

Ambient-space variational calculus  
for gauge fields  
on constant-curvature spacetimes

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# Plan

1. Higher-spin (HS) fields
  - ▶ The HS zoo: species, origins and natural habitats
  - ▶ Metric-like formulation in flat space: constraints, equations of motion, gauge symmetries
2. Anti-de Sitter (AdS) space embedded in flat ambient space
  - ▶ Ambient-space description of the intrinsic AdS geometry
  - ▶ Radial reduction of ambient-space fields
3. String-like BRST formulation in flat space
  - ▶ Tensionless limit of the bosonic string
  - ▶ “Triplet” formulation of HS fields
4. BRST-invariant inner product and codimension-one Lagrangians

## Some higher-spin basics

## HS basics

HS fields naturally arise as generalisations of the lower-spin particles of the Standard Model:

- ▶ spin-0 (scalar) particles (*e.g.*, the Brout-Englert-Higgs boson)
- ▶ spin- $\frac{1}{2}$  particles (*e.g.*, electron, neutrino),
- ▶ spin-1 particles (*e.g.*, photon,  $W^\pm$ ,  $Z$ -bosons),

as well as of hypothetical particles arising in (super-)gravity theories:

- ▶ spin- $\frac{3}{2}$  gravitino,
- ▶ spin-2 graviton.

*In particle experiments*, short-living massive higher-spin particles are observed as *baryon resonances*.

# HS basics

In the [hep-th] world, HS fields are encountered:

- ▶ in the spectrum of *string theory*.

For example, massless higher-spin fields arise in the tensionless limit of the open bosonic string [Bengtsson, Ouvry-Stern'86].

- ▶ in the *AdS/CFT correspondence*.

In particular, conserved currents of the  $O(N)$ -vector model in a  $d$ -dimensional conformally flat space are dual to massless higher-spin particles in the  $(d + 1)$ -dimensional AdS spacetime [Klebanov-Polyakov'02].

## HS basics

Metric-like formulation for a symmetric spin- $s$  field in the *Minkowski spacetime* (equations of motion):

- ▶ a symmetric rank- $s$  tensor  $\phi_{\mu_1 \dots \mu_s}(x)$  subject to the off-shell constraints (*transversality* and *tracelessness*)

$$\partial^\nu \phi_{\nu \mu_1 \dots \mu_{s-1}}(x) = 0, \quad \phi^\nu{}_{\nu \mu_1 \dots \mu_{s-2}}(x) = 0.$$

- ▶ On-shell condition - the Klein-Gordon equation:

$$(\square + m^2) \phi_{\mu_1 \dots \mu_s}(x) = 0.$$

- ▶ In the massless limit  $m^2 \rightarrow 0$  some of the degrees of freedom decouple, which signals the emergence of the gauge symmetry

$$\phi_{\mu_1 \dots \mu_s} \sim \phi_{\mu_1 \dots \mu_s} + \partial_{(\mu_1} \varepsilon_{\mu_2 \dots \mu_s)},$$

with  $\partial^\nu \varepsilon_{\nu \mu_2 \dots \mu_{s-1}} = 0$ ,  $\varepsilon^\nu{}_{\nu \mu_3 \dots \mu_{s-1}} = 0$  and  $\square \varepsilon_{\mu_1 \dots \mu_{s-1}} = 0$  (recall Maxwell's electrodynamics in the *Lorentz gauge*).

## HS basics

Metric-like formulation for a symmetric spin- $s$  field in the *Minkowski spacetime* (Lagrangian formulation)

[Singh-Hagen '74, Fronsdal '78]:

- ▶ a multiplet of traceless symmetric tensors

$$\{\phi_{\mu(s)}(x), \phi_{\mu(s-2)}(x), \phi_{\mu(s-3)}(x), \dots, \phi\}$$

- ▶ In the massless limit  $m^2 \rightarrow 0$  some of the degrees of freedom decouple, leaving one with a pair of traceless fields

$$\{\phi_{\mu(s)}(x), \phi_{\mu(s-2)}(x)\} \quad \Leftrightarrow \quad \bar{\phi}^{\lambda\nu}{}_{\lambda\nu\mu(s)}(x) = 0.$$

Emergence of the gauge symmetry

$$\phi_{\mu(s)}(x) \sim \phi_{\mu(s)}(x) + \partial_{\mu}\varepsilon_{\mu(s-1)}(x).$$

with  $\varepsilon^{\nu}{}_{\nu\mu(s-2)}(x) = 0$ .

# Ambient-space description of AdS fields



## Ambient space

The  $(d + 1)$ -dimensional AdS spacetime  $AdS_{d+1}$  can be realised as a quadric in the flat *ambient space*  $\mathbb{R}^{2,d}$  parametrised by the Cartesian coordinates  $X^A$  ( $A = 0', 0, 1, \dots, d$ ):

$$-r^2 := -(X^{0'})^2 - (X^0)^2 + (X^1)^2 + \dots + (X^d)^2 = -\ell^2.$$

The region  $r > 0$  is  $\mathbb{R}_+^{2,d} \cong AdS_{d+1} \times \mathbb{R}_+$ .

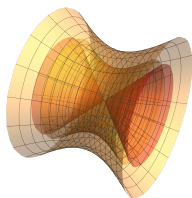


Figure 1: Foliation of the open region  $r > 0$  in  $\mathbb{R}^{2,d}$  by  $AdS_{d+1}$  (the light cone  $r = 0$  pictured in red)

## Ambient space

Intrinsic AdS geometry is induced from the ambient-space. For example, the volume form on  $AdS_{d+1}$ :

- ▶ Radial vector field  $X \cdot \partial = r\partial_r$  is orthogonal to  $AdS_{d+1}$ .
- ▶ Adapted coordinates  $(r, x^\mu)$  ( $\mu = 0, 1, \dots, d$ ).
- ▶ From the volume form  $\mathcal{V} = d^{d+2}X \in \Omega^{d+2}(\mathbb{R}^{2,d})$  one constructs

$$\mathcal{V}_X = i_X \mathcal{V} = r^{d+2} \sqrt{-g} dx^0 \wedge dx^1 \wedge \dots \wedge dx^d \in \Omega^{d+1}(\mathbb{R}^{2,d}).$$

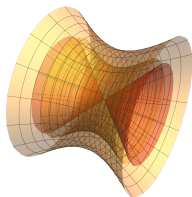


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## Radially-constrained fields in the ambient space

Fields  $\Phi(X)$  in  $\mathbb{R}_+^{2,d}$  can be used to parametrise fields  $\phi(x)$  in  $AdS_{d+1}$ .

- Fixing radial behaviour of  $\Phi(X)$  by imposing the *radial constraint*:

$$\left(X^A \frac{\partial}{\partial X^A} + \Delta\right) \Phi(X) = 0, \quad \Delta \in \mathbb{R}.$$

By virtue of  $X^A \frac{\partial}{\partial X^A} = r \frac{\partial}{\partial r}$ , one can resolve the above constraint

$$\Phi(X) = r^{-\Delta} \phi(x).$$

- The ambient d'Alembertian decomposes into its radial and “angular” parts. For fields subject to the radial constraint:

$$\square \Phi(X) = r^{-\Delta} \left( \nabla^2 + \frac{\Delta(d-\Delta)}{r^2} \right) \phi(x)$$

**Radial dimensional reduction:** *massless ambient field + radial constraint = AdS field with mass  $m(\Delta)$ .*

## Radially-constrained fields in the ambient space

**A simple example:** spherical harmonics  $Y_{\ell,m}(\theta, \varphi)$ .

- ▶ Eigenfunctions of the Laplace operator on  $S^2$ ,

$$\Delta_{S^2} Y_{\ell,m} = -\ell(\ell + 1) Y_{\ell,m}.$$

- ▶ The “ambient space”  $\mathbb{R}^3 \setminus \{0\} \cong S^2 \times \mathbb{R}_+$  with the radial variable  $r^2 = x^2 + y^2 + z^2$ . Polynomial functions  $\Phi(x, y, z)$  subject to the radial constraint
- ▶ Harmonic polynomials subject to the radial constraint:

$$\Delta_{\mathbb{R}^3} \Phi = 0, \quad r \partial_r \Phi = \ell \Phi \quad \Leftrightarrow \quad \Phi = \sum_{m=-\ell}^{\ell} c_m r^\ell Y_{\ell,m}(\theta, \varphi)$$

- ▶ Correspondence:

$$S^2 \rightarrow AdS_{d+1}, \quad \mathbb{R}^3 \setminus \{0\} \rightarrow \mathbb{R}_+^{2,d}, \quad SO(3) \rightarrow SO(2, d).$$

String-like BRST description of HS fields  
in the flat ambient space

## BRST formulation of strings

String theories in flat space admit a BRST formulation

[Kato-Ogawa'83, Witten'85, Ohta'86]

- ▶ String oscillators  $\alpha_m^\mu$  with  $m \in \mathbb{Z}$  and the conjugation rule  $(\alpha_m^\mu)^\dagger = \alpha_{-m}^\mu$

$$[\alpha_m^\mu, \alpha_n^\nu] = m \delta_{m+n,0} \eta^{\mu\nu}, \quad \alpha_m^\mu |0\rangle = 0 \text{ for } m \geq 1,$$

the ghosts  $c_m$  and anti-ghosts  $b_m$  with  $m \in \mathbb{Z}$

$$\{c_m, b_n\} = \delta_{m+n,0},$$

$$b_0 |0\rangle = 0, \quad b_m |0\rangle = 0, \quad c_m |0\rangle = 0 \text{ for } m \geq 1.$$

- ▶ The string field  $|\phi\rangle$  is spanned over the basis generated from  $|0\rangle$ , with coefficients  $\phi_{\mu_1 \dots \mu_k}(x)$ .
- ▶ The nilpotent BRST operator  $Q$  built from  $\alpha_m^\mu, c_m, b_m$  singles out physical states, with  $\text{gh}(|\phi\rangle) = 0, \text{gh}(|\epsilon\rangle) = -1$ :

$$Q|\phi\rangle = 0, \quad |\phi\rangle \sim |\phi\rangle + Q|\epsilon\rangle.$$

## String-like BRST description of ambient HS fields

Symmetric HS fields *in flat spacetimes* via the tensionless limit of an open bosonic string [Bengtsson'86, Sagnotti-Tsulaia'03] and the related BRST formulation [Barnich-Grigoriev-Semikhatov-Tipunin'04]:

- ▶ We consider BRST description of strings on  $\mathbb{R}^{2,d}$ , and concentrate on the ghost-degree-0 “triplets”

$$\begin{aligned} |\Phi_s\rangle &= \frac{1}{s!} B_{A_1\dots A_s}(X) \alpha_{-1}^{A_1} \dots \alpha_{-1}^{A_s} |0\rangle \\ &+ \frac{1}{(s-1)!} C_{A_1\dots A_{s-1}}(X) \alpha_{-1}^{A_1} \dots \alpha_{-1}^{A_{s-1}} c_0 b_{-1} |0\rangle \\ &+ \frac{1}{(s-2)!} D_{A_1\dots A_{s-2}}(X) \alpha_{-1}^{A_1} \dots \alpha_{-1}^{A_{s-2}} c_{-1} b_{-1} |0\rangle \end{aligned}$$

- ▶ Upon appropriate rescaling of the oscillators one can take the tensionless limit  $\alpha' \rightarrow 0$ , which leads to the following nilpotent BRST operator on the space of “triplets”:

$$Q_0 = c_0 \square + c_{-1} \alpha_{+1}^A \frac{\partial}{\partial X^A} - c_{+1} \alpha_{-1}^A \frac{\partial}{\partial X^A} + \text{cub. term.}$$

## String-like BRST description of ambient HS fields

The string-like BRST description of HS fields in *flat space* admits a natural Lagrangian formulation: for the “triplet”  $|\Phi_s\rangle$  and the BRST operator

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one has the quadratic Lagrangian

$$L_s = \langle \Phi_s | Q_0 | \Phi_s \rangle .$$

**Question:** Describe radial reduction of the string-like BRST Lagrangian formulation in the ambient space.



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**Question:** Describe radial reduction of the string-like BRST Lagrangian formulation in the ambient space.

**Motivation<sub>1</sub>:** Avoid working with the intrinsic AdS geometry (see [Sagnotti-Tsulaia’03, Buchbinder-Krykhtin-Lavrov’06]). Ambient flat space is technically simpler than AdS.

**Motivation<sub>2</sub>:** Constructing yet unknown AdS Lagrangians in the metric-like formulation for some “exotic” HS fields (*e.g.*, partially massless fields).

## Dimensional radial reduction: possible issues

Hidden traps:

- ▶ *Mismatch of dimensions:*  $AdS_{d+1}$  is a codimension-1 submanifold in  $\mathbb{R}_+^{2,d}$ . Suitable Lagrangians are  $(d+1)$ -forms on the  $(d+2)$ -dimensional ambient space:

$$\mathbb{L}[\Phi_s] = L[\Phi_s] \mathcal{V}_X \in \Omega^{d+1}(\mathbb{R}_+^{2,d}), \quad \mathbb{L}[\Phi_s] \sim \mathbb{L}[\Phi_s] + dH,$$

where  $H \in \Omega^d(\mathbb{R}_+^{2,d})$ .

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- ▶ What is the *variational principle* in codimension-1?

For example, an analog of the *fundamental lemma of calculus of variations* for constrained fields:

$$\int \delta\Phi F[\Phi] \mathcal{V}_X = 0 \quad \Rightarrow \quad F[\Phi] = ?$$

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where  $H \in \Omega^d(\mathbb{R}_+^{2,d})$ .

- ▶ What is the *variational principle* in codimension-1?
- ▶ in order to obtain the correct equations of motion  $Q_0 \Phi_s = 0$  from the Lagrangian

$$\mathbb{L}[\Phi_s] = \langle \Phi_s, Q_0 \Phi_s \rangle \mathcal{V}_X,$$

one needs  $Q_0 = Q_0^\dagger$  with respect to the *inner product*  $\langle \cdot, \cdot \rangle \mathcal{V}_X$ . This is *not* the case when radial constraint is imposed on  $\Phi_s$ .

## Dimensional radial reduction: possible issues

**Example:** consider  $\psi(t, y)$  subject to the constraint  $(\partial_y + w)\psi(t, y) = 0$ , *i.e.*  $\psi(t, y) = h(t)e^{-wy}$ . Take the inner product

$$(\psi, \chi) = \int \psi(t, y) \chi(t, y) dt$$

(note that usually one has  $dt dy$ ).

- ▶ Then since  $\partial_y$  acts algebraically, one has  $\partial_y^\dagger = \partial_y = -w$  (instead of the **usual** conjugation rule for partial derivatives  $\partial_y^\dagger = -\partial_y$ ). Hence, if an operator is self-adjoint in the **usual case**, and contains first-order derivatives  $\partial_y$ , it is *not* self-adjoint with respect to  $(\cdot, \cdot)$ .

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- ▶ To cure the problem, one deforms the inner product:

$$(\psi, \chi)_w = \int e^{2wy} \psi \chi,$$

such that  $\partial_y^\dagger = -\partial_y$ .

## Dimensional radial reduction: the deformed inner product

There exists a unique  $\Omega^{d+1}(\mathbb{R}^{2,d})$ -valued pairing of “triplets”

$$(\Psi_s, \Phi_s)_K = \langle K\Psi_s, \Phi_s \rangle \mathcal{V}_X,$$

such that  $Q_0^\dagger = Q_0$ .

- ▶ Radial decomposition of ambient oscillators  $\alpha_{-1}^A$ :

$$\rho_{-1} = r^{-1} X_A \alpha_{-1}^A \quad (\text{the radial oscillator}), \quad \alpha_{-1}^\mu.$$

*Radial decomposition* of fields (with respect to the degree in the radial oscillator):

$$\Phi_s = \bigoplus_{m=0}^s \Phi_s^{(m)}.$$

- ▶ The deformation operator

$$K = r^{-(d-2\Delta)} U \mathfrak{D} U^{-1}, \quad \text{where } U = 1 + \frac{2}{r} c_0 (b_{-1} \rho_{+1} + b_{+1} \rho_{-1})$$

And  $\mathfrak{D}$  is diagonal on the radial decomposition.

## Dimensional radial reduction: the deformed inner product

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- ▶ *Radial decomposition* of fields (with respect to the degree in the radial oscillator):

$$\Phi_s = \bigoplus_{m=0}^s \Phi_s^{(m)}.$$

- ▶ The deformation operator  $K = r^{-(d-2\Delta)} U \mathfrak{D} U^{-1}$ , with  $\mathfrak{D}\Phi_s^{(m)} = \nu_{\Delta}^{(s|n)} \Phi_s^{(n)}$  and

$$\nu_{\Delta}^{(s|n)} = \frac{[\frac{d}{2} + s - 2]_n}{[\Delta + s - 2]_n} {}_2F_1 \left( \begin{matrix} -n, \frac{d}{2} - \Delta \\ \frac{d}{2} + s - 1 - n \end{matrix} ; -1 \right),$$

where  $[x]_n = x(x-1)\dots(x-n+1)$  denotes the falling Pochhammer symbol.



## Summary

1. A uniform Lagrangian description of free symmetric HS fields on  $AdS_{d+1}$ , massless and (partially) massless, is available via the radial dimensional reduction of the string-like BRST description of massless symmetric HS fields on the *flat* ambient space  $\mathbb{R}^{2,d}$ :
  - ▶ Lagrangians are  $(d+1)$ -forms  $\mathbb{L}[\Phi] = L[\Phi] \mathcal{V}_X$ , which belong to a particular subset in  $\Omega^{d+1}(\mathbb{R}_+^{2,d})$ .
  - ▶ Pullback of  $\mathbb{L}[\Phi]$  to  $AdS_{d+1}$  in the case of partially massless fields leads to yet unknown Lagrangians in the metric-like formulation.
2. An adapted ambient (codimension-one) variational calculus is developed in terms of jet bundles.
  - ▶ The formalism is suitable for *generalised* ambient spaces  $\mathcal{A}$  with a volume form  $\mathcal{V}$ , which are trivial line bundles over a codimension-one manifold  $\Sigma \hookrightarrow \mathcal{A}$ . In particular, neither *metric* nor *flatness* are assumed for  $\mathcal{A}$ .
  - ▶ Variational derivative is given by a simple explicit formula: one can vary ambient Lagrangians *like if* ambient fields were *unconstrained*.

Thank you for your attention.