

Spectral functions in highly occupied systems



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Der Wissenschaftsfonds.

Strong and Electro-Weak Matter 2022,
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Talk mainly based on:

Fermions: KB, Lappi, Mace, Schlichting, 2106.11319

Gluons: KB, Kurkela, Lappi, Peuron, 1804.01966, 2101.02715

Glasma: KB, Paul Hotzy, in progress

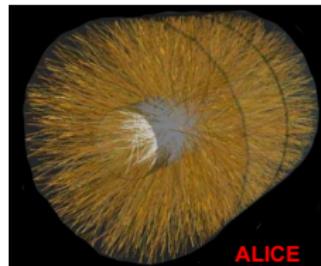
Scalars: KB, Piñeiro Orioli, 1911.04506

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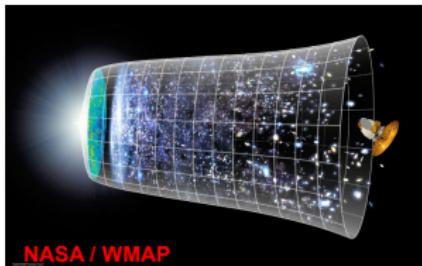
Highly occupied systems

Heavy-ion collisions



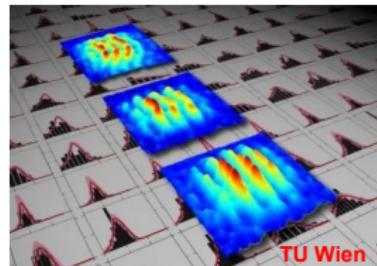
Longitudinally expanding
non-Abelian plasmas

Inflationary cosmology



Relativistic scalar systems

Ultracold atoms



Nonrelativistic scalar systems

- Nonperturbatively strong bosonic fields can be encountered in
 - ★ Heavy-ion collisions at early times
 - ★ During cosmological reheating
 - ★ In table-top experiments with ultracold Bose gases
 - ★ IR sector of gluons and scalars

Goal and approach

Goal

Their microscopic properties out of equilibrium from first principles

Spectral functions $\rho(\omega, p)$ encode excitation spectrum!

Approach

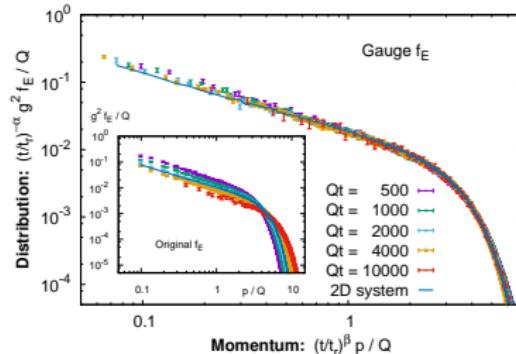
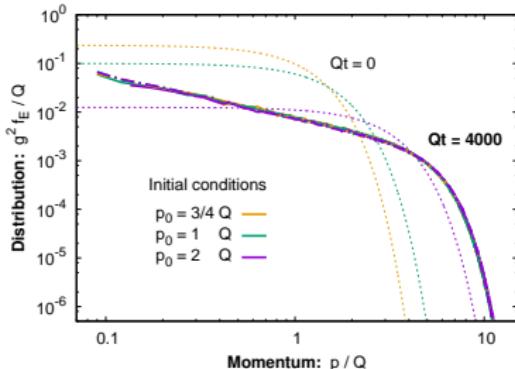
Far from equilibrium, classical-statistical real-time lattice

- Highly occupied gluon plasma ($A \sim 1/g$), weak coupling ($g^2 \ll 1$)
⇒ Then **nonperturbative** and **perturbative** methods available!
- **Classical-statistical lattice simulations** vs. **HTL, kinetic theory**

classical covariant EOM: $D_\mu F^{\mu\nu} = 0$, HTL: $\Pi_{\mu\nu}^{\text{HTL}}$

Non-equilibrium state: self-similar universal attractor

Figures: attractor in 2+1D; KB, Kurkela, Lappi, Peuron, PRD 100, 094022 (2019)



- Gluonic initial $f_g(t=0, p \lesssim Q) \sim \frac{1}{g^2} \gg 1$ often approach **attractors**

$$f(t, p) = (Qt)^{\alpha} f_s \left((Qt)^{\beta} p \right)$$

- **Universal exponents** insensitive to details of initial conditions

- ✓ 2+1D: $\beta = -1/5, \alpha = 3\beta$, KB, Kurkela, Lappi, Peuron (2019)
- ✓ 3+1D: $\beta = -1/7, \alpha = 4\beta$, Berges, Scheffler, Sexty (2009); Kurkela, Moore (2011, 2012); Berges, Schlichting, Sexty (2012); Schlichting (2012); Berges, KB, Schlichting, Venugopalan (2014); ...

- We extract $\rho(t, \omega, p)$ at such a typical state in 3+1D

Fermion ρ

KB, Lappi, Mace, Schlichting, *PLB 827, 136963 (2022) [2106.11319]*

- $SU(N_c)$ gauge theory (simulations: $N_c = 2$, $U_j \approx \exp(ig a_s A_j)$)

$$\begin{aligned} H_{YM} &= \frac{1}{g^2 a_s} \sum_{\vec{x}, i} \text{Tr}[E_i(t', \vec{x})^2] + \frac{1}{2} \sum_j \text{ReTr}[1 - U_{ij}(t', \vec{x})] \\ \hat{H}_W &= \frac{1}{2} \sum_{\vec{x}} \left[\hat{\psi}^\dagger(t', \vec{x}), \gamma^0 (-i \not{D}_s[U] + m) \hat{\psi}(t', \vec{x}) \right] \end{aligned}$$

- Neglect fermionic backreaction (suppressed), temporal gauge $A_0 = 0$, mode expansion, plane waves at reference time t

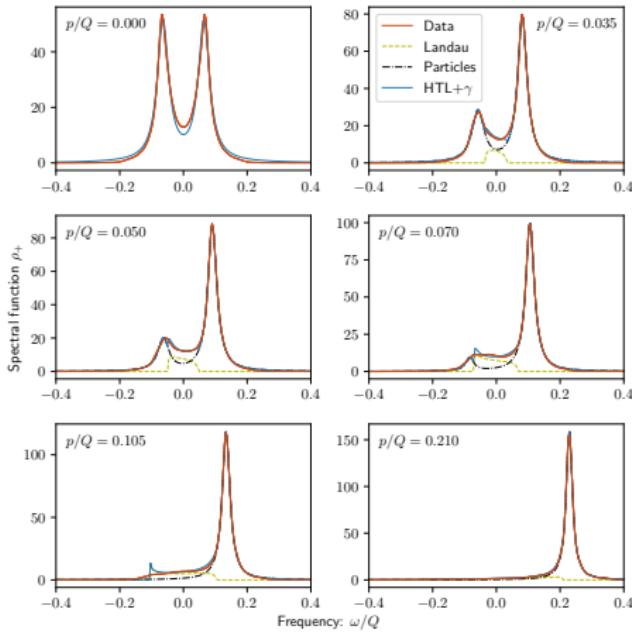
See also: Aarts, Smit (1999); Kasper, Hebenstreit, Berges (2014); Mace, Mueller, Schlichting, Sharma (2016); ...

- Definition $\rho^{\alpha\beta}(x', x) = \langle \{ \hat{\psi}^\alpha(t', \vec{x}'), \hat{\psi}^\beta(t, \vec{x}) \} \rangle$
⇒ Fourier transform $\rho^{\alpha\beta}(t, \omega, p)$ via $t' - t$ and $\vec{x}' - \vec{x}$

Fermion ρ in 3+1D: Comparison with HTL

$$\rho_+(t, \omega, p) \equiv \rho_V^0 + \rho_V$$

$$(\rho_V^0 = \frac{1}{4} \text{Tr}(\rho \gamma^0), \rho_V = -\frac{E_p p^j}{4 p^2} \text{Tr}(\rho \gamma^j))$$



- **HTL+ γ extension**
(Landau cut + Lorentzian q.p. peaks:)

Braaten, Pisarski (1992); Rebhan (1992); Mrowczynski, Thomas (2000); Blaizot, Iancu (2002); ...

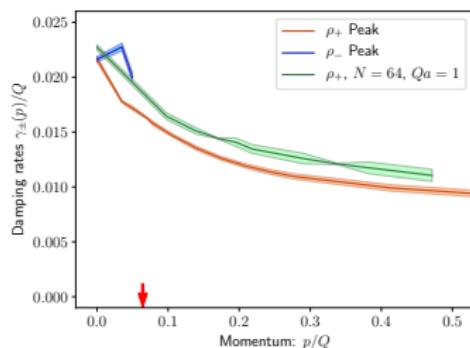
$$\begin{aligned} \rho_+^{\text{HTL}}(\omega, p) &= 2\pi \beta_+(\omega/p, p) \\ &+ \frac{2Z_+(p)\gamma_+(p)}{(\omega - \omega_+(p))^2 + \gamma_+^2(p)} \\ &+ \frac{2Z_-(p)\gamma_-(p)}{(\omega + \omega_-(p))^2 + \gamma_-^2(p)} \end{aligned}$$

- HTL dispersions $\omega_{\pm}(p)$ and residues $Z_{\pm}(p)$ agree with data

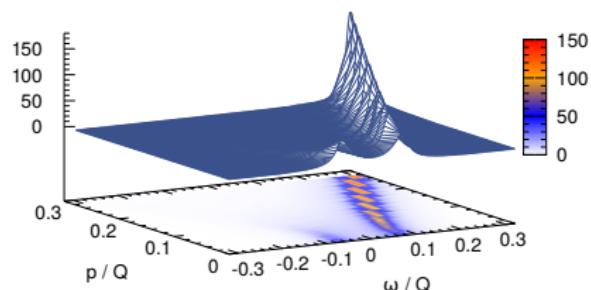
- Lattice 256^3 , $Qa_s = 0.75$, $m = 0.003125 Q$, $Qt = 1500$

Fermion ρ in 3+1D: Slightly unusual properties

Damping rates (width) γ_{\pm}



Spectral function ρ_+



- γ_{\pm} from first-principles
- Unusual: decrease with p
- Arrows show fermion mass

$$m_F(t) = \left[C_F \int \frac{d^3 p}{(2\pi)^3} \frac{g^2 f_g(t, p)}{p} \right]^{1/2}$$

- At low p both q.p. excitations, at high p just one dominates
- Unusual observation (backup):
 $\gamma_+(t, p=0) \sim m_F(t) \sim t^{-1/7}$
vs. HTL expectation:
 $\gamma^{\text{HTL}}(t, p=0) \sim t^{-3/7}$

Gluon ρ

in 3+1D:

KB, Kurkela, Lappi, Peuron, *PRD 98, 014006 (2018) [1804.01966]*

in 2+1D:

KB, Kurkela, Lappi, Peuron, *JHEP 05, 225 (2021) [2101.02715]*

- Spectral function ($\dot{\rho} = \partial_t \rho$, $E = \partial_t A$)

$$\rho(x', x) = \frac{i}{N_c^2 - 1} \left\langle \left[\hat{A}(x'), \hat{A}(x) \right] \right\rangle$$

- Statistical correlator $\langle EE \rangle$ ($\equiv \ddot{F}$), in general independent of $\dot{\rho}$

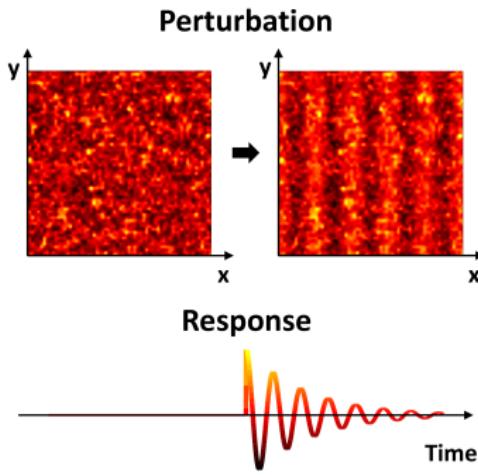
$$\langle EE \rangle(x', x) = \frac{1}{2(N_c^2 - 1)} \left\langle \left\{ \hat{E}(x'), \hat{E}(x) \right\} \right\rangle$$

- Classical-statistical: $\langle EE \rangle(t', t, p) = \frac{1}{N_c^2 - 1} \langle E(t', \vec{p}) E^*(t, \vec{p}) \rangle$

Gluon ρ : Nonperturbative computation

Classical-statistical $SU(N_c)$ simulations + linear response theory

KB, Kurkela, Lappi, Peuron, *PRD 98, 014006 (2018)*, Editors' suggestion



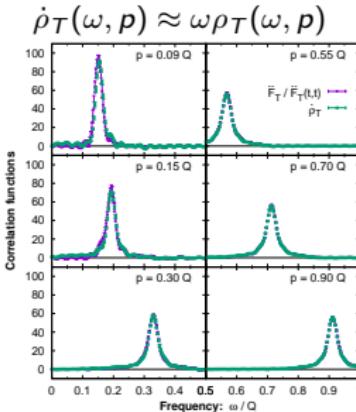
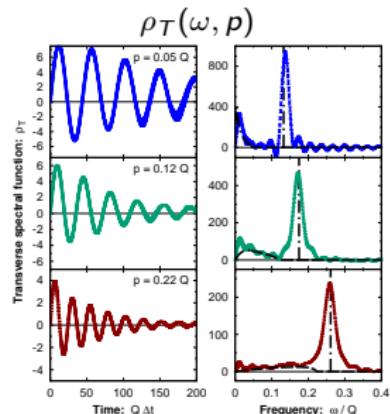
- Similar algorithm as for fermions
 - Split $A(t, \vec{x}) \mapsto A(t, \vec{x}) + \delta A(t, \vec{x})$ at t , perturb with plane wave $j_0(\vec{p}) \delta(t' - t)$
 - Response $\langle \delta A(t', \vec{p}) \rangle = G_R(t', t, \vec{p}) j_0(\vec{p})$
 - Linearized EOM for $\delta A(t, \vec{x})$ such that Gauss law conserved (also in gauge-cov. formulation)
- Kurkela, Lappi, Peuron, *EUJC 76 (2016) 688*
- $\theta(t' - t) \boxed{\rho(t', t, p)} = G_R(t', t, p)$

Very similar methods for scalars:

Aarts (2001); Piñeiro Orioli, Berges (2019); Schlichting, Smith, von Smekal (2020); KB, Piñeiro Orioli (2020);

Gluon ρ in 3+1D

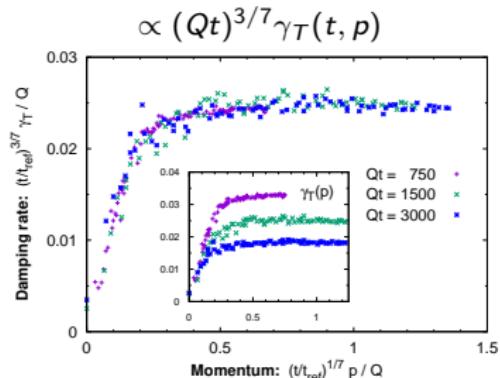
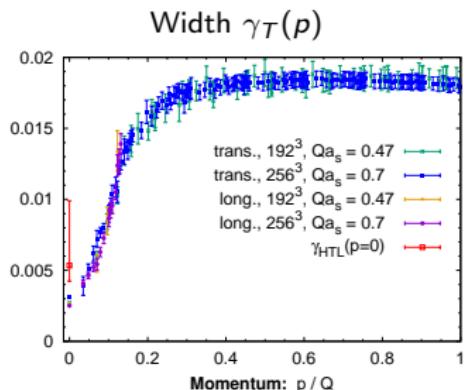
KB, Kurkela, Lappi, Peuron, PRD 98, 014006 (2018)



- Narrow Lorentzian q.p. peaks (position $\omega(p)$, width $\gamma(p)$)
- HTL at LO (black dashed) describes main features well
- Landau cut ($\omega < p$) and q.p. peak distinguishable
- Generalized fluctuation dissipation relation (FDR)
$$\frac{\langle EE \rangle_\alpha(t, \omega, p)}{\langle EE \rangle_\alpha(t, \Delta t=0, p)} \approx \frac{\dot{\rho}_\alpha(t, \omega, p)}{\dot{\rho}_\alpha(t, \Delta t=0, p)}$$
$$\ddot{F} \equiv \langle EE \rangle, \alpha = T, L \text{ polarizations}$$

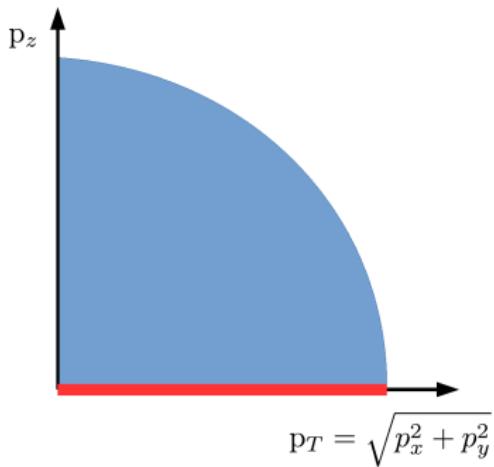
Gluon ρ in 3+1D: Damping rates

KB, Kurkela, Lappi, Peuron, PRD 98, 014006 (2018)



- Peak width $\gamma(t, p) \ll \omega(t, p)$ beyond HTL at LO
- $\gamma_T(t, p)$ increases with p (different from fermion $\gamma_+(t, p)$)
(vs. HTL prediction $\gamma(p=0)$, $\gamma(p \rightarrow \infty)$, Braaten, Pisarski (1990); Pisarski (1992))
- Self-similar scaling $\Rightarrow \frac{\gamma_\alpha(t, p)}{\omega(t, p=0)} \sim (Qt)^{-2/7}$ decreases as expected
(different from fermions where $\frac{\gamma_+(t, p=0)}{\omega_+(t, p=0)} \approx \text{const}$)

Systems in 3+1D \rightarrow 2+1D

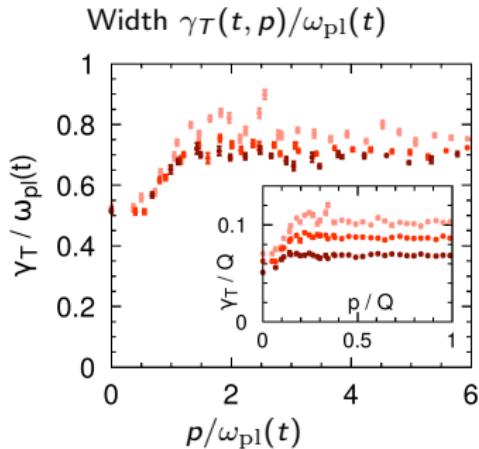
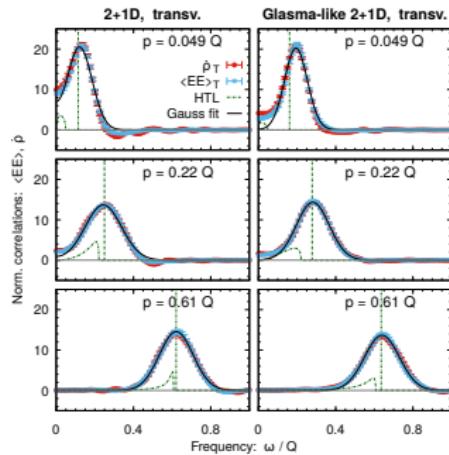


- Isotropic 3+1D: $f(t, p)$
- 2+1D: $f(t, p_T, p_z=0)$
- can be regarded as extreme momentum anisotropy

Gluon ρ in 2+1D

KB, Kurkela, Lappi, Peuron, JHEP 05, 225 (2021)

$$\dot{\rho}_T(\omega, p) \approx \omega \rho_T(\omega, p)$$



- ✓ Generalized FDR, but:
- ✗ Broad non-Lorentzian peaks
- ✗ HTL curves (green) agree poorly
- ✗ Landau cut and q.p. peak
not distinguishable

- Peak width $\gamma_T(t, p) \sim \omega_{\text{pl}}(t)$
($\omega_{\text{pl}} \equiv \omega_T(p=0)$)

⇒ no quasiparticles for $p \lesssim \omega_{\text{pl}}$!

Gluon ρ of Glasma

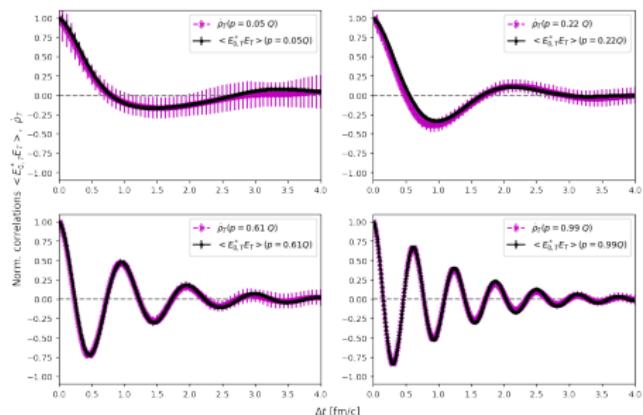
Gluons in expanding space-time with Glasma initial conditions

KB, Paul Hotzy, in progress

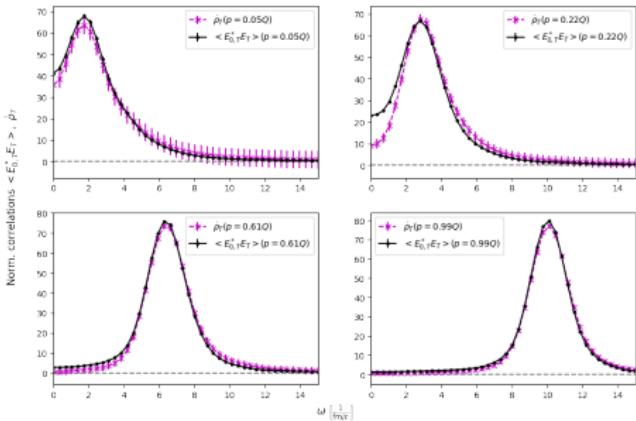
-
- Same definitions of gluon $\partial_t \rho$ and $\langle EE \rangle$ as before
 - But now: Bjorken metric $g_{\mu\nu} = \text{diag}(1, -1, -1, -\tau^2)$
 - Initial conditions: **MV model** (McLerran, Venugopalan, 1994)
 - Incorporates color charge neutrality and fluctuations of colliding nuclei
⇒ suitable for ultrarelativistic **heavy-ion collisions** at mid-centrality

Glasma ρ – PRELIMINARY

$\dot{\rho}_T(\tau, \Delta\tau, p)$, $\langle EE \rangle_T(\tau, \Delta\tau, p)$



$\dot{\rho}_T(\tau, \omega, p)$, $\langle EE \rangle_T(\tau, \omega, p)$



- Strongly damped signal \Rightarrow Fourier transform quite accurate
- Extracted at $\tau = 1 \text{ fm}/c$
- MV model parameters:
 $L = 12.8 \text{ fm}$, $g^2 \mu = 2 \text{ GeV}$,
 $m = 0.2 \text{ GeV}$, $\Lambda = 20 \text{ GeV}$

- Similar to gluon $\dot{\rho}_T$ in 2+1D:
 - Generalized FDR
 - Broad non-Lorentzian peaks
 - Peak width $\gamma_T(p) \sim \omega_{\text{pl}}$ \Rightarrow Nonperturbative at low $p!$
- Same in classical thermal equ.
 \Rightarrow generic in (effective) 2+1D

Scalar ρ

KB, Piñeiro Orioli, *PRD 101, 091902 (2020) [1911.04506]*

KB, Piñeiro Orioli, in preparation

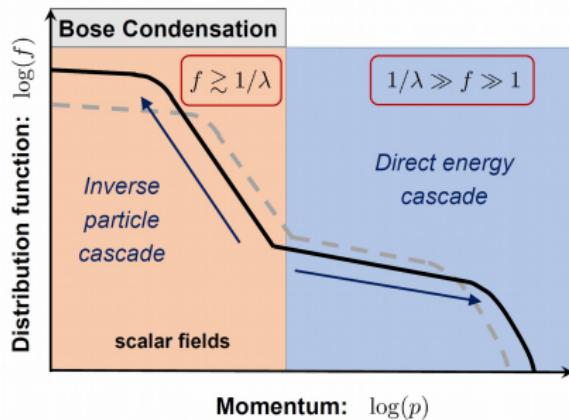
- Relativistic O(N)-symmetric scalar theory

(Models for the inflaton, axions, dark matter, Higgs, low-energy QCD, ...)

$$S_{O(N)} = \int d^4x \left[\frac{1}{2} (\partial_t \phi_a)^2 - \frac{1}{2} (\nabla \phi_a)^2 - \frac{1}{2} m^2 \phi_a \phi_a - \frac{\lambda}{24N} (\phi_a \phi_a)^2 \right]$$

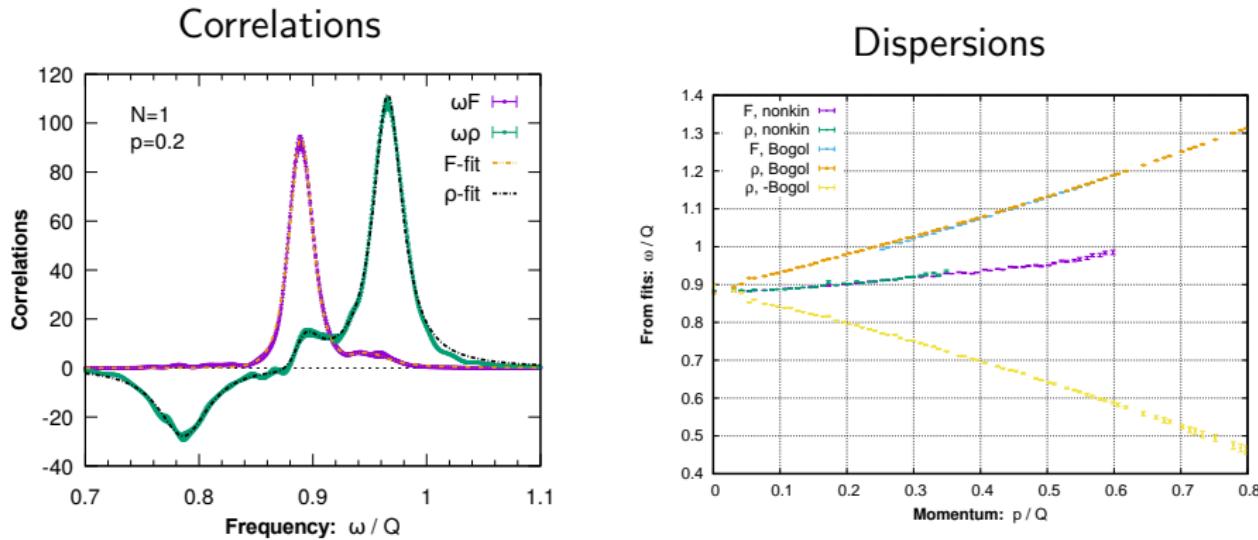
- Spectral function $\rho(t, t', \vec{x} - \vec{x}') = \frac{1}{N} \langle [\hat{\phi}_a(t, \vec{x}), \hat{\phi}_a(t', \vec{x}')] \rangle$
- Statistical correlator $F(t, t', \vec{x} - \vec{x}') = \frac{1}{2N} \langle \{ \hat{\phi}_a(t, \vec{x}), \hat{\phi}_a(t', \vec{x}') \} \rangle$
- Classical-statistical ρ : via linear response (as for gluons)
- Classical-statistical F : $F(t, t', p) = \frac{1}{N} \langle \phi(t, \vec{p}) \phi^*(t', \vec{p}) \rangle$

Nonthermal fixed points in scalars (relativistic + nonrel.)



- **Theory:** Micha, Tkachev (2004); Berges, Rothkopf, Schmidt (2008); Piñeiro Orioli, KB, Berges (2015); Berges, KB, Schlichting, Venugopalan (2015); Moore (2016); Karl, Gasenzer (2016); Walz, KB, Berges (2017); Berges, KB, Chatrchyan, Jäckel (2017); Chantesana, Piñeiro Orioli, Gasenzer (2018); Schmied, Mikheev, Gasenzer (2018); ...
- **Cold-atom experiments:** Prüfer et al., Nature 563, 217 (2018); Erne et al., Nature 563, 225 (2018); Glidden et al., arXiv:2006.01118
- **Problem:** IR attractor looks the same for all $O(N) \Rightarrow$ universality?
- **Solution:** Via spectral and statistical correlation functions

$O(1)$ Theory



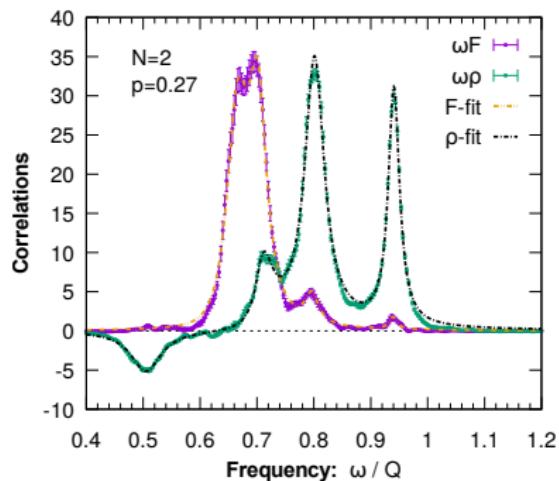
- 1 non-Lorentzian peak (nL , dominates F at low p), 2 Bogoliubov
- FDR broken? Generalized **FDR for each excitation** separately
- Same for nonrelativistic $U(1)$ theory

Piñeiro Orioli, Berges, PRL 122, 150401 (2019)

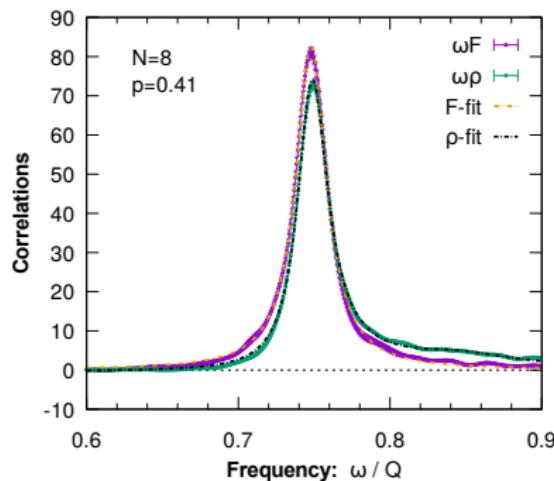
⇒ $O(1)$ and $U(1)$ theories build **universality class** far from equilibrium!

$O(N)$ theories

$O(2)$ theory



$O(8)$ theory



- For $N \geq 2$ more excitations visible at low $p \lesssim m$
- Only one excitation dominates at larger $p \gtrsim m$ (not shown here)
- For $N \geq 3$ one excitation dominates at all p ('large- N ' peak)
 ⇒ $O(N)$ theories with $N \geq 3$ build **universality class** far from equilibrium!

Conclusion

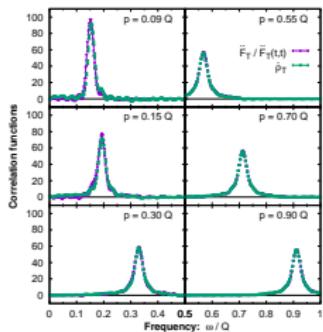
Tools developed to extract ρ in highly occupied systems, applied to

- ★ Fermion ρ : well described by HTL, unusual $\gamma_{\pm}(t, p)$
- ★ Gluon ρ in 3+1D: well described by HTL, narrow Lorentzian peaks
- ★ Gluon ρ in 2+1D: broad non-Lorentzian peaks, $\gamma(p) \sim \omega_{\text{pl}} \sim m_D$
- ★ Gluon ρ of Glasma: same properties as in 2+1D
 - ⇒ When does kinetic theory *start to become applicable* to Quark-Gluon plasma in heavy-ion collisions?
- ★ Scalar ρ : several excitations; peak structures and properties enabled classification of $O(N)$ theories into universality classes far from equ.

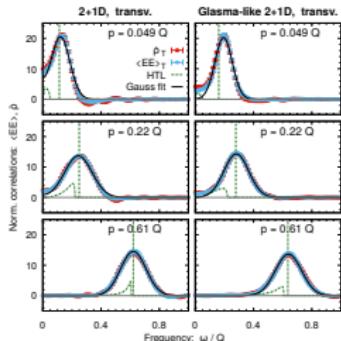
Outlook: applications to heavy-ion collisions

- ρ in Bjorken **expanding 3+1D systems** or of **heavy-flavor** quarks
- Anisotropic / expanding **kinetic theory, HTL**, in effectively 2+1D
- Effects on **transport coefficients**

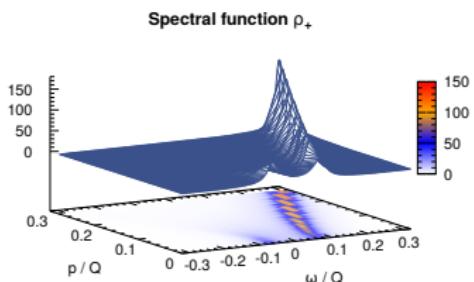
Thank you for
your attention!



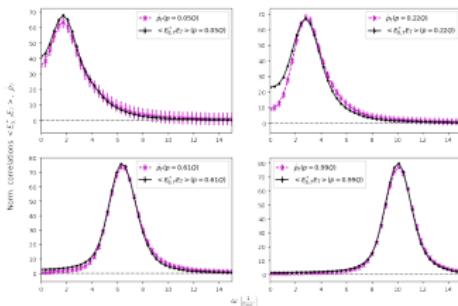
Gluonic 3+1D



Gluonic 2+1D



Fermionic 3+1D

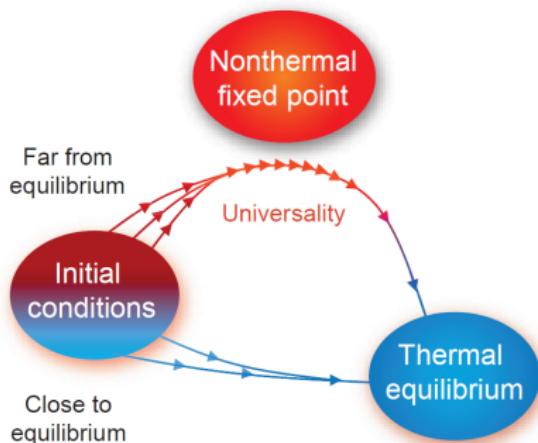


Gluonic in Glasma

Backup slides

Universal self-similar attractors

- Highly occupied bosonic system often leads to universal dynamics
- Extract spectral function at such ‘typical’ far-from-equilibrium state



Nonthermal fixed point (NTFP)

- ★ Large initial occupancy
 $f(t=0, p \lesssim Q) \propto \frac{\langle |E_T|^2 \rangle}{p} \sim \frac{1}{g^2} \gg 1$
- ★ System ‘forgets’ initial conditions
- ★ Self-similar dynamics

$$f(t, p) = t^\alpha f_s(t^\beta p)$$

- ★ Universal $\alpha, \beta, f_s(p)$

NTFP: Micha, Tkachev (2004); Berges, Rothkopf, Schmid (2008)

Universality: Berges, KB, Schlichting, Venugopalan (2015); Piñeiro Orioli, KB, Berges (2015)

Experimental observations: Prüfer et al., Nature 563, 217 (2018); Erne et al., Nature 563, 225 (2018)

Classical-statistical lattice simulations, algorithm

- ① Set **initial conditions** for gluons at $t' = 0$, generating a configuration with $\langle E_T^*(t=0, \vec{p}) E_T(t=0, \vec{q}) \rangle \propto p f(t=0, p) \delta_{jk} (2\pi)^3 \delta(\vec{p} - \vec{q})$
- ② Solve classical EOMs of **gluonic part** for $t' \leq t$,
set Coulomb-type gauge $\partial^j A_j|_t = 0$ at $t' = t$
- ③ For each momentum mode \vec{p} **initialize** $\phi_{\lambda, \vec{p}}^{u/v}$, evolve for $t' > t$
- ④ Use leap-frog scheme to **solve classical EOMs**

$$\begin{aligned} U_j(t', \vec{x}) &= e^{ia_t/a_s E^j(t' - a_t/2, \vec{x})} U_j(t' - a_t, \vec{x}) \\ E^j(t' + a_t/2, \vec{x}) - E^j(t' - a_t/2, \vec{x}) &= -\frac{a_t}{a_s} \sum_{j \neq i} [U_{ij}(t', \vec{x}) + U_{i(-j)}(t', \vec{x})]_{\text{ah}} \\ \phi_{\lambda \vec{p}}^{u/v}(t' + a_t, \vec{x}) - \phi_{\lambda \vec{p}}^{u/v}(t' - a_t, \vec{x}) &= -2ia_t \gamma^0 \left(-i\gamma^j D_{W,j}^s[U] + m \right) \phi_{\lambda \vec{p}}^{u/v}(t', \vec{x}) \end{aligned}$$

- ⑤ Calculate fermionic **spectral function** $\rho(t', t, \vec{p})$ for each \vec{p} mode

Fermion ρ : computation

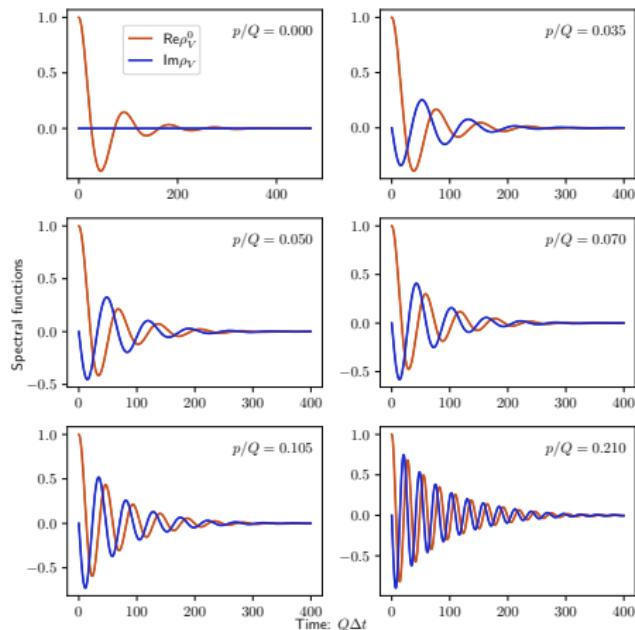
- Definition $\rho^{\alpha\beta}(x, y) = \left\langle \left\{ \hat{\psi}^\alpha(t', \vec{x}), \hat{\bar{\psi}}^\beta(t, \vec{y}) \right\} \right\rangle$
- Plug in mode exp., Fourier transform, use plane waves at $t' = t$

$$\begin{aligned}\rho^{\alpha\beta}(t', t, \vec{p}) &= \frac{1}{V} \sum_{\lambda, \vec{q}} \left\langle \tilde{\phi}_{\lambda, \vec{q}}^{u, \alpha}(t', \vec{p}) \left(\tilde{\phi}_{\lambda, \vec{q}}^{u, \gamma}(t, \vec{p}) \right)^* + \tilde{\phi}_{\lambda, \vec{q}}^{v, \alpha}(t', \vec{p}) \left(\tilde{\phi}_{\lambda, \vec{q}}^{v, \gamma}(t, \vec{p}) \right)^* \right\rangle \gamma_0^{\gamma\beta} \\ &= \frac{1}{V} \sum_{\lambda} \left\langle \tilde{\phi}_{\lambda, \vec{p}}^{u, \alpha}(t', \vec{p}) u_{\lambda}^{\dagger, \gamma}(\vec{p}) + \tilde{\phi}_{\lambda, -\vec{p}}^{v, \alpha}(t', \vec{p}) v_{\lambda}^{\dagger, \gamma}(-\vec{p}) \right\rangle \gamma_0^{\gamma\beta}\end{aligned}$$

- Huge **simplification** due to $\tilde{\phi}_{\lambda, \vec{q}}(t, \vec{p}) \propto \delta^{(3)}(\vec{p} - \vec{q})$
- Classical-statistical average over gluonic configurations

Fermion ρ : evolution in Δt

Spectral function $\rho^{\alpha\beta}(t+\Delta t, t, p)$



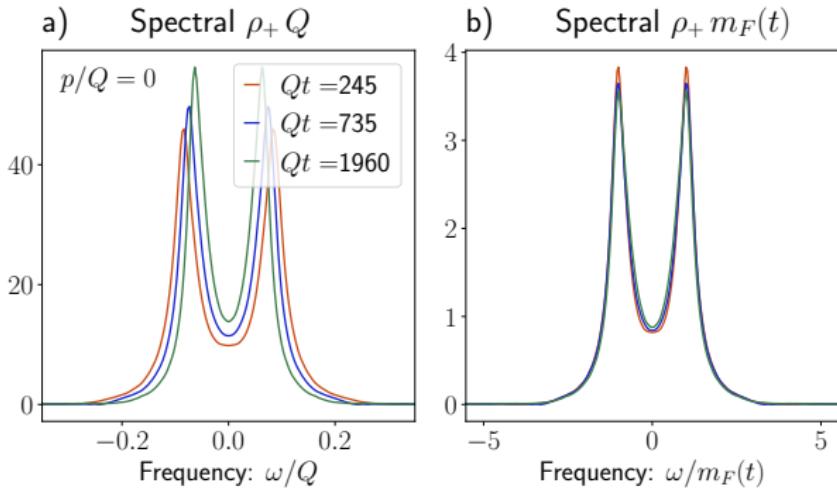
- Non-trivial $\rho_V^0 = \frac{1}{4} \text{Tr}(\rho\gamma^0)$,
 $\rho_V = -\frac{E_{\vec{p}} p^j}{4 p^2} \text{Tr}(\rho\gamma^j)$

$$\text{Re}\rho_V^0 \approx e^{-\gamma(t,p)\Delta t} \cos(\omega(t,p)\Delta t)$$
$$\text{Im}\rho_V \approx -e^{-\gamma(t,p)\Delta t} \sin(\omega(t,p)\Delta t)$$

- All other components expected to vanish from symmetries; numerically suppressed by at least $\sim 10^{-2}$

Fermion ρ : Time evolution

KB, Lappi, Mace, Schlichting, arXiv:2106.11319



- $\rho_+(t, \omega, p=0)$ scales with fermion mass $m_F(t) \equiv \omega_{\pm}(t, p=0)$
- Expected from HTL: $\gamma^{\text{HTL}}(t, p=0) \propto g^2 T^*(t) \sim Q(Qt)^{-3/7}$
⇒ observed $\gamma(t, p=0) \sim m_F(t)$ is surprising

Gluonic perturbative computation: HTL results

- Hard loop (HTL) framework applicable for $m_D/\Lambda \ll 1$; in thermal equ. for $g \sim m_D/T \ll 1$. Braaten, Pisarski (1990); Blaizot, Iancu (2002); ...
- In 3+1D $m_D^2 = 4N_c \int \frac{d^3 p}{(2\pi)^3} \frac{g^2 f(t,p)}{p} \sim g^2 f \Lambda^2 \Rightarrow$ HTL applicable
- In 2+1D soft-soft interactions important

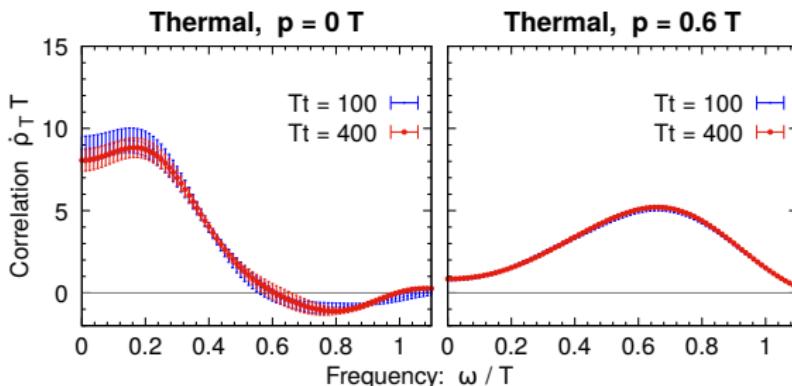
$$m_D^2 \approx d_{\text{pol}} N_c \int \frac{d^2 p}{(2\pi)^2} \frac{g^2 f(t, p)}{\sqrt{m_D^2 + p^2}} \sim g^2 f \Lambda \ln \left(\frac{\Lambda}{m_D} \right)$$

\Rightarrow HTL breaks down already at soft scale $p \sim m_D$

- Comparison to HTL still useful to extract nonperturbative features
- Quasiparticles in $\rho^{\text{HTL}}(\omega, p)$ as $\sim \delta(\omega - \omega_\alpha^{\text{HTL}}(p))$
- All expressions depend only on m_D , computed consistently in HTL

Gluon spectral function in 2+1D class. thermal equilibrium

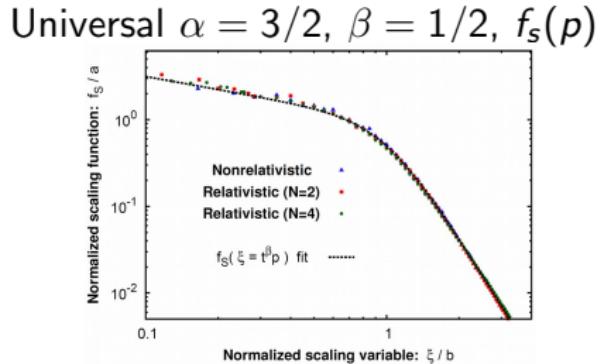
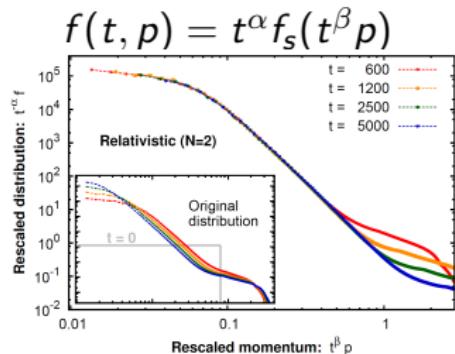
KB, Kurkela, Lappi, Peuron, JHEP 05, 225 (2021)



- Classical thermal equilibrium $f(p) \approx \frac{T}{\omega(p)}$
- $\rho(\omega, p)$ qualitatively **similar as far from equilibrium**
 - ✓ Broad gluonic excitations with $\gamma(p) \sim \omega_{\text{pl}}$
 - ✓ HTL provides poor description
 - ✓ For $\omega \rightarrow 0$, $\dot{\rho}_T = \omega \rho_T$ finite at low p
- Interpretation: Features seem generic in 2+1D gauge theories

Infrared (IR) NTFP in scalar systems via distributions

Piñeiro Orioli, KB, Berges, *PRD 92, 025041 (2015)*



- Large- N kinetic theory (right) reproduces α , β , $f_s(p)$

Piñeiro Orioli, KB, Berges (2015); Walz, KB, Berges (2017); Chantesana, Piñeiro Orioli, Gasenzer (2018)

- Systematic derivation in $1/N$
- Right: Walz, KB, Berges, *PRD 97, 116011 (2018)*

