

# Variations on the phases of $\mathcal{N} = 2$

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*Ignatios Antoniadis Day*

*Planck 2022, Ecole Polytechnique, Paris, June 3rd., 2022*



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FOR FUNDAMENTAL PHYSICS

# Ignatios, my timeline

- 1984, fall, in Stanford (?) (after Green-Schwarz, before the heterotic string ...)
- 1990–1991, IA: large extra dimensions in strings ... (long before the 1998 papers ...)
- 1991: threshold corrections from superstrings  
effective supergravity by Ferrara, Kounnas, Zwirner, JPD  
confirmed in string theory by IA, Gava and Narain  
*A great PASCOS conference at Northeastern, Boston, 1991*
- 1995: The APT (Partouche, Taylor) model 1995  
IA: talk at CERN
- 1999: work with IA and Kounnas  
Temperature instabilities in  $\mathcal{N} = 4$  strings

# Ignatios, my timeline

- Starting  $\sim 2006$ : a series of works and papers on  $\mathcal{N} = 2$  global and local.  
With Petropoulos, Siampos, Tartaglino-Mazzuchelli, Farakos, Jiang, Maillard, Ambrosetti, Tziveloglou, Jacot, Markou
- *And, until 2020: six years at the Albert Einstein Center, University of Bern, where some of the  $\mathcal{N} = 2$  researches have been performed.*

*Over all these years, plenty of funny, interesting, intelligent hours with IA and friends (many from Greece)*

*This could well be what attracted me to work on  $\mathcal{N} = 2$ , which is now my subject . . .*

*IA can be very persuasive*

# $\mathcal{N} = 2$ with Ignatios et al.

- Nonlinear  $\mathcal{N} = 2$  supersymmetry, effective actions and moduli stabilization  
(+ Maillard)  
Nonlinear supersymmetry, brane-bulk interactions and super-Higgs without gravity  
(+ Ambrosetti, Tziveloglou)
- The hypermultiplet with Heisenberg isometry in  $\mathcal{N} = 2$  global and local supersymmetry  
(+ Ambrosetti, Tziveloglou)  
Heisenberg symmetry and hypermultiplet manifolds / Isometries, gaugings and  $\mathcal{N} = 2$  supergravity decoupling  
(+ Petropoulos, Siampos)
- Nonlinear  $\mathcal{N} = 2$  global supersymmetry (+ Markou)  
All partial breakings in  $\mathcal{N} = 2$  supergravity with a single hypermultiplet  
(+ Petropoulos, Siampos)  
Magnetic deformation of super-Maxwell theory in supergravity  
(+ Jiang, Tartaglino-Mazzucchelli)
- $\mathcal{N} = 2$  supersymmetry breaking at two different scales ( + Jacot)
- New Fayet-Iliopoulos terms in  $\mathcal{N} = 2$  supergravity  
(+ Farakos, Tartaglino-Mazzucchelli)

# $\mathcal{N} = 2$ : phases

Global supersymmetry:

$\mathcal{N} = 2$  (unbroken)

- $\mathcal{N} = 0$ , single scale (FI terms, Maxwell, ...).
- $\mathcal{N} = 1$ , **partial breaking**, Maxwell, APT model.
- $\mathcal{N} = 1$ , hypermultiplet dual to single-tensor theory, ADM model.
- $\mathcal{N} = 1$ , hypermultiplet and *two* Maxwell. (Partouche, Pioline)
- $\mathcal{N} = 0$ , two scales.

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Supergravity:

$\mathcal{N} = 2$ , supergravity, Minkowski or Anti-de Sitter

- $\mathcal{N} = 0$ , single scale (dS and .... ?).
- $\mathcal{N} = 1$ , **partial breaking** to **Minkowski**:  
Minimal: one Maxwell, one hypermultiplet (FGP model) **unique !**  
Non-minimal: in principle possible, examples ?
- $\mathcal{N} = 1$ , **partial breaking** to **AdS**: possible in general (one less condition).
- $\mathcal{N} = 0$ : two (parametric) scales in Minkowski, FGP model.

# Partial breaking

*Partial breaking of susy had a poor start:*

Two no-go claims, for global and local supersymmetry

- **Global:** simply wrong. Superalgebra based, ill-defined Noether (super)charges, an irrelevant notion of vacuum energy (classical and quantum mechanics, QFT, only know about energy *differences*)

Disproved at the current algebra level (before  $\int d^3x$  to charges)  
(Polchinski + Hugues, Liu)

- **Local:** the no-go claim is correct (Cecotti, Girardello, Porrati, 84-86)  
But too strong restrictions (use of e.-m. duality not fully understood in 86)

Few really cared, phenomenology likes chiral fermion representations and then  $\mathcal{N} = 1$  or 0.

But string compactifications / branes / fluxes care.

# Breaking susy: spontaneous ?

- Gauge theories: **spontaneous**  $\iff$  **vacuum degeneracy**  
Induced by the ground state: **scalar vev's** (orbit of  $\langle \phi_G \rangle$ )

$$\delta \phi_G = C\alpha + \text{linear} \quad \text{connects equivalent vacua, } \phi_G \text{ massless}$$

The *scalar potential* is gauge invariant.

- Supersymmetry: the *action* is invariant.
- Then for susy: one or several goldstinos in the ground state:

$$\delta \psi_G = C\epsilon + \text{linear} \quad (\psi_G \text{ massless} \quad C\epsilon: \text{fermion shift})$$

Nonlinear realizations

obtained by **deformations** of the linear theory (invariant dynamics,  
**same degrees of freedom** (for off-shell representations))

Or with **less components** (constrained multiplets):

Volkov-Akulov, DBI, ...

# Partial breaking of $\mathcal{N} = 2$ supergravity

- The FGP model: (Ferrara, Girardello, Porrati, 1996)  
Field content: supergravity, a Maxwell multiplet, a single hypermultiplet on  $SO(4, 1)/SO(4)$ . Minkowski ground state with  $\mathcal{N} = 1$ .
- No other example worked out with one hypermultiplet.
- *Seems* relatively common with several hypermultiplets, but explicit examples hard to find (any published example ?)  
(Needed: quaternion-Kähler metrics in  $4n_H \geq 8$  dimensions ??)  
(Louis, Smyth, Triendl, 2009-2010)
- We decided to explicitly find and to classify all  $\mathcal{N} = 2$  supergravity theories with a single hypermultiplet admitting vacua with  $\mathcal{N} = 1$  supersymmetry in Minkowski space-time ...
- ... we found that the FGP model is indeed unique  
(Antoniadis, JPD, Petropoulos, Siampos, 2018)



# Partial breaking, supergravity with one hypermultiplet

- Hypermultiplets of supergravity live on quaternion-Kähler spaces ( $4n_H$  real dimensions). (Bagger, Witten)
- $n_H = 1$ : Weyl self-dual. Generic metrics are known with
  - one isometry (Przanowski-Tod, PT) or
  - two commuting isometries (Calderbank-Pedersen, CP).Depend on solutions of differential equations, Toda for PT.
- $n_H > 1$ : explicit metrics missing.
- Whenever CP coordinates exist, partial breaking is impossible.
- The  $SO(4, 1)/SO(4)$  FGP model with commuting translation symmetries has PT coordinates but does not admit CP coordinates. There is no other case: the FGP model is unique, with one hypermultiplet.
- In contradiction with the published claim of CP.

# Calderbank-Pedersen

Coordinates:  $\rho, \eta, \psi, \phi$ , two commuting shift isometries  $\delta\psi = c, \delta\phi = d$ .

For  $F(\rho, \eta)$  such that  $\frac{\partial^2 F}{\partial \rho^2} + \frac{\partial^2 F}{\partial \eta^2} = \frac{3F}{4\rho^2}$  quaternion-Kähler metric:

$$ds^2 = \frac{4\rho^2(F_\rho^2 + F_\eta^2) - F^2}{4F^2} d\ell^2 + \frac{((F - 2\rho F_\rho)\alpha - 2\rho F_\eta\beta)^2 + ((F + 2\rho F_\rho)\beta - 2\rho F_\eta\alpha)^2}{F^2(4\rho^2(F_\rho^2 + F_\eta^2) - F^2)}$$

$$\alpha = \sqrt{\rho} d\phi \quad \beta = (d\psi + \eta d\phi)/\sqrt{\rho} \quad d\ell^2 = \rho^{-2}(d\rho^2 + d\eta^2)$$

Calderbank-Pedersen: [arXiv:math/0105253](https://arxiv.org/abs/math/0105253)

*"Any selfdual Einstein metric of nonzero scalar curvature with two linearly independent commuting Killing fields arises locally in this way (i.e., in a neighbourhood of any point, it is of the form (1.1) up to a constant multiple)."*

# Global $\mathcal{N} = 2$ , partial breaking, single-tensor multiplet

*The APT model:*

(Antoniadis, Partouche, Taylor, 1996)

- A class of  $\mathcal{N} = 2$  theories broken into  $\mathcal{N} = 1$  with a single **Maxwell** multiplet in global susy. Depends on a holomorphic function  $F(\mathbf{X})$ , non canonical  $F_{\mathbf{X}\mathbf{X}\mathbf{X}} \neq 0$ . Goldstino partner of the massless photon field. A chiral multiplet with mass  $\sim \langle F_{\mathbf{X}\mathbf{X}\mathbf{X}} \rangle$   
(APT model: [Antoniadis, Partouche, Taylor, 1996](#))

*The ADM model:*

(Antoniadis, JPD, Markou, 2017!)

- A class of  $\mathcal{N} = 2$  theories broken into  $\mathcal{N} = 1$  with a single **single-tensor** multiplet (dual to a **hypermultiplet**) in global susy. Depends on a holomorphic function  $W(\Phi)$ , non canonical  $W_{\Phi\Phi} \neq 0$ . Goldstino partner of the  $B_{\mu\nu}$  gauge field. A chiral multiplet with mass  $\sim \langle W_{\Phi\Phi} \rangle$   
(ADM model: [Antoniadis, JPD, Markou, 2017 !](#))

# ADM model

Use a single-tensor  $\mathcal{N} = 2$  multiplet

- Hypermultiplet with a (translational) isometry
    - $\Rightarrow$  dualize the axionic scalar to  $B_{\mu\nu}$
    - $\Rightarrow$  **single-tensor multiplet** with  $B_{\mu\nu}$  (gauge field,  $1_B$  on-shell)
  - An **off-shell representation**,  $8_B + 8_F$   
(bosons:  $B_{\mu\nu}$ , a real  $SU(2)$  triplet of propagating scalars, a complex auxiliary scalar)
  - $\mathcal{N} = 1$  superfields:  $L$  (real linear) or  $\bar{D}_{\dot{\alpha}}L$  (chiral spinor),  $\Phi$  (chiral)
  - Compare with Maxwell  $\mathcal{N} = 1$  superfields:  $W_{\alpha}$  and  $X$ .
- 
- $16_B + 16_F$  version:  $\mathcal{N} = 1$  superfields  $\chi_{\alpha}$ ,  $\Phi$  and  $Y$ :  
 $\chi_{\alpha}$  as prepotential of  $L = D\chi - \bar{D}\bar{\chi}$
  - Compare with Maxwell  $W_{\alpha} = -\frac{1}{4}\bar{D}\bar{D}D_{\alpha}V_2$  and  $X = \frac{1}{2}\bar{D}\bar{D}V_1$ .

# Single-tensor partial breaking

Analogy with Maxwell (and APT):

(Note change of chirality)

$$\begin{array}{llll} \bar{D}_{\dot{\alpha}} L & \iff & W_{\alpha} & \Phi \iff X \quad \text{chiral} \\ W(\Phi) & \iff & F_X(X) & F(X) \text{ prepotential} \\ \widetilde{M}^2 W & \iff & M^2 F_X & \text{magnetic prepotential / FI term} \end{array}$$

In both cases, the deformation parameter  $\widetilde{M}^2$  or  $M^2$  **CANNOT** be produced by the shift of an auxiliary field: this would destroy the partial breaking. An intrinsic deformation of the linear representation.

*Not a "pure" spontaneous breaking induced by scalar vev's. It is induced by the deformation.*

(Scalars actually used to **protect the unbroken susy**)

# ADM lagrangian

$$\begin{aligned}
 \mathcal{L} &= \int d^2\theta \left[ \frac{i}{2} W_\Phi (\overline{D}L)(\overline{D}L) - \frac{i}{4} W \overline{D}\overline{D}\overline{\Phi} + \widetilde{m}^2 \Phi + \widetilde{M}^2 W \right] + \text{h.c.} \\
 &= i \int d^2\theta d^2\bar{\theta} \left[ -L^2 (W_\Phi - \overline{W}_{\overline{\Phi}}) + \overline{\Phi}W - \Phi\overline{W} \right] \quad \leftarrow \text{Laplace} \\
 &\quad + \int d^2\theta \left[ \widetilde{m}^2 \Phi + \widetilde{M}^2 W \right] + \text{h.c.}
 \end{aligned}$$

The second supersymmetry is deformed:

Goldstino:  $\overline{D}_{\dot{\alpha}}L|_{\theta=0}$

$$\delta^* L = \delta_{nl}^* L - \frac{i}{\sqrt{2}} (\eta D\Phi + \overline{\eta} \overline{D}\overline{\Phi}) \quad \delta_{nl}^* L = \sqrt{2} \widetilde{M}^2 (\overline{\theta}\eta + \theta\eta)$$

$$\delta^* \Phi \quad \text{unchanged} \quad \delta_{nl}^* \overline{D}_{\dot{\alpha}}L = -\sqrt{2} \widetilde{M}^2 \overline{\eta}_{\dot{\alpha}}$$

$L$ : massless ( $B_{\mu\nu}$  gauge field)       $\Phi$ : mass  $\sim \langle W_{\Phi\Phi} \rangle$

$\langle W_{\Phi\Phi} \rangle \rightarrow \infty$ : constrained single-tensor multiplet (Bagger-Galperin), next ...

# DBI – dilaton, the problem

- Classes of superstring compactifications with  $\mathcal{N} = 1$  have a **universal sector** with  $\mathcal{N} = 2$  properties.
- **Bulk** has  $\mathcal{N} = 2$  supersymmetry. Dilaton in the universal **hypermultiplet**, or dual version with tensor(s).
- Gauge fields located on D-branes, with **Dirac-Born-Infeld (DBI) lagrangian coupled to the dilaton (and SUSY partners)**.  
Breaking 1/2 SUSY.
- Expect: **linear  $\mathcal{N} = 1$** , gauge fields with **nonlinear second SUSY**.  
Partial breaking of linear supersymmetry  $\mathcal{N} = 2 \implies \mathcal{N} = 1$   
Goldstino in  $\mathcal{N} = 1$  Maxwell multiplet.
- *How does the dilaton from a hypermultiplet enter Maxwell kinetic terms?*  
“Factorization theorem of (linear)  $\mathcal{N} = 2$ ”:  
*Maxwell fields do not interact with hypermultiplet fields.*

# DBI – dilaton: kinetic lagrangians

$\mathcal{N} = 2$  Maxwell theory:

$$\mathcal{L}_{Max.} = \frac{1}{4} \int d^2\theta \left[ \mathcal{F}''(X) W W - \frac{1}{2} \mathcal{F}'(X) \overline{D D} X \right] + \text{c.c.} + \mathcal{L}_{F.I.}$$

$\mathcal{F}(X)$ : holomorphic prepotential

Canonical:  $F(X) = X^2/2$

Single-tensor kinetic term:

$$\mathcal{L}_{s.-t.} = \int d^2\theta d^2\bar{\theta} \mathcal{H}(L, \Phi, \bar{\Phi}) \quad \left( \frac{\partial^2}{\partial L^2} + 2 \frac{\partial^2}{\partial \Phi \partial \bar{\Phi}} \right) \mathcal{H} = 0$$

(Lindström, Roček, 1983)



# DBI – dilaton: Chern-Simons interaction

$B \wedge F$  interaction:

$$\mathcal{L}_{CS} = -g \int d^2\theta d^2\bar{\theta} \left[ LV_2 + (\Phi + \bar{\Phi})V_1 \right]$$

$$\mathcal{L}_{CS,\chi} = g \int d^2\theta \left[ \chi^\alpha W_\alpha + \frac{1}{2} \Phi X \right] + g \int d^2\bar{\theta} \left[ -\bar{\chi}_{\dot{\alpha}} \bar{W}^{\dot{\alpha}} + \frac{1}{2} \bar{\Phi} \bar{X} \right]$$

$$L = D^\alpha \chi_\alpha - \bar{D}_{\dot{\alpha}} \bar{\chi}^{\dot{\alpha}}, \quad \text{gauge invariance:} \quad \delta \chi_\alpha = -\frac{i}{4} \bar{D} \bar{D} D_\alpha \Lambda$$

$$L = \frac{1}{2} \theta \sigma^\mu \bar{\theta} \epsilon_{\mu\nu\rho\sigma} \partial^{[\nu} b^{\rho\sigma]} + \dots \quad 4_B + 4_F$$

$$\chi_\alpha = \dots - \frac{1}{4} \theta_\alpha C + \frac{1}{2} (\theta \sigma^\mu \bar{\sigma}^\nu)_\alpha b_{\mu\nu} + \dots \quad 8_B + 8_F$$

# The constraint, $\mathcal{N} = 2 \rightarrow \mathcal{N} = 1$ , DBI theory

- $\mathcal{N} = 1$ : Martin Roček showed in 1978 that the Volkov-Akulov theory follows from the constraint  $\Phi^2 = 0$  applied to a chiral  $\mathcal{N} = 1$  superfield  $\Phi$ , provided that in  $\Phi \langle f \rangle \neq 0$  (source of supersymmetry breaking).  
The Goldstino is  $\psi_\alpha$  in  $\Phi$ .
- Extended to **partial breaking**  $\mathcal{N} = 2 \rightarrow \mathcal{N} = 1$  by **Roček** and **Tseytlin**, **Bagger** and **Galperin**, ...
- Consider a  $\mathcal{N} = 2$  Maxwell multiplet with  $\mathcal{N} = 1$  superfields  $W_\alpha$  and  $X$ .  
Impose the constraint:

$$WW - \frac{1}{2}X\overline{DDX} = \frac{1}{\kappa}X$$

Left-hand side:  $\mathcal{N} = 2$  invariance for solutions.

Deform  $\delta^*W$  to match the variation of the right-hand side.

The Goldstino is the gaugino in  $W$ .

# $\mathcal{N} = 2$ DBI

Solution:

$$X(W\bar{W}) = \kappa W^2 - \kappa^3 \overline{DD} \left[ \frac{W^2 \overline{W}^2}{1 + A + \sqrt{1 + 2A + B^2}} \right]$$

where

$$A = \frac{\kappa^2}{2} (DD W^2 + \overline{DD} \overline{W}^2) = A^*, \quad B = \frac{\kappa^2}{2} (DD W^2 - \overline{DD} \overline{W}^2) = -B^*$$

The constraint deforms the second SUSY variation of  $W_\alpha$ :

$$\delta_{deformed}^* W_\alpha = \sqrt{2} i \left[ \frac{1}{2\kappa} \eta_\alpha + \frac{1}{4} \eta_\alpha \overline{DD} \overline{X} + i(\sigma^\mu \overline{\eta})_\alpha \partial_\mu X \right]$$

Goldstino chiral superfield,  $\overline{D}_{\dot{\alpha}} \Lambda_\alpha = 0$ :

$$\Lambda_\alpha = -\frac{\sqrt{2} i W_\alpha}{1 + \frac{\kappa}{2} \overline{DD} \overline{X}} \quad \delta^* \Lambda_\alpha = \frac{1}{\kappa} \eta_\alpha + 2i\kappa (\Lambda \sigma^\mu \overline{\eta}) \partial_\mu \Lambda_\alpha$$

# DBI – dilaton lagrangian

Lagrangian for the coupled Maxwell DBI – single-tensor system

$$\mathcal{L} = g \int d^2\theta \left[ \frac{1}{2} \Phi \mathbf{X}(\mathbf{W}\mathbf{W}) + \chi^\alpha \mathbf{W}_\alpha - \frac{i}{2\kappa} \mathbf{Y} \right] + \text{c.c.}$$

supplemented by the kinetic term of the single-tensor multiplet.

- Describes a **massive**  $\mathcal{N} = 1$  vector multiplet (because of the  $\mathbf{b} \wedge \mathbf{F}$  interaction).
- **The Goldstino has been “Higgsed” in the massive Dirac gaugino.**
- This super-Higgs mechanism is made possible by the four-form field. . . .

Maxwell – dilaton interaction induced by (susy)  $\mathbf{b} \wedge \mathbf{F}$

# Terms in the bosonic action

A (semi-positive) scalar potential:

$$V(C, \text{Re } \Phi) = \frac{2g \text{Re } \Phi - \xi_1}{8\kappa} \left[ \sqrt{1 + \frac{2g^2 C^2}{(2g \text{Re } \Phi - \xi_1)^2}} - 1 \right]$$

Minimum at  $C = 0$ , for  $\text{Re } \Phi$  arbitrary (flat direction at  $C = 0$ ), and with  $V = 0$ : linear  $\mathcal{N} = 1$ , nonlinear second susy.

Antisymmetric tensor terms:

$$g\epsilon^{\mu\nu\rho\sigma} \left( \frac{\kappa}{4} \text{Im } \Phi F_{\mu\nu} F_{\rho\sigma} - \frac{1}{4} b_{\mu\nu} F_{\rho\sigma} + \frac{1}{24\kappa} C_{\mu\nu\rho\sigma} \right)$$

include a **linear term in the four form-field**.

(As in ten dimensions, RR-brane coupling  $\sim \sum_k C_k \wedge e^F$  ).

Essential for  $\mathcal{N} = 2$ , not consistent alone: more terms from other sources (tadpole cancellation) required.

My best wishes to Ignatios,  
for many more ideas, intelligence, lucidity,  
friendly and intense collaborations . . .  
and, above all, a sweet life

And many thanks, it's already almost forty years