

FlarePredict: Predicting solar flares, sandpile models, and machine learning

Antoine Strugarek

CEA/Saclay, DAp-AIM

With A.S. Brun, P. Charbonneau, H. Lamarre, C. Thibeault,
B. Tremblay

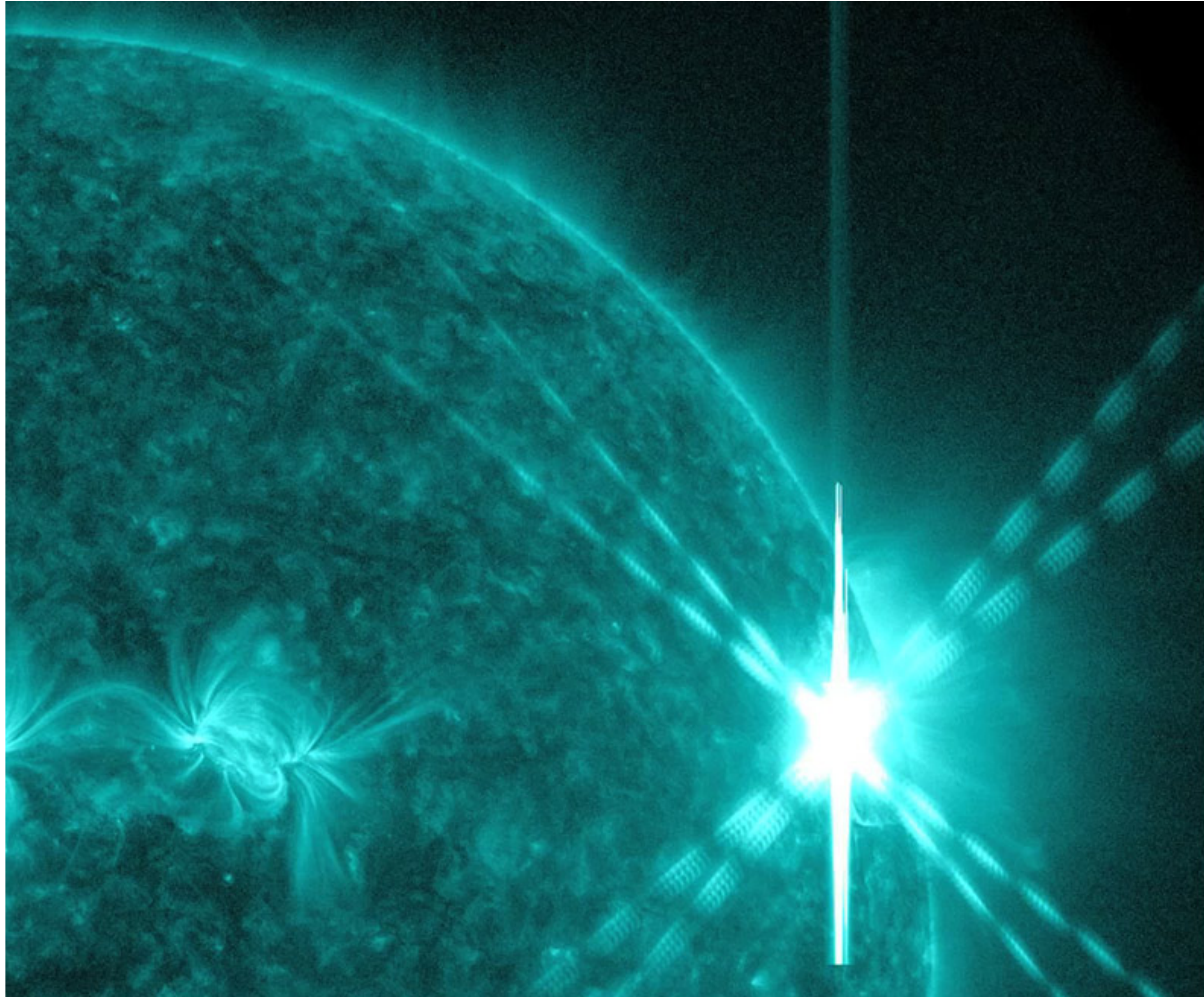


Projet Emergent



Université 
de Montréal

What are solar flares?

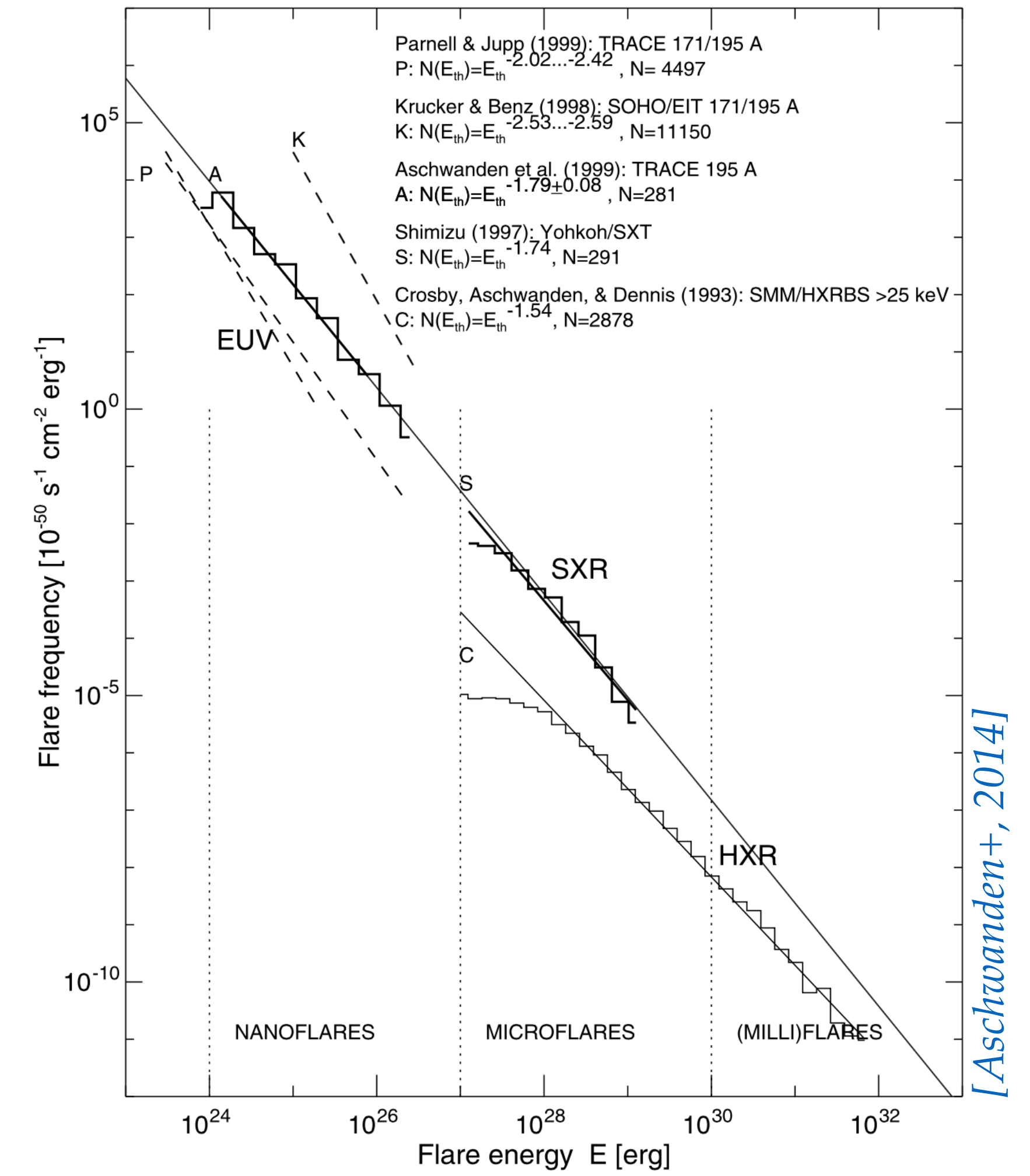
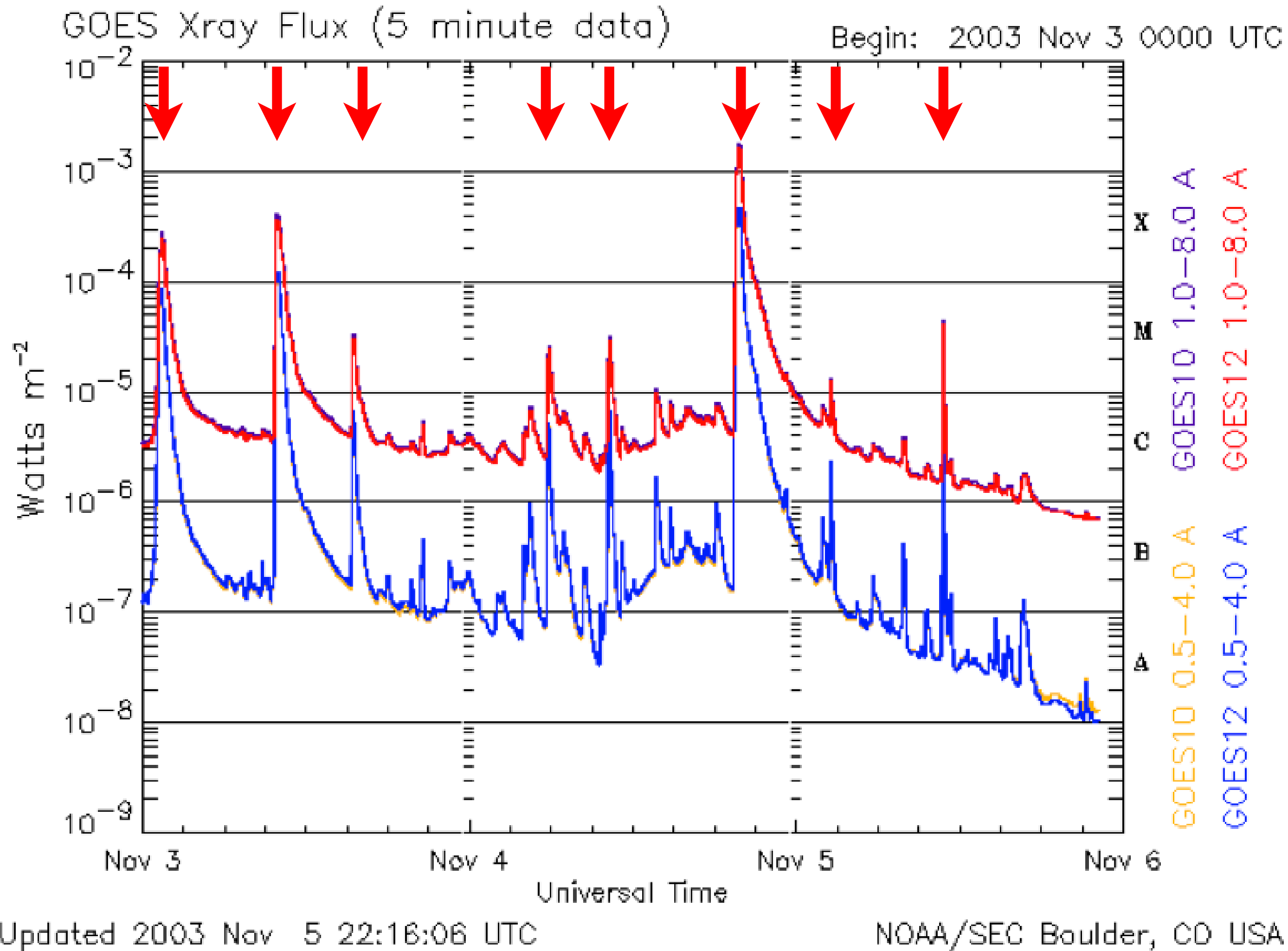


Impulsive ($< 1\text{s}$) solar events, visible in X-rays, UV, extreme UV...

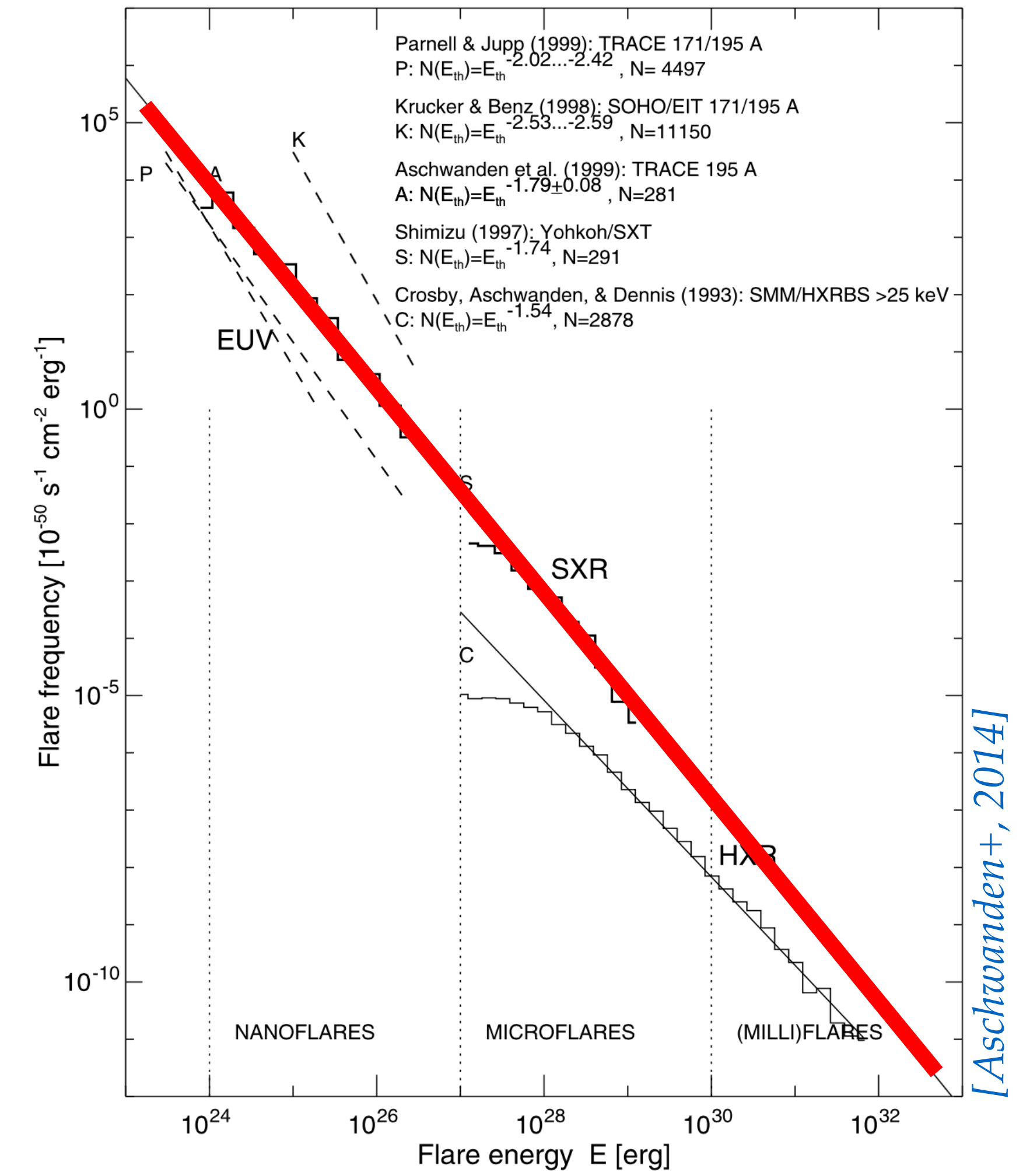
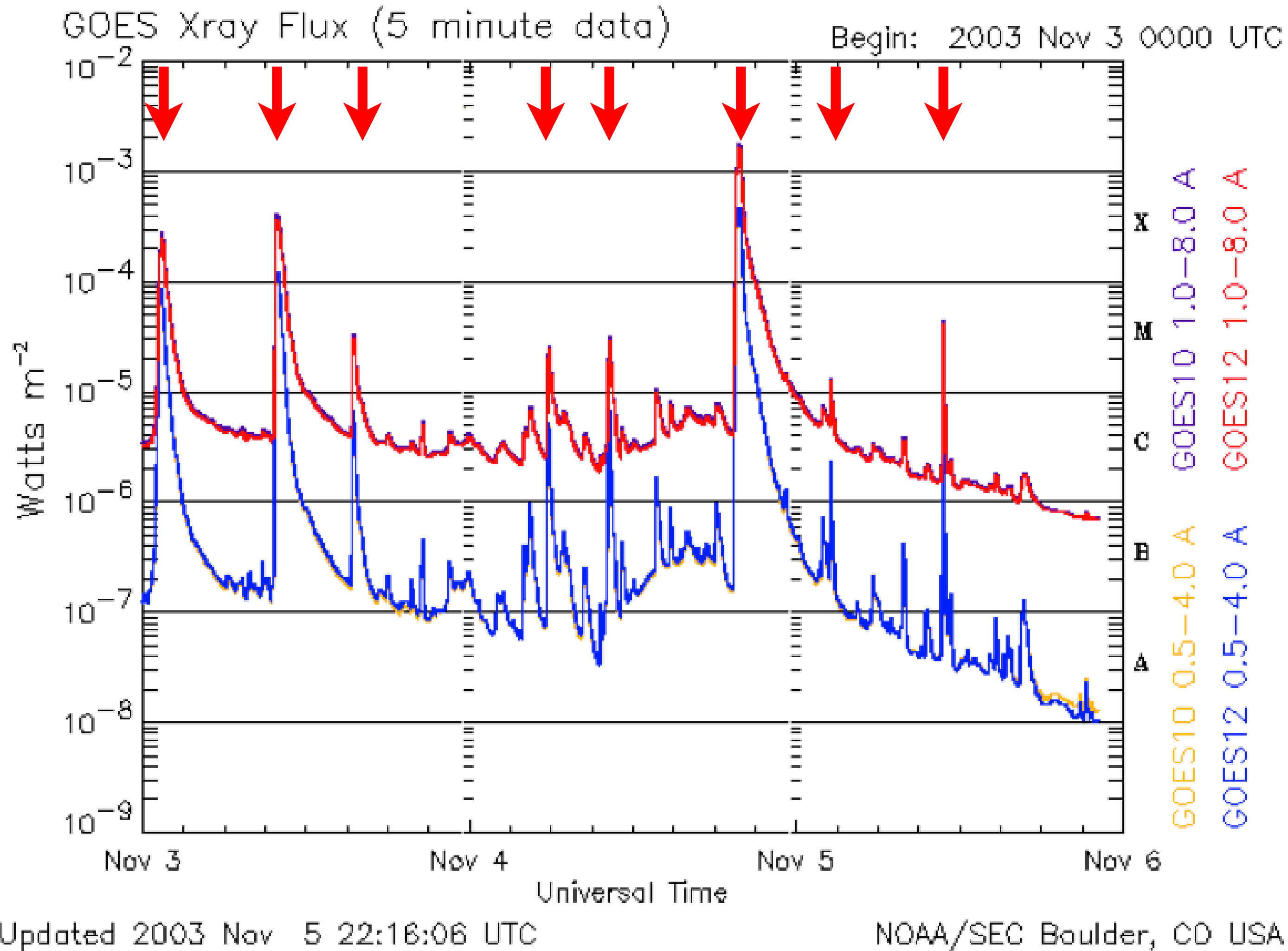
Originate from magnetic structures in the low atmosphere of the Sun

Can reach energies of typically a few 10^{32} erg

Detection and classification of solar flares in X-rays



Detection and classification of solar flares in X-rays



Motivation: predicting solar flares still gives us a hard time

THE ASTROPHYSICAL JOURNAL, 829:89 (32pp), 2016 October 1

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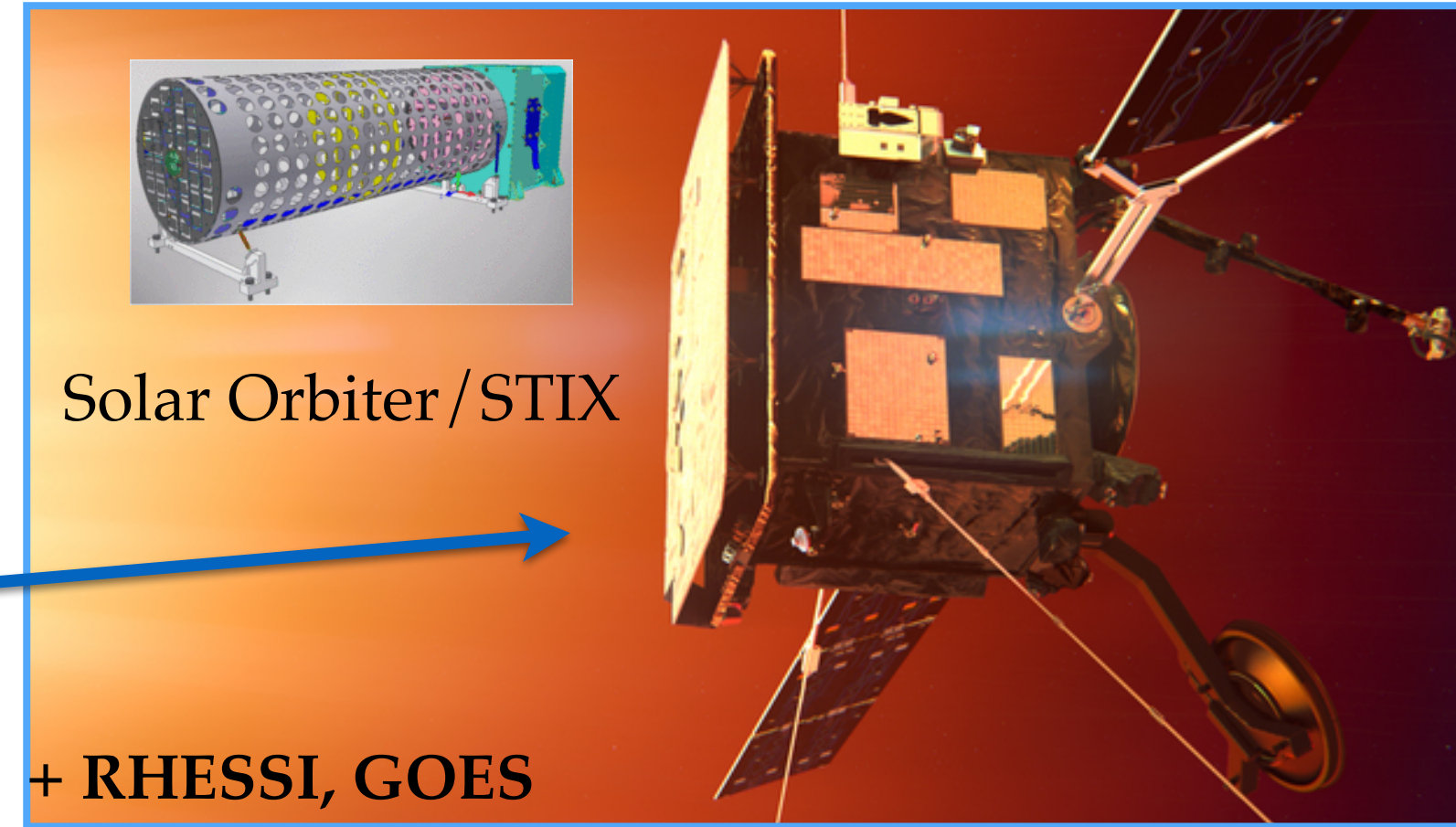
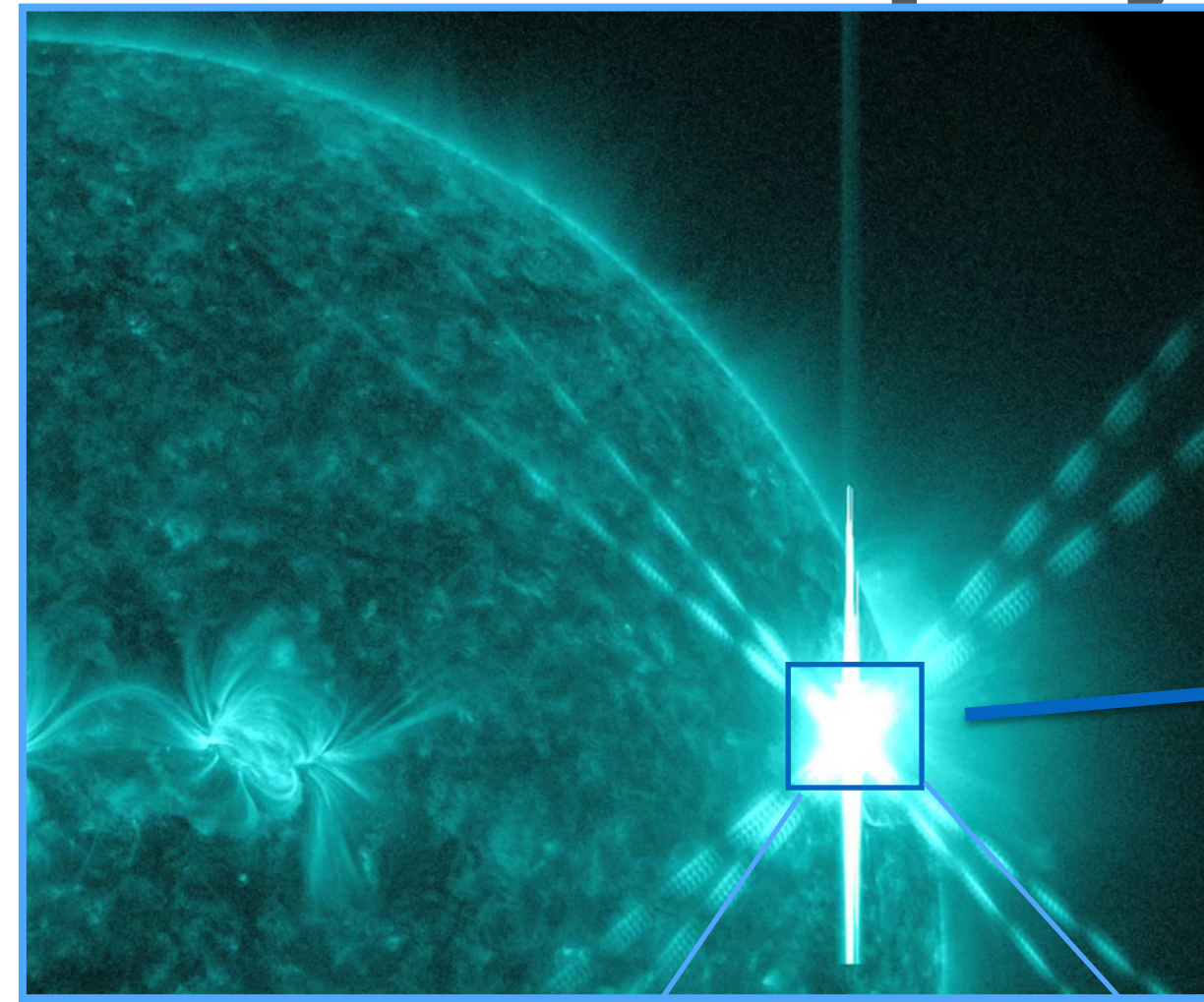
A COMPARISON OF FLARE FORECASTING METHODS. I. RESULTS FROM THE “ALL-CLEAR” WORKSHOP

G. BARNES¹, K. D. LEKA¹, C. J. SCHRIJVER², T. COLAK³, R. QAHWAJI³, O. W. ASHAMARI³, Y. YUAN⁴, J. ZHANG⁵, R. T. J. MCATEER⁶,
D. S. BLOOMFIELD^{7,14}, P. A. HIGGINS⁷, P. T. GALLAGHER⁷, D. A. FALCONER^{8,9,10}, M. K. GEORGOULIS¹¹, M. S. WHEATLAND¹²,
C. BALCH¹³, T. DUNN¹, AND E. L. WAGNER¹

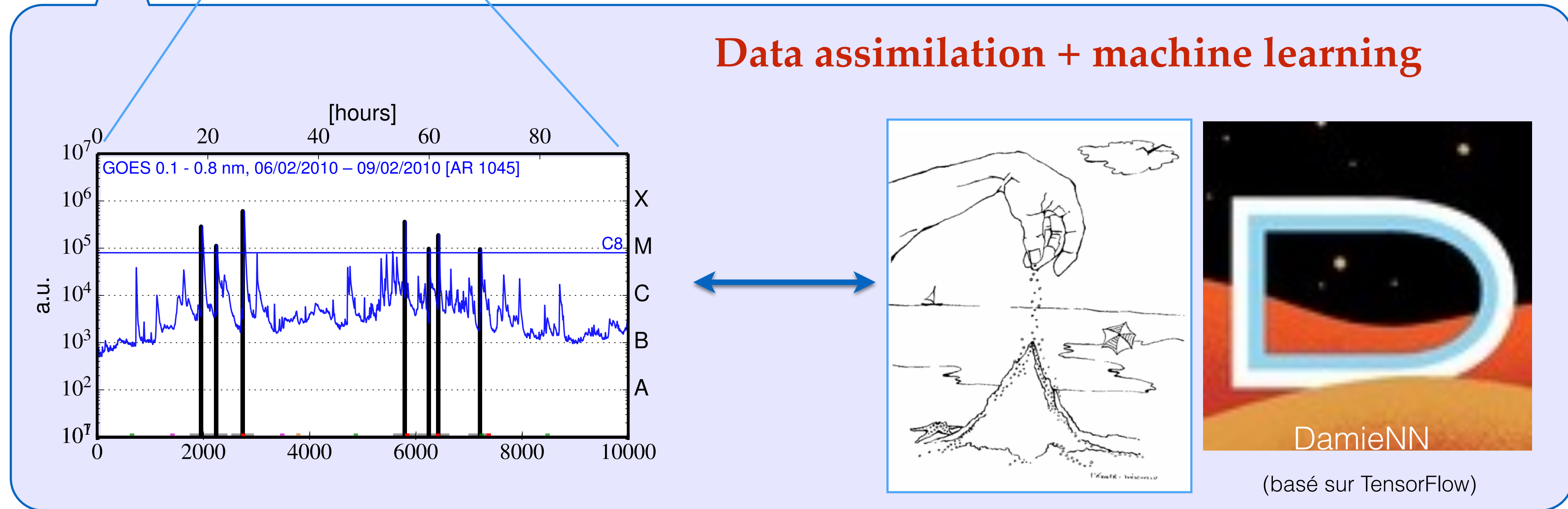
When a comparison was made in this fashion, no one method clearly outperformed all others, which may in part be due to the strong correlations among the parameters used by different methods to characterize an active region. For M-class flares and above, the set of methods tends toward a weakly positive skill score (as measured with several distinct metrics), with no participating method proving substantially better than climatological forecasts.

| Parameter/ Method | Statistical Method | C1.0+, 24 hr | | M1.0+, 12 hr | | M5.0+, 12 hr | |
|----------------------------|-----------------------|--------------|-------|--------------|-------|--------------|-------|
| | | ApSS | BSS | ApSS | BSS | ApSS | BSS |
| B_{eff} | Bayesian | 0.12 | 0.06 | 0.00 | 0.03 | 0.00 | 0.02 |
| ASAP | Machine | 0.25 | 0.30 | 0.01 | -0.01 | 0.00 | -0.84 |
| BBSO | Machine | 0.08 | 0.10 | 0.03 | 0.06 | 0.00 | -0.01 |
| $WL_{\text{SG}2}$ | Curve fitting | N/A | N/A | 0.04 | 0.06 | 0.00 | 0.02 |
| NWRA MAG 2-VAR | NPDA | 0.24 | 0.32 | 0.04 | 0.13 | 0.00 | 0.06 |
| $\log(\mathcal{R})$ | NPDA | 0.17 | 0.22 | 0.01 | 0.10 | 0.02 | 0.04 |
| GCD | NPDA | 0.02 | 0.07 | 0.00 | 0.03 | 0.00 | 0.02 |
| NWRA MCT 2-VAR | NPDA | 0.23 | 0.28 | 0.05 | 0.14 | 0.00 | 0.06 |
| SMART2 | CCNN | 0.24 | -0.12 | 0.01 | -4.31 | 0.00 | -11.2 |
| Event Statistics, 10 prior | Bayesian | 0.13 | 0.04 | 0.01 | 0.10 | 0.01 | 0.00 |
| McIntosh | Poisson | 0.15 | 0.07 | 0.00 | -0.06 | N/A | N/A |

The FlarePredict project



Towards real time prediction



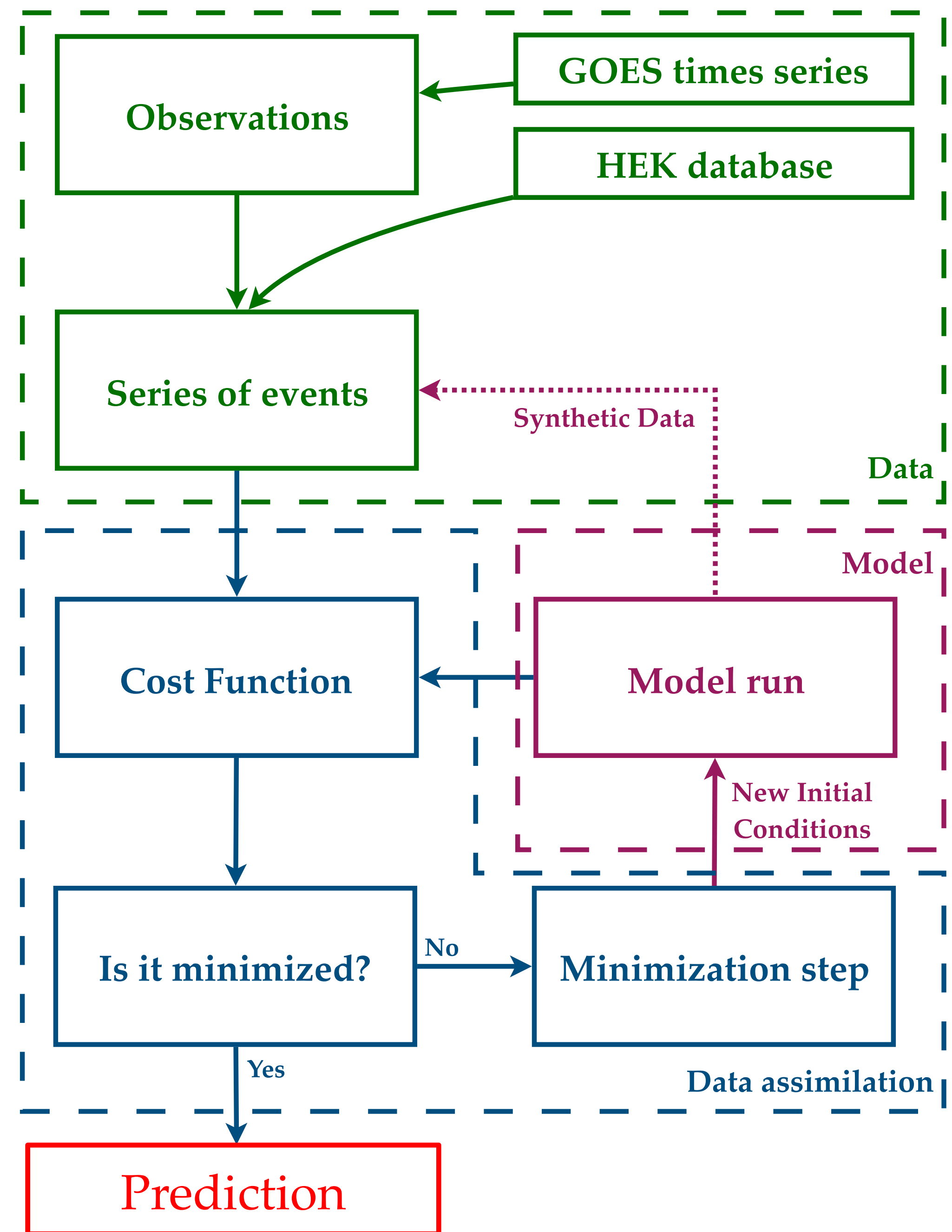
Present FlarePredict workflow

Data: construction of a discrete time-series of events

Model: sandpile models

Data assimilation: simulated annealing

Prediction of large events



Present FlarePredict workflow

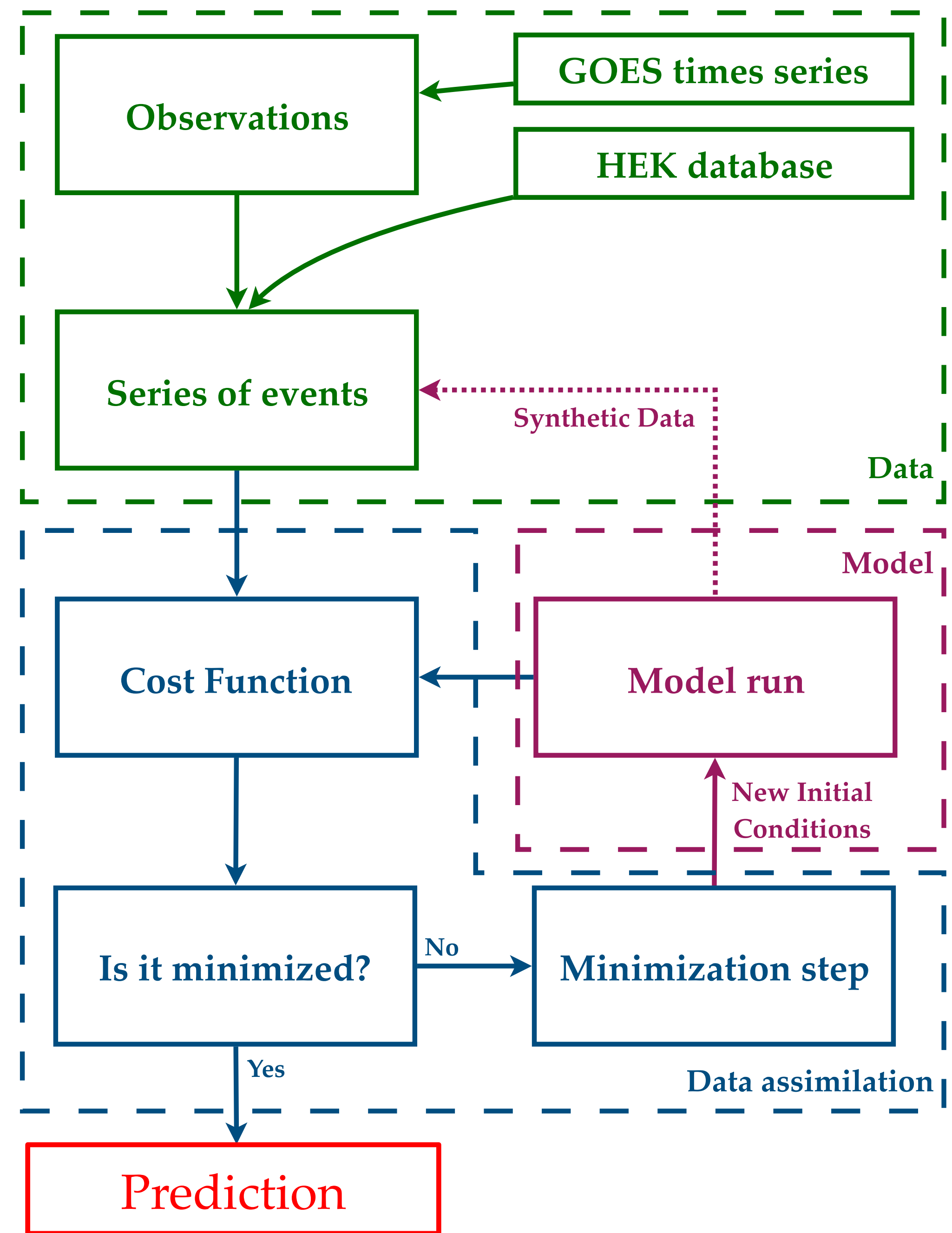
Data: construction of a discrete time-series of events

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Too slow for very large parameter exploration

Prediction of large events



Present FlarePredict workflow

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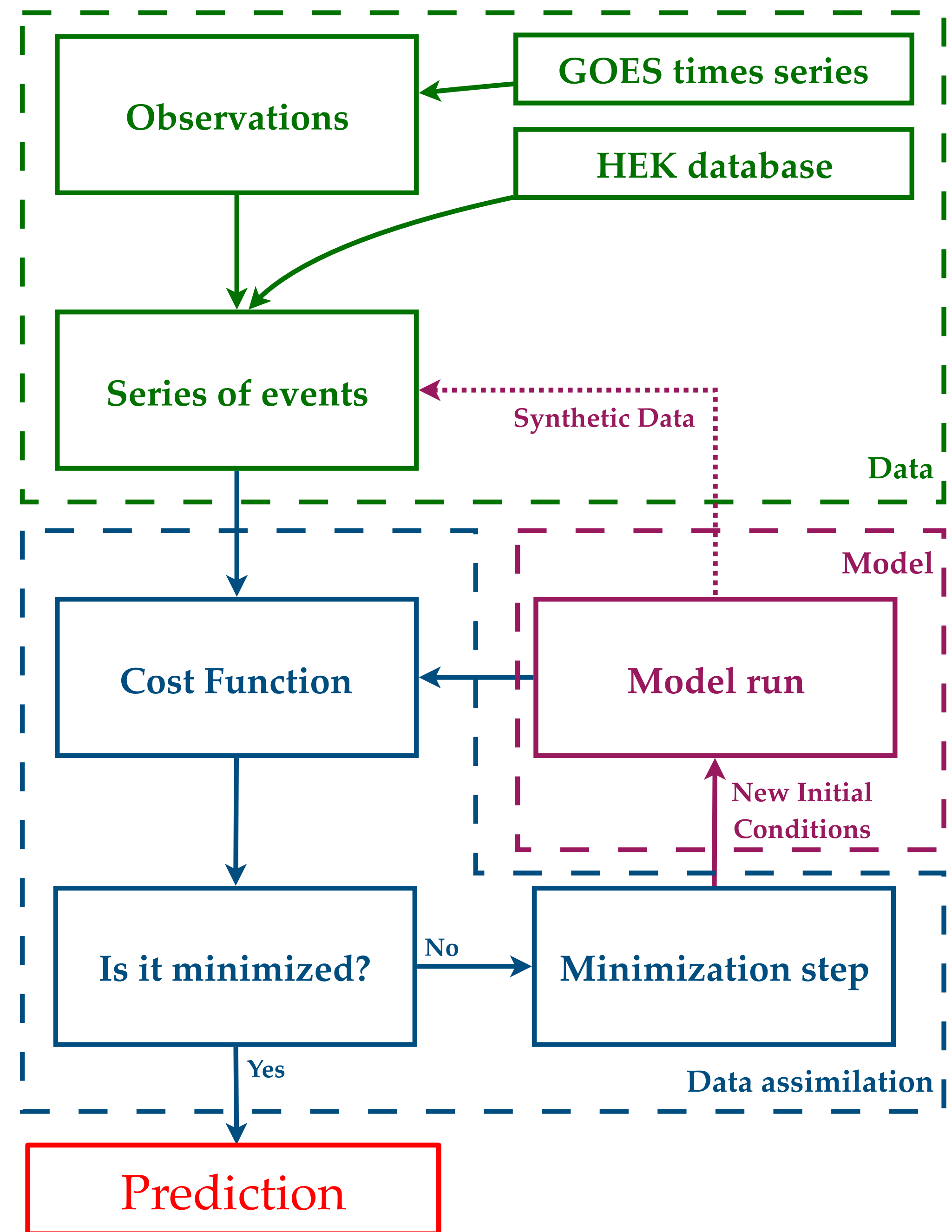
Model: sandpile models

Data assimilation: simulated annealing

Too slow for very large parameter exploration

Prediction of large events

Not proved to work so far



FlarePredict tasks

Task I

Goal: speed-up assimilation process

Mean: machine learning on GPUs

Status:

- workflow redeveloped in python/keras
- Arrival of GPU server very late (received on November 2022, but machine is not booting, waiting for replacement parts...)
- Visit from H. Lamarre (Spring 2022): tests with simpler approach (not successful)

Task II

Goal: proof-of-concept for real prediction

Mean: ensemble forecasts

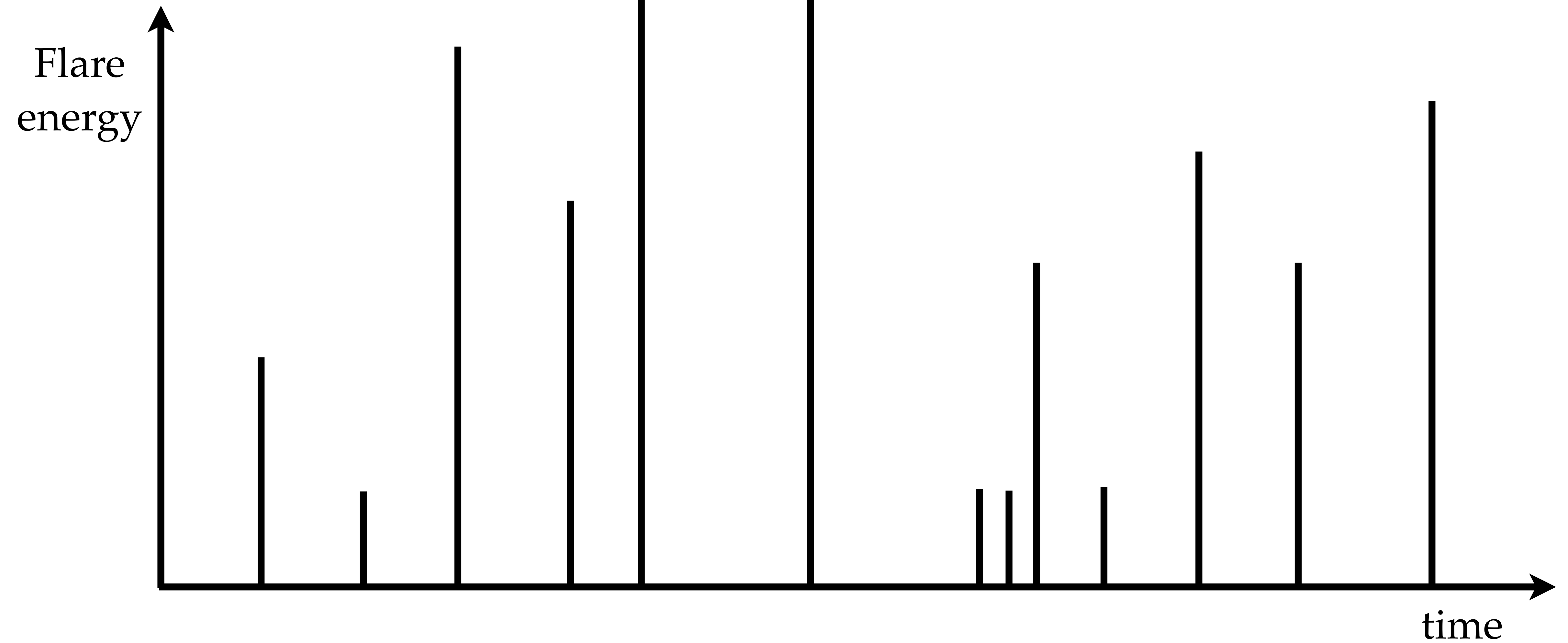
Status:

- Predictions proved to be possible for both synthetic and real data!
- Current tests (H. Lamarre): largest sample of real solar flares

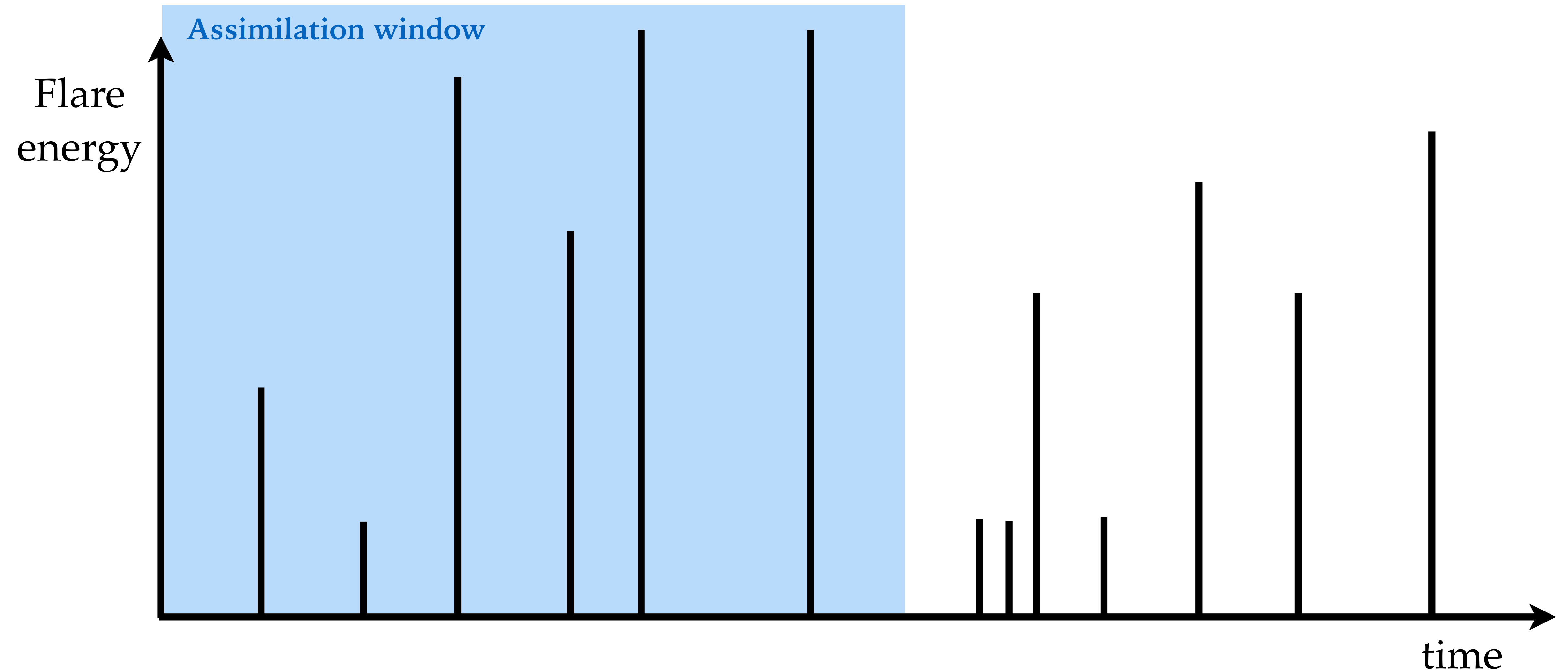
Thibeault + 2022, Solar Physics, 297

Lamarre + 2022, in prep

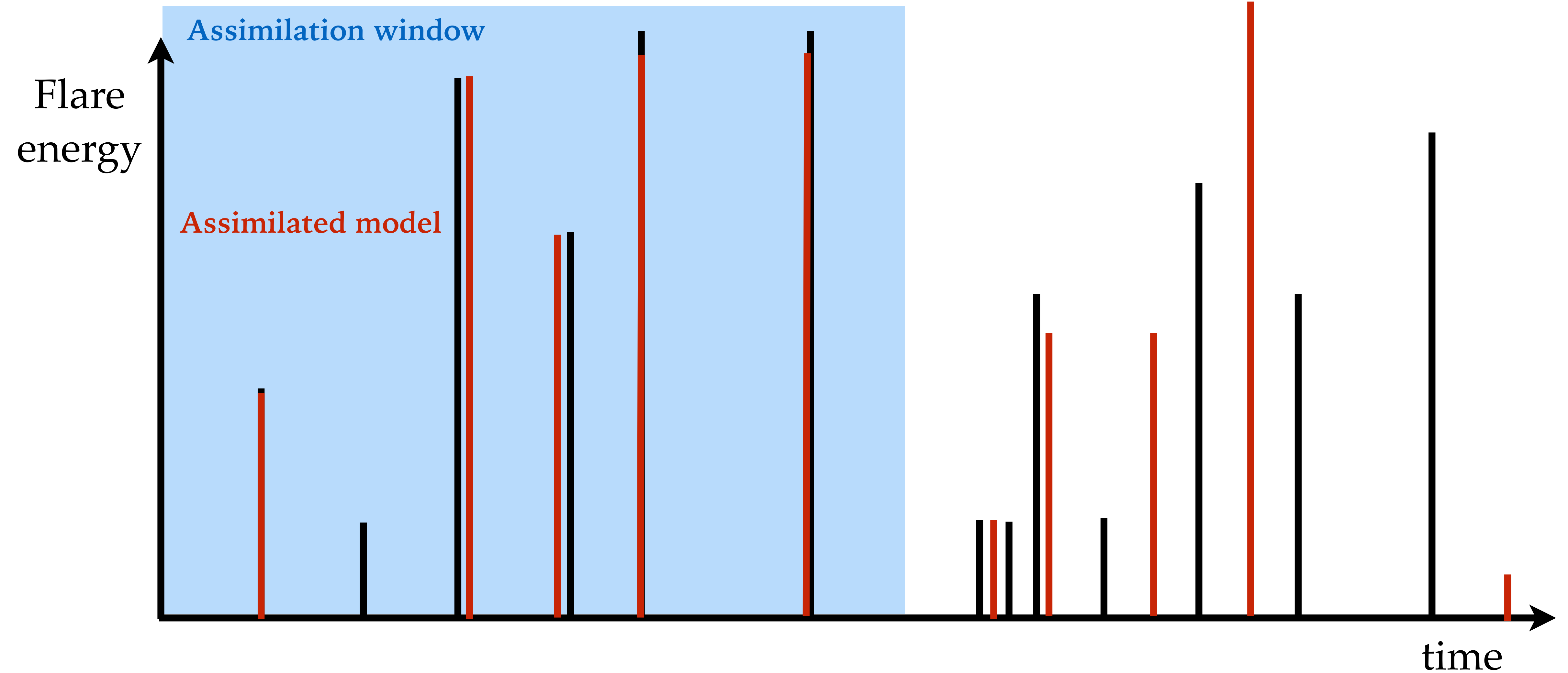
Task II: the All-Clear Forecast (ACF) test



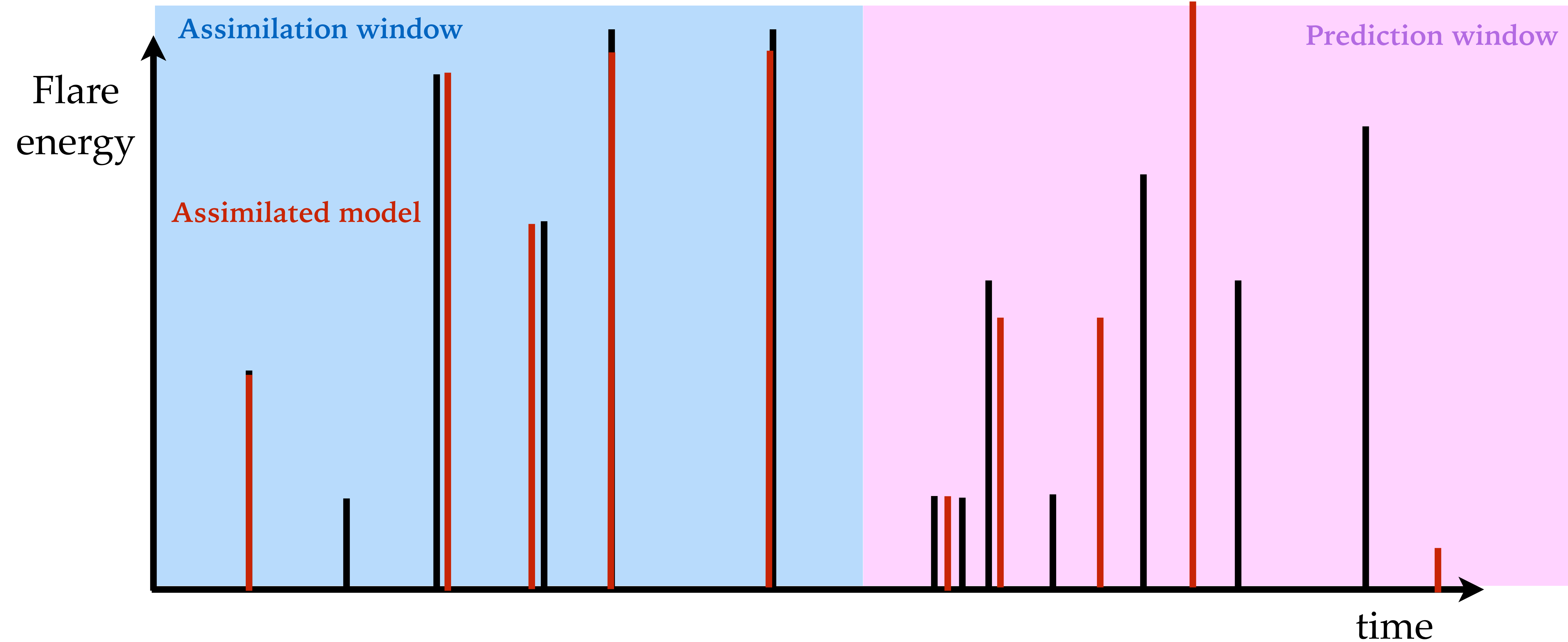
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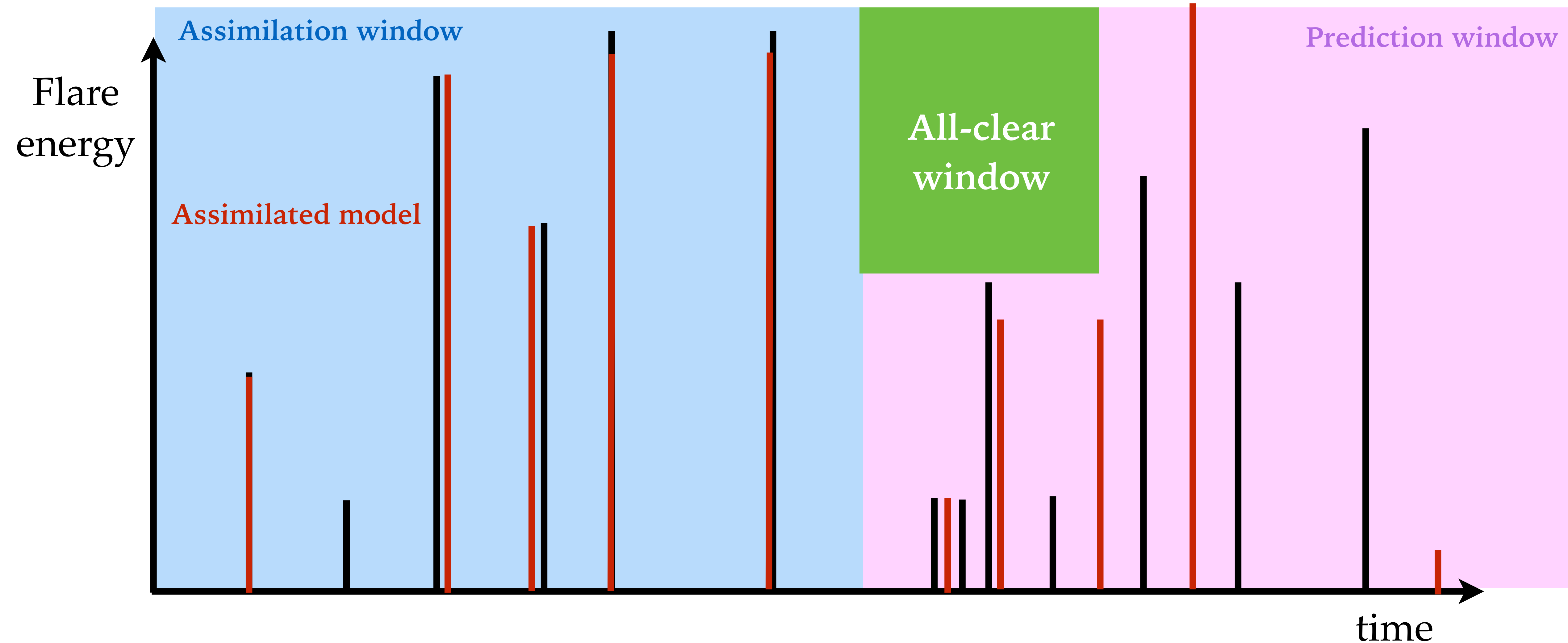
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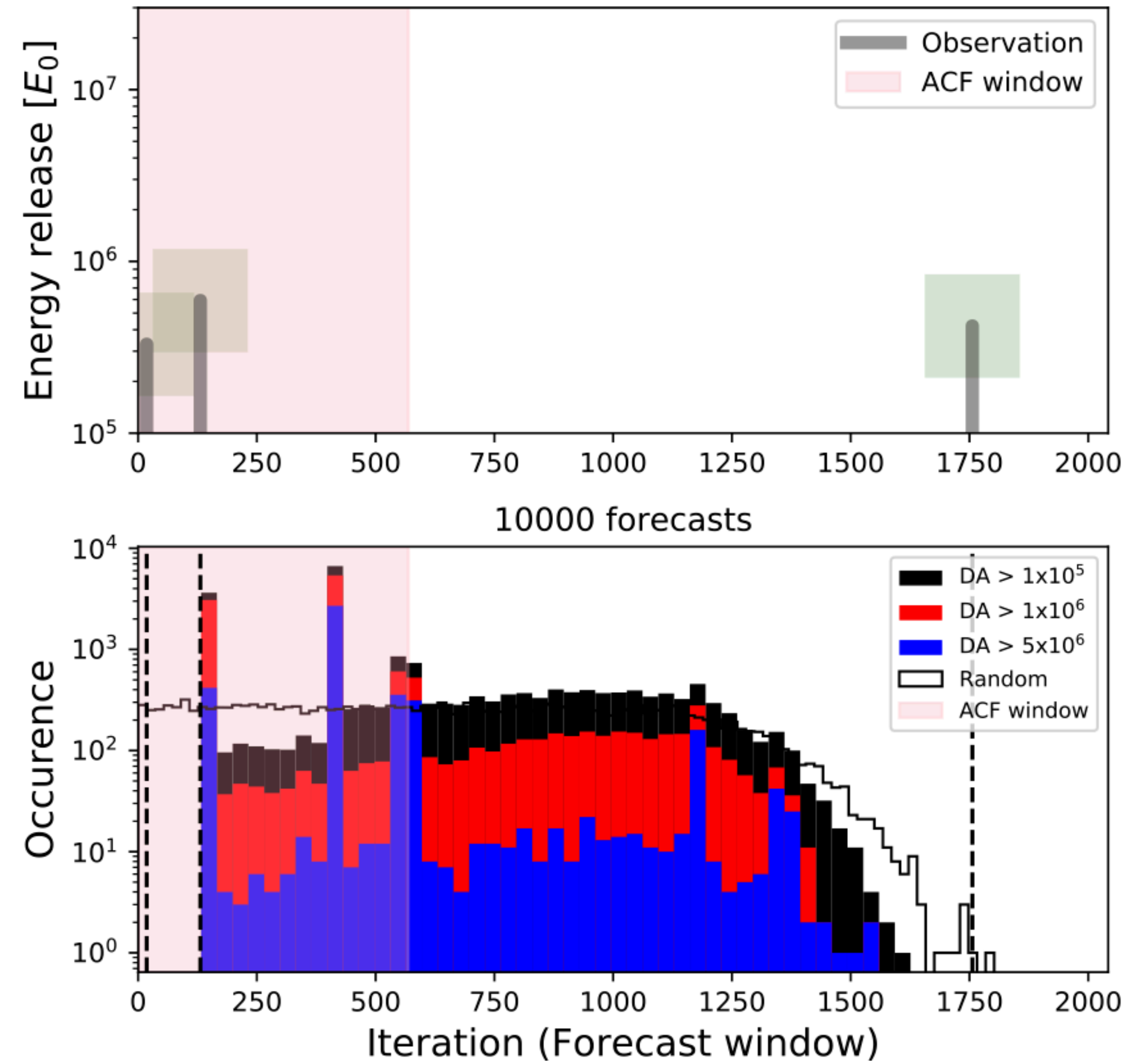
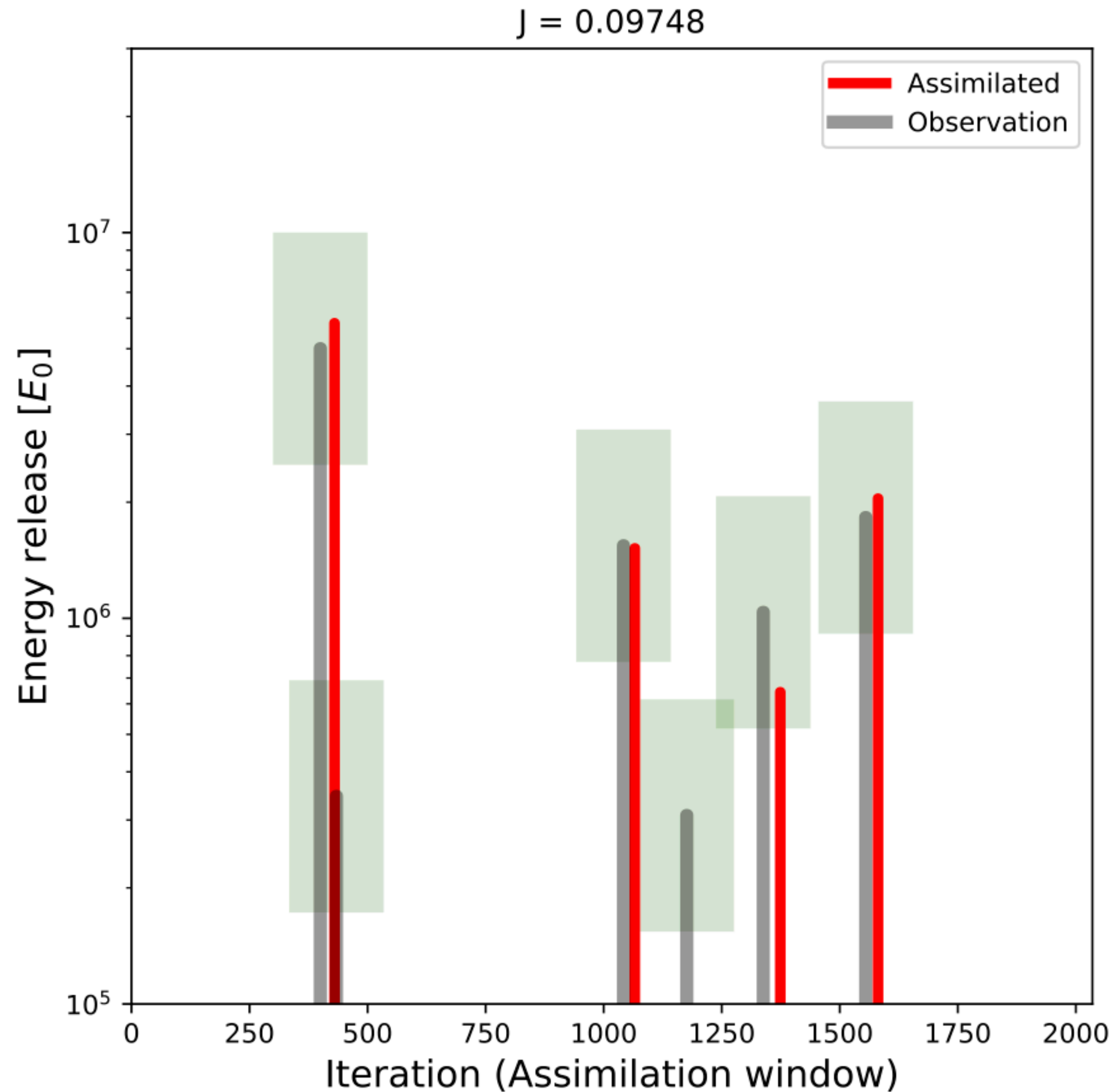


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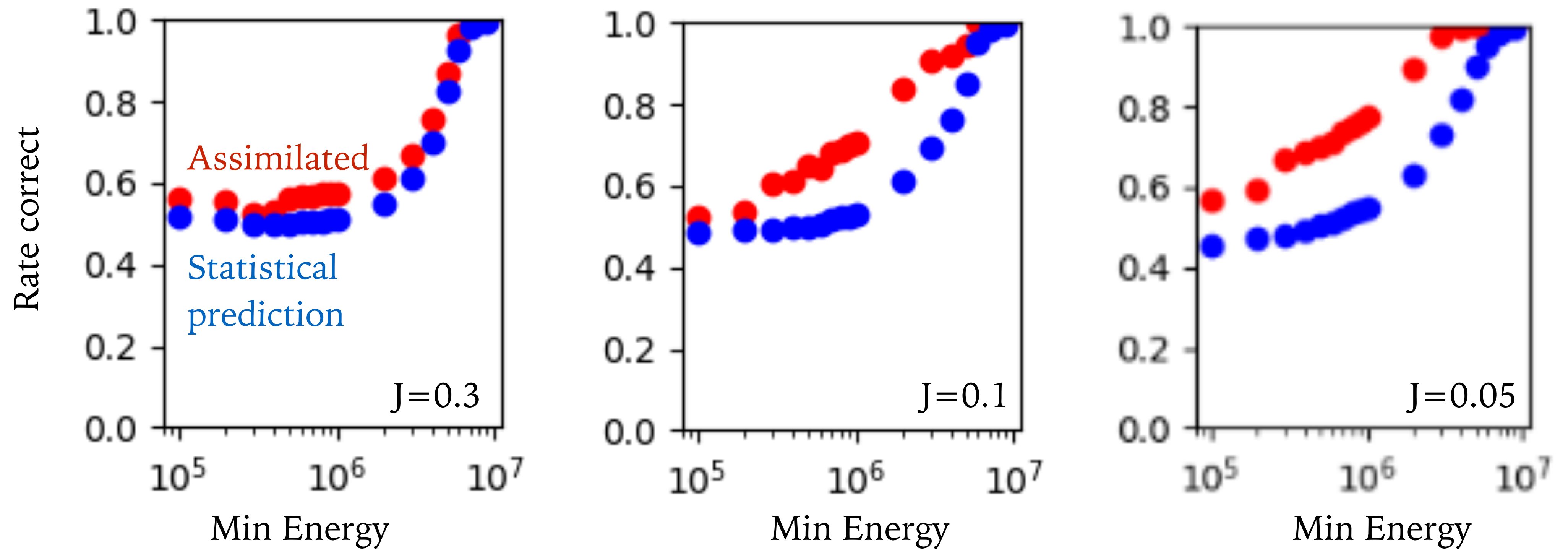


All-Clear forecast: is there an event larger than a given threshold in the AC window?

Task II: results for the All-Clear Forecast (ACF) test

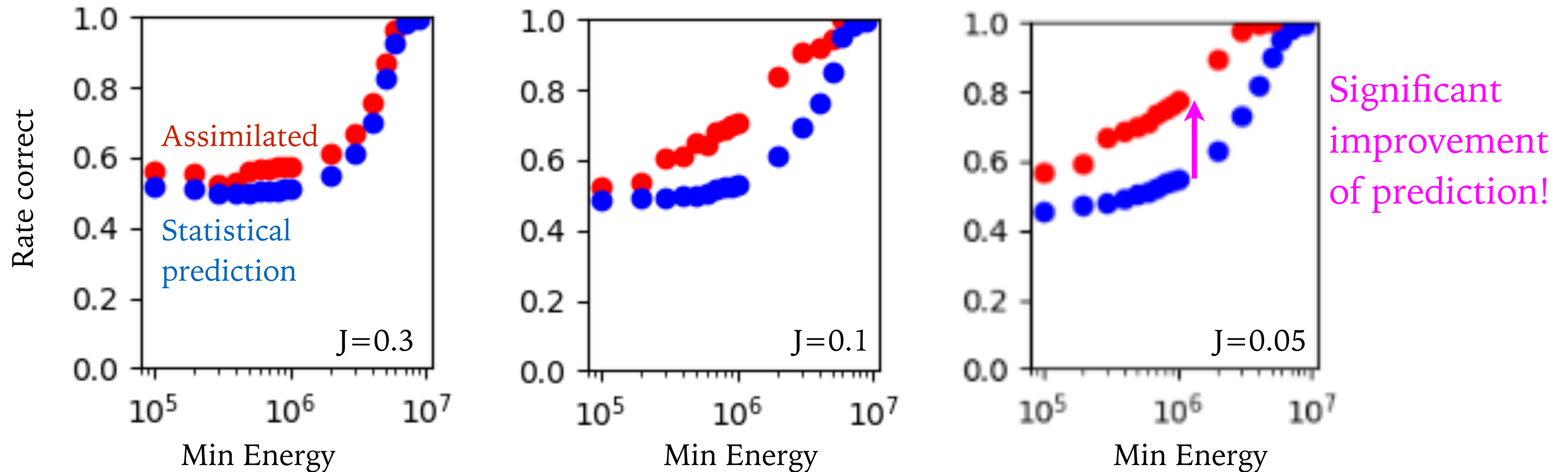


Task II: improvements thanks to data-assimilation



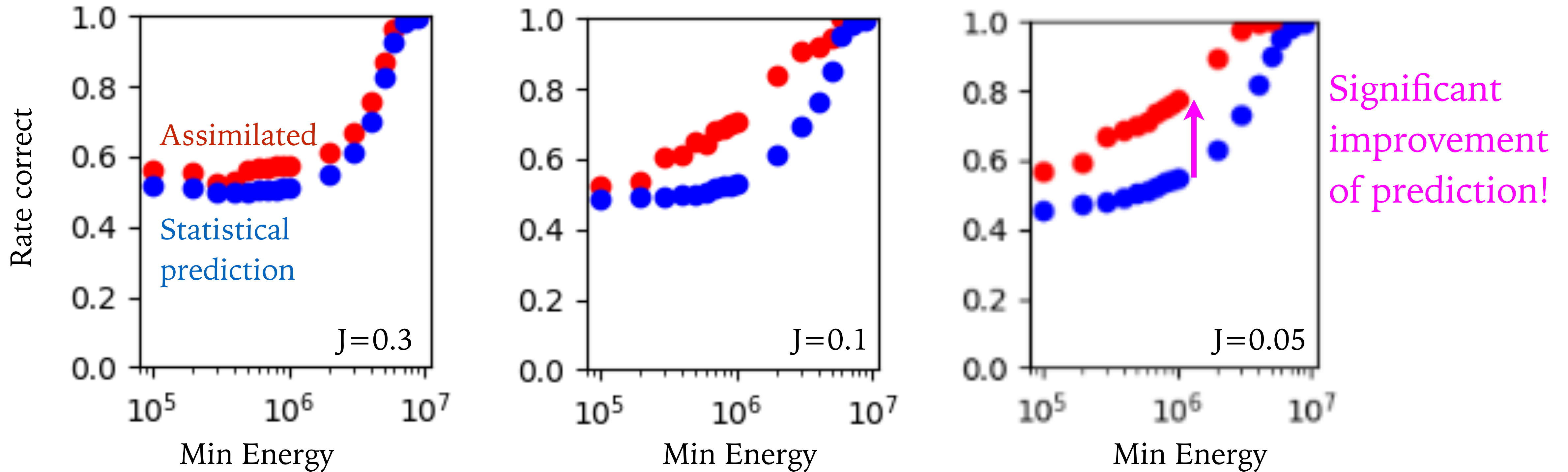
More constraints on the quality of assimilated model

Task II: improvements thanks to data-assimilation



More constraints on the quality of assimilated model

Task II: improvements thanks to data-assimilation



More constraints on the quality of assimilated model

Validated on a synthetic sample (100 independent time series) and 10 GOES time series

Task I: status and plan

Delayed due to COVID

tremblaybenoit > damieNN



damieNN

Project ID: 17177929 [Leave project](#)

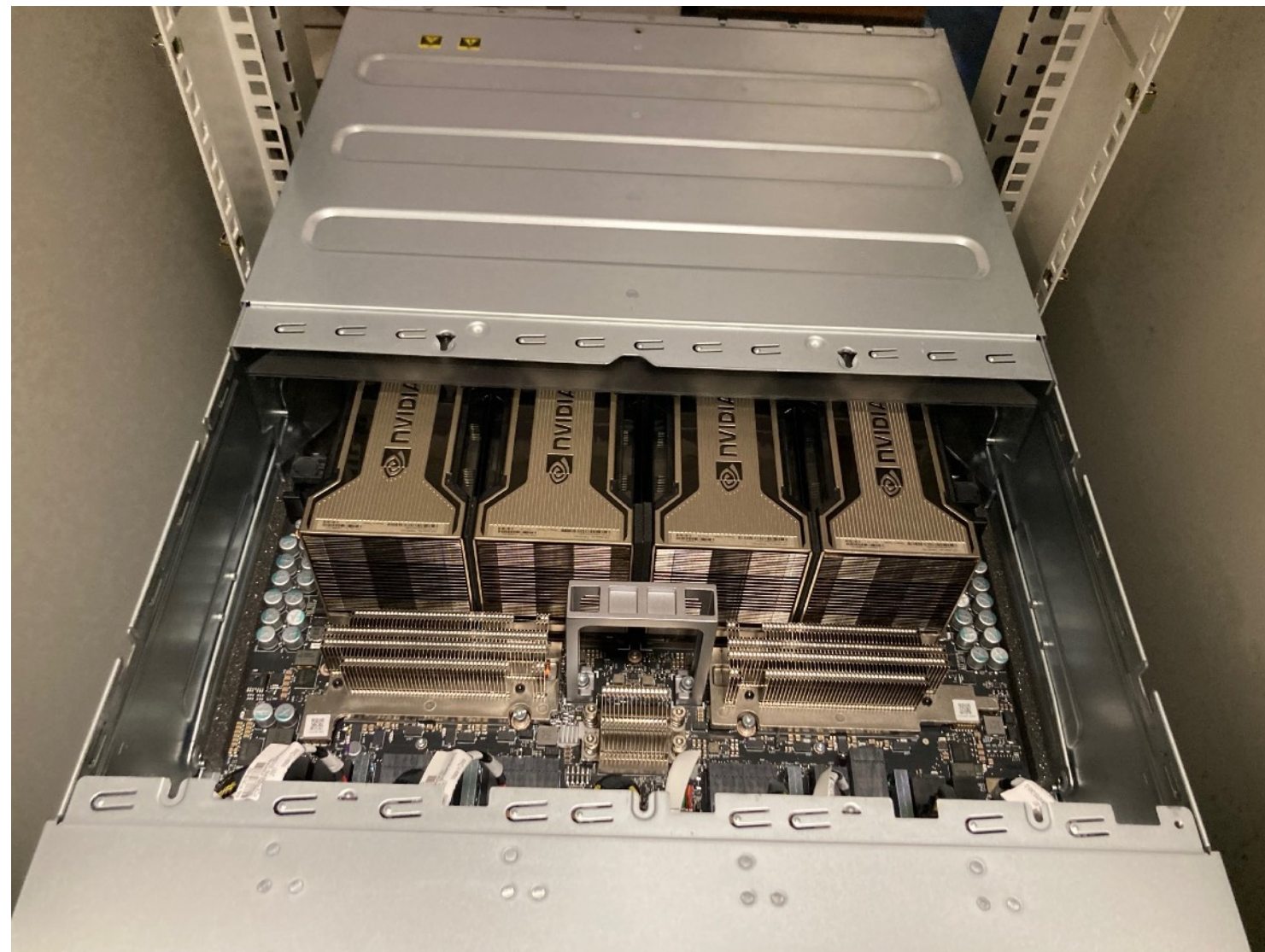
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9 Commits 1 Branch 0 Tags 1.2 MB Files 1.2 MB Storage

Deterministic-Avalanche-Model-Initial-Eigenvalues-inferring Neural Network

master damienn / +

History Find file Web IDE Clone



First operational version developed, fully rewritten with keras (TensorFlow-based, in full python)

Visit of B. Tremblay in march 2021, with whom I developed this new version

Acquisition of GPU server (partially financed through this project):

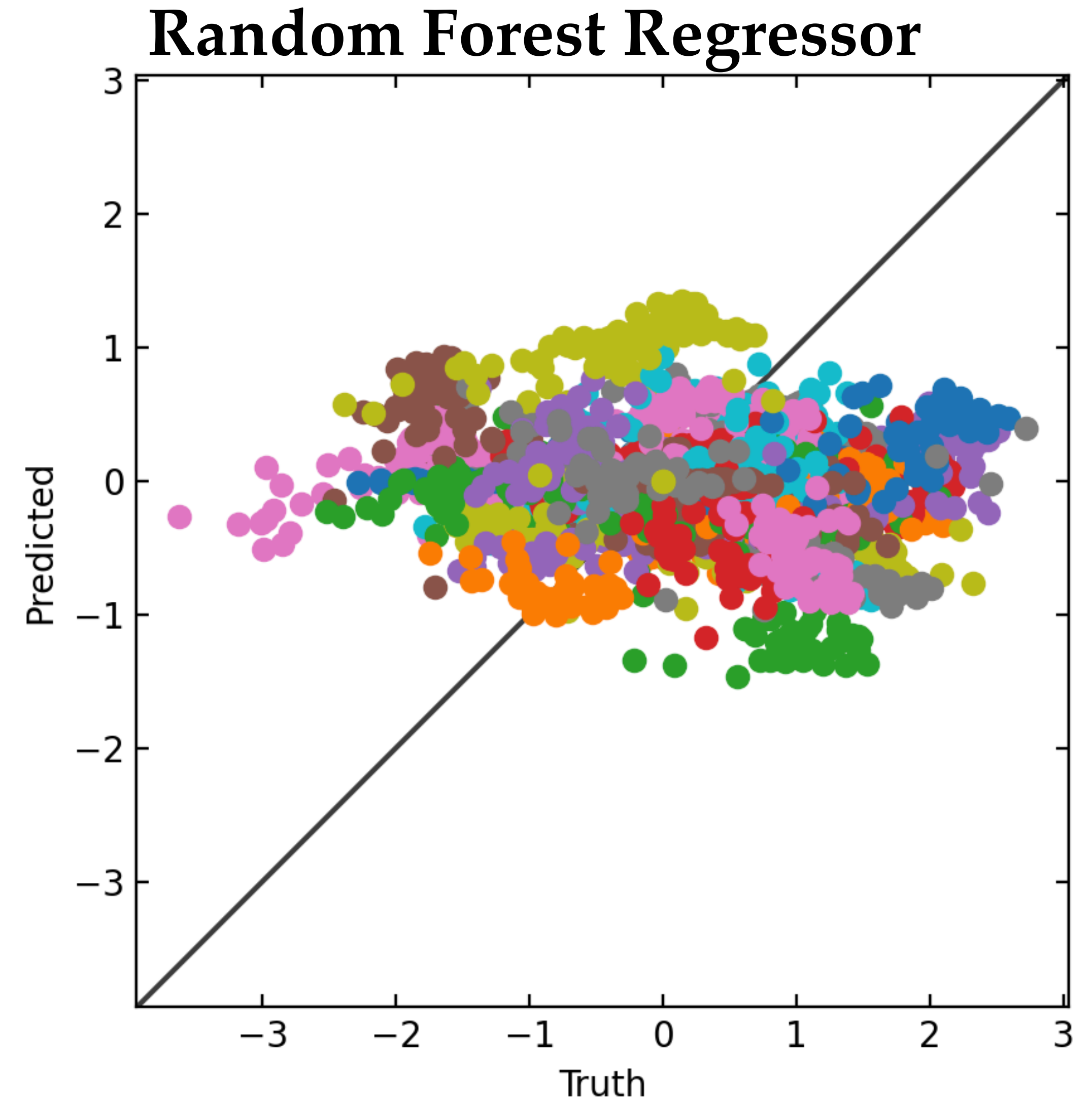
- 8 GPUs A100 (80Gb) connected through NVlink
- Platform Apollo (HPE vendor) selected after consulting various vendors

Machine delivered but it does not boot... waiting for replacement parts from HPE

Task I: simpler approach waiting for the GPU server

Generic approach followed:

- Generate two independent set of model realisation, one used for training, the other for validation
- Generic ML algorithms tested on the sample (Random Forests, Regression Trees, Multi-layer Perceptron)
- **None of the tested approach present promising results:** the initial plan is still the most promising, we hope to complete it next year as a follow-up to the P2IO FlarePredict project.



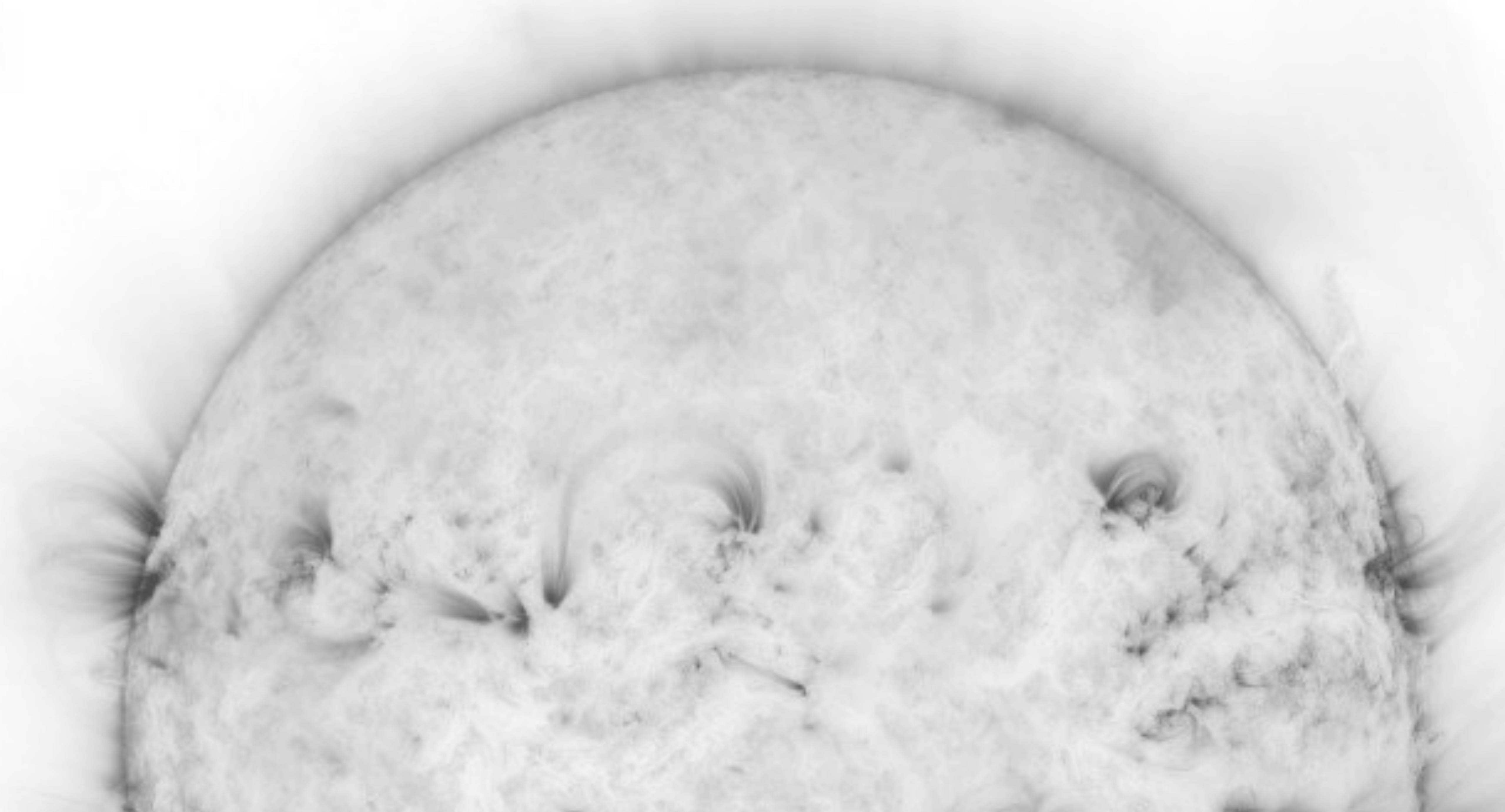
Conclusions and future for FlarePredict

We have developed **stochastic models** with enough memory to have **predictive capabilities**

These models are **tailored to reproduce solar flare statistics**, and can **leverage data assimilation**

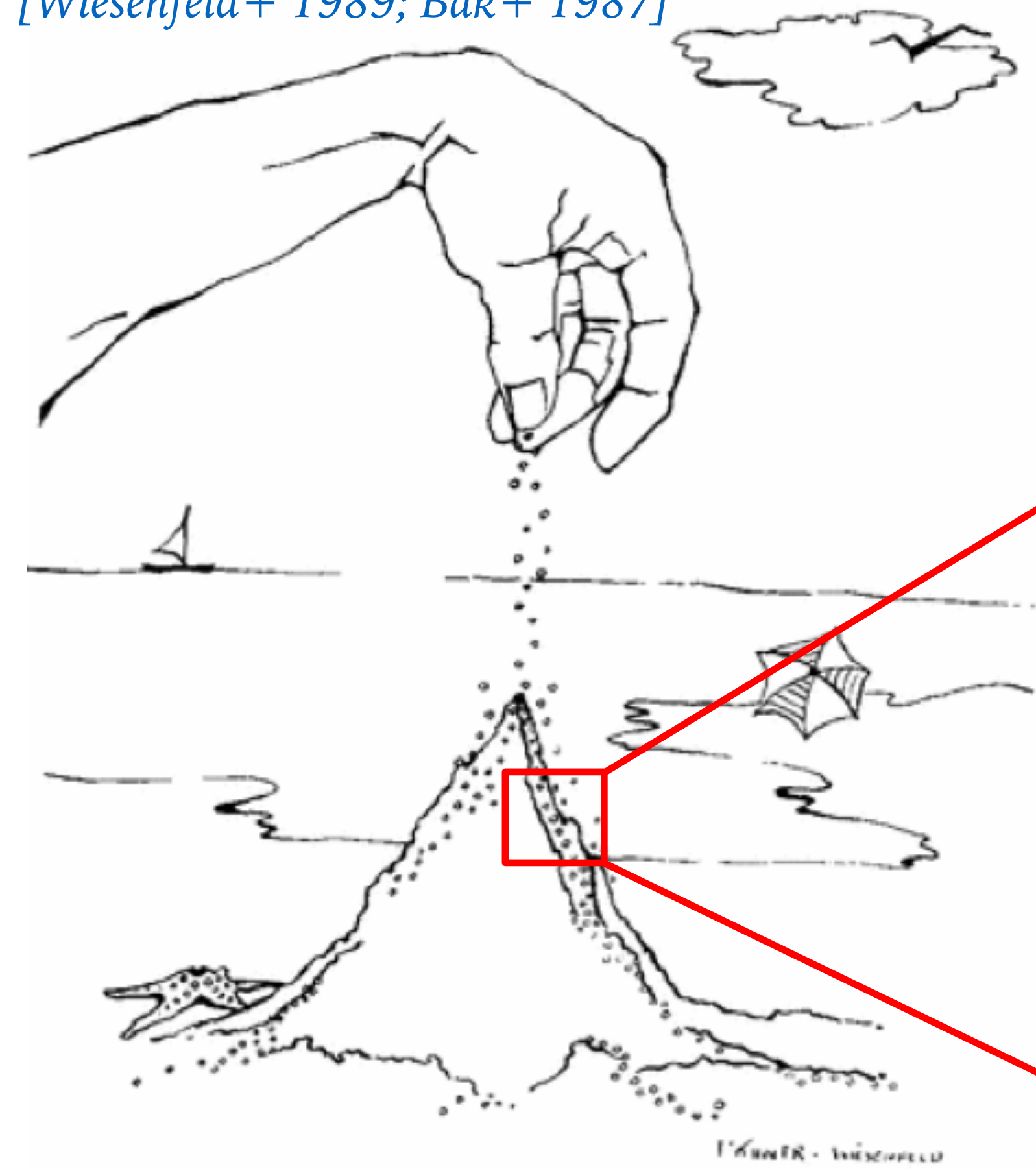
With an inefficient (in computing time) assimilation, we have shown that **we can predict large and rare synthetic events** on a large sample. We have found the same evidence for a limited real-data sample of solar flares, which we need to extent

The next phase of the project is devoted to the **data assimilation leveraging machine-learning where the model is exposed itself to the full convolutional neural network**, which should help exploring a larger sample and validate our original methodology

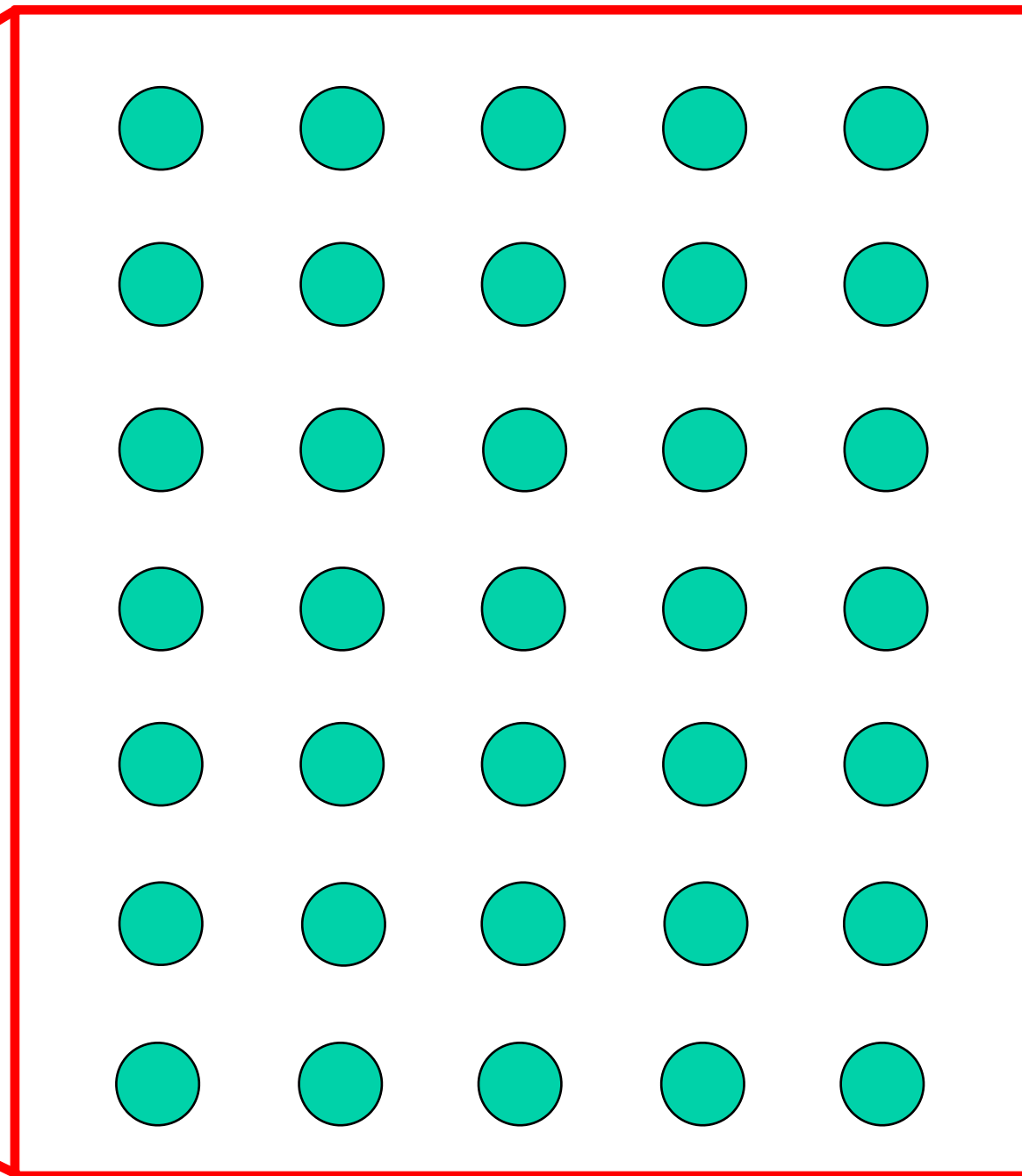


Basics of sandpile models

[Wiesenfeld+ 1989; Bak+ 1987]

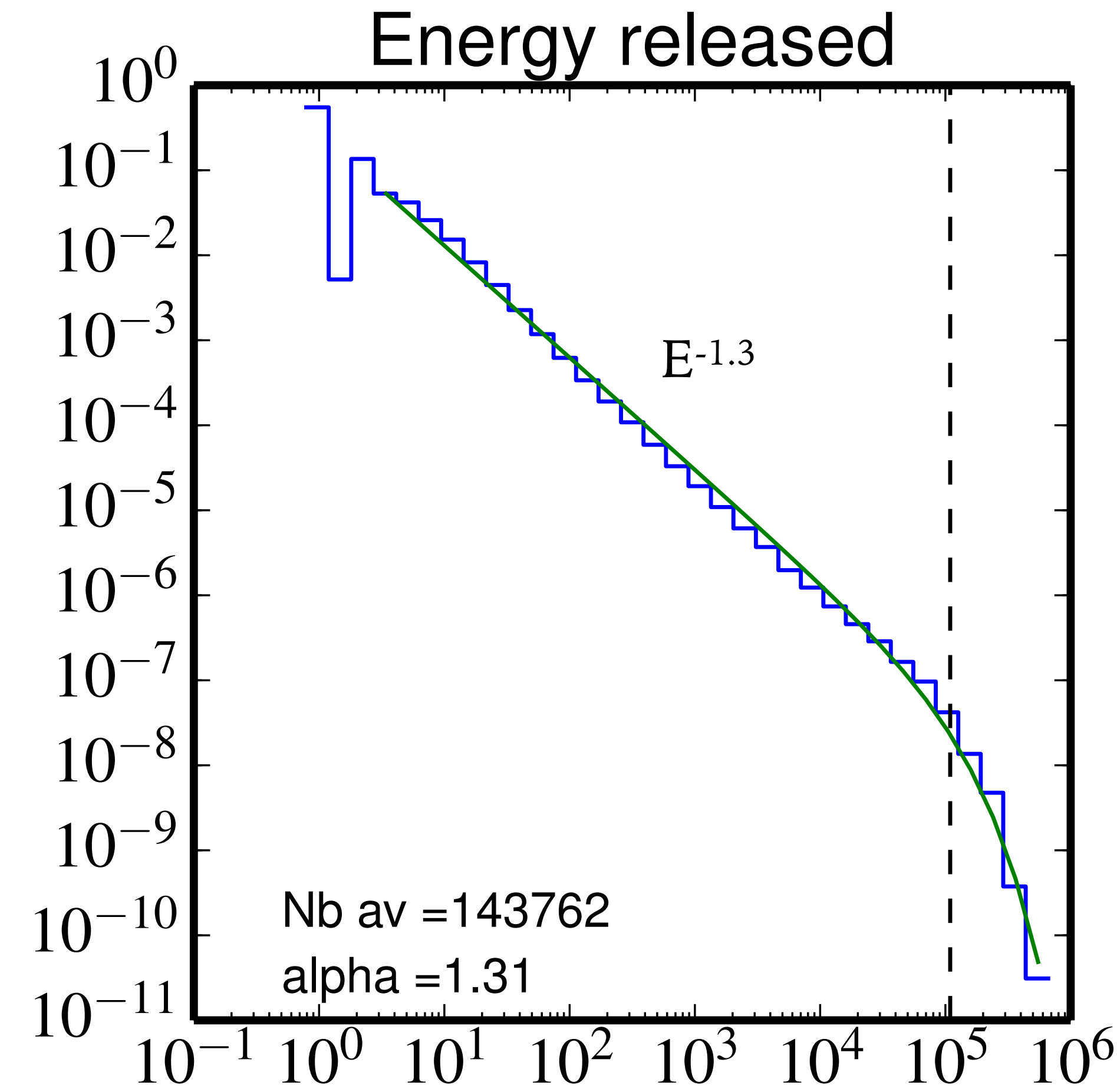


Driver



[Lu & Hamilton 1993]

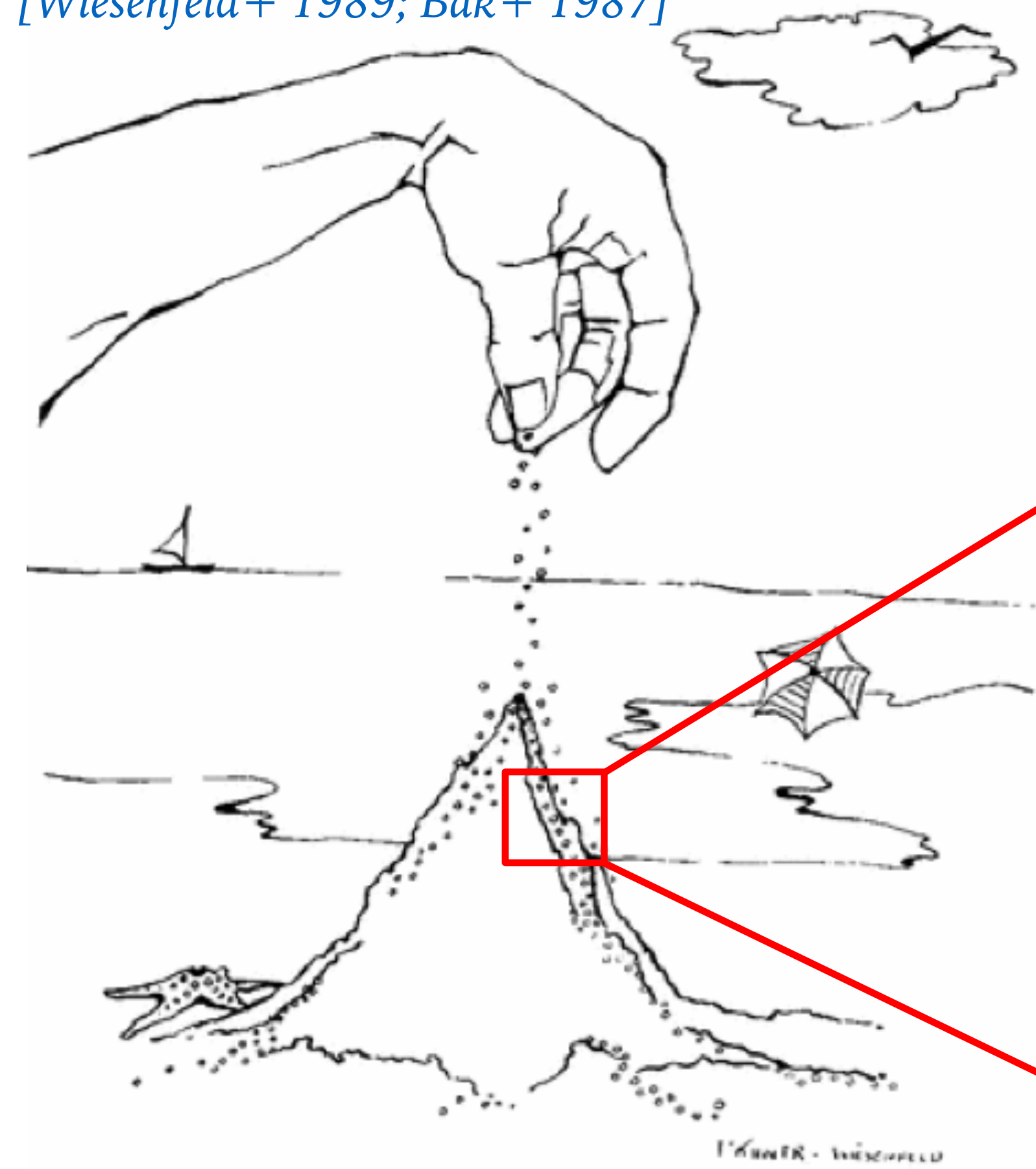
‘Self-Organized Criticality’



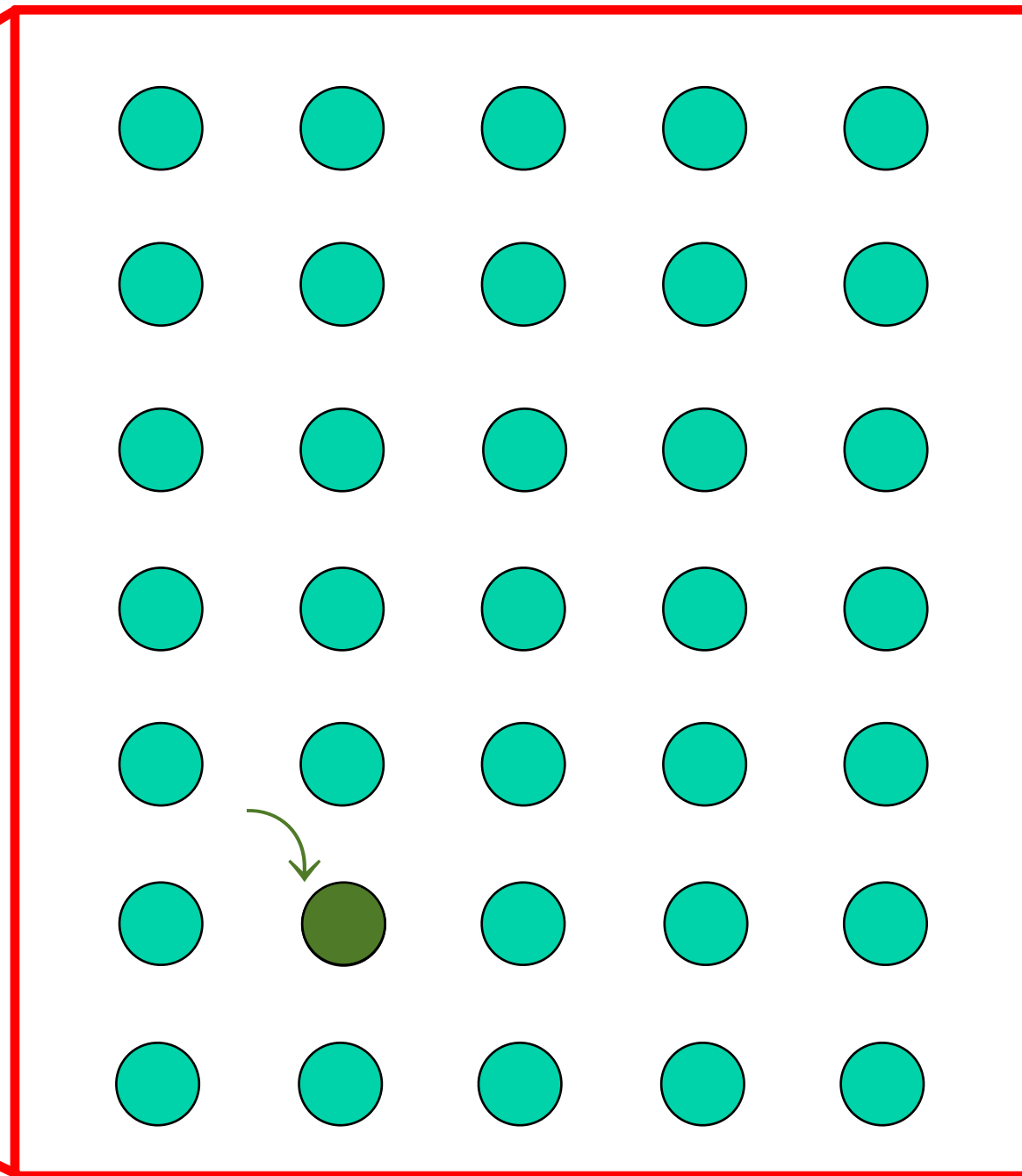
[Strugarek+ 2014a,b]

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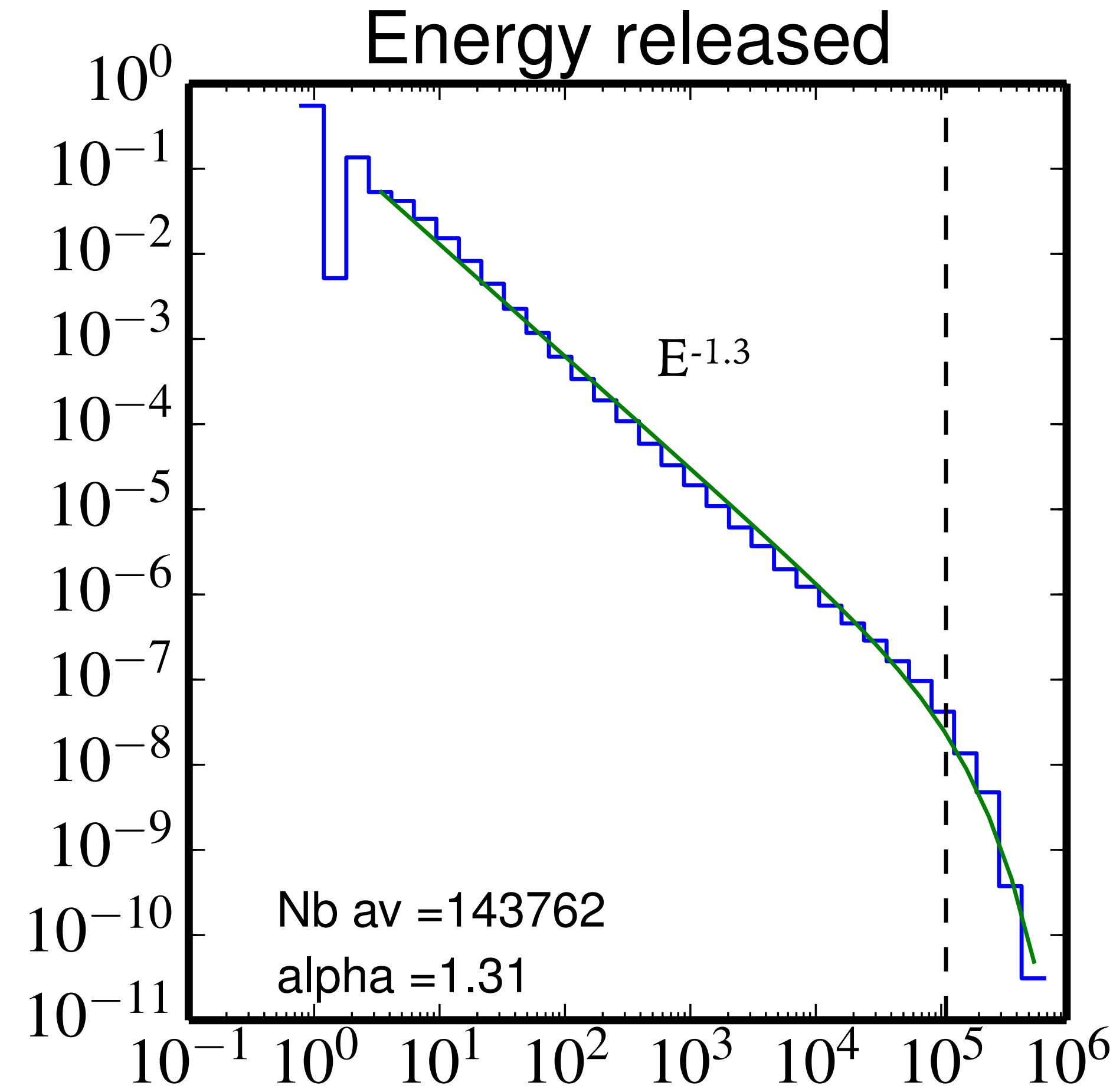


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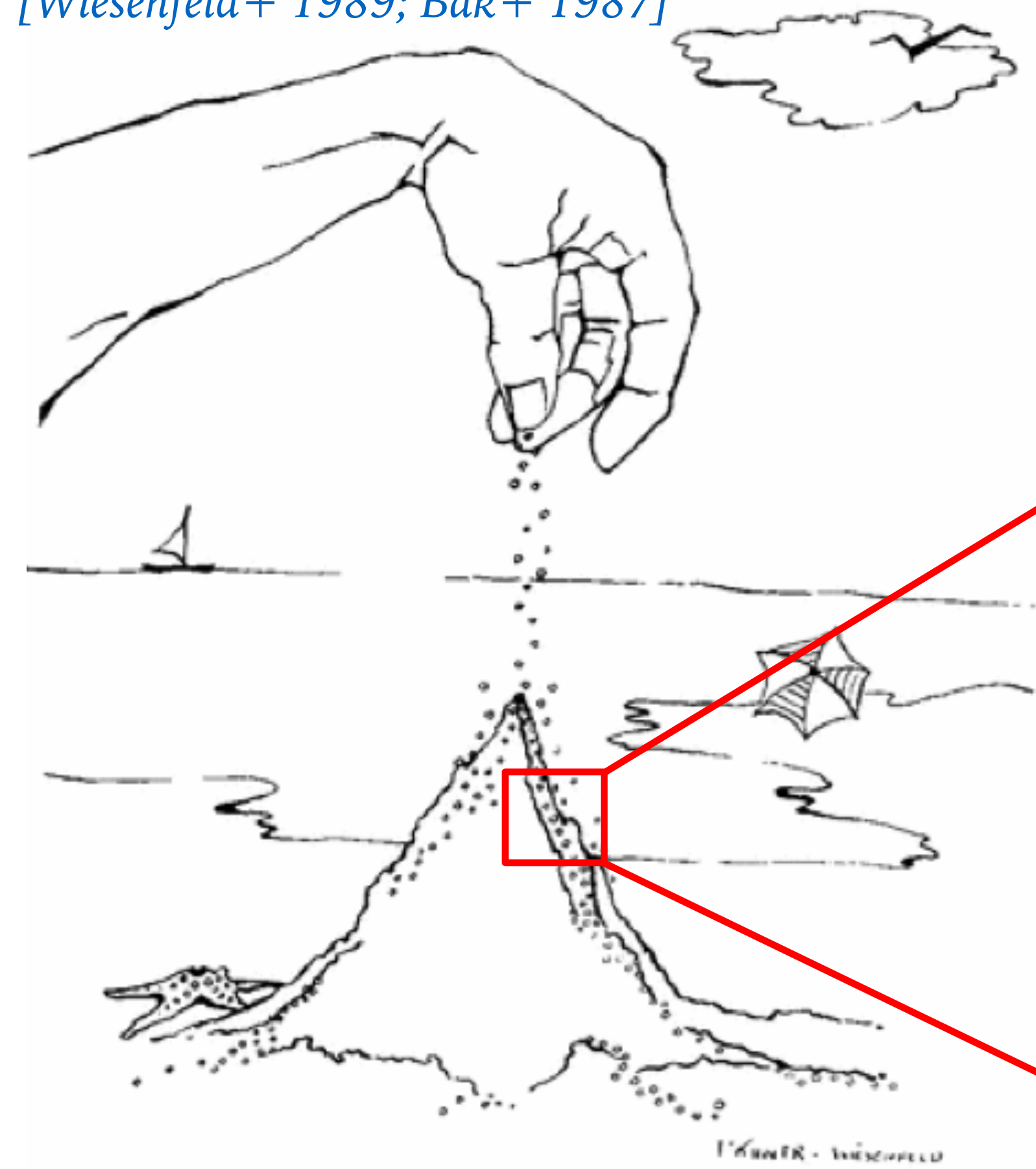
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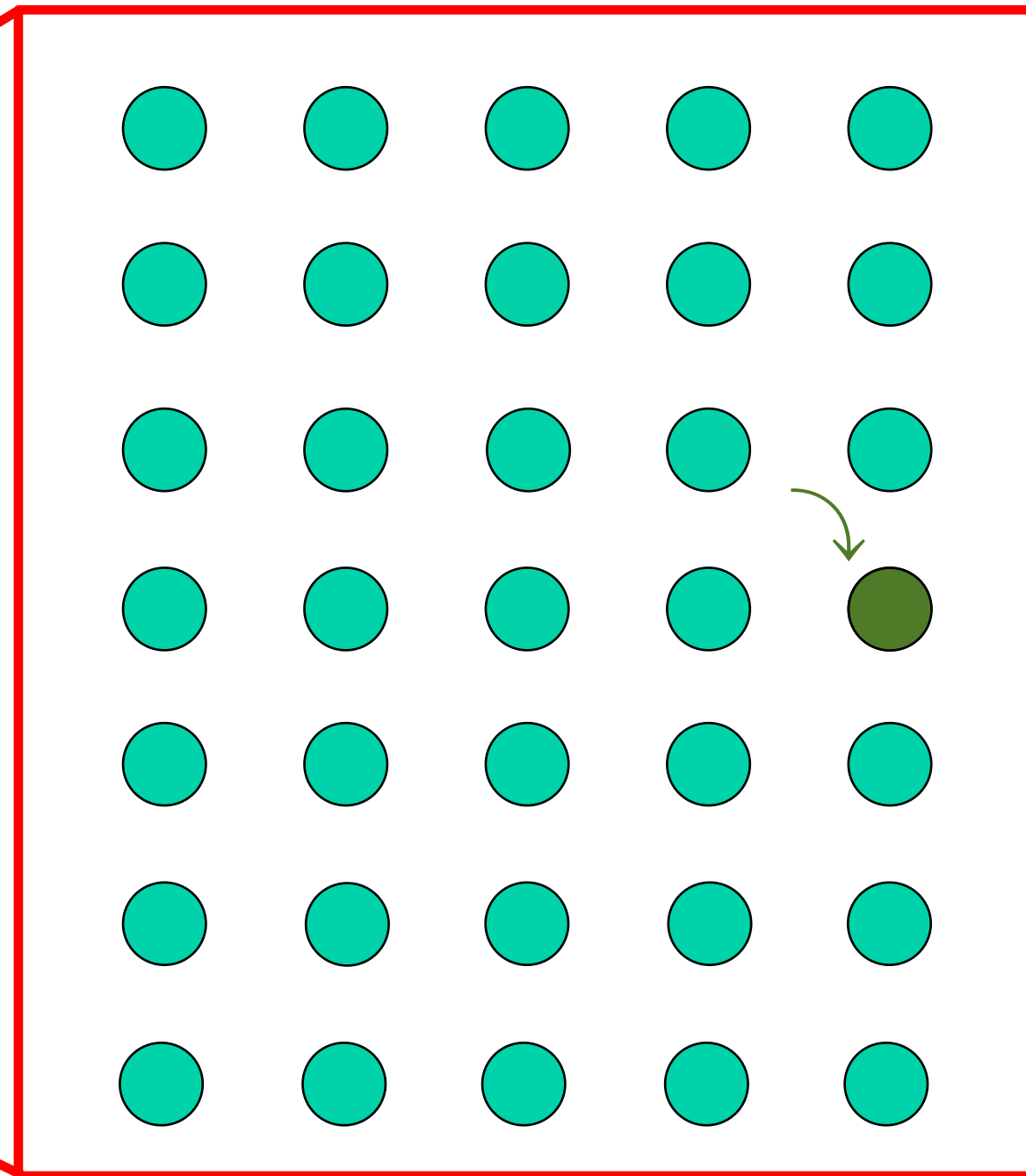
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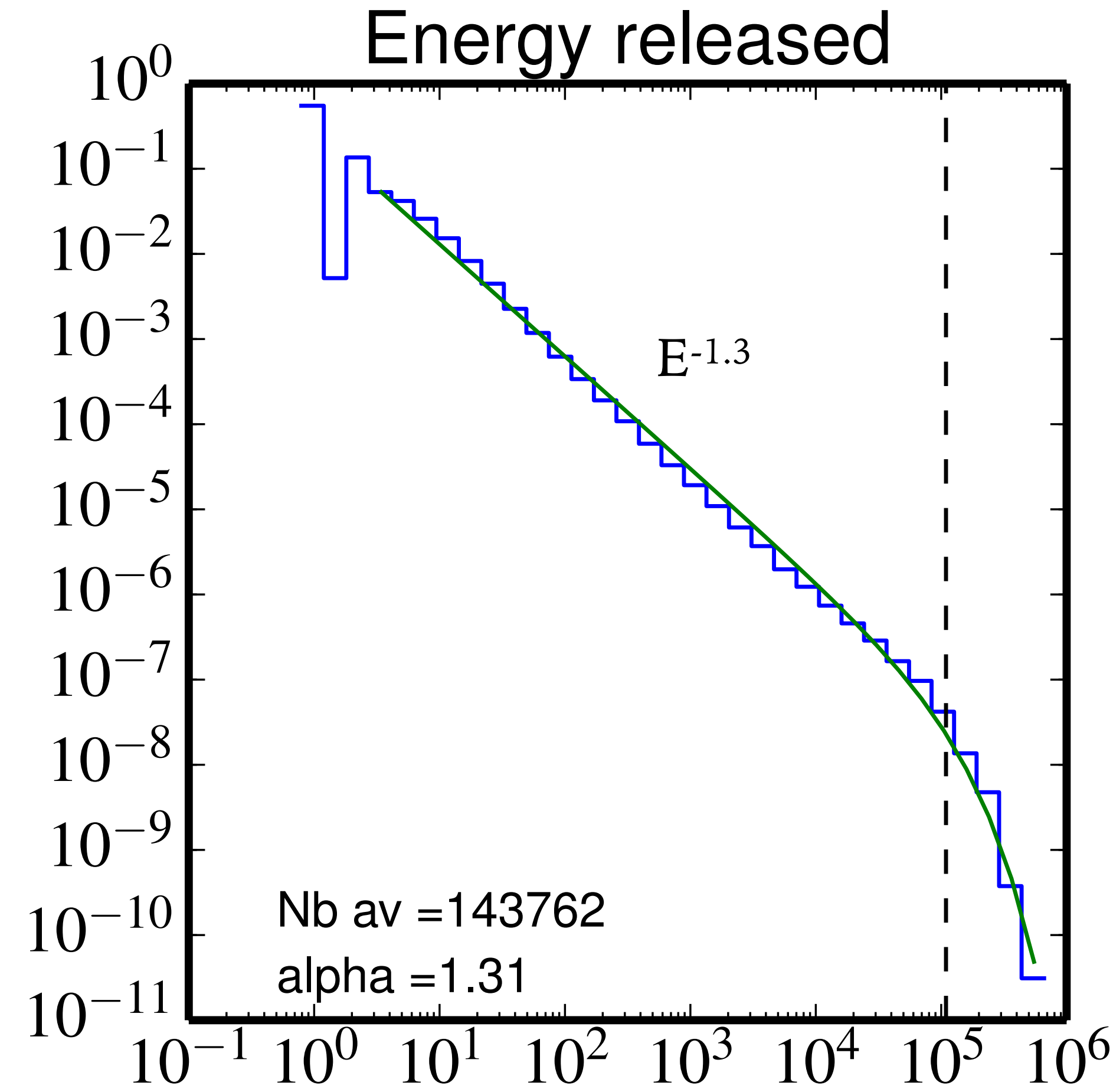


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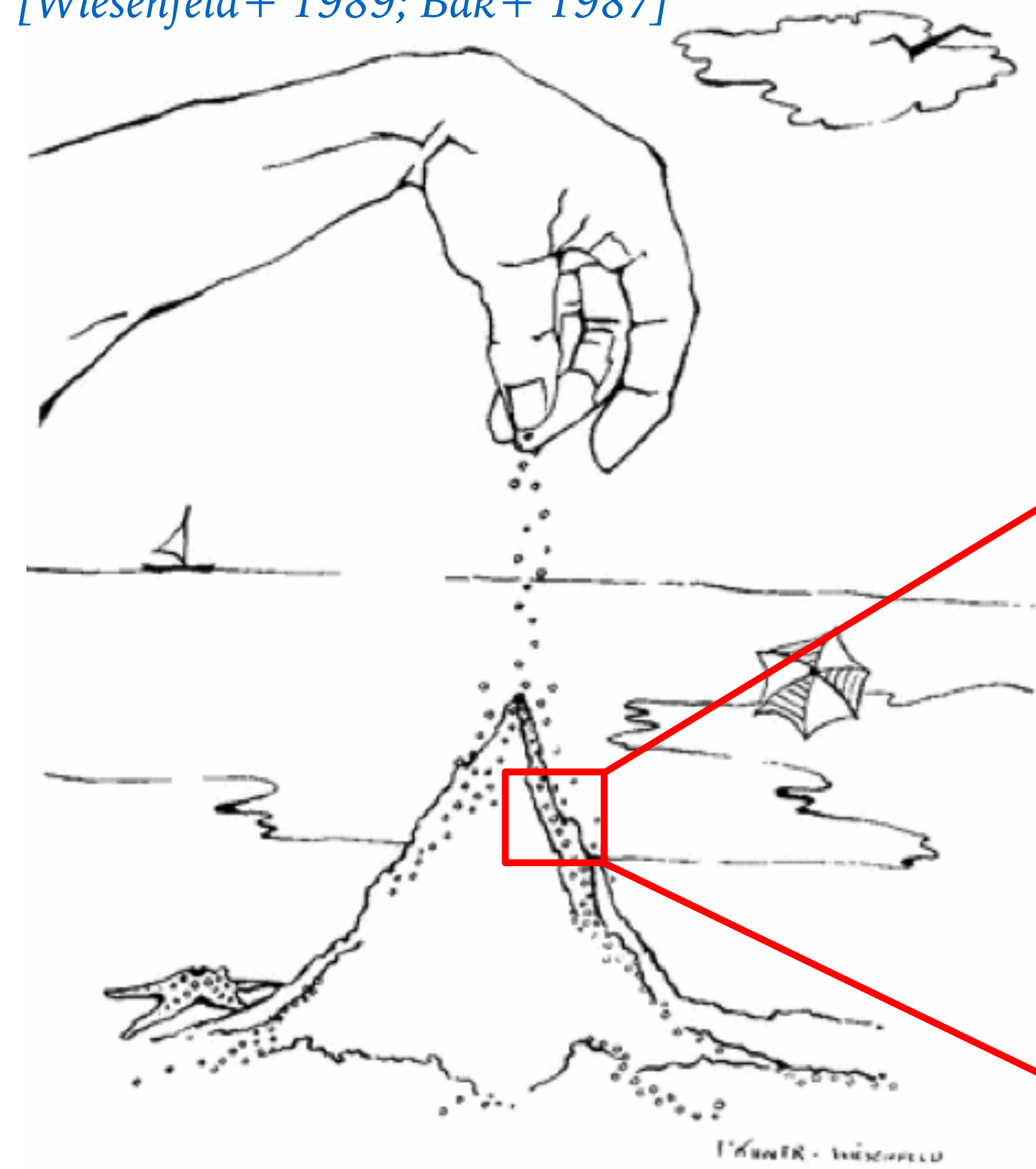
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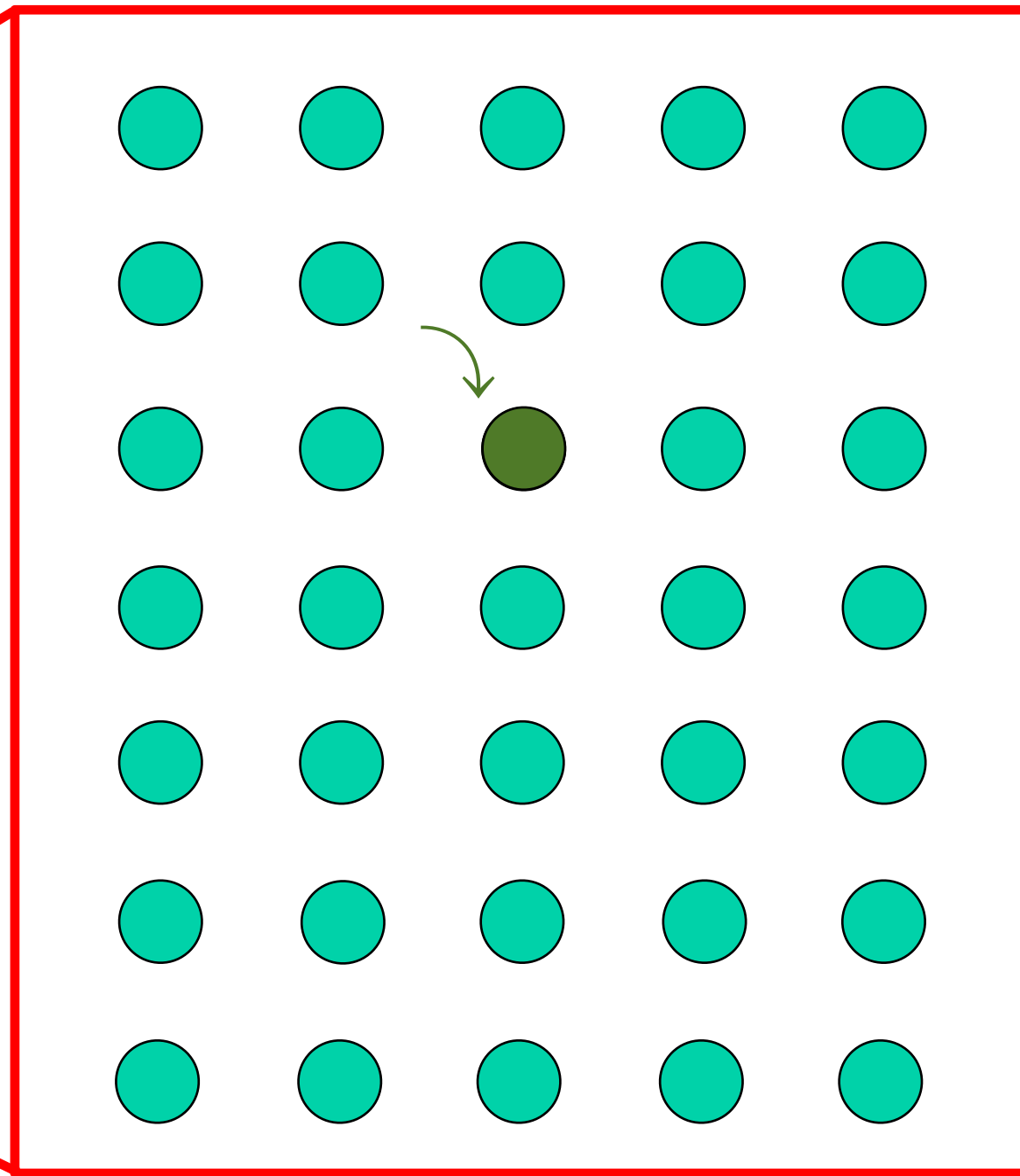
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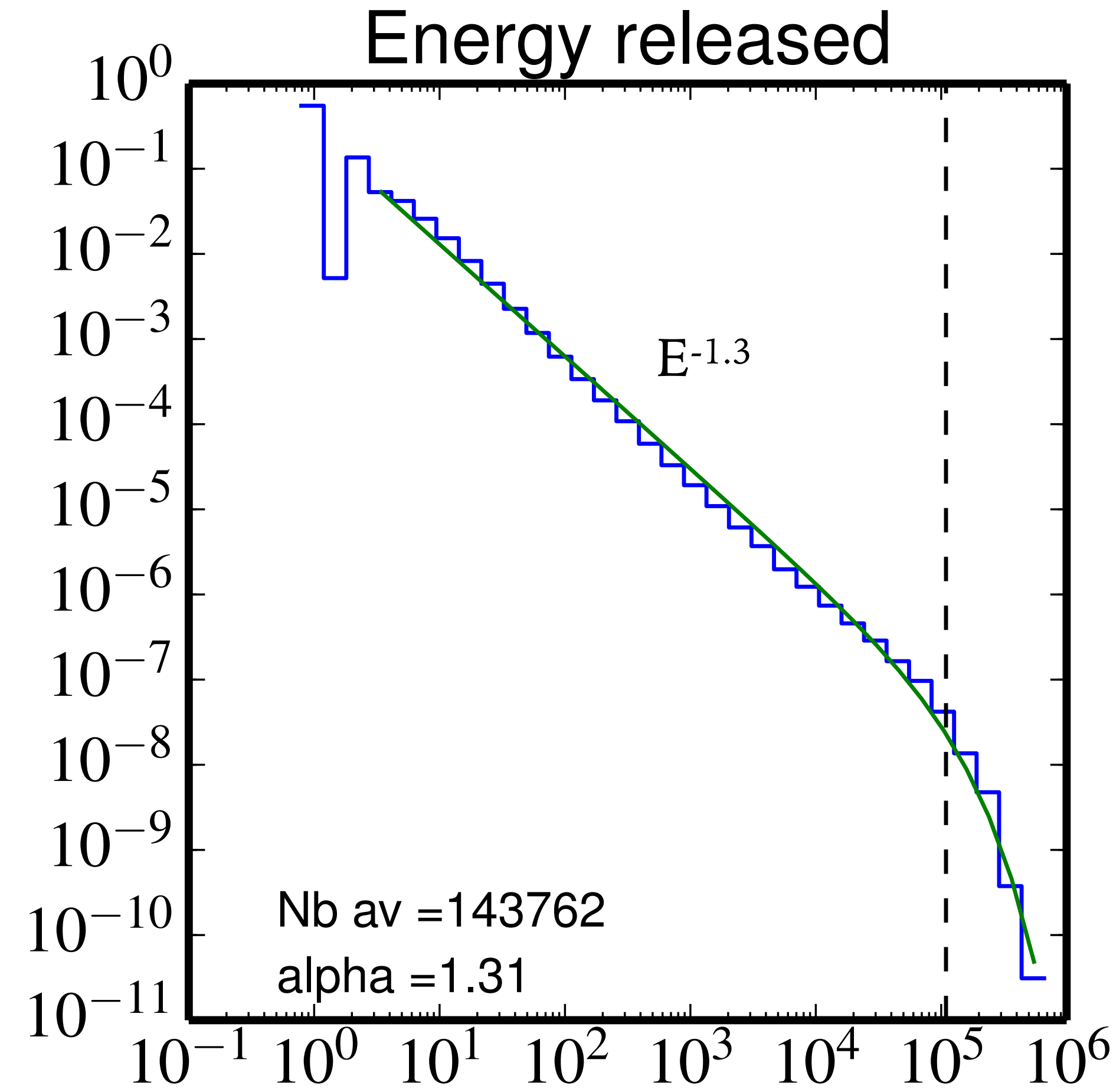


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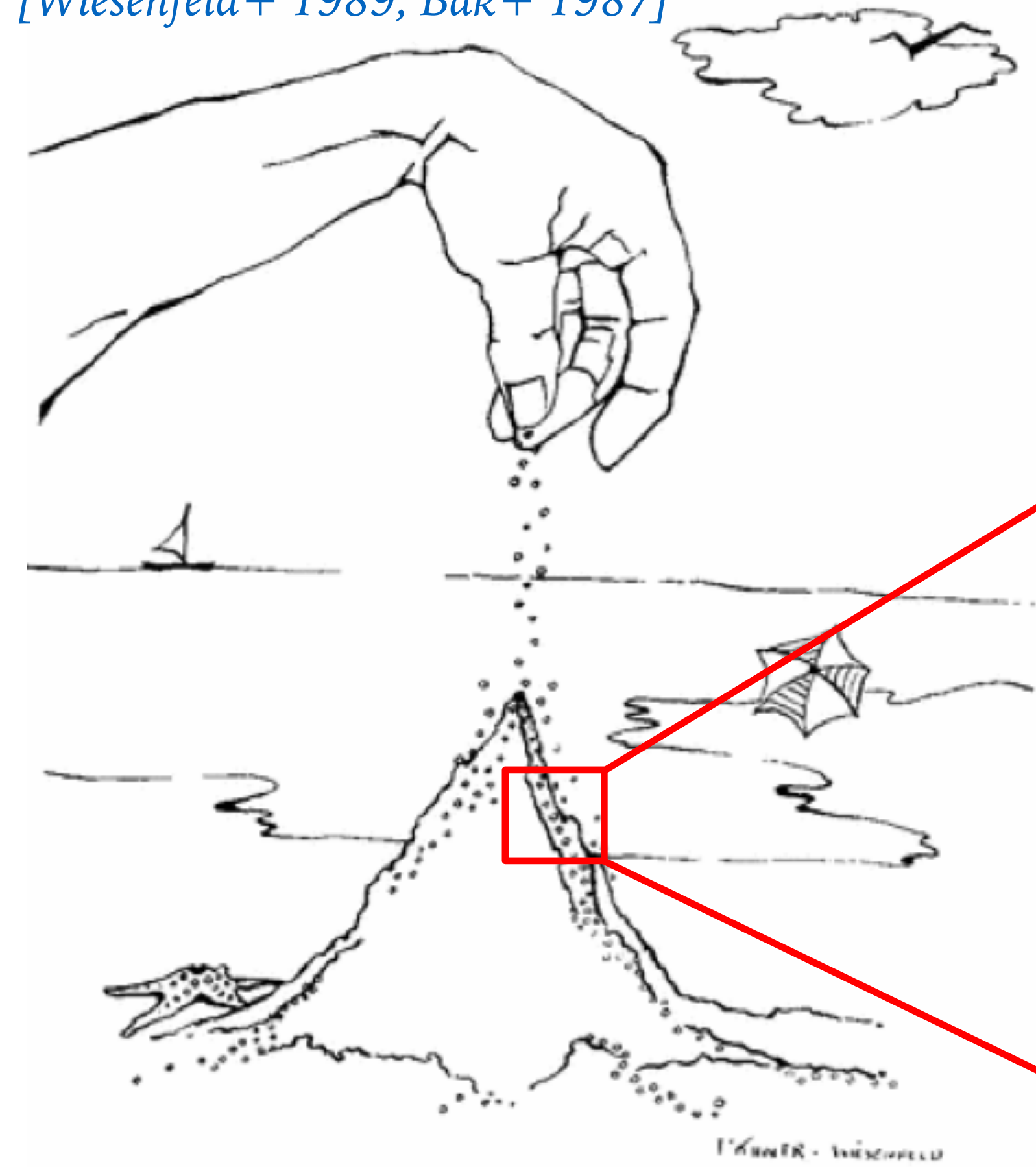
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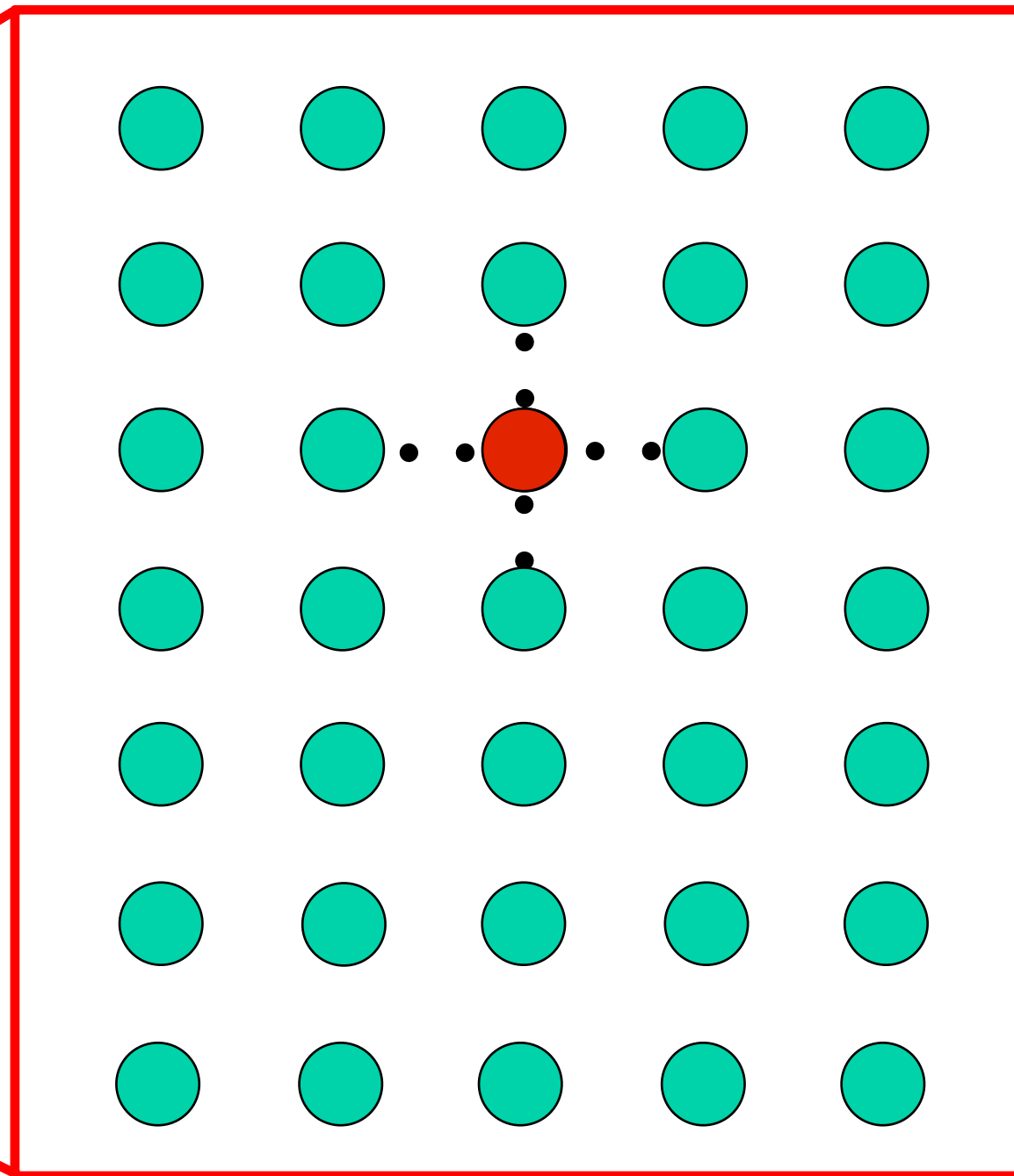
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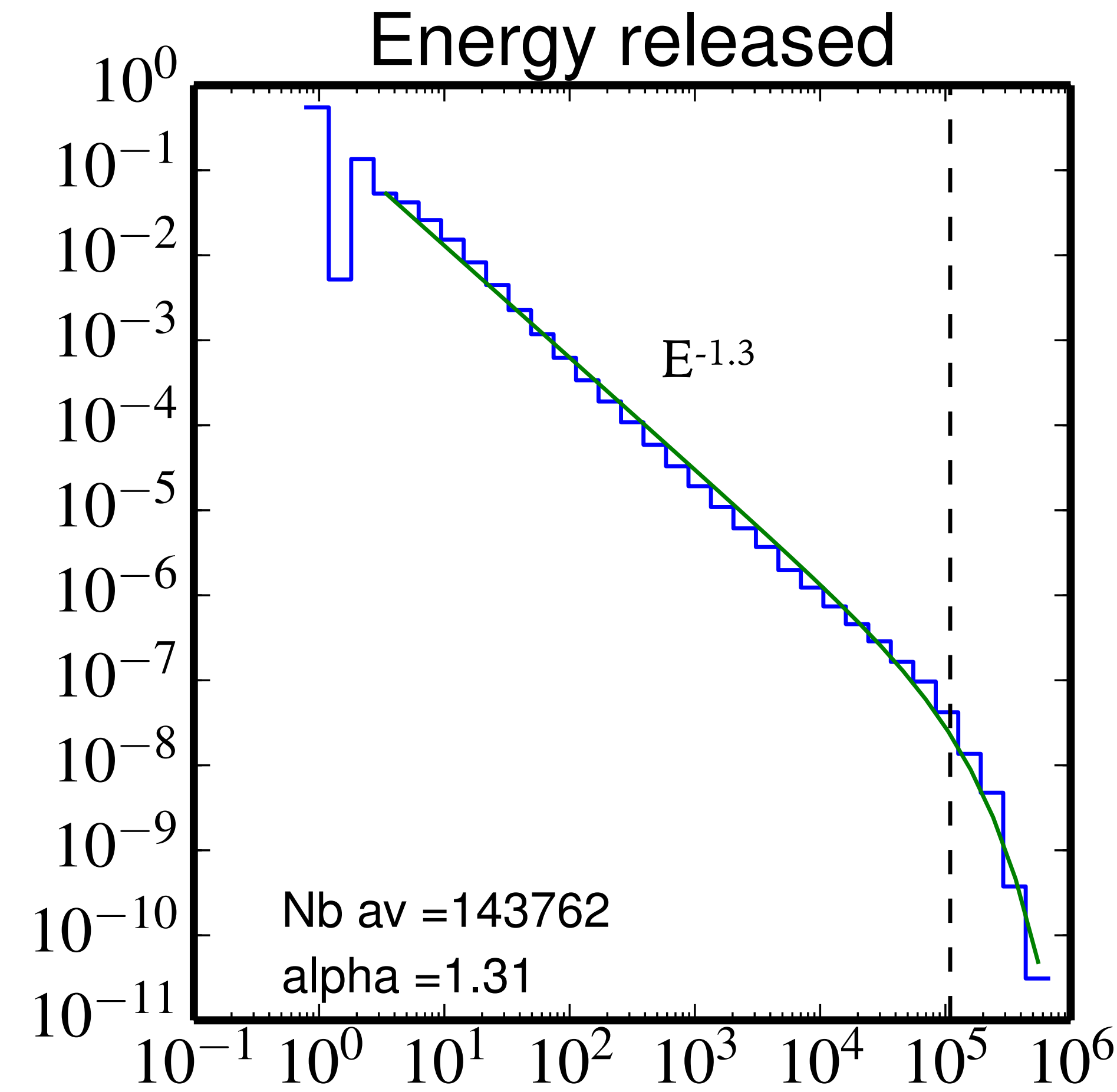
Driver

Threshold



[Lu & Hamilton 1993]

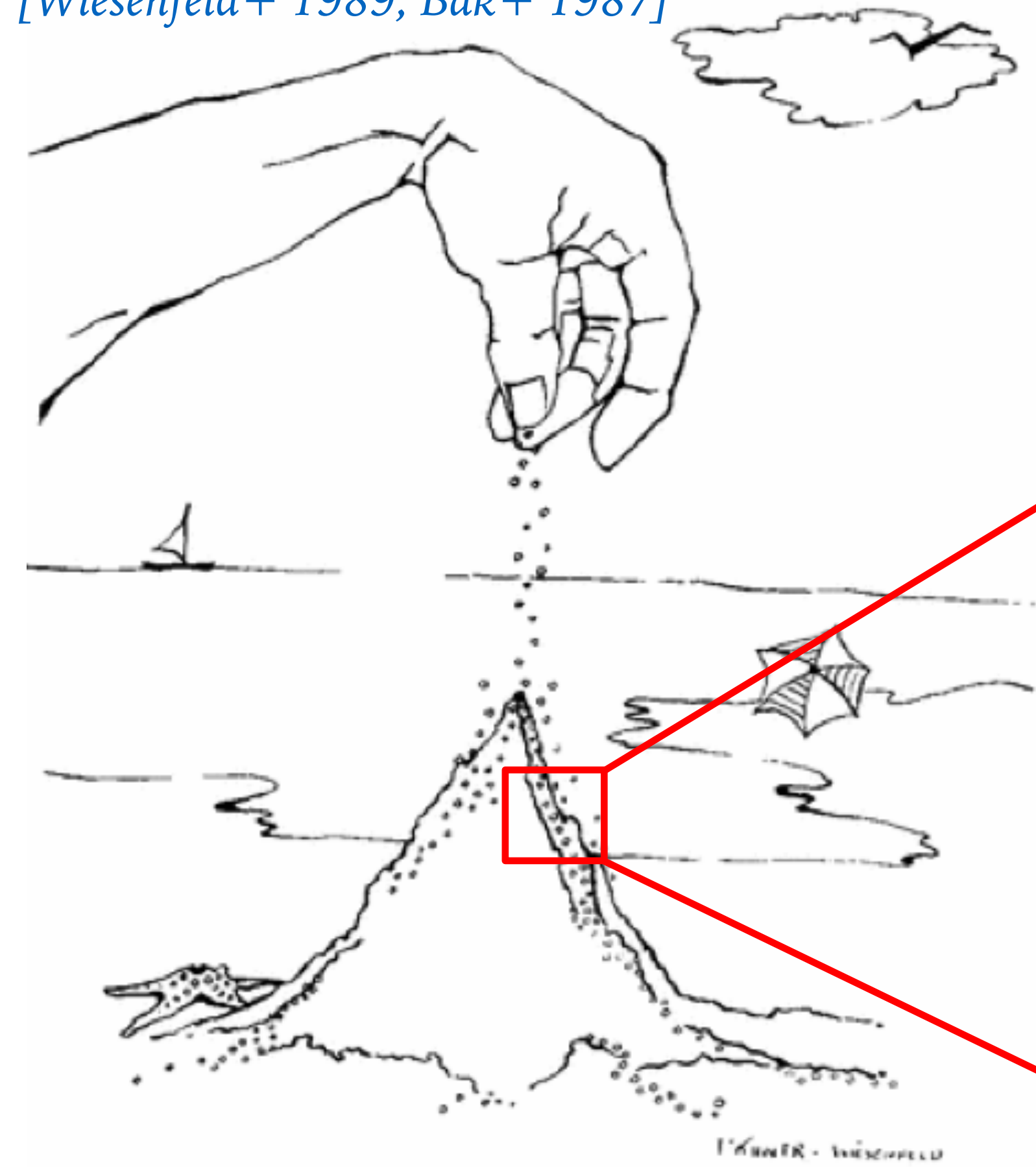
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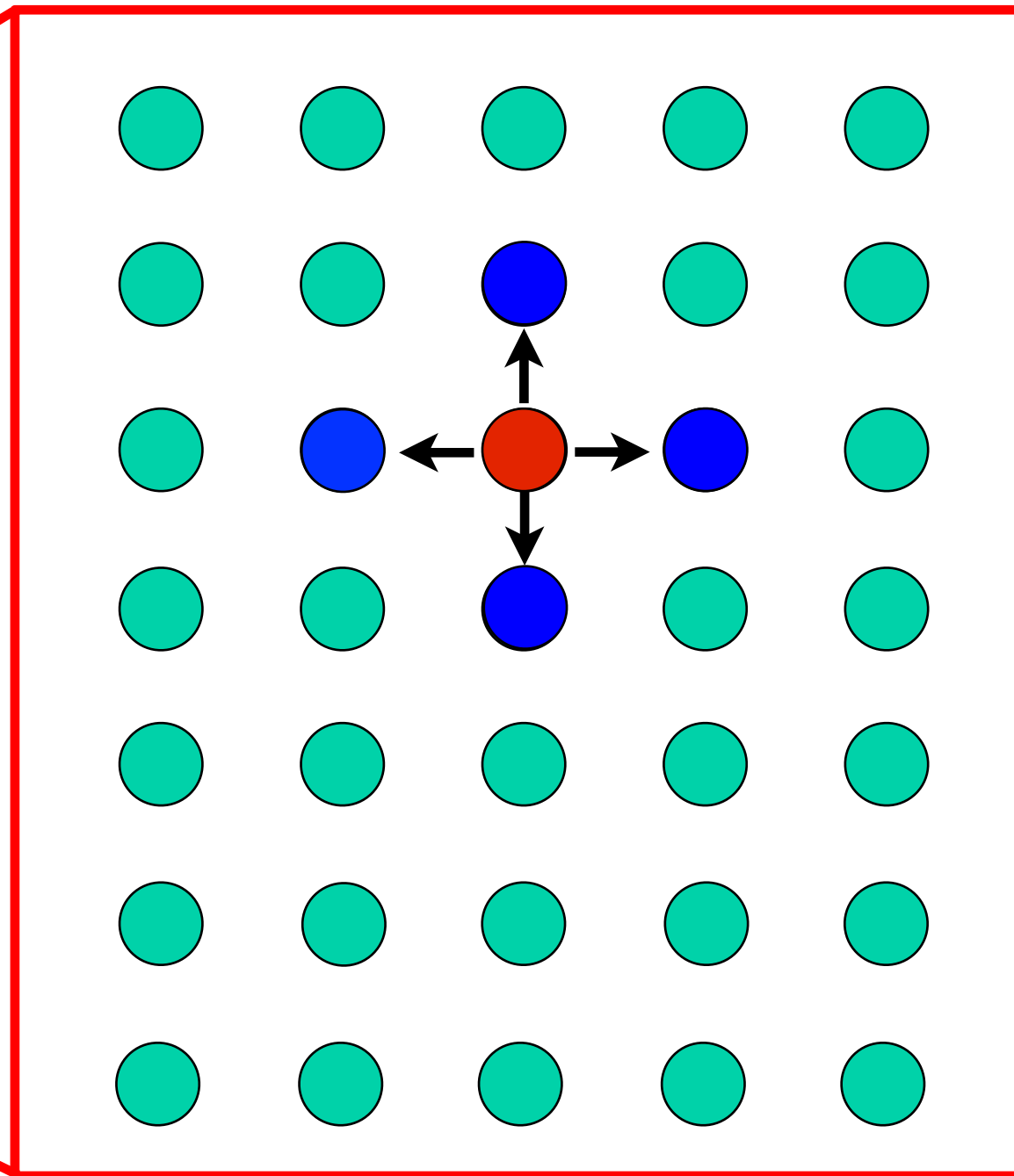


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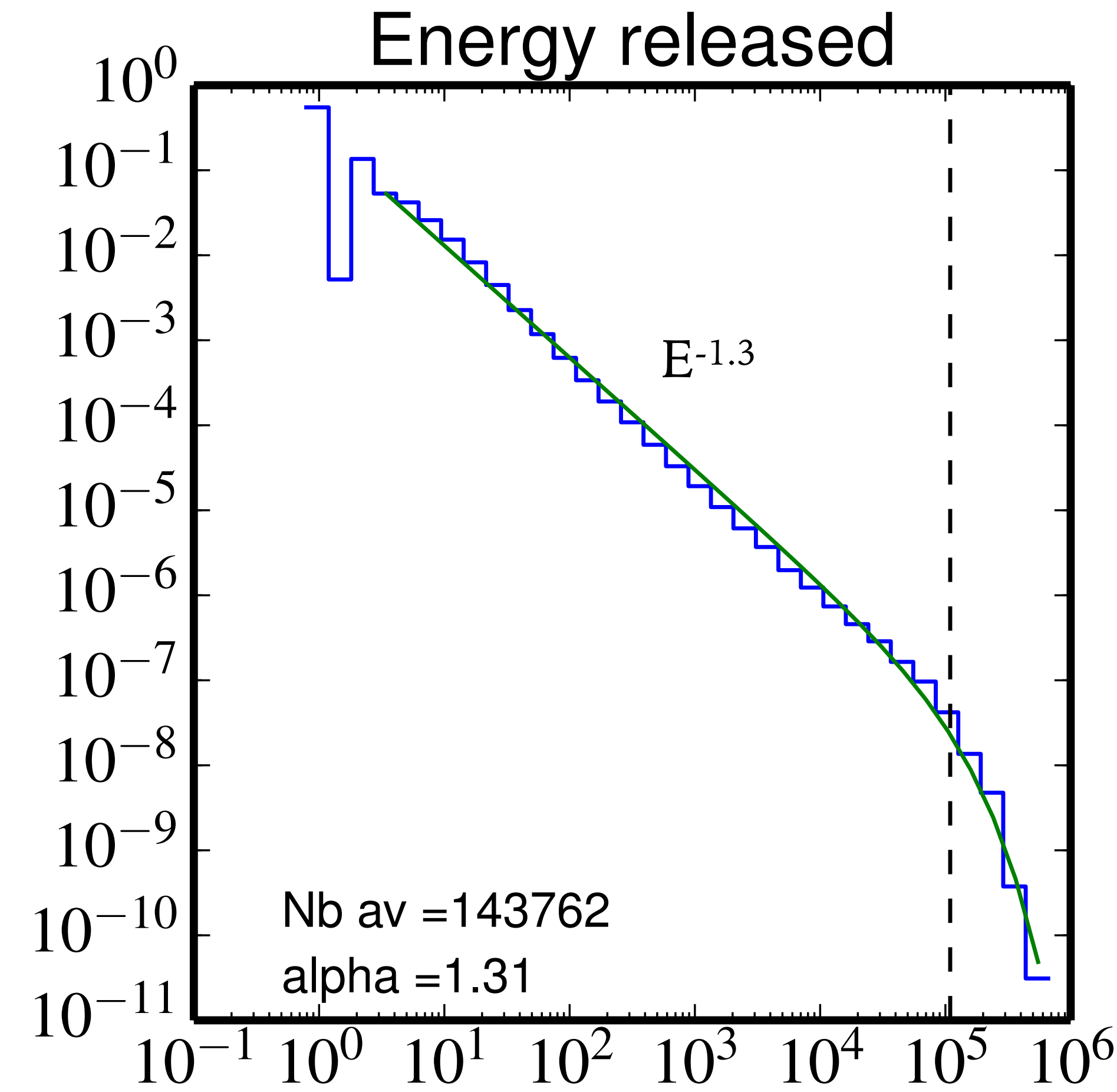
Driver

Threshold

Redistribution rule

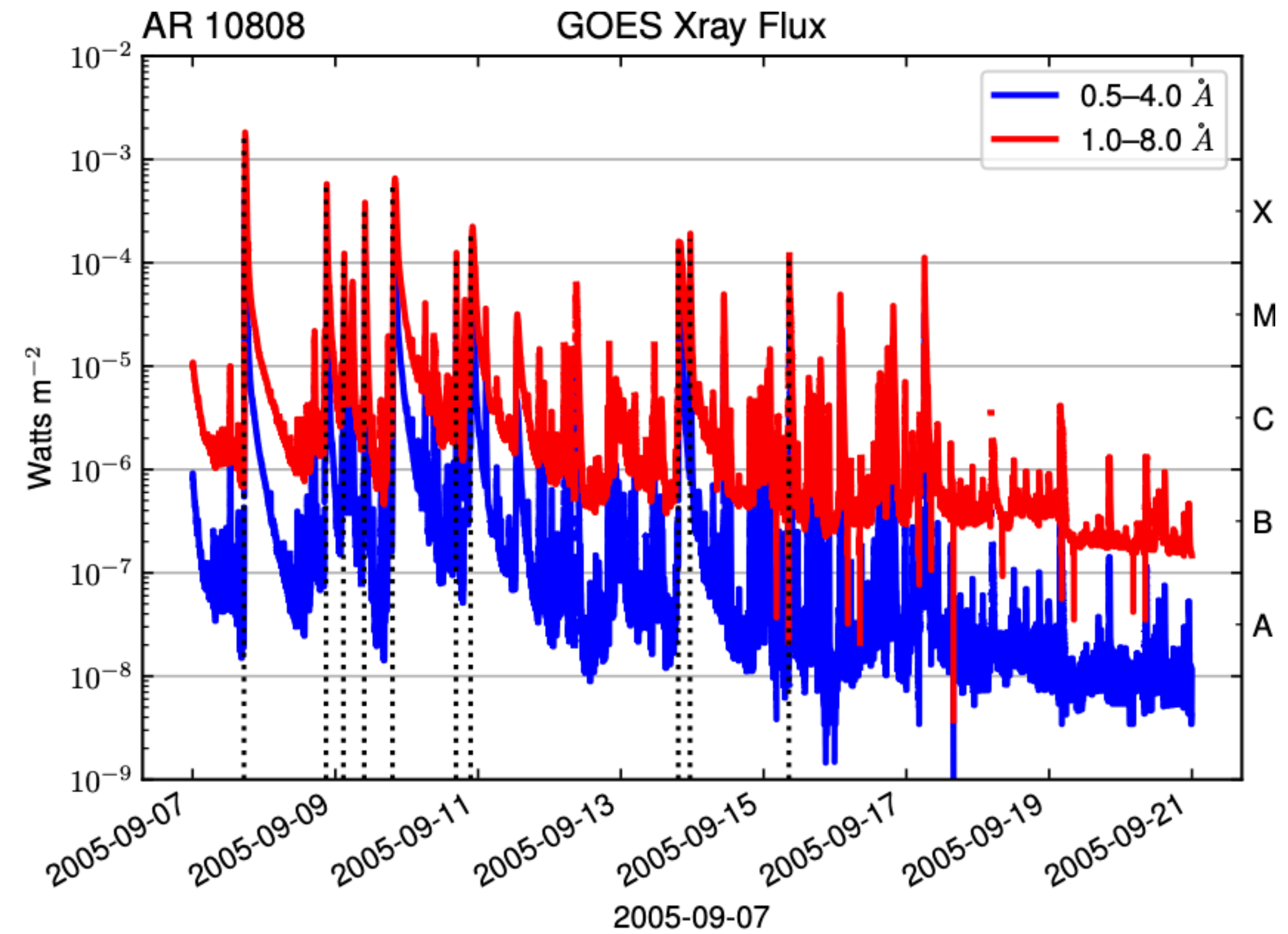
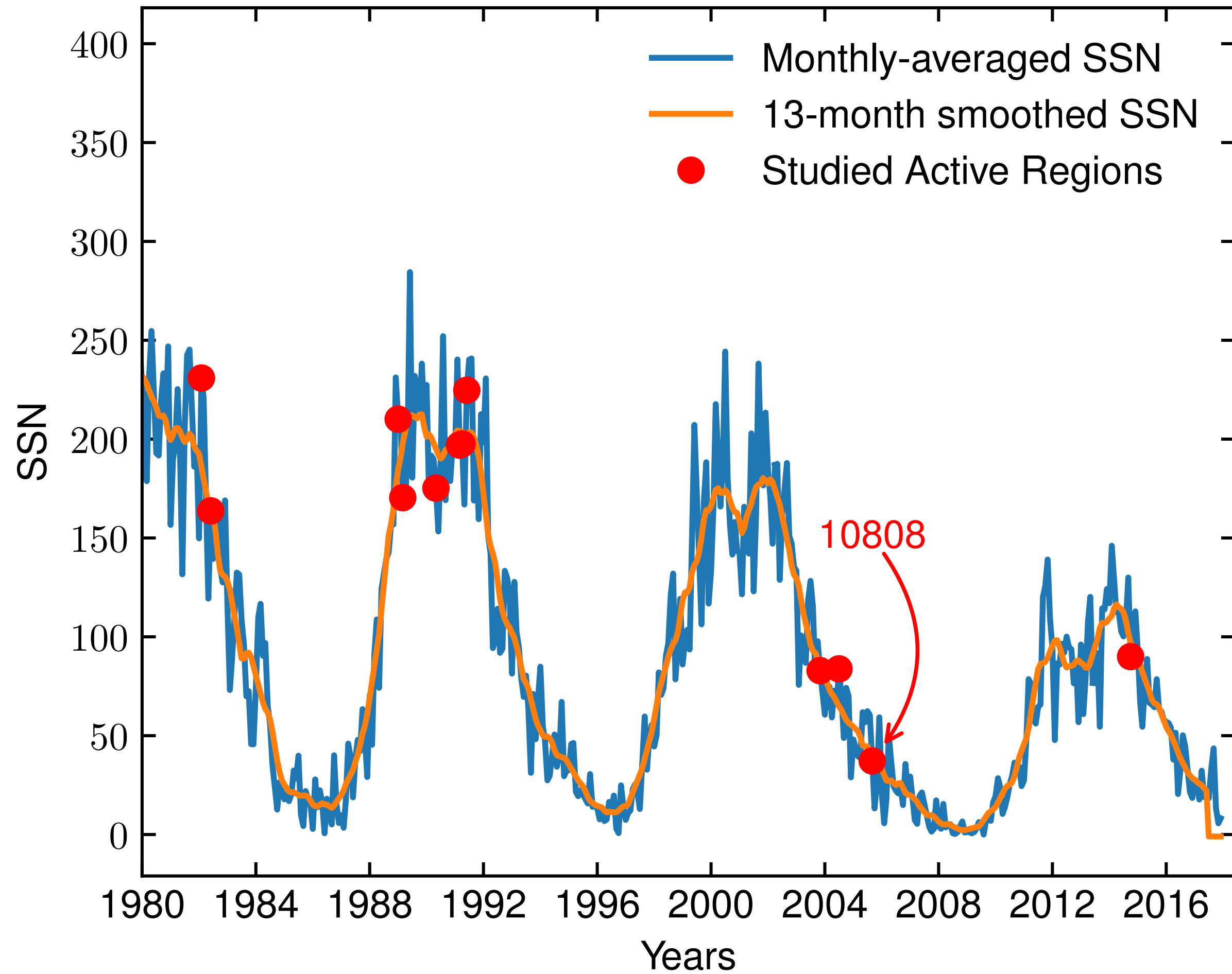


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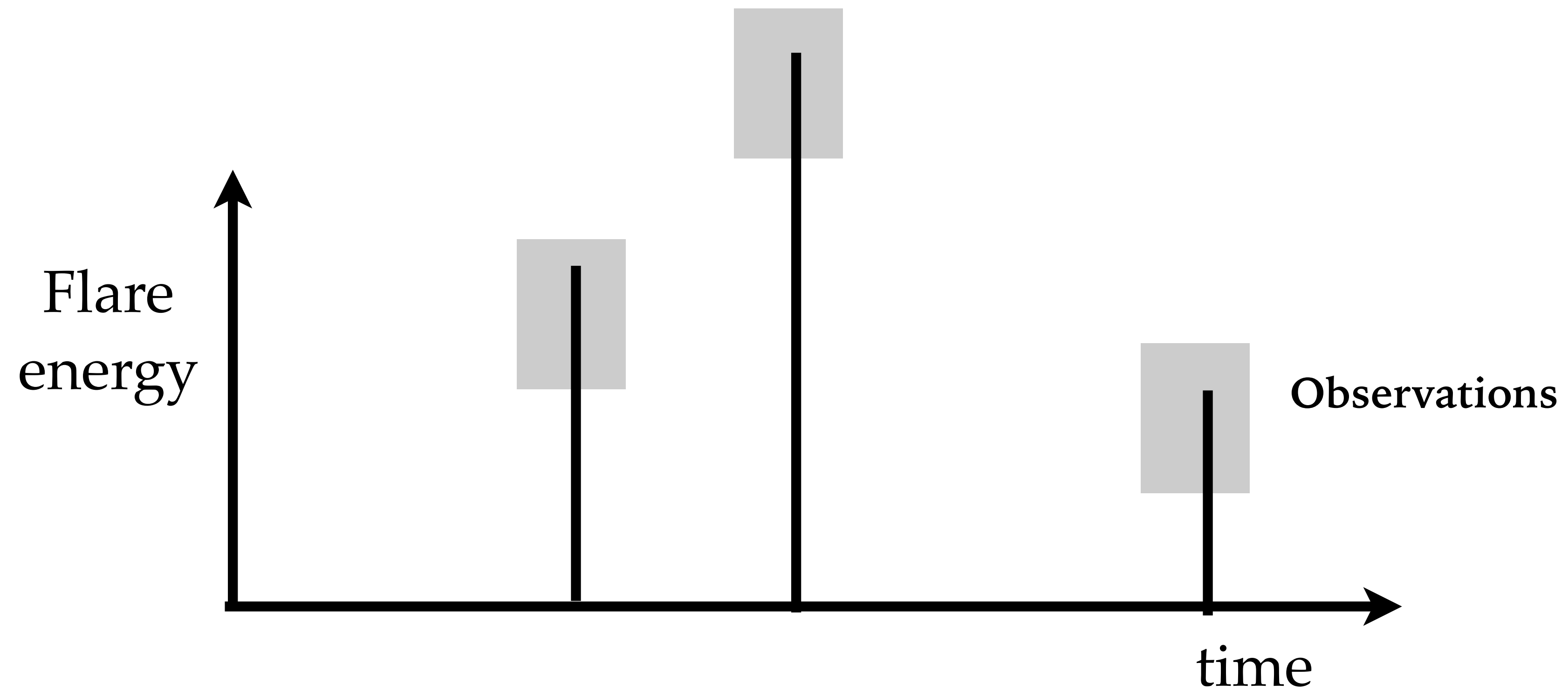
Avancées de la tâche II (Thibeault et al. 2021): application à des données GOES



A) Compare observational data with the sandpile model (III)

Least squares not efficient because of the discrete character of the events

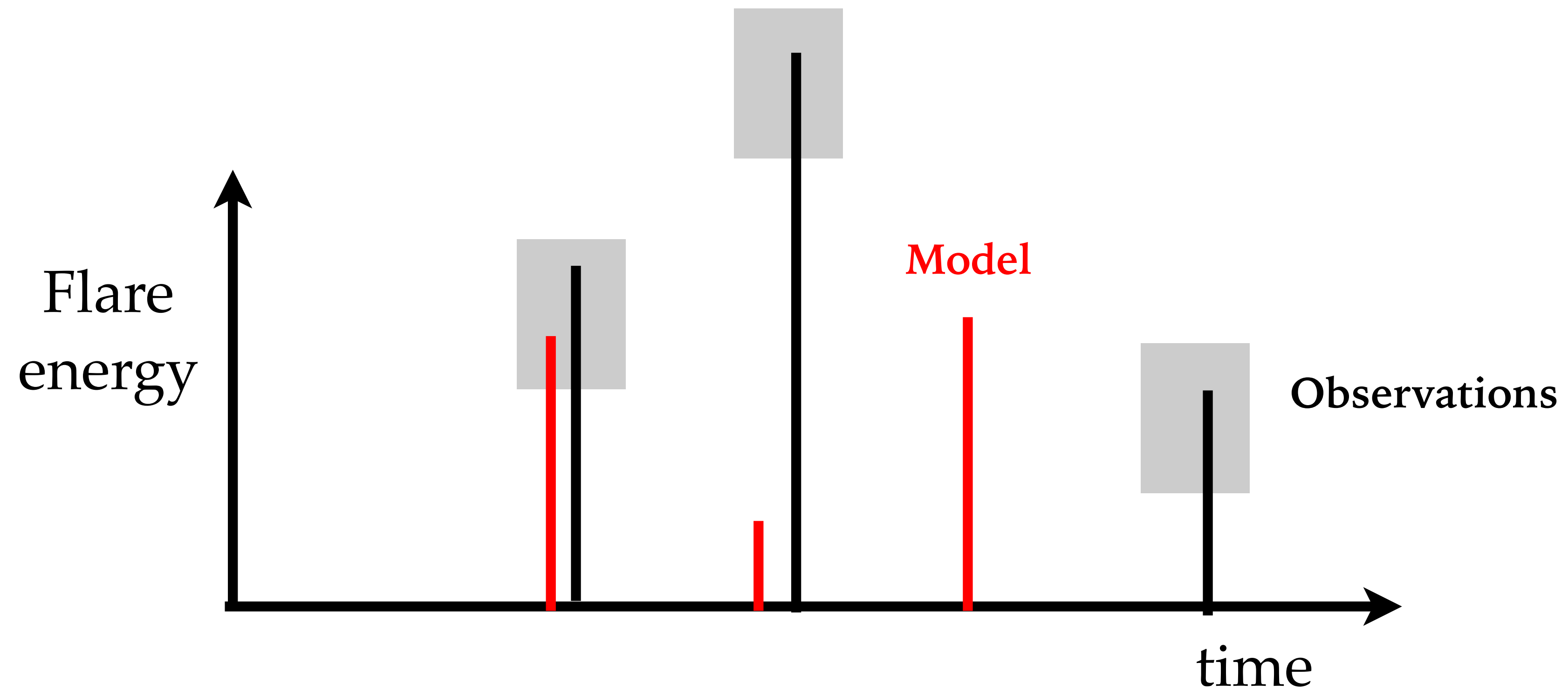
Defining a versatile **cost function**:



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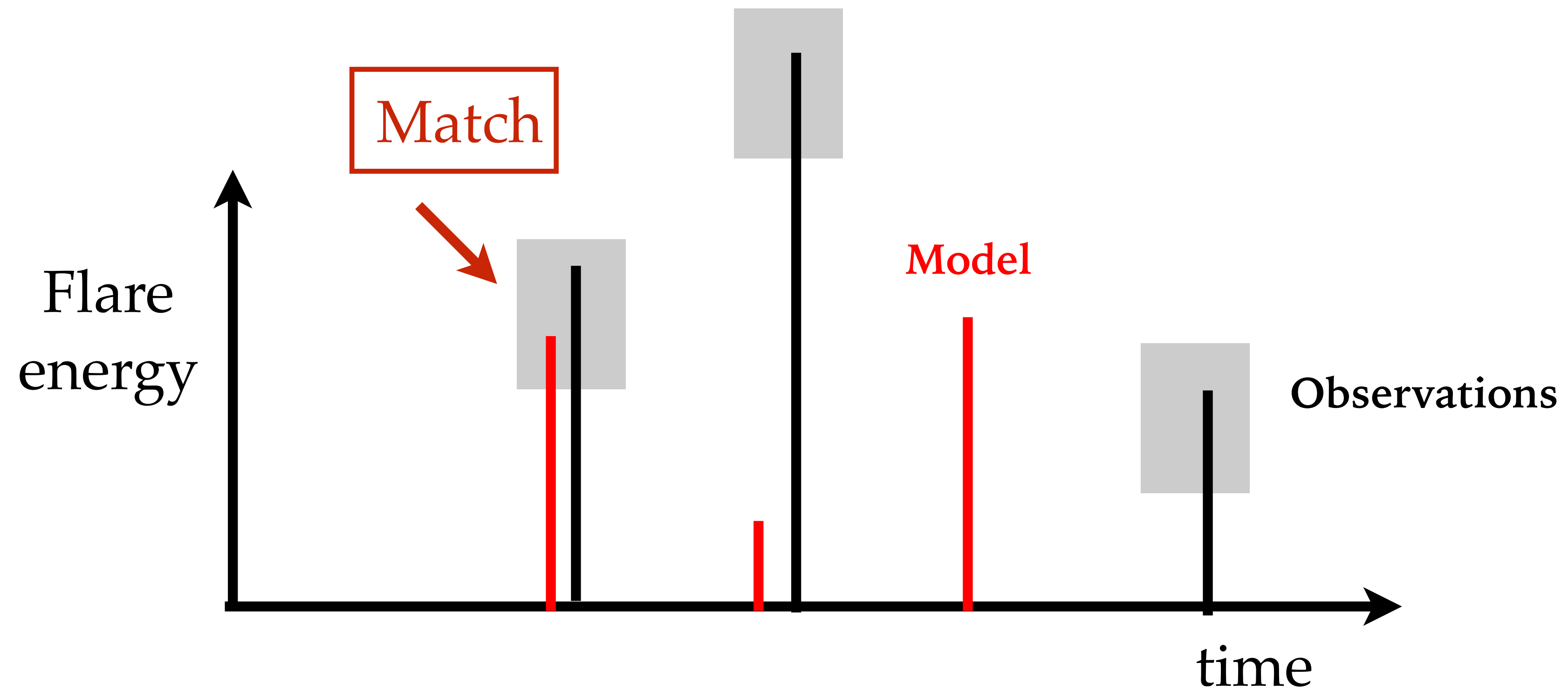
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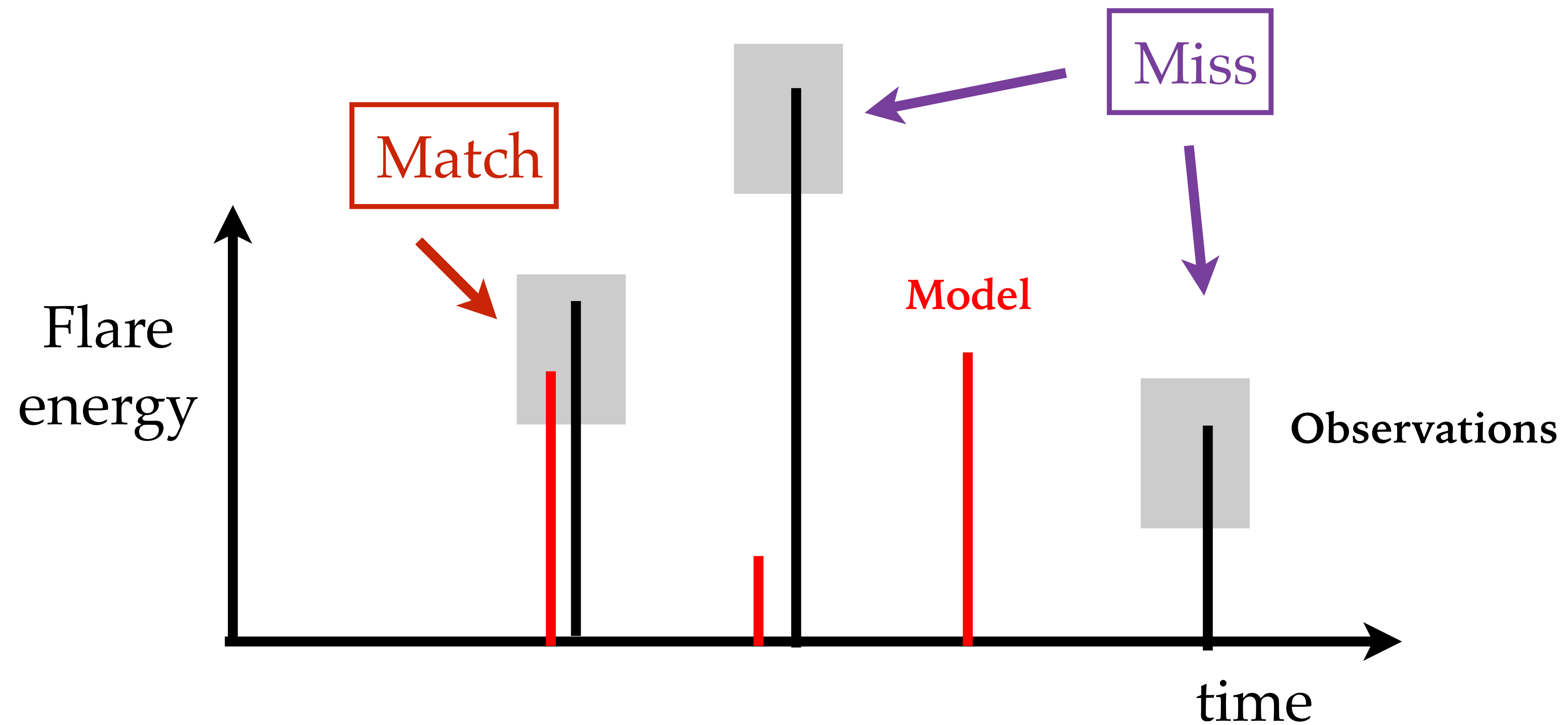
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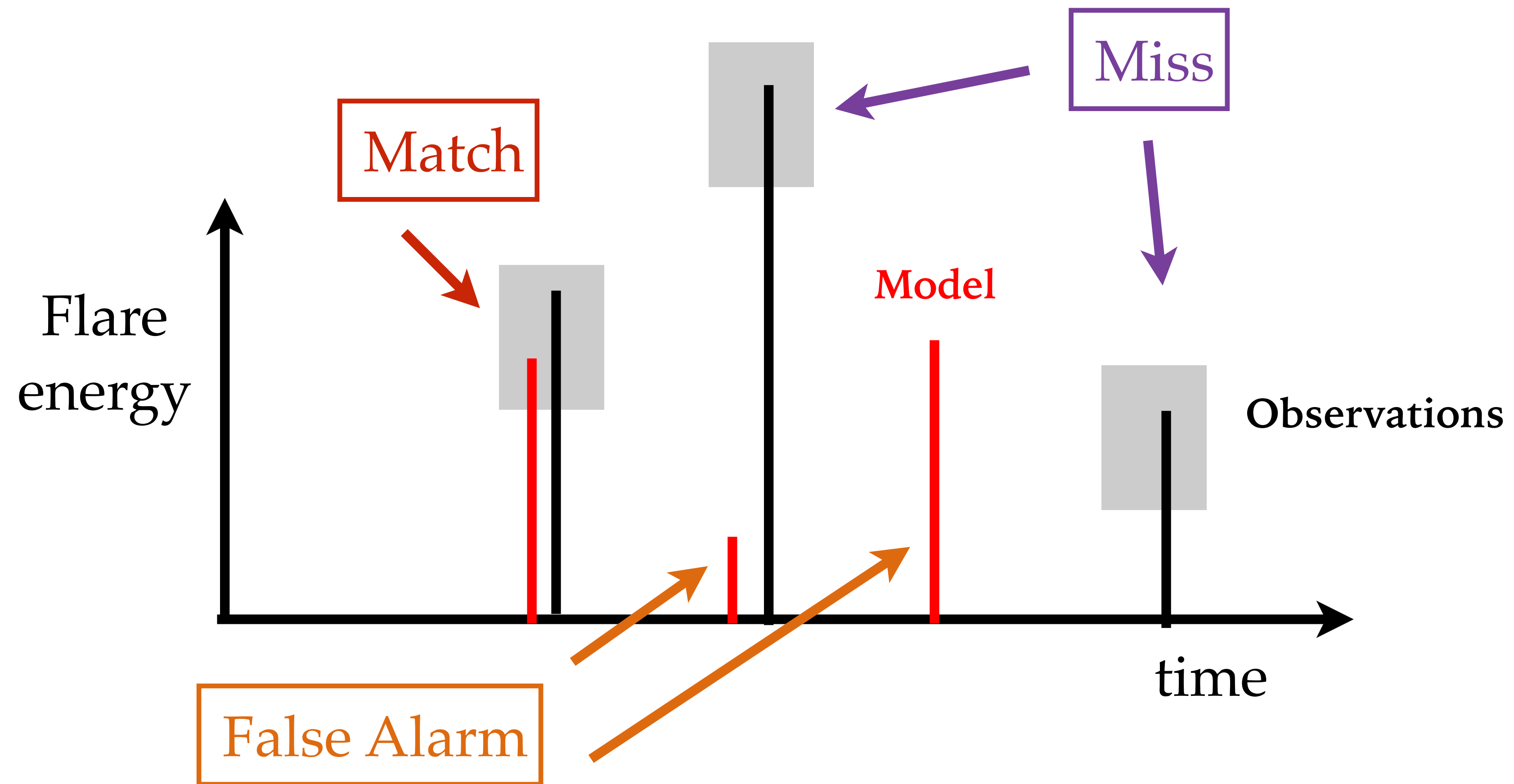
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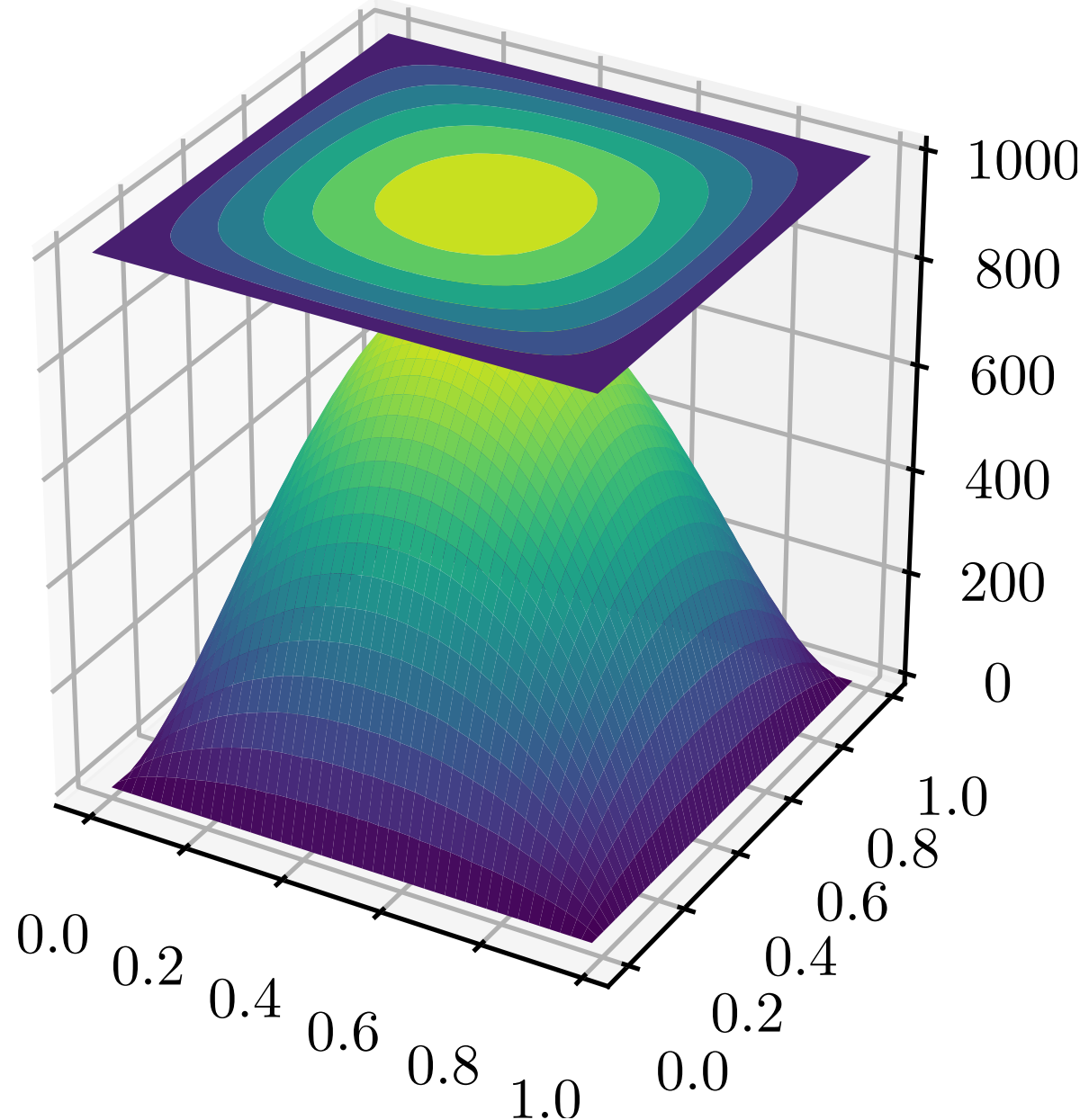
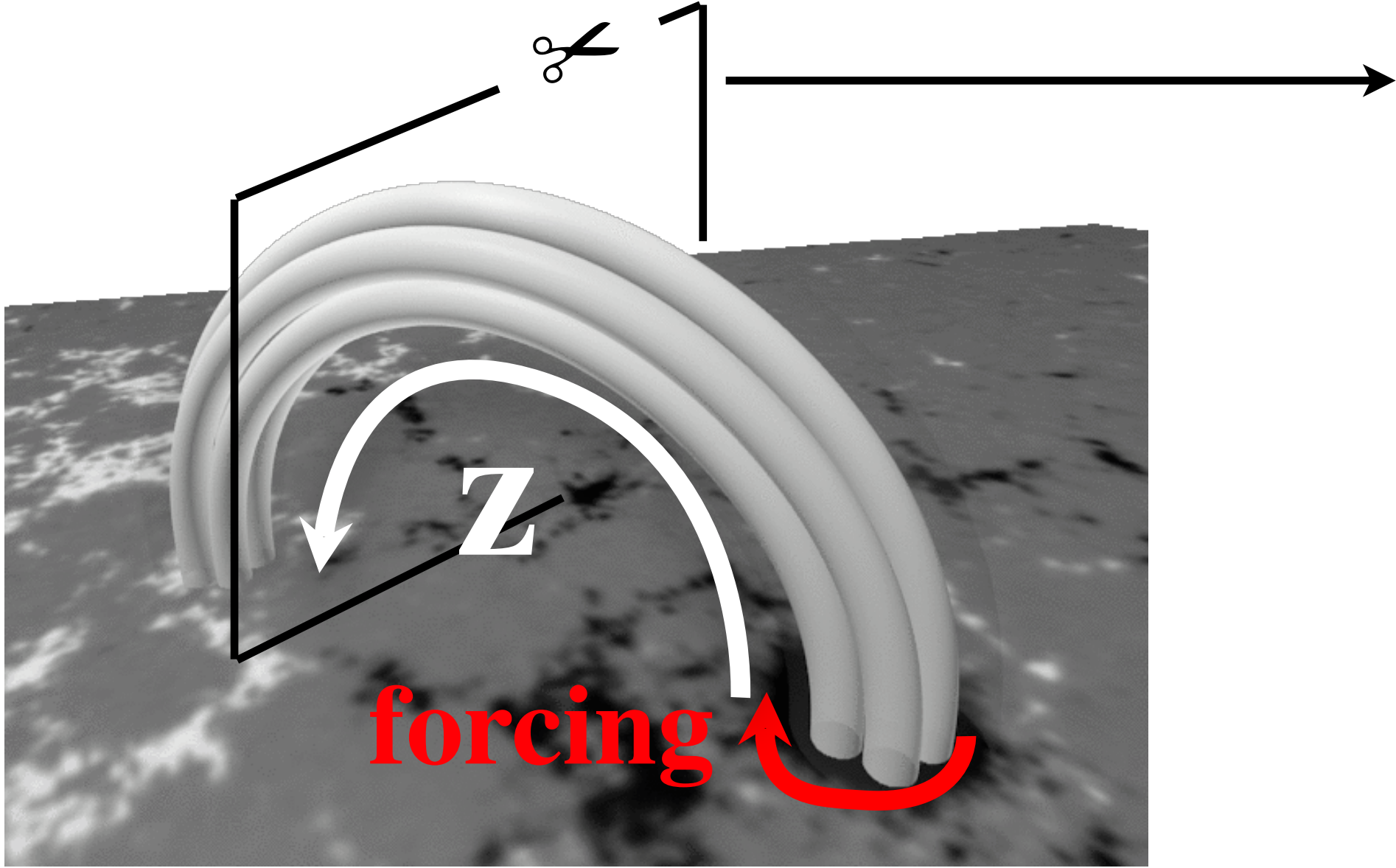
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Defining a versatile **cost function**:



Physical interpretation(s) of sandpiles

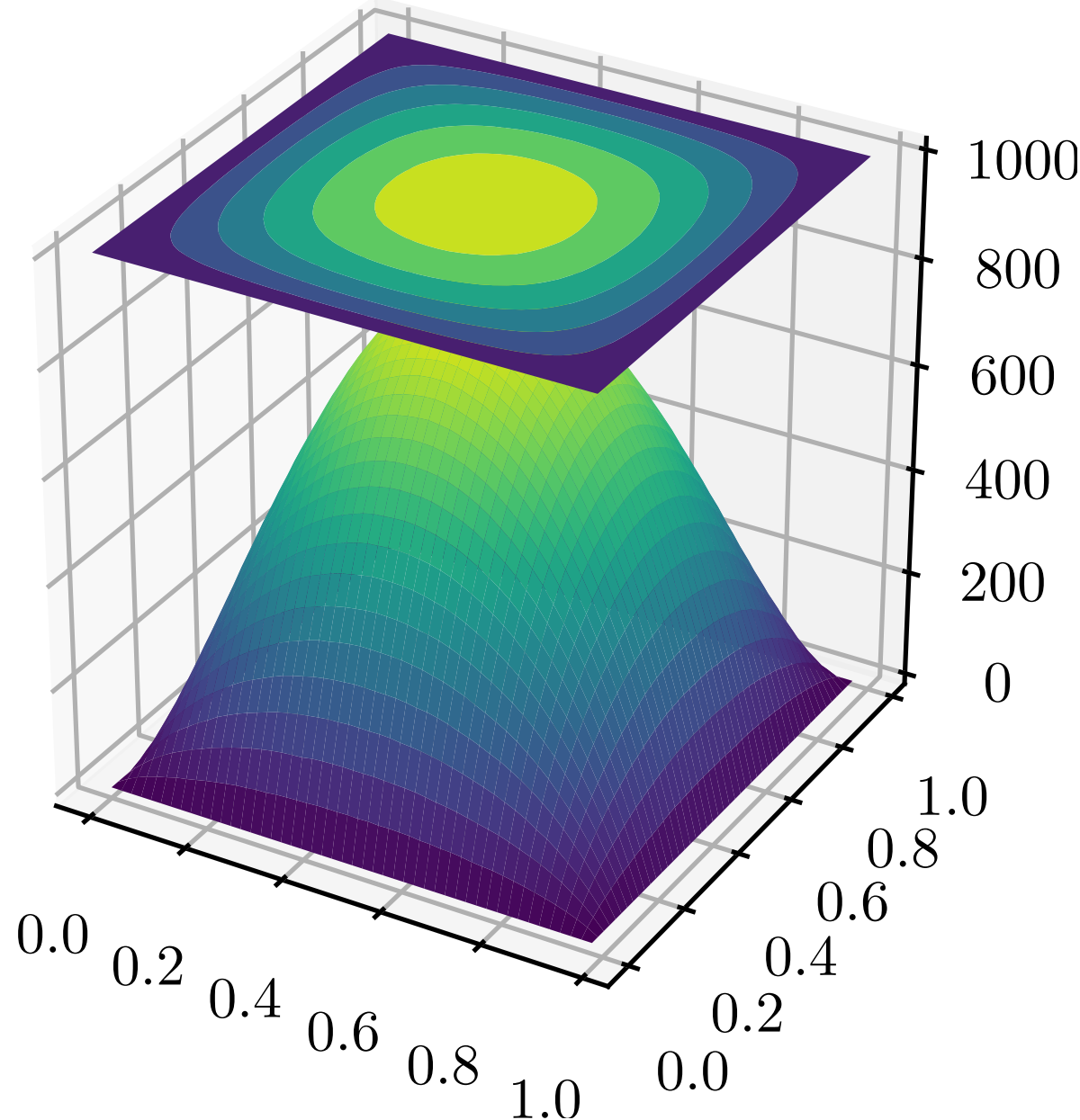
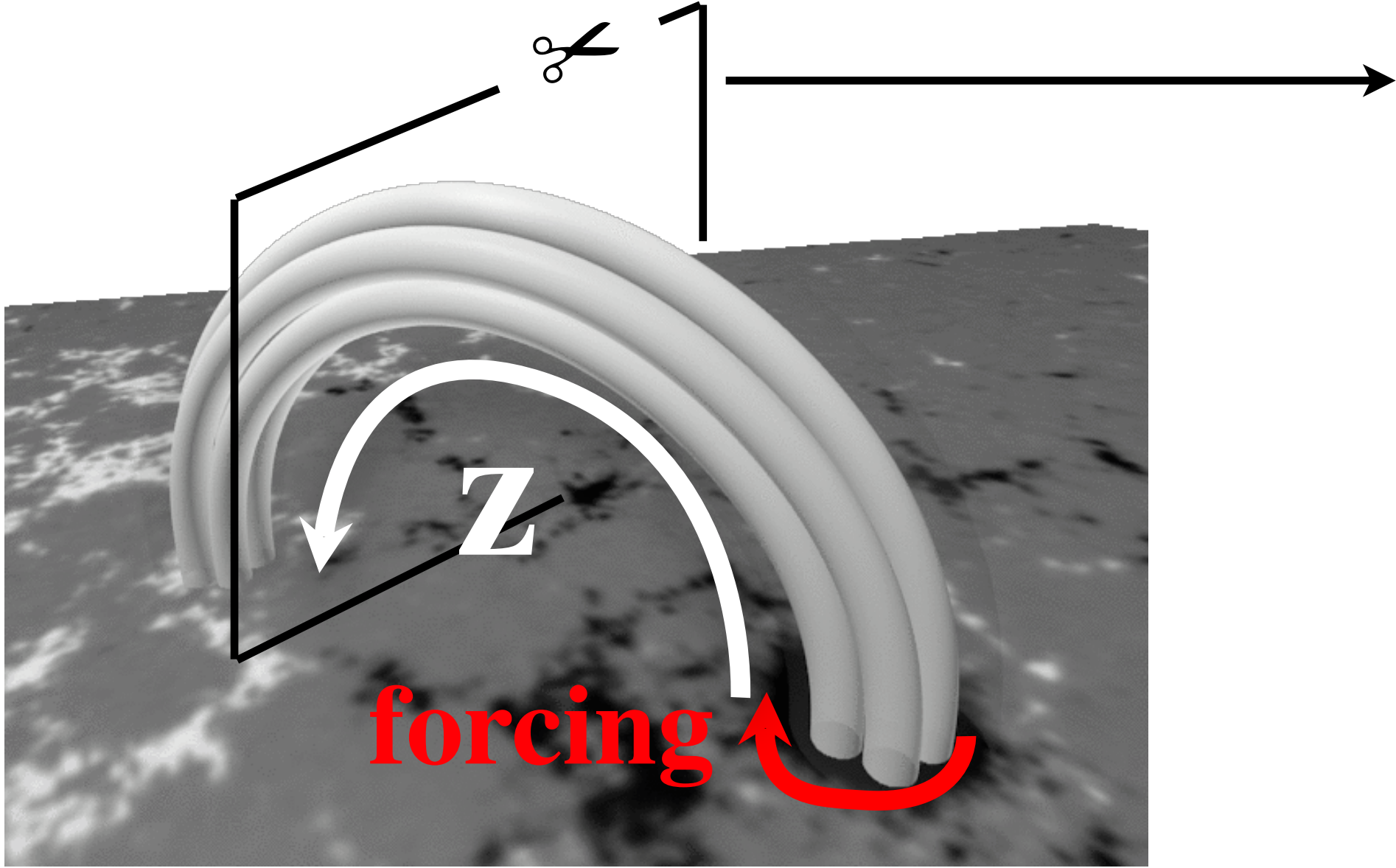


| Coronal Loop | Sandpile |
|----------------------------|---------------------------|
| Magnetic potential A_z | Height |
| Turbulent twisting of loop | Homogenous forcing |
| Currents | Curvature |
| Magnetic reconnection | Stochastic redistribution |

[Strugarek + 2014]

A. Strugarek

Physical interpretation(s) of sandpiles

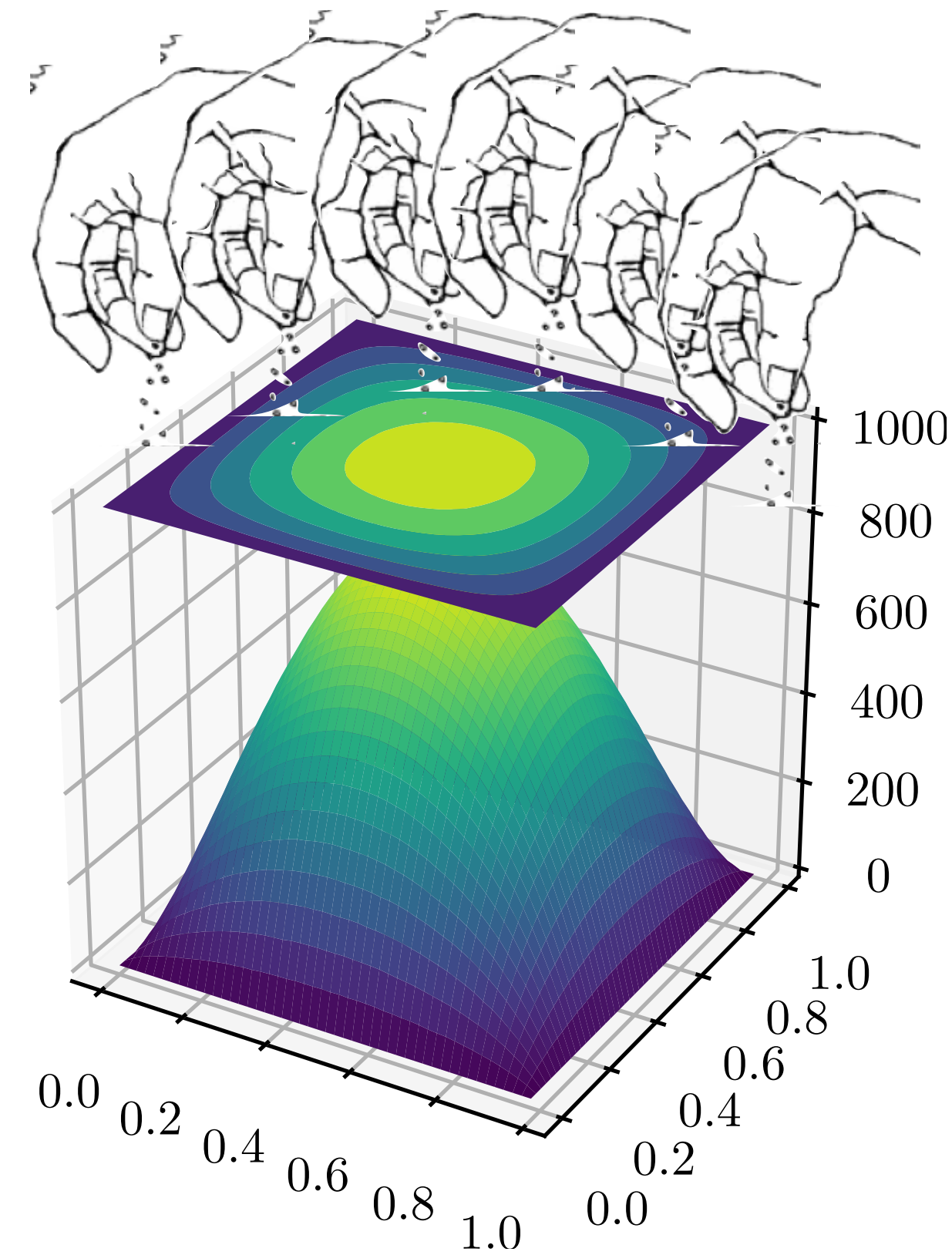
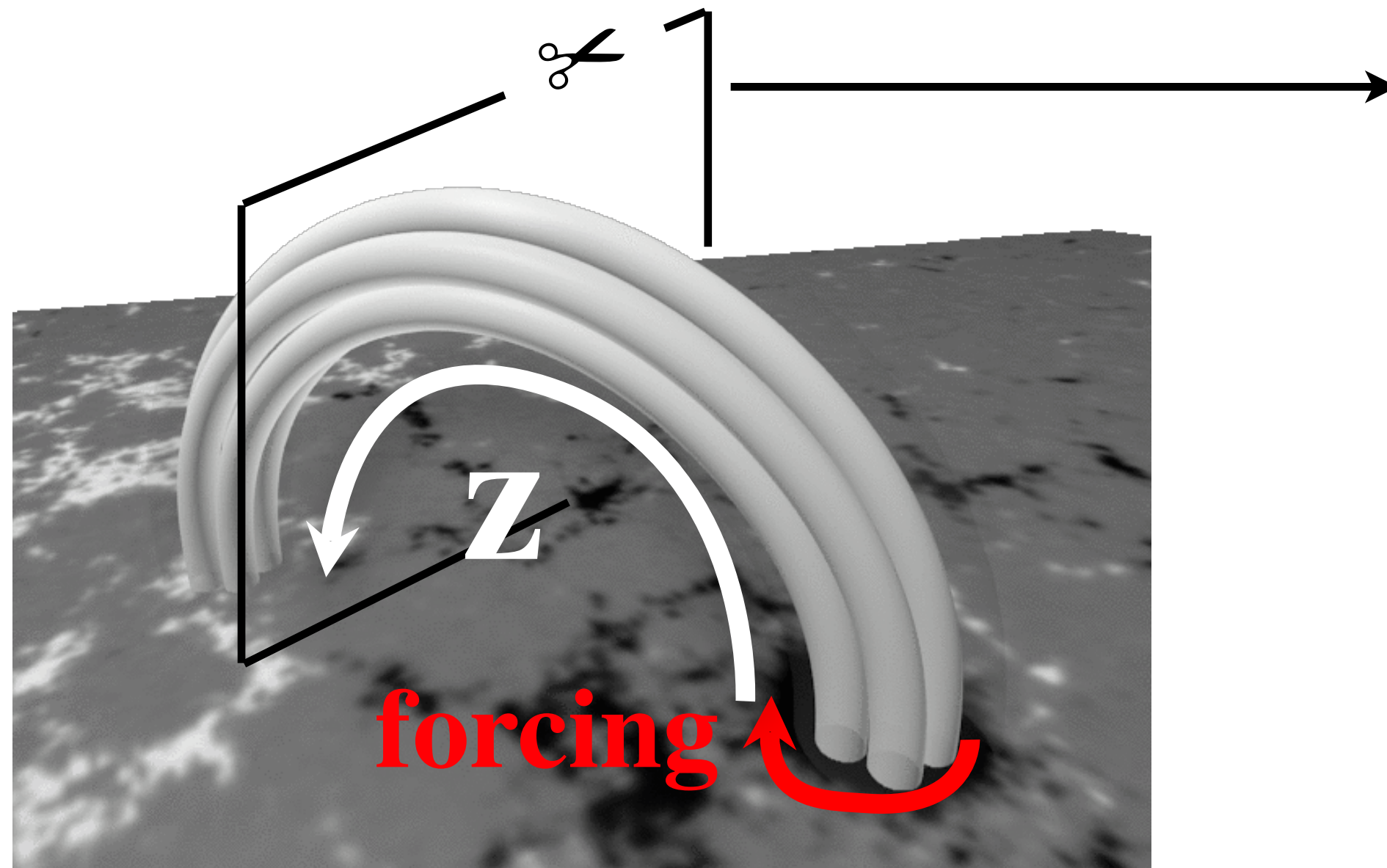


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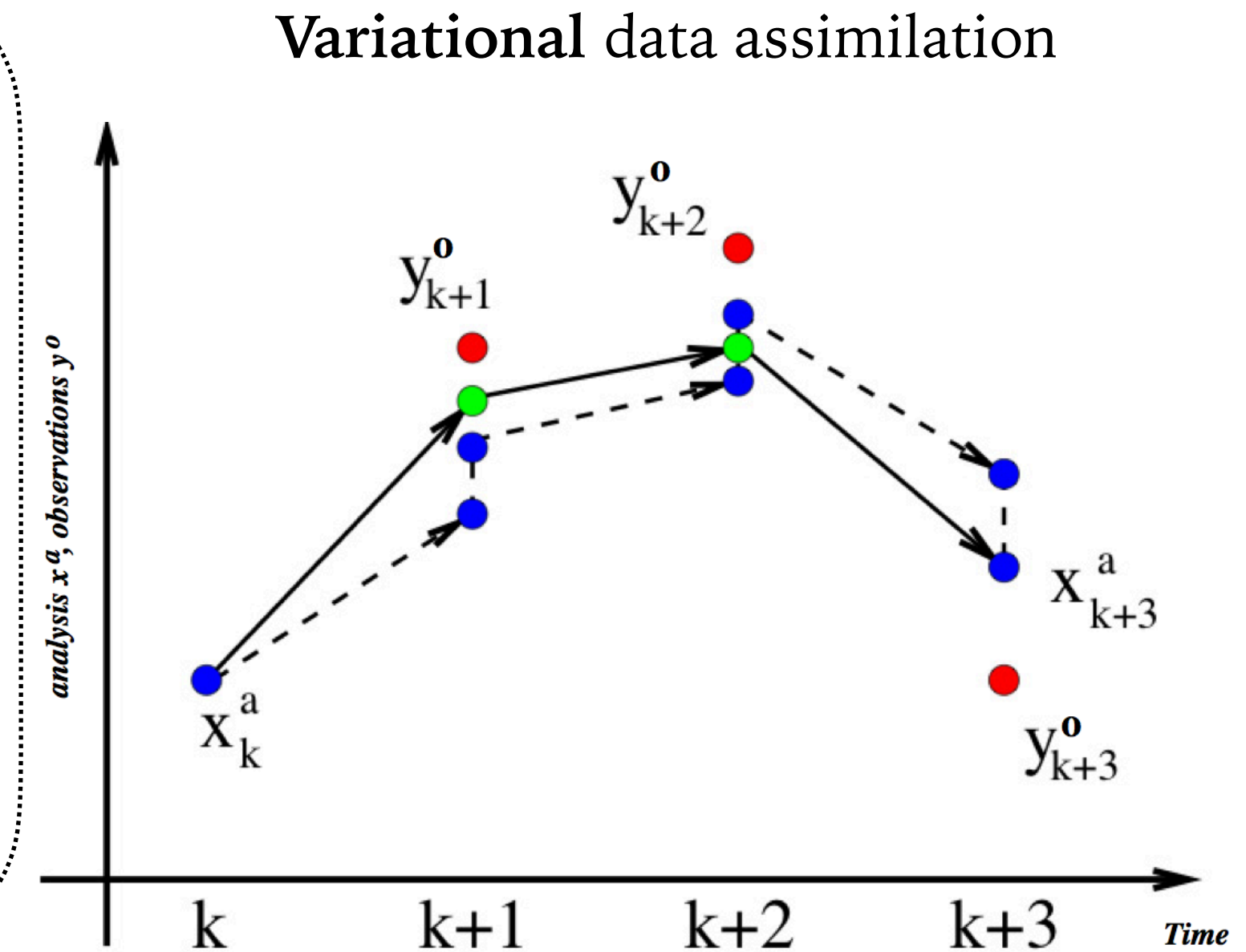
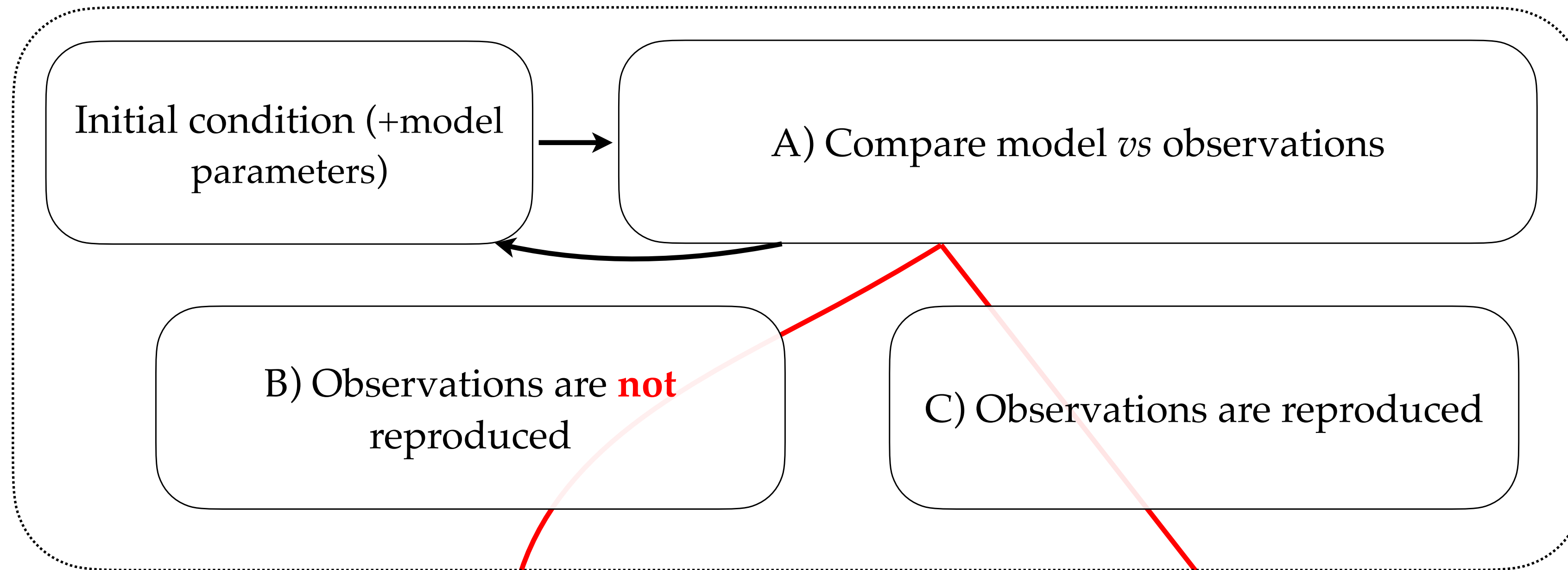
A. Strugarek

Physical interpretation(s) of sandpiles



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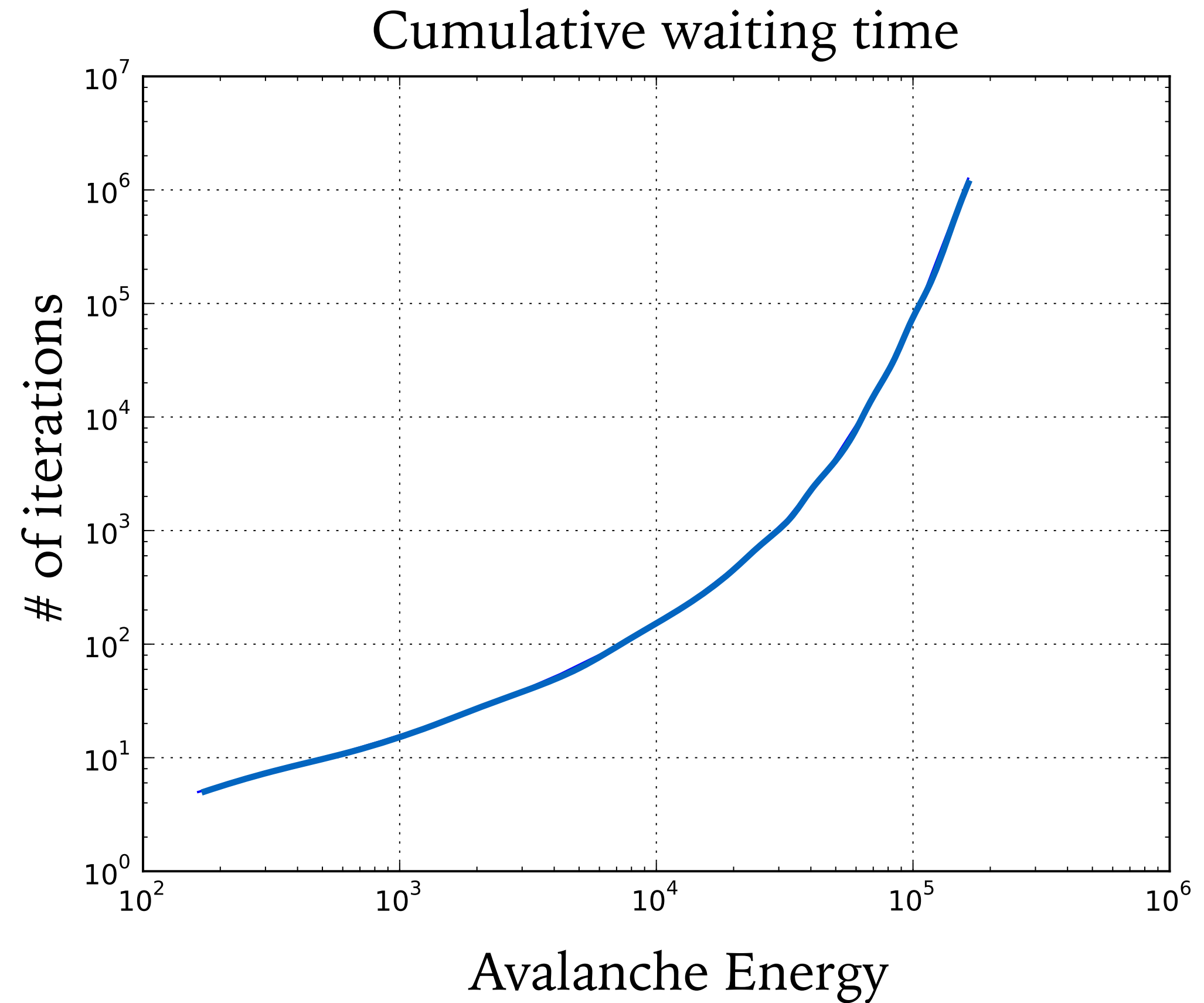
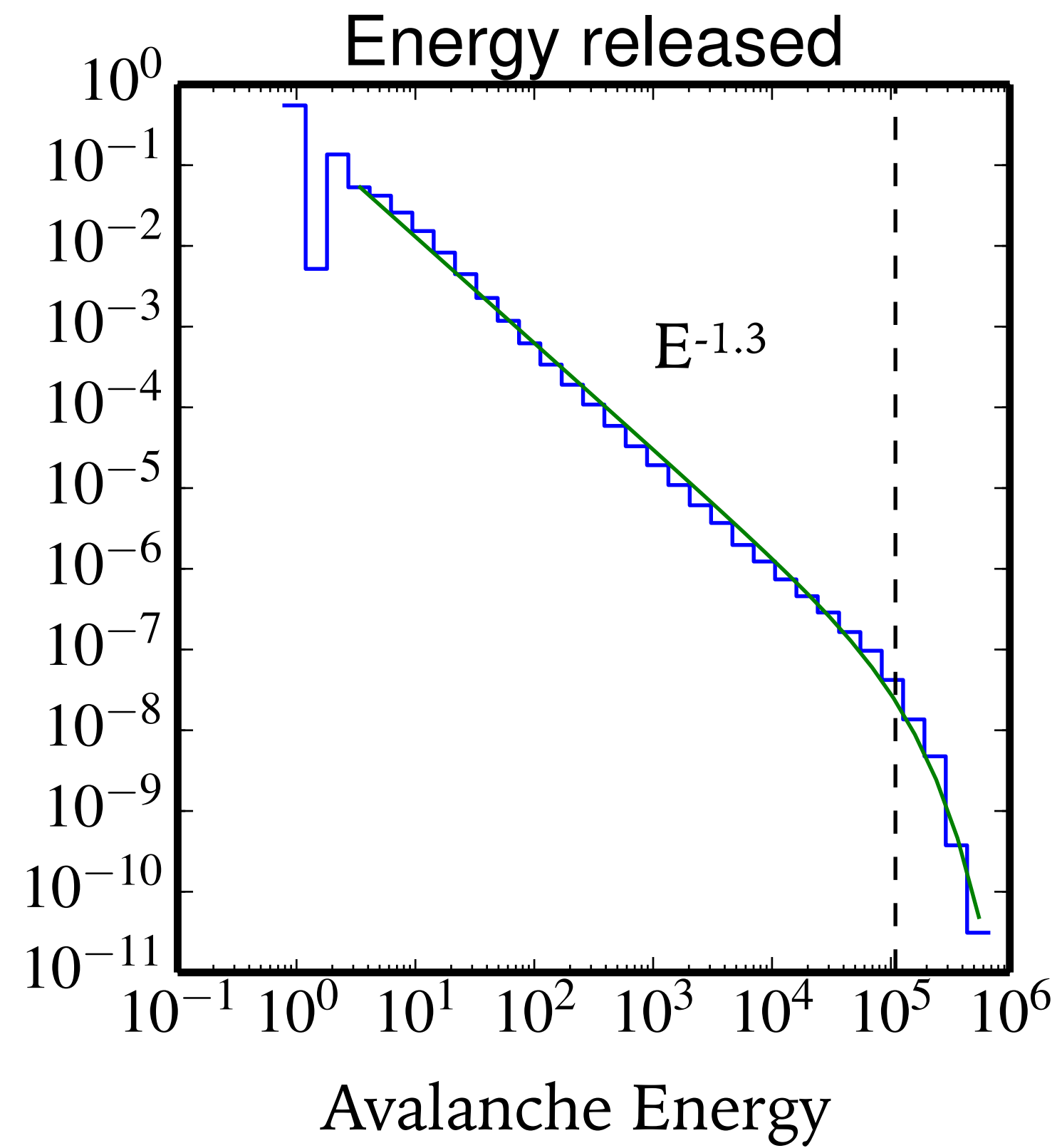
Data assimilation in sandpile models: FlarePredict



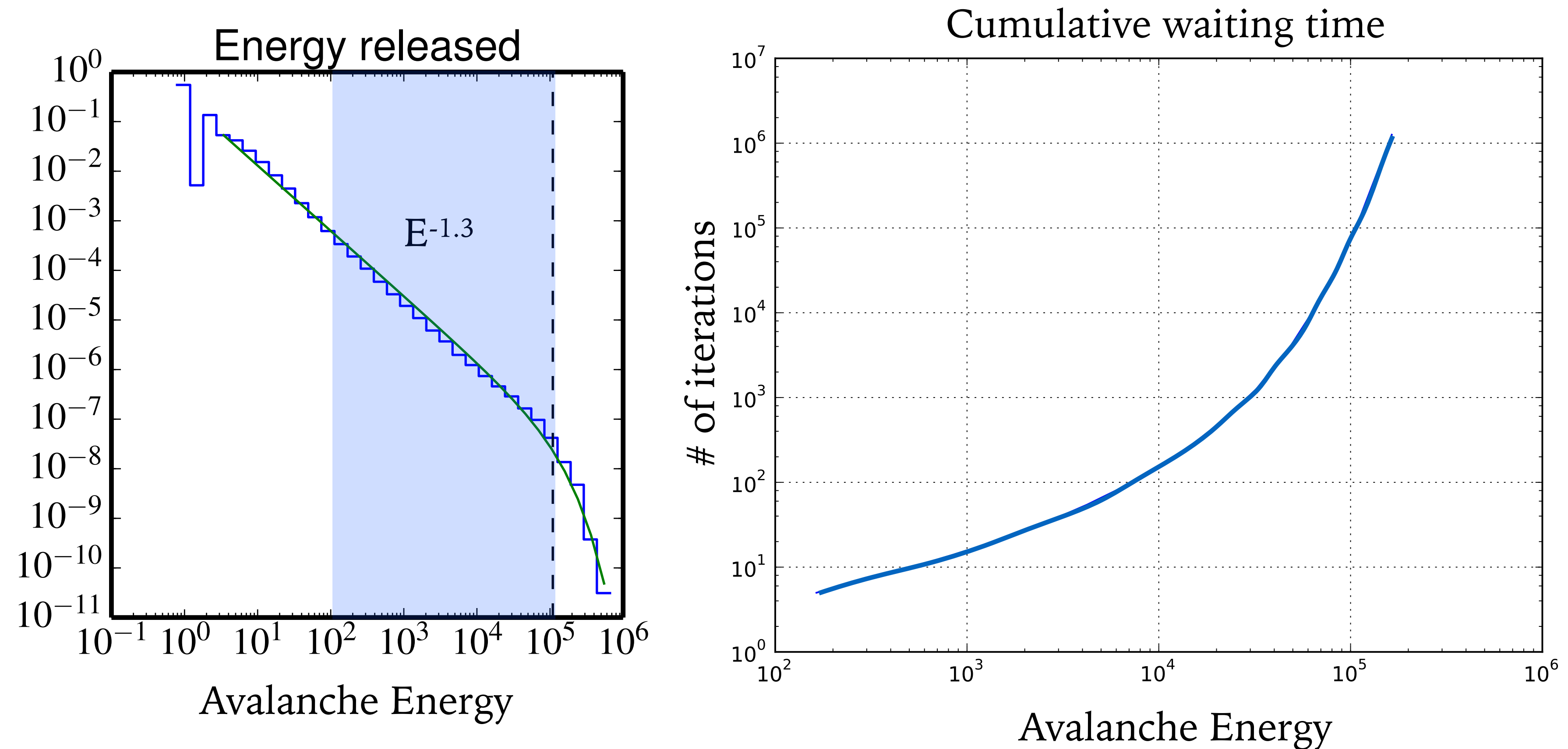
Need **efficient minimization** technique

Determine eruptive probability (**prediction**)
'Hindcasting' on past records first

A) Compare observational data with the sandpile model (I)

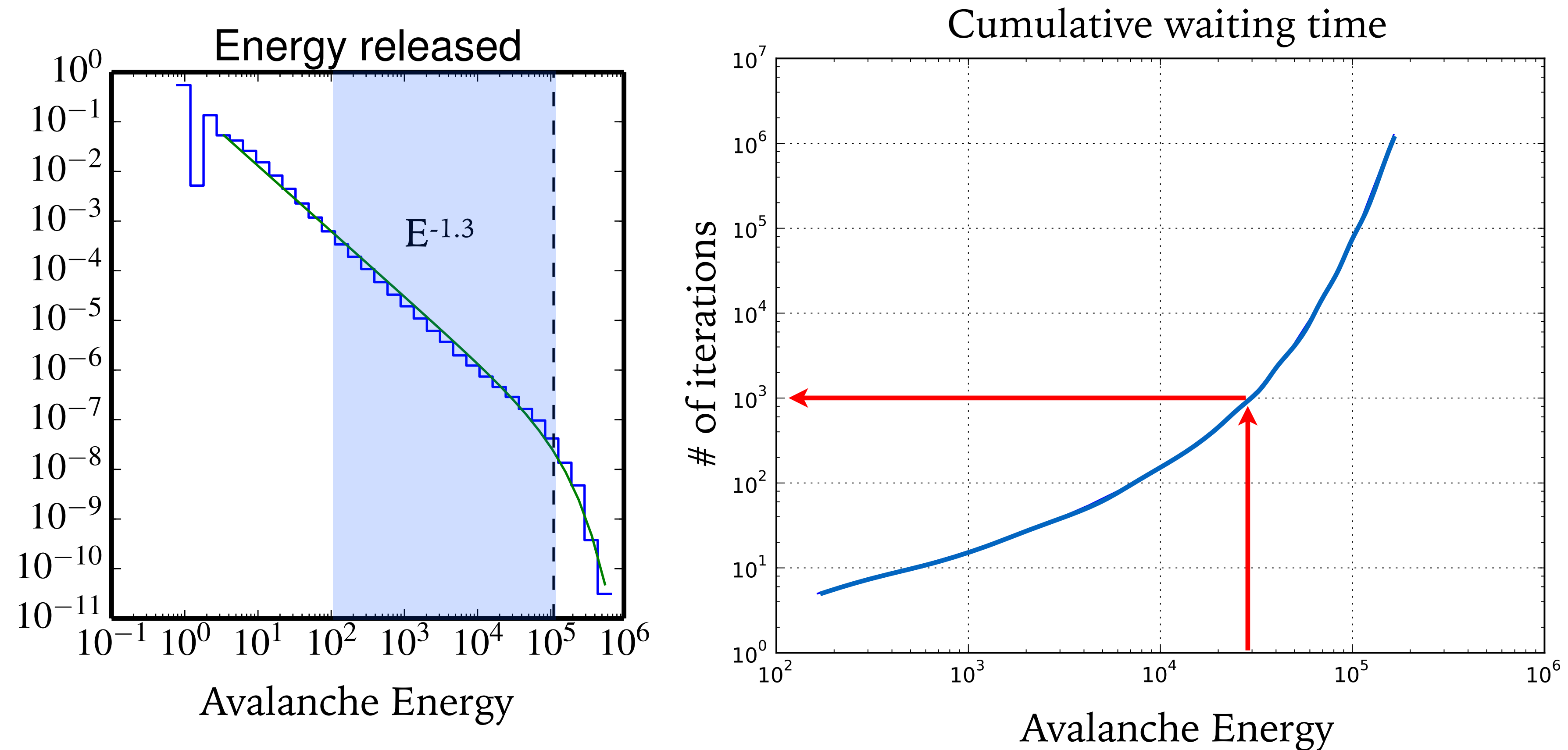


A) Compare observational data with the sandpile model (I)



1) Match range of model energies to Flare energy from C to X

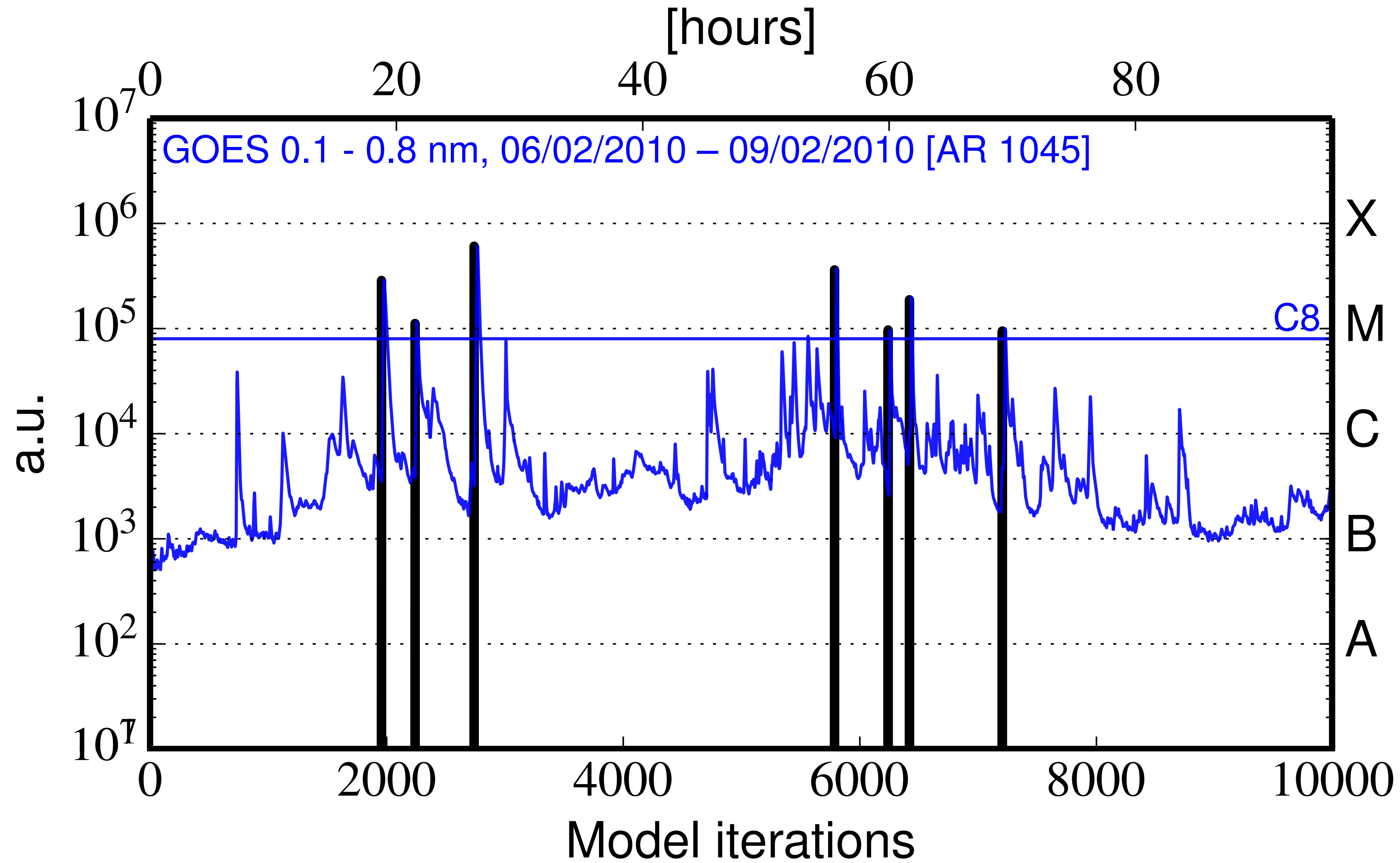
A) Compare observational data with the sandpile model (I)



1) Match range of model energies to Flare energy from C to X

2) Deduce time normalization from the cumulative waiting time distributions

A) Compare observational data with the sandpile model (II)

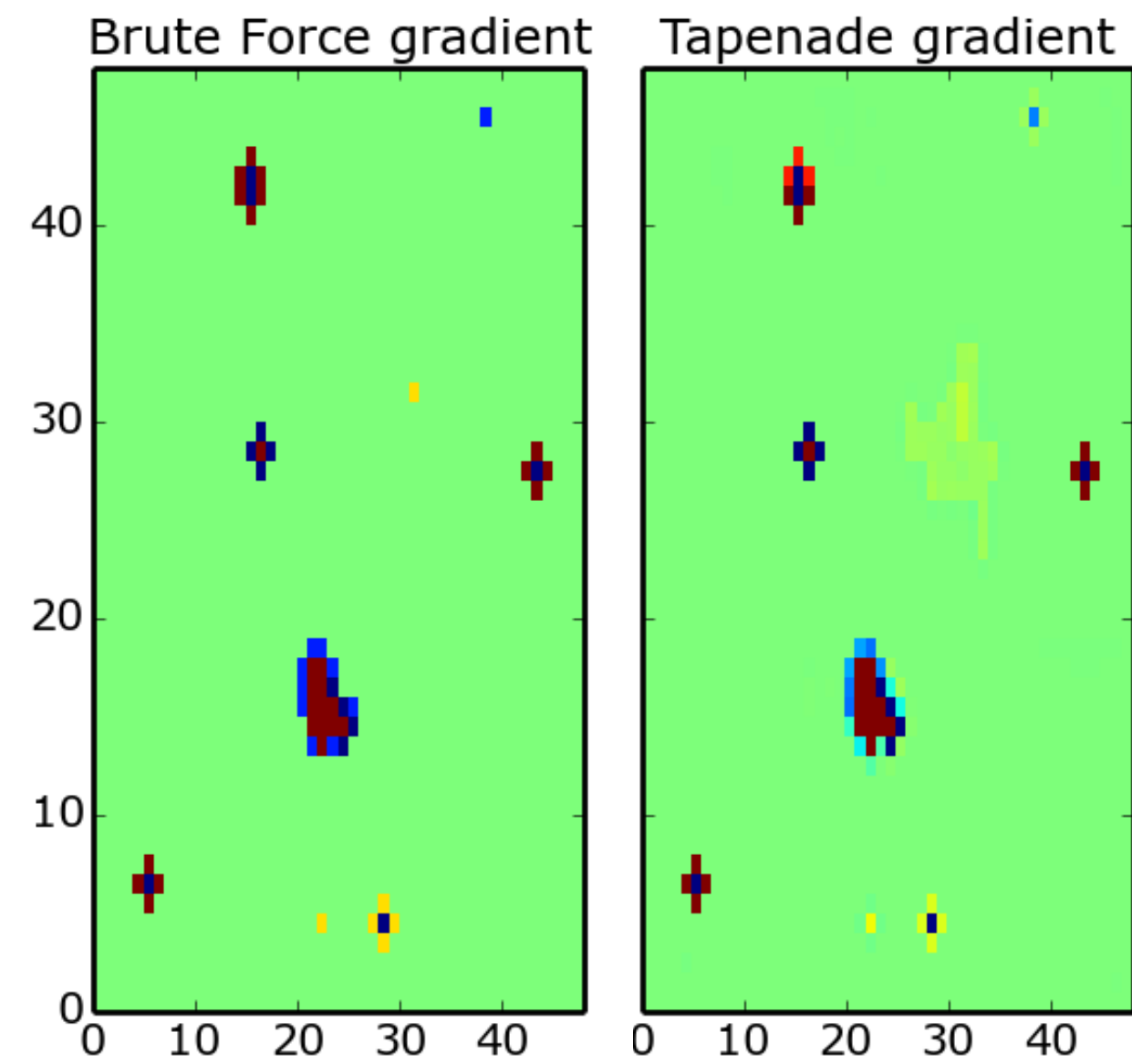


[Aschwanden & Freeland 2012]

B) Find new initial conditions reproducing the data (I)

B) Find new initial conditions reproducing the data (I)

Using the gradient of the cost function



Adjoint method

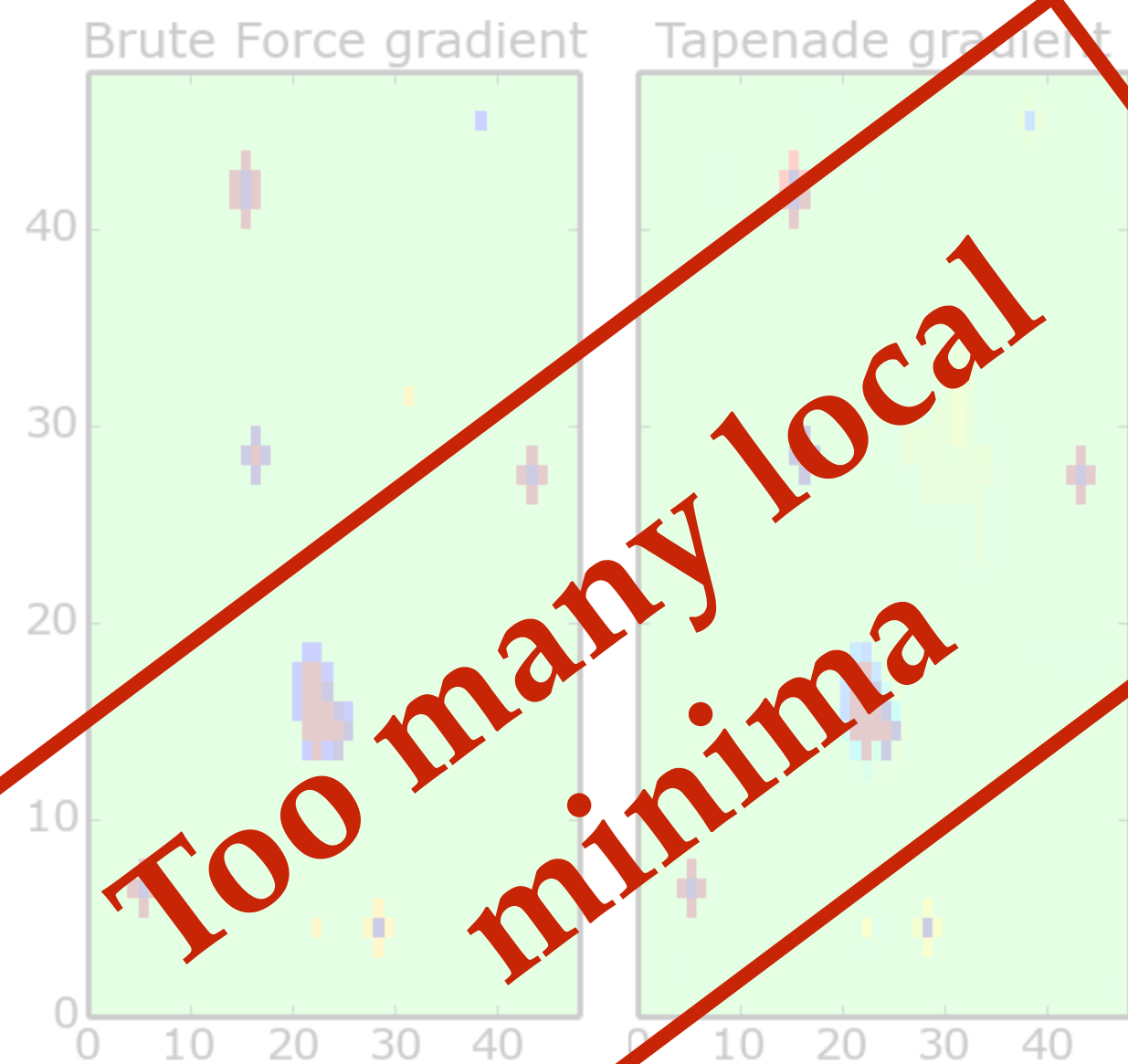
B) Find new initial conditions reproducing the data (I)

Using the gradient of the cost function



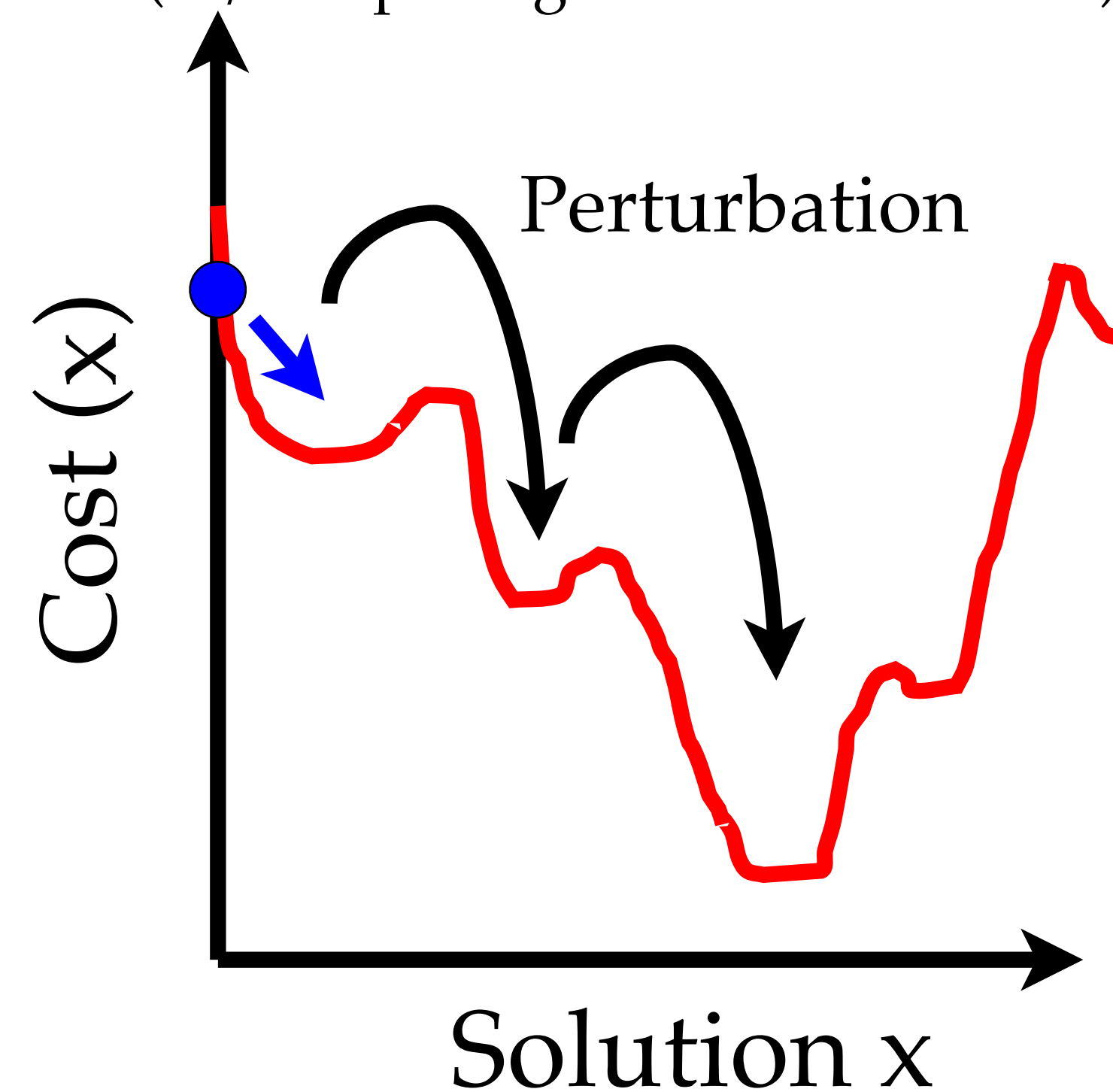
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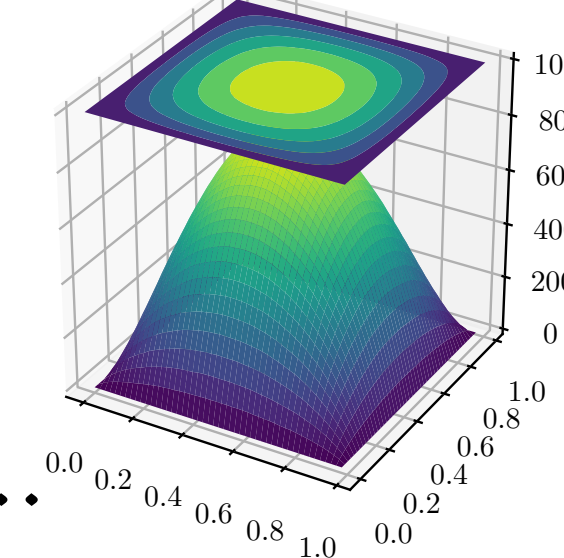
Adjoint method

Using more robust but more expensive methods
(w/o explicit gradient calculation)



Simulated annealing

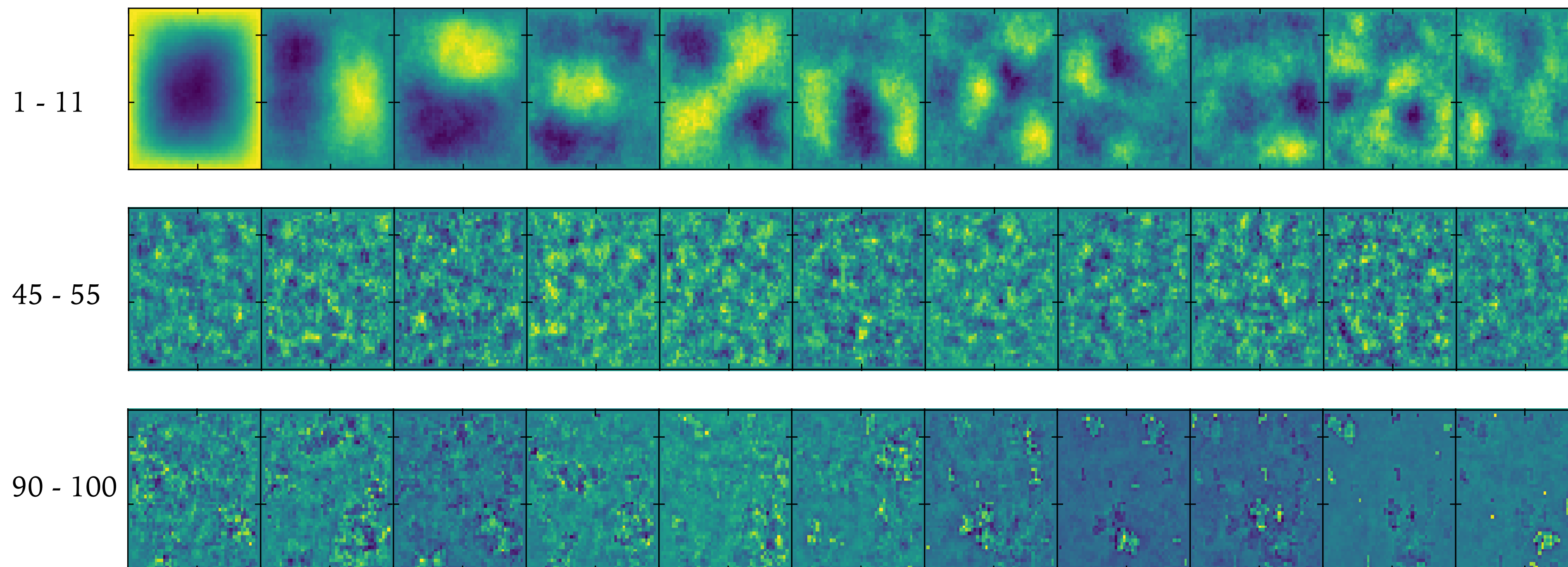
B) Find new initial conditions reproducing the data (II)



Simulated annealing is not very efficient: N^2 realizations of the model are used...

Idea: reduce this number by projecting the sandpile model on its **eigenvectors**

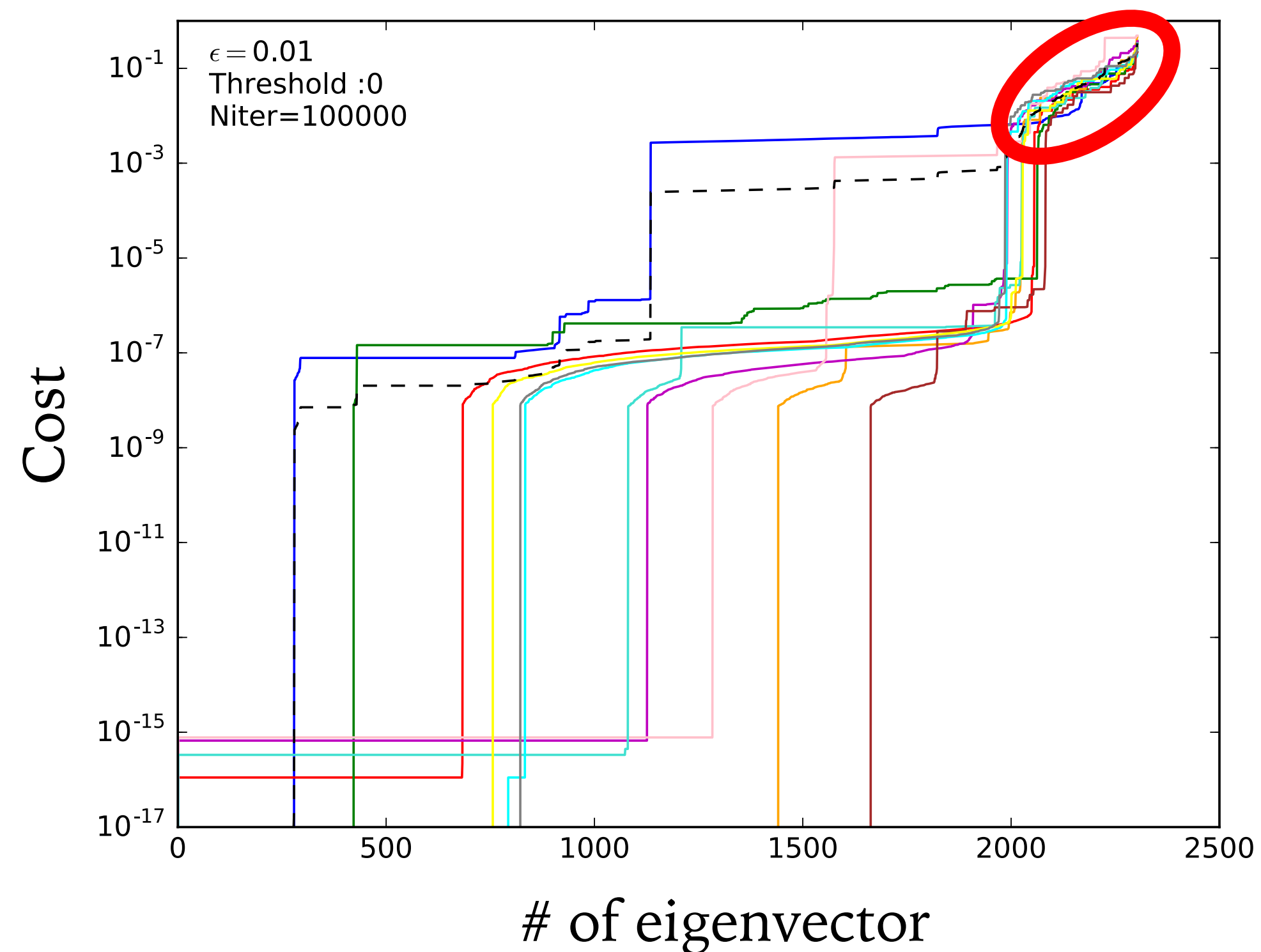
▀ Diagonalize co-variance matrix $\mathcal{C}(\mathbf{x}) = \overline{(Y(\mathbf{x}, t) - Y_0(\mathbf{x}))^T \cdot (Y(\mathbf{x}, t) - Y_0(\mathbf{x}))}$



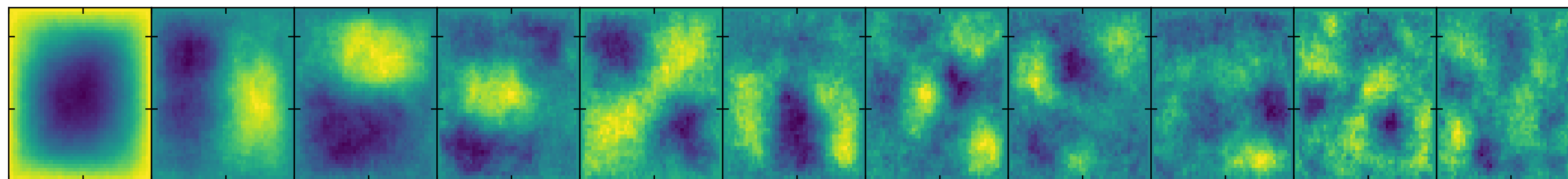
In this case, $N^2 = 2304$ eigenvectors

B) Find new initial conditions reproducing the data (II)

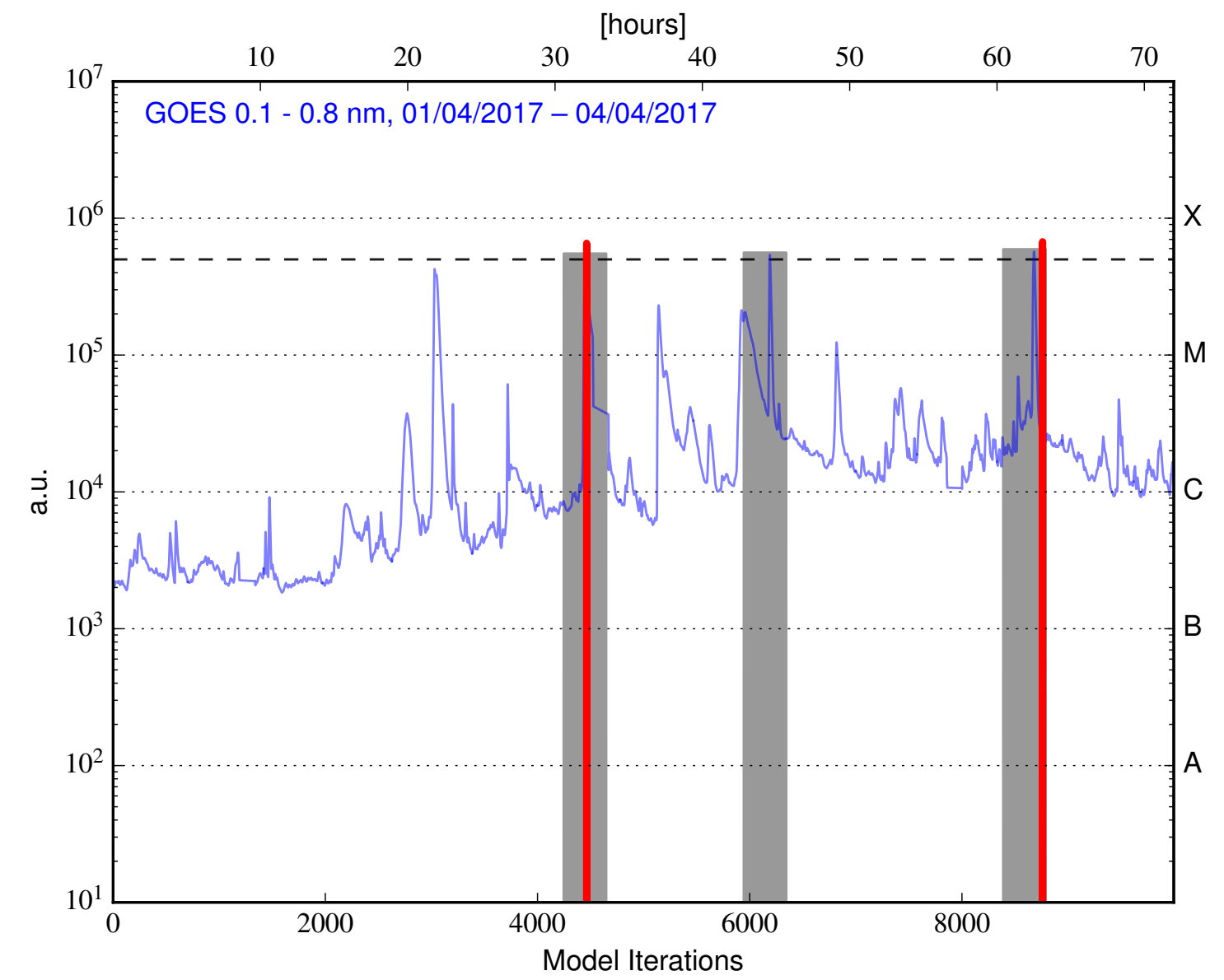
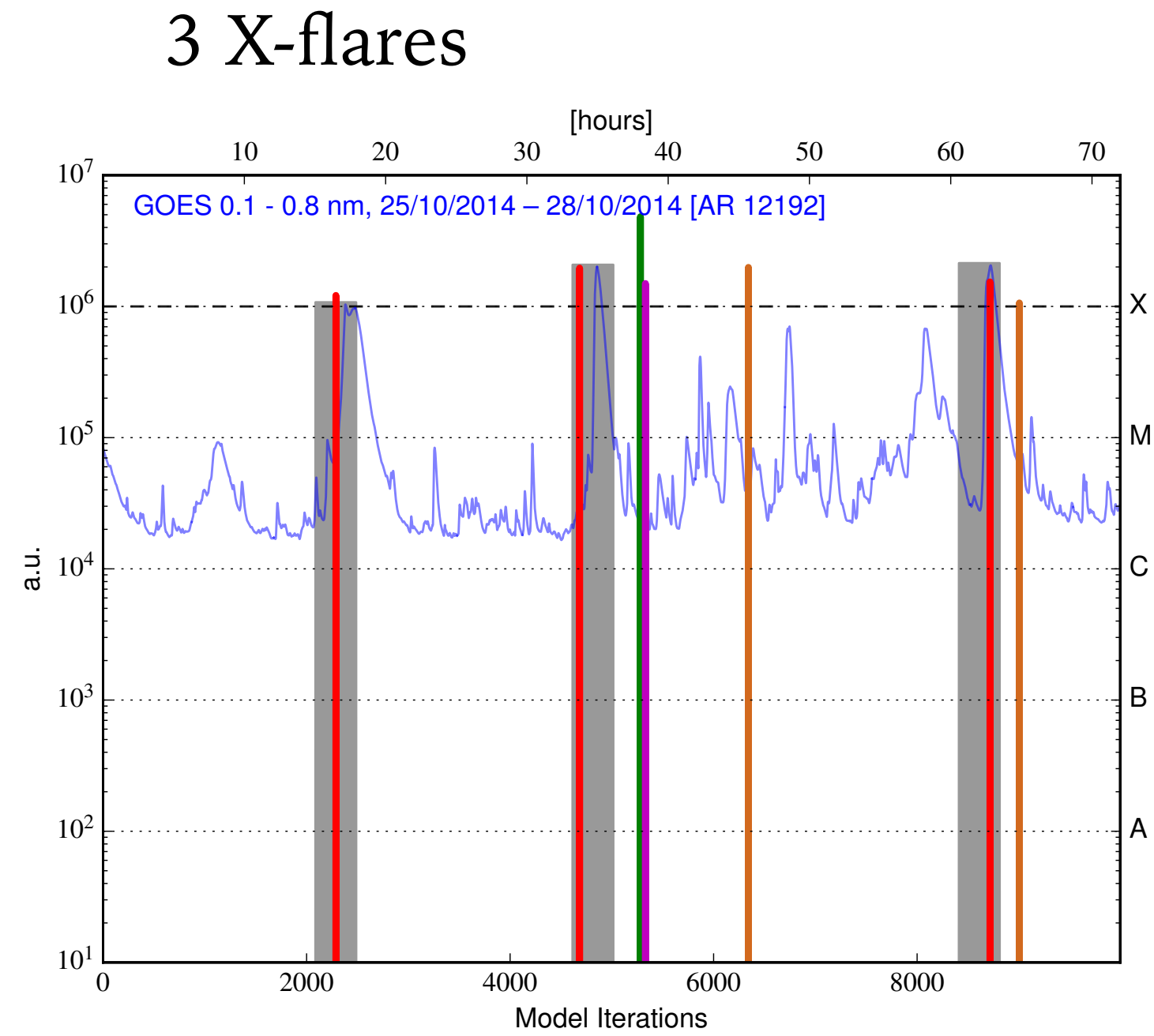
Sensitivity of solution to specific eigenvector



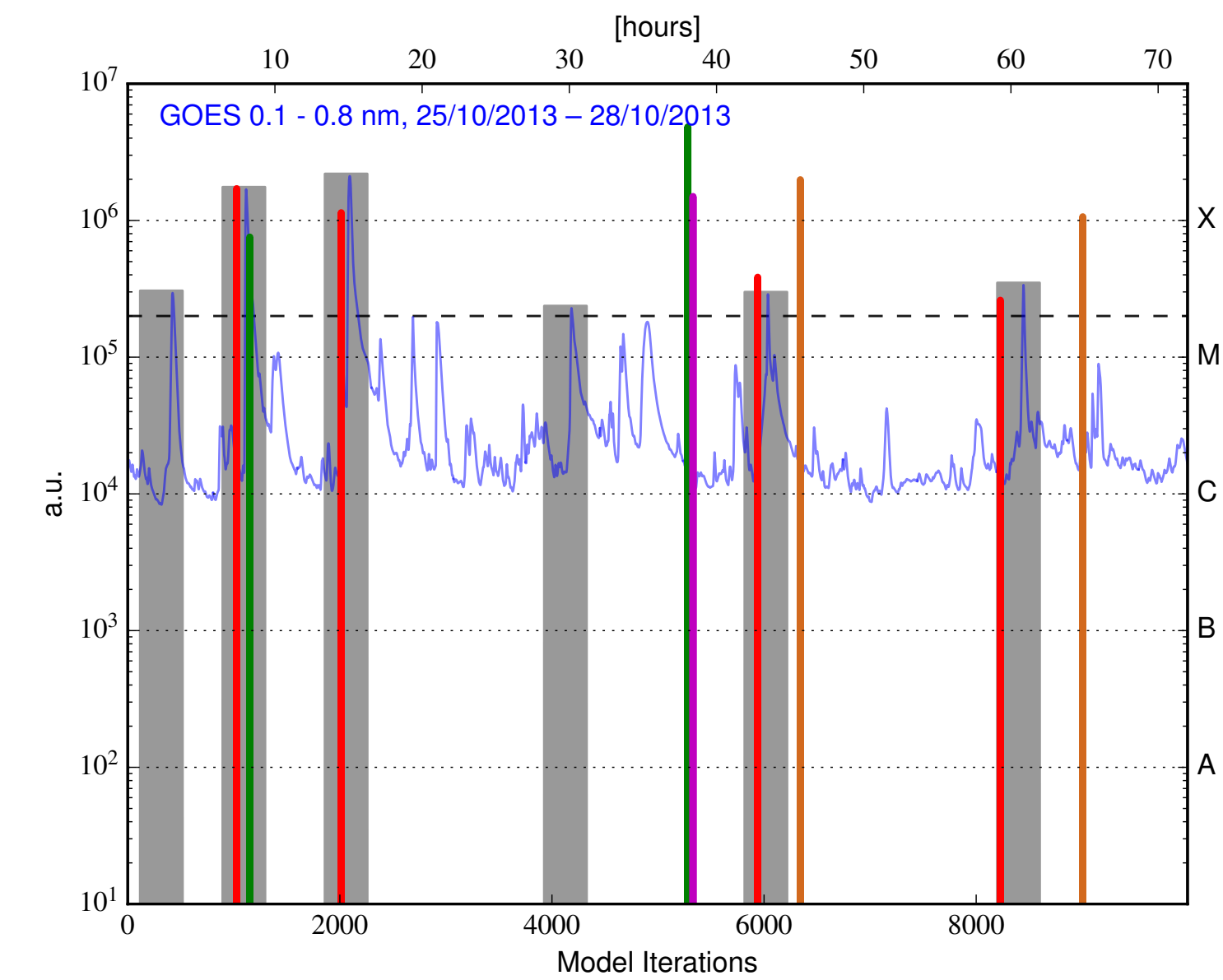
It seems to be possible to use a reduced set of eigenvectors to perform data assimilation...
work in progress!



C) Towards a predictive tool...



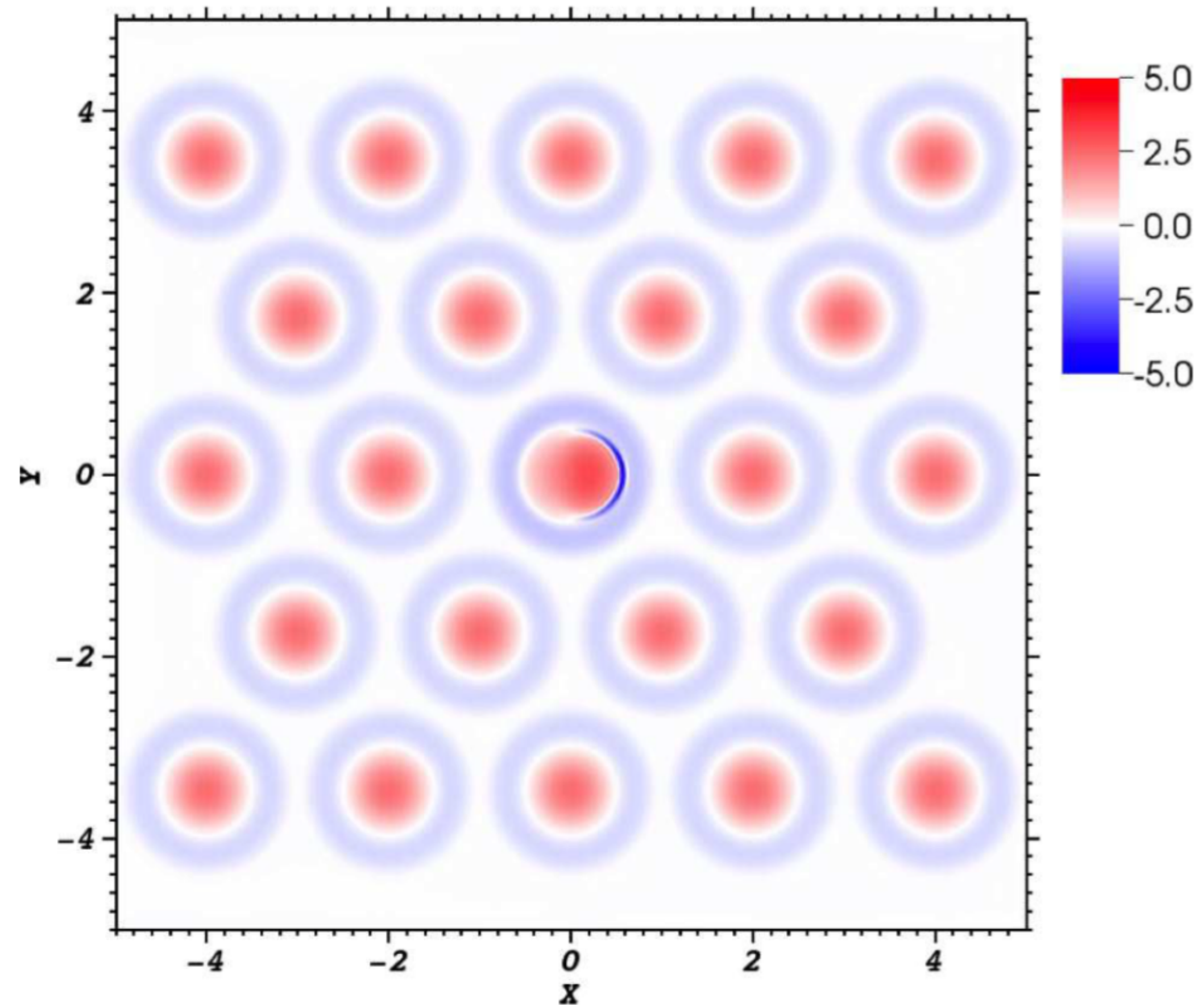
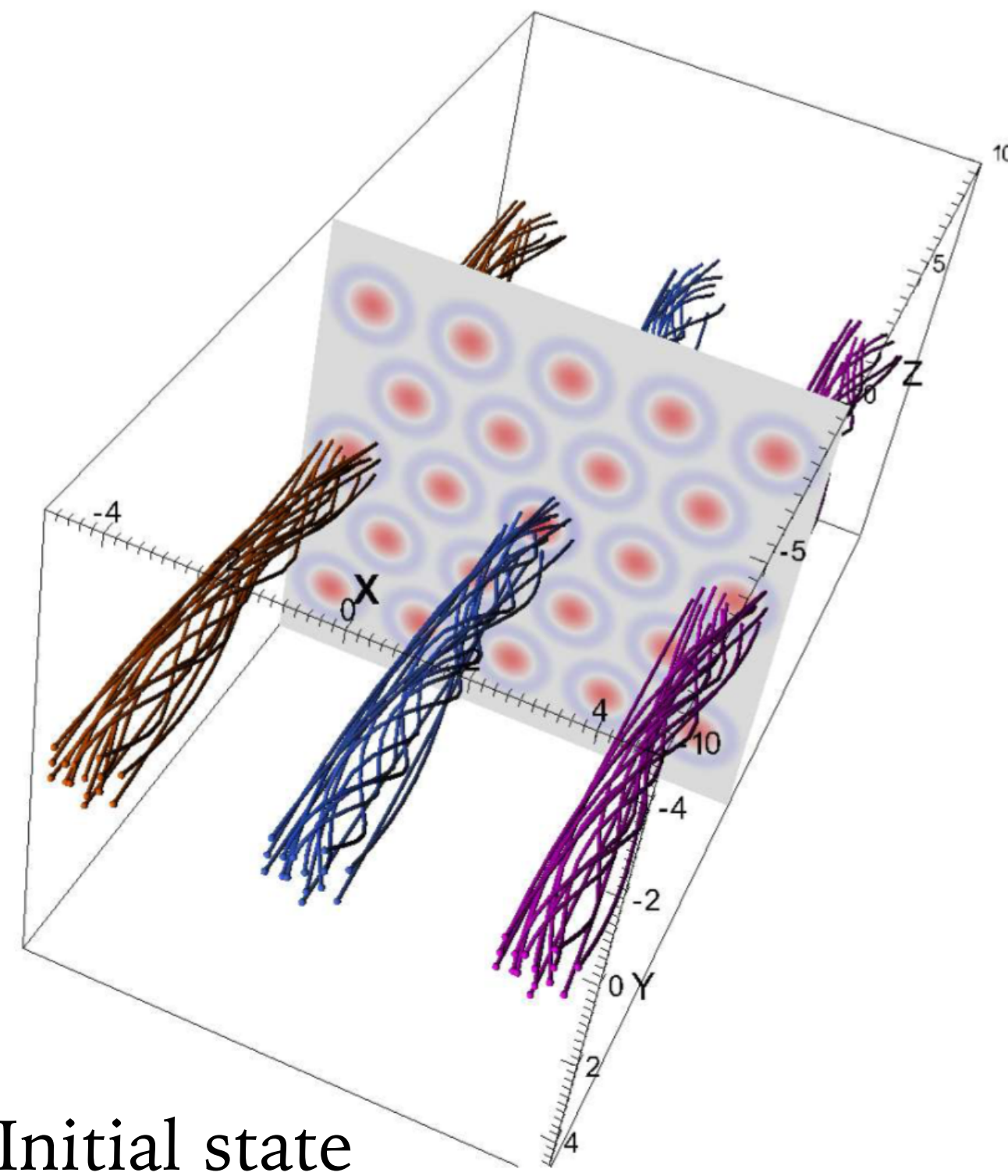
3 M-flares



2 X-flares
+
4 M-flares

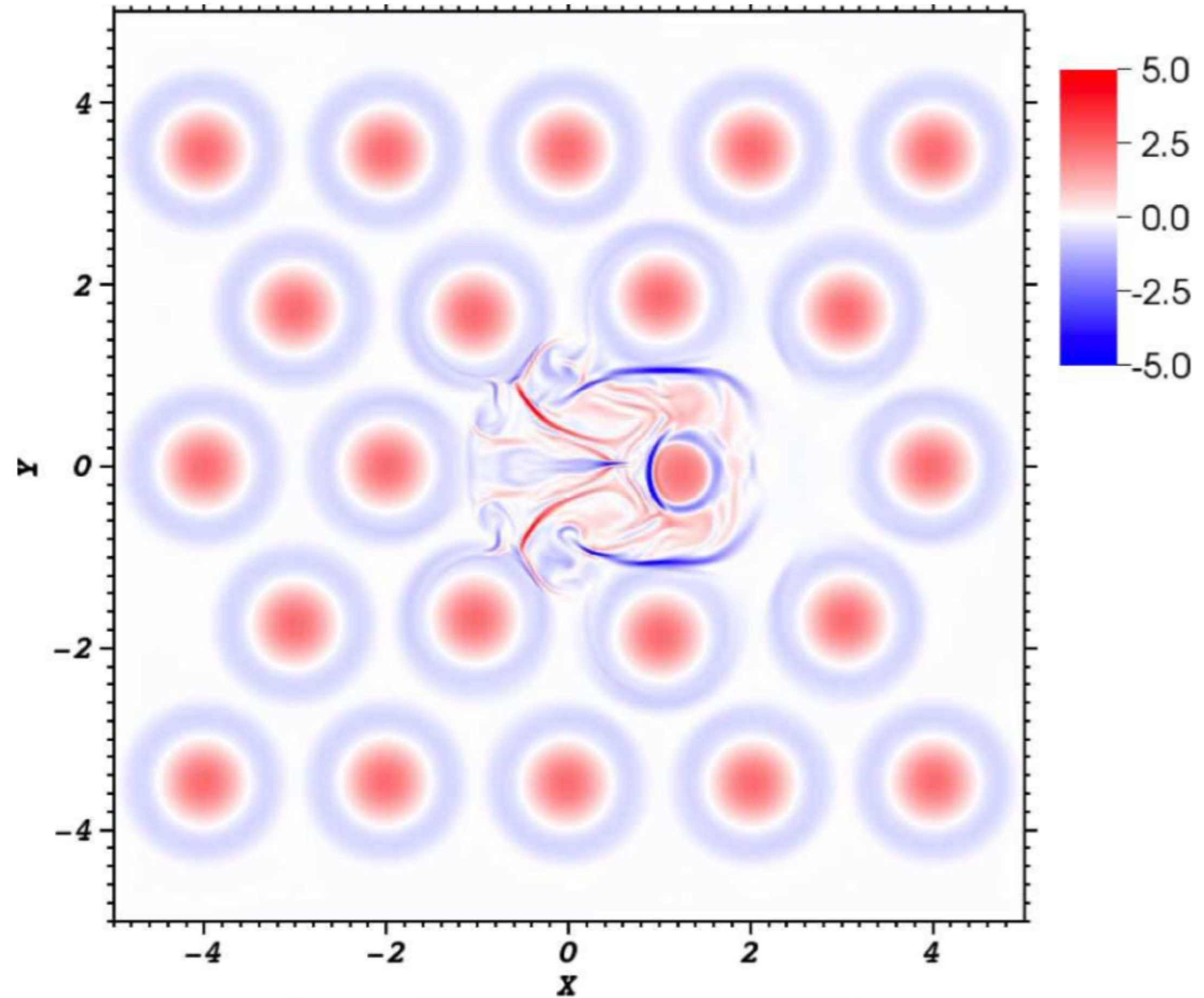
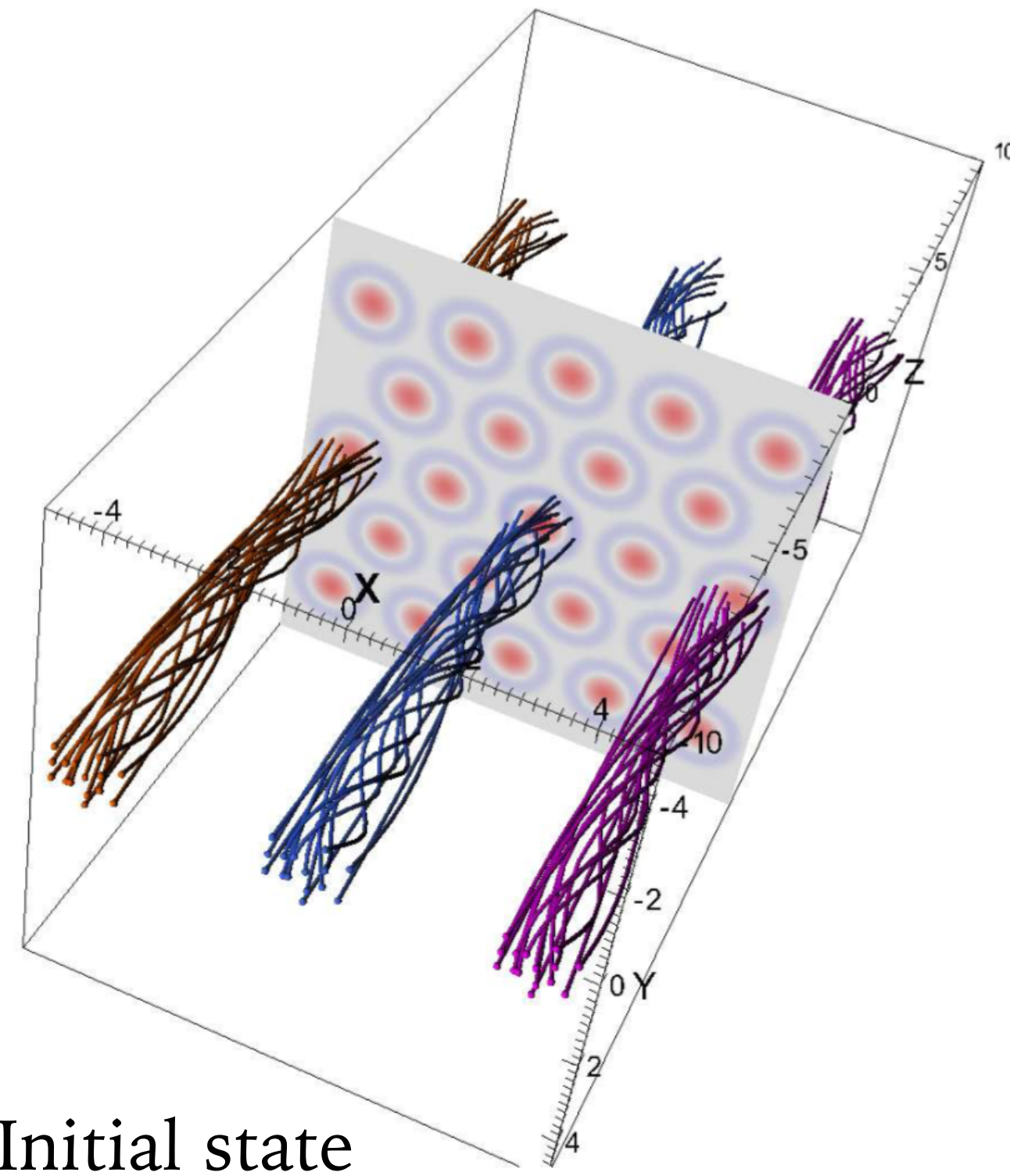
Physical interpretation(s) of sandpiles

A first resistive MHD realization of avalanche-type behaviour in a coronal loop composed of 23 distinct magnetic threads



Physical interpretation(s) of sandpiles

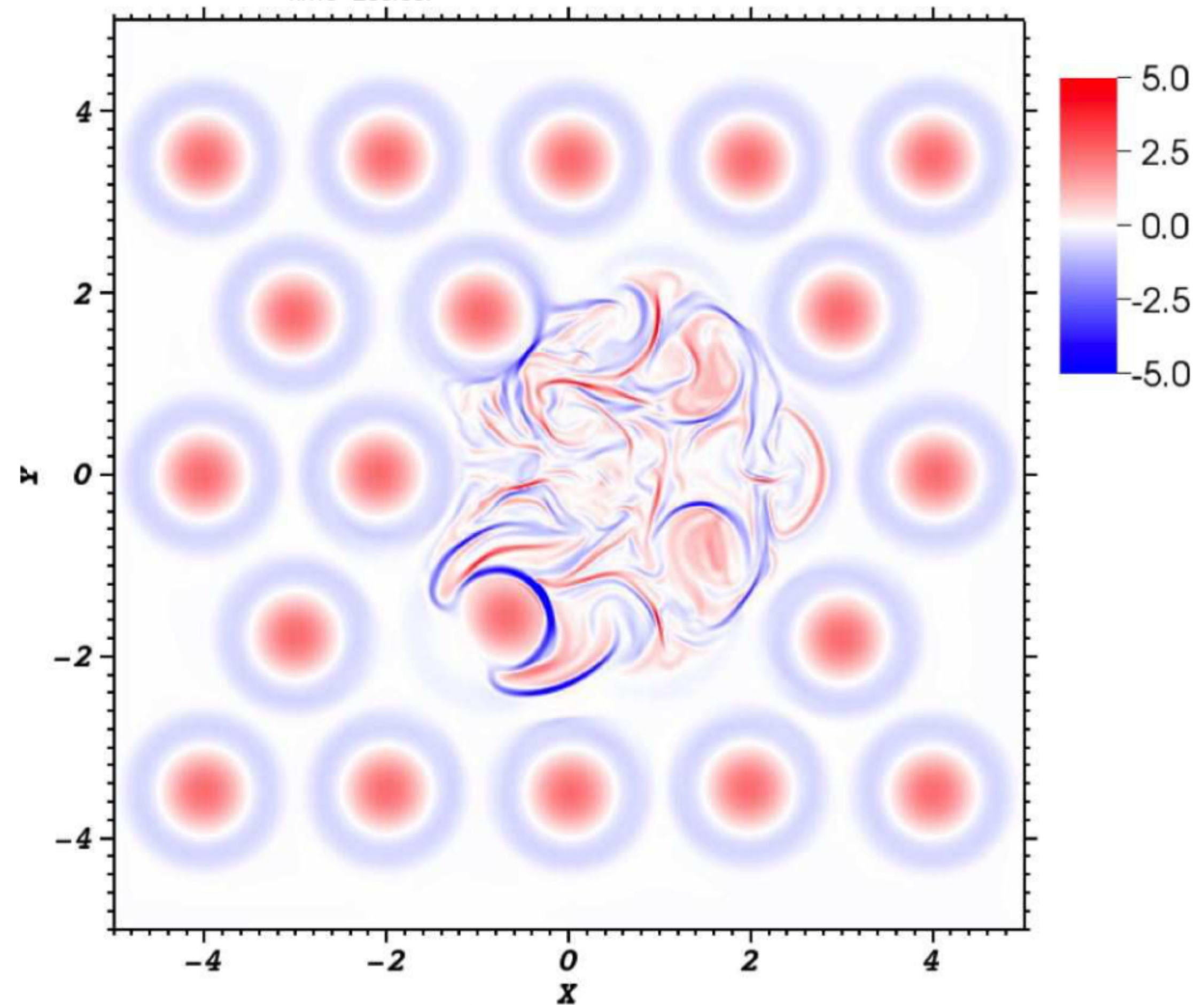
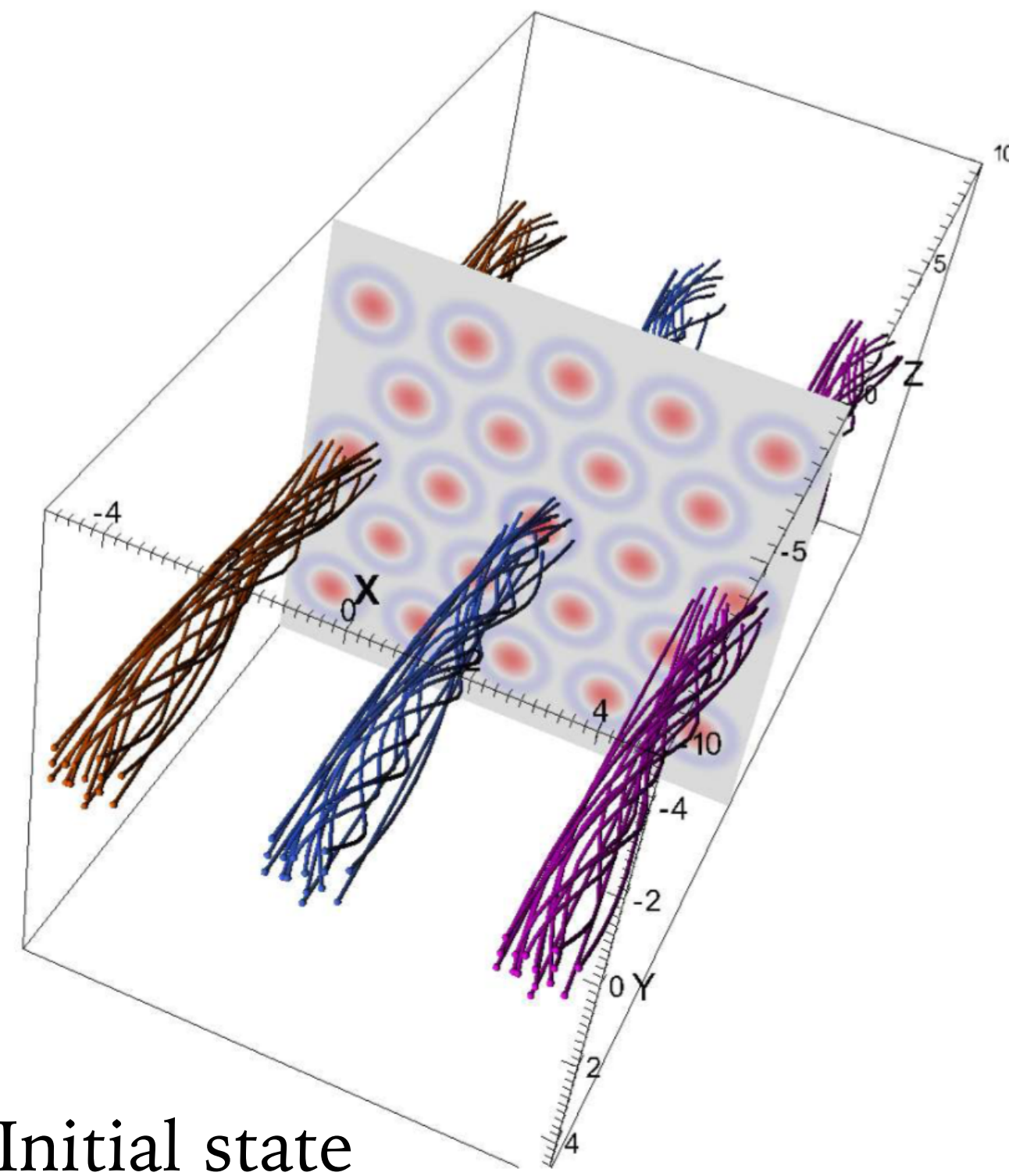
A first resistive MHD realization of avalanche-type behaviour in a coronal loop composed of 23 distinct magnetic threads



A. Strugarek [\[Hood+ 2016\]](#)

Physical interpretation(s) of sandpiles

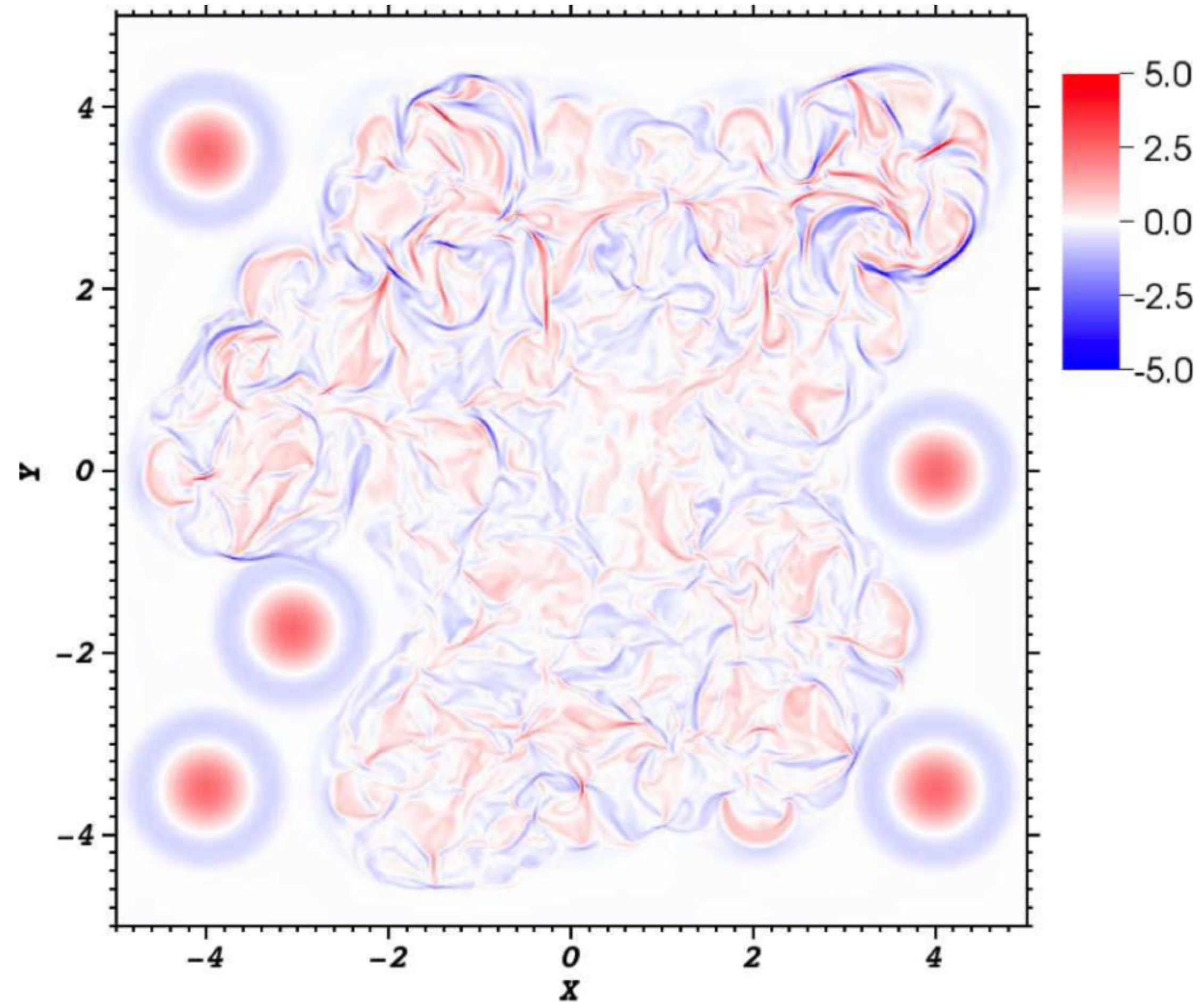
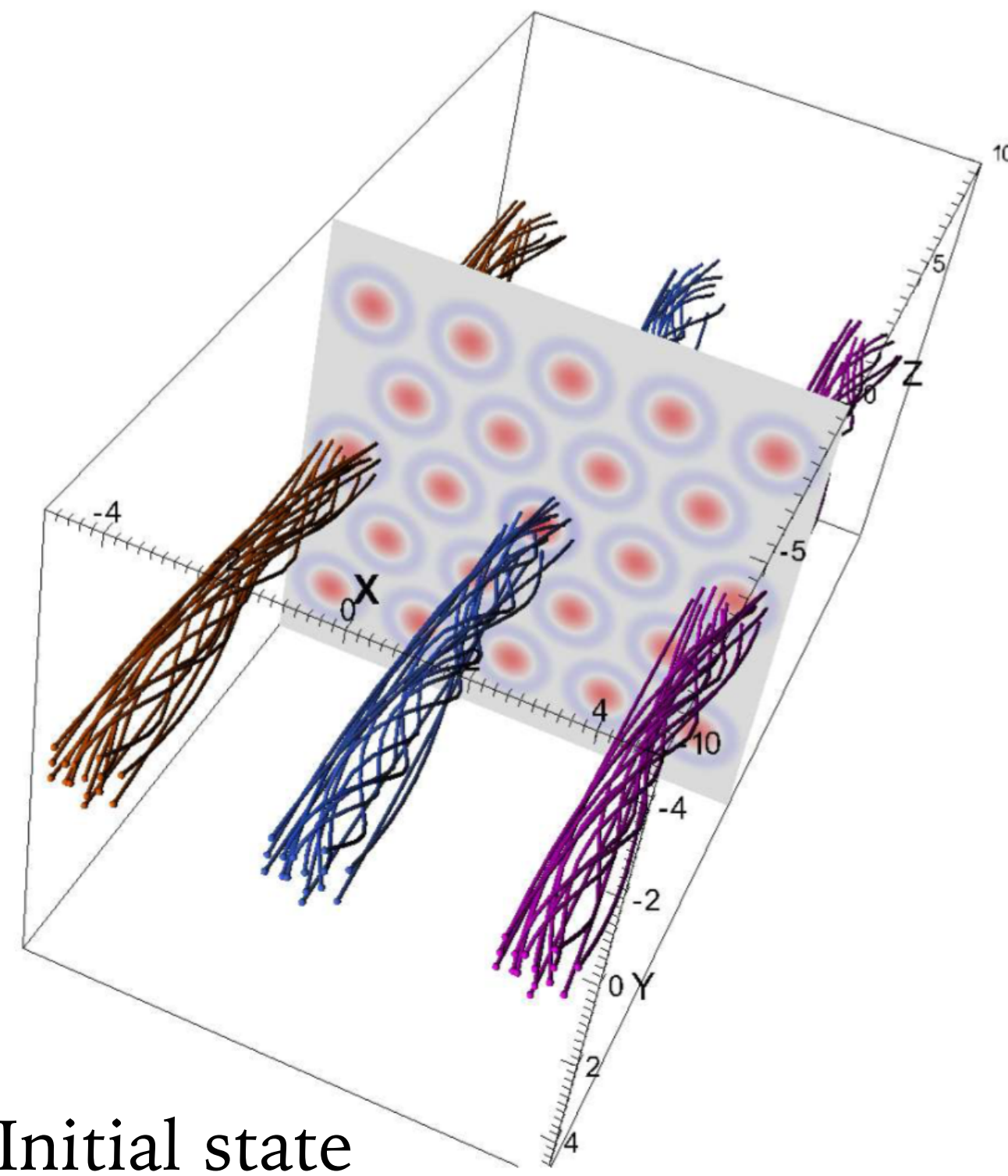
A first resistive MHD realization of avalanche-type behaviour in a coronal loop composed of 23 distinct magnetic threads



A. Strugarek [\[Hood+ 2016\]](#)

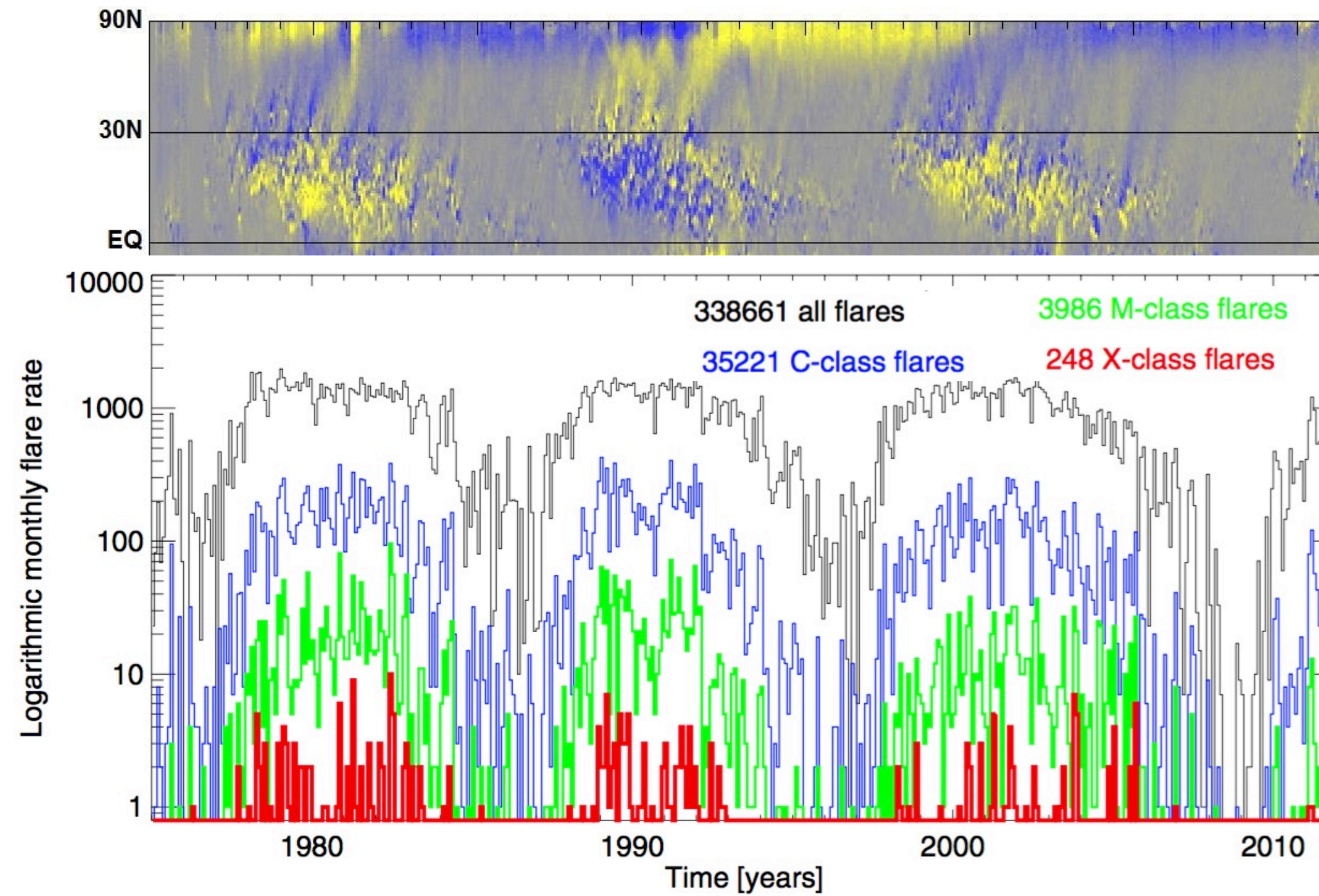
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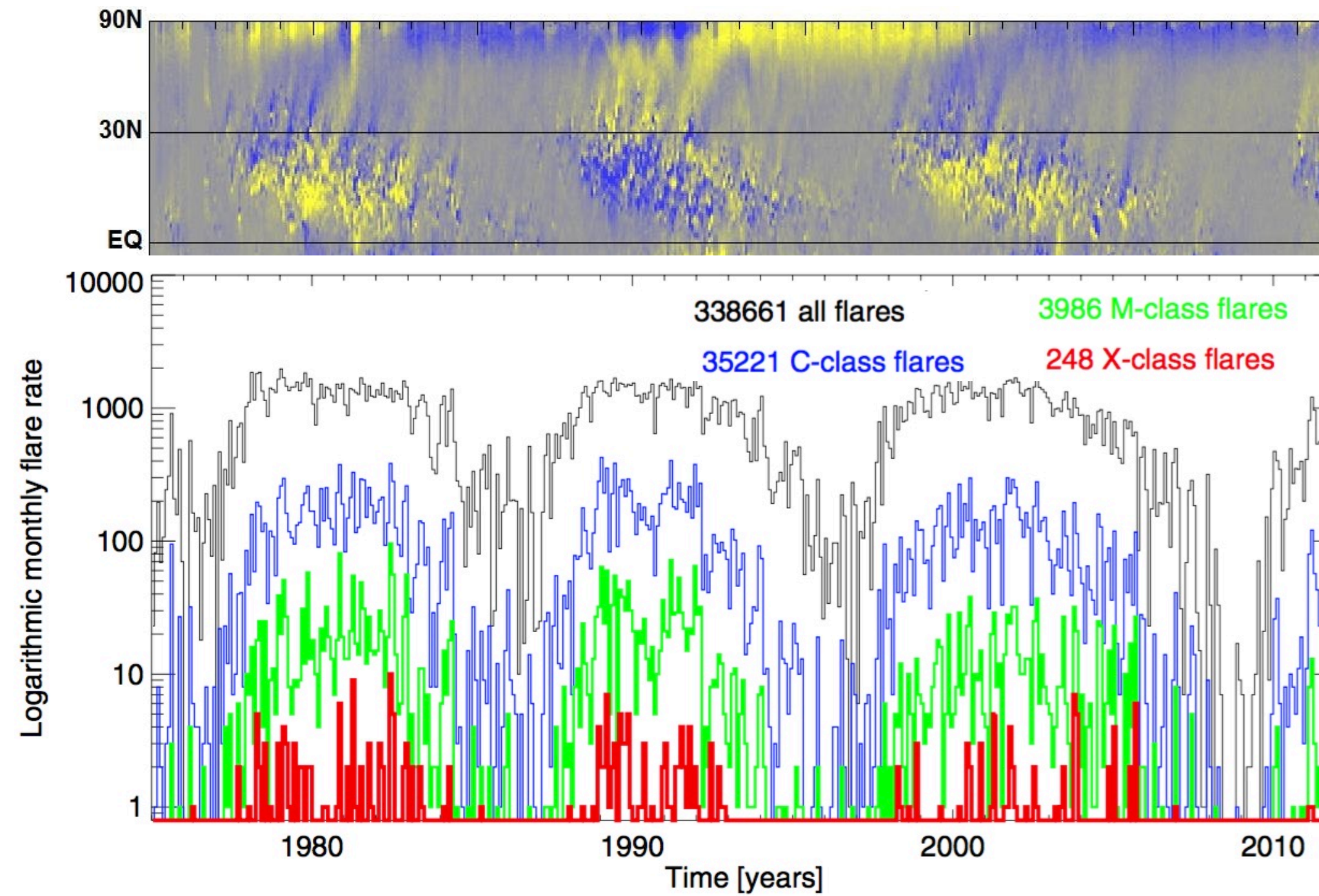
A. Strugarek [\[Hood+ 2016\]](#)

The number of flares strongly varies during the solar cycle



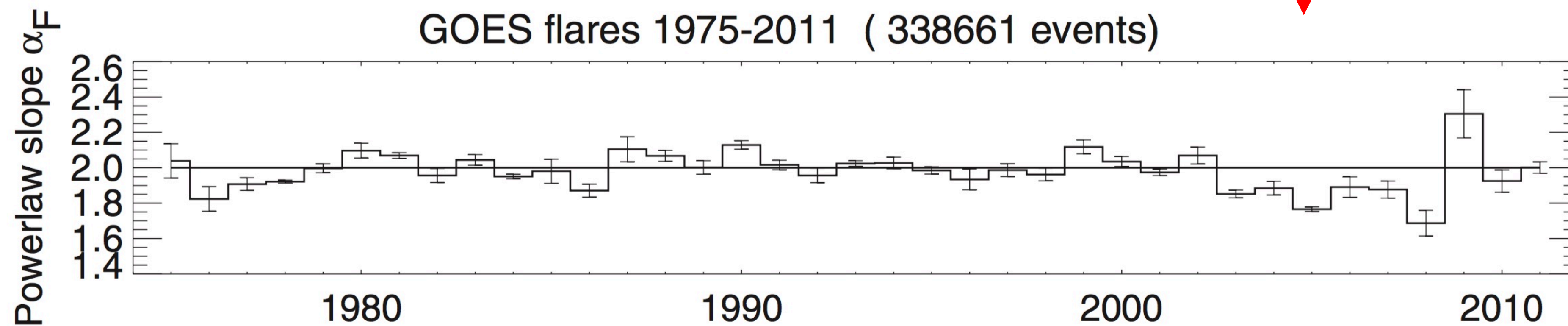
Line of sight magnetic field in the north hemisphere of the Sun

The number of flares strongly varies during the solar cycle

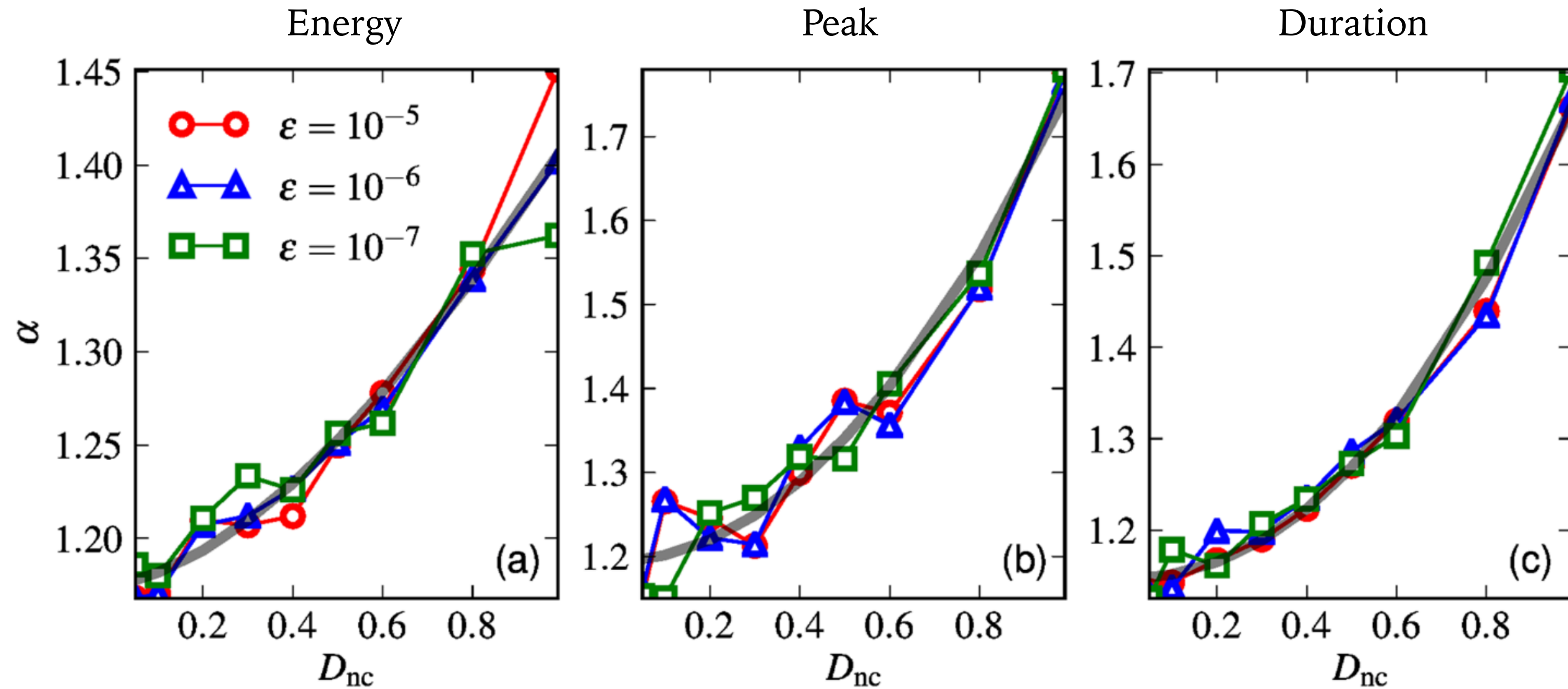


Line of sight magnetic field in the north hemisphere of the Sun

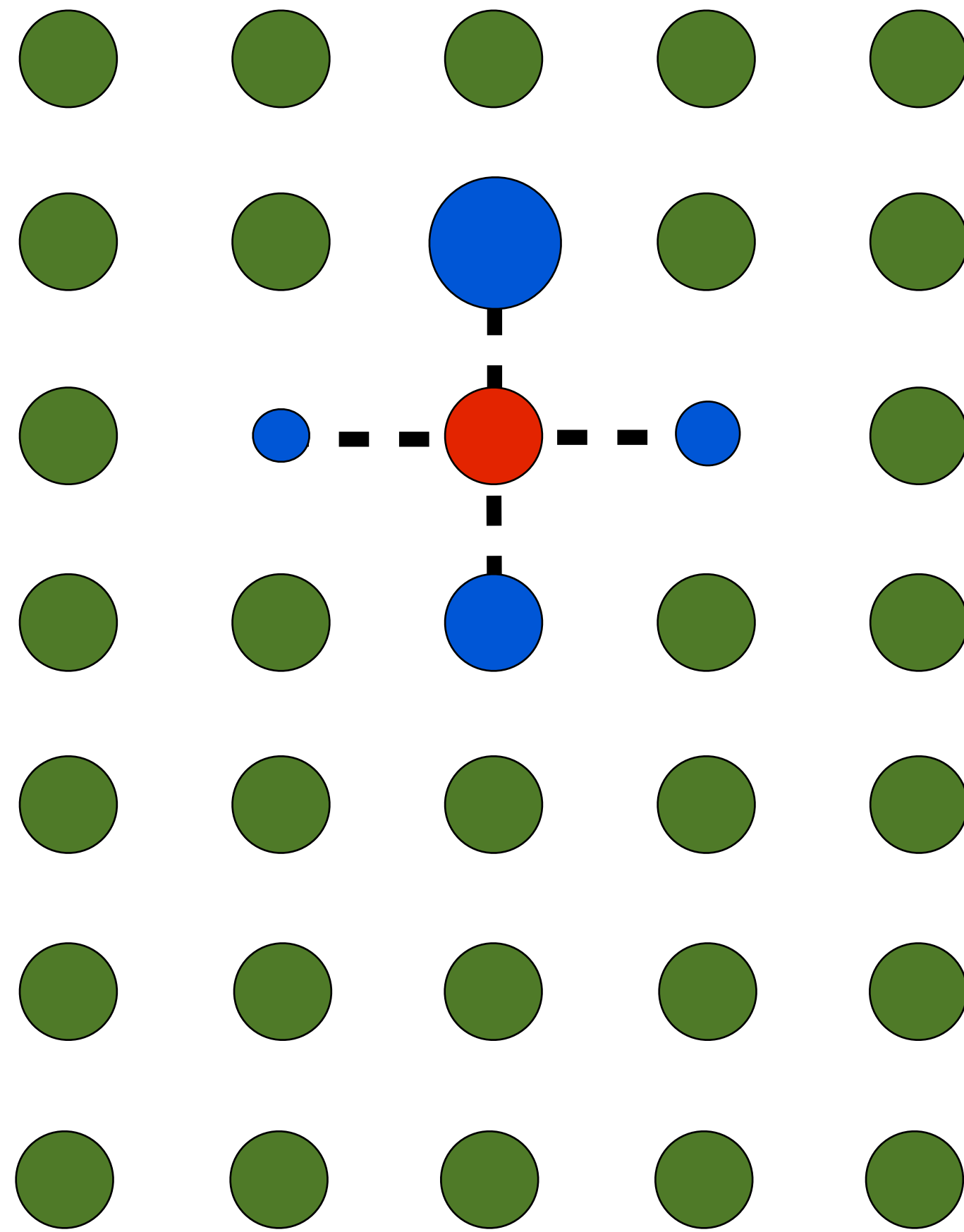
But no correlation of the power-law slope of the peak X-ray flux during flares



Power-law exponents in the D-models



Deterministically-driven sandpile models

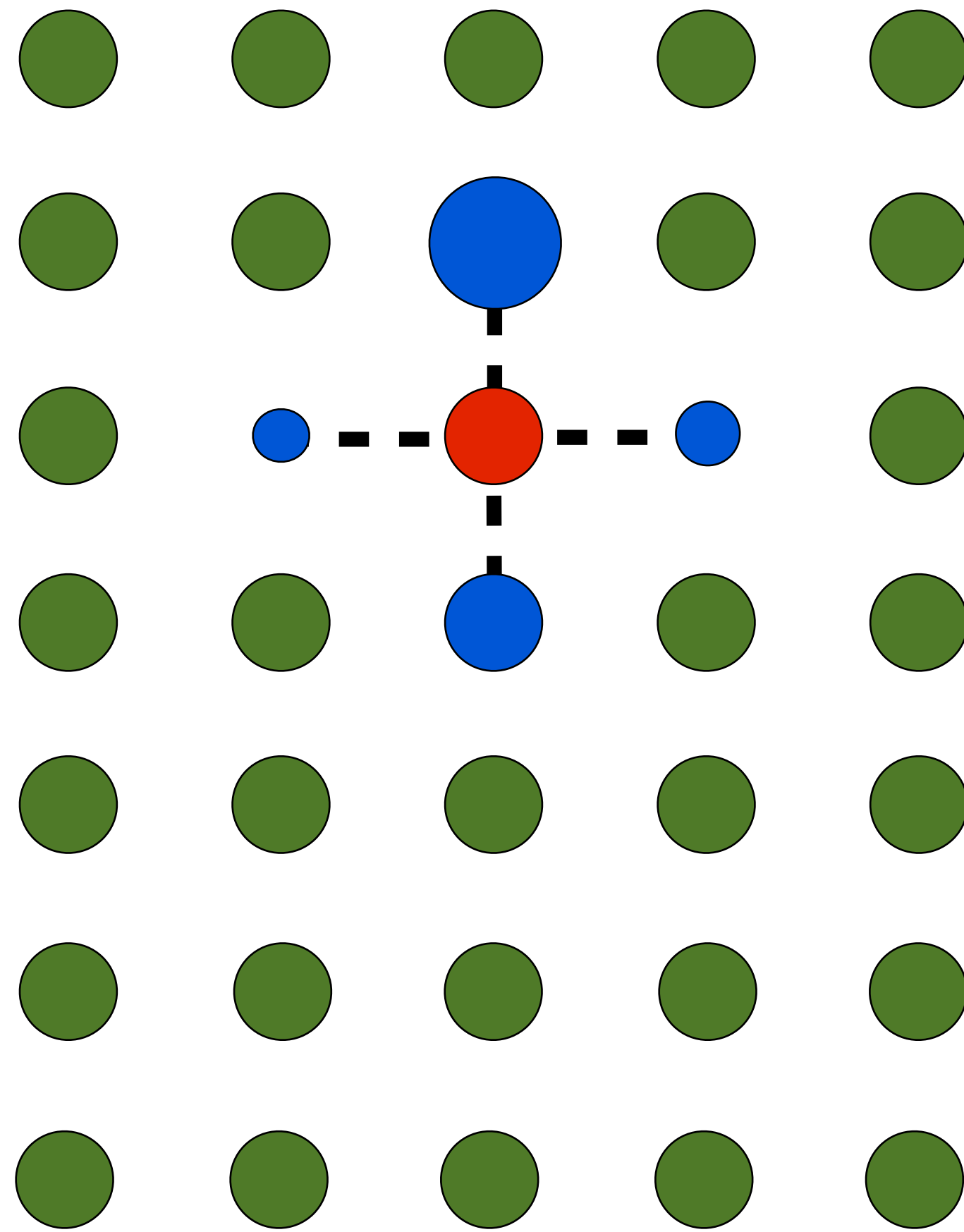


Deterministic driving on **all nodes**

(Non)-Conservative redistribution rule

Random process in:

Deterministically-driven sandpile models



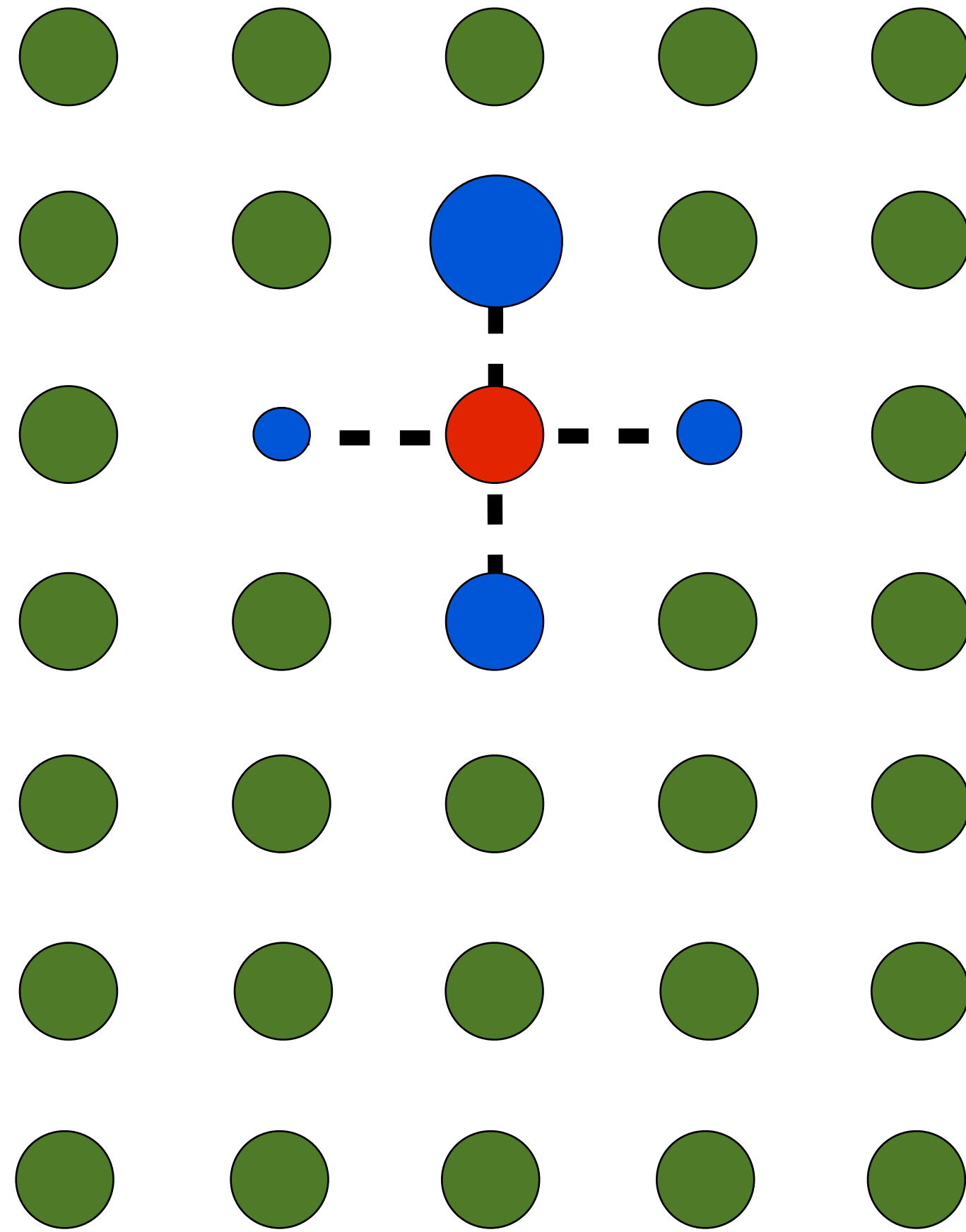
Deterministic driving on **all nodes**

(Non)-Conservative redistribution rule

Random process in:

- **Threshold**

Deterministically-driven sandpile models



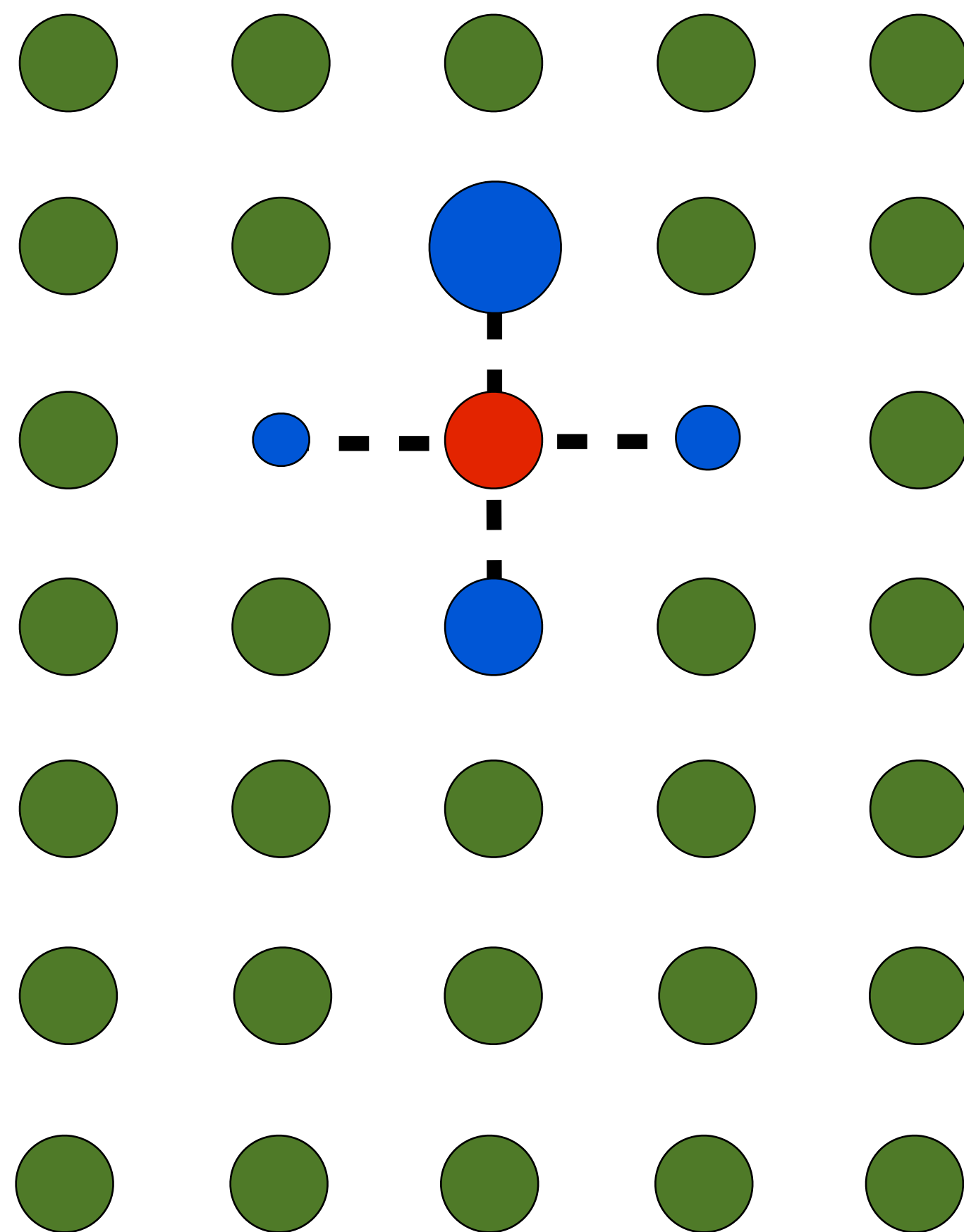
Deterministic driving on **all nodes**

(Non)-Conservative redistribution rule

Random process in:

- **Threshold**
- **Redistribution**

Deterministically-driven sandpile models



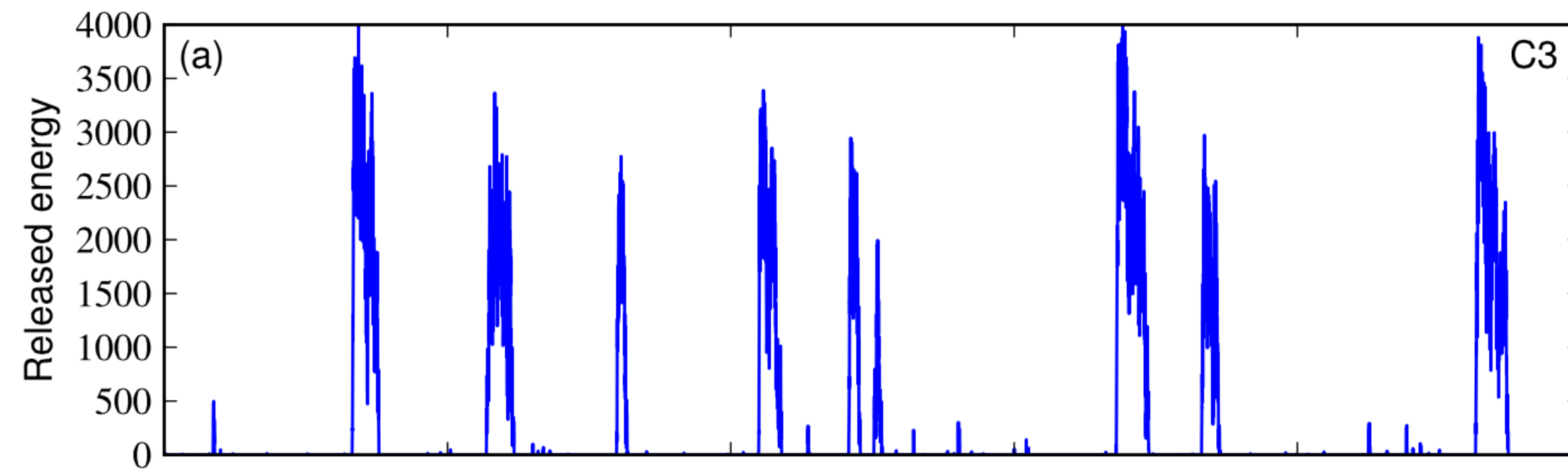
Deterministic driving on **all nodes**

(Non)-Conservative redistribution rule

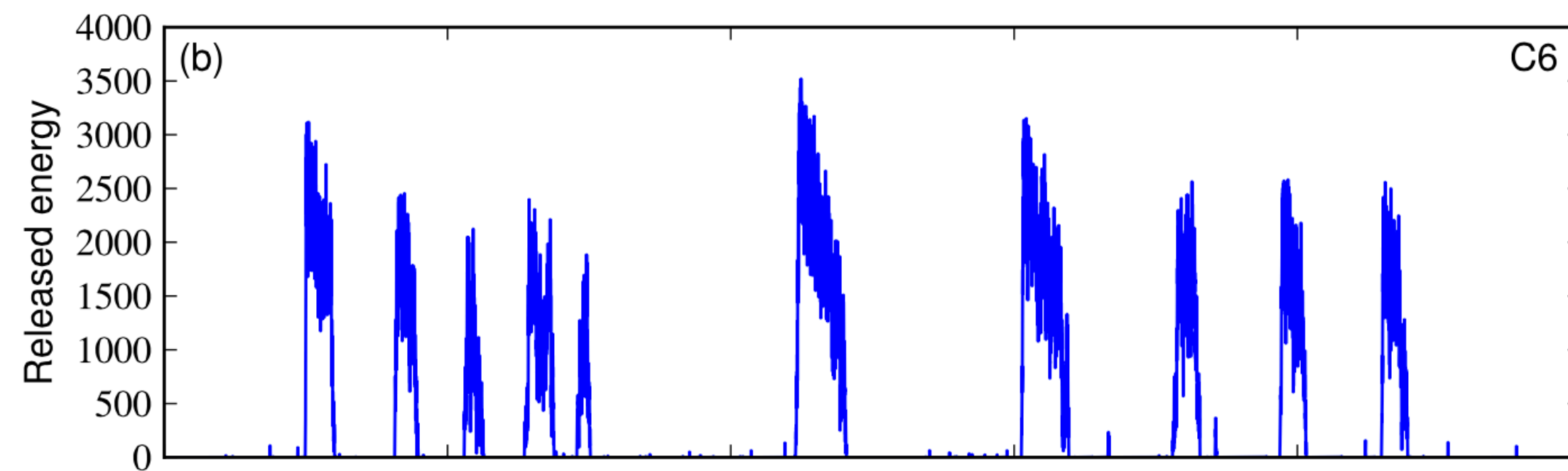
Random process in:

- **Threshold**
- **Redistribution**
- **Extraction**

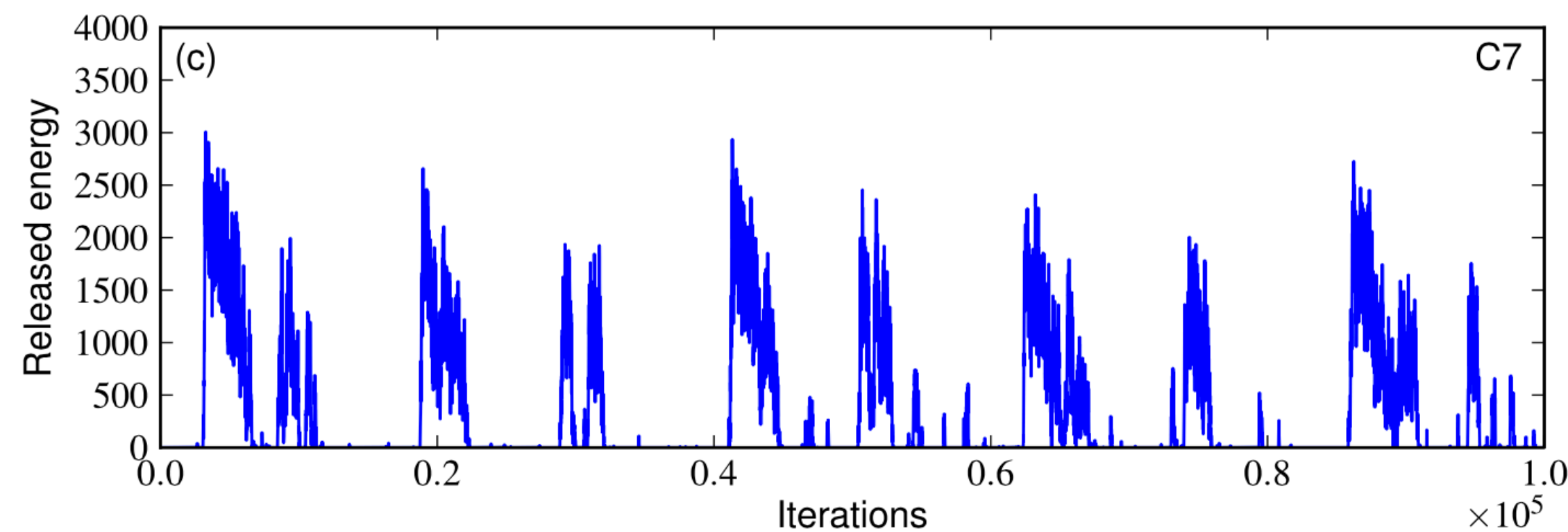
Conservative models do not reach the 'SOC' state



Random extraction

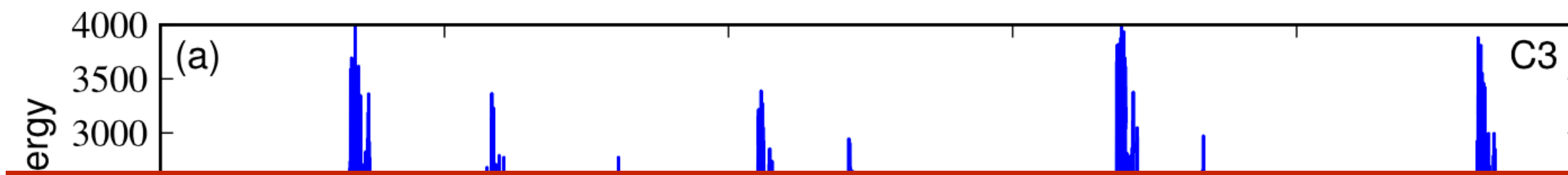


Random extraction
+
Random redistribution

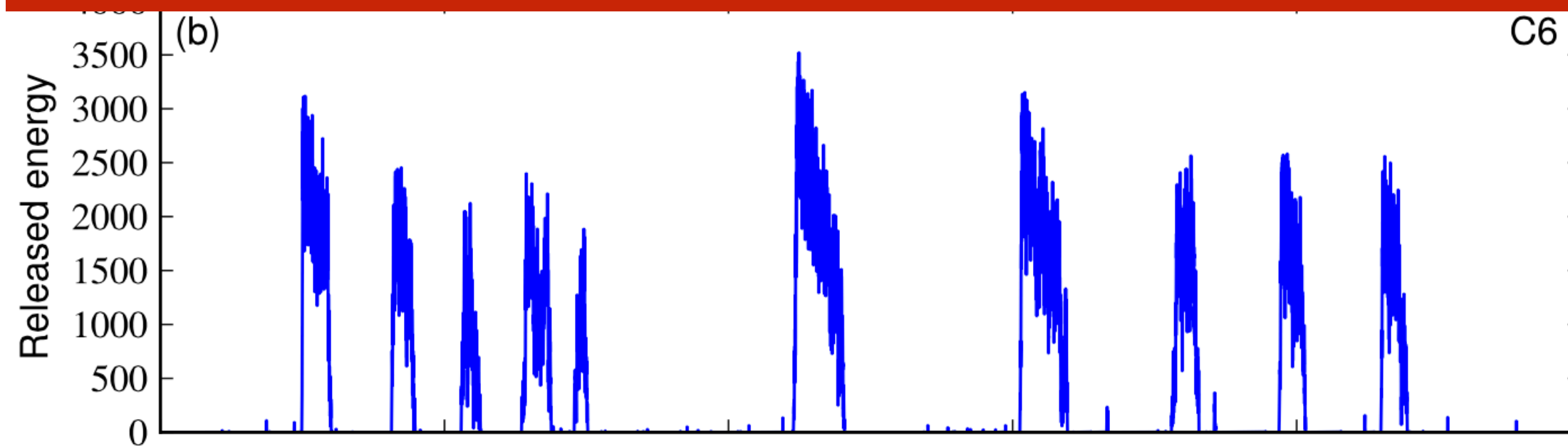


Random extraction
+
Random redistribution
+
Random threshold

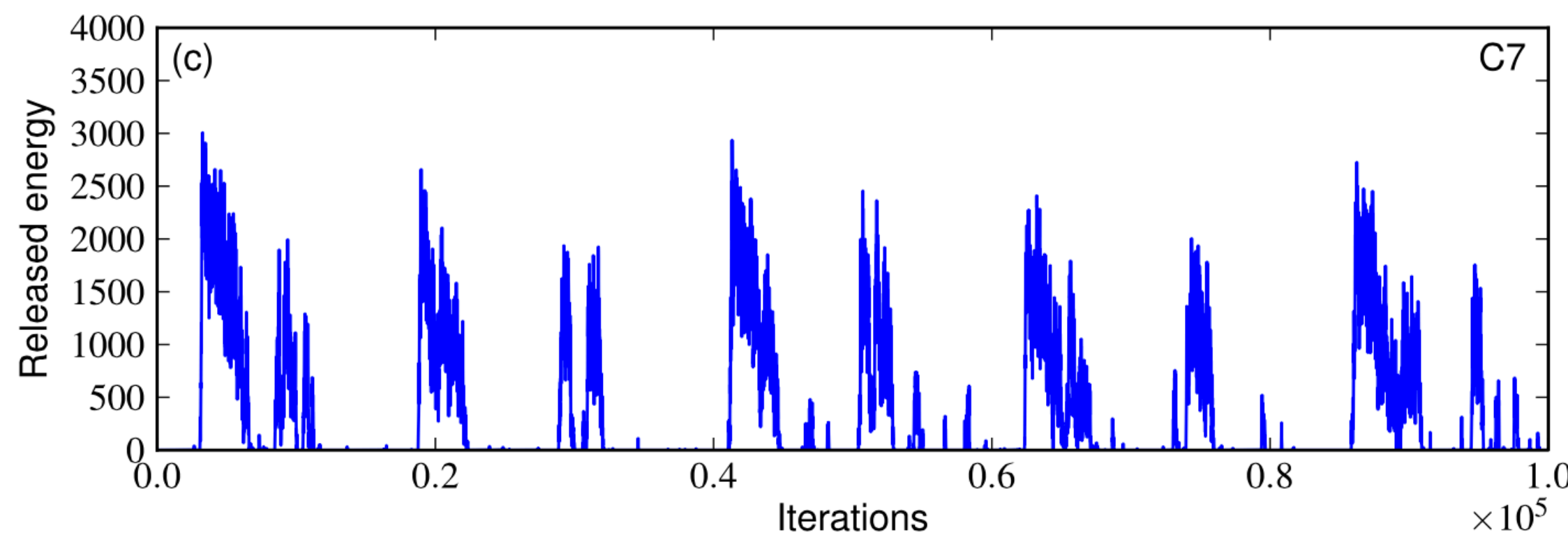
Conservative models do not reach the 'SOC' state



Loading/unloading cycles:
not power-law statistics...

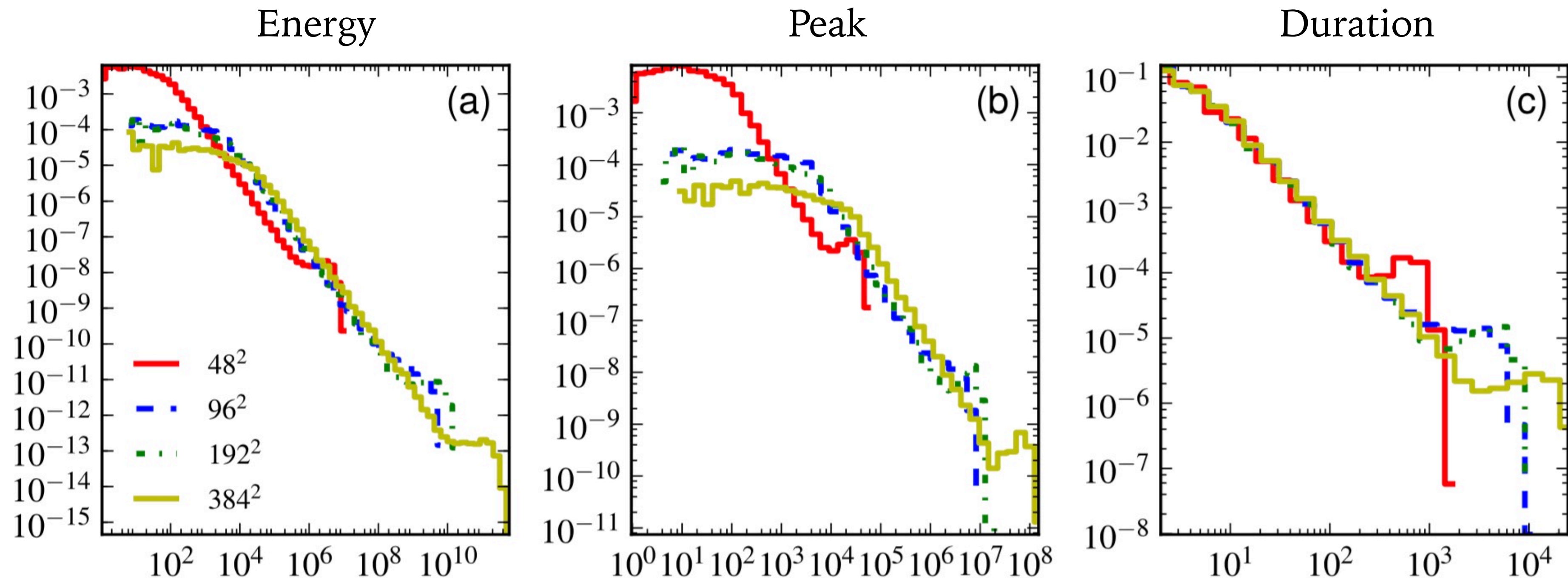


Random extraction
+
Random redistribution



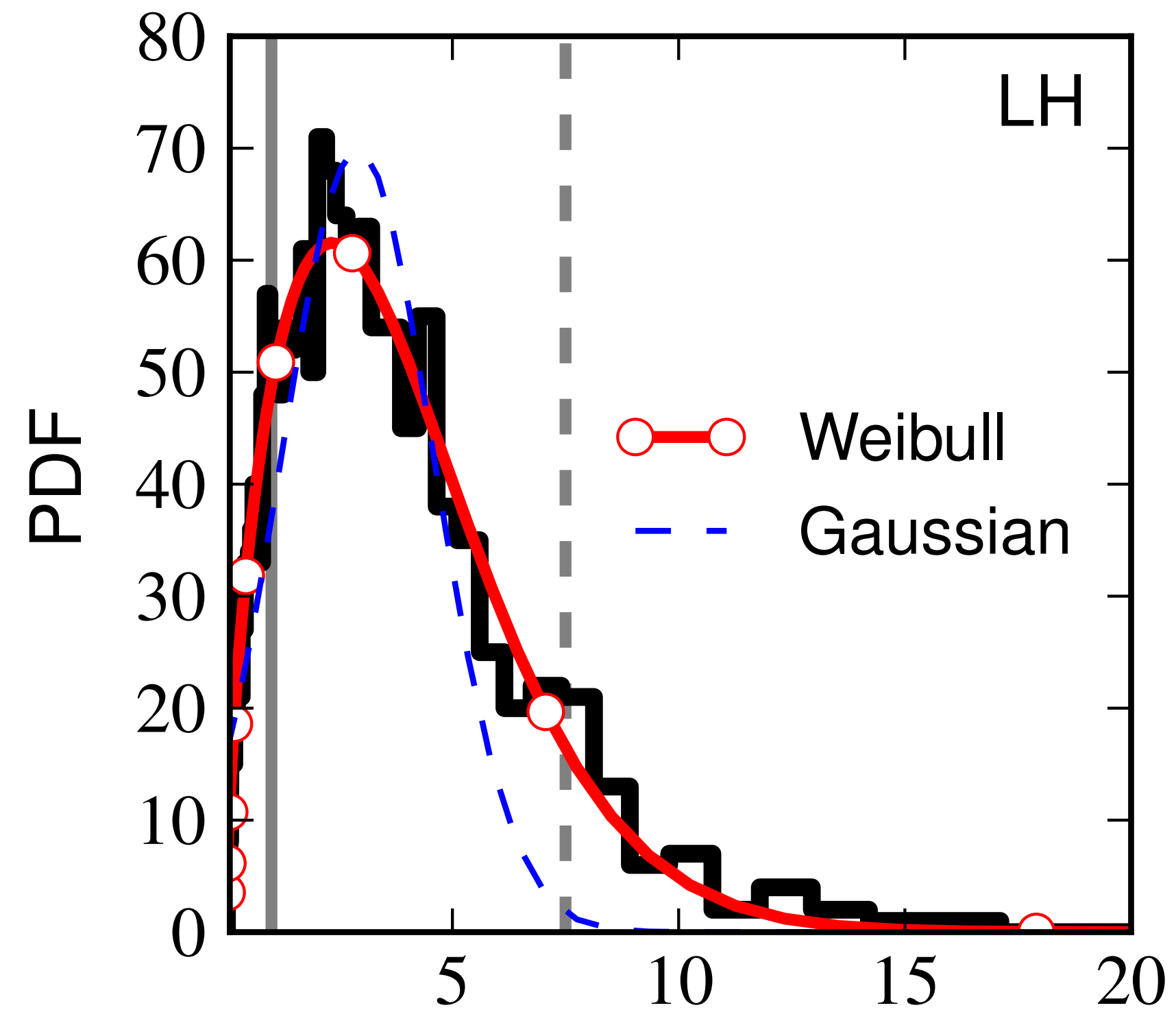
Random extraction
+
Random redistribution
+
Random threshold

Lattice size: it only affects the accessible energy range



Robustness of one large event (standard model)

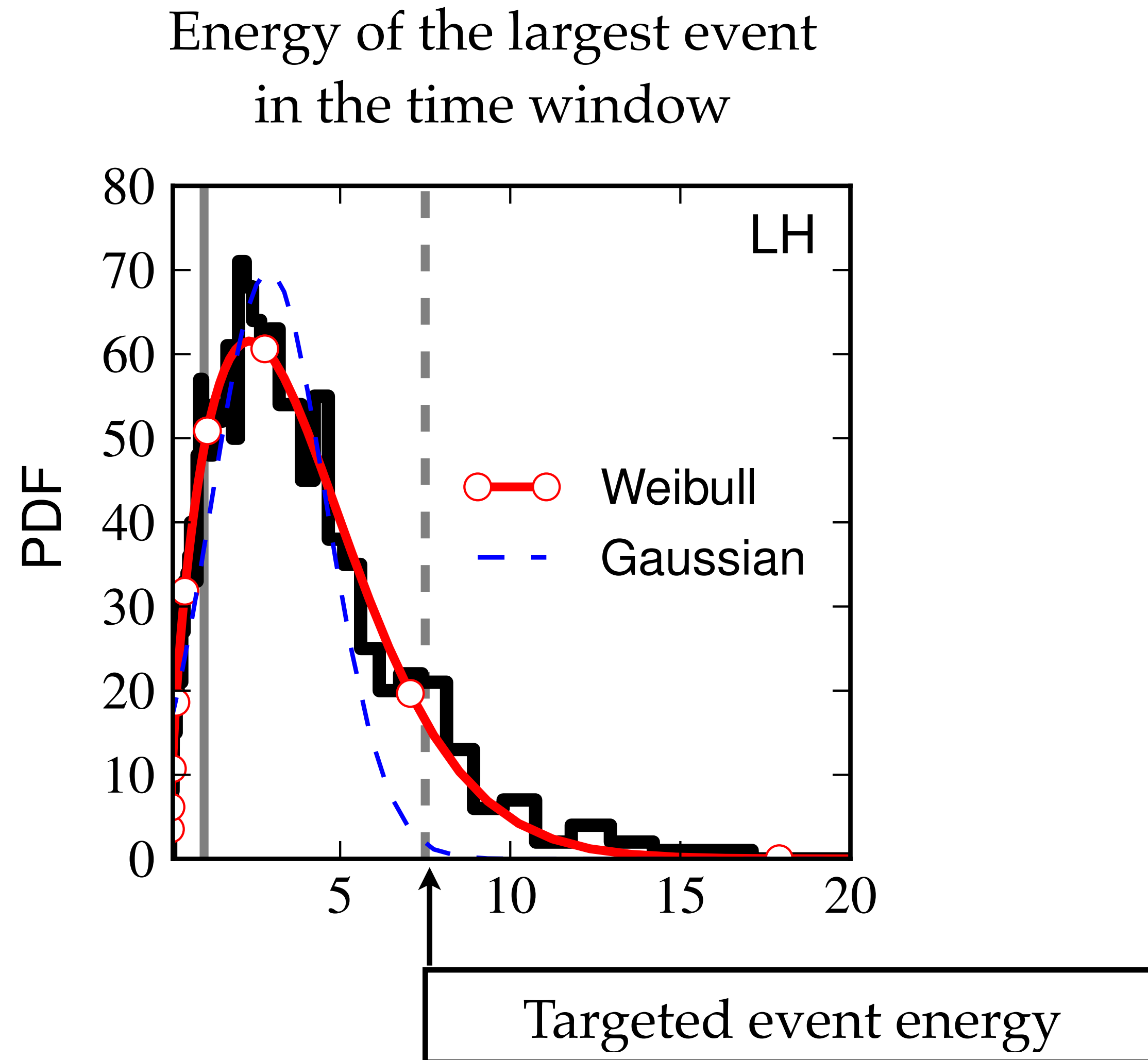
Energy of the largest event
in the time window



2000 stochastic realisations

[\[Strugarek & Charbonneau 2014\]](#)

Robustness of one large event (standard model)

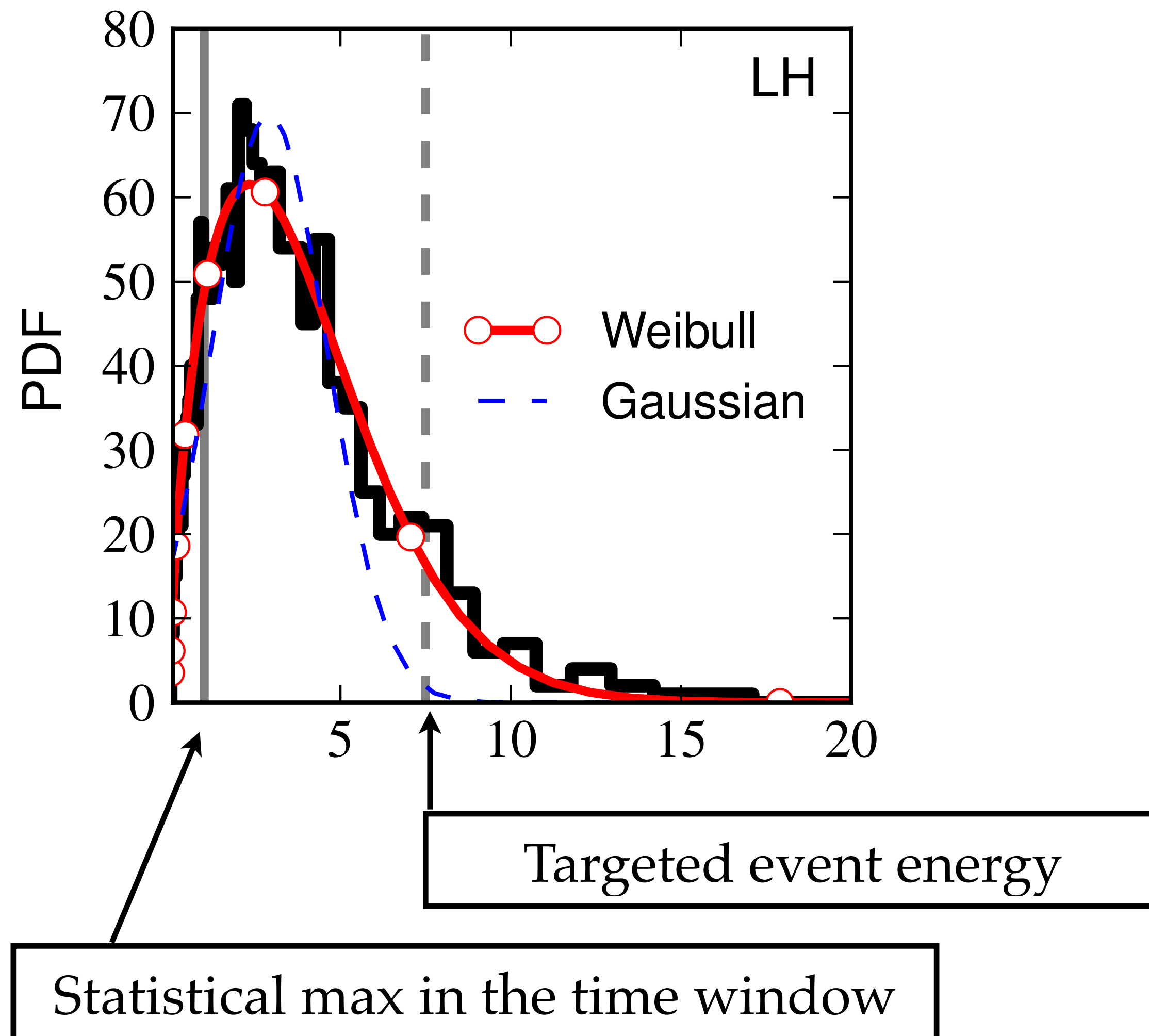


2000 stochastic realisations

[A. Strugarek & Charbonneau 2014]

Robustness of one large event (standard model)

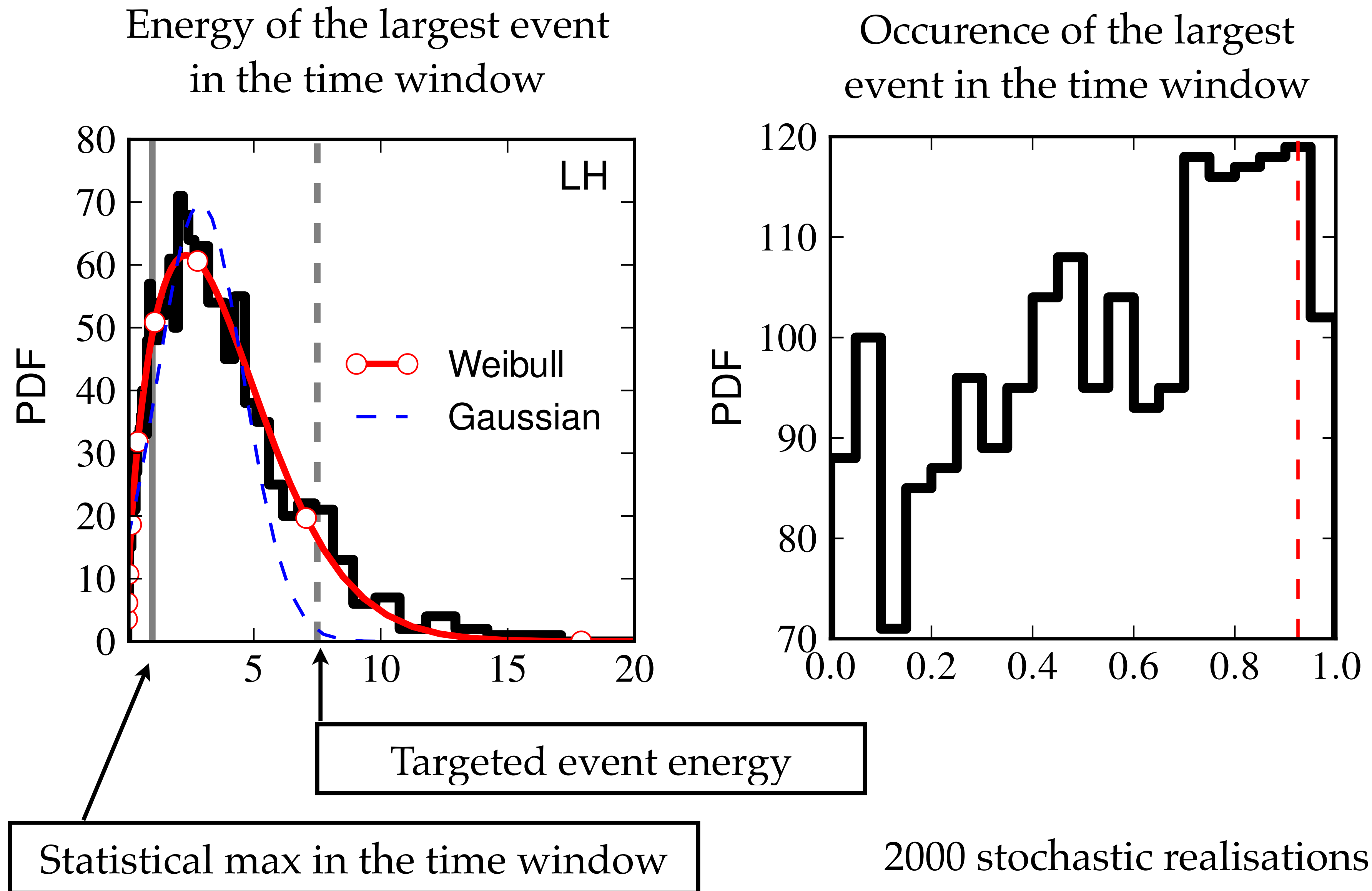
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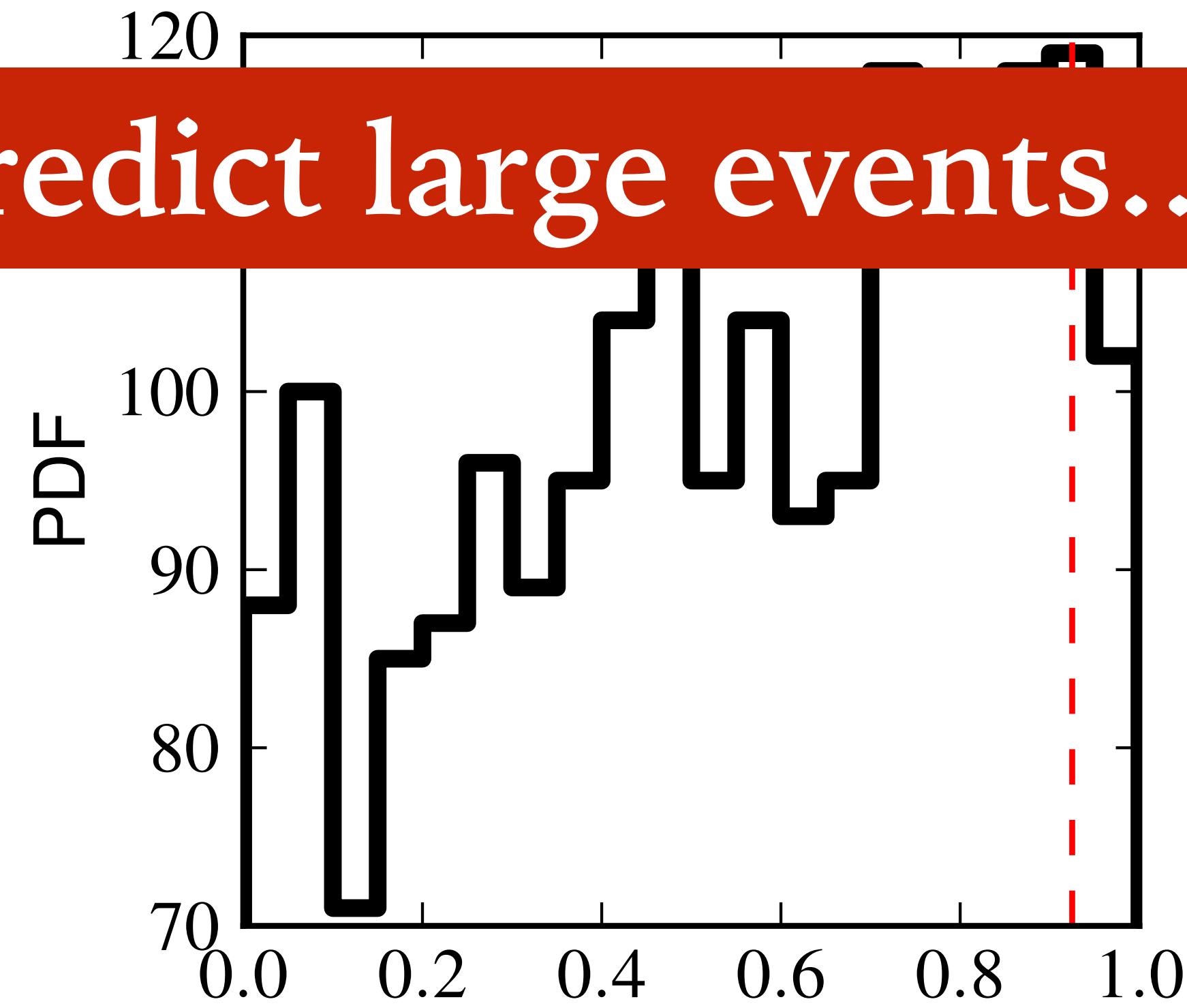
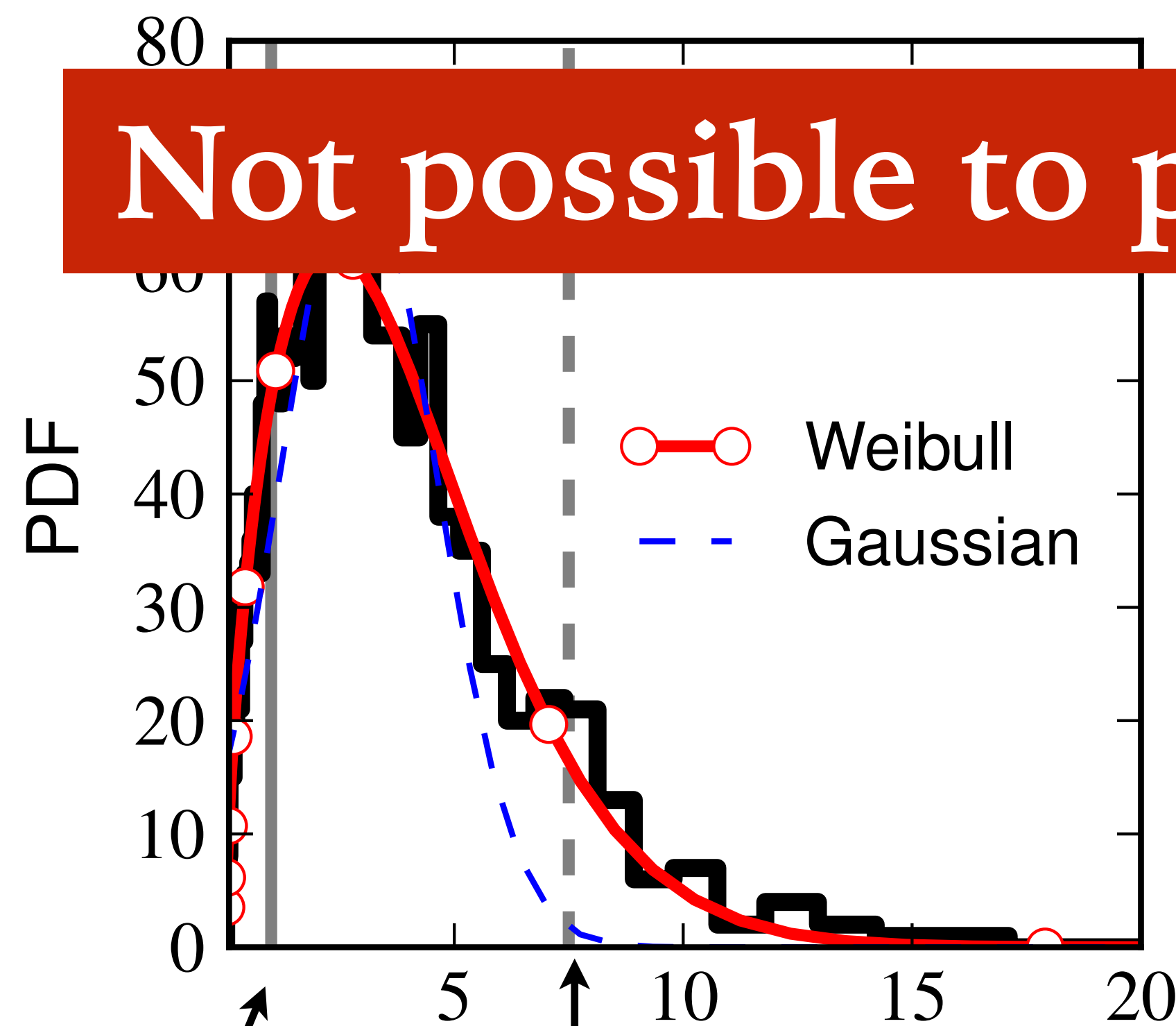


Robustness of one large event (standard model)

Energy of the largest event
in the time window

Occurrence of the largest
event in the time window

Not possible to predict large events...



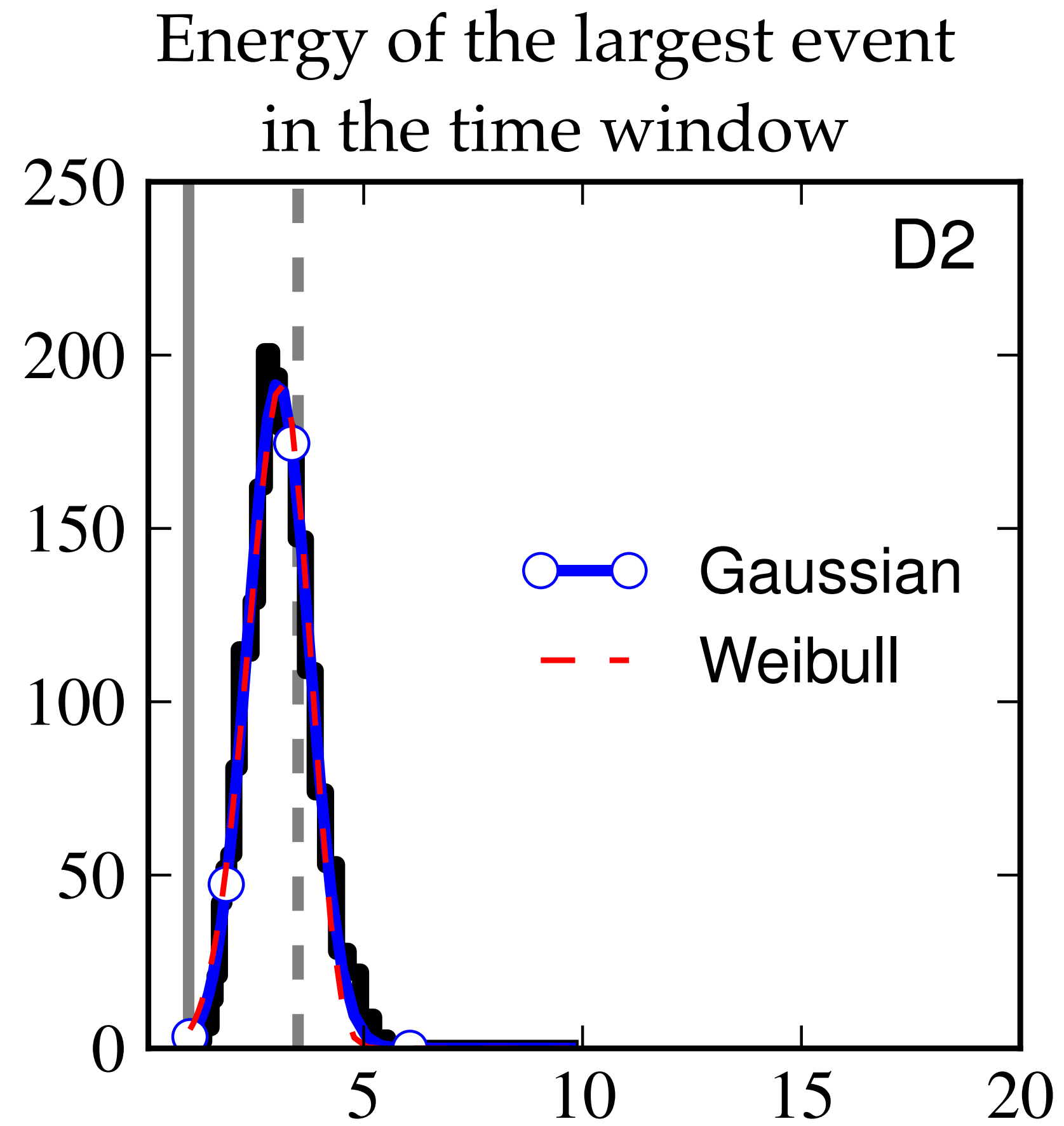
Targeted event energy

Statistical max in the time window

2000 stochastic realisations

[Strugarek & Charbonneau 2014]

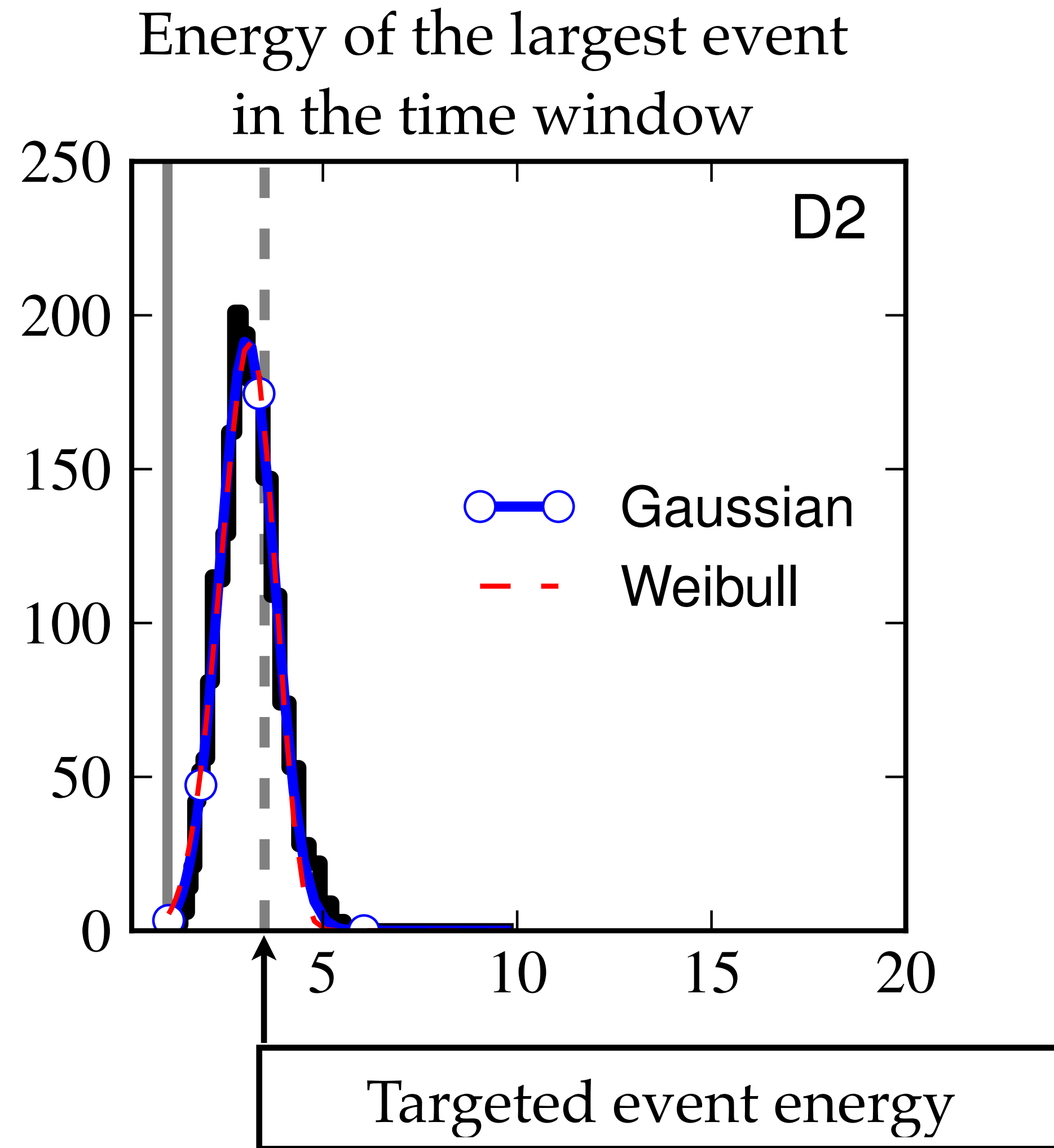
Robustness of one large event (D model)



2000 stochastic realisations

[\[Strugarek & Charbonneau 2014\]](#)

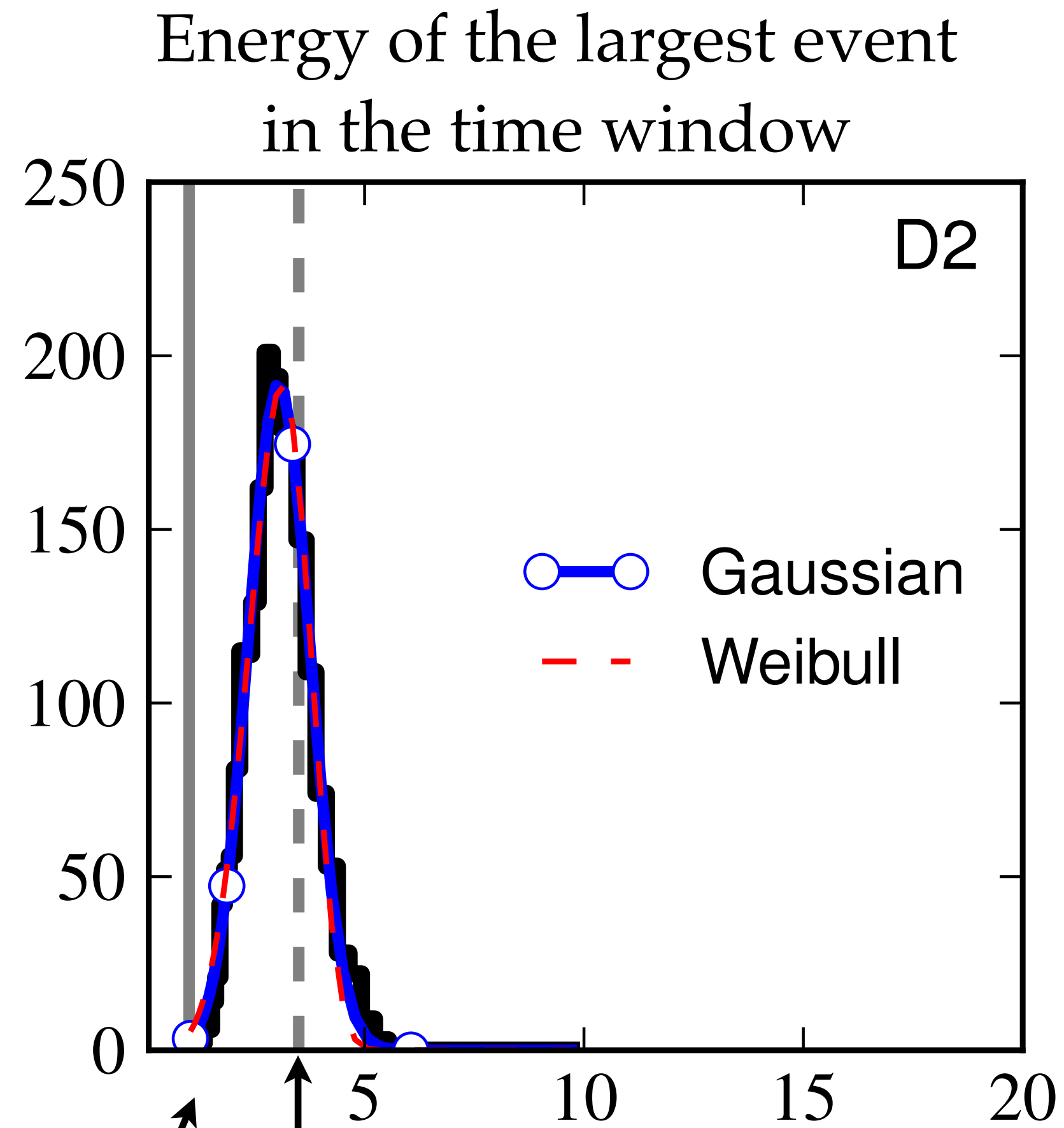
Robustness of one large event (D model)



2000 stochastic realisations

[A. Strugarek & Charbonneau 2014]

Robustness of one large event (D model)



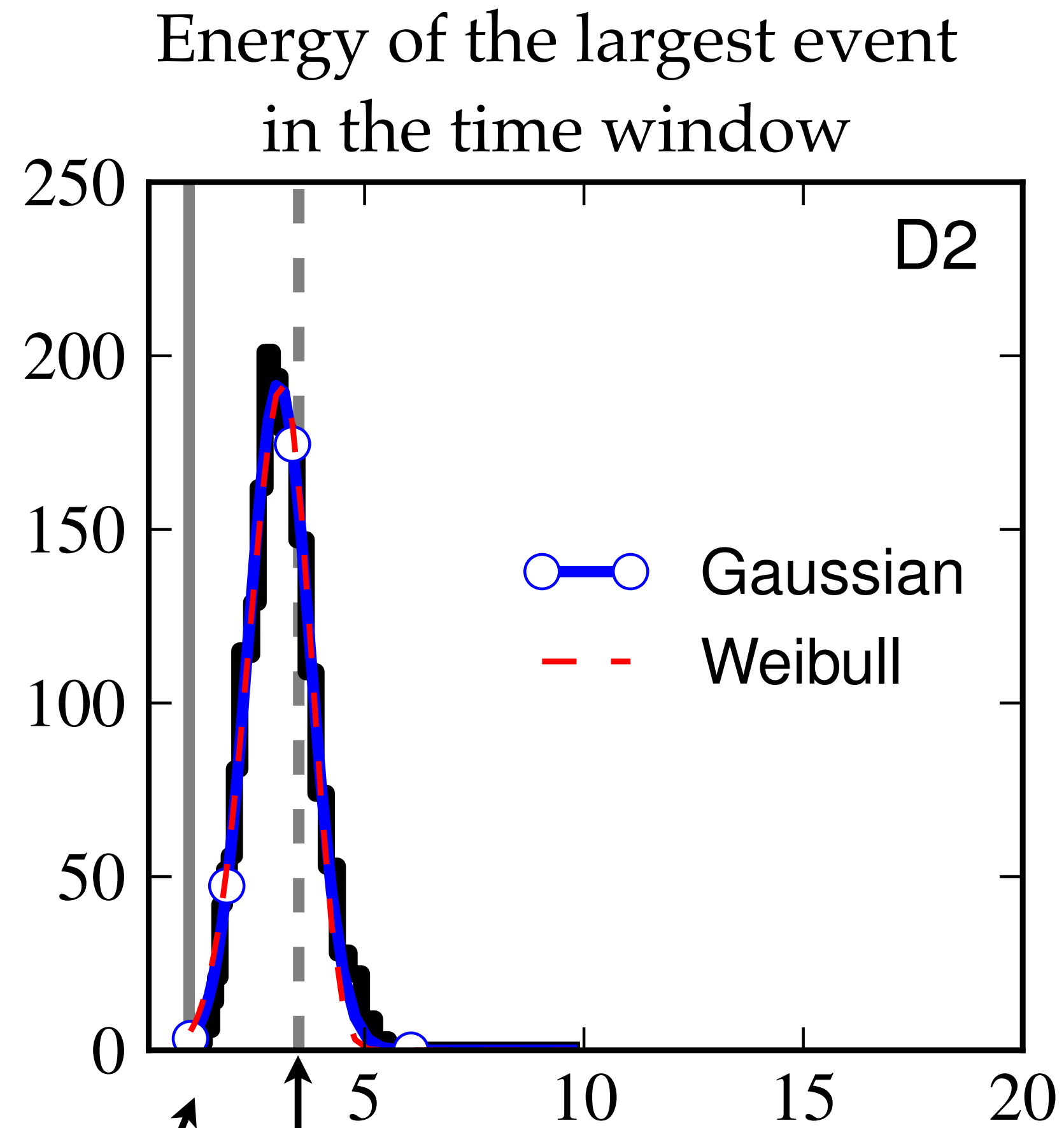
Targeted event energy

Statistical max in the time window

2000 stochastic realisations

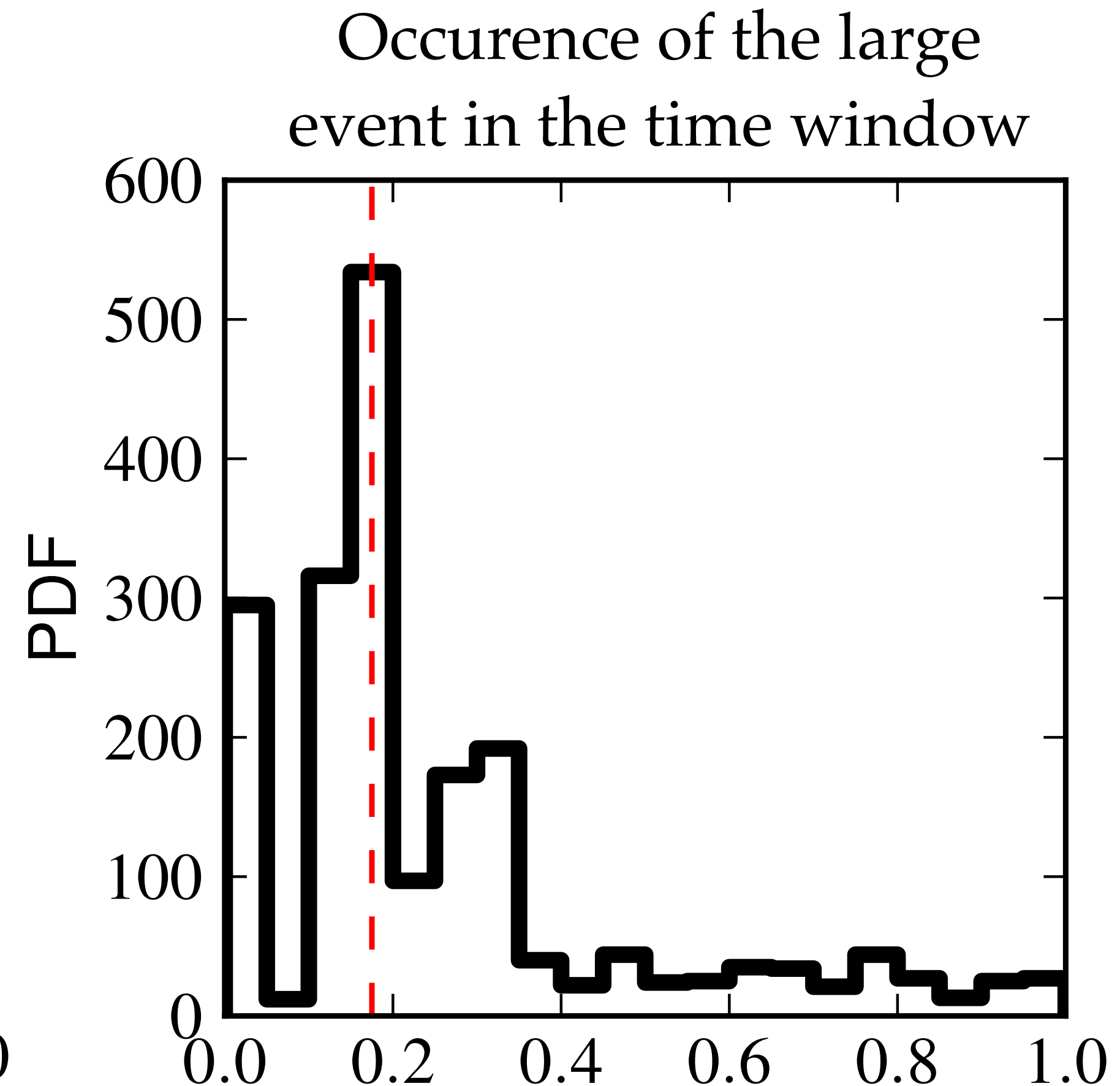
[A. Strugarek & Charbonneau 2014]

Robustness of one large event (D model)



Targeted event energy

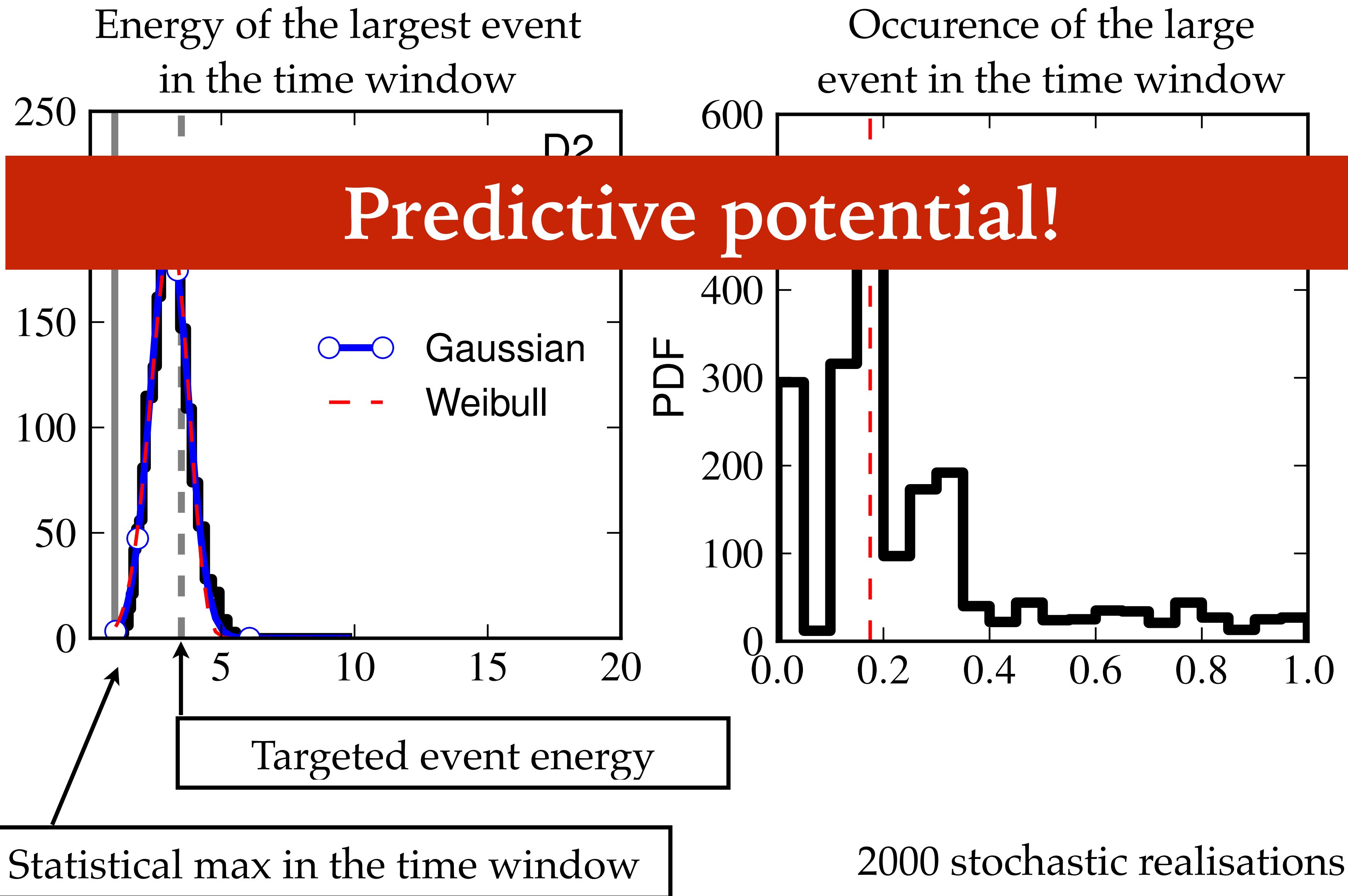
Statistical max in the time window



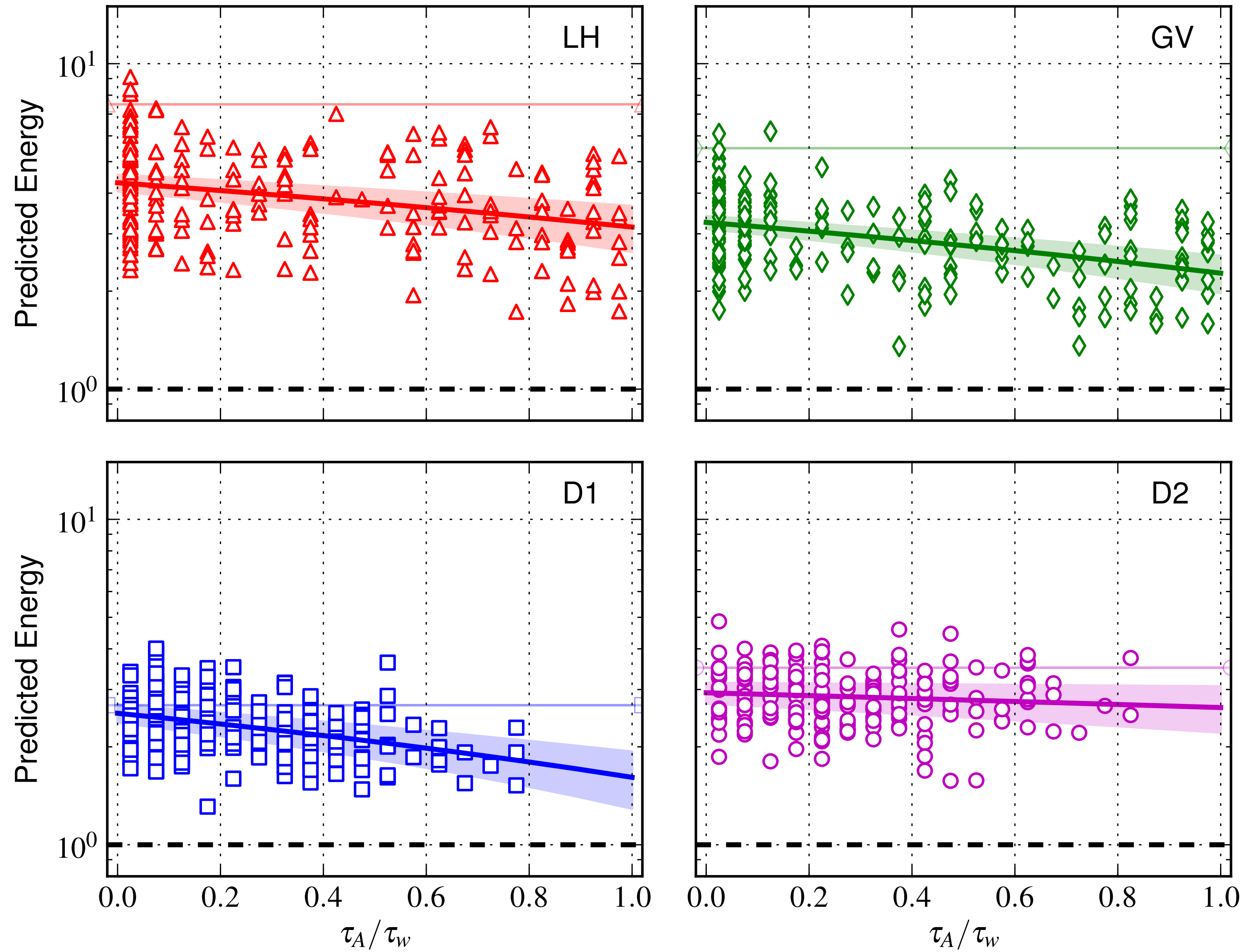
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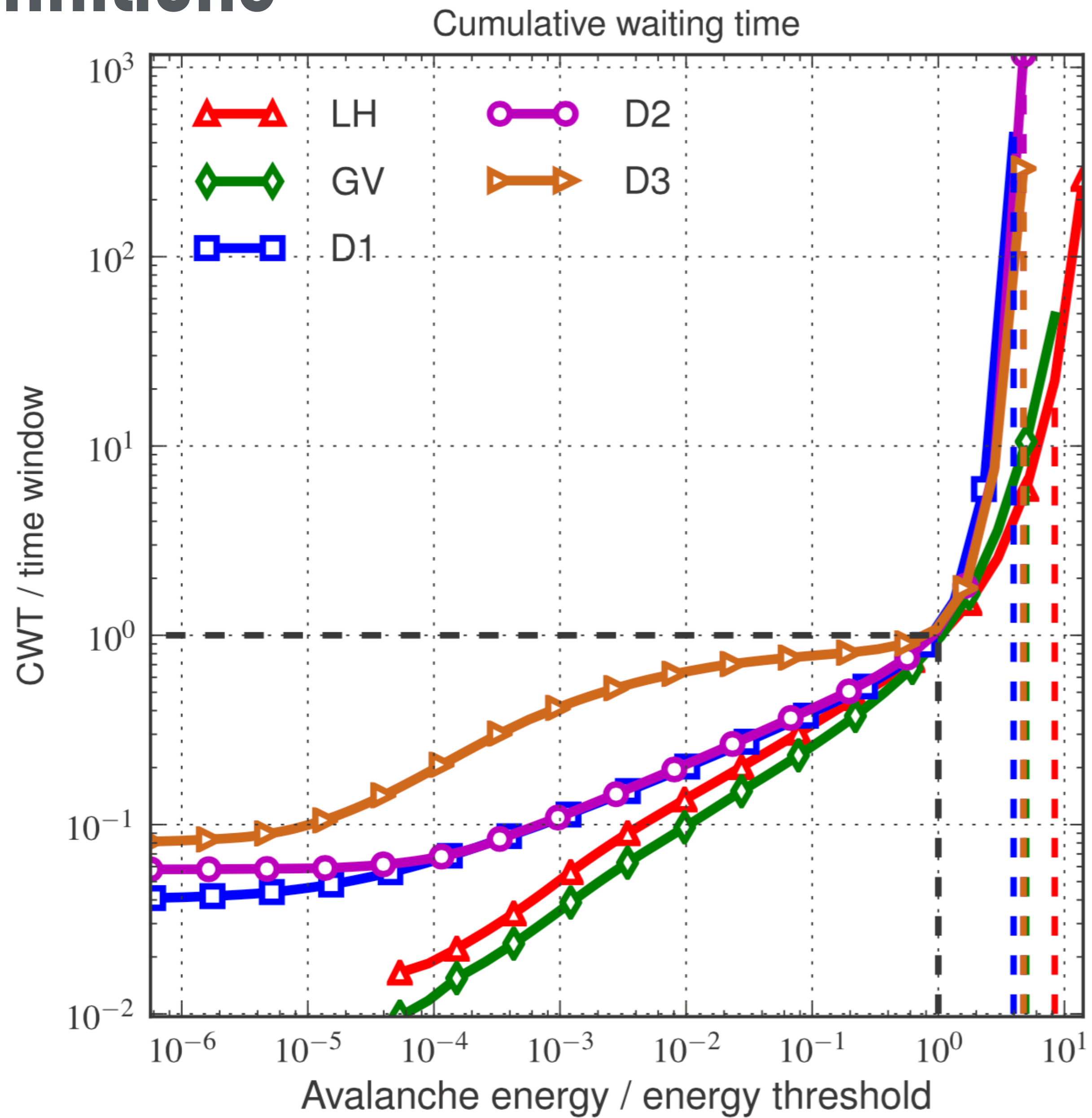
Robustness of one large event (D model)



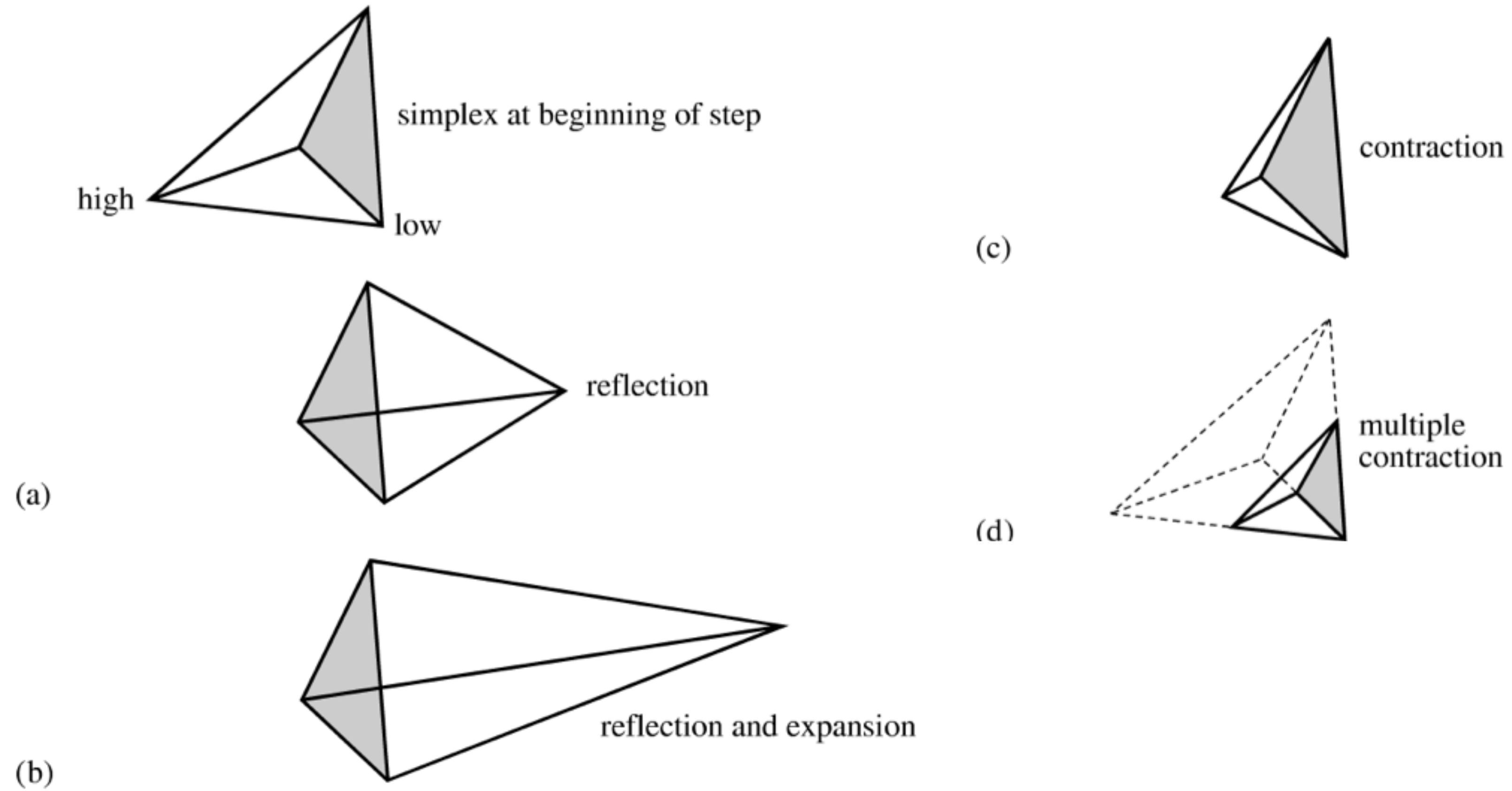
Bias with occurrence in the time window



Time window definitions

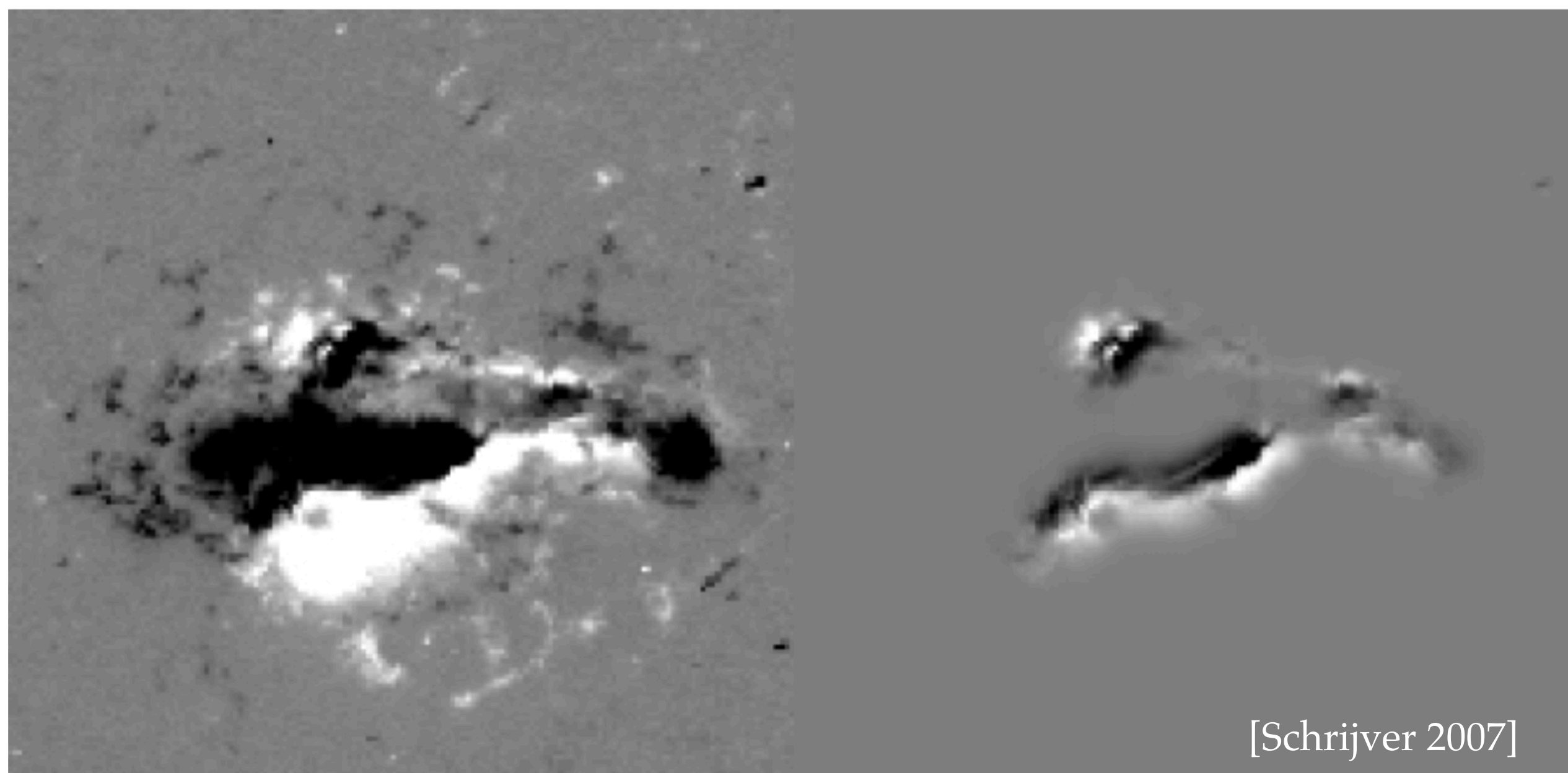


SIMULATED ANNEALING WITH THE SIMPLEX METHOD



THE LARGEST FLARES ARE EXTREMELY HARD TO PREDICT

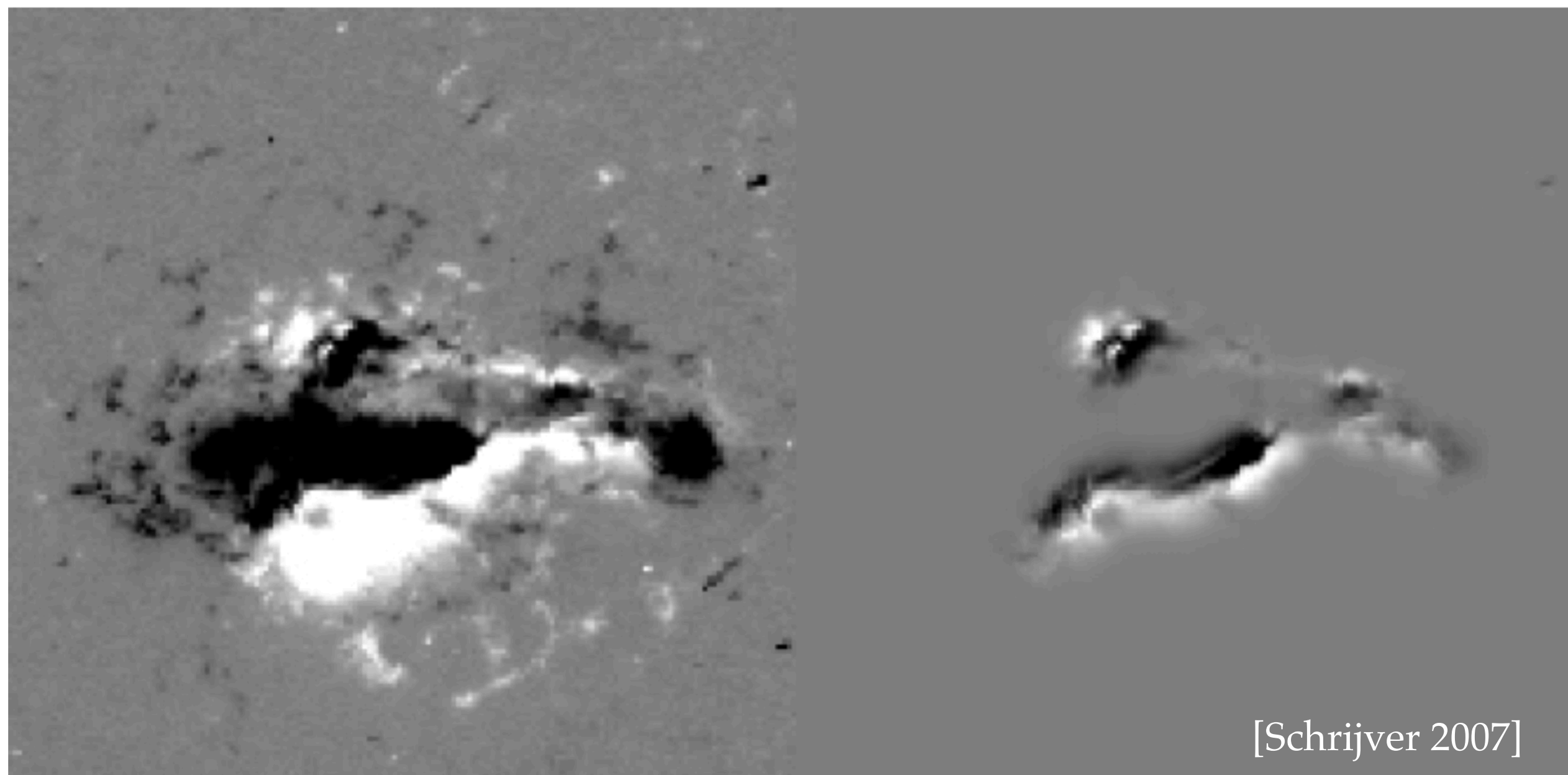
| Parameter | Success Rate |
|---------------------------|--------------|
| Climatology | 0.908 |
| Φ_{tot} | 0.922 |
| E_e | 0.916 |
| R | 0.922 |
| B_{eff} | 0.913 |



THE LARGEST FLARES ARE EXTREMELY HARD TO PREDICT

| Parameter | Success Rate | Heidke Skill Score | Climatological Skill Score |
|---------------------------|--------------|--------------------|----------------------------|
| Climatology | 0.908 | 0.000 | 0.000 |
| Φ_{tot} | 0.922 | 0.153 | 0.197 |
| E_e | 0.916 | 0.081 | 0.231 |
| R | 0.922 | 0.144 | 0.242 |
| B_{eff} | 0.913 | 0.072 | 0.220 |

Improvement factor compared to an assumed statistics of events



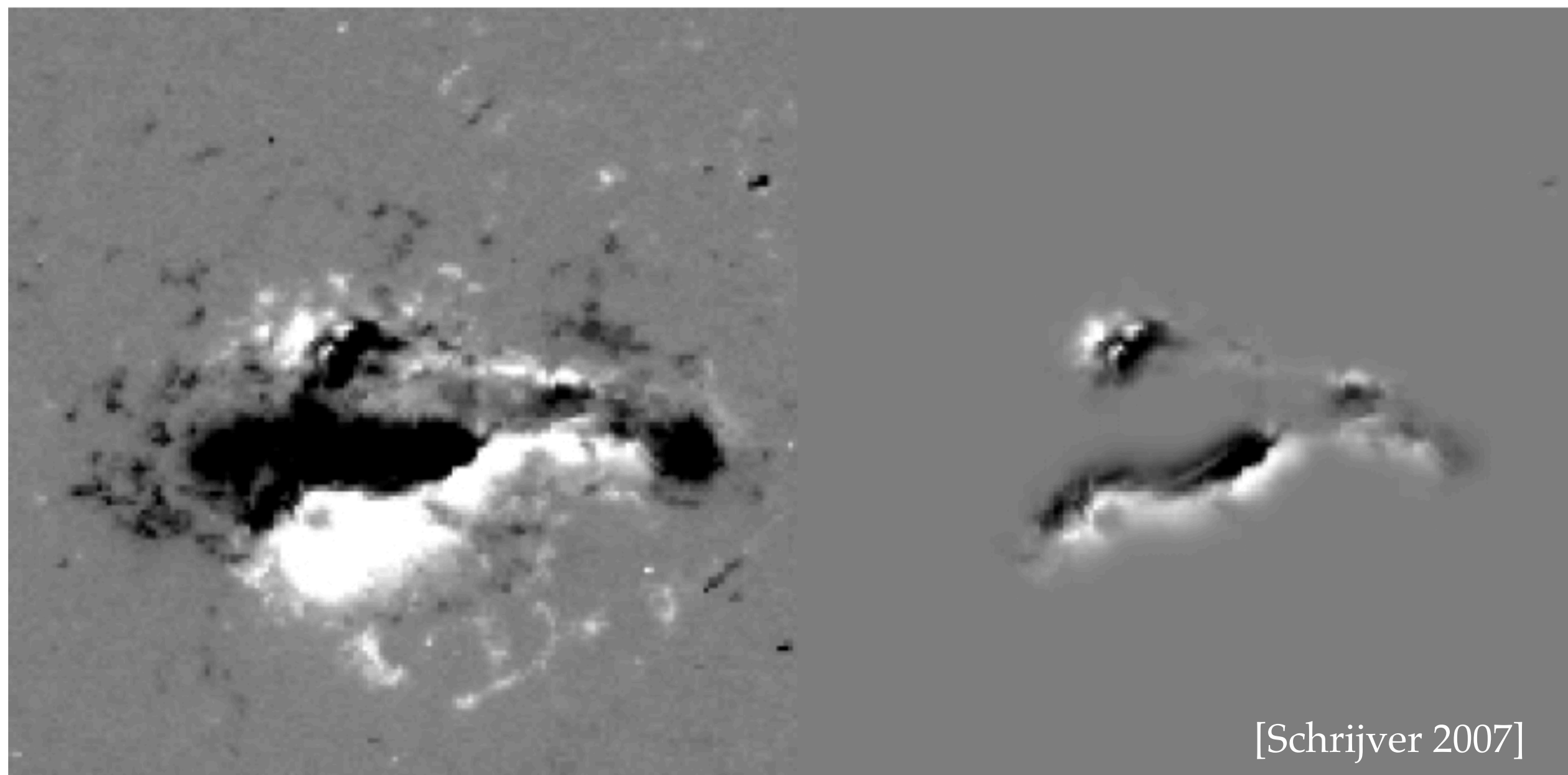
[Schrijver 2007]

All the estimates perform quite poorly for the large events

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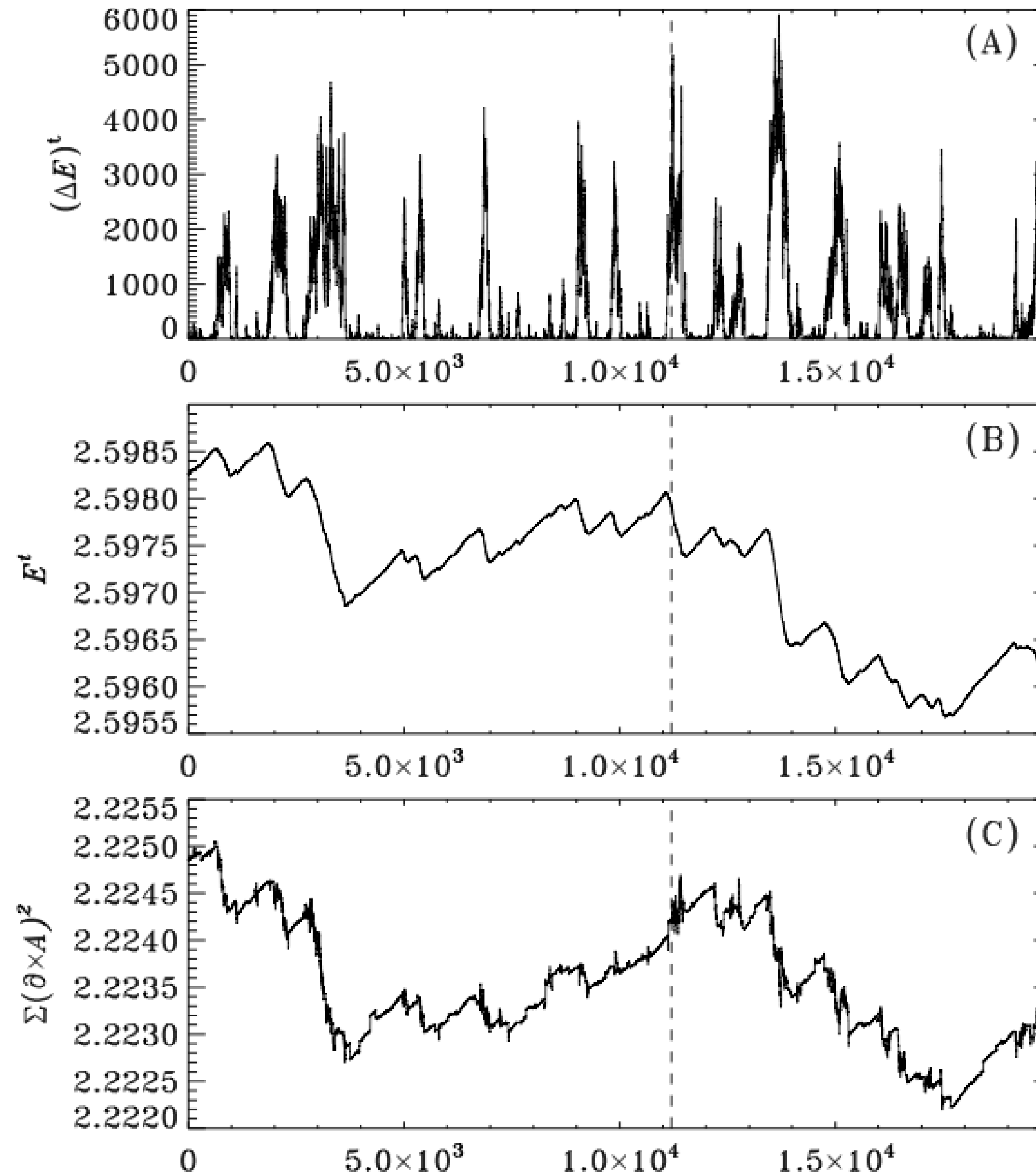
[Schrijver 2007]

All the estimates perform quite poorly for the large events

Estimates using the statistical distribution of flares [Wheatland 2005] give *slightly* better results

PHYSICAL INTERPRETATION OF THE NODAL VARIABLE

[Charbonneau 2013]



STOCHASTICITY IN THE D MODELS

★ Where to put the random process?

❖ Random **extraction** $(Z_{i,j} > Z_c) \rightarrow \begin{cases} B_{i,j} & - = 4\delta B_r \\ B_{i\pm 1, j\pm 1} & + = \delta B_r \end{cases}$

❖ Random **threshold** $(Z_{i,j} > Z_c^r) \rightarrow \begin{cases} B_{i,j} & - = 4\delta B \\ B_{i\pm 1, j\pm 1} & + = \delta B \end{cases}$

❖ Random **redistribution** $(Z_{i,j} > Z_c) \rightarrow \begin{cases} B_{i,j} & - = 4\delta B \\ B_{i\pm 1, j\pm 1} & + = \frac{r_k}{R} \delta B \end{cases}$

$$r_k \text{ random deviate } \in [0, 1] \ (k \in \{1, 4\}) \quad \sum_k r_k = R$$