## Core collapse supernovae and gravitational wave emission

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### **Historic SNe**

SN 185

- SN 386
- SN 393

SN 1006

...

SN 1054 SN 1181

SN 1572 (Tycho SN) SN 1604 (Kepler SN) Historical records: Chinese, Japanese, European, Arab...



#### Crab nebula (HST)

### **Historic SNe**

SN 185 SN 386 SN 393 SN 1006 SN 1054 SN 1181 SN 1572 (Tycho SN) SN 1604 (Kepler SN)

...

#### Historical records: Chinese, Japanese, European, Arab...



SN 1572: Tycho Brahe "New star"





### Supernova classification



### Supernova classification



### SN 1987A



David Malin

### SN 1987A

At the LMC (51.4 kpc)

First observed nearby SN in 383 yr



### SN 1987A – Birth of multi-messenger astronomy



Kamiokande II (Japan): 12 antineutrinos IMB (USA): 8 antineutrinos Baksan (Russia): 5 antineutrinos



### Gravitational wave era

#### 2015: First BBH detection



2017: First BNS merger + kilonova

2019: First BH-NS merger

2015-20: 90 GW events detected (O1-O3)



### Gravitational wave era



O4 started on May 24 with improved sensitivity

What are the chances of detecting a supernova? What could be learn from that detection?

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Time (sec)

2017: First BNS merger + kilonova

2019: First BH-NS merger

2015-20: 90 GW events detected (O1-O3)



### Part 1 – Core-collapse supernova mechanism

### Part 2 – Gravitational waves from CCSNe

#### Outline:

1.1 Evolution of massive stars

- 1.2 The standard supernova engine
- **1.3 Numerical simulations**

1.4 Magneto-rotational explosions

### PART 1

# CORE-COLLAPSE SUPERNOVA MECHANISM

# 1.1 Evolution of massive stars

### Stellar evolution



Thermonuclear combustion in phases creates an onion-like structure



Iron is the element with highest nuclear binding energy and the end of the fusion reaction chain

For a review see e.g. Woosley, Heger & Weaver 2002

### What determines the final fate of a star?

### Initial conditions at birth (ZAMS<sup>\*</sup>)

- Mass (M<sub>ZAMS</sub>)
- Rotation
- Metallicity (Z/Z $_{\odot}$ )
- Binarity
- \* ZAMS = Zero Age Main Sequence

# Core-collapse supernovae are produced in stars forming <u>iron cores</u>\*\*

\*\* It is also possible for O-Ne-Mg cores



### Kippenhahn diagram

Stellar structure vs time  $(10-30 \text{ M}_{\odot})$ 

Source: A. Heger https://2sn.org





### Metallicity and mass loss



Massive stars loss mass through stellar winds

#### Mass loss rates:

- Large uncertainties
- rate ∝ opacities ∝ metallicity

### Iron-core formation – lower mass limit



### Iron-core formation – upper mass limit

At the red-supergiant phase (He  $\rightarrow$  C burning):

$M_{He}$ <40 $M_{\odot}$ *	40-65 M <sub>☉</sub>	65-130 M <sub>☉</sub>	$>130~M_{\odot}$
T<2x10 <sup>9</sup> K No pair instability	T>2x10 <sup>9</sup> K → Pair instability: e <sup>-</sup> -e <sup>+</sup> pair production (energy goes into pairs → loss of pressure support) Runaway collapse → rapid C+O burning Gravity vs burning rate		
Normal evolution until iron core formation	Partial mass ejection + Fe core formation	Complete disruption of the star Pair Instability	Direct black hole formation
	Pulsating Pair Instability supernovae + CCSNe	supernova No iron core or black hole formation	
$M_{pre-SN} < 40 M_{\odot}$	$M_{pre-SN} < 40 M_{\odot}$	PI mass gap	M <sub>BH</sub> > 130 M <sub>☉</sub>

\* There are

uncertainties: 32-65  $M_{\odot}$ 

### LIGO-Virgo BH mergers in the PI mass gap





Inside the PI mass gap! (65-130 M<sub>☉</sub>)

<65 M<sub>☉</sub> : 0.3% <50 M<sub>☉</sub> : 0.1% Abbott et al 2020

### Rotation

Main sequence stars rotate rapidly (v<sub>surface</sub>~200 km/s, Fukuda 1982)

Rotation  $\rightarrow$  magnetic field generated by dynamos (Spruit 2002)  $\rightarrow$  magnetic torques  $\rightarrow$  rigid rotation



#### Supergiant phase

- Expansion of the envelope
  - + angular momentum transport + winds
  - ightarrow loss of angular momentum
  - ightarrow slow rotating iron cores
- Rotational mixing
  - Accelerated burning
  - Red→blue supergiant
  - Loss of H envelope  $\rightarrow$  produce type Ib/Ic SN

### Rotation

Very fast rotating stars at birth (v<sub>surface</sub>~400 km/s)

Very efficient rotational induced mixing  $\rightarrow$  chemically homogeneous evolution (efficient burning of H)

- No supergiant phase
- No hydrogen envelope (bare He cores, Wolf-Rayet-like stars)
   → may produce type Ib/Ic SN
- At low metallicity (pop III) → fast rotating Fe cores (Yoon & Langer 2005, Woosley & Heger 2006)
   → progenitors of long GRBs?



Yoon et al 2012

### Stars in binaries

~70% of massive stars are in interacting binaries (Sana et al 2013)

Large impact in stellar evolution:

- Envelope stripping during CE phase
- Mass accretion
- Extreme cases: ultra-stripped He cores (Tauris et al 2015)
- Binary mergers → highly magnetized stars (Schneider et al 2019)



### Iron core stability

Iron core: supported by electron degeneracy pressure

Maximum mass – Chandrasekhar mass limit:

$$\frac{M_{\rm Ch}}{M_{\odot}} \approx 1.03 \left(\frac{Y_e}{0.42}\right)^2 \left[1 + 0.15 \left(\frac{kT}{1\,{\rm MeV}}\right)^2\right]$$

C+O White dwarf:  $Y_e$ ~0.5, kT << 1MeV Cold iron core:  $Y_e$ ~0.42 Realistic iron core:  $Y_e$ ~0.42, kT>1Mev →  $M_{Ch} = 1.46 M_{\odot}$ →  $M_{Ch} = 1.03 M_{\odot}$ →  $M_{Ch} \sim 1.4 M_{\odot}$  (1.2-2  $M_{\odot}$ )



Y<sub>e</sub> : electron fraction = electrons per baryon

 $M_{Fe}>M_{CH} \rightarrow$  core becomes unstable to radial perturbations  $\rightarrow$  collapse

### Iron core properties

- ... at the onset of collapse
- Mass: 1.2-2.0  $M_{\odot}$
- Radius: ~3000 km
- Central density: ~10<sup>10</sup> g cm<sup>3</sup>
- Temperature: ~10<sup>10</sup> K
- Compactness parameter @ 2.5  $M_{\odot}$

 $\xi_M = \frac{M/M_{\odot}}{R(M_{\text{bary}} = M)/1000 \,\text{km}} \Big|_{t=t_{\text{bounce}}}$ 

- Small  $\xi_M$ : low densities outside the Fe core
- Large  $\xi_M$ : high densities outside the Fe core
- Properties of the Fe core:
  - Depend on: initial mass, metallicity, rotation ...
  - Non-monotonic dependence!



# 1.2 The standard supernova engine (neutrino-driven explosions)

### Core collapse and bounce

- Collapse acceleration
  - Electron captures decrease electron pressure
  - Photo-disintegration of Fe nuclei cools the core
- Inner region collapses supersonically
  - Electron captures
  - Neutrino/antineutrino production
- $\rho^{\sim}10^{12} \text{ g/cm}^3$ : neutrinosphere
  - Trapped neutrinos
- $\rho^{\sim}10^{13}$  g/cm<sup>3</sup>: neutrinos in thermal equilibrium
- ρ~2x10<sup>14</sup> g/cm<sup>3</sup>: nuclear matter density
  - Phase transition from nuclei to free nucleons
  - Nuclear force dominant interaction
  - − Equation of state (EOS) stiffens ( $\Gamma_1 \sim 4/3 \rightarrow 2.5$ )

#### Shock formation (bounce)



### Core collapse - bounce



 $M_{envelope} > M_{core}$ 

### Proto-neutron star formation

- Mass inside the shock at bounce :  $\sim 0.5 M_{\odot}$ 
  - Depends weakly on EOS (sound speed) and neutrino interactions.
  - Barely depends on progenitor structure
- Inner core: unshocked cold material (s~1 k<sub>B</sub>/nucleon)
- Hot PNS envelope ( $s^5 k_B$ /nucleon)



ALL COLLAPSING IRON CORE BOUNCE AND FORM A PNS

IT IS NOT POSSIBLE TO HAVE DIRECT BLACK HOLE FORMATION

Liebendörfer et al 2005



### Proto-neutron star formation

- Mass inside the shock at bounce : ~0.7  $M_{\odot}$ 
  - Depends weakly on EOS (sound speed) and neutrino interactions.
  - Barely depends on progenitor structure
- Inner core: unshocked cold material (s~1 k<sub>B</sub>/nucleon)
- Hot PNS envelope (s~5 k<sub>B</sub>/nucleon)



Buras et al 2006

#### Shock stalls at ~100 km

- Continuous infall of material: Mass accretion rate depends on progenitor structure
- Disintegration of nuclei falling through the shock:
   Iron binding energy ~8.8 MeV/nucleon



x-sphere

t= 3.0 ms

shock

10<sup>14</sup>

10<sup>12</sup>

Liebendörfer et al 2005

### Energetics



# 10<sup>53</sup> erg!



### **PNS** neutrino emission



Details on neutrino interactions: Mezzacappa & Bruen 1993, Rampp & Janka 2002



### Neutrino energy deposition

Gain radius:

neutrino energy absorption = emission

Gain layer:

net energy deposition





#### t = 1 ms

Hammer et al 2010
3D simulation
15.5 M<sub>☉</sub> progenitor
color= entropy
Blue surface=shock front

### Neutrino energy deposition

Energy deposition for radial flows (spherical symmetry)

$$au_{
m adv} = rac{M_{
m g}}{\dot{M}}, \hspace{1cm} ext{advection time-scale}$$
 $au_{
m heat} = rac{|E_{
m g}|}{\dot{Q_{
m v}}}. \hspace{1cm} ext{heating time-scale}$ 

 $\dot{Q}$  = total heating rate in the gain layer  $\dot{M}$  = accretion rate

 $\tau_{\rm adv}/\tau_{\rm heat} \gtrsim 1$ 





### Neutrino luminosity



#### Mean neutrino energy





If ~1% of the neutrino energy is deposited behind the shock Shock expansion Ejection of all matter outside the shock Core-Collapse Supernova PNS cools down into (CCSN) explosion a neutron star (neutrino-driven SN explosion)



### ... or black hole formation





\* In some cases you may have BH formation and SN (see e.g. Chan et al 2020)

### Low mass progenitors

8-9 M<sub>☉</sub> : Ne-O-Mg cores → electron capture SN (ECSN) 9-12 M<sub>☉</sub> : Fe cores → Weak supernovae

Low mass progenitors explode easily and produce weak SN explosions and low mass NS

#### Stellar structure in low mass progenitors



### Standing Shock Accretion Instability (SASI)

Unstable advection-acoustic cycle (Bondin et al 2003, Foglizzo et al 2006) Oscillating low-l modes (l=1, 2)

For I=1 (sloshing modes):

$$T_{\rm SASI} = 19 \,\rm{ms} \left(\frac{R_{\rm sh}}{100 \,\rm{km}}\right)^{3/2} \ln\left(\frac{R_{\rm sh}}{R_{\rm PNS}}\right)$$

SASI does not appear generically. Appropriate conditions are necessary.



Hanke et al 2013 3D simulation 27 M<sub>☉</sub> progenitor color= entropy Blue surface=shock front 200 km

SWASI: Swallow water analogue of SASI T. Foglizzo, CEA-Saclay

101.10

### Role of instabilities

Convection / SASI  $\rightarrow$  non-radial flows

- Longer advection time in the gain layer
   → more energy absorbed
- More efficient neutrino-energy transfer
   → increased luminosity
- Extra turbulent pressure behind the shock

Non-radial flows are critical for most SN explosions



### **Perturbation-aided explosions**

#### **Burning at O and Si shells**



Abundances of combustible elements

#### Si layer at the onset of the collapse (18 $M_{\odot}$ , 3D simulation)



### Explodability

#### Which progenitors produce SN explosions vs BHs $\rightarrow$ complex answer (no single mass threshold)



### Fate of massive stars

(approximate limits for non-rotating solar metallicity isolated stars)



# **1.3 Numerical simulations**

### Early numerical simulations - 60s

Colgate & White 1965, Arnett 1966, Wilson 1971 ...

#### THE HYDRODYNAMIC BEHAVIOR OF SUPERNOVAE EXPLOSIONS\*

#### STIRLING A. COLGATE AND RICHARD H. WHITE Lawrence Radiation Laboratory, University of California, Livermore, California Received June 29, 1965

#### ABSTRACT

We regard the release of gravitational energy attending a dynamic change in configuration to be the primary energy source in supernovae explosions. Although we were initially inspired by and agree in detail with the mechanism for initiating gravitational instability proposed by Burbidge, Burbidge, Fowler, and Hoyle, we find that the dynamical implosion is so violent that an energy many times greater than the available thermonuclear energy is released from the star's core and transferred to the star's mantle in a supernova explosion. The energy released corresponds to the change in gravitational potential of the unstable imploding core; the transfer of energy takes place by the emission and deposition of neutrinos.

### Early numerical simulations - 80s

REVIVAL OF A STALLED SUPERNOVA SHOCK BY NEUTRINO HEATING

HANS A. BETHE Laboratory of Nuclear Studies, Cornell University

AND JAMES R. WILSON Lawrence Livermore National Laboratory Received 1984 March 23: accepted 1985 February 5 "As the legend goes, it was Jim Wilson who made this discovery by accidentally forgetting to stop one of his simulations, allowing it to run much longer than he had intended" (Couch 2017)



Jim Wilson's computer running for too long

Jim Wilson going somewhere else



### Early numerical simulations - 80s



# Current numerical codes

ELEPHANT (Basel)( Käppeli et al 2011) fGR1 (Kuroda et al 2012) SPHYNX (Fryer & Warren 2002)

FORNAX (Princeton)

...



CHIMERA (Oak Ridge)

C15-3D 400 ms





Melson et al 2015 \_\_\_\_\_

ΧZ

### Numerical simulations - uncertainties

GR)

Physical unknowns	Physical approximations	Numerical approximations
<ul> <li>Equation of state (EOS)</li> </ul>	<ul> <li>Neutrino interaction rates</li> <li>Neutrino</li> </ul>	<ul> <li>Dimensionality (1D, 2D, 3D)</li> </ul>
<ul> <li>Progenitor structure</li> </ul>	transport (leakage, FMT, IDSA, M1, ray-by-ray+, MGFD, Boltzmann) • Number of	<ul> <li>Grid discretization (finite differences, spectral methods, discontinuous Galerking)</li> </ul>
	<ul><li>neutrino species</li><li>Gravity</li></ul>	<ul> <li>Neutrino transport (grid based, MC)</li> </ul>
	treatment (Newtonian, pseudo- Newtonian/TOV, XCFC,	Energy bin discretization

### Successful explosions

Successful explosions for a variety of progenitors

Reviews: Janka et al. 2007; Janka 2012; Burrows 2013; Müller 2016; Burrows & Vartanyan 2020



#### Mean shock radius

### Explosion energy

Explosion energy:

- Evolves with time
- 0.01-2 x 10<sup>51</sup> erg
- Higher energies typically for M>16M $_{\odot}$
- Thermonuclear combustion of outer layers add additional energy → +0.5-1 x10<sup>51</sup> erg (Witt et al 2021)

Explosion energy and ejected mass match observations of most common SNe





### **Compact remnant properties**

PNS mass  $\rightarrow$  NS mass: 1.3-2.3 M $_{\odot}$ 

Non rotation progenitors can produce

- pulsar-like rotating NS with periods ~100-8000 ms (Wongwathanarat et al 2013)
- NS kick velocities ~1000 km/s (Wongwathanarat et al 2013, Janka 2017)



Wongwathanarat et al 2013

### Everything explained?

Type Ic-BL (broad line) or hypernovae

- Broad lines → fast expanding ejecta ~20 000 km/s
- Up to 10<sup>53</sup> erg (isotropic energy)
- Indications of beaming
- Associated to long GRBs

- Large asymmetries
- Additional energy source
- Black hole + accretion disk (jet)

- Magneto-rotational explosions
- Collapsar model of GRBs



# 1.4 magneto-rotational explosions

### Magnetic field amplification

- Radial compression (magnetic flux freezing) ~ x100 1000
- Winding up ( $\Omega$ -term)  $\rightarrow$  linear amplification (slow)
- Convection, SASI and turbulence (α-term) → slow (~1s) and limited (x100) (Endeve et al 2010, 2012, Obergaulinger et al 2015)
- <u>Small-scale turbulent dynamo ( $\alpha^2$  dynamo)</u>
- <u>Large-scale turbulent dynamo (α-Ω dynamo)</u> (Thompson & Duncan 1992, 1993)
- <u>Magneto-rotational Instability (MRI)</u>

- Poorly understood

### Magneto-rotational instability (MRI)

- Instability of differentially rotating magnetized fluids (Velikhov 1959, Chandrasekhar 1960, Balbus & Hawley 1991)
- Simplest case: vertical field + differential rotation

• Instability criterion  $\rightarrow$ 

• Growth rate  $\rightarrow$ 

 $\partial_r \Omega^2 < 0$  $\gamma_{MRI} \sim \Omega \sim 1-10 \text{ ms}$  $\lambda_{\text{MRI}} \approx 20 \left( \frac{B_{\text{PNS}}}{10^{11} \text{G}} \right) \left( \frac{1 \text{ms}}{P} \right) \text{cm}$ 



• Size of channel modes  $\rightarrow$ 

### MRI

Field amplification limited by shear instabilities (KHI) (Rembiasz et al 2016)

Efficient generator of turbulence



### Dynamos





### Dynamos

Strong indication that magnetar-like magnetic fields are possible

#### MRI-driven dynamos



#### Convective dynamo



Reboul-Salze et al 2020

Raynaud et al 2020

### Magneto-rotational explosions

Mösta el al 2014



8000 km 20000 km

Obergaulinger & Aloy 2021

Asymmetric explosions

Fast moving ejecta

Magnetar formation