

# Theory of neutrino masses and oscillations

## Lecture 2

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- neutrino propagation in matter
  - constant matter density – long baseline experiments
  - varying matter density – solar neutrinos
- 3-flavour interpretation of experimental results
- the absolute neutrino mass scale
- the neutrino nature: neutrinoless double beta decay

Ecole de Gif 2022 : La Physique des Neutrinos  
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# Neutrino propagation in matter

The interaction of neutrinos with matter (e-, p, n) affect their propagation  
⇒ modified oscillation parameters + a new phenomenon: matter-induced flavour conversion in a medium with varying density

Appropriate description: Schrödinger-like equation

$$i \frac{d}{dt} |\nu(t)\rangle = H |\nu(t)\rangle$$

The Hamiltonian H contains a potential term describing the interactions of the neutrinos with the medium and can depend on t

It is convenient to write the Schrödinger equation in the flavour eigenstate basis  $\{ |\nu_\alpha\rangle, |\nu_\beta\rangle, \dots \}$ , in which  $|\nu(t)\rangle = \sum_\beta \nu_\beta(t) |\nu_\beta\rangle$ :

$$i \frac{d}{dt} \nu_\beta(t) = \sum_\gamma H_{\beta\gamma} \nu_\gamma(t)$$

$$\nu_\beta(t) = \langle \nu_\beta | \nu(t) \rangle$$

$$H_{\beta\gamma} = \langle \nu_\beta | H | \nu_\gamma \rangle$$

$\nu_\beta(t)$  is the probability amplitude to find the neutrino in the state  $|\nu_\beta\rangle$  at t  
if  $|\nu(t=0)\rangle = |\nu_\alpha\rangle$ , then  $P(\nu_\alpha \rightarrow \nu_\beta) = |\nu_\beta(t)|^2$

## Vacuum oscillations in the Schrödinger formalism (2-flavour case)

$$i \frac{d}{dt} \begin{pmatrix} \nu_\alpha(t) \\ \nu_\beta(t) \end{pmatrix} = H_0 \begin{pmatrix} \nu_\alpha(t) \\ \nu_\beta(t) \end{pmatrix} \quad H_0 = U \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix} U^\dagger$$

The Hamiltonian in vacuum  $H_0$  is diagonalized by the PMNS matrix

One can check that this reproduces the standard oscillation formula (\*)

It is customary to subtract a piece proportional to the unit matrix from  $H_0$  (which only affects the overall phase of the neutrino state vector  $|\nu(t)\rangle$ , leaving oscillations unchanged) to bring it to the form:

$$H_0 = \begin{pmatrix} -\frac{\Delta m^2}{2E} \cos 2\theta & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & 0 \end{pmatrix}$$

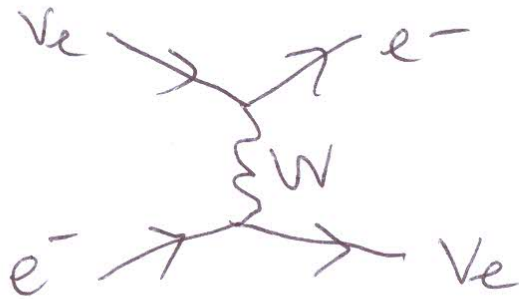
(\*) proof: use the fact that  $|\nu_\gamma\rangle = \sum_i U_{\gamma i}^* |\nu_i\rangle \Rightarrow \nu_\gamma(t) = \sum_i U_{\gamma i} \nu_i(t)$ , where  $\nu_i(t) \equiv \langle \nu_i | \nu(t) \rangle$  to show that the solution of the Schrödinger equation is  $\nu_\gamma(t) = \sum_i U_{\gamma i} \nu_i(t)$  with  $\nu_i(t) = e^{-iE_i t} \nu_i(0) = e^{-iE_i t} U_{\delta i}^* \nu_\delta(0)$ , which reproduces the known oscillation formula

# Neutrino Hamiltonian in matter

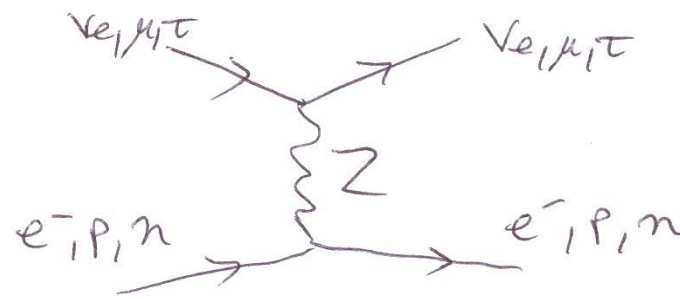
$$H_0 \rightarrow H_m = H_0 + V$$

$V$  induced by interactions (anti-)neutrinos /  $e^-$ ,  $p$ ,  $n$  of the medium

Relevant interactions: forward elastic scatterings ( $\vec{p}_\nu$  unchanged)



CC – only for  $\nu_e$



NC – same for  $\nu_{e,\mu,\tau} \Rightarrow$  can be subtracted from  $H_m$

$$V_{CC} = \sqrt{2} G_F n_e(x)$$

$$V_{NC} = -\frac{G_F}{\sqrt{2}} n_n(x)$$

$G_F$  = Fermi constant

In the flavour eigenstate basis:

$$(H_m)_{\beta\gamma} = (H_0)_{\beta\gamma} + V_\beta \delta_{\beta\gamma} \quad V_\beta = V_{CC}^\beta + V_{NC}^\beta$$

For anti-neutrinos,  $V$  has the opposite sign:  $V \rightarrow -V$

For a sterile (= insensitive to weak interactions) neutrino:  $V_\beta = 0$

## Example with electron neutrinos and another flavour:

$$i \frac{d}{dt} \begin{pmatrix} \nu_\alpha(t) \\ \nu_\beta(t) \end{pmatrix} = H_m \begin{pmatrix} \nu_\alpha(t) \\ \nu_\beta(t) \end{pmatrix} \quad \begin{cases} \alpha = e \\ \beta = \mu, \tau, s \end{cases}$$

$$H_m = \begin{pmatrix} -\frac{\Delta m^2}{2E} \cos 2\theta + \sqrt{2} G_F n & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & 0 \end{pmatrix}$$

$$n = \begin{cases} n_e(x) & \text{if } \beta = \mu, \tau \\ n_e(x) - \frac{1}{2} n_n(x) & \text{if } \beta = s \end{cases}$$

For anti-neutrinos,  $+\sqrt{2} G_F n \rightarrow -\sqrt{2} G_F n$

## Energy levels in matter and matter eigenstates

In vacuum, the PMNS matrix  $U$  relates the flavour eigenstates to the mass eigenstates (= eigenstates of  $H_0$ ):

$$\begin{pmatrix} |\nu_\alpha\rangle \\ |\nu_\beta\rangle \end{pmatrix} = U^* \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \end{pmatrix} \quad \left. \begin{array}{l} \leftarrow E_1 = \sqrt{\vec{p}^2 + m_1^2} \\ \leftarrow E_2 = \sqrt{\vec{p}^2 + m_2^2} \end{array} \right\}$$

In matter, one defines matter eigenstates = eigenstates of  $H_m$

$$\begin{pmatrix} |\nu_\alpha\rangle \\ |\nu_\beta\rangle \end{pmatrix} = U_m^* \begin{pmatrix} |\nu_1^m\rangle \\ |\nu_2^m\rangle \end{pmatrix} \quad \left. \begin{array}{l} \leftarrow E_1^m \\ \leftarrow E_2^m \end{array} \right\} \begin{array}{l} \text{eigenvalues of } H_m = \\ \text{energy levels in matter} \end{array}$$

$U_m$  contains the mixing angle in matter that diagonalizes  $H_m$  :

$$H_m = U_m \begin{pmatrix} E_1^m & 0 \\ 0 & E_2^m \end{pmatrix} U_m^\dagger \quad U_m = \begin{pmatrix} \cos \theta_m & \sin \theta_m \\ -\sin \theta_m & \cos \theta_m \end{pmatrix}$$

By analogy with  $\nu_\beta(t) = \langle \nu_\beta | \nu(t) \rangle$ , one defines  $\nu_i^m(t) = \langle \nu_i^m | \nu(t) \rangle$

(amplitude of probability to find the neutrino in the  $i$ th matter eigenstate at  $t$ )

then

$$\begin{pmatrix} \nu_\alpha(t) \\ \nu_\beta(t) \end{pmatrix} = U_m \begin{pmatrix} \nu_1^m(t) \\ \nu_2^m(t) \end{pmatrix}$$

## Medium with constant matter density

$n(\mathbf{x}) = n \Rightarrow H_m$ , hence the matter eigenstates  $|\nu_i^m\rangle$ , energy levels  $E_i^m$  and mixing matrix  $U_m$ , do not depend on  $t$

Using  $\begin{pmatrix} \nu_\alpha(t) \\ \nu_\beta(t) \end{pmatrix} = U_m \begin{pmatrix} \nu_1^m(t) \\ \nu_2^m(t) \end{pmatrix}$ , one can rewrite the Schrödinger equation for the probability amplitudes  $\nu_i^m(t)$

$$i \frac{d}{dt} \begin{pmatrix} \nu_1^m(t) \\ \nu_2^m(t) \end{pmatrix} = \begin{pmatrix} E_1^m & 0 \\ 0 & E_2^m \end{pmatrix} \begin{pmatrix} \nu_1^m(t) \\ \nu_2^m(t) \end{pmatrix}$$

which is solved by  $\nu_i^m(t) = e^{-iE_i^m t} \nu_i^m(0)$ , giving

$$P(\nu_\alpha \rightarrow \nu_\beta) = |\nu_\beta(t)|^2 = |\sum_i (U_m)_{\beta i} \nu_i^m(t)|^2 = \sin^2 2\theta_m \sin^2 \frac{(E_m^2 - E_m^1)t}{2}$$

→ oscillations in matter with constant density are governed by the same formula as in vacuum, with the replacements

$$\theta \rightarrow \theta_m, \quad \frac{\Delta m^2}{4E} \rightarrow \frac{(E_m^2 - E_m^1)}{2}$$

## Oscillation parameters in matter

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta_m \sin^2 \frac{(E_m^2 - E_m^1)t}{2}$$

$$E_2^m - E_1^m = \frac{\Delta m^2}{2E} \sqrt{\left(1 - \frac{n}{n_{\text{res}}}\right)^2 \cos^2 2\theta + \sin^2 2\theta}$$

$$\sin 2\theta_m = \frac{\sin 2\theta}{\sqrt{\left(1 - \frac{n}{n_{\text{res}}}\right)^2 \cos^2 2\theta + \sin^2 2\theta}}$$

$$\cos 2\theta_m = \frac{\left(1 - \frac{n}{n_{\text{res}}}\right) \cos 2\theta}{\sqrt{\left(1 - \frac{n}{n_{\text{res}}}\right)^2 \cos^2 2\theta + \sin^2 2\theta}}$$

$$n_{\text{res}} \equiv \frac{\Delta m^2 \cos 2\theta}{2\sqrt{2} G_F E}$$

for antineutrinos,

$$n \rightarrow -n$$

( $n = n_e$  if only active neutrinos)

MSW resonance (Mikheev-Smirnov-Wolfenstein):

$$\sin 2\theta_m = 1 \quad \text{for } n = n_{\text{res}}$$

(irrespective of the value of the mixing angle in vacuum  $\theta$ )

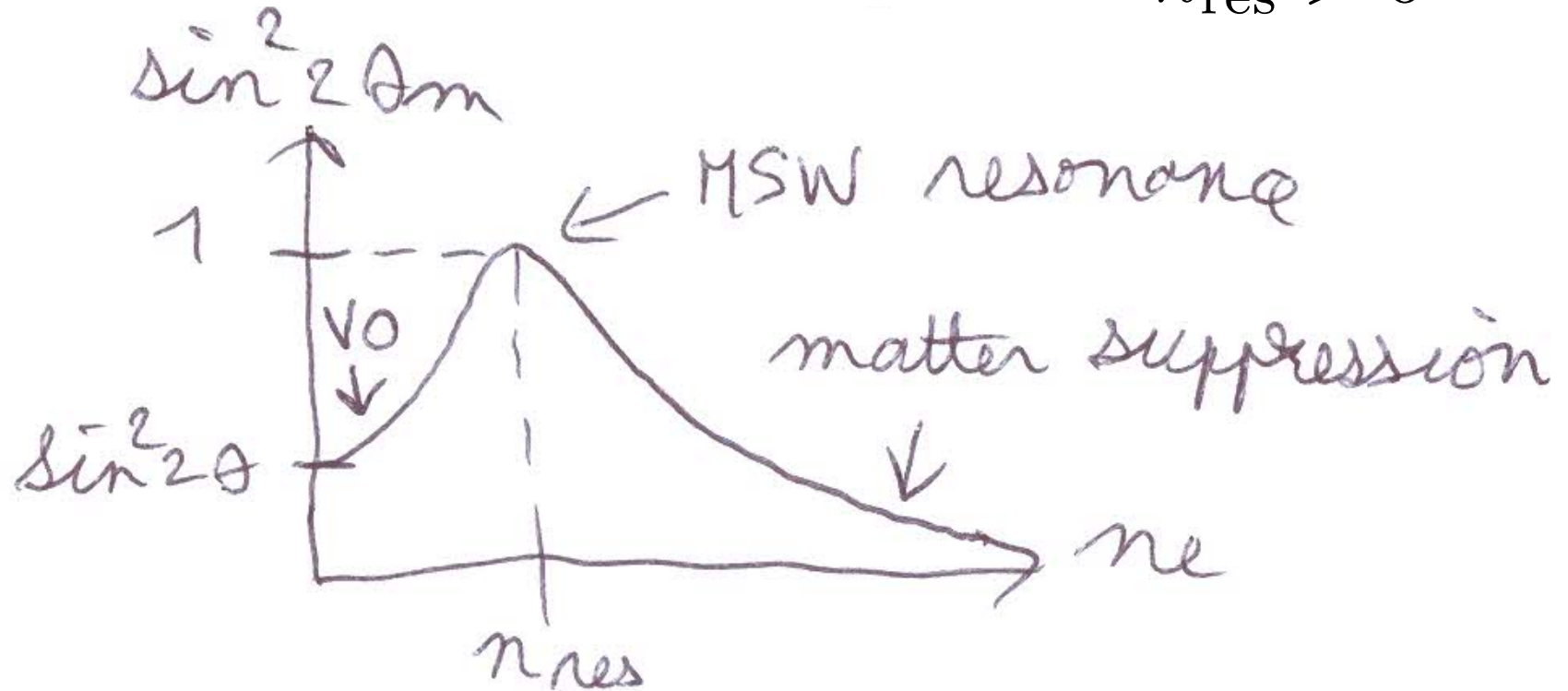
$$\text{Resonance condition: } \begin{cases} \Delta m^2 \cos 2\theta > 0 & \text{for neutrinos} \\ \Delta m^2 \cos 2\theta < 0 & \text{for antineutrinos} \end{cases}$$

When neutrino oscillations are enhanced, antineutrino oscillations are suppressed, and vice versa



## Different regimes for oscillations in matter :

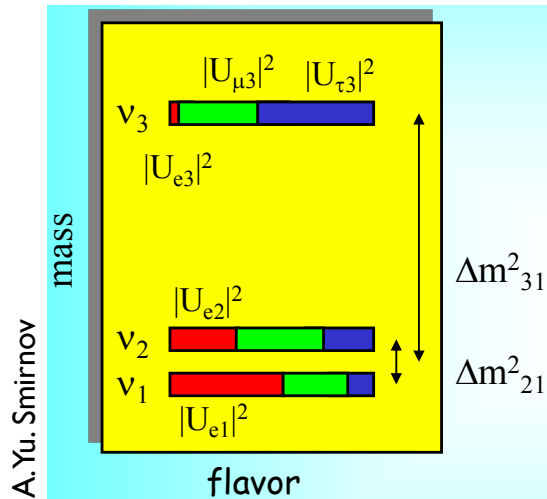
$$n_{\text{res}} > 0$$



- low density (  $n \ll n_{\text{res}}$  ) :  $\sin 2\theta_m \simeq \sin 2\theta \Rightarrow$  vacuum oscillations
- resonance (  $n = n_{\text{res}}$  ) :  $\sin 2\theta_m = 1$
- high density (  $n \gg n_{\text{res}}$  ) :  $\sin 2\theta_m < (\ll) \sin 2\theta \Rightarrow$  oscillations are suppressed by matter effects

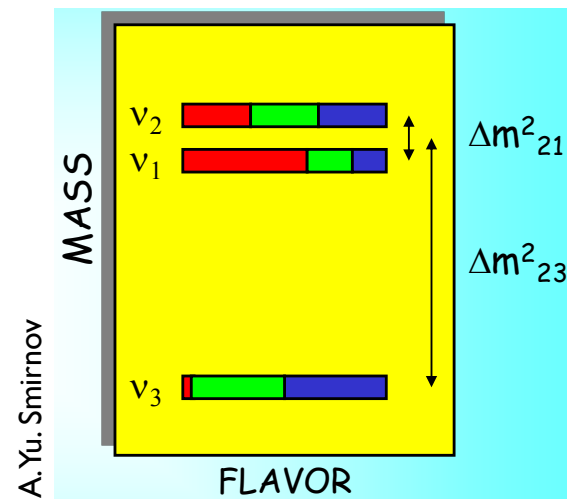
# Application: determination of the mass hierarchy in long-baseline experiments

Two mass orderings allowed by experiments:



Normal hierarchy

$$\Delta m_{31}^2 > 0$$



Inverted hierarchy

$$\Delta m_{31}^2 < 0$$

In vacuum: 
$$P(\nu_\mu \rightarrow \nu_e) \simeq \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$

For long baselines (> several 100 km), matter effects cannot be neglected

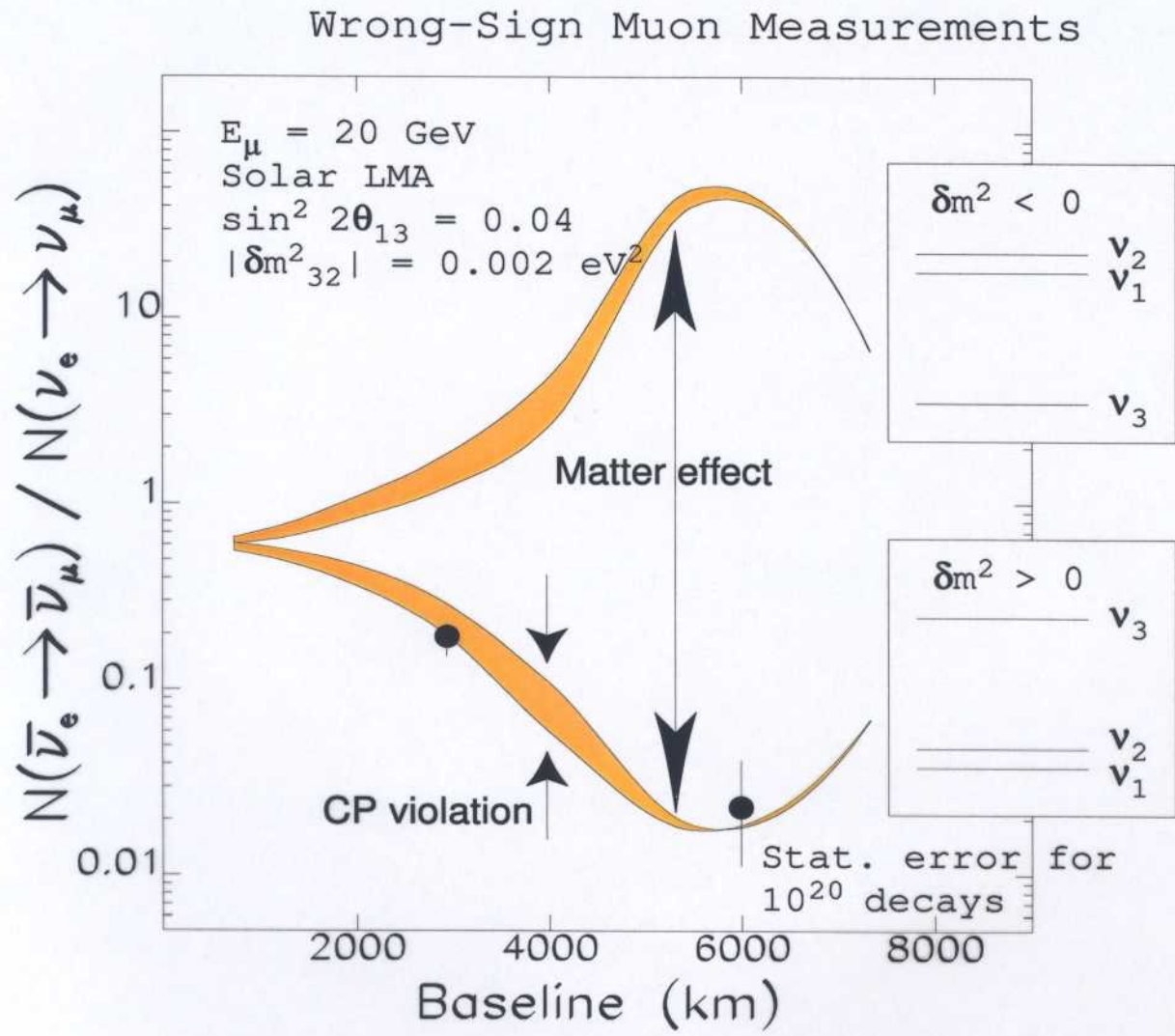
$$n_{\text{res}} = \frac{\Delta m_{31}^2 \cos 2\theta_{13}}{2\sqrt{2} G_F E} \quad \begin{cases} n_{\text{res}} > 0 & \text{for normal hierarchy} \\ n_{\text{res}} < 0 & \text{for inverted hierarchy} \end{cases}$$

If  $n_{\text{res}}$  is close to the Earth crust density, neutrino (antineutrino) oscillations are enhanced for NH (IH), while antineutrino (neutrino) oscillations are suppressed

[may have to disentangle CP violation from matter effect]

and outdated but  
informative plot

$$R = \frac{\bar{\nu}_e \rightarrow \bar{\nu}_\mu}{\nu_e \rightarrow \nu_\mu}$$



[Barger, Geer, Raja, Whisnant]

Figure 2: Predicted ratios of  $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$  to  $\nu_e \rightarrow \nu_\mu$  rates at a 20 GeV neutrino factory. The statistical error shown corresponds to  $10^{20}$  muon decays of each sign and a 50 kt detector.

- Un baseline de  $L = \mathcal{O}(3000 \text{ km})$  est nécessaire/optimale

## Medium of varying density (e.g. the Sun)

Now the matter eigenstates, energy levels and mixing angle depend on  $t$

→ “instantaneous” matter eigenstates:  $|\nu_i^m(t)\rangle \leftarrow E_i^m(t)$

$$H_m = U_m \begin{pmatrix} E_1^m(t) & 0 \\ 0 & E_2^m(t) \end{pmatrix} U_m^\dagger \quad U_m = \begin{pmatrix} \cos \theta_m(t) & \sin \theta_m(t) \\ -\sin \theta_m(t) & \cos \theta_m(t) \end{pmatrix}$$

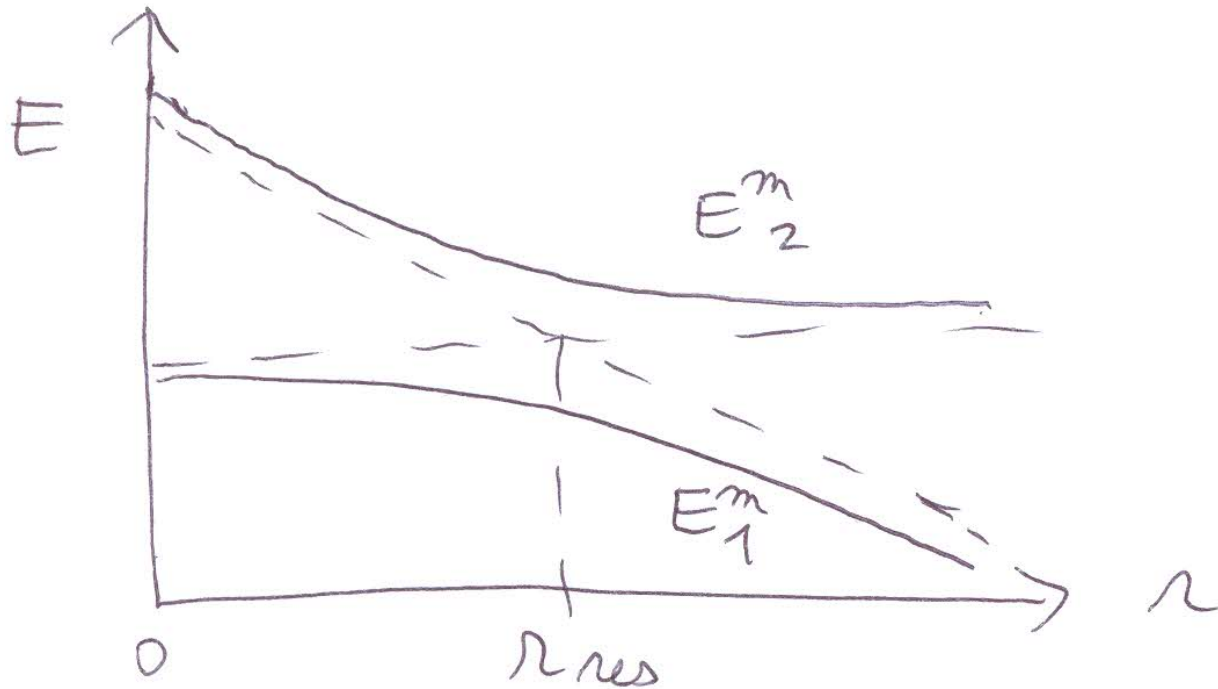
The Schrödinger equation now depends on the time variation of  $\theta_m$ :

$$i \frac{d}{dt} \begin{pmatrix} \nu_1^m(t) \\ \nu_2^m(t) \end{pmatrix} = \begin{pmatrix} E_1^m(t) & -i\dot{\theta}_m \\ i\dot{\theta}_m & E_2^m(t) \end{pmatrix} \begin{pmatrix} \nu_1^m(t) \\ \nu_2^m(t) \end{pmatrix}$$

In most physical environments (including the Sun), the evolution is adiabatic (the neutrino state has the time to adjust to the variation of density) and one can neglect  $\dot{\theta}_m$  in the Schrödinger equation. A neutrino produced in a given matter eigenstate will stay in the same matter eigenstate during its propagation, but its flavour composition will change

→ adiabatic flavour conversion

## "Level crossing" in the Sun (case $n_e(r=0) \gg n_{res}$ )



This is the case for high-energy solar neutrinos ( $E > 1 \text{ MeV}$ )

$$n_e(r=0) \gg n_{res} \Rightarrow \sin 2\theta_m^0 \simeq 0 \text{ and } \cos 2\theta_m^0 \simeq -1$$

$$\Rightarrow \theta_m^0 \simeq \pi/2 \Rightarrow |\nu_e\rangle \simeq |\nu_2^m(r=0)\rangle$$

$\Rightarrow$  a neutrino produced at the center of the Sun is a quasi pure matter eigenstate and exits the Sun in the eigenstate

$$|\nu_2^m(r = R_{\text{Sun}})\rangle = |\nu_2\rangle = \sin \theta |\nu_e\rangle + \cos \theta |\nu_\beta\rangle \quad (\beta = \mu, \tau)$$

⇒ a high-energy neutrino produced at the center of the Sun is a quasi pure matter eigenstate and exits the Sun in the eigenstate

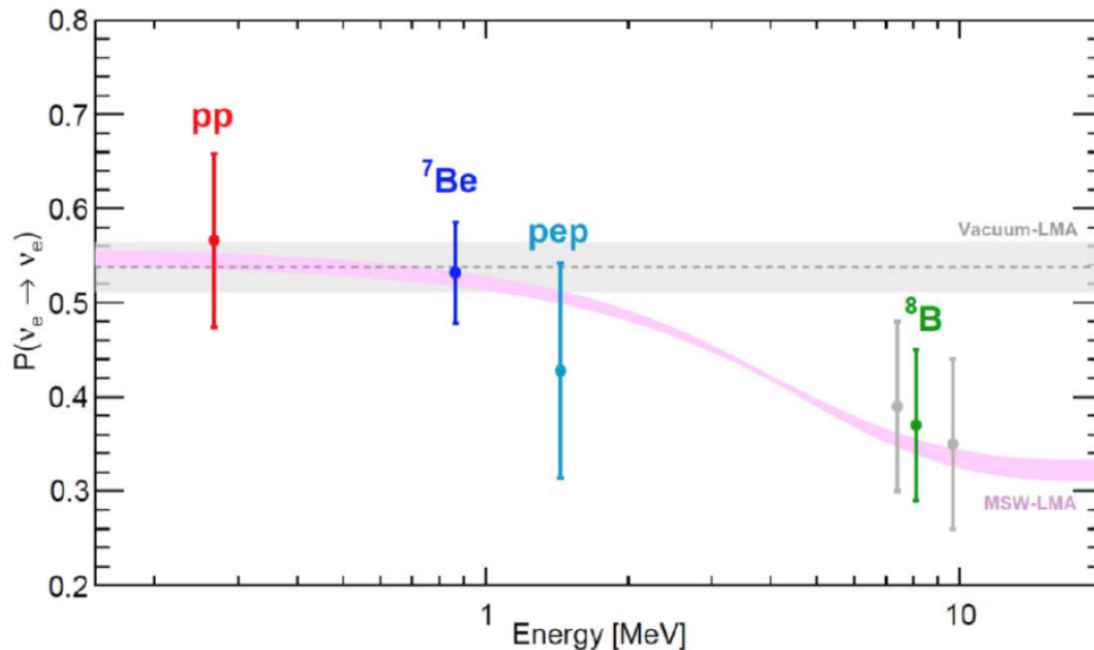
$$|\nu_2^m(r = R_{\text{Sun}})\rangle = |\nu_2\rangle = \sin\theta |\nu_e\rangle + \cos\theta |\nu_\beta\rangle \quad (\beta = \mu, \tau)$$

and reaches the Earth as a  $|\nu_2\rangle$ , giving (using the observed value of  $\theta_{12}$  for  $\theta$ )

$$P_{ee} = |\langle \nu_e | \nu_2 \rangle|^2 = \sin^2\theta \simeq 0.3$$

For low-energy solar neutrinos, the level-crossing condition is not satisfied ( $n_e(r=0) \ll n_{\text{res}}$ ) and matter effects are small

⇒ averaged vacuum oscillations:  $P_{ee} = 1 - \frac{1}{2} \sin^2 2\theta \simeq 0.58$



**Borexino Phase II results**

[talk at TAU2018, arXiv:1810.12967]

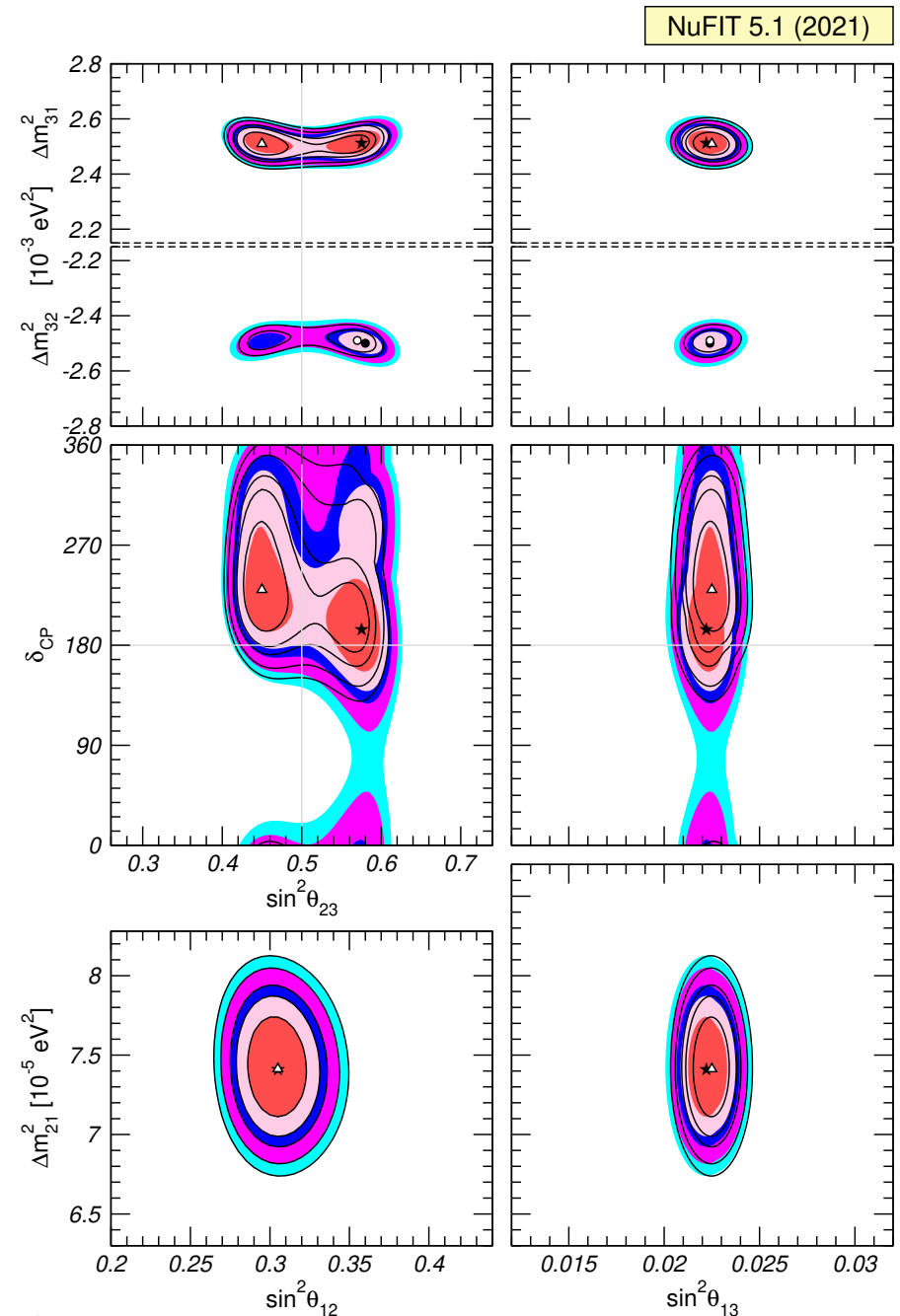
# 3-flavour interpretation of experimental results

(aka « 3-flavour global fit »)

I. Esteban et al., JHEP 09 (2020) 178  
NuFIT 5.1 (2021), [www.nu-fit.org](http://www.nu-fit.org)

(based on data available in October 2021)

All experimental data (leaving aside a few anomalies) is very well described in the 3-flavour framework, and the determination of oscillation parameters is becoming more and more precise



The different contours correspond to 1 $\sigma$ , 90%, 2 $\sigma$ , 99%, 3 $\sigma$  CL (2 dof).

# Allowed ranges for the oscillation parameters (October 2021)

I. Esteban et al., NuFIT 5.1 (2021) , JHEP 09 (2020) 178

	Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 7.0$ )		
	bf $\pm 1\sigma$	$3\sigma$ range	bf $\pm 1\sigma$	$3\sigma$ range	
with SK atmospheric data	$\sin^2 \theta_{12}$	$0.304^{+0.012}_{-0.012}$	$0.269 \rightarrow 0.343$	$0.304^{+0.013}_{-0.012}$	$0.269 \rightarrow 0.343$
	$\theta_{12}/^\circ$	$33.45^{+0.77}_{-0.75}$	$31.27 \rightarrow 35.87$	$33.45^{+0.78}_{-0.75}$	$31.27 \rightarrow 35.87$
	$\sin^2 \theta_{23}$	$0.450^{+0.019}_{-0.016}$	$0.408 \rightarrow 0.603$	$0.570^{+0.016}_{-0.022}$	$0.410 \rightarrow 0.613$
	$\theta_{23}/^\circ$	$42.1^{+1.1}_{-0.9}$	$39.7 \rightarrow 50.9$	$49.0^{+0.9}_{-1.3}$	$39.8 \rightarrow 51.6$
	$\sin^2 \theta_{13}$	$0.02246^{+0.00062}_{-0.00062}$	$0.02060 \rightarrow 0.02435$	$0.02241^{+0.00074}_{-0.00062}$	$0.02055 \rightarrow 0.02457$
	$\theta_{13}/^\circ$	$8.62^{+0.12}_{-0.12}$	$8.25 \rightarrow 8.98$	$8.61^{+0.14}_{-0.12}$	$8.24 \rightarrow 9.02$
	$\delta_{CP}/^\circ$	$230^{+36}_{-25}$	$144 \rightarrow 350$	$278^{+22}_{-30}$	$194 \rightarrow 345$
	$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.510^{+0.027}_{-0.027}$	$+2.430 \rightarrow +2.593$	$-2.490^{+0.026}_{-0.028}$	$-2.574 \rightarrow -2.410$

$3\sigma$  uncertainty around 15% for  $\theta_{12}$  and  $\Delta m_{21}^2$ , less than 10% for  $\theta_{13}$  and  $\Delta m_{3\ell}^2$



The best known parameters are  $\theta_{13}$  and  $\Delta m_{32}^2$  ( $\Delta m_{31}^2$  in the case of normal ordering), with  $3\sigma$  uncertainties below 10%, and  $\theta_{12}$  and  $\Delta m_{21}^2$ , with  $3\sigma$  uncertainties around 15%

By contrast,  $\theta_{23}$  (first [ $\theta_{23} < \pi/4$ ] or second [ $\theta_{23} > \pi/4$ ] octant ?), the mass ordering (or mass hierarchy), and the CP-violating phase  $\delta$  depend on subleading 3-flavour effects and are poorly known

⇒ not yet statistically significant

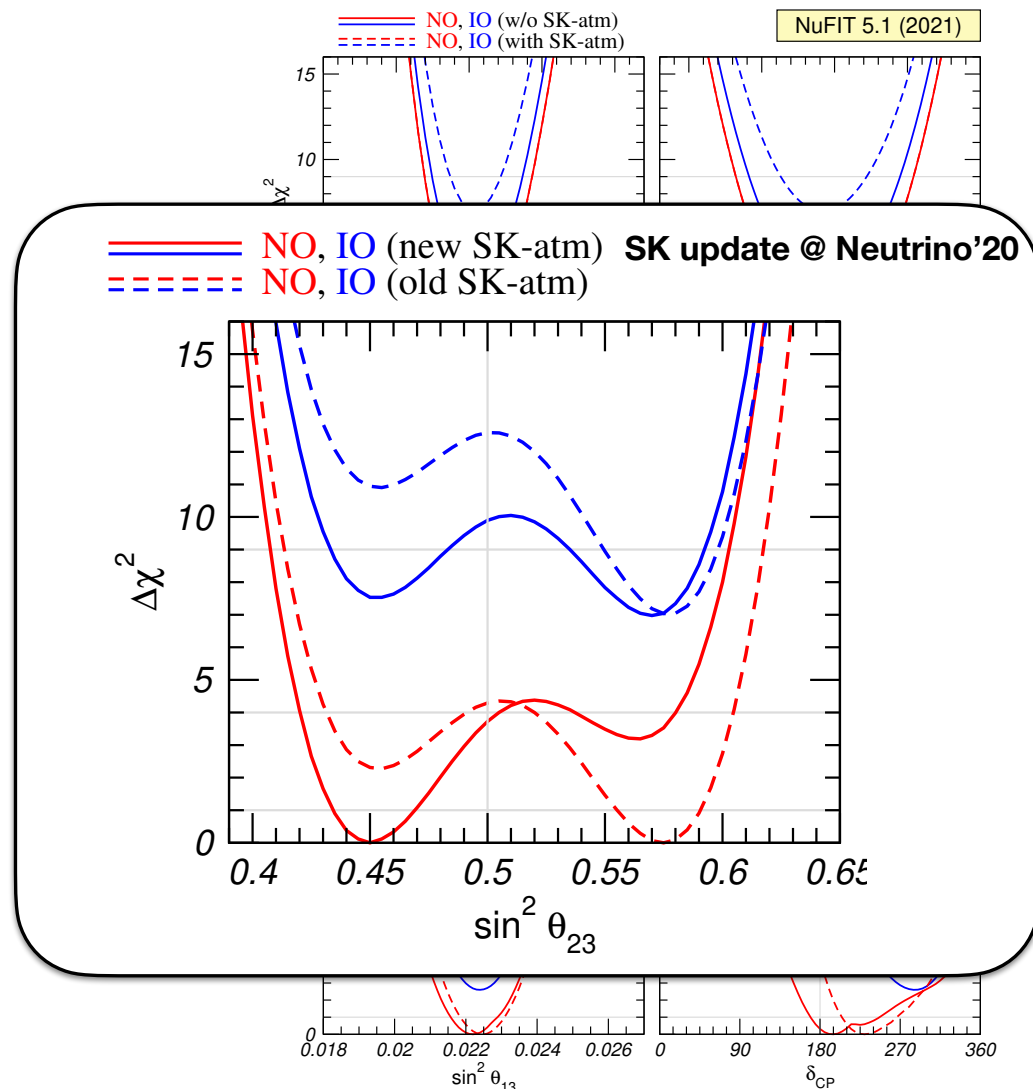
# The least known mixing angle

NuFIT 5.1 results [www.nu-fit.org](http://www.nu-fit.org)

- broad allowed range for  $\theta_{23}$  (24%)

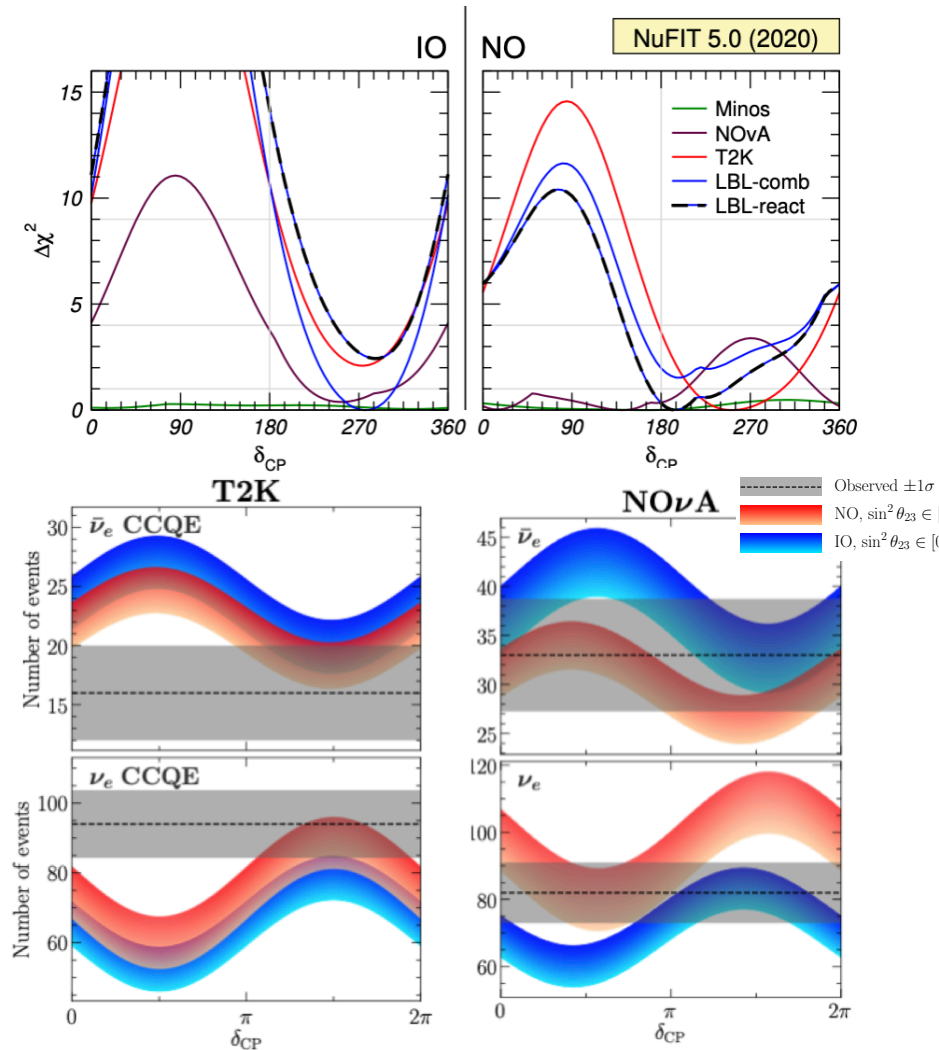
for quarks: 5.2%

- ambiguity in the octant
- fragile with respect to atmospheric neutrino analysis and mass ordering



# Mass ordering and CP phase

- **different tendencies** in
  - LBL accelerator data: T2K & NOvA better compatible for IO
  - Reactor and LBL data: better agreement of  $|\Delta m_{31}^2|$  for NO
- overall preference for NO with  $\Delta\chi^2 = 2.7$  (was 6.2 in 2019)
- CP phase best fit at  $\delta=195^\circ \rightarrow$  **CP conservation allowed at  $0.6\sigma$**
- for IO: best fit close to  $\delta=270^\circ$ , CP conservation disfavoured at  $3\sigma$



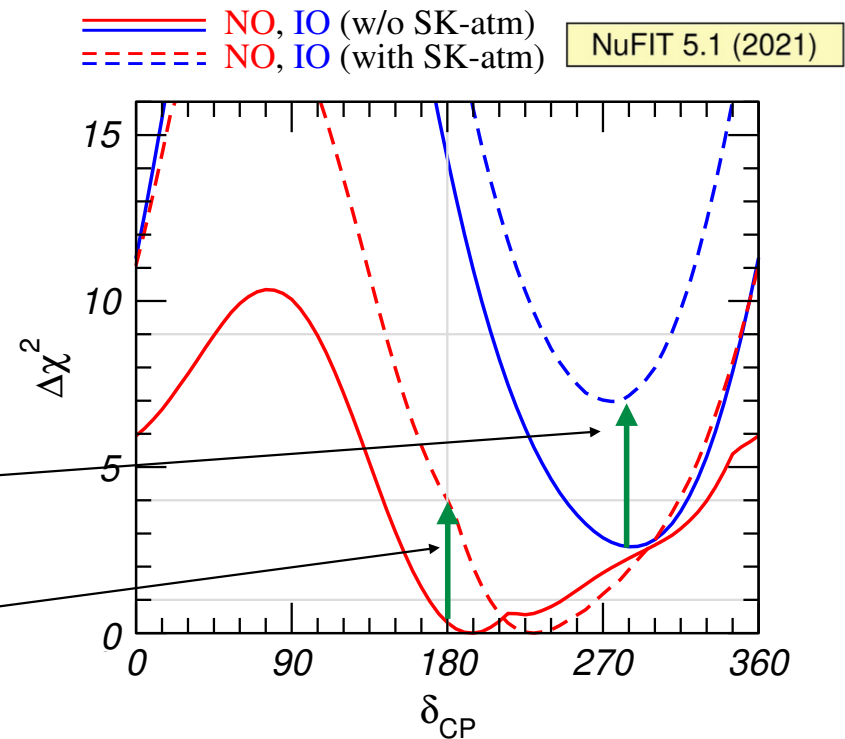
# Mass ordering and CP phase: atmospheric neutrinos

- NuFit 5.0 updated with SK I-IV analysis presented @ Neutrino'20

- improved sensitivity to MO:  
 $\chi^2_{(IO)} - \chi^2_{(NO)} = 3.2$  (atm only)  
 pre-Neutrino'20: 4.3

- added to global fit via  $\chi^2$  table:  
 $\chi^2_{(IO)} - \chi^2_{(NO)} = 2.7$  (no SK)  
 $\rightarrow 7.1$  (w SK)  $2.7\sigma$

- CP conservation @  $0.6\sigma$  (no SK)  
 $\rightarrow 2\sigma$  (w SK)  
 best fit:  $\delta_{CP} \approx 230^\circ$



# The absolute neutrino mass scale

Oscillation experiments measure only mass squared differences  
→ information on the neutrino mass scale from beta decay or cosmology

## Cosmology

Upper bound on sum of neutrino masses from CMB and large structure data [eV-scale SM neutrinos would be hot dark matter and affect structure formation, leading to fewer small structures than observed ⇒ must be a subdominant DM component]

$$\sum m_\nu < 0.12 \text{ eV} \quad (95\%, \text{Planck TT,TE,EE+lowE} \quad [\text{Planck 2018}] \\ \text{+lensing+BAO}).$$

[avec + Lyman- $\alpha$ , Palanque-Desabrouille et al. obtiennent  $< 0.09$  eV, 95% CL (JCAP04 (2020) 038)]

## Kinematic measurements (beta decay)

The non-vanishing neutrino mass leads to a distortion of the  $E_e$  spectrum close to the endpoint

Best bound (KATRIN) :  $m_\nu < 0.8 \text{ eV} \quad (95\% \text{ C.L.})$

[Nature Phys. 18 (2022) 160]

# Tritium beta decay



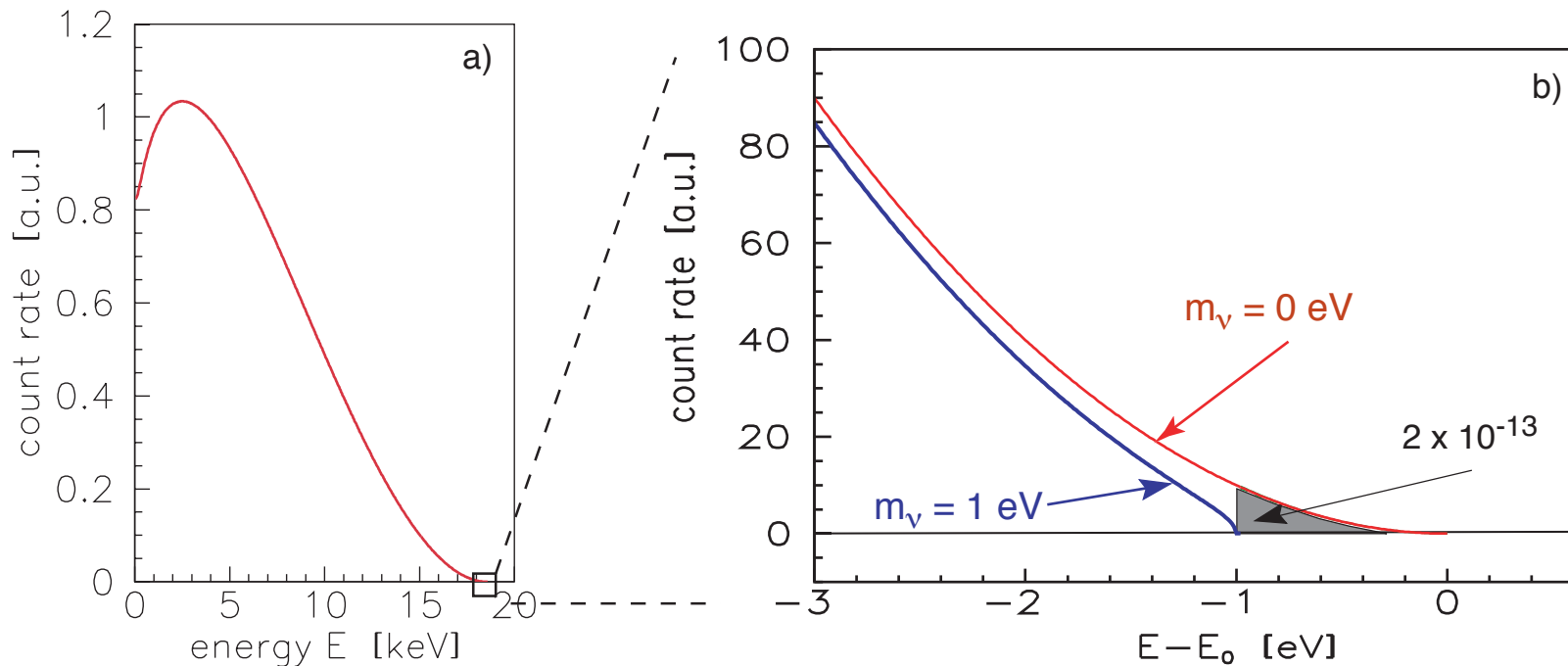
$$E_0 = m_{{}^3\text{H}} - m_{{}^3\text{H}_e}$$

The electron energy spectrum is given by:

$$\frac{dN}{dE_e} = R(E_e) \sqrt{(E_0 - E_e)^2 - m_\nu^2} \quad E_e = E_0 - E_\nu$$

Effect of the non-vanishing neutrino mass:  $E_e^{max} = E_0 \rightarrow E_0 - m_\nu$

⇒ distortion of the  $E_e$  spectrum close to the endpoint



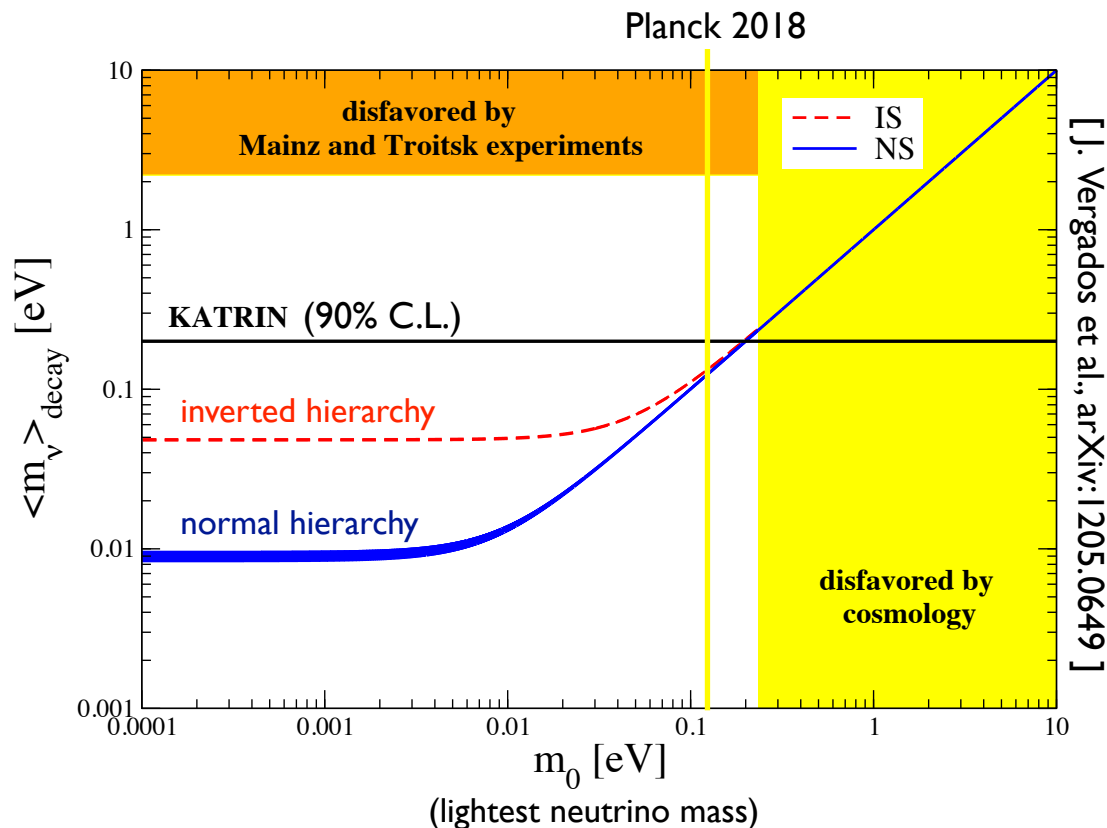
[Katrin Collaboration, hep-ex/0109033]

Present bound (KATRIN) :  $m_\nu < 0.8 \text{ eV}$  (95% C.L.)

KATRIN will reach a final sensitivity of about 0.3 eV (95% CL)  
 ( $5\sigma$  discovery potential 0.35 eV)

In practice, there is no electron neutrino mass, but 3 (or more) strongly mixed mass eigenstates. However the energy resolution does not allow to resolve them, and what is measured is the effective mass

$$m_\beta^2 \equiv \sum_i m_i^2 |U_{ei}|^2$$



KATRIN will test only the degenerate case

Future experiments like Project 8 aim at the 40 meV level

In practice, there is no electron neutrino mass, but 3 (or more) strongly mixed mass eigenstates, and

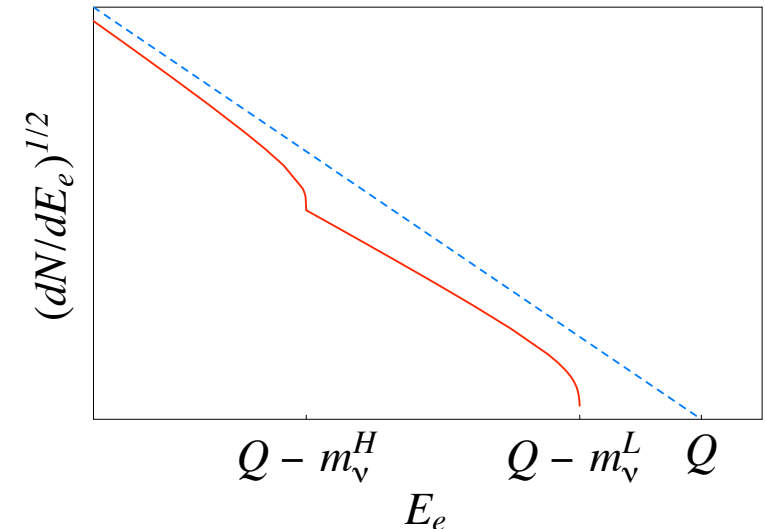
$$\frac{dN}{dE_e} = R(E_e) \sum_i |U_{ei}|^2 \sqrt{(E_0 - E_e)^2 - m_i^2} \Theta(E_0 - E_e - m_i)$$

If all  $m_i$ 's are smaller than the energy resolution, this can be rewritten as:

$$\frac{dN}{dE_e} = R(E_e) \sqrt{(E_0 - E_e)^2 - m_\beta^2} \quad m_\beta^2 \equiv \sum_i m_i^2 |U_{ei}|^2$$

If there is an eV-scale sterile neutrino (comparable to the energy resolution of KATRIN), its mass may be resolved:

$$\begin{aligned} \frac{1}{R(E_e)} \frac{dN}{dE_e} &= (1 - |U_{e4}|^2) \sqrt{(E_0 - E_e)^2 - m_\beta^2} \\ &+ |U_{e4}|^2 \sqrt{(E_0 - E_e)^2 - m_4^2} \Theta(E_0 - E_e - m_4) \end{aligned}$$



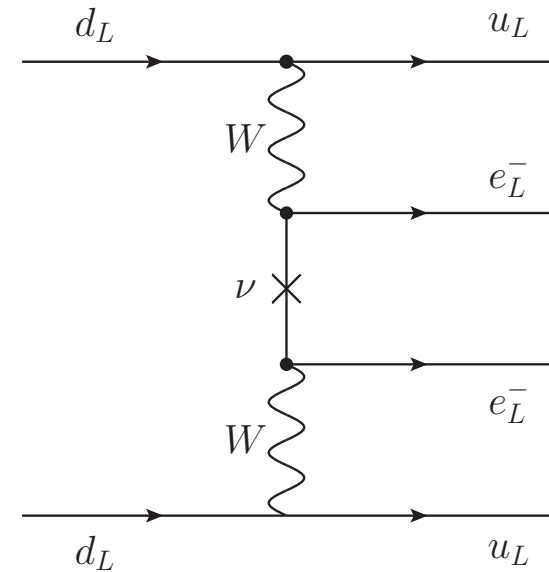
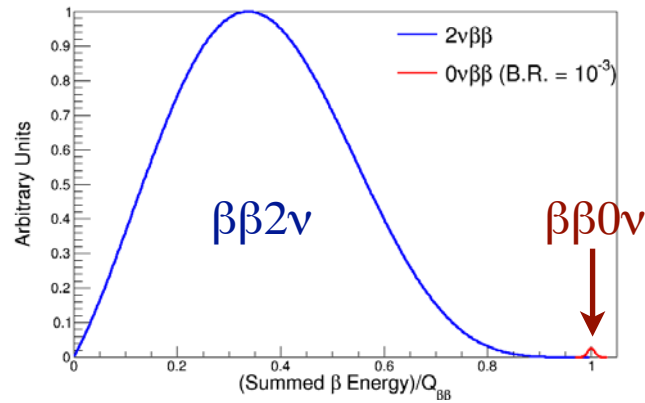


# The neutrino nature: neutrinoless double beta decay



violates lepton number by 2 units

⇒ possible only for Majorana neutrinos



$$Q_{\beta\beta} \equiv M_i - M_f - 2m_e = T_{e_1} + T_{e_2}$$

Half-life:  $\left[ T_{1/2}^{0\nu} \right]^{-1} = \Gamma_{0\nu} = G_{0\nu}(Q_{\beta\beta}, Z) |M_{0\nu}|^2 |m_{\beta\beta}|^2$

integrated phase-space factor

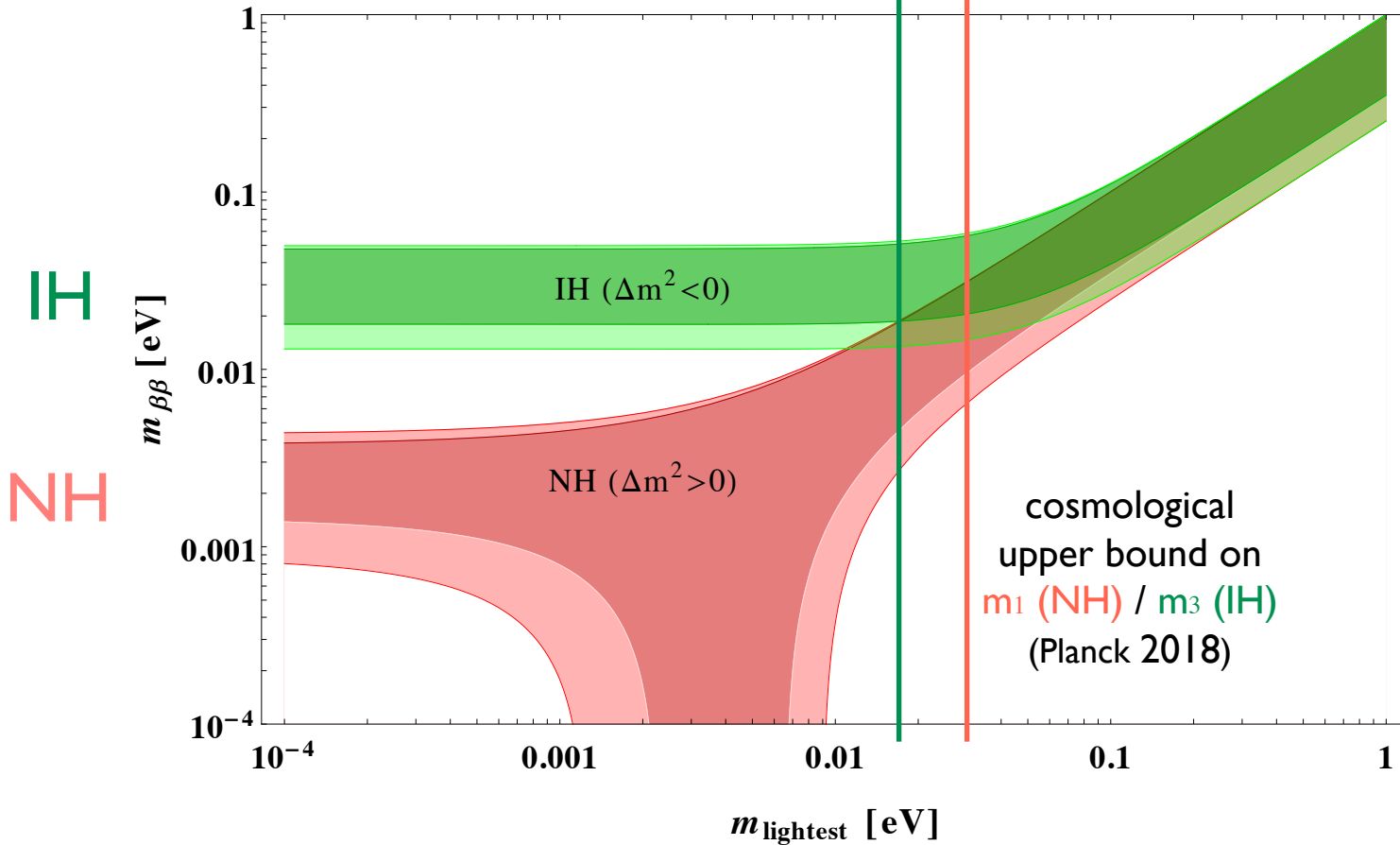
nuclear matrix element (NME)  
(large theoretical uncertainty)

Sensitive to the effective mass parameter:

$$m_{\beta\beta} \equiv \sum_i m_i U_{ei}^2 = m_1 c_{13}^2 c_{12}^2 e^{2i\alpha_1} + m_2 c_{13}^2 s_{12}^2 e^{2i\alpha_2} + m_3 s_{13}^2$$

possible cancellations in the sum (Majorana phases  $\alpha_1, \alpha_2$  in  $\mathbf{U}$ )

[Dell’Oro et al., arXiv:1404.2616]

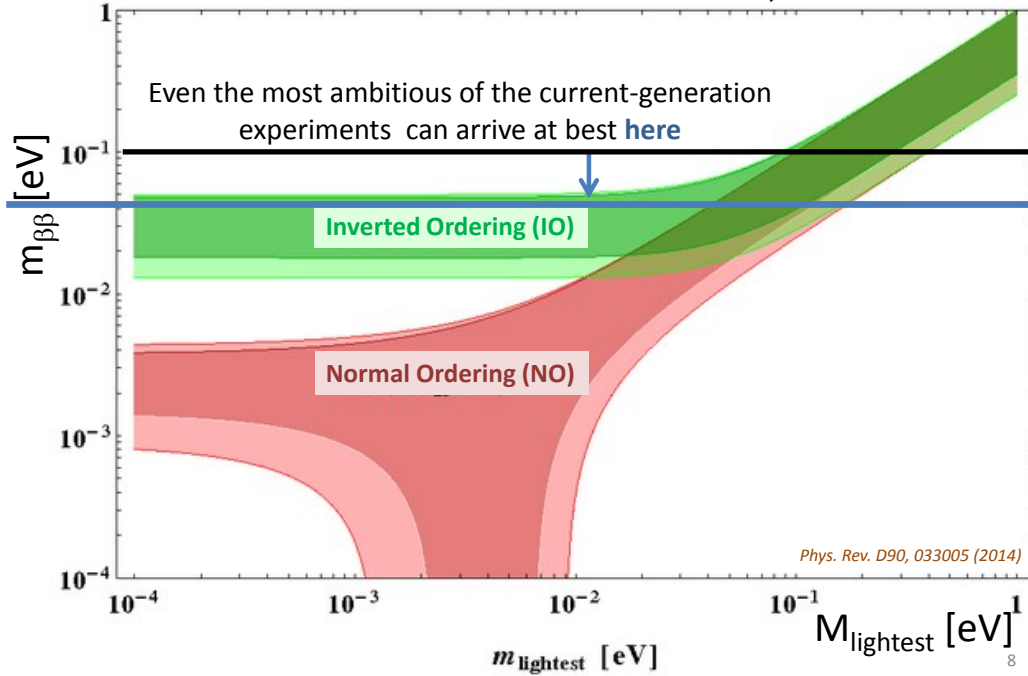


dark shaded areas  
= best fit values of  
oscillation parameters  
(only  $\alpha_1, \alpha_2$  vary)

light shaded areas  
=  $3\sigma$  regions due  
to uncertainties on  
oscillation parameters  
(+ dependence on  $\alpha_i$ )

- need to reach 10 meV to exclude IH (lower bound on  $m_{\beta\beta}$ )
- need to reach few meV to test NH (if no mass degeneracy)
- if unlucky ( $m_1 \sim 1-10$  meV), may not observe  $\beta\beta 0\nu$  even if neutrinos are Majorana (cancellation in  $m_{\beta\beta}$  due to  $\alpha_1, \alpha_2$ )

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currently here, around 100 meV  
(experimental upper bounds depend on NME calculations  
⇒ 2 - 4 uncertainty factor)

around 40 meV

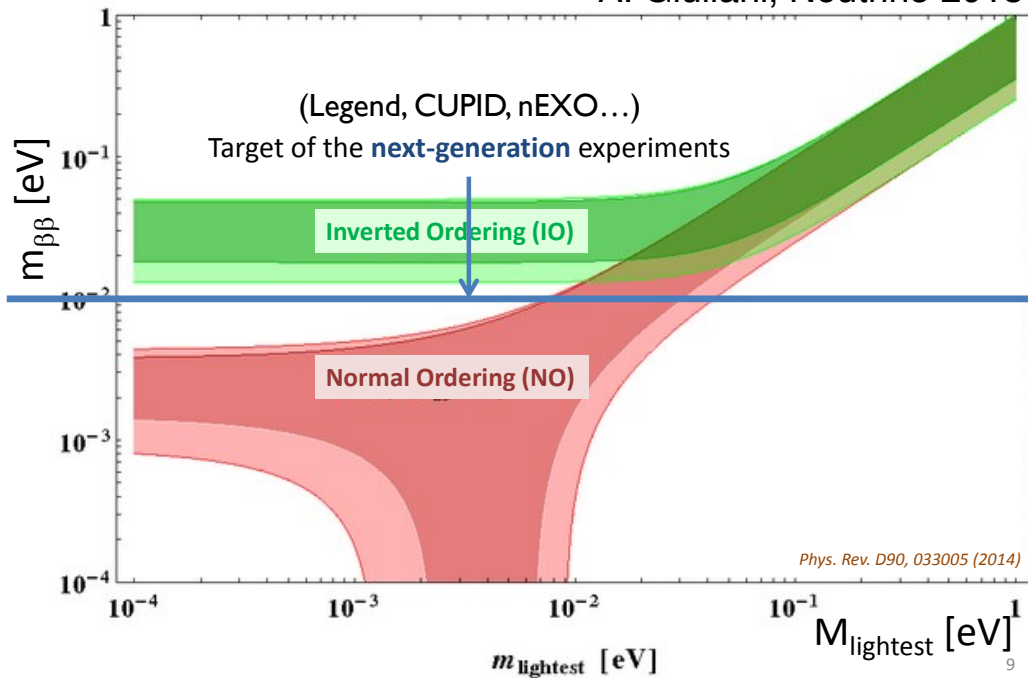
Current best limit (90% C.L.) :  
KamLAND-Zen (2022)  
 $^{136}\text{Xe}$ -loaded liquid scintillator

$$T_{1/2}^{0\nu} > 2.3 \times 10^{26} \text{ yr}$$

$$m_{\beta\beta} < (36 - 156) \text{ meV}$$

(uncertainty from NMEs)

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around 10 meV