



# Efimov States and Clusters

**BIRA VAN KOLCK**



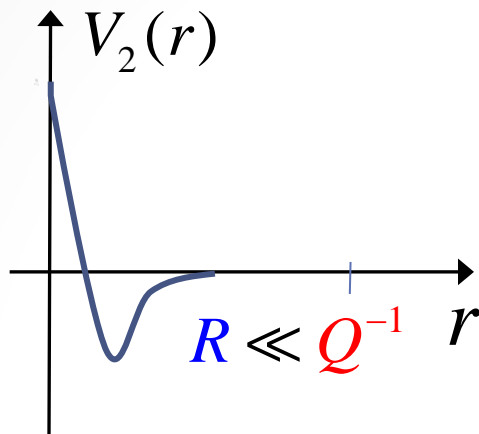
# Outline

- Unitarity, why?
- Discrete scale invariance
- Clustering
- Halo/Cluster EFT
- Conclusion

# Unitarity, why?

nonrelativistic,  
short-range  
interactions

$A = 2$



$$V_2(\vec{r}) = \frac{4\pi}{m} \left[ C_0(\Lambda) \delta_{\Lambda}^{(3)}(\vec{r}) + C_2(\Lambda) R^2 \nabla^2 \delta_{\Lambda}^{(3)}(\vec{r}) + \dots \right]$$

quantum  
multipole expansion

singular interactions

regularization

renormalization:

relative errors in observables  $\sim Q/\Lambda \lesssim QR$   
for  $\Lambda \gtrsim 1/R$

order by order  
in  $QR$



vK '97, '99

Kaplan, Savage, Wise '98

$$T_2(k \ll R^{-1}) = \frac{4\pi}{m} \left( a_2^{-1} + ik - \frac{r_2}{2} k^2 + \dots \right)^{-1} \quad [+l > 0]$$

Bethe '49

scattering  
length

effective  
range

unitarity limit  $a_2^{-1} \approx \sqrt{mB_2} \rightarrow 0$

$r_2 \sim \dots \sim R$  typically

Here:  
 $\hbar = 1, c = 1$   
↓  
 $[m] = [E] = [p]$   
 $= [r]^{-1} = [t]^{-1}$

Nucleons  
 $R \sim m_\pi^{-1}$

$^3S_1$   $(a_{2,I=0} m_\pi)^{-1} \approx 0.26$   
 $r_{2,I=0} m_\pi \approx 1.2$

$^1S_0$   $|a_{2,I=1,I_3=+1} m_\pi|^{-1} - |a_{2,I=1,I_3=0} m_\pi|^{-1} \approx 0.12$   
 $|a_{2,I=1,I_3=0} m_\pi|^{-1} \approx 0.06$   
 $|a_{2,I=1,I_3=-1} m_\pi|^{-1} - |a_{2,I=1,I_3=0} m_\pi|^{-1} \approx 0.02$   
 $r_{2,I=1} m_\pi \approx 1.9$

Atoms  
 $R \sim l_{\text{vdW}}$

$^4\text{He}$   
 $l_{\text{vdW}}/a_2 \approx 0.06$   
 $r_2/l_{\text{vdW}} \approx 1.3$

Near Feshbach resonances  
 $|l_{\text{vdW}}/a_2| \rightarrow 0$   
 $r_2/l_{\text{vdW}} \sim 1$

$\Rightarrow T_2(|a_2^{-1}| \ll k \ll R^{-1}) = \frac{4\pi}{m} (ik)^{-1} \left( 1 + \mathcal{O}\left(\frac{1}{ka_2}, kR\right) \right)$  universality

*unitarity window*

renormalization  $\Rightarrow C_0^{(0)}(\Lambda) = -\frac{1}{\theta_0 \Lambda}$

no parameter!

details in (distorted-wave) perturbation th!! cf. models

non-trivial fixed point

number depending on specific form of regulator

(continuous)  
scale invariance

$$S = \int \frac{dt}{2m} \int d^3r \left\{ \underbrace{\psi^\dagger \left( 2m \frac{\partial}{\partial t} - \vec{\nabla}^2 \right) \psi - 4\pi C_0^{(0)} (\psi^\dagger \psi)^2 + \dots}_{\text{invariant}} \right\}$$



$\alpha \geq 0$

$$\begin{aligned} r &\rightarrow \alpha r && \longleftrightarrow && p &\rightarrow \alpha^{-1} p && \longleftrightarrow && \Lambda &\rightarrow \alpha^{-1} \Lambda \\ t/m &\rightarrow \alpha^2 t/m && \longleftrightarrow && mE &\rightarrow \alpha^{-2} mE \\ \psi &\rightarrow \alpha^{-3/2} \psi \end{aligned}$$

## More bodies

no isolated, finite-energy S-matrix poles

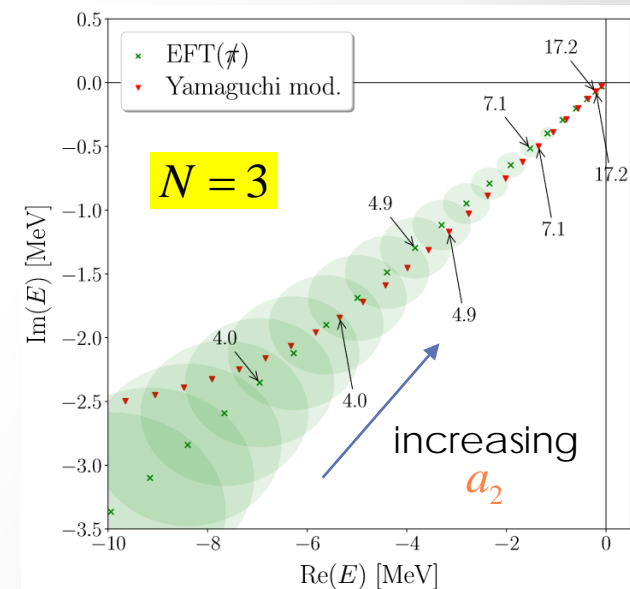
unless scale introduced by external interaction/trap

e.g.

$$\frac{E_N^{(0)}}{N} \Big|_{N \rightarrow \infty} = \frac{3k_F^2}{10m} \left( \xi + \mathcal{O} \left( \frac{1}{k_F a_2}, k_F r_2 \right) \right)$$

•  $k_F = (3\pi^2 \rho)^{1/3}$

universal number      Bertsch '99



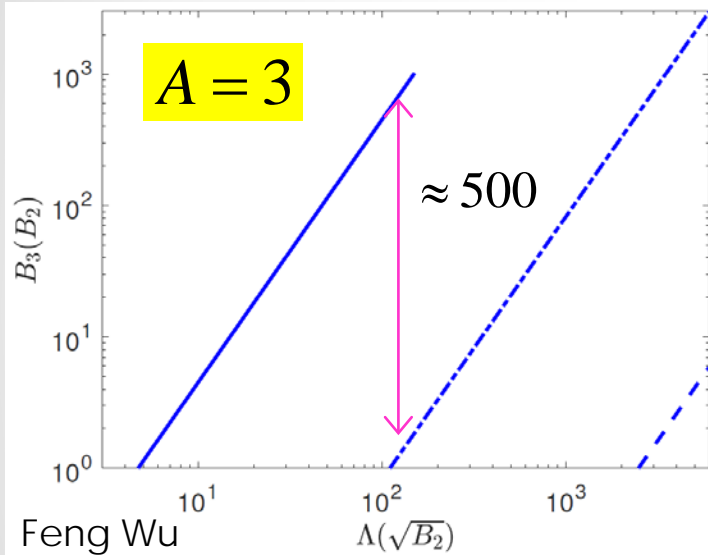
Dietz, Hammer, König, Schwenk,  
Phys. Rev. C **105** (2022) 064002

# Discrete scale invariance

Bedaque, Hammer, vK '99 '00

Bosons,  
multi-component fermions

e.g., nucleons



$$\frac{B_3}{3} \propto \frac{\Lambda^2}{m}$$

Thomas collapse

Thomas '35

For LO renormalization

$$V_3^{(0)}(\vec{r}_1 - \vec{r}_2, \vec{r}_2 - \vec{r}_3) = \frac{(4\pi)^2}{m} D_0^{(0)}(\Lambda) \delta_\Lambda^{(3)}(\vec{r}_1 - \vec{r}_2) \delta_\Lambda^{(3)}(\vec{r}_2 - \vec{r}_3)$$

$$D_0^{(0)}(\Lambda) \approx \frac{1}{\Lambda^4} \frac{\sin(s_0 \ln(\Lambda_*/\Lambda) - \arctan(1/s_0))}{\sin(s_0 \ln(\Lambda_*/\Lambda) + \arctan(1/s_0))}$$

dimensionful parameter  $s_0 \approx 1.00624$

limit cycle

cf. Wilson '71

$$S = \int \frac{dt}{2m} \int d^3r \left\{ \psi^\dagger \left( 2m \frac{\partial}{\partial t} - \vec{\nabla}^2 \right) \psi - 4\pi C_0^{(0)} (\psi^\dagger \psi)^2 - (4\pi)^2 D_0^{(0)} (\psi^\dagger \psi)^3 + \dots \right\}$$

invariant  $\alpha \rightarrow \alpha_n = \exp(n\pi/s_0) = (22.7)^n$

$n$  integer

$\alpha_n$



for nucleons,  
implies Wigner's SU(4)  
but **much** stronger!

## Two consequences

### 1) Towers of excited states

$$mB_{A,n}^{(0)} \rightarrow \alpha_l^{-2} mB_{A,n}^{(0)} = mB_{A,n+l}^{(0)} \Rightarrow mB_{A,n}^{(0)}(\Lambda_*) = mB_{A,0}^{(0)}(\Lambda_*) \exp(-2n\pi/s_0)$$

ground state
fixes tower position

**A = 3**

Efimov '70

**A = 4**

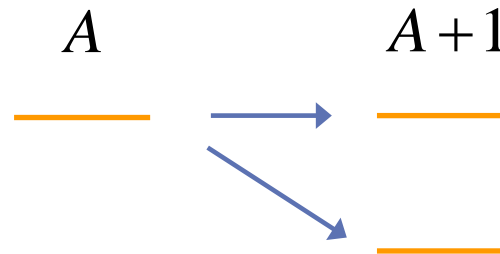
Hammer, Platter, '07

**A = 5, 6**

von Stecher '10'11  
Gattobigio, Kievsky, Viviani '11'12

bosons

multiplication



Efimov state

Efimov descendants



## 2) Ground-state correlations

single scale  $\rightarrow$   $\frac{B_{A,0}^{(0)}(\Lambda_*)}{A} = \kappa_A \frac{B_{3,0}^{(0)}(\Lambda_*)}{3}$

universal numbers

{

$\kappa_2 \equiv 0$   
 $\kappa_3 \equiv 1$   
 $\kappa_4 \approx 3.5$   
 $\kappa_{A \geq 5} \approx ?$

Hammer, Platter '07

varying  $\Lambda_*$

**A = 4**

Tjon line

Platter, Hammer, Meißner '05

Tjon '75

Nakaichi, Akaishi, Tanaka, Lim '78

**A = 5, 6**

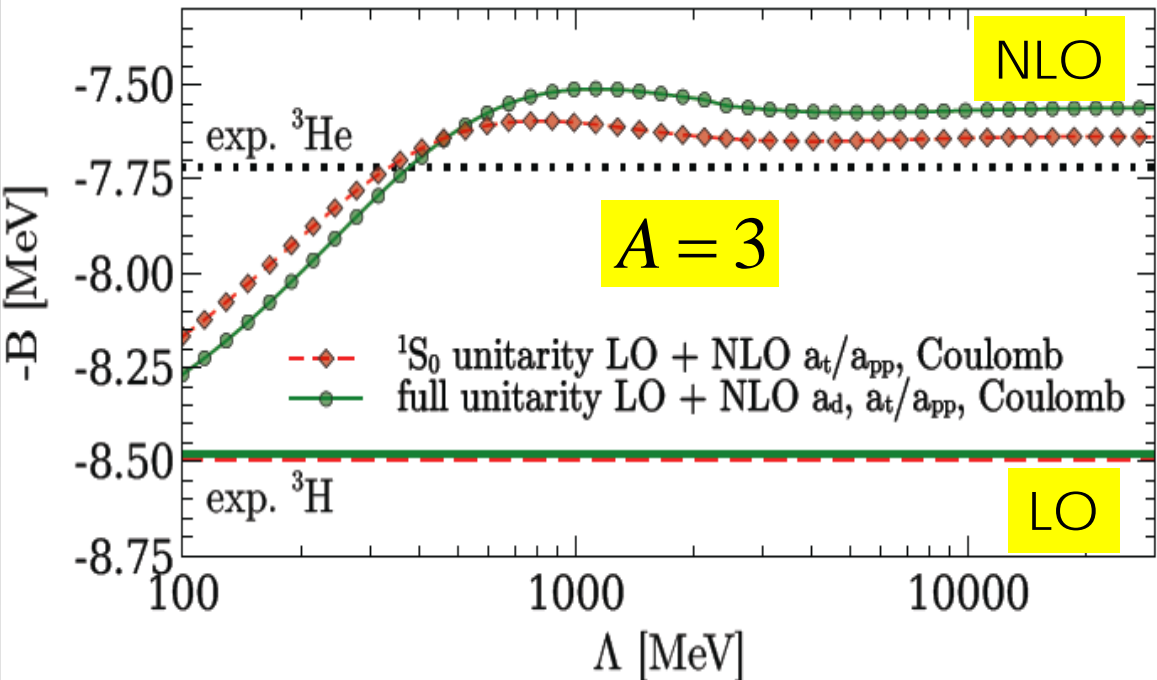
Generalized Tjon lines

Bazak, Eliyahu + vK '16

bosons

Nakaichi, Akaishi, Tanaka, Lim '79'80





$$B_h^{(1)} - B_t \simeq -(0.92 \pm 0.18) \text{ MeV}$$

vs.

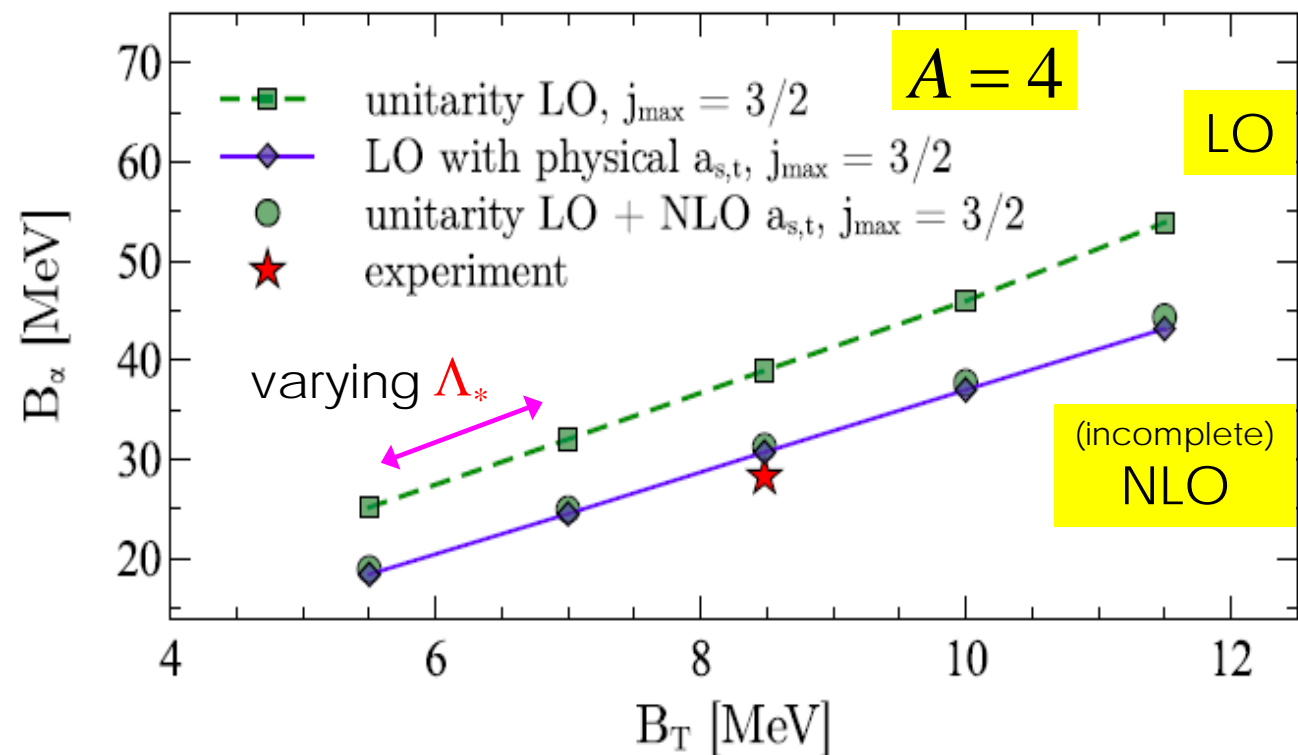
$$-0.764 \text{ MeV (exp)}$$

$$B_t = 8.48 \text{ MeV} \Rightarrow D_0^{(0)}(\Lambda)$$

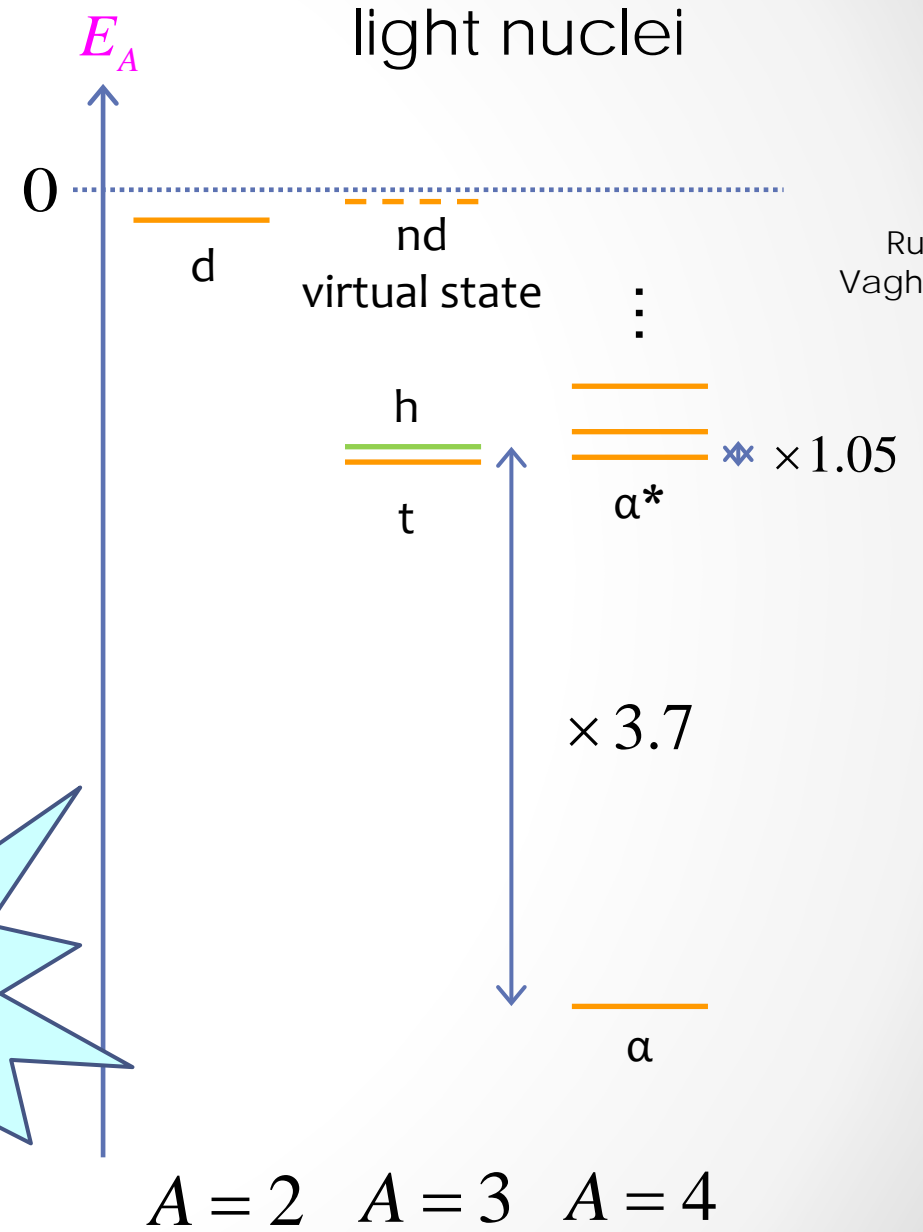
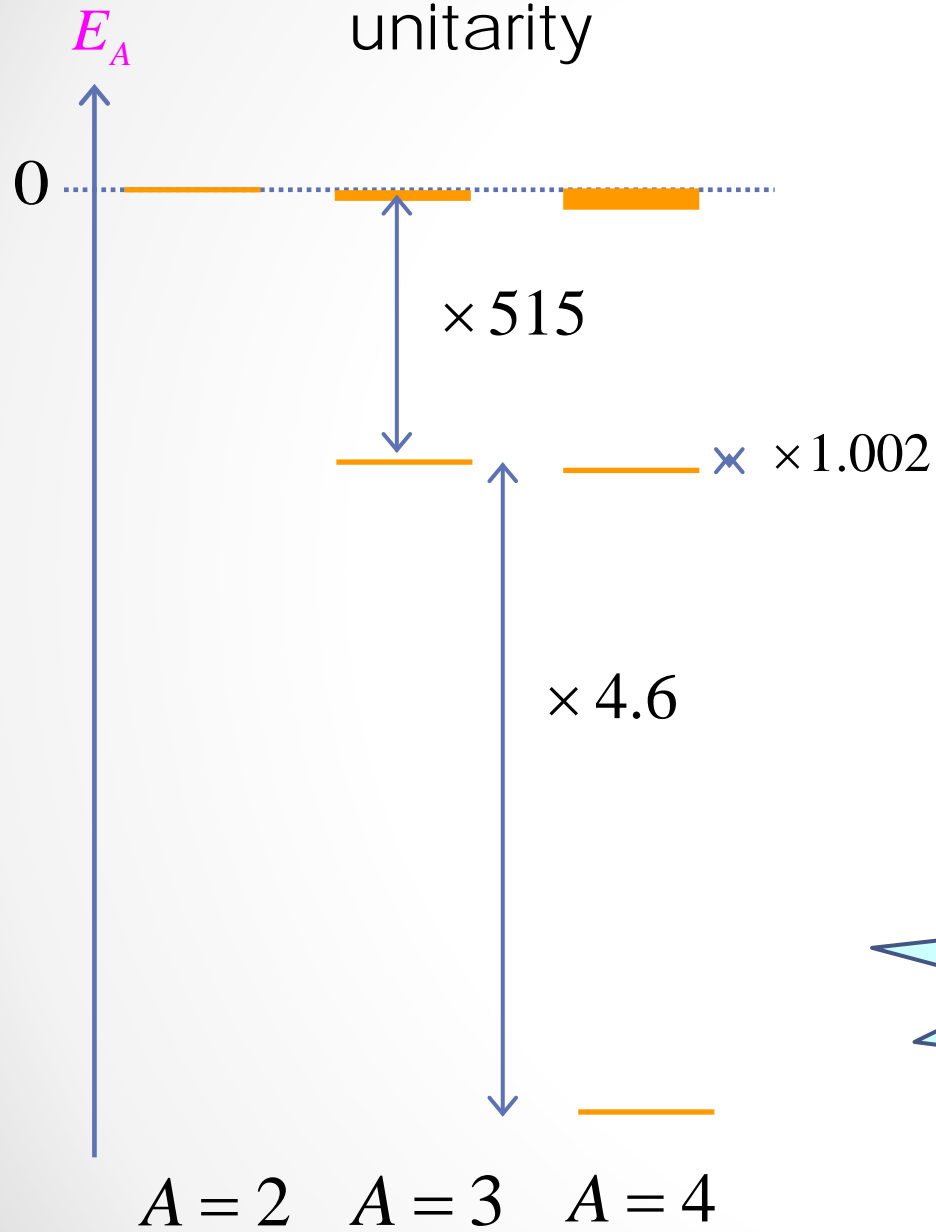
König, Griebhammer,  
Hammer, vK '16

Nucleons

König, Griebhammer,  
Hammer, vK '17



# Schematically

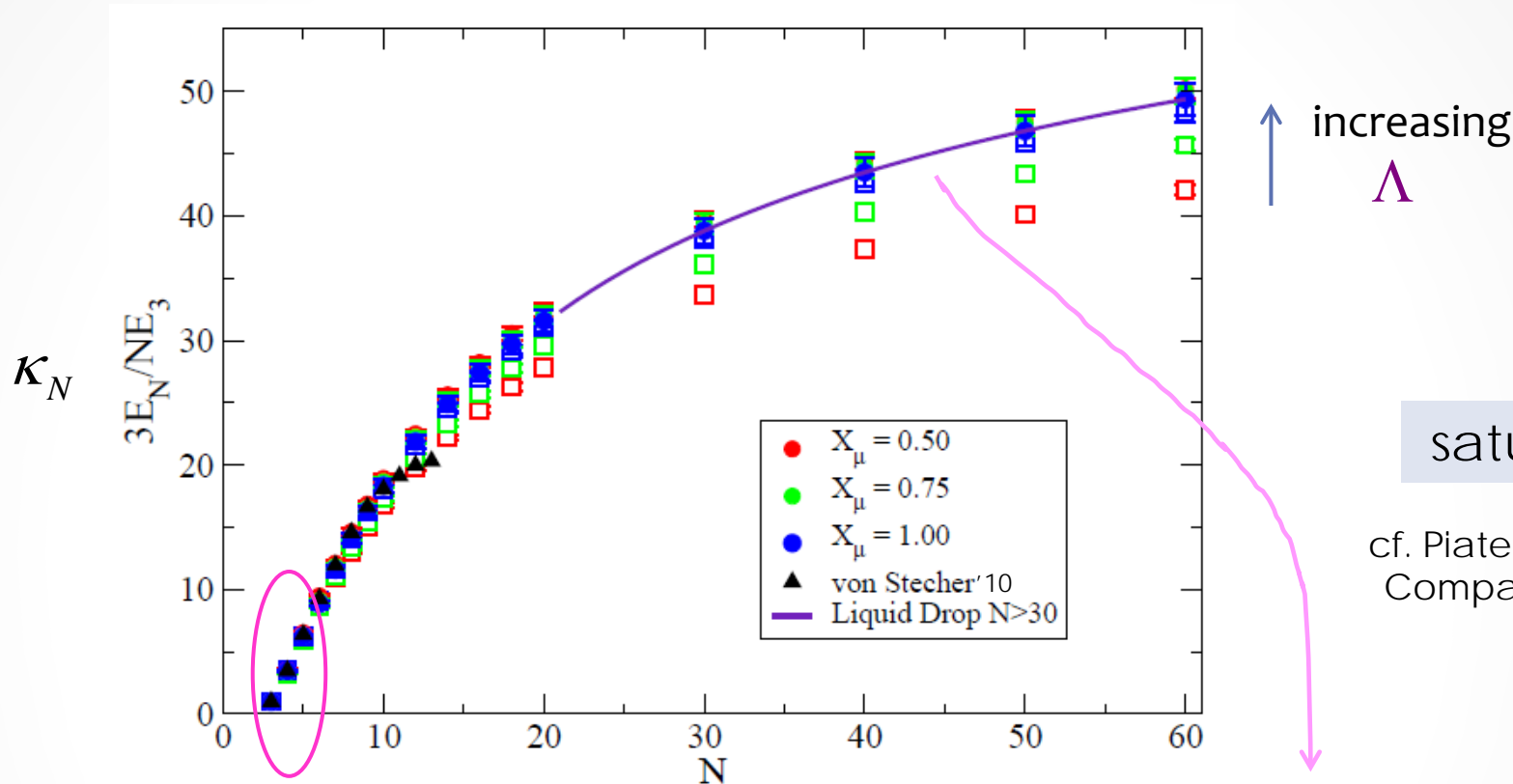


Rupak, Higa,  
Vaghani, vK '18

overall  
scale  
set by  
 $\Lambda_*$

Many bosons

Variational  
and Diffusion  
Monte Carlo



saturation!  
cf. Platecki, Krauth '14  
Comparin, Krauth '16

$$\kappa_N \approx \frac{3}{N} (N - 2)^2$$

Bazak, Eliyahu + vK '16

$$\kappa_N = \kappa_\infty \left[ 1 - \eta N^{-1/3} + \mathcal{O}(N^{-2/3}) \right]$$

$$\kappa_\infty = 90 \pm 10 \quad \eta = 1.7 \pm 0.3$$

cf.  $^4\text{He}$   $\kappa_\infty \approx 180$   $\eta \approx 2.7$

Reproduced without unitarity expansion

Pandharipande *et al.* '83

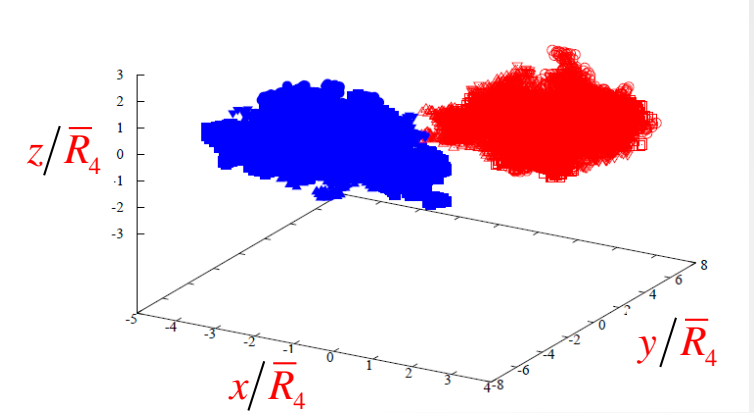
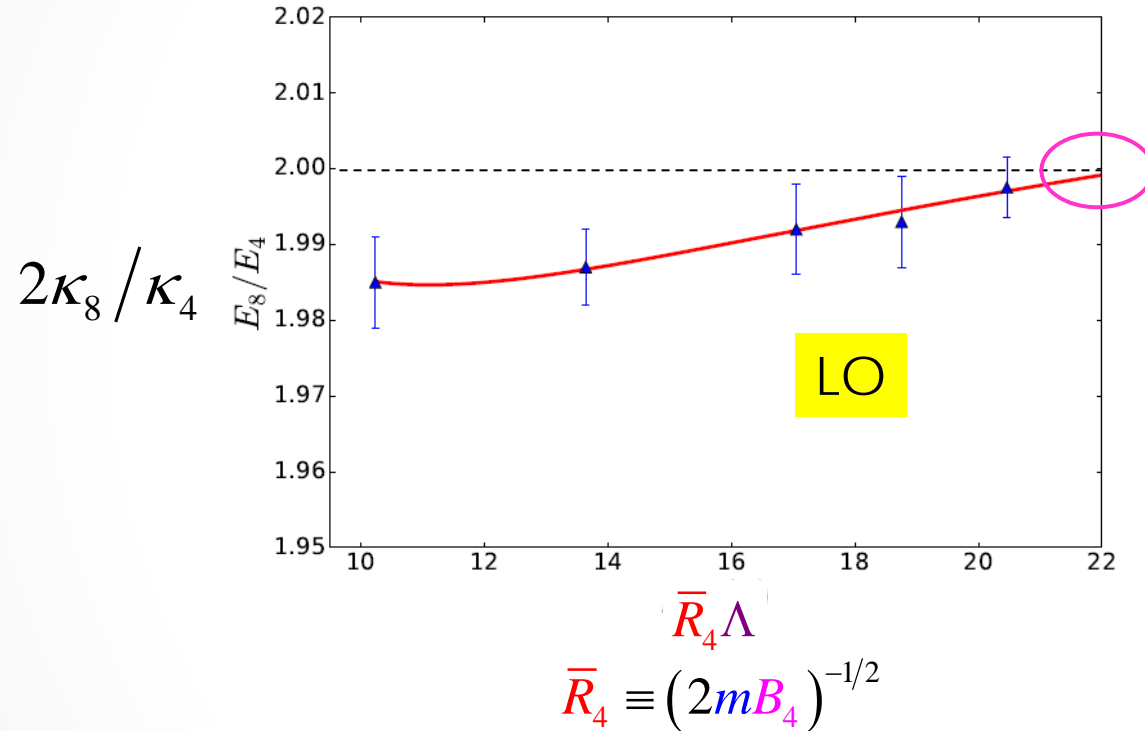
Pederiva, De-Leon '22

# Clustering

Four-component  
fermions

$A = 8$

Variational  
and Diffusion  
Monte Carlo

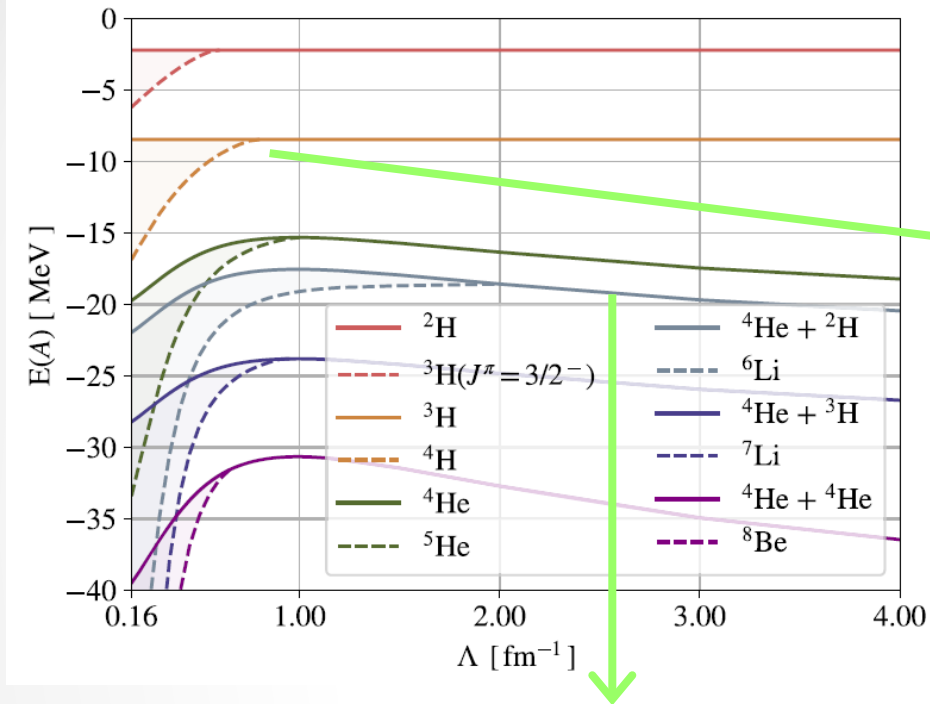


$\kappa_8 \approx \kappa_4$  ?  
consistent with  ${}^8\text{Be}$

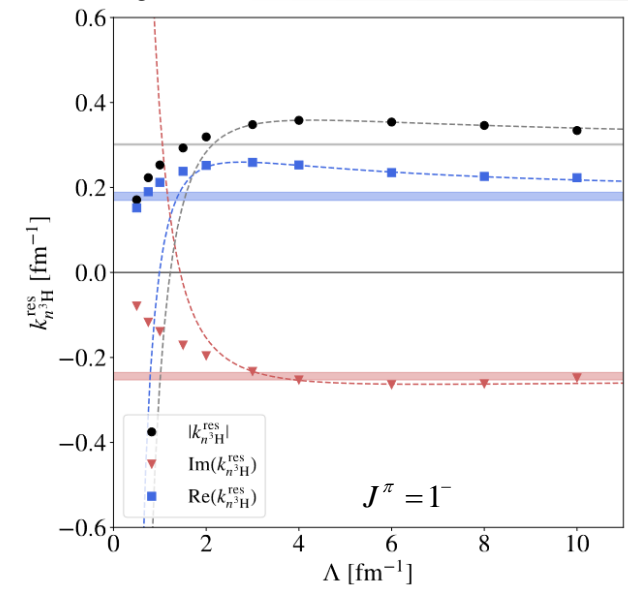
Clustering a universal property of multi-component unitary fermions?

# Similar away from unitarity

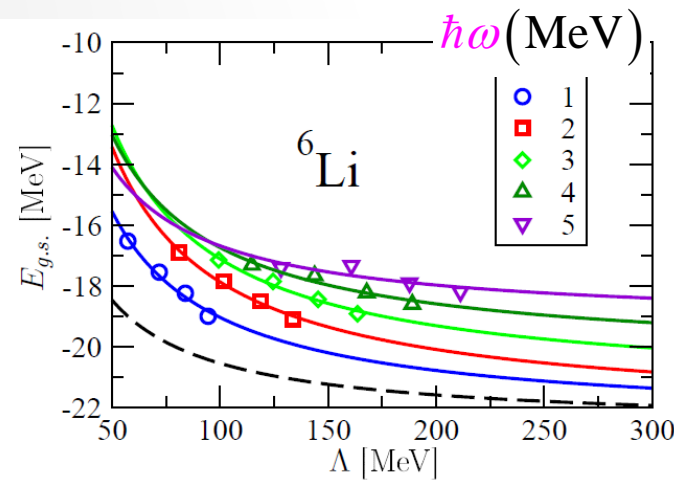
Schäfer, Contessi, Kirscher, Mareš, *Phys. Lett. B* **816** (2021) 136194



Contessi, Schäfer, Kirscher, Lazauskas, Carbonell, *Phys. Lett. B* **840** (2023) 137840



Stetcu, Barrett, vK '07



$$E_\alpha + E_d \approx -30 \text{ MeV}$$

$E_\alpha$

$E_{16\text{O}}$

| $\Lambda$            | $m_\pi = 140 \text{ MeV}$                     | $\Lambda$            | $m_\pi = 140 \text{ MeV}$                    |
|----------------------|---|----------------------|--|
| 2 fm $^{-1}$         | $-23.17 \pm 0.02$                             | 2 fm $^{-1}$         | $-97.19 \pm 0.06$                            |
| 4 fm $^{-1}$         | $-23.63 \pm 0.03$                             | 4 fm $^{-1}$         | $-92.23 \pm 0.14$                            |
| 6 fm $^{-1}$         | $-25.06 \pm 0.02$                             | 6 fm $^{-1}$         | $-97.51 \pm 0.14$                            |
| 8 fm $^{-1}$         | $-26.04 \pm 0.05$                             | 8 fm $^{-1}$         | $-100.97 \pm 0.20$                           |
| $\rightarrow \infty$ | $-30^{+0.3(\text{sys})}_{\pm 2(\text{stat})}$ | $\rightarrow \infty$ | $-115^{+1(\text{sys})}_{\pm 8(\text{stat})}$ |
| Exp.                 | -28.30  | Exp.                 | -127.62                                      |

Contessi, Lovato, Pederiva, Roggero, Kirscher, vK '17

# Pionless EFT

nucleon  $\rightarrow$  "elementary" field  
no role for chiral symmetry

$$Q \sim \sqrt{2m_N B_A/A} \ll m_\pi$$

e.g.

$$1/\sqrt{2m_N B_3/3} \approx 2.8 \text{ fm}$$

slow-moving  
nucleon

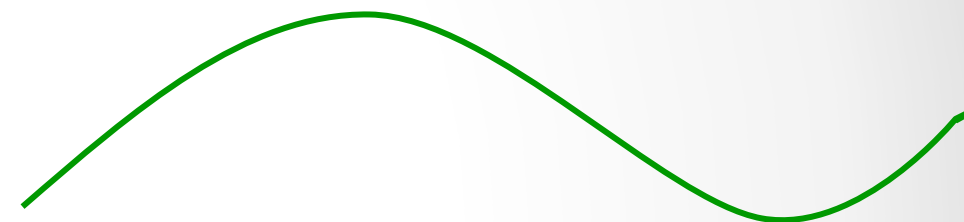


$$1/m_\pi \cong 1.4 \text{ fm}$$



$$1/\sqrt{2m_N B_A/A}$$

nonrelativistic expansion  $\frac{Q}{m_N}$



$$\lambda \sim 1/\sqrt{2m_N B_A/A}$$

short-ranged

multipole expansion  $\frac{Q}{m_\pi}, \dots$

# Halo/Cluster EFT

tight cluster  $\rightarrow$  "elementary" field

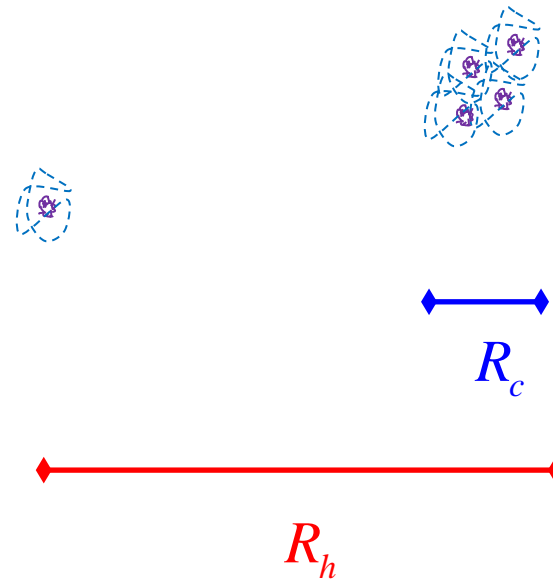
$$Q \sim R_h^{-1} \ll A^{-1/3} m_\pi \sim R_c^{-1}$$

e.g.

$R_{4\text{He}} \approx 1.5 \text{ fm}$

$R_{6\text{He}} \approx 2.4 \text{ fm}$

slow-moving  
cluster



nonrelativistic expansion  $\frac{Q}{A_c m_N}$



$$\lambda \sim R_h$$

short-ranged

multipole expansion  $Q R_c, \dots$

clustering near unitarity:

(light?) ground states = soups of alpha particles, trinucleons, and nucleons?

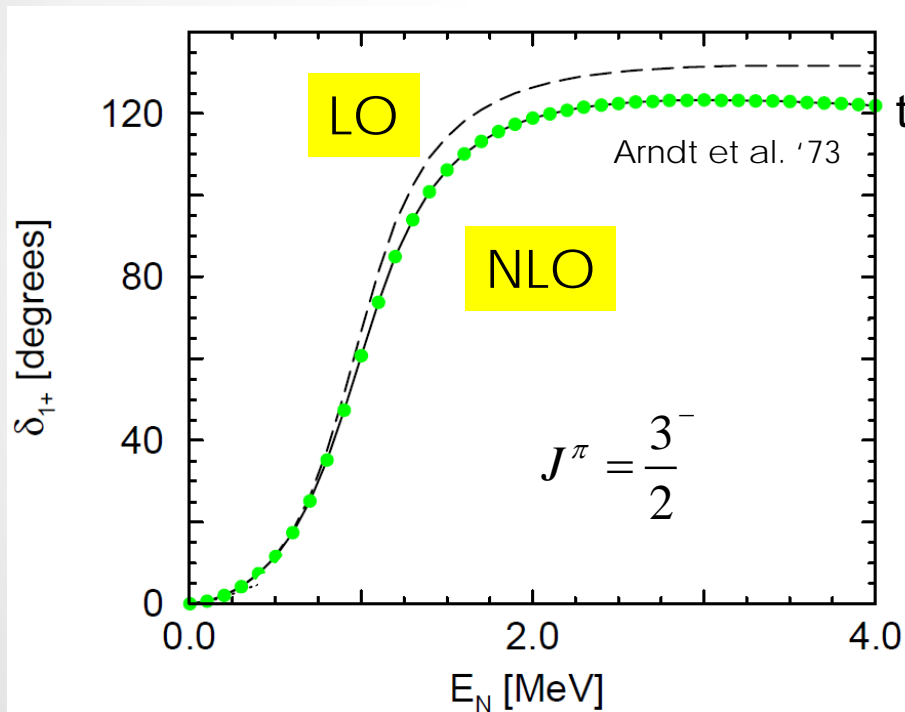




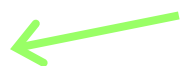
$A = 5$

# nd scattering

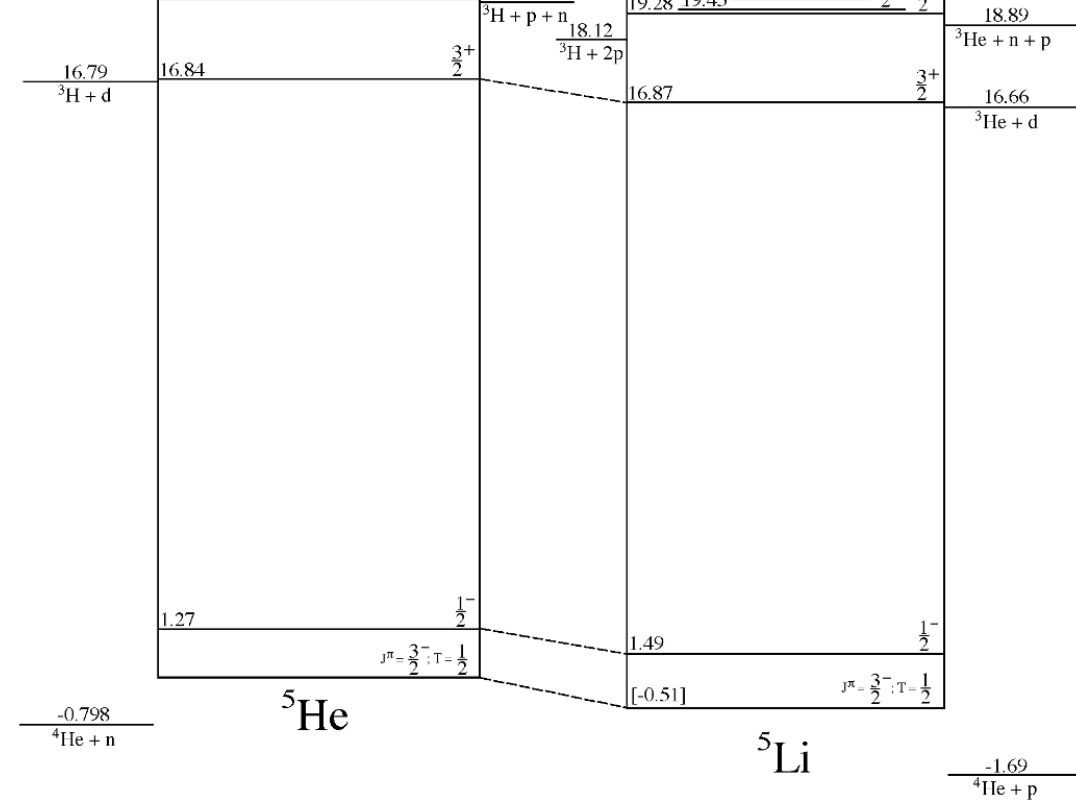
Bertulani, Hammer, vK '03



two-parameter fit  
three-parameter fit



${}^5\text{He}$   $E_R \approx 0.8 \text{ MeV}$   
 $\Gamma(E_R) \approx 0.6 \text{ MeV}$  extracted



TUNL Nuclear Data Project

**A = 6**

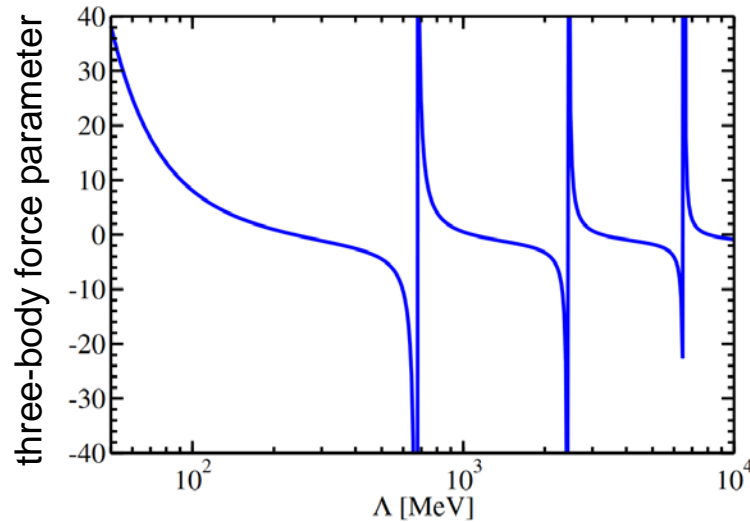
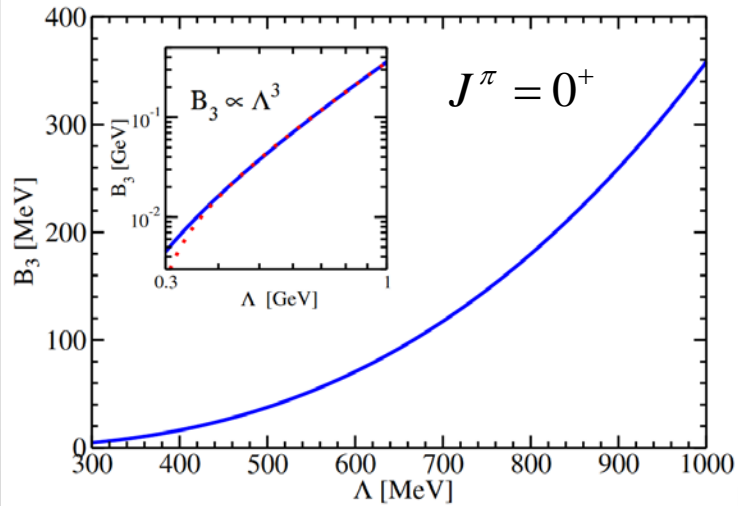
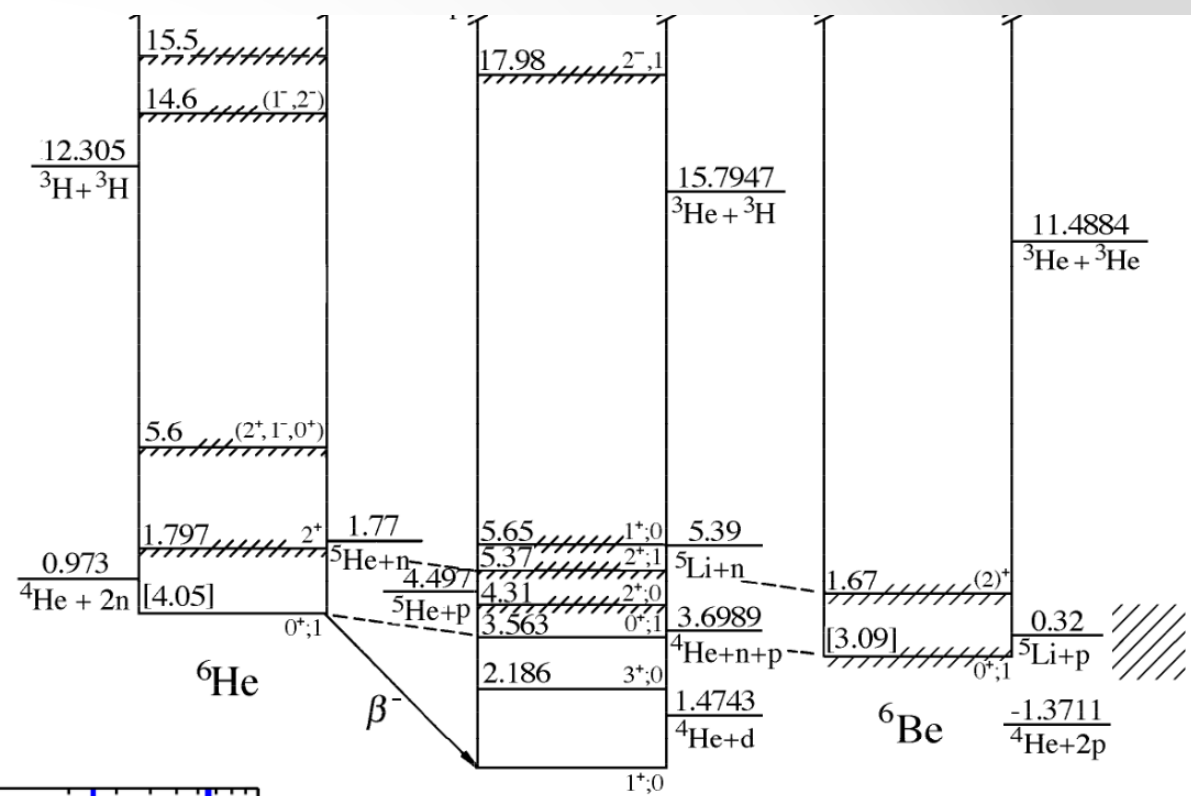
${}^6\text{He}$

Rotureau, vK '13

Ji, Elster, Phillips '14

Ryberg, Forssén, Platter '17

...



Ji, Elster, Phillips, *Phys. Rev. C* **90** (2014) 044004

LO input  $B_{{}^6\text{He}} \approx 0.973$  MeV

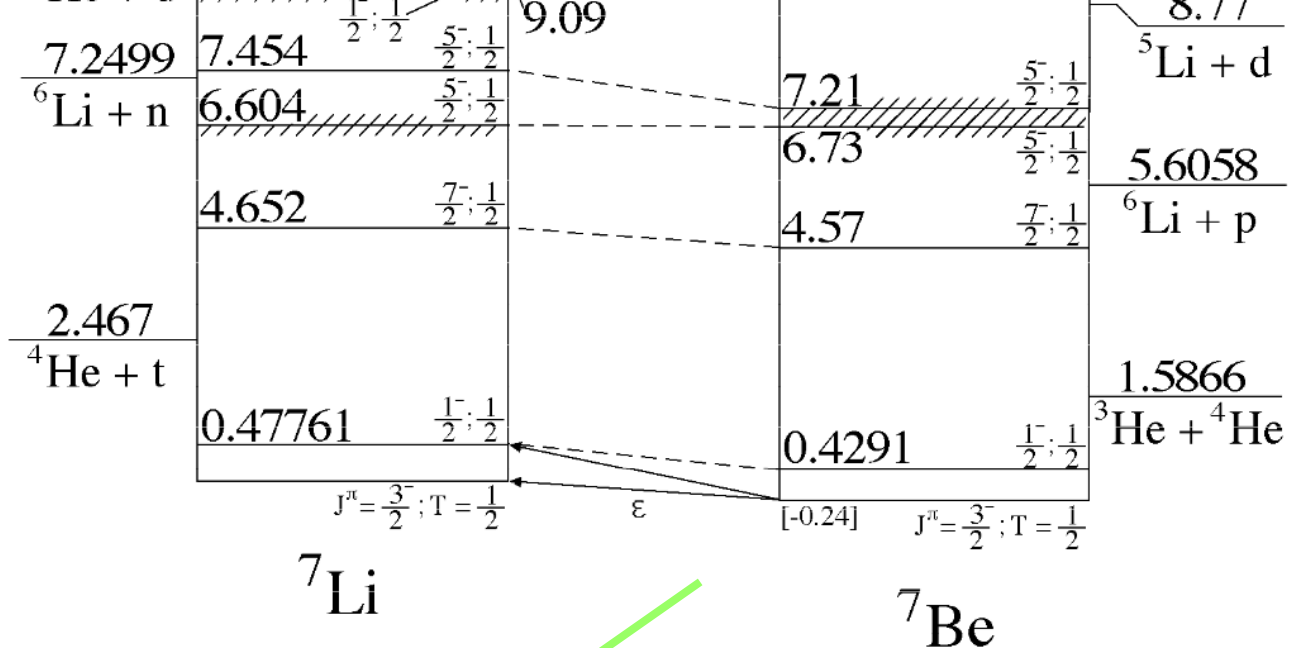
TUNL Nuclear Data Project

$A = 7$

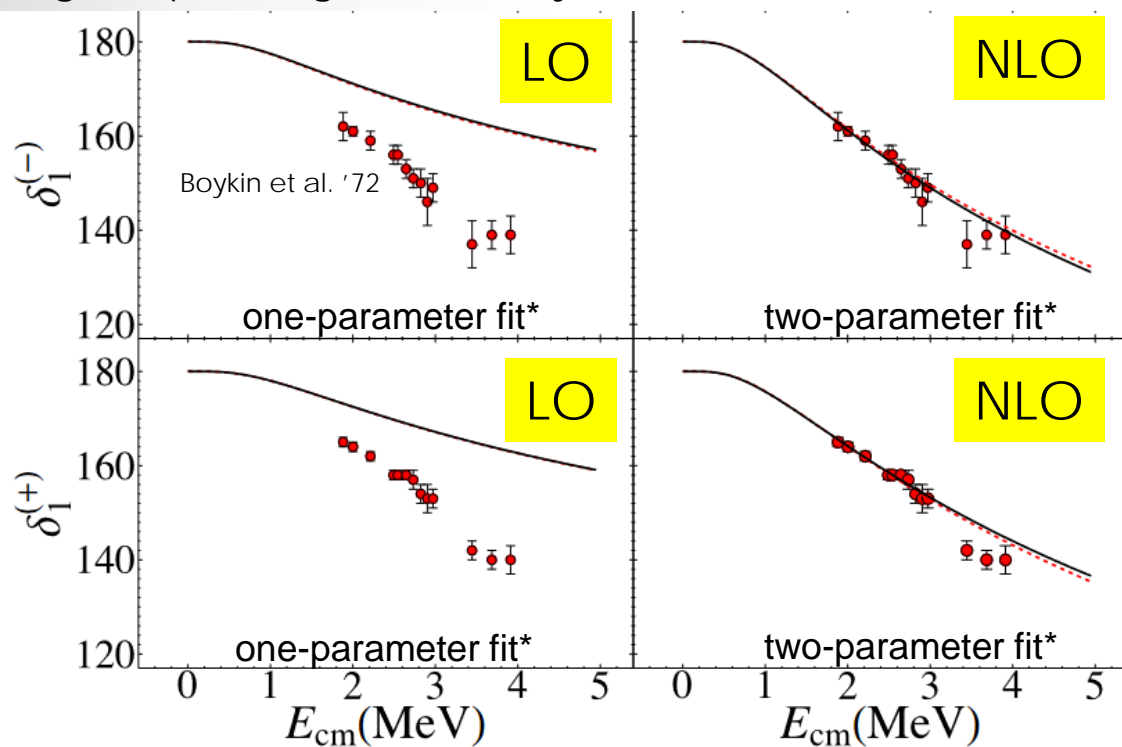
# h $\alpha$ scattering

Higa, Rupak, Vaghani '18  
 Premarathna, Rupak '20  
 Zhang, Nollett, Phillips '20  
 Poudel, Phillips '22

...



Higa, Rupak, Vaghani, *Eur. Phys. J. A* **54** (2018) 89



$$J^\pi = \frac{1^-}{2}$$

LO input  $B_{7\text{Be}^*} \approx 1.16 \text{ MeV}$

$$J^\pi = \frac{3^-}{2}$$

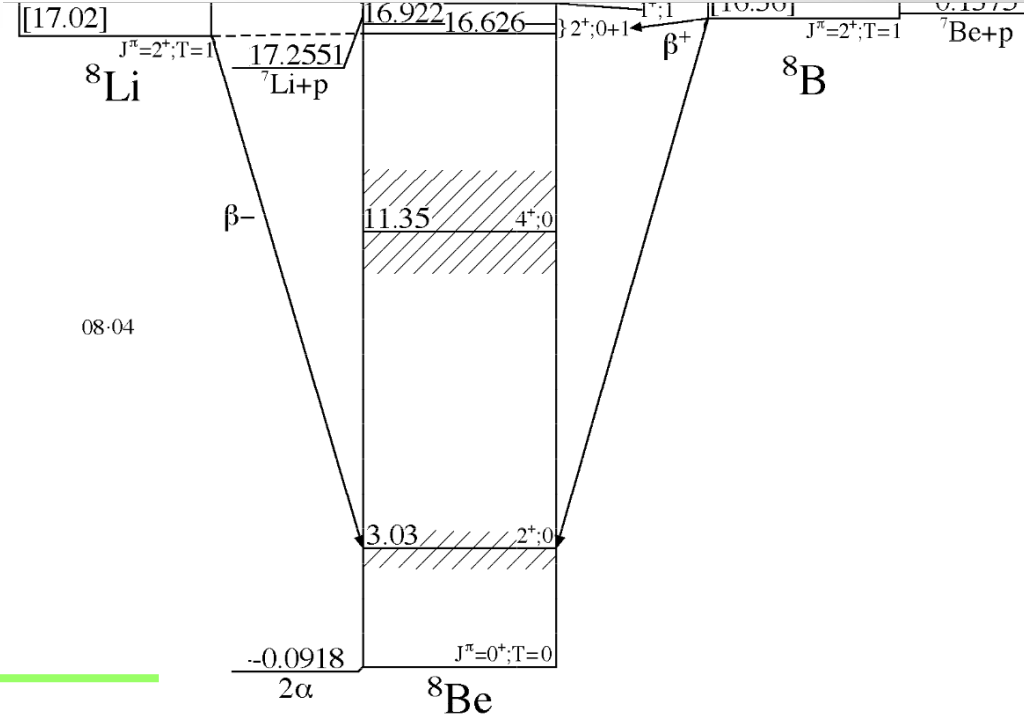
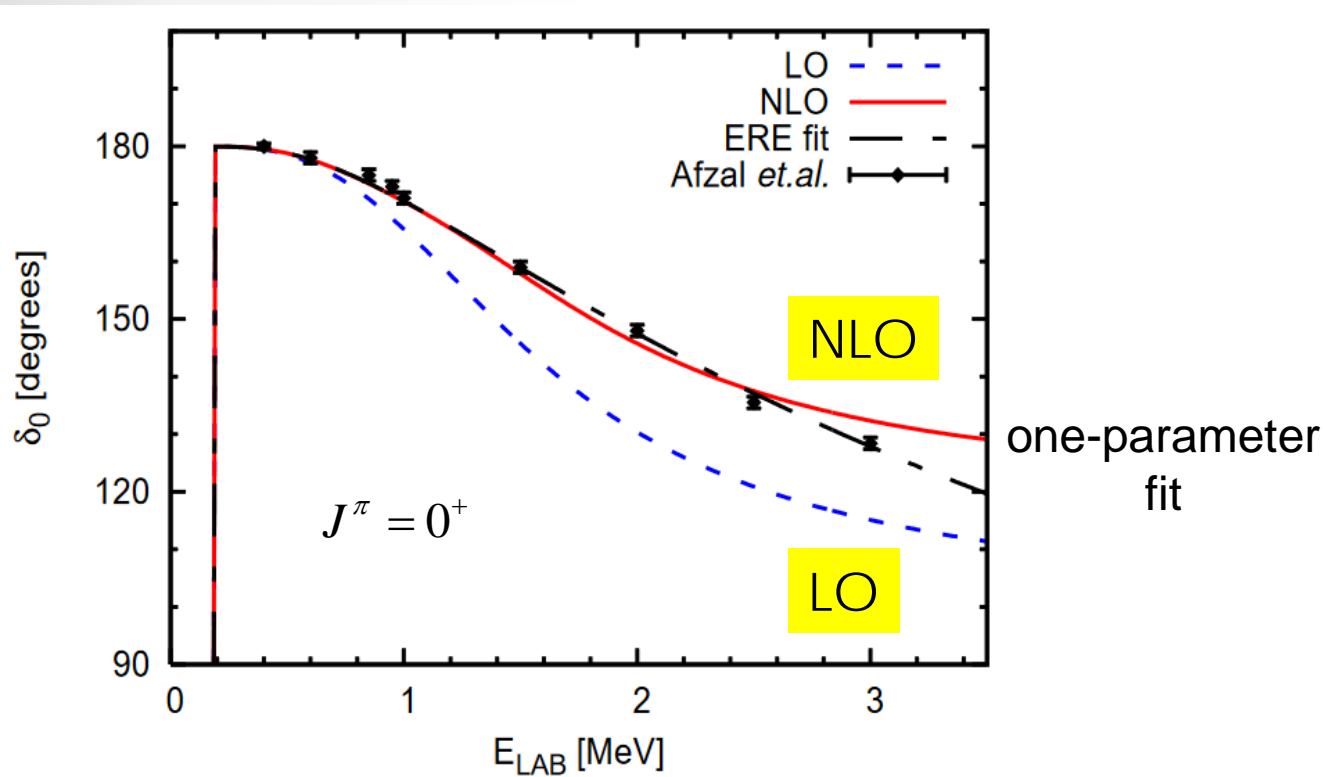
LO input  $B_{7\text{Be}} \approx 1.59 \text{ MeV}$

\*fits include radiative capture data and parameters

**A = 8**

# αα scattering

Hammer, Higa, vK '08

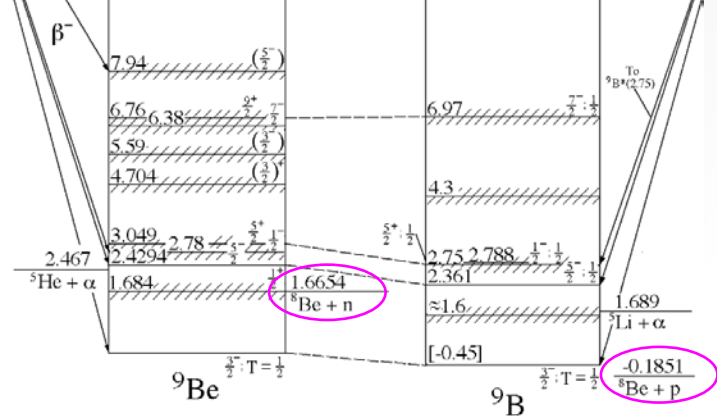


TUNL Nuclear Data Project

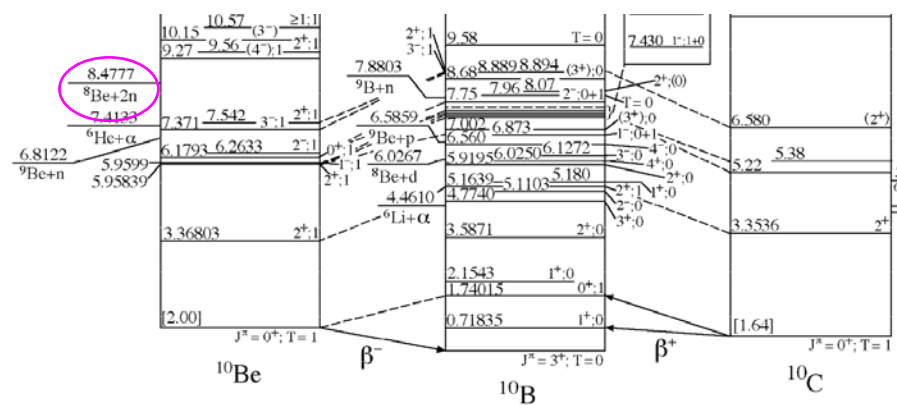
$^8\text{Be}$  LO input

$$E_R = 184.15 \pm 0.07 \text{ keV}$$
$$\Gamma(E_R) = 11.14 \pm 0.50 \text{ eV}$$

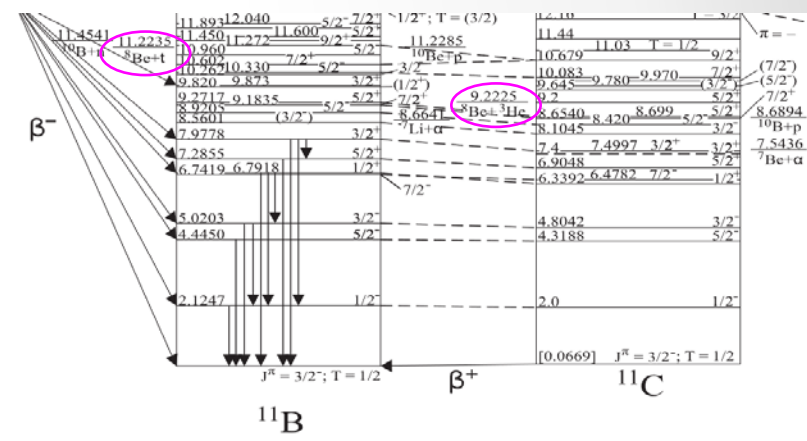
Benn *et al.* '67  
Wüstenbecker '92



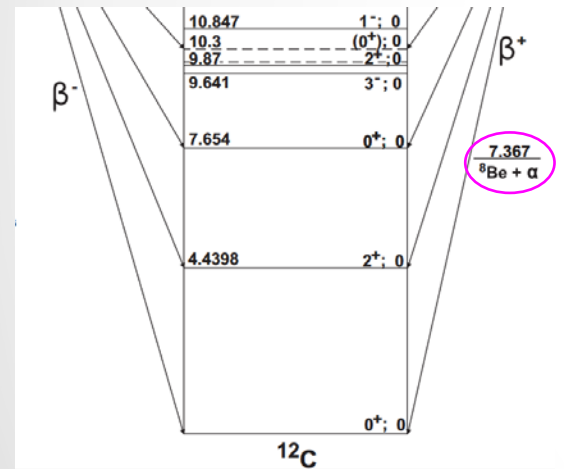
$\alpha + \alpha + N$



$\alpha + \alpha + N + N$



$\alpha + \alpha + t/h$



$\alpha + \alpha + \alpha$

How far can we go?

# External probes

→ Electromagnetic form factors

Canham, Hammer '08'10  
Hammer, Phillips '11

...

→ Radiative capture

Rupak, Higa '11  
Fernando, Higa, Rupak '12

...

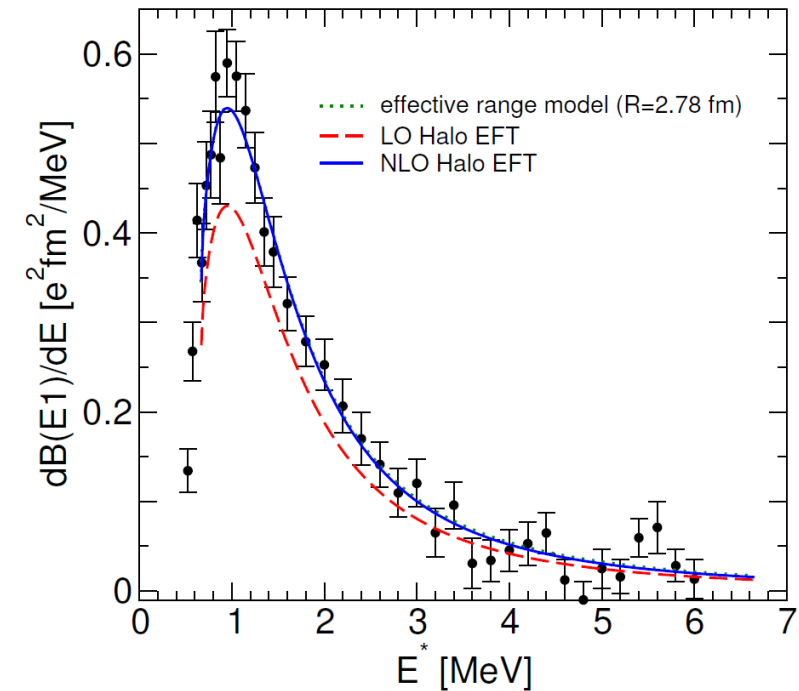
→ Electro/photodisintegration

Hammer, Phillips '11  
Acharya, Phillips '13

...

→ etc.

## Example $^{11}\text{Be}$ Coulomb dissociation



Hammer, Phillips, *Nucl. Phys. A* **865** (2011) 17

# Conclusions

Systems near unitarity can be described by essentially **one parameter  $\Lambda_*$**

Renormalization leads to **discrete scale invariance**

Bosons **saturate** and form a quantum liquid

Multi-component fermions tend to **clusterize**

Expansion around unitarity **works** for light nuclei.  
Beyond, can match onto Halo/Cluster EFT

Halo/Cluster EFT describes **ground states and low-energy reactions**

## For discussion: the “reality” of clustering

Bottom-up logic here: proximity to threshold  $\Rightarrow$  clustering in space  $\Rightarrow$  clusters as “elementary” dofs

*uncertainty principle*

*low resolution*

$\Rightarrow$  Halo/Cluster EFT  $\Rightarrow$  “reality” of clusters

*most general form*

*where successful*

$\Rightarrow$  confirms proximity to threshold as the relevant criterion for clustering

*experience*

*(also for other types of dofs)*

Top-down approach: are there other criteria in “ab initio” nuclear EFT?

*cf. Contessi’s question to Ebran in day 1*

*cf. Duguet et al.’s non-observable nature of nuclear shell structure*