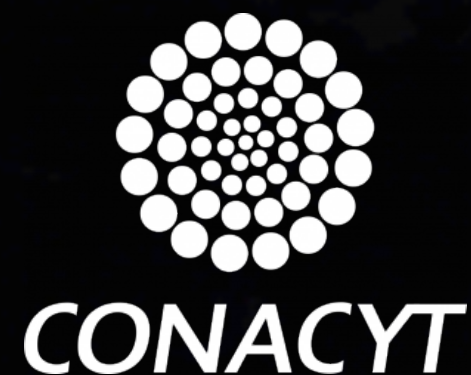


# Ground Observations of the Spectrum and Composition of Cosmic Rays Below the Knee

Juan Carlos Díaz Vélez  
Universidad de Guadalajara  
on behalf of the HAWC Collaboration

5 Dic. 2022

Cosmic Rays in the Multi-Messenger Era  
APC Laboratory (Paris)

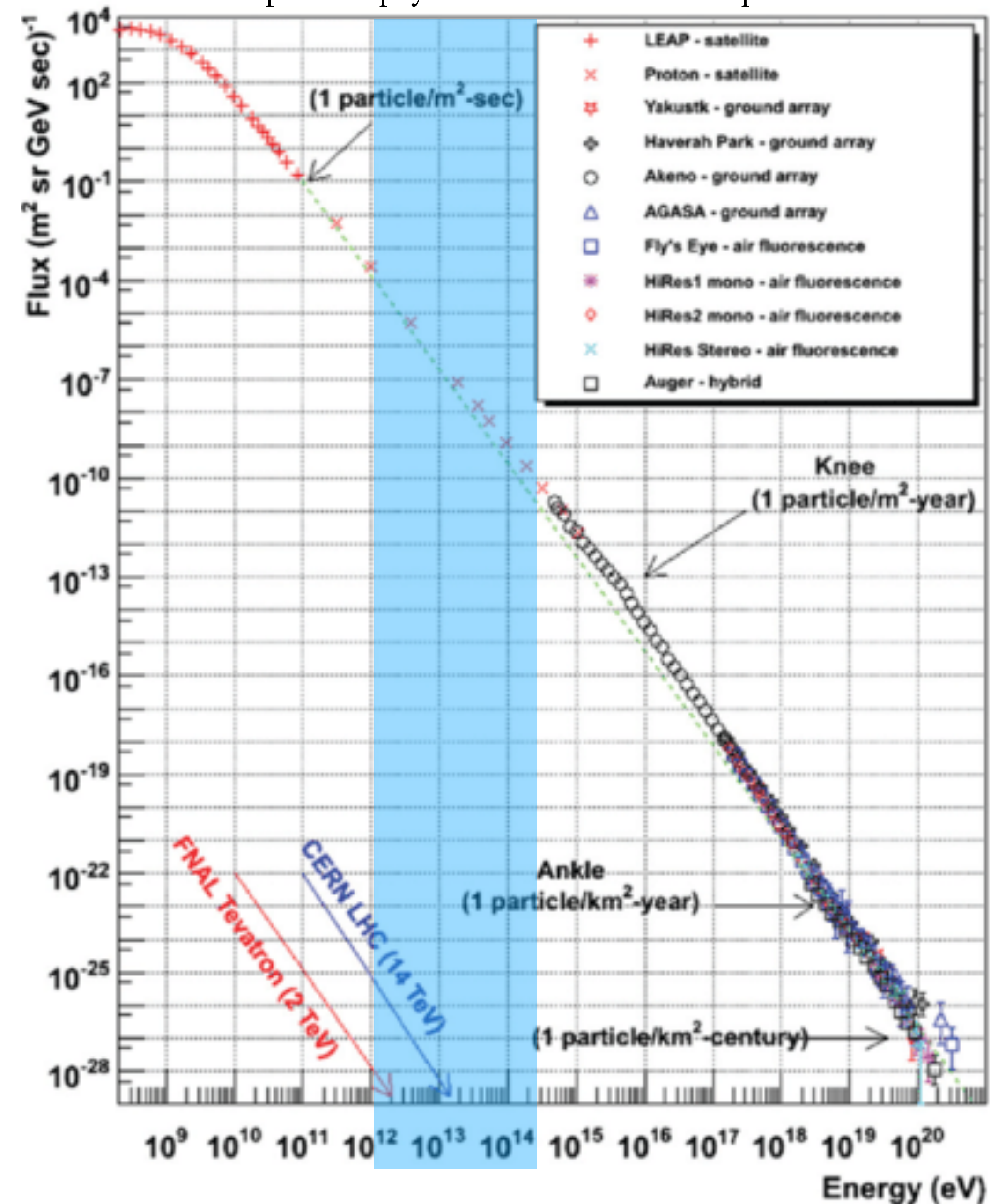




# The Cosmic-Ray Spectrum

<https://web.physics.utah.edu/~whanlon/spectrum.html>

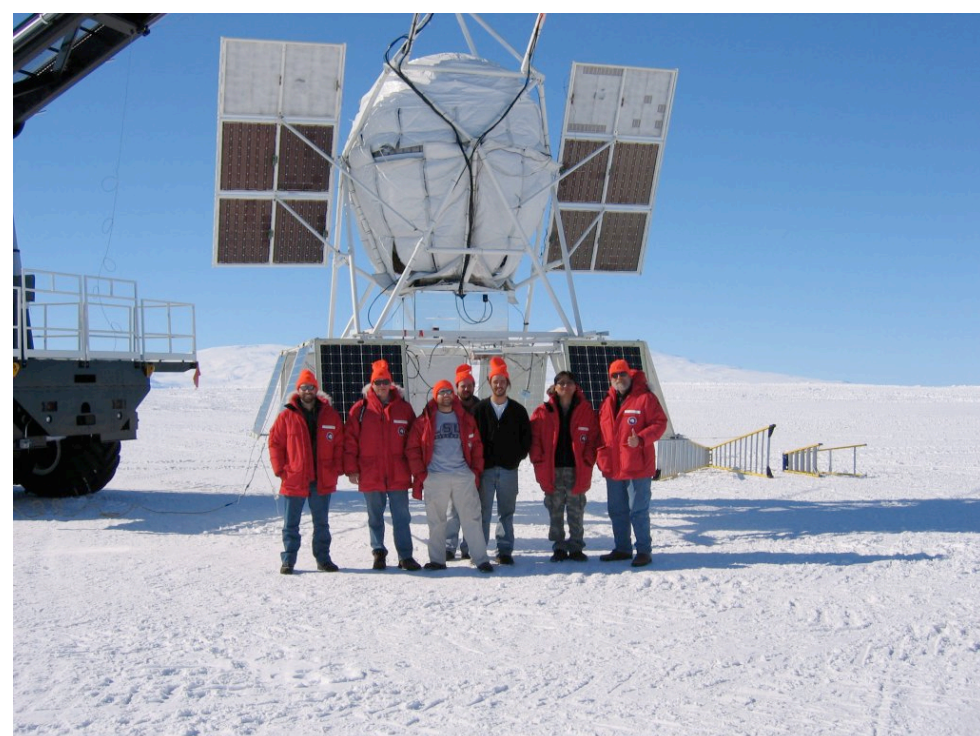
- ▶ Previously: little data in 10 TeV - 100 TeV region
  - ▶ Recent direct measurements have been extended to higher energies
  - ▶ Ground-based experiments to lower energies
  - ▶ Overlap allows for cross-calibration



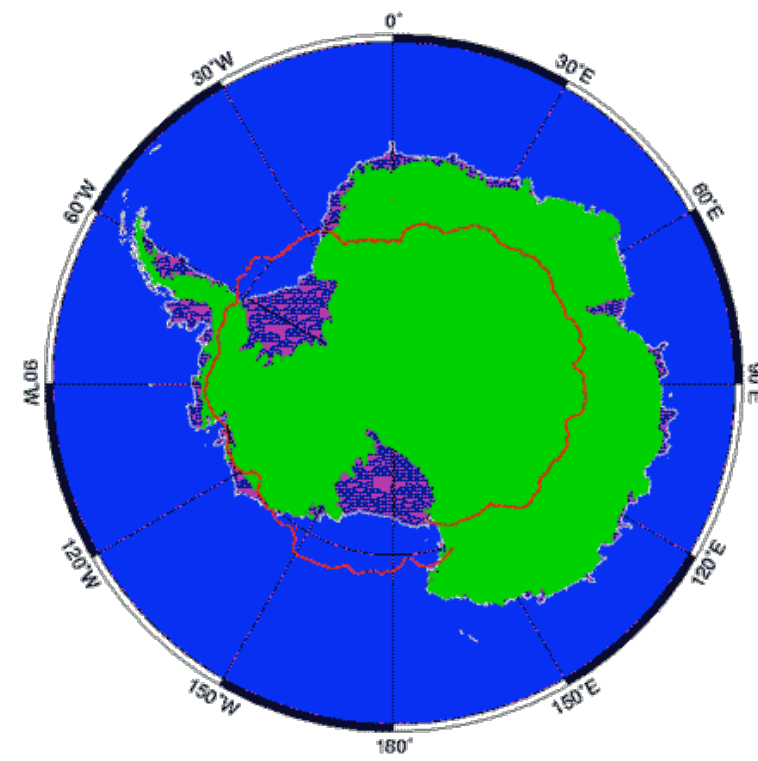
# Direct measurements

## 10 GeV - 100 TeV

- ATIC-2
  - Energy spectra of protons and He, C, O, Ne, Mg, Si, and Fe nuclei
  - Complex structure of the energy dependence of the mean logarithm of atomic weight. (i. e. softening at  $\sim 10$  TV)



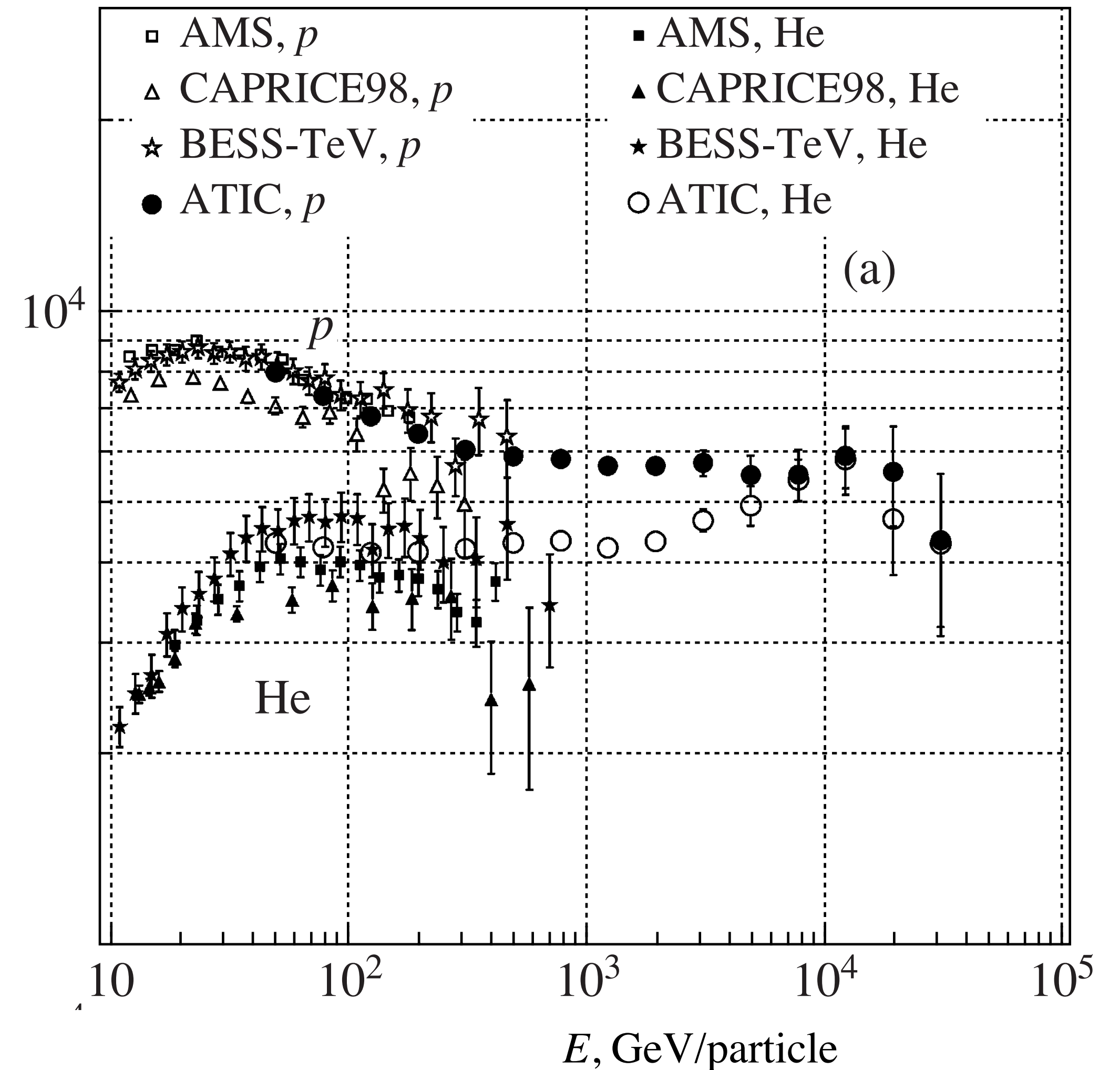
<http://science.nasa.gov>



<http://stratocat.com.ar>

Panov, A.D., *et al. Bull. Russ. Acad. Sci. Phys.* **73**, 564–567 (2009).

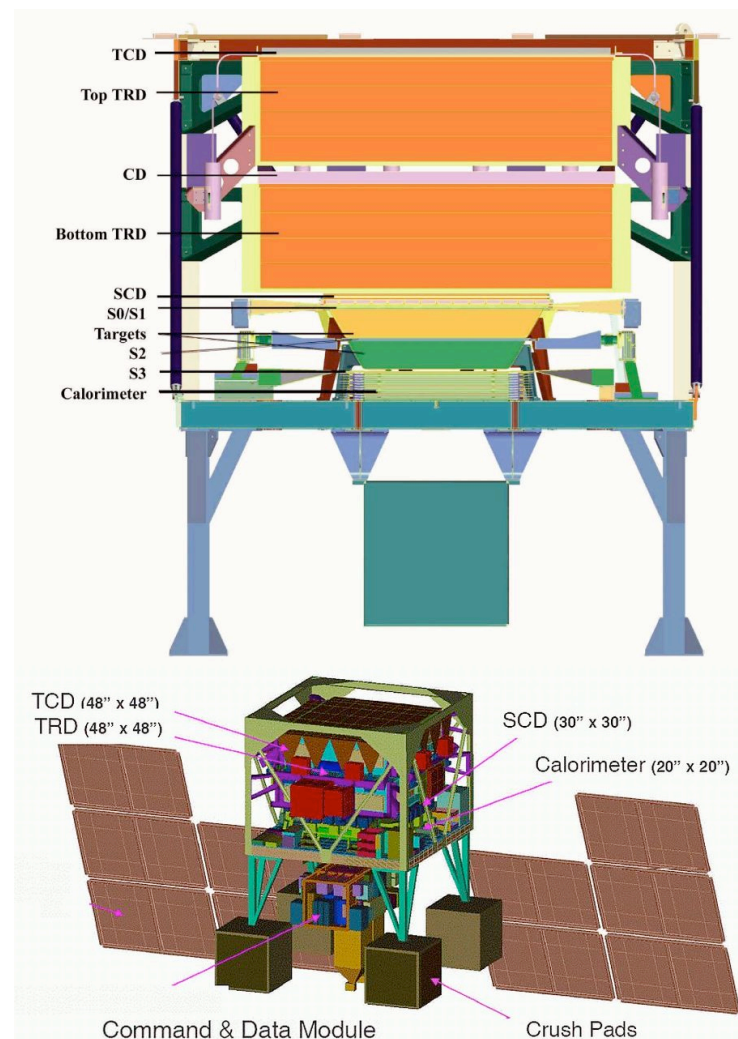
Flux  $E^{2.6}$ ,  $\text{m}^{-2} \text{s}^{-1} \text{sr}^{-1} \text{GeV}^{1.6}$



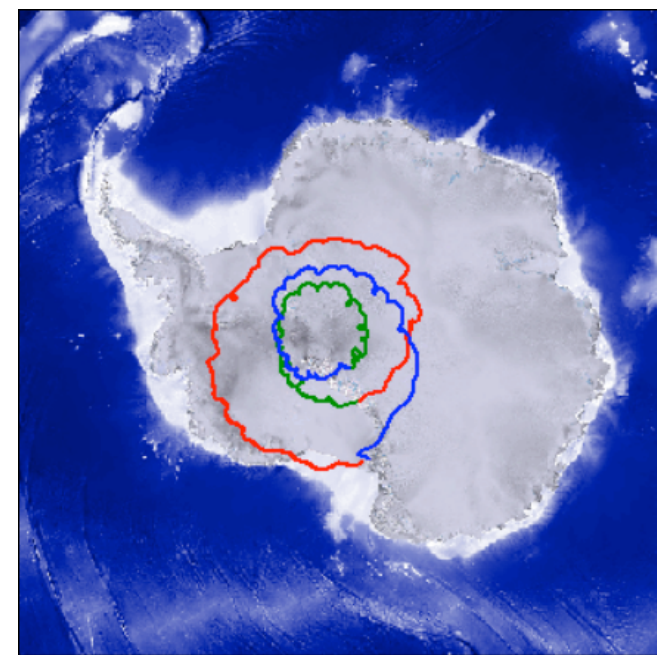
# Direct measurements

## 10 GeV - 100 TeV

- CREAM I-III:
  - apparent suppression beyond 20TeV in the spectra of H
  - Statistical uncertainties are large and suggest additional data needed.

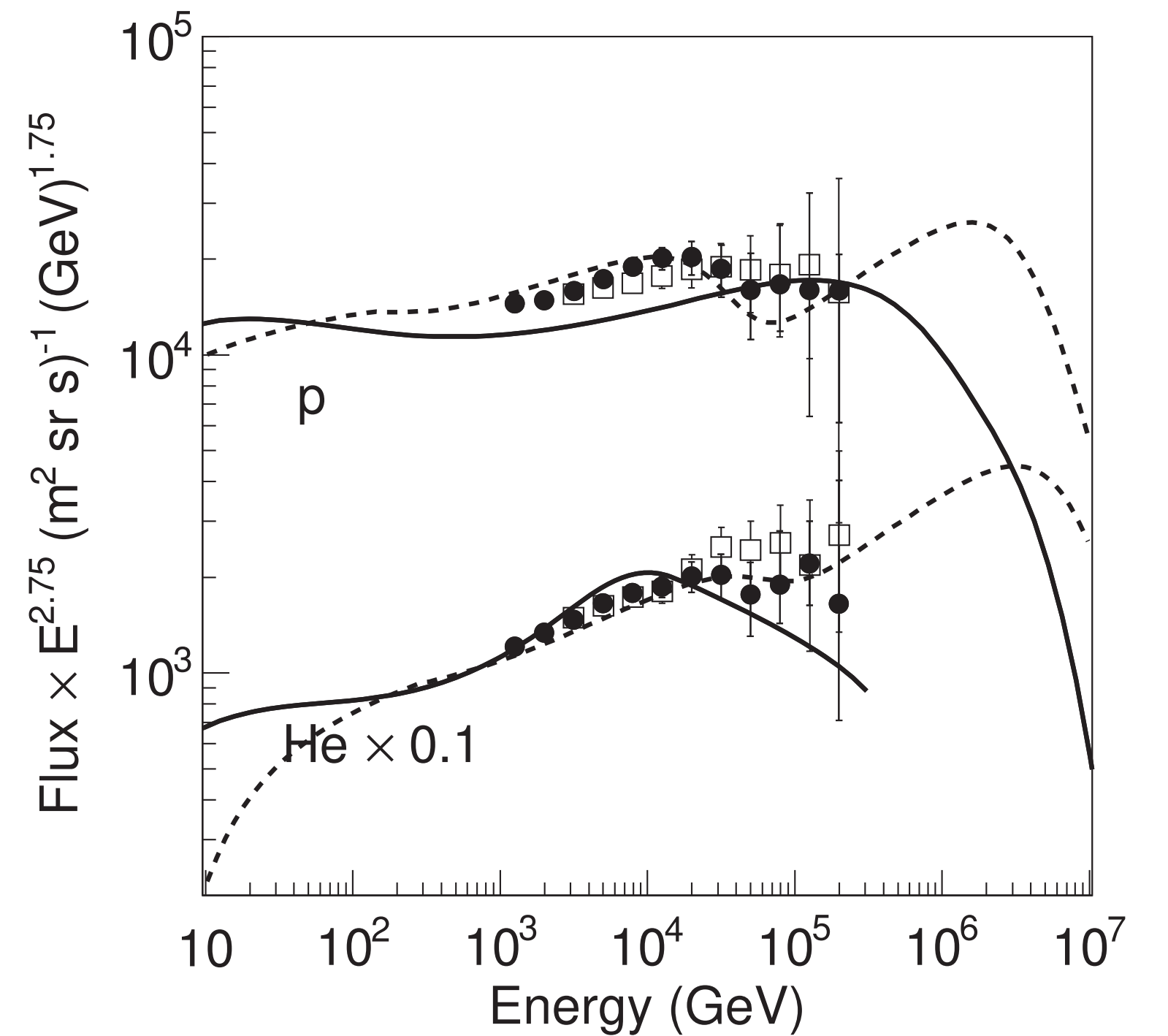
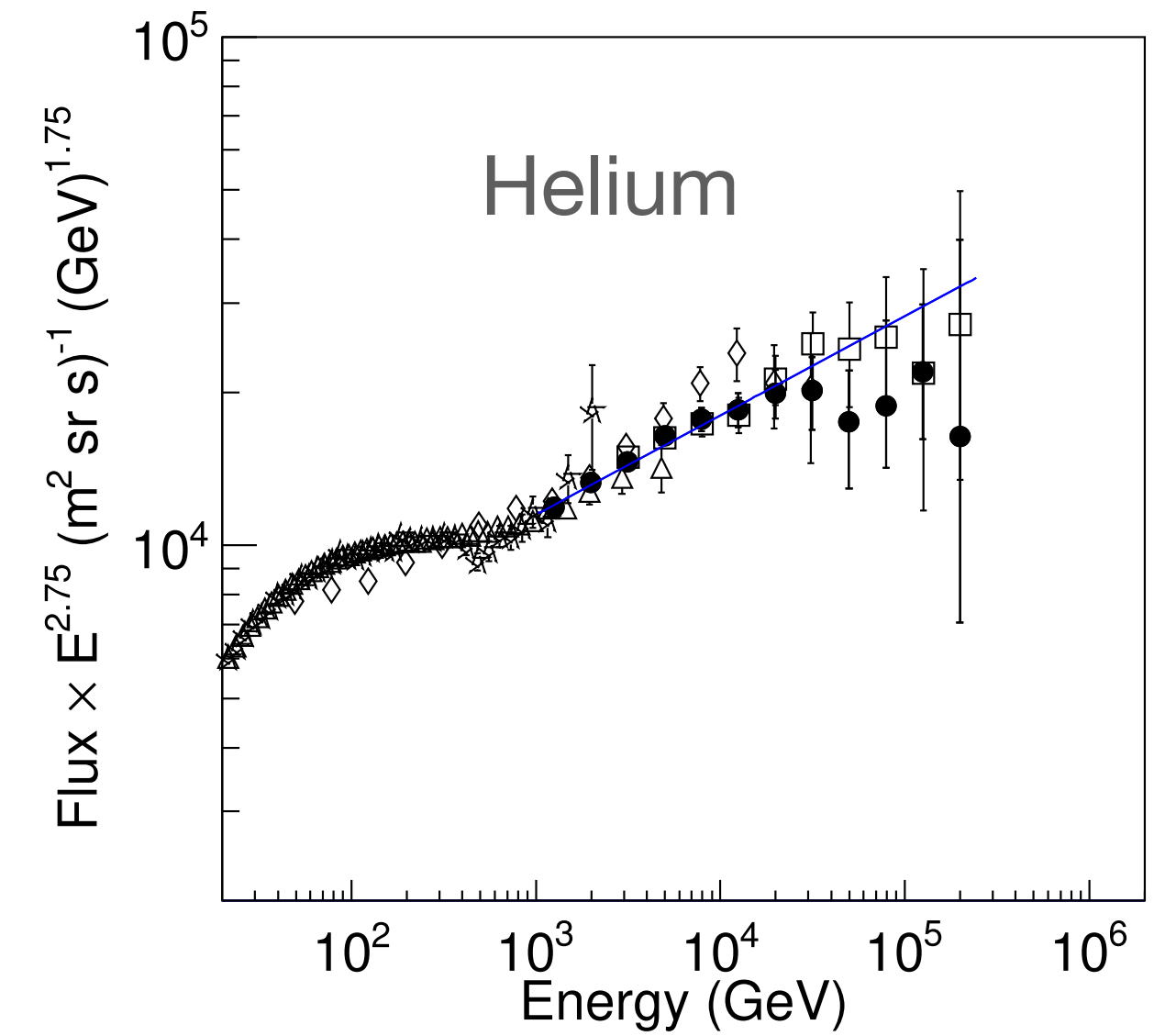
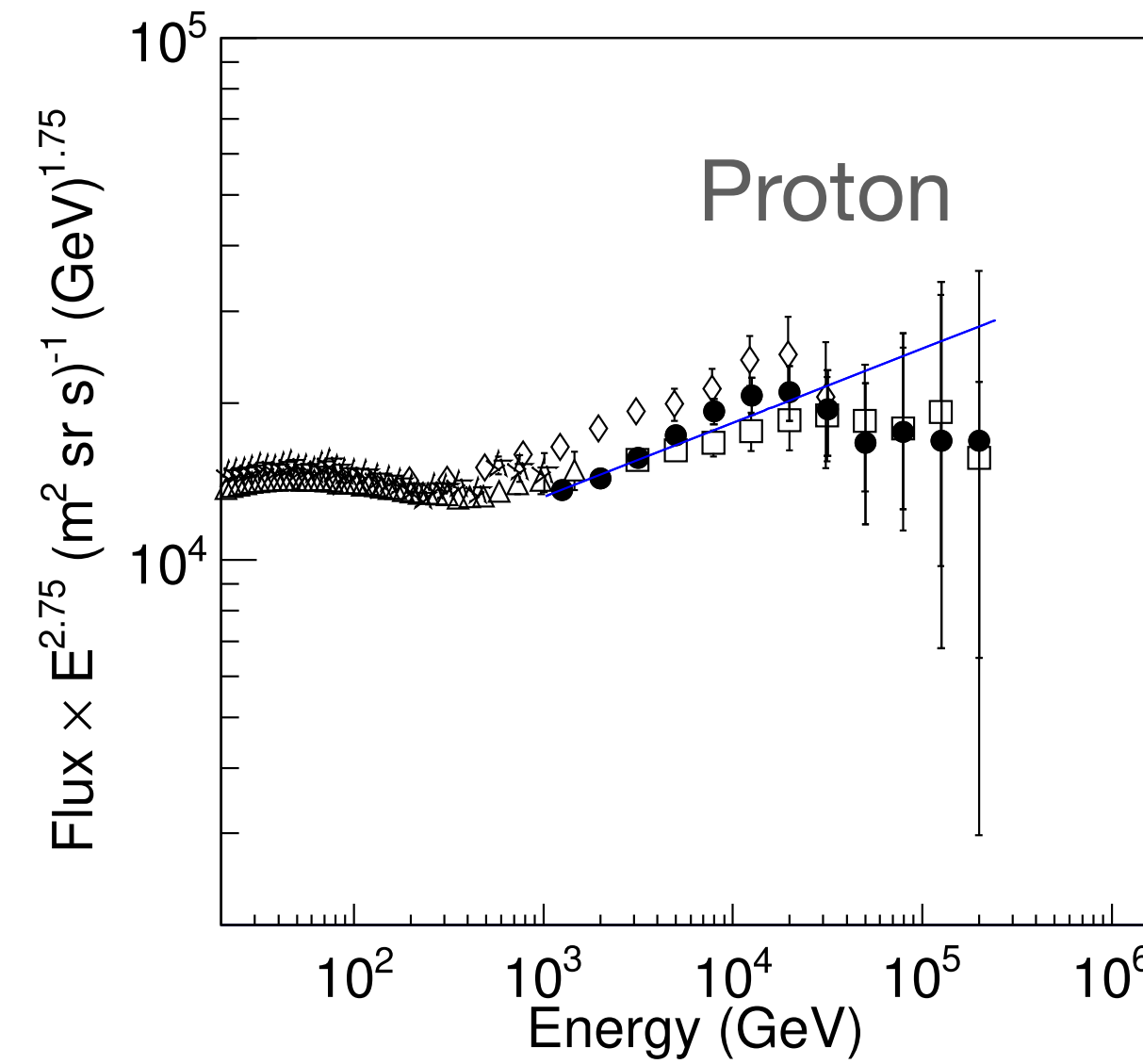


<http://stratocat.com.ar>



<https://cosmicray.umd.edu/cream>

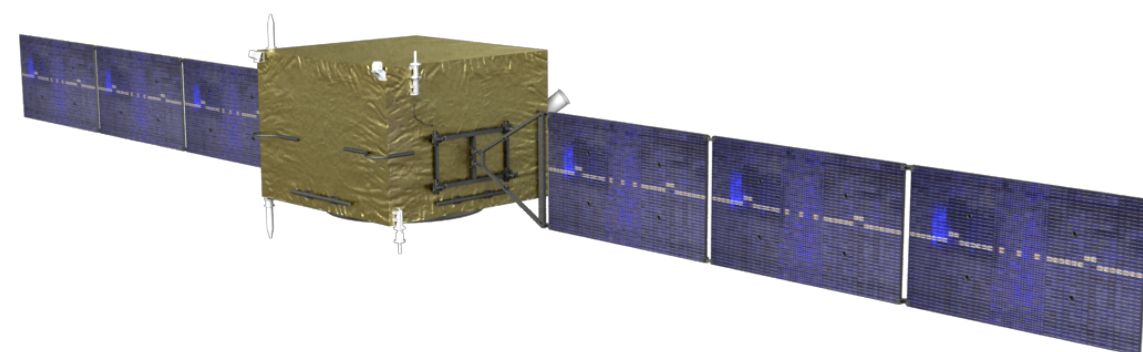
Y. S. Yoon *et al* 2017 *ApJ* 839 5



# Direct measurements

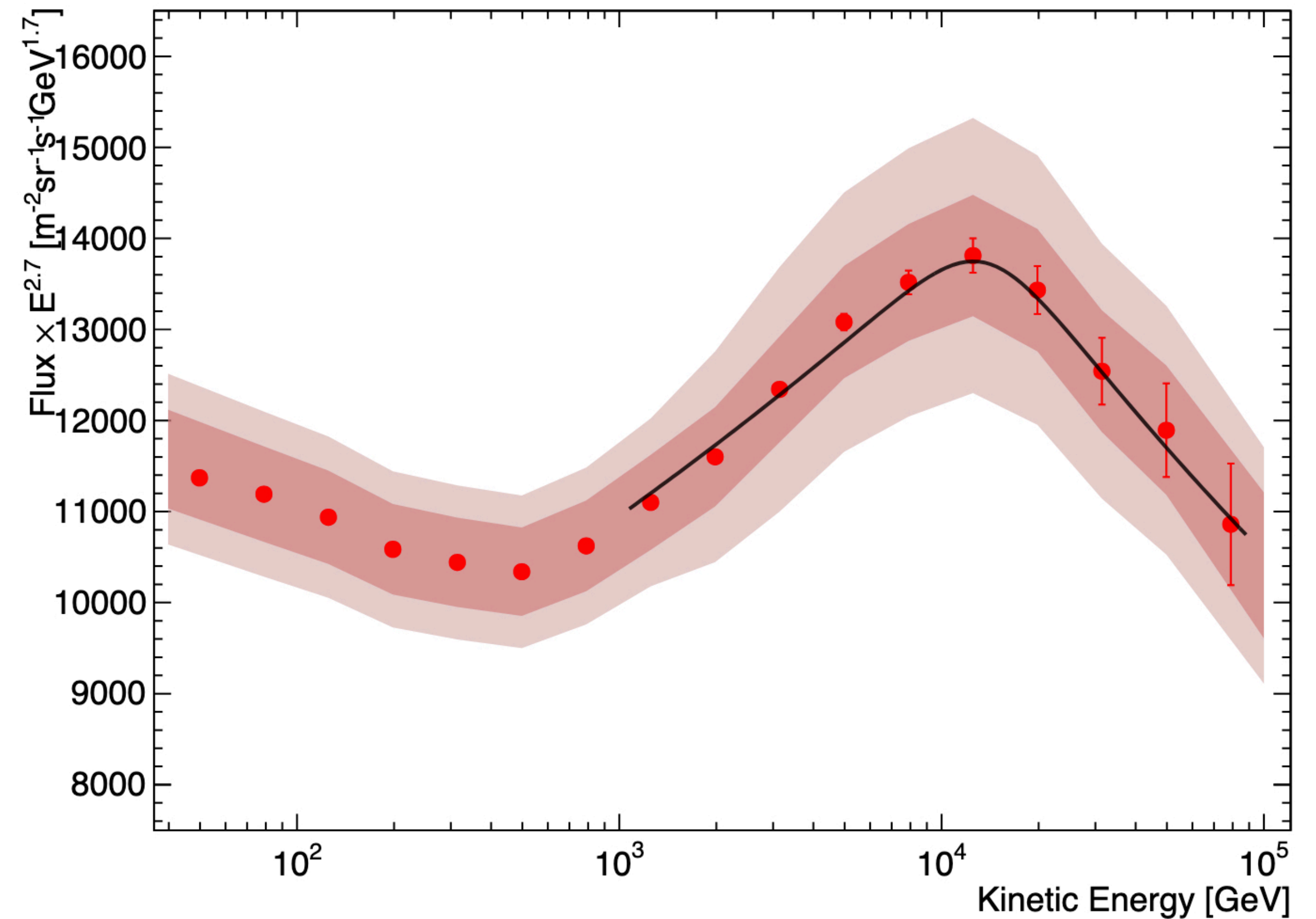
## 10 GeV - 100 TeV

- DAMPE:
- Measurements of the spectrum of protons (Q. An et al., 2019) between 40 GeV and 100 TeV;



<https://www.sitael.com>

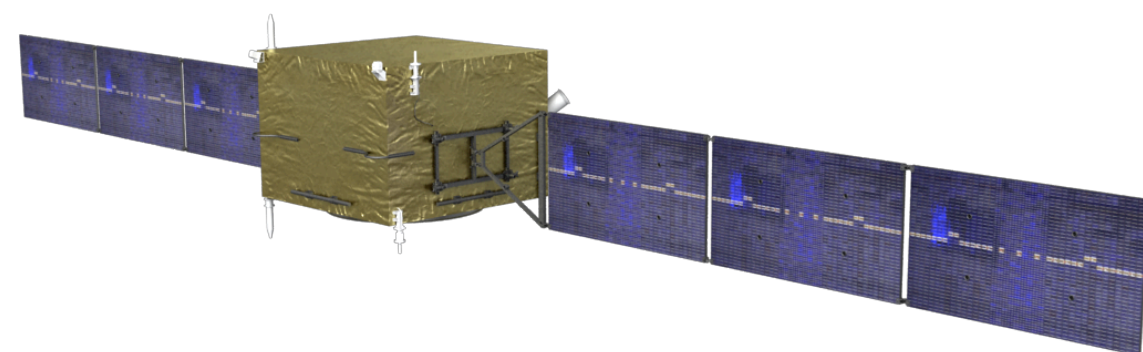
Q. An et al., Science Adv. (2019)



# Direct measurements

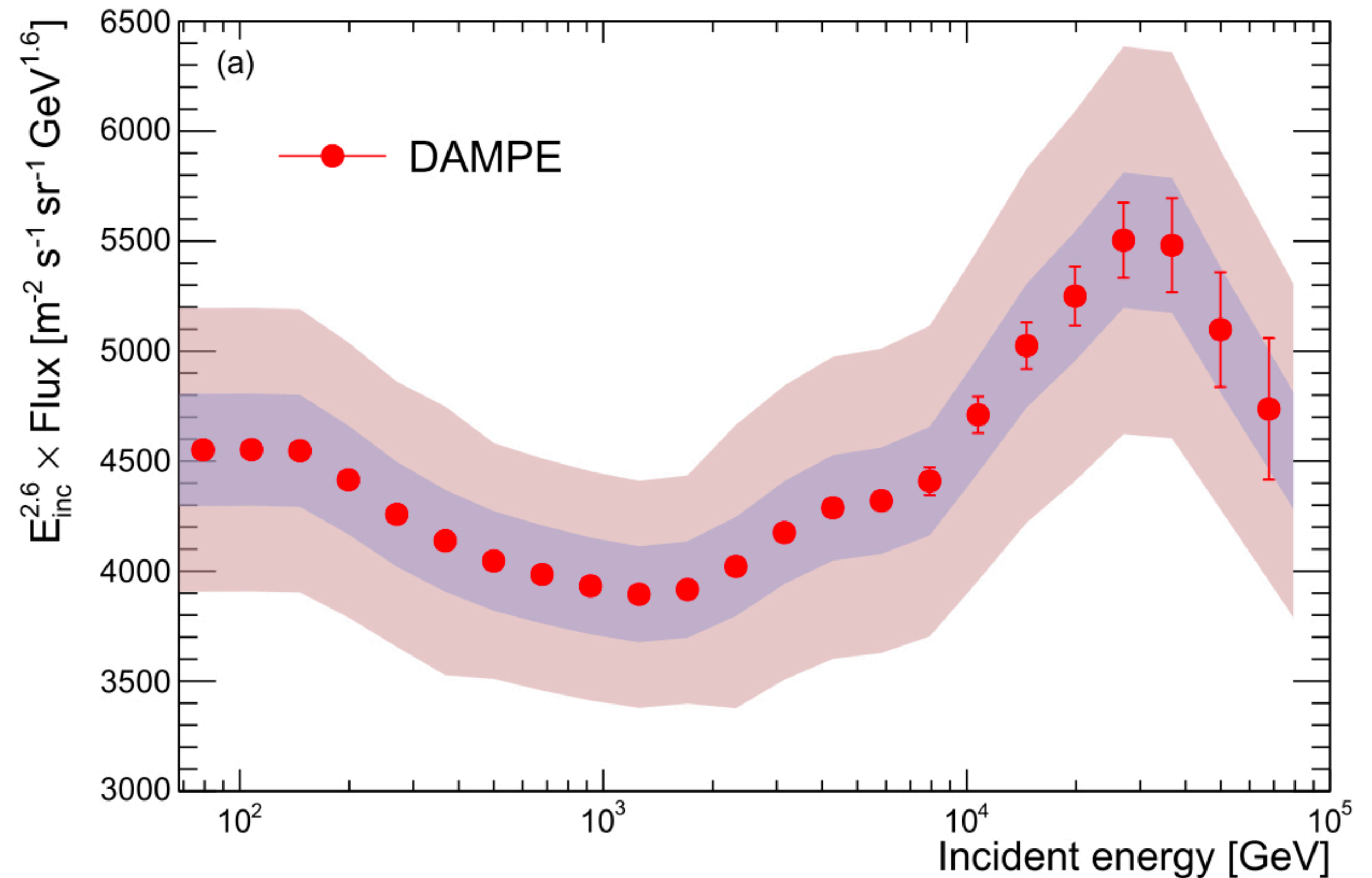
## 10 GeV - 100 TeV

- DAMPE:
- Measurements of the spectrum of protons (Q. An et al., 2019) between 40 GeV and 100 TeV;
- He for  $E = 70 \text{ GeV} - 80 \text{ TeV}$  (F. Alemanno et al., 2021).



<https://www.sitael.com>

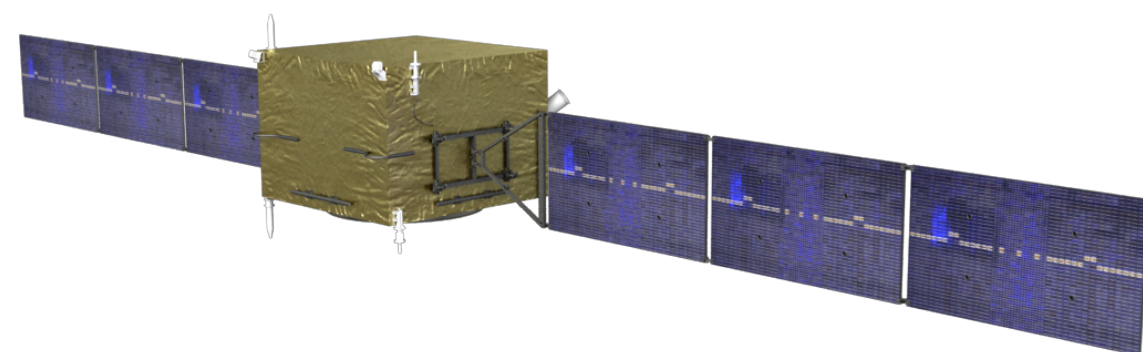
F. Alemanno et al., *Phys. Rev. Lett.* (2021)



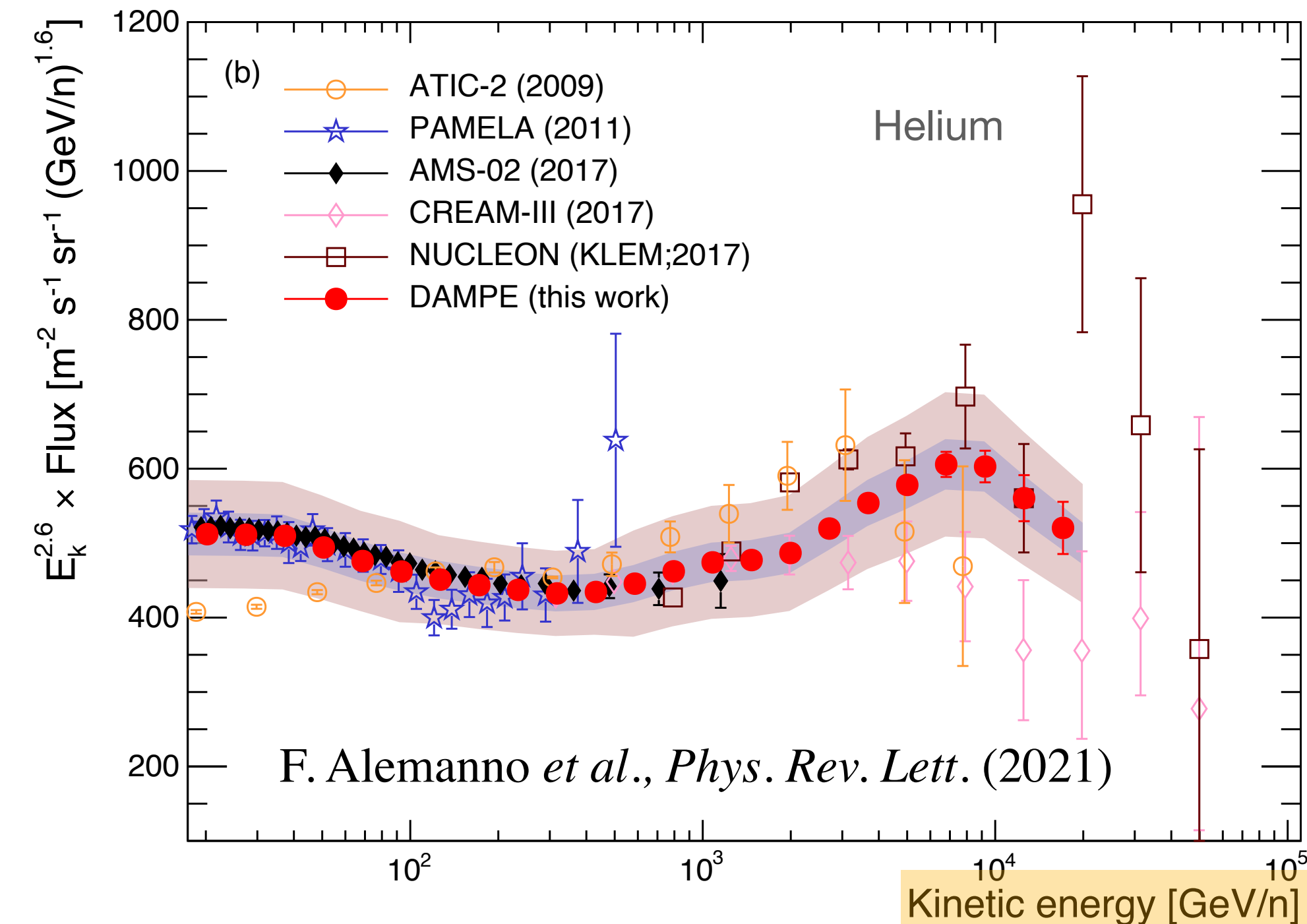
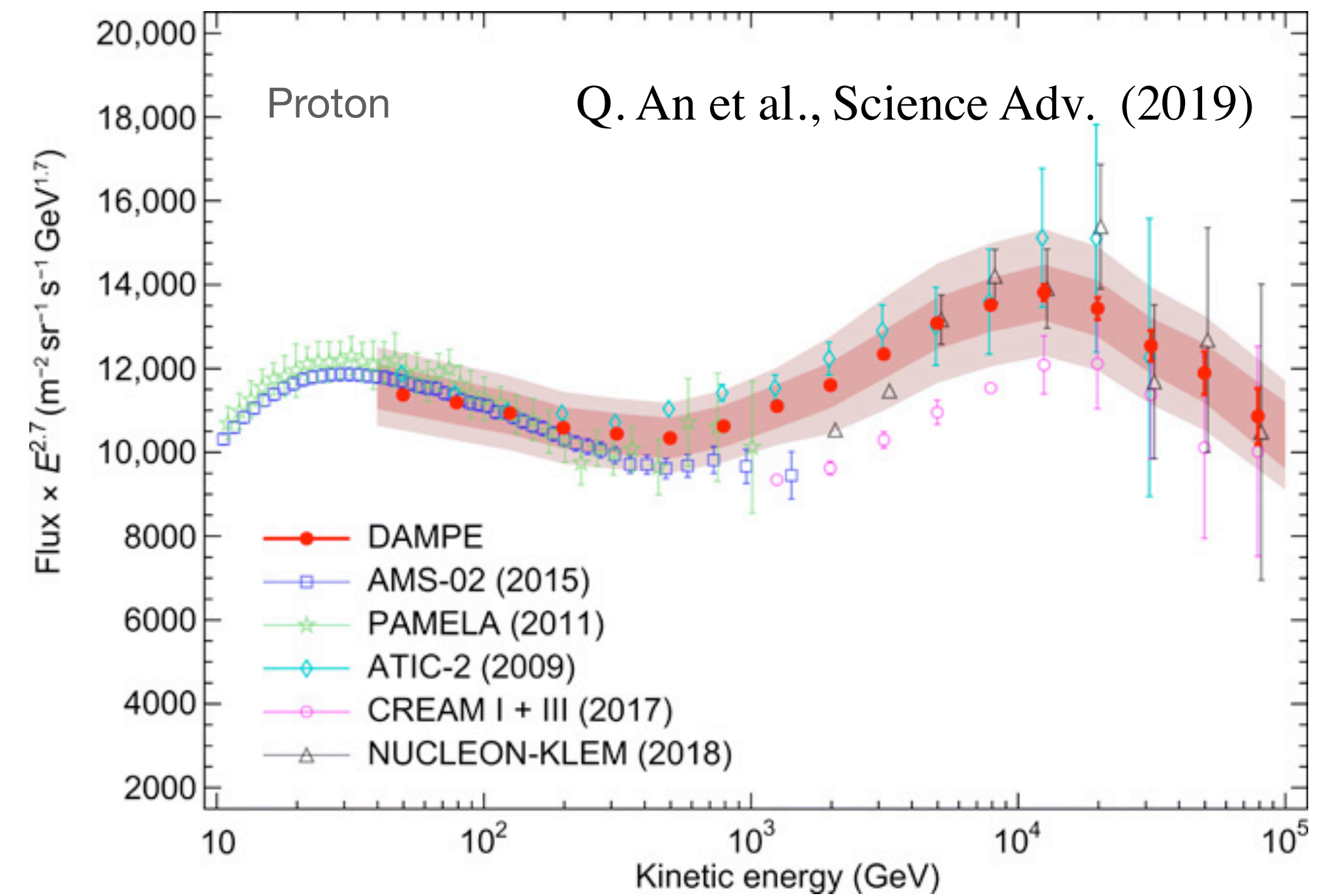
# Direct measurements

## 10 GeV - 100 TeV

- DAMPE:
  - Measurements of the spectrum of protons (Q. An et al., 2019) between 40 GeV and 100 TeV;
  - He for  $E = 70 \text{ GeV} - 80 \text{ TeV}$  (F. Alemanno *et al.*, 2021).
  - First confirmation of TeVs cutoffs in H and He spectra reported by ATIC-2 AND CREAM I-III.



<https://www.sitael.com>

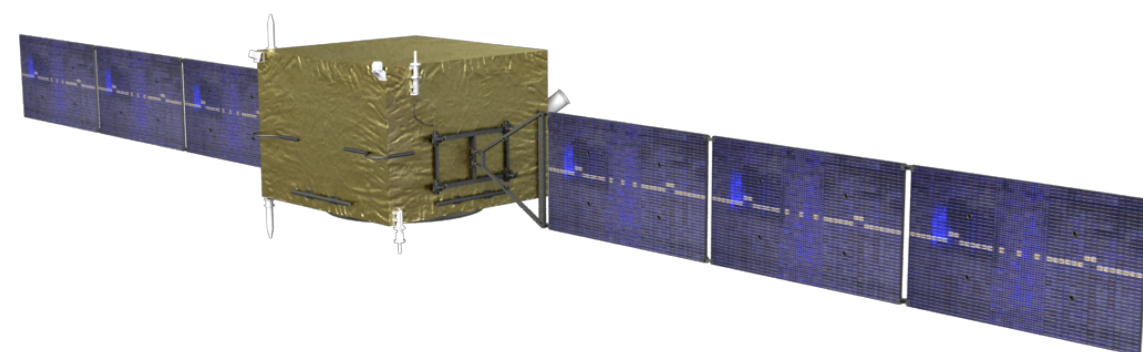




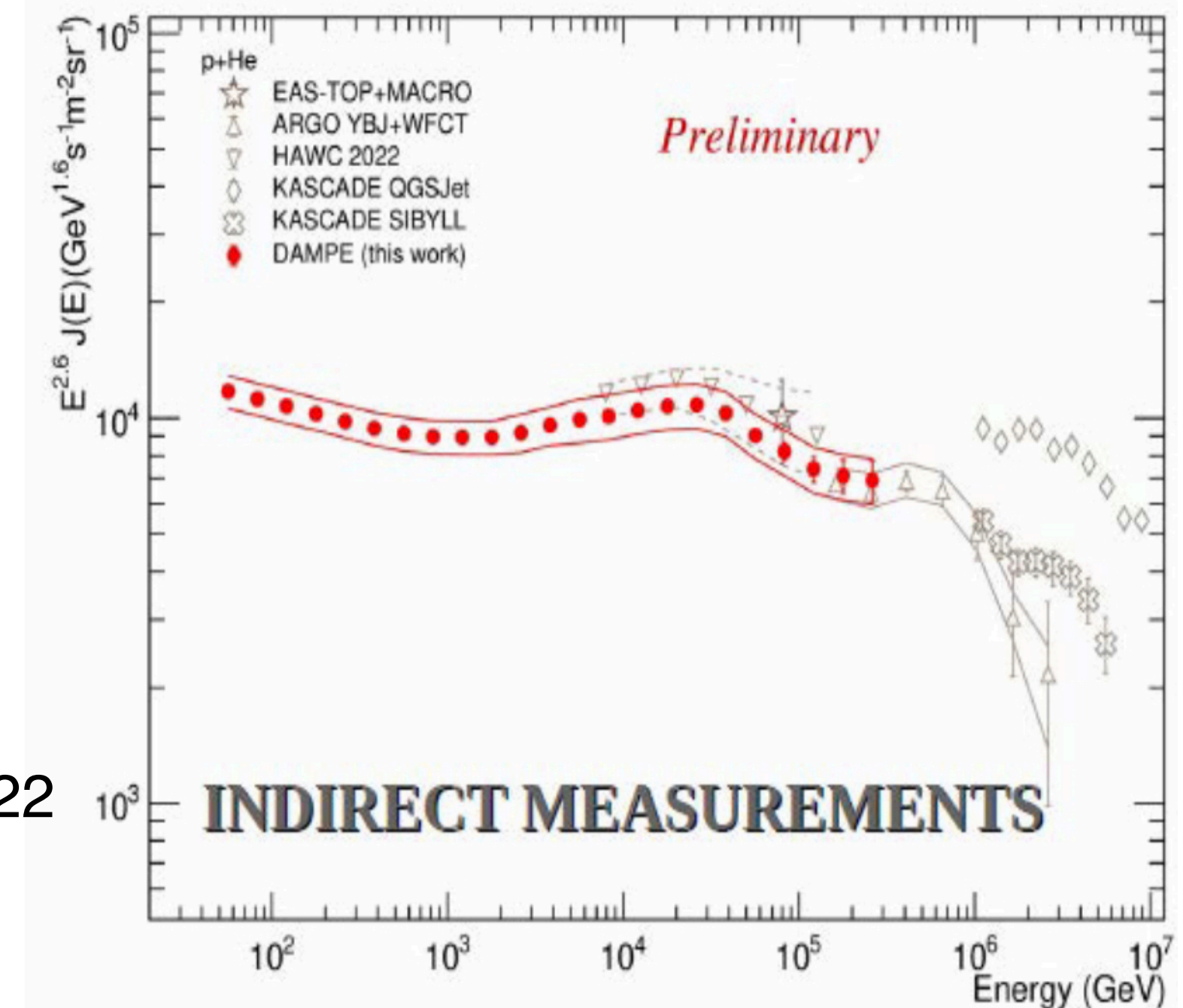
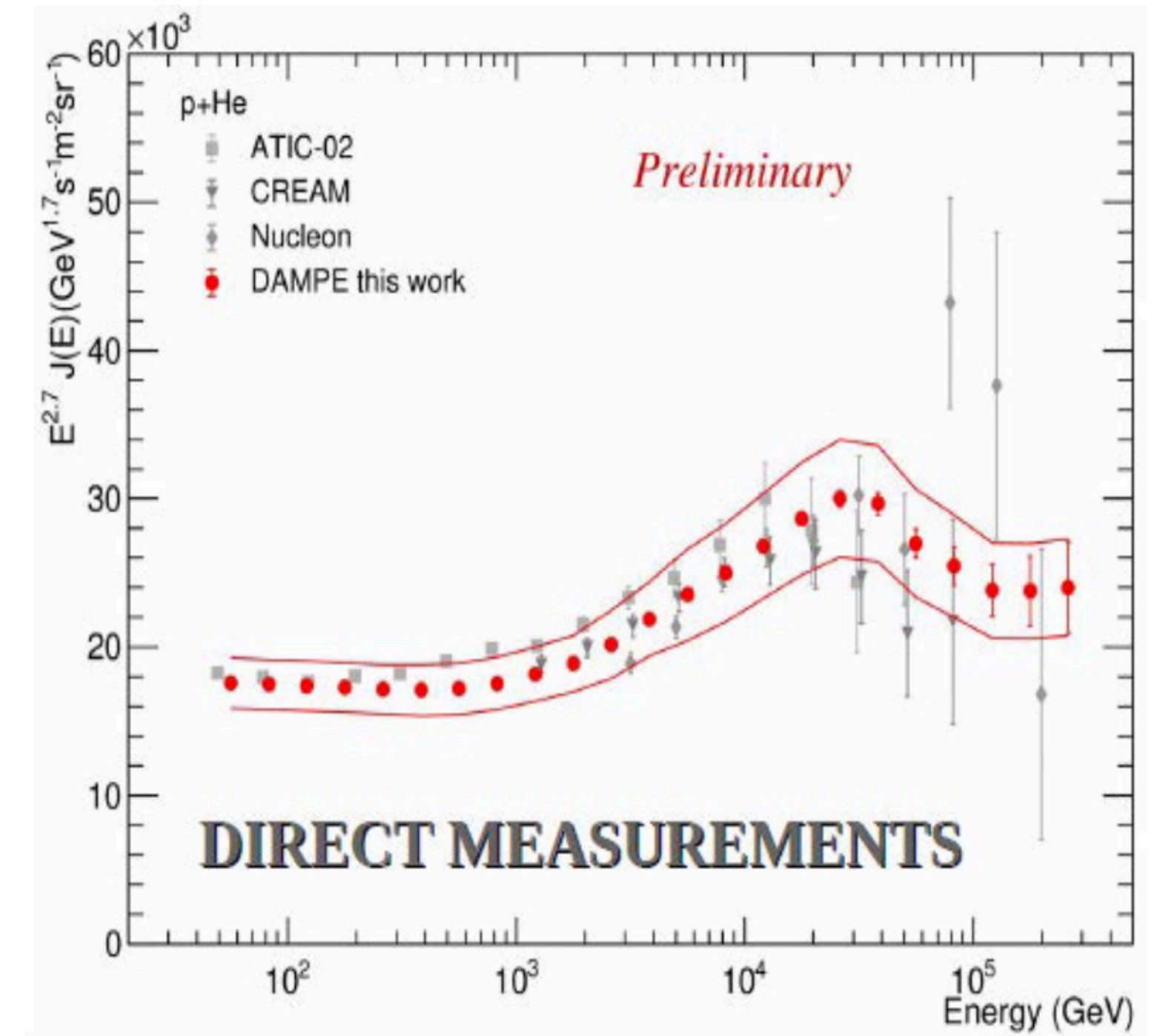
# Direct measurements

## 10 GeV - 100 TeV

- DAMPE:
  - Confirmation of the softening at  $\sim 25$  TeV for combination of p and He spectra
  - Extension to 300 TeV
  - Overlapping with indirect measurements



<https://www.sitael.com>



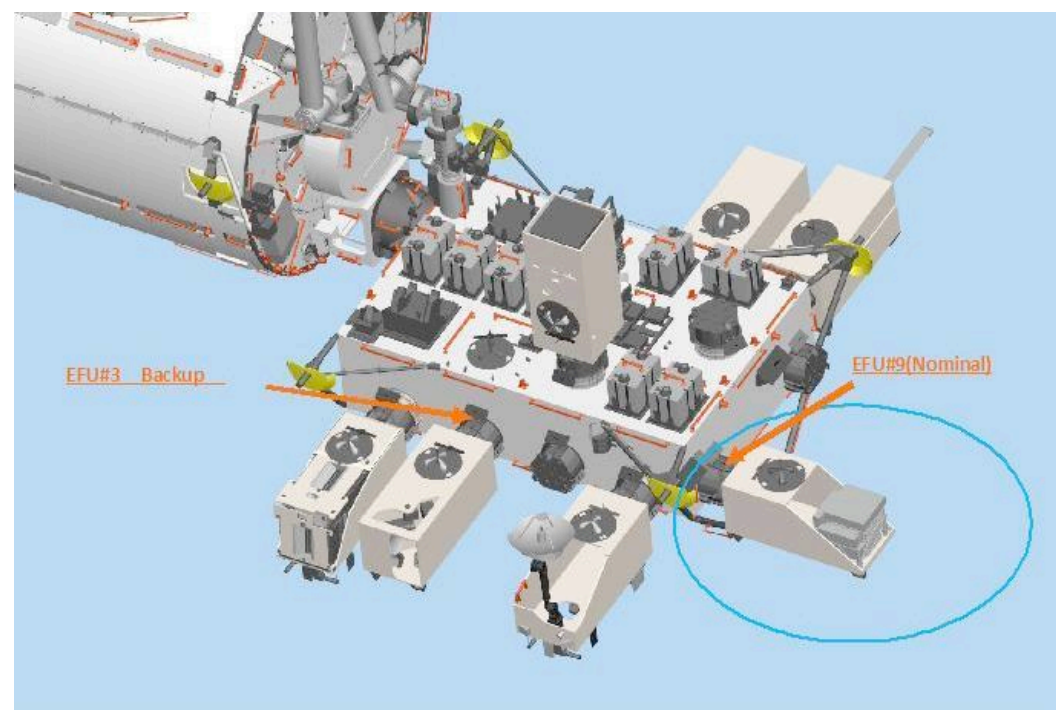
I. de Mitri, ECRS 2022



# Direct measurements

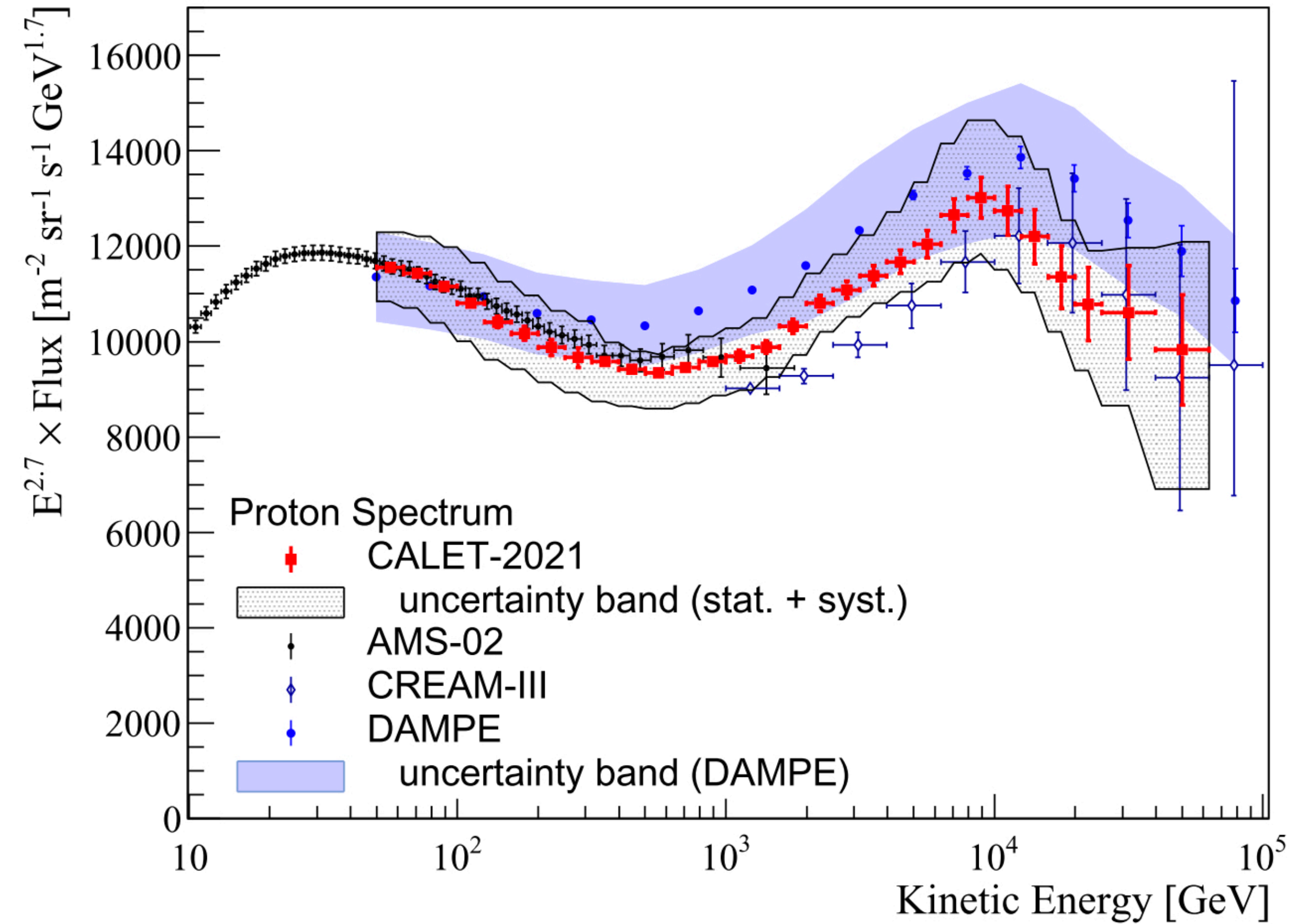
## 10 GeV - 100 TeV

- CALET: Measurements of the p and He spectrum confirm the cutoffs in the p spectrum between 50 GeV and 60 TeV.

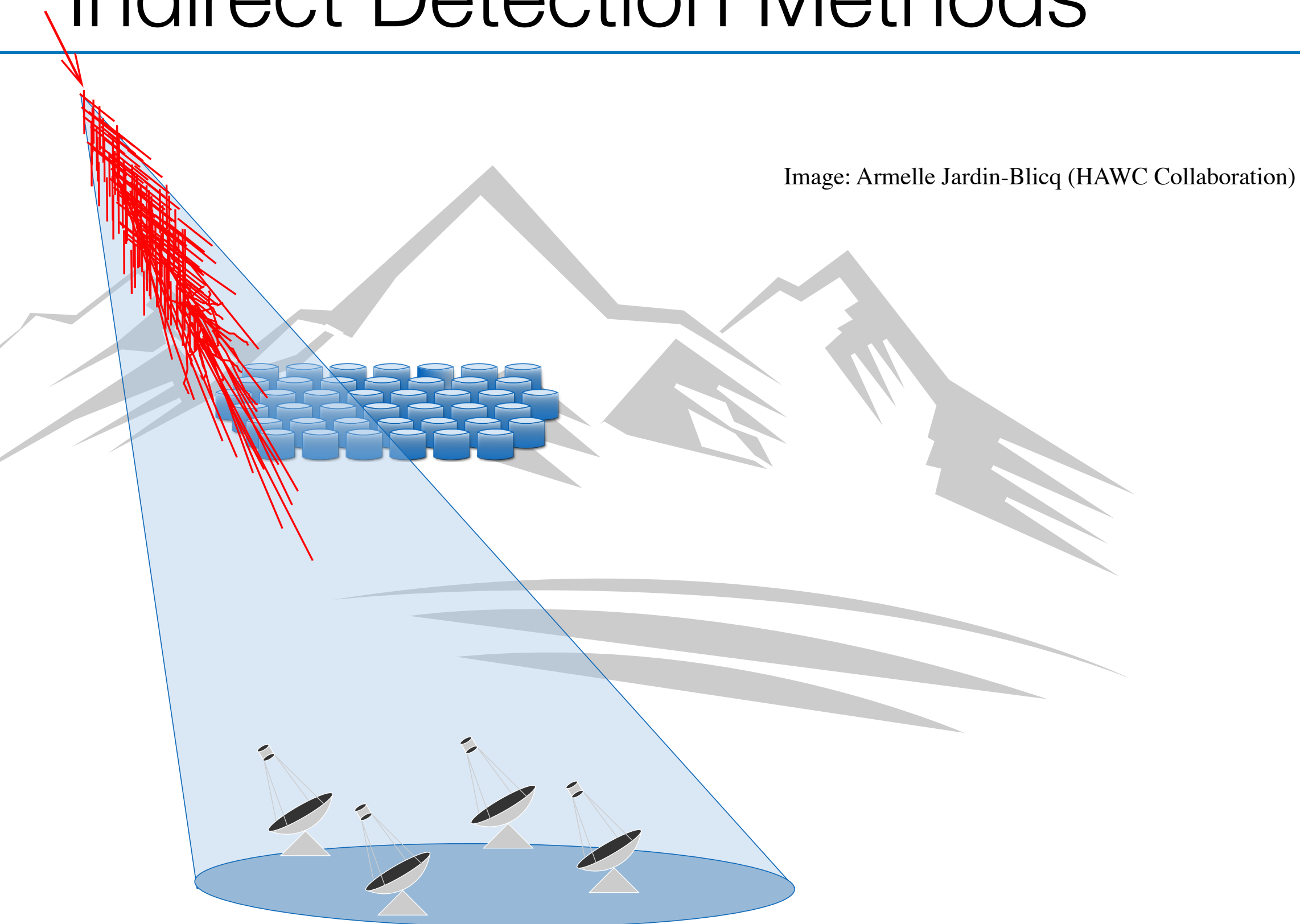


NASA

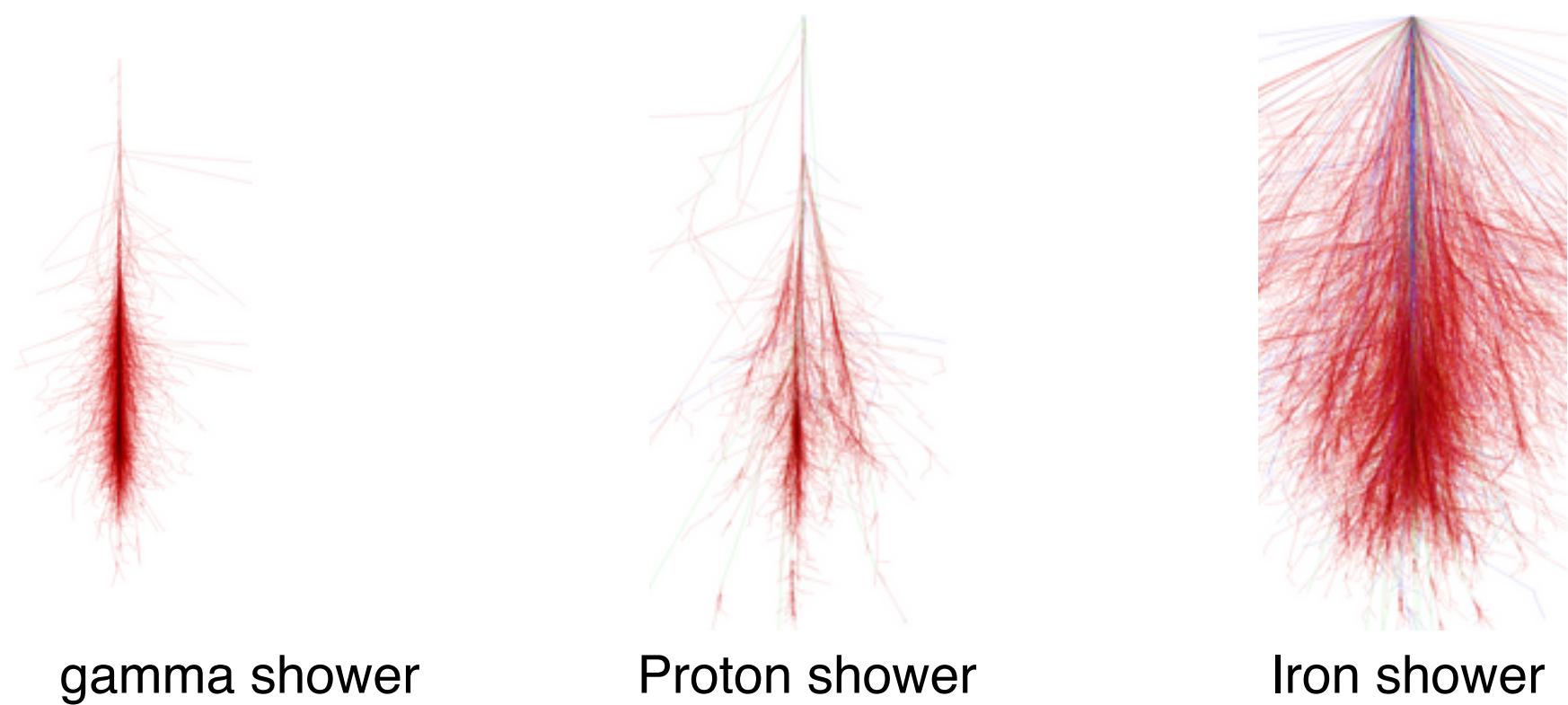
O. Adriani et al. Phys. Rev. Lett. (2022)



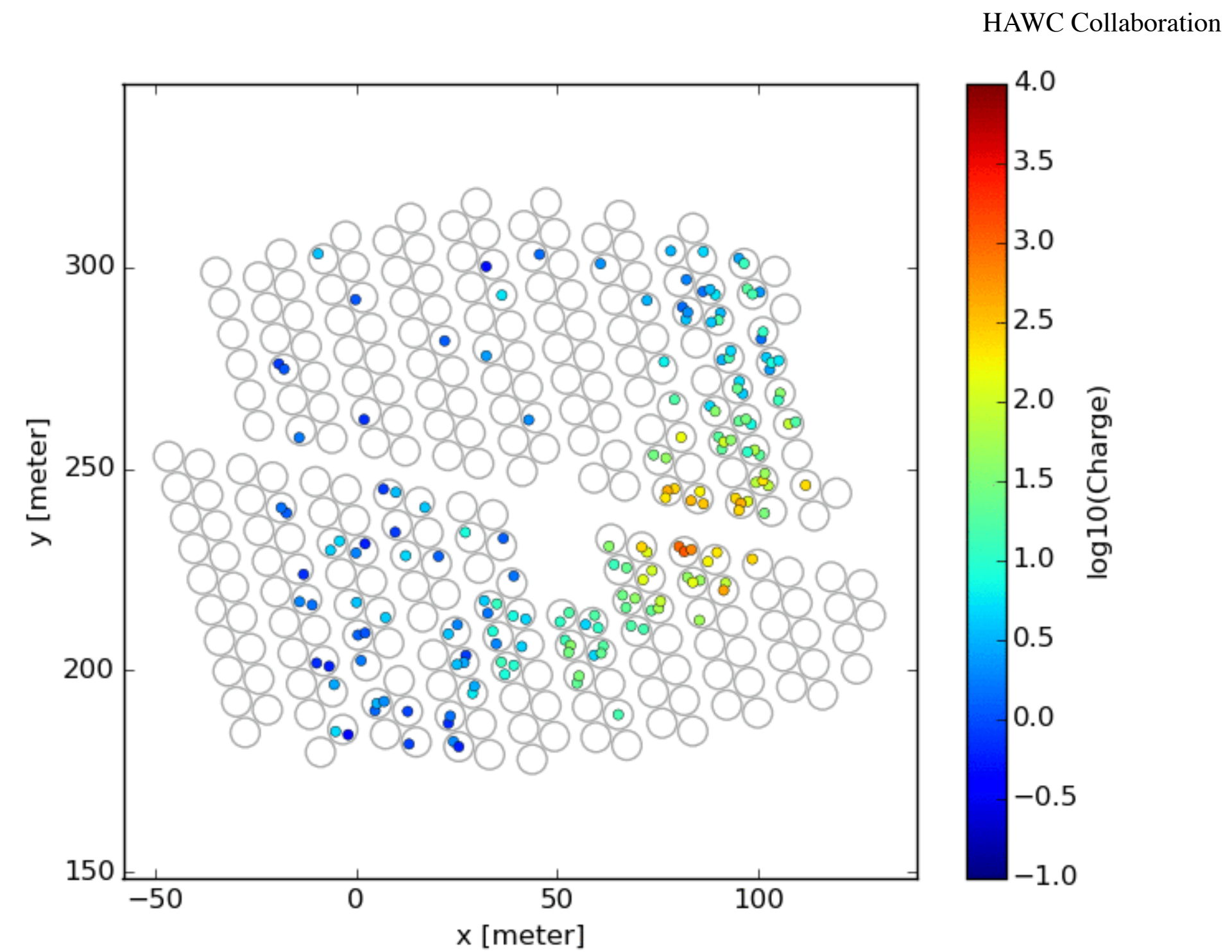
# Indirect Detection Methods



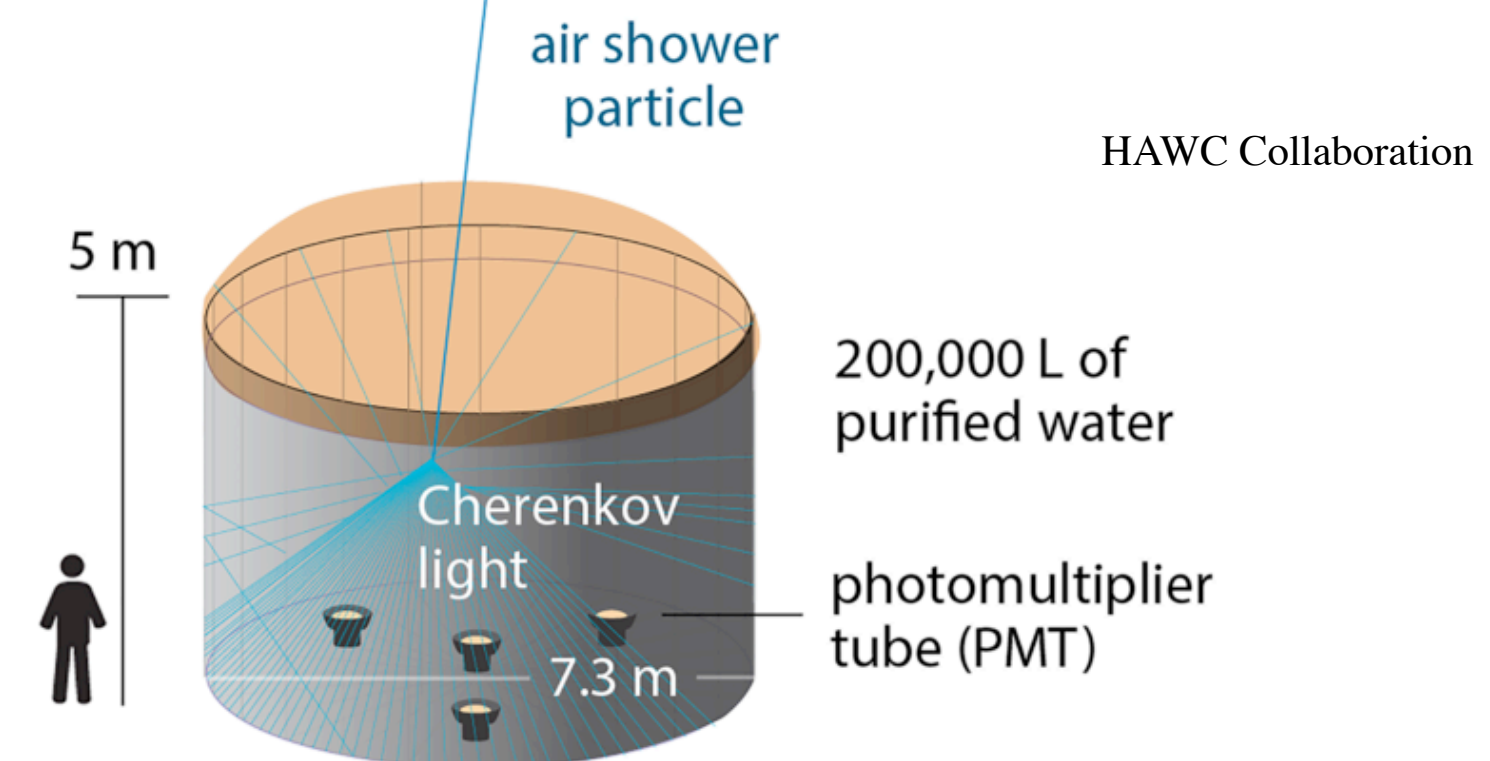
Imaging Atmospheric Cherenkov Telescope (IACT)



Images: Fabian Schmidt, University of Leeds, UK (<https://www.iap.kit.edu/corsikal/>)

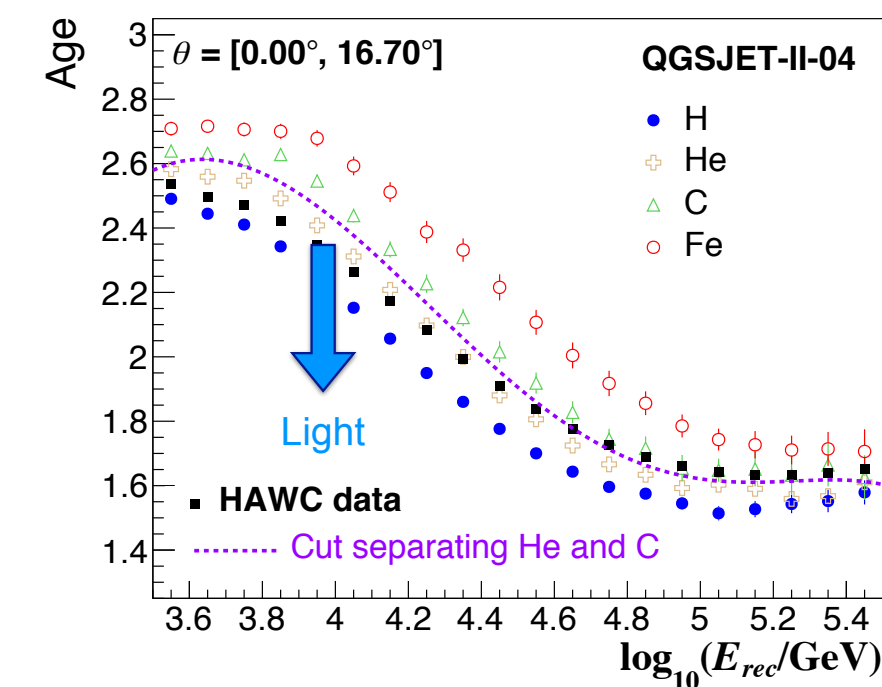
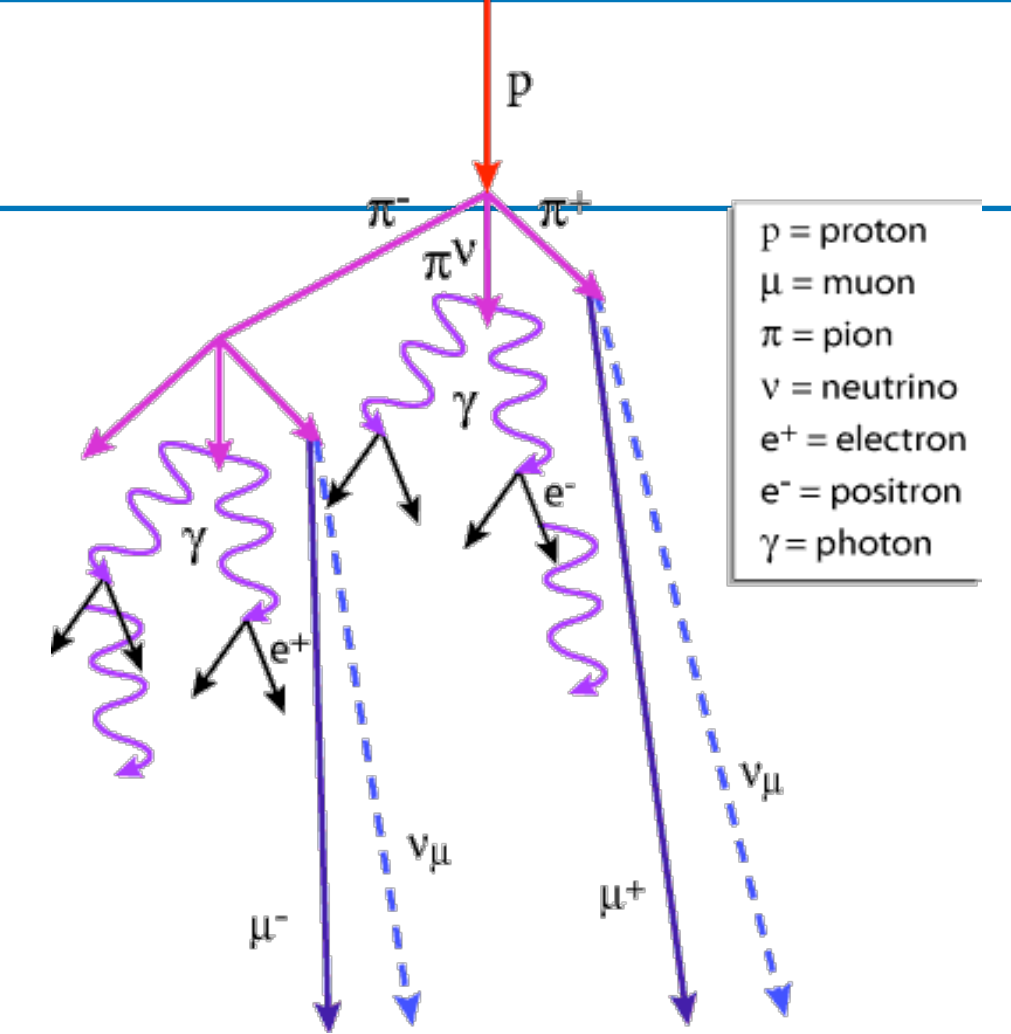


Extensive Air Shower Array (EAS)

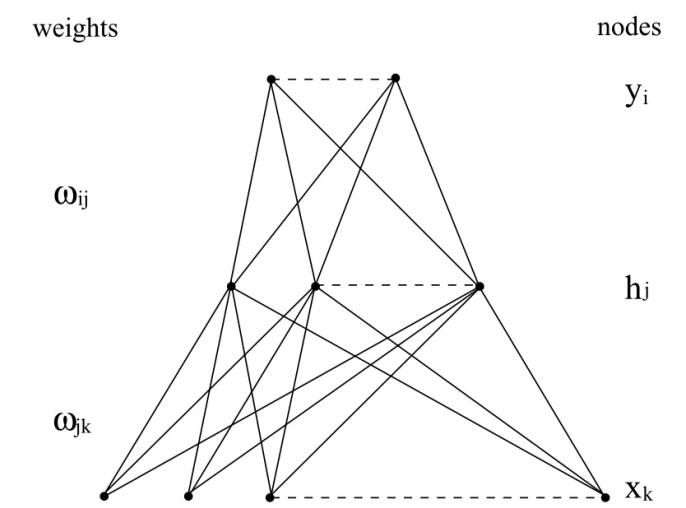


# Indirect Detection Methods

- ▶ Detection of secondary air shower particles
- ▶ Large variance in shower development
- ▶ Method:
  - ▶ Limited observables: deposited charge, lateral charge distribution, core location, arrival direction, etc.
  - ▶ Use Monte Carlo simulations to statistically separate different mass species based on observables:
    - ▶ Direct cuts
    - ▶ ML methods
  - ▶ (typically) use an unfolding method to derive physical spectrum from observed spectrum, accounting for effective area, efficiency.



J. C. Arteaga-Velázquez (ISVHE-CRI 2022)



Amenomori, M. *et al*, Phys. Rev. D (2000)

$$N(E_{reco}) = \frac{1}{\Omega} \int A_{eff}(E, E_{reco}) N(E) dE,$$

$$P(E|E_{reco}) = \frac{P(E_{reco}|E)P(E)}{\epsilon(E)\sum_{E'}P(E_{reco}|E')P(E')} \quad N(E) = \sum_{E_{reco}} N(E_{reco})P(E|E_{reco})$$

G. D'Agostini, Nucl. Inst. Meth. Phys. Res., **362** (1995).

# Early Ground-based Measurements

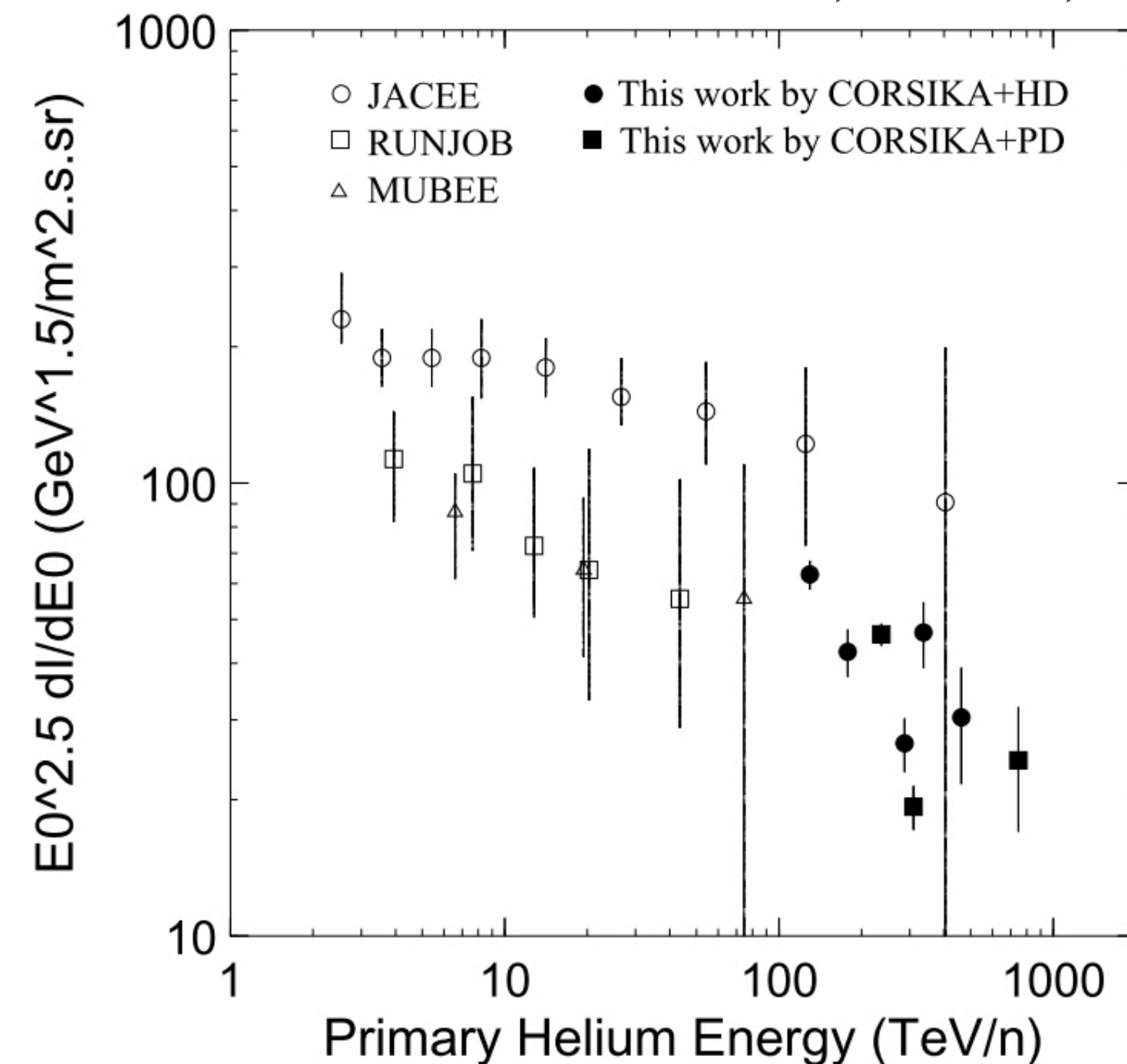
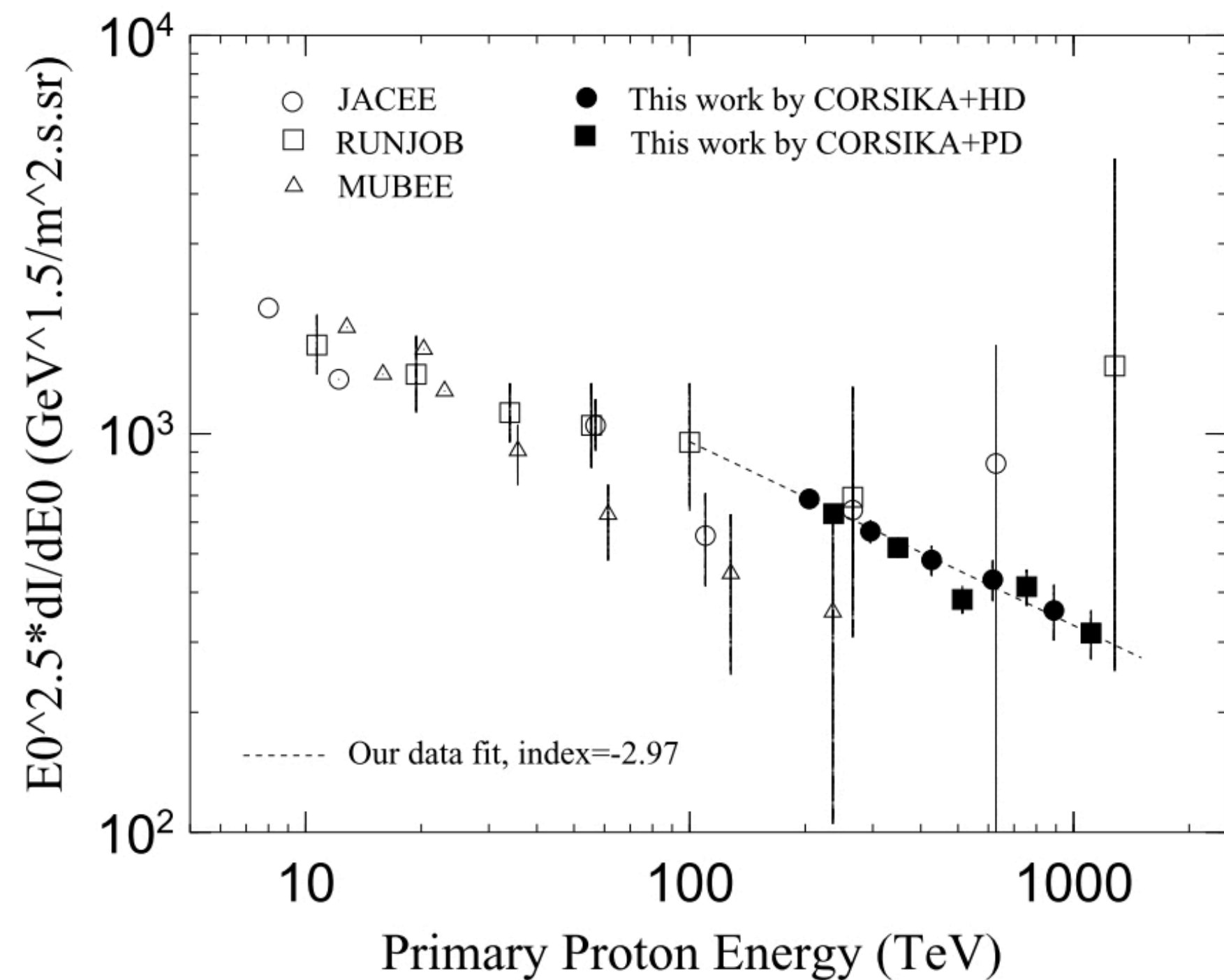
Sciascio, Giuseppe, Sciences. 12. 705. (2022).

## EAS Experiments 10 TeV - 1 PeV

- **TIBET** measured the energy spectrum of H and He for  $E = 200 \text{ TeV} - 1 \text{ PeV}$ .
- **EAS-TOP** with **MACRO**, on the intensity of H, He and CNO primaries.
- **KASCADE**, on the flux of p primaries [6].
- **ARGO-YBJ** performed measurements on the spectrum of the H+He mass group.



Amenomori, M. *et al*, Phys. Rev. D (2000)

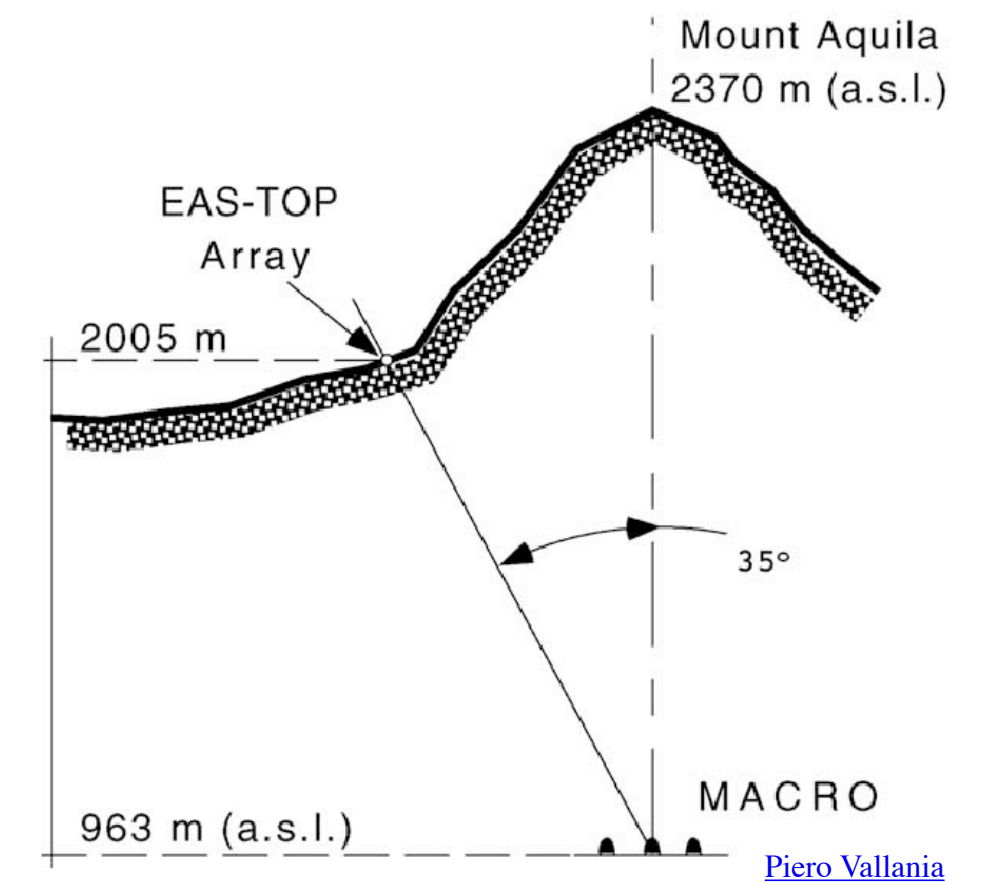


# Early Ground-based Measurements

EAS Array+Deep Underground  $\mu$ -detector

## EAS Experiments 10 TeV - 1 PeV

- **TIBET** measured the energy spectrum of H and He for  $E = 200 \text{ TeV} - 1 \text{ PeV}$ .
- **EAS-TOP** with **MACRO**, on the intensity of H, He and CNO primaries .
- **KASCADE**, on the flux of p primaries .
- **ARGO-YBJ** performed measurements on the spectrum of the H+He mass group.



Aglietta, M. *et al*, *Astro. Phys.* **21** (2004)

Table 5  
Comparison (a) of the present results alone and (b) combined with the direct p-flux measurements, with the JACEE and RUNJOB data

Quantity(*)	EAS-TOP and MACRO	JACEE	RUNJOB
(a) $J_{p+He}(80 \text{ TeV})$	$18 \pm 4$	$12 \pm 3$	$8 \pm 2$
(b) $J_{He}(80 \text{ TeV})$	$12.7 \pm 4.4$	$6.4 \pm 1.4$	$3.1 \pm 0.7$
(b) $\frac{J_p}{J_{p+He}}(80 \text{ TeV})$	$0.29 \pm 0.09$	$0.45 \pm 0.12$	$0.63 \pm 0.20$
(a) $J_{p+He+CNO}(250 \text{ TeV})$	$1.1 \pm 0.3$	$0.7 \pm 0.2$	$0.5 \pm 0.1$
(a) $\frac{J_{p+He}}{J_{p+He+CNO}}(250 \text{ TeV})$	$0.78 \pm 0.17$	$0.70 \pm 0.20$	$0.76 \pm 0.25$

CNO data and all errors of JACEE and RUNJOB are interpreted by ourselves from plots. (\*)Intensity units are  $10^{-7} \text{ m}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ TeV}^{-1}$ .

Aglietta, M. *et al*, *Astro. Phys.* **10** (1999)

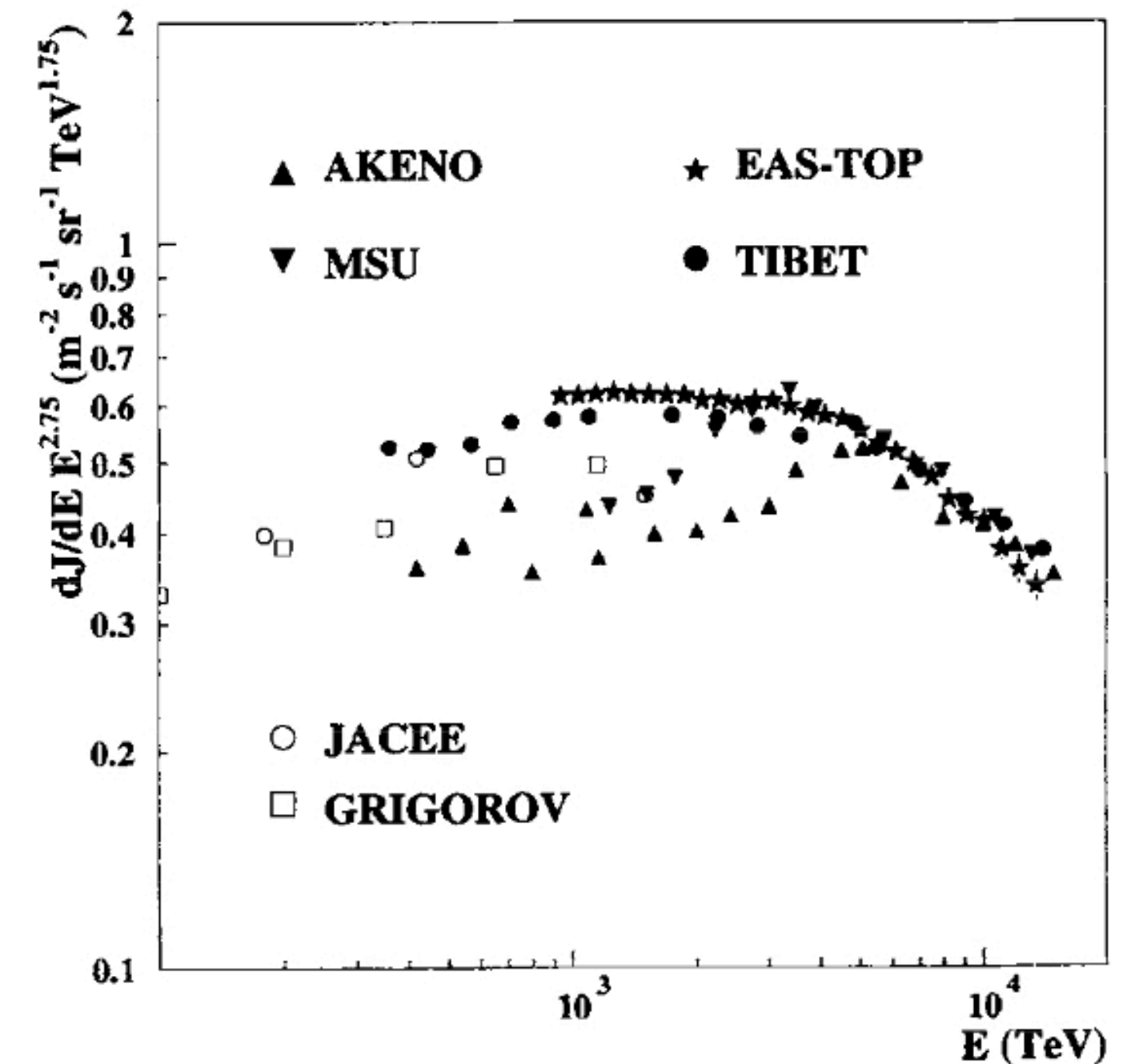


Fig. 7. The all particle spectrum obtained from the EAS-TOP shower size data, compared with the results of other experiments operating outside the atmosphere or at ground level (see text for references).

# Early Ground-based Measurements

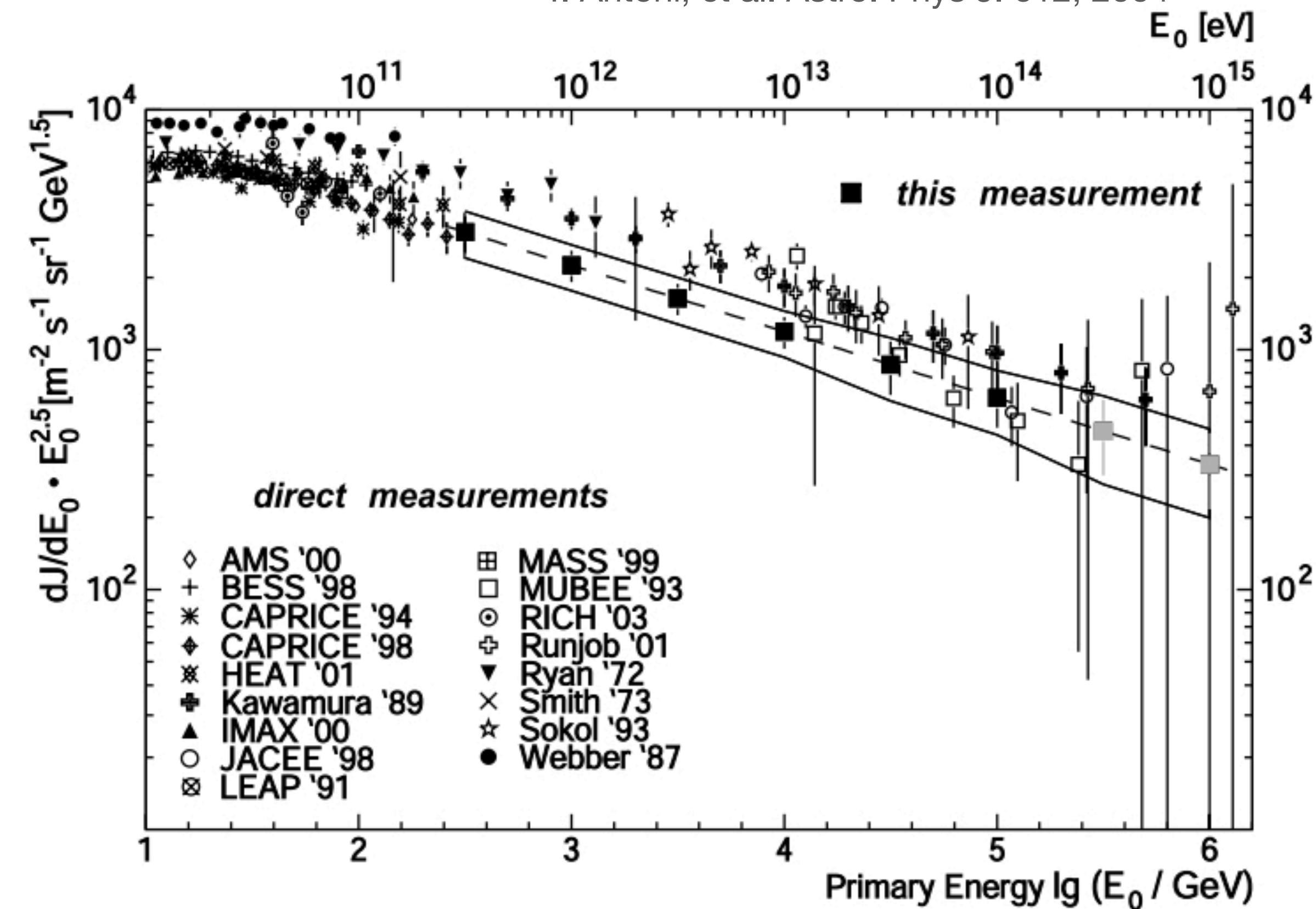
<https://www.iap.kit.edu/kascade>



## EAS Experiments 10 TeV - 1 PeV

- TIBET measured the energy spectrum of H and He for  $E = 200 \text{ TeV} - 1 \text{ PeV}$ .
- EAS-TOP with MACRO, on the intensity of H, He and CNO primaries .
- KASCADE, on the flux of p primaries .
- ARGO-YBJ performed measurements on the spectrum of the H+He mass group.

T. Antoni, et al. Astro. Phys J. 612, 2004





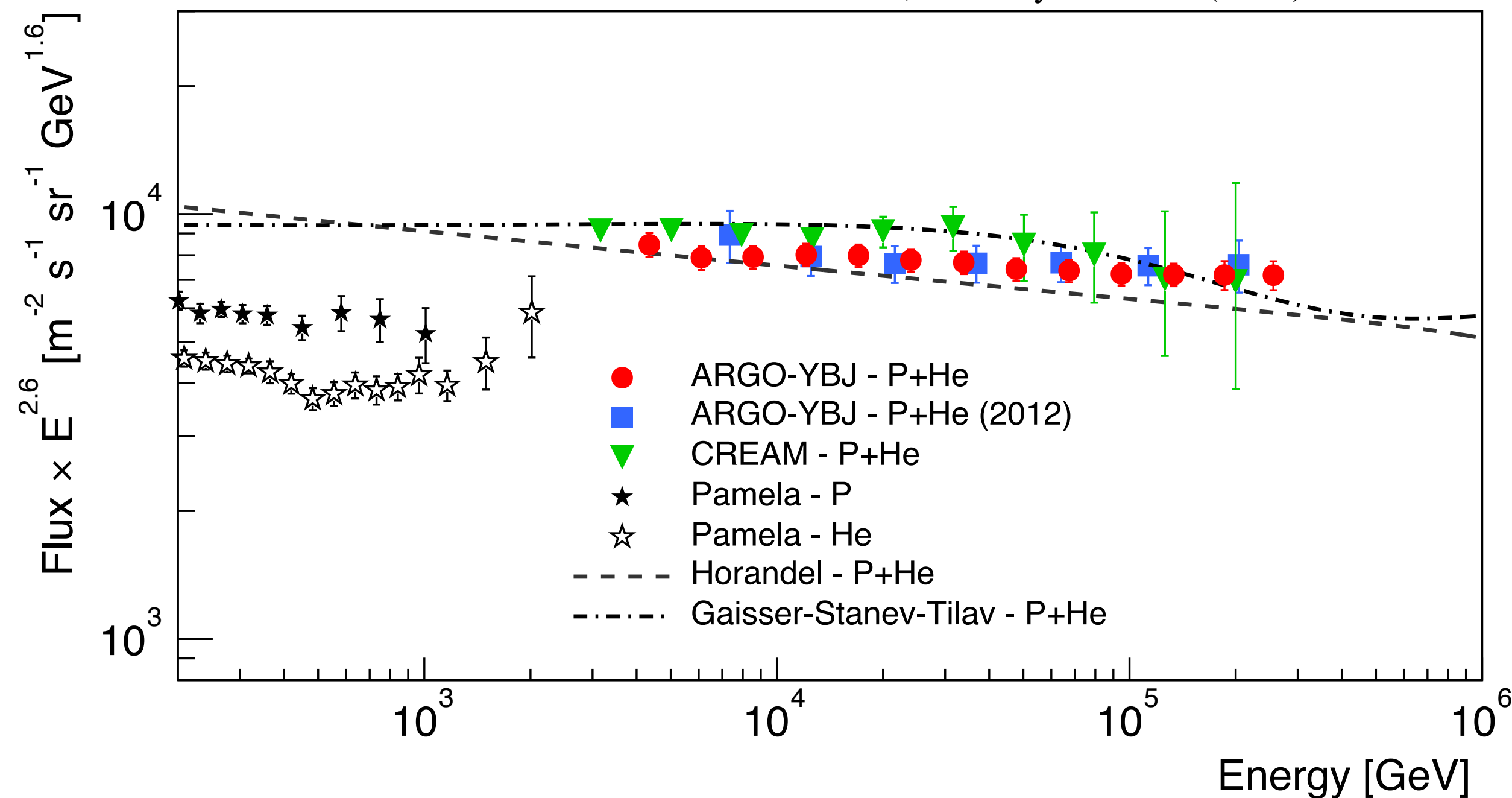
# Early Ground-based Measurements



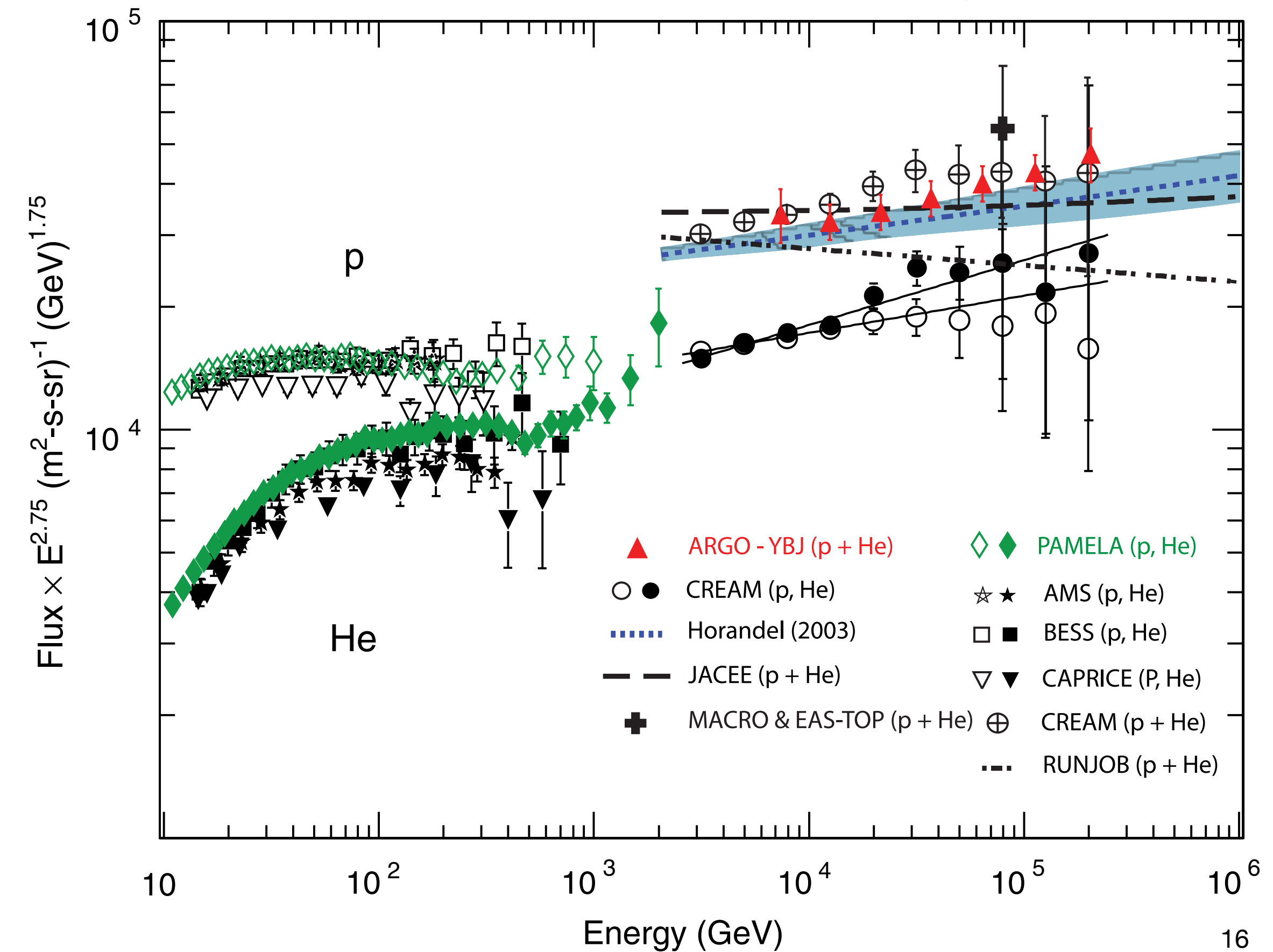
## EAS Experiments 10 TeV - 1 PeV

- **TIBET** measured the energy spectrum of H and He for  $E = 200 \text{ TeV} - 1 \text{ PeV}$ .
- **EAS-TOP** with **MACRO**, on the intensity of H, He and CNO primaries .
- **KASCADE**, on the flux of p primaries .
- **ARGO-YBJ** performed measurements on the spectrum of the H+He mass group.
  - Consistent with single power law with  $\gamma = -2.64 \pm 0.01$

B. Bartoli, et al. Phys. Rev. D (2015)



B. Bartoli, et al. Phys. Rev. D (2012)



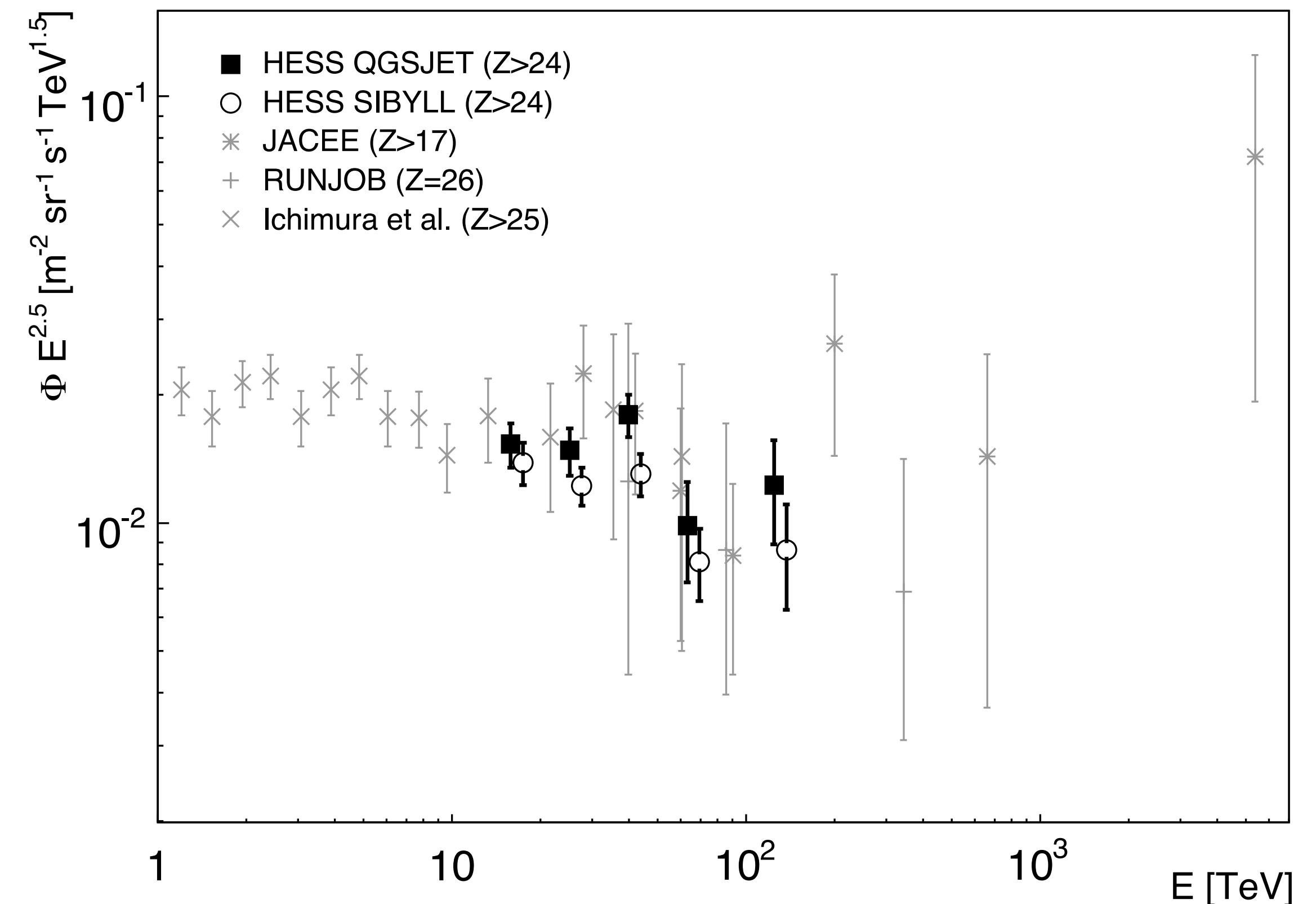


# Other Recent Ground-based Measurements

## IACT Experiments 10 TeV - 1 PeV

- HESS, on the spectrum of Fe nuclei.  
Consistent with single power law:  $\gamma = 2.62 \pm 0.17$
- One of the first indirect observations in agreement with direct measurements of Fe component.

F. Aharonian, et al, Physical Review D (2007)



# Other Recent Ground-based Measurements

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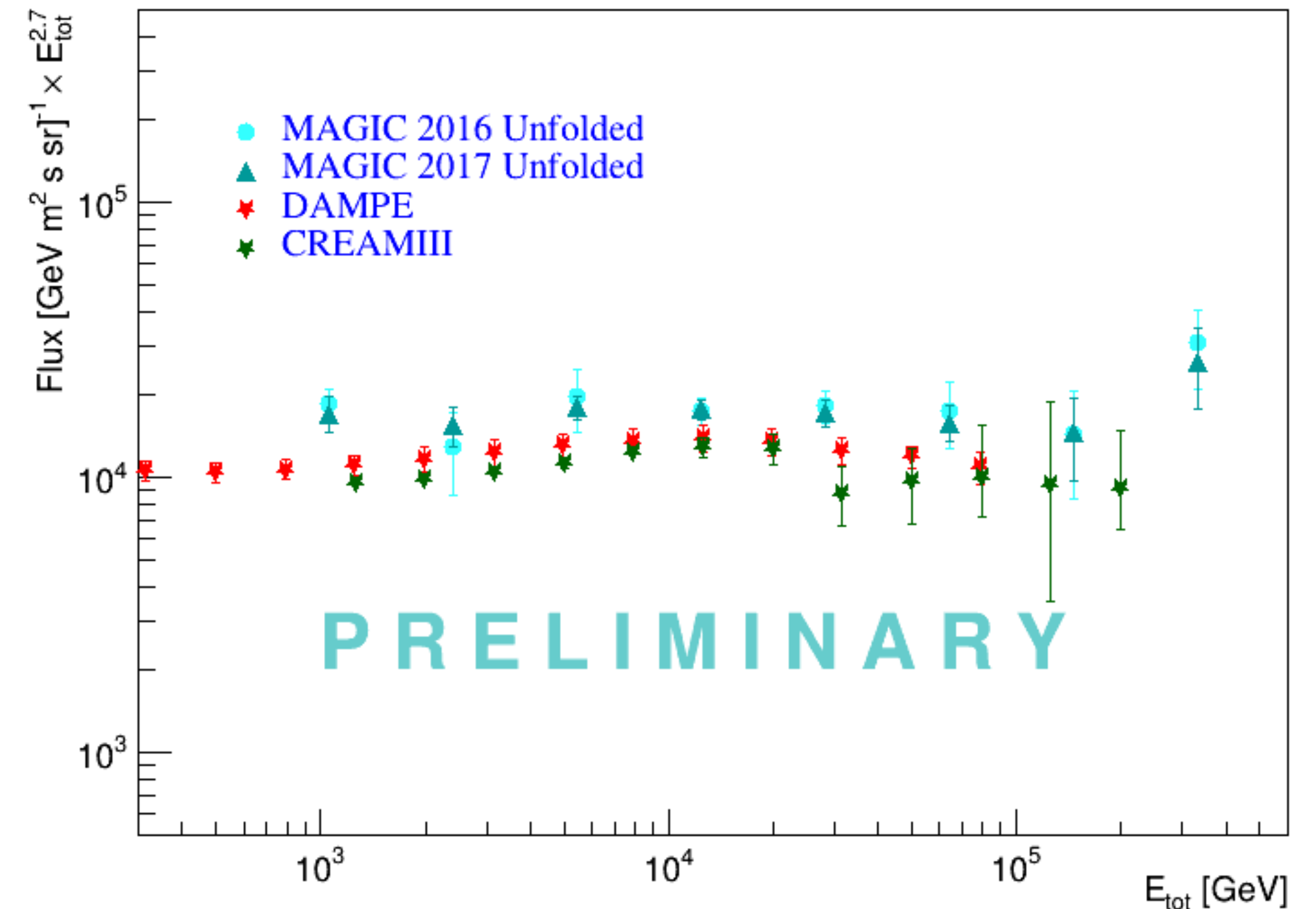


## IACT Experiments 10 TeV - 1 PeV

- HESS, on the spectrum of Fe nuclei.
- MAGIC, on the intensity of protons

P. Temnikov, et al. ICRC (2021)

- Preliminary results shown at ICRC2021 (not included in proceedings).
- Protons discriminated from other nuclei through ML



# Other Recent Ground-based Measurements

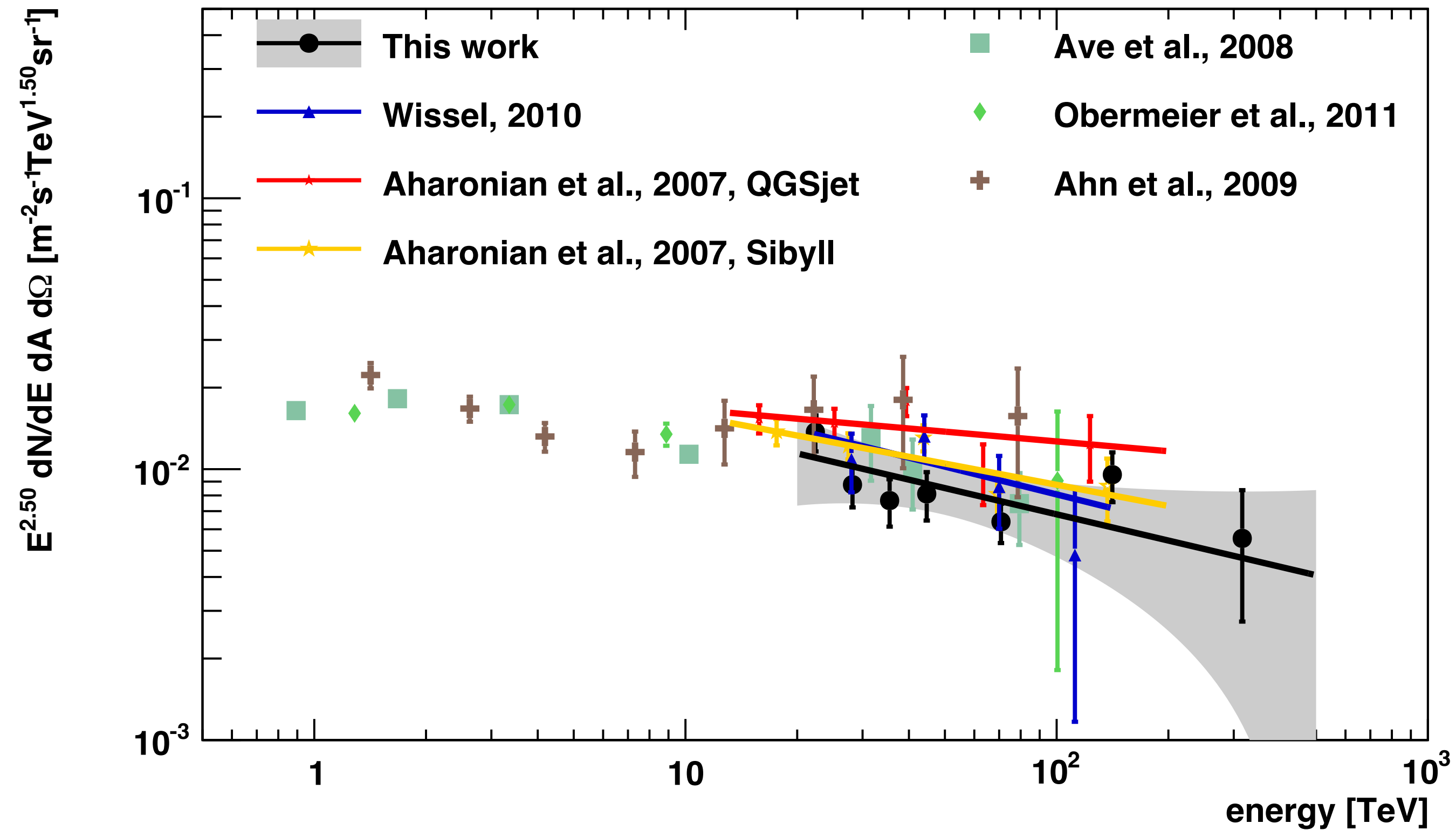
<http://www.nsf.gov>

## IACT Experiments 10 TeV - 1 PeV

- HESS, on the spectrum of Fe nuclei.
- MAGIC, on the intensity of protons
- VERITAS, on the spectrum of Fe nuclei.



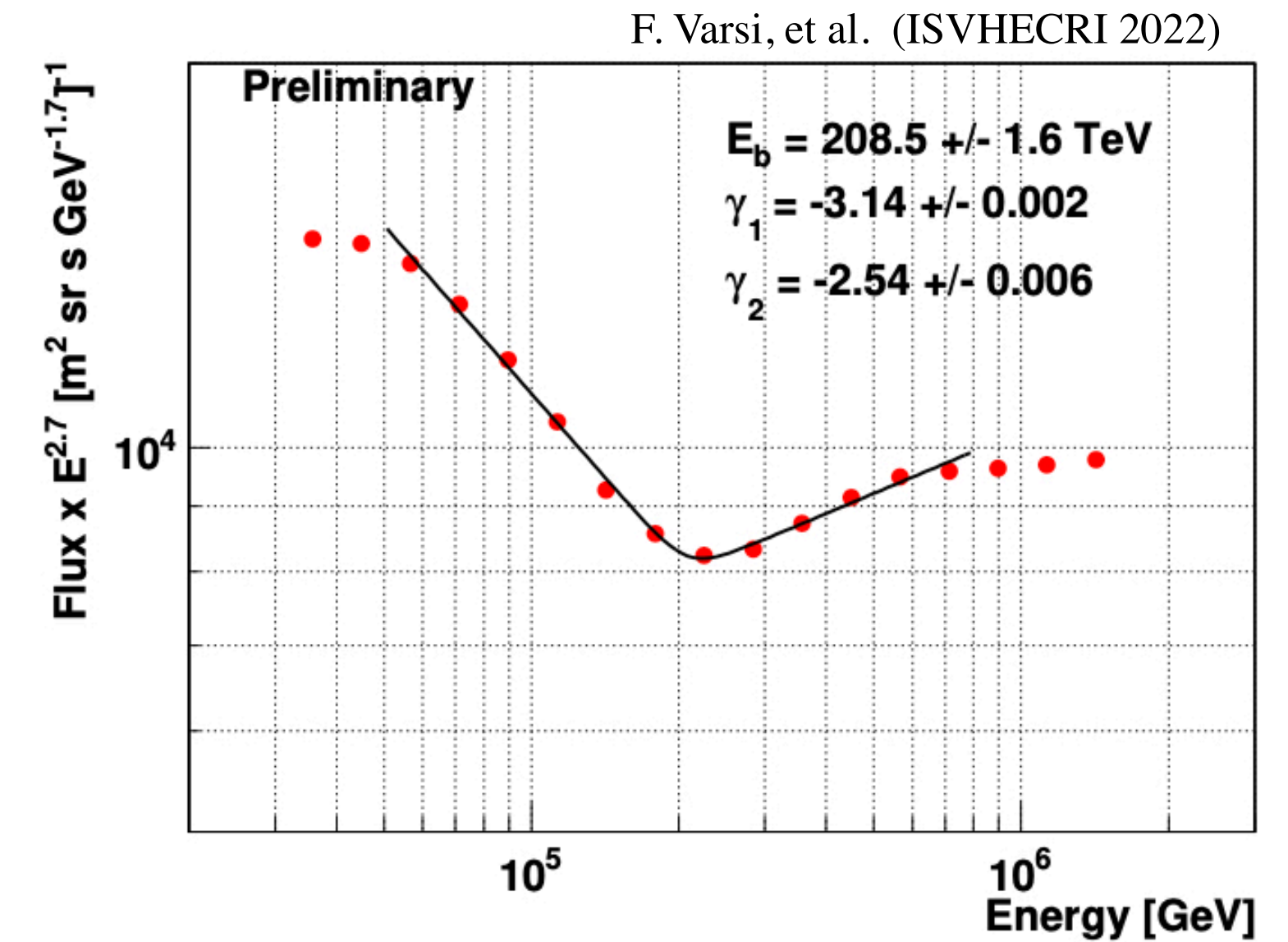
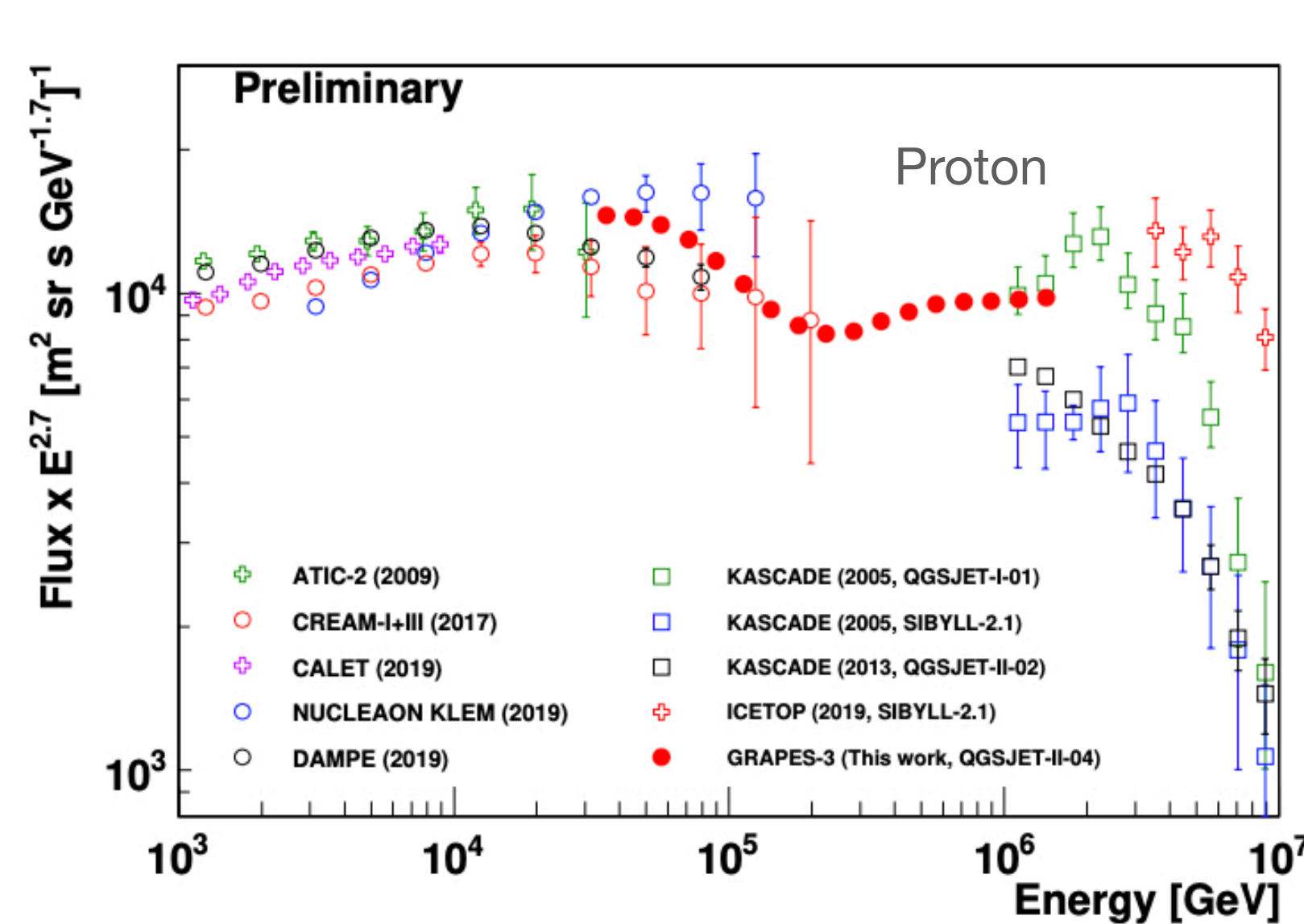
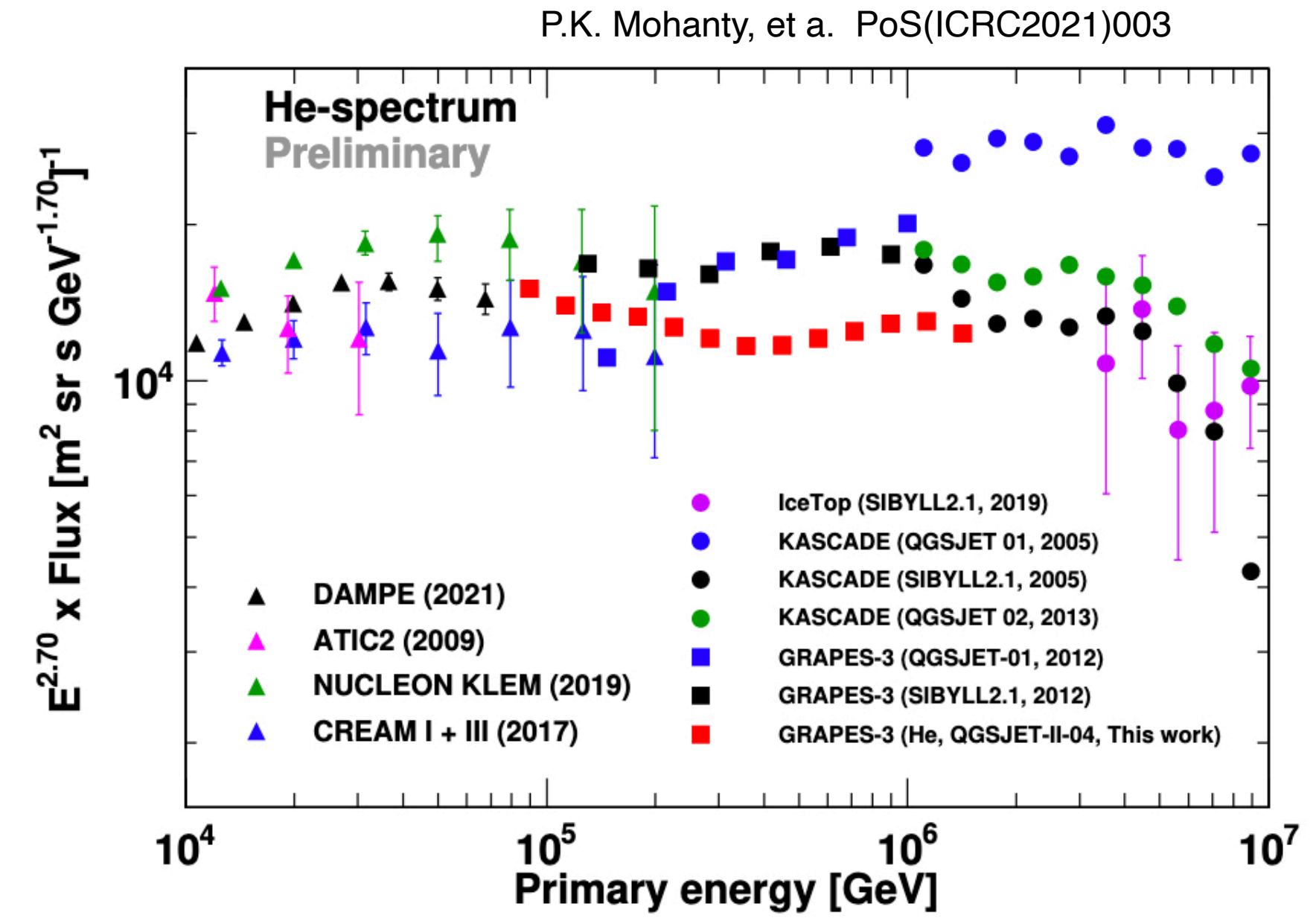
A. Archer, et al. Physical Review D 98 (2018)



# Other Recent Ground-based Measurements



- GRAPES-3 (EAS):
  - proton spectrum indicates a spectral break at  $\sim 208\text{TeV}$
  - both H and He have reasonably good overlap with other measurements





# Mapping the Northern Sky in High-Energy Gamma Rays

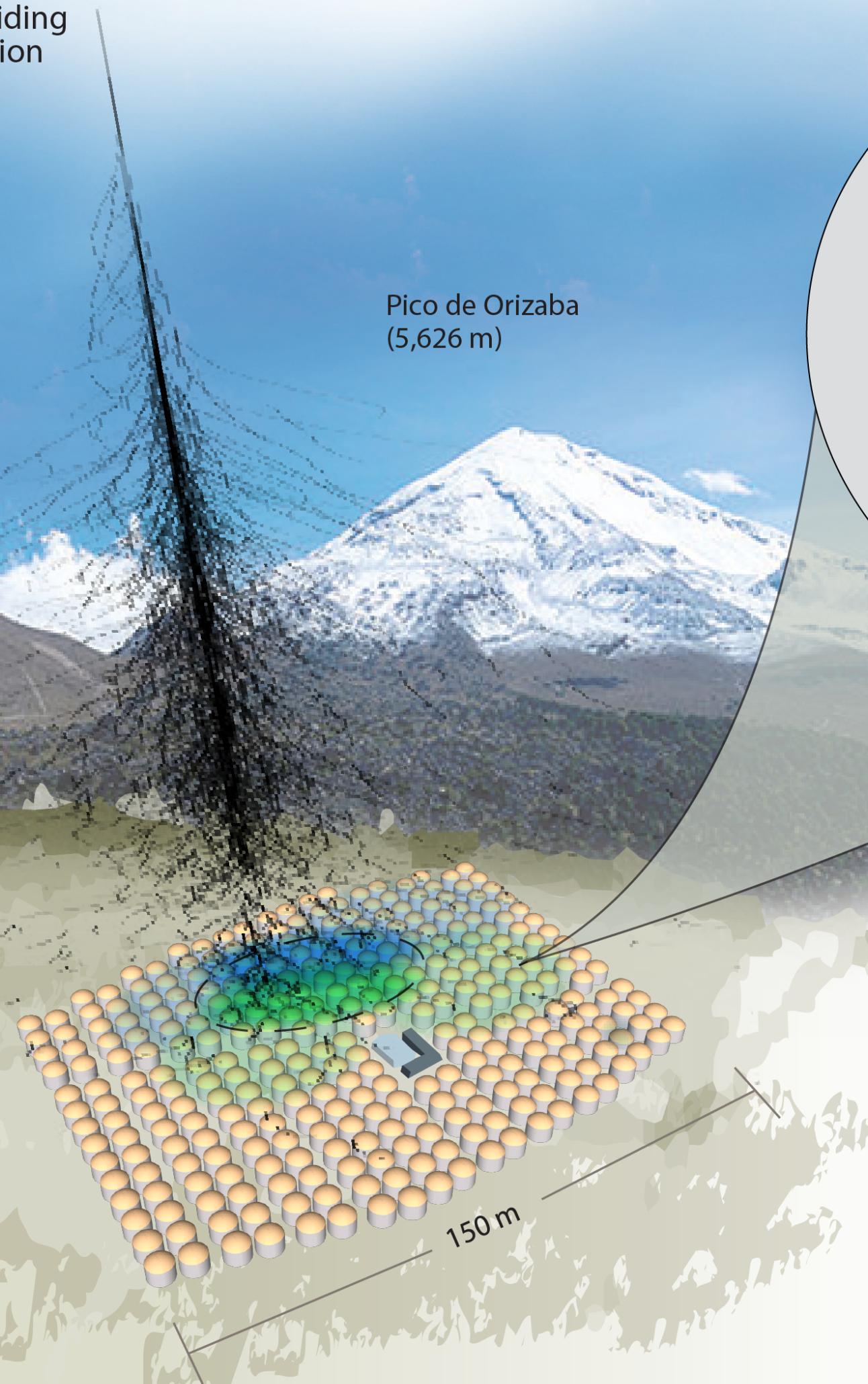
## HAWC Observatory

HAWC operates day and night, providing a large field of view for the observation of the highest energy gamma rays.



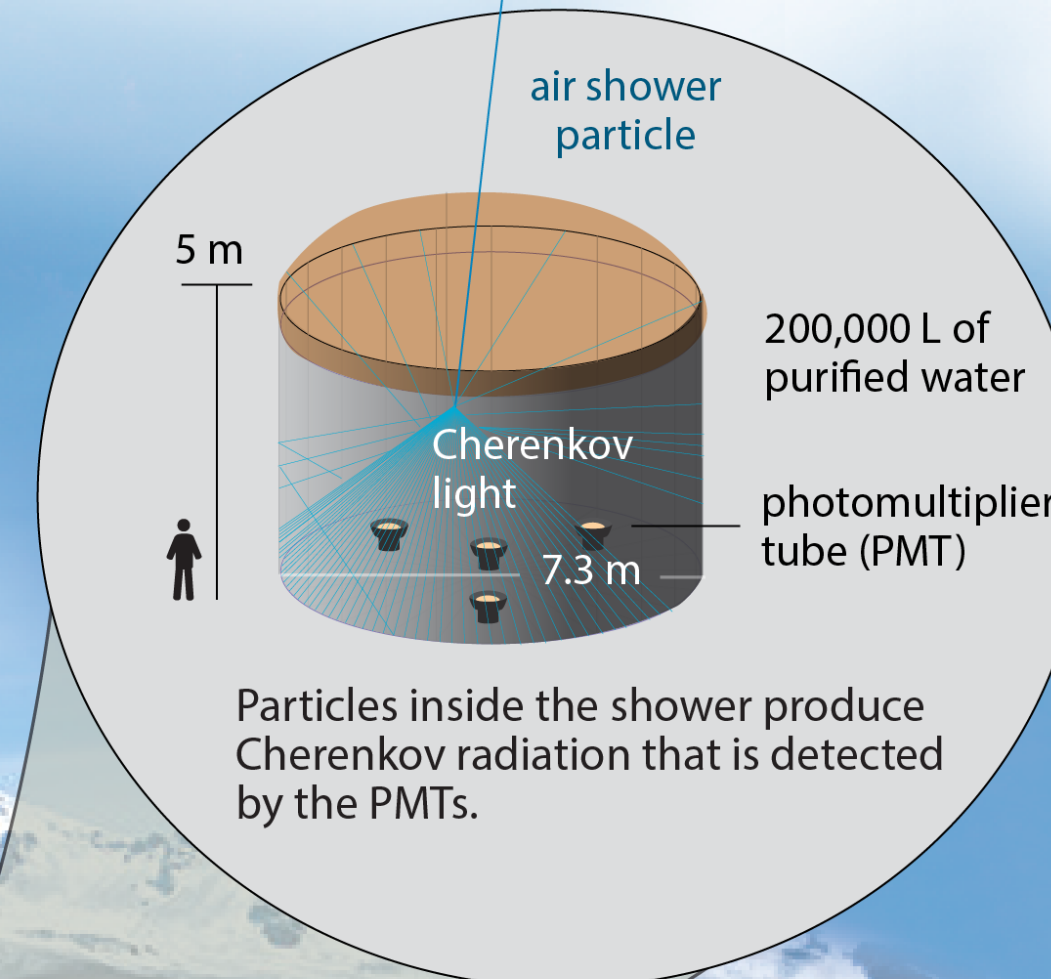
Pico de Orizaba (5,626 m)

HAWC is located at 4,100 m above sea level, covering an area of 20,000 m<sup>2</sup>.



## Water Cherenkov tank

HAWC comprises an array of 300 tanks that record the particles created in gamma-ray and cosmic-ray showers.

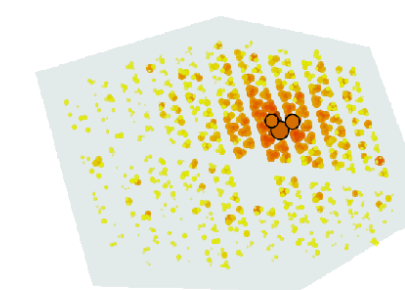


Particles inside the shower produce Cherenkov radiation that is detected by the PMTs.

## Gamma rays vs cosmic rays

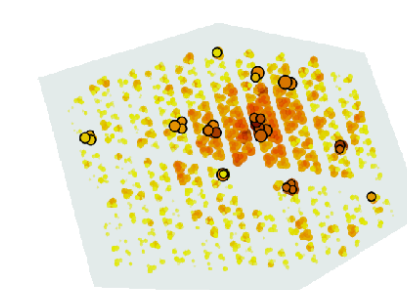
HAWC selects gamma rays from among a much more abundant background of cosmic rays.

gamma-ray shower

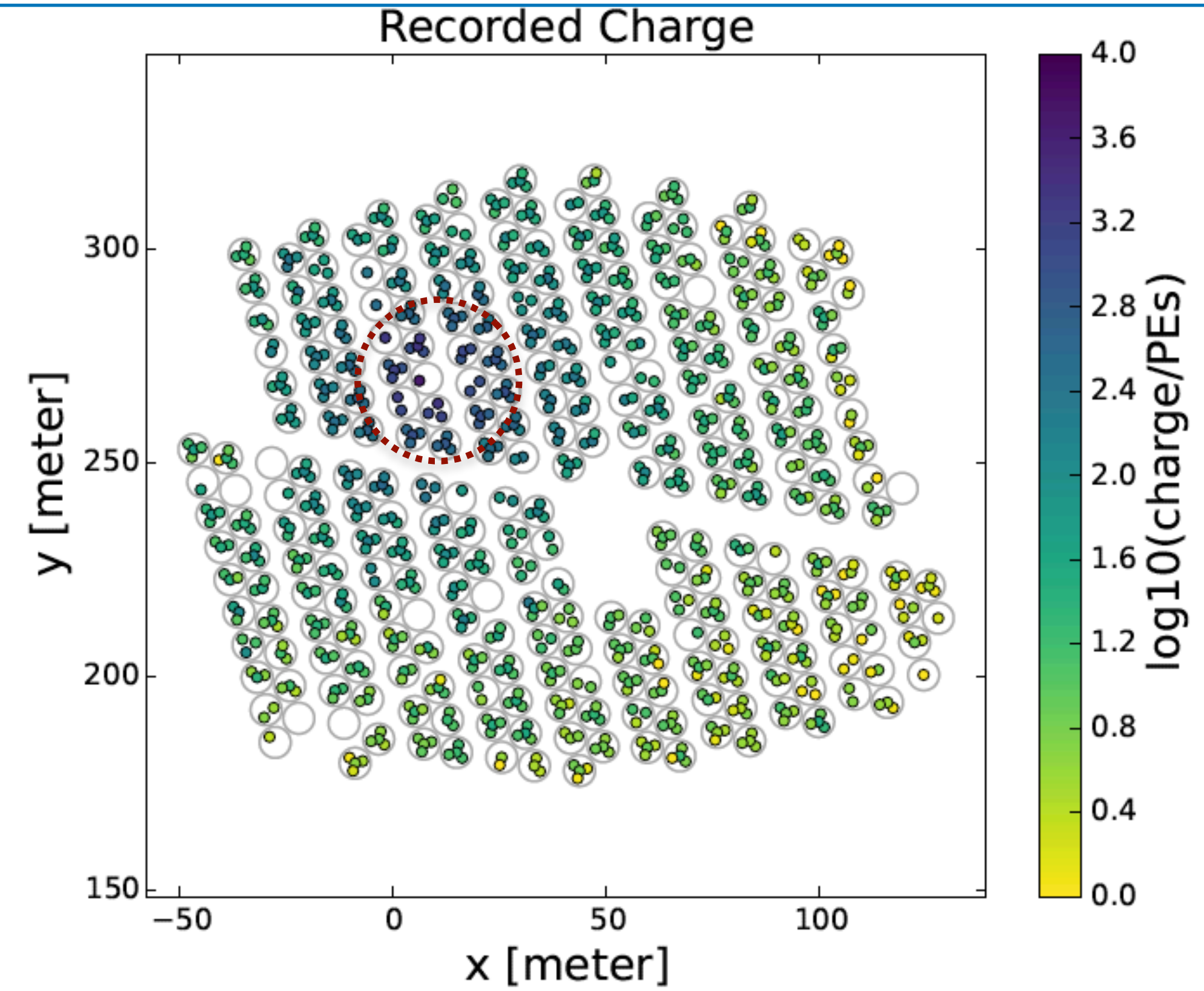
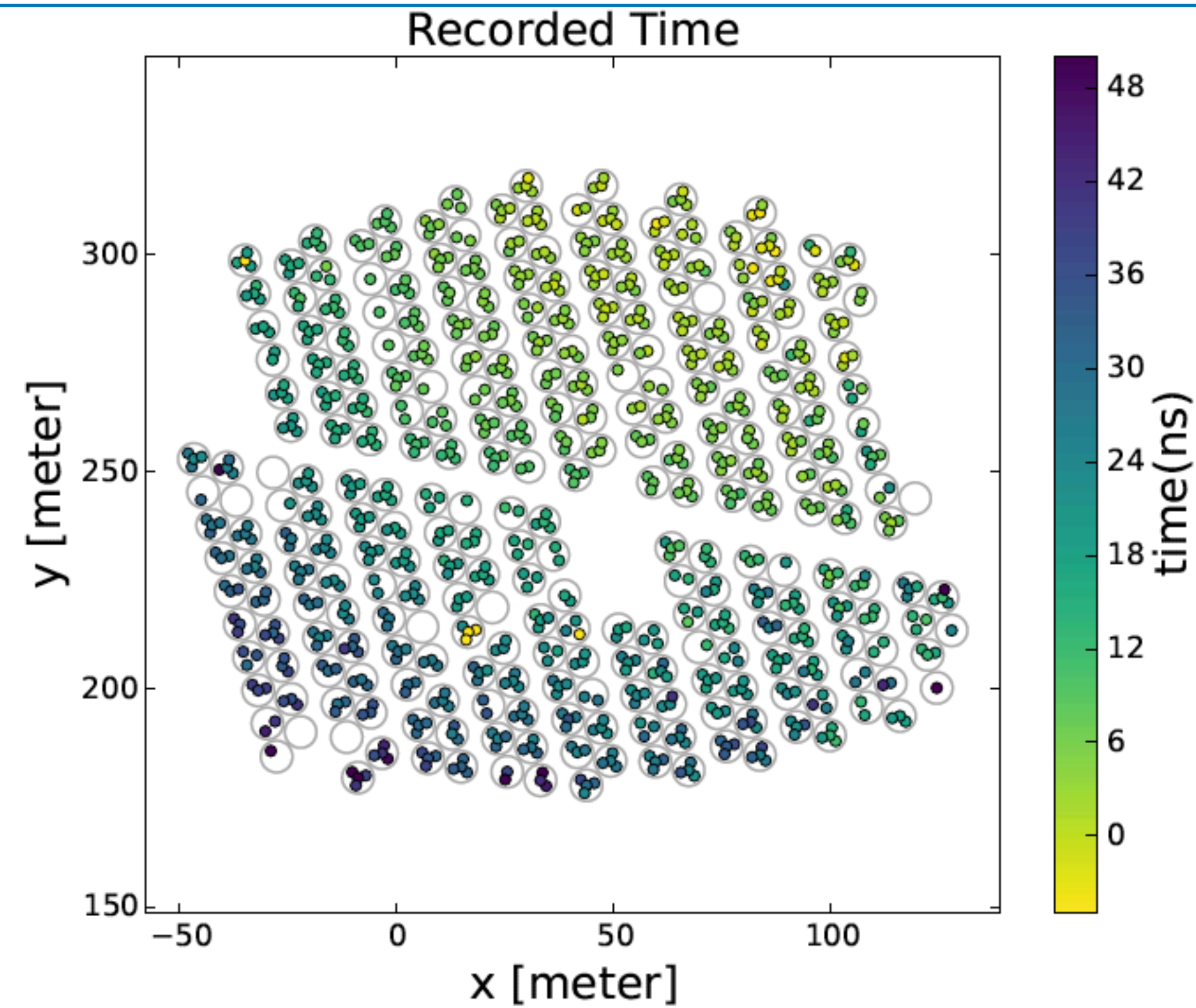


"hot" spots concentrate around the core

cosmic-ray shower



"hot" spots are more dispersed



- From hit times at PMTs, deposited charge, number of PMT's with signal:
  - ▶ Core location,  $(X_c, Y_c)$
  - ▶ Arrival direction,  $\theta$
  - ▶ Fraction of hit PMT's,  $f_{hit}$
  - ▶ Lateral charge profile,  $Q_{eff}(r)$
  - ▶ ...

[HAWC Coll., ApJ 843 (2017) 39]

# HAWC All-particle cosmic ray energy spectrum

All-particle cosmic ray energy spectrum measured by the HAWC experiment from 10 to 500 TeV

R. Alfaro, et al. Phys. Rev. D **96** (2017)

## Bayesian Unfolding

G. D'Agostini, Nucl. Inst. Meth. Phys. Res., **362** (1995).

The number of events observed in time  $T$ , within the solid angle  $\Omega$ , and with reconstructed energy  $E_{reco}$ ,  $N(E_{reco})$  is related to the true energy distribution  $N(E)$  by

$$N(E_{reco}) = \frac{1}{\Omega} \int A_{\text{eff}}(E, E_{reco}) N(E) dE,$$

$$P(E|E_{reco}) = \frac{P(E_{reco}|E)P(E)}{\epsilon(E) \sum_{E'} P(E_{reco}|E')P(E')}$$

defines the probability of a shower with reconstructed energy  $E_{reco}$  to have been produced by a primary particle with energy  $E$ .  $\epsilon(E)$  is the efficiency to observe an event with energy  $E$ .

The unfolded energy distribution is given by convolving the unfolding matrix with the reconstructed energy distribution iteratively via

$$N(E) = \sum_{E_{reco}} N(E_{reco}) P(E|E_{reco})$$



# HAWC All-particle cosmic ray energy spectrum

All-particle cosmic ray energy spectrum measured by the HAWC experiment from 10 to 500 TeV

All-particle spectrum consistent with a broken power law

$$\Phi(E) = \Phi_0 E^{\gamma_1} \left[ 1 + \left( \frac{E}{E_0} \right)^\epsilon \right]^{(\gamma_2 - \gamma_1)/\epsilon}$$

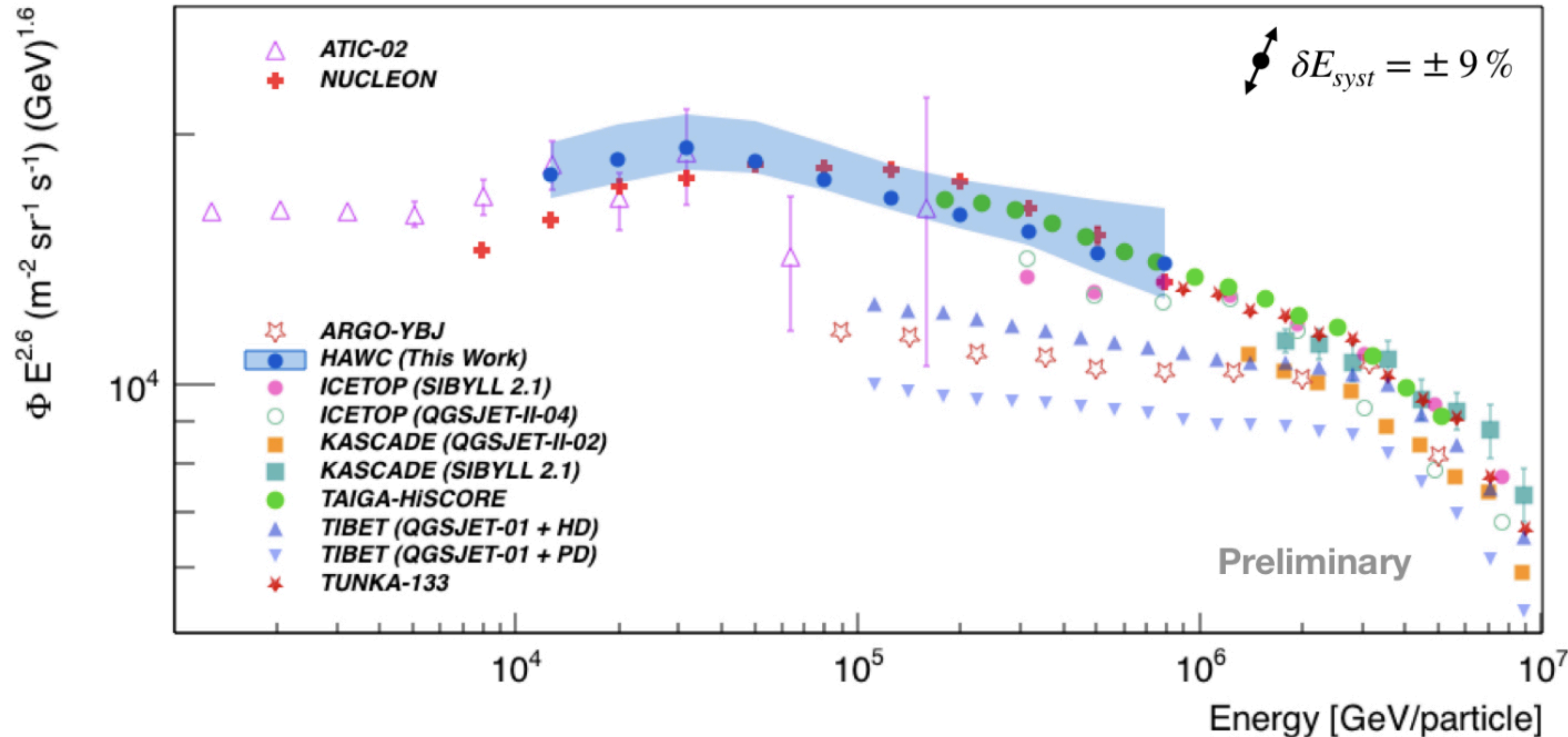
with an index of  $\gamma_1 = -2.5 \pm 0.009$

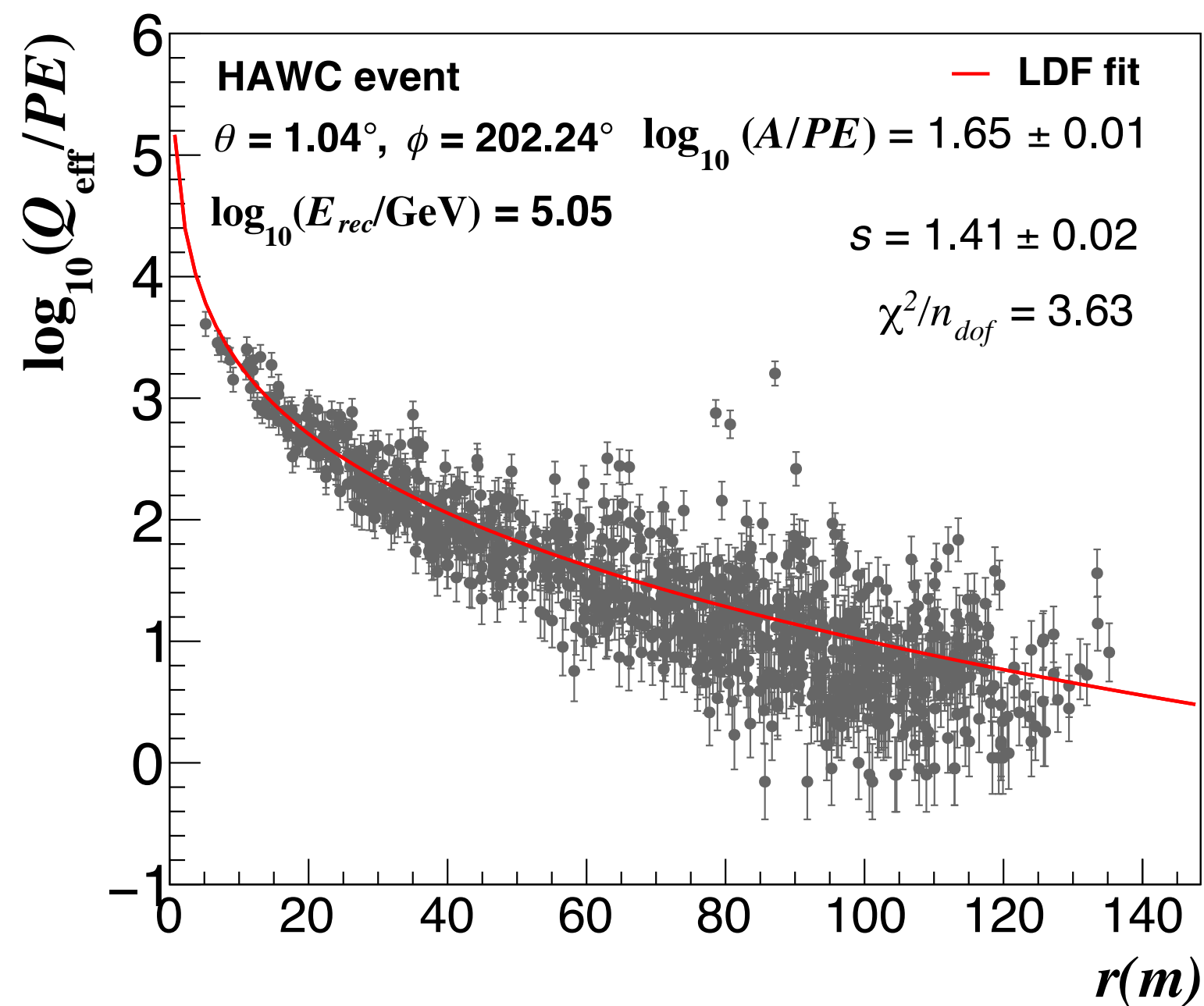
with a break at  $E_0 = 30.84^{+1.83}_{-1.72}$  TeV,

followed by an index of  $\gamma_2 = -2.7 \pm 0.004$

$\epsilon = 9.9 \pm 1.8$ .

J. A. Morales-Soto & J. C. Arteaga-Velázquez ECRS 2022





[HAWC Collab., PRD 105 (2022)]

## Lateral age parameter (s)

- Obtained event-by-event
- Fit of  $Q_{\text{eff}}(r)$  with a NKG-like function:

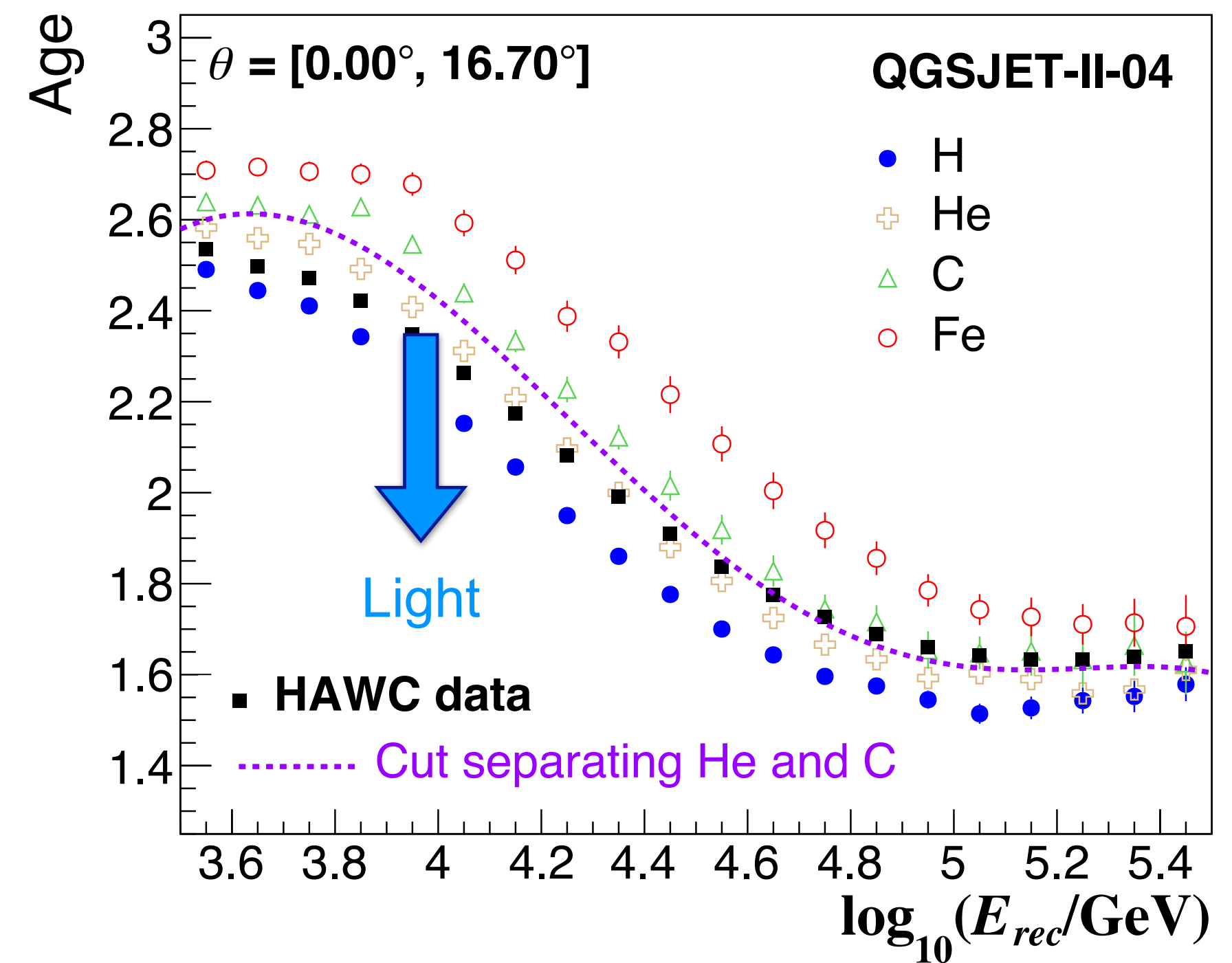
$$f_{ch}(r) = A \cdot (r/r_0)^{s-3} \cdot (1 + r/r_0)^{s-4.5}$$

with  $r_0 = 124.21$  m.

$A$ ,  $s$  are free parameters

[HAWC Collab., APJ 881 (2017); J.A. Morales Soto et al., PoS(ICRC2019 359 (2019))]

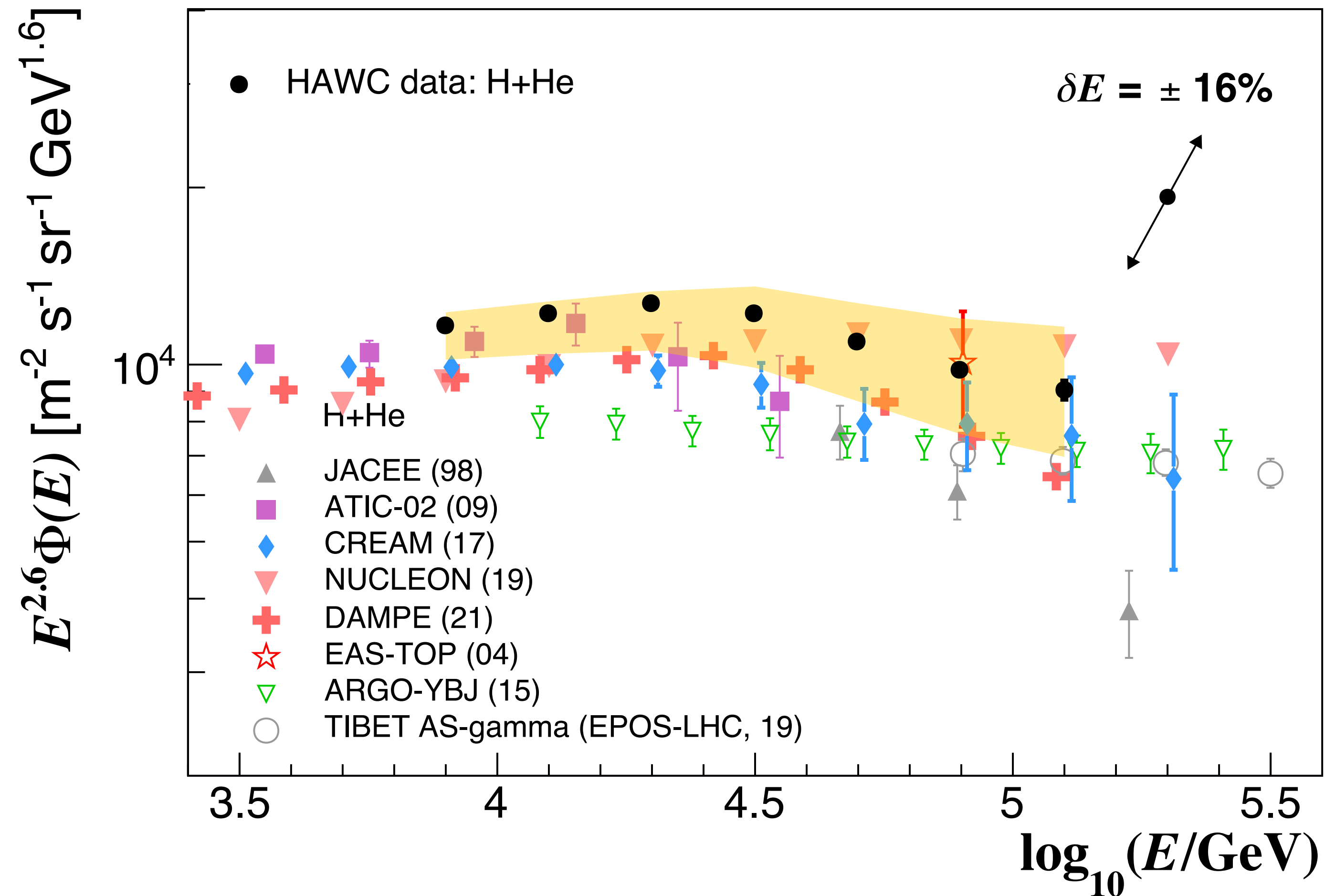
## Select a sample enriched with light nuclei



- Age parameter is sensitive to composition
- Select a subsample using a cut on the age
  - ▶ Subsample must have a large relative abundance of H and He.

## Comparison with measurements from other experiments

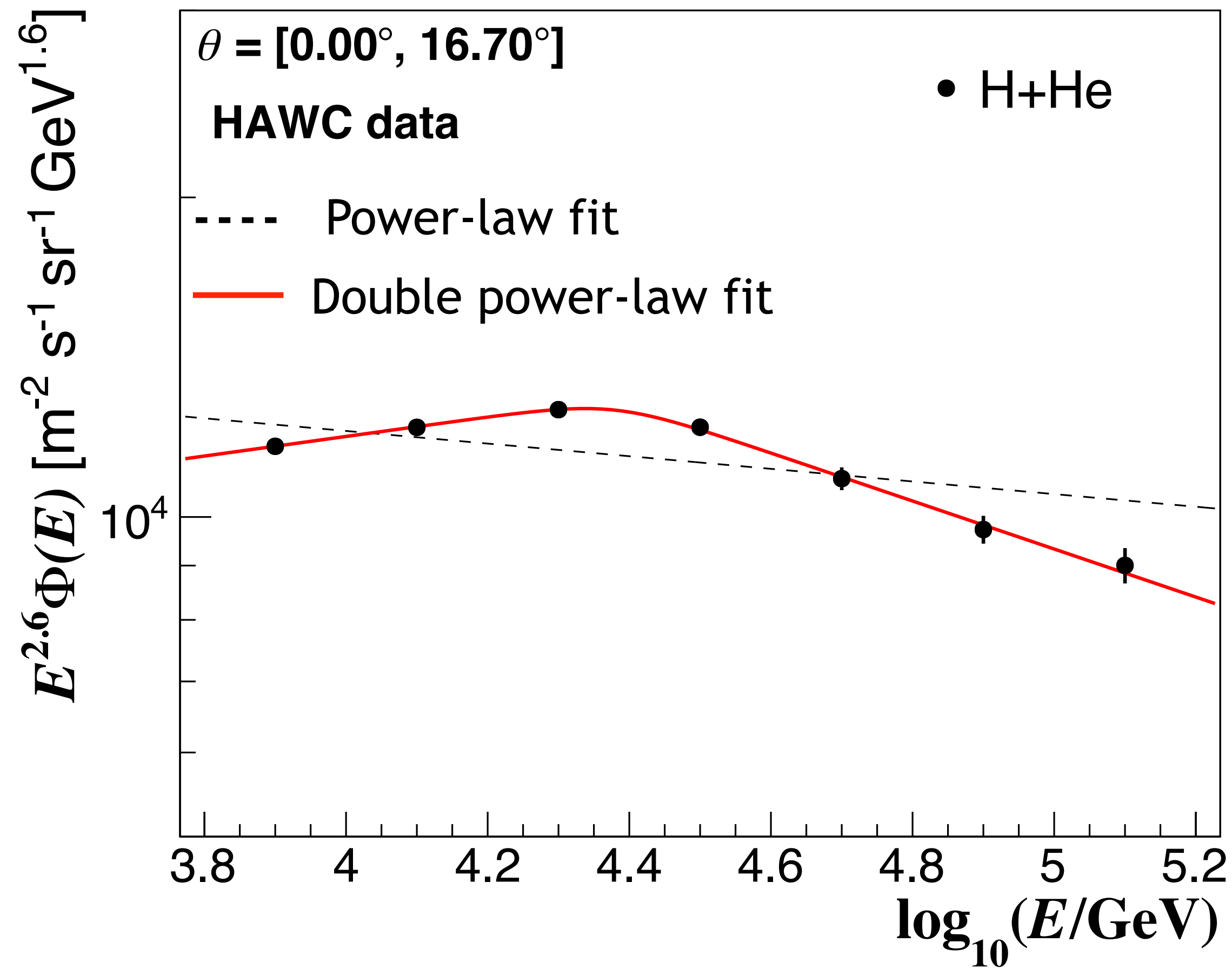
[HAWC Collab., PRD 105 (2022)]



- **HAWC** data confirm previous hints from **ATIC-2**, **CREAM I-III** and **NUCLEON** about the existence of a break in the spectrum of the light component of cosmic rays in the  $10^4 - 10^5$  GeV range.
- **HAWC** result is strengthened by recent DAMPE data.
- **HAWC** data is in agreement with **ATIC-2** close to  $10^4$  GeV.

## Fit of spectrum

[HAWC Collab., PRD 105 (2022)]



- **Test Statistics:**

$$TS = -\Delta\chi^2 = 177.25$$

$$p\text{-value} = 2 \times 10^{-5}$$

->  $4.1\sigma$  deviation from scenario with single power-law.

- Results for the double power-law fit:

$$\gamma_1 = -2.51 \pm 0.02$$

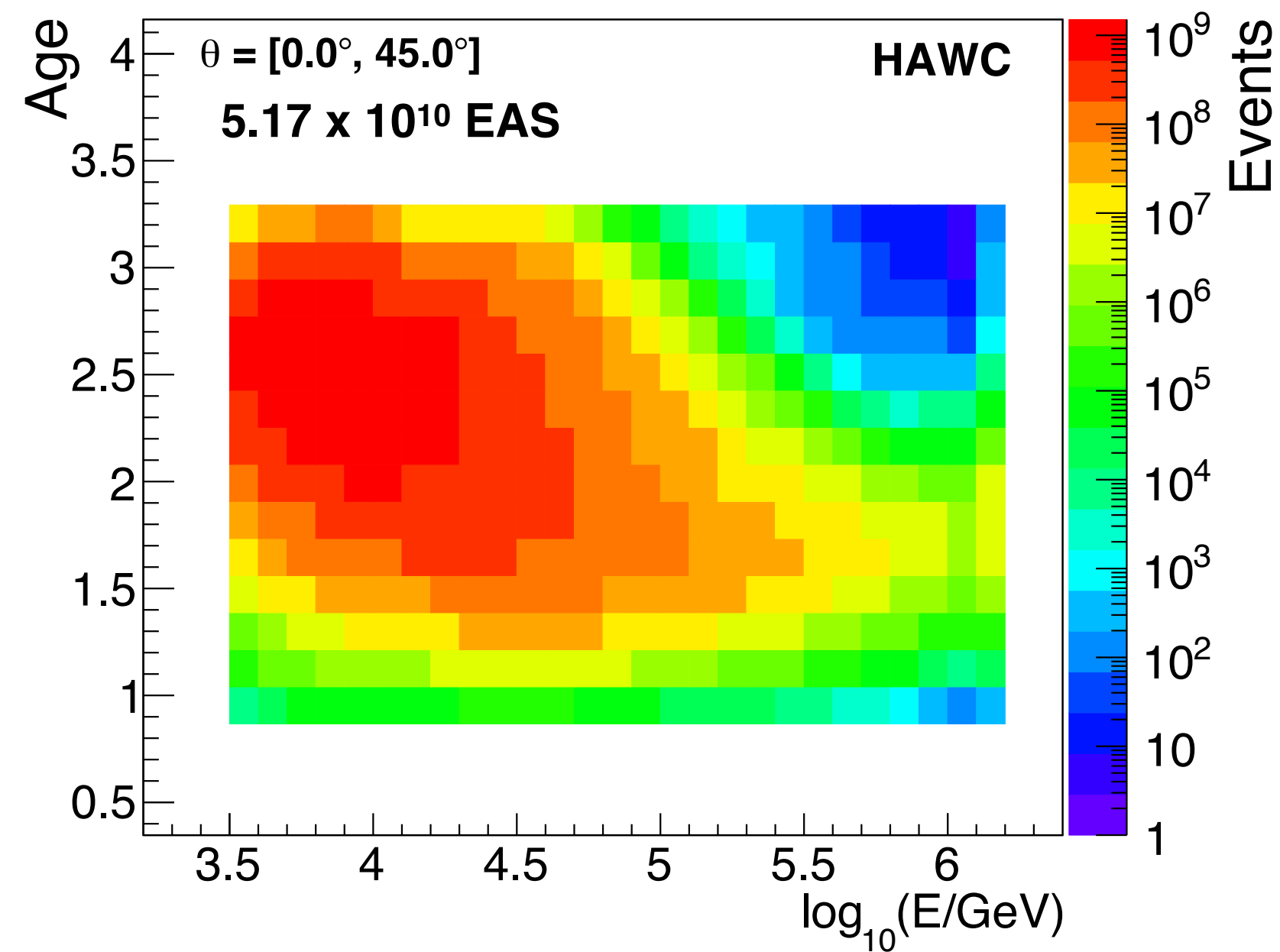
$$\gamma_2 = -2.83 \pm 0.02$$

$$\Delta\gamma = -0.32 \pm 0.03$$

$$\log_{10}(E_0/\text{GeV}) = 4.38 \pm 0.06$$

$$\blacktriangleright E_0 = 24.0^{+3.6}_{-3.1} \text{ TeV}$$

- Unfold **shower age vs  $\log_{10}(E)$**  data to find the **elemental spectra for H, He and heavy nuclei ( $Z > 2$ )**.



$$n(s, \log_{10} E) = T_{\text{eff}} \Delta\Omega \sum_{j=1} \sum_{E_T} P_j(s, \log_{10} E | \log_{10} E_T) A_{\text{eff},j}(E_T) \Phi_j(E_T) \Delta E_T$$

$n(s, \log_{10} E)$  : # events per ( $s, \log_{10} E$ ) bin.

$P_j(s, \log_{10} E | \log_{10} E_T)$ : response matrix for EAS from mass group  $j$  (reconstruction and fluctuations).

$A_{\text{eff}}$  : effective area =  $A_{\text{thrown}} \epsilon_{\text{eff}}$ .

$\Phi_j(E_T)$  : spectrum for mass group  $j$ .

## HAWC data

- January/01/16 - June/03/19
- $T_{\text{eff}} = 3.21$  years
- $\Theta < 45^\circ$
- Successfully reconstructed
- $f_{\text{hit}} \geq 0.2$

- Hit PMT's within radius of 40 m  $> 40$
- $s = [1, 3.2]$
- $\log_{10}(E/\text{GeV}) = [3.5, 6.2]$

## Apply Gold's unfolding algorithm

[R.Gold, Report ANL-6984, 1964]

[KASCADE Collab., App 24 (2005) 1]

Bins:

$\Delta \log_{10}(E/\text{GeV}) = 0.1$

$\Delta s = 0.17$

- The elemental spectra do not follow a power-law function.

HAWC data show fine structure ( $> 5\sigma$ ) between 10 TeV and 251 TeV:

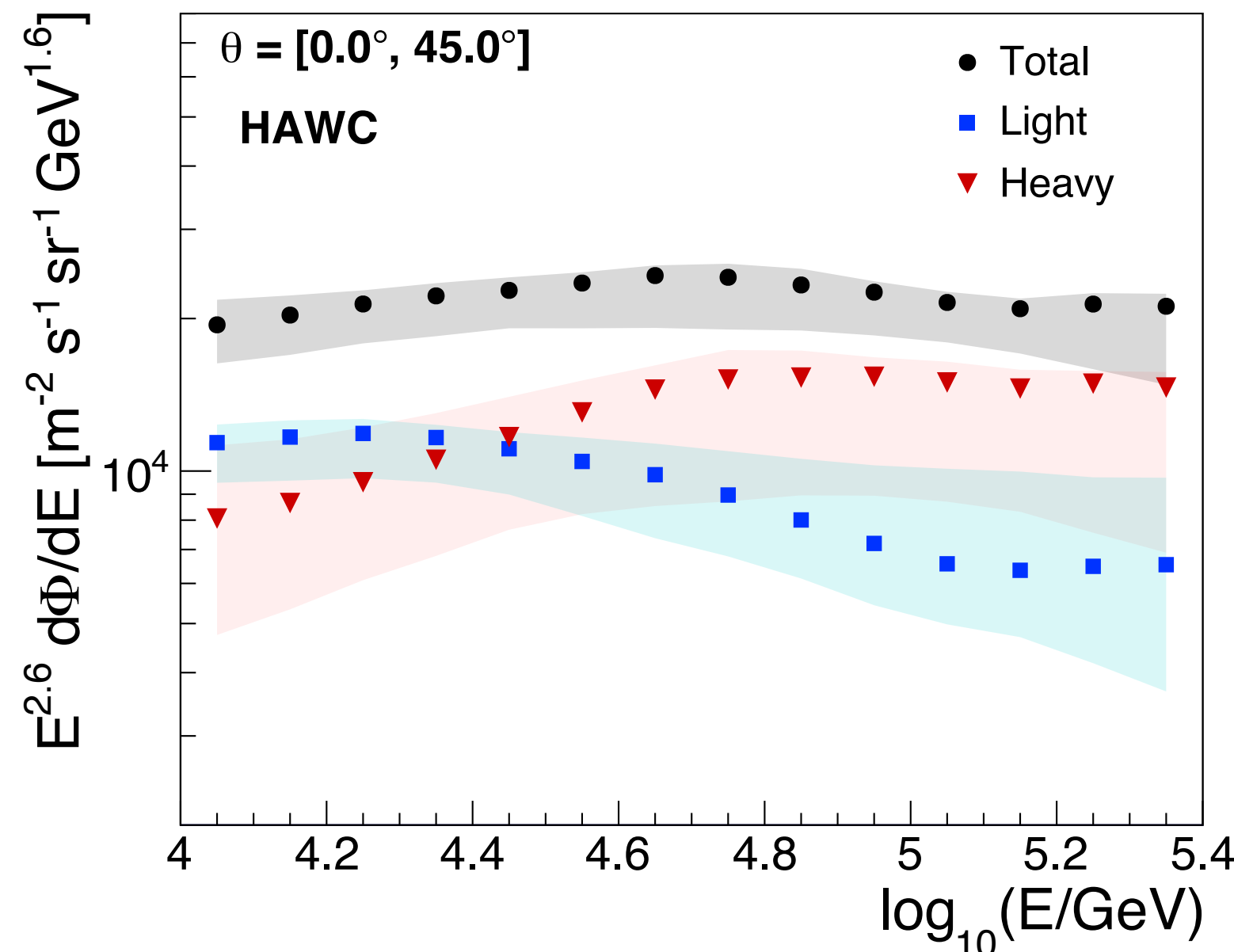
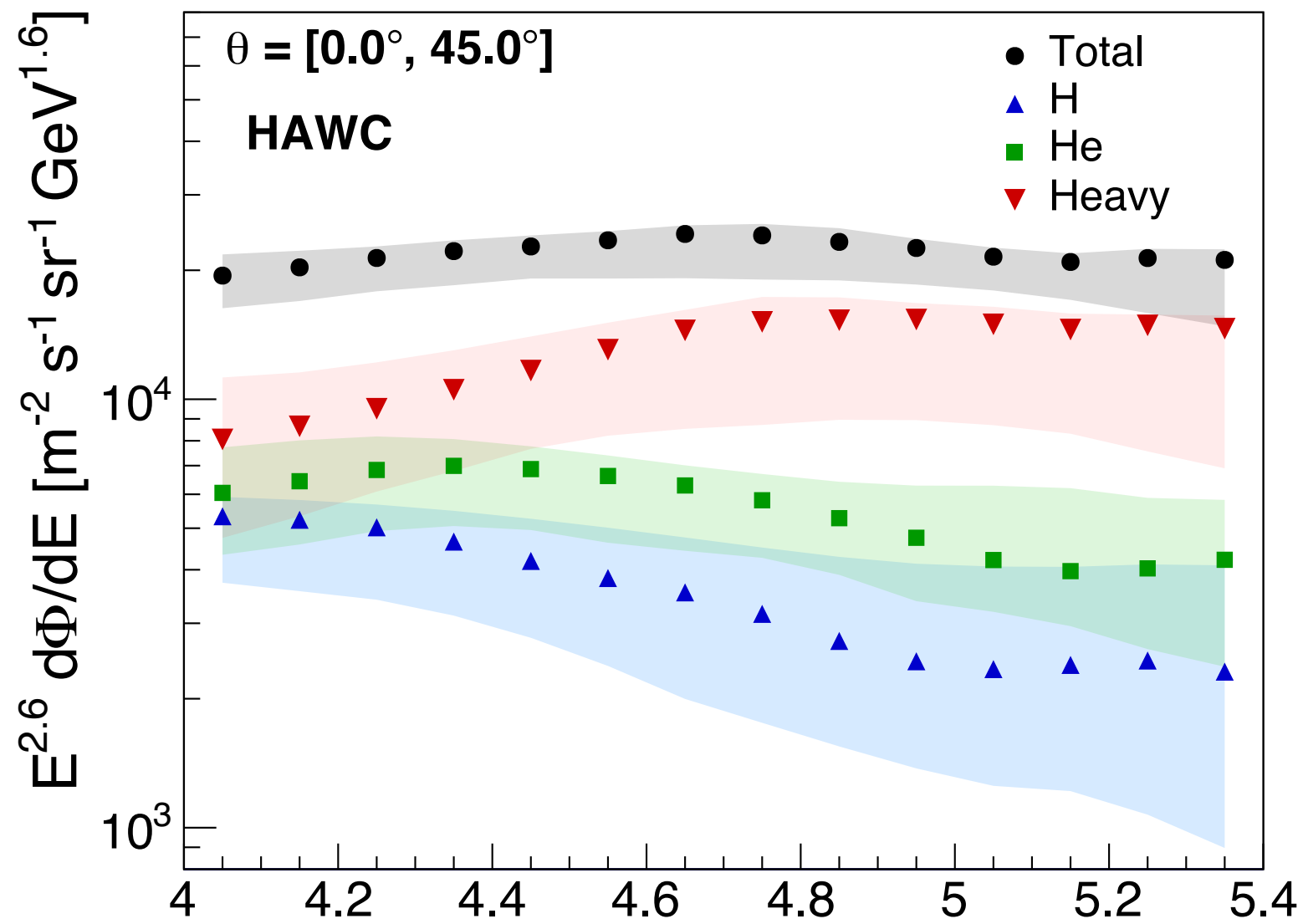
$$\Phi_{\text{H}}(E)/\Phi_{\text{He}}(E) < 1 \text{ for } E = [10 \text{ TeV}, 100 \text{ TeV}].$$

- Composition becomes heavier from 10 TeV to 100 TeV.
- Bump in the the all-particle spectrum at  $\sim 46$  TeV reported by HAWC in 2017 is due to the superposition of individual softenings in the spectra of light and heavy mass groups.

[HAWC Collab., PRD 96 (2017) 122001]

- Knee-like feature at  $\sim 32$  TeV in spectra of H+He observed by HAWC in 2019 comes from individual cuts in spectra for H and He.

[HAWC Collab., PoS(ICRC2019) 176]

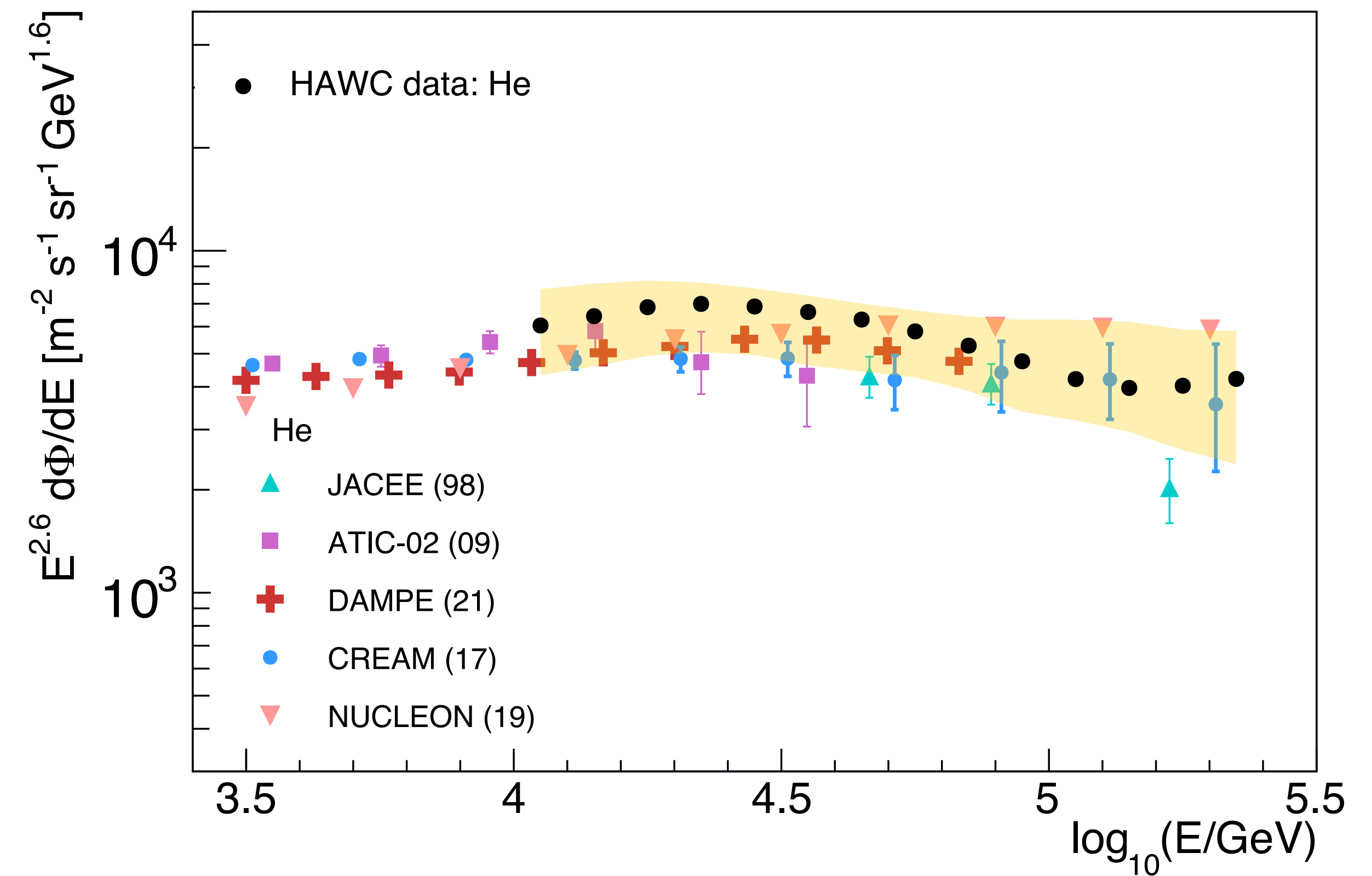
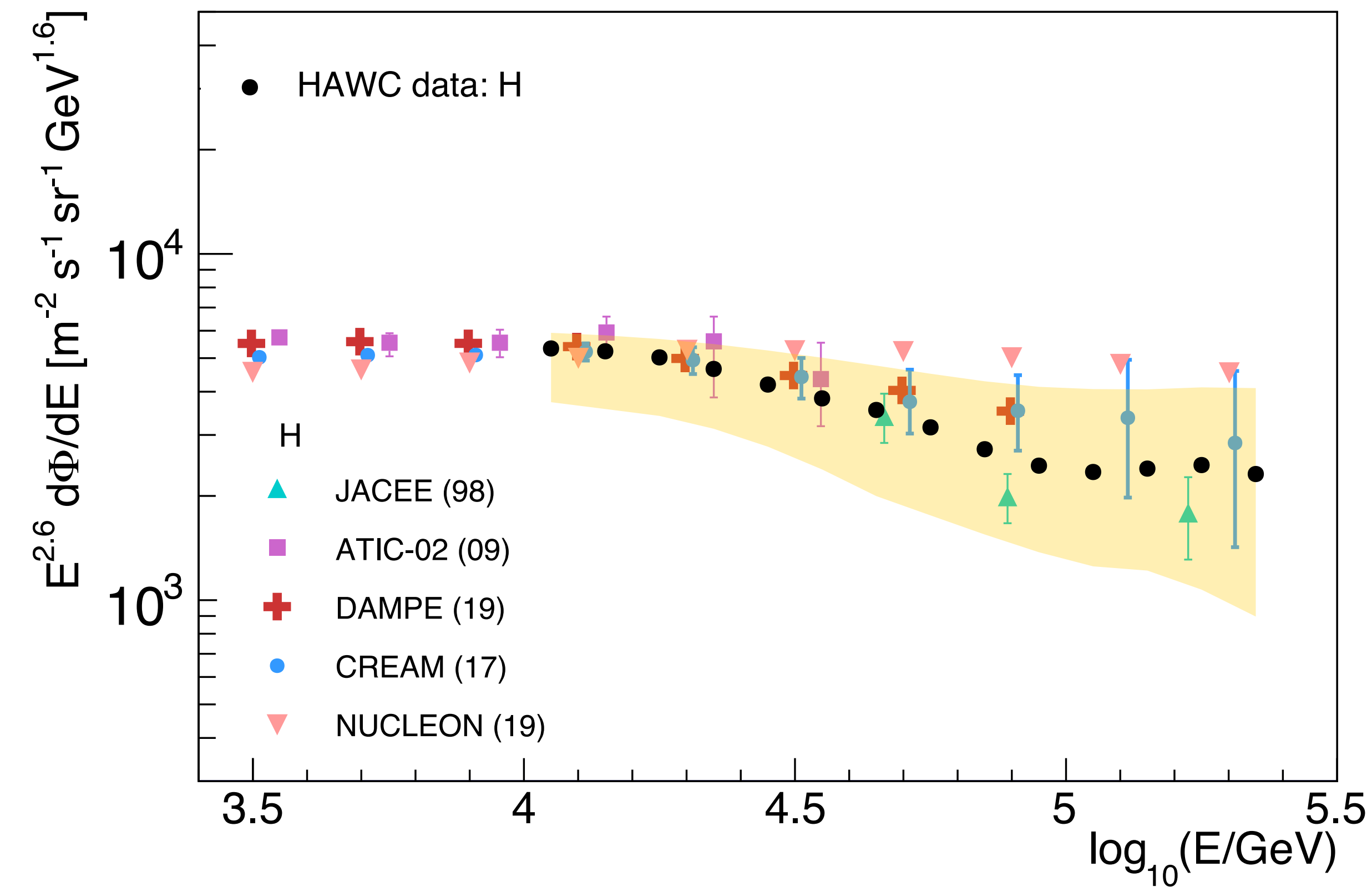


$$\Phi(E) = \Phi_0 E^{\gamma_1} \left[ 1 + \left( \frac{E}{E_0} \right)^{\varepsilon_0} \right]^{(\gamma_2 - \gamma_1)/\varepsilon_0} \left[ 1 + \left( \frac{E}{E_1} \right)^{\varepsilon_1} \right]^{(\gamma_3 - \gamma_2)/\varepsilon_1}$$

	$E_0(\text{TeV})$	$E_1(\text{TeV})$	$\gamma_1$	$\gamma_2$
H	14.1 $+2.2/-0.4$	103 $+1/-4$	-2.6 $+0.2/-0.5$	-3.1 $\pm 0.3$
He	25.3 $+1.1/-0.8$	152 $+11/-9$	-2.2 $+0.1/-0.3$	-3.1 $+0.4/-0.1$
Z > 2	51 $\pm 1$	—	-2.1 $\pm 0.3$	-2.6 $+0.04/-0.2$

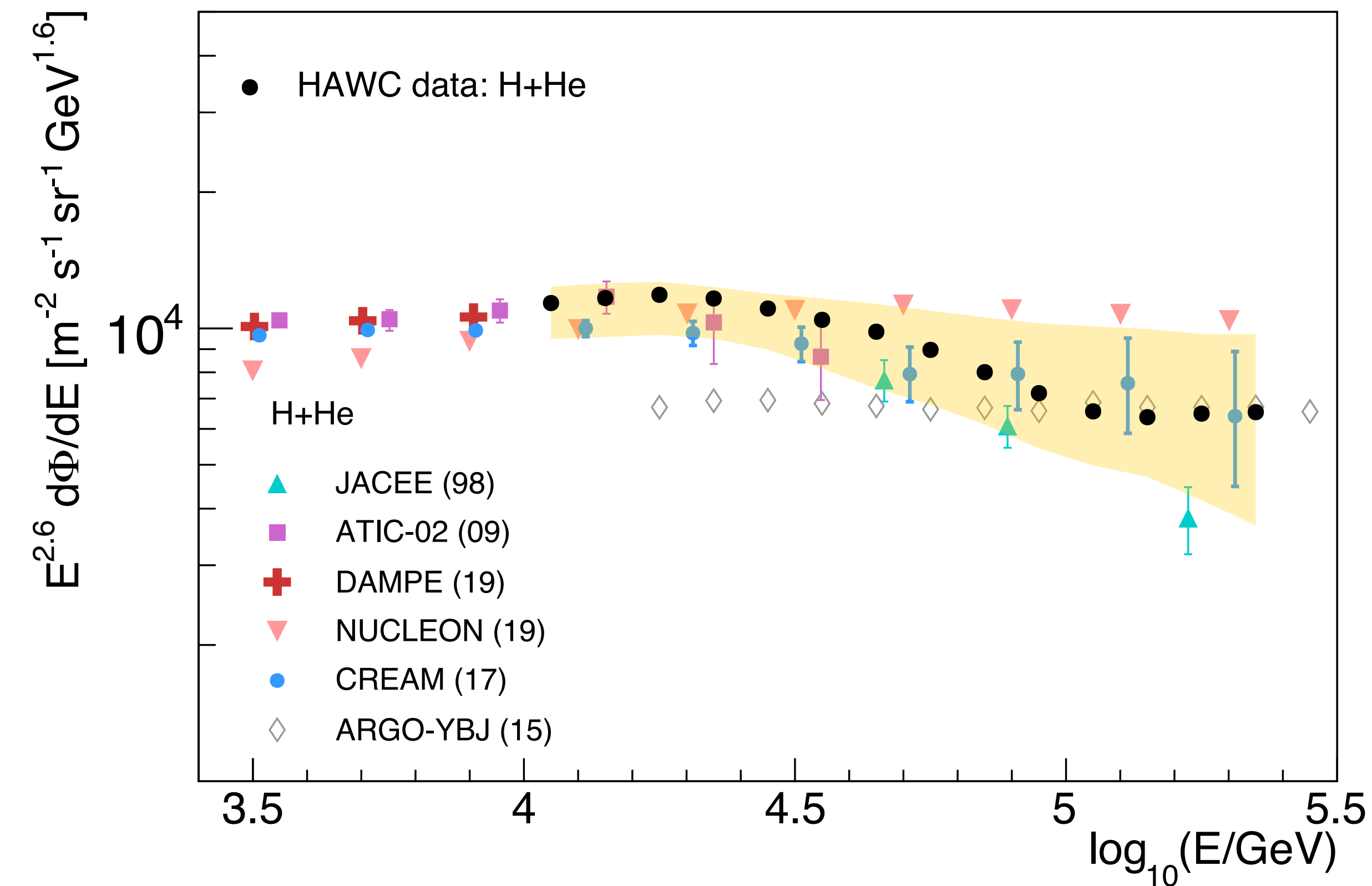
$$E_{0, \text{He}}/E_{0, \text{H}} = 1.8^{+0.3}_{-0.1}$$

## H and He spectra: Comparison with other experiments

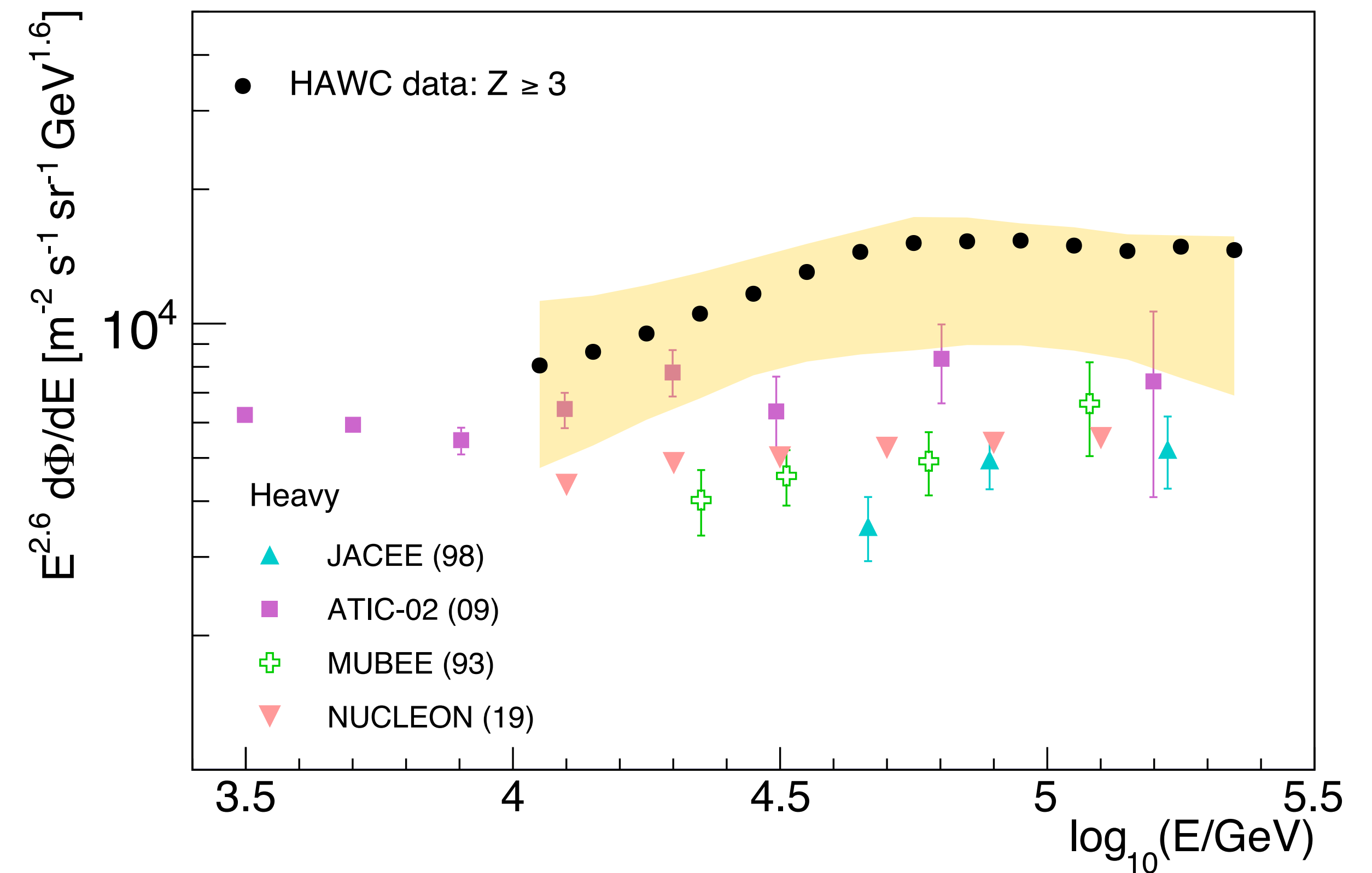


- Good agreement of **HAWC** with direct data from **DAMPE**, **ATIC-02** and **CREAM I-III** within systematic errors.
- **HAWC** confirms softenings at tens of TeV observed by **DAMPE**, first hinted by **ATIC-02**, **CREAM** and **NUCLEON**.

## Light (H + He) and Heavy ( $Z > 2$ ) spectra: Comparison with other experiments



- Good agreement of **HAWC** with **ATIC-02**, **CREAM** and **JACEE** within systematic errors.
- **ARGO-YBJ** disagrees with **HAWC** data for  $E < 50$  TeV.



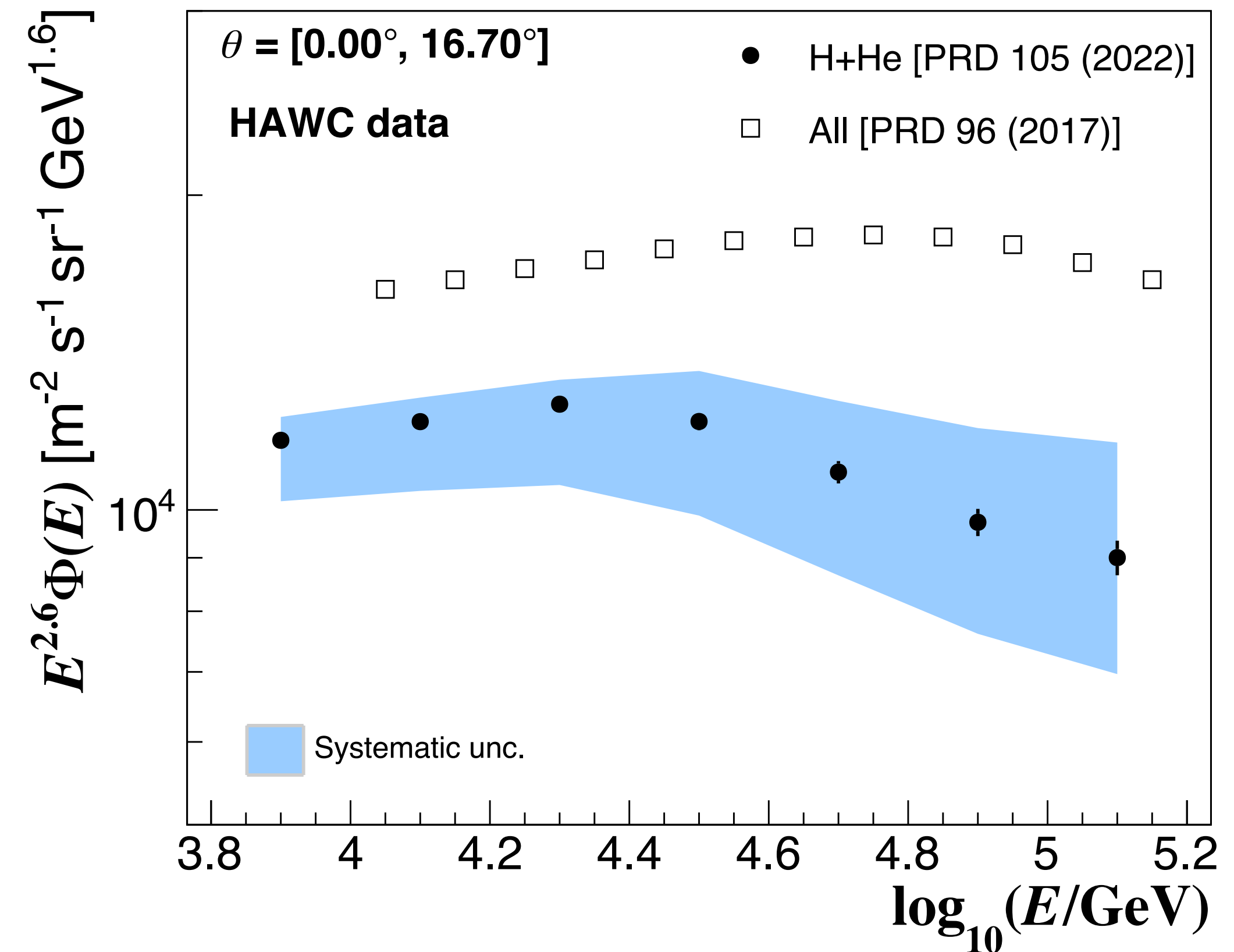
- Agreement of **HAWC** with **ATIC-02** within systematic errors.
- **HAWC** data is above **NUCLEON**, **MUBEE** and **JACEE** observations.



# Discussion

- ▶ TeV softening in p+He spectrum could contribute to the softening observed at TeV energies in all-particle spectrum.
- ▶ All-particle spectrum feature: wider and shifted to higher energies possibly from increasing influence of  $Z > 2$  close to 100 TeV, consistent with heavy element data from NUCLEON, the mean shower age from HAWC and analysis of the efficiency of the age cut.
- ▶ Decrease in  $\Phi_{\text{H+He}}/\Phi_{\text{Tot}}$  ratio from 10 to 158 TeV suggests relative increase in contribution of heavy nuclei in the total spectrum.
- ▶ Diffusive shock acceleration predicts a power-law spectrum of nuclei from TeV to PeV.
- ▶ Max. confinement energy by B-fields either at source or in Galaxy: rigidity dependent cuts at  $\sim$ PeV
- ▶ Measurements in tension with standard scenario.
- ▶ Some nonconventional models predict features in the  $\sim$ TeV spectra of different nuclei and invoke new kinds of accelerators, nearby sources, or modified mechanism of acceleration in astrophysical shocks
- ▶ Further studies needed at energy spectra of heavier nuclei in 10 TeV – 1 PeV range.

A. Albert *et al.* Phys. Rev. D 105 (2022)



# Summary

- Earlier indirect measurements lacked statistics and were consistent with a single power law due to limited statistics.
- Direct measurements suggest the existence of a rigidity-dependent cutoff in the energy spectrum at around 10 TV
- Dedicated measurements of cosmic ray composition have allowed to reconstruct the [spectrum of the light component \(H+He\) and individual nuclei for cosmic rays](#) in the range  $E = [10 \text{ TeV}, 100 \text{ TeV}]$ .
- First indirect observations by HAWC of [a break at  \$\sim 24.0 \text{ TeV}\$  in the cosmic-ray spectrum of H+He](#)
- Measurements [confirm previous hints](#) from ATIC-2, CREAM I-III and NUCLEON (and later confirmed by DAMPE) that the [H+He spectrum of cosmic rays deviates from a power-law behavior](#) in the [10-100 TeV range](#).
- [HAWC](#) and [GRAPES-3](#) measurements suggest [possible hardening](#) in the intensities of H and He [above 100TeV](#).
- Further studies needed for energy spectra of heavier nuclei in 10 TeV – 1 PeV range.

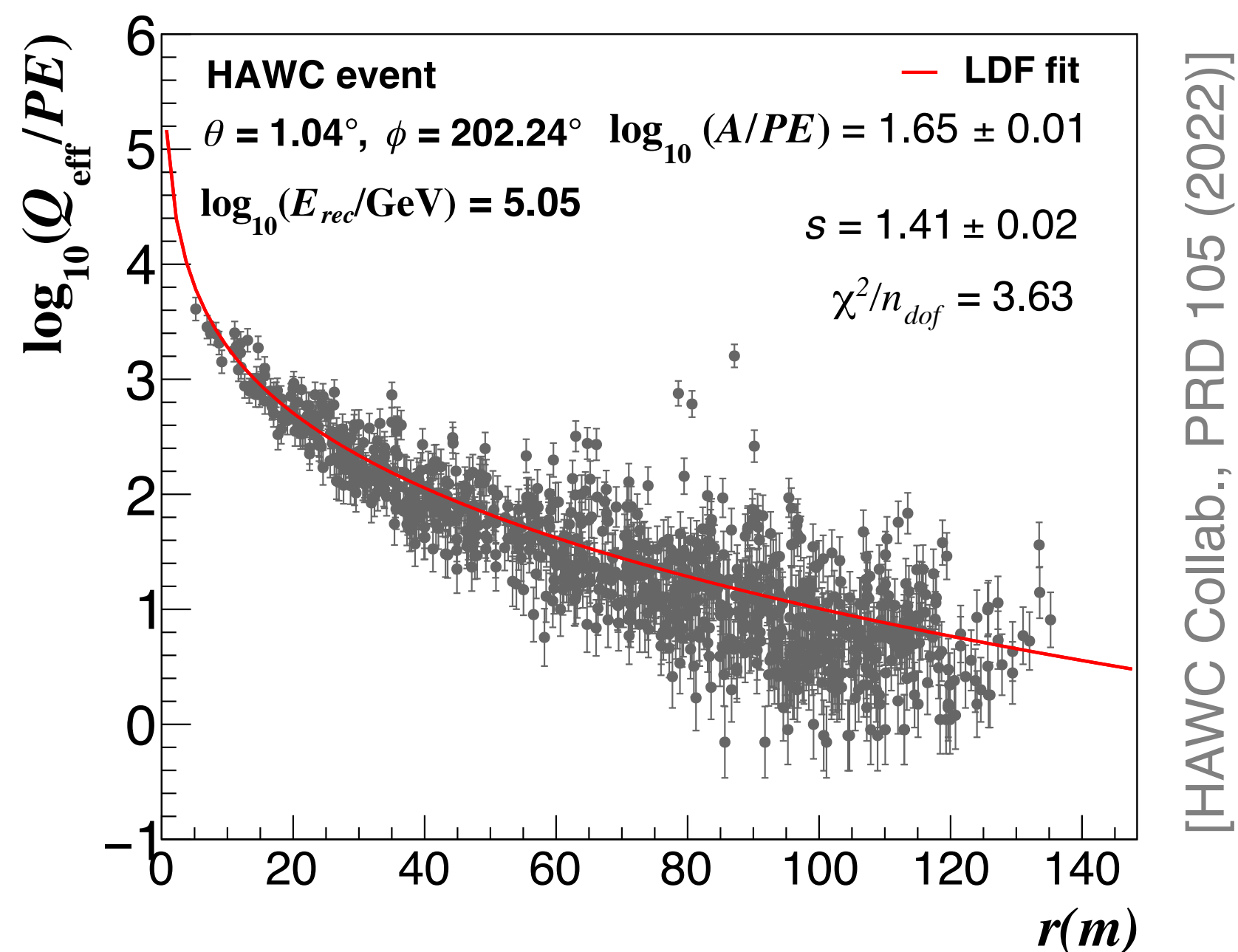
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**Backup**



## Lateral age parameter ( $s$ )

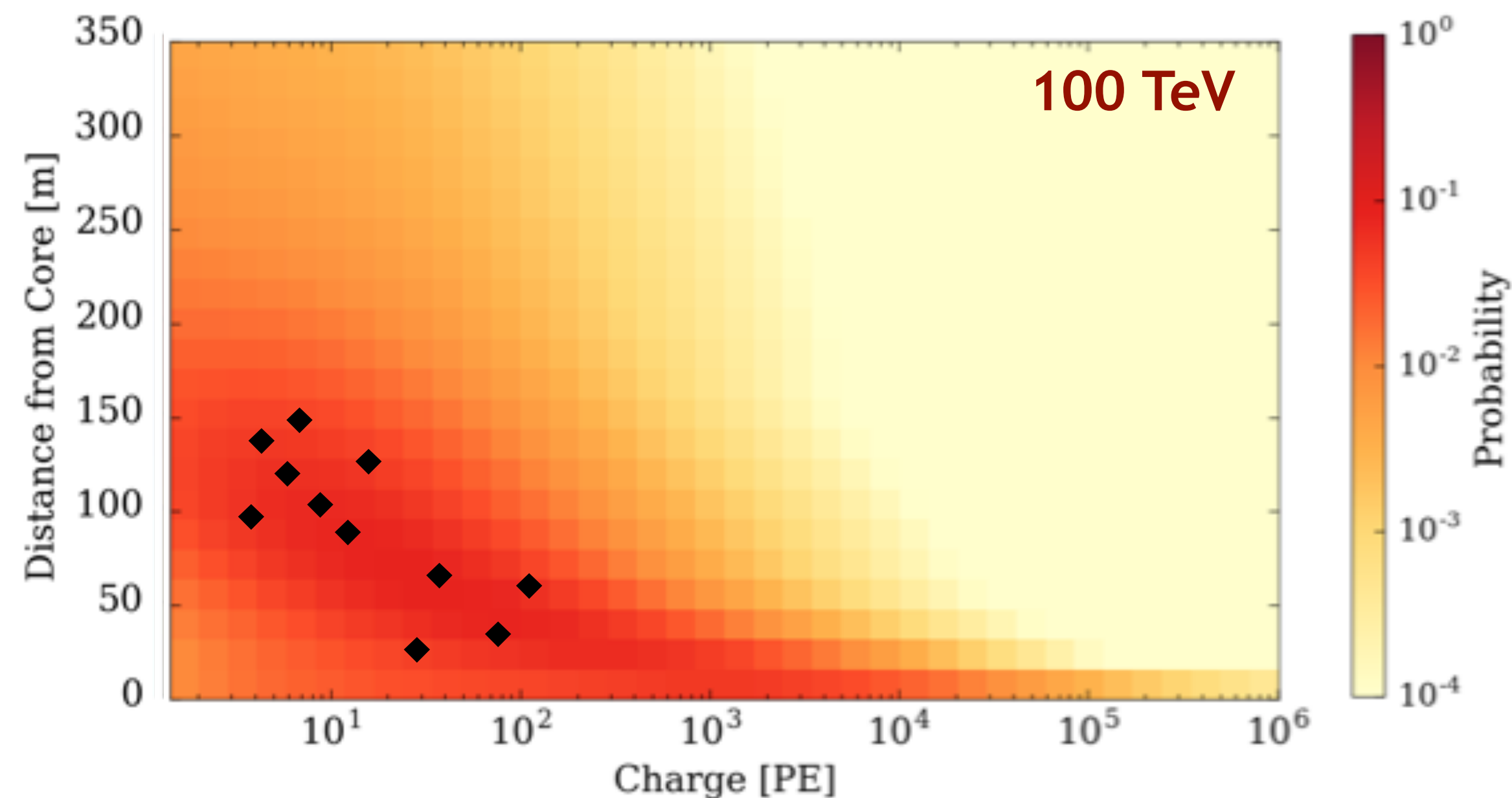
- Obtained event-by-event
- Fit of  $Q_{\text{eff}}(r)$  with a NKG-like function:

$$f_{ch}(r) = A \cdot (r/r_0)^{s-3} \cdot (1 + r/r_0)^{s-4.5}$$

with  $r_0 = 124.21$  m.

$A$ ,  $s$  are free parameters

[HAWC Collab., APJ 881 (2017); J.A. Morales Soto et al., PoS(ICRC2019 359 (2019)]

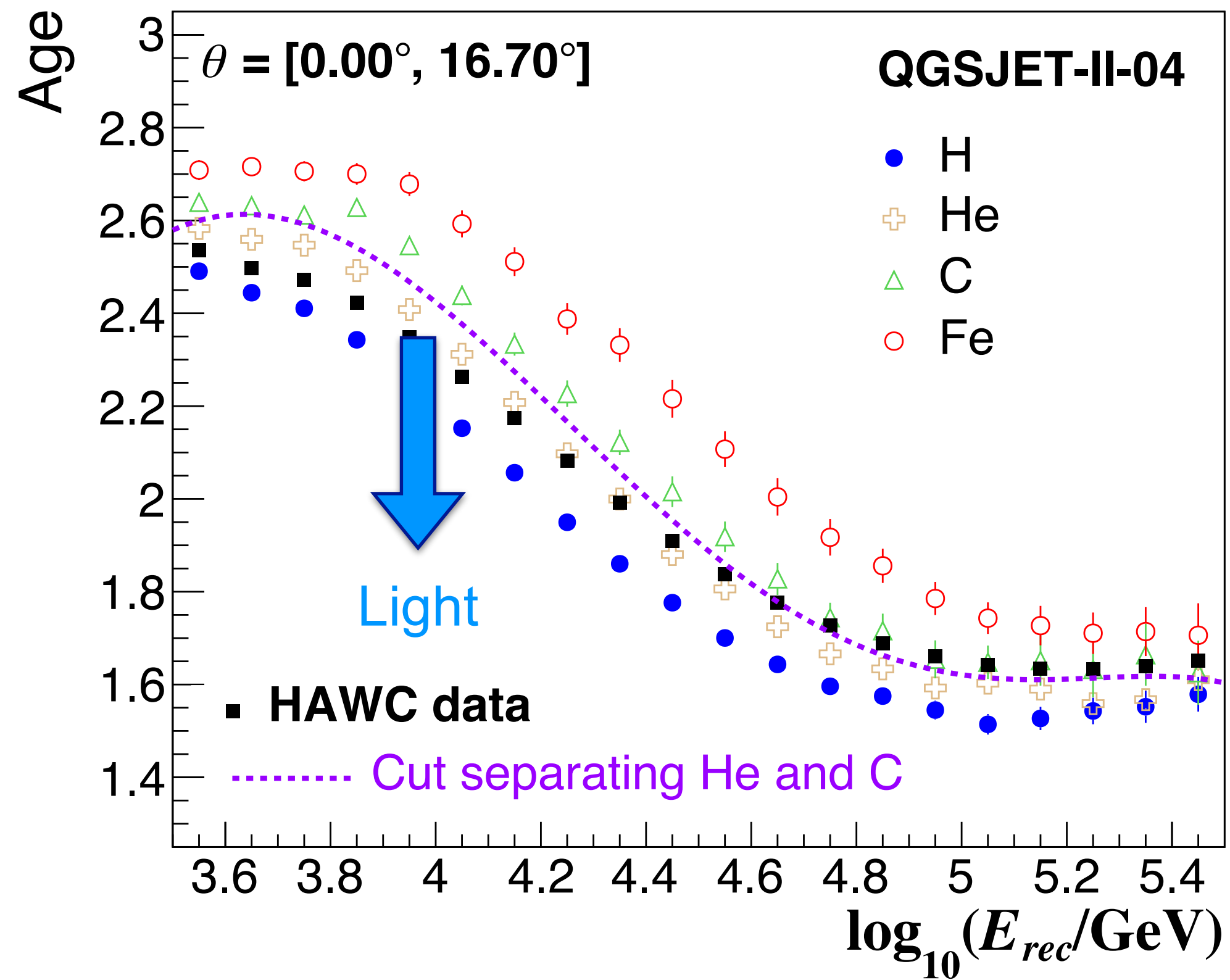


## EAS primary energy:

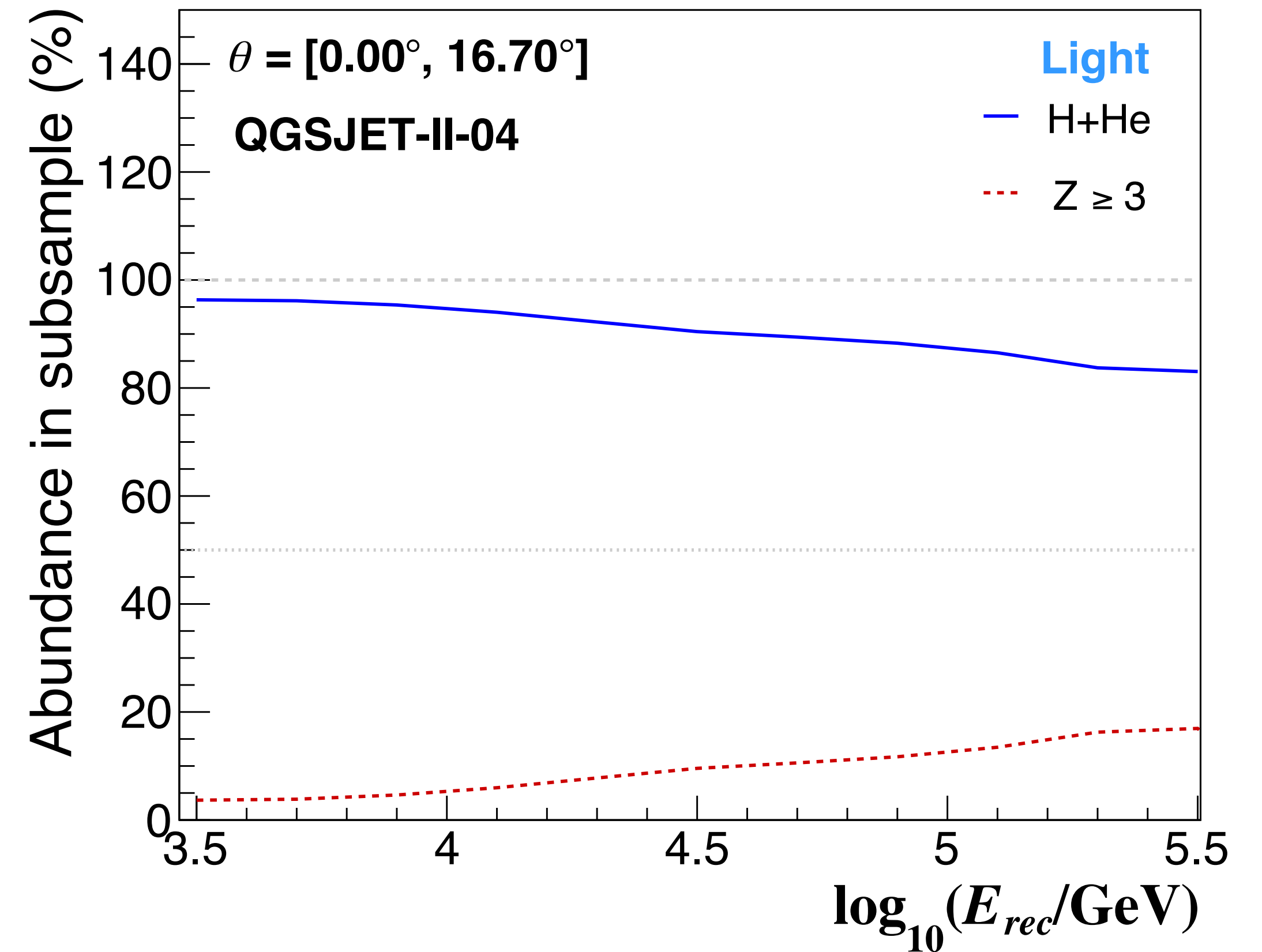
- Produce LDF tables of MC protons:  
Binning in  $r$ ,  $Q_{\text{eff}}$ ,  $\theta$  and  $E$
- Maximum likelihood to find table that best fits the  $Q_{\text{eff}}(r)$  distribution of the event, from which  $E$  is obtained.

[HAWC Collab., PRD 96 (2017); Z. Hampel-Arias' PhD thesis, 2017]

## Select a sample enriched with light nuclei

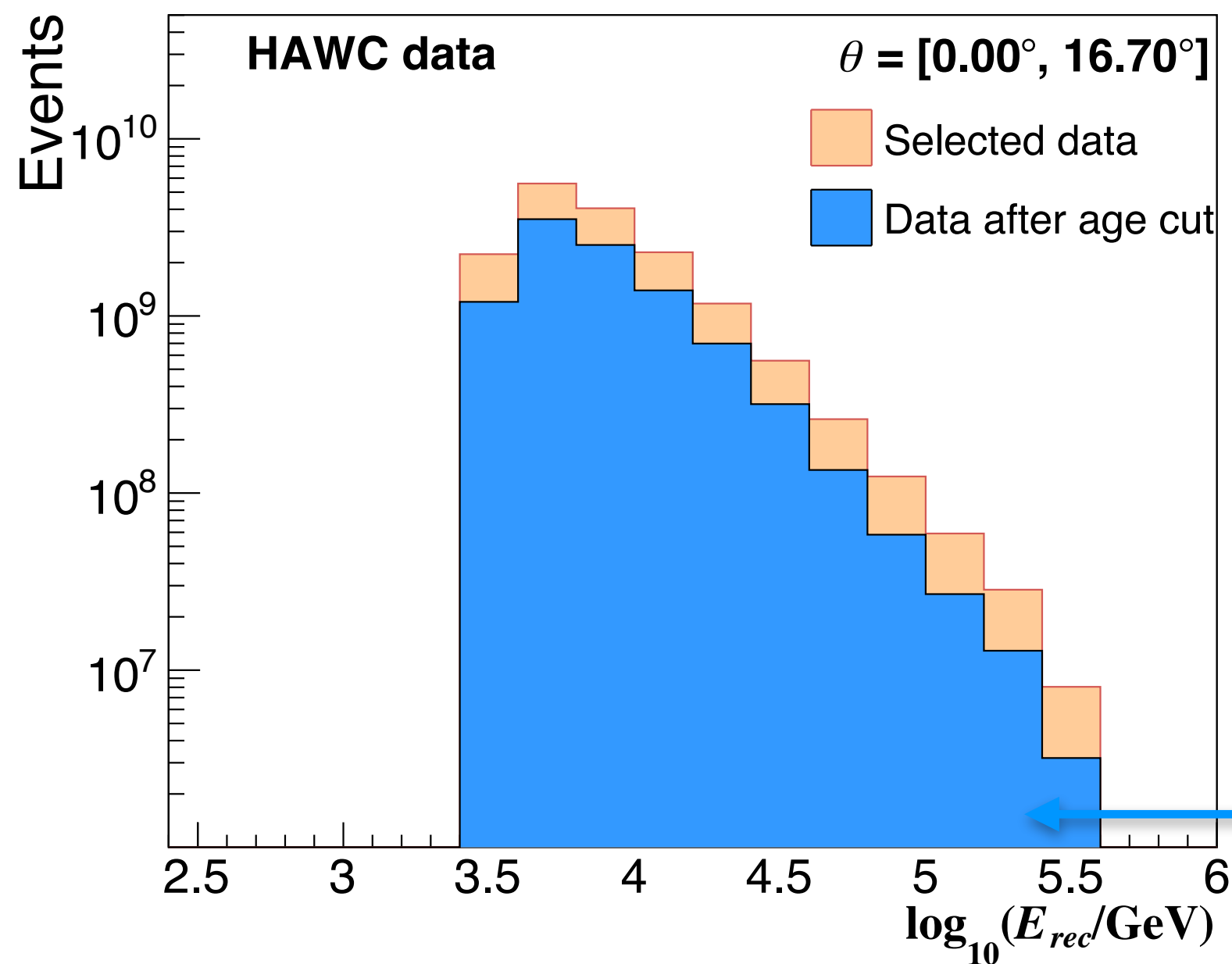


- Age parameter is sensitive to composition
- Select a subsample using a cut on the age
  - ▶ Subsample must have a large relative abundance of H and He.



- Content of H + He in subsample
  - ▶ More than 82% of H and He in subsample

## Build raw energy spectrum of subsample: $N_{\text{raw}}(E_{\text{rec}})$



- Experimental data used for analysis:

HAWC-300

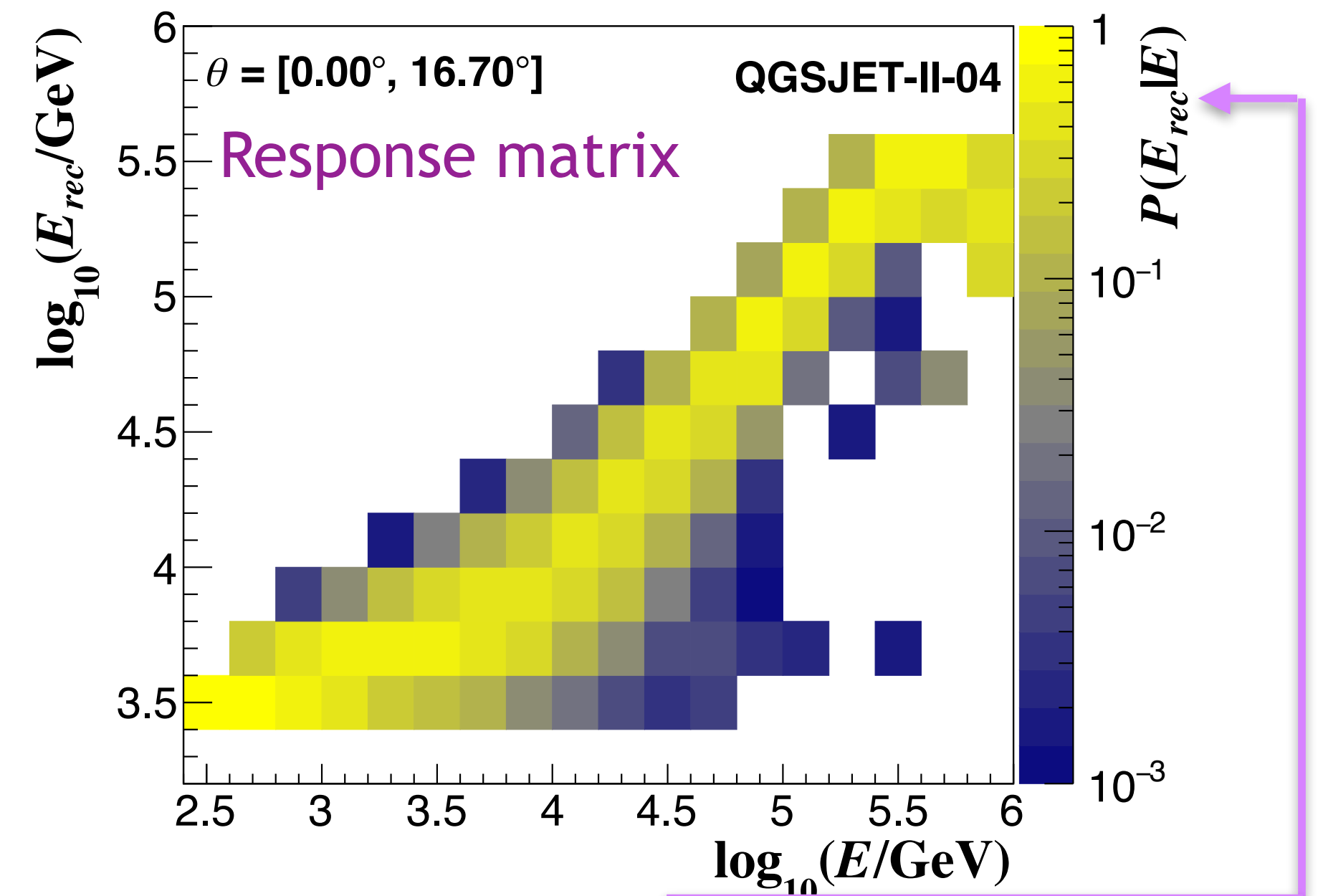
$\Delta t_{\text{eff}} = 3.74$  years (94% livetime)

(June/11/15-June/03/19)

$\Delta \Omega = 0.27$  sr

Total events :  $2.9 \times 10^{12}$  EAS  
 + selection cuts:  $1.6 \times 10^{10}$  EAS  
 + age cut:  $9.9 \times 10^9$  EAS

## Correct $N_{\text{raw}}(E_{\text{rec}})$ for migration effects



$$N_{\text{Raw}}(E_{\text{rec}, j}) = \sum_i P(E_{\text{rec}, j} | E_i) N_{\text{Unf}}(E_i)$$

- Solve for  $N_{\text{Unf}}(E_i)$  using Bayesian unfolding [G. D'Agostini, DESY 94-099]

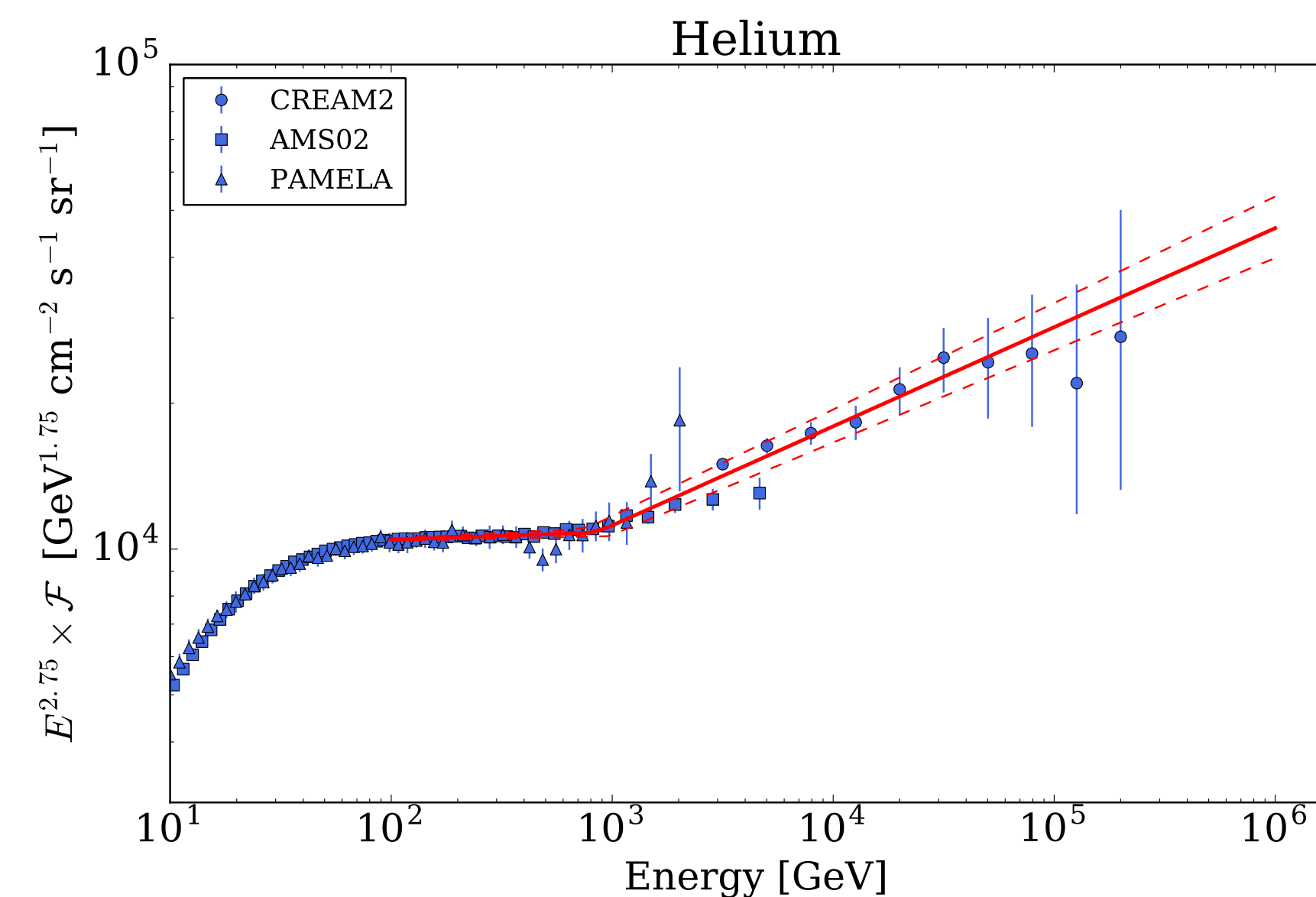
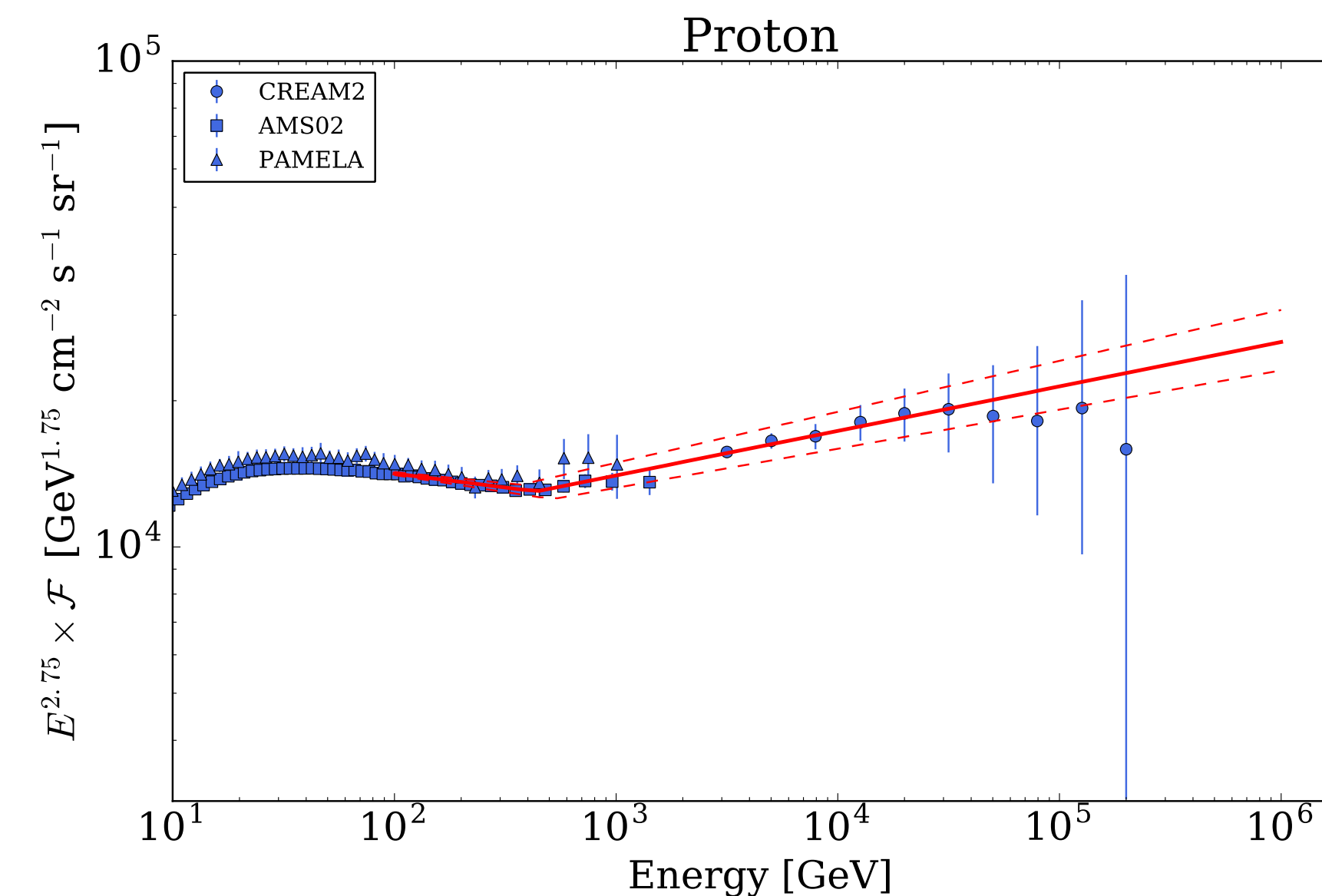
- Stopping criterium: Minimum of weighted mean squared error

[G. Cowan, Stat. Data analysis, Oxford Press. 1998]

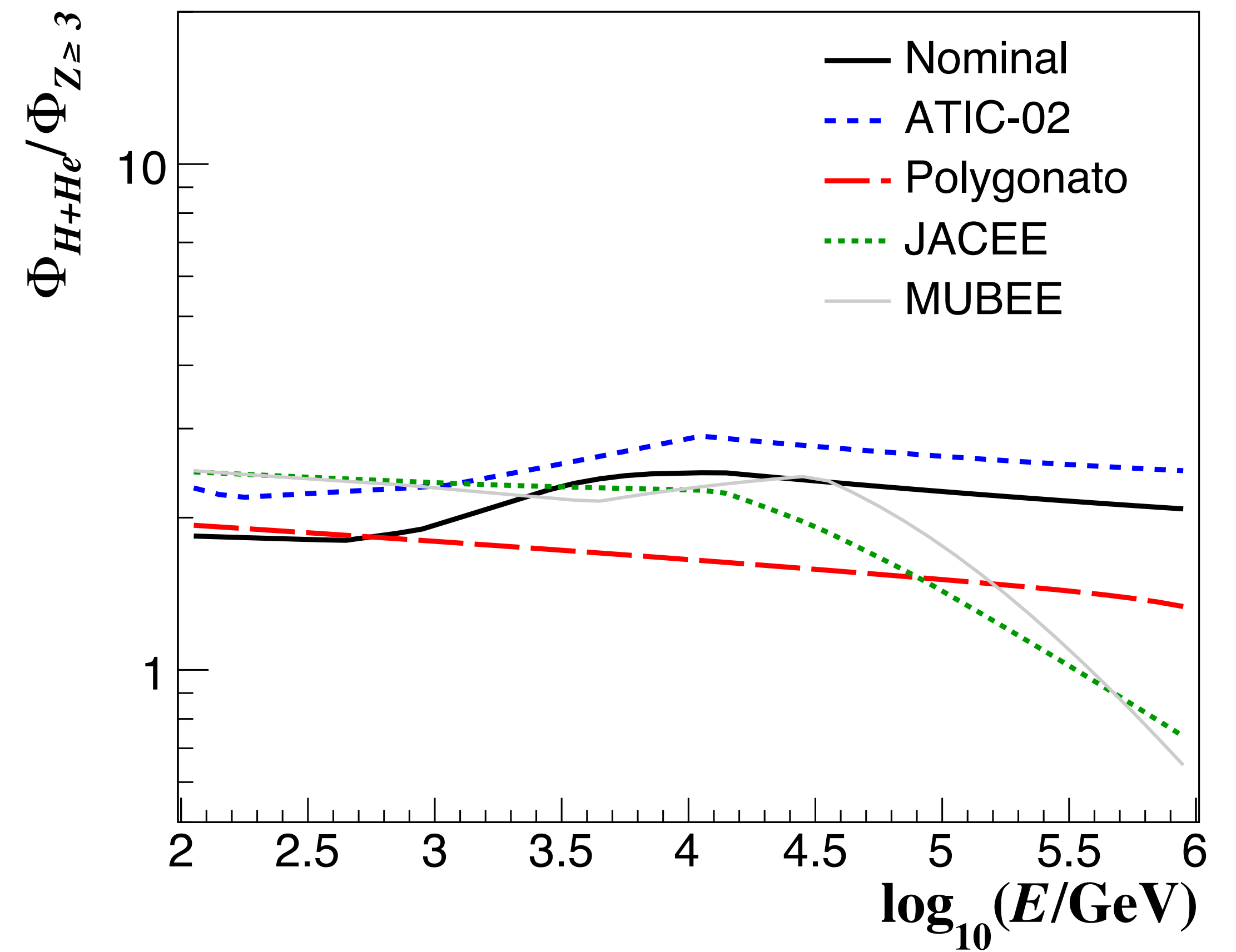
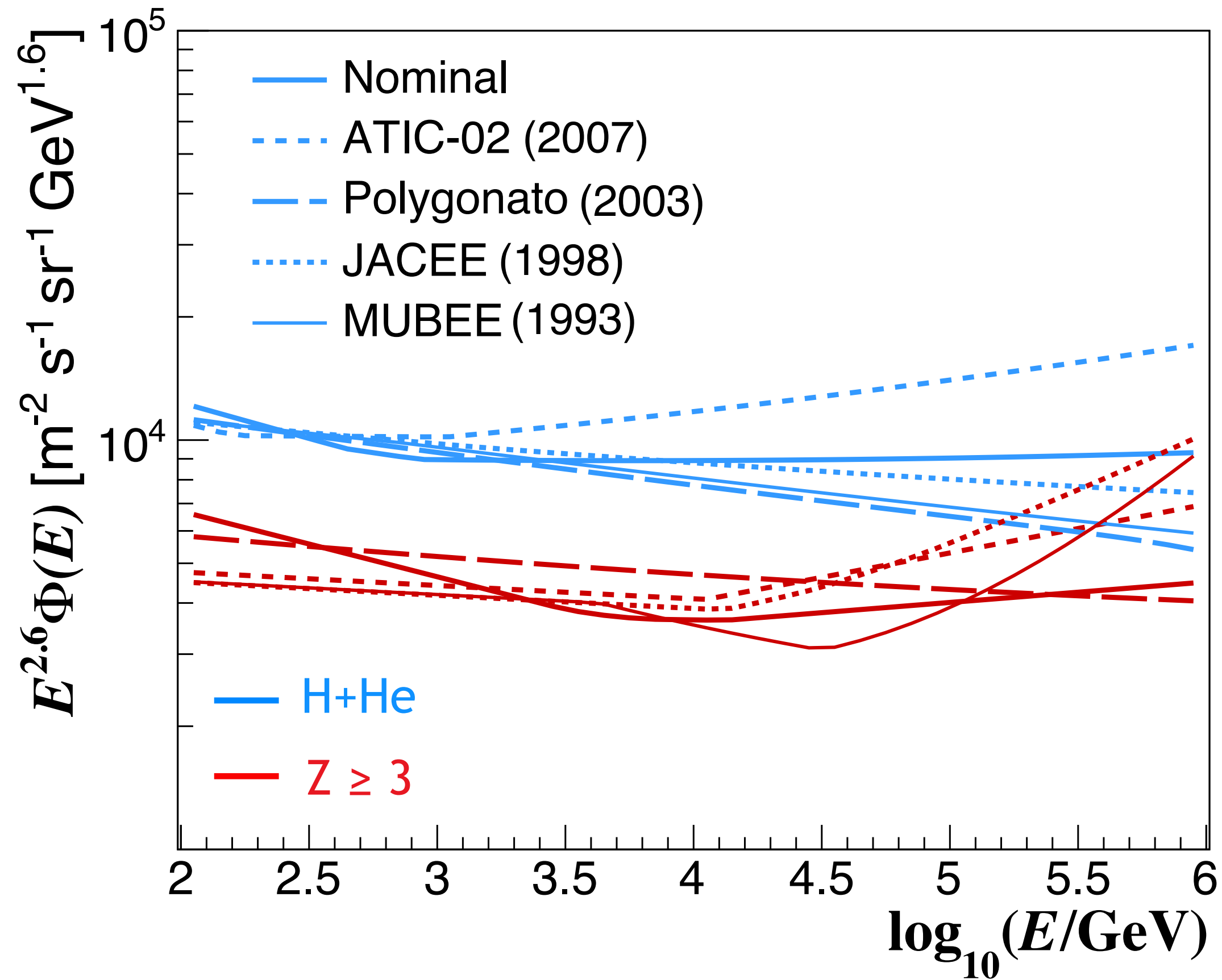
$$\text{WMSE} = \frac{1}{N_{\text{points}}} \sum_i \frac{\text{stat}_i^2 + \text{sys}_i^2}{n_i}$$



- CORSIKA v 7.40 for EAS simulation.
- **Fluka/QGSJET-II-04** as low( $E_{\text{lab}} < 80$  GeV)/high-energy interaction models for the main analysis.
- Fluka/EPOS-LHC simulations to study effect of hadronic interaction model.
- Full simulation of detector response with GEANT 4.
- $\theta < 70^\circ$ ;  $A_{\text{thrown}} \sim 3 \times 10^6 \text{ m}^2$
- Primary nuclei:
  - ▶ H, He, C, O, Ne, Mg, Si, Fe
  - ▶  $E = 5 \text{ GeV} - 3 \text{ PeV}$
  - ▶  $E^{-2}$  spectra weighted to follow broken power-laws derived from fits to **AMS02** (2015), **CREAM-II** (2009 & 2011) and **PAMELA** (2011) data. [HAWC Collab., PRD 96 (2017)]



## Composition models



- But also use different composition models for studies of systematics

## Selection cuts

- Important to reduce systematic effects on results:
  - ▶  $\theta < 16.7^\circ$
  - ▶ Successful core and arrival direction reconstruction
  - ▶ Activate at least 40 PMTs within 40 m from core
  - ▶ Fraction hit (# of hit PMT's/# available channels)  $\geq 0.2$
  - ▶  $\log_{10}(E/\text{GeV}) = [3.5, 5.5]$

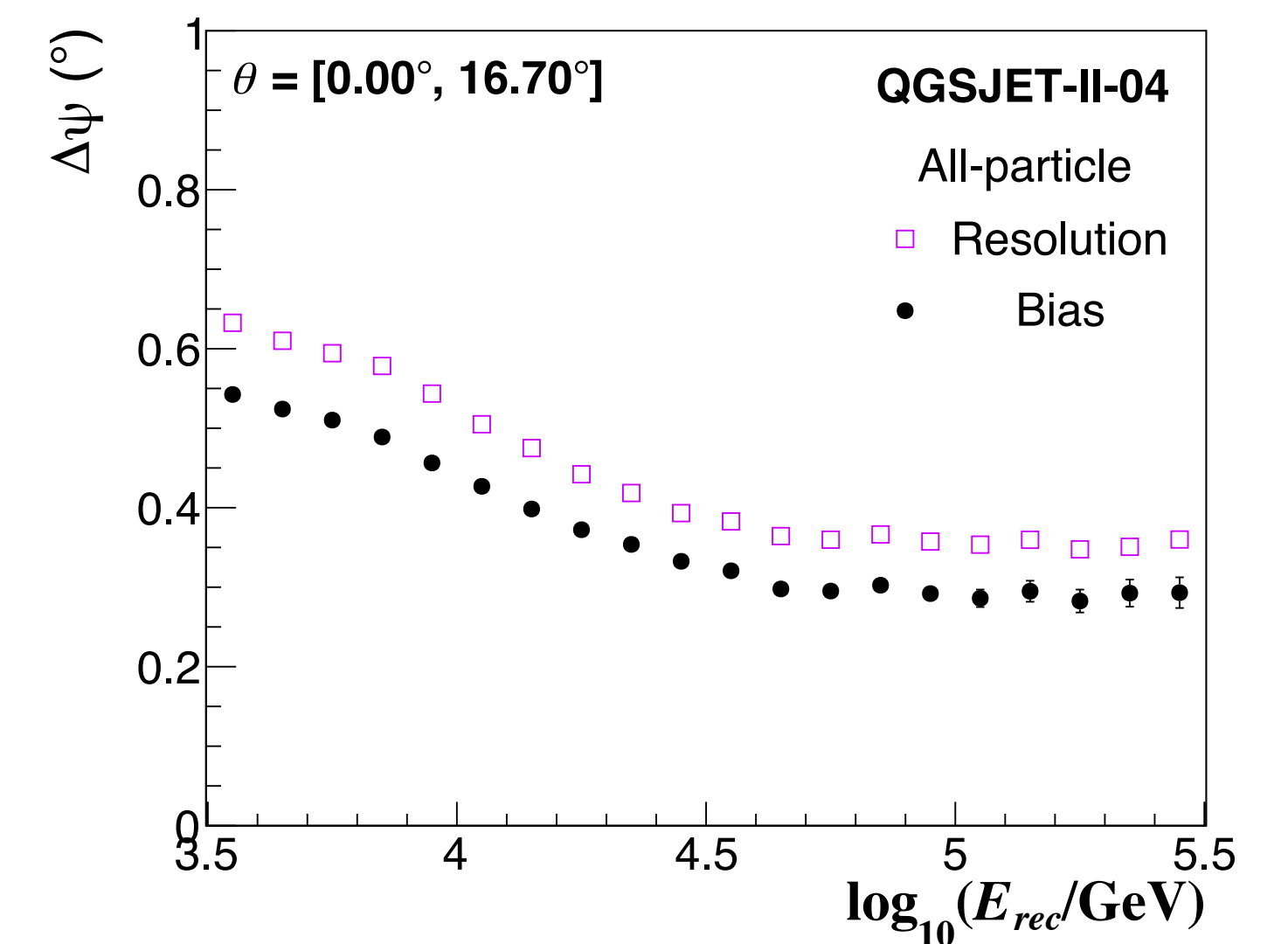
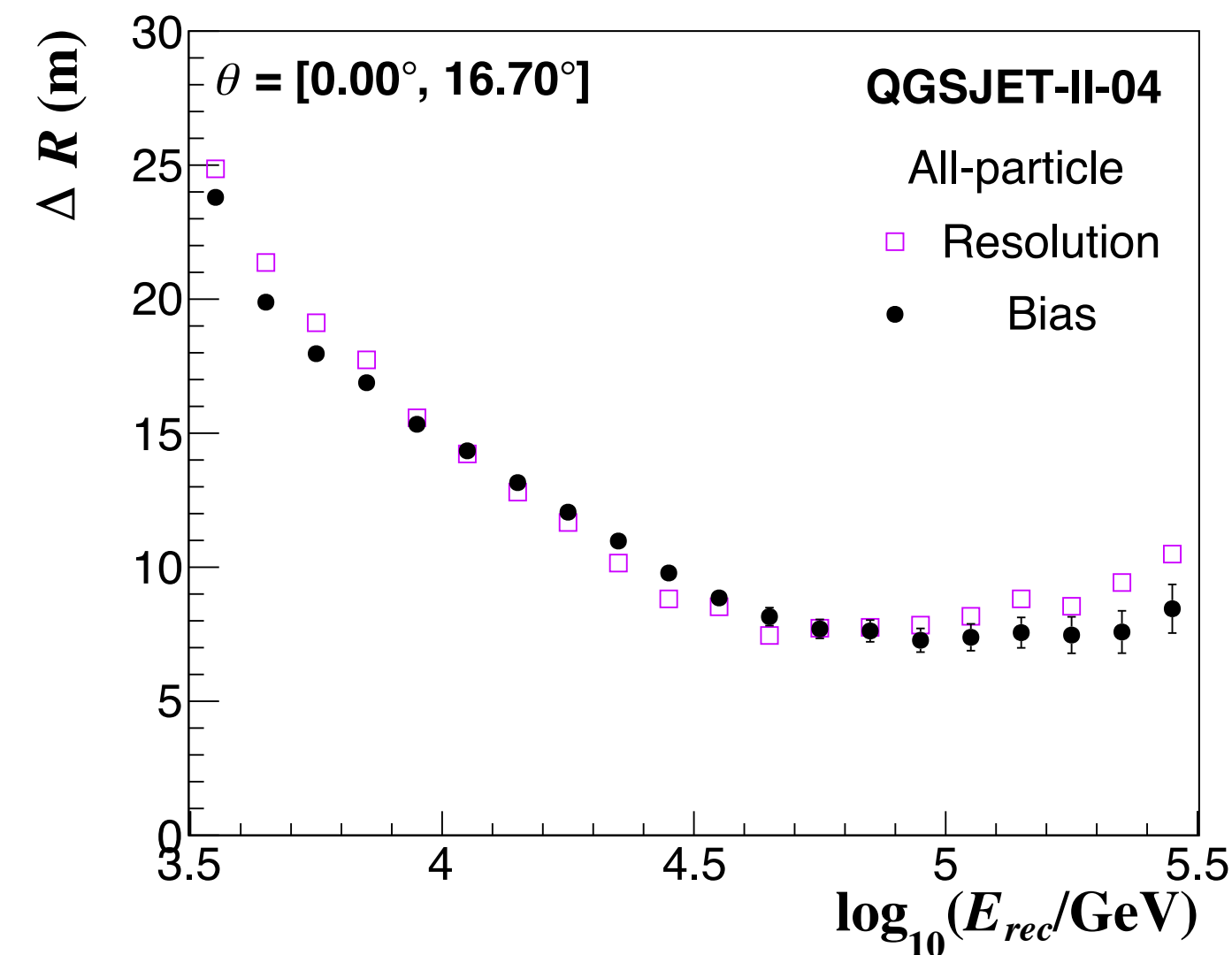
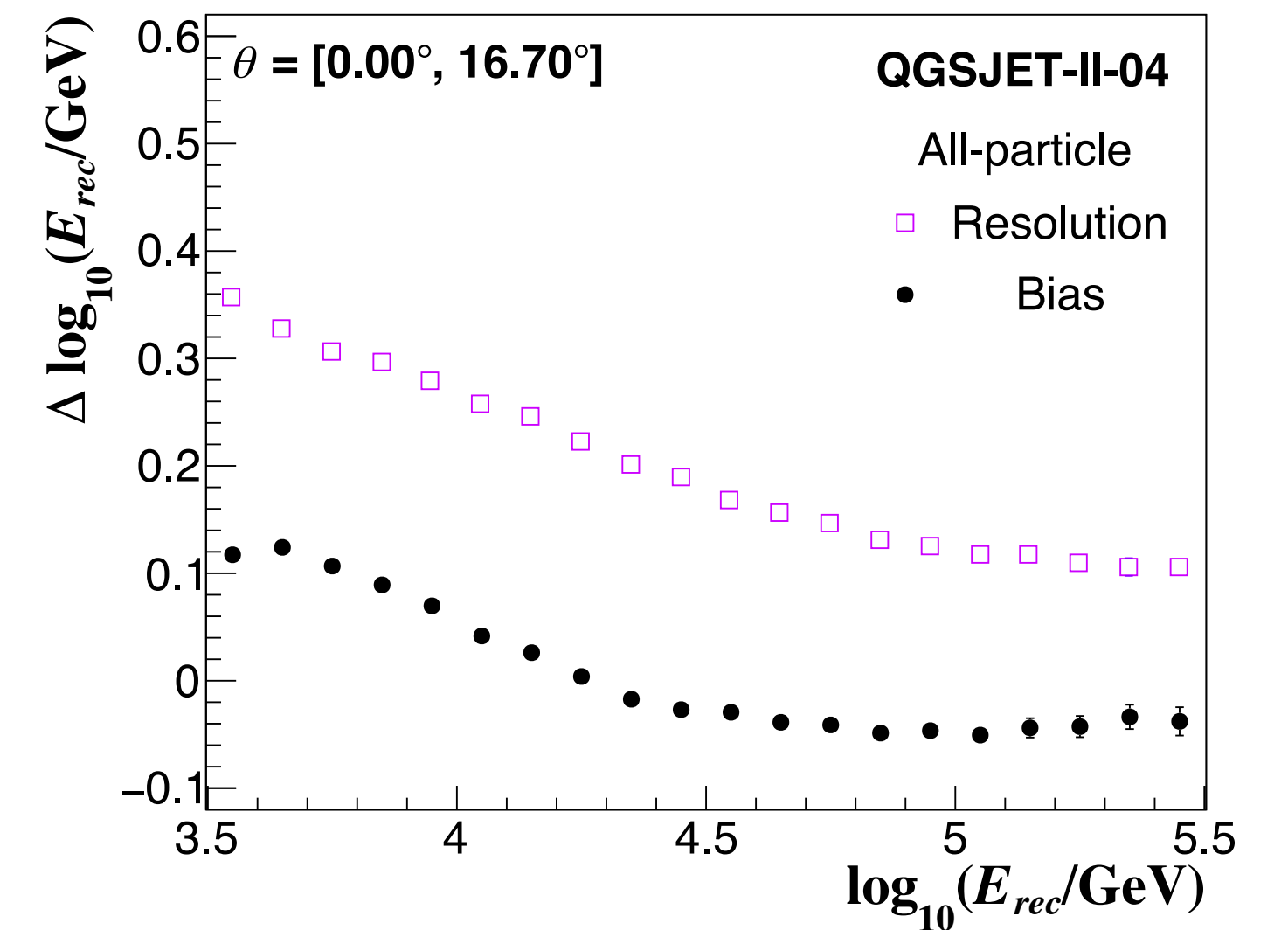
- Resolution:

**$E \geq 10$  TeV:**

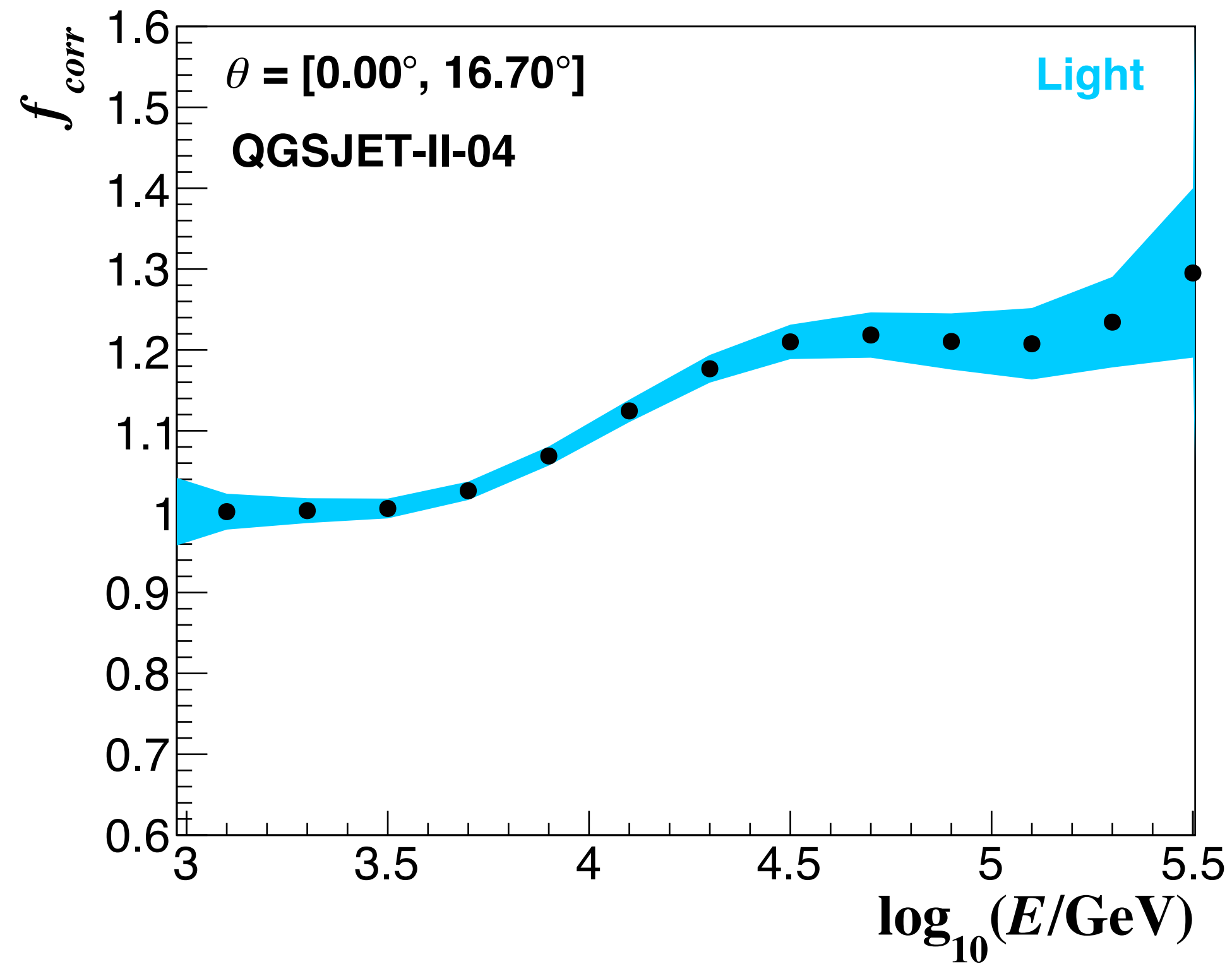
$$\Delta_{\text{core}} \leq 15 \text{ m}$$

$$|\Delta \log_{10}(E/\text{GeV})| \leq 0.26$$

$$\Delta \Psi \leq 0.55^\circ$$

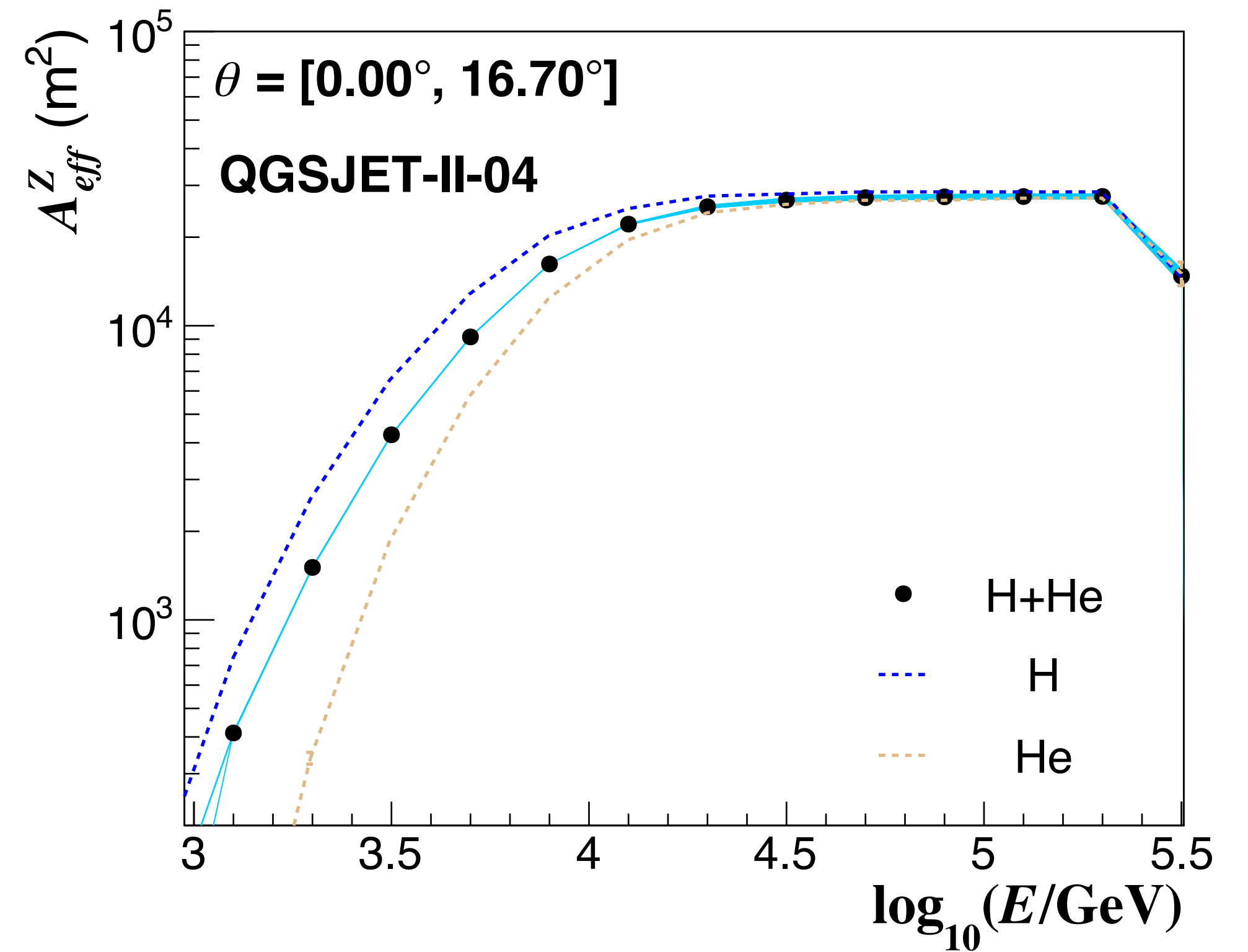


## Obtain effective area from MC simulations



- Correction factor due to contamination of heavy events

$$f_{corr} = (N_{light} / N_{light}^{H+He})$$

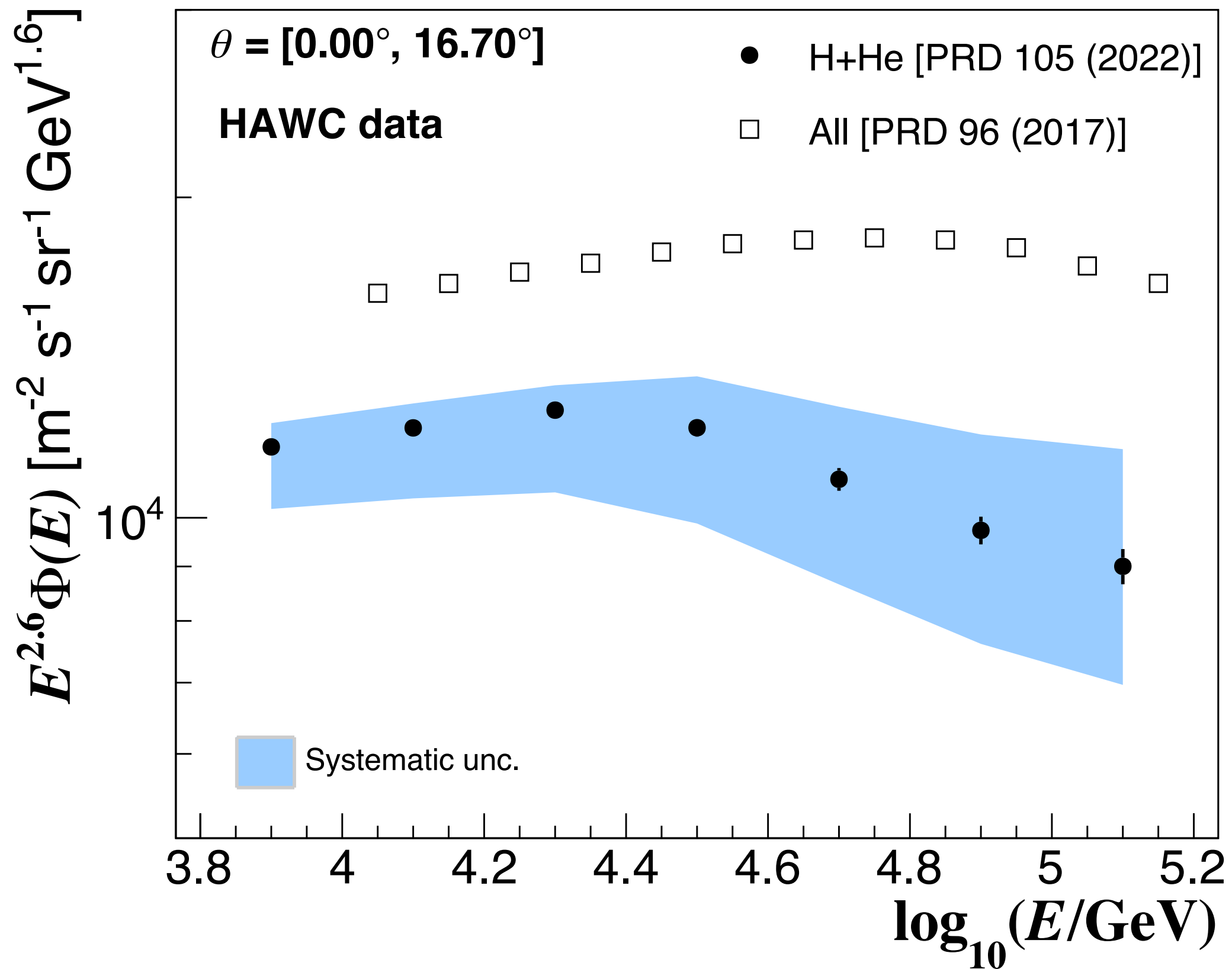


- Effective area of H+He in subsample

$$A_{eff}^{H+He}(E_i) = A_{thrown} \epsilon^{H+He}(E_i) \frac{\cos\theta_{max} + \cos\theta_{min}}{2}$$

## Get energy spectrum from $N^{\text{Unf}}$ and effective area

## Statistical and systematic uncertainties



- Energy spectrum was calculated as:

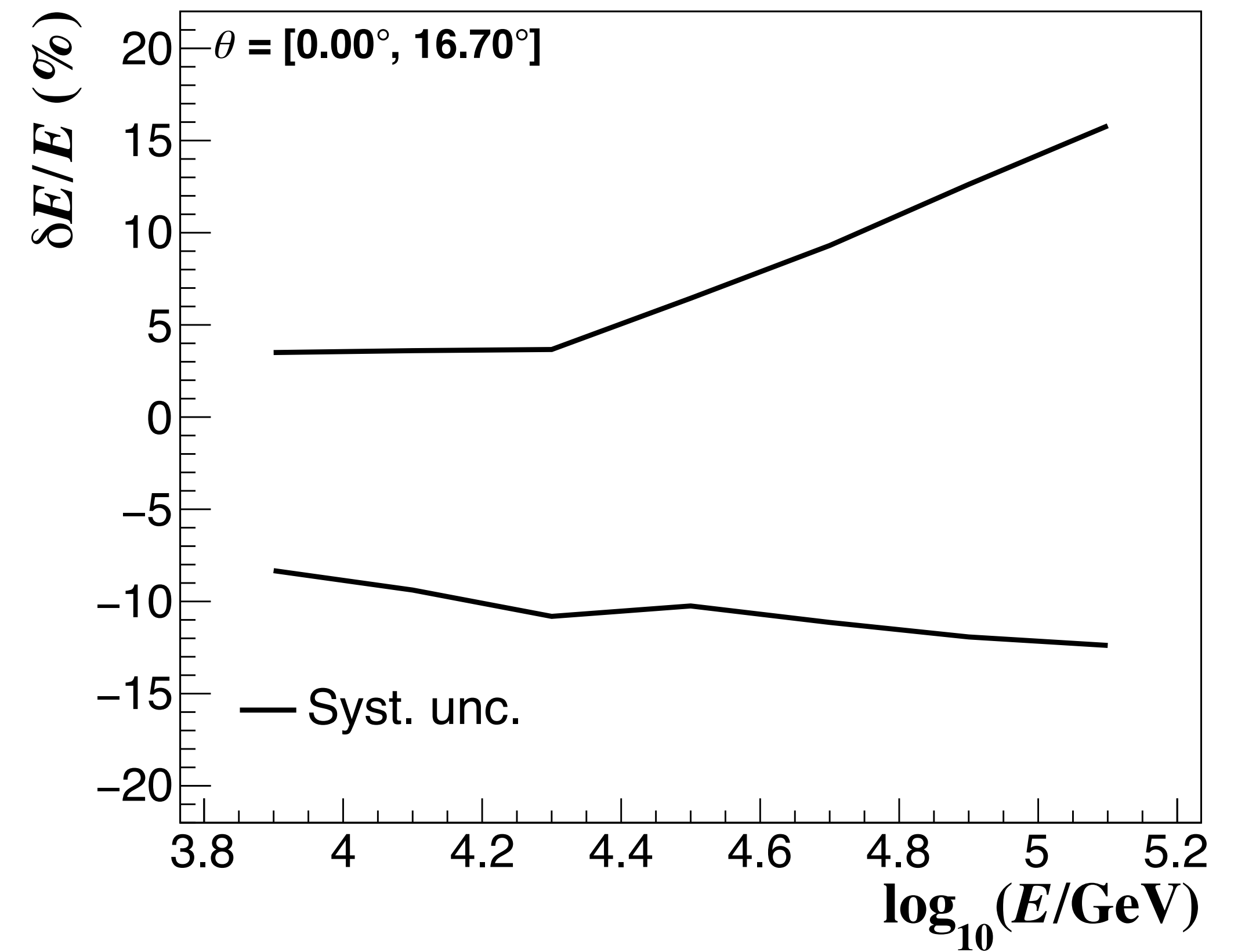
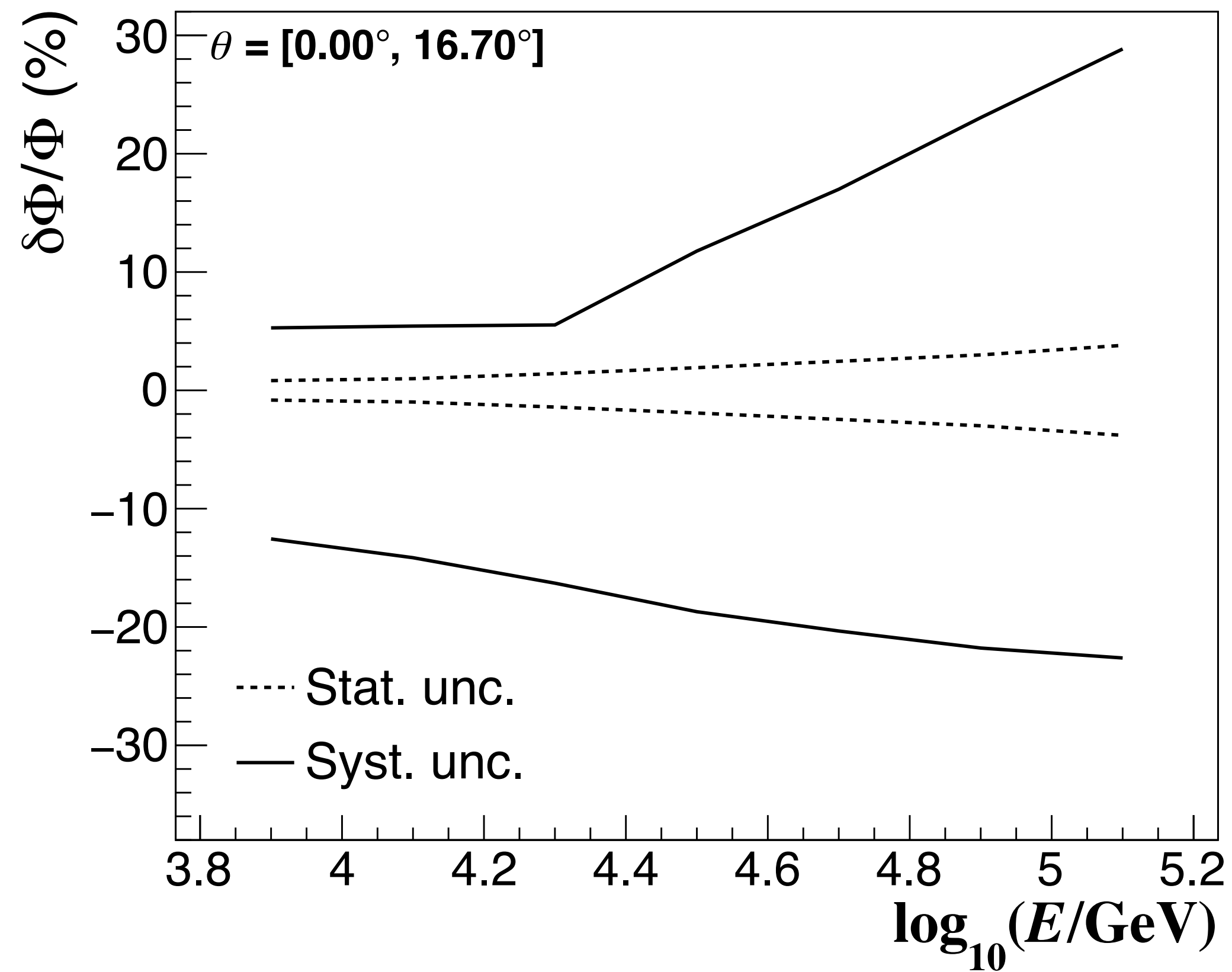
$$\Phi = N^{\text{Unf}}(E) / [\Delta E^T \cdot \Delta t_{\text{eff}} \cdot \Delta \Omega \cdot f_{\text{corr}}(E) \cdot A_{\text{eff}}^{\text{H+He}}(E)]$$

$\log_{10}(E/\text{GeV}) = 4.5$  (32 TeV)

	Relative error $\Phi$ (%)
<b>Statistical</b>	<b>+/- 1.92</b>
Exp. Data	+/- 0.01
Response matrix	+/- 1.92
<b>Systematic</b>	<b>+11.77/-18.71</b>
Composition	+0.86/-17.25
Aeff	+1.85/-2.04
Cut at He or C	+2.87/-0.75
Gold unfolding	+1.23
Seed unfolding	-1.42
Smoothing unfold.	+3.73/-1.32
PMT efficiency	+5.00
PMT threshold	+2.33/-1.53
PMT charge	+1.83
PMT late light	+8.77/-0.14
Hadronic model	-6.47
<b>Total</b>	<b>+11.93/-18.81</b>

## Statistical and systematic uncertainties

H+He



From  $N(E^R)$  we get the unfolded energy distribution  $N(E)$

How? Iterative procedure, **Bayesian Unfolding** [11-13]

1)  $P(E_j^R | E_i)$  ..... **Response Matrix**  
(calculated from MC data)

2)  $P(E_i | E_j^R) = \frac{P(E_j^R | E_i)P_0(E_i)}{\sum_l^{n_c} P(E_j^R | E_l)P_0(E_l)}$  ..... **Bayes formula**

3)  $N(E_i) = \sum_{j=1}^{n_E} P(E_i | E_j^R)N(E_j^R) = \sum_{j=1}^{n_E} M_{ij}N(E_j^R)$  ..... **True event distribution**

4)  $P(E_i) \equiv \frac{N(E_i)}{\sum_{i=1}^{n_c} N(E_i)} = \frac{N(E_i)}{N_{true}}$  ..... **Final probability**

5)  $WMSE = \frac{1}{n} \sum_{i=1}^n \frac{\bar{\sigma}_{stat,i}^2 + \bar{\delta}_{bias,i}^2}{N(E_i)}$  ..... **Weighted mean squared error**  
(The minimum is employed as a stopping criteria for the iteration depth)

# Gold's Unfolding

Use **matrix formalism**:

$$N_{\text{data}} = P N_{\text{unfold}}$$

**Introduce statistical errors** using new response matrix

$$P' = (CP)^T (CP),$$

and new unfolded vector

$$N'_{\text{data}} = (CP)^T C N_{\text{data}}$$

where

$$C_{ij} = \delta_{ij} / \sigma_i; (\sigma_i = 1 / \sqrt{n_i})$$

$N_{\text{unfold}}$  is found **iteratively** using the set of

equations:

$$N_{\text{unfold},i}^{k+1} = \frac{N_{\text{unfold},i}^k N'_{\text{data},i}}{\sum_j P'_{ij} N_{\text{unfold},j}^k}$$

Priors given by nominal composition model.

**Smoothing** intermediate spectra with ROOT-CERN libraries (353HQ-twice algorithm).

**Stopping criterium**: Minimum of Weighted Mean Square

Error:

$$\text{WMSE} = \frac{1}{m} \sum_j^m \frac{\sigma_{\text{stat},j}^2 + \delta_{\text{bias},j}^2}{N_{\text{unfold},j}}$$

[R.Gold, Report ANL-6984, 1964]

[KASCADE Collab., App 24 (2005) 1]



## Fit of spectrum

1. Use following functions:

—> Single power law:

$$d\Phi(E)/dE = \Phi_0 E^{\gamma_1}$$

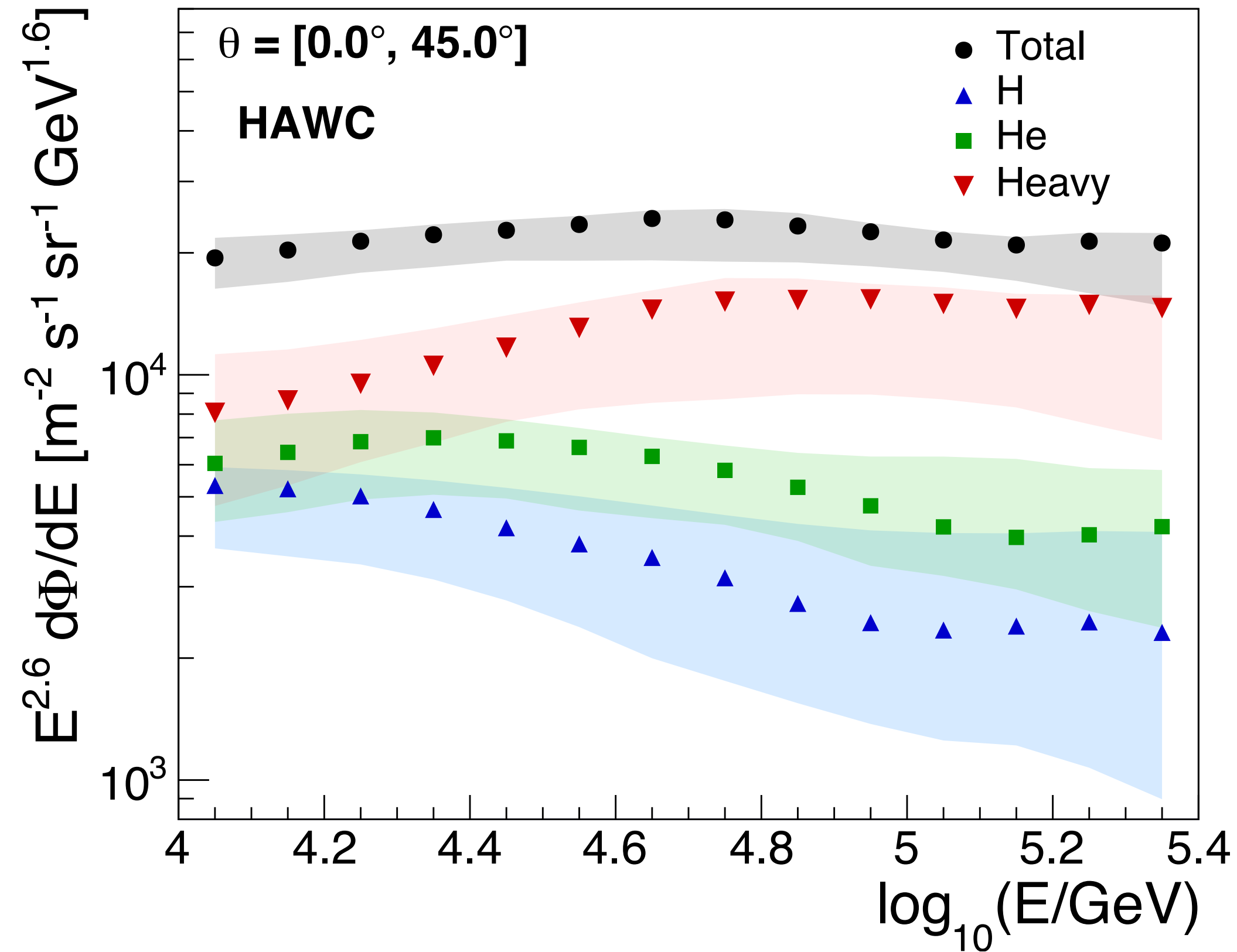
—> Broken power law:

$$d\Phi(E)/dE = \Phi_0 E^{\gamma_1} [ 1 + (E/E_0)^\epsilon ]^{(\gamma_2 - \gamma_1)/\epsilon}$$

2. Minimize  $\chi^2$  with MINUIT and take into account correlation between points:

$$\chi^2 = \sum_{i,j} [\Phi_i^{\text{data}} - \Phi^{\text{fit}}(E_i)] [V_{\text{stat}}^{\text{Tot}}]^{-1}_{ij} [\Phi_j^{\text{data}} - \Phi^{\text{fit}}(E_j)]$$

[C. Patrignani et al. (PDG), Chin. Phys. C, 40 (2016) and (2017) update]



- **Statistical errors**  $< 0.05\%$ .
- **Systematic errors**  $< 78\%$ 
  - Statistics of the MC data set + Effective area ( $< 7\%$ ).
  - Uncertainties in parameters of the PMTs ( $< 55\%$ ).
  - Hadronic interaction model: EPOS-LHC ( $< 30\%$ ).
  - Unfolding procedure: bias, seed, reduced cross entropy technique ( $< 14\%$ ).
  - Bias in shower age ( $< 20\%$ ).
  - Cosmic ray composition model: GSF, poligonato, JACEE, ATIC-02 ( $< 19\%$ ).

# HAWC Composition

- Results show that the spectra of these mass groups have fine structures, in particular, individual softenings, whose energy positions increase with the primary mass.
- Observation of softening in the spectra of H and He at  $\sim 14$  TeV and  $\sim 25$  TeV respectively.
- Confirms recent detections by DAMPE of similar features in p and He spectra.
- Agreement between both techniques confirms potential of high-altitude EAS for studying TeV cosmic rays.
- Additional feature in spectrum of the heavy CR component in TeV region and indications in HAWC data of possible hardening in the intensities of H and He near 100 TeV in agreement with GRAPES-3.

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