

# Inhomogeneous phases in the 3+1-dimensional mean-field Nambu-Jona-Lasinio model on the lattice

**Laurin Pannullo, Marc Winstel, Marc Wagner**

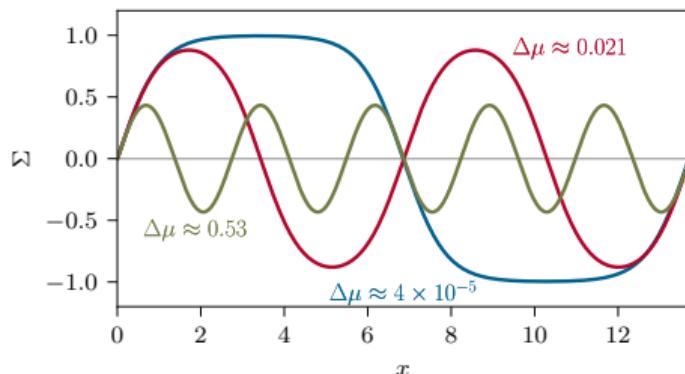
Goethe University Frankfurt

SEWM 2022, Paris



# Motivation

- Several low-energy effective models exhibit a chiral inhomogeneous phase (IP) i.e. a **space-dependent chiral condensate**  
[ M. Buballa, S. Carignano, *Prog. Part. Nucl. Phys.*, **81**, 39–96 (2015), arXiv: 1406.1367 ]
- Indications for such phases and related moat regimes in QCD  
[ R. D. Pisarski, F. Rennecke, *Phys. Rev. Lett.*, **127**, 152302 (2021), arXiv: 2103.06890 ]  
[ W.-j. Fu *et al.*, *Phys. Rev. D.*, **101**, 054032 (2020), arXiv: 1909.02991 ]
- Some of these models suffer from non-renormalizability and an inherent **regulator dependence**
- Vast majority of investigations in **mean-field**



[ M. Thies, K. Urlichs, *Phys. Rev.*, **D67**, 125015 (2003), arXiv: hep-th/0302092 ]

[ A. Koenigstein *et al.*, (2021), arXiv: 2112.07024 ]

# Motivation

- **Long term goal:** Investigate inhomogeneous phases in  $3 + 1$ -dimensional (Four-Fermi) models beyond mean-field via lattice Monte-Carlo simulations.

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# Motivation

- **Long term goal:** Investigate inhomogeneous phases in  $3 + 1$ -dimensional (Four-Fermi) models beyond mean-field via lattice Monte-Carlo simulations.
- **But first:** Are IPs a consistent feature when applying different regularization schemes in  $3 + 1$ -dimensional Four-Fermi models? Is the lattice a suitable regularization in these models?
- Start with **stability analysis** of the  $3 + 1$ -dimensional mean-field Nambu-Jona-Lasinio (NJL) model on the lattice

# 3 + 1-dimensional mean-field NJL model

$$S = \int d^3x \int_0^\beta \left\{ \bar{\psi} (\not{\partial} + \gamma_0 \mu + m_0) \psi + G \left[ (\bar{\psi} \psi)^2 - (\bar{\psi} \gamma_5 \boldsymbol{\tau} \psi)^2 \right] \right\}$$

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Bosonization, integration over fermions

$$S_{\text{eff}} = \int d^3x \int_0^\beta d\tau \frac{\sigma^2 + \boldsymbol{\pi}^2}{4G} - \ln \text{Det}(\not{\partial} + \gamma_0 \mu + m_0 + \sigma + i\gamma_5 \boldsymbol{\tau} \cdot \boldsymbol{\pi})$$

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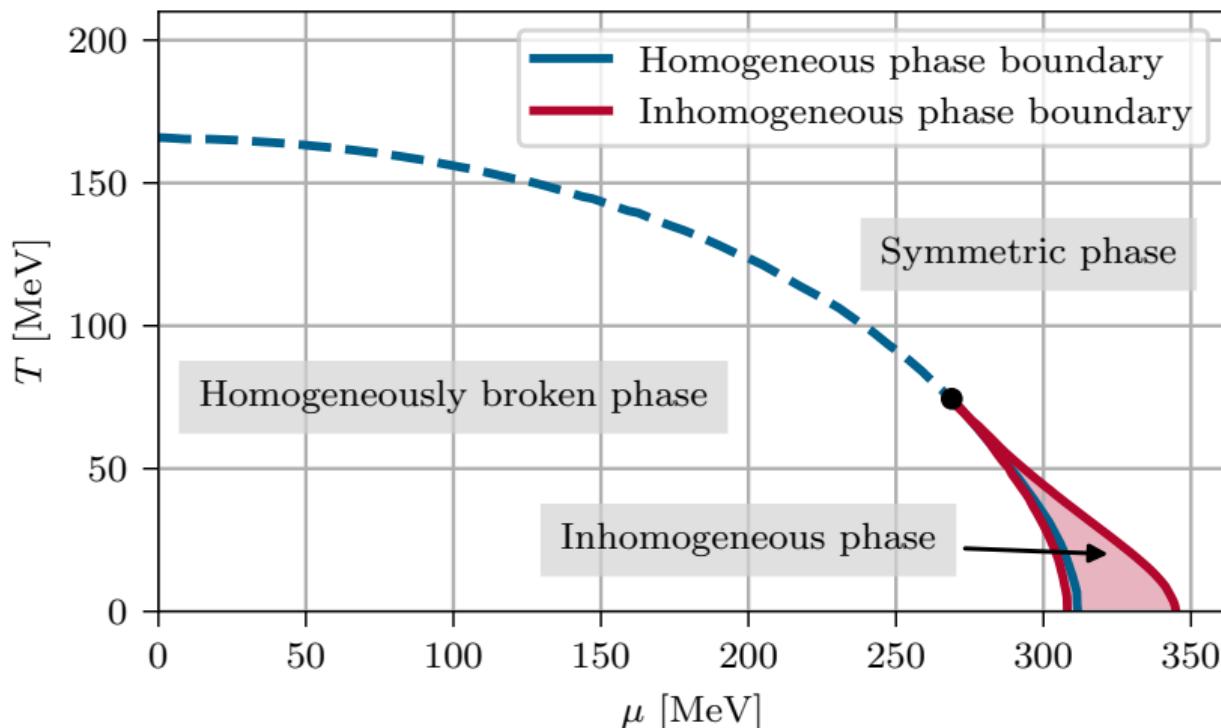
- **Mean-field** approximation: only field configurations that minimize  $S_{\text{eff}}$  contribute  
⇒ path-integral is reduced to a minimization problem

# 3 + 1-dimensional mean-field NJL model

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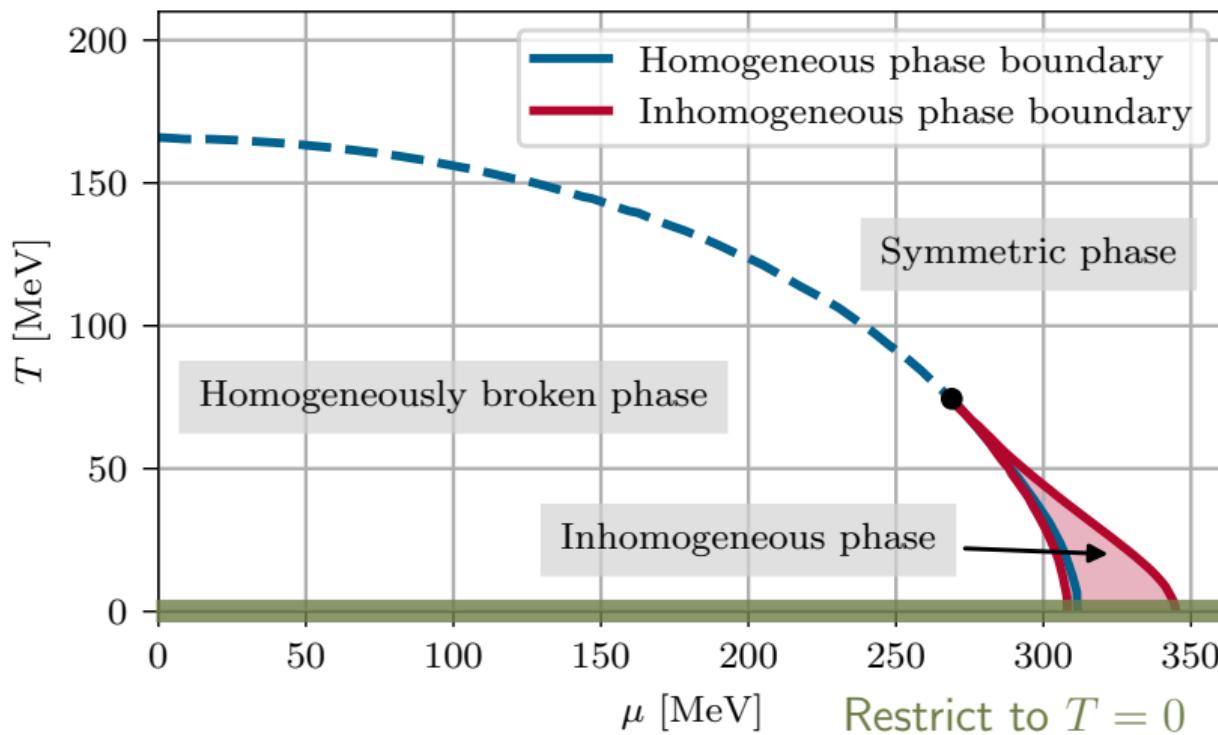
- 3 parameters: the coupling  $G$ , the bare fermion mass  $m_0$  and the regulator  $\Lambda$
- Parameters are tuned such that certain observable assume physically motivated values [ S. P. Klevansky, *Rev. Mod. Phys.*, 64, 649–708 (1992)]
  - Pion mass  $m_\pi = 0$  (chiral limit)  $\Rightarrow m_0 = 0$
  - Pion decay constant  $f_\pi = 92.4$  MeV
  - Constituent quark mass  $M_0$  in the range of 200 MeV – 500 MeV  
 $\Rightarrow$  will be used to control the value of the regulator

# Phase diagram of the 3 + 1-d mean-field NJL model



[ D. Nickel, *Phys. Rev. D.*, **80**, 074025 (2009), arXiv: 0906.5295 ]

# Phase diagram of the 3 + 1-d mean-field NJL model



# How to detect an inhomogeneous phase?

- How to detect an inhomogeneous phase in the  $3 + 1$ -dimensional NJL model?
- Two choices:
  - Use explicit **ansatz** for the chiral condensate / minimize on the lattice  
⇒ Difficult, sometimes impossible and often numerically expensive
  - Analyze **stability** of the homogeneous minimum  
⇒ Flexible and cheap ⇒ better suited for our investigation

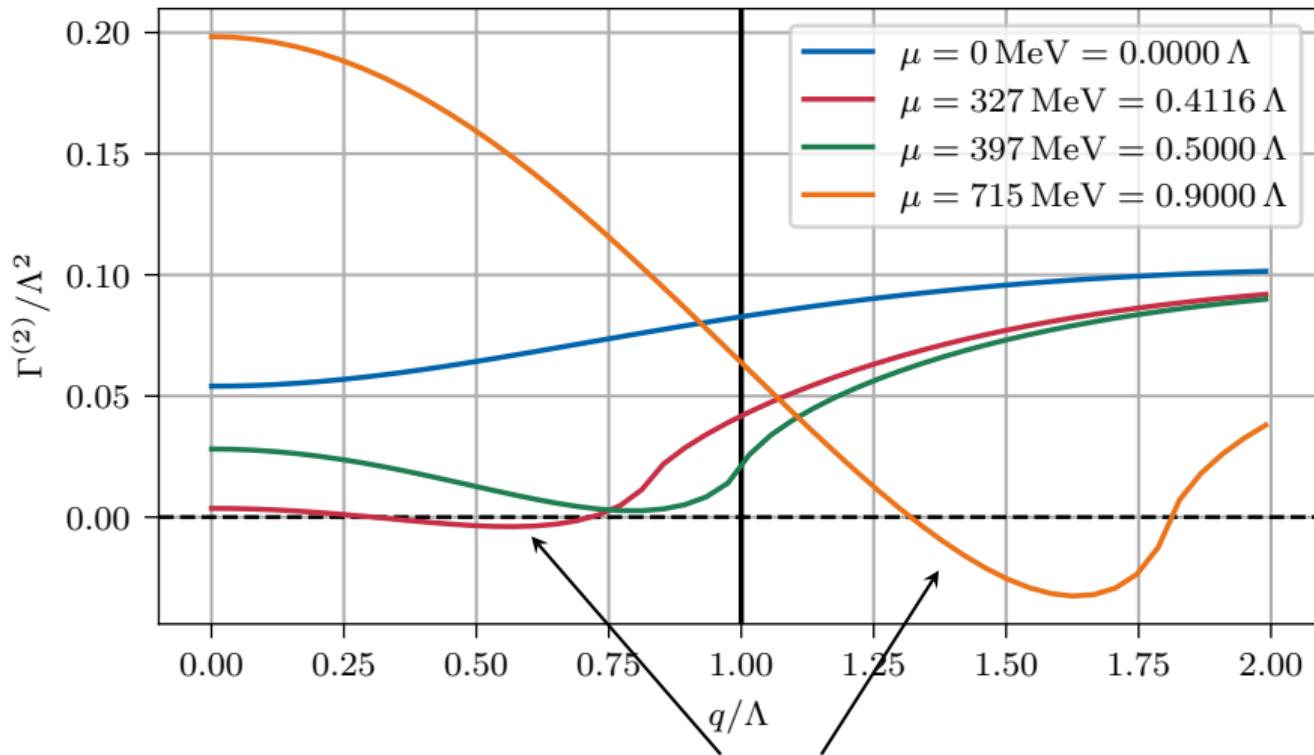
# Stability analysis

- Investigate **curvature** of the effective action under inhomogeneous perturbations  $\delta\tilde{\phi}(\mathbf{q})$  at the homogeneous minimum
- Curvature in direction  $\delta\tilde{\phi}(\mathbf{q})$  is given by the **bosonic two-point function**  $\Gamma_{\phi}^{(2)}(\mathbf{q})$
- Simple quantity in the mean-field approximation

$$\Gamma_{\phi}^{(2)}(\mathbf{q}) = \frac{1}{2G} + \text{---} \begin{array}{c} \mathbf{q} \\ \phi \end{array} \text{---} \circlearrowleft \begin{array}{c} \mathbf{p} \\ \psi \end{array} \circlearrowright \text{---} \begin{array}{c} \mathbf{q} \\ \phi \end{array} \text{---}$$

$\mathbf{p} + \mathbf{q}$

# Example two-point function



signals instability towards  
inhomogeneous condensate

# Regularization of the loop integral

$$\Gamma_{\phi}^{(2)}(\mathbf{q}) = \frac{1}{2G} + \text{Diagram}$$

The diagram shows a loop integral. A horizontal blue dashed line has two vertices. The left vertex is labeled  $\phi$  and  $\mathbf{q}$ . The right vertex is also labeled  $\phi$  and  $\mathbf{q}$ . A green circle with a clockwise arrow passes through these two vertices. Inside the circle, there is a symbol  $\psi$ .

- The loop integral is **UV-divergent** and needs to be regularized
- Possible regularization scheme choices
  - Most common: **Pauli-Villars**
    - e.g. [ D. Nickel, *Phys. Rev. D.*, **80**, 074025 (2009), arXiv: 0906.5295 ] [ M. Buballa et al., *The Eur. Phys. J. Special Top.*, **229**, 3371–3385 (2020), arXiv: 2006.02133 ]
  - **Momentum cutoff** might have some conceptual problems
  - Successful applications of energy cutoffs and dim. regularization when using ansätze
    - [ P. Adhikari, J. O. Andersen, *Phys. Rev. D.*, **95**, 036009 (2017), arXiv: 1608.01097 ] [ D. Ebert et al., *Phys. Rev. D.*, **84**, 025004 (2011), arXiv: 1102.4079 ]
- How do **lattice regularizations** fit in?

# Lattice regularizations

Investigate three different lattice regularizations

- Two variations of **naive** fermions
- **SLAC** fermions
  - Linear dispersion relation, thus conceptually very similar to a sharp cutoff in the continuum

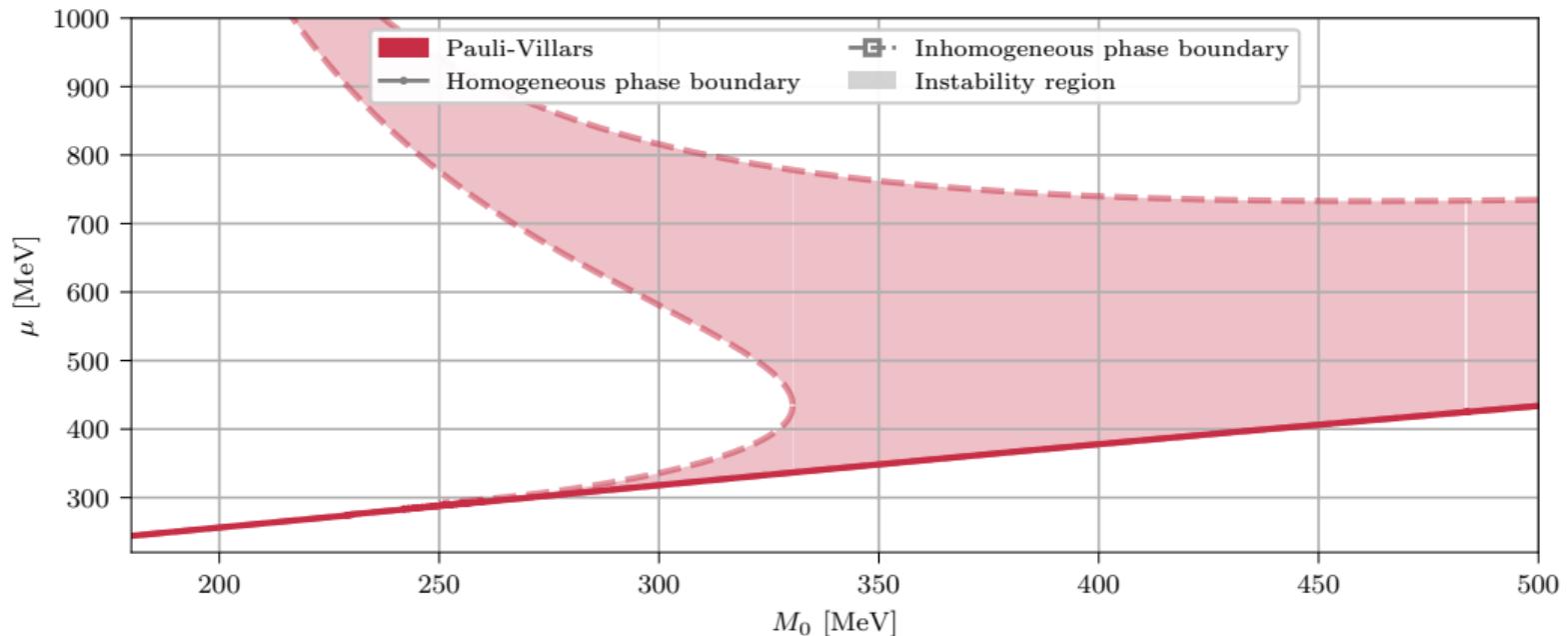
# Lattice regularizations

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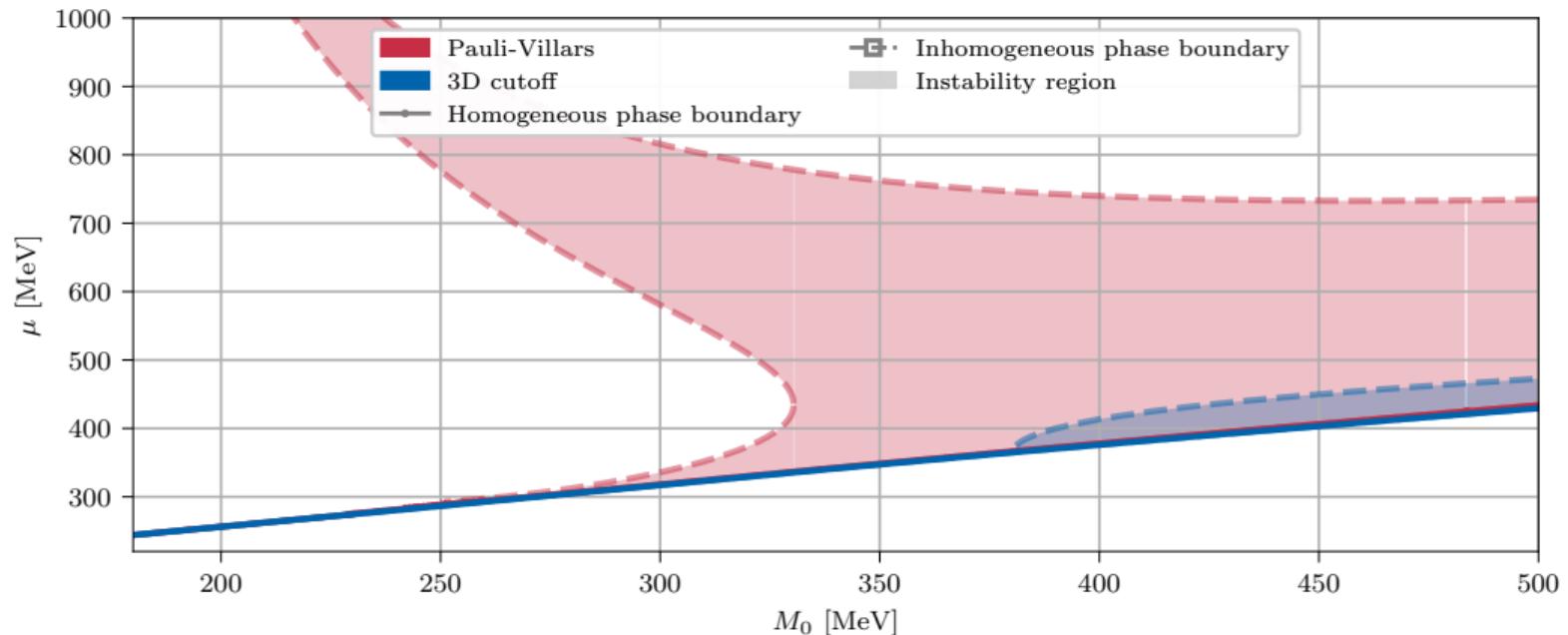
# Results

# Quark mass scan

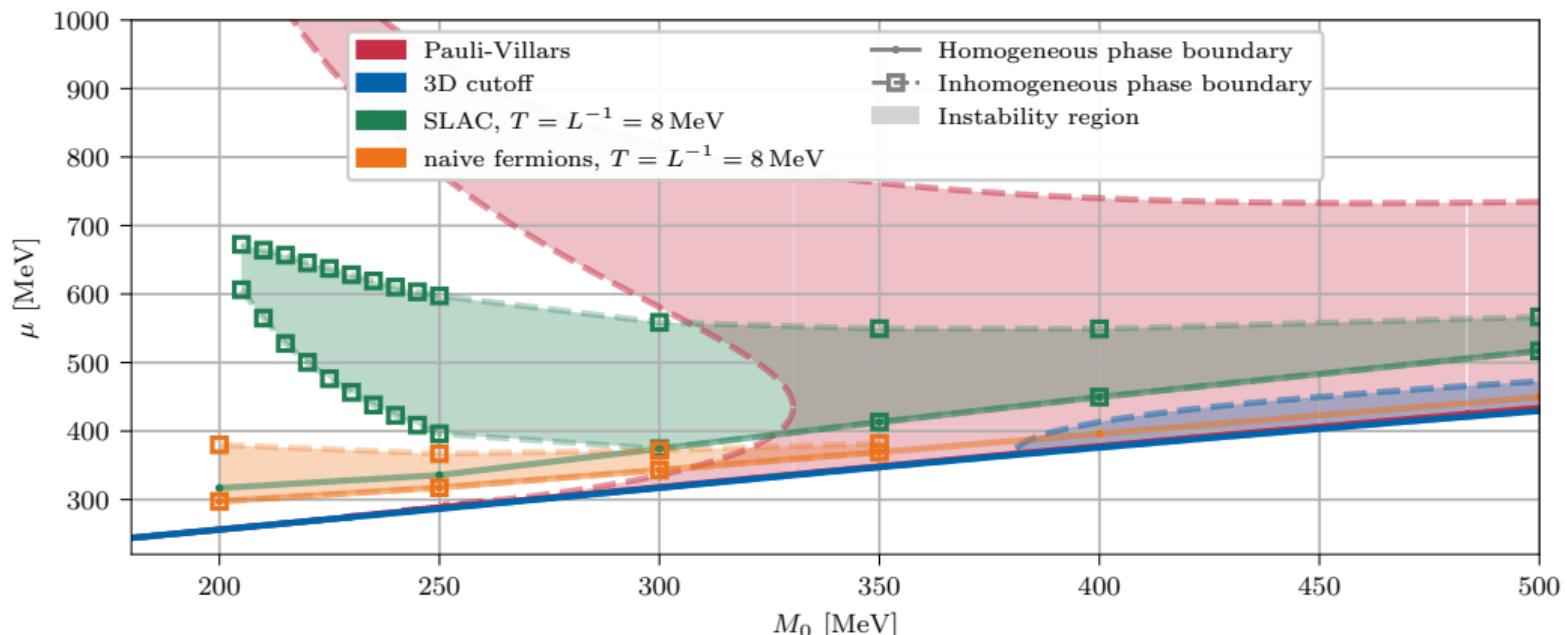


- Instability detection restricted to  $\mu \leq \Lambda$

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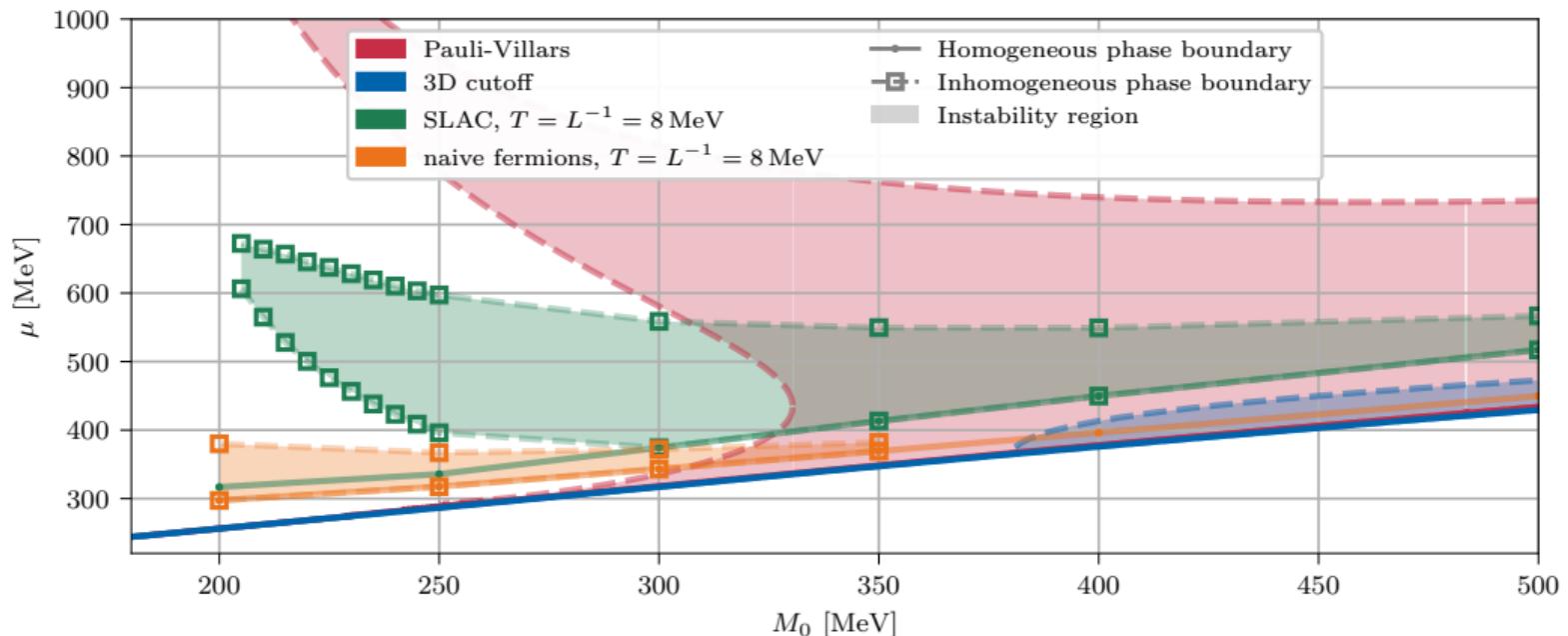


# Quark mass scan



- The lattice results are calculated at  $T = 8 \text{ MeV}$  and  $L^{-3} = (8 \text{ MeV})^3$
- One of the naive discretizations **does not exhibit an instability**

# Quark mass scan

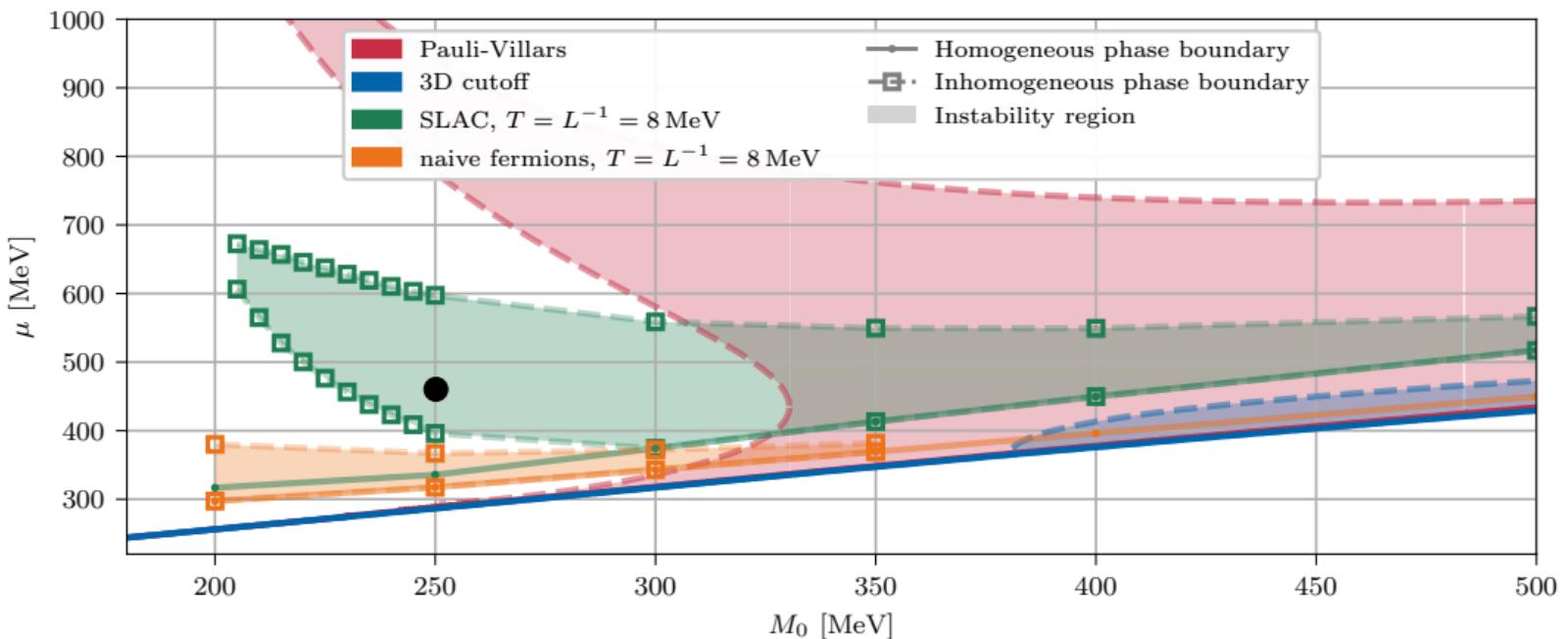


- No single point where all regularizations show an instability

# Inhomogeneous field configurations

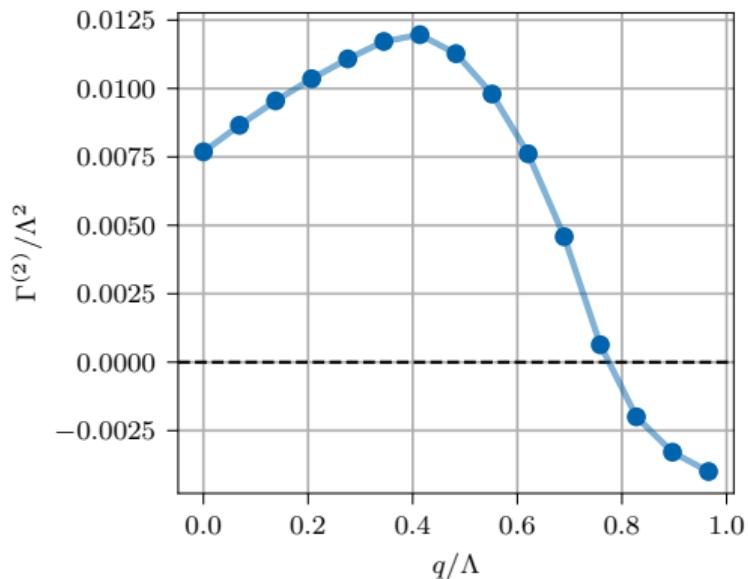
- Energetically preferred chiral condensate can be computed on the lattice
- Restrict to one-dimensional modulations due to cost

# Inhomogeneous field configurations

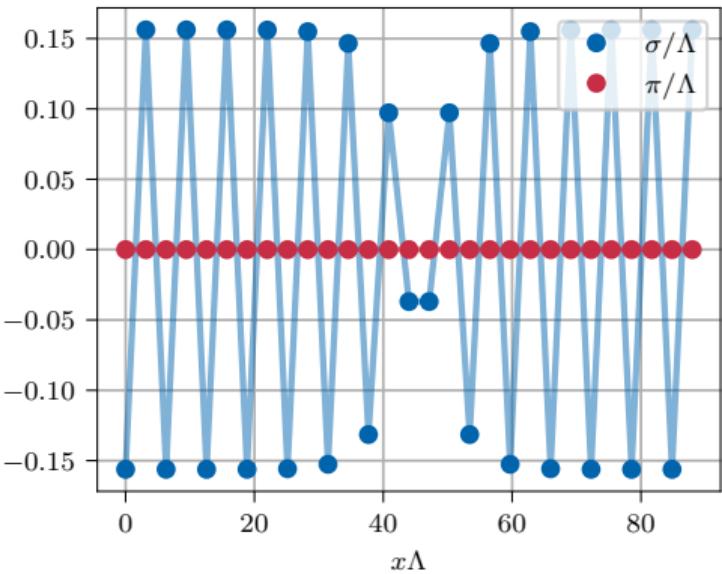


# Inhomogeneous field configurations

SLAC,  $T \approx 8$  MeV,  $\mu = 464.93$  MeV,  
 $M_0 = 250$  MeV,  $f_\pi = 92.4$  MeV,  $m_\pi = 0$  MeV



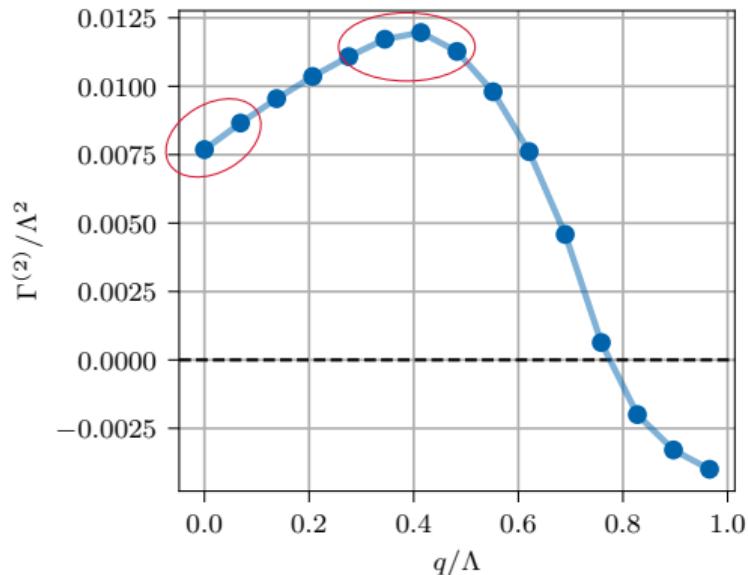
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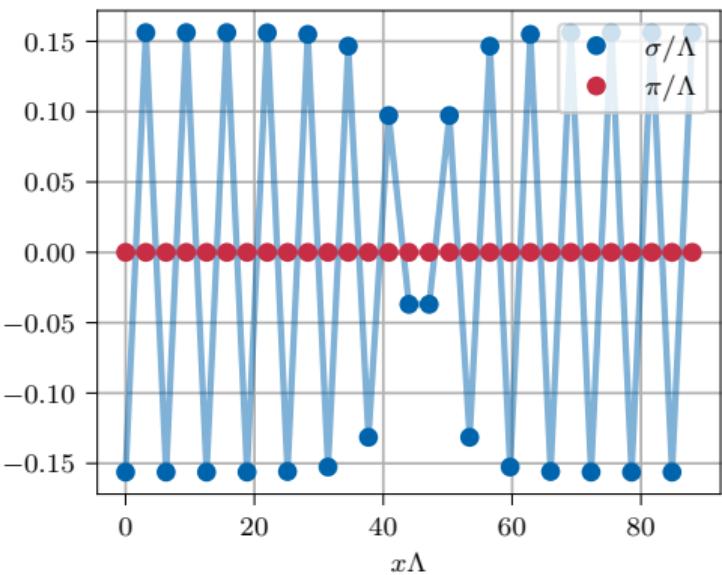
- Artifactual modulation of the condensate

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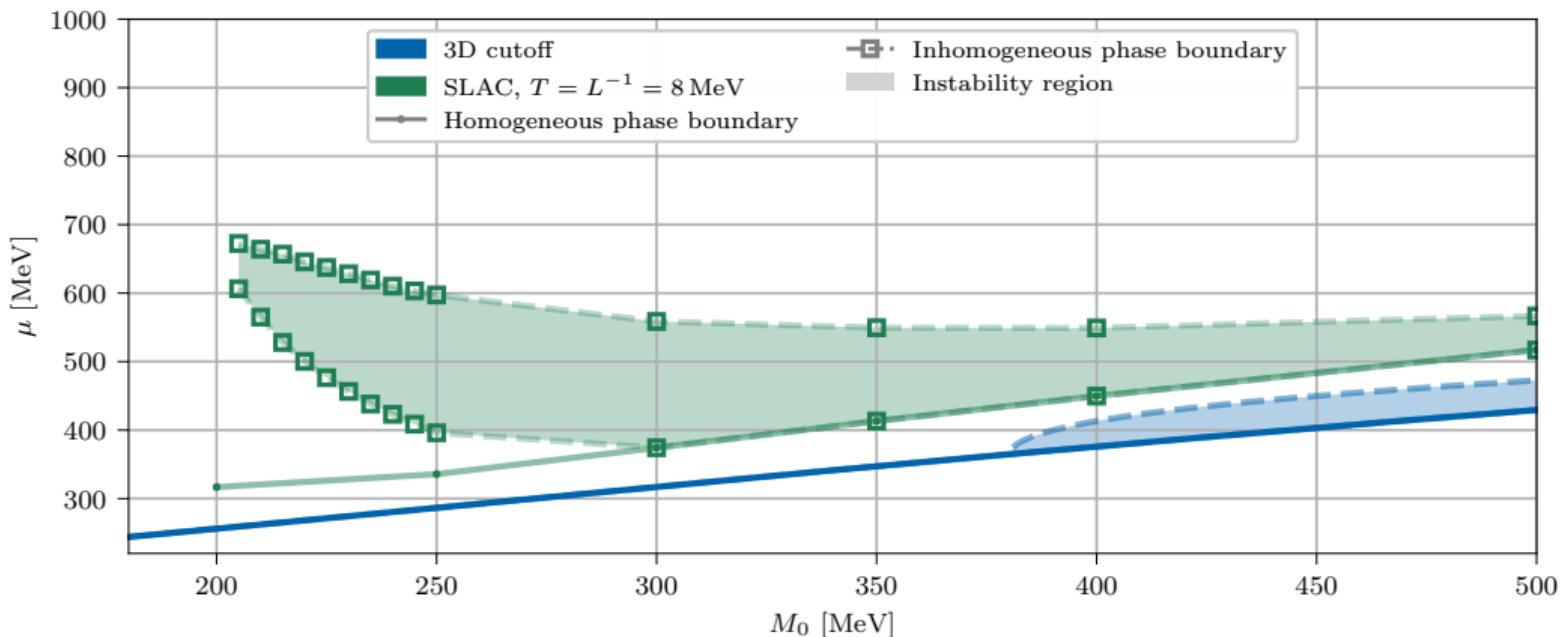


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- Artifactual modulation of the condensate
- Suspicious and problematic features of two-point function

# SLAC vs. 3D momentum cutoff



- Why does SLAC show such a different behavior than the momentum cutoff?

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- Differences between the regularizations:
  - I The lattice results are at **finite temperature and volume**
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  - III The cutoff region of SLAC fermions is **cubic** and that of the 3D momentum cutoff is **spherical**.
- Analyze influence of the differences

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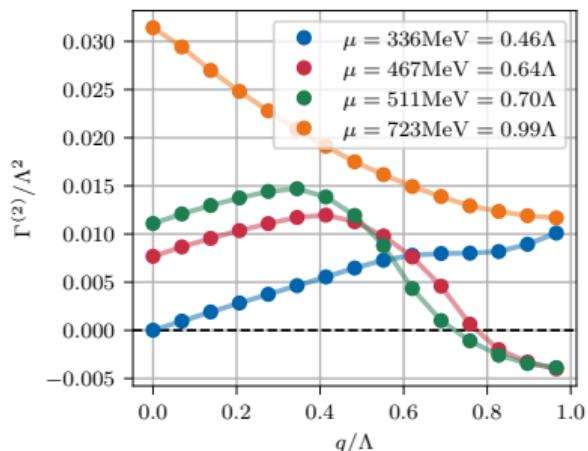
# Lattice in the infinite volume at $T = 0$

- In conventional lattice field theory infinite volume and  $T = 0$  only via extrapolation
- In the stability analysis we only need to compute the 1-loop diagram via momentum sums/integrals
- continue momentum sums to integrals  $\Rightarrow$  exact infinite volume and  $T = 0$  on the lattice

# SLAC in infinite volume vs. 3D momentum cutoff

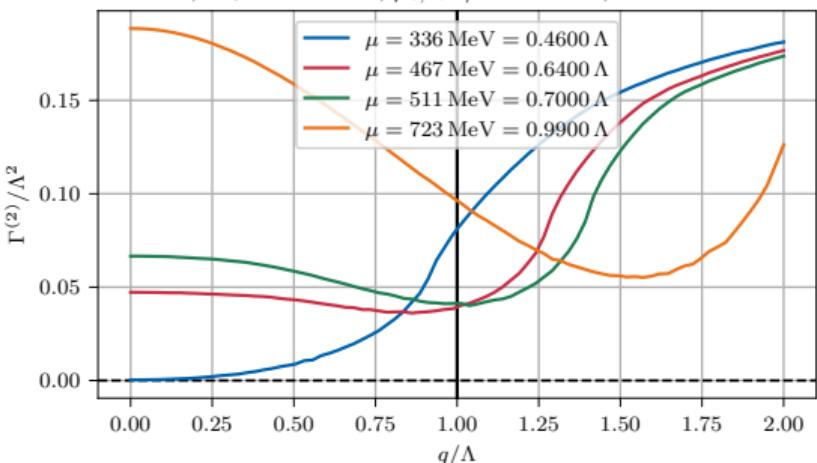
## SLAC in finite volume

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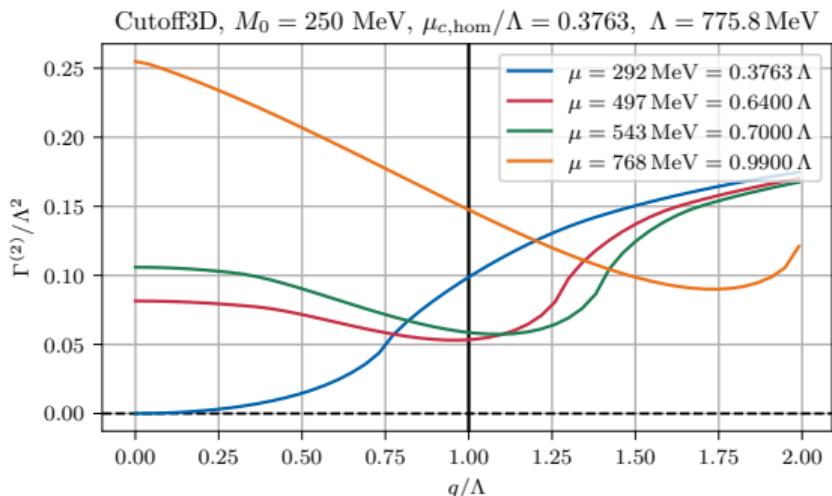
## SLAC in infinite volume

SLAC,  $M_0 = 250$  MeV,  $\mu_{c,\text{hom}}/\Lambda = 0.4619$ ,  $\Lambda = 730.3$  MeV

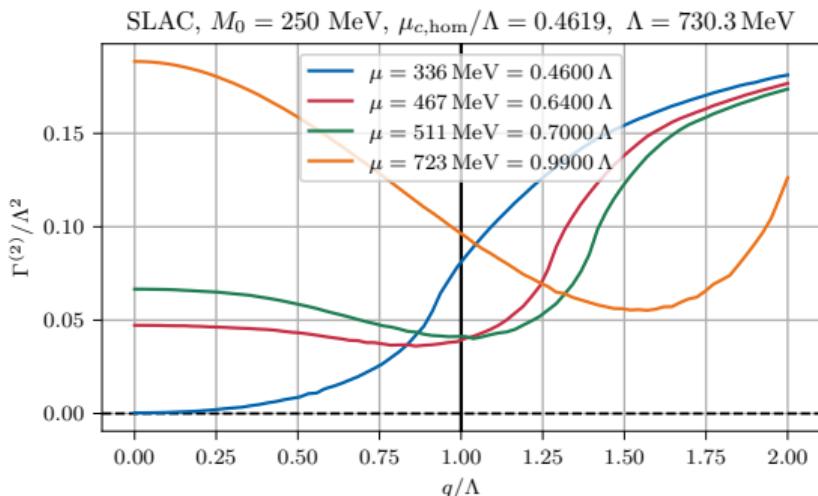


# SLAC in infinite volume vs. 3D momentum cutoff

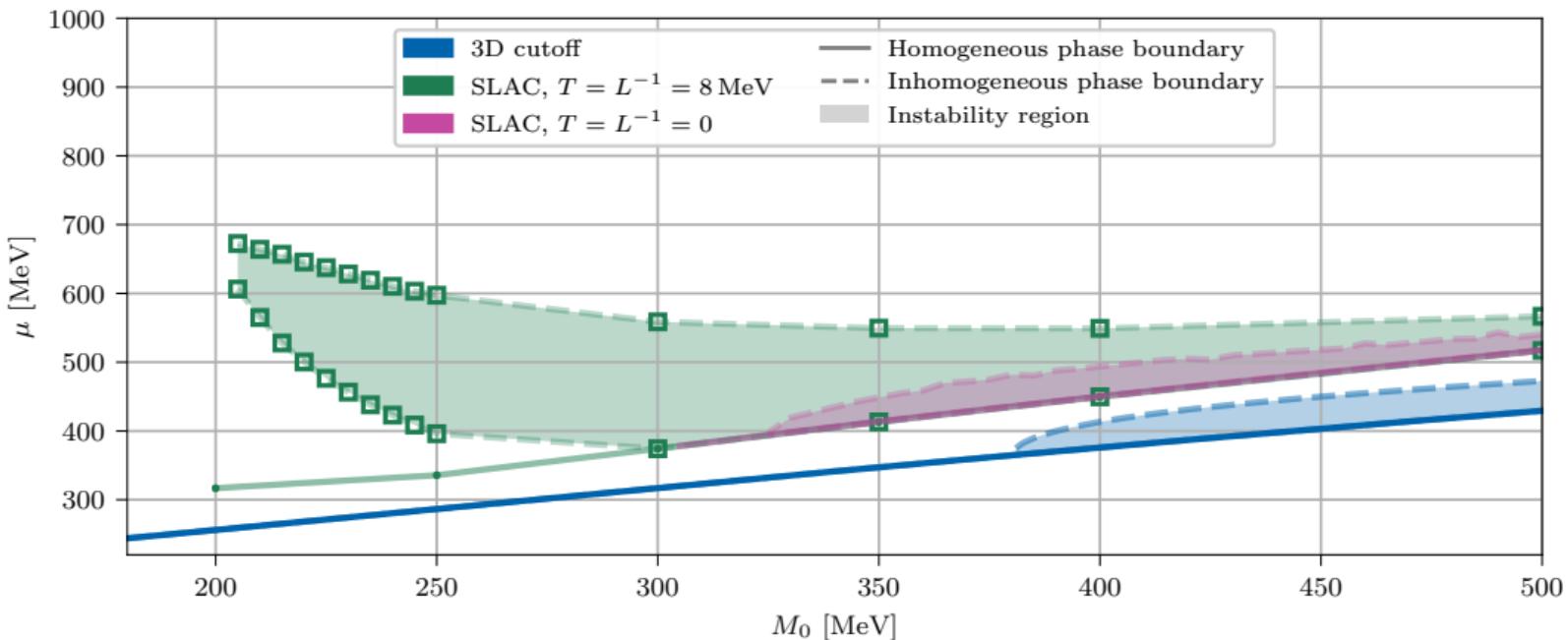
## 3D Cutoff



## SLAC in infinite volume



## SLAC vs. 3D momentum cutoff



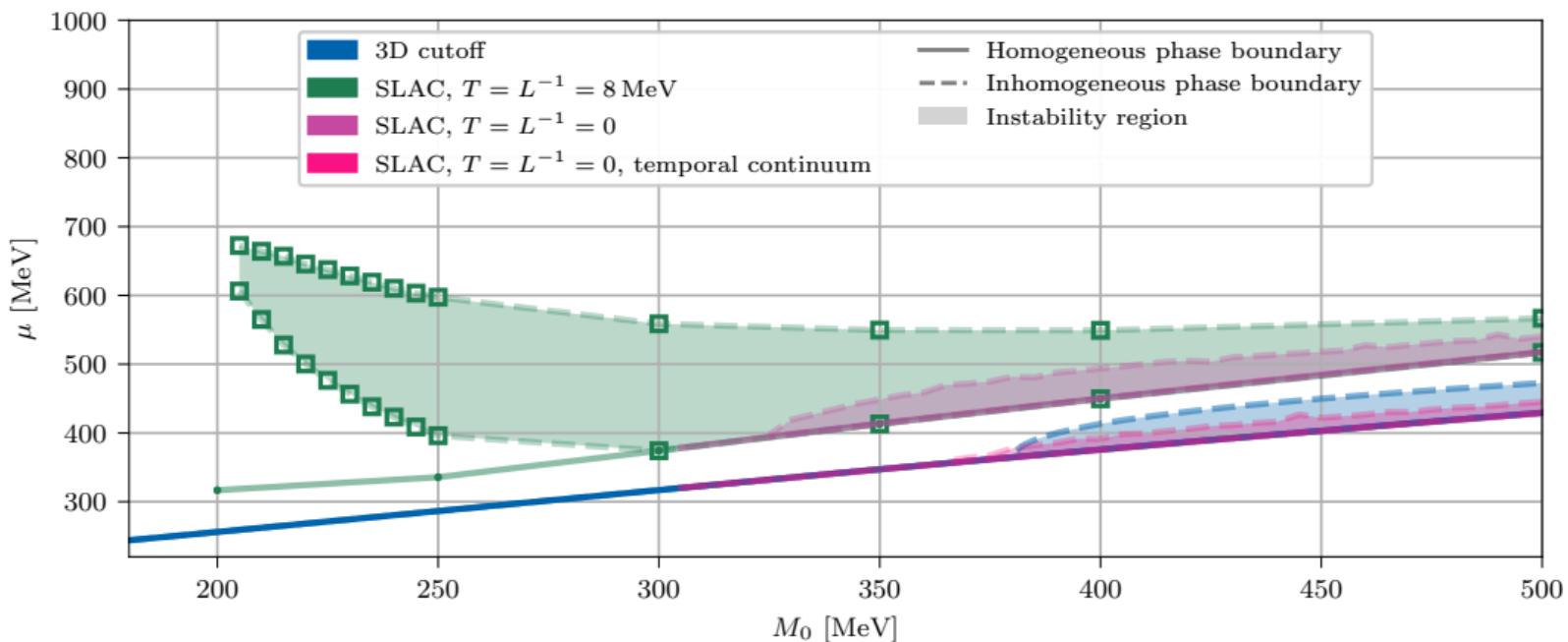
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- Perform continuum limit in temporal direction

## SLAC vs. 3D momentum cutoff



# Conclusions and Outlook

## Conclusions:

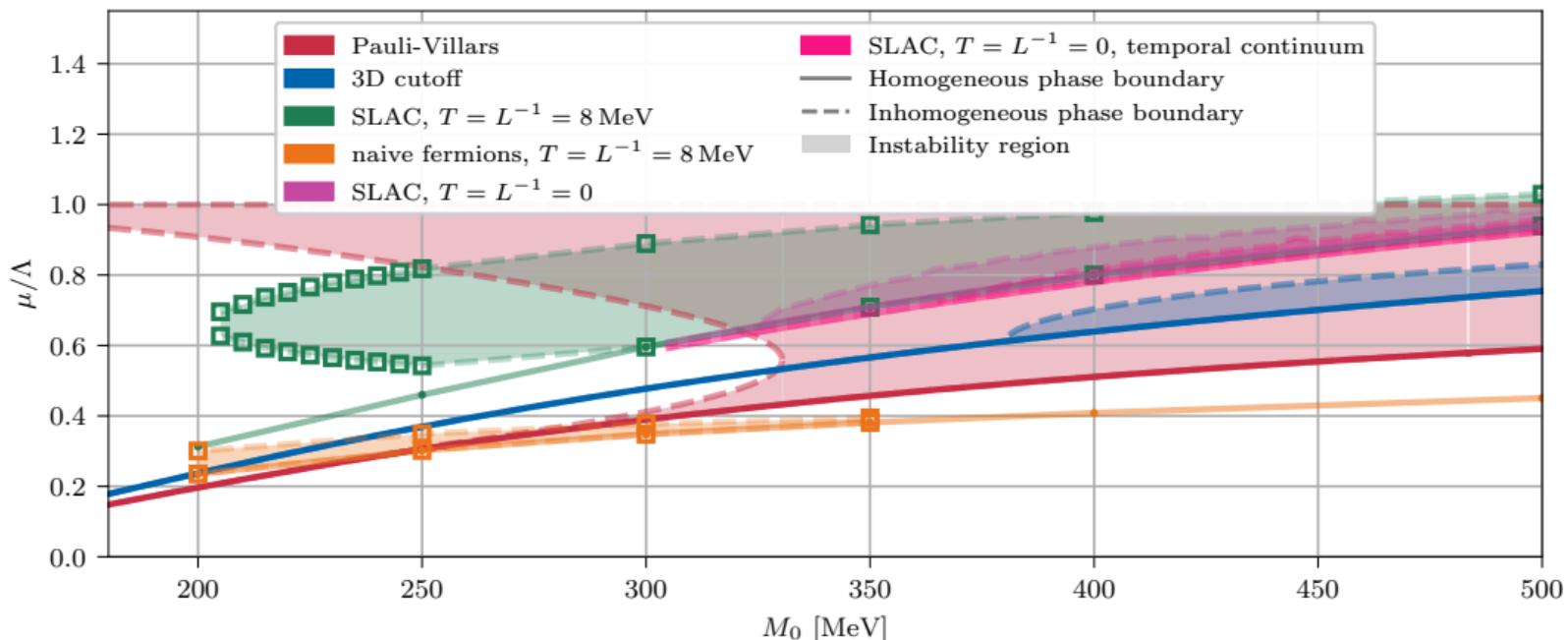
- No single point in  $\mu - M_0$  plane where all regularizations show an instability
- A lattice investigation of inhomogeneous phases using SLAC fermions in the 3 + 1-dimensional NJL model is ...
  - at best not straightforward and expensive
  - at worst not possible due to conceptual problems
- Some problems not discussed, e.g., baryon density saturation

## Outlook:

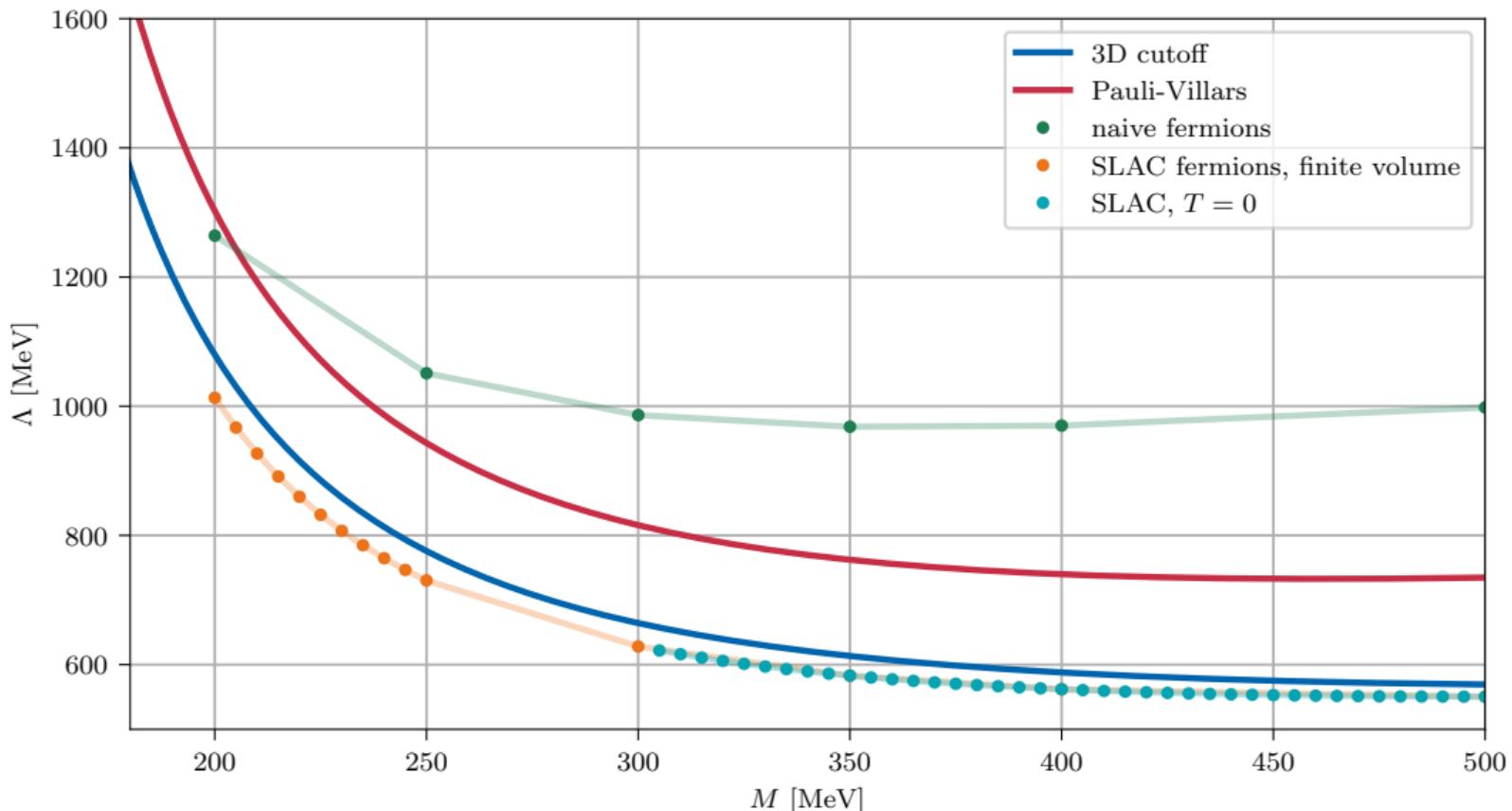
- Explore impact of RG consistency
- Understand limitations and problems of cutoff regularizations
- Redo infinite volume,  $T = 0$  investigation with naive/staggered fermions

# Appendix

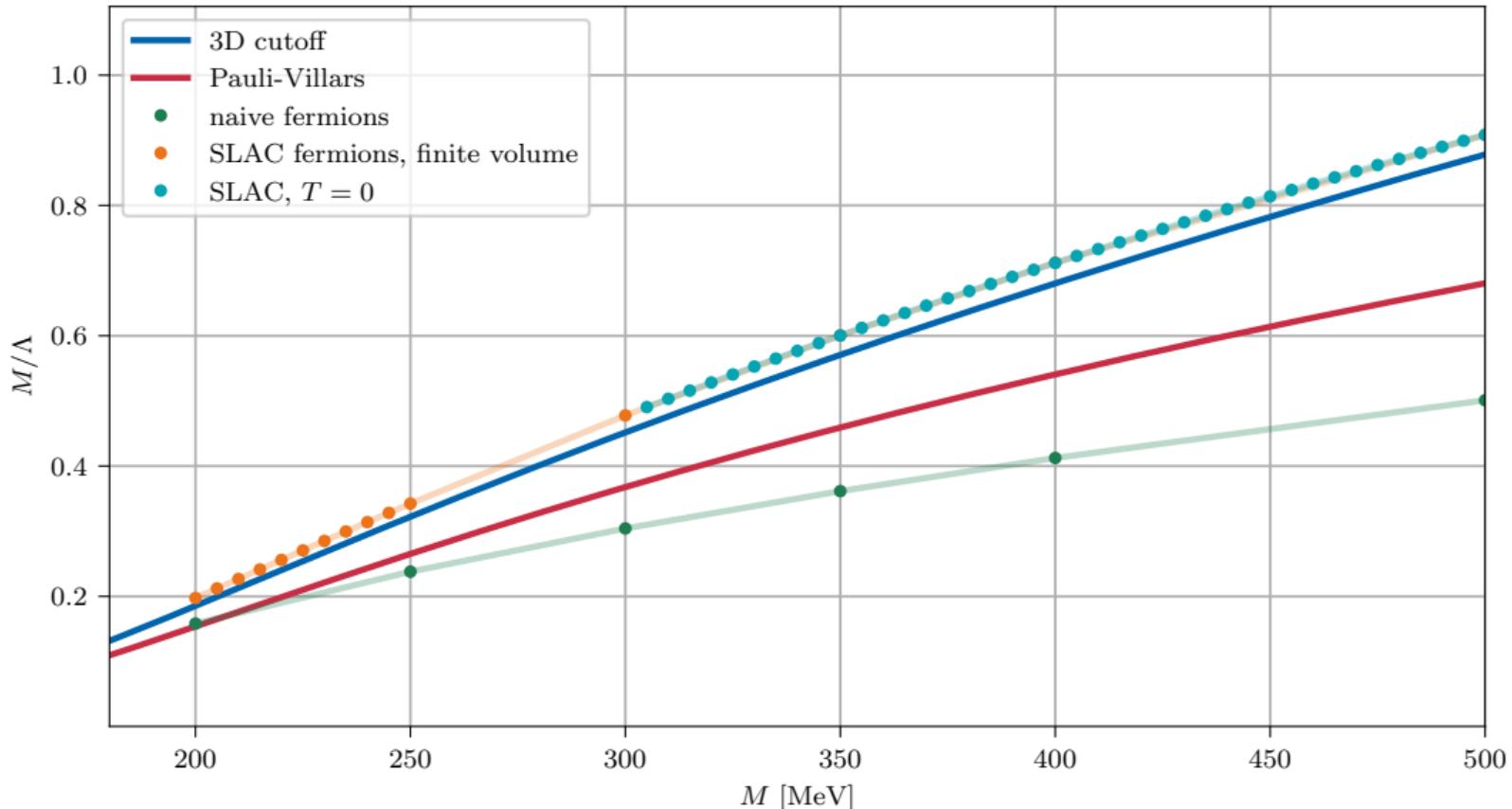
## Quark mass scan - Cutoff units



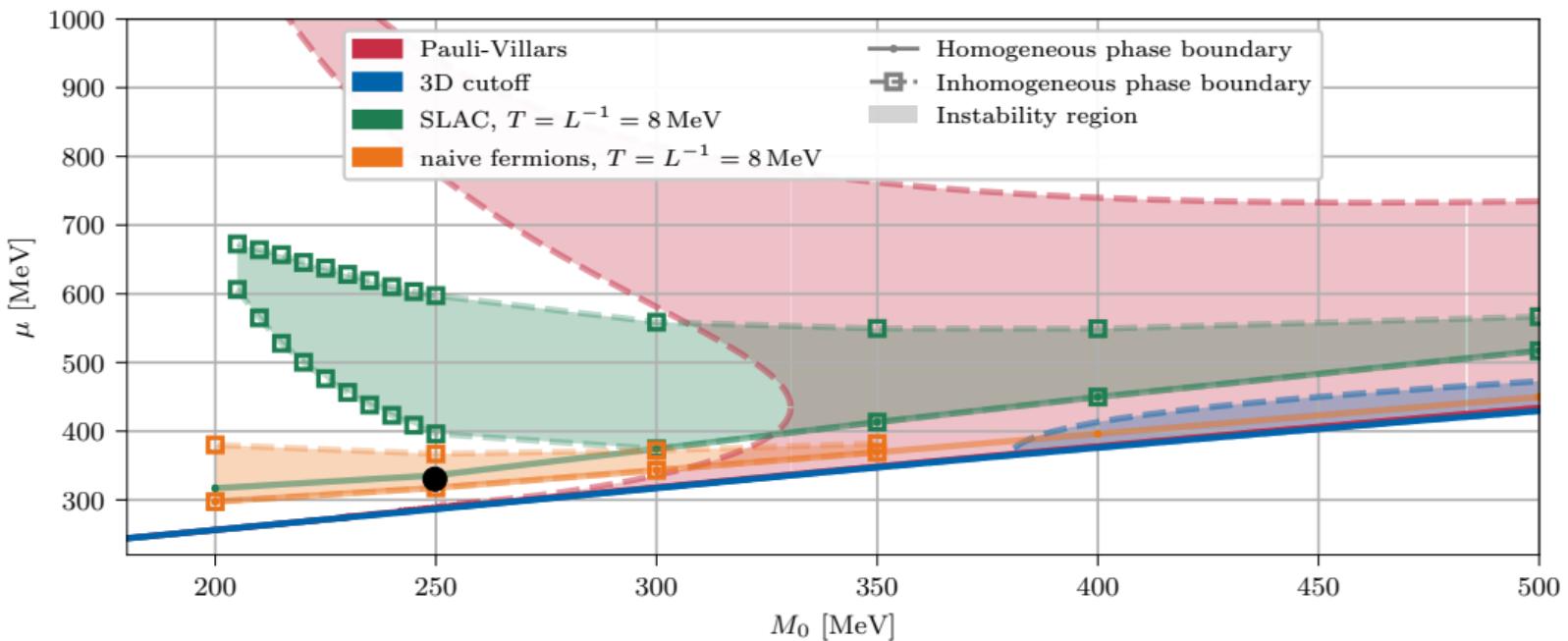
# Quark mass vs Cutoff



# Quark mass vs Quark mass in Cutoff

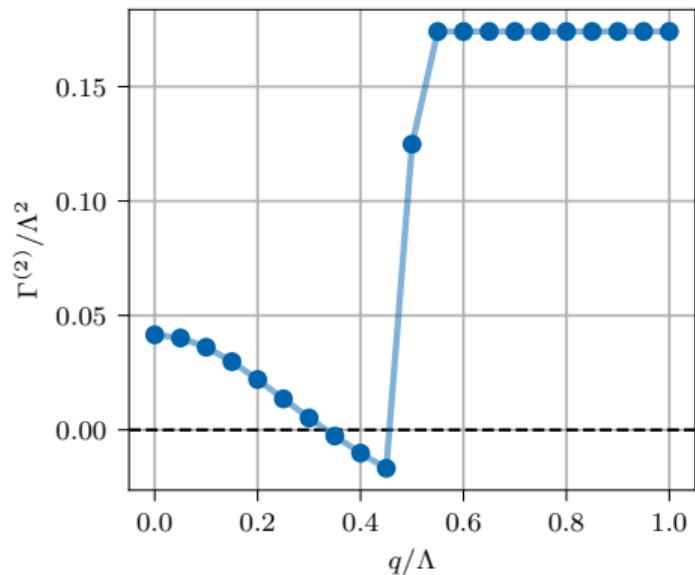


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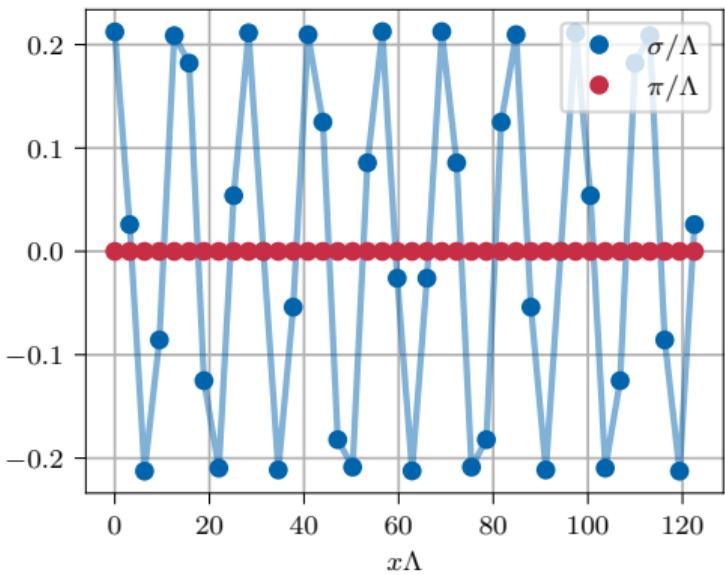


# Inhomogeneous field configurations

naive,  $T \approx 8$  MeV,  $\mu = 341.25$  MeV,  
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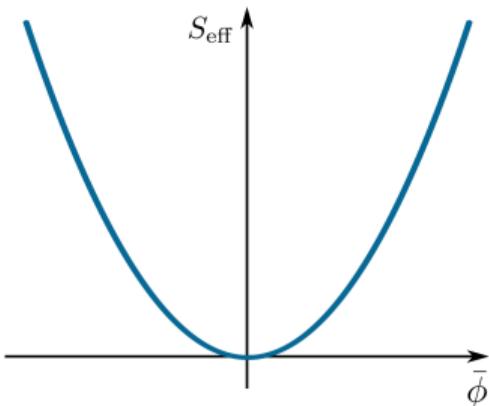


# Stability analysis

- Homogeneous fields

$$\phi(x) = \bar{\phi}$$

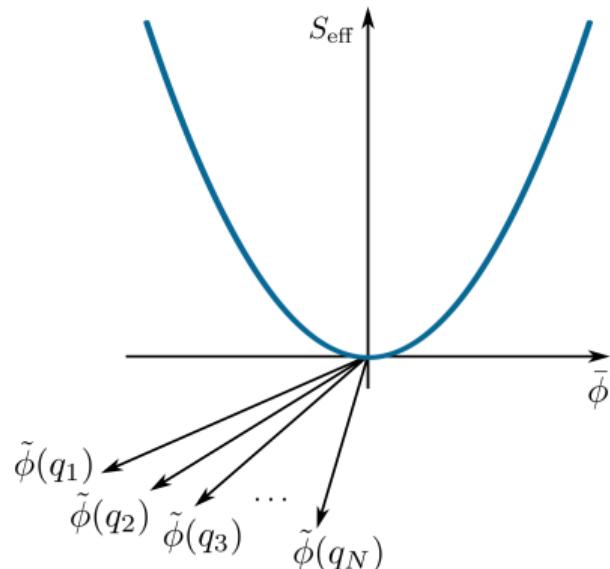
- Minimum is easy to obtain.



# Stability analysis

- In general fields have full space dependence

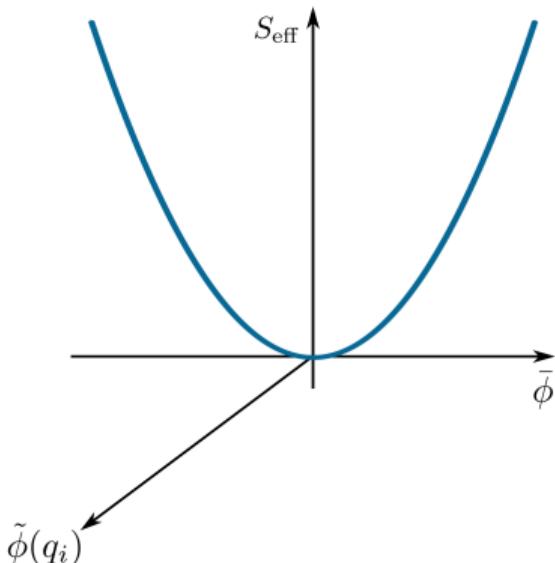
$$\begin{aligned}\phi(x) &= \bar{\phi} + \phi_s(x) \\ &= \bar{\phi} + \sum_j \tilde{\phi}_s(q_j) e^{ixq_j}\end{aligned}$$



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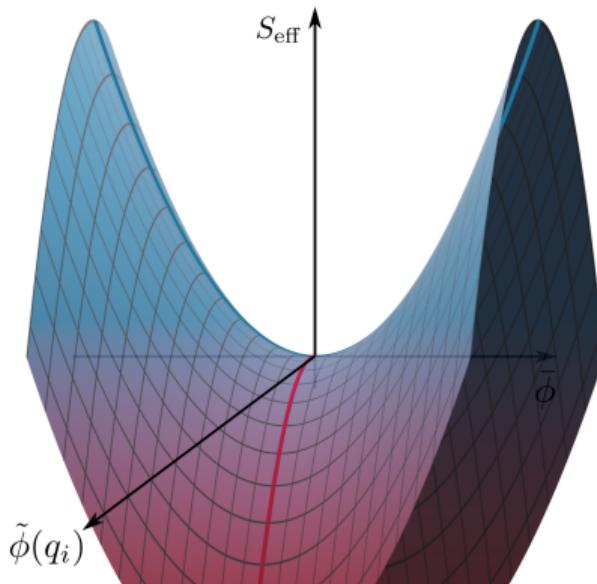


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- Former homogeneous minimum might only be **saddle point**
- Full dependence of  $S_{\text{eff}}$  on  $\phi(x)$  extremely difficult or impossible



# Stability analysis

- Consider only inhomogeneous perturbations

$$\begin{aligned}\phi(x) &= \bar{\phi} + \delta\phi_s(x) \\ &= \bar{\phi} + \sum_j \delta\tilde{\phi}_s(q_j) e^{ixq_j}\end{aligned}$$

- investigate curvature at homogeneous minimum

