

# Color superconductivity and CFL

M. G. Alford, A. Schmitt, K. Rajagopal and T. Schäfer, RMP 80, 1455 (2008)

$$SU(3)_c : \quad [\mathbf{3}]_c \otimes [\mathbf{3}]_c = [\bar{\mathbf{3}}]_c^a \oplus [\mathbf{6}]_c^s \quad (\text{attractive channel})$$

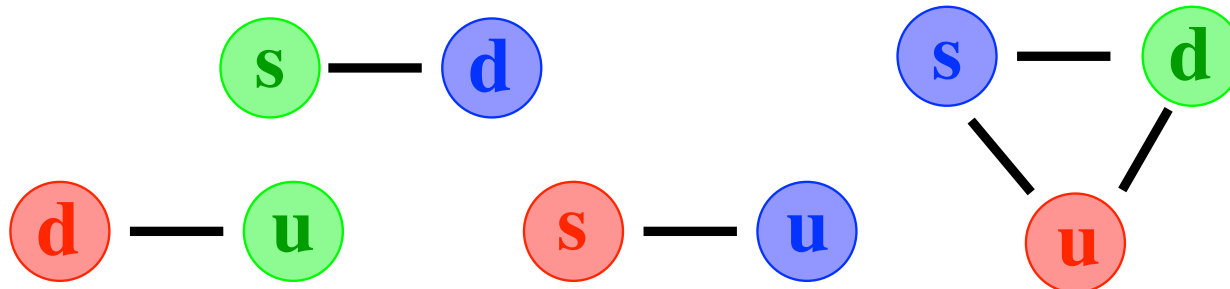
$$SU(3)_f : \quad [\mathbf{3}]_f \otimes [\mathbf{3}]_f = [\bar{\mathbf{3}}]_f^a \oplus [\mathbf{6}]_f^s \quad (\text{overall antisymmetry})$$

order parameter (for spin-0 pairing):

$$\langle \psi_i^\alpha C \gamma_5 \psi_j^\beta \rangle \propto \mathcal{M}_{ij}^{\alpha\beta} = \epsilon^{\alpha\beta A} \epsilon_{ijB} \phi_B^A \in [\bar{\mathbf{3}}]_c^a \otimes [\bar{\mathbf{3}}]_f^a$$

color-flavor locked order parameter

$$\phi_A^B = \delta_A^B$$



## Properties of CFL

$$\Rightarrow SU(3)_c \times \underbrace{SU(3)_L \times SU(3)_R}_{\supset U(1)_Q} \times U(1)_B \rightarrow \underbrace{SU(3)_{c+L+R}}_{\supset U(1)_{\tilde{Q}}} \times \mathbb{Z}_2$$

- chiral symmetry broken through “locking” to color
  - octet of pseudo-Goldstone modes  $K^0, K^\pm, \pi^0, \dots$   
D. T. Son and M. A. Stephanov, PRD 62, 059902 (2000)
  - effective theory for CFL just like usual chiral perturbation theory  
P. F. Bedaque and T. Schäfer, NPA 697, 802 (2002)
- CFL is a superfluid
  - exactly massless Goldstone mode  $\phi$
  - vortices in rotating CFL
- rotated electromagnetism (photon-gluon mixing)
  - Cooper pairs neutral under  $\tilde{Q} = Q + \frac{2}{\sqrt{3}}T_8$   
(analogous to Higgs mechanism in standard model)

## Color superconducting gap in weakly coupled QCD

original works: D. T. Son, PRD 59, 094019 (1999)

R. D. Pisarski and D. H. Rischke, PRD 61, 074017 (2000)

reviews: D. H. Rischke, PRD 64, 094003 (2001)

M. G. Alford, A. Schmitt, K. Rajagopal and T. Schäfer, RMP 80, 1455 (2008)

A. Schmitt, Lect. Notes Phys. 811, 1 (2010)

simpler version of gap equation: A. Schmitt, Lect. Notes Phys. 888, 1 (2015)

## Deriving the QCD gap equation

- start from 2-particle irreducible formalism

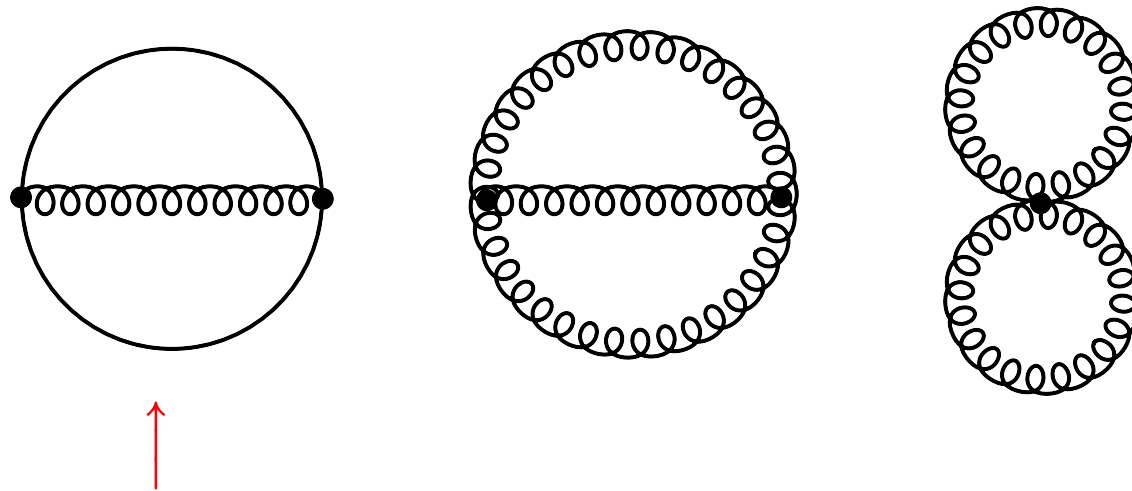
J.M. Luttinger J.C. Ward, Phys. Rev. 118, 1417 (1960)

G. Baym, Phys. Rev. 127, 1391 (1962)

J.M. Cornwall, R. Jackiw, and E. Tomboulis, PRD 10, 2428 (1974)

- **effective action** in terms of quark and gluon propagators:

$$\Gamma[S, D] = \frac{1}{2} \text{Tr} \ln S^{-1} - \frac{1}{2} \text{Tr}(1 - S_0^{-1} S) - \frac{1}{2} \text{Tr} \ln D^{-1} + \frac{1}{2} \text{Tr}(1 - D_0^{-1} D) + \Gamma_2[S, D]$$

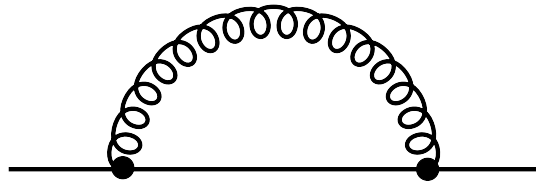


↑  
only relevant diagram for the following

# Deriving the QCD gap equation

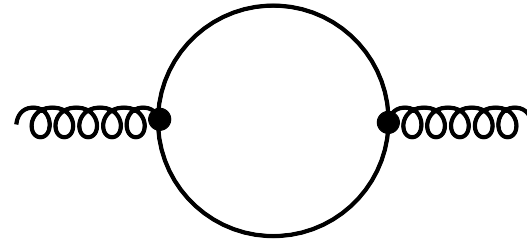
- stationarity equations

$$0 = \frac{\delta\Gamma}{\delta S} = \frac{\delta\Gamma}{\delta D} \quad \Rightarrow \quad \begin{aligned} S^{-1} &= S_0^{-1} + \Sigma \\ D^{-1} &= D_0^{-1} + \Pi \end{aligned}$$



self-energies

$$\Sigma = 2 \frac{\delta\Gamma_2}{\delta S}$$



$$\Pi = -2 \frac{\delta\Gamma_2}{\delta D}$$

- free energy from effective action at stationary point

$$\Omega \simeq -\frac{1}{2} \text{Tr} \ln S^{-1} + \frac{1}{4} \text{Tr}(1 - S_0^{-1} S)$$

(gluons don't contribute at small  $T$ )

## Quark propagator

- for Cooper pairing introduce **Nambu-Gorkov spinor**  $\Psi = \begin{pmatrix} \psi \\ \psi_C \end{pmatrix}$
- assume massless quarks and single chemical potential  $\mu$ :

$$S_0^{-1} = \begin{pmatrix} [G_0^+]^{-1} & 0 \\ 0 & [G_0^-]^{-1} \end{pmatrix} \quad [G_0^\pm]^{-1} = \gamma^\mu K_\mu \pm \mu \gamma^0 = \sum_{e=\pm} [k_0 \pm (\mu - ek)] \gamma^0 \Lambda_k^{\pm e}$$

(72 × 72 matrix since  $2 \times 4N_c N_f = 72$ )

- free propagator is conveniently written in terms of energy projectors  $\Lambda_k^e = \frac{1}{2}(1 + e\gamma^0 \vec{\gamma} \cdot \hat{k})$  ( $\rightarrow$  **exercise**)

$$G_0^\pm = \sum_e \frac{\Lambda_k^{\pm e} \gamma^0}{k_0 \pm (\mu - ek)}$$

- **self-energy** and **gap matrix**

$$\Sigma \simeq \begin{pmatrix} 0 & \Phi^- \\ \Phi^+ & 0 \end{pmatrix} \quad \Phi^+ = \Delta \mathcal{M} \gamma^5$$

$\mathcal{M}$  determines color-flavor structure of pairing

## Quark propagator

- full propagator from inverting  $S^{-1}$

$$S = \begin{pmatrix} G^+ & F^- \\ F^+ & G^- \end{pmatrix},$$

with

$$G^\pm = \left( [G_0^\pm]^{-1} - \Phi^\mp G_0^\mp \Phi^\pm \right)^{-1} \quad F^\pm = -G_0^\mp \Phi^\pm G^\pm$$

$F^\pm$  “anomalous propagators”: charge-conjugate fermion turns into fermion through Cooper pair condensate

- consider CFL,  $\mathcal{M}_{ij}^{\alpha\beta} = \epsilon^{\alpha\beta A} \epsilon_{ijA}$ , write gap matrix squared in terms of eigenvalues and projectors ( $\rightarrow$  exercise):

$$\mathcal{M}^2 = \lambda_1 \mathcal{P}_1 + \lambda_2 \mathcal{P}_2,$$

with  $\lambda_1 = 1$ ,  $\lambda_2 = 4$ ,

$$\mathcal{P}_1 = -\frac{\mathcal{M}^2 - 4}{3}, \quad \mathcal{P}_2 = \frac{\mathcal{M}^2 - 1}{3}$$

(degeneracies  $\text{Tr}(\mathcal{P}_1) = 8$  and  $\text{Tr}(\mathcal{P}_2) = 1$ )

## Quasiparticle dispersion relations

- this yields ( $\rightarrow$  exercise)

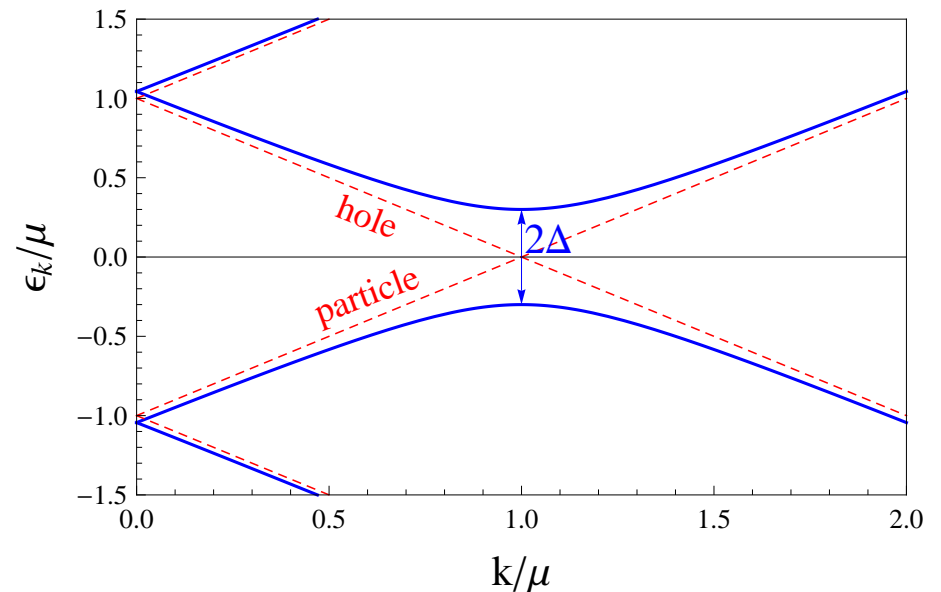
$$G^\pm = \sum_{e=\pm} \sum_{r=1,2} \frac{[k_0 \mp (\mu - ek)] \mathcal{P}_r \gamma^0 \Lambda_k^{\mp e}}{k_0^2 - (\epsilon_{k,r}^e)^2}, \quad F^\pm = \sum_{e=\pm} \sum_{r=1,2} \frac{\Phi^\pm \mathcal{P}_r \Lambda_k^{\mp e}}{k_0^2 - (\epsilon_{k,r}^e)^2}$$

with the quasiparticle dispersion relation

$$\epsilon_{k,r}^e = \sqrt{(\mu - ek)^2 + \lambda_r \Delta^2}$$

4 poles of propagator  $k_0 = \pm \epsilon_{k,r}^e$ :

- upper sign:
  - quasi-particles ( $e = +$ )
  - quasi-antiparticles ( $e = -$ )
- lower sign:
  - quasi-holes ( $e = +$ )
  - quasi-anti-holes ( $e = -$ )



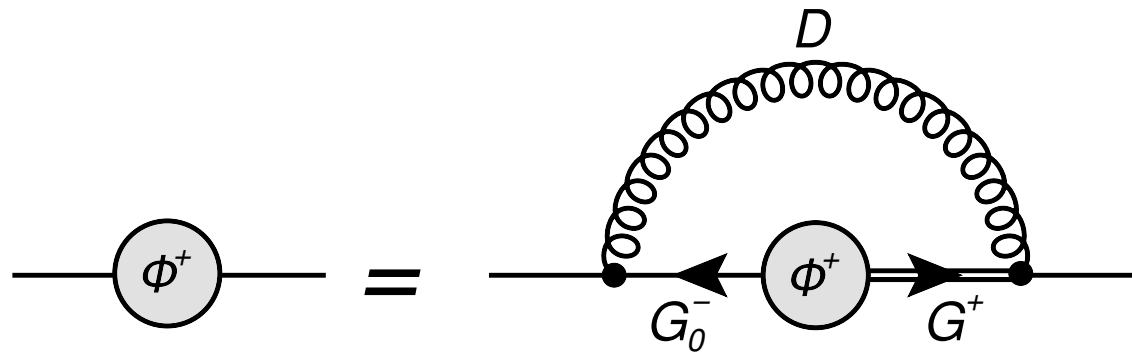
$\Delta$ ,  $2\Delta$  energy gaps in the quasi-particle spectrum

quasi-particles are ( $k$ -dependent) mixtures of particles and holes



# Gap equation

- lower off-diagonal component of self-energy  
(could also use upper off-diagonal component)



$$\Phi^+(K) = g^2 \frac{T}{V} \sum_Q \gamma^\mu T_a^T F^+(Q) \gamma^\nu T_b D_{\mu\nu}^{ab}(K - Q)$$

## Gluon propagator

- gluon propagator in “Hard Dense Loop” approximation:  
diagonal in color space,  $D_{\mu\nu}^{ab} = \delta^{ab} D_{\mu\nu}$

$$\Pi_{\mu\nu} = \mathcal{F} P_{L,\mu\nu} + \mathcal{G} P_{T,\mu\nu}$$

$$\mathcal{F}(P) = -3m_g^2 \frac{P^2}{p^2} \left( 1 - \frac{p_0}{2p} \ln \frac{p_0 + p}{p_0 - p} \right)$$

$$\mathcal{G}(P) = \frac{3m_g^2 p_0}{2p} \left( \frac{p_0}{p} - \frac{P^2}{2p^2} \ln \frac{p_0 + p}{p_0 - p} \right)$$

with effective gluon mass  $m_g^2 \equiv N_f \frac{g^2 \mu^2}{6\pi^2}$

- in Coulomb gauge, components of gluon propagator are

$$D_{00} = D_L \quad D_{0i} = 0, \quad D_{ij} = (\delta_{ij} - \hat{p}_i \hat{p}_j) D_T,$$

$$D_L(P) = \frac{P^2}{p^2} \frac{1}{\mathcal{F}(P) - P^2}, \quad D_T(P) = \frac{1}{\mathcal{G}(P) - P^2}.$$

- in gap equation may approximate

$$D_L(p) \simeq -\frac{1}{p^2 + 3m_g^2}, \quad D_T(p_0, p) \simeq \frac{\Theta(p - M_g)}{p^2} + \frac{\Theta(M_g - p)p^4}{p^6 + M_g^4 p_0^2}$$

with  $M_g^2 \equiv 3\pi m_g^2/4$

## Evaluating the gap equation

- recall

$$\Phi^+(K) = g^2 \frac{T}{V} \sum_Q \gamma^\mu T_a^T F^+(Q) \gamma^\nu T_b D_{\mu\nu}^{ab}(P)$$

- drop anti-particle contribution,  $\epsilon_{k,r} \equiv \epsilon_{k,r}^+$ , multiply both sides by  $\gamma^5 \mathcal{M} \Lambda_k^+$  and perform traces ( $\rightarrow$  exercise)

$$\text{Tr}_{c,f}[T_a^T \mathcal{M} \mathcal{P}_1 T_a \mathcal{M}] = 2 \text{Tr}[T_a^T \mathcal{M} \mathcal{P}_2 T_a \mathcal{M}] = -\frac{16}{3}$$

$$\text{Tr}_D[\gamma^0 \gamma^5 \Lambda_q^- \gamma^0 \gamma^5 \Lambda_k^+] = -(1 + \hat{\vec{q}} \cdot \hat{\vec{k}})$$

$$\text{Tr}_D[\gamma^i \gamma^5 \Lambda_q^- \gamma^j \gamma^5 \Lambda_k^+] = \delta_{ij} (1 - \hat{\vec{q}} \cdot \hat{\vec{k}}) + \hat{q}_i \hat{k}_j + \hat{q}_j \hat{k}_i$$

to find

$$\Delta(K) = \frac{g^2 T}{3 V} \sum_Q \left( \frac{2}{3} \frac{\Delta(Q)}{q_0^2 - \epsilon_{q,1}^2} + \frac{1}{3} \frac{\Delta(Q)}{q_0^2 - \epsilon_{q,2}^2} \right) \left[ (1 + \hat{\vec{q}} \cdot \hat{\vec{k}}) D_L(P) - 2(1 - \hat{\vec{p}} \cdot \hat{\vec{q}} \hat{\vec{p}} \cdot \hat{\vec{k}}) D_T(P) \right]$$

## Simplest case: pointlike interaction

- assume  $D_L \simeq -1/M^2$ ,  $D_T = 0$ , perform Matsubara sum

$$T \sum_{q_0} \frac{\Delta(Q)}{q_0^2 - \epsilon_q^2} = -\frac{\Delta_q}{2\epsilon_q} \tanh \frac{\epsilon_q}{2T}$$

restrict integral to vicinity of Fermi sphere,  $q \in [\mu - \delta, \mu + \delta]$  with  $\Delta \ll \delta \ll \mu$ , approximate  $dq q^2 \simeq \mu^2 dq$  and define  $\xi = q - \mu$ ,

$$1 \simeq \frac{g^2}{c} \int_0^\delta d\xi \left( \frac{2}{3\epsilon_{q,1}} \tanh \frac{\epsilon_{q,1}}{2T} + \frac{1}{3\epsilon_{q,2}} \tanh \frac{\epsilon_{q,2}}{2T} \right),$$

with  $c \equiv 6M^2\pi^2/\mu^2$

- zero temperature: “BCS gap”  
J. Bardeen, L. N. Cooper, and J. R. Schrieffer, Phys. Rev. 108, 1175 (1957)

$$\Delta_0 = 2\delta \cdot 2^{-1/3} \exp\left(-\frac{c}{g^2}\right)$$

- critical temperature  $T_c$

$$1 = \frac{g^2}{c} \int_0^\delta \frac{d\xi}{\xi} \tanh \frac{\xi}{2T_c} \quad \Rightarrow \quad T_c = \frac{e^\gamma}{\pi} 2^{1/3} \Delta_0 \simeq 2^{1/3} \times 0.567 \Delta_0$$

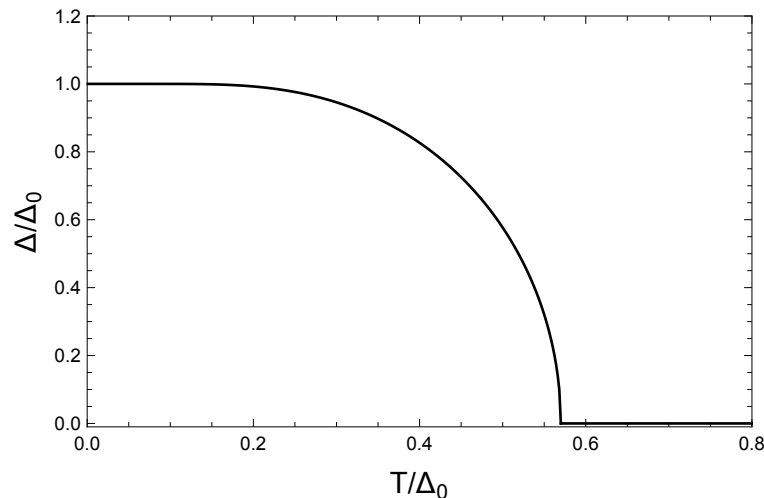
( $\gamma$  Euler-Mascheroni constant)

## Simplest case: pointlike interaction

- weak coupling:  
gap is exponentially suppressed
- non-perturbative result  
(no Taylor expansion around  $g = 0$ )
- critical temperature is of same order  
as zero-temperature gap
- two-gap structure induces factor  $2^{-1/3}$

$$\Delta_0 = 2\delta \cdot 2^{-1/3} \exp\left(-\frac{c}{g^2}\right)$$

$$T_c = \frac{e^\gamma}{\pi} 2^{1/3} \Delta_0$$



- arbitrary  $T$ : numerical evaluation of gap equation ( $\rightarrow$  exercise)

## QCD solution

- insert gluon propagator, perform Matsubara sum and angular integral (reinstate factor for two-gap structure later,  $\epsilon_{1,q} = \epsilon_{2,q} \equiv \epsilon_q$ )

$$\Delta_k \simeq \frac{g^2}{24\pi^2} \int_{\mu-\delta}^{\mu+\delta} dq \frac{\Delta_q}{\epsilon_q} \left( \ln \frac{4\mu^2}{3m_g^2} + \ln \frac{4\mu^2}{M_g^2} + \frac{1}{3} \ln \frac{M_g^2}{|\epsilon_q^2 - \epsilon_k^2|} \right) \tanh \frac{\epsilon_q}{2T}$$

with contributions from **static electric gluons**, **non-static magnetic gluons**, **almost static Landau-damped magnetic gluons**

- introduce **logarithmic integration variable**

$$y \equiv \bar{g} \ln \frac{2b\mu}{q - \mu + \epsilon_q}, \quad x \equiv \bar{g} \ln \frac{2b\mu}{k - \mu + \epsilon_k}, \quad x^* \equiv \bar{g} \ln \frac{2b\mu}{\Delta_0}, \quad x_0 \equiv \bar{g} \ln \frac{b\mu}{\delta}$$

with  $\bar{g} \equiv \frac{g}{3\sqrt{2}\pi}$ ,  $b \equiv 256\pi^4 \left( \frac{2}{N_f g^2} \right)^{5/2}$  to approximate

$$\Delta(x) \simeq x \int_x^{x^*} dy \Delta(y) + \int_{x_0}^x dy y \Delta(y)$$

- turn into differential equation for  $x$  and solve to obtain

$$\Delta_0 = 2b\mu \exp \left( -\frac{3\pi^2}{\sqrt{2}g} \right)$$

## QCD solution

- reinstating effects that we dropped along the way:

$$\Delta_0 = 2b\mu e^{b'_0} e^{-\zeta} e^{-d} \exp\left(-\frac{3\pi^2}{\sqrt{2}g}\right)$$

- $e^{b'_0} = \exp\left(-\frac{\pi^2+4}{8}\right) \simeq 0.177$  from quark self-energy
- from two-gap structure and/or anisotropic gaps

$$\zeta = \frac{1}{2} \frac{\langle \text{Tr}(\mathcal{P}_1)\lambda_1 \ln \lambda_1 + \text{Tr}(\mathcal{P}_2)\lambda_2 \ln \lambda_2 \rangle}{\langle \text{Tr}(\mathcal{P}_1)\lambda_1 + \text{Tr}(\mathcal{P}_2)\lambda_2 \rangle}$$

(spin-1 Cooper pairing; for CFL  $e^{-\zeta} = 2^{-1/3}$ )

- $e^{-d}$  suppression factor for spin-1 pairing,  $d = -4.5$  for opposite-chirality pairing
- gap is parameterically enhanced compared to pointlike interaction
- extrapolation to neutron star densities ( $\mu \sim 400$  MeV,  $\alpha_s = g^2/(4\pi) \sim 1$ )

$$\Delta_0 \sim 10 \text{ MeV}$$

(compare to Nambu-Jona-Lasinio model  $\Delta_0 \sim (10 - 100)$  MeV)

## Condensation energy

- insert propagators, perform Matsubara sum, take zero-temperature limit

$$\Omega = -\frac{1}{2}\text{Tr} \ln S^{-1} + \frac{1}{4}\text{Tr}(1 - S_0^{-1}S) = -\sum_{e,r} \int \frac{d^3\vec{k}}{(2\pi)^3} \text{Tr}(\mathcal{P}_r) \left( \epsilon_{k,r}^e - \frac{\lambda_r \Delta_0^2}{2\epsilon_{k,r}^e} \right)$$

- assume gap to be constant and nonzero only in vicinity of Fermi surface, subtract vacuum contribution, to obtain **condensation energy**

$$\Omega \simeq \Omega_0 - \frac{\mu^2}{4\pi^2} \sum_r \text{Tr}(\mathcal{P}_r) \lambda_r \Delta_0^2$$

with normal-conducting result  $\Omega_0 = -\frac{N_c N_f \mu^4}{12\pi^2}$ .

- compare different phases

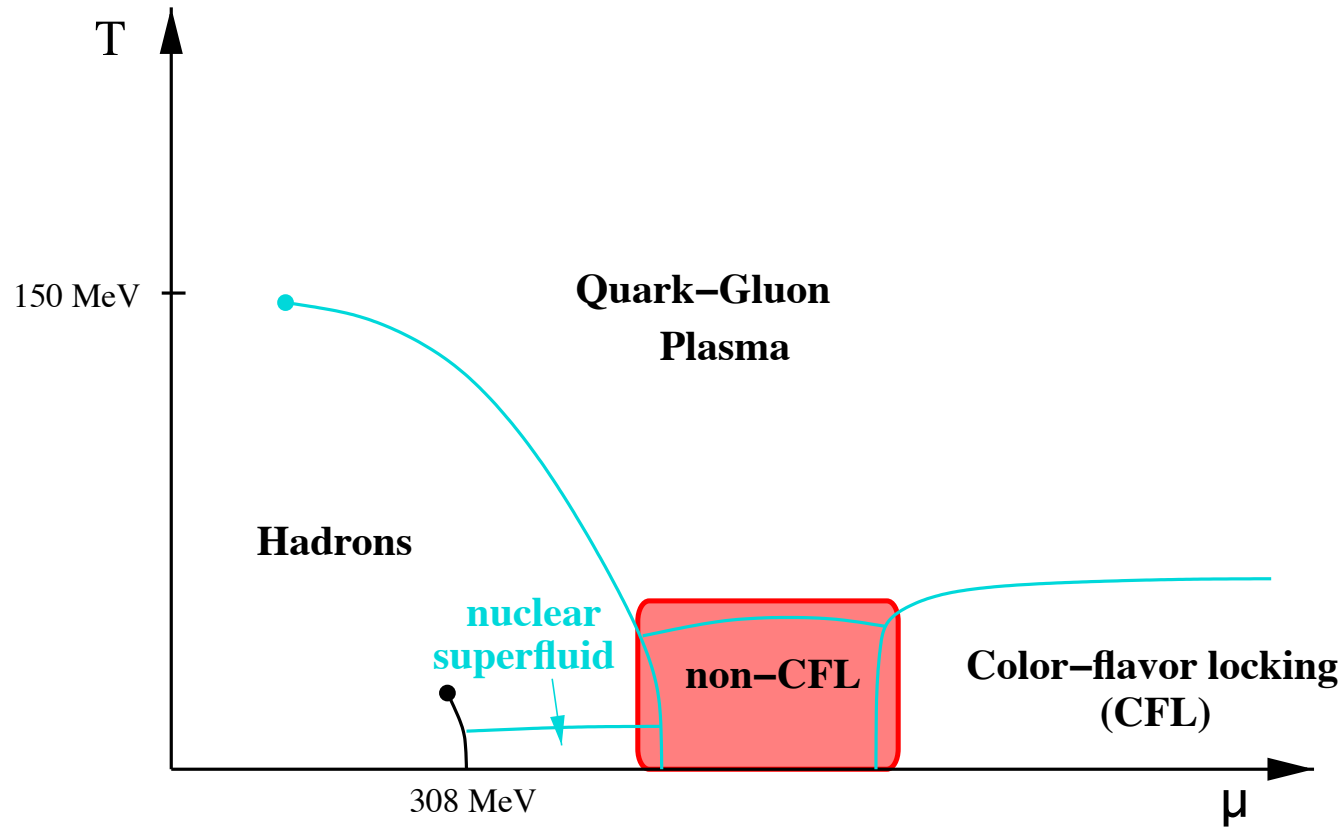
$$\text{CFL} : \quad \sum_r \text{Tr}(\mathcal{P}_r) \lambda_r = 8 \times 1 + 1 \times 4 = 12$$

$$\text{2SC} : \quad \sum_r \text{Tr}(\mathcal{P}_r) \lambda_r = 4 \times 1 + 5 \times 0 = 4$$

→ CFL is the preferred phase at the highest densities

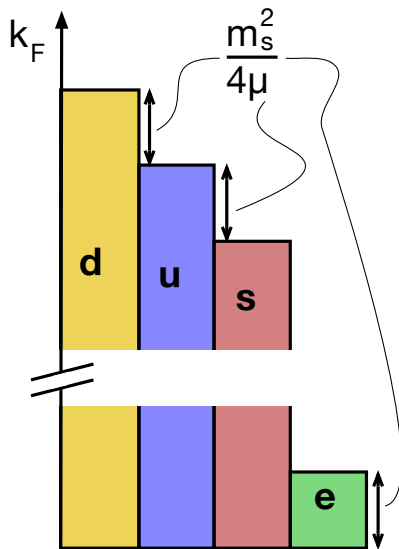
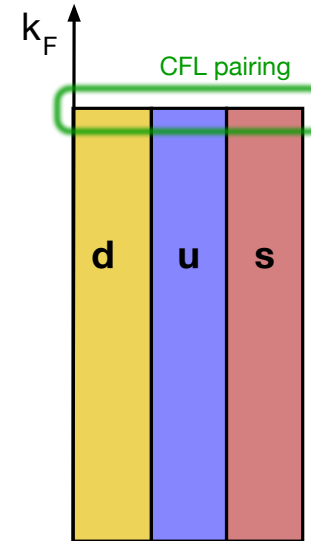


# Dense, but not asymptotically dense, QCD



# Stressed Cooper pairing (page 1/3)

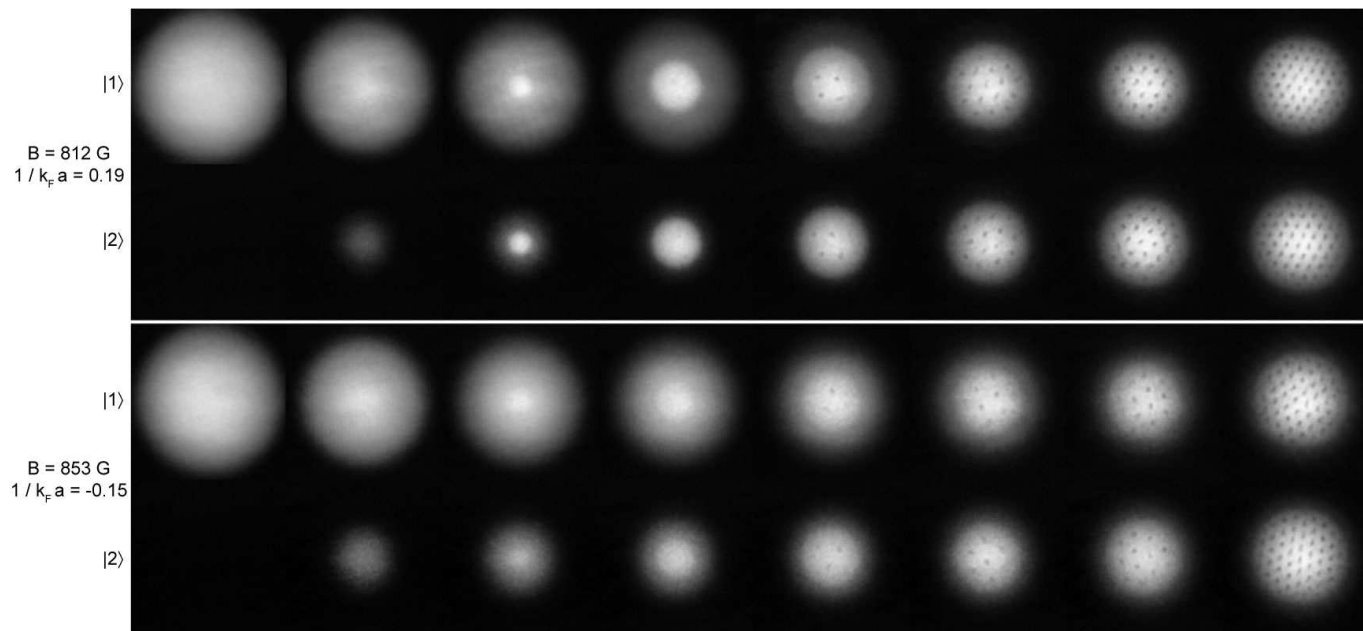
- asymptotically large densities
  - all 3 quark masses negligible



- large, but not asymptotically large densities
  - mismatched Fermi surfaces
  - “stressed” Cooper pairing?

## Stressed Cooper pairing (page 2/3)

- stressed Cooper pairing is a general phenomenon
  - electronic superconductor in a magnetic field (Zeeman splitting)  
B.S. Chandrasekhar, *Appl. Phys. Lett.* 1, 7 (1962); A.M. Clogston, *PRL* 9, 266 (1962)  
LOFF phase in organic superconductor S. Tsuchiya, *et al.*, *J. Phys. S. Jpn* 84, 034703 (2015)
  - cold atomic gases: breakdown of pairing for large mismatch  
M.W. Zwierlein, A. Schirotzek, C.H. Schunck, W. Ketterle, *Science* 311, 492 (2006)

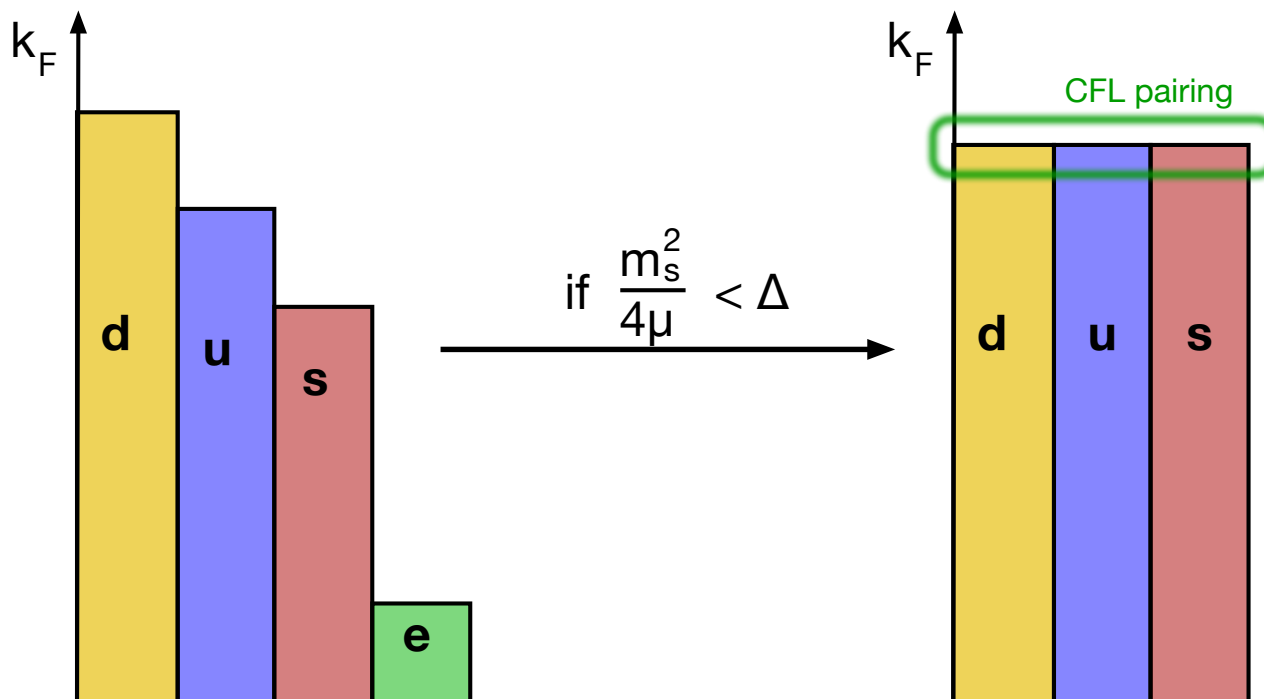


## Stressed Cooper pairing (page 3/3)

generic discussion of Cooper pairing with mismatched Fermi surfaces:

chapter 9 in A. Schmitt, Lect. Notes Phys. 888, 1 (2015)

- CFL favored if mismatch sufficiently small



# Stressed pairing in quark matter: variants of CFL and non-CFL color superconductors (page 1/4)

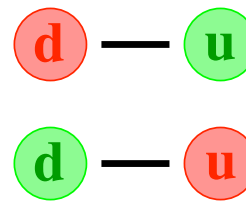
- Kaon-condensed phases:  
 $\text{CFL-}K^0$ ,  $\text{curCFL-}K^0$   
 P. Bedaque, T. Schäfer, NPA 697, 802 (2002)  
 T. Schäfer, PRL 96, 012305 (2006)  
 4.2.1 in A. Schmitt, Lect. Notes Phys. 811, 1 (2010)

$\text{curCFL-}K^0$   
 counterpropagating currents:  
 $K^0$ -condensate  
 + gapless fermions

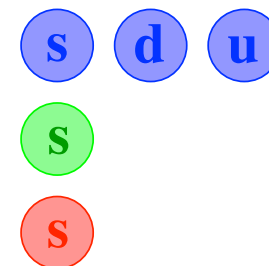
- 2SC phase

R. Rapp, T. Schäfer, E.V. Shuryak,  
 M. Velkovsky, PRL 81, 53 (1998)  
 M.G. Alford, K. Rajagopal, F. Wilczek,  
 PLB 422, 247 (1998)

paired:



unpaired:

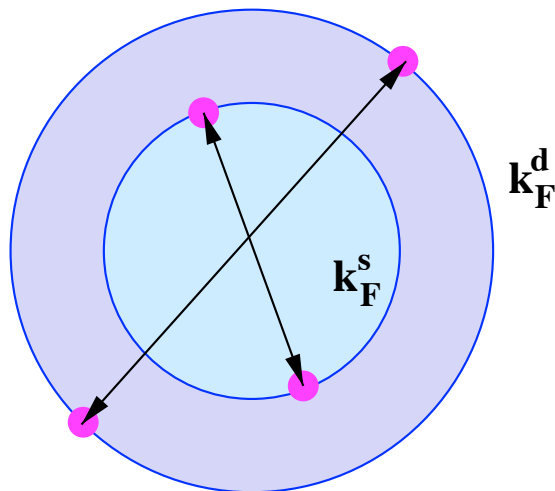
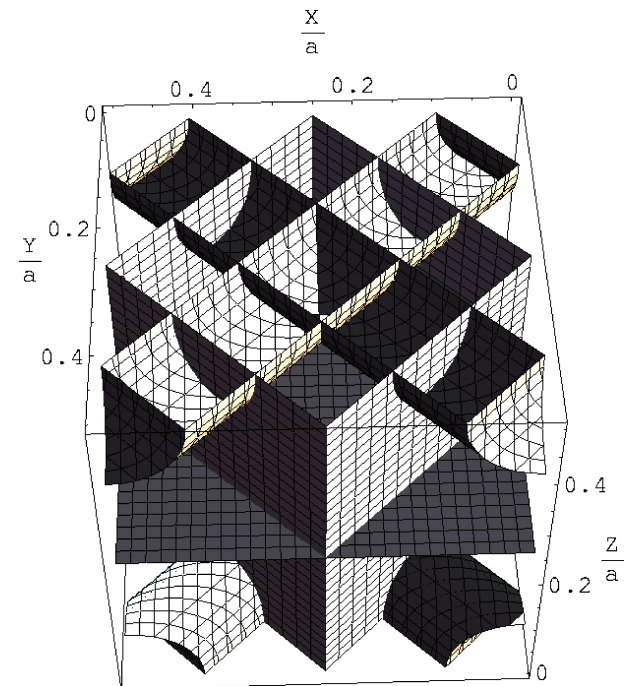


# Stressed pairing in quark matter: variants of CFL and non-CFL color superconductors (page 2/4)

- Crystalline phases: LOFF

M. Alford, J. Bowers, K. Rajagopal, PRD 63, 074016 (2001)

M. Mannarelli, K. Rajagopal and R. Sharma, PRD 73, 114012 (2006)



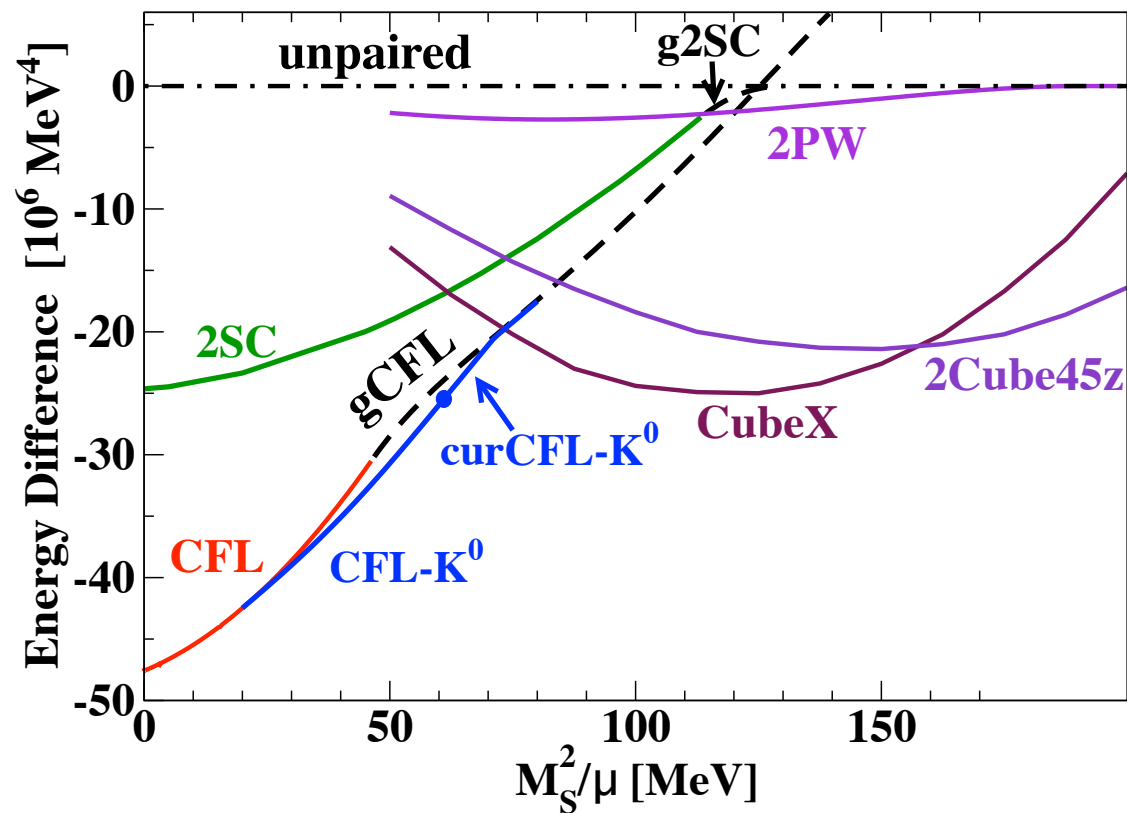
- Single-flavor pairing:  
CSL, A-phase, polar phase ...

T. Schäfer, PRD 62, 094007 (2000)

A. Schmitt, PRD 71, 054016 (2005)

## Stressed pairing in quark matter: variants of CFL and non-CFL color superconductors (page 3/4)

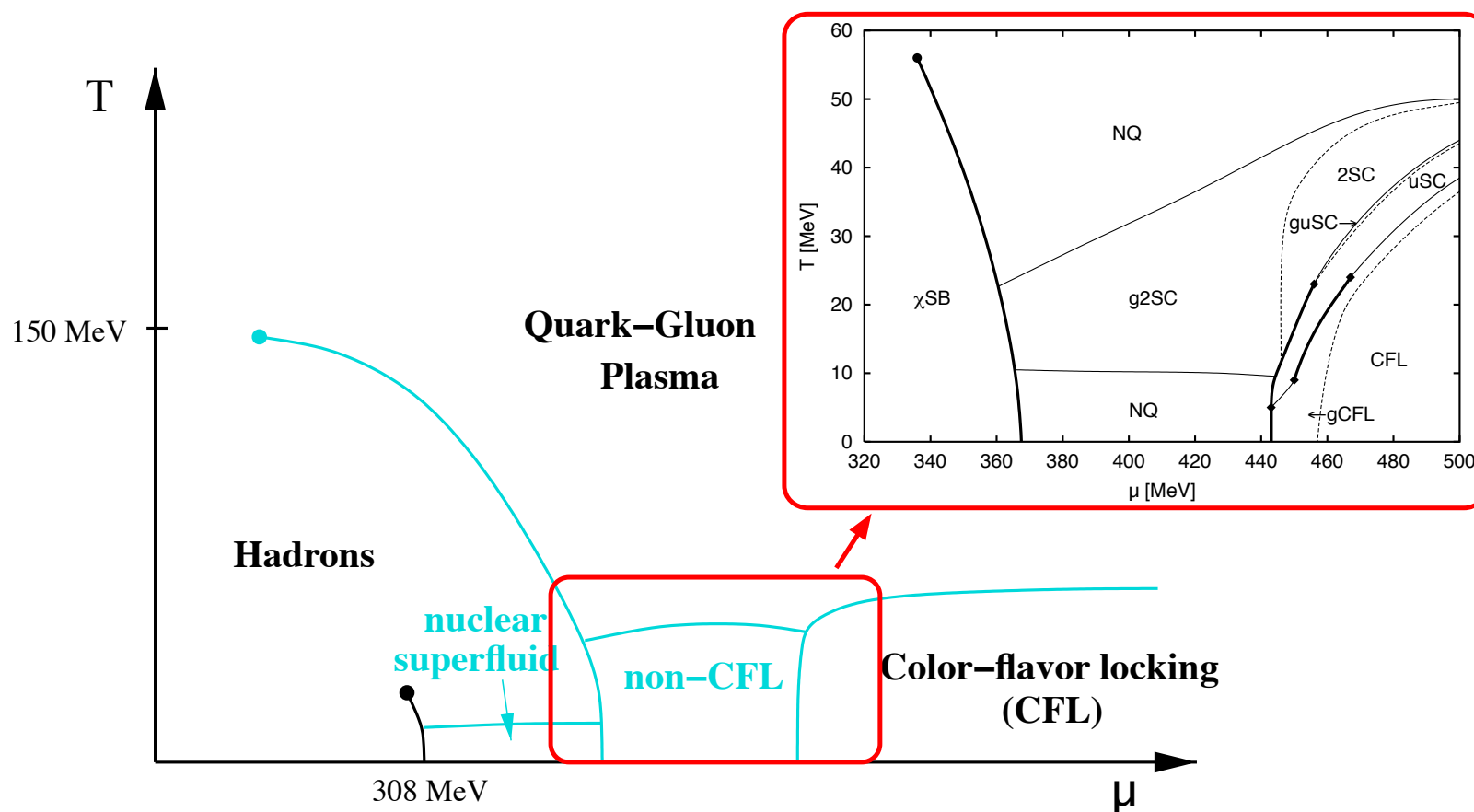
Free energy comparison of 3-flavor quark phases for  $\Delta_{\text{CFL}} = 25$  MeV:  
 M. Alford, K. Rajagopal, T. Schäfer, A. Schmitt, RMP 80, 1455 (2008)



# Stressed pairing in quark matter: variants of CFL and non-CFL color superconductors (page 4/4)

from Nambu-Jona-Lasinio model

S. B. Ruester, V. Werth, M. Buballa, I. A. Shovkovy and D. H. Rischke, PRD 72, 034004 (2005)





## Summary: color superconductivity

- cold dense matter is a color superconductor  
(Cooper pairing of quarks)
- at asymptotically large densities,  
CFL is the ground state of three-flavor QCD
- it is unknown how far down in density CFL persists  
(non-CFL phases between CFL and nuclear matter?)

# Outline

- Connecting QCD to astrophysical observables
  - Basics of QCD and phase diagram
  - Neutron stars as laboratories for dense (and hot) QCD
- Equation of state
  - Unpaired quark matter at asymptotically large densities
  - Nuclear matter in a simple approximation (intermezzo: thermal field theory)
- Color superconductivity
  - QCD gap equation
  - Color-flavor locking and other color superconductors
- Transport in dense QCD
  - Brief overview of transport in neutron stars
  - Bulk viscosity of (color-superconducting) quark matter

# Transport in neutron stars

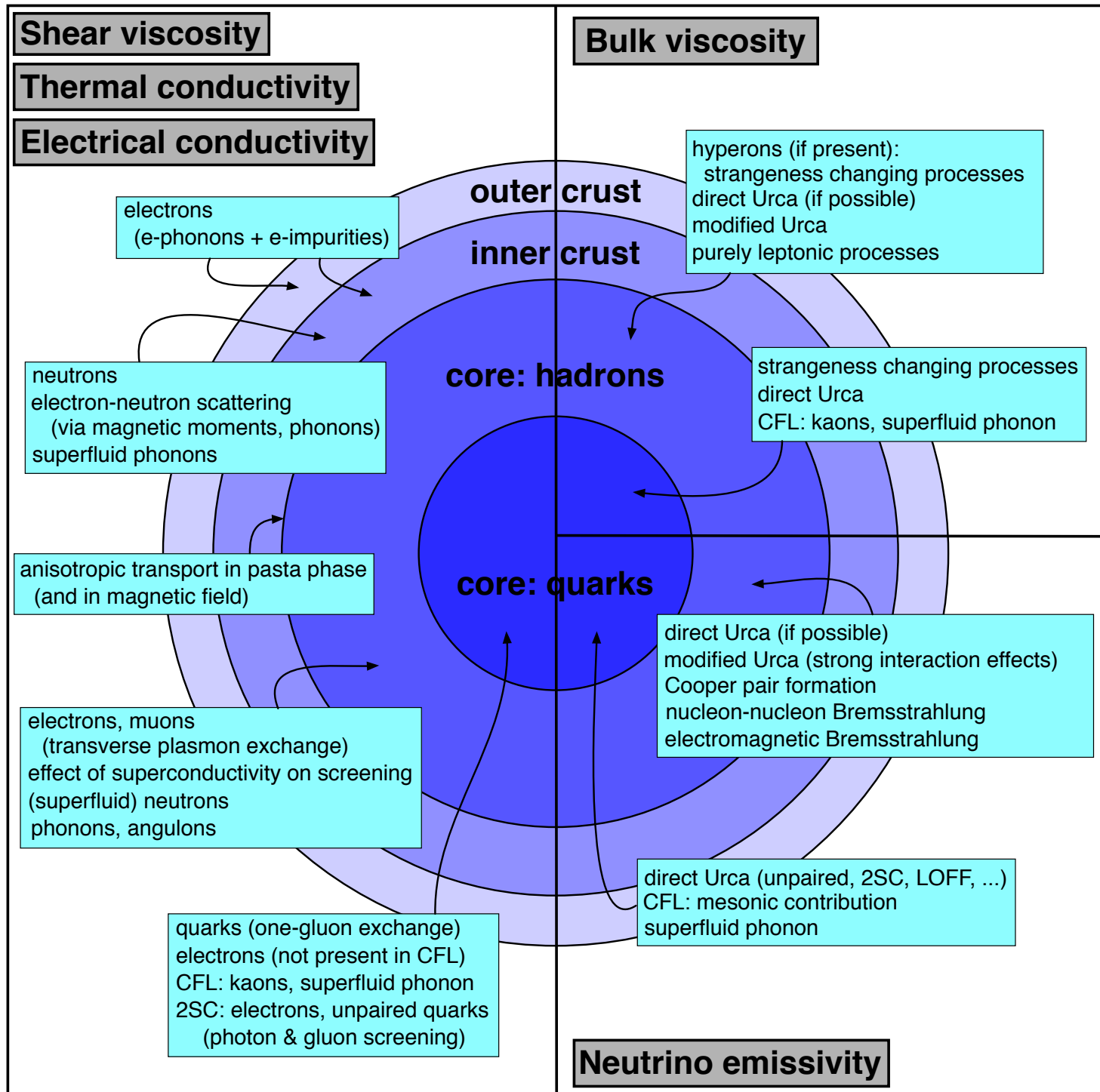
review: A. Schmitt and P. Shternin, *Astrophys. Space Sci. Libr.* 457, 455 (2018)

“Transport”: transfer of conserved quantities  
(energy, momentum, particle number, electric charge, ...)  
from one region to another due to non-equilibrium  
(temperature gradient, non-uniform chemical composition, ...)

- general recipe: compute transport coefficients from some microscopic theory (e.g., Boltzmann eq) and insert into hydro eqs (if sufficiently close to equilibrium)
- complications in neutron star context:
  - (general) relativistic effects
  - magnetic field → magneto-hydrodynamics
  - two-fluid (multi-fluid) transport  
(electron-ion in the crust, *npe* matter in the core)
  - superfluid (two-fluid) transport  
→ more transport coefficients, vortices, flux tubes ...

## Transport and phenomenology

Phenomenon	Transport properties
oscillatory modes ( <i>r</i> -modes)	shear & bulk viscosity
pulsar glitches	superfluid transport (vortex pinning)
thermal radiation	heat transport in outermost layers
cooling	neutrino emissivity, heat conductivity
magnetic field evolution	magnetohydrodynamics electrical & thermal conductivities
crust disruption (accretion, magnetar flares)	transport properties of the crust nuclear reactions (“deep crustal heating”)
core-collapse supernovae	neutrino transport, neutrino-nucleus reactions
neutron star mergers	high-temperature transport (viscous) magnetohydrodynamics



## Bulk viscosity in dense (quark) matter

original works: J. Madsen, PRD 47, 325 (1993)

M. G. Alford and A. Schmitt, JPG 34, 67 (2007)

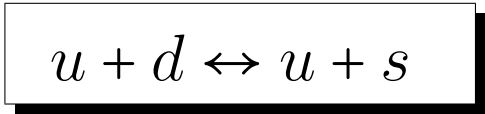
review: A. Schmitt and P. Shternin, Astrophys. Space Sci. Libr. 457, 455 (2018)

## What is bulk viscosity?

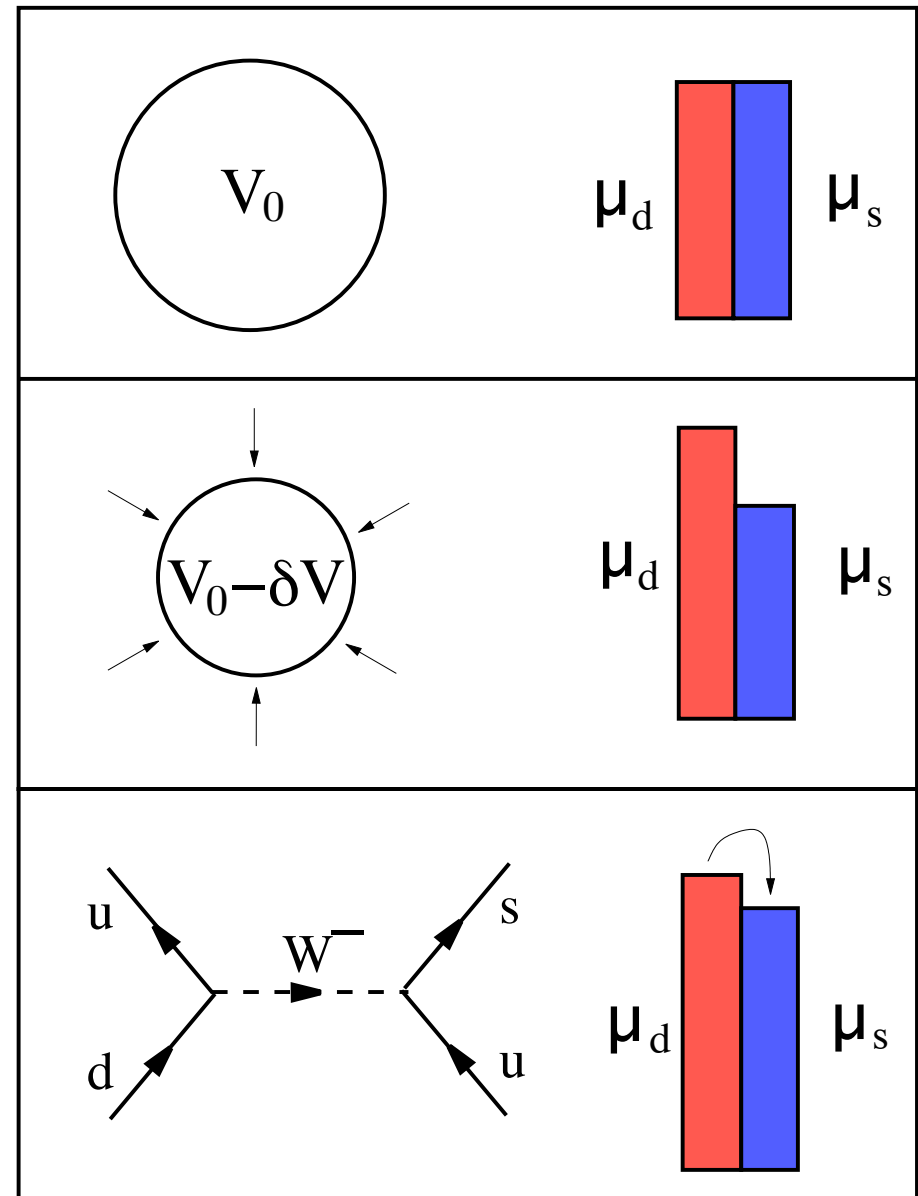
- volume oscillation  
→ chemical  
non-equilibrium

$$\mu_d - \mu_s \neq 0$$

- re-equilibration via



- **resonance phenomenon:**  
external oscillation  
vs. microscopic rate



## Derive expression for bulk viscosity (page 1/3)

- consider **volume oscillation**  $V(t) = V_0[1 + \delta v(t)]$ ,  $\delta v(t) = \delta v_0 \cos \omega t$

$$\langle \dot{E} \rangle = -\frac{\zeta}{\tau} \int_0^\tau dt (\nabla \cdot \mathbf{v})^2 \simeq -\frac{\zeta \omega^2 \delta v_0^2}{2} \quad \text{dissipated energy density from hydrodynamic equations (entropy production)}$$

$$\langle \dot{E} \rangle = \frac{1}{\tau} \int_0^\tau dt P(t) \frac{d\delta v}{dt} \quad \text{dissipated energy density as mechanical work}$$

- pressure oscillations

$$P(t) = P_0 + \frac{\partial P}{\partial V} V_0 \delta v(t) + \sum_{x=u,d,s} \frac{\partial P}{\partial n_x} \delta n_x(t) = P_0 + \frac{\partial P}{\partial V} V_0 \delta v(t) - B \delta n_d(t)$$

where  $B \equiv \frac{\partial P}{\partial n_s} - \frac{\partial P}{\partial n_d}$

- density oscillations from dominant **electroweak re-equilibration process**

$$u + d \leftrightarrow u + s$$



## Derive expression for bulk viscosity (page 2/3)

- density change from microscopic process

$$\delta n_d(t) = -\delta n_s(t) = \int_0^t dt' \Gamma[\delta\mu(t')] \simeq \lambda \int_0^t dt' \delta\mu(t'), \quad \delta\mu \equiv \mu_s - \mu_d$$

- $\Gamma$  number of produced  $d$  quarks per unit time and volume
- linear approximation  $\Gamma \simeq \lambda \delta\mu$

- oscillations of chemical potential difference

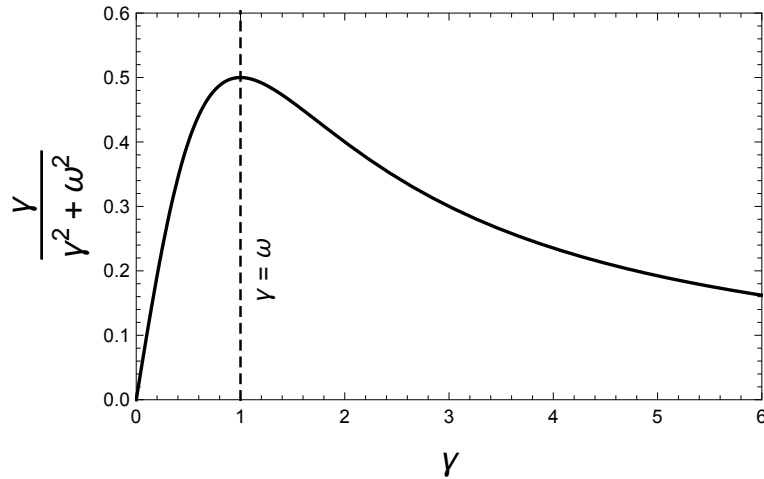
$$\frac{d\delta\mu}{dt} = \frac{\partial\delta\mu}{\partial V} \frac{dV}{dt} + \sum_{x=d,s} \frac{\partial\delta\mu}{\partial n_x} \frac{dn_x}{dt} = -B \frac{d\delta v}{dt} - \lambda C \delta\mu(t)$$

where  $C \equiv \frac{\partial\mu_s}{\partial n_s} + \frac{\partial\mu_d}{\partial n_d} - \frac{\partial\mu_d}{\partial n_s} - \frac{\partial\mu_s}{\partial n_d}$

- out-of phase oscillation,  $\delta\mu(t) = \text{Re}[\delta\mu_0 e^{i\omega t}]$ , solve for complex  $\delta\mu_0$
- compute  $\delta n_d$ , insert into  $P(t)$  to obtain

$$\zeta(\omega) = \frac{\lambda B^2}{(\lambda C)^2 + \omega^2}$$

## Derive expression for bulk viscosity (page 3/3)



$$\zeta(\omega) = \frac{\lambda B^2}{(\lambda C)^2 + \omega^2} = \frac{B^2}{C} \frac{\gamma}{\gamma^2 + \omega^2}$$

- resonance phenomenon  
(analogous to electric circuit with resistance and capacitance)
- expression valid in “subthermal” regime  $\delta\mu \ll T$
- $\gamma$  typically increases monotonically with  $T \Rightarrow \zeta$  has maximum at certain  $T$
- $B, C$  thermodynamic equilibrium quantities (depend on strong interactions!)
- remains to compute microscopic rate  $\lambda \dots$

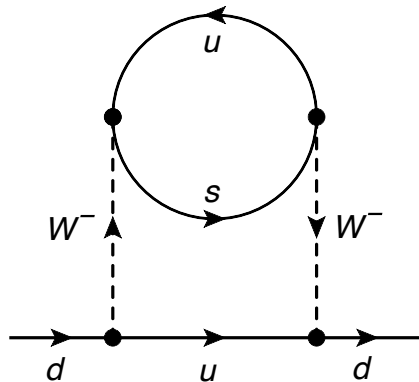
## Compute rate $u + d \leftrightarrow u + s$ (page 1/7)

- start from kinetic equation with “greater” and “lesser” propagators

$$i \frac{\partial}{\partial t} \text{Tr}[\gamma_0 S^<(P_1)] = -\text{Tr}[S^>(P_1) \Sigma^<(P_1) - \Sigma^>(P_1) S^<(P_1)]$$

compute “collision integral” with equilibrium distributions but nonzero  $\delta\mu$

- $d$ -quark self-energy



$$\Sigma^<(P_1) = \frac{i}{M_W^4} \int_{P_4} \Gamma_{ud,-}^\mu S^<(P_4) \Gamma_{ud,+}^\nu \Pi_{\mu\nu}^>(Q)$$

- $W$ -boson polarization tensor

$$\Pi_{\mu\nu}^<(Q) = -i \int_{P_2} \text{Tr}[\Gamma_{us,+}^\mu S^>(P_3) \Gamma_{us,-}^\nu S^<(P_2)]$$

- electroweak vertices (in flavor and Nambu-Gorkov space)

$$\Gamma_{ud/us,\pm}^\mu \propto \frac{e V_{ud/us}}{2\sqrt{2} \sin \theta_W} \gamma^\mu (1 - \gamma^5)$$

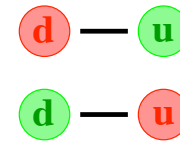
## Compute rate $u + d \leftrightarrow u + s$ (page 2/7)

- consider 2SC phase

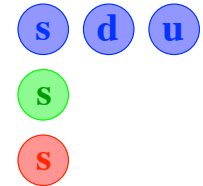
$$S^< = \begin{pmatrix} G_+^< & F_-^< \\ F_+^< & G_-^< \end{pmatrix} \quad G_\pm^<(K) = \sum_{r=1}^3 \mathcal{P}_r G_{\pm,r}^<(K) \gamma^0 \Lambda_k^\mp$$

$$F_\pm^<(K) = J_3 I_3 F_{\pm,1}^<(K) \gamma^5 \Lambda_k^\mp$$

paired:



unpaired:



$\mathcal{P}_1 = J_3^2 I_3^2$  paired quarks

$\mathcal{P}_2 = I_3^2 (1 - J_3^2)$  unpaired  $bu, bd$  quarks

$\mathcal{P}_3 = 1 - I_3^2$  unpaired strange quarks

$(I_i)_{jk} = -i\epsilon_{ijk}$ ,  $(J_a)_{bc} = -i\epsilon_{abc}$  in flavor and color space

- “greater” propagators ( $f \leftrightarrow 1 - f$  for “lesser” propagators)

$$G_{\pm,r}^>(K) = -2\pi i \left\{ B_{k,r}^\pm f(\epsilon_{k,r}) \delta(k_0 \pm \mu_r - \epsilon_{k,r}) + B_{k,r}^\mp [1 - f(\epsilon_{k,r})] \delta(k_0 \pm \mu_r + \epsilon_{k,r}) \right\}$$

$$F_{\pm,r}^>(K) = 2\pi i \frac{\Delta}{2\epsilon_{k,r}} \left\{ f(\epsilon_{k,r}) \delta(k_0 \mp \mu_r - \epsilon_{k,r}) - [1 - f(\epsilon_{k,r})] \delta(k_0 \mp \mu_r + \epsilon_{k,r}) \right\}$$

Fermi distribution  $f$ , dispersions  $\epsilon$ , and Bogoliubov coefficients

$$B_{k,r}^\pm \equiv \frac{\epsilon_{k,r} \pm (\mu_r - k)}{2\epsilon_{k,r}}$$

## Compute rate $u + d \leftrightarrow u + s$ (page 3/7)

- perform traces over Nambu-Gorkov, color, flavor space

$$\Pi_{\mu\nu}^<(Q) = -i \frac{e^2 V_{us}^2}{4 \sin^2 \theta_W} \int_{P_2} \mathcal{T}_{p_3 p_2}^{\mu\nu} \left[ 2G_{+,3}^>(P_3)G_{+,1}^<(P_2) + G_{+,3}^>(P_3)G_{+,2}^<(P_2) \right]$$

$$\text{Tr}[S^>(P_1)\Sigma^<(P_1)]$$

$$= i \frac{e^2 V_{ud}^2}{4M_W^4 \sin^2 \theta_W} \int_{P_4} \left\{ \left[ 2G_{+,1}^>(P_1)G_{+,1}^<(P_4) + G_{+,2}^>(P_1)G_{+,2}^<(P_4) \right] \mathcal{T}_{p_4 p_1}^{\mu\nu} \right. \\ \left. + 2F_{+,1}^>(P_1)F_{-,1}^<(P_4)\mathcal{U}_{p_4 p_1}^{\mu\nu} \right\} \Pi_{\mu\nu}^>(Q)$$

with

$$\mathcal{T}_{kq}^{\mu\nu} \equiv \text{Tr}[\gamma^\mu (1 - \gamma^5) \gamma^0 \Lambda_k^- \gamma^\nu (1 - \gamma^5) \gamma^0 \Lambda_q^-]$$

$$\mathcal{U}_{kq}^{\mu\nu} \equiv \text{Tr}[\gamma^\mu (1 - \gamma^5) \gamma^5 \Lambda_k^+ \gamma^\nu (1 + \gamma^5) \gamma^5 \Lambda_q^-]$$

## Compute rate $u + d \leftrightarrow u + s$ (page 4/7)

- integrate kinetic equation over  $d$ -quark four-momentum, perform Dirac traces and angular integrals,

$$\Gamma = 4\Gamma^{1131} + 2\Gamma^{1231} + 2\Gamma^{2132} + \Gamma^{2232} + 4\tilde{\Gamma}^{1131} + 2\tilde{\Gamma}^{1231}$$

with

$$\begin{aligned} \Gamma^{r_1 r_2 r_3 r_4} &\equiv \frac{G_F^2 V_{ud}^2 V_{us}^2}{8\pi^5} \sum_{e_1 e_2 e_3 e_4} \int_{p_1 p_2 p_3 p_4} I(p_1, p_2, p_3, p_4) B_1^{e_1} B_2^{e_2} B_3^{e_3} B_4^{e_4} \\ &\times \delta(e_1 \epsilon_1 + e_2 \epsilon_2 - e_3 \epsilon_3 - e_4 \epsilon_4 + \delta\mu) [f(e_1 \epsilon_1) f(e_2 \epsilon_2) f(-e_3 \epsilon_3) f(-e_4 \epsilon_4) \\ &- f(-e_1 \epsilon_1) f(-e_2 \epsilon_2) f(e_3 \epsilon_3) f(e_4 \epsilon_4)] \end{aligned}$$

and anomalous contributions

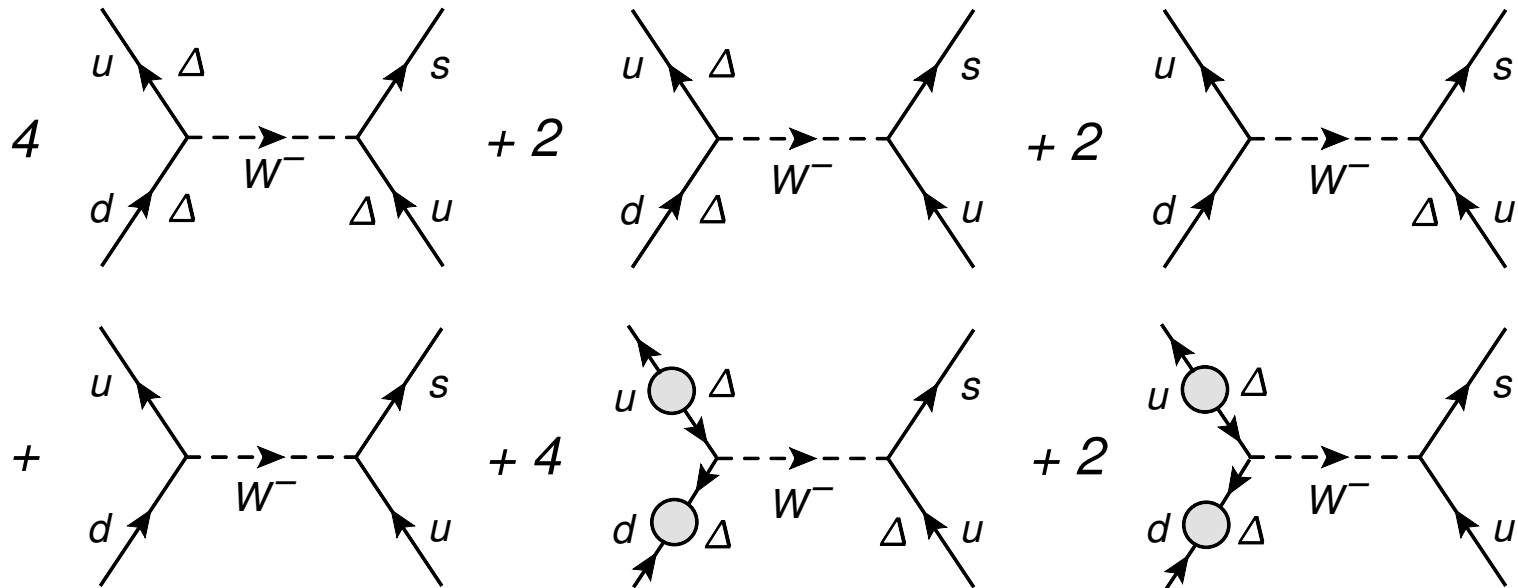
$$\begin{aligned} \tilde{\Gamma}_d^{r_1 r_2 r_3 r_4} &\equiv \frac{G_F^2 V_{ud}^2 V_{us}^2}{16\pi^5} \sum_{e_1 e_2 e_3 e_4} \int_{p_1 p_2 p_3 p_4} \tilde{I}(p_1, p_2, p_3, p_4) \frac{e_1 \Delta}{2\epsilon_1} B_2^{e_2} B_3^{e_3} \frac{e_4 \Delta}{2\epsilon_4} \\ &\times \delta(e_1 \epsilon_1 + e_2 \epsilon_2 - e_3 \epsilon_3 - e_4 \epsilon_4 + \delta\mu) [f(e_1 \epsilon_1) f(e_2 \epsilon_2) f(-e_3 \epsilon_3) f(-e_4 \epsilon_4) \\ &- f(-e_1 \epsilon_1) f(-e_2 \epsilon_2) f(e_3 \epsilon_3) f(e_4 \epsilon_4)] \end{aligned}$$

with  $I(p_1, p_2, p_3, p_4)$ ,  $\tilde{I}(p_1, p_2, p_3, p_4)$  from angular integrals

## Compute rate $u + d \leftrightarrow u + s$ (page 5/7)

- contributions of subprocesses to total rate

$$\Gamma = 4\Gamma^{1131} + 2\Gamma^{1231} + 2\Gamma^{2132} + \Gamma^{2232} + 4\tilde{\Gamma}^{1131} + 2\tilde{\Gamma}^{1231}$$



## Compute rate $u + d \leftrightarrow u + s$ (page 6/7)

- structure of collision integral

$$\Gamma^{r_1 r_2 r_3 r_4} \equiv \frac{G_F^2 V_{ud}^2 V_{us}^2}{8\pi^5} \sum_{e_1 e_2 e_3 e_4} \int_{p_1 p_2 p_3 p_4} I(p_1, p_2, p_3, p_4) B_1^{e_1} B_2^{e_2} B_3^{e_3} B_4^{e_4} \\ \times \delta(e_1 \epsilon_1 + e_2 \epsilon_2 - e_3 \epsilon_3 - e_4 \epsilon_4 + \delta\mu) [f(e_1 \epsilon_1) f(e_2 \epsilon_2) f(-e_3 \epsilon_3) f(-e_4 \epsilon_4) \\ - f(-e_1 \epsilon_1) f(-e_2 \epsilon_2) f(e_3 \epsilon_3) f(e_4 \epsilon_4)]$$

- naively expected:  $f_u f_d (1 - f_u) (1 - f_s)$
- with Cooper pairing, all combinations appear (note  $f(-x) = 1 - f(x)$ )

$$f_u f_d f_u f_s, f_u f_d f_u (1 - f_s), \dots, (1 - f_u) (1 - f_d) f_u f_s, \dots, (1 - f_u) (1 - f_d) (1 - f_u) (1 - f_s)$$

- quasi-particles are mixtures of particles and holes and can appear on either side of the reaction process!
- general result must be computed numerically
- $\Gamma_{2\text{SC}} \simeq \frac{1}{9} \Gamma_{\text{unpaired}}$  at  $T \ll \Delta$



## Compute rate $u + d \leftrightarrow u + s$ (page 7/7)

- unpaired limit,  $\Delta = 0$

zero temperature

$$\Gamma_0(T = 0, \delta\mu < \mu) = \frac{16}{5\pi^5} G_F^2 V_{us}^2 V_{ud}^2 \left( \mu^5 \delta\mu^3 + \frac{5}{16} \mu^4 \delta\mu^4 - \frac{3}{16} \mu^3 \delta\mu^5 + \frac{1}{32} \mu^2 \delta\mu^6 + \frac{5}{112} \mu \delta\mu^7 - \frac{15}{896} \delta\mu^8 \right)$$

subthermal limit (needed for bulk viscosity with linearized rate)

Q.D. Wang and T.Lu, PLB 148, 211 (1984)

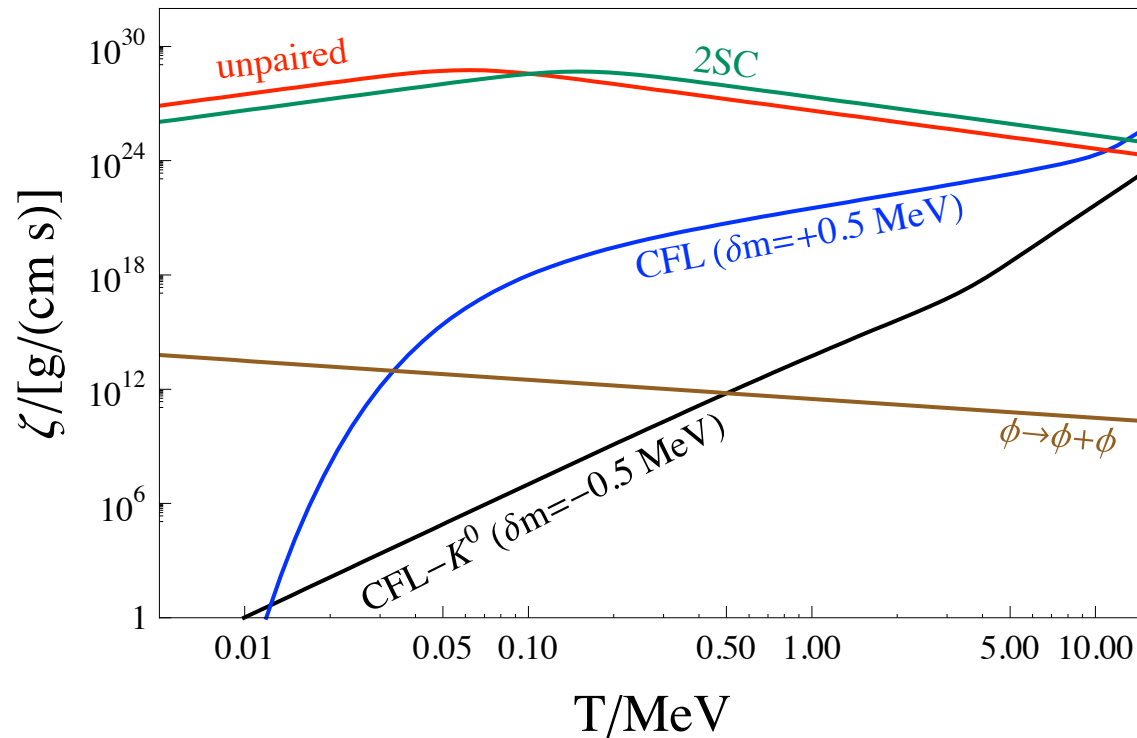
R.F. Sawyer, PLB 233, 412 (1989) [Erratum: PLB 347, 467 (1995)]

J.Madsen, PRD 47, 325 (1993)

$$\Gamma_0(\delta\mu \ll T \ll \mu) = \frac{64 G_F^2 V_{ud}^2 V_{us}^2}{5\pi^3} T^2 \mu^5 \delta\mu$$

Fermi coupling constant  $G_F = \frac{\sqrt{2}e^2}{8M_W^2 \sin^2 \theta_W} = 1.16637 \cdot 10^{-11} \text{ MeV}^{-2}$

# Quark matter bulk viscosity: different phases



$$\omega/(2\pi) = 1 \text{ ms}^{-1}$$

$$\mu = 400 \text{ MeV}$$

$$\delta m \equiv m_{K^0} - \mu_{K^0}$$

unpaired from  $u + d \leftrightarrow u + s$  J. Madsen, PRD 46, 3290 (1992)

unpaired from  $u + e \leftrightarrow d + \nu_e$  B. A. Sa'd, I. A. Shovkovy and D. H. Rischke, PRD 75, 125004 (2007)

2SC from  $u + d \leftrightarrow u + s$  M.G. Alford, A. Schmitt, JPG 34, 67-101 (2007)

CFL from  $K^0 \leftrightarrow \phi + \phi$  M.G. Alford, M. Braby, S. Reddy, T. Schäfer, PRC 75, 055209 (2007)

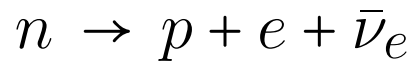
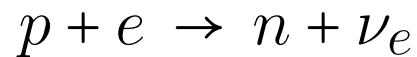
CFL- $K^0$  from  $K^0 \leftrightarrow \phi + \phi$  M.G. Alford, M. Braby, A. Schmitt, JPG 35, 115007 (2008)

CFL from  $\phi \leftrightarrow \phi + \phi$  C. Manuel, F. Llanes-Estrada, JCAP 0708, 001 (2007)

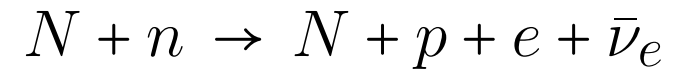
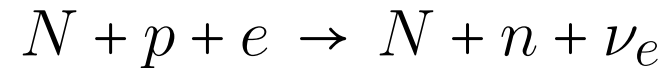
Spin-one from  $u + d \leftrightarrow u + s, u + e \leftrightarrow d + \nu_e$  X. Wang and I. A. Shovkovy, PRD 82, 085007 (2010)

# Nuclear matter (no strangeness)

direct Urca

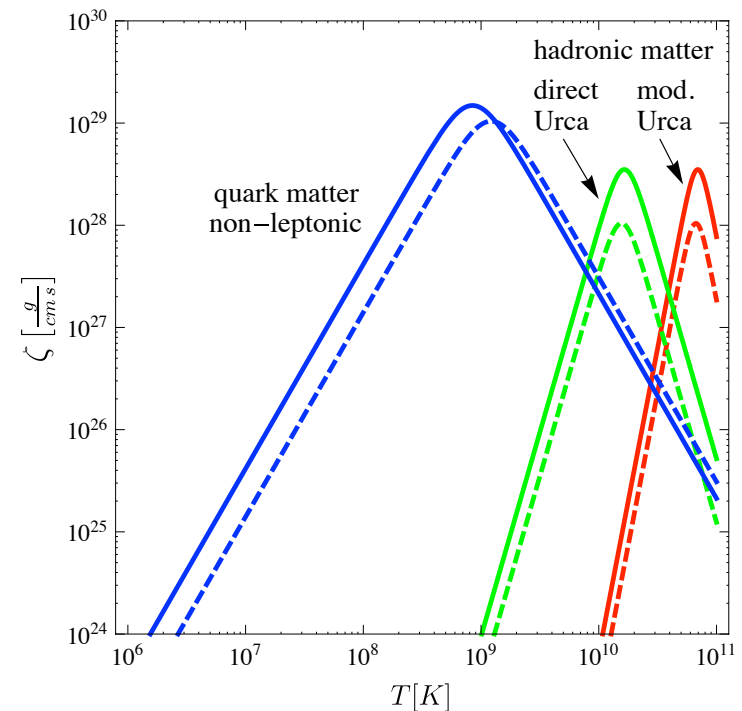


modified Urca



- effect of interactions on thermodynamic coefficients  $B$ ,  $C$  (dashed = non-interacting)

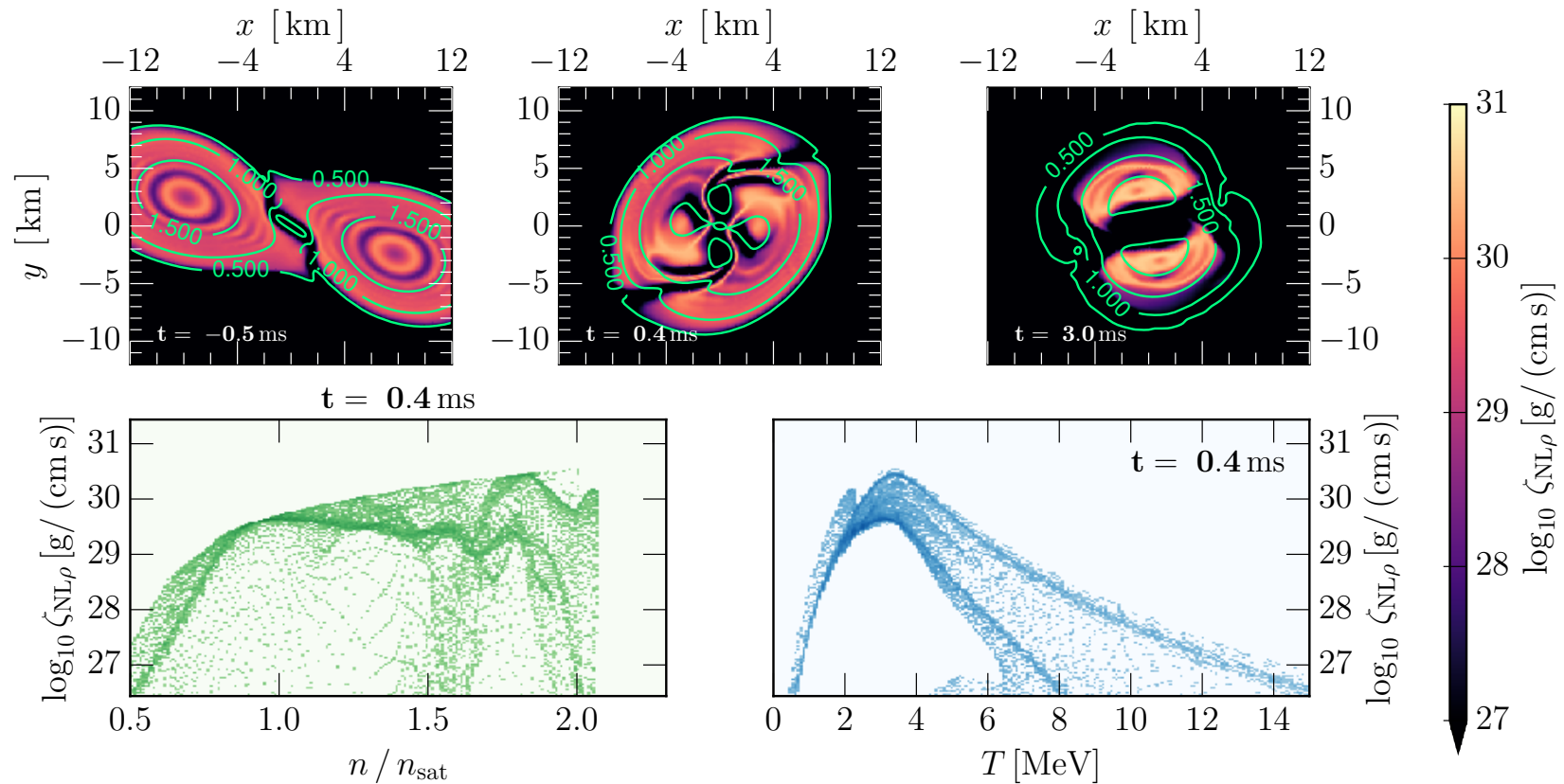
M.G. Alford, S. Mahmoodifar, K. Schwenzer,  
JPG 37, 125202 (2010)



## Relevance of bulk viscosity in neutron star mergers?

- perform non-dissipative merger simulation and compute bulk viscosity for all occurring temperatures and densities

E. R. Most *et al.*, MNRAS 509, 1096 (2021)



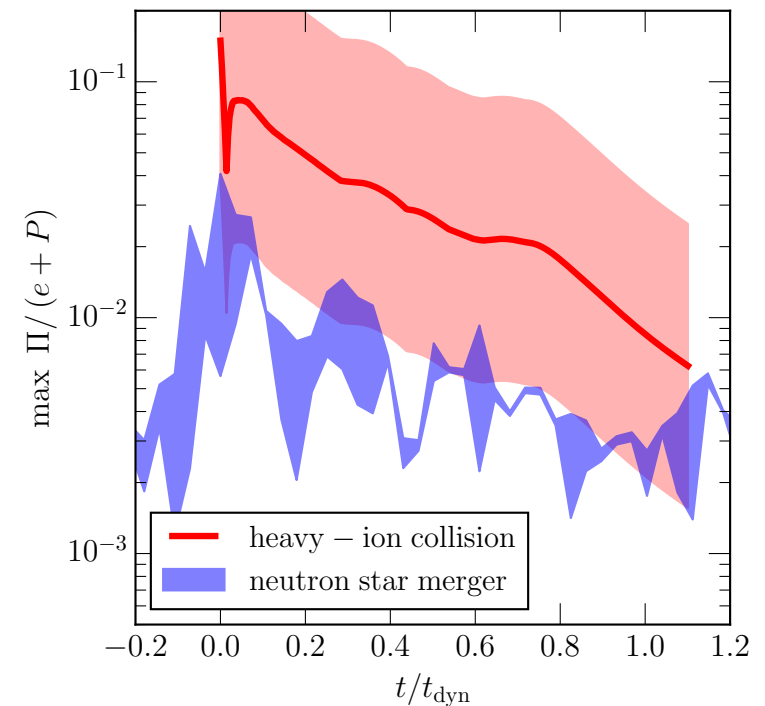
## Relevance of bulk viscosity in neutron star mergers?

- perform non-dissipative merger simulation and compute bulk viscosity for all occurring temperatures and densities

E. R. Most *et al.*, MNRAS 509, 1096 (2021)

Comparison to heavy-ion collisions

$$\Pi = -\zeta \nabla_{\mu} u^{\mu}$$



## Summary: transport/bulk viscosity

- transport properties can discriminate between different phases which are degenerate/similar with respect to thermodynamic properties
- bulk viscosity is a resonance phenomenon
- bulk viscosity in the context of dense matter in neutron stars is dominated by the electroweak interaction (in contrast to heavy-ion collisions)
- bulk viscosity is relevant for instance for the  $r$ -mode instability and possibly in neutron star mergers

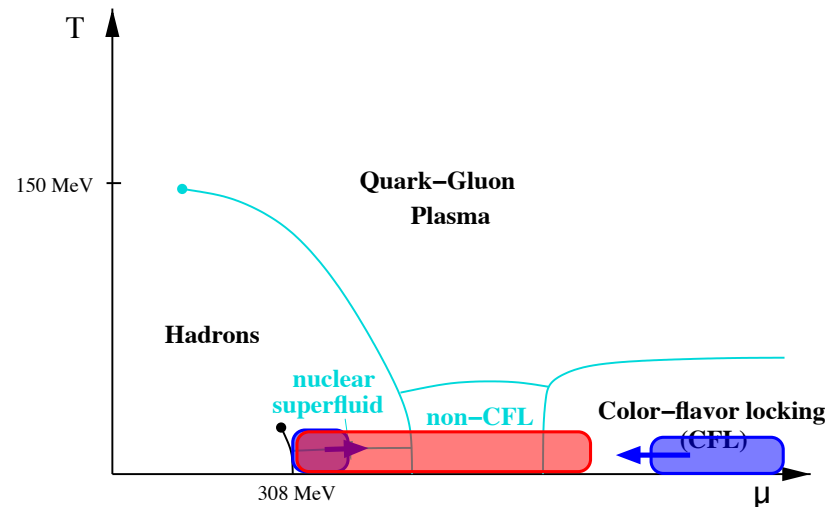
## Conclusion (page 1/3)



- compact stars provide a laboratory for QCD, complementary to heavy-ion collisions ( $\mu \gg T$  vs.  $T \gg \mu$ )
- even though we cannot perform “experiments” with compact stars, astrophysical data provides us with information about the star’s interior
- detection of gravitational waves has opened a new window

## Conclusion (page 2/3)

- we understand matter at the **highest densities (CFL)** and at **densities inside nuclei**, but compact stars live **in between**
- by **relating observables to microscopic properties** we are exploring this “**in-between regime**”
- **thermodynamics** (equation of state) and **transport properties** (bulk/shear viscosity, heat conductivity, neutrino emissivity...) are both needed to connect to data





## Conclusion (page 3/3)

- recent and coming progress through
  - more, and more precise, data (larger survey of stars, radius measurements, gravitational waves, ...)
  - pushing/expanding existing approaches (transport properties of quark/nuclear matter, model calculations, communication between nuclear/particle physicists and astrophysicists, compare/match to heavy-ion collisions ... )
  - novel methods (solutions to the sign problem, gauge-gravity duality, ...)

