Neutrino Oscillation Studies with KM3NeT/ORCA

João Coelho

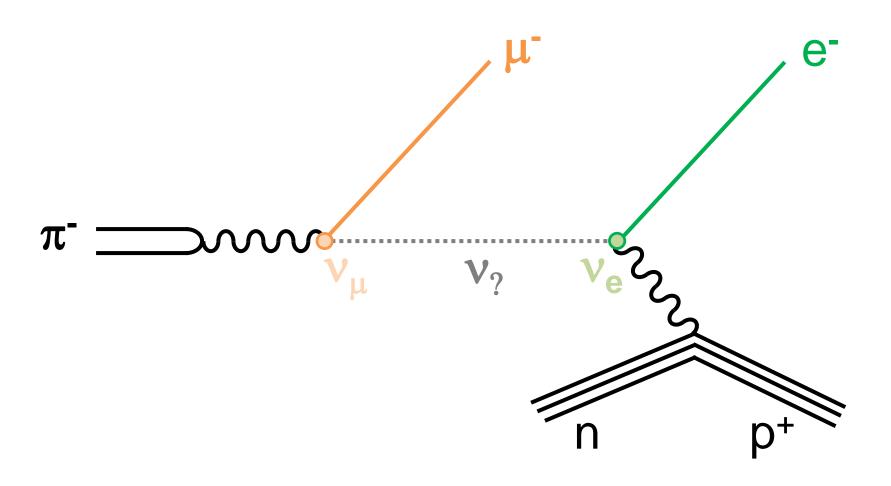
APC Laboratory

10 November 2022









- Neutrinos are created in a superposition of mass states
- Time evolution generates flavour oscillations

Simple QM

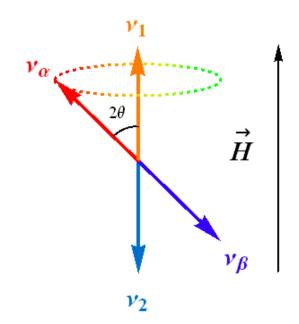
$$\left|\nu_{\alpha}\right\rangle = \sum_{i} U_{\alpha i} \left|\nu_{i}\right\rangle$$

$$P(\nu_{\alpha} \to \nu_{\beta}) = \left| \left\langle \nu_{\beta} \left| e^{-iHt} \right| \nu_{\alpha} \right\rangle \right|^{2}$$

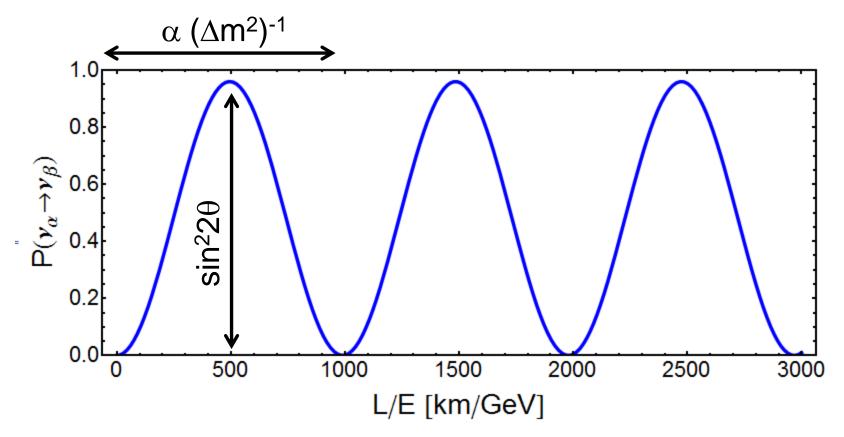
$$|\nu_{\alpha}\rangle = \sum_{i} U_{\alpha i} |\nu_{i}\rangle$$

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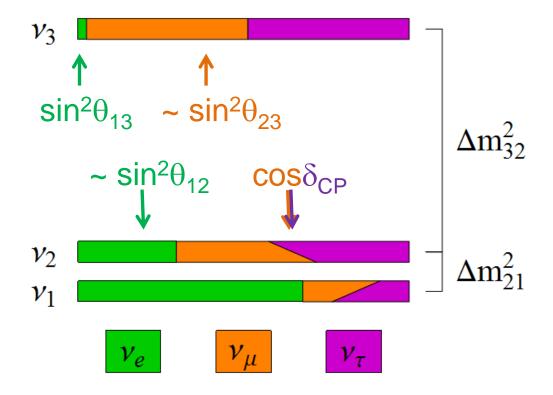
$$\langle H \rangle .t \sim \Delta E.L \sim \frac{\Delta m^{2} L}{2E}$$



$$P(\nu_{\alpha} \to \nu_{\beta}) \approx \sin^2 2\theta \times \sin^2 \left(1.27 \times \Delta m^2 \text{ [eV}^2] \times L/E \text{ [km/GeV]}\right)$$

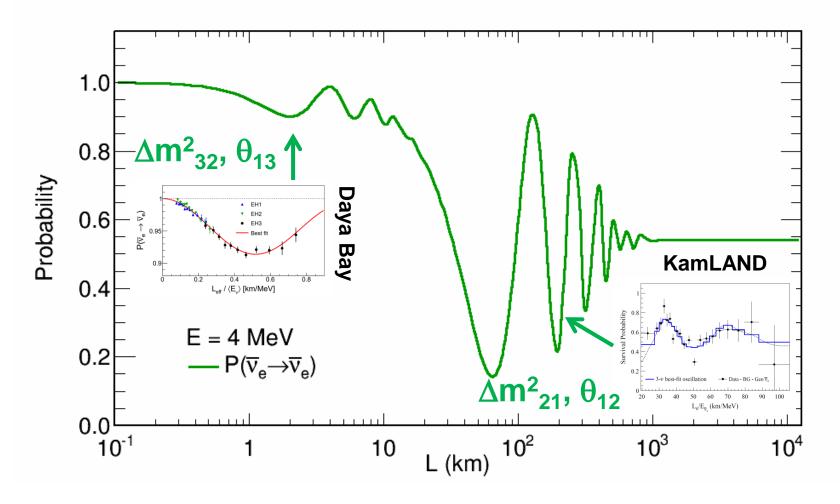


- There are 3 neutrinos, so things are a bit more complicated
- Two independent differences in mass-squared (Δm²₂₁, Δm²₃₂)
- 3 mixing angles (θ_{12} , θ_{13} , θ_{23}) and 1 CPV phase δ_{CP}



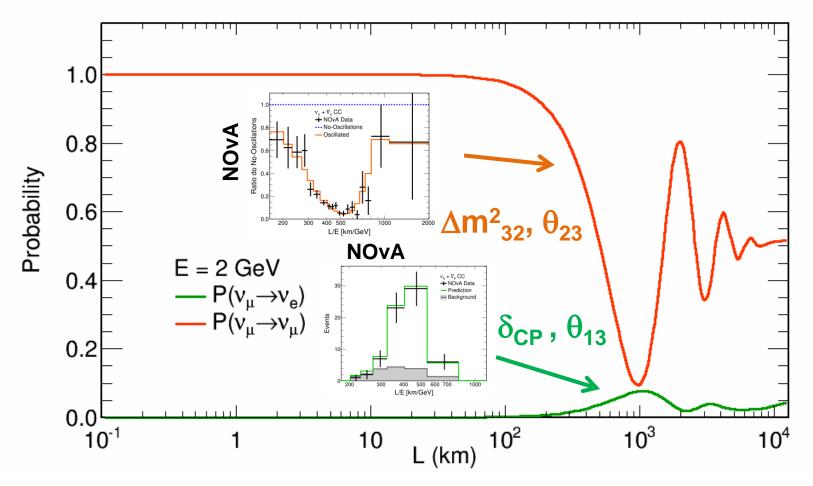
The Data: MeV

• Reactor and solar nu's measure θ_{12} , θ_{13} and Δm_{21}^2



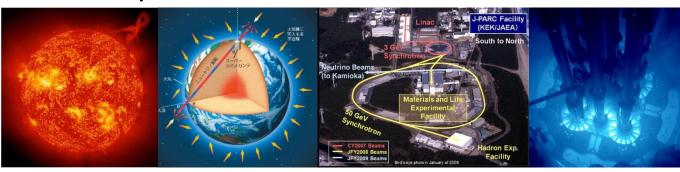
The Data: GeV

- Atmospheric and accelerator nu's measure θ_{23} and Δm^2_{32}
- Also sensitive to θ_{13} and δ_{CP} .



Global Picture

Multiple sources

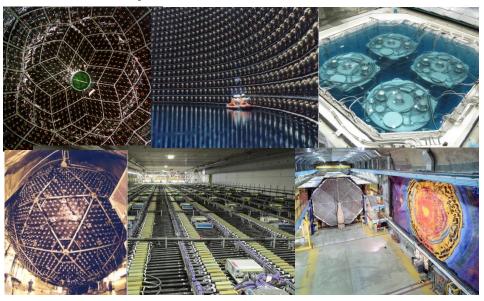


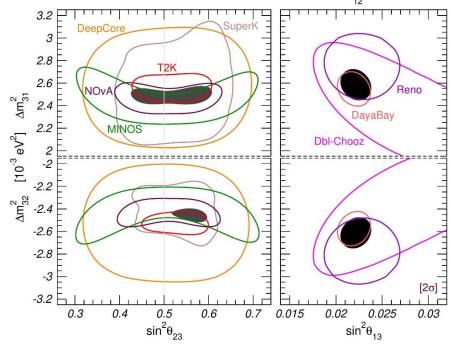
NuFIT 5.0 (2020)

NuFIT 5.0 (2020) 14 12 $\sin^2\theta_{13} = 0.0222$ 10 $\cos^2\theta_{13} = 0.0222$ $\cos^2\theta_{12} = 0.025$ $\cos^2\theta_{12} = 0.025$ $\sin^2\theta_{12} = 0.025$

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Multiple detectors

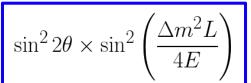


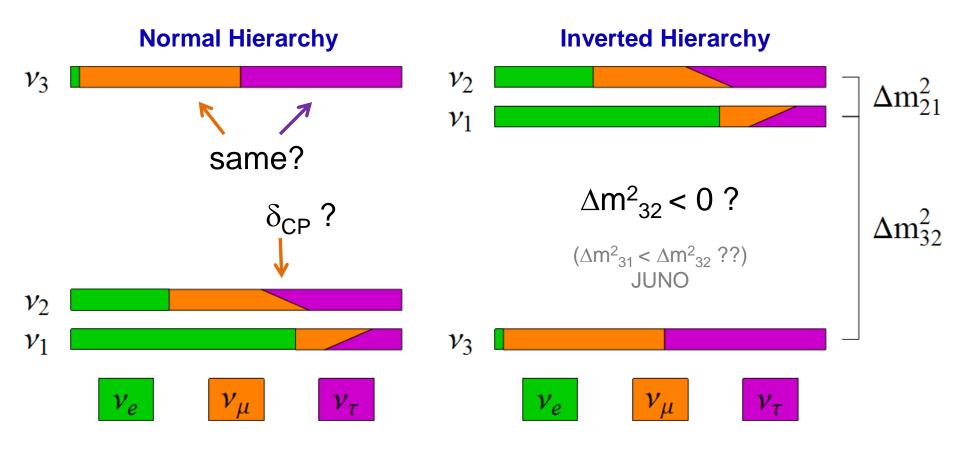


Missing Pieces

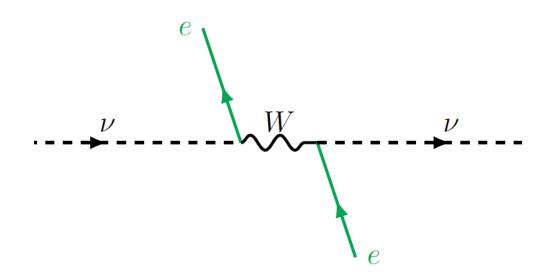
symmetries

- Is $\theta_{23} = \pi/4$? Underlying symmetry?
- Do neutrinos violate CP? (δ_{CP})
- What is the mass ordering? (Mass Hierarchy)

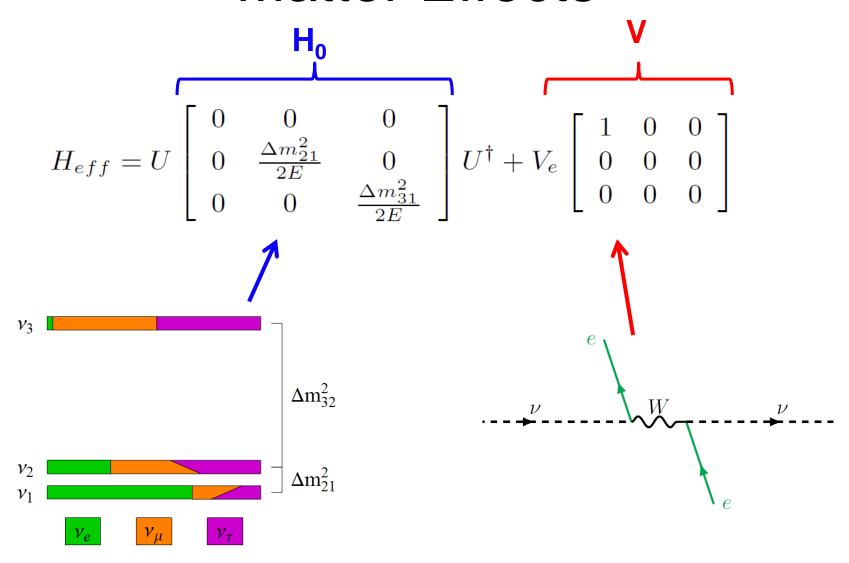




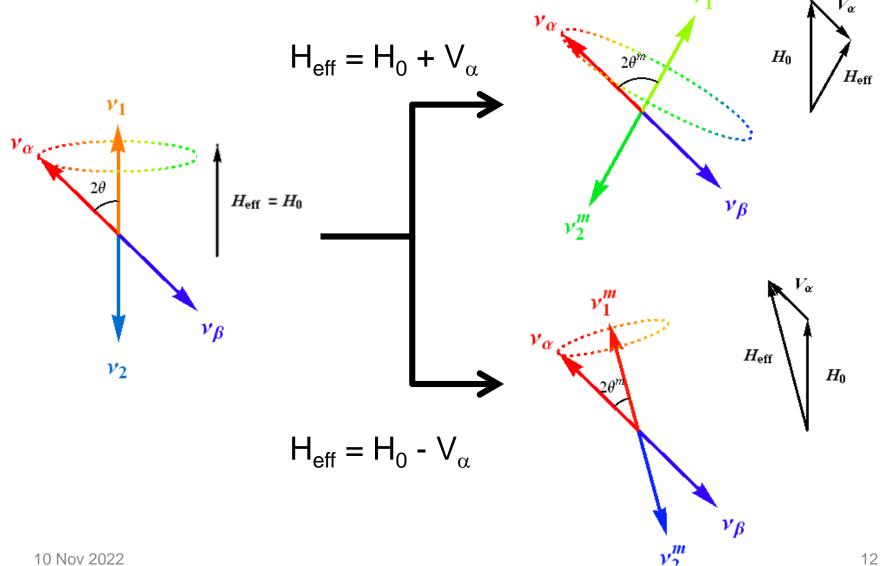
One weird trick to measure the Mass Hierarchy...



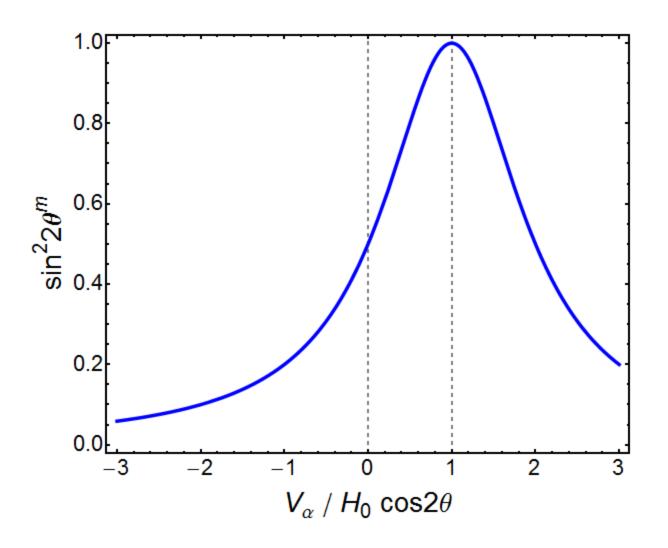
Matter Effects



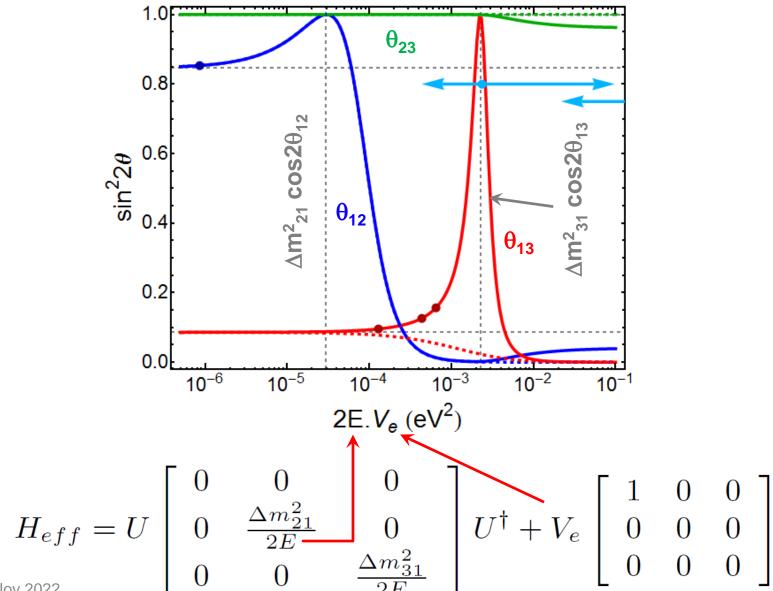
Matter Effects



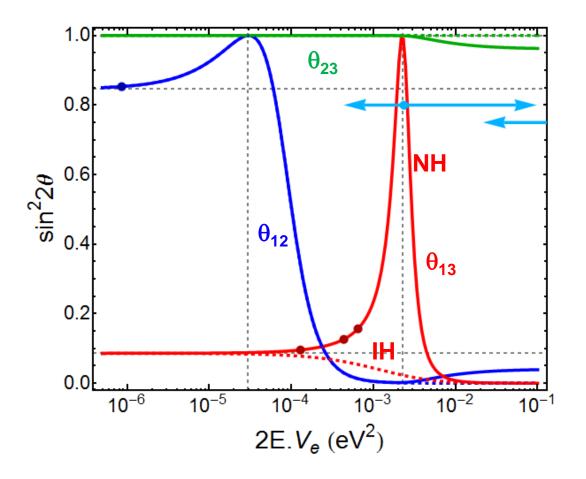
Matter Effects



Resonances

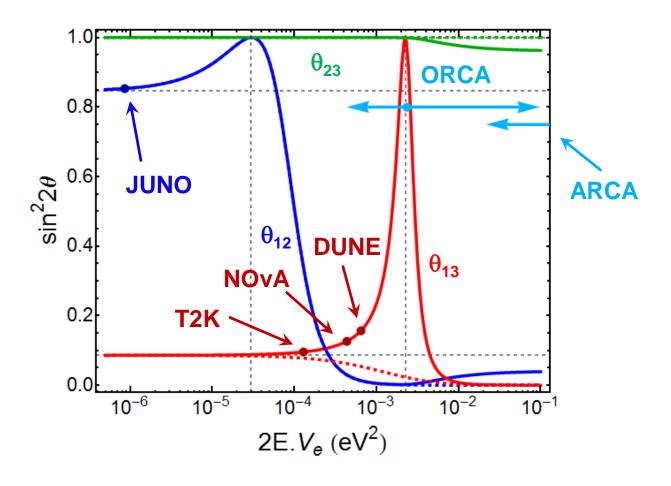


Resonances



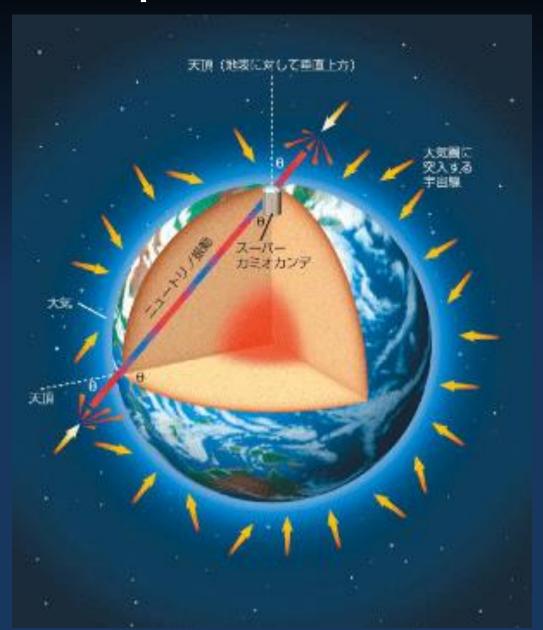
$$H_{eff} = U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \frac{\Delta m_{21}^2}{2E} & 0 \\ 0 & 0 & \frac{\Delta m_{31}^2}{2E} \end{bmatrix} U^{\dagger} + V_e \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}_{15}$$

Resonances

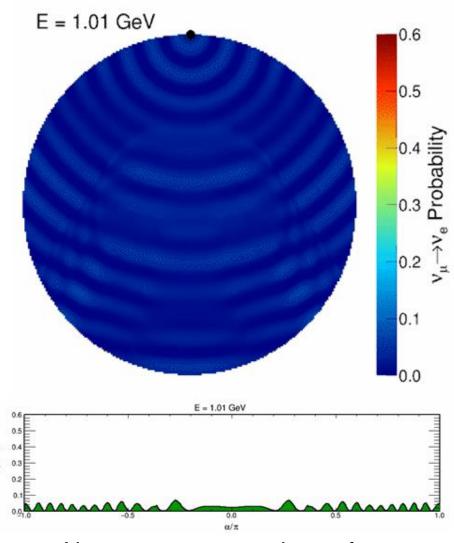


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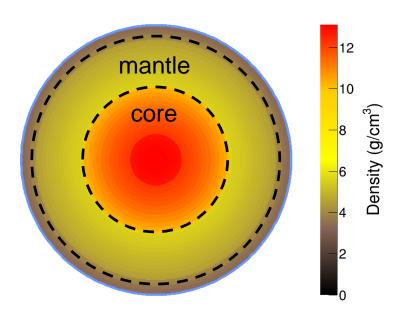
Atmospheric Neutrinos



Atmospheric Neutrinos



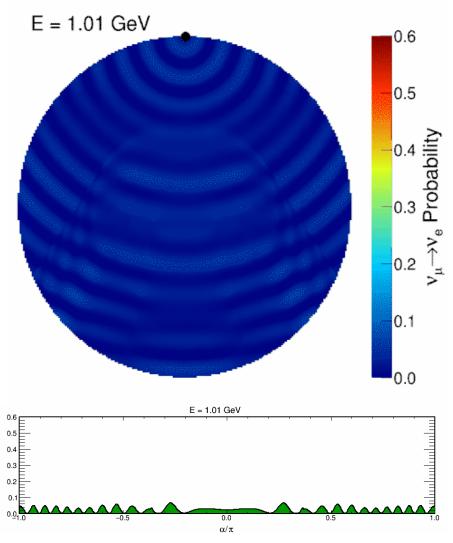
Nue appearance at the surface



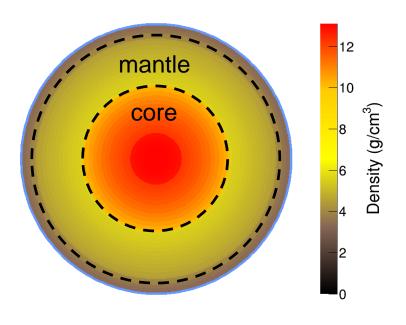
Oscillations are **resonant** at certain energies

 $E_{res} \sim 7 \text{ GeV in Mantle}$ $E_{res} \sim 3 \text{ GeV in Core}$

Atmospheric Neutrinos



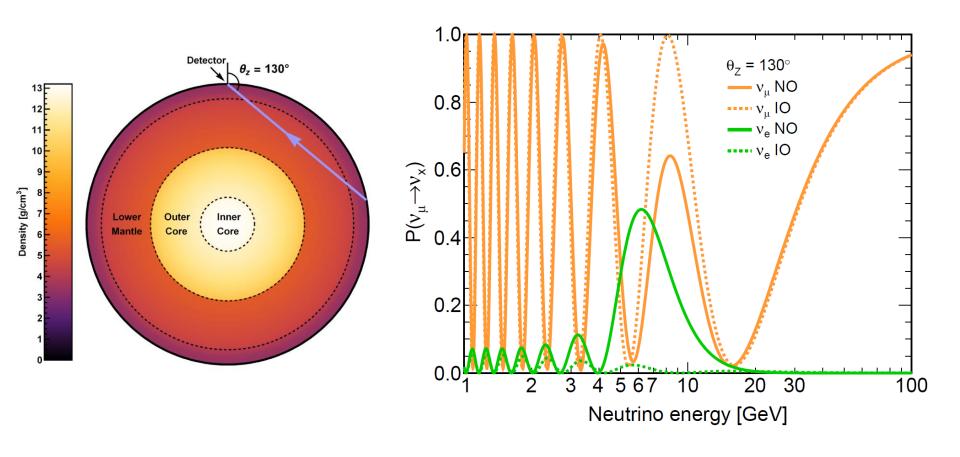
Nue appearance at the surface



Oscillations are **resonant** at certain energies

 $E_{res} \sim 7 \text{ GeV in Mantle}$ $E_{res} \sim 3 \text{ GeV in Core}$

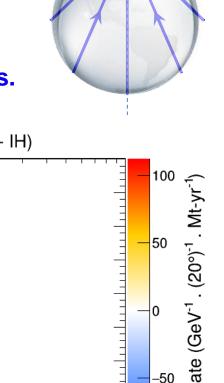
Sensitive to Mass Ordering at resonance energies



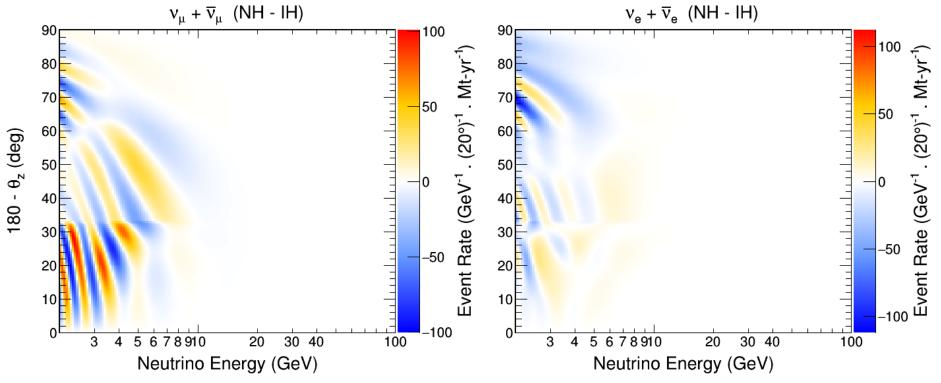
20 Oct 2022

The Challenge

- Measure neutrino direction and energy
- Search for oscillation patterns from matter effects
- Sensitive to difference in patterns between NH and IH
- Requires large statistics and good energy and direction res.

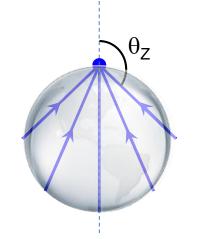


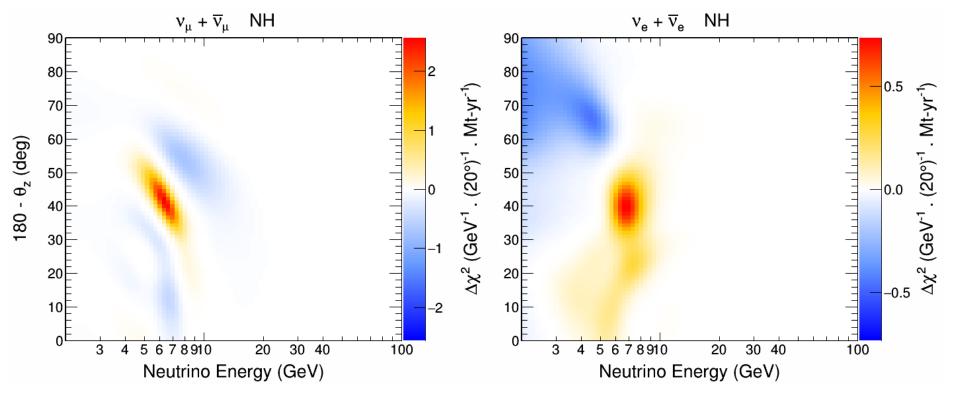
 θ_{Z}



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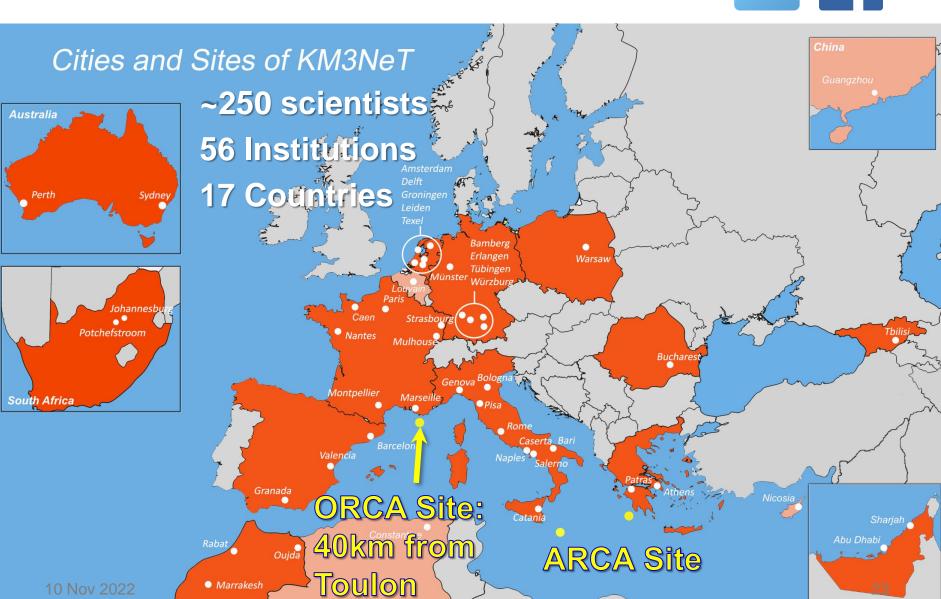


KM3NeT Collaboration

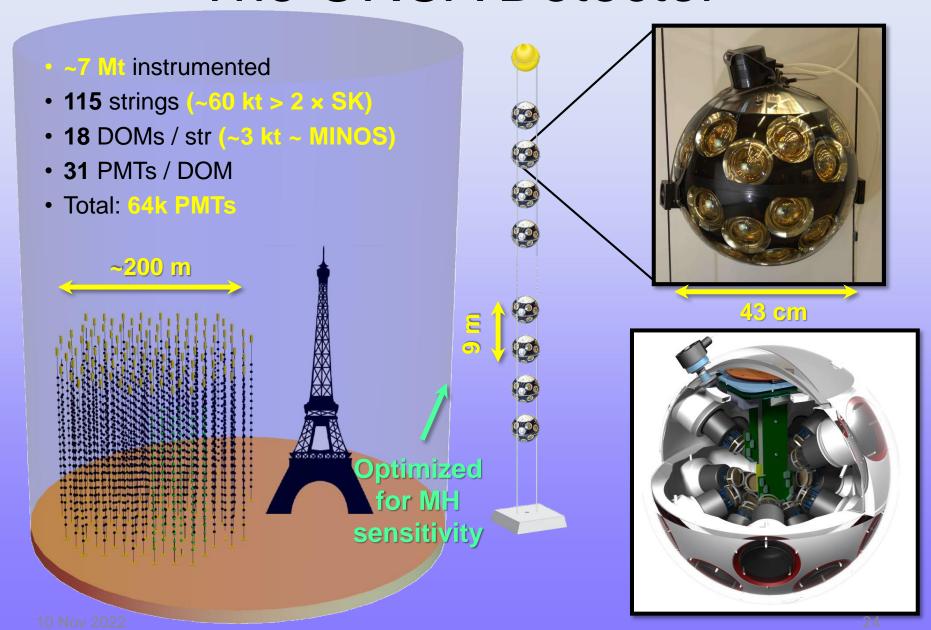








The ORCA Detector

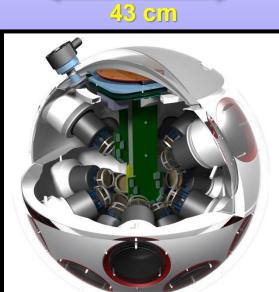


The ORCA Detector

- -7 III instrumented
- 115 strings (~60 kt > 2 x 5K)
- 18 DOMs / str (~3 kt ~ MINOS)
- 31 PMTs / DOM
- Total: 64k PMTs



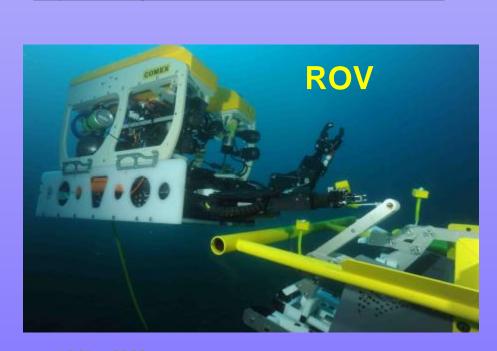




Deployment

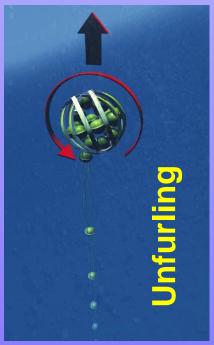
- String fitted to Launcher Vehicle
- Delivered at a depth of 2450m
- Dynamic Positioning: 1m precision
- ROV connects cable to junction box
- Boat triggers unfurling of the string
- Many nice videos on youtube channel:

https://www.youtube.com/user/KM3NeTneutrino



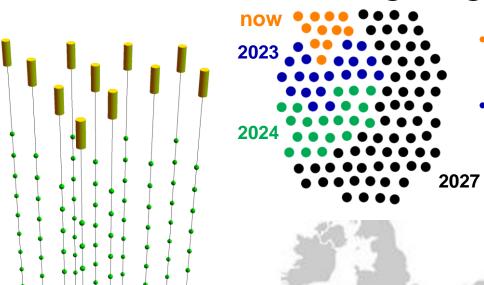






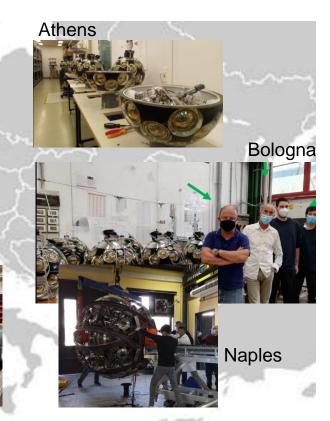
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Production ongoing around Europe

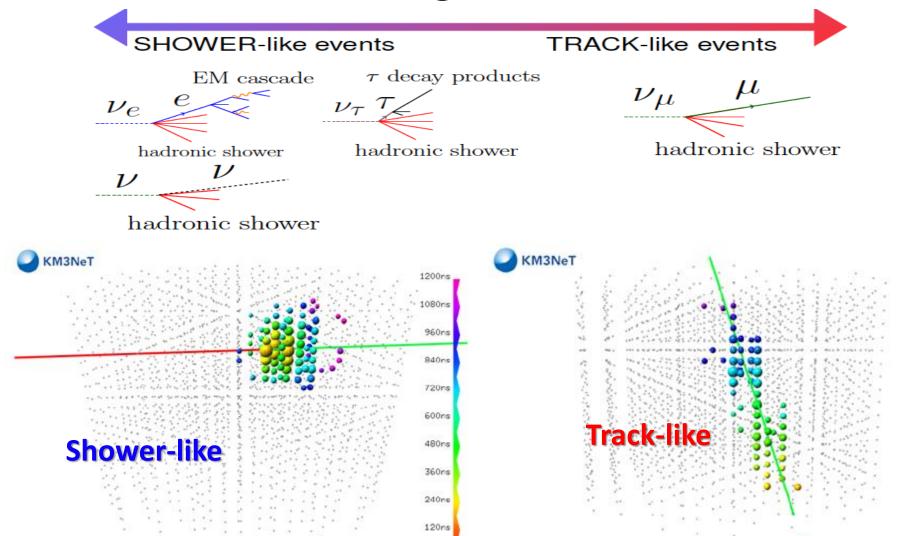


- ORCA: 11 lines in the water since September 2022
- ~20 more lines expected by end of 2023 to be deployed





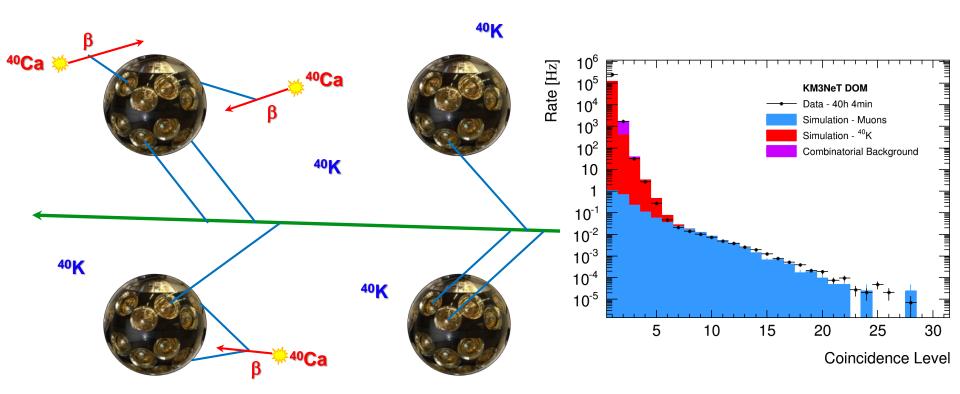
Measuring Neutrinos



0ns

Trigger

- Optical background mostly from ⁴⁰K decays in the water
- Measured: 8 kHz uncorr., 340 Hz level-two coinc. / PMT [Eur. Phys. J. C 74, 3056 (2014)]
- Look for coincidences in time and PMT direction to reduce trigger rate.
- Causality further restricts space and time correlations for extra power.
- Final trigger rate ~59 Hz, with 70% of events containing a cosmic ray muon.

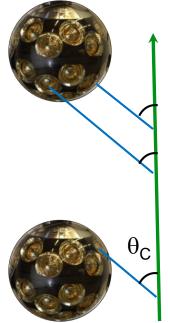


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Reconstruction

- 1) Start with a track or shower hypothesis
- 2) Use causality to perform a robust hit selection
- 3) Find vertex and direction that best match hit pattern
- 4) Estimate track range for computing track energy (0.24 GeV / m)
- 5) Estimate **Shower energy** and direction from hit distribution after initial fit to the vertex position and time

Track Hypothesis

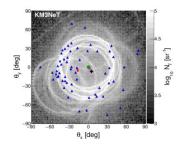


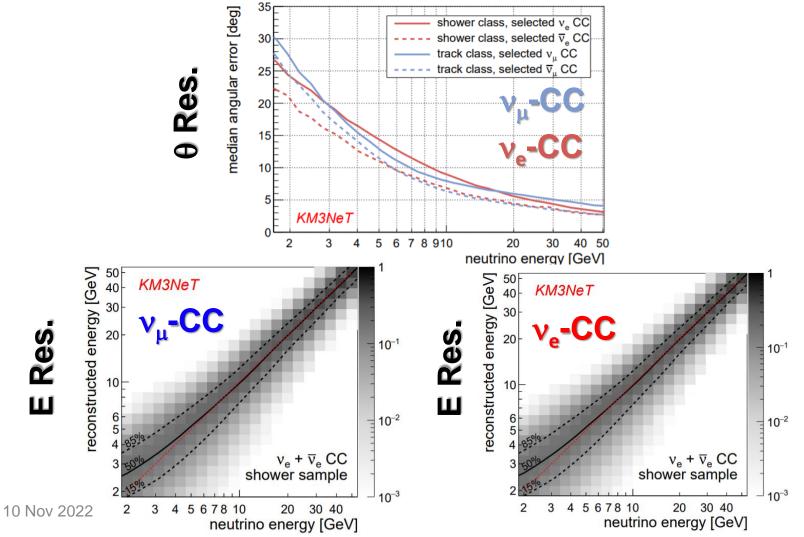
Shower Hypothesis



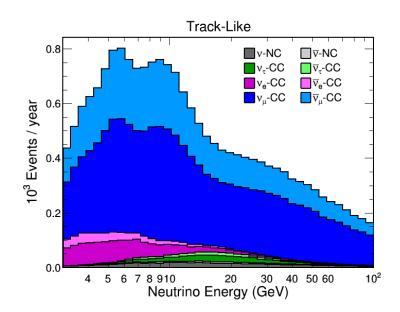
Reco Performance

- Energy resolution: ~25% (Close to limit <u>arXiv:1612.05621</u>)
- Angular resolution: Better than 15 degrees at relevant energies



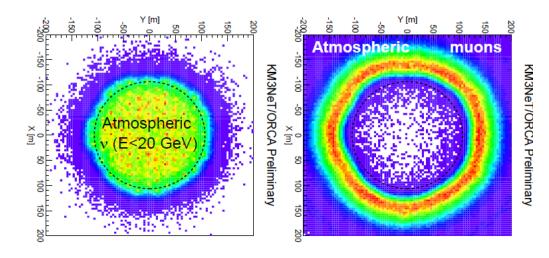


Event Selection



Shower-Like ■v-NC ∏⊽-NC $\overline{\nabla}_{\tau}$ -CC v_o-CC \overline{v}_{e} -CC v.-CC <u></u>ν,,-CC 10³ Events / year 0.2 5 6 7 8 9 1 0 20 30 40 50 60 10^{2} Neutrino Energy (GeV)

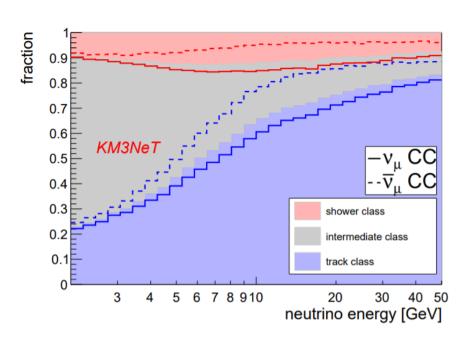
- Events are classified through a Random Decision Forest (RDF)
- At 10 GeV:
 - 90% of v_e -CC are shower-like
 - 70% of v_{μ} -CC are track-like
- Most atmospheric muons are removed by containment cuts

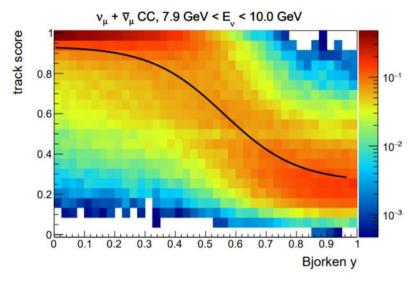


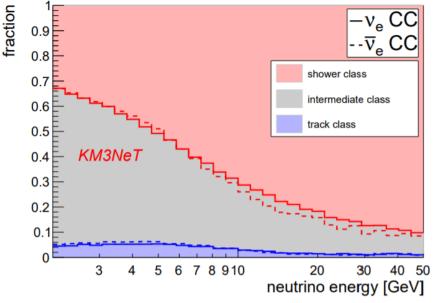
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Event Selection

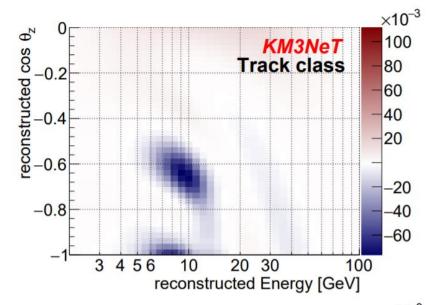
- Split non-track events into shower class (track score < 0.3) and intermediate class (0.3 < track score < 0.7)
- Increases purity in shower class but keeps low purity intermediate sample for control
- v_{μ} -CC contamination mostly from highly inelastic interactions

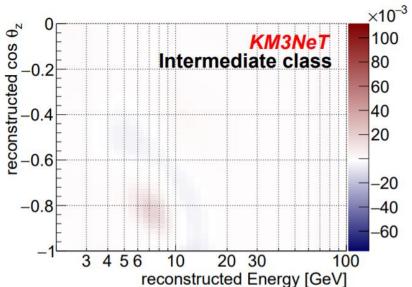




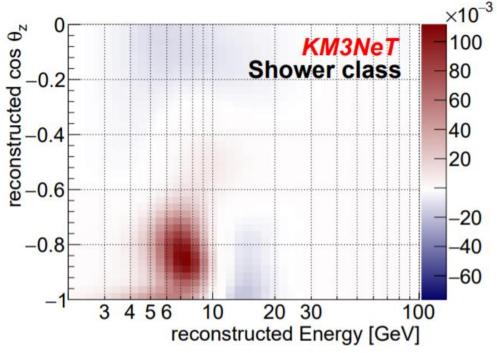


NMO Sensitive Regions





- Matter effects means NMO will impact very specific regions in L and E space
- Sensitivity dominated by shower class, but non-negligible contribution from track class
- Intermediate class has little sensitivity but helps constraint systematics

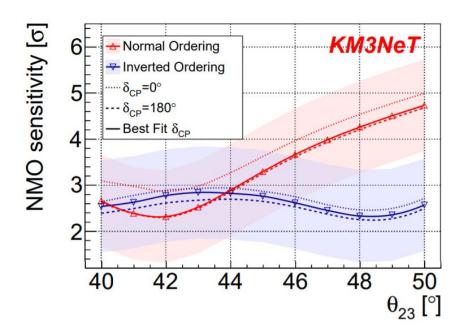


Systematics

- A total of 12 parameters are included in the analysis to account for shape and normalization uncertainties due to a combination of flux, cross-section and detector systematics
- Many systematics can be left free with no priors and will be constrained by our own data in different regions of L and E
- Ratios between samples:
 - $-v_e$ /anti- v_e : 7%
 - v_{μ} /anti- v_{μ} : 5%
 - v_e/v_u : 2%
- Shape uncertainty:
 - Energy scale: 6%
 - Had. energy scale: 5%
 - Directional skew: 2%
 - Spectral index: Free

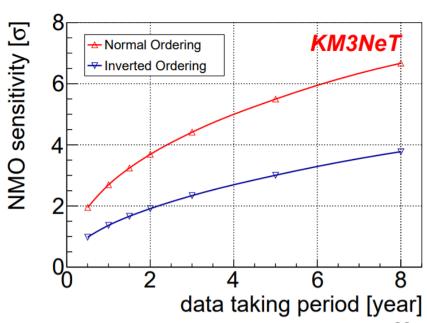
- Normalization components:
 - NC component: Free
 - $-v_{\tau}$ component : Free
 - Overall norm. of each class: Free
- Plus 4 oscillation parameters:
 - $-\Delta m_{31}^2$: Free
 - $-\delta_{CP}$: Free
 - $-\sin^2\theta_{23}$: Free
 - $-\sin^2\theta_{13}$: 3%

Sensitivity Results



- In the IH, sensitivity is less dependent on octant of θ_{23}
- Worst case scenarios should reach 3σ in ~5 years
- The value of δ_{cp} has small but nonnegligible impact on sensitivity

- In 3 years expect to achieve 4.4σ
 (2.3σ) if truth is NO (IO) at current best fit oscillation parameters
- NO and upper octant of θ₂₃ significantly enhances sensitivity
 (5σ in ~4 years)

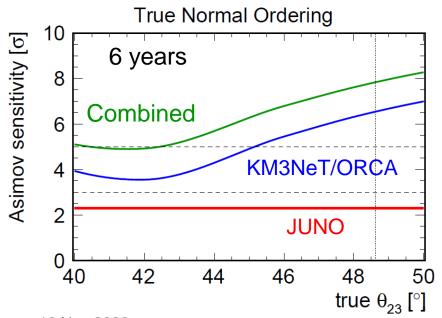


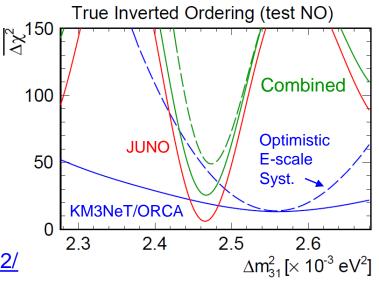
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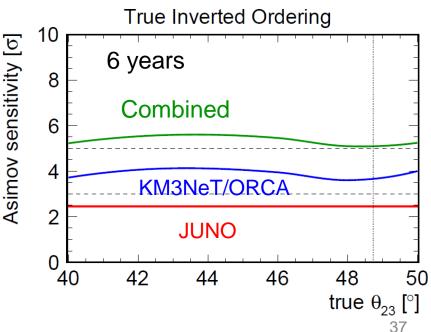
Synergy with JUNO

- Before 2030, JUNO and KM3NeT may be able to definitively measure the **NMO** at 5σ by exploiting a synergy between measurements of Δm_{31}^2
- Combined sensitivity is better than the simple sum of their $\Delta \chi^2$

https://indico.ific.uv.es/event/3965/contributions/14772/

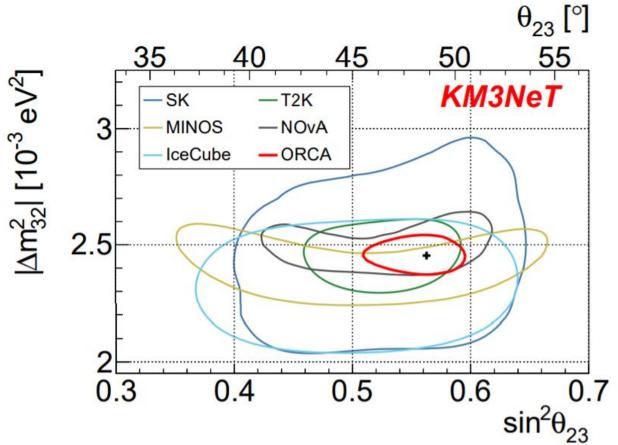






Other Parameters

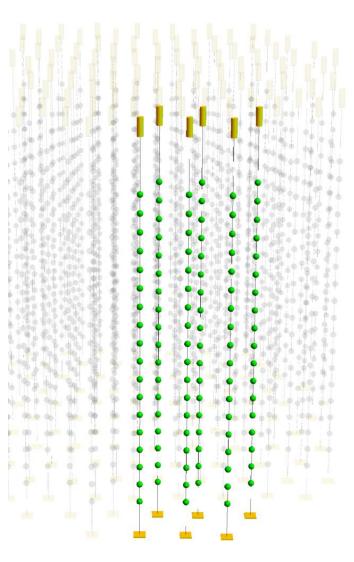
- High statistics and excellent resolution \rightarrow Measure Δm_{32}^2 and $\sin^2\theta_{23}$
- Competitive sensitivity with LBL experiments
- Achieve 4.5% prec. in Δm^2_{32} and $\sim 10\%$ in $\sin^2\theta_{23}$

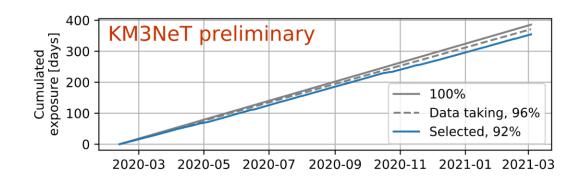


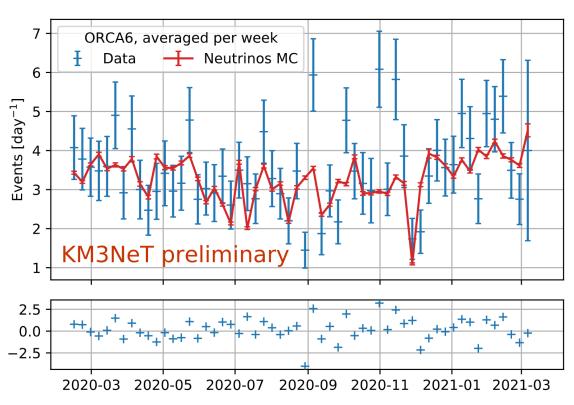
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But we have data now!

Over 90% up time for 1 year

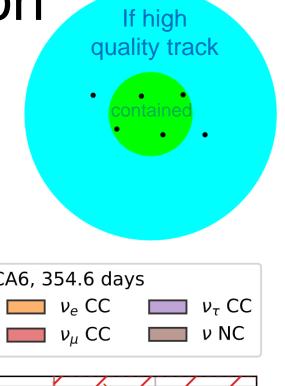


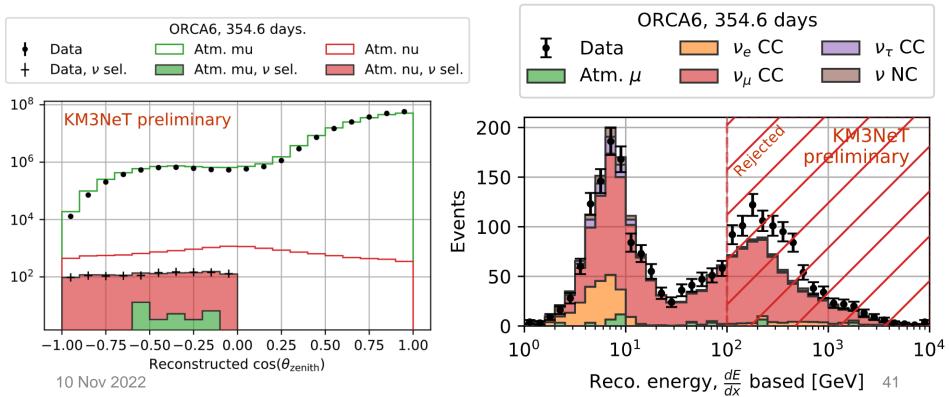




Neutrino Selection

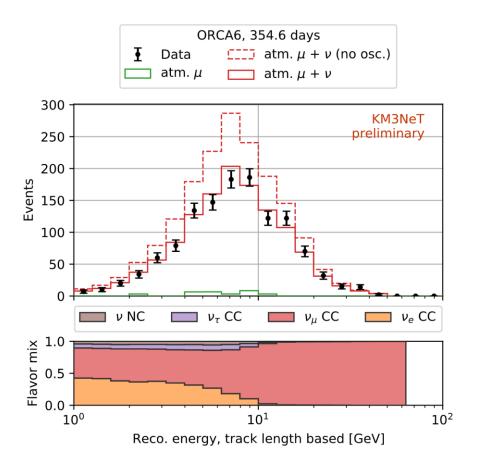
- Simplified selection for track-like events only
- Minimum number of hits matching Cherenkov angle
- Vertex contained in fiducial volume
- High quality track reconstruction, increasing with radius
- Reject poorly understood high energy tail (dE/dx based)

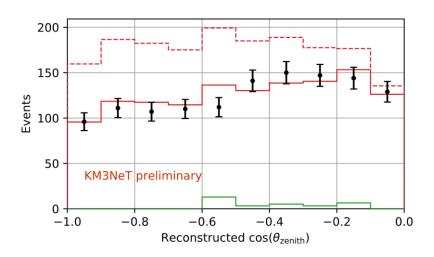


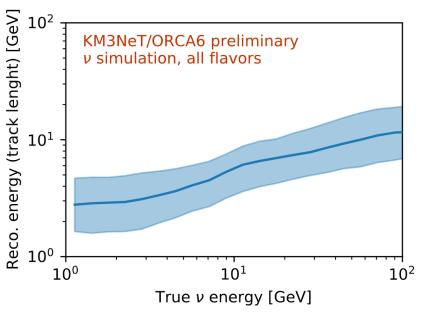


ORCA6 Data

- Energy estimate based on track length
- Poor energy resolution, but robust
- Good agreement with oscillated MC





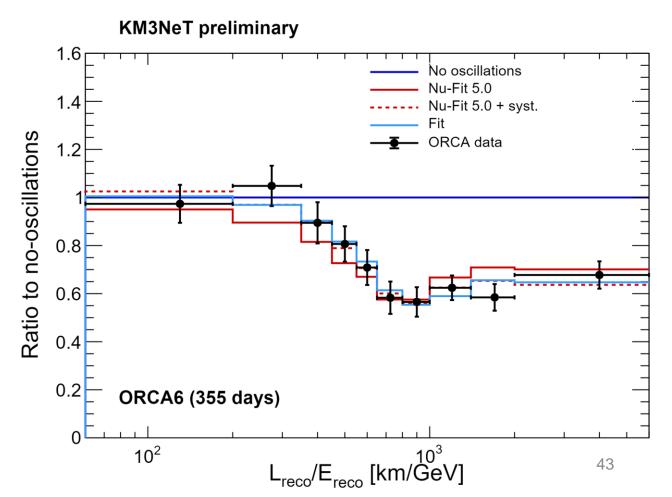


Clear Evidence of Oscillations

- First oscillation dip already visible even with suboptimal resolution
- Oscillation hypothesis confirmed at 5.9σ
- Data prefers oscillation minimum at higher L/E than expected from global fits
- Our systematics do cover this effect however, so results are consistent (1.9σ)

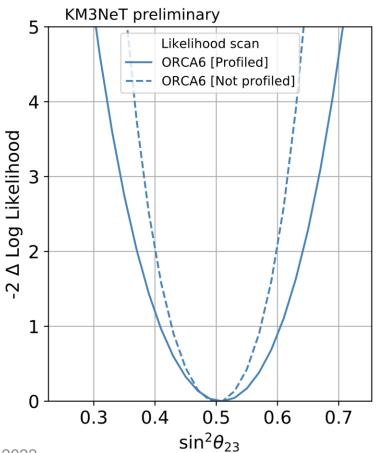
Systematics

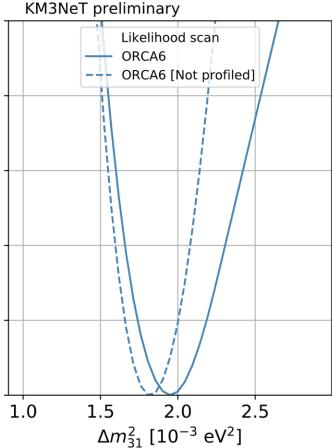
Parameter	Treatment Free/Fixed/Prior
θ_{12} [deg]	Fixed
θ_{13} [deg]	Fixed
θ_{23} [deg]	Free
$\Delta m_{31}^2 [10^{-3} \text{ GeV}^2]$	Free
31	Fixed
δ_{CP} [deg]	Fixed
Normalisation	Free
Spectral index	Prior: 10%
$n_{ u_{ m up}}/n_{ u_{ m horiz}}$	Prior: 7%
$n_{\nu_{\mu}}/n_{\overline{\nu}}$	Prior: 10%
$n_{\nu_e}/n_{\overline{\nu_e}}$	Prior: 10%
$n_{\nu_{\mu}}/n_{\nu_{e}}$	Prior: 3%
n^{NC}	Prior: 10%
n_{τ}^{CC}	Prior: 20%
Energy scale	Prior: 10%



First Oscillation Measurement

$$egin{aligned} \Delta \mathrm{m}^2_{31} &= 1.95^{+0.24}_{-0.21} imes 10^{-3} \,\,\mathrm{eV}^2 \ & \sin^2\! heta_{23} &= 0.50 \pm 0.10 \end{aligned}$$

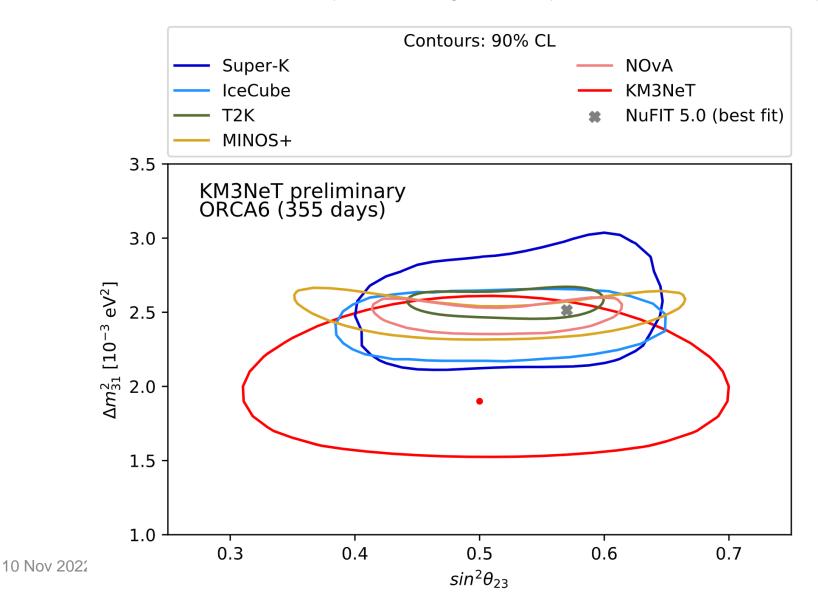




44

KM3NeT is in the game!

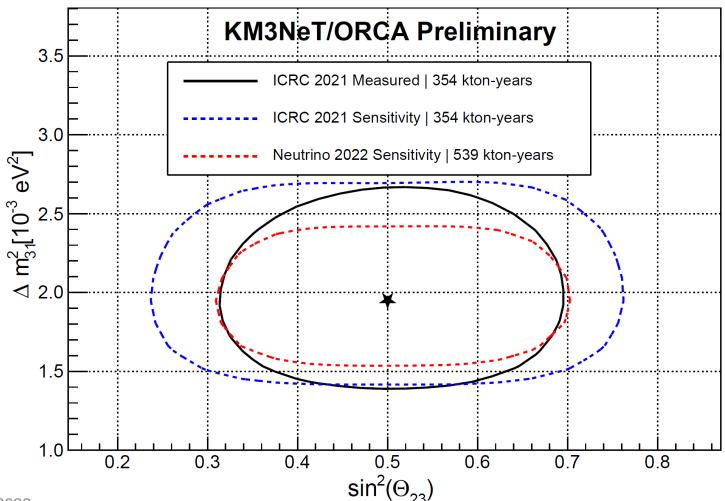
• First oscillation results already interesting with only 5% of the detector in 1 year



45

Updated Results Soon!

- 50% more data
- 4x more statistical power from analysis improvements



Beyond the Standard Model

Extended Models

Arbitrary Perturbation

Non-Standard Interactions (NSI)

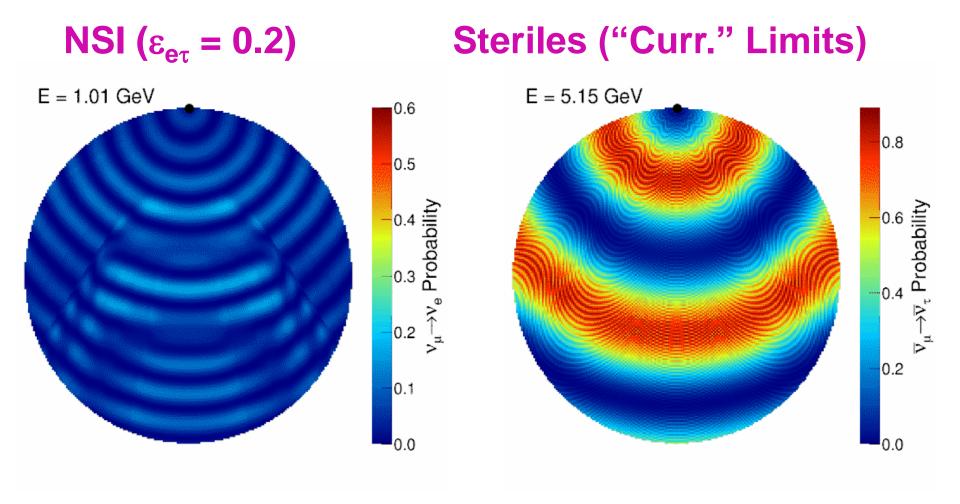
$$H_{eff} = U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \frac{\Delta m_{21}^2}{2E} & 0 \\ 0 & 0 & \frac{\Delta m_{31}^2}{2E} \end{bmatrix} U^{\dagger} + V_e \begin{bmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{bmatrix}$$

Sterile Neutrinos (3+N Flavours)

Sterile Neutrinos (3+N Flavours)
$$H_{eff} = U_{S} \begin{bmatrix} 0 & 0 & 0 & 0 & \cdots \\ 0 & \frac{\Delta m_{21}^{2}}{2E} & 0 & 0 & \cdots \\ 0 & 0 & \frac{\Delta m_{31}^{2}}{2E} & 0 & \cdots \\ 0 & 0 & 0 & \frac{\Delta m_{41}^{2}}{2E} & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix} U_{S}^{\dagger} + \begin{bmatrix} V_{e} & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & V_{n}/2 & \cdots \\ 0 & 0 & 0 & V_{n}/2 & \cdots \\ \vdots & \vdots & \vdots & \ddots & \ddots \\ \vdots & \vdots & \vdots & \ddots & \ddots \\ U_{S} = U_{N-1,N} \cdots U_{34} U_{24}^{(c)} U_{14}^{(c)} U_{23} U_{12}^{(c)} U_{12} \end{bmatrix}$$

$$U_S = U_{N-1,N} \cdots U_{34} U_{24}^{(c)} U_{14}^{(c)} U_{23} U_{13}^{(c)} U_{12}$$

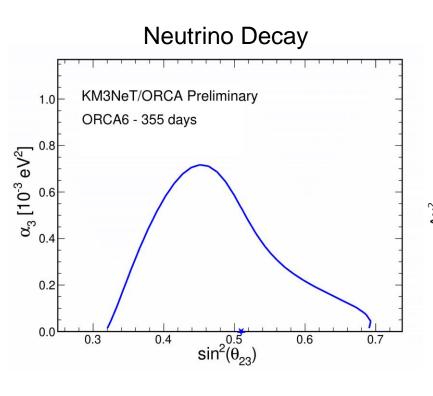
Enhanced Matter Effects

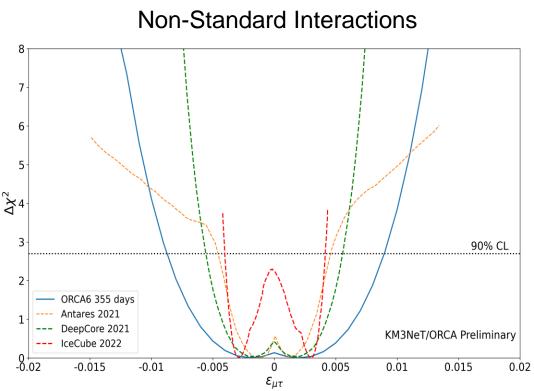


Visit https://apc.u-paris.fr/Downloads/antares/Joao/animations/ for more

BSM Searches

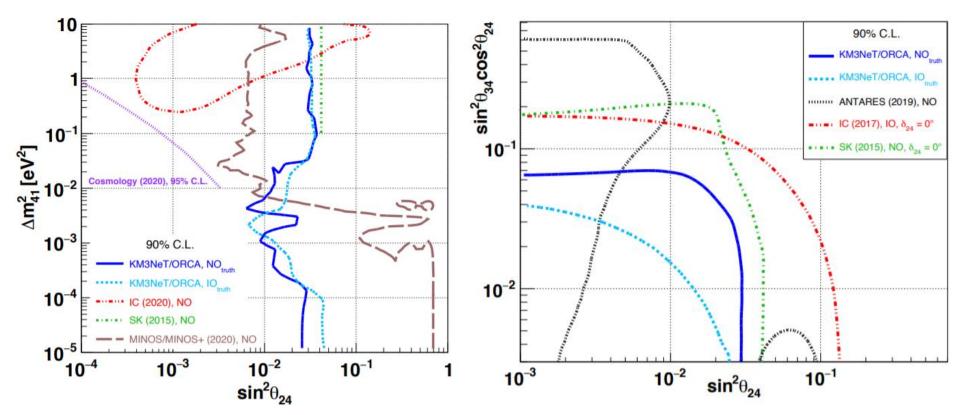
• First data already constraining some new physics scenarios





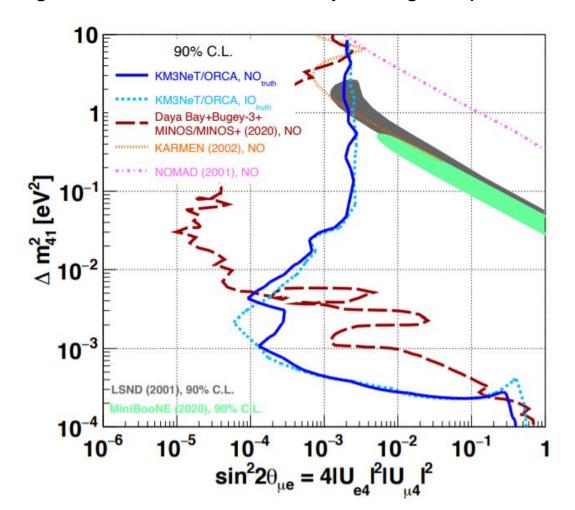
Sterile Neutrinos

- Explores very low Δm^2_{41} values due to longer baselines
- World leading sensitivity to U₁₄ coupling



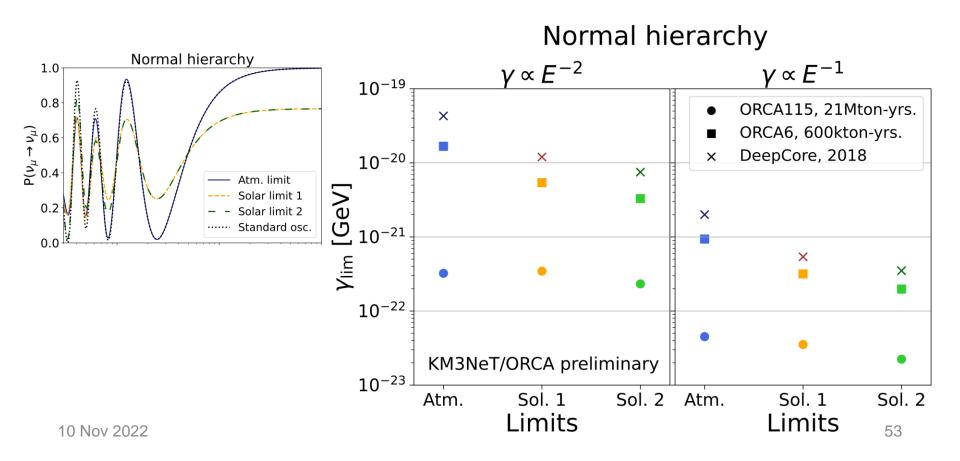
Sterile Neutrinos

- Explores very low Δm^2_{41} values due to longer baselines
- World leading sensitivity to $U_{\tau 4}$ coupling
- Probing LSND/MiniBooNE anomaly in single experiment

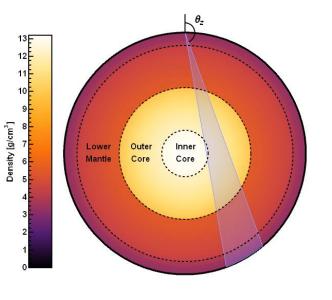


Decoherence

- Measures possible loss of coherence of neutrinos due to interactions with the environment around it
- Proposed as a possible signal of Quantum Gravity
- World leading sensitivity to some decoherence modes

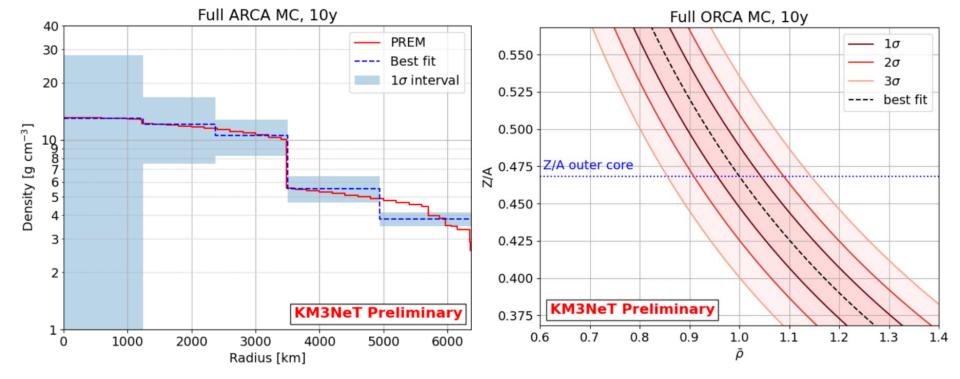


And more...



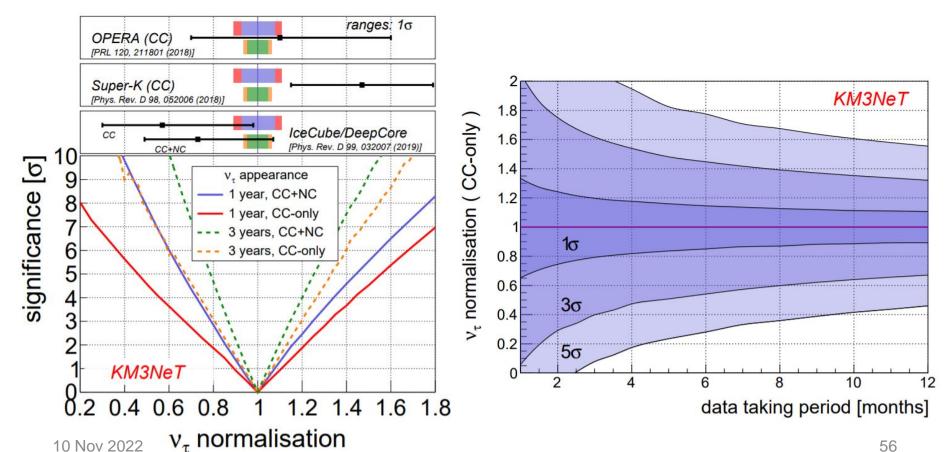
Earth Tomography

- Use both absorption and oscillations to measure the density and composition of the deep Earth
- The sensitivity to the chemical composition is particularly interesting as there is no other known method to probe it directly



Tau Appearance

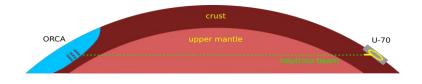
- Atmospheric neutrinos are also an excellent probe of v_{τ} appearance
- KM3NeT will be able to constrain the nt component to 7% level in 3 years
- Measurement can be used to probe the unitarity of the PMNS matrix
- Tau appearance can be confirmed with 5σ confidence in 2.5 years





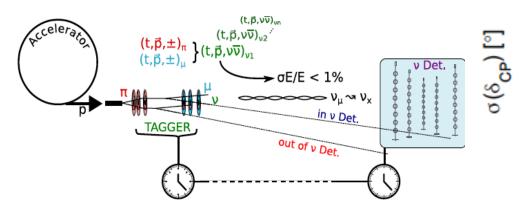
New idea: Tagged Protvino to ORCA

A. V. Akindinov et al., "Letter of Interest for a Neutrino Beam from Protvino to KM3NeT/ORCA" https://arxiv.org/abs/1902.06083

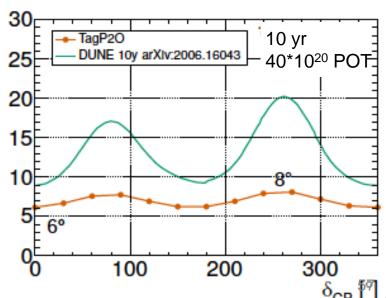


- Neutrino Beam from Protvino to ORCA
- Baseline 2590 km
- First oscillation maximum 5.1 GeV
- Sensitivity to mass hierarchy and CPV
- Huge detector -> relax beam power
- New idea v tagging at source:





Mathieu Perrin-Terrin@NuTel2021 https://agenda.infn.it/event/24250/contributions/130081/



Summary

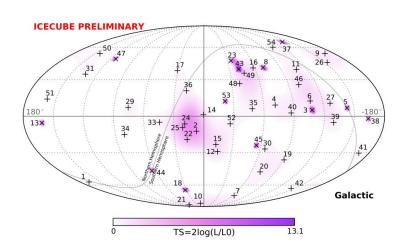
- ORCA is here and taking data!
- Main goal is to determine the Neutrino Mass Hierarchy
- Will also improve measurements of Δm^2_{32} and θ_{23}
- $egin{aligned} \bullet & \text{First results:} & \Delta m_{31}^2 = 1.95^{+0.24}_{-0.21} imes 10^{-3} \ eV^2 \ & \sin^2\! heta_{23} = 0.50 \pm 0.10 \end{aligned}$
- Lots of potential for other searches: sterile neutrinos,
 NSI, earth tomography, tau neutrinos, etc.
- Stay tuned for more results soon

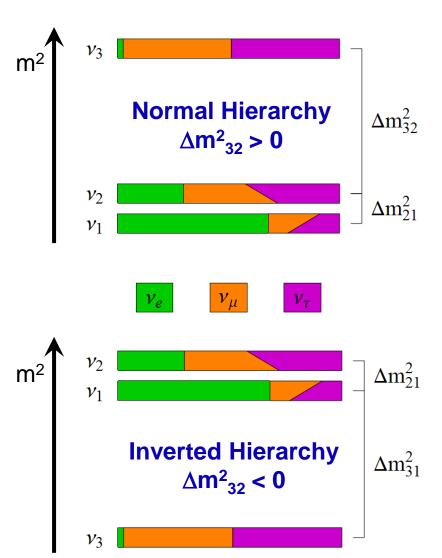


Objectives

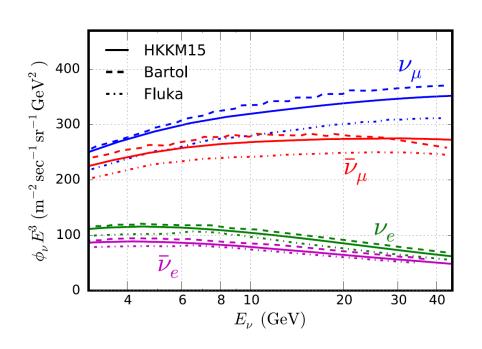
 ORCA: Determine the Neutrino Mass Hierarchy (NMH)

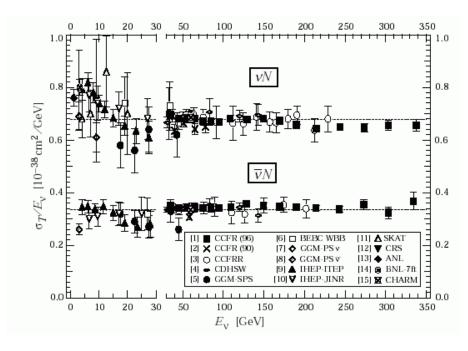
 ARCA: Discover/Observe high-energy neutrino sources in the universe





Atmospheric Neutrinos





- Factor of ~2 between nue and numu
- Factor of ~2 between nu and nubar
- v_{μ} + anti- v_{μ} = $(v_{\mu}$ + anti- v_{μ} + v_{e} + anti- v_{e}) -> $(v_{\mu}$ + anti- v_{μ})

Resonance Formulas

$$\sin^2 2\theta_{13}^m \equiv \sin^2 2\theta_{13} \left(\frac{\Delta m_{31}^2}{\Delta^m m^2} \right)^2$$

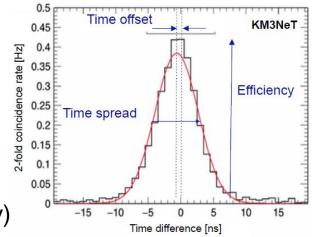
Depends on sign of Δm_{31}^2 (MH)

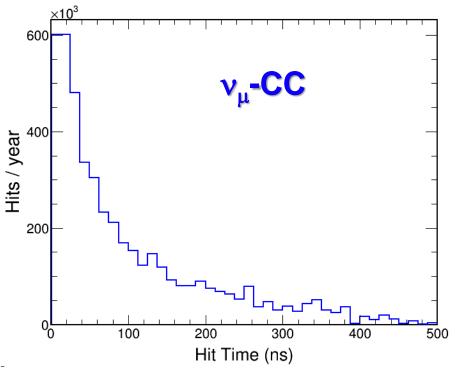
$$\Delta^m m^2 \equiv \sqrt{(\Delta m_{31}^2 \cos 2\theta_{13} - 2 E_{\nu} A)^2 + (\Delta m_{31}^2 \sin 2\theta_{13})^2},$$

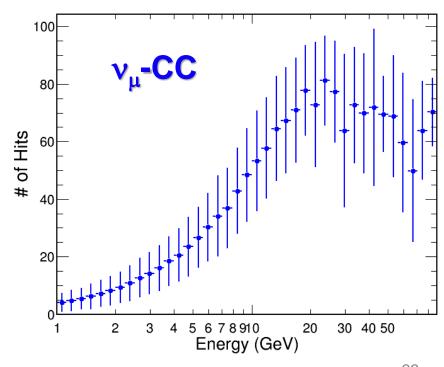
$$E_{\rm res} \equiv \frac{\Delta m_{31}^2 \, \cos 2\theta_{13}}{2 \, \sqrt{2} \, G_F \, N_e} \simeq 7 \, {\rm GeV} \, \left(\frac{4.5 \, {\rm g/cm}^3}{\rho} \right) \, \left(\frac{\Delta m_{31}^2}{2.4 \times 10^{-3} \, {\rm eV}^2} \right) \, \cos 2\theta_{13} \, . \label{eq:energy}$$

Optical Noise

- Optical background in full detector ~500 MHz.
- Neutrino events ~40 hits in a ~500 ns window
- Expect 250 noise hits (~15% purity)
- Trigger approach ~5 ns time residuals
- Calibrated using 2-fold coincidences
- Can achieve ~3 noise hits per trigger (>90% purity)



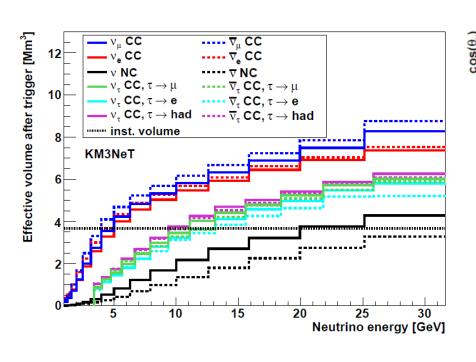


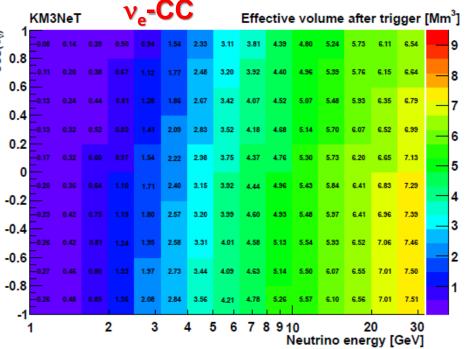


Trigger Performance

- Input a conservative noise rate of 10 kHz uncorr. (500Hz level-two coinc.)
- Achieve a total triggered rate of 59 Hz
- About 70% of events contain a muon (41 Hz)
- High efficiency for v_{μ} and v_{e} above 4 GeV
- Slightly more efficient for up-going neutrinos (Larger PMT coverage)

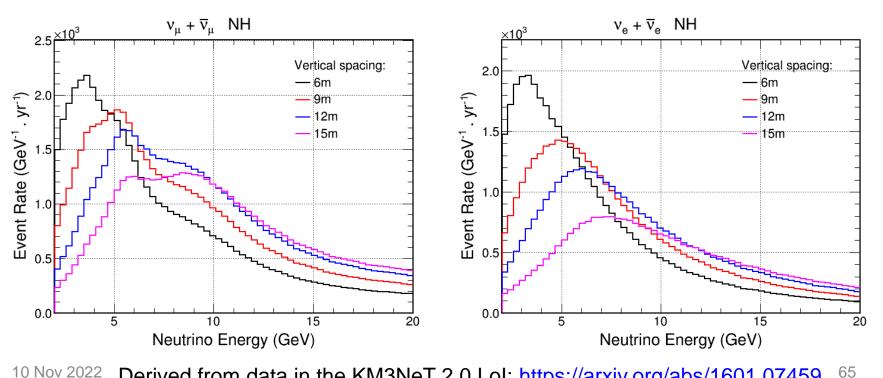
Neutrino Rate: ~ 1 v / 10 min





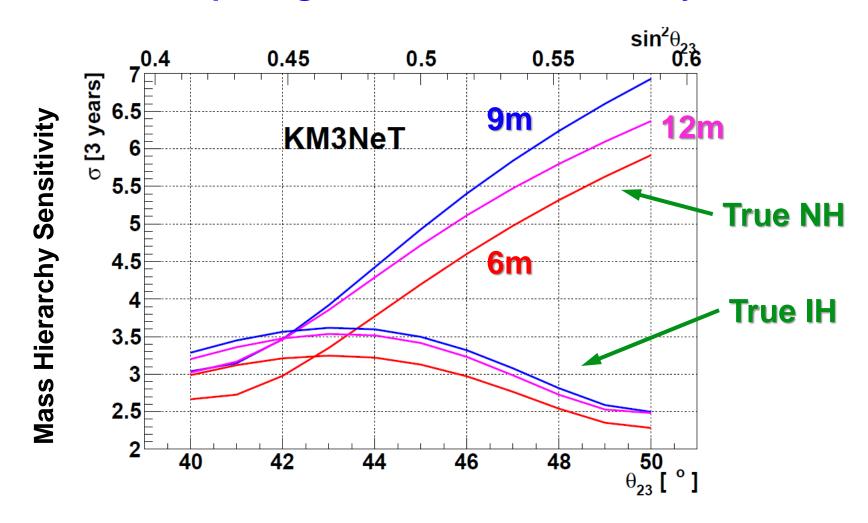
Optimizing DOM Spacing

- Simulated small (6m) vertical spacing detector
- Mask off 1/3, 1/2 or 2/3 of DOMs to emulate larger spacing
- Smaller spacing enables measurement at lower energies
- Larger spacing improves statistics due to larger volume
- Tune spacing to obtain maximum sensitivity to Mass Hierarchy



Simple χ^2 Analysis

9m spacing achieves best sensitivity



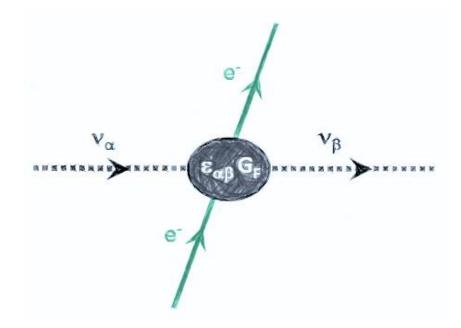
Non-Standard Interactions

NSI Motivation

Arbitrary Perturbation

Non-Standard Interactions (NSI)

$$H_{eff} = U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \frac{\Delta m_{21}^2}{2E} & 0 \\ 0 & 0 & \frac{\Delta m_{31}^2}{2E} \end{bmatrix} U^{\dagger} + V_e \begin{bmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{bmatrix}$$



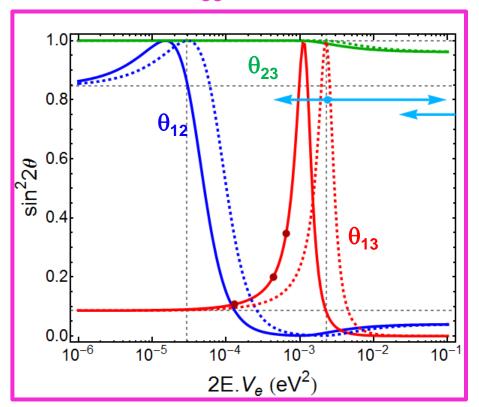
Dimension-6 + Naturalness

$$arepsilon \propto rac{m_W^2}{m_X^2} egin{bmatrix} {
m TeV \, Scale} \ {
m \sim \, 10^{-2}} \end{smallmatrix}$$

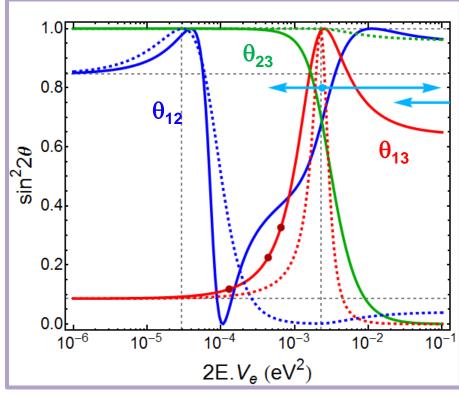
$$\mathcal{L}_{\text{NSI}} = -2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{ff'C} \left(\overline{\nu_{\alpha}} \gamma^{\mu} P_L \nu_{\beta} \right) \left(\overline{f} \gamma_{\mu} P_C f' \right)$$

Resonances w/ NSI

$$\varepsilon_{\rm ee} = 1$$



$$\varepsilon_{\rm e\tau}$$
 = 0.5, $\varepsilon_{\tau\tau}$ = 0.25



$$H_{eff} = U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \frac{\Delta m_{21}^2}{2E} & 0 \\ 0 & 0 & \frac{\Delta m_{31}^2}{2E} \end{bmatrix} U^{\dagger} + V_e \begin{bmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{bmatrix}$$

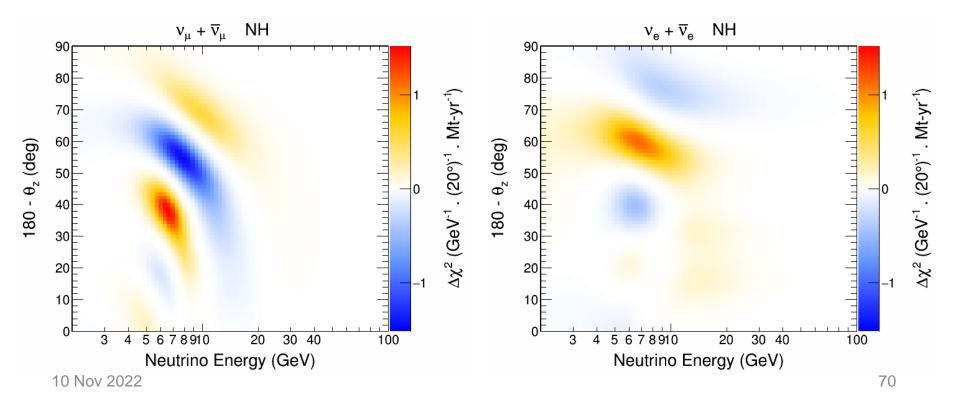
$$U^{\dagger} + V_{e} \begin{bmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^{*} & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^{*} & \epsilon_{\mu\tau}^{*} & \epsilon_{\tau\tau} \end{bmatrix}$$

NSI Phase Space

- Very different from MH for nue
- Sensitivity in both channels, but numu is correlated with MH
- Still under unrealistic assumptions:
 - Perfect flavour selection

$$\varepsilon_{\rm e\tau}$$
 = 0.2, $\varepsilon_{\tau\tau}$ = 0.04

No systematics or nuisance pars.

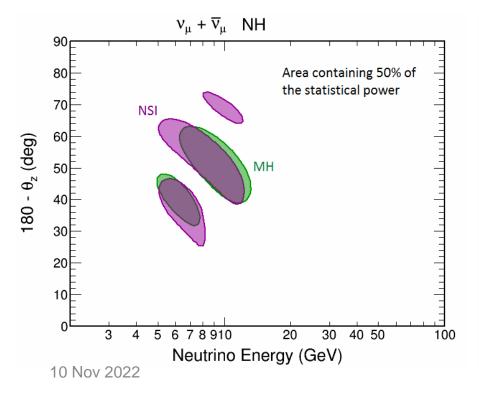


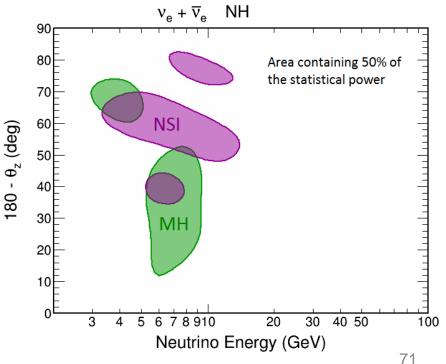
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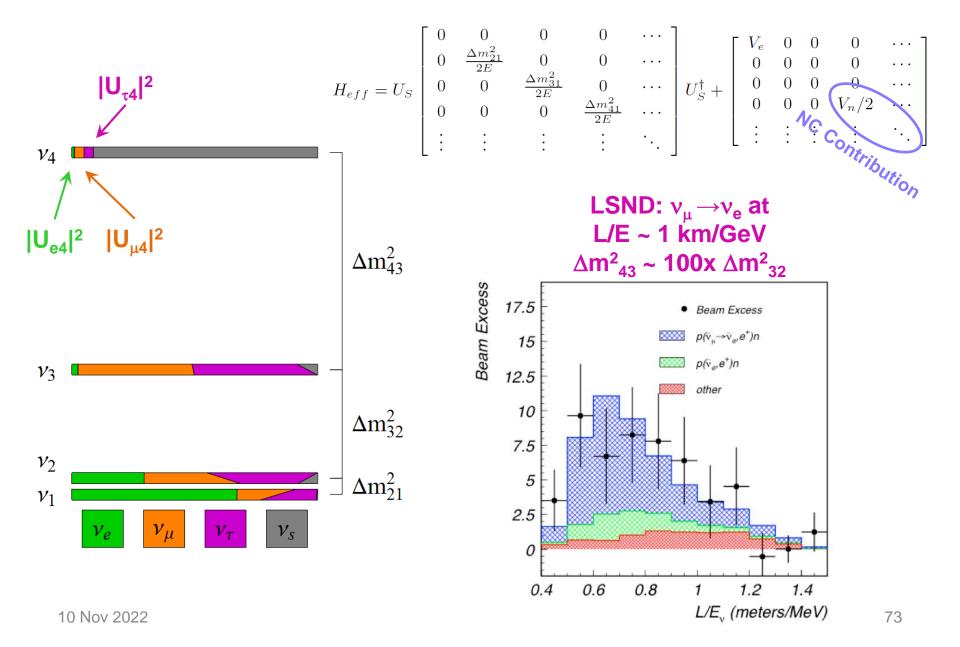
No systematics or nuisance pars.





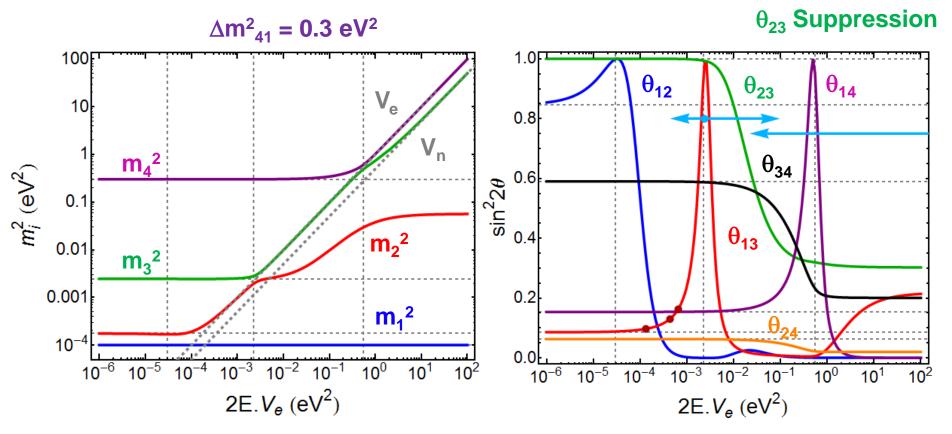
Sterile Neutrinos

Sterile Neutrino Motivation



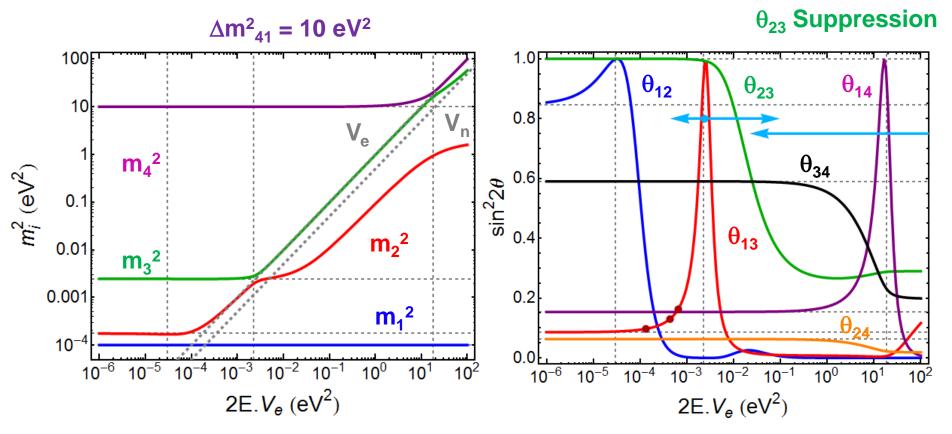
Resonances w/ Steriles

- New resonant peak due to ∆m²₄₁
- Some intermediate behaviour between θ_{13} and θ_{14} resonances
- θ_{23} suppression seems to be fairly independent of Δm^2_{41}



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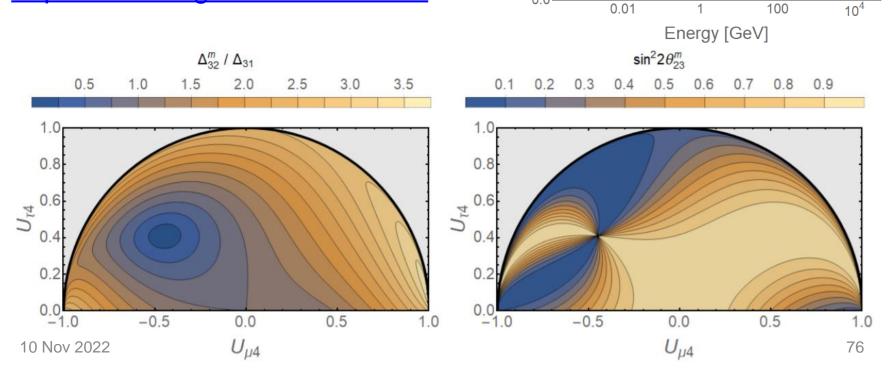
Resonances w/ Steriles

Effective Amplitude

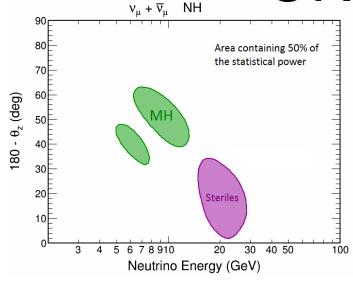
 $4|U_{\mu 1}|^2|U_{\mu 2}|^2$ — $4|U_{\mu 2}|^2|U_{\mu 3}|^2$

 $4|U_{\mu 1}|^2|U_{\mu 3}|^2$ — $4(1-|U_{\mu 4}|^2)|U_{\mu 4}|^2$

- New second order resonance also depends on CP phases
- Very rich structure with interplays between $U_{\mu 4}$ and $U_{\tau 4}$
- New paper out: https://arxiv.org/abs/2107.00344



ORCA Studies



- Strong sensitivity with ν_{μ} channel
- Very different from 3v resonance
- Still under unrealistic assumptions:
 - Perfect flavour selection
 - No systematics or nuisance pars.

