

# Classical string backgrounds with a de Sitter spacetime and swampland conjectures

Introduction  
Existence  
Stability  
Conclusion

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Based on arXiv:2101.06251

arXiv:2004.00030 (with N. Cribiori, D. Erkinger)

arXiv:2005.12930, 2006.01848 (with P. Marconnet, T. Wräse)  
+ work in progress

# Introduction

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**Motivation:** dark energy

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Motivation: dark energy

**Dark energy:** drives **accelerated expansion** today  
Nature?  $w \approx -1$ :  $\sim \Lambda$ , cosmological constant

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Future ( $\Lambda$ CDM): completely dominated by dark energy

$\hookrightarrow$  4d **de Sitter spacetime**:  $\mathcal{R}_4 = 4\Lambda > 0$ .

Gravitational description:

$$\mathcal{S}_\Lambda = \int d^4x \sqrt{|G_4|} \left( \frac{M_p^2}{2} \mathcal{R}_4 - M_p^2 \Lambda \right)$$

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Accelerated expansion in **early universe**: inflation models

Scalar field(s)  $\phi^i$  coupled to gravity:

$$\mathcal{S} = \int d^4x \sqrt{|G_4|} \left( \frac{M_p^2}{2} \mathcal{R}_4 - \frac{1}{2} g_{ij}(\phi) \partial_\mu \phi^i \partial^\mu \phi^j - V(\phi) \right)$$

Models in agreement with observations: single-field slow-roll inflation, plateau  $V(\phi)$ :  $\partial_\phi V \approx 0$ ,  $V \sim \text{constant}$ .

$\hookrightarrow$  **de Sitter spacetime**:  $\partial_\phi V|_0 = 0$ ,  $\mathcal{R}_4 = 4\Lambda = \frac{4}{M_p^2} V|_0 > 0$ .

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$V(\phi)$  can mimick  $\Lambda$  (for some duration)  $\Rightarrow$  the case today?

$\hookrightarrow$  **quintessence models**.

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Can one obtain such cosmological models  $\mathcal{S}$  from a fundamental theory/quantum gravity? + with (quasi) de Sitter spacetime:  $\partial_\phi V \approx 0$ ,  $V > 0 \rightarrow$  **origin to dark energy**:  $V(\phi)$

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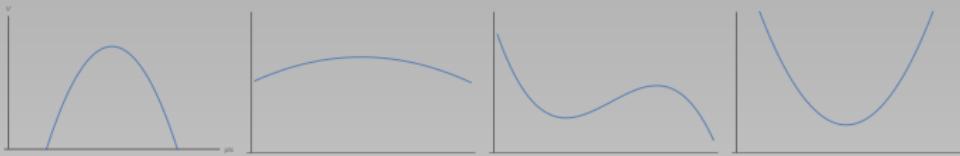
Existence of de Sitter solutions in string theory?

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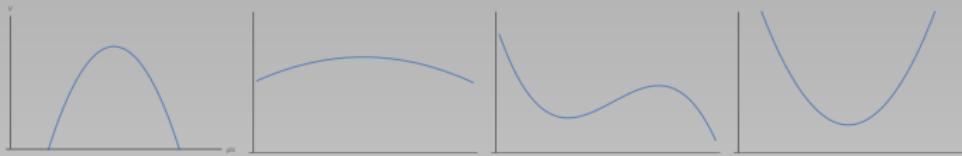
Important aspect for cosmological models: duration  
 $\rightarrow$  **stability** of  $V(\phi)$  around de Sitter point



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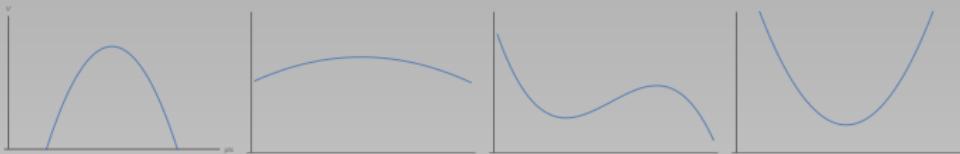


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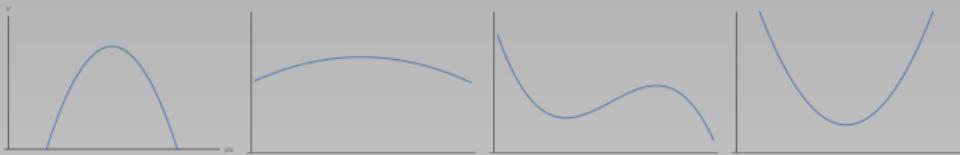
Stability captured by  $\partial_\phi^2 V|_0$ , or more precisely (single field)

$$\eta_V = M_p^{-2} \frac{\partial_\phi^2 V}{V}, \quad \epsilon_V = \frac{M_p^{-2}}{2} \left( \frac{|\partial_\phi V|}{V} \right)^2$$

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Obs. slow-roll single field inflation:  $\eta_V \sim -0.01$ ,  $\epsilon_V \sim 0.001$ .

[Planck Collaboration \[arXiv:1807.06211\]](#)

Multi-field inflation: different values possible, ✓ obs. Difficult to realise in supergravity?

# In string theory: difficult to get well-controlled de Sitter solutions

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U. H. Danielsson, T. Van Riet [arXiv:1804.01120]

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## In string theory: difficult to get well-controlled de Sitter solutions

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Various approaches: KKLT, LVS (non-pert.)...

Here: focus on classical perturbative regime,  
i.e. **classical de Sitter string backgrounds.**

[D. A. \[arXiv:1902.10093\]](#)

Motivation: “simple” well-defined framework, good chances to control approximations

Effective theory: 10d supergravity

Look for solutions:  $10d = 4d$  de Sitter  $\times$  6d compact space  $\mathcal{M}$   
+ curvature ( $\mathcal{R}_6$ ), fluxes, sources ( $D_p$ -branes,  $O_p$ -planes)

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$\Rightarrow$  Existence and stability status?

- **Existence**

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“candidate” solutions, despite no-go theorems.  
But no proper classical string background known.
- **Stability**

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- **Existence:** Few 10d supergravity de Sitter solutions:  
“candidate” solutions, despite no-go theorems.  
But no proper classical string background known.
- **Stability:** before 2021: observe on “candidate” de Sitter solutions:  $\eta_V \leq -1 \rightarrow$  **very unstable**  
→ Prove that always true? Stability no-go theorem?

# Swampland Program perspective

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Characteristics of quantum gravity EFT  $\rightarrow \mathcal{S}$  + de Sitter sol.?

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De Sitter swampland conjectures in a nutshell:

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$$\text{TCC bound: } M_p \frac{|\partial_\phi V|}{V} \Big|_{\phi \rightarrow \infty} \geq c \geq \sqrt{\frac{2}{3}}$$

A. Bedroya, C. Vafa [arXiv:1909.11063]

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$$\eta_V \leq 0$$

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bound on lifetime, metastable also possible

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Existence constraints (TCC bound) on **solid grounds** thanks to no-go theorems

D. Andriot, N. Cribiori, D. Erkinger [arXiv:2004.00030]

(in a large region of parameter space)

→ **no-go theorems for stability?**

→ clarify / check swampland proposals?

→ characterise cosmological models?

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# Existence of classical de Sitter solutions

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## Parameter space and no-go theorems

(A standard ansatz: intersecting  $O_p/D_p$  sources, 6d compact group manifold, constant fluxes)

Parameter space:  $p$  size of  $D_p/O_p$  sources,  $\mathcal{R}_6$  6d curvature

$p$	$\mathcal{R}_6 \geq 0$	$\mathcal{R}_6 < 0$
3	×	×
4	×	??
5	×	??
6	×	??
7	×	×
8	×	×
9	×	×

×: no-go theorem! ???: possible, constrained.

Constraints obtained with 5 supergravity equations (e.o.m., BI)

T. Wräse, M. Zagermann [arXiv:1003.0029], G. Shiu, Y. Sumitomo [arXiv:1107.2925]

D. A., J. Blåbäck, [arXiv:1609.00385], D. A. [arXiv:1710.08886]

D. A. [arXiv:1807.09698], [arXiv:1902.10093]

→ excluded in many cases.

Remaining region:  $\mathcal{R}_6 < 0$ ,  $p = 4, 5, 6$ ,  $F_{6-p} \neq 0$ , ... → sol.?

## 9 no-go theorems (for parallel $D_p/O_p$ )

$p$	$\mathcal{R}_6 \geq 0$	$\mathcal{R}_6 < 0$
3	(4.)	
4		$T_{10} > 0$ (1.), $F_{6-p}$ (2.),
5	(3.)	$f^{\parallel\parallel}_{\perp\perp}$ (5.), (6.), (9.), $f^{\perp}_{\perp\parallel}$ (7.), (8.),
6		linear combi (5.), (6.)
7		
8	(2.), (3.)	(2.)
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(number.) = no-go theorem;  
entry = necessary ingredient

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Relate supergravity constraints to swampland conjectures?  
⇒ put them in swampland conjecture format!

**No-go theorem (2.):** for  $p = 7, 8$ , or  $p = 4, 5, 6$  &  $F_{6-p} = 0$

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10d type II supergravities e.o.m.:

$$(p-3) \mathcal{R}_4 = -2|H|^2 - g_s^2 \sum_{q=0}^6 (q+p-8)|F_q|^2$$

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4d corresponding equations with  $V(\rho, \tau)$ :

$$\begin{aligned} 4(p-3) \mathbf{V} + 2(p-4) \tau \partial_\tau \mathbf{V} + 4 \rho \partial_\rho \mathbf{V} \\ = -\tau^{-2} \rho^{-3} 2|H|^2 - g_s^2 \sum_{q=0}^6 \tau^{-4} \rho^{3-q} (q+p-8)|F_q|^2 \leq 0 \end{aligned}$$

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Swampland format:

$$\Rightarrow \frac{|\nabla V|}{V} \geq \mathbf{c} = \sqrt{\frac{2(p-3)^2}{3+(p-4)^2}}$$

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→ TCC bound?!

(no quantum gravity argument, no limit...  
except in a swampland perspective...)

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(no quantum gravity argument, no limit...  
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→ all 9 no-go theorems...

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No-go number	Condition for the no-go	$c$
(1.)	$T_{10} \leq 0$	$\sqrt{2}$
(2.)	$p = 7, 8$ , or $p = 4, 5, 6$ & $F_{6-p} = 0$	$\sqrt{\frac{2(p-3)^2}{3+(p-4)^2}} \geq \sqrt{\frac{2}{3}}$
(3.)	$\mathcal{R}_6 \geq 0$ , $p \geq 4$	$\sqrt{\frac{2(p+3)^2}{3+p^2}} > 1$
(4.)	$p = 3$	$2\sqrt{\frac{2}{3}}$
(5.)	$\mathcal{R}_{  } + \mathcal{R}_{  }^\perp + \frac{\sigma^{-12}}{2}  f^{  }_{\perp\perp} ^2 \leq 0$ , $p \geq 4$	$\sqrt{\frac{2(p-3)}{p-1}} \geq \sqrt{\frac{2}{3}}$
(6.)	$-2\rho^2\sigma^{2(p-6)}(\mathcal{R}_{  } + \mathcal{R}_{  }^\perp) +  H^{(2)} ^2 \leq 0$	$2\sqrt{\frac{2}{3}}$
(7.)	$\lambda \leq 0$ , $p \geq 4$	$\sqrt{\frac{2}{3}}$
(9.)	$\exists a_{  }$ s.t. $f^{a_{  }}_{ij} = 0 \quad \forall i, j \neq a_{  }$ , $p \geq 4$	$\sqrt{\frac{2}{3}}$

**TCC bound** always satisfied! Sometimes with saturation.

## **Surprising quantitative verification** of de Sitter swampland conjectures (in this part of parameter space).

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~~~ investigate remaining region of parameter space...

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Aparte: **web of swampland conjectures** → translate the obstruction on classical de Sitter to another conjecture?  
→ the **distance conjecture** → bound on parameter  $\lambda$

$$4d : \quad \lambda \geq \lambda_0 = \frac{1}{2} \sqrt{\frac{2}{3}} = \frac{1}{\sqrt{6}}, \quad \lambda_0 = \frac{1}{2} c_0$$

asymptotic claims:  $m \sim V^{\frac{1}{2}}$

Verified in all examples!

[T. W. Grimm, E. Palti, I. Valenzuela \[1802.08264\]](#)

...

[A. Ashmore, F. Ruehle \[2103.07472\]](#)

# Looking for classical de Sitter solutions

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Remaining region of par. space:  $p = 4, 5, 6$ . Or multiple sizes.

**Two steps:**

**1.**

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**2.**

# Looking for classical de Sitter solutions

David  
ANDRIOT

Remaining region of par. space:  $p = 4, 5, 6$ . Or multiple sizes.

**Two steps:**

**1.** find 10d supergravity de Sitter solution (“candidate”):

C. Caviezel, P. Koerber, S. Kors, D. Lüst, T. Wräse, M. Zagermann [arXiv:0812.3551],

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with intersecting  $O_6/D_6$ , or  $O_5 \& O_7$ , or  $O_5/D_5$  (new).

**2.**

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**Teaser:** D. Andriot, L. Horer, P. Marconnet, work in progress

More general and systematic search for de Sitter solutions:

Solutions with 1  $O_4$ , 1  $O_6$ , 1  $D_6$ ...

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More general and systematic search for de Sitter solutions:

Solutions with 1  $O_4$ , 1  $O_6$ , 1  $D_6$ ...

**2.** verify that in classical string regime: small  $g_s$ , large vol<sub>6</sub>...

- C. Roupec, T. Wräse [arXiv:1807.09538],
- D. Junghans [arXiv:1811.06990],
- A. Banlaki, A. Chowdhury, C. Roupec, T. Wräse [arXiv:1811.07880],
- D. A. [arXiv:1902.10093],
- T. W. Grimm, C. Li, I. Valenzuela [arXiv:1910.09549],
- D. A., P. Marconnet, T. Wräse [arXiv:2006.01848]

↪ no solution left!

## Classical regime of string theory

Comments:

- Why not working? A general property of string theory?

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## Classical regime of string theory

Comments:

- Why not working? A general property of string theory?
- **Reminiscent of problems in other approaches:**
  - KKLT: “The tadpole problem”  
[I. Bena, J. Blåbäck, M. Graña, S. Lüst \[arXiv:2010.10519\]](#)
  - LVS: “Boundary of validity...”  
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## Summary on existence:

- No-gos, match swampland conjectures
- Remaining region → find de Sitter supergravity solutions
- Classical regime analysis

# Stability of classical de Sitter solutions

David  
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D. Andriot [[arXiv:2101.06251](https://arxiv.org/abs/2101.06251)]

10d supergravity de Sitter solutions (< 2021) are all pert.

**unstable**: 4d tachyon, maximum of  $V$ ,  $\eta_V < -1$ .

→ **Always the case?** (cosmology, swampland conjectures...)

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Multifield: mass matrix  $\hat{M}^i{}_j = \delta^{ik} \partial_{\hat{\phi}^k} \partial_{\hat{\phi}^j} V$

$$\eta_V = M_p^2 \frac{\text{Min}\nabla\partial V}{V}$$

where  $\text{Min}\nabla\partial V$  = **minimal eigenvalue** of  $\hat{M}$ .

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where  $\text{Min}\nabla\partial V$  = **minimal eigenvalue** of  $\hat{M}$ .

Proving  $\eta_V < 0, -1$ :

Get sign/upper bound on eigenvalue(s) of  $\hat{M}$

Problem:  $4 \times 4$  matrix → simple information on eigenvalues?  
Use mathematical results...

Interesting proposal of [U. H. Danielsson, G. Shiu, T. Van Riet, T. Wräse](#)

[\[arXiv:1212.5178\]](#), further studied in [D. Junghans \[arXiv:1603.08939\]](#):

*The tachyon lies among  $(\rho, \tau, \sigma_{I=1\dots N})$ .*

Claim verified in many examples.

Proof of **systematic tachyon**? Sufficient to study  $V(\rho, \tau, \sigma_I)$

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Use mathematical results and prove: for any real  $(\hat{c}_{\hat{\rho}}, \hat{c}_{\hat{\tau}}, \hat{c}_{\hat{\sigma}_1}, \hat{c}_{\hat{\sigma}_2})$

$$(\hat{c}_{\hat{\rho}} \partial_{\hat{\rho}} + \hat{c}_{\hat{\tau}} \partial_{\hat{\tau}} + \hat{c}_{\hat{\sigma}_1} \partial_{\hat{\sigma}_1} + \hat{c}_{\hat{\sigma}_2} \partial_{\hat{\sigma}_2})^2 V < 0 \Rightarrow \eta_V < 0, \text{ instability}$$

In addition, if

$$V + (\hat{c}_{\hat{\rho}} \partial_{\hat{\rho}} + \hat{c}_{\hat{\tau}} \partial_{\hat{\tau}} + \hat{c}_{\hat{\sigma}_1} \partial_{\hat{\sigma}_1} + \hat{c}_{\hat{\sigma}_2} \partial_{\hat{\sigma}_2})^2 V < 0 \Rightarrow \eta_V < -\frac{1}{\hat{c}_{\hat{\rho}}^2 + \hat{c}_{\hat{\tau}}^2 + \hat{c}_{\hat{\sigma}_1}^2 + \hat{c}_{\hat{\sigma}_2}^2}$$

→ get a **bound** on  $\eta_V$ .

Single field interpretation of  $\hat{c}_{\hat{\phi}^i} \partial_{\hat{\phi}^i}$  as **tachyonic direction**

$$\hat{c}_{\hat{\rho}} \partial_{\hat{\rho}} + \hat{c}_{\hat{\tau}} \partial_{\hat{\tau}} + \hat{c}_{\hat{\sigma}_1} \partial_{\hat{\sigma}_1} + \hat{c}_{\hat{\sigma}_2} \partial_{\hat{\sigma}_2} = \sqrt{\hat{c}_{\hat{\rho}}^2 + \hat{c}_{\hat{\tau}}^2 + \hat{c}_{\hat{\sigma}_1}^2 + \hat{c}_{\hat{\sigma}_2}^2} \partial_{\hat{t}_c}$$

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$$\partial_{\hat{t}_c}^2 V < 0$$

Is there a **universal tachyon**, i.e. a fixed combination  $\hat{t}_c$ ?

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# Study in IIB framework with $O_5/D_5$ , where 17 solutions found

David  
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D. A., P. Marconnet, T. Wräse [arXiv:2006.01848]

$$\begin{aligned}
 \frac{2}{M_p^2} V(\rho, \tau, \sigma_1, \sigma_2) = & -\tau^{-2} \rho^{-1} \mathcal{R}_6(\sigma_1, \sigma_2) \\
 & + \frac{1}{2} \tau^{-2} \rho^{-3} \left( \sigma_2^6 \sigma_1^{12} |H^{(0)1}|^2 + \sigma_1^6 \sigma_2^{12} |H^{(2)1}|^2 \right) \\
 & - g_s \tau^{-3} \rho^{-\frac{1}{2}} \left( \sigma_1^{-4} \sigma_2^2 \frac{T_{10}^1}{6} + \sigma_1^2 \sigma_2^{-4} \frac{T_{10}^2}{6} + \sigma_1^2 \sigma_2^2 \frac{T_{10}^3}{6} \right) \\
 & + \frac{1}{2} g_s^2 \tau^{-4} (\rho^2 (\sigma_1 \sigma_2)^{-2} |F_1|^2 + |F_3|^2 + \rho^{-2} (\sigma_1 \sigma_2)^2 |F_5|^2) \\
 \mathcal{R}_6(\sigma_1, \sigma_2) = & R_1 \sigma_1^{-8} \sigma_2^4 + R_2 \sigma_1^4 \sigma_2^{-8} + R_3 \sigma_1^4 \sigma_2^4 + \dots
 \end{aligned}$$

→ go to canonical basis  $\phi^i \rightarrow \hat{\phi}^i$

$$\mathcal{S} = \int d^4x \sqrt{|g_4|} \left( \frac{M_p^2}{2} \mathcal{R}_4 - \frac{M_p^2}{2} \left( (\partial \hat{\rho})^2 + (\partial \hat{\tau})^2 + (\partial \hat{\sigma}_1)^2 + (\partial \hat{\sigma}_2)^2 \right) - V \right)$$

with  $V(\hat{\rho}, \hat{\tau}, \hat{\sigma}_1, \hat{\sigma}_2)$

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Result: **no universal tachyon** (for all 17 solutions)

Rather: several different

**Similar to existence no-gos:** here, parameter space  
(partially) covered by different assumptions capturing different  
stability no-go theorems and corresponding tachyons.

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**Similar to existence no-gos:** here, parameter space (partially) covered by different assumptions capturing different stability no-go theorems and corresponding tachyons.

We find 13 (interesting) sufficient **conditions  $C1 - C13$  for tachyons**. For example:  $C7$

$$\frac{439}{4}g_s^2|F_1|^2 + \frac{421}{4}g_s^2|F_3|^2 + \frac{439}{4}g_s^2|F_5|^2 - 72R_3 - \frac{1675}{96}g_sT_{10} + \frac{3}{2}g_sT_{10}^3 \leq 0$$

$\leftrightarrow$  sufficient condition for a tachyon on dS extremum with

$$c_{\sigma_1} = c_{\sigma_2} = 1 , \quad c_\rho = \frac{7}{2} , \quad c_\tau = \frac{9}{2}$$

Obeyed by **14 of the 17 solutions** with  $O_5/D_5$ . No universal condition obeyed by all known solutions.

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Existence: different conditions on  $\epsilon_V$  but a universal bound:

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$c \geq \sqrt{\frac{2}{3}}$ . Here:  $C7$  bound:

$$\eta_V \leq -\frac{8}{567} \approx -0.0141093$$

Bounds range:  $[-\frac{4}{3}, -\frac{25}{3422}] \approx [-1.33333, -0.00730567]$

→ **not conclusive** for phenomenology nor swampland...

⇒ different parts of a parameter space!  
→ Solutions **counter-examples?** Violate assumptions...

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⇒ different parts of a parameter space!

↪ Solutions **counter-examples?** Violate assumptions...

Condition  $C11$ , obeyed by 16 solutions on 17 with  $O_5/D_5$

$$-2R_3(g_s^2|F_1|^2 - \mathcal{R}_4) - g_s^2|F_1|^2\mathcal{R}_4 < 0$$

↪ search for solutions violating this condition

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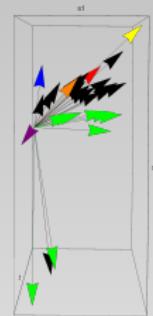
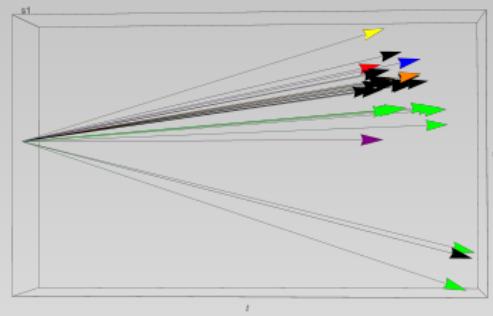
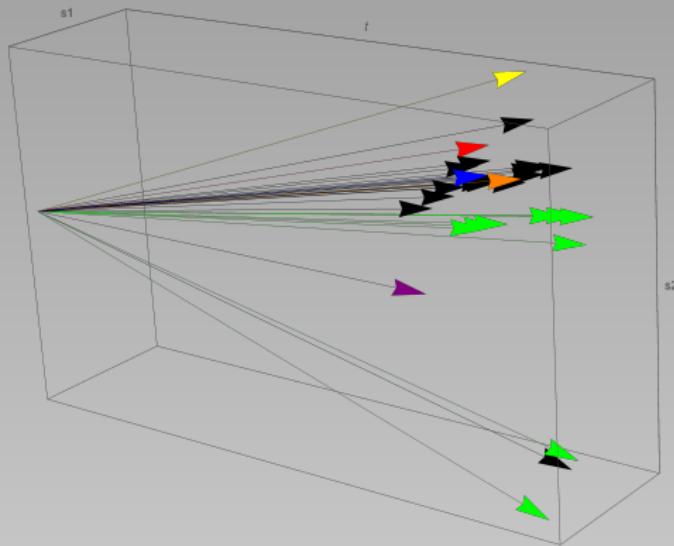
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- ↪ search for solutions violating this condition
- ↪ we obtain **10 new de Sitter solutions, new physics**
- New solutions on compact  $\mathcal{M}$  with  $\eta_V$  up to  $-0.90691$ .
  - One solution with  $\eta_V = -0.12141!$  Compact  $\mathcal{M}!$
- D. Andriot, L. Horer, P. Marconnet, work in progress
- One solution with  $\eta_V = 3.7926!$  But  $\mathcal{M}$  non-compact.  
Still, first “stable” (geometric) solution of this kind  
⇒ compactness plays a role in proof...
- ↪ look in the **remaining regions** to find new interesting examples...

# Tachyonic directions of solutions and no-gos

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**black:** 17 old sol.; **green + blue:** 10 new sol.; **others:** no-gos

# Conclusion

David  
ANDRIOT

Existence, stability of classical dS string backgrounds?

For both existence and stability: formalism and methods to get formal constraints

**Several no-go theorems** that cover partially parameter space: → no de Sitter solution, instability.

For both: **remaining regions** to explore: to find (classical?) de Sitter solutions, (stable?)

Difference between existence and stability: **bounds**:

$$\epsilon_V \geq \frac{1}{3}, \quad \eta_V < ?$$

→ good for TCC? Good for phenomenology?

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# Conclusion

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**Thank you for your attention!**