

*Mixing and CP violation
in charm decays*

Maria Laura Piscopo

CPPS, Theoretische Physik 1, Universität Siegen

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Motivation

The peculiarities of charm physics

◇ Many challenges ...

- * The charm quark is not that heavy

$$\alpha_s(m_c) \sim 0.33$$

$$\frac{\Lambda_{\text{QCD}}}{m_c} \sim 0.30$$

- * CP violation effects are small due to CKM

- * There are pronounced GIM cancellations

$$m_b, m_s, m_d \ll m_W$$

◇ ... which are also opportunities

- * Great possibility to test our understanding of QCD

- * High sensitivity to NP effects see talk by H. Gisbert

◇ Also, only system to study mixing in the up-sector

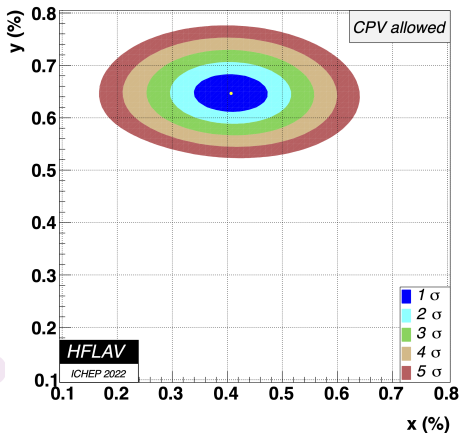
Complementarity to K - and B -mixing

see talks by E. Stamou and E. Malami

The experimental progress

- ◇ Experimental precision significantly improving
- ◇ Theoretical predictions not yet competitive
- ◇ Many more data expected

LHCb, Belle II, BESIII

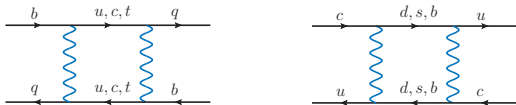


Need equal progress in theory to exploit the many experimental results

Theory of mixing

Meson mixing

- Neutral mesons mix with their antiparticles via box diagrams



- Evolution described by 2×2 Hamiltonian matrix

$$i \frac{d}{dt} \begin{pmatrix} |\mathcal{M}^0(t)\rangle \\ |\bar{\mathcal{M}}^0(t)\rangle \end{pmatrix} = \left(\hat{M} - i \frac{\hat{\Gamma}}{2} \right) \begin{pmatrix} |\mathcal{M}^0(t)\rangle \\ |\bar{\mathcal{M}}^0(t)\rangle \end{pmatrix} \Rightarrow \begin{cases} |\mathcal{M}_L\rangle = p |\mathcal{M}^0\rangle + q |\bar{\mathcal{M}}^0\rangle \\ |\mathcal{M}_H\rangle = p |\mathcal{M}^0\rangle - q |\bar{\mathcal{M}}^0\rangle \end{cases}$$

- Define mixing observables

$$\Delta M = M_H - M_L$$

$$\Delta \Gamma = \Gamma_L - \Gamma_H$$

- And the dimensionless ratios

$$x = \Delta M / \Gamma$$

$$y = \Delta \Gamma / 2\Gamma$$

with

$$\Gamma = (\Gamma_H + \Gamma_L) / 2$$

Meson mixing

- ◇ Theoretically, determine mixing observables as

see e.g. reviews [Artuso, Borissov, Lenz '15; Lenz, Wilkinson '20]

$$\Delta M \approx 2|M_{12}| \quad \Delta\Gamma \approx 2|\Gamma_{12}| \quad \phi_{12} = \arg(-M_{12}/\Gamma_{12})$$

Expanding in the small parameters $|\Gamma_{12}|/|M_{12}|$ and/or ϕ_{12}

- ◇ M_{12} corresponds to dispersive part of $\mathcal{M}^0 \rightarrow \overline{\mathcal{M}}^0$ amplitude

Directly sensitive to heavy NP particles

- ◇ Γ_{12} corresponds to absorptive part of $\mathcal{M}^0 \rightarrow \overline{\mathcal{M}}^0$ amplitude

Directly sensitive to light NP particles

- ◇ CP violation in mixing encoded in parameter a_{fs}

$$\left| \frac{q}{p} \right| \approx 1 - \frac{a_{\text{fs}}}{2} \quad a_{\text{fs}} = \left| \frac{\Gamma_{12}}{M_{12}} \right| \sin \phi_{12}$$

B-mixing

- ◇ Off-shell contributions to box diagrams

$$M_{12} = \lambda_u^2 (M_{cc} - 2M_{uc} + M_{uu}) + 2\lambda_u \lambda_t (M_{cc} - M_{uc} + M_{ut} - M_{ct}) + \lambda_t^2 (M_{cc} - 2M_{ct} + M_{tt})$$

- * Described in terms of $\Delta B = 2$ effective Hamiltonian

- ◇ On-shell contributions to box diagrams

$$\Gamma_{12} = \lambda_u^2 (\Gamma_{cc} - 2\Gamma_{uc} + \Gamma_{uu}) + 2\lambda_u \lambda_t (\Gamma_{cc} - \Gamma_{uc}) + \lambda_t^2 \Gamma_{cc}$$

- * Described by double insertion of $\Delta B = 1$ effective Hamiltonian

Can be computed within the HQE

$$\lambda_u^d \sim \lambda_c^d \sim \lambda_t^d \quad \lambda_u^s \ll \lambda_c^s \sim \lambda_t^s \quad \lambda_x^q = V_{xb} V_{xq}^*$$

- ◇ GIM and CKM suppressions go in the same direction

D-mixing

- ◇ Strong interplay of CKM and GIM suppression!

$$\lambda_d \sim \lambda_s \sim \lambda \gg \lambda_b \sim \lambda^5 \quad \lambda_x = V_{cx} V_{ux}^*$$

$$M_{12} = \lambda_s^2 (M_{ss} - 2M_{ds} + M_{dd}) + 2\lambda_s \lambda_b (M_{bs} - M_{bd} + M_{dd} - M_{sd}) + \lambda_b^2 (M_{bb} - 2M_{bd} + M_{dd})$$

$$\Gamma_{12} = -\lambda_s^2 (\Gamma_{ss} - 2\Gamma_{ds} + \Gamma_{dd}) + 2\lambda_s \lambda_b (\Gamma_{sd} - \Gamma_{dd}) - \lambda_b^2 \Gamma_{dd}$$

- * All terms are of similar size, pronounced cancellations
- * Dominated by double insertion of $\Delta C = 1$ effective Hamiltonian
 - * Can be computed within the HQE?

*The HQE works for
charm decays?*

The HQE

- ◇ Powerful framework for inclusive decay widths of heavy hadrons

[Shifman, Voloshin '85]

$$\Gamma(H_Q) = \frac{1}{2m_{H_Q}} \text{Im} \langle H_Q | i \int d^4x T \{ \mathcal{H}_{eff}(x), \mathcal{H}_{eff}(0) \} | H_Q \rangle$$

- ◇ Obtain systematic expansion see also e.g. review [Lenz '14]

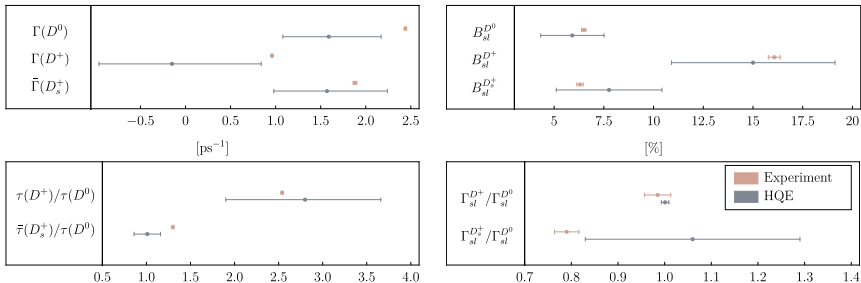
$$\Gamma(H_Q) = \underbrace{\Gamma_3}_{\Gamma(Q)} + \underbrace{\Gamma_5 \frac{\langle \mathcal{O}_5 \rangle}{m_Q^2} + \Gamma_6 \frac{\langle \mathcal{O}_6 \rangle}{m_Q^3} + \dots + 16\pi^2 \left[\tilde{\Gamma}_6 \frac{\langle \tilde{\mathcal{O}}_6 \rangle}{m_Q^3} + \tilde{\Gamma}_7 \frac{\langle \tilde{\mathcal{O}}_7 \rangle}{m_Q^4} + \dots \right]}_{\delta\Gamma(H_Q)}$$

- ◇ Validity based on the assumption of $m_Q \gg \Lambda_{QCD}$
- ◇ Successfully predicts beauty hadron lifetimes and B -mixing

[Lenz, MLP, Rusov '22; Gratex, Lenz, Melić, Nišandžić, MLP, Rusov '23; Cheng, Liu '23]

[Lenz, Tetlalmatzi-Xolocotzi '19; Gerlach, Nierste, Shtabovenko, Steinhauser '22]

Charmed hadron lifetimes



[King, Lenz, MLP, Rauh, Rusov, Vlahos '21]

◇ HQE can accommodate observed pattern in D -system

◇ Uncertainties still very large

Mainly due to charm mass and non-perturbative inputs

◇ Results confirmed by recent analyses, studied also baryons

[Gratrex, Melić, Nišandžić '22; Dulibič, Gratrex, Melić, Nišandžić '23]

*Back to mixing
in the charm sector*

D-mixing

- Experimentally both x and y are measured quite precisely

[HFLAV '22]

$$x^{\text{exp.}} = (0.407 \pm 0.044) \%$$

$$y^{\text{exp.}} = (0.647 \pm 0.024) \%$$

- Determine Γ_{12} within the HQE

$$\Gamma_{12} = 16\pi^2 \left[\tilde{\Gamma}_6 \frac{\langle \tilde{Q}_6 \rangle}{m_c^3} + \tilde{\Gamma}_7 \frac{\langle \tilde{Q}_7 \rangle}{m_c^4} + \dots \right]$$

| | | | |
|---------------------------------|---|--|--|
| $\tilde{\Gamma}_6^{(2)\dagger}$ | Gerlach, Nierste, Stabovenko, Steinhauser '22 Asatrian, Hovhannisyanyan, Nierste, Yeghiazaryan '17 | $\langle \tilde{Q}_6 \rangle$ | 1706.04622 ★ Kirk, Lenz, Rauh '17 ★ |
| $\tilde{\Gamma}_6^{(1)}$ | Beneke, Buchalla, Greub, Lenz, Nierste '98 Beneke, Buchalla, Lenz, Nierste '03 Ciuchini, Franco, Lubicz, Mescia, Tarantino '03 Lenz, Nierste '06 | $\langle \tilde{Q}_7 \rangle$ | VIA ‡ |
| $\tilde{\Gamma}_7^{(0)}$ | Beneke, Buchalla, Dunietz '96 Dighe, Hürth, Kim, Yoshikawa '01 | ★ HQET SR ★ Fermilab/MILC † not yet included ‡ see also HPQCD 1910.00970 | |

A long-standing puzzle

- ◇ Predictions of y based on the HQE are completely off

$$y^{\text{HQE}} \sim 10^{-4} y^{\text{exp.}}$$

- ◇ Failure of HQE for charm mixing?
 - * Potential large impact of higher dimensional operators
[Georgi '92; Ohl et al. '93; Bigi, Uraltsev '00]
 - * Different renormalisation scale setting can lift GIM cancellations
[Lenz, MLP, Vlahos '20]
- ◇ Alternatively, use exclusive approach
 - * Estimate phase space effects for y , use dispersion relations for x
see e.g. [Falk et al. '01; Falk et al. '04]
 - * Obtain values of x and y closer to experimental data
- ◇ First steps taken towards future insights from lattice QCD

[Hansen, Sharpe '12]

Alternative scale setting

- ◇ Consider the dependence of Γ_{12} on renormalisation scale $\mu_1^{q_1 q_2}$

$$\Gamma_{12} = \sum_{q_1 q_2 = ss, ds, dd} \tilde{\Gamma}_6^{q_1 q_2}(\mu_1^{q_1 q_2}, \mu_2^{q_1 q_2}) \langle \tilde{Q}_6 \rangle(\mu_2^{q_1 q_2}) \frac{1}{m_c^3} + \dots$$

- ◇ $\Gamma_{ss}, \Gamma_{dd}, \Gamma_{ds}$ contribute to different decay channels
 - * Rescattering effects can only relate Γ_{dd} and Γ_{ss}
- ◇ Compute Γ_{12} varying $\mu_1^{ss}, \mu_1^{dd}, \mu_1^{ds}$ independently

$$\Omega \equiv \frac{2|\Gamma_{12}|^{\text{HQE}}}{\Delta\Gamma_D^{\text{exp.}}} \in [4.6 \times 10^{-5}, 1.3]$$

[Lenz, MLP, Vlahos '20]

*CP violation in
the charm sector*

The experimental status

- ◇ Discovery of CP violation in D^0 decays by LHCb [[arXiv:1903.08726](#)]

$$\Delta A_{\text{CP}} \equiv A_{\text{CP}}(K^- K^+) - A_{\text{CP}}(\pi^- \pi^+) = (-15.4 \pm 2.9) \times 10^{-4}$$

$$\Delta a_{\text{CP}}^{\text{dir.}} = (-15.7 \pm 2.9) \times 10^{-4}$$

- ◇ New data by LHCb on $A_{\text{CP}}(K^- K^+)$ see talk by D. Mitzel

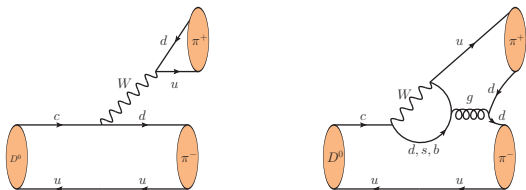
- * Combination with ΔA_{CP} gives [[arXiv: 2209.03179](#)]

$$a_{\text{CP}}^{\text{dir.}}(K^- K^+) = (7.7 \pm 5.7) \times 10^{-4}$$

$$a_{\text{CP}}^{\text{dir.}}(\pi^- \pi^+) = (23.2 \pm 6.1) \times 10^{-4}$$

- * First evidence for direct CP violation in specific D^0 decay

The decay $D^0 \rightarrow \pi^- \pi^+$ (and similarly for $D^0 \rightarrow K^- K^+$)



- ◇ Theoretically very difficult, different topologies contribute

$$\mathcal{A}(D^0 \rightarrow \pi^- \pi^+) = \lambda_d(A_{tree} + A_{peng.}^d) + \lambda_s A_{peng.}^s + \lambda_b A_{peng.}^b$$

- ◇ From unitarity of CKM

$$\mathcal{A}(D^0 \rightarrow \pi^- \pi^+) = \frac{G_F}{2} \lambda_d T \left(1 + \frac{\lambda_b}{\lambda_d} \frac{P}{T} \right)$$

- ◇ Branching fraction mostly sensitive to T due to $\lambda_b/\lambda_d \sim \lambda^4 \ll 1$

Direct CP violation

- ◇ The direct CP asymmetry becomes

$$a_{\text{CP}}^{\text{dir.}}(\pi^- \pi^+) \equiv \frac{\Gamma(\bar{D}^0 \rightarrow \pi^+ \pi^-) - \Gamma(D^0 \rightarrow \pi^- \pi^+)}{\Gamma(\bar{D}^0 \rightarrow \pi^+ \pi^-) + \Gamma(D^0 \rightarrow \pi^- \pi^+)} \approx \underbrace{-13 \times 10^{-4}}_{-2|\lambda_b/\lambda_d|\sin\gamma} \left| \frac{P}{T} \right| \sin\phi$$

- * Sensitive to difference of weak and strong phases γ , ϕ , and to $|P/T|$

- ◇ Similarly for $a_{\text{CP}}^{\text{dir.}}(K^- K^+)$, but with opposite sign due to $\lambda_s \approx -\lambda_d$

$$\Delta a_{\text{CP}}^{\text{dir.}} \approx 13 \times 10^{-4} \left(\left| \frac{P}{T} \right|_{K^- K^+} \sin\phi_{K^- K^+} + \left| \frac{P}{T} \right|_{\pi^- \pi^+} \sin\phi_{\pi^- \pi^+} \right)$$

- ◇ From naive estimates $|P/T| \sim 0.1$

$$|\Delta a_{\text{CP}}^{\text{dir.}}| \leq 2.6 \times 10^{-4}$$

No theory consensus yet

- ◇ Determinations within LCSRs confirm naive expectations
 - [Khodjamirian, Petrov '17]
 - * Triggered NP interpretations
 - e.g. [Chala, Lenz, Rusov, Scholtz '19; Dery, Nir '19; Bause, Gisbert, Golz, Hiller '20]
- ◇ Potential explanations of ΔA_{CP} within the SM
 - * Using U -spin relations and $SU(3)_F$ symmetry e.g. [Grossman, Schacht '19]
However, opposite sign for CP asymmetries, “U-spin anomaly”
 - e.g. [Bause, Gisbert, Hiller et al. '22; Schacht '23], see also talk by T. Höhne
 - * From analyses of topological amplitudes, or final state interactions
 - e.g. [Li, Lü, Yu '19; Cheng, Chiang '19; Bediaga, Frederico, Megahlães '22]
- ◇ Recent study of rescattering effects using dispersive methods
 - * Predictions of CP violation still below the experimental values
 - [Pich, Solomonidi, Vale Silva '23]

Non-leptonic decays are challenging

- ◇ Tree-level decays like $\bar{B}_s \rightarrow D_s^+ \pi^-$ are theoretically “cleaner”
- ◇ Tensions between QCDF predictions and data ranging $(2 - 7)\sigma$
 - [Bordone, Gubernari, Huber, Jung, van Dyk '20]
 - * QED corrections? Rescattering effects?
 - [Beneke, Böer, Finauri, Vos '21; Endo, Iguro, Mishima '21]
 - * Investigated potential BSM scenarios
 - e.g. [Iguro, Kithara '20; Cai, Deng, Li, Yang '21; Fleischer, Malami '21]
 - * Interplay with collider constraints [Bordone, Greljo, Marzocca '21]
- ◇ Discrepancies might be due to underestimated hadronic effects
 - * Alternative determination entirely within LCSRs
 - [MLP, Rusov (to appear soon)]

Conclusions

- ◇ Charm physics is a vibrant field, high potential for NP searches
- ◇ Precise theoretical predictions are currently challenging
 - * Many tools are already available, improvements are doable!
- ◇ D -mixing puzzle still on-going
 - * New insights gained on how to improve HQE predictions
- ◇ No theory consensus yet on interpretation of $\Delta A_{\text{CP}}^{\text{dir.}}$
 - * Less likely that new results on $a_{\text{CP}}^{\text{dir.}}$ can be accommodated in SM
- ◇ Experimental input fundamental to trigger interest

Higher order perturbative and power corrections needed!

More, and more precise data crucial to guide the theory community

CHARM 2023 in Siegen, from 11-17 July



Physik im Apollo
Dienstag, 18.7.2023, Apollo Theater Siegen
Eintritt frei

Universität Siegen

Ab 17:00 im Foyer: Vorstellung der Arbeitsgruppen des Physik-Departements der Universität Siegen
Ab 19:00 Grußwort von Bürgermeister Steffen Mues

Der Dunklen Materie auf der Spur
Abendvortrag von Prof. Dr. Matthias Neubert (PRISMA⁺ Cluster of Excellence, Mainz)

Legende! - Time: Alexander Breitenbach und Eleftheria Mafanti (Pantomim-Gesang)
Nothing the Matters. formidarts (Audiobark), Piano

<https://indico.tp.nt.uni-siegen.de/event/1/>

Thanks for the attention