

~~FAKE GUT based on $SU(5) \times SU(3)$~~

More on Fake GUT

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Based on arXiv: 2205.01336

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Planck 2022 @ Paris. 2022.5.30-2022.6.3

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Motivation

A mysterious fact in the SM

$$\begin{array}{l}
 Q = \begin{pmatrix} u \\ d \end{pmatrix} \\
 L = \begin{pmatrix} \nu \\ e \end{pmatrix} \\
 \bar{u} \quad \bar{d} \quad \bar{e}
 \end{array}
 \quad
 \xrightarrow{\text{just enough!}}
 \quad
 \begin{array}{l}
 \bar{5} = (\bar{d}_R \quad \bar{d}_G \quad \bar{d}_B \quad e \quad -\nu), \\
 10 = \begin{pmatrix} 0 & \bar{u}_B & -\bar{u}_G & u_R & d_R \\ -\bar{u}_B & 0 & \bar{u}_R & u_G & d_G \\ \bar{u}_G & -\bar{u}_R & 0 & u_B & d_B \\ -u_R & -u_G & -u_B & 0 & \bar{e} \\ -d_R & -d_G & -d_B & -\bar{e} & 0 \end{pmatrix}
 \end{array}$$

This fact is quite remarkable!

In general, chiral fermions with SM gauge charges do not necessarily satisfy this property.

Motivation

An example of anomaly-free chiral fermion set

R. Foot et al, PRD 39 (1989) 3411-3424

	Q_1	Q_2	Q_3	Q_4	L_1	L_2	L_3	L_4
$SU(3)_c$	$\bar{3}$	3	3	$\bar{3}$	1	1	1	1
$SU(2)_L$	3	2	2	1	3	2	2	1
$U(1)_Y$	$-1/3$	$5/6$	$-1/6$	$-1/3$	1	$-3/2$	$-1/2$	1

Motivation

A mysterious fact in the SM

$$Q = \begin{pmatrix} u \\ d \end{pmatrix}$$

$$L = \begin{pmatrix} \nu \\ e \end{pmatrix}$$

$$\bar{u} \quad \bar{d} \quad \bar{e}$$

→
just enough!

$$\bar{5} = (\bar{d}_R \quad \bar{d}_G \quad \bar{d}_B \quad e \quad -\nu),$$

$$10 = \begin{pmatrix} 0 & \bar{u}_B & -\bar{u}_G & u_R & d_R \\ -\bar{u}_B & 0 & \bar{u}_R & u_G & d_G \\ \bar{u}_G & -\bar{u}_R & 0 & u_B & d_B \\ -u_R & -u_G & -u_B & 0 & \bar{e} \\ -d_R & -d_G & -d_B & -\bar{e} & 0 \end{pmatrix}$$

The SM cannot offer an explanation of this mystery.

→ **Fake GUT can do !**

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1. Motivation 

2. FAKE GUT

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2. FAKE GUT

Difference between SU(5) GUT and fake GUT

	group	fermions	SM matters
SU(5) GUT	SU(5) → SM	chiral $\bar{5}, 10$	embedded into chiral $\bar{5}, 10$
fake GUT	SU(5) × H → SM	chiral $\bar{5}, 10$ vector $\psi, \bar{\psi}$	Not necessarily embedded into chiral $\bar{5}, 10$ can be embedded into vector $\psi, \bar{\psi}$

2. FAKE GUT

The case where $\bar{\psi}$ has the same charge as L after SSB
(1 generation case)

$$\begin{aligned}\mathcal{L}_{mass} &= \psi_L (M_1 \quad M_2) \begin{pmatrix} \bar{5}_L \\ \bar{\psi}_L \end{pmatrix} \\ &= \psi_L (M_1 \quad M_2) \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} L_M \\ L \end{pmatrix} = \psi_L (M_L \quad 0) \begin{pmatrix} L_M \\ L \end{pmatrix}\end{aligned}$$

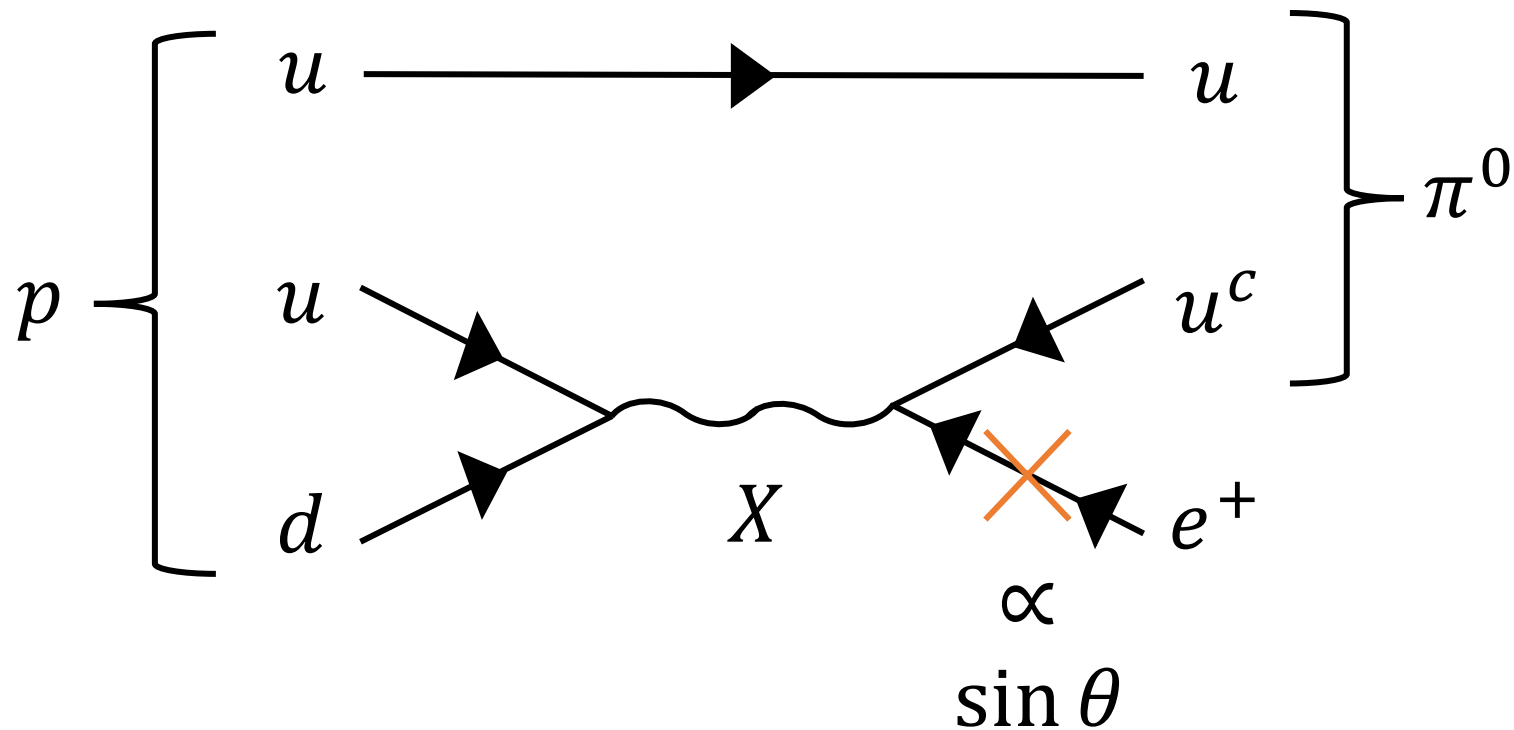
$\bar{5}_L$: lepton component in $\bar{5}$ L_M : heavy lepton

If $\bar{5}$, 10 , ψ and $\bar{\psi}$ exist at first, only SM fermions remain massless, even if they are not embedded into $\bar{5}$ and 10 .

2. FAKE GUT

Diagram of proton decay ($p \rightarrow \pi^0 + e^+$)

If $\bar{5}_L$ and $10_{\bar{e}}$ contain $\sin \theta L$ and $\sin \theta \bar{e}$ respectively,



2. FAKE GUT

Proton lifetime ($\bar{5}_L$ and $10_{\bar{e}}$ contain $\sin \theta L$ and $\sin \theta \bar{e}$)

$$\tau(p \rightarrow \pi^0 + e^+) \cong 10^{26} \frac{1}{\sin^2 \theta} \left(\frac{M_X / g_5}{10^{14} \text{ GeV}} \right)^4 \text{ yrs}$$

→ $\sin \theta \lesssim 10^{-4}$ due to $\tau(p \rightarrow \pi^0 + e^+) > 2.4 \times 10^{34} \text{ yrs}$

A. Takenaka et al. (SK collaboration) PRD102, 112011 (2020)

Actually, mass terms of fermions have generation dependence.

2. FAKE GUT

An example of mixing of the 1st and 2nd generations
(consider SM lepton doublets case)

$$\begin{aligned}\mathcal{L}_{mass} &= (\psi_{L1} \ \psi_{L2}) \begin{pmatrix} M & 0 & 0 & \delta \\ 0 & M & 0 & 0 \end{pmatrix} (\bar{5}_{1L} \ \bar{5}_{2L} \ \bar{\psi}_{L1} \ \bar{\psi}_{L2})^T \\ &= \psi_{L1} (M \ \delta) (\bar{5}_{1L} \ \bar{\psi}_{L2})^T + M \psi_{L2} \bar{5}_{2L}\end{aligned}$$

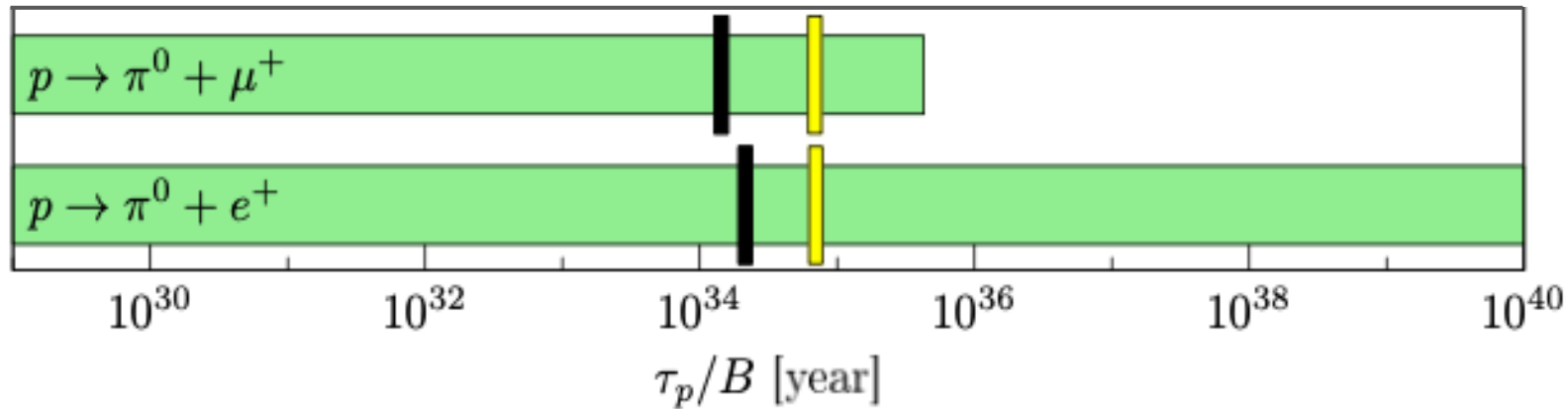
$\bar{\psi}_{L1} = L_e$. $\bar{5}_{2L}$ is a heavy fermion.



$\bar{5}_{1L}$ contains a little component of L_μ . ($M \gg \delta$)

2. FAKE GUT

Proton lifetime ($\bar{5}_{1L}$ contains a little L_μ , $\bar{5}_{2L}$ is heavy.
 $10_{1\bar{e}}$ contains a little \bar{e}_μ , $10_{2\bar{e}}$ is heavy.)



$$\tau(p \rightarrow \pi^0 + \mu^+) < \tau(p \rightarrow \pi^0 + e^+)$$

The fake GUT can make the different prediction than the conventional GUT.

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2. FAKE GUT 

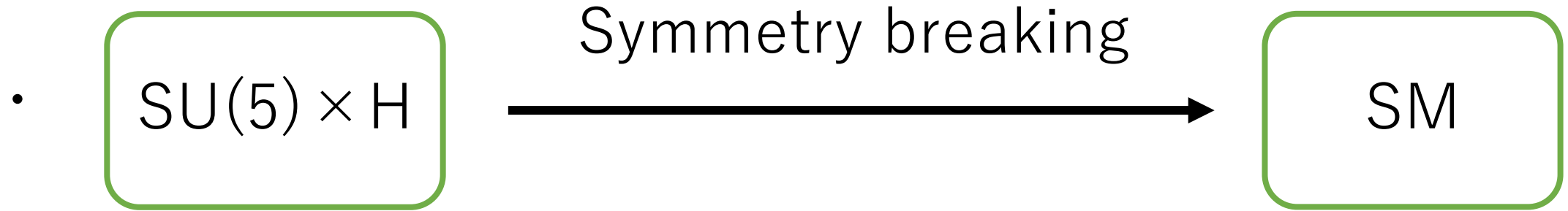
3. Conclusion

3. Conclusion

- In the fake GUT, the SM fermions form $\bar{5}$ and 10 of $SU(5)$ at the low energy even if they are not embedded into $\bar{5}$ and 10 of $SU(5)$ at the high energy.
- In the fake GUT, the predictions of the nucleon decay rates and the branching ratios are different from those in the conventional GUT.

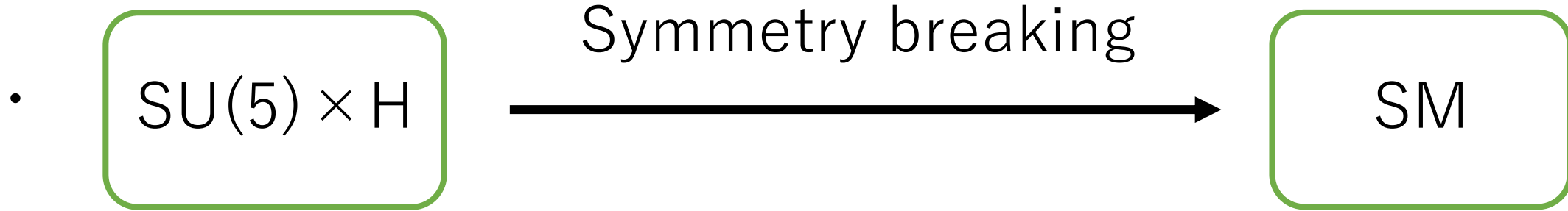
BACK UP

FAKE GUT



- $SU(5) \supset (SU(3)_c, SU(2)_L, U(1)_Y)$
- Some of $SU(3)_c$, $SU(2)_L$ and $U(1)_Y$ may be diagonal subgroup of $SU(5) \times H$
- Fermions
 - Chiral fermion $\bar{5}, 10$
 - Vector-like fermion $\psi, \bar{\psi}$

FAKE GUT



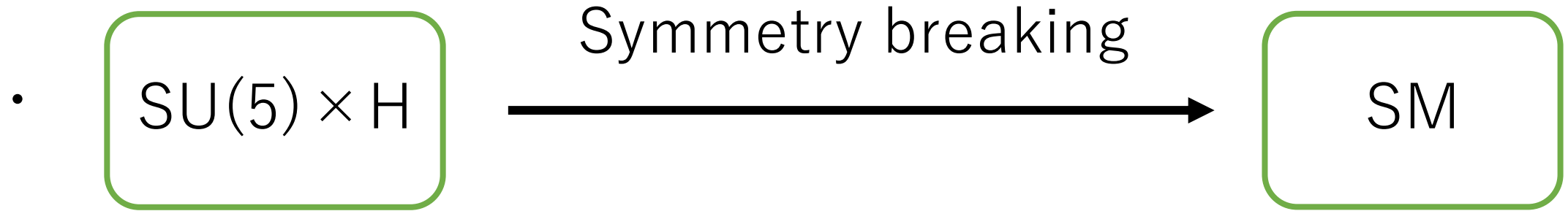
- Fermions

Chiral fermion $\bar{5}, 10$

Vector-like fermion $\psi, \bar{\psi}$

In the SU(5) GUT, all the SM fermions are contained in $\bar{5}$ and 10 .

FAKE GUT



- Fermions

Chiral fermion $\bar{5}, 10$
Vector-like fermion $\psi, \bar{\psi}$

In the fake GUT, the SM fermions can be contained in vector-like fermions.

$SU(5) \times U(2)_H$ model

Fermions ($SU(5), SU(2)_H, U(1)_H$)

$$\bar{5} : (\bar{5}, 1, 0) \qquad 10 : (10, 1, 0)$$

$$L_H : (1, 2, -1/2) \qquad \bar{L}_H : (1, 2, 1/2)$$

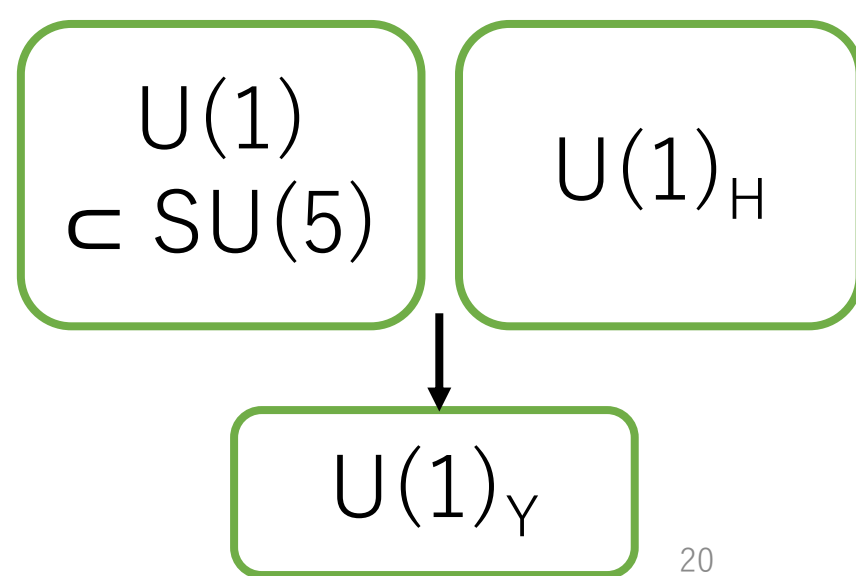
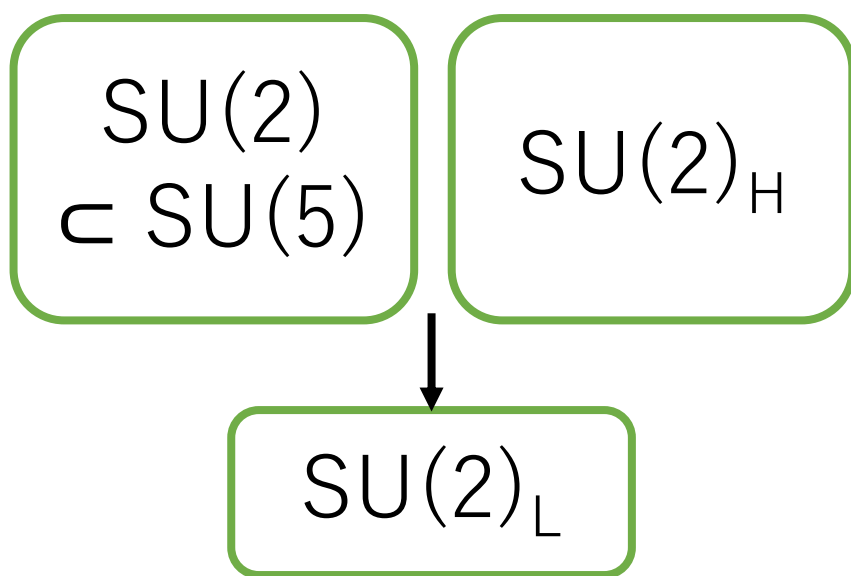
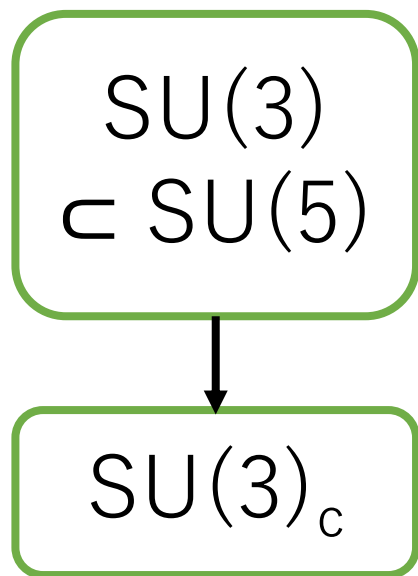
$$E_H : (1, 1, -1) \qquad \bar{E}_H : (1, 1, 1)$$

- As we will show later, SM leptons are mostly contained in L_H and \bar{E}_H .
- SM quarks are all contained in $\bar{5}$ and 10 .

$SU(5) \times U(2)_H$ model

Scalar $\phi_2 : (5, 2, -1/2)$ of $(SU(5), SU(2)_H, U(1)_H)$

$$\langle \phi_2 \rangle = \left(\underbrace{\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}}_{SU(3)} \quad \underbrace{\begin{pmatrix} v_2 & 0 \\ 0 & v_2 \end{pmatrix}}_{SU(2)} \right) \Bigg\} SU(2)_H$$



SU(5) × U(2)_H model

Lagrangian

$$\mathcal{L} = m_L L_H \bar{L}_H + \lambda_L \bar{5} \phi_2 \bar{L}_H + m_E E_H \bar{E}_H + \frac{\lambda_E}{\Lambda} E_H \phi_2^\dagger \phi_2^\dagger \mathbf{10}$$

(E_H and \bar{E}_H are omitted) ↓

$$\bar{L}_H \begin{pmatrix} \lambda_L \frac{v}{\sqrt{2}} & m_L \end{pmatrix} \begin{pmatrix} \bar{5}_L \\ L_H \end{pmatrix} \longrightarrow \bar{L}_H \begin{pmatrix} M_L & 0 \end{pmatrix} \begin{pmatrix} L_M \\ L \end{pmatrix}$$

Only SM fermions remain massless at the low energy.

$$\begin{array}{ccc} \bar{5}_{\bar{d}} & \longrightarrow & \text{quark} \\ L_M & \xrightarrow{\langle \Phi \rangle, m_L} \bar{L}_H & \\ & & L \longrightarrow \text{lepton} \end{array}$$

$SU(5) \times U(2)_H$ model

Mixing of lepton components

L : SM lepton

L_M : heavy lepton

$$\begin{pmatrix} \bar{5}_L \\ L_H \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} L_M \\ L \end{pmatrix} \quad \tan \theta = \frac{m_L}{\lambda_L v}$$

$SU(5) \times U(2)_H$ model

Yukawa interactions

We consider a case one SM Higgs remains in the low energy.

Scalar containing the SM Higgs

$$H_5 : (5, 1, 0)$$

$$H_5 = \begin{pmatrix} h_5^{color} \\ h_5^{SM} \end{pmatrix}$$

$$H_2 : (1, 2, 1/2)$$

$$H_2 = h_2^{SM}$$

Higgs mixing term

$$\mathcal{L}_{52\,mix} = \mu_{mix} H_2 \phi_2 H_5^* + h.c.$$

$$h^{SM} = \cos \theta_h h_2^{SM} - \sin \theta_h h_5^{SM}$$

$SU(5) \times U(2)_H$ model

Yukawa interactions

$$\mathcal{L}_{YQ} = -(\mathbf{y}_5)_{ij} \bar{5}_i 10_j H_5^* - (\mathbf{y}_{10})_{ij} 10_i 10_j H_5 + h.c.$$

$$\mathcal{L}_{YL} = -(\mathbf{y}_{LE})_{ij} L_{Hi} \bar{E}_{Hj} H_2^* + h.c.$$

$$(\mathbf{y}_u^{SM})_{ij} = -\sin \theta_h (\mathbf{y}_{10})_{ij}$$

$$(\mathbf{y}_d^{SM})_{ij} = -\sin \theta_h (\mathbf{y}_5)_{ij}$$

$$(\mathbf{y}_e^{SM})_{ij} = \cos \theta_h (\mathbf{y}_{LE})_{ij} + \mathcal{O}(\theta_L \theta_E) \sin \theta_h (\mathbf{y}_5)_{ij}$$

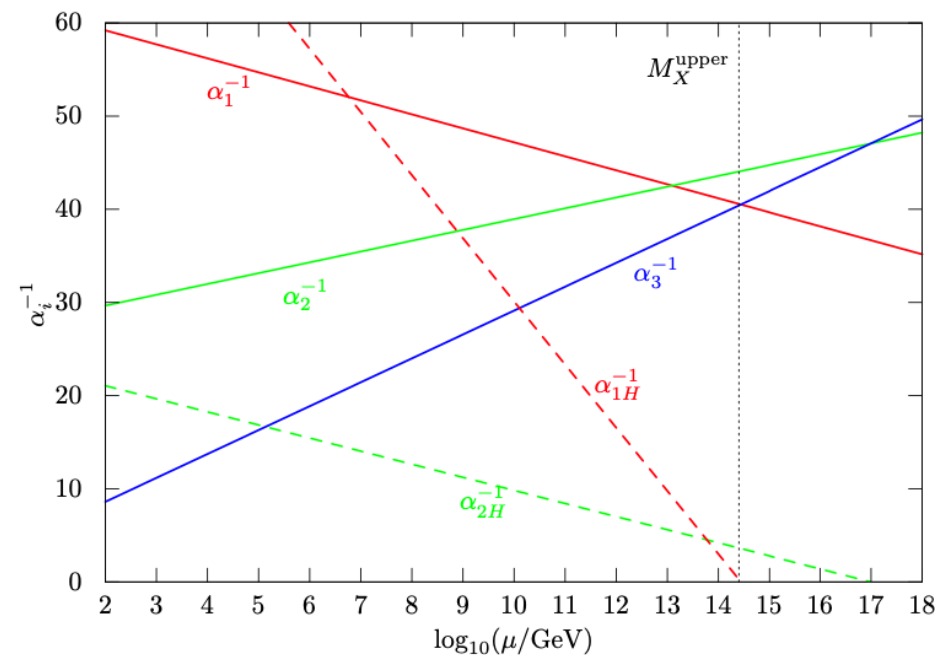
SU(5) × U(2)_H model

Gauge couplings

$$\alpha_1^{-1}(M_X) = \alpha_5^{-1}(M_X) + \frac{3}{5} \alpha_{1H}^{-1}(M_X)$$

$$\alpha_2^{-1}(M_X) = \alpha_5^{-1}(M_X) + \alpha_{2H}^{-1}(M_X)$$

$$\alpha_3^{-1}(M_X) = \alpha_5^{-1}(M_X)$$

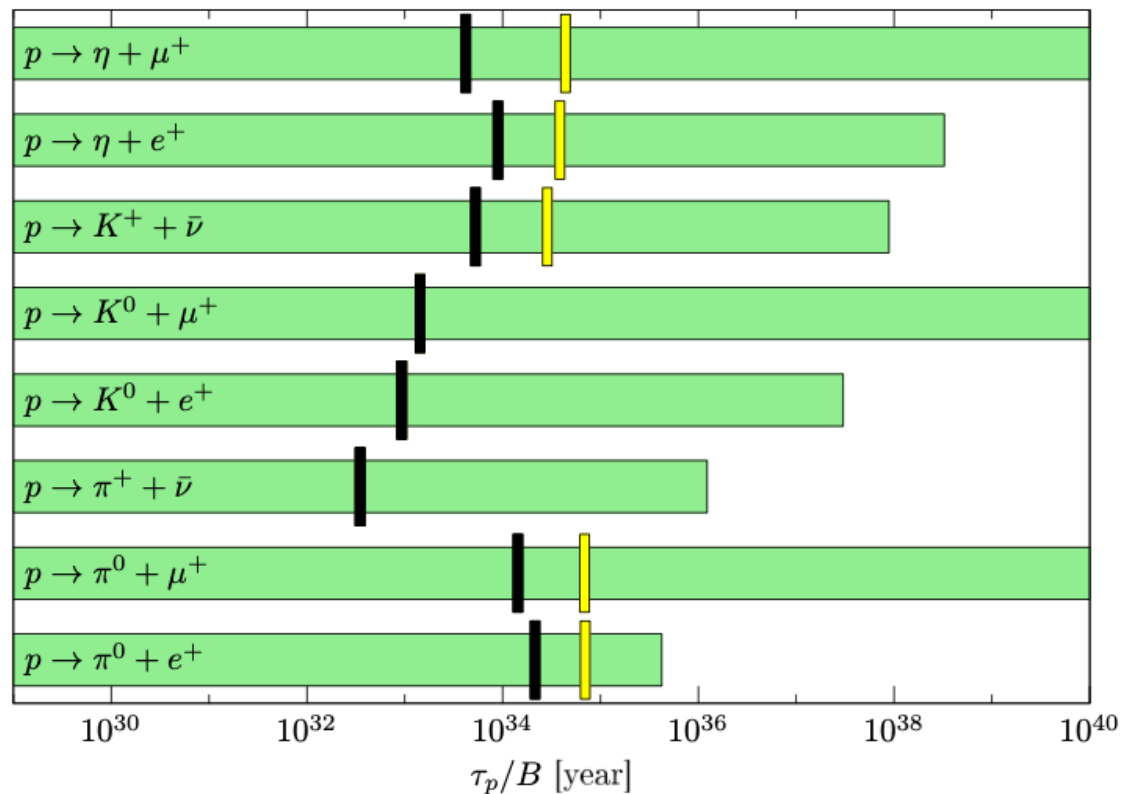


$$g_{1H}(M_2) \sim g_{2H}(M_2) \gg g_5(M_2)$$

$$\longrightarrow M_X \sim 10^{14-15} \text{ GeV}$$

SU(5) × U(2)_H model

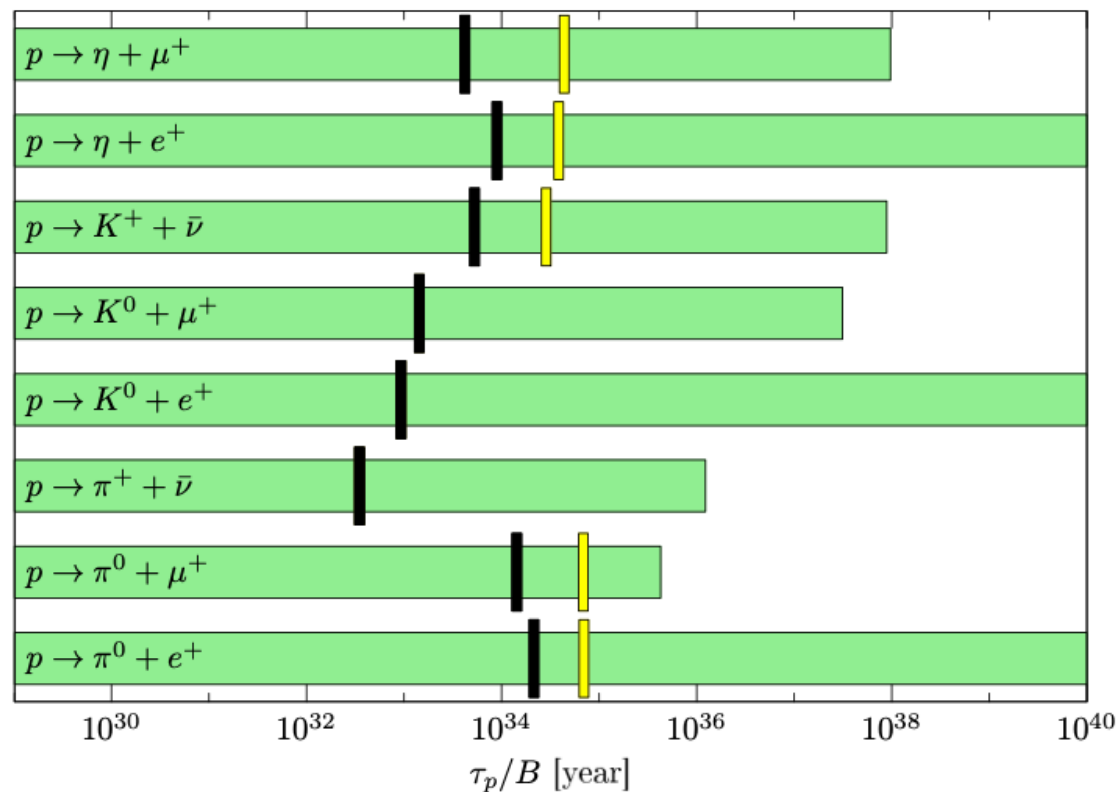
Proton lifetime



$$\mathcal{L}_{mass} = (\psi_{L1} \ \psi_{L2}) \begin{pmatrix} M & 0 & 10^{-4}M & 0 \\ 0 & M & 0 & 0 \end{pmatrix} (\bar{\psi}_{1L} \ \bar{\psi}_{2L} \ \bar{\psi}_{L1} \ \bar{\psi}_{L2})^T$$

SU(5) × U(2)_H model

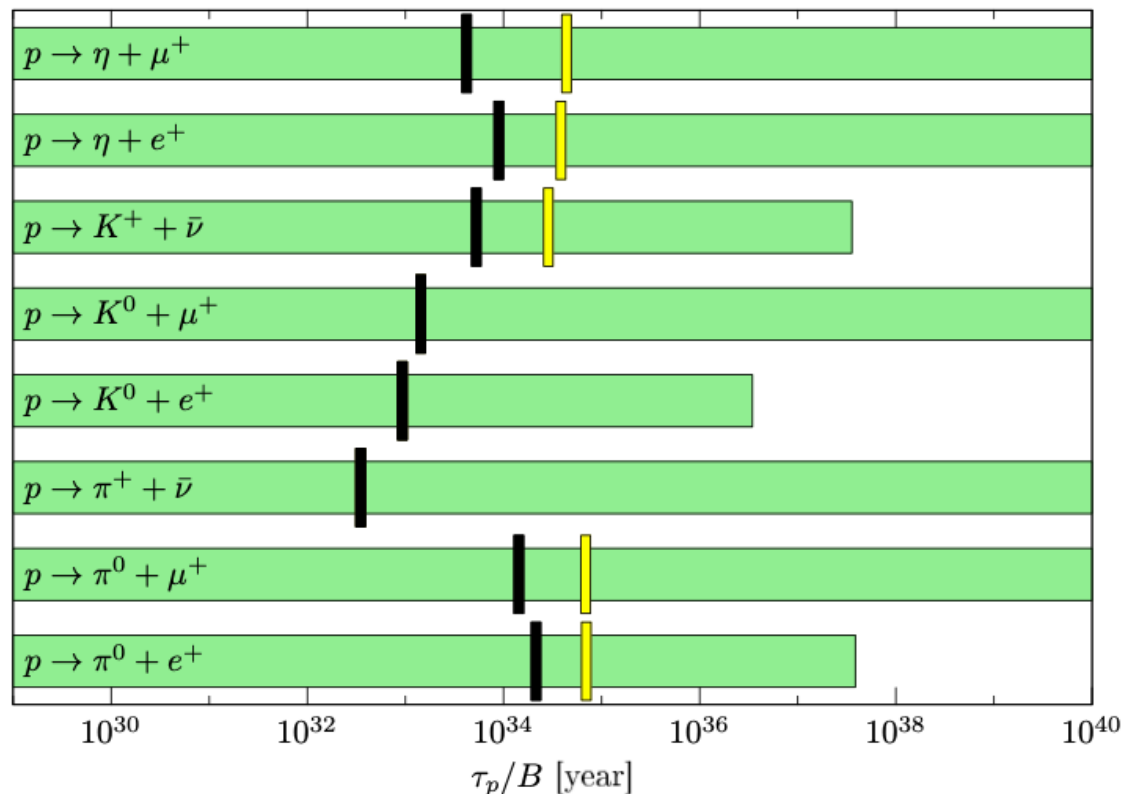
Proton lifetime



$$\mathcal{L}_{mass} = (\psi_{L1} \ \psi_{L2}) \begin{pmatrix} M & 0 & 0 & 10^{-4}M \\ 0 & M & 0 & 0 \end{pmatrix} (\bar{\psi}_{1L} \ \bar{\psi}_{2L} \ \bar{\psi}_{L1} \ \bar{\psi}_{L2})^T$$

SU(5) × U(2)_H model

Proton lifetime



$$\mathcal{L}_{mass} = (\psi_{L1} \ \psi_{L2}) \begin{pmatrix} M & 0 & 0 & 0 \\ 0 & M & 10^{-4}M & 0 \end{pmatrix} (\bar{\psi}_{1L} \ \bar{\psi}_{2L} \ \bar{\psi}_{L1} \ \bar{\psi}_{L2})^T$$

$SU(5) \times SU(3)_H$ model

Fermions($SU(5), SU(3)_H$)

$$\bar{5} : (\bar{5}, 1) \qquad 10 : (10, 1)$$

$$L_T : (1, 3) \qquad \bar{L}_T : (1, \bar{3})$$

$$L_T = (L_H \ \bar{E}_H) \qquad \bar{L}_T = (\bar{L}_H \ E_H)$$

- As we will show later, SM leptons are mostly contained in L_H and \bar{E}_H .
- SM quarks are all contained in $\bar{5}$ and 10 .

$SU(5) \times SU(3)_H$ model

Scalars

$$A : (1, 8), \quad SU(3)_H \longrightarrow SU(2)_H \times U(1)_H$$

$$\phi_3 : (5, \bar{3}), \quad SU(5) \times SU(2)_H \times U(1)_H \longrightarrow SM$$

$$\langle A \rangle = \begin{pmatrix} v_A & 0 & 0 \\ 0 & v_A & 0 \\ 0 & 0 & -2v_A \end{pmatrix} \quad \langle \phi_3 \rangle = \begin{pmatrix} 0 & 0 & 0 & v_3 & 0 \\ 0 & 0 & 0 & 0 & v_3 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$SU(5) \times SU(3)_H$ model

Gauge couplings

$$SU(5) \times SU(3)_H \longrightarrow SU(5) \times SU(2)_H \times U(1)_H \longrightarrow \text{SM}$$

$$\frac{1}{3} \alpha_{1H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$

$$\alpha_{2H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$

$$\alpha_1^{-1}(M_X) = \alpha_5^{-1}(M_X) + \frac{3}{5} \alpha_{1H}^{-1}(M_X)$$

$$\alpha_2^{-1}(M_X) = \alpha_5^{-1}(M_X) + \alpha_{2H}^{-1}(M_X)$$

$$\alpha_3^{-1}(M_X) = \alpha_5^{-1}(M_X)$$

$$M_{X \text{ max}} \leq 4 \times 10^{10} \text{ GeV}$$

SU(5) × SU(3)_H model

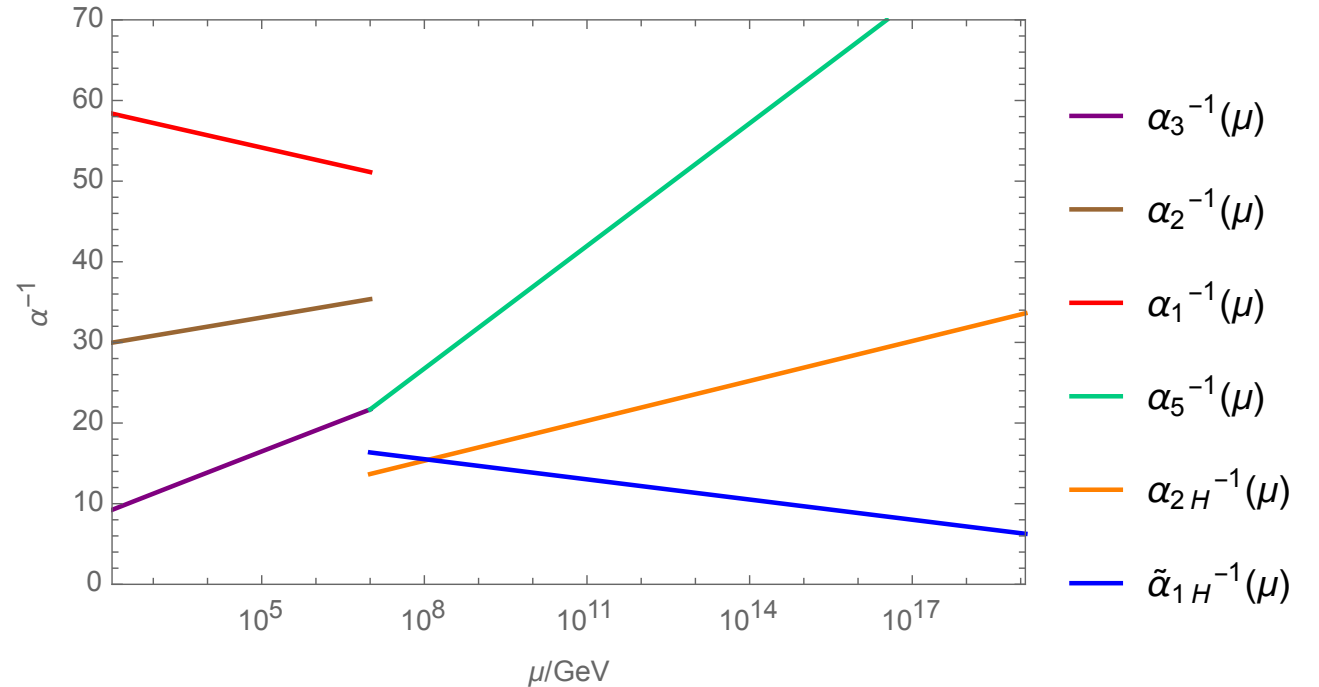
$$\alpha_1^{-1}(M_X) = \alpha_5^{-1}(M_X) + \frac{3}{5} \alpha_{1H}^{-1}(M_X)$$

$$\alpha_2^{-1}(M_X) = \alpha_5^{-1}(M_X) + \alpha_{2H}^{-1}(M_X)$$

$$\alpha_3^{-1}(M_X) = \alpha_5^{-1}(M_X)$$

$$\frac{1}{3} \alpha_{1H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$

$$\alpha_{2H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$



$$M_X = 1.0 \times 10^7 \text{ GeV}$$

$$M_\Omega \cong 1.2 \times 10^8 \text{ GeV}$$

SU(5) × SU(3)_H model

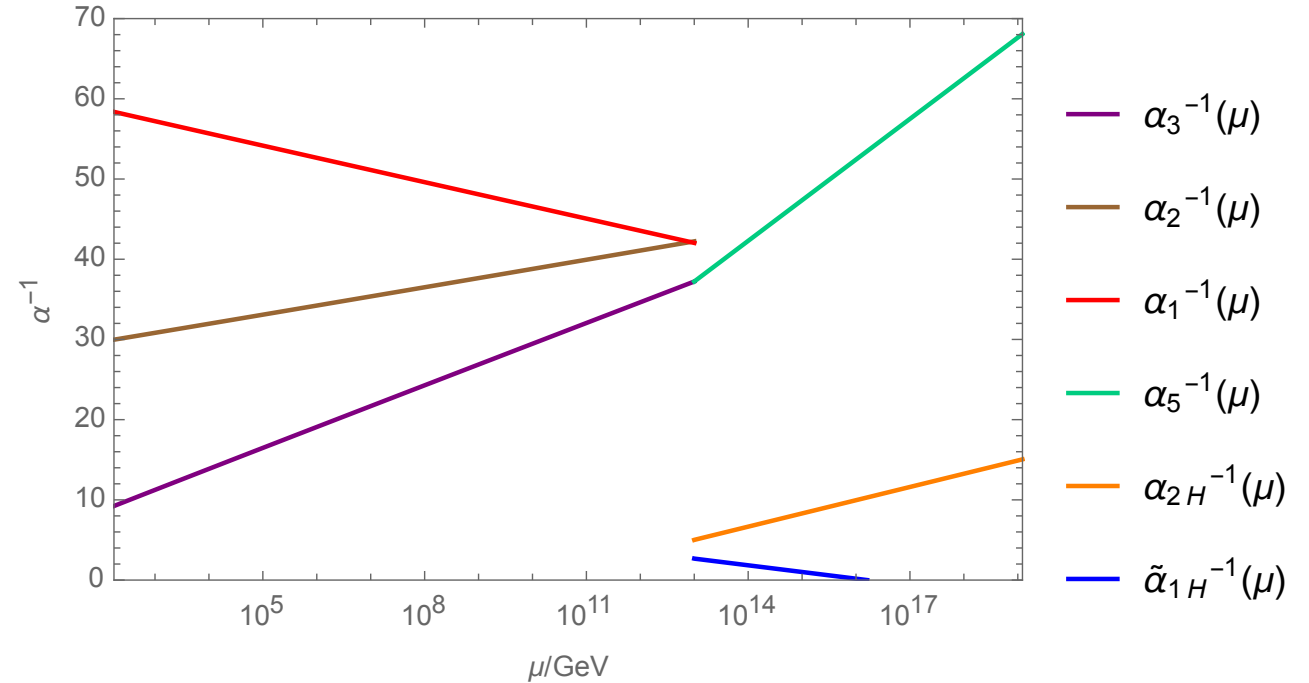
$$\alpha_1^{-1}(M_X) = \alpha_5^{-1}(M_X) + \frac{3}{5}\alpha_{1H}^{-1}(M_X)$$

$$\alpha_2^{-1}(M_X) = \alpha_5^{-1}(M_X) + \alpha_{2H}^{-1}(M_X)$$

$$\alpha_3^{-1}(M_X) = \alpha_5^{-1}(M_X)$$

$$\frac{1}{3}\alpha_{1H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$

$$\alpha_{2H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$



$$M_X = 1.0 \times 10^{13} \text{ GeV}$$

$$M_\Omega \cong 1.1 \times 10^{12} \text{ GeV}$$

$SU(5) \times SU(3)_H$ model

Proton lifetime

$$\tau(p \rightarrow \pi^0 e^+) \cong 10^{10} \frac{1}{\sin^2 \theta} \left(\frac{M_X / g_5}{10^{10} \text{ GeV}} \right)^4 \text{ yrs}$$

→ $\sin \theta \lesssim 10^{-12}$ due to $\tau(p \rightarrow \pi^0 e^+) > 2.4 \times 10^{34} \text{ yrs}$

A. Takenaka et al. (SK collaboration) PRD102, 112011 (2020)

$SU(5) \times SU(3)_H$ model

Yukawa interactions

We consider a case one SM Higgs remains in the low energy.

Scalar containing the SM Higgs

$$H_5 : (5, 1)$$

$$H_3 : (1, 3)$$

$$H_5 = \begin{pmatrix} h_5^{color} \\ h_5^{SM} \end{pmatrix}$$

$$H_3 = \begin{pmatrix} h_3^{SM\dagger} \\ h_3^{singlet} \end{pmatrix}$$

Higgs mixing term

$$\mathcal{L}_{53 \text{ mix}} = \mu_{53} H_3^\dagger \phi_3 H_5^\dagger + h.c.$$

SU(5) × SU(3)_H model

Yukawa interactions $H_5 (5, 1) \quad H_3 (1, 3)$

quark $\mathcal{L}_{YQ} = -(\mathbf{y}_5)_{ij} \bar{5}_i 10_j H_5^* - (\mathbf{y}_{10})_{ij} 10_i 10_j H_5 + h.c.$

lepton $\mathcal{L} = -(\mathbf{y}_{LT})_{ij} \varepsilon_{abc} H_3^a L_{Ti}^b L_{Tj}^c = -(\mathbf{y}_{LT})_{ij} \varepsilon_{abc} H_3^a L_{Tj}^c L_{Ti}^b$
 $= (\mathbf{y}_{LT})_{ij} \varepsilon_{acb} H_3^a L_{Tj}^c L_{Ti}^b = (\mathbf{y}_{LT})_{ij} \varepsilon_{abc} H_3^a L_{Tj}^b L_{Ti}^c$
 $= -(\mathbf{y}_{LT})_{ji} \varepsilon_{abc} H_3^a L_{Ti}^b L_{Tj}^c$

$SU(5) \times SU(3)_H$ model

Yukawa interactions

$$(y_{LT})_{ij} = -(y_{LT})_{ji} \quad y_{LT} = \begin{pmatrix} 0 & a & b \\ -a & 0 & c \\ -b & -c & 0 \end{pmatrix}$$

$$\rightarrow \det y_{LT} = 0, \text{Tr} [y_{LT}] = 0$$

\rightarrow massless electron
 μ and τ lepton have the same mass

$SU(5) \times SU(3)_H$ model

Yukawa interactions

$$\mathcal{L} = -\frac{(Y_{LT})_{ij}}{\Lambda_Y} L_{Ti}^a A_a^b L_{Tj}^c H_3^d \varepsilon_{bcd} + h.c.$$