

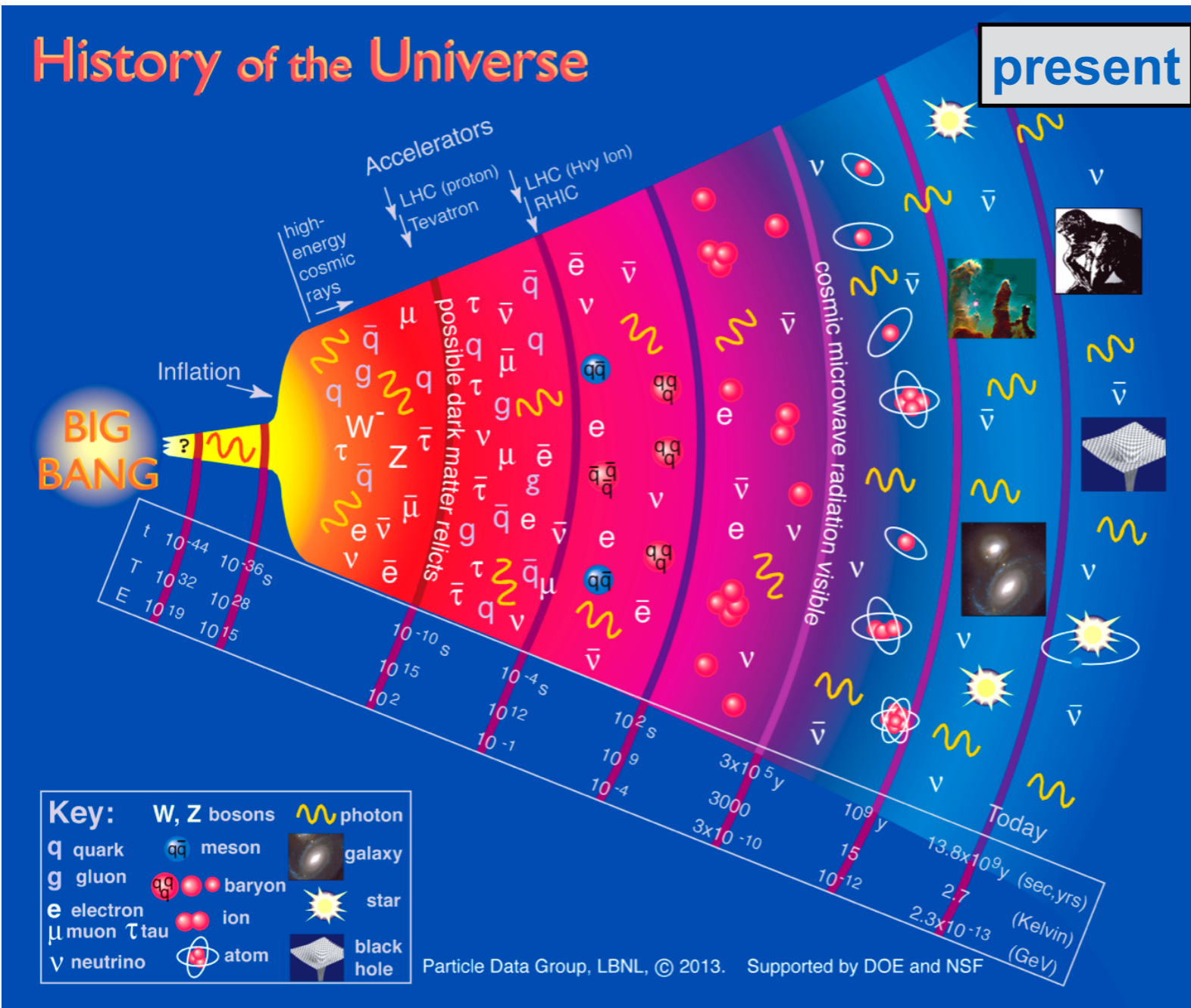
KamLAND-Zen Experiment

International Conference on the Physics of the Two Infinities

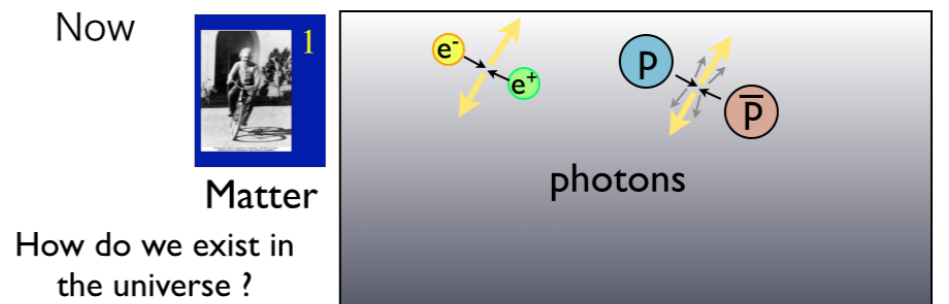
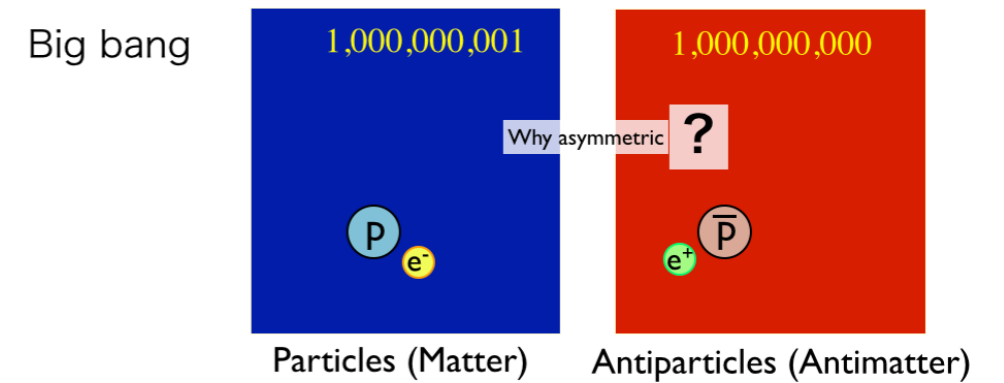
March 29, 2023

Itaru Shimizu (Tohoku University)

Matter Dominated Universe



- significant **asymmetry** between matter and anti-matter



- **neutrinos** and photons are the most abundant particle

neutrinos / nucleon ~ 10⁹

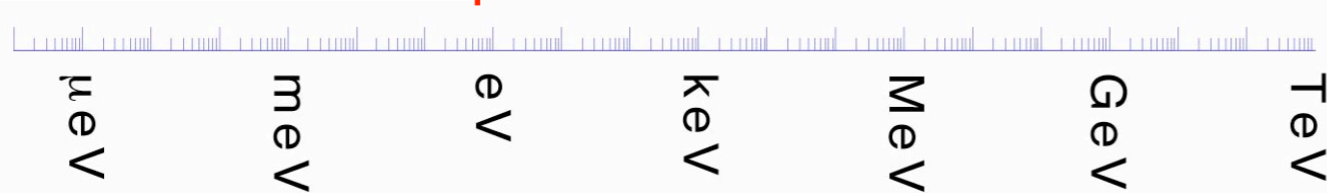
Neutrinos may play a key role to explain matter/anti-matter asymmetry

Light Neutrino Mass

particle mass

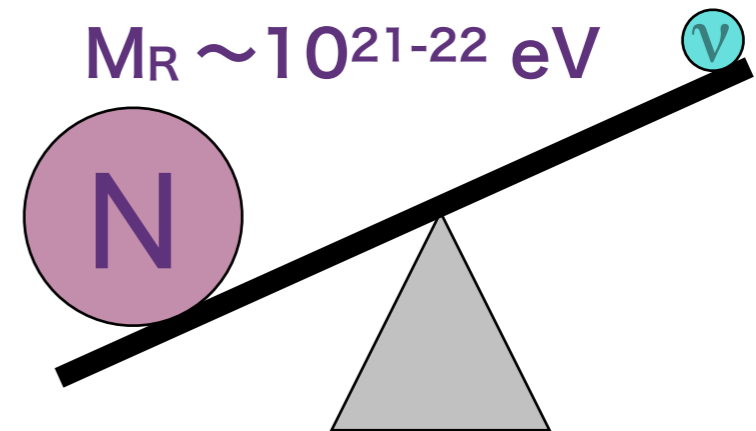
neutrinos are extraordinary light

$$(\nu_3) < \nu_1 < \nu_2 < (\nu_3)$$



Seesaw mechanism

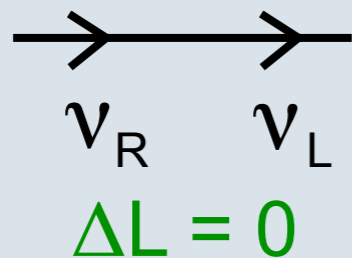
$$m_D^2/M_R$$



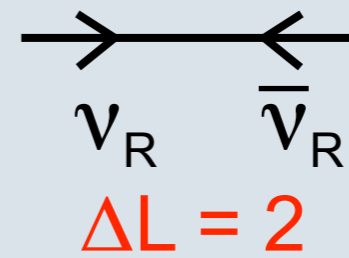
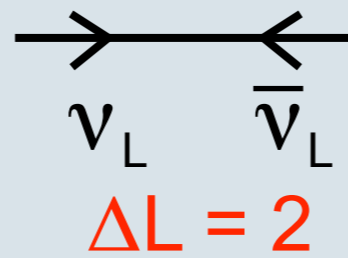
Possible mass terms

Dirac mass

$$L_{mass} = \overline{\psi}_R m_D \psi_L + \frac{1}{2} \overline{(\psi_L)^c} m_L \psi_L + \frac{1}{2} \overline{\psi_R} m_R (\psi_R)^c$$



Majorana mass



lepton number violation

$$\nu = \bar{\nu}$$

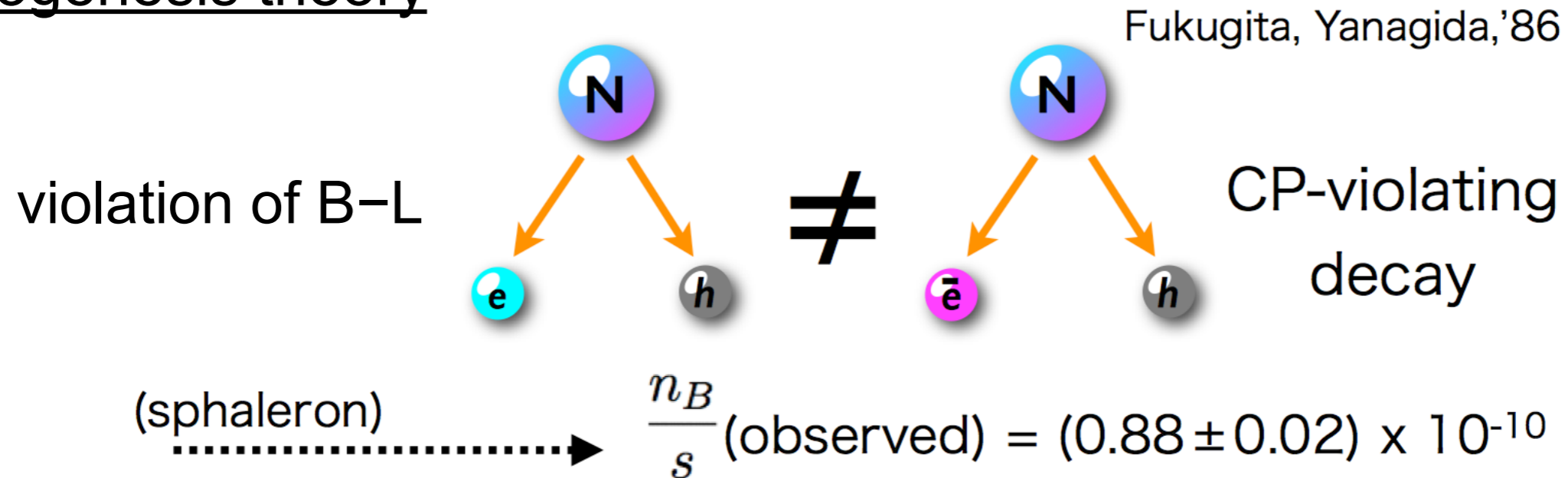
Majorana neutrino

Heavy neutrino (just below GUT scale) naturally explains

“finite but light neutrino mass”

Matter Production

Leptogenesis theory



Three Sakharov conditions

- **Violation of B-L.** Guaranteed if neutrinos are **Majorana particles.**
- **C and CP violation.** Guaranteed if the neutrino Yukawa couplings contain physical phases.
- **Departure from thermal equilibrium.** Guaranteed, due to the expansion of the Universe.

A. Ibarra, Leptogenesis, INSS 2012

CP violating decay of heavy neutrino explains
“**matter dominance in the universe**”

Neutrinoless Double-Beta Decay

Nucleus $\beta\beta$ -decay emitting two electrons

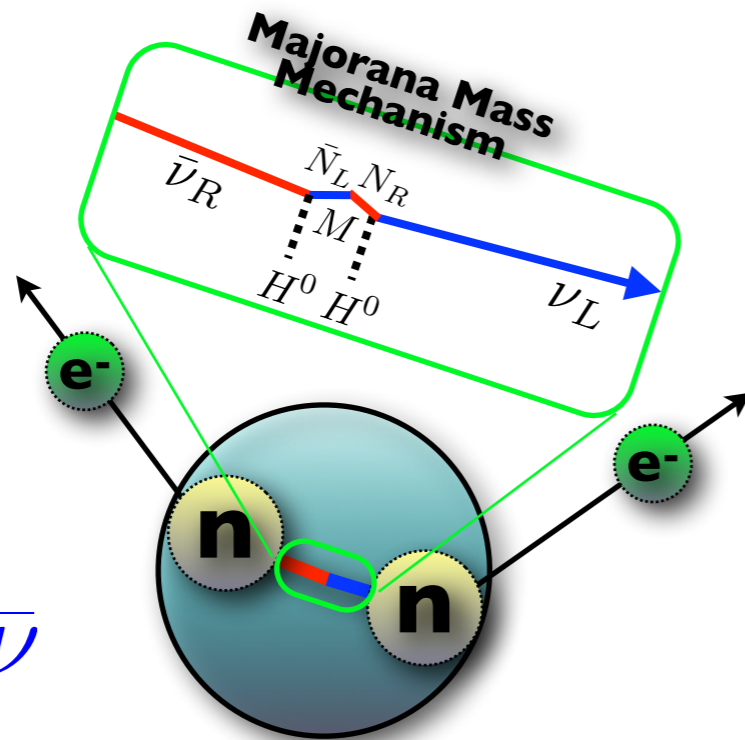
$$(Z, A) \rightarrow (Z + 2, A) + 2e^-$$

lepton number violation

$$\Delta L = 2$$

$0\nu\beta\beta$

neutrinoless double beta-decay



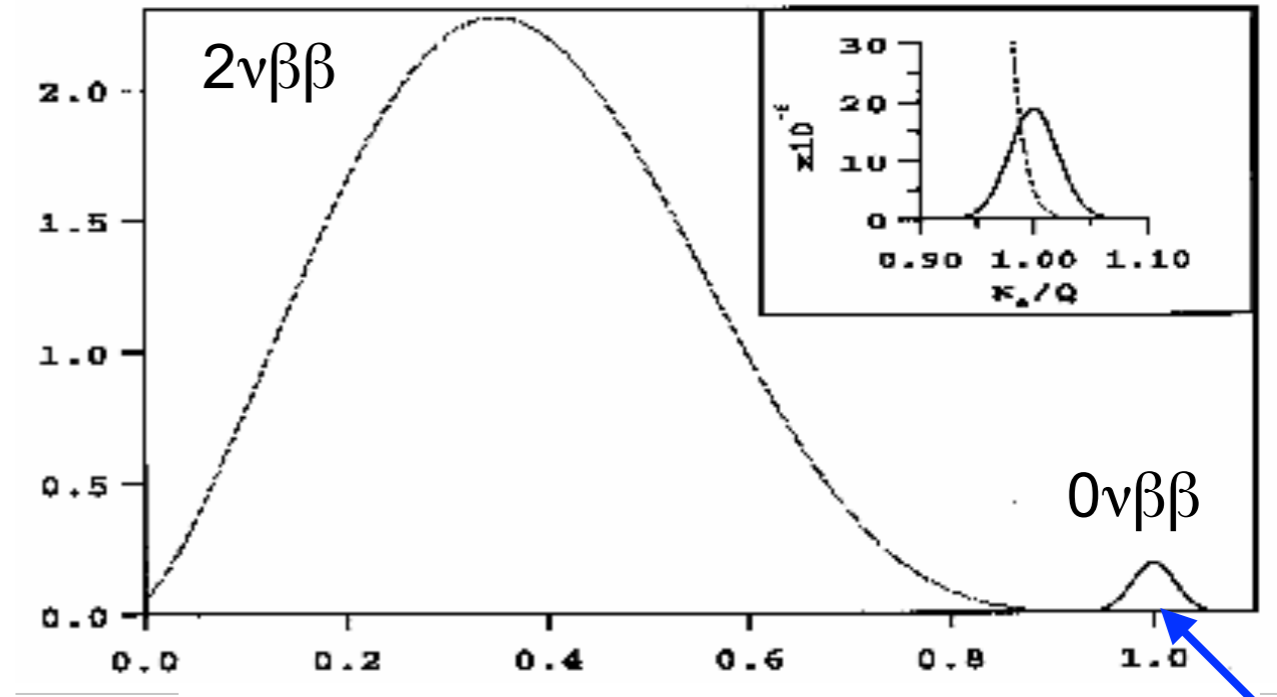
$$\nu = \bar{\nu}$$

Require the neutrino **Majorana nature**

amplitude $\sim \langle m_{\beta\beta} \rangle \equiv \left| \sum_{i=1}^3 U_{ei}^2 m_i \right|$

↑
effective neutrino mass

Possible contributions also from Majoron, SUSY, right-handed current, ...



sum energy for two electrons / Q **peak at Q-value**

decay rate

$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu}(Q_{\beta\beta}, Z) |M^{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

nuclear matrix element
(nuclear physics)

phase space factor

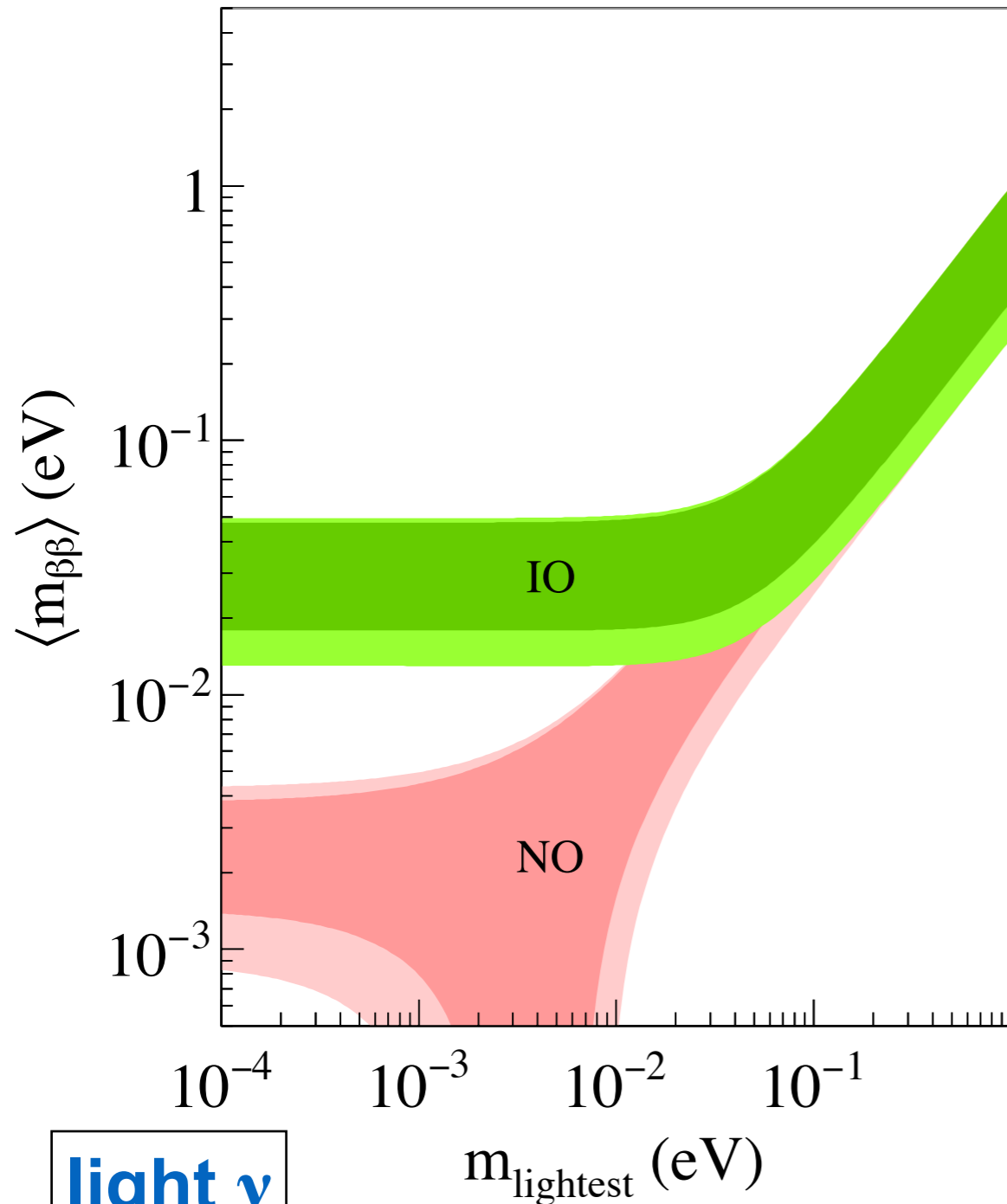
effective neutrino mass
(particle physics)

Milestone of $0\nu\beta\beta$ search

$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu}(Q_{\beta\beta}, Z) |M^{0\nu}|^2 \langle m_{\beta\beta} \rangle^2 \quad \langle m_{\beta\beta} \rangle \equiv \left| \sum_{i=1}^3 U_{ei}^2 m_i \right|$$

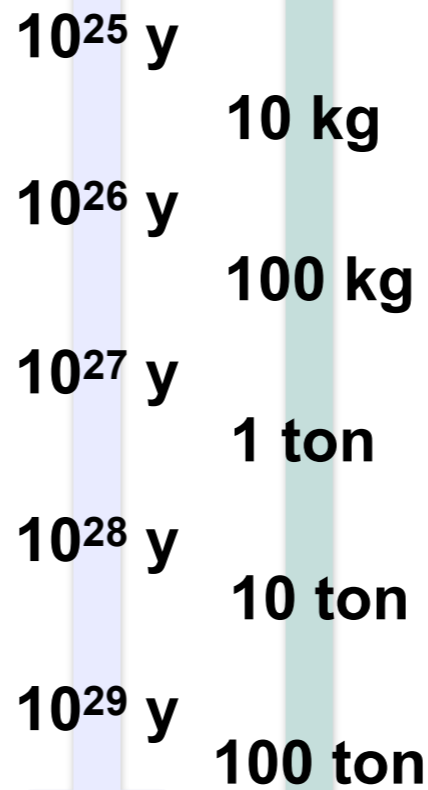
heavy ν

dark shaded: unknown CP phase only
light shaded: w/ uncertainty from oscillation parameters



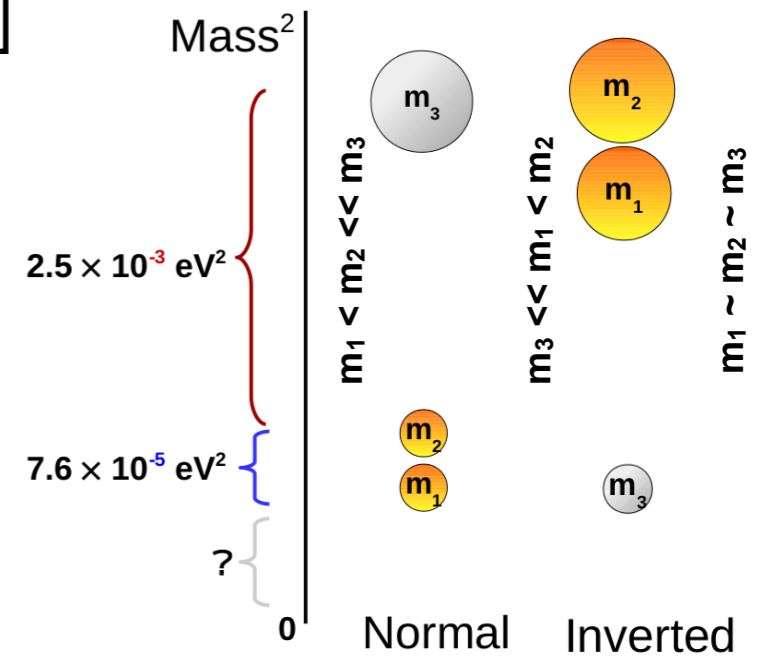
$T_{1/2}$ sensitivity

required mass



neutrino mass spectrum

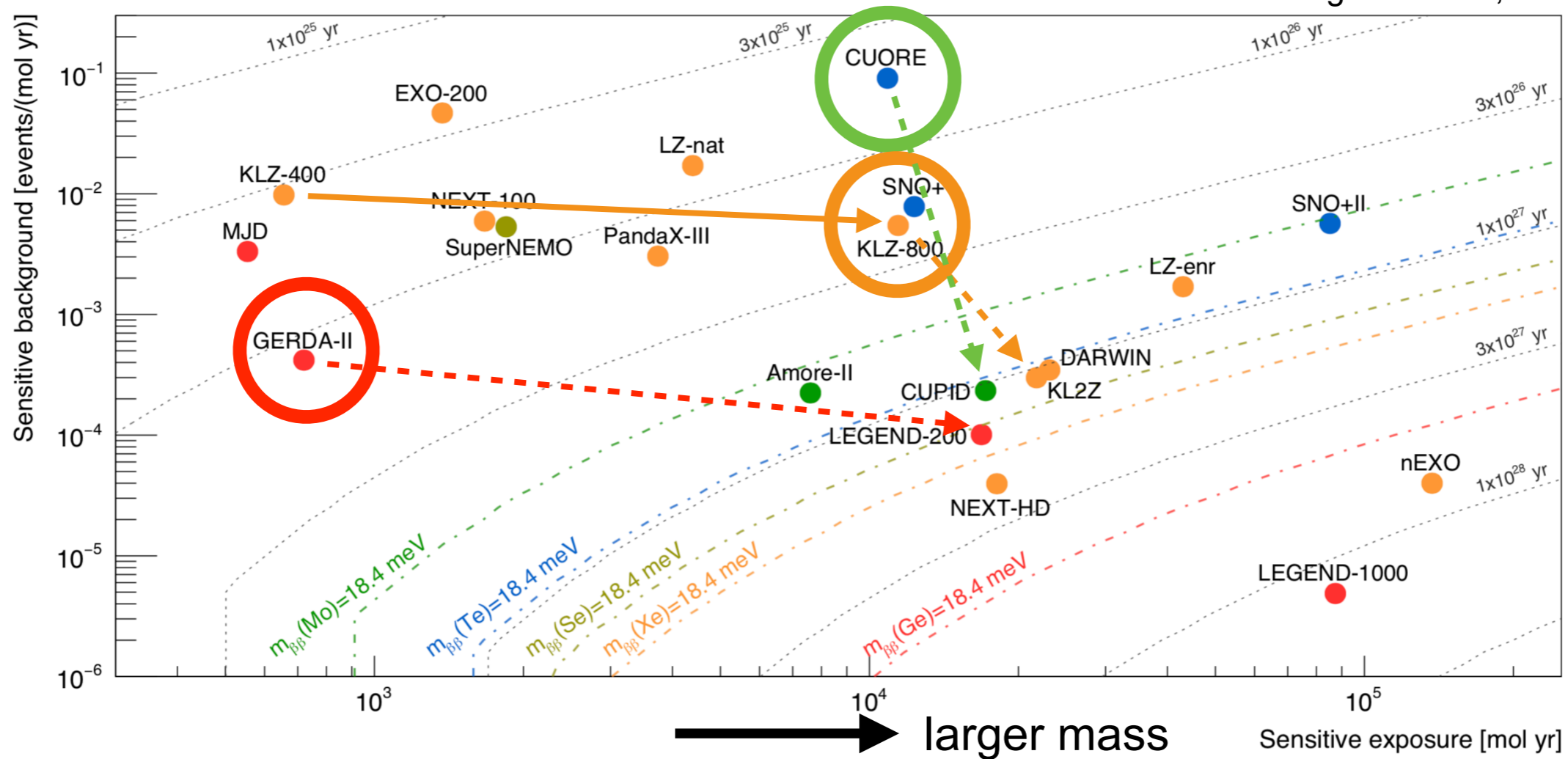
NO IO



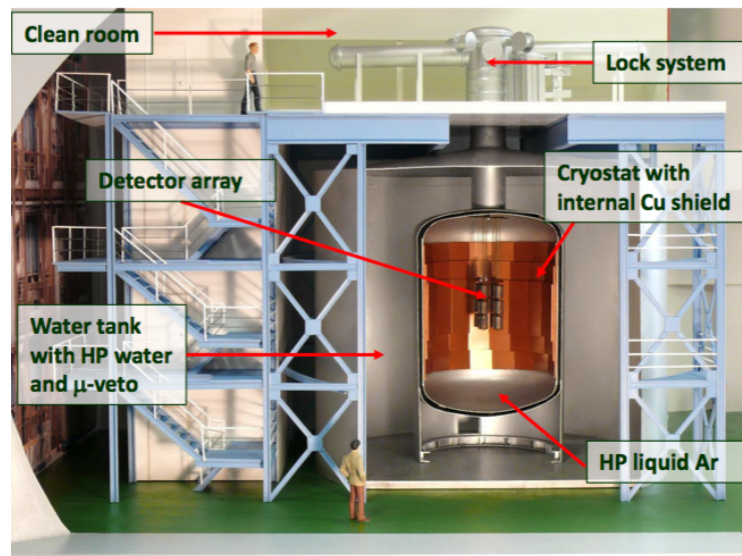
Large amount of isotope is necessary to investigate lighter Majorana ν mass

$0\nu\beta\beta$ Experiments

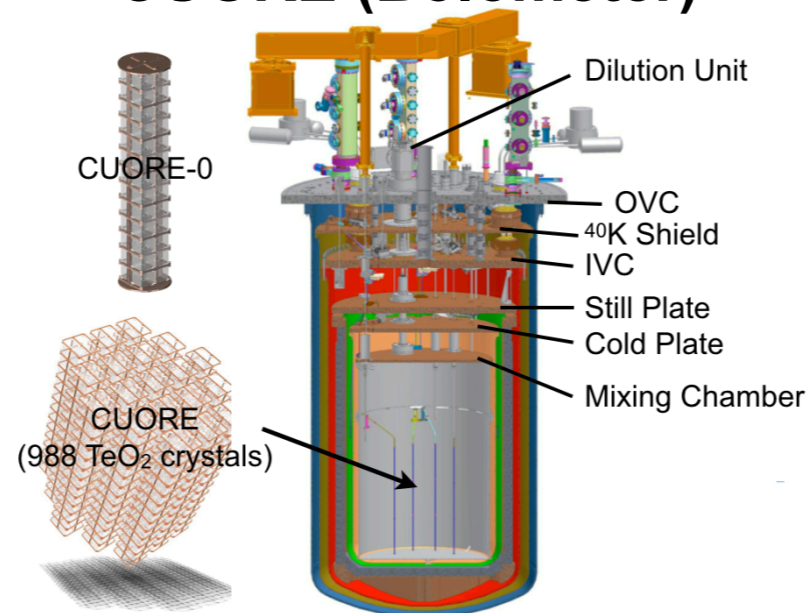
M. Agostini et al, arXiv:2202.01787



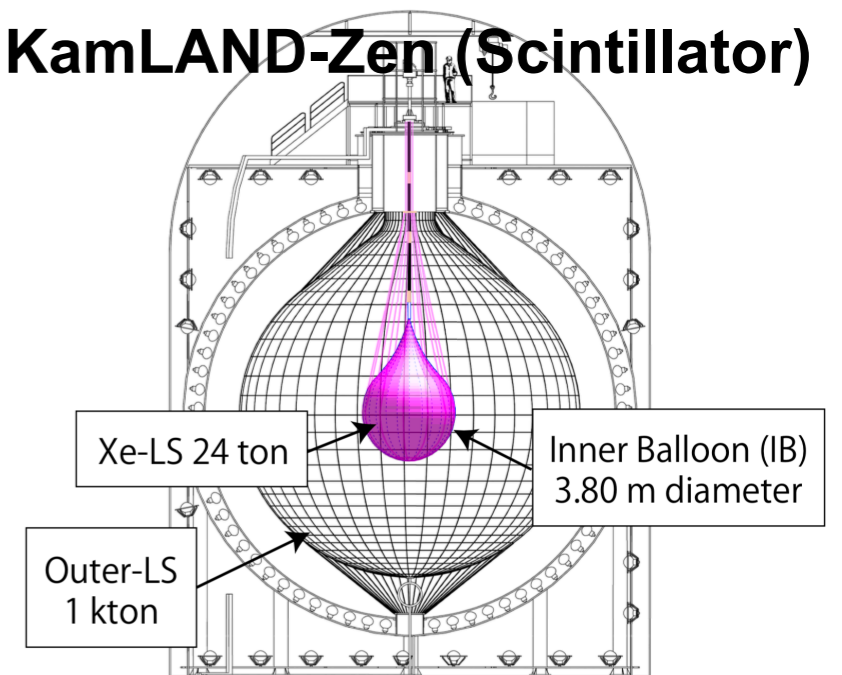
GERDA (Ge-detector)



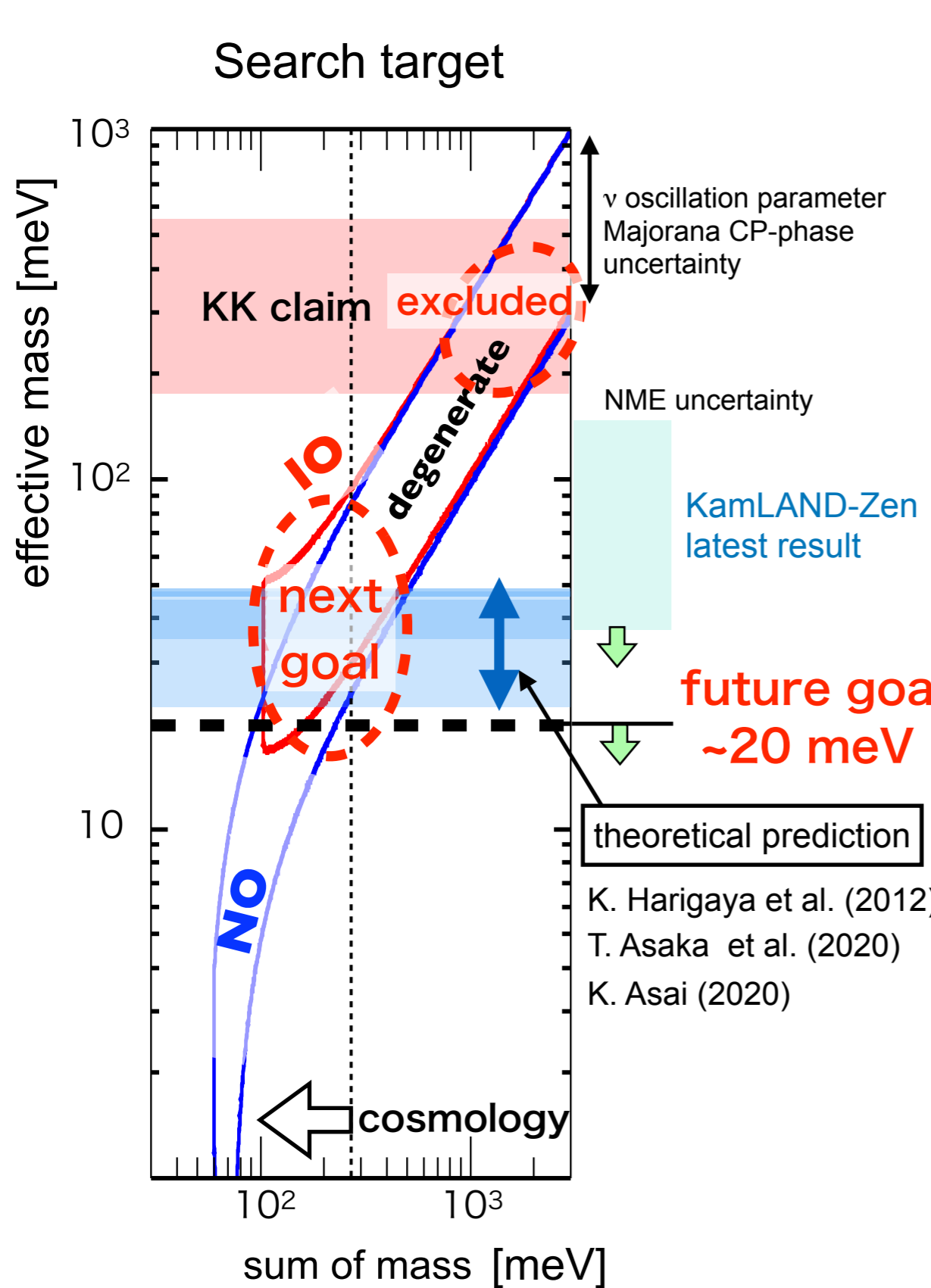
CUORE (Bolometer)



KamLAND-Zen (Scintillator)



Prospect on Neutrino Mass Search



Other exp.

- ν oscillation
- cosmology
- β -decay

on-going and future experiments
 SK, T2K, HK, DUNE, JUNO, ...
 Planck, ACT, SPT, ...
 KATRIN, Project 8

$0\nu\beta\beta$ decay

KamLAND-Zen, GERDA, CUORE, n-EXO, LEGEND, CUPID, ...

Half-life

$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu} |M^{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

effective mass

case 1: $0\nu\beta\beta$ signal is found

Verification of Majorana and neutrino mass scale

Mass disagreement with other experiments may indicate some contributions from new physics?

case 2: no $0\nu\beta\beta$ signal is found

Rejection of Majorana if the mass disagrees with other experiments

KamLAND-Zen

KamLAND-Zen

Zero Neutrino Double Beta

Kamioka underground
KamLAND detector

2-type of liquid scintillator

1000-ton pure
liquid scintillator

U, Th $< 10^{-17}$ g/g

745 kg Xe-loaded
liquid scintillator
(91% enrichment)

inner balloon (IB)

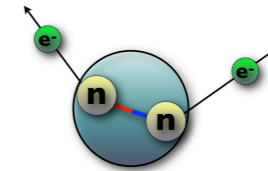
2002– KamLAND

reactor, geo, solar neutrino observation



2011– KamLAND-Zen

double beta decay measurement ($0\nu\beta\beta$ search)



2019– Xe increase, cleaner balloon

Advanced neutrino research utilizing
ultra-low background environment

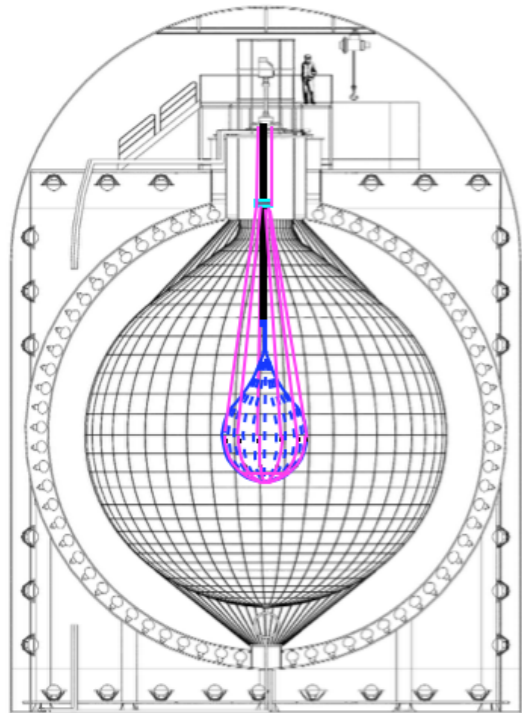
Why Xe?

- Isotopic **enrichment** (centrifugal) established
- Gas purification is possible
- Soluble to LS more than **3 wt%**, easily extracted
- Slow $2\nu\beta\beta$ requires modest energy resolution

competing exp.	Xe
EXO-200	200 kg
KamLAND-Zen	745 kg
largest amount of ^{136}Xe !!	

Upgrade of KamLAND-Zen

Past



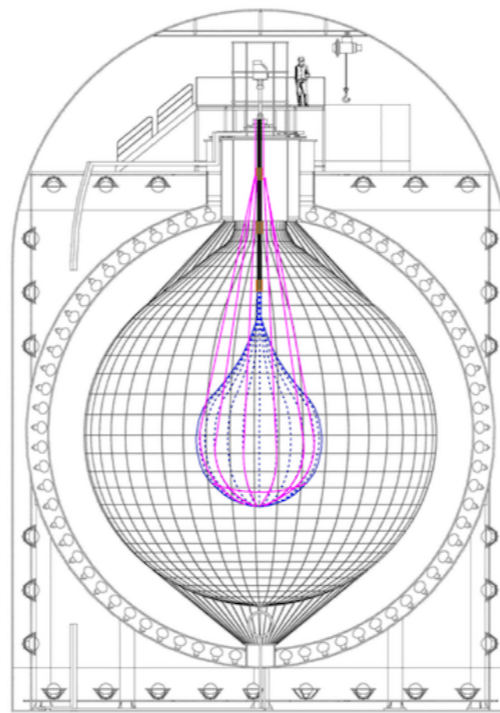
KamLAND-Zen 400

Nylon balloon R 1.54 m

Xenon 320 – 380 kg

world top performance

Present



KamLAND-Zen 800

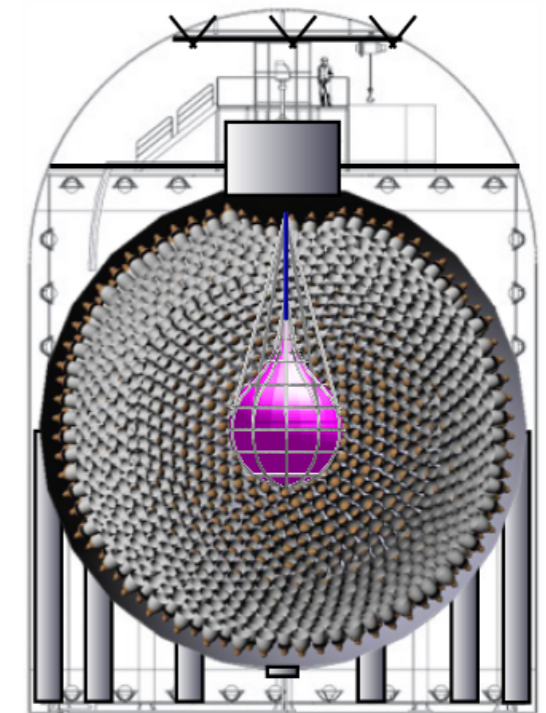
Nylon balloon R 1.90 m

Xenon 745 kg

target $\langle m_{\beta\beta} \rangle \sim 40 \text{ meV}$

reduced radioactive BG
demonstration of scalability

Future



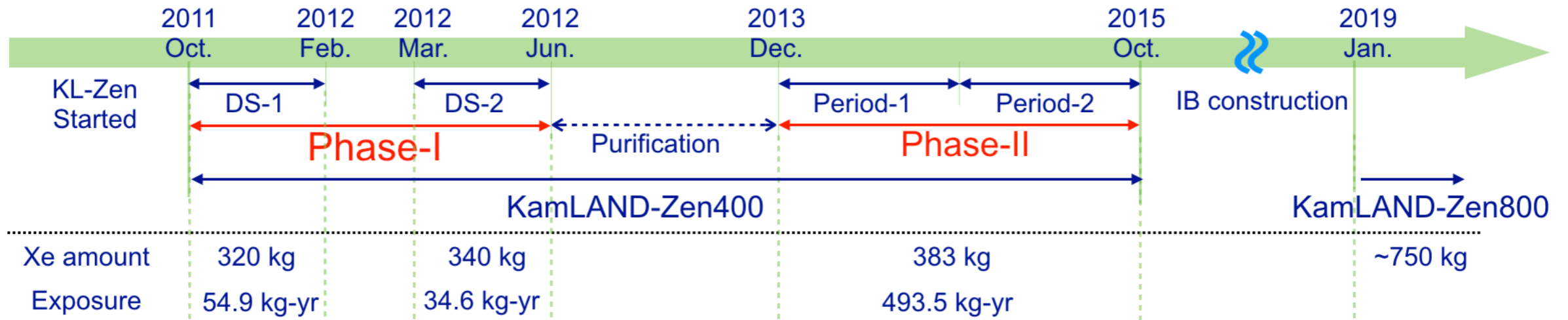
KamLAND2-Zen

Xenon 1 ton

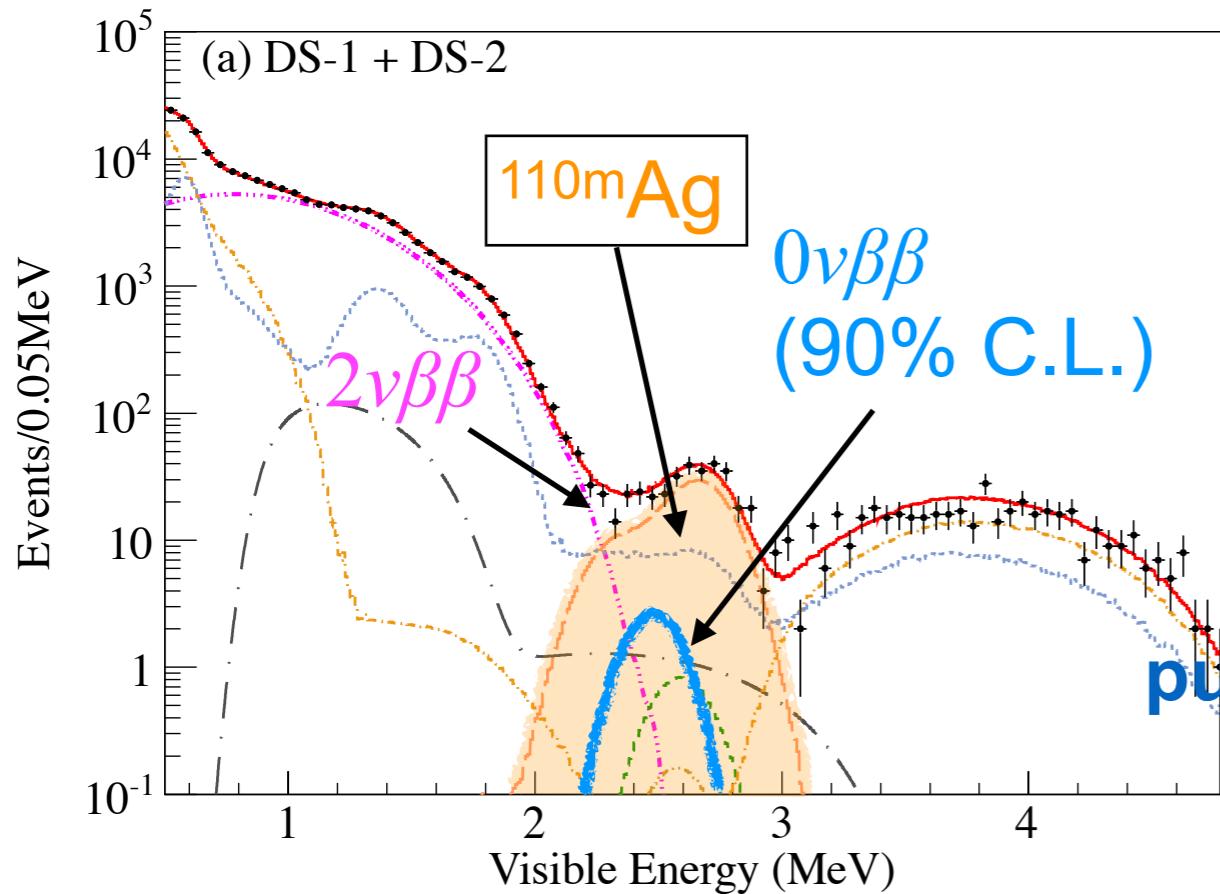
target $\langle m_{\beta\beta} \rangle \sim 20 \text{ meV}$

high light yield
better performance

KamLAND-Zen 400

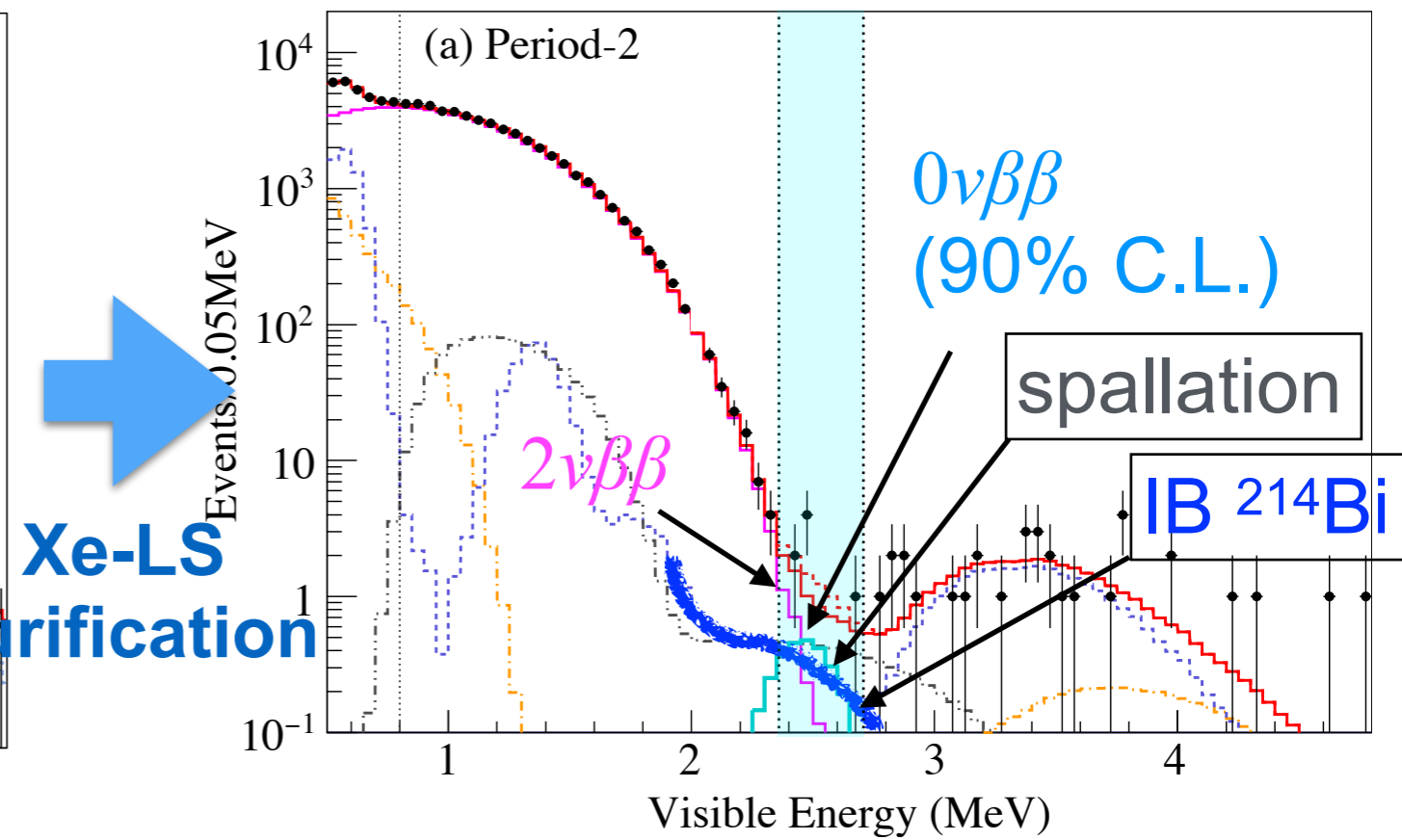


Phase-I (R < 1.35 m)



dominant BG from ^{110m}Ag

Phase-II (R < 1 m)



No ^{110m}Ag
→ need to reduce other BGs

Improved Production Method

KamLAND-Zen 400



KamLAND-Zen 800

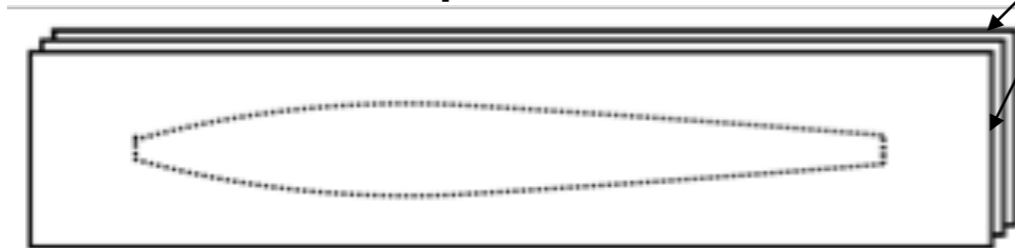


dust may be attached to the film during production

newly introduced

- goggle
- laundry twice a day
- welding machine
- more neutralizer
- cover sheet

dust protection



cover sheets

...

Balloon Production Work



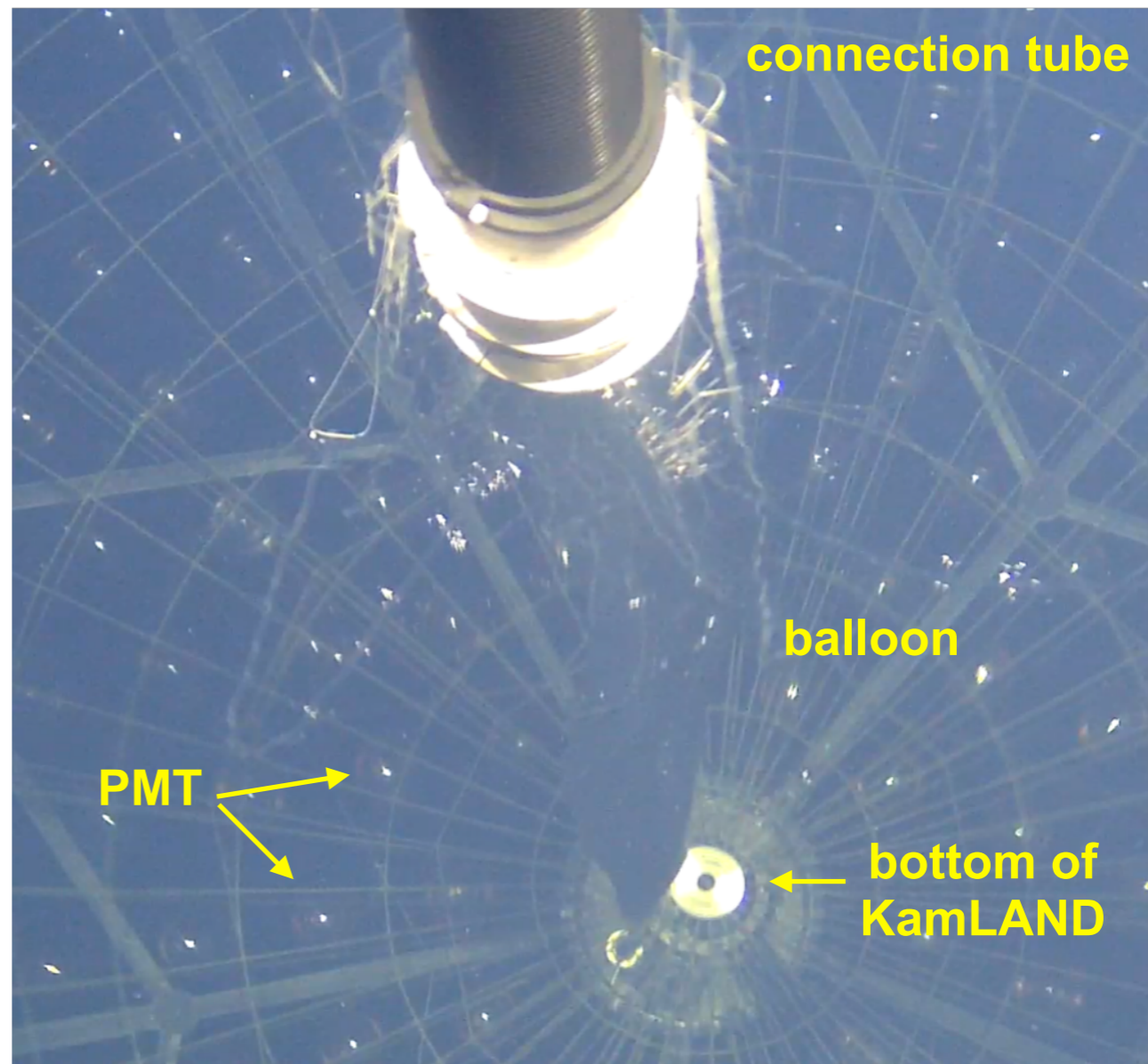
Balloon Installation

Balloon installation completed and started LS filling on May 10, 2018

top of the detector



detector inside view



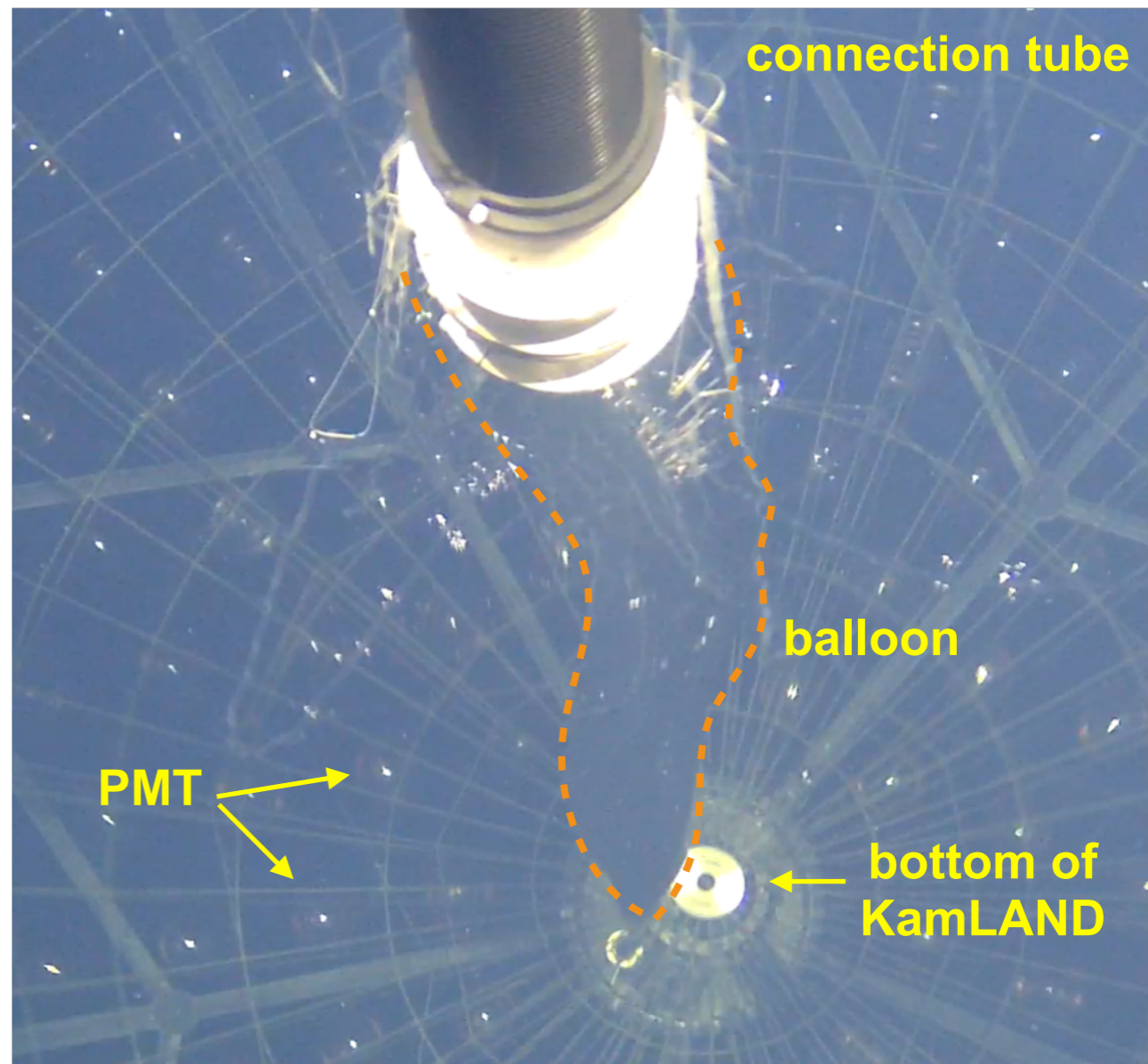
Balloon Installation

Balloon installation completed and started LS filling on May 10, 2018

top of the detector



detector inside view



Balloon Installation



Balloon was fully inflated on May 19, 2018

Balloon Installation



Balloon was fully inflated on May 19, 2018

Background from Inner Balloon (IB)

Zen 400 Phase-II

^{238}U : 5×10^{-11} g/g

^{232}Th : 3×10^{-10} g/g

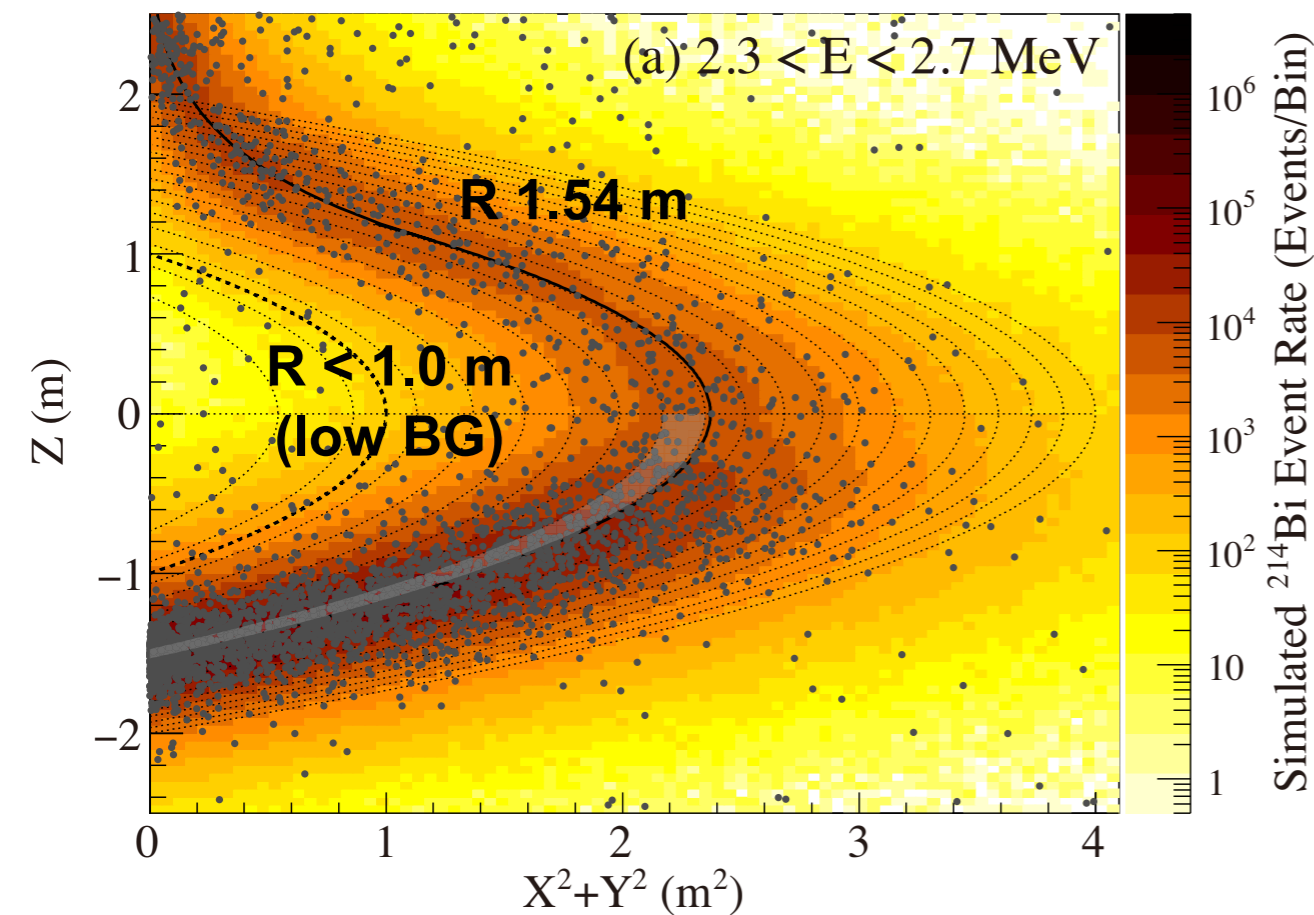


Zen 800

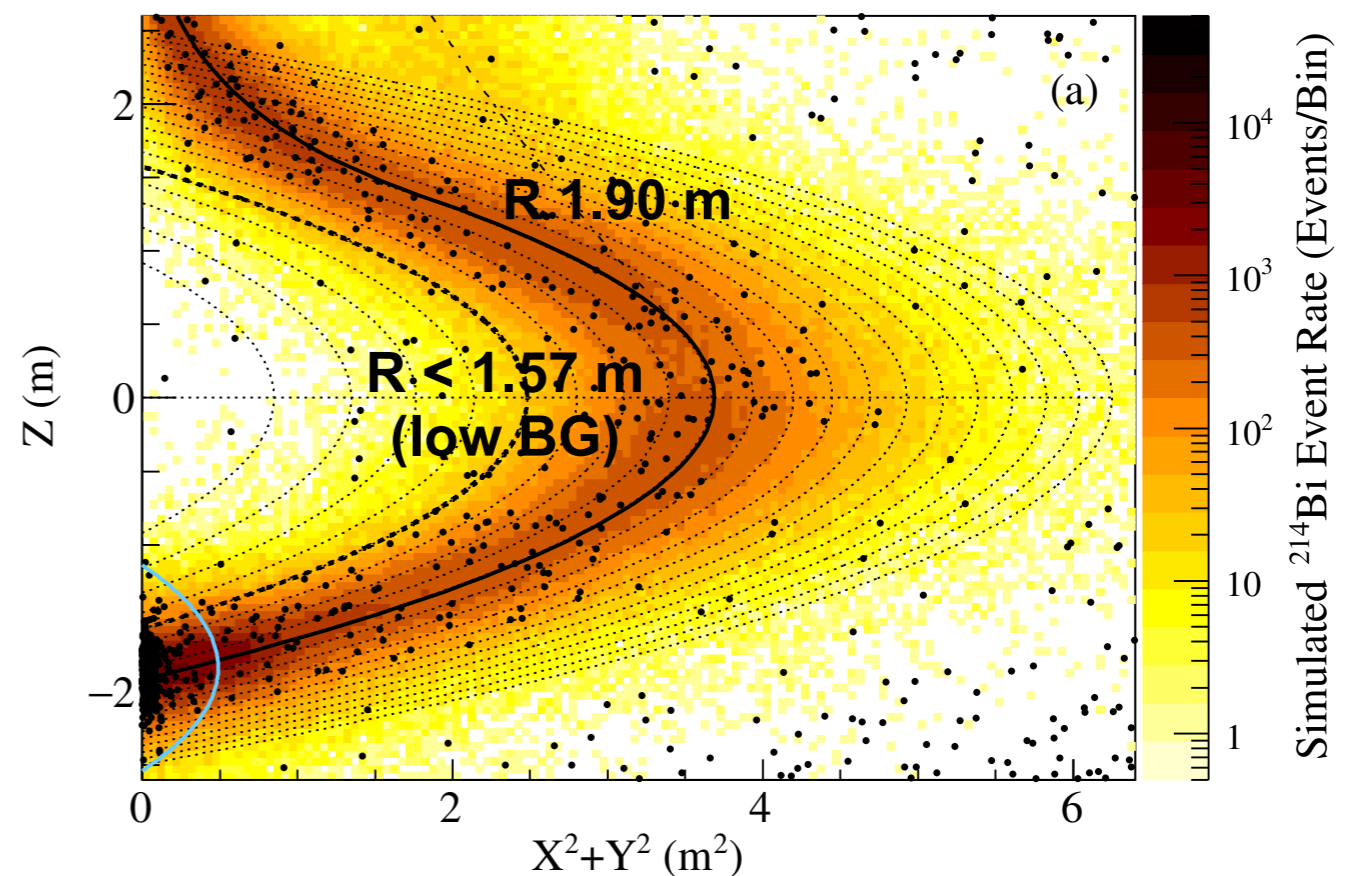
^{238}U : $\sim 3 \times 10^{-12}$ g/g

^{232}Th : $\sim 4 \times 10^{-11}$ g/g

$\times 10$ reduction of IB ^{214}Bi



sensitive volume : $R < 1.0$ m

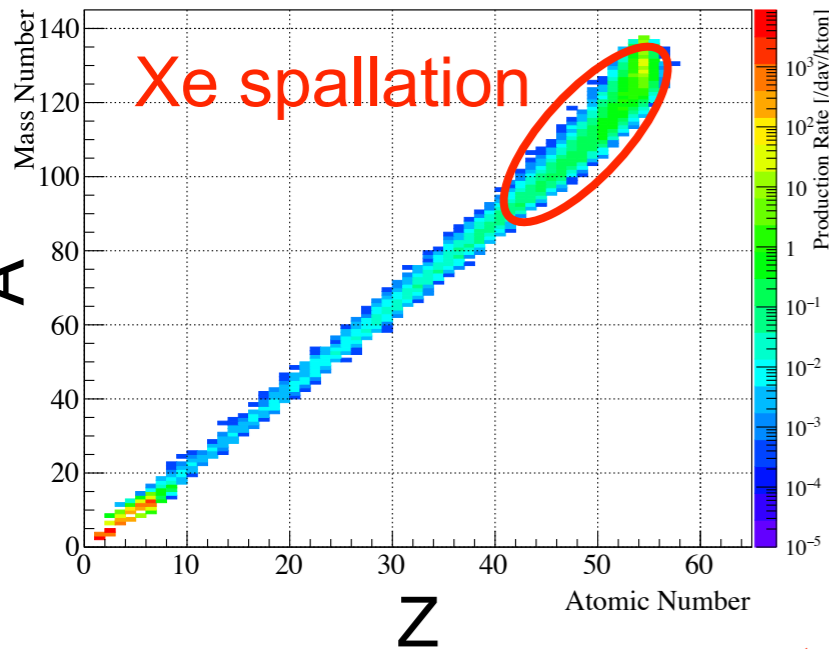


sensitive volume : $R < 1.57$ m

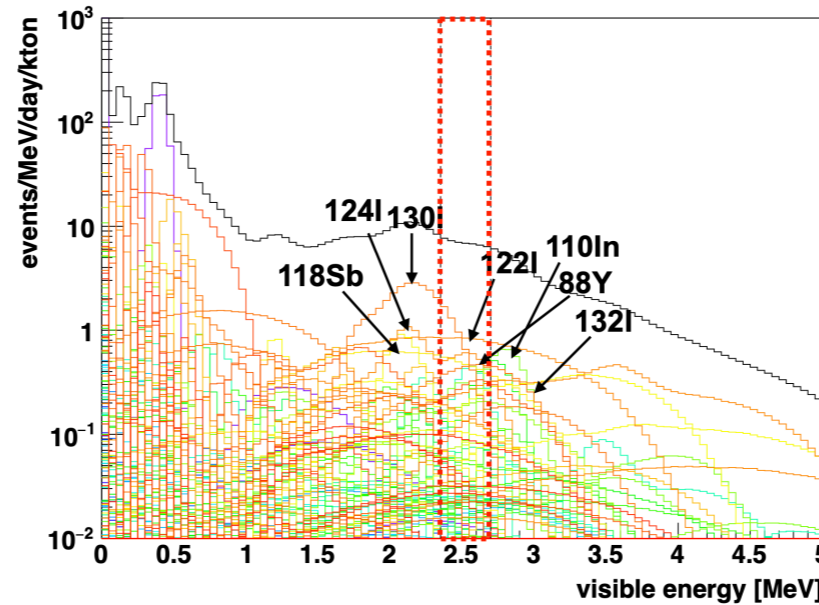
$> \times 3$ sensitive volume !!

Long-lived Spallation Products

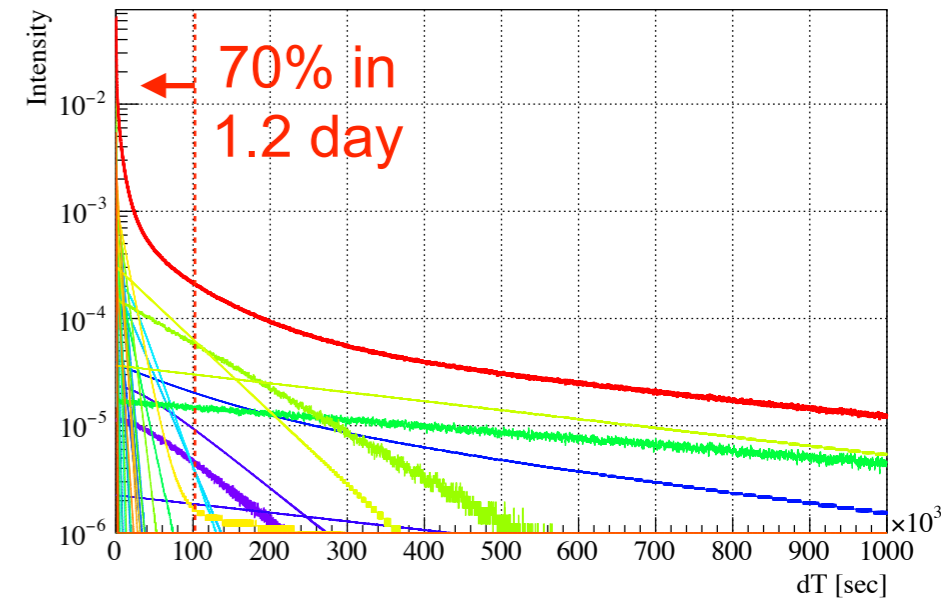
production yield



energy spectrum



time difference from muon

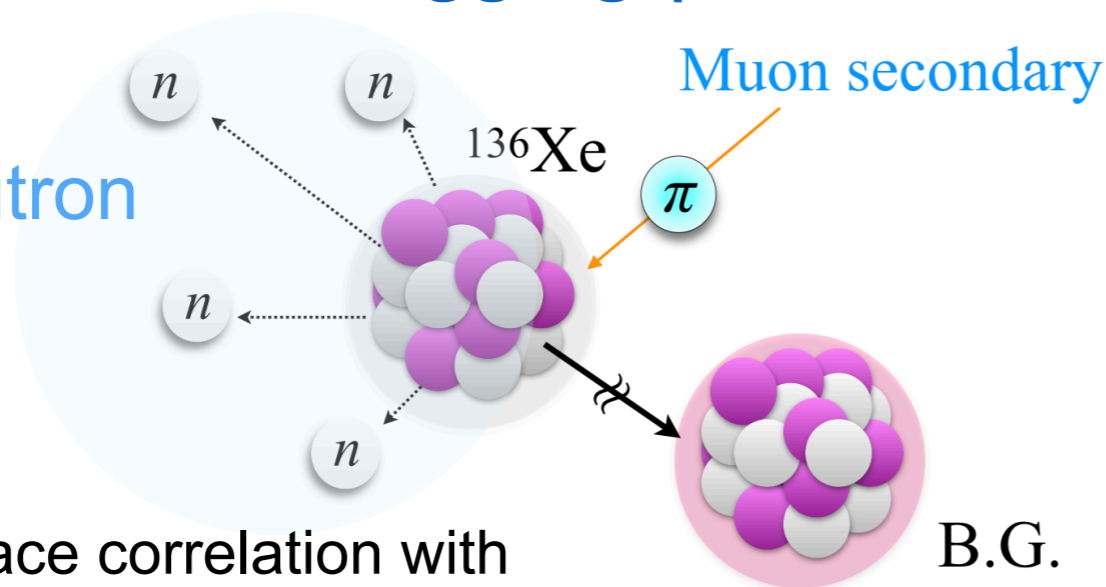


rate in ROI : 0.082 events/day/Xe-ton

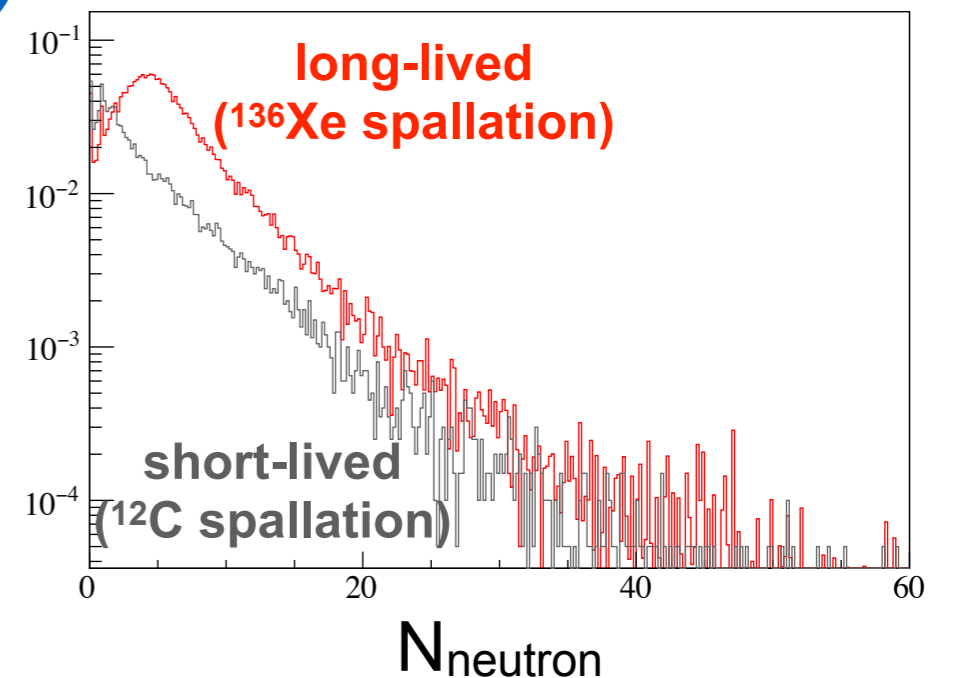
need long-time veto

Likelihood-based tagging ($N_{neutron}$, dR , dT)

dense neutron cluster



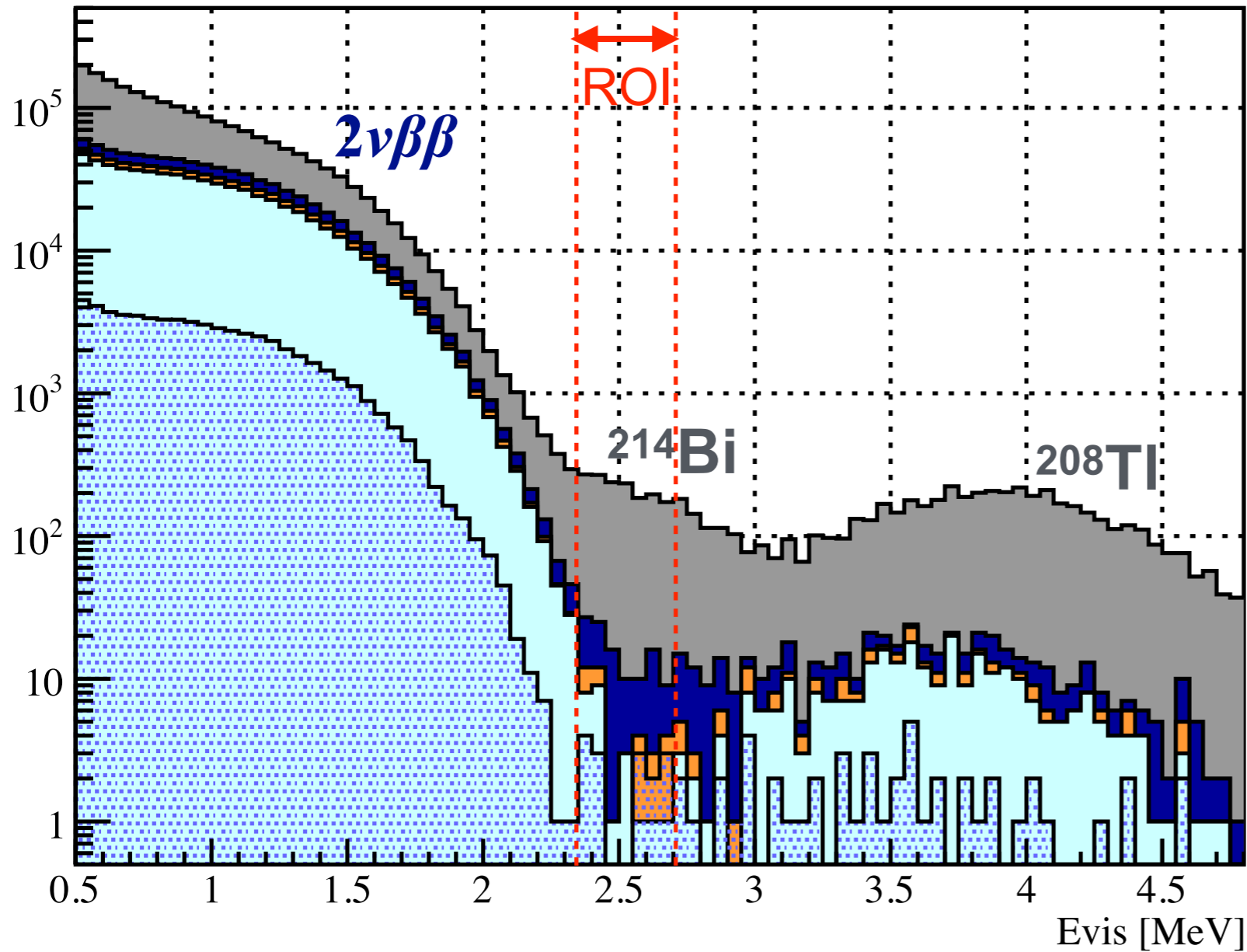
time and space correlation with muon and multiple neutrons



xenon spallation products ~40% rejection efficiency

Event Selection

$\beta\beta$ isotope ^{136}Xe **90.85% enriched** $Q_{\beta\beta} = 2458$ keV
745 kg Xe in all volume Feb. 5, 2019 - May 8, 2021



Feb. 5, 2019 - May. 8, 2021
around mini-balloon
($R < 2.5$ m)

volume cut
 $R < 1.57$ m
& Rn veto

short-lived
spallation cut

long-lived
spallation cut

untagged

tagged

523.4 days

49.3 days

$0\nu\beta\beta$
candidate

long-lived
candidate

Two energy spectra ($0\nu\beta\beta$, long-lived)
are fitted simultaneously

Fit to Energy Spectra for $0\nu\beta\beta$

$0\nu\beta\beta$ candidate

(sensitive to $0\nu\beta\beta$ signal)

523.4 days livetime

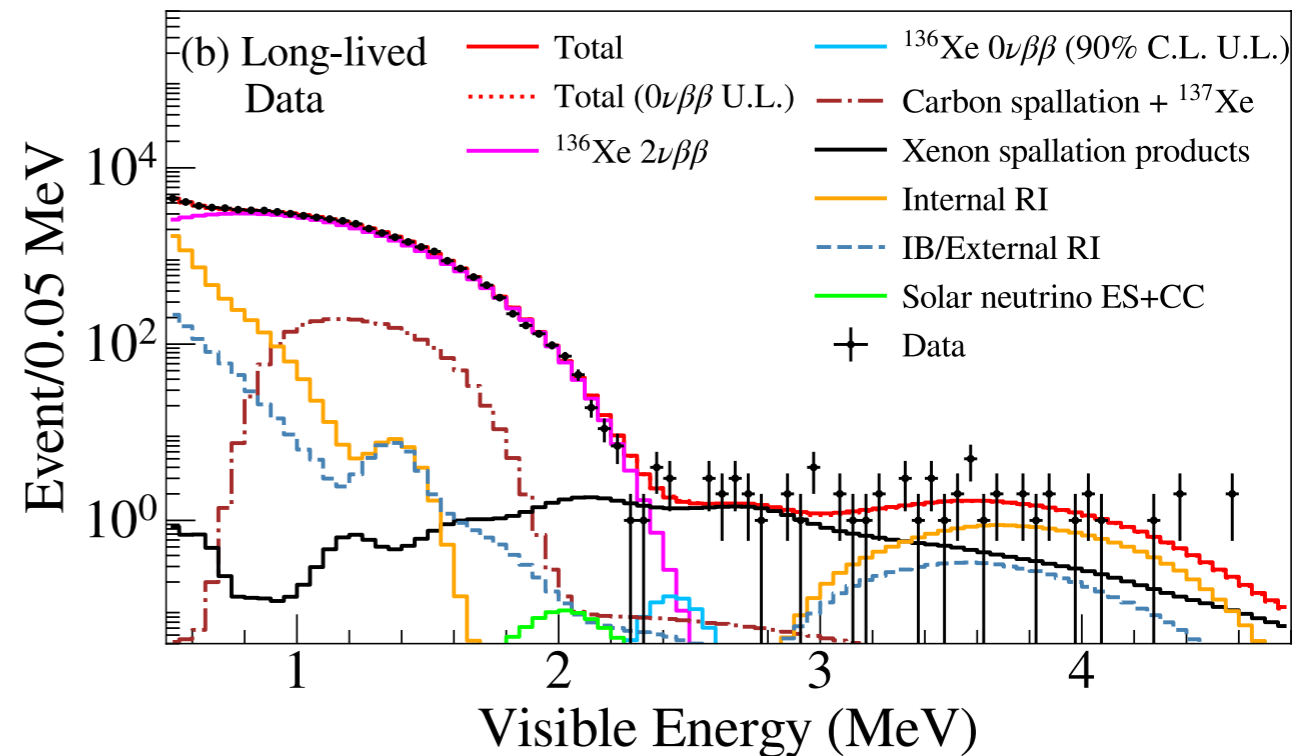
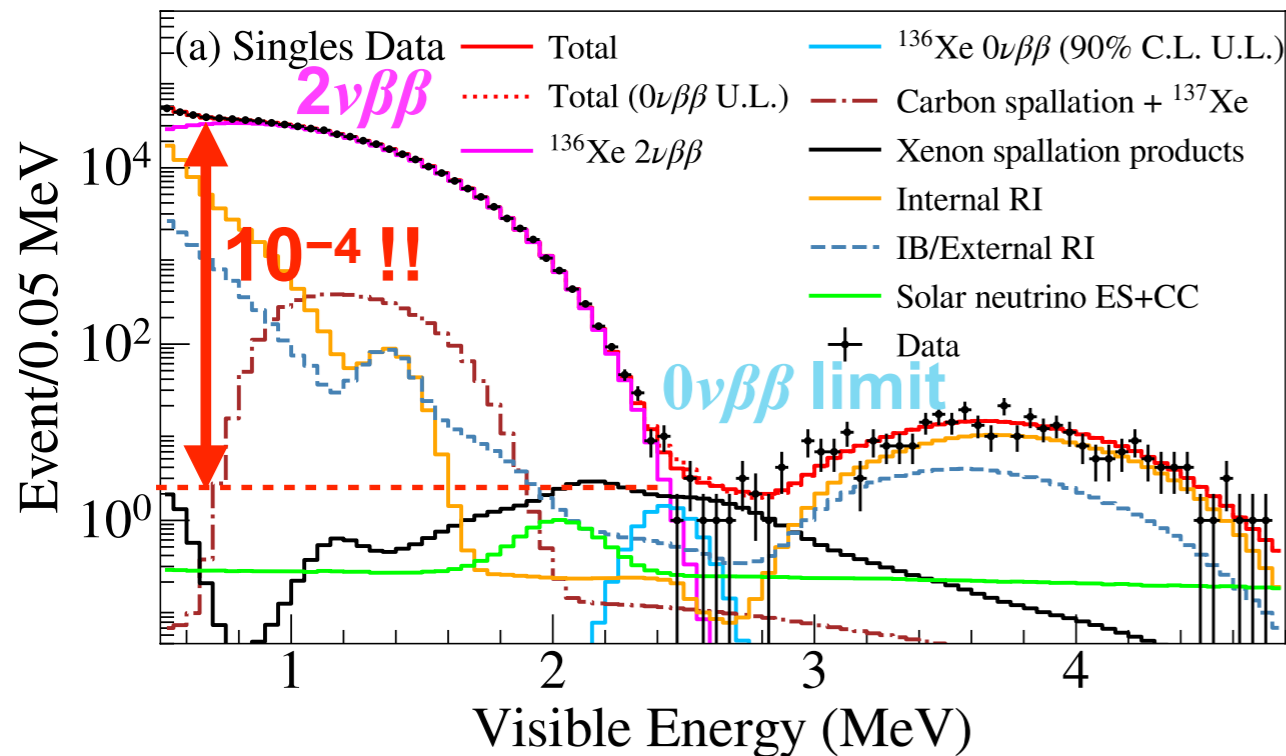
R < 1.57 m

long-lived candidate

(Long-lived BG constraint)

49.3 days livetime

R < 1.57 m



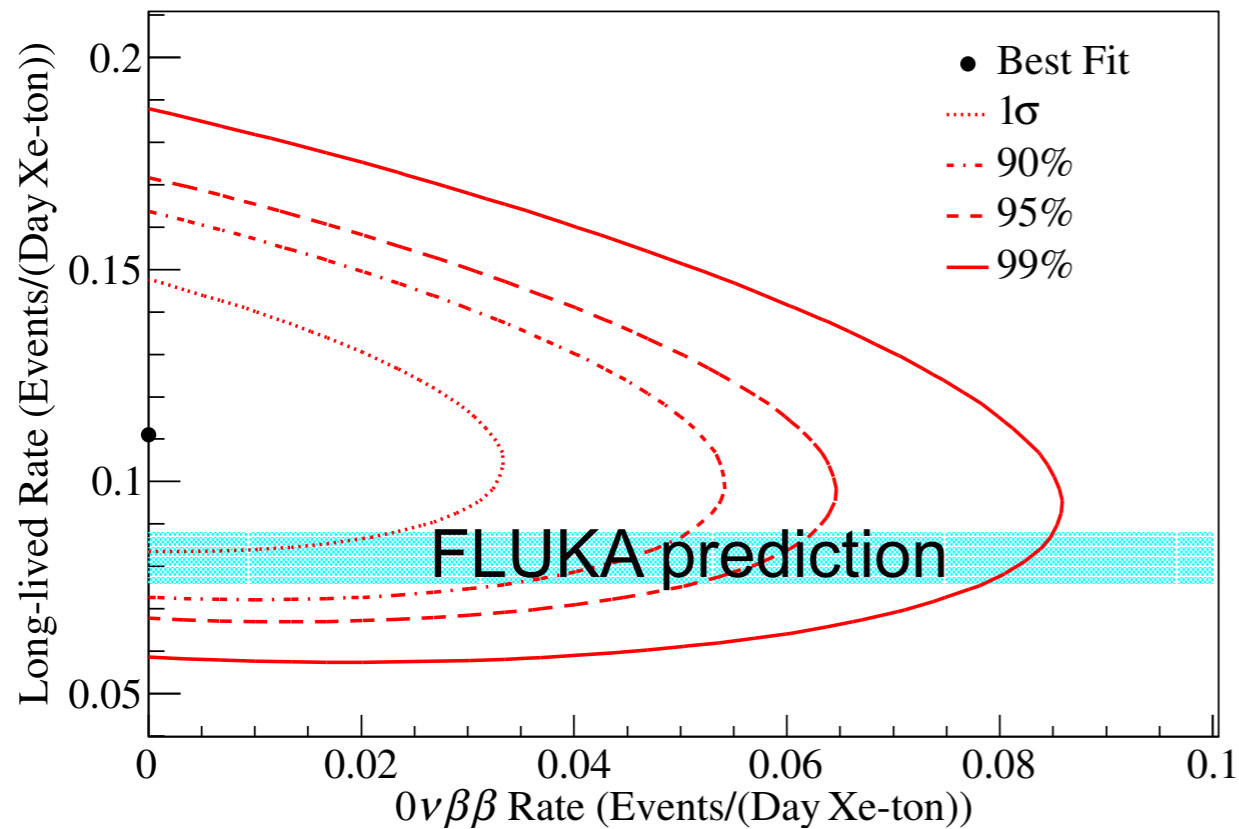
$0\nu\beta\beta$ best-fit : **0 event**

upper limit : **< 7.9 event** at 90% C.L.

No positive signal, but we obtained a stringent upper limit

^{136}Xe $0\nu\beta\beta$ Decay Half-life

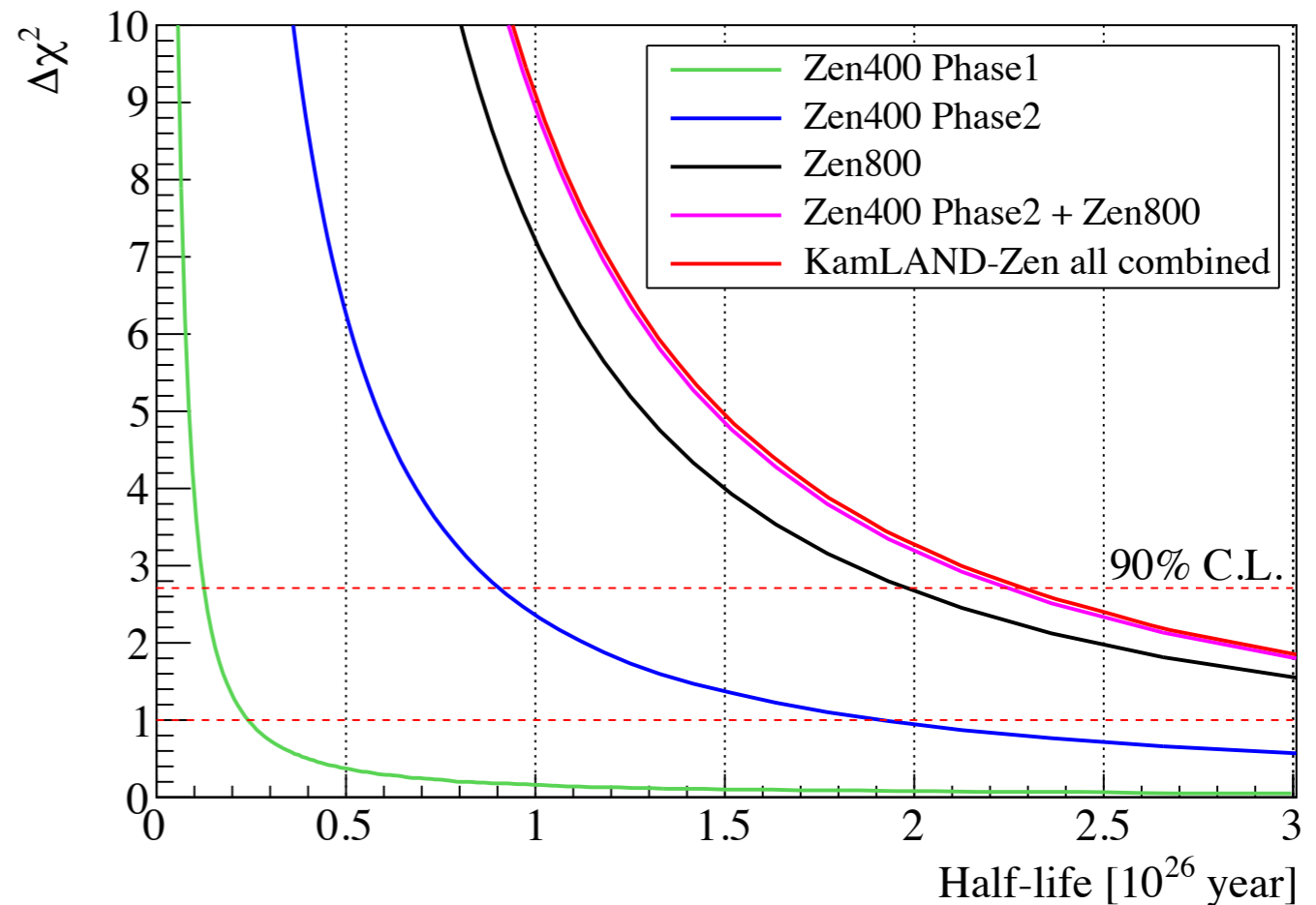
(0ν rate, Long-lived BG rate)



Long-lived BG rate in 2.35-2.70 MeV
 $= 0.111 \pm 0.019$ events/day/Xe-ton

(FLUKA = 0.082 ± 0.006 events/day/Xe-ton)

Long-lived BG rate was measured



Half-life limit at 90% C.L.

Zen 400 $T^{0\nu}_{1/2} > 0.9 \times 10^{26}$ yr

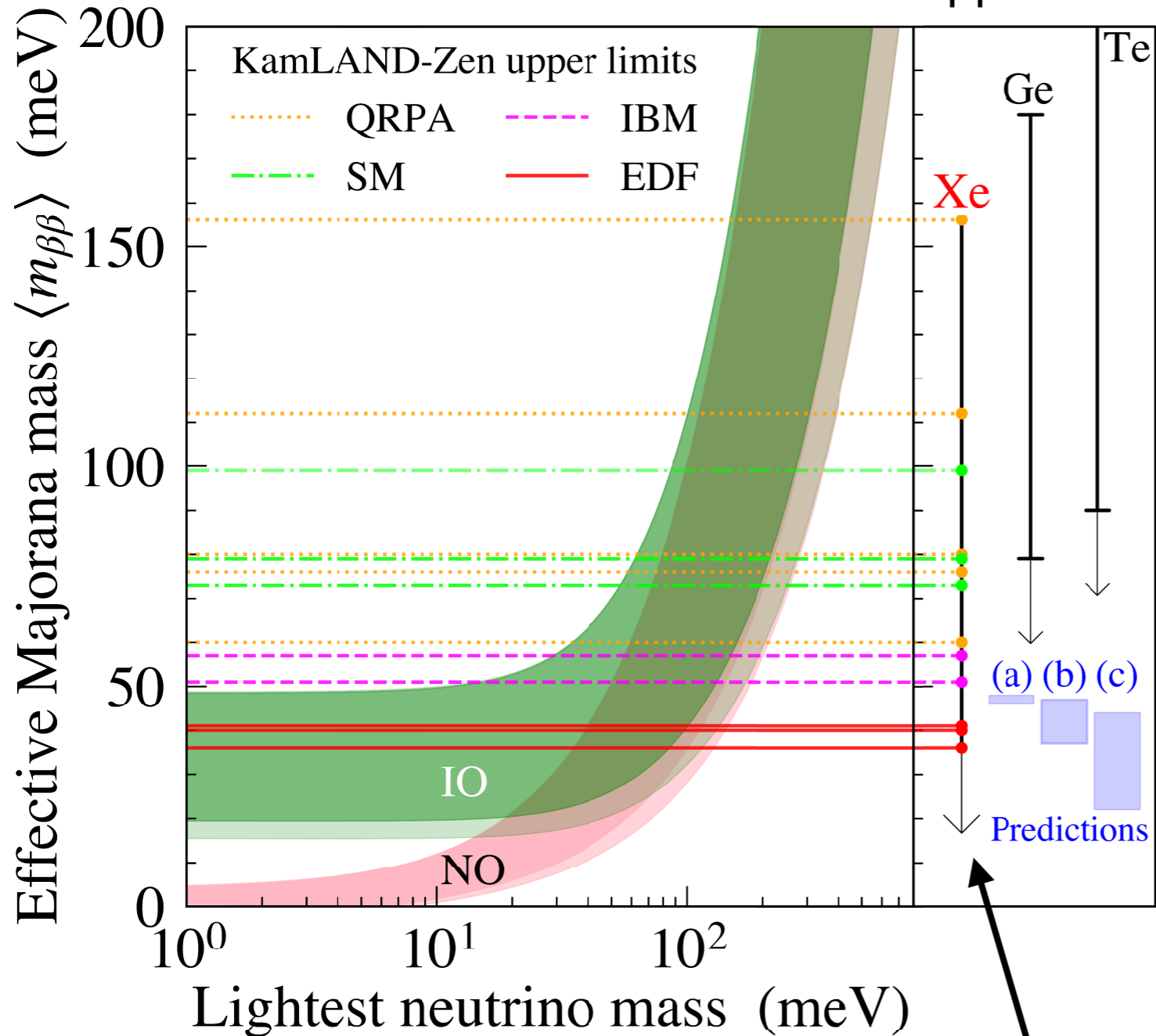
Zen 800 $T^{0\nu}_{1/2} > 2.0 \times 10^{26}$ yr

Combined $T^{0\nu}_{1/2} > 2.3 \times 10^{26}$ yr

Limits on ^{136}Xe half-life are improved (~2 times better than previous)

Limits on Neutrino Mass

S. Abe et al., Phys. Rev. Lett. 130, 051801 (2023) **90% C.L. upper limit**



KamLAND-Zen (^{136}Xe)

$$\langle m_{\beta\beta} \rangle < 36-156 \text{ meV}$$

NME calculations assuming $g_A \sim 1.27$

QRPA

- J. Terasaki, Phys. Rev. C **102**, 044303 (2020).
- J. Hyvärinen and J. Suhonen, Phys. Rev. C **91**, 024613 (2015).
- F. Šimkovic, V. Rodin, A. Faessler, and P. Vogel, Phys. Rev. C **87**, 045501 (2013).
- M. T. Mustonen and J. Engel, Phys. Rev. C **87**, 064302 (2013).
- D.-L. Fang, A. Faessler, and F. Šimkovic, Phys. Rev. C **97**, 045503 (2018).

IBM

- F. F. Deppisch, L. Graf, F. Iachello, and J. Kotila, Phys. Rev. D **102**, 095016 (2020).
- J. Barea, J. Kotila, and F. Iachello, Phys. Rev. C **91**, 034304 (2015).

SM

- L. Coraggio, A. Gargano, N. Itaco, R. Mancino, and F. Nowacki, Phys. Rev. C **101**, 044315 (2020).
- A. Neacsu and M. Horoi, Phys. Rev. C **91**, 024309 (2015).
- J. Menendez, A. Poves, E. Caurier, and F. Nowacki, Nucl. Phys. A **818**, 139 (2009).

EDF

- N. L. Vaquero, T. R. Rodríguez, and J. L. Egido, Phys. Rev. Lett. **111**, 142501 (2013).
- J. M. Yao, L. S. Song, K. Hagino, P. Ring, and J. Meng, Phys. Rev. C **91**, 024316 (2015).
- T. R. Rodríguez and G. Martínez-Pinedo, Phys. Rev. Lett. **105**, 252503 (2010).

Decay rate \rightarrow proportional to (neutrino mass)²

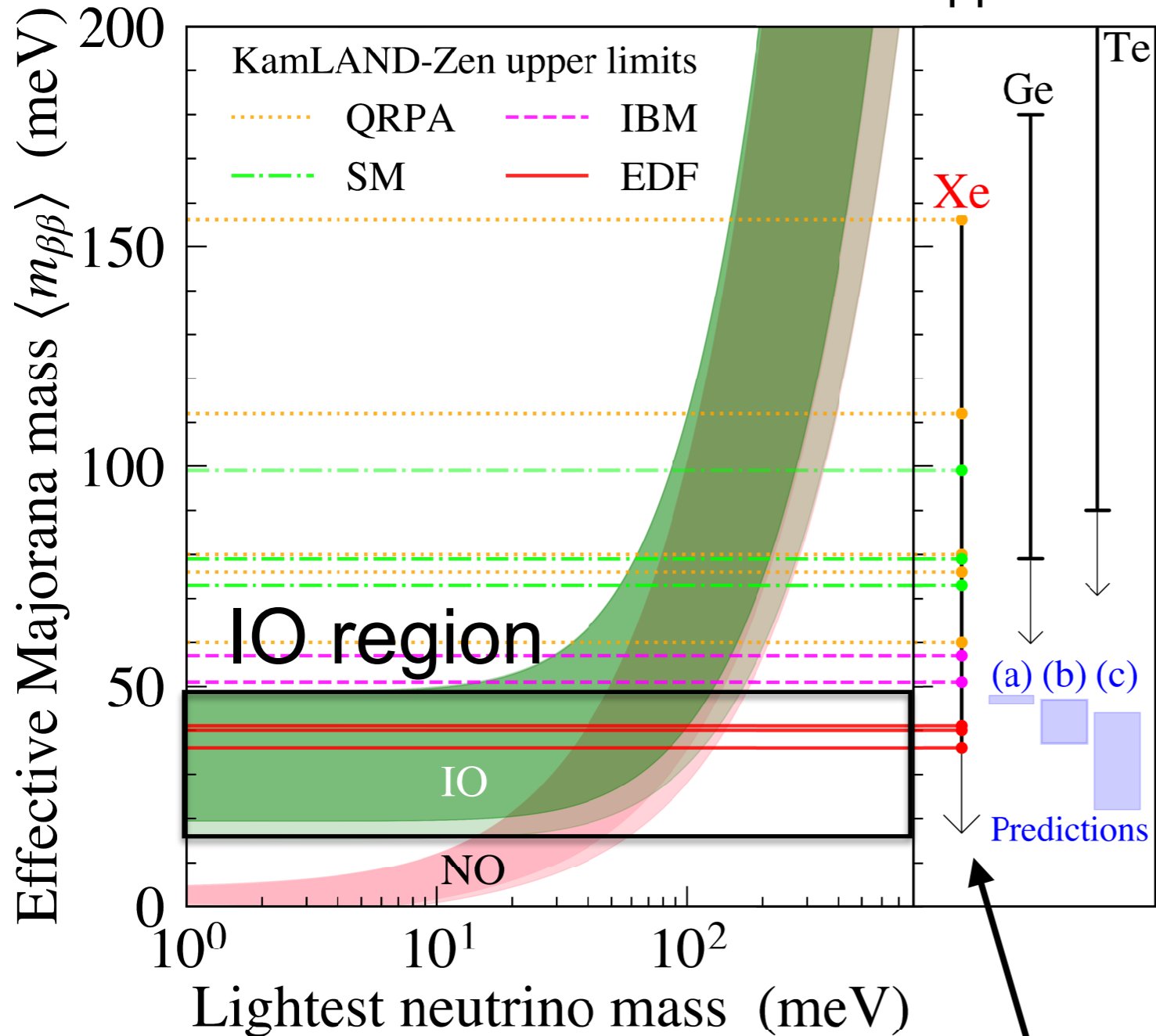
$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu} (Q_{\beta\beta}, Z) |M^{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

PSF NME

Xe (KamLAND-Zen) is the leading experiment !

Limits on Neutrino Mass

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KamLAND-Zen (^{136}Xe)

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- F. F. Deppisch, L. Graf, F. Iachello, and J. Kotila, Phys. Rev. D **102**, 095016 (2020).
- J. Barea, J. Kotila, and F. Iachello, Phys. Rev. C **91**, 034304 (2015).

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- L. Coraggio, A. Gargano, N. Itaco, R. Mancino, and F. Nowacki, Phys. Rev. C **101**, 044315 (2020).
- A. Neacsu and M. Horoi, Phys. Rev. C **91**, 024309 (2015).
- J. Menendez, A. Poves, E. Caurier, and F. Nowacki, Nucl. Phys. A **818**, 139 (2009).

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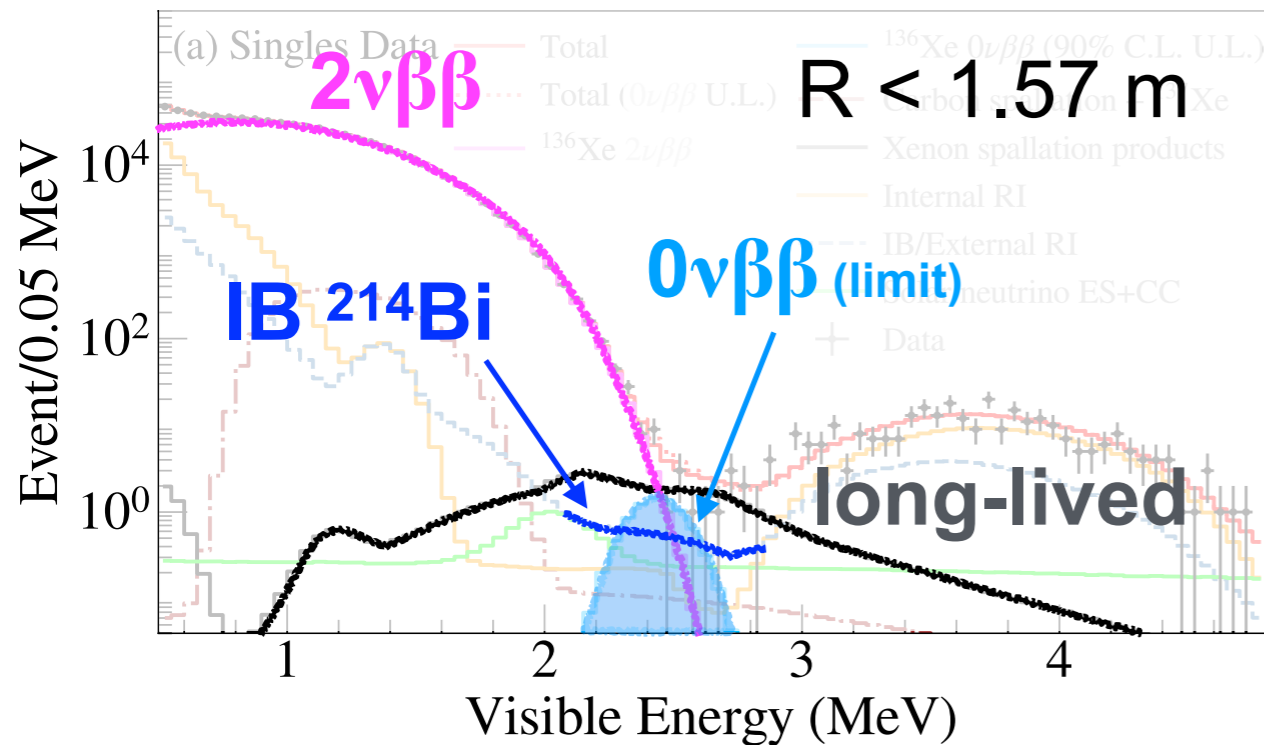
$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu} (Q_{\beta\beta}, Z) |M^{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

PSF NME

Xe (KamLAND-Zen) is the leading experiment !

First search in the inverted ordering (IO) region

Background Measures in Future



current status

Search sensitivity will be limited by the backgrounds from **$2\nu\beta\beta$** and **long-lived spallation**

ROI event ($2.35 < E < 2.70$ MeV)

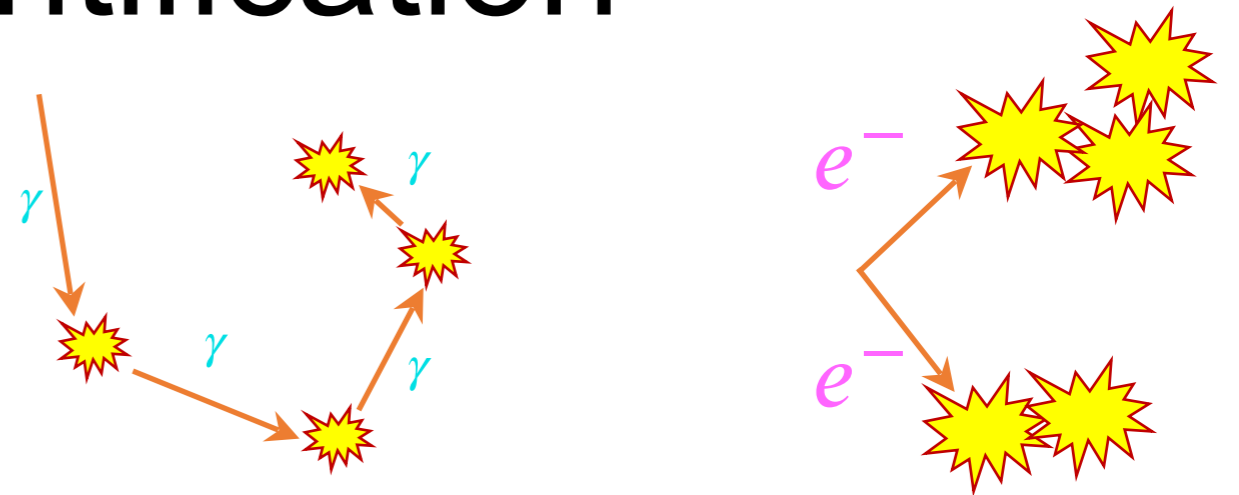
measures in future

$2\nu\beta\beta$	11.98	energy resolution tail → light yield increase
RI in Xe-LS	0.98	detector upgrade plan : KamLAND2-Zen
RI in IB	3.06	RI decay in film → scintillation balloon
solar ν	1.65	gamma or positron background → particle identification
long-lived	12.52	spallation tagging with neutrons → new electronics

Particle Identification

Most of long-lived background decays with \sim MeV gamma-rays

→ energy deposits spread over \sim 10 cm distances



BG like

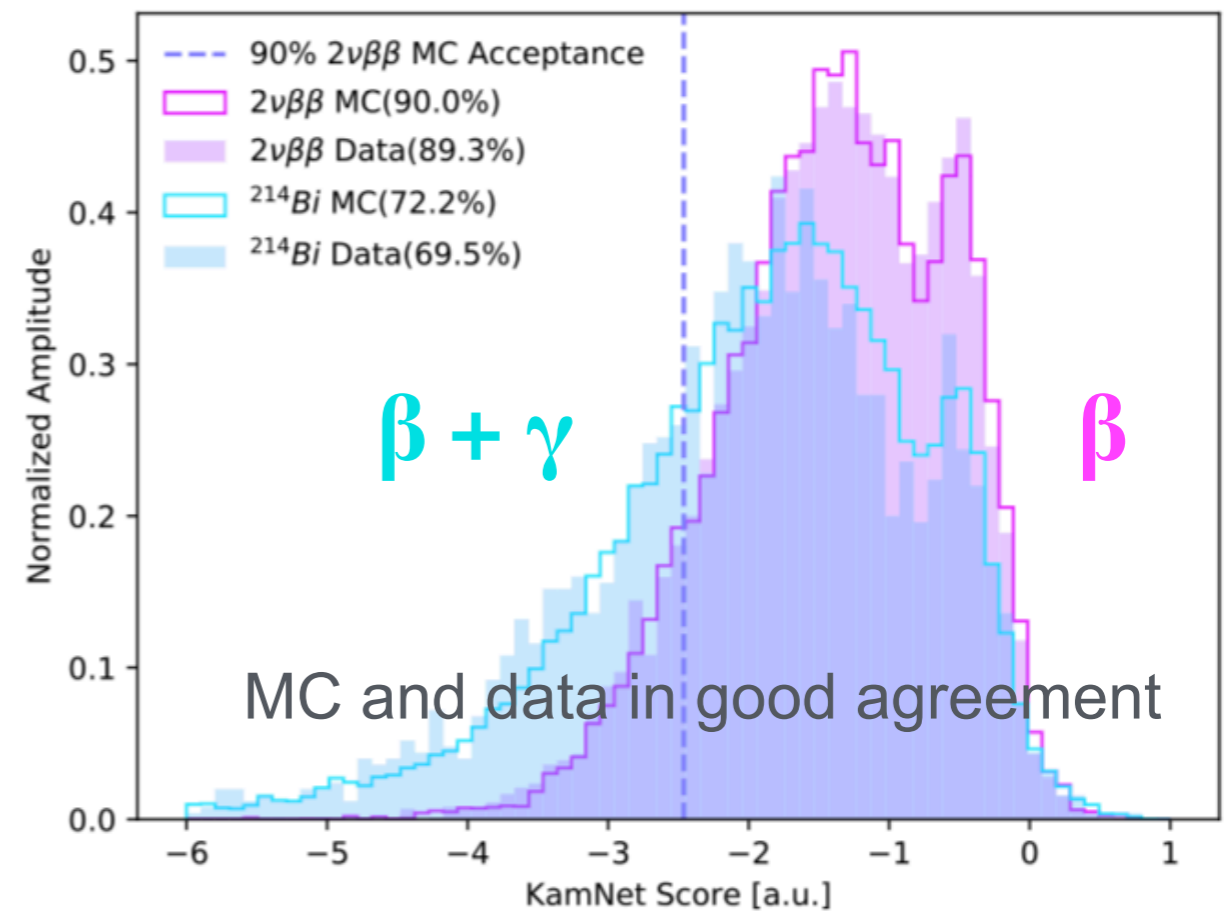
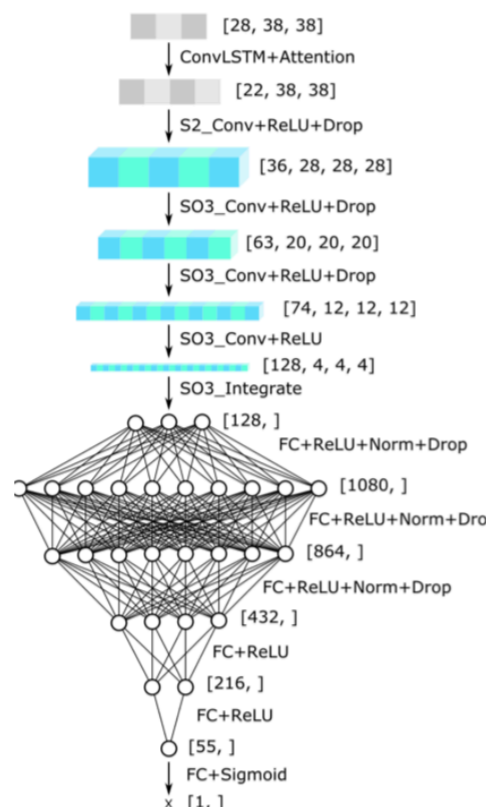
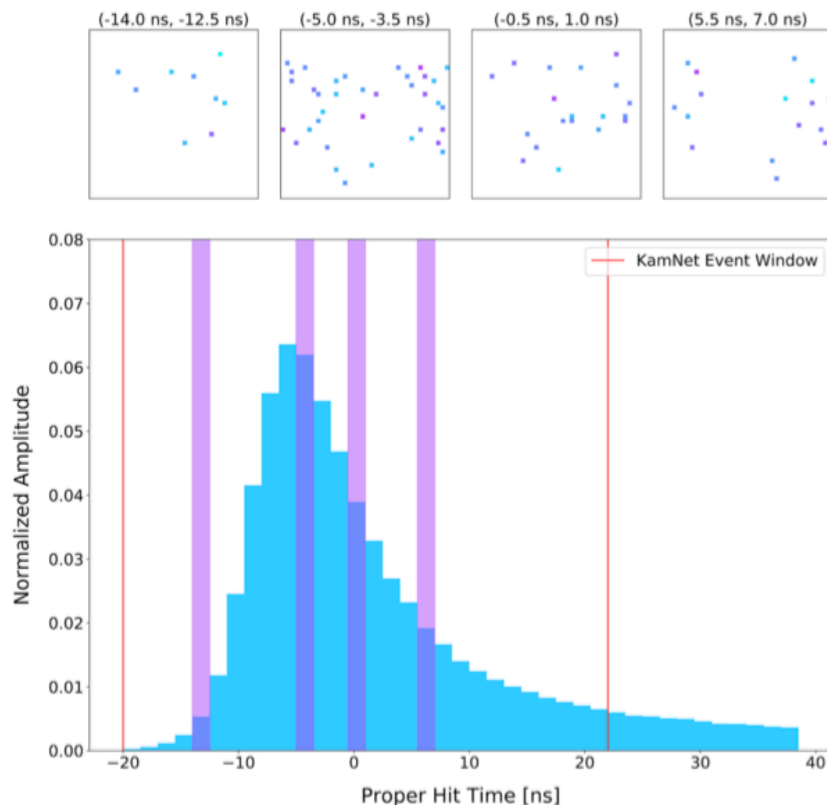
Signal like

KamNET

Deep neural network for KamLAND-Zen

Time series of theta-phi hit map

Spherical CNN

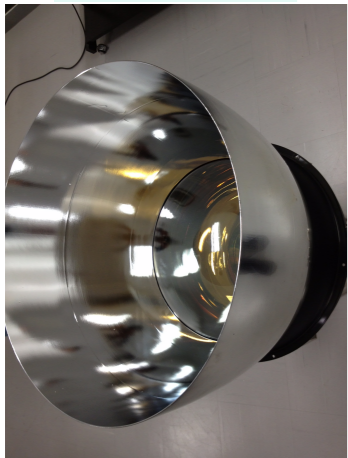


A. Li et al., Phys. Rev. C **107**, 014323 (2023)

MC shows KamNET rejects \sim 27% of long-lived background

R&D for KamLAND2-Zen

Mirror



Light Collection Eff.

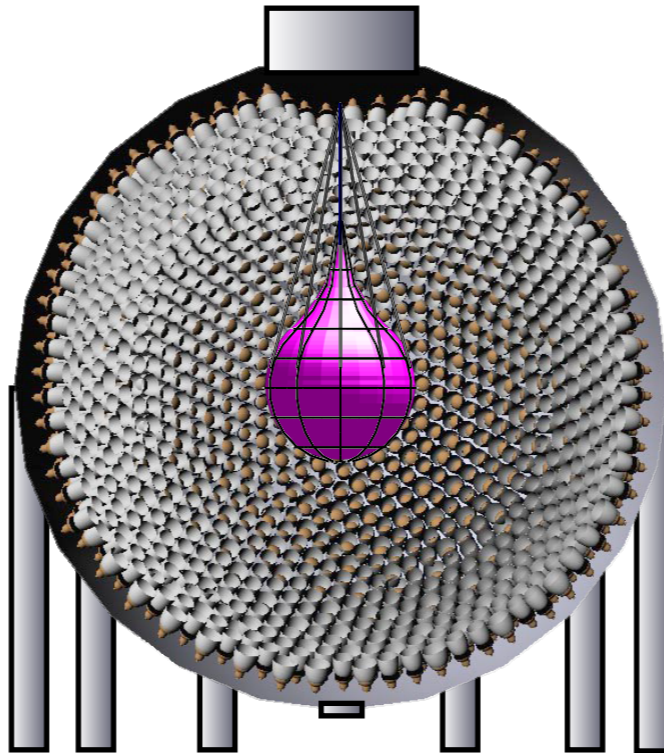
> **x1.8**

High Q.E. PMT



x1.9

1000 kg enriched Xe



State-of-the-art electronics



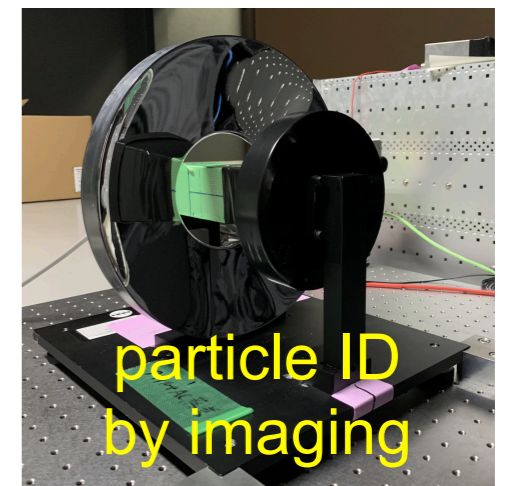
more neutron tagging efficiency
→ long-lived BG reduction

New liquid scintillator **x1.4**

σ_E @ Q-value = 4% → 2%

→ $2\nu\beta\beta$ BG reduction ~ 1/100 !

Imaging device



e^- / gamma identification
→ long-lived BG reduction

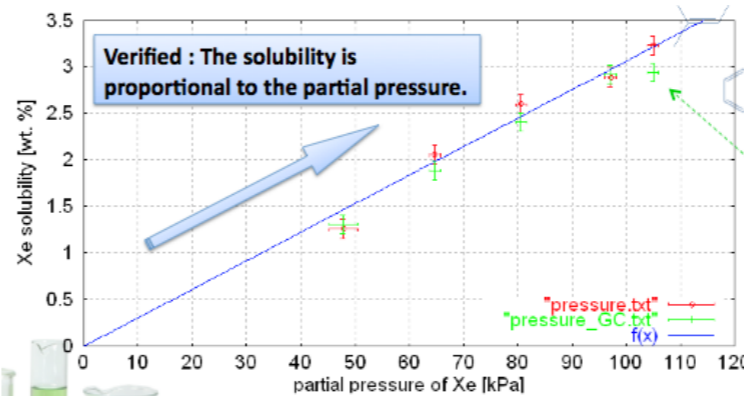
Scintillation balloon

(PEN film)

IB ^{214}Bi BG reduction
→ 100% fiducial volume

^{214}Bi rejection
by α tagging

Pressurized Xe-LS



Xe / electron ratio increase
→ solar neutrino BG reduction

KamLAND2-Zen Prototype

High performance of KamLAND2 will be demonstrated with the prototype detector

- High Q.E. PMT / light collecting mirror were installed
- New liquid scintillator was installed
- DAQ with new electronics will be performed soon

KamLAND2 prototype



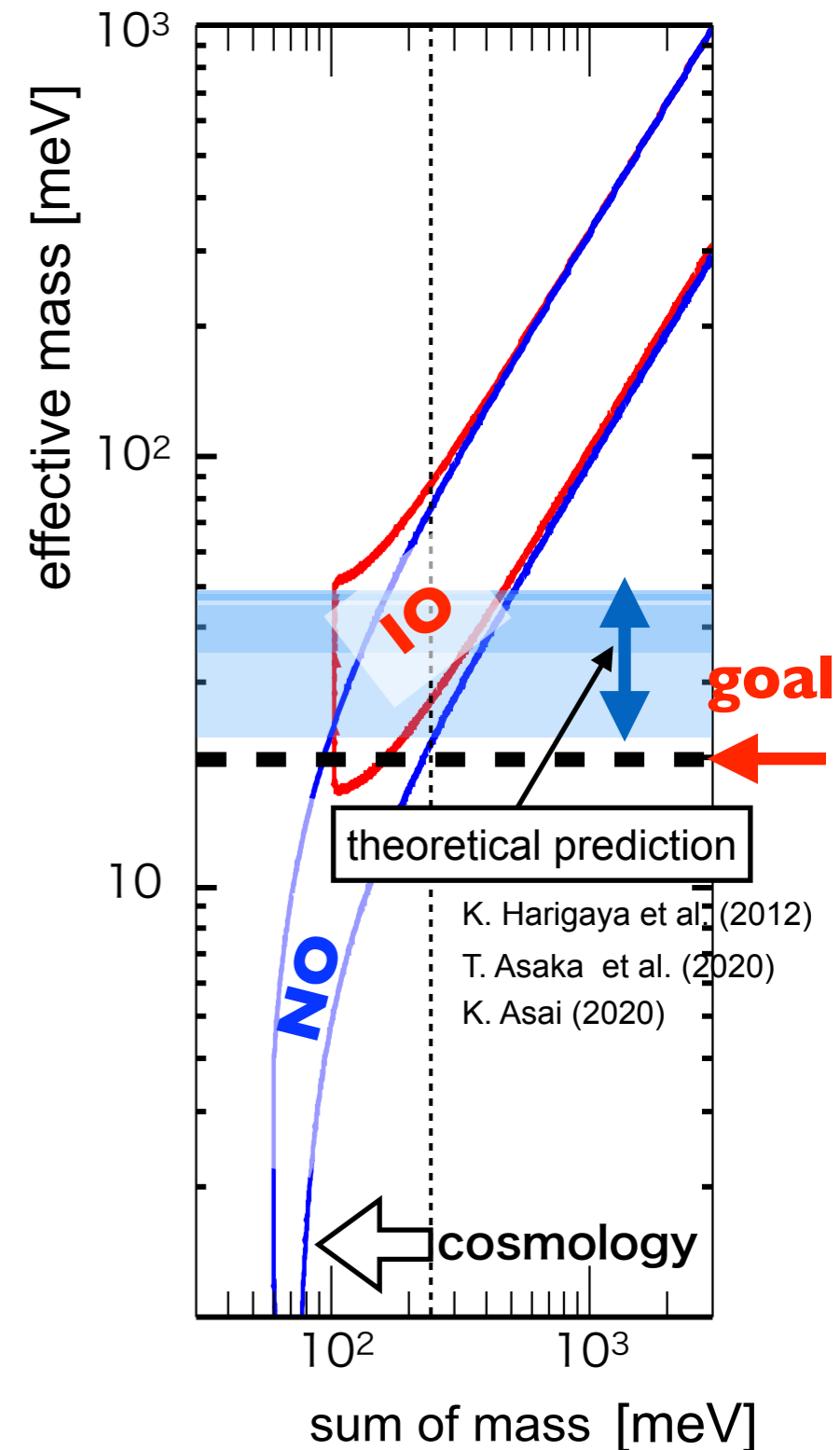
inside view



KamLAND2-Zen will cover the IO region

target $\langle m_{\beta\beta} \rangle \sim \mathbf{20\ meV / 5\ year}$

KamLAND2-Zen goal



Summary

- Neutrinoless double-beta decays provide an important probe for physics beyond the Standard Model.
- Results from KamLAND-Zen were presented.

KamLAND-Zen limits on $0\nu\beta\beta$ at 90% C.L.

KamLAND-Zen 400

$$T^{0\nu}_{1/2} > 0.9 \times 10^{26} \text{ yr}$$

KamLAND-Zen 800

$$T^{0\nu}_{1/2} > 2.0 \times 10^{26} \text{ yr}$$

Combined

$$T^{0\nu}_{1/2} > 2.3 \times 10^{26} \text{ yr}$$

NME calculations assuming $g_A \sim 1.27$

$$\langle m_{\beta\beta} \rangle < 36\text{-}156 \text{ meV}$$

First probe of the inverted mass ordering region!

- R&D for KamLAND2-Zen is ongoing aiming at a test of inverted neutrino mass ordering.

KamLAND-Zen Collaboration

~50 physicists work on this project



Collaboration meeting in March, 2023



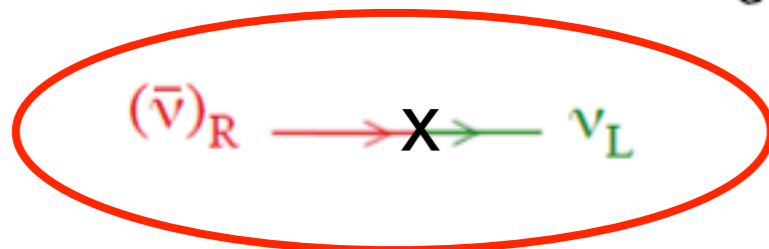
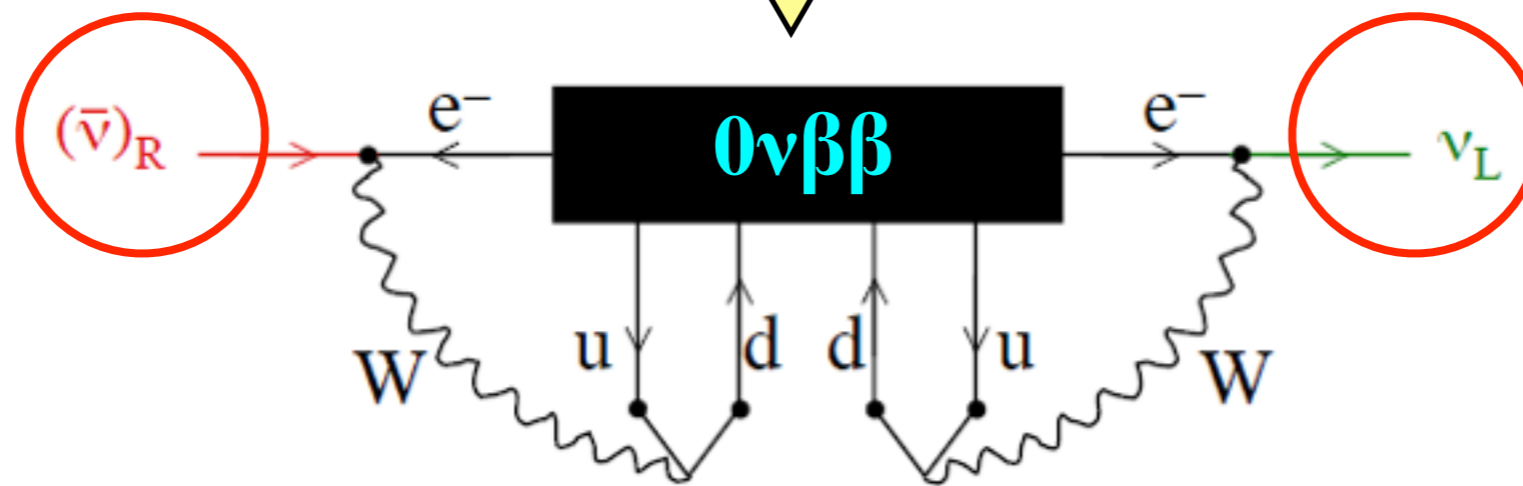
Backup

Test of Majorana Neutrino

Schechter Valle theorem



detect $0\nu\beta\beta$ emitting only 2 electrons



imply the existence of **Majorana mass term**

$$0\nu\beta\beta \iff \bar{\nu} = \nu$$

Milestone of $0\nu\beta\beta$ search

$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu}(Q_{\beta\beta}, Z) |M^{0\nu}|^2 \langle m_{\beta\beta} \rangle^2 \quad \langle m_{\beta\beta} \rangle \equiv \left| \sum_{i=1}^3 U_{ei}^2 m_i \right|$$

Phase space factor

Highly depends on target double-beta decay nuclei (larger in high Q-value)

Precisely calculated considering Coulomb distortion of the electron wave functions

uncertainty ~a few %

Phys. Rev. C 85, 034316 (2012), Phys. Rev. C 88, 037303 (2013)

Nuclear matrix element (NME)

Nuclear states needs to be modeled

Uncertainties due to approximation and dependence on nuclear modeling are large

uncertainty ~factor 2-3

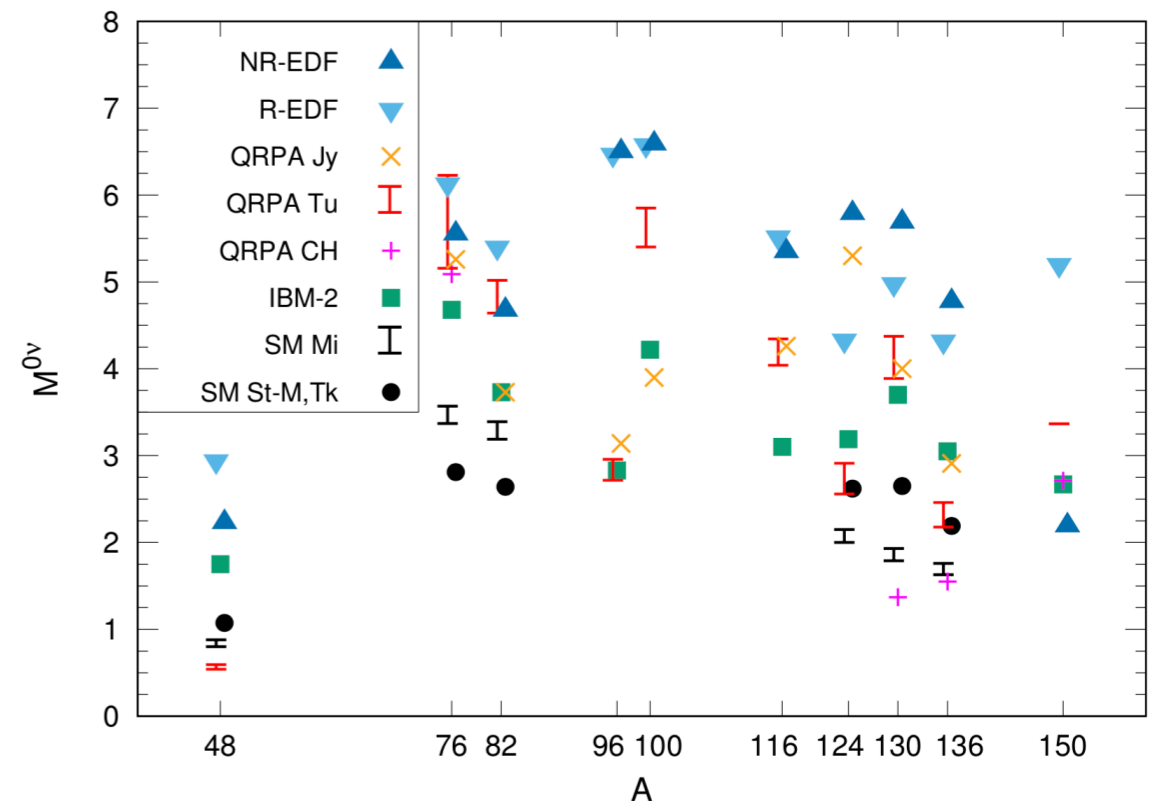
Axial-vector coupling : $g_A = 1.27$ (neutron)

$$|M^{0\nu}| \propto g_A^2$$

smaller value $g_A \sim 1$ in β -decay

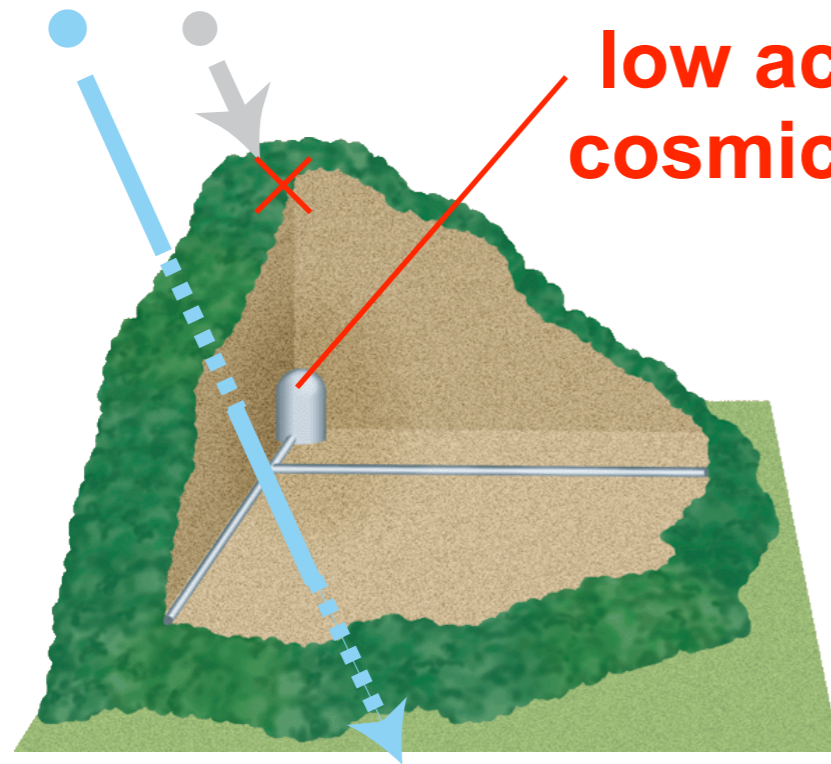
no good prediction in $0\nu\beta\beta$

EPJ Web of Conferences **137**, 08011 (2017)



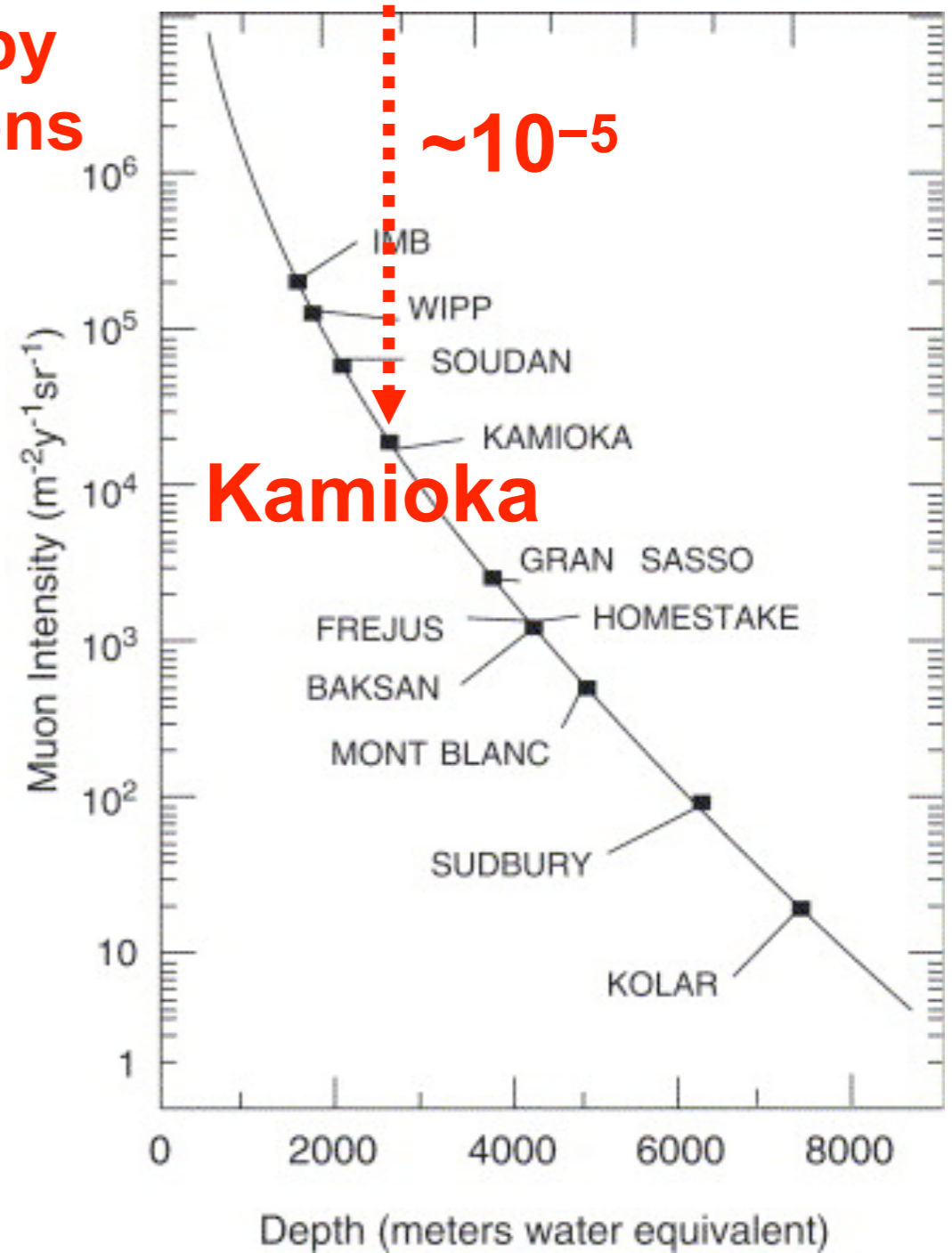
Underground Experiment

neutrino , cosmic-ray

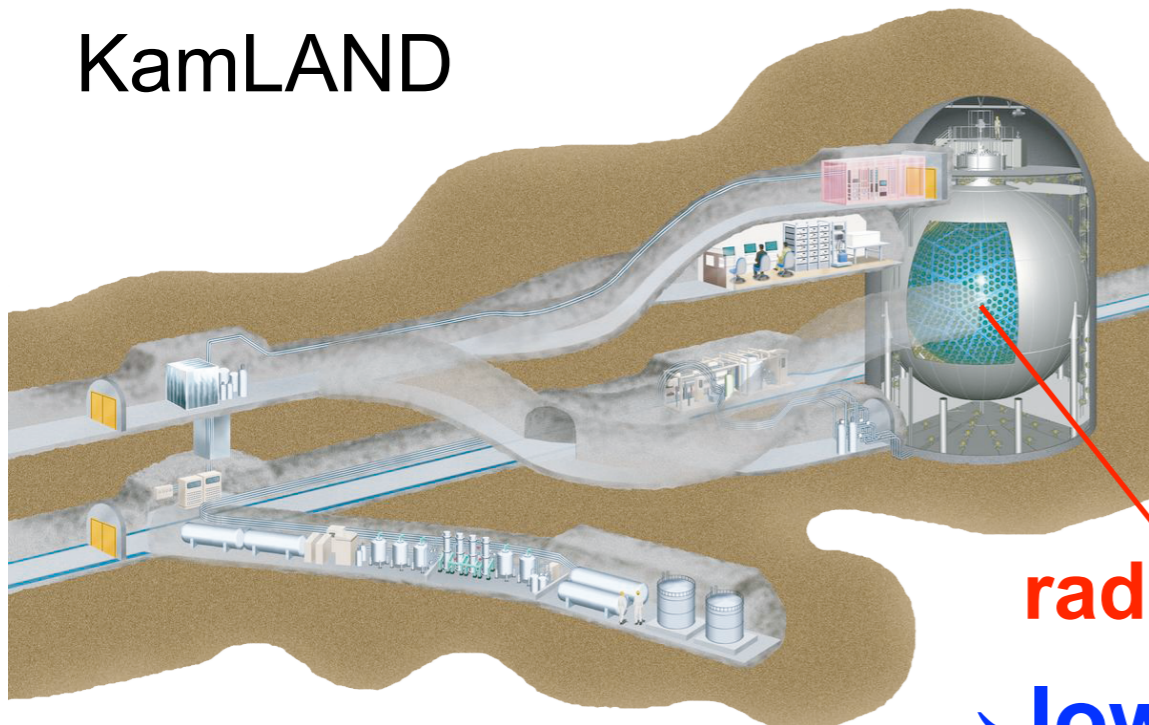


low activation by
cosmic-ray muons

muon flux at underground sites



KamLAND

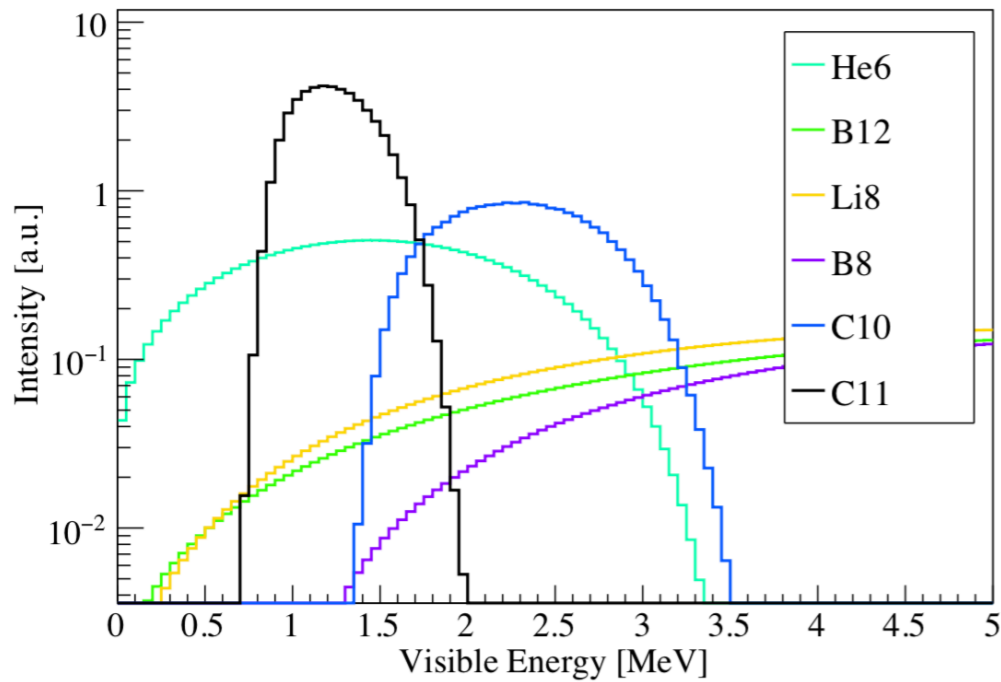


radiopure

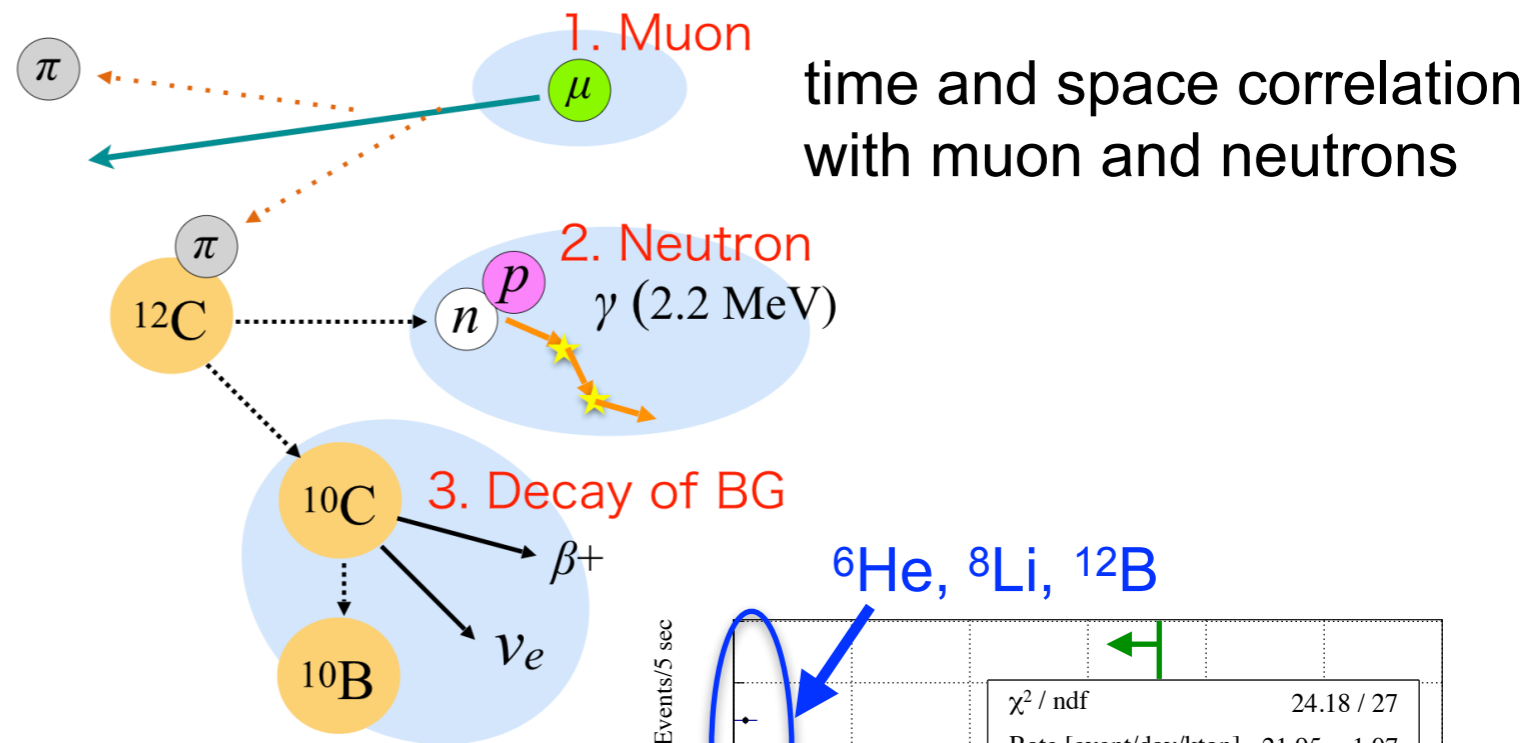
→ low BG technique high-purity source/shield

Short-lived Spallation Products

carbon spallation products

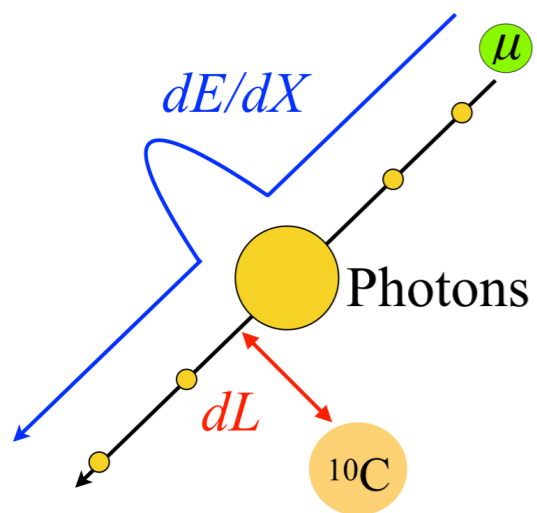


Triple coincidence tagging (dT , dR)

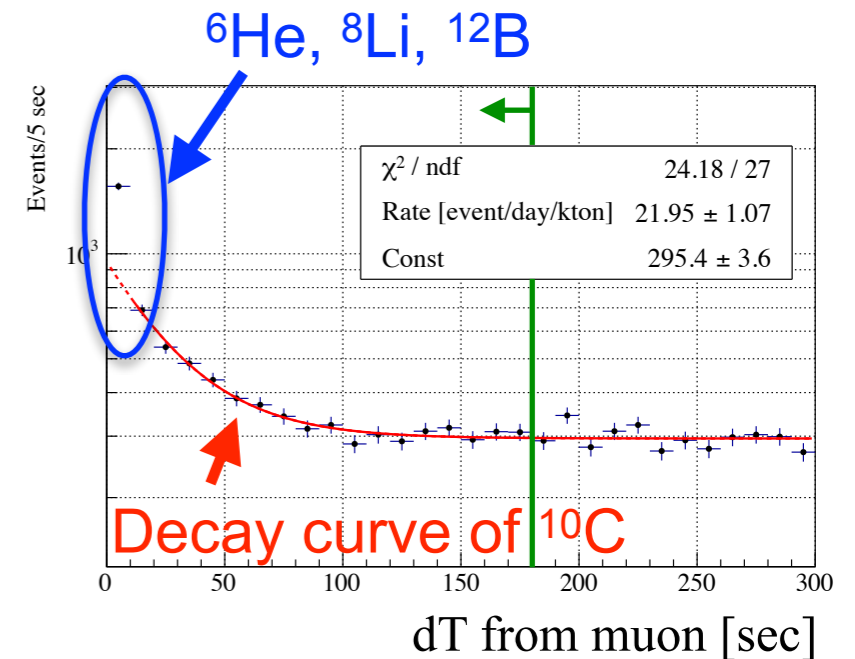
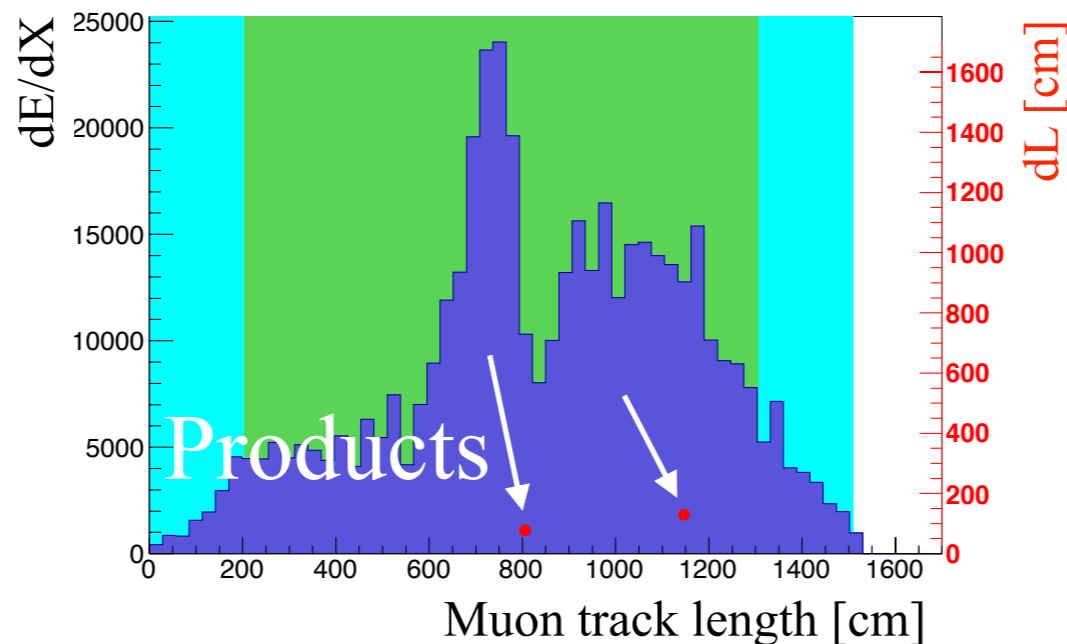


time and space correlation with muon and neutrons

Shower tagging (dE/dX , dL)



space correlation with muon shower

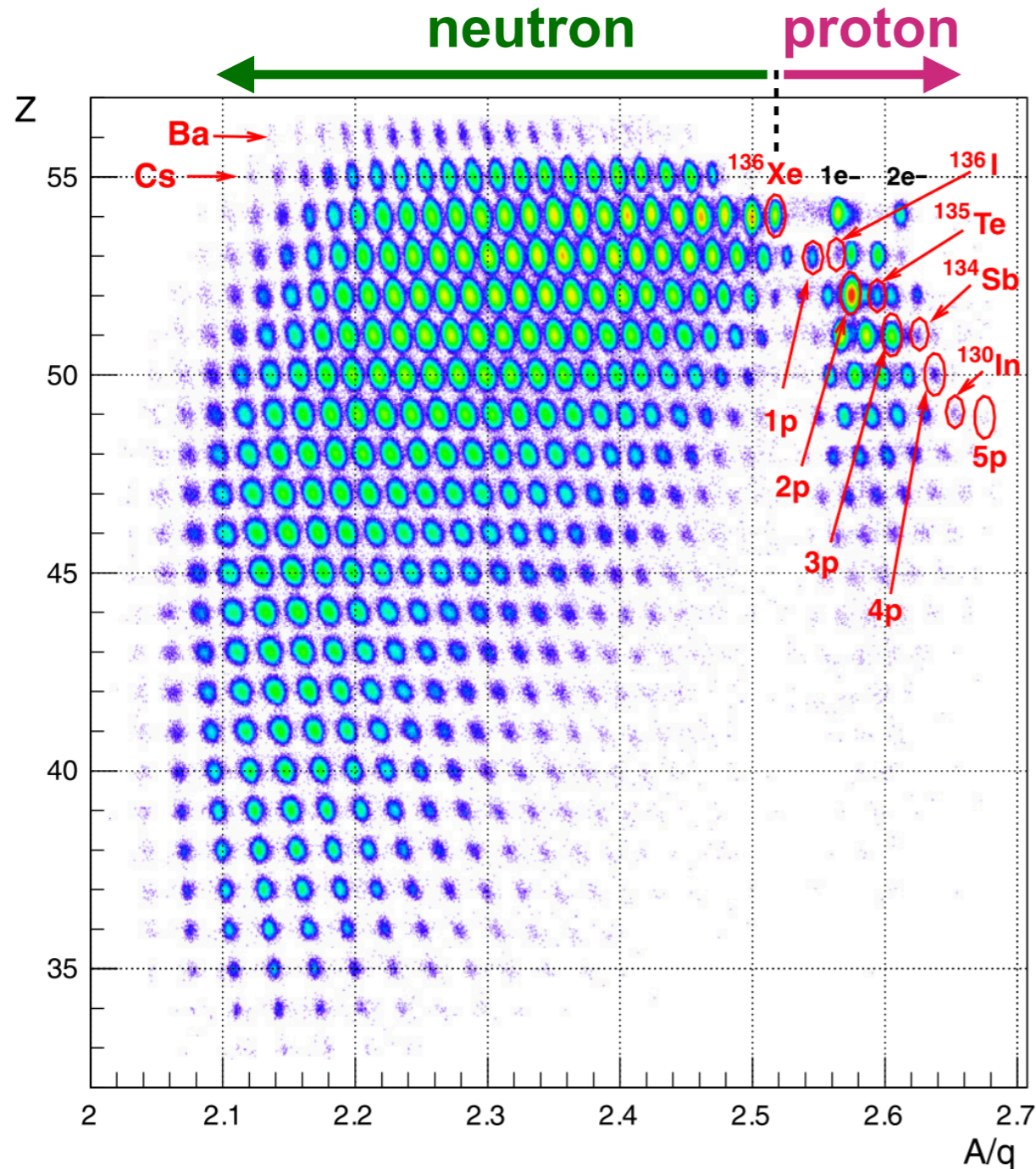


carbon spallation products
> 95% rejection efficiency

Proton-beam Spallation Data

$p + {}^{136}\text{Xe}$ (500 MeV/nucleon)

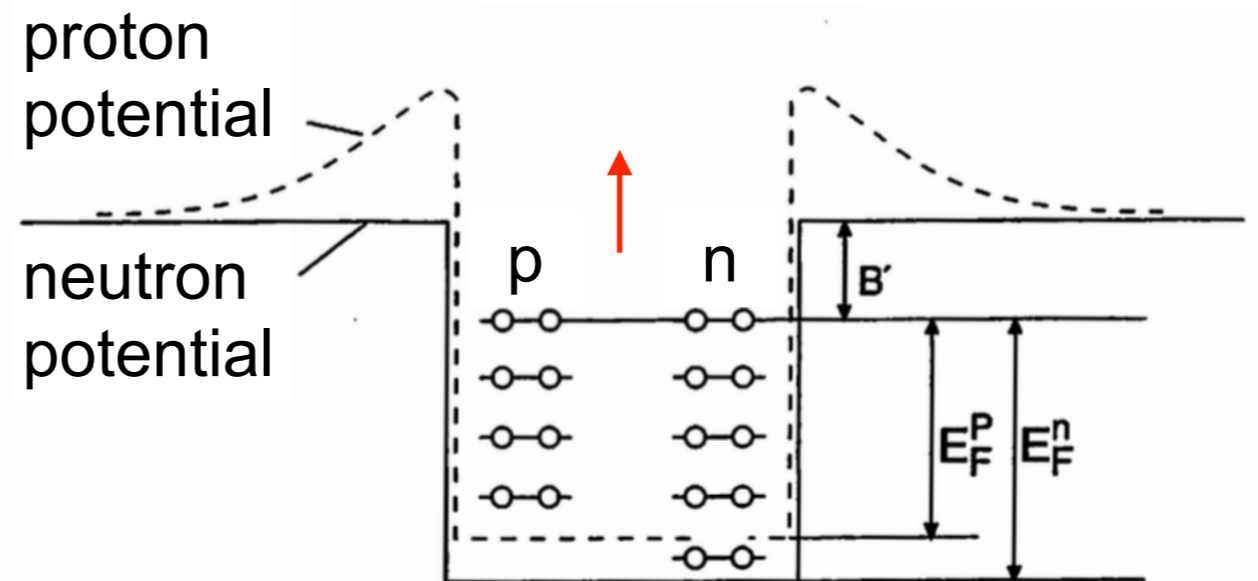
<https://www.sciencedirect.com/science/article/pii/S0375947412004423?via%3Dihub>



tends to emit neutrons (up to ~20)

spallation reaction

collisions between nucleon (cascade)
 → increase “temperature”
 → evaporation (nucleon emission)



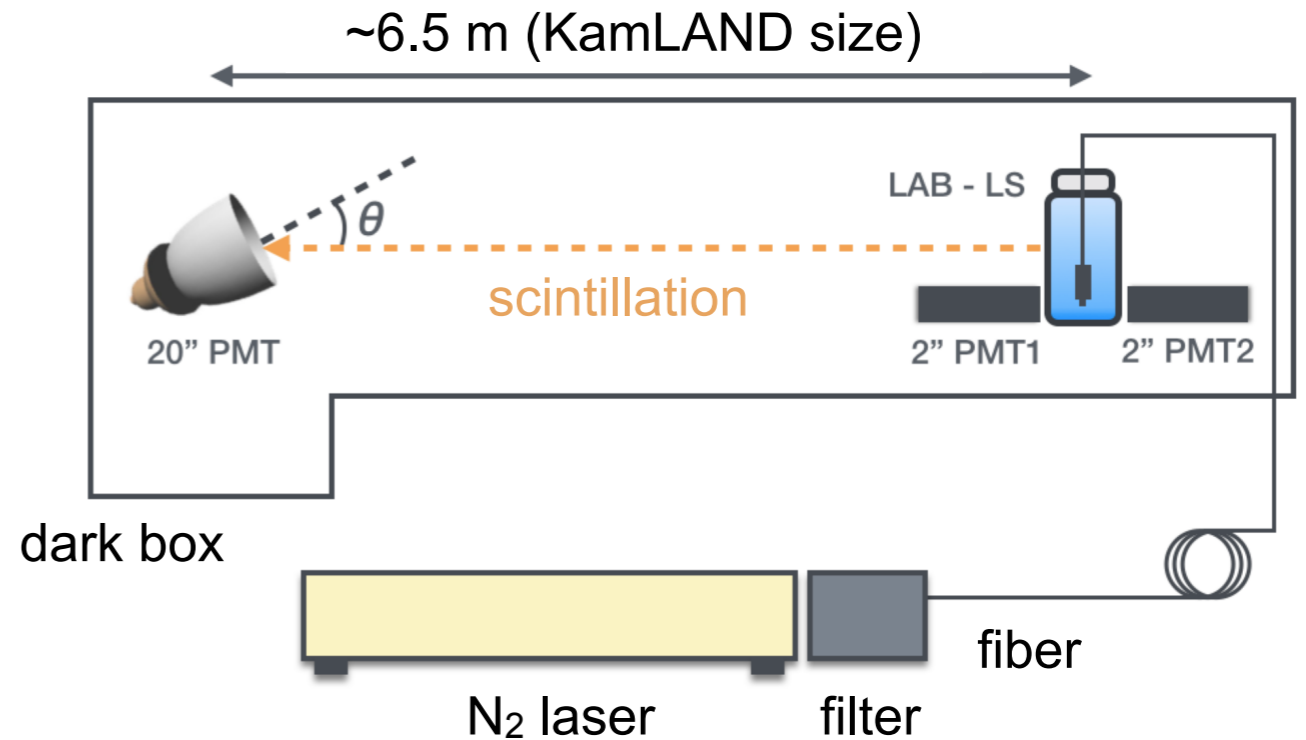
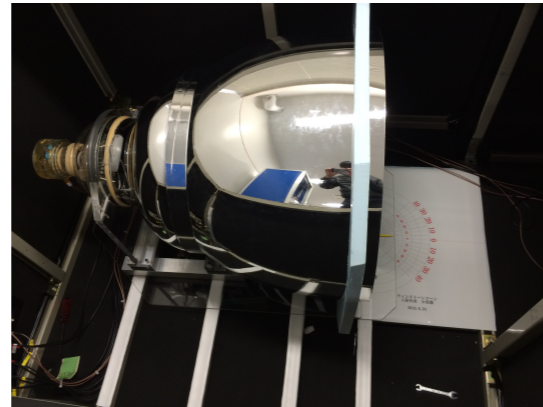
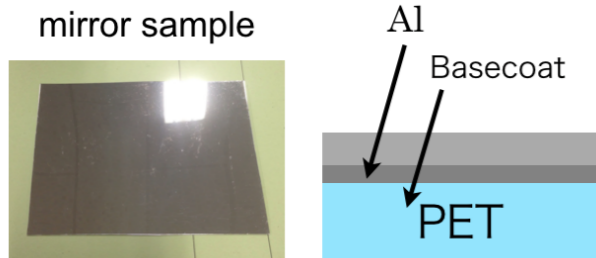
neutron emission favored over proton emission due to the absence of the Coulomb barrier

Production Rate Estimated by FLUKA

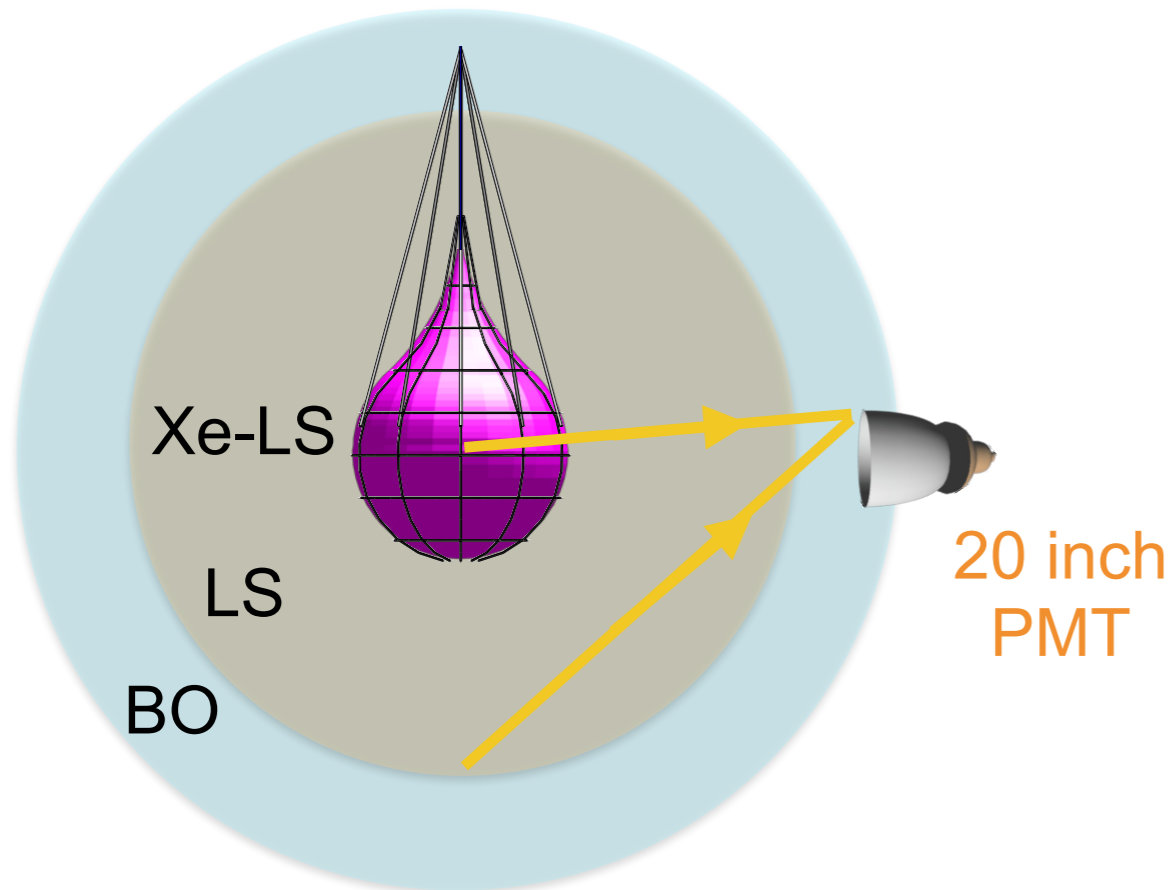
events/day/kton			
nuclei	rate_all	rate_ROI	T _{1/2} (ns)
Y88	0.136	0.110	9.212E+15
Zr90m1	0.093	0.012	8.092E+08
Nb90	0.095	0.024	5.256E+13
Tc96	0.059	0.012	3.698E+14
Rh98	0.076	0.011	5.232E+11
Rh100	0.234	0.088	7.488E+13
Ag104	0.160	0.012	4.152E+12
Ag104m1	0.111	0.018	2.01E+12
In107	0.135	0.019	1.944E+12
In108	0.194	0.089	3.48E+12
In110	0.236	0.053	1.771E+13
In110m1	0.351	0.066	4.146E+12
Sn109	0.122	0.027	1.08E+12
Sb113	0.231	0.036	4.002E+11
Sb114	0.297	0.020	2.094E+11
Sb115	0.839	0.031	1.926E+12
Sb116	0.939	0.071	9.48E+11
Sb118	1.288	0.165	2.16E+11
Sb124	0.054	0.016	5.201E+15
Te115	0.124	0.012	3.48E+11
Te117	0.594	0.052	3.72E+12
I119	0.533	0.053	1.146E+12
I120	0.953	0.091	4.896E+12
I122	1.965	0.289	2.178E+11
I124	1.654	0.190	3.608E+14
I130	1.635	0.269	4.45E+13
I132	0.441	0.152	8.262E+12
I134	0.194	0.046	3.15E+12
Xe121	0.540	0.100	2.406E+12
Cs125	0.266	0.012	2.802E+12
Cs126	0.080	0.011	9.84E+10
Cs128	0.229	0.031	2.196E+11
Total	330.845	2.427	

Light Yield Increase by Mirror

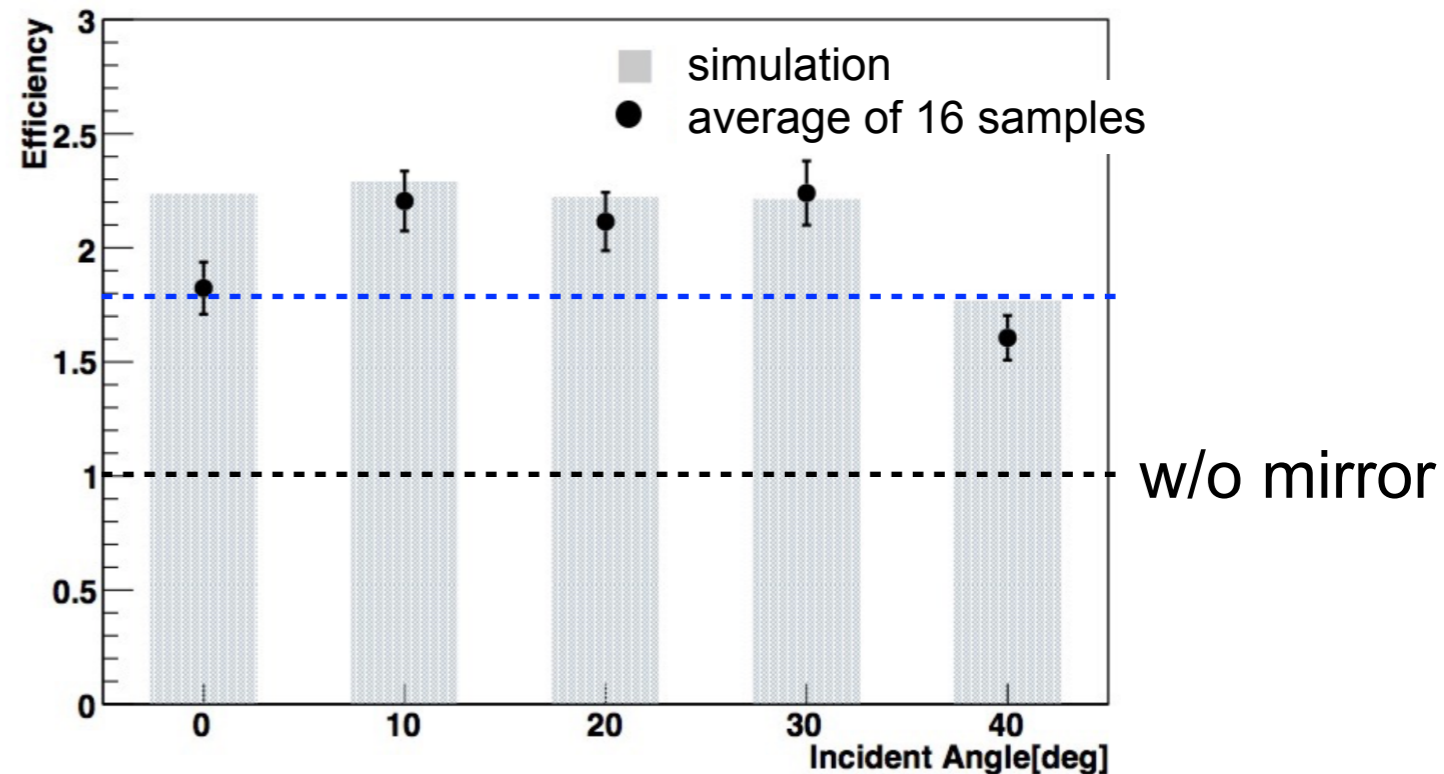
aluminum + PET mirror with high reflectivity



KamLAND2-Zen



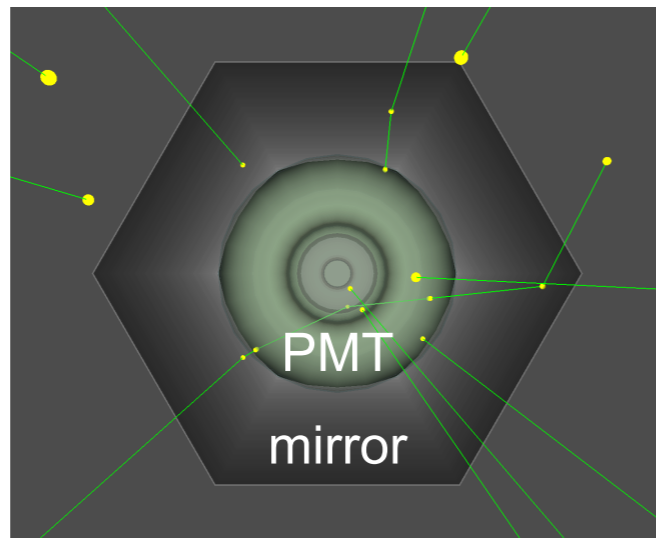
LS : 13 m diameter



~1.8 times increase of light yield

Mirror Shape Optimization

20 inch PMT
coverage 34%



light yield \sim photo-coverage

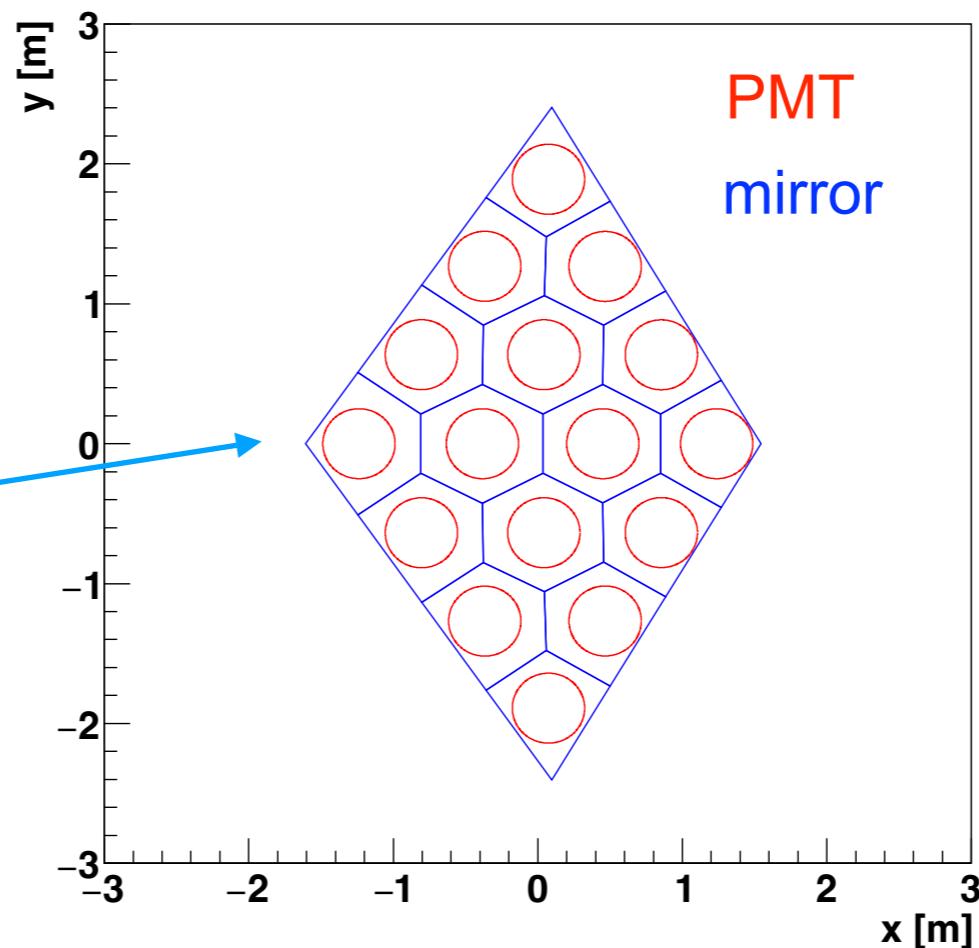
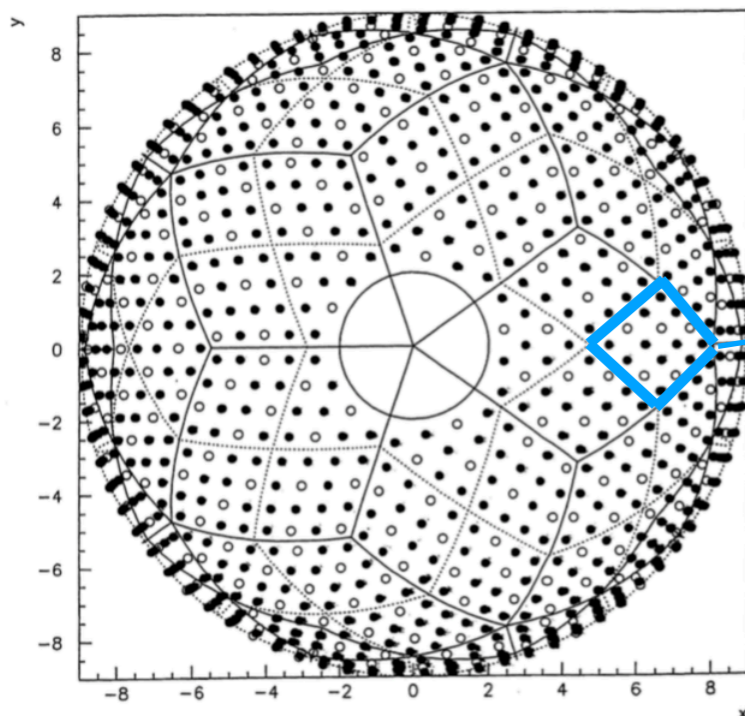
hexagon entrance \rightarrow circle exit

flexible shape construction was achieved by
G4TessellatedSolid method in Geant4

polygon entrance \rightarrow circle exit

further reduction of dead space

top view of KamLAND



mirror shape
in 4×4 face

4 hexagons
10 pentagons
2 squares

PMT with mirror



coverage \sim 100%

Mirror Production

Resin mold



Shaped acrylic



Aluminum vapor deposition

