# The Tadpole Conjecture in the Asymptotic Limits of Moduli Space

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Based on [arXiv:2204.05331] with M. Graña, T. Grimm, D. van de Heisteeg, E. Plauschinn







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## The Landscape of Supersymmetric String Theories



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Bianchi identity / EoM integrated over compact space — Tadpole cancellation condition

$$dF_p = \text{sources} \longrightarrow 0 = \int_{Y_n} \text{sources}$$

• Consider F-theroy on a Calabi-Yau fourfold with fluxes

Review: [Denef '08] Effective action: [Grimm '10] [Haack, Louis '21]

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• Hodge decomposition and Hodge star:  $H_{\text{prim}}^4 = H^{4,0} \oplus H^{3,1} \oplus H_{\text{prim}}^{2,2} \oplus H^{1,3} \oplus H^{0,4}$ 

$$\star v^{p,q} = i^{p-q} v^{p,q}$$

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$$Q \leq \frac{\chi\left(Y_{4}\right)}{24} = \frac{1}{4} \left(8 + h^{1,1} + h^{3,1} - h^{2,1}\right)$$

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[Bena, Blaback, Graña, Lüst '20]

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**GOAL:** Prove this in the strict asymptotic region of moduli space

## Asymptotic Hodge Theory-Asymptotic limits-[Griffiths, Deligne, Schmid, Cattani, Kaplan...]



[Grimm, Palti, Valenzuela, Li, Bastian, Castellano, Calderón-Infante, Corvilain, Font, Gendler, Van de Heisteeg, Ibáñez, Monnee, Plauschinn, Uranga...]

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**limits-** [Griffiths, Deligne, Schmid, Cattani, Kaplan...]

![](_page_15_Figure_2.jpeg)

Parametrize boundaries

#### Asymptotic Hodge Theory -Asymptotic limits- [Griffiths, Cattani, K

[Griffiths, Deligne, Schmid, Cattani, Kaplan...]

- Parametrize boundaries with coordinates  $t^i = \phi^i + is^i$  $s^i \to \infty$
- Shift symmetry  $\phi^i \rightarrow \phi^i + 1$  $\Pi(t^i + 1) = T_i \Pi(t^i)$

![](_page_16_Figure_4.jpeg)

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[Griffiths, Deligne, Schmid, Cattani, Kaplan...]

Parametrize boundaries with coordinates  $t^i = \phi^i + is^i$  $T_i = e^{N_i}$  $s^i \to \infty$ • Shift symmetry  $\phi^i \rightarrow \phi^i + 1$  $\Pi(t^i + 1) = T_i \Pi(t^i)$ Boundary Asymptotic region —>> Drop exponential corrections

 $s^1, s^2, \dots, s^n \gg 1$ 

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Parametrize boundaries with coordinates  $t^i = \phi^i + is^i$  $T_i = e^{N_i}$  $s^i \to \infty$ • Shift symmetry  $\phi^i \rightarrow \phi^i + 1$  $\Pi(t^i + 1) = T_i \Pi(t^i)$ **Boundary**  Asymptotic region —>> Drop exponential corrections  $s^1, s^2, \dots, s^n \gg 1$ 

**Strict** Asymptotic Region —>> Introduce an **ordering** (drop polynomial corrections)

 $\frac{s^{1}}{s^{2}} > \gamma, \quad \frac{s^{2}}{s^{3}} > \gamma, \quad \dots, \quad \frac{s^{n-1}}{s^{n}} > \gamma, \quad s^{n} > \gamma$  $\gamma \gg 1$ 

![](_page_19_Figure_0.jpeg)

![](_page_20_Figure_0.jpeg)

• Boundary Hodge Decomposition:  $H^4_{\text{prim}}(Y_4, \mathbb{C}) = H^{4,0}_{\infty} \oplus H^{3,1}_{\infty} \oplus H^{2,2}_{\infty} \oplus H^{1,3}_{\infty} \oplus H^{0,4}_{\infty}$ 

![](_page_21_Figure_0.jpeg)

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- sl(2) splitting: *n* commuting sl(2) triplets:  $\{N_i^-, N_i^+, N_i^0\}$

![](_page_22_Figure_0.jpeg)

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- sl(2) splitting: *n* commuting sl(2) triplets:  $\{N_i^-, N_i^+, N_i^0\}$
- Decompose fluxes into sl(2) reps:

$$H^4_{\text{prim}}\left(Y_4,\mathbb{R}\right) = \bigoplus V_{\ell}$$

$$\boldsymbol{\ell} = \left(\boldsymbol{\ell}_1, \dots, \boldsymbol{\ell}_n\right)$$

![](_page_23_Figure_0.jpeg)

![](_page_24_Figure_0.jpeg)

• Elements in  $H_{\text{prim}}^4(Y_4, \mathbb{R})$  arrange into irreps of the n commuting sl(2)  $\longrightarrow$  **Orthogonal** among themselves

![](_page_25_Figure_0.jpeg)

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- All but one sl(2) reps are "simple" and their weights go from -2 to 2

![](_page_26_Figure_0.jpeg)

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• Bdry and sl(2) Hodge star:  

$$\star \longrightarrow \star_{sl(2)} \overset{*}{\underset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}^{2}}{\overset{||v_{\ell}||v_{sl(2)}^{2}}{\overset{||v_{\ell}||_{sl(2)}$$

$$G_4 = \star G_4 \quad \longrightarrow \quad G_4 = \star_{\mathrm{sl}(2)} G_4$$

[Grimm, Li, Valenzuela '20]

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• Introduce the axion-dependent fluxes:  $\hat{G}_4 = e^{-\phi^i N_i^-} G_4 \qquad \langle G_4, G_4 \rangle = \langle \hat{G}_4, \hat{G}_4 \rangle$ 

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• Self-duality: 
$$\hat{G}_4 = \left[ e^{-\phi^i N_i^-} \star_{\mathrm{sl}(2)} e^{+\phi^i N_i^-} \right] \hat{G}_4$$

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$$\hat{G}_{-\ell} = \left(\frac{s^1}{s^2}\right)^{\ell_1} \cdots \left(\frac{s^{n-1}}{s^n}\right)^{\ell_{n-1}} (s^n)^{\ell_n} \star_{\infty} \hat{G}_{+\ell}$$

• Analyze all possible sl(2) reps:  $P_0 P_{01_i} P_{02_i} P_{01_i2_i}$ 

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- **ONE sl(2) rep** fixes **ONE saxionic** and one **axionic direction**
- Each sl(2) rep gives a positive contribution to the tadpole

![](_page_36_Figure_1.jpeg)

• The single sl(2) rep from the (4,0)-form can fix at most 4 moduli (and introduce SUSY breaking)

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- The single sl(2) rep from the (4,0)-form can fix at most 4 moduli (and introduce SUSY breaking)
- Combining many sl(2) reps only imposes compatibility constraints between the fluxes, but never lowers the tadpole for a fixed modulus.
- Tadpole-wise, the most economic thing is to turn on only one sl(2) for each modulus.

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q labels sl(2)-representations

$$2Q = \langle G_4, G_4 \rangle = \langle \hat{G}_4, \hat{G}_4 \rangle = \sum_q \langle \hat{G}_q, \hat{G}_q \rangle \ge 2\sum_{q, \ell > 0} \langle \hat{G}_{q,-\ell}, \hat{G}_{q,\ell} \rangle$$

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 $= 2 \sum_{q, \ell > 0} \left( \frac{s^1}{s^2} \right)^{\ell_1} \cdots (s^n)^{\ell_n} ||\hat{G}_{q,\ell}||_{\infty}^2 \qquad q \quad \text{labels sl(2)-representations} \\ \ell > 0 \quad \text{labels positive weights}$ 

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$$\geq 2 \sum_{q} \left( \frac{s^1}{s^2} \right)^{\ell'_1} \cdots (s^n)^{\ell'_n} ||G_{q,\ell'}||_{\infty}^2$$

- qlabels sl(2)-representations $\ell > 0$ labels positive weights
- $\ell'$  labels the highest weight within each sl(2) rep

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within each sl(2) rep

*n* positive-definite terms (at least)

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within each sl(2) rep

*n* positive-definite terms (at least)

At least linear scaling with (a large number of) stabilized moduli

For large number of stabilized moduli, most of them (i.e. at least (*n* − 4) of them) have to be fixed with fluxes from these representations

$$P_0 P_{01_i} P_{02_i} P_{01_i2_j}$$

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![](_page_47_Picture_3.jpeg)

• For large number of stabilized moduli, most of them (i.e. at least (n - 4) of them)

have to be fixed with fluxes from these representations

$$P_0 P_{01_i} P_{02_i} P_{01_i2_j}$$

• Each sl(2) rep fixes one modulus and gives one positive contribution to the tadpole

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---> Linear scaling of the tadpole bound with the number of fixed moduli

$$Q \ge \sum_{q} \gamma^{\Sigma \ell'_{i}} ||G_{q,\ell'}||_{\infty}^{2}$$

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$$Q \ge \sum_{q} \gamma^{\Sigma \ell'_{i}} ||G_{q,\ell'}||_{\infty}^{2}$$

• Key qualitative difference between small and large number of moduli

![](_page_51_Picture_0.jpeg)

## Backup slides

## Charge quantization and extensions

• Charge quantization in the sl(2)-basis (over  $\mathbb{Q}$  instead of over  $\mathbb{Z}$ )  $\longrightarrow$ 

Exclude scaling of 
$$||G_{\ell}||_{\infty}^{2} \sim \frac{1}{n \gamma \Sigma_{i} \ell_{i}} \longrightarrow$$
 Found numerical evidence  
 $Q \ge \sum_{q} \gamma \Sigma \ell_{i}^{\prime} ||G_{q,\ell'}||_{\infty}^{2}$ 
Extend to the interior of moduli space

Include polynomial corrections (strict asymptotic limit) --> "Linear scenario"
 [Grimm '20]
 [Marchesano, Prieto, Wiesner '21]
 [Delti Taginata Ward '08]

[Palti, Tasinato, Ward '08] See also: [Plauschinn '22] [Lüst '22]

3) Interior of moduli space  $\longrightarrow$  Hodge loci of  $G_4^{2,2}$  fluxes is algebraic [Bakker, Grimm, Schnell, Tsimerman '21] [Cattani, Deligne, Kaplan '95]

![](_page_54_Figure_0.jpeg)

## Asymptotic Hodge Theory -Hodge star close to the boundary-

The boundary Hodge decomposition naturally includes a boundary Hodge star operator:

$$\begin{aligned} \star_{\infty} : V_{\ell} \to V_{-\ell} \\ \langle v_{\ell}, v_{\ell'} \rangle &= 0 \quad \text{for } \ell \neq -\ell' \\ \langle v_{\ell}, \star_{\infty} v_{\ell'} \rangle &= 0 \quad \text{for } \ell \neq \ell' \end{aligned}$$

![](_page_55_Figure_3.jpeg)

• Allows to express the Hodge star in the strict asymptotic limit:  $\star \longrightarrow \star_{sl(2)}$ 

Vanishing axions: 
$$\star_{\mathrm{sl}(2)} v_{\ell} = \left(\frac{s^1}{s^2}\right)^{\ell_1} \left(\frac{s^2}{s^3}\right)^{\ell_2} \dots \left(\frac{s^{n-1}}{s^n}\right)^{\ell_{n-1}} \left(s^n\right)^{\ell_n} \star_{\infty} v_l$$

Non-vanishing axions  $\longrightarrow$  Mixing with lower subspaces via  $e^{\phi^i N_i^-} v_l$ 

## Asymptotic Hodge Theory -Highest weight spaces-

- Elements in  $H_{\text{prim}}^4(Y_4, \mathbb{R})$  arrange into irreps of the n commuting sl(2)  $\longrightarrow$  Generated by applying  $N_i^-$  to **highest weight states**
- What are the possible highest weight states?

Only **ONE**, corresponding to the **(4,0)-form**, can move along the exterior line of the diagram

![](_page_56_Picture_4.jpeg)

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![](_page_57_Figure_3.jpeg)

#### Moduli stabilization at work

$$\hat{G}_{-\ell} = \left(\frac{s^1}{s^2}\right)^{\ell_1} \cdots \left(\frac{s^{n-1}}{s^n}\right)^{\ell_{n-1}} (s^n)^{\ell_n} \star_{\infty} \hat{G}_{+\ell} \qquad \hat{G}_4 = e^{\phi^i N_i^-} G_4$$

• Example: Flux from the sl(2) rep generated by  $P_{02_i}$ 

$$G_{4} = G_{02} v_{02} + G_{0} v_{0} + G_{0-2} v_{0-2} \longrightarrow Q = \frac{1}{2} \langle G_{4}, G_{4} \rangle = G_{02} G_{0-2} - \frac{1}{2} G_{0}^{2}$$
$$\hat{G}_{4} = G_{02} v_{02} + (G_{0} - \phi G_{02}) v_{0} + (G_{0-2} - \phi G_{0} + \frac{1}{2} (\phi)^{2} G_{02_{i}}) v_{0-2}$$

• Self-duality conditions:  $\frac{G_{02}}{2}(s)^2 = G_{0-2} - \phi G_0 + \frac{1}{2}(\phi)^2 G_{02} \longrightarrow s = \frac{\sqrt{2G_{0-2}G_{02} - G_0^2}}{G_{02}}$   $(G_0 - \phi G_{02}) = -(G_0 - \phi G_{02}) \longrightarrow \phi = \frac{G_0}{G_{02}}$ 

#### sl(2) Hodge star

• Extend boundary Hodge star to the interior:  $\star_{\infty} \longrightarrow \star_{sl(2)}$ 

$$\star_{\mathrm{sl}(2)} = e^{+\phi^{i}N_{i}^{-}} \left[ e^{-\frac{1}{2}\log(s^{i})N_{i}^{0}} \star_{\infty} e^{+\frac{1}{2}\log(s^{i})N_{i}^{0}} \right] e^{-\phi^{i}N_{i}^{-}}$$

$$H_{\text{prim}}^{4}\left(Y_{4},\mathbb{C}\right) = H_{\text{sl}(2)}^{4,0} \oplus H_{\text{sl}(2)}^{3,1} \oplus H_{\text{sl}(2)}^{2,2} \oplus H_{\text{sl}(2)}^{1,3} \oplus H_{\text{sl}(2)}^{0,4}$$

$$H_{\rm sl(2)}^{p,q} = e^{\phi^{i}N_{i}^{-}}e^{-\frac{1}{2}\log(s^{i})N_{i}^{0}}H_{\infty}^{p,q}$$