# **Covariant Quantization of Quadratic Gravity**

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Based on 2202.08298 J. Kubo, J. Kuntz

# **Outline**

- Motivations
- Theoretical background
	- LSZ operator formalism
	- BRST quantization
- Application to quadratic (conformal) gravity
	- Second order formulation
	- Quantization
	- A new take on the ghost problem

### **Motivations**

- Establish a rigorous quantization of QG from the operator perspective
	- QG is renormalizable [Stelle], but there are serious problems
	- Rewrite QG in the familiar language of non-Abelian gauge theory
	- Covariant operator formalism makes studying off-shell quantities (e.g. correlation functions) much more transparent
	- Fourth order theories resist this description due to their "hidden" dofs

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	- Fourth order theories resist this description due to their "hidden" dofs
- Ghost problem: fourth order theories have problems with unitarity
	- It may be possible to overcome the classical Ostrogradky instability with quantum physics [Donoghue, Menezes]
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	- This formalism gives a new perspective we need as many tools as we can get!
- Make available well-known techniques for studying quantum corrections (renormalization, anomalies, etc.)

# LSZ operator formalism

Interacting fields behave as free fields in the asymptotic limit

$$
\Phi(x) \to \begin{cases} \Phi^{\text{in}}(x), & x^0 \to -\infty \\ \Phi^{\text{out}}(x), & x^0 \to +\infty \end{cases}
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Asymptotic fields decompose as a sum of (oscillators)\*(plane wave functions)

$$
\Phi^{\rm as}(x) = \sum_{\mathbf{p}} \left( \Phi_f^{\rm as}(\mathbf{p}) f_{\mathbf{p}}(x) + \Phi_g^{\rm as}(\mathbf{p}) g_{\mathbf{p}}(x) + \Phi_h^{\rm as}(\mathbf{p}) h_{\mathbf{p}}(x) + \dots + (\text{h.c.}) \right)
$$
  

$$
\Box f_{\mathbf{p}}(x) = \Box^2 g_{\mathbf{p}}(x) = \Box^3 h_{\mathbf{p}}(x) = \dots = 0
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$$
  

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$$

Inverting the decomposition defines oscillators in terms of their *interacting* field

$$
\Phi_f^{\rm as}(\mathbf{p}) = \lim_{x^0 \to \pm \infty} \left[ i \int d^3 \mathbf{x} \Big( f_p^*(x) \overleftrightarrow{\partial}_0 + g_p^*(x) \overleftrightarrow{\partial}_0 \Box + h_p^*(x) \overleftrightarrow{\partial}_0 \Box^2 + \cdots \Big) \Phi(x) \right]
$$

Needed for the LSZ reduction formula for the S-matrix  $\longrightarrow$  optical theorem and unitarity

# BRST quantization and physical states

[Nakanishi, Ojima 1990]

- BRST theory introduces new fields to account for the redundant dofs in gauge theories
- **Nakanishi-Lautrup (NL) bosons:**  $B_a(x)$  (Lagrange multipliers to enforce gauge conditions)
	- Faddeev-Popov ghosts and anti-ghosts:  $C^a(x)$ ,  $\overline{C}_a(x)$  (cancel unphysical contributions to loops,

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Cancellation is made precise by *global* BRST symmetry, generated by the charge operator

$$
\delta_{\epsilon}\phi^{A} = \epsilon \sum_{a} \left( \delta_{\xi^{a}} \phi^{A} \right) \Big|_{\xi^{a} = C^{a}} \quad \delta_{\epsilon}B^{a} = 0
$$
  

$$
\delta_{\epsilon}C^{a} = 0 \quad \text{(free theory)} \quad \delta_{\epsilon}\bar{C}^{a} = \epsilon i B^{a}
$$

$$
S_{\mathrm{T}} = S_{\mathrm{cl}} - \mathcal{Q}(\bar{C}_a \chi^a) = S_{\mathrm{cl}} + S_{\mathrm{gf}} + S_{\mathrm{FP}}
$$

classical action gauge conditions gauge fixing & ghost actions

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$$

Kugo-Ojima "quartet mechanism" classifies all states into physical singlets or unphysical quartets

(full Fock space) BRST singlet states with no parents (transverse parts of  $\phi^A$ ) matching pairs of parent-daughter doublets

# Quadratic (conformal) gravity at second order

General (scale-invariant) Riemann<sup>2</sup> action simplified by dropping Gauss-Bonnet invariant (T.D.)

$$
S_{\rm QG} = \int d^4x \sqrt{-g} \left( aR_{\alpha\beta\gamma\delta}R^{\alpha\beta\gamma\delta} + bR_{\alpha\beta}R^{\alpha\beta} + cR^2 \right) \rightarrow \frac{2}{\alpha_g^2} \int d^4x \sqrt{-g} \left[ 2 \left( R_{\alpha\beta}R^{\alpha\beta} - \frac{1}{3}R^2 \right) + \beta R^2 \right]
$$
  
Setting  $R = 0$  gives local conformal symmetry

Setting β = 0 gives *local* conformal symmetry

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Reduce from fourth to second order in derivatives with auxiliary field

$$
S_{\rm H}=\int {\rm d}^4x \sqrt{-g} \left(-\frac{2}{\alpha_g} G_{\alpha\beta}H^{\alpha\beta}-\frac{1}{4}\left(H_{\alpha\beta}H^{\alpha\beta}-H_{\alpha}^{\;\;\alpha}H_{\beta}^{\;\;\beta}\right)\right)
$$

Integrating out *H* returns the action for conformal gravity

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$$

Introduce Stückelberg vector field to make all constraints first class

$$
H_{\alpha\beta} \rightarrow H_{\alpha\beta} + (\nabla_{\alpha}A_{\beta} + \nabla_{\beta}A_{\alpha})
$$

$$
S_{\text{SOCG}} = \int d^4x \sqrt{-g} \left( -\frac{2}{\alpha_g} G_{\alpha\beta} H^{\alpha\beta} - \frac{1}{4} \left( H_{\alpha\beta} H^{\alpha\beta} - H_{\alpha}{}^{\alpha} H_{\beta}{}^{\beta} \right) - \frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} + R_{\alpha\beta} A^{\alpha} A^{\beta} - H_{\alpha\beta} \nabla^{\beta} A^{\alpha} + H_{\alpha}{}^{\alpha} \nabla_{\beta} A^{\beta} \right)
$$

### Total non-linear action 24 fields  $-2*(4 + 4 + 1)$  gauge symmetries = 6 dofs  $\checkmark$

### Our action is diffeomorphism, "Stückelberg diffeomorphism", and Weyl invariant

 $\delta_{\xi} g_{\alpha\beta} = \alpha_g \mathcal{L}_{\xi} g_{\alpha\beta} = \nabla_{\alpha} \xi_{\beta} + \nabla_{\beta} \xi_{\alpha} \qquad \delta_{\zeta} g_{\alpha\beta} = 0$  $\delta_{\omega}g_{\alpha\beta}=\alpha_{q}\,\omega g_{\alpha\beta}$  $\delta_{\zeta}H_{\alpha\beta}=\nabla_{\alpha}\zeta_{\beta}+\nabla_{\beta}\zeta_{\alpha}\qquad \delta_{\omega}H_{\alpha\beta}=4\nabla_{\beta}\nabla_{\alpha}\omega+\alpha_{q}\big(2A_{(\alpha}\nabla_{\beta)}\omega-g_{\alpha\beta}A_{\gamma}\nabla^{\gamma}\omega\big)$  $\delta_{\xi}H_{\alpha\beta}=\alpha_{g}\mathcal{L}_{\xi}H_{\alpha\beta}$  $\delta_{\xi} A_{\alpha} = \alpha_g \mathcal{L}_{\xi} A_{\alpha}$  $\delta_{\zeta} A_{\alpha} = - \zeta_{\alpha}$  $\delta_{\omega}A_{\alpha}=0$ 

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 $\delta_{\zeta}H_{\alpha\beta} = \nabla_{\alpha}\zeta_{\beta} + \nabla_{\beta}\zeta_{\alpha} \qquad \delta_{\omega}H_{\alpha\beta} = 4\nabla_{\beta}\nabla_{\alpha}\omega + \alpha_{g}\big(2A_{(\alpha}\nabla_{\beta)}\omega - g_{\alpha\beta}A_{\gamma}\nabla^{\gamma}\omega\big)$  $\delta_{\xi}H_{\alpha\beta}=\alpha_{g}\mathcal{L}_{\xi}H_{\alpha\beta}$ 

 $\delta_{\zeta} A_{\alpha} = -\zeta_{\alpha}$   $\delta_{\omega} A_{\alpha} = 0$  $\delta_{\xi} A_{\alpha} = \alpha_g \mathcal{L}_{\xi} A_{\alpha}$ 

### Choose gauge conditions and introduce sets of NL fields, ghosts, and anti-ghosts



### Total non-linear action

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 $\delta_{\zeta}H_{\alpha\beta} = \nabla_{\alpha}\zeta_{\beta} + \nabla_{\beta}\zeta_{\alpha} \qquad \delta_{\omega}H_{\alpha\beta} = 4\nabla_{\beta}\nabla_{\alpha}\omega + \alpha_{g}\big(2A_{(\alpha}\nabla_{\beta)}\omega - g_{\alpha\beta}A_{\gamma}\nabla^{\gamma}\omega\big)$  $\delta_{\xi}H_{\alpha\beta}=\alpha_{q}\mathcal{L}_{\xi}H_{\alpha\beta}$ 

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### Choose gauge conditions and introduce sets of NL fields, ghosts, and anti-ghosts

de Donder (standard GR)  $\frac{d}{dx}$  and  $\frac{d}{dx}$  bonder" Feynman (w.r.t. *A*)  $\nabla_{\beta}H_{\alpha}{}^{\beta}-\frac{1}{2}\nabla_{\alpha}H_{\beta}{}^{\beta}=0$  $\partial_{\beta}(\sqrt{-q}q^{\alpha\beta})=0$  $H_{\alpha}^{\alpha} + 2\nabla_{\alpha}A^{\alpha} + B = 0$  $\{b_{\alpha}, c^{\alpha}, \bar{c}_{\alpha}\} \rightarrow S_{\text{gfg}} + S_{\text{FP}\xi}$   $\{B_{\alpha}, C^{\alpha}, \bar{C}_{\alpha}\} \rightarrow S_{\text{gfg}} + S_{\text{FP}\zeta}$   $\{B, C, \bar{C}\} \rightarrow S_{\text{gfg}} + S_{\text{FP}\omega}$ 

#### Assemble the total non-linear (BRST-invariant) action

$$
S_{\rm T} = S_{\rm SOCG} + S_{\rm gf\xi} + S_{\rm gf\zeta} + S_{\rm gf\omega} + S_{\rm FP\xi} + S_{\rm FP\zeta} + S_{\rm FP\omega}
$$

$$
S_{\rm T}\Big|_{g_{\alpha\beta}\to\eta_{\alpha\beta}+\alpha_g h_{\alpha\beta}} = S_0 + S_{\rm int} \qquad \text{where} \qquad S_{\rm int} = \mathcal{O}(\alpha_g)
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$$
S_0=\int\mathrm{d}^4x\biggl(4H^{\alpha\beta}\mathcal{E}_{\alpha\beta\gamma\delta}h^{\gamma\delta}-\frac{1}{4}\left(H_{\alpha\beta}H^{\alpha\beta}-H_{\alpha}^{\phantom{\alpha\beta}}H_{\beta}^{\phantom{\beta\beta}}\right)-\frac{1}{4}F_{\alpha\beta}F^{\alpha\beta}-H_{\alpha\beta}\partial^{\alpha}A^{\beta}+H_{\alpha}^{\phantom{\alpha\beta}\alpha}\partial_{\beta}A^{\beta}
$$

$$
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$$

$$
S_0 = \int d^4x \left( 4H^{\alpha\beta} \mathcal{E}_{\alpha\beta\gamma\delta} h^{\gamma\delta} - \frac{1}{4} \left( H_{\alpha\beta} H^{\alpha\beta} - H_{\alpha}^{\ \alpha} H_{\beta}^{\ \beta} \right) - \frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} - H_{\alpha\beta} \partial^{\alpha} A^{\beta} + H_{\alpha}^{\ \alpha} \partial_{\beta} A^{\beta} \right) + \underbrace{b_{\alpha} \left( \partial_{\beta} h^{\alpha\beta} - \frac{1}{2} \partial^{\alpha} h_{\beta}^{\ \beta} \right)}_{S_{\text{gf}\zeta}^{(\text{lin})}} + \underbrace{B_{\alpha} \left( \partial_{\beta} H^{\alpha\beta} - \frac{1}{2} \partial^{\alpha} H_{\beta}^{\ \beta} \right)}_{S_{\text{gf}\zeta}^{(\text{lin})}} + \underbrace{\frac{1}{8} B \left( 2H_{\alpha}^{\ \alpha} + 4 \partial_{\alpha} A^{\alpha} + B \right)}_{S_{\text{gf}\omega}^{(\text{lin})}}
$$

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$$

$$
+ \underbrace{b_{\alpha} \left( \partial_{\beta} h^{\alpha\beta} - \frac{1}{2} \partial^{\alpha} h_{\beta}^{\beta} \right)}_{S_{\text{eff}\xi}^{(\text{lin})}} + \underbrace{B_{\alpha} \left( \partial_{\beta} H^{\alpha\beta} - \frac{1}{2} \partial^{\alpha} H_{\beta}^{\beta} \right)}_{S_{\text{eff}\zeta}^{(\text{lin})}} + \underbrace{b_{\alpha} \left( \partial_{\beta} h^{\alpha\beta} - \frac{1}{2} \partial^{\alpha} H_{\beta}^{\beta} \right)}_{S_{\text{FF}\zeta}^{(\text{lin})}} + \underbrace{b_{\alpha} \left( \Box C^{\alpha} - \partial^{\alpha} C \right)}_{S_{\text{FF}\zeta}^{(\text{lin})}} + \underbrace{b_{\alpha} \left( \Box C^{\alpha} + 2 \Box \partial^{\alpha} C \right)}_{S_{\text{FF}\zeta}^{(\text{lin})}} + \underbrace{b_{\alpha} \left( \Box C^{\alpha} - \partial^{\alpha} C \right)}_{S_{\text{FF}\zeta}^{(\text{lin})}}
$$

### Propagators

Propagators are the components of the inverse of the Hessian matrix

$$
-i \langle 0 | T \Phi_A \Phi_B | 0 \rangle = \Omega_{AB}^{-1}(p) \quad \text{where} \quad \Omega^{AB}(p) = \int d^4x \frac{\delta^2 S_0}{\delta \Phi_A(x) \delta \Phi_B(y)} e^{-ip(x-y)}
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$$

Important (non-zero) classical field propagators:

$$
-i\left\langle 0 \right| Th^{\alpha\beta}H^{\gamma\delta}\left| 0 \right\rangle = \frac{1}{2p^4} \left( \eta^{\alpha\gamma}p^\beta p^\delta + \eta^{\alpha\delta}p^\beta p^\gamma + \eta^{\beta\gamma}p^\alpha p^\delta + \eta^{\beta\delta}p^\alpha p^\gamma \right) - \frac{1}{2p^2} \left( \eta^{\alpha\gamma}\eta^{\beta\delta} + \eta^{\alpha\delta}\eta^{\beta\gamma} - \eta^{\alpha\beta}\eta^{\gamma\delta} \right)
$$

*H* has a double pole

$$
- i \langle 0 | Th^{\alpha\beta}h^{\gamma\delta} | 0 \rangle = -\frac{1}{2p^2} \Big( - i \langle 0 | Th^{\alpha\beta}H^{\gamma\delta} | 0 \rangle \Big) \qquad \qquad - i \langle 0 | TA^{\alpha}A^{\beta} | 0 \rangle = -\frac{\eta^{\alpha\beta}}{p^2}
$$
\nAns a triple pole

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High energy behavior is nice, but there is a complicated pole structure in the spin-2 sector

### Oscillator decomposition

### Make ansatz for each field based on pole structure of its propagators

$$
h^{\alpha\beta}(x)=h^{\alpha\beta}_f(\pmb{p})f_{\pmb{p}}(x)+h^{\alpha\beta}_g(\pmb{p})g_{\pmb{p}}(x)+h^{\alpha\beta}_h(\pmb{p})h_{\pmb{p}}(x)+(\text{h.c.})\qquad\text{triple pole, need}\,f_{\pmb{p}},\,g_{\pmb{p}},\,\text{and}\,h_{\pmb{p}}(x)
$$

double pole, need *f<sup>p</sup>* and *g<sup>p</sup>*

 $A^{\alpha}(x) = A^{\alpha}_f(p) f_p(x) + (h.c.)$  simple pole, just need  $f_p$ 

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Write higher pole oscillators in terms of other simple oscillators using EOMs/gauge conditions

$$
\Box h_{\alpha\beta} - \frac{1}{2} \Big( H_{\alpha\beta} + \partial_{\alpha} \big( A_{\beta} + B_{\beta} \big) + \partial_{\beta} \big( A_{\alpha} + B_{\alpha} \big) \Big) = 0 \qquad \Box H_{\alpha\beta} - \frac{1}{2} \Big( \partial_{\alpha} b_{\beta} + \partial_{\beta} b_{\alpha} \Big) = 0 \qquad \Box A_{\alpha} = 0
$$

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$$
\Box h_{\alpha\beta} - \frac{1}{2} \Big( H_{\alpha\beta} + \partial_\alpha (A_\beta + B_\beta) + \partial_\beta (A_\alpha + B_\alpha) \Big) = 0 \qquad \Box H_{\alpha\beta} - \frac{1}{2} \Big( \partial_\alpha b_\beta + \partial_\beta b_\alpha \Big) = 0 \qquad \Box A_\alpha = 0
$$

Oscillator commutators match (-p<sup>-2</sup>) coefficient of the full field propagators

$$
\left[h_{f\alpha\beta}(\boldsymbol{p}),H_{f\gamma\delta}^{\dagger}(\boldsymbol{q})\right]=\frac{1}{2}\big(\eta_{\alpha\gamma}\eta_{\beta\delta}+\eta_{\alpha\delta}\eta_{\beta\gamma}-\eta_{\alpha\beta}\eta_{\gamma\delta}\big)\delta^{3}(\boldsymbol{p}-\boldsymbol{q})\qquad\left[A_{f\alpha}(\boldsymbol{p}),A_{f\beta}^{\dagger}(\boldsymbol{q})\right]=\eta_{\alpha\beta}\delta^{3}(\boldsymbol{p}-\boldsymbol{q})
$$

# Physical states

BRST transformation singles out six obvious invariant combos of oscillator components

$$
a_{h,\pm} = \frac{1}{2} \left( h_{f11} - h_{f22} \right) \mp i h_{f12} \qquad a_{H,\pm} = \frac{1}{2} \left( H_{f11} - H_{f22} \right) \mp i H_{f12} \qquad a_{A,\pm} = \frac{1}{\sqrt{2}} \left( A_{f1} - \frac{i H_{f13}}{E} \mp i \left( A_{f2} - \frac{i H_{f23}}{E} \right) \right)
$$
  

$$
\left[ \mathcal{Q}, a_{h,\pm} \right] = 0 \qquad \left[ \mathcal{Q}, a_{H,\pm} \right] = 0 \qquad \left[ \mathcal{Q}, a_{A,\pm} \right] = 0
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\left[ \mathcal{Q}, a_{h,\pm} \right] = 0 \qquad \left[ \mathcal{Q}, a_{H,\pm} \right] = 0 \qquad \left[ \mathcal{Q}, a_{A,\pm} \right] = 0
$$

Writing oscillators in terms of these operators, transverse polarizations appear naturally

circular polarization tensors  
\n
$$
h_{f\alpha\beta}(\mathbf{p}) = \varepsilon_{+\alpha\beta}(\mathbf{p})a_{h,+}(\mathbf{p}) + \varepsilon_{-\alpha\beta}(\mathbf{p})a_{h,-}(\mathbf{p}) + \cdots + (\text{h.c.})
$$
\n
$$
H_{f\alpha\beta}(\mathbf{p}) = \varepsilon_{+\alpha\beta}(\mathbf{p})a_{H,+}(\mathbf{p}) + \varepsilon_{-\alpha\beta}(\mathbf{p})a_{H,-}(\mathbf{p}) + \cdots + (\text{h.c.})
$$
\nAll other in  
\n
$$
A_{f\alpha}(\mathbf{p}) = \varepsilon_{+\alpha}(\mathbf{p})a_{A,+}(\mathbf{p}) + \varepsilon_{-\alpha}(\mathbf{p})a_{A,-}(\mathbf{p}) + \cdots + (\text{h.c.})
$$
\nAll other in  
\n
$$
A_{f\alpha}(\mathbf{p}) = \varepsilon_{+\alpha}(\mathbf{p})a_{A,+}(\mathbf{p}) + \varepsilon_{-\alpha}(\mathbf{p})a_{A,-}(\mathbf{p}) + \cdots + (\text{h.c.})
$$

other independent components are longitudinal and into quartets with the NL, ghost, and anti-ghost fields

# Physical states

### BRST transformation singles out six obvious invariant combos of oscillator components

$$
a_{h,\pm} = \frac{1}{2} \Big( h_{f11} - h_{f22} \Big) \mp i h_{f12} \qquad a_{H,\pm} = \frac{1}{2} \Big( H_{f11} - H_{f22} \Big) \mp i H_{f12} \qquad a_{A,\pm} = \frac{1}{\sqrt{2}} \Big( A_{f1} - \frac{i H_{f13}}{E} \mp i \Big( A_{f2} - \frac{i H_{f23}}{E} \Big) \Big)
$$
  

$$
\Big[ \mathcal{Q}, a_{h,\pm} \Big] = 0 \qquad \Big[ \mathcal{Q}, a_{H,\pm} \Big] = 0 \qquad \Big[ \mathcal{Q}, a_{A,\pm} \Big] = 0
$$

Writing oscillators in terms of these operators, transverse polarizations appear naturally

circular polarization tensors  
\n
$$
h_{f\alpha\beta}(\mathbf{p}) = \varepsilon_{+\alpha\beta}(\mathbf{p})a_{h,+}(\mathbf{p}) + \varepsilon_{-\alpha\beta}(\mathbf{p})a_{h,-}(\mathbf{p}) + \cdots + (\text{h.c.})
$$
\n
$$
H_{f\alpha\beta}(\mathbf{p}) = \varepsilon_{+\alpha\beta}(\mathbf{p})a_{H,+}(\mathbf{p}) + \varepsilon_{-\alpha\beta}(\mathbf{p})a_{H,-}(\mathbf{p}) + \cdots + (\text{h.c.})
$$
\nAll other ind  
\n
$$
A_{f\alpha}(\mathbf{p}) = \varepsilon_{+\alpha}(\mathbf{p})a_{A,+}(\mathbf{p}) + \varepsilon_{-\alpha}(\mathbf{p})a_{A,-}(\mathbf{p}) + \cdots + (\text{h.c.})
$$
\nAll other ind  
\nfit into quart

lependent components are longitudinal and tets with the NL, ghost, and anti-ghost fields

### Commutators between physical states define the interacting quantum theory

$$
\left[a_{h,\lambda}(\boldsymbol{p}),a_{H,\lambda'}^{\dagger}(\boldsymbol{q})\right]=\delta_{\lambda\lambda'}\delta^{3}(\boldsymbol{p}-\boldsymbol{q})\quad \text{Off-diagonal, indefinite}\\ \text{inner product metric!}
$$

$$
\left[a_{A,\lambda}(\boldsymbol{p}),a_{A,\lambda'}^{\dagger}(\boldsymbol{q})\right]=\delta_{\lambda\lambda'}\delta^{3}(\boldsymbol{p}-\boldsymbol{q})\hspace{0.2cm}\text{Standard inner}\atop\text{product metric}
$$

### Unitarity

A healthy S-matrix is pseudo-unitary, leaves the vacuum invariant, and commutes with the Hamiltonian and BRST charge

$$
SS^{\dagger} = S^{\dagger}S = \mathbb{1} \qquad S |0\rangle = S^{\dagger} |0\rangle = |0\rangle \qquad [\mathcal{H}, S] = [\mathcal{Q}, S] = 0 \qquad \longrightarrow \qquad \text{spin-1 (definite metric)} \quad \text{where} \quad \mathcal{S}^{\dagger} = \mathcal{S}^{\dagger}S = \mathbb{1} \qquad \text{where} \quad \mathcal{S}^{\dagger} = \mathcal{S}^{\dagger} S = \mathbb{1} \qquad \text{where} \quad \mathcal{S}^{\dagger} = \mathcal{S}^{\dagger} S = \mathbb{1} \qquad \text{where} \quad \mathcal{S}^{\dagger} = \mathcal{S}^{\dagger} S = \mathbb{1} \qquad \text{where} \quad \mathcal{S}^{\dagger} = \mathbb{1} \qquad \text
$$

### Unitarity

A healthy S-matrix is pseudo-unitary, leaves the vacuum invariant, and commutes with the Hamiltonian and BRST charge

$$
SS^{\dagger} = S^{\dagger}S = \mathbb{1} \qquad S\ket{0} = S^{\dagger}\ket{0} = \ket{0} \qquad [\mathcal{H},S] = [\mathcal{Q},S] = 0 \quad \longrightarrow \quad \mathop{\mathrm{spin-1\,(definite metric)}}_{\mathrm{spin-2\,(indefinite metric)}} \; \pmb{\times}
$$

Indefinite metric means only off-diagonal interactions contribute to the S-matrix

$$
{}_{h}\langle n, \mathit{out} | m, \mathit{in} \rangle_{h} = {}_{H}\langle n, \mathit{out} | m, \mathit{in} \rangle_{H} = 0 \qquad {}_{h}\langle n, \mathit{out} | m, \mathit{in} \rangle_{H} = {}_{h}\langle n, \mathit{in} | S | m, \mathit{in} \rangle_{H} \neq 0
$$

### Unitarity

A healthy S-matrix is pseudo-unitary, leaves the vacuum invariant, and commutes with the Hamiltonian and BRST charge

$$
SS^{\dagger} = S^{\dagger}S = \mathbb{1} \qquad S\ket{0} = S^{\dagger}\ket{0} = \ket{0} \qquad [\mathcal{H}, S] = [\mathcal{Q}, S] = 0 \qquad \longrightarrow \qquad \text{spin-1 (definite metric)} \quad \text{where} \quad \mathcal{S} = \mathcal{S}^{\dagger}S = \mathcal{
$$

Indefinite metric means only off-diagonal interactions contribute to the S-matrix

$$
h_n \langle n, \text{out} | m, \text{in} \rangle_h = H_n \langle n, \text{out} | m, \text{in} \rangle_H = 0
$$
  $h_n \langle n, \text{out} | m, \text{in} \rangle_H = h_n \langle n, \text{in} | S | m, \text{in} \rangle_H \neq 0$ 

LSZ reduction formula lets us check the requirement for unitarity explicitly

$$
{}_h\left\langle\alpha, \text{in}|S^\dagger \mathbb 1 S|\alpha, \text{in}\right\rangle_H = \sum_{m=0} \int \text{d}^3\pmb{p}_m (-1)^m {}_h\!\left\langle\cdots\right\rangle_H \neq 1 \qquad \text{(a is some arbitrary initial state)}
$$

This  $(-1)^{m}$  is not typical and comes from the indefinite metric – it spoils unitarity!

$$
h \langle \alpha, \text{in} | S^{\dagger} | m, \text{in} \rangle_{H} \neq (h \langle m, \text{in} | S | \alpha, \text{in} \rangle_{H})^{\dagger}
$$

### Summary

- We can rewrite fourth order quadratic gravity as a second order theory with first class constraints by introducing some additional fields
	- This rewriting makes QG look remarkably like non-Abelian gauge theory
- This formulation is well-suited for BRST quantization
	- Standard techniques let us identify the subspace of physical transverse states
	- We can construct all the important quantum operators Hamiltonian, S-matrix, etc.
	- This formalism allows one to study off-shell quantities in quantum QG (e.g. correlation functions) with tools that were previously unavailable
- The ghost problem may be viewed in the context of indefinite metric QFT

Thank you for your attention!

### Comparison to quadratic gravity

An additional auxiliary field is needed, but no conformal symmetry means no scalar BRST fields

$$
S_{\chi} = \int d^4x \sqrt{-g} \left( -\frac{2}{\alpha_g} R \chi - \frac{1}{2\beta} \chi^2 \right) \qquad \{B, C, \bar{C}\} \longrightarrow S_{\text{gt}\omega} + S_{\text{FP}\omega}
$$

Quantum spin-2,1 sectors work out the same, additional spin-0 sector with long. mode of *A* (φ)

$$
\phi^{\langle \beta; \, in | \alpha; \, in \rangle}{}_{\phi} = \frac{\langle \beta; \, in | \alpha; \, in \rangle}{\langle \beta; \, in | \alpha; \, in \rangle}{}_{\chi} = 0 \qquad \qquad \phi^{\langle \beta; \, in | \alpha; \, in \rangle}{}_{\chi} = \delta_{\alpha\beta}
$$

Spin-0 sector shows the same off-diagonal indefinite metric behavior

### The quantum Hamiltonian

The Heisenberg equation grants us the Hamiltonian operator

$$
[\mathcal{H}, \phi_A(x)] = -i\partial_0\phi_A(x) \qquad \mathcal{H} = \int d^3p \sum_{\lambda=\pm} \left( E\Big(a_{h,\lambda}^\dagger(\boldsymbol{p})a_{H,\lambda}(\boldsymbol{p}) + a_{H,\lambda}^\dagger(\boldsymbol{p})a_{h,\lambda}(\boldsymbol{p})\Big) + \frac{1}{4E}\Big(a_{H,\lambda}^\dagger(\boldsymbol{p})a_{H,\lambda}(\boldsymbol{p})\Big)\right)
$$

Spin-2 Hamiltonian has a single one-particle eigenstate, and an atypical two-particle eigenstate

$$
\mathcal{H}|\boldsymbol{p},\lambda\rangle = \frac{a_{H,\lambda}^{\dagger}(\boldsymbol{p})}{4E}|0\rangle = E|\boldsymbol{p},\lambda\rangle
$$

$$
\mathcal{H}|\boldsymbol{p},\boldsymbol{q},\lambda\rangle = \frac{1}{2}\Big(\big(E_{\boldsymbol{p}}/E_{\boldsymbol{q}}\big)^{1/2}a_{h,\lambda}^{\dagger}(\boldsymbol{p})a_{H,\lambda}^{\dagger}(\boldsymbol{q}) - \big(E_{\boldsymbol{q}}/E_{\boldsymbol{p}}\big)^{1/2}a_{h,\lambda}^{\dagger}(\boldsymbol{q})a_{H,\lambda}^{\dagger}(\boldsymbol{p})\Big)|0\rangle = \big(E_{\boldsymbol{p}} + E_{\boldsymbol{q}}\big)|\boldsymbol{p},\boldsymbol{q},\lambda\rangle
$$

From these we build the unit operator, where the troublesome  $(-1)^{m}$  appears

$$
\mathbb{1}=\sum_{m,n=0}\sum_{\lambda_m,\zeta_n}\int\, \mathrm{d}^3\bm{p}_m\mathrm{d}^3\bm{q}_m\mathrm{d}^3\bm{k}_n(-1)^m\,|\bm{p}_m,\bm{q}_m,\lambda_m;\bm{k}_n,\zeta_n\rangle_H\,{}_h\langle\bm{p}_m,\bm{q}_m,\lambda_m;\bm{k}_n,\zeta_n|
$$

### The LSZ reduction formula

### Inverting the spin-2 oscillator definitions gives their in-out overlaps

$$
a_{h,\lambda}^{\text{out}}(\boldsymbol{p}) - a_{h,\lambda}^{\text{in}}(\boldsymbol{p}) = -i \int d^4x \, \varepsilon_{\lambda}^* \alpha \beta(\boldsymbol{p}) g_{\boldsymbol{p}}^*(x) \square^2 h^{\alpha \beta}(x) = -\frac{i}{2} \int d^4x \, \varepsilon_{\lambda}^* \alpha \beta(\boldsymbol{p}) g_{\boldsymbol{p}}^*(x) \square H^{\alpha \beta}(x)
$$
  

$$
a_{H,\lambda}^{\text{out}\dagger}(\boldsymbol{p}) - a_{H,\lambda}^{\text{in}\dagger}(\boldsymbol{p}) = i \int d^4x \, \varepsilon_{\lambda} \alpha \beta(\boldsymbol{p}) f_{\boldsymbol{p}}(x) \square H^{\alpha \beta}(x)
$$

In regular gauge theory no dipoles appear here, but in fourth order theories they survive

$$
{}_{k}\langle \mathbf{p}'_{m'}, \mathbf{q}'_{m'}, \lambda'_{m'}; \mathbf{k}'_{n'}, \zeta'_{n'}; \text{out} | \mathbf{p}_{m}, \mathbf{q}_{m}, \lambda_{m}; \mathbf{k}_{n}, \zeta_{n}; \text{in} \rangle_{H}
$$
\n
$$
= \prod_{k=1}^{m'} \left[ -\frac{1}{4} \int d^{4}x'_{k} d^{4}y'_{k} \Big( \big( E_{\mathbf{p}'_{k}} / E_{\mathbf{q}'_{k}} \big)^{1/2} \varepsilon_{\lambda'_{k}}^{\ast} \alpha'_{k} \beta'_{k} (\mathbf{p}'_{k}) \varepsilon_{\lambda'_{k}}^{\ast} \gamma'_{k} \delta'_{k} (\mathbf{q}'_{k}) g_{\mathbf{p}'_{k}} (\mathbf{x}'_{k}) f_{\mathbf{q}'_{k}}^{\ast} (\mathbf{y}'_{k}) - (\mathbf{p}'_{k} \leftrightarrow \mathbf{q}'_{k}) \Big) \Box_{x'_{k}} \Box_{y'_{k}} \right] \prod_{l=1}^{n'} \left[ -\frac{i}{2} \int d^{4}z'_{l} \varepsilon_{\zeta_{l}}^{\ast \mu'_{l} \nu'_{l}} (\mathbf{k}'_{l}) g_{\mathbf{k}'_{l}} (z'_{l}) \Box_{z'_{l}} \right]
$$
\n
$$
\prod_{i=1}^{m} \left[ -\frac{1}{4} \int d^{4}x_{i} d^{4}y_{i} \Big( \big( E_{\mathbf{p}_{i}} / E_{\mathbf{q}_{i}} \big)^{1/2} \varepsilon_{\lambda_{i}}^{\ast} \beta^{3}(\mathbf{p}_{i}) \varepsilon_{\lambda_{i}}^{\ast} \delta'_{i} (\mathbf{q}_{i}) g_{\mathbf{p}_{i}} (x_{i}) f_{\mathbf{q}_{i}} (y_{i}) - (\mathbf{p}_{i} \leftrightarrow \mathbf{q}_{i}) \Big) \Box_{x_{i}} \Box_{y_{i}} \right] \prod_{j=1}^{n} \left[ -i \int d^{4}z_{j} \varepsilon_{\zeta_{j}}^{\ast} \mu_{j} \nu_{j} (\mathbf{k}_{j}) f_{\mathbf{k}_{j}} (z_{j}) \Box_{z_{j}} \right]
$$
\n
$$
\langle 0 | TH_{\alpha'_{1}\beta'_{1}}(x'_{1}) \cdots H_{\
$$

### Full propagators

$$
h^{\gamma\delta} = H^{\gamma\delta} = A^{\gamma} = b^{\gamma} = B
$$
\n
$$
h^{\mu\nu} = \begin{pmatrix}\n-\frac{G^{\mu\nu\gamma\delta}}{2p^{2}} & G^{\mu\nu\gamma\delta} & 0 & -\frac{i(\eta^{\nu\gamma}p^{\mu} + \eta^{\mu\gamma}p^{\nu})}{p^{2}} & 0 & -\frac{2p^{\mu}p^{\nu}}{p^{4}} - \frac{\eta^{\mu\nu}}{p^{2}} \\
H^{\mu\nu} & 0 & 0 & 0 & -\frac{i(\eta^{\nu\gamma}p^{\mu} + \eta^{\mu\gamma}p^{\nu})}{p^{2}} & 0 \\
H^{\mu\nu} & -\frac{\eta^{\mu\gamma}}{p^{2}} & 0 & \frac{\eta^{\mu\gamma}}{p^{2}} & -\frac{2ip^{\mu}}{p^{2}} \\
\hline\n\delta^{\mu} & 0 & 0 & 0 & 0 \\
B^{\mu} & 0 & 0 & 0 & 0 \\
B^{\mu} & 0 & 0 & 0 & 0 \\
B^{\mu} & 0 & 0 & 0 & 0 \\
B^{\mu} & 0 & 0 & -\frac{ip^{\mu\gamma}}{p^{2}} & 0 & -\frac{p^{\mu}}{p^{4}} \\
0 & 0 & 0 & -\frac{i\eta^{\mu\gamma}}{p^{2}} & -\frac{2p^{\mu}}{p^{2}}\n\end{pmatrix}
$$
\n
$$
\Omega_{\text{ghost}}^{-1} = \frac{C}{\rho} \begin{pmatrix}\n0 & 0 & 0 & -\frac{i\eta^{\mu\gamma}}{p^{2}} & 0 & -\frac{p^{\mu}}{p^{4}} \\
0 & 0 & 0 & -\frac{i\eta^{\mu\gamma}}{p^{2}} & -\frac{2p^{\mu}}{p^{2}} \\
0 & 0 & 0 & -\frac{i}{p^{2}} & 0 \\
0 & 0 & 0 & -\frac{i}{p^{2}} & 0 \\
0 & 0 & 0 & -\frac{i}{p^{2}} & 0 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0\n\end{pmatrix}
$$
\n
$$
\Omega_{\text{ghost}}^{-1} = \frac{C}{\rho^{\mu}} \begin{pmatrix}\n0 & 0 & 0 & 0 \\
0 & 0 & 0 & -\frac{i\eta^{\mu\gamma}}{p^{2}} & -\frac{2p^{\mu}}{p^{2}}
$$

 $\Omega_{AB}^{-1}(p)=\begin{pmatrix} \Omega_{\rm boson}^{-1} & 0 \\ 0 & \Omega_{\rm ghost}^{-1} \end{pmatrix}_{AB}$ 

# More LSZ

$$
f_{p}(x) = \frac{1}{\sqrt{2EV}} e^{ipx}
$$
  
\n
$$
g_{p}(x) = -\frac{1}{2\sqrt{2EV}} \left( \frac{1}{2E^{2}} + \frac{ix^{0}}{E} \right) e^{ipx}
$$
  
\n
$$
h_{p}(x) = \frac{1}{8\sqrt{2EV}} \left( \frac{5}{4E^{4}} + \frac{2ix^{0}}{E^{3}} - \frac{(x^{0})^{2}}{E^{2}} \right) e^{ipx}
$$
  
\n
$$
D^{(+)}(x - y) = \sum f(x) f^{*}(y)
$$
  
\n
$$
D^{(+)}(x - y) = \sum f(x) f^{*}(y)
$$
  
\n
$$
D^{(+)}(x - y) = \sum f(x) f^{*}(y)
$$

$$
D = D^{(+)} - D^{(-)}
$$
  
\n
$$
E^{(+)}(x - y) = \sum_{p} (f_{p}(x)g_{p}^{*}(y) + g_{p}(x)f_{p}^{*}(y))
$$
  
\n
$$
E = E^{(+)} - E^{(-)}
$$
  
\n
$$
F^{(+)}(x - y) = \sum_{p} (f_{p}(x)h_{p}^{*}(y) + h_{p}(x)f_{p}^{*}(y) + g_{p}(x)g_{p}^{*}(y))
$$
  
\n
$$
F = F^{(+)} - F^{(-)}
$$
  
\n
$$
D_{F}(x) = \theta(x^{0})D^{(+)}(x) + \theta(-x^{0})D^{(-)}(x) = -i \int \frac{d^{4}p}{(2\pi)^{4}} \frac{e^{ipx}}{p^{2} - i\epsilon}
$$
  
\n
$$
E_{F}(x) = \theta(x^{0})E^{(+)}(x) + \theta(-x^{0})E^{(-)}(x) = i \int \frac{d^{4}p}{(2\pi)^{4}} \frac{e^{ipx}}{(p^{2} - i\epsilon)^{2}}
$$
  
\n
$$
F_{F}(x) = \theta(x^{0})F^{(+)}(x) + \theta(-x^{0})F^{(-)}(x) = -i \int \frac{d^{4}p}{(2\pi)^{4}} \frac{e^{ipx}}{(p^{2} - i\epsilon)^{3}}
$$