

# Test of CP-invariance of the Higgs boson in vector-boson fusion production and its decay into four leptons

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for the ATLAS Collaboration

# Overview

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- ❖ CP violation is one of three ingredients required for the observed **Baryon Asymmetry of the Universe (BAU)**
- ❖ The observed CP violation, first in kaon system and then extensively in b- and c-mesons, can be explained by the CP-violating **complex phase of the CKM matrix**
- ❖ **However, this complex phase is not sufficient to explain BAU**
  - => so other sources of CP-violation are required
- ❖ Two possibilities are the **neutrino sector**, and the **Higgs sector**
  - **Here we explore the  $H \rightarrow ZZ^* \rightarrow 4l$  ( $l = e, \mu$ ) channel in both VBF production and the  $4l$  decay**
  - Other Higgs searches are/have been performed in the Higgs - fermion sector

# Overview of the $H \rightarrow ZZ^* \rightarrow 4l$ CP-violation search

- ❖ This measurement uses **CP-odd optimal observables (OO)**
  - Moriond EW 2023, [arxiv:2304.09612](https://arxiv.org/abs/2304.09612), submitted to JHEP, [CERN News](#)
  - Largely based on the work in the [thesis of Antoine Laudrain 2019](#)
- ❖ Full Run 2 data set in ATLAS,  $139 \text{ fb}^{-1}$ , with about **200  $H \rightarrow ZZ^* \rightarrow 4l$  decays** including about **10 vector boson fusion (VBF) events** expected
- ❖ **The optimal observables are built from SMEFT matrix elements (MadGraph LO)**
  - Three dim-6 CP-odd operators contribute with different sensitivity to VBF production and  $H4l$  decay
- ❖ **Two types of measurements: OO distributions are used both**
  - to directly constrain CP-odd couplings, and
  - unfolded to fiducial phase space to allow model reinterpretation
- ❖ **CP-odd search is based on shape-only asymmetries, ignoring x-sec changes**
- ❖ **Also include inclusive x-sec in VBF fiducial phase space**

# Methodology

- ❖ SMEFT Lagrangian (dim-6 operators):
- ❖ Two sets of three CP-odd couplings - Lagrangian before and after EW symmetry breaking

- Warsaw and Higgs bases

- ❖ Warsaw basis is the “accepted” basis for measurement combinations
- ❖ Higgs basis has couplings more closely aligned with measurement sensitivity, i.e. for VBF prod or H4l decay

- ❖ Provide results for both
  - One basis linearly transforms into the other
- ❖ For comparison with an earlier  $H\tau\tau$  measurement:

- $\tilde{d}$  where  $c_{H\tilde{W}} = c_{H\tilde{B}} = \frac{\Lambda^2}{v^2} \tilde{d}$ ,

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{c_i}{\Lambda^2} O_i^{(6)}$$

## HVV coupling

Operator	Structure	Coupling
Warsaw Basis		
$O_{\Phi\tilde{W}}$	$\Phi^\dagger \Phi \tilde{W}_{\mu\nu}^I W^{\mu\nu I}$	$c_{H\tilde{W}}$
$O_{\Phi\tilde{W}B}$	$\Phi^\dagger \tau^I \Phi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	$c_{H\tilde{W}B}$
$O_{\Phi\tilde{B}}$	$\Phi^\dagger \Phi \tilde{B}_{\mu\nu} B^{\mu\nu}$	$c_{H\tilde{B}}$
Higgs Basis		
$O_{hZ\tilde{Z}}$	$hZ_{\mu\nu} \tilde{Z}^{\mu\nu}$	$\tilde{c}_{ZZ}$
$O_{hZ\tilde{A}}$	$hZ_{\mu\nu} \tilde{A}^{\mu\nu}$	$\tilde{c}_{Z\gamma}$
$O_{hA\tilde{A}}$	$hA_{\mu\nu} \tilde{A}^{\mu\nu}$	$\tilde{c}_{\gamma\gamma}$

or

# Methodology (2)

- ❖ Cross section is matrix element squared:

$$\begin{aligned}
 |\mathcal{M}|^2 &= \left| \mathcal{M}_{\text{SM}} + \sum_i \frac{c_i}{\Lambda^2} \mathcal{M}_{\text{BSM},i} \right|^2 \\
 &= |\mathcal{M}_{\text{SM}}|^2 + 2 \sum_i \frac{c_i}{\Lambda^2} \Re(\mathcal{M}_{\text{SM}}^* \mathcal{M}_{\text{BSM},i}) + \sum_i \sum_j \frac{c_i c_j}{\Lambda^4} \Re(\mathcal{M}_{\text{BSM},i}^* \mathcal{M}_{\text{BSM},j})
 \end{aligned}$$

SM
interference term
quadratic term

CP: even
odd
even

- ❖ OO for each coupling is the interference term normalized by SM

$$OO = \frac{2 \Re(\mathcal{M}_{\text{SM}}^* \mathcal{M}_{\text{BSM}})}{|\mathcal{M}_{\text{SM}}|^2}$$

LO MEs calculated with MadGraph for OO

- ❖ Samples are simulated with SMEFT-sim (MadGraph LO + Pythia) in 3-d coupling space

- Using interpolation (**morphing**) to evaluate cross section at any point
- Morphing includes linear and quadratic terms, but x-sec is normalized to SM

# H $\rightarrow$ ZZ\* $\rightarrow$ 4l reconstruction and selection

## ❖ Triggers: 1,2,3-lepton triggers

- 98% eff

## ❖ “Loose” lepton ID,

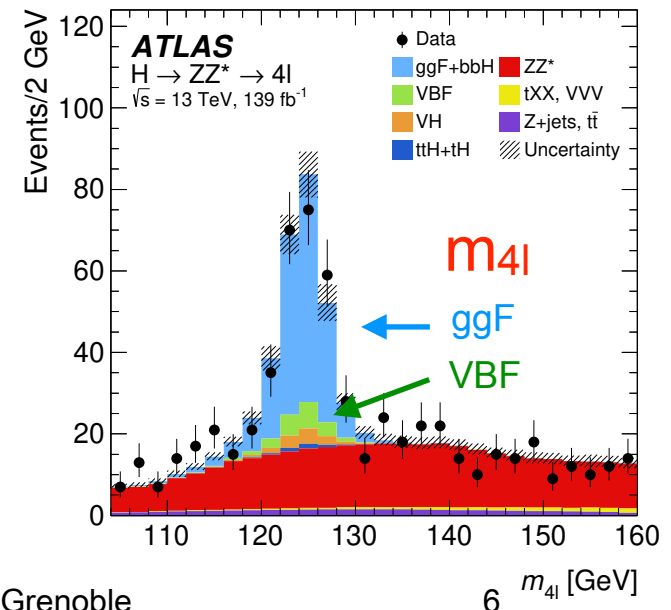
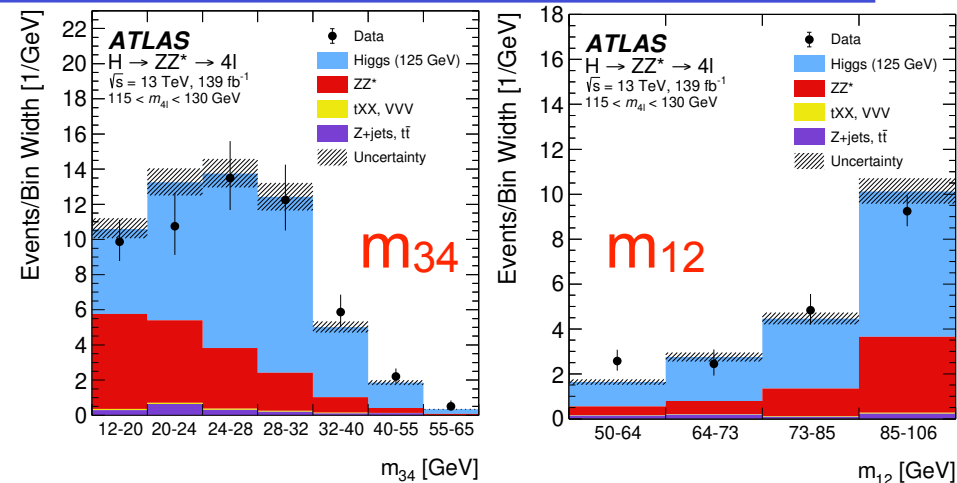
- $p_T > 5$  (7) GeV for  $\mu$  (e)

## ❖ Backgrounds:

- ZZ\* non-resonant (side-band fit)
- reducible Z+jet, tt (data-driven)
  - reduced with isolation +  $d_0$  cuts

## ❖ Four channels: 4 $\mu$ , 2e2 $\mu$ , 2 $\mu$ 2e, 4e

- Leading pair  $\sim$ onshell Z, subleading pair  $\sim$ offshell Z



# Analysis Overview

## ❖ Direct measurement:

### • Decay-only OO fit:

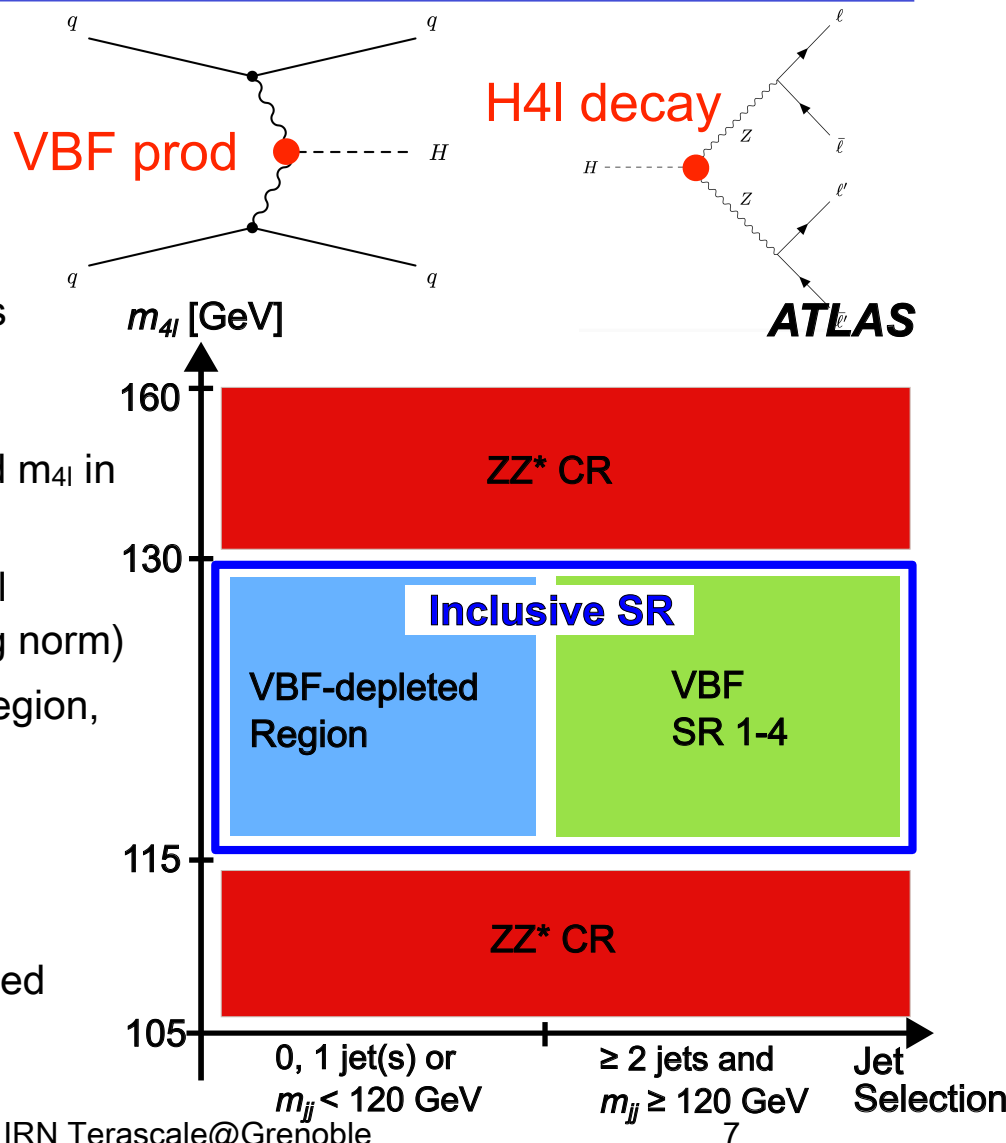
- all events,  $m_{4l}$  in 115 - 130 GeV
- $ZZ^*$  normalized in mass side-bands

### • VBF Production-only OO fit:

- 2-jet events with  $m_{jj} > 120$  GeV and  $m_{4l}$  in 115 - 130 GeV
- Separate VBF from ggF with neural network - SR 1-4 bins (VBF floating norm)
- ggF normalized by VBF-depleted region, as  $ZZ^*$  as above

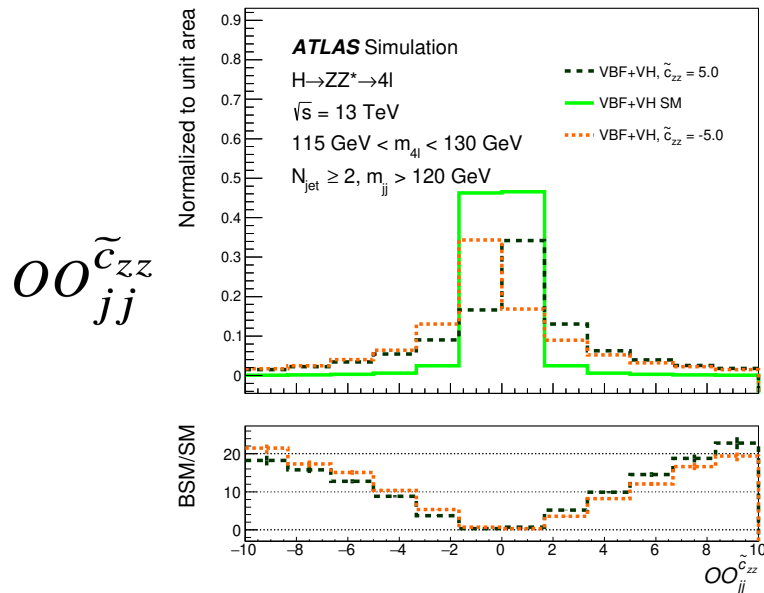
### • Combined OO fit:

- VBF OO fit is same
- Decay OO fit uses only VBF-depleted events

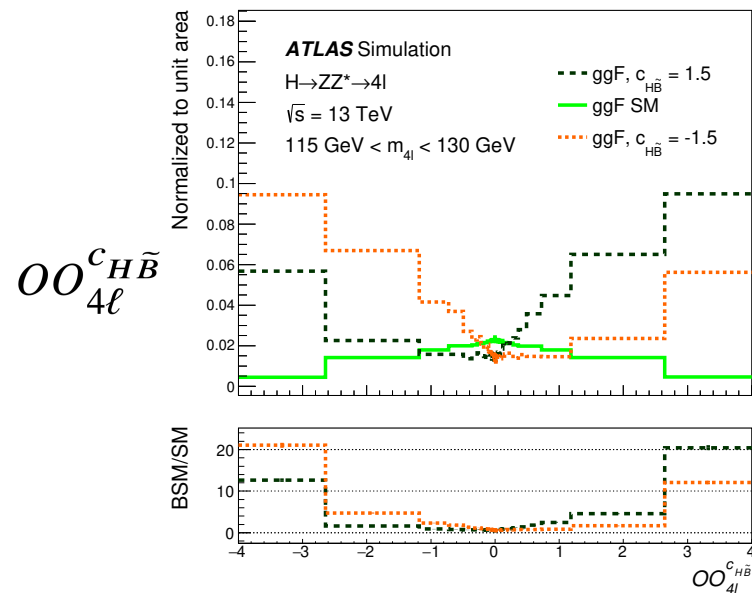


# Optimal observable distributions

OO for VBF production - equal-sized binning



OO for decay - equally-populated binning



- ❖ SM OO distribution is symmetric (green)
- ❖ Adding CP-odd coupling shows clear asymmetry depending on sign of coupling (Mean  $\neq 0$ )
- ❖ Right plot with **equally-populated bins** shows important effect of the distribution tails
  - Equal population binning is used in the fits of this analysis
    - done with mix of SM + BSM expected distributions



# CP asymmetries

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- ❖ For VBF production and H4l decay, the CP asymmetry is largely embedded in:
  - $\Delta\phi_{jj}$  - the  $\eta$ -ordered angular separation of the di-jet system for VBF production, which is CP-asymmetric itself, and
  - $m_{12}$  and  $m_{34}$  - the masses of the leading and subleading di-lepton pairs of the Higgs decay, which are not directly CP-asymmetric themselves
- ❖ These Optimal Observables capture more information in the Matrix Elements, and are CP-odd asymmetric
  - E.g. we have seen significantly better limits for VBF using OO rather than  $\Delta\phi_{jj}$

# Fiducial analysis

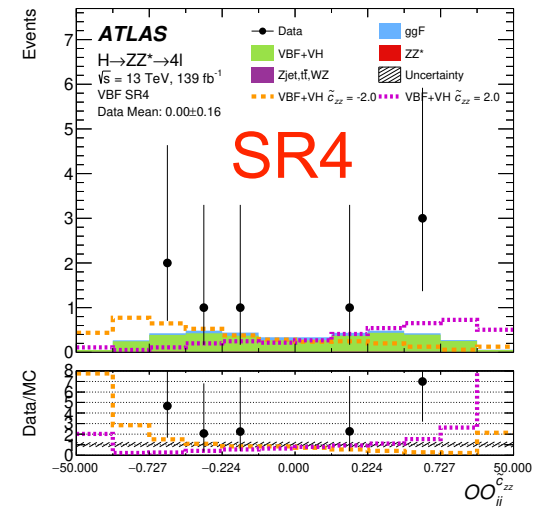
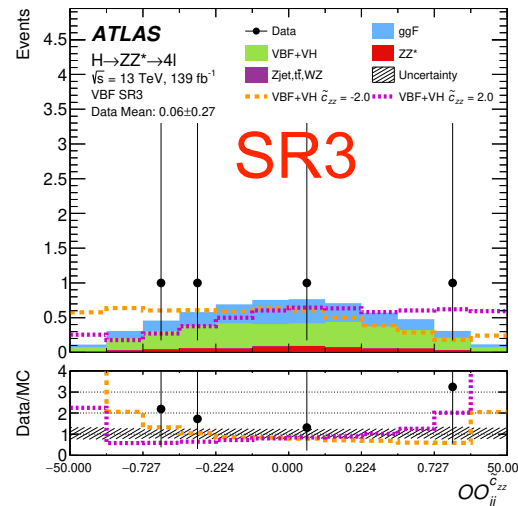
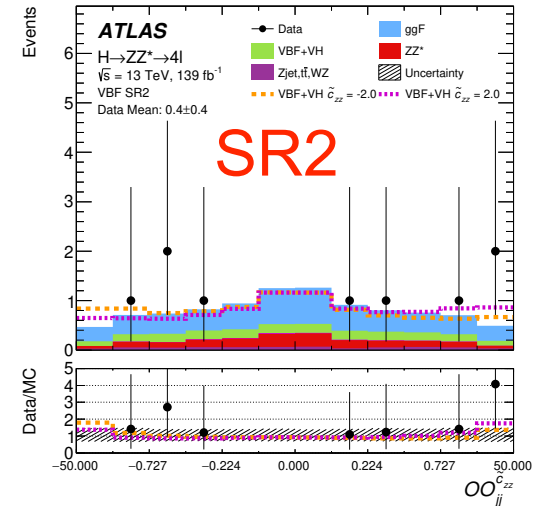
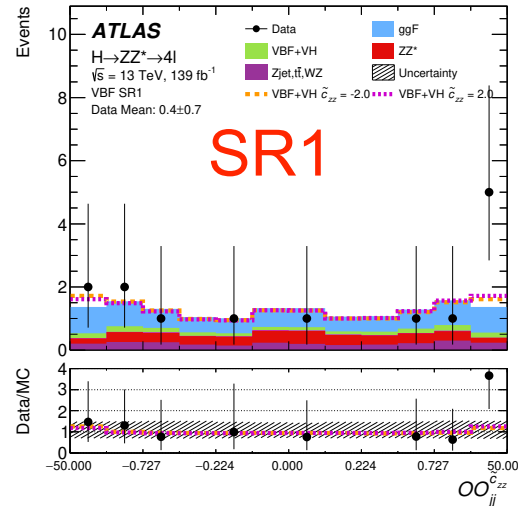
- ❖ Unfold the optimal observable distributions
- ❖ Measure fiducial cross section in enhanced VBF region:
  - $m_{jj} > 400$  GeV and  $|\eta_{jj}| > 3.0$
  - Two measurements:
    - Fid x-sec in this region (mix of ggF, VBF, VH, ttH): VBF purity ~59%
    - Fid x-sec including ggF-estimate as background (side-band norm, shape from MC): purity ~95%
      - But this is more model dependent
- ❖ Completes the fiducial differential distributions of H4l fiducial analysis

<b>Leptons and jets</b>	
Leptons	$p_T > 5$ GeV, $ \eta  < 2.7$
Jets	$p_T > 30$ GeV, $ \eta  < 4.4$
<b>Lepton selection and pairing</b>	
Lepton kinematics	$p_T > 20, 15, 10$ GeV
Leading pair ( $m_{12}$ )	SFOC lepton pair with smallest $ m_Z - m_{\ell\ell} $
Subleading pair ( $m_{34}$ )	Remaining SFOC lepton pair with smallest $ m_Z - m_{\ell\ell} $
<b>Event selection (at most one quadruplet per event)</b>	
Mass requirements	$50 \text{ GeV} < m_{12} < 106 \text{ GeV}$ and $m_{\text{threshold}} < m_{34} < 115 \text{ GeV}$
Lepton separation	$\Delta R(\ell_i, \ell_j) > 0.1$
Lepton/Jet separation	$\Delta R(\ell_i, \text{jet}) > 0.1$
$J/\psi$ veto	$m(\ell_i, \ell_j) > 5$ GeV for all SFOC lepton pairs
Mass window	$105 \text{ GeV} < m_{4\ell} < 160 \text{ GeV}$
If an extra lepton with $p_T > 12$ GeV is found, the quadruplet with the largest matrix element value is kept	

# Results: VBF prod $OO_{jj}^{\tilde{c}_{zz}}$ data distributions

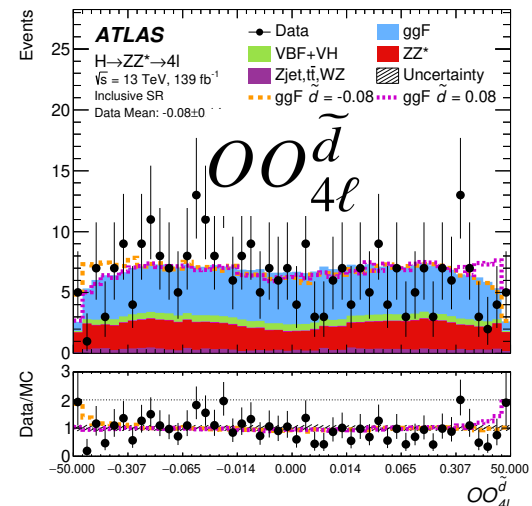
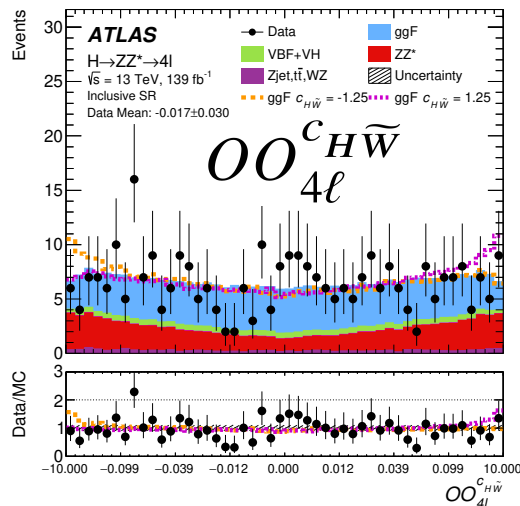
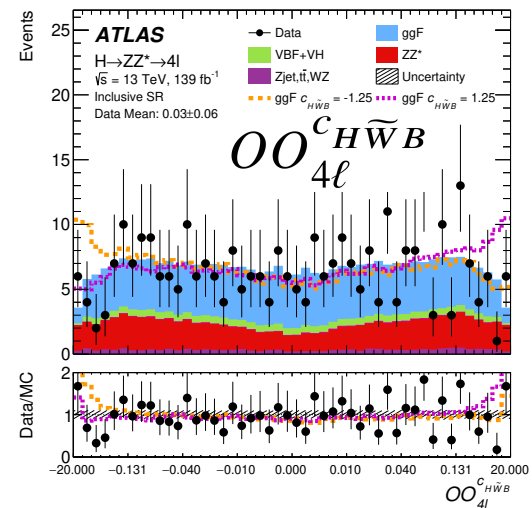
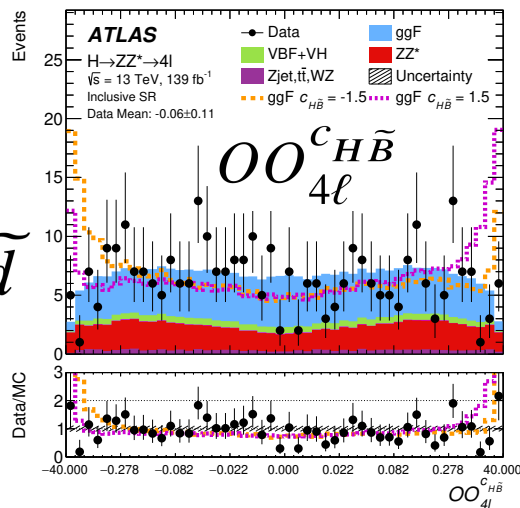
## ❖ data compared to expected distributions

- events with 2 jets,  $m_{jj} > 120$  GeV
  - 35 events expected
- both SM and potential BSM expectations shown
- SR4: 8 events seen,  $4.1 \pm 0.5$  expected
- 12 bins for VBF fit
- fluctuation in positive SR1 affects likelihood scan



# Results: decay-only OO data distributions

- ❖ Optimal observable distributions for:
  - $c_{H\tilde{B}}, c_{H\tilde{W}B}, c_{H\tilde{W}}, \tilde{d}$
- ❖ BSM CP-odd clearly peaks in tails
- ❖ 48 bins for decay fit
  - each bin here is plotted with equal width
- ❖ Data in good agreement with SM



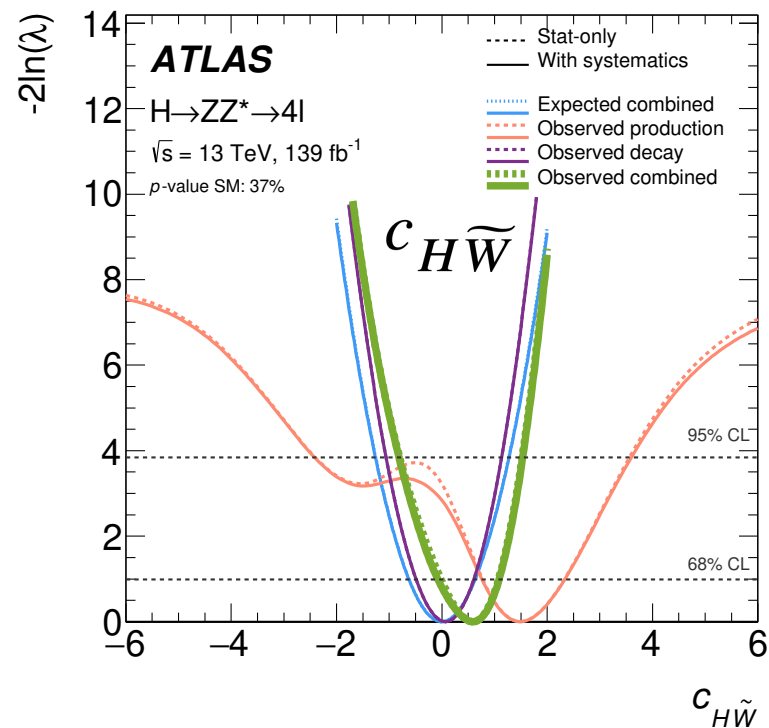
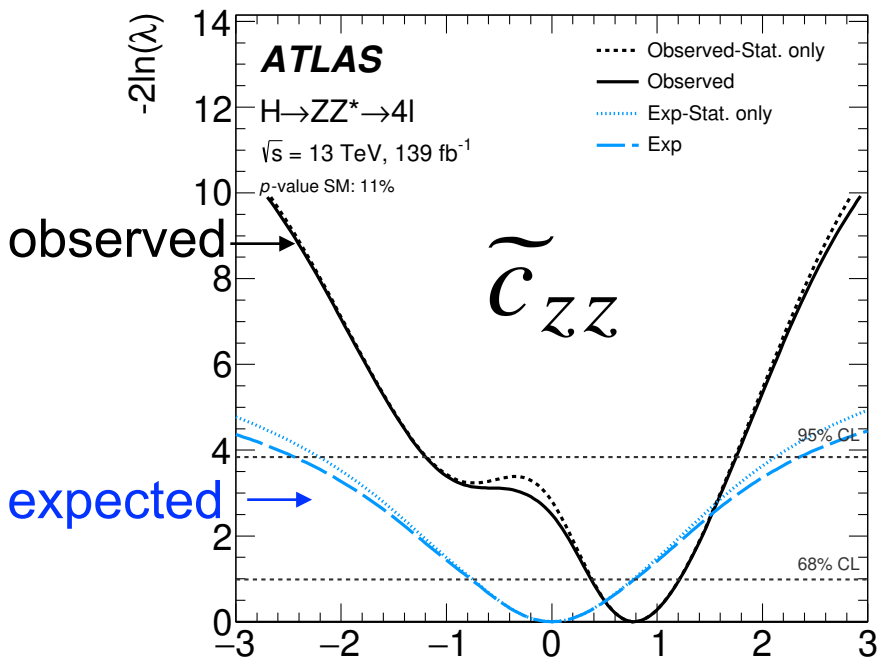
# Expected sensitivity - 95% CLs

❖ Table shows relative sensitivity for prod / decay / combined

- Recall: ~200 events inclusively, ~10 VBF events
- Note: combined fit keeps ~90% of all events for decay fit (missing ggF 2 jet events)

EFT coupling		Expected 95% CL		
		production-only	decay-only	combined
Warsaw basis	$c_{H\tilde{B}}$	–	$\pm 0.37$	–
	$c_{H\tilde{W}B}$	–	$\pm 0.72$	–
	$c_{H\tilde{W}}$	$\pm 4.8$	$\pm 1.34$	$\pm 1.27$
Higgs basis	$\tilde{d}$	$\pm 0.63$	$\pm 0.018$	$\pm 0.019$
	$\tilde{c}_{zz}$	$\pm 2.4$	–	–
	$\tilde{c}_{z\gamma}$	$\pm 6.6$	$\pm 0.76$	$\pm 0.80$
	$\tilde{c}_{\gamma\gamma}$	–	$\pm 0.76$	–

# Likelihood scan for VBF prod and combined



❖ Left:  $\tilde{C}_{ZZ}$  VBF prod-only observable scan

- offset due to fluctuation in SR1 - also origin of larger syst effect near  $\tilde{C}_{ZZ} = 0$  from parton shower moving ggF 2 jet events into SR

❖ Right:  $C_{H\tilde{W}}$  VBF prod-only (orange), decay-only (purple), and combined (green) observable scans

❖ dashed line - stat-only, solid line - with systematics

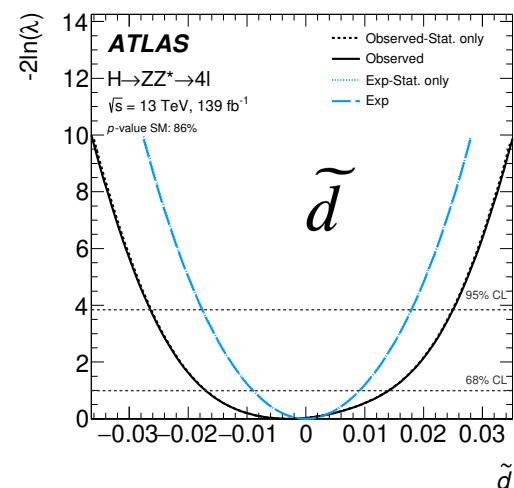
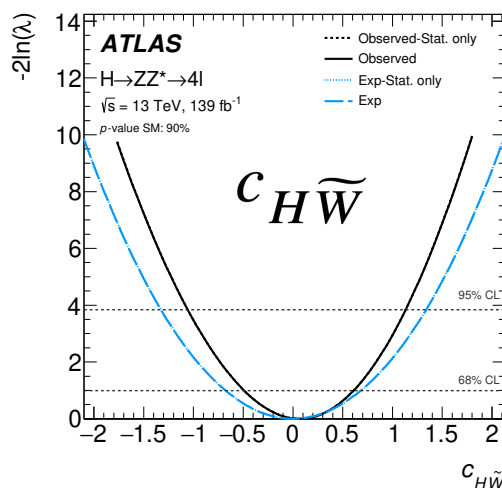
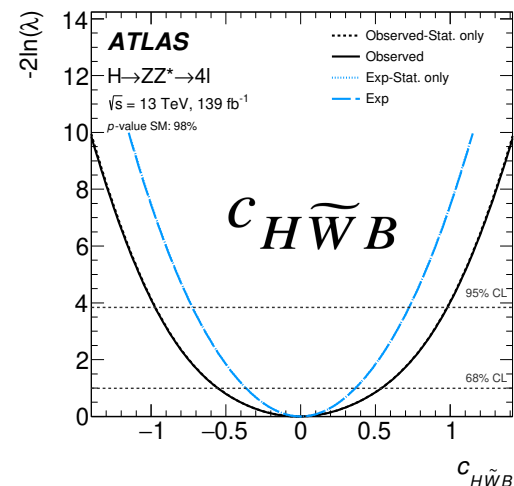
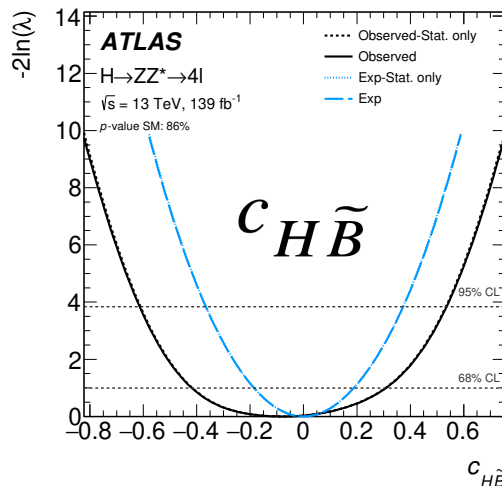
# Decay-only observable likelihood scans

❖ Systematics are negligible

❖ Slightly worse (better) observed limits for  $c_{H\tilde{B}}$ ,  $c_{H\tilde{W}B}$ , and  $\tilde{d}$  ( $c_{H\tilde{W}}$ )

- due to small excesses in tails (deficit in center)

❖ Good agreement with SM!

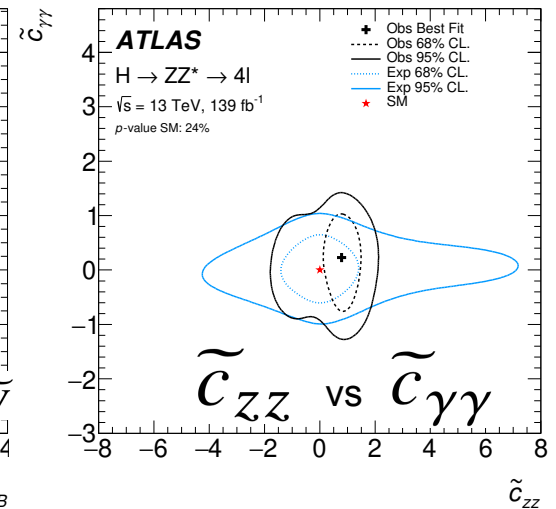
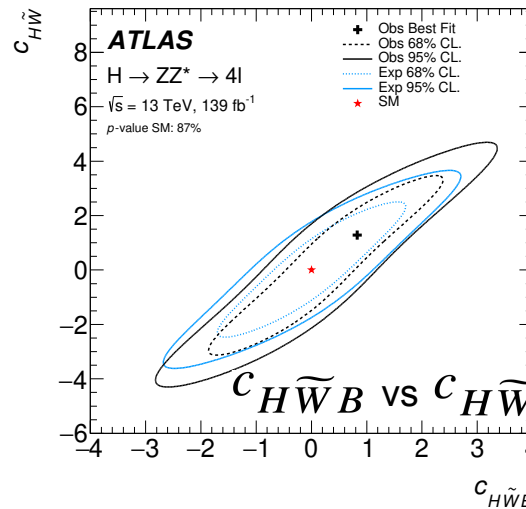
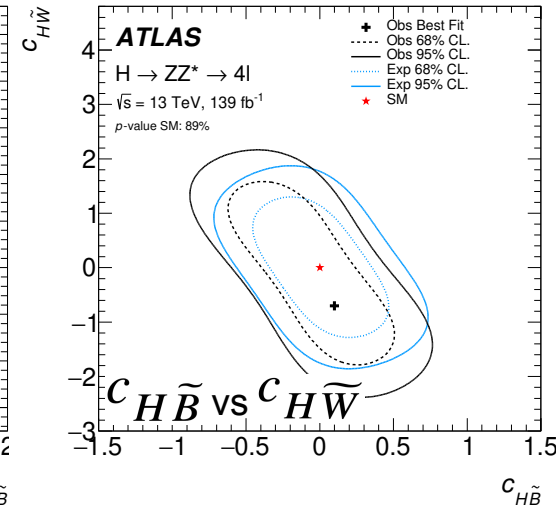
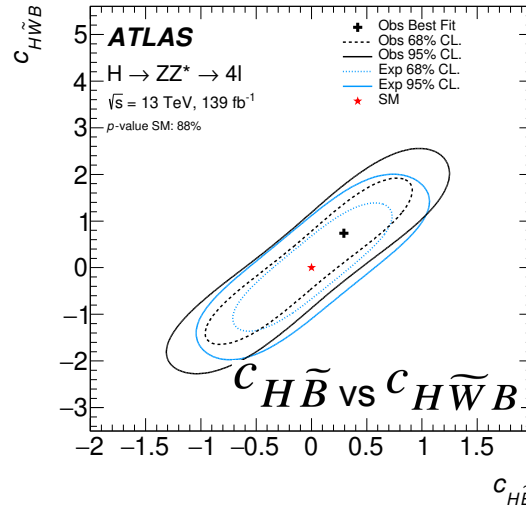


# Likelihood scans in 2-d

❖ Decay-only observable scans for all pairing of 3 Warsaw couplings:

- $C_{H\tilde{B}}$  vs  $C_{H\tilde{W}B}$ ,
- $C_{H\tilde{B}}$  vs  $C_{H\tilde{W}}$ , and
- $C_{H\tilde{W}B}$  vs  $C_{H\tilde{W}}$

❖ For Higgs basis couplings, observable scans for VBF prod for  $\tilde{C}_{ZZ}$  and decay-only for  $\tilde{C}_{\gamma\gamma}$



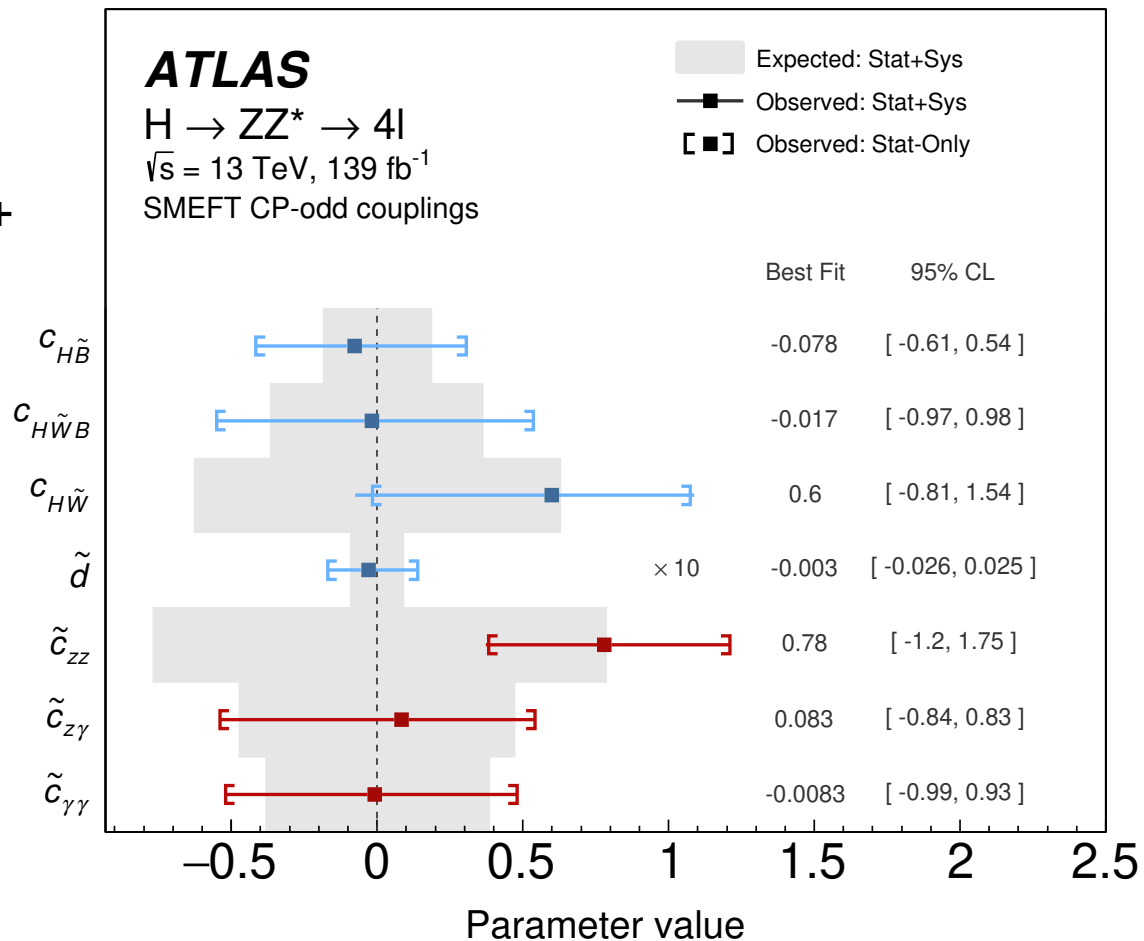


# Summary of direct results

## ❖ Observables scans:

- Expected - gray bands
- Observed data points + 68% CL uncertainties
  - 95% CL also given
- $\tilde{C}_{ZZ}$  - prod-only
- $C_{H\tilde{W}}$  combined
- others - decay-only

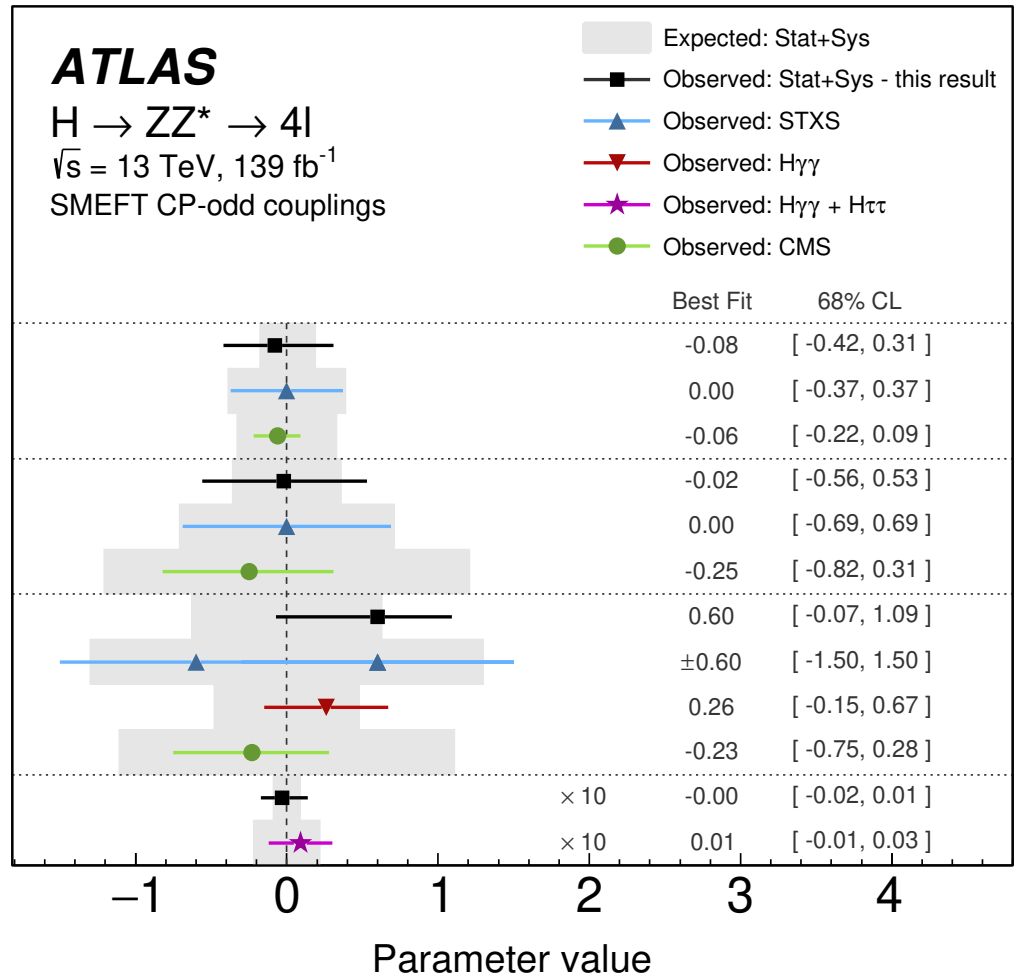
## ❖ All results in good agreement with SM



# Comparison with other measurements

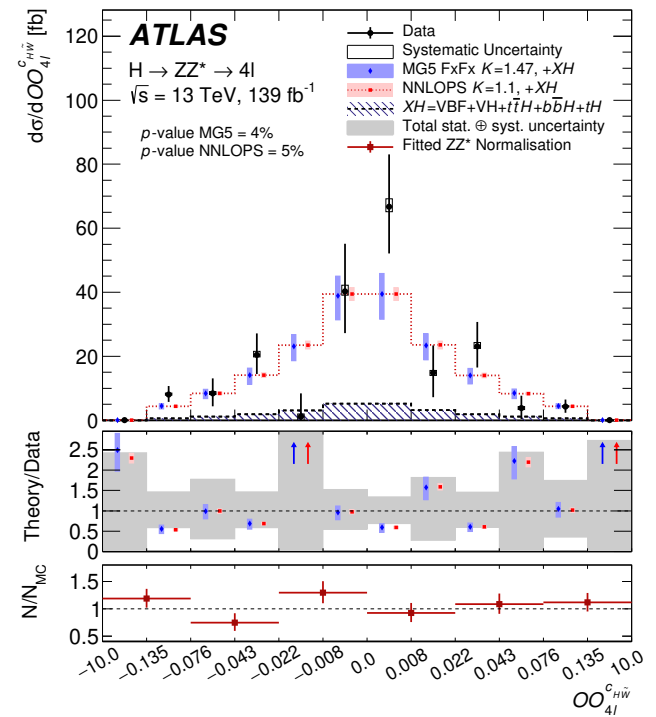
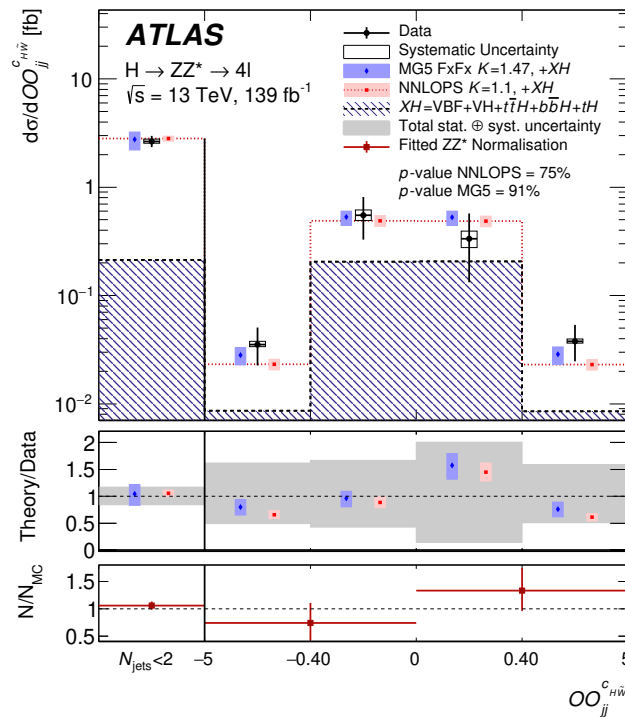
- ❖ Comparison with:
  - H4I STXS - x-sec only, not CP-odd specific
  - ATLAS CP-odd  $H\gamma\gamma$  VBF
    - combined with  $H\tau\tau$  for  $\tilde{d}$
  - CMS H4I CP-odd

- ❖ All agree with SM
- ❖ Present measurement has best expected sensitivity (gray bands) except for  $H\gamma\gamma$  VBF for  $C_{H\tilde{W}}$ 
  - Due to higher VBF stats



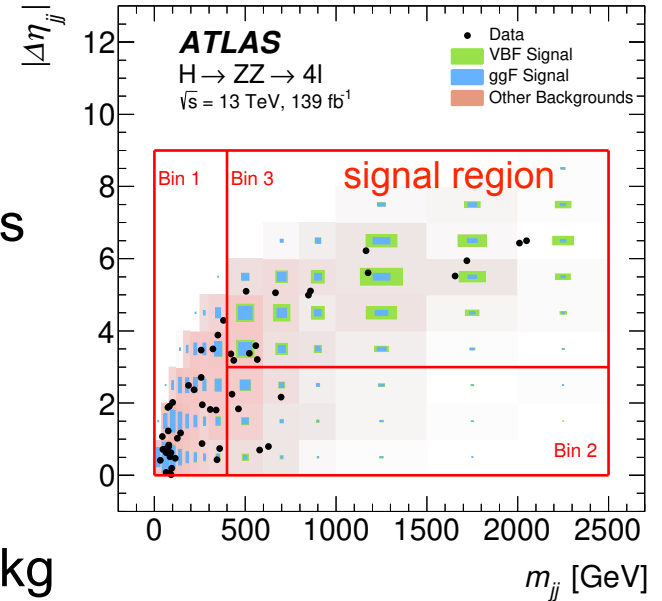
# Differential optimal observables distributions

- ❖ Distributions for production  $OO_{jj}^{c_{H\bar{W}}}$ , and decay  $OO_{4\ell}^{c_{H\bar{W}}}$ 
  - Fewer bins than for direct due to unfolding bin optimization
  - Other observable differential distributions available



# VBF-enriched fiducial cross-section

- ❖ VBF-enriched region defined as
  - SR:  $m_{jj} > 400$  GeV and  $|\eta_{jj}| > 3.0$  (bin 3)
  - Background normalized from side-bands
- ❖ x-sec provided for
  - all productions modes in SR
  - only VBF, VH, ttH with ggF treated as bkg



VBF-enriched region	Signal for cross-section estimates	Purity of VBF signal	Expected cross-section [fb]	Observed cross-section [fb]
$N_{\text{jets}} \geq 2, m_{jj} \geq 400$ GeV	All production modes	59 %	$0.134^{+0.065}_{-0.053} \quad ^{+0.014}_{-0.012}$	$0.215^{+0.075}_{-0.063} \quad ^{+0.016}_{-0.013}$
$ \Delta\eta_{jj}  \geq 3.0$	VBF +VH +ttH	95 %	$0.088^{+0.063}_{-0.053} \quad ^{+0.017}_{-0.020}$	$0.172^{+0.072}_{-0.062} \quad ^{+0.016}_{-0.018}$

# Summary

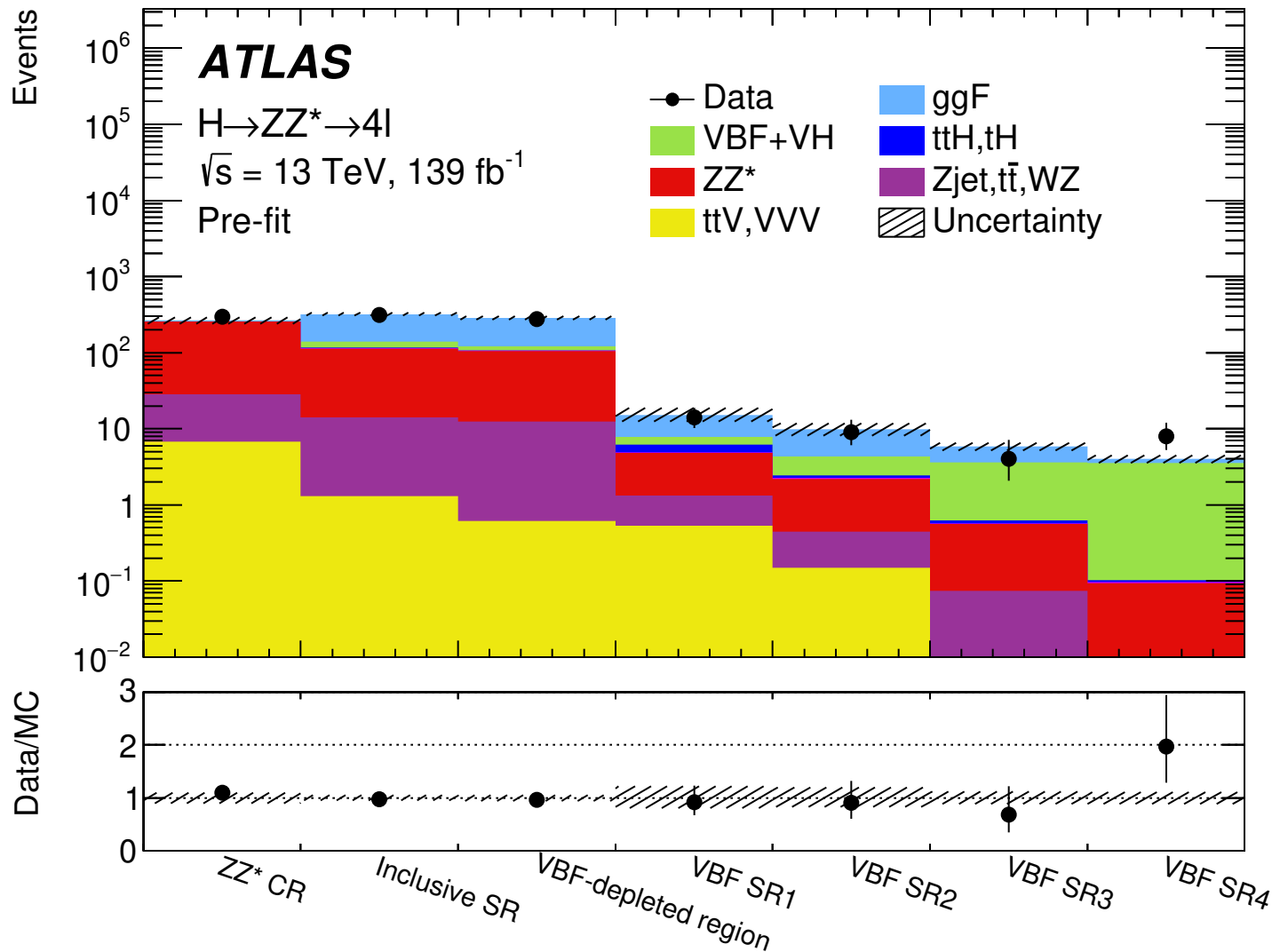
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- ❖ New full Run 2 results on search for CP-odd Higgs couplings to vector bosons in the Higgs boson to four lepton channel in ATLAS
- ❖ Measurement uses optimal observables 
$$OO = \frac{2\Re(\mathcal{M}_{\text{SM}}^* \mathcal{M}_{\text{BSM}})}{|\mathcal{M}_{\text{SM}}|^2}$$
- ❖ Limits obtained in both Warsaw and Higgs bases using SMEFT
  - Dominated by interference term,  $O(\Lambda^{-2})$  in x-sec, small sensitivity to quadratic terms,  $O(\Lambda^{-4})$  in x-sec
    - Implies qualitatively, low expected sensitivity to missing dim-8 operators, also  $O(\Lambda^{-4})$  in x-sec
    - => improvement over analyses relying on rates rather than shapes
- ❖ Measurements of fiducial differential optimal observables
  - Completing the set for Higgs boson to four lepton
- ❖ Also providing fiducial x-sec measurements in VBF phase space

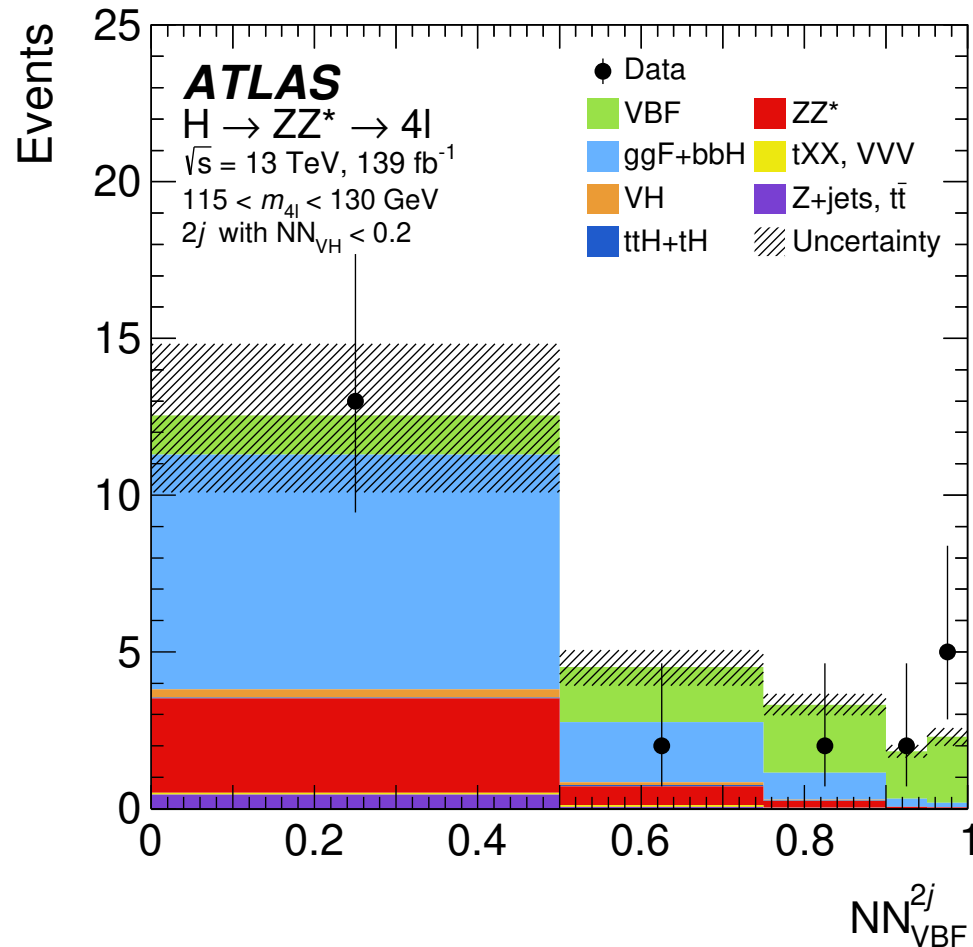
# Backup

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# Category composition



# Neural network used to separate VBF from ggF





# Expected and observed events per category

	$ZZ^*$ CR	Inclusive SR	VBF-depleted Region	VBF			
				SR1	SR2	SR3	SR4
ggF	$8.2 \pm 1.3$	$181 \pm 12$	$165 \pm 12$	$7.5^{+3.0}_{-2.4}$	$5.6^{+1.8}_{-1.5}$	$2.2 \pm 0.6$	$0.49 \pm 0.17$
$bbH$	$0.087^{+0.016}_{-0.015}$	$1.85 \pm 0.05$	$1.65 \pm 0.05$	$0.11 \pm 0.01$	$0.072^{+0.010}_{-0.009}$	$0.020^{+0.005}_{-0.003}$	$< 0.01$
VBF/VH	$1.39 \pm 0.16$	$23.8 \pm 0.7$	$13.8 \pm 0.6$	$1.60^{+0.09}_{-0.08}$	$1.89 \pm 0.11$	$3.01 \pm 0.18$	$3.5 \pm 0.4$
$ttH, tH$	$0.22^{+0.03}_{-0.04}$	$1.89^{+0.21}_{-0.22}$	$0.44 \pm 0.05$	$1.22 \pm 0.14$	$0.179 \pm 0.023$	$0.046^{+0.009}_{-0.010}$	$< 0.01$
$ttV, VVV$	$6.79 \pm 0.13$	$1.31 \pm 0.06$	$0.62 \pm 0.04$	$0.53 \pm 0.04$	$0.150 \pm 0.020$	$< 0.01$	$< 0.01$
$ZZ^*$	$229^{+20}_{-25}$	$98^{+6}_{-9}$	$92^{+6}_{-8}$	$3.5^{+1.3}_{-1.7}$	$1.7 \pm 0.6$	$0.48^{+0.16}_{-0.15}$	$0.086^{+0.025}_{-0.028}$
Zjet, $t\bar{t}$ , WZ	$21 \pm 5$	$13 \pm 4$	$12 \pm 3$	$0.8 \pm 0.9$	$0.3 \pm 0.6$	$0.07 \pm 0.26$	$0.01 \pm 0.09$
Total SM	$267^{+21}_{-26}$	$321^{+14}_{-15}$	$286^{+14}_{-15}$	$15 \pm 3$	$9.9^{+2.0}_{-1.7}$	$5.9 \pm 0.7$	$4.1 \pm 0.5$
Data	294	311	276	14	9	4	8

# Results: coupling limits for 68% and 95% CL

EFT coupling parameter	Expected		Observed		Best-fit value	SM $p$ -value	Fit type
	68% CL	95% CL	68% CL	95% CL			
$c_{H\tilde{B}}$	[-0.18, 0.19]	[-0.37, 0.37]	[-0.42, 0.31]	[-0.61, 0.54]	-0.078	0.86	decay
$c_{H\tilde{W}B}$	[-0.36, 0.36]	[-0.72, 0.72]	[-0.56, 0.53]	[-0.97, 0.98]	-0.017	0.99	decay
$c_{H\tilde{W}}$	[-0.63, 0.63]	[-1.26, 1.28]	[-0.07, 1.09]	[-0.81, 1.54]	0.60	0.37	comb
$\tilde{d}$	[-0.009, 0.009]	[-0.018, 0.018]	[-0.017, 0.014]	[-0.026, 0.025]	-0.003	0.86	decay
$\tilde{c}_{zz}$	[-0.77, 0.79]	[-2.4, 2.4]	[0.37, 1.21]	[-1.20, 1.75]	0.78	0.11	prod
$\tilde{c}_{z\gamma}$	[-0.47, 0.47]	[-0.76, 0.76]	[-0.54, 0.54]	[-0.84, 0.83]	0.083	0.93	decay
$\tilde{c}_{\gamma\gamma}$	[-0.38, 0.38]	[-0.76, 0.77]	[-0.52, 0.48]	[-0.99, 0.93]	-0.01	0.99	decay

# Effects of including x-sec or CP-even couplings in analysis

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- ❖ Including only linear terms in morphing:
  - 68% (95%) CL limits change by  $\sim 1\%$  ( $\sim 3\%$ )
- ❖ Rather than normalizing each morphing point to SM, scale by the expected SMEFT x-sec:
  - Decay-only limits decrease by  $< 5\%$  ( $10\%$ ) for 68% (95%) CL
  - Production-only limits ( $\tilde{C}_{ZZ}$ ) tighten by 10% (50%)
- ❖ Checked including non-zero CP-even couplings for  $C_{HB}$ ,  $C_{HWB}$  and  $C_{HW}$ 
  - For current experimental limits on CP-even couplings:
    - Negligible effect for production-only
    - Weaker limits for decay-only at  $\sim 1\%$