes satisfying
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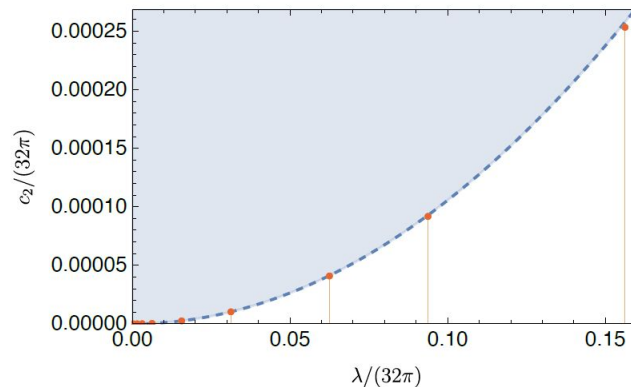
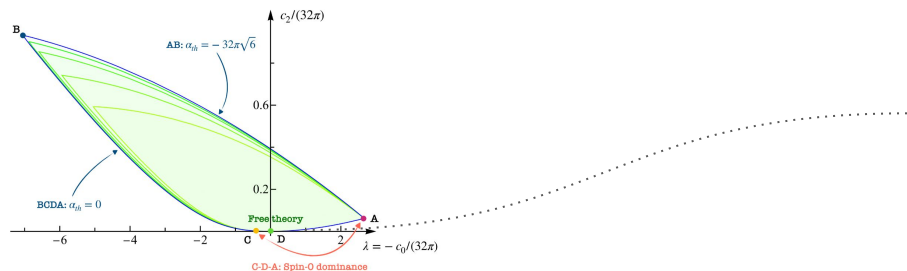
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- EFT bootstrap: bounding Wilson coefficients with positivity — a dual approach

(Bellazini, Elias Miro, Rattazzi, Riembau, Riva, Tolley, Wang, Zhou, Caron-Huot, Van Duong, Sinha, Zahed, Arkani-Hamed, Huang, Huang,)

Thank you!