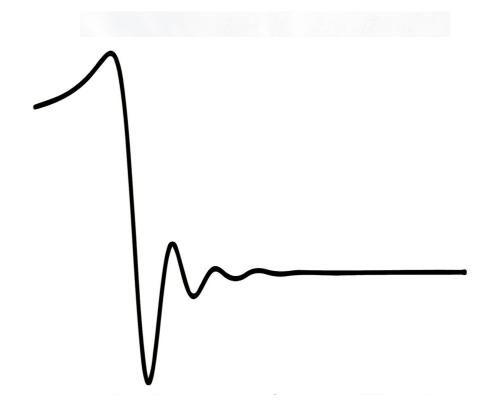
# Extreme Mass Ratio Inspirals as LISA probes of fundamental fields

@ Saclay, 9th Dec

In collaboration with N. Franchini, L. Gualtieri, T. Sotiriou, S. Barsanti, P. Pani

Phys. Rev. Lett. 125, 141101 (2020) gr-qc: 2106.11325



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# EMRI for a reason

**Primary**  $M \sim (10^4 - 10^7) M_{\odot}$ energy ental Secondary such  $q = m_p/M \sim (10^{-6} - 10^{-3})$ parameter *Emit GWs in the mHz, golden targets* **AstroPhysics** undamental evolution physics for LISA Nature of compact objects Rich phenomenology: non equatorial, New fields In the grav eccentric orbits, resonances... Extreme Mass Ratio Inspirals matter

### Very appealing to test fundamental physics

- O Complete  $\sim (10^4 10^5)$  cycles before the plunge: **bless** and **disguise** 
  - Precise space-time map and accurate binary parameters
  - Accurate templates to be compared against data

# Are EMRI sensitive to new fields?

Extra polarizations as generic features of modified theories of gravity

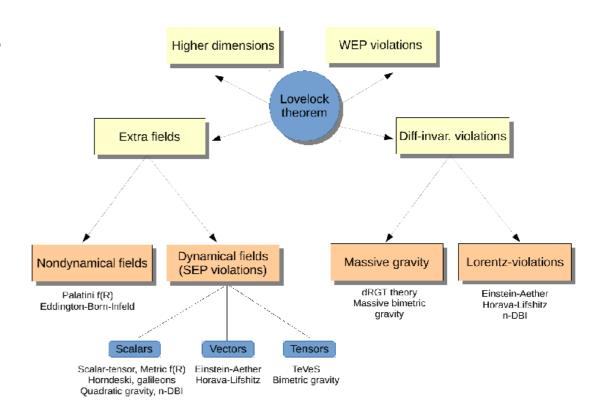
O Tipically, proposed theories feature extra fields or can be reformulated in terms of them

Compact binaries can probe the existence of such new fields

Comparable mass in the inspiral: dipole emission at -1PN Barausse+, PRL 116, 241104 (2016)

Comparable mass in the merger

Okounkova+ PRD 100, 104026 (2019), Witek+, PRD 99, 064035 (2019)



What about very asymmetric binaries like EMRIs?

# Testing gravity with EMRIs

### It may be tempting to answer NOPE

- O In most scalar-tensor theories BHs are protected by no-hair theorems
- For hairy BHs, the scalar field generally couples with high-order curvature terms, aka dimensionful couplings
- Large suppression for massive objects, as deviations ~ M<sup>-n</sup> (n>0), but....
  - Never forget of the little guys!

#### Indeed

- O Scalar fields can leave a significant (detectable) imprint in the GW signal emitted by EMRIs
- For a vast class of theories, the (leading) GR deviations are universal and only controlled by the scalar charge of the little guy

  AM, Franchini, Gualtieri, Sotiriou, PRL 125 (2020)

# The setup

### Motivation: EMRIs beyond GR

- We need real waveforms to compare against data and GR predictions
- Depending on the theory complexity grows fast [very, very fast]

$$S[\mathbf{g}, \varphi, \Psi] = S_0[\mathbf{g}, \varphi] + \alpha S_c[\mathbf{g}, \varphi] + S_m[\mathbf{g}, \varphi, \Psi]$$

$$S_0 = \int d^4x \frac{\sqrt{-g}}{16\pi} \left( R - \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi \right)$$
Non-minimal coupling

O Dimensionful coupling  $[\alpha] = (mass)^n$ 

#### We assume that

- O BH solutions are continuously connected to GR solutions  $\alpha \to 0$
- $\circ$   $S_c$  is analytic in  $\varphi$

# The setup

### Key simplifications for the exterior space-time occur for

- 1) Theories with no-hair theorems
- 2) Theories which evade no-hair but have dimensionful coupling  $\alpha$  with  $n \geq 1$ 
  - O Any correction depend on  $\zeta \equiv \frac{\alpha}{M^n} = q^n \frac{\alpha}{m_{\rm p}^n} \ll 1$   $q = \frac{m_p}{M} \ll 1$

The exterior space-time can be approximated by the Kerr metric

For the secondary, consider the skeletonized approach

Eardley, ApJ (1975) Damour EF, PRD (1992)

$$S_{\rm p} = -\int m(\varphi)ds = -\int m(\varphi)\sqrt{g_{\mu\nu}\frac{dy_{\rm p}^{\mu}}{d\lambda}\frac{dy_{\rm p}^{\nu}}{d\lambda}}d\lambda$$

- O Extended body treated as point particle
- $m(\varphi)$  scalar function

# The setup



The orbital motion can be studied with perturbation theory in  $q \ll 1$ 

- O GR modifications affect the motion of the particle but not the background
- O The scalar field is a perturbation of a constant value  $\varphi = \varphi_0 + \varphi_1$

# The field's equations

In our units  $[S_0] = (mass)^2$   $[S_c] = (mass)^{2-n}$   $S_c \sim M^{-n}S_0$   $(\zeta \sim q^n)$ 

$$G_{\mu\nu} = T_{\mu\nu}^{\rm scal} + \alpha T_{\mu\nu}^c + T_{\mu\nu}^{\rm p}$$
 
$$G_{\mu\nu} = \frac{1}{2} \partial_{\mu} \varphi \partial_{\nu} \varphi + \frac{1}{4} g_{\mu\nu} (\partial \varphi)^2 + -\alpha \frac{16\pi}{\sqrt{-g}} \frac{\delta S_c}{\delta g^{\mu\nu}} + \int m(\varphi) \frac{\delta^{(4)}(x - y_p(\lambda))}{\sqrt{-g}} \frac{dy_p^{\alpha}}{d\lambda} \frac{dy_p^{\beta}}{d\lambda} d\lambda$$
 quadratic in  $\varphi$  
$$\alpha T_{\mu\nu}^c \sim \zeta^2 G_{\mu\nu} \sim q^{2n} G_{\mu\nu}$$

$$\Box \varphi + \frac{8\pi\alpha}{\sqrt{-g}} \frac{\delta S_c}{\delta \varphi} = 16\pi \int m'(\varphi) \frac{\delta^{(4)}(x - y_p(\lambda))}{\sqrt{-g}} d\lambda$$

$$\alpha \frac{\delta S_c}{\delta \varphi} \sim \zeta \Box \varphi \ll \Box \varphi \qquad m(\varphi), m'(\varphi) \text{ evaluated at the value of the solution of$$

exterior scalar field

### Almost as in GR

From the scalar field equation inside the world tube, but far way to be weak field. In the body's frame

$$arphi=arphi_0+rac{m_{
m p}\,d}{ ilde{r}}+O\left(rac{m_{
m p}^2}{ ilde{r}^2}
ight)$$
 scalar charge

O Matching with the scalar field equation outside the world tube

$$m(\varphi_0) = m_p$$
 
$$\frac{m'(\varphi_0)}{m_p} = -\frac{d}{4}$$

Change in the EMRI dynamics universally captured by the scalar charge

$$G_{\mu\nu} = T_{\mu\nu}^{\rm p} = 8\pi m_{\rm p} \int \frac{\delta^{(4)}(x - y_p(\lambda))}{\sqrt{-g}} \frac{dy_p^{\alpha}}{d\lambda} \frac{dy_p^{\beta}}{d\lambda} d\lambda$$
$$\Box \varphi = -4\pi d m_{\rm p} \int \frac{\delta^{(4)}(x - y_p(\lambda))}{\sqrt{-g}} d\lambda$$

$$g$$
rav-sector $g_{lphaeta}=g_{lphaeta}^0+h_{lphaeta}$ scal-sector $arphi=arphi_0+arphi_1$ 

# The wave equation(s)

For Schwarzschild, 3 master equations for 3 perturbations

$$e^{-\lambda} = 1 - 2M/r$$
$$\Lambda = \ell(\ell+1)/2 - 1$$

$$\left[\frac{d^2 R_{\ell m}}{dr_{\star}^2} + \left[\omega^2 - e^{-\lambda} \left(\frac{\ell(\ell+1)}{r^2} - \frac{6M}{r^3}\right)\right] R_{\ell m} = J_{\text{ax}}\right]$$

GR

**R**egge-Wheeler

$$\frac{d^2 Z_{\ell m}}{dr_{\star}^2} + \left[\omega^2 - \frac{18M^3 + 18M^2 r\Lambda + 6Mr^2\Lambda^2 + 2r^3\Lambda^2(1+\Lambda)}{r^3(3M+r\Lambda)}\right] Z_{\ell m} = J_{\text{pol}}$$

$$\frac{d^2\delta\varphi_{\ell m}}{dr_{\star}^2} + \left[\omega^2 - e^{-\lambda}\left(\frac{\ell(\ell+1)}{r^2} + \frac{2M}{r^3}\right)\right]\delta\varphi_{\ell m} = J_{\varphi}$$

Scalar field

#### For circular equatorial orbits

Overall scale

$$J_{\varphi} = -d m_{\rm p} \frac{4\pi P_{\ell m}(\frac{\pi}{2})}{r^{3/2}e^{\lambda}} \sqrt{r-3M} \delta(r-r_{\rm p}) \delta(\omega-m\omega_{\rm p})$$
 Overall scale sets by the charge

# The GW energy flux

The full solutions at infinity/horizon are needed to compute the emitted gravitational wave fluxes

$$\dot{E}_{\text{grav}}^{\pm} = \frac{1}{64\pi} \sum_{\ell=2}^{\infty} \sum_{m=-\ell}^{\ell} \frac{(\ell+2)!}{(\ell-2)!} (\omega^2 |Z_{\ell m}^{\pm}|^2 + 4|R_{\ell m}^{\pm}|^2) \qquad \dot{E}_{\text{scal}}^{\pm} = \frac{1}{32\pi} \sum_{\ell=1}^{\infty} \sum_{m=-\ell}^{\ell} \omega^2 |\delta\varphi_{\ell m}^{\pm}|^2$$

O The total contribution

$$\dot{E} = \dot{E}_{grav}^{+} + \dot{E}_{grav}^{-} + \dot{E}_{scal}^{+} + \dot{E}_{scal}^{-} = \dot{E}_{GR} + \delta \dot{E}_{d}$$

- O The binary accelerates due to the extra leakage of energy given by the scalar field channel
- $\bullet$   $\delta \dot{E}_d$  enters at the **same** order in **q** as the GR leading dissipative contribution

# How much dephasing?

Once we have the total flux emitted by the binary we can determine its adiabatic evolution

**O** *For the orbital phase* 

$$\frac{dr}{dt} = -\dot{E}\frac{dr}{dE_{\rm orb}} , \quad \frac{d\Phi}{dt} = \omega_p = \pm \frac{M^{1/2}}{r^{3/2} \pm \chi M^{3/2}}$$

**O** *The total phase can be written as* 

$$\Phi_d(t) \sim \Phi_{\rm GR}(t) + \delta \Phi_d(t)$$

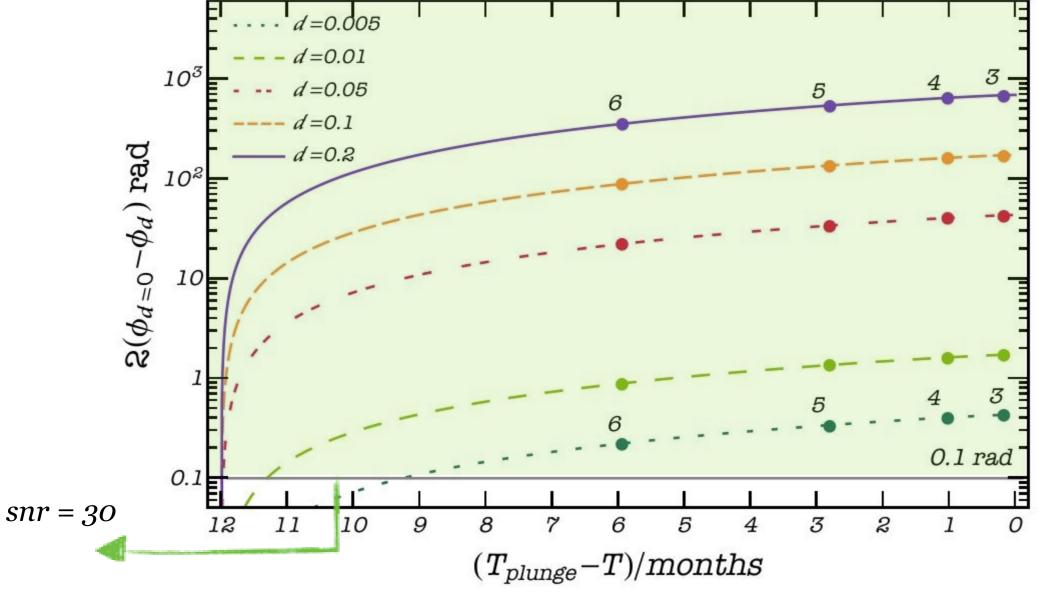
- O Both contributions are of the same order O(1/q)
- The term  $q\delta\Phi_d(t)$  depends only on the scalar charge

A first assessment of the charge impact is given by studying the **dephasing** induced on the orbital phase

$$\Phi_{d=0}(t) - \Phi_d(t)$$

# How much dephasing?

Difference between GR - GRd phase evolution during the inspiral (12 months the plunge)  $(M, m_p) = (10^6, 10) M_{\odot} \quad \chi = 0.9$ 



gr-qc: 2106.11325

O Potentially able to observe changes induced by scalar charges  $d \sim 0.005$ 

# The waveform

### The recipe to generate EMRI waveforms

- **O** Compute the total energy flux emitted by the binary  $\dot{E} = \dot{E}_{\rm GR} + \delta \dot{E}_d$
- O The flux drives the binary orbital evolution

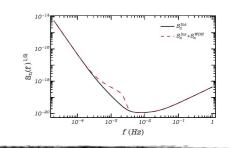
$$\frac{dr(t)}{dt} = -\dot{E}\frac{dr}{dE_{\rm orb}} \quad , \quad \frac{d\Phi(t)}{dt} = \frac{M^{\frac{1}{2}}}{r_p^{3/2}}$$

- **O** Build the GW polarizations  $h_+[r(t), \Phi(t)]$  ,  $h_{\times}[r(t), \Phi(t)]$
- O Given the source localization, construct the strain  $h(t) = \frac{\sqrt{3}}{2} [h_+ F_+(\theta, \phi, \psi) + h_\times F_\times(\theta, \phi, \psi)]$

Everything as in GR but  $\delta \dot{E}_d$ , that only depends on the scalar charge

• (rather) Universal family of waveforms to be tested against GR

## Detectability in nuce



Quadrupole approximation for h(t) [for laziness]

$$h_{ij}^{\text{TT}} = \frac{2}{D} (P_{il} P_{jm} - \frac{1}{2} P_{ij} P_{lm}) \ddot{I}_{lm}$$

$$h_{ij}^{\text{TT}} = \frac{2}{D} (P_{il} P_{jm} - \frac{1}{2} P_{ij} P_{lm}) \ddot{I}_{lm} \qquad I_{ij} = \int d^3 x T^{tt}(t, x^i) x^i x^j = m_p x^i x^j$$

Scalar product for waveforms 
$$\langle h_1|h_2\rangle = 4\Re \int_{f_{\min}}^{f_{\max}} \frac{\tilde{h}_1(f)\tilde{h}_2^{\star}(f)}{S_n(f)} df$$

The faithfulness 
$$\mathcal{F}[h_1,h_2] = \max_{\{t_c,\phi_c\}} \frac{\langle h_1|h_2\rangle}{\sqrt{\langle h_1|h_1\rangle\langle h_2|h_2\rangle}}$$

1 perfect match

o what a sh.. template

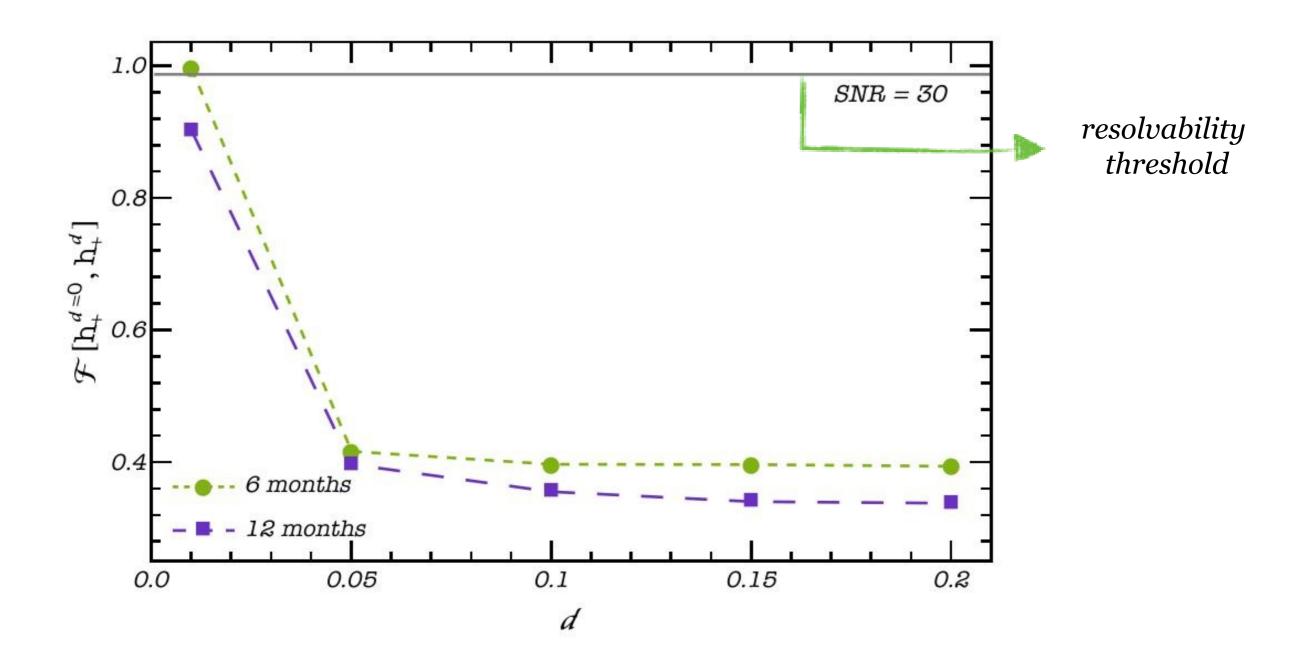
parameters (M,...,d)

For large Signal-to-Noise Ratio, the parameters probability  $p(\vec{x}|s) \propto e^{-\frac{1}{2}\Gamma_{ab}\Delta x^a\Delta x^b}$ 

$$\Gamma_{ab} = 4\Re \int \frac{\partial \tilde{h}(f)}{\partial x_a} \frac{\partial \tilde{h}^*(f)}{\partial x_b} \frac{df}{S_n(f)}$$

• The parameter errors 
$$\Sigma_{ab} = (\Gamma^{-1})_{ab} \longrightarrow \sigma_a = \sqrt{\Sigma_{aa}}$$

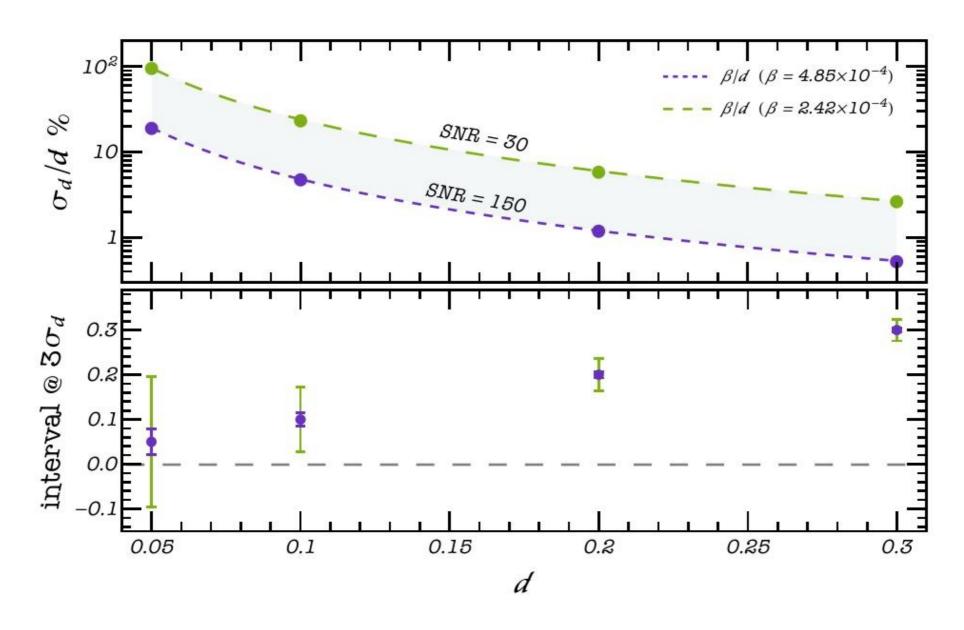
# Overlap & Faithfulness



O Potentially able to observe changes induced by scalar charges  $d \sim 0.005 - 0.01$ 

### Forecast on LISA bounds

Constraints on the scalar charge for prototype EMRIs with SNR = (30,150)



- LISA potentially able to measure **d** with % accuracy and better
- $\circ$  LISA potentially able to constrain **d** ~ 0.05 to be inconsistent with zero @ at 3- $\sigma$

# Tracing back the couplings

A notable example: scalar Gauss-Bonnet (sGB) gravity

Joulie & Berti, PRD 100 (2019)

$$\alpha S_c = \frac{\alpha}{4} \int d^4x \frac{\sqrt{-g}}{16\pi} f(\varphi) \mathcal{G}$$

- O n=2,  $[\alpha]=mass^2$   $\zeta\equiv\frac{\alpha}{M^2}=q^2\frac{\alpha}{m_2^n}$
- **O**  $f(\varphi)$  generic function of the scalar field
- **O**  $\mathcal{G} = R^2 4R_{\mu\nu}R^{\mu\nu} + R_{\alpha\beta\gamma\delta}R^{\alpha\beta\gamma\delta}$  Gauss Bonnet invariant
- O The scalar charge is proportional to the dimensionless coupling constant  $\beta = \frac{\alpha}{m_p^2}$

$$f(\varphi) = e^{\varphi}$$
 
$$f(\varphi) = \varphi$$
 (shift-symmetric)

$$d = 2\beta + \frac{73}{30}\beta^2 + \frac{15577}{2520}\beta^3$$

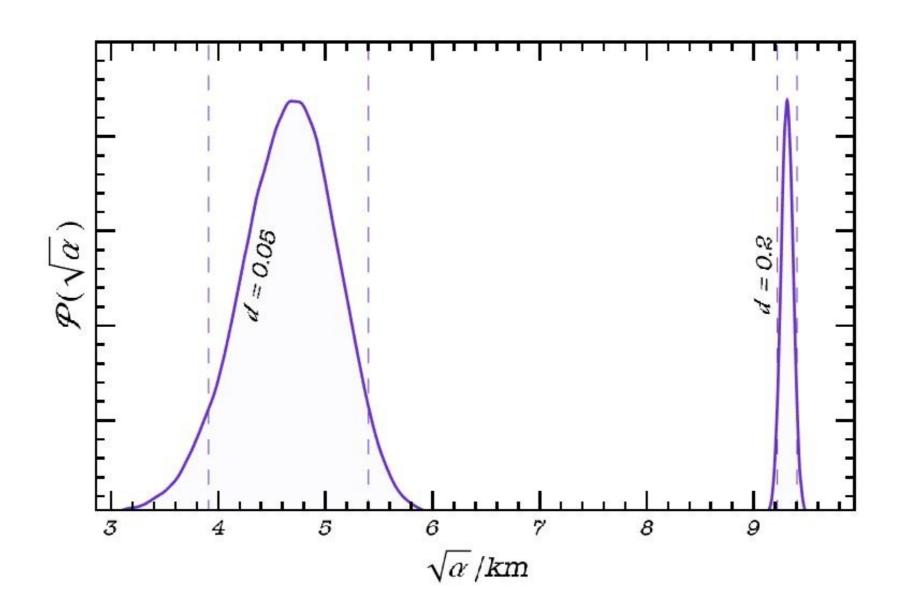
$$d = 2\beta + \frac{73}{60}\beta^3$$

For hairy BHs bounds on **d** can be mapped to bounds on **couplings** 

# Forecast on LISA bounds: couplings

*Map constraints on the charge to constraints on the coupling*  $d(\beta) \leftrightarrow \beta(d)$ 

**O** Shift symmetric sGB  $f(\varphi) = \varphi$ 



### Summary

EMRIs are golden binaries to test fundamental physics/astrophysics

For a vast class of theories, waweform changes are driven by the BH scalar charge only

- **O** Universal behavior in terms of d . Ready-to-use waveforms
- O Constraints on the scalar charge can be traced back to the theory's fundamental couplings
- O Easy to extend to vector modes, multiple couplings (with different dimensions) and fields

#### But

- O Valid at leading order. What about SF? and massive fields? (Susanna Barsanti)
- O Correlation with astrophysical effects
- O More sophisticate analysis with MCMC, FEW (Speri, Franchini underway)

# Back up

### The perturbation scheme

EMRI small mass ratio naturally leads to use relativistic perturbation theory to describe their evolution

 Consider linear perturbations of a Schwarzschild background induced by the small body

$$g$$
rav-sector scal-sector  $g_{lphaeta}=g_{lphaeta}^0+h_{lphaeta} \qquad arphi=arphi_0+arphi_1$ 

- O Decompose  $h_{\alpha\beta}$  and  $\varphi_1$  in tensor and scalar spherical harmonics
  - For the scalar field

$$\varphi_1(t, r, \theta, \phi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \frac{\delta \varphi_{\ell m}(t, r)}{r} Y_{\ell m}(\theta, \phi)$$

**O** Go to the Fourier space, replace into the field's equation and solve for  $\,\delta arphi_{\ell m}$ 

### The perturbation scheme

For the gravitational sector

$$h_{\alpha\beta} = h_{\alpha\beta}^{\text{pol}} + h_{\alpha\beta}^{\text{ax}}$$

$$(-1)^{\ell} \longleftarrow (-1)^{\ell+1}$$

$$\mathbf{h} = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \overline{\mathcal{A}_{\ell m}^{(0)}} \mathbf{a}_{\ell m}^{(0)} + \mathcal{A}_{\ell m}^{(1)} \mathbf{a}_{\ell m}^{(1)} + \mathcal{A}_{\ell m} \mathbf{a}_{\ell m} + \mathcal{B}_{\ell m}^{(0)} \mathbf{b}_{\ell m}^{(0)} + \mathcal{B}_{\ell m} \mathbf{b}_{\ell m} + \mathcal{Q}_{\ell m}^{(0)} \mathbf{c}_{\ell m}^{(0)} + \mathcal{Q}_{\ell m} \mathbf{c}_{\ell m}$$

$$+ \mathcal{D}_{\ell m} \mathbf{d}_{\ell m} + \mathcal{G}_{\ell m} \mathbf{g}_{\ell m} + \mathcal{F}_{\ell m} \mathbf{f}_{\ell m}$$

$$\mathbf{b}_{\ell m} = \frac{n_{\ell} r}{\sqrt{2}} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & Y_{\ell m}^{\ell m} & Y_{\ell m}^{\ell m} \\ 0 & Y_{\ell m}^{\ell m} & 0 & 0 \\ 0 & Y_{\ell m}^{\ell m} & 0 & 0 \end{pmatrix}$$

- O 7 polar components + 3 axial harmonics
  - O For a spherically symmetric background the 2 families decouple
- O Go to the Fourier space, and solve for each sector independently
- In the Regge-Wheeler-Zerilli gauge the components reduce to 1 axial and 1 polar functions

# What about the spin?

Field equations remain unchanged, but perturbations are on top of a Kerr background with spin **a** 

*Barsanti*, + *in preparation* 

- $\textbf{O} \quad \textit{Decomposition in spheroidal harmonics} \quad \varphi_1(t,r,\theta,\phi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \tilde{R}_{\ell m}(t,r) S_{\ell m}(\theta) e^{im\phi}$ 
  - Angular equation

$$\frac{1}{\sin\theta} \frac{d}{d\theta} \left( \sin\theta \frac{dS_{\ell m}}{d\theta} \right) + \left( a^2 \omega^2 \cos^2\theta - \frac{m^2}{\sin^2\theta} + \lambda \right) S_{\ell m} = 0$$

Radial equation

$$\delta\varphi_{\ell m} = \sqrt{r^2 + a^2} \tilde{R}_{\ell m} \qquad \frac{d^2 \delta\varphi_{\ell m}}{dr_{\star}^2} + \left[\omega^2 - V(\omega)\right] \delta\varphi_{\ell m} = J_{\varphi}$$

$$V(\omega) = \frac{4Mrma\omega - a^2m^2 + \Delta(\lambda + \omega^2 a^2)}{(r^2 + a^2)^2} + \frac{\Delta(3r^2 - 4Mr + a^2)}{(r^2 + a^2)^3} - \frac{3\Delta^2 r^2}{(r^2 + a^2)^4}$$

O Decomposition in spheroidal harmonics  $J_{\varphi} \propto \delta(r-r_p)\delta(\omega-m\omega_p)$   $\omega_p = \frac{M^{1/2}}{r^{3/2}+aM^{1/2}}$ 

# The recipe for the solution

Solutions for a scattering problem for  $\psi_{\ell m} = (R_{\ell m}, Z_{\ell m}, \delta \varphi_{\ell m})$ 

$$\frac{d^2\psi_{\ell m}}{dr_{\star}^2} + \left[\omega^2 - V\right]\psi_{\ell m} = S$$

O Solve for the homogeneous part with suitably boundary conditions



O Integrate over the source term for the full solution

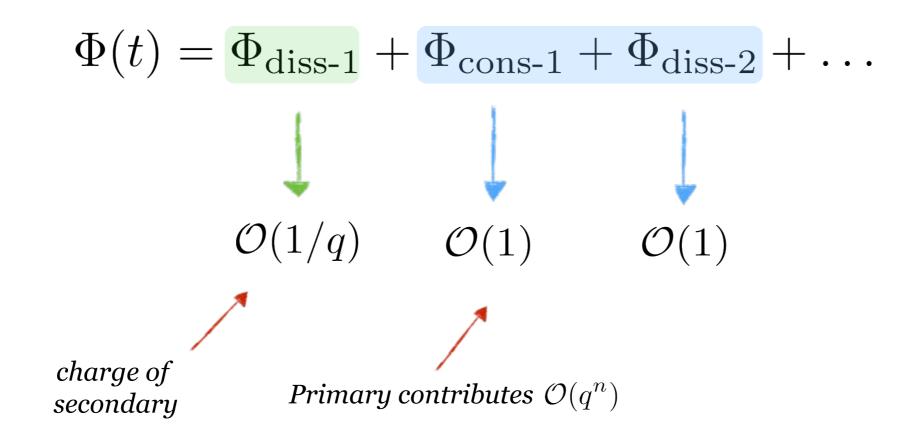
$$\psi_{\ell m}^{\pm} \equiv \lim_{r_{\star} \to \pm \infty} \psi_{\ell m}(r_{*}) = e^{\pm i\omega r_{\star}} \int_{-\infty}^{\infty} \frac{\psi_{\ell m}^{(+)} J}{W} dr_{\star}$$

(Wronskian  $W = \psi_{\ell m}^{'(+)} \psi_{\ell m}^{(-)} - \psi_{\ell m}^{'(-)} \psi_{\ell m}^{(+)}$ )

ullet For circular orbits the integral greatly simplifies because of  $\delta(r-r_p)$ 

# Some power counting

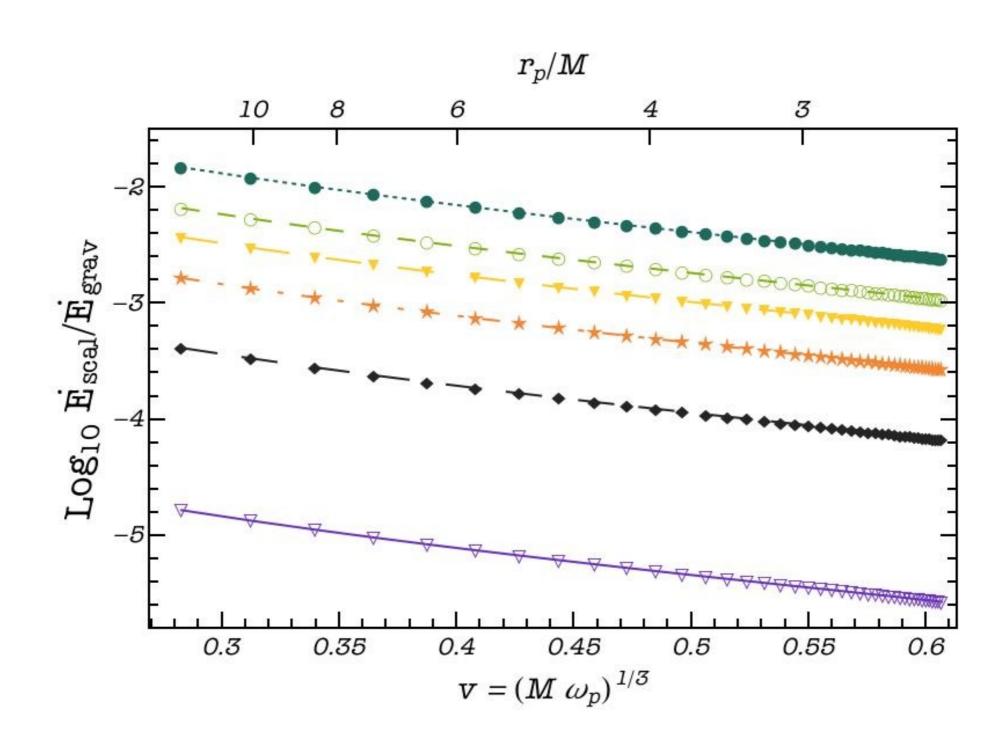
The system phase evolution



- O Sort of re-shaping of the LISA-testing-background-spacetime-idea
- O All of this generalizes immediately for spinning BH —— Kerr as a background

# The GW flux (Kerr background)

Scalar-to-tensor flux as v.s. orbital velocity for a spinning primary  $\chi = 0.9$ 



# The waveform

### Pattern functions depend on time as LISA orbits around the Sun

$$F_{+} = \frac{1 + \cos^{2}\theta(t)}{2}\cos 2\phi(t)\cos 2\psi(t) - \cos\theta(t)\sin 2\phi(t)\sin 2\psi(t)$$
$$F_{\times} = \frac{1 + \cos^{2}\theta(t)}{2}\cos 2\phi(t)\sin \psi(t) + \cos\theta(t)\sin 2\phi(t)\cos 2\psi(t)$$

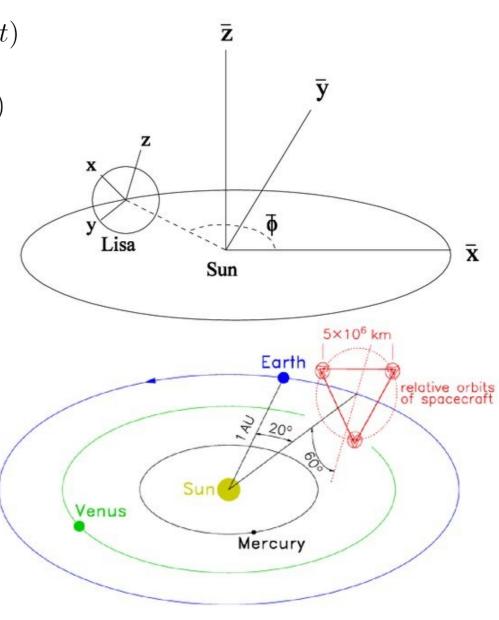
# O Express all the angles in a Solar system fixed reference frame

$$\cos \theta(t) = \frac{1}{2} \cos \theta_{s} - \frac{\sqrt{3}}{2} \sin \theta_{s} \cos[\phi_{t} - \phi_{s}]$$

$$\phi(t) = \alpha_{0} + \phi_{t} + \tan^{-1} \left[ \frac{\sqrt{3} \cos \theta_{s} + \sin \theta_{s} \cos[\phi_{t} - \phi_{s}]}{2 \sin \theta_{s} \sin[\phi_{t} - \phi_{s}]} \right]$$

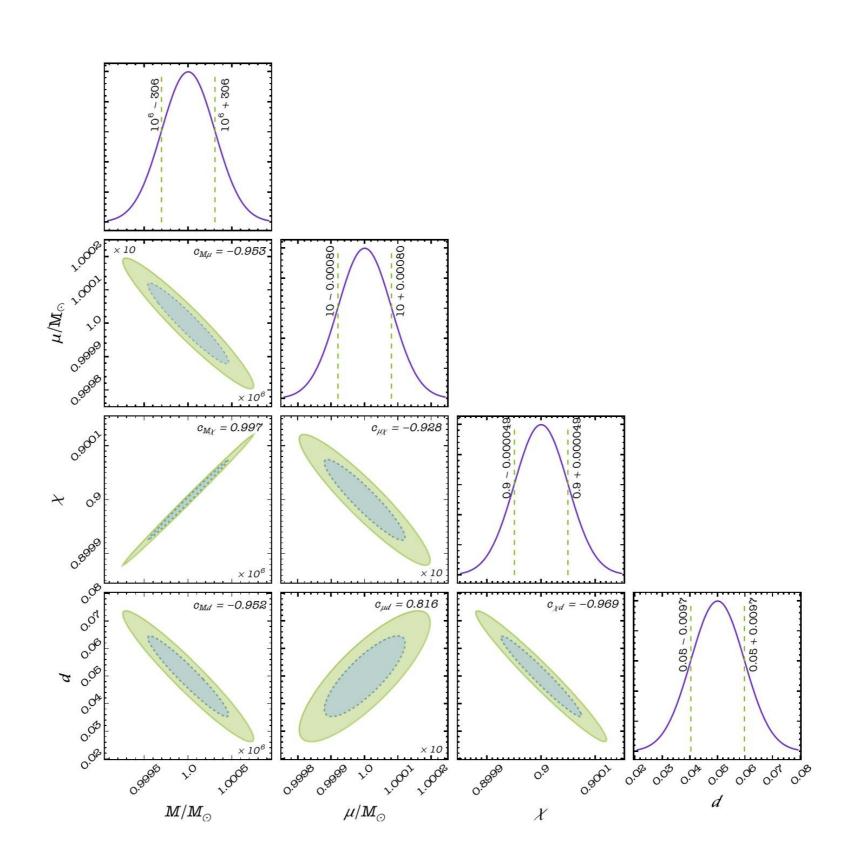
#### O Add Doppler shift

$$\Phi \to \Phi + 2\pi R_{\odot} \sin \theta_s \cos \left(\frac{2\pi t}{T} - \phi_s\right)$$



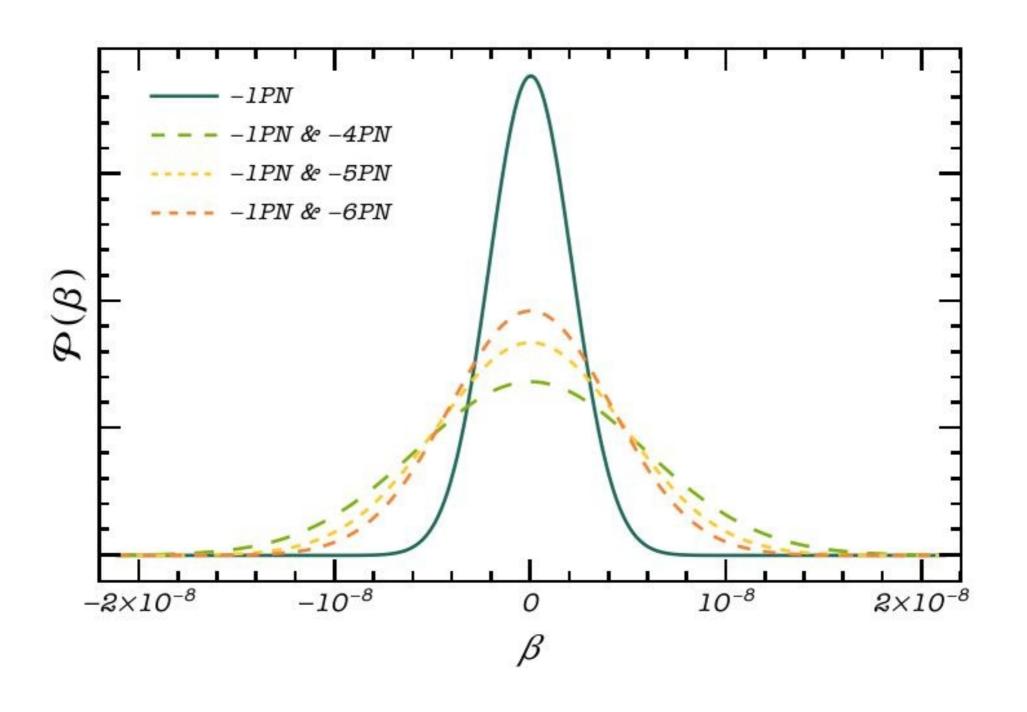
Berti +, PRD 71 (1995)

### Correlation with the environment



### Correlation with the environment

What about multiple competing effects?  $h = Ae^{i\psi_{\text{GW}}}$   $\psi_{\text{GW}} = \psi_{\text{BH}} + \beta (M\pi f)^b$ 



# The rotating dephasing

#### *Barsanti*, + *in preparation*

