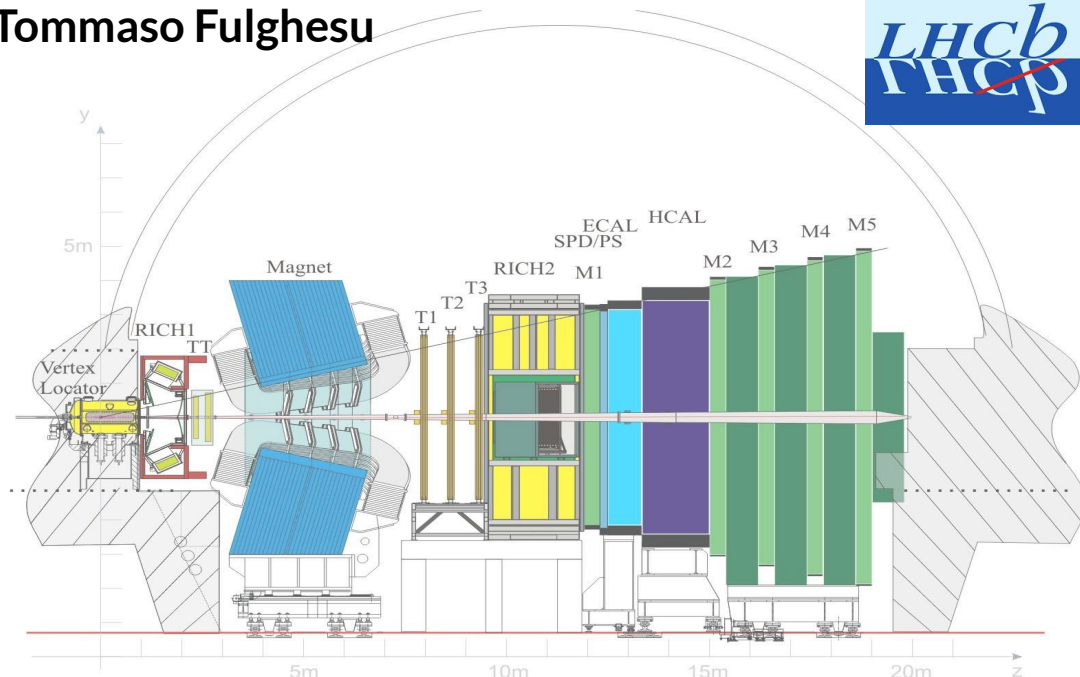


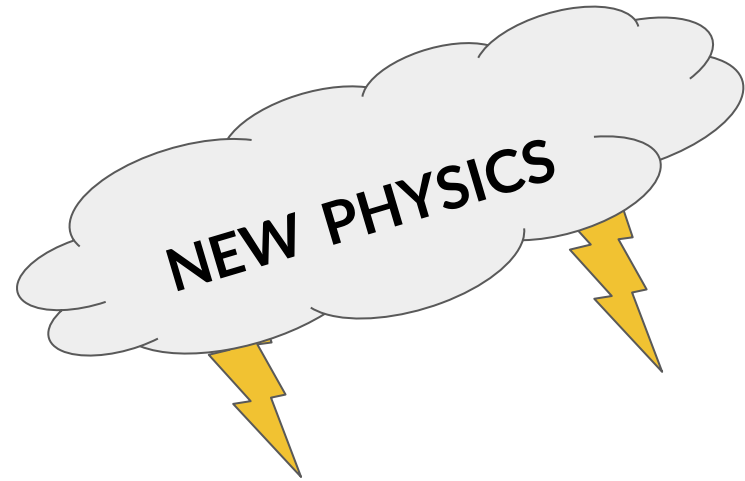
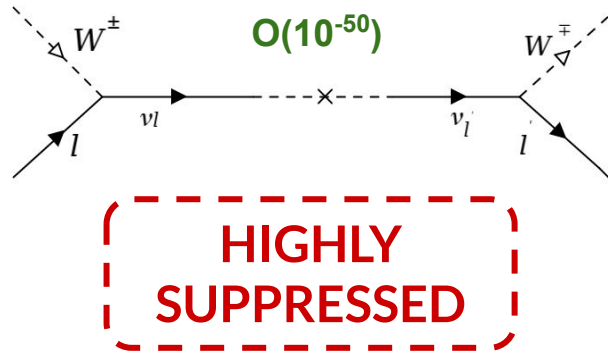
Lepton Flavour Violation Searches in B decays at LHCb

Tommaso Fulghesu



Introduction

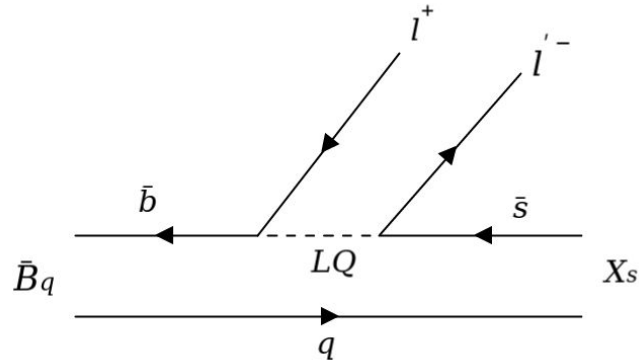
- Standard Model (SM) predicts the lepton universality and the conservation of the lepton flavour.
- Extending the SM to massive and oscillant neutrinos introduces the possibility of having Flavour Changing Neutral Currents.
- Charged-lepton flavour violating (cLFV) decays are highly suppressed ($O(10^{-50})$) and their observation will constitute an **unambiguous sign of New Physics (NP)**.



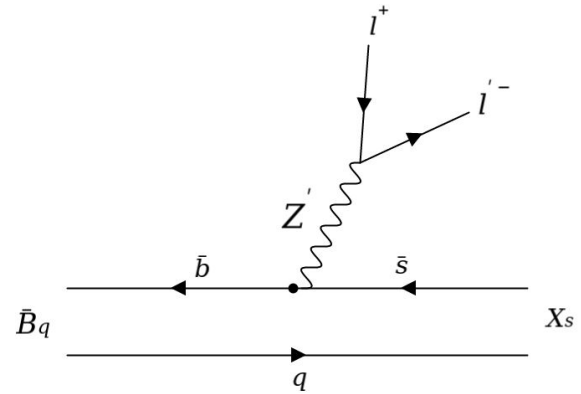
Theoretical models

$b \rightarrow sl^+l'^-$ transitions

New Physics scenario with Leptoquark (LQ)



New Physics scenario with Z' mediator



Different interaction strengths, depending on the fermions interacting, are possible

→ interest in studying all possible lepton combination in the final state!

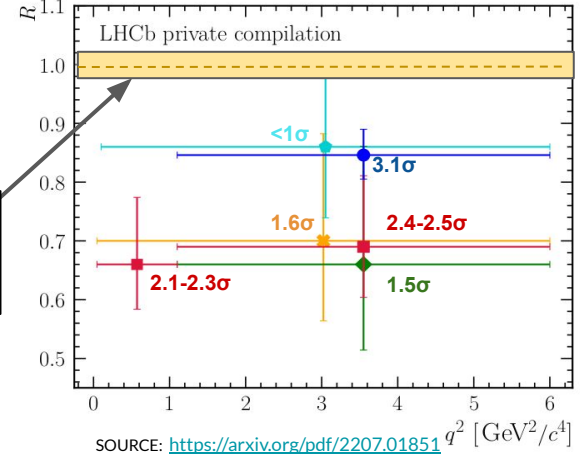
Relation with LFUV

- LFUV is closely related to LFV
- Electroweak penguins mediating $b \rightarrow sl^+l^-$ transitions showed interesting tensions with the SM

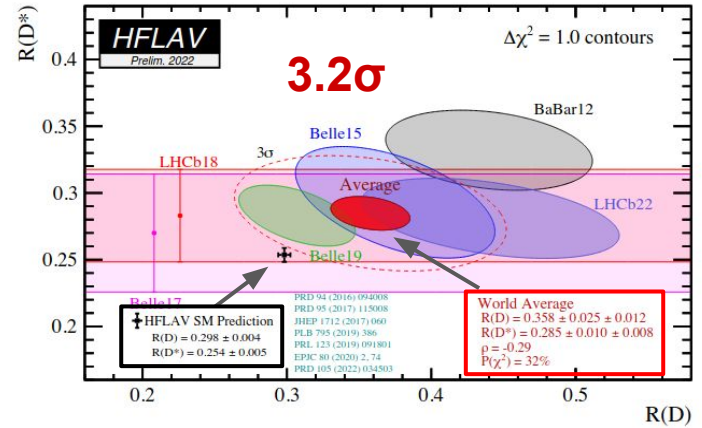
$$R_X = \frac{\int_{q_{\min}^2}^{q_{\max}^2} \frac{dB(B_q \rightarrow X_s \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q_{\min}^2}^{q_{\max}^2} \frac{dB(B_q \rightarrow X_s e^+ e^-)}{dq^2} dq^2}$$

Predicted from SM:
R = 1 ± O(1%)

- R_K [Nat. Phys. 18, 277–282 (2022)]
- R_{K^0} [PRL 128, No. 19]
- $R_{K^{*0}}$ [PRL 128, No. 19]
- R_{pK} [JHEP 05 (2020) 040]
- $R_{K^{*0}}$ [JHEP 08 (2017) 055]



SOURCE: https://indico.cern.ch/event/1187939/attachments/2530158/4355180/DTaunu_CERNSeminar.pdf



- Also in the $b \rightarrow cl^- \bar{\nu}$ decays discrepancies in the lepton-universality ratios were observed

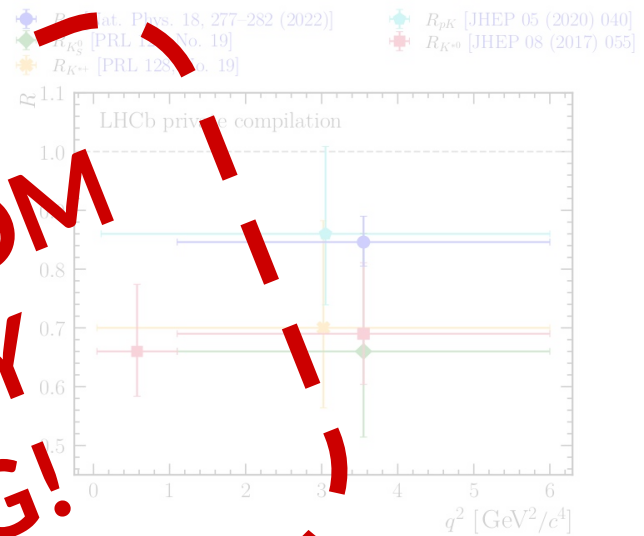
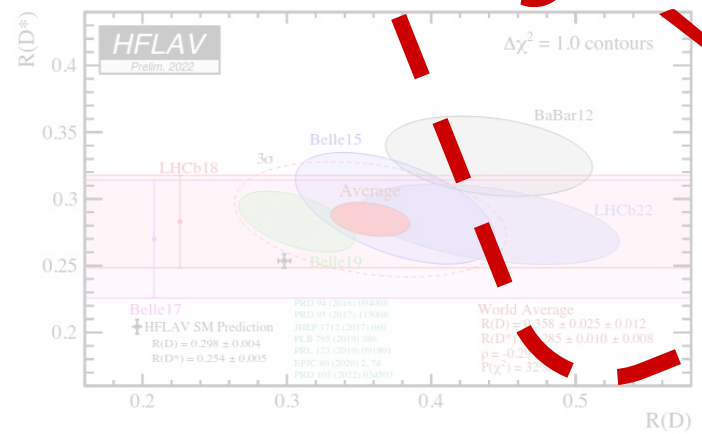
$$R_{D^{(*)}} = \frac{B(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu})}{B(\bar{B} \rightarrow D^{(*)} l^- \bar{\nu})} \quad \text{with } \begin{cases} l = \mu @ \text{ LHCb} \\ l = e/\mu @ \text{ B factories} \end{cases}$$

- Interest from theorists and experimentalists to study and understand these type of anomalies

Relation with LFUV

- LFUV is closely related to LFV
- Electroweak penguins mediating $b \rightarrow sl^+l^-$ transitions showed interesting tensions with the SM

$$R_X = \frac{\int_{q^2_{\min}}^{q^2_{\max}} \frac{dB(B_q \rightarrow X_s \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q^2_{\min}}^{q^2_{\max}} \frac{dB(B_q \rightarrow X_s e^+ e^-)}{dq^2} dq^2}$$



SEE TALKS FROM YESTERDAY MORNING!

→ Anomalous $b \rightarrow cl^- \bar{\nu}$ decays discrepancies in the lepton-universality ratios were observed

$$R_{D^{(*)}} = \frac{B(B \rightarrow D^{(*)} \tau^- \bar{\nu})}{B(\bar{B} \rightarrow D^{(*)} l^- \bar{\nu})} \quad \text{with } \begin{cases} l = \mu @ \text{ LHCb} \\ l = e/\mu @ \text{ B factories} \end{cases}$$

→ Interest from theorists and experimentalists to study and understand these type of anomalies

Latest results @ LHCb

- LHCb searches for LFV in B decays include final state with e and μ or with τ and μ
- **No signal** observed so far in any search
- **Upper limits** set using CLs method, constraining theories beyond the SM

| Decay | Limit (90% C.L.) | Integrated luminosity |
|--|---------------------|-----------------------|
| $B^0 \rightarrow e\mu$ | $1.0 \cdot 10^{-9}$ | 3 fb^{-1} |
| $B_s \rightarrow e\mu$ | $5.4 \cdot 10^{-9}$ | 3 fb^{-1} |
| $B^+ \rightarrow K^+ e^+ \mu^-$ | $7.0 \cdot 10^{-9}$ | 3 fb^{-1} |
| $B^+ \rightarrow K^+ e^- \mu^+$ | $6.4 \cdot 10^{-9}$ | 3 fb^{-1} |
| $B^0 \rightarrow K^{*0} e^\mp \mu^\pm$ | $9.9 \cdot 10^{-9}$ | 9 fb^{-1} |
| $B^0 \rightarrow K^{*0} e^+ \mu^-$ | $6.7 \cdot 10^{-9}$ | 9 fb^{-1} |
| $B^0 \rightarrow K^{*0} e^- \mu^+$ | $5.7 \cdot 10^{-9}$ | 9 fb^{-1} |
| $B_s \rightarrow \phi e^\mp \mu^\pm$ | $1.6 \cdot 10^{-8}$ | 9 fb^{-1} |
| $B^0 \rightarrow \tau\mu$ | $1.2 \cdot 10^{-5}$ | 3 fb^{-1} |
| $B_s \rightarrow \tau\mu$ | $3.4 \cdot 10^{-5}$ | 3 fb^{-1} |
| $B^+ \rightarrow K^+ \tau\mu$ | $3.9 \cdot 10^{-5}$ | 9 fb^{-1} |
| $B^0 \rightarrow K^{*0} \tau^+ \mu^-$ | $1.0 \cdot 10^{-5}$ | 9 fb^{-1} |
| $B^0 \rightarrow K^{*0} \tau^- \mu^+$ | $8.2 \cdot 10^{-6}$ | 9 fb^{-1} |

NEW!

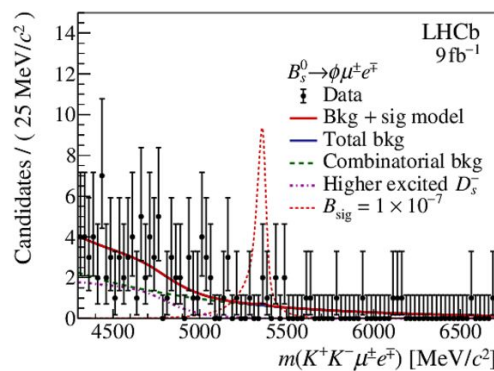
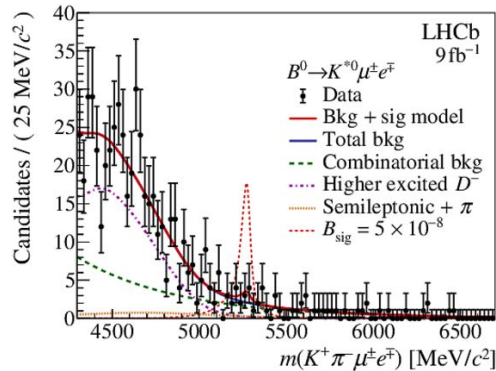
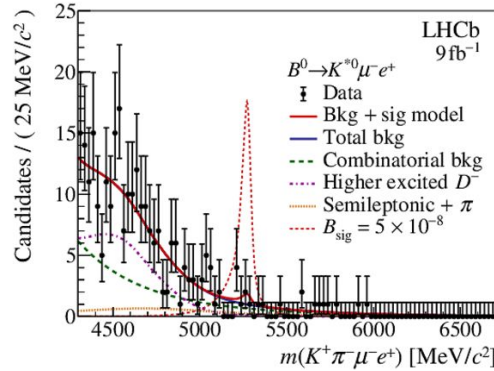
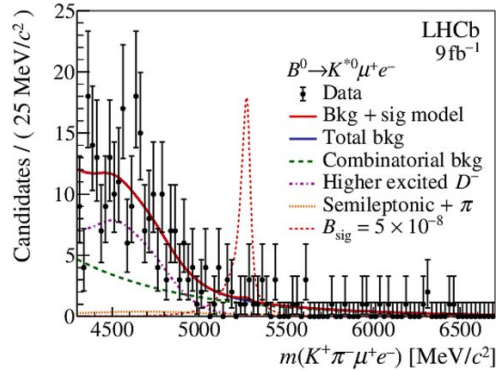
NEW!

RECENT RESULTS:

Search for lepton flavour violating decays $B_s^0 \rightarrow K^{*0} \mu^\pm e^\mp$ and $B_s^0 \rightarrow \phi \mu^\pm e^\mp$ submitted on Arxiv on 08/06/2022

Search for lepton flavour violating decays $B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$ submitted on Arxiv on 20/09/2022

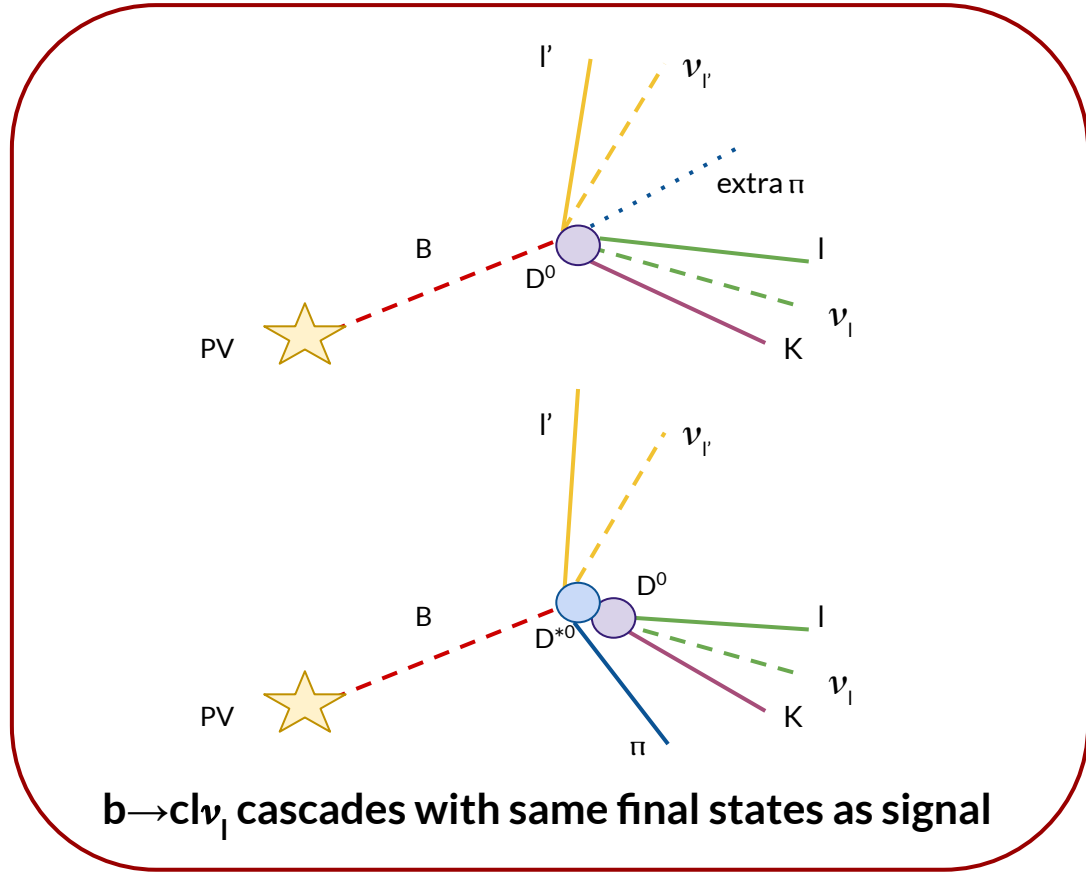
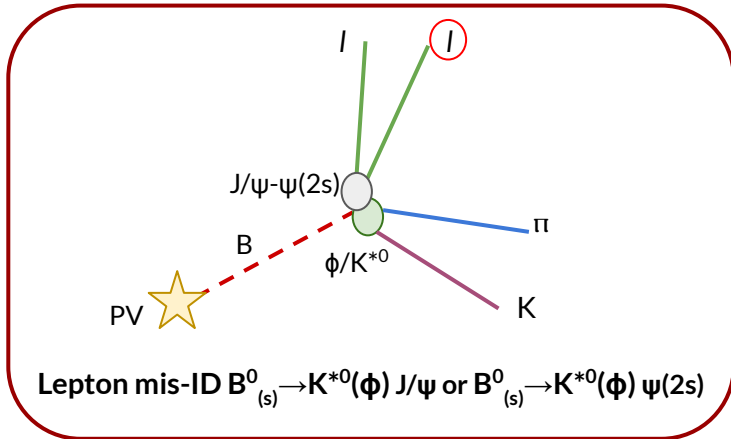
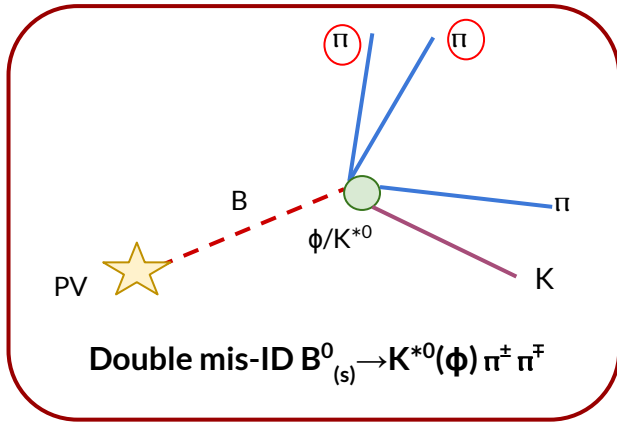
Searches for $B \rightarrow K^* e \mu$ and $B_s \rightarrow \phi e \mu$: Fit



- Run 1 + Run 2 datasets (9fb⁻¹)
- First result ever for $B_s^0 \rightarrow \phi \mu^\pm e^\mp$
- Using $B \rightarrow K^* J/\psi (\rightarrow \mu^+ \mu^-)$ and $B_s \rightarrow \phi J/\psi (\rightarrow \mu^+ \mu^-)$ as normalization samples
- $K\mu$ charge combinations treated separately

Fit on data of invariant mass distributions

Searches for $B \rightarrow K^* e \mu$ and $B_s \rightarrow \phi e \mu$: Background examples



Searches for $B \rightarrow K^* e \mu$ and $B_s \rightarrow \phi e \mu$: Results

➔ No significant signal observed

➔ Upper limits at 90 (95)% CL

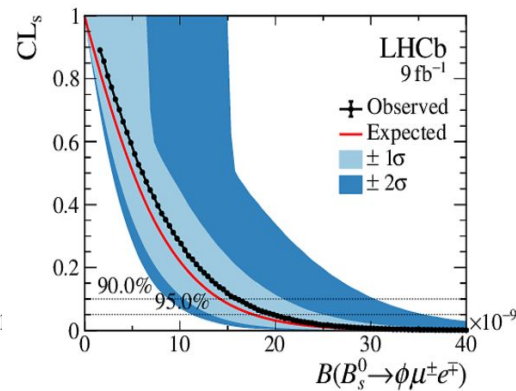
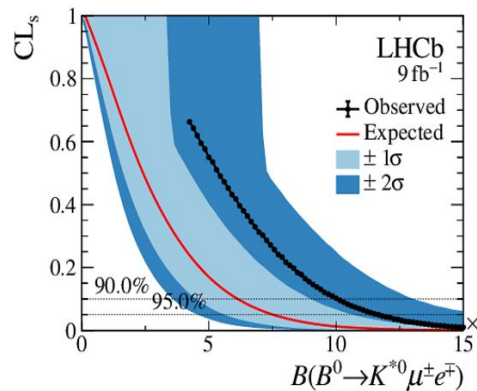
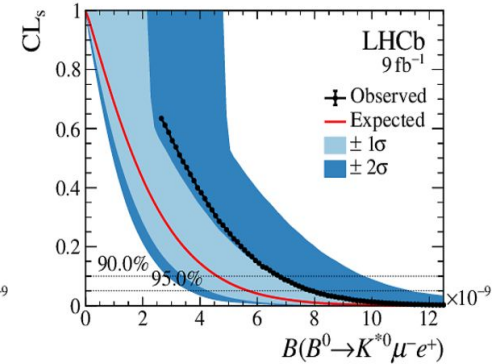
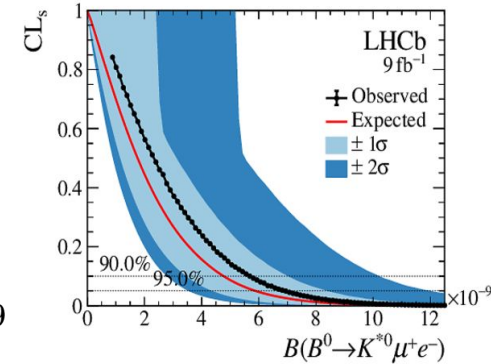
$$B(B^0 \rightarrow K^{*0} e^+ \mu^-) < 6.8(7.9) \times 10^{-9}$$

$$B(B^0 \rightarrow K^{*0} e^- \mu^+) < 5.7(6.9) \times 10^{-9}$$

$$B(B^0 \rightarrow K^{*0} e^\pm \mu^\mp) < 10.1(11.7) \times 10^{-9}$$

$$B(B^0 \rightarrow \phi e^\pm \mu^\mp) < 16.9(19.8) \times 10^{-9}$$

MOST
STRINGENT
LIMITS



Searches for $B \rightarrow K^* e \mu$ and $B_s \rightarrow \phi e \mu$: NP models

- Limit set assuming **uniform phase space**
- Distributions differs significantly in NP models

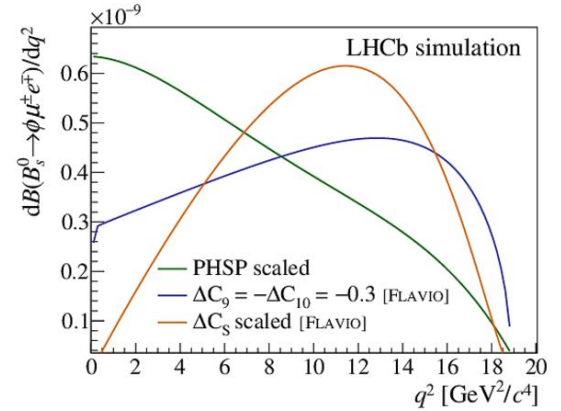
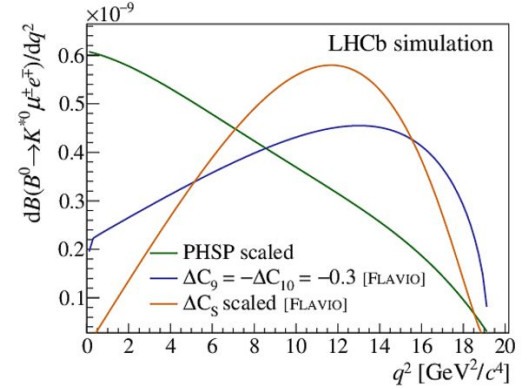
Scalar model $C_9^{\mu e} \neq 0$

$$\begin{aligned}
 B(B^0 \rightarrow K^{*0} e^+ \mu^-) &< 9.9(11.5) \times 10^{-9} \\
 B(B^0 \rightarrow K^{*0} e^- \mu^+) &< 8.4(10.2) \times 10^{-9} \\
 B(B^0 \rightarrow K^{*0} e^\pm \mu^\mp) &< 14.7(17.0) \times 10^{-9} \\
 B(B^0 \rightarrow \phi e^\pm \mu^\mp) &< 18.8(23.1) \times 10^{-9}
 \end{aligned}$$

Left-handed model

$$C_9^{\mu e} = -C_{10}^{\mu e} \neq 0$$

$$\begin{aligned}
 B(B^0 \rightarrow K^{*0} e^+ \mu^-) &< 8.0(9.5) \times 10^{-9} \\
 B(B^0 \rightarrow K^{*0} e^- \mu^+) &< 6.7(8.3) \times 10^{-9} \\
 B(B^0 \rightarrow K^{*0} e^\pm \mu^\mp) &< 12.0(13.9) \times 10^{-9} \\
 B(B^0 \rightarrow \phi e^\pm \mu^\mp) &< 16.5(20.5) \times 10^{-9}
 \end{aligned}$$



Searches for $B \rightarrow K^* \tau \mu$: Fit

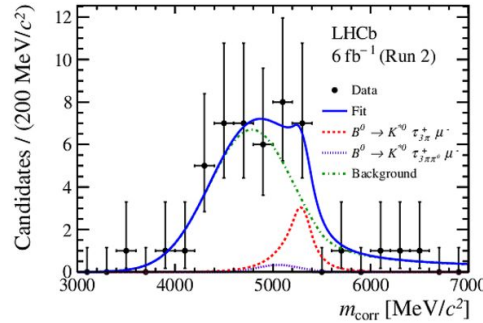
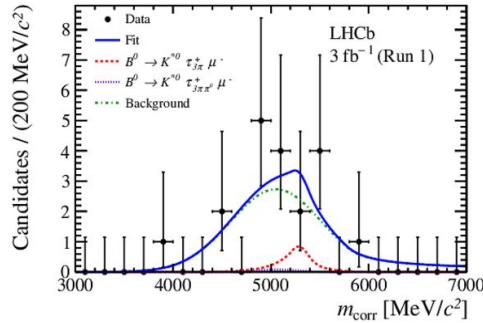
- Run 1 (3fb⁻¹) + Run 2 (6fb⁻¹) dataset
- First search for $B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$
- Using $B \rightarrow D^- (\rightarrow K^+ \pi^- \pi^-) D_s^+ (\rightarrow K^+ K^- \pi^+)$ as normalization sample
- $K\mu$ charge combinations treated separately
- Additional challenge given by missing neutrino momentum from $\tau^\pm \rightarrow \pi^\pm \pi^\pm \pi^\mp (\pi^0) \nu$ in the final state

$$m_{corr} = \sqrt{p_T^2 + M^2 + p_T}$$

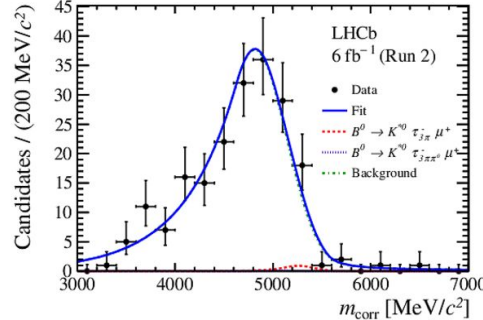
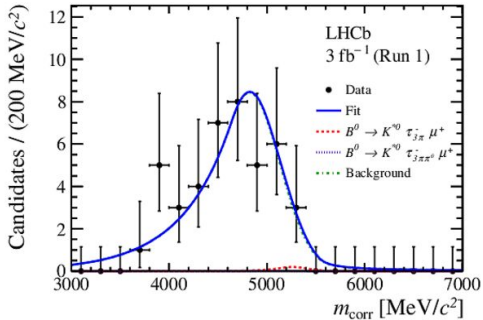
Missing momentum
in the transverse
plane

Invariant mass of
the final states

$B^0 \rightarrow K^{*0} \tau^+ \mu^-$

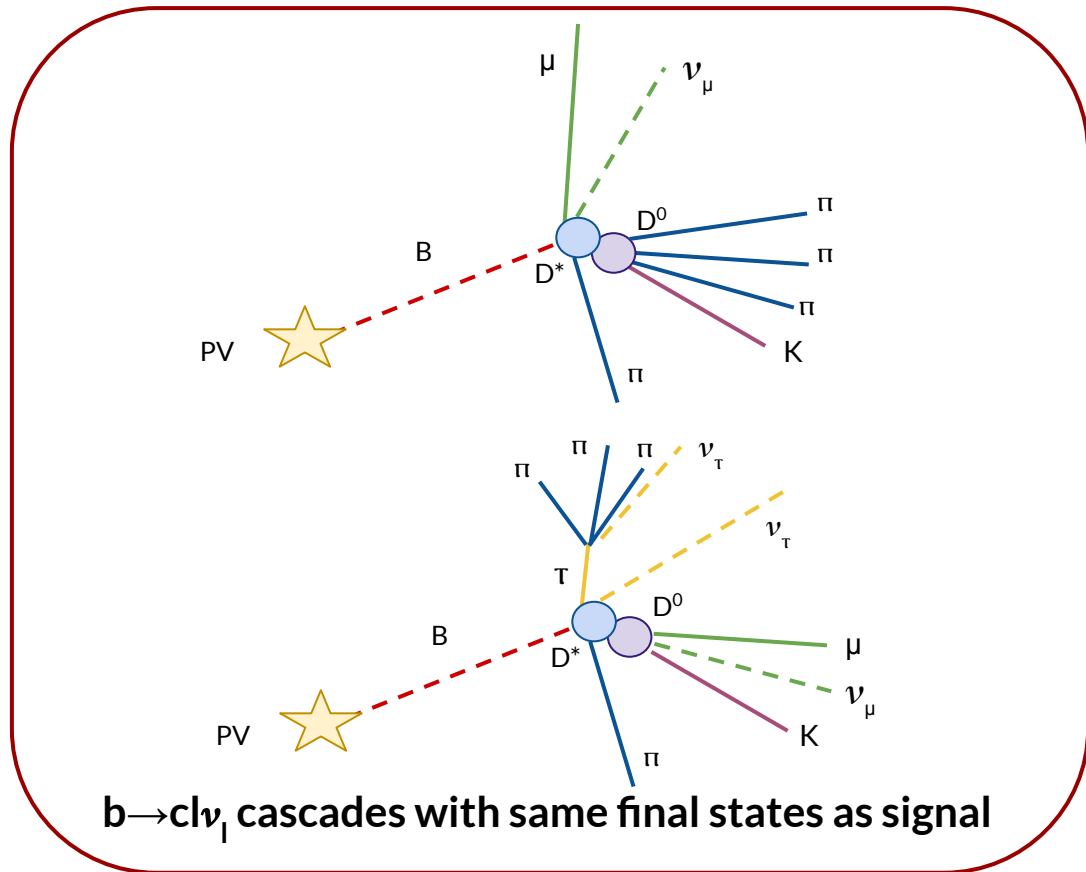
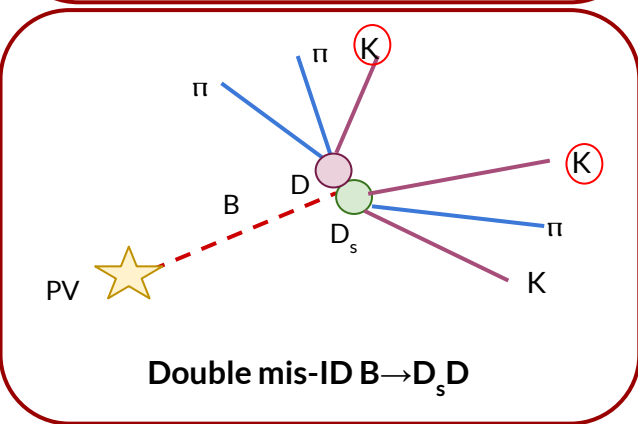
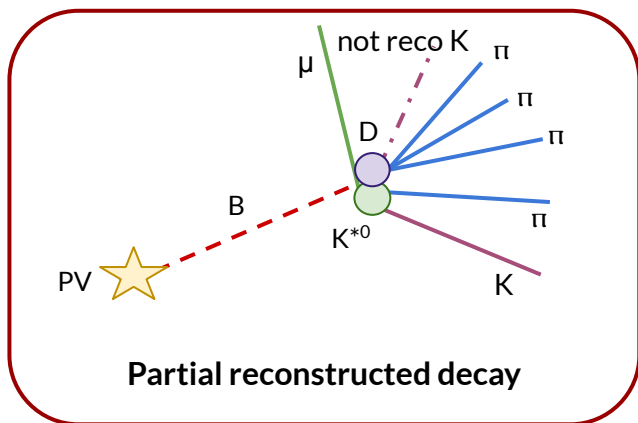


$B^0 \rightarrow K^{*0} \tau^- \mu^+$



Fit on data of corrected mass distribution

Searches for $B \rightarrow K^* \tau \mu$: Background example



Searches for $B \rightarrow K^* \tau \mu$: Results

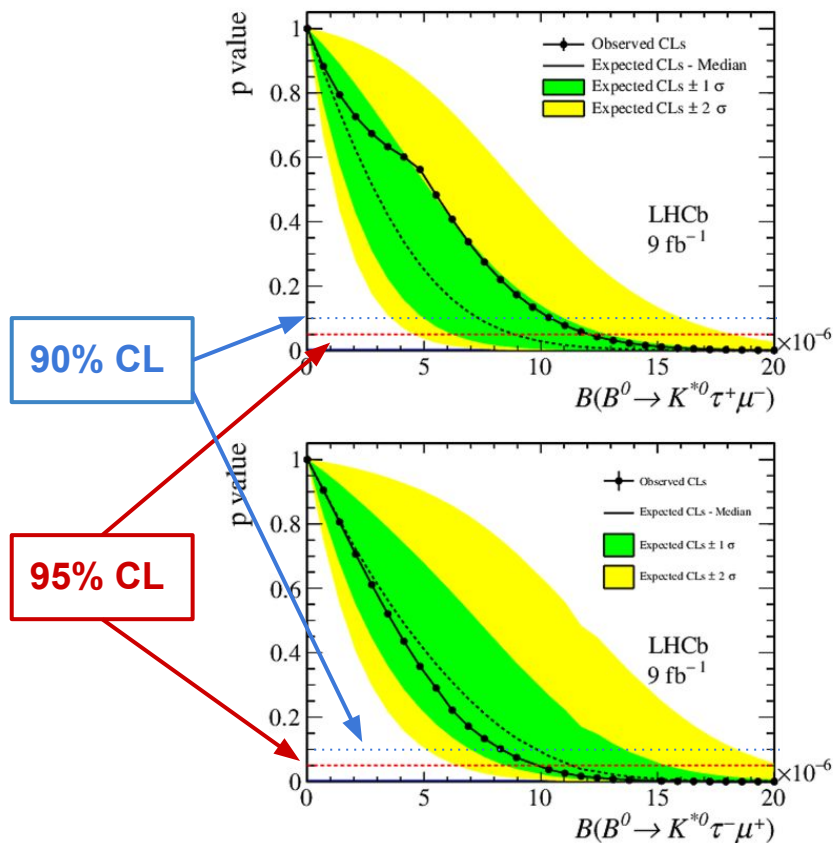
→ No significant signal observed

→ Upper limits at 90 (95)% CL

$$B(B^0 \rightarrow K^{*0} \tau^+ \mu^-) < 1.0(1.2) \times 10^{-5}$$

$$B(B^0 \rightarrow K^{*0} \tau^- \mu^+) < 8.2(9.8) \times 10^{-6}$$

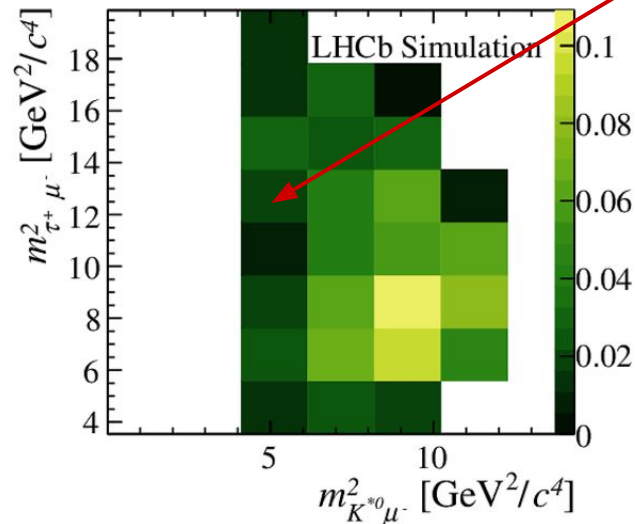
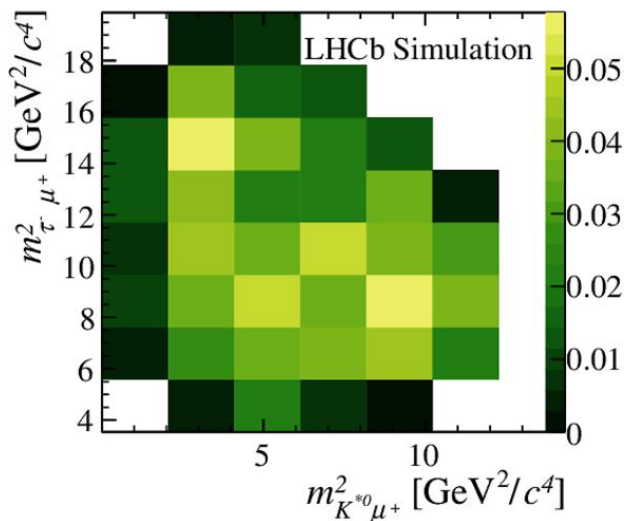
MOST
STRINGENT
LIMITS



Searches for $B \rightarrow K^* \tau \mu$: Efficiencies

- Efficiencies evaluated on MC assuming **uniform phase space**
- Allows to reweight obtained results wrt a specific model

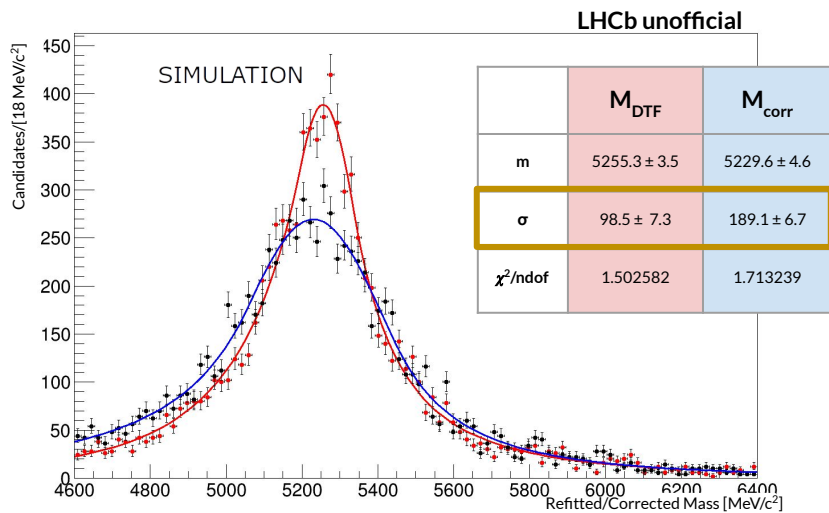
Veto on $m(K^+ \mu^-) > 1885$
MeV/c² to reject $B^0 \rightarrow D^{(*)-} \tau^+ \nu_\tau$
bkg



Why not searching for $B \rightarrow K^* \tau e$?

→ First look at a τe final state @ LHCb

New strategy to correct the B mass: **refitting the kinematics using mass constraints**

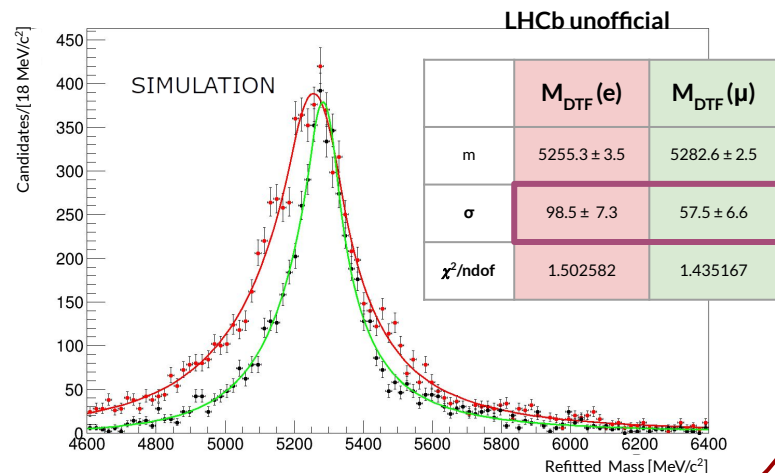


→ **Improvement in mass resolution** 👍

COMPARISON WITH $B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$

Reasonable mass resolution comparing electron and muon channels

→ Feasibility of the decay search!



Outlook

FINAL STATES WITH $e\mu$ and $\tau\mu$

| Decay | Limit (90% C.L.) | Integrated luminosity |
|--|---------------------|-----------------------|
| $B^0 \rightarrow e\mu$ | $1.0 \cdot 10^{-9}$ | 3 fb^{-1} |
| $B_s \rightarrow e\mu$ | $5.4 \cdot 10^{-9}$ | 3 fb^{-1} |
| $B^+ \rightarrow K^+ e^+ \mu^-$ | $7.0 \cdot 10^{-9}$ | 3 fb^{-1} |
| $B^+ \rightarrow K^+ e^- \mu^+$ | $6.4 \cdot 10^{-9}$ | 3 fb^{-1} |
| $B^0 \rightarrow K^{*0} e^\mp \mu^\pm$ | $9.9 \cdot 10^{-9}$ | 9 fb^{-1} |
| $B^0 \rightarrow K^{*0} e^+ \mu^-$ | $6.7 \cdot 10^{-9}$ | 9 fb^{-1} |
| $B^0 \rightarrow K^{*0} e^- \mu^+$ | $5.7 \cdot 10^{-9}$ | 9 fb^{-1} |
| $B_s \rightarrow \phi e^\mp \mu^\pm$ | $1.6 \cdot 10^{-8}$ | 9 fb^{-1} |
| $B^0 \rightarrow \tau\mu$ | $1.2 \cdot 10^{-5}$ | 3 fb^{-1} |
| $B_s \rightarrow \tau\mu$ | $3.4 \cdot 10^{-5}$ | 3 fb^{-1} |
| $B^+ \rightarrow K^+ \tau\mu$ | $3.9 \cdot 10^{-5}$ | 9 fb^{-1} |
| $B^0 \rightarrow K^{*0} \tau^+ \mu^-$ | $1.0 \cdot 10^{-5}$ | 9 fb^{-1} |
| $B^0 \rightarrow K^{*0} \tau^- \mu^+$ | $8.2 \cdot 10^{-6}$ | 9 fb^{-1} |

DISCUSSED

DISCUSSED

FINAL STATES WITH $e\tau$

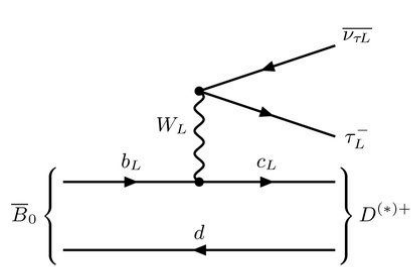
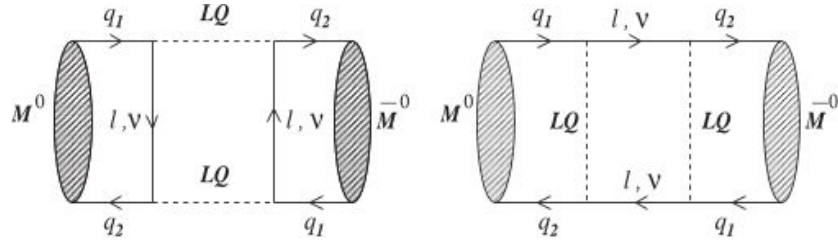
| Decay | Limit (90% C.L.) | Integrated luminosity | Experiment |
|--|---------------------|---------------------------------|------------|
| $B^0 \rightarrow e^\pm \tau^\mp$ | $1.6 \cdot 10^{-5}$ | 772M BB (711 fb ⁻¹) | Belle |
| $B^+ \rightarrow K^+ e^\pm \tau^\mp$ | $3.0 \cdot 10^{-5}$ | 472M BB (426 fb ⁻¹) | BaBar |
| $B^+ \rightarrow K^+ e^+ \tau^-$ | $4.3 \cdot 10^{-5}$ | 472M BB (426 fb ⁻¹) | BaBar |
| $B^+ \rightarrow K^+ e^- \tau^+$ | $1.5 \cdot 10^{-5}$ | 472M BB (426 fb ⁻¹) | BaBar |
| $B^+ \rightarrow \pi^+ e^\pm \tau^\mp$ | $7.5 \cdot 10^{-5}$ | 472M BB (426 fb ⁻¹) | BaBar |
| $B^+ \rightarrow \pi^+ e^+ \tau^-$ | $7.4 \cdot 10^{-5}$ | 472M BB (426 fb ⁻¹) | BaBar |
| $B^+ \rightarrow \pi^+ e^- \tau^+$ | $2.0 \cdot 10^{-5}$ | 472M BB (426 fb ⁻¹) | BaBar |
| $B^0 \rightarrow K^{*0} e^+ \tau^-$ | ? | 1.6 fb ⁻¹ | LHCb |
| $B^0 \rightarrow K^{*0} e^- \tau^+$ | ? | 1.6 fb ⁻¹ | LHCb |

Wait for my PhD!

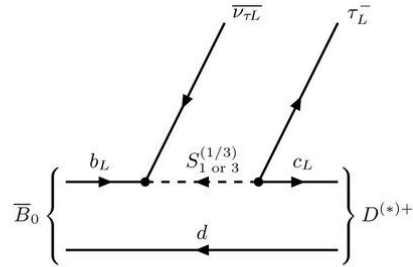
BACKUP

Theoretical models

New Physics scenario with Leptoquark (LQ)

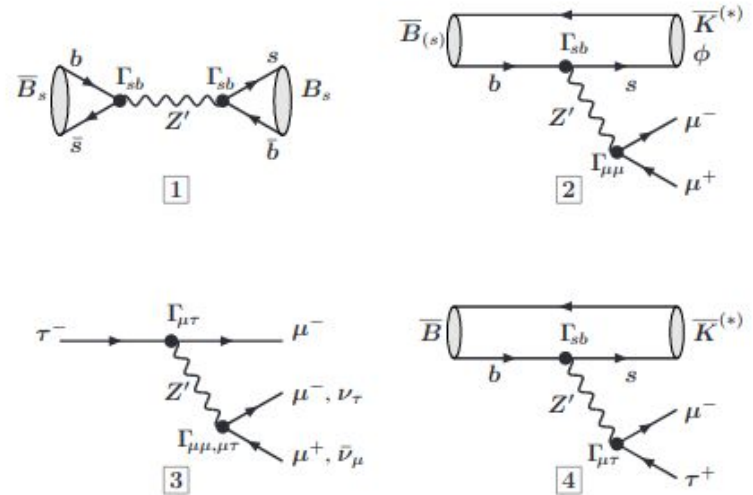


(a)

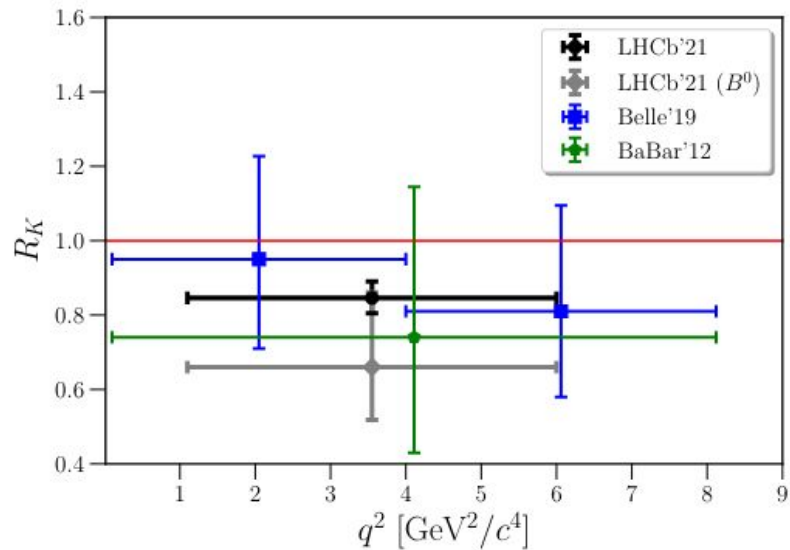
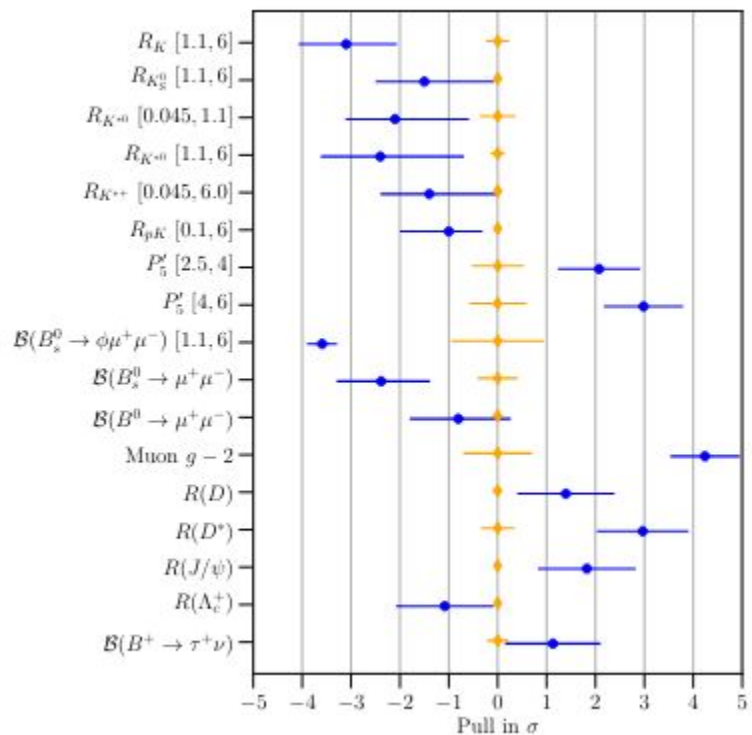


(b)

New Physics scenario with Z' mediator



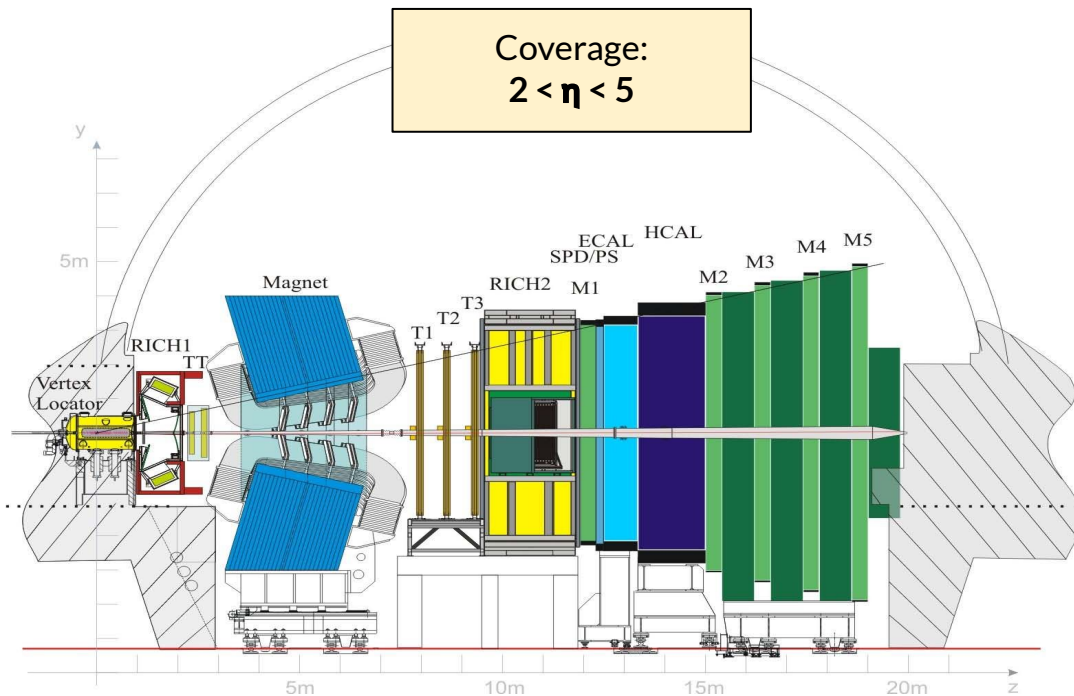
LFUV measurements



R_K measurements for LHCb and B factories experiments

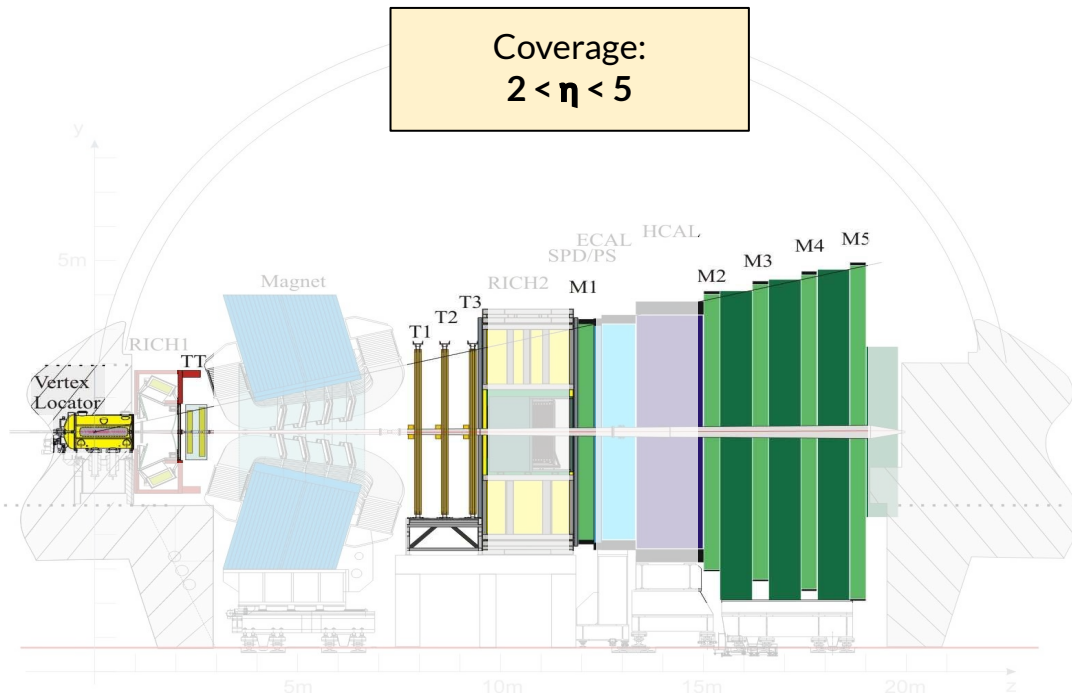
LHCb detector

→ Single arm forward spectrometer,
designed for heavy flavour physics

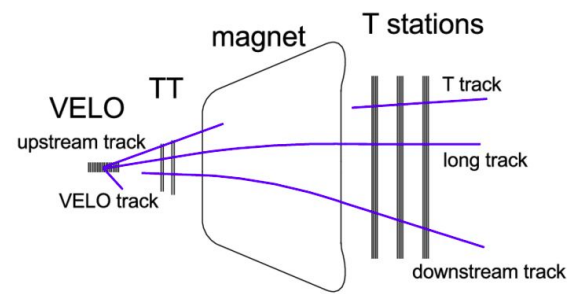
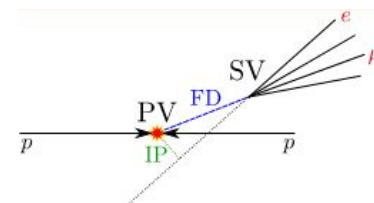


LHCb detector: reconstruction & tracking

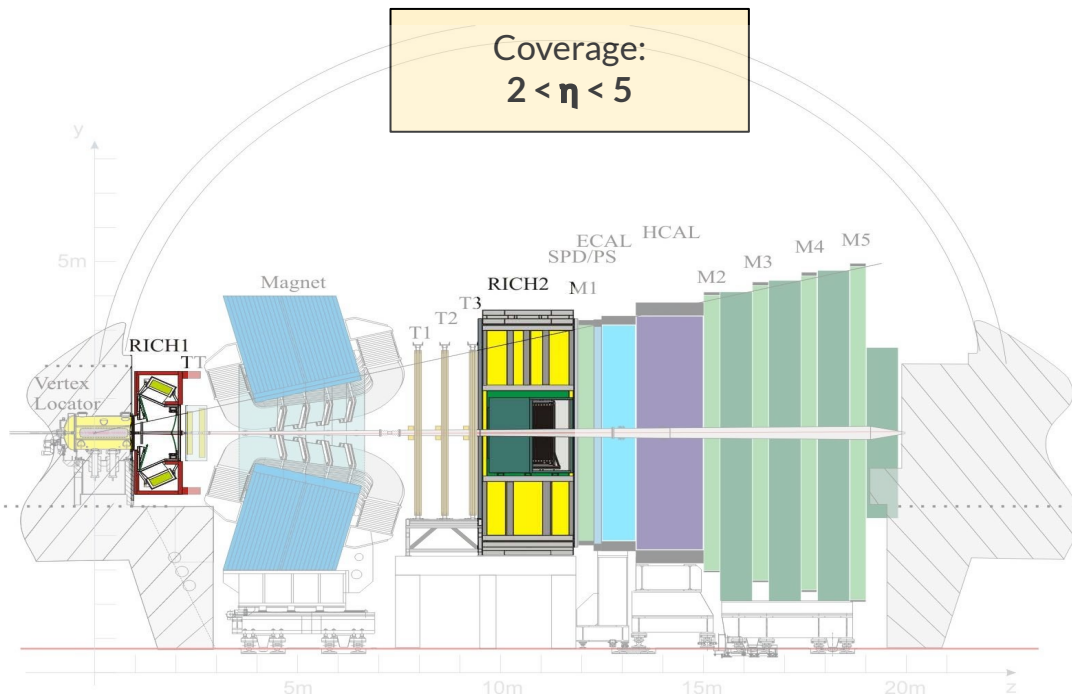
→ Single arm forward spectrometer, designed for heavy flavour physics



COMPONENTS OF THE DETECTOR



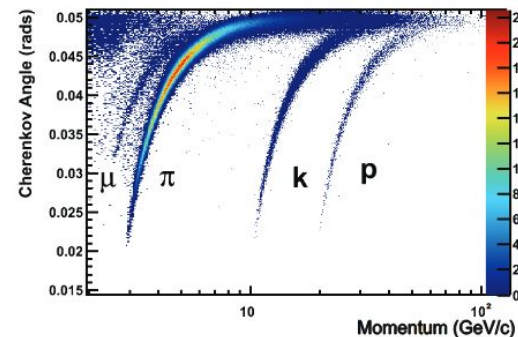
LHCb detector: Particle Identification (PID)



→ Single arm forward spectrometer, designed for heavy flavour physics

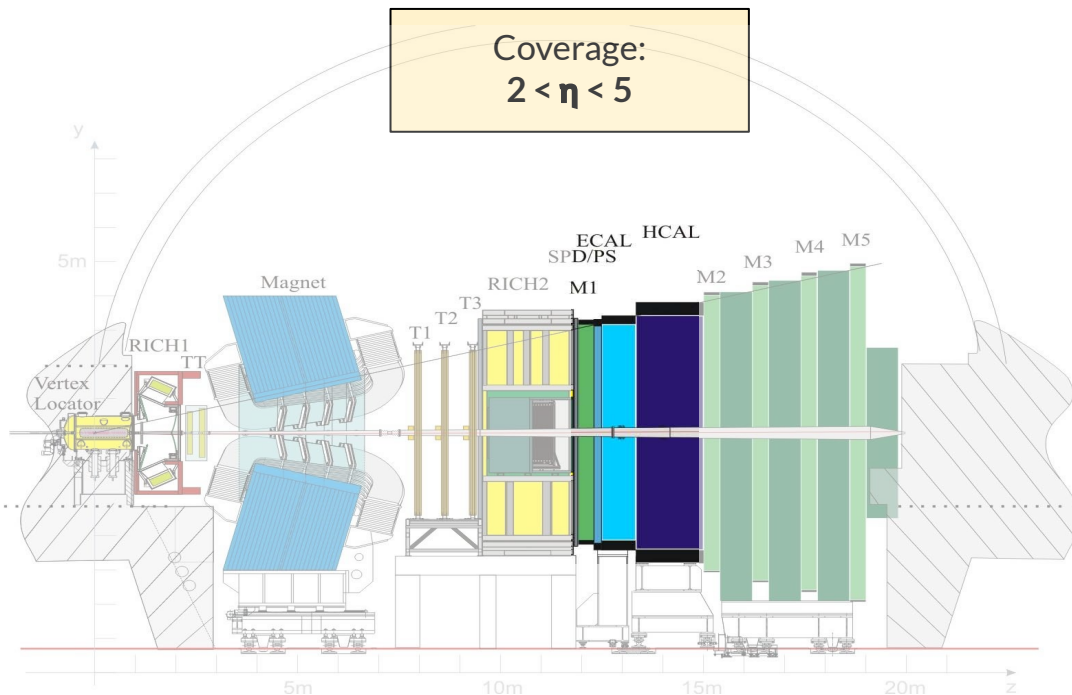


COMPONENTS OF THE DETECTOR



<https://arxiv.org/pdf/1211.6759.pdf>

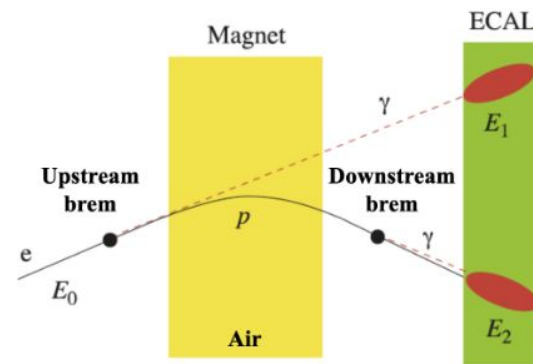
LHCb detector: Energy deposit (calorimetry)



→ Single arm forward spectrometer, designed for heavy flavour physics

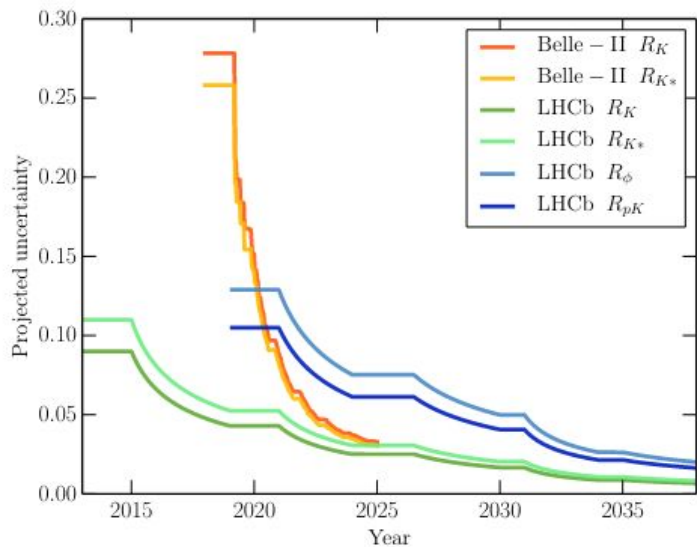


COMPONENTS OF THE DETECTOR



Bremstrahlung recovery for electrons

Future prospects for LFUV studies



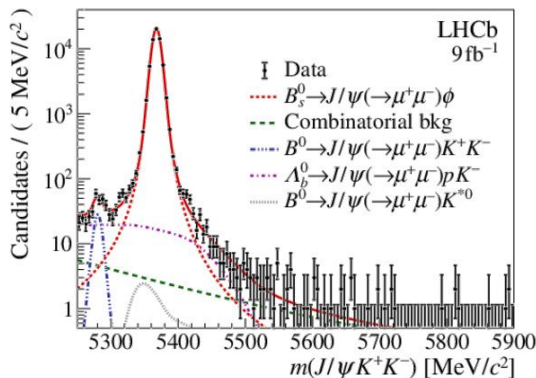
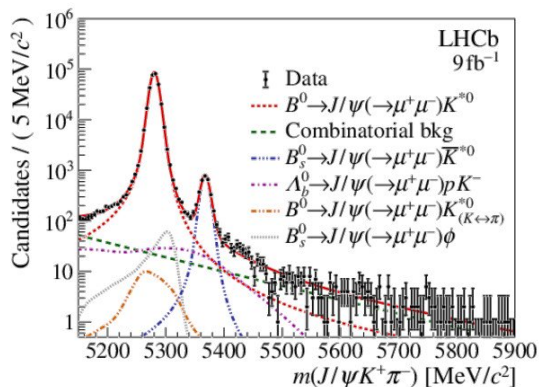
| Observable | Current | LHCb U1 | Belle II | LHCb UII |
|--|---------------------------|---------|------------------------|----------|
| $R_K([1, 6] \text{ GeV}^2/c^4)$ | 0.044 [3] | 0.025 | 0.036 | 0.007 |
| $R_{K^*}([1, 6] \text{ GeV}^2/c^4)$ | 0.12 [4] | 0.031 | 0.034 | 0.009 |
| $R(D^*)$ | 0.014 [12] | 0.007 | 0.003 | 0.002 |
| $R(D)$ | 0.030 [12] | | 0.004 | |
| $R(J/\psi)$ | 0.24 [55] | 0.07 | | 0.02 |
| $\mu(B^+ \rightarrow K^+ \nu \bar{\nu})$ | 0.7 [65] | | 0.08 | |
| $\mu(B^0 \rightarrow K^{*0} \nu \bar{\nu})$ | 1.8 [67] | | 0.34 | |
| $\mathcal{B}(B \rightarrow K^* \tau^+ \tau^-)$ | $< 2 \times 10^{-3}$ [68] | | $< 5.3 \times 10^{-4}$ | |
| $\mathcal{B}(B^+ \rightarrow \mu^+ \nu)$ | 50% [69] | | 2.5% | |
| $\mathcal{B}(B^+ \rightarrow \tau^+ \nu)$ | 22% [70] | | 3.0% | |

→ Improvement of measurement uncertainties to get more precise information about these B anomalies



Selection and Normalization for $B \rightarrow K^* e \mu$ and $B_s \rightarrow \phi e \mu$

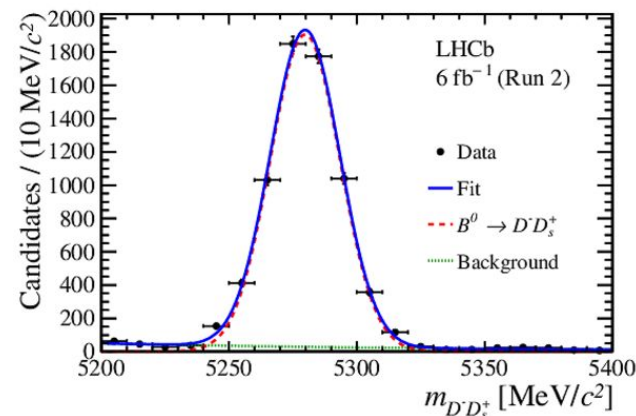
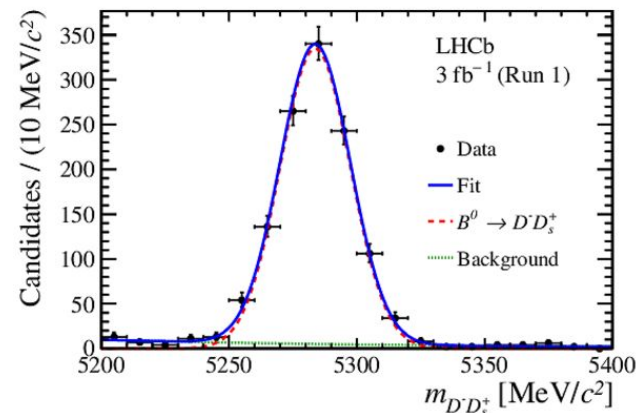
- Signal region in $[4300, 6700] \text{ MeV}/c^2$
- PID requirements on final state hadrons and leptons to suppress double mis-ID bkg $B^0_{(s)} \rightarrow K^{*0}(\phi) \pi^\pm \pi^\mp$
- Mass window of invariant mass for $K^+ \pi^-$ and $K^- K^+$ with width respectively $100 \text{ MeV}/c^2$ and $12 \text{ MeV}/c^2$ for K^{*0} and ϕ and centered on known mass value
- Vetoes for rejecting background for J/ψ and $\psi(2s)$ resonances and semileptonic cascade involving D mesons ($b \rightarrow c(\rightarrow s l^+ \nu_l) l^- \bar{\nu}_l$ decays)
- Separate BDT for each of the two signal decay to remove combinatorial background



$$\mathcal{B}_{\text{sig}} = \underbrace{\frac{\mathcal{B}_{\text{norm}}}{N_{\text{norm}}}}_{=\alpha} \times \frac{\varepsilon_{\text{norm}}}{\varepsilon_{\text{sig}}} \times N_{\text{sig}}, \quad \text{BRANCHING FRACTION FOR THE SIGNAL}$$

| Mode | $\alpha \pm (\sigma_{\text{stat}} \oplus \sigma_{\text{syst}}) [10^{-9}]$ | | |
|--|---|-----------------|-----------------|
| | 2011-2012 | 2015-2016 | 2017-2018 |
| $B^0 \rightarrow K^{*0} \mu^+ e^-$ | 2.47 ± 0.14 | 2.38 ± 0.16 | 1.49 ± 0.09 |
| $B^0 \rightarrow K^{*0} \mu^- e^+$ | 2.50 ± 0.15 | 2.39 ± 0.16 | 1.49 ± 0.09 |
| $B^0 \rightarrow K^{*0} \mu^\pm e^\mp$ | 2.48 ± 0.14 | 2.39 ± 0.16 | 1.49 ± 0.09 |
| $B_s^0 \rightarrow \phi \mu^\pm e^\mp$ | 9.50 ± 0.70 | 9.68 ± 0.78 | 5.09 ± 0.39 |

- Signal region in $[4600, 6400] \text{ MeV}/c^2$
- PID requirements on final state hadrons and leptons
- BDT to suppress combinatorial background
- BDT to suppress charmed meson contribution in τ reconstruction
- Fisher discriminant using isolation information of the candidates to remove partially reconstructed bkg sources
- Specific vetoes for D^0 mesons
- Separate BDT for each of the two signal decay to remove combinatorial background



DecayTreeFitter

Fitting the decay chain using **Kalman filters** (<https://arxiv.org/pdf/physics/0503191.pdf>)

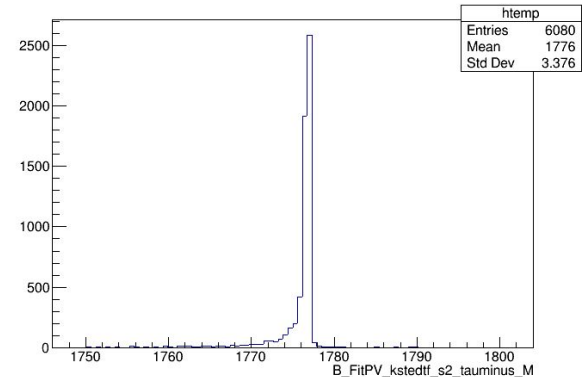
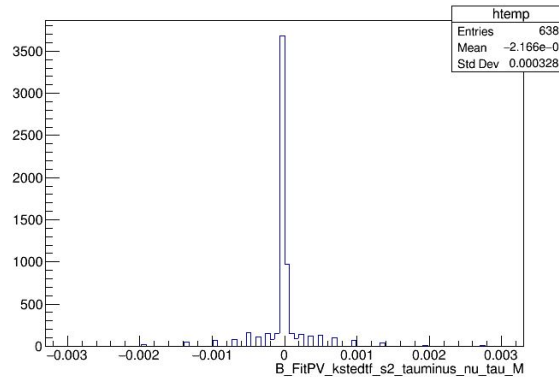
- Extract the optimal set of decay tree parameters (f.e. momentum and energy) by fixing *exact* (without associated uncertainty) and *measured* (with associated uncertainties) constraints and using least square fit

PROBLEM: Missing momentum carried by the neutrino

Solution: B direction deduced from K^*e system and assumption that $\tau_{\perp} = -(K^*e)_{\perp}$

Constraints

B constrain to PV



$B \rightarrow K^* \tau e$ vs $B \rightarrow K^* \tau \mu$

