

## LECTURE 11

QCD : theory of strong interaction.

Elementary quanta of the theory are quarks and gluons. Quarks & gluons never observed as free particles, but introduced as constituents of hadrons

Bound states : mesons  $q\bar{q}$       } hadrons  
baryons  $qqq$

"PARTONS"

Quarks: spin  $\frac{1}{2}$ . There are 6 types of quarks (FLAVOURS).

	charge	mass
u	$2/3$	2 MeV
d	$-1/3$	5 MeV
c	$2/3$	1.3 GeV
s	$-1/3$	130 MeV
t	$2/3$	173 GeV
b	$-1/3$	4.2 GeV

← FRACTIONAL CHARGE

← MASS ~~IS~~ IS A BIT AMBIGUOUS : WE  
DON'T MEASURE QUARKS DIRECTLY.  
ONLY FOR THE TOP QUARK ONE  
CAN PERFORM A "DIRECT" MEASUREMENT  
← for light quarks

$$m_{\text{ts}}(\mu) \quad \mu = \begin{cases} 2 \text{ GeV} & u, d, s \\ m_b, m_c & b, c \end{cases}$$

u, d, s → light quarks

s, b, t → heavy quarks      [← at LHC, b and c ~ massless)  
↳ they decay because of weak interactions]

There is an extra quantum number carried by quarks: Colour

$$\psi_i^{(f)} \quad \leftarrow \text{FLAVOUR}$$

$$\psi_i^{(c)} \quad \leftarrow \text{COLOUR}$$

Colour is the quantum number that governs the dynamics.

(a) The gauge symmetry group is  $SU(3)$ ,

← QUANTUM CHROMODYNAMICS

QCD =  $SU(N_c)$  gauge theory

→ NON ABELIAN GAUGE THEORY

→ LAGRANGIAN IS FIXED ONCE THE GAUGE GROUP IS FIXED and the matter content is ~~described~~ given

Before getting started, let's have a quick look at the historical development, in order to understand where the EXP evidence for QCD comes from



## QUARK MODEL

("Eightfold way": Gell-Mann, Zweig, Neeman)

~~not the group symmetry~~ Not the group symmetry  
Hadronic spectroscopy - organize states according to  
some symmetry pattern  
~~of interest to charge, C, S~~

Examples:

b

p



### Mesons

$K^0 \rightarrow K^+$

$S=0+1$

$\pi^-$

$\pi^0, \eta, \eta'$

$\pi^+$

$J^P = 0^-$

$S=0$

light meson octet

$K^- \rightarrow \bar{K}^0$

$S=-1$

• Spin = 0

• Pseudoscalar

$Q=-1$

$Q=0$

$Q=+1$

•  $\eta'$  heavier [sometimes one calls the multiplet "meson nonet"]

• There exists a heavier octet, with spin 1

### Baryons

$n \rightarrow p$

$S=0$

$J^P = \frac{1}{2}^+$

$\Sigma^- \rightarrow \Sigma^0, \Lambda$

$S=-1$

$\Sigma^0, \Lambda$

$\Sigma^+$

• light baryon octet

• Spin =  $\frac{1}{2}$

$\Xi^- \rightarrow \Xi^0$

$S=-2$

$Q=-1$

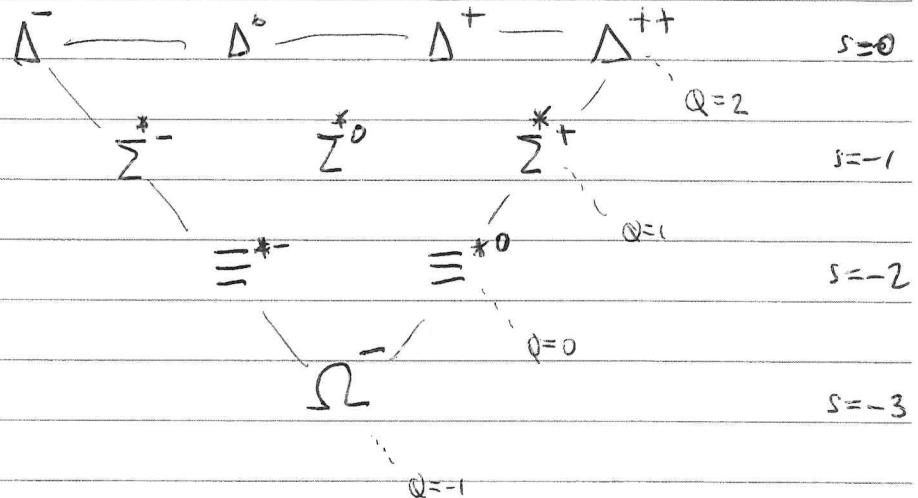
$Q=0$

$Q=+1$

~~From the baryon decuplet~~

$$J^P = \frac{3}{2}^+$$

baryon decuplet



~~Omega  $\Omega^-$ : predicted following the pattern before the EXP discovery~~

-  $SU(3)_F$  approximate symmetry

Quark model: existence of quarks! - spin  $\frac{1}{2}$  particles

- fundamental rep. of  $SU(3)_F$   $\rightarrow$
- \* 3 flavors (+ antiparticles)
- fractional charge

Quarks	Spin	$I_3$	S	B	$\gamma$	$Q$
u	$1/2$	$1/2$	0	$1/3$	$1/3$	$2/3$
d	$1/2$	$-1/2$	0	$1/3$	$1/3$	$-1/3$
s	$1/2$	0	-1	$1/3$	$-2/3$	$-1/3$

$$I_3 = \frac{1}{2}(N_u - N_d)$$

$$\gamma = B + S$$

$\leftarrow SU(2)_F$  isospin [more precisely  $I_3 = \frac{1}{2}[(N_u - N_d) - (N_d - N_s)]$ ]  
 $\leftarrow$  THIS IS NOT THE  $U(1)$  HYPERCHARGE

$$Q = I_3 + \frac{\gamma}{2}$$

Isospin & baryons: organized according to irreducible representation of products of  $SU(3)_F$

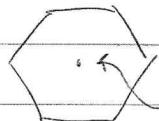
$$\begin{matrix} \gamma \\ \longrightarrow \\ I_3 \end{matrix}$$

Prediction:  $\Omega^-$  predicted following the above pattern (and the model) before the EXP discovery (1964)

~~WIMMELN~~

$$\text{mesons} : q\bar{q} \rightarrow \underline{3} \times \underline{\bar{3}} = \underline{1} + \underline{8}$$

↑      ↑  
Meson octet  
singlet ( $\eta' = u\bar{u} + d\bar{d} + s\bar{s}$ )



$\pi^0, \eta, \eta'$

$$\eta' = \frac{1}{\sqrt{2}} (u\bar{u} + d\bar{d} + s\bar{s})$$

$SU(3)_F$  singlet  
Isospin singlet  $I=0$

$$\eta = \frac{1}{\sqrt{6}} (u\bar{u} - d\bar{d})$$

$I=\underline{1}$

$$\eta = \frac{1}{\sqrt{6}} (u\bar{u} + d\bar{d} - 2s\bar{s})$$

$I=\underline{0}$

~~WIMMELN~~  $2 \times 2 = 1+3$   
 $I=0 \quad 1 \quad I+ \rightarrow \bar{I}(-+)$   
 $I=1 \quad 3 \quad I+- \quad I-- \quad I+ \rightarrow (-+)$

1965: Additional question arises: Colour, to explain  $\Delta^{++}$

$\Delta^-$ <u>ddd</u>	$\Delta^0$ <u>udd</u>	$\Delta^+$ <u>uud</u>	$\Delta^{++}$ <u>uun</u>
$\Sigma^{*-}$ <u>dds</u>	$\Sigma^{*0}$ <u>uds</u>	$\Sigma^{*+}$ <u>uns</u>	
$\Xi^{*-}$ <u>dss</u>	$\Xi^{*0}$ <u>uss</u>		
		$\Omega^-$ <u>sss</u>	

$\Delta^{++}$   
with  $S_z = \frac{3}{2}$

spin  $\frac{3}{2}$ , made of 3 identical particles, with same spin and same color  
~~Being a FERMION & PAIR EXCHANGER~~ → ANTISYMMETRIC ~~but with exchange~~

with  $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$  spin ✓

→ Color ~~ANTI~~ NO COLOR

$D^{++}(S_z = \frac{3}{2})$  has fully symmetric wave function  $|u^{\dagger}, u^{\dagger}, u^{\dagger}\rangle$   
BUT

Fermi-Dirac statistics  $\Rightarrow$  total wave function antisymmetric

$$|\psi(1, 2, 3)\rangle = -|\psi(2, 1, 3)\rangle$$

(in other words, not all constituents can be in the exact same state, because of the Pauli exclusion principle)

$\Rightarrow$  Extra quantum number: Colour

$$\psi_i^{(f)}$$

'64-'65  
Fritzsch/Gell-Mann/  
Leutwyler

Several evidences of  $N_c = 3$  (G)

• GREENBERG  
• HAN/NAMRU

For instance  $u = \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} = \begin{pmatrix} u_g \\ u_b \\ u_r \end{pmatrix}$  ("red" "green" "blue")  
• BOGORUBOV et al.

$$|D^{++}\rangle = \underbrace{\frac{1}{\sqrt{6}} \sum_{ijk} (\bar{u}_i^{\dagger} \bar{u}_j^{\dagger} \bar{u}_k^{\dagger})}_{\text{antisymmetric for } i \leftrightarrow j} |u^{\dagger}, u^{\dagger}, u^{\dagger}\rangle \quad i, j, k = 1 \dots N_c$$

(b)

antisymmetric for  $i \leftrightarrow j$

✓

Extra postulate:

- quarks are colour triplet,
- ~~approximate~~  $SU(3)_c$  is an EXACT SYMMETRY
- HADONS ARE Colour SINGLET

( $\rightarrow$  if U be a gauge symmetry)

$\hookrightarrow$  invariant under the action of  $SU(3)_c$

"Colour CONFINEMENT"

( $\rightarrow$  can be understood qualitatively and quantitatively, see later)

$$(D) D^{++} \rightarrow N_c \geq 3$$

$$R \text{ ratio} = \frac{e^+ e^- \rightarrow \text{HADRON}}{e^+ e^- \rightarrow \pi^+ \pi^-} \propto N_c \cdot \sum_f Q_f^2$$

$\pi^+ \pi^-$

Absolutely cancellation

In practice: ~~why~~ I need to construct ~~fundamental~~ fundamental rep. of  $SU(3)_c$   
in such a way that singlets can be formed

$$\Rightarrow q\bar{q} \rightarrow \underline{3}_c \otimes \underline{3}_c = \underline{6}_c + \underline{\underline{3}}_c \quad X$$

$$q\bar{q} \rightarrow \underline{3}_c \otimes \underline{3}_c = \boxed{\underline{1}_c} + \underline{8}_c$$

↪ ok!

$$(Meson) \sim \frac{1}{\sqrt{3}} \bar{q}_i \underset{\delta_{ij}}{\circledast} q'_j \quad i, j \in su(3)_c$$

$$\text{dim } \text{Meson} \quad q : \psi_i \rightarrow U_{ij} \psi_j \quad U \in su(3)$$

$$\bar{q} : \psi_i^* \rightarrow (U_{ij} \psi_j)^*$$

$$\psi_i^* \psi_i \rightarrow \psi_i^* \psi_i' = (U_{ij} \psi_j)^* (U_{ih} \psi_h) =$$

$$= \psi_j^* U_{ij}^* U_{ih} \psi_h =$$

$$= \psi_j^* \psi_h (U^*)_{ji} U_{ih}$$

$$= \psi_j^* \psi_h (U^* U)_{jh} = \psi_j^* \psi_j$$

$$\Rightarrow qqq \rightarrow \underline{3}_c \otimes \underline{3}_c \otimes \underline{3}_c = \underline{10}_c + \underline{8}_c + \underline{\underline{8}}_c + \boxed{\underline{1}_c}$$

↪ ok!

$$(Baryon) \sim \frac{1}{\sqrt{6}} \varepsilon_{ijk} \text{Meson} \quad q, q', q''_h$$

dim

$$\varepsilon_{ijk} \psi_i \psi_j \psi_h \rightarrow \varepsilon_{ijk} \psi_i' \psi_j' \psi_h' =$$

$$= \varepsilon_{ijk} U_{ii'} U_{jj'} U_{hh'} \psi_{i'} \psi_{j'} \psi_{h'} =$$

$$= (\det U) \varepsilon_{ijk} \psi_i \psi_j \psi_h = \varepsilon_{ijk} \psi_i \psi_j \psi_h$$

⇒ other consequences.

Since  $\psi_{baryon}$  has to be totally antisymmetric in colour

and totally symmetric in "orbital" ⊕ "spin" ⊕ "flavor"

⇒ ~~totally antisymmetric~~ in the "proto octet" and  
in the "Δ decuplet" the "flavor" part

CANNOT BE ANTI-SYMMETRIC

Hence, I explain why I don't have the  
"flavor singlet" part (that instead, I have  
in the meson octet)

### Quarks

- So far, we've discussed everything as if quarks are mathematical objects, through which we explain hadron spectra successfully
- Are they real?

- Late '60 DIS experiments at SLAC-HUT

$$\bar{e} p \rightarrow \bar{e} X$$

→ can be explained by assuming that electrons scatter elastically on free constituents of the proton "PARTONS"

at high energy, somehow QCD must become weak → ASYMPTOTIC FREEDOM

→ Exp evidence that spin of constituents is  $\frac{1}{2}$  [and evidence also of gluon content]

- charm : - Gell-Mann mechanism, ~~1970~~ 1970

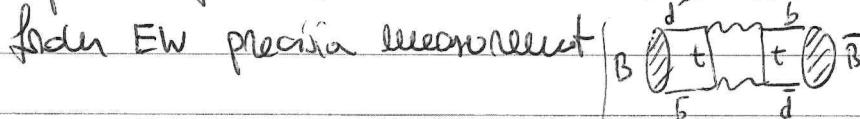
- to explain absence of FCNC, introduce charm quark

- Nov 1974, Sato & BNZ : charmonium ( $c\bar{c}$  bound state)  $J/\psi$

• bottom : - postulated in '73 by Kobayashi - Maskawa,  
to explain CP violation (need at least 3 quark  
generations)

- 1977, at FNAL :  $\Upsilon = b\bar{b}$  (Upsilon resonance) 

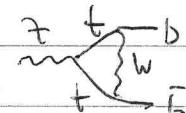
• top : - expected from B-meson oscillation and  
from EW precision measurement



$$\Delta m_B^2 \sim G_F^2 M_B / V_{tb} V_{ts}^* / m_t^2$$

- 1995 CDF/D0, FNAL  $p\bar{p} \rightarrow t\bar{t}$

~~Other~~ in 2-jet events



$$\sim m_t^2$$

• gluon 1977 ~~etc~~ @ HERA (DESY) : 3 jet events

~~Other~~ in 2-jet events PDF induction sum rule

Other exp test : quark spins : DIS (Collins-Gross relation)

• 2 jet events in  $e^+e^-$

gluon spin : angles and energy distribution

in  $e^+e^- \rightarrow \text{jets}$  @ 7 peak

(DKS chapter 9)

## Experimental evidence for color

we are assuming that quarks/gluons  $\rightarrow$  hadron  
doesn't change ("PARTON-HADRON"  
DUALITY)

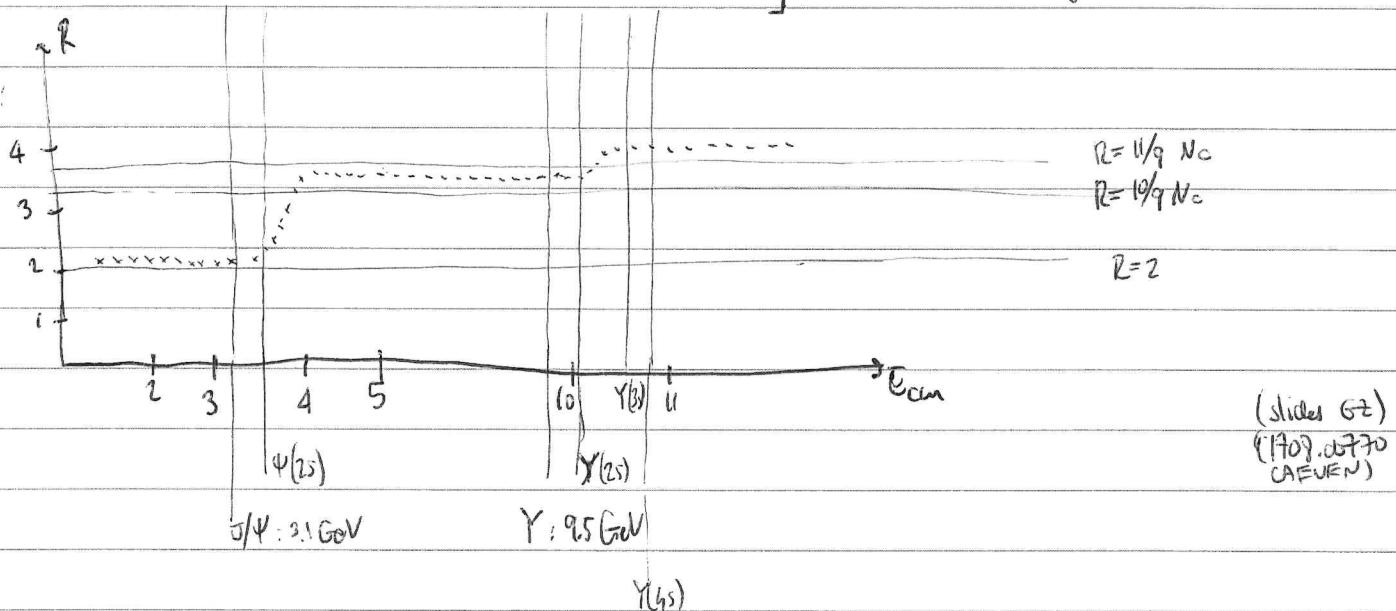
① R-ratio

$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

$$\text{For from T peak } \sigma(e^+e^- \rightarrow \mu^+\mu^-) = \frac{4}{3} \pi \frac{d_{ew}}{s} \frac{e^2}{s}$$

$$d = \sqrt{-\frac{4m_F^2}{s}} \left(1 + \frac{2m_F^2}{s}\right)$$

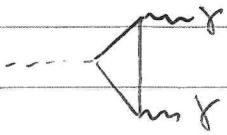
$$R_{e^+e^-} \sim N_c \frac{\sum_f [Q_f^2 \cdot d(s_{ew})]}{Q_{\mu}^2} = \begin{cases} \frac{2}{3} N_c & f = u, d, s \\ \frac{10}{9} N_c & f = u, d, s, c \\ \frac{11}{9} N_c & f = u, d, s, c, b \end{cases}$$



$$\Rightarrow N_c = 3$$

$\Rightarrow$  By looking at the details, one also sees that data/TH agreement requires higher order perturbative effects.

②  $\pi^0 \rightarrow \gamma\gamma$  decay [assuming u & d charges known, from static quark model or from OIs]



$$\Gamma(\pi^0 \rightarrow \gamma\gamma) = N_c^2 \left( Q_u^2 - Q_d^2 \right) \frac{d_{ew}}{G_F T^3} \frac{m_\pi^3}{m_\pi^2} \frac{1}{f_\pi^2} = 7.63 \text{ eV} \left( \frac{N_c}{3} \right)^2$$

$$\Gamma_{\text{exp}} = 7.81 \text{ eV}$$

$$[\text{Amplitude} \sim N_c] \Rightarrow [M^2 \sim N_c^2]$$

## Lagrangian and Feynman rules

QCD is built around SU(Nc) gauge theory.

Quarks are in the fundamental rep of  $SU(N_c)$

There are 6 quarks, : 3 generations of (u,d)-type quarks

$$\begin{array}{|c|c|c|} \hline u & c & t \\ \hline d & s & b \\ \hline \end{array}$$

QCD is flavor blind (interaction is the same for u,d,s...)

Gauge principle: interactions arise from a global symmetry to a local one. Such procedure determines the interaction (including the force carriers).

In QED:  $\mathcal{L}_{\text{QED}} = \bar{\Psi} (i \gamma^\mu \partial_\mu - m) \Psi + \text{free Lagrangian for a fermion } \overset{(0)}{\mathcal{L}}_{\text{ferm}} \text{ invariant under U(1) global}$

$$-\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \quad \leftarrow \text{free E.M. field (Maxwell equation)}$$

$$-e \bar{\Psi} \gamma^\mu \Psi A_\mu \quad \leftarrow \text{interaction } (J^\mu A_\mu)$$

Feynman rules:  $\downarrow \xrightarrow{P} \bar{\Psi} = \frac{i}{P-m} (\not{P} + m)$

$$A_\mu \xrightarrow{P} A_\nu = -\frac{ig^{\mu\nu}}{P^2}$$



$$\text{N.B. } \langle \bar{\Psi}(x) \bar{\Psi}(y) \rangle = \int \frac{d^4 k}{(2\pi)^4} \frac{1}{k^2 - m^2 i\epsilon} (k^\mu + m) e^{-ik(x-y)}$$

$\mathcal{L}$  can be obtained from  $\overset{(0)}{\mathcal{L}}$  by requiring gauge invariance:  $U(1)_{\text{local}}$

$$\int \bar{\Psi}(x) \rightarrow e^{id(x)} \Psi(x)$$

$$\text{leaves invariant } \mathcal{L} = \bar{\Psi} (i \not{P} - m) \Psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

$$A_\mu \rightarrow A_\mu - \frac{i}{e} \partial_\mu \alpha(x)$$

$$\not{D}^\mu = \text{cov. derivative} = \partial_\mu + ie A_\mu$$

(INTERACTION FIXED)

$$\Rightarrow \mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{FF}} + \overset{(0)}{\mathcal{L}}_{\text{cov deriv}}$$

$$\mathcal{L}_{\text{FF}} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \quad \mathcal{L}_{\text{QED}}^{(0)} = \bar{\psi} (i\not{D} - m) \psi$$

Ex: check gauge invariance of  $\mathcal{L}_{\text{QED}}$

$\leadsto$  covariant derivative transforms as the field itself, i.e.

$$(D_\mu \psi) \rightarrow (D_\mu \psi)' = U(x) (D_\mu \psi) \quad , \quad \text{where } \psi(x) \rightarrow \psi'(x) = U(x) \psi(x)$$

$$U = \exp(i\alpha(x))$$

$$\psi'(x) = e^{i\alpha(x)} \psi(x)$$

$$D_\mu \rightarrow D'_\mu = \partial_\mu + ie A'_\mu = \partial_\mu + ie \left( A_\mu - \frac{1}{e} \partial_\mu \alpha \right)$$

$$D'_\mu \psi' = (\partial_\mu + ie A_\mu - i \partial_\mu \alpha) (e^{i\alpha(x)} \psi(x)) =$$

$$= e^{i\alpha(x)} (\partial_\mu + ie A_\mu - i \partial_\mu \alpha) \psi(x) + (i \partial_\mu \alpha) e^{i\alpha(x)} \psi(x)$$

$$= e^{i\alpha(x)} (\partial_\mu + ie A_\mu) \psi = U(x) (D_\mu \psi)$$

$\leadsto F_{\mu\nu}$  is gauge invariant

QCD Lagrangian: can be obtained starting from  $\mathcal{L}$  which is invariant under SU(3) global and then imposing gauge invariance

$$\mathcal{L} = \sum_f \sum_{ij=1}^k \bar{q}_i^{(f)} \left[ i \not{\partial}_\mu - m^{(f)} \right]_{ij} q_j^{(f)} \quad \leftarrow \text{invariant under SU}(N_c) \text{ global}$$

$$U = \exp(i t^\alpha \theta^\alpha)$$

Focus on 1 flavor ab,  $\mathcal{L}^{(0)} = \bar{q} (i \not{\partial}_\mu - m) q$

Color index understood

compact

(I'll assume you are familiar with basic facts about Lie groups (SU(N) is a Lie group))

- $U \in \text{SU}(N)$  can be written as  $U = \exp\{i \theta^\alpha t^\alpha\}$

$$\alpha = 1 \dots N_c^2 - 1$$

$t^\alpha$  = generators of ~~su(N)~~ Lie algebra  
fundamental rep of  $\text{SU}(N)$

$\theta^\alpha$ : real parameters

~~All  $t^\alpha$  satisfy the condition for one formulation with  $t^\alpha$  hermitian, the other satisfies  $t^\alpha = -t^\dagger$  for all  $\alpha$~~

- $U : \mathbb{R}^N$  real numbers

- Imply  $UU^\dagger = U^\dagger U = 1$  and  $\det U = 1$

fixes  $\rightarrow N^2 + 1$  equations

$\rightarrow N^2 - 1$  remaining free parameters

- $U = \exp\{i\theta^a t^a\}$

$$UU^\dagger = 1 \rightarrow t^a = (t^a)^\dagger$$

$$\det U = 1 \rightarrow \det(e^{i\theta^a t^a}) = e^{\text{Tr}(A)}$$

$$\rightarrow 1 = \exp\{\text{Tr}(i\theta^a t^a)\} \Rightarrow \text{Tr}(t^a) = 0$$

- SU(3) explicit representation:  $t^a = \frac{\lambda^a}{2}$   $\lambda^a$  = Gell-Mann matrices

$$\text{Tr}(t^a t^b) = T_2 \delta^{ab}$$

$T_2 = \frac{1}{2}$  is the standard normalization

- $[t^a, t^b] = i f^{abc} t^c$

↑

STRUCTURE CONSTANTS

- they are a fundamental object
- these commutation rules have a meaning at an abstract level: they define the algebra of a Lie group

• ~~Algebra~~

• Can get an idea of what  $f^{abc}$  are important features of commutation

- Since group can be generated by exponentials, one can expect that properties due to the identity give "complete" information about the group

$$[U(\delta), U(\delta_1)] = [1 + i\delta^a t^a + \dots, 1 + i\delta_1^b t^b] =$$

$$= (i\delta_1^a)(i\delta_2^b) [t^a, t^b] + \dots$$

THIS IS SLOPPY,  
BUT WE DON'T  
NEED MORE

$$[t_a, t_b] \neq 0 \Rightarrow \text{NON ABELIAN GROUP}$$

Exercise : - ~~show that~~ ~~that~~ ~~that~~ ~~that~~ define

$$\gamma^{ab} := i(t^a, t^b)$$

show that  $\gamma^{ab}$

has properties •  $\text{Tr}(\gamma^{ab}) = 0$

$$\bullet (\gamma^{ab})^+ = \gamma^{ab}$$

$$\Rightarrow \forall [t^a, t^b] = i f^{abc} t^c \quad \cancel{\text{when } \gamma^{abc}}$$

$\Rightarrow f$  totally antisymmetric

$$\Rightarrow f \in \mathbb{R}$$

d-dim

- A ~~#~~ representation of the algebra is a set of  $d \times d$  matrices that satisfy

$$[T^a, T^b] = i f^{abc} T^c$$

- The # of matrices is always  $N^2$  : it has to match the dimension of the group. We're interested in 2 rep: FUNDAMENTAL and ADJOINT  
~~fundamental~~ ~~is~~ ~~a~~ ~~N-dim~~ rep.

~~Fundamental~~ ~~rep~~  $\bullet$  fund. rep -  $\left\{ f^a = \frac{\lambda^a}{2} \right|_{a=1 \dots N-1} \right. \quad \text{is a } N\text{-dim rep.}$

- acts on the space of  $N$ -dim vectors  
 $(\psi_i, i=1 \dots N)$

$\bullet$  adjoint rep -  $(T^a)_{bc} = i f^{bac}$  is a  $(N-1)$ -dim rep

- ~~calculated~~  $[T^a, T^b] = i f^{abc} T^c$  can be verified by using Jacobi identity  
 $[T^a, [T^b, T^c]] + \dots + \dots = 0$

For a non-abelian gauge group the transf. is local:

- $U(x) = \exp\{it^a \theta^a(x)\}$

$$\partial_\mu \psi'(x) = \partial_\mu (U(x) \psi(x)) = U \partial_\mu \psi + (\partial_\mu U) \psi$$

- $(D_\mu)_{ij} = \partial_\mu \delta_{ij} + ig t^a_{ij} A_\mu^a$  ← CD. DERIVATIVE  
and we want that GLUONS (force carriers)

$$(D_\mu \psi) \rightarrow D'_\mu \psi' = U(x) (D_\mu \psi)$$

- this implies that

$$t^a A_\mu^a \rightarrow t^a A'^a_\mu = U(t^a A_\mu^a) U^{-1} + \frac{i}{g} (\partial_\mu U) U^{-1} \quad \text{SHOW THIS}$$

~~dependence of~~ (which also includes ~~gauge~~) Field strength tensor:

- By defining  $ig t^a F^a_{\mu\nu} = [D_\mu, D_\nu]$  (QED:  $i e F_{\mu\nu} = [D_\mu, D_\nu]$ )

$$D_\mu = \partial_\mu + i e A_\mu$$

$$[D_\mu, D_\nu] = ie(\partial_\mu \partial_\nu - \partial_\nu \partial_\mu)$$

$$\Rightarrow F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu - g t^a_{\mu\nu} f^{abc} A^b_\mu A^c_\nu$$

SHOW THIS

- property  $t^a F^a_{\mu\nu} \rightarrow t^a F'^a_{\mu\nu} = U(t^a F^a_{\mu\nu}) U^{-1}$

⇒ kinetic term for gluons

~~$\frac{1}{4} F^a_{\mu\nu} F^a_{\mu\nu}$~~   $- \frac{1}{4} F^a_{\mu\nu} F^a_{\mu\nu} = \mathcal{L}_{YM}$  (classical YM Lagrangian)

Exercise: to show that  $\mathcal{L}_{YM}$  is gauge invariant  
show that

$$F^a_{\mu\nu} F^a_{\mu\nu} = 2 \text{Tr}(F^{\mu\nu} F_{\mu\nu}) \quad \text{where } F^{\mu\nu} = t^a F^a_{\mu\nu}$$

$$(\text{Tr}(T^a T^b) = \frac{1}{2} \delta^{ab})$$

$$\mathcal{L}_{QCD} = \mathcal{L}_{YM} + \mathcal{L}_{D \rightarrow D} = -\frac{1}{4} F^a_{\mu\nu} F^a_{\mu\nu} + \sum_{jk} \bar{\psi}_j (i \not{D}_{jk} - m) \psi_k$$

## QCD Vertexes

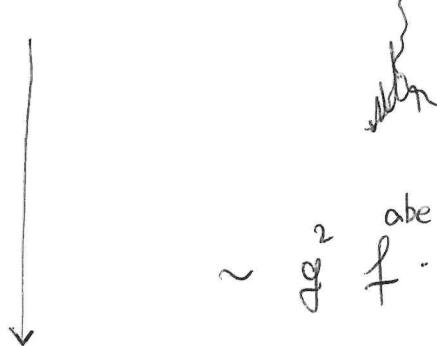


$$-ig t_{ji}^a \gamma^\mu$$

$$\leftrightarrow -g(\bar{\psi} \gamma^\mu \psi) A_\mu^a$$

(spurious indices understood)

$$F^{\mu\nu a} F_{\mu\nu}^a \sim g f^{abc} (\partial_\mu A_\nu^a) A^{b\mu} A^{c\nu}$$



$$\sim g^2 f^{abe} f^{cde} A^{\mu a} A^{\nu b} A_\mu^c A_\nu^d$$



SELF INTERACTIONS !

derivation of 3-g vertex (text book way)

$$\begin{aligned} -\frac{1}{4} F^{\mu\nu a} F_{\mu\nu}^a &= -\frac{1}{4} (\partial^\mu A^\nu a - \partial^\nu A^\mu a - g f^{abc} A^{b\mu} A^{c\nu}) (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g f^{ade} A_\mu^d A_\nu^e) \\ &= \frac{g}{4} [(f^{abc} A^{b\mu} A^{c\nu}) (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a) + (f^{ade} A_\mu^d A_\nu^e) (\partial^\mu A^\nu a - \partial^\nu A^\mu a)] \\ &= \frac{g}{2} f^{abc} (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a) A^{b\mu} A^{c\nu} = \left\{ f^{abc} = f^{abc} - \frac{f^{acb}}{2}, \text{ index related} \right\} \\ &= g f^{abc} (\partial_\mu A_\nu^a) (A^{\mu b} A^{\nu c} - A^{\nu b} A^{\mu c}) \\ &= g f^{abc} (\partial_\mu A_\nu^a) A_\beta^b A_\gamma^c (g^{\alpha\beta} g^{\mu\gamma} - g^{\alpha\gamma} g^{\mu\beta}) \end{aligned}$$



$$\text{vertex} : (+i) \left\{ \left( g f^{abc} \right) \left( -i P^\mu \text{ of gluon } a \right) \left( q^{\alpha\gamma} q^{\beta\lambda} - q^{\alpha\beta} q^{\gamma\lambda} \right) \times \hat{A}_\alpha^a \hat{A}_\beta^b \hat{A}_\gamma^c \right. \\ \left. + \text{cyclic permutations} \right\}$$

factor  $(+i)$  : from  $\exp \{ +i \int d^4x \mathcal{L}_{\text{int}} \}$

$$-i P^\mu : \text{F.T. of } \partial_\mu, \text{ recalling that } \partial_\mu \rightarrow i P_\mu \text{ IF OUT (created)} \\ \partial_\mu \rightarrow -i P_\mu \text{ IF IN (destroyed)}$$

$\Rightarrow$  vertex

$$\begin{aligned} &= +g f^{abc} \left\{ (p_a^\beta q^{\alpha\gamma} - p_a^\gamma q^{\alpha\beta}) + \text{permutations} \right\} \\ &= g f^{abc} \left\{ q^{\alpha\beta} (p_b - p_a)^\gamma + q^{\beta\gamma} (p_c - p_b)^\alpha + q^{\gamma\alpha} (p_a - p_c)^\beta \right\} \\ &= -g f^{abc} \left[ q^{\alpha\beta} (p_a - p_b)^\gamma + q^{\beta\gamma} (p_b - p_c)^\alpha + q^{\gamma\alpha} (p_c - p_a)^\beta \right] \end{aligned}$$

derivation using more physical arguments  
(requiring gauge invariance)

see QGS notes / "Introduction to QGS" [Nagyaro]

NB 3g vertex can also be written "counterclockwise"

1st term above:

$$\begin{aligned} f^{abc} g^{\alpha\beta} (p_a - p_b)^\gamma &= -f^{cba} g^{\beta\alpha} (p_a - p_b)^\gamma = +f^{cba} g^{\alpha\beta} (p_b - p_a)^\gamma \\ &= +f^{bac} (p_b - p_a)^\gamma g^{\beta\alpha} \end{aligned}$$

## Propagators and gauge fixing

$\mathcal{L}$  is invariant under  $SU(3)_c$  local

However, problem in free field quantum theory:

- large degeneracy between field configurations ( $A_\mu^a(x)$ )  
(equivalent because of gauge symmetry)

- with freehand quantization (Feynman path integral)  
these degenerate configurations give a divergence

$$Z = \int D[A_\mu] \exp\{i S[A_\mu]\}$$

- all gauge configurations that are equivalent give same weight

- configurations where  $A_\mu(x) = \frac{+i}{g} (\partial_\mu U) U^{-1}$ ,  $U = \exp[i \theta^\alpha(x) t^\alpha]$   
are equivalent to  $A_\mu(x) = 0$

$$\hookrightarrow S[A_\mu] = 0$$

- Faddeev-Popov '67 treatment: integrate away the physically equivalent configurations

$$\mathcal{L}_{GF} = -\frac{1}{2\lambda} [f(A)]^2$$

$$\mathcal{L}_{FP} = +\eta^+ \frac{\delta f(A^\phi)}{\delta \theta} \eta = \left( +\eta^+(x) \underbrace{\frac{\delta f(A^\phi(x))}{\delta \theta^b(y)} \eta^b(y)}_{\text{part}} \right)$$

$\eta^a(x)$   $a=1..N_c^2-1$  : complex scalar fields  
obey Fermi statistics

$\Rightarrow$  CANNOT BE PHYSICAL

• covariant gauge

$$f(A) = (\partial_\mu A^\alpha)^2$$

To extract  $f(A)$ :

$$t^\alpha A^\alpha = U(t^\alpha A^\alpha) U^{-1} + \frac{i}{g} (\partial_\mu U) U^{-1}$$

$$t^\alpha \partial_\mu A^\alpha = (2\partial_\mu U) (t^\alpha A^\alpha) U^{-1} + U(t^\alpha \partial_\mu A^\alpha) U^{-1} + U(t^\alpha A^\alpha) (\partial_\mu U)$$

a) covariant gauge (current gauges:  $\partial_\mu A^\mu = 0$ )

$$\mathcal{L}_{GF} = -\frac{1}{2\lambda} (\partial_\mu A^{\mu a})^2$$

$$\mathcal{L}_{FP} = \partial_\mu \eta^{at} [D^\mu]^{ab} \eta^b \quad \text{acts on a } N^2 \text{ space } (\eta^b)$$

$$[D^\mu]^{ab} = \partial^\mu \delta^{ab} + ig [T^c]^{ab} A^{\mu c} =$$

$$= \partial_\mu \delta^{ab} + ig (if^{abc}) A_\mu^{ac}$$

$$= \partial_\mu \delta^{ab} + gf^{abc} A_\mu^c$$

$$\Rightarrow \mathcal{L}_{FP} = (\partial_\mu \eta^{at}) (\partial^\mu \eta_a) +$$

$$\partial_\mu \eta^{at} gf^{abc} \eta^b A_\mu^c$$

$$\begin{array}{l} a \rightarrow b = \delta^{ab} \frac{i}{p^2 + i\varepsilon} \\ \left. \begin{array}{c} 3A \\ \hline b \end{array} \right\} \left. \begin{array}{c} \downarrow p \\ c \end{array} \right\} = gf^{abc} p^c \end{array}$$

• Ghosts couple to gluons

~~• propagator, see next page~~

~~• In QED,  $f^{abc} = 0 \Rightarrow$  no ghosts (fully decoupled)~~

FACTOR -1  
IN LOOPS

b) axial gauge ( $n \cdot A = 0$ )

$$\mathcal{L}_{GF} = -\frac{1}{2\lambda} (n_\mu A^{\mu a})^2 \quad n \cdot p \neq 0$$

$$\mathcal{L}_{FP} = \eta^{at} \eta^b \left[ \delta^{ab} n_\mu \partial^\mu + gf^{abc} n_\mu A^{\mu c} \right] \eta_b$$

$\stackrel{=0}{\uparrow}$

~~• propagator, see next page~~

~~•  $n \cdot A = 0 \Rightarrow$  no ghosts (can be reabsorbed)~~

$q$  and  $\bar{q}$  propagators from  $\mathcal{L}_{\text{free}}$

$$\mathcal{L}_{q\bar{q}} = \bar{\Psi} (i\phi - m) \Psi$$

F.T.  $\downarrow$   $\tilde{G}(p)$ , propagator =  $i \times \text{inverse}$

$$\tilde{G} = \frac{i}{p-m} = \frac{i}{p^2 - m^2} (p+m)$$

$$\begin{aligned}\mathcal{L}_{Y_{\eta}, AA} &= \frac{-1}{4} (\partial_\mu A_\nu^\alpha - \partial_\nu A_\mu^\alpha)(\partial^\mu A^\nu_\alpha - \partial^\nu A^\mu_\alpha) \\ &= -\frac{1}{2} [(\partial_\mu A_\nu^\alpha)(\partial^\mu A^\nu_\alpha) - (\partial_\nu A_\mu^\alpha)(\partial^\nu A^\mu_\alpha)] \\ &= -\frac{1}{2} [-A^\nu_\alpha \square A_\nu^\alpha + A^\mu_\alpha \partial_\mu \partial_\nu A^\nu_\alpha] \\ &= \frac{1}{2} A^\mu_\alpha [\square g_{\mu\nu} - \partial_\mu \partial_\nu] A^\nu_\alpha\end{aligned}$$

$\square g_{\mu\nu} - \partial_\mu \partial_\nu$  cannot be inverted :

$$(-k^2 g_{\mu\nu} + k_\mu h_\nu) k^\nu = 0, \text{ for } k^\nu \neq 0$$

### GAUSS FIXING

• Covariant [coherent gauge]  $\mathcal{L}_{GF} = -\frac{1}{2\lambda} (\partial_\mu A^{\alpha\nu})^2 = -\frac{1}{2\lambda} (\partial_\mu A^{\alpha\nu})(\partial_\nu A^{\alpha\nu}) = +\frac{1}{2\lambda} A^{\alpha\mu} \partial_\mu \partial_\nu A^{\alpha\nu}$

$$\Rightarrow \mathcal{L}_{Y_{\eta}, AA} + \mathcal{L}_{GF} = \frac{1}{2} A^\mu_\alpha [\square g_{\mu\nu} - (-\frac{1}{\lambda}) \partial_\mu \partial_\nu] A^{\nu\alpha}$$

$$(-k^2 g_{\mu\nu} + (-\frac{1}{\lambda}) k_\mu h_\nu) k^\nu \neq 0 \quad \text{for } k^\nu \neq 0$$

$$\tilde{G}_{\mu\nu} = \frac{+i}{k^2} \left( -g_{\mu\nu} + \left( -\frac{1}{\lambda} \right) \frac{h_\mu h_\nu}{k^2} \right)$$

$\lambda = 1$  Feynman gauge  
 $\lambda = 0$  Landau gauge

• axial (also called physical gauge)  $\mathcal{L}_{GF} = -\frac{1}{2\lambda} (n_\mu A^{\mu\nu})^2$

$$\Rightarrow \mathcal{L}_{GF} + \mathcal{L}_A = \frac{1}{2} A^{\mu\nu} [\square g_{\mu\nu} - \partial_\mu \partial_\nu - \cancel{\frac{1}{\lambda} n_\mu n_\nu}] A^{\nu\mu}$$

$$(-k^2 g_{\mu\nu} + k_\mu k_\nu - \cancel{\frac{1}{\lambda} n_\mu n_\nu}) k^\nu \neq 0 \quad \text{for } k^\nu \neq 0 \quad (n \cdot k \neq 0)$$

$$\tilde{G}_{\mu\nu} = \frac{i}{k^2} \left[ -g_{\mu\nu} + \frac{(n^\mu + k^\mu) n_\nu}{(nk)} - \frac{(n^\nu + k^\nu) k_\mu n_\nu}{(nk)^2} \right]$$

$\Rightarrow \begin{cases} n^\nu = 0, \\ k^\nu = 0 \end{cases} \rightarrow \text{light-cone gauge}$

### Conventions:

#### Conventions

- Gauge fixing breaks GAUGE INVARIANCE in  $\mathcal{L}$   
However physical result are indep. of gauge choice

- cov. gauges  $\rightarrow$  Lorentz invariance  
and gauge  $\rightarrow$  explicit arbitrary direction  $\boxed{n^\mu}$

- # of propagating D.O.F.

cov. gauges  $\tilde{G}_{\mu\nu} = \cancel{\frac{i}{k^2}} d_{\mu\nu} \quad d_{\mu\nu} \neq \sum_{\text{phys}} E_\mu^{(h)} E_\nu^{*(h)}$

axial gauges  $\tilde{G}_{\mu\nu} = \frac{i}{k^2} d_{\mu\nu} \quad d_{\mu\nu} = \sum_{\text{phys}} E_\mu^{(h)} E_\nu^{*(h)}$

- AXIAL GAUGE also called physical gauges:  $d_{\mu\nu} k^\nu = 0$ ,  $d_{\mu\nu} n^\nu = 0 \Rightarrow 2 \text{ D.O.F.}$   
 $\Rightarrow$  USEFUL IF PHYSICAL ARGUMENT NEEDED

- cov. GAUGE ( $\lambda = 1$ )  $d_{\mu\nu} = -g_{\mu\nu} \Rightarrow 4 \text{ D.O.F. propagating}$   
 $\Rightarrow$  GHOSTS CANCEL THE UNPHYSICAL D.O.F.

Exercise: show that, in light-cone gauge,  $d_{\mu\nu} = \begin{pmatrix} 0 & 1 & 1 & 0 \end{pmatrix}$  if  $n^\mu = (E, 0, 0, E)$   
(just need to choose  $n^\mu = (E, 0, 0, -E)$ :  $nk = 2E^2$ )

$$d_{\mu\nu} = \begin{pmatrix} 0 & +1 & +1 & +1 \\ -1 & 0 & +1 & +1 \end{pmatrix} + \frac{1}{2E^2} \begin{pmatrix} 2E^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & -2E^2 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 1 & 0 \end{pmatrix}$$