



Hyper-Kamiokande

Status of Hyper-Kamiokande

Benjamin Quilain (LLR - CNRS/Ecole polytechnique)

on behalf of HK-France

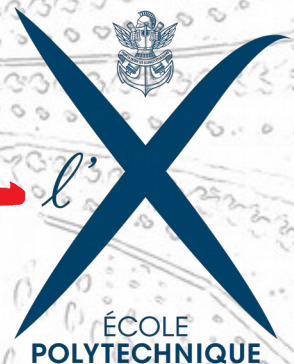


I L [^] N C F

International Laboratory for Astropysics,
Neutrino and Cosmology Experiments

IN2P3
Les deux infinis

ΩMEGA
Microelectronics



LPNHE



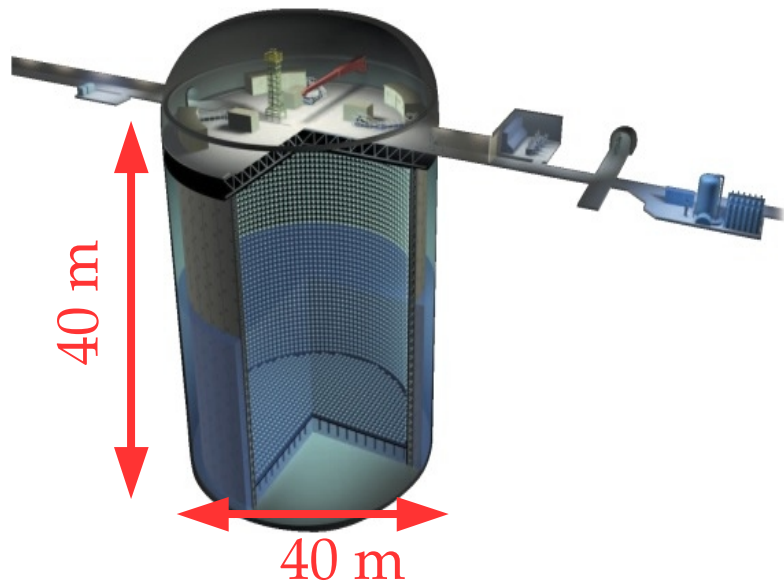
Irfu



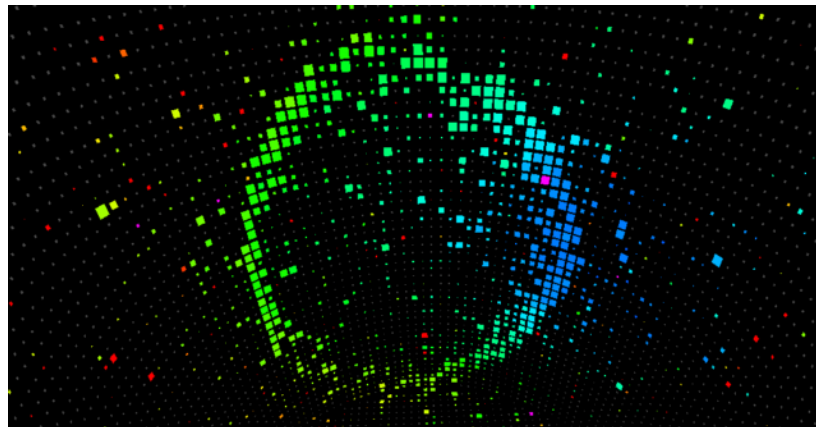
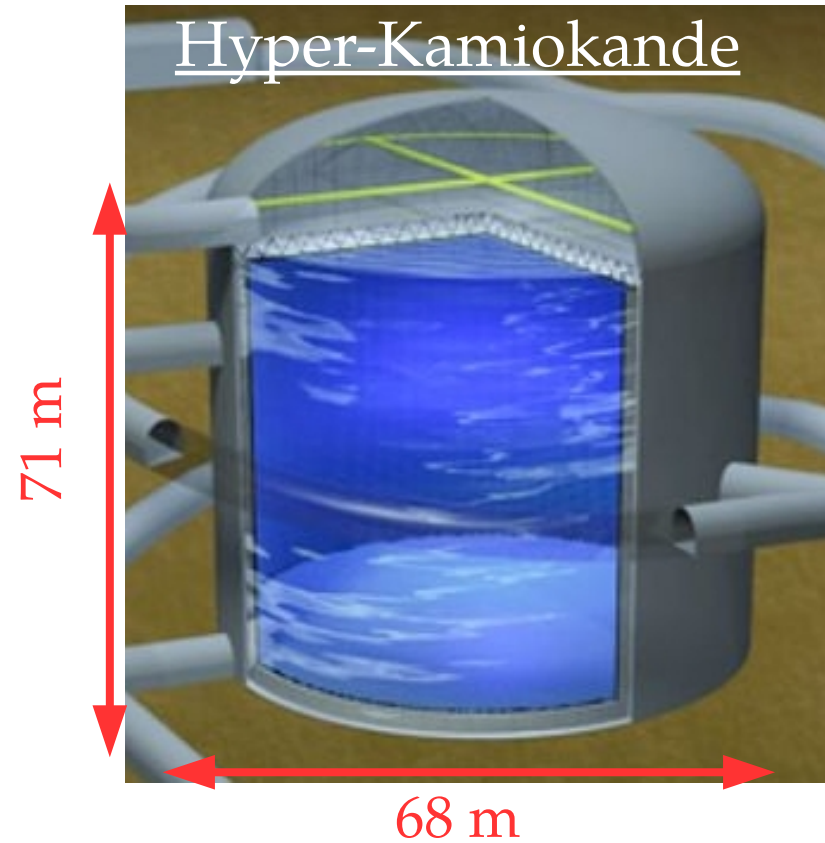
Reminder : what is Hyper-K ?

- Next generation of neutrino observatory in Japan → construction 2020-27
→ A 260 kton water Cherenkov detector → Fiducial Mass ~ 8 x SK.

Super-Kamiokande

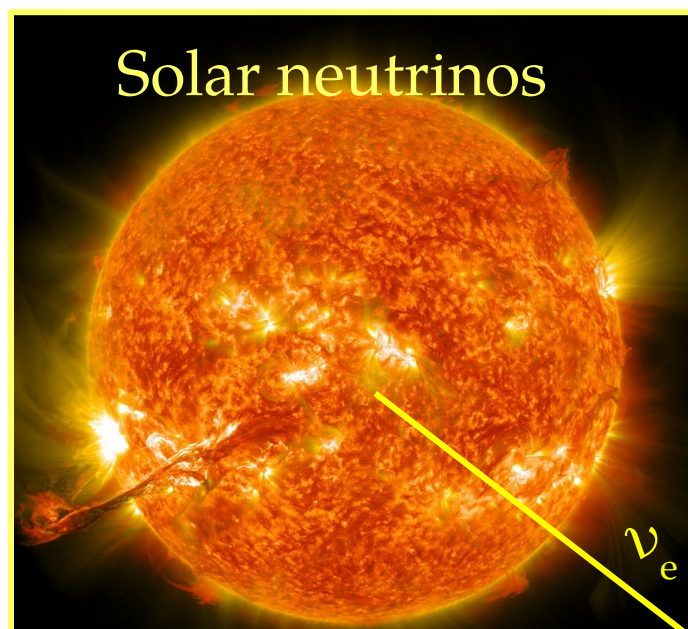


Hyper-Kamiokande



	Super-K	Hyper-K (1st tank)
Site	Mozumi	Tochibora
Number of ID PMTs	11,129	20,000
Photo-coverage	40%	20.%(x2 sensitivity)
Mass / Fiducial Mass	50 kton / 22.5 kton	260 kton / 187 kton

Solar neutrinos

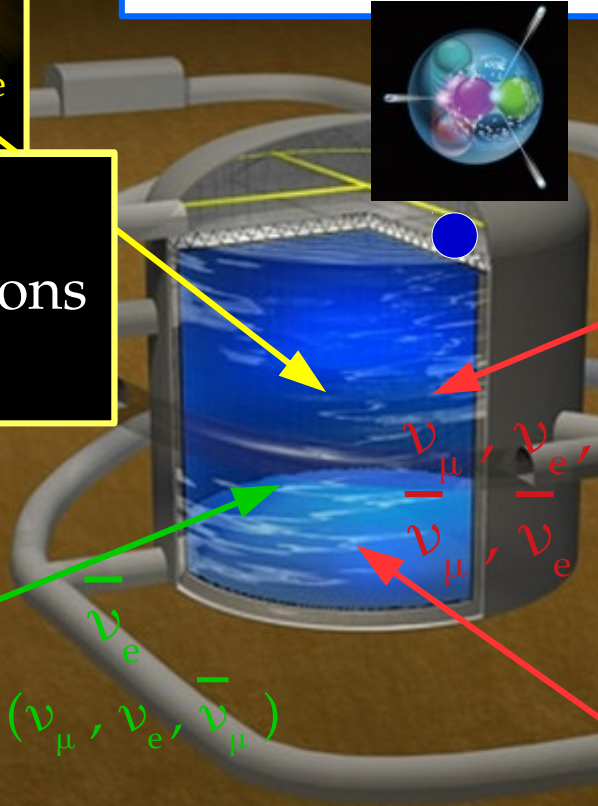


- MSW effect in the Sun
- Non-standard interactions in the Sun.

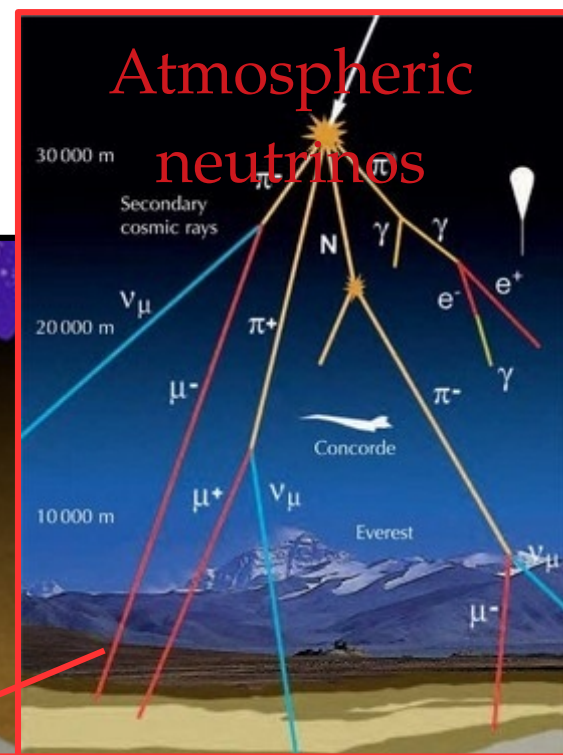
Physics case

Proton decay

Probe Grand Unified Theories through p-decay (world best sensitivity)

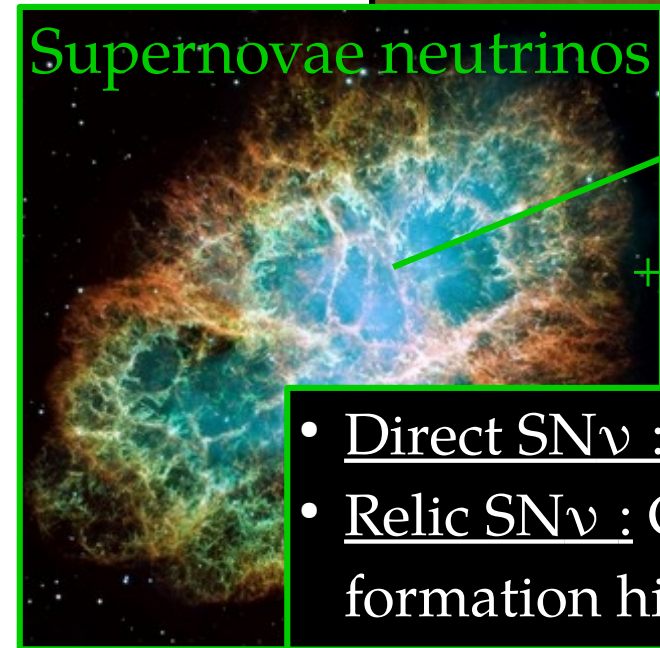


Atmospheric neutrinos



- Observe CP violation for leptons at 5σ
- Precise measurement of δ_{CP}
- High sensitivity to ν mass ordering.

Supernovae neutrinos



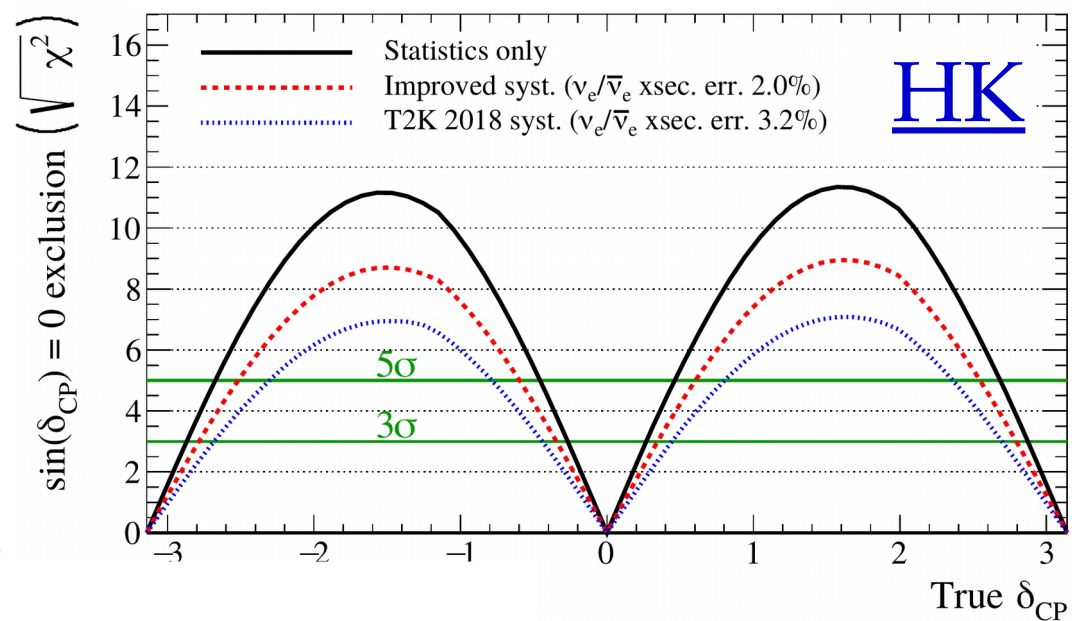
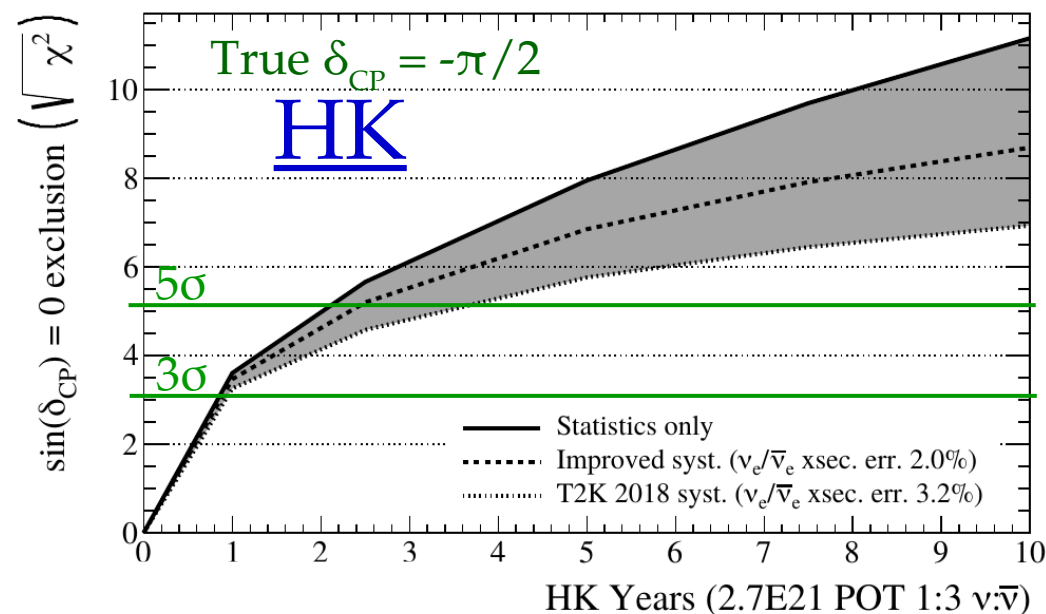
- Direct $SN\nu$: Constrains SN models.
- Relic $SN\nu$: Constrains cosmic star formation history



JPARC accelerator neutrinos

Sensitivity to CP violation

- Assuming a run $\nu:\bar{\nu} = 1:3$ @1.3MW (can be adjusted).



- $\delta_{CP} = -\pi/2$: 5σ after 2-3 years** of data taking : known in 2029-2030 !
 → Independent from \downarrow systematic uncertainties.

- HK 10 years** : 5σ sensitivity on 60% of δ_{CP} values.

- HK has world-best sensitivity to CP violation for the coming generation.

Matter/antimatter asymmetry

- ν CP violation at low E maybe the key to matter/antimatter asymmetry
 → Class of theories directly link low E δ_{CP} to matter/antimat. asymmetry.

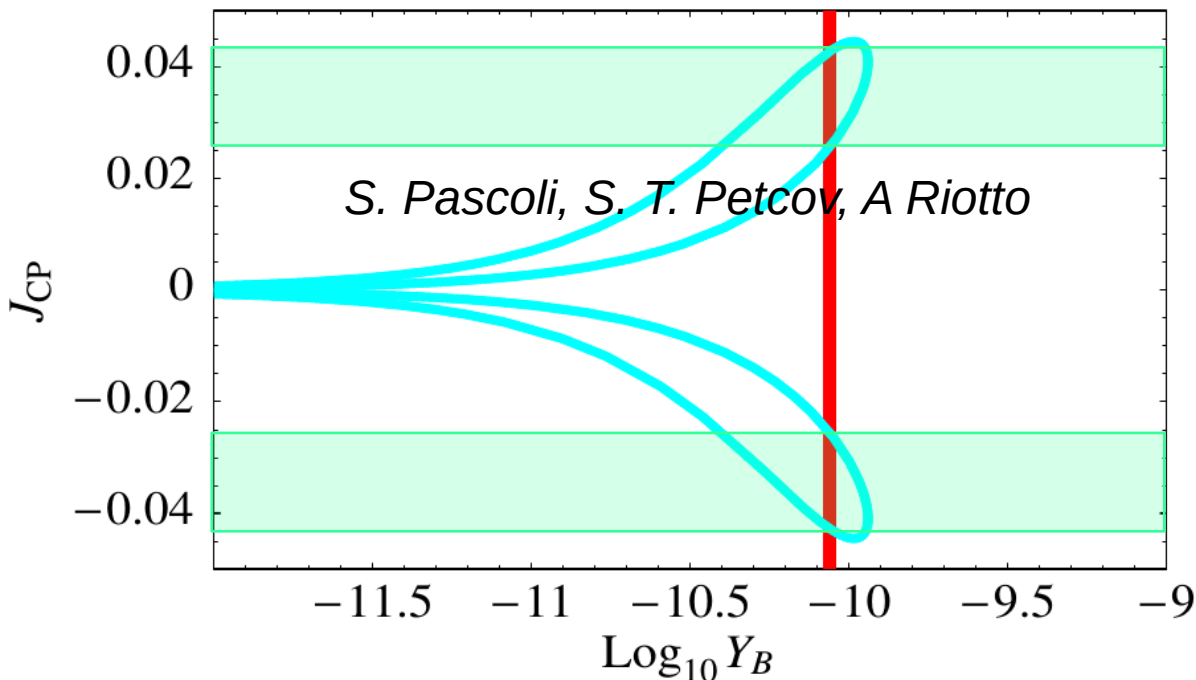
CP violation at low energy for ν

Leptogenesis

Matter/antimatter asymmetry

$$\Delta P = P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \propto J_{CP} \quad |Y_B| \cong 2.8 \times 10^{-13} |\sin \delta| \left(\frac{s_{13}}{0.2}\right) \left(\frac{M_1}{10^9 \text{ GeV}}\right)$$

- First step is to actually measure if CP is violated...



Precision on $\sin \delta_{CP}$

↔ Precision on leptogenesis models

Lower limit for leptogenesis :

$$|\sin \theta_{13} \sin \delta_{CP}| \geq 0.11$$

$$\rightarrow |\sin \delta| \geq 0.78$$

Flavour symmetries

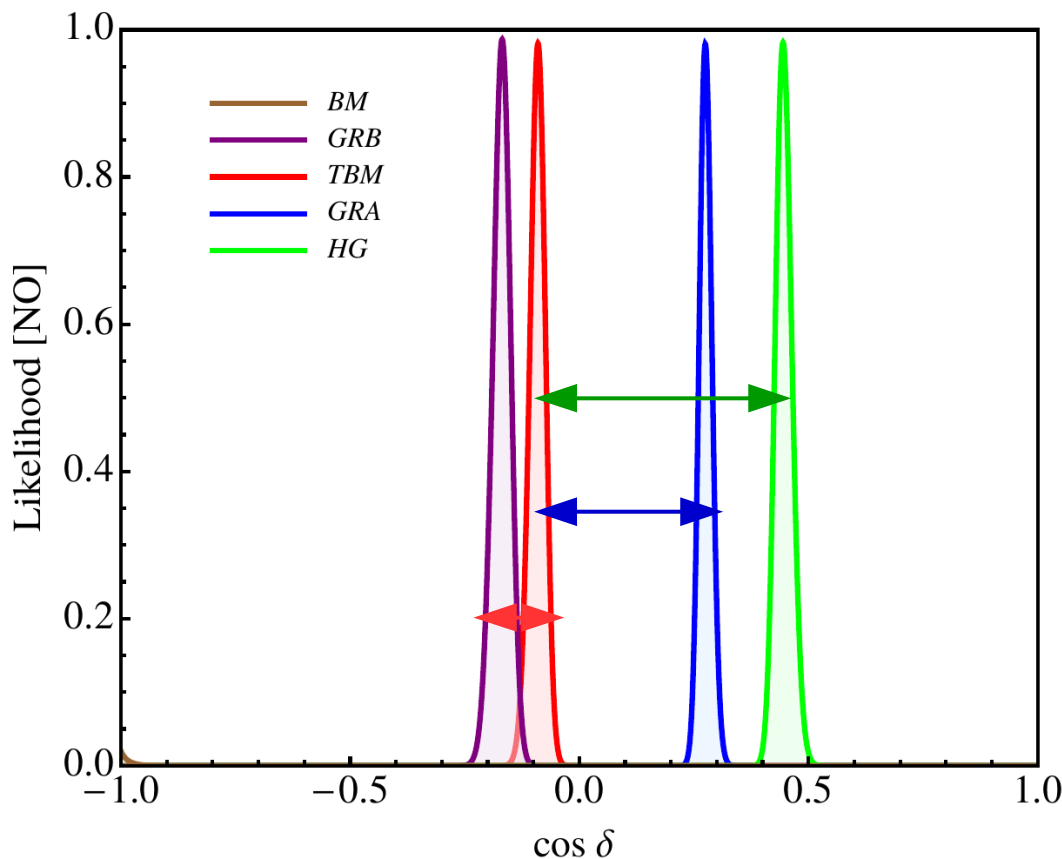
- Models of lepton flavour symmetries could be also tested

$$\begin{array}{c}
 e \leftrightarrow \mu \leftrightarrow \tau \\
 \nu_e \leftrightarrow \nu_\mu \leftrightarrow \nu_\tau
 \end{array}$$

$$\cos \delta = \frac{\cos 2\theta_{23} \cos 2\theta_{13}}{\sin 2\theta_{23} \sin \theta_{13} (2 - 3 \sin^2 \theta_{13})^{\frac{1}{2}}}$$

Lepton generation symmetric models

Links PMNS parameters



δ_{CP} = less well-known parameter
 → Limits the model constraints.

Model separation requires :

First separation : $\delta [\delta_{CP}] < 30^\circ$

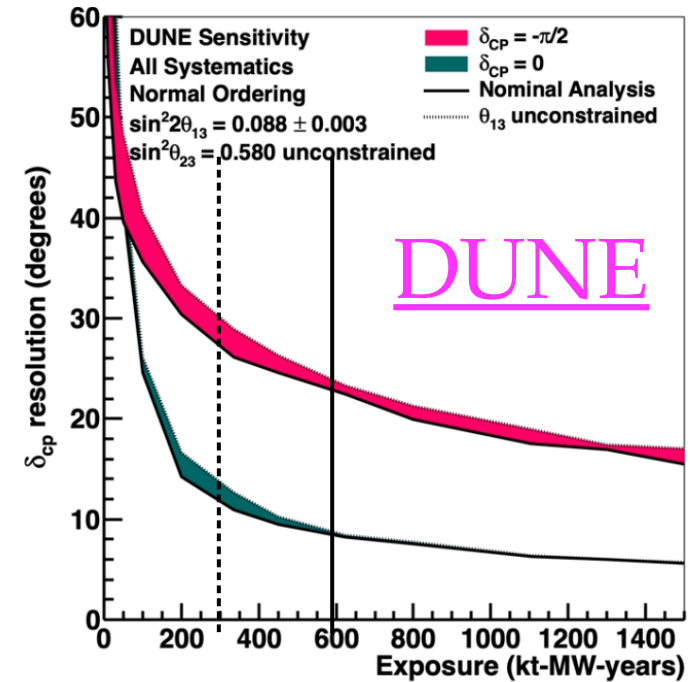
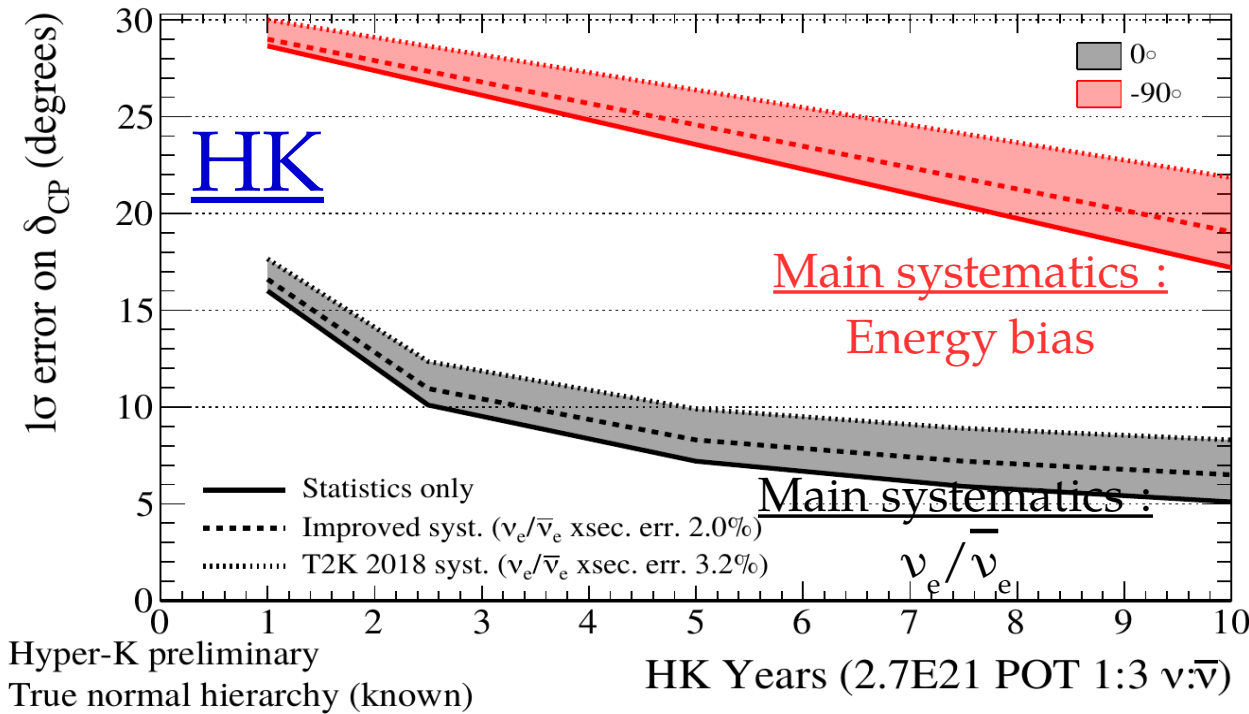
Good separation : $\delta [\delta_{CP}] < 23^\circ$

Great separation : $\delta [\delta_{CP}] < 5^\circ$

→ Precision of our experiments ?

Precise measurement of δ_{CP}

- After CPV is determined, accurate measurement of δ_{CP} will be crucial
 → Maximal CPV, leptogenesis, symetries of lepton's generations ...



	5 years [HK & DUNE]	10 years [HK & DUNE]
CP conserved $\delta_{CP} = 0$	8° & 13°	6° & 9°
$\delta_{CP} = -\pi/2$	25° & 29°	19° & 24°

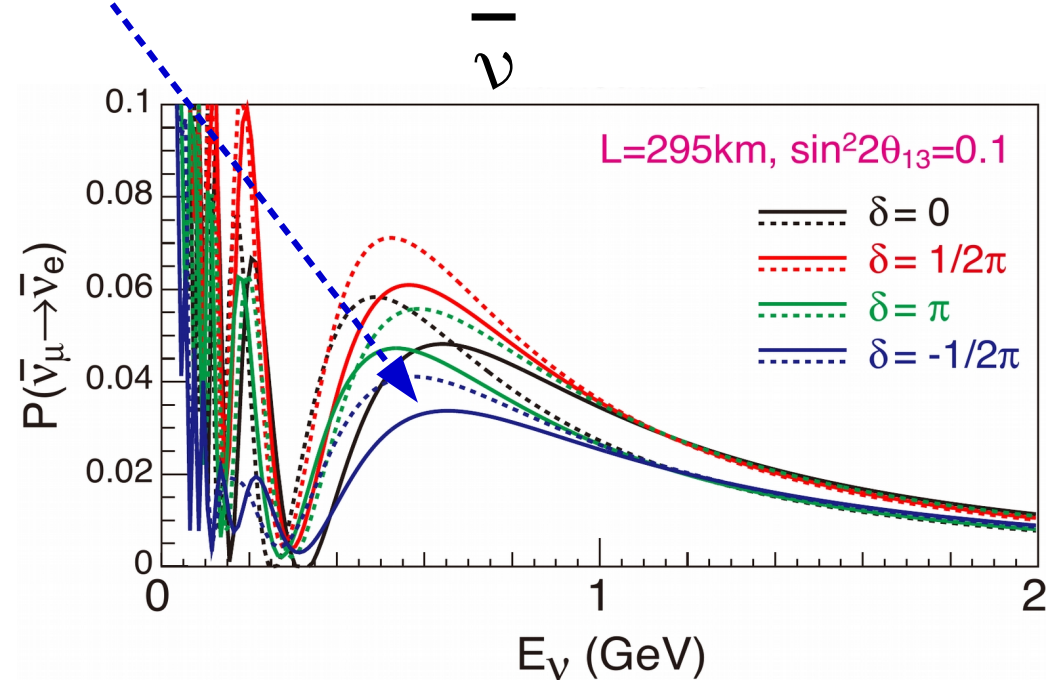
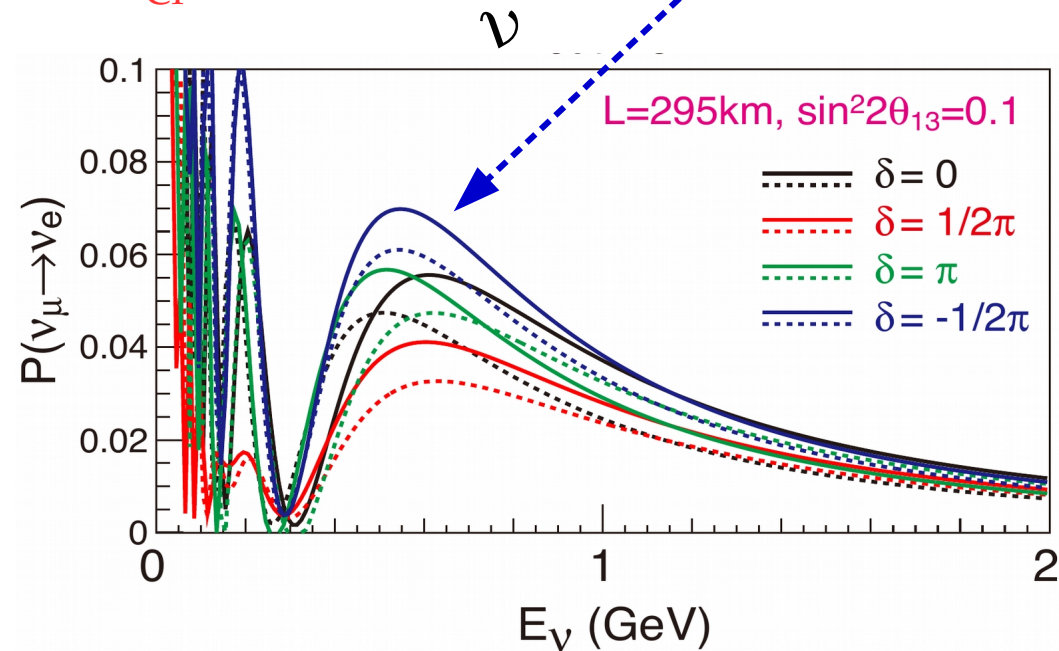
- Need both HK&DUNE to reach the best & reliable measurement of δ_{CP}
 → And in each, an excellent control of our systematics !

Precise measurement of δ_{CP}

$$P(\nu_\mu \rightarrow \nu_e) = 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} + \dots$$

$\sin \delta$:

- CP-odd : opposite effect for $\nu / \bar{\nu}$.
 - Derivative ≈ 0 for $\delta_{CP} \sim -\pi/2$
- Weak sensitivity to δ_{CP} around $-\pi/2$



Precise measurement of δ_{CP}

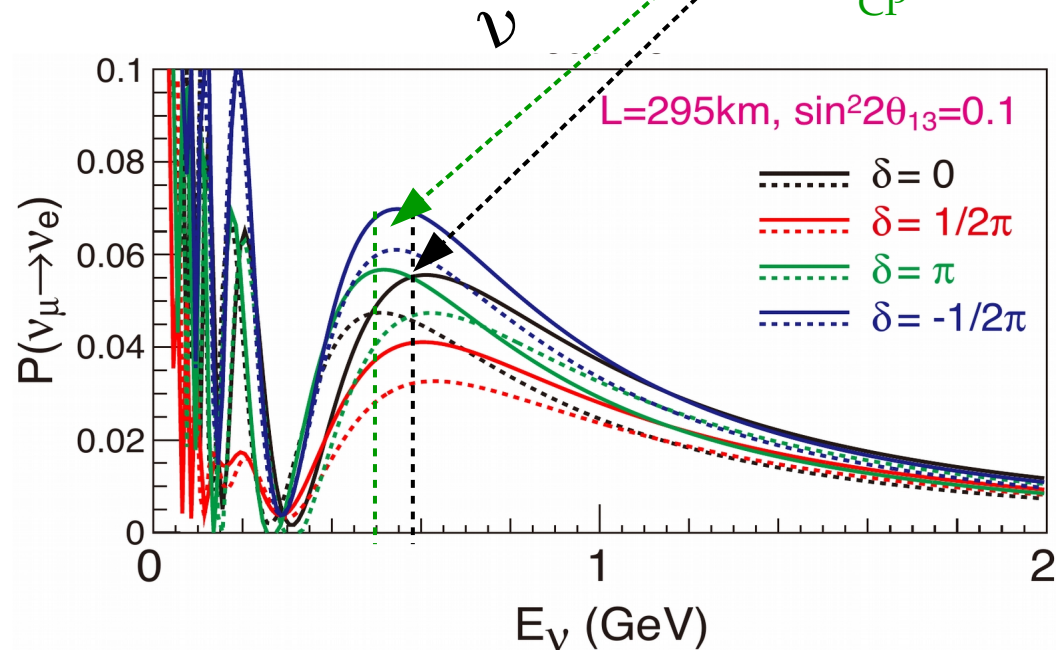
$$P(\nu_\mu \rightarrow \nu_e) = 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31}$$

$$+ 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$$

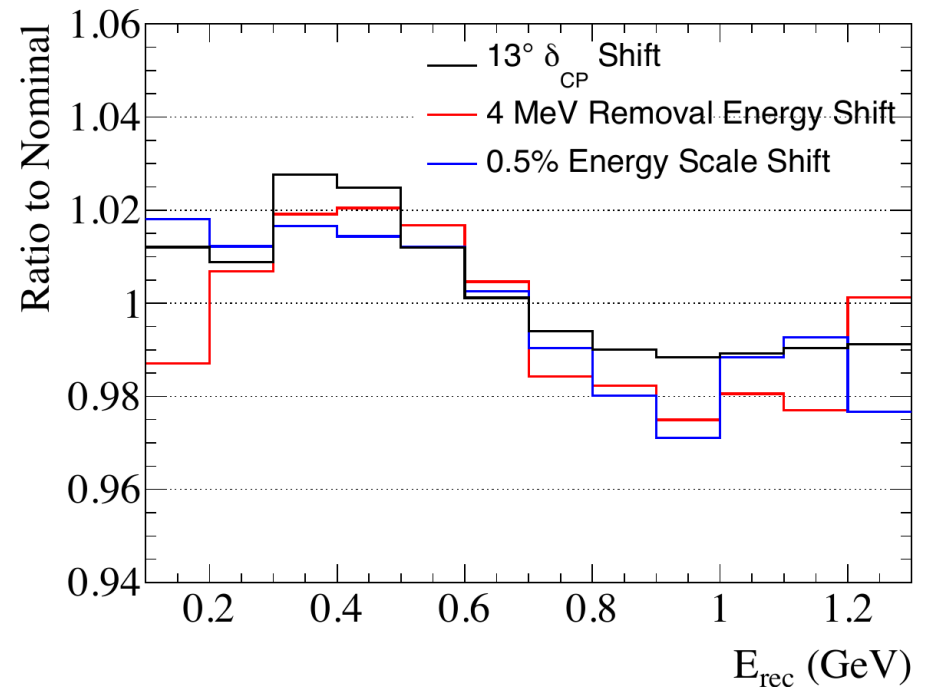
$$- 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} + \dots$$

$\cos \delta$:

- CP-event : same effect for $\nu / \bar{\nu}$
- Large derivative for $\delta_{CP} \sim -\pi/2$
- Large sensitivity to δ_{CP} .
- Ideal term to measure δ_{CP} .



$\cos \delta \approx E$ spectrum shift (L fixed).

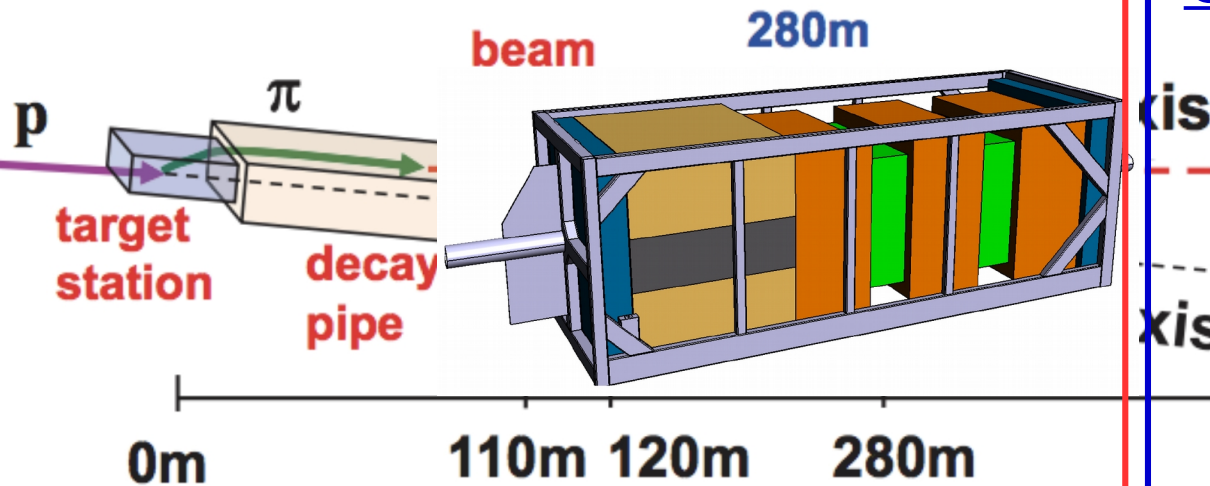


- Need to step-up in spectrum
systematics control
→ New complex of near detectors to reach these accuracies.

Updated systematic uncertainties

- 2 very complementary near detectors :

Upgraded ND280

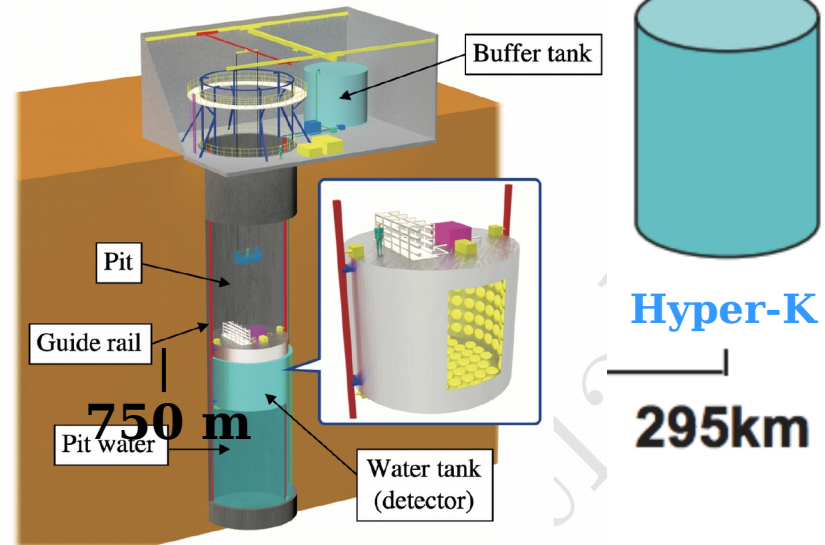


Ideal to measure cross-section

- Very low E-threshold.
 - $CC0\pi$, $CC1\pi$, $CCN\pi$ separation.
 - Measure of hadronic effects.
- Here from 2023
 - Great control from day 1 of HK
- IN2P3 & CEA have leading roles

Intermediate Water Cherenkov Detector

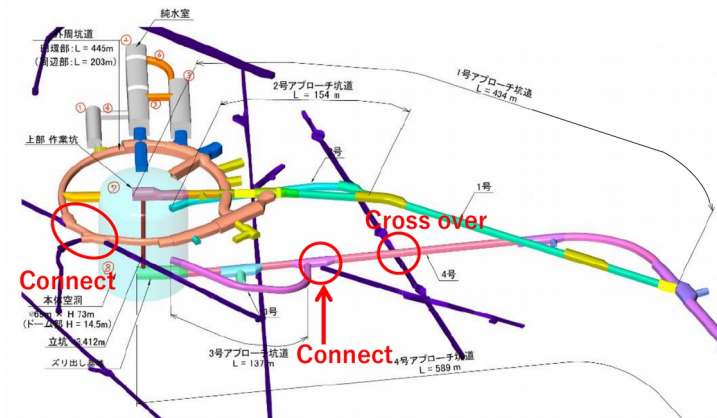
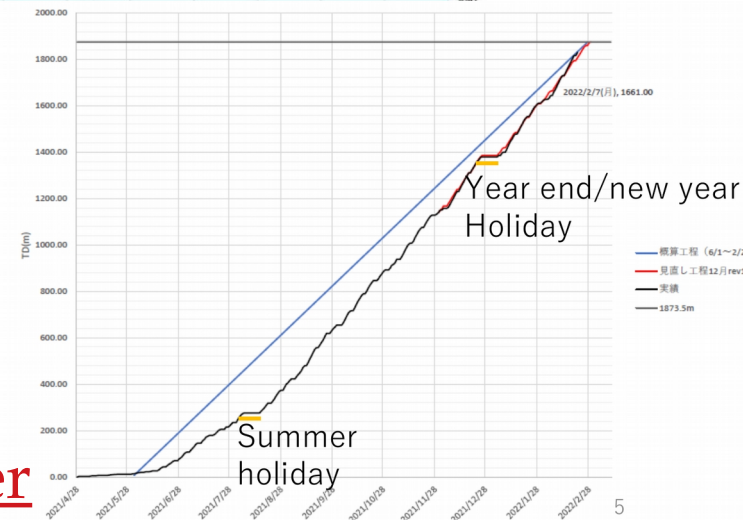
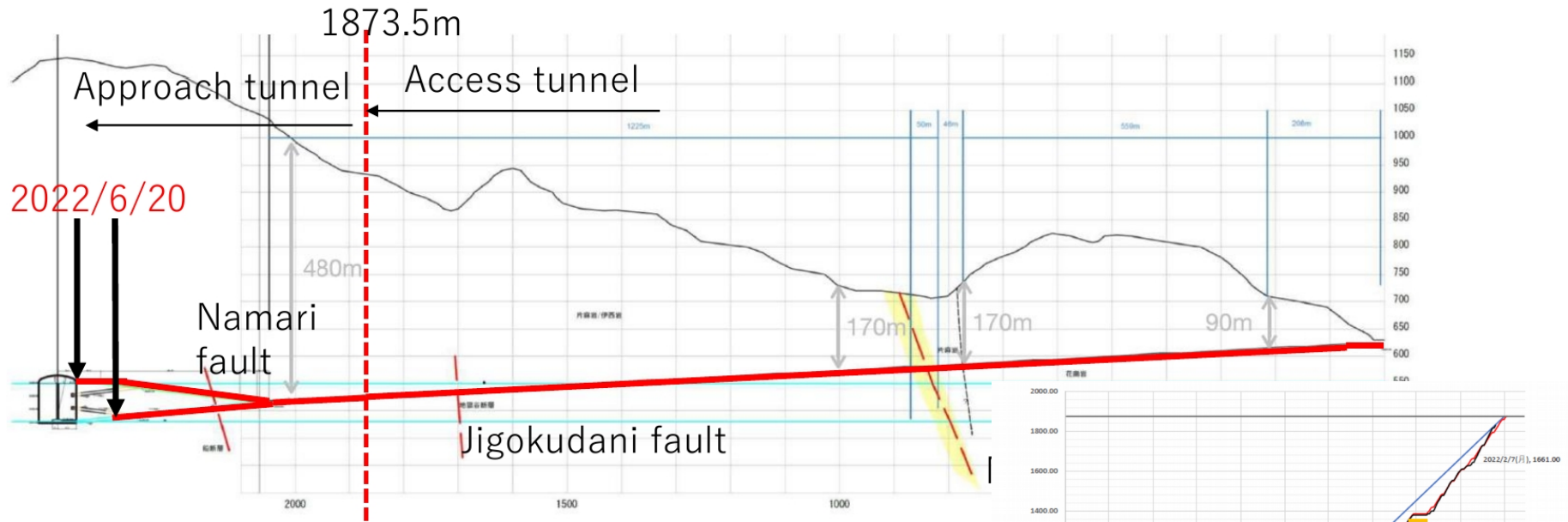
New



Target & acceptance ~ far detector.

- Cancel detector uncertainties & precise measurement of ν_e / ν_μ
- Here from 2028.
- Contributions still open
 - Great opportunity to join H_{10}^K & synergy with far detector.

Hyper-K excavation



- Excavation of the access tunnel (2 km) is over
finished the 25/02/22 → In-time !
- Approach & circular tunnel excavation are over
- We have started the dome excavation !
→ No delay in excavation.

PMT production & delivery

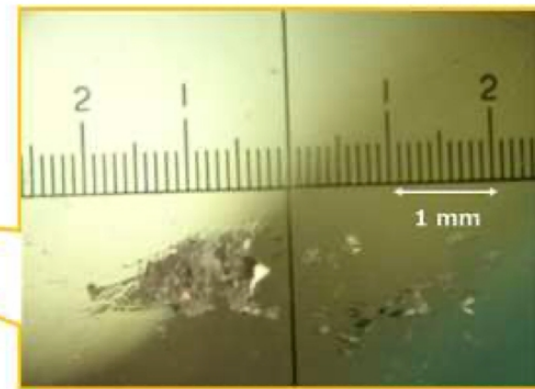
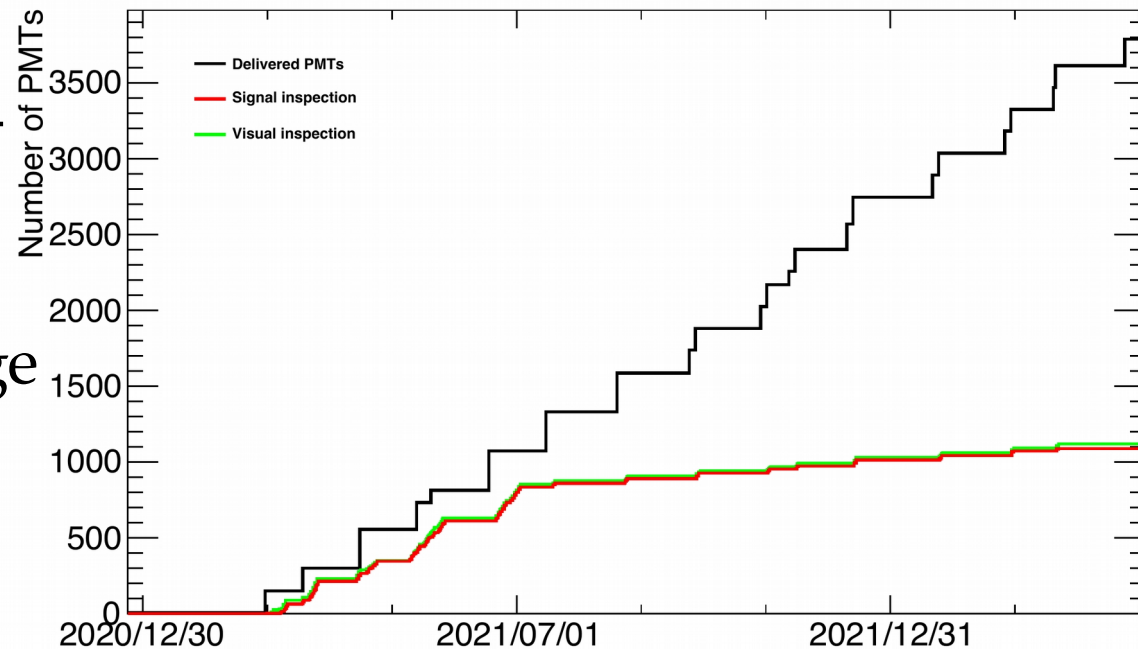
- The delivery of the 20k PMTs of the experiment is on-going :

- ~10 % of PMTs checked :

→ PMT quality does not change wrt time & check production issues.

→ 3 inspections :

1. Visual (detect cracks...).
2. Measurements (high dark rate, impedance issue...)
3. Long-term measurements.

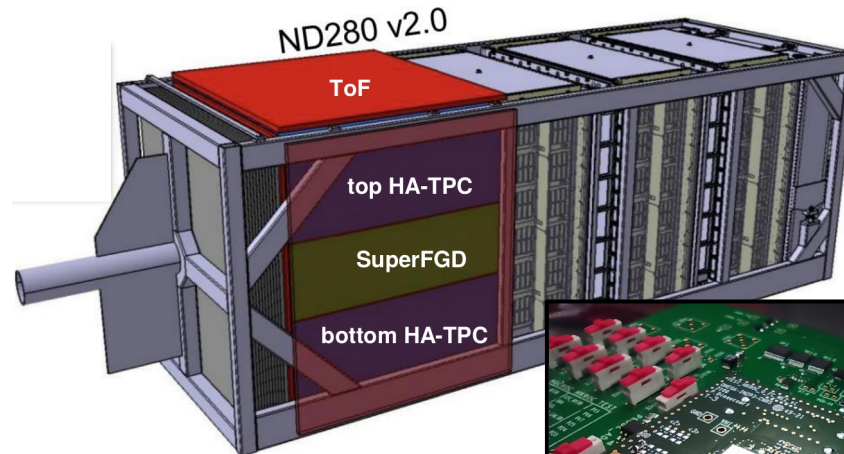


- Minor issues identified et solved with Hamamatsu : the production is on-going as expected, no delay until now.

→ **The detector will take its first data in 2027.**

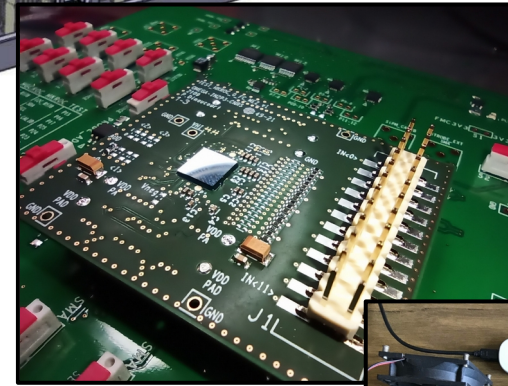
Summary of proposed contributions

HK presented at 2021/10 IN2P3 scientific council :

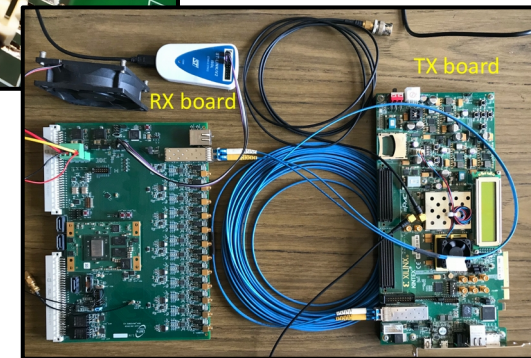


- The ND280-upgrade.

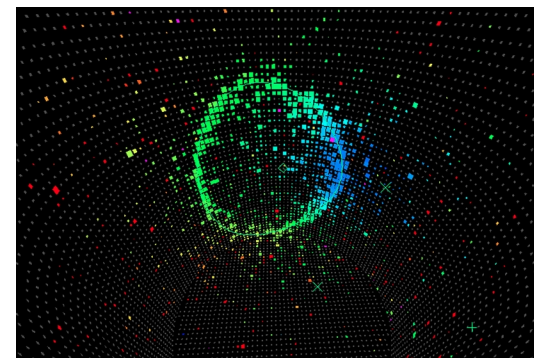
- PMT digitizer for the Far Detector.



- Time generation & clock distribution at Far Detector.
→ See Lucile's talk at 2022/06 IRN.



- CC-IN2P3 : HK Tier 1 computing site.



Summary of proposed contributions

From 2022/03 : HK became an IN2P3 R&T master project.

New

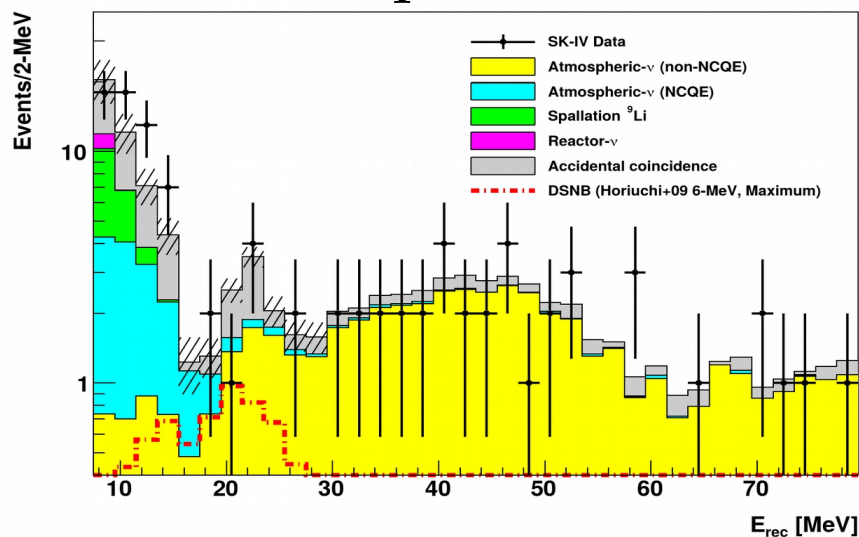
2022/10 : HK presented again to IN2P3 CS « pour avis » :

New

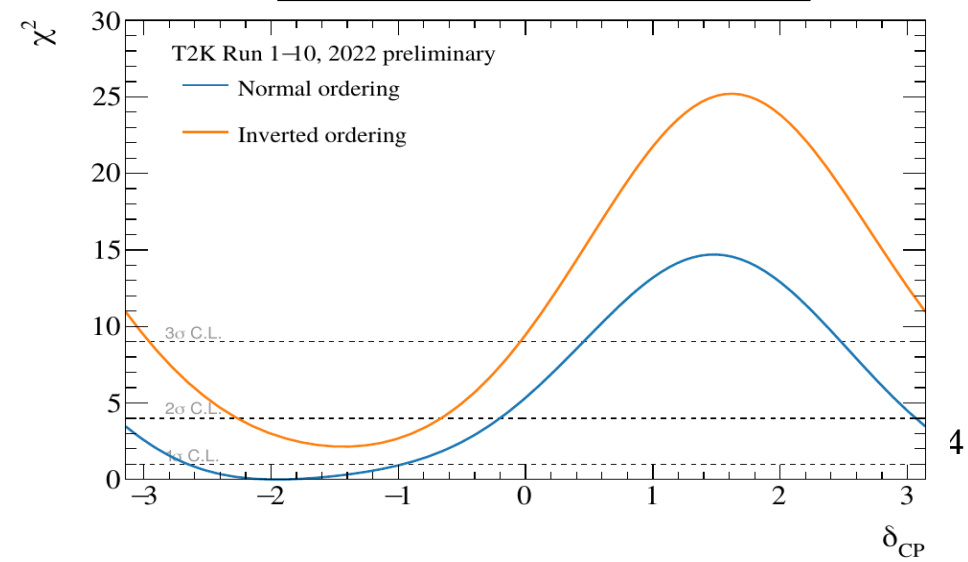
- The ND280-upgrade.
- ~~PMT digitizer for the Far Detector.~~
→ Collaboration chose an alternative (INFN) solution. See : [CSIN2P3-2023](#)
- Time generation & clock distribution at Far Detector.
- CC-IN2P3 : HK Tier 1 computing site.

& export our leadership in low and high E sectors from SK/T2K → HK

DSNB ν @Super-Kamiokande



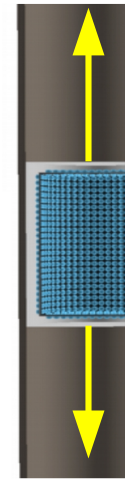
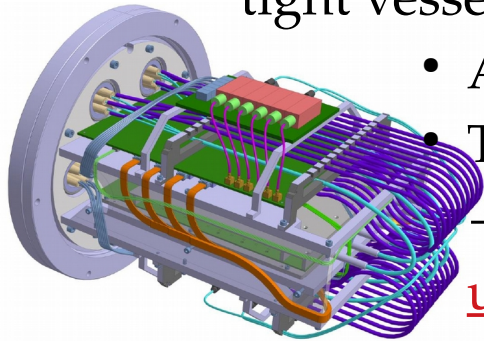
CP violation @T2K



Some proposed contributions

Readout electronics assembly & test @CERN

- Read-out electronics in water-tight vessel under water.
- Assembly at CERN.
- Test bench & tests → Can raise hand until spring 2023.

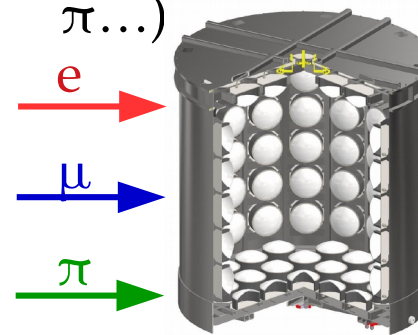


• IWCD

- Outer detector photosensors.
- Outer detector digitizer.
- Elevation mechanical system → Can raise hand until end of 2023.

Water Cherenkov Test Experiment

- A 4m x 4m Water Cherenkov @CERN.
- Precision measurement of Cherenkov profile w/ known particle beam (e, μ , π ...)

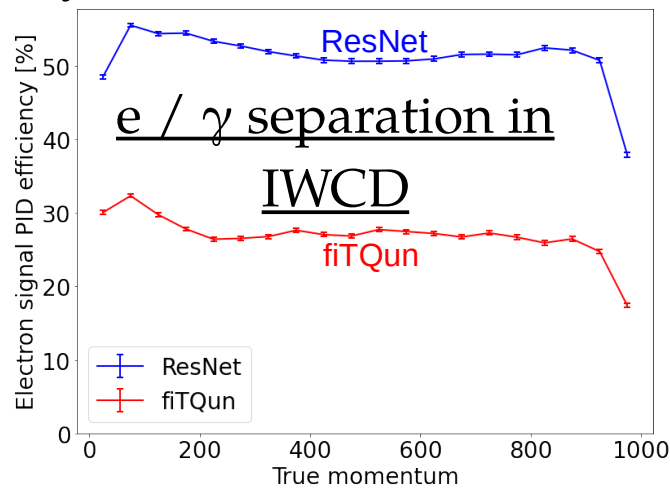


→ Crucial to syst. uncertainties in SK & tomorrow in HK.

- Commissioning from early 2024.

Reconstruction algorithms

- Upgrade algorithms from SK (< 15 year old) to HK



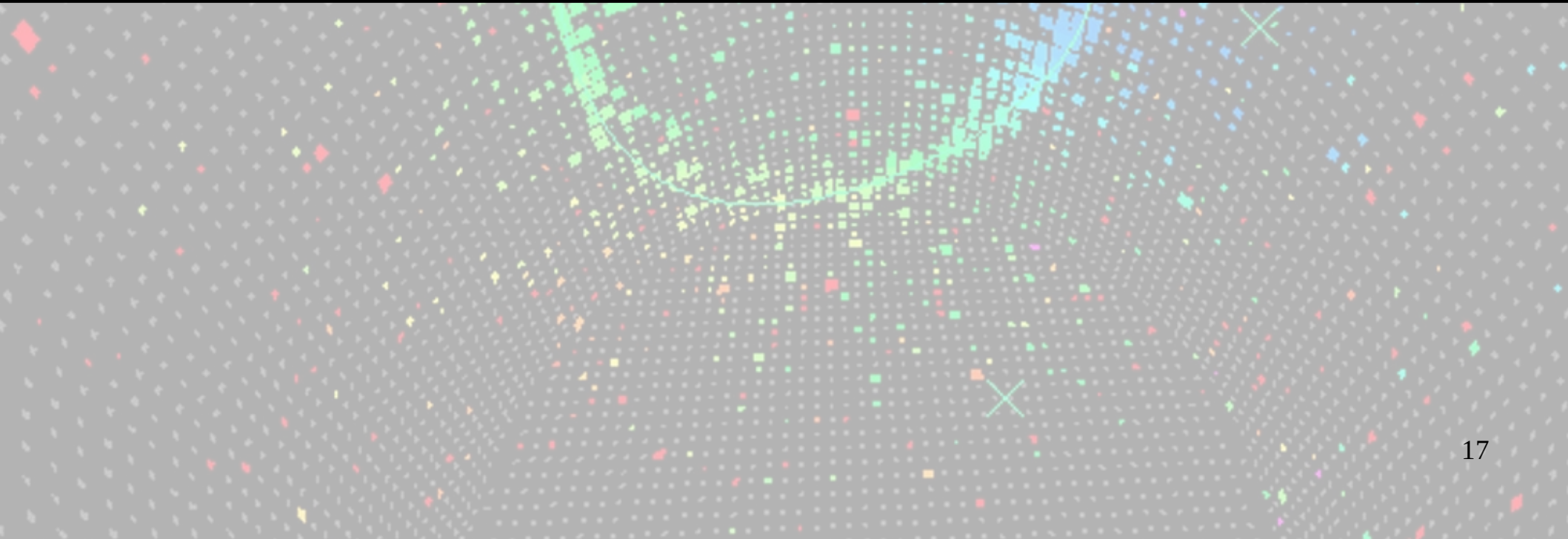
ND280 Upgrade++, FD multi-PMT electronics ...

Conclusions

- Hyper-K will be the world-leading experiment in many aspects of neutrino physics for the next 20 years.
→ Today we solely focused on CPV and δ_{CP} .
- Construction & production are on-time, no delay since the start
→ HK will take its first data in 2027.
- IN2P3 & IRFU teams plans to have a leading role, building on their years of expertise in SK/T2K & hardware contributions.
- Other groups/collaborators in IN2P3/IRFU are welcome to participate to this extremely exciting experiment
→ Hardware : there are still some seats to be taken, before full production start in 2024-2025.
→ Software : we plan to host whole HK data at CC-Lyon : unique chance to also lead the development of reconstruction algorithm.



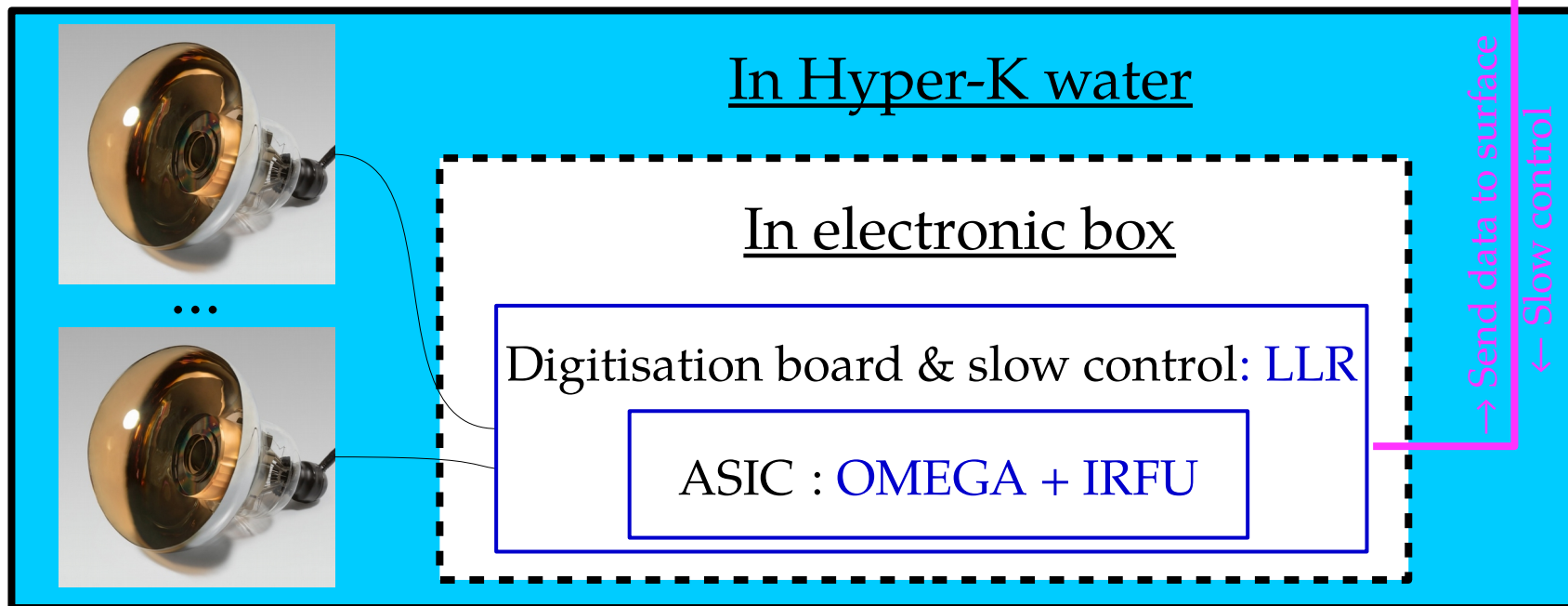
Additional slides



HK far detector electronics

- The whole HK physic signal will rely on 20k PMTs of 50 cm.
- PMT signal to be readout by electronics [under water](#) :
→ 24 channels/PMTs read in one stainless steel box under water.

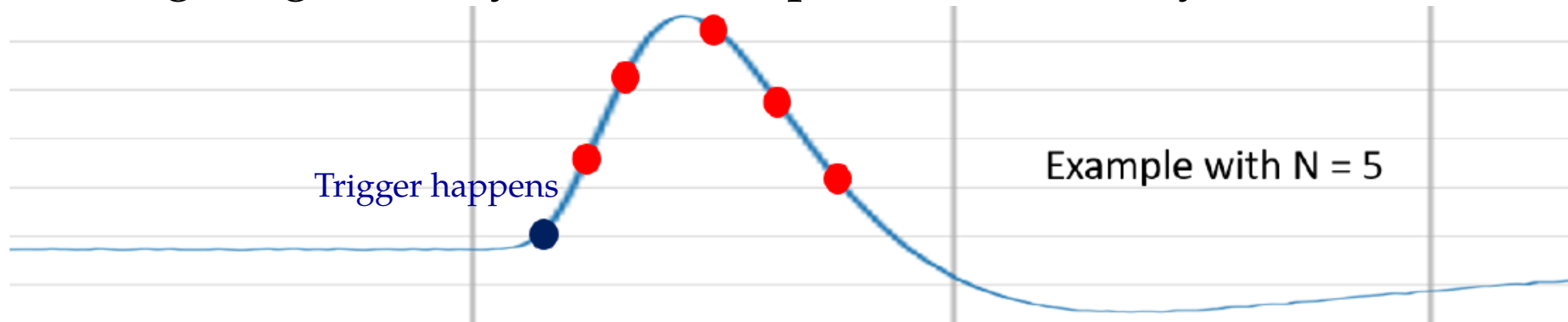
Clock generation & distribution
LPNHE + IRFU



LLR proposal : develop the whole PMT Q & T digitization system
→ Absolutely central role in HK !

Overview of the HKROC digitizer

- HKROC is a waveform-like digitiser @40 MHz → 1 point every 25 ns.
→ Charge digitized by $N = 1 \rightarrow 7$ points (chosen by slow-control).



- HKROC digitizer : 24 PMT channels readout by 2 HKROC ASIC.

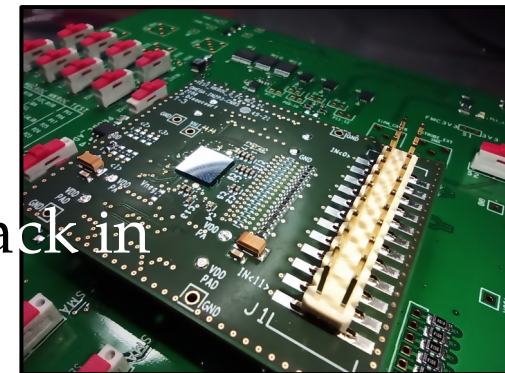
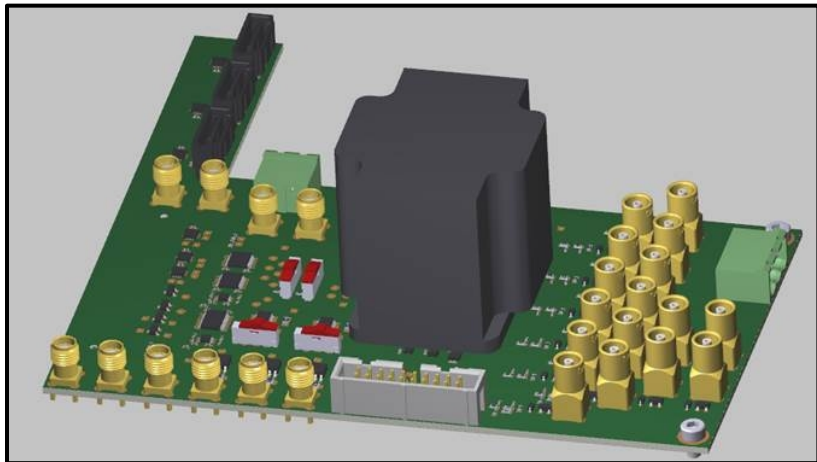
HKROC prototype v1

- Started R&D in summer 2020 : Make a chip in 2 years → Challenging schedule :
 1. Receive chip in Dec. 2021.
 2. Provide tested chip by end of June 2022.

- No delay in 2 years :

→ Chip & board came back in Jan. 2022 (pandemic).

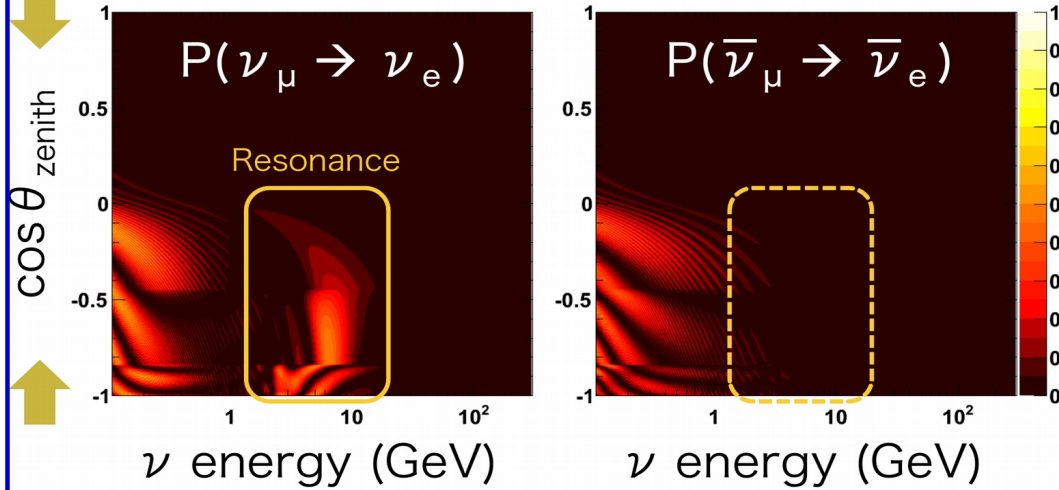
→ Worked hard to finalize tests for June.



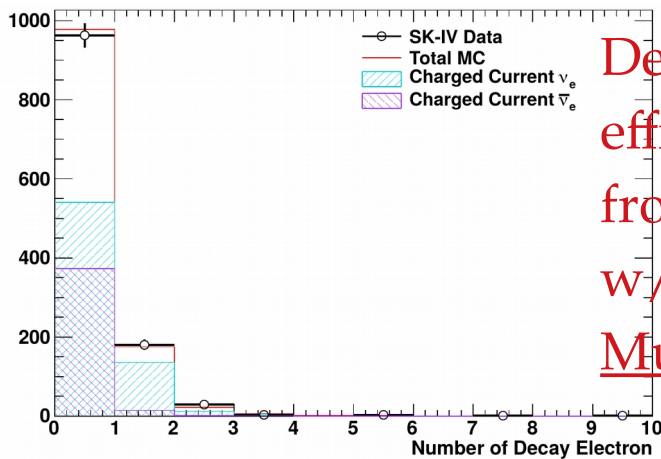
HKROC digitizer - Impact on physics

- Large impact on physics : ν mass ordering & **Supernova ν** .

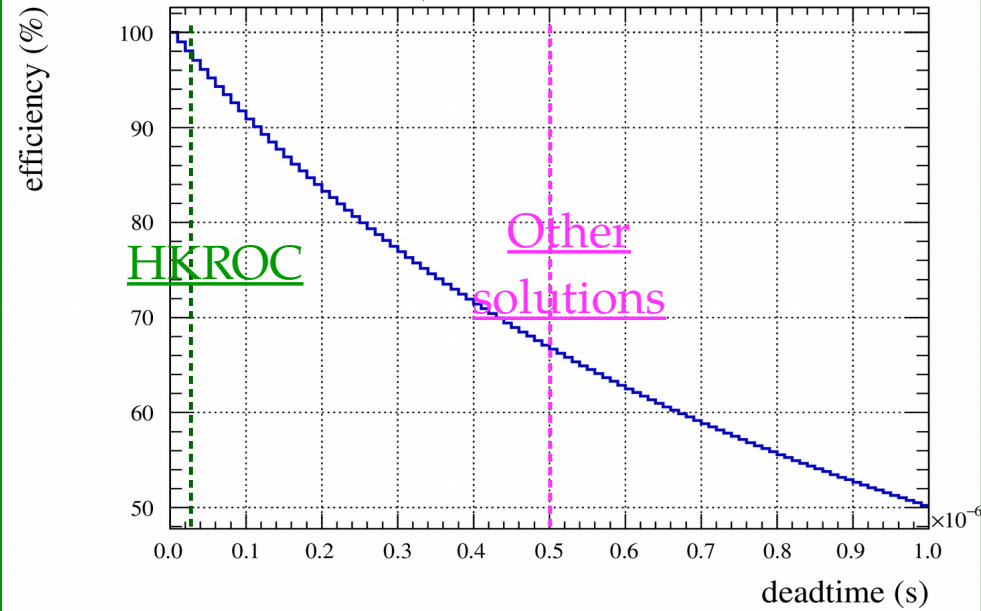
Atmospheric neutrino (normal ordering) :



- Normal hierarchy : $\uparrow \nu_{\mu} \rightarrow \nu_e$.
 - Inverted hierarchy : $\uparrow \bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$.
- Decay-e are central to separate $\nu_e / \bar{\nu}_e$.



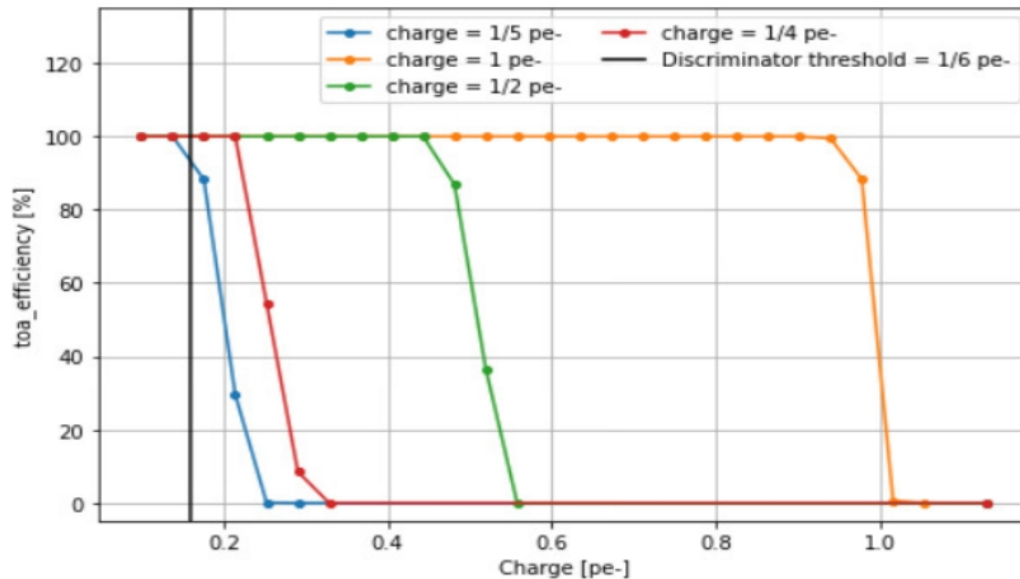
Decay-e hit efficiency increased from 68 % → 98 % w/ HKROC for Multi-GeV events



- For 1MHz [Betelgeuse] : HKROC allows to significantly increase efficiency from 67 % to 92.5 % compared to other solutions.

HKROC digitizer - trigger & timing results

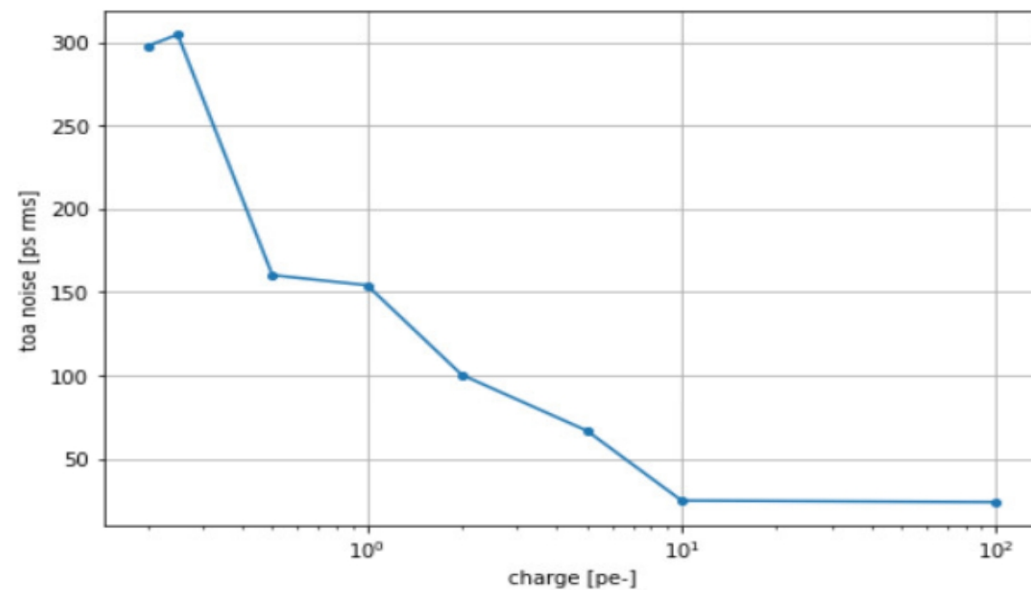
- HKROC-digitizer v1 received & completely tested in few months.



- Set threshold at 1/6 p.e.

- Hit efficiency :
90 % for 1/5 p.e events
~100 % if $\geq 1/4$ p.e

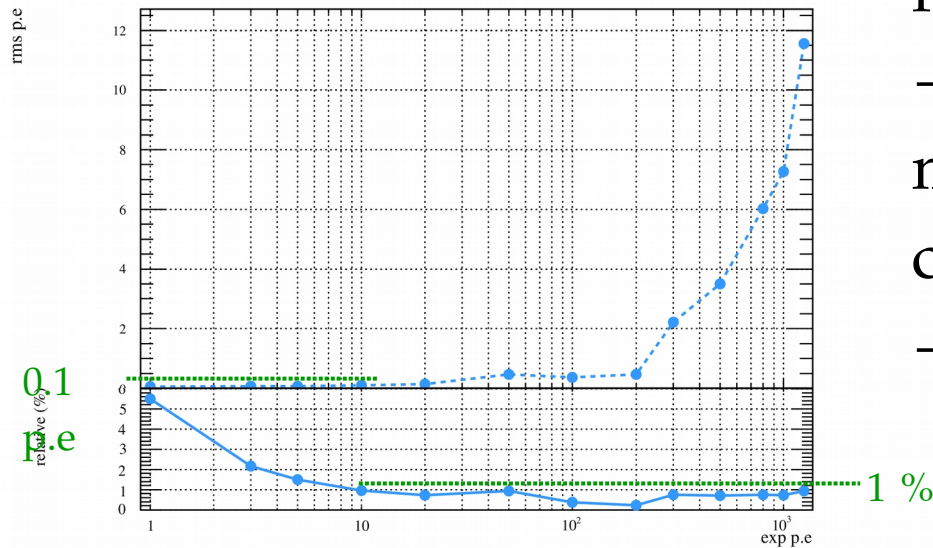
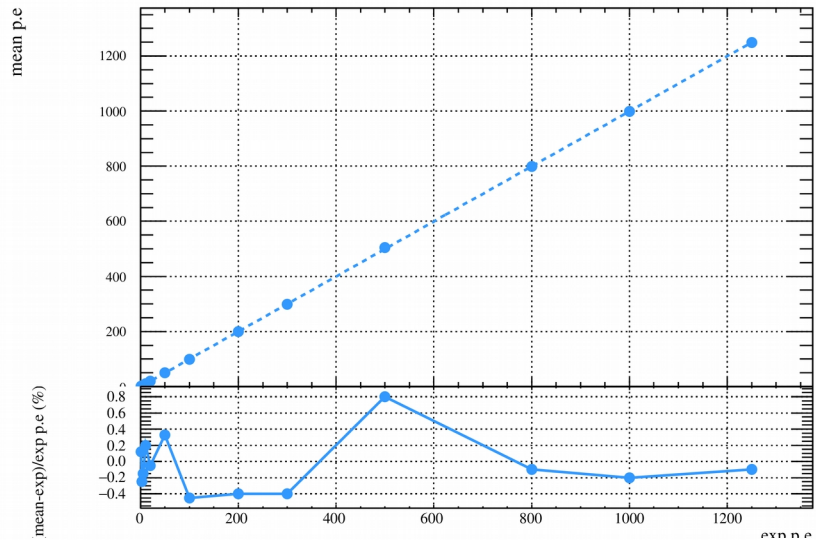
- Very low noise : < 1 Hz.



- TDC resolution :
150 ps @1 p.e [300 ps required]
 ≤ 30 ps @ 10 p.e [200 ps required]

→ Excellent agreement with HK₂₁ requirements.

HKROC digitizer - Charge results

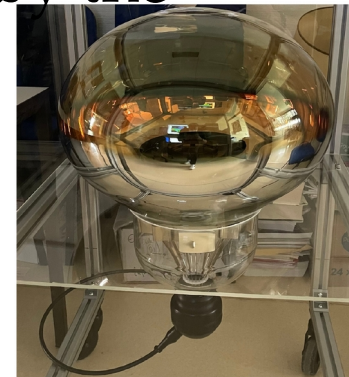


• Charge linearity $< \pm 1\%$ [1 to 1250 p.e.]

• Charge resolution :
 < 0.1 p.e @ ≤ 10 p.e, $< 1\%$ otherwise.

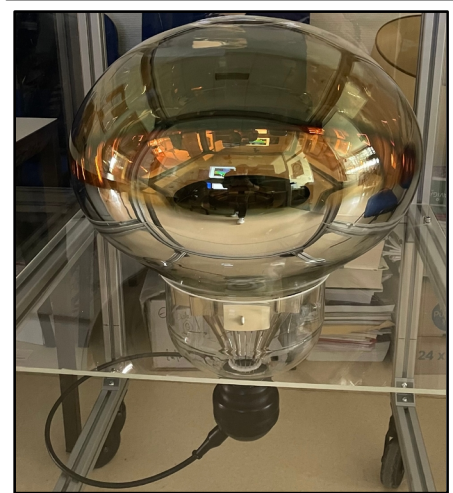
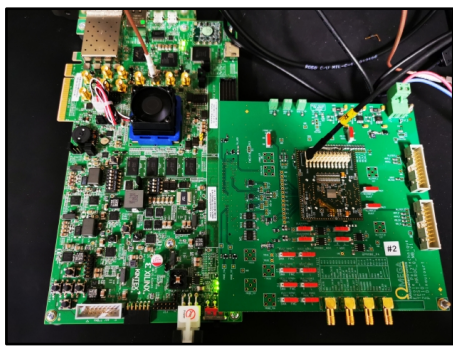
→ All characteristics fulfill HK requirements & confirmed w/ PMT.
→ Large improvements w/ HKROC much beyond requirements by the collaboration

→ Ex: dead-time ↓ **from**
 $1 \mu\text{s} \rightarrow 30 \text{ ns}$.



• HKROC project has been **on-time & is a huge technical achievement** that has only been possible thanks to the great collaboration between the IRFU, OMEGA & LLR + financial support from X & IN2P3.

Summary of the digitizer measurements

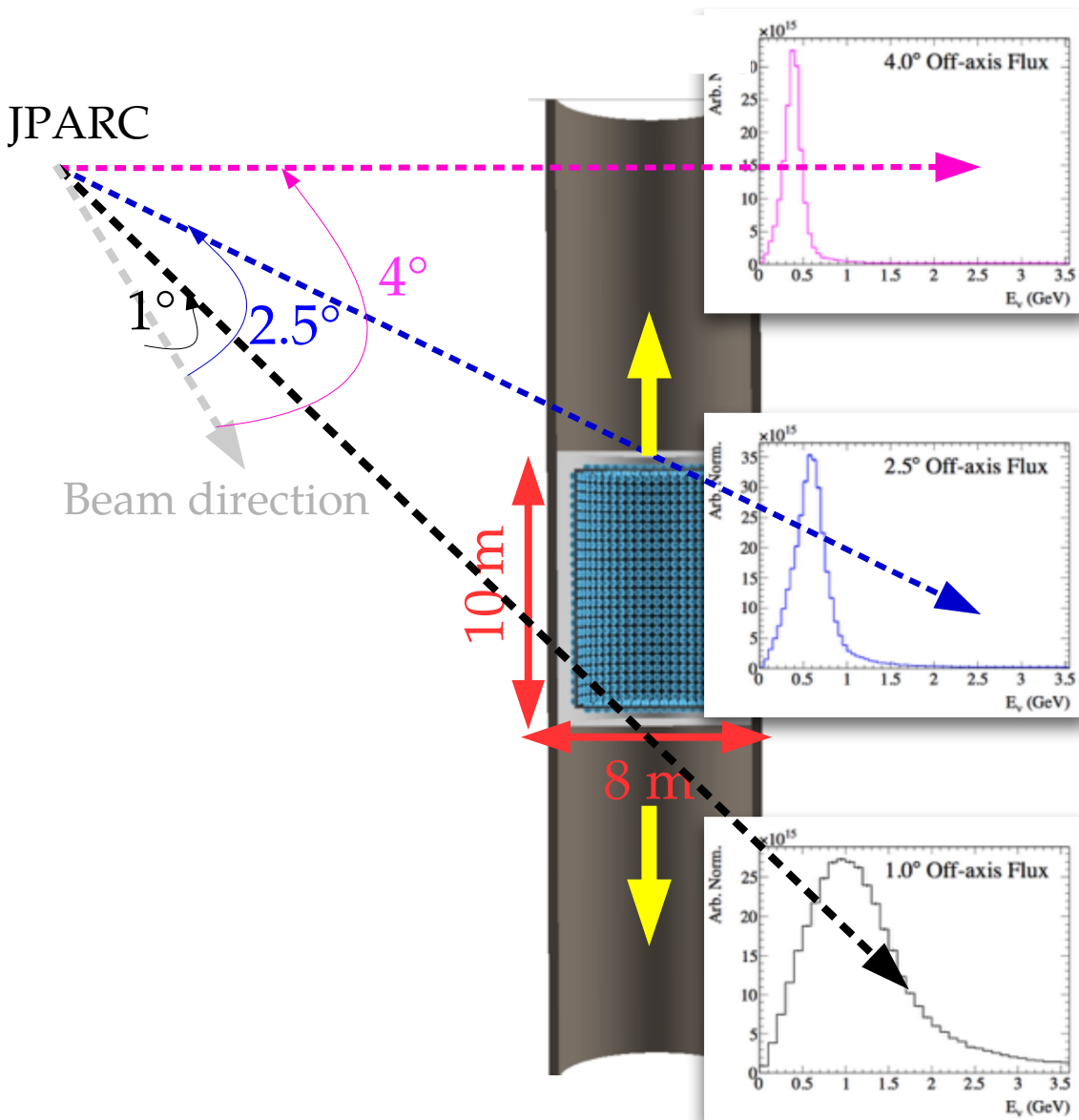


Item measured	Performances
Trigger efficiency at 1/6 p.e.	> 90% for 1/5 p.e signals 100% for $\geq 1/4$ p.e signals
Trigger noise at 1/6 p.e.	< 1 Hz (No trigger observed in 10 s)
TDC resolution	150 ps at 1 p.e, 70 ps at 5 p.e, 25 ps > 10 p.e Validated with PMT
Charge linearity	< 0.5% in high & medium gain channels < 1% in low gain channel up to 1250 p.e Validated with PMT
Charge resolution	< 0.1 p.e for signals up to 10 p.e < 1% for signal 40 – 300 p.e and > 750 p.e < 2.4% for all other cases. Will be improved by reducing the unnecessary voltage division. Validated with PMT
Dead-time & pile-up	≤ 30 ns for two signals of same amplitude ≤ 30 ns for a prompt ≤ 5 p.e and secondary of 1 p.e < 1 μ s for a prompt signal ≤ 850 p.e and secondary 1 p.e
Maximal hit-rate w/ 100% eff.	415 kHz in normal mode 950 kHz in SN-mode Potential extension beyond to be studied.
Cross-talk	Hit probability in neighbouring channel of a 1250 p.e signal is < 0.1% <i>Note that cross-talk found at ASIC level, but cut by FPGA. Identified and will be removed in ASIC v2.</i>
Maximal hit-rate w/ 100% eff.	415 kHz in normal mode 950 kHz in SN-mode Potential extension beyond to be studied.
Temperature dependency ²	time resolution $\Delta T = 1$ ps/ $^{\circ}$ C gain variation $\Delta Q = 0.05\%/^{\circ}$ C (no correction)
Resistance to HV	Unprotected ASIC received 10⁸ 5V injection without any impact on performances



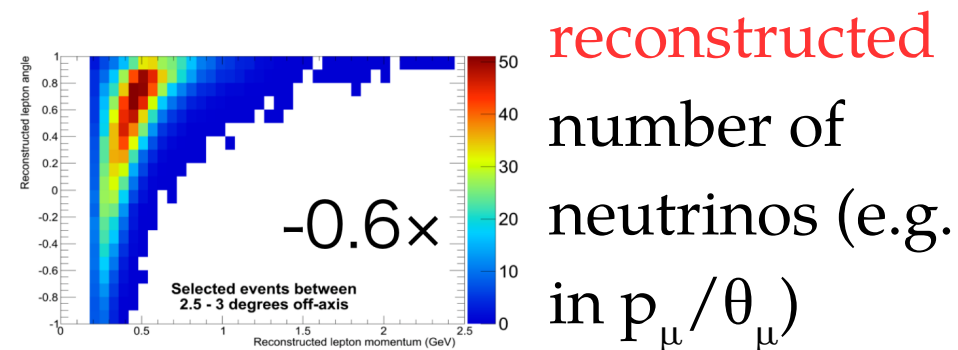
The IWCD

- New Intermediate Water Cherenkov detector (E61):

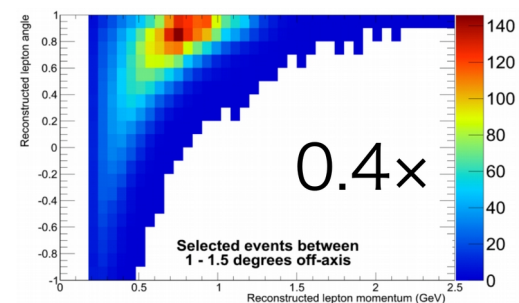


1. HK flux = linear combination of different off-axis angles.

2. Take same combination of



→ Drastically reduce use of cross-section models !

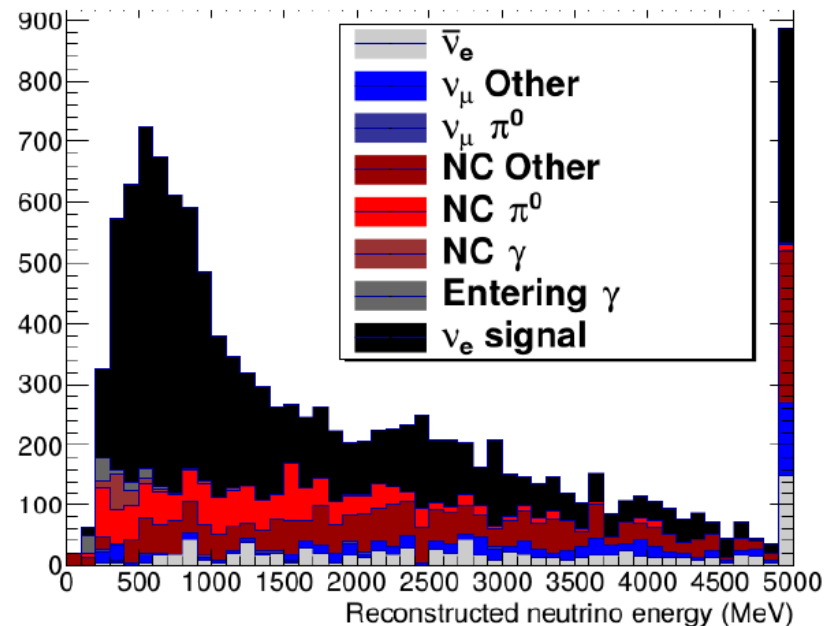


The IWCD

- Water Cherenkov : Excellent ν_e / ν_μ separation
→ Extremely precise measurement of $(\nu_e / \nu_\mu) / (\bar{\nu}_e / \bar{\nu}_\mu)$.

- Loaded with Gd for n-tagging
→ Enhanced $\nu / \bar{\nu}$ separation.
→ Measure n-multiplicity

- Sites under survey (balance between event rate / pile-up vs pit depth)

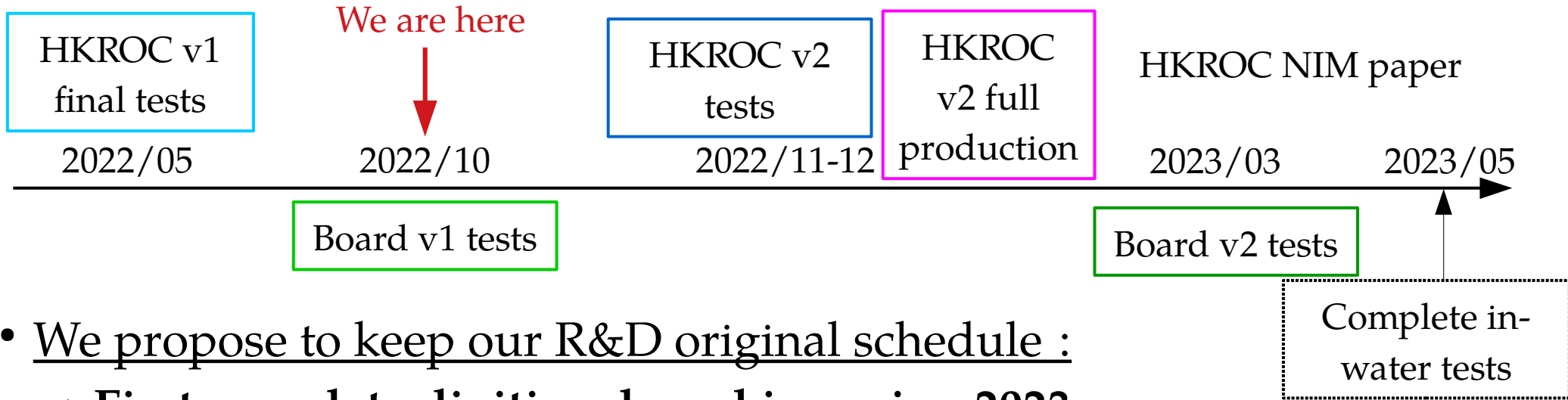


- ND280 + IWCD totally complementary to reach systematics ≤ 3 %.

Prospects for the HKROC digitizer

- 2 other digitizers were competing for HK : QTC (Japan), discrete (Italy).
→ Unfortunately, HKROC not chosen as primary solution for HK.
- Summary of the review:
 1. All 3 solutions for HK digitization are suitable both in terms of minimal requirements & schedule.
 2. The HKROC team has clearly shown the large advantages for physics.
 3. The HK management preferred an already final solution with less impact on physics compared to HKROC which will be finalized in 8 months → The main reason we were not selected was that we did not had a on-shelves solution ready (others had).
- HKROC has been built to be a waveform digitizer for any PMT-based experiment in the next 10-15 years.
→ We will finalize the HKROC development all the way to a modular front-end board.

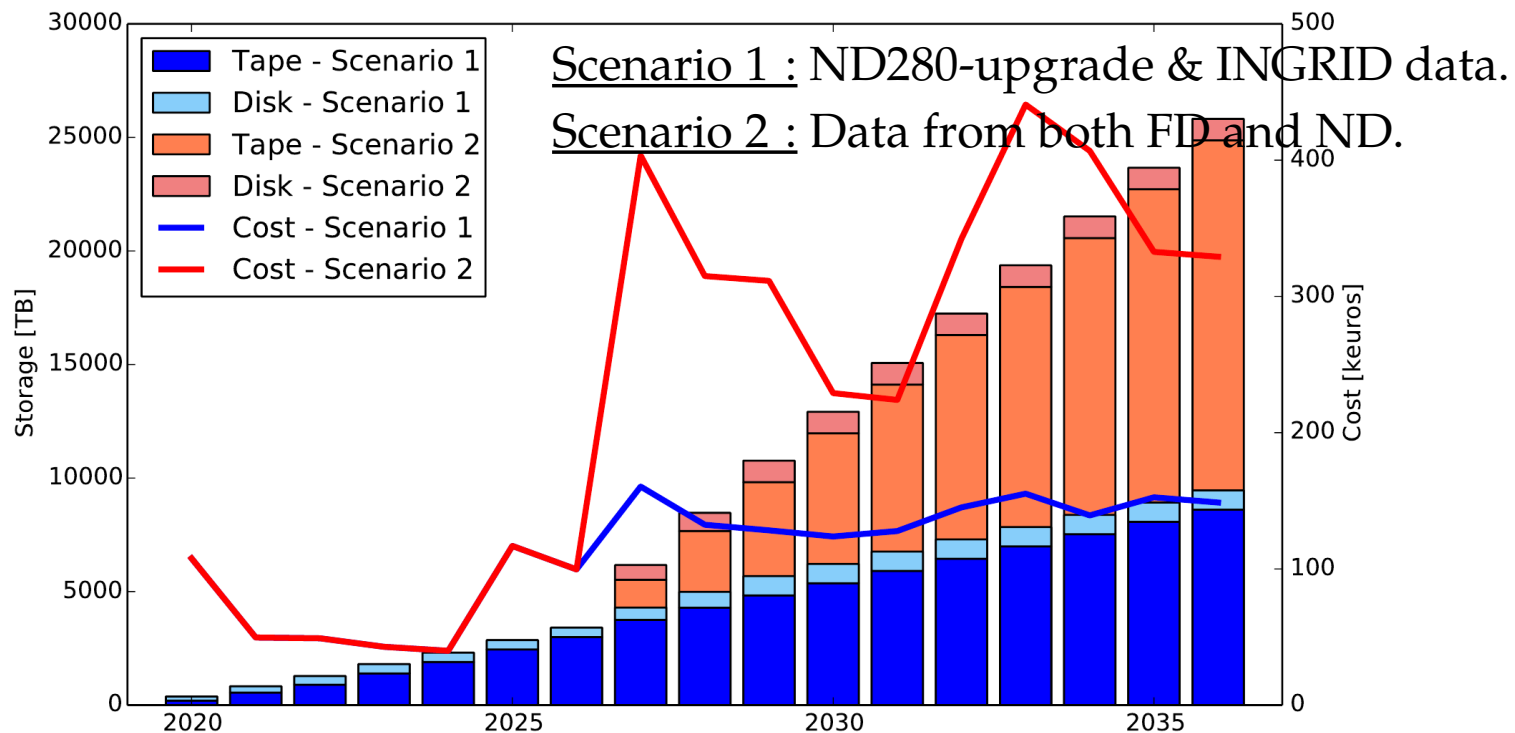
HKROC digitizer timeline



- We propose to keep our R&D original schedule :
→ **First complete digitizer board in spring 2023.**
- NIM paper : Being prepared for a publication at the end of spring 2023.
→ Based on HKROC v2 & prototype board v1.
- From now : starts contact with other experiment using PMTs : IceCube gen2, potential HK upgrade, Intermediate Water Cherenkov Detectors.
- Allows the LLR to develop **a board that could be used in future also for all HKROC cousins** (SiPM version etc.).

The computing proposal

- Option 5 : host a **complete Tier-1** data of HK (scenario 2).
→ And develop all production tools on the cluster.



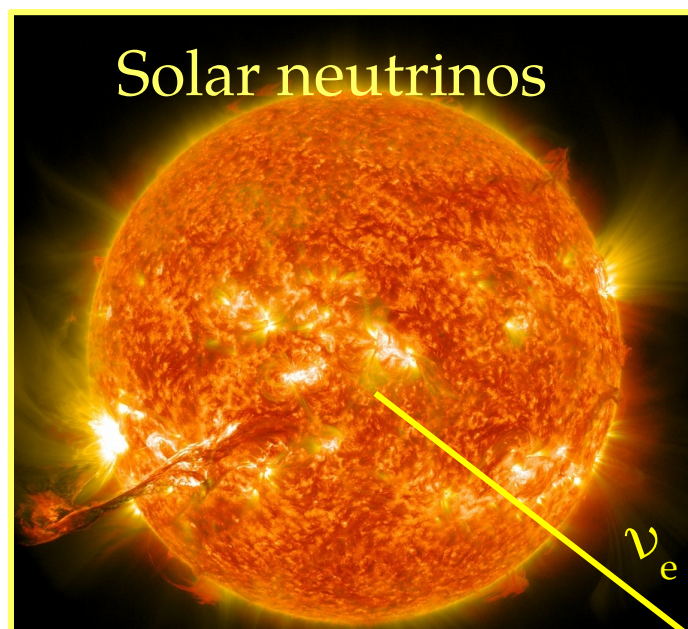
- Pros :

1. No other group has announced the capacity of a full Tier 1 so far.
2. Having all data in France offers a great visibility.
3. Complete synergy with our goal to lead the analyses in both low and high energy sectors.

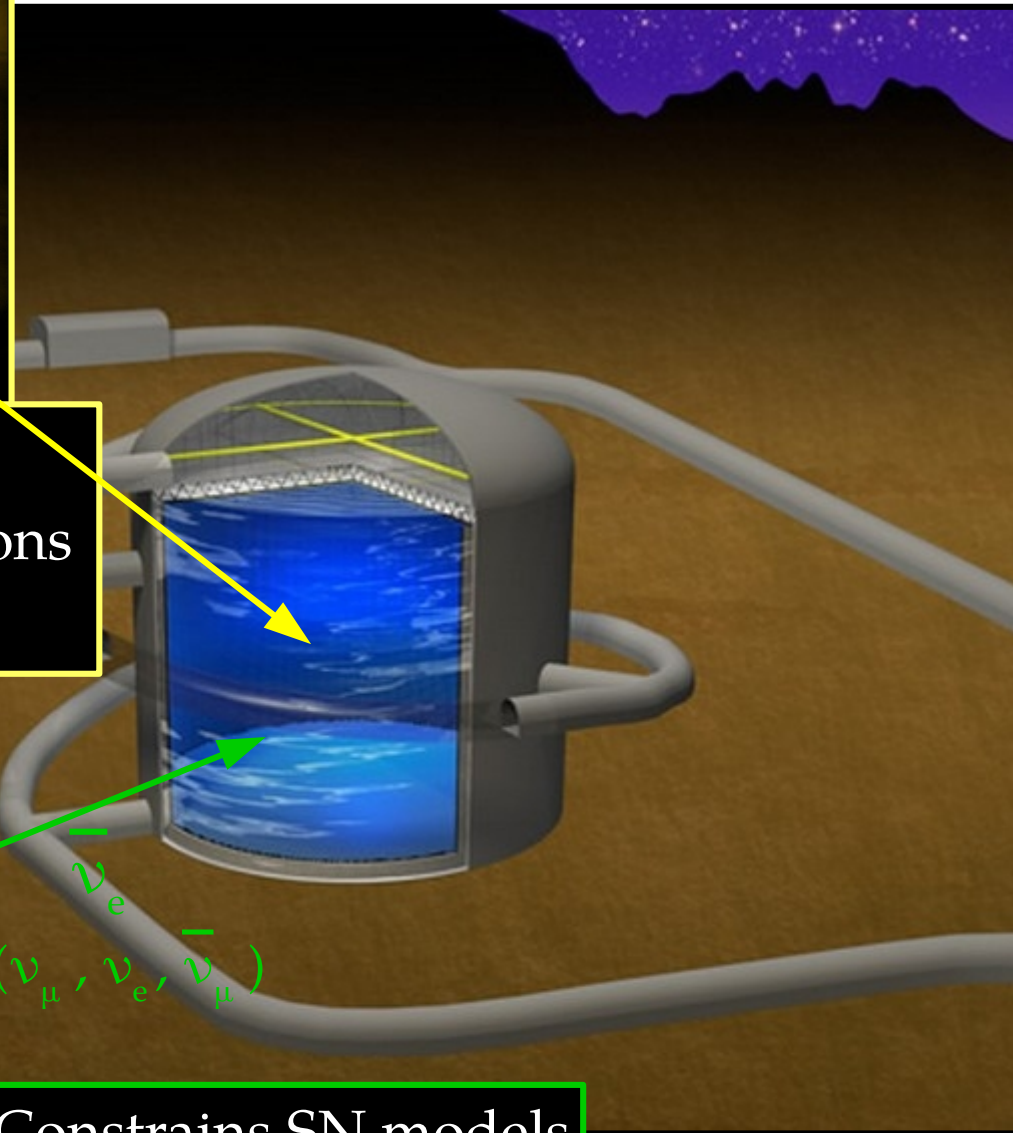
→ **May require fraction of FTE from a software engineer at LLR.**

Physics case

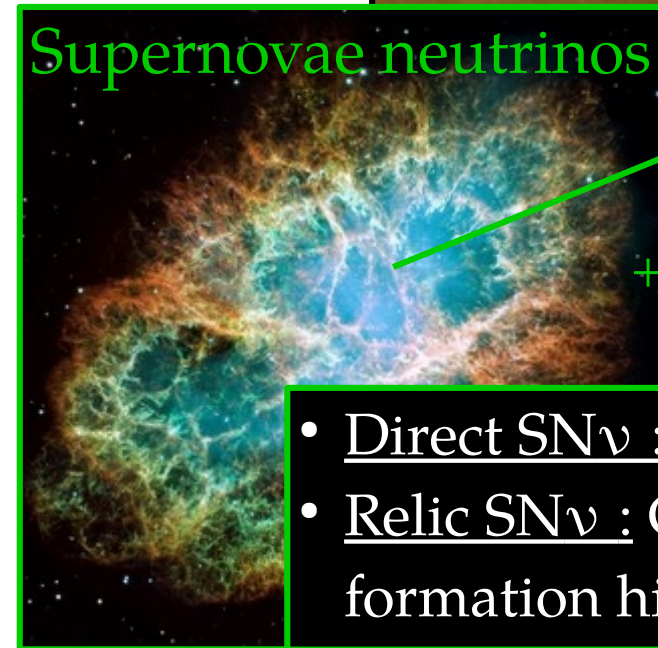
Solar neutrinos



- MSW effect in the Sun
- Non-standard interactions in the Sun.



Supernovae neutrinos



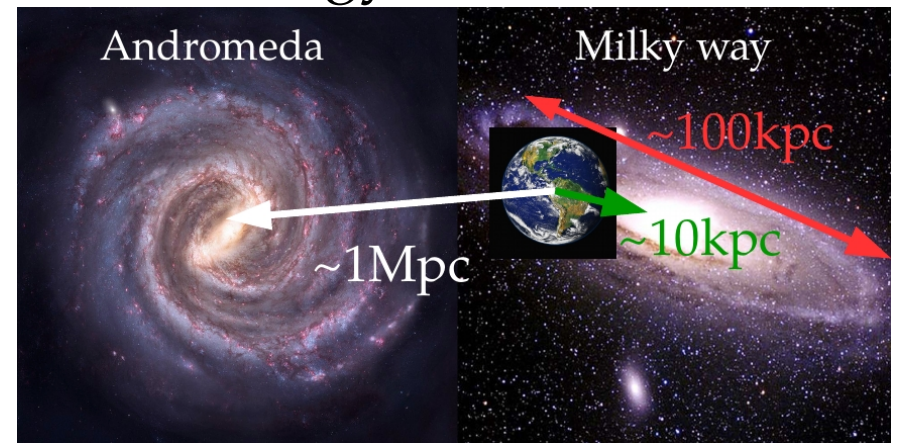
$+ (\nu_{\mu}, \nu_e, \bar{\nu}_{\mu})$

- Direct $\text{SN}\nu$: Constrains SN models.
- Relic $\text{SN}\nu$: Constrains cosmic star formation history

Supernovae neutrinos

- Unique probe for supernovae ν : 99 % of SN energy $\rightarrow \nu$.

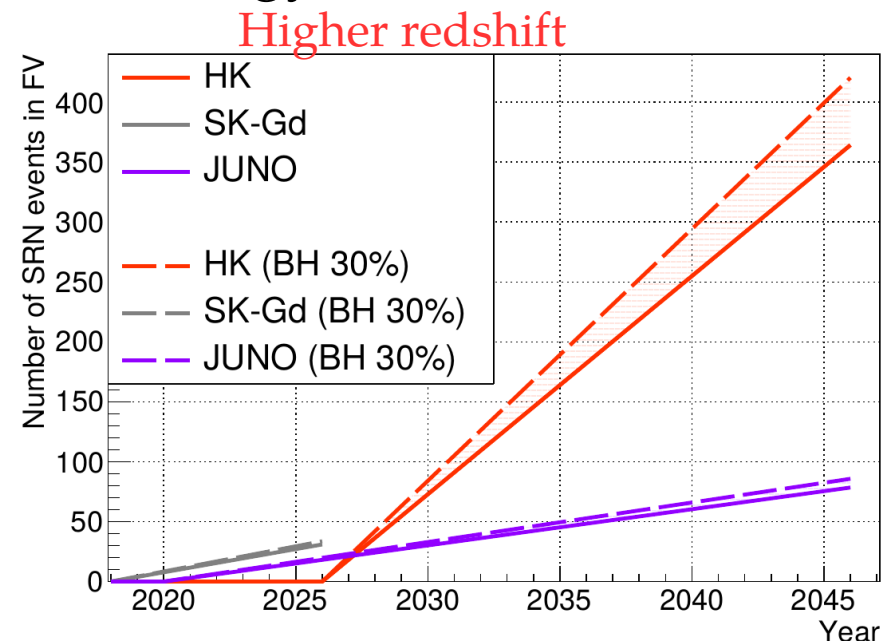
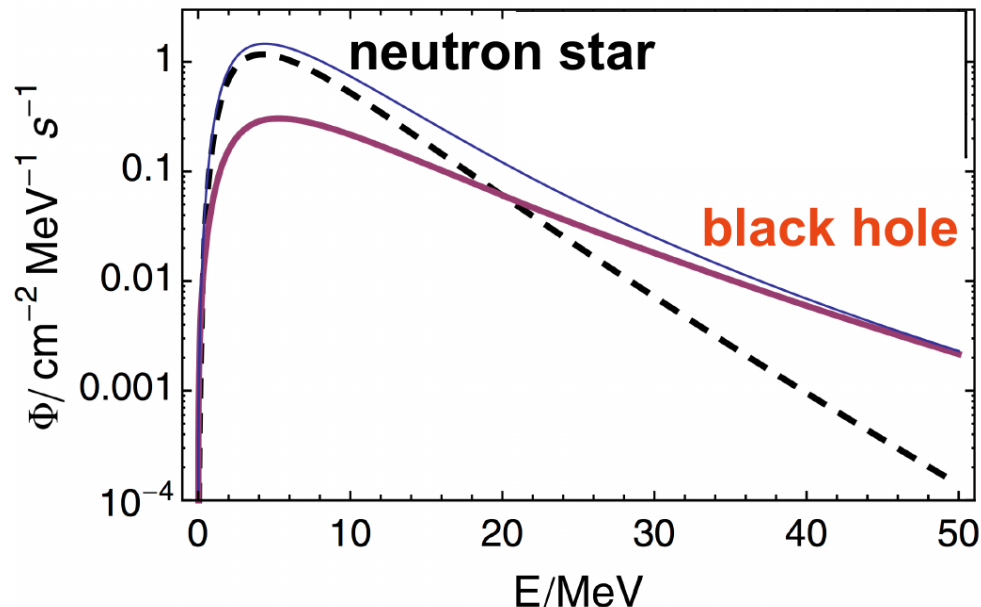
- But direct ν detection very rare.
- HK also sensitive to extra-galactic SN ν from Andromeda !



- SN-relic neutrino \rightarrow new constraints

on cosmic star history \rightarrow May be first detected in SK-Gd.

\rightarrow But spectrum determined by HK : Low energy \leftrightarrow Probe older stars



- SK-Gd & then, HK are the pioneer experiments of this domain !

Solar neutrinos

Physics case

Proton decay

Probe Grand Unified Theories through p-decay (world best sensitivity)

- MSW effect in the Sun
- Non-standard interactions in the Sun.

Supernovae neutrinos

- Direct SN ν : Constrains SN models.
- Relic SN ν : Constrains cosmic star formation history

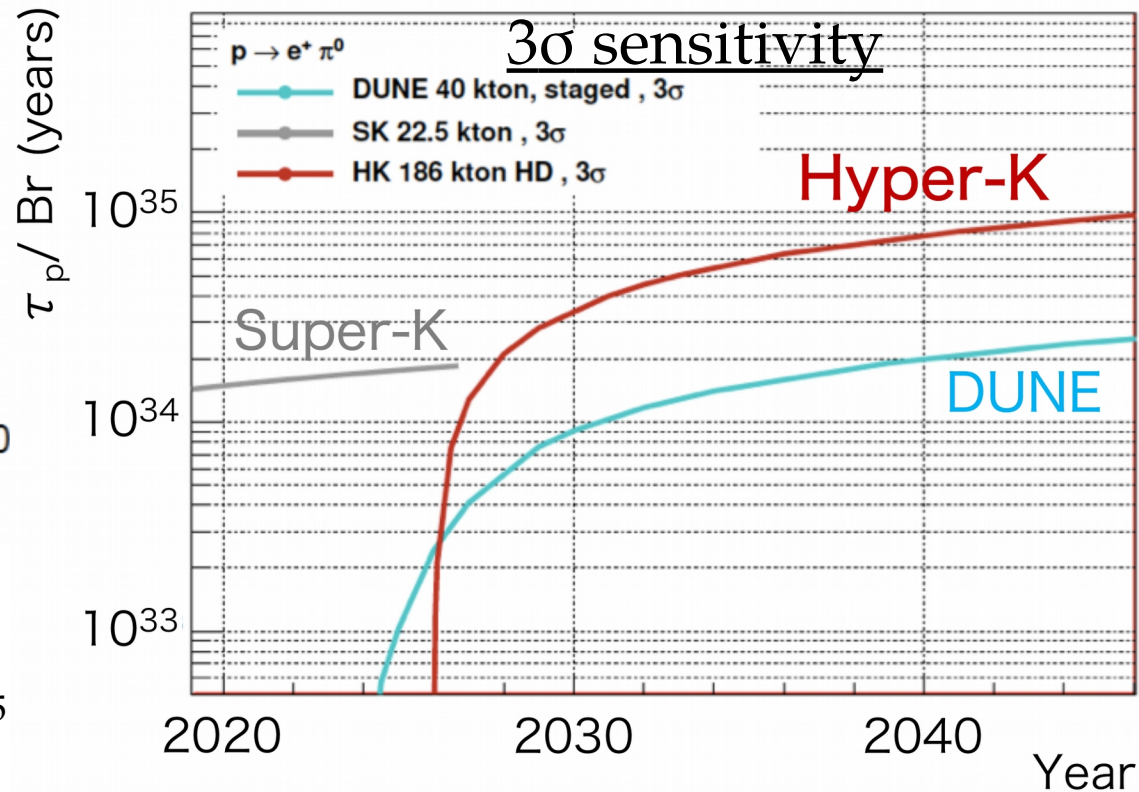
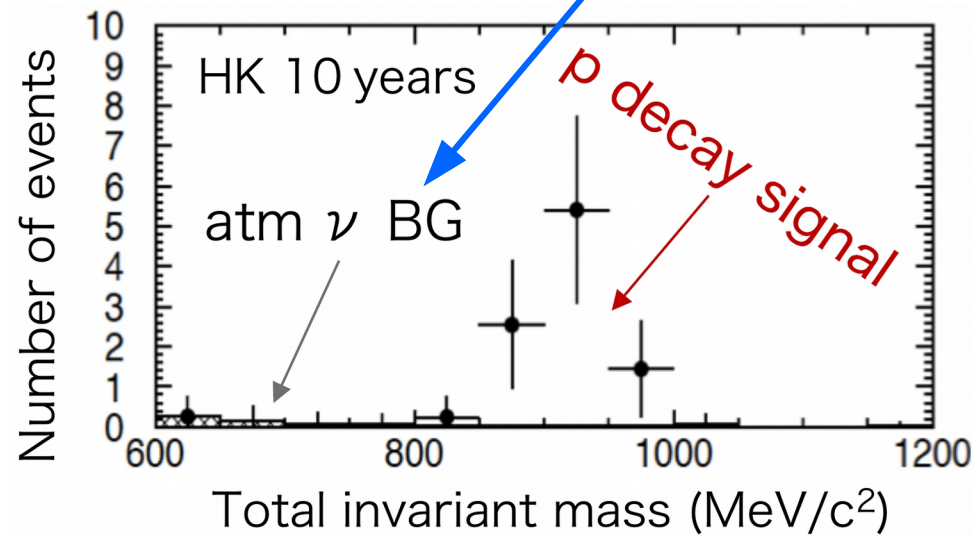
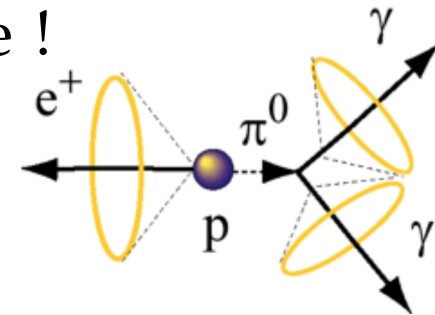
GUT and proton decay

- Probe Grand Unified Theories at a new scale through proton decay.

- Golden channel : $p \rightarrow e^+ + \pi^0 \rightarrow$ Almost background free !

→ Requires 2γ & reconstructed energy = Invariant M_p

→ Bkg : Atmospheric ν producing e.g. a π^0 .

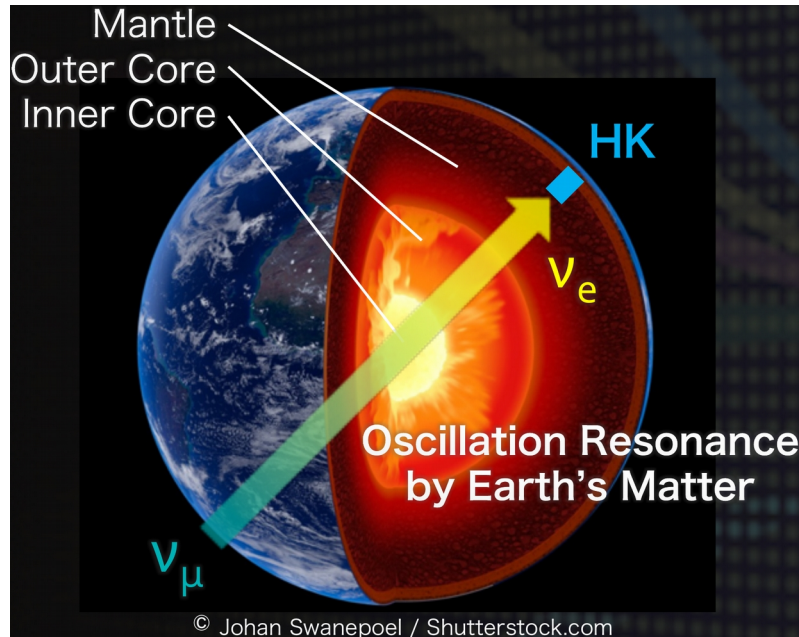


- 3σ sensitivity reach $\tau_p / \text{Br} = 10^{35}$

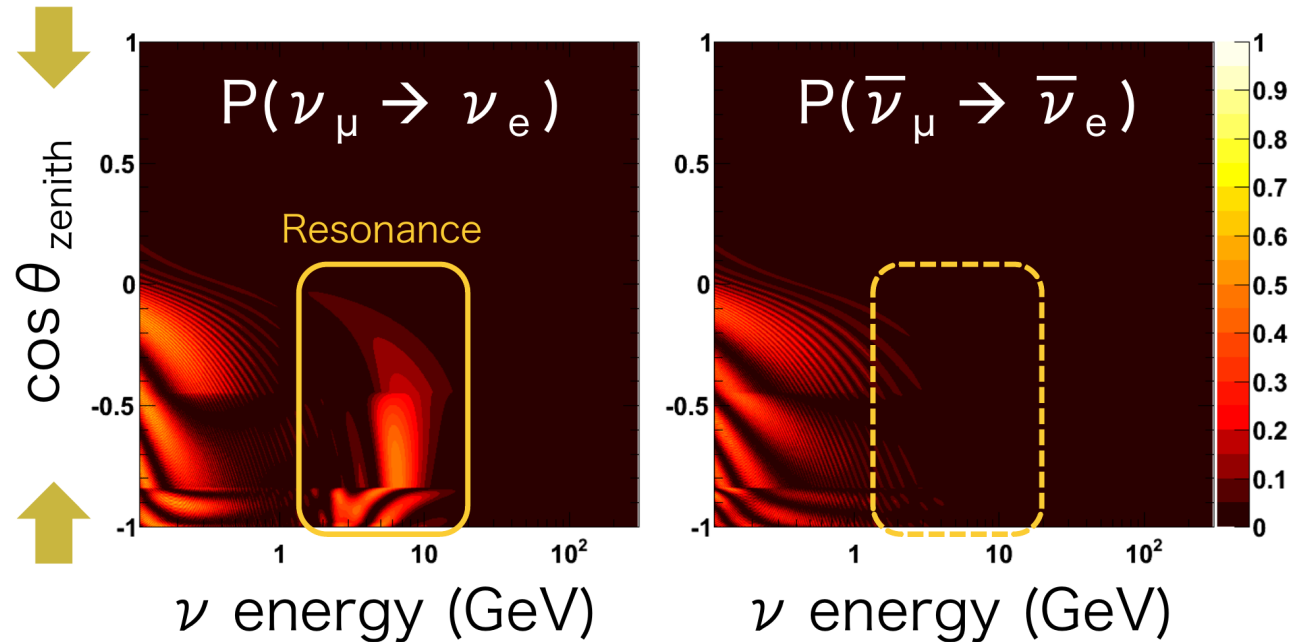
years → 1 order of magnitude beyond SK or DUNE

Atmospheric neutrinos

- Mass-hierarchy can be accessed through matter effects
→ The longer the baseline, the higher the effects



Normal Hierarchy case



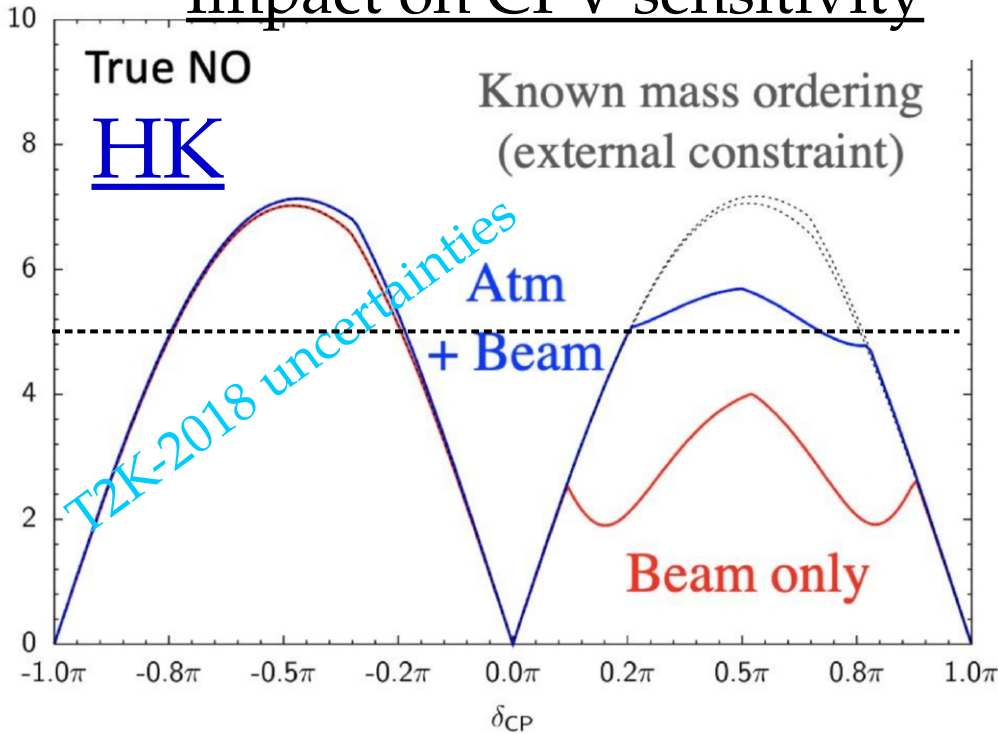
- Mass hierarchy determined with upward-going multi-GeV ν_e sample :

atm. baseline ≤ 13000 km \gg 295 km accelerator baseline

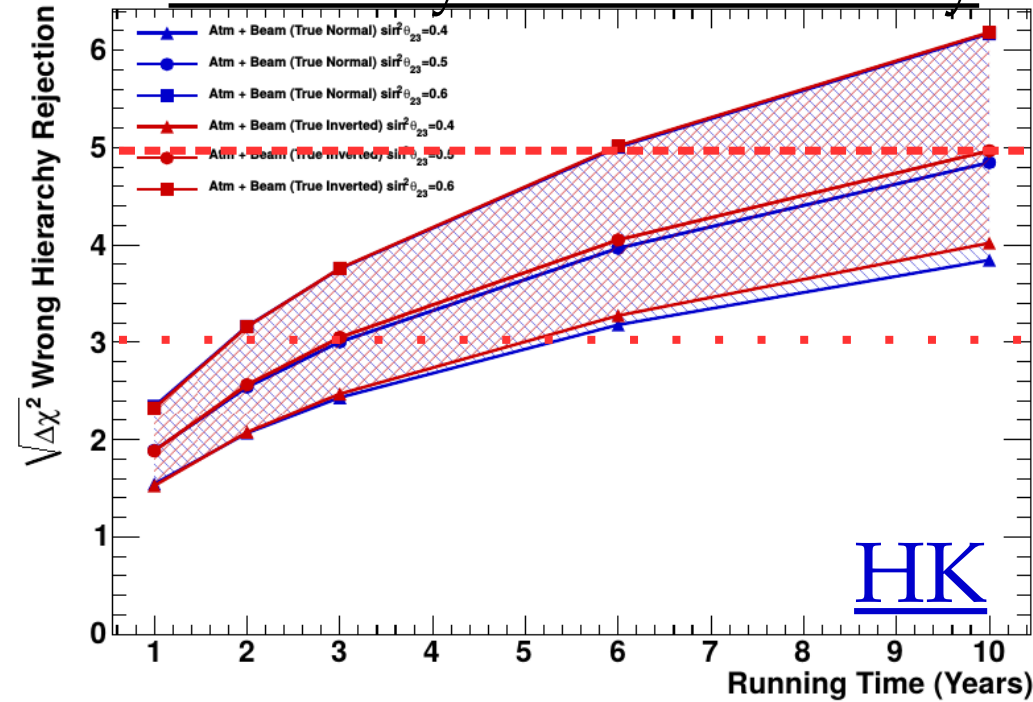
- Normal hierarchy : enhancement of $\nu_\mu \rightarrow \nu_e$.
- Inverted hierarchy : enhancement of $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$.

Combination of atmospheric + beam ν

Impact on CPV sensitivity



Sensitivity to mass hierarchy



- Even if MH is not known when HK starts

→ Sensitivity to CPV is little affected if we add atmospheric ν .

- MH would be determined by :

→ HK after $\geq 6-10$ years via atmospheric.

→ DUNE : after 1-2 years.

