

# TOOLKIT FOR SCALAR FIELDS IN UNIVERSES WITH FINITE-DIMENSIONAL HILBERT SPACE

[arXiv:2201.08405](https://arxiv.org/abs/2201.08405)

ASHMEET SINGH

[ashmeet@caltech.edu](mailto:ashmeet@caltech.edu)

<http://ashmeetsingh.people.caltech.edu>

CALIFORNIA INSTITUTE OF TECHNOLOGY

**Caltech**

# A Quantum Fueled Universe

1. Toolkit for scalar fields in universes with finite-dimensional Hilbert spaces  
...with Olivier Friedrich (Cambridge/LMU) and Olivier Doré (NASA JPL),  
[arXiv:2201.08405](https://arxiv.org/abs/2201.08405)
2. How low can vacuum energy go when your fields are finite-dimensional?  
...with Aidan Chatwin Davies (UBC) and Charles Cao (Maryland)  
Int. J. Mod. Phys. D Vol. 28, No. 14, 1944006 (2019), [arXiv:2005.12938](https://arxiv.org/abs/2005.12938) [hep-th]
3. Modeling position and momentum in finite-dimensional Hilbert spaces with Generalized Pauli Operators  
... with Sean Carroll (Caltech), [arXiv:1806.10134](https://arxiv.org/abs/1806.10134) [quant-ph]

# A Quantum Fueled Universe

Quantum Mechanics



Cosmological Evolution  
of the Universe

- basic ideas in QM motivated by gravity, applied to cosmology
- creatively applying and critically thinking of first-principle QM
  - “back of the envelope” style, yet realistic

- 
- Local finite-dimensionality
  - Scalar Field in an Expanding Universe
  - Generalized Pauli Operators (GPOs)
  - Parameterizations and Modeling Choices
  - Implications for Cosmological Physics

Toolkit paper,  
introducing a  
paradigm!

Let's start with our usual standard construction,  
**Quantum Field Theory**

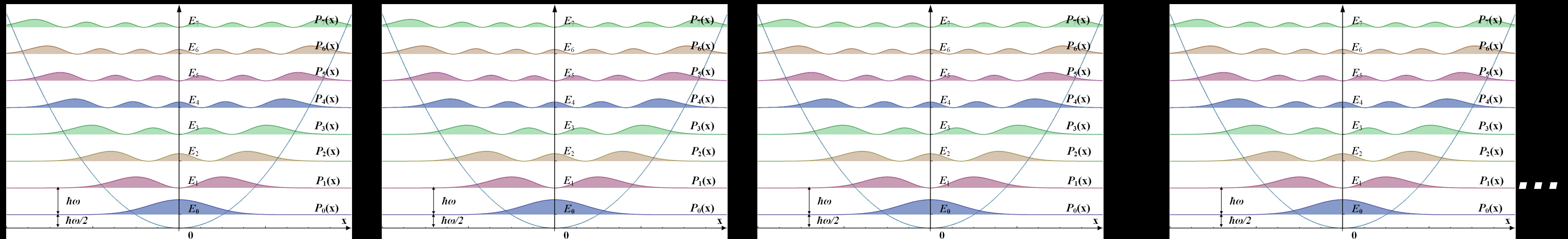
# A Quantum Field Theoretic Construction

“collection of harmonic oscillators”

our current best understanding of physics \*without\* gravity

QM + Special Relativity = QFT

# of particles



$k_1$

$k_2$

$k_3$

...

$k_N$

$(\vec{k}_1, N_1), (\vec{k}_2, N_2), (\vec{k}_3, N_3), \dots, (\vec{k}_j, N_j), \dots$

Specify occupation of each wavenumber “mode”

Each wavenumber mode is a quantum harmonic oscillator

$$[\hat{\phi}_{\vec{k}}, \hat{\pi}_{\vec{k}}] = i\hbar$$

# The Hilbert Space of QFT

Bao, Carroll & Singh 2017, arXiv:1704.00066

The Hilbert Space of QFT is *infinite-dimensional*.

- Arbitrary Long Wavelength Modes

Lattice Regularization

IR Cutoff ( $\sim$ Hubble Size)

- Arbitrary Short Wavelength Modes

UV Cutoff ( $\sim$ Planck Length)

No Bound on Bosonic Energy Excitations

# Local Finite-Dimensionality

Bao, Carroll & Singh 2017, arXiv:1704.00066

The Hilbert Space of QFT is *infinite-dimensional*.

- Arbitrary Long Wavelength Modes

Lattice Regularization

IR Cutoff (~Hubble Size)

- Arbitrary Short Wavelength Modes

UV Cutoff (~Planck Length)

No Bound on Bosonic Energy Excitations

Include Gravity: Excite these degrees of freedom, many collapse into BHs

- Bekenstein Bound

- Holographic Principle

$$S_{BH} = \frac{A}{4G}$$

- Finite entropy

- Similar application to our dS horizon

Finite Entropy (hence, dofs) in a Finite Region of Space, suggests:

The Hilbert Space of Quantum Gravity is locally finite-dimensional

# Our Working Hypothesis

The Hilbert Space of Quantum Gravity is locally finite-dimensional



# Local Finite-Dimensionality

Singh & Carroll 2018, arXiv:1806.10134

**It Matters!**

## The Stone - von Neumann Theorem

### Continuum Quantum Field Theory

Infinite-Dimensional Hilbert Space for uncountably infinite dofs

Non-Separable Hilbert spaces

Inequivalent representations of the Canonical Commutation Relations (CCRs)

Additional choice/preferred algebraic state

### Quantum Gravity

Finite-Dimensional Hilbert Space for local regions of space

Separable Hilbert spaces

Unique representation (upto unitary equivalence) of the CCRs

Algebra is just “all hermitian operators”

# Scalar Field in an Expanding Universe

$$ds^2 = a^2 (d\eta^2 - d\mathbf{x}^2) , \quad [\cdot]' \equiv \frac{\partial}{\partial \eta} [\cdot]$$

Scale Factor

FRW Cosmology

“homogenous, isotropic expansion”

$$S = \frac{1}{2} \int d\eta d^3x a^2 [(\phi')^2 - (\nabla \phi)^2 - m^2 a^2 \phi^2]$$

Action

$$= \frac{1}{2} \int \frac{d\eta d^3k}{(2\pi)^3} a^2 [|\phi'_k|^2 - (|\mathbf{k}|^2 + m^2 a^2) |\phi_k|^2]$$

“Comoving Coordinates”

$$k = a k_{\text{ph}}$$

mode. Expressing the Fourier transform of the field in terms of real and imaginary parts,  $\phi_{\mathbf{k}} = A_{\mathbf{k}} + iB_{\mathbf{k}}$ , we must have  $A_{\mathbf{k}} = A_{-\mathbf{k}}$  and  $B_{\mathbf{k}} = -B_{-\mathbf{k}}$  because  $\phi$  is real. This allows us to define a new field

$$q_{\mathbf{k}} = \sqrt{2} \begin{cases} A_{\mathbf{k}} & \text{for } k_1 \leq 0 \\ B_{\mathbf{k}} & \text{for } k_1 > 0 \end{cases} \quad (2.4)$$

# Scalar Field in an Expanding Universe

$$S = \int \frac{d\eta d^3k}{(2\pi)^3} \left[ \frac{a^2}{2} (\dot{q}_{\mathbf{k}})^2 - \frac{a^2 (|\mathbf{k}|^2 + m^2 a^2)}{2} q_{\mathbf{k}}^2 \right] .$$

Put the universe in a box

$$L_c$$

Standard quantization

$$[\hat{q}_{\mathbf{k}}, \hat{p}_{\mathbf{k}'}] = i\delta_{\mathbf{k},\mathbf{k}'}$$

$$\hat{H}(t) = \sum_{\mathbf{k}} \left[ \frac{L_c^3}{2a^3} \hat{p}_{\mathbf{k}}^2 + \frac{a^3}{L_c^3} \frac{(|\mathbf{k}|^2/a^2 + m^2)}{2} \hat{q}_{\mathbf{k}}^2 \right] .$$

Collection of time-dependent harmonic oscillators, one for each mode

# Cosmological Connection

Governing Dynamics are Einstein's  
Equations of General Relativity:

Curvature of Spacetime



Energy and Matter

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3} \rho(t)$$

“Friedmann Equation”

Governs Evolution of Universe  
based on Einstein's Theory of  
Gravity

Expansion history of  
the universe

Energy Density  
sourced from the quantum  
Hamiltonian

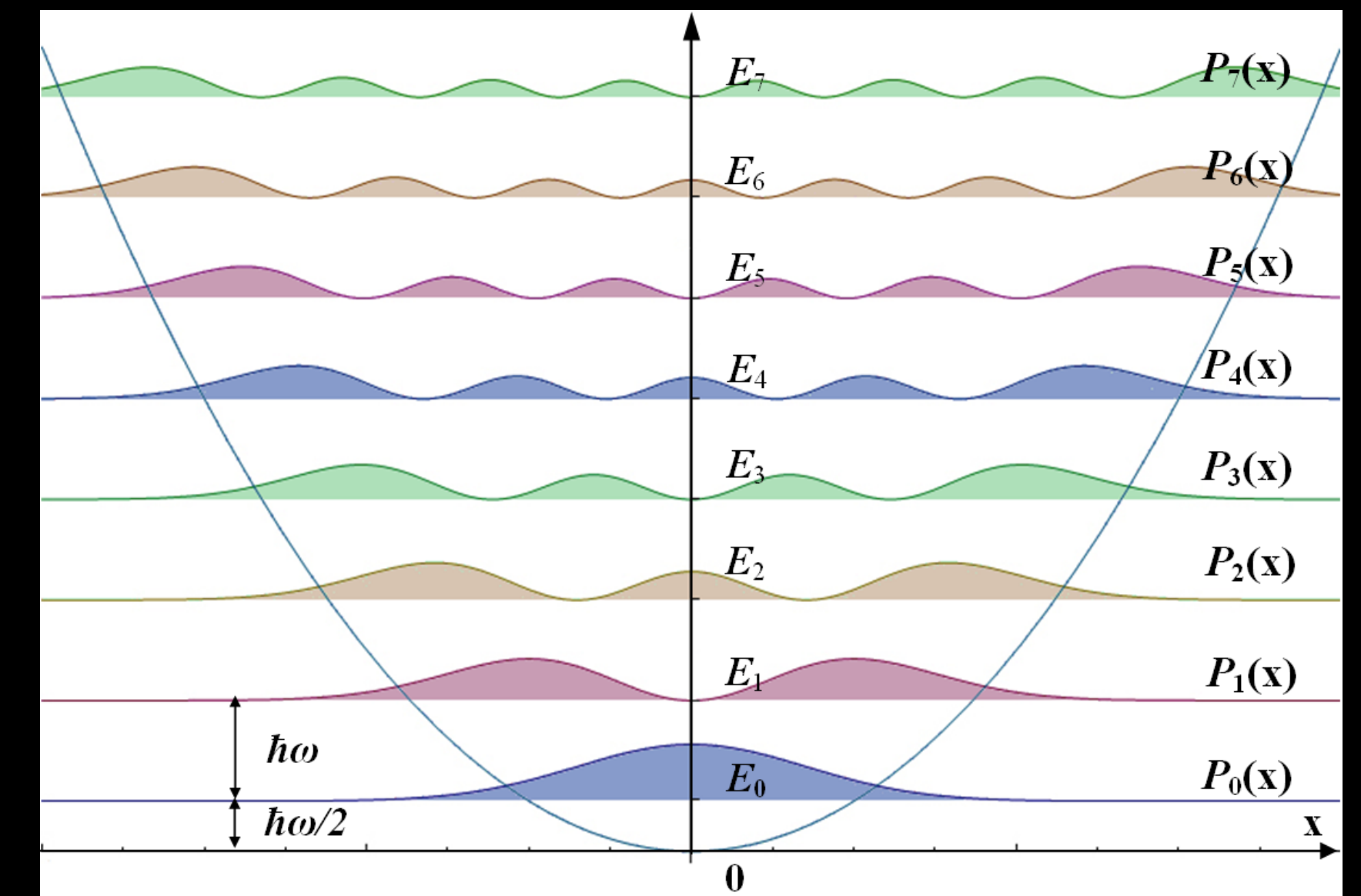
# Sources of Infinite-Dimensionality

$$\hat{H}(t) = \sum_{\mathbf{k}} \left[ \frac{L_c^3}{2a^3} \hat{p}_{\mathbf{k}}^2 + \frac{a^3}{L_c^3} \frac{(|\mathbf{k}|^2/a^2 + m^2)}{2} \hat{q}_{\mathbf{k}}^2 \right]$$

Collection of time-dependent harmonic oscillators

$$[\hat{q}_{\mathbf{k}}, \hat{p}_{\mathbf{k}'}] = i\delta_{\mathbf{k}, \mathbf{k}'}$$

IR and UV scales  
Latticeize the theory in a box!  $L_c$   
 $k_{\text{ph, max}} = \Lambda_{\text{UV}}$



And still,

Energy Spectrum:  $E_n = \left(n + \frac{1}{2}\right) \hbar\omega$

$$E_0 = \frac{1}{2} \hbar\omega$$

# of states:  $\dim \mathcal{H} = \infty$

“Zero Point Energy/  
Vacuum Energy”

# Finite-Dimensional Conjugate Variables

On a finite-dimensional Hilbert space,

$$\dim \mathcal{H} = d < \infty$$

Operators = Matrices!

Can we find matrices of size “d x d” which obey Heisenberg’s  
Commutation Relation?  $[\hat{x}, \hat{p}] = i\hbar$

No!

It’s the Stone - von Neumann Theorem

$$[\hat{x}, \hat{p}] \neq i\hbar$$

# Finite-Dimensional Conjugate Variables Generalized Pauli Operators (GPOs)

Singh & Carroll 2018, arXiv:1806.10134

- Ubiquity of Conjugate variables in both Classical and Quantum Mechanics.

No finite-dimensional representations of Heisenberg CCR: Stone-von Neumann Theorem.

Rescaling to make our  
variables dimensionless:

$$Q_{\mathbf{k}} \equiv q_{\mathbf{k}}/L_c^2, \quad P_{\mathbf{k}} \equiv p_{\mathbf{k}}L_c^2$$

# Finite-Dimensional Conjugate Variables

## Generalized Pauli Operators (GPOs)

Singh & Carroll 2018, arXiv:1806.10134

Finite-dimensional Hilbert space

$$\dim \mathcal{H}_{\mathbf{k}} = d_{\mathbf{k}} < \infty$$

$$Q_{\mathbf{k}} \equiv q_{\mathbf{k}}/L_c^2, \quad P_{\mathbf{k}} \equiv p_{\mathbf{k}}L_c^2$$

$$\hat{A}_{\mathbf{k}} = \exp\left(-i\alpha_{\mathbf{k}}\hat{P}_{\mathbf{k}}\right), \quad \hat{B}_{\mathbf{k}} = \exp\left(i\beta_{\mathbf{k}}\hat{Q}_{\mathbf{k}}\right).$$

An equivalent  
commutation, albeit  
exponentiated

$$\hat{A}_{\mathbf{k}}\hat{B}_{\mathbf{k}} = \exp\left(\frac{-2\pi i}{d_{\mathbf{k}}}\right)\hat{B}_{\mathbf{k}}\hat{A}_{\mathbf{k}}$$

Weyl Commutation Relation

$$\alpha_{\mathbf{k}}, \beta_{\mathbf{k}} \in \mathbb{R} \setminus \{0\}$$

Hermann  
Weyl (1934)

$$\alpha_{\mathbf{k}}\beta_{\mathbf{k}} = \frac{2\pi}{d_{\mathbf{k}}}$$

to recover Heisenberg's commutation in the  
infinite limit



# Finite-Dimensional Conjugate Variables

## Generalized Pauli Operators (GPOs)

Singh & Carroll 2018, arXiv:1806.10134

Generalized Pauli Operators offer a way to realize Weyl's form of the CCR in a finite-dimensional Hilbert space, conjugate variables in finite dimensions

$$\hat{A}_{\mathbf{k}} = \begin{pmatrix} 0 & 0 & 0 & \cdots & 0 & 1 \\ 1 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 1 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 0 & \cdots & 1 & 0 \end{pmatrix}_{d_{\mathbf{k}} \times d_{\mathbf{k}}}$$

$$d_{\mathbf{k}} = 2l_{\mathbf{k}} + 1$$

(can work for even dimensions too)

(translation operators)

$$\hat{B}_{\mathbf{k}} = \begin{pmatrix} \exp\left(\frac{2\pi i}{d_{\mathbf{k}}} l_{\mathbf{k}}\right) & 0 & \cdots & 0 \\ 0 & \exp\left(\frac{2\pi i}{d_{\mathbf{k}}}(l_{\mathbf{k}} - 1)\right) & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \exp\left(\frac{-2\pi i}{d_{\mathbf{k}}} l_{\mathbf{k}}\right) \end{pmatrix}_{d_{\mathbf{k}} \times d_{\mathbf{k}}}$$

# Finite-Dimensional Conjugate Variables

“Just what you would expect”

Singh & Carroll 2018, arXiv:1806.10134

$$\text{Spec}(\hat{Q}_{\mathbf{k}}) = \{-\ell_{\mathbf{k}}\alpha_{\mathbf{k}}, \dots, \ell_{\mathbf{k}}\alpha_{\mathbf{k}}\}$$

$$\text{Spec}(\hat{P}_{\mathbf{k}}) = \{-\ell_{\mathbf{k}}\beta_{\mathbf{k}}, \dots, \ell_{\mathbf{k}}\beta_{\mathbf{k}}\}$$

Lattice-like spectrum for the  
conjugate variables

$$e^{-i\alpha\hat{P}} |Q_j\rangle = |Q_{j+1}\rangle$$

$$e^{i\beta\hat{Q}} |P_j\rangle = |P_{j+1}\rangle$$

(cyclic shifts)

Recover Heisenberg in the limit:

$$d_{\mathbf{k}} \rightarrow \infty$$

$$\alpha_{\mathbf{k}}, \beta_{\mathbf{k}} \rightarrow 0$$

$$\alpha_{\mathbf{k}}\beta_{\mathbf{k}} = \frac{2\pi}{d_{\mathbf{k}}}$$

Free Parameters:

$d_{\mathbf{k}}$

and

$\alpha_{\mathbf{k}}$

# Have I Seen This Before?

Yes!

For  $d = 2$

$$\hat{A} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Pauli Matrices

$$\hat{B} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

“Clock and Shift Matrices”

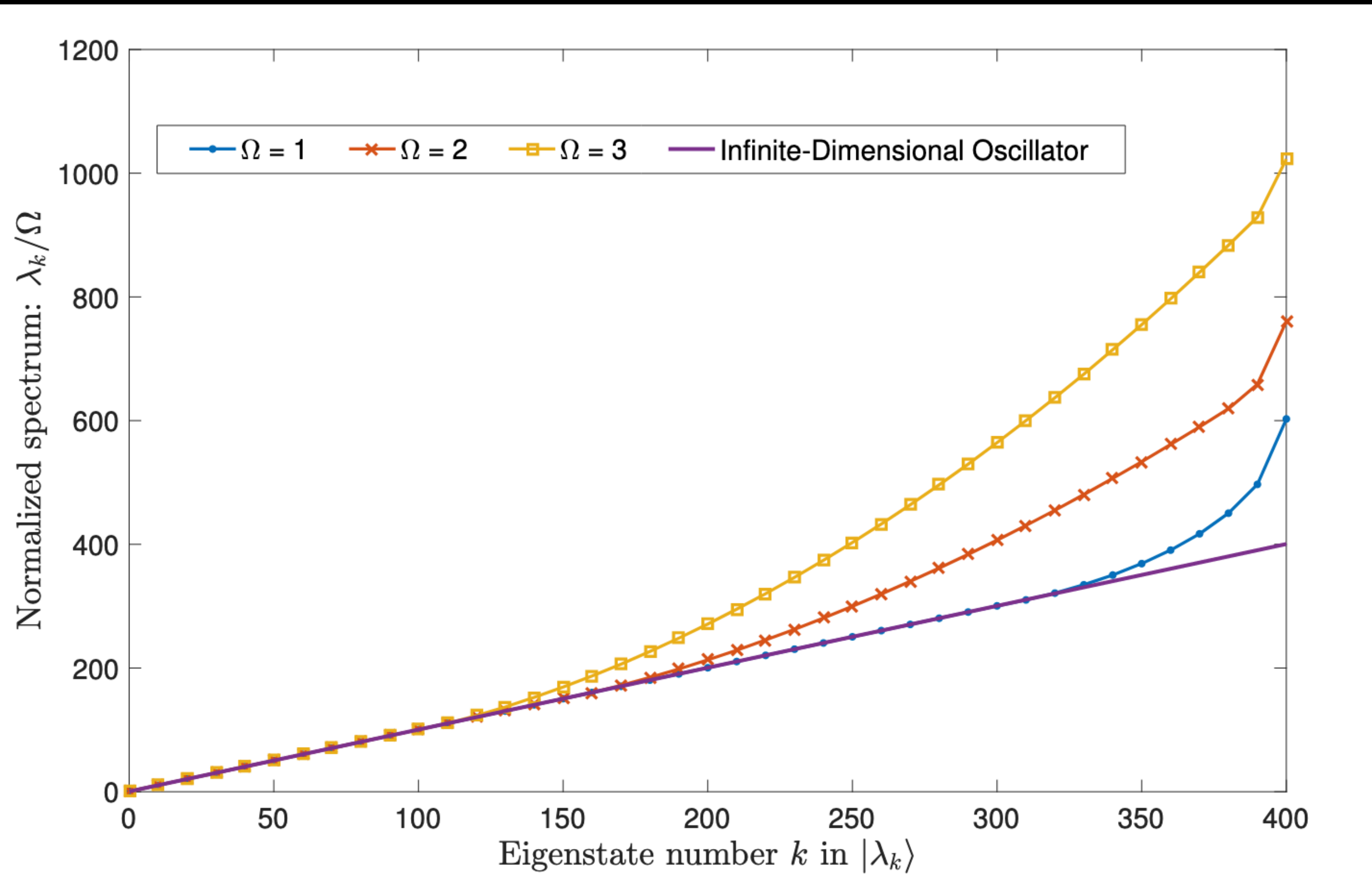
“Generalized Clifford Algebra”

- 
- Modification to Canonical Commutation, uncertainty principle.
  - Modification of eigenspectra of operators, eg. the quantum harmonic oscillator

# Modifications to Energy Levels

Singh & Carroll 2018, arXiv:1806.10134

$$\hat{H}_k = \left[ \frac{\hat{P}_k^2}{2M} + \frac{M\Omega_k^2}{2} \hat{Q}_k^2 \right]$$



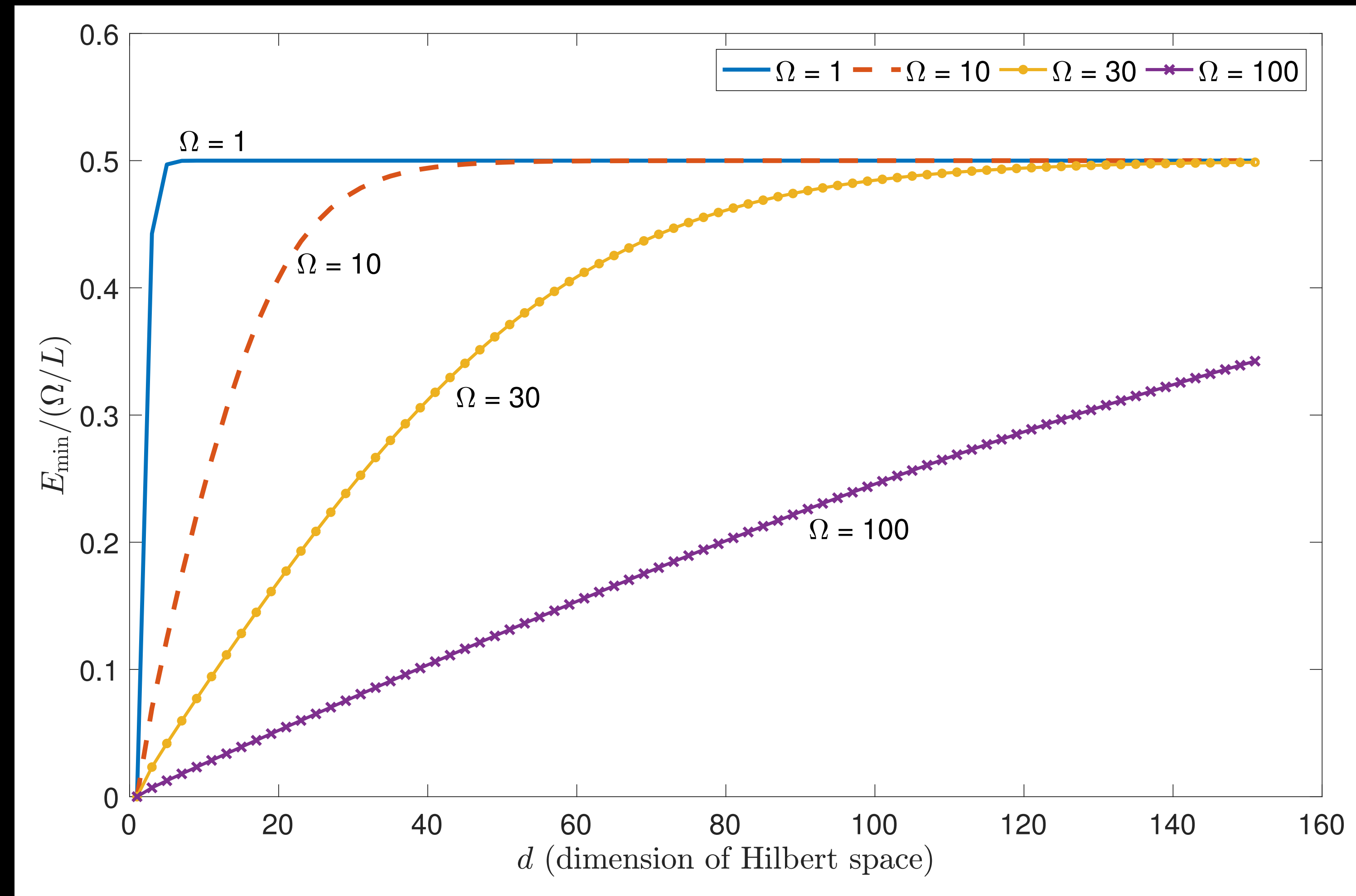
# Implications of Finite-Dimensionality

## Suppression of Zero Point Energy

Singh & Carroll 2018, arXiv:1806.10134

### Zero-point energy of a single oscillator

- Depends on both frequency and dimension
- Saturation to infinite-dim result
- High frequency and Low Dimension show suppression



Full expressions in paper

Accurate Approximations  
to Exact Matrix Calculations

# Finite-Dimensional Hamiltonian for our Expanding Universe

$$\hat{H} = \sum_{|\mathbf{k}| < a\Lambda_{\text{UV}}} \left[ \frac{\hat{P}_{\mathbf{k}}^2}{2a^3 L_c} + \frac{a^3 L_c (|\mathbf{k}|^2/a^2 + m^2)}{2} \hat{Q}_{\mathbf{k}}^2 \right] \equiv \sum_{|\mathbf{k}| < a\Lambda_{\text{UV}}} \left[ \frac{\hat{P}_{\mathbf{k}}^2}{2M} + \frac{M\Omega_{\mathbf{k}}^2}{2} \hat{Q}_{\mathbf{k}}^2 \right]$$

Collection of time-dependent harmonic oscillators, one for each mode

GPO finite-dim conjugate variables

$$M = a^3 L_c$$

$$\Omega_{\mathbf{k}} = \sqrt{|\mathbf{k}|^2/a^2 + m^2}$$

Physical UV Cutoff

$$k_{\text{ph}} = \Lambda_{\text{UV}} \implies k_{\text{max}} = a\Lambda_{\text{UV}}$$

Free Parameters:

$d_{\mathbf{k}}$

and

$\alpha_{\mathbf{k}}$

# Parametric Profile for Hilbert Space Dimension

“a simple power law ansatz”

$$d_{\mathbf{k}} = D \left( k / \Lambda_{\text{UV}} \right)^{n_D} + d_{\text{min}}$$

$$\{n_D, D\}$$

Parameter Space

$$d_{\text{min}} = 2$$

Each mode has the Hilbert space of at least a qubit

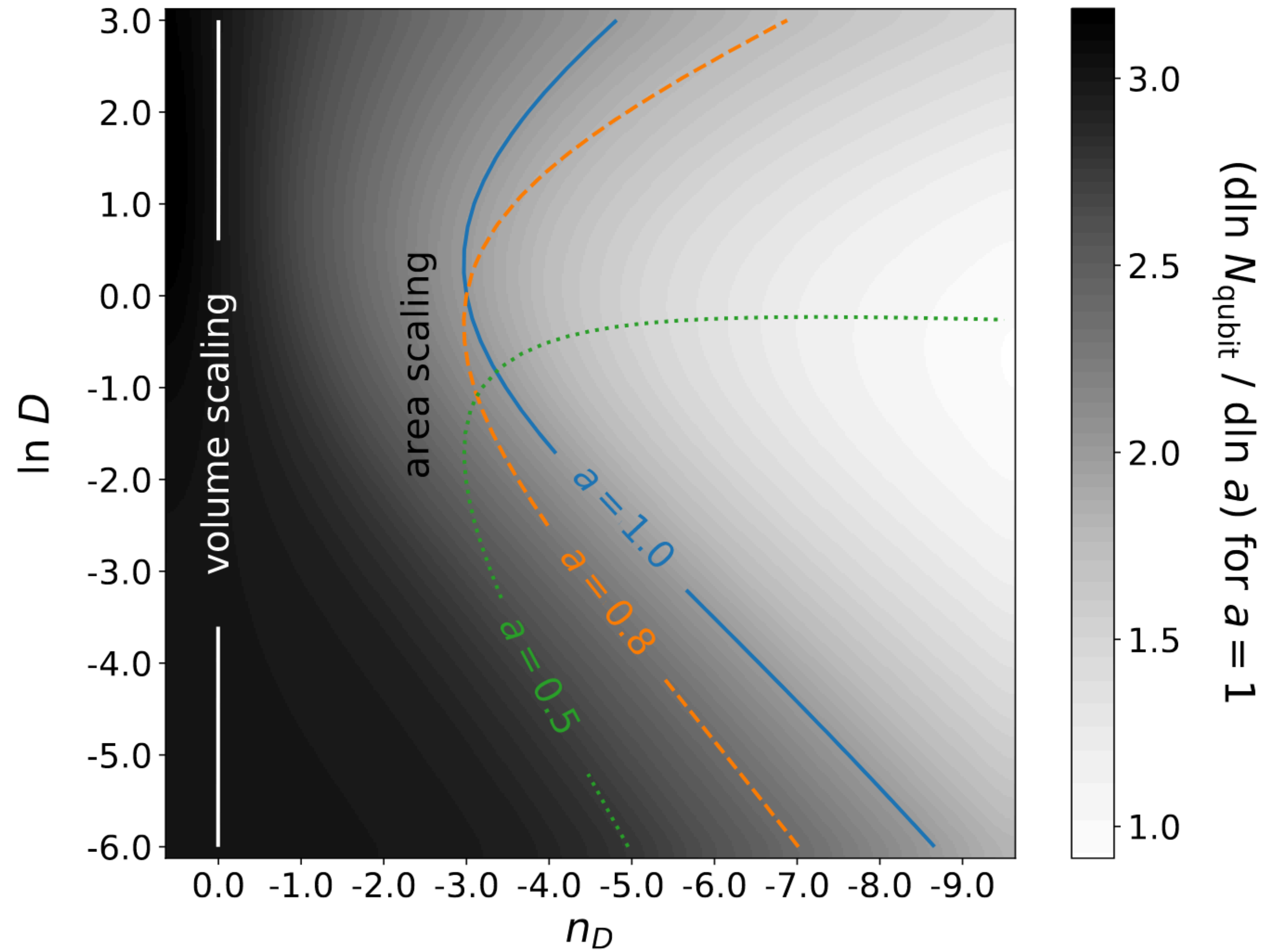
# Scaling of Degrees of Freedom

Counting the number of degrees of freedom

$$N_{\text{qubit}} = \sum_{|\mathbf{k}| < a(t)\Lambda_{\text{UV}}} \log_2(d_{\mathbf{k}}) \approx \frac{L_c^3}{(2\pi)^3} \int_{|\mathbf{k}| < a(t)\Lambda_{\text{UV}}} d^3k \log_2(d_{\mathbf{k}})$$



# Scaling of Degrees of Freedom



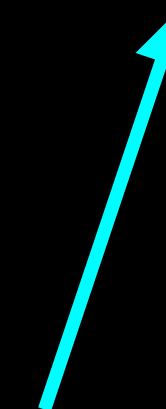
$$n_D = 0$$

Volume Scaling

$$n_D < 0$$

Sub-volume scaling

Implications for Holographic Principle



# Choice of Eigenvalue Spacing “Fiducial Choice”

At  $t_{\mathbf{k}}$  when a mode enters the sum in the Hamiltonian  $|\mathbf{k}| = a(t_{\mathbf{k}})\Lambda_{UV}$

“mode initialization”

we demand,  
maximization of ground  
state energy

$$\frac{d}{d\alpha_{\mathbf{k}}} E_{\min, \mathbf{k}}(t_{\mathbf{k}}) = 0$$

We show in the paper:

Finite-dimensional effects  
can only decrease the  
ground state energy

Minimizes deviations from  
infinite-dimensional results  
in the low energy spectrum

$$\alpha_{\mathbf{k}} = \sqrt{\frac{2\pi}{d_{\mathbf{k}} M(t_{\mathbf{k}}) \Omega_{\mathbf{k}}(t_{\mathbf{k}})}} , \quad \beta_{\mathbf{k}} = \sqrt{\frac{2\pi M(t_{\mathbf{k}}) \Omega_{\mathbf{k}}(t_{\mathbf{k}})}{d_{\mathbf{k}}}}$$

(conservative effects of finite-dimensionality)

# Choice of Eigenvalue Spacing

## “Fiducial Choice”

$$\frac{d}{d\alpha_{\mathbf{k}}} E_{\min, \mathbf{k}}(t_{\mathbf{k}}) = 0$$

$$\alpha_{\mathbf{k}} = \sqrt{\frac{2\pi}{d_{\mathbf{k}} M(t_{\mathbf{k}}) \Omega_{\mathbf{k}}(t_{\mathbf{k}})}}, \quad \beta_{\mathbf{k}} = \sqrt{\frac{2\pi M(t_{\mathbf{k}}) \Omega_{\mathbf{k}}(t_{\mathbf{k}})}{d_{\mathbf{k}}}}$$

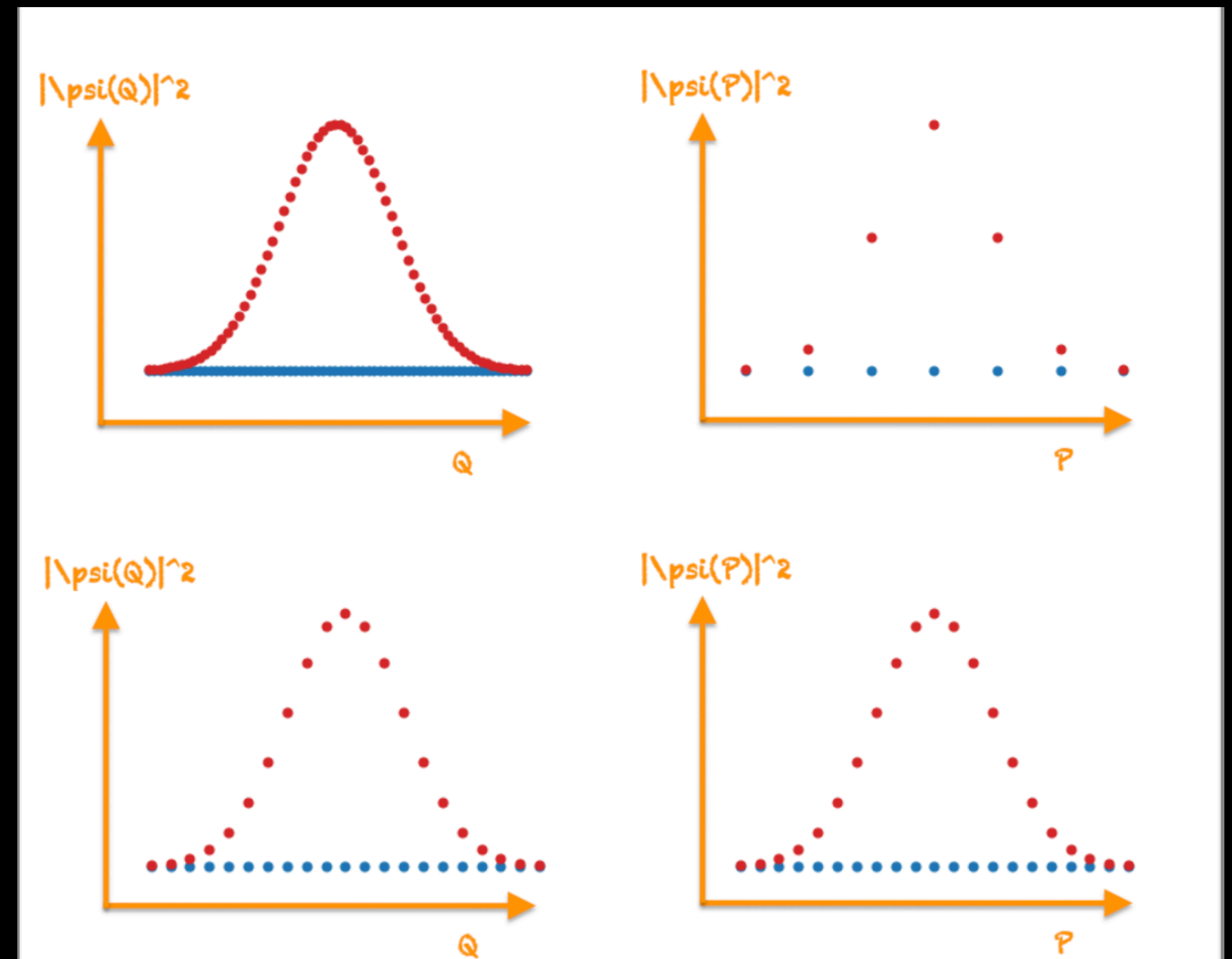
Expectations values are the same as the infinite-dimensional results

$$\langle 0(\mathbf{k}, t_{\mathbf{k}}) | \hat{Q}_{\mathbf{k}}^2 | 0(\mathbf{k}, t_{\mathbf{k}}) \rangle = \frac{1}{2M(t_{\mathbf{k}}) \Omega_{\mathbf{k}}(t_{\mathbf{k}})}$$

$$\langle 0(\mathbf{k}, t_{\mathbf{k}}) | \hat{P}_{\mathbf{k}}^2 | 0(\mathbf{k}, t_{\mathbf{k}}) \rangle = \frac{M(t_{\mathbf{k}}) \Omega_{\mathbf{k}}(t_{\mathbf{k}})}{2}$$

Implies an equal resolution in both variables

$$\frac{\langle 0(\mathbf{k}, t_{\mathbf{k}}) | \hat{Q}_{\mathbf{k}}^2 | 0(\mathbf{k}, t_{\mathbf{k}}) \rangle}{\alpha_{\mathbf{k}}^2} = \frac{\langle 0(\mathbf{k}, t_{\mathbf{k}}) | \hat{P}_{\mathbf{k}}^2 | 0(\mathbf{k}, t_{\mathbf{k}}) \rangle}{\beta_{\mathbf{k}}^2}$$



# Choice of Eigenvalue Spacing

## “An Alternate Choice”

$$\alpha_{\mathbf{k}} = \sqrt{\frac{2\pi}{d_{\mathbf{k}}}} = \beta_{\mathbf{k}}$$

More Algebraically Symmetric

(more extreme effects of finite-dimensionality)

# Implications for Cosmological Physics

$$L_c \sim H_0^{-1}$$

constant comoving volume, coinciding with the Hubble horizon our universe is saturating to in the future

$$L_{\text{ph}}(t) = a(t) L_c$$

(one possible choice of IR scale, we outline more possibilities in the paper)

# Implications for Cosmological Physics

$$\epsilon_{\text{vac}}(t)$$

$$w_{\text{vac}} = - \left( 1 + \frac{1}{3} \frac{d \ln \epsilon_{\text{vac}}}{d \ln a} \right)$$

Focus on vacuum energy, and its equation of state

Implications for expansion history of the universe

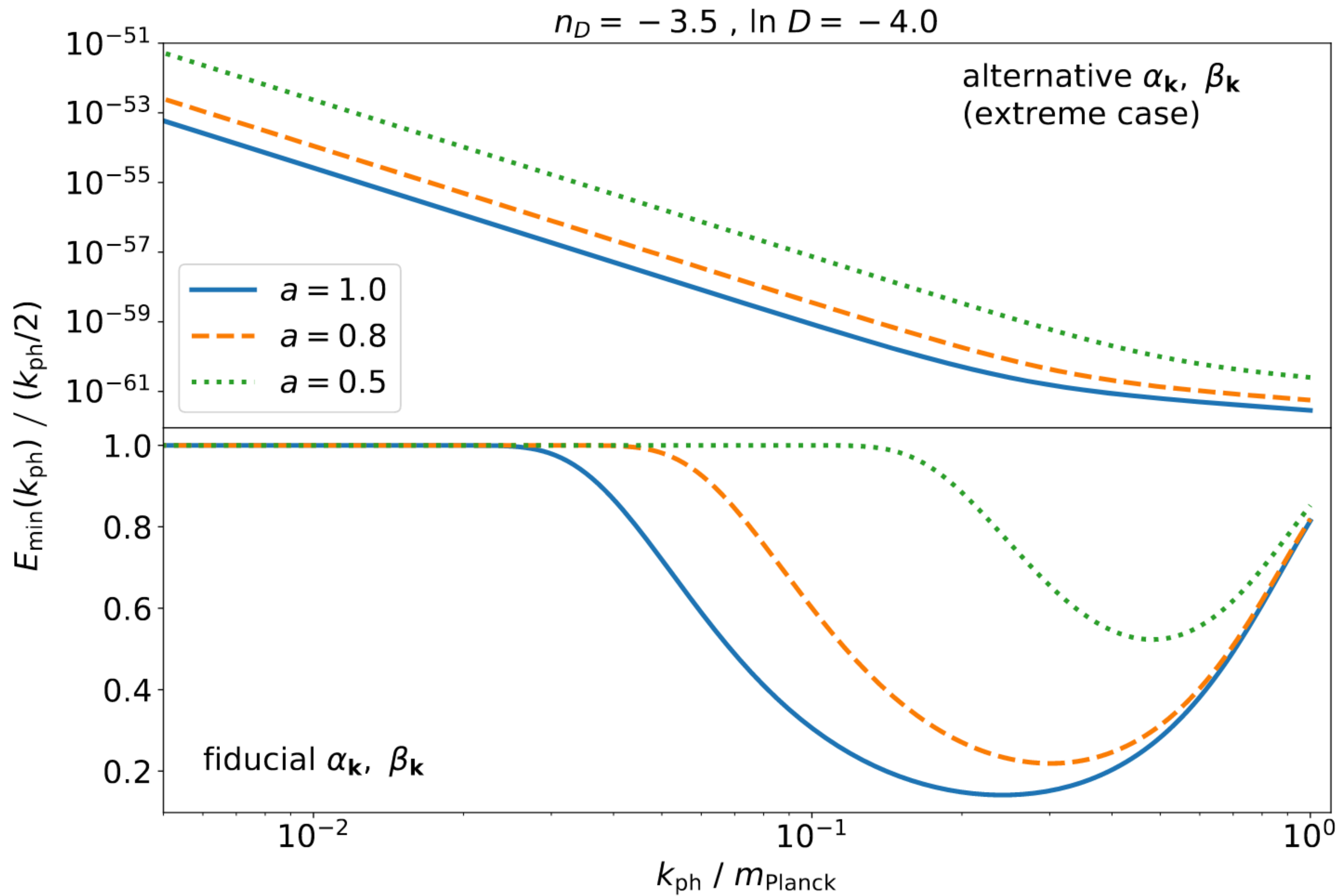
1. Vacuum energy can be dynamical
2. Suppression compared to its infinite-dimensional counterpart
3. Can decay between two constant epochs

$$\left( \frac{\dot{a}}{a} \right)^2 = \frac{8\pi G}{3} \rho(t)$$

“Friedmann  
Equation”

Energy  
Density

# Suppression of Vacuum Energy



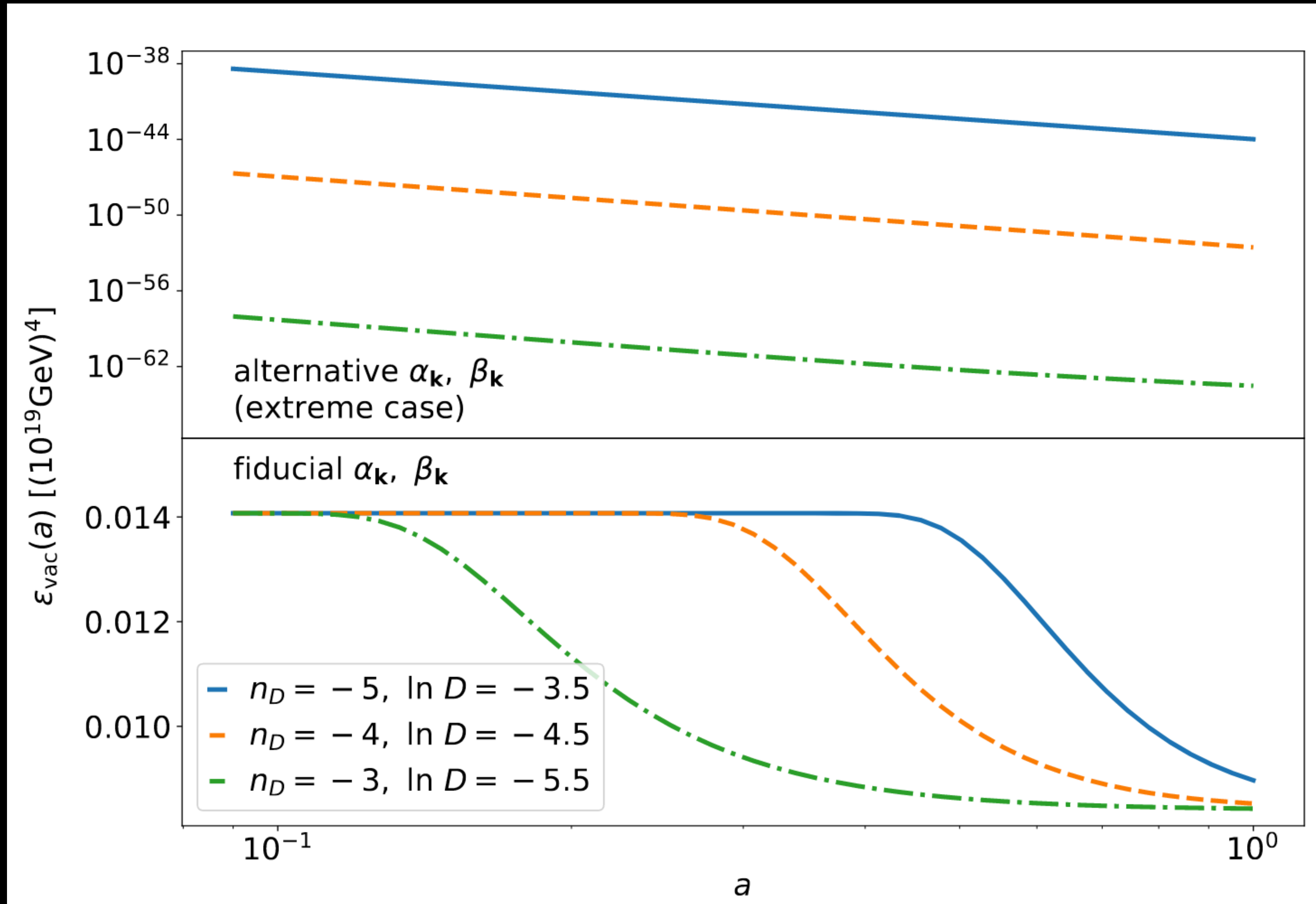
Possible implications  
for the “cosmological  
constant” problem

Also see, Cao, Chatwin-Davies & Singh 2019,  
arXiv:1905.11199

# Dynamical Vacuum Energy

## Time-dependence

- Implications for various cosmological epochs, and transitions
- Can decay between two constant epochs





# Vacuum Equation of State

$$w_{\text{vac}} = - \left( 1 + \frac{1}{3} \frac{d \ln \epsilon_{\text{vac}}}{d \ln a} \right)$$

$$w_{\text{vac}} = -1$$

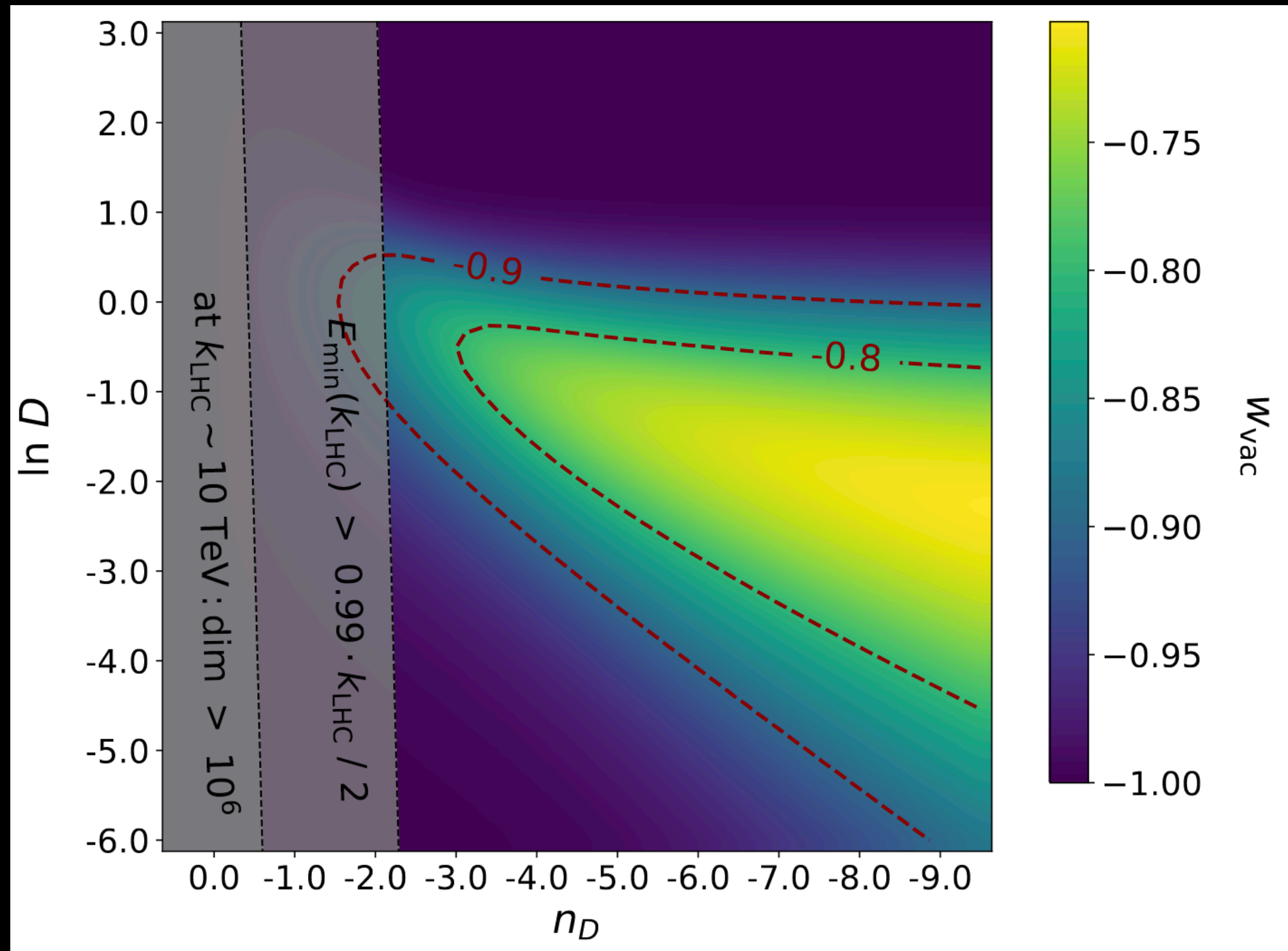
“Cosmological Constant”

$$w_{\text{vac}} = 1/3$$

Radiation era

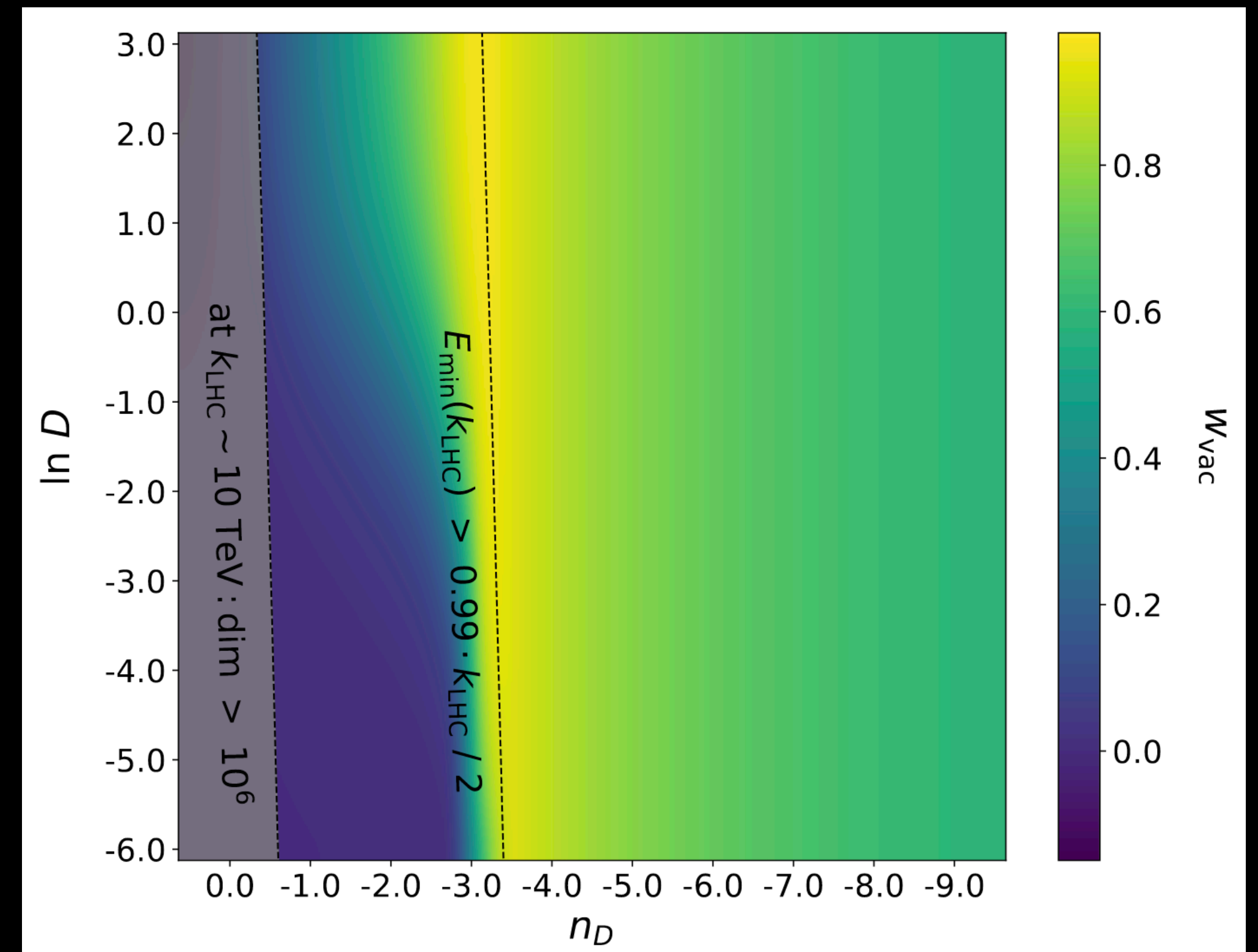
$$w_{\text{vac}} = 0$$

Matter era



Fiducial eigenvalue spacing

Exclude regions of parameter space based on known LHC scale particle physics



Alternate eigenvalue spacing

...okay, to recap,

Fiducial Choice



Alternate Choice

a range of possible effects from first-principle, finite-dimensional QM!

1. Finite-dimensional quantum mechanics applied to expanding cosmology
2. Precise expressions for ground state energy of the finite-dim oscillator
3. Sub-volume scaling of degrees of freedom, connections to holography
4. Parametrized models of dimension and eigenvalue scalings
5. Dynamical vacuum energy, can be suppressed, and decays between two constant epochs

A possibly fruitful point for exploring implications for cosmological physics

**Public Code Available At:**

<https://github.com/OliverFHD/GPUUniverse>

---

We welcome you try it out, play with these tools!

# In Hindsight

- Local finite-dimensionality from quantum gravity, along with an intrinsic finite-dimensional construction as a new paradigm
- Predictive consequences for (quantum) gravity motivated by first principle ideas.
- Corrections to Heisenberg Uncertainty Principle, Feynman Diagrams, Cassimir Effect, etc.
- Dynamical Dark Energy, connections with cosmological observations, such as to constrain the dimension the Hilbert space of the universe
- Connections with inflation, reheating, epoch transitions, etc. in cosmology