



## **Bootstrapping String Theory**



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[Yin]

A White Paper Wish List:

- Obtain the terms in the type II string theory and M-٠ theory effective actions.
- Write down the Virasoro-Shapiro amplitude for AdS<sub>5</sub> × S⁵.
- Definitively rule in/out scale-separated AdS vacua ٠ using large N conformal bootstrap.
- Bootstrap the worldsheet description of weakly-٠ coupled super-Yang-Mills theory

Rajesh Gopakumar,<sup>1</sup> Eric Perlmutter,<sup>2</sup> Silviu S. Pufu,<sup>3</sup> and Xi Yin<sup>4</sup> We discuss progress and prospects in the application of bootstrap methods to string theory.

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Snowmass White Paper: Bootstrapping String Theory

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Abstract

This talk has three themes:

String amplitudes at finite  $\alpha'$ 

Exact results for  $\mathcal{N} = 4$  super Yang-Mills correlators

Modular symmetries in conformal field theory

We all want to solve Planar  $\mathcal{N}=4$  super Yang-Mills = Classical string theory in AdS<sub>5</sub> x S<sup>5</sup>

Even "just" the tree-level four-graviton amplitude at finite string length would be

fine



This is dual to the stress-tensor four-point function in the planar theory.

But this is too hard on the string side so far.

- <u>Worldsheet</u>: RR flux
- <u>Spacetime</u>: action unknown
  - <sup>o</sup> In flat space, unknown beyond .
  - <sup>o</sup> In AdS, even more complicated.

Looking for a handle...

The large N conformal bootstrap can determine string effective actions:



Interpret as AdS amplitude (+ flat space limit if desired)

But this is too hard on the string side so far.

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Looking for a handle...

The large N conformal bootstrap can determine string effective actions:



Most work in this vein has been in a low-energy expansion. We want to do better.

Localization leads to exact results for SUSY quantities.

Sometimes, these quantities are independent of the coupling (partition functions, SUSY indices)

But not always.

e.g. 4d *N*=2 SQCD extremal 2-pt functions.

What about in  $\mathcal{N}=4$  SYM?

Consider = 4 super Yang-Mills, with gauge group G.

It contains a complexified gauge coupling on which generic observables depend.

$$\tau = \frac{\theta}{2\pi} + \frac{4\pi i}{g^2}$$

Being exactly marginal, parameterizes a "conformal manifold" preserving = 4 SUSY:



All single-trace operators are ½-BPS or unprotected.

Unprotected correlators are too hard.

2-, 3-point functions of  $\frac{1}{2}$ -BPS operators are independent of coupling.

4-point functions depend on cross-ratios and receive unprotected contributions...

Remarkably, there is a class of **integrated 4**-point functions which can be computed exactly from localization, even at finite N.

 $\int d\mu(z,\bar{z}) \langle \mathcal{O}_1 \mathcal{O}_2 \mathcal{O}_3 \mathcal{O}_4 \rangle$ 

Combined with large N bootstrap methods, these teach us about string effective actions.

They are also amazing observables in their own right.



= 4 SYM enjoys **S-duality**.

For simply-laced G, this is a self-duality under transformations of (up to global identifications).

 $\mathbb{O}(\gamma\tau) = \mathbb{O}(\tau), \quad \gamma \in SL(2,\mathbb{Z})$ 

e.g  $\Delta_i, \langle \mathcal{O}_i \mathcal{O}_j \mathcal{O}_k \mathcal{O}_\ell \rangle$ 

(Non-local observables invariant under congruence subgroups.)

S-duality of = 4 SYM is beyond a reasonable doubt...

- Field theory (D-instantons, 1/N, bound states, partition functions, ...)
- Holography + string theory (D-instantons, graviton scattering, ...)

# 

#### But its abstract consequences for CFT observables have not been fully understood.

[Montonen, Olive; Olive, Witten; Osborn; Argyres, Kapustin, Seiberg; Vafa, Witten; Sen; Gomis, Okuda; Dorey, Hollowood, Khoze, Mattis, Vandoren; Green, Gutperle; Banks, Green; Green, Miller, Vanhove; D'Hoker et al; Beem, Rastelli, Sen, van Rees; Chester, Green, Pufu, Wang, Wen; ...] This is just one instantiation of invariance:

In conformal field theory, modularity is everywhere.



- Spacetime symmetry of 2d CFT
- Electric-magnetic duality symmetry of Maxwell theory
- Generalized modularity of counting functions in superconformal field theories

• ...

Everybody knows that we should first process symmetries, then compute. Why can't we do this for modular invariance? This is just one instantiation of invariance:

In conformal field theory, modularity is everywhere.



• Spacetime symmetry of 2d CFT

. . .

- Electric-magnetic duality symmetry of Maxwell theory
- Generalized modularity of counting functions in superconformal field theories

Everybody knows that we should first process symmetries, then cc Why can't we do this for modular invariance? (We can.)



The rest of the talk is a story about these intertwined concepts, and how they are leading us toward exact (quantum) string theory observables.

#### **Outline:**

- 1) String tree amplitudes...
- 2) ... from N=4 SYM correlators
  - Unintegrated
  - Integrated
- 3) spectral theory
  - Application to Integrated correlators & semiclassical string theory

Some relevant things that I won't/can't talk about:



Quartic supergraviton scattering amplitudes in (say) type IIB string theory in flat space take the form

$$\mathcal{A}_{\mathbb{M}_{10}} \approx \frac{1}{stu} + \alpha'^3 \sum_{m,n=0}^{\infty} \alpha'^{2m+3n} \sigma_2^m \sigma_3^n f_{mn}(\tau) \qquad \qquad \sigma_2 := s^2 + t^2 + u^2$$
  
$$\sigma_3 := stu$$
  
$$s + t + u = 0$$
  
$$\tau := \chi + ie^{-\phi}$$

There is a corresponding effective action:

$$\mathcal{L}_{\mathbb{M}_{10}} = R + f_{00}(\tau) \, \alpha'^3 R^4 + f_{10}(\tau) \, \alpha'^5 D^4 R^4 + f_{01}(\tau) \, \alpha'^6 D^6 R^4 + \dots$$

In type IIB string theory, these are -invariant functions, order-by-order. (In type IIA, only dilaton)

Higher orders are not fully known.

Here are the ones that we know (cf. explicit worldsheet/S-duality/on-shell methods):

$$f_{R^4}(\tau) \propto E_{\frac{3}{2}}(\tau), \quad f_{D^4 R^4}(\tau) \propto E_{\frac{5}{2}}(\tau), \quad f_{D^6 R^4}(\tau) \propto F_{3;\frac{3}{2},\frac{3}{2}}(\tau)$$

You're probably familiar with non-holomorphic Eisenstein series, eigenfunctions of hyperbolic Laplacian:

$$(\Delta_{\tau} - s(1-s))E_s(\tau) = 0$$

Note the Maass cusp forms, also eigenfunctions (more later)  $\left(\Delta_{\tau} - \left(\frac{1}{4} + t_n^2\right)\right)\phi_n(\tau) = 0$ 

Less familiar are the "generalized Eisensteins"

$$(\Delta_{\tau} - s(s+1))F_{s;s_1,s_2}(\tau) = -E_{s_1}(\tau)E_{s_2}(\tau)$$

Higher-order terms, and beyond, are unknown in general (modulo low-loop Green, Gutperle, Green, Gutperle, Kwon; D'Hoker, Green, Vanhove; Green, Russo, Vanhove; Green, Miller, Vanhove; Wang, Yin: ...]

What about AdS? It's easy to understand why the AdS string effective action is more complicated...



Finite-size correction

What about AdS? It's easy to understand why the AdS string effective action is more complicated...



At fixed order in  $\alpha'$ , amplitudes are *not* homogeneous in momenta  $\mathcal{A}_{AdS_5} \approx \mathcal{A}_{sugra} + \alpha'^3 \sum_{m,n=0} \alpha'^{2m+3n} \sum_{j=0} \sum_{k=0} \sigma_2^{m-j} \sigma_3^{n-k} f_{mn}^{(jk)}(\tau)$ 

At fixed order in momenta, coefficients have an infinite expansion in  $\mathcal{A}_{AdS_5} \approx \mathcal{A}_{sugra} + \alpha'^3 \sum_{m,n=0} \sigma_2^m \sigma_3^n \sum_{j=0} \alpha'^{2m+3n+j} f_{mn}^{(j)}(\tau)$ 

... but the AdS effective action is of clear interest:

- 1) It encodes CFT correlators
- 2) It can be derived from CFT correlators



[Polchinski; Penedones] [Maldacena, Simmons-Duffin, Zhiboedov] [Komatsu, Paulos, van Rees, Zhao]

One can also take the flat space limit.

This isolates the leading term in momenta, order-by-order in  $\alpha'$ .

$$\mathcal{A}_{AdS_5} \approx \frac{1}{stu} + \alpha'^3 f_{R^4}(\tau) + \alpha'^5 \left( \sigma_2 f_{D^4 R^4}(\tau) + \frac{1}{L_{AdS}^2} f_{R^6}(\tau) \right) + \dots$$

Implementing this program,

```
f_{R^4}(\tau) \propto E_{\frac{3}{2}}(\tau), \quad f_{D^4 R^4}(\tau) \propto E_{\frac{5}{2}}(\tau), \quad f_{D^6 R^4}(\tau) \propto F_{3;\frac{3}{2},\frac{3}{2}}(\tau)
```

can be recovered from certain computations of  $\mathcal{N}=4$  SYM four-point functions.

The holographic results also determine an infinite set of SUSY-protected terms at higher-orders.

We now turn to how this works.

(N.B: Analogous results exist in M-theory.)

#### *√*=4 SYM correlators

Define <sup>1</sup>/<sub>2</sub>-BPS superconformal primaries

$$\mathcal{O}_p \sim \operatorname{tr}(\phi^{(I_1} \cdots \phi^{I_p})) \in [0 \, p \, 0] \text{ irrep of } \mathfrak{su}(4)_R \qquad (\Delta_p, J_p) = (p, 0)$$

Holographically dual to scalar KK modes on S<sup>5</sup> (modulo multi-trace admixture details)

At p>2, there is degeneracy (multi-traces), e.g.  $\mathcal{O}_p := [\mathcal{O}_2]^{p/2}, \quad p \in 2\mathbb{Z}_+$ Dual to ½-BPS gas of gravitons

Consider four-point functions: schematically, 
$$\langle 22pp \rangle = (\text{free part}) + \mathcal{IH}_p^{(N)}(u,v;\tau)$$
  $\mathcal{I} = \text{SC Ward identity factor}$ 

Specialize (for now) to simplest case of p=2 [] Four-point supergraviton amplitude in AdS<sub>5</sub>

#### .√=4 SYM correlators: Finite N

Some is known about <22pp> at finite N

- Perturbation theory
  - 0 Two loops (generic p) [D'Alessandro, Genovese]
  - Three loops (p=2)
- [Drummond, Duhr, Eden, Heslop, Pennington, Smirnov; Eden, Heslop, Korchemsky, Sokatchev; Fleury, Pereira]
- Numerical superconformal boots (Rapp, Rastelli, van Rees; Bissi, Manenti, Vichi; Alday, Chester;
  - Mainly p = 2



• Some p = 2, 3 (mixed correlator system)







SU(2)

#### .√=4 SYM correlators: Large N

At large N, the usual 't Hooft limit is well-studied  $\infty$ ,  $\lambda := g_{YM}^2 N$  fixed

- Perturbation theory in (Feynman diagrams, symbology, etc), (holography)
- Integrability
- Planar numerical conformal bootstrap-Huot, Coronado,  $0 = W^{\text{protected}} + \sum_{(\Delta,J) \text{ long}} \lambda_{\Delta,J}^2 W[\Delta,J]$

Less familiar is the "very strongly coupled" linNit:  $\infty$ ,  $g_{YM}^2$  fixed

This preserves SL(2, $\mathbb{Z}$ ) properties of SYM observables.

[Azeyanagi, Hanada, Honda, Matsuo, Shiba]

In particular,  $\langle 22pp \rangle$  is SL(2, $\mathbb{Z}$ )-invariant.

$$\mathcal{H}_p(u,v;\tau) = \mathcal{H}_p(u,v;\gamma\tau), \quad \gamma \in SL(2,\mathbb{Z})$$

In the 't Hooft limit, bulk string theory is weakly coupled.

The tree-level four-graviton string amplitude is the "AdS<sub>5</sub> x **S**<sup>5</sup>-Virasoro-Shapiro" amplitude  $\mathcal{A}_{AdS_5} \approx \mathcal{A}_{sugra} + \alpha'^3 \sum_{m,n=0}^{\infty} \sigma_2^m \sigma_3^n \sum_{j=0}^{\infty} \alpha'^{2m+3n+j} c_{mn}^{(j)} \sim f_{mn}^{(j)}(\tau)\big|_{tree}$ 

Various partial results here [Alday, Hansen, Silva]

Recent bootstrap + Integrability approach:

 $c_{mn}^{(1)}$ 

(Dispersive sum rules) + (Integrability data) + (svMZV assumption) [] All

$$\sum_{\delta=1}^{\infty} \sum_{n=0}^{\delta-1} \frac{1}{\delta^4} \mathcal{D}_n(\delta) \frac{y+2}{1-x-y} \binom{z+\delta-\frac{n}{2}-1}{\delta-n-1}^2$$

Nice structural generalization of VS amplitude...

... with complicated details

 $\mathcal{D}_{n}(\delta) = h_{n}(\delta) - g_{n}(\delta) \left(3 + 2x\partial_{x} + 3y\partial_{y}\right) + \delta_{n,0} \left(-\left(\frac{1}{2}x\partial_{x} + \frac{3}{4}y\partial_{y} + \frac{27}{4}\right)(z+\delta)\partial_{z}z\partial_{z} - 6(x\partial_{x})^{2}y\partial_{y} - 9x\partial_{x}(y\partial_{y})^{2} - 16x\partial_{x}y\partial_{y} - \frac{4}{3}(x\partial_{x})^{3} - \frac{16}{3}(x\partial_{x})^{2} - \frac{1}{3}x\partial_{x} - \frac{9}{2}(y\partial_{y})^{3} - 12(y\partial_{y})^{2} - \frac{1}{2}y\partial_{y} - \frac{277}{32} + \left(6x\partial_{x}y\partial_{y} + 2(x\partial_{x})^{2} + \frac{26}{3}x\partial_{x} + \frac{9}{2}(y\partial_{y})^{2} + 13y\partial_{y} - \frac{21}{8}\right)z\partial_{z} + \left(3x\partial_{x}y\partial_{y} + (x\partial_{x})^{2} + \frac{55}{12}x\partial_{x} + \frac{9}{4}(y\partial_{y})^{2} + \frac{55}{8}y\partial_{y} + \frac{33}{16}\right)\delta\partial_{z}\right).$ (5.16)

(Instead expand in momenta?)

We henceforth focus on the very strongly coupled limit, where  $SL(2,\mathbb{Z})$  is manifest.

The <2222> correlator has been computed to several orders in 1/N. In Mellin space, s + t + u = 4

$$\begin{split} \widetilde{\mathcal{M}}_{2}(s,t;\tau)\Big|_{\text{tree}} &\approx \frac{1}{c} \frac{8}{(s-2)(t-2)(u-2)} + \frac{1}{c^{7/4}} \frac{15\zeta(3)}{2\sqrt{2\pi^{3}}} E_{\frac{3}{2}}(\tau) \\ &+ \frac{1}{c^{9/4}} \frac{315\zeta(5)}{64\sqrt{2\pi^{5}}} E_{\frac{5}{2}}(\tau)(\sigma_{2}-3) + \frac{1}{c^{5/2}} \frac{315\zeta(3)^{2}}{16\pi^{3}} F_{3;\frac{3}{2},\frac{3}{2}}(\tau) \Big(\sigma_{3} - \frac{1}{4}\sigma_{2} - 4\Big) + \dots \\ \mathsf{y} \end{split}$$

 Orange = Finite-size

 The supergravity term has been bootstrapped from CFOrMACTIONEDS + holographic consistency conditions

Indeed,  $\langle p_1 p_2 p_3 p_4 \rangle$  supergravity correlators follow from a single "master" formula: [Rastelli, Zhou]

$$\mathcal{H}^{\mathrm{sugra}}_{p_1p_2p_3p_4}(u,v) = \mathcal{D}_{p_1p_2p_3p_4}\mathcal{H}^{\mathrm{sugra}}_{2222}(u,v) \qquad \qquad \text{``Hidden 10d conformal sym''}$$

[Caron-Huot, Trinh]

Corrections to supergravity have been reproduced from CFT by different, more involved means....

Consider the first correction ():

$$\widetilde{\mathcal{M}}_{2}(s,t;\tau)\Big|_{\text{tree}} \approx \frac{1}{c} \frac{8}{(s-2)(t-2)(u-2)} + \frac{1}{c^{7/4}} \frac{15\zeta(3)}{2\sqrt{2\pi^{3}}} E_{\frac{3}{2}}(\tau) + \dots$$

 $\tau$ 

- Constructed in late 90's via instantons + stringuchaditgreen]
- Required by on-shell superamplitude identities (Laplace eq with[Wang, Yin]
- What about S-matrix bootstrap approach:

[Guerrieri, Penedones, Vieira]





FIG. 3. String Theory covers all or almost all the allowed quantum gravity theory space.

with

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 $\dagger \alpha$ 

Primal for various N's

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$$\begin{aligned} \widetilde{\mathcal{M}}_{2}(s,t;\tau) \Big|_{\text{tree}} &\approx \frac{1}{c} \frac{8}{(s-2)(t-2)(u-2)} + \frac{1}{c^{7/4}} \frac{15\zeta(3)}{2\sqrt{2\pi^{3}}} E_{\frac{3}{2}}(\tau) \\ &+ \frac{1}{c^{9/4}} \frac{315\zeta(5)}{64\sqrt{2\pi^{5}}} E_{\frac{5}{2}}(\tau)(\sigma_{2}-3) + \frac{1}{c^{5/2}} \frac{315\zeta(3)^{2}}{16\pi^{3}} F_{3;\frac{3}{2},\frac{3}{2}}(\tau) \Big(\sigma_{3}-\frac{1}{4}\sigma_{2}-4\Big) + \dots \end{aligned}$$

Let us now delve into how the corrections were computed from the CFT.

As a segue, recall the earlier result of [Chester-Dempsey-Pufu]

**Q:** How did they track position along ?

**A:** By using certain exact quantities – *integrated correlators* – obtained from localization.

Remarkably, <22pp> correlators may be found exactly, for all and (!)...

**IF** we integrate against a specific spacetime measure.

#### *√*=4 SYM Integrated Correlators

Here is the basic relation:

Mass-deformed free energy on S<sup>4</sup> with source

$$\begin{split} \int d\mu(u,v)\,\mathcal{H}_p^{(N)}(u,v;\tau) &= ``\partial_{\tau_p}^2 \partial_m^2 \log Z_{S^4}(\tau,\tau_p;m) \big|_{m=\tau_p=0}" \end{split}$$
 Specific measure Brings down two 's Brings down two 's

[Binder, Chester, Pufu, Wang; Chester, Pufu]

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Specific measure Brings down two 's Brings down two 's

$$\mathcal{G}_{p}^{(N)}(\tau) := -\frac{2}{\pi} \int_{0}^{\infty} dr \int_{0}^{\pi} d\theta \, \frac{r^{3} \sin^{2} \theta}{u^{2}} \, \mathcal{H}_{p}^{(N)}(u, r^{2}; \tau) \,, \quad u = 1 + r^{2} - 2r \cos \theta$$

This is tremendously powerful.

In the planar limit, e.g. 
$$g_p^{(\mathfrak{g}=0)}(\lambda) = \int_0^\infty d\omega \; \frac{\omega}{\sinh^2 \omega} \left( J_1 \left( \frac{\sqrt{\lambda}}{\pi} \omega \right)^2 - J_p \left( \frac{\sqrt{\lambda}}{\pi} \omega \right)^2 \right)$$

Other derivatives are possible:  $\mathcal{H}_{2}^{(N)}(u,v;\tau) = \mathcal{H}_{2}^{4} \log Z_{S^{4}}(\tau,\tau_{p};m)|_{m=0}$ 

[Binder, Chester, Pufu, Wang; Chester, Pufu]

#### .√=4 SYM Integrated Correlators: Large N

With this in hand, the p=2 case was systematically studied in the very strongly coupled limit.

Combining various constraints + flat space limit yielded the previous unintegrated (Ideaformedgeate general amplitude and match.)

~ No protection beyond

At higher-orders, can only get SUSY \*part\* of the correction: not enough localization constraints...

But, here's a new here's  $\left[ \frac{1}{c^{5/2}} \delta_1 F_{3;\frac{3}{2},\frac{3}{2}}(\tau) + \frac{1}{c^3} \left( \delta_2 F_{6;\frac{5}{2},\frac{3}{2}}(\tau) + \delta_3 F_{4;\frac{5}{2},\frac{3}{2}}(\tau) \right) + \frac{1}{c^{7/2}} \left( \delta_4 F_{3;\frac{3}{2},\frac{3}{2}}(\tau) + \sum_{r=5,7,9} \alpha_r F_{r;\frac{3}{2},\frac{3}{2}}(\tau) + \beta_r F_{r;\frac{5}{2},\frac{5}{2}}(\tau) + \gamma_r F_{r;\frac{7}{2},\frac{3}{2}}(\tau) \right) + \dots$ 

[Chester, Green,

 $\Box$  Generalized Eisensteins appear to <u>all</u> orders in the AdS<sub>5</sub> and string effective actions.

#### *√*=4 SYM Integrated Correlators: Finite N

What about finite N?

A solution may be inferred from SUSY localization, for all and ! This was pioneered by [Dorigoni, Green, Wen], who conjectured/computed this for p=2:

$$\mathcal{G}_{2}^{(N)}(\tau) = \frac{1}{2} \sum_{(m,n)\in\mathbb{Z}^{2}} \int_{0}^{\infty} d\xi \, B_{N}(\xi) \exp\left(-\pi\xi \, \frac{|m+n\tau|^{2}}{y}\right) \qquad \text{Kational function involving Jacobi polynomials, e.g.} \\ B_{2}(\xi) = \frac{3\xi(3-10\xi+3\xi^{2})}{(1+\xi)^{5}}$$

Pational function

N>2 recursively defined by "Laplace difference equation":

$$-(\Delta_{\tau}+2)\mathcal{G}_{2}^{(N)}(\tau) = N^{2} \Big[\mathcal{G}_{2}^{(N+1)}(\tau) - 2\mathcal{G}_{2}^{(N)}(\tau) + \mathcal{G}_{2}^{(N-1)}(\tau)\Big] - N\Big[\mathcal{G}_{2}^{(N+1)}(\tau) - \mathcal{G}_{2}^{(N-1)}(\tau)\Big]$$

This recursion was later proven by [Dorigoni, Green, Wen, Xie] directly from the matrix model,

with N=2 as an initial condition.

#### *√*=4 SYM Integrated Correlators: Finite N

The DGW result is manifestly  $SL(2,\mathbb{Z})$ -invariant.

There is another SL(2, $\mathbb{Z}$ )-invariant presentation of this object, which arose from some parallel developments in the broader CFT literature.
### *√*=4 SYM Integrated Correlators: Finite N

The DGW result is manifestly  $SL(2,\mathbb{Z})$ -invariant.

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How do we better understand modularity in CFT?

Use  $SL(2,\mathbb{Z})$  spectral theory = Harmonic analysis on the fundamental domain

Applying to the integrated correlators leads to great simplifications, and makes physical features transparent.

A square-integrable, -invariant function admits a unique decomposition into an invariant complete eigenbasis of the hyperbolic Laplacian.

$$L^{2}(\mathcal{F}) = L^{2}_{\text{const}}(\mathcal{F}) \oplus L^{2}_{\text{cont}}(\mathcal{F}) \oplus L^{2}_{\text{disc}}(\mathcal{F})$$

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1. *Constant*: "Modular average"

 $\Delta_{\tau} f = 0$ 

2. *Continuous*: Eisenstein series  $\Delta_{\tau} E_s(\tau) = s(1-s)E_s(\tau)$ 

$$s = \frac{1}{2} + it, \quad t \in \mathbb{R}$$

3. *Discrete*: Maass cusp forms

$$\Delta_{\tau}\phi_n(\tau) = \left(\frac{1}{4} + t_n^2\right)\phi_n(\tau)$$

Smooth

$$(f,g) := \int_{\mathcal{F}} \frac{dxdy}{y^2} f(\tau) \overline{g(\tau)}$$

A square-integrable, -invariant function admits a unique decomposition into an invariant complete eigenbasis of the hyperbolic Laplacian.

$$\mathbb{O}(\tau) = \overline{\mathbb{O}} + \frac{1}{4\pi i} \int_{\operatorname{Re} s = \frac{1}{2}} ds \left\{ \mathbb{O}, E_s \right\} E_s^*(\tau) + \sum_{n=1}^{\infty} (\mathbb{O}, \phi_n) \phi_n(\tau)$$

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1. *Constant*: "Modular average"

 $\overline{\mathbb{O}} := \operatorname{vol}(\mathcal{F})^{-1} \int_{\mathcal{F}} \frac{dxdy}{y^2} \mathbb{O}(\tau)$ 

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3. *Discrete*: Maass cusp forms

$$(f,g) := \int_{\mathcal{F}} \frac{dxdy}{y^2} f(\tau) \overline{g(\tau)}$$

A square-integrable, -invariant function admits a unique decomposition into an invariant complete eigenbasis of the hyperbolic Laplacian.

$$\mathbb{O}(\tau) = \overline{\mathbb{O}} + \frac{1}{4\pi i} \int_{\operatorname{Re} s = \frac{1}{2}} ds \, \{\mathbb{O}, E_s\} E_s^*(\tau) + \sum_{n=1}^{\infty} (\mathbb{O}, \phi_n) \phi_n(\tau)$$

1. *Constant*: "Modular average"

2. *Continuous*: Eisenstein series 3. *Discrete*: Maass cusp forms

• Fourier decomposition:  $E_s^*(\tau) := \Lambda(s)E_s(\tau)$ 

$$= \Lambda(s)y^{s} + \Lambda(1-s)y^{1-s} + \sum_{k=1}^{\infty} 4\cos(2\pi kx)\frac{\sigma_{2s-1}(k)}{k^{s-\frac{1}{2}}}\sqrt{y}K_{s-\frac{1}{2}}(2\pi ky)$$

• Functional equations:  $E_s^*(\tau) := E_{1-s}^*(\tau)$ 

Completed Riemann zeta  $\Lambda(s):=\pi^{-s}\Gamma(s)\zeta(2s)$ 

• Overlap is a Mellin integral of **zero mode**:

[Rankin, 
$$(\mathbb{O},E_s) = \int_0^\infty dy \, y^{-s-1} \mathbb{O}_0(y) := \Lambda(s) \{\mathbb{O},E_s\}$$
 Selberg]

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3. *Discrete*: Maass cusp forms

Maass cusp forms are the most interesting eigenfunctions.

- Functionally similar to Eisenstein series...
- ... but they vanish at the cusp...
- ... and are infinite in number, but **none** known analytically
  - *Small n*: numerics (~10<sup>3</sup> digits)
  - Large n: universality

 $\phi_n(\tau) = \sum_{k=1}^{\infty} a_k^{(n)} \cos(2\pi kx) \sqrt{y} K_{it_n}(2\pi ky)$  $\phi_n(\tau) \sim e^{-2\pi y} \qquad (y \to \infty)$ 

[Hejhal; Then; Booker, Strombergsson, Venkatesh; Sarnak; ...]

LMFDB	$ ilde{ o}$ Modular forms $ o$ Maass $ o$ Level 1 $ o$ Weight 0 $ o$ Character 1.1 Maass form on $\Gamma_0(1)$ with $R=13.7797513519$	Citation · Feedback · Hide Menu
Introduction Overview Random	The even Maass form on $SL(2,\mathbb{Z})$ with the smallest eigenvalue.	Properties
Universe Knowledge	Maass form invariants	
L-functions Rational All Modular forms	Level:       1         Weight:       0         Character:       1.1         Symmetry:       even	
Classical Maass Hilbert Bianchi	Symmetry.evenFricke sign:+1Spectral parameter:13.7797513519	Level 1
VarietiesElliptic curves over $\mathbb{Q}$ Elliptic curves over $\mathbb{Q}(\alpha)$	Maass form coefficients $a_1 = +1.000000000$ $a_2 = +1.549304478$ $a_3 = +0.246899772$ $a_4 = +1.400344365$ $a_5 = +0.737060385$	Weight0Character1.1SymmetryevenFricke sign+1
Genus 2 curves over Q	$a_6 = +0.382522923$ $a_7 = -0.261420076$ $a_8 = +0.620255318$ $a_9 = -0.939040503$ $a_{10} = +1.141930962$	Related objects
Abelian varieties over $\mathbb{F}_q$	$a_{11} = -0.953564653$ $a_{12} = +0.345744705$ $a_{13} = +0.278827029$ $a_{14} = -0.405019294$ $a_{15} = +0.181980041$ $a_{16} = -0.439380024$ $a_{17} = +1.307341715$ $a_{18} = -1.454859655$ $a_{19} = +0.092558583$ $a_{20} = +1.032138358$	L-function
Fields	$a_{21} = -0.064544557$ $a_{22} = -1.477361986$ $a_{23} = +1.138068521$ $a_{24} = +0.153140897$ $a_{25} = -0.456741988$	Downloads
Number fields <i>p</i> -adic fields	$ \begin{array}{llllllllllllllllllllllllllllllllllll$	All stored data to text Underlying data
Representations	$a_{36} = -1.314980076  a_{37} = +0.199265656  a_{38} = +0.143401426  a_{39} = +0.068842330  a_{40} = +0.457165624$	Learn more
Dirichlet characters Artin representations Groups	$\begin{array}{llllllllllllllllllllllllllllllllllll$	Source and acknowledgments Completeness of the data Reliability of the data

Why are the cusp forms so elusive?

They are chaotic. ("Arithmetic chaos", not RMT.)

Leads to sporadic behavior and large n universality.

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Spectral parameter

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[Hejhal, Rackner; Then]

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Leads to **sporadic** behavior and large n universality.

Spectral parameter

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[Hejhal, Rackner; Then]

Why are the cusp forms so elusive?

They are chaotic. ("Arithmetic chaos", not RMT.)

Leads to sporadic behavior and large n universality.

Random Wave Conjecture

[Sarnak et al; ...] *Gaussian Moments Conjecture* 

*Quantum Unique Ergodicity* 

...

Ramanujan Conjecture

Understanding this chaos in CFT: still in progress!

Spectral parameter

Spectral parameter



[Hejhal, Rackner; Then]

## Spectral Decomposition in CFT

The power of modularity is its ability to relate "UV" data to "IR" data. In 2d CFT, relates low-E and high-E spectral densities (Cardy formula)

Normally, modularity of Z() is obscured while character expansion is manifest. The spectral decomposition reverses this.

> [Benjamin, Collier, Fitzpatrick, Maloney, EP1

Beware:  $Z(\tau)$  is not square-integrable. Apply with care!

$$Z_{\text{primary}}(y \to \infty) \sim e^{\frac{\pi(c-1)}{6}y} \qquad Z_{\text{primary}}(y \to \infty) \sim y^c$$

Virasoro CFT

<u>U(1)<sup>c</sup> Narain</u> CFT

/2

[Benjamin, Chang; Haehl, Marteau, Reeves, Rozali; Benjamin, Collier, Kruthoff, Interesting connections to random matrix statistics and wormholes in AdSinde, Zhang; Di Ubaldo, EP] [Luo, Wang]

Many further CFT applications await

gravity.

## Spectral Decomposition of = 4 SYM

The spectral decomposition fits like a glove in = 4 SYM.

In any CFT at finite coupling, well-defined observables are finite, modulo possible divergences at boundaries of moduli space.

In = 4 SYM, the cusp just maps to the free theory, where observables converge to their free values.



Therefore, = 4 SYM observables admit a spectral decomposition.

[Collier, EP] Spectral Decomposition of = 4 SYM

$$\mathbb{O}(\tau) = \overline{\mathbb{O}} + \frac{1}{4\pi i} \int_{\operatorname{Re} s = \frac{1}{2}} ds \{\mathbb{O}, E_s\} E_s^*(\tau) + \sum_{n=1}^{\infty} (\mathbb{O}, \phi_n) \phi_n(\tau)$$

This is a **complete basis**. The overlaps *are* the observable.

 $\{\mathbb{O}, E_s\}, \quad (\mathbb{O}, \phi_n)$ 

Resist the temptation to revert to -space!



### The Analytic Structure of = 4 SYM

In = 4 SYM, Fourier number = Instanton number:  $\mathbb{O}_0(y) + \sum_{k \neq 0} e^{2\pi i k x} \mathbb{O}_k(y)$ 

An obvious constraint: consistent perturbation theory.

(N.B. insensitive to cusp forms.)

$$\overline{\mathbb{O}}_{0}(y) = \overline{\mathbb{O}} + \frac{1}{2\pi i} \int_{\operatorname{Re} s = \frac{1}{2}} ds \, \{\mathbb{O}, E_{s}\} \Lambda(s) y^{s}$$

To develop large y (small g<sup>2</sup>) expansion, deform to left. Demand no logs, only integer powers of

$$\{\mathbb{O}, E_s\} = \frac{\pi}{\sin \pi s} s(1-s) f_{\mathrm{p}}(s) + f_{\mathrm{np}}(s)$$

Perturbative, ~ : Values on integers encode weak coupling data Non-perturbative,  $\sim$ : Instanton-anti-instanton corrections

k = total instanton

 $(q^n \bar{q}^{n+k} + \text{c.c.})$ 

[Collier,

EP]

number

These functions are reflection symmetric (s  $\Box$  1-s) and real (for real s).

Back to integrated correlators.



Now pass to the SL(2, $\mathbb{Z}$ ) spectral basis. What happens?

The integrated correlators become *polynomials*!

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Cusp overlap vanishes

$$(\mathcal{G}_2^{(N)}, \phi_n) = 0$$

The integrated correlators become *polynomials*!

**1** Cusp overlap vanishes  $(\mathcal{G}_2^{(N)}, \phi_n) = 0$ 

2 Non-perturbative overlap vanishes  $\{\mathcal{G}_2^{(N)}, E_s\} = \frac{\pi}{\sin \pi s} s(1-s) f_{2,p}^{(N)}(s) + f_{2,p}^{(N)}(s)$ 

The integrated correlators become *polynomials*!

1 Cusp overlap vanishes  $(\mathcal{G}_2^{(N)}, \phi_n) = 0$ 

2 Non-perturbative overlap vanishes  $\{\mathcal{G}_{2}^{(N)}, E_{s}\} = \frac{\pi}{\sin \pi s} s(1-s) f_{2,p}^{(N)}(s) + f_{2,p}^{(N)}(s)$ || 3 Perturbative overlap is closed-form polynomial  $\frac{N(N-1)}{2}(2s-1)^{2}{}_{3}F_{2}(2-N,s,1-s;3,2;1)$ 

The SU(2) integrated correlator is literally the simplest possible non-trivial overlap for a SYM observable.

[Collier, EP; Paul, EP, Raj]

This polynomial structure extends to all 22pp integrated correlators:

$$\mathcal{G}_{p}^{(N)}(\tau) = \overline{\mathcal{G}_{p}^{(N)}} + \frac{1}{4\pi i} \int_{\operatorname{Re} s = \frac{1}{2}} ds \frac{\pi}{\sin \pi s} s(1-s)(2s-1)^{2} g_{p}^{(N)}(s) E_{s}^{*}(\tau)$$
Behold the power of polynomiality:  
The perturbative expansion from the zero mode is
$$Even \text{ polynomial of } 2N + 2\left\lfloor \frac{p}{2} \right\rfloor - 6$$

$$\mathcal{G}_{p,0}^{(N)}(y) = \overline{\mathcal{G}_p^{(N)}} + \frac{1}{2\pi i} \int_{\operatorname{Re} s = \frac{1}{2}} ds \, \frac{\pi}{\sin \pi s} s(1-s)(2s-1)^2 g_p^{(N)}(s) \Lambda(s) y^s$$

 $\Box$  The integrated correlator is completely **fixed** by  $\frac{1}{2}$  be first orders in perturbation theory.

"Instanton redundancy": very strong form of resurgence

[Collier, EP; Paul, EP, Raj]

A nice choice for :  $\mathcal{O}_p := [\mathcal{O}_2]^{p/2}\,, \quad p \in 2\mathbb{Z}_+$  ("maximal trace") [Paul, EP, Raj]

Exact solution for all and ... just hypergeometric functions in spectral basis (cf. Hynek Paul's talk)

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 ("maximal trace") [Paul, EP, Raj]

 $Q_0$   $Q_2$   $Q_4$   $Q_6$   $\cdots$ 

Shifted correlator

 $\kappa_p := \frac{p}{4} \left( N^2 + p - 3 \right) \qquad \qquad \mathcal{Q}_p^{(N)}(\tau) := \mathcal{G}_p^{(N)}(\tau) - \frac{N^2 - 1}{2} \Delta_\tau^{-1} \mathcal{G}_2^{(N)}(\tau)$ 

Exact solution for all and ... just hypergeometric functions in spectral basis (cf. Hynek Paul's talk)

Coupling

Integrated correlators = Simple harmonic oscillators evolving over  $\Delta_{\tau} \mathcal{Q}_{p-2}^{(N)}(\tau) = -\kappa_p \left( \mathcal{Q}_p^{(N)}(\tau) - \mathcal{Q}_{p-2}^{(N)}(\tau) \right) + \kappa_{p-2} \left( \mathcal{Q}_{p-2}^{(N)}(\tau) - \mathcal{Q}_{p-4}^{(N)}(\tau) \right)$ 

(Evocative of Toda chain for SQCD extremal correlators)

#### Ensemble averages in = 4 SYM

What about  $\mathbb{O}(\tau) = \overline{\mathbb{O}} + \frac{1}{4\pi i} \int_{\operatorname{Re} s = \frac{1}{2}} ds \{\mathbb{O}, E_s\} E_s^*(\tau) + \sum_{n=1}^{\infty} (\mathbb{O}, \phi_n) \phi_n(\tau)$  the constant term?

In a general CFT with a conformal manifold , we may define an average over exactly marginal couplings.

$$\langle \mathbb{O} \rangle := \int d\mu_{\mathcal{M}}(\lambda) \,\mathbb{O}(\lambda) \,, \text{ where } d\mu_{\mathcal{M}}(\lambda) := g_{\mu\nu}^{Z}(\lambda) d\lambda^{\mu} d\lambda^{\nu}$$

A natural choice of measure is the Zamolodchikov measure.

In = 4 SYM, thanks to maximal SUSY, the Zamolodchikov metric is the hyperbol

*Ensemble average* 

$$\langle \mathbb{O} 
angle = \overline{\mathbb{O}}$$

Modular average

$$\mathcal{G}_2^{(N)} \rangle = \frac{N(N-1)}{4} \qquad \langle \mathcal{G}_p^{(N)} \rangle = \langle \mathcal{G}_2^{(N)} \rangle \left( H_{\frac{N^2+p-3}{2}} - H_{\frac{N^2-3}{2}} \right)$$

For maximal trace integrated correlators,

This application of SL(2, $\mathbb{Z}$ ) spectral theory suggests a rethinking of coupling-dependence in  $\mathcal{N} = 4$  SYM.

# Integrated Correlators: Extensions

Much more has been done...

• Integrated correlators on defects (two-point functions)

[Drukker, Kong, Sakkas; Cavaglia, Gromov, Julius, Preti; Pufu, Rodriguez, Wang]

[Behan, Chester, Ferrero]

- ... and can be done (!?):
- Integrated four-point functions in SQCD [Chester; Fiol, Kong]
- Integrated four-point functions in d=2,3 SCFTs (cf. Val Reys' talk)
- Beyond vacuum correlators





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# Exact Large Charge & Semiclassical String Theory

**Let us study** large charge, p >> 1. Why?

- **1)** Large quantum number expansions are useful and interesting...
- $\mathcal{N}=4$  SYM octagon ٠

$$\langle pppp \rangle \sim [\mathbb{O}(z, z^{-1})]^2 \sim -\frac{\log^2 z}{2\pi^2} \left[ \log \cosh\left(\frac{\lambda}{8\pi}\right) \right] + \dots \quad (p \sim \sqrt{N} \to \infty)$$

- Large charge EFT in CFT<sub>d</sub>



[Coronado; Belitsky, Korchemsky; Kostov, Petkova, Serban; ...]

[Hellerman, ...]

Extremal two-point functions  $< pp > in \mathcal{N}=2$  SQCD ("'t Hooft-like limit") ۲

$$\log\left(\frac{\langle \operatorname{Tr}(\phi^2)^n \operatorname{Tr}(\phi^2)^n \rangle}{\mathcal{N}_n}\right) = \sum_{g=0}^{\infty} n^{-g} \mathcal{F}_g^{(N)}(\lambda_n) + (\text{non-pert in } n) \quad (n \to \infty, \ \lambda_n := g_{\mathrm{YM}}^2 n \text{ fixed})$$
[Bourget, B

2) We can probe string theory in backreacted geometries

[Bourget, Rodriguez-Gomez, Russo; Beccaria; Maeda, Hellerman; Grassi, Komargodski, Tizzano; ...]

## Exact Large Charge & Semiclassical String Theory

How? Integrated <22pp> at large $p_p$  wit  $[\mathcal{O}_2]^{p/2}$ ,  $p \in 2\mathbb{Z}_+$ 

[Paul, EP, Raj] [Brown, Wen, Xie]

Explore solution in the "*Gravity Regime*":

$$p \gg 1$$
,  $N \gg 1$ ,  $\alpha := \frac{p}{N^2}$  fixed

Becomes an integrated + supersymmetric **heavy-heavy-light-light** correlator (HHLL)

$$\int dz d\bar{z} \,\mu(z,\bar{z}) \,\langle \mathcal{O}_p(0)\mathcal{O}_2(z,\bar{z})\mathcal{O}_2(1)\mathcal{O}_p(\infty) \rangle = \int dz d\bar{z} \,\mu(z,\bar{z}) \,\langle p|\mathcal{O}_2(z,\bar{z})\mathcal{O}_2(1)|p\rangle$$

 $\Box$  Predictions for *string* theory (finite  $\alpha'$ ) in backreacted geometry

$$e^{-2\sqrt{\lambda/R_{\alpha}}}, \quad R_{\alpha} := 1 + 2\alpha - 2\sqrt{\alpha(\alpha+1)}$$

e.g. instanton effects  $\sim$ 

*Large charge screening factor* of AdS<sub>5</sub> x S<sup>5</sup> semiclassical



# **Closing thoughts**

Are we any closer to the full AdS<sub>5</sub> x **S**<sup>5</sup> tree-level string amplitude?

[Chen, Elvang, Herderschee]

[Schlotterer,

We have everything we need: 10d string *n*-point functions + exact  $AdS_5 \times S^5$  string background.

Suggestion: planar 4-point functions are more likely to be solved in closed-form than 3-point functions.

[Maldacena, Ooguri; Dei, Eberhardt; Bufalini, Iguri, (Side note: we **do** know the "AdS-Virasoro-Shapiro" amplitude in AdS<sub>3</sub> pure-NS case ensky]

More generally in = 4 SYM, it seems wise to try to harness S-duality as efficiently as possible.

Perhaps  $SL(2,\mathbb{Z})$  spectral theory and superconformal bootstrap can be fruitfully combined.

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Perhaps  $SL(2,\mathbb{Z})$  spectral theory and support from a bootstrap can be fruitfully combined.

# Why Ensemble Averages?

Answer #1:



The set of bootstrap solutions within some CFT universality class is a (generalized) kind of of ensemble.

What are *statistics* of CFT data within these islands?

What is a *typical* theory?

CFTs with exactly marginal couplings have a more literal notion of ensemble.

- What are the statistics of CFT ensembles defined by conformal manifolds ?
- How do these statistics relate to other properties of (e.g. compactness)?
- ...

# Why Ensemble Averages?

Answer #2: Recent developments in low-dimensional AdS/CFT establish a duality between random matrix ensembles and simple theories of d=2 gravity (JT/RMT duality).



Even in d>2, semiclassical Einstein gravity may, in a statistical/universality sense, be a theory of averages.

How this is compatible with 25 years of successful checks of AdS/CFT at large N, *without* averaging, has been a subject of much recent work.

In  $\mathcal{N} = 4$  SYM at large N, there is a rigorous version of these statements.

[Schlenker, Witten; Chandra, Collier, Hartman, Maloney; Collier, EP]
## Ensemble Averages at Large N

Consider the 't Hooft double-scaling line  $\infty$ ,  $g^2 \rightarrow 0$ ,  $\lambda := g^2 N$  fixed

Genus expansion:

$$\mathbb{O}(N,\lambda) = \sum_{g=0}^{\infty} N^{2-2g} \mathbb{O}_0^{(g)}(\lambda) + (NP)$$

(Nonzero modes and, therefore, cusp forms are exponentially suppressed in N.)

n the spectral decomposition 
$$(N, \lambda) = \langle \mathbb{O} \rangle + \frac{1}{2\pi i} \int_{\operatorname{Re} s = \frac{1}{2}} ds \{\mathbb{O}, E_s\} E_{s,0}^*(y)$$
 in N.)  
Develop genus expansion Write in terms of N and

**Result**: everything from spectral integral is suppressed at large N and large !

 $\mathbb{O}(\lambda \gg 1) \approx \langle \mathbb{O} \rangle + (\text{subleading in } 1/N \text{ and } 1/\lambda)$ 

By standard AdS/CFT duality, the LHS is equivalent to the AdS<sub>5</sub> x S<sup>5</sup> supergravity value.

## Supergravity as an Average

An equivalence between large N ensemble averaging and strong coupling in planar = 4 SYM:

$$\mathbb{O}(\lambda \to \infty) = \langle \mathbb{O} \rangle = \mathbb{O}_{\text{sugra}}$$

- The traditional holographic correspondence still holds.
- The ensemble average is emergent at strong coupling and large N.
- Applies to observables with a genus expansion double-trace dimensions, KK correlators, ...

e.g. Integrated correlato  $\mathcal{G}_{p}^{(g=0)}(\lambda) = \frac{p-1}{2p} \left(1 - O(\lambda^{-3/2})\right)$  [Binder, Chester, Pufu, Wang]

$$\langle \mathcal{G}_p^{(N)} \rangle = \frac{p-1}{2p} - \frac{(p-1)}{N} - \frac{(p^2-1)(p^2-4)}{48N^2} + \dots$$
 [Paul, EP, Raj]

• Extends to all genera:

$$\mathbb{O}^{(g)}(\lambda \to \infty) = \langle\!\langle \mathbb{O}^{(g)} \rangle\!\rangle := \lim_{N \to \infty} N^{2g-2} \langle \mathbb{O}^{(g)} \rangle$$

This is the finite term remaining after string theory regularization of UV divergences of g-loop supergravity.

The power of modularity is its ability to relate "UV" data to "IR" data.

In 2d CFT, -invariance relates low- and high-energy spectral densities.

In gauge theory, maps strong coupling to "dual" weakly coupled description.

Solitons become elementary particles, black holes become vacua, ...

Grand canonical partition functions for families of 2d CFTs can have modular symmetries acting on the potential conjugate to the number of d.o.f.

These symmetries relate CFTs with small and large central charge. What is the "fundamental domain" of the space of conformal field theories?